

FISCAL YEAR 2003

APPENDIX



BUDGET OF THE UNITED STATES GOVERNMENT

THE BUDGET DOCUMENTS

Budget of the United States Government, Fiscal Year 2003 contains the Budget Message of the President and information on the President's budget and management priorities, including assessments of agencies' performance.

Analytical Perspectives, Budget of the United States Government, Fiscal Year 2003 contains analyses that are designed to highlight specified subject areas or provide other significant presentations of budget data that place the budget in perspective.

The *Analytical Perspectives* volume includes economic and accounting analyses; information on Federal receipts and collections; analyses of Federal spending; detailed information on Federal borrowing and debt; the Budget Enforcement Act preview report; current services estimates; and other technical presentations. It also includes information on the budget system and concepts and a list of Federal programs by agency and account, as well as by budget function.

Historical Tables, Budget of the United States Government, Fiscal Year 2003 provides data on budget receipts, outlays, surpluses or deficits, Federal debt, and Federal employment over an extended time period, generally from 1940 or earlier to 2007. To the extent feasible, the data have been adjusted to provide consistency with the 2003 Budget and to provide comparability over time.

Budget of the United States Government, Fiscal Year 2003—Appendix contains detailed information on the various appropriations and funds that constitute the budget and is designed primarily for the use of the Appropriations Committee. The *Appendix* contains more detailed financial information on individual programs and appropriation accounts than any of the other budget documents. It includes for each agency: the proposed text of appropriations language, budget schedules for each account, new legislative proposals, explanations of the work to be performed and the funds needed, and proposed general provisions applicable to the appropriations of

entire agencies or group of agencies. Information is also provided on certain activities whose outlays are not part of the budget totals.

Budget System and Concepts, Fiscal Year 2003 contains an explanation of the system and concepts used to formulate the President's budget proposals.

Budget Information for States, Fiscal Year 2003 is an Office of Management and Budget (OMB) publication that provides proposed State-by-State obligations for the major Federal formula grant programs to State and local governments. The allocations are based on the proposals in the President's Budget. The report is released after the budget.

AUTOMATED SOURCES OF BUDGET INFORMATION

The information contained in these documents is available in electronic format from the following sources:

CD-ROM. The CD-ROM contains all of the budget documents and software to support reading, printing, and searching the documents. The CD-ROM also has many of the tables in the budget in spreadsheet format.

Internet. All budget documents, including documents that are released at a future date, will be available for downloading in several formats from the Internet. To access documents through the *World Wide Web*, use the following address:

<http://www.whitehouse.gov/omb/budget>

For more information on access to electronic versions of the budget documents (except CD-ROMs), call (202) 512-1530 in the D.C. area or toll-free (888) 293-6498. To purchase a CD-ROM or printed documents call (202) 512-1800.

GENERAL NOTES

1. All years referred to are fiscal years, unless otherwise noted.
2. Detail in this document may not add to the totals due to rounding.

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DETAILED BUDGET ESTIMATES

EXPLANATION OF ESTIMATES

“DETAILED BUDGET ESTIMATES” contains various tables and schedules in support of the budget. It includes explanations of the work to be performed and the money needed. It includes the language proposed for enactment by Congress on each item that requires congressional action in an appropriations bill. It also contains the language proposed for the general provisions of appropriations acts that apply to entire agencies or groups of agencies. The chapter, “Budget System and Concepts and Glossary,” in the *Analytical Perspectives* volume of the budget, explains the terms and budget concepts reflected in this volume.

ARRANGEMENT

The first section of this chapter presents general provisions of law that apply to all government activities (see explanation below). Sections for the Legislative Branch and the Judiciary follow. These are followed by sections for the Executive Branch. The cabinet departments appear first in alphabetical order. They are followed by the larger nondepartmental agencies, groupings for “International Assistance Programs” and “Other Defense Civil Programs,” the Executive Office of the President, and the remaining agencies, under the heading “Other Independent Agencies.” If the amounts in the individual accounts for other independent agencies are below the million-dollar reporting threshold applicable to data in the *Appendix*, the data are consolidated into a single set of schedules under “Other Commissions and Boards.” Appropriation language for these agencies is presented individually under the same heading.

A section for a large agency is usually organized by major subordinate organizations within the agency (usually bureaus) or by major program area.

Within each bureau or major program area, accounts usually appear in the following order:

- general fund accounts in the following order: accounts for which appropriations are requested for the budget year; accounts for which appropriations were made in the current year and not requested in the budget year; other unexpired accounts; expired accounts;
- special fund accounts in the following order: accounts for which appropriations are requested for the budget year;

- accounts for which appropriations were made in the current year and not requested in the budget year; other unexpired accounts; expired accounts;
- public enterprise funds;
- intragovernmental revolving funds and management funds;
- credit reform accounts, in the following order: program account, financing account, and liquidating account;
- trust funds;
- trust revolving funds.

By law, the Old-Age and Survivors Insurance and Disability Insurance trust funds (Social Security) are outside the budget totals. These accounts are presented in the Social Security Administration section. Also, by law the Postal Service Fund is outside the budget totals. A presentation for the Fund is included in the Other Independent Agencies section.

General provisions are provisions in appropriations acts that apply to more than one appropriation. They usually appear in sets, often in separate titles of the appropriations acts. In some instances, they apply only to the appropriations for one agency. In other instances, they apply to the appropriations for two or more agencies covered by the act. The general provisions in one set apply to all appropriations Government-wide. In the *Appendix*, the proposed language for general provisions that are only applicable to one agency appears at the end of the section for that agency. The general provisions that apply to two or more agencies, appear at the end of the section for one of the agencies. The general provisions that are Government-wide in scope (identified “Departments, Agencies, and Corporations”), normally contained in the Treasury and General Government Appropriations Act, appear in a separate section following this one.

The following table indicates the location of all general provisions. The second column of the table lists the most recently enacted appropriations and the major agencies responsible for programs funded by each act. The third column provides the location of the general provisions that apply to the agencies listed in the second column. Proposed changes to general provisions are presented in the manner described below for appropriations language.

<i>Appropriation Act</i>	<i>Section in which general provisions appear</i>
1. Legislative Branch Appropriations Act, 2002 (Public Law 107-68)	Legislative Branch
2. Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002 (Public Law 107-76). Department of Agriculture Department of Health and Human Services	Department of Agriculture.
3. Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002 (Public Law 107-77). Department of Commerce Department of Justice Department of State The Judiciary Department of Transportation Small Business Administration	Department of Commerce.
4. Department of Defense Appropriations Act, 2002 (Public Law 107-117)	Department of Defense.
5. Military Construction Appropriations Act, 2002 (Public Law 107-64)	Department of Defense.
6. Energy and Water Development Appropriations Act, 2002 (Public Law 107-66)	Department of Energy.
Department of Energy Corps of Engineers Department of the Interior	

	<i>Appropriation Act</i>	<i>Section in which general provisions appear</i>
7.	Department of the Interior and Related Agencies Appropriations Act, 2002 (Public Law 107-63). Department of the Interior Department of Agriculture Department of Energy Department of Education Department of Health and Human Services	Department of the Interior.
8.	Departments of Labor, Health and Human Services, and Education and Related Agencies Appropriations Act, 2002 (Public Law 107-116). Department of Labor Department of Health and Human Services Department of Education	Department of Labor.
9.	Department of Transportation and Related Agencies Appropriations Act, 2002 (Public Law 107-87).	Department of Transportation.
10.	Treasury and General Government Appropriations Act, 2002 (Public Law 107-67) ... All departments, agencies, and corporations	Department of the Treasury. Government-wide General Provisions.
11.	Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002 (Public Law 107-73). Housing and Urban Development NASA Veterans Affairs Environmental Protection Agency General Services Administration	Department of Veterans Affairs.
12.	Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002 (Public Law 107-115). Department of Defense Department of State Agency for International Development Department of Agriculture	International Assistance Programs.
13.	District of Columbia Appropriations Act, 2002 (Public Law 107-96)	Other Independent Agencies.

FORM OF DETAILED MATERIAL

APPROPRIATIONS LANGUAGE

The language proposed for inclusion in the 2003 appropriations acts appears following the account title. Language for enacted 2002 appropriations, printed in roman type, is used as a base. Brackets enclose material that is proposed for deletion; italic type indicates proposed new language. If the appropriation is being proposed for the first time, all of the language is printed in italics. The amounts in appropriations language are stated in dollars. Citations to any relevant authorizing legislation and to the specific appropriations act from which the basic text of the 2002 language is taken appear at the end of the final language paragraph, printed in italic within parentheses. An illustration of proposed appropriations language for 2003 follows:

OPERATING EXPENSES

For necessary expenses of the Office of Climate Information, **[\$30,290,000]** *\$28,870,000* of which **[\$150,000]** *\$400,000* shall remain available until expended. (*34 U.S.C. 218 et seq.; Department of Government Appropriation Act, 2002.*)

BASIS FOR SCHEDULES

Dollar amounts in *Appendix* schedules are stated in millions, unless otherwise specified.

The 2001 column of the budget presents the actual transactions and balances for that year, as recorded in agency accounts.

For 2002, the regular schedules include enacted appropriations. They also include indefinite appropriations on the basis of amounts likely to be required.

The 2003 column of the regular schedules includes proposed appropriations for all programs under existing legislation, including those that require extension or renewal of expiring laws.

Amounts for proposed new legislation are shown generally in separate schedules, following the regular schedules or in

budget sequence in the respective bureau. These schedules are identified as "Legislative proposals, subject to PAYGO" or "Legislative proposals not subject to PAYGO." The term "PAYGO" refers to the "pay-as-you-go" requirements of the Budget Enforcement Act of 1990. Appropriations language is included with the regular schedules, but usually not with the separate schedules for proposed legislation. Usually the necessary appropriations language is transmitted later upon enactment of the proposed legislation. In some years, the *Appendix* includes special schedules that identify a special type of legislative proposal.

For example, this budget includes schedules labeled "Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs" in order to identify a proposal in the budget that would require agencies to pay the full share of accruing employee pensions and annuitant health benefits. The impact of this proposal has been presented on a three-year comparable basis (i.e., as if the legislation had been enacted and implemented in 2001) in the regular schedules and is separately identified in this schedule.

PROGRAM AND FINANCING SCHEDULE

This schedule provides the following information:

- obligations by program activity;
- budgetary resources available for obligation;
- detailed information on new budget authority (gross);
- change in obligated balances;
- detailed information on outlays (gross);
- offsets to gross budget authority and outlays; and
- net budget authority and outlays.

The "Obligations by program activity" section shows obligations for specific activities or projects. The activity structure is developed for each appropriation or fund account to provide a meaningful presentation of information for the program. Where the amounts are significant, this section distinguishes between operating expenses and capital investment and between direct and reimbursable programs. The last entry,

“Total obligations,” indicates the amount of budgetary resources required to finance the activities of the account.

The “Budgetary resources available for obligation” section shows the budgetary resources available or estimated to be available to finance the obligations. The resources available for obligation include the start-of-year unobligated balances of a prior year that have not expired, new budget authority, and adjusting entries, such as recoveries from prior year obligations. New obligations are subtracted from these resources, resulting in the end-of-year unobligated balances.

The “New budget authority (gross), detail” section provides detailed information on the total new budget authority available to finance the program. It includes information on the type of budget authority that is available, rescissions, reductions, and amounts precluded from obligation. It indicates whether the budget authority is discretionary (controlled by appropriations acts) or mandatory (controlled by other laws).

The “Change in obligated balances” section shows the difference between obligations and outlays. New obligations are added to the obligations that were incurred in a previous year but not liquidated. Total disbursements (outlays, gross) are subtracted from these amounts. Adjusting entries, such as adjustments in expired accounts, are included, as appropriate, resulting in the end-of-year obligated balance.

The “Outlays (gross), detail” section indicates whether the outlays pertain to discretionary or mandatory budget authority and to balances or new authority.

The “Offsets” and “Net budget authority and outlays” sections indicate the amounts to be deducted from gross budget authority and outlays and the resulting net budget authority and outlay amounts. Offsetting collections (cash) and the change in orders on hand from Federal sources are deducted from gross budget authority; only offsetting collections (cash) are deducted from gross outlays.

Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	83	82	87
86.93	Outlays from discretionary balances	2	3	2
86.97	Outlays from new mandatory authority	42	44	45
87.00	Total outlays (gross)	127	129	134
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	43	43	45
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-1	1	
Net budget authority and outlays:				
89.00	Budget authority	90	89	95
90.00	Outlays	84	86	89

A schedule entitled “Summary of Budget Authority and Outlays” immediately follows the first program and financing schedule for any account that has additional program and financing schedules for supplemental requests, legislative proposals, or rescission proposals.

NARRATIVE STATEMENT OF PROGRAM AND PERFORMANCE

Narrative statements present briefly the objectives of the program and the work to be financed primarily for 2003. They may include measures of expected performance and describe relationship to the financial estimates.

SCHEDULE OF OBJECT CLASSIFICATION AND PERSONNEL SUMMARY

The object classification schedule for an account shows obligations according to the following uniform list of object classifications:

Program and Financing (in millions of dollars)				
Identification code 16-1186-0-1-755	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Direct program:				
00.01	Policy and program development	38	39	42
00.02	Departmental management and administration	39	38	38
00.03	Facilities operations, maintenance, and repair	11	12	15
01.01	Reimbursable program	42	44	45
10.00	Total new obligations	130	133	140
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	132	133	140
23.95	Total new obligations	-130	-133	-140
23.98	Unobligated balance expiring or withdrawn	-2		
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	90	89	95
Mandatory:				
Spending authority from offsetting collections:				
69.00	Offsetting collections (cash)	43	43	45
69.10	Change in uncollected customer payments from Federal sources	-1	1	
69.90	Spending authority from offsetting collections (total)	42	44	45
70.00	Total new budget authority (gross)	132	133	140
Change in obligated balances:				
72.40	Obligated balance, start of year	3	6	9
73.10	Total new obligations	130	133	140
73.20	Total outlays (gross)	-127	-129	-134
73.40	Adjustments in expired accounts (net)	-1		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	1	-1	
74.40	Obligated balance, end of year	6	9	15

10 PERSONAL SERVICES AND BENEFITS	25.4	Operation and maintenance of facilities
11.1 Full-time permanent	25.5	Research and development contracts
11.3 Other than full-time permanent	25.6	Medical care
11.5 Other personnel compensation	25.7	Operations and maintenance of equipment
11.7 Military personnel	25.8	Subsistence and support of persons
11.8 Special personal services payments	26.0	Supplies and materials
11.9 Total personnel compensation	30	ACQUISITION OF ASSETS
12.1 Civilian personnel benefits	31.0	Equipment
12.2 Military personnel benefits	32.0	Land and structures
13.0 Benefits for former personnel	33.0	Investments and loans
20 CONTRACTUAL SERVICES AND SUPPLIES	40	GRANTS AND FIXED CHARGES
21.0 Travel and transportation of persons	41.0	Grants, subsidies, and contributions
22.0 Transportation of things	42.0	Insurance claims and indemnities
23.1 Rental payments to GSA	43.0	Interest and dividends
23.2 Rental payments to others	44.0	Refunds
23.3 Communications, utilities, and miscellaneous charges	90	OTHER
24.0 Printing and reproduction	91.0	Unvouchered
25.1 Advisory and assistance services	92.0	Undistributed
25.2 Other services	93.0	Limitation on expenses
25.3 Purchases of goods and services from Government accounts	99.0	SUBTOTAL DIRECT OBLIGATIONS
	99.0	Reimbursable obligations
	99.5	Below reporting threshold
	99.9	TOTAL GROSS OBLIGATIONS

Object classes reflect the nature of the things or services purchased, regardless of the purpose of the program for which they are used. Object class entry 11.9, Total personnel compensation, sums the amounts in object classes 11.1 through

11.8. Except for revolving funds, reimbursable obligations are aggregated in a single line and not identified by object class. Amounts for any object class that are below the reporting threshold (i.e., amounts that are \$500 thousand or less) are reported together as a single entry. If all of the obligations for an account are in a single object class, the schedule is omitted and the object class code is printed in the Program and Financing Schedule on the "Total Obligations" line. Data, classified by object, are illustrated in the following schedule:

Object Classification (in millions of dollars)				
Identification code 17-0643-0-1-452	2001 actual	2002 est.	2003 est.	
Direct obligations:				
Personnel compensation:				
11.1 Full-time permanent	60	60	66	
11.3 Other than full-time permanent	1	1	1	
11.9 Total personnel compensation	61	61	67	
12.1 Civilian personnel benefits	20	20	21	
23.1 Rental payments to GSA	5	5	5	
26.0 Supplies and materials	1	1	1	
99.0 Subtotal, direct obligations	87	87	93	
99.0 Reimbursable obligations	42	44	45	
99.5 Below reporting threshold	1	2	2	
99.9 Total new obligations	130	133	140	

When obligations for personnel compensation are shown in the object classification schedule, a personnel summary generally follows the object classification schedule, as illustrated below:

Personnel Summary				
Identification code 17-0643-0-1-452	2001 actual	2002 est.	2003 est.	
Direct: Total compensable workyears:				
1001 Full-time equivalent employment	774	748	762	
Reimbursable: Total compensable workyears:				
2001 Full-time equivalent employment	8	12	12	

Federal civilian employment generally is stated on a full-time equivalent (FTE) basis for the executive branch. It is the total number of hours worked (or to be worked) divided by the number of compensable hours applicable to each fiscal year.

FINANCIAL STATEMENTS

Financial statements are presented for all direct and guaranteed loan liquidating and financing accounts (balance sheets only), all Government-sponsored enterprises, and certain revolving and trust revolving funds conducting business with the public. They are occasionally presented for funds conducting business within the Government.

Statement of Operations

The statement of operations shows net income or loss for the year. This statement usually includes accrued revenue (e.g., revenue earned) and accrued expenditures (e.g., including costs incurred but not yet paid), whether funded or unfunded. The amounts in the 2000 column are audited.

Statement of Operations (in millions of dollars)				
Identification code 16-4023-0-3-754	2000 actual	2001 actual	2002 est.	2003 est.
Industrial Program:				
0111 Revenue	9	14	6	10
0112 Expense	-7	-8	-8	-8
0119 Net income of loss (-)	2	6	-2	2
Sales program:				
0121 Revenue	25	27	18	15
0122 Expense	-15	-20	-11	-10
0129 Net Income or loss (-)	10	7	7	5

Power program:				
0131 Revenue	39	28	34	22
0132 Expense	-13	-18	-20	-14
0139 Net income of loss (-)	26	10	14	8
0191 Total revenues	73	69	58	47
0192 Total expenses	-35	-46	-39	-32
0195 Total income or loss (-)	38	23	19	15

Balance Sheet

The balance sheets show assets, liabilities, and equity for the fund at the close of each fiscal year. In addition to this information, which is similar to commercial balance sheet data, budget needs also require additional information, such as appropriated capital, which is shown in the equity section. The amounts in the 2000 column are audited. Other amounts are unaudited and subject to change.

Balance Sheet (in millions of dollars)

Identification code 16-4023-0-3-754	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
Investments in US securities				
1102 Treasury securities, net	4	4	4	4
1104 Agency securities, net	1	2	2	2
1106 Receivables, net	1	1	1	1
Non-Federal assets:				
1201 Investments in non-Federal securities, net	1	2	2	2
1999 Total assets	7	9	9	9
LIABILITIES:				
Federal liabilities:				
2103 Debt	2	2	2	2
Non-Federal liabilities:				
2203 Debt	3	3	3	3
2999 Total liabilities	6	6	6	6
NET POSITION:				
3100 Unexpended appropriations	3	3	3	3
3999 Total net position	2	3	3	3
4999 Total liabilities and net position	7	9	9	9

FEDERAL CREDIT SCHEDULES

Federal credit programs provide benefits to the public in the form of direct loans and loan guarantees. The Federal Credit Reform Act of 1990 requires that the estimated costs arising from the direct and guaranteed loans of a program be calculated on a net present value basis, excluding administrative costs. For most programs, direct loan obligations and loan guarantee commitments cannot be made unless appropriations for the cost have been provided in advance in annual appropriations acts. In addition, annual limitations on the amount of obligations and commitments may be enacted in appropriations language.

Appropriations for costs are recorded as budget authority in credit program accounts. The administrative expenses associated with a credit program are also financed in the program account, but on a cash basis. All cash flows arising from direct loan obligations and loan guarantee commitments are recorded in separate financing accounts. The transactions of the financing accounts are not included in the budget totals. Program accounts make subsidy payments, recorded as budget outlays, to the financing accounts at the time of the disbursement of the direct or guaranteed loans.

The transactions associated with direct loan obligations and loan guarantee commitments made prior to 1992 continue to be accounted for on a cash flow basis and are recorded in liquidating accounts. In most cases, the liquidating account is the account that was used for the program prior to the enactment of the new requirements.

Program and Financing schedules (described above) are shown for program, financing, and liquidating accounts. In addition, a Summary of Loan Levels, Subsidy Budget Authority, and Outlays by Program schedule is shown for program accounts. Status of Direct Loans and Status of Guaranteed Loans schedules (as applicable) are shown for liquidating accounts and financing accounts. Examples of these schedules are shown below. Summary information on Federal credit programs is provided in the chapter entitled "Credit and Insurance" in the *Analytical Perspectives* volume.

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program
(in millions of dollars)

Identification code 83-0100-0-1-155		2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:				
1150	Economic opportunity loans	301	250	225
1150	Handicapped loans	199	150	150
1150	Minority enterprise loans	215	200	195
1159	Total direct loan levels	715	600	570
Direct loan subsidy rates (in percent):				
1320	Economic opportunity loans	8.00	8.00	8.00
1320	Handicapped loans	3.01	2.66	2.66
1320	Minority enterprise loans	4.20	4.00	3.59
1329	Weighted average subsidy rate	5.45	5.39	5.19
Direct loan subsidy budget authority:				
1330	Economic opportunity loans	24	20	18
1330	Handicapped loans	6	4	4
1330	Minority enterprise loans	9	8	7
1339	Total subsidy budget authority	39	32	29
Direct loan subsidy outlays:				
1340	Economic opportunity loans	12	10	9
1340	Handicapped loans	3	4	4
1340	Minority enterprise loans	4	7	8
1349	Total, subsidy outlays	19	21	21
Guaranteed loan levels supportable by subsidy budget authority:				
2150	General business loans	1,120	1,200	1,215
2150	Minority enterprise loans	8,790	9,000	9,250
2159	Total guaranteed loan levels	10,000	10,200	10,465
Guaranteed loan subsidy rates (in percent):				
2320	General business loans	2.41	2.42	2.47
2320	Minority enterprise loans	0.91	0.90	0.92
2329	Weighted average subsidy rate	1.07	1.08	1.10
Guaranteed loan subsidy budget authority:				
2330	General business loans	27	29	30
2330	Minority enterprise loans	80	81	85
2339	Total subsidy budget authority	107	110	115
Guaranteed loan subsidy outlays:				
2340	General business loans	13	25	29
2340	Minority enterprise loans	40	72	83
2349	Total subsidy outlays	53	97	112
Administrative expense data:				
3510	Budget authority	19	18	17
3590	Outlays from new authority	19	18	17

Status of Direct Loans (in millions of dollars)

Identification code 83-4200-0-3-155		2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:				
1111	Limitation on direct loans	650	600	570
1150	Total direct loan obligations	650	600	570
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	200	525	1,105
1231	Disbursements: Direct loan disbursements	338	586	597

1251	Repayments: Repayments and prepayments	-3	-6	-7
Write-offs for default:				
1263	Direct loans	-10		
1290	Outstanding, end of year	525	1,105	1,695

Status of Guaranteed Loans (in millions of dollars)

Identification code 83-4100-0-3-155		2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitations on commitments:				
2111	Limitations on guaranteed loans made by private lenders	10,000	10,200	10,465
2150	Total guaranteed loan commitments	10,000	10,200	10,465

MEMORANDUM

2199	Guaranteed amount of guaranteed loan commitments	7,500	7,650	7,849
Cumulative balance of guaranteed loans outstanding:				
2210	Disbursements: Outstanding start of year		5,000	13,895
2231	Repayments: Disbursements of new guaranteed loans	5,000	9,100	10,312
2251	Repayments and prepayments		-200	-375
Adjustments:				
2261	Terminations for default that result in a loan receivable		-5	-13
2290	Outstanding, end of year	5,000	13,895	23,820

MEMORANDUM

2299	Guaranteed amount of guaranteed loans outstanding, end of year	3,750	10,421	17,865
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ADDENDUM

Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year			5
2331	Disbursements for guaranteed loan claims		5	13
2351	Repayments of loans receivable			-3
2361	Write-off of loans receivable			-2
2390	Outstanding, start of year		5	13

UNAVAILABLE COLLECTIONS SCHEDULE

This schedule is printed for special fund and trust fund accounts to show the amount of receipts that are credited to them. It also shows any balances of unappropriated receipts or receipts that are precluded from obligation because of a provision of law, such as a benefit formula or limitation on obligations. The schedule also is printed for other types of accounts with offsetting collections that are unavailable for obligation because of limitations on obligations.

STATUS OF CONTRACT AUTHORITY SCHEDULE

Contract authority is a form of budget authority that permits obligations to be incurred but requires a subsequent appropriation to liquidate (pay) the obligation. Where applicable, this schedule follows the program and financing schedule and reports the amount of contract authority for which appropriations to liquidate have not been provided or requested.

BUDGET PLAN SCHEDULE

This schedule is printed only for certain accounts in the Department of Defense—Military chapter. It shows obligations incurred or estimated to be incurred from an appropriation, regardless of the timing of the obligation.

STATUS OF FUNDS SCHEDULE

This schedule reports balances, cash income, and cash outgo for major trust funds and certain other accounts. When

present, it appears after the narrative statement for the fund or account.

GENERAL FUND RECEIPT ACCOUNTS SCHEDULE

This schedule shows the amount of receipts attributed to an agency that are credited to the general fund of the Treasury. It is printed at the end of the presentation for the agency, before any general provisions. (Receipts that are credited to a special fund or trust fund for which the agency is responsible are shown in an Unavailable Collections schedule presented with other schedules for the fund.)

ALLOCATIONS BETWEEN AGENCIES

In some cases, funds appropriated to the President or to an agency are allocated to one or more agencies that help to carry out a program. Obligations incurred under such allocations are included in the data for the account to which

the appropriation is made in the allocating agency. The object classification schedule for such accounts identifies the amount of such obligations by performing agency. A note at the end of a bureau or equivalent grouping identifies allocations received from other agencies.

BUDGETS NOT SUBJECT TO REVIEW

In accordance with law or established practice, the presentations for the Legislative Branch, the Judiciary, the Milk Market Orders Assessment Fund of the Department of Agriculture, and the International Trade Commission have been included, without review, in the amounts submitted by the agencies.

The budgets of the privately owned Government-sponsored enterprises and the Board of Governors of the Federal Reserve System, are not subject to review; they are included for information purposes only.

GOVERNMENT-WIDE GENERAL PROVISIONS

TITLE VI—GENERAL PROVISIONS

DEPARTMENTS, AGENCIES, AND CORPORATIONS

SEC. 601. Funds appropriated in this or any other Act may be used to pay travel to the United States for the immediate family of employees serving abroad in cases of death or life threatening illness of said employee.

SEC. 602. No department, agency, or instrumentality of the United States receiving appropriated funds under this or any other Act for fiscal year ~~2002~~ 2003 shall obligate or expend any such funds, unless such department, agency, or instrumentality has in place, and will continue to administer in good faith, a written policy designed to ensure that all of its workplaces are free from the illegal use, possession, or distribution of controlled substances (as defined in the Controlled Substances Act) by the officers and employees of such department, agency, or instrumentality.

SEC. 603. Unless otherwise specifically provided, the maximum amount allowable during the current fiscal year in accordance with section 16 of the Act of August 2, 1946 (60 Stat. 810), for the purchase of any passenger motor vehicle (exclusive of buses, ambulances, law enforcement, and undercover surveillance vehicles), is hereby fixed at \$8,100 except station wagons for which the maximum shall be \$9,100: *Provided*, That these limits may be exceeded by not to exceed \$3,700 for police-type vehicles, and by not to exceed \$4,000 for special heavy-duty vehicles: *Provided further*, That the limits set forth in this section may not be exceeded by more than 5 percent for electric or hybrid vehicles purchased for demonstration under the provisions of the Electric and Hybrid Vehicle Research, Development, and Demonstration Act of 1976: *Provided further*, That the limits set forth in this section may be exceeded by the incremental cost of clean alternative fuels vehicles acquired pursuant to Public Law 101-549 over the cost of comparable conventionally fueled vehicles.

SEC. 604. Appropriations of the executive departments and independent establishments for the current fiscal year available for expenses of travel, or for the expenses of the activity concerned, are hereby made available for quarters allowances and cost-of-living allowances, in accordance with 5 U.S.C. 5922-5924.

SEC. 605. Unless otherwise specified during the current fiscal year, no part of any appropriation contained in this or any other Act shall be used to pay the compensation of any officer or employee of the Government of the United States (including any agency the majority of the stock of which is owned by the Government of the United States) whose post of duty is in the continental United States unless such person: (1) is a citizen of the United States; (2) is a person in the service of the United States on the date of the enactment of this Act who, being eligible for citizenship, has filed a declaration of intention to become a citizen of the United States prior to such date and is actually residing in the United States; (3) is a person who owes allegiance to the United States; (4) is an alien from Cuba, Poland, South Vietnam, the countries of the former Soviet Union, or the Baltic countries lawfully admitted to the United States for permanent residence; (5) is a South Vietnamese, Cambodian, or Laotian refugee paroled in the United States after January 1, 1975; or (6) is a national of the People's Republic of China who qualifies for adjustment of status pursuant to the Chinese Student Protection Act of 1992: *Provided*, That for the purpose of this section, an affidavit signed by any such person shall be considered prima facie evidence that the requirements of this section with respect to his or her status have been complied with: *Provided further*, That any person making a false affidavit shall be guilty of a felony, and, upon conviction, shall be fined no more than \$4,000 or imprisoned for not more than 1 year, or both: *Provided further*, That the above penal clause shall be in addition to, and not in substitution for, any other provisions of existing law: *Provided further*, That any payment made to any officer or employee contrary to the provisions of this section shall be recoverable in action by the Federal Government. This section shall not apply to citizens of Ireland, Israel, or the Republic of the Philippines, or to nationals of those countries allied with the United States in a current defense effort, or to international broadcasters employed by the United States Information

Agency, or to temporary employment of translators, or to temporary employment in the field service (not to exceed 60 days) as a result of emergencies.

SEC. 606. Appropriations available to any department or agency during the current fiscal year for necessary expenses, including maintenance or operating expenses, shall also be available for payment to the General Services Administration for charges for space and services and those expenses of renovation and alteration of buildings and facilities which constitute public improvements performed in accordance with the Public Buildings Act of 1959 (73 Stat. 749), the Public Buildings Amendments of 1972 (87 Stat. 216), or other applicable law.

SEC. 607. In addition to funds provided in this or any other Act, all Federal agencies are authorized to receive and use funds resulting from the sale of materials, including Federal records disposed of pursuant to a records schedule recovered through recycling or waste prevention programs. Such funds shall be available until expended for the following purposes:

(1) Acquisition, waste reduction and prevention, and recycling programs as described in Executive Order No. 13101 (September 14, 1998), including any such programs adopted prior to the effective date of the Executive order.

(2) Other Federal agency environmental management programs, including, but not limited to, the development and implementation of hazardous waste management and pollution prevention programs.

(3) Other employee programs as authorized by law or as deemed appropriate by the head of the Federal agency.

SEC. 608. Funds made available by this or any other Act for administrative expenses in the current fiscal year of the corporations and agencies subject to chapter 91 of title 31, United States Code, shall be available, in addition to objects for which such funds are otherwise available, for rent in the District of Columbia; services in accordance with 5 U.S.C. 3109; and the objects specified under this head, all the provisions of which shall be applicable to the expenditure of such funds unless otherwise specified in the Act by which they are made available: *Provided*, That in the event any functions budgeted as administrative expenses are subsequently transferred to or paid from other funds, the limitations on administrative expenses shall be correspondingly reduced.

[SEC. 609. No part of any appropriation for the current fiscal year contained in this or any other Act shall be paid to any person for the filling of any position for which he or she has been nominated after the Senate has voted not to approve the nomination of said person.]

SEC. [610] 609. No part of any appropriation contained in this or any other Act shall be available for interagency financing of boards (except Federal Executive Boards), commissions, councils, committees, or similar groups (whether or not they are interagency entities) which do not have a prior and specific statutory approval to receive financial support from more than one agency or instrumentality.

SEC. [611] 610. Funds made available by this or any other Act to the Postal Service Fund (39 U.S.C. 2003) shall be available for employment of guards for all buildings and areas owned or occupied by the Postal Service and under the charge and control of the Postal Service, and such guards shall have, with respect to such property, the powers of special policemen provided by the first section of the Act of June 1, 1948, as amended (62 Stat. 281; 40 U.S.C. 318), and, as to property owned or occupied by the Postal Service, the Postmaster General may take the same actions as the Administrator of General Services may take under the provisions of sections 2 and 3 of the Act of June 1, 1948, as amended (62 Stat. 281; 40 U.S.C. 318a and 318b), attaching thereto penal consequences under the authority and within the limits provided in section 4 of the Act of June 1, 1948, as amended (62 Stat. 281; 40 U.S.C. 318c).

[SEC. 612. None of the funds made available pursuant to the provisions of this Act shall be used to implement, administer, or enforce any regulation which has been disapproved pursuant to a resolution of disapproval duly adopted in accordance with the applicable law of the United States.]

DEPARTMENTS, AGENCIES, AND CORPORATIONS—Continued

SEC. [613] 611. (a) Notwithstanding any other provision of law, and except as otherwise provided in this section, no part of any of the funds appropriated for fiscal year [2002] 2003, by this or any other Act, may be used to pay any prevailing rate employee described in section 5342(a)(2)(A) of title 5, United States Code—

(1) during the period from the date of expiration of the limitation imposed by section 613 of the Treasury and General Government Appropriations Act, [2001] 2002, until the normal effective date of the applicable wage survey adjustment that is to take effect in fiscal year [2002] 2003, in an amount that exceeds the rate payable for the applicable grade and step of the applicable wage schedule in accordance with such section [613] 611; and

(2) during the period consisting of the remainder of fiscal year [2002] 2003, in an amount that exceeds, as a result of a wage survey adjustment, the rate payable under paragraph (1) by more than the sum of—

(A) the percentage adjustment taking effect in fiscal year [2002] 2003 under section 5303 of title 5, United States Code, in the rates of pay under the General Schedule; and

(B) the difference between the overall average percentage of the locality-based comparability payments taking effect in fiscal year [2002] 2003 under section 5304 of such title (whether by adjustment or otherwise), and the overall average percentage of such payments which was effective in fiscal year [2001] 2002 under such section.

(b) Notwithstanding any other provision of law, no prevailing rate employee described in subparagraph (B) or (C) of section 5342(a)(2) of title 5, United States Code, and no employee covered by section 5348 of such title, may be paid during the periods for which subsection (a) is in effect at a rate that exceeds the rates that would be payable under subsection (a) were subsection (a) applicable to such employee.

(c) For the purposes of this section, the rates payable to an employee who is covered by this section and who is paid from a schedule not in existence on September 30, [2001] 2002, shall be determined under regulations prescribed by the Office of Personnel Management.

(d) Notwithstanding any other provision of law, rates of premium pay for employees subject to this section may not be changed from the rates in effect on September 30, [2001] 2002, except to the extent determined by the Office of Personnel Management to be consistent with the purpose of this section.

(e) This section shall apply with respect to pay for service performed after September 30, [2001] 2002.

(f) For the purpose of administering any provision of law (including any rule or regulation that provides premium pay, retirement, life insurance, or any other employee benefit) that requires any deduction or contribution, or that imposes any requirement or limitation on the basis of a rate of salary or basic pay, the rate of salary or basic pay payable after the application of this section shall be treated as the rate of salary or basic pay.

(g) Nothing in this section shall be considered to permit or require the payment to any employee covered by this section at a rate in excess of the rate that would be payable were this section not in effect.

(h) The Office of Personnel Management may provide for exceptions to the limitations imposed by this section if the Office determines that such exceptions are necessary to ensure the recruitment or retention of qualified employees.

SEC. [614] 612. During the period in which the head of any department or agency, or any other officer or civilian employee of the Government appointed by the President of the United States, holds office, no funds may be obligated or expended in excess of \$5,000 to furnish or redecorate the office of such department head, agency head, officer, or employee, or to purchase furniture or make improvements for any such office, unless advance notice of such furnishing or redecoration is expressly approved by the Committees on Appropriations. For the purposes of this section, the word "office" shall include the entire suite of offices assigned to the individual, as well as any other space used primarily by the individual or the use of which is directly controlled by the individual.

SEC. [615] 613. Notwithstanding any other provision of law, no executive branch agency shall purchase, construct, and/or lease any additional facilities, except within or contiguous to existing locations, to be used for the purpose of conducting Federal law enforcement training without [the] advance [approval of] notice transmitted to the Committees on Appropriations, except that the Federal Law En-

forcement Training Center is authorized to obtain the temporary use of additional facilities by lease, contract, or other agreement for training which cannot be accommodated in existing Center facilities.

SEC. [616] 614. Notwithstanding section 1346 of title 31, United States Code, or section [610] 609 of this Act, funds made available for the current fiscal year [2002] by this or any other Act shall be available for the interagency funding of national security and emergency preparedness telecommunications initiatives which benefit multiple Federal departments, agencies, or entities, as provided by Executive Order No. 12472 (April 3, 1984).

SEC. [617] 615. (a) None of the funds appropriated by this or any other Act may be obligated or expended by any Federal department, agency, or other instrumentality for the salaries or expenses of any employee appointed to a position of a confidential or policy-determining character excepted from the competitive service pursuant to section 3302 of title 5, United States Code, without a certification to the Office of Personnel Management from the head of the Federal department, agency, or other instrumentality employing the Schedule C appointee that the Schedule C position was not created solely or primarily in order to detail the employee to the White House.

(b) The provisions of this section shall not apply to Federal employees or members of the armed services detailed to or from—

- (1) the Central Intelligence Agency;
- (2) the National Security Agency;
- (3) the Defense Intelligence Agency;
- (4) the offices within the Department of Defense for the collection of specialized national foreign intelligence through reconnaissance programs;
- (5) the Bureau of Intelligence and Research of the Department of State;
- (6) any agency, office, or unit of the Army, Navy, Air Force, and Marine Corps, the Federal Bureau of Investigation and the Drug Enforcement Administration of the Department of Justice, the Department of Transportation, the Department of the Treasury, and the Department of Energy performing intelligence functions; and

(7) the Director of Central Intelligence.

SEC. [618] 616. No department, agency, or instrumentality of the United States receiving appropriated funds under this or any other Act for the current fiscal year [2002] shall obligate or expend any such funds, unless such department, agency, or instrumentality has in place, and will continue to administer in good faith, a written policy designed to ensure that all of its workplaces are free from discrimination and sexual harassment and that all of its workplaces are not in violation of title VII of the Civil Rights Act of 1964, as amended, the Age Discrimination in Employment Act of 1967, and the Rehabilitation Act of 1973.

SEC. [619] 617. None of the funds made available in this Act for the United States Customs Service may be used to allow—

- (1) the importation into the United States of any good, ware, article, or merchandise mined, produced, or manufactured by forced or indentured child labor, as determined pursuant to section 307 of the Tariff Act of 1930 (19 U.S.C. 1307); or
- (2) the release into the United States of any good, ware, article, or merchandise on which the United States Customs Service has in effect a detention order, pursuant to such section 307, on the basis that the good, ware, article, or merchandise may have been mined, produced, or manufactured by forced or indentured child labor.

SEC. [620] 618. No part of any appropriation contained in this or any other Act shall be available for the payment of the salary of any officer or employee of the Federal Government, who—

- (1) prohibits or prevents, or attempts or threatens to prohibit or prevent, any other officer or employee of the Federal Government from having any direct oral or written communication or contact with any Member, committee, or subcommittee of the Congress in connection with any matter pertaining to the employment of such other officer or employee or pertaining to the department or agency of such other officer or employee in any way, irrespective of whether such communication or contact is at the initiative of such other officer or employee or in response to the request or inquiry of such Member, committee, or subcommittee; or

(2) removes, suspends from duty without pay, demotes, reduces in rank, seniority, status, pay, or performance of efficiency rating, denies promotion to, relocates, reassigns, transfers, disciplines, or discriminates in regard to any employment right, entitlement, or benefit, or any term or condition of employment of, any other officer

or employee of the Federal Government, or attempts or threatens to commit any of the foregoing actions with respect to such other officer or employee, by reason of any communication or contact of such other officer or employee with any Member, committee, or subcommittee of the Congress as described in paragraph (1).

[SEC. 621. (a) None of the funds made available in this or any other Act may be obligated or expended for any employee training that—

(1) does not meet identified needs for knowledge, skills, and abilities bearing directly upon the performance of official duties;

(2) contains elements likely to induce high levels of emotional response or psychological stress in some participants;

(3) does not require prior employee notification of the content and methods to be used in the training and written end of course evaluation;

(4) contains any methods or content associated with religious or quasi-religious belief systems or “new age” belief systems as defined in Equal Employment Opportunity Commission Notice N-915.022, dated September 2, 1988; or

(5) is offensive to, or designed to change, participants’ personal values or lifestyle outside the workplace.

(b) Nothing in this section shall prohibit, restrict, or otherwise preclude an agency from conducting training bearing directly upon the performance of official duties.】

[SEC. 622. No funds appropriated in this or any other Act may be used to implement or enforce the agreements in Standard Forms 312 and 4414 of the Government or any other nondisclosure policy, form, or agreement if such policy, form, or agreement does not contain the following provisions: “These restrictions are consistent with and do not supersede, conflict with, or otherwise alter the employee obligations, rights, or liabilities created by Executive Order No. 12958; section 7211 of title 5, United States Code (governing disclosures to Congress); section 1034 of title 10, United States Code, as amended by the Military Whistleblower Protection Act (governing disclosure to Congress by members of the military); section 2302(b)(8) of title 5, United States Code, as amended by the Whistleblower Protection Act (governing disclosures of illegality, waste, fraud, abuse or public health or safety threats); the Intelligence Identities Protection Act of 1982 (50 U.S.C. 421 et seq.) (governing disclosures that could expose confidential Government agents); and the statutes which protect against disclosure that may compromise the national security, including sections 641, 793, 794, 798, and 952 of title 18, United States Code, and section 4(b) of the Subversive Activities Act of 1950 (50 U.S.C. 783(b)). The definitions, requirements, obligations, rights, sanctions, and liabilities created by said Executive order and listed statutes are incorporated into this agreement and are controlling.”: *Provided*, That notwithstanding the preceding paragraph, a nondisclosure policy form or agreement that is to be executed by a person connected with the conduct of an intelligence or intelligence-related activity, other than an employee or officer of the United States Government, may contain provisions appropriate to the particular activity for which such document is to be used. Such form or agreement shall, at a minimum, require that the person will not disclose any classified information received in the course of such activity unless specifically authorized to do so by the United States Government. Such nondisclosure forms shall also make it clear that they do not bar disclosures to Congress or to an authorized official of an executive agency or the Department of Justice that are essential to reporting a substantial violation of law.】

SEC. [623] 619. No part of any funds appropriated in this or any other Act shall be used by an agency of the executive branch, other than for normal and recognized executive-legislative relationships, for publicity or propaganda purposes, and for the preparation, distribution or use of any kit, pamphlet, booklet, publication, radio, television or film presentation designed to support or defeat legislation pending before the Congress, except in presentation to the Congress itself.

SEC. [624] 620. None of the funds appropriated by this or any other Act may be used by an agency to provide a Federal employee’s home address to any labor organization except when the employee has authorized such disclosure or when such disclosure has been ordered by a court of competent jurisdiction.

[SEC. 625. None of the funds made available in this Act or any other Act may be used to provide any non-public information such as mailing or telephone lists to any person or any organization outside of the Federal Government without the approval of the Committees on Appropriations.】

SEC. [626] 621. No part of any appropriation contained in this or any other Act shall be used for publicity or propaganda purposes within the United States nor heretofore authorized by the Congress.

[SEC. 627. (a) In this section the term “agency”—

(1) means an Executive agency as defined under section 105 of title 5, United States Code;

(2) includes a military department as defined under section 102 of such title, the Postal Service, and the Postal Rate Commission; and

(3) shall not include the General Accounting Office.

(b) Unless authorized in accordance with law or regulations to use such time for other purposes, an employee of an agency shall use official time in an honest effort to perform official duties. An employee not under a leave system, including a Presidential appointee exempted under section 6301(2) of title 5, United States Code, has an obligation to expend an honest effort and a reasonable proportion of such employee’s time in the performance of official duties.】

SEC. [628] 622. Notwithstanding 31 U.S.C. 1346 and section [610] 609 of this Act, funds made available for the current fiscal year [2002] by this or any other Act to any department or agency, which is a member of the Joint Financial Management Improvement Program (JFMIP), shall be available to finance an appropriate share of JFMIP administrative costs, as determined by the JFMIP, but not to exceed a total of \$800,000 including the salary of the Executive Director and staff support.

SEC. [629] 623. Notwithstanding 31 U.S.C. 1346 and section [610] 609 of this Act, the head of each Executive department and agency is hereby authorized to transfer to the “Policy and [Operations] Citizen Services” account, General Services Administration, with the approval of the Director of the Office of Management and Budget, funds made available for the current fiscal year [2002] by this or any other Act, including rebates from charge card and other contracts. These funds shall be administered by the Administrator of General Services to support Government-wide financial, information technology, procurement, and other management innovations, initiatives, and activities, as approved by the Director of the Office of Management and Budget, in consultation with the appropriate interagency groups designated by the Director (including the Chief Financial Officers Council and the Joint Financial Management Improvement Program for financial management initiatives, the Chief Information Officers Council for information technology initiatives, and the Procurement Executives Council for procurement initiatives). The total funds transferred shall not exceed \$17,000,000. Such transfers may only be made 15 days following notification of the Committees on Appropriations by the Director of the Office of Management and Budget.

[SEC. 630. (a) IN GENERAL.—Hereafter, in accordance with regulations promulgated by the Office of Personnel Management, an Executive agency which provides or proposes to provide child care services for Federal employees may use appropriated funds (otherwise available to such agency for salaries and expenses) to provide child care, in a Federal or leased facility, or through contract, for civilian employees of such agency.

(b) AFFORDABILITY.—Amounts so provided with respect to any such facility or contractor shall be applied to improve the affordability of child care for lower income Federal employees using or seeking to use the child care services offered by such facility or contractor.

(c) ADVANCES.—Notwithstanding 31 U.S.C. 3324, amounts paid to licensed or regulated child care providers may be in advance of services rendered, covering agreed upon periods, as appropriate.

(d) DEFINITION.—For purposes of this section, the term “Executive agency” has the meaning given such term by section 105 of title 5, United States Code, but does not include the General Accounting Office.

(e) NOTIFICATION.—None of the funds made available in this or any other Act may be used to implement the provisions of this section absent advance notification to the Committees on Appropriations.】

SEC. [631] 624. Notwithstanding any other provision of law, a woman may breastfeed her child at any location in a Federal building or on Federal property, if the woman and her child are otherwise authorized to be present at the location.

SEC. [632] 625. Notwithstanding section 1346 of title 31, United States Code, or section [610] 609 of this Act, funds made available for the current fiscal year [2002] by this or any other Act shall be available for the interagency funding of specific projects, workshops, studies, and similar efforts to carry out the purposes of the National Science and Technology Council (authorized by Executive Order No. 12881), which benefit multiple Federal departments, agencies, or entities: *Provided*, That the Office of Management and Budget

DEPARTMENTS, AGENCIES, AND CORPORATIONS—Continued

shall provide a report describing the budget of and resources connected with the National Science and Technology Council to the Committees on Appropriations, the House Committee on Science; and the Senate Committee on Commerce, Science, and Transportation 90 days after enactment of this Act.

SEC. [633] 626. Any request for proposals, solicitation, grant application, form, notification, press release, or other publications involving the distribution of Federal funds shall indicate the agency providing the funds and the amount provided. This provision shall apply to direct payments, formula funds, and grants received by a State receiving Federal funds.

SEC. [634] 627. Subsection (f) of section 403 of Public Law 103-356 (31 U.S.C. 501 note) is amended by striking "October 1, [2001] 2002" and inserting "October 1, [2002] 2003".

[SEC. 635. Section 3 of Public Law 93-346 as amended (3 U.S.C. 111 note) is amended by inserting " , utilities (including electrical) for," after "military staffing".]

[SEC. 636. Section 6 of Public Law 93-346 as amended (3 U.S.C. 111 note) is amended by inserting " , or for use at official functions in or about," after "about".]

[SEC. 637. During fiscal year 2002 and thereafter, the head of an entity named in 3 U.S.C. 112 may, with respect to civilian personnel of any branch of the Federal Government performing duties in such entity, exercise authority comparable to the authority that may by law (including chapter 57 and sections 8344 and 8468 of title 5, United States Code) be exercised with respect to the employees of an Executive agency (as defined in 5 U.S.C. 105) by the head of such Executive agency, and the authority granted by this section shall be in addition to any other authority available in law.]

[SEC. 638. Each Executive agency covered by section 630 of the Treasury and General Government Appropriations Act, 1999 (as contained in section 101(h) of division A of Public Law 105-277) shall submit a report 60 days after the close of fiscal year 2001 to the Office of Personnel Management regarding its efforts to implement the intent of such section 630. The Office of Personnel Management shall prepare a summary of the information received and shall submit the summary report to the House Committee on Appropriations 90 days after the close of fiscal year 2001.]

SEC. [639] 628. (a) PROHIBITION OF FEDERAL AGENCY MONITORING OF PERSONAL INFORMATION ON USE OF INTERNET.—None of the funds made available in this or any other Act may be used by any Federal agency—

(1) to collect, review, or create any aggregate list, derived from any means, that includes the collection of any personally identifiable information relating to an individual's access to or use of any Federal Government Internet site of the agency; or

(2) to enter into any agreement with a third party (including another government agency) to collect, review, or obtain any aggregate list, derived from any means, that includes the collection of any personally identifiable information relating to an individual's access to or use of any nongovernmental Internet site.

(b) EXCEPTIONS.—The limitations established in subsection (a) shall not apply to—

(1) any record of aggregate data that does not identify particular persons;

(2) any voluntary submission of personally identifiable information;

(3) any action taken for law enforcement, regulatory, or supervisory purposes, in accordance with applicable law; or

(4) any action described in subsection (a)(1) that is a system security action taken by the operator of an Internet site and is necessarily incident to the rendition of the Internet site services or to the protection of the rights or property of the provider of the Internet site.

(c) DEFINITIONS.—For the purposes of this section:

(1) The term "regulatory" means agency actions to implement, interpret or enforce authorities provided in law.

(2) The term "supervisory" means examinations of the agency's supervised institutions, including assessing safety and soundness, overall financial condition, management practices and policies and compliance with applicable standards as provided in law.

[SEC. 640. (a) Section 8335(a) of title 5, United States Code, is amended by striking the period at the end of the first sentence and inserting: "or completes the age and service requirements for an annuity under section 8336, whichever occurs later."]

(b) The amendment made by subsection (a) takes effect on the date of enactment with regard to any individual subject to chapter

83 of title 5, United States Code, who is employed as an air traffic controller on that date.]

[SEC. 641. (a) IN GENERAL.—Title 5, United States Code, is amended by inserting after section 4507 the following:

"§ 4507a. Awarding of ranks to other senior career employees

"(a) For the purpose of this section, the term 'senior career employee' means an individual appointed to a position classified above GS-15 and paid under section 5376 who is not serving—

"(1) under a time-limited appointment; or

"(2) in a position that is excepted from the competitive service because of its confidential or policy-making character.

"(b) Each agency employing senior career employees shall submit annually to the Office of Personnel Management recommendations of senior career employees in the agency to be awarded the rank of Meritorious Senior Professional or Distinguished Senior Professional, which may be awarded by the President for sustained accomplishment or sustained extraordinary accomplishment, respectively.

"(c) The recommendations shall be made, reviewed, and awarded under the same terms and conditions (to the extent determined by the Office of Personnel Management) that apply to rank awards for members of the Senior Executive Service under section 4507."

(b) REGULATIONS.—Section 4506 of title 5, United States Code, is amended by striking "the agency awards program" and inserting "the awards programs".

(c) CLERICAL AMENDMENT.—The table of sections for chapter 45 of title 5, United States Code, is amended by inserting after the item relating to section 4507 the following:

"4507a. Awarding of ranks to other senior career employees."

(d) The amendments made by this section shall take effect for awards granted in 2003.]

[SEC. 642. Section 640(c) of the Treasury and General Government Appropriations Act, 2000 (Public Law 106-58; 2 U.S.C. 437g note) is amended by striking "violations occurring between January 1, 2000 and December 31, 2001" and inserting "violations that relate to reporting periods that begin on or after January 1, 2000, and that end on or before December 31, 2003".]

SEC. [643] 629. (a) None of the funds appropriated by this Act may be used to enter into or renew a contract which includes a provision providing prescription drug coverage, except where the contract also includes a provision for contraceptive coverage.

(b) Nothing in this section shall apply to a contract with—

(1) any of the following religious plans:

(A) Personal Care's HMO; and

(B) OSF Health Plans, Inc.; and

(2) any existing or future plan, if the carrier for the plan objects to such coverage on the basis of religious beliefs.

(c) In implementing this section, any plan that enters into or renews a contract under this section may not subject any individual to discrimination on the basis that the individual refuses to prescribe or otherwise provide for contraceptives because such activities would be contrary to the individual's religious beliefs or moral convictions.

(d) Nothing in this section shall be construed to require coverage of abortion or abortion-related services.

SEC. [644] 630. The Congress of the United States recognizes the United States Anti-Doping Agency (USADA) as the official anti-doping agency for Olympic, Pan American, and Paralympic sport in the United States.

[SEC. 645. (a) Section 1238(e)(3) of the Floyd D. Spence National Defense Authorization Act for Fiscal Year 2001 (as enacted by Public Law 106-398) is amended by adding at the end the following: "The executive director and any personnel who are employees of the United States-China Security Review Commission shall be employees under section 2105 of title 5, United States Code, for purposes of chapters 63, 81, 83, 84, 85, 87, 89, and 90 of that title."]

(b) The amendment made by this section shall take effect on January 3, 2001.]

[SEC. 646. (a) The adjustment in rates of basic pay for the statutory pay systems that takes effect in fiscal year 2002 under sections 5303 and 5304 of title 5, United States Code, shall be an increase of 4.6 percent.

(b) Funds used to carry out this section shall be paid from appropriations which are made to each applicable department or agency for salaries and expenses for fiscal year 2002.]

SEC. [647] 631. Not later than 6 months after the date of enactment of this Act, the Inspector General of each applicable department

or agency shall submit to the Committee on Appropriations a report detailing what policies and procedures are in place for each department or agency to give first priority to the location of new offices and other facilities in rural areas, as directed by the Rural Development Act of 1972.

[SEC. 648. DEADLINE FOR SUBMISSION OF ANNUAL REPORTS BY UNITED STATES-CHINA SECURITY REVIEW COMMISSION. Section 1238(c)(1) of the Floyd D. Spence National Defense Authorization Act for Fiscal Year 2001 (as enacted into law by section 1 of Public Law 106–398) is amended by striking “March” and inserting “June.”]

[SEC. 649. Subsection (a) of section 2105 of title 44, United States Code, is amended to read as follows:

“(a)(1) The Archivist is authorized to select, appoint, employ, and fix the compensation of such officers and employees, pursuant to part III of title 5, as are necessary to perform the functions of the Archivist and the Administration.

“(2) Notwithstanding paragraph (1), the Archivist is authorized to appoint, subject to the consultation requirements set forth in paragraph (f)(2) of section 2203 of this title, a director at each Presidential archival depository established under section 2112 of this title. The Archivist may appoint a director without regard to subchapter I and subchapter VIII of chapter 33 of title 5, United States Code, governing appointments in the competitive service and the Senior Executive Service. A director so appointed shall be responsible for the care and preservation of the Presidential records and historical materials deposited in a Presidential archival depository, shall serve at the pleasure of the Archivist and shall perform such other functions as the Archivist may specify.”]

[SEC. 650. REAUTHORIZATION OF BREAST CANCER RESEARCH SPECIAL POSTAGE STAMP. (a) **SHORT TITLE.**—This section may be cited as the “Breast Cancer Research Stamp Act of 2001”.

(b) **REAUTHORIZATION AND INAPPLICABILITY OF LIMITATION.**—

(1) **IN GENERAL.**—Section 414 of title 39, United States Code, is amended by striking subsection (g) and inserting the following:

“(g) For purposes of section 416 (including any regulation prescribed under subsection (e)(1)(C) of that section), the special postage stamp issued under this section shall not apply to any limitation relating to whether more than 1 semipostal may be offered for sale at the same time.

“(h) This section shall cease to be effective after December 31, 2003.”.

(2) **EFFECTIVE DATE.**—The amendment made by this subsection shall take effect on the earlier of—

(A) the date of enactment of this Act; or

(B) July 29, 2002.

(c) **RATE OF POSTAGE.**—Section 414(b) of title 39, United States Code, is amended—

(1) in paragraph (1), by striking “of not to exceed 25 percent” and inserting “of not less than 15 percent”; and

(2) by adding after the sentence following paragraph (3) the following: “The special rate of postage of an individual stamp under this section shall be an amount that is evenly divisible by 5.”]

[SEC. 651. AMENDMENT TO TITLE 39. Section 5402(d) of title 39, United States Code, is amended by—

(1) inserting “(1)” after “(d)”; and

(2) inserting at the end the following:

“(2)(A) In the exercise of its authority under paragraph (1), the Postal Service may require any air carrier to accept as mail shipments of day-old poultry and such other live animals as postal regulations allow to be transmitted as mail matter. The authority of the Postal Service under this subparagraph shall not apply in the case of any air carrier who commonly and regularly refuses to accept any live animals as cargo.

“(B) Notwithstanding any other provision of law, the Postal Service is authorized to assess, as postage to be paid by the mailers of any shipments covered by subparagraph (A), a reasonable surcharge that the Postal Service determines in its discretion to be adequate to compensate air carriers for any necessary additional expense incurred in handling such shipments.

“(C) The authority of the Postal Service under subparagraph (B) shall apply during the period beginning on the date of enactment of this paragraph, and ending June 30, 2002.”.]

[SEC. 652. THE 9/11 HEROES STAMP OF 2001. (a) **SHORT TITLE.**—This section may be cited as the “9/11 Heroes Stamp Act of 2001”.

(b) **IN GENERAL.**—In order to afford the public a direct and tangible way to provide assistance to the families of emergency relief personnel killed or permanently disabled in the line of duty in connection with the terrorist attacks against the United States on September

11, 2001, the United States Postal Service shall issue a semipostal in accordance with subsection (c).

(c) **REQUIREMENTS.**—The provisions of section 416(a), (c), (d), and (f) of title 39, United States Code, shall apply as practicable with respect to the semipostal described in subsection (b), subject to the following:

(1) **RATE OF POSTAGE.**—Section 414(c) of title 39, United States Code, is amended—

(A) in paragraph (1), by striking “of not to exceed 25 percent” and inserting “of not less than 15 percent”; and

(B) by adding after the sentence following paragraph (2) the following: “The special rate of postage of an individual stamp under this section shall be an amount that is evenly divisible by 5.”.

(2) **DISPOSITION OF AMOUNTS BECOMING AVAILABLE.**—All amounts becoming available from the sale of the semipostal (as determined under such section) shall be transferred to the Federal Emergency Management Agency under such arrangements as the Postal Service shall by mutual agreement with such agency establish in order to carry out the purposes of this section.

(3) **COMMENCEMENT AND TERMINATION DATES.**—Stamps under this section shall be issued—

(A) beginning on the earliest date practicable; and

(B) for such period of time as the Postal Service considers necessary and appropriate, but in no event after December 31, 2004.

(d) **LIMITATION.**—For purposes of section 416 of title 39, United States Code (including any regulation prescribed under subsection (e)(1)(C) of that section), the semipostal postage stamp issued under this section shall not apply to any limitation relating to whether more than one semipostal may be offered for sale at the same time.

(e) **DESIGN.**—It is the sense of the Congress that the semipostal issued under this section should depict, by such design as the Postal Service considers to be most appropriate, the efforts of emergency relief personnel at the site of the World Trade Center in New York City and the Pentagon in Arlington, Virginia.

(f) **DEFINITIONS.**—For purposes of this section—

(1) the term “emergency relief personnel” means firefighters, law enforcement officers, paramedics, emergency medical technicians, members of the clergy, and other individuals (including employees of legally organized and recognized volunteer organizations, whether compensated or not) who, in the course of professional duties, respond to fire, medical, hazardous material, or other similar emergencies; and

(2) the term “semipostal” has the meaning given such term by section 416 of title 39, United States Code.]

[SEC. 653. DOMESTIC VIOLENCE SEMIPOSTAL STAMP. (a) **SHORT TITLE.**—This section may be cited as the “Stamp Out Domestic Violence Act of 2001”.

(b) **IN GENERAL.**—In order to afford the public a direct and tangible way to contribute to funding for domestic violence programs, the United States Postal Service shall issue a semipostal in accordance with subsection (c).

(c) **REQUIREMENTS.**—The provisions of section 416 of title 39, United States Code, shall apply as practicable with respect to the semipostal described in subsection (b), subject to the following:

(1) **DISPOSITION OF AMOUNTS BECOMING AVAILABLE.**—All amounts becoming available from the sale of the semipostal (as determined under such section) shall be transferred to the Department of Health and Human Services under such arrangements as the Postal Service shall by mutual agreement with such agency establish in order to carry out the purposes of this section.

(2) **COMMENCEMENT AND TERMINATION DATES.**—Stamps under this section shall be issued—

(A) beginning on the earliest date practicable, but not later than January 1, 2004; and

(B) for such period of time as the Postal Service considers necessary and appropriate, but in no event after December 31, 2006.

(d) **LIMITATION.**—For purposes of section 416 of title 39, United States Code (including any regulation prescribed under subsection (e)(1)(C) of that section), the semipostal stamp issued under this section shall not apply to any limitation relating to whether more than one semipostal may be offered for sale at the same time.

(e) **DEFINITION.**—For purposes of this section the term “semipostal” has the meaning given such term by section 416 of title 39, United States Code.]

DEPARTMENTS, AGENCIES, AND CORPORATIONS—Continued

SEC. 632. ESTABLISHMENT OF AGENCY FEES FOR FECA ADMINISTRATION.

(a) AMENDMENTS TO EMPLOYEES' COMPENSATION FUND.—Section 8147 of title 5 of the United States Code is amended by—

(1) amending subsections (a) and (b) to read as follows:

“(a)(1) There is in the Treasury of the United States the Employees' Compensation Fund, which consists of sums that Congress, from time to time, may appropriate for or transfer to it, and amounts that otherwise accrue to it under this subchapter or other statute.

“(2) The Fund is available without time limit for the payment of compensation and other benefits and expenses authorized by this subchapter or any extension or application thereof, except expenses of the Employees' Compensation Appeals Board established under section 8149 of this subchapter and costs of administration not specified in this paragraph, or as otherwise provided by this subchapter or other statute. For purposes of this paragraph, the “cost of administration” shall refer to expenses for management, operation, and legal support of the program under this subchapter, for the administration of the Fund itself, the amounts determined pursuant to subsection (d) of this section, and expenses for legal services performed by or for the Secretary under sections 8131 and 8132 of this title.

“(3) There is an Administrative Expenses Account within the Fund, which consists of funds deposited pursuant to subsection (c)(3) of this section. The funds in the Account shall remain available until expended. There are hereby authorized to be appropriated out of the Administrative Expenses Account for each fiscal year such sums as may be necessary for the payment of the cost of administration referred to in paragraph (2), except the amounts determined pursuant to subsection (d) of this section or amounts to be expended for legal services performed by or for the Secretary under sections 8131 and 8132 of this title.

“(4) The Secretary of Labor shall submit annually to the Office of Management and Budget estimates of—

“(A) appropriations necessary for the maintenance of the Fund,

“(B) an estimate of the amounts needed for the Administrative Expense Account, and

“(C) an estimate of the amounts to be collected pursuant to subsection (d) of this subsection and to be used by the Secretary for the cost of administration as authorized in the appropriate annual appropriations act.

“(b)(1) Before August 15 of each year, the Secretary shall furnish to the Secretary of the Treasury a statement for each agency and instrumentality of the United States having an employee who is or may be entitled to compensation benefits under this subchapter, or any extension or application thereof, showing—

“(A) the total cost of benefits and other payments made from the Employees' Compensation Fund during the preceding July 1 through June 30 expense period on account of the injury or death of employees or individuals under the jurisdiction of the agency or instrumentality; and

“(B)(i) a surcharge on the amount reflected in subparagraph (A), as determined by the Secretary of Labor, reflecting each agency's portion of the estimate of the total amount needed for the Administrative Expenses Account for the fiscal year beginning in the next calendar year, or

“(ii) the amount owed pursuant to subsection (d) of this section.

“(2) Upon submission of the statement required under paragraph (1), the Secretary shall furnish to each applicable agency a copy of such statement reflecting the amount owed by the agency.

“(3) If an agency or instrumentality (or part or function thereof) is transferred to another agency or instrumentality, the cost of compensation benefits and other expenses paid from the Fund on account of the injury or death of employees of the transferred agency or instrumentality (or part or function) shall be included in costs of the receiving agency or instrumentality.”;

(2) redesignating subsection (c) as subsection (d);

(3) adding the following new subsection (c):

“(c)(1)(A) Except as provided in subparagraph (B), each agency and instrumentality shall include in its annual budget estimates for the fiscal year beginning in the next calendar year, a request for an appropriation in an amount equal to the costs and the surcharge specified in the statement provided under subsection (b) of this section.

“(B) An agency or instrumentality not dependent on an annual appropriation shall deposit in the Treasury to the credit of the Fund a sum equal to its costs and surcharge, if applicable, from available funds during the first fifteen days of October following the furnishing of the statement required under subsection (b)(2) of this section.

“(2) The Secretary of the Treasury shall credit to the Fund the sums specified in the statements furnished pursuant to subsection (b)(1)(A) and (B)(i) when such funds become available.

“(3) The Secretary of the Treasury shall transfer to the credit of the Administrative Expenses Account therein, the amounts specified in subsection (b)(1)(B)(i).”; and

(4) adding the following new subsection (e) after subsection (d) (as redesignated):

“(e)(1) Upon determination of the Secretary that funds available in the Administrative Expenses Account are less than the limitation established on the amount to be expended from such account by the applicable appropriation for the current year, and that amounts in addition to the amounts currently in the Administrative Expenses Account are needed, the Secretary shall request, and the Secretary of the Treasury shall advance from the Fund to the Administrative Expenses Account, such sums as may be necessary, not to exceed the amount of the limitation established under such appropriation.

“(2)(A) Sums advanced pursuant to paragraph (1) shall be repaid from the Administrative Expenses Account without interest. Except as provided in subparagraph (B), such sums shall be paid in the second quarter of the fiscal year following that in which the advance was made.

“(B)(i) Repayment may be deferred for any amount that the Secretary determines would reduce the account below the limitation established for the fiscal year in which repayment is due as provided in subparagraph (A).

“(ii) Deferred payments shall be made in the second quarter of the first fiscal year subsequent to that provided in subparagraph (A) to the extent that the Secretary determines that such payment would not reduce the account below the limitation established for that subsequent year.”.

(b) TRANSITION PROVISION.—

(1)(A) Upon enactment of this section, the Secretary of Labor shall furnish to the Secretary of the Treasury a supplemental statement for each agency and instrumentality of the United States having an employee who is or may be entitled to compensation benefits under this subchapter, or any extension or application thereof, showing each agency's portion, as determined by the Secretary of Labor, of the amount transferred from the Administrative Expenses Account to the Employment Standards Administration, “Salaries and Expenses” account for fiscal year 2003.

(B) Upon submission of the statement required under subparagraph (A), the Secretary of Labor shall furnish to each applicable agency a copy of such statement reflecting the amount owed by the agency.

(C)(i) Except as provided in clause (ii), the Secretary of the Treasury shall transfer the sums specified in the statements furnished pursuant to subparagraph (A) to the credit of the Administrative Expenses Account when such funds become available.

(ii) An agency or instrumentality not dependent on an annual appropriation shall deposit a sum equal to the amount of its supplemental statement, if applicable, in the Treasury to the credit of the Administrative Expenses Account from available funds.

(2) During fiscal year 2003, the cost of administration, as defined in 5 U.S.C. 8147(a), shall not include legal services, except those performed by or for the Secretary under 5 U.S.C. 8131, and 8132.

SEC. 633. Funds provided for personnel benefits by this or any other appropriations Act shall be available to pay the cost of accruals for pension and post-retirement health benefits as specified in the Managerial Flexibility Act of 2001 (S. 1612, as introduced in the Senate on November 1, 2001), contingent upon enactment of such legislation: Provided, That should such legislation not be enacted prior to the later of enactment of this Act or the end of the current session of Congress, funding in each account in this or any other appropriation Act shall be reduced by the amounts assumed for the cost of such accruals for each such account in the Appendix of the President's Budget for Fiscal Year 2003, as reported by the Director of the Office of Management and Budget.

SEC. 634. Not to exceed 5 percent of any appropriation made under any heading in this or any other Act may be transferred by the President to any other account, to be merged with and available for the same time and the same purposes as the account to which transferred: Provided, That no account to which amounts are transferred shall be increased by more than 50 percent by any such transfers: Provided further, That any such transfer shall become effective 15 days after notice thereof is transmitted to the Committees on Appropriations of the House and Senate. (Treasury and General Government Appropriations Act, 2002.)

[GENERAL PROVISIONS, THIS DIVISION]

[SEC. 1401. Amounts which may be obligated pursuant to this division are subject to the terms and conditions provided in Public Law 107-38.]

[SEC. 1402. No part of any appropriation contained in this division shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.]

[SEC. 1403. Notwithstanding any other provision of law, of the funds made available in this or any other Act, funds may be transferred to the Department of Defense from an agency receiving Na-

tional Guard services related to homeland security to cover the costs of such services that the agency incurred after the date of enactment of this Act: *Provided*, That such authority to transfer shall expire on April 30, 2002: *Provided further*, That each agency receiving National Guard services related to homeland security shall submit to the House and Senate Committees on Appropriations a detailed report of the National Guard's homeland defense activities and expenses incurred after the date of enactment of this Act and planned for the remainder of fiscal year 2002 for that agency and any proposed transfers 15 days prior to such transfers pursuant to this authority] (*Emergency Supplemental Act, 2002.*)

LEGISLATIVE BRANCH

SENATE

EXPENSE ALLOWANCES

For expense allowances of the Vice President, \$10,000; the President Pro Tempore of the Senate, \$10,000; Majority Leader of the Senate, \$10,000; Minority Leader of the Senate, \$10,000; Majority Whip of the Senate, \$5,000; Minority Whip of the Senate, \$5,000; and Chairmen of the Majority and Minority Conference Committees, \$3,000 for each Chairman; and Chairmen of the Majority and Minority Policy Committees, \$3,000 for each Chairman; in all, \$62,000.

REPRESENTATION ALLOWANCES FOR THE MAJORITY AND MINORITY LEADERS

For representation allowances of the Majority and Minority Leaders of the Senate, \$15,000 for each such Leader; in all, \$30,000.

SALARIES, OFFICERS AND EMPLOYEES

For compensation of officers, employees, and others as authorized by law, including agency contributions, **[\$104,039,000]** *\$119,671,000*, which shall be paid from this appropriation without regard to the below limitations, as follows:

OFFICE OF THE VICE PRESIDENT

For the Office of the Vice President, **[\$1,867,000]** *\$1,949,000*.

OFFICE OF THE PRESIDENT PRO TEMPORE

For the Office of the President Pro Tempore, **[\$473,000]** *\$518,000*.

OFFICES OF THE MAJORITY AND MINORITY LEADERS

For Offices of the Majority and Minority Leaders, **[\$2,868,000]** *\$3,094,000*.

OFFICES OF THE MAJORITY AND MINORITY WHIPS

For Offices of the Majority and Minority Whips, **[\$1,912,000]** *\$2,042,000*.

COMMITTEE ON APPROPRIATIONS

For salaries of the Committee on Appropriations, **[\$9,875,000]** *\$11,266,000*.

CONFERENCE COMMITTEES

For the Conference of the Majority and the Conference of the Minority, at rates of compensation to be fixed by the Chairman of each such committee, **[\$1,250,000]** *\$1,305,000* for each such committee; in all, **[\$2,500,000]** *\$2,610,000*.

OFFICES OF THE SECRETARIES OF THE CONFERENCE OF THE MAJORITY AND THE CONFERENCE OF THE MINORITY

For Offices of the Secretaries of the Conference of the Majority and the Conference of the Minority, **[\$618,000]** *\$648,000*.

POLICY COMMITTEES

For salaries of the Majority Policy Committee and the Minority Policy Committee, **[\$1,275,000]** *\$1,362,000* for each such committee; in all, **[\$2,550,000]** *\$2,724,000*.

OFFICE OF THE CHAPLAIN

For Office of the Chaplain, **[\$301,000]** *\$315,000*.

OFFICE OF THE SECRETARY

For Office of the Secretary, **[\$15,424,000]** *\$17,079,000*.

OFFICE OF THE SERGEANT AT ARMS AND DOORKEEPER

For Office of the Sergeant at Arms and Doorkeeper, **[\$39,082,000]** *\$45,941,000*.

OFFICES OF THE SECRETARIES FOR THE MAJORITY AND MINORITY

For Offices of the Secretary for the Majority and the Secretary for the Minority, **[\$1,350,000]** *\$1,410,000*.

AGENCY CONTRIBUTIONS AND RELATED EXPENSES

For agency contributions for employee benefits, as authorized by law, and related expenses, **[\$25,219,000]** *\$30,075,000*.

OFFICE OF THE LEGISLATIVE COUNSEL OF THE SENATE

For salaries and expenses of the Office of the Legislative Counsel of the Senate, **[\$4,306,000]** *\$4,581,000*.

OFFICE OF SENATE LEGAL COUNSEL

For salaries and expenses of the Office of Senate Legal Counsel, **[\$1,109,000]** *\$1,176,000*.

EXPENSE ALLOWANCES OF THE SECRETARY OF THE SENATE, SERGEANT AT ARMS AND DOORKEEPER OF THE SENATE, AND SECRETARIES FOR THE MAJORITY AND MINORITY OF THE SENATE

For expense allowances of the Secretary of the Senate, \$3,000; Sergeant at Arms and Doorkeeper of the Senate, \$3,000; Secretary for the Majority of the Senate, \$3,000; Secretary for the Minority of the Senate, \$3,000; in all, \$12,000.

CONTINGENT EXPENSES OF THE SENATE

INQUIRIES AND INVESTIGATIONS

For expenses of inquiries and investigations ordered by the Senate, or conducted pursuant to section 134(a) of Public Law 601, Seventy-ninth Congress, as amended, section 112 of Public Law 96-304 and Senate Resolution 281, agreed to March 11, 1980, **[\$107,264,000]** *\$107,383,000*.

EXPENSES OF THE UNITED STATES SENATE CAUCUS ON INTERNATIONAL NARCOTICS CONTROL

For expenses of the United States Senate Caucus on International Narcotics Control, \$520,000.

SECRETARY OF THE SENATE

For expenses of the Office of the Secretary of the Senate, **[\$8,571,000]** *\$7,077,000*, of which **[\$7,000,000]** *\$5,000,000* shall remain available until expended.

SERGEANT AT ARMS AND DOORKEEPER OF THE SENATE

For expenses of the Office of the Sergeant at Arms and Doorkeeper of the Senate, **[\$95,904,000]** *\$117,133,000*, of which **[\$8,654,000]** *\$9,570,000* shall remain available until September 30, **[2004]** *2005*, and of which **[\$11,354,000]** *\$7,650,000* shall remain available until expended.

MISCELLANEOUS ITEMS

For miscellaneous items, **[\$14,274,000]**, of which not more than \$3,000,000 may be made available for mailings of postal patron postcards by Senators for the purpose of providing notice of a town meeting by a Senator in a county (or equivalent unit of local government) that the Senator will personally attend: *Provided*, That no funds for the purpose of such mailings shall be made available until the date of enactment of a statute authorizing the expenditure of funds for such purpose **[\$18,409,000]**.

SENATORS' OFFICIAL PERSONNEL AND OFFICE EXPENSE ACCOUNT

For Senators' Official Personnel and Office Expense Account, **[\$270,494,000] \$303,538,000.**

OFFICIAL MAIL COSTS

For expenses necessary for official mail costs of the Senate, \$300,000.

[ADMINISTRATIVE PROVISIONS]

[SEC. 101. (a) Section 101(a) of the Supplemental Appropriations Act, 1977 (2 U.S.C. 61h-6(a)) is amended in the first sentence by striking "four individual consultants" and inserting "six individual consultants", and is amended in the second sentence by striking "one consultant" and inserting "not more than two individual consultants".

(b) This section shall apply with respect to fiscal year 2002 and each fiscal year thereafter.]

[SEC. 102. STUDENT LOAN REPAYMENT PROGRAM. (a) DEFINITIONS.—In this section:

(1) COMMITTEE.—The term "Committee" means the Committee on Rules and Administration of the Senate.

(2) ELIGIBLE EMPLOYEE.—The term "eligible employee" means an individual—

(A) who is an employee of the Senate; and

(B) whose rate of pay as an employee of the Senate, on the date on which such eligibility is determined, does not exceed the rate of basic pay for an employee for a position at ES-1 of the Senior Executive Schedule as provided for in subchapter VIII of chapter 53 of title 5, United States Code (including any locality pay adjustment applicable to the Washington, D.C.-Baltimore Maryland consolidated metropolitan statistical area).

(3) EMPLOYEE OF THE SENATE.—The term "employee of the Senate" has the meaning given the term in section 101 of the Congressional Accountability Act of 1995 (2 U.S.C. 1301).

(4) EMPLOYING OFFICE.—The term "employing office" means the employing office, as defined in section 101 of the Congressional Accountability Act of 1995 (2 U.S.C. 1301), of an employee of the Senate.

(5) SECRETARY.—The term "Secretary" means the Secretary of the Senate.

(6) STUDENT LOAN.—The term "student loan" means—

(A) a loan made, insured, or guaranteed under part B, D, or E of title IV of the Higher Education Act of 1965 (20 U.S.C. 1071 et seq., 1087a et seq., or 1087aa et seq.); and

(B) a health education assistance loan made or insured under part A of title VII of the Public Health Service Act (42 U.S.C. 292 et seq.), or under part E of title VIII of such Act (42 U.S.C. 297a et seq.).

(b) SENATE STUDENT LOAN REPAYMENT PROGRAM.—

(1) SERVICE AGREEMENTS.—

(A) IN GENERAL.—The head of an employing office and an eligible employee may enter into a written service agreement under which—

(i) the employing office shall agree to repay, by direct payments on behalf of the eligible employee, any student loan indebtedness of the eligible employee that is outstanding at the time the eligible employee and the employing office enter into the agreement, subject to this section; and

(ii) the eligible employee shall agree to complete the 1-year required period of employment described in subsection (c)(1) with the employing office in exchange for the student loan payments.

(B) CONTENTS OF SERVICE AGREEMENTS.—

(i) CONTENTS.—A service agreement under this paragraph shall contain—

(I) the start and end dates of the required period of employment covered by the agreement;

(II) the monthly amount of the student loan payments to be provided by the employing office;

(III) the employee's agreement to reimburse the Senate under the conditions set forth in subsection (d)(1);

(IV) disclosure of the program limitations provided for in subsection (d)(4) and paragraphs (2), (3), (6), and (7) of subsection (f);

(V) other terms to which the employing office and employee agree (such as terms relating to job responsibilities or job performance expectations); and

(VI) any other terms prescribed by the Secretary.

(ii) STANDARD SERVICE AGREEMENTS.—The Secretary shall establish standard service agreements for employing offices to use in carrying out this section.

(2) SUBMISSION OF AGREEMENTS.—On entering into a service agreement under this section, the employing office shall submit a copy of the service agreement to the Secretary.

(c) PROGRAM CONDITIONS.—

(1) PERIOD OF EMPLOYMENT.—The term of the required period of employment under a service agreement under this section shall be 1 year. On completion of the required period of employment under such a service agreement, the eligible employee and the employing office may enter into additional service agreements for successive 1-year periods of employment.

(2) AMOUNT OF PAYMENTS.—

(A) IN GENERAL.—The amount of student loan payments made under service agreements under this section on behalf of an eligible employee may not exceed—

(i) \$500 in any month; or

(ii) a total of \$40,000.

(B) PAYMENTS INCLUDED IN GROSS COMPENSATION LIMITATIONS.—Any student loan payment made under this section in any month may not result in the sum of the payment and the compensation of an employee for that month exceeding $\frac{1}{12}$ th of the applicable annual maximum gross compensation limitation under section 105(d)(2), (e), or (f) of the Legislative Branch Appropriation Act, 1968 (2 U.S.C. 61-1(d)(2), (e), or (f)).

(3) TIMING OF PAYMENTS.—Student loan payments made under this section under a service agreement shall begin the first day of the pay period after the date on which the agreement is signed and received by the Secretary, and shall be made on a monthly basis.

(d) LOSS OF ELIGIBILITY FOR STUDENT LOAN PAYMENTS AND OBLIGATION TO REIMBURSE.—

(1) IN GENERAL.—An employee shall not be eligible for continued student loan payments under a service agreement under this section and (except in a case in which an employee's duty is terminated under paragraph (2) or an employing office assumes responsibilities under paragraph (3)) shall reimburse the Senate for the amount of all student loan payments made on behalf of the employee under the agreement, if, before the employee completes the required period of employment specified in the agreement—

(A) the employee voluntarily separates from service with the employing office;

(B) the employee engages in misconduct or does not maintain an acceptable level of performance, as determined by the head of the employing office; or

(C) the employee violates any condition of the agreement.

(2) TERMINATION OF AGREEMENT.—The duty of an eligible employee to fulfill the required period of employment under the service agreement shall be terminated if—

(A) funds are not made available to cover the cost of the student loan repayment program carried out under this section; or

(B) the employee and the head of the employing office involved mutually agree to terminate the service agreement under subsection (f)(7).

(3) ANOTHER EMPLOYING OFFICE.—An employing office who hires an eligible employee during a required period of employment under such a service agreement may assume the remaining obligations (as of the date of the hiring) of the employee's prior employing office under the agreement.

(4) FAILURE OF EMPLOYEE TO REIMBURSE.—If an eligible employee fails to reimburse the Senate for the amount owed under paragraph (1), such amount shall be collected—

(A) under section 104(c) of the Legislative Appropriation Act, 1977 (2 U.S.C. 60c-2a(c)) or section 5514 of title 5, United States Code, if the eligible employee is employed by any other office of the Senate or agency of the Federal Government; or

(B) under other applicable provisions of law if the eligible employee is not employed by any other office of the Senate or agency of the Federal Government.

(5) CREDITING OF AMOUNTS.—Any amount repaid by, or recovered from, an eligible employee under this section shall be credited

to the subaccount for the employing office from which the amount involved was originally paid. Any amount so credited shall be merged with other sums in such subaccount for the employing office and shall be available for the same purposes, and subject to the same limitations (if any), as the sums with which such amount is merged.

(e) RECORDS AND REPORTS.—

(1) IN GENERAL.—Not later than January 1, 2003, and each January 1 thereafter, the Secretary shall prepare and submit to the Committee on Rules and Administration of the Senate and the Committee on Appropriations of the Senate, a report for the fiscal year preceding the fiscal year in which the report is submitted, that contains information specifying—

(A) the number of eligible employees that received student loan payments under this section; and

(B) the costs of such payments, including—

(i) the amount of such payments made for each eligible employee;

(ii) the amount of any reimbursement amounts for early separation from service or whether any waivers were provided with respect to such reimbursements; and

(iii) any other information determined to be relevant by the Committee on Rules and Administration of the Senate or the Committee on Appropriations of the Senate.

(2) CONFIDENTIALITY.—Such report shall not include any information which is considered confidential or could disclose the identity of individual employees or employing offices. Information required to be contained in the report of the Secretary under section 105(a) of the Legislative Branch Act, 1965 (2 U.S.C. 104a) shall not be considered to be personal information for purposes of this paragraph.

(f) OTHER ADMINISTRATIVE MATTERS.—

(1) ACCOUNT.—

(A) IN GENERAL.—The Secretary shall establish and maintain a central account from which student loan payments available under this section shall be paid on behalf of eligible employees.

(B) OFFICE SUBACCOUNTS.—The Secretary shall ensure that, within the account established under subparagraph (A), a separate subaccount is established for each employing office to be used by each such office to make student loan payments under this section. Such student loan payments shall be made from any funds available to the employing office for student loan payments that are contained in the subaccount for the office.

(C) LIMITATION.—Amounts in each subaccount established under this paragraph shall not be made available for any purpose other than to make student loan payments under this section.

(2) BEGINNING OF PAYMENTS.—Student loan payments may begin under this section with respect to an eligible employee upon—

(A) the receipt by the Secretary of a signed service agreement; and

(B) verification by the Secretary with the holder of the loan that the eligible employee has an outstanding student loan balance that qualifies for payment under this section.

(3) LIMITATION.—Student loan payments may be made under this section only with respect to the amount of student loan indebtedness of the eligible employee that is outstanding on the date on which the employee and the employing office enter into a service agreement under this section. Such payments may not be made under this section on a student loan that is in default or arrears.

(4) PAYMENT ON MULTIPLE LOANS.—Student loan payments may be made under this section with respect to more than 1 student loan of an eligible employee at the same time or separately, if the total payments on behalf of such employee do not exceed the limits under subsection (c)(2)(A).

(5) TREATMENT OF PAYMENTS.—Student loan payments made on behalf of an eligible employee under this section shall be in addition to any basic pay and other forms of compensation otherwise payable to the eligible employee, and shall be subject to withholding for income and employment tax obligations as provided for by law.

(6) NO RELIEF FROM LIABILITY.—An agreement to make student loan payments under this section shall not exempt an eligible employee from the responsibility or liability of the employee with respect to the loan involved and the eligible employee shall continue to be responsible for making student loan payments on the portion of any loan that is not covered under the terms of the service agreement.

(7) REDUCTION IN PAYMENTS.—Notwithstanding the terms of a service agreement under this section, the head of an employing office may reduce the amount of student loan payments made under the agreement if adequate funds are not available to such office. If the head of the employing office decides to reduce the amount of student loan payments for an eligible employee, the head of the office and the employee may mutually agree to terminate the service agreement.

(8) NO RIGHT TO CONTINUED EMPLOYMENT.—A service agreement under this section shall not be construed to create a right to, promise of, or entitlement to the continued employment of the eligible employee.

(9) NO ENTITLEMENT.—A student loan payment under this section shall not be construed to be an entitlement for any eligible employee.

(10) TREATMENT OF PAYMENTS.—A student loan payment under this section—

(A) shall not be basic pay of an employee for purposes of chapters 83 and 84 of title 5, United States Code (relating to retirement) and chapter 87 of such title (relating to life insurance coverage); and

(B) shall not be included in Federal wages for purposes of chapter 85 of such title (relating to unemployment compensation).

(g) ALLOCATION OF FUNDS.—

(1) MAXIMUM AMOUNT.—In this subsection, the term “maximum amount”, used with respect to a fiscal year, means—

(A) in the case of an employing office described in subsection (i)(1)(A), the amount described in that subsection for that fiscal year; and

(B) in the case of an employing office described in subsection (i)(1)(B), the amount described in that subsection for that fiscal year.

(2) ALLOCATION.—From the total amount made available to carry out this section for a fiscal year, there shall be allocated to each employing office for that fiscal year—

(A) the maximum amount for that employing office for that fiscal year; or

(B) if the total amount is not sufficient to provide the maximum amount to each employing office, an amount that bears the same relationship to the total amount as the maximum amount for that employing office for that fiscal year bears to the total of the maximum amounts for all employing offices for that fiscal year.

(3) APPORTIONMENT.—In the case of an employing office that is a Committee of the Senate, the funds allocated under this subsection shall be apportioned between the majority and minority staff of the committee in the same manner as amounts are apportioned between the staffs for salaries.

(h) AUTHORIZATION OF APPROPRIATIONS.—

(1) IN GENERAL.—There are authorized to be appropriated (or otherwise made available from appropriations) to carry out this section the following amounts for each fiscal year:

(A) For each employing office that is the personal office of a Senator, an amount equal to 2 percent of the total sums appropriated for the fiscal year involved for administrative and clerical salaries for such office.

(B) For each other employing office, an amount equal to 2 percent of the total sums appropriated for the fiscal year involved for salaries for such office.

(2) LIMITATION.—Amounts provided under this section shall be subject to annual appropriations.

(i) EFFECTIVE DATE.—This section shall apply to fiscal year 2002 and each fiscal year thereafter.】

【SEC. 103. (a) Agency contributions for employees whose salaries are disbursed by the Secretary of the Senate from the appropriations account “Expenses of the United States Senate Caucus on International Narcotics Control” under the heading “Congressional Operations” shall be paid from the Senate appropriations account for “Salaries, Officers and Employees”.

(b) This section shall apply to pay periods beginning on or after October 1, 2001.】

【SEC. 104. (a) Section 5(a) under the subheading “ADMINISTRATIVE PROVISIONS” under the heading “SENATE” under title I of the Legislative Branch Appropriations Act, 1996 (2 U.S.C. 58a note) is amended by striking “invoice ends” and inserting “invoice begins”.

【ADMINISTRATIVE PROVISIONS】—Continued

(b) The amendment made by subsection (a) shall take effect on October 1, 2001, and shall apply to base service periods beginning on or after that date.】

【SEC. 105. (a) Section 120 of Public Law 97-51 (2 U.S.C. 61g-6) is amended in the first sentence by striking “\$75,000” and inserting “\$100,000”.

(b) This section shall apply with respect to fiscal year 2002 and each fiscal year thereafter.】

【SEC. 106. Effective on and after October 1, 2001, each of the dollar amounts contained in the table under section 105(d)(1)(A) of the Legislative Branch Appropriations Act, 1968 (2 U.S.C. 61-1(d)(1)(A)) shall be deemed to be the dollar amounts in that table, as adjusted by law and in effect on September 30, 2001, increased by an additional \$50,000 each.】

【SEC. 107. TRANSFERS FROM SENATE GIFT SHOP TO PRESERVATION FUND. (a) IN GENERAL.—Section 2(c) of the Legislative Branch Appropriations Act, 1993 (2 U.S.C. 121d(c)) is amended—

(1) by inserting “(1)” after “(c)”; and

(2) by adding at the end the following:

“(2) The Secretary of the Senate may transfer from the fund to the Capitol Preservation Fund the net profits (as determined by the Secretary) from sales of items by the Senate Gift Shop which are intended to benefit the Capitol Visitor Center.”.

(b) EFFECTIVE DATE.—The amendments made by this section shall apply to fiscal years beginning before, on, or after the date of enactment of this Act.】

【SEC. 108. PROVISIONS RELATING TO SENATE COMMISSION ON ART. (a) MAINTENANCE OF OLD SUPREME COURT CHAMBER.—Section 3 of Senate Resolution 382 (90th Congress) (40 U.S.C. 188b-2) is amended by striking “insofar as it” and inserting “and of the Old Supreme Court Chamber insofar as each”.

(b) TECHNICAL AMENDMENTS.—Section 5 of Senate Resolution 382 (90th Congress) (40 U.S.C. 188b-4) is amended—

(1) by striking “the sum of \$15,000 each fiscal year,” and inserting “such amount as may be necessary each fiscal year;” and

(2) by striking “the Chairman or Vice Chairman of the Commission” and inserting “the Executive Secretary of the Commission and approved by the Committee on Rules and Administration of the Senate”.

(c) EFFECTIVE DATE.—The amendments made by this section shall apply to fiscal year 2002 and all succeeding fiscal years.】

【SEC. 109. PROCUREMENT OF TEMPORARY HELP. (a) IN GENERAL.—(1) Subject to regulations that the Committee on Rules and Administration of the Senate may prescribe, the Secretary of the Senate and the Sergeant at Arms and Doorkeeper of the Senate may procure temporary help services from a private sector source that offers such services. Each procurement of services under this subsection shall be for no longer than 30 days.

(2) A person performing services procured under paragraph (1) shall not, during the period of the performance of the services, be an employee of the United States or be considered to be an employee of the United States for any purpose.

(b) This section shall take effect on October 1, 2001, and shall apply in fiscal year 2002 and successive fiscal years.】

【SEC. 110. Section 311(d) of the Legislative Branch Appropriations Act, 1991 (2 U.S.C. 59e(d)) is amended in the matter preceding paragraph (1) by inserting “in the House, or official expenses for franked mail, employee salaries, office space, furniture, or equipment and any associated information technology services (excluding handheld communications devices) in the Senate” after “expenses”.】

【SEC. 111. The amount available to the Committee on Rules and Administration for expenses under section 14(c) of Senate Resolution 54, agreed to March 8, 2001, is increased by \$150,000.】

【SEC. 112. TRANSPORTATION SUBSIDY FOR EMPLOYEES OF THE SENATE.

(a) DEFINITIONS.—In this section, the term—

(1) “employee of the Senate”—

(A) means any employee whose pay is disbursed by the Secretary of the Senate; and

(B) does not include a member or civilian employee of the Capitol Police; and

(2) “employing office” means the employing office, as defined under section 101 of the Congressional Accountability Act of 1995 (2 U.S.C. 1301), of an employee of the Senate.

(b) TRANSPORTATION SUBSIDY.—An employing office may provide a monthly transportation subsidy to an employee of the Senate up

to the maximum monthly amount authorized under section 132(f)(2)(A) of the Internal Revenue Code of 1986.】 (*Congressional Operations Appropriations Act, 2002.*)

HOUSE OF REPRESENTATIVES

【PAYMENT TO WIDOWS AND HEIRS OF DECEASED MEMBERS OF CONGRESS】

【For payment to Deborah Williams Spence, Floyd D. Spence Jr., Zacheriah W. Spence, Benjamin G. Spence and Caldwell D. Spence, widow and children of Floyd Spence, late a Representative from the State of South Carolina, \$145,100.】

SALARIES AND EXPENSES

For salaries and expenses of the House of Representatives, 【\$878,050,000】 \$986,505,000, as follows:

HOUSE LEADERSHIP OFFICES

For salaries and expenses, as authorized by law, 【\$15,910,000】 \$16,530,000, including: Office of the Speaker, 【\$1,866,000】 \$1,979,000, including \$25,000 for official expenses of the Speaker; Office of the Majority Floor Leader, 【\$1,830,000】 \$1,899,000, including \$10,000 for official expenses of the Majority Leader; Office of the Minority Floor Leader, 【\$2,224,000】 \$2,309,000, including \$10,000 for official expenses of the Minority Leader; Office of the Majority Whip, including the Chief Deputy Majority Whip, 【\$1,562,000】 \$1,624,000, including \$5,000 for official expenses of the Majority Whip; Office of the Minority Whip, including the Chief Deputy Minority Whip, 【\$1,168,000】 \$1,214,000, including \$5,000 for official expenses of the Minority Whip; Speaker’s Office for Legislative Floor Activities, 【\$431,000】 \$446,000; Republican Steering Committee, 【\$806,000】 \$834,000; Republican Conference, 【\$1,342,000】 \$1,397,000; Democratic Steering and Policy Committee, 【\$1,435,000】 \$1,490,000; Democratic Caucus, 【\$713,000】 \$741,000; nine minority employees, 【\$1,293,000】 \$1,337,000; training and program development—majority, \$290,000; training and program development—minority, \$290,000; Cloakroom Personnel—majority, 【\$330,000】 \$340,000; and Cloakroom Personnel—minority, 【\$330,000】 \$340,000.

MEMBERS’ REPRESENTATIONAL ALLOWANCES

INCLUDING MEMBERS’ CLERK HIRE, OFFICIAL EXPENSES OF MEMBERS, AND OFFICIAL MAIL

For Members’ representational allowances, including Members’ clerk hire, official expenses, and official mail, 【\$479,472,000】 \$483,536,000.

COMMITTEE EMPLOYEES

STANDING COMMITTEES, SPECIAL AND SELECT

For salaries and expenses of standing committees, special and select, authorized by House resolutions, 【\$104,514,000】 \$108,741,000: *Provided*, That such amount shall remain available for such salaries and expenses until December 31, 【2002】 2004.

COMMITTEE ON APPROPRIATIONS

For salaries and expenses of the Committee on Appropriations, 【\$23,002,000】 \$24,200,000, including studies and examinations of executive agencies and temporary personal services for such committee, to be expended in accordance with section 202(b) of the Legislative Reorganization Act of 1946 and to be available for reimbursement to agencies for services performed: *Provided*, That such amount shall remain available for such salaries and expenses until December 31, 【2002】 2004.

SALARIES, OFFICERS AND EMPLOYEES

For compensation and expenses of officers and employees, as authorized by law, 【\$101,766,000】 \$140,263,000, including: for salaries and expenses of the Office of the Clerk, including not more than \$11,000, of which not more than \$10,000 is for the Family Room, for official representation and reception expenses, 【\$15,408,000】 \$17,530,000; for salaries and expenses of the Office of the Sergeant at Arms, including the position of Superintendent of Garages, and including not more than \$750 for official representation and reception expenses, 【\$4,139,000】 \$4,732,000; for salaries and expenses of the Office of the Chief Administrative Officer, 【\$67,495,000】 \$99,863,000, of which 【\$3,525,000】 \$3,193,000 shall remain available until expended, including 【\$31,510,000】 \$49,245,000 for salaries, expenses

and temporary personal services of House Information Resources; [of which \$31,390,000 is provided herein: *Provided*, That of the amount provided for House Information Resources; \$8,656,000 shall be for net expenses of telecommunications: *Provided further*, That House Information Resources is authorized to receive reimbursement from Members of the House of Representatives and other governmental entities for services provided and such reimbursement shall be deposited in the Treasury for credit to this account;] for salaries and expenses of the Office of the Inspector General, [\$3,756,000] \$3,947,000; for salaries and expenses of the Office of Emergency Planning, Preparedness and Operations, \$2,603,000; for salaries and expenses of the Office of General Counsel, \$894,000; for the Office of the Chaplain, [\$144,000] \$149,000; for salaries and expenses of the Office of the Parliamentarian, including the Parliamentarian and \$2,000 for preparing the Digest of Rules, [\$1,344,000] \$1,464,000; for salaries and expenses of the Office of the Law Revision Counsel of the House, [\$2,107,000] \$2,168,000; for salaries and expenses of the Office of the Legislative Counsel of the House, [\$5,456,000] \$5,852,000; for salaries and expenses of the Corrections Calendar Office, [\$883,000] \$915,000; and for other authorized employees, [\$140,000] \$146,000.

ALLOWANCES AND EXPENSES

For allowances and expenses as authorized by House resolution or law, [\$157,436,000] \$213,235,000, including: supplies, materials, administrative costs and Federal tort claims, [\$3,379,000] \$3,384,000; official mail for committees, leadership offices, and administrative offices of the House, \$410,000; Government contributions for health, retirement, Social Security, and other applicable employee benefits, [\$152,957,000] \$208,751,000; and miscellaneous items including purchase, exchange, maintenance, repair and operation of House motor vehicles, interparliamentary receptions, and gratuities to heirs of deceased employees of the House, \$690,000.

CHILD CARE CENTER

For salaries and expenses of the House of Representatives Child Care Center, such amounts as are deposited in the account established by section 312(d)(1) of the Legislative Branch Appropriations Act, 1992 (40 U.S.C. 184g(d)(1)), subject to the level specified in the budget of the Center, as submitted to the Committee on Appropriations of the House of Representatives.

[ADMINISTRATIVE PROVISIONS]

[SEC. 113. (a) Effective October 1, 2001, the following four majority positions shall be transferred from the Clerk to the Speaker:

- (1) The position of chief of floor service.
- (2) Two positions of assistant floor chief.
- (3) One position of cloakroom attendant.

(b) Effective October 1, 2001, the following four minority positions shall be transferred from the Clerk to the minority leader:

- (1) The position of chief of floor service.
- (2) Two positions of assistant floor chief.
- (3) One position of cloakroom attendant.

(c) Notwithstanding any other provision of law, in the case of an individual who is an incumbent of a position transferred under subsection (a) or subsection (b) at the time of the transfer, the total number of days of annual leave and the total number of days of sick leave which were provided by the Clerk to the individual and which remain unused as of the date of the transfer shall remain available for the individual to use after the transfer.]

[SEC. 114. (a) The third sentence of section 104(a)(1) of the Legislative Branch Appropriations Act, 1987 (as incorporated by reference in section 101(j) of Public Law 99-500 and Public Law 99-591) (2 U.S.C. 117e(1)) is amended by striking "for credit to the appropriate account" and all that follows and inserting the following: "for credit to the appropriate account of the House of Representatives, and shall be available for expenditure in accordance with applicable law. For purposes of the previous sentence, in the case of receipts from the sale or disposal of any audio or video transcripts prepared by the House Recording Studio, the 'appropriate account of the House of Representatives' shall be the account of the Chief Administrative Officer of the House of Representatives."

(b) The amendment made by subsection (a) shall apply with respect to fiscal year 2002 and each succeeding fiscal year.]

[SEC. 115. (a) REQUIRING AMOUNTS REMAINING IN MEMBERS' REPRESENTATIONAL ALLOWANCES TO BE USED FOR DEFICIT REDUCTION OR TO REDUCE THE FEDERAL DEBT.—Notwithstanding any other pro-

vision of law, any amounts appropriated under this Act for "HOUSE OF REPRESENTATIVES—SALARIES AND EXPENSES—MEMBERS' REPRESENTATIONAL ALLOWANCES" shall be available only for fiscal year 2002. Any amount remaining after all payments are made under such allowances for fiscal year 2002 shall be deposited in the Treasury and used for deficit reduction (or, if there is no Federal budget deficit after all such payments have been made, for reducing the Federal debt, in such manner as the Secretary of the Treasury considers appropriate).

(b) REGULATIONS.—The Committee on House Administration of the House of Representatives shall have authority to prescribe regulations to carry out this section.

(c) DEFINITION.—As used in this section, the term "Member of the House of Representatives" means a Representative in, or a Delegate or Resident Commissioner to, the Congress.]

[SEC. 116. (a) DAY FOR PAYING SALARIES OF THE HOUSE OF REPRESENTATIVES.—The usual day for paying salaries in or under the House of Representatives shall be the last day of each month, except that if the last day of a month falls on a Saturday, Sunday, or a legal public holiday, the Chief Administrative Officer of the House of Representatives shall pay such salaries on the first weekday which precedes the last day.

(b) CONFORMING AMENDMENT.—(1) The first section and section 2 of the Joint Resolution entitled "Joint resolution authorizing the payment of salaries of the officers and employees of Congress for December on the 20th day of that month each year", approved May 21, 1937 (2 U.S.C. 60d and 60e), are each repealed.

(2) The last paragraph under the heading "Contingent Expense of the House" in the First Deficiency Appropriation Act, 1946 (2 U.S.C. 60e-1), is repealed.

(c) EFFECTIVE DATE.—This section and the amendments made by this section shall apply with respect to pay periods beginning after the expiration of the 1-year period which begins on the date of the enactment of this Act.]

[SEC. 117. (a) The aggregate amount otherwise authorized to be appropriated for a fiscal year for the lump-sum allowance for the Office of the Speaker of the House of Representatives shall be increased by \$40,000.

(b) This section shall apply with respect to fiscal year 2002 and each succeeding fiscal year.]

[SEC. 118. (a) Effective with respect to fiscal year 2002 and each succeeding fiscal year, there are hereby established 2 additional positions in each of the following offices of the House of Representatives:

- (1) The Office of the Clerk.
- (2) The Office of the Chief Administrative Officer.
- (3) The Office of the Sergeant at Arms.

(b) The duty of the personnel appointed to a position established under this section shall be to ensure the continuity of the operations of the House of Representatives during periods of emergency, in accordance with the direction of the head of the office in which the position is established.

(c) The annual rate of pay provided for a position established under this section shall be determined by the head of the office in which the position is established.

(d) Notwithstanding any other provision of law, the head of the office in which a position is established under this section shall have the exclusive authority to appoint personnel to such a position.]

[SEC. 119. (a) Section 408 of the Congressional Accountability Act of 1995 (2 U.S.C. 1408) is amended by adding at the end the following new subsection:

"(d) APPEARANCES BY HOUSE EMPLOYMENT COUNSEL.—

"(1) IN GENERAL.—The House Employment Counsel of the House of Representatives and any other counsel in the Office of House Employment Counsel of the House of Representatives, including any counsel specially retained by the Office of House Employment Counsel, shall be entitled, for the purpose of providing legal assistance and representation to employing offices of the House of Representatives under this Act, to enter an appearance in any proceeding before any court of the United States or of any State or political subdivision thereof without compliance with any requirements for admission to practice before such court, except that the authorization conferred by this paragraph shall not apply with respect to the admission of any such person to practice before the United States Supreme Court.

"(2) HOUSE EMPLOYMENT COUNSEL DEFINED.—In this subsection, the term 'Office of House Employment Counsel of the House of Representatives' means—

【ADMINISTRATIVE PROVISIONS】—Continued

“(A) the Office of House Employment Counsel established and operating under the authority of the Clerk of the House of Representatives as of the date of the enactment of this subsection;

“(B) any successor office to the Office of House Employment Counsel which is established after the date of the enactment of this subsection; and

“(C) any other person authorized and directed in accordance with the Rules of the House of Representatives to provide legal assistance and representation to employing offices of the House of Representatives in connection with actions brought under this title.”

(b) The amendment made by this section shall apply with respect to proceedings occurring on or after the date of the enactment of this Act.】 (*Congressional Operations Appropriations Act, 2002.*)

【ADMINISTRATIVE PROVISIONS】

【SEC. 903. (a) ACQUISITION OF BUILDINGS AND FACILITIES.—Notwithstanding any other provision of law, in order to respond to an emergency situation, the Chief Administrative Officer of the House of Representatives may acquire buildings and facilities for the use of the House of Representatives by lease, purchase, or such other arrangement as the Chief Administrative Officer considers appropriate (including a memorandum of understanding with the head of an executive agency, as defined in section 105 of title 5, United States Code, in the case of a building or facility under the control of such Agency), subject to the approval of the House Office Building Commission.

(b) AGREEMENTS.—Notwithstanding any other provision of law, for purposes of carrying out subsection (a), the Chief Administrative Officer may carry out such activities and enter into such agreements related to the use of any building or facility acquired pursuant to such subsection as the Chief Administrative Officer considers appropriate, including—

(1) agreements with the United States Capitol Police or any other entity relating to the policing of such building or facility; and

(2) agreements with the Architect of the Capitol or any other entity relating to the care and maintenance of such building or facility.

(c) AUTHORITY OF CAPITOL POLICE AND ARCHITECT.—

(1) ARCHITECT OF THE CAPITOL.—Notwithstanding any other provision of law, the Architect of the Capitol may take any action necessary to carry out an agreement entered into with the Chief Administrative Officer pursuant to subsection (b).

(2) CAPITOL POLICE.—Section 9 of the Act of July 31, 1946 (40 U.S.C. 212a) is amended—

(A) by striking “The Capitol Police” and inserting “(a) The Capitol Police”; and

(B) by adding at the end the following new subsection:

“(b) For purposes of this section, ‘the United States Capitol Buildings and Grounds’ shall include any building or facility acquired by the Chief Administrative Officer of the House of Representatives for the use of the House of Representatives for which the Chief Administrative Officer has entered into an agreement with the United States Capitol Police for the policing of the building or facility.”.

(d) TRANSFER OF CERTAIN FUNDS.—Subject to the approval of the Committee on Appropriations of the House of Representatives, the Architect of the Capitol may transfer to the Chief Administrative Officer amounts made available to the Architect for necessary expenses for the maintenance, care and operation of the House office buildings during a fiscal year in order to cover any portion of the costs incurred by the Chief Administrative Officer during the year in acquiring a building or facility pursuant to subsection (a).

(e) EFFECTIVE DATE.—This section and the amendments made by this section shall apply with respect to fiscal year 2002 and each succeeding fiscal year.】

【SEC. 904. (a) Notwithstanding any other provision of law—

(1) subject to subsection (b), the Chief Administrative Officer of the House of Representatives and the head of an executive agency (as defined in section 105 of title 5, United States Code) may enter into a memorandum of understanding under which the agency may provide facilities, equipment, supplies, personnel, and other support services for the use of the House of Representatives during an emergency situation; and

(2) the Chief Administrative Officer and the head of the agency may take any action necessary to carry out the terms of the memorandum of understanding.

(b) The Chief Administrative Officer of the House of Representatives may not enter into a memorandum of understanding described in subsection (a)(1) without the approval of the Speaker of the House of Representatives.

(c) This section shall apply with respect to fiscal year 2002 and each succeeding fiscal year.】

【SEC. 905. (a) There is established in the House of Representatives an office to be known as the House of Representatives Office of Emergency Planning, Preparedness, and Operations. The Office shall be responsible for mitigation and preparedness operations, crisis management and response, resource services, and recovery operations.

(b) The Speaker, in consultation with the minority leader—

(1) shall provide policy direction for, and oversight of, the Office;

(2) shall appoint and set the annual rate of pay for employees of the Office, including a Director, who shall be the head of the Office;

(3) shall exercise, with respect to any employee of the Office, the authority referred to in section 8344(k)(2)(B) of title 5, United States Code, and the authority referred to in section 8468(h)(2)(B) of title 5, United States Code;

(4) shall approve procurement of services of experts and consultants by the Office or by committees or other entities of the House of Representatives for assignment to the Office; and

(5) may request the head of any Federal department or agency to detail to the Office, on a reimbursable basis, any of the personnel of the department or agency.

(c) The day-to-day operations of the Office shall be carried out by the Director, under the supervision of a Board, to be known as the House of Representatives Continuity of Operations Board, comprised of the Clerk, the Sergeant at Arms, and the Chief Administrative Officer of the House of Representatives. The Clerk shall be the Chairman of the Board.

(d) Until otherwise provided by law, funds shall be available for the Office from amounts appropriated for the operations of the House of Representatives.

(e) This section shall take effect on the date of the enactment of this Act and shall apply to fiscal years beginning with fiscal year 2002.】

【SEC. 906. (a) As determined by the Sergeant at Arms of the House of Representatives, any anthrax-contaminated mail delivered by the United States Postal Service to the House of Representatives shall be destroyed or otherwise disposed of.

(b) No action taken under this section may serve as a basis for civil or criminal liability of any individual or entity.

(c) As used in this section, the term “anthrax-contaminated mail” means any mail matter that, as determined by the Sergeant at Arms, by reason of the events of October 2001—

(1) is contaminated by anthrax or any other substance the mailing of which is prohibited by section 1716 of title 18, United States Code, or any other law of the United States; or

(2) may be so contaminated, but the ascertainment of which is not technically feasible or is otherwise impracticable.

(d) This section shall apply with respect to fiscal year 2002 and each succeeding fiscal year.】 (*Emergency Supplemental Act, 2002*)

JOINT ITEMS

For Joint Committees, as follows:

JOINT ECONOMIC COMMITTEE

For salaries and expenses of the Joint Economic Committee, 【\$3,424,000】 \$3,657,645, to be disbursed by the Secretary of the Senate.

JOINT COMMITTEE ON TAXATION

For salaries and expenses of the Joint Committee on Taxation, 【\$6,733,000】 \$7,323,000, to be disbursed by the Chief Administrative Officer of the House.

For other joint items, as follows:

OFFICE OF THE ATTENDING PHYSICIAN

For medical supplies, equipment, and contingent expenses of the emergency rooms, and for the Attending Physician and his assistants, including: (1) an allowance of \$1,500 per month to the Attending Physician; (2) an allowance of \$500 per month each to three medical officers while on duty in the Office of the Attending Physician; (3) an allowance of \$500 per month to two assistants and \$400 per month each not to exceed 11 assistants on the basis heretofore provided for such assistants; and (4) ~~[\$1,253,904]~~ ~~\$1,300,000~~ for reimbursement to the Department of the Navy for expenses incurred for staff and equipment assigned to the Office of the Attending Physician, which shall be advanced and credited to the applicable appropriation or appropriations from which such salaries, allowances, and other expenses are payable and shall be available for all the purposes thereof, ~~[\$1,865,000]~~ ~~\$1,947,000~~, to be disbursed by the Chief Administrative Officer of the House of Representatives.

CAPITOL POLICE BOARD
CAPITOL POLICE
SALARIES

For the Capitol Police Board for salaries of officers, members, and employees of the Capitol Police, including overtime, hazardous duty pay differential, clothing allowance of not more than \$600 each for members required to wear civilian attire, and Government contributions for health, retirement, Social Security, *full cost of retirement accruals*, and other applicable employee benefits, ~~[\$113,044,000]~~ ~~\$176,000,000~~, of which ~~[\$55,239,000]~~ ~~\$87,500,000~~ is provided to the Sergeant at Arms of the House of Representatives, to be disbursed by the Chief Administrative Officer of the House of Representatives, and ~~[\$57,805,000]~~ ~~\$88,500,000~~ is provided to the Sergeant at Arms and Doorkeeper of the Senate, to be disbursed by the Secretary of the Senate: *Provided*, That, of the amounts appropriated under this heading, such amounts as may be necessary may be transferred between the Sergeant at Arms of the House of Representatives and the Sergeant at Arms and Doorkeeper of the Senate, upon approval of the Committee on Appropriations of the House of Representatives and the Committee on Appropriations of the Senate.

GENERAL EXPENSES

For the Capitol Police Board for necessary expenses of the Capitol Police, including motor vehicles, communications and other equipment, security equipment and installation, uniforms, weapons, supplies, materials, training, medical services, forensic services, stenographic services, personal and professional services, the employee assistance program, not more than \$2,000 for the awards program, postage, telephone service, travel advances, relocation of instructor and liaison personnel for the Federal Law Enforcement Training Center, and \$85 per month for extra services performed for the Capitol Police Board by an employee of the Sergeant at Arms and Doorkeeper of the Senate or the Sergeant at Arms of the House of Representatives designated by the Chairman of the Board, ~~[\$13,146,000]~~ ~~\$28,100,000~~, to be disbursed by the Capitol Police Board or their delegee: *Provided*, That, notwithstanding any other provision of law, the cost of basic training for the Capitol Police at the Federal Law Enforcement Training Center for fiscal year ~~[2002]~~ ~~2003~~ shall be paid by the Secretary of the Treasury from funds available to the Department of the Treasury.

ADMINISTRATIVE PROVISIONS

SEC. ~~[120]~~ ~~101~~. Amounts appropriated for fiscal year ~~[2002]~~ ~~2003~~ for the Capitol Police Board for the Capitol Police may be transferred between the headings "SALARIES" and "GENERAL EXPENSES" upon the approval of—

- (1) the Committee on Appropriations of the House of Representatives, in the case of amounts transferred from the appropriation provided to the Sergeant at Arms of the House of Representatives under the heading "SALARIES";
- (2) the Committee on Appropriations of the Senate, in the case of amounts transferred from the appropriation provided to the Sergeant at Arms and Doorkeeper of the Senate under the heading "SALARIES"; and
- (3) the Committees on Appropriations of the Senate and the House of Representatives, in the case of other transfers.

~~[SEC. 121. At any time on or after the date of the enactment of this Act, the United States Capitol Police may accept contributions of meals and refreshments in support of activities of the United States Capitol Police during a period of emergency (as determined by the Capitol Police Board).]~~

~~[SEC. 122. (a) Section 108(a)(4) of the Legislative Branch Appropriations Act, 2001, as amended by section 507(a) of the Department of Transportation and Related Agencies Appropriations Act, 2001 (as enacted into law by reference in section 101(a) of Public Law 106-346), is amended by striking "the Capitol Police Board" and all that follows and inserting the following: "the Chief of the Capitol Police, but not to exceed \$1,000 less than the annual rate of pay for the Chief of the Capitol Police."]~~

~~(b) The amendment made by subsection (a) shall apply with respect to pay periods beginning on or after October 1, 2001.]~~

~~[SEC. 123. Any obligation or expenditure of funds made prior to the date of enactment of this Act by the House of Representatives or the Capitol Police Board for meals, refreshments, and other support and maintenance in response to a biological or other threat made after September 11, 2001 shall be deemed to have been made in compliance with sections 1301 and 1341 of title 31, United States Code.]~~

~~[SEC. 124. At any time on or after the date of enactment of this Act, the Capitol Police Board may incur obligations and make expenditures out of available appropriations for meals, refreshments and other support and maintenance for the Capitol Police when, in the judgment of the Capitol Police Board, such obligations and expenditures are necessary to respond to emergencies involving the safety of human life or the protection of property.]~~

[ADMINISTRATIVE PROVISIONS]

~~[SEC. 907. (a) Section 1(c) of Public Law 96-152 (40 U.S.C. 206-1) is amended by striking "but not to exceed" and all that follows and inserting the following: "but not to exceed \$2,500 less than the lesser of the annual salary for the Sergeant at Arms of the House of Representatives or the annual salary for the Sergeant at Arms and Doorkeeper of the Senate."]~~

~~(b) The Assistant Chief of the Capitol Police shall receive compensation at a rate determined by the Capitol Police Board, but not to exceed \$1,000 less than the annual salary for the chief of the United States Capitol Police.~~

~~(c) This section and the amendment made by this section shall apply with respect to pay periods beginning on or after the date of the enactment of this Act.]~~

~~[SEC. 908. (a) The Capitol Police Board may, in order to recruit or retain qualified personnel, establish and maintain a program under which the Capitol Police may agree to repay (by direct payments on behalf of a civilian employee or member of the Capitol Police) all or a portion of any student loan previously taken out by such employee or member.~~

~~(b) The Capitol Police Board may, by regulation, make applicable such provisions of section 5379 of title 5, United States Code, as the Board determines necessary to provide for such program.~~

~~(c) The regulations shall provide that the amount paid by the Capitol Police may not exceed—~~

- ~~(1) \$6,000 for any civilian employee or member of the Capitol Police in any calendar year; or~~
- ~~(2) a total of \$40,000 in the case of any employee or member.~~

~~(d) The Capitol Police may not reimburse a civilian employee or member of the Capitol Police for any repayments made by such employee or member prior to the Capitol Police entering into an agreement under this section with such employee or member.~~

~~(e) Any amount repaid by, or recovered from, an individual under this section and its implementing regulations shall be credited to the appropriation account available for salaries and expenses of the Capitol Police at the time of repayment or recovery. Such credited amount may be used for any authorized purpose of the account and shall remain available until expended.~~

~~(f) This section shall apply to fiscal year 2002 and each fiscal year thereafter.]~~

~~[SEC. 909. (a) RECRUITMENT AND RELOCATION BONUSES.—~~

~~(1) AUTHORIZATION OF PAYMENT.—The Capitol Police Board (hereafter in this section referred to as the "Board") may authorize the Chief of the United States Capitol Police (hereafter in this section referred to as the "Chief") to pay a bonus to an individual who is newly appointed to a position as an officer or employee of the Capitol Police, and to pay an additional bonus to an individual who must relocate to accept a position as an officer or~~

[ADMINISTRATIVE PROVISIONS]—Continued

employee of the Capitol Police, if the Board determines that the Capitol Police would be likely, in the absence of such a bonus, to encounter difficulty in filling the position.

(2) AMOUNT OF PAYMENT.—The amount of a bonus under this subsection shall be determined by regulations of the Board, but the amount of any bonus paid to an individual under this subsection may not exceed 25 percent of the annual rate of basic pay of the position to which the individual is being appointed.

(3) MINIMUM PERIOD OF SERVICE REQUIRED.—Payment of a bonus under this subsection shall be contingent upon the individual entering into an agreement with the Capitol Police to complete a period of employment with the Capitol Police, with the required period determined pursuant to regulations of the Board. If the individual voluntarily fails to complete such period of service or is separated from the service before completion of such period of service for cause on charges of misconduct or delinquency, the individual shall repay the bonus on a pro rata basis.

(4) BONUS NOT CONSIDERED PART OF BASIC PAY.—A bonus under this subsection shall be paid as a lump sum, and may not be considered to be part of the basic pay of the officer or employee.

(5) PAYMENT PERMITTED PRIOR TO COMMENCEMENT OF DUTY.—Under regulations of the Board, a bonus under this subsection may be paid to a newly-hired officer or employee before the officer or employee enters on duty.

(b) RETENTION ALLOWANCES.—

(1) AUTHORIZATION OF PAYMENT.—The Board may authorize the Chief to pay an allowance to an officer or employee of the United States Capitol Police if—

(A) the unusually high or unique qualifications of the officer or employee or a special need of the Capitol Police for the officer's or employee's services makes it essential to retain the officer or employee; and

(B) the Chief determines that the officer or employee would be likely to leave in the absence of a retention allowance.

(2) AMOUNT OF PAYMENT.—A retention allowance, which shall be stated as a percentage of the rate of basic pay of the officer or employee, may not exceed 25 percent of such rate of basic pay.

(3) PAYMENT NOT CONSIDERED PART OF BASIC PAY.—A retention allowance may not be considered to be part of the basic pay of an officer or employee, and the reduction or elimination of a retention allowance may not be appealed. The preceding sentence shall not be construed to extinguish or lessen any right or remedy under any of the laws made applicable to the Capitol Police pursuant to section 102 of the Congressional Accountability Act of 1995 (2 U.S.C. 1302).

(4) TIME AND MANNER OF PAYMENT.—A retention allowance under this subsection shall be paid at the same time and in the same manner as the officer's or employee's basic pay is paid.

(c) LUMP SUM INCENTIVE AND MERIT BONUS PAYMENTS.—

(1) IN GENERAL.—The Board may pay an incentive or merit bonus to an officer or employee of the United States Capitol Police who meets such criteria for receiving the bonus as the Board may establish.

(2) BONUS NOT CONSIDERED PART OF BASIC PAY.—A bonus under this subsection shall be paid as a lump sum, and may not be considered to be part of the basic pay of the officer or employee.

(d) SERVICE STEP INCREASES FOR MERITORIOUS SERVICE FOR OFFICERS.—Upon the approval of the Chief—

(1) an officer of the United States Capitol Police in a service step who has demonstrated meritorious service (in accordance with criteria established by the Chief or the Chief's designee) may be advanced in compensation to the next higher service step, effective with the first pay period which begins after the date of the Chief's approval; and

(2) an officer of the United States Capitol Police in a service step who has demonstrated extraordinary performance (in accordance with criteria established by the Chief or the Chief's designee) may be advanced in compensation to the second next higher service step, effective with the first pay period which begins after the date of the Chief's approval.

(e) ADDITIONAL COMPENSATION FOR FIELD TRAINING OFFICERS.—

(1) IN GENERAL.—Each officer of the United States Capitol Police who is assigned to duty as a field training officer shall receive, in addition to the officer's scheduled rate of compensation, an additional amount determined by the Board (but not to exceed \$2,000 per annum).

(2) MANNER OF PAYMENT.—The additional compensation authorized by this subsection shall be paid to the officer in the same manner as the officer is paid basic compensation, except that when the officer ceases to be assigned to duty as a field training officer, the loss of such additional compensation shall not constitute an adverse action for any purpose.

(f) REGULATIONS.—

(1) IN GENERAL.—The payment of bonuses, allowances, step increases, compensation, and other payments pursuant to this section shall be carried out in accordance with regulations prescribed by the Board.

(2) APPROVAL.—The regulations prescribed pursuant to this subsection shall be subject to the approval of the Committee on Rules and Administration of the Senate, the Committee on House Administration of the House of Representatives, and the Committees on Appropriations of the Senate and the House of Representatives.

(g) EFFECTIVE DATE.—This section shall apply with respect to fiscal year 2002 and each succeeding fiscal year.]

[SEC. 910. In addition to the authority provided under section 121 of the Legislative Branch Appropriations Act, 2002, at any time on or after the date of the enactment of this Act, the Capitol Police Board may accept contributions of comfort and other incidental items and services to support officers and employees of the United States Capitol Police while such officers and employees are on duty in response to emergencies involving the safety of human life or the protection of property.]

[SEC. 911. ASSISTANCE BY EXECUTIVE DEPARTMENTS AND AGENCIES TO THE CAPITOL POLICE. (a) ASSISTANCE.—

(1) IN GENERAL.—Executive departments and Executive agencies may assist the United States Capitol Police in the performance of its duties by providing services (including personnel), equipment, and facilities on a temporary and reimbursable basis when requested by the Capitol Police Board and on a permanent and reimbursable basis upon advance written request of the Capitol Police Board; except that the Department of Defense and the Coast Guard may provide such assistance on a temporary basis without reimbursement when assisting the United States Capitol Police in its duties directly related to protection under the Act of July 31, 1946 (40 U.S.C. 212a-2). Before making a request under this paragraph, the Capitol Police Board shall consult with appropriate Members of the Senate and House of Representatives in leadership positions, except in an emergency.

(2) PROCUREMENT.—No services (including personnel), equipment, or facilities may be ordered, purchased, leased, or otherwise procured for the purposes of carrying out the duties of the United States Capitol Police by persons other than officers or employees of the Federal Government duly authorized by the Chairman of the Capitol Police Board to make such orders, purchases, leases, or procurements.

(3) EXPENDITURES OR OBLIGATION OF FUNDS.—No funds may be expended or obligated for the purpose of carrying out this section other than funds specifically appropriated to the Capitol Police Board or the United States Capitol Police for those purposes with the exception of—

(A) expenditures made by the Department of Defense or the Coast Guard from funds appropriated to the Department of Defense or the Coast Guard in providing assistance on a temporary basis to the United States Capitol Police in the performance of its duties directly related to protection under the Act of July 31, 1946 (40 U.S.C. 212a-2); and

(B) expenditures made by Executive departments and agencies, in providing assistance at the request of the United States Capitol Police in the performance of its duties, and which will be reimbursed by the United States Capitol Police under this section.

(4) PROVISION OF ASSISTANCE.—Assistance under this section shall be provided—

(A) consistent with the authority of the Capitol Police under sections 9 and 9A of the Act of July 31, 1946 (40 U.S.C. 212a-2 and 212a-2);

(B) upon the advance written request of—

(i) the Capitol Police Board; or

(ii) in an emergency—

(I) the Sergeant at Arms and Doorkeeper of the Senate in any matter relating to the Senate; or

(II) the Sergeant at Arms of the House of Representatives in any matter relating to the House of Representatives; and

(C)(i) on a temporary and reimbursable basis;

(ii) on a permanent reimbursable basis upon advance written request of the Capitol Police Board; or

(iii) on a temporary basis without reimbursement by the Department of Defense and the Coast Guard as described under paragraph (1).

(b) REPORTS.—

(1) SUBMISSION.—With respect to any fiscal year in which an executive department or executive agency provides assistance under this section, the head of that department or agency shall submit a report not later than 90 days after the end of the fiscal year to the Chairman of the Capitol Police Board.

(2) CONTENT.—The report submitted under paragraph (1) shall contain a detailed account of all expenditures made by the Executive department or executive agency in providing assistance under this section during the applicable fiscal year.

(3) SUMMARY.—After receipt of all reports under paragraph (2) with respect to any fiscal year, the Chairman of the Capitol Police Board shall submit a summary of such reports to the Committees on Appropriations of the Senate and the House of Representatives.

(c) EFFECTIVE DATE.—This section shall take effect on the date of enactment of this Act and apply to each fiscal year occurring after such date.】

【SEC. 912. (a)(1) In the event of an emergency, as determined by the Capitol Police Board, or of a joint session of Congress, the Chief of the Capitol Police may enter into agreements—

(A) with the District of Columbia to deputize members of the District of Columbia National Guard, who are qualified for law enforcement functions, for duty with the Capitol Police for the purpose of policing the Capitol grounds; and

(B) with any appropriate governmental law enforcement authority to deputize law enforcement officers for duty with the Capitol Police for the purpose of policing the Capitol grounds.

(2) Any agreement under paragraph (1) shall be subject to initial approval by the Capitol Police Board and to final approval by the Speaker of the House of Representatives (in consultation with the Minority Leader of the House of Representatives) and the President pro tempore of the Senate (in consultation with the Minority Leader of the Senate), acting jointly.

(b) Subject to approval by the Speaker of the House of Representatives (in consultation with the Minority Leader of the House of Representatives) and the President pro tempore of the Senate (in consultation with the Minority Leader of the Senate), acting jointly, the Capitol Police Board shall prescribe regulations to carry out this section.

(c) This section shall expire on September 30, 2002.】 (*Emergency Supplemental Act, 2002.*)

CAPITOL GUIDE SERVICE AND SPECIAL SERVICES OFFICE

For salaries and expenses of the Capitol Guide Service and Special Services Office, 【\$2,512,000,】 \$3,034,500, to be disbursed by the Secretary of the Senate: *Provided*, That no part of such amount may be used to employ more than 43 individuals: *Provided further*, That the Capitol Guide Board is authorized, during emergencies, to employ not more than two additional individuals for not more than 120 days each, and not more than 10 additional individuals for not more than 6 months each, for the Capitol Guide Service.

STATEMENTS OF APPROPRIATIONS

For the preparation, under the direction of the Committees on Appropriations of the Senate and the House of Representatives, of the statements for the 【first】 *second* session of the One Hundred Seventh Congress, showing appropriations made, indefinite appropriations, and contracts authorized, together with a chronological history of the regular appropriations bills as required by law, \$30,000, to be paid to the persons designated by the chairmen of such committees to supervise the work. (*Congressional Operations Appropriations Act, 2002.*)

【LEGISLATIVE BRANCH EMERGENCY RESPONSE FUND】

【(INCLUDING TRANSFER OF FUNDS)】

【For emergency expenses to respond to the terrorist attacks on the United States, \$256,081,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38: *Provided*, That \$34,500,000 shall be transferred to “Senate”, “Ser-

gant at Arms and Doorkeeper of the Senate”, and shall be obligated with the prior approval of the Senate Committee on Appropriations: *Provided further*, That \$41,712,000 shall be transferred to “House of Representatives”, “Salaries and Expenses”, and shall be obligated with the prior approval of the House Committee on Appropriations: *Provided further*, That \$31,000,000 shall be transferred to “Capitol Police Board”, “Capitol Police”, “General Expenses”: *Provided further*, That \$350,000 shall be transferred to “Capitol Guide Service and Special Services Office”: *Provided further*, That \$106,304,000 shall be transferred to “Architect of the Capitol”, “Capitol Buildings and Grounds”, “Capitol Buildings”: *Provided further*, That \$29,615,000 shall be transferred to “Library of Congress”, “Salaries and Expenses”: *Provided further*, That \$4,000,000 shall be transferred to the “Government Printing Office”, “Government Printing Office Revolving Fund”: *Provided further*, That \$7,600,000 shall be transferred to “General Accounting Office”, “Salaries and Expenses”: *Provided further*, That \$1,000,000 shall be transferred as a grant to the United States Capitol Historical Society: *Provided further*, That any Legislative Branch entity receiving funds pursuant to the Emergency Response Fund established by Public Law 107-38 (without regard to whether the funds are provided under this chapter or pursuant to any other provision of law) may transfer any funds provided to the entity to any other Legislative Branch account in an amount equal to that required to provide support for security enhancements, subject to the approval of the Committees on Appropriations of the House of Representatives and Senate.】 (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)			
Identification code 00-0420-0-1-801	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		1	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation		256	
41.00 Transferred to other accounts		-255	
43.00 Appropriation (total discretionary)		1	
Change in obligated balances:			
73.20 Total outlays (gross)		-1	
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		1	
Net budget authority and outlays:			
89.00 Budget authority		1	
90.00 Outlays		1	

OFFICE OF COMPLIANCE

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For salaries and expenses of the Office of Compliance, as authorized by section 305 of the Congressional Accountability Act of 1995 (2 U.S.C. 1385), 【\$2,059,000】 \$2,289,000, of which 【\$254,000】 \$277,000 shall remain available until September 30, 【2003】 2004. (*Congressional Operations Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)			
Identification code 09-1600-0-1-801	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	2	2	2
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	2	2	2
23.95 Total new obligations	-2	-2	-2
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	2	2	2
Change in obligated balances:			
73.10 Total new obligations	2	2	2

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 09-1600-0-1-801	2001 actual	2002 est.	2003 est.
73.20 Total outlays (gross)	-2	-2	-2
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2	2	2
Net budget authority and outlays:			
89.00 Budget authority	2	2	2
90.00 Outlays	2	2	2

The Congressional Accountability Act of 1995 (CAA) established an independent Office of Compliance to apply the rights and protections of the following eleven labor and employment statutes to covered employees within the Legislative Branch: the Fair Labor Standards Act of 1938, Title VII of the Civil Rights Act of 1964, the Americans with Disabilities Act of 1990, the Age Discrimination in Employment Act of 1967, the Family and Medical Leave Act of 1993, the Occupational Safety and Health Act of 1970, chapter 71 of title 5 of the U.S. Code (relating to Federal service labor-management relations), the Employee Polygraph Protection Act of 1988, the Worker Adjustment and Retraining Notification Act, the Rehabilitation Act of 1973, and chapter 43 of title 38 of the U.S. Code (relating to veterans' employment and reemployment).

The Office provides employees with an independent, neutral dispute resolution process, as an alternative to the court system, through which they may pursue claims under the laws applied by the CAA. The Office is headed by a five-member Board of Directors, who are appointed jointly by the House and Senate majority and minority leadership.

Object Classification (in millions of dollars)

Identification code 09-1600-0-1-801	2001 actual	2002 est.	2003 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	1	1	1
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	2	2	2

Personnel Summary

Identification code 09-1600-0-1-801	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	15	15	15

CONGRESSIONAL BUDGET OFFICE

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For salaries and expenses necessary for operation of the Congressional Budget Office [to carry out the provisions of the Congressional Budget Act of 1974 (Public Law 93-344)], including not more than \$3,000 to be expended on the certification of the Director of the Congressional Budget Office in connection with official representation and reception expenses, [\$30,780,000] \$33,820,000, of which not more than \$100,000 is to remain available until expended for the acquisition and partial support for implementation of a Central Financial Management System: Provided, That no part of such amount may be used for the purchase or hire of a passenger motor vehicle. (Congressional Operations Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 08-0100-0-1-801	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	29	32	34
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	29	32	34
23.95 Total new obligations	-29	-32	-34
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	29	32	34
Change in obligated balances:			
72.40 Obligated balance, start of year	4	4	4
73.10 Total new obligations	29	32	34
73.20 Total outlays (gross)	-29	-32	-34
74.40 Obligated balance, end of year	4	4	4

Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	26	29	31
86.93 Outlays from discretionary balances	3	3	3
87.00 Total outlays (gross)	29	32	34
Net budget authority and outlays:			
89.00 Budget authority	29	32	34
90.00 Outlays	29	32	34

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	28	31	33
90.00 Outlays	28	31	33

The Congressional Budget Office (CBO) was established as a non-partisan office of Congress by Title II of the Congressional Budget and Impoundment Control Act of 1974 (2 U.S.C. 601 et. seq.). CBO provides objective economic and budgetary analysis and information to assist Congress in the fulfillment of its responsibilities. That information includes forecasts of the economy, analyses of economic trends and alternative fiscal policies, long-term projections of federal spending and revenue, and, upon request, studies on budget-related issues. In addition, CBO provides Congress with multi-year cost estimates for reported bills, as well as analyses of the costs of state, local, tribal, or private sector mandates. Pursuant to provisions of the Balanced Budget and Emergency Deficit Control Act, CBO also prepares advisory reports concerning sequestration and pay-as-you-go.

Object Classification (in millions of dollars)

Identification code 08-0100-0-1-801	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	18	20	21
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	19	21	22
12.1 Civilian personnel benefits	6	7	8
25.2 Other services	1	1	1
25.3 Other purchases of goods and services from Government accounts	1		
31.0 Equipment	1	1	1
99.0 Direct obligations	28	30	32
99.5 Below reporting threshold	1	2	2
99.9 Total new obligations	29	32	34

Personnel Summary

Identification code 08-0100-0-1-801	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	228	232	236

ADMINISTRATIVE PROVISIONS

【SEC. 125. (a) The Director of the Congressional Budget Office may, by regulation, make applicable such provisions of chapter 41 of title 5, United States Code, as the Director determines necessary to provide hereafter for training of individuals employed by the Congressional Budget Office.

(b) The implementing regulations shall provide for training that, in the determination of the Director, is consistent with the training provided by agencies subject to chapter 41 of title 5, United States Code.

(c) Any recovery of debt owed to the Congressional Budget Office under this section and its implementing regulations shall be credited to the appropriations account available for salaries and expenses of the Office at the time of recovery.

(d) This section shall apply to fiscal year 2002 and each fiscal year thereafter.】

【SEC. 126. Section 105(a) of the Legislative Branch Appropriations Act, 1997 (2 U.S.C. 606(a)), is amended by striking “or discarding.” and inserting “sale, trade-in, or discarding.”, and by adding at the end the following: “Amounts received for the sale or trade-in of personal property shall be credited to funds available for the operations of the Congressional Budget Office and be available for the costs of acquiring the same or similar property. Such funds shall be available for such purposes during the fiscal year in which received and the following fiscal year.”.】

【SEC. 127. (a) The Director of the Congressional Budget Office may, in order to recruit or retain qualified personnel, establish and maintain hereafter a program under which the Office may agree to repay (by direct payments on behalf of the employee) all or a portion of any student loan previously taken out by such employee.

(b) The Director may, by regulation, make applicable such provisions of section 5379 of title 5, United States Code as the Director determines necessary to provide for such program.

(c) The regulations shall provide the amount paid by the Office may not exceed—

- (1) \$6,000 for any employee in any calendar year; or
- (2) a total of \$40,000 in the case of any employee.

(d) The Office may not reimburse an employee for any repayments made by such employee prior to the Office entering into an agreement under this section with such employee.

(e) Any amount repaid by, or recovered from, an individual under this section and its implementing regulations shall be credited to the appropriation account available for salaries and expenses of the Office at the time of repayment or recovery.

(f) This section shall apply to fiscal year 2002 and each fiscal year thereafter.】

SEC. 102. *The Director may, by regulation, make applicable such provisions of section 3396 of title 5, United States Code, as the Director determines necessary to establish hereafter a program providing opportunities for employees of the Office to engage in details or other temporary assignments in other agencies, study or uncompensated work experience which will contribute to the employees’ development and effectiveness.*

SEC. 103. *The Director is hereafter authorized to enter into agreements or contracts without regard to section 3709 of the Revised Statutes (41 U.S.C. 5). (Congressional Operations Appropriations Act, 2002.)*

ARCHITECT OF THE CAPITOL

Federal Funds

General and special funds:

CAPITOL BUILDINGS AND GROUNDS

GENERAL ADMINISTRATION

SALARIES AND EXPENSES

For salaries for the Architect of the Capitol, the Assistant Architect of the Capitol, and other personal services, at rates of pay provided

by law; for surveys and studies in connection with activities under the care of the Architect of the Capitol; for all necessary expenses for the general and administrative support of the operations under the Architect of the Capitol including the Botanic Garden; electrical substations of the Capitol, Senate and House office buildings, and other facilities under the jurisdiction of the Architect of the Capitol; including furnishings and office equipment; including not more than \$1,000 for official reception and representation expenses, to be expended as the Architect of the Capitol may approve; for purchase or exchange, maintenance, and operation of a passenger motor vehicle; and not to exceed 【\$20,000】 \$50,000 for attendance, when specifically authorized by the Architect of the Capitol, at meetings or conventions in connection with subjects related to work under the Architect of the Capitol, 【\$51,371,000】 \$69,110,000, of which 【\$3,026,000】 \$2,450,000 shall remain available until September 30, 【2006】 2007. (Congressional Operations Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 01-0100-0-1-801	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations		55	69

Budgetary resources available for obligation:			
22.00 New budget authority (gross)		55	69
23.95 Total new obligations		-55	-69

New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation		55	69

Change in obligated balances:			
72.40 Obligated balance, start of year			5
73.10 Total new obligations		55	69
73.20 Total outlays (gross)		-50	-65
74.40 Obligated balance, end of year		5	9

Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		50	61
86.93 Outlays from discretionary balances			5
87.00 Total outlays (gross)		50	65

Net budget authority and outlays:			
89.00 Budget authority		55	69
90.00 Outlays		50	65

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority		51	64
90.00 Outlays		46	60

Object Classification (in millions of dollars)

Identification code 01-0100-0-1-801	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent		24	27
12.1 Civilian personnel benefits		13	15
23.3 Communications, utilities, and miscellaneous charges		1	4
25.4 Operation and maintenance of facilities		17	23
99.9 Total new obligations		55	69

Personnel Summary

Identification code 01-0100-0-1-801	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment		348	374

CAPITOL BUILDINGS

For all necessary expenses for the maintenance, care and operation of the Capitol, 【\$15,194,000】 \$47,710,000, of which 【\$3,080,000】

General and special funds—Continued

CAPITOL BUILDINGS AND GROUNDS—Continued

CAPITOL BUILDINGS—Continued

\$33,200,000 shall remain available until September 30, [2006] 2007. (Congressional Operations Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 01-0105-0-1-801	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	48	108	68
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	18	49	137
22.00 New budget authority (gross)	81	123	48
22.21 Unobligated balance transferred to other accounts	-1		
22.22 Unobligated balance transferred from other accounts		73	
23.90 Total budgetary resources available for obligation	98	245	184
23.95 Total new obligations	-48	-108	-68
23.98 Unobligated balance expiring or withdrawn	-1		
24.40 Unobligated balance carried forward, end of year	49	137	116
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	47	16	48
40.15 Appropriation (emergency)	1		
42.00 Transferred from other accounts	33	106	
43.00 Appropriation (total discretionary)	81	123	48
Change in obligated balances:			
72.40 Obligated balance, start of year	15	16	16
73.10 Total new obligations	48	108	68
73.20 Total outlays (gross)	-47	-108	-75
74.40 Obligated balance, end of year	16	16	10
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	47	7	35
86.93 Outlays from discretionary balances		100	39
87.00 Total outlays (gross)	47	108	75
Net budget authority and outlays:			
89.00 Budget authority	81	123	48
90.00 Outlays	47	108	75

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	78	122	47
90.00 Outlays	44	107	74

Included in this presentation are "Contingent expenses," "Alterations and improvements, buildings and grounds, to provide facilities for the physically handicapped," and "West central front of the Capitol."

Object Classification (in millions of dollars)

Identification code 01-0105-0-1-801	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	17	5	5
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	18	6	6
12.1 Civilian personnel benefits	10	3	3
23.2 Rental payments to others	1		
25.4 Operation and maintenance of facilities	18	99	59
26.0 Supplies and materials	1		
99.9 Total new obligations	48	108	68

Personnel Summary

Identification code 01-0105-0-1-801	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	351	167	167

CAPITOL GROUNDS

For all necessary expenses for care and improvement of grounds surrounding the Capitol, the Senate and House office buildings, and the Capitol Power Plant, **[\$6,009,000] \$8,269,000.** (Congressional Operations Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 01-0108-0-1-801	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	14	10	9
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	12	3	
22.00 New budget authority (gross)	5	7	9
22.22 Unobligated balance transferred from other accounts	1		
23.90 Total budgetary resources available for obligation	18	10	9
23.95 Total new obligations	-14	-10	-9
24.40 Unobligated balance carried forward, end of year	3		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	5	7	9
Change in obligated balances:			
72.40 Obligated balance, start of year	4	9	4
73.10 Total new obligations	14	10	9
73.20 Total outlays (gross)	-9	-15	-12
74.40 Obligated balance, end of year	9	4	2
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	4	6	7
86.93 Outlays from discretionary balances	5	9	5
87.00 Total outlays (gross)	9	15	12
Net budget authority and outlays:			
89.00 Budget authority	5	7	9
90.00 Outlays	9	15	12

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	5	6	8
90.00 Outlays	9	14	11

Object Classification (in millions of dollars)

Identification code 01-0108-0-1-801	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	3	3	3
12.1 Civilian personnel benefits	1	2	2
25.4 Operation and maintenance of facilities	10	4	3
26.0 Supplies and materials		1	1
99.9 Total new obligations	14	10	9

Personnel Summary

Identification code 01-0108-0-1-801	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	66	69	69

【CONGRESSIONAL CEMETERY】

For the perpetual care and maintenance of the historic Congressional Cemetery, \$1,250,000, to remain available until expended: *Provided*, That \$1,000,000 of such amount shall be paid to the National Trust for Historic Preservation (hereafter in this paragraph referred to as the “National Trust”) for deposit into the permanently restricted account referred to in section 209(b) of the Legislative Branch Appropriations Act, 1999 (Public Law 105–275; 112 Stat. 2449) and shall be used by the National Trust in accordance with the terms and conditions applicable under such section to amounts deposited into such account: *Provided further*, That \$250,000 of such amount shall be for the preparation of a study to develop a program for the ongoing care and maintenance of the Cemetery.】 (*Legislative Branch Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 01–0110–0–1–801	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	1	1
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	1	1
23.95 Total new obligations	– 1	– 1
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1	1
Change in obligated balances:			
73.10 Total new obligations	1	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1	1
Net budget authority and outlays:			
89.00 Budget authority	1	1
90.00 Outlays	1

Personnel Summary

Identification code 01–0110–0–1–801	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	1	1

SENATE OFFICE BUILDINGS

For all necessary expenses for the maintenance, care and operation of Senate office buildings; and furniture and furnishings to be expended under the control and supervision of the Architect of the Capitol, 【\$42,126,000】 \$58,706,000, of which 【\$3,760,000】 \$12,150,000 shall remain available until September 30, 【2006】 2007. (*Congressional Operations Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 01–0123–0–1–801	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	58	59	67
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	20	27	12
22.00 New budget authority (gross)	67	45	59
22.21 Unobligated balance transferred to other accounts	– 1
23.90 Total budgetary resources available for obligation	85	71	71
23.95 Total new obligations	– 58	– 59	– 67
24.40 Unobligated balance carried forward, end of year	27	12	4
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	67	45	59
Change in obligated balances:			
72.40 Obligated balance, start of year	12	11	14

73.10 Total new obligations	58	59	67
73.20 Total outlays (gross)	– 59	– 56	– 65
74.40 Obligated balance, end of year	11	14	17
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	41	39	44
86.93 Outlays from discretionary balances	18	17	21
87.00 Total outlays (gross)	59	56	65
Net budget authority and outlays:			
89.00 Budget authority	67	45	59
90.00 Outlays	60	56	65

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	64	42	55
90.00 Outlays	57	53	61

This presentation includes the Senate restaurant fund.

Object Classification (in millions of dollars)

Identification code 01–0123–0–1–801	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	17	16	17
11.5 Other personnel compensation	3	3	4
11.9 Total personnel compensation	20	19	21
12.1 Civilian personnel benefits	8	8	10
23.3 Communications, utilities, and miscellaneous charges	8	8	8
25.4 Operation and maintenance of facilities	19	21	25
26.0 Supplies and materials	1	1	1
31.0 Equipment	2	2	2
99.9 Total new obligations	58	59	67

Personnel Summary

Identification code 01–0123–0–1–801	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	614	551	559

HOUSE OFFICE BUILDINGS

For all necessary expenses for the maintenance, care and operation of the House office buildings, 【\$54,006,000】 \$49,924,000, of which 【\$23,344,000】 \$13,390,000 shall remain available until September 30, 【2006】 2007. (*Congressional Operations Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 01–0127–0–1–801	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	45	46	60
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	15	14	25
22.00 New budget authority (gross)	45	57	50
23.90 Total budgetary resources available for obligation	60	71	75
23.95 Total new obligations	– 45	– 46	– 60
24.40 Unobligated balance carried forward, end of year	14	25	15
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	45	57	50
Change in obligated balances:			
72.40 Obligated balance, start of year	20	20	8
73.10 Total new obligations	45	46	60
73.20 Total outlays (gross)	– 45	– 59	– 61
74.40 Obligated balance, end of year	20	8	7

General and special funds—Continued

CAPITOL BUILDINGS AND GROUNDS—Continued

HOUSE OFFICE BUILDINGS—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 01-0127-0-1-801	2001 actual	2002 est.	2003 est.
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	30	34	36
86.93 Outlays from discretionary balances	15	25	23
87.00 Total outlays (gross)	45	59	61
Net budget authority and outlays:			
89.00 Budget authority	45	57	50
90.00 Outlays	45	59	61

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	42	54	46
90.00 Outlays	42	56	57

This presentation includes the House of Representatives gymnasium fund.

Object Classification (in millions of dollars)

Identification code 01-0127-0-1-801	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	17	16	17
11.5 Other personnel compensation	3	3	3
11.9 Total personnel compensation	20	19	20
12.1 Civilian personnel benefits	8	9	10
25.4 Operation and maintenance of facilities	16	17	28
26.0 Supplies and materials	1	1	2
99.9 Total new obligations	45	46	60

Personnel Summary

Identification code 01-0127-0-1-801	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	490	478	481

CAPITOL POWER PLANT

For all necessary expenses for the maintenance, care and operation of the Capitol Power Plant; lighting, heating, power (including the purchase of electrical energy) and water and sewer services for the Capitol, Senate and House office buildings, Library of Congress buildings, and the grounds about the same, Botanic Garden, Senate garage, and air conditioning refrigeration not supplied from plants in any of such buildings; heating the Government Printing Office and Washington City Post Office, and heating and chilled water for air conditioning for the Supreme Court Building, the Union Station complex, the Thurgood Marshall Federal Judiciary Building and the Folger Shakespeare Library, expenses for which shall be advanced or reimbursed upon request of the Architect of the Capitol and amounts so received shall be deposited into the Treasury to the credit of this appropriation, **[\$52,583,000] \$144,536,000**, of which **[\$8,013,000] \$102,600,000** shall remain available until September 30, **[2006] 2007: Provided**, That not more than \$4,400,000 of the funds credited or to be reimbursed to this appropriation as herein provided shall be available for obligation during fiscal year **[2002] 2003. (Congressional Operations Appropriations Act, 2002; additional authorizing legislation required.)**

Program and Financing (in millions of dollars)

Identification code 01-0133-0-1-801	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program Activity	43	51	126
09.01 Reimbursable program	4	4	4
10.00 Total new obligations	47	55	130

	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	6	4	7
22.00 New budget authority (gross)	45	58	149
22.22 Unobligated balance transferred from other accounts	1		
23.90 Total budgetary resources available for obligation	52	62	156
23.95 Total new obligations	-47	-55	-130
23.98 Unobligated balance expiring or withdrawn	-1		
24.40 Unobligated balance carried forward, end of year	4	7	26

	2001 actual	2002 est.	2003 est.
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	41	54	145
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	4	4	4
70.00 Total new budget authority (gross)	45	58	149

	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year	6	8	8
73.10 Total new obligations	47	55	130
73.20 Total outlays (gross)	-46	-54	-111
74.40 Obligated balance, end of year	8	8	27

	2001 actual	2002 est.	2003 est.
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	38	48	96
86.93 Outlays from discretionary balances	7	6	15
87.00 Total outlays (gross)	46	54	111

	2001 actual	2002 est.	2003 est.
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-4	-4	-4

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	41	54	145
90.00 Outlays	41	50	107

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	40	53	144
90.00 Outlays	40	49	106

Object Classification (in millions of dollars)

Identification code 01-0133-0-1-801	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	3	4	4
12.1 Civilian personnel benefits	2	2	2
23.3 Communications, utilities, and miscellaneous charges	29	34	30
25.4 Operation and maintenance of facilities	5	7	86
26.0 Supplies and materials	3	4	4
99.0 Direct obligations	42	51	126
99.0 Reimbursable obligations	5	4	4
99.9 Total new obligations	47	55	130

Personnel Summary

Identification code 01-0133-0-1-801	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	70	80	80
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	14	14	14

LIBRARY BUILDINGS AND GROUNDS
STRUCTURAL AND MECHANICAL CARE

For all necessary expenses for the mechanical and structural maintenance, care and operation of the Library buildings and grounds, **[\$21,753,000] \$28,232,000**, of which **[\$3,748,000] \$6,163,000** shall remain available until September 30, **[2006] 2007** and **[\$5,000,000] \$5,500,000** shall remain available until expended. *(Legislative Branch Appropriations Act, 2002; additional authorizing legislation required.)*

Program and Financing (in millions of dollars)

Identification code 01-0155-0-1-801	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	16	20	27
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	11	13	16
22.00 New budget authority (gross)	17	23	28
23.90 Total budgetary resources available for obligation	29	36	44
23.95 Total new obligations	-16	-20	-27
24.40 Unobligated balance carried forward, end of year	13	16	17
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	17	23	28
Change in obligated balances:			
72.40 Obligated balance, start of year	8	7	4
73.10 Total new obligations	16	20	27
73.20 Total outlays (gross)	-17	-23	-27
74.40 Obligated balance, end of year	7	4	4
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	10	14	19
86.93 Outlays from discretionary balances	7	9	9
87.00 Total outlays (gross)	17	23	27
Net budget authority and outlays:			
89.00 Budget authority	17	23	28
90.00 Outlays	17	23	27

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	16	22	27
90.00 Outlays	16	22	26

Object Classification (in millions of dollars)

Identification code 01-0155-0-1-801	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	5	6	6
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	6	7	7
12.1 Civilian personnel benefits	2	2	3
25.4 Operation and maintenance of facilities	7	10	16
26.0 Supplies and materials	1	1	1
99.9 Total new obligations	16	20	27

Personnel Summary

Identification code 01-0155-0-1-801	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	130	150	152

【CAPITOL VISITOR CENTER】

【For an additional amount for the unassigned space in the Capitol Visitor Center project, \$70,000,000, to remain available until expended: *Provided*, That section 3709 of the Revised Statutes of the

United States (41 U.S.C. 5) shall not apply to the funds made available under this heading: *Provided further*, That the Architect of the Capitol may not obligate any of the funds which are made available for the Capitol Visitor Center under this Act or any other Act without an obligation plan approved by the chair and ranking minority member of the Committee on Appropriations of the House of Representatives for House space and the Committee on Appropriations of the Senate for Senate space.】 *(Legislative Branch Appropriations Act, 2002.)*

Program and Financing (in millions of dollars)

Identification code 01-0161-0-1-801	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.1)	9	233	60
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	93	84	60
22.00 New budget authority (gross)	70	70	70
22.22 Unobligated balance transferred from other accounts		138	
23.90 Total budgetary resources available for obligation	93	292	60
23.95 Total new obligations	-9	-233	-60
24.40 Unobligated balance carried forward, end of year	84	60	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation		70	
Change in obligated balances:			
72.40 Obligated balance, start of year	3	6	176
73.10 Total new obligations	9	233	60
73.20 Total outlays (gross)	-6	-63	-130
74.40 Obligated balance, end of year	6	176	106
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	6	63	130
Net budget authority and outlays:			
89.00 Budget authority		70	
90.00 Outlays	6	63	130

Intragovernmental funds:

JUDICIARY OFFICE BUILDING DEVELOPMENT AND OPERATIONS FUND
(TECHNICAL ADJUSTMENT TO LEGISLATIVE BRANCH REQUEST)

Program and Financing (in millions of dollars)

Identification code 01-4518-0-4-801	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Project management	4	6	6
09.02 Reimbursable program	15	15	15
10.00 Total new obligations	19	21	21
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	458	458	458
22.00 New budget authority (gross)	23	23	23
22.60 Portion applied to repay debt	-2	-2	-2
23.90 Total budgetary resources available for obligation	479	479	479
23.95 Total new obligations	-19	-21	-21
24.40 Unobligated balance carried forward, end of year	458	458	458
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	23	23	23
Change in obligated balances:			
73.10 Total new obligations	19	21	21
73.20 Total outlays (gross)	-19	-21	-21
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	18	21	21
86.98 Outlays from mandatory balances	2	2	2
87.00 Total outlays (gross)	19	21	21

Intragovernmental funds—Continued

JUDICIARY OFFICE BUILDING DEVELOPMENT AND OPERATIONS
FUND—Continued

(TECHNICAL ADJUSTMENT TO LEGISLATIVE BRANCH REQUEST)—
Continued

Program and Financing (in millions of dollars)—Continued

Identification code 01-4518-0-4-801	2001 actual	2002 est.	2003 est.	
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
Federal sources:				
88.00	Federal sources—Lease Payment	-17	-17	-17
88.00	Federal sources—Project Management	-6	-6	-6
88.90	Total, offsetting collections (cash)	-23	-23	-23
Net budget authority and outlays:				
89.00	Budget authority			
90.00	Outlays	-5	-2	-2

The Judiciary Office Building Development Act, Public Law 100-480, among other purposes, authorizes the Architect of the Capitol to contract for the design and construction of a building adjacent to Union Station in the District of Columbia to be leased to the Judicial Branch of the United States. This schedule reflects the costs associated with the construction of the building. Costs of construction were financed by an initial \$125 million of Federal agency debt (sales price less unamortized discount) issued in 1989.

Estimates prepared by the Legislative Branch assumed the financial arrangements to be a lease-purchase, which would distribute outlays associated with acquisition of the building over a period of thirty years. However, the arrangements involve Federally guaranteed financing and other characteristics that make them substantively the same as direct Federal construction, financed by direct Federal borrowing. Because estimated expenditures of the Legislative Branch are required to be included in the budget as submitted and without change, this separate schedule is included as an adjustment to reflect direct construction costs and associated interest costs, consistent with standard budget concepts and scoring conventions.

Estimates shown are consistent with the requirements of the Budget Enforcement Act and are presented with the agreement of the Budget and Appropriations Committees.

Object Classification (in millions of dollars)

Identification code 01-4518-0-4-801	2001 actual	2002 est.	2003 est.	
25.2	Other services	4	6	6
43.0	Interest and dividends	15	15	15
99.9	Total new obligations	19	21	21

GIFTS AND DONATIONS

This account was established to reflect the transfer of a property located at Culpeper, Virginia to the Architect of the Capitol. Schedules for this account are not included because the transfer is expected to happen after 2003.

ADMINISTRATIVE PROVISIONS

SEC. [128] 104. ACQUISITION OF PROPERTY BY ARCHITECT OF THE CAPITOL.—Notwithstanding any other provision of law and subject to the availability of appropriations, the Architect of the Capitol is authorized to secure, through multi-year rental, lease, or other appropriate agreement, the property located at 67 K Street, S.W., Washington, D.C., for use of Legislative Branch agencies, and to incur any necessary incidental expenses including maintenance, alterations,

and repairs in connection therewith: *Provided*, That in connection with the property referred to under the preceding proviso, the Architect of the Capitol is authorized to expend funds appropriated to the Architect of the Capitol for the purpose of the operations and support of Legislative Branch agencies, including the United States Capitol Police, as may be required for that purpose.

[SEC. 129. (a) COMPENSATION OF ARCHITECT OF THE CAPITOL.—Section 203(c) of the Federal Legislative Salary Act of 1964 (40 U.S.C. 162a) is amended by striking “the annual rate of basic pay” and all that follows and inserting the following: “the lesser of the annual salary for the Sergeant at Arms of the House of Representatives or the annual salary for the Sergeant at Arms and Doorkeeper of the Senate.”.

(b) COMPENSATION OF ASSISTANT ARCHITECT OF THE CAPITOL.—Pursuant to the authority described in section 308(a) of the Legislative Branch Appropriations Act, 1988 (40 U.S.C. 166b-3a(a)), the pay for the position of assistant referred to in the proviso in the first undesignated paragraph under the center subheadings “OFFICE OF THE ARCHITECT OF THE CAPITOL” and “SALARIES” in the first section of the Legislative Branch Appropriation Act, 1971 (40 U.S.C. 164a) shall be an amount equal to \$1,000 less than the annual rate of pay for the Architect of the Capitol.

(c) COMPENSATION FOR CERTAIN OTHER POSITIONS.—

(1) IN GENERAL.—In accordance with the authority described in section 308(a) of the Legislative Branch Appropriations Act, 1988 (40 U.S.C. 166b-3a(a)), section 108 of the Legislative Branch Appropriations Act, 1991 (40 U.S.C. 166b-3b) is amended—

(A) by striking subsections (a) and (b) and inserting the following:

“(a) The Architect of the Capitol may fix the rate of basic pay for not more than 12 positions at a rate not to exceed the highest total rate of pay for the Senior Executive Service under subchapter VIII of chapter 53 of title 5, United States Code, for the locality involved.”; and

(B) by redesignating subsection (c) as subsection (b).

(2) EFFECTIVE DATE.—The amendment made by paragraph (1) shall apply with respect to pay periods beginning on or after the expiration of the 21-day period which begins on the date the Architect of the Capitol submits to the Committees on Appropriations of the House of Representatives and Senate a list containing the 12 positions for which the Architect will fix the rate of basic pay under the amendment, the rate of basic pay for each such position, and the job description for each such position.

(d) COMPREHENSIVE MANAGEMENT STUDY AND RESPONSE.—

(1) STUDY BY COMPTROLLER GENERAL.—Not later than November 1, 2002, the Comptroller General shall conduct a comprehensive management study of the operations of the Architect of the Capitol, and submit the study to the Architect of the Capitol and the Committees on Appropriations of the House of Representatives and Senate.

(2) PLAN BY ARCHITECT IN RESPONSE.—After the Comptroller General submits the study conducted under paragraph (1) to the Committees referred to in such paragraph, the Architect of the Capitol shall develop and submit to such Committees a management improvement plan which addresses the study and which indicates how the personnel for whom the Architect fixes the rate of basic pay under the amendment made by subsection (c)(1) will support such plan.

(e) EFFECTIVE DATE.—Except as provided in subsections (c)(2) and (d), this section and the amendments made by this section shall apply with respect to pay periods beginning on or after October 1, 2001.]

[SEC. 130. (a) LIQUIDATED DAMAGES.—The Architect of the Capitol may not enter into or administer any construction contract with a value greater than \$50,000 unless the contract includes a provision requiring the payment of liquidated damages in the amount determined under subsection (b) in the event that completion of the project is delayed because of the contractor.

(b) AMOUNT OF PAYMENT.—The amount of payment required under a liquidated damages provision described in subsection (a) shall be equal to the product of—

(1) the daily liquidated damage payment rate; and

(2) the number of days by which the completion of the project is delayed.

(c) DAILY LIQUIDATED DAMAGE PAYMENT RATE.—

(1) IN GENERAL.—In subsection (b), the “daily liquidated damage payment rate” means—

(A) \$140, in the case of a contract with a value greater than \$50,000 and less than \$100,000;

(B) \$200, in the case of a contract with a value equal to or greater than \$100,000 and equal to or less than \$500,000; and

(C) the sum of \$200 plus \$50 for each \$100,000 increment by which the value of the contract exceeds \$500,000, in the case of a contract with a value greater than \$500,000.

(2) **ADJUSTMENT IN RATE PERMITTED.**—Notwithstanding paragraph (1), the daily liquidated damage payment rate may be adjusted by the contracting officer involved to a rate greater or lesser than the rate described in such paragraph if the contracting officer makes a written determination that the rate described does not accurately reflect the anticipated damages which will be suffered by the United States as a result of the delay in the completion of the contract.

(d) **EFFECTIVE DATE.**—This section shall apply with respect to contracts entered into during fiscal year 2002 or any succeeding fiscal year.】

SEC. [131] 105. Notwithstanding any other provision of law: [(1)] (a) section 3709 of the Revised Statutes (41 U.S.C. 5) shall apply with respect to purchases and contracts for the Architect of the Capitol as if the reference to “\$25,000” in clause I of such section were a reference to “\$100,000”; and [(2)] (b) the Architect may procure services, equipment, and construction for security related projects in the most efficient manner he determines appropriate.

[SEC. 132. ACCOUNTING AND FINANCIAL MANAGEMENT SYSTEM. The Architect of the Capitol shall develop and maintain an accounting and financial management system, including financial reporting and internal controls, which—

(1) complies with applicable federal accounting principles, standards, and requirements, and internal control standards;

(2) complies with any other requirements applicable to such systems; and

(3) provides for—

(A) complete, reliable, consistent, and timely information which is prepared on a uniform basis and which is responsive to financial information needs of the Architect of the Capitol;

(B) the development and reporting of cost information;

(C) the integration of accounting and budgeting information; and

(D) the systematic measurement of performance.】

[SEC. 133. (a) LIMITATION.—(1) Except as provided in paragraph (2), none of the funds provided by this Act or any other Act may be used by the Architect of the Capitol after the expiration of the 90-day period which begins on the date of the enactment of this Act to employ any individual as a temporary employee within a category of temporary employment which does not provide employees with the same eligibility for life insurance, health insurance, retirement, and other benefits which is provided to temporary employees who are hired for a period exceeding 1 year in length.

(2) Paragraph (1) shall not apply with respect to any of the following individuals:

(A) An individual who is employed under the Architect of the Capitol Summer Employment Program.

(B) An individual who is hired for a total of 120 days or less during any 5-year period (excluding any days in which the individual is employed under the Architect of the Capitol Summer Employment Program).

(C) An individual employed by the Architect of the Capitol as a temporary employee as of the date of the enactment of this Act who exercises in writing, not later than 90 days after such date, an option offered by the Architect to remain under the pay system (including benefits) provided for the individual as of such date.

(D) An individual who becomes employed by the Architect of the Capitol after the date of the enactment of this Act who exercises in writing, prior to the individual’s employment, an option offered by the Architect to receive pay and benefits under an alternative system which does not provide the benefits described in paragraph (1), except that under such an option the Architect shall be required to provide the individual with the benefits described in paragraph (1) as soon as the individual’s period of service as a temporary employee exceeds 1 year in length.

(3) Nothing in this subsection may be construed to require the Architect of the Capitol to provide duplicative benefits for any employee.

(b) **ALLOTMENT AND ASSIGNMENT OF PAY.**—(1) Section 5525 of title 5, United States Code, is amended by adding at the end the following new sentence: “For purposes of this section, the term ‘agency’ includes the Office of the Architect of the Capitol.”

(2) The amendment made by paragraph (1) shall apply with respect to pay periods beginning on or after the date of the enactment of this Act.】

[SEC. 134. CONGRESSIONAL AWARD YOUTH PARK. (a) DESIGNATION.—The parcel of approximately 5 acres of land located on the Capitol Grounds and described in subsection (b) shall be known and designated as the “Congressional Award Youth Park”.

(b) **AREA INCLUDED.**—

(1) **IN GENERAL.**—The parcel of land described in subsection (a) is—

(A) bounded on the north by Constitution Avenue, N.W.;

(B) bounded on the east by First Street, N.W.;

(C) bounded on the south by Pennsylvania Avenue, N.W.; and

(D) bounded on the west by Third Street N.W.

(2) **EXTENSION.**—The park shall extend to the curbs of the streets described in paragraph (1).

(c) **DESIGN.**—

(1) **COMPETITION.**—The Architect of the Capitol shall sponsor a competition for the design of the park, based on specifications developed by the Architect.

(2) **SPECIFICATIONS.**—

(A) **IN GENERAL.**—Not later than June 30, 2002, the Architect, in consultation with the majority leader and the minority leader of the Senate, and the Speaker and the minority leader of the House of Representatives, shall develop the specifications for the park.

(B) **REQUIREMENTS.**—

(i) **IN GENERAL.**—The specifications shall require an outdoor design that is accessible to the public.

(ii) **INCLUSIONS.**—To the maximum extent practicable, the specifications shall include requirements for—

(I) a fountain;

(II) extensive use of trees and flowering plants from each of the 50 States;

(III) large-scale replicas of the medals awarded under the Congressional Award Program; and

(IV) the inscription of the names of all Congressional Award recipients.

(3) **SELECTION.**—

(A) **IN GENERAL.**—As soon as practicable after the competition is completed, the Architect shall forward at least 3 designs, with recommendations, to the United States Capitol Preservation Commission.

(B) **FINAL SELECTION.**—The United States Capitol Preservation Commission shall select and approve the final design from among the 3 designs submitted under subparagraph (A).

(d) **FUNDING.**—Funds otherwise made available to the Architect of the Capitol under this Act shall be available to carry out this section.】

[SEC. 135. LIMITATION ON CERTAIN GIFTS AND EXPENDITURES RELATING TO THE NATIONAL GARDEN. Section 201 of the Legislative Branch Appropriations Act, 1993 (40 U.S.C. 216c note) is amended by striking “\$14,500,000” each place it appears and inserting “\$16,500,000.”] (*Congressional Operations Appropriations Act, 2002.*)

BOTANIC GARDEN

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For all necessary expenses for the maintenance, care and operation of the Botanic Garden and the nurseries, buildings, grounds, and collections; and purchase and exchange, maintenance, repair, and operation of a passenger motor vehicle; all under the direction of the Joint Committee on the Library, 【\$5,646,000: *Provided*, That this appropriation shall not be available for any activities of the National Garden】 \$5,766,000. (*Legislative Branch Appropriations Act, 2002; additional authorizing legislation required.*)

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Program and Financing (in millions of dollars)

Identification code 09-0200-0-1-801	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	4	7	6
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	1
22.00 New budget authority (gross)	3	6	6
23.90 Total budgetary resources available for obligation	5	7	6
23.95 Total new obligations	-4	-7	-6
24.40 Unobligated balance carried forward, end of year	1
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	3	6	6
Change in obligated balances:			
72.40 Obligated balance, start of year	17	6	2
73.10 Total new obligations	4	7	6
73.20 Total outlays (gross)	-16	-11	-7
74.40 Obligated balance, end of year	6	2	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2	5	6
86.93 Outlays from discretionary balances	13	6	1
87.00 Total outlays (gross)	16	11	7
Net budget authority and outlays:			
89.00 Budget authority	3	6	6
90.00 Outlays	16	11	7

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	3	6	5
90.00 Outlays	16	11	6

Object Classification (in millions of dollars)

Identification code 09-0200-0-1-801	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	3
12.1 Civilian personnel benefits	1	2
25.2 Other services	2	3	1
99.0 Direct obligations	4	6	6
99.5 Below reporting threshold	1
99.9 Total new obligations	4	7	6

Personnel Summary

Identification code 09-0200-0-1-801	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	35	51	55

Trust Funds

GIFTS AND DONATIONS

Unavailable Collections (in millions of dollars)

Identification code 09-8292-0-7-801	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year
Receipts:			
02.00 Botanic Garden	2	2
Appropriations:			
05.00 Botanic Garden: Gifts and donations	-2	-2

07.99 Balance, end of year

Program and Financing (in millions of dollars)

Identification code 09-8292-0-7-801	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)	2	2
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	2	2
23.95 Total new obligations	-2	-2
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)	2	2
Change in obligated balances:			
73.10 Total new obligations	2	2
73.20 Total outlays (gross)	-2	-2
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	2	2
Net budget authority and outlays:			
89.00 Budget authority	2	2
90.00 Outlays	2	2

Pursuant to 40 U.S.C. 216c, as amended, the Architect of the Capitol, subject to the direction of the Joint Committee on the Library, is authorized to construct a National Garden and to solicit and accept certain gifts on behalf of the United States Botanic Garden for the purpose of constructing the National Garden, or for the general benefit of the Botanic Garden and the renovation of the Botanic Garden conservatory, to deposit such gift funds in the Treasury of the United States, and, subject to approval in appropriations Acts, to obligate and expend such sums.

LIBRARY OF CONGRESS

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Library of Congress not otherwise provided for, including development and maintenance of the Union Catalog; custody and custodial care of the Library buildings; special clothing; cleaning, laundering and repair of uniforms; preservation of motion pictures in the custody of the Library; operation and maintenance of the American Folklife Center in the Library; preparation and distribution of catalog records and other publications of the Library; hire or purchase of one passenger motor vehicle; and expenses of the Library of Congress Trust Fund Board not properly chargeable to the income of any trust fund held by the Board, **[\$306,692,000]** \$373,584,000, of which not more than \$6,500,000 shall be derived from collections credited to this appropriation during fiscal year **[2002]** 2003, and shall remain available until expended, under the Act of June 28, 1902 (chapter 1301; 32 Stat. 480; 2 U.S.C. 150) and not more than \$350,000 shall be derived from collections during fiscal year **[2002]** 2003 and shall remain available until expended for the development and maintenance of an international legal information database and activities related thereto: *Provided*, That the Library of Congress may not obligate or expend any funds derived from collections under the Act of June 28, 1902, in excess of the amount authorized for obligation or expenditure in appropriations Acts: *Provided further*, That the total amount available for obligation shall be reduced by the amount by which collections are less than the \$6,850,000: *Provided further*, That of the total amount appropriated, **[\$15,824,474]** \$15,886,000 is to remain available until expended for acquisition of books, periodicals, newspapers, and all other materials including subscriptions for bibliographic services for the Library, including \$40,000 to be available solely for the purchase, when specifically approved by the Librarian, of special and unique materials for additions to the collections: *Provided further*, That of the total amount appropriated, **[\$1,517,903]** \$911,000 is to remain

available until expended for the acquisition and partial support for implementation of an Integrated Library System (ILS): *Provided further*, That of the total amount appropriated, \$7,100,000 is to remain available until expended for the purpose of teaching educators how to incorporate the Library's digital collections into school curricula and shall be transferred to the educational consortium formed to conduct the "Joining Hands Across America: Local Community Initiative" project as approved by the Library: *Provided further*, That of the amount appropriated, \$500,000, to remain available until expended, shall be transferred to the Abraham Lincoln Bicentennial Commission [to remain available until expended] for carrying out the purposes of Public Law 106-173, of which amount \$3,000 may be used for official representation and reception expenses of the Abraham Lincoln Bicentennial Commission: *Provided further*, That of the total amount appropriated, \$4,250,000 is to remain available until expended for the acquisition and partial support for implementation of a Central Financial Management System: *Provided further*, That of the total amount appropriated, \$5,000,000 is to remain available until expended for the purpose of developing a high speed data transmission between the Library of Congress and educational facilities, libraries, or networks serving Western North Carolina: *Provided further*, That of the total amount appropriated, \$789,000 is to remain available until expended for the Lewis and Clark Exhibition. (Legislative Branch Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 03-0101-0-1-503	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 National Library	174	220	235
00.02 Law Library	7	10	14
00.03 Management support services	97	126	118
00.04 Other Direct Program Activity	1	1	1
09.01 Reimbursable program—Interagency	63	6	6
09.02 Reimbursable program—National Library	5	7	7
10.00 Total new obligations	346	370	380
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	8	128	112
22.00 New budget authority (gross)	465	358	380
22.10 Resources available from recoveries of prior year obligations	1	1	1
22.21 Unobligated balance transferred to other accounts	—	—4	—
23.90 Total budgetary resources available for obligation	474	483	493
23.95 Total new obligations	—346	—370	—380
23.98 Unobligated balance expiring or withdrawn	—1	—1	—1
24.40 Unobligated balance carried forward, end of year	128	112	112
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	391	315	367
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	—1	—	—
42.00 Transferred from other accounts	6	30	—
43.00 Appropriation (total discretionary)	396	345	367
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	66	13	13
68.10 Change in uncollected customer payments from Federal sources (unexpired)	3	—	—
68.90 Spending authority from offsetting collections (total discretionary)	69	13	13
70.00 Total new budget authority (gross)	465	358	380
Change in obligated balances:			
72.40 Obligated balance, start of year	84	84	87
73.10 Total new obligations	346	370	380
73.20 Total outlays (gross)	—340	—345	—356
73.31 Obligated balance transferred to other accounts	—	—21	—
73.40 Adjustments in expired accounts (net)	—6	—	—
73.45 Recoveries of prior year obligations	—1	—1	—1
74.00 Change in uncollected customer payments from Federal sources (unexpired)	—3	—	—
74.10 Change in uncollected customer payments from Federal sources (expired)	6	—	—
74.40 Obligated balance, end of year	84	87	110
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	287	291	313

86.93	Outlays from discretionary balances	55	54	43
87.00	Total outlays (gross)	340	345	356
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	—61	—6	—6
88.40	Non-Federal sources	—5	—7	—7
88.90	Total, offsetting collections (cash)	—66	—13	—13
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	—3	—	—
Net budget authority and outlays:				
89.00	Budget authority	396	345	367
90.00	Outlays	274	332	343

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	382	330	351
90.00	Outlays	260	317	327

Personal services and necessary expenses to provide support for the basic operations of the Library are financed from this appropriation.

National Library.—The National Library has as its mission the acquisition of materials; the cataloging, classification, and preparation of materials for use; serving the public and maintaining and managing the Library's universal collections, which are the largest in the world; and, the preservation of materials for use now and in the future. It also develops, produces, markets, and distributes the printed catalog records, cataloging data in machine-readable form, book catalogs, technical publications and selected bibliographies made available from the automated databases. It contributes directly to the Nation's educational intellectual life through programs that interpret the Library's resources and promotes the use of its unparalleled collections. The National Library provides many basic technical services to the Library of Congress as well as the world's research and library communities. Finally, this program coordinates Federal library and information resources.

Law Library.—The Law Library provides direct research service to the Congress in foreign, international and comparative law, and is responsible for the custody, development, and service of the law collections of the Library of Congress.

Management Support Services.—This activity supports the executive staff of the Office of the Librarian: budget and finance; human resources; contracts and logistics; buildings management; automation; and other centralized services. It also includes rental of space off Capitol Hill.

Object Classification (in millions of dollars)

Identification code 03-0101-0-1-503	2001 actual	2002 est.	2003 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	150	163	178
11.3	Other than full-time permanent	2	2	2
11.5	Other personnel compensation	3	4	3
11.9	Total personnel compensation	155	169	183
12.1	Civilian personnel benefits	46	53	58
21.0	Travel and transportation of persons	1	2	2
22.0	Transportation of things	—	—	1
23.1	Rental payments to GSA	1	4	2
23.2	Rental payments to others	1	—	—
23.3	Communications, utilities, and miscellaneous charges	1	4	3
24.0	Printing and reproduction	4	4	4
25.1	Advisory and assistance services	5	8	6
25.2	Other services	16	29	39

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Object Classification (in millions of dollars)—Continued

Identification code 03-0101-0-1-503	2001 actual	2002 est.	2003 est.
25.3 Other purchases of goods and services from Government accounts	3	15	3
25.4 Operation and maintenance of facilities	4	4	4
25.7 Operation and maintenance of equipment	4	5	5
26.0 Supplies and materials	2	3	3
31.0 Equipment	26	49	40
41.0 Grants, subsidies, and contributions	7	7	12
99.0 Direct obligations	276	356	365
99.0 Reimbursable obligations	68	13	13
99.5 Below reporting threshold	2	1	2
99.9 Total new obligations	346	370	380

Personnel Summary

Identification code 03-0101-0-1-503	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	2,608	2,746	2,903
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	133	82	84

COPYRIGHT OFFICE

SALARIES AND EXPENSES

For necessary expenses of the Copyright Office, **[\$40,896,000]** **\$46,877,000**, of which not more than **[\$21,880,000]** **\$23,321,000**, to remain available until expended, shall be derived from collections credited to this appropriation during fiscal year **[2002]** **2003** under section 708(d) of title 17, United States Code: *Provided*, That the Copyright Office may not obligate or expend any funds derived from collections under such section, in excess of the amount authorized for obligation or expenditure in appropriations Acts: *Provided further*, That not more than **[\$5,984,000]** **\$6,394,000** shall be derived from collections during fiscal year **[2002]** **2003** under sections 111(d)(2), 119(b)(2), 802(h), and 1005 of such title: *Provided further*, That the total amount available for obligation shall be reduced by the amount by which collections are less than **[\$27,864,000]** **\$29,715,000**: *Provided further*, That not more than \$100,000 of the amount appropriated is available for the maintenance of an "International Copyright Institute" in the Copyright Office of the Library of Congress for the purpose of training nationals of developing countries in intellectual property laws and policies: *Provided further*, That not more than \$4,250 may be expended, on the certification of the Librarian of Congress, in connection with official representation and reception expenses for activities of the International Copyright Institute and for copyright delegations, visitors, and seminars. (*Legislative Branch Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 03-0102-0-1-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Registration, recordation, cataloging, acquisitions, and public reference	9	13	17
Reimbursable obligations by program activity:			
09.01 Registration, recordation, cataloging, acquisitions, and public reference	24	23	23
09.02 Licensing	4	3	4
09.03 Arbitration royalty panels	1	3	3
10.00 Total new obligations	38	42	47
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4	3	4
22.00 New budget authority (gross)	36	42	47
23.90 Total budgetary resources available for obligation	40	45	51
23.95 Total new obligations	-38	-42	-47
24.40 Unobligated balance carried forward, end of year	3	4	4

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	9	13	17
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	28	29	30
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-1		
68.90 Spending authority from offsetting collections (total discretionary)	27	29	30
70.00 Total new budget authority (gross)	36	42	47

Change in obligated balances:

72.40 Obligated balance, start of year	4	5	10
73.10 Total new obligations	38	42	47
73.20 Total outlays (gross)	-37	-37	-44
74.00 Change in uncollected customer payments from Federal sources (unexpired)	1		
74.40 Obligated balance, end of year	5	10	15

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	32	36	41
86.93 Outlays from discretionary balances	5	1	3
87.00 Total outlays (gross)	37	37	44

Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-3		
88.40 Non-Federal sources	-25	-29	-30
88.90 Total, offsetting collections (cash)	-28	-29	-30
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	1		

Net budget authority and outlays:

89.00 Budget authority	9	13	17
90.00 Outlays	10	8	14

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	9	13	16
90.00 Outlays	10	8	13

The Office is conducted for the most part on a self-sustaining basis. The amount requested is substantially counterbalanced by fees received for services rendered and the value of books and other library materials deposited in accordance with the Copyright Act and transferred to the Library of Congress. The income and obligations for 2001, and estimates for 2002 and 2003 are as follows:

	2001 actual	2002 est.	2003 est.
Income:			
Gross receipts	\$26,136	\$27,864	\$29,715
Estimated value of materials deposited and transferred to the Library of Congress	31,855	32,766	33,421
Total income	\$57,991	\$60,630	\$63,136
Obligations	\$36,667	\$40,896	\$46,877

Registration, recordation, cataloging, acquisitions, and public reference.—The Copyright Office is responsible for registering and recording copyright claims, assignments, and renewals, for supplying copyright information to the public, for collecting and accounting for copyright fees, and for publishing complete and indexed catalogs for each class of copyright entries. These activities are predicated on an estimated 570,000 copyright registrations during 2003, an estimated 570,000 during 2002, and registrations of 601,659 during 2001.

Licensing Division.—The Licensing Division performs the responsibilities connected with the licensing activities of cable

television stations and satellite carriers and the licensing of digital audio recording devices and media.

Copyright Arbitration Royalty Panels (CARP).—The CARP unit is responsible for administering procedures which are necessary for rate-making and distribution of royalties that are paid under cable, satellite and other compulsory licenses in the copyright law. These duties were formerly the responsibility of the Copyright Royalty Tribunal. Unless the interested parties can come to agreement, distribution and rate-making are now established by arbitration panels whose work is reviewed by the Register of Copyrights and the Librarian of Congress.

Object Classification (in millions of dollars)				
Identification code 03-0102-0-1-376	2001 actual	2002 est.	2003 est.	
Direct obligations:				
11.1 Personnel compensation: Full-time permanent	6	9	11	
12.1 Civilian personnel benefits	1	2	3	
25.1 Advisory and assistance services			1	
25.2 Other services	1	1	1	
99.0 Direct obligations	8	12	16	
99.0 Reimbursable obligations	28	28	28	
99.5 Below reporting threshold	2	2	3	
99.9 Total new obligations	38	42	47	

Personnel Summary				
Identification code 03-0102-0-1-376	2001 actual	2002 est.	2003 est.	
Direct:				
1001 Total compensable workyears: Full-time equivalent employment	130	185	210	
Reimbursable:				
2001 Total compensable workyears: Full-time equivalent employment	360	345	320	

CONGRESSIONAL RESEARCH SERVICE
SALARIES AND EXPENSES

For necessary expenses to carry out the provisions of section 203 of the Legislative Reorganization Act of 1946 (2 U.S.C. 166) and to revise and extend the Annotated Constitution of the United States of America, **[\$81,454,000] \$92,458,000: Provided,** That no part of such amount may be used to pay any salary or expense in connection with any publication, or preparation of material therefor (except the Digest of Public General Bills), to be issued by the Library of Congress unless such publication has obtained prior approval of either the Committee on House Administration of the House of Representatives or the Committee on Rules and Administration of the Senate. (*Congressional Operations Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)				
Identification code 03-0127-0-1-801	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01 Direct Program Activity	77	85	92	
10.00 Total new obligations	77	85	92	
Budgetary resources available for obligation:				
22.00 New budget authority (gross)	77	85	92	
23.95 Total new obligations	-77	-85	-92	
New budget authority (gross), detail:				
Discretionary:				
40.00 Appropriation	77	85	92	
Change in obligated balances:				
72.40 Obligated balance, start of year	8	8	11	
73.10 Total new obligations	77	85	92	
73.20 Total outlays (gross)	-77	-82	-89	
74.40 Obligated balance, end of year	8	11	14	

Outlays (gross), detail:				
86.90 Outlays from new discretionary authority	70	78	84	
86.93 Outlays from discretionary balances	7	4	5	
87.00 Total outlays (gross)	77	82	89	
Net budget authority and outlays:				
89.00 Budget authority	77	85	92	
90.00 Outlays	77	82	89	

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)				
	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00 Budget authority	73	81	87	
90.00 Outlays	73	78	84	

The Congressional Research Service (CRS) works exclusively and directly for all Members and committees of the Congress in support of their legislative, oversight, and representative functions. The Service provides research, analysis, and informational services that are timely, objective, non-partisan, and confidential. The CRS staff responds to and anticipates congressional needs and addresses policy issues in an interdisciplinary, integrative manner. The Service maintains close ties with the Congress and, consistent with its broad congressional mandate, provides a wide variety of services with the goal of contributing to an informed national legislature.

Object Classification (in millions of dollars)				
Identification code 03-0127-0-1-801	2001 actual	2002 est.	2003 est.	
Personnel compensation:				
11.1 Full-time permanent	53	57	61	
11.5 Other personnel compensation	1	1	1	
11.9 Total personnel compensation	54	58	62	
12.1 Civilian personnel benefits	15	16	18	
23.3 Communications, utilities, and miscellaneous charges	1	1	1	
25.1 Advisory and assistance services	1	1	1	
25.2 Other services	3	5	6	
26.0 Supplies and materials	2	2	2	
31.0 Equipment	1	2	2	
99.9 Total new obligations	77	85	92	

Personnel Summary				
Identification code 03-0127-0-1-801	2001 actual	2002 est.	2003 est.	
1001 Total compensable workyears: Full-time equivalent employment	681	739	751	

BOOKS FOR THE BLIND AND PHYSICALLY HANDICAPPED
SALARIES AND EXPENSES

For salaries and expenses to carry out the Act of March 3, 1931 (chapter 400; 46 Stat. 1487; 2 U.S.C. 135a), **[\$49,788,000] \$51,742,000,** of which **[\$14,437,000] \$14,697,000** shall remain available until expended. (*Legislative Branch Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)				
Identification code 03-0141-0-1-503	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01 Direct service to users	49	51	52	
10.00 Total new obligations	49	51	52	
Budgetary resources available for obligation:				
22.00 New budget authority (gross)	50	51	52	
23.95 Total new obligations	-49	-51	-52	

General and special funds—Continued

BOOKS FOR THE BLIND AND PHYSICALLY HANDICAPPED—Continued
SALARIES AND EXPENSES—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 03-0141-0-1-503	2001 actual	2002 est.	2003 est.
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	50	51	52
Change in obligated balances:			
72.40 Obligated balance, start of year	31	29	44
73.10 Total new obligations	49	51	52
73.20 Total outlays (gross)	-50	-36	-43
73.40 Adjustments in expired accounts (net)	-1		
74.40 Obligated balance, end of year	29	44	53
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	34	24	24
86.93 Outlays from discretionary balances	16	12	19
87.00 Total outlays (gross)	50	36	43
Net budget authority and outlays:			
89.00 Budget authority	50	51	52
90.00 Outlays	50	36	43
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	49	50	51
90.00 Outlays	49	35	42

The National Library Service for the Blind and Physically Handicapped (NLS) is responsible for administering a national program to provide reading material for blind and physically handicapped residents of the United States, its outlying areas, and for U.S. citizens residing abroad.

Direct service to users.—During the past five-year period, 1997–2001, the blind and physically handicapped readership throughout the country ranged from 736,000 to 742,000 and circulation ranged from approximately 21,830,300 units (volumes and containers) to almost 23,000,000.

Support services.—A variety of professional, technical, and clerical functions are performed by the NLS staff. A combined total of 12,877 interlibrary loan searches and requests for information concerning library and related services available to the blind and to other physically handicapped persons were received in 2001.

Object Classification (in millions of dollars)

Identification code 03-0141-0-1-503	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	6	7	7
12.1 Civilian personnel benefits	2	2	2
23.1 Rental payments to GSA	1	1	1
24.0 Printing and reproduction	1	1	1
25.2 Other services	2	3	3
25.7 Operation and maintenance of equipment	1	1	1
26.0 Supplies and materials	2	2	2
31.0 Equipment	32	32	33
99.0 Direct obligations	47	49	50
99.5 Below reporting threshold	2	2	2
99.9 Total new obligations	49	51	52

Personnel Summary

Identification code 03-0141-0-1-503	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	123	128	128

FURNITURE AND FURNISHINGS

For necessary expenses for the purchase, installation, maintenance, and repair of furniture, furnishings, office and library equipment, **[\$7,932,000] \$8,003,000.** (*Legislative Branch Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 03-0146-0-1-503	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Replacement furniture and furnishings	5	8	8
10.00 Total new obligations (object class 31.0)	5	8	8
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	1	2
22.00 New budget authority (gross)	5	8	8
23.90 Total budgetary resources available for obligation	7	9	10
23.95 Total new obligations	-5	-8	-8
24.40 Unobligated balance carried forward, end of year	1	2	2
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	5	8	8
Change in obligated balances:			
72.40 Obligated balance, start of year	4	3	4
73.10 Total new obligations	5	8	8
73.20 Total outlays (gross)	-6	-7	-6
74.40 Obligated balance, end of year	3	4	6
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	3	4	4
86.93 Outlays from discretionary balances	3	3	2
87.00 Total outlays (gross)	6	7	6
Net budget authority and outlays:			
89.00 Budget authority	5	8	8
90.00 Outlays	6	7	6

This activity provides for the purchase, installation, maintenance, and repair of furniture, furnishings, and office and library equipment to support Library operations.

PAYMENTS TO COPYRIGHT OWNERS

Unavailable Collections (in millions of dollars)

Identification code 03-5175-0-2-376	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.00 Fees from cable television, satellite, and DART, Copyright Office	190	187	200
02.40 Interest on investments in public debt securities, Copyright Office	21	18	26
02.99 Total receipts and collections	211	205	226
Appropriations:			
05.00 Payments to copyright owners	-211	-205	-226
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 03-5175-0-2-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Licensing costs	3	3	3
00.02 Arbitration royalty panels	1	3	3
00.03 Payments to copyright owners	266	120	200
10.00 Total new obligations	270	126	206
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	610	552	631
22.00 New budget authority (gross)	211	205	226
23.90 Total budgetary resources available for obligation	821	757	857

23.95	Total new obligations	-270	-126	-206
24.40	Unobligated balance carried forward, end of year	552	631	651
New budget authority (gross), detail:				
Mandatory:				
60.20	Appropriation (special fund)	211	205	226
Change in obligated balances:				
73.10	Total new obligations	270	126	206
73.20	Total outlays (gross)	-270	-126	-206
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	4	6	6
86.98	Outlays from mandatory balances	266	120	200
87.00	Total outlays (gross)	270	126	206
Net budget authority and outlays:				
89.00	Budget authority	211	205	226
90.00	Outlays	270	126	206
Memorandum (non-add) entries:				
92.01	Total investments, start of year: Federal securities: Par value	614	553	665
92.02	Total investments, end of year: Federal securities: Par value	553	665	665

The receipts from cable systems, satellite carriers, and digital audio devices are disbursed to the copyright owners through this appropriation after deduction of administrative costs for the Copyright Office Licensing Division. Disbursements are made in accordance with the schedule established in Public Law 94-553, as amended, Public Law 100-617, and Public Law 102-563.

Object Classification (in millions of dollars)

Identification code 03-5175-0-2-376	2001 actual	2002 est.	2003 est.	
Direct obligations:				
25.3	Other purchases of goods and services from Government accounts	3	6	6
41.0	Grants, subsidies, and contributions	266	120	200
99.0	Direct obligations	269	126	206
99.5	Below reporting threshold	1		
99.9	Total new obligations	270	126	206

COOPERATIVE ACQUISITIONS PROGRAM REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 03-4325-0-3-503	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
09.00	Reimbursable program	3	2	2
10.00	Total new obligations	3	2	2
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	2	2	2
22.00	New budget authority (gross)	2	2	2
22.10	Resources available from recoveries of prior year obligations	1		
23.90	Total budgetary resources available for obligation	5	4	4
23.95	Total new obligations	-3	-2	-2
24.40	Unobligated balance carried forward, end of year	2	2	2
New budget authority (gross), detail:				
Discretionary:				
68.00	Spending authority from offsetting collections (gross): Offsetting collections (cash)	2	2	2
Change in obligated balances:				
72.40	Obligated balance, start of year	1		1
73.10	Total new obligations	3	2	2
73.20	Total outlays (gross)	-2	-2	-2
73.45	Recoveries of prior year obligations	-1		
74.40	Obligated balance, end of year		1	1

Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	2	2	2
Offsets:				
Against gross budget authority and outlays:				
88.40	Offsetting collections (cash) from: Non-Federal sources	-2	-2	-2
Net budget authority and outlays:				
89.00	Budget authority			
90.00	Outlays			

Under the authority of 2 U.S.C. 182; Public Law 105-55, the Library of Congress operates a revolving fund for the acquisition of foreign research materials for participating institutions through the Library's overseas offices.

Object Classification (in millions of dollars)

Identification code 03-4325-0-3-503	2001 actual	2002 est.	2003 est.	
31.0	Reimbursable obligations: Equipment	1	1	1
99.5	Below reporting threshold	2	1	1
99.9	Total new obligations	3	2	2

DUPLICATION SERVICES

Program and Financing (in millions of dollars)

Identification code 03-4339-0-3-503	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01	National Library	1	1
10.00	Total new obligations (object class 99.5)	1	1
Budgetary resources available for obligation:			
22.00	New budget authority (gross)	1	1
23.95	Total new obligations	-1	-1
New budget authority (gross), detail:			
Discretionary:			
68.00	Spending authority from offsetting collections (gross): Offsetting collections (cash)	1	1
Change in obligated balances:			
73.10	Total new obligations	1	1
73.20	Total outlays (gross)	-1	-1
Outlays (gross), detail:			
86.90	Outlays from new discretionary authority	1	1
Offsets:			
Against gross budget authority and outlays:			
88.40	Offsetting collections (cash) from: Non-Federal sources	-1	-1
Net budget authority and outlays:			
89.00	Budget authority		
90.00	Outlays		

Under authority of Public Law 106-481, section 101, 2 U.S.C. 182a, as amended by Public Law 107-68, section 207, the Library of Congress operates a revolving fund to provide preservation and duplication services for the Library's audio-visual collections, including duplication services for motion pictures, videotapes, sound recordings, and radio and television broadcasts. The duplication services also include making access copies of these collections for public viewing purposes. Provides preservation services to other non-profit archival institutions for the acquisition of historically and culturally important audio-visual materials for the national collections in the Library.

General and special funds—Continued

DUPLICATION SERVICES—Continued

Personnel Summary

Identification code 03-4339-0-3-503	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment		12	8

Personnel Summary

Identification code 03-4346-0-3-503	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment		97	97

GIFT SHOP, DECIMAL CLASSIFICATION, PHOTO DUPLICATION, AND RELATED SERVICES

Program and Financing (in millions of dollars)

Identification code 03-4346-0-3-503	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 National Library		10	7
10.00 Total new obligations		10	7
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	10	7	
23.95 Total new obligations	-10	-7	
24.40 Unobligated balance carried forward, end of year			2
New budget authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	10	7	
Change in obligated balances:			
72.40 Obligated balance, start of year			1
73.10 Total new obligations	10	7	
73.20 Total outlays (gross)	-9	-7	
73.32 Obligated balance transferred from other accounts	1		
74.40 Obligated balance, end of year	1	1	
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	9	6	
86.93 Outlays from discretionary balances		1	
87.00 Total outlays (gross)	9	7	
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-10	-7	
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-1		

Under authority of Public Law 106-481, section 102, 2 U.S.C. 182b, as amended by Public Law 107-68, section 208, the Library of Congress operates a revolving fund for the support of the Library's retail marketing sales shop activities; for providing Dewey Decimal Classification editorial services; for providing preservation microfilming services for the Library's collections and photocopy, microfilm, photographic and digital services to other libraries, research institutions, government agencies, and individuals in the United States and abroad; and for operating special events and programs.

Object Classification (in millions of dollars)

Identification code 03-4346-0-3-503	2001 actual	2002 est.	2003 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	4	3	
12.1 Civilian personnel benefits	1	1	
25.1 Advisory and assistance services	1		
26.0 Supplies and materials	2	1	
99.0 Reimbursable obligations	8	5	
99.5 Below reporting threshold	2	2	
99.9 Total new obligations	10	7	

FEDLINK PROGRAM AND FEDERAL RESEARCH PROGRAM

Program and Financing (in millions of dollars)

Identification code 03-4543-0-4-503	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations		93	93
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		89	93
22.22 Unobligated balance transferred from other accounts		4	
23.90 Total budgetary resources available for obligation		93	93
23.95 Total new obligations		-93	-93
New budget authority (gross), detail:			
Discretionary:			
47.00 Authority to borrow		2	2
68.00 Spending authority from offsetting collections: Offsetting collections (cash)		87	91
70.00 Total new budget authority (gross)		89	93
Change in obligated balances:			
72.40 Obligated balance, start of year			37
73.10 Total new obligations		93	93
73.20 Total outlays (gross)		-77	-91
73.32 Obligated balance transferred from other accounts		21	
74.40 Obligated balance, end of year		37	39
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		77	81
86.93 Outlays from discretionary balances			10
87.00 Total outlays (gross)		77	91
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources		-87	-91
Net budget authority and outlays:			
89.00 Budget authority		2	2
90.00 Outlays		-10	

Under authority of Public Law 106-481, section 103, 2 U.S.C. 182c, the Library of Congress operates a revolving fund for providing support to federal libraries through cost effective training, procurement of books, serials, and computer-based information retrieval services, and for providing customized research services to federal agencies.

Object Classification (in millions of dollars)

Identification code 03-4543-0-4-503	2001 actual	2002 est.	2003 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent		5	5
12.1 Civilian personnel benefits		1	1
25.2 Other services		35	35
25.3 Other purchases of goods and services from Government accounts		1	1
31.0 Equipment		50	50
99.0 Reimbursable obligations		92	92
99.5 Below reporting threshold		1	1
99.9 Total new obligations		93	93

Personnel Summary

Identification code 03-4543-0-4-503	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment		69	69

Trust Funds

GIFT AND TRUST FUND ACCOUNTS

Unavailable Collections (in millions of dollars)

Identification code 03-9971-0-7-503	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.00 Contributions to Library of Congress gift fund	7	8	10
02.01 Contributions, Library of Congress permanent loan account	69	10	10
02.20 Income from donated securities, Library of Congress	2	2	2
02.21 Deposits, service fees, Library of Congress	6		
02.40 Interest, Library of Congress permanent loan account	3	2	2
02.80 Gift and trust fund accounts, refunds	1		
02.99 Total receipts and collections	88	22	24
Appropriations:			
05.00 Gift and trust fund accounts	-89	-22	-22
05.99 Total appropriations	-89	-22	-22
07.99 Balance, end of year			2

Program and Financing (in millions of dollars)

Identification code 03-9971-0-7-503	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 National Library	75	22	21
00.02 Congressional Research Service	1		
00.03 Gift revolving	1	1	1
10.00 Total new obligations	77	23	22
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	44	57	56
22.00 New budget authority (gross)	89	22	22
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	134	79	78
23.95 Total new obligations	-77	-23	-22
24.40 Unobligated balance carried forward, end of year	57	56	56
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)	88	22	22
69.00 Offsetting collections (cash)	1		
70.00 Total new budget authority (gross)	89	22	22
Change in obligated balances:			
72.40 Obligated balance, start of year	2	3	3
73.10 Total new obligations	77	23	22
73.20 Total outlays (gross)	-75	-22	-19
73.31 Obligated balance transferred to other accounts		-1	
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	3	3	6
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	71	18	15
86.98 Outlays from mandatory balances	4	4	2
87.00 Total outlays (gross)	75	22	19
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-1		
Net budget authority and outlays:			
89.00 Budget authority	88	22	22
90.00 Outlays	75	22	19

Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities:			
Par value	33	48	43
92.02 Total investments, end of year: Federal securities:			
Par value	48	43	43

This schedule covers: (1) funds received as gifts for immediate expenditure, funds received as trust funds for expenditure, and receipts from the sale of recordings, publications, and other materials financed from capital originally received as gifts; (2) income from investments held by or for the Library of Congress Trust Fund Board; and (3) interest paid by the Treasury on the principal funds deposited therewith as described under "Library of Congress Trust Fund, Principal Accounts".

The Library has six program areas related to Gift and Trust funds:

National Library.—This includes traditional library activities of acquisitions, cataloging, research and reference, and preservation.

Law Library.—The Law Library of Congress supplies legal research covering more than 200 foreign jurisdictions to the Congress, the Judiciary, federal agencies, and the public—serving 100,000 users annually.

Copyright Office.—The Copyright Office administers the U.S. copyright laws, provides copyright expertise to the Congress, the Courts, and executive branch agencies, and promotes international protection for intellectual property.

Congressional Research Service.—The Congressional Research Service provides non-partisan analytical research and information to all Members and committees of the Congress.

National Library Service for the Blind and Physically Handicapped.—The National Library Service for the Blind and Physically Handicapped manages a free national reading program for blind and physically handicapped people—circulating at no cost to users almost 23,000,000 items a year.

Revolving Gift Funds.—Under the authority of 2 U.S.C. 160, the Library of Congress operates five gift revolving activities that provide traveling exhibits, publishing services, and special music programs to libraries, other institutions, and the general public.

Object Classification (in millions of dollars)

Identification code 03-9971-0-7-503	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	5	2	2
11.5 Other personnel compensation	1		
11.9 Total personnel compensation	6	2	2
12.1 Civilian personnel benefits	1		
23.3 Communications, utilities, and miscellaneous charges	1		
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	3	3	3
25.2 Other services	5	6	5
26.0 Supplies and materials	1		
31.0 Equipment	1	1	1
33.0 Investments and loans	56	8	8
99.0 Direct obligations	75	21	20
99.5 Below reporting threshold	2	2	2
99.9 Total new obligations	77	23	22

Personnel Summary

Identification code 03-9971-0-7-503	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	128	52	52

General and special funds—Continued

ADMINISTRATIVE PROVISIONS

SEC. 201. Appropriations in this Act available to the Library of Congress shall be available, in an amount of not more than **[\$300,000] \$305,000**, of which **[\$75,000] \$76,000** is for the Congressional Research Service, when specifically authorized by the Librarian of Congress, for attendance at meetings concerned with the function or activity for which the appropriation is made.

[SEC. 202. (a) No part of the funds appropriated in this Act shall be used by the Library of Congress to administer any flexible or compressed work schedule which—

(1) applies to any manager or supervisor in a position the grade or level of which is equal to or higher than GS-15; and

(2) grants such manager or supervisor the right to not be at work for all or a portion of a workday because of time worked by the manager or supervisor on another workday.

(b) For purposes of this section, the term “manager or supervisor” means any management official or supervisor, as such terms are defined in section 7103(a)(10) and (11) of title 5, United States Code.]

SEC. **[203] 202.** Appropriated funds received by the Library of Congress from other Federal agencies to cover general and administrative overhead costs generated by performing reimbursable work for other agencies under the authority of sections 1535 and 1536 of title 31, United States Code, shall not be used to employ more than 65 employees and may be expended or obligated—

(1) in the case of a reimbursement, only to such extent or in such amounts as are provided in appropriations Acts; or

(2) in the case of an advance payment, only—

(A) to pay for such general or administrative overhead costs as are attributable to the work performed for such agency; or

(B) to such extent or in such amounts as are provided in appropriations Acts, with respect to any purpose not allowable under subparagraph (A).

SEC. **[204] 203.** Of the amounts appropriated to the Library of Congress in this Act, not more than \$5,000 may be expended, on the certification of the Librarian of Congress, in connection with official representation and reception expenses for the incentive awards program.

SEC. **[205] 204.** Of the amount appropriated to the Library of Congress in this Act, not more than \$12,000 may be expended, on the certification of the Librarian of Congress, in connection with official representation and reception expenses for the Overseas Field Offices.

SEC. **[206] 205. (a)** For fiscal year **[2002] 2003**, the obligatory authority of the Library of Congress for the activities described in subsection (b) may not exceed **[\$114,473,000] \$109,929,000**.

(b) The activities referred to in subsection (a) are reimbursable and revolving fund activities that are funded from sources other than appropriations to the Library in appropriations Acts for the legislative branch.

(c) For fiscal year **[2002] 2003**, the Librarian of Congress may temporarily transfer funds appropriated in this Act under the heading “LIBRARY OF CONGRESS—SALARIES AND EXPENSES” to the revolving fund for the FEDLINK Program and the Federal Research Program established under section 103 of the Library of Congress Fiscal Operations Improvement Act of 2000 (Public Law 106-481; 2 U.S.C. 182c): *Provided*, That the total amount of such transfers may not exceed \$1,900,000 *Provided further*, That the appropriate revolving fund account shall reimburse the Library for any amounts transferred to it before the period of availability of the Library appropriation expires.

[SEC. 207. Section 101 of the Library of Congress Fiscal Operations Improvement Act of 2000 (Public Law 106-481; 2 U.S.C. 182a) is amended—

(1) in the heading, by striking “AUDIO AND VIDEO”; and

(2) in subsection (a), by striking “audio and video”.]

[SEC. 208. (a) Section 102(a) of the Library of Congress Fiscal Operations Improvement Act of 2000 (2 U.S.C. 182b(a)) is amended by adding at the end the following new paragraph:

“(4) Special events and programs.”

(b) The amendment made by subsection (a) shall take effect upon the date on which the Committees on Appropriations of the House of Representatives and Senate approve a report submitted to the Committees by the Librarian of Congress which describes the guidelines and policies applicable to the hosting of special events and programs by the Librarian which are covered under section 102(a)(4)

of the Library of Congress Fiscal Operations Improvement Act of 2000 (as added by subsection (a)).]

[SEC. 209. Section 7 of the Abraham Lincoln Bicentennial Commission Act, Public Law 106-173, is amended by adding the following new subsections:

“(f) GIFTS.—The Commission may, for the purpose of carrying out this Act, accept and use gifts of money, property, and services, and, notwithstanding section 1342 of title 31, United States Code, may accept and use voluntary services as the Commission deems necessary.

“(g) DETAIL OF FEDERAL EMPLOYEES.—On the request of the Commission, the head of a Federal agency or other Federal appointing authority may detail, on a reimbursable or nonreimbursable basis, any of its employees to the Commission to assist the Commission in carrying out the duties of the Commission under this Act. Any such detail of an employee shall be without interruption or loss of civil service status or privilege.”.] (*Legislative Branch Appropriations Act, 2002.*)

GOVERNMENT PRINTING OFFICE

Federal Funds

General and special funds:

CONGRESSIONAL PRINTING AND BINDING

(INCLUDING TRANSFER OF FUNDS)

For authorized printing and binding for the Congress and the distribution of Congressional information in any format; printing and binding for the Architect of the Capitol; expenses necessary for preparing the semimonthly and session index to the Congressional Record, as authorized by law (section 902 of title 44, United States Code); printing and binding of Government publications authorized by law to be distributed to Members of Congress; and printing, binding, and distribution of Government publications authorized by law to be distributed without charge to the recipient, **[\$81,000,000] \$95,272,000: Provided**, That this appropriation shall not be available for paper copies of the permanent edition of the Congressional Record for individual Representatives, Resident Commissioners or Delegates authorized under section 906 of title 44, United States Code: *Provided further*, That this appropriation shall be available for the payment of obligations incurred under the appropriations for similar purposes for preceding fiscal years: *Provided further*, That notwithstanding the 2-year limitation under section 718 of title 44, United States Code, none of the funds appropriated or made available under this Act or any other Act for printing and binding and related services provided to Congress under chapter 7 of title 44, United States Code, may be expended to print a document, report, or publication after the 27-month period beginning on the date that such document, report, or publication is authorized by Congress to be printed, unless Congress reauthorizes such printing in accordance with section 718 of title 44, United States Code: *Provided further*, That any unobligated or unexpended balances in this account or accounts for similar purposes for preceding fiscal years may be transferred to the Government Printing Office revolving fund for carrying out the purposes of this heading, subject to the approval of the Committees on Appropriations of the House of Representatives and Senate. (*Congressional Operations Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 04-0203-0-1-801	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 24.0)	86	86	95
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	86	86	95
23.95 Total new obligations	-86	-86	-95
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	86	86	95
Change in obligated balances:			
72.40 Obligated balance, start of year	26	21	22
73.10 Total new obligations	86	86	95

73.20	Total outlays (gross)	-91	-85	-91
74.40	Obligated balance, end of year	21	22	26
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	65	64	71
86.93	Outlays from discretionary balances	26	21	22
87.00	Total outlays (gross)	91	85	91
Net budget authority and outlays:				
89.00	Budget authority	86	86	95
90.00	Outlays	93	85	91

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	81	81	90
90.00	Outlays	88	80	86

This appropriation covers authorized printing and binding for the Congress and the Architect of the Capitol, and for printing and binding of Government publications authorized by law to be distributed to Members of Congress. Also, this appropriation includes funding for printing, binding, and distribution of Government publications authorized by law to be distributed without charge to the recipients.

OFFICE OF SUPERINTENDENT OF DOCUMENTS

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For expenses of the Office of Superintendent of Documents necessary to provide for the cataloging and indexing of Government publications and their distribution to the public, Members of Congress, other Government agencies, and designated depository and international exchange libraries as authorized by law, **[\$29,639,000] \$34,073,000: Provided**, That travel expenses, including travel expenses of the Depository Library Council to the Public Printer, shall not exceed \$175,000: *Provided further*, That amounts of not more than \$2,000,000 from current year appropriations are authorized for producing and disseminating Congressional serial sets and other related publications for **[2000 and] 2001 and 2002** to depository and other designated libraries: *Provided further*, That any unobligated or unexpended balances in this account or accounts for similar purposes for preceding fiscal years may be transferred to the Government Printing Office revolving fund for carrying out the purposes of this heading, subject to the approval of the Committees on Appropriations of the House of Representatives and Senate. (*Legislative Branch Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 04-0201-0-1-808	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Depository library distribution	26	27	29
00.02	Cataloging and indexing	3	4	4
00.03	International exchange	1	1	1
10.00	Total new obligations	30	32	34
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	30	32	34
23.95	Total new obligations	-30	-32	-34
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	30	32	34
Change in obligated balances:				
72.40	Obligated balance, start of year	16	14	16
73.10	Total new obligations	30	32	34
73.20	Total outlays (gross)	-33	-30	-31
74.40	Obligated balance, end of year	14	16	19

Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	19	22	24
86.93	Outlays from discretionary balances	14	8	8
87.00	Total outlays (gross)	33	30	31
Net budget authority and outlays:				
89.00	Budget authority	30	32	34
90.00	Outlays	33	30	31

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	28	30	32
90.00	Outlays	31	28	29

The Office of the Superintendent of Documents operates under a separate appropriation that provides funds for: (1) the mailing for Members of Congress and other Government agencies of certain Government publications, as authorized by law; (2) the distribution of Government publications to designated depository libraries, including tangible Government information products, online access, and locator services provided via the Internet from GPO Access; (3) the compilation of catalogs and indexes of Government publications; and (4) the distribution of Government publications in the International Exchange Program. These four functions are related to the publication activity of other agencies and to the demands of the public, Members of Congress, and depository libraries. Consequently, the Office of the Superintendent of Documents can exercise little control over the volume of work which it may be called upon to perform. Following is a description of these four functions.

Distribution for other Government agencies and Members of Congress.—The Office of Superintendent of Documents maintains mailing lists and mails, at the request of Government agencies and Members of Congress, certain publications specified by public law.

Depository library distribution.—As required, Government publications are supplied to libraries which are designated as depositories for Government publications. This includes tangible information products, online access, and locator services provided via the internet from GPO Access.

Cataloging and indexing.—The Office of Superintendent of Documents is charged with preparing catalogs and indexes of all publications issued by the Federal Government. The principal publication is the “Monthly Catalog of U.S. Government Publications.”

International exchange.—The Office of Superintendent of Documents distributes Government publications to foreign governments which agree, as indicated by the Library of Congress, to send to the United States similar publications of their governments for delivery to the Library of Congress.

Object Classification (in millions of dollars)

Identification code 04-0201-0-1-808	2001 actual	2002 est.	2003 est.	
11.1	Personnel compensation: Full-time permanent	6	7	7
12.1	Civilian personnel benefits	2	3	3
22.0	Transportation of things	1	1	1
23.3	Communications, utilities, and miscellaneous charges			1
24.0	Printing and reproduction	14	13	11
25.2	Other services	7	7	7
26.0	Supplies and materials		1	1
31.0	Equipment			3
99.9	Total new obligations	30	32	34

General and special funds—Continued

OFFICE OF SUPERINTENDENT OF DOCUMENTS—Continued

(INCLUDING TRANSFER OF FUNDS)—Continued

Personnel Summary

Identification code 04-0201-0-1-808	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	121	142	145

Intragovernmental funds:

GOVERNMENT PRINTING OFFICE REVOLVING FUND

The Government Printing Office is hereby authorized to make such expenditures, within the limits of funds available and in accord with the law, and to make such contracts and commitments without regard to fiscal year limitations as provided by section 9104 of title 31, United States Code, as may be necessary in carrying out the programs and purposes set forth in the budget for the current fiscal year for the Government Printing Office revolving fund: *Provided*, That not more than \$2,500 may be expended on the certification of the Public Printer in connection with official representation and reception expenses: *Provided further*, That the revolving fund shall be available for the hire or purchase of not more than 12 passenger motor vehicles: *Provided further*, That expenditures in connection with travel expenses of the advisory councils to the Public Printer shall be deemed necessary to carry out the provisions of title 44, United States Code: *Provided further*, That the revolving fund shall be available for temporary or intermittent services under section 3109(b) of title 5, United States Code, but at rates for individuals not more than the daily equivalent of the annual rate of basic pay for level V of the Executive Schedule under section 5316 of such title: *Provided further*, That the revolving fund and the funds provided under the headings "OFFICE OF SUPERINTENDENT OF DOCUMENTS" and "SALARIES AND EXPENSES" together may not be available for the full-time equivalent employment of more than [3,260] 3,222 workyears (or such other number of workyears as the Public Printer may request, subject to the approval of the Committees on Appropriations of the Senate and the House of Representatives): *Provided further*, That activities financed through the revolving fund may provide information in any format: *Provided further*, That the revolving fund shall not be used to administer any flexible or compressed work schedule which applies to any manager or supervisor in a position the grade or level of which is equal to or higher than GS-15: *Provided further*, That expenses for attendance at meetings shall not exceed \$75,000. (*Legislative Branch Appropriations Act, 2002; additional authorizing legislation required.*)

ADMINISTRATIVE PROVISION

[EXTENSION OF EARLY RETIREMENT AND VOLUNTARY SEPARATION INCENTIVE PAYMENTS FOR GPO]

[SEC. 210. (a) Section 309 of the Legislative Branch Appropriations Act, 1999 (44 U.S.C. 305 note), is amended—

(1) in subsection (b)(1)(A), by striking "October 1, 2001" and inserting "October 1, 2004"; and

(2) in subsection (c)(2), by striking "September 30, 2001" and inserting "September 30, 2004".

(b) The amendments made by this section shall take effect as if included in the enactment of the Legislative Branch Appropriations Act, 1999.]

SEC. 206. Section 303 of title 44, United States Code, is amended to read as follows: "SEC. 303. **Public Printer and Deputy Public Printer: pay**

"The annual rate of pay for the Public Printer shall be a rate which is equal to the rate for level II of the Executive Schedule under subchapter II of chapter 53 of title 5. The annual rate of pay for the Deputy Public Printer shall be a rate which is equal to the rate for level III of such Executive Schedule." (*Legislative Branch Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 04-4505-0-4-808	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Printing and binding	686	698	724
09.02 Sales of publications	65	52	52
09.03 Agency distribution	4	5	6
09.11 Capital investment	3	28	18
10.00 Total new obligations	758	783	800
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	89	102	125
22.00 New budget authority (gross)	771	806	790
23.90 Total budgetary resources available for obligation	860	908	915
23.95 Total new obligations	-758	-783	-800
24.40 Unobligated balance carried forward, end of year	102	125	115
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	6		
42.00 Transferred from other accounts		4	
43.00 Appropriation (total discretionary)	6	4	
Mandatory:			
69.00 Offsetting collections (cash)	746	811	800
69.10 Change in uncollected customer payments from Federal sources (unexpired)	19	-9	-10
69.90 Spending authority from offsetting collections (total mandatory)	765	802	790
70.00 Total new budget authority (gross)	771	806	790
Change in obligated balances:			
72.40 Obligated balance, start of year	87	70	61
73.10 Total new obligations	758	783	800
73.20 Total outlays (gross)	-756	-801	-790
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-19	9	10
74.40 Obligated balance, end of year	70	61	81
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		4	
86.93 Outlays from discretionary balances		5	1
86.97 Outlays from new mandatory authority	579	671	666
86.98 Outlays from mandatory balances	177	121	123
87.00 Total outlays (gross)	756	801	790
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-697	-770	-759
88.40 Non-Federal sources	-49	-41	-41
88.90 Total, offsetting collections (cash)	-746	-811	-800
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-19	9	10
Net budget authority and outlays:			
89.00 Budget authority	6	4	
90.00 Outlays	10	-10	-10

The Government Printing Office executes orders for printing, binding, and blankbook work, CD-ROMs and electronic formats, placed by Congress and the various agencies of the Federal Government, and furnishes on order blank paper, inks, and similar supplies. The Government Printing Office also sells publications to the public through its sales of publications program, and distributes publications to the public for other Government agencies.

Such work is financed through the Government Printing Office revolving fund (44 U.S.C. 309). The fund is reimbursed by the customer agencies and receipts from sales of publications to the general public.

Object Classification (in millions of dollars)				
Identification code 04-4505-0-4-808				
	2001 actual	2002 est.	2003 est.	
Personnel compensation:				
11.1	141	160	170	
11.5	17	18	17	
11.9	158	178	187	
12.1	48	55	62	
21.0	1	1	1	
22.0	2	2	2	
23.1	2	2	2	
23.2	4	4	4	
23.3	18	18	19	
24.0	453	445	454	
25.2	32	11	11	
26.0	38	39	40	
31.0	3	28	18	
99.9	758	783	800	

Personnel Summary

Identification code 04-4505-0-4-808				
2001	2001 actual	2002 est.	2003 est.	
Total compensable workyears: Full-time equivalent employment	2,917	3,118	3,077	

GENERAL ACCOUNTING OFFICE

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the General Accounting Office, including not more than \$12,500 to be expended on the certification of the Comptroller General of the United States in connection with official representation and reception expenses; temporary or intermittent services under section 3109(b) of title 5, United States Code, but at rates for individuals not more than the daily equivalent of the annual rate of basic pay for level IV of the Executive Schedule under section 5315 of such title; hire of one passenger motor vehicle; advance payments in foreign countries in accordance with section 3324 of title 31, United States Code; benefits comparable to those payable under sections 901(5), 901(6), and 901(8) of the Foreign Service Act of 1980 (22 U.S.C. 4081(5), 4081(6), and 4081(8)); and under regulations prescribed by the Comptroller General of the United States, rental of living quarters in foreign countries, **[\$421,844,000] \$476,085,000: Provided,** That not more than **[\$1,751,000] \$2,210,000** of payments received under section 782 of title 31, United States Code, shall be available for use in fiscal year **[2002] 2003: Provided further,** That not more than **[\$750,000] \$790,000** of reimbursements received under section 9105 of title 31, United States Code, shall be available for use in fiscal year **[2002] 2003: Provided further,** That this appropriation and appropriations for administrative expenses of any other department or agency which is a member of the National Intergovernmental Audit Forum or a Regional Intergovernmental Audit Forum shall be available to finance an appropriate share of either Forum's costs as determined by the respective Forum, including necessary travel expenses of non-Federal participants: *Provided further,* That payments hereunder to the Forum may be credited as reimbursements to any appropriation from which costs involved are initially financed: *Provided further,* That this appropriation and appropriations for administrative expenses of any other department or agency which is a member of the American Consortium on International Public Administration (ACIPA) shall be available to finance an appropriate share of ACIPA costs as determined by the ACIPA, including any expenses attributable to membership of ACIPA in the International Institute of Administrative Sciences. (*Legislative Branch Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 05-0107-0-1-801				
	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Direct program:				
00.01	1	1	1	

00.02	18	20	21	
00.03	17	18	20	
00.04	25	28	29	
00.05	19	21	23	
00.06	31	34	35	
00.07	8	9	10	
00.08	25	27	28	
00.09	22	24	27	
00.10	16	18	19	
00.11	14	15	17	
00.12	27	28	29	
00.13	22	23	24	
00.14	12	12	14	
00.15	16	17	19	
00.16	15	16	17	
00.17	47	48	49	
00.18	68	91	94	
09.01	2	3	3	
09.99	2	3	3	
10.00	405	453	479	

Budgetary resources available for obligation:

21.00	1	2	1	
22.00	405	453	479	
22.22	1			
23.90	407	455	480	
23.95	-405	-453	-479	
23.98	-1			
24.40	2	1	1	

New budget authority (gross), detail:

Discretionary:				
40.00	404	442	476	
40.77	-1			
42.00	8			
43.00	403	450	476	
68.00	2	3	3	
70.00	405	453	479	

Change in obligated balances:

72.40	50	49	72	
73.10	405	453	479	
73.20	-405	-430	-478	
74.40	49	72	73	

Outlays (gross), detail:

86.90	405	430	454	
86.93			23	
87.00	405	430	478	

Offsets:

Against gross budget authority and outlays:				
88.00	-2	-3	-3	

Net budget authority and outlays:

89.00	403	450	476	
90.00	402	427	475	

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	384	430	455	
90.00	383	407	454	

The General Accounting Office's mission is to improve government operations by providing timely and reliable information and advice to Congress, determining the legality of public expenditures, and providing guidance on financial management matters.

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Object Classification (in millions of dollars)

Identification code 05-0107-0-1-801	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	250	271	284
11.3 Other than full-time permanent	1	1	2
11.5 Other personnel compensation	5	5	6
11.9 Total personnel compensation	256	277	292
12.1 Civilian personnel benefits	71	79	86
13.0 Benefits for former personnel	1		
21.0 Travel and transportation of persons	10	12	12
23.1 Rental payments to GSA	7	7	7
23.3 Communications, utilities, and miscellaneous charges	10	11	11
24.0 Printing and reproduction	1	2	2
25.1 Advisory and assistance services	16	21	20
25.2 Other services	10	13	12
25.3 Other purchases of goods and services from Government accounts	3	3	4
25.4 Operation and maintenance of facilities	7	9	10
25.7 Operation and maintenance of equipment	3	5	5
26.0 Supplies and materials	2	2	2
31.0 Equipment	5	6	6
32.0 Land and structures	1	3	7
99.0 Direct obligations	403	450	476
99.0 Reimbursable obligations	2	3	3
99.9 Total new obligations	405	453	479

Personnel Summary

Identification code 05-0107-0-1-801	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	3,110	3,269	3,269

INFORMATION TECHNOLOGY SYSTEMS AND RELATED EXPENSES

Program and Financing (in millions of dollars)

Identification code 05-0109-0-1-808	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
22.10 Resources available from recoveries of prior year obligations	1		
22.21 Unobligated balance transferred to other accounts	-1		
23.90 Total budgetary resources available for obligation			
Change in obligated balances:			
72.40 Obligated balance, start of year	1		
73.45 Recoveries of prior year obligations	-1		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

As required by Public Law 105-277, upon approval of the House and Senate Appropriations Committees, the Comptroller General of the United States transferred funds to Legislative Branch entities other than the U.S. Senate and the U.S. House of Representatives for expenses related to Year 2000 conversion of information technology systems.

UNITED STATES TAX COURT

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses, including contract reporting and other services as authorized by 5 U.S.C. 3109, \$38,638,000: *Provided*, That

travel expenses of the judges shall be paid upon the written certificate of the judge. (*Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 23-0100-0-1-752	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	34	38	38
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	38	38	38
23.95 Total new obligations	-34	-38	-38
23.98 Unobligated balance expiring or withdrawn	-4		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	38	38	38
Change in obligated balances:			
72.40 Obligated balance, start of year	4	4	8
73.10 Total new obligations	34	38	38
73.20 Total outlays (gross)	-34	-34	-38
74.40 Obligated balance, end of year	4	8	8
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	34	34	34
86.93 Outlays from discretionary balances			4
87.00 Total outlays (gross)	34	34	38
Net budget authority and outlays:			
89.00 Budget authority	38	38	38
90.00 Outlays	34	34	38

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	37	37	37
90.00 Outlays	33	33	37

The United States Tax Court is a Court of record established under Article I of the Constitution of the United States. The Court is composed of a Chief Judge and eighteen judges. Judges of the Tax Court are appointed by the President, by and with the advice and consent of the Senate, for 15 year terms. Decisions by the Court are reviewable by the United States Courts of Appeals and, if certiorari is granted, by the Supreme Court.

In their judicial duties, the judges are assisted by Senior Judges, who participate in the adjudication of regular cases, and by Special Trial Judges, who hear small tax cases and certain regular cases assigned to them by the Chief Judge.

The Court conducts trial sessions throughout the United States, including Hawaii and Alaska.

The matters over which the Court has jurisdiction are set forth in various sections of Title 26 of the U.S. Code.

For 2003, the Court proposes a trial program of 600 weeks consisting of 264 weeks of trial sessions assigned to Presidentially appointed Judges, 236 weeks of trial sessions assigned to Special Trial Judges, and 100 weeks of lengthy special sessions. Trials are held in approximately 80 cities throughout the United States.

Statistics on the actual and estimated number of cases before the court are presented in the following tabulation:

	2001 actual	2002 est.	2003 est.
Pending, beginning year	15,324	16,798	57,798
Docketed during year	15,440	85,000	85,000
Adjustments during year	184		
Disposed of during year	14,150	44,000	44,000
Pending, end of year	16,798	57,798	98,798

This presentation includes the "Tax Court independent counsel" fund. This fund is established pursuant to 26 U.S.C.

7475. The fund is used by the Tax Court to employ independent counsel to pursue disciplinary matters involving practitioners admitted to practice before the Court.

Object Classification (in millions of dollars)

Identification code 23-0100-0-1-752	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	16	18	18
12.1 Civilian personnel benefits	4	5	5
13.0 Benefits for former personnel	1	1	1
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	8	9	9
25.2 Other services	3	3	3
26.0 Supplies and materials	1	1	1
99.9 Total new obligations	34	38	38

Personnel Summary

Identification code 23-0100-0-1-752	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	335	335	335

Trust Funds

TAX COURT JUDGES SURVIVORS ANNUITY FUND

Program and Financing (in millions of dollars)

Identification code 23-8115-0-7-602	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	7	7	7
24.40 Unobligated balance carried forward, end of year	7	7	7
Net budget authority and outlays:			
89.00 Budget authority	1	1	1
90.00 Outlays	1	1	1
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value	7	7	7
92.02 Total investments, end of year: Federal securities: Par value	7	7	7

This fund, established pursuant to 26 U.S.C. 7448, is used to pay survivorship benefits to eligible surviving spouses and dependent children of deceased judges of the U.S. Tax Court. Participating judges pay 3.5 percent of their salaries or retired pay into the fund to cover creditable service for which payment is required. Additional funds, as are needed, are provided through the annual appropriation to the U.S. Tax Court.

On September 30, 2001, 19 judges of the court were participating in the fund, and 1 eligible dependent and 11 eligible widows were receiving survivorship annuity payments.

OTHER LEGISLATIVE BRANCH AGENCIES

Federal Funds

General and special funds:

MEDICARE PAYMENT ADVISORY COMMISSION

SALARIES AND EXPENSES

For expenses necessary to carry out section 1805 of the Social Security Act, \$8,250,000, to be transferred to this appropriation from the Federal Hospital Insurance and the Federal Supplementary Medical Insurance Trust Funds. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 48-1550-0-1-999	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	8	8	8
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	8	8	8
23.95 Total new obligations	-8	-8	-8
23.98 Unobligated balance expiring or withdrawn	-1		
New budget authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	8	8	8
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	
73.10 Total new obligations	8	8	8
73.20 Total outlays (gross)	-8	-8	-8
73.40 Adjustments in expired accounts (net)	-1		
74.40 Obligated balance, end of year	1		
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	8	8	8
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-8	-8	-8
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-1		

Identification code 48-1550-0-1-999	2001 actual	2002 est.	2003 est.
Personnel Summary			
1001 Total compensable workyears: Full-time equivalent employment	40	40	40

The Medicare Payment Advisory Commission, established under section 1805 of the Social Security Act (42 U.S.C. 1395 b-6) as added by section 4022 of the Balanced Budget Act of 1997 (P.L. 105-33), is an independent legislative agency charged with advising the Congress on payment and other policy issues affecting the Medicare program, as well as on the implications of changes in health care delivery in the United States and in the market for health care services on the Medicare program.

The Commission's 17 members represent diverse points of view including providers, payers, consumers, employers, and individuals with expertise in biomedical, health services, and health economics research. It maintains a full time staff of 40 in Washington, D.C.

The Commission is required by law to report to the Congress on March 1 and June 1 of each year, and to comment on actions of the Secretary of Health and Human Services relevant to its mandate.

Object Classification (in millions of dollars)

Identification code 48-1550-0-1-999	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	3	3	3
12.1 Civilian personnel benefits	1	1	1
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services	2	2	2
99.0 Direct obligations	7	7	7
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	8	8	8

Personnel Summary

Identification code 48-1550-0-1-999	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	40	40	40

General and special funds—Continued

CENSUS MONITORING BOARD

Program and Financing (in millions of dollars)

Identification code 48-2050-0-1-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	4		
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	4		
23.95 Total new obligations	-4		
New budget authority (gross), detail:			
Discretionary:			
42.00 Transferred from other accounts	4		
Change in obligated balances:			
72.40 Obligated balance, start of year	2	1	
73.10 Total new obligations	4		
73.20 Total outlays (gross)	-5	-1	-1
74.40 Obligated balance, end of year	1		
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	3		
86.93 Outlays from discretionary balances	1	1	
87.00 Total outlays (gross)	5	1	1
Net budget authority and outlays:			
89.00 Budget authority	4		
90.00 Outlays	5	1	1

The Census Monitoring Board is an eight-member bipartisan oversight board charged with observing and monitoring all aspects of the preparation and implementation of the 2000 decennial census. The Board submits periodic reports to both Congress and the President detailing the Census Bureau's preparedness to conduct a successful census. Census data are used to determine Congressional apportionment and the allocation of billions of Federal dollars. The Board has terminated.

Object Classification (in millions of dollars)

Identification code 48-2050-0-1-376	2001 actual	2002 est.	2003 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	2		
99.5 Other activities	2		
99.9 Total new obligations	4		

Personnel Summary

Identification code 48-2050-0-1-376	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	29		

UNITED STATES COMMISSION ON INTERNATIONAL RELIGIOUS FREEDOM

For necessary expenses for the United States Commission on International Religious Freedom, as authorized by title II of the International Religious Freedom Act of 1998 (Public Law 105-292), \$3,000,000, to remain available until expended. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 48-2975-0-1-801	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	2	3	4
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	1	1

22.00 New budget authority (gross)		3	3
23.90 Total budgetary resources available for obligation	3	4	4
23.95 Total new obligations	-2	-3	-4
24.40 Unobligated balance carried forward, end of year	1	1	

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation		3	3

Change in obligated balances:

73.10 Total new obligations	2	3	4
73.20 Total outlays (gross)	-2	-3	-4

Outlays (gross), detail:

86.90 Outlays from new discretionary authority		3	3
86.93 Outlays from discretionary balances	2		1
87.00 Total outlays (gross)	2	3	4

Net budget authority and outlays:

89.00 Budget authority		3	3
90.00 Outlays	2	3	4

The Commission on International Religious Freedom, established in Public Law 105-292, is an independent agency charged with the annual and ongoing review and reporting of the facts and circumstances of violations of international religious freedom. The Commission shall consider and recommend options for United States policies with respect to foreign countries which engage in or tolerate violations of religious freedom. Not later than May 1 of each year, the Commission shall submit a report to the President, the Secretary of State, and Congress.

Object Classification (in millions of dollars)

Identification code 48-2975-0-1-801	2001 actual	2002 est.	2003 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	1	2	2
99.5 Below reporting threshold	1	1	2
99.9 Total new obligations	2	3	4

Personnel Summary

Identification code 48-2975-0-1-801	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	17	20	20

OTHER LEGISLATIVE BRANCH BOARDS AND COMMISSIONS

COMMISSION ON SECURITY AND COOPERATION IN EUROPE

SALARIES AND EXPENSES

For necessary expenses of the Commission on Security and Cooperation in Europe, as authorized by Public Law 94-304, **[\$1,499,000] \$1,607,000**, to remain available until expended as authorized by section 3 of Public Law 99-7. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002.*)

CONGRESSIONAL-EXECUTIVE COMMISSION ON THE PEOPLE'S REPUBLIC OF CHINA

SALARIES AND EXPENSES

For necessary expenses of the Congressional-Executive Commission on the People's Republic of China, as authorized, **[\$1,000,000] \$1,700,000**, to remain available until expended. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 09-9911-0-1-999	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	4	4	5
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	8	7
22.00 New budget authority (gross)	9	3	3
23.90 Total budgetary resources available for obligation	11	11	10
23.95 Total new obligations	-4	-4	-5
24.40 Unobligated balance carried forward, end of year	8	7	5
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	9	2	3
42.00 Transferred from other accounts		1	
43.00 Appropriation (total discretionary)	9	3	3
Change in obligated balances:			
72.40 Obligated balance, start of year		1	1
73.10 Total new obligations	4	4	5
73.20 Total outlays (gross)	-4	-4	-5
74.40 Obligated balance, end of year	1	1	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	4	1	1
86.93 Outlays from discretionary balances		1	4
87.00 Total outlays (gross)	4	4	5
Net budget authority and outlays:			
89.00 Budget authority	9	3	3
90.00 Outlays	4	4	5

This presentation includes a number of accounts including Competitiveness Policy Council; Commission on Immigration Reform; National Commission on Cost of Higher Education; National Commission on Restructuring the Internal Revenue Service and the following:

Commission on Security and Cooperation in Europe.—The Commission on Security and Cooperation in Europe is authorized and directed to monitor the acts of the signatories which reflect compliance with or violation of the articles of the Final Act of the Conference on Security and Cooperation in Europe, with particular regard to the provisions relating to Cooperation in Humanitarian Fields. The law establishing the Commission on Security and Cooperation in Europe also mandated it to monitor and encourage U.S. Government and private activities designed to expand East-West trade and the exchange of people and ideas. The Commission will receive semiannual reports from the President on the signatories' compliance with the Final Act and on U.S. activities in trade and cultural/humanitarian exchange and it will itself make advisory reports to the Congress on the progress of implementation.

The Commission is made up of nine Senators, nine Members of the House of Representatives and one Commissioner each from the Departments of State, Defense, and Commerce.

Dwight D. Eisenhower Memorial Commission.—The Commission was created in Public Law 106-79, the Defense Appropriations Act, to consider and formulate plans for a permanent memorial to Dwight D. Eisenhower.

Trade Deficit Review Commission.—The Commission was created in Public Law 105-277 to study the nature, causes, and consequences of the United States merchandise trade and current account deficits.

International Conferences and Contingencies.—The International Conferences and Contingencies account provides funds to cover the expenses of House and Senate participants in international meetings.

Object Classification (in millions of dollars)

Identification code 09-9911-0-1-999	2001 actual	2002 est.	2003 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	1	1	1
99.5 Below reporting threshold	3	3	4
99.9 Total new obligations	4	4	5

Personnel Summary

Identification code 09-9911-0-1-999	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	15	8	8

PAYMENT TO THE RUSSIAN LEADERSHIP DEVELOPMENT CENTER TRUST FUND

For a payment to the Russian Leadership Development Center Trust Fund for financing activities of the Center for Russian Leadership Development, [[\$8,000,000] \$10,000,000. (*Legislative Branch Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 09-0145-0-1-154	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.3)		8	10
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		8	10
23.95 Total new obligations		-8	-10
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation		8	10
Change in obligated balances:			
73.10 Total new obligations		8	10
73.20 Total outlays (gross)		-8	-10
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		8	10
Net budget authority and outlays:			
89.00 Budget authority		8	10
90.00 Outlays		8	10

The Center for Russian Leadership Development supports the identification of young, emerging political leaders in the Russian Federation and the development of an intensive program in the United States to introduce up to 3,000 participants, each year, to principles of democracy and market economy.

The Center is authorized to solicit and accept federal and private funds, in addition to receipt of this appropriation, and to invest all funds at the U.S. Treasury. The Center is governed by a nine-member board of trustees, composed of the Librarian of Congress, members of the U.S. Senate and House of Representatives and representatives of the private sector. The Center is authorized to obtain a wide range of administrative support, including space from the Library of Congress.

FY 2003 funding supports personal services and other necessary expenses to support the operations of the Center.

GIFTS AND DONATIONS, MILLENNIAL HOUSING COMMISSION

Unavailable Collections (in millions of dollars)

Identification code 48-8075-0-7-801	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.00 Gifts and donations, Millennial Housing Commission	1		

GIFTS AND DONATIONS, MILLENNIAL HOUSING COMMISSION—
Continued

Unavailable Collections (in millions of dollars)—Continued

Identification code 48-8075-0-7-801	2001 actual	2002 est.	2003 est.
Appropriations:			
05.00 Gifts and donations	-1		
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 48-8075-0-7-801	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	1	1	
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	1	1	
23.95 Total new obligations	-1	-1	

New budget authority (gross), detail:

Discretionary:			
40.26 Appropriation (trust fund)	1		
42.00 Transfer in of Budget Authority		1	
43.00 Appropriation (total discretionary)	1	1	

Change in obligated balances:

72.40 Obligated balance, start of year		1	
73.10 Total new obligations	1	1	
73.20 Total outlays (gross)		-2	
74.40 Obligated balance, end of year	1		

Outlays (gross), detail:

86.90 Outlays from new discretionary authority		1	
86.93 Outlays from discretionary balances		1	
87.00 Total outlays (gross)		2	

Net budget authority and outlays:

89.00 Budget authority	1	1	
90.00 Outlays		2	

The Millennial Housing Commission was established to conduct a study that examines, analyzes, and explores: (1) the importance of housing, particularly affordable housing which includes housing for the elderly, to the infrastructure of the United States; (2) the various possible methods for increasing the role of the private sector in providing affordable housing in the United States, including the effectiveness and efficiency of such methods; and (3) whether the existing programs of the Department of Housing and Urban Development work in conjunction with one another to provide better housing opportunities for families, neighborhoods, and communities, and how such programs can be improved with respect to such purpose.

Object Classification (in millions of dollars)

Identification code 48-8075-0-7-801	2001 actual	2002 est.	2003 est.
25.2 Direct obligations: Other services		1	
99.0 Reimbursable obligations: Reimbursable obligations	1		
99.9 Total new obligations	1	1	

Trust Funds

U.S. CAPITOL PRESERVATION COMMISSION

Unavailable Collections (in millions of dollars)

Identification code 09-8300-0-7-801	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 Coin sale surcharges, U.S. Cap	5	10	

02.40 Interest on investments, U.S. Capitol Preservation Commission	2	2	2
02.99 Total receipts and collections	7	12	2
Appropriations:			
05.00 Capitol Preservation Commission trust fund	-7	-12	-2
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 09-8300-0-7-801	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 32.0)	1		48
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	29	35	47
22.00 New budget authority (gross)	7	12	2
23.90 Total budgetary resources available for obligation	36	47	49
23.95 Total new obligations	-1		-48
24.40 Unobligated balance carried forward, end of year	35	47	1

New budget authority (gross), detail:

Mandatory:			
60.26 Appropriation (trust fund)	7	12	2

Change in obligated balances:

72.40 Obligated balance, start of year		1	
73.10 Total new obligations	1		48
73.20 Total outlays (gross)		-1	
74.40 Obligated balance, end of year	1		48

Outlays (gross), detail:

86.98 Outlays from mandatory balances		1	
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Net budget authority and outlays:

89.00 Budget authority	7	12	2
90.00 Outlays		1	

Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities: Par value	30	36	2
92.02 Total investments, end of year: Federal securities: Par value	36	2	2

JOHN C. STENNIS CENTER FOR PUBLIC SERVICE TRAINING AND
DEVELOPMENT TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 09-8275-0-7-801	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.40 Interest	1	2	2
Appropriations:			
05.00 John C. Stennis Center for Public Service Development trust fund	-1	-2	-2
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 09-8275-0-7-801	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 99.5)	2	2	2
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	9	8	8
22.00 New budget authority (gross)	1	2	2
23.90 Total budgetary resources available for obligation	10	10	10
23.95 Total new obligations	-2	-2	-2
24.40 Unobligated balance carried forward, end of year	8	8	8

New budget authority (gross), detail:

Mandatory:			
60.26 Appropriation (trust fund)	1	2	2

Change in obligated balances:			
72.40	Obligated balance, start of year	9	9
73.10	Total new obligations	2	2
73.20	Total outlays (gross)	-2	-2
74.40	Obligated balance, end of year	9	9
Outlays (gross), detail:			
86.97	Outlays from new mandatory authority	1	2
86.98	Outlays from mandatory balances	1	
87.00	Total outlays (gross)	2	2
Net budget authority and outlays:			
89.00	Budget authority	1	2
90.00	Outlays		2
Memorandum (non-add) entries:			
92.01	Total investments, start of year: Federal securities: Par value		10
92.02	Total investments, end of year: Federal securities: Par value	10	9

The principal for this fund was established by the transfer of \$7,500,000 from the appropriation "Payment to the John C. Stennis Center". The principal for the Stennis Center Fund is a non-expendable corpus invested in Special Issue Certificates of Indebtedness with the U.S. Treasury. The Center's operations are funded by the interest on these Treasury investments as well as by other funds and contributions provided by outside sources.

Personnel Summary

Identification code 09-8275-0-7-801			
1001	Total compensable workyears: Full-time equivalent employment	5	5

RUSSIAN LEADERSHIP DEVELOPMENT TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 09-8148-0-7-154			
01.99	Balance, start of year		
Receipts:			
02.00	Gifts and donations, Russian Leadership Development Center trust	1	1
02.40	Payment from the general fund, Russian Leadership Development Ce	21	11
02.99	Total receipts and collections	22	12
Appropriations:			
05.00	Russian Leadership Development Center trust fund	-22	-12
07.99	Balance, end of year		

Program and Financing (in millions of dollars)

Identification code 09-8148-0-7-154			
Obligations by program activity:			
00.01	Direct Program Activity	14	14
10.00	Total new obligations	14	14
Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year		8
22.00	New budget authority (gross)	22	12
23.90	Total budgetary resources available for obligation	22	20
23.95	Total new obligations	-14	-14
24.40	Unobligated balance carried forward, end of year	8	6
New budget authority (gross), detail:			
Discretionary:			
40.26	Appropriation (trust fund)	22	12
Change in obligated balances:			
72.40	Obligated balance, start of year		1
73.10	Total new obligations	14	14

73.20	Total outlays (gross)	-13	-14
74.40	Obligated balance, end of year	1	3
Outlays (gross), detail:			
86.90	Outlays from new discretionary authority	13	7
86.93	Outlays from discretionary balances		7
87.00	Total outlays (gross)	13	14
Net budget authority and outlays:			
89.00	Budget authority	22	12
90.00	Outlays	13	14

Object Classification (in millions of dollars)

Identification code 09-8148-0-7-154			
25.3	Other purchases of goods and services from Government accounts	4	4
41.0	Grants, subsidies, and contributions	10	10
99.9	Total new obligations	14	14

Personnel Summary

Identification code 09-8148-0-7-154			
1001	Total compensable workyears: Full-time equivalent employment		1

TITLE III—GENERAL PROVISIONS

SEC. 301. No part of the funds appropriated in this Act shall be used for the maintenance or care of private vehicles, except for emergency assistance and cleaning as may be provided under regulations relating to parking facilities for the House of Representatives issued by the Committee on House Administration and for the Senate issued by the Committee on Rules and Administration.

SEC. 302. No part of the funds appropriated in this Act shall remain available for obligation beyond fiscal year [2002] 2003 unless expressly so provided in this Act.

SEC. 303. Whenever in this Act any office or position not specifically established by the Legislative Pay Act of 1929 is appropriated for or the rate of compensation or designation of any office or position appropriated for is different from that specifically established by such Act, the rate of compensation and the designation in this Act shall be the permanent law with respect thereto: *Provided*, That the provisions in this Act for the various items of official expenses of Members, officers, and committees of the Senate and House of Representatives, and clerk hire for Senators and Members of the House of Representatives shall be the permanent law with respect thereto.

SEC. 304. The expenditure of any appropriation under this Act for any consulting service through procurement contract, pursuant to section 3109 of title 5, United States Code, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive order issued pursuant to existing law.

SEC. 305. (a) It is the sense of the Congress that, to the greatest extent practicable, all equipment and products purchased with funds made available in this Act should be American-made.

(b) In providing financial assistance to, or entering into any contract with, any entity using funds made available in this Act, the head of each Federal agency, to the greatest extent practicable, shall provide to such entity a notice describing the statement made in subsection (a) by the Congress.

(c) If it has been finally determined by a court or Federal agency that any person intentionally affixed a label bearing a "Made in America" inscription, or any inscription with the same meaning, to any product sold in or shipped to the United States that is not made in the United States, such person shall be ineligible to receive any contract or subcontract made with funds provided pursuant to this Act, pursuant to the debarment, suspension, and ineligibility procedures described in section 9.400 through 9.409 of title 48, Code of Federal Regulations.

SEC. 306. Such sums as may be necessary are appropriated to the account described in subsection (a) of section 415 of Public Law 104-1 to pay awards and settlements as authorized under such subsection.

SEC. 307. Amounts available for administrative expenses of any legislative branch entity which participates in the Legislative Branch Financial Managers Council (LBFMC) established by charter on March 26, 1996, shall be available to finance an appropriate share of LBFMC costs as determined by the LBFMC, except that the total LBFMC costs to be shared among all participating legislative branch entities (in such allocations among the entities as the entities may determine) may not exceed \$252,000.

SEC. 308. Section 316 of Public Law 101-302 is amended in the first sentence of subsection (a) by striking **["2001"] 2002** and inserting **["2002"] 2003**.

[SEC. 309. Section 5596(a) of title 5, U.S.C., is amended by deleting "and" at the end of paragraph (4); by deleting the period at the end of paragraph (5) and inserting a semicolon, and by adding the following new paragraphs, which shall be effective for all personnel actions taken on or after the date of enactment of this Act:

"(6) the Architect of the Capitol, including employees of the United States Senate Restaurants; and

"(7) the United States Botanic Garden.".]

[SEC. 310. Section 4(b) of the House Employees Position Classification Act (2 U.S.C. 293(b)) is amended by adding at the end the following: "Notwithstanding any other provision of this Act, for purposes of applying the adjustment made by the committee under this subsection for 2002 and each succeeding year, positions under the Chief Administrative Officer shall include positions of the United States Capitol telephone exchange under the Chief Administrative Officer.".]

SEC. **[311]** 309. The Architect of the Capitol, in consultation with the District of Columbia, is authorized to maintain and improve the landscape features, excluding streets and sidewalks, in the irregular shaped grassy areas bounded by Washington Avenue, SW on the northeast, Second Street SW on the west, Square 582 on the south, and the beginning of the I-395 tunnel on the southeast.

SEC. **[312]** 310. No funds appropriated or otherwise made available under this Act shall be made available to any person or entity that has been convicted of violating the Buy American Act (41 U.S.C. 10a-10c). (*Legislative Branch Appropriations Act, 2002.*)

JUDICIAL BRANCH

SUPREME COURT OF THE UNITED STATES

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For expenses necessary for the operation of the Supreme Court, as required by law, excluding care of the building and grounds, including purchase or hire, driving, maintenance, and operation of an automobile for the Chief Justice, not to exceed \$10,000 for the purpose of transporting Associate Justices, and hire of passenger motor vehicles as authorized by 31 U.S.C. 1343 and 1344; not to exceed \$10,000 for official reception and representation expenses; and for miscellaneous expenses, to be expended as the Chief Justice may approve, **[\$39,988,000] \$46,324,000.**

In addition, upon enactment of S. 1612, "Managerial Flexibility Act of 2001," \$1,751,000. (Judiciary Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 10-0100-0-1-752	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	39	42	48
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	39	42	48
23.95 Total new obligations	-39	-42	-48
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	37	40	46
Mandatory:			
60.00 Appropriation	2	2	2
70.00 Total new budget authority (gross)	39	42	48
Change in obligated balances:			
72.40 Obligated balance, start of year	11	9	11
73.10 Total new obligations	39	42	48
73.20 Total outlays (gross)	-39	-40	-47
73.40 Adjustments in expired accounts (net)	-2
74.40 Obligated balance, end of year	9	11	12
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	31	33	38
86.93 Outlays from discretionary balances	6	5	7
86.97 Outlays from new mandatory authority	2	2	2
87.00 Total outlays (gross)	39	40	47
Net budget authority and outlays:			
89.00 Budget authority	39	42	48
90.00 Outlays	37	40	47

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

Identification code 10-0100-0-1-752	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	37	40	46
90.00 Outlays	37	38	45

The Supreme Court of the United States is the highest court of our country and stands at the apex of the judicial branch of our constitutional form of government. The U.S. Supreme Court is the only constitutionally indispensable court in the Federal court system of the United States. The jurisdiction of the Supreme Court is spelled out in the Constitution and allotted by Congress. The funds herein

requested are required to enable the U.S. Supreme Court to carry out its constitutional and congressionally allotted responsibilities.

Object Classification (in millions of dollars)

Identification code 10-0100-0-1-752	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	20	23	24
11.3 Other than full-time permanent	2	2	3
11.9 Total personnel compensation	22	25	27
12.1 Civilian personnel benefits	7	8	9
24.0 Printing and reproduction	1	1	1
25.2 Other services	5	4	5
26.0 Supplies and materials	1	1	1
31.0 Equipment	3	3	5
99.9 Total new obligations	39	42	48

Personnel Summary

Identification code 10-0100-0-1-752	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	396	413	427

CARE OF THE BUILDING AND GROUNDS

For such expenditures as may be necessary to enable the Architect of the Capitol to carry out the duties imposed upon the Architect by the Act approved May 7, 1934 (40 U.S.C. 13a-13b), **[\$37,530,000,] [\$84,314,000] \$53,626,000**, of which **[\$80,223,000] \$49,796,000** shall remain available until expended.

In addition, upon enactment of S. 1612, "Managerial Flexibility Act of 2001," \$261,000. (Judiciary Appropriations Act, 2002.)

For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Care of the Building and Grounds", \$30,000,000, to remain available until expended for security enhancements, to be obligated from amounts made available in Public Law 107-38. **Emergency Supplemental Act, 2002.**

Program and Financing (in millions of dollars)

Identification code 10-0103-0-1-752	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	5	10	63
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4	7	65
22.00 New budget authority (gross)	9	68	54
23.90 Total budgetary resources available for obligation	13	75	119
23.95 Total new obligations	-5	-10	-63
24.40 Unobligated balance carried forward, end of year	7	65	56
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	8	68	54
42.00 Transferred from other accounts	1
43.00 Appropriation (total discretionary)	9	68	54
Change in obligated balances:			
72.40 Obligated balance, start of year	2	2	1
73.10 Total new obligations	5	10	63
73.20 Total outlays (gross)	-6	-11	-12
74.40 Obligated balance, end of year	2	1	52
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	3	6	2

General and special funds—Continued

CARE OF THE BUILDING AND GROUNDS—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 10-0103-0-1-752	2001 actual	2002 est.	2003 est.
86.93 Outlays from discretionary balances	3	6	10
87.00 Total outlays (gross)	6	11	12
Net budget authority and outlays:			
89.00 Budget authority	9	68	54
90.00 Outlays	6	11	12

Object Classification (in millions of dollars)

Identification code 10-0103-0-1-752	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	1	2	2
12.1 Civilian personnel benefits			1
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	3	7	59
99.9 Total new obligations	5	10	63

Personnel Summary

Identification code 10-0103-0-1-752	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	32	32	35

UNITED STATES COURT OF APPEALS FOR THE FEDERAL CIRCUIT

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For salaries of the chief judge, judges, and other officers and employees, and for necessary expenses of the court, as authorized by law, **[\$19,287,000] \$21,893,000.**

In addition, upon enactment of S. 1612, "Managerial Flexibility Act of 2001," \$501,000. (Judiciary Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 10-0510-0-1-752	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	19	20	23
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	19	20	23
23.95 Total new obligations	-19	-20	-23
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	17	18	21
Mandatory:			
60.00 Appropriation	2	2	2
70.00 Total new budget authority (gross)	19	20	23
Change in obligated balances:			
72.40 Obligated balance, start of year	3	4	5
73.10 Total new obligations	19	20	23
73.20 Total outlays (gross)	-18	-19	-23
74.40 Obligated balance, end of year	4	5	5
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	13	13	16
86.93 Outlays from discretionary balances	3	4	5
86.97 Outlays from new mandatory authority	2	2	2
87.00 Total outlays (gross)	18	19	23
Net budget authority and outlays:			
89.00 Budget authority	19	20	23

90.00 Outlays	18	19	23
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Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	18	19	22
90.00 Outlays	17	18	22

The United States Court of Appeals for the Federal Circuit has exclusive nationwide jurisdiction over a large number of diverse subject areas, such as appeals in all patent cases, all government contract cases, all international trade cases, all government personnel cases, all cases involving monetary claims against the United States under the Tucker Acts, veterans cases, and many others. Additional subject areas have been added to this court's jurisdiction almost yearly. To keep abreast of its varied and growing jurisdiction, the court is requesting necessary increases in its funding as detailed below.

The following is a more complete listing of the Federal Circuit's exclusive jurisdiction. It hears appeals from: (A) final decisions of all Federal district courts in cases arising under 28 U.S.C. § 1338(a), relating to patent laws generally, 35 U.S.C. §§ 145-146, relating to review of decisions of the Patent and Trademark Office, Board of Patent Appeals and Interferences, 28 U.S.C. § 1346(a)(2), relating to Little Tucker Act claims against the United States, and section 211 of the Economic Stabilization Act of 1970, section 5 of the Emergency Petroleum Allocation Act of 1973, section 506(c) of the Natural Gas Policy Act of 1978, and section 523 of the Energy Policy and Conservation Act, relating to all statutes formerly under the jurisdiction of the Temporary Emergency Court of Appeals; (B) final decisions of the United States Court of International Trade, 28 U.S.C. § 2645(c); (C) final decisions of the United States Court of Appeals for Veterans Claims, 38 U.S.C. § 7292; (D) final decisions of the United States Court of Appeals for Veterans Claims, 28 U.S.C. § 2522 and 42 U.S.C. §§ 300aa-12(f); (E) final decisions of the High Court of the Trust Territory of the Pacific Islands, 48 U.S.C. § 1681 note (1988) (Compact of Free Association; Federated States of Micronesia, Republic of Marshall Islands, Title II, Title One, Article VII, § 174(c)); (F) final determinations of the United States International Trade Commission relating to unfair practices in import trade made under 19 U.S.C. § 1337; (G) findings of the Secretary of Commerce under U.S. note 6 to subchapter X of chapter 98 of the Harmonized Tariff Schedule of the United States relating to importation of educational or scientific instruments and apparatus; (H) final orders or decisions of the Merit Systems Protection Board and certain arbitrators, 5 U.S.C. § 7703; (I) final decisions of the General Accounting Office Personnel Appeals Board, 31 U.S.C. § 755; (J) final decisions of all agency Boards of Contract Appeals, 41 U.S.C. § 607(g); (K) final decisions of the Patent and Trademark Office tribunals on patent applications and interferences, trademark applications and interferences, cancellations, concurrent use proceedings, and oppositions, 35 U.S.C. § 142, 15 U.S.C. § 1071, 37 CFR §§ 1.304, 2.145; (L) appeals under section 71 of the Plant Variety Protection Act of 1970, 7 U.S.C. § 2461; (M) certain actions of the Secretary of Veterans Affairs, 38 U.S.C. § 502; (N) certain final orders of the Equal Employment Opportunity Commission relating to certain Presidential appointees, 2 U.S.C. § 1219(a)(3) and 28 U.S.C. § 2344; (O) final decisions of the Office of Personnel Management under 5 U.S.C. § 8902a(g)(2); (P) certain actions of the Board of Directors of the Office of Compliance of the U.S. Congress under 2 U.S.C. § 1407(a); and (Q) final decisions of certain agencies pursuant to 28 U.S.C. § 1296. (This is a new section of T.28 established by

the Presidential and Executive Office Accountability Act of 1996, P.L. 104-331.)

The Federal Circuit also has exclusive jurisdiction pursuant to 28 U.S.C. § 1292(c) of: (1) appealable interlocutory orders or decrees in cases where the court would otherwise have jurisdiction over an appeal; and (2) appeals from judgments in civil actions for patent infringement otherwise appealable to the court and final except for accounting. Under the provisions of 28 U.S.C. § 1292(d), the court has: (1) exclusive jurisdiction of appeals from interlocutory orders granting or denying, in whole or in part, a motion to transfer an action to the Court of Federal Claims; and (2) may, in its discretion, permit an appeal from an interlocutory order of a judge who certifies that there is a controlling question of law and a substantial ground for difference of opinion thereon, and that an immediate appeal may materially advance the ultimate termination of the litigation. Pursuant to 38 U.S.C. § 7292(b)(1), the court has exclusive jurisdiction of certain interlocutory orders of the United States Court of Appeals for Veterans Claims.

Recent legislation having an impact on the Federal Circuit is contained in P.L. 105-339 (51021) October 31, 1998, Veterans Opportunities Act of 1998, which provides a remedy through the Merit Systems Protection Board for those seeking review of the application of veterans preference rules to applicants for federal employment.

Object Classification (in millions of dollars)			
Identification code 10-0510-0-1-752	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	9	10	10
12.1 Civilian personnel benefits	2	3	3
23.1 Rental payments to GSA	4	4	4
25.2 Other services	2	2	4
31.0 Equipment	1	1	1
99.0 Direct obligations	18	20	22
99.5 Below reporting threshold	1		1
99.9 Total new obligations	19	20	23

Personnel Summary

Identification code 10-0510-0-1-752	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	118	121	123

UNITED STATES COURT OF INTERNATIONAL TRADE

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For salaries of the chief judge and eight judges, salaries of the officers and employees of the court, services as authorized by 5 U.S.C. 3109, and necessary expenses of the court, as authorized by law, **[\$13,064,000] \$13,777,000.**

In addition, upon enactment of S. 1612, "Managerial Flexibility Act of 2001," \$289,000. (Judiciary Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 10-0400-0-1-752	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	12	13	14
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	12	13	14
23.95 Total new obligations	-12	-13	-14

New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	10	11	12
Mandatory:			
60.00 Appropriation	2	2	2
70.00 Total new budget authority (gross)	12	13	14
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	1
73.10 Total new obligations	12	13	14
73.20 Total outlays (gross)	-12	-13	-14
74.40 Obligated balance, end of year	1	1	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	10	10	11
86.93 Outlays from discretionary balances		1	1
86.97 Outlays from new mandatory authority	2	2	2
87.00 Total outlays (gross)	12	13	14
Net budget authority and outlays:			
89.00 Budget authority	12	13	14
90.00 Outlays	12	13	14

The United States Court of International Trade, established under Article III of the Constitution of the United States, was created by the Act of October 10, 1980 (94 Stat. 1727), effective November 1, 1980, as successor to the former United States Customs Court. The court has original and exclusive jurisdiction of civil actions against the United States, its agencies and officers, and certain civil actions brought by the United States, arising out of import transactions and Federal statutes affecting international trade. The court possesses all the powers in law and equity of, or as conferred by statute upon, a district court of the United States, and is authorized to conduct jury trials. The geographical jurisdiction of the court is nationwide and trials before the court or hearings may be held at any place within the jurisdiction of the United States. The court also is authorized to hold hearings in foreign countries. The principal statutory provisions pertaining to the court are contained in the following sections of Title 28 of the United States Code: Organization, sections 251 to 258; Jurisdiction, sections 1581 to 1585; and Procedures, sections 2631-2646.

Object Classification (in millions of dollars)

Identification code 10-0400-0-1-752	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	5	6	6
12.1 Civilian personnel benefits	1	1	1
23.1 Rental payments to GSA	5	5	5
25.2 Other services	1	1	1
99.0 Direct obligations	12	13	13
99.5 Below reporting threshold			1
99.9 Total new obligations	12	13	14

Personnel Summary

Identification code 10-0400-0-1-752	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	73	80	80

COURTS OF APPEALS, DISTRICT COURTS, AND OTHER JUDICIAL SERVICES

Federal Funds

General and special funds:

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For the salaries of circuit and district judges (including judges of the territorial courts of the United States), justices and judges

General and special funds—Continued

SALARIES AND EXPENSES—Continued

(INCLUDING TRANSFER OF FUNDS)—Continued

retired from office or from regular active service, judges of the United States Court of Federal Claims, bankruptcy judges, magistrate judges, and all other officers and employees of the Federal Judiciary not otherwise specifically provided for, and necessary expenses of the courts, as authorized by law, **[\$3,591,116,000] \$4,014,107,000** (including the purchase of firearms and ammunition); of which not to exceed **[\$27,817,000] \$29,277,000** shall remain available until expended for space alteration projects and for furniture and furnishings related to new space alteration and construction projects.

In addition, for expenses of the United States Court of Federal Claims associated with processing cases under the National Childhood Vaccine Injury Act of 1986, not to exceed **[\$2,692,000] \$2,784,000**, to be appropriated from the Vaccine Injury Compensation Trust Fund.

In addition, upon enactment of S. 1612, "Managerial Flexibility Act of 2001," \$115,296,000. (Judiciary Appropriations Act, 2002; additional authorizing legislation required.)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Salaries and Expenses", \$5,000,000 is for Emergency Communications Equipment, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (Emergency Supplemental Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 10-0920-0-1-752	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Courts of appeals	381	432	467
00.02 District courts	1,656	1,778	1,933
00.03 Bankruptcy courts	618	681	759
00.04 Probation/Pretrial	711	791	869
00.05 Retirement costs	101	108	115
09.01 Reimbursable program	231	285	276
10.00 Total new obligations	3,698	4,075	4,419
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	21	17
22.00 New budget authority (gross)	3,693	3,992	4,408
22.10 Resources available from recoveries of prior year obligations	1	66	11
23.90 Total budgetary resources available for obligation	3,715	4,075	4,419
23.95 Total new obligations	-3,698	-4,075	-4,419
24.40 Unobligated balance carried forward, end of year	17
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	3,231	3,463	3,868
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-7
41.00 Transferred to other accounts	-6
43.00 Appropriation (total discretionary)	3,224	3,457	3,868
Mandatory:			
60.00 Appropriation	238	250	264
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	231	285	276
70.00 Total new budget authority (gross)	3,693	3,992	4,408
Change in obligated balances:			
72.40 Obligated balance, start of year	199	83	119
73.10 Total new obligations	3,698	4,075	4,419
73.20 Total outlays (gross)	-3,816	-3,973	-4,393
73.40 Adjustments in expired accounts (net)	3
73.45 Recoveries of prior year obligations	-1	-66	-11
74.40 Obligated balance, end of year	83	119	134
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	3,451	3,487	3,874
86.93 Outlays from discretionary balances	127	236	255
86.97 Outlays from new mandatory authority	238	250	264
87.00 Total outlays (gross)	3,816	3,973	4,393

Offsets:

Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-221	-274	-264
88.40	Non-Federal sources—User Fee	-10	-11	-12
88.90	Total, offsetting collections (cash)	-231	-285	-276

Net budget authority and outlays:

89.00	Budget authority	3,462	3,707	4,132
90.00	Outlays	3,585	3,688	4,117

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	3,361	3,599	4,017
90.00	Outlays	3,484	3,580	4,002

Funds appropriated under this heading are for the salaries and benefits of judges and supporting personnel and all operating expenses of the United States courts of appeals, district courts, bankruptcy courts, United States Court of Federal Claims, and United States Probation and Pretrial Services offices are shown by activity:

Courts of Appeals.—This activity includes the salaries and benefits of all active United States circuit judges, and all such judges who have retired from office or from regular active service in pursuance of law. In addition, it provides for the salaries and expenses of the Courts of Appeals supporting personnel such as the administrative and legal aides required to assist the judges in the hearing and decision of appeals, and other judicial functions including all expenses of operation and maintenance such as travel expenses incurred by judges and supporting personnel in attending sessions of court or transacting other official business, and for relocation expenses, communications, printing, contractual services, supplies, equipment, and lawbooks and for rental of space, alterations, and related services for United States court facilities.

District Courts.—This activity includes the salaries and benefits of all active United States district judges, magistrate judges and all such judges who have retired from office or from regular active service in pursuance of law. In addition, it provides for the salaries and expenses of the District Court supporting personnel such as the administrative and legal aides required to assist the judges in conduct of hearings, trials, and other judicial functions including all expenses of operation and maintenance such as travel expenses incurred by judges and supporting personnel in attending sessions of court or transacting other official business, and for relocation expenses, communications, printing, contractual services, supplies, equipment, and lawbooks and for rental of space, alterations, and related services for United States court facilities.

Bankruptcy Courts.—This activity includes the salaries and benefits of all active United States bankruptcy judges. In addition, it provides for the salaries and expenses of the Bankruptcy Court supporting personnel including all expenses of operation and maintenance such as travel expenses incurred by judges and supporting personnel in attending sessions of court or transacting other official business, and for relocation expenses, communications, printing, contractual services, supplies, equipment, and lawbooks and for rental of space, alterations, and related services for United States court facilities.

Probation/Pretrial.—This activity includes the salaries and benefits of all probation and pretrial services officers and supporting personnel. It provides for all expenses of operation and maintenance such as travel expenses incurred by probation officers and supporting personnel in attending sessions of court or transacting other official business, and for reloca-

tion expenses, communications, printing, contractual services, supplies, and equipment.

Object Classification (in millions of dollars)

Identification code 10-0920-0-1-752	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	1,487	1,703	1,860
11.3 Other than full-time permanent	159	179	196
11.9 Total personnel compensation	1,646	1,882	2,056
12.1 Civilian personnel benefits	499	555	616
13.0 Benefits for former personnel	1	2	2
21.0 Travel and transportation of persons	39	39	42
22.0 Transportation of things	5	6	6
23.1 Rental payments to GSA	703	748	829
23.2 Rental payments to others	23	24	25
23.3 Communications, utilities, and miscellaneous charges	74	83	89
24.0 Printing and reproduction	19	14	15
25.2 Other services	246	207	225
26.0 Supplies and materials	23	21	24
31.0 Equipment	189	209	214
99.0 Direct obligations	3,467	3,790	4,143
99.0 Reimbursable obligations	231	285	276
99.9 Total new obligations	3,698	4,075	4,419

Personnel Summary

Identification code 10-0920-0-1-752	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	28,514	29,325	30,787
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	20	20	20

DEFENDER SERVICES

For the operation of Federal Public Defender and Community Defender organizations; the compensation and reimbursement of expenses of attorneys appointed to represent persons under the Criminal Justice Act of 1964, as amended; the compensation and reimbursement of expenses of persons furnishing investigative, expert and other services under the Criminal Justice Act of 1964 (18 U.S.C. 3006A(e)); the compensation (in accordance with Criminal Justice Act maximums) and reimbursement of expenses of attorneys appointed to assist the court in criminal cases where the defendant has waived representation by counsel; the compensation and reimbursement of travel expenses of guardians ad litem acting on behalf of financially eligible minor or incompetent offenders in connection with transfers from the United States to foreign countries with which the United States has a treaty for the execution of penal sentences; the compensation of attorneys appointed to represent jurors in civil actions for the protection of their employment, as authorized by 28 U.S.C. 1875(d); and for necessary training and general administrative expenses, **[\$500,671,000] \$588,741,000**, to remain available until expended as authorized by 18 U.S.C. 3006A(i).

In addition, upon enactment of S. 1612, "Managerial Flexibility Act of 2001," \$6,640,000. (Judiciary Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 10-0923-0-1-752	2001 actual	2002 est.	2003 est.
Direct program:			
Direct program:			
00.01 CJA Representations and Related Expenses	5	508	591
00.02 Federal Defender Organizations	261		
00.03 Panel attorneys	175		
00.04 General administrative expenses	4	5	5
10.00 Total new obligations	445	513	596
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	12	7	

22.00 New budget authority (gross)	438	506	596
22.10 Resources available from recoveries of prior year obligations	2		
23.90 Total budgetary resources available for obligation	452	513	596
23.95 Total new obligations	-445	-513	-596
24.40 Unobligated balance carried forward, end of year	7		

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	438	506	596

Change in obligated balances:

72.40 Obligated balance, start of year	11	11	12
73.10 Total new obligations	445	513	596
73.20 Total outlays (gross)	-443	-512	-592
73.45 Recoveries of prior year obligations	-2		
74.40 Obligated balance, end of year	11	12	16

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	432	501	580
86.93 Outlays from discretionary balances	11	11	12
87.00 Total outlays (gross)	443	512	592

Net budget authority and outlays:

89.00 Budget authority	438	506	596
90.00 Outlays	443	512	592

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	433	500	589
90.00 Outlays	438	506	585

Funds appropriated under this heading provide for the administration and operation of the Criminal Justice Act of 1964 (18 U.S.C. 3006A), as amended, which provides for furnishing representation for any person financially unable to obtain adequate representation who: (1) is charged with a felony or Class A, B, or C misdemeanor or infraction for which a sentence to confinement is authorized, or with committing an act of juvenile delinquency, or with a violation of probation; (2) is under arrest, when such representation is required by law; (3) is entitled to appointment of counsel in parole proceedings (18 U.S.C. 4201-18); (4) is charged with a violation of supervised release or faces modification, reduction, or enlargement of a condition, or extension or revocation of a term of supervised release; (5) is subject to a mental condition hearing (18 U.S.C. 4241-47); (6) is in custody as a material witness; (7) is entitled to appointment of counsel under the sixth amendment to the Constitution; (8) faces loss of liberty in a case, and Federal law requires the appointment of counsel; (9) is entitled to the appointment of counsel under 18 U.S.C. 4109; or (10) is seeking relief under 28 U.S.C. 2241, 2254, or 2255. Representation includes counsel and investigative, expert, and other necessary services. The appropriation includes funding for the compensation and expenses of court-appointed counsel and persons providing investigative, expert and other services under the Act; for the operation of the Federal Defender organizations; for the compensation and reimbursement of travel expenses of guardians ad litem, appointed under 18 U.S.C. 4100(b), acting on behalf of financially eligible minor or incompetent offenders in connection with transfers from the United States to foreign countries with which the United States has a treaty for the execution of penal sentences (18 U.S.C. 4109(b)); and for the continuing education and training of persons providing representational services under the Act. In addition, this appropriation is available for the compensation of counsel: (1) appointed pursuant to 5 U.S.C. 3109 to assist the court in criminal cases where the defendant has waived representation by counsel; (2) appointed pursuant to 28 U.S.C. 1875(d) to represent ju-

General and special funds—Continued

DEFENDER SERVICES—Continued

rors in civil actions for the protection of their employment; and (3) appointed under 18 U.S.C. 983(b)(1) in connection with certain judicial civil forfeiture proceedings.

Object Classification (in millions of dollars)

Identification code 10-0923-0-1-752	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	129	150	157
12.1 Civilian personnel benefits	39	45	46
21.0 Travel and transportation of persons	5	6	6
23.1 Rental payments to GSA	18	20	20
23.3 Communications, utilities, and miscellaneous charges Other services:	5	5	4
25.2 Compensation and out-of-pocket expenses of court- appointed counsel	170	206	257
25.2 Transcripts	7	7	7
25.2 Investigators, interpreters, psychiatrists, and other experts	5	4	17
25.2 Other services	3	2	4
26.0 Supplies and materials	1		1
31.0 Equipment	6	5	8
41.0 Grants, subsidies, and contributions	57	63	69
99.9 Total new obligations	445	513	596

Personnel Summary

Identification code 10-0923-0-1-752	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	1,800	1,952	2,036

FEES OF JURORS AND COMMISSIONERS

For fees and expenses of jurors as authorized by 28 U.S.C. 1871 and 1876; and compensation of jury commissioners as authorized by 28 U.S.C. 1863; and compensation of commissioners appointed in condemnation cases pursuant to rule 71A(h) of the Federal Rules of Civil Procedure (28 U.S.C. Appendix Rule 71A(h)), **[\$48,131,000]** \$57,826,000, to remain available until expended: *Provided*, That the compensation of land commissioners shall not exceed the daily equivalent of the highest rate payable under section 5332 of title 5, United States Code. (*Judiciary Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 10-0925-0-1-752	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.03 Grand jurors	15	15	16
00.04 Petit jurors	43	43	42
10.00 Total new obligations	58	58	58
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	9	4	
22.00 New budget authority (gross)	53	54	58
23.90 Total budgetary resources available for obligation	62	58	58
23.95 Total new obligations	-58	-58	-58
24.40 Unobligated balance carried forward, end of year	4		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	59	48	58
41.00 Transferred to other accounts	-6		
42.00 Transferred from other accounts		6	
43.00 Appropriation (total discretionary)	53	54	58
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	1
73.10 Total new obligations	58	58	58
73.20 Total outlays (gross)	-58	-58	-58
74.40 Obligated balance, end of year	1	1	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	53	54	58

86.93 Outlays from discretionary balances	5	4	
87.00 Total outlays (gross)	58	58	58
Net budget authority and outlays:			
89.00 Budget authority	53	54	58
90.00 Outlays	58	58	58

This appropriation provides for the statutory fees and allowances of jurors, refreshments of jurors, and compensation of land commissioners appointed in condemnation cases pursuant to Rule 71A(h) of the Federal Rules of Civil Procedure. Budgetary requirements depend largely upon the volume and length of jury trials demanded by the parties to both civil and criminal actions and the number of grand juries being convened by the courts at the request of United States attorneys.

Object Classification (in millions of dollars)

Identification code 10-0925-0-1-752	2001 actual	2002 est.	2003 est.
11.8 Personnel compensation: Special personal services payments	34	34	34
21.0 Travel and transportation of persons (jurors)	22	22	22
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services (meals and lodging furnished seques- tered juror)	1	1	1
99.9 Total new obligations	58	58	58

COURT SECURITY

For necessary expenses, not otherwise provided for, incident to providing protective guard services for United States courthouses and the procurement, installation, and maintenance of security equipment for United States courthouses and other facilities housing Federal court operations, including building ingress-egress control, inspection of mail and packages, directed security patrols, and other similar activities as authorized by section 1010 of the Judicial Improvement and Access to Justice Act (Public Law 100-702), **[\$220,677,000]** \$298,235,000, of which not to exceed \$10,000,000 shall remain available until expended for security systems or contract costs for court security officers, to be expended directly or transferred to the United States Marshals Service, which shall be responsible for administering the Judicial Facility Security Program consistent with standards or guidelines agreed to by the Director of the Administrative Office of the United States Courts and the Attorney General.

In addition, upon enactment of S. 1612, "Managerial Flexibility Act of 2001," \$164,000. (Judiciary Appropriations Act, 2002; additional authorizing legislation required.)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Court Security", \$57,521,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38, for security to the Federal judiciary, of which not less than \$4,000,000 shall be available to reimburse the United States Marshals Service for a Supervisory Deputy Marshal responsible for coordinating security in each judicial district and circuit: *Provided*, That the funds may be expended directly or transferred to the United States Marshals Service.] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 10-0930-0-1-752	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	204	299	298
10.00 Total new obligations	204	299	298
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	21	
22.00 New budget authority (gross)	225	279	298
22.10 Resources available from recoveries of prior year obli- gations	1		
23.90 Total budgetary resources available for obligation	227	300	298
23.95 Total new obligations	-204	-299	-298

23.98	Unobligated balance expiring or withdrawn	-2	-1	
24.40	Unobligated balance carried forward, end of year	21		
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	199	279	298
42.00	Transferred from other accounts	26		
43.00	Appropriation (total discretionary)	225	279	298
Change in obligated balances:				
72.40	Obligated balance, start of year	56	47	53
73.10	Total new obligations	204	299	298
73.20	Total outlays (gross)	-208	-293	-298
73.40	Adjustments in expired accounts (net)	-5		
73.45	Recoveries of prior year obligations	-1		
74.40	Obligated balance, end of year	47	53	53
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	170	206	220
86.93	Outlays from discretionary balances	38	87	78
87.00	Total outlays (gross)	208	293	298
Net budget authority and outlays:				
89.00	Budget authority	225	279	298
90.00	Outlays	208	293	298

This appropriation provides for the necessary expenses not otherwise provided for, incident to providing protective guard services for the United States courthouses and the procurement, installation, and maintenance of security equipment for United States courthouses and other facilities housing federal court operations, including building ingress-egress control, inspection of mail and packages, directed security patrols, and other similar activities, to be expended directly or transferred to the United States Marshals Service which shall be responsible for administering the Judicial Facility Security Program.

Object Classification (in millions of dollars)

Identification code 10-0930-0-1-752	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	3	5	5
12.1 Civilian personnel benefits	1	2	2
23.3 Communications, utilities, and miscellaneous charges	2	2	2
25.2 Other services	182	213	212
26.0 Supplies and materials	1	1	1
31.0 Equipment	15	76	76
99.9 Total new obligations	204	299	298

Personnel Summary

Identification code 10-0930-0-1-752	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	35	54	148

JUDICIARY FILING FEES

Unavailable Collections (in millions of dollars)

Identification code 10-5100-0-2-752	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.00 Filing Fees, U.S. Courts, Judiciary	174	179	180
Appropriations:			
05.00 Judiciary filing fees	-174	-179	-180
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 10-5100-0-2-752	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)	188	220	259

Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	134	120	79
22.00	New budget authority (gross)	174	179	180
23.90	Total budgetary resources available for obligation	308	299	259
23.95	Total new obligations	-188	-220	-259
24.40	Unobligated balance carried forward, end of year	120	79	

New budget authority (gross), detail:

Mandatory:				
60.20	Appropriation (special fund)	174	179	180

Change in obligated balances:

72.40	Obligated balance, start of year	44	188	220
73.10	Total new obligations	188	220	259
73.20	Total outlays (gross)	-44	-188	-185
74.40	Obligated balance, end of year	188	220	294

Outlays (gross), detail:

86.98	Outlays from mandatory balances	44	188	185
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Net budget authority and outlays:

89.00	Budget authority	174	179	180
90.00	Outlays	44	188	185

REGISTRY ADMINISTRATION

Unavailable Collections (in millions of dollars)

Identification code 10-5101-0-2-752	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year		-1	
Receipts:			
02.00 Fees, Registry administration, Judiciary	-1	6	6
04.00 Total: Balances and collections	-1	5	6
Appropriations:			
05.00 Registry administration		-5	-6
07.99 Balance, end of year	-1		

Program and Financing (in millions of dollars)

Identification code 10-5101-0-2-752	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
10.00 Total new obligations (object class 25.2)	1	6	6	
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	1	1	
22.00	New budget authority (gross)	1	5	6
23.90	Total budgetary resources available for obligation	2	6	6
23.95	Total new obligations	-1	-6	-6
24.40	Unobligated balance carried forward, end of year	1		

New budget authority (gross), detail:

Mandatory:				
60.20	Appropriation (special fund)		5	6

Change in obligated balances:

72.40	Obligated balance, start of year		-1	
73.10	Total new obligations	1	6	6
73.20	Total outlays (gross)		-5	-6
74.40	Obligated balance, end of year	-1		

Outlays (gross), detail:

86.97	Outlays from new mandatory authority		5	6
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Net budget authority and outlays:

89.00	Budget authority	1	5	6
90.00	Outlays		5	6

This schedule reflects funds available to the Federal Judiciary, pursuant to Public Law 100-459, which provides that any funds collected by the Judiciary as a charge for services rendered in administering accounts kept in a court's registry shall be deposited into this account.

General and special funds—Continued

JUDICIARY INFORMATION TECHNOLOGY FUND

Unavailable Collections (in millions of dollars)

Identification code 10-5114-0-2-752	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 Proceeds from sale of property	12	13	14
02.40 Advances and reimbursements	247	220	262
02.99 Total receipts and collections	259	233	276
Appropriations:			
05.00 Judiciary information technology fund	-259	-233	-276
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 10-5114-0-2-752	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Information Technology	288	269	276
10.00 Total new obligations	288	269	276
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	54	36	
22.00 New budget authority (gross)	259	233	276
22.10 Resources available from recoveries of prior year obligations	11		
23.90 Total budgetary resources available for obligation	324	269	276
23.95 Total new obligations	-288	-269	-276
24.40 Unobligated balance carried forward, end of year	36		

New budget authority (gross), detail:

Mandatory:			
60.20 Appropriation (special fund)	259	233	276

Change in obligated balances:

72.40 Obligated balance, start of year	104	141	167
73.10 Total new obligations	288	269	276
73.20 Total outlays (gross)	-240	-243	-270
73.45 Recoveries of prior year obligations	-11		
74.40 Obligated balance, end of year	141	167	173

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	136	118	132
86.98 Outlays from mandatory balances	104	125	138
87.00 Total outlays (gross)	240	243	270

Net budget authority and outlays:

89.00 Budget authority	259	233	276
90.00 Outlays	240	243	270

The Judiciary Information Technology Fund is a stable and flexible funding vehicle for the expansion, management, and use of information technology throughout the Judiciary in accordance with the Long Range Plan for Information Technology in the Federal Judiciary. Funds are available without fiscal year limitation. The Fund consists of deposits and transfers from appropriations, reimbursements, user fees, and sale of surplus equipment. Appropriate information technology costs are paid directly out of the Fund.

Object Classification (in millions of dollars)

Identification code 10-5114-0-2-752	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	17	19	20
12.1 Civilian personnel benefits	3	4	4
21.0 Travel and transportation of persons	4	7	7
23.3 Communications, utilities, and miscellaneous charges	37	40	42
24.0 Printing and reproduction	15	15	16
25.2 Other services	88	71	72
26.0 Supplies and materials	7	2	2
31.0 Equipment	117	111	113
99.9 Total new obligations	288	269	276

Personnel Summary

Identification code 10-5114-0-2-752	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	18	18	18

ADMINISTRATIVE OFFICE OF THE UNITED STATES COURTS

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Administrative Office of the United States Courts as authorized by law, including travel as authorized by 31 U.S.C. 1345, hire of a passenger motor vehicle as authorized by 31 U.S.C. 1343(b), advertising and rent in the District of Columbia and elsewhere, **[\$61,664,000] \$66,912,000**, of which not to exceed \$8,500 is authorized for official reception and representation expenses.

In addition, upon enactment of S. 1612, "Managerial Flexibility Act of 2001," \$3,947,000. (Judiciary Appropriations Act, 2002; additional authorizing legislation required.)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Salaries and Expenses," \$2,879,000, to remain available until expended, to enhance security at the Thurgood Marshall Federal Judiciary Building, to be obligated from amounts made available in Public Law 107-38.] (Emergency Supplemental Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 10-0927-0-1-752	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Executive direction	1	1	1
00.02 Program direction and policy formulation	7	8	8
00.03 Court administration and defender services	6	4	7
00.04 Facilities and security	4	7	5
00.05 Finance and budget	7	7	8
00.06 Human resources and statistics	12	13	14
00.07 Information technology	1	1	1
00.08 Internal services	25	30	29
00.09 Judges programs	6	7	7
00.10 Probation and pretrial services	3	3	3
09.10 Reimbursable program	33	38	39
10.00 Total new obligations	105	119	122

Budgetary resources available for obligation:

22.00 New budget authority (gross)	105	119	122
23.95 Total new obligations	-105	-119	-122

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	62	69	71
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	43	50	51
70.00 Total new budget authority (gross)	105	119	122

Change in obligated balances:

72.40 Obligated balance, start of year	5	6	7
73.10 Total new obligations	105	119	122
73.20 Total outlays (gross)	-104	-118	-124
74.40 Obligated balance, end of year	6	7	5

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	99	113	117
86.93 Outlays from discretionary balances	5	5	7
87.00 Total outlays (gross)	104	118	124

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-43	-50	-51

Net budget authority and outlays:

89.00 Budget authority	62	69	71
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90.00	Outlays	62	68	73
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Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	58	65	67
90.00	Outlays	58	64	69

The Administrative Office, pursuant to section 604 of Title 28, United States Code, under the supervision and direction of the Judicial Conference of the United States, is responsible for the administration of the U.S. courts, including the probation and bankruptcy systems. The principal functions consist of providing staff and services for the courts; conducting a continuous study of the rules of practice and procedure in the Federal courts; examining the state of dockets of the various courts; compiling and publishing statistical data concerning the business transacted by the courts; and administering the judicial retirement and survivors annuities systems under Title 28, United States Code, sections 178, 376, and 377. The Administrative Office also is responsible for: the preparation and submission of the annual budget estimates as well as supplemental and deficiency estimates; the disbursement of and accounting for moneys appropriated for the operation of the courts, and the Federal Judicial Center; the audit and examination of accounts; the purchase and distribution of supplies and equipment; providing automated data processing services; securing adequate space for occupancy by the courts; and such other matters as may be assigned by the Supreme Court and Judicial Conference of the United States.

Object Classification (in millions of dollars)

Identification code 10-0927-0-1-752	2001 actual	2002 est.	2003 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	51	56	60
11.3	Other than full-time permanent	1	1	1
11.9	Total personnel compensation	52	57	61
12.1	Civilian personnel benefits	14	15	16
21.0	Travel and transportation of persons	1	1	1
23.3	Communications, utilities, and miscellaneous charges	1	1	1
25.2	Other services	3	3	3
31.0	Equipment	1	4	1
99.0	Direct obligations	72	81	83
99.0	Reimbursable obligations	33	38	39
99.9	Total new obligations	105	119	122

Personnel Summary

Identification code 10-0927-0-1-752	2001 actual	2002 est.	2003 est.	
Direct:				
1001	Total compensable workyears: Full-time equivalent employment	656	659	667
Reimbursable:				
2001	Total compensable workyears: Full-time equivalent employment	286	295	295

FEDERAL JUDICIAL CENTER

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Federal Judicial Center, as authorized by Public Law 90-219, **[\$19,735,000] \$21,885,000**; of which \$1,800,000 shall remain available through September 30, **[2003]**

2004, to provide education and training to Federal court personnel; and of which not to exceed \$1,000 is authorized for official reception and representation expenses.

In addition, upon enactment of S. 1612, "Managerial Flexibility Act of 2001," \$605,000. (Judiciary Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 10-0928-0-1-752	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Education and training	13	14	16
00.02	Research	3	3	3
00.03	Program support	3	3	3
10.00	Total new obligations	20	21	23
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	20	21	23
23.95	Total new obligations	-20	-21	-23
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	20	21	23
Change in obligated balances:				
72.40	Obligated balance, start of year	2	2	2
73.10	Total new obligations	20	21	23
73.20	Total outlays (gross)	-20	-21	-23
74.40	Obligated balance, end of year	2	2	2
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	18	19	21
86.93	Outlays from discretionary balances	2	2	2
87.00	Total outlays (gross)	20	21	23
Net budget authority and outlays:				
89.00	Budget authority	20	21	23
90.00	Outlays	20	21	23

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	19	20	22
90.00	Outlays	19	20	22

This appropriation provides for the operation of the Federal Judicial Center pursuant to 28 U.S.C. 620 et. seq. The Center is charged with the responsibility for furthering the development and adoption of improved judicial administration in the courts of the United States.

Object Classification (in millions of dollars)

Identification code 10-0928-0-1-752	2001 actual	2002 est.	2003 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	10	11	12
12.1	Civilian personnel benefits	3	4	4
21.0	Travel and transportation of persons	3	3	4
23.3	Communications, utilities, and miscellaneous charges	1	1	1
25.2	Other services	1	1	1
26.0	Supplies and materials	1	1	1
99.0	Direct obligations	19	21	23
99.5	Below reporting threshold	1		
99.9	Total new obligations	20	21	23

Personnel Summary

Identification code 10-0928-0-1-752	2001 actual	2002 est.	2003 est.	
1001	Total compensable workyears: Full-time equivalent employment	138	147	151

Trust Funds**GIFTS AND DONATIONS, FEDERAL JUDICIAL CENTER FOUNDATION****Unavailable Collections** (in millions of dollars)

Identification code 10-8123-0-7-752	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year		1	1
Receipts:			
02.00 Gifts and donations, Federal Judicial Center Foundation	1	1	1
04.00 Total: Balances and collections	1	2	2
Appropriations:			
05.00 Gifts and donations, Federal Judicial Center Foundation		-1	-1
07.99 Balance, end of year	1	1	1

Program and Financing (in millions of dollars)

Identification code 10-8123-0-7-752	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 99.5)		1	1
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	1
22.00 New budget authority (gross)		1	1
23.90 Total budgetary resources available for obligation	1	2	2
23.95 Total new obligations		-1	-1
24.40 Unobligated balance carried forward, end of year	1	1	1

New budget authority (gross), detail:

Mandatory:			
60.26 Appropriation (trust fund)		1	1

Change in obligated balances:

73.10 Total new obligations		1	1
73.20 Total outlays (gross)		-1	-1

Outlays (gross), detail:

86.97 Outlays from new mandatory authority		1	1
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Net budget authority and outlays:

89.00 Budget authority		1	1
90.00 Outlays		1	1

This schedule reflects funds available to the Federal Judicial Center pursuant to 28 U.S.C. 629, which provides that the purpose of the Federal Judicial Center Foundation shall be to have sole authority to accept and receive gifts of real and personal property and services made for the purpose of aiding or facilitating the work of the Federal Judicial Center and that, gifts of money and proceeds from sales of other property received as gifts shall be deposited in a separate fund in the Treasury of the United States and disbursed on the order of the Director of the Center.

JUDICIAL RETIREMENT FUNDS**PAYMENT TO JUDICIARY TRUST FUNDS**

For payment to the Judicial Officers' Retirement Fund, as authorized by 28 U.S.C. 377(o), **[\$26,700,000]** \$27,700,000; to the Judicial Survivors' Annuities Fund, as authorized by 28 U.S.C. 376(c), **[\$8,400,000]** \$5,200,000; and to the United States Court of Federal Claims Judges' Retirement Fund, as authorized by 28 U.S.C. 178(l), **[\$1,900,000]** \$2,400,000. (*Judiciary Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 10-0941-0-1-752	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Payment to Judicial Officers' Retirement Fund	26	27	28
00.02 Payment to Court of Federal Claims Judges Retirement Fund	2	2	2

00.03 Payment to Judicial Survivors' Annuities Fund	8	8	5
10.00 Total new obligations (object class 12.1)	36	37	35

Budgetary resources available for obligation:

22.00 New budget authority (gross)	36	37	35
23.95 Total new obligations	-36	-37	-35

New budget authority (gross), detail:

Mandatory:			
60.00 Appropriation	36	37	35

Change in obligated balances:

73.10 Total new obligations	36	37	35
73.20 Total outlays (gross)	-36	-37	-35

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	36	37	35
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Net budget authority and outlays:

89.00 Budget authority	36	37	35
90.00 Outlays	36	37	35

This appropriation request would provide funds necessary to pay the retirement annuities of bankruptcy judges and magistrate judges, pursuant to 28 U.S.C. 377, the retirement annuities of the United States Court of Federal Claims judges, pursuant to 28 U.S.C. 178, and annuities to participants' surviving widows and dependent children, pursuant to 28 U.S.C. 376.

Trust Funds**JUDICIAL OFFICERS' RETIREMENT FUND****Unavailable Collections** (in millions of dollars)

Identification code 10-8122-0-7-602	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.40 Interest and profits on investments, judicial officers retirement annuity	4	7	8
02.41 Federal payment to judicial officers retirement fund, BRD	26	27	28
02.99 Total receipts and collections	30	34	36
Appropriations:			
05.00 Judicial officers' retirement fund	-30	-34	-36
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 10-8122-0-7-602	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.10 Judicial Officers Retirement Fund	171	22	25
10.00 Total new obligations (object class 42.0)	171	22	25
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	141		12
22.00 New budget authority (gross)	30	34	36
23.90 Total budgetary resources available for obligation	171	34	48
23.95 Total new obligations	-171	-22	-25
24.40 Unobligated balance carried forward, end of year		12	23

New budget authority (gross), detail:

Mandatory:			
60.26 Appropriation (trust fund)	30	34	36

Change in obligated balances:

72.40 Obligated balance, start of year		152	152
73.10 Total new obligations	171	22	25
73.20 Total outlays (gross)	-19	-22	-25
74.40 Obligated balance, end of year	152	152	152

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	19	22	25
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Net budget authority and outlays:				
89.00	Budget authority	30	34	36
90.00	Outlays	19	22	25
Memorandum (non-add) entries:				
92.01	Total investments, start of year: Federal securities: Par value	140	152	158
92.02	Total investments, end of year: Federal securities: Par value	152	158	160

This fund provides the retirement annuities of bankruptcy judges and magistrate judges pursuant to 28 U.S.C. 377.

JUDICIAL SURVIVORS' ANNUITIES FUND

Unavailable Collections (in millions of dollars)

Identification code 10-8110-0-7-602	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.00 Judicial survivors annuity fund, deductions from employees salaries	5	4	4
02.40 Judicial survivors annuity, interest and profits on investments	29	27	28
02.41 Federal payment to judicial survivors annuities fund	8	8	5
02.99 Total receipts and collections	42	39	37
Appropriations:			
05.00 Judicial survivors' annuities fund	-42	-39	-37
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 10-8110-0-7-602	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.10 Judicial Survivor's Annuity Fund	392	14	14
10.00 Total new obligations (object class 42.0)	392	14	14
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	346	-4	21
22.00 New budget authority (gross)	42	39	37
23.90 Total budgetary resources available for obligation	388	35	58
23.95 Total new obligations	-392	-14	-14
24.40 Unobligated balance carried forward, end of year	-4	21	46

New budget authority (gross), detail:

Mandatory:			
60.26 Appropriation (trust fund)	42	39	37

Change in obligated balances:

72.40 Obligated balance, start of year		378	378
73.10 Total new obligations	392	14	14
73.20 Total outlays (gross)	-14	-14	-15
74.40 Obligated balance, end of year	378	378	378

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	14	14	15
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Net budget authority and outlays:

89.00 Budget authority	42	39	37
90.00 Outlays	14	14	15

Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities: Par value	349	378	394
92.02 Total investments, end of year: Federal securities: Par value	378	394	394

The Judicial Survivors' Annuities Fund (section 376 of title 28, United States Code) was established to receive sums deducted and withheld from salaries of justices, judges, the Director of the Federal Judicial Center, the Director of the Administrative Office of the U.S. Courts, and the Administrative Assistant to the Chief Justice who have elected to bring themselves within the purview of the above section as well as

amounts received from said judicial officers covering Federal civilian service prior to date of election.

This fund provides annuities for participants' surviving widows and dependent children.

UNITED STATES COURT OF FEDERAL CLAIMS JUDGES' RETIREMENT FUND

Unavailable Collections (in millions of dollars)

Identification code 10-8124-0-7-602	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year		1	
Receipts:			
02.40 Federal payment to Court of Federal Claims Judges' Retirement Fund	2	2	2
04.00 Total: Balances and collections	2	3	2
Appropriations:			
05.00 Court of Federal Claims judges' retirement fund	-2	-3	-2
06.10 Unobligated balance returned to receipts	1		
07.99 Balance, end of year	1		

Program and Financing (in millions of dollars)

Identification code 10-8124-0-7-602	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Court of Federal Claims Judges Retirement Fund	11	3	3
10.00 Total new obligations (object class 42.0)	11	3	3

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	9		
22.00 New budget authority (gross)	2	3	2
23.90 Total budgetary resources available for obligation	11	3	2
23.95 Total new obligations	-11	-3	-3

New budget authority (gross), detail:

Mandatory:			
60.26 Appropriation (trust fund)	2	3	2

Change in obligated balances:

72.40 Obligated balance, start of year		9	11
73.10 Total new obligations	11	3	3
73.20 Total outlays (gross)	-1	-1	-1
73.40 Adjustments in expired accounts (net)	-1		
74.40 Obligated balance, end of year	9	11	13

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	1	1	1
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Net budget authority and outlays:

89.00 Budget authority	2	3	2
90.00 Outlays	1	1	1

Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities: Par value	9	9	9
92.02 Total investments, end of year: Federal securities: Par value	9	9	9

This fund provides the retirement annuities of United States Court of Federal Claims judges pursuant to 28 U.S.C. 178.

UNITED STATES SENTENCING COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For the salaries and expenses necessary to carry out the provisions of chapter 58 of title 28, United States Code, [\$11,575,000] \$13,200,000, of which not to exceed \$1,000 is authorized for official reception and representation expenses.

General and special funds—Continued

SALARIES AND EXPENSES—Continued

In addition, upon enactment of S. 1612, “Managerial Flexibility Act of 2001,” \$368,000. (Judiciary Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 10–0938–0–1–752	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	10	13	13
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1
22.00 New budget authority (gross)	10	12	13
23.90 Total budgetary resources available for obligation	11	13	13
23.95 Total new obligations	–10	–13	–13
24.40 Unobligated balance carried forward, end of year	1
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	10	12	13
Change in obligated balances:			
72.40 Obligated balance, start of year	2	2	3
73.10 Total new obligations	10	13	13
73.20 Total outlays (gross)	–10	–12	–13
74.40 Obligated balance, end of year	2	3	3
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	8	9	10
86.93 Outlays from discretionary balances	2	3	3
87.00 Total outlays (gross)	10	12	13
Net budget authority and outlays:			
89.00 Budget authority	10	12	13
90.00 Outlays	10	12	13

The United States Sentencing Commission, an independent agency within the judicial branch, was established pursuant to provisions of the Comprehensive Crime Control Act of 1984 (Public Law 98–473, Title II), as amended. The Commission’s principal purposes are: (1) to establish sentencing policies and practices for the federal courts, including guidelines prescribing the appropriate form and severity of punishment for offenders convicted of federal crimes; (2) to advise and assist Congress and the executive branch in the development of effective and efficient crime policy; and (3) to collect, analyze, and distribute a broad array of information on federal crime and sentencing issues, serving as an information resource for Congress, the executive branch, the courts, criminal justice practitioners, the academic community, and the public.

Object Classification (in millions of dollars)

Identification code 10–0938–0–1–752	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	6	7	8
12.1 Civilian personnel benefits	2	2	2
21.0 Travel and transportation of persons	1	1
25.2 Other services	1	2	2
99.0 Direct obligations	9	12	13
99.5 Below reporting threshold	1	1
99.9 Total new obligations	10	13	13

Personnel Summary

Identification code 10–0938–0–1–752	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	97	103	109

GENERAL PROVISIONS—THE JUDICIARY

SEC. 301. Appropriations and authorizations made in this title which are available for salaries and expenses shall be available for services as authorized by 5 U.S.C. 3109.

SEC. 302. Not to exceed 5 percent of any appropriation made available for the current fiscal year for the Judiciary in this Act may be transferred between such appropriations, but no such appropriation, except “Courts of Appeals, District Courts, and Other Judicial Services, Defender Services” and “Courts of Appeals, District Courts, and Other Judicial Services, Fees of Jurors and Commissioners”, shall be increased by more than 10 percent by any such transfers: *Provided*, That any transfer pursuant to this section shall be treated as a reprogramming of funds under section 605 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section.

SEC. 303. Notwithstanding any other provision of law, the salaries and expenses appropriation for district courts, courts of appeals, and other judicial services shall be available for official reception and representation expenses of the Judicial Conference of the United States: *Provided*, That such available funds shall not exceed \$11,000 and shall be administered by the Director of the Administrative Office of the United States Courts in the capacity as Secretary of the Judicial Conference.

[SEC. 304. Of the unexpended balances transferred to the Commission on Structural Alternatives in Federal Appellate Courts, \$400,000 shall be transferred to, and merged with, funds in the “Federal Judicial Center, Salaries and Expenses” appropriations account to be available only for distance learning.]

SEC. [305] 304. Pursuant to section 140 of Public Law 97–92, justices and judges of the United States are authorized during fiscal year [2002] 2003, to receive a salary adjustment in accordance with 28 U.S.C. 461[; *Provided*, That \$8,625,000 is appropriated for salary adjustments pursuant to this section and such funds shall be transferred to and merged with appropriations in title III of this Act]. (Judiciary Appropriations Act, 2002.)

DEPARTMENT OF AGRICULTURE

OFFICE OF THE SECRETARY

Federal Funds

General and special funds:

OFFICE OF THE SECRETARY

For necessary expenses of the Office of the Secretary of Agriculture, and not to exceed \$75,000 for employment under 5 U.S.C. 3109, **[\$2,992,000] \$36,741,000**, of which \$28,250,000, to remain available until expended, is for building security and other terrorism protection costs; and of which \$5,000,000, to remain available until September 30, 2005, is for funding workforce and organizational streamlining and restructuring activities: *Provided*, That not to exceed \$11,000 of this amount shall be available for official reception and representation expenses, not otherwise provided for, as determined by the Secretary: *Provided further*, That none of the funds appropriated or otherwise made available by this Act may be used to pay the salaries and expenses of personnel of the Department of Agriculture to carry out section 793(c)(1)(C) of Public Law 104-127: *Provided further*, That none of the funds made available by this Act may be used to enforce section 793(d) of Public Law 104-127.

OFFICE OF THE ASSISTANT SECRETARY FOR ADMINISTRATION

For necessary salaries and expenses of the Office of the Assistant Secretary for Administration to carry out the programs funded by this Act, **[\$647,000] \$797,000**.

OFFICE OF THE ASSISTANT SECRETARY FOR CONGRESSIONAL RELATIONS

(INCLUDING TRANSFERS OF FUNDS)

For necessary salaries and expenses of the Office of the Assistant Secretary for Congressional Relations to carry out the programs funded by this Act, including programs involving intergovernmental affairs and liaison within the executive branch, **[\$3,718,000] \$4,222,000**: *Provided*, That these funds may be transferred to agencies of the Department of Agriculture funded by this Act to maintain personnel at the agency level: *Provided further*, That no other funds appropriated to the Department by this Act shall be available to the Department for support of activities of congressional relations.

OFFICE OF THE UNDER SECRETARY FOR RESEARCH, EDUCATION AND ECONOMICS

For necessary salaries and expenses of the Office of the Under Secretary for Research, Education and Economics to administer the laws enacted by the Congress for the Economic Research Service, the National Agricultural Statistics Service, the Agricultural Research Service, and the Cooperative State Research, Education, and Extension Service, **[\$573,000] \$797,000**.

OFFICE OF THE UNDER SECRETARY FOR MARKETING AND REGULATORY PROGRAMS

For necessary salaries and expenses of the Office of the Under Secretary for Marketing and Regulatory Programs to administer programs under the laws enacted by the Congress for the Animal and Plant Health Inspection Service; the Agricultural Marketing Service; and the Grain Inspection, Packers and Stockyards Administration; **[\$654,000] \$797,000**.

OFFICE OF THE UNDER SECRETARY FOR FOOD SAFETY

For necessary salaries and expenses of the Office of the Under Secretary for Food Safety to administer the laws enacted by the Congress for the Food Safety and Inspection Service, **[\$476,000] \$797,000**.

OFFICE OF THE UNDER SECRETARY FOR FARM AND FOREIGN AGRICULTURAL SERVICES

For necessary salaries and expenses of the Office of the Under Secretary for Farm and Foreign Agricultural Services to administer the laws enacted by Congress for the Farm Service Agency, the Foreign Agricultural Service, the Risk Management Agency, and the Commodity Credit Corporation, **[\$606,000] \$923,000**.

OFFICE OF THE UNDER SECRETARY FOR NATURAL RESOURCES AND ENVIRONMENT

For necessary salaries and expenses of the Office of the Under Secretary for Natural Resources and Environment to administer the laws enacted by the Congress for the Forest Service and the Natural Resources Conservation Service, **[\$730,000] \$923,000**.

OFFICE OF THE UNDER SECRETARY FOR RURAL DEVELOPMENT

For necessary salaries and expenses of the Office of the Under Secretary for Rural Development to administer programs under the laws enacted by the Congress for the Rural Housing Service, the Rural Business-Cooperative Service, and the Rural Utilities Service of the Department of Agriculture, **[\$623,000] \$923,000**.

OFFICE OF THE UNDER SECRETARY FOR FOOD, NUTRITION AND CONSUMER SERVICES

For necessary salaries and expenses of the Office of the Under Secretary for Food, Nutrition and Consumer Services to administer the laws enacted by the Congress for the Food and Nutrition Service, **[\$587,000] \$797,000**. (7 U.S.C. 2201-2202; Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

[OFFICE OF THE SECRETARY]

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Office of the Secretary" \$80,919,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (Emergency Supplemental Act, 2002.)

Program and Financing (in millions of dollars)			
Identification code 12-9913-0-1-352	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Office of the Secretary	3	3	36
00.02 Under/Assistant Secretaries	5	9	9
00.03 Infoshare program	3		
00.04 Terrorist response		81	
10.00 Total new obligations	11	93	45
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	14	14	12
22.00 New budget authority (gross)	12	91	45
23.90 Total budgetary resources available for obligation	26	105	57
23.95 Total new obligations	-11	-93	-45
23.98 Unobligated balance expiring or withdrawn	-1		
24.40 Unobligated balance carried forward, end of year	14	12	12
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	44	93	47
41.00 Transferred to other accounts	-32	-2	-2
43.00 Appropriation (total discretionary)	12	91	45
Change in obligated balances:			
72.40 Obligated balance, start of year	16	11	26
73.10 Total new obligations	11	93	45
73.20 Total outlays (gross)	-16	-77	-69
74.40 Obligated balance, end of year	11	26	2
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	11	65	35
86.93 Outlays from discretionary balances	5	12	34
87.00 Total outlays (gross)	16	77	69
Net budget authority and outlays:			
89.00 Budget authority	12	91	45
90.00 Outlays	17	77	69

General and special funds—Continued

[OFFICE OF THE SECRETARY]—Continued

The Office of the Secretary covers the overall planning, coordination, and administration of the Department's programs. This includes the Secretary, Deputy Secretary, Under Secretaries, Assistant Secretaries, and their immediate staffs, who provide top policy guidance for the Department; maintain relationships with agricultural organizations and others in the development of farm programs; and provide liaison with the Executive Office of the President and Members of Congress on all matters pertaining to agricultural policy.

The budget includes continued funding for several security related items funded by the Emergency response fund in response to September 11, 2001. Funding in Office of the Secretary totals \$28 million, of which \$18 million is for Departmental administration for building security in the Washington, D.C., area and other terrorism protection costs, \$5 million is for APHIS and \$5 million is for ARS.

The budget proposes to provide \$5 million in funding for the Department of Agriculture's workforce and organizational streamlining and restructuring efforts. Department of Agriculture agencies requesting use of the funds will provide an approved streamlining or restructuring plan and a cost benefit analysis to the Secretary of Agriculture. The Secretary of Agriculture, in coordination with the Chief Financial Officer, will approve funding. The Secretary of Agriculture, in coordination with the Chief Financial Officer, will be responsible for providing the funding and analyzing the results of streamlining and restructuring efforts. This is in direct support of the President's Management Agenda item on strategic management of human capital.

Funds are available for any of an array of activities that support streamlining and restructuring, such as county office cross-training, collocation expenses, workplace restructuring, development of satellite offices, centralized servicing of payments or loans, etc. In subsequent years, success of implementing streamlining and restructuring plans will be considered in funding new efforts.

Object Classification (in millions of dollars)

Identification code 12-9913-0-1-352	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	6	8	8
12.1 Civilian personnel benefits	3	2	2
25.2 Other services	2	83	35
99.9 Total new obligations	11	93	45

Personnel Summary

Identification code 12-9913-0-1-352	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	53	82	82

FUND FOR RURAL AMERICA

Program and Financing (in millions of dollars)

Identification code 12-0012-0-1-999	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Rural development activities	20		
00.02 Research, extension and education grants	10		
10.00 Total new obligations (object class 41.0)	30		

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	60	60	100
22.00 New budget authority (gross)	30	90	60
23.90 Total budgetary resources available for obligation	90	150	160
23.95 Total new obligations	-30		

23.98 Unobligated balance expiring or withdrawn		-50	-20
24.40 Unobligated balance carried forward, end of year	60	100	140

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation			
40.35 Appropriation deferred			-160
43.00 Appropriation (total discretionary)			-160
Mandatory:			
60.00 Appropriation	120	150	160
60.35 Appropriation deferred	-90	-150	
62.50 Appropriation (total mandatory)	30		160
70.00 Total new budget authority (gross)	30		

Change in obligated balances:

72.40 Obligated balance, start of year	35	34	26
73.10 Total new obligations	30		
73.20 Total outlays (gross)	-30	-8	-11
73.40 Adjustments in expired accounts (net)	-1		
74.40 Obligated balance, end of year	34	26	15

Outlays (gross), detail:

86.90 Outlays from new discretionary authority			-103
86.97 Outlays from new mandatory authority	17		103
86.98 Outlays from mandatory balances	13	8	11
87.00 Total outlays (gross)	30	8	11

Net budget authority and outlays:

89.00 Budget authority	30	90	60
90.00 Outlays	30	8	11

The Federal Agriculture Improvement and Reform Act of 1996 (1996 Act) initially established the Fund for Rural America to provide support to rural communities across the United States.

The 1996 Farm Bill authorized \$100 million for the Fund in each of 1997, 1999, and 2000.

The Agricultural Research, Extension, and Education Reform Act of 1998, P.L. 105-185 extended authorization for the Fund for Rural America through October 1, 2002, but reduced the amount to be available annually for the Fund to \$60 million beginning in 1999.

For 2001, the first year availability of the 2001 appropriation for the Fund for Rural America was blocked. Further, of the \$60 million in 2000 carryover funds, the Secretary was allowed by Congress to spend only \$30 million in 2001. Congress prohibited spending funds in 2002 with the exception of administration and oversight of grants awarded prior to enactment of the 2002 Agriculture Appropriations Act. In 2001, the Secretary allocated \$20 million of the available \$30 million in funding to support rural business grants, outreach for socially disadvantaged producers, farm labor loans and other ongoing rural development activities. Another \$10 million was used to support research, education, and extension proposals.

The 2003 budget proposes blocking the \$100 million in 2001 and 2002 funds available in 2003. The 2003 budget also proposes blocking the availability of the \$60 million in 2003 funding. The specific appropriations language for these funding changes is included as part of the General Provisions.

Personnel Summary

Identification code 12-0012-0-1-999	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	6		

Trust Funds
GIFTS AND BEQUESTS

Unavailable Collections (in millions of dollars)

Identification code 12-8203-0-7-352	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.00 Gifts and bequests	1	1	1
Appropriations:			
05.00 Gifts and bequests	-1	-1	-1
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 12-8203-0-7-352	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 99.5)	1	1	1
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	2	2
22.00 New budget authority (gross)	1	1	1
23.90 Total budgetary resources available for obligation	3	3	3
23.95 Total new obligations	-1	-1	-1
24.40 Unobligated balance carried forward, end of year	2	2	2
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)	1	1	1
Change in obligated balances:			
73.10 Total new obligations	1	1	1
73.20 Total outlays (gross)	-1	-1	-1
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	1	1	1
Net budget authority and outlays:			
89.00 Budget authority	1	1	1
90.00 Outlays	1	1	1

The Secretary is authorized to accept and administer gifts and bequests of real and personal property to facilitate the work of the Department. Property and the proceeds thereof are used in accordance with the terms of the gift or bequest (7 U.S.C. 2269).

EXECUTIVE OPERATIONS

Federal Funds

General and special funds:

EXECUTIVE OPERATIONS

CHIEF ECONOMIST

For necessary expenses of the Chief Economist, including economic analysis, risk assessment, cost-benefit analysis, energy and new uses, and the functions of the World Agricultural Outlook Board, as authorized by the Agricultural Marketing Act of 1946 (7 U.S.C. 1622g), and including employment pursuant to the second sentence of section 706(a) of the Organic Act of 1944 (7 U.S.C. 2225), of which not to exceed \$5,000 is for employment under 5 U.S.C. 3109, **[\$7,704,000]** \$12,508,000.

NATIONAL APPEALS DIVISION

For necessary expenses of the National Appeals Division, including employment pursuant to the second sentence of section 706(a) of the Organic Act of 1944 (7 U.S.C. 2225), of which not to exceed \$25,000 is for employment under 5 U.S.C. 3109, **[\$12,869,000]** \$15,262,000.

OFFICE OF BUDGET AND PROGRAM ANALYSIS

For necessary expenses of the Office of Budget and Program Analysis, including employment pursuant to the second sentence of section

706(a) of the Organic Act of 1944 (7 U.S.C. 2225), of which not to exceed \$5,000 is for employment under 5 U.S.C. 3109, **[\$7,041,000]** 7,888,000. (7 U.S.C. 2201, 2202; 42 U.S.C. 2000d; *Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 12-0705-0-1-352	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Chief Economist	7	8	12
00.03 National Appeals Division	13	14	15
00.04 Budget and program analysis	8	8	8
09.01 Reimbursable program	1	1	1
10.00 Total new obligations	29	31	36
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	29	31	37
23.95 Total new obligations	-29	-31	-36
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	28	30	36
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1	1	1
70.00 Total new budget authority (gross)	29	31	37
Change in obligated balances:			
72.40 Obligated balance, start of year	4	5	5
73.10 Total new obligations	29	31	36
73.20 Total outlays (gross)	-29	-31	-37
73.40 Adjustments in expired accounts (net)	1		
74.40 Obligated balance, end of year	5	5	5
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	25	28	33
86.93 Outlays from discretionary balances	4	3	4
87.00 Total outlays (gross)	29	31	37
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-1	-1	-1
Net budget authority and outlays:			
89.00 Budget authority	28	30	36
90.00 Outlays	29	30	36

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	26	28	34
90.00 Outlays	27	28	34

Executive Operations provides support for USDA policy officials and selected Departmentwide services.

The Office of the Chief Economist advises the Secretary of Agriculture on the economic implications of Department policies and programs and proposed legislation. The Office serves as the single focal point for the Nation's economic intelligence and analysis, risk assessment, and cost-benefit analysis related to domestic and international food and agriculture, provides policy direction for biofuels and new uses, and is responsible for coordination and clearance review of all commodity and aggregate agricultural and food-related data used to develop outlook and situation material within the Department.

WORKLOAD INDICATORS

	2001 actual	2002 est.	2003 est.
World Agricultural Supply and Demand Estimates Reports issued	12	12	12
Weekly Weather and Crop Bulletin issued	52	52	52

General and special funds—Continued

OFFICE OF BUDGET AND PROGRAM ANALYSIS—Continued

The National Appeals Division conducts administrative hearings and reviews of adverse program decisions made by the Farm Service Agency, the Risk Management Agency, the Natural Resources Conservation Service, and the Rural Development mission area.

WORKLOAD INDICATORS

	2001 actual	2002 est.	2003 est.
Regional or National Training		1	1

The Office of Budget and Program Analysis provides overall direction and administration of the Department's budgetary functions including: development, presentation, and execution of the budget; review of program and legislative proposals for programs and budget implications; and analysis of program issues and alternatives and preparation of summaries of pertinent data to aid Departmental policy officials and agency program managers in the decisionmaking process.

Object Classification (in millions of dollars)

Identification code 12-0705-0-1-352	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	18	21	22
12.1 Civilian personnel benefits	5	6	6
21.0 Travel and transportation of persons	1	1	1
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	3	1	4
31.0 Equipment			1
99.0 Direct obligations	28	30	35
99.0 Reimbursable obligations	1	1	1
99.9 Total new obligations	29	31	36

Personnel Summary

Identification code 12-0705-0-1-352	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	230	261	275
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	4	4	4

OFFICE OF THE CHIEF FINANCIAL OFFICER

For necessary expenses of the Office of the Chief Financial Officer, including employment pursuant to the second sentence of section 706(a) of the Organic Act of 1944 (7 U.S.C. 2225), of which not to exceed \$10,000 is for employment under 5 U.S.C. 3109, **[\$5,384,000] \$8,399,000: Provided,** That the Chief Financial Officer shall actively market and expand cross-servicing activities of the National Finance Center. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 12-0014-0-1-352	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	5	5	8
09.01 Reimbursable program	2	2	2
10.00 Total new obligations	7	7	10
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	7	7	10
23.95 Total new obligations	-7	-7	-10
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	5	5	8

Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	1	2	2
68.10 Change in uncollected customer payments from Federal sources (unexpired)	1		
68.90 Spending authority from offsetting collections (total discretionary)	2	2	2
70.00 Total new budget authority (gross)	7	7	10

Change in obligated balances:

72.40 Obligated balance, start of year	1	1	1
73.10 Total new obligations	7	7	10
73.20 Total outlays (gross)	-7	-7	-10
73.40 Adjustments in expired accounts (net)	1		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-1		
74.40 Obligated balance, end of year	1	1	1

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	7	7	10
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Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-1	-2	-2
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-1		

Net budget authority and outlays:

89.00 Budget authority	5	5	8
90.00 Outlays	5	5	8

The Office of the Chief Financial Officer (OCFO) supports the Chief Financial Officer in carrying out the dual roles of chief financial management policy officer and chief financial management advisor to the Secretary and mission area heads. OCFO provides leadership for all financial management, accounting, travel, Federal assistance, and performance measurement activities within the Department. It is responsible for the management and operation of the National Finance Center and the Departmental Working Capital Fund, and provides budget, accounting, and fiscal services to the Office of the Secretary, Departmental Staff Offices, Office of Communications, Office of the Chief Information Officer and Executive Operations.

PERFORMANCE MEASURES

	2001 actual	2002 est.	2003 est.
Achieve an unqualified opinion on the USDA financial statements	TBD	Unqualified	Unqualified
Implement the Foundation financial information system USDA-wide: USDA employees served	78%	98%	100%

Object Classification (in millions of dollars)

Identification code 12-0014-0-1-352	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	3	4	6
12.1 Civilian personnel benefits	1	1	1
25.2 Other services	1		1
99.0 Direct obligations	5	5	8
99.0 Reimbursable obligations	2	2	2
99.9 Total new obligations	7	7	10

Personnel Summary

Identification code 12-0014-0-1-352	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	44	61	78
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	20	14	14

OFFICE OF THE CHIEF INFORMATION OFFICER

For necessary expenses of the Office of the Chief Information Officer, including employment pursuant to the second sentence of section 706(a) of the Organic Act of 1944 (7 U.S.C. 2225), of which not to exceed \$10,000 is for employment under 5 U.S.C. 3109, **[\$10,029,000]** \$31,732,000. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 12-0013-0-1-352	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Office of the Chief Information Officer	10	10	32
00.02 Year 2000 remediation	5		
09.01 Reimbursable program	7	8	2
10.00 Total new obligations	22	18	34
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	-1	
22.00 New budget authority (gross)	17	18	34
22.10 Resources available from recoveries of prior year obligations	1	1	
23.90 Total budgetary resources available for obligation	21	18	34
23.95 Total new obligations	-22	-18	-34
24.40 Unobligated balance carried forward, end of year	-1		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	10	10	31
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	2	8	2
68.10 Change in uncollected customer payments from Federal sources (unexpired)	5		
68.90 Spending authority from offsetting collections (total discretionary)	7	8	2
70.00 Total new budget authority (gross)	17	18	33
Change in obligated balances:			
72.40 Obligated balance, start of year	3	-2	
73.10 Total new obligations	22	18	34
73.20 Total outlays (gross)	-25	-18	-34
73.40 Adjustments in expired accounts (net)	5		
73.45 Recoveries of prior year obligations	-1	-1	
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-5		
74.10 Change in uncollected customer payments from Federal sources (expired)		3	
74.40 Obligated balance, end of year	-2		
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	12	18	33
86.93 Outlays from discretionary balances	13		
87.00 Total outlays (gross)	25	18	34
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-10	-8	-2
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-5		
88.96 Portion of offsetting collections (cash) credited to expired accounts	8		
Net budget authority and outlays:			
89.00 Budget authority	10	10	32
90.00 Outlays	14	10	32

The Clinger-Cohen Act of 1996 required the establishment of a Chief Information Officer (CIO) for major Federal agencies. To meet the intent of the law and to provide a Departmental focus for information resources management issues, Secretary's Memorandum 1030-30, dated August 8, 1996, established the Office of the Chief Information Officer (OCIO). OCIO provides Departmentwide policy guidance, leadership, coordination and direction to the Department's information

management and information technology investment activities in support of USDA program delivery. The Office provides planning guidance and technical assistance for cyber security, implements measures to ensure that technology investments are economical and effective, and implements standards and oversight to promote secure information exchange and technical interoperability.

This office also provides telecommunications and ADP services to USDA agencies through the National Information Technology Center with locations in Ft. Collins, Colorado, and Kansas City, Missouri. Direct ADP operational services are also provided to the Office of the Secretary, Office of the General Counsel, Office of Communications, Office of the Chief Financial Officer, and Executive Operations. OCIO also has direct management responsibility for the information technology component of the Service Center Modernization Initiative (SCMI). This includes the implementation of a common technology infrastructure to replace the outdated and stove-piped systems currently supporting the Farm Service Agency, the Natural Resources Conservation Service, and Rural Development.

Performance Measures

	2001 actual	2002 est.	2003 est.
Ensure all USDA agency critical information systems are Year 2000 compliant and operational (%)	100%	NA	NA
Percent of critical information systems evaluated for vulnerabilities	55%	100%	NA

Object Classification (in millions of dollars)

Identification code 12-0013-0-1-352	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	4	6	7
12.1 Civilian personnel benefits	1	1	2
25.2 Other services	3	2	23
25.3 Other purchases of goods and services from Government accounts	2	1	1
31.0 Equipment	2		
99.0 Direct obligations	12	10	33
99.0 Reimbursable obligations	7	8	1
99.5 Below reporting threshold	3		
99.9 Total new obligations	22	18	34

Personnel Summary

Identification code 12-0013-0-1-352	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	58	70	88
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	5	5	3

COMMON COMPUTING ENVIRONMENT

For necessary expenses to acquire a Common Computing Environment for the Natural Resources Conservation Service, the Farm and Foreign Agricultural Service and Rural Development mission areas for information technology, systems, and services, **[\$59,369,000]** \$133,155,000, to remain available until expended, for the capital asset acquisition of shared information technology systems, including services as authorized by 7 U.S.C. 6915-16 and 40 U.S.C. 1421-28: Provided, That obligation of these funds shall be consistent with the Department of Agriculture Service Center Modernization Plan of the county-based agencies, and shall be with the concurrence of the Department's Chief Information Officer. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.)

General and special funds—Continued

COMMON COMPUTING ENVIRONMENT—Continued

Program and Financing (in millions of dollars)

Identification code 12-0113-0-1-352	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	47	72	133
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		13	
22.00 New budget authority (gross)	59	59	133
23.90 Total budgetary resources available for obligation	59	72	133
23.95 Total new obligations	-47	-72	-133
24.40 Unobligated balance carried forward, end of year	13		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	59	59	133
Change in obligated balances:			
72.40 Obligated balance, start of year		34	9
73.10 Total new obligations	47	72	133
73.20 Total outlays (gross)	-13	-97	-130
74.40 Obligated balance, end of year	34	9	12
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	13	50	112
86.93 Outlays from discretionary balances		47	18
87.00 Total outlays (gross)	13	97	130
Net budget authority and outlays:			
89.00 Budget authority	59	59	133
90.00 Outlays	13	97	130

The Department of Agriculture Reorganization Act of 1994 requires the Secretary of Agriculture to procure and use computer systems in a manner that enhances efficiency, productivity, and client services, and that promotes computer information sharing among agencies of the Department. In addition, the Clinger Cohen Act of 1996 requires USDA to maximize the value of information technology acquisitions to improve the efficiency and effectiveness of USDA programs. Congress passed new legislation in 2000, "The Freedom to E-File Act," that requires agencies to make more services available to the public electronically. The USDA Service Center Modernization Initiative (SCMI) has been working to restructure county field offices, modernize and integrate business approaches and replace the current stove-piped and aging information systems with a modern common computing environment (CCE) that optimizes information sharing, customer service, and staff efficiencies. The funds requested under this account would fund essential capital investments needed to implement the modernization plan. Economies of scale in the procurement and management of information technology systems present compelling arguments for coordinating information technology investments. Without these investments, the Department's ability to provide timely and efficient services will continue to erode and the costs of maintaining the separate, aging systems will increase. The increase of \$70 million is to fund increased costs for information technology investments by the three Service Center agencies (Farm Service Agency, Natural Resources and Conservation Service, and Rural Development). An additional \$4 million is requested to fund ongoing projects necessary to implement the e-gov initiative. In lieu of providing the funding to each individual agency, funding is being provided through the CCE account to encourage increased oversight on expenditures. This change only applies to increased requests for funding. Funding already built into agency budgets to support the CCE remain within each agency's budget. Additional funds in the individual agency budgets will support some CCE investments,

the reengineering of business processes and data acquisition needed to maximize the benefits of this technology.

Performance Measure

	2001 actual	2002 est.	2003 est.
Operational SCMI common computing environment	No	No	Yes
Object Classification (in millions of dollars)			
Identification code 12-0113-0-1-352	2001 actual	2002 est.	2003 est.
Direct obligations:			
25.2 Other services	4	15	77
26.0 Supplies and materials	12		
31.0 Equipment	30	57	56
99.0 Direct obligations	46	72	133
99.5 Below reporting threshold	1		
99.9 Total new obligations	47	72	133

Intragovernmental funds:

WORKING CAPITAL FUND

For the acquisition of plant and capital equipment necessary for financial, administrative, and information technology services of primary benefit to the agencies of the Department of Agriculture, \$21,000,000, to remain available until expended.

Program and Financing (in millions of dollars)

Identification code 12-4609-0-4-352	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Operating expenses:			
09.01 Administration	24	24	25
09.02 Communications	6	5	5
09.03 Finance and management	165	175	180
09.04 Information technology	67	73	74
09.05 Executive secretariat	1	2	2
09.06 Corporate systems	34	37	42
09.09 Subtotal, operating expenses	297	316	328
Purchase of equipment:			
09.12 Finance and management	4	4	9
09.13 Information technology	5	4	9
09.15 Corporate systems	4	10	21
09.19 Subtotal, purchase of equipment	13	18	39
10.00 Total new obligations	310	334	367
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	14	25	25
22.00 New budget authority (gross)	299	334	367
22.10 Resources available from recoveries of prior year obligations	22		
23.90 Total budgetary resources available for obligation	335	359	392
23.95 Total new obligations	-310	-334	-367
24.40 Unobligated balance carried forward, end of year	25	25	25
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation			21
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	272	334	346
68.10 Change in uncollected customer payments from Federal sources (unexpired)	27		
68.90 Spending authority from offsetting collections (total discretionary)	299	334	346
70.00 Total new budget authority (gross)	299	334	367
Change in obligated balances:			
72.40 Obligated balance, start of year	27	-10	-8
73.10 Total new obligations	310	334	367
73.20 Total outlays (gross)	-298	-332	-366
73.45 Recoveries of prior year obligations	-22		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-27		
74.40 Obligated balance, end of year	-10	-8	-7

Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	298	290	322
86.93	Outlays from discretionary balances		42	44
87.00	Total outlays (gross)	298	332	366
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-272	-334	-346
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-27		
Net budget authority and outlays:				
89.00	Budget authority			21
90.00	Outlays	27	-2	20

This fund finances by advances or reimbursements certain central services in the Department of Agriculture, including duplicating and other visual information services, art and graphics, video services, supply, centralized accounting systems, centralized automated data processing systems for payroll, personnel, and related services, voucher payments services, and ADP systems. The National Finance Center's expenses are also funded through this fund. The capital consists of \$400 thousand appropriated (7 U.S.C. 2235), and subsequent appropriations of \$32 million as of September 30, 2001. Earnings are kept at a low level through adjustments in rates charged for services to maintain as nearly as possible the nonprofit nature of the fund.

Twenty-one million dollars is requested to provide for investments in such corporate, financial, information technology, or other systems of general benefit to the Department and its agencies, and for the acquisition of plant and capital equipment necessary for the delivery of financial, administrative, and information technology services of primary benefit to the agencies of the Department of Agriculture.

Object Classification (in millions of dollars)

Identification code 12-4609-0-4-352				
	2001 actual	2002 est.	2003 est.	
Personnel compensation:				
11.1	Full-time permanent	96	107	110
11.3	Other than full-time permanent	2	2	2
11.5	Other personnel compensation	5	3	4
11.9	Total personnel compensation	103	112	116
12.1	Civilian personnel benefits	30	32	33
21.0	Travel and transportation of persons	2	2	2
22.0	Transportation of things	1	1	1
23.1	Rental payments to GSA	3	5	5
23.2	Rental payments to others	2	2	2
23.3	Communications, utilities, and miscellaneous charges	34	38	38
24.0	Printing and reproduction	1	2	2
25.2	Other services	107	112	119
26.0	Supplies and materials	8	8	8
31.0	Equipment	19	20	41
99.9	Total new obligations	310	334	367

Personnel Summary

Identification code 12-4609-0-4-352				
	2001 actual	2002 est.	2003 est.	
2001	Total compensable workyears: Full-time equivalent employment	2,006	2,100	2,100

DEPARTMENTAL ADMINISTRATION

Federal Funds

General and special funds:

DEPARTMENTAL ADMINISTRATION
(INCLUDING TRANSFERS OF FUNDS)

For Departmental Administration, **[\$37,079,000]** \$48,542,000, to provide for necessary expenses for management support services to

offices of the Department and for general administration and disaster management of the Department, repairs and alterations, and other miscellaneous supplies and expenses not otherwise provided for and necessary for the practical and efficient work of the Department, including employment pursuant to the second sentence of section 706(a) of the Organic Act of 1944 (7 U.S.C. 2225), of which not to exceed \$10,000 is for employment under 5 U.S.C. 3109: *Provided*, That this appropriation shall be reimbursed from applicable appropriations in this Act for travel expenses incident to the holding of hearings as required by 5 U.S.C. 551-558. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 12-0120-0-1-352		2001 actual	2002 est.	2003 est.
Obligations by program activity:				
00.08	Direct program	38	39	48
09.01	Reimbursable program	15	16	16
10.00	Total new obligations	53	55	64
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	53	55	64
23.95	Total new obligations	-53	-55	-64
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	38	39	48
Spending authority from offsetting collections:				
68.00	Offsetting collections (cash)	8	16	16
68.10	Change in uncollected customer payments from Federal sources (unexpired)	7		
68.90	Spending authority from offsetting collections (total discretionary)	15	16	16
70.00	Total new budget authority (gross)	53	55	64
Change in obligated balances:				
72.40	Obligated balance, start of year	-13	-2	
73.10	Total new obligations	53	55	64
73.20	Total outlays (gross)	-55	-53	-62
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-7		
74.10	Change in uncollected customer payments from Federal sources (expired)	20		
74.40	Obligated balance, end of year	-2		
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	44	53	59
86.93	Outlays from discretionary balances	11		2
87.00	Total outlays (gross)	55	53	62
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-18	-16	-16
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-7		
88.96	Portion of offsetting collections (cash) credited to expired accounts	10		
Net budget authority and outlays:				
89.00	Budget authority	38	39	48
90.00	Outlays	37	38	45

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	36	37	46
90.00	Outlays	35	36	43

Departmental Administration is comprised of activities that provide staff support to top policy officials and overall direction and coordination of the Department. These activities include Department-wide programs for human resource man-

General and special funds—Continued

DEPARTMENTAL ADMINISTRATION—Continued

(INCLUDING TRANSFERS OF FUNDS)—Continued

agement, ethics, occupational safety and health management, real and personal property management, procurement, contracting, motor vehicle and aircraft management, supply management, civil rights and equal opportunity, participation of small and disadvantaged businesses, and socially disadvantaged farmers and ranchers in the Department's program activities, emergency preparedness, and the regulatory hearing and administrative proceedings conducted by the Administrative Law Judges, Judicial Officer, and Board of Contract Appeals.

Departmental Administration is also responsible for representing USDA in the development of government-wide policies and initiatives; analyzing the impact of government-wide trends and developing appropriate USDA principles, policies, and standards. In addition, Departmental Administration engages in strategic planning and evaluating programs to ensure USDA-wide compliance with applicable laws, rules, and regulations pertaining to administrative matters for the Secretary and general officers of the Department.

Object Classification (in millions of dollars)

Identification code 12-0120-0-1-352	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	21	27	30
12.1 Civilian personnel benefits	6	7	8
21.0 Travel and transportation of persons	1		
23.1 Rental payments to GSA			4
23.3 Communications, utilities, and miscellaneous charges	1		
25.2 Other services	3	2	3
25.3 Other purchases of goods and services from Government accounts	3	2	2
26.0 Supplies and materials	1		
31.0 Equipment	1		
99.0 Direct obligations	37	38	47
99.0 Reimbursable obligations	15	15	15
99.5 Below reporting threshold	1	2	2
99.9 Total new obligations	53	55	64

Personnel Summary

Identification code 12-0120-0-1-352	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	312	376	398
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	60	30	57

HAZARDOUS MATERIALS MANAGEMENT

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses of the Department of Agriculture, to comply with the Comprehensive Environmental Response, Compensation, and Liability Act, 42 U.S.C. 9601 et seq., and the Resource Conservation and Recovery Act, 42 U.S.C. 6901 et seq., **[\$15,665,000] \$15,744,000**, to remain available until expended: *Provided*, That appropriations and funds available herein to the Department for Hazardous Materials Management may be transferred to any agency of the Department for its use in meeting all requirements pursuant to the above Acts on Federal and non-Federal lands. (42 U.S.C. 6961, et seq., 42 U.S.C. 9601, et seq.; Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 12-0500-0-1-304	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	18	16	16
10.00 Total new obligations	18	16	16
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	1
22.00 New budget authority (gross)	16	16	16
22.10 Resources available from recoveries of prior year obligations	3		
23.90 Total budgetary resources available for obligation	20	17	17
23.95 Total new obligations	-18	-16	-16
24.40 Unobligated balance carried forward, end of year	1	1	1
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	16	16	16
Change in obligated balances:			
72.40 Obligated balance, start of year	22	18	17
73.10 Total new obligations	18	16	16
73.20 Total outlays (gross)	-19	-18	-18
73.45 Recoveries of prior year obligations	-3		
74.40 Obligated balance, end of year	18	17	15
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	15	14	14
86.93 Outlays from discretionary balances	4	4	3
87.00 Total outlays (gross)	19	18	18
Net budget authority and outlays:			
89.00 Budget authority	16	16	16
90.00 Outlays	19	18	18

Under the Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) and the Resource Conservation and Recovery Act (RCRA), the Department has the responsibility to meet the same standards for storage and disposition of hazardous wastes as private businesses. Since the Department has substantial commitments under these Acts, a central fund has been established so that resources may be allocated to the Department's agencies. Allocations are made according to objective criteria.

PERFORMANCE INDICATORS

	2001 actual	2002 est.	2003 est.
Number of sites assessed/characterized on need for cleanup	153	109	68
Number of cleanup plans	65	64	59
Number of non-mine CERCLA cleanups	32	7	23
Number of mine CERCLA cleanups	15	10	25
Number of UST and other RCRA cleanups	70	15	6
Number of agreements reached with potentially responsible parties (PRPs)	19	10	10
Estimated value of cleanup/restoration work performed by PRP's (\$ millions)	12	10	5

Object Classification (in millions of dollars)

Identification code 12-0500-0-1-304	2001 actual	2002 est.	2003 est.
Direct obligations:			
25.1 Advisory and assistance services	1		
25.2 Other services	16	15	15
99.0 Direct obligations	17	15	15
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	18	16	16

Personnel Summary

Identification code 12-0500-0-1-304	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	6	6	6

AGRICULTURE BUILDINGS AND FACILITIES [AND RENTAL PAYMENTS]
[(INCLUDING TRANSFERS OF FUNDS)]

[For payment of space rental and related costs pursuant to Public Law 92-313, including authorities pursuant to the 1984 delegation of authority from the Administrator of General Services to the Department of Agriculture under 40 U.S.C. 486, for programs and activities of the Department which are included in this Act, and for alterations and other actions needed for the Department and its agencies to consolidate unneeded space into configurations suitable for release to the Administrator of General Services, and for] For the operation, maintenance, improvement, and repair of Agriculture buildings and facilities, and for related costs, [\$187,647,000] \$70,992,000, to remain available until expended[: Provided, That the Secretary of Agriculture may transfer a share of that agency's appropriation made available by this Act to this appropriation, or may transfer a share of this appropriation to that agency's appropriation to cover the costs of new or replacement space for such agency, but such transfers shall not exceed 5 percent of the funds made available for space rental and related costs to or from this account]. (7 U.S.C. 2201, 2202, 2208; Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 12-0117-0-1-352	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Rental payments to GSA: Non-recurring repairs	120	130
00.02 Building operations and maintenance	30	31	37
00.04 Strategic space plan	24	26	34
09.02 Reimbursable program	-1	1	1
10.00 Total new obligations	173	188	72
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	16	25	25
22.00 New budget authority (gross)	183	189	72
22.10 Resources available from recoveries of prior year obligations	1
23.90 Total budgetary resources available for obligation	200	214	97
23.95 Total new obligations	-173	-188	-72
24.40 Unobligated balance carried forward, end of year	25	25	25
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	183	188	70
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	-1	1	1
70.00 Total new budget authority (gross)	182	189	71
Change in obligated balances:			
72.40 Obligated balance, start of year	17	46
73.10 Total new obligations	173	188	72
73.20 Total outlays (gross)	-142	-235	-72
73.45 Recoveries of prior year obligations	-1
74.40 Obligated balance, end of year	46
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	125	187	70
86.93 Outlays from discretionary balances	17	47	2
87.00 Total outlays (gross)	142	235	72
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	1	-1	-1
Net budget authority and outlays:			
89.00 Budget authority	184	188	71
90.00 Outlays	144	234	71

Beginning in 2003, GSA rental payments and related costs will be funded in the budgets of the respective agencies instead of a central account.

WORKLOAD INDICATORS

	2001 actual	2002 est.	2003 est.
Maintenance and Repairs:			
Minor repairs (number)	402	400	400
Maintenance (thousands of hours)	19,570	19,500	19,500
Service calls (thousands)	11,060	11,000	11,000

Object Classification (in millions of dollars)

Identification code 12-0117-0-1-352	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	5	6	6
12.1 Civilian personnel benefits	1	1	2
23.1 Rental payments to GSA	121	130	3
23.3 Communications, utilities, and miscellaneous charges	4	5	5
25.2 Other services	43	45	55
99.0 Direct obligations	174	187	71
99.0 Reimbursable obligations	-1	1	1
99.9 Total new obligations	173	188	72

Personnel Summary

Identification code 12-0117-0-1-352	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	79	88	94

OUTREACH FOR SOCIALLY DISADVANTAGED FARMERS

For grants and contracts pursuant to section 2501 of the Food, Agriculture, Conservation, and Trade Act of 1990 (7 U.S.C. 2279), \$3,243,000, to remain available until expended. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 12-0601-0-1-351	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	3	3	3
10.00 Total new obligations (object class 41.0)	3	3	3
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	3	3	3
23.95 Total new obligations	-3	-3	-3
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	3	3	3
Change in obligated balances:			
72.40 Obligated balance, start of year	1	2	2
73.10 Total new obligations	3	3	3
73.20 Total outlays (gross)	-2	-3	-3
74.40 Obligated balance, end of year	2	2	2
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	3	3
86.93 Outlays from discretionary balances	2
87.00 Total outlays (gross)	2	3	3
Net budget authority and outlays:			
89.00 Budget authority	3	3	3
90.00 Outlays	2	3	3

This account finances expenses associated with the operation, maintenance, repair and improvement of buildings and facilities in the Headquarters area and related costs such as rental of space to house functions displaced during modernization of these facilities.

Outreach for Socially Disadvantaged Farmers Grants.—This program is authorized under section 2501 of Title XXV of the Food, Agriculture, Conservation, and Trade Act of 1990. Section 2501 requires the Secretary of Agriculture to provide outreach and technical assistance to encourage and assist

General and special funds—Continued

OUTREACH FOR SOCIALLY DISADVANTAGED FARMERS—Continued

socially disadvantaged farmers and ranchers to own and operate farms and ranches and to participate in agricultural programs.

The Secretary may make grants to and enter into contracts and other agreements with eligible community-based organizations, 1890/1862/1994 Land-Grant Institutions, Tuskegee University, Native American Community Colleges and Hispanic Servicing Institutions with demonstrated experience in providing education or other agriculture-related services to socially disadvantaged farmers and ranchers.

The USDA will provide outreach, training, and technical assistance on sound farm management and production, crop diversification, marketing practices, farm accounting, and recordkeeping. The overall objective of the program is to enhance the ability of socially disadvantaged producers to operate a farming or ranching enterprise independently and produce income to service an adequate standard of living. Services are provided by non-federal employees who are employed by the entities.

WORKLOAD INDICATOR

	2001 actual	2002 est.	2003 est.
Number of grants	28	30	30

OFFICE OF COMMUNICATIONS

Federal Funds

General and special funds:

OFFICE OF COMMUNICATIONS

For necessary expenses to carry on services relating to the coordination of programs involving public affairs, for the dissemination of agricultural information, and the coordination of information, work, and programs authorized by Congress in the Department, **[\$8,894,000]** \$10,153,000, including employment pursuant to the second sentence of section 706(a) of the Organic Act of 1944 (7 U.S.C. 2225), of which not to exceed \$10,000 shall be available for employment under 5 U.S.C. 3109, and not to exceed \$2,000,000 may be used for farmers' bulletins. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 12-0150-0-1-352	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Public affairs	10	10	11
09.01 Reimbursable program			1
10.00 Total new obligations	10	10	12
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	11	11	12
23.95 Total new obligations	-10	-10	-12
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	10	10	11
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1	1	1
70.00 Total new budget authority (gross)	10	11	12
Change in obligated balances:			
72.40 Obligated balance, start of year	-1	-2	
73.10 Total new obligations	10	10	12
73.20 Total outlays (gross)	-10	-10	-10
74.40 Obligated balance, end of year	-2		
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	10	10	11
86.93 Outlays from discretionary balances	1	1	1

87.00 Total outlays (gross)	10	10	10
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-1	-1	-1
Net budget authority and outlays:			
89.00 Budget authority	10	10	11
90.00 Outlays	10	9	9

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	9	9	10
90.00 Outlays	9	8	8

Public affairs.—This office provides general direction, leadership, and coordination of the Department's information program. The major objective is to provide a balanced and useful information program that reports on USDA's research, administrative action, and regulatory activities using all communications media in order to enable the general public and the agricultural industry to have a better understanding of agriculture's services to farmers and to society.

PERFORMANCE MEASURES

	2001 actual	2002 est.	2003 est.
Random surveys of selected communications initiatives reveal that intended audience received the material or information distributed	95%	95%	95%

Object Classification (in millions of dollars)

Identification code 12-0150-0-1-352	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	7	6	7
12.1 Civilian personnel benefits	2	2	2
25.2 Other services	1	1	2
99.0 Direct obligations	10	9	11
99.0 Reimbursable obligations		1	1
99.9 Total new obligations	10	10	12

Personnel Summary

Identification code 12-0150-0-1-352	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	78	90	90

OFFICE OF THE INSPECTOR GENERAL

Federal Funds

General and special funds:

OFFICE OF THE INSPECTOR GENERAL

For necessary expenses of the Office of the Inspector General, including employment pursuant to the second sentence of section 706(a) of the Organic Act of 1944 (7 U.S.C. 2225), and the Inspector General Act of 1978, **[\$70,839,000]** \$87,109,000, including such sums as may be necessary for contracting and other arrangements with public agencies and private persons pursuant to section 6(a)(9) of the Inspector General Act of 1978, including not to exceed \$50,000 for employment under 5 U.S.C. 3109; and including not to exceed \$125,000 for certain confidential operational expenses, including the payment of informants, to be expended under the direction of the Inspector General pursuant to Public Law 95-452 and section 1337 of Public Law 97-98. (*7 U.S.C. 450b, 2201, 2202, 2220, 2270; Public Law 100-504; Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 12-0900-0-1-352	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	73	76	87
09.01 Reimbursable program	2	2	2
10.00 Total new obligations	75	78	89
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	1	
22.00 New budget authority (gross)	76	78	89
23.90 Total budgetary resources available for obligation	78	79	89
23.95 Total new obligations	-75	-78	-89
23.98 Unobligated balance expiring or withdrawn	-1		
24.40 Unobligated balance carried forward, end of year	1		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	74	76	87
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	2	2	2
70.00 Total new budget authority (gross)	76	78	89
Change in obligated balances:			
72.40 Obligated balance, start of year	5	5	6
73.10 Total new obligations	75	78	89
73.20 Total outlays (gross)	-80	-77	-94
73.40 Adjustments in expired accounts (net)	4		
74.10 Change in uncollected customer payments from Federal sources (expired)	1		
74.40 Obligated balance, end of year	5	6	
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	76	71	81
86.93 Outlays from discretionary balances	6	6	13
87.00 Total outlays (gross)	80	77	94
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-2	-2	-2
Net budget authority and outlays:			
89.00 Budget authority	76	76	87
90.00 Outlays	78	75	92

Identification code 12-0900-0-1-352	2001 actual	2002 est.	2003 est.
89.00 Budget authority	76	76	87
90.00 Outlays	78	75	92

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

Identification code 12-0900-0-1-352	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	71	71	82
90.00 Outlays	73	70	87

The Office keeps the Secretary and Congress informed about fraud, other serious problems, mismanagement, and deficiencies in Department programs and operations, recommends corrective action, and reports on the progress made in correcting the problems. It reviews existing and proposed legislation and regulations and makes recommendations to the Secretary and Congress regarding the impact these laws have on the Department's programs and the prevention and detection of fraud and mismanagement in such programs. The Office provides policy direction and conducts, supervises, and coordinates all audits and investigations. The office supervises and coordinates other activities in the Department and between the Department and other Federal, State and local government agencies whose purposes are to: (a) promote economy and efficiency; (b) prevent and detect fraud and mismanagement; and (c) identify and prosecute people involved in fraud or mismanagement.

Object Classification (in millions of dollars)

Identification code 12-0900-0-1-352	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	42	43	45
11.5 Other personnel compensation	4	4	4
11.9 Total personnel compensation	46	47	49
12.1 Civilian personnel benefits	17	18	19
21.0 Travel and transportation of persons	5	5	6
23.1 Rental payments to GSA			4
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	2	2	5
25.3 Other purchases of goods and services from Government accounts	1	1	1
99.0 Direct obligations	67	74	85
99.0 Reimbursable obligations	2	2	2
99.5 Below reporting threshold	1	2	2
99.9 Total new obligations	75	78	89

Personnel Summary

Identification code 12-0900-0-1-352	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	650	723	723

OFFICE OF THE GENERAL COUNSEL

Federal Funds

General and special funds:

OFFICE OF THE GENERAL COUNSEL

For necessary expenses of the Office of the General Counsel, **[\$32,627,000] \$39,841,000.** (7 U.S.C. 2201; 2202, 2214a; Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 12-2300-0-1-352	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	34	35	40
09.00 Reimbursable program	1	1	1
10.00 Total new obligations	35	36	41
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	35	36	41
23.95 Total new obligations	-35	-36	-41
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	34	35	40
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1	1	1
70.00 Total new budget authority (gross)	35	36	41
Change in obligated balances:			
72.40 Obligated balance, start of year	2	2	2
73.10 Total new obligations	35	36	41
73.20 Total outlays (gross)	-33	-36	-41
74.40 Obligated balance, end of year	2	2	2
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	33	34	39
86.93 Outlays from discretionary balances		2	2
87.00 Total outlays (gross)	33	36	41
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-1	-1	-1

General and special funds—Continued

OFFICE OF THE GENERAL COUNSEL—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 12-2300-0-1-352	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	34	35	40
90.00 Outlays	32	35	40
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	32	33	37
90.00 Outlays	30	33	37

The Office of the General Counsel of the Department of Agriculture provides all legal advice, counsel, and services to the Secretary and to all agencies, offices, and corporations of the Department on all aspects of their operations. It represents the Department in administrative proceedings; non-litigation debt collection proceedings; state water rights adjudications; proceedings before the Environmental Protection Agency, Interstate Commerce Commission, Federal Maritime Administration and International Trade Commission; and, in conjunction with the Department of Justice, in judicial proceedings and litigation. All attorneys and related support personnel devoted to those efforts are under the supervision of the General Counsel.

Object Classification (in millions of dollars)

Identification code 12-2300-0-1-352	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	24	25	27
12.1 Civilian personnel benefits	7	8	9
23.1 Rental payments to GSA			1
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	1	1	1
31.0 Equipment	1		1
99.0 Direct obligations	34	35	40
99.0 Reimbursable obligations	1	1	1
99.9 Total new obligations	35	36	41

Personnel Summary

Identification code 12-2300-0-1-352	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	312	338	352
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	7	7	7

ECONOMIC RESEARCH SERVICE

Federal Funds

General and special funds:

ECONOMIC RESEARCH SERVICE

For necessary expenses of the Economic Research Service in conducting economic research and analysis, as authorized by the Agricultural Marketing Act of 1946 (7 U.S.C. 1621-1627) and other laws, **[\$67,200,000] \$82,032,000: Provided,** That this appropriation shall be available for employment pursuant to the second sentence of section 706(a) of the Organic Act of 1944 (7 U.S.C. 2225). (7 U.S.C. 292, 411, 427, 1441a, 1704, 1761-68, 2201, 2202, 3103, 3291, 3311, 3504; 22 U.S.C. 3101; 42 U.S.C. 1891-93; 44 U.S.C. 3501-11; 50

U.S.C. 2061 et seq., 2251 et seq.; Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 12-1701-0-1-352	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	69	70	82
09.00 Reimbursable program	2	3	3
10.00 Total new obligations	71	73	85
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	71	73	85
23.95 Total new obligations	-71	-73	-85
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	70	70	82
41.00 Transferred to other accounts	-1		
43.00 Appropriation (total discretionary)	69	70	82
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	2	3	3
70.00 Total new budget authority (gross)	71	73	85
Change in obligated balances:			
72.40 Obligated balance, start of year	28	26	26
73.10 Total new obligations	71	73	85
73.20 Total outlays (gross)	-71	-73	-85
74.40 Obligated balance, end of year	26	26	26
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	55	64	75
86.93 Outlays from discretionary balances	16	9	9
87.00 Total outlays (gross)	71	73	85
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-2	-3	-3
Net budget authority and outlays:			
89.00 Budget authority	69	70	82
90.00 Outlays	69	70	82
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	66	67	79
90.00 Outlays	66	67	79

The Economic Research Service provides economic and other social science research and analysis for public and private decisions on agriculture, food, natural resources, and rural America.

Miscellaneous funds received from States, local organizations, and others are available for support of economic research and analysis (7 U.S.C. 450b, 450h, 3318b).

The 2003 request includes funding for costs associated with the Economic Research Service's share of reengineering the Agricultural Resource Management Survey and for an initiative on the effects of invasive pests and diseases on the global competitiveness of U.S. agriculture.

Object Classification (in millions of dollars)

Identification code 12-1701-0-1-352	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	35	37	38
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	36	38	39
12.1 Civilian personnel benefits	10	10	10

21.0	Travel and transportation of persons	1	1	1
23.1	Rental payments to GSA			6
23.3	Communications, utilities, and miscellaneous charges	1	1	1
25.2	Other services	2	3	4
25.3	Other purchases of goods and services from Government accounts	7	5	8
25.5	Research and development contracts	8	8	9
26.0	Supplies and materials	1	1	1
31.0	Equipment	1	1	1
41.0	Grants, subsidies, and contributions	2	2	2
99.0	Direct obligations	69	70	82
99.0	Reimbursable obligations	1	2	2
99.5	Below reporting threshold	1	1	1
99.9	Total new obligations	71	73	85

Personnel Summary

Identification code 12-1701-0-1-352	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	489	519	523
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	2	3	3

NATIONAL AGRICULTURAL STATISTICS SERVICE

Federal Funds

General and special funds:

NATIONAL AGRICULTURAL STATISTICS SERVICE

For necessary expenses of the National Agricultural Statistics Service in conducting statistical reporting and service work, including crop and livestock estimates, statistical coordination and improvements, marketing surveys, and the Census of Agriculture, as authorized by 7 U.S.C. 1621-1627, Public Law 105-113, and other laws, **[\$113,786,000] \$149,069,000**, of which up to **[\$25,350,000] \$42,291,000** shall be available until expended for the Census of Agriculture: *Provided*, That this appropriation shall be available for employment pursuant to the second sentence of section 706(a) of the Organic Act of 1944 (7 U.S.C. 2225), and not to exceed \$40,000 shall be available for employment under 5 U.S.C. 3109. (7 U.S.C. 411, 411a, 411b, 427, 471, 475, 476, 501, 951, 953, 955-57, 1621-27, 2201, 2202, 2204, 2225, 2248, 3103, 3311, 3504; 18 U.S.C. 1902, 1905, 2072; 42 U.S.C. 1891-93; 44 U.S.C. 3501-11; 50 U.S.C. 2061 et seq., 2251 et seq.; Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 12-1801-0-1-352	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Agricultural estimates	85	88	101
00.02 Statistical research and service	4	4	5
00.03 Census of Agriculture	16	27	43
09.01 Reimbursable program	11	11	11
10.00 Total new obligations	116	130	160
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		1	
22.00 New budget authority (gross)	117	131	160
23.90 Total budgetary resources available for obligation	117	132	160
23.95 Total new obligations	-116	-130	-160
24.40 Unobligated balance carried forward, end of year	1		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	106	119	149
Mandatory:			
60.26 Appropriation (trust fund)	1	1	1

Discretionary:				
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	11	11	11
70.00	Total new budget authority (gross)	118	131	161
Change in obligated balances:				
72.40	Obligated balance, start of year	10	9	11
73.10	Total new obligations	116	130	160
73.20	Total outlays (gross)	-120	-129	-156
73.40	Adjustments in expired accounts (net)	2		
74.40	Obligated balance, end of year	9	11	16

Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	113	117	144
86.93	Outlays from discretionary balances	6	11	11
86.97	Outlays from new mandatory authority		1	1
87.00	Total outlays (gross)	120	129	156

Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-8	-8	-8
88.40	Non-Federal sources	-3	-3	-3
88.90	Total, offsetting collections (cash)	-11	-11	-11

Net budget authority and outlays:				
89.00	Budget authority	107	120	149
90.00	Outlays	109	118	145

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	102	115	144
90.00	Outlays	104	113	140

Agricultural estimates.—The Service provides the official National and State estimates of acreage, yield, and production of crops, stocks, and value of farm commodities, and numbers of inventory values of livestock items. Data on approximately 120 crops and 45 livestock products are covered in nearly 400 reports issued each year. Detailed data are also collected on agricultural chemical use, labor, and expenditures. In addition, the Census of Agriculture is conducted every five years which provides comprehensive data on the Nation's agricultural industry down to the county level.

The work under this activity is conducted through 46 field offices serving the 50 States and Puerto Rico; most of these offices are operated as joint State and Federal services. Cooperative arrangements with State agencies provide additional State and county data. The 2003 program includes a reengineered Agricultural Resource Management Survey, the development of a locality based agricultural county estimates/small area estimation program, e-government data dissemination and electronic data reporting and enhancements for improvements to the computer security architecture.

Statistical research and service.—This activity is designed to improve the statistical methods and related technologies by improving sample survey designs and procedures and by testing new forecasting and estimating techniques, such as the use of remote sensing and geographic information systems.

Census of Agriculture.—The Census of Agriculture is conducted every five years. A proposed increase of \$16 million reflects funding of cyclical activities associated with labeling, mailing, processing and analysis for the 2002 Census of Agriculture.

Miscellaneous funds received from local organizations, commodity groups, and others are available for dissemination of reports and for survey work conducted under cooperative agreements (7 U.S.C. 450b, 450h, 3318b).

General and special funds—Continued

NATIONAL AGRICULTURAL STATISTICS SERVICE—Continued

Object Classification (in millions of dollars)

Identification code 12-1801-0-1-352	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	54	59	74
11.5 Other personnel compensation	1	1	2
11.9 Total personnel compensation	55	60	76
12.1 Civilian personnel benefits	18	20	23
21.0 Travel and transportation of persons	2	2	2
23.1 Rental payments to GSA			3
23.3 Communications, utilities, and miscellaneous charges	4	3	6
24.0 Printing and reproduction		4	
25.2 Other services	17	17	17
25.3 Other purchases of goods and services from Government accounts	5	6	13
25.7 Operation and maintenance of equipment	1	1	1
26.0 Supplies and materials	1	1	2
31.0 Equipment	1	4	4
99.0 Direct obligations	104	118	147
99.0 Reimbursable obligations	10	10	10
99.5 Below reporting threshold	2	2	3
99.9 Total new obligations	116	130	160

Personnel Summary

Identification code 12-1801-0-1-352	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	1,009	1,082	1,252
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	104	106	106

AGRICULTURAL RESEARCH SERVICE

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses to enable the Agricultural Research Service to perform agricultural research and demonstration relating to production, utilization, marketing, and distribution (not otherwise provided for); home economics or nutrition and consumer use including the acquisition, preservation, and dissemination of agricultural information; and for acquisition of lands by donation, exchange, or purchase at a nominal cost not to exceed \$100, and for land exchanges where the lands exchanged shall be of equal value or shall be equalized by a payment of money to the grantor which shall not exceed 25 percent of the total value of the land or interests transferred out of Federal ownership, **[\$979,464,000] \$1,014,086,000: Provided,** That appropriations hereunder shall be available for temporary employment pursuant to the second sentence of section 706(a) of the Organic Act of 1944 (7 U.S.C. 2225), and not to exceed \$115,000 shall be available for employment under 5 U.S.C. 3109: *Provided further,* That appropriations hereunder shall be available for the operation and maintenance of aircraft and the purchase of not to exceed one for replacement only: *Provided further,* That appropriations hereunder shall be available pursuant to 7 U.S.C. 2250 for the construction, alteration, and repair of buildings and improvements, but unless otherwise provided, the cost of constructing any one building shall not exceed \$375,000, except for headhouses or greenhouses which shall each be limited to \$1,200,000, and except for 10 buildings to be constructed or improved at a cost not to exceed \$750,000 each, and the cost of altering any one building during the fiscal year shall not exceed 10 percent of the current replacement value of the building or \$375,000, whichever is greater: *Provided further,* That the limitations on alterations contained in this Act shall not apply to modernization or replacement of existing facilities at Beltsville, Maryland: *Provided further,* That appropriations hereunder shall be available for granting easements at the Beltsville Agricultural Research Center,

including an easement to the University of Maryland to construct the Transgenic Animal Facility which upon completion shall be accepted by the Secretary as a gift: *Provided further,* That the foregoing limitations shall not apply to replacement of buildings needed to carry out the Act of April 24, 1948 (21 U.S.C. 113a): *Provided further,* That funds may be received from any State, other political subdivision, organization, or individual for the purpose of establishing or operating any research facility or research project of the Agricultural Research Service, as authorized by law.

None of the funds in the foregoing paragraph shall be available to carry out research related to the production, processing or marketing of tobacco or tobacco products.

In fiscal year **[2002] 2003,** the agency is authorized to charge fees, commensurate with the fair market value, for any permit, easement, lease, or other special use authorization for the occupancy or use of land and facilities (including land and facilities at the Beltsville Agricultural Research Center) issued by the agency, as authorized by law, and such fees shall be credited to this account, and shall remain available until expended for authorized purposes. (7 U.S.C. 328, 427, 427i, 1281 note, 1621, 2201, 2204, 2225, 2250, 3101 note; 10 U.S.C. 2306; 16 U.S.C. 590(a)-590(b), 590(k); 18 U.S.C. 1114; 19 U.S.C. 1306(a), 1306(c); 20 U.S.C. 191-194; 21 U.S.C. 113a, 114c, 114e-131; 42 U.S.C. 1476(e), 1483; *Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Salaries and Expenses", \$40,000,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (Emergency Supplemental Act, 2002.)

Unavailable Collections (in millions of dollars)

Identification code 12-1400-0-1-352	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	5	5	5
07.99 Balance, end of year	5	5	5

Program and Financing (in millions of dollars)

Identification code 12-1400-0-1-352	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Research on soil, water and air science	102	143	107
00.02 Research on plant science	337	356	365
00.03 Research on animal science	153	221	196
00.04 Research on commodity conversion and delivery	201	182	187
00.05 Human nutrition research	78	77	78
00.06 Integration of agricultural systems	35	39	40
00.07 Repair and maintenance of facilities	18	18	18
00.09 Collaborative research program	11	3	
00.10 Agricultural information and library science	22	21	23
09.00 Reimbursable program	45	60	60
10.00 Total new obligations	1,002	1,120	1,074

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	6	3	
22.00 New budget authority (gross)	999	1,117	1,074
22.22 Unobligated balance transferred from other accounts	2		
23.90 Total budgetary resources available for obligation	1,007	1,120	1,074
23.95 Total new obligations	-1,002	-1,120	-1,074
23.98 Unobligated balance expiring or withdrawn	-3		
24.40 Unobligated balance carried forward, end of year	3		

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	932	1,057	1,014
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-2		
42.00 Transferred from other accounts	6		
43.00 Appropriation (total discretionary)	936	1,057	1,014
Mandatory:			
60.00 Appropriation	18		
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	45	60	60
70.00 Total new budget authority (gross)	999	1,117	1,074

Change in obligated balances:				
72.40	Obligated balance, start of year	245	277	314
73.10	Total new obligations	1,002	1,120	1,074
73.20	Total outlays (gross)	-974	-1,083	-1,050
73.40	Adjustments in expired accounts (net)	4		
74.40	Obligated balance, end of year	277	314	338
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	768	913	880
86.93	Outlays from discretionary balances	188	170	170
86.97	Outlays from new mandatory authority	18		
87.00	Total outlays (gross)	974	1,083	1,050
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-40	-54	-54
88.40	Non-Federal sources	-5	-6	-6
88.90	Total, offsetting collections (cash)	-45	-60	-60
Net budget authority and outlays:				
89.00	Budget authority	954	1,057	1,014
90.00	Outlays	929	1,023	990

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	920	1,019	971
90.00	Outlays	895	985	947

The Agricultural Research Service conducts research to provide the means for a safer, more economical supply of agricultural products for the Nation and to provide producers with technologies to competitively supply these products. Technology needs of regulatory, technical assistance and education agencies of USDA and other Federal agencies are supported through ARS research. The Service uses coordinated, interdisciplinary approaches to perform basic and applied research on soil and water conservation, plant and animal sciences, commodity conversion and delivery, human nutrition, and integrated agricultural systems. In 2003, the Service proposes increased emphases for critical research needs in agriculture, such as: Counter-terrorism; Emerging, reemerging, and exotic diseases of plants and animals; Agricultural genomes; Biotechnology risk assessment; Control of invasive species; Agricultural genetic resources; Enhancing air and water quality; Biobased products/bioenergy from agricultural commodities; Global climate change, and Agricultural information services. The 2003 budget also proposes to eliminate funding for unrequested Congressional earmarks added in 2001 and 2002, as well as some less critical base programs. In 2001, the Service submitted 64 new patent applications, participated in 46 new Cooperative research and development agreements (CRADAs), licensed 31 new products, and developed 63 new plant varieties to release to industry for further development and marketing.

Research on soil, water, and air sciences.—Research is conducted to improve soil and water management, irrigation, and conservation practices; to protect natural resources from harmful effects of soil, air, and water pollutants and to minimize certain agricultural pollution problems; and to determine the relation of soil types and water to plant, animal, and human nutrition.

Research on plant science.—Research is conducted to increase plant productivity by improving plant varieties, developing new crop resources, and improving crop production practices, including methods to control plant diseases, nematodes, insects, and weeds.

Research on animal science.—Research is conducted to increase livestock productivity (including poultry) through im-

proved breeding, feeding, and management practices, and to develop methods for controlling diseases, parasites, and insect pests affecting these animals.

Research on commodity conversion and delivery.—Research is conducted to develop new and improved foods, feeds, products, and processes for agricultural commodities and to improve the processing, transportation, storage, wholesaling, and retailing of products. Research is also conducted on means to ensure the safety of food and feed supplies, control insect pests of man and his belongings, and reduce the hazards to human life resulting from pesticide residues and other causes.

Human nutrition research.—Research is conducted on subjects such as human nutritional requirements and the composition and nutritive value of foods, to promote optimum human health through improved nutrition.

Integration of agricultural systems.—Research is conducted to develop integrated systems for efficiently producing, processing, and marketing agricultural products, and to develop alternative agricultural systems that are less dependent upon nonrenewable resources and that are productive, efficient, and sustainable in the long term.

Agricultural information and library services.—The National Agricultural Library provides a variety of information products and services through: (1) the administration of a unique collection of books, journals, and other information materials about food and agriculture to ensure accessibility to their contents; (2) the development and maintenance of cooperative efforts in the library and related information areas, with other Federal agencies and with educational institutions in each State; and (3) an active program of information dissemination.

Repair and maintenance of facilities.—Funds are used to restore, upgrade, and maintain Federal facilities to meet OSHA and EPA requirements, provide suitable workspace for in-house research programs, and to retrofit existing structures for better energy utilization.

Collaborative Research Program.—Funds from the U.S. Agency for International Development (AID), allow USDA to provide short-term scientific exchanges to the New Independent States of the former Soviet Union (NIS), in developing a market-based agricultural system necessary to meet the food needs of their populations and to develop and strengthen trade linkages between their countries and related agribusiness and agricultural enterprise in the U.S.

Reimbursements.—Agricultural Research Service performs program research activities and services for other USDA, Federal, and non-Federal agencies. These activities and services are paid for on a reimbursable basis.

Object Classification (in millions of dollars)

Identification code 12-1400-0-1-352	2001 actual	2002 est.	2003 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	362	411	425
11.3	Other than full-time permanent	15	16	17
11.5	Other personnel compensation	20	20	20
11.9	Total personnel compensation	397	447	462
12.1	Civilian personnel benefits	131	145	154
21.0	Travel and transportation of persons	16	20	19
22.0	Transportation of things	1	1	1
23.1	Rental payments to GSA			3
23.2	Rental payments to others	1	2	1
23.3	Communications, utilities, and miscellaneous charges	36	39	36
24.0	Printing and reproduction	1	2	1
25.1	Advisory and assistance services	1	1	1
25.2	Other services	13	22	16
25.3	Other purchases of goods and services from Government accounts	3	5	3
25.4	Operation and maintenance of facilities	23	30	24
25.5	Research and development contracts	132	145	111
25.7	Operation and maintenance of equipment	7	7	7

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Object Classification (in millions of dollars)—Continued

Identification code 12-1400-0-1-352	2001 actual	2002 est.	2003 est.
25.8 Subsistence and support of persons	1	1	1
26.0 Supplies and materials	91	95	93
31.0 Equipment	47	63	49
32.0 Land and structures	8	8	8
41.0 Grants, subsidies, and contributions	48	27	24
99.0 Direct obligations	957	1,060	1,014
99.0 Reimbursable obligations	45	60	60
99.9 Total new obligations	1,002	1,120	1,074

Personnel Summary

Identification code 12-1400-0-1-352	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	7,424	8,008	7,960
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	396	396	396

BUILDINGS AND FACILITIES

For acquisition of land, construction, repair, improvement, extension, alteration, and purchase of fixed equipment or facilities as necessary to carry out the agricultural research programs of the Department of Agriculture, where not otherwise provided, **[\$118,987,000]** \$16,580,000, to remain available until expended (7 U.S.C. 2209b): *Provided*, That funds may be received from any State, other political subdivision, organization, or individual for the purpose of establishing any research facility of the Agricultural Research Service, as authorized by law. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Buildings and Facilities", \$73,000,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 12-1401-0-1-352	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Building and facilities projects	56	131	82
10.00 Total new obligations	56	131	82
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	109	127	188
22.00 New budget authority (gross)	74	192	17
23.90 Total budgetary resources available for obligation	183	319	205
23.95 Total new obligations	-56	-131	-82
24.40 Unobligated balance carried forward, end of year	127	188	123
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	74	192	17
Change in obligated balances:			
72.40 Obligated balance, start of year	51	52	102
73.10 Total new obligations	56	131	82
73.20 Total outlays (gross)	-54	-81	-105
74.40 Obligated balance, end of year	52	102	79
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	6	23	2
86.93 Outlays from discretionary balances	48	58	103
87.00 Total outlays (gross)	54	81	105
Net budget authority and outlays:			
89.00 Budget authority	74	192	17

90.00 Outlays	54	81	105
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This account provides funds for acquisition of land, construction, repair, improvement, extension, alterations, and purchases of fixed equipment or facilities of or used by the Agricultural Research Service. The 2003 request provides the continuing modernization of the National Agricultural Library, Beltsville, MD; U.S. National Arboretum, Washington, DC; ongoing upgrades at Plum Island, and restoration of Beltsville, MD Agricultural Research Center facilities damaged by a tornado.

Object Classification (in millions of dollars)

Identification code 12-1401-0-1-352	2001 actual	2002 est.	2003 est.
25.2 Other services	49	92	57
32.0 Land and structures	7	39	25
99.9 Total new obligations	56	131	82

Trust Funds

MISCELLANEOUS CONTRIBUTED FUNDS

Unavailable Collections (in millions of dollars)

Identification code 12-8214-0-7-352	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 Science and education contributed funds	30	35	35
Appropriations:			
05.00 Miscellaneous contributed funds	-30	-35	-35
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 12-8214-0-7-352	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Miscellaneous contributed funds	24	28	32
10.00 Total new obligations	24	28	32
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	14	19	26
22.00 New budget authority (gross)	30	35	35
23.90 Total budgetary resources available for obligation	44	54	61
23.95 Total new obligations	-24	-28	-32
24.40 Unobligated balance carried forward, end of year	19	26	29
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)	30	35	35
Change in obligated balances:			
72.40 Obligated balance, start of year	8	12	17
73.10 Total new obligations	24	28	32
73.20 Total outlays (gross)	-20	-23	-29
74.40 Obligated balance, end of year	12	17	20
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	7	11	4
86.98 Outlays from mandatory balances	13	12	25
87.00 Total outlays (gross)	20	23	29
Net budget authority and outlays:			
89.00 Budget authority	30	35	35
90.00 Outlays	20	23	29

Miscellaneous contributed funds received from States, local organizations, individuals, and others are available for work under cooperative agreements on research activities.

Object Classification (in millions of dollars)

Identification code 12-8214-0-7-352	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	6	8	8
12.1 Civilian personnel benefits	1	2	2
25.2 Other services	11	9	10
26.0 Supplies and materials	4	6	7
31.0 Equipment	1	2	3
41.0 Grants, subsidies, and contributions	1	1	2
99.9 Total new obligations	24	28	32

Personnel Summary

Identification code 12-8214-0-7-352	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	114	114	114

**COOPERATIVE STATE RESEARCH,
EDUCATION, AND EXTENSION SERVICE**

Federal Funds

General and special funds:

INTEGRATED ACTIVITIES

For the integrated research, education, and extension competitive grants programs, including necessary administrative expenses, as authorized under section 406 of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7626), **[\$42,853,000]** \$44,865,000, as follows: payments for the water quality program, \$12,971,000; payments for the food safety program, \$14,967,000; payments for the [national agriculture pesticide impact assessment] regional pest management centers program, \$4,531,000; payments for the Food Quality Protection Act risk mitigation program for major food crop systems, \$4,889,000; payments for the crops affected by Food Quality Protection Act implementation, \$1,497,000; payments for the methyl bromide transition program, \$2,498,000; [and] payments for the organic transition program, **[\$1,500,000]** \$499,000; payments for the international science and education grants program under 7 U.S.C. 3291, to remain available until expended, \$1,000,000; payments for the critical issues program under 7 U.S.C. 450i(c), \$500,000; and payments for the regional rural development centers program under 7 U.S.C. 450i(c), \$1,513,000. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 12-1502-0-1-352	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.20 Water quality	13	13	13
00.30 Food safety	15	15	15
00.40 Regional pest management centers	5	5	5
00.50 Crops at risk from Food Quality Protection Act implementation	1	1	1
00.60 Food Quality Protection Act risk mitigation program	5	5	5
00.70 Methyl bromide transition program	2	2	2
00.86 International science and education grants			1
00.87 Rural development centers			1
00.88 Organic transition	1	2	1
00.89 Critical issues—plant and animal diseases			1
10.00 Total new obligations	42	43	45
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	42	43	45
23.95 Total new obligations	-42	-43	-45
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	42	43	45
Change in obligated balances:			
72.40 Obligated balance, start of year	38	73	85
73.10 Total new obligations	42	43	45
73.20 Total outlays (gross)	-7	-31	-41

74.40 Obligated balance, end of year	73	85	89
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2	2	2
86.93 Outlays from discretionary balances	5	29	39
87.00 Total outlays (gross)	7	31	41
Net budget authority and outlays:			
89.00 Budget authority	42	43	45
90.00 Outlays	7	31	41

Note.—2003 estimates include critical issues previously financed from the USDA Cooperative State Research, Education, and Extension Service (CSREES) Research and education activities account and Rural Development Centers previously financed from the USDA CSREES Research and education activities and Extension activities accounts.

Under the Integrated activities account, research, education and/or extension grants are awarded for competitive and non-competitive programs.

Water quality.—This funding will enable CSREES and the State Agricultural Experiment Stations and the Cooperative Extension system to become viable partners with other state and federal agencies in addressing water quality issues of national importance. Funds will be awarded based upon peer review of competitive proposals for projects that have components for research and extension.

Food safety.—Funding will support research, education and extension programs to improve safety of food products and create a more informed public about food safety issues.

Regional pest management centers.—Funding will provide management and coordination for USDA and State activities that support informed regulatory decisions concerning pesticides that significantly benefit U.S. food production without causing adverse effects on the environment.

Crops at risk from FQPA implementation.—Funding will support the development of multi-tactic IPM strategies. Grant opportunities will be available to colleges and universities.

FQPA Risk mitigation program for major food crop systems.—Funds are proposed to support a program to address risk mitigation that will have a food production system focus, integrating food safety and water quality considerations as impacted by FQPA. Emphasis will be placed on development and implementation of new innovative pest management systems designed to maintain crop productivity and profitability while meeting or exceeding environmental quality and human health standards.

Methyl bromide transition program.—This is a grant program designed to support the discovery and implementation of practical pest management alternatives for commodities affected by the methyl bromide phase-out in 2005.

Organic transition program.—This program supports the development and implementation of biologically based pest management practices that mitigate the ecological, agronomic, and economic risks associated with the transition from conventional to organic agricultural production systems.

International science and education grants program.—This program focuses on incorporating substantive international activities into programs related to food systems, agriculture and natural resources at U.S. land-grant colleges and universities.

Critical issues program.—Funds are proposed to develop early intervention strategies to prevent, manage or eradicate new and emerging diseases, both plant and animal, which would prevent loss of revenue to growers or producers.

Regional rural development centers.—Funding will support activities that pursue a holistic development strategy that tailors programming to meet regional and local needs and addresses areas of opportunity arising from a consumer-driven agricultural economy.

Object Classification (in millions of dollars)

Identification code 12-1502-0-1-352	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	1	1	1

General and special funds—Continued

INTEGRATED ACTIVITIES—Continued

Object Classification (in millions of dollars)—Continued

Identification code 12-1502-0-1-352	2001 actual	2002 est.	2003 est.
41.0 Grants, subsidies, and contributions	41	42	44
99.9 Total new obligations	42	43	45

Personnel Summary

Identification code 12-1502-0-1-352	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	8	7	8

INITIATIVE FOR FUTURE AGRICULTURE AND FOOD SYSTEMS

Program and Financing (in millions of dollars)

Identification code 12-1503-0-1-352	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	110		
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	120	120	120
22.00 New budget authority (gross)	120	120	120
23.90 Total budgetary resources available for obligation	240	240	240
23.95 Total new obligations	-110		
23.98 Unobligated balance expiring or withdrawn	-10	-120	-120
24.40 Unobligated balance carried forward, end of year	120	120	120
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation			
40.35 Appropriation deferred			-240
43.00 Appropriation (total discretionary)			-240
Mandatory:			
60.00 Appropriation	240	240	240
60.35 Appropriation deferred	-120	-240	
62.50 Appropriation (total mandatory)	120		240
70.00 Total new budget authority (gross)	120		
Change in obligated balances:			
72.40 Obligated balance, start of year	120	201	189
73.10 Total new obligations	110		
73.20 Total outlays (gross)	-28	-12	-12
73.40 Adjustments in expired accounts (net)	-1		
74.40 Obligated balance, end of year	201	189	177
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority			-12
86.97 Outlays from new mandatory authority			12
86.98 Outlays from mandatory balances	28	12	12
87.00 Total outlays (gross)	28	12	12
Net budget authority and outlays:			
89.00 Budget authority	120	120	120
90.00 Outlays	28	12	12

1998 Research Act.—The Agricultural Research, Extension, and Education Reform Act of 1998 authorized the annual appropriation of \$120 million for high priority research, extension, and education. These funds are available for two years. The 2000 appropriations language blocked the use of 2000 funds in 2000. However, these funds were available in 2001. The 2002 appropriations language blocked the use of 2001 and 2002 funds in 2002. The 2003 budget includes language under General Provisions that would block implementation of the program during 2003.

Object Classification (in millions of dollars)

Identification code 12-1503-0-1-352	2001 actual	2002 est.	2003 est.
11.3 Personnel compensation: Other than full-time permanent	2		
12.1 Civilian personnel benefits	1		
25.2 Other services	2		
41.0 Grants, subsidies, and contributions	105		
99.9 Total new obligations	110		

Personnel Summary

Identification code 12-1503-0-1-352	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	26		

RESEARCH AND EDUCATION ACTIVITIES

For payments to agricultural experiment stations, for cooperative forestry and other research, for facilities, and for other expenses, **[\$542,062,000] \$553,633,000**, as follows: to carry out the provisions of the Hatch Act (7 U.S.C. 361a-i), \$180,148,000; for grants for cooperative forestry research (16 U.S.C. 582a-a7), \$21,884,000; for payments to the 1890 land-grant colleges, including Tuskegee University (7 U.S.C. 3222), \$34,604,000, of which \$1,507,496 shall be made available only for the purpose of ensuring that each institution shall receive no less than \$1,000,000; for special grants for agricultural research (7 U.S.C. 450i(c)), **[\$97,008,000] \$3,341,000**; for special grants for agricultural research on improved pest control (7 U.S.C. 450i(c)), **[\$15,206,000] \$15,006,000**; for competitive research grants (7 U.S.C. 450i(b)), **[\$120,452,000] \$240,000,000**; for the support of animal health and disease programs (7 U.S.C. 3195), \$5,098,000; **[for supplemental and alternative crops and products (7 U.S.C. 3319d), \$924,000; for grants for research pursuant to the Critical Agricultural Materials Act of 1984 (7 U.S.C. 178) and section 1472 of the Food and Agriculture Act of 1977 (7 U.S.C. 3318), \$720,000, to remain available until expended;] for the 1994 research program (7 U.S.C. 301 note), \$998,000, to remain available until expended; for higher education graduate fellowship grants (7 U.S.C. 3152(b)(6)), **[\$2,993,000] \$3,500,000**, to remain available until expended (7 U.S.C. 2209b); for higher education challenge grants (7 U.S.C. 3152(b)(1)), **[\$4,340,000] \$5,500,000**; for a higher education multicultural scholars program (7 U.S.C. 3152(b)(5)), \$998,000, to remain available until expended (7 U.S.C. 2209b); for an education grants program for Hispanic-serving Institutions (7 U.S.C. 3241), \$3,492,000; for noncompetitive grants for the purpose of carrying out all provisions of 7 U.S.C. 3242 (section 759 of Public Law 106-78) to individual eligible institutions or consortia of eligible institutions in Alaska and in Hawaii, with funds awarded equally to each of the States of Alaska and Hawaii, \$2,997,000; for a secondary agriculture education program and 2-year post-secondary education (7 U.S.C. 3152(h)), \$1,000,000; for aquaculture grants (7 U.S.C. 3322), \$3,996,000; for sustainable agriculture research and education (7 U.S.C. 5811), **[\$12,500,000] \$9,230,000**; for a program of capacity building grants (7 U.S.C. 3152(b)(4)) to colleges eligible to receive funds under the Act of August 30, 1890 (7 U.S.C. 321-326 and 328), including Tuskegee University, \$9,479,000, to remain available until expended (7 U.S.C. 2209b); for payments to the 1994 Institutions pursuant to section 534(a)(1) of Public Law 103-382, \$1,549,000; and for necessary expenses of Research and Education Activities, of which not to exceed \$100,000 shall be for employment under 5 U.S.C. 3109, **[\$21,676,000] \$10,813,000**.**

None of the funds in the foregoing paragraph shall be available to carry out research related to the production, processing or marketing of tobacco or tobacco products: *Provided*, That this paragraph shall not apply to research on the medical, biotechnological, food, and industrial uses of tobacco.

NATIVE AMERICAN INSTITUTIONS ENDOWMENT FUND

For the Native American Institutions Endowment Fund authorized by Public Law 103-382 (7 U.S.C. 301 note), \$7,100,000. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Unavailable Collections (in millions of dollars)

Identification code 12-1500-0-1-352	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	24	32	39
Receipts:			
02.40 Federal payment, Native American Institutions Endowment Fund	10	7	7
02.41 Earnings on investments	-1	2	2
02.99 Total receipts and collections	9	9	9
04.00 Total: Balances and collections	33	41	48
Appropriations:			
05.00 Cooperative state research activities	-1	-2	-2
07.99 Balance, end of year	32	39	46

Program and Financing (in millions of dollars)

Identification code 12-1500-0-1-352	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Payments under the Hatch Act	180	180	180
00.02 Cooperative forestry research	22	22	22
00.03 Payments to 1890 colleges and Tuskegee University	33	35	35
00.04 Special research grants	115	131	32
00.05 National research initiative competitive grants	77	160	240
00.06 Animal health and disease research	5	5	5
00.07 Federal administration	19	23	11
00.08 Higher education	26	30	29
00.09 Native American Institutions Endowment Fund	8	9	9
00.10 Agricultural risk grants	28		
09.00 Reimbursable program	11	16	16
10.00 Total new obligations	524	611	579
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	89	117	74
22.00 New budget authority (gross)	553	568	579
23.90 Total budgetary resources available for obligation	642	685	653
23.95 Total new obligations	-524	-611	-579
23.98 Unobligated balance expiring or withdrawn	-1		
24.40 Unobligated balance carried forward, end of year	117	74	74
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	514	550	561
40.20 Appropriation (special fund)	1	2	2
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
43.00 Appropriation (total discretionary)	514	552	563
Mandatory:			
60.00 Appropriation	28		
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	11	16	16
70.00 Total new budget authority (gross)	553	568	579
Change in obligated balances:			
72.40 Obligated balance, start of year	454	463	521
73.10 Total new obligations	524	611	579
73.20 Total outlays (gross)	-515	-553	-560
74.40 Obligated balance, end of year	463	521	540
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	234	288	305
86.93 Outlays from discretionary balances	267	258	250
86.97 Outlays from new mandatory authority	14		
86.98 Outlays from mandatory balances		7	5
87.00 Total outlays (gross)	515	553	560
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-11	-16	-16
Net budget authority and outlays:			
89.00 Budget authority	542	552	563
90.00 Outlays	504	537	544
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value	22	28	

92.02 Total investments, end of year: Federal securities:			
Par value	28		

Note.—In 2003 funding for critical issues and rural development centers is included in the account for integrated activities.

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	541	551	562
90.00 Outlays	503	536	543

Cooperative State Research, Education, and Extension Service participates in a nationwide system of agricultural research and education program planning and coordination between State institutions and the U.S. Department of Agriculture. It assists in maintaining cooperation among the State institutions, and between the State institutions and their Federal research partners. The Agency administers grants and payments to State institutions to supplement State and local funding for agricultural research and higher education.

Payments under the Hatch Act.—Funds under the Hatch Act are allocated on a formula basis to agricultural experiment stations of the land-grant colleges in the 50 States, the District of Columbia, Puerto Rico, Guam, the Virgin Islands, American Samoa, Micronesia, and Northern Mariana Islands.

Cooperative forestry research.—These funds are allocated by formula to land-grant colleges or agricultural experiment stations in the 50 States, Puerto Rico, Guam, the Virgin Islands, and other State-supported colleges and universities having a forestry school and offering graduate training in forestry sciences.

Payments to 1890 colleges and Tuskegee University.—Funds allocated on a formula basis support agricultural research and broaden the curricula at the eighteen 1890 land-grant colleges, including Tuskegee University.

Special research grants.—This program addresses research areas of national interest. Funding is proposed for grant programs in IR-4 minor crop pest management, pest management alternatives, and sustainable agriculture. Funding is also proposed for integrated pest management. Advances in these areas will provide producers with safe, alternative pest control methods resulting in more farmers increasing the number of acres on which Integrated Pest Management (IPM) methods are used. Funding proposed for IR-4 minor crop pest management and minor use animal drugs will address the growing need for registration of safe pesticides and drugs for minor crops and animals and lead to reduced levels of chemical and drug residues in food products by half. These pest management programs will be coordinated to address Food Quality and Protection Act issues. The IR-4 and IPM programs are contained under improved pest control funding. Improved pest control also includes Pest Management Alternatives, and Expert IPM Decision Support System Programs. A grant program for global change is proposed for research at universities as part of a coordinated Federal initiative. Funding is also proposed for the National Biological Impact Assessment Program, and aquaculture centers. The 2003 budget eliminates funding for unrequested earmarks.

National research initiative competitive grants.—Increased funding is being proposed for the National Research Initiative (NRI). Research scientists throughout the U.S. scientific community compete for funding under this program. The performance goal has been to attract the widest possible involvement of U.S. scientists in agricultural research to increase the knowledge base related to U.S. agriculture, food, and the environment and maintain world leadership in agricultural science and engineering. NRI funding has resulted in in-

General and special funds—Continued

NATIVE AMERICAN INSTITUTIONS ENDOWMENT FUND—Continued

creased participation by universities which are not traditionally considered agricultural schools and of highly skilled researchers in projects addressing agricultural issues. The outcomes include the efficient communication of research results to scientific, engineering, and community user groups. These grants support research in plants and animals; natural resources and the environment; nutrition, food safety, and health; markets, trade, and rural development; and processing for adding value or developing new products. The 2003 budget proposes an increase of \$120 million for the competitive peer-reviewed grant programs of the NRI, for a total request of \$240 million.

Animal health and disease research.—Funds, distributed by formula, support livestock and poultry disease research in sixty-seven colleges of veterinary medicine and in eligible agricultural experiment stations.

1994 Institutions Research.—Funding is proposed to continue the competitive research grants program to build the research capacity at the thirty 1994 institutions by supporting agricultural research activities that address tribal, national and multistate priorities.

Federal administration.—A coordinating and review staff assists in maintaining cooperation within and among the States, and between the States and their Federal research partners. This staff also administers research and education grants and payments to States. Federal administration is funded from a combination of program set-asides from formula and grant programs and from direct appropriation for administration.

Higher education.—Funding is proposed for graduate fellowships grants, competitive challenge grants, Hispanic-serving institutions education grants program, and a multicultural scholars program. Funding is also proposed for Native American institutions, Alaska Native-serving and Native Hawaiian-serving Institutions, and Secondary Agriculture Education and 2-year Post-secondary programs. Proposed funding for these higher education programs would support approximately 180 grants. These programs will enable universities to broaden their curricula; increase faculty development; student research projects; and the number of new scholars recruited in the food and agricultural sciences. In addition, an increased number of graduate students, including minority graduate students, will be enrolled in the agricultural sciences. Funding is also proposed for a capacity building program at the 1890 institutions as part of the USDA initiative to strengthen these institutions through a broadening of curricula, increased faculty development and student research projects. Proposed funding would support approximately 43 teaching and research grants. The 2003 budget includes increases for Graduate fellowship grants and Institutional challenge grants.

Reimbursable program.—Funds support basic and applied agriculture research and activities performed for other USDA, Federal, and non-Federal agencies.

Native American Institutions Endowment Fund.—This program provides for an endowment for the 1994 land-grant institutions (30 Tribally controlled colleges) to strengthen the infrastructure of these institutions and develop Indian expertise for the food and agricultural sciences and businesses and their own communities. At the termination of each fiscal year, the Secretary shall withdraw the income from the endowment fund for the fiscal year, and after making adjustments for the cost of administering the fund, distribute the adjusted income on a formula basis to the 1994 land-grant institutions.

Object Classification (in millions of dollars)

Identification code 12-1500-0-1-352	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	8	9	9
12.1 Civilian personnel benefits	2	3	3
21.0 Travel and transportation of persons	1	2	2
23.1 Rental payments to GSA			2
25.1 Advisory and assistance services	1	1	1
25.2 Other services	3	5	5
25.3 Other purchases of goods and services from Government accounts	3	4	4
26.0 Supplies and materials	1	1	1
41.0 Grants, subsidies, and contributions	494	570	536
99.0 Direct obligations	513	595	563
99.0 Reimbursable obligations	11	16	16
99.9 Total new obligations	524	611	579

Personnel Summary

Identification code 12-1500-0-1-352	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	170	218	218
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	9	9	9

BUILDINGS AND FACILITIES

Program and Financing (in millions of dollars)

Identification code 12-1501-0-1-352	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	2	1	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	1	
23.95 Total new obligations	-2	-1	
24.40 Unobligated balance carried forward, end of year	1		
Change in obligated balances:			
72.40 Obligated balance, start of year	65	43	32
73.10 Total new obligations	2	1	
73.20 Total outlays (gross)	-24	-12	-12
74.40 Obligated balance, end of year	43	32	20
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	24	12	12
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	24	12	12

Funds provide grants to States and other eligible recipients for the acquisition of land, construction, repair, improvement, extension, alteration and purchase of fixed equipment or facilities to carry out agricultural research, extension, and teaching programs. No funding is proposed in 2003.

Personnel Summary

Identification code 12-1501-0-1-352	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	1		

EXTENSION ACTIVITIES

For payments to States, the District of Columbia, Puerto Rico, Guam, the Virgin Islands, Micronesia, Northern Marianas, and American Samoa, **[\$439,473,000]** \$421,035,000, as follows: payments for cooperative extension work under the Smith-Lever Act, to be distributed under sections 3(b) and 3(c) of said Act, and under section

208(c) of Public Law 93-471, for retirement and employees' compensation costs for extension agents and for costs of penalty mail for cooperative extension agents and State extension directors, \$275,940,000, of which \$3,600,000 may be used to carry out Public Law 107-19]; payments for extension work at the 1994 Institutions under the Smith-Lever Act (7 U.S.C. 343(b)(3)), \$3,273,000; payments for the nutrition and family education program for low-income areas under section 3(d) of the Act, \$58,566,000; payments for the pest management program under section 3(d) of the Act, \$10,759,000; [payments for the farm safety program under section 3(d) of the Act, \$5,250,000;] payments to upgrade research, extension, and teaching facilities at the 1890 land-grant colleges, including Tuskegee University, as authorized by section 1447 of Public Law 95-113 (7 U.S.C. 3222b), \$13,500,000, to remain available until expended; [payments for the rural development centers under section 3(d) of the Act, \$953,000;] payments for youth-at-risk programs under section 3(d) of the Act, \$8,481,000; for youth farm safety education and certification extension grants, to be awarded competitively under section 3(d) of the Act, \$499,000; payments for carrying out the provisions of the Renewable Resources Extension Act of 1978, \$4,093,000; payments for Indian reservation agents under section 3(d) of the Act, \$1,996,000; payments for sustainable agriculture programs under section 3(d) of the Act, [\$4,750,000; payments for rural health and safety education as authorized by section 2390 of Public Law 101-624 (7 U.S.C. 2661 note, 2662), \$2,622,000] \$3,792,000; payments for cooperative extension work by the colleges receiving the benefits of the second Morrill Act (7 U.S.C. 321-326 and 328) and Tuskegee University, \$31,181,000, of which \$1,724,884 shall be made available only for the purpose of ensuring that each institution shall receive no less than \$1,000,000; and for Federal administration and coordination including administration of the Smith-Lever Act, and the Act of September 29, 1977 (7 U.S.C. 341-349), and section 1361(c) of the Act of October 3, 1980 (7 U.S.C. 301 note), and to coordinate and provide program leadership for the extension work of the Department and the several States and insular possessions, [\$17,610,000] \$8,955,000: *Provided*, That funds hereby appropriated pursuant to section 3(c) of the Act of June 26, 1953, and section 506 of the Act of June 23, 1972, shall not be paid to any State, the District of Columbia, Puerto Rico, Guam, or the Virgin Islands, Micronesia, Northern Marianas, and American Samoa prior to availability of an equal sum from non-Federal sources for expenditure during the current fiscal year. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 12-0502-0-1-352	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Smith-Lever Act, 3(b) and 3(c)	276	276	276
00.02 Youth at risk	8	8	9
00.04 Expanded food and nutrition education program (EFNEP)	59	59	59
00.05 Pest management	11	11	11
00.06 Farm safety	4	5
00.09 Indian reservation extension agents	2	2	2
00.12 Rural development	1	1
00.13 Payments to 1890 colleges and Tuskegee University	28	31	31
00.15 Renewable resources extension act	3	4	4
00.16 Federal administration	19	19	9
00.18 Rural health and safety education	3	3
00.19 1890 facilities (section 1447)	7	12	14
00.21 Sustainable agriculture	4	5	4
00.22 1994 institutions activities	3	3	3
00.23 Youth farm safety pilot program	1	1	1
00.24 Agricultural risk grants	5
09.00 Reimbursable program	16	25	25
10.00 Total new obligations	450	465	448
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	4	4
22.00 New budget authority (gross)	454	465	445
23.90 Total budgetary resources available for obligation	455	469	449
23.95 Total new obligations	-450	-465	-448
23.98 Unobligated balance expiring or withdrawn	-1
24.40 Unobligated balance carried forward, end of year	4	4	1

New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	434	440	421
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1
43.00 Appropriation (total discretionary)	433	440	421
Mandatory:			
60.00 Appropriation	5
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	16	25	25
70.00 Total new budget authority (gross)	454	465	446
Change in obligated balances:			
72.40 Obligated balance, start of year	239	240	203
73.10 Total new obligations	450	465	448
73.20 Total outlays (gross)	-449	-502	-455
74.40 Obligated balance, end of year	240	203	196
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	270	289	278
86.93 Outlays from discretionary balances	178	210	176
86.97 Outlays from new mandatory authority	1
86.98 Outlays from mandatory balances	3	1
87.00 Total outlays (gross)	449	502	455
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-16	-25	-25
Net budget authority and outlays:			
89.00 Budget authority	438	440	421
90.00 Outlays	433	477	430

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	437	439	420
90.00 Outlays	432	476	429

Note.—In 2003 funding for rural development centers is included in the account for integrated activities.

The Cooperative Extension System, a national educational network, is a dynamic organization pledged to meeting the country's needs for research-based educational programs that will enable people to make practical decisions to improve their lives. To accomplish its mission, the Cooperative Extension System adjusts programs to meet the shifting needs and priorities of the people it serves.

The nonformal educational network combines the expertise and resources of federal, state, and local partners. The partners in this unique System are: (a) The Cooperative State Research, Education, and Extension Service at the U.S. Department of Agriculture; (b) Extension professionals at land-grant universities throughout the United States and its territories; and (c) Extension professionals in nearly all of the Nation's 3,150 counties. Thousands of paraprofessionals and nearly 3 million volunteers support this partnership and magnify its impact. Strong linkages with both public and private external groups are also crucial to the Cooperative Extension System's strength and vitality.

Base programs, funded by the Smith-Lever 3(b) and (c) legislated formula funds, are the major educational efforts central to the mission of the System and common to most Extension units. They are the ongoing priority efforts of the System, involving many discipline-based and multi-disciplinary programs. The System's base programs are the foundation of the Extension organization and partnership that are intended to increase the number of community-based projects, families, and individuals reached to disseminate research findings as widely and quickly as possible. The use of electronic mail, satellite transmission of courses, and computer-assisted instruction are encouraged to communicate ideas.

General and special funds—Continued

EXTENSION ACTIVITIES—Continued

Extension resources are provided to the States by these formula funds and competitively-awarded programs such as sustainable agriculture. Smith-Lever 3(b) and (c) funds and payments to the 1890 colleges and Tuskegee University provide funds to support the Extension infrastructure.

Funds for designated programs, funded by Smith-Lever 3(d) such as youth-at-risk and expanded food and nutrition education program (EFNEP), provide support for the Cooperative Extension System to address identified priority issues.

National initiatives funded by legislative formulas, administratively determined distribution, Congressional and Executive intent, and competitively-awarded projects, are the System's commitment to respond to important problems of broad national concern with additional resources and significantly increased effort to achieve a major impact on national priorities. They are the most current significant and complex issues on which the Extension System has the potential to make a difference—usually in cooperation with other agencies, groups, and units of government. The goal is to inform and educate these extension agriculture professionals and volunteers who, in turn, educate the professional farmers and end-users regarding these critical initiatives and concerns.

In 2003 funding has been requested for: the Expanded Food and Nutrition Education Program, pest management, children, youth and families at risk, a youth farm safety education and certification pilot project, extension services on Indian reservations, sustainable agriculture, and 1994 (Native American) institutions.

Object Classification (in millions of dollars)			
Identification code 12-0502-0-1-352	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	9	11	11
12.1 Civilian personnel benefits	3	4	4
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA			1
25.2 Other services	2	1	1
25.3 Other purchases of goods and services from Government accounts	2	1	1
41.0 Grants, subsidies, and contributions	417	422	404
99.0 Direct obligations	434	440	423
99.0 Reimbursable obligations	16	25	25
99.9 Total new obligations	450	465	448

Personnel Summary

Identification code 12-0502-0-1-352	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	164	217	216

ANIMAL AND PLANT HEALTH INSPECTION SERVICE

Federal Funds

General and special funds:

SALARIES AND EXPENSES

(INCLUDING TRANSFERS OF FUNDS)

For expenses, not otherwise provided for, including those pursuant to the Act of February 28, 1947 (21 U.S.C. 114b-c), necessary to prevent, control, and eradicate pests and plant and animal diseases; to carry out inspection, quarantine, and regulatory activities; to discharge the authorities of the Secretary of Agriculture under the Acts of March 2, 1931 (46 Stat. 1468) and December 22, 1987 (101 Stat. 1329-1331) (7 U.S.C. 426-426c); and to protect the environment, as authorized by law, **[\$620,490,000] \$782,227,000**, of which **[\$4,096,000] \$4,167,000** shall be available for the control of out-

breaks of insects, plant diseases, animal diseases and for control of pest animals and birds to the extent necessary to meet emergency conditions; of which \$77,355,000 shall be used for the boll weevil eradication program for cost share purposes or for debt retirement for active eradication zones]: *Provided*, That no funds shall be used to formulate or administer a brucellosis eradication program for the current fiscal year that does not require minimum matching by the States of at least 40 percent: *Provided further*, That this appropriation shall be available for field employment pursuant to the second sentence of section 706(a) of the Organic Act of 1944 (7 U.S.C. 2225), and not to exceed \$40,000 shall be available for employment under 5 U.S.C. 3109: *Provided further*, That this appropriation shall be available for the operation and maintenance of aircraft and the purchase of not to exceed four, of which two shall be for replacement only: *Provided further*, That, in addition, in emergencies which threaten any segment of the agricultural production industry of this country, the Secretary may transfer from other appropriations or funds available to the agencies or corporations of the Department such sums as may be deemed necessary, to be available only in such emergencies for the arrest and eradication of contagious or infectious disease or pests of animals, poultry, or plants, and for expenses in accordance with the Act of February 28, 1947, and section 102 of the Act of September 21, 1944, and any unexpended balances of funds transferred for such emergency purposes in the preceding fiscal year shall be merged with such transferred amounts: *Provided further*, That appropriations hereunder shall be available pursuant to law (7 U.S.C. 2250) for the repair and alteration of leased buildings and improvements, but unless otherwise provided the cost of altering any one building during the fiscal year shall not exceed 10 percent of the current replacement value of the building.

In fiscal year **[2002] 2003**, the agency is authorized to collect fees to cover the total costs of providing technical assistance, goods, or services requested by States, other political subdivisions, domestic and international organizations, foreign governments, or individuals, provided that such fees are structured such that any entity's liability for such fees is reasonably based on the technical assistance, goods, or services provided to the entity by the agency, and such fees shall be credited to this account, to remain available until expended, without further appropriation, for providing such assistance, goods, or services.

[Of the total amount available under this heading in fiscal year 2002, \$84,813,000 shall be derived from user fees deposited in the Agricultural Quarantine Inspection User Fee Account.] (10 U.S.C. 2306; 15 U.S.C. 69e, 1821-31; 16 U.S.C. 1531-43; 18 U.S.C. 1114; 19 U.S.C. 1306, 21 U.S.C. 101-105, 111-114, 114a-114c; 114d-1, 114e-131, 134-135b, 151-158; 26 U.S.C. 4491-94; 45 U.S.C. 71-74; 46 U.S.C. 466a-466(b); 49 U.S.C. 1471(a)-1509(d), 1741; 46 Stat. 67; 78 Stat. 939-940; 99 Stat. 1645-1650, 1654-1656, 1658-1659; Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Salaries and Expenses", \$105,000,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38, of which \$50,000,000 may be transferred to and merged with the Agricultural Quarantine Inspection User Fee Account.] (Emergency Supplemental Act, 2002.)

Unavailable Collections (in millions of dollars)

Identification code 12-1600-0-1-352	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	130	170	135
Receipts:			
02.00 Agricultural quarantine inspection fees	265	215	270
04.00 Total: Balances and collections	395	385	405
Appropriations:			
05.00 Salaries and expenses	-225	-250	-341
05.99 Total appropriations	-225	-250	-341
07.99 Balance, end of year	170	135	64

Program and Financing (in millions of dollars)

Identification code 12-1600-0-1-352	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Direct program:				
00.01	Pest and disease exclusion	355	432	213
00.02	Plant and animal health monitoring	87	126	145
00.03	Pest and disease management programs	163	241	336
00.04	Animal care	13	16	15
00.05	Scientific and technical services	59	68	78
00.06	Contingencies	3	4	4
00.07	Emergency program funding	233	132	172
01.00	Total direct program	913	1,019	963
09.01	Reimbursable program	85	88	88
10.00	Total new obligations	998	1,107	1,051
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	74	164	109
22.00	New budget authority (gross)	1,114	1,052	1,159
23.90	Total budgetary resources available for obligation	1,188	1,216	1,268
23.95	Total new obligations	-998	-1,107	-1,051
23.98	Unobligated balance expiring or withdrawn	-26		
24.40	Unobligated balance carried forward, end of year	164	109	217
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	475	605	782
40.20	Appropriation (special fund)	85	135	
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
42.00	Transferred from other accounts	335	151	
43.00	Appropriation (total discretionary)	894	891	782
Mandatory:				
60.00	Appropriation (ARPA)	31		
60.20	Appropriation (special fund)	150	125	341
62.50	Appropriation (total mandatory)	181	125	341
Discretionary:				
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	39	36	36
70.00	Total new budget authority (gross)	1,114	1,052	1,159
Change in obligated balances:				
72.40	Obligated balance, start of year	173	214	179
73.10	Total new obligations	998	1,107	1,051
73.20	Total outlays (gross)	-957	-1,142	-1,218
74.40	Obligated balance, end of year	214	179	12

Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	759	743	703
86.93	Outlays from discretionary balances	41	248	185
86.97	Outlays from new mandatory authority	156	119	324
86.98	Outlays from mandatory balances	1	32	6
87.00	Total outlays (gross)	957	1,142	1,218

Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-17	-16	-16
88.40	Non-Federal sources	-22	-20	-20
88.90	Total, offsetting collections (cash)	-39	-36	-36

Net budget authority and outlays:				
89.00	Budget authority	1,075	1,016	1,123
90.00	Outlays	918	1,106	1,182

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	1,051	991	1,098
90.00	Outlays	894	1,081	1,157

Summary of Budget Authority and Outlays

	(in millions of dollars)		
	2001 actual	2002 est.	2003 est.
Enacted/requested:			
Budget Authority	1,075	1,016	1,123
Outlays	918	1,106	1,182
Legislative proposal, not subject to PAYGO:			
Budget Authority			-5
Outlays			-5
Total:			
Budget Authority	1,075	1,016	1,118
Outlays	918	1,106	1,177

The major objectives of the Animal and Plant Health Inspection Service (APHIS) are to protect the animal and plant resources of the Nation from destructive pests and diseases. This mission is carried out under the five major areas of activity, as follows:

Pest and disease exclusion.—The agency conducts inspection and quarantine activities at U.S. ports-of-entry to prevent the introduction of exotic animal and plant diseases and pests. APHIS develops and conducts preclearance programs to ensure that agricultural products destined for U.S. ports-of-entry do not present a risk to U.S. agriculture. APHIS engages in cooperative programs in foreign countries to control pests of imminent concern to the United States. APHIS also certifies plants and plant products for export and regulates imports and exports of designated endangered plant species. User fees have been implemented to recover the cost of certain agricultural quarantine inspection services. The 1996 Farm Bill provides that beginning in 2003, all AQI user fee collections will become available without the need for annual appropriations, and the program will operate like typical user fee programs, with spending determined by the demand for AQI services. The 2003 budget provides increases to enhance border inspections and international programs.

Plant and animal health monitoring.—The Agency conducts programs to assess animal and plant health and to detect endemic and exotic diseases and pests. The plant and animal health monitoring programs are primarily cooperative efforts of the Federal and State governments, and industry. The Agency also carries out surveys in cooperation with the States to detect harmful plant and animal pests and diseases and to determine if there is a need for pest eradication programs. The 2003 budget includes significant increases to improve the monitoring of plants and animals for the rapid, detection of diseases such as foot and mouth disease.

Pest and disease management programs.—The Agency carries out programs to control and eradicate infestations and animal diseases that threaten the United States; to reduce agricultural losses caused by predatory animals, birds, and rodents; to provide technical assistance to States, counties, farmer or rancher groups, and foundations; and to ensure compliance with interstate movement and disease control regulations. Interstate shipments of plants, livestock, and related materials are monitored and regulated to prevent the spread of disease. APHIS protects agriculture from detrimental animal predators through identification, demonstration, and application of the most appropriate methods of control. The 2003 budget proposes a significant increase to fund the ongoing eradication costs of infestation; currently funded through transfers from the Commodity Credit Corporation (CCC), and to reduce Federal assistance for wildlife services operations since much of the program provides direct assistance to private landowners.

Animal care.—The Agency conducts regulatory activities which ensure the humane care and handling of animals used in research, exhibition, or the wholesale pet trade. The Agency is also responsible for administering the Horse Protection Act, which prohibits the showing, selling, or exhibition of sore horses.

General and special funds—Continued

SALARIES AND EXPENSES—Continued
(INCLUDING TRANSFERS OF FUNDS)—Continued

Scientific and technical services.—APHIS develops methods to control animals and pests that are detrimental to agriculture, other wildlife, and public safety. The agency regulates genetic research to guard against the release of potentially harmful organisms into the environment. APHIS also conducts veterinary diagnostic laboratory activities and biologic regulatory enforcement to ensure that the products developed for combatting disease are potent, safe, and pure. It also provides and directs technology development in coordination with other groups in APHIS and Plant Protection and Quarantine (PPQ) officials to support PPQ programs of the Agency and its cooperators at the State, national, and international levels.

Object Classification (in millions of dollars)

Identification code 12-1600-0-1-352	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	340	351	333
11.3 Other than full-time permanent	4	9	5
11.5 Other personnel compensation	57	70	66
11.9 Total personnel compensation	401	430	404
12.1 Civilian personnel benefits	109	120	128
13.0 Benefits for former personnel	1	1	1
21.0 Travel and transportation of persons	29	40	34
22.0 Transportation of things	8	9	9
23.1 Rental payments to GSA			27
23.2 Rental payments to others	4	20	18
23.3 Communications, utilities, and miscellaneous charges	12	9	10
24.0 Printing and reproduction	3	9	3
25.2 Other services	200	241	178
26.0 Supplies and materials	31	40	36
31.0 Equipment	44	50	52
Grants, subsidies, and contributions:			
United States-Colombia Commission to Prevent Foot-and-Mouth Disease			
41.0	1		2
41.0 Joint Screwworm eradication programs	9		12
41.0 Joint Commission on the Mediterranean Fruit Fly	10		14
41.0 Other grants, subsidies, and contributions	30	41	34
Insurance claims and indemnities:			
42.0 Brucellosis			
42.0 Pseudorabies	20		
42.0 Other insurance claims and indemnities	1	9	1
99.0 Direct obligations	913	1,019	963
99.0 Reimbursable obligations	85	88	88
99.9 Total new obligations	998	1,107	1,051

Personnel Summary

Identification code 12-1600-0-1-352	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	6,101	6,876	7,806
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	750	748	748

SALARIES AND EXPENSES

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 12-1600-2-1-352	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.04 Animal care			-5
09.01 Reimbursable program			5

10.00 Total new obligations			
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation			-5
68.00 Spending authority from offsetting collections: Offsetting collections (cash)			5
70.00 Total new budget authority (gross)			
Change in obligated balances:			
73.10 Total new obligations			
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources			-5
Net budget authority and outlays:			
89.00 Budget authority			-5
90.00 Outlays			-5

Legislation will be proposed to establish user fees for APHIS' costs for animal welfare inspections, such as for animal research centers, humane societies, and kennels.

This is one of the proposals in the budget to charge fees to users directly availing themselves of, or subject to, a government service, program, or activity, in order to cover the government's costs. Legislation will be proposed to authorize the fees.

Object Classification (in millions of dollars)

Identification code 12-1600-2-1-352	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent			-3
12.1 Civilian personnel benefits			-1
25.2 Other services			-1
99.0 Direct obligations			-5
99.0 Reimbursable obligations			5
99.9 Total new obligations			

Personnel Summary

Identification code 12-1600-2-1-352	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment			-42
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment			42

BUILDINGS AND FACILITIES

For plans, construction, repair, preventive maintenance, environmental support, improvement, extension, alteration, and purchase of fixed equipment or facilities, as authorized by 7 U.S.C. 2250, and acquisition of land as authorized by 7 U.S.C. 428a, **[\$7,189,000]** \$13,189,000, to remain available until expended. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.*)

For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Buildings and Facilities", \$14,081,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38. (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 12-1601-0-1-352	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)	14	28	14
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	16	12	5
22.00 New budget authority (gross)	10	21	13

23.90	Total budgetary resources available for obligation	26	33	18
23.95	Total new obligations	-14	-28	-14
24.40	Unobligated balance carried forward, end of year	12	5	4
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	10	21	13
Change in obligated balances:				
72.40	Obligated balance, start of year	6	12	27
73.10	Total new obligations	14	28	14
73.20	Total outlays (gross)	-8	-13	-19
74.40	Obligated balance, end of year	12	27	22
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	2	5	3
86.93	Outlays from discretionary balances	5	8	16
87.00	Total outlays (gross)	8	13	19
Net budget authority and outlays:				
89.00	Budget authority	10	21	13
90.00	Outlays	9	13	19

The buildings and facilities account provides for construction, repairs, preventive maintenance, and alterations, as needed, for APHIS operated facilities, which include animal quarantine stations, border inspection stations, sterile insect rearing facilities, and laboratories.

The 2003 budget proposes \$13 million for this program, which consists of \$5 million for repairs, alterations, preventive maintenance, and renovations for currently owned APHIS facilities, \$3 million for the modernization of the Plum Island, New York, animal disease center, and \$5 million to assist with the construction of the Miami animal import center.

Trust Funds

MISCELLANEOUS TRUST FUNDS

Unavailable Collections (in millions of dollars)

Identification code 12-9971-0-7-352	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 Miscellaneous contributed funds	9	13	14
Appropriations:			
05.00 Miscellaneous trust funds	-9	-13	-14
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 12-9971-0-7-352	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	14	11	8
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	9	4	6
22.00 New budget authority (gross)	9	13	14
23.90 Total budgetary resources available for obligation	18	17	20
23.95 Total new obligations	-14	-11	-8
24.40 Unobligated balance carried forward, end of year	4	6	11
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)	9	13	14
Change in obligated balances:			
72.40 Obligated balance, start of year	-8	5	8
73.10 Total new obligations	14	11	8
73.20 Total outlays (gross)	-1	-8	-14
74.40 Obligated balance, end of year	5	8	2
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority		7	8
86.98 Outlays from mandatory balances	1	1	5

87.00	Total outlays (gross)	1	8	14
Net budget authority and outlays:				
89.00	Budget authority	9	13	14
90.00	Outlays	1	8	14

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	9	13	13
90.00	Outlays	1	8	13

The following services are financed by fees and miscellaneous contributions advanced by importers, manufacturers, States, organizations, individuals, and others:

Miscellaneous contributed funds.—Funds are received from States, local organizations, individuals, and others and are available for plant and animal quarantine inspection and cooperative plant and animal disease and pest control activities (7 U.S.C. 450b, 2220). Commencing in 1979, fees were collected for the importation of commercial birds.

Object Classification (in millions of dollars)

Identification code 12-9971-0-7-352	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	4	3	2
11.3 Other than full-time permanent	3	2	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	8	6	4
12.1 Civilian personnel benefits	3	1	2
21.0 Travel and transportation of persons	1	2	
25.2 Other services	1	1	1
26.0 Supplies and materials	1	1	1
99.9 Total new obligations	14	11	8

Personnel Summary

Identification code 12-9971-0-7-352	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	108	108	108

FOOD SAFETY AND INSPECTION SERVICE

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses to carry out services authorized by the Federal Meat Inspection Act, the Poultry Products Inspection Act, and the Egg Products Inspection Act, including not to exceed \$50,000 for representation allowances and for expenses pursuant to section 8 of the Act approved August 3, 1956 (7 U.S.C. 1766), **[\$715,642,000] \$803,598,000**, of which no less than **[\$608,730,000] \$722,019,000** shall be available for Federal food safety inspection; and in addition, \$1,000,000 may be credited to this account from fees collected for the cost of laboratory accreditation as authorized by section 1017 of Public Law 102-237: *Provided*, That this appropriation shall be available for field employment pursuant to the second sentence of section 706(a) of the Organic Act of 1944 (7 U.S.C. 2225), and not to exceed \$75,000 shall be available for employment under 5 U.S.C. 3109: *Provided further*, That this appropriation shall be available pursuant to law (7 U.S.C. 2250) for the alteration and repair of buildings and improvements, but the cost of altering any one building during the fiscal year shall not exceed 10 percent of the current replacement value of the building. (7 U.S.C. 450, 1901-06; 10 U.S.C. 2306; 18 U.S.C. 1114; 21 U.S.C. 451-470, 601-624, 641-645, 661, 671-680, 691-692; 694-695; Public Law 99-641; Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

General and special funds—Continued

SALARIES AND EXPENSES—Continued

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for “Food Safety and Inspection Service”, \$15,000,000, to remain available until expended, to be obligated from amounts made available in Public Law 107–38.] (Emergency Supplemental Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 12–3700–0–1–554	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	732	791	804
09.01 Reimbursable program	96	99	99
10.00 Total new obligations	828	890	903
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	11	10	3
22.00 New budget authority (gross)	841	883	903
23.90 Total budgetary resources available for obligation	852	893	906
23.95 Total new obligations	–828	–890	–903
23.98 Unobligated balance expiring or withdrawn	–14		
24.40 Unobligated balance carried forward, end of year	10	3	3
New budget authority (gross), detail:			
Discretionary:			
Appropriation:			
40.00 Appropriation	747	769	804
40.00 Appropriation (terrorist response)		15	
40.35 Appropriation rescinded	–2		
43.00 Appropriation (total discretionary)	745	784	804
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	96	99	99
70.00 Total new budget authority (gross)	841	883	903
Change in obligated balances:			
72.40 Obligated balance, start of year		66	75
73.10 Total new obligations	828	890	903
73.20 Total outlays (gross)	–794	–881	–899
73.40 Adjustments in expired accounts (net)	32		
74.40 Obligated balance, end of year	66	75	79
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	749	835	851
86.93 Outlays from discretionary balances	45	47	48
87.00 Total outlays (gross)	794	881	899
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	–96	–99	–99
Net budget authority and outlays:			
89.00 Budget authority	745	784	804
90.00 Outlays	698	782	800
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	695	731	763
90.00 Outlays	648	729	759

The primary objectives of the Food Safety and Inspection Service are to ensure that meat, poultry, shell egg, and egg products are wholesome, unadulterated, and properly labeled and packaged, as required by the Federal Meat Inspection Act, the Poultry Products Inspection Act, and the Egg Products Inspection Act. Providing adequate resources for Federal food safety agencies is a priority of the Administration, and the 2003 budget proposes an \$88 million increase for inspection of meat, poultry, shell egg and egg products, including

employee pension and annuitant health benefits. This increase will cover pay cost increases for Federal and State inspection programs, and initiatives for: continued improvements toward a science-driven, risk-based food safety program, and strengthening information technology and education.

The meat, poultry, shell egg and egg products inspection program of the Food Safety and Inspection Service provides in-plant inspection of all domestic plants preparing meat, poultry, shell eggs, or egg products for sale or distribution; reviews foreign inspection systems and establishments that prepare meat, poultry, or egg products for export to the United States; and provides technical and financial assistance to States which maintain meat and poultry inspection programs.

The budget proposes to modify the existing overtime fee structure to more equitably distribute costs and to implement a new licensing fee, from which the receipts would be available without appropriation, to cover the cost of food safety-related activities and research. Both proposals are expected to be implemented in 2004.

FEDERALLY FUNDED INSPECTION ACTIVITIES

	2001 actual	2002 est.	2003 est.
Federally inspected establishments:			
Slaughter plants	230	222	210
Processing plants	4,201	4,175	4,120
Combination slaughter and processing plants	933	912	890
Talmadge-Aiken plants	242	235	230
Import establishments	120	115	110
Egg plants	72	70	68
Other plants	478	459	423
Federally inspected and passed production (millions of pounds):			
Meat slaughter	47,397	47,900	48,400
Poultry slaughter	46,728	47,500	48,500
Egg products	3,134	3,150	3,200
Import/export activity (millions of pounds):			
Meat and poultry imported	3,775	3,800	3,850
Meat and poultry exported	11,113	11,200	11,250
States and territories with cooperative programs:^a			
Intrastate inspection	27	29	29
Talmadge-Aiken inspection	9	9	9
Number of slaughter and/or processing plants (excludes exempt plants)	2,054	2,110	2,150
Pounds inspected slaughter (millions)	546	547	547
Compliance activities:			
Corrective action reviews	32,224	32,000	32,000
Corrective actions completed	584	580	580
Product Testing (samples analyzed):			
Food chemistry	8,550	9,000	9,000
Food microbiology	83,674	84,000	84,000
Chemical residues	55,245	56,000	56,000
Antibiotic residues	252,046	252,000	252,000
Pathology samples	5,575	5,600	5,600
Egg Products:			
Food microbiology	1,840	1,900	1,900
Chemical residues	1,751	1,800	1,800
Consumer Education and public outreach:			
Meat and poultry hotline calls received	85,613	86,000	87,000
Website visits	50,000	55,000	60,000
Electronic messages received	5,340	6,000	7,000
Publication subscriptions	14,478	15,000	16,000
Epidemiological Investigations:			
Cooperative efforts with State and public health offices	26	26	26
Illnesses reported and treated ^b	508	500	500
Field Automation and Information Management Project:			
Number of computers to be provided to federal field inspection staff	1,177	850	700
Number of computers to be provided to state field inspection staff	76	80	178

^a States with cooperative agreements which are operating programs.

^b Data must be collected over a number of years to chart national trends and estimate the incidence of foodborne illness and treatment.

Object Classification (in millions of dollars)

Identification code 12–3700–0–1–554	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	384	406	419
11.3 Other than full-time permanent	15	15	16

11.5	Other personnel compensation	18	19	20
11.9	Total personnel compensation	417	440	455
12.1	Civilian personnel benefits	172	180	177
13.0	Benefits for former personnel	1	1	1
21.0	Travel and transportation of persons	26	29	26
22.0	Transportation of things	2	2	2
23.1	Rental payments to GSA	1	3	8
23.2	Rental payments to others	1	1	1
23.3	Communications, utilities, and miscellaneous charges	9	12	10
24.0	Printing and reproduction	2	2	2
25.1	Advisory and assistance services	8	13	11
25.2	Other services	5	4	4
25.3	Other purchases of goods and services from Government accounts	24	27	25
25.4	Operation and maintenance of facilities	1	2	2
25.8	Subsistence and support of persons	1	1	1
26.0	Supplies and materials	9	10	10
31.0	Equipment	10	19	25
41.0	Grants, subsidies, and contributions	42	43	43
99.0	Direct obligations	731	789	803
99.0	Reimbursable obligations	93	99	99
99.5	Below reporting threshold	4	2	1
99.9	Total new obligations	828	890	903

Personnel Summary

Identification code 12-3700-0-1-554	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	9,321	9,409	9,428
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	216	216	216

Trust Funds

EXPENSES AND REFUNDS, INSPECTION AND GRADING OF FARM PRODUCTS

Unavailable Collections (in millions of dollars)

Identification code 12-8137-0-7-352	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 Fees for inspection and grading of farm products	3	3	3
Appropriations:			
05.00 Expenses and refunds, inspection and grading of farm products	-3	-3	-3
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 12-8137-0-7-352	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	4	3	3
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	3	3	3
23.95 Total new obligations	-4	-3	-3
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)	3	3	3
Change in obligated balances:			
72.40 Obligated balance, start of year		6	6
73.10 Total new obligations	4	3	3
73.20 Total outlays (gross)		-3	-3
74.40 Obligated balance, end of year	6	6	6
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority		3	3
Net budget authority and outlays:			
89.00 Budget authority	3	3	3

90.00	Outlays	3	3
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Under authority of the Agricultural Marketing Act of 1946, Federal meat and poultry inspection services are provided upon request and for a fee in cases where inspection is not mandated by statute. This service includes: certifying products for export beyond the requirements of export certificates; inspecting certain animals and poultry intended for human food where inspection is not required by statute, such as buffalo, rabbit, and quail; and inspecting products intended for animal consumption.

Object Classification (in millions of dollars)

Identification code 12-8137-0-7-352	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	2	1	1
11.5 Other personnel compensation		1	1
11.9 Total personnel compensation	2	2	2
12.1 Civilian personnel benefits	1		
99.0 Direct obligations	3	2	2
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	4	3	3

Personnel Summary

Identification code 12-8137-0-7-352	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	45	36	36

GRAIN INSPECTION, PACKERS AND STOCKYARDS ADMINISTRATION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses to carry out the provisions of the United States Grain Standards Act, for the administration of the Packers and Stockyards Act, for certifying procedures used to protect purchasers of farm products, and the standardization activities related to grain under the Agricultural Marketing Act of 1946, including field employment pursuant to the second sentence of section 706(a) of the Organic Act of 1944 (7 U.S.C. 2225), and not to exceed \$25,000 for employment under 5 U.S.C. 3109, **[\$33,117,000] \$42,908,000: Provided,** That this appropriation shall be available pursuant to law (7 U.S.C. 2250) for the alteration and repair of buildings and improvements, but the cost of altering any one building during the fiscal year shall not exceed 10 percent of the current replacement value of the building. (7 U.S.C. 71, 74-79, 84-87, 181-229, 1621-27; *Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 12-2400-0-1-352	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Standardization	4	4	5
00.02 Compliance	6	6	7
00.03 Methods development	5	6	7
00.04 Packers and stockyards program	18	19	24
10.00 Total new obligations	33	35	43
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	34	35	43
23.95 Total new obligations	-33	-35	-43
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	34	35	43

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 12-2400-0-1-352	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year	6	4	4
73.10 Total new obligations	33	35	43
73.20 Total outlays (gross)	-35	-35	-43
74.40 Obligated balance, end of year	4	4	4
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	29	30	37
86.93 Outlays from discretionary balances	5	5	5
87.00 Total outlays (gross)	35	35	43
Net budget authority and outlays:			
89.00 Budget authority	34	35	43
90.00 Outlays	35	35	43
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	32	33	41
90.00 Outlays	33	33	41

Summary of Budget Authority and Outlays

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Enacted/requested:			
Budget Authority	34	35	43
Outlays	34	35	42
Legislative proposal, not subject to PAYGO:			
Budget Authority			-29
Outlays			-29
Total:			
Budget Authority	34	35	14
Outlays	34	35	13

The Grain Inspection, Packers and Stockyards Administration (GIPSA) establishes official United States standards for grain, promotes the uniform application thereof by official inspection personnel, provides for an official inspection system for grain, and regulates the weighing and certification of the weight of grain shipped in interstate or foreign commerce as authorized by the U.S. Grain Standards Act (USGSA), as amended, and the regulations thereof, and the Agricultural Marketing Act of 1946 (AMA).

Standardization activities include establishing and updating U.S. grain standards, research, and developing and improving methods to ensure the accurate and uniform application of the standards.

The compliance activities ensure the accurate and uniform application of the USGSA and applicable provisions of the AMA. The compliance program functions include: (1) evaluating alleged violations and initiating preliminary investigations; (2) initiating the implementation of corrective actions; (3) conducting management and technical reviews; (4) administering the designations and delegations of State and private agencies to perform official functions and monitoring the performance of the agencies; (5) identifying and, where appropriate, waiving and monitoring conflicts of interest; (6) licensing personnel of delegated States and designated agencies; (7) registering persons/firms engaged in the business of buying grain for sale in foreign commerce, and in the business of handling, weighing, or transporting of grain for sale in foreign commerce; (8) responding to audits of Grain Inspection programs; and (9) reviewing and, when appropriate, approving official agencies' fee schedules.

The Office of International Affairs briefs foreign buyers, assesses foreign inspection and weighing techniques, and responds to foreign quality and quantity complaints.

An advisory committee consisting of members from the grain industry exists to advise the Agency regarding efficient and economical implementation of the USGSA.

The Grain Quality Improvement Act of 1986 was enacted on November 10, 1986, to improve the quality of U.S. grain by prohibiting the introduction and reintroduction of dockage and foreign material to grain.

For 2003, authorizing legislation will be submitted to permit, subject to appropriations, the collection and use of fees to cover the cost of standardization activities.

The goal of the Packers and Stockyards program is to ensure the integrity of the livestock, meat, and poultry markets and the marketplace in order to protect producers against unfair, deceptive, or discriminatory practices as well as those that are predatory or monopolistic in nature. Consumers and members of the livestock, poultry, and meat industries are also protected against unfair business practices in the marketing of livestock, meat and poultry, and from restrictions on competition which could unduly affect prices. The Agency also carries out the Secretary's responsibilities under Section 1324 of the Food Security Act of 1985 covering "central filing systems" established by States for pre-notification of security interests against farm products.

Authorizing legislation will be submitted that would establish a license fee that, subject to appropriations, would allow the collection and expenditure of funds for all costs associated with administering the Packers and Stockyards Act.

MAIN WORKLOAD FACTORS

	2001 actual	2002 est.	2003 est.
U.S. standards in effect at end of year	19	19	19
Standards reviews in progress	3	3	3
Standards reviews completed		3	3
Inspection techniques developed	40	40	40
On-site investigations	4	10	10
Designations renewed	20	20	16
Registration certificates issued	75	75	75
Investigations	1,619	1,700	1,750
Market agencies/dealers registered	6,241	6,250	6,250
Stockyards posted	1,525	1,520	1,520
Slaughtering and processing packers subject to the Act (estimated)	6,000	6,000	6,000
Distributors, brokers, and dealers subject to the Act (estimated)	6,800	6,800	6,800
Poultry operations subject to the Act	205	205	205

Object Classification (in millions of dollars)

Identification code 12-2400-0-1-352	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	19	20	22
12.1 Civilian personnel benefits	7	7	8
21.0 Travel and transportation of persons	1	1	2
23.1 Rental payments to GSA			1
23.3 Communications, utilities, and miscellaneous charges	1	1	2
25.2 Other services	3	3	4
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	2	3
99.9 Total new obligations	33	35	43

Personnel Summary

Identification code 12-2400-0-1-352	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	330	355	375

SALARIES AND EXPENSES
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 12-2400-2-1-352	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Standardization			-6
00.04 Packers and stockyards program			-23
09.01 Reimbursable program			29
10.00 Total new obligations			
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation			-29
68.00 Spending authority from offsetting collections: Offsetting collections (cash)			29
70.00 Total new budget authority (gross)			
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources			-29
Net budget authority and outlays:			
89.00 Budget authority			-29
90.00 Outlays			-29

Legislation will be proposed to establish a fee for the standardization activities of the Grain Inspection, Packers and Stockyards Administration, and a licensing fee to cover the costs of administering meat packers and stockyards activities.

This is one of the proposals in the budget to charge fees to users directly availing themselves of, or subject to, a government service, program, or activity, in order to cover the government's costs. Legislation will be proposed to authorize the fees.

Object Classification (in millions of dollars)

Identification code 12-2400-2-1-352	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent			-16
12.1 Civilian personnel benefits			-5
21.0 Travel and transportation of persons			-1
23.1 Rental payments to GSA			-1
25.2 Other services			-3
26.0 Supplies and materials			-1
31.0 Equipment			-2
99.0 Direct obligations			-29
99.0 Reimbursable obligations			29
99.9 Total new obligations			

Personnel Summary

Identification code 12-2400-2-1-352	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment			-252
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment			252

Public enterprise funds:

LIMITATION ON INSPECTION AND WEIGHING SERVICES EXPENSES

Not to exceed \$42,463,000 (from fees collected) shall be obligated during the current fiscal year for inspection and weighing services: *Provided*, That if grain export activities require additional supervision and oversight, or other uncontrollable factors occur, this limitation may be exceeded by up to 10 percent with notification to the Committees on Appropriations of both Houses of Congress. (7 U.S.C. 71,

74-79, 84-87, 1621-27; Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 12-4050-0-3-352	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	36	44	44
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	6	5	5
22.00 New budget authority (gross)	34	44	44
23.90 Total budgetary resources available for obligation	40	49	49
23.95 Total new obligations	-36	-44	-44
24.40 Unobligated balance carried forward, end of year	5	5	5
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	34	44	44
Change in obligated balances:			
72.40 Obligated balance, start of year	-3	-3	-3
73.10 Total new obligations	36	44	44
73.20 Total outlays (gross)	-34	-44	-44
74.40 Obligated balance, end of year	-3	-3	-3
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	34	44	44
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-34	-44	-44
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	2		

The Grain Inspection, Packers and Stockyards Administration (GIPSA) provides a uniform system for the inspection and weighing of grain. Services provided under this system are financed through a fee supported revolving fund. This authority has been extended through September 2005.

Fee supported programs include direct services, supervision activities and administrative functions. Direct services include official grain inspection and weighing by GIPSA employees at certain export ports as well as the inspection of U.S. grain shipped through Canada. The Agency supervises the inspection and weighing activities performed by its own employees. The Agency also oversees the inspection and weighing of grain performed by employees of 8 delegated States and 51 designated State and private agencies. The Agency provides an appeal service of original grain inspections and a registration system for grain exporting firms. Through support from the Association of American Railroads and user fees, GIPSA conducts a railroad track scale testing program. In addition, the agency provides grading services, on request, for rice and grain related products under the authority of the Agricultural Marketing Act of 1946 (AMA).

	2001 actual	2002 est.	2003 est.
Export grain inspected and/or weighed (million metric tons):			
By Federal personnel	78.8	84.3	91.8
By delegated States	27.2	29.1	31.8
Quantity of grain inspected (all official inspections) domestically million metric tons	128.6	125.4	128.3
Number of inspections and reinspections:			
By Federal personnel	111,802	110,000	110,000
By delegated state/official agency licenses	1,798,948	1,790,000	1,790,000
Number of appeals	3,105	3,100	3,100
Number of appeals carried to the Board of Appeals and Review	431	400	400
Quantity of rice inspected (million metric tons)	3.1	3.4	3.2
Quantity of rice exports (million metric tons)	3.3	2.7	2.5

Public enterprise funds—Continued

LIMITATION ON INSPECTION AND WEIGHING SERVICES EXPENSES—Continued

Object Classification (in millions of dollars)

Identification code 12-4050-0-3-352	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	18	22	22
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	5	6	6
11.9 Total personnel compensation	24	29	29
12.1 Civilian personnel benefits	7	8	8
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	1	1	1
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	2	2	2
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	1	1
99.9 Total new obligations	36	44	44

Personnel Summary

Identification code 12-4050-0-3-352	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	420	455	455

AGRICULTURAL MARKETING SERVICE

Federal Funds

General and special funds:

MARKETING SERVICES

For necessary expenses to carry out services related to consumer protection, agricultural marketing and distribution, transportation, and regulatory programs, as authorized by law, and for administration and coordination of payments to States, including field employment pursuant to the second sentence of section 706(a) of the Organic Act of 1944 (7 U.S.C. 2225) and not to exceed \$90,000 for employment under 5 U.S.C. 3109, **[\$71,430,000] \$77,689,000**, including funds for the wholesale market development program for the design and development of wholesale and farmer market facilities for the major metropolitan areas of the country: *Provided*, That this appropriation shall be available pursuant to law (7 U.S.C. 2250) for the alteration and repair of buildings and improvements, but the cost of altering any one building during the fiscal year shall not exceed 10 percent of the current replacement value of the building.

Fees may be collected for the cost of standardization activities, as established by regulation pursuant to law (31 U.S.C. 9701). (7 U.S.C. 91-99, 136i-136l, 138-138l, 291-292, 415b-415d, 471-476, 501-508, 581-599, 951-957, 1031-1056, 1291, 1551-56, 1621-27, 2204(b)(c), 4401-06, 6501-22; 15 U.S.C. 714-714p; 21 U.S.C. 1031-56; 26 U.S.C. 6804, 7233, 7263, 7492-93, 7701; 49 U.S.C. 1653.)

LIMITATION ON ADMINISTRATIVE EXPENSES LEVEL

Not to exceed **[\$60,596,000] \$63,455,000** (from fees collected) shall be obligated during the current fiscal year for administrative expenses: *Provided*, That if crop size is understated and/or other uncontrollable events occur, the agency may exceed this limitation by up to 10 percent with notification to the Committees on Appropriations of both Houses of Congress. (7 U.S.C. 15b, 51-65, 511-511q, 511r; *Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 12-2500-0-1-352	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Market news service	29	30	31
00.02 Inspection and standardization	6	6	6
00.03 Market protection and promotion	28	31	34
00.04 Wholesale market development	2	3	3

00.05 Transportation services	2	3	3
09.01 Reimbursable program	74	65	67
10.00 Total new obligations	141	138	144

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	43	13	13
22.00 New budget authority (gross)	111	138	144
23.90 Total budgetary resources available for obligation	154	151	157
23.95 Total new obligations	-141	-138	-144
23.98 Unobligated balance expiring or withdrawn	-1		
24.40 Unobligated balance carried forward, end of year	13	13	13

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	67	73	77
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	44	65	67
70.00 Total new budget authority (gross)	111	138	144

Change in obligated balances:

72.40 Obligated balance, start of year	-20	21	30
73.10 Total new obligations	141	138	144
73.20 Total outlays (gross)	-103	-129	-143
73.40 Adjustments in expired accounts (net)	-1		
74.10 Change in uncollected customer payments from Federal sources (expired)	4		
74.40 Obligated balance, end of year	21	30	31

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	111	130	135
86.93 Outlays from discretionary balances	-8	-1	8
87.00 Total outlays (gross)	103	129	143

Offsets:

Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-44	-65	-67

Net budget authority and outlays:

89.00 Budget authority	67	73	77
90.00 Outlays	59	64	76

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	65	71	75
90.00 Outlays	57	62	74

Agricultural Marketing Service activities assist producers and handlers of agricultural commodities by providing a variety of marketing services. These services continue to become more complex as the volume of agricultural commodities increases, as a greater number of new processed commodities are developed, and as the agricultural market structure undergoes extensive changes. Marketing changes include increased concentration in food retailing, direct buying, decentralization of processing, growth of interregional competition, vertical integration, and contract farming.

In 2003, increases of \$1 million have been provided for international market news and for the Federal seed program.

The individual Marketing Services activities include:

Market news service.—The market news program provides the agricultural community with information pertaining to the movement of agricultural products. This nationwide service provides daily reports on the supply, demand, and price of over 700 commodities on domestic and foreign markets.

Inspection, grading and standardization.—Nationally uniform standards of quality for agricultural products are established and applied to specific lots of products to: promote confidence between buyers and sellers; reduce hazards in marketing due to misunderstandings and disputes arising from the use of nonstandard descriptions; and encourage better

preparation of uniform quality products for market. Grading services are provided for cotton and domestic and imported tobacco.

Quarterly inspection of egg handlers and hatcheries is conducted to ensure the proper disposition of shell eggs unfit for human consumption.

MARKET NEWS PROGRAM

	2001 actual	2002 est.	2003 est.
Percentage of reports released on time	93%	93%	93%

COTTON AND TOBACCO USER FEE PROGRAM

	2001 actual	2002 est.	2003 est.
Cotton classed (samples in millions)	16	19.2	15.9
Tobacco graded at auction markets (million pounds)	942	382	382
Imported tobacco inspected at markets and ports of entry (million pounds)	300	186	186

FEDERALLY FUNDED INSPECTION AND PROCUREMENT ACTIVITIES

	2001 actual	2002 est.	2003 est.
States and Commonwealths with cooperative agreements	40	40	40
Percentage of noncomplying shell egg lots that are reprocessed or diverted	100%	100%	100%

STANDARDIZATION ACTIVITIES

	2001 actual	2002 est.	2003 est.
International and U.S. standards in effect, end of fiscal year	242	242	242
Number of commodities covered	1,335	1,342	1,347

Market protection and promotion.—This program consists of: (1) the research and promotion programs which are designed to improve the competitive position and expand markets for cotton, eggs and egg products, honey, pork, beef, dairy products, potatoes, watermelons, mushrooms, soybeans, fluid milk, popcorn, blueberries, avocado, and peanut; (2) the Federal Seed Act; and (3) the administration of the Capper-Volstead Act and the Agricultural Fair Practices Act.

The pesticide recordkeeping program monitors compliance of private certified applicators with Federal regulations requiring them to keep records of restricted pesticides used in agricultural production.

The pesticide data program develops comprehensive, statistically defensible information on pesticide residues in food to improve government dietary risk procedures.

Federal seed inspectors conduct tests on seed samples to help ensure truthful labeling of agricultural and vegetable seeds sold in interstate commerce.

The Capper-Volstead Act and the Agricultural Fair Practices Act protect producers against discriminatory practices by handlers, permit producers to engage in cooperative efforts, and ensure that such cooperatives do not engage in practices that monopolize or restrain trade.

The national organic program certifies that organically produced food products meet national standards.

MARKET PROTECTION AND PROMOTION ACTIVITIES

	2001 actual	2002 est.	2003 est.
Pesticide data program:			
Number of analyses performed	65,000	67,000	68,000
Percentage of sampling and analysis goal	100%	100%	100%
Pesticide recordkeeping:			
Number of State/Federal Inspections conducted	4,600	4,600	4,600
Percentage of sampling goal attained	98%	98%	98%
Seed Act:			
Interstate investigations:			
Completed	484	560	700
Pending	672	600	500
Seed samples tested	1,509	1,700	2,600
Percentage of cases submitted that are completed	94%	92%	94%
Plant Variety Protection Act:			
Percentage of application processing goal completed	100%	100%	100%
Number of applications received	292	260	260
Certificates of protection issued	495	450	450
Research and promotion collections (dollars in millions)676	.673	.687
Percentage of board budgets and marketing plans approved within time frame goal	85%	91%	91%

Wholesale market development.—This program is designed to enhance the marketing of agricultural commodities in the United States by conducting research into more efficient marketing methods for agricultural commodities and by providing technical assistance to urban areas interested in improving their food distribution facilities.

Transportation Services.—The activities are designed to ensure that the Nation's transportation systems will adequately serve the needs of agriculture and rural areas of the United States.

WHOLESALE MARKET DEVELOPMENT ACTIVITIES

	2001 actual	2002 est.	2003 est.
Number of projects completed	10	10	10

TRANSPORTATION SERVICES ACTIVITIES

	2001 actual	2002 est.	2003 est.
Number of projects completed	7	8	8

Object Classification (in millions of dollars)

Identification code 12-2500-0-1-352	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	25	28	29
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	26	29	30
12.1 Civilian personnel benefits	8	9	10
21.0 Travel and transportation of persons	2	3	3
23.1 Rental payments to GSA			1
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	2	3	3
25.2 Other services	17	19	19
25.3 Other purchases of goods and services from Government accounts	3	4	4
25.7 Operation and maintenance of equipment	2	2	2
26.0 Supplies and materials	1	1	1
31.0 Equipment	5	2	3
99.0 Direct obligations	67	73	77
99.0 Reimbursable obligations	74	65	67
99.9 Total new obligations	141	138	144

Personnel Summary

Identification code 12-2500-0-1-352	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	520	552	566
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	634	649	649

PAYMENTS TO STATES AND POSSESSIONS

For payments to departments of agriculture, bureaus and departments of markets, and similar agencies for marketing activities under section 204(b) of the Agricultural Marketing Act of 1946 (7 U.S.C. 1623(b)), \$1,347,000. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 12-2501-0-1-352	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	1	1	1
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	1	1	1
23.95 Total new obligations	-1	-1	-1
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1	1	1

General and special funds—Continued

PAYMENTS TO STATES AND POSSESSIONS—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 12-2501-0-1-352	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year	2	2	1
73.10 Total new obligations	1	1	1
73.20 Total outlays (gross)	-1	-1	-1
74.40 Obligated balance, end of year	2	1	1
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	1	1	1
Net budget authority and outlays:			
89.00 Budget authority	1	1	1
90.00 Outlays	1	1	1

Grants are made on a matching fund basis to State departments of agriculture to carry out specifically approved programs designed to enhance marketing efficiency. Under this activity, specialists work with farmers, marketing firms, and other agencies in solving marketing problems and in using research results.

PERISHABLE AGRICULTURAL COMMODITIES ACT FUND

Unavailable Collections (in millions of dollars)

Identification code 12-5070-0-2-352	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.00 Deposits of Perishable Agricultural Commodities Act fees	38	7	8
Appropriations:			
05.00 Perishable Agricultural Commodities Act fund	-38	-7	-8
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 12-5070-0-2-352	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	71	8	10
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4	1	1
22.00 New budget authority (gross)	68	7	8
23.90 Total budgetary resources available for obligation	72	8	9
23.95 Total new obligations	-71	-8	-10
24.40 Unobligated balance carried forward, end of year	1	1	1
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	30		
60.20 Appropriation (special fund)	38	7	8
62.50 Appropriation (total mandatory)	68	7	8
Change in obligated balances:			
72.40 Obligated balance, start of year	-3	1	1
73.10 Total new obligations	71	8	10
73.20 Total outlays (gross)	-67	-8	-10
74.40 Obligated balance, end of year	1	1	1
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	67	7	8
86.98 Outlays from mandatory balances	1	2	2
87.00 Total outlays (gross)	67	8	10
Net budget authority and outlays:			
89.00 Budget authority	68	7	8
90.00 Outlays	67	8	10

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	68	7	7
90.00 Outlays	67	8	9

License fees are deposited in this special fund and are used to meet the costs of administering the Perishable Agricultural Commodities and the Produce Agency Acts (7 U.S.C. 491-497, 499a-499s).

The Acts are intended to ensure equitable treatment to farmers and others in the marketing of fresh and frozen fruits and vegetables. Commission merchants, dealers, and brokers handling these products in interstate and foreign commerce are licensed. Complaints of violations are investigated and violations dealt with by (a) informal agreements between the two parties, (b) formal decisions involving payment of reparation awards, and/or (c) suspension or revocation of license and/or publication of the facts. Beginning October 1, 1994, an additional fee was instituted for the filing of formal and informal complaints of violations of the Act. The November 1995 amendments to the Perishable Agricultural Commodities Act: (1) increase the license fee and phase out fees for wholesale grocers and retailers by 1999; (2) provide permanent authority to the Secretary of Agriculture to set license and reparation complaint filing fees; and repeal the 25 percent maximum funding reserve cap.

A 1984 amendment to the Perishable Agricultural Commodities Act requires traders to have trust assets on hand to meet their obligations to fruit and vegetable suppliers. To preserve their trust and establish their rights ahead of other creditors, unpaid suppliers file notice with both the Department and their debtors that payment is due.

The Agricultural Risk Protection Act of 2000 provided \$30 million for replenishment of the Perishable Agricultural Commodities Act Fund.

PERISHABLE AGRICULTURAL COMMODITIES ACT ACTIVITIES

	2001 actual	2002 est.	2003 est.
Percentage of informal reparation complaints completed within time frame goal	85%	85%	85%

Object Classification (in millions of dollars)

Identification code 12-5070-0-2-352	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	6	4	4
12.1 Civilian personnel benefits	1	1	2
21.0 Travel and transportation of persons	1	1	1
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	1	1	1
25.3 Other purchases of goods and services from Government accounts	1		1
33.0 Investments and loans	60		
99.9 Total new obligations	71	8	10

Personnel Summary

Identification code 12-5070-0-2-352	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	90	95	95

FUNDS FOR STRENGTHENING MARKETS, INCOME, AND SUPPLY (SECTION 32)

(INCLUDING TRANSFERS OF FUNDS)

Funds available under section 32 of the Act of August 24, 1935 (7 U.S.C. 612c), shall be used only for commodity program expenses as authorized therein, and other related operating expenses, except for: (1) transfers to the Department of Commerce as authorized by

the Fish and Wildlife Act of August 8, 1956; (2) transfers otherwise provided in this Act; and (3) not more than **[\$13,995,000]** \$15,485,000 for formulation and administration of marketing agreements and orders pursuant to the Agricultural Marketing Agreement Act of 1937 and the Agricultural Act of 1961. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Unavailable Collections (in millions of dollars)

Identification code 12-5209-0-2-605	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	10,251	10,436	10,097
Receipts:			
02.00 30 percent of customs duties, Funds for strengthening markets, income and supply	5,923	5,800	6,200
02.40 General fund payment. Funds for strengthening markets, income and supply		1	1
02.80 Funds for strengthening markets, income, and supply, offsetting collections	1	1	1
02.99 Total receipts and collections	5,924	5,802	6,202
04.00 Total: Balances and collections	16,175	16,238	16,299
Appropriations:			
05.00 Funds for strengthening markets, income, and supply (section 32)	-5,739	-6,141	-5,915
05.99 Total appropriations	-5,739	-6,141	-5,915
07.99 Balance, end of year	10,436	10,097	10,384

Program and Financing (in millions of dollars)

Identification code 12-5209-0-2-605	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
Commodity program payments:			
00.01 Child nutrition program purchases	400	400	400
00.02 Emergency surplus removal	200	246	215
00.03 Direct payment program	40	18	
00.04 Diversion payment program	12		
00.05 Lamb grading and certification support	1	2	
00.06 Specialty crop purchases	200		
00.07 State option contract		5	
00.08 Removal of defective commodities		1	
00.91 Subtotal, Commodity program payments	853	672	615
01.01 Administrative expenses	23	25	26
01.92 Total direct program	876	697	641
09.11 Reimbursable program	1	1	1
10.00 Total new obligations	877	698	642
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	241	108	300
22.00 New budget authority (gross)	739	891	641
22.10 Resources available from recoveries of prior year obligations	3		
23.90 Total budgetary resources available for obligation	983	999	941
23.95 Total new obligations	-877	-698	-642
24.40 Unobligated balance carried forward, end of year	108	300	300
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	200		
60.20 Appropriation (special fund)	5,739	6,141	5,914
61.00 Transferred to other accounts	-5,201	-5,251	-5,273
62.50 Appropriation (total mandatory)	739	890	641
69.00 Offsetting collections (cash)	1	1	1
70.00 Total new budget authority (gross)	739	891	640
Change in obligated balances:			
72.40 Obligated balance, start of year	74	148	148
73.10 Total new obligations	877	698	642
73.20 Total outlays (gross)	-799	-697	-641
73.45 Recoveries of prior year obligations	-3		
74.40 Obligated balance, end of year	148	148	148
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	484	442	405

86.98	Outlays from mandatory balances	315	255	236
87.00	Total outlays (gross)	799	697	641

Offsets:

Against gross budget authority and outlays:				
88.40	Offsetting collections (cash) from: Non-Federal sources	-1	-1	-1

Net budget authority and outlays:

89.00	Budget authority	739	890	641
90.00	Outlays	799	697	641

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	738	889	640
90.00	Outlays	798	696	640

Under section 32 of the act of August 24, 1935, as amended (7 U.S.C. 612c), an amount equal to 30 percent of customs receipts collected during each calendar year is automatically appropriated for expanding outlets for nonbasic commodities. An amount equal to 30 percent of receipts collected on fishery products is transferred to the Department of Commerce. Most of the funds are transferred to the Food and Nutrition Service and are used to purchase commodities under section 6 of the National School Lunch Act and other authorities specified in the child nutrition appropriation. If unforeseen commodity surpluses should develop, unobligated reserve balances are available for surplus removal.

Object Classification (in millions of dollars)

Identification code 12-5209-0-2-605	2001 actual	2002 est.	2003 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	10	12	13
12.1	Civilian personnel benefits	3	4	4
21.0	Travel and transportation of persons	1	1	1
22.0	Transportation of things	1	1	1
23.3	Communications, utilities, and miscellaneous charges	1	1	1
25.2	Other services	6	6	4
25.3	Other purchases of goods and services from Government accounts	2	2	2
25.7	Operation and maintenance of equipment	1	1	1
26.0	Supplies and materials: Grants of commodities to States	850	668	613
31.0	Equipment	1	1	1
99.0	Direct obligations	876	697	641
99.0	Reimbursable obligations	1	1	1
99.9	Total new obligations	877	698	642

Personnel Summary

Identification code 12-5209-0-2-605	2001 actual	2002 est.	2003 est.	
Direct:				
1001	Total compensable workyears: Full-time equivalent employment	152	168	177
Reimbursable:				
2001	Total compensable workyears: Full-time equivalent employment	13	13	13

Trust Funds**EXPENSES AND REFUNDS, INSPECTION AND GRADING OF FARM PRODUCTS****Unavailable Collections** (in millions of dollars)

Identification code 12-8015-0-7-352	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year		2	2
Receipts:			
02.20 Deposits of fees from inspection and grading of farm products	162	118	124
02.41 Payments from general fund, Wool research, development, and promotion	2	2	2
02.99 Total receipts and collections	164	120	126
04.00 Total: Balances and collections	164	122	128
Appropriations:			
05.00 Expenses and refunds, inspection and grading of farm products	-162	-120	-126
07.99 Balance, end of year	2	2	2

Program and Financing (in millions of dollars)

Identification code 12-8015-0-7-352	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Dairy products	8	7	7
00.02 Fruits and vegetables	105	58	58
00.03 Meat grading	27	25	25
00.04 Poultry products	39	26	26
00.05 Miscellaneous agricultural commodities	10	10	10
10.00 Total new obligations	189	126	126
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	28	7	7
22.00 New budget authority (gross)	168	126	126
23.90 Total budgetary resources available for obligation	196	133	133
23.95 Total new obligations	-189	-126	-126
24.40 Unobligated balance carried forward, end of year	7	7	7
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)	168	126	126
Change in obligated balances:			
72.40 Obligated balance, start of year	-15	-24	-19
73.10 Total new obligations	189	126	126
73.20 Total outlays (gross)	-198	-121	-126
74.40 Obligated balance, end of year	-24	-19	-19

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	153	121	121
86.98 Outlays from mandatory balances	45		5
87.00 Total outlays (gross)	198	121	126
Net budget authority and outlays:			
89.00 Budget authority	168	126	126
90.00 Outlays	198	121	126

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	162	120	120
90.00 Outlays	192	115	120

Expenses and refunds, inspection and grading of farm products.—The commodity grading programs provide grading, examination, and certification services for a wide variety of fresh and processed food commodities using federally approved grade standards and purchase specifications. Commodities graded include poultry, livestock, meat, dairy products, and fresh and processed fruits and vegetables. These pro-

grams use official grade standards which reflect the relative quality of a particular food commodity based on laboratory testing and characteristics such as taste, color, weight, and physical condition. Producers voluntarily request grading and certification services which are provided on a fee for service basis.

The Agricultural Risk Protection Act of 2000 provided \$29 million for replenishment of the trust fund account; and \$12 million to improve the infrastructure and system used for inspecting fruits and vegetables, including improved inspector training and technical resources for inspectors.

WORKLOAD INDICATORS

	2001 actual	2002 est.	2003 est.
Weighted average cost per cwt. (1990 index)08¢	.08¢	.08¢

Object Classification (in millions of dollars)

Identification code 12-8015-0-7-352	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	58	60	60
11.3 Other than full-time permanent	5	5	5
11.5 Other personnel compensation	9	9	9
11.9 Total personnel compensation	72	74	74
12.1 Civilian personnel benefits	24	24	24
13.0 Benefits for former personnel	1	1	1
21.0 Travel and transportation of persons	6	7	7
23.2 Rental payments to others	3	2	2
23.3 Communications, utilities, and miscellaneous charges	2	2	2
24.0 Printing and reproduction		1	1
25.2 Other services	8	9	9
25.3 Other purchases of goods and services from Government accounts	2	2	2
26.0 Supplies and materials	2	2	2
31.0 Equipment	2	2	2
33.0 Investments and loans	67		
99.9 Total new obligations	189	126	126

Personnel Summary

Identification code 12-8015-0-7-352	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	1,500	1,507	1,507

MILK MARKET ORDERS ASSESSMENT FUND**Unavailable Collections** (in millions of dollars)

Identification code 12-8412-0-8-351	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.80 Milk market orders assessment fund, offsetting collections	45	44	44
Appropriations:			
05.00 Milk market orders assessment fund	-45	-44	-44
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 12-8412-0-8-351	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Administration	35	41	41
09.02 Marketing service	3	3	3
10.00 Total new obligations	38	44	44
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	30	37	37
22.00 New budget authority (gross)	45	44	44
23.90 Total budgetary resources available for obligation	75	81	81
23.95 Total new obligations	-38	-44	-44
24.40 Unobligated balance carried forward, end of year	37	37	37

New budget authority (gross), detail:				
Mandatory:				
69.00	Offsetting collections (cash)	45	44	44
Change in obligated balances:				
72.40	Obligated balance, start of year	-3	-3	-3
73.10	Total new obligations	38	44	44
73.20	Total outlays (gross)	-38	-44	-44
74.40	Obligated balance, end of year	-3	-3	-3
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	38	44	44
Offsets:				
Against gross budget authority and outlays:				
88.40	Offsetting collections (cash) from: Non-Federal sources	-45	-44	-44
Net budget authority and outlays:				
89.00	Budget authority			
90.00	Outlays	-7		

Note.—The administration fund totals are comprised of 31 separate independent order accounts in 1998.

The Secretary of Agriculture is authorized by the Agricultural Marketing Agreement Act of 1937, as amended—under certain conditions—to issue Federal milk marketing orders establishing minimum prices which handlers are required to pay for milk purchased from producers. The Secretary has reduced the number of milk marketing orders from 31 to 11, consistent with the 1996 Farm Bill authorities.

Market administrators are appointed by the Secretary and are responsible for carrying out the terms of specific marketing orders. Their operating expenses, partly financed by assessments on regulated handlers and partly by deductions from producers, are reported in these schedules. These funds are collected locally, deposited in local banks, and disbursed directly by the market administrator.

Expenses of local offices are met from an administrative fund and a marketing service fund, which are prescribed in each order. The administrative fund is derived from prorated handler assessments. The marketing service fund of the individual order disseminates market information to producers who are not members of a qualified cooperative. It also provides for the verification of the weights, sampling, and testing of milk from these producers. The cost of these services is borne by such producers.

The maximum rates for administrative assessment and for marketing services are set forth in each order and adjustments below these rates are made from time to time upon recommendations by the market administrator and upon approval of the Agricultural Marketing Service to provide reserves at about a 6-month operating level. Upon termination of any order, the statute provides for distributing the proceeds from net assets pro rata to contributing handlers or producers, as the case may be.

WORKLOAD INDICATORS

	2001 actual	2002 est.	2003 est.
Percentage of formal and informal rulemaking completed within internal timeframes	96%	85%	85%

Object Classification (in millions of dollars)

Identification code 12-8412-0-8-351	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	25	27	27
12.1 Civilian personnel benefits	5	6	6
21.0 Travel and transportation of persons	2	3	3
23.2 Rental payments to others	2	3	3
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	1	1	1
26.0 Supplies and materials	1	2	2
31.0 Equipment	1	1	1
99.9 Total new obligations	38	44	44

Personnel Summary

Identification code 12-8412-0-8-351	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	429	441	441

RISK MANAGEMENT AGENCY

Federal Funds

General and special funds:

ADMINISTRATIVE AND OPERATING EXPENSES

For administrative and operating expenses, as authorized by the Federal Agriculture Improvement and Reform Act of 1996 (7 U.S.C. 6933), **[\$74,752,000] \$76,062,000: Provided,** That not to exceed \$700 shall be available for official reception and representation expenses, as authorized by 7 U.S.C. 1506(i). (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 12-2707-0-1-351	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	68	78	76
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	69	78	76
23.95 Total new obligations	-68	-78	-76
23.98 Unobligated balance expiring or withdrawn	-1		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	69	78	76
Change in obligated balances:			
72.40 Obligated balance, start of year	41	39	22
73.10 Total new obligations	68	78	76
73.20 Total outlays (gross)	-85	-95	-77
73.40 Adjustments in expired accounts (net)	15		
74.40 Obligated balance, end of year	39	22	21
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	48	56	54
86.93 Outlays from discretionary balances	37	39	23
87.00 Total outlays (gross)	85	95	77
Net budget authority and outlays:			
89.00 Budget authority	69	78	76
90.00 Outlays	85	95	77

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	66	75	73
90.00 Outlays	82	92	74

This appropriation finances the administrative and operating expenses of the Risk Management Agency (RMA), which provides crop insurance to farmers.

The Federal government reimburses private insurance companies for certain administrative expenses incurred while delivering the crop insurance program.

Current law provides this through mandatory funding. The 2003 budget includes a proposal that would cap the underwriting gains at 12.5 percent of all the companies' combined retained premium for the year. This proposal would maintain the incentives companies have to participate in the crop insurance program, but provide some constraints on windfall profits.

General and special funds—Continued

ADMINISTRATIVE AND OPERATING EXPENSES—Continued

Object Classification (in millions of dollars)

Identification code 12-2707-0-1-351	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	31	35	37
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	32	36	38
12.1 Civilian personnel benefits	10	10	10
21.0 Travel and transportation of persons	2	3	3
23.1 Rental payments to GSA			2
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	18	23	19
26.0 Supplies and materials	2	2	2
31.0 Equipment	2	2	
99.9 Total new obligations	68	78	76

Personnel Summary

Identification code 12-2707-0-1-351	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	499	568	568

CORPORATIONS

The following corporations and agencies are hereby authorized to make expenditures, within the limits of funds and borrowing authority available to each such corporation or agency and in accord with law, and to make contracts and commitments without regard to fiscal year limitations as provided by section 104 of the Government Corporation Control Act as may be necessary in carrying out the programs set forth in the budget for the current fiscal year for such corporation or agency, except as hereinafter provided. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.*)

Public enterprise funds:

FEDERAL CROP INSURANCE CORPORATION FUND

For payments as authorized by section 516 of the Federal Crop Insurance Act, such sums as may be necessary, to remain available until expended (7 U.S.C. 2209b). (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 12-4085-0-3-351	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Delivery and other expenses	697	698	707
00.03 Change in delivery and other expenses			-115
01.01 Indemnities	3,279	3,023	2,988
10.00 Total new obligations	3,976	3,721	3,580
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	284	623	623
22.00 New budget authority (gross)	4,315	3,721	3,580
23.90 Total budgetary resources available for obligation	4,599	4,344	4,203
23.95 Total new obligations	-3,976	-3,721	-3,580
24.40 Unobligated balance carried forward, end of year	623	623	623
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation			
40.35 Appropriation rescinded			-115
43.00 Appropriation (total discretionary)			-115
Mandatory:			
60.00 Appropriation	3,401	2,900	2,886
69.00 Offsetting collections (cash)	914	821	809

70.00 Total new budget authority (gross)	4,315	3,721	3,580
Change in obligated balances:			
72.40 Obligated balance, start of year	1,227	1,827	1,844
73.10 Total new obligations	3,976	3,721	3,580
73.20 Total outlays (gross)	-3,377	-3,704	-3,594
74.40 Obligated balance, end of year	1,827	1,844	1,830
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority			-115
86.97 Outlays from new mandatory authority	2,072	2,185	2,173
86.98 Outlays from mandatory balances	1,305	1,519	1,536
87.00 Total outlays (gross)	3,377	3,704	3,594
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-914	-821	-809
Net budget authority and outlays:			
89.00 Budget authority	3,401	2,900	2,771
90.00 Outlays	2,463	2,883	2,785

The Federal Crop Insurance Corporation (FCIC), a wholly-owned government corporation, provides multi-peril and catastrophic crop insurance protection against losses from unavoidable natural events. The Federal Crop Insurance Reform Act of 1994 (Reform Act) and the Federal Agriculture Improvement and Reform Act of 1996 (1996 Act) brought many changes to the program. With the reduced price support activities promulgated by the 1996 Act, the crop insurance program is an integral part of the broad-based safety net and includes programs involving revenue insurance, and education in the use of futures markets to manage risks.

Under the 1996 Act, farmers are no longer required to obtain Catastrophic Crop insurance (CAT), as previously mandated by the Reform Act. Producers can instead agree in writing to waive eligibility for emergency crop loss assistance in connection with the crop. However, the 2000 Appropriation Act required uninsured producers who elected to receive the emergency crop loss payments provided by the Act to enroll in crop insurance for the subsequent two crop years. For producers who continue to obtain CAT, which compensates the farmer for losses up to 50 percent of the individual's average yield at 55 percent of the expected market price, premium is entirely subsidized. The cost to the producer for this type of coverage is an annual administrative fee of \$100 per crop per county. Commercial insurance companies deliver the product to the producer in all states.

Additional coverage is available to producers who wish to insure crops above the 50 percent coverage level/55 percent price level. Policyholders can elect to be paid up to 100 percent of the market price established by FCIC for each unit of production their actual yield is less than the individual yield guarantee. Premium rates for additional coverage depend on the level of coverage of protection selected and vary from crop to crop and county to county. Producers are assessed a fee of \$30 per crop, per county, in addition to a share of premium. The additional levels of insurance coverage are more attractive to farmers due to availability of optional units, other policy provisions not available with CAT coverage, and the ability to obtain a level of protection that permits them to use crop insurance as loan collateral and to achieve greater financial security.

As mandated by the 1996 Act, revenue insurance programs are available under which producers of wheat, certain feed grains, soybeans, rice, and cotton are protected against loss of revenue stemming from low prices, poor yields, or a combination of both. Two of the revenue insurance plans were privately developed and submitted to FCIC: Crop Revenue Coverage (CRC) and Revenue Assurance (RA). The Income Protection (IP) plan was developed by FCIC. These three plans have many similar features and some very distinctive

features. All provide a guaranteed revenue by combining coverage on both yield and price variability. CRC and RA also provide protection against price increases at the time of harvest from an initial price guarantee established near the time of planting. Indemnities are due when any combination of yield and price result in revenue that is less than the revenue guarantee. Revenue protection for all products is provided by extending traditional multi-peril crop insurance protection, based on actual production history, to include price variability. The price component common to CRC, RA, and IP uses the commodity futures market for price discovery. These programs all seek to help ensure a certain level of annual income and are offered through private insurance companies. For 1999, a Group Risk Income Protection plan was developed by the private sector to provide protection against decline in county revenue, based on futures market prices and NASS county average yields, as adjusted by FCIC. FCIC is also piloting an Adjusted Gross Revenue (AGR) program, which is designed to insure a portion of a producers gross revenue based on their Schedule F Farm and Income Tax reports.

A legislative proposal amending the Federal Crop Insurance Act is included in the 2003 Budget. It is designed to improve the risk sharing arrangements between the government and the private insurance companies.

The dollar volume of total reimbursements went from \$495 million to \$691 million (a 40 percent increase) between 1999 and 2001, reflecting the benefits of ARPA to the insurance companies. While the companies should have an incentive to participate in the crop insurance program, there should be some constraints on windfall profits. With that in mind, the Budget includes a proposal that would cap the underwriting gains at 12.5 percent of all the companies' combined retained premium for the year. This is expected to save \$115 million in 2003.

RMA plans to roll out Round IV of the Dairy Options Pilot Program (DOPP) during 2002, which includes reaching producers in a total of 300 counties in 40 states. RMA's partners in the program are registered commodities brokers who are authorized by the Commodity Futures Trading Commission to buy put options on behalf of DOPP participants on the Chicago Mercantile Exchange.

On June 20, 2000, based on the Agricultural Risk Protection Act of 2000 (ARPA), the Risk Management Agency (RMA) began improving basic products by implementing higher premium subsidies to make additional coverage more affordable to producers, making adjustments in actual production history guarantees to address multiple year disasters, and revising administrative fees for CAT and additional coverage. On September 17, 2001, RMA published an interim rule in the Federal Register in accordance with ARPA, that allows RMA to reimburse developers of private crop insurance products for their research and development costs and maintenance costs once the FCIC's Board of Directors (Board) approves the products. During 2001, RMA awarded over \$18 million in projects, as authorized by ARPA, to accomplish many of the research and development mandates required by ARPA. These projects were awarded to public and private entities, including a pool of contractors in a base research and development contract that was initiated with a period of performance through September 30, 2001. On November 15, 2001, the Board approved two livestock pilot programs—Livestock gross margin and Livestock risk protection—as allowed by ARPA. The pilot livestock programs will cover swine in the State of Iowa and will be made available beginning in 2002.

RMA continues to improve and update the terms and conditions of all crop insurance policies, which better clarifies and defines the insurance protection provided by the insurance policies and the duties and responsibilities of the policyholder and insurance provided.

In crop year 2001, 207.6 million acres were insured, with an estimated \$2,884 million in total premium income, including \$1,723 million in premium subsidy.

The Corporation's budget is presented in accordance with generally accepted accounting principles, the Financial Accounting Standards Board (FASB) Statement No. 60, "Accounting and Reporting by Insurance Enterprises," and Statement No. 5, "Accounting for Contingencies."

The following table compares the scope of the insurance operations planned for 2003. Amounts in the 2001 column are as of September 30, 2001, and pertain to the 2001 crop year.

	2001 crop year estimate	2002 crop year estimate	2003 crop year estimate
Number of States	50	50	50
Number of counties	3,022	3,022	3,022
Insurance in force (millions)	35,833	34,948	34,382
Insured acreage (millions)	208	209	208
Producer premium (millions) ¹	1,161	1,128	1,116
Premium subsidy (millions) ¹	1,723	1,673	1,660
Total premium (millions) ¹	2,884	2,801	2,776
Indemnities (million) ¹	3,100	3,011	2,985
Loss ratio	1.075	1.075	1.075

¹Includes amounts that will appear on the books of the reinsured companies. The Corporation records will only reflect the net reinsurance income and net reinsurance loss.

Financing.—The Corporation is authorized under the Federal Crop Insurance Act, as amended, to use funds from the issuance of capital stock which provides working capital for the Corporation.

Receipts, which are for deposit to this fund, come mainly from premiums paid by farmers. The principal payments from this fund are for indemnities to insured farmers, and administrative expenses for approved insurance providers.

Premium subsidies are authorized by section 508(b) of the Federal Crop Insurance Act, as amended, and are received through appropriations.

PREMIUM AND SUBSIDY

(In millions of dollars)

	2001 fiscal year actual	2002 fiscal year estimate	2003 fiscal year estimate
Premiums:			
Additional coverage premium subsidy	1,424	1,450	1,429
Catastrophic coverage—Reinsurance premium subsidy	243	230	233
Subtotal, premium subsidy	1,667	1,680	1,662
Producer premium	1,079	1,133	1,117
Total premiums	2,746	2,813	2,779
Indemnities:			
Additional coverage	3,236	2,776	2,737
Catastrophic coverage—Reinsurance	43	247	251
Total indemnities	3,279	3,023	2,988

For crop years 1948 through 2000, indemnities (\$23,476 million) exceeded premium income (\$21,252 million) by \$2,224 million; the loss ratio for the period was 1.10.

The following table summarizes the insurance operations for 2001, 2002 and 2003:

NET INCOME OR LOSS (–) ON INSURANCE OPERATIONS

(In millions of dollars)

	2001 fiscal year est.	2002 fiscal year est.	2003 fiscal year est.
Producer premium less indemnities	–2,200	–1,890	–1,871
Interest expense, net	3
Delivery expenses ¹	–620	–646	–638
Other income or expense, net	58	55	55
ARPA costs	–42	–52	–68
Reinsurance underwriting gain (+) or loss (–)	–261	–367	–364

Public enterprise funds—Continued

FEDERAL CROP INSURANCE CORPORATION FUND—Continued

(In millions of dollars)

	2001 fiscal year est.	2002 fiscal year est.	2003 fiscal year est.
Net income or loss (—)	–3,062	–2,900	–2,886

¹ Figures reflect delivery expenses borne by the Fund in accordance with the Agricultural Research, Extension and Education Reform Act of 1998, P.L. 105–185.

Statement of Operations (in millions of dollars)

Identification code 12–4085–0–3–351	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	639	914	821	809
0102 Expense	–3,006	–3,976	–3,721	–3,695
0105 Net income or loss (—)	–2,367	–3,062	–2,900	–2,886
0199 Total comprehensive income	–2,367	–3,062	–2,900	–2,886

Balance Sheet (in millions of dollars)

Identification code 12–4085–0–3–351	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with				
Treasury	1,776	2,493	2,567	2,567
1206 Non-Federal assets: Receivables, net	1,049	1,083	750	750
1803 Other Federal assets: Property, plant and equipment, net	1	1	1	1
1999 Total assets	2,826	3,577	3,318	3,318
LIABILITIES:				
Federal liabilities:				
2101 Accounts payable	14	10	1	1
2105 Other	231	306	175	175
Non-Federal liabilities:				
2201 Accounts payable	45	91	70	70
2207 Other	1,882	2,299	1,750	1,750
2999 Total liabilities	2,172	2,706	1,996	1,996
NET POSITION:				
3100 Appropriated capital	36	252	700	700
3300 Cumulative results of operations	618	619	622	622
3999 Total net position	654	871	1,322	1,322
4999 Total liabilities and net position	2,826	3,577	3,318	3,318

Object Classification (in millions of dollars)

Identification code 12–4085–0–3–351	2001 actual	2002 est.	2003 est.
Other services:			
25.2 Other services	697	698	707
25.2 Other services			–115
42.0 Insurance claims and indemnities (reinsured buyup)	3,279	3,023	2,988
99.9 Total new obligations	3,976	3,721	3,580

FARM SERVICE AGENCY

Federal Funds

General and special funds:

SALARIES AND EXPENSES

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses for carrying out the administration and implementation of programs administered by the Farm Service Agency, **[\$939,030,000] \$1,062,712,000: Provided,** That the Secretary is authorized to use the services, facilities, and authorities (but not the funds) of the Commodity Credit Corporation to make program payments for all programs administered by the Agency: *Provided further,* That other funds made available to the Agency for authorized activities may be advanced to and merged with this account: *Provided further,* That these funds shall be available for employment pursuant to the second sentence of section 706(a) of the Organic Act of 1944 (7 U.S.C. 2225), and not to exceed \$1,000,000 shall be available for employment under 5 U.S.C. 3109. (*Agriculture, Rural Develop-*

ment, Food and Drug Administration, and Related Agencies Appropriations Act, 2002; additional authorization legislation required.)

Program and Financing (in millions of dollars)

Identification code 12–0600–0–1–351	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Farm programs	767	826	855
00.02 Conservation and environment	154	157	179
00.04 Commodity operations	24	25	29
03.00 Subtotal, direct program	945	1,008	1,063
Reimbursable program:			
09.01 Farm loans	265	273	279
09.02 Other programs	126	130	131
09.99 Subtotal, reimbursable program	391	403	410
10.00 Total new obligations	1,336	1,411	1,473

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	13	4	
22.00 New budget authority (gross)	1,328	1,407	1,475
23.90 Total budgetary resources available for obligation	1,341	1,411	1,475
23.95 Total new obligations	–1,336	–1,411	–1,473
24.40 Unobligated balance carried forward, end of year	4		

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	889	1,004	1,063
40.15 Appropriation (emergency)	50		
40.77 Reduction pursuant to P.L. 106–554 (0.22 percent)	–2		
43.00 Appropriation (total discretionary)	937	1,004	1,063
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	360	403	412
68.10 Change in uncollected customer payments from Federal sources (unexpired)	31		
68.90 Spending authority from offsetting collections (total discretionary)	391	403	412
70.00 Total new budget authority (gross)	1,328	1,407	1,475

Change in obligated balances:

72.40 Obligated balance, start of year	176	168	153
73.10 Total new obligations	1,336	1,411	1,473
73.20 Total outlays (gross)	–1,305	–1,439	–1,487
73.40 Adjustments in expired accounts (net)	–10	13	14
74.00 Change in uncollected customer payments from Federal sources (unexpired)	–31		
74.10 Change in uncollected customer payments from Federal sources (expired)	2		
74.40 Obligated balance, end of year	168	153	153

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	1,170	1,310	1,373
86.93 Outlays from discretionary balances	135	129	116
87.00 Total outlays (gross)	1,305	1,439	1,487

Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	–328	–368	–376
88.40 Non-Federal sources	–32	–35	–36
88.90 Total, offsetting collections (cash)	–360	–403	–412
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	–31		

Net budget authority and outlays:

89.00 Budget authority	937	1,004	1,063
90.00 Outlays	945	1,036	1,075

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	877	939	994

90.00 Outlays	885	971	1,006
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The Farm Service Agency (FSA) was established October 3, 1994, pursuant to the Federal Crop Insurance Reform and Department of Agriculture Reorganization Act of 1994, P.L. 103-354. The Department of Agriculture Reorganization Act of 1994 was amended on April 4, 1996, by the Federal Agriculture Improvement and Reform Act of 1996 (1996 Act), P.L. 104-127. The FSA administers a variety of activities, such as farm income support programs through various loans and payments; the Conservation Reserve Program (CRP); the Emergency Conservation Program; the Hazardous Waste Management Program; the Commodity Operation Programs including the warehouse examination function; farm ownership, farm operating, emergency disaster, and other loan programs; price support and production control programs for tobacco and peanuts; and the Noninsured Crop Disaster Assistance Program (NAP), which provides crop loss protection for growers of many crops for which crop insurance is not available. The Agency also assists in the administration of several conservation cost-share programs financed by the Commodity Credit Corporation (CCC), including the Environmental Quality Incentives Program (EQIP). In addition, FSA currently provides certain administrative support services to the Foreign Agricultural Service (FAS) and to the Risk Management Agency (RMA).

This consolidated administrative expenses account includes funds to cover expenses of programs administered by, and functions assigned to, the Agency. The funds consist of a direct appropriation, transfers from program loan accounts under credit reform procedures, user fees, and advances and reimbursements from other sources. This is a consolidated account for administrative expenses of national, regional, State, and county offices.

USDA's Farm Service Agency (FSA), Natural Resources Conservation Service (NRCS), and Rural Development (RD) offices act as separate franchises, with offices often located adjacent to each other. Prior efforts to improve the efficiency of USDA's county-based offices have resulted in significant co-location, and introduction of new information technology to simplify customer transactions. However, the separate hierarchical structures at State, regional, and headquarter levels are set in law, and this hinders further attempts to achieve additional efficiencies.

This budget proposes changes that will allow the agencies to operate together more efficiently within the current organizational constraints. Specifically, the Administration proposes that the FSA and NRCS field offices seek the following improvements:

- Restructure the administrative support offices to improve efficiency of service provision of information technology, personnel, travel, payroll, and procurement.
- Review the field office structure to determine the correct level of offices necessary to provide services, with the goal of consolidating at least 200 offices in 2003.
- Begin centralizing loan servicing functions that do not need to be performed at the field level. These functions include mass mailings, general information collection and storage, collecting payments, and sending out statements.
- Evaluate pilot projects and then develop guidance to strengthen NRCS' goal setting process, emphasizing local involvement in setting national priorities.
- Implement outsourcing and cross-servicing.

Improvements undertaken that will improve efficiency and increase the number of employees available to provide services directly to the U.S. citizen will be evaluated based on:

- Reducing the number of office visits and reporting burden for clients of FSA and NRCS. A 10 percent reduction in reporting would reduce the number of hours spent filling out

forms by 1.7 million hours or 46 minutes per farm (currently 17 million hours spent, 7 hours and 44 minutes per farm).

- Increasing the provision of core customer services, including technical assistance visits and eligibility determinations, while maintaining or reducing the number of personnel and/or the cost associated with the provision of service.

Farm programs.—These programs provide an economic safety net through farm income support to eligible producers, cooperatives, and associations to help improve the economic stability and viability of the agricultural sector and to ensure the production of an adequate and reasonably priced supply of food and fiber. Objectives of the Agency include maintaining a high Agricultural Market Transition Act (AMTA) participation rate for eligible acreage, providing marketing assistance loans and loan deficiency payments enabling recipients to continue farming operations without marketing their product immediately after harvest, stabilizing the price and production of tobacco and peanuts, and providing a financial assistance safety net to eligible producers when natural disasters result in a catastrophic loss of production or prevent planting of noninsured crops, and timely designating eligible Noninsured Crop Disaster Assistance Program (NAP) areas and approving crop prices, average yields, and payment factors.

Farm program activities include the following functions dealing with the administration of programs carried out through the farmer committee system of the FSA: (a) developing program regulations and procedures; (b) collecting and compiling basic data for individual farms; (c) establishing individual farm allotments for tobacco and peanuts and farm planting history; (d) notifying producers of established allotments and farm planting histories; (e) determining farm marketing quotas for tobacco and peanuts; (f) conducting referendums and certifying results; (g) accepting farmer certifications and checking compliance for specific purposes; (h) issuing marketing cards so that production from the allotted acreage can be marketed without penalty; (i) processing commodity loan documents and issuing checks; (j) processing production flexibility contract payments and issuing checks; (k) certifying payment eligibility and monitoring payment limitations; and (l) processing farm storage facility loans and issuing checks.

Conservation and environment.—These programs assist agricultural producers and landowners in achieving a high level of stewardship of soil, water, air, and wildlife resources on America's farmland and ranches while protecting the human and natural environment. Objectives of the Agency include improving environmental quality, protecting natural resources, and enhancing habitat for fish and wildlife, including threatened and endangered species, providing Emergency Conservation Program funding for farmers and ranchers to rehabilitate damaged farmland and for carrying out emergency conservation measures during periods of severe drought or flooding, protecting the public health of communities through implementation of the Hazardous Waste Management Program, assisting NRCS with EQIP program policy and procedure development, and implementing administrative processes and procedures for contracting, financial reporting, and other financial operations. This activity includes: (a) processing producer requests for conservation cost-sharing and issuing conservation reserve rental payments; and (b) issuing checks for other conservation programs.

Commodity operations.—This activity includes: (a) overall management of CCC-owned commodities; (b) purchasing commodities; (c) donating commodities; (d) selling commodities; (e) accounting for loans and commodities; and (f) commercial warehouse activities, which include improving the effectiveness and efficiency of FSA's commodity acquisition, procurement, storage, and distribution activities to support domestic and international food assistance programs and administering the U.S. Warehouse Act (USWA). The Agency provides for

General and special funds—Continued

SALARIES AND EXPENSES—Continued

(INCLUDING TRANSFERS OF FUNDS)—Continued

the examination of warehouses licensed under the U.S. Warehouse Act and non-licensed warehouses storing CCC-owned or pledged commodities. Examiners perform periodic examinations of the facilities and the warehouse records to ensure protection of depositors against potential losses of the stored commodities and to ensure compliance with the U.S. Warehouse Act and any CCC storage agreements.

Farm loans (reimbursable).—Provides for administering the direct and guaranteed loan programs covered under the Agricultural Credit Insurance Fund (ACIF). Objectives of the Agency include improving the economic viability of farmers and ranchers, reducing losses in direct loan programs, responding to loan making and servicing requests, and maximizing financial and technical assistance to under-served groups. Activities include reviewing applications, servicing the loan portfolio, and providing technical assistance and guidance to borrowers. These administrative expenses are transferred to this consolidated account from the ACIF. Appropriations representing subsidy amounts necessary to support the individual program loan levels under Federal Credit Reform are made to the ACIF account.

Other reimbursable activities.—FSA collects a fee or is reimbursed for performing a variety of services for other Federal agencies, CCC, industry, and others, including certain administrative support services for the Risk Management Agency and the Foreign Agricultural Service, and for county office services provided to Federal and non-Federal entities, including a variety of services to producers.

Object Classification (in millions of dollars)

Identification code 12-0600-0-1-351	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	140	153	160
11.3 Other than full-time permanent	4	5	5
11.5 Other personnel compensation	4	8	8
11.9 Total personnel compensation	148	166	173
12.1 Civilian personnel benefits	60	65	69
13.0 Benefits for former personnel	1	2	2
21.0 Travel and transportation of persons	14	19	17
22.0 Transportation of things	2	2	3
23.1 Rental payments to GSA			17
23.2 Rental payments to others	3	3	3
23.3 Communications, utilities, and miscellaneous charges	10	15	15
24.0 Printing and reproduction	1	1	1
25.2 Other services	78	98	81
26.0 Supplies and materials	8	6	6
31.0 Equipment	11	11	6
41.0 Grants, subsidies, and contributions	609	619	669
42.0 Insurance claims and indemnities		1	1
99.0 Direct obligations	945	1,008	1,063
99.0 Reimbursable obligations	391	403	410
99.9 Total new obligations	1,336	1,411	1,473

Personnel Summary

Identification code 12-0600-0-1-351	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	2,413	2,413	2,413
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	3,393	3,393	3,393

STATE MEDIATION GRANTS

For grants pursuant to section 502(b) of the Agricultural Credit Act of 1987, as amended (7 U.S.C. 5101-5106), **[\$3,493,000]** \$4,000,000. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 12-0170-0-1-351	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	3	3	4
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	3	3	4
23.95 Total new obligations	-3	-3	-4
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	3	3	4
Change in obligated balances:			
72.40 Obligated balance, start of year	2	2	3
73.10 Total new obligations	3	3	4
73.20 Total outlays (gross)	-3	-2	-3
74.40 Obligated balance, end of year	2	3	4
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1	1	1
86.93 Outlays from discretionary balances	2	1	2
87.00 Total outlays (gross)	3	2	3
Net budget authority and outlays:			
89.00 Budget authority	3	3	4
90.00 Outlays	3	2	3

This grant program is authorized by Title V of the Agricultural Credit Act of 1987, P.L. 100-233, as amended. Originally designed to address agricultural credit disputes, the program was expanded by the Federal Crop Insurance Reform and Department of Agriculture Reorganization Act of 1994 (P.L. 103-354) to include other agricultural issues such as wetland determinations, conservation compliance, rural water loan programs, grazing on National Forest System lands, and pesticide use. Grants are made to States whose agricultural mediation programs have been certified by the Farm Service Agency. A grant will not exceed 70 percent of the total fiscal year funds that a qualifying State requires to operate and administer its agricultural mediation program. In no case will the total amount of a grant exceed \$500,000 annually.

GRANT OBLIGATIONS

	2001 actual	2002 est.	2003 est.
Number of States receiving grants	26	31	30
Amount of grants (in millions of dollars)	3	3.5	4

TREE ASSISTANCE PROGRAM

Program and Financing (in millions of dollars)

Identification code 12-2701-0-1-351	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year	7	6	4
73.20 Total outlays (gross)	-1	-2	-2
74.40 Obligated balance, end of year	6	4	2
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	1	2	2
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	1	2	2

Funding of \$14 million for the Tree assistance program (TAP) was provided by the 1998 Emergency Supplemental

Appropriations Act, P.L. 105-174, for obligation through September 30, 1998. The 1999 Appropriations Act, P.L. 105-277, extended the use of unobligated 1998 TAP funds through September 30, 1999, with any unobligated balance expiring.

TAP provided cost-share payments of up to 100 percent to orchard and vineyard growers who replanted or rehabilitated orchard trees and vineyards lost to damaging weather, including freezes, excessive rainfalls, floods, droughts, tornadoes, and earthquakes. Eligible owners could not receive more than \$25,000 per person.

This program was originated to provide emergency support. There is no expectation that funding, in addition to other USDA programs, will be necessary in 2003 to respond to emergencies. Based on this, no funding is requested for this program for 2003.

CONSERVATION RESERVE PROGRAM

Program and Financing (in millions of dollars)

Identification code 12-3319-0-1-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Technical assistance		2	
10.00 Total new obligations (object class 25.2)		2	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	2	
22.00 New budget authority (gross)	1		
23.90 Total budgetary resources available for obligation	2	2	
23.95 Total new obligations		-2	
24.40 Unobligated balance carried forward, end of year	2		
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	1		
Change in obligated balances:			
73.10 Total new obligations		2	
73.20 Total outlays (gross)		-2	
Outlays (gross), detail:			
86.98 Outlays from mandatory balances		2	
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources		-1	
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-1	2	

The Conservation Reserve Program (CRP) was originally mandated by the Food Security Act of 1985. The Federal Agriculture Improvement and Reform Act of 1996 (the 1996 Act), enacted April 4, 1996, retains the CRP as part of the Environmental Conservation Acreage Reserve Program (ECARP) but changed the funding source from direct appropriation to the Commodity Credit Corporation. Only very minimal CCC funds were used for program operations in 1996 since annual rental payments had been made very early in the fiscal year using CRP appropriated funds.

In 2001, annual rental payments, cost-share payments, and technical assistance for acres enrolled in the program were paid through the Commodity Credit Corporation. Just under \$2 million in unobligated appropriated funds were available at the end of 2001; these funds are expected to be exhausted in 2002 for technical assistance. In providing technical assistance, the Natural Resources Conservation Service determines eligibility, develops conservation plans, and helps install approved practices. The Forest Service and cooperating State forestry agencies develop plans for tree planting and assist

in carrying them out. The Cooperative State Research, Education, and Extension Service provides information and educational assistance to inform landowners and operators about the program. Local soil and water conservation districts approve conservation plans. To ensure maximum program benefits, USDA consults with land grant universities, State soil and water agencies, State fish and wildlife agencies, the U.S. Fish and Wildlife Services, and others.

CRP program payments are included under the Commodity Credit Corporation account.

AGRICULTURAL CONSERVATION PROGRAM

Program and Financing (in millions of dollars)

Identification code 12-3315-0-1-302	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	45	4	4
22.00 New budget authority (gross)	-45		
22.10 Resources available from recoveries of prior year obligations	4		
23.90 Total budgetary resources available for obligation	4	4	4
24.40 Unobligated balance carried forward, end of year	4	4	4
New budget authority (gross), detail:			
Discretionary:			
40.36 Unobligated balance rescinded	-45		
Change in obligated balances:			
72.40 Obligated balance, start of year	17	11	8
73.20 Total outlays (gross)	-2	-3	-2
73.45 Recoveries of prior year obligations	-4		
74.40 Obligated balance, end of year	11	8	6
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	2	3	2
Net budget authority and outlays:			
89.00 Budget authority	-45		
90.00 Outlays	2	3	2

This program was terminated at the beginning of 1997 in accordance with the Federal Agriculture Improvement and Reform Act of 1996. The objectives of the Agricultural Conservation Program (ACP) were incorporated into the Environmental Quality Incentives Program which is funded by the Commodity Credit Corporation and administered under the lead of the Natural Resources Conservation Service.

The primary objectives of the program were to conserve soil and water resources. Along with annual agreements, cost sharing was authorized for long-term agreements of 3-10 years. At the end of 2001, there were \$11 million in unliquidated obligations for ACP agreements.

EMERGENCY CONSERVATION PROGRAM

For necessary expenses of the Emergency Conservation Program, as authorized by 16 U.S.C. 2201-2205, \$48,700,000, to remain available until expended.

Program and Financing (in millions of dollars)

Identification code 12-3316-0-1-453	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	41	62	64
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	67	106	44
22.00 New budget authority (gross)	80		49
23.90 Total budgetary resources available for obligation	147	106	93
23.95 Total new obligations	-41	-62	-64
24.40 Unobligated balance carried forward, end of year	106	44	29

General and special funds—Continued

EMERGENCY CONSERVATION PROGRAM—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 12-3316-0-1-453	2001 actual	2002 est.	2003 est.
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	80		49
Change in obligated balances:			
72.40 Obligated balance, start of year	25	29	27
73.10 Total new obligations	41	62	64
73.20 Total outlays (gross)	-38	-64	-71
74.40 Obligated balance, end of year	29	27	20
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	38	64	71
Net budget authority and outlays:			
89.00 Budget authority	80		49
90.00 Outlays	38	64	71

This program was authorized by the Agricultural Credit Act of 1978 (16 U.S.C. 2201-05). It provides funds for sharing the cost of emergency measures to deal with cases of severe damage to farmlands and rangelands resulting from natural disasters.

Title VIII of the 2001 Agriculture Appropriations Act, P.L. 106-387, provided \$80 million for the Emergency Conservation Program. This funding is available until expended. Under the 2001 program, cost-sharing and technical assistance were provided in 40 States to treat farmlands damaged by floods, hurricanes, drought, ice storms, tornadoes, and other natural disasters.

The 2003 budget proposes \$49 million for this program.

Credit accounts:

**AGRICULTURAL CREDIT INSURANCE FUND PROGRAM ACCOUNT
(INCLUDING TRANSFERS OF FUNDS)**

For gross obligations for the principal amount of direct and guaranteed loans as authorized by 7 U.S.C. 1928-1929, to be available from funds in the Agricultural Credit Insurance Fund, as follows: farm ownership loans, **[\$1,146,996,000] \$1,100,000,000**, of which \$1,000,000,000 shall be for guaranteed loans and **[\$146,996,000] \$100,000,000** shall be for direct loans; operating loans, **[\$2,616,729,000] \$2,600,000,000**, of which **[\$1,500,000,000] \$1,700,000,000** shall be for unsubsidized guaranteed loans, **[\$505,531,000] \$300,000,000** shall be for subsidized guaranteed loans and **[\$611,198,000] \$600,000,000** shall be for direct loans; Indian tribe land acquisition loans as authorized by 25 U.S.C. 488, \$2,000,000; **[for emergency insured loans, \$25,000,000 to meet the needs resulting from natural disasters;]** and for boll weevil eradication program loans as authorized by 7 U.S.C. 1989, \$100,000,000.

For the cost of direct and guaranteed loans, including the cost of modifying loans as defined in section 502 of the Congressional Budget Act of 1974, as follows: farm ownership loans, **[\$8,366,000] \$19,110,000**, of which **[\$4,500,000] \$7,500,000** shall be for guaranteed loans; operating loans, **[\$175,780,000] \$192,850,000**, of which **[\$52,650,000] \$53,890,000** shall be for unsubsidized guaranteed loans and **[\$68,550,000] \$35,400,000** shall be for subsidized guaranteed loans; and Indian tribe land acquisition loans as authorized by 25 U.S.C. 488, **[\$118,400;]** and for emergency insured loans, \$3,362,500 to meet the needs resulting from natural disasters **[\$179,000]**.

In addition, for administrative expenses necessary to carry out the direct and guaranteed loan programs, **[\$280,595,000] \$287,176,000**, of which **[\$272,595,000] \$279,176,000** shall be transferred to and merged with the appropriation for "Farm Service Agency, Salaries and Expenses".

Funds appropriated by this Act to the Agricultural Credit Insurance Program Account for farm ownership and operating direct loans and guaranteed loans may be transferred among these programs: *Provided*, That the Committees on Appropriations of both Houses of Congress are notified at least 15 days in advance of any transfer.

DAIRY INDEMNITY PROGRAM

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses involved in making indemnity payments to dairy farmers and manufacturers of dairy products under a dairy indemnity program, \$100,000, to remain available until expended: *Provided*, That such program is carried out by the Secretary in the same manner as the dairy indemnity program described in the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2001 (Public Law 106-387; 114 Stat. 1549A-12). (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 12-1140-0-1-351	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct loan subsidy	104	89	140
00.02 Guaranteed loan subsidy	56	128	97
00.05 Reestimates of direct loan subsidy	70	946	
00.06 Interest on reestimates of direct loan subsidy	3	274	
00.07 Reestimates of guaranteed loan subsidy	209	61	
00.08 Interest on reestimates of guaranteed loan subsidy	40	6	
Administrative expenses:			
00.09 Administrative expenses—salaries and expenses ...	265	272	279
00.10 Administrative expenses—non-recoverable costs ...	6	8	8
10.00 Total new obligations	753	1,784	524
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	100	73	25
22.00 New budget authority (gross)	722	1,755	499
22.10 Resources available from recoveries of prior year obligations	5		
23.90 Total budgetary resources available for obligation	827	1,828	524
23.95 Total new obligations	-753	-1,784	-524
23.98 Unobligated balance expiring or withdrawn	-1	-19	
24.40 Unobligated balance carried forward, end of year	73	25	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	401	468	499
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
43.00 Appropriation (total discretionary)	400	468	499
Mandatory:			
60.00 Appropriation	322	1,287	
70.00 Total new budget authority (gross)	722	1,755	499
Change in obligated balances:			
72.40 Obligated balance, start of year	13	12	24
73.10 Total new obligations	753	1,784	524
73.20 Total outlays (gross)	-749	-1,772	-522
73.45 Recoveries of prior year obligations	-5		
74.40 Obligated balance, end of year	12	24	26
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	388	445	475
86.93 Outlays from discretionary balances	39	40	47
86.97 Outlays from new mandatory authority	322	1,287	
87.00 Total outlays (gross)	749	1,772	522
Net budget authority and outlays:			
89.00 Budget authority	722	1,755	499
90.00 Outlays	749	1,772	522
Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)			
Identification code 12-1140-0-1-351	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Farm ownership	163	147	100
115002 Farm operating	691	611	600
115003 Emergency disaster	90	25	
115004 Indian tribe land acquisition	1	2	2
115005 Boll weevil eradication	95	100	100
115006 Seed cotton	28		
115901 Total direct loan levels	1,068	885	802

Direct loan subsidy (in percent):			
132001 Farm ownership	12.55	2.63	11.61
132002 Farm operating	18.34	8.93	17.26
132003 Emergency disaster	17.64	13.45	20.39
132004 Indian tribe land acquisition	58.36	5.92	8.95
132005 Boll weevil eradication	-0.56	-2.18	-2.70
132006 Seed cotton	4.30		
132901 Weighted average subsidy rate	15.36	6.78	14.09
Direct loan subsidy budget authority:			
133001 Farm ownership	20	4	12
133002 Farm operating	127	55	104
133003 Emergency disaster	16	3	
133004 Indian tribe land acquisition	1		
133005 Boll weevil eradication	-1	-2	-3
133006 Seed cotton	1		
133901 Total subsidy budget authority	164	60	113
Direct loan subsidy outlays:			
134001 Farm ownership	17	5	11
134002 Farm operating	60	56	100
134003 Emergency disaster	22	28	25
134004 Indian tribe land acquisition			
134005 Boll weevil eradication			
134006 Seed cotton	3		
134901 Total subsidy outlays	102	89	136
Direct loan upward reestimate subsidy budget authority:			
135001 Farm ownership	1	163	
135002 Farm operating	34	776	
135003 Emergency disaster	17	169	
135004 Indian tribe land acquisition		3	
135005 Boll weevil eradication	21	16	
135006 Seed cotton			
135007 Soil and water		4	
135008 Farm ownership credit sales		89	
135901 Total upward reestimate budget authority	73	1,220	
Direct loan upward reestimate subsidy outlays:			
136001 Farm ownership	1	163	
136002 Farm operating	34	776	
136003 Emergency disaster	17	169	
136004 Indian tribe land acquisition		3	
136005 Boll weevil eradication	21	16	
136006 Seed cotton			
136007 Soil and water		4	
136008 Farm ownership credit sales		89	
136901 Total upward reestimate outlays	73	1,220	
Direct loan downward reestimate subsidy budget authority:			
137001 Farm ownership	-156		
137002 Farm operating	-485		
137003 Emergency disaster	-213	-16	
137004 Indian tribe land acquisition			
137005 Boll weevil eradication	-2	-9	
137006 Seed cotton	-60		
137007 Soil and water	-2		
137008 Seed loans		-2	
137901 Total downward reestimate budget authority	-918	-27	
Direct loan downward reestimate subsidy outlays:			
138001 Farm ownership	-156		
138002 Farm operating	-485		
138003 Emergency disaster	-213	-16	
138004 Indian tribe land acquisition			
138005 Boll weevil eradication	-2	-9	
138006 Seed cotton	-60		
138007 Soil and water	-2		
138008 Seed loans		-2	
138901 Total downward reestimate subsidy outlays	-918	-27	
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Farm ownership, unsubsidized	852	1,161	1,000
215002 Farm operating, unsubsidized	998	1,548	1,700
215003 Farm operating, subsidized	464	511	300
215901 Total loan guarantee levels	2,314	3,220	3,000
Guaranteed loan subsidy (in percent):			
232001 Farm ownership, unsubsidized	0.73	0.45	0.75
232002 Farm operating, unsubsidized	3.49	3.51	3.17
232003 Farm operating, subsidized	13.08	13.56	11.80
232901 Weighted average subsidy rate	4.41	3.98	3.23
Guaranteed loan subsidy budget authority:			
233001 Farm ownership, unsubsidized	6	5	8
233002 Farm operating, unsubsidized	35	54	54

233003 Farm operating, subsidized	61	69	35
233901 Total subsidy budget authority	102	128	97
Guaranteed loan subsidy outlays:			
234001 Farm ownership, unsubsidized	4	5	7
234002 Farm operating, unsubsidized	13	48	52
234003 Farm operating, subsidized	45	63	40
234901 Total subsidy outlays	62	116	99
Guaranteed loan upward reestimate subsidy budget authority:			
235001 Farm ownership, unsubsidized	6	17	
235002 Farm operating, unsubsidized	177	26	
235003 Farm operating, subsidized	66	24	
235901 Total upward reestimate budget authority	249	67	
Guaranteed loan upward reestimate subsidy outlays:			
236001 Farm ownership, unsubsidized	6	17	
236002 Farm operating, unsubsidized	177	26	
236003 Farm operating, subsidized	66	24	
236901 Total upward reestimate subsidy outlays	249	67	
Guaranteed loan downward reestimate subsidy budget authority:			
237001 Farm ownership, unsubsidized	-1		
237002 Farm operating, unsubsidized		-12	
237003 Farm operating, subsidized	-4	-10	
237901 Total downward reestimate subsidy budget authority	-5	-22	
Guaranteed loan downward reestimate subsidy outlays:			
238001 Farm ownership, unsubsidized	-1		
238002 Farm operating, unsubsidized		-12	
238003 Farm operating, subsidized	-4	-10	
238901 Total downward reestimate subsidy outlays	-5	-22	
Administrative expense data:			
351001 Budget authority	269	280	287
358001 Outlays from balances			
359001 Outlays from new authority	269	280	287

The Agricultural credit insurance fund program account's loans are authorized by title III of the Consolidated Farm and Rural Development Act, as amended.

This program account includes subsidies to provide direct and guaranteed loans for farm ownership, farm operating, and emergency loans to individuals. Indian tribes and tribal corporations are eligible for Indian land acquisition loans. Boll weevil eradication loans are available to eliminate the cotton boll weevil pest from infested areas.

Additional funding was provided by a 2000 supplemental appropriation, P.L. 106-113, for direct and guaranteed farm ownership, direct and guaranteed operating, and emergency disaster loans. Funding is available until expended.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond (including credit sales of acquired property), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

Under the Dairy indemnity program (DIP), payments are made to farmers and manufacturers of dairy products who are directed to remove their milk or milk products from commercial markets because they contain residues of chemicals that have been registered and approved by the Federal Government, other chemicals, nuclear radiation, or nuclear fallout. Indemnification may also be paid for cows producing such milk.

In 2001, \$21.1 thousand was paid to producers who filed claims under the program.

The 2003 budget requests \$100 thousand for this program.

Object Classification (in millions of dollars)

Identification code 12-1140-0-1-351	2001 actual	2002 est.	2003 est.
25.3 Other purchases of goods and services from Government accounts	271	280	287

Credit accounts—Continued

**DAIRY INDEMNITY PROGRAM—Continued
(INCLUDING TRANSFERS OF FUNDS)—Continued**

Object Classification (in millions of dollars)—Continued

Identification code 12-1140-0-1-351	2001 actual	2002 est.	2003 est.
41.0 Grants, subsidies, and contributions	482	1,504	237
99.9 Total new obligations	753	1,784	524

AGRICULTURAL CREDIT INSURANCE FUND DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4212-0-3-351	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Operating program:			
00.01 Direct loans	1,080	1,004	902
00.02 Advances on behalf of borrowers	2	3	3
00.04 Interest on Treasury borrowing	296	229	210
00.05 Capital investments	4	4	4
00.91 Subtotal, Operating program	1,382	1,240	1,119
08.01 Negative subsidy receipts	2	2	2
Reestimates:			
08.02 Downward reestimate of subsidy	726	25
08.04 Downward reestimate of subsidy—interest	192	2
08.91 Subtotal, reestimates	920	29	2
10.00 Total new obligations	2,302	1,269	1,121

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	182	35
22.00 New financing authority (gross)	2,460	2,169	2,280
22.10 Resources available from recoveries of prior year obligations	35
22.40 Capital transfer to general fund	-35
22.60 Portion applied to repay debt	-325	-900	-1,159
22.70 Balance of authority to borrow withdrawn	-15
23.90 Total budgetary resources available for obligation	2,337	1,269	1,121
23.95 Total new obligations	-2,302	-1,269	-1,121
24.40 Unobligated balance carried forward, end of year	35

New financing authority (gross), detail:

Mandatory:			
67.10 Authority to borrow	1,373	1,153	1,006
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1,014	1,016	1,274
Mandatory:			
69.00 Offsetting collections (cash)	73	1,220
69.27 Capital transfer to general fund	-1,220
69.90 Spending authority from offsetting collections (total mandatory)	73
70.00 Total new financing authority (gross)	2,460	2,169	2,280

Change in obligated balances:

72.40 Obligated balance, start of year	160	135	157
73.10 Total new obligations	2,302	1,269	1,121
73.20 Total financing disbursements (gross)	-2,292	-1,247	-1,134
73.45 Recoveries of prior year obligations	-35
74.40 Obligated balance, end of year	135	157	144
87.00 Total financing disbursements (gross)	2,292	1,247	1,134

Offsets:

Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Federal sources: Payments from program account	-175	-1,309	-136
88.25 Interest on uninvested funds	-97	-102	-110
Non-Federal sources:			
88.40 Repayments of principal	-654	-665	-858
88.40 Repayments of interest	-156	-160	-170
88.40 Other income	-5

88.90 Total, offsetting collections (cash)	-1,087	-2,236	-1,274
Net financing authority and financing disbursements:			
89.00 Financing authority	1,373	-67	1,006
90.00 Financing disbursements	1,205	-989	-140

Status of Direct Loans (in millions of dollars)

Identification code 12-4212-0-3-351	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans	848	885	802
1121 Limitation available from carry-forward	452	218	100
1142 Unobligated direct loan limitation (-)	-16
1143 Unobligated limitation carried forward (P.L.106-113) (-)	-218	-100
1150 Total direct loan obligations	1,066	1,003	902
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	3,909	4,313	4,609
1231 Disbursements: Direct loan disbursements	1,072	1,011	917
1251 Repayments: Repayments and prepayments	-661	-665	-858
Write-offs for default:			
1263 Direct loans	-37	-50	-51
1264 Other adjustments, net	30
1290 Outstanding, end of year	4,313	4,609	4,617

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including credit sales of acquired property that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

This account finances direct loans for farm ownership, farm operating, emergency disaster, Indian land, boll weevil eradication, and credit sales of acquired property.

Balance Sheet (in millions of dollars)

Identification code 12-4212-0-3-351	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Investments in US securities:				
1106 Federal assets: Receivables, net	342	651	385	385
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	3,909	4,313	4,609	4,617
1402 Interest receivable	155	176	50	50
1403 Accounts receivable from foreclosed property	6	7	20	20
1405 Allowance for subsidy cost (-)	-241	-999	-988	-988
1499 Net present value of assets related to direct loans	3,829	3,497	3,691	3,699
1999 Total assets	4,171	4,148	4,076	4,084
LIABILITIES:				
2103 Federal liabilities: Debt	4,162	4,133	4,061	4,069
2207 Non-Federal liabilities: Other	9	15	15	15
2999 Total liabilities	4,171	4,148	4,076	4,084
NET POSITION:				
3999 Total net position
4999 Total liabilities and net position	4,171	4,148	4,076	4,084

AGRICULTURAL CREDIT INSURANCE FUND GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4213-0-3-351	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Operating program:			
00.01 Default claims	54	61	61
00.02 Interest assistance on guaranteed loans	109	130	150

00.04	Interest payments to Treasury	10	5	
00.05	Capital investments	2	2	2
00.91	Subtotal, Operating program	165	203	218
	Reestimates:			
08.02	Downward reestimate of subsidy	4	15	
08.04	Downward reestimate of subsidy—interest	1	7	
08.91	Subtotal, reestimates	5	22	
10.00	Total new obligations	170	225	218

Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	102	110	
22.00	New financing authority (gross)	346	233	144
22.10	Resources available from recoveries of prior year obligations	44		
22.70	Balance of authority to borrow withdrawn	-118		
23.90	Total budgetary resources available for obligation	272	335	254
23.95	Total new obligations	-170	-225	-218
24.40	Unobligated balance carried forward, end of year	102	110	34

New financing authority (gross), detail:				
Discretionary:				
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	97	166	144
Mandatory:				
69.00	Offsetting collections (cash)	249	67	
70.00	Total new financing authority (gross)	346	233	144

Change in obligated balances:				
72.40	Obligated balance, start of year	389	407	323
73.10	Total new obligations	170	225	218
73.20	Total financing disbursements (gross)	-108	-309	-287
73.45	Recoveries of prior year obligations	-44		
74.40	Obligated balance, end of year	407	323	254
87.00	Total financing disbursements (gross)	108	309	287

Offsets:				
Against gross financing authority and financing disbursements:				
Offsetting collections (cash) from:				
88.00	Payments from program account	-304	-183	-99
88.25	Interest on uninvested funds	-22	-30	-25
88.40	Fees and premiums	-20	-20	-20
88.90	Total, offsetting collections (cash)	-346	-233	-144

Net financing authority and financing disbursements:				
89.00	Financing authority			
90.00	Financing disbursements	-238	76	143

Status of Guaranteed Loans (in millions of dollars)

Identification code 12-4213-0-3-351	2001 actual	2002 est.	2003 est.	
Position with respect to appropriations act limitation on commitments:				
2111	Limitation on guaranteed loans made by private lenders	2,053	3,006	3,000
2121	Limitation available from carry-forward	476	214	
2143	Uncommitted limitation carried forward	-214		
2150	Total guaranteed loan commitments	2,315	3,220	3,000
2199	Guaranteed amount of guaranteed loan commitments	2,084	2,899	2,700
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	8,601	9,111	10,423
2231	Disbursements of new guaranteed loans	2,200	2,988	3,025
2251	Repayments and prepayments	-1,668	-1,557	-1,600
Adjustments:				
2263	Terminations for default that result in claim payments	-80	-119	-123
2264	Other adjustments, net	58		
2290	Outstanding, end of year	9,111	10,423	11,725
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	7,962	9,381	10,553

the Government resulting from loan guarantees committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

This account finances commitments made for farm ownership and operating guaranteed loan programs.

Balance Sheet (in millions of dollars)

Identification code 12-4213-0-3-351	2000 actual	2001 actual	2002 est.	2003 est.	
ASSETS:					
Federal assets:					
1101	Fund balances with Treasury	271	282	293	293
Investments in US securities:					
1106	Receivables, net	249	259	269	269
1206	Non-Federal assets: Receivables, net	1	1	1	1
1999	Total assets	521	542	563	563
LIABILITIES:					
Non-Federal liabilities:					
2201	Accounts payable	515	534	554	554
2203	Debt	6	8	9	9
2999	Total liabilities	521	542	563	563
4999	Total liabilities and net position	521	542	563	563

AGRICULTURAL CREDIT INSURANCE FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4140-0-3-351	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Capital investment:				
00.08	Loan recoverable costs	5	7	6
Operating expenses:				
01.07	Loss settlement expenses on guaranteed loans	1	2	2
01.08	Administrative expenses—Department of Justice fees	1	1	1
01.09	Costs incident to acquisition of property	1	1	1
01.10	Undistributed charges	1	1	1
01.13	Interest assistance—guaranteed loans	6	4	4
01.17	Unclassified costs	2	1	1
01.18	Civil rights settlements		1	1
01.91	Total operating expenses	12	11	11
10.00	Total new obligations	17	18	17

Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	46	65	
22.00	New budget authority (gross)	24	18	17
22.10	Resources available from recoveries of prior year obligations	11		
22.40	Capital transfer to general fund		-65	
23.90	Total budgetary resources available for obligation	81	18	17
23.95	Total new obligations	-17	-18	-17
24.40	Unobligated balance carried forward, end of year	65		

New budget authority (gross), detail:				
Mandatory:				
69.00	Offsetting collections (cash)	824	809	784
69.27	Capital transfer to general fund	-800	-791	-767
69.90	Spending authority from offsetting collections (total mandatory)	24	18	17

Change in obligated balances:				
72.40	Obligated balance, start of year	51	45	45
73.10	Total new obligations	17	18	17
73.20	Total outlays (gross)	-12	-18	-17
73.45	Recoveries of prior year obligations	-11		
74.40	Obligated balance, end of year	45	45	45

Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	12	18	17

Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
Non-Federal sources:				
88.40	Rent on acquired property	-1	-1	-1

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from

Credit accounts—Continued

AGRICULTURAL CREDIT INSURANCE FUND LIQUIDATING ACCOUNT—
Continued

Program and Financing (in millions of dollars)—Continued

Identification code 12-4140-0-3-351	2001 actual	2002 est.	2003 est.	
88.40	Guaranteed insurance purchased from holders—principal	-1	-1	-1
88.40	Interest on loans	-280	-285	-285
88.40	Guaranteed loss recoveries	-2	-1	-1
88.40	Interest on judgments	-2	-2	-2
88.40	Repayments on loans—principal	-491	-455	-430
88.40	Judgments—principal	-14	-9	-9
88.40	Shared appreciation recapture	-9	-14	-14
88.40	Sale of acquired property/chattels	-28	-40	-40
88.40	Undistributed receipts	4	-1	-1
88.90	Total, offsetting collections (cash)	-824	-809	-784
Net budget authority and outlays:				
89.00	Budget authority	-800	-791	-767
90.00	Outlays	-812	-791	-767

Status of Direct Loans (in millions of dollars)

Identification code 12-4140-0-3-351	2001 actual	2002 est.	2003 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	5,067	4,463	3,825
1251	Repayments: Repayments and prepayments	-491	-455	-430
1261	Adjustments: Capitalized interest	26	14	13
1263	Write-offs for default: Direct loans	-139	-197	-191
1290	Outstanding, end of year	4,463	3,825	3,217

¹ Amounts shown are based on payment of delinquent installments, advances on behalf of borrowers, acquired property and chattels, loans in kind, and judgments.

Status of Guaranteed Loans (in millions of dollars)

Identification code 12-4140-0-3-351	2001 actual	2002 est.	2003 est.	
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	471	411	344
2251	Repayments and prepayments	-33	-65	-50
Adjustments:				
2263	Terminations for default that result in claim payments	-36	-2	-2
2264	Other adjustments, net	9		
2290	Outstanding, end of year	411	344	292
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	341	310	263

As required by the Federal Credit Reform Act of 1990, this account records for the farm loan programs all cash flows to and from the Government resulting from direct loans obligated, loan guarantees committed, and grants made prior to 1992. New loan activity in 1992 and beyond (including credit sales of acquired property that resulted from obligations or commitments in any year) is recorded in corresponding program and financing accounts. Payments to settle certain discrimination claims against USDA may also be made from this account.

Statement of Operations (in millions of dollars)

Identification code 12-4140-0-3-351	2000 actual	2001 actual	2002 est.	2003 est.	
0101	Revenue	149	146	150	150
0102	Expense	-196	-165	-110	-110
0105	Net income or loss (-)	-47	-19	40	40

Balance Sheet (in millions of dollars)

Identification code 12-4140-0-3-351	2000 actual	2001 actual	2002 est.	2003 est.	
ASSETS:					
1101	Federal assets: Fund balances with Treasury	97	109	90	90
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:					
1601	Direct loans, gross	5,067	4,463	3,825	3,217
1602	Interest receivable	130	135	134	134
1603	Allowance for estimated uncollectible loans and interest (-)	-904	-900	-850	-850
1604	Direct loans and interest receivable, net	4,293	3,698	3,109	2,501
1606	Foreclosed property	67	54	54	54
1699	Value of assets related to direct loans	4,360	3,752	3,163	2,555
1999	Total assets	4,457	3,861	3,253	2,645
LIABILITIES:					
2104	Federal liabilities: Resources payable to Treasury	4,339	3,745	3,147	2,539
Non-Federal liabilities:					
2201	Accounts payable	107	106	96	96
2207	Other	11	10	10	10
2999	Total liabilities	4,457	3,861	3,253	2,645
4999	Total liabilities and net position	4,457	3,861	3,253	2,645

Object Classification (in millions of dollars)

Identification code 12-4140-0-3-351	2001 actual	2002 est.	2003 est.	
25.2	Other services	6	7	7
33.0	Investments and loans	5	7	6
43.0	Interest and dividends	6	4	4
99.9	Total new obligations	17	18	17

COMMODITY CREDIT CORPORATION

CORPORATIONS

The following corporations and agencies are hereby authorized to make expenditures, within the limits of funds and borrowing authority available to each such corporation or agency and in accord with law, and to make contracts and commitments without regard to fiscal year limitations as provided by section 104 of the Government Corporation Control Act as may be necessary in carrying out the programs set forth in the budget for the current fiscal year for such corporation or agency, except as hereinafter provided. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.*)

Federal Funds

Public enterprise funds:

COMMODITY CREDIT CORPORATION FUND
REIMBURSEMENT FOR NET REALIZED LOSSES

For fiscal year [2002] 2003, such sums as may be necessary to reimburse the Commodity Credit Corporation for net realized losses sustained, but not previously reimbursed, pursuant to section 2 of the Act of August 17, 1961 (15 U.S.C. 713a-11).

[OPERATIONS AND MAINTENANCE FOR] HAZARDOUS WASTE
MANAGEMENT

(LIMITATION ON EXPENSES)

For fiscal year [2002] 2003, the Commodity Credit Corporation shall not expend more than \$5,000,000 for site investigation and cleanup expenses, and operations and maintenance expenses to comply with the requirement of section 107(g) of the Comprehensive Environmental Response, Compensation, and Liability Act, 42 U.S.C. 9607(g), and section 6001 of the Resource Conservation and Recovery Act, 42 U.S.C. 6961. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 12-4336-0-3-999	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Support and related programs:				
Operating expenses:				
00.01	Commodity purchases and related inventory transactions	3,827	4,733	3,620
00.02	Storage, transportation and other obligations	317	118	103
00.03	Export enhancement program	5	478	478
00.04	Market access program	96	90	90
00.05	Dairy export incentive program	25	39	62
00.06	Section 416/Food for progress ocean transportation	362	320	8
00.07	Foreign market development cooperative	30	28	28
00.08	Quality samples program	1	2	3
Direct producer payments:				
00.10	Feed grains	6,371	3,322	2,137
00.11	Wheat	2,505	1,074	1,046
00.12	Rice	896	658	662
00.13	Cotton	1,584	1,420	766
00.14	Tobacco	471	5	
00.15	Peanuts	118		
00.16	Senior's farmers market	15		
00.17	Wool and mohair	46		
00.18	Honey	23		
00.19	Dairy marketing loss assistance	673		
00.20	Dairy option pilot program	2	14	2
00.22	Noninsured assistance program	64	155	199
00.23	Oilseeds loan deficiency	2,884	3,150	2,209
00.24	Certificates Issued	106	104	
00.25	Other market loss assistance payments	134	113	
00.26	Marketing loan writeoffs	721	822	459
00.27	State payment transfers	184	5	
00.28	Poulte enteritis	2		
00.29	Crop disaster	1,848	94	
00.30	Livestock assistance	427		
00.32	Disaster reserve assistance/American Indian livestock feed	6	8	
00.33	Disaster reserve flood compensation	44	26	
00.34	BioEnergy program	26	150	
00.35	Conservation reserve program (CRP)	1,623	1,801	1,856
Other conservation programs:				
00.37	Klamath/Yakima basin	22		
00.38	Environmental quality incentives program (EQIP)	124	150	160
00.39	Wetlands reserve program (WRP)	163	-4	
00.40	Farmland protection program (FPP)	17	1	
00.41	Conservation farm option			56
00.42	Soil and water conservation program	20		
00.43	Agricultural management assistance program		4	4
00.44	Reimbursement agreement and transfers to State and Federal agencies	51	56	56
Interest:				
00.45	Treasury	427	277	356
00.46	Other	33	30	29
00.47	EQIP technical assistance	38	35	38
00.48	EQIP educational assistance	2	1	2
00.49	CRP technical assistance	32	17	
00.50	WRP technical assistance	14		
00.51	FPP technical assistance	1		
00.52	AMA technical assistance	2	3	3
01.92	Total support and related programs	26,382	19,299	14,432
Reimbursable program:				
09.01	Commodity loans	8,267	10,624	8,844
09.03	Reimbursable program	401	500	555
09.04	P. L. 480 ocean transportation	547	559	685
09.09	Subtotal, reimbursable programs	9,215	11,683	10,084
10.00	Total new obligations	35,597	30,982	24,516
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	1,064	996	1,020
22.00	New budget authority (gross)	35,957	31,006	24,343
22.21	Unobligated balance transferred to other accounts	-25		
22.60	Portion applied to repay debt	-404		
23.90	Total budgetary resources available for obligation	36,592	32,002	25,363
23.95	Total new obligations	-35,597	-30,982	-24,516
24.40	Unobligated balance carried forward, end of year	996	1,020	847

New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	25,077	20,279	16,285

40.47	Portion applied to repay debt	-24,742	-20,128	-16,458
41.00	Transferred to other accounts	-335	-151	
43.00	Appropriation (total discretionary)			-173
Mandatory:				
67.10	Authority to borrow	23,643	17,663	11,481
69.00	Offsetting collections (cash)	12,314	13,343	13,035
70.00	Total new budget authority (gross)	35,957	31,006	24,343

Change in obligated balances:				
72.40	Obligated balance, start of year	3,293	2,570	2,794
73.10	Total new obligations	35,597	30,982	24,516
73.20	Total outlays (gross)	-36,601	-30,758	-24,750
73.40	Adjustments in expired accounts (net)	281		
74.40	Obligated balance, end of year	2,570	2,794	2,560

Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	23,408	8,756	11,333
86.98	Outlays from mandatory balances	13,194	22,002	13,417
87.00	Total outlays (gross)	36,601	30,758	24,750

Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
Federal sources:				
Federal sources:				
88.00	Sales to special activities	-402	-501	-555
88.00	Advance from foreign assistance programs (P.L. 480)	-872	-850	-1,185
88.00	Interest revenue	-15		
Non-Federal sources (62 stat.1070): Support and related programs:				
Non-Federal sources:				
88.40	Sales and other proceeds	-255	-487	-564
88.40	Assessments		-41	-44
88.40	Interest revenue	-117	-68	-124
88.40	Other revenue and certificates redeemed	-491		
88.40	Loans repaid	-8,258	-9,480	-8,556
88.40	Export credit sales program repayments	-14	-17	-22
88.40	Commodity certificates redeemed	-1,890	-1,899	-1,985
88.90	Total, offsetting collections (cash)	-12,314	-13,343	-13,035

Net budget authority and outlays:				
89.00	Budget authority	23,643	17,663	11,308
90.00	Outlays	24,288	17,415	11,715

Summary of Budget Authority and Outlays

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Enacted/requested:			
Budget Authority	23,643	17,663	11,308
Outlays	24,288	17,415	11,715
Legislative proposal, subject to PAYGO:			
Budget Authority		4,640	7,825
Outlays		4,200	7,271
Total:			
Budget Authority	23,643	22,303	19,133
Outlays	24,288	21,615	18,986

Status of Direct Loans (in millions of dollars)

Identification code 12-4336-0-3-999	2001 actual	2002 est.	2003 est.	
SHORT TERM CREDIT LOANS				
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	364	350	333
1251	Repayments: Repayments and prepayments	-14	-17	-22
1290	Outstanding, end of year	350	333	311
COMMODITY LOANS				
Position with respect to appropriations act limitation on obligations:				
1111	Limitation on direct loans			
1131	Direct loan obligations exempt from limitation	8,267	10,624	8,844
1150	Total direct loan obligations	8,267	10,624	8,844
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	3,070	1,896	2,602
1231	Disbursements: Direct loan disbursements	8,267	10,624	8,844
1251	Repayments: Repayments and prepayments	-8,257	-9,480	-8,555

Public enterprise funds—Continued

【OPERATIONS AND MAINTENANCE FOR】 HAZARDOUS WASTE
MANAGEMENT—Continued

(LIMITATION ON EXPENSES)—Continued

Status of Direct Loans (in millions of dollars)—Continued

Identification code 12-4336-0-3-999	2001 actual	2002 est.	2003 est.
1264 Write-offs for default: Other adjustments, net	-1,184	-438	-756
1290 Outstanding, end of year	1,896	2,602	2,135
SALE OF INVENTORY ON CREDIT TERMS			
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	30	30	30
1290 Outstanding, end of year	30	30	30

The Commodity Credit Corporation (CCC) was created to stabilize, support, and protect farm income and prices; help maintain balanced and adequate supplies of agricultural commodities, their products, foods, feeds, and fibers; and help in their orderly distribution.

The Corporation's capital stock of \$100 million is held by the U.S. Treasury. Under present law, up to \$30 billion may be borrowed from the U.S. Treasury to finance operations.

Current, indefinite appropriation authority is requested to cover all net realized losses. Appropriations to the Corporation for net realized losses have no effect on budget authority, as they are used to repay debt directly with the Treasury.

Budget assumptions.—The following general assumptions form the basis for the Corporation's 2002 and 2003 budget estimates: (a) national income will rise both in 2002 and 2003 from the present level; (b) 2002 crop production will increase from 2001 crop levels for some commodities; (c) generally, exports of agricultural commodities in 2003 are expected to be higher than 2002 levels; (d) yields for the 2002 crops are based on recent averages adjusted for trends; (e) acreage allotments and marketing quotas will be in effect for the 2002 crops of certain kinds of tobacco; and (f) poundage quotas will be in effect for the 2002 crop of peanuts.

It is difficult to accurately forecast requirements for the year ending September 30, 2003, since the projections are subject to complex and unpredictable factors such as weather, other factors which affect the volume of production of crops not yet planted, feed and food needs here and overseas, and available dollar exchange.

The Federal Agriculture Improvement and Reform Act of 1996 (the 1996 Act) enacted April 4, 1996, retains the CRP as part of the Environmental Conservation Acreage Reserve Program (ECARP) but changed the funding source from direct appropriation to the Commodity Credit Corporation. The CRP is assumed to be gradually increased from 33.6 million acres at the end of 2001 to 36.4 million acres. CRP is USDA's largest conservation/environmental program. The purpose of CRP, administered by FSA, is to cost-effectively assist farm owners and operators in conserving and improving soil, water, air, and wildlife resources by converting highly erodible and other environmentally sensitive acreage normally devoted to the production of agricultural commodities to a long-term resource-conserving cover. CRP participants enroll contracts for periods from 10 to 15 years in exchange for annual rental payments and cost-share and technical assistance for installing approved conservation practices.

CRP continuous signup acreage, which contributes to the USDA Conservation Buffer Initiative and the Conservation reserve enhancement program (CREP), is estimated to enroll 4 million acres. During 2001, about 435,000 acres were signed up for continuous practices in signup 23. Technical assistance for these signups was paid with funds for 2001 provided in the 2001 Agriculture Appropriations Act.

The 2001 Appropriations Act authorized the Secretary to enroll 500,000 acres during 2001 and 2002 for a Farmable Wetlands Pilot Program and required that the acreage enrolled not reduce the continuous-signup or CREP acreage.

A one-year contract extension for CRP participants with contracts of original duration of less than 15 years that are scheduled to expire September 20, 2002, is assumed.

Appropriations are made to reimburse the Corporation for net realized losses sustained in carrying out its operations:

2003 ESTIMATE

(In millions of dollars)

Program	Gross obligations	Net outlays	Net realized loss for year
Farm income, marketing assistance loans, and price support:			
Commodity loans	8,844	3,741	0
Feed grain payments	2,137	2,137	2,137
Wheat payments	1,046	1,047	1,046
Rice payments	662	662	662
Cotton payments	766	845	766
Export enhancement program	478	478	478
Other support and related	8,023	172	4,530
Other items not distributed by program:			
Interest	385	228	261
All other	56	286	56
Total, farm income, marketing assistance loans, and price-support programs	22,397	9,596	9,936
Conservation programs:			
Conservation reserve program	1,856	1,856	1,946
Environmental quality incentives program	200	190	190
Wetlands reserve program	0	57	57
Farmland protection program	0	6	6
Soil and water conservation program		3	3
Conservation farm option program	63	7	7
Total, conservation programs	2,119	2,119	2,209
Total, Commodity Credit Corporation	24,516	11,715	12,145

PROGRAMS OF THE CORPORATION

Price support, marketing assistance loans, and related stabilization programs.—The Corporation conducts programs to support farm income and prices and stabilize the market for agricultural commodities. Price support is provided to producers of agricultural commodities through loans, purchases, payments, and other means. This is done mainly under the Commodity Credit Corporation Charter Act, as amended, the Agricultural Act of 1949 (the 1949 Act), as amended, and the Federal Agriculture Improvement and Reform Act of 1996 (the 1996 Act).

Price support is mandatory for tobacco, peanuts, and dairy products. Marketing assistance loans are mandatory for wheat, feed grains, oilseeds, upland cotton, and rice. Loans are also required to be made for sugar and extra long staple cotton.

One method of providing support is loans to and purchases from producers. With limited exceptions, loans made on commodities are nonrecourse. The commodities serve as collateral for the loan and on maturity the producer may deliver or forfeit such collateral to satisfy the loan obligation without further payment.

Direct purchases may be made from processors as well as producers, depending on the commodity involved. Also, special purchases are made under various laws for the removal of surpluses; for example, the Act of August 19, 1958, as amended, and section 416 of the Agricultural Act of 1949, as amended.

Production flexibility contract payments.—The 1996 Act requires that the Corporation offer eligible producers a one-time opportunity to execute 7-year production flexibility contracts. Production flexibility contract participants who comply with applicable provisions receive annual payments beginning in 1996 and ending in 2002. However, the budget assumes continued support at the 2002 level. Participants received a 50-percent advance payment for the 1996 crop within 30

days after contract approval. The balance of the 1996 payment was issued by September 30, 1996. In subsequent years, participants receive final payments by September 30, with an option to receive advances on December 15 or January 15. For 2001 through 2002, producers may choose to receive fiscal year production flexibility contract payments as two 50 percent payments or one 100 percent payment at any time during the fiscal year. Depending on each contract participant's prior contract-crop acreage history and payment yield, as well as total program participation, the participant shares a portion of a statutorily specified, annual dollar amount. In return, participants must comply with certain requirements regarding land conservation, wetland protection, and agricultural use. Contract crops, for the purposes of determining eligible cropland and payments, include wheat, corn, grain sorghum, barley, oats, upland cotton, and rice. No production adjustment requirements or related provisions are included in this program, except for restrictions on the planting of fruits and vegetables and other minor requirements. The one-time enrollment took place between May 1 and August 1, 1996; however, producers with Conservation Reserve Program (CRP) contracts will have the opportunity to enroll acreage currently in the CRP that meets the eligibility requirements for a production flexibility contract. These enrollments will occur as CRP contracts expire.

Marketing assessments.—The 1949 Act mandates assessments for tobacco, and the 1996 Act requires such assessments for peanuts and sugar. Tobacco marketing assessments are authorized through crop year 1998.

Peanut price support program.—The 1996 Act and the Agricultural Adjustment Act of 1938, as amended (the 1938 Act), provide for a peanut loan and poundage quota program for the 1996 through 2002 peanut crops. Current budget estimates assume continued funding in 2003. The 1996 Act makes the peanut program, effectively, a no-cost program. The Secretary is required to provide a nonrefundable per-pound marketing assessment equal to 1.15 percent of the national average quota or additional peanut loan rate for the applicable 1996 crop and 1.2 percent of the national average quota or additional peanut loan rate for each of the applicable 1997 through 2002 crops. Assessments will be used to offset losses in area quota pools, and any assessments not required to cover these losses will be remitted to the Treasury. If the use of all other available authority does not produce funds sufficient to cover losses in area quota pools, the Secretary must increase the marketing assessment by an amount that will cover the losses.

Tobacco program.—The 2002 Appropriations Act provides payments to eligible persons for eligible tobacco under the same standards for payments as provided by the Agricultural Risk Protection Act of 2000 (ARPA).

Sugar program.—The 1996 Act requires that loans be made available to eligible sugar processors for the 1996 through 2002 crops of domestically produced sugar beets and sugarcane. The announced Tariff Rate Quota (TRQ) determines the type of loan in effect. If the TRQ is not above 1,500,000 short tons, raw value, at the time of loan approval and has never been above 1,500,000 short tons, raw value, at any time during the fiscal year, recourse loans will be in effect. If the TRQ exceeds 1,500,000 short tons, raw value, at the time of loan approval or has exceeded 1,500,000 short tons, raw value, at any time during the fiscal year, nonrecourse loans will be in effect. The 2002 Appropriations Act provides assistance to Minnesota sugar producers for losses incurred beyond those that may be compensated under existing programs.

Options pilot program.—The 1996 Act authorizes the Secretary to utilize CCC, until December 31, 2002, to conduct a pilot program for one or more agricultural commodities supported under Title I of the 1996 Act to ascertain whether

futures and options contracts can reasonably protect producers from the financial risks of fluctuations in price, yield, and income inherent in the production and marketing of the commodities. The pilot program is under the supervision of the Administrator of the Risk Management Agency.

Noninsured assistance program.—The Federal Crop Insurance Reform Act of 1994 expanded current crop insurance authorities to provide for catastrophic coverage at 50 percent yield protection at a flat fee for crops currently covered by insurance programs. Where crop insurance is not available, producers of crops for food and fiber and certain other crops will be covered under the Noninsured Assistance Program (NAP), administered by the Farm Service Agency. As enacted, the program has been reimbursing producers at the same rates and terms as the catastrophic program where assistance is triggered by area wide disasters. The ARPA eliminated the area loss requirement for triggering assistance and made other changes including a provision that all types or varieties of a crop may be considered a single eligible crop for NAP assistance. The ARPA also authorizes the collection of service fees. NAP ARPA payments will continue in 2002.

Dairy.—The 1996 Act provides for a dairy price support program that sets the minimum support price for milk at \$10.35 per hundredweight for calendar year 1996, \$10.20 per hundredweight for calendar year 1997, \$10.05 per hundredweight for calendar year 1999, and \$9.90 per hundredweight for calendar year 1999. The 2002 Appropriations Act extended the price support program through May 31, 2002, at the \$9.90 per hundred-weight support level, which is the same level as the 2000 and 2001 Appropriations Acts. In lieu of the price support program, Section 142 of the 1996 Act establishes a recourse loan program beginning on January 1, 2000, and continuing through 2002, during which time processors will be eligible for recourse loans on dairy products at a milk equivalent rate of \$9.90 per hundredweight. However, the 2002 Appropriations Act permanently repealed Section 142 of the 1996 Act. The Food Security Act of 1985, as amended (the 1985 Act), authorizes the Dairy Export Incentive Program (DEIP) through calendar year 2002. The DEIP provides subsidies to exporters of U.S. dairy products to help them compete with other subsidizing nations.

Emergency livestock feed assistance.—The 2001 Appropriations Act provided \$490 million to make and administer payments for livestock losses using the criteria established to carry out the 1999 LAP to producers for 2000 losses in a county which received an emergency designation after January 1, 2000. Of this amount, \$40 million was designated for the Pasture Recovery Program, \$12 million was used for the American Indian Livestock Feed Program, and \$438 million was used for LAP and other assistance mandated by the 2001 Consolidated Appropriations Act, P.L. 106-554.

Payment limitations.—The 1996 Act and the Food Security Act of 1985, as amended, limit the amount of production flexibility contract payments during any fiscal year to \$40,000 and the sum of marketing assistance gains and loan deficiency payments during any crop year to \$75,000. This limitation has been raised to \$150,000 for the 1999 and 2000 crops.

Livestock indemnity program.—The 2001 Appropriations Act provided \$10 million to make LIP payments to producers on farms that have incurred livestock losses during calendar year 2000 due to a disaster, including losses due to fires and anthrax. This funding level was reduced by the 0.22 percent rescission in P.L. 106-554.

Dairy market loss assistance II program.—Provisions of this program were implemented on January 19, 2000. U.S. dairy producers were experiencing a decline in the basic formula price, which is used by the Federal milk marketing order system to set manufacturing, and is the price mover for fluid milk. Under the 2001 Appropriations Act, \$675 million was authorized for payment to dairy producers.

Public enterprise funds—Continued

**[OPERATIONS AND MAINTENANCE FOR] HAZARDOUS WASTE
MANAGEMENT—Continued**

PROGRAMS OF THE CORPORATION—Continued

Emergency and market loss assistance.—Under provisions of Title XI, Subtitle A, this assistance is provided fairly and equitably to producers who have incurred crop and livestock losses in all affected geographic regions of the United States. The Secretary may determine (1) one or more loss thresholds producers on a farm must incur, with respect to a crop, to be eligible for assistance, (2) the payment rate for crop and livestock feed losses incurred, and (3) eligibility and payment limitation criteria. This is in addition to AMTA and NAP (crop insurance indemnities provided for the 1998 crops under the Federal Crop Insurance Act, and emergency loans available for 1998 crops under subtitle C of the Consolidated Farm and Rural Development Act).

Crop loss assistance.—The 2001 Appropriations Act authorized such sums as are necessary, which amounted to \$1.8 billion for CY 2001 losses. There are no crop loss provisions in the 2002 Appropriations Act.

The Agricultural Economic Assistance Act of 2001, P.L. 107–25, authorized the following payments in 2001 to producers (in millions).

Supplemental oilseeds payments	\$424
Supplemental peanut payments	54
Supplemental tobacco payments	129
Supplemental wool and mohair payments	17
Supplemental cottonseed assistance	85
Specialty crops—base state grants	26
Specialty crops grants for value of production	133
Commodity assistance program	10

Bioenergy program.—During 2002, CCC will provide incentive payments, to ethanol, biodiesel, and other bioenergy producers to expand production of bio-based fuels. A \$150 million program level is estimated for 2002. This program is authorized by the CCC Charter Act. No program is proposed and no funds are available for any program beyond 2002.

Agricultural management assistance program.—The Agricultural Risk Protection Act of 2000 authorized CCC funding of \$10 million for 2001 and subsequent years to provide grants to qualified public and private entities for the purpose of educating agricultural producers about the full range of risk management activities, including futures, options, agricultural trade options, crop insurance, cash forward contracting, debt reduction, production diversification, farm resources risk reduction, and other risk management strategies. The Secretary delegated authority to Natural Resources Conservation Service, Risk Management Agency, and the Agricultural Marketing Service.

Conservation programs.—The Environmental Conservation Acreage Reserve Program (ECARP) was re-established by the 1996 Act to begin in 1996 and continue through 2002. ECARP consists of the Conservation Reserve Program (CRP), the Wetlands Reserve Program (WRP), and the Environmental Quality Incentives Program (EQIP). The 1996 Act amended the 1985 Act to require the use of CCC funds for these programs.

The CRP is authorized in all 50 States, Puerto Rico, and the Virgin Islands, on all highly erodible cropland, other environmentally sensitive cropland, and certain marginal pastureland meeting the eligibility criteria. In addition to cropland in areas adjacent to lakes and streams that can be devoted to filter strips, and cropland subject to overflow and suffering from scour erosion, eligible land may include cropland contributing to water quality problems, and other lands posing environmental threats. Also eligible for the CRP are water quality or wildlife habitat impaired areas that do not meet the highly erodible land (HEL) criteria, such as the Chesapeake Bay, Great Lakes, and Long Island Sound watershed regions.

The establishment and funding for Conservation Priority Areas (CPA) under both EQIP and CRP will be harmonized in a manner to ensure program availability is coordinated to best address environmental concerns, keeping in mind the varied and diverse purposes for which the CRP and EQIP are authorized.

The EQIP combines the functions of the former Agricultural Conservation Program (ACP), the Water Quality Incentives Program (WQIP), the Great Plains Conservation Program (GPCP), and the Colorado River Basin Salinity Control Program (CRSC). The 1996 Act provided that EQIP would be phased in over a 6-month interim period, ending not later than October 4, 1996. CCC funding of \$130 million was provided for the interim 1996 program. Thereafter, through fiscal year 2002, \$200 million in CCC funding must be made available annually for the program. The 1999, 2000 and 2001 program levels have been limited to \$174 million by the appropriations acts. The 2001 Consolidated Appropriations Act, P.L. 106–554, then provided \$26 million in contingent emergency funds, with \$57 thousand rescinded, making \$199.9 million the total amount available in 2001. In 2002, \$200 million is available for EQIP; \$13 million of this amount is available for transfer to CRP.

The Farmland Protection Program (FPP) assists State, local, and tribal governments and nonprofit organizations in purchasing conservation easements. The Agricultural Risk Protection Act of 2000 provided for payments using CCC funds totaling \$10 million for 2001. The 2002 Appropriations Act authorized purchase of conservation easements in three Kentucky counties.

The Wildlife Habitat Incentives Program (WHIP) makes available assistance to help landowners improve wildlife habitat on private lands. A total of \$50 million in CRP funds were made available for fiscal years 1996 through 2002 for this program. These funds were exhausted in 1999.

Section 211(b) of the Agricultural Risk Protection Act of 2000 provides an additional \$40 million for soil and water conservation assistance to provide financial assistance to farmers and ranchers to address a wide range of threats to soil, water and related natural resources. The 2001 Consolidated Appropriations Act gave the Secretary the authority to reallocate these funds to WHIP or FPP; \$8 million in additional funds was provided for FPP, bringing its total 2001 funding level to \$18 million, and \$12 million was allocated to WHIP.

The Conservation Farm Option Program (CFO) is a pilot program for producers of wheat, feed grains, upland cotton, and rice who are eligible for production flexibility contracts. Under this program, producers may consolidate their production flexibility contract, CRP, WRP, and EQIP payments into one annual payment if they enter into a 10-year contract and adopt an approved conservation farm plan. Total authorized funding is \$197.5 million. However, no obligations were incurred in 1997–2002. In 1999–2002, appropriations acts precluded operations of the CFO.

The primary objectives of the Wetlands Reserve Program (WRP) are to restore and protect wetlands, improve wildlife habitat, and protect migratory waterfowl. This program offers landowners an opportunity to establish long-term conservation and wildlife practices and protection beyond that which can be obtained through other USDA programs. The Secretary of Agriculture, through NRCS field offices, uses program funds to acquire permanent or 30-year easements or to enter into 10-year restoration cost-share agreements. For easements, participants receive compensation in an amount not to exceed the agricultural fair market value of the land being offered. In addition, they receive cost-share assistance in amounts up to 75 percent for 30-year easements and 100 percent for permanent easements for establishing required wetlands restoration and wildlife practices. CCC pays for all

the overhead costs associated with recording the easement in the local land records office including recording fees, charges for abstracts, surveys, appraisal fees, and title insurance associated with acquiring an easement. For restoration cost-share agreements, participants receive up to 75 percent of the cost of establishing required practices. Other agencies and private organizations may provide additional assistance for easement payments and restoration costs as a way to leverage program funds and achieve greater program benefits.

The 2001 Appropriations Act raised the enrollment cap by 100,000 acres to 1,075,000 acres; this enrollment cap was reached in 2001. In 2002, no additional acres will enroll, and only the monitoring of enrolled acres is authorized.

ARPA authorized \$20 million for the Soil and Water Conservation Program. Financial assistance is available to farmers and ranchers to address threats to natural resources, comply with Federal and State environmental laws, and make beneficial, cost-effective changes to conserve and improve natural resources.

The 2001 Supplemental Appropriations Act, P.L. 107–20, authorized the Klamath Basin Water Conservation Program and made available \$20 million in financial assistance to eligible producers to promote water conservation in the Klamath Basin, Oregon.

The 2001 Supplemental Appropriations Act, P.L. 107–20, authorized the Yakima Basin Water Conservation Program and made available \$2 million in financial assistance to eligible producers to promote water conservation in the Yakima Basin, Washington.

Surplus removal and other CCC activities.—Section 5 of the CCC Charter Act authorizes CCC to undertake specific actions with respect to agricultural commodities. Section 5(d) specifically authorizes CCC to remove and dispose of or aid in the removal or disposition of surplus agricultural commodities. USDA will not purchase commodities for donation purposes in 2003. No such donation program is proposed for 2003. Instead discretionary funding has been added to the P.L. 480 Title II Appropriation to provide for donation needs. In the 2003 budget, CCC-owned commodities will be available for the regular Section 416(b) program when inventory stocks are available.

The Flood Compensation Program was authorized in the Agricultural Risk Protection Act of 2000. \$24 million of CCC will be used to compensate producers for the loss of cropland or pastureland due to long-term flooding. This program provides assistance for producers who are experiencing losses due to flooding but were not compensated by the Flood Compensation Program authorized by the 1998 Omnibus Appropriation.

The 2001 Supplemental Appropriations Act, P.L. 107–20, authorized \$23 million for the Global Food for Education Initiative. Under this program, USDA donates surplus commodities for use in school feeding and pre-school nutrition projects in developing countries. Financial assistance for internal transportation, storage and handling and administrative expenses, as determined by the Secretary, is available.

Supply and foreign purchases.—The Corporation can procure from domestic and foreign sources food, agricultural commodities, and products and related materials to supply the needs of Federal agencies, foreign governments, and private and international relief agencies, under section 5 (b) and (c) of the Commodity Credit Corporation Charter Act, as amended.

No purchase program is proposed for international food donations for 2003.

Commodity exports.—The Corporation promotes the export of agricultural commodities and products through sales for dollars or foreign currency, payments, extension of credit, assumption of certain risks, and conduct of other operations with respect to the exportation of commodities. Such commod-

ities and products may be those held in private trade channels as well as those acquired by the Corporation. These programs are carried out under the authority of the CCC Charter Act and other specific legislation.

Foreign donations.—The Corporation may furnish commodities under the authority of section 416(b) of the Agricultural Act of 1949 to carry out programs of assistance in developing countries and friendly countries and pay costs associated with making the commodities available. The Corporation may also use its funds to furnish commodities overseas under the authority of the Food for Progress Act of 1985; however, not more than 500,000 metric tons of commodities may be provided under this authority in each fiscal year, and not more than \$30 million of the funds of the Corporation (exclusive of the costs of commodities) may be used for each fiscal year. In addition, under the Food for Progress Act of 1985, not to exceed \$10 million of the Corporation's funds or commodities may be used each fiscal year to enhance the development of private sector agriculture in countries receiving commodities under the Food for Progress Act of 1985. The Budget assumes that CCC will purchase commodities for use in the Food for Progress program only through 2002 at a level that can be supported within the annual \$30 million limitation on Food for Progress ocean transportation and other non-commodity expenses.

Loan operations.—The following table reflects commodity loan operations of the Corporation:

	[In millions of dollars]		
Item	2001 actual	2002 est.	2003 est.
Loans outstanding, gross, start of year:			
Commodity Credit Corporation	3,071	1,896	2,602
Additional loans made	8,267	10,624	8,844
Deduct:			
Loans repaid	–8,258	–9,481	–8,555
Acquisition of loan collateral	–1,085	–437	–756
Write-offs	–99	0	0
Total loans outstanding, gross, end of year	1,896	2,602	2,135

Inventory operations.—The following table reflects the inventory operations applicable to the preceding programs:

AGRICULTURAL COMMODITIES			
	[In millions of dollars]		
Item	2001 actual	2002 est.	2003 est.
On hand, start of year, gross	1,204	2,285	1,890
Acquisitions:			
Forfeiture of loan collateral	1,085	437	756
Excess of collateral acquired over loans canceled	2	36	77
Purchases	3,779	4,655	3,521
Transfers and exchanges	13	0	0
Carrying charges:			
Charges to inventory	33	42	22
Storage and handling (non-add)	(75)	(86)	(86)
Transportation (non-add)	(13)	(10)	(11)
Total acquisitions	4,912	5,170	4,376
Dispositions:			
Domestic donations to:			
Families	31	52	46
Institutions	27	48	38
School lunch	0	22	22
Total domestic donations	58	122	106
Export donations	517	889	57
Sales and transfers:			
Special programs: Title II, Public Law 480	398	500	555
Title III, Public Law 480	3	0	0
Other sales	2,239	2,275	2,541
Net loss or gain (–) on sales and transfers	616	1,779	1,245
Total sales and transfers	3,256	4,554	4,341

Public enterprise funds—Continued**[OPERATIONS AND MAINTENANCE FOR] HAZARDOUS WASTE
MANAGEMENT—Continued**

PROGRAMS OF THE CORPORATION—Continued

[In millions of dollars]			
Item	2001 actual	2002 est.	2003 est.
Total dispositions	3,831	5,565	4,504
On hand, end of year, gross	2,285	1,890	1,762
Allowances for losses	-847	-700	-653
On hand, end of year, net	1,438	1,190	1,109

Other data.—The following table reflects other data which are applicable to price support and related programs:

DATA ON SUPPORT AND RELATED PROGRAMS

[In millions of dollars]			
Item	2001 actual	2002 est.	2003 est.
Loans made	8,267	10,624	8,844
Loans repaid	8,258	9,480	8,555
Loan collateral forfeited	1,085	437	756
Loans outstanding, end of year	1,896	2,602	2,135
Acquisitions	4,912	5,170	4,376
Cost of commodities sold	3,256	4,554	4,341
Cost of commodities donated	576	1,011	163
Inventory, end of year	2,285	1,890	1,762
Investment in loans and inventory, end of year	4,181	4,492	3,897
Direct producer payments	21,118	11,713	9,175
Net expenditures	22,099	17,415	11,715
Realized losses	23,380	17,749	12,145

Operating expenses.—The Corporation carries out its functions through utilization of employees and facilities of other Government agencies. Administrative expenses are incurred by: the Farm Service Agency (FSA); the Foreign Agricultural Service; the Natural Resources Conservation Service; the Risk Management Agency; other agencies of the Department engaged in the Corporation's activities; and the Office of the Inspector General for audit functions. Additional expenses are incurred by FSA county offices for work related to programs of the Corporation, other FSA expenses offset by revenue, custodian, and agency expenses of the Federal Reserve banks and lending agencies, and miscellaneous costs.

Expenses are incurred for acquisition, operation, maintenance, improvement, or disposition of existing property that the Corporation owns or in which it has an interest. These expenses are treated as program expenses. Such program expenses include inspection, classing, and grading work performed on a fee basis by Federal employees or Federal- or State-licensed inspectors; and special services performed by Federal agencies within and outside this Department. Most of these general expenses, including storage and handling, transportation, inspection, classing and grading, and producer storage payments, are included in program costs. They are shown in the program and financing schedule in the entries entitled "Storage, transportation, and other obligations not included above," and "Producer storage payments."

Section 161 of the 1996 Act amended the CCC Charter Act to significantly limit the use of CCC funds. CCC no longer has authority to purchase personal property except within authorized limitations. CCC spending for equipment or services relating to automated data processing (ADP), information technologies, or related items (including telecommunications equipment and computer hardware and software, but excluding reimbursable agreements) was limited to \$170 million in 1996, and \$275 million for the six-year period including 1997 through 2002, unless additional amounts for such contracts and agreements are provided in advance in appropriation acts. The 1996 Act also requires that CCC submit an itemized report to Congress on a quarterly basis of all expenditures, excluding program payments, of over \$10,000. Subsequent legislation reduced allowable ADP expenditures

through 2002 to \$188 million. At the end of 2000, CCC had \$2 million to carry forward to 2001. The remaining funds were nearly exhausted in 2001.

Section 161 of the 1996 Act also amended section 11 of the CCC Charter Act to limit the use of CCC funds for the transfer and allotment of funds to State and Federal agencies. Beginning on October 1, 1996, the total of these allotments and transfers under that section in a fiscal year, including agreements for ADP or information resource management activities, may not exceed the total of such allotments and transfers in fiscal year 1995. The obligations for these Section 11 activities in 1995 were \$46 million. The 1995 cap was revised to \$36.209 million effective 1999 to exclude the Emerging Markets Program because such transfers are not made pursuant to Section 11 of the CCC Charter Act. In 2001, the Section 11 cap was increased to \$56 million to include FSA loan service fees.

The Corporation receives reimbursement for grain requisitioned pursuant to Public Law 87-152 by the States from Corporation stocks to feed resident wildlife threatened with starvation through the appropriation reimbursement for net realized losses. There have been no requisitions in recent years, however.

SPECIAL ACTIVITIES

These activities are carried out under authority of section 5(g) of the Corporation's charter act and specific statutory authorizations or directives with respect thereto that are currently in effect or which may subsequently be enacted.

A summary of such current activities not included under other designated activities is as follows:

Item	2003 estimate [In millions of dollars]	
	Gross obligations	Outlays (reimbursable)
(1) Financing sales of agricultural commodities for foreign currencies or for dollars on credit terms	150	160
(2) Commodities supplied in connection with dispositions abroad (Title II)	1,185	1,063
Total	1,335	1,223

The Corporation receives appropriations or reimbursement for the cost of these activities as described under each.

Activities currently being carried out are as follows (see Foreign Assistance programs for details of items (1), (2) and (3)).

(1) Financing the sale and exportation of agricultural commodities for foreign currencies or for dollars (title I, of P.L. 480).

(2) Commodities supplied in connection with dispositions abroad (title II, of P.L. 480).

(3) Commodities supplied in connection with dispositions abroad (title III, of P.L. 480).

(4) Commodities supplied in connection with dispositions abroad (Food for Progress Act of 1985).

FINANCING

Borrowing authority.—The Corporation has an authorized capital stock of \$100 million held by the U.S. Treasury and, effective in 1988, authority to have outstanding borrowings up to \$30 billion at any one time.

Funds are borrowed from the Treasury and may also be borrowed from private lending agencies and others. The Corporation reserves a sufficient amount of its borrowing authority to purchase at any time all notes and other obligations evidencing loans made to the Corporation by such agencies and others. All bonds, notes, debentures, and similar obligations issued by the Corporation are subject to approval by the Secretary of the Treasury as required by the Act of March 8, 1938.

Interest on borrowings from the Treasury (and on capital stock) is paid at a rate based upon the average interest rate

of all outstanding marketable obligations (of comparable maturity date) of the United States as of the preceding month. Interest is also paid on other notes and obligations at a rate prescribed by the Corporation and approved by the Secretary of the Treasury.

The Department of Agriculture and Related Agencies Appropriation Act, 1966, made provision for terminating interest after June 30, 1964 on the portion of the Corporation's borrowings from the Treasury equal to the unreimbursed realized losses recorded on the books of the Corporation after the end of the fiscal year in which such losses are realized.

POSITION WITH RESPECT TO BORROWING AUTHORITY, END OF YEAR

Item	[In millions of dollars]		
	2001 actual	2002 est.	2003 est.
Statutory borrowing authority	30,000	30,000	30,000
Deduct: Borrowings from Treasury	22,550	25,034	24,974
Net statutory borrowing authority available	7,450	4,966	5,026

Note.—Accounts payable, accrued liabilities, and other outstanding obligations not reflected on this table do not become charges against the statutory borrowing authority until they result in borrowings from the Treasury.

Contract authority.—Price support and other programs required by statute may result in the Corporation incurring obligations in excess of available funds and borrowing authority. Such obligations are liquidated from subsequent appropriations and other funds that may become available to the Corporation. Any increase in obligations in excess of available fund resources is reported as contract authority in the year involved; a decrease is reported as the application of appropriations and other funds to liquidate the authority.

Appropriations.—Under section 2 of Public Law 87-155 annual appropriations are authorized for each fiscal year to reimburse the Corporation for net realized losses incurred as of the close of each year.

The special activities are financed as indicated in the program descriptions above. In addition to certain reimbursements from other agencies, appropriations are made for foreign assistance programs.

Deficit.—The net realized losses of the Corporation have previously been reimbursed as follows:

SUPPORT AND RELATED PROGRAMS

	[In millions of dollars]	
	2001 actual	
Realized losses, 1933 to 2001, inclusive	339,223	
Reimbursements by the Treasury:		
Reimbursements of realized losses:		
Appropriations (62 times)	313,977	
Note cancellations (6 times)	2,697	
Less dividends paid to Treasury (4 times)	-138	
Total reimbursements for net realized losses	316,536	
Other reimbursements:		
Appropriations (2 times)	542	
Note cancellation (1 time)	56	
Total other reimbursements	598	
Total	317,134	
Realized deficit as of September 30, 2001, support and related programs	22,089	

Foreign Market Development Cooperator Program (FMDCP) and Quality Samples Program. In 2000, funding for the FMDCP shifted from the Foreign Agricultural Service annual appropriation to CCC funding. The FMDCP encourages export promotion and overseas market development activities of U.S. agricultural products. The 2001 program level of \$27.5 million remains constant in 2002. Also in 2001, CCC funded the Quality Samples Program at an authorized annual level of \$2.5 million, and the program continues to be funded at the \$2.5 million level in 2002. Under this program, samples of U.S. agricultural products are provided to foreign importers to promote a better understanding and appreciation for the high quality of U.S. products.

Commodity Certificates. Subtitle B of the 2000 Act allows for the use of commodity certificates. In making in-kind payments, CCC may (a) "acquire and use commodities that have been pledged to the Commodity Credit Corporation as collateral for loans made by the Corporation;" (b) "use other commodities owned by the Commodity Credit Corporation;" and (c) "redeem negotiable marketing certificates for cash under terms and conditions established. Implementation regarding implementation of commodity certificates is under consideration." CCC announced on February 8, 2000, that commodity certificates will be issued in an effort to discourage producers from forfeiting commodities pledged as collateral for CCC commodity loans. Certificates are used to repay 1998-2002 crop marketing assistance loans when the adjusted world price (for rice and upland cotton) or the posted county price (for wheat, feed grains, soybeans, and designated minor oilseeds) is lower than the applicable loan rate.

Farm Storage Facility Loan Program (FSFL). The FSFL program was established by CCC in 1949 to offer low-cost financing to producers for the construction or upgrade of on-farm storage facilities. USDA resumed the program in 2000 due to a current shortage of sufficient storage space. The estimated direct loan level is \$125 million for FY's 2002 and 2003, and \$100 million for FY's 2004 through 2007. The cost to the U.S. government (subsidy) for the FSFL program is estimated using procedures stipulated by the Federal Credit Reform Act of 1990.

Statement of Operations (in millions of dollars)

Identification code 12-4336-0-3-999	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	1,704	1,507	3,308	3,565
0102 Expense	-30,955	-24,887	-21,057	-15,710
0105 Net income or loss (-)	-29,251	-23,380	-17,749	-12,145

Balance Sheet (in millions of dollars)

Identification code 12-4336-0-3-999	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	-1,508	-1,220	-1,220	-1,220
Investments in US securities:				
1106 Receivables, net	441	925	925	925
Non-Federal assets:				
1206 Receivables, net	111	413	413	413
1207 Advances and prepayments	19	175	175	175
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross	3,464	1,896	1,795	1,795
1602 Interest receivable	525	275	275	275
1603 Allowance for estimated uncollectible loans and interest (-)	-180	-285	-180	-180
1604 Direct loans and interest receivable, net	3,809	1,886	1,890	1,890
1699 Value of assets related to direct loans	3,809	1,886	1,890	1,890
Other Federal assets:				
1802 Inventories and related properties	846	878	878	878
1803 Property, plant and equipment, net	16	19	19	19
1999 Total assets	3,734	3,076	3,080	3,080
LIABILITIES:				
Federal liabilities:				
2101 Accounts payable	704	475	475	475
2102 Interest payable	6	123	123	123
2103 Debt	22,949	22,732	10,670	9,793
2105 Other	527	731	650	527
Non-Federal liabilities:				
2201 Accounts payable	22	27	22	22
2207 Other	4,459	2,696	1,334	1,334
2999 Total liabilities	28,667	26,784	13,274	12,274
NET POSITION:				
3300 Cumulative results of operations	-24,933	-23,708	-10,194	-9,194

Public enterprise funds—Continued

**[OPERATIONS AND MAINTENANCE FOR] HAZARDOUS WASTE
MANAGEMENT—Continued**

FINANCING—Continued

Balance Sheet (in millions of dollars)—Continued

Identification code 12-4336-0-3-999	2000 actual	2001 actual	2002 est.	2003 est.
3999 Total net position	-24,933	-23,708	-10,194	-9,194
4999 Total liabilities and net position	3,734	3,076	3,080	3,080

Note.—In addition to obligations other than liabilities, the Corporation does not reflect in its accounts claims by the Corporation on which adequate proof has not been established.

Object Classification (in millions of dollars)

Identification code 12-4336-0-3-999	2001 actual	2002 est.	2003 est.
Direct obligations:			
22.0 Transportation of things	375	330	18
Other services:			
25.2 Other services	369	135	105
25.2 Other services: Storage and handling	75	86	86
26.0 Supplies and materials: Costs of commodities sold or donated: P. L. 480	3,828	4,733	3,620
41.0 Grants, subsidies, and contributions	21,275	13,708	10,217
43.0 Interest and dividends	460	307	386
99.0 Direct obligations	26,382	19,299	14,432
Reimbursable obligations:			
22.0 Transportation of things: P. L. 480 ocean transportation	547	559	685
26.0 Supplies and materials: Cost of commodities sold or donated: P. L. 480	401	500	555
33.0 Investments and loans	8,267	10,624	8,844
99.0 Reimbursable obligations	9,215	11,683	10,084
99.9 Total new obligations	35,597	30,982	24,516

**COMMODITY CREDIT CORPORATION FUND
(Legislative proposal, subject to PAYGO)**

Program and Financing (in millions of dollars)

Identification code 12-4336-4-3-999	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Support and related programs:			
00.01 Farm income stabilization programs	4,021	6,745	
00.02 Conservation programs	619	1,080	
01.92 Total support and related programs	4,640	7,825	
10.00 Total new obligations (object class 41.0)	4,640	7,825	
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	4,640	7,825	
23.95 Total new obligations	-4,640	-7,825	
New budget authority (gross), detail:			
Mandatory:			
67.10 Authority to borrow	4,640	7,825	
Change in obligated balances:			
72.40 Obligated balance, start of year			440
73.10 Total new obligations	4,640	7,825	
73.20 Total outlays (gross)	-4,200	-7,271	
74.40 Obligated balance, end of year			994
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	4,200	7,044	
86.98 Outlays from mandatory balances		227	
87.00 Total outlays (gross)	4,200	7,271	
Net budget authority and outlays:			
89.00 Budget authority	4,640	7,825	

90.00 Outlays	4,200	7,271
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The Budget includes \$73.5 billion in budget authority over a ten year period for a farm bill that will provide a solid safety net for farmers and ranchers, expand markets abroad for American agricultural products, increase conservation of natural resources and improve domestic food assistance. This funding will provide additional farm support payments, increased funding for conservation programs, improve the food stamp program, enable the establishment of Federal farm and ranch risk management accounts, as well as increasing support for other USDA programs, including research and rural development.

**COMMODITY CREDIT CORPORATION EXPORT LOANS PROGRAM
ACCOUNT**

(INCLUDING TRANSFERS OF FUNDS)

For administrative expenses to carry out the Commodity Credit Corporation's export guarantee program, GSM 102 and GSM 103, **[\$4,014,000] \$4,058,000**; to cover common overhead expenses as permitted by section 11 of the Commodity Credit Corporation Charter Act and in conformity with the Federal Credit Reform Act of 1990, of which **\$3,224,000** may be transferred to and merged with the appropriation for "Foreign Agricultural Service, Salaries and Expenses", and of which **[\$790,000] \$834,000** may be transferred to and merged with the appropriation for "Farm Service Agency, Salaries and Expenses". (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 12-1336-0-1-351	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Guaranteed loan subsidy	103	265	294
00.07 Reestimates of guaranteed loan subsidy		120	
00.08 Interest on reestimates of guaranteed loan subsidy		28	
00.09 Administrative expenses	4	4	4
10.00 Total new obligations	107	417	298
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	345	2,405	436
22.00 New budget authority (gross)	2,167	417	298
22.40 Capital transfer to general fund		-1,969	
23.90 Total budgetary resources available for obligation	2,512	853	734
23.95 Total new obligations	-107	-417	-298
24.40 Unobligated balance carried forward, end of year	2,405	436	436
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	4	4	4
Mandatory:			
Appropriation:			
60.00 Appropriation	194	265	294
60.00 Appropriation—upward reestimate		148	
62.50 Appropriation (total mandatory)	194	413	294
69.00 Downward reestimate	1,969		
70.00 Total new budget authority (gross)	2,167	417	298
Change in obligated balances:			
72.40 Obligated balance, start of year	198	105	105
73.10 Total new obligations	107	417	298
73.20 Total outlays (gross)	-201	-417	-292
74.40 Obligated balance, end of year	105	105	111
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	4	4	4
86.97 Outlays from new mandatory authority	142	360	235
86.98 Outlays from mandatory balances	55	53	53
87.00 Total outlays (gross)	201	417	292
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-1,969		

Net budget authority and outlays:				
89.00	Budget authority	198	417	298
90.00	Outlays	-1,769	417	292

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 12-1336-0-1-351	2001 actual	2002 est.	2003 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Export guarantee program	3,227	3,926	4,225
215901 Total loan guarantee levels	3,227	3,926	4,225
Guaranteed loan subsidy (in percent):			
232001 Export Guarantee Program	6.01	6.80	6.96
232901 Weighted average subsidy rate	6.01	6.80	6.96
Guaranteed loan subsidy budget authority:			
233001 Export Guarantee Program	194	267	294
233901 Total subsidy budget authority	194	267	294
Guaranteed loan subsidy outlays:			
234001 Export Guarantee Program	197	267	288
234901 Total subsidy outlays	197	267	288
Guaranteed loan upward reestimate subsidy budget authority:			
235001 Export Guarantee Program		148	
235901 Total upward reestimate budget authority		148	
Guaranteed loan upward reestimate subsidy outlays:			
236001 Export Guarantee Program		148	
236901 Total upward reestimate subsidy outlays		148	
Guaranteed loan downward reestimate subsidy budget authority:			
237001 Export Guarantee Program	-1,969	-126	
237901 Total downward reestimate subsidy budget authority	-1,969	-126	
Guaranteed loan downward reestimate subsidy outlays:			
238001 Export Guarantee Program	-1,969	-126	
238901 Total downward reestimate subsidy outlays	-1,969	-126	
Administrative expense data:			
351001 Budget authority—administrative expenses	4	4	4
359001 Outlays from new authority	4	4	4

This is the program account for the GSM-102 and GSM-103 CCC Export Credit Guarantee Programs. The Export Credit Guarantee Program (GSM-102) covers credit terms of up to 3 years. The Intermediate Export Credit Guarantee Program (GSM-103) covers longer credit terms of between 3 and 10 years. Under these programs, CCC does not provide financing, but guarantees payments due from foreign banks and buyers. Because payment is guaranteed, financial institutions in the United States can offer competitive credit terms to foreign banks, usually with interest rates based on the London Inter-Bank Offered Rate (LIBOR). If the foreign bank fails to make any payment as agreed, the exporter or assignee must submit a notice of default to the CCC. A claim for loss must be filed, and the CCC will promptly pay claims found to be in good order. CCC usually guarantees 98 percent of the principal payment due and interest based on a percentage of the one-year Treasury rate.

A portion of the guarantees made available under the GSM-102 program is provided as Supplier Credit Guarantees. Under this activity, CCC guarantees a portion of payment due from importers under short-term financing (for up to 180 days) that exporters have extended directly to the importers for the purchase of U.S. agricultural commodities and products. CCC does not provide financing, but guarantees payment due from an importer. A substantially smaller portion of the value of exports (currently 60 percent) is guaranteed under Supplier Credit Guarantees than under regular GSM-102 guarantees where CCC is guaranteeing foreign bank obligations.

A portion of the GSM-102 guarantees is also made available as Facilities Guarantees. Under this activity, CCC guar-

antees export financing for capital goods and services to improve handling, marketing, processing, storage, or distribution of imported agricultural commodities and products.

The subsidy estimates for the GSM-102 and GSM-103 programs are determined in large part by the obligor's sovereign or non-sovereign country risk grade. These grades are developed annually by the International Credit Risk Assessment System Committee (ICRAS). In unusual circumstances, an ICRAS grade for a country may change during the fiscal year. The default estimates for GSM guarantees are determined in large part by the risk premia assigned for each risk grade.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the credit guarantees committed in 1992 and beyond (including modifications of credit guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis. The 2003 budget displays the GSM loan guarantee volume and the subsidy level that can be justified by forecast economic conditions, the expected supply/demand conditions of countries requesting GSM loan guarantees.

Object Classification (in millions of dollars)

Identification code 12-1336-0-1-351	2001 actual	2002 est.	2003 est.
25.3 Other purchases of goods and services from Government accounts	4	4	4
41.0 Grants, subsidies, and contributions	103	413	294
99.9 Total new obligations	107	417	298

COMMODITY CREDIT CORPORATION EXPORT GUARANTEE FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4337-0-3-351	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
New loans:			
00.01 Default claims	52	334	325
00.02 Interest on debt to Treasury	104	104	104
00.91 Subtotal, new loans	156	438	429
Reestimates:			
08.02 Reestimates of guaranteed loan subsidy	1,410	118	
08.04 Interest on reestimates of guaranteed loan subsidy	559	8	
08.91 Subtotal, reestimates	1,969	126	
10.00 Total new obligations	2,125	564	429
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1,625	589	659
22.00 New financing authority (gross)	1,089	636	527
23.90 Total budgetary resources available for obligation	2,714	1,225	1,186
23.95 Total new obligations	-2,125	-564	-429
24.40 Unobligated balance carried forward, end of year	589	659	757
New financing authority (gross), detail:			
Mandatory:			
60.36 Recissions—capital transfer	-613		
67.10 Authority to borrow	1,108		
69.00 Offsetting collections (cash)	489	636	527
69.10 Receivable from Federal sources	105		
69.90 Spending authority from offsetting collections (total mandatory)	594	636	527
70.00 Total new financing authority (gross)	1,089	636	527
Change in obligated balances:			
72.40 Obligated balance, start of year		-105	42
73.10 Total new obligations	2,125	564	429

Public enterprise funds—Continued**COMMODITY CREDIT CORPORATION EXPORT GUARANTEE FINANCING
ACCOUNT—Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 12-4337-0-3-351	2001 actual	2002 est.	2003 est.
73.20 Total financing disbursements (gross)	-2,125	-417	-292
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-105		
74.40 Obligated balance, end of year	-105	42	180
87.00 Total financing disbursements (gross)	2,125	417	292
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Payments from program account	-197	-415	-288
88.25 Interest on uninvested funds	-125	-28	-30
Non-Federal sources:			
88.40 Loan origination fee	-18	-26	-29
88.40 Principal collections	-31	-48	-66
88.40 Interest collections	-118	-119	-114
88.90 Total, offsetting collections (cash)	-489	-636	-527
Against gross financing authority only:			
88.95 Change in receivables from program accounts	-105		
Net financing authority and financing disbursements:			
89.00 Financing authority	495		
90.00 Financing disbursements	1,636	-219	-235

Status of Guaranteed Loans (in millions of dollars)

Identification code 12-4337-0-3-351	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders			
2131 Guaranteed loan commitments exempt from limitation	3,227	3,926	4,225
2150 Total guaranteed loan commitments	3,227	3,926	4,225
2199 Guaranteed amount of guaranteed loan commitments	3,124	3,800	4,090
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	6,483	4,915	4,762
2231 Disbursements of new guaranteed loans	2,183	3,926	4,225
2251 Repayments and prepayments	-3,699	-3,745	-3,980
2261 Adjustments: Terminations for default that result in loans receivable	-52	-334	-325
2290 Outstanding, end of year	4,915	4,762	4,682
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	4,761	4,611	4,533
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	464	485	771
2331 Disbursements for guaranteed loan claims	52	334	325
2351 Repayments of loans receivable	-31	-48	-66
2390 Outstanding, end of year	485	771	1,030

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 12-4337-0-3-351	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
Fund balances with Treasury:				
1101 Fund balances with Treasury	1,625	589	659	757
1101 Cash	3			

1101	Accounts Receivable, net	11	1,612		
1101	Adjustments		168		
	Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:				
1501	Defaulted guaranteed loans receivable, gross	2,058	484	771	1,030
1505	Allowance for subsidy cost (-)	-977			
1599	Net present value of assets related to defaulted guaranteed loans	1,081	484	771	1,030
1999	Total assets	2,720	2,853	1,430	1,787
LIABILITIES:					
2103	Federal liabilities: Debt	2,404	2,538	851	852
2204	Non-Federal liabilities: Liabilities for loan guarantees	316	315	579	935
2999	Total liabilities	2,720	2,853	1,430	1,787
4999	Total liabilities and net position	2,720	2,853	1,430	1,787

**COMMODITY CREDIT CORPORATION GUARANTEED LOANS LIQUIDATING
ACCOUNT****Program and Financing (in millions of dollars)**

Identification code 12-4338-0-3-351	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	-20	84	
22.00 New budget authority (gross)	104		
22.40 Capital transfer to general fund		-84	
23.90 Total budgetary resources available for obligation	84		
24.40 Unobligated balance carried forward, EOY	84		
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	423	393	384
69.27 Capital transfer to general fund	-319	-393	-384
69.90 Spending authority from offsetting collections (total mandatory)	104		
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Non-Federal sources:			
88.40 Repayments of principal	-162	-184	-201
88.40 Interest received on loans	-250	-209	-183
88.40 Non-Federal sources	-2		
88.40 Financing fund	-9		
88.90 Total, offsetting collections (cash)	-423	-393	-384
Net budget authority and outlays:			
89.00 Budget authority	-319	-393	-384
90.00 Outlays	-423	-393	-384

Note.—Includes amounts for activities previously funded in the Commodity Credit Corporation Fund.

Status of Guaranteed Loans (in millions of dollars)

Identification code 12-4338-0-3-351	2001 actual	2002 est.	2003 est.
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	4,131	3,969	3,785
2351 Repayments of loans receivable	-162	-184	-201
2390 Outstanding, end of year	3,969	3,785	3,584

As required by the Federal Credit Reform Act of 1990, this account records, for this program, all cash flows to and from the Government resulting from loan guarantees committed prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond is recorded in corresponding program and financing accounts.

Statement of Operations (in millions of dollars)

Identification code 12-4338-0-3-351	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	110	120	125	130
0102 Expense				
0105 Net income or loss (-)	110	120	125	130

Balance Sheet (in millions of dollars)

Identification code 12-4338-0-3-351	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
Fund balances with Treasury:				
1101 Fund balances with Treasury	-20	84		
1101 Undeposited Collections	57	59		
1206 Non-Federal assets: Foreign Loans Re- ceivables	5,566	5,375	5,361	5,361
Net value of assets related to pre-1992 direct loans receivable and ac- quired defaulted guaranteed loans receivable:				
1702 Interest receivable	55	30	30	30
1703 Allowance for estimated uncollectible loans and interest (-)	-3,398	-2,795	-3,019	-3,019
1799 Value of assets related to loan guarantees	-3,343	-2,765	-2,989	-2,989
1999 Total assets	2,260	2,753	2,372	2,372
LIABILITIES:				
Federal liabilities:				
2101 Accounts payable	1	1		
2104 Resources payable to Treasury	2,232	2,722	2,372	2,372
2207 Non-Federal liabilities: Other	27	30		
2999 Total liabilities	2,260	2,753	2,372	2,372
4999 Total liabilities and net position	2,260	2,753	2,372	2,372

FARM STORAGE FACILITY LOANS PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-3301-0-1-351	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct loan subsidy	2	3	2
10.00 Total new obligations (object class 41.0)	2	3	2
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	8	10	
22.00 New budget authority (gross)	4	3	2
22.40 Capital transfer to general fund		-10	
23.90 Total budgetary resources available for obligation	12	3	2
23.95 Total new obligations	-2	-3	-2
24.40 Unobligated balance carried forward, end of year	10		
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	4	3	2
Change in obligated balances:			
72.40 Obligated balance, start of year	2	2	
73.10 Total new obligations	2	3	2
73.20 Total outlays (gross)	-2	-3	-2
74.40 Obligated balance, end of year	2		
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	1	3	2
86.98 Outlays from mandatory balances	1		
87.00 Total outlays (gross)	2	3	2
Net budget authority and outlays:			
89.00 Budget authority	4	3	2
90.00 Outlays	2	3	2

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 12-3301-0-1-351	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget author- ity:			
115001 Farm storage facility loans	86	125	125
115901 Total direct loan levels	86	125	125
Direct loan subsidy (in percent):			
132001 Farm Storage Facility Loans	2.18	2.42	1.28
132901 Weighted average subsidy rate	2.18	2.42	1.28
Direct loan subsidy budget authority:			
133001 Direct loan levels	2	3	2
133901 Total subsidy budget authority	2	3	2
Direct loan subsidy outlays:			
134001 Direct loan levels	2	3	2
134901 Total subsidy outlays	2	3	2
Direct loan downward reestimate subsidy budget author- ity:			
137001 Farm Storage Facility Loans		-2	
137901 Total downward reestimate budget authority		-2	
Direct loan downward reestimate subsidy outlays:			
138001 Farm Storage Facility Loans		-2	
138901 Total downward reestimate subsidy outlays		-2	

Farm storage facility loan program. The Farm Storage Facility Loan (FSLA) program was established by CCC in 1949. The program was authorized in 1948 by the CCC Charter Act. CCC stopped making new loans under the FSLA program in 1982 based on studies that revealed that producers had sufficient storage for their crops at that time. Recent studies reflected that grain elevators currently have insufficient capacity to allow farmers to store their grain off the farm at harvest when prices are usually at their lowest. Due to this severe shortage of available storage, low-cost financing for producers to build or upgrade on-farm commodity storage and handling facilities is provided through the FSLA program. The program was implemented in 2000 by CCC under Section 504(c) of the Federal Credit Reform Act of 1990. This program provides producers financing with five to ten-year repayment terms and low interest rates. The program gives producers greater marketing flexibility when farm storage is limited and/or transportation difficulties cause storage problems, allows farmers to benefit from new marketing and technological advances, and maximizes their returns through identity-preserved marketing.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

FARM STORAGE FACILITY DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4158-0-3-351	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct loans	81	125	125
00.03 Interest to Treasury	5	9	15
00.91 Direct Program by Activities—Subtotal (1 level)	86	134	140
08.02 Payment of downward re-estimate to receipt account		2	
10.00 Total new obligations	86	136	140
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		40	

Public enterprise funds—Continued

FARM STORAGE FACILITY DIRECT LOAN FINANCING ACCOUNT—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 12-4158-0-3-351	2001 actual	2002 est.	2003 est.
22.00 New financing authority (gross)	128	136	140
22.40 Capital transfer to general fund		-40	
23.90 Total budgetary resources available for obligation	128	136	140
23.95 Total new obligations	-86	-136	-140
24.40 Unobligated balance carried forward, end of year	40		
New financing authority (gross), detail:			
Mandatory:			
67.10 Authority to borrow	372	133	138
Offsetting collections (cash):			
69.00 Payments from program account	2	3	2
69.00 Interest from Treasury	16	25	25
69.00 Principal	2	36	36
69.00 Interest	1	8	8
69.10 Change in uncollected customer payments from Federal sources (unexpired)	2		
69.27 Capital transfer to general fund		-69	-69
69.47 Portion applied to repay debt	-267		
69.90 Spending authority from offsetting collections (total mandatory)	-244	3	2
70.00 Total new financing authority (gross)	128	136	140
Change in obligated balances:			
72.40 Obligated balance, start of year	67	77	3
73.10 Total new obligations	86	136	140
73.20 Total financing disbursements (gross)	-77	-210	-140
73.40 Adjustments in expired accounts (net)	3		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-2		
74.40 Obligated balance, end of year	77	3	3
87.00 Total financing disbursements (gross)	77	210	140
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Federal sources	-2	-3	-2
88.25 Interest on uninvested funds	-16	-25	-25
Non-Federal sources:			
88.40 Principal collections	-2	-36	-36
88.40 Interest collections	-1	-8	-8
88.90 Total, offsetting collections (cash)	-21	-72	-71
Against gross financing authority only:			
88.95 Change in receivables from program accounts	-2		
Net financing authority and financing disbursements:			
89.00 Financing authority	105	64	69
90.00 Financing disbursements	55	138	69

Status of Direct Loans (in millions of dollars)

Identification code 12-4158-0-3-351	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans			
1131 Direct loan obligations exempt from limitation	81	125	125
1150 Total direct loan obligations	81	125	125
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	32	78	198
1231 Disbursements: Direct loan disbursements	48	156	125
1251 Repayments: Repayments and prepayments	-2	-36	-36
1290 Outstanding, end of year	78	198	287

Balance Sheet (in millions of dollars)

Identification code 12-4158-0-3-351	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	32	78	198	287
1405 Allowance for subsidy cost (-)	-2	-4	-7	-9
1499 Net present value of assets related to direct loans	30	74	191	278
1999 Total assets	30	74	191	278
LIABILITIES:				
2104 Federal liabilities: Resources payable to Treasury	30	74	191	278
2999 Total liabilities	30	74	191	278
4999 Total liabilities and net position	30	74	191	278

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

APPLE LOANS PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-3302-0-1-351	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct loan subsidy	1		
10.00 Total new obligations (object class 41.0)	1		
Budgetary resources available for obligation:			
22.22 Unobligated balance transferred from other accounts	5		
23.95 Total new obligations	-1		
23.98 Unobligated balance expiring or withdrawn	-4		
Change in obligated balances:			
73.10 Total new obligations	1		
73.20 Total outlays (gross)	-1		
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	1		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	1		

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 12-3302-0-1-351	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Apple loan program	12		
115901 Total direct loan levels	12		
Direct loan subsidy (in percent):			
132001 Apple loan program	-4.80		
132901 Weighted average subsidy rate	-4.80		
Direct loan subsidy budget authority:			
133001 Apple loan program	-1		
133901 Total subsidy budget authority	-1		
Direct loan subsidy outlays:			
134001 Apple loan program	1		
134901 Total subsidy outlays	1		
Direct loan downward reestimate subsidy budget authority:			
137001 Apple loan program		-1	
137901 Total downward reestimate budget authority		-1	

Direct loan downward reestimate subsidy outlays:

138001 Apple loan program	—1
138901 Total downward reestimate subsidy outlays	—1

Administrative expense data:

351001 Budget authority	
358001 Outlays from balances	
359001 Outlays from new authority	

The Agricultural Risk Protection Act of 2000 authorized up to \$5 million for the cost to provide loans to producers of apples for economic losses as the result of low prices for apples. Although the program is funded through CCC, program management is performed through farm loan programs. No funding is provided for this program in 2002, and none is requested for 2003.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

APPLE LOANS DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4211-0-3-351	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Operating program:			
00.01 Direct loans	12		
00.04 Interest on Treasury borrowing	4		
00.91 Subtotal, operating program	16		
08.02 Downward reestimates of subsidy		1	
10.00 Total new obligations	16	1	
Budgetary resources available for obligation:			
22.00 New financing authority (gross)	66	4	4
22.60 Portion applied to repay debt	—50	—3	—4
23.90 Total budgetary resources available for obligation	16	1	
23.95 Total new obligations	—16	—1	
New financing authority (gross), detail:			
Discretionary:			
47.00 Authority to borrow	61		
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	5	4	4
70.00 Total new financing authority (gross)	66	4	4
Change in obligated balances:			
72.40 Obligated balance, start of year		1	
73.10 Total new obligations	16	1	
73.20 Total financing disbursements (gross)	—15	—2	
74.40 Obligated balance, end of year	1		
87.00 Total financing disbursements (gross)	15	2	
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Federal sources	—1		
88.25 Interest on uninvested funds	—4		
88.40 Principal repayments		—4	—4
88.90 Total, offsetting collections (cash)	—5	—4	—4
Net financing authority and financing disbursements:			
89.00 Financing authority	61		
90.00 Financing disbursements	10	—2	—4

Status of Direct Loans (in millions of dollars)

Identification code 12-4211-0-3-351	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans	12		
1150 Total direct loan obligations	12		
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year		11	8
1231 Disbursements: Direct loan disbursements	11	1	
1251 Repayments: Repayments and prepayments		—4	—4
1290 Outstanding, end of year	11	8	4

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 12-4211-0-3-351	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury		35		
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross		11	8	4
1405 Allowance for subsidy cost (-)		—1	—6	—4
1499 Net present value of assets related to direct loans		10	2	
1999 Total assets		45	2	
LIABILITIES:				
2103 Federal liabilities: Debt		45	2	
2999 Total liabilities		45	2	
4999 Total liabilities and net position		45	2	

EMERGENCY BOLL WEEVIL LOAN PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-3303-0-1-351	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct loan subsidy	6		
10.00 Total new obligations (object class 41.0)	6		
Budgetary resources available for obligation:			
22.22 Unobligated balance transferred from other accounts	6		
23.95 Total new obligations	—6		
Change in obligated balances:			
73.10 Total new obligations	6		
73.20 Total outlays (gross)	—6		
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	6		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	6		
Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)			
Identification code 12-3303-0-1-351	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Emergency boll weevil loan program	10		

Public enterprise funds—Continued

EMERGENCY BOLL WEEVIL LOAN PROGRAM ACCOUNT—Continued

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)—Continued

Identification code 12-3303-0-1-351	2001 actual	2002 est.	2003 est.
115901 Total direct loan levels	10		
Direct loan subsidy (in percent):			
132001 Subsidy rate	60.00		
132901 Weighted average subsidy rate	60.00		
Direct loan subsidy budget authority:			
133001 Subsidy budget authority	6		
133901 Total subsidy budget authority	6		
Direct loan subsidy outlays:			
134001 Subsidy outlays	6		
134901 Total subsidy outlays	6		
Administrative expense data:			
351001 Budget authority			
358001 Outlays from balances			
359001 Outlays from new authority			

The Agricultural Risk Protection Act of 2000 authorized CCC funding to make an interest-free loan to the Texas Boll Weevil Eradication Foundation, Inc., to enable the Foundation to retire certain debt associated with boll weevil eradication zones which have ended their participation, in whole or in part, in the federally funded boll weevil eradication program. Although the program is funded through CCC, program management is performed through Farm Loan Programs. No funding is provided for this program in 2002, and none is requested for 2003.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

EMERGENCY BOLL WEEVIL DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4221-0-4-351	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct loans	10		
10.00 Total new obligations	10		
Budgetary resources available for obligation:			
22.00 New financing authority (gross)	10	1	1
23.95 Total new obligations	-10		
New financing authority (gross), detail:			
Mandatory:			
67.10 Authority to borrow	4		
69.00 Offsetting collections (cash)	6	1	1
70.00 Total new financing authority (gross)	10	1	1
Change in obligated balances:			
73.10 Total new obligations	10		
73.20 Total financing disbursements (gross)	-10		
87.00 Total financing disbursements (gross)	10		
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Federal sources	-6		
88.40 Non-Federal sources: Principal repayments		-1	-1

88.90	Total, offsetting collections (cash)	-6	-1	-1
Net financing authority and financing disbursements:				
89.00	Financing authority	4		
90.00	Financing disbursements	4	-1	-1

Status of Direct Loans (in millions of dollars)

Identification code 12-4221-0-4-351	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111	Limitation on direct loans	10	
1150	Total direct loan obligations	10	
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year		10
1231	Disbursements: Direct loan disbursements	10	
1251	Repayments: Repayments and prepayments		-1
1290	Outstanding, end of year	10	9

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 12-4221-0-4-351	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101	Federal assets: Fund balances with Treasury		1	
Net value of assets related to post-1991 direct loans receivable:				
1401	Direct loans receivable, gross	10	9	8
1405	Allowance for subsidy cost (-)	-6	-5	-4
1499	Net present value of assets related to direct loans	4	4	4
1999	Total assets	5	4	4
LIABILITIES:				
2103	Federal liabilities: Debt	5	4	4
2999	Total liabilities	5	4	4
4999	Total liabilities and net position	5	4	4

NATURAL RESOURCES CONSERVATION SERVICE

CONSERVATION OPERATIONS

For necessary expenses for carrying out the provisions of the Act of April 27, 1935 (16 U.S.C. 590a-f), including preparation of conservation plans and establishment of measures to conserve soil and water (including farm irrigation and land drainage and such special measures for soil and water management as may be necessary to prevent floods and the siltation of reservoirs and to control agricultural related pollutants); operation of conservation plant materials centers; classification and mapping of soil; dissemination of information; acquisition of lands, water, and interests therein for use in the plant materials program by donation, exchange, or purchase at a nominal cost not to exceed \$100 pursuant to the Act of August 3, 1956 (7 U.S.C. 428a); purchase and erection or alteration or improvement of permanent and temporary buildings; and operation and maintenance of aircraft, [\$779,000,000] \$897,190,000, to remain available until expended (7 U.S.C. 2209b), of which not less than [\$8,515,000] \$9,162,000 is for snow survey and water forecasting, and not less than [\$9,849,000] \$10,701,000 is for operation and establishment of the plant materials centers, and of which not less than \$21,500,000 shall be for the grazing lands conservation initiative: *Provided*, That appropriations hereunder shall be available pursuant to 7 U.S.C. 2250 for construction and improvement of buildings and public improvements at plant materials centers, except that the

cost of alterations and improvements to other buildings and other public improvements shall not exceed \$250,000: *Provided further*, That when buildings or other structures are erected on non-Federal land, that the right to use such land is obtained as provided in 7 U.S.C. 2250a: *Provided further*, That this appropriation shall be available for technical assistance and related expenses to carry out programs authorized by section 202(c) of title II of the Colorado River Basin Salinity Control Act of 1974 (43 U.S.C. 1592(c)): *Provided further*, That this appropriation shall be available for employment pursuant to the second sentence of section 706(a) of the Organic Act of 1944 (7 U.S.C. 2225), and not to exceed \$25,000 shall be available for employment under 5 U.S.C. 3109: *Provided further*, That qualified local engineers may be temporarily employed at per diem rates to perform the technical planning work of the Service (16 U.S.C. 590e-2). (7 U.S.C. 2201-02; 16 U.S.C. 1101-5; 33 U.S.C. 7016-11; Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 12-1000-0-1-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Technical assistance	673	737	787
00.02 Soil surveys	84	89	90
00.03 Snow survey and water forecasting	6	9	9
00.04 Plant materials centers	10	11	11
09.00 Reimbursable program	164	129	108
10.00 Total new obligations	937	975	1,005
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	11	17	
22.00 New budget authority (gross)	920	958	1,005
22.10 Resources available from recoveries of prior year obligations	22		
23.90 Total budgetary resources available for obligation	953	975	1,005
23.95 Total new obligations	-937	-975	-1,005
24.40 Unobligated balance carried forward, end of year	17		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	761	829	897
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-2		
43.00 Appropriation (total discretionary)	759	829	897
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	78	129	108
68.10 Change in uncollected customer payments from Federal sources (unexpired)	83		
68.90 Spending authority from offsetting collections (total discretionary)	161	129	108
70.00 Total new budget authority (gross)	920	958	1,005
Change in obligated balances:			
72.40 Obligated balance, start of year	139	165	128
73.10 Total new obligations	937	975	1,005
73.20 Total outlays (gross)	-807	-1,011	-1,003
73.45 Recoveries of prior year obligations	-22		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-83		
74.40 Obligated balance, end of year	165	128	130
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	739	865	904
86.93 Outlays from discretionary balances	68	146	99
87.00 Total outlays (gross)	807	1,011	1,003
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-61	-111	-89
88.40 Non-Federal sources	-17	-18	-19
88.90 Total, offsetting collections (cash)	-78	-129	-108
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-83		

Net budget authority and outlays:			
89.00 Budget authority	759	829	897
90.00 Outlays	729	882	895

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	712	779	841
90.00 Outlays	682	832	839

Technical assistance.—Technical assistance is provided through 2,955 conservation districts or special districts to land users and decisionmakers, including individual landowners and operators, community groups, units of government, Indian tribes, and others for the planning of conservation programs and installation of needed conservation systems on the land, including design, layout, installation, and consultation services.

Technical assistance targeted towards nutrient management and water quality concerns associated with animal feeding will increase by \$48 million above the 2002 level to \$128 million. These funds will help livestock producers develop comprehensive nutrient management plans.

NRCS has initiated a performance goal pilot program that will be completed by April 2002. NRCS will use this analysis in establishing goals, strategies and managerial structures for targeting resources to have the greater impact. NRCS will emphasize State plans for targeting assistance in watersheds as part of the process. Funds are requested to pay the costs of personnel transferred from watershed and flood prevention operations and watershed surveys and planning.

MAIN WORKLOAD FACTORS

	2001 Actual	2002 est.	2003 est.
Customers served, number	2.3 million	2million	2million
Customers receiving onsite technical assistance, number	448,000	340,000	340,000
Conservation systems planned to the RMS level, acres	25.9 million	17million	17million
Conservation plans applied to the RMS level, acres	21.5 million	19million	19million

Inventory and monitoring, resource appraisal, and program development activities are also funded through this account. Resource inventories are conducted to provide soil, water, and related resource data for evaluating land-use changes and trends; and for guidance in the development and implementation of Federal, State, and local resource conservation programs. Resource appraisal and program development provides periodic reports to the public and Congress as required by the Soil and Water Resources Conservation Act of 1977 as amended.

Soil surveys.—Soil surveys and investigations are made on the soil resources of the Nation's private lands. NRCS provides this information as electronic and printed publications for use by the American public and other Federal, State and local agencies in making land-use decisions. NRCS uses the information for program development, resource conservation planning, and installation of planned practices. NRCS provides national leadership for the National Cooperative Soil Survey and digitizing of soil surveys in cooperation with States, and other users of soil survey data. Legislation requires that the Secretary shall make a reasonable effort to assure that "a substantial portion of the survey costs for NRCS are to be reimbursed by survey recipients."

MAIN WORKLOAD FACTORS

	2001 actual	2002 est.	2003 est.
Acres mapped annually (millions)	24,365,174	22,000,000	20,000,000
Soil surveys ready for publication (number)	25	80	80

Snow survey water forecasting.—Water supply forecasts prepared from snow surveys in western states are used in mak-

CONSERVATION OPERATIONS—Continued

ing efficient seasonal use of water for irrigation, flood control, fish and wildlife, recreation, power generation, municipal and industrial water supply, and water quality management.

Operation of plant materials centers.—The selection and evaluation of plant materials are made at 26 plant materials centers through field trials to determine their suitability for erosion control, conservation, and other environmental improvements. Native plant species will be preferred and exotic species introductions phased out for this program.

Object Classification (in millions of dollars)

Identification code 12-1000-0-1-302	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	415	434	472
11.3 Other than full-time permanent	8	8	9
11.5 Other personnel compensation	5	5	5
11.9 Total personnel compensation	428	447	486
12.1 Civilian personnel benefits	162	172	192
21.0 Travel and transportation of persons	14	14	15
22.0 Transportation of things	3	3	3
23.1 Rental payments to GSA			18
23.2 Rental payments to others	12	12	13
23.3 Communications, utilities, and miscellaneous charges	20	21	23
24.0 Printing and reproduction	3	3	3
25.2 Other services	100	137	107
26.0 Supplies and materials	16	16	18
31.0 Equipment	15	21	19
99.0 Direct obligations	773	846	897
99.0 Reimbursable obligations	164	129	108
99.9 Total new obligations	937	975	1,005

Personnel Summary

Identification code 12-1000-0-1-302	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	8,580	8,594	9,101
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	1,481	1,078	934

【WATERSHED SURVEYS AND PLANNING】

【For necessary expenses to conduct research, investigation, and surveys of watersheds of rivers and other waterways, and for small watershed investigations and planning, in accordance with the Watershed Protection and Flood Prevention Act approved August 4, 1954 (16 U.S.C. 1001-1009), \$10,960,000: *Provided*, That this appropriation shall be available for employment pursuant to the second sentence of section 706(a) of the Organic Act of 1944 (7 U.S.C. 2225), and not to exceed \$110,000 shall be available for employment under 5 U.S.C. 3109.】 (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 12-1066-0-1-301	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	12	12	
09.01 Reimbursable program		1	
10.00 Total new obligations	12	13	
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	12	13	
23.95 Total new obligations	-12	-13	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	12	12	

68.00 Spending authority from offsetting collections: Offsetting collections (cash)		1	
70.00 Total new budget authority (gross)	12	13	

Change in obligated balances:

72.40 Obligated balance, start of year	2	2	2
73.10 Total new obligations	12	13	
73.20 Total outlays (gross)	-12	-13	-2
74.40 Obligated balance, end of year	2	2	

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	9	12	
86.93 Outlays from discretionary balances	3	1	1
87.00 Total outlays (gross)	12	13	2

Offsets:

Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources		-1	

Net budget authority and outlays:

89.00 Budget authority	12	12	
90.00 Outlays	12	12	2

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	11	11	
90.00 Outlays	11	11	2

Under the authorities of Public Law 83-566, watershed planning assistance is provided to States and communities to address specific resource problems on a watershed scale. The funds are used to cooperate with other agencies and the States in providing local decision makers with resource data, derived from cooperative river basin surveys and floodplain management studies, for use in decision making. Watershed plans are used to develop the small watershed projects. The small watershed program has been eliminated in this year's budget, reflecting the low economic returns and slight environment benefits of the program. Accordingly, the watershed surveys and planning program, which creates new small watershed program projects has also been eliminated.

No funding is requested for this program in 2003. The staff years funded by this program will be funded in the conservation operations account.

Object Classification (in millions of dollars)

Identification code 12-1066-0-1-301	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	6	6	
12.1 Civilian personnel benefits	3	3	
25.2 Other services	1	1	
99.0 Direct obligations	10	10	
99.0 Reimbursable obligations		1	
99.5 Below reporting threshold	2	2	
99.9 Total new obligations	12	13	

Personnel Summary

Identification code 12-1066-0-1-301	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	113	111	
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	5	5	

**[WATERSHED AND FLOOD PREVENTION OPERATIONS] EMERGENCY
WATERSHED PROTECTION**

[For necessary expenses to carry out preventive measures, including but not limited to research, engineering operations, methods of cultivation, the growing of vegetation, rehabilitation of existing works and changes in use of land, in accordance with the Watershed Protection and Flood Prevention Act approved August 4, 1954 (16 U.S.C. 1001–1005 and 1007–1009), the provisions of the Act of April 27, 1935 (16 U.S.C. 590a–f), and in accordance with the provisions of laws relating to the activities of the Department, \$106,590,000, to remain available until expended (7 U.S.C. 2209b) (of which up to \$15,000,000 may be available for the watersheds authorized under the Flood Control Act approved June 22, 1936 (33 U.S.C. 701 and 16 U.S.C. 1006a)): *Provided*, That not to exceed \$45,514,000 of this appropriation shall be available for technical assistance: *Provided further*, That this appropriation shall be available for employment pursuant to the second sentence of section 706(a) of the Organic Act of 1944 (7 U.S.C. 2225), and not to exceed \$200,000 shall be available for employment under 5 U.S.C. 3109: *Provided further*, That not to exceed \$1,000,000 of this appropriation is available to carry out the purposes of the Endangered Species Act of 1973 (Public Law 93–205), including cooperative efforts as contemplated by that Act to relocate endangered or threatened species to other suitable habitats as may be necessary to expedite project construction.]

For necessary expenses to repair damages to waterways and watersheds, including the purchase of floodplain easements, resulting from natural disasters pursuant to section 403 of the Agricultural Credit Act of 1978, as amended, \$111,389,000, to remain available until expended: Provided, That, any balances in "Watershed surveys and planning," "Watershed and flood prevention operations," and "Watershed rehabilitation program" shall be transferred to and merged with this account. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002; additional authorization legislation required.)

Program and Financing (in millions of dollars)

Identification code 12–1072–0–1–301	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Watershed operations (P.L. 534)	13	14
00.03 Emergency watershed protection operations	138	82	111
00.04 Small watershed operations (P.L. 566)	100	101
09.01 Reimbursable program	20	25	2
10.00 Total new obligations	271	222	113
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	65	86
22.00 New budget authority (gross)	267	136	113
22.10 Resources available from recoveries of prior year obligations	25
23.90 Total budgetary resources available for obligation	357	222	113
23.95 Total new obligations	–271	–222	–113
24.40 Unobligated balance carried forward, end of year	86
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	102	110
40.15 Appropriation (emergency)	147	1	111
43.00 Appropriation (total discretionary)	249	111	111
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	24	25	2
68.10 Change in uncollected customer payments from Federal sources (unexpired)	–6
68.90 Spending authority from offsetting collections (total discretionary)	18	25	2
70.00 Total new budget authority (gross)	267	136	113
Change in obligated balances:			
72.40 Obligated balance, start of year	168	218	199
73.10 Total new obligations	271	222	113
73.20 Total outlays (gross)	–202	–241	–187
73.45 Recoveries of prior year obligations	–25
74.00 Change in uncollected customer payments from Federal sources (unexpired)	6
74.40 Obligated balance, end of year	218	199	125

Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	109	91	78
86.93 Outlays from discretionary balances	93	151	109
87.00 Total outlays (gross)	202	241	187
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	–17	–15
88.40 Non-Federal sources	–7	–10	–2
88.90 Total, offsetting collections (cash)	–24	–25	–2
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	6
Net budget authority and outlays:			
89.00 Budget authority	249	111	111
90.00 Outlays	178	216	185

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	245	107	110
90.00 Outlays	174	212	184

These programs provide for cooperative actions between the Federal Government and States and their political subdivisions to reduce damage from floodwater, sediment, and erosion, for the conservation, development, utilization, and disposal of water, and for the conservation and proper utilization of land. Funds in Watershed and Flood Prevention Operations can be used for either flood prevention projects or flood damage rehabilitation efforts, depending upon the needs and opportunities.

Emergency watershed protection operations.—This program authorizes the Secretary of Agriculture to undertake such emergency measures for runoff retardation and soil erosion prevention as may be needed to safeguard life and property from floods and the products of erosion on any watershed whenever natural elements or forces cause a sudden impairment of that watershed. An emergency is considered to exist when a watershed is suddenly impaired by flood, fire, wind, earthquake, or other natural causes and consequently life and property are endangered by floodwater, erosion, or sediment discharge. The emergency area need not be declared a national disaster area to be eligible for emergency watershed protection. Emergency watershed protection is applicable to small scale, localized disasters as well as large scale disasters. State environmental, natural resource, fish and game, and other agencies participate in planning and coordinating emergency work. The budget provides \$110 million for the program in 2003, an amount equal to the 10-year average on spending.

To improve the delivery and defensibility of the program, NRCS published a draft programmatic environmental impact statement (EIS) for public review and comment to assess various program alternatives. Through the EIS public feedback and information gathering process, NRCS ultimately will be able to make the program more beneficial to communities and the environment. NRCS will also consider these EIS comments in making any necessary revisions to its regulations.

Watershed operations authorized by Public Law 534.—The Department cooperates with soil conservation districts and other local organizations in planning and installing flood prevention improvements in 11 watersheds authorized by the Flood Control Act of 1944. The Federal Government shares the cost of improvements for flood prevention, agricultural water management, recreation, and fish and wildlife development.

**[WATERSHED AND FLOOD PREVENTION OPERATIONS] EMERGENCY
WATERSHED PROTECTION—Continued**

Given the program's low economic returns and environmental benefits, no funding is proposed for 2003.

Small watershed operations authorized by Public Law 566.—The Department provides technical and financial assistance to local organizations to install measures for watershed protection, flood prevention, agricultural water management, recreation, and fish and wildlife enhancement.

No funding is requested for this program in 2003. The staff years funded by this program will be funded in the Conservation operations account. Low economic and environmental benefits mean other programs can provide greater assistance to landowners and their communities at lower cost, with more impact. For flood prevention, FEMA programs and Corps of Engineer programs provide higher returns from each dollar spent on flood damage reduction. While the USDA Watershed program generated \$1.40 per dollar spent, the Corps provides \$1.90 and FEMA \$2.30 in economic benefits for every dollar invested. Other USDA programs, such as the Environmental quality incentives program provide assistance to landowners to pursue water quality and other environmental benefits, at far lower cost and with better targeting for priorities. Other USDA programs provide loans and grants to rural communities to pursue economic development goals. Again, these funds are made preferentially available to communities in need. Less-well-off communities would quality for the USDA Rural development program's subsidized loans and grants and be in a position to pursue Watershed and flood prevention projects.

Loans through the Agricultural Credit Insurance Fund have been made in previous years to the local sponsors in order to fund the local cost of Public Law 566 or 534 projects. No funding for these loans is assumed in 2003.

The following tabulation shows the status of Public Law 566 projects:

MAIN WORKLOAD FACTORS

Status of operational projects:	2001 actual	2002 est.
Projects receiving land treatment	193	195
Structural projects	253	248
Land treatment and structural	67	69
Subtotal active projects	513	512
Projects continuing post-installation assistance	927	932
Inactive projects	17	17
Project life completed	38	44
Deauthorized projects	159	160
Total operational projects	1,654	1,665
New projects approved during year	5	6

Object Classification (in millions of dollars)

Identification code 12-1072-0-1-301	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	38	33	12
11.5 Other personnel compensation	1	1	1
Total personnel compensation	39	34	13
12.1 Civilian personnel benefits	14	13	4
21.0 Travel and transportation of persons	2	2	1
23.2 Rental payments to others	1	1	
23.3 Communications, utilities, and miscellaneous charges	2	1	1
Other services:			
25.2 Other services	6	4	3
25.2 Other services	76	67	47
26.0 Supplies and materials	2	2	1
31.0 Equipment	3	2	1
32.0 Land and structures	32	4	
41.0 Grants, subsidies, and contributions	74	66	40
99.0 Direct obligations	251	196	111

99.0 Reimbursable obligations	18	24	2
99.5 Below reporting threshold	2	2	
99.9 Total new obligations	271	222	113

Personnel Summary

Identification code 12-1072-0-1-301	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	720	601	225
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	31	31	

[WATERSHED REHABILITATION PROGRAM]

[For necessary expenses to carry out rehabilitation of structural measures, in accordance with section 14 of the Watershed Protection and Flood Prevention Act approved August 4, 1954 (16 U.S.C. 1001 et seq.), as amended by section 313 of Public Law 106-472, November 9, 2000 (16 U.S.C. 1012), and in accordance with the provisions of laws relating to the activities of the Department, \$10,000,000, to remain available until expended.] (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 12-1002-0-1-301	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations		10	
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		10	
23.95 Total new obligations		-10	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation		10	
Change in obligated balances:			
72.40 Obligated balance, start of year			3
73.10 Total new obligations		10	
73.20 Total outlays (gross)		-7	-5
74.40 Obligated balance, end of year		3	
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		6	
86.93 Outlays from discretionary balances			5
87.00 Total outlays (gross)		7	5
Net budget authority and outlays:			
89.00 Budget authority		10	
90.00 Outlays		7	5

Under the authorities of Public Law 106-472 assistance is provided to communities to address concerns about local aging dams. NRCS may provide technical and financial assistance for the planning, design, and implementation of rehabilitation projects that may include upgrading or removing the dams.

This program is not funded in 2003. The management and upkeep of local, privately-owned dams is not a federal responsibility. In addition, many of the dams were built relying on different economic and environmental standards than dams built today. It is not clear that all of these dams should be maintained.

Object Classification (in millions of dollars)

Identification code 12-1002-0-1-301	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent		4	
12.1 Civilian personnel benefits		1	
25.2 Other services		3	

41.0	Grants, subsidies, and contributions	2		
99.9	Total new obligations	10		

Personnel Summary

Identification code 12-1002-0-1-301	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment		74	

RESOURCE CONSERVATION AND DEVELOPMENT

For necessary expenses in planning and carrying out projects for resource conservation and development and for sound land use pursuant to the provisions of section 32(e) of title III of the Bankhead-Jones Farm Tenant Act (7 U.S.C. 1010-1011; 76 Stat. 607); the Act of April 27, 1935 (16 U.S.C. 590a-f); and the Agriculture and Food Act of 1981 (16 U.S.C. 3451-3461), **[\$43,048,000] \$52,031,000**, to remain available until expended (7 U.S.C. 2209b): *Provided*, That this appropriation shall be available for employment pursuant to the second sentence of section 706(a) of the Organic Act of 1944 (7 U.S.C. 2225), and not to exceed \$50,000 shall be available for employment under 5 U.S.C. 3109. (7 U.S.C. 2201-02; 33 U.S.C. 701b-11; *Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 12-1010-0-1-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Technical assistance	45	50	51
09.01 Reimbursable program		1	1
10.00 Total new obligations	45	51	52
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		2	
22.00 New budget authority (gross)	46	51	52
23.90 Total budgetary resources available for obligation	46	53	52
23.95 Total new obligations	-45	-51	-52
24.40 Unobligated balance carried forward, end of year	2		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	45	50	51
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1	1	1
70.00 Total new budget authority (gross)	45	51	52
Change in obligated balances:			
72.40 Obligated balance, start of year	7	11	13
73.10 Total new obligations	45	51	52
73.20 Total outlays (gross)	-41	-49	-52
74.40 Obligated balance, end of year	11	13	13
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	39	46	47
86.93 Outlays from discretionary balances	3	3	5
87.00 Total outlays (gross)	41	49	52
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-1	-1	-1
Net budget authority and outlays:			
89.00 Budget authority	44	50	51
90.00 Outlays	41	49	51
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	41	47	48

90.00	Outlays	38	46	48
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Under this program, the Department assists States, local units of government, groups and individuals in developing area plans for resource conservation and development (RC and D).

Designated RC and D areas are provided technical assistance to help States and local units of government prepare plans for resource development and economic improvement and to plan and install community-related conservation projects. Financial contributions, loans, and other Federal assistance may be used to help carry out projects specified in RC and D area plans. Program financial resources are focused on the RC and D coordinators who assist the local area councils. These coordinators help the area councils develop plans and proposals to compete for financial assistance from other Federal, State and private sources.

The following tabulation shows the status of RC and D areas authorized to receive technical and financial assistance.

MAIN WORKLOAD FACTORS

	2001 actual	2002 est.	2003 est.
Areas authorized at beginning of year	315	348	368
Areas authorized at end of year	348	368	368
Project plans adopted	2,970	3,000	3,000
Projects completed	3,043	3,000	3,000

Object Classification (in millions of dollars)

Identification code 12-1010-0-1-302	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	24	27	29
12.1 Civilian personnel benefits	9	13	10
21.0 Travel and transportation of persons	2	1	1
23.2 Rental payments to others	1	1	2
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	6	6	7
31.0 Equipment	1	1	1
99.0 Direct obligations	44	50	51
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	45	51	52

Personnel Summary

Identification code 12-1010-0-1-302	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	438	502	490
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	3	3	5

GREAT PLAINS CONSERVATION PROGRAM

Program and Financing (in millions of dollars)

Identification code 12-2268-0-1-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	2	2	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	2	
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	4	2	
23.95 Total new obligations	-2	-2	
24.40 Unobligated balance carried forward, end of year	2		
Change in obligated balances:			
72.40 Obligated balance, start of year	5	4	2
73.10 Total new obligations	2	2	
73.20 Total outlays (gross)	-3	-2	-2

GREAT PLAINS CONSERVATION PROGRAM—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 12-2268-0-1-302	2001 actual	2002 est.	2003 est.
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	4	2	
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	3	2	2
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	3	2	2

The 1996 Farm Bill combined the authority for this and several other conservation programs into the Environmental Quality Incentives Program. Prior-year account balances are maintained in this account until expended.

This program provides cost-share assistance to participating landowners or operators in the Great Plains area in the development and installation of long-term conservation plans and practices for their land under contracts entered into in prior years. It is a voluntary program in 556 designated counties of 10 Great Plains States. Contracts with individual landowners range in time from 3 to 10 years.

MAIN WORKLOAD FACTORS

Program participants:	2001 actual	2002 est.	2003 est.
Number of contracts serviced during year	1,665	930	600
Number of acres under contracts	6,053,449	3,906,269	2,520,200

As of October 1, 2001, there were 930 active contracts on hand. Co-landowners or operators finance the entire cost of installing recurring management-type practices and pay a specified part of the cost-shared practices installed on their land. Program regulations provide that cost-share rates offered in any contract shall not exceed 80 percent of the cost of installing eligible practices within the designated county. There is a cost-sharing limitation of \$35 thousand for any contract.

Object Classification (in millions of dollars)

Identification code 12-2268-0-1-302	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	1	1	
41.0 Grants, subsidies, and contributions	1	1	
99.9 Total new obligations	2	2	

Personnel Summary

Identification code 12-2268-0-1-302	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	21	10	

【FORESTRY INCENTIVES PROGRAM】

【For necessary expenses, not otherwise provided for, to carry out the program of forestry incentives, as authorized by the Cooperative Forestry Assistance Act of 1978 (16 U.S.C. 2101), including technical assistance and related expenses, \$6,811,000, to remain available until expended, as authorized by that Act.】 (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 12-3336-0-1-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	11	7	

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	3	2	2
22.00 New budget authority (gross)	7	7	
22.10 Resources available from recoveries of prior year obligations	3		
23.90 Total budgetary resources available for obligation	13	9	2
23.95 Total new obligations	-11	-7	
24.40 Unobligated balance carried forward, end of year	2	2	

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	7	7	

Change in obligated balances:

72.40 Obligated balance, start of year	18	18	18
73.10 Total new obligations	11	7	
73.20 Total outlays (gross)	-8	-7	-6
73.45 Recoveries of prior year obligations	-3		
74.40 Obligated balance, end of year	18	18	12

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	3	3	
86.93 Outlays from discretionary balances	5	5	6
87.00 Total outlays (gross)	8	7	6

Net budget authority and outlays:

89.00 Budget authority	7	7	
90.00 Outlays	8	7	6

No funds are proposed for the Forestry incentives program (FIP). Previous acceptable appropriations for the program have been emergency appropriations to assist regions affected by natural disaster. As a non-emergency program, the FIP is merely a subsidy paying the costs of tree planting. There is no basis for a federal program to pay for tree planting on a non-emergency basis.

The FIP was authorized by the Cooperative Forestry Assistance Act of 1978 (16 U.S.C. 2101). The objectives of the program are to bring private, nonindustrial forest land under improved management, to increase timber production, to ensure adequate supplies of timber products, and to enhance other forest resources.

FIP shares up to 65 percent of the cost of tree planting and timber stand improvement. The percentage cost-shared depends on the rate set in a particular State and county by NRCS, after consulting with the State forester. The program is available in designated counties based on a Forest Service survey of total eligible private timberland available for production of timber products. Technical assistance is provided by Forest Service.

WATER BANK PROGRAM

Program and Financing (in millions of dollars)

Identification code 12-3320-0-1-302	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year	11	7	3
73.20 Total outlays (gross)	-4	-4	-3
74.40 Obligated balance, end of year	7	3	
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	4	4	3
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	4	4	3

The objectives of the Water Bank Program are to conserve water; preserve, maintain, and improve the Nation's wetlands; increase waterfowl habitat in migratory waterfowl nesting, breeding, and feeding areas in the United States; and secure recreational and environmental benefits for the Nation. The program was authorized by the Water Bank Act of 1970,

as amended by Public Law 96-182, approved January 2, 1980. Funding for the expiring 1985 Water Bank agreements were transferred from the Wetlands Reserve Program 1995 appropriation to this account as authorized under the Water Bank Extension Act of 1994. Congress did not provide funding for this account in 2002. For 2003, the budget does not request program funding.

Under the Water Bank Program, the Secretary of Agriculture, through designated county offices, uses program funds to enter into 10-year agreements with landowners and operators for the conservation of specified wetlands. Provisions exist to renew agreements for additional periods, to make annual payments on agreements, and under certain conditions to increase payment rates in the fifth year of a contract or at the time of renewal. During the period of the agreement, the landowner agrees not to drain, burn, fill, or otherwise destroy the wetland character of such areas.

COLORADO RIVER BASIN SALINITY CONTROL PROGRAM

Program and Financing (in millions of dollars)

Identification code 12-3318-0-1-304	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 99.5)	1	1	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	1
23.95 Total new obligations	-1	-1	
24.40 Unobligated balance carried forward, end of year	1	1	1
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	
73.10 Total new obligations	1	1	
73.20 Total outlays (gross)	-1	-1	
74.40 Obligated balance, end of year	1		
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	1	1	
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	1	1	

The Colorado River Basin Salinity Control Program (CRBSC), was authorized under section 202(c) of Title II of the Colorado River Basin Salinity Control Act, as amended by section 334, subtitle D, Title III of the Federal Agriculture Improvement Act of 1996. The FAIR Act, combined authority of the Agricultural Conservation Program (ACP), Water Quality Incentive Program (WQIP), Great Plains Conservation Program (GPCP), and the Colorado River Basin Salinity Control Program (CRBSC), into the Environmental Quality Incentive Program (EQIP). The FAIR Act also repealed CRBSC authority, while maintaining program account balances until expended.

Beginning in 1996, EQIP was implemented on an interim program level for CRBSC. Program funding provided cost-share assistance to landowners and others in the Colorado River Basin States to include: Colorado, Utah and Wyoming. The program's main objective is to enhance the supply and quality of water in the Colorado River for delivery to downstream users in the U.S. and Mexico.

Personnel Summary

Identification code 12-3318-0-1-304	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	8		

WETLANDS RESERVE PROGRAM

Program and Financing (in millions of dollars)

Identification code 12-1080-0-1-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.03 Technical assistance		2	
10.00 Total new obligations (object class 99.5)		2	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	2	
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	2	2	
23.95 Total new obligations		-2	
24.40 Unobligated balance carried forward, end of year	2		
Change in obligated balances:			
72.40 Obligated balance, start of year	11	7	3
73.10 Total new obligations		2	
73.20 Total outlays (gross)	-4	-4	-3
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	7	3	
Outlays (gross), detail:			
86.98 Outlays from mandatory balances	4	4	3
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	4	4	3

The Wetlands Reserve Program (WRP) is authorized by Section 1237 of the Food Security Act of 1985 (P.L. 99-198), as amended by the Food, Agriculture, Conservation and Trade Act of 1990 (P.L. 101-624), the Omnibus Budget Reconciliation Act of 1993 (P.L. 103-66), the Federal Agriculture Improvement and Reform Act of 1996 (P.L. 104-127) and the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriation Act, 2001 (P.L. 106-387). WRP is a mandatory Commodity Credit Corporation (CCC) program administered by the Natural Resources Conservation Service (NRCS). However, the Farm Service Agency (FSA), with CCC financial responsibility, handles program payments and financial reporting.

Information displayed in this section represents unobligated balances from the non-CCC account in which WRP was funded prior to the 1996 Farm Bill. For additional information on WRP, see the Commodity Credit Corporation section.

Personnel Summary

Identification code 12-1080-0-1-302	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	2		

WILDLIFE HABITAT INCENTIVES PROGRAM

Program and Financing (in millions of dollars)

Identification code 12-3322-0-1-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	15		
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	2	
22.10 Resources available from recoveries of prior year obligations	3		
22.22 Unobligated balance transferred from other accounts	13		
23.90 Total budgetary resources available for obligation	17	2	
23.95 Total new obligations	-15		
24.40 Unobligated balance carried forward, end of year	2		
Change in obligated balances:			
72.40 Obligated balance, start of year	26	31	27

WILDLIFE HABITAT INCENTIVES PROGRAM—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 12-3322-0-1-302	2001 actual	2002 est.	2003 est.
73.10 Total new obligations	15		
73.20 Total outlays (gross)	-7	-4	-4
73.45 Recoveries of prior year obligations	-3		
74.40 Obligated balance, end of year	31	27	23
Outlays (gross), detail:			
86.98 Outlays from mandatory balances	7	4	4
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	7	4	4

The Wildlife Habitat Incentives Program (WHIP) is a voluntary program to support and encourage landowners through technical assistance and cost share payments to develop and improve fish and wildlife habitat on private lands. The 1996 Federal Agriculture Improvement and Reform Act made available a total of \$50 million for WHIP from the Conservation reserve program for the years 1996-2002. These funds were exhausted in 1999. The Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriation Act, 2001 (P.L. 106-387) provided the Secretary of Agriculture discretionary authority to use funding provided under the Agriculture Risk Protection Act of 2000 for WHIP; the Secretary designated \$12 million for the program in 2001.

NRCS and the participant enter into a cost-share agreement for wildlife habitat development. This agreement generally lasts from 5 to 10 years from the date the agreement is signed. WHIP funds are distributed to states based on state wildlife habitat priorities which may include: wildlife habitat areas; targeted species and their habitats; and specific practices. Partnerships with other entities are preferred: WHIP may be implemented in cooperation with other Federal, State, or local agencies, conservation districts, or private conservation groups. State priorities are developed through a locally led process to identify wildlife resource needs and are finalized in consultation with the State Technical Committee.

Object Classification (in millions of dollars)

Identification code 12-3322-0-1-302	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	2		
41.0 Grants, subsidies, and contributions	13		
99.9 Total new obligations	15		

Personnel Summary

Identification code 12-3322-0-1-302	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	31		

RURAL CLEAN WATER PROGRAM

Program and Financing (in millions of dollars)

Identification code 12-3337-0-1-304	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	5	5	5
24.40 Unobligated balance carried forward, end of year	5	5	5
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

This experimental Rural Clean Water Program, authorized by Public Law 96-108 and Public Law 96-528, was a coopera-

tive endeavor among farmers, various USDA agencies, and other organizations to develop and test means of controlling agricultural nonpoint source water pollution in rural areas.

Recommended project areas were developed by local and State committees and approved by the Secretary of Agriculture in consultation with the Administrator of the Environmental Protection Agency. Full funding was provided in previous appropriations for all approved projects. The implementation period for all projects has ended, and no additional obligations will be incurred. The final payments have been made and the program will be closed out in 2002. Similar activities will be carried out through the mandatory Environmental Quality Incentives Program.

AGRICULTURAL RESOURCE CONSERVATION DEMONSTRATION
GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-2086-0-1-351	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.07 Reestimates of guaranteed loan subsidy	2	2	
00.08 Interest on reestimates of guaranteed loan subsidy	1	2	
10.00 Total new obligations (object class 41.0)	3	4	
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	3	4	
23.95 Total new obligations	-3	-4	
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	3	4	
Change in obligated balances:			
72.40 Obligated balance, start of year		3	
73.10 Total new obligations	3	4	
73.20 Total outlays (gross)		-7	
74.40 Obligated balance, end of year	3		
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority		4	
86.98 Outlays from mandatory balances		3	
87.00 Total outlays (gross)		7	
Net budget authority and outlays:			
89.00 Budget authority	3	4	
90.00 Outlays		7	

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 12-2086-0-1-351	2001 actual	2002 est.	2003 est.
Direct loan upward reestimate subsidy outlays:			
136001 Upward reestimates subsidy outlays			
136001 Upward reestimates subsidy outlays			
136901 Total upward reestimate outlays			
Guaranteed loan upward reestimate subsidy budget authority:			
235001 Upward reestimate subsidy budget authority	3	4	
235901 Total upward reestimate budget authority	3	4	
Guaranteed loan upward reestimate subsidy outlays:			
236001 Upward reestimate subsidy outlays	3	4	
236901 Total upward reestimate subsidy outlays	3	4	

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

Credit accounts:

AGRICULTURAL RESOURCE CONSERVATION DEMONSTRATION
GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4177-0-3-351	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Interest assistance on guaranteed loans	1	1	
10.00 Total new obligations	1	1	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	1	7
22.00 New financing authority (gross)		7	
23.90 Total budgetary resources available for obligation	2	8	7
23.95 Total new obligations	-1	-1	
24.40 Unobligated balance carried forward, end of year	1	7	7
New financing authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)		7	
Change in obligated balances:			
73.10 Total new obligations	1	1	
73.20 Total financing disbursements (gross)	-1	-1	
87.00 Total financing disbursements (gross)	1	1	
Offsets:			
Against gross financing authority and financing disbursements:			
88.00 Offsetting collections (cash) from: Federal sources		-7	
Net financing authority and financing disbursements:			
89.00 Financing authority			
90.00 Financing disbursements	1	-6	

Status of Guaranteed Loans (in millions of dollars)

Identification code 12-4177-0-3-351	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders			
2150 Total guaranteed loan commitments			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	24	24	24
2251 Repayments and prepayments			-10
2290 Outstanding, end of year	24	24	14
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	24	24	14

This program, also known as "Farms for the Future," provides guarantees and interest assistance on loans made to State trust funds, who in turn finance acquisitions to preserve farmland in selected states. No guarantees have been made since 1993.

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from guaranteed loans committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 12-4177-0-3-351	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	3	1	7	
1999 Total assets	3	1	7	
LIABILITIES:				
2103 Federal liabilities: Debt	3	1	7	

2999 Total liabilities	3	1	7
4999 Total liabilities and net position	3	1	7

Trust Funds

MISCELLANEOUS CONTRIBUTED FUNDS

Unavailable Collections (in millions of dollars)

Identification code 12-8210-0-7-302	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	4	4	4
Receipts:			
02.20 Miscellaneous contributed funds	1	1	1
04.00 Total: Balances and collections	5	5	5
Appropriations:			
05.01 National Agricultural Statistics Service	-1	-1	-1
07.99 Balance, end of year	4	4	4

Program and Financing (in millions of dollars)

Identification code 12-8210-0-7-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	1	1	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	
22.00 New budget authority (gross)	1		
23.90 Total budgetary resources available for obligation	2	1	
23.95 Total new obligations	-1	-1	
24.40 Unobligated balance carried forward, end of year	1		

New budget authority (gross), detail:

Mandatory:			
67.10 Authority to borrow	1		

Change in obligated balances:

72.40 Obligated balance, start of year	15	2	
73.10 Total new obligations	1	1	
73.20 Total outlays (gross)	-13	-3	
74.40 Obligated balance, end of year	2		

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	1		
86.98 Outlays from mandatory balances	12	3	
87.00 Total outlays (gross)	13	3	

Net budget authority and outlays:

89.00 Budget authority	1		
90.00 Outlays	13	3	

Funds received from State and local organizations, and others are available for work under cooperative agreements for soil survey, watershed protection, and resource conservation and development activities.

Object Classification (in millions of dollars)

Identification code 12-8210-0-7-302	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	1		
25.2 Other services		1	
99.9 Total new obligations	1	1	

Personnel Summary

Identification code 12-8210-0-7-302	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	10	1	1

RURAL DEVELOPMENT

Federal Funds

General and special funds:

SALARIES AND EXPENSES

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses for carrying out the administration and implementation of programs in the Rural Development mission area, including activities with institutions concerning the development and operation of agricultural cooperatives; and for cooperative agreements; **[\$133,722,000] \$184,339,000: Provided,** That this appropriation shall be available for employment pursuant to the second sentence of section 706(a) of the Organic Act of 1944 (7 U.S.C. 2225), and not to exceed \$1,000,000 may be used for employment under 5 U.S.C. 3109: *Provided further,* That not more than \$10,000 may be expended to provide modest nonmonetary awards to non-USDA employees: *Provided further,* That any balances available from prior years for the Rural Utilities Service, Rural Housing Service, and the Rural Business-Cooperative Service salaries and expenses accounts shall be transferred to and merged with this appropriation. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 12-0403-0-1-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	136	142	155
09.01 Reimbursable program	484	514	551
10.00 Total new obligations	620	656	706
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	621	656	706
23.95 Total new obligations	-620	-656	-706
23.98 Unobligated balance expiring or withdrawn	-1		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	162	169	185
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	444	487	521
68.10 Change in uncollected customer payments from Federal sources (unexpired)	15		
68.90 Spending authority from offsetting collections (total discretionary)	459	487	521
70.00 Total new budget authority (gross)	621	656	706
Change in obligated balances:			
72.40 Obligated balance, start of year	99	124	124
73.10 Total new obligations	620	656	706
73.20 Total outlays (gross)	-583	-656	-692
73.40 Adjustments in expired accounts (net)	-27		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-15		
74.10 Change in uncollected customer payments from Federal sources (expired)	30		
74.40 Obligated balance, end of year	124	124	138
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	494	557	600
86.93 Outlays from discretionary balances	89	99	92
87.00 Total outlays (gross)	583	656	692
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources Against gross budget authority only:	-459	-487	-521
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-15		
88.96 Portion of offsetting collections (cash) credited to expired accounts	15		
Net budget authority and outlays:			
89.00 Budget authority	162	169	185
90.00 Outlays	124	169	171

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	130	134	146
90.00 Outlays	92	134	132

Since 2001, Rural Development has had a consolidated Salaries and Expenses account to administer all Rural Development programs, including programs administered by the Rural Utilities Service (RUS), the Rural Housing Service (RHS), and the Rural Business-Cooperative Service (RBS).

RUS provides grants, direct loans and loan guarantees to suppliers of electric, telecommunications (for general purpose and for distance learning/telemedicine), and water and wastewater services in rural areas. Through the water and wastewater program, RUS also provides technical assistance. The programs are administered in Washington, DC. The Rural Development field office staff performs the services related to the water and wastewater grant and loan programs. For the electric and telecommunication loans, general field representatives visit borrowers periodically and maintain liaisons between the borrowers and headquarters.

RHS was formed from the Rural Housing section of the Farmers Home Administration and the Community Facilities Division of the Rural Development Administration. RHS delivers rural housing and community facility programs through a system of State, area, and local offices.

RBS includes programs from the former Rural Development Administration, rural development programs form the former Rural Electrification Administration, and the Agricultural Cooperative Service. This agency delivers loan and grant programs, as well as technical assistance, to cooperatives and rural businesses.

Object Classification (in millions of dollars)

Identification code 12-0403-0-1-452	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	67	72	72
11.3 Other than full-time permanent	5	5	5
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	73	78	78
12.1 Civilian personnel benefits	26	30	31
21.0 Travel and transportation of persons	3	4	4
23.2 Rental payments to others	4	4	5
23.3 Communications, utilities, and miscellaneous charges	6	5	6
24.0 Printing and reproduction	1	1	1
25.2 Other services	12	11	21
25.3 Other purchases of goods and services from Government accounts	7	5	5
25.7 Operation and maintenance of equipment	2	2	2
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	1	1
99.0 Direct obligations	136	142	155
99.0 Reimbursable obligations	484	514	551
99.9 Total new obligations	620	656	706

Personnel Summary

Identification code 12-0403-0-1-452	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	1,527	1,575	1,575
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	5,280	5,445	5,449

RURAL COMMUNITY ADVANCEMENT PROGRAM
(INCLUDING TRANSFERS OF FUNDS)

For the cost of direct loans, loan guarantees, and grants, as authorized by 7 U.S.C. 1926, 1926a, 1926c, 1926d, and 1932, except for sections 381E–H, 381N, and 381O of the Consolidated Farm and Rural Development Act, **[\$806,557,000] \$791,499,000**, to remain available until expended, of which **[\$83,545,000] \$32,600,000** shall be for rural community programs described in section 381E(d)(1) of such Act; of which **[\$646,512,000] \$682,814,000** shall be for the rural utilities programs described in sections 381E(d)(2), 306C(a)(2), and 306D of such Act; and of which **[\$76,500,000] \$76,085,000** shall be for the rural business and cooperative development programs described in sections 381E(d)(3) and 310B(f) of such Act: *Provided*, That of the total amount appropriated in this account, **\$24,000,000** shall be for loans and grants to benefit Federally Recognized Native American Tribes, including grants for drinking water and waste disposal systems pursuant to section 306C of such Act, of which **\$4,000,000** shall be available for community facilities grants to tribal colleges, as authorized by section 306(a)(19) of the Consolidated Farm and Rural Development Act, and of which **\$250,000** shall be available for a grant to a qualified national organization to provide technical assistance for rural transportation in order to promote economic development: *Provided further*, That of the amount appropriated for rural community programs, **\$6,000,000** shall be available for a Rural Community Development Initiative: *Provided further*, That such funds shall be used solely to develop the capacity and ability of private, nonprofit community-based housing and community development organizations, low-income rural communities, and Federally Recognized Native American Tribes to undertake projects to improve housing, community facilities, community and economic development projects in rural areas: *Provided further*, That such funds shall be made available to qualified private, nonprofit and public intermediary organizations proposing to carry out a program of financial and technical assistance: *Provided further*, That such intermediary organizations shall provide matching funds from other sources, including Federal funds for related activities, in an amount not less than funds provided: *Provided further*, That of the amount appropriated for the rural business and cooperative development programs, not to exceed **\$500,000** shall be made available for a grant to a qualified national organization to provide technical assistance for rural transportation in order to promote economic development; and **\$2,000,000** shall be for grants to Mississippi Delta Region counties: *Provided further*, That of the amount appropriated for rural utilities programs, not to exceed **\$20,000,000** shall be for water and waste disposal systems to benefit the Colonias along the United States/Mexico border, including grants pursuant to section 306C of such Act; not to exceed **[\$24,000,000] \$20,000,000** shall be for water and waste disposal systems for rural and native villages in Alaska pursuant to section 306D of such Act, with up to 1 percent available to administer the program and up to 1 percent available to improve interagency coordination may be transferred to and merged with the appropriation for “Rural Development, Salaries and Expenses”; not to exceed **[\$17,465,000,] \$16,215,000** shall be for technical assistance grants for rural water and waste systems pursuant to section 306(a)(14) of such Act, of which **\$5,250,000** shall be for Rural Community Assistance Programs; and not to exceed **[\$11,000,000] \$9,500,000** shall be for contracting with qualified national organizations for a circuit rider program to provide technical assistance for rural water systems: *Provided further*, That of the total amount appropriated, not to exceed **[\$37,624,000] \$32,202,000** shall be available through June 30, **[2002] 2003**, for authorized empowerment zones and enterprise communities and communities designated by the Secretary of Agriculture as Rural Economic Area Partnership Zones; of which **[\$1,163,000] \$1,187,000** shall be for the rural community programs described in section 381E(d)(1) of such Act, of which **[\$27,431,000] \$23,300,000** shall be for the rural utilities programs described in section 381E(d)(2) of such Act, and of which **[\$9,030,000] \$7,715,000** shall be for the rural business and cooperative development programs described in section 381E(d)(3) of such Act: *Provided further*, That of the amount appropriated for rural community programs, not to exceed **\$25,000,000** shall be to provide grants for facilities in rural communities with extreme unemployment and severe economic depression (Public Law 106–387), with 5 percent for administration and capacity building in the State rural development offices: *Provided further*, That of the amount appropriated **\$30,000,000** shall be to provide grants in rural communities with extremely high energy costs: *Provided further*, That any prior year balances for high cost

energy grants authorized by section 19 of the Rural Electrification Act of 1936 (7 U.S.C. 901(19)) shall be transferred to and merged with the “Rural Utilities Service, High Energy Costs Grants” account: *Provided further*, That of the funds appropriated by this Act to the Rural Community Advancement Program for guaranteed business and industry loans, funds may be transferred to direct business and industry loans as deemed necessary by the Secretary and with prior approval of the Committees on Appropriations of both Houses of Congress]. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 12–0400–0–1–452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Loan program:			
00.01 Direct loan subsidy	148	83	107
00.02 Guaranteed loan subsidy	9	44	29
00.05 Reestimate of direct loan subsidy	132		
00.06 Interest on reestimate of direct loan subsidy	31		
00.07 Reestimate of guaranteed loan subsidy	84		
00.08 Interest on reestimate of guaranteed loan subsidy	11		
Grant program:			
00.11 Water and waste disposal systems grants	579	584	587
00.12 Water and waste grants—emergency supplemental	17		
00.13 Emergency community water assistance grants	20		
00.14 Solid waste management grants	4	4	4
00.15 Community facility grants	30	14	17
00.16 Community facility grants—emergency supplemental	8	11	
00.17 Hazardous weather early warning grants		5	
00.18 Economic impact initiative grants	32	37	
00.19 High energy cost grants	20		
00.20 Rural business enterprise grants	49	41	44
00.21 Rural business opportunity grants	4	5	3
00.22 Rural partnership technical assistance grants	5		
00.23 Rural community development initiative grants		12	
00.24 Department of Energy Matching Grant		3	
10.00 Total new obligations (object class 41.0)	1,183	843	791
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	8	76	30
22.00 New budget authority (gross)	1,235	807	791
22.10 Resources available from recoveries of prior year obligations	17		
22.21 Unobligated balance transferred to other accounts		–10	–30
23.90 Total budgetary resources available for obligation	1,260	873	791
23.95 Total new obligations	–1,183	–843	–791
23.98 Unobligated balance expiring or withdrawn	–1		
24.40 Unobligated balance carried forward, end of year	76	30	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	972	807	791
40.77 Reduction pursuant to P.L. 106–554 (0.22 percent)	–2		
43.00 Appropriation (total discretionary)	970	807	791
Mandatory:			
60.00 Appropriation	258		
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	7		
70.00 Total new budget authority (gross)	1,235	807	791
Change in obligated balances:			
72.40 Obligated balance, start of year	1,926	2,178	2,239
73.10 Total new obligations	1,183	843	791
73.20 Total outlays (gross)	–913	–777	–765
73.31 Obligated balance transferred to other accounts		–5	
73.40 Adjustments in expired accounts (net)	–1		
73.45 Recoveries of prior year obligations	–17		
74.40 Obligated balance, end of year	2,178	2,239	2,265
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	65	58	56
86.93 Outlays from discretionary balances	588	719	709
86.97 Outlays from new mandatory authority	258		
86.98 Outlays from mandatory balances	2		
87.00 Total outlays (gross)	913	777	765

General and special funds—Continued

RURAL COMMUNITY ADVANCEMENT PROGRAM—Continued

(INCLUDING TRANSFERS OF FUNDS)—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 12-0400-0-1-452	2001 actual	2002 est.	2003 est.
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-7		
Net budget authority and outlays:			
89.00 Budget authority	1,228	807	791
90.00 Outlays	907	777	765

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 12-0400-0-1-452	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Direct water and waste disposal	767	879	814
115002 Direct community facility	409	249	250
115003 Direct business and industry	50		
115901 Total direct loan levels	1,226	1,128	1,064
Direct loan subsidy (in percent):			
132001 Direct water and waste disposal	13.59	6.88	11.34
132002 Direct community facility	11.69	5.43	6.24
132003 Direct business and industry	5.82	0.00	0.00
132901 Weighted average subsidy rate	12.64	6.56	10.15
Direct loan subsidy budget authority:			
133001 Direct water and waste disposal	104	60	92
133002 Direct community facility	48	14	16
133003 Direct business and industry	3		
133901 Total subsidy budget authority	155	74	108
Direct loan subsidy outlays:			
134001 Direct water and waste disposal	95	97	82
134002 Direct community facility	16	21	20
134003 Direct business and industry	1		
134901 Total subsidy outlays	112	118	102
Direct loan upward reestimate subsidy budget authority:			
135001 Direct water and waste disposal	93		
135002 Direct community facility	35		
135003 Direct business and industry	35		
135901 Total upward reestimate budget authority	163		
Direct loan upward reestimate subsidy outlays:			
136001 Direct water and waste disposal	93		
136002 Direct community facility	35		
136003 Direct business and industry	35		
136901 Total upward reestimate outlays	163		
Direct loan downward reestimate subsidy budget authority:			
137001 Direct water and waste disposal	-108		
137002 Direct community facility	-18		
137003 Direct business and industry	-1		
137901 Total downward reestimate budget authority	-127		
Direct loan downward reestimate subsidy outlays:			
138001 Direct water and waste disposal	-108		
138002 Direct community facility	-18		
138003 Direct business and industry	-1		
138901 Total downward reestimate subsidy outlays	-127		
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Water and waste disposal loan guarantees	75	75	75
215002 Community facility loan guarantees	210	210	210
215003 Business and industry loan guarantees	2,383	733	733
215901 Total loan guarantee levels	2,668	1,018	1,018
Guaranteed loan subsidy (in percent):			
232001 Water and waste disposal loan guarantees	-1.50	-0.80	-0.81
232002 Community facility loan guarantees	-0.54	-0.68	-0.54
232003 Business and industry loan guarantees	0.86	3.74	3.97
232901 Weighted average subsidy rate	0.67	2.46	2.65
Guaranteed loan subsidy budget authority:			
233001 Water and waste disposal loan guarantees	-1	-1	-1

233002 Community facility loan guarantees	-1	-1	-1
233003 Business and industry loan guarantees	20	27	29
233901 Total subsidy budget authority	18	25	27
Guaranteed loan subsidy outlays:			
234001 Water and waste disposal loan guarantees			
234002 Community facility loan guarantees			
234003 Business and industry loan guarantees	7	18	18
234901 Total subsidy outlays	7	18	18
Guaranteed loan upward reestimate subsidy budget authority:			
235003 Business and industry loan guarantees	95		
235901 Total upward reestimate budget authority	95		
Guaranteed loan upward reestimate subsidy outlays:			
236003 Business and industry loan guarantees	95		
236901 Total upward reestimate subsidy outlays	95		
Guaranteed loan downward reestimate subsidy budget authority:			
237002 Community facility loan guarantees	-2		
237003 Business and industry loan guarantees	-25		
237901 Total downward reestimate subsidy budget authority	-27		
Guaranteed loan downward reestimate subsidy outlays:			
238002 Community facility loan guarantees	-2		
238003 Business and industry loan guarantees	-25		
238901 Total downward reestimate subsidy outlays	-27		

This account consolidates under the Rural Community Advancement Program (RCAP) funding for the direct and guaranteed water and waste disposal loans, water and waste disposal grants, emergency community water assistance grants, solid waste management grants, direct and guaranteed community facility loans, community facility grants, direct and guaranteed business and industry loans, rural business enterprise grants, and rural business opportunity grants. This is in accordance with the provisions set forth in the Federal Agriculture Improvement and Reform Act of 1996, as amended, Public Law 104-127 (the 1996 Act). Consolidating funding for these loan and grant programs under RCAP provides greater flexibility to tailor financial assistance to applicant needs. Funding in 2003 for all programs is projected to be at or slightly above the 2002 enacted level.

RCAP is composed of the following three funding streams: Rural Community Facilities, Rural Utilities, and Rural Business and Cooperative Development. Funds for Native American Communities are provided as part of the whole amount appropriated for these streams as part of the Native Americans Initiative. The funds are allocated to all three funding streams.

Water and waste disposal loans are authorized under 7 U.S.C. 1926. The program provides direct loans to municipalities, counties, special purpose districts, certain Indian Tribes, and non-profit corporations to develop water and waste disposal systems in rural areas and towns with populations of less than 10,000. The program also guarantees water and waste disposal loans made by banks and other eligible lenders. Total loan level is projected to be \$889 million for these programs in 2003.

Water and waste disposal grants are authorized under Section 306(a)(2) of the Consolidated Farm and Rural Development Act, as amended. Grants are authorized to be made to associations, including nonprofit corporations, municipalities, counties, public and quasi-public agencies, and certain Indian tribes. The grants can be used to finance development, storage, treatment, purification, or distribution of water or the collection, treatment, or disposal of waste in rural areas and cities or towns with populations of less than 10,000. The amount of any development grant may not exceed 75 percent of the eligible development cost of the project. \$587 million is projected for this program in 2003.

Emergency community water assistance grants are authorized under Section 306A of the Consolidated Farm and Rural Development Act, as amended. Grants are made to public

bodies and nonprofit organizations for construction or extension of water lines, repair or maintenance of existing systems, replacement of equipment, and payment of costs to correct emergency situations. These grants are funded on an as needed basis using RCAP flexibility of funds authorization.

Solid waste management grants are authorized under Section 310B(b) of the Consolidated Farm and Rural Development Act, as amended. Grants are made to non-profit organizations to provide regional technical assistance to local and regional governments and related agencies for the purpose of reducing or eliminating pollution of water resources, and for improving the planning and management of solid waste disposal facilities. \$4 million is projected for this program in 2003.

Community facility loans and grants are authorized under sections 306(a)(1) and 306(a)(19) of the Consolidated Farm and Rural Development Act, as amended. Loans are provided to local governments and nonprofit organizations for the construction and improvement of community facilities providing essential services in rural areas of not more than 20,000 population, such as hospitals and fire stations. Total program level in 2003 is projected to be \$477 million.

Business and industry guaranteed and direct loans are authorized under section 310B(a)(1) of the Consolidated Farm and Rural Development Act, as amended. These loans are made to public, private or cooperative organizations, Indian tribes or tribal groups, corporate entities, or individuals for the purpose of improving the economic climate in rural areas. For direct loans no funds were requested or provided in 2002 and no funds are requested in 2003. \$733 million in loan guarantees are projected for 2003.

Rural business enterprise grants are authorized under sections 310B(c) and 310B(f) of the Consolidated Farm and Rural Development Act, as amended. These grants enable public and nonprofit organizations to operate rural economic development projects. In general, these grants provide investments in the human and physical resources of rural communities. Past projects have enabled rural communities to acquire and develop land, create technical assistance programs, encourage small business growth and create new jobs.

Rural Business Opportunity Grants are authorized under section 306(a)(11)(A) of the Consolidated Farm and Rural Development Act, as amended. These grants enable public bodies and private nonprofit organizations to provide for technical assistance, training, and planning activities that improve economic conditions in rural area. \$3 million is projected for this purpose.

RURAL HOUSING SERVICE

Federal Funds

General and special funds:

SALARIES AND EXPENSES

(FARMERS HOME ADMINISTRATION)

Program and Financing (in millions of dollars)

Identification code 12-2001-0-1-452	2001 actual	2002 est.	2003 est.
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	52		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	52		

These funds were used to administer the direct loan, loan guarantee, and grant programs of the Farmers Home Administration and the Rural Development Administration.

In 1995, under the reorganization by the Secretary of Agriculture the Agency has been eliminated and activities pre-

viously administered through this account transferred to other Department accounts.

RURAL HOUSING ASSISTANCE GRANTS

For grants and contracts for very low-income housing repair, supervisory and technical assistance, compensation for construction defects, and rural housing preservation made by the Rural Housing Service, as authorized by 42 U.S.C. 1474, 1479(c), 1490e, and 1490m, **[\$38,914,000]** \$42,498,000, to remain available until expended: *Provided*, That of the total amount appropriated, \$1,200,000 shall be available through June 30, **[2002]** 2003, for authorized empowerment zones and enterprise communities and communities designated by the Secretary of Agriculture as Rural Economic Area Partnership Zones. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 12-1953-0-1-604	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Domestic farm labor natural disaster grants		1	
00.03 Very low-income housing repair grants	31	30	31
00.04 Very low-income housing repair natural disaster grants	3	5	
00.05 Supervisory and technical assistance grants	1	1	1
00.06 Processing workers		5	
00.07 Rural housing preservation grants	8	8	10
00.08 Compensation and construction defects		1	
10.00 Total new obligations (object class 41.0)	44	51	42
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	10	12	
22.00 New budget authority (gross)	44	39	42
22.10 Resources available from recoveries of prior year obligations	2		
23.90 Total budgetary resources available for obligation	56	51	42
23.95 Total new obligations	-44	-51	-42
24.40 Unobligated balance carried forward, end of year	12		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	44	39	42
Change in obligated balances:			
72.40 Obligated balance, start of year	53	45	39
73.10 Total new obligations	44	51	42
73.20 Total outlays (gross)	-49	-57	-55
73.45 Recoveries of prior year obligations	-2		
74.40 Obligated balance, end of year	45	39	26
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	27	27	28
86.93 Outlays from discretionary balances	23	29	27
87.00 Total outlays (gross)	49	57	55
Net budget authority and outlays:			
89.00 Budget authority	44	39	42
90.00 Outlays	50	57	55

The rural housing for domestic farm labor grant program is authorized under section 516 of the Housing Act of 1949, as amended. This program is funded under this heading until 2001. Starting in 2001, it is funded under the Farm labor program account.

The very low-income housing repair grant program is authorized under section 504 of the Housing Act of 1949, as amended. This grant program enables very low-income elderly residents in rural areas to improve or modernize their dwellings, to make the dwelling safer or more sanitary, or to remove health and safety hazards. The Budget provides \$32 million for this program in 2003.

The supervisory and technical assistance grant program is carried out under the provisions of section 509(f) and 525 of the Housing Act of 1949, as amended. Under section 509,

General and special funds—Continued

RURAL HOUSING ASSISTANCE GRANTS—Continued

grants are made to public and private nonprofit organizations for packaging loan applications for housing under sections 502, 504, 514/516, 515, and 533 of the Housing Act of 1949, as amended. The assistance is to be directed to underserved areas where at least 20 percent or more of the population is at or below the poverty level, and at least 10 percent or more of the population resides in substandard housing. Under section 525, grants are made to public and private nonprofit organizations and other associations for the developing, conducting, administering or coordinating of technical and supervisory assistance programs to demonstrate the benefits of Federal, State, and local housing programs for low-income families in rural areas. \$1 million is provided for this program in 2003.

The compensation for construction defects program is carried out under the provisions of section 509(c) of the Housing Act of 1949, as amended. The Secretary of Agriculture is authorized to make expenditures to correct structural defects, or to pay claims of owners arising from such defects on newly constructed dwellings purchased with RHS financial assistance. Requests for compensation for construction defects must be made within 18 months after the date financial assistance was granted. Because current demand for these funds does not exceed current resources available, no new funds are provided for this program.

The rural housing preservation grant program is authorized under section 533 of the Housing Act of 1949, as amended. Grants are made to eligible nonprofit groups, Indian tribes, or government agencies for rehabilitation of single family housing owned by low- and very low-income families and the rehabilitation of rental and cooperative housing for low- and very low-income families. \$10 million is provided for this program in 2003.

FARM LABOR PROGRAM ACCOUNT

For the cost of direct loans, grants, and contracts, as authorized by 42 U.S.C. 1484 and 1486, **[\$31,431,000]** \$34,615,000, to remain available until expended, for direct farm labor housing loans and domestic farm labor housing grants and contracts. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 12-1954-0-1-604	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct loan subsidy	17	13	18
00.02 Farm labor housing grants	9	23	17
10.00 Total new obligations (object class 41.0)	26	36	35
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		5	
22.00 New budget authority (gross)	31	31	35
23.90 Total budgetary resources available for obligation	31	36	35
23.95 Total new obligations	-26	-36	-35
24.40 Unobligated balance carried forward, end of year	5		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	29	31	35
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	2		
70.00 Total new budget authority (gross)	31	31	35
Change in obligated balances:			
72.40 Obligated balance, start of year		25	45
73.10 Total new obligations	26	36	35
73.20 Total outlays (gross)		-16	-27

74.40 Obligated balance, end of year	25	45	53
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		7	7
86.93 Outlays from discretionary balances		10	20
87.00 Total outlays (gross)		16	27
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-2		
Net budget authority and outlays:			
89.00 Budget authority	29	31	35
90.00 Outlays	-1	16	27

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 12-1954-0-1-604	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Farm Labor Program	28	28	36
115901 Total direct loan levels	28	28	36
Direct loan subsidy (in percent):			
132001 Farm Labor Program	52.59	47.31	49.02
132901 Weighted average subsidy rate	52.59	47.31	49.02
Direct loan subsidy budget authority:			
133001 Farm Labor Program	15	13	18
133901 Total subsidy budget authority	15	13	18
Direct loan subsidy outlays:			
134001 Farm Labor Program		9	12
134901 Total subsidy outlays		9	12

The account consists of direct farm labor housing loans and domestic farm labor housing grants.

The direct farm labor loan program is authorized under section 514 and the rural housing for domestic farm labor grant program is authorized under section 516 of the Housing Act of 1949, as amended. The loans, grants, and contracts are made to public and private nonprofit organizations for low-rent housing and related facilities for domestic farm labor. Grants assistance may not exceed 90 percent of the cost of a project. Loans and grants may be used for construction of new structures, site acquisition and development, rehabilitation of existing structures, and purchase of furnishings and equipment for dwellings, dining halls, community rooms, and infirmaries. Total program level provided in 2003 is \$53 million (\$17 million in grants and \$36 million in loan level).

RENTAL ASSISTANCE PROGRAM

For rental assistance agreements entered into or renewed pursuant to the authority under section 521(a)(2) or agreements entered into in lieu of debt forgiveness or payments for eligible households as authorized by section 502(c)(5)(D) of the Housing Act of 1949, **[\$701,004,000]** \$712,000,000; and, in addition, such sums as may be necessary, as authorized by section 521(c) of the Act, to liquidate debt incurred prior to fiscal year 1992 to carry out the rental assistance program under section 521(a)(2) of the Act: *Provided*, That of this amount, not more than \$5,900,000 shall be available for debt forgiveness or payments for eligible households as authorized by section 502(c)(5)(D) of the Act, and not to exceed \$10,000 per project for advances to nonprofit organizations or public agencies to cover direct costs (other than purchase price) incurred in purchasing projects pursuant to section 502(c)(5)(C) of the Act: *Provided further*, That agreements entered into or renewed during fiscal year **[2002]** 2003 shall be funded for a 5-year period, although the life of any such agreement may be extended to fully utilize amounts obligated. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 12-0137-0-1-604	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	686	707	712
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	14	6	
22.00 New budget authority (gross)	679	701	712
23.90 Total budgetary resources available for obligation	692	707	712
23.95 Total new obligations	-686	-707	-712
24.40 Unobligated balance carried forward, end of year	6		
New budget authority (gross), detail:			
Discretionary:			
Appropriation:			
40.00 Appropriation	680	701	712
40.00 Appropriation, current indefinite	59	61	60
40.47 Portion substituted for borrowing authority	-59	-61	-60
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
43.00 Appropriation (total discretionary)	679	701	712
Change in obligated balances:			
72.40 Unpaid obligations, appropriation, start of year	2,645	2,727	2,777
73.10 Total new obligations	686	707	712
73.20 Total outlays (gross)	-603	-658	-692
74.40 Obligated balance, end of year	2,727	2,777	2,797
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	20	21	21
86.93 Outlays from discretionary balances	583	637	669
87.00 Total outlays (gross)	603	658	692
Net budget authority and outlays:			
89.00 Budget authority	679	701	712
90.00 Outlays	603	658	692

The rental assistance program is authorized under section 521(a)(2) of the Housing Act of 1949, as amended, and is designed to reduce rents paid by very low-income and low-income families living in RHS-financed rural rental and farm labor housing projects. Funding under this account is provided for renewals of existing rental assistance contracts, assistance for newly constructed units financed by the section 515 rural rental and cooperative housing program or the 514/516 farm labor housing loan and grant programs, and for additional servicing assistance for existing projects. Assistance is also provided in lieu of debt forgiveness or payments for eligible households to subsidize tenant rents in projects purchased by eligible nonprofit organizations or public agencies as authorized by section 502(c)(5)(D) of the Act.

From 1978 through 1991, the rental assistance program was funded under the Rural Housing Insurance Fund. Beginning in 1992, pursuant to Credit Reform, a separate grant account was established for this program.

RURAL HOUSING VOUCHER PROGRAM

Program and Financing (in millions of dollars)

Identification code 12-2002-0-1-604	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year	2	2	2
74.40 Obligated balance, end of year	2	2	2
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

Prior year obligated balances reflect funding for rental assistance for newly constructed units provided in limited amounts in 1984 and 1985. From 1986 through 1991 rental assistance for newly constructed units, as well as existing

rental assistance contract renewals and additional servicing assistance for existing projects, had been funded under the Rural Housing Insurance Fund. Beginning in 1992, pursuant to Credit Reform, a separate grant account was established for the rental assistance program.

MUTUAL AND SELF-HELP HOUSING GRANTS

For grants and contracts pursuant to section 523(b)(1)(A) of the Housing Act of 1949 (42 U.S.C. 1490c), **[\$35,000,000]** *\$34,000,000*, to remain available until expended (7 U.S.C. 2209b): *Provided*, That of the total amount appropriated, \$1,000,000 shall be available through June 30, **[2002]** *2003*, for authorized empowerment zones and enterprise communities and communities designated by the Secretary of Agriculture as Rural Economic Area Partnership Zones. *(Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.)*

Program and Financing (in millions of dollars)

Identification code 12-2006-0-1-604	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	18	56	34
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		21	
22.00 New budget authority (gross)	34	35	34
22.10 Resources available from recoveries of prior year obligations	4		
23.90 Total budgetary resources available for obligation	38	56	34
23.95 Total new obligations	-18	-56	-34
24.40 Unobligated balance carried forward, end of year	21		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	34	35	34
Change in obligated balances:			
72.40 Obligated balance, start of year	46	37	65
73.10 Total new obligations	18	56	34
73.20 Total outlays (gross)	-22	-28	-33
73.45 Recoveries of prior year obligations	-4		
74.40 Obligated balance, end of year	37	65	66
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2	4	4
86.93 Outlays from discretionary balances	20	22	30
87.00 Total outlays (gross)	22	28	33
Net budget authority and outlays:			
89.00 Budget authority	34	35	34
90.00 Outlays	23	28	33

This program is authorized under section 523 of the Housing Act of 1949, as amended. Grants and contracts are made for the purpose of providing technical and supervisory assistance to groups of families to enable them to build their own homes through the mutual exchange of labor.

RURAL COMMUNITY FIRE PROTECTION GRANTS

Program and Financing (in millions of dollars)

Identification code 12-2067-0-1-452	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-1		

This assistance was authorized by section 7 of the Cooperative Forestry Assistance Act of 1978 (16 U.S.C. 2106). Grants are made to public bodies to organize, train, and equip local firefighting forces, including those of Indian tribes or other Native American groups, to prevent, control, and suppress

General and special funds—Continued

RURAL COMMUNITY FIRE PROTECTION GRANTS—Continued

fires threatening human lives, crops, livestock, farmsteads or other improvements, pastures, orchards, wildlife, rangeland, woodland, and other resources in rural areas.

Credit accounts:

RURAL COMMUNITY FACILITY DIRECT LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4225-0-3-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Operating program:			
00.01 Direct loans	325	330	250
00.02 Interest on Treasury borrowing	61	70	46
00.91 Subtotal, Operating program	386	400	296
Reestimates:			
08.02 Downward reestimate	14		
08.04 Interest on downward reestimate	4		
08.91 Subtotal, Reestimates	18		
10.00 Total new obligations	404	400	296
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	22	10	
22.00 New financing authority (gross)	390	390	296
22.10 Resources available from recoveries of prior year obligations	16		
22.70 Balance of authority to borrow withdrawn	-14		
23.90 Total budgetary resources available for obligation	414	400	296
23.95 Total new obligations	-404	-400	-296
24.40 Unobligated balance carried forward, end of year	10		
New financing authority (gross), detail:			
Mandatory:			
67.10 Authority to borrow	287	252	158
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	143	151	151
68.10 Change in uncollected customer payments from Federal sources (unexpired)	20	-13	-13
68.47 Portion applied to repay debt	-60		
68.90 Spending authority from offsetting collections (total discretionary)	103	138	138
70.00 Total new financing authority (gross)	390	390	296
Change in obligated balances:			
72.40 Obligated balance, start of year	337	463	581
73.10 Total new obligations	404	400	296
73.20 Total financing disbursements (gross)	-242	-295	-295
73.45 Recoveries of prior year obligations	-16		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-20	13	13
74.40 Obligated balance, end of year	463	581	595
87.00 Total financing disbursements (gross)	242	295	295

Offsets:

Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Federal sources	-51	-21	-20
88.25 Interest on uninvested funds	-10	-11	-11
Non-Federal sources:			
88.40 Repayment of principal	-82	-32	-37
88.40 Interest received on loans	-87		-83
88.90 Total, offsetting collections (cash)	-143	-151	-151
Against gross financing authority only:			
88.95 Change in receivables from program accounts	-20	13	13
Net financing authority and financing disbursements:			
89.00 Financing authority	227	252	158
90.00 Financing disbursements	99	144	144

Status of Direct Loans (in millions of dollars)

Identification code 12-4225-0-3-452	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans	409	249	250
1121 Limitation available from carry-forward	13	154	
1142 Unobligated direct loan limitation (-)	-13		
1143 Unobligated limitation carried forward (P.L. xx) (-)	-84		
1150 Total direct loan obligations	325	403	250
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	864	988	1,220
1231 Disbursements: Direct loan disbursements	163	264	275
1251 Repayments: Repayments and prepayments	-39	-32	-37
1290 Outstanding, end of year	988	1,220	1,458

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals. Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account.

This account provides funding to non-profit organizations and local governments for the construction and improvement of community facilities providing essential services in rural areas, such as hospitals, telecommunications applications, child care centers and fire stations.

Balance Sheet (in millions of dollars)

Identification code 12-4225-0-3-452	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	25	20	26	26
Investments in US securities:				
1106 Receivables, net	33	55	47	47
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	864	988	1,220	1,458
1402 Interest receivable	11	13	9	9
1405 Allowance for subsidy cost (-)	-93	-116	-121	-143
1499 Net present value of assets related to direct loans	782	885	1,108	1,324
1999 Total assets	840	960	1,181	1,397
LIABILITIES:				
Federal liabilities:				
2101 Accounts payable	838	902	1,180	1,341
2105 Other	55			55
2203 Non-Federal liabilities: Liability for deposit funds	2	3	1	1
2999 Total liabilities	840	960	1,181	1,397
4999 Total liabilities and net position	840	960	1,181	1,397

RURAL COMMUNITY FACILITY GUARANTEED LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4228-0-3-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
08.02 Downward reestimate	2		
08.04 Interest on downward reestimate	1		
10.00 Total new obligations	3		
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	2	4
22.00 New financing authority (gross)	2	2	2
23.90 Total budgetary resources available for obligation	5	4	6
23.95 Total new obligations	-3		

24.40	Unobligated balance carried forward, end of year	2	4	4
New financing authority (gross), detail:				
Discretionary:				
47.00	Authority to borrow	1		
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	1	2	2
70.00	Total new financing authority (gross)	2	2	2
Change in obligated balances:				
72.40	Obligated balance, start of year	1	1	2
73.10	Total new obligations	3		
73.20	Total financing disbursements (gross)	-3	-1	-1
74.40	Obligated balance, end of year	1	2	2
87.00	Total financing disbursements (gross)	3	1	1
Offsets:				
Against gross financing authority and financing disbursements:				
88.40	Offsetting collections (cash) from: Non-Federal sources	-1	-2	-2
Net financing authority and financing disbursements:				
89.00	Financing authority	1		
90.00	Financing disbursements	2	-1	-1

Status of Guaranteed Loans (in millions of dollars)				
Identification code 12-4228-0-3-452	2001 actual	2002 est.	2003 est.	
Position with respect to appropriations act limitation on commitments:				
2111	Limitation on guaranteed loans made by private lenders	210	210	210
2142	Uncommitted loan guarantee limitation	-71		
2150	Total guaranteed loan commitments	139	210	210
2199	Guaranteed amount of guaranteed loan commitments	111	168	168
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	225	227	364
2231	Disbursements of new guaranteed loans	15	155	179
2251	Repayments and prepayments	-13	-18	-24
2290	Outstanding, end of year	227	364	519
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	182	318	415

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from guaranteed loans committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals. Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account.

This account finances loan guarantee commitments for essential community facilities in rural areas.

Balance Sheet (in millions of dollars)				
Identification code 12-4228-0-3-452	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101	Federal assets: Fund balances with Treasury	4	4	4
1999	Total assets	4	4	4
LIABILITIES:				
2101	Federal liabilities: Accounts payable	1	1	1
2204	Non-Federal liabilities: Liabilities for loan guarantees	3	3	3
2999	Total liabilities	4	4	4
4999	Total liabilities and net position	4	4	4

RURAL HOUSING INSURANCE FUND PROGRAM ACCOUNT
(INCLUDING TRANSFERS OF FUNDS)

For gross obligations for the principal amount of direct and guaranteed loans as authorized by title V of the Housing Act of 1949, to be available from funds in the rural housing insurance fund, as follows: **[\$4,217,816,000]** \$3,707,300,000 for loans to section 502 borrowers, as determined by the Secretary, of which **[\$1,079,848,000]** \$957,300,000 shall be for direct loans, and of which **[\$3,137,968,000]** \$2,750,000,000 shall be for unsubsidized guaranteed loans; **[\$32,324,000]** \$35,000,000 for section 504 housing repair loans; **[\$114,068,000]** \$60,000,000 for repair, rehabilitation, and preservation of section 515 rental housing; **[\$99,770,000]** \$100,000,000 for section 538 guaranteed multi-family housing loans; **[\$5,090,000]** \$5,000,000 for section 524 site loans; **[\$11,778,000]** \$12,000,000 for credit sales of acquired property, of which up to **[\$1,778,000]** \$2,000,000 may be for multi-family credit sales; and **[\$5,000,000]** \$5,000,000 for section 523 self-help housing land development loans.

For the cost of direct and guaranteed loans, including the cost of modifying loans, as defined in section 502 of the Congressional Budget Act of 1974, as follows: section 502 loans, **[\$182,274,000]** \$205,229,000, of which **[\$142,108,000]** \$185,429,000 shall be for direct loans, and of which **[\$40,166,000]** \$19,800,000 shall be for unsubsidized guaranteed loans; section 504 housing repair loans, **[\$10,386,000]** \$10,857,000; repair, rehabilitation, and preservation of section 515 rental housing, **[\$48,274,000]** \$27,978,000, to remain available until September 30, 2004; section 538 multi-family housing guaranteed loans, **[\$3,921,000]** \$4,500,000; section 524 site loans, **[\$28,000]** \$55,000; multi-family credit sales of acquired property, **[\$750,000]** \$934,000; and section 523 self-help housing land development loans, **[\$254,000]** \$221,000: *Provided*, That of the total amount appropriated in this paragraph, **[\$11,656,000]** \$7,100,000 shall be available through June 30, **[2002]** 2003, for authorized empowerment zones and enterprise communities and communities designated by the Secretary of Agriculture as Rural Economic Area Partnership Zones.

In addition, for administrative expenses necessary to carry out the direct and guaranteed loan programs, **[\$422,241,000]** \$455,630,000, which shall be transferred to and merged with the appropriation for "Rural Development, Salaries and Expenses".

[Of the amounts made available under this heading in chapter 1 of title II of Public Law 106-246 (114 Stat. 540) for gross obligations for principal amount of direct loans authorized by title V of the Housing Act of 1949 for section 515 rental housing, the Secretary of Agriculture may use up to \$5,986,197 for rental assistance agreements described in the item relating to "Rental Assistance Program" in such chapter: *Provided*, That such amount is designated by the Congress as an emergency requirement pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Control Act of 1985, as amended.]

[In making available for occupancy dwelling units in housing that is provided with funds made available under the heading referred to in the preceding paragraph, the Secretary of Agriculture may give preference to prospective tenants who are residing in temporary housing provided by the Federal Emergency Management Agency as a result of an emergency.] (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)				
Identification code 12-2081-0-1-371	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Loan program:				
00.01	Direct loan subsidy	245	221	225
00.02	Guaranteed loan subsidy	6	44	24
00.05	Reestimates of direct loan subsidy	218		
00.06	Interest on reestimates of direct loan subsidy	51		
00.07	Reestimates of guaranteed loan subsidy	157		
00.08	Interest on reestimates of guaranteed loan subsidy	27		
00.09	Administrative expenses	408	422	456
00.11	Modular housing demonstration grants	1	4	
10.00	Total new obligations	1,113	691	705
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	25	23	
22.00	New budget authority (gross)	1,115	668	705
22.10	Resources available from recoveries of prior year obligations	1		

Credit accounts—Continued

RURAL HOUSING INSURANCE FUND PROGRAM ACCOUNT—Continued
(INCLUDING TRANSFERS OF FUNDS)—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 12-2081-0-1-371	2001 actual	2002 est.	2003 est.
23.90 Total budgetary resources available for obligation	1,141	691	705
23.95 Total new obligations	-1,113	-691	-705
23.98 Unobligated balance expiring or withdrawn	-5		
24.40 Unobligated balance carried forward, end of year	23		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	664	668	705
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-2		
43.00 Appropriation (total discretionary)	662	668	705
Mandatory:			
60.00 Appropriation	453		
70.00 Total new budget authority (gross)	1,115	668	705
Change in obligated balances:			
72.40 Obligated balance, start of year	172	198	207
73.10 Total new obligations	1,113	691	705
73.20 Total outlays (gross)	-1,079	-683	-727
73.40 Adjustments in expired accounts (net)	-8		
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	198	207	186
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	552	568	617
86.93 Outlays from discretionary balances	72	114	110
86.97 Outlays from new mandatory authority	453		
87.00 Total outlays (gross)	1,079	683	727
Net budget authority and outlays:			
89.00 Budget authority	1,115	668	705
90.00 Outlays	1,079	683	727

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 12-2081-0-1-371	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Direct 502 single family housing	1,065	1,080	957
115002 Direct 502 supplemental			
115003 Direct 502 modular housing	2		
115004 Direct 515 multi-family housing	114	114	60
115005 Direct 515 natural disaster			
115006 Direct 504 housing repair	32	32	35
115007 Direct 504 supplemental			
115008 Direct 514 farm labor housing			
115009 Direct 514 supplemental			
115010 Direct 524 site development	5	5	5
115011 Single family credit sales	10	10	10
115012 Multi-family credit sales	2	2	2
115013 Direct 523 self-help housing	5	5	5
115901 Total direct loan levels	1,235	1,248	1,074
Direct loan subsidy (in percent):			
132001 Direct 502 single family housing	16.06	13.16	19.37
132002 Direct 502 supplemental	16.06	13.16	19.37
132003 Direct 502 modular housing	20.07	17.68	19.37
132004 Direct 515 multi-family housing	49.27	42.32	46.63
132005 Direct 515 natural disaster	49.27	42.32	46.63
132006 Direct 504 housing repair	35.44	32.13	31.02
132007 Direct 504 supplemental	35.44	32.13	31.02
132008 Direct 514 farm labor housing	0.00	0.00	0.00
132009 Direct 514 supplemental	52.59	47.31	49.02
132010 Direct 524 site development	-0.12	0.55	1.09
132011 Single family credit sales	-3.23	-4.82	-9.58
132012 Multi-family credit sales	49.03	42.17	46.68
132013 Direct 523 self-help housing	5.57	5.08	4.41
132901 Weighted average subsidy rate	19.35	16.11	20.86
Direct loan subsidy budget authority:			
133001 Direct 502 single family housing	171	142	185
133002 Direct 502 supplemental			

133003 Direct 502 modular housing			
133004 Direct 515 multi-family housing	56	48	28
133005 Direct 515 natural disaster			
133006 Direct 504 housing repair	11	10	11
133007 Direct 504 supplemental			
133008 Direct 514 farm labor housing			
133009 Direct 514 supplemental			
133010 Direct 524 site development			
133011 Single family credit sales			-1
133012 Multi-family credit sales	1	1	1
133013 Direct 523 self-help housing			
133901 Total subsidy budget authority	239	201	224
Direct loan subsidy outlays:			
134001 Direct 502 single family housing	145	145	170
134002 Direct 502 supplemental	3		
134003 Direct 502 modular housing		2	
134004 Direct 515 multi-family housing	51	55	53
134005 Direct 515 natural disaster		2	6
134006 Direct 504 housing repair	10	10	10
134007 Direct 504 supplemental		3	1
134008 Direct 514 farm labor housing	4	6	4
134009 Direct 514 supplemental	-1	2	
134010 Direct 524 site development			
134011 Single family credit sales			
134012 Multi-family credit sales	1	1	1
134013 Direct 523 self-help housing			
134901 Total subsidy outlays	213	226	245
Direct loan upward reestimate subsidy budget authority:			
135001 Direct 502 single family housing	189		
135004 Direct 515 multi-family housing	71		
135006 Direct 504 housing repair	2		
135008 Direct 514 farm labor housing	1		
135011 Single family credit sales	5		
135012 Multi-family credit sales	1		
135901 Total upward reestimate budget authority	269		
Direct loan upward reestimate subsidy outlays:			
136001 Direct 502 single family housing	189		
136004 Direct 515 multi-family housing	71		
136006 Direct 504 housing repair	2		
136006 Upward reestimates subsidy outlays			
136008 Direct 514 farm labor housing	1		
136011 Single family credit sales	5		
136012 Multi-family credit sales	1		
136901 Total upward reestimate outlays	269		
Direct loan downward reestimate subsidy budget authority:			
137001 Direct 502 single family housing	-310		
137004 Direct 515 multi-family housing	-2		
137006 Direct 504 housing repair	-11		
137008 Direct 514 farm labor housing	-1		
137011 Single family credit sales	-4		
137012 Multi-family credit sales	-1		
137901 Total downward reestimate budget authority	-329		
Direct loan downward reestimate subsidy outlays:			
138001 Direct 502 single family housing	-310		
138004 Direct 515 multi-family housing	-2		
138006 Direct 504 housing repair	-11		
138008 Direct 514 farm labor housing	-1		
138011 Single family credit sales	-4		
138012 Multi-family credit sales	-1		
138901 Total downward reestimate subsidy outlays	-329		
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Guaranteed 502 single family housing—unsubsidized	3,136	3,138	2,750
215002 Guarantee 538 multi-family housing	100	100	100
215901 Total loan guarantee levels	3,236	3,238	2,850
Guaranteed loan subsidy (in percent):			
232001 Guaranteed 502 single family housing—unsubsidized	0.20	1.28	0.72
232002 Guarantee 538 multi-family housing	1.52	3.93	4.50
232901 Weighted average subsidy rate	0.28	1.36	0.84
Guaranteed loan subsidy budget authority:			
233001 Guaranteed 502 single family housing—unsubsidized	7	40	20
233002 Guarantee 538 multi-family housing	2	4	4
233901 Total subsidy budget authority	9	44	24
Guaranteed loan subsidy outlays:			
234001 Guaranteed 502 single family housing—unsubsidized	5	29	24
234002 Guarantee 538 multi-family housing	-2	2	
234901 Total subsidy outlays	3	31	24

Guaranteed loan upward reestimate subsidy budget authority:			
235001	Guaranteed 502 single family housing—unsubsidized	184	
235002	Guarantee 538 multi-family housing		
235901	Total upward reestimate budget authority	184	
Guaranteed loan upward reestimate subsidy outlays:			
236001	Guaranteed 502 single family housing—unsubsidized	184	
236002	Guarantee 538 multi-family housing		
236901	Total upward reestimate subsidy outlays	184	
Guaranteed loan downward reestimate subsidy budget authority:			
237001	Guaranteed 502 single family housing—unsubsidized	-6	
237002	Guarantee 538 multi-family housing		
237901	Total downward reestimate subsidy budget authority	-6	
Guaranteed loan downward reestimate subsidy outlays:			
238001	Guaranteed 502 single family housing—unsubsidized	-6	
238002	Guarantee 538 multi-family housing		
238901	Total downward reestimate subsidy outlays	-6	
Administrative expense data:			
351001	Budget authority	408	422 456
358001	Outlays from balances		
359001	Outlays from new authority	408	422 456

Rural housing insurance fund—This fund was established in 1965 (Public Law 89-117) pursuant to section 517 of title V of the Housing Act of 1949, as amended.

The programs funded through the Rural Housing Insurance Fund Program account are: section 502 very low and low to moderate income homeownership loans and guarantees; section 504 very low-income housing repair loans; section 515 rural rental housing loans; section 524 housing site loans, single family and multi-family housing credit sales of acquired property, and section 538 multi-family housing guarantees. The section 523 self-help housing land development loan program was included under this heading beginning in 1997. Previously, this loan program was accounted for under the separate heading of "Self-Help Housing Land Development Fund Program Account." Starting in 2001, section 514 domestic farm labor housing loans and grants are funded under the new Farm Labor Program Account in order to provide flexibility between loans and the farm labor housing grants.

Loan programs are limited to rural areas that include towns, villages, and other places which are not part of an urban area and that have a population not in excess of 2,500 inhabitants, or is in excess of 2,500 but not in excess of 10,000 if rural in character, or has a population in excess of 10,000 but not more than 20,000 and is not within a standard metropolitan statistical area and has a serious lack of mortgage credit for low- and moderate-income borrowers.

For 2003, funds for section 515 rural rental housing loans will be limited to repair and rehabilitation only and \$60 million is included for this purpose. This is a change from the 2002 budget; it emphasizes the need for repair and rehabilitation of existing rural rental housing. During the hiatus of providing new construction, RHS will study its multifamily housing portfolio and determine ways to operate and manage the portfolio more efficiently so that new construction may be provided in future years at less cost to the taxpayers.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond (including credit sales of acquired property), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

Object Classification (in millions of dollars)			
Identification code 12-2081-0-1-371	2001 actual	2002 est.	2003 est.
25.3	Other purchases of goods and services from Government accounts		
	408	269	249
41.0	Grants, subsidies, and contributions		
	705	422	456
99.9	Total new obligations		
	1,113	691	705

RURAL HOUSING INSURANCE FUND DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)			
Identification code 12-4215-0-3-371	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Operating program:			
00.01	Direct loans including upward adjustments of prior year obligations		
	1,276	1,327	1,110
00.02	Advances on behalf of borrowers		
	37	90	91
00.03	Collateral acquired by default		
	4	10	10
00.04	Interest on Treasury borrowing		
	629	671	712
00.06	Other expenses		
	9	10	11
00.91	Subtotal, Operating program		
	1,955	2,108	1,934
Reestimates:			
08.02	Downward subsidy reestimate paid to receipt account		
	199		
08.04	Interest on downward reestimate paid to receipt account		
	129		
08.91	Subtotal, Reestimates		
	328		
10.00	Total new obligations		
	2,283	2,108	1,934
Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year		
	94	109	
22.00	New financing authority (gross)		
	2,286	2,106	1,934
22.10	Resources available from recoveries of prior year obligations		
	99		
22.60	Portion applied to repay debt		
		-109	
22.70	Balance of authority to borrow withdrawn		
	-87		
23.90	Total budgetary resources available for obligation		
	2,392	2,106	1,934
23.95	Total new obligations		
	-2,283	-2,108	-1,934
24.40	Unobligated balance carried forward, end of year		
	109		
New financing authority (gross), detail:			
Discretionary:			
47.00	Authority to borrow		
	1,049	1,050	849
Spending authority from offsetting collections:			
68.00	Offsetting collections (cash)		
	1,572	1,437	1,590
68.10	Change in uncollected customer payments from Federal sources (unexpired)		
	41	-1	-16
68.47	Portion applied to repay debt		
	-376	-380	-489
68.90	Spending authority from offsetting collections (total discretionary)		
	1,237	1,056	1,085
70.00	Total new financing authority (gross)		
	2,286	2,106	1,934
Change in obligated balances:			
72.40	Unpaid obligations, fund balance with Treasury, start of year		
	534	489	525
73.10	Total new obligations		
	2,283	2,108	1,934
73.20	Total financing disbursements (gross)		
	-2,188	-2,071	-1,984
73.45	Recoveries of prior year obligations		
	-99		
74.00	Change in uncollected customer payments from Federal sources (unexpired)		
	-41	1	16
74.40	Obligated balance, end of year		
	489	525	491
87.00	Total financing disbursements (gross)		
	2,188	2,071	1,984
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00	Federal sources: payments from program account		
	-482	-233	-259
88.25	Interest on uninvested funds		
	-77	-84	-86
Non-Federal sources:			
88.40	Non-Federal sources: Repayments of principal		
	-493	-550	-615
88.40	Interest received on loans		
	-456	-500	-550
88.40	Payments on judgments		
	-8	-9	-10

Credit accounts—Continued

RURAL HOUSING INSURANCE FUND DIRECT LOAN FINANCING ACCOUNT—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 12-4215-0-3-371		2001 actual	2002 est.	2003 est.
88.40	Proceeds on sale of acquired property	-15	-29	-30
88.40	Recaptured income	-15	-21	-29
88.40	Fees	-7	-3	-3
88.40	Miscellaneous collections	-19	-8	-8
88.90	Total, offsetting collections (cash)	-1,572	-1,437	-1,590
	Against gross financing authority only:			
88.95	Change in receivables from program accounts	-41	1	16
Net financing authority and financing disbursements:				
89.00	Financing authority	673	670	360
90.00	Financing disbursements	616	634	394

Status of Direct Loans (in millions of dollars)

Identification code 12-4215-0-3-371		2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:				
1111	Limitation on direct loans	1,263	1,277	1,110
1121	Limitation available from carry-forward	59	51
1131	Direct loan obligations exempt from limitation	5
1142	Unobligated direct loan limitation (-)	-12
1143	Unobligated limitation carried forward (P.L. xx) (-)	-39
1150	Total direct loan obligations	1,276	1,328	1,110
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	11,053	11,697	12,421
1231	Disbursements: Direct loan disbursements	1,212	1,290	1,160
1251	Repayments: Repayments and prepayments	-501	-550	-615
1261	Adjustments: Capitalized interest	14	28	31
Write-offs for default:				
1263	Direct loans	-71	-32	-34
1264	Other adjustments, net	-10	-12	-15
1290	Outstanding, end of year	11,697	12,421	12,948

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond including credit sales of acquired property. The amounts in this account are a means of financing and are not included in the budget totals.

This account finances direct rural housing loans for: section 502 very low- and low-to-moderate-income home ownership loan program; section 504 very low income housing repair loan program; section 514 domestic farm labor housing loan program; section 515 rural rental housing loan program; sections 523 self-help housing loans, and 524 site development loans; and single family and multi-family housing credit sales of acquired property.

Loan programs are limited to rural areas that include towns, villages and other places which are not part of an urban area and that have a population not in excess of 2,500 inhabitants, or is in excess of 2,500 but not in excess of 10,000 if rural in character, or has a population in excess of 10,000 but not more than 20,000 and is not within a standard metropolitan statistical area and has a serious lack of mortgage credit for low and moderate-income borrowers.

Balance Sheet (in millions of dollars)

Identification code 12-4215-0-3-371		2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:					
Federal assets:					
1101	Fund balances with Treasury	202	245	174	172
Investments in US securities:					
1106	Receivables, net	170	211	210	195
Net value of assets related to post-1991 direct loans receivable:					
1401	Direct loans receivable, gross	11,053	11,697	12,421	12,948

1402	Interest receivable	46	65	69	73
1404	Foreclosed property	15	18	24	26
1405	Allowance for subsidy cost (-)	-2,665	-2,693	-2,794	-2,963
1499	Net present value of assets related to direct loans	8,449	9,087	9,720	10,084
1999	Total assets	8,821	9,543	10,104	10,451
LIABILITIES:					
Federal liabilities:					
2103	Debt	8,607	9,267	9,828	10,188
2104	Liability for subsidy related to undistributed loans	170	211	208	191
2105	Other	5	5	5	5
2207	Non-Federal liabilities: Other	39	60	63	67
2999	Total liabilities	8,821	9,543	10,104	10,451
4999	Total liabilities and net position	8,821	9,543	10,104	10,451

RURAL HOUSING INSURANCE FUND GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4216-0-3-371		2001 actual	2002 est.	2003 est.
Obligations by program activity:				
00.01	Default claims	64	85	99
00.02	Interest assistance paid to lenders	2	3
00.91	Subtotal, Operating program	64	87	102
Reestimates:				
08.02	Downward subsidy reestimates paid to receipt account	5
08.04	Interest on downward reestimates paid to receipt account	1
08.91	Subtotal, Reestimates	6
10.00	Total new obligations	70	87	102
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	195	369	401
22.00	New financing authority (gross)	244	119	98
23.90	Total budgetary resources available for obligation	439	488	499
23.95	Total new obligations	-70	-87	-102
24.40	Unobligated balance carried forward, end of year	369	401	397

New financing authority (gross), detail:

Spending authority from offsetting collections:				
Discretionary:				
68.00	Offsetting collections (cash)	241	106	98
68.10	Change in uncollected customer payments from Federal sources (unexpired)	3	13
68.90	Spending authority from offsetting collections (total discretionary)	244	119	98

Change in obligated balances:

72.40	Obligated balance, start of year	-3	-16
73.10	Total new obligations	70	87	102
73.20	Total financing disbursements (gross)	-70	-87	-102
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-3	-13
74.40	Obligated balance, end of year	-3	-16	-16
87.00	Total financing disbursements (gross)	70	87	102

Offsets:

Against gross financing authority and financing disbursements:				
Offsetting collections (cash) from:				
88.00	Federal sources	-187	-31	-24
88.25	Interest on uninvested funds	-18	-23	-24
88.40	Non-Federal sources: guarantee fees	-36	-52	-50
88.90	Total, offsetting collections (cash)	-241	-106	-98
Against gross financing authority only:				
88.95	Change in receivables from program accounts	-3	-13

Net financing authority and financing disbursements:

89.00	Financing authority
90.00	Financing disbursements	-171	-19	4

Status of Guaranteed Loans (in millions of dollars)

Identification code 12-4216-0-3-371	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders	3,236	3,238	2,850
2121 Limitation available from carry-forward	31	12	
2142 Uncommitted loan guarantee limitation	-894		
2143 Uncommitted limitation carried forward	-31		
2150 Total guaranteed loan commitments	2,342	3,250	2,850
2199 Guaranteed amount of guaranteed loan commitments	2,108	2,925	2,565
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	11,299	12,673	14,588
2231 Disbursements of new guaranteed loans	2,171	2,817	2,751
2251 Repayments and prepayments	-698	-817	-954
Adjustments:			
2263 Terminations for default that result in claim payments	-64	-85	-99
2264 Other adjustments, net	-35		
2290 Outstanding, end of year	12,673	14,588	16,286
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	11,405	13,805	15,606

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from guaranteed loan commitments made in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

This account finances the unsubsidized guaranteed section 502 low-to-moderate-income home ownership loan program and section 538 multi-family housing loan program. The guaranteed programs enable RHS to utilize private sector resources for the making and servicing of loans while the Agency provides a financial guarantee to encourage private sector activity.

Balance Sheet (in millions of dollars)

Identification code 12-4216-0-3-371	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	195	366	386	382
Investments in US securities:				
1106 Receivables, net	184	3	16	16
1999 Total assets	379	369	402	398
LIABILITIES:				
Non-Federal liabilities:				
2204 Liabilities for loan guarantees	379	366	386	382
2207 Other		3	16	16
2999 Total liabilities	379	369	402	398
4999 Total liabilities and net position	379	369	402	398

RURAL HOUSING INSURANCE FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4141-0-3-371	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Capital investment:			
00.02 Advances on behalf of borrowers	79	77	87
00.05 Collateral acquired by default		1	1
00.06 Judgments		1	1
00.91 Total capital investment	79	79	89
Operating expenses:			
01.03 Interest on FFB borrowings	514	280	256
01.04 Premiums paid FFB at redemption of certificates of beneficial ownership	142	122	65
01.06 Interest credits on loans sold to investors	1	1	1

01.07 Other costs incident to loans	4	4	3
01.91 Total operating expenses	661	407	325
10.00 Total new obligations	740	486	414

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year		92	
22.00 New budget authority (gross)	832	486	414
22.10 Resources available from recoveries of prior year obligations	46		
22.40 Capital transfer to general fund		-92	
22.60 Portion applied to repay debt	-46		
23.90 Total budgetary resources available for obligation	832	486	414
23.95 Total new obligations	-740	-486	-414
24.40 Unobligated balance carried forward, end of year	92		

New budget authority (gross), detail:

Mandatory:			
69.00 Offsetting collections (cash)	1,951	1,733	1,627
69.27 Capital transfer to general fund		-177	-138
69.47 Portion applied to repay debt	-1,119	-1,070	-1,075
69.90 Spending authority from offsetting collections (total mandatory)	832	486	414

Change in obligated balances:

72.40 Unpaid fund balance with treasury, end of year	387	341	246
73.10 Total new obligations	740	486	414
73.20 Total outlays (gross)	-740	-581	-440
73.45 Recoveries of prior year obligations	-46		
74.40 Obligated balance, end of year	341	246	220

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	624	365	311
86.98 Outlays from mandatory balances	116	216	129
87.00 Total outlays (gross)	740	581	440

Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-15		
Non-Federal sources:			
88.40 Repayments of loans and advances	-998	-877	-826
88.40 Proceeds from sale of acquired property	-33	-34	-31
88.40 Payments on judgments	-11	-12	-13
88.40 Interest payments from borrowers	-735	-671	-612
88.40 Undistributed receipts, deposit fund	-20		
88.40 Recapture of subsidies	-96	-124	-138
88.40 Income from residual investment in loan asset sale	-41	-14	-7
88.40 Fees and other revenue	-2	-1	
88.90 Total, offsetting collections (cash)	-1,951	-1,733	-1,627

Net budget authority and outlays:

89.00 Budget authority	-1,119	-1,247	-1,213
90.00 Outlays	-1,211	-1,152	-1,187

Status of Direct Loans (in millions of dollars)

Identification code 12-4141-0-3-371	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	17,366	16,183	15,194
1251 Repayments: Repayments and prepayments	-988	-877	-826
1261 Adjustments: Capitalized interest	33	22	22
Write-offs for default:			
1263 Direct loans	-143	-107	-100
1264 Other adjustments, net	-85	-27	-8
1290 Outstanding, end of year	16,183	15,194	14,282

Status of Guaranteed Loans (in millions of dollars)

Identification code 12-4141-0-3-371	2001 actual	2002 est.	2003 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	20	18	16
2251 Repayments and prepayments	-2	-2	-1
2290 Outstanding, end of year	18	16	15

Credit accounts—Continued

RURAL HOUSING INSURANCE FUND LIQUIDATING ACCOUNT—
Continued

Status of Guaranteed Loans (in millions of dollars)—Continued

Identification code 12-4141-0-3-371	2001 actual	2002 est.	2003 est.
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	17	16	14

As required by the Federal Credit Reform Act of 1990, this account records, for this program, all cash flows to and from the Government resulting from direct loans obligated and loan guarantees committed prior to 1992. New loan activity in 1992 and beyond is recorded in corresponding program and financing accounts.

Statement of Operations (in millions of dollars)

Identification code 12-4141-0-3-371	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	890	876	696	674
0102 Expense	-659	-813	-541	-456
0105 Net income or loss (-)	231	63	155	218

Balance Sheet (in millions of dollars)

Identification code 12-4141-0-3-371	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	387	433	246	220
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross	17,366	16,183	15,194	14,282
1602 Interest receivable	401	546	562	552
1603 Allowance for estimated uncollectible loans and interest (-)	-5,460	-3,045	-2,868	-2,700
1604 Direct loans and interest receivable, net	12,307	13,683	12,888	12,134
1606 Foreclosed property	48	49	45	42
1699 Value of assets related to direct loans	12,355	13,732	12,933	12,176
1901 Other Federal assets: Other assets	3	3	3	3
1999 Total assets	12,745	14,168	13,182	12,399
LIABILITIES:				
Federal liabilities:				
2102 Interest payable	297	264	170	144
2103 Debt	5,542	4,375	3,305	2,230
2104 Resources payable to Treasury	6,816	9,415	9,595	9,913
Non-Federal liabilities:				
2203 Debt	2	2	1	1
2204 Liabilities for loan guarantees	2	4	3	3
2207 Other	86	108	108	108
2999 Total liabilities	12,745	14,168	13,182	12,399
4999 Total liabilities and net position	12,745	14,168	13,182	12,399

Object Classification (in millions of dollars)

Identification code 12-4141-0-3-371	2001 actual	2002 est.	2003 est.
25.2 Other services	4	4	3
33.0 Investments and loans	79	79	89
41.0 Grants, subsidies, and contributions	1	1	1
43.0 Interest and dividends	656	402	321
99.9 Total new obligations	740	486	414

RURAL BUSINESS-COOPERATIVE SERVICE

Federal Funds

General and special funds:

[RURAL EMPOWERMENT ZONES AND ENTERPRISE COMMUNITY GRANTS]

[For grants in connection with a second round of empowerment zones and enterprise communities, \$14,967,000, to remain available until expended, for designated rural empowerment zones and rural enterprise communities, as authorized by the Taxpayer Relief Act of 1997 and the Omnibus Consolidated and Emergency Supplemental Appropriations Act, 1999 (Public Law 105-277).] (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 12-0402-0-1-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	14	15	14
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	13	14	14
22.00 New budget authority (gross)	15	15
23.90 Total budgetary resources available for obligation	28	29	14
23.95 Total new obligations	-14	-15	-14
24.40 Unobligated balance carried forward, end of year	14	14
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	15	15
Change in obligated balances:			
72.40 Obligated balance, start of year	11	13	13
73.10 Total new obligations	14	15	14
73.20 Total outlays (gross)	-12	-15	-16
74.40 Obligated balance, end of year	13	13	12
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	5	5
86.93 Outlays from discretionary balances	7	10	17
87.00 Total outlays (gross)	12	15	16
Net budget authority and outlays:			
89.00 Budget authority	15	15
90.00 Outlays	12	15	16

The goal of the Empowerment Zone/Enterprise Community (EZ/EC) initiative is to revitalize rural communities in a manner that attracts private sector investment and thereby provides self-sustaining community and economic development. Appropriated funding in 1999 through 2002 was provided for EZ/EC's designated as part of the second round of this initiative. No additional funds are requested in 2003 because sufficient carryover balances from previous appropriations are available to fund current needs.

The flexible grant funding is available for a wide variety of community and economic development purposes that link human capital needs with economic development initiatives. The purposes may include revolving loan funds for business capitalization or community development, job training and job counseling, infrastructure investment, home ownership and home ownership counseling, health care and related facilities, child care and administrative costs linked to redevelopment efforts.

Similar to the first round, the second round was a multi-year effort based on a comprehensive development plan involving community residents, the private sector, the non-profit community and local, State and Federal governments. Experience from the initial round of urban and rural designations demonstrated significant successes that are stimulating billions of dollars in private sector investment, reviving communities that had given up hope for economic opportunity and

creating thousands of jobs, moving people from dependency to active participation in the economy.

SALARIES AND EXPENSES
(RURAL DEVELOPMENT ADMINISTRATION)

Program and Financing (in millions of dollars)

Identification code 12-3400-0-1-452	2001 actual	2002 est.	2003 est.
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	6		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	6		

Beginning in 1995, programs and services formerly provided by the Rural Development Administration are included in other Department accounts.

RURAL COOPERATIVE DEVELOPMENT GRANTS

For rural cooperative development grants authorized under section 310B(e) of the Consolidated Farm and Rural Development Act (7 U.S.C. 1932), **[\$7,750,000] \$9,000,000**, of which **[\$2,500,000] \$2,000,000** shall be **[available]** for cooperative agreements for the appropriate technology transfer for rural areas program¹: *Provided*, That not to exceed \$1,497,000 of the total amount appropriated; of which not to exceed \$1,500,000 shall be **[made available to]** for cooperatives or associations of cooperatives whose primary focus is to provide assistance to small, minority producers **[and whose governing board and/or membership is comprised of at least 75 percent minority]**; of which not to exceed \$500,000 shall be for cooperative research agreements; and of which not to exceed \$2,000,000 shall be for cooperative research agreements for cooperative energy alternatives. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 12-1900-0-1-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Rural cooperative development grants	6	5	7
00.02 Appropriate technology transfer for rural areas	2	3	2
00.03 Value-added agricultural product marketing	25		
10.00 Total new obligations (object class 41.0)	33	8	9
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	33	8	9
23.95 Total new obligations	-33	-8	-9
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	16	8	9
Mandatory:			
60.00 Appropriation	15		
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	2		
70.00 Total new budget authority (gross)	33	8	9
Change in obligated balances:			
72.40 Obligated balance, start of year	6	34	15
73.10 Total new obligations	33	8	9
73.20 Total outlays (gross)	-5	-26	-14
74.40 Obligated balance, end of year	34	15	10
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2	2	2
86.93 Outlays from discretionary balances	4	14	10
86.98 Outlays from mandatory balances		11	4
87.00 Total outlays (gross)	5	26	14

Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-2		
Net budget authority and outlays:			
89.00 Budget authority	31	8	9
90.00 Outlays	3	26	14

Grants for rural cooperative development were authorized under section 310B(e) of the Consolidated Farm and Rural Development Act by Public Law 104-127, April 4, 1996. These grants are made available to nonprofit corporations and institutions of higher education to fund the establishment and operation of centers for rural cooperative development. The primary purpose of the centers is the improvement of economic conditions of rural areas through the development of new cooperatives and improving operations of existing cooperatives. RBS can fund up to 75 percent of any project and associated administrative costs and requires at least a 25 percent matching share from the applicant which must be from non-Federal sources.

The Appropriate Technology Transfer to Rural Areas (ATTRA) program was first authorized by the Food Security Act of 1985. The program provides information and technical assistance to agricultural producers to adopt sustainable agricultural practices that are environmentally friendly and lower production costs.

Funds are requested for cooperative research agreements to help the Rural Development mission area maintain a predictable level of research on agricultural and non-agricultural cooperative issues. Funds are requested for cooperative research agreements for cooperative energy alternatives. These cooperative research agreements will be made available to universities and appropriate nonprofit program organizations to determine how the cooperative form of business can be adopted to increasing domestic fuel supplies, both traditional and alternative, while increasing economic returns to farmers.

RURAL ECONOMIC DEVELOPMENT GRANTS

Program and Financing (in millions of dollars)

Identification code 12-3105-0-1-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	3	4	4
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	7	9	9
22.00 New budget authority (gross)	4	4	3
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	12	13	12
23.95 Total new obligations	-3	-4	-4
24.40 Unobligated balance carried forward, end of year	9	9	8
New budget authority (gross), detail:			
Mandatory:			
Offsetting collections (cash):			
69.00 Offsetting collections (cash)	1	3	3
69.00 Offsetting collections (cash)	1		
69.10 Change in uncollected customer payments from Federal sources (unexpired)	2	1	
69.90 Spending authority from offsetting collections (total mandatory)	4	4	3
Change in obligated balances:			
72.40 Obligated balance, start of year	6		-3
73.10 Total new obligations	3	4	4
73.20 Total outlays (gross)	-7	-6	-4
73.45 Recoveries of prior year obligations	-1		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-2	-1	
74.40 Obligated balance, end of year	-3		-3

General and special funds—Continued

RURAL ECONOMIC DEVELOPMENT GRANTS—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 12-3105-0-1-452	2001 actual	2002 est.	2003 est.
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority		4	3
86.98 Outlays from mandatory balances	7	4	1
87.00 Total outlays (gross)	7	6	4
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-2	-3	-3
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-2	-1	
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	5	3	1

This grant program is authorized under section 313 of the Rural Electrification Act, as amended, and provides funds for the purpose of promoting rural economic development and job creation projects, including funding for project feasibility studies, start-up costs, incubator projects and other expenses for the purpose of fostering rural development.

Funding for this program is provided from the interest differential on Rural Utilities Service borrowers' cushion of credit accounts.

NATIONAL SHEEP INDUSTRY IMPROVEMENT CENTER

Program and Financing (in millions of dollars)

Identification code 12-1906-0-1-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	9	1	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	9	5	5
22.00 New budget authority (gross)	5	1	
23.90 Total budgetary resources available for obligation	14	6	5
23.95 Total new obligations	-9	-1	
24.40 Unobligated balance carried forward, end of year	5	5	5
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	5	1	
Change in obligated balances:			
72.40 Obligated balance, start of year		2	
73.10 Total new obligations	9	1	
73.20 Total outlays (gross)	-7	-3	
74.40 Obligated balance, end of year	2		
Outlays (gross), detail:			
86.98 Outlays from mandatory balances	7	3	
Net budget authority and outlays:			
89.00 Budget authority	5	1	
90.00 Outlays	7	3	

The Federal Agriculture Improvement Act of 1996 established the National Sheep Industry Improvement Center to promote activities to strengthen and enhance production or marketing of sheep and goat products in the United States. The Center may provide loans or grants to eligible entities to provide assistance to the industry for infrastructure development, business development, production, resource development, and market and environmental research. The 1996 Act provided \$20 million in mandatory funding for the establish-

ment and operation of the Center and authorized additional discretionary funding of \$30 million. In 2000, \$10 million was granted to an intermediary to provide assistance to the sheep and lamb industry. An additional \$5 million was provided in 2001 to help the domestic lamb industry adjust to foreign competition. In 2002, an additional \$1 million was provided. No additional funds are requested in 2003.

Credit accounts:

RURAL BUSINESS AND INDUSTRY DIRECT LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4223-0-3-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Operating program:			
00.01 Direct loans	50		
00.02 Interest on Treasury borrowings	6	8	4
00.91 Subtotal, Operating program	56	8	4
08.01 Negative subsidy paid to receipt account	1		
10.00 Total new obligations	57	8	4
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		10	
22.00 New financing authority (gross)	68	12	22
22.10 Resources available from recoveries of prior year obligations	1		
22.70 Balance of authority to borrow withdrawn	-1	-14	-18
23.90 Total budgetary resources available for obligation	68	8	4
23.95 Total new obligations	-57	-8	-4
24.40 Unobligated balance carried forward, end of year	10		
New financing authority (gross), detail:			
Mandatory:			
67.10 Authority to borrow	48		
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	45	14	24
68.10 Change in uncollected customer payments from Federal sources (unexpired)	2	-2	-2
68.47 Portion applied to repay debt	-27		
68.90 Spending authority from offsetting collections (total discretionary)	20	12	22
70.00 Total new financing authority (gross)	68	12	22
Change in obligated balances:			
72.40 Obligated balance, start of year	28	45	21
73.10 Total new obligations	57	8	4
73.20 Total financing disbursements (gross)	-36	-34	-34
73.45 Recoveries of prior year obligations	-1		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-2	2	2
74.40 Obligated balance, end of year	45	21	-7
87.00 Total financing disbursements (gross)	36	34	34
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Federal sources	-36		
88.25 Interest on uninvested funds	-2	-4	-6
Non-Federal sources:			
88.40 Repayments of principal	-7	-6	-6
88.40 Interest received on loans		-4	-12
88.90 Total, offsetting collections (cash)	-45	-14	-24
Against gross financing authority only:			
88.95 Change in receivables from program accounts	-2	2	2
Net financing authority and financing disbursements:			
89.00 Financing authority	21		
90.00 Financing disbursements	-8	20	10

Status of Direct Loans (in millions of dollars)

Identification code 12-4223-0-3-452	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans	50		
1150 Total direct loan obligations	50		
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	59	82	106
1231 Disbursements: Direct loan disbursements	27	30	6
1251 Repayments: Repayments and prepayments	-3	-6	-6
1263 Write-offs for default: Direct loans	-1		
1290 Outstanding, end of year	82	106	106

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals. The subsidy cost of these programs is funded through the Rural Community Advancement Program. Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account.

Direct business and industry loans are made to public, private, or cooperative organizations, Indian tribes or tribal groups, corporate entities, or individuals for the purpose of improving the economic climate in rural areas. No funds were requested or provided for this program in 2002, and no program is proposed in 2003.

Balance Sheet (in millions of dollars)

Identification code 12-4223-0-3-452	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	25	11	14	14
Investments in US securities:				
1106 Receivables, net		10		
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	59	82	106	106
1402 Interest receivable	3	4	5	5
1405 Allowance for subsidy cost (-)		-31		
1499 Net present value of assets related to direct loans	62	55	111	111
1999 Total assets	87	76	125	125
LIABILITIES:				
Federal liabilities:				
2101 Accounts payable	4	1	5	5
2104 Resources payable to Treasury	83	71	120	120
2105 Other		4		
2999 Total liabilities	87	76	125	125
4999 Total liabilities and net position	87	76	125	125

RURAL BUSINESS AND INDUSTRY GUARANTEED LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4227-0-3-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Guaranteed loan costs:			
00.01 Default claims	27	50	50
00.02 Purchases from secondary market	80		
00.03 Interest to Treasury	1	14	14
00.91 Subtotal, Guaranteed loan costs	108	64	64
Reestimates:			
08.02 Downward reestimate	19		
08.04 Interest on downward reestimate	6		
08.91 Subtotal, Reestimates	25		

10.00 Total new obligations	133	64	64
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Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	88	91	92
22.00 New financing authority (gross)	142	65	65
22.60 Portion applied to repay debt	-5		
23.90 Total budgetary resources available for obligation	225	156	157
23.95 Total new obligations	-133	-64	-64
24.40 Unobligated balance carried forward, end of year	91	92	93

New financing authority (gross), detail:

Discretionary:			
47.00 Authority to borrow	12		
Spending authority from offsetting collections: Offsetting collections (cash)			
68.00	130	65	65
70.00 Total new financing authority (gross)	142	65	65

Change in obligated balances:

73.10 Total new obligations	133	64	64
73.20 Total financing disbursements (gross)	-133	-64	-64
87.00 Total financing disbursements (gross)	133	64	64

Offsets:

Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
Federal sources:			
88.00	-102	-18	-18
88.25 Interest on uninvested funds	-6	-8	-8
Non-Federal sources:			
88.40 Interest and principal on purchased loans from secondary market	-22	-8	-8
88.40 Guarantee fees		-31	-31
88.90 Total, offsetting collections (cash)	-130	-65	-65

Net financing authority and financing disbursements:

89.00 Financing authority	12		
90.00 Financing disbursements	4	-1	-1

Status of Guaranteed Loans (in millions of dollars)

Identification code 12-4227-0-3-452	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders	2,383	733	733
2121 Limitation available from carry-forward	709	419	
2142 Uncommitted loan guarantee limitation	-126		
2143 Uncommitted limitation carried forward	-1,890		
2150 Total guaranteed loan commitments	1,076	1,152	733
2199 Guaranteed amount of guaranteed loan commitments	850	895	579
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	3,180	3,504	4,957
2231 Disbursements of new guaranteed loans	809	1,777	1,294
2251 Repayments and prepayments	-451	-274	-336
2263 Adjustments: Terminations for default that result in claim payments	-34	-50	-50
2290 Outstanding, end of year	3,504	4,957	5,865

Memorandum:

2299 Guaranteed amount of guaranteed loans outstanding, end of year	3,504	4,957	5,865
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As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from guaranteed loans committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals. The subsidy cost of this program is funded through the Rural Community Advancement Program. Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account.

This account finances loan guarantee commitments for industrial development in rural areas.

Credit accounts—Continued

**RURAL BUSINESS AND INDUSTRY GUARANTEED LOANS FINANCING
ACCOUNT—Continued**

Balance Sheet (in millions of dollars)

Identification code 12-4227-0-3-452	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	88	91	133	133
Investments in US securities:				
1106 Receivables, net	141	99	92	92
1999 Total assets	229	190	225	225
LIABILITIES:				
Federal liabilities:				
2101 Accounts payable	25			
2104 Resources payable to Treasury	9	16	9	9
Non-Federal liabilities: Liabilities for loan guarantees				
2204	195	174	216	216
2999 Total liabilities	229	190	225	225
4999 Total liabilities and net position	229	190	225	225

**RURAL DEVELOPMENT LOAN FUND PROGRAM ACCOUNT
(INCLUDING TRANSFER OF FUNDS)**

For the principal amount of direct loans, as authorized by the Rural Development Loan Fund (42 U.S.C. 9812(a)), **[\$38,171,000] \$40,000,000.**

For the cost of direct loans, **[\$16,494,000] \$19,304,000**, as authorized by the Rural Development Loan Fund (42 U.S.C. 9812(a)), of which \$1,724,000 shall be available through June 30, 2003, for Federally Recognized Native American Tribes and of which \$3,449,000 shall be available through June 30, 2003, for Mississippi Delta Region counties (as defined by Public Law 100-460): *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That of the total amount appropriated, \$2,730,000 shall be available through June 30, [2002] 2003, for the cost of direct loans for authorized empowerment zones and enterprise communities and communities designated by the Secretary of Agriculture as Rural Economic Area Partnership Zones.

In addition, for administrative expenses to carry out the direct loan programs, **[\$3,733,000] \$4,290,000** shall be transferred to and merged with the appropriation for "Rural Development, Salaries and Expenses". (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 12-2069-0-1-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct loan subsidy	19	16	19
00.05 Reestimates of direct loan subsidy	3		
00.06 Interest on reestimates of direct loan subsidy	1		
00.09 Administrative expense	4	4	4
10.00 Total new obligations	27	20	24
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1		
22.00 New budget authority (gross)	30	20	24
22.70 Balance of authority to borrow withdrawn	-1		
23.90 Total budgetary resources available for obligation	30	20	24
23.95 Total new obligations	-27	-20	-24
23.98 Unobligated balance expiring or withdrawn	-3		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	23	20	24
Mandatory:			
60.00 Appropriation	4		
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	3		
70.00 Total new budget authority (gross)	30	20	24

Change in obligated balances:

72.40 Obligated balance, start of year	51	51	46
73.10 Total new obligations	27	20	24
73.20 Total outlays (gross)	-27	-25	-25
74.40 Obligated balance, end of year	51	46	44

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	5	5	6
86.93 Outlays from discretionary balances	18	20	19
86.97 Outlays from new mandatory authority	4		
87.00 Total outlays (gross)	27	25	25

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources—FRA	-3		

Net budget authority and outlays:

89.00 Budget authority	27	20	24
90.00 Outlays	24	25	25

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 12-2069-0-1-452	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Rural development loan fund program	38	38	40
115901 Total direct loan levels	38	38	40
Direct loan subsidy (in percent):			
132001 Rural Development Loan Fund Program	50.91	43.21	48.26
132901 Weighted average subsidy rate	50.91	43.21	48.26
Direct loan subsidy budget authority:			
133001 Rural Development Loan Fund Program	19	16	19
133901 Total subsidy budget authority	19	16	19
Direct loan subsidy outlays:			
134001 Rural Development Loan Fund Program	19	21	21
134901 Total subsidy outlays	19	21	21
Direct loan upward reestimate subsidy budget authority:			
135001 Rural Development Loan Fund Program	4		
135901 Total upward reestimate budget authority	4		
Direct loan upward reestimate subsidy outlays:			
136001 Rural Development Loan Fund Program	4		
136901 Total upward reestimate outlays	4		
Direct loan downward reestimate subsidy budget authority:			
137001 Rural Development Loan Fund Program	-4		
137901 Total downward reestimate budget authority	-4		
Direct loan downward reestimate subsidy outlays:			
138001 Rural Development Loan Fund Program	-4		
138901 Total downward reestimate subsidy outlays	-4		
Administrative expense data:			
351001 Budget authority	4	4	4
359001 Outlays from new authority	4	4	4

This account finances loans to intermediary borrowers, who in turn relend the funds to small rural businesses, community development corporations, and other organizations for the purpose of improving economic opportunities in rural areas. Through the use of local intermediaries, this program serves small-scale enterprises and gives preference to those communities with the greatest need. In 2003 the Budget provides \$40 million in loans for this purpose.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond, as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

Object Classification (in millions of dollars)			
Identification code 12-2069-0-1-452	2001 actual	2002 est.	2003 est.
25.3 Other purchases of goods and services from Government accounts	4	4	4
41.0 Grants, subsidies, and contributions	22	16	20
43.0 Interest and dividends	1		
99.9 Total new obligations	27	20	24

RURAL DEVELOPMENT LOAN FUND DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)			
Identification code 12-4219-0-3-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Operating program:			
00.01 Direct loans	39	38	40
00.03 Interest on Treasury borrowing	12	20	23
00.91 Subtotal, Operating program	51	58	63
Non-operating program:			
08.02 Downward subsidy reestimates paid to the receipt account	3		
08.04 Interest on downward reestimate paid to receipt account	1		
08.91 Subtotal, Non-operating program	4		
10.00 Total new obligations	55	58	63
Budgetary resources available for obligation:			
22.00 New financing authority (gross)	55	58	63
23.95 Total new obligations	-55	-58	-63

New financing authority (gross), detail:			
Discretionary:			
47.00 Authority to borrow	19	16	13
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	37	38	40
68.10 Change in uncollected customer payments from Federal sources (unexpired)		-5	-1
68.47 Portion applied to repay debt	-1	9	11
68.90 Spending authority from offsetting collections (total discretionary)	36	42	50
70.00 Total new financing authority (gross)	55	58	63
Change in obligated balances:			
72.40 Obligated balance, start of year	53	52	52
73.10 Total new obligations	55	58	63
73.20 Total financing disbursements (gross)	-56	-63	-67
74.00 Change in uncollected customer payments from Federal sources (unexpired)		5	1
74.40 Obligated balance, end of year	52	52	50
87.00 Total financing disbursements (gross)	56	63	67

Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Payments from program account	-23	-21	-21
88.25 Interest on uninvested funds	-2	-4	-4
Non-Federal sources:			
88.40 Non-Federal sources—repayment of principal	-9	-9	-11
88.40 Non-Federal sources—interest on loans	-3	-4	-4
88.90 Total, offsetting collections (cash)	-37	-38	-40
Against gross financing authority only:			
88.95 Change in receivables from program accounts		5	1
Net financing authority and financing disbursements:			
89.00 Financing authority	18	25	24
90.00 Financing disbursements	19	25	27

Status of Direct Loans (in millions of dollars)			
Identification code 12-4219-0-3-452	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans	38	38	40
1131 Direct loan obligations exempt from limitation	6		
1150 Total direct loan obligations	44	38	40
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	282	313	346
1231 Disbursements: start of year	40	42	44
1251 Repayments: Repayments and prepayments	-9	-9	-11
1290 Outstanding, end of year	313	346	379

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

This account finances loans to intermediary borrowers, who in turn relend the funds to small rural businesses, community development corporations, or other organizations for the purpose of improving economic opportunities in rural areas. Through the use of local intermediaries, this program serves small-scale enterprises and gives preference to those communities with the greatest need.

Balance Sheet (in millions of dollars)				
Identification code 12-4219-0-3-452	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	12	11	17	12
Investments in US securities:				
1106 Receivables, net	51	51	46	42
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	282	313	346	378
1402 Interest receivable	1	1	1	1
1405 Allowance for subsidy cost (-)	-132	-144	-157	-152
1499 Net present value of assets related to direct loans	151	170	190	227
1999 Total assets	214	232	253	281
LIABILITIES:				
Federal liabilities:				
2104 Resources payable to Treasury	163	181	206	248
2105 Other	51	51	47	33
2999 Total liabilities	214	232	253	281
4999 Total liabilities and net position	214	232	253	281

RURAL DEVELOPMENT LOAN FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)			
Identification code 12-4233-0-3-452	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	
22.00 New budget authority (gross)	1	1	
22.40 Capital transfer to general fund	-1	-1	
23.90 Total budgetary resources available for obligation	1	1	
24.40 Unobligated balance carried forward, end of year	1		
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	4	4	4
69.27 Capital transfer to general fund	-3	-3	-4
69.90 Spending authority from offsetting collections (total mandatory)	1	1	
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	

Credit accounts—Continued

RURAL DEVELOPMENT LOAN FUND LIQUIDATING ACCOUNT—
Continued

Program and Financing (in millions of dollars)—Continued

Identification code 12-4233-0-3-452	2001 actual	2002 est.	2003 est.
74.40 Obligated balance, end of year	1		
Outlays (gross), detail:			
86.98 Outlays from mandatory balances	1		
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-4	-4	-4
Net budget authority and outlays:			
89.00 Budget authority	-3	-3	-4
90.00 Outlays	-4	-4	-4

Status of Direct Loans (in millions of dollars)

Identification code 12-4233-0-3-452	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	70	66	63
1231 Disbursements: Direct loan disbursements			
1251 Repayments: Repayments and prepayments	-3	-3	-3
1263 Write-offs for default: Direct loans	-1		
1290 Outstanding, end of year	66	63	60

As required by the Federal Credit Reform Act of 1990, this account records, for this program, all cash flows to and from the Government resulting from direct loans obligated prior to 1992. New loan activity in 1992 and beyond is recorded in corresponding program and financing accounts.

Statement of Operations (in millions of dollars)

Identification code 12-4233-0-3-452	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	1	1	1	1
0102 Expense	3	-1		
0105 Net income or loss (-)	4		1	1

Balance Sheet (in millions of dollars)

Identification code 12-4233-0-3-452	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	2	2	2	2
1206 Non-Federal assets: Receivables, net	1	1	1	1
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross	70	66	63	60
1603 Allowance for estimated uncollectible loans and interest (-)	-27	-17	-24	-21
1604 Direct loans and interest receivable, net	43	49	39	39
1699 Value of assets related to direct loans	43	49	39	39
1999 Total assets	46	52	42	42
LIABILITIES:				
2104 Federal liabilities: Resources payable to Treasury	46	52	42	42
2999 Total liabilities	46	52	42	42
4999 Total liabilities and net position	46	52	42	42

RURAL ECONOMIC DEVELOPMENT LOANS PROGRAM ACCOUNT
(INCLUDING RESCISSION OF FUNDS)

For the principal amount of direct loans, as authorized under section 313 of the Rural Electrification Act, for the purpose of promoting rural economic development and job creation projects, **[\$14,966,000] \$14,967,000.**

For the cost of direct loans, including the cost of modifying loans as defined in section 502 of the Congressional Budget Act of 1974, **[\$3,616,000] \$3,197,000.**

Of the funds derived from interest on the cushion of credit payments in fiscal year **[2002] 2003**, as authorized by section 313 of the Rural Electrification Act of 1936, **[\$3,616,000] \$3,197,000** shall not be obligated and **[\$3,616,000] \$3,197,000** are rescinded. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 12-3108-0-1-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct loan subsidy	6	4	3
00.05 Reestimates of direct loan subsidy	3		
00.06 Interest on reestimates of direct loan subsidy	1		
10.00 Total new obligations (object class 41.0)	10	4	3
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	10	4	3
23.95 Total new obligations	-10	-4	-3
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	4	4	3
Mandatory:			
60.00 Appropriation	4		
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	2		
70.00 Total new budget authority (gross)	10	4	3
Change in obligated balances:			
72.40 Obligated balance, start of year	4	5	4
73.10 Total new obligations	10	4	3
73.20 Total outlays (gross)	-8	-5	-3
73.40 Adjustments in expired accounts (net)	-1		
74.40 Obligated balance, end of year	5	4	4
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		1	1
86.93 Outlays from discretionary balances	3	4	3
86.97 Outlays from new mandatory authority	4		
87.00 Total outlays (gross)	8	5	3
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-2		
Net budget authority and outlays:			
89.00 Budget authority	8	4	3
90.00 Outlays	6	5	3

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 12-3108-0-1-452	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Rural economic development loans program	15	15	15
115901 Total direct loan levels	15	15	15
Direct loan subsidy (in percent):			
132001 Rural Economic Development Loans Program	26.07	24.16	21.36
132901 Weighted average subsidy rate	26.07	24.16	21.36
Direct loan subsidy budget authority:			
133001 Rural Economic Development Loans Program	4	4	3
133901 Total subsidy budget authority	4	4	3
Direct loan subsidy outlays:			
134001 Rural Economic Development Loans Program	6	4	3

134901 Total subsidy outlays	6	4	3
Direct loan upward reestimate subsidy budget authority:			
135001 Rural Economic Development Loans Program	4		
135901 Total upward reestimate budget authority	4		
Direct loan upward reestimate subsidy outlays:			
136001 Rural Economic Development Loans Program	4		
136901 Total upward reestimate outlays	4		
Direct loan downward reestimate subsidy budget authority:			
137001 Rural Economic Development Loans Program	-3		
137901 Total downward reestimate budget authority	-3		
Direct loan downward reestimate subsidy outlays:			
138001 Rural Economic Development Loans Program	-3		
138901 Total downward reestimate subsidy outlays	-3		

Rural economic development loans are made for the purpose of promoting rural economic development and job creation projects. Loans are made to electric and telecommunication borrowers, who in turn finance rural development projects in their service areas. Program costs are derived from interest earnings on borrowers' "cushion of credit" loan prepayments.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond. The subsidy amounts are estimated on a present value basis.

RURAL ECONOMIC DEVELOPMENT DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4176-0-3-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Operating program:			
00.01 Direct loans	22	15	15
00.03 Interest expense	5	7	8
00.91 Subtotal, Operating program	27	22	23
Reestimates:			
08.02 Downward reestimate	3		
08.04 Interest on downward reestimate	1		
08.91 Subtotal, Reestimates	3		
10.00 Total new obligations	31	22	23
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	6	8	7
22.00 New financing authority (gross)	32	21	24
22.10 Resources available from recoveries of prior year obligations	2		
22.70 Balance of authority to borrow withdrawn	-2	-1	-1
23.90 Total budgetary resources available for obligation	38	28	30
23.95 Total new obligations	-31	-22	-23
24.40 Unobligated balance carried forward, end of year	8	7	7
New financing authority (gross), detail:			
Mandatory:			
67.10 Authority to borrow	17	11	13
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	21	19	19
68.10 Change in uncollected customer payments from Federal sources (unexpired)	1	-2	
68.47 Portion applied to repay debt	-7	-7	-8
68.90 Spending authority from offsetting collections (total discretionary)	15	10	11
70.00 Total new financing authority (gross)	32	21	24
Change in obligated balances:			
72.40 Obligated balance, start of year	13	16	12
73.10 Total new obligations	31	22	23
73.20 Total financing disbursements (gross)	-24	-28	-22
73.45 Recoveries of prior year obligations	-2		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-1	2	

74.40 Obligated balance, end of year	16	12	13
87.00 Total financing disbursements (gross)	24	28	22
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Federal Funds: Program Account	-8	-5	-3
88.25 Interest on uninvested funds	-1	-1	-1
88.40 Non-Federal sources: Repayment of Principal	-12	-13	-15
88.90 Total, offsetting collections (cash)	-21	-19	-19
Against gross financing authority only:			
88.95 Change in receivables from program accounts	-1	2	
Net financing authority and financing disbursements:			
89.00 Financing authority	10	4	5
90.00 Financing disbursements	3	9	3

Status of Direct Loans (in millions of dollars)

Identification code 12-4176-0-3-452	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans	15	15	15
1131 Direct loan obligations exempt from limitation	8		
1150 Total direct loan obligations	23	15	15
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	69	73	82
1231 Disbursements: Direct loan disbursements	16	22	14
1251 Repayments: Repayments and prepayments	-12	-13	-15
1290 Outstanding, end of year	73	82	81

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 12-4176-0-3-452	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	5	6	6	6
Investments in US securities:				
1106 Program Account	4	5	4	4
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	69	73	82	81
1405 Allowance for subsidy cost (-)	-12	-11	-24	-16
1499 Net present value of assets related to direct loans	57	62	58	65
1999 Total assets	66	73	68	75
LIABILITIES:				
Federal liabilities:				
2104 Resources payable to Treasury	62	68	64	71
2105 Other	4	5	4	4
2999 Total liabilities	66	73	68	75
4999 Total liabilities and net position	66	73	68	75

RURAL ECONOMIC DEVELOPMENT LOANS LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-3104-0-1-271	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1		
22.40 Capital transfer to general fund	-1		
23.90 Total budgetary resources available for obligation			

Credit accounts—Continued

RURAL ECONOMIC DEVELOPMENT LOANS LIQUIDATING ACCOUNT—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 12-3104-0-1-271	2001 actual	2002 est.	2003 est.
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	1		
69.27 Capital transfer to general fund	-1		
69.90 Spending authority from offsetting collections (total mandatory)			
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources: Repayment of principal	-1		
Net budget authority and outlays:			
89.00 Budget authority	-1		
90.00 Outlays	-1		

Status of Direct Loans (in millions of dollars)

Identification code 12-3104-0-1-271	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	1		
1251 Repayments: Repayments and prepayments	-1		
1290 Outstanding, end of year			

As required by the Federal Credit Reform Act of 1990, this account records, for this program, all cash flows to and from the Government resulting from direct loans obligated prior to 1992. All new activity in this program is recorded in corresponding program and financing accounts.

Balance Sheet (in millions of dollars)

Identification code 12-3104-0-1-271	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury				
1601 Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable: Direct loans, gross	1			
1999 Total assets	1			
LIABILITIES:				
2104 Federal liabilities: Resources payable to Treasury	1			
2999 Total liabilities	1			
4999 Total liabilities and net position	1			

Public enterprise funds:

ALTERNATIVE AGRICULTURAL RESEARCH AND COMMERCIALIZATION CORPORATION REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 12-4144-0-3-352	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	1
24.40 Unobligated balance carried forward, end of year	1	1	1
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

These funds support programs authorized by the Alternative Agricultural Research and Commercialization Act of

1990 (7 U.S.C. 5901 et seq.). This Act authorizes the provision of assistance on a competitive basis to foster the development and commercialization of new nonfood, nonfeed products derived from agricultural and forestry material and animal by-products. No funds were appropriated in 2000, 2001 and 2002, and no funding is requested in 2003.

RURAL UTILITIES SERVICE

Federal Funds

General and special funds:

HIGH ENERGY COST GRANTS

Program and Financing (in millions of dollars)

Identification code 12-2042-0-1-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)		10	30
Budgetary resources available for obligation:			
22.22 Unobligated balance transferred from other accounts		10	30
23.95 Total new obligations		-10	-30
Change in obligated balances:			
73.10 Total new obligations		10	30
73.20 Total outlays (gross)		-15	-15
73.32 Obligated balance transferred from other accounts		5	
74.40 Obligated balance, end of year			15
Outlays (gross), detail:			
86.93 Outlays from discretionary balances		15	15
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays		15	15

Funding was provided in 2001 and 2002 to support grants for areas that have high energy costs. These grants can be made to eligible entities or the Denali Commission to construct, extend, upgrade, and otherwise improve energy generation, transmission, or distribution facilities serving communities in which the average residential expenditure for home energy is at least 275 percent of the national average residential expenditure for home energy (as determined by the Energy Information Agency using the most recent data available). Grants are also available to establish and support a revolving fund to provide a more cost-effective means of purchasing fuel where the fuel cannot be shipped by means of surface transportation.

Credit accounts:

RURAL WATER AND WASTE DISPOSAL DIRECT LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4226-0-3-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Operating program:			
00.01 Direct loans	789	894	814
00.02 Interest on Treasury borrowing	257	325	346
00.91 Subtotal, Operating program	1,046	1,219	1,160
Reestimates:			
08.02 Downward reestimate	81		
08.04 Interest on downward reestimate	27		
08.91 Subtotal, Reestimates	108		
10.00 Total new obligations	1,154	1,219	1,160
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		15	

22.00	New financing authority (gross)	1,242	1,074	1,034
22.10	Resources available from recoveries of prior year obligations	32	145	126
22.60	Portion applied to repay debt	-109		
22.70	Balance of authority to borrow withdrawn	-26		
23.90	Total budgetary resources available for obligation	1,154	1,219	1,160
23.95	Total new obligations	-1,154	-1,219	-1,160

New financing authority (gross), detail:

Mandatory:				
67.10	Authority to borrow	723	716	714
Spending authority from offsetting collections:				
Discretionary:				
68.00	Offsetting collections (cash)	511	348	310
68.10	Change in uncollected customer payments from Federal sources (unexpired)	8	10	10
68.90	Spending authority from offsetting collections (total discretionary)	519	358	320
70.00	Total new financing authority (gross)	1,242	1,074	1,034

Change in obligated balances:

72.40	Obligated balance, start of year	1,755	1,809	2,024
73.10	Total new obligations	1,154	1,219	1,160
73.20	Total financing disbursements (gross)	-1,059	-849	-1,073
73.45	Recoveries of prior year obligations	-32	-145	-126
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-8	-10	-10
74.40	Obligated balance, end of year	1,809	2,024	1,975
87.00	Total financing disbursements (gross)	1,059	849	1,073

Offsets:

Against gross financing authority and financing disbursements:				
Offsetting collections (cash) from:				
88.00	Federal sources	-188	-97	-82
88.25	Interest on uninvested funds	-34	-35	-37
Non-Federal sources:				
88.40	Repayment of principal	-90	-66	-76
88.40	Non-Federal sources	-199	-150	-115
88.90	Total, offsetting collections (cash)	-511	-348	-310
Against gross financing authority only:				
88.95	Change in receivables from program accounts	-8	-10	-10

Net financing authority and financing disbursements:

89.00	Financing authority	723	716	714
90.00	Financing disbursements	548	501	763

Status of Direct Loans (in millions of dollars)

Identification code 12-4226-0-3-452				
		2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:				
1111	Limitation on direct loans	767	879	814
1121	Limitation available from carry-forward	22	14	
1142	Unobligated direct loan limitation (-)	-23		
1143	Unobligated limitation carried forward (P.L. xx) (-)	-23		
1150	Total direct loan obligations	743	893	814
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	3,942	4,548	5,282
1231	Disbursements: Direct loan disbursements	694	800	779
1251	Repayments: Repayments and prepayments	-88	-66	-76
1290	Outstanding, end of year	4,548	5,282	5,985

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals. The subsidy cost of these loans is provided through the Rural Community Advancement Program. Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account.

The water and waste disposal program makes loans and grants to finance water and waste disposal facilities in rural areas.

Balance Sheet (in millions of dollars)

Identification code 12-4226-0-3-452					
		2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:					
Federal assets:					
1101	Fund balances with Treasury	63	7	10	10
Investments in US securities:					
1106	Receivables, net	254	274	262	262
Net value of assets related to post-1991 direct loans receivable:					
1401	Direct loans receivable, gross	3,944	4,548	5,282	5,985
1402	Interest receivable	48	55	37	37
1405	Allowance for subsidy cost (-)	-652	-710	-911	-911
1499	Net present value of assets related to direct loans	3,340	3,893	4,408	5,111
1999	Total assets	3,657	4,174	4,680	5,383
LIABILITIES:					
Federal liabilities:					
2103	Debt	3,395	3,888	4,486	5,189
2105	Other	254	275	186	186
2207	Non-Federal liabilities: Other	8	11	8	8
2999	Total liabilities	3,657	4,174	4,680	5,383
4999	Total liabilities and net position	3,657	4,174	4,680	5,383

RURAL WATER AND WASTE WATER DISPOSAL GUARANTEED LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4218-0-3-452				
		2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:				
22.00	New financing authority (gross)		1	1
New financing authority (gross), detail:				
Discretionary:				
68.00	Spending authority from offsetting collections (gross): Offsetting collections (cash)		1	1
Change in obligated balances:				
73.20	Total financing disbursements (gross)		-1	-1
87.00	Total financing disbursements (gross)		1	1
Offsets:				
Against gross financing authority and financing disbursements:				
88.40	Offsetting collections (cash) from: Fees		-1	-1

Net financing authority and financing disbursements:

89.00	Financing authority			
90.00	Financing disbursements			

Status of Guaranteed Loans (in millions of dollars)

Identification code 12-4218-0-3-452				
		2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on commitments:				
2111	Limitation on guaranteed loans made by private lenders	75	75	75
2142	Uncommitted loan guarantee limitation	-70		
2150	Total guaranteed loan commitments	5	75	75
2199	Guaranteed amount of guaranteed loan commitments	4	60	60
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	19	11	52
2231	Disbursements of new guaranteed loans		43	72
2251	Repayments and prepayments	-8	-2	-3
2290	Outstanding, end of year	11	52	121
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	9	42	97

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from

Credit accounts—Continued

**RURAL WATER AND WASTE WATER DISPOSAL GUARANTEED LOANS
FINANCING ACCOUNT—Continued**

the Government resulting from guaranteed loans committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals. Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account.

This account finances loan guarantee commitments for water systems, and waste disposal facilities in rural areas.

Balance Sheet (in millions of dollars)

Identification code 12-4218-0-3-452	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	1	1	1	1
1999 Total assets	1	1	1	1
LIABILITIES:				
2104 Federal liabilities: Resources payable to Treasury	1	1	1	1
2999 Total liabilities	1	1	1	1
4999 Total liabilities and net position	1	1	1	1

**RURAL ELECTRIFICATION AND TELECOMMUNICATIONS LOANS
PROGRAM ACCOUNT**

(INCLUDING TRANSFER OF FUNDS)

Insured loans pursuant to the authority of section 305 of the Rural Electrification Act of 1936 (7 U.S.C. 935) shall be made as follows: 5 percent rural electrification loans, **[\$121,107,000] \$121,103,000**; municipal rate rural electric loans, **[\$500,000,000] \$100,000,000**; loans made pursuant to section 306 of that Act, rural electric, **[\$2,700,000,000] \$1,700,000,000**; Treasury rate direct electric loans, **[\$750,000,000] \$700,000,000**; 5 percent rural telecommunications loans, **[\$74,827,000] \$75,029,000**; cost of money rural telecommunications loans, \$300,000,000; and loans made pursuant to section 306 of that Act, rural telecommunications loans, \$120,000,000.

For the cost, as defined in section 502 of the Congressional Budget Act of 1974, including the cost of modifying loans, of direct and guaranteed loans authorized by the Rural Electrification Act of 1936 (7 U.S.C. 935 and 936), as follows: cost of rural electric loans, **[\$3,689,000] \$11,025,000**, and the cost of telecommunication loans, **[\$2,036,000] \$1,433,000**. *Provided*, That notwithstanding section 305(d)(2) of the Rural Electrification Act of 1936, borrower interest rates may exceed 7 percent per year.

In addition, for administrative expenses necessary to carry out the direct and guaranteed loan programs, **[\$36,000,000] \$38,035,000** which shall be transferred to and merged with the appropriation for "Rural Development, Salaries and Expenses". (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 12-1230-0-1-271	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct loan subsidy	40	6	12
00.05 Reestimate of the direct loan subsidy	294		
00.06 Interest on reestimates of direct loan subsidy	112		
00.09 Administrative expenses subject to limitation	35	36	38
10.00 Total new obligations	481	42	50
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	481	42	50
23.95 Total new obligations	-481	-42	-50
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	75	42	50

Mandatory:			
60.00 Appropriation	406		
70.00 Total new budget authority (gross)	481	42	50

Change in obligated balances:

72.40 Obligated balance, start of year	81	90	53
73.10 Total new obligations	481	42	50
73.20 Total outlays (gross)	-471	-79	-63
73.40 Adjustments in expired accounts (net)	-1		
74.40 Obligated balance, end of year	90	53	41

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	40	37	40
86.93 Outlays from discretionary balances	24	43	23
86.97 Outlays from new mandatory authority	406		
87.00 Total outlays (gross)	471	79	63

Net budget authority and outlays:

89.00 Budget authority	481	42	50
90.00 Outlays	471	79	63

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 12-1230-0-1-271	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Hardship electric	121	121	121
115002 Municipal electric	294	500	100
115003 Treasury electric	500	750	700
115004 FFB electric	1,641	2,600	1,600
115005 Hardship telephone	75	75	75
115006 Treasury telephone	300	300	300
115007 FFB telephone	120	120	120
115901 Total direct loan levels	3,051	4,466	3,016
Direct loan subsidy (in percent):			
132001 Hardship electric	9.96	2.98	5.71
132002 Municipal electric	6.95	-0.09	4.03
132003 Treasury electric		-0.04	-0.04
132004 FFB electric	-3.09	-1.13	-1.82
132005 Hardship telephone	10.36	2.32	1.71
132006 Treasury telephone	-1.00	0.10	0.05
132007 FFB telephone	-2.04	-0.85	-2.36
132901 Weighted average subsidy rate	-0.52	-0.54	-0.66
Direct loan subsidy budget authority:			
133001 Hardship electric	12	4	7
133002 Municipal electric	20		4
133003 Treasury electric			
133004 FFB electric	-51	-29	-29
133005 Hardship telephone	8	2	1
133006 Treasury telephone	-3		
133007 FFB telephone	-2	-1	-3
133901 Total subsidy budget authority	-16	-24	-20
Direct loan subsidy outlays:			
134001 Hardship electric	6	7	6
134002 Municipal electric	18	15	10
134003 Treasury electric			
134004 FFB electric	-18	-14	-16
134005 Hardship telephone	4	19	7
134006 Treasury telephone			
134007 FFB telephone	-1	-1	-2
134901 Total subsidy outlays	9	26	5
Direct loan upward reestimate subsidy budget authority:			
135001 Hardship electric	96		
135002 Municipal electric	68		
135003 Treasury electric			
135004 FFB electric	144		
135005 Hardship telephone	51		
135006 Treasury telephone	16		
135007 FFB telephone	31		
135901 Total upward reestimate budget authority	406		
Direct loan upward reestimate subsidy outlays:			
136001 Hardship electric	96		
136002 Municipal electric	68		
136003 Treasury electric			
136004 FFB electric	144		
136005 Hardship telephone	51		
136006 Treasury telephone	16		
136007 FFB telephone	31		

136901 Total upward reestimate outlays	406		
Direct loan downward reestimate subsidy budget authority:			
137001 Hardship electric	-149		
137002 Municipal electric	-87		
137003 Treasury electric			
137004 FFB electric	-61		
137005 Hardship telephone	-66		
137006 Treasury telephone	-16		
137007 FFB telephone	-30		
137901 Total downward reestimate budget authority	-410		
Direct loan downward reestimate subsidy outlays:			
138001 Hardship electric	-149		
138002 Municipal electric	-87		
138003 Treasury electric			
138004 FFB electric	-61		
138005 Hardship telephone	-66		
138006 Treasury telephone	-16		
138007 FFB telephone	-30		
138901 Total downward reestimate subsidy outlays	-410		
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Guaranteed electric	59	100	100
215901 Total loan guarantee levels	59	100	100
Guaranteed loan subsidy (in percent):			
232001 Loan guarantee levels	0.01	0.08	0.08
232901 Weighted average subsidy rate	0.01	0.08	0.08
Guaranteed loan subsidy budget authority:			
233001 Loan guarantee levels			
233901 Total subsidy budget authority			
Guaranteed loan subsidy outlays:			
234001 Loan guarantee levels			
234901 Total subsidy outlays			
Administrative expense data:			
351001 Budget authority	35	36	38
359001 Outlays from new authority	35	36	38

The Rural Utilities Service conducts the rural electrification and the rural telecommunications loan programs. The rural electrification loan program is financed through RUS direct and guaranteed loans for the operation of generating plants, electric transmission, and distribution lines or systems. The rural telecommunications loan program is financed through RUS direct loans for construction, expansion, and operation of telecommunications lines and facilities or systems.

The electric and telecommunications programs need to be better targeted to needier areas (lower population and areas of persistent out migration and poverty). RUS will be reviewing the electric and telecommunications programs to determine and implement methods of increasing targeting of funds. This includes reducing the amount of funding that subsidizes systems in urban areas.

As required by the Federal Credit Reform Act of 1990, this account records, for rural electrification and telecommunications programs, the subsidy costs associated with the direct and guaranteed loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

Object Classification (in millions of dollars)

Identification code 12-1230-0-1-271	2001 actual	2002 est.	2003 est.
25.3 Other purchases of goods and services from Government accounts	35	36	38
41.0 Grants, subsidies, and contributions	446	6	12
99.9 Total new obligations	481	42	50

RURAL ELECTRIFICATION AND TELECOMMUNICATIONS DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4208-0-3-271	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Operating program:			
00.01 Direct loans	3,051	4,466	3,016
00.02 Interest on Treasury borrowing	528	712	855
00.91 Subtotal, Operating program	3,579	5,178	3,871
Non-operating program:			
08.01 Negative subsidy paid to receipt account	19	17	20
08.02 Downward reestimate paid to receipt account	311		
08.04 Interest on downward reestimate paid to receipt account	99		
08.91 Subtotal, Non-operating program	429	17	20
10.00 Total new obligations	4,008	5,195	3,891
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	31	43	49
22.00 New financing authority (gross)	4,019	5,201	3,904
22.10 Resources available from recoveries of prior year obligations	92		
22.70 Balance of authority to borrow withdrawn	-91		
23.90 Total budgetary resources available for obligation	4,051	5,244	3,953
23.95 Total new obligations	-4,008	-5,195	-3,891
24.40 Unobligated balance carried forward, end of year	43	49	62
New financing authority (gross), detail:			
Discretionary:			
47.00 Authority to borrow	3,150	4,543	3,127
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	1,106	981	1,171
68.10 Change in uncollected customer payments from Federal sources (unexpired)	10	-37	-12
68.47 Portion applied to repay debt	-247	-286	-382
68.90 Spending authority from offsetting collections (total discretionary)	869	658	777
70.00 Total new financing authority (gross)	4,019	5,201	3,904
Change in obligated balances:			
72.40 Obligated balance, start of year	5,356	6,172	8,259
73.10 Total new obligations	4,008	5,195	3,891
73.20 Total financing disbursements (gross)	-3,090	-3,145	-3,493
73.45 Recoveries of prior year obligations	-92		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-10	37	12
74.40 Obligated balance, end of year	6,172	8,259	8,669
87.00 Total financing disbursements (gross)	3,090	3,145	3,493
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Payment from program account	-436	-43	-24
88.25 Interest on uninvested funds	-53	-74	-90
Non-Federal sources:			
88.40 Repayment of principal	-211	-206	-267
88.40 Interest received on loans	-415	-658	-790
88.40 Fees	-1		
88.40 Other	10		
88.90 Total, offsetting collections (cash)	-1,106	-981	-1,171
Against gross financing authority only:			
88.95 Change in receivables from program accounts	-10	37	12
Net financing authority and financing disbursements:			
89.00 Financing authority	2,903	4,257	2,745
90.00 Financing disbursements	1,983	2,164	2,322

Status of Direct Loans (in millions of dollars)

Identification code 12-4208-0-3-271	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans	3,051	4,466	3,016

Credit accounts—Continued

RURAL ELECTRIFICATION AND TELECOMMUNICATIONS DIRECT LOAN FINANCING ACCOUNT—Continued

Status of Direct Loans (in millions of dollars)—Continued

Identification code 12-4208-0-3-271	2001 actual	2002 est.	2003 est.
1150 Total direct loan obligations	3,051	4,466	3,016
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	7,131	9,072	11,282
1231 Disbursements: Direct loan disbursements	2,151	2,416	2,618
1251 Repayments: Repayments and prepayments	-210	-206	-267
1290 Outstanding, end of year	9,072	11,282	13,633

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from electric and telecommunication direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 12-4208-0-3-271	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	48	2	330	538
Investments in US securities:				
1106 Receivables, net	43	104	24	17
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	6,019	7,815	9,548	11,502
1402 Interest receivable	34	44	65	55
1405 Allowance for subsidy cost (-)	-465	-435	-570	-587
1499 Net present value of assets related to direct loans	5,588	7,424	9,043	10,970
1999 Total assets	5,679	7,530	9,397	11,525
LIABILITIES:				
Federal liabilities:				
2101 Accounts payable	21	54	49	42
2102 Interest payable	29	46	40	30
2103 Debt	5,571	7,348	9,238	11,389
2207 Non-Federal liabilities: Other	58	82	70	64
2999 Total liabilities	5,679	7,530	9,397	11,525
4999 Total liabilities and net position	5,679	7,530	9,397	11,525
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	69	156	314	385
Investments in US securities:				
1106 Receivables, net	37	48	19	7
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	1,088	1,232	1,716	2,113
1402 Interest receivable	3	3	2	3
1405 Allowance for subsidy cost (-)	-51	-27	-98	-106
1499 Net present value of assets related to direct loans	1,040	1,208	1,620	2,010
1999 Total assets	1,146	1,412	1,953	2,402
LIABILITIES:				
Federal liabilities:				
2101 Accounts payable	3	8	15	7
2102 Interest payable	2	3	7	3
2103 Debt	1,117	1,365	1,890	2,357
2207 Non-Federal liabilities: Other	24	36	41	35
2999 Total liabilities	1,146	1,412	1,953	2,402
4999 Total liabilities and net position	1,146	1,412	1,953	2,402

RURAL ELECTRIFICATION AND TELECOMMUNICATIONS GUARANTEED LOANS FINANCING ACCOUNT

Status of Guaranteed Loans (in millions of dollars)

Identification code 12-4209-0-3-271	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders	59	100	100
2150 Total guaranteed loan commitments	59	100	100
2199 Guaranteed amount of guaranteed loan commitments	59	100	100
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	168	203	268
2231 Disbursements of new guaranteed loans	35	68	113
2251 Repayments and prepayments	-	-3	-4
2290 Outstanding, end of year	203	268	377
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	203	268	377

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from guaranteed loans committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals. This account finances loan guarantee commitments.

RURAL ELECTRIFICATION AND TELECOMMUNICATIONS LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4230-0-3-271	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Interest expense on certificates of beneficial ownership	387	382	382
00.02 Interest expense, FFB direct	708	708	653
00.03 Other interest expense	7	9	21
00.05 Other	7	8	7
10.00 Total new obligations	1,109	1,107	1,063
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	1,100	1,103	1,061
22.10 Resources available from recoveries of prior year obligations	10	4	3
23.90 Total budgetary resources available for obligation	1,110	1,107	1,064
23.95 Total new obligations	-1,109	-1,107	-1,063
New budget authority (gross), detail:			
Discretionary:			
40.36 Unobligated balance rescission proposal			-3
Mandatory:			
60.36 Unobligated balance rescinded	-4	-4	
62.00 Transferred from other accounts	24	23	22
62.50 Appropriation (total mandatory)	20	19	22
69.00 Offsetting collections (cash)	2,518	2,666	2,447
69.27 Capital transfer to general fund	-156	-717	-267
69.47 Portion applied to repay debt	-1,282	-865	-1,138
69.90 Spending authority from offsetting collections (total mandatory)	1,080	1,084	1,042
70.00 Total new budget authority (gross)	1,100	1,103	1,061
Change in obligated balances:			
72.40 Obligated balance, start of year	521	482	473
73.10 Total new obligations	1,109	1,107	1,063
73.20 Total outlays (gross)	-1,139	-1,113	-1,069
73.45 Recoveries of prior year obligations	-10	-4	-3
74.40 Obligated balance, end of year	482	473	464
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	764	1,021	948

86.98	Outlays from mandatory balances	375	92	121
87.00	Total outlays (gross)	1,139	1,113	1,069
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
Non-Federal sources:				
88.40	Loans repaid	-1,406	-1,447	-1,325
88.40	Interest from loans	-1,176	-1,219	-1,122
88.40	Undistributed charges	64		
88.90	Total, offsetting collections (cash)	-2,518	-2,666	-2,447
Net budget authority and outlays:				
89.00	Budget authority	-1,418	-1,563	-1,386
90.00	Outlays	-1,379	-1,553	-1,378

Status of Direct Loans (in millions of dollars)

Identification code 12-4230-0-3-271	2001 actual	2002 est.	2003 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	23,733	21,009	19,333
1231	Disbursements: Direct loan disbursements	9	13	13
1251	Repayments: Repayments and prepayments	-1,497	-1,539	-1,416
Write-offs for default:				
1263	Direct loans	-2,953	-142	-130
1264	Other adjustments, net	1,717	-8	-7
1290	Outstanding, end of year	21,009	19,333	17,793

Status of Guaranteed Loans (in millions of dollars)

Identification code 12-4230-0-3-271	2001 actual	2002 est.	2003 est.	
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	382	358	335
2263	Adjustments: Terminations for default that result in claim payments	-24	-23	-21
2290	Outstanding, end of year	358	335	314
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	358	335	314

STATUS OF AGENCY DEBT

(In millions of dollars)

Agency debt held by FFB:	2001 actual	2002 est.	2003 est.
Outstanding FFB direct, start of year	10,662	9,890	9,118
Outstanding Certificate of Beneficial Ownership (CBO's), start of year	4,327	4,270	4,270
New agency borrowing, FFB direct	2		
Repayments and prepayments, FFB Direct	-774	-772	-712
Repayments, CBO's	-57		
Outstanding FFB direct, end of year	9,890	9,118	8,406
Outstanding CBO's, end of year	4,270	4,270	4,270

As required by the Federal Credit Reform Act of 1990, this account records, for rural electrification and telecommunications programs, all cash flows to and from the Government resulting from direct loans obligated and loan guarantees committed prior to 1992. All new activity in RETRF in 1992 and beyond is recorded in corresponding program and financing accounts.

The Rural Utilities Service will continue to service all loans in this account providing business management and technical assistance to the borrowers on a regular basis over the life of the loans.

Rural electric.—This program is financed through RUS direct loans for the construction and operation of generating plants, electric transmission, and distribution lines or systems.

The following tables reflect statistics on loans made through the liquidating account only. Since 1992 new electric and telephone loans have been made through a separate program account.

ELECTRIC PROGRAM STATISTICS

(dollars in millions)

	2001 actual	2002 est.	2003 est.
Cumulative RUS financed direct loans	21,856	21,856	21,856
Cumulative FFB financed direct loans	27,089	27,042	26,995
Cumulative RUS funds advanced	21,831	21,833	21,835
Unadvanced RUS funds, end of year	25	23	21
Cumulative RUS principal repaid	14,362	15,016	16,313
Cumulative RUS interest paid	11,677	12,163	13,214
Cumulative loan guarantee commitments ¹	3,967	3,967	3,967
Number of borrowers	737	730	723

¹ Represents loans financed by private lenders, including refinanced direct loans, FFB.

Rural telecommunications.—This loan program is financed through RUS direct loans for the construction, expansion, and operation of telecommunications lines and facilities or systems.

TELECOMMUNICATIONS PROGRAM STATISTICS

(dollars in millions)

	2001 actual	2002 est.	2003 est.
Cumulative RUS financed direct loans	6,036	6,026	6,016
Cumulative FFB financed direct loans	562	562	562
Cumulative RUS funds advanced	5,888	5,896	5,904
Unadvanced RUS funds, end of period	148	140	132
Cumulative RUS principal repaid	3,654	3,024	3,365
Cumulative RUS interest paid	2,847	2,945	3,036
Cumulative loan guarantee commitments ¹	3	3	3
Number of borrowers	725	707	689

¹ Other lenders—privately financed direct loans, FFB.

Statement of Operations (in millions of dollars)

Identification code 12-4230-0-3-271	2000 actual	2001 actual	2002 est.	2003 est.	
ELECTRIC PROGRAM:					
0111	Revenue	1,408	1,164	1,099	1,011
0112	Expense	-22	-2,900	-888	-823
0115	Net income or loss (-)	1,386	-1,736	211	188
TELEPHONE PROGRAM:					
0121	Revenue	116	113	109	100
0122	Expense	-17	-380	-121	-112
0125	Net income or loss (-)	99	-267	-12	-12
0191	Total revenues	1,524	1,277	1,208	1,111
0192	Total expenses	-39	-3,280	-1,009	-935
0195	Total income or loss (-)	1,485	-2,003	199	176
0199	Net loss (-)	1,485	-2,003	199	176

Balance Sheet (in millions of dollars)

Identification code 12-4230-0-3-271	2000 actual	2001 actual	2002 est.	2003 est.	
ASSETS:					
1101	Federal assets: Fund balances with Treasury	290	267	316	427
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:					
1601	Direct loans, gross (Electric)	21,256	18,732	17,225	15,840
1602	Interest receivable	262	163	159	156
1603	Allowance for estimated uncollectible loans and interest (-)	-1,027	-1,415	-1,302	-1,198
1604	Direct loans and interest receivable, net	20,491	17,480	16,082	14,798
1699	Value of assets related to direct loans	20,491	17,480	16,082	14,798
1999	Total assets	20,781	17,747	16,398	15,225
LIABILITIES:					
Federal liabilities:					
2101	Accounts payable	780	780	780	780
2102	Interest payable	177	156	137	121
2103	Debt	18,867	17,774	16,929	15,291
2104	Resources payable to Treasury	803	-970	-1,452	-971
2105	Other	154	7	4	4
2999	Total liabilities	20,781	17,747	16,398	15,225

Credit accounts—Continued

RURAL ELECTRIFICATION AND TELECOMMUNICATIONS LIQUIDATING ACCOUNT—Continued

Balance Sheet (in millions of dollars)—Continued

Identification code 12-4230-0-3-271	2000 actual	2001 actual	2002 est.	2003 est.
4999 Total liabilities and net position	20,781	17,747	16,398	15,225
ASSETS:				
1101 Federal assets: Fund balances with Treasury	173	159	185	251
Non-Federal assets:				
1201 Investments in non-Federal securities, net	477	453	430	409
1206 Receivables, net	780	780	780	780
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross (telephone)	2,477	2,278	2,109	1,953
1602 Interest receivable	8	8	8	7
1603 Allowance for estimated uncollectible loans and interest (-)	-33	-399	-367	-338
1604 Direct loans and interest receivable, net	2,452	1,887	1,750	1,622
1699 Value of assets related to direct loans	2,452	1,887	1,750	1,622
1999 Total assets	3,882	3,279	3,145	3,062
LIABILITIES:				
Federal liabilities:				
2102 Interest payable	4	2	2	2
2103 Debt	1,944	1,757	1,674	1,512
2104 Resources payable to Treasury	1,922	1,506	1,455	1,534
2105 Other	2	4	4	4
2999 Total liabilities	3,872	3,269	3,135	3,052
NET POSITION:				
3300 Cumulative results of operations	10	10	10	10
3999 Total net position	10	10	10	10
4999 Total liabilities and net position	3,882	3,279	3,145	3,062

Object Classification (in millions of dollars)

Identification code 12-4230-0-3-271	2001 actual	2002 est.	2003 est.
25.2 Other services	7	8	7
33.0 Investments and loans	7	9	21
43.0 Interest and dividends	1,095	1,090	1,035
99.9 Total new obligations	1,109	1,107	1,063

RURAL TELEPHONE BANK PROGRAM ACCOUNT

(INCLUDING TRANSFER OF FUNDS)

The Rural Telephone Bank is hereby authorized to make such expenditures, within the limits of funds available to such corporation in accord with law, and to make such contracts and commitments without regard to fiscal year limitations as provided by section 104 of the Government Corporation Control Act, as may be necessary in carrying out its authorized programs. [During fiscal year 2002 and within the resources and authority available, gross obligations for the principal amount of direct loans shall be \$174,615,000.]

[For the cost, as defined in section 502 of the Congressional Budget Act of 1974, including the cost of modifying loans, of direct loans authorized by the Rural Electrification Act of 1936 (7 U.S.C. 935), \$3,737,000.]

[In addition, for] For administrative expenses, including audits, necessary to [carry out the loan programs, \$3,082,000,] continue to service existing loans, \$3,082,000, to be derived by transfer from the shareholder's equity, contained in the unobligated balances in the Rural Telephone Bank Liquidating Account, which shall be transferred to and merged with the appropriation for "Rural Development, Salaries and Expenses". (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 12-1231-0-1-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct loan subsidy	3	4	
00.05 Reestimates on direct loan subsidy	3		
00.06 Interest on reestimate	1		
00.09 Administrative expenses subject to limitation	3	3	3
10.00 Total new obligations	10	7	3
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	10	7	3
23.95 Total new obligations	-10	-7	-3
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	6	7	
42.00 Transferred from other accounts			3
43.00 Appropriation (total discretionary)	6	7	3
Mandatory:			
60.00 Appropriation	4		
70.00 Total new budget authority (gross)	10	7	3
Change in obligated balances:			
72.40 Obligated balance, start of year	15	16	18
73.10 Total new obligations	10	7	3
73.20 Total outlays (gross)	-8	-5	-5
73.40 Adjustments in expired accounts (net)	-1		
74.40 Obligated balance, end of year	16	18	16
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	3	3	3
86.93 Outlays from discretionary balances	1	1	2
86.97 Outlays from new mandatory authority	4		
87.00 Total outlays (gross)	8	5	5
Net budget authority and outlays:			
89.00 Budget authority	10	7	3
90.00 Outlays	8	5	5

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 12-1231-0-1-452	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Rural Telephone Bank	175	175	
115901 Total direct loan levels	175	175	
Direct loan subsidy (in percent):			
132001 Rural Telephone Bank	1.48	2.14	0.00
132901 Weighted average subsidy rate	1.48	2.14	0.00
Direct loan subsidy budget authority:			
133001 Rural Telephone Bank	3	4	
133901 Total subsidy budget authority	3	4	
Direct loan subsidy outlays:			
134001 Rural Telephone Bank	1	2	2
134901 Total subsidy outlays	1	2	2
Direct loan upward reestimate subsidy budget authority:			
135001 Rural Telephone Bank	4		
135901 Total upward reestimate budget authority	4		
Direct loan upward reestimate subsidy outlays:			
136001 Rural Telephone Bank	4		
136901 Total upward reestimate outlays	4		
Direct loan downward reestimate subsidy budget authority:			
137001 Rural Telephone Bank	-6		
137901 Total downward reestimate budget authority	-6		
Direct loan downward reestimate subsidy outlays:			
138001 Rural Telephone Bank	-6		
138901 Total downward reestimate subsidy outlays	-6		
Administrative expense data:			
351001 Budget authority	3	3	3

359001 Outlays from new authority	3	3	3
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The President's budget proposes no more federally funded loans. Funding for the RTB's administrative expenses will be transferred from the unobligated balances in the RTB liquidating account.

As required by the Federal Credit Reform Act of 1990, this account records, for the Rural Telephone Bank, the subsidy costs associated with the direct loans obligated in 1992 and beyond as well as administrative expenses for the program. The subsidy amounts are estimated on a present value basis; administrative expenses are estimated on a cash basis.

Object Classification (in millions of dollars)

Identification code 12-1231-0-1-452	2001 actual	2002 est.	2003 est.
25.2 Other services	3	3	3
41.0 Grants, subsidies, and contributions	7	4	
99.9 Total new obligations	10	7	3

RURAL TELEPHONE BANK DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4210-0-3-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Operating program:			
00.01 Direct loans	175	175	
00.02 Interest on Treasury borrowing	20	36	45
00.91 Subtotal, Operating program	195	211	45
Reestimate:			
08.02 Downward reestimate	4		
08.04 Interest on downward reestimate	2		
08.91 Subtotal, reestimate	6		
10.00 Total new obligations	201	211	45
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	6	
22.00 New financing authority (gross)	207	205	45
22.10 Resources available from recoveries of prior year obligations	21		
22.70 Balance of authority to borrow withdrawn	-23		
23.90 Total budgetary resources available for obligation	206	211	45
23.95 Total new obligations	-201	-211	-45
24.40 Unobligated balance carried forward, end of year	6		
New financing authority (gross), detail:			
Discretionary:			
47.00 Authority to borrow	178	168	23
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	40	45	55
68.10 Change in uncollected customer payments from Federal sources (unexpired)	1	2	-2
68.47 Portion applied to repay debt	-12	-10	-31
68.90 Spending authority from offsetting collections (total discretionary)	29	37	22
70.00 Total new financing authority (gross)	207	205	45
Change in obligated balances:			
72.40 Obligated balance, start of year	904	1,001	989
73.10 Total new obligations	201	211	45
73.20 Total financing disbursements (gross)	-81	-220	-261
73.45 Recoveries of prior year obligations	-21		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-1	-2	2
74.40 Obligated balance, end of year	1,001	989	774
87.00 Total financing disbursements (gross)	81	220	261
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Federal sources: Payment from program account	-5	-2	-2

88.25 Interest on uninvested funds	-5	-3	-4
Non-Federal sources:			
88.40 Principal received on loans	-11	-14	-16
88.40 Interest received on loans	-16	-17	-23
88.40 Sale of RTB Stock	-3	-9	-10
89.90 Total, offsetting collections (cash)	-40	-45	-55
Against gross financing authority only:			
88.95 Change in receivables from program accounts	-1	-2	2
Net financing authority and financing disbursements:			
89.00 Financing authority	166	158	-8
90.00 Financing disbursements	42	175	206

Status of Direct Loans (in millions of dollars)

Identification code 12-4210-0-3-452	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans	175	175	
1150 Total direct loan obligations	175	175	
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	268	338	453
1231 Disbursements: Direct loan disbursements	81	129	127
1251 Repayments: Repayments and prepayments	-11	-14	-16
1290 Outstanding, end of year	338	453	564

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 12-4210-0-3-452	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury		10		
Investments in US securities:				
1106 Receivables, net	19	16		
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	246	281		
1405 Allowance for subsidy cost (-)	-9			
1499 Net present value of assets related to direct loans	237	281		
1999 Total assets	256	307		
LIABILITIES:				
Federal liabilities:				
2103 Debt	221	291		
2105 Other	15			
Non-Federal liabilities:				
2201 Accounts payable	6	16		
2207 Other	14			
2999 Total liabilities	256	307		
4999 Total liabilities and net position	256	307		

RURAL TELEPHONE BANK LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4231-0-3-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Dividends	21	21	23
10.00 Total new obligations (object class 43.0)	21	21	23
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	636	769	848
22.00 New budget authority (gross)	143	100	95
22.10 Resources available from recoveries of prior year obligations	10		

Credit accounts—Continued**RURAL TELEPHONE BANK LIQUIDATING ACCOUNT—Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 12-4231-0-3-452	2001 actual	2002 est.	2003 est.
22.21 Unobligated balance transferred to other accounts			-3
23.90 Total budgetary resources available for obligation	789	869	940
23.95 Total new obligations	-21	-21	-23
24.40 Unobligated balance carried forward, end of year	769	848	917
New budget authority (gross), detail:			
Mandatory:			
61.00 Transferred to other accounts	-24	-23	-22
69.00 Offsetting collections (cash)	251	132	126
69.47 Portion applied to repay debt	-84	-9	-9
69.90 Spending authority from offsetting collections (total mandatory)	167	123	117
70.00 Total new budget authority (gross)	143	100	95
Change in obligated balances:			
72.40 Obligated balance, start of year	120	106	99
73.10 Total new obligations	21	21	23
73.20 Total outlays (gross)	-25	-28	-29
73.45 Recoveries of prior year obligations	-10		
74.40 Obligated balance, end of year	106	99	93
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	18	21	23
86.98 Outlays from mandatory balances	7	7	6
87.00 Total outlays (gross)	25	28	29
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on Federal securities	-55		
Non-Federal sources:			
88.40 Loans repaid	-136	-78	-78
88.40 Interest from loans	-60	-54	-48
88.90 Total, offsetting collections (cash)	-251	-132	-126
Net budget authority and outlays:			
89.00 Budget authority	-108	-32	-31
90.00 Outlays	-227	-104	-97
Status of Direct Loans (in millions of dollars)			
Identification code 12-4231-0-3-452	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	924	795	724
1231 Disbursements: Direct loan disbursements	7	7	6
1251 Repayments: Repayments and prepayments	-136	-78	-78
1290 Outstanding, end of year	795	724	652

As required by the Federal Credit Reform Act of 1990, this account records, for the Rural Telephone Bank (RTB), all cash flows to and from the Government resulting from direct loans obligated prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond is recorded in corresponding program and financing accounts. Funding for salaries and expenses will be transferred from the unobligated balances in the RTB liquidating account in 2003.

The RTB provides a supplemental source of financing for rural telecommunications borrowers. The Bank charges an interest rate based on the cost of money to the Bank, as prescribed by law, but not less than 5 percent per annum.

In accordance with section 406(c) of the Rural Electrification Act of 1936, as amended, the first redemption of class A stock occurred on September 30, 1996. Redemption of class A stock will continue, as allowed by law, toward the full privatization of the Rural Telephone Bank required by law.

The President's budget proposes that the Rural Telephone Bank make no more Federally-funded loans.

Administrative support is provided for the general operations of the Bank by RUS employees and the Office of the General Counsel.

PROGRAM STATISTICS

(dollars in millions)

	2001 actual	2002 est.	2003 est.
Cumulative net loans	2,506	2,481	2,461
Cumulative loan funds, advanced	2,467	2,474	2,480
Unadvanced loan funds, end of year	85	78	72
Cumulative principal repaid	1,673	1,751	1,829
Cumulative interest paid	2,291	2,345	2,393
Number of borrowers	348	335	322

Statement of Operations (in millions of dollars)

Identification code 12-4231-0-3-452	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	120	115	54	48
0102 Expense	-37	-1	-1	-1
0105 Net income or loss (-)	83	114	53	47

Balance Sheet (in millions of dollars)

Identification code 12-4231-0-3-452	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	756	875	902	968
1402 Net value of assets related to post-1991 direct loans receivable: Interest receivable	2	3	2	2
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross	924	794	723	651
1603 Allowance for estimated uncollectible loans and interest (-)	-65	-6	-5	-4
1604 Direct loans and interest receivable, net	859	788	718	647
1699 Value of assets related to direct loans	859	788	718	647
1999 Total assets	1,617	1,666	1,622	1,617
LIABILITIES:				
Federal liabilities:				
2103 Debt	74			
2104 Resources payable to Treasury	83	114	196	156
2207 Non-Federal liabilities: Other	983	1,118	996	1,053
2999 Total liabilities	1,140	1,232	1,192	1,209
NET POSITION:				
3300 Cumulative results of operations	477	434	430	408
3999 Total net position	477	434	430	408
4999 Total liabilities and net position	1,617	1,666	1,622	1,617

DISTANCE LEARNING AND TELEMEDICINE PROGRAM

For the principal amount of direct distance learning and telemedicine loans, [\$300,000,000] \$50,000,000; and for the principal amount of broadband telecommunication loans, [\$80,000,000] \$79,535,000.

For the cost of direct loans and grants, as authorized by 7 U.S.C. 950aaa et seq., [\$49,441,000] \$31,049,000, to remain available until expended, to be available for loans and grants for telemedicine and distance learning services in rural areas: *Provided*, That [\$22,500,000] \$6,104,000 may be available for the continuation of a pilot project for a loan and grant program to finance broadband transmission and local dial-up Internet service in areas that meet the definition of "rural area" used for the Distance Learning and Telemedicine Program authorized by 7 U.S.C. 950aaa: *Provided further*, That the cost of direct loans shall be as defined in section 502 of the Congressional Budget Act of 1974. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 12-1232-0-1-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Grants	27	53	27
00.03 Broadband loan subsidy			4
10.00 Total new obligations (object class 41.0)	27	53	31
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	4	
22.00 New budget authority (gross)	27	49	31
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	31	53	31
23.95 Total new obligations	-27	-53	-31
24.40 Unobligated balance carried forward, end of year	4		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation grant budget authority	27	49	31
Change in obligated balances:			
72.40 Obligated balance, start of year	42	58	95
73.10 Total new obligations	27	53	31
73.20 Total outlays (gross)	-10	-16	-27
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	58	95	99
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		1	1
86.93 Outlays from discretionary balances	10	15	26
87.00 Total outlays (gross)	10	16	27
Net budget authority and outlays:			
89.00 Budget authority	27	49	31
90.00 Outlays	10	16	27

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 12-1232-0-1-452	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Distance Learning and Telemedicine	300	300	50
115002 Broadband	100	80	80
115901 Total direct loan levels	400	380	130
Direct loan subsidy (in percent):			
132001 Direct loan levels	-0.61	-0.07	-1.15
132002 Broadband	-0.61	-0.07	5.16
132901 Weighted average subsidy rate	-0.75	0.00	2.31
Direct loan subsidy budget authority:			
133001 Distance Learning and Telemedicine	-2		-1
133002 Broadband	-1		4
133901 Total subsidy budget authority	-3		3
Direct loan subsidy outlays:			
134001 Direct loan levels			
134002 Direct loan levels			
134901 Total subsidy outlays			

The loan and grant program provides access to advanced telecommunications services for improved education and health care in rural areas throughout the country. The loans and grants help education and health care providers bring the most modern technology, level of care, and education to rural America so its citizens can compete regionally, nationally, and globally. Additionally, the budget proposes continuing a pilot program, for grants and loans to finance installation of broadband transmission capacity (i.e. the necessary fiber optic cable capacity needed in order to provide any enhanced services such as the Internet or high speed modems) to and through rural communities, and to provide local dial-up Internet service to under-served rural areas.

DISTANCE LEARNING AND TELEMEDICINE DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4146-0-3-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Operating program:			
00.01 Direct loans	100	380	130
00.02 Interest on Treasury borrowing	1	1	1
10.00 Total new obligations	101	381	131
Budgetary resources available for obligation:			
22.00 New financing authority (gross)	103	381	132
23.95 Total new obligations	-101	-381	-131
New financing authority (gross), detail:			
Discretionary:			
47.00 Authority to borrow	101	378	128
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	2	3	4
70.00 Total new financing authority (gross)	103	381	132
Change in obligated balances:			
72.40 Obligated balance, start of year	63	149	517
73.10 Total new obligations	101	381	131
73.20 Total financing disbursements (gross)	-15	-13	-25
74.40 Obligated balance, end of year	149	517	624
87.00 Total financing disbursements (gross)	15	13	25
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.25 Interest on uninvested funds	-1	-1	-1
Non-Federal sources:			
88.40 Repayment of principal	-1	-1	-2
88.40 Interest received on loans		-1	-1
88.90 Total, offsetting collections (cash)	-2	-3	-4
Net financing authority and financing disbursements:			
89.00 Financing authority	101	378	128
90.00 Financing disbursements	13	10	21

Status of Direct Loans (in millions of dollars)

Identification code 12-4146-0-3-452	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans	400	380	130
1142 Unobligated direct loan limitation (-)	-300		
1150 Total direct loan obligations	100	380	130
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	2	16	27
1231 Disbursements: Direct loan disbursements	15	12	24
1251 Repayments: Repayments and prepayments	-1	-1	-2
1290 Outstanding, end of year	16	27	49

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 12-4146-0-3-452	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury		3		
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	1	14	26	48
1402 Interest receivable			1	1
1405 Allowance for subsidy cost (-)		1	-1	-1

Credit accounts—Continued

DISTANCE LEARNING AND TELEMEDICINE DIRECT LOAN FINANCING ACCOUNT—Continued

Balance Sheet (in millions of dollars)—Continued

Identification code 12-4146-0-3-452	2000 actual	2001 actual	2002 est.	2003 est.
1499 Net present value of assets related to direct loans	1	15	26	48
1999 Total assets	1	18	26	48
LIABILITIES:				
2101 Federal liabilities: Accounts payable	1	18	26	48
2999 Total liabilities	1	18	26	48
4999 Total liabilities and net position	1	18	26	48

[LOCAL TELEVISION LOAN GUARANTEE PROGRAM ACCOUNT]

[(INCLUDING TRANSFER OF FUNDS)]

【For gross obligations for the principal amount of guaranteed loans, as authorized by title X of Public Law 106-553 for the purpose of facilitating access to signals of local television stations for households located in nonserved areas and underserved areas, \$258,065,000.】

【For the cost of guaranteed loans, including the cost of modifying loans as defined in section 502 of the Congressional Budget Act of 1974, \$20,000,000.】

【In addition, for administrative expenses necessary to carry out the guaranteed loan program, \$2,000,000, which shall be transferred to and merged with the appropriation for “Rural Development, Salaries and Expenses”.】 (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 12-1233-0-1-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Loan guarantee subsidy		20	
00.09 Administrative expenses		2	
10.00 Total new obligations		22	
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		22	
23.95 Total new obligations		-22	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation		22	
Change in obligated balances:			
72.40 Obligated balance, start of year			16
73.10 Total new obligations		22	
73.20 Total outlays (gross)		-6	-9
74.40 Obligated balance, end of year			16
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		6	
86.93 Outlays from discretionary balances			9
87.00 Total outlays (gross)		6	9
Net budget authority and outlays:			
89.00 Budget authority		22	
90.00 Outlays		6	9

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 12-1233-0-1-452	2001 actual	2002 est.	2003 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Local television		258	
215901 Total loan guarantee levels		258	
Guaranteed loan subsidy (in percent):			
232001 Loan guarantee levels		7.75	0.00

232901 Weighted average subsidy rate	7.75	0.00
Guaranteed loan subsidy budget authority:		
233001 Loan guarantee levels	20	
233901 Total subsidy budget authority	20	
Guaranteed loan subsidy outlays:		
234001 Loan guarantee levels	4	9
234901 Total subsidy outlays	4	9
Administrative expense data:		
351001 Budget authority	2	
358001 Outlays from balances		
359001 Outlays from new authority	2	

The President's budget proposes no federally funded loans for the Local Television Loan Guarantee program begun in 2002.

The Local Television Loan program provides guaranteed loans to fund the provision of local television stations to rural residents.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the loan guarantees committed in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

Object Classification (in millions of dollars)

Identification code 12-1233-0-1-452	2001 actual	2002 est.	2003 est.
25.3 Other purchases of goods and services from Government accounts		2	
41.0 Grants, subsidies, and contributions		20	
99.9 Total new obligations		22	

LOCAL TELEVISION LOAN GUARANTEE FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4220-0-3-452	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year			4
22.00 New financing authority (gross)		4	10
23.90 Total budgetary resources available for obligation		4	14
24.40 Unobligated balance carried forward, end of year		4	14
New financing authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)		4	10
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Federal sources		-4	-9
88.25 Interest on uninvested funds			-1
88.90 Total, offsetting collections (cash)		-4	-10
Net financing authority and financing disbursements:			
89.00 Financing authority			
90.00 Financing disbursements		-4	-10

Status of Guaranteed Loans (in millions of dollars)

Identification code 12-4220-0-3-452	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders		258	
2150 Total guaranteed loan commitments		258	

2199	Guaranteed amount of guaranteed loan commitments	206
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year	52
2231	Disbursements of new guaranteed loans	52	116
2251	Repayments and prepayments		-2
2290	Outstanding, end of year	52	166
Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year	41	133

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 12-4220-0-3-452	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
1101	Fund balances with Treasury		4	14
Investments in US securities:				
1106	Receivables, net		16	6
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:				
1502	Interest receivable			1
1505	Allowance for subsidy cost (-)		-1	-3
1599	Net present value of assets related to defaulted guaranteed loans		-1	-2
1901	Other Federal assets: Other assets			19
1999	Total assets		19	37
LIABILITIES:				
Non-Federal liabilities:				
2204	Liabilities for loan guarantees		12	37
2207	Other		7
2999	Total liabilities		19	37
4999	Total liabilities and net position		19	37

RURAL DEVELOPMENT INSURANCE FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4155-0-3-452	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Capital investment:				
01.01	Interest on FFB borrowings	429	249	123
01.05	Interest on Treasury borrowings	47	66	42
10.00	Total new obligations (object class 43.0)	476	315	165
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	476	315	165
23.95	Total new obligations	-476	-315	-165
New budget authority (gross), detail:				
Mandatory:				
60.00	Appropriation	1,328	1,606	208
60.47	Portion applied to repay debt	-852	-1,291	-43
62.50	Appropriation (total mandatory)	476	315	165
69.00	Offsetting collections (cash)	388	354	332
69.47	Portion applied to repay debt	-388	-354	-332
69.90	Spending authority from offsetting collections (total mandatory)			
70.00	Total new budget authority (gross)	476	315	165
Change in obligated balances:				
72.40	Obligated balance, start of year	243	159	66
73.10	Total new obligations	476	315	165

73.20	Total outlays (gross)	-560	-407	-170
74.40	Obligated balance, end of year	159	66	61

Outlays (gross), detail:

86.97	Outlays from new mandatory authority	386	281	117
86.98	Outlays from mandatory balances	174	126	53
87.00	Total outlays (gross)	560	407	170

Offsets:

Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
Non-Federal sources:				
88.40	Non-Federal sources	-200	-187	-176
88.40	Repayments of guaranteed loans purchased from investors	-3	-3	-2
88.40	Interest revenue	-175	-164	-154
88.40	Undistributed charges	-10
88.90	Total, offsetting collections (cash)	-388	-354	-332

Net budget authority and outlays:

89.00	Budget authority	88	-39	-167
90.00	Outlays	172	53	-162

Status of Direct Loans (in millions of dollars)

Identification code 12-4155-0-3-452	2001 actual	2002 est.	2003 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	3,269	3,068	2,880
1251	Repayments: Repayments and prepayments	-200	-187	-176
1263	Write-offs for default: Direct loans	-1	-1	-1
1290	Outstanding, end of year	3,068	2,880	2,703

Status of Guaranteed Loans (in millions of dollars)

Identification code 12-4155-0-3-452	2001 actual	2002 est.	2003 est.	
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	112	99	87
2251	Repayments and prepayments	-13	-12	-10
2290	Outstanding, end of year	99	87	77

Memorandum:

2299	Guaranteed amount of guaranteed loans outstanding, end of year	67	57	48
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The Rural Development Insurance Fund (RDIF) was established on October 1, 1972, pursuant to section 116 of the Rural Development Act of 1972 (Public Law 92-419).

The fund is used to insure or guarantee loans for water systems and waste disposal facilities, community facilities, and industrial development in rural areas. Communities unable to afford low interest loans for water and waste disposal facilities are also able to obtain water and waste disposal grants.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, all cash flows to and from the Government resulting from direct loans obligated and loan guarantees committed prior to 1992. All new activity in this program is recorded in corresponding program accounts and financing accounts.

In 1994, these loan programs were administered by the Rural Development Administration. Under reorganization of the Department of Agriculture, the water and waste direct and guaranteed loan programs are administered by the Rural Utilities Service, the community facility direct and guaranteed loan programs are administered by the Rural Housing Service, and the business and industry direct and guaranteed loan programs are administered by the Rural Business-Cooperative Service.

Statement of Operations (in millions of dollars)

Identification code 12-4155-0-3-452	2000 actual	2001 actual	2002 est.	2003 est.	
0101	Revenue	590	483	402	335

Credit accounts—Continued

RURAL DEVELOPMENT INSURANCE FUND LIQUIDATING ACCOUNT—Continued

Statement of Operations (in millions of dollars)—Continued

Identification code 12-4155-0-3-452	2000 actual	2001 actual	2002 est.	2003 est.
0102 Expense	-993	-732	-515	-365
0105 Net income or loss (-)	-403	-249	-113	-30

Balance Sheet (in millions of dollars)

Identification code 12-4155-0-3-452	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	243	159	72	71
1201 Non-Federal assets: Investments in non-Federal securities, net	34	34	34	34
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross	3,269	3,068	2,880	2,703
1602 Interest receivable	59	56	54	51
1603 Allowance for estimated uncollectible loans and interest (-)	-1,076	-1,026	-964	-904
1604 Direct loans and interest receivable, net	2,252	2,098	1,970	1,850
1699 Value of assets related to direct loans	2,252	2,098	1,970	1,850
1901 Other Federal assets: Other assets	20	18	15	13
1999 Total assets	2,549	2,309	2,091	1,968
LIABILITIES:				
Federal liabilities:				
2103 Debt	4,306	3,066	1,421	1,046
2104 Resources payable to Treasury	-2,013	-930	592	849
Non-Federal liabilities:				
2202 Interest payable	243	158	66	61
2204 Liabilities for loan guarantees	2	2	2	2
2207 Other	11	13	10	10
2999 Total liabilities	2,549	2,309	2,091	1,968
4999 Total liabilities and net position	2,549	2,309	2,091	1,968

RURAL COMMUNICATION DEVELOPMENT FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4142-0-3-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Interest on Treasury borrowing	3	3	3
10.00 Total new obligations (object class 43.0)	3	3	3
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	2	
22.00 New budget authority (gross)	3	3	3
23.90 Total budgetary resources available for obligation	4	5	3
23.95 Total new obligations	-3	-3	-3
24.40 Unobligated balance carried forward, end of year	2		
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	2	2	2
69.00 Offsetting collections (cash)	1	1	1
70.00 Total new budget authority (gross)	3	3	3
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	1
73.10 Total new obligations	3	3	3
73.20 Total outlays (gross)	-3	-3	-3
74.40 Obligated balance, end of year	1	1	1
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	1	1	1

86.98 Outlays from mandatory balances	1		
87.00 Total outlays (gross)	3	3	3

Offsets:

Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-1	-1	-1

Net budget authority and outlays:

89.00 Budget authority	2	2	2
90.00 Outlays	2	2	2

Status of Direct Loans (in millions of dollars)

Identification code 12-4142-0-3-452	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	6	5	4
1251 Repayments: Repayments and prepayments	-1	-1	
1290 Outstanding, end of year	5	4	4

Status of Guaranteed Loans (in millions of dollars)

Identification code 12-4142-0-3-452	2001 actual	2002 est.	2003 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	4	4	4
2290 Outstanding, end of year	4	4	4
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	4	4	4

The Rural Communication Development Fund was established pursuant to the Secretary's Memorandum No. 1988, approved May 22, 1979. No loans have been made through this account since before 1992.

Statement of Operations (in millions of dollars)

Identification code 12-4142-0-3-452	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	3	3	3	2
0102 Expense	-5	-3	-3	-2
0105 Net income or loss (-)	-2			

Balance Sheet (in millions of dollars)

Identification code 12-4142-0-3-452	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	2	3	3	3
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross	6	5	4	4
1603 Allowance for estimated uncollectible loans and interest (-)	-1	1	-1	-1
1604 Direct loans and interest receivable, net	5	6	3	3
1699 Value of assets related to direct loans	5	6	3	3
1999 Total assets	7	9	6	6
LIABILITIES:				
Federal liabilities:				
2102 Interest payable	1	1	1	1
2103 Debt	25	25	24	24
2204 Non-Federal liabilities: Liabilities for loan guarantees	-17	-17	-19	-19
2999 Total liabilities	9	9	6	6
NET POSITION:				
3300 Cumulative results of operations	-2			
3999 Total net position	-2			

4999	Total liabilities and net position	7	9	6	6
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87.00	Total outlays (gross)	209	201	210
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FOREIGN AGRICULTURAL SERVICE

Federal Funds

General and special funds:

SALARIES AND EXPENSES

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses of the Foreign Agricultural Service, including carrying out title VI of the Agricultural Act of 1954 (7 U.S.C. 1761-1768), market development activities abroad, and for enabling the Secretary to coordinate and integrate activities of the Department in connection with foreign agricultural work, including not to exceed \$158,000 for representation allowances and for expenses pursuant to section 8 of the Act approved August 3, 1956 (7 U.S.C. 1766), **[\$121,813,000] \$135,570,000: Provided,** That the Service may utilize advances of funds, or reimburse this appropriation for expenditures made on behalf of Federal agencies, public and private organizations and institutions under agreements executed pursuant to the agricultural food production assistance programs (7 U.S.C. 1737) and the foreign assistance programs of the United States Agency for International Development: *Provided further,* That none of the funds appropriated in this account may be used to pay the salaries and expenses of personnel to disburse funds to any rice trade association under the market access program or the foreign market development program at any time when the applicable international activity agreement for such program is not in effect.

None of the funds in the foregoing paragraph shall be available to promote the sale or export of tobacco or tobacco products. (*Agriculture, Rural Development, Food and Drug Administration and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 12-2900-0-1-352	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Market access	29	31	33
00.02 Market development	34	35	37
00.03 Market intelligence	26	29	31
00.04 Financial marketing assistance	6	7	10
00.05 Long-term market and infrastructure development	23	24	25
09.00 Reimbursable program	82	73	73
10.00 Total new obligations	200	199	209
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	18	29	29
22.00 New budget authority (gross)	210	199	209
22.22 Unobligated balance transferred from other accounts	1		
23.90 Total budgetary resources available for obligation	229	228	238
23.95 Total new obligations	-200	-199	-209
24.40 Unobligated balance carried forward, end of year	29	29	29
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	118	126	136
42.00 Transferred from other accounts	10		
43.00 Appropriation (total discretionary)	128	126	136
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	82	73	73
70.00 Total new budget authority (gross)	210	199	209
Change in obligated balances:			
72.40 Obligated balance, start of year	43	44	42
73.10 Total new obligations	200	199	209
73.20 Total outlays (gross)	-209	-201	-210
73.40 Adjustments in expired accounts (net)	10		
74.40 Obligated balance, end of year	44	42	41
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	201	189	198
86.93 Outlays from discretionary balances	8	10	10

Offsets:

Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-82	-73	-73

Net budget authority and outlays:

89.00	Budget authority	128	126	136
90.00	Outlays	127	128	137

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	125	122	132
90.00	Outlays	124	124	133

The mission of the Foreign Agricultural Service (FAS) is to open, expand and maintain global market opportunities through international trade, cooperation, and sustainable development activities which secure the long-term economic vitality and global competitiveness of America's rural communities and related food and agricultural enterprises.

FAS conducts a demand-driven export strategy, deploying five major policy objectives to execute the strategy, while integrating commodity and country market priorities for allocating scarce export assistance resources. These objectives include:

Market access: FAS initiates, directs and coordinates the Department's formulation of trade policies and programs with the goal of maintaining and expanding world markets for U.S. agricultural products. It monitors international compliance with bilateral and multilateral trade agreements. It identifies restrictive tariff and trade practices which act as barriers to the import of U.S. agricultural commodities, then supports negotiations to remove them. It acts to counter and eliminate unfair trade practices of other countries that hinder U.S. agricultural exports to those markets. In virtually every foreign market, U.S. agricultural exports are subject to import duties and non-tariff trade restrictions. Trade information sent to Washington from FAS personnel overseas is used to map strategies for improving market access, pursuing U.S. rights under trade agreements, and developing programs and policies to make U.S. farm products more competitive.

Market development, promotion and outreach: FAS develops foreign markets for U.S. farm products through aggressive market expansion activities. It provides services to the U.S. and foreign agricultural trade sectors that are necessary to establish, build and maintain overseas markets for U.S. agricultural products. Public Law 83-690, approved August 28, 1954, includes authority to establish up to 25 Agricultural Trade Offices. Currently 16 such offices are in operation at key foreign trading centers to assist U.S. exporters, trade groups and state export marketing officials in trade promotion. Promotional activities are carried out chiefly in cooperation with non-profit agricultural trade associations and firms on a cost-sharing basis. The largest of FAS's promotional programs are the Foreign Market Development Co-operator Program and Market Access Program. In addition, FAS sponsors U.S. participation in several major trade shows and a number of single-industry exhibitions each year. The Quality samples program provides samples of U.S. agricultural products to foreign importers to help overcome marketing trade barriers. These programs are designed to create demand for U.S. agricultural products in foreign markets, introduce U.S. food and agricultural products to potential foreign customers, and show foreign customers how to use U.S. products.

FAS strategic outreach efforts focus on facilitating export readiness and help link both export-ready and new-to-export

General and special funds—Continued**SALARIES AND EXPENSES—Continued**

(INCLUDING TRANSFERS OF FUNDS)—Continued

firms to market entry opportunities, and increase domestic awareness of export opportunities/global consumer quality and product safety expectations. These efforts are designed to strengthen the export knowledge/skills of producers and exporters so they can compete more effectively in the international marketplace. Outreach also includes targeting foreign buyers in educating them about the merits of U.S. products and how they can be purchased.

Market intelligence: FAS provides U.S. farmers and traders with information on world agricultural production and trade that they can use to adjust to changes in world demand for U.S. agricultural products. This is done through a continuous program of reporting by 63 posts located throughout the world covering some 130 countries. Reporting includes information and/or data on foreign government policies, analysis of supply and demand conditions, commercial trade relationships and market opportunities. Advanced computer and telecommunications technology is used to improve and speed the flow of information between the posts and Washington. FAS analyzes agricultural information essential to the assessment of foreign supply and demand conditions in order to provide estimates of the current situation and to forecast the export potential for specific U.S. agricultural commodities.

Financial marketing assistance: FAS administers a number of price/credit and risk assistance programs designed to leverage overseas market expansion for U.S. agricultural, fish, and forest products. These programs include CCC Export Credit Guarantee Programs, export subsidy programs, including the Export Enhancement Program and Dairy Export Incentive Program. These programs are designed to help developing nations make the transition from concessional financing to cash purchases, give U.S. producers the ability to counter export subsidies of foreign competitors and allow U.S. exporters to compete with sales terms offered by foreign competitors.

Long-term market and infrastructure development: FAS helps USDA and other federal agencies, U.S. universities, and others enhance the global competitiveness of U.S. agriculture by mobilizing expertise for agriculturally led economic growth in developing countries. FAS provides linkages to world resources and international organizations to facilitate new technologies that are vital to improving the agricultural demand base and producing new alternative products. Direct program activities include administering the Cochran Fellowship program and managing USDA's bilateral exchange and cooperative research programs with foreign governments and institutions.

FAS also administers food assistance activities such as Public Law 480, Title I; Food for Progress; and Section 416(b) programs. P.L. 480 Title I food aid is designed to help developing nations make the transition from donations and concessional financing to cash purchases while assisting them in feeding their undernourished people. The Emerging Markets Program, under which technical assistance and related activities are carried out in emerging markets, is aimed at enhancing developing countries' food and rural business systems and expanding U.S. agricultural exports. Food for Progress provides food to developing countries and emerging democracies that have made commitments to introduce or expand free enterprise into their agricultural economies. Section 416(b) provides overseas donations of surplus commodities owned by the CCC to assist developing and friendly countries.

At the request of the Agency for International Development, international organizations and foreign governments, technical assistance and training in agriculture and rural develop-

ment are provided on a reimbursable or advance of funds basis.

Object Classification (in millions of dollars)

Identification code 12-2900-0-1-352	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	50	55	57
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	1	1	1
11.8 Special personal services payments	2	2	2
11.9 Total personnel compensation	55	60	62
12.1 Civilian personnel benefits	18	20	20
21.0 Travel and transportation of persons	5	5	5
22.0 Transportation of things	1	1	1
23.2 Rental payments to others	6	7	8
23.3 Communications, utilities, and miscellaneous charges	2	2	2
24.0 Printing and reproduction	1	1	1
25.2 Other services	27	27	32
25.8 Subsistence and support of persons	1	1	1
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	1	3
99.0 Direct obligations	118	126	136
99.0 Reimbursable obligations	82	73	73
99.9 Total new obligations	200	199	209

Personnel Summary

Identification code 12-2900-0-1-352	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	800	836	836
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	143	149	149

SCIENTIFIC ACTIVITIES OVERSEAS (FOREIGN CURRENCY PROGRAM)**Program and Financing** (in millions of dollars)

Identification code 12-1404-0-1-352	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	1
24.40 Unobligated balance carried forward, end of year	1	1	1
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	1
73.20 Total outlays (gross)	-1	-1
74.40 Obligated balance, end of year	1	1
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	1	1
Net budget authority and outlays:			
89.00 Budget authority
90.00 Outlays	1

As authorized by the Agricultural Trade Development and Assistance Act of 1954 (Public Law 480), as amended, USDA uses foreign currencies to support research on problems of mutual interest to the United States and participating foreign countries. After 1991 no new foreign currency programs have been or are proposed to be initiated.

FOREIGN ASSISTANCE PROGRAMS

The funds and facilities of the Commodity Credit Corporation may, by law, be used in carrying out programs to encourage the export of agricultural commodities.

Included in this category are the following activities carried out under the Agricultural Trade Development and Assistance

Act of 1954, Public Law 480, 83rd Congress, as amended (P.L. 480): Financing sales of agricultural commodities to developing countries for dollars on credit terms, or for local currencies (including for local currencies on credit terms) for use under sec. 104 (title I); for dispositions abroad (titles II and III); and for furnishing commodities to carry out the Food for Progress Act of 1985, as amended. Agreements may provide for commodities to be made available on a multi-year basis.

**PUBLIC LAW 480 TITLE I OCEAN FREIGHT DIFFERENTIAL GRANTS
(INCLUDING TRANSFER OF FUNDS)**

For ocean freight differential costs for the shipment of agricultural commodities under title I of the Agricultural Trade Development and Assistance Act of 1954 and under the Food for Progress Act of 1985, **[\$20,277,000]** \$28,000,000, to remain available until expended: *Provided*, That funds made available for the cost of agreements under title I of the Agricultural Trade Development and Assistance Act of 1954 and for title I ocean freight differential may be used interchangeably between the two accounts with prior notice to the Committees on Appropriations of both Houses of Congress. (7 U.S.C. 1701b, 2209b; *Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 12-2271-0-1-351	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 P.L. 480 grant—Title I: Ocean freight differential (OFD)	26	35	28
09.00 Reimbursable program		8	
10.00 Total new obligations	26	43	28
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		15	
22.00 New budget authority (gross)	20	28	28
22.22 Unobligated balance transferred from other accounts	21		
23.90 Total budgetary resources available for obligation	41	43	28
23.95 Total new obligations	-26	-43	-28
24.40 Unobligated balance carried forward, end of year	15		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	20	20	28
Mandatory:			
69.00 Offsetting collections (cash)		8	
70.00 Total new budget authority (gross)	20	28	28
Change in obligated balances:			
72.40 Obligated balance, start of year		25	20
73.10 Total new obligations	26	43	28
73.20 Total outlays (gross)	-1	-48	-34
74.40 Obligated balance, end of year	25	20	14
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1	11	16
86.93 Outlays from discretionary balances		32	15
86.97 Outlays from new mandatory authority		5	
86.98 Outlays from mandatory balances			3
87.00 Total outlays (gross)	1	48	34
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources		-8	
Net budget authority and outlays:			
89.00 Budget authority	20	20	28
90.00 Outlays	1	40	34

This account funds the title I ocean freight differential program. The Administration proposes an increase in funding to maintain the program level while eliminating reimbursements from the Maritime Administration. This approach will eliminate a time consuming intragovernmental transfer.

Object Classification (in millions of dollars)

Identification code 12-2271-0-1-351	2001 actual	2002 est.	2003 est.
41.0 Direct obligations: Grants, subsidies, and contributions	26	35	28
99.0 Reimbursable obligations: Reimbursable obligations		8	
99.9 Total new obligations	26	43	28

PUBLIC LAW 480 TITLE II GRANTS

For expenses during the current fiscal year, not otherwise recoverable, and unrecovered prior years' costs, including interest thereon, under the Agricultural Trade Development and Assistance Act of 1954, **[\$850,000,000]**, to remain available until expended, for commodities supplied in connection with dispositions abroad under title II of said Act, **\$1,185,000,000**, to remain available until expended, of which **\$34,000,000** may be used to carry out section 202(e), notwithstanding section 202(e)(1). (7 U.S.C. 1691, 1721-26a, 1727-27e, 1731-36g-3, 1737, 2209b; *Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 12-2278-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Title I OFD	94		
00.02 Title II	851	999	1,185
09.00 Reimbursable program	28	10	
10.00 Total new obligations	973	1,009	1,185
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	176	93	49
22.00 New budget authority (gross)	863	860	1,185
22.10 Resources available from recoveries of prior year obligations	32	10	
22.21 Unobligated balance transferred to other accounts	-6		
22.22 Unobligated balance transferred from other accounts	1	95	
23.90 Total budgetary resources available for obligation	1,066	1,058	1,234
23.95 Total new obligations	-973	-1,009	-1,185
24.40 Unobligated balance carried forward, end of year	93	49	49
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	837	850	1,185
40.35 Appropriation rescinded	-2		
43.00 Appropriation (total discretionary)	835	850	1,185
Mandatory:			
69.00 Offsetting collections (Reimbursements from the Maritime Administration)	28	10	
70.00 Total new budget authority (gross)	863	860	1,185
Change in obligated balances:			
72.40 Obligated balance, start of year	622	589	636
73.10 Total new obligations	973	1,009	1,185
73.20 Total outlays (gross)	-975	-953	-1,063
73.45 Recoveries of prior year obligations	-32	-10	
74.40 Obligated balance, end of year	589	636	758
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	413	446	622
86.93 Outlays from discretionary balances	547	493	435
86.97 Outlays from new mandatory authority	15	5	
86.98 Outlays from mandatory balances		9	6
87.00 Total outlays (gross)	975	953	1,063
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-28	-10	
Net budget authority and outlays:			
89.00 Budget authority	835	850	1,185
90.00 Outlays	947	943	1,063

Note.—Includes \$6 million in budget authority in 2003 for World Food Program activities previously financed from International Assistance Programs, Multilateral Assistance, International Organizations and Programs.

PUBLIC LAW 480 TITLE II GRANTS—Continued

This account funds the non-credit components of Public Law 480. The Administration is requesting an increase in annual appropriations for this account to ensure that the U.S. maintains leadership in international food aid while significantly reducing mandatory programs, consolidating programming through private voluntary organizations and the World Food Program, and eliminating reimbursements from the Maritime Administration.

The Administration proposes to shift funding for several programs from mandatory to discretionary. In the case of all these programs, there is no inherent, programmatic justification to provide mandatory funding. Although no specific legislation is proposed, the Administration has increased the discretionary request and will constrain mandatory spending for international food aid. By doing so, a larger proportion of international food aid will be subject to the annual appropriations process, where Congress has discretion to scrutinize Federal spending and determine how to best allocate limited resources.

In addition, the Administration is requesting funds in this account to cover the portion of cargo preference costs previously reimbursed by the Maritime Administration. This approach will eliminate a duplicative financing system and a time consuming intra-governmental transfer.

Object Classification (in millions of dollars)			
Identification code 12-2278-0-1-151	2001 actual	2002 est.	2003 est.
41.0 Direct obligations: Grants, subsidies, and contributions	945	999	1,185
99.0 Reimbursable obligations: Reimbursable obligations ...	28	10
99.9 Total new obligations	973	1,009	1,185

Credit accounts:

PUBLIC LAW 480 TITLE I PROGRAM ACCOUNT
(INCLUDING TRANSFERS OF FUNDS)

For the cost, as defined in section 502 of the Congressional Budget Act of 1974, of agreements under the Agricultural Trade Development and Assistance Act of 1954, and the Food for Progress Act of 1985, including the cost of modifying credit arrangements under said Acts, **[\$126,409,000] \$98,904,000**, to remain available until expended.

In addition, for administrative expenses to carry out the credit program of title I, Public Law 83-480, and the Food for Progress Act of 1985, to the extent funds appropriated for Public Law 83-480 are utilized, **[\$2,005,000] \$2,059,000**, of which \$1,033,000 may be transferred to and merged with the appropriation for "Foreign Agricultural Service, Salaries and Expenses", and of which **[\$972,000] \$1,026,000** may be transferred to and merged with the appropriation for "Farm Service Agency, Salaries and Expenses". (7 U.S.C. 1691, 1701-04, 1731-36g-3, 2209b; Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)			
Identification code 12-2277-0-1-351	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct credit subsidy	196	338	99
00.05 Re-estimates of subsidy	110
00.06 Interest on re-estimates	35
00.09 Administrative expenses	2	2	2
10.00 Total new obligations	198	485	101
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	371	294
22.00 New budget authority (gross)	255	191	101
22.21 Unobligated balance transferred to other accounts	-16
22.40 Capital transfer to general fund	-118
23.90 Total budgetary resources available for obligation	492	485	101
23.95 Total new obligations	-198	-485	-101
24.40 Unobligated balance carried forward, end of year	294

New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	116	128	101
Mandatory:			
60.00 Appropriation	82	63
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	57
70.00 Total new budget authority (gross)	255	191	101
Change in obligated balances:			
72.40 Obligated balance, start of year	91	64	67
73.10 Total new obligations	198	485	101
73.20 Total outlays (gross)	-344	-482	-113
74.10 Change in uncollected customer payments from Federal sources (expired)	119
74.40 Obligated balance, end of year	64	67	55
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	22	74	58
86.93 Outlays from discretionary balances	322	263	55
86.97 Outlays from new mandatory authority	63
86.98 Outlays from mandatory balances	82
87.00 Total outlays (gross)	344	482	113
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-57
Net budget authority and outlays:			
89.00 Budget authority	198	191	101
90.00 Outlays	287	482	113

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)			
Identification code 12-2277-0-1-351	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:			
115001 P. L. 480 title I loans	159	155	132
115901 Total direct loan levels	159	155	132
Direct loan subsidy (in percent):			
132001 PL 480 Title I loans	71.51	81.73	75.11
132901 Weighted average subsidy rate	71.51	81.73	75.11
Direct loan subsidy budget authority:			
133001 PL 480 Title I loans	114	127	99
133901 Total subsidy budget authority	114	127	99
Direct loan subsidy outlays:			
134001 PL 480 Title I loans	52	335	111
134901 Total subsidy outlays	52	335	111
Direct loan upward reestimate subsidy budget authority:			
135001 PL 480 Title I loans	145
135901 Total upward reestimate budget authority	145
Direct loan upward reestimate subsidy outlays:			
136001 PL 480 Title I loans	145
136901 Total upward reestimate outlays	145
Direct loan downward reestimate subsidy budget authority:			
137001 PL 480 Title I loans	-39
137901 Total downward reestimate budget authority	-39
Direct loan downward reestimate subsidy outlays:			
138001 PL 480 Title I loans	-39
138901 Total downward reestimate subsidy outlays	-39
Administrative expense data:			
351001 Budget authority	2	2	2
358001 Outlays from balances
359001 Outlays from new authority	2	2	2

As required by the Federal Credit Reform Act of 1990, this account records, for the P.L. 480 Program, the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligation in any year), as well as administrative ex-

penses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

Object Classification (in millions of dollars)

Identification code 12-2277-0-1-351	2001 actual	2002 est.	2003 est.
25.3 Other purchases of goods and services from Government accounts	2	2	2
41.0 Grants, subsidies, and contributions	196	483	99
99.9 Total new obligations	198	485	101

P.L. 480 DIRECT CREDIT FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4049-0-3-351	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Operating program:			
00.01 Direct loans	60	514	132
00.02 Interest on Treasury borrowing		37	41
00.91 Total, Operating program	60	551	173
Re-estimates:			
08.02 Payment of downward re-estimate to receipt account	23		
08.04 Payment of interest on downward re-estimate to receipt account	16		
08.91 Total, Re-estimates	39		
10.00 Total new obligations	99	551	173
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	158	159	
22.00 New financing authority (gross)	287	669	192
22.40 Capital transfer to general fund		-277	-19
22.70 Balance of authority to borrow withdrawn	-188		
23.90 Total budgetary resources available for obligation	257	551	173
23.95 Total new obligations	-99	-551	-173
24.40 Unobligated balance carried forward, end of year	159		
New financing authority (gross), detail:			
Mandatory:			
67.10 Authority to borrow	235	188	74
69.00 Offsetting collections (cash)	134	615	254
69.10 Change in uncollected customer payments from Federal sources (unexpired)	-82	-134	-136
69.90 Spending authority from offsetting collections (total mandatory)	52	481	118
70.00 Total new financing authority (gross)	287	669	192
Change in obligated balances:			
72.40 Obligated balance, start of year	-119	43	85
73.10 Total new obligations	99	551	173
73.20 Total financing disbursements (gross)	-180	-643	-326
73.40 Adjustments in expired accounts (net)	162		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	82	134	136
74.40 Obligated balance, end of year	43	85	68
87.00 Total financing disbursements (gross)	180	643	326
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Payments from program account	-52	-480	-111
88.25 Interest on uninvested funds	-16	-1	-1
Non-Federal sources:			
88.40 Interest received on loans	-35	-60	-62
88.40 Principal received on loans	-31	-74	-80
88.90 Total, offsetting collections (cash)	-134	-615	-254
Against gross financing authority only:			
88.95 Change in receivables from program accounts	82	134	136
Net financing authority and financing disbursements:			
89.00 Financing authority	235	188	74

90.00 Financing disbursements	46	28	72
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Status of Direct Loans (in millions of dollars)

Identification code 12-4049-0-3-351	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans	160	155	132
1121 Limitation available from carry-forward	259	359	
1143 Unobligated limitation carried forward (P.L. 106-387) (-)	-359		
1150 Total direct loan obligations	60	514	132
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	2,055	2,176	2,236
1231 Disbursements: Direct loan disbursements	180	119	107
1251 Repayments: Repayments and prepayments	-59	-59	-73
1290 Outstanding, end of year	2,176	2,236	2,270

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 12-4049-0-3-351	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	17	203	44	44
Investments in US securities:				
1106 Receivables, net	65	64	64	64
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	2,055	2,176	2,236	2,270
1402 Interest receivable	8	24	24	24
1405 Allowance for subsidy cost (-)	-1,939	-1,603	-1,663	-1,697
1499 Net present value of assets related to direct loans	124	597	597	597
1901 Other Federal assets: Other assets	529	329	329	329
1999 Total assets	735	1,193	1,034	1,034
LIABILITIES:				
Federal liabilities:				
2101 Accounts payable	8	31	31	31
2103 Debt	518	753	753	753
2105 Other	209	409	250	250
2999 Total liabilities	735	1,193	1,034	1,034
4999 Total liabilities and net position	735	1,193	1,034	1,034

DEBT REDUCTION—FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4143-0-3-351	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Payment to liquidating account	15		
00.02 Interest on debt to Treasury	2		
00.91 Direct Program by Activities—Subtotal (1 level)	17		
08.02 Payment of downward re-estimate to receipt account		1	
10.00 Total new obligations	17	1	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	20	39	
22.00 New financing authority (gross)	57	35	32
22.40 Capital transfer to general fund	-21	-31	-32
22.60 Portion applied to repay debt		-42	
23.90 Total budgetary resources available for obligation	56	1	

Credit accounts—Continued

DEBT REDUCTION—FINANCING ACCOUNT—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 12-4143-0-3-351	2001 actual	2002 est.	2003 est.
23.95 Total new obligations	-17	-1	
24.40 Unobligated balance carried forward, end of year	39		
New financing authority (gross), detail:			
Mandatory:			
67.10 Authority to borrow	15	1	
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	42	34	32
70.00 Total new financing authority (gross)	57	35	32
Change in obligated balances:			
72.40 Obligated balance, start of year		-5	
73.10 Total new obligations	17	1	
73.20 Total financing disbursements (gross)	-35	4	
73.40 Adjustments in expired accounts (net)	13		
74.40 Obligated balance, end of year	-5		
87.00 Total financing disbursements (gross)	35	-4	
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Federal sources	-33	-27	-25
88.25 Interest on uninvested funds	-2		
88.40 Non-Federal sources	-7	-7	-7
88.90 Total, offsetting collections (cash)	-42	-34	-32
Net financing authority and financing disbursements:			
89.00 Financing authority	15	1	
90.00 Financing disbursements	-7	-38	-32

Status of Direct Loans (in millions of dollars)

Identification code 12-4143-0-3-351	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans			
1150 Total direct loan obligations			
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	57	132	125
1233 Disbursements: Purchase of loans assets from a liquidating account	82		
1251 Repayments: Repayments and prepayments	-7	-7	-7
1290 Outstanding, end of year	132	125	118

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 12-4143-0-3-351	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	57	132	125	118
1405 Allowance for subsidy cost (-)	-24	-87	-87	-87
1499 Net present value of assets related to direct loans	33	45	38	31
1999 Total assets	33	45	38	31
LIABILITIES:				
2103 Federal liabilities: Debt	33	45	38	31

2999 Total liabilities	33	45	38	31
4999 Total liabilities and net position	33	45	38	31

P.L. 480 TITLE I FOOD FOR PROGRESS CREDITS, PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-2273-0-1-351	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.05 Re-estimates of subsidy		28	
00.06 Interest on re-estimates		17	
10.00 Total new obligations (object class 41.0)		45	
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		45	
23.95 Total new obligations		-45	
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation		45	
Change in obligated balances:			
73.10 Total new obligations		45	
73.20 Total outlays (gross)		-45	
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority		45	
Net budget authority and outlays:			
89.00 Budget authority		45	
90.00 Outlays		45	

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 12-2273-0-1-351	2001 actual	2002 est.	2003 est.
Direct loan subsidy outlays:			
134001 Subsidy outlays			
134901 Total subsidy outlays			
Direct loan upward reestimate subsidy budget authority:			
135001 Upward reestimates subsidy budget authority		45	
135901 Total upward reestimate budget authority		45	
Direct loan upward reestimate subsidy outlays:			
136001 Upward reestimates subsidy outlays		45	
136901 Total upward reestimate outlays		45	
Administrative expense data:			
351001 Budget authority			
358001 Outlays from balances			
359001 Outlays from new authority			

Sales of U.S. commodities under the credit portion of the Food for Progress were made to Russia in 1993. The assistance is subject to credit reform budgeting. No credit has been issued since.

P.L. 480 TITLE I FOOD FOR PROGRESS CREDITS, FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-4078-0-3-351	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Interest to Treasury on borrowings	12	11	7
10.00 Total new obligations	12	11	7
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		47	
22.00 New financing authority (gross)	60	129	79
22.40 Capital transfer to general fund		-165	-72

23.90	Total budgetary resources available for obligation	60	11	7
23.95	Total new obligations	-12	-11	-7
24.40	Unobligated balance carried forward, end of year	47		

New financing authority (gross), detail:

Discretionary:				
47.00	Authority to borrow		11	7
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	60	118	72
70.00	Total new financing authority (gross)	60	129	79

Change in obligated balances:

73.10	Total new obligations	12	11	7
73.20	Total financing disbursements (gross)	-12	-11	-7
87.00	Total financing disbursements (gross)	12	11	7

Offsets:

Against gross financing authority and financing disbursements:				
Offsetting collections (cash) from:				
88.00	Federal sources		-45	
88.25	Interest on uninvested funds	-2		
Non-Federal sources:				
88.40	Principal collections	-39	-56	-56
88.40	Interest collections	-19	-17	-16
88.90	Total, offsetting collections (cash)	-60	-118	-72

Net financing authority and financing disbursements:

89.00	Financing authority		11	7
90.00	Financing disbursements	-47	-107	-65

Status of Direct Loans (in millions of dollars)

Identification code 12-4078-0-3-351		2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:				
1111	Limitation on direct loans			
1150	Total direct loan obligations			
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	504	465	409
1251	Repayments: Repayments and prepayments	-39	-56	-56
1290	Outstanding, end of year	465	409	353

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 12-4078-0-3-351		2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:					
Net value of assets related to post-1991 direct loans receivable:					
1401	Direct loans receivable, gross	504	465	409	353
1402	Interest receivable	15	19	17	16
1405	Allowance for subsidy cost (-)	-328	-347	-347	-347
1499	Net present value of assets related to direct loans	191	137	79	22
1999	Total assets	191	137	79	22
LIABILITIES:					
2103	Federal liabilities: Debt	191	137	79	22
2999	Total liabilities	191	137	79	22
4999	Total liabilities and net position	191	137	79	22

EXPENSES, PUBLIC LAW 480, FOREIGN ASSISTANCE PROGRAMS, AGRICULTURE LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 12-2274-0-1-151		2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	77	77	
22.40	Capital transfer to general fund		-77	
23.90	Total budgetary resources available for obligation	77		
24.40	Unobligated balance carried forward, end of year	77		
New budget authority (gross), detail:				
Mandatory:				
Offsetting collections (cash):				
69.00	Offsetting collections (cash) (Principal and interest)	460	438	432
69.00	Offsetting collections (cash) (Federal sources)	26	32	
69.27	Capital transfer to general fund	-486	-470	-432
69.90	Spending authority from offsetting collections (total mandatory)			
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources—debt reduction	-26	-32	
88.40	Principal and interest collections	-460	-438	-432
88.90	Total, offsetting collections (cash)	-486	-470	-432
Net budget authority and outlays:				
89.00	Budget authority	-486	-470	-432
90.00	Outlays	-487	-470	-432

Status of Direct Loans (in millions of dollars)

Identification code 12-2274-0-1-151		2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	8,542	8,219	7,925
Repayments:				
Repayments and prepayments:				
1251	Repayments and prepayments	-297	-274	-278
1251	Repayments and prepayments—debt reduction	-26	-20	
1290	Outstanding, end of year	8,219	7,925	7,647

Program Activities

(In millions of dollars)

	2001 actual	2002 est.	2003 est.
Ocean freight differential (title I)	119	43	28
Commodities supplied in connection with dispositions abroad (title II)	879	1,009	1,185
Commodities supplied in connection with dispositions abroad (title III)			
Total program level	998	1,052	1,213

RECONCILIATION OF PROGRAM LEVEL TO PROGRAM COSTS FUNDED BY P.L. 480

(In millions of dollars)

	2001 actual	2002 est.	2003 est.
<i>Title I</i>			
Commodity credits	59	514	132
Ocean freight differential and ocean transportation	119	43	28
Total program level, current year	178	557	160
Prior year obligations financed	406	354	266
Obligations financed in succeeding years	-354	-266	-107
Administrative costs	2	2	2
Total program costs, funded program level	232	647	321
<i>Title II</i>			
Commodity costs	415	486	555
Ocean and inland transportation	464	523	630
Total program level, current year	879	1,009	1,185

Credit accounts—ContinuedEXPENSES, PUBLIC LAW 480, FOREIGN ASSISTANCE PROGRAMS,
AGRICULTURE LIQUIDATING ACCOUNT—Continued**RECONCILIATION OF PROGRAM LEVEL TO PROGRAM COSTS FUNDED BY P.L.
480—Continued**

[In millions of dollars]

	2001 actual	2002 est.	2003 est.
Prior year obligations financed	572	539	605
Current year obligations financed in succeeding years	-539	-605	-727
Total program costs, funded program level	912	943	1,063
<i>Title III</i>			
Prior year obligations financed	5		
Total program costs, funded program level	5		

Financing sales of agricultural commodities to developing countries for dollars on credit terms, or for local currencies (including for local currencies on credit terms) for use under sec. 104; and for furnishing commodities to carry out the Food for Progress Act of 1985, as amended (title I).—Funds appropriated for P.L. 480 are used to finance all sales made pursuant to agreements concluded under the authority of Title I. The Corporation may serve as the purchasing or shipping agent, or both, for the importing country or may award contracts for freight agent services on behalf of the Corporation to handle shipping of commodities under P.L. 480.

Sales are made to developing countries as defined in section 402(4) of P.L. 480 and must not displace expected commercial sales (secs. 403(e) and (h)). Agreements are made with developing countries for delivery in accordance with the terms of the agreement.

When U.S.-flag vessels are required to ship commodities under this title, the Corporation will pay the difference between U.S.-flag rates and foreign-flag rates. In limited cases, full transportation costs to port-of-entry or point-of-entry abroad may be included along with the cost of the commodity in the amount financed by CCC in order to ensure that U.S. food aid can reach the most needy recipients.

Financing sales of agricultural commodities for dollars on credit terms (title I).—Payment by developing countries or private entities may be made over a period of not more than 30 years with a deferral of principal payments for up to 5 years. Interest accrues at a concessional rate as determined appropriate.

Section 411 of P.L. 480 authorizes the President to waive payments of principal and interest under dollar credit sales agreements for countries that meet certain enumerated requirements. Such debt relief may be provided only if the President notifies Congress and may not exceed the amount approved for such purpose in an Act appropriating funds to carry out P.L. 480.

Financing sales of agricultural commodities for local currency, including for local currency on credit terms.—Payment by a recipient country may be made in local currencies for use in carrying out activities under section 104 of P.L. 480.

Foreign currency received in payment for credit extended may be used for payment of U.S. obligations abroad, subject to the appropriation process. The P.L. 480 program is reimbursed for the dollar value of currencies so used.

The financing of sales of agricultural commodities for local currencies on credit terms is subject to the same terms that are applicable to dollar credit financing.

Furnishing commodities to carry out the Food for Progress Act of 1985, as amended (title I).—Funds appropriated to carry out title I may be used to furnish commodities to carry out the Food for Progress Act of 1985. Such commodities may be furnished on credit terms or on a grant basis in order to assist developing countries and countries that are

emerging democracies that have made a commitment to introduce and expand free enterprise elements in their agricultural economies.

The following table reflects the composition of the combined appropriations (in millions of dollars):

SALES FOR DOLLARS ON CREDIT TERMS

[In millions of dollars]

Item:	2001 actual	2002 est.	2003 est.
Expenses of shipments (Title I):			
Commodity costs:			
Long-term credit	59	514	132
Total commodity costs	59	514	132
Ocean freight and freight differential (support of U.S. Merchant Marine):			
Long-term credit	119	43	28
Total ocean freight and freight differential	119	43	28
Total expenses of shipments	178	557	160
Appropriation—Title I loan subsidy	98	126	99
Appropriation—Ocean freight differential	41	20	28
Title I credit not subsidized through appropriation	-39	388	33

Commodities supplied in connection with dispositions abroad (title II).—Under title II, agricultural commodities are furnished to meet famine or other emergency relief needs, combat malnutrition, carry out activities to alleviate the causes of hunger, mortality and morbidity, promote economic and community development, promote sound environmental practices, and carry out feeding programs. Agricultural commodities are provided through governments for emergencies only, and for non-emergencies through public and private agencies, including intergovernmental organizations.

The Corporation is authorized to pay the costs of acquisition, packaging, processing, enrichment, preservation, fortification, transportation, handling, and other incidental costs incurred up to the time of delivery at U.S. ports. The Corporation also pays ocean freight charges, and pays transportation costs to points of entry other than ports in the case of landlocked countries, where carriers to a specific country are not available, where ports cannot be used effectively, or where a substantial savings in costs or time can be effected, and pays general average contributions arising from ocean transport. In addition, transportation costs from designated points of entry or ports of entry abroad to storage and distribution sites and associated storage and distribution costs may be paid for commodities made available to meet urgent and extraordinary relief requirements.

The 2001 Emergency Supplemental Appropriations Act for Recovery from and Response to Terrorist Attacks provided for disaster recovery activities and assistance. Title II had received \$95 million from the fund as of December 31, 2001.

The following table reflects the composition of the appropriations (in millions of dollars):

COMMODITIES SUPPLIED IN CONNECTION WITH DISPOSITIONS ABROAD

(TITLE II)

[In millions of dollars]

Item:	2001 actual	2002 est.	2003 est.
Expenses of shipments:			
Commodity Credit Corporation stocks and other costs in connection with commodities supplied	415	486	555
Ocean transportation	464	523	630
Total program costs	879	1,009	1,185
Appropriation or estimate	879	1,009	1,185

Commodities supplied in connection with dispositions abroad (title III).—Under title III, agricultural commodities are furnished to least developed countries as defined in section 302(a). They are provided through foreign governments for direct feeding, development of emergency food reserves or may be sold with the proceeds of such sale used by the recipient country for specific economic development purposes.

The Corporation may pay, in connection with furnishing commodities under title III, the same cost items as authorized under title II. Although no funding is requested for Title III, up to 15 percent of funds from other titles under P.L. 480 may be transferred for this program.

Balance Sheet (in millions of dollars)

Identification code 12-2274-0-1-151	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross	8,542	8,219	7,925	7,647
1699 Value of assets related to direct loans	8,542	8,219	7,925	7,647
1999 Total assets	8,542	8,219	7,925	7,647
LIABILITIES:				
2104 Federal liabilities: Resources payable to Treasury	8,542	8,219	7,925	7,647
2999 Total liabilities	8,542	8,219	7,925	7,647
4999 Total liabilities and net position	8,542	8,219	7,925	7,647

MISCELLANEOUS CONTRIBUTED FUNDS

Unavailable Collections (in millions of dollars)

Identification code 12-8232-0-7-352	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 Deposits of miscellaneous contributed funds, International cooperation and development		4	4
Appropriations:			
05.00 Miscellaneous contributed funds		-4	-4
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 12-8232-0-7-352	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)		3	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4	4	5
22.00 New budget authority (gross)		4	4
23.90 Total budgetary resources available for obligation	4	8	9
23.95 Total new obligations		-3	
24.40 Unobligated balance carried forward, end of year	4	5	9
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)		4	4
Change in obligated balances:			
72.40 Obligated balance, start of year	3	3	6
73.10 Total new obligations		3	
74.40 Obligated balance, end of year	3	6	6
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority		4	4
Net budget authority and outlays:			
89.00 Budget authority	4	4	
90.00 Outlays	4	4	

Miscellaneous funds are received from other Federal agencies, international organizations, and developing countries, for USDA development assistance and international research projects (22 U.S.C. 2392).

FOOD AND NUTRITION SERVICE

Federal Funds

General and special funds:

FOOD PROGRAM ADMINISTRATION

For necessary administrative expenses of the domestic food programs funded under this Act, **[\$127,546,000]** \$155,855,000, of which \$5,000,000 shall be available only for simplifying procedures, reducing overhead costs, tightening regulations, improving food stamp benefit delivery, and assisting in the prevention, identification, and prosecution of fraud and other violations of law and of which not less than **[\$6,500,000]** \$11,000,000 shall be available to improve integrity in the Food Stamp and Child Nutrition programs: *Provided*, That this appropriation shall be available for employment pursuant to the second sentence of section 706(a) of the Organic Act of 1944 (7 U.S.C. 2225), and not to exceed \$150,000 shall be available for employment under 5 U.S.C. 3109. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Note.—The following schedule includes \$2 million provided by section 744, P.L. 106-387, for 2001 and \$2 million provided by section 728, P.L. 107-76, for 2002.

Program and Financing (in millions of dollars)

Identification code 12-3508-0-1-605	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Food program administration	125	135	156
00.03 Congressional hunger center fellowships	2	2	
09.01 Reimbursable administrative services provided to Federal agencies	1		
10.00 Total new obligations	128	137	156
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	128	137	156
23.95 Total new obligations	-128	-137	-156
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	126	137	156
42.00 Transferred from other accounts	1		
43.00 Appropriation (total discretionary)	127	137	156
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1		
70.00 Total new budget authority (gross)	128	137	156
Change in obligated balances:			
72.40 Obligated balance, start of year	13	15	15
73.10 Total new obligations	128	137	156
73.20 Total outlays (gross)	-126	-137	-154
74.40 Obligated balance, end of year	15	15	17
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	114	123	140
86.93 Outlays from discretionary balances	11	15	14
87.00 Total outlays (gross)	126	137	154
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-1		
Net budget authority and outlays:			
89.00 Budget authority	127	137	156
90.00 Outlays	126	137	154

General and special funds—Continued

FOOD PROGRAM ADMINISTRATION—Continued

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	120	130	148
90.00 Outlays	119	130	146

Food program administration funds the majority of the Federal operating expenses of the Food and Nutrition Service. Funds are provided for additional activities to identify and address error in the Food Stamp and Child Nutrition programs.

Object Classification (in millions of dollars)

Identification code 12-3508-0-1-605	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	80	87	95
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	82	89	97
12.1 Civilian personnel benefits	25	27	28
21.0 Travel and transportation of persons	2	2	2
23.1 Rental payments to GSA	2	2	11
23.3 Communications, utilities, and miscellaneous charges	2	2	2
25.2 Other services	11	13	14
26.0 Supplies and materials	2	1	1
31.0 Equipment	2	1	1
41.0 Grants, subsidies, and contributions	2	2
99.9 Total new obligations	128	137	156

Personnel Summary

Identification code 12-3508-0-1-605	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	1,357	1,428	1,486

FOOD STAMP PROGRAM

For necessary expenses to carry out the Food Stamp Act (7 U.S.C. 2011 et seq.), **[\$22,991,986,000] \$26,249,973,000**, of which \$2,000,000,000 shall be placed in reserve for use only in such amounts and at such times as may become necessary to carry out program operations: *Provided*, [That of the funds made available under this heading and not already appropriated to the Food Distribution Program on Indian Reservations (FDPIR) established under section 4(b) of the Food Stamp Act of 1977 (7 U.S.C. 2013(b)), not to exceed \$3,000,000 shall be used to purchase bison meat for the FDPIR from producer-owned cooperative organizations: *Provided further*,] That none of the funds made available under this heading shall be used for studies and evaluations: *Provided further*, That funds provided herein shall be expended in accordance with section 16 of the Food Stamp Act: *Provided further*, That this appropriation shall be subject to any work registration or workfare requirements as may be required by law: [Provided further, That of funds that may be reserved by the Secretary for allocation to State agencies under section 16(h)(1) of such Act to carry out Employment and Training programs, not more than \$145,000,000 made available in previous years may be obligated in fiscal year 2002:] *Provided further*, That funds made available for Employment and Training under this heading shall remain available until expended, as authorized by section 16(h)(1) of the Food Stamp Act: *Provided further*, That funds provided under this heading may be used to procure food coupons necessary for program operations in this or subsequent fiscal years until electronic benefit transfer implementation is complete]. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 12-3505-0-1-605	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Benefits issued	15,418	18,841	20,231
00.02 State administration	1,994	2,042	2,098
00.03 Employment and training program	297	305	314
00.04 Other program costs	67	73	59
00.05 Puerto Rico	1,296	1,351	1,377
00.06 Food distribution program on Indian reservations (Commodities in lieu of food stamps)	53	53	56
00.07 Food distribution program on Indian reservations (Cooperator administrative expense)	23	23	26
00.08 The emergency food assistance program (commodities)	100	100	100
00.09 Modified food stamp program in American Samoa	5	5	5
00.10 Community food project	3	3	3
00.11 Commonwealth of the Northern Marianas Islands	6	6	6
09.01 Reimbursable program	74	125	125
10.00 Total new obligations	19,336	22,927	24,400

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	92	187	244
22.00 New budget authority (gross)	20,148	23,117	26,375
22.10 Resources available from recoveries of prior year obligations	123	77	55
23.90 Total budgetary resources available for obligation	20,363	23,381	26,674
23.95 Total new obligations	-19,336	-22,927	-24,400
23.98 Unobligated balance expiring or withdrawn	-841	-211	-2,000
24.40 Unobligated balance carried forward, end of year	187	244	273

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	16	17	17
Mandatory:			
60.00 Appropriation	20,098	22,975	26,233
60.36 Unobligated balance rescinded	-40
62.50 Appropriation (total mandatory)	20,058	22,975	26,233
69.00 Offsetting collections (cash)	74	125	125
70.00 Total new budget authority (gross)	20,148	23,117	26,375

Change in obligated balances:

72.40 Obligated balance, start of year	995	1,026	953
73.10 Total new obligations	19,336	22,927	24,400
73.20 Total outlays (gross)	-19,170	-22,923	-24,329
73.40 Adjustments in expired accounts (net)	-12
73.45 Recoveries of prior year obligations	-123	-77	-55
74.40 Obligated balance, end of year	1,026	953	971

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	8	6	6
86.93 Outlays from discretionary balances	15	23	11
86.97 Outlays from new mandatory authority	18,606	21,891	23,370
86.98 Outlays from mandatory balances	541	1,003	942
87.00 Total outlays (gross)	19,170	22,923	24,329

Offsets:

Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-74	-125	-125

Net budget authority and outlays:

89.00 Budget authority	20,074	22,992	26,250
90.00 Outlays	19,096	22,798	24,204

Summary of Budget Authority and Outlays

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Enacted/requested:			
Budget Authority	20,074	22,992	26,250
Outlays	19,096	22,798	24,204
Legislative proposal, subject to PAYGO:			
Budget Authority	-215
Outlays	29
Total:			
Budget Authority	20,074	22,992	26,035
Outlays	19,096	22,798	24,233

The Food Stamp Program is the primary source of nutrition assistance for low-income Americans.

Some of these funds provide a grant to Puerto Rico in lieu of the food stamp program which gives the Commonwealth flexibility to administer a nutrition assistance program tailored to the needs of its low-income households.

Funds in this account are also used to carry out the Emergency Food Assistance Act of 1983 and for food distribution and administrative expenses for Native Americans under section 4(b) of the Food Stamp Act.

Object Classification (in millions of dollars)

Identification code 12-3505-0-1-605	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	3	3	3
12.1 Civilian personnel benefits	1	1	1
21.0 Travel and transportation of persons	1	1	1
23.3 Communications, utilities, and miscellaneous charges	3	3	3
24.0 Printing and reproduction	13	19	9
25.2 Other services	51	52	56
26.0 Supplies and materials	155	155	157
31.0 Equipment	2	2	2
41.0 Grants, subsidies, and contributions	19,033	22,566	24,043
99.0 Direct obligations	19,262	22,802	24,275
99.0 Reimbursable obligations	74	125	125
99.9 Total new obligations	19,336	22,927	24,400

Personnel Summary

Identification code 12-3505-0-1-605	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	53	56	56

FOOD STAMP PROGRAM
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 12-3505-4-1-605	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Benefits issued			32
00.03 Employment and training program			-3
10.00 Total new obligations (object class 41.0)			29
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			-215
23.95 Total new obligations			-29
24.40 Unobligated balance carried forward, end of year			-244
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation			29
60.36 Unobligated balance rescission proposal			-244
62.50 Appropriation (total mandatory)			-215
Change in obligated balances:			
73.10 Total new obligations			29
73.20 Total outlays (gross)			-29
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority			29
Net budget authority and outlays:			
89.00 Budget authority			-215
90.00 Outlays			29

This legislative proposal would reauthorize the Food Stamp Program and modify program rules. The costs under this schedule are net of food stamp savings due to child support enforcement proposals.

CHILD NUTRITION PROGRAMS
(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses to carry out the National School Lunch Act (42 U.S.C. 1751 et seq.), except section 21, and the Child Nutrition Act of 1966 (42 U.S.C. 1771 et seq.), except sections 17 and 21; **[\$10,087,246,000]** \$10,576,722,000, to remain available through September 30, **[2003]** 2004, of which **[\$4,914,788,000]** \$5,382,732,000 is hereby appropriated and **[\$5,172,458,000]** \$5,193,990,000 shall be derived by transfer from funds available under section 32 of the Act of August 24, 1935 (7 U.S.C. 612c): *Provided*, That none of the funds made available under this heading shall be used for studies and evaluations: *Provided further*, That of the funds made available under this heading, \$500,000 shall be for a School Breakfast Program startup grant pilot program for the State of Wisconsin: *Provided further*, That up to **[\$4,507,000]** \$5,080,000 shall be available for independent verification of school food service claims. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 12-3539-0-1-605	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
School lunch program:			
00.01 Above 185 of poverty	392	416	439
00.02 130-185 of poverty	672	712	753
00.03 Below 130 of poverty	4,671	4,949	5,228
00.91 Subtotal, school lunch program	5,735	6,077	6,420
School breakfast program:			
01.01 Above 185 of poverty	47	51	54
01.02 130-185 of poverty	111	119	126
01.03 Below 130 of poverty	1,310	1,404	1,481
01.91 Subtotal, school breakfast program	1,468	1,574	1,661
Child and adult care feeding program:			
02.01 Above 185 of poverty	191	194	205
02.02 130-185 of poverty	100	104	110
02.03 Below 130 of poverty	1,427	1,475	1,562
02.04 Audits	24	27	27
02.91 Subtotal, child and adult care feeding program	1,742	1,800	1,904
Other mandatory activities:			
03.01 Summer food service program	292	312	335
03.02 Special milk program	17	17	16
03.03 State administrative expenses	127	130	134
03.04 Commodity procurement	440	389	426
03.91 Subtotal, Other mandatory activities	876	848	911
Discretionary activities:			
04.01 School meals initiative	14	10	10
04.02 Coordinated review	4	5	5
04.03 Computer support and processing	8	9	9
04.04 School breakfast demonstrations	8		
04.05 Food safety education	2	2	1
04.91 Subtotal, discretionary activities	36	26	25
Activities with permanent appropriations:			
05.01 Food service management institute and information clearinghouse	3	3	3
05.02 Alternative meal count grants	1		
05.91 Subtotal, activities with permanent appropriations	4	3	3
10.00 Total new obligations	9,862	10,329	10,925
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	409	384	346
22.00 New budget authority (gross)	9,624	10,091	10,580
22.10 Resources available from recoveries of prior year obligations	214	200	
23.90 Total budgetary resources available for obligation	10,247	10,675	10,926
23.95 Total new obligations	-9,862	-10,329	-10,925
23.98 Unobligated balance expiring or withdrawn	-1		
24.40 Unobligated balance carried forward, end of year	384	346	3
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	14	8	8
Mandatory:			
60.00 Appropriation	4,482	4,911	5,378

General and special funds—Continued

CHILD NUTRITION PROGRAMS—Continued
(INCLUDING TRANSFERS OF FUNDS)—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 12-3539-0-1-605	2001 actual	2002 est.	2003 est.
62.00 Transferred from other accounts	5,128	5,172	5,194
62.50 Appropriation (total mandatory)	9,610	10,083	10,572
70.00 Total new budget authority (gross)	9,624	10,091	10,580
Change in obligated balances:			
72.40 Obligated balance, start of year	1,545	1,625	1,430
73.10 Total new obligations	9,862	10,329	10,925
73.20 Total outlays (gross)	-9,561	-10,324	-10,836
73.40 Adjustments in expired accounts (net)	-7		
73.45 Recoveries of prior year obligations	-214	-200	
74.40 Obligated balance, end of year	1,625	1,430	1,519
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	8	5	5
86.93 Outlays from discretionary balances	6	5	3
86.97 Outlays from new mandatory authority	7,593	8,500	9,401
86.98 Outlays from mandatory balances	1,954	1,814	1,427
87.00 Total outlays (gross)	9,561	10,324	10,836
Net budget authority and outlays:			
89.00 Budget authority	9,624	10,091	10,580
90.00 Outlays	9,560	10,324	10,836

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	9,623	10,090	10,579
90.00 Outlays	9,560	10,323	10,835

Payments are made for cash and commodity meal subsidies through the School Lunch, School Breakfast, Special Milk, Summer Food Service, and Child and Adult Care Food programs.

Object Classification (in millions of dollars)

Identification code 12-3539-0-1-605	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	6	7	7
12.1 Civilian personnel benefits	2	2	2
21.0 Travel and transportation of persons	1	1	1
24.0 Printing and reproduction	5	5	5
25.2 Other services	5	5	5
26.0 Supplies and materials (Commodities)	443	389	426
41.0 Grants, subsidies, and contributions	9,400	9,920	10,479
99.9 Total new obligations	9,862	10,329	10,925

Personnel Summary

Identification code 12-3539-0-1-605	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	121	130	130

SPECIAL SUPPLEMENTAL NUTRITION PROGRAM FOR WOMEN, INFANTS, AND CHILDREN (WIC)

For necessary expenses to carry out the special supplemental nutrition program as authorized by section 17 of the Child Nutrition Act of 1966 (42 U.S.C. 1786), **[\$4,348,000,000] \$4,751,000,000**, to remain available through September 30, **[2003] 2004**, of which **\$150,000,000** shall be placed in reserve for use in only such amounts, and in such manner, as the Secretary determines necessary, not withstanding section 17(i) of the Child Nutrition Act, to provide funds to support participation, should costs or participation exceed budget

estimates: *Provided*, [That none of the funds made available under this heading shall be used for studies and evaluations: *Provided further*, That of the total amount available, the Secretary shall obligate \$10,000,000 for the farmers' market nutrition program within 45 days of the enactment of this Act, and up to an additional \$15,000,000 for the farmers' market nutrition program upon a determination by the Secretary that funds are available to meet caseload requirements: *Provided further*,] That notwithstanding section 17(h)(10)(A) of such Act, **[\$10,000,000] \$14,000,000** shall be available for the purposes specified in section 17(h)(10)(B), and up to an additional \$4,000,000 shall be available for the purposes specified in section 17(h)(10)(B) upon a determination by the Secretary that funds are available to meet caseload requirements]: *Provided further*, That \$2,000,000 shall be available for the Food and Nutrition Service to conduct a study of WIC vendor practices: *Provided further*, That none of the funds in this Act shall be available to pay administrative expenses of WIC clinics except those that have an announced policy of prohibiting smoking within the space used to carry out the program: *Provided further*, That none of the funds provided in this account shall be available for the purchase of infant formula except in accordance with the cost containment and competitive bidding requirements specified in section 17 of such Act: *Provided further*, That none of the funds provided shall be available for activities that are not fully reimbursed by other Federal Government departments or agencies unless authorized by section 17 of such Act. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Special Supplemental Nutrition Program for Women, Infants, and Children (WIC)", \$39,000,000, to remain available until September 30, 2003, to be obligated from amounts made available in Public Law 107-38: *Provided*, That of the amounts provided in this Act and any amounts available for reallocation in fiscal year 2002, the Secretary shall reallocate funds under section 17(g)(2) of the Child Nutrition Act of 1966 in the manner and under the formula the Secretary deems necessary to respond to the effects of unemployment and other conditions, and starting no later than March 1, 2002, such reallocation shall occur no less frequently than every other month throughout the fiscal year.] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 12-3510-0-1-605	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	4,220	4,465	4,862
09.01 Reimbursable program	15		
10.00 Total new obligations	4,235	4,465	4,862
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	1	
22.00 New budget authority (gross)	4,059	4,387	4,751
22.10 Resources available from recoveries of prior year obligations	176	76	111
23.90 Total budgetary resources available for obligation	4,237	4,464	4,862
23.95 Total new obligations	-4,235	-4,465	-4,862
24.40 Unobligated balance carried forward, end of year	1		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	4,052	4,387	4,751
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-9		
43.00 Appropriation (total discretionary)	4,043	4,387	4,751
Mandatory:			
60.00 Appropriation	1		
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	15		
70.00 Total new budget authority (gross)	4,059	4,387	4,751
Change in obligated balances:			
72.40 Obligated balance, start of year	364	318	281
73.10 Total new obligations	4,235	4,465	4,862
73.20 Total outlays (gross)	-4,092	-4,426	-4,727
73.40 Adjustments in expired accounts (net)	-13		
73.45 Recoveries of prior year obligations	-176	-76	-111
74.40 Obligated balance, end of year	318	281	305

Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	3,889	4,080	4,418
86.93	Outlays from discretionary balances	203	346	307
87.00	Total outlays (gross)	4,092	4,426	4,727
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	- 15		
Net budget authority and outlays:				
89.00	Budget authority	4,044	4,387	4,751
90.00	Outlays	4,077	4,426	4,727

The Special Supplemental Nutrition Program for Women, Infants, and Children (WIC) provides low-income at-risk pregnant and post-partum women, infants, and children with vouchers for nutritious supplemental food packages, nutrition education and counseling, and health and immunization referrals. The proposed contingency fund will ensure that all eligible persons seeking benefits can be served.

Object Classification (in millions of dollars)				
Identification code 12-3510-0-1-605		2001 actual	2002 est.	2003 est.
41.0	Direct obligations: Grants, subsidies, and contributions	4,220	4,465	4,862
99.0	Reimbursable obligations: Reimbursable obligations	15		
99.9	Total new obligations	4,235	4,465	4,862

COMMODITY ASSISTANCE PROGRAM
[(INCLUDING RESCISSION)]

For necessary expenses to carry out the commodity supplemental food program as authorized by section 4(a) of the Agriculture and Consumer Protection Act of 1973 (7 U.S.C. 612c note) and the Emergency Food Assistance Act of 1983, **[\$152,813,000] \$144,991,000**, to remain available through September 30, **[2003] 2004: Provided**, That none of these funds shall be available to reimburse the Commodity Credit Corporation for commodities donated to the program: *Provided further*, That of the total amount available, the Secretary shall provide \$10,000,000 for senior farmers' market activities: *Provided further*, That notwithstanding section 5(a)(2) of the Agriculture and Consumer Protection Act of 1973 (Public Law 93-86; 7 U.S.C. 612c note), \$20,820,000 of this amount shall be available for administrative expenses of the commodity supplemental food program: *Provided further*, That \$3,300,000 of unobligated balances available at the beginning of fiscal year 2002 are hereby rescinded. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 12-3507-0-1-605		2001 actual	2002 est.	2003 est.
Obligations by program activity:				
Commodity supplemental food program:				
00.01	Commodity procurement	68	81	76
00.02	Administrative costs	23	23	19
00.91	Subtotal, commodity supplemental food program	91	104	95
The emergency food assistance program:				
02.01	Administrative costs	45	50	50
03.01	Senior farmers' market		10	
10.00	Total new obligations	136	164	145
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	7	15	
22.00	New budget authority (gross)	140	150	145
22.10	Resources available from recoveries of prior year obligations	4		
23.90	Total budgetary resources available for obligation	151	165	145
23.95	Total new obligations	- 136	- 164	- 145
24.40	Unobligated balance carried forward, end of year	15		

New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	140	153	145
40.36	Unobligated balance rescinded		- 3	
43.00	Appropriation (total discretionary)	140	150	145
Change in obligated balances:				
72.40	Obligated balance, start of year	17	17	19
73.10	Total new obligations	136	164	145
73.20	Total outlays (gross)	- 132	- 162	- 145
73.45	Recoveries of prior year obligations	- 4		
74.40	Obligated balance, end of year	17	19	19

Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	108	134	128
86.93	Outlays from discretionary balances	24	28	19
87.00	Total outlays (gross)	132	162	145
Net budget authority and outlays:				
89.00	Budget authority	140	150	145
90.00	Outlays	132	162	145

The Commodity Assistance Program funds the Commodity Supplemental Food Program (CSFP) and the Emergency Food Assistance Program (TEFAP).

The CSFP provides food packages for low-income women, infants, and children as well as low-income elderly persons. It also funds State administrative expenses.

The Emergency Food Assistance Program provides cash to support State administrative activities and maintain the storage and distribution pipeline for USDA and privately donated commodities.

Object Classification (in millions of dollars)				
Identification code 12-3507-0-1-605		2001 actual	2002 est.	2003 est.
26.0	Supplies and materials (commodities)	68	82	76
41.0	Grants, subsidies, and contributions	68	82	69
99.9	Total new obligations	136	164	145

FOOD DONATIONS PROGRAMS

For necessary expenses to carry out section 4(a) of the Agriculture and Consumer Protection Act of 1973; and special assistance for the nuclear affected islands as authorized by section 103(h)(2) of the Compacts of Free Association Act of 1985; and section 311 of the Older Americans Act of 1965, **\$150,749,000] \$1,081,000**, to remain available through September 30, **[2003] 2004. (7 U.S.C. 612c note; 42 U.S.C. 3030a; 48 U.S.C. 1903 (h)(2); Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.)**

Program and Financing (in millions of dollars)

Identification code 12-3503-0-1-605		2001 actual	2002 est.	2003 est.
Obligations by program activity:				
Nutrition program for the elderly:				
00.01	Nutrition program for the elderly	152	151	
00.02	Pacific island assistance	1	1	1
10.00	Total new obligations	153	152	1
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	1	1	
22.00	New budget authority (gross)	151	151	1
22.10	Resources available from recoveries of prior year obligations	3		
23.90	Total budgetary resources available for obligation	155	152	1
23.95	Total new obligations	- 153	- 152	- 1
23.98	Unobligated balance expiring or withdrawn	- 1		
24.40	Unobligated balance carried forward, end of year	1		
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	151	151	1

General and special funds—Continued

FOOD DONATIONS PROGRAMS—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 12-3503-0-1-605	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year	32	48	45
73.10 Total new obligations	153	152	1
73.20 Total outlays (gross)	-134	-155	-44
73.45 Recoveries of prior year obligations	-3		
74.40 Obligated balance, end of year	48	45	2
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	133	108	1
86.93 Outlays from discretionary balances	1	47	44
87.00 Total outlays (gross)	134	155	44
Net budget authority and outlays:			
89.00 Budget authority	151	151	1
90.00 Outlays	134	155	44

In 2001 and 2002, Food Donations Programs include the Nutrition Services Incentive Program which provides cash and commodities for elderly persons served in senior citizens' centers and similar settings. Beginning in 2003, the budget consolidates this program with elderly meals programs in the Department of Health and Human Services. Assistance is also provided to residents of Nuclear Affected Islands and funds are made available for non-presidentially declared disasters.

Object Classification (in millions of dollars)

Identification code 12-3503-0-1-605	2001 actual	2002 est.	2003 est.
26.0 Supplies and materials (grants of commodities to States)	3	1	1
41.0 Grants, subsidies, and contributions	150	151	
99.9 Total new obligations	153	152	1

FOREST SERVICE

Federal Funds

General and special funds:

NATIONAL FOREST SYSTEM

For necessary expenses of the Forest Service, not otherwise provided for, for management, protection, improvement, and utilization of the National Forest System, **[\$1,331,439,000] \$1,430,316,000**, to remain available until expended, which shall include 50 percent of all moneys received during prior fiscal years as fees collected under the Land and Water Conservation Fund Act of 1965, as amended, in accordance with section 4 of the Act (16 U.S.C. 4601-6a(i)): *Provided*, That unobligated balances available at the start of fiscal year **[2002] 2003** shall be displayed by budget line item in the fiscal year **[2003] 2004** budget justification: *Provided further*, That the Secretary may authorize the expenditure or transfer of such sums as necessary to the Department of the Interior, Bureau of Land Management for removal, preparation, and adoption of excess wild horses and burros from National Forest System lands: *Provided further*, That of the funds provided under this heading for Forest Products, \$5,000,000 shall be allocated to the Alaska Region, in addition to its normal allocation for the purposes of preparing additional timber for sale, to establish a 3-year timber supply and such funds may be transferred to other appropriations accounts as necessary to maximize accomplishment] *Provided further*, *That the Secretary may transfer or reimburse not more than \$15,000,000 to the Secretary of the Interior or the Secretary of Commerce, as appropriate, to expedite consultations required under the Endangered Species Act, 16 U.S.C. 1536.* (Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 12-1106-0-1-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 National forest system	1,355	1,395	1,396
09.01 Reimbursable program	100	66	66
10.00 Total new obligations	1,455	1,461	1,462
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	27	264	299
22.00 New budget authority (gross)	1,637	1,496	1,496
22.10 Resources available from recoveries of prior year obligations	57		
23.90 Total budgetary resources available for obligation	1,721	1,760	1,795
23.95 Total new obligations	-1,455	-1,461	-1,462
24.40 Unobligated balance carried forward, end of year	264	299	335
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1,381	1,394	1,430
41.00 Transferred to other accounts	-3		
42.00 Transferred from other accounts	142	4	
43.00 Appropriation (total discretionary)	1,520	1,398	1,430
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	109	98	66
68.10 Change in uncollected customer payments from Federal sources (unexpired)	8		
68.90 Spending authority from offsetting collections (total discretionary)	117	98	66
70.00 Total new budget authority (gross)	1,637	1,496	1,496
Change in obligated balances:			
72.40 Obligated balance, start of year	244	205	228
73.10 Total new obligations	1,455	1,461	1,462
73.20 Total outlays (gross)	-1,435	-1,438	-1,491
73.40 Adjustments in expired accounts (net)	4		
73.45 Recoveries of prior year obligations	-57		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-8		
74.10 Change in uncollected customer payments from Federal sources (expired)	1		
74.40 Obligated balance, end of year	205	228	199
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1,241	1,296	1,291
86.93 Outlays from discretionary balances	194	142	200
87.00 Total outlays (gross)	1,435	1,438	1,491
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources			-14
88.40 Non-Federal sources	-109	-98	-52
88.90 Total, offsetting collections (cash)	-109	-98	-66
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-8		
Net budget authority and outlays:			
89.00 Budget authority	1,520	1,398	1,430
90.00 Outlays	1,327	1,340	1,425
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	1,460	1,335	1,366
90.00 Outlays	1,267	1,277	1,361

The 156 National Forests, 20 National Grasslands, and nine land utilization projects located in 44 States, Puerto Rico, and the Virgin Islands are managed under multiple-use and sustained-yield principles. The natural resources of timber, minerals, range, wildlife, outdoor recreation, watershed, and

soil are used in a planned combination that will best meet the needs of the Nation without impairing productivity of the land or damaging the environment. These management and utilization principles are recognized in the Multiple-Use, Sustained-Yield Act of 1960 (16 U.S.C. 528–531) and use an ecological approach to managing the National Forest System.

National Forest System (NFS) operations and maintenance provide for the planning, assessment, and conservation of ecosystems while delivering multiple public services and uses. These are delivered through the principal NFS programs of land management planning; inventory and monitoring; recreation, heritage, and wilderness; wildlife and fisheries habitat management; grazing management; forest products; vegetation and watershed management; minerals and geology management; landownership management; and law enforcement operations. These programs maintain the capability to manage natural resources in a manner consistent with ecological principles and responsibilities.

To overcome inertia and an excessive decision-making structure, USDA will develop legislation to establish “Charter Forests,” certain forests or portions of forests administered outside the Forest Service structure and reporting to a local trust entity for oversight. The structure would eliminate inefficiencies and focus upon specific strengths. Pilot forests would establish and address land management objectives; comply with all Federal and State environmental laws; include a diverse and balanced group of stakeholders as well as appropriate Federal, tribal, state, county, and municipal government representatives in the design, implementation, and monitoring of the project; incorporate current scientific forest restoration information; and include a multiparty assessment to identify both the existing ecological condition of the proposed project area and the desired future condition.

Furthering the President’s management agenda, the budget includes significant restructuring of the Forest Service to improve performance. The Forest Service will complete 22 service-first collocations with the Bureau of Land Management by the end of 2005. Funds to support this effort are included in the Capital Improvement and Maintenance account. Secondly, the Forest Service will establish a target to reduce indirect expenses by 2005 to one-half its 2002 level, to approximately 10 percent of total spending. The Forest Service will also establish a hiring freeze until the required USDA workforce restructuring plan establishes measures and mileposts in mapping this reduction in indirect expenses and a target fixed-to-variable cost ratio of salary and expenses to total office expenses, including field units.

Lastly, USDA will establish a Field Leadership Decisions Initiative, which will:

- Reduce accounting codes by 20 percent in 2003 and 5 percent each year through 2005, to be accomplished without congressional restructuring of expanded budget line items;
- Increase annual competitive sourcing of commercial activities by 10 percent annually through 2005;
- Increase contract fire readiness resources to 20 percent in 2002 and by 5 percent annually thereafter through 2005;
- Relocate/reassign 500 Washington office and 250 regional office employees, with indirect costs at Washington office capped at 7 percent in 2005;
- Focus attrition of 2,500 FTEs annually through 2005, with half replaced in field locations; and
- Identify a goal level of increasing cost-share resources to leverage from 2 percent to 5 percent of operating program.

The Budget includes an additional \$15 million for expedited endangered species consultations that may be utilized to reimburse responsible consulting federal agencies.

Object Classification (in millions of dollars)

Identification code 12–1106–0–1–302	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	584	610	610
11.3 Other than full-time permanent	10	41	41
11.5 Other personnel compensation	27	29	29
11.8 Special personal services payments	8	5	5
11.9 Total personnel compensation	629	685	685
12.1 Civilian personnel benefits	217	240	241
13.0 Benefits for former personnel	5	7	7
21.0 Travel and transportation of persons	65	58	58
23.1 Rental payments to GSA	74	71	71
24.0 Printing and reproduction	5	4	4
25.2 Other services	266	232	232
26.0 Supplies and materials	48	45	45
31.0 Equipment	30	43	43
32.0 Land and structures	3	2	2
41.0 Grants, subsidies, and contributions	7	3	3
42.0 Insurance claims and indemnities	4	2	2
99.0 Direct obligations	1,353	1,392	1,393
99.0 Reimbursable obligations	100	67	67
Allocation Account:			
11.1 Personnel compensation: Full-time permanent	1	1	1
25.2 Other services	1	1	1
99.0 Allocation account	2	2	2
99.9 Total new obligations	1,455	1,461	1,462

Personnel Summary

Identification code 12–1106–0–1–302	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	14,865	15,251	15,261
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	484	496	497
Allocation account:			
3001 Total compensable workyears: Full-time equivalent employment	31	32	32

CAPITAL IMPROVEMENT AND MAINTENANCE

For necessary expenses of the Forest Service, not otherwise provided for, **[\$546,188,000] \$568,004,000**, to remain available until expended for construction, reconstruction, maintenance, and acquisition of buildings and other facilities, and for construction, reconstruction, repair, and maintenance of forest roads and trails by the Forest Service as authorized by 16 U.S.C. 532–538 and 23 U.S.C. 101 and 205, of which, **[\$61,000,000] \$50,866,000** is for conservation activities defined in section 250(c)(4)(E) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, for the purposes of such Act: *Provided*, That fiscal year 2001 balances in the Federal Infrastructure Improvement account for the Forest Service shall be transferred to and merged with this appropriation and shall remain available until expended: *Provided further*, That up to \$15,000,000 of the funds provided herein for road maintenance shall be available for the decommissioning of roads, including unauthorized roads not part of the transportation system, which are no longer needed: *Provided further*, That no funds shall be expended to decommission any system road until notice and an opportunity for public comment has been provided on each decommissioning project: *Provided further*, That the Forest Service shall transfer \$300,000, appropriated in Public Law 106–291 within the Capital Improvement and Maintenance appropriation, to the State and Private Forestry appropriation, and shall provide these funds in an advance direct lump sum payment to Purdue University for planning and construction of a hardwood tree improvement and generation facility: *Provided further*, That from funds provided to the Forest Service in Public Law 106–291, \$500,000 is hereby transferred from the Capital Improvement and Maintenance appropriation to the State and Private Forestry appropriation of which \$10,000,000 is to support office collocations between the Forest Service and the Bureau of Land Management solely as a reimbursement for completed activities: *Provided*, That the Secretary may transfer to or reimburse the Secretary of the Interior any portion of this

General and special funds—Continued

CAPITAL IMPROVEMENT AND MAINTENANCE—Continued

amount that the Secretary determines to be most economical and efficient means of completing collocations: Provided further, That these funds are available only after the USDA Chief Financial Officer has approved the streamlining and restructuring plans and cost benefit analyses of the Forest Service. (Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 12-1103-0-1-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Reconstruction and construction	565	587	628
09.01 Reimbursable program	13	4	4
10.00 Total new obligations	578	591	632
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	119	123	107
22.00 New budget authority (gross)	568	575	572
22.10 Resources available from recoveries of prior year obligations	15		
23.90 Total budgetary resources available for obligation	702	698	679
23.95 Total new obligations	-578	-591	-632
24.40 Unobligated balance carried forward, end of year	123	107	47
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	543	561	568
41.00 Transferred to other accounts	-50		
42.00 Transferred from other accounts	44	10	
43.00 Appropriation (total discretionary)	537	571	568
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	3	4	4
68.10 Change in uncollected customer payments from Federal sources (unexpired)	28		
68.90 Spending authority from offsetting collections (total discretionary)	31	4	4
70.00 Total new budget authority (gross)	568	575	572
Change in obligated balances:			
72.40 Obligated balance, start of year	166	231	187
73.10 Total new obligations	578	591	632
73.20 Total outlays (gross)	-470	-635	-630
73.45 Recoveries of prior year obligations	-15		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-28		
74.40 Obligated balance, end of year	231	187	189
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	335	437	434
86.93 Outlays from discretionary balances	135	198	196
87.00 Total outlays (gross)	470	635	630
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-3		
88.40 Non-Federal sources		-4	-4
88.90 Total, offsetting collections (cash)	-3	-4	-4
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-28		
Net budget authority and outlays:			
89.00 Budget authority	537	571	568
90.00 Outlays	467	631	626

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	522	555	552

90.00 Outlays	452	615	610
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Funding provides for capital improvement and maintenance of facilities, roads, and trails. The program emphasizes: better resource management decisions based on the best scientific information and knowledge; an efficient and effective infrastructure that supports public and administrative uses; and quality recreation experiences with minimal impact to ecosystem stability and conditions. The budget includes funding for facility enhancements for antiterrorism protection and provides \$51 million for deferred maintenance.

\$10 million is provided to support funding workforce and organizational streamlining and restructuring activities. The funds are available for Service-First, the joint effort of expanded customer service and administrative cost savings with the Bureau of Land Management (BLM). Requested funds will support collocating offices with BLM, and other expenses.

Facilities.—Provides for capital improvement and maintenance of research, fire, administrative, and other (FA&O), and recreation facilities, including site components such as roads and trails and the acquisition of buildings and other facilities necessary to carry out the mission of the Forest Service. Capital Improvement includes: new construction of a facility; alteration of an existing facility to change the function; and expansion of a facility to change the capacity or to serve needs that are different from what was originally intended. Maintenance is divided into four primary areas: annual maintenance, deferred maintenance, decommissioning, and operations. Deferred maintenance work includes the repair, rehabilitation, or replacement of the facility or components of the facility.

Roads.—Provides for capital improvement and maintenance of roads. The program also focuses on decommissioning unneeded roads and/or roads that are degrading the ecosystem. Capital improvement includes: new road construction; alteration of an existing road to change the function; and expansion of the road to change the capacity or to serve needs that are different from what was originally intended. The agency will continue to address the growing road system maintenance backlog. Funding priorities are health and safety, resource protection, and mission critical needs. Maintenance is divided into four primary areas: annual road maintenance, deferred road maintenance, road operations, and decommissioning.

Trails.—Provides for capital improvement and maintenance of trails. Capital improvement includes: new trail construction; alteration of an existing trail to change the function; and expansion of the trail to change the capacity or to serve needs that are different from what was originally intended. Maintenance funding is used to protect capital investments by keeping trails open for access and protecting vegetation, soil, and water quality. Work includes clearing the pathway of encroaching vegetation and fallen trees, and repairing or improving trail signs, treadways, drainage facilities, and bridges. Maintenance is divided into four primary areas: annual trail maintenance, deferred trail maintenance, trail operations, and trail decommissioning.

Infrastructure Improvement.—Ameliorates the backlog in deferred maintenance of National Forest System roads and trails as well as Forest Service fire, administrative, and recreation facilities. The funds focus on critical maintenance backlogs; i.e., these additional funds are for repair and rehabilitation of existing facilities and roads; funds may not be used for new and expanded facilities or roads.

Object Classification (in millions of dollars)

Identification code 12-1103-0-1-302	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	140	142	144

11.3	Other than full-time permanent	16	17	18
11.5	Other personnel compensation	6	7	7
11.8	Special personal services payments	2	2	2
11.9	Total personnel compensation	164	168	171
12.1	Civilian personnel benefits	61	60	63
13.0	Benefits for former personnel	2	2	2
21.0	Travel and transportation of persons	18	18	15
23.1	Rental payments to GSA	24	24	23
25.2	Other services	207	211	244
26.0	Supplies and materials	27	30	30
31.0	Equipment	10	12	11
32.0	Land and structures	50	60	66
41.0	Grants, subsidies, and contributions	1	1	2
42.0	Insurance claims and indemnities	1	1	1
99.0	Direct obligations	565	587	628
99.0	Reimbursable obligations	13	4	4
99.9	Total new obligations	578	591	632

Personnel Summary

Identification code 12-1103-0-1-302	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	3,591	3,980	3,983
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	13	13	13

FOREST AND RANGELAND RESEARCH

For necessary expenses of forest and rangeland research as authorized by law, **[\$241,304,000]** \$254,125,000, to remain available until expended: *Provided, That the Chief of the Forest Service may make available by outlease agreements with other Federal agencies or non-Federal public or private entities any unused or underused portion or interest in any agency real and related personal property, and may retain and use the proceeds of such agreements in carrying out the research programs of the agency. Property proposed for outlease must not be property otherwise required to be reported excess under the Federal Property and Administrative Services of 1949, as amended. Outleases shall be made competitively, and be based on the fair market value of the property.*

GIFTS, DONATIONS AND BEQUESTS FOR FOREST AND RANGELAND RESEARCH

For expenses authorized by 16 U.S.C. 1643(b), **[\$92,000]** \$106,000, to remain available until expended, to be derived from the fund established pursuant to the above Act. *(Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)*

Program and Financing (in millions of dollars)

Identification code 12-1104-0-1-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.06 Forest and rangeland research	237	268	251
09.01 Reimbursable program	41	43	42
10.00 Total new obligations	278	311	293
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	5	75	70
22.00 New budget authority (gross)	340	306	278
22.10 Resources available from recoveries of prior year obligations	8		
22.22 Unobligated balance transferred from other accounts	1		
23.90 Total budgetary resources available for obligation	354	381	348
23.95 Total new obligations	-278	-311	-293
24.40 Unobligated balance carried forward, end of year	75	70	55
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	246	252	254
42.00 Transferred from other accounts	11	30	
43.00 Appropriation (total discretionary)	257	282	254
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	23	24	24

68.10	Change in uncollected customer payments from Federal sources (unexpired)	60		
68.90	Spending authority from offsetting collections (total discretionary)	83	24	24
70.00	Total new budget authority (gross)	340	306	278

Change in obligated balances:

72.40	Obligated balance, start of year	76	36	42
73.10	Total new obligations	278	311	293
73.20	Total outlays (gross)	-250	-305	-286
73.40	Adjustments in expired accounts (net)	-2		
73.45	Recoveries of prior year obligations	-8		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-60		
74.40	Obligated balance, end of year	36	42	49

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	173	225	205
86.93	Outlays from discretionary balances	77	80	81
87.00	Total outlays (gross)	250	305	286

Offsets:

Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-23	-23	-22
88.40	Non-Federal sources		-1	-2
88.90	Total, offsetting collections (cash)	-23	-24	-24
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-60		

Net budget authority and outlays:

89.00	Budget authority	257	282	254
90.00	Outlays	229	281	262

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	246	271	243
90.00 Outlays	218	270	251

The mission of Forest and Rangeland Research is to serve society by developing and communicating the scientific information and technology needed to protect, manage, use, and sustain the natural resources of the Nation's forests and rangelands. Research is the key to sustaining our forest and rangeland productivity and health while addressing natural resource needs. Forest and Rangeland Research is conducted through six Forest and Range Experiment Station headquarters and their research work units, the Forest Products Laboratory, and the International Institute of Tropical Forestry. New authority is requested to outlease space in order to ensure full capacity utilization.

Priority continues on supporting the implementation of forest planning regulations. This includes developing measurement systems for assessing watershed integrity, applying measurement systems for watershed assessment, providing information about compatible forest uses and fire management control strategies. Funds are also included for global climate change research, particularly the use of small diameter trees for biomass energy uses and carbon cycle studies. Finally, work will continue on development of improved quantitative analytical tools to support forest planning goals to maximize net public benefits in a more objective and transparent manner.

The budget includes \$10 million for new priority research, including \$5 million for "Sim Forest," a quantitative and analytic data visualization project and \$5 million for biobased products and bioenergy. The Forest Service will comply with requirements for annualized inventories in the Forest Inven-

General and special funds—Continued

GIFTS, DONATIONS AND BEQUESTS FOR FOREST AND RANGELAND RESEARCH—Continued

tory and Analysis program, providing 100 percent coverage at the end of 2003.

Object Classification (in millions of dollars)

Identification code 12-1104-0-1-302	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	140	144	144
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	1	1	1
11.8 Special personal services payments	1	1	1
11.9 Total personnel compensation	144	148	148
12.1 Civilian personnel benefits	29	30	28
13.0 Benefits for former personnel	1		
21.0 Travel and transportation of persons	8	9	11
23.1 Rental payments to GSA	7	7	7
24.0 Printing and reproduction	1	1	1
25.2 Other services	33	54	37
26.0 Supplies and materials	6	8	9
31.0 Equipment	5	5	6
41.0 Grants, subsidies, and contributions	3	6	4
99.0 Direct obligations	237	268	251
99.0 Reimbursable obligations	41	43	42
99.9 Total new obligations	278	311	293

Personnel Summary

Identification code 12-1104-0-1-302	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	2,509	2,240	2,241
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	123	115	115

STATE AND PRIVATE FORESTRY

For necessary expenses of cooperating with and providing technical and financial assistance to States, territories, possessions, and others, and for forest health management, cooperative forestry, and education and land conservation activities and conducting an international program as authorized, **[\$291,221,000] \$281,544,000**, to remain available until expended, as authorized by law, of which **[\$65,000,000] \$69,873,000** is for the Forest Legacy Program, **[and \$36,000,000]** to be derived from the land and water conservation fund; **\$36,614,000** is for the Urban and Community Forestry Program, defined in section 250(c)(4)(E) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, for the purposes of such Act: *Provided*, That none of the funds provided under this heading for the acquisition of lands or interests in lands shall be available until the Forest Service notifies the House Committee on Appropriations and the Senate Committee on Appropriations, in writing, of specific acquisition of lands or interests in lands to be undertaken with such funds: *Provided further*, That notwithstanding any other provision of law, of the funds provided under this heading, \$4,500,000 shall be made available to Kake Tribal Corporation as an advanced direct lump sum payment to implement the Kake Tribal Corporation Land Transfer Act (Public Law 106-283); \$50,536,000, to be derived from the land and water conservation fund, is for the Forest Stewardship Program, defined in section 250(c)(4)(E) of such Act; and up to \$11,968,000 may be used by the Secretary solely for: (1) rapid response to new introductions of non-native or invasive pests or pathogens in which no previous federal funding has been identified to address, or (2) for a limited number of instances in which any pest populations increase at over 150 percent of levels monitored for that species in the immediately preceding fiscal year and failure to suppress those populations would lead to a 10-percent increase of annual forest or stand mortality over ambient mortality levels. (Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 12-1105-0-1-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 State and private forestry	429	355	347
09.01 Reimbursable program	10	9	8
10.00 Total new obligations	439	364	355
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	17	39	90
22.00 New budget authority (gross)	454	415	282
22.10 Resources available from recoveries of prior year obligations	7		
23.90 Total budgetary resources available for obligation	478	454	372
23.95 Total new obligations	-439	-364	-355
24.40 Unobligated balance carried forward, end of year	39	90	19
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	291	295	160
40.20 Appropriation (special fund)	34		120
42.00 Transferred from other accounts	118	118	
43.00 Appropriation (total discretionary)	443	413	280
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	6	2	2
68.10 Change in uncollected customer payments from Federal sources (unexpired)	5		
68.90 Spending authority from offsetting collections (total discretionary)	11	2	2
70.00 Total new budget authority (gross)	454	415	282
Change in obligated balances:			
72.40 Obligated balance, start of year	211	372	293
73.10 Total new obligations	439	364	355
73.20 Total outlays (gross)	-265	-443	-317
73.45 Recoveries of prior year obligations	-7		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-5		
74.40 Obligated balance, end of year	372	293	331
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	208	313	213
86.93 Outlays from discretionary balances	57	130	102
87.00 Total outlays (gross)	265	443	317
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-6	-2	-2
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-5		
Net budget authority and outlays:			
89.00 Budget authority	443	413	280
90.00 Outlays	259	441	315
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
Net budget authority and outlays:			
89.00 Budget authority	439	409	276
90.00 Outlays	255	437	311

State and private forestry programs provide assistance to manage, use, and protect forest resources on State, urban, and private lands to meet domestic and international demands for goods and services. Assistance is provided to a wide range of customers including all States, Puerto Rico, the Virgin Islands, Guam, the Northern Mariana Islands, and the Trust Territory of the Pacific.

Forest Health Management.—Includes Federal lands, and cooperative lands and proposed funding to address emergency pests and pathogens.

Cooperative Fire Protection.—Includes funding to enhance the capacity of States to provide coordinated fire suppression response and to promote safe and effective initial fire attack in wildland/urban interface areas by volunteer fire departments.

Cooperative Forestry.—Includes forest stewardship, the forest legacy program, urban and community forestry and forest resources and information analysis.

Within the Forest stewardship program, \$10 million is provided for a small diameter and underutilized wood biomass cooperating fund to foster enhanced management and utilization of these resources on private lands. Also included is \$6 million to be focused in those priority locations identified by states situated within national priority areas identified by USDA. These focused funds will be allocated competitively on the basis of performance measures that clearly indicate the environmental effect of selected projects upon the landscape.

The Budget does not include funding for the Economic action program (EAP) or the Pacific northwest assistance program (PNW). Increased funding has been provided to rural counties through the new payments to states legislation that offers rural counties payment equal to the higher payments received over the past 15 years. Priority needs for rural development can be addressed through USDA's rural development programs. These programs include Business and Industry (B&I) guaranteed loans and Rural business enterprise grants (RBEG) to encourage the development of jobs in rural communities. USDA also offers small grant and loan programs, such as the Intermediary Relending program (IRP), the Rural Business Opportunity Grant program (RBOG), and Cooperative development grants that can enable farmers to invest in other crops or businesses.

As described under the National Forest System, Forest Service will expand the pool of funds available for contracting out. Also, Forest Service will expand outsourcing opportunities. These efforts will be supplemental by a new section 310 (at the end of the Department of the Interior (DOI) chapter) that would allow DOI and USDA to provide a preference for local contractors in disadvantaged areas for ecosystem restoration and fuels reduction work. This provision is intended to replace preferences provided by Sections 311 and 326 of the 2002 Interior and Related Agencies Appropriations Act, while providing the basis for a broader, national program. The 2002 provisions provided broad waivers of federal procurement laws in order to promote local contracting for watershed restoration and other activities. The proposed change will avoid the appearance of conflicts or potential abuses by reinstated procurement laws and requiring accountable decisionmaking when considering preferences authorized by this section. This change will also promote greater consistency in the use of preferences for local contractors through the application of one, rather than multiple, authorities. One provision will govern local preferences nationwide, rather than separate provisions governing different geographic locations or activities.

International Programs.—The programs will emphasize habitat protection for migratory birds along the length of flyways, preventing the introduction of new invasive species, and sustainable forestry techniques development for other timber exporting nations.

Object Classification (in millions of dollars)

Identification code 12-1105-0-1-302	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	39	42	42
11.3 Other than full-time permanent	3	2	2
11.5 Other personnel compensation	2	1	1
11.9 Total personnel compensation	44	45	45

12.1 Civilian personnel benefits	13	14	14
21.0 Travel and transportation of persons	9	7	7
23.1 Rental payments to GSA	4	3	3
25.2 Other services	47	34	33
26.0 Supplies and materials	5	4	4
31.0 Equipment	2	2	2
41.0 Grants, subsidies, and contributions	301	242	235
42.0 Insurance claims and indemnities	3	3	3
99.0 Direct obligations	428	354	346
99.0 Reimbursable obligations	10	9	8
25.2 Allocation Account: Other services	1	1	1
99.9 Total new obligations	439	364	355

Personnel Summary

Identification code 12-1105-0-1-302	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	808	723	724
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	21	26	26

MANAGEMENT OF NATIONAL FOREST LANDS FOR SUBSISTENCE USES

For necessary expenses of the Forest Service to manage federal lands in Alaska for subsistence uses under title VIII of the Alaska National Interest Lands Conservation Act (Public Law 96-487), **[\$5,488,000] \$5,655,000**, to remain available until expended. (*Department of the Interior and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 12-1119-0-1-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)	5	5	6
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		1	1
22.00 New budget authority (gross)	6	5	6
23.90 Total budgetary resources available for obligation	6	6	7
23.95 Total new obligations	-5	-5	-6
24.40 Unobligated balance carried forward, end of year	1	1	1
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	6	5	6
Change in obligated balances:			
72.40 Obligated balance, start of year	2	5	3
73.10 Total new obligations	5	5	6
73.20 Total outlays (gross)	-2	-5	-6
74.40 Obligated balance, end of year	5	3	3
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2	5	6
Net budget authority and outlays:			
89.00 Budget authority	6	5	6
90.00 Outlays	2	5	6

Funding under this program primarily supports fisheries and wildlife habitat management activities in the areas of population assessment, forecasting, harvest regulations, and law enforcement to ensure that the subsistence needs of qualified rural Alaskans are met under the Alaska National Interest Lands Conservation Act (Public Law 96-487).

WILDLAND FIRE MANAGEMENT

For necessary expenses for forest fire presuppression activities on National Forest System lands, for emergency fire suppression on or adjacent to such lands or other lands under fire protection agreement, hazardous fuel reduction on or adjacent to such lands, and for emer-

General and special funds—Continued**WILDLAND FIRE MANAGEMENT—Continued**

gency rehabilitation of burned-over National Forest System lands and water, **[\$1,214,349,000] \$1,425,723,000**, to remain available until expended: *Provided*, That such funds including unobligated balances under this head, are available for repayment of advances from other appropriations accounts previously transferred for such purposes: *[Provided further*, That not less than 50 percent of any unobligated balances remaining (exclusive of amounts for hazardous fuels reduction) at the end of fiscal year 2001 shall be transferred, as repayment for past advances that have not been repaid, to the fund established pursuant to section 3 of Public Law 71–319 (16 U.S.C. 576 et seq.):] *Provided further*, That notwithstanding any other provision of law, \$8,000,000 of funds appropriated under this appropriation shall be used for Fire Science Research in support of the Joint Fire Science Program: *Provided further*, That all authorities for the use of funds, including the use of contracts, grants, and cooperative agreements, available to execute the Forest and Rangeland Research appropriation, are also available in the utilization of these funds for Fire Science Research: *Provided further*, That funds provided shall be available for emergency rehabilitation and restoration, hazard reduction activities in the urban-wildland interface, support to Federal emergency response, and wildfire suppression activities of the Forest Service[;] *Provided further*, That of the funds provided, **[\$209,010,000] \$19,947,000** is for the purchase of fireplain easements or for grants to States to acquire perpetual easements from willing sellers within state-identified fireplain areas within or adjacent to national forest system lands where potential fire suppression costs are estimated to exceed the estimated value of private land upon which the easement is located: *Provided further*, That the Federal government or States shall pay no more than the value of improvements on the land or the fair market value of the land, which ever is less: *Provided further*, That each fireplain area shall be designated only when the development of a fireplain area plan has been coordinated with fire management plans of relevant units of the National Forest System or through other consultations with the Forest Service, as appropriate: *Provided further*, That funding is made available to a State fireplain area in consultation with the Forest Service: *Provided further*, That the Federal share of the purchase price of an easement acquired through a State grant shall not exceed 75 percent, with the balance cost-shared among participating entities: *Provided further*, That the rights under any fireplain easement shall run to the benefit of all or any Federal, state and local governments, and their agencies, contractors and assigns engaged in fire suppression or emergency services, and, under a fireplain easement, the property owner must agree to hold harmless the United States, States and local governments from any and all duties and liability associated with the suppression or non-suppression of fires on the encumbered property **\$234,673,000** is for hazardous fuel treatment, **\$4,644,000** is for rehabilitation and restoration, **[\$10,376,000] \$22,265,000** is for capital improvement and maintenance of fire facilities, **\$21,761,000** is for research activities and to make competitive research grants pursuant to the Forest and Rangeland Renewable Resources Research Act, as amended (16 U.S.C. 1641 et seq.), **[\$50,383,000] \$46,456,000** is for state fire assistance, **[\$8,262,000] \$8,246,000** is for volunteer fire assistance **[\$11,974,000] and \$12,107,000** is for forest health activities on state, private, and Federal lands[, and \$12,472,000 is for economic action programs]: *Provided further*, That amounts in this paragraph may be transferred to the “State and Private Forestry,” “National Forest System,” and “Forest and Rangeland Research” [and “Capital Improvement and Maintenance”] accounts to fund state fire assistance, volunteer fire assistance, and forest health management, vegetation and watershed management, heritage site rehabilitation, wildlife and fish habitat management[, trails and facilities maintenance] and restoration: *Provided further*, That notice of transfers of any amounts in excess of those authorized in this paragraph, shall [require approval of] be transmitted to the House and Senate Committees on Appropriations [in compliance with reprogramming procedures contained in House Report No. 105–163]: *Provided further*, That the costs of implementing any cooperative agreement between the Federal government and any non-Federal entity may be shared, as mutually agreed on by the affected parties: *Provided further*, That in entering into such grants or cooperative agreements, the Secretary may consider the enhancement of local and small business employment opportunities for rural communities, and that in entering into procurement contracts under this section on a best value basis, the Secretary

may take into account the ability of an entity to enhance local and small business employment opportunities in rural communities, and that the Secretary may award procurement contracts, grants, or cooperative agreements under this section to entities that include local non-profit entities, Youth Conservation Corps or related partnerships with State, local or non-profit youth groups, or small or disadvantaged businesses: *Provided further*, That in addition to funds provided for State Fire Assistance programs, and subject to all authorities available to the Forest Service under the State and Private Forestry Appropriations, up to \$15,000,000 may be used on adjacent non-Federal lands for the purpose of protecting communities when hazard reduction activities are planned on national forest lands that have the potential to place such communities at risk: *Provided further*, That [included in funding for hazardous fuel reduction is \$5,000,000 for implementing the Community Forest Restoration Act, Public Law 106–393, title VI, and any portion of such funds shall be available for use on non-Federal lands in accordance with authorities available to the Forest Service under the State and Private Forestry Appropriation: *Provided further*, That]:

[(1) In] in expending the funds provided with respect to this Act for hazardous fuels reduction, the Secretary of the Interior and the Secretary of Agriculture may conduct fuel reduction treatments on Federal lands using all contracting and hiring authorities available to the Secretaries applicable to hazardous fuel reduction activities under the wildland fire management accounts. [Notwithstanding Federal government procurement and contracting laws, the Secretaries may conduct fuel reduction treatments on Federal lands using grants and cooperative agreements. Notwithstanding Federal government procurement and contracting laws, in order to provide employment and training opportunities to people in rural communities, the Secretaries may award contracts, including contracts for monitoring activities, to—

- (A) local private, nonprofit, or cooperative entities;
- (B) Youth Conservation Corps crews or related partnerships, with State, local and non-profit youth groups;
- (C) small or micro-businesses; or
- (D) other entities that will hire or train a significant percentage of local people to complete such contracts. The authorities described above relating to contracts, grants, and cooperative agreements are available until all funds provided in this title for hazardous fuels reduction activities in the urban wildland interface are obligated.]

[(2)](A) The Secretary of Agriculture may transfer or reimburse funds to the United States Fish and Wildlife Service of the Department of the Interior, or the National Marine Fisheries Service of the Department of Commerce, for the costs of carrying out their responsibilities under the Endangered Species Act of 1973 (16 U.S.C. 1531 et seq.) to consult and conference as required by section 7 of such Act in connection with wildland fire management activities in fiscal years [2001] 2002 and [2002] 2003.

(B) Only those funds appropriated for fiscal years [2001] 2002 and [2002] 2003 to Forest Service (USDA) for wildland fire management are available to the Secretary of Agriculture for such transfer or reimbursement.

(C) The amount of the transfer of reimbursement shall be as mutually agreed by the Secretary of Agriculture and the Secretary of the Interior or Secretary of Commerce, as applicable, or their designees. The amount shall in no case exceed the actual costs of consultation and conferencing in connection with wildland fire management activities affecting National Forest System lands.

[For an additional amount to cover necessary expenses for emergency rehabilitation, wildfire suppression and other fire operations of the Forest Service, \$346,000,000, to remain available until expended, of which \$200,000,000 is for repayment of prior year advances for other appropriations and accounts within the Wildland Fire appropriation previously transferred for fire suppression, \$66,000,000 is for wildfire suppression operations, \$59,000,000 is for land rehabilitation and restoration, \$5,000,000 is for research activities and to make competitive research grants pursuant to the Forest and Rangeland Renewable Resources Research Act, as amended (16 U.S.C. 1641 et seq.), \$10,000,000 is for capital improvement and maintenance of fire facilities, \$6,000,000 is for state fire assistance: *Provided*, That the Congress designates the entire amount as an emergency requirement pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further*, That \$346,000,000 shall be available only to the extent that an official budget request, that includes designation of the \$346,000,000 as an emergency requirement as defined in the

Balanced Budget and Emergency Deficit Control Act of 1985, as amended, is transmitted by the President to the Congress.

For an additional amount, to liquidate obligations previously incurred, \$274,147,000]. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorization legislation required.*)

Program and Financing (in millions of dollars)

Identification code 12-1115-0-1-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Fire management	1,307	1,308	1,313
09.01 Reimbursable program	90	16	16
10.00 Total new obligations	1,397	1,324	1,329
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	-274	96	248
22.00 New budget authority (gross)	1,694	1,476	1,452
22.10 Resources available from recoveries of prior year obligations	74		
23.90 Total budgetary resources available for obligation	1,494	1,572	1,700
23.95 Total new obligations	-1,397	-1,324	-1,329
24.40 Unobligated balance carried forward, end of year	96	248	371
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1,929	1,886	1,426
40.48 Portion applied to liquidate deficiencies		-274	
41.00 Transferred to other accounts	-314	-162	
42.00 Transferred from other accounts	50		
43.00 Appropriation (total discretionary)	1,665	1,450	1,426
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	139	26	26
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-110		
68.90 Spending authority from offsetting collections (total discretionary)	29	26	26
70.00 Total new budget authority (gross)	1,694	1,476	1,452
Change in obligated balances:			
72.40 Obligated balance, start of year	225	376	188
73.10 Total new obligations	1,397	1,324	1,329
73.20 Total outlays (gross)	-1,283	-1,512	-1,455
73.45 Recoveries of prior year obligations	-74		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	110		
74.40 Obligated balance, end of year	376	188	62
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1,332	1,336	1,315
86.93 Outlays from discretionary balances	-49	176	140
87.00 Total outlays (gross)	1,283	1,512	1,455
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-139	-26	-26
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	110		
Net budget authority and outlays:			
89.00 Budget authority	1,665	1,450	1,426
90.00 Outlays	1,143	1,486	1,429
Memorandum (non-add) entries:			
91.90 Unpaid obligations, end of year: Deficiency	274		

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	1,616	1,398	1,369
90.00 Outlays	1,094	1,434	1,372

Wildland fire management.—This appropriation provides funding for Forest Service fire management, presuppression, and suppression on National Forest System lands, adjacent State and private lands, and other lands under fire protection agreement.

The budget places additional emphasis on economic opportunities for rural communities who have experienced job losses from federal timber harvest reductions coupled with an increased threat of wildfire. The budget increases the availability of funds for contracts, expands competitive outsourcing, and provides an expanded authority for local preference.

This year, USDA is working with the Department of the Interior to review fire suppression tactics and expenses to assess why costs have risen so significantly per fire and per acre. The review will determine whether there are ways to restrain costs in firefighting without endangering firefighters or communities.

The budget includes \$20 million for the Forest Service to work with state and local governments in identifying areas to pilot test “fireplain easements.” Under the program, State officials, in consultation with the Secretary, shall identify areas within and adjacent to National Forest System lands where potential fire suppression expenditures may exceed the estimated value of the private land, considering factors relevant to fire suppression strategies including fuels, location, topography, access, and improvements. Within identified areas, and after consultation with the Secretary, State may use grant funds to acquire, on a “willing seller” basis, perpetual easements to permit the implementation of fire suppression strategies, including allowing fires to burn without suppression activities. The goal would be to test the efficacy of avoiding extraordinary protection of outlying structures while providing States an incentive to minimize additional encroachment into such vulnerable areas. The program can help protect firefighters from dangerous situations by relying more on natural contours, while savings fire suppression funding in the process.

This budget provides more than \$234 million for Hazardous Fuels Treatment and directs over 70 percent of funds to the wildland-urban interface as the most effective method to protect communities and lower suppression costs over time.

Preparedness.—To protect National forest system (NFS) lands from damage by wildfires commensurate with the threat to life, values at risk, public values, and management objectives.

Preparedness provides the basic fire organization and capability to prevent forest fires and to take prompt, effective initial attack suppression operations action on wildfires. This funding covers expenses associated with planning, prevention, detection, information, and education; pre-incident training; equipment and supply purchase and replacement; and other preparedness activities, including the base salary and travel of the regular Forest Service firefighting organization. Through this program the Forest Service also assists other Federal agencies and States with training programs, planning assistance, sharing joint equipment use contracts, and inter-agency fire coordination centers.

Fire Operations.—To efficiently suppress wildland fires on or threatening NFS lands or other lands under fire protection agreement. Fire Operations provides funds for all hazardous fuel reduction program activities including planning and implementation, mechanical treatments, prescribed fire, and monitoring of fuel treatment accomplishments. Fuel treatment activities are performed to minimize the potential for large, destructive wildfires.

Fire Operations funds are used to immediately and efficiently rehabilitate severely burned NFS lands to prevent further destruction of natural resources, including soil loss and flooding. Funds are used to increase the level of fire

General and special funds—Continued

WILDLAND FIRE MANAGEMENT—Continued

preparedness when predicted or actual burning conditions exceed normal levels and are also used to support the joint fire sciences program.

The funds provided continue a strong focus on implementation of the National fire plan to protect and manage the impact of wildfires on communities and the environment. In addition to funds specifically for hazardous fuels reduction, fire suppression, and fire readiness additional funding is provided to continue fire research, and rehabilitation activities. Additionally, funds are provided to enhance state and private programs that will contribute to cooperative fire assistance, increased fire department readiness, and forest health programs to reduce fire risk. Funds would also be available to support Fish and Wildlife Service and National Marine Fisheries Service consultation for project and Endangered Species Act review.

Object Classification (in millions of dollars)

Identification code 12-1115-0-1-302	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	245	250	250
11.3 Other than full-time permanent	47	49	49
11.5 Other personnel compensation	127	201	201
11.8 Special personal services payments	30	46	46
11.9 Total personnel compensation	449	546	546
12.1 Civilian personnel benefits	139	143	148
13.0 Benefits for former personnel	6	12	12
21.0 Travel and transportation of persons	60	57	57
23.1 Rental payments to GSA	32	26	26
23.2 Rental payments to others	6		
23.3 Communications, utilities, and miscellaneous charges	16		
24.0 Printing and reproduction	1	1	1
25.2 Other services	482	449	449
26.0 Supplies and materials	67	58	58
31.0 Equipment	47	15	15
32.0 Land and structures	1		
41.0 Grants, subsidies, and contributions	1	1	1
99.0 Direct obligations	1,307	1,308	1,313
99.0 Reimbursable obligations	90	16	16
99.9 Total new obligations	1,397	1,324	1,329

Personnel Summary

Identification code 12-1115-0-1-302	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	9,601	8,111	6,866
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	11	9	9

SOUTHEAST ALASKA ECONOMIC DISASTER FUND

Program and Financing (in millions of dollars)

Identification code 12-1108-0-1-451	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	12	5	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	12	5	
22.00 New budget authority (gross)	5		
23.90 Total budgetary resources available for obligation	17	5	
23.95 Total new obligations	-12	-5	
24.40 Unobligated balance carried forward, end of year	5		

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	5		

Change in obligated balances:

72.40 Obligated balance, start of year		6	6
73.10 Total new obligations	12	5	
73.20 Total outlays (gross)	-6	-7	-3
74.40 Obligated balance, end of year	6	6	3

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	1		
86.93 Outlays from discretionary balances	5	7	1
87.00 Total outlays (gross)	6	7	3

Net budget authority and outlays:

89.00 Budget authority	5		
90.00 Outlays	6	7	3

Public Law 104-134 established this appropriation, for the period 1996 through 2002, to provide assistance to employ former timber workers in Wrangell and Sitka and for related community development projects in Sitka, Wrangell, Ketchikan, and selected organized boroughs in Southeast Alaska. The program established direct payments to these and other localities in Southeast Alaska. Distribution to the unorganized boroughs was based on the proportion of 1995 timber receipts from each borough. No funding was appropriated for 2002 and none is requested for 2003.

RANGE BETTERMENT FUND

For necessary expenses of range rehabilitation, protection, and improvement, 50 percent of all moneys received during the prior fiscal year, as fees for grazing domestic livestock on lands in National Forests in the 16 Western States, pursuant to section 401(b)(1) of Public Law 94-579, as amended, to remain available until expended, of which not to exceed 6 percent shall be available for administrative expenses associated with on-the-ground range rehabilitation, protection, and improvements. (Department of the Interior and Related Agencies Appropriations Act, 2002.)

Unavailable Collections (in millions of dollars)

Identification code 12-5207-0-2-302	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	2	2	2
Receipts:			
02.20 Cooperative range improvements	3	3	3
04.00 Total: Balances and collections	5	5	5
Appropriations:			
05.00 Range betterment fund	-3	-3	-3
07.99 Balance, end of year	2	2	2

Program and Financing (in millions of dollars)

Identification code 12-5207-0-2-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	3	3	3
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	1	1
22.00 New budget authority (gross)	3	3	3
23.90 Total budgetary resources available for obligation	5	4	4
23.95 Total new obligations	-3	-3	-3
24.40 Unobligated balance carried forward, end of year	1	1	1
New budget authority (gross), detail:			
Discretionary:			
40.20 Appropriation (special fund)	3	3	3
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	1
73.10 Total new obligations	3	3	3
73.20 Total outlays (gross)	-3	-3	-3

74.00	Obligated balance, end of year	1	1	1
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	2	2	2
86.93	Outlays from discretionary balances	1	1	1
87.00	Total outlays (gross)	3	3	3
Net budget authority and outlays:				
89.00	Budget authority	3	3	3
90.00	Outlays	3	3	3

Fifty percent of grazing fees from National Forests in the 16 western States, once appropriated, are used to protect and improve rangeland productivity primarily through revegetation, construction and reconstruction, and maintenance of improvements under authority of the Federal Land Policy and Management Act of 1976 (43 U.S.C. 1751), as amended.

Object Classification (in millions of dollars)

Identification code 12-5207-0-2-302	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	1	1	1
25.2 Other services	1	1	1
26.0 Supplies and materials	1	1	1
99.9 Total new obligations	3	3	3

Personnel Summary

Identification code 12-5207-0-2-302	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	29	33	33

LAND ACQUISITION

For expenses necessary to carry out the provisions of the Land and Water Conservation Fund Act of 1965, as amended (16 U.S.C. 4601-4 through 11), including administrative expenses, and for acquisition of land or waters, or interest therein, in accordance with statutory authority applicable to the Forest Service, **[\$149,742,000]** \$131,104,000, to be derived from the Land and Water Conservation Fund, to remain available until expended, and to be for the conservation activities defined in section 250(c)(4)(E) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, for the purposes of such Act.

ACQUISITION OF LANDS FOR NATIONAL FORESTS SPECIAL ACTS

For acquisition of lands within the exterior boundaries of the Cache, Uinta, and Wasatch National Forests, Utah; the Toiyabe National Forest, Nevada; and the Angeles, San Bernardino, Sequoia, and Cleveland National Forests, California, as authorized by law, \$1,069,000, to be derived from forest receipts.

ACQUISITION OF LANDS TO COMPLETE LAND EXCHANGES

For acquisition of lands, such sums, to be derived from funds deposited by State, county, or municipal governments, public school districts, or other public school authorities pursuant to the Act of December 4, 1967, as amended (16 U.S.C. 484a), to remain available until expended. (16 U.S.C. 4601-4-11, 4601-516-617a, 555a; P.L. 96-586; P.L. 76-589, 76-591; 78-310, and 16 U.S.C. 484a; Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Note.—Title VIII of the Department of the Interior and Related Agencies Appropriations Act, 2001, includes an additional \$49 million for land acquisition.

Unavailable Collections (in millions of dollars)

Identification code 12-9923-0-2-302	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year		1	1
Receipts:			
02.20 Offsetting receipts (proprietary)	3	1	1
04.00 Total: Balances and collections	3	2	2
Appropriations:			
05.00 Land acquisition	-2	-1	-1

07.99	Balance, end of year	1	1	1
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Program and Financing (in millions of dollars)

Identification code 12-9923-0-2-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Land acquisition	118	130	120
10.00 Total new obligations	119	131	121
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	99	126	147
22.00 New budget authority (gross)	149	152	132
22.10 Resources available from recoveries of prior year obligations	2		
23.90 Total budgetary resources available for obligation	250	278	279
23.95 Total new obligations	-119	-131	-121
23.98 Unobligated balance expiring or withdrawn	-5		
24.40 Unobligated balance carried forward, end of year	126	147	158

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	50	1	1
Appropriation (special fund):			
40.20 Appropriation (Conservation)	98	150	130
40.20 Appropriation (special act)	2	1	1
42.00 Transferred from other accounts	3		
43.00 Appropriation (total discretionary)	153	152	132
68.10 Spending authority from offsetting collections: Change in uncollected customer payments from Federal sources (unexpired)	-4		
70.00 Total new budget authority (gross)	149	152	132

Change in obligated balances:

72.40 Obligated balance, start of year	7	10	40
73.10 Total new obligations	119	131	121
73.20 Total outlays (gross)	-117	-101	-138
73.45 Recoveries of prior year obligations	-2		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	4		
74.40 Obligated balance, end of year	10	40	23

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	97	101	88
86.93 Outlays from discretionary balances	20		51
87.00 Total outlays (gross)	117	101	138

Offsets:

Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	4		

Net budget authority and outlays:

89.00 Budget authority	153	152	132
90.00 Outlays	117	101	138

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	152	151	131
90.00 Outlays	116	100	137

This appropriation consolidates land acquisition authorities for acquisition of lands, waters, or interest therein, as authorized by law.

Land and water conservation fund.—Recreation lands and interests are acquired within areas of the National Forest System, wilderness, wildlife and fisheries habitat management areas, and endangered species and other areas for public outdoor recreation purposes.

Acquisition of Lands of National Forests, Special Acts.—To acquire lands within critical watersheds to provide soil stabilization and restoration of vegetation. Public Laws 76-

General and special funds—Continued

LAND ACQUISITION—Continued

ACQUISITION OF LANDS TO COMPLETE LAND EXCHANGES—Continued

589, 76–591 and 78–310 (54 Stat. 297, 298, 299, and 402; and 58 Stat. 227–228) authorize appropriations for the purchase of lands to minimize erosion and flood damage to critical watersheds within the following National Forests: the Cache, Uinta, and Wasatch, Utah; the Toiyabe, Nevada; and the Angeles, Cleveland, San Bernardino, and Sequoia, California. Appropriations are made from receipts on these National Forests.

Acquisition of lands to complete land exchanges.—Deposits made by State, county, or municipal governments, public school districts, or other public school authorities for cash equalization of certain land exchanges are used to acquire similar lands suitable for National Forest System purposes in the same State as the National Forest lands conveyed in the land exchange.

Object Classification (in millions of dollars)

Identification code 12–9923–0–2–302	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	6	7	7
12.1 Civilian personnel benefits	2	2	2
23.1 Rental payments to GSA	1	1	1
25.2 Other services	2	1	1
32.0 Land and structures	108	120	110
99.9 Total new obligations	119	131	121

Personnel Summary

Identification code 12–9923–0–2–302	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	108	111	111

FOREST SERVICE PERMANENT APPROPRIATIONS

Unavailable Collections (in millions of dollars)

Identification code 12–9921–0–2–999	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	278		13
Receipts:			
02.20 National forests fund, Agriculture	–194		
02.21 Payments to states	207	384	389
02.22 Timber roads, purchaser elections	12	2	2
02.23 Road and trails for States, National forest fund	43	45	45
02.24 Timber salvage sales	60	68	65
02.25 Deposits, brush disposal	12	15	15
02.26 Rents and charges for quarters	8	8	8
02.27 Timber sales pipeline restoration fund	4	2	3
02.28 Recreational fee demonstration program	31	38	40
02.29 Midwin national tallgrass prairie rental fees	1	1	1
02.30 National grasslands	–12	6	6
02.31 Miscellaneous special funds, Forest Service	3	2	2
02.32 National forests fund, Interior	11	10	10
02.34 Miscellaneous collections			1
02.35 Charges, user fees, and natural resource utilization	6	4	4
02.80 Forest Service permanent appropriations, offsetting collections	7		
02.99 Total receipts and collections	199	585	591
04.00 Total: Balances and collections	477	585	604
Appropriations:			
05.00 Forest Service permanent appropriations	–477	–572	–581
05.99 Total appropriations	–477	–572	–581
07.99 Balance, end of year		13	23

Program and Financing (in millions of dollars)

Identification code 12–9921–0–2–999	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Payments to States, National forest fund	78	13	13
00.02 Payment to Minnesota	2	1	1
00.03 Payments to counties, National grasslands		6	6
00.04 Recreation fee collection costs	1	1	1
00.05 Recreation fee demonstration project	29	29	29
00.06 Timber purchaser roads constructed by Forest Service	25	6	6
00.07 Timber salvage sales	63	116	119
00.08 Roads and trails for States	19	30	32
00.09 Expenses, brush disposal	13	20	21
00.10 Restoration of forest lands and improvements	11	4	4
00.11 Operation and maintenance of quarters	7	7	7
00.12 Miscellaneous special funds	1	1	1
00.13 Pipeline restoration fund	–6	6	6
00.14 Land between the lakes		4	4
00.16 Payments to States, Spotted owl	111		
00.17 Full community stabilization payments		371	371
00.18 Accrued Federal employee pensions and annuitant health benefits	8	8	8
09.01 Reimbursable program	4		
10.00 Total new obligations	366	623	629
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	236	362	326
22.00 New budget authority (gross)	483	587	582
22.10 Resources available from recoveries of prior year obligations	9		
23.90 Total budgetary resources available for obligation	728	949	908
23.95 Total new obligations	–366	–623	–629
24.40 Unobligated balance carried forward, end of year	362	326	281
New budget authority (gross), detail:			
Mandatory:			
60.20 Appropriation (special fund)	478	580	581
62.00 Transferred from other accounts	9	7	1
62.50 Appropriation (total mandatory)	487	587	582
69.00 Offsetting collections (cash)	7		
69.10 Change in uncollected customer payments from Federal sources (unexpired)	–11		
69.90 Spending authority from offsetting collections (total mandatory)	–4		
70.00 Total new budget authority (gross)	483	587	582
Change in obligated balances:			
72.40 Obligated balance, start of year	47	6	38
73.10 Total new obligations	366	623	629
73.20 Total outlays (gross)	–409	–591	–584
73.45 Recoveries of prior year obligations	–9		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	11		
74.40 Obligated balance, end of year	6	38	83
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	353	550	547
86.98 Outlays from mandatory balances	56	41	37
87.00 Total outlays (gross)	409	591	584
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	–7		
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	11		
Net budget authority and outlays:			
89.00 Budget authority	487	587	582
90.00 Outlays	402	591	584

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	479	579	574
90.00 Outlays	394	583	576

Operation and maintenance of quarters.—Quarters rental deductions are collected from employees occupying Forest Service facilities. Amounts are deposited into a special fund and are available for the maintenance and operation of employee-occupied quarters. (5 U.S.C. 5911)

Resource management, timber receipts.—Funds in this special account are available for trail maintenance, reconstruction, and construction; wildlife and fisheries habitat management; soil, water, and air management; cultural/heritage resource management; wilderness management; reforestation; and timber sale administration and management.

Recreation fee demonstration program.—Pursuant to Sec. 315 of Title III—General Provisions, Omnibus Consolidated Recissions and Appropriations Act of 1996, Public Law 104–134 of April 26, 1996 as amended, amounts collected at fee demonstration areas, sites, or projects are available for maintenance and development of recreation facilities.

Midewin National Tallgrass Prairie rental fees.—Monies received under a special use authorization (issued under subsection (b) of Public Law 104–106, Title XXIX, Subtitle A, Section 2915, after distribution to the State of Illinois and affected counties pursuant to the Act of May 23, 1908) are available to cover the cost to the United States of prairie improvement work at the Midewin National Tallgrass Prairie.

Midewin National Tallgrass Prairie Restoration Fund.—Monies received from user fees and the salvage value proceeds from sale of any facilities and improvements pursuant to Section 2915(d) and (e) of Public Law 104–106, as amended by Public Law 105–83, are available to cover the costs of restoration and administrative activities.

Payment to Minnesota.—At the close of each fiscal year, the State of Minnesota is paid 0.75 percent of the appraised value of certain Superior National Forest lands in the counties of Cook Lake and St. Louis for distribution to these counties (16 U.S.C. 577g).

Payments to Counties, National Grasslands.—This program provides an annual payment to counties in which Title III—Bankhead-Jones Acquired Lands are located for funding public schools and roads. Of the net revenues for use of Title III—Bankhead-Jones Farm Tenant Act lands, 25 percent is paid to the counties in which such lands are located for public school and road purposes (7 U.S.C. 1012).

Payments to States.—The Secure Rural Schools and Community Self-Determination Act of 2000 (P.L. 106–393 dated October 30, 2000) provides stabilized education and road maintenance funding through predictable payments to counties, job creation in those counties and other opportunities associated with the restoration, maintenance and stewardship of Federal lands. Under P.L. 106–393, counties may elect one of two methods to calculate Payments to States funding they receive. Counties can either choose to continue receiving funds established by the 25 percent fund or they can receive their share of the State’s “full payment amount.” Full payment amount is the average of the highest three years of payments to the State under the 25 percent fund through the years 1986–1999. A county’s share of that amount is generally determined by the State in cooperation with the affected counties.

Expenses, brush disposal.—Funds from payments by purchasers of National Forest timber to dispose of or treat slash and other debris that result from cutting operations (16 U.S.C. 490).

Licensee programs, Forest Service.—Funds from fees for the use of characters by private enterprises are collected under regulations promulgated by the Secretary as follows:

Smokey Bear.—For furthering the nationwide forest fire prevention campaign (16 U.S.C. 580(2)).

Woodsy Owl.—For promoting wise use of the environment and programs which foster maintenance and improvement of environmental quality (16 U.S.C. 580(1)).

Restoration of forestlands and improvements.—Funds from claim settlements involving damage to lands or improvements and from forfeiture of deposits and bonds by permittees and timber purchasers are used for the restoration made necessary by the action which led to the settlement of forfeiture (16 U.S.C. 579c).

Timber purchaser roads constructed by Forest Service.—Funds from timber receipts for Government constructed permanent roads for purchasers of timber who qualify as small businesses and elect to have the Forest Service construct the roads designated under the timber sale contract where costs exceed \$20,000 (16 U.S.C. 472a(i)).

Recreation fee collection costs.—Under authority of Section 10002(b) of the Omnibus Budget Reconciliation Act of 1993 (P.L. 103–66), which amended Section 4(i)(1) of the L&WCF Act, the Secretaries of Agriculture and Interior are authorized to withhold a portion of all recreation fees collected (not to exceed 15 percent), to be available during the current fiscal year, without further appropriation to cover fee collection costs.

Tongass timber supply fund.—Funds from sales of Alaska timber to maintain the timber supply from the Tongass National Forest at a specified level (16 U.S.C. 539d).

Timber salvage sales.—Funds are used for salvage of insect-infested, dead, damaged, or down timber, and to remove associated trees for stand improvement (16 U.S.C. 472a(h)).

Timber Sales Pipeline Restoration Fund.—As authorized under Section 327 of the Omnibus Consolidated Recissions and Appropriations Act of 1996, funds from revenues received from timber sales released under Section 2001(k) of the 1995 Supplemental Appropriations for Disaster Assistance and Recissions Act for the purpose of restoring the timber pipeline and funding the backlog of recreation projects on National Forest System lands.

Valles Caldera Fund established under the Valles Caldera Preservation Act (Public Law 106–248) provides funds, which shall be available without further appropriation for any purpose consistent with the purposes of the Act. Notwithstanding sections 1341 and 3302 of title 31 of the United States Code, all monies received from donations under subsection (g) or from the management of the Preserve shall be retained and shall be available, without further appropriation, for the administration, preservation, restoration, operation and maintenance, improvement, repair, and related expenses incurred with respect to properties under its management jurisdiction.

Object Classification (in millions of dollars)

Identification code 12–9921–0–2–999	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	78	68	68
11.3 Other than full-time permanent	12	9	9
11.5 Other personnel compensation	5	4	4
11.9 Total personnel compensation	95	81	81
12.1 Civilian personnel benefits	29	26	26
13.0 Benefits for former personnel	1	1	1
21.0 Travel and transportation of persons	4	7	7
23.1 Rental payments to GSA	8	7	7
24.0 Printing and reproduction	1	1	1
25.2 Other services	65	41	43
26.0 Supplies and materials	10	10	10
31.0 Equipment	2	4	4
32.0 Land and structures	13	9	9
41.0 Grants, subsidies, and contributions	134	436	440

General and special funds—Continued

FOREST SERVICE PERMANENT APPROPRIATIONS—Continued

Object Classification (in millions of dollars)—Continued

Identification code 12-9921-0-2-999	2001 actual	2002 est.	2003 est.
99.0 Direct obligations	362	623	629
99.0 Reimbursable obligations	4		
99.9 Total new obligations	366	623	629

Personnel Summary

Identification code 12-9921-0-2-999	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	2,028	2,519	2,521

FOREST SERVICE PERMANENT APPROPRIATIONS

(Legislative proposal, subject to PAYGO)

A Recreation Fee Program is proposed, generally consistent with the existing recreation fee demonstration program, that would permanently authorize the direct expenditure of recreation fees collected by the Forest Service and the Department of the Interior agencies beginning in 2005.

A proposal to enhance timber sale competition would amend the National Forest Management Act to require the use of sealed bids, rather than open bids for timber sales. This will encourage consistency across the National Forest System—both the eastern and southern U.S. use sealed bidding for timber sales—and increased competition in bidding. Increased funds collected are available for repayment of the KV fund borrowings. Reinstates the original requirements in National Forest Management Act for sealed bids.

This proposal would permit non-timber interests, such as environmental, or recreation groups to bid on timber sales, and to not harvest the trees if successful in their bid. This reform would open up the bidding process, increasing the timber sales competition, and increasing receipts to the government. The proposal would amend the National Forest Management Act to alter the requirement that winning bids must proceed to harvest within a short period of time—winning non-timber interest bids would be permitted to limit timber harvests over the course of the normal rotational period described in the forest plan. Receipts collected from winning bids by non-timber interests may be used for local forest restoration projects targeted to generate local employment.

Consistent with the Government-wide goals to receive market value for the use of government assets (see OMB Circular A-25), a proposal would require the receipt of fair market value from use and occupancy of ski resorts on national forest lands. The proposal would amend the Omnibus Parks and Public Lands Management Act (P.L. 104-333), which established a new fee schedule for ski resorts on National Forest System lands. The amendment would adjust percentages of gross revenue that determine fees to the government. Increased funds collected are available for forest restoration of landscapes impacted by ski resorts.

Intragovernmental funds:

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 12-4605-0-4-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	278	157	134

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	99	23	
22.00 New budget authority (gross)	195	134	134
22.10 Resources available from recoveries of prior year obligations	6		
23.90 Total budgetary resources available for obligation	300	157	134
23.95 Total new obligations	-278	-157	-134
24.40 Unobligated balance carried forward, end of year	23		

New budget authority (gross), detail:

Mandatory:			
69.00 Offsetting collections (cash)	193	134	134
69.10 Change in uncollected customer payments from Federal sources (unexpired)	2		
69.90 Spending authority from offsetting collections (total mandatory)	195	134	134

Change in obligated balances:

72.40 Obligated balance, start of year	74	110	133
73.10 Total new obligations	278	157	134
73.20 Total outlays (gross)	-234	-134	-134
73.45 Recoveries of prior year obligations	-6		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-2		
74.40 Obligated balance, end of year	110	133	133

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	135	134	134
86.98 Outlays from mandatory balances	99		
87.00 Total outlays (gross)	234	134	134

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-193	-134	-134
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-2		

Net budget authority and outlays:

89.00 Budget authority			
90.00 Outlays	41		

The Working Capital Fund is a self-sustaining revolving fund that provides services to National Forests, to Research Experiment Stations, to other Federal agencies when necessary, to State and private agencies as provided by law, and to persons who cooperate with the Forest Service in fire control and other authorized programs.

Forestry-related supply and support services include:

Equipment Services.—The fund owns, operates, maintains, replaces, and repairs common-use, motor-driven, and similar equipment. This equipment is rented to administrative units, that is, National Forests, Research Experiment Stations, and other units, and, in some cases, to other agencies, at rates which recover the cost of operation, repair and maintenance, management, and depreciation. The rates also include an increment which provides additional cash which, when added to depreciation earnings and the residual value of equipment, provides sufficient funds to replace the equipment.

Aircraft Services.—The fund operates, maintains, and repairs Forest Service owned aircraft used in fire surveillance and suppression and in other Forest Service programs. The aircraft are rented to National Forests, Research Experiment Stations, and in some cases to other agencies, at rates which recover the cost of depreciation, operation, maintenance, repair, and improvements in the airworthiness of the aircraft. Aircraft replacement costs are financed from either appropriated funds or the Forest Service Working Capital Fund, or a combination of both.

Computer Services.—The Fund provides computer hardware, software, and radio equipment.

Supply Services.—The fund operates the following common services, and provides for cost-recovery of Working Capital Fund Program Management:

Photo reproduction laboratories that store, reproduce, and supply aerial photographs, aerial maps, and other photographs of National Forest lands. Photographic reproductions are sold to National Forests, Experiment Stations, and others at cost.

Sign shops that manufacture and supply special signs for the National Forests for use in regulating traffic and as information to the public and other users of the National Forests. Signs are sold to National Forests and Experiment Stations at cost.

Seed supply services that provide tree seed for direct seeding or sowing in nurseries for the production of trees. Includes purchase or collection of cones, extraction of seeds, cleaning and testing, and storage and delivery. Operates in conjunction with tree nurseries; that is, forest tree nurseries and cold storage facilities for storage of tree seedlings. Tree seedlings are sold to National Forests, State foresters, and other operators at cost.

Object Classification (in millions of dollars)

Identification code 12-4605-0-4-302	2001 actual	2002 est.	2003 est.
25.2 Other services	104	75	65
31.0 Equipment	174	82	69
99.9 Total new obligations	278	157	134

Note.—Personnel totals are included with personnel totals of all other Forest Service programs.

Trust Funds

FOREST SERVICE TRUST FUNDS

Unavailable Collections (in millions of dollars)

Identification code 12-9974-0-7-302	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.00 Transfers from general fund of amounts equal to certain customs duties	30	30	30
02.20 Forest Service cooperative fund	94	155	163
02.40 Transfer from TVA for land between the lakes trust fund		1	1
02.80 Forest Service trust funds, offsetting collections	23		
02.99 Total receipts and collections	147	186	194
Appropriations:			
05.00 Forest Service trust funds	-147	-186	-194
05.99 Total appropriations	-147	-186	-194
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 12-9974-0-7-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	137	231	231
09.00 Reimbursable program	21		
10.00 Total new obligations	158	231	231
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	142	143	102
22.00 New budget authority (gross)	150	191	194
22.10 Resources available from recoveries of prior year obligations	10		
23.90 Total budgetary resources available for obligation	302	334	296
23.95 Total new obligations	-158	-231	-231
24.40 Unobligated balance carried forward, end of year	143	102	65

New budget authority (gross), detail:

Mandatory:	2001 actual	2002 est.	2003 est.
60.26 Appropriation (trust fund)	129	191	194
69.00 Offsetting collections (cash)	23		
69.10 Change in uncollected customer payments from Federal sources (unexpired)	-2		

69.90	Spending authority from offsetting collections (total mandatory)	21		
70.00	Total new budget authority (gross)	150	191	194

Change in obligated balances:

72.40	Obligated balance, start of year	282	29	53
73.10	Total new obligations	158	231	231
73.20	Total outlays (gross)	-403	-207	-193
73.45	Recoveries of prior year obligations	-10		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	2		
74.40	Obligated balance, end of year	29	53	91

Outlays (gross), detail:

86.97	Outlays from new mandatory authority	150	154	156
86.98	Outlays from mandatory balances	252	53	37
87.00	Total outlays (gross)	403	207	193

Offsets:

Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-23		
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	2		

Net budget authority and outlays:

89.00	Budget authority	129	191	194
90.00	Outlays	380	207	193

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	124	186	189
90.00	Outlays	375	202	188

Reforestation trust fund.—Amounts from this account are used for reforestation as authorized by 16 U.S.C. 1606a (d) and (e).

Cooperative work trust fund.—Funds, including deposits from purchasers of timber, are received and used for specified work in forest investigations, protection, and improvement of the National Forest System, including protection, reforestation, and administration of private lands adjacent to National Forests (7 U.S.C. 2269; 16 U.S.C. 498, 535, 565a, 572, 572a, 576b, 1643; and 31 U.S.C. 1321).

Object Classification (in millions of dollars)

Identification code 12-9974-0-7-302	2001 actual	2002 est.	2003 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	49	61	61
11.3	Other than full-time permanent	5	9	9
11.5	Other personnel compensation	3	4	4
11.8	Special personal services payments	1	1	1
11.9	Total personnel compensation	58	75	75
12.1	Civilian personnel benefits	15	22	22
13.0	Benefits for former personnel	1	2	2
21.0	Travel and transportation of persons	2	3	3
23.1	Rental payments to GSA	5	4	4
25.2	Other services	36	122	122
26.0	Supplies and materials	13	2	2
31.0	Equipment	1	1	1
32.0	Land and structures	6		
99.0	Direct obligations	137	231	231
99.0	Reimbursable obligations	21		
99.9	Total new obligations	158	231	231

Personnel Summary

Identification code 12-9974-0-7-302	2001 actual	2002 est.	2003 est.	
1001	Total compensable workyears: Full-time equivalent employment	1,168	1,731	1,733

ALLOCATIONS AND ALLOTMENTS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations or allotments from other accounts are included in the schedule of the parent appropriations, as follows:

Agriculture:
Agricultural Research Service.
Animal and Plant Health Inspection Service.
Natural Resources Conservation Service.
Watershed and flood prevention operations.
Resource conservation and development.
Conservation Reserve Program.
Department Administration:
Hazardous materials management.
Rural Housing; Rural community fire protection grants.
Transportation: Federal Highway Administration, Highway Trust Fund.
Labor: Employment and Training Administration, Training and employment services.

ADMINISTRATIVE PROVISIONS, FOREST SERVICE

Appropriations to the Forest Service for the current fiscal year shall be available for: (1) purchase of not to exceed [132] 113 passenger motor vehicles, of which [eight] 10 will be used primarily for law enforcement purposes and of which [130] 113 shall be for replacement; acquisition of 25 passenger motor vehicles from excess sources, and hire of such vehicles; operation and maintenance of aircraft, the purchase of not to exceed seven for replacement only, and acquisition of sufficient aircraft from excess sources] to maintain the operable fleet at 195 aircraft for use in Forest Service wildland fire programs and other Forest Service programs; notwithstanding other provisions of law, existing aircraft being replaced may be sold, with proceeds derived or trade-in value used to offset the purchase price for the replacement aircraft; (2) services pursuant to 7 U.S.C. 2225, and not to exceed \$100,000 for employment under 5 U.S.C. 3109; (3) purchase, erection, and alteration of buildings and other public improvements (7 U.S.C. 2250); (4) acquisition of land, waters, and interests therein, pursuant to 7 U.S.C. 428a; (5) for expenses pursuant to the Volunteers in the National Forest Act of 1972 (16 U.S.C. 558a, 558d, and 558a note); (6) the cost of uniforms as authorized by 5 U.S.C. 5901–5902; and (7) for debt collection contracts in accordance with 31 U.S.C. 3718(c).

[None of the funds made available under this Act shall be obligated or expended to abolish any region, to move or close any regional office for National Forest System administration of the Forest Service, Department of Agriculture without the consent of the House and Senate Committees on Appropriations.]

Any appropriations or funds available to the Forest Service may be transferred to the Wildland Fire Management appropriation for forest firefighting, emergency rehabilitation of burned-over or damaged lands or waters under its jurisdiction, and fire preparedness due to severe burning conditions [if and only if all previously appropriated emergency contingent funds under the heading “Wildland Fire Management” have been released by the President and appropriated].

Funds appropriated to the Forest Service shall be available for assistance to or through the Agency for International Development and the Foreign Agricultural Service in connection with forest and rangeland research, technical information, and assistance in foreign countries, and shall be available to support forestry and related natural resource activities outside the United States and its territories and possessions, including technical assistance, education and training, and cooperation with United States and international organizations.

[None of the funds made available to the Forest Service under this Act shall be subject to transfer under the provisions of section 702(b) of the Department of Agriculture Organic Act of 1944 (7 U.S.C. 2257) or 7 U.S.C. 147b unless the proposed transfer is approved in advance by the House and Senate Committees on Appropriations in compliance with the reprogramming procedures contained in House Report No. 105–163.]

[None of the funds available to the Forest Service may be reprogrammed without the advance approval of the House and Senate Committees on Appropriations in accordance with the procedures contained in House Report No. 105–163.]

[No funds available to the Forest Service shall be transferred to the Working Capital Fund of the Department of Agriculture that exceed the total amount transferred during fiscal year 2000 for such purposes without the advance approval of the House and Senate Committees on Appropriations.]

Funds available to the Forest Service shall be available to conduct a program of not less than \$2,000,000 for high priority projects within the scope of the approved budget which shall be carried out by the Youth Conservation Corps, defined in section 250(c)(4)(E) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, for the purposes of such Act.

Of the funds available to the Forest Service, \$2,500 is available to the Chief of the Forest Service for official reception and representation expenses.

Pursuant to sections 405(b) and 410(b) of Public Law 101–593, of the funds available to the Forest Service, up to \$2,250,000 may be advanced in a lump sum as Federal financial assistance to the National Forest Foundation, without regard to when the Foundation incurs expenses, for administrative expenses or projects on or benefitting National Forest System lands or related to Forest Service programs: *Provided*, [That of the Federal funds made available to the Foundation, no more than \$400,000 shall be available for administrative expenses: *Provided further*, That section 403(a) of the National Forest Foundation Act (16 U.S.C. 583j–1(a)) is amended by inserting after the first sentence the following new sentence: “At the discretion of the Secretary of Agriculture, the Secretary may increase the number of Directors to not more than twenty.”: *Provided further*,] That the Foundation shall obtain, by the end of the period of Federal financial assistance, private contributions to match on at least one-for-one basis funds made available by the Forest Service: *Provided further*, That the Foundation may transfer Federal funds to a non-Federal recipient for a project at the same rate that the recipient has obtained the non-Federal matching funds: *Provided further*, That [hereafter, the National Forest Foundation may hold Federal funds made available but not immediately disbursed and may use any interest or other investment income earned (before, on, or after the date of the enactment of this Act) on Federal funds to carry out the purposes of Public Law 101–593: *Provided further*, That such] *authorized investments of Federal funds held by the Foundation* may be made only in interest-bearing obligations of the United States or in obligations guaranteed as to both principal and interest by the United States.

Pursuant to section 2(b)(2) of Public Law 98–244, up to \$2,650,000 of the funds available to the Forest Service shall be available for matching funds to the National Fish and Wildlife Foundation, as authorized by 16 U.S.C. 3701–3709, and may be advanced in a lump sum as Federal financial assistance, without regard to when expenses are incurred, for projects on or benefitting National Forest System lands or related to Forest Service programs: *Provided*, That the Foundation shall obtain, by the end of the period of Federal financial assistance, private contributions to match on at least one-for-one basis funds advanced by the Forest Service: *Provided further*, That the Foundation may transfer Federal funds to a non-Federal recipient for a project at the same rate that the recipient has obtained the non-Federal matching funds.

Funds appropriated to the Forest Service shall be available for interactions with and providing technical assistance to rural communities for sustainable rural development purposes.

[Notwithstanding any other provision of law, 80 percent of the funds appropriated to the Forest Service in the “National Forest System” and “Capital Improvement and Maintenance” accounts and planned to be allocated to activities under the “Jobs in the Woods” program for projects on National Forest land in the State of Washington may be granted directly to the Washington State Department of Fish and Wildlife for accomplishment of planned projects. Twenty percent of said funds shall be retained by the Forest Service for planning and administering projects. Project selection and prioritization shall be accomplished by the Forest Service with such consultation with the State of Washington as the Forest Service deems appropriate.]

Funds appropriated to the Forest Service shall be available for payments to counties within the Columbia River Gorge National Scenic Area, pursuant to sections 14(c)(1) and (2), and section 16(a)(2) of Public Law 99–663.

The Secretary of Agriculture is authorized to enter into grants, contracts, and cooperative agreements as appropriate with the Pinchot Institute for Conservation, as well as with public and other private agencies, organizations, institutions, and individuals, to provide for the development, administration, maintenance, or restoration of land, facilities, or Forest Service programs, at the Grey Towers National Historic Landmark: *Provided*, That, subject to such terms and conditions as the Secretary of Agriculture may prescribe, any such public or private agency, organization, institution, or individual

may solicit, accept, and administer private gifts of money and real or personal property for the benefit of, or in connection with, the activities and services at the Grey Towers National Historic Landmark: *Provided further*, That such gifts may be accepted notwithstanding the fact that a donor conducts business with the Department of Agriculture in any capacity.

Funds appropriated to the Forest Service shall be available, as determined by the Secretary, for payments to Del Norte County, California, pursuant to sections 13(e) and 14 of the Smith River National Recreation Area Act (Public Law 101-612).

Notwithstanding any other provision of law, any appropriations or funds available to the Forest Service not to exceed \$500,000 may be used to reimburse the Office of the General Counsel (OGC), Department of Agriculture, for travel and related expenses incurred as a result of OGC assistance or participation requested by the Forest Service at meetings, training sessions, management reviews, land purchase negotiations and similar non-litigation related matters. [Future budget justifications for both the Forest Service and the Department of Agriculture should clearly display the sums previously transferred and the requested funding transfers.]

The Forest Service shall fund indirect expenses, that is expenses not directly related to specific programs or to the accomplishment of specific [work] on-the-ground work, from any funds available to the Forest Service: *Provided*, That the Forest Service shall implement and adhere to the definitions of indirect expenditures established pursuant to Public Law 105-277 on a nationwide basis without flexibility for modification by any organizational level except the Washington Office, and when changed by the Washington Office, such changes in definition shall be reported in budget requests submitted by the Forest Service: *Provided further*, That the Forest Service shall provide in all future budget justifications, planned indirect expenditures in accordance with the definitions, summarized and displayed to the Regional, Station, Area, and detached unit office level. The justification shall display the estimated source and amount of indirect expenditures, by [expanded] budget line item, of funds in the agency's annual budget justification. The display shall include appropriated funds and the Knutson-Vandenberg, Brush Disposal, Cooperative Work-Other, and Salvage Sale funds. [Changes between estimated and actual indirect expenditures shall be reported in subsequent budget justifications: *Provided*, That during fiscal year 2002 the Secretary shall limit total annual indirect obligations from the Brush Disposal, Knutson-Vandenberg, Reforestation, Salvage Sale, and Roads and Trails funds to 20 percent of the total obligations from each fund. Obligations in excess of 20 percent which would otherwise be charged to the above funds may be charged to appropriated funds available to the Forest Service subject to notification of the Committees on Appropriations of the House and Senate.]

Any appropriations or funds available to the Forest Service may be used for necessary expenses in the event of law enforcement emergencies as necessary to protect natural resources and public or employee safety: *Provided*, That such amounts shall not exceed \$750,000].

The Secretary of Agriculture may authorize the sale of excess buildings, facilities, and other properties owned by the Forest Service and located on the Green Mountain National Forest, the revenues of which shall be retained by the Forest Service and available to the Secretary without further appropriation and until expended for maintenance and rehabilitation activities on the Green Mountain National Forest. (*Department of the Interior and Related Agencies Appropriations Act, 2002.*)

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Offsetting receipts from the public:			
12-181100 National grasslands	18	20	21
12-222100 National forest fund	88	108	108
Legislative proposal, subject to PAYGO			
12-270110 Agriculture credit insurance, Negative subsidies	2	2	2
12-270130 Agriculture credit insurance, Downward reestimates of subsidies	923	49	
12-270210 Rural electrification and telephone loans, Negative subsidies	19	17	20
12-270230 Rural electrification and telephone loans, Downward reestimates of subsidies	410		

12-270330 Rural water and waste disposal, Downward reestimates of subsidies	108		
12-270530 Rural community facility, Downward reestimates of subsidies	20		
12-270630 Rural housing insurance, Downward reestimates of subsidies	334		
12-270710 Rural business and industry, Negative subsidies	2		
12-270730 Rural business and industry, Downward reestimates of subsidies	26		
12-270830 P.L. 480 loan program, Downward reestimates of subsidies	39		
12-271030 Rural development loans, Downward reestimates of subsidies	4		
12-271130 Rural telephone bank loans, Downward reestimates of subsidies	6		
12-271330 Economic development loans, Downward reestimates of subsidies	3		
12-275430 Apple loan program, downward reestimates of subsidies	1		
12-275630 Farm storage facility loans, Downward reestimate of subsidies	2		
12-275730 Commodity Credit Corporation export guarantee financing, Downward reestimate of subsidies	126		
General Fund Offsetting receipts from the public	2,002	325	151

OTHER CONSOLIDATED RECEIPT ACCOUNTS

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
12-977210 Miscellaneous contributed funds	1	1	1

TITLE VII—GENERAL PROVISIONS

SEC. 701. Within the unit limit of cost fixed by law, appropriations and authorizations made for the Department of Agriculture for fiscal year [2002] 2003 under this Act shall be available for the purchase, in addition to those specifically provided for, of not to exceed [379] 374 passenger motor vehicles, of which [378] 372 shall be for replacement only, and for the hire of such vehicles.

SEC. 702. Funds in this Act available to the Department of Agriculture shall be available for uniforms or allowances therefor as authorized by law (5 U.S.C. 5901-5902).

SEC. 703. Not less than \$1,500,000 of the appropriations of the Department of Agriculture in this Act for research and service work authorized by sections 1 and 10 of the Act of June 29, 1935 (7 U.S.C. 427, 427i; commonly known as the Bankhead-Jones Act), subtitle A of title II and section 302 of the Act of August 14, 1946 (7 U.S.C. 1621 et seq.), and chapter 63 of title 31, United States Code, shall be available for contracting in accordance with such Acts and chapter.

SEC. 704. The Secretary of Agriculture may transfer unobligated balances of *discretionary* funds appropriated by this Act or other available unobligated *discretionary* balances of the Department of Agriculture to the Working Capital Fund for the acquisition of plant and capital equipment necessary for the delivery of financial, administrative, and information technology services of primary benefit to the agencies of the Department of Agriculture: *Provided*, That none of the funds made available by this Act or any other Act shall be transferred to the Working Capital Fund without the prior approval of the agency administrator: *Provided further*, That none of the funds transferred to the Working Capital Fund pursuant to this section shall be available for obligation without [the prior approval of] *advance notice transmitted* to the Committees on Appropriations of both Houses of Congress.

SEC. 705. New obligatory authority provided for the following appropriation items in this Act shall remain available until expended: Animal and Plant Health Inspection Service, the contingency fund to meet emergency conditions, fruit fly program, emerging plant pests, integrated systems acquisition project, boll weevil program, up to 25 percent of the screwworm program, and up to \$2,000,000 for costs associated with collocating regional offices; Food Safety and Inspection Service, field automation and information management project; Cooperative State Research, Education, and Extension Serv-

ice, funds for competitive research grants (7 U.S.C. 450i(b)), funds for the Research, Education and Economics Information System (REEIS), and funds for the Native American Institutions Endowment Fund; Farm Service Agency, salaries and expenses funds made available to county committees; Foreign Agricultural Service, middle-income country training program and up to \$2,000,000 of the Foreign Agricultural Service appropriation solely for the purpose of offsetting fluctuations in international currency exchange rates, subject to documentation by the Foreign Agricultural Service.

SEC. 706. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 707. Not to exceed \$50,000 of the appropriations available to the Department of Agriculture in this Act shall be available to provide appropriate orientation and language training pursuant to section 606C of the Act of August 28, 1954 (7 U.S.C. 1766b).

SEC. 708. No funds appropriated by this Act may be used to pay negotiated indirect cost rates on cooperative agreements or similar arrangements between the United States Department of Agriculture and nonprofit institutions in excess of 10 percent of the total direct cost of the agreement when the purpose of such cooperative arrangements is to carry out programs of mutual interest between the two parties. This does not preclude appropriate payment of indirect costs on grants and contracts with such institutions when such indirect costs are computed on a similar basis for all agencies for which appropriations are provided in this Act.

SEC. 709. None of the funds in this Act shall be available to restrict the authority of the Commodity Credit Corporation to lease space for its own use or to lease space on behalf of other agencies of the Department of Agriculture when such space will be jointly occupied.

SEC. 710. None of the funds in this Act shall be available to pay indirect costs charged against competitive agricultural research, education, or extension grant awards issued by the Cooperative State Research, Education, and Extension Service that exceed 19 percent of total Federal funds provided under each award: *Provided*, That notwithstanding section 1462 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3310), funds provided by this Act for grants awarded competitively by the Cooperative State Research, Education, and Extension Service shall be available to pay full allowable indirect costs for each grant awarded under section 9 of the Small Business Act (15 U.S.C. 638).

SEC. 711. Notwithstanding any other provision of this Act, all loan levels provided in this Act shall be considered estimates, not limitations.

SEC. 712. Appropriations to the Department of Agriculture for the cost of direct and guaranteed loans made available in fiscal year [2002] 2003 shall remain available until expended to cover obligations made in fiscal year [2002] 2003 for the following accounts: the Rural Development Loan Fund program account; the Rural Telephone Bank program account; the Rural Electrification and Telecommunications Loans program account; [the Local Television Loan Guarantee program;] the Rural Housing Insurance Fund program account; and the Rural Economic Development Loans program account.

SEC. 713. Notwithstanding chapter 63 of title 31, United States Code, marketing services of the Agricultural Marketing Service; the Grain Inspection, Packers and Stockyards Administration; the Animal and Plant Health Inspection Service; and the food safety activities of the Food Safety and Inspection Service may use cooperative agreements to reflect a relationship between the Agricultural Marketing Service; the Grain Inspection, Packers and Stockyards Administration; the Animal and Plant Health Inspection Service; or the Food Safety and Inspection Service and a state or cooperator to carry out agricultural marketing programs, to carry out programs to protect the nation's animal and plant resources, or to carry out educational programs or special studies to improve the safety of the nation's food supply.

[SEC. 714. None of the funds in this Act may be used to retire more than 5 percent of the Class A stock of the Rural Telephone Bank or to maintain any account or subaccount within the accounting records of the Rural Telephone Bank the creation of which has not specifically been authorized by statute: *Provided*, That notwithstanding any other provision of law, none of the funds appropriated or otherwise made available in this Act may be used to transfer to the Treasury or to the Federal Financing Bank any unobligated balance of the Rural Telephone Bank telephone liquidating account which is in excess of current requirements and such balance shall

receive interest as set forth for financial accounts in section 505(c) of the Federal Credit Reform Act of 1990.]

[SEC. 715. Of the funds made available by this Act, not more than \$1,800,000 shall be used to cover necessary expenses of activities related to all advisory committees, panels, commissions, and task forces of the Department of Agriculture, except for panels used to comply with negotiated rule makings and panels used to evaluate competitively awarded grants.]

SEC. [716] 714. None of the funds appropriated by this Act may be used to carry out section 410 of the Federal Meat Inspection Act (21 U.S.C. 679a) or section 30 of the Poultry Products Inspection Act (21 U.S.C. 471).

[SEC. 717. No employee of the Department of Agriculture may be detailed or assigned from an agency or office funded by this Act to any other agency or office of the Department for more than 30 days unless the individual's employing agency or office is fully reimbursed by the receiving agency or office for the salary and expenses of the employee for the period of assignment.]

[SEC. 718. None of the funds appropriated or otherwise made available to the Department of Agriculture shall be used to transmit or otherwise make available to any non-Department of Agriculture employee questions or responses to questions that are a result of information requested for the appropriations hearing process.]

SEC. [719] 715. None of the funds made available to the Department of Agriculture by this Act may be used to acquire new information technology systems or significant upgrades, as determined by the Office of the Chief Information Officer, without the approval of the Chief Information Officer and the concurrence of the Executive Information Technology Investment Review Board: *Provided*, That notwithstanding any other provision of law, none of the funds appropriated or otherwise made available by this Act may be transferred to the Office of the Chief Information Officer without the prior [approval] notification of the Committees on Appropriations of both Houses of Congress.

[SEC. 720. (a) None of the funds provided by this Act, or provided by previous Appropriations Acts to the agencies funded by this Act that remain available for obligation or expenditure in fiscal year 2002, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditure through a reprogramming of funds which: (1) creates new programs; (2) eliminates a program, project, or activity; (3) increases funds or personnel by any means for any project or activity for which funds have been denied or restricted; (4) relocates an office or employees; (5) reorganizes offices, programs, or activities; or (6) contracts out or privatizes any functions or activities presently performed by Federal employees; unless the Committees on Appropriations of both Houses of Congress are notified 15 days in advance of such reprogramming of funds.

(b) None of the funds provided by this Act, or provided by previous Appropriations Acts to the agencies funded by this Act that remain available for obligation or expenditure in fiscal year 2002, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditure for activities, programs, or projects through a reprogramming of funds in excess of \$500,000 or 10 percent, whichever is less, that: (1) augments existing programs, projects, or activities; (2) reduces by 10 percent funding for any existing program, project, or activity, or numbers of personnel by 10 percent as approved by Congress; or (3) results from any general savings from a reduction in personnel which would result in a change in existing programs, activities, or projects as approved by Congress; unless the Committees on Appropriations of both Houses of Congress are notified 15 days in advance of such reprogramming of funds.

(c) The Secretary of Agriculture shall notify the Committees on Appropriations of both Houses of Congress before implementing a program or activity not carried out during the previous fiscal year unless the program or activity is funded by this Act or specifically funded by any other Act.]

SEC. [721] 716. With the exception of funds needed to administer and conduct oversight of grants awarded and obligations incurred prior to [enactment of this Act] November 28, 2001, none of the funds appropriated or otherwise made available by this or any other Act may be used to pay the salaries and expenses of personnel to carry out section 793 of Public Law 104-127, the Fund for Rural America (7 U.S.C. 2204f).

SEC. [722] 717. With the exception of funds needed to administer and conduct oversight of grants awarded and obligations incurred prior to [enactment of this Act] November 28, 2001, none of the funds appropriated or otherwise made available by this or any other Act may be used to pay the salaries and expenses of personnel to carry out the provisions of section 401 of Public Law 105–185, the Initiative for Future Agriculture and Food Systems (7 U.S.C. 7621).

[SEC. 723. None of the funds appropriated or otherwise made available by this Act shall be used to pay the salaries and expenses of personnel to carry out a conservation farm option program, as authorized by section 1240M of the Food Security Act of 1985 (16 U.S.C. 3839bb).]

[SEC. 724. None of the funds made available to the Food and Drug Administration by this Act shall be used to reduce the Detroit, Michigan, Food and Drug Administration District Office below the operating and full-time equivalent staffing level of July 31, 1999; or to change the Detroit District Office to a station, residence post or similarly modified office; or to reassign residence posts assigned to the Detroit District Office: *Provided*, That this section shall not apply to Food and Drug Administration field laboratory facilities or operations currently located in Detroit, Michigan, except that field laboratory personnel shall be assigned to locations in the general vicinity of Detroit, Michigan, pursuant to cooperative agreements between the Food and Drug Administration and other laboratory facilities associated with the State of Michigan.]

[SEC. 725. None of the funds appropriated by this Act or any other Act shall be used to pay the salaries and expenses of personnel who prepare or submit appropriations language as part of the President's Budget submission to the Congress of the United States for programs under the jurisdiction of the Appropriations Subcommittees on Agriculture, Rural Development, Food and Drug Administration, and Related Agencies that assumes revenues or reflects a reduction from the previous year due to user fees proposals that have not been enacted into law prior to the submission of the Budget unless such Budget submission identifies which additional spending reductions should occur in the event the user fees proposals are not enacted prior to the date of the convening of a committee of conference for the fiscal year 2003 appropriations Act.]

[SEC. 726. None of the funds made available by this Act or any other Act may be used to close or relocate a state Rural Development office unless or until cost effectiveness and enhancement of program delivery have been determined.]

[SEC. 727. Of any shipments of commodities made pursuant to section 416(b) of the Agricultural Act of 1949 (7 U.S.C. 1431(b)), the Secretary of Agriculture shall, to the extent practicable, direct that tonnage equal in value to not more than \$25,000,000 shall be made available to foreign countries to assist in mitigating the effects of the Human Immunodeficiency Virus and Acquired Immune Deficiency Syndrome on communities, including the provision of—

(1) agricultural commodities to—

(A) individuals with Human Immunodeficiency Virus or Acquired Immune Deficiency Syndrome in the communities; and

(B) households in the communities, particularly individuals caring for orphaned children; and

(2) agricultural commodities monetized to provide other assistance (including assistance under microcredit and microenterprise programs) to create or restore sustainable livelihoods among individuals in the communities, particularly individuals caring for orphaned children.]

[SEC. 728. In addition to amounts otherwise appropriated or made available by this Act, \$2,496,000 is appropriated for the purpose of providing Bill Emerson and Mickey Leland Hunger Fellowships through the Congressional Hunger Center.]

[SEC. 729. Hereafter, refunds or rebates received on an on-going basis from a credit card services provider under the Department of Agriculture's charge card programs may be deposited to and retained without fiscal year limitation in the Department's Working Capital Fund established under 7 U.S.C. 2235 and used to fund management initiatives of general benefit to the Department of Agriculture bureaus and offices as determined by the Secretary of Agriculture or the Secretary's designee.]

SEC. [730] 718. Notwithstanding section 412 of the Agricultural Trade Development and Assistance Act of 1954 (7 U.S.C. 1736f), any balances available to carry out title III of such Act as of the date of enactment of this Act, and any recoveries and reimbursements that become available to carry out title III of such Act, may be used to carry out title II of such Act.

[SEC. 731. Section 375(e)(6)(B) of the Consolidated Farm and Rural Development Act (7 U.S.C. 2008j(e)(6)(B)) is amended by striking "\$25,000,000" and inserting "\$26,000,000".]

[SEC. 732. None of the funds appropriated or made available by this Act shall be used to issue a proposed rule for which the comment period would close prior to September 30, 2002, final, or interim final rule pursuant to notice and comment rulemaking in relation to any change or modification of the definition of "animal" in existing regulations pursuant to the Animal Welfare Act.]

[SEC. 733. Notwithstanding any other provision of law, the City of Cabot, Arkansas, the City of Berlin, New Hampshire, and the City of Coachella, California, shall be eligible for loans and grants provided through the Rural Community Advancement Program.]

[SEC. 734. Notwithstanding any other provision of law, the Secretary shall consider the City of Casa Grande, Arizona, as meeting the requirements of a rural area in section 520 of the Housing Act of 1949 (42 U.S.C. 1490).]

[SEC. 735. Of the funds made available under section 27(a) of the Food Stamp Act of 1977 (7 U.S.C. 2011 et seq.), the Secretary may use up to \$5,000,000 for administrative costs associated with the distribution of commodities.]

[SEC. 736. Notwithstanding any other provision of law, the Secretary may transfer up to \$13,000,000 in funds provided for the Environmental Quality Incentives Program authorized by chapter 4, subtitle D, title XII of the Food Security Act of 1985, for technical assistance to implement the Conservation Reserve Program authorized by subchapter B, chapter 1, title XII of the Food Security Act of 1985, with funds to remain available until expended.]

[SEC. 737. Notwithstanding any other provision of law, the City of St. Joseph, Missouri, shall be eligible for grants and loans administered by the rural development mission area of the Department of Agriculture relating to an application submitted to the Department by a farmer-owned cooperative, a majority of whose members reside in a rural area, as determined by the Secretary, and for the purchase and operation of a facility beneficial to the purpose of the cooperative.]

[SEC. 738. Notwithstanding any other provision of law, the Secretary of Agriculture shall consider the City of Hollister, California, as meeting the requirements of a rural area for the purposes of housing programs in the rural development mission areas of the Department of Agriculture.]

[SEC. 739. None of the funds appropriated or otherwise made available by this Act may be used to maintain, modify, or implement any assessment against agricultural producers as part of a commodity promotion, research, and consumer information order, known as a check-off program, that has not been approved by the affected producers in accordance with the statutory requirements applicable to the order.]

[SEC. 740. None of the funds made available to the Food and Drug Administration by this Act shall be used to close or relocate, or to plan to close or relocate, the Food and Drug Administration Division of Drug Analysis (recently renamed the Division of Pharmaceutical Analysis) in St. Louis, Missouri, except that funds could be used to plan a possible relocation of this Division within the city limits of St. Louis, Missouri.]

[SEC. 741. MARKET LOSS ASSISTANCE FOR APPLE PRODUCERS (a) ASSISTANCE AVAILABLE.—The Secretary of Agriculture shall use \$75,000,000 of funds of the Commodity Credit Corporation to make payments as soon as possible after the date of the enactment of this Act to apple producers to provide relief for the loss of markets for their 2000 crop.

(b) PAYMENT BASIS.—The amount of the payment to a producer under subsection (a) shall be made on a per pound basis equal to each qualifying producer's 2000 production of apples, except that the Secretary shall not make payments for that amount of a particular farm's apple production that is in excess of 20,000,000 pounds.

(c) DUPLICATIVE PAYMENTS.—A producer shall be ineligible for payments under this section with respect to a market loss for apples to the extent of that amount that the producer received as compensation or assistance for the same loss under any other Federal program, other than under the Federal Crop Insurance Act (7 U.S.C. 1501 et seq.).

(d) OTHER TERMS AND CONDITIONS.—The Secretary shall not establish any terms or conditions for producer eligibility, such as limits based upon gross income, other than those specified in this section.

(e) APPLICABILITY.—This section applies only with respect to the 2000 crop of apples and producers of that crop.]

SEC. [742.] 719. No funds appropriated or otherwise made available under this Act shall be made available to any person or entity that has been convicted of violating the Act of March 3, 1933 (41 U.S.C. 10a–10c; popularly known as the “Buy American Act”).

[SEC. 743. Section 17(a)(2)(B) of the Richard B. Russell National School Lunch Act (42 U.S.C. 1766(a)(2)(B)) is amended by striking “2001” and inserting “2002”.]

[SEC. 744. Notwithstanding any other provision of law, the Natural Resources Conservation Service shall provide financial and technical assistance in the amount of \$150,000 to the Mallard Pointe project in Madison County, Mississippi.]

[SEC. 745. Notwithstanding any other provision of law, the Secretary of Agriculture shall, in cooperation with the State of Illinois, develop and implement a pilot project utilizing conservation programs of the Department of Agriculture for soil, water, wetlands, and wildlife habitat enhancement in the Illinois River Basin: *Provided*, That no funds shall be made available to carry out this section unless they are expressly provided for a program in this Act or any other Act for obligation in fiscal year 2002: *Provided further*, That any conservation reserve program enrollments made pursuant to this section shall be subject to section 734 of this Act.]

[SEC. 746. Notwithstanding any other provision of law, the Natural Resources Conservation Service shall provide \$250,000 for a wetlands restoration and water conservation project in the vicinity of Jamestown, Rhode Island.]

[SEC. 747. Notwithstanding any other provision of law, \$3,000,000 shall be made available from funds under the rural business and cooperative development programs of the Rural Community Advancement Program for a grant for an integrated ethanol plant, feedlot, and animal waste digestion unit, to the extent matching funds from the Department of Energy are provided if a commitment for such matching funds is made prior to July 1, 2002: *Provided*, That such funds shall be released to the project after the farmer-owned cooperative equity is in place, and a formally executed commitment from a qualified lender based upon receipt of necessary permits, contract, and other appropriate documentation has been secured by the project.]

[SEC. 748. Hereafter, notwithstanding any other provision of law, the Administrator of the Rural Utilities Service shall use the authorities provided in the Rural Electrification Act of 1936 to finance the acquisition of existing generation, transmission and distribution systems and facilities serving high cost, predominantly rural areas by entities capable of and dedicated to providing or improving service in such areas in an efficient and cost effective manner.]

[SEC. 749. Notwithstanding subsection (f) of section 156 of the Agricultural Market Transition Act (7 U.S.C. 7272(f)), any assessment imposed under that subsection for marketings of raw cane sugar or beet sugar for the 2002 fiscal year shall not be required to be remitted to the Commodity Credit Corporation before September 2, 2002.]

[SEC. 750. Notwithstanding any other provision of law, the Secretary of Agriculture, acting through the Natural Resources Conservation Service, shall provide financial assistance from available funds from the Emergency Watershed Protection Program in Arkansas, in an amount not to exceed \$400,000 for completion of the current construction phase of the Kuhn Bayou (Point Remove) Project.]

[SEC. 751. (a) TEMPORARY USE OF EXISTING PAYMENTS TO STATES TABLE.—Notwithstanding section 101(a)(1) of the Secure Rural Schools and Community Self-Determination Act of 2000 (Public Law 106–393; 16 U.S.C. 500 note), for the purpose of making the fiscal year 2001 payments under section 102 of such Act to eligible States and eligible counties, the full payment amount for each eligible State and eligible county shall be deemed to be equal to the full payment amount calculated for that eligible State or eligible county in the Forest Service document entitled “P.L. 106–393, Secure Rural Schools and Community Self-Determination Act” and dated July 31, 2001, subject to the adjustment required by section 101(b) of such Act.

(b) REVISION OF TABLE.—For the purpose of making payments under section 102 of such Act to eligible States and eligible counties for fiscal years 2002 through 2006, as required by section 101(a)(1) of such Act, the Secretary of Agriculture shall revise the table referred to in subsection (a) to accurately reflect, to the maximum extent practicable, each eligible State’s and eligible county’s historic share of the 25-percent payments and safety net payments made for the fiscal years of the eligibility period.

(c) REPORTING REQUIREMENT.—Not later than March 1, 2002, the Secretary of Agriculture shall submit to the Committee on Energy and Natural Resources of the Senate and the Committee on Agri-

culture of the House of Representatives a report containing the revisions made to the table referred to in subsection (a), as required by subsection (b).

(d) ADDITIONAL ELIGIBLE COUNTY ELECTION.—Notwithstanding section 102(b)(2) of such Act, if the revision pursuant to subsection (b) of the table referred to in subsection (a) results in a reduced full payment amount for an eligible county that elected under section 102(b) of such Act to receive the full payment amount, the eligible county shall have a 90-day period, beginning on the date the revised table is first available to the public, during which to reconsider and change its election. The eligible county shall notify the Secretary of Agriculture of any change in its election before the end of such period. If an eligible county elects under this subsection to receive the 25-percent payment in place of the full payment amount, the election shall be effective for 1 year.

(e) TREATMENT OF CERTAIN MINERAL LEASING RECEIPTS.—(1) An eligible county that elects under section 102(b) of such Act to receive its share of an eligible State’s full payment amount shall continue to receive its share of any payments made to that State from a lease for mineral resources issued by the Secretary of the Interior under the last paragraph under the heading “FOREST SERVICE.” in the Act of March 4, 1917 (Chapter 179; 16 U.S.C. 520).

(2) Section 6(b) of the Mineral Leasing Act for Acquired Lands (30 U.S.C. 355(b)) is amended by inserting after the first sentence the following new sentence: “The preceding sentence shall also apply to any payment to a State derived from a lease for mineral resources issued by the Secretary of the Interior under the last paragraph under the heading ‘FOREST SERVICE.’ in the Act of March 4, 1917 (Chapter 179; 16 U.S.C. 520).”

(f) DEFINITIONS.—In this section, the terms “eligible State”, “eligible county”, “eligibility period”, “full payment amount”, “25-percent payment”, and “safety net payments” have the meanings given such terms in section 3 of such Act, and the term “such Act” means the Secure Rural Schools and Community Self-Determination Act of 2000 (Public Law 106–393; 16 U.S.C. 500 note).]

[SEC. 752. ALASKA PERMANENT FUND. Section 501(b) of the Housing Act of 1949 (42 U.S.C. 1471) is amended in paragraph (5)—

(1) by striking “(5)” and inserting “(5)(A)”; and

(2) by adding at the end the following:

“(B) For purposes of this title, for fiscal years 2002 and 2003, the term ‘income’ does not include dividends received from the Alaska Permanent Fund by a person who was under the age of 18 years when that person qualified for the dividend.”.]

[SEC. 753. Hereafter, any provision of any Act of Congress relating to colleges and universities eligible to receive funds under the Act of August 30, 1890, including Tuskegee University, shall apply to West Virginia State College at Institute, West Virginia: *Provided*, That the Secretary may waive the matching funds’ requirement under section 1449 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3222d) for fiscal year 2002 for West Virginia State College if the Secretary determines the State of West Virginia will be unlikely to satisfy the matching requirement.]

[SEC. 754. Notwithstanding any other provision of law, the Secretary, acting through the Natural Resources Conservation Service, shall provide financial and technical assistance relating to the Tanana River bordering the Big Delta State Historical Park.]

[SEC. 755. None of the funds appropriated or otherwise made available by this Act to the Food and Drug Administration shall be used to allow admission of fish or fish products labeled wholly or in part as “catfish” unless the products are taxonomically from the family Ictaluridae.]

[SEC. 756. The Secretary of Agriculture is authorized to accept any unused funds transferred to the Alaska Railroad Corporation for avalanche control and retransfer up to \$499,000 of such funds as a direct lump sum payment to the City of Valdez to construct an avalanche control wall to protect a public school.]

[SEC. 757. The Secretary of Agriculture may use not more than \$5,000,000 of funds of the Commodity Credit Corporation to pay claims of crop damage, upon consultation with the Secretary of the Interior, that resulted from the Bureau of Land Management’s use of herbicides during the 2001 calendar year in the State of Idaho: *Provided*, That if the amount provided in this section is not sufficient to pay all approved claims the Secretary of Agriculture shall reduce all approved claims on a pro rata basis related to the degree of loss in production: *Provided further*, That nothing in this section shall be construed to constitute an admission of liability by the United States arising from the use by the Bureau of Land Manage-

ment of the herbicide Oust: *Provided further*, That the issuance of regulations promulgated pursuant to this section shall be made without regard to: (1) the notice and comment provisions of section 553 of title 5, United States Code; (2) the Statement of Policy of the Secretary of Agriculture effective July 24, 1971 (36 Fed. Reg. 13804), relating to notices of proposed rulemaking and public participation in rulemaking; and (3) chapter 35 of title 44, United States Code (commonly known as the "Paperwork Reduction Act"): *Provided further*, That in carrying out this section, the Secretary shall use the authority provided under section 808 of title 5, United States Code.】

【SEC. 758. PILOT PROGRAM FOR ENROLLMENT OF WETLAND AND BUFFER ACREAGE IN CONSERVATION RESERVE. (a) IN GENERAL.—Section 1231(h)(4)(B) of the Food Security Act of 1985 (16 U.S.C. 3831(h)(4)(B)) is amended by inserting "(which may include emerging vegetation in water)" after "vegetative cover".

(b) CONFORMING AMENDMENT.—Section 1232(a)(4) of the Food Security Act of 1985 (16 U.S.C. 3832(a)(4)) is amended by inserting "(which may include emerging vegetation in water)" after "vegetative cover".】

【SEC. 759. SPECIALITY CROPS. (a) GRADING OF TOBACCO.—

(1) IN GENERAL.—Not later than March 31, 2002, the Secretary of Agriculture (referred to in this section as the "Secretary") shall conduct referenda among producers of each kind of tobacco that is eligible for price support under the Agricultural Act of 1949 (7 U.S.C. 1421 et seq.) to determine whether such producers favor the mandatory grading of that kind of tobacco by the Secretary.

(2) MANDATORY GRADING.—

(A) IN GENERAL.—If the Secretary determines that mandatory grading is favored by a majority of the producers of a kind of tobacco voting in the referendum, the Secretary is authorized and directed to ensure that the kind of tobacco is graded at the time of sale effective for the 2002 and subsequent marketing years.

(B) FEES.—To the maximum extent practicable, the Secretary shall establish, collect, and use fees for the grading of tobacco required under this subsection in the same manner as user fees for the grading of tobacco sold at auction authorized under the Tobacco Inspection Act (7 U.S.C. 511 et seq.).

(3) JUDICIAL REVIEW.—A determination by the Secretary under this subsection shall not be subject to judicial review.

(b) QUOTA REDUCTION FOR CONSERVATION RESERVE ACREAGE.—

(1) IN GENERAL.—Section 1236 of the Food Security Act of 1985 (16 U.S.C. 3836) is amended—

(A) by striking subsection (a);

(B) by redesignating subsections (b), (c), and (d) as subsections (a), (b), and (c), respectively;

(C) in subsection (b) (as so redesignated), by striking "subsection (b)" and inserting "subsection (a)"; and

(D) in subsection (c) (as so redesignated), by striking "subsection (c)" and inserting "subsection (b)".

(2) CONFORMING AMENDMENT.—Section 1232(a)(5) of the Food Security Act of 1985 (16 U.S.C. 3832(a)(5)) is amended by striking "section 1236(d)" and inserting "section 1236(c)".

(3) APPLICATION.—The amendments made by this subsection shall apply beginning with the 2002 crop.

(c) HORSE BREEDER LOANS.—

(1) DEFINITION OF HORSE BREEDER.—In this subsection, the term "horse breeder" means a person that, as of the date of enactment of this Act, derives more than 70 percent of the income of the person from the business of breeding, boarding, raising, training, or selling horses, during the shorter of—

(A) the 5-year period ending on January 1, 2001; or

(B) the period the person has been engaged in such business.

(2) LOAN AUTHORIZATION.—The Secretary shall make loans to eligible horse breeders to assist the horse breeders for losses suffered as a result of mare reproductive loss syndrome.

(3) ELIGIBILITY.—A horse breeder shall be eligible for a loan under this subsection if the Secretary determines that, as a result of mare reproductive loss syndrome—

(A) during the period beginning January 1 and ending October 1 of any of calendar years 2000, 2001, or 2002—

(i) 30 percent or more of the mares owned by the horse breeder failed to conceive, miscarried, aborted, or otherwise failed to produce a live healthy foal; or

(ii) 30 percent or more of the mares boarded on a farm owned, operated, or leased by the horse breeder failed to conceive, miscarried, aborted, or otherwise failed to produce a live healthy foal;

(B) the horse breeder is unable to meet the financial obligations, or pay the ordinary and necessary expenses, of the horse breeder incurred in connection with breeding, boarding, raising, training, or selling horses; and

(C) the horse breeder is not able to obtain sufficient credit elsewhere, in accordance with subtitle C of the Consolidated Farm and Rural Development Act (7 U.S.C. 1961 et seq.).

(4) AMOUNT.—

(A) IN GENERAL.—Subject to subparagraph (B), the amount of a loan made to a horse breeder under this subsection shall be determined by the Secretary on the basis of the amount of losses suffered by the horse breeder, and the financial needs of the horse breeder, as a result of mare reproductive loss syndrome.

(B) MAXIMUM AMOUNT.—The amount of a loan made to a horse breeder under this subsection shall not exceed the maximum amount of an emergency loan under section 324(a) of the Consolidated Farm and Rural Development Act (7 U.S.C. 1964(a)).

(5) TERM.—

(A) IN GENERAL.—Subject to subparagraph (B), the term for repayment of a loan made to a horse breeder under this subsection shall be determined by the Secretary based on the ability of the horse breeder to repay the loan.

(B) MAXIMUM TERM.—The term of a loan made to a horse breeder under this subsection shall not exceed 20 years.

(6) INTEREST RATE.—The interest rate for a loan made to a horse breeder under this subsection shall be the interest rate for emergency loans prescribed under section 324(b)(1) of the Consolidated Farm and Rural Development Act (7 U.S.C. 1964(b)(1)).

(7) SECURITY.—A loan to a horse breeder under this subsection shall be made on the security required for emergency loans under section 324(d) of the Consolidated Farm and Rural Development Act (7 U.S.C. 1964(d)).

(8) APPLICATION.—To be eligible to obtain a loan under this subsection, a horse breeder shall submit an application for the loan to the Secretary not later than September 30, 2002.

(9) FUNDING.—The Secretary shall carry out this subsection using funds made available to make emergency loans under subtitle C of the Consolidated Farm and Rural Development Act (7 U.S.C. 1961 et seq.).

(10) TERMINATION.—The authority provided by this subsection to make a loan terminates effective September 30, 2003.】

【SEC. 760. During fiscal year 2002, subsection (a)(2) of section 508 of the Federal Crop Insurance Act (7 U.S.C. 1508) shall be applied as though the term "and potatoes" read as follows: ", potatoes, and sweet potatoes".】

【SEC. 761. CITRUS CANKER ERADICATION. (a) IN GENERAL.—Section 810 of the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2001 (114 Stat. 1549A-52) is amended—

(1) in subsection (a) by striking "The" and inserting "Subject to subsection (e), the"; and

(2) in subsection (c), by striking "2001" and inserting "2002".

(b) EFFECTIVE DATE.—The amendments in subsection (a) shall take effect as if enacted on September 30, 2001.】

【SEC. 762. Section 306(a)(20) of the Consolidated Farm and Rural Development Act (7 U.S.C. 1926(a)(20)) is amended by adding at the end the following new subparagraph:

"(E) RURAL BROADBAND.—Notwithstanding subparagraph (C), the Secretary may make grants to state agencies for use by regulatory commissions in states with rural communities without local dial-up Internet access or broadband service to establish a competitively, technologically neutral grant program to telecommunications carriers or cable operators that establish common carrier facilities and services which, in the commission's determination, will result in the long-term availability to such communities of affordable broadband services which are used for the provision of high speed Internet access."】

【SEC. 763. In accordance with the Farmland Protection Program, a total of \$720,000 shall be made available to purchase conservation easements or other interests in land, not to exceed 235 acres, in Adair, Green, and Taylor Counties, Kentucky: *Provided*, That \$490,000 of this amount shall be from funds made available to the Conservation Reserve Enhancement Program for the State of Kentucky.】

【SEC. 764. Notwithstanding any other provision of law, the City of Caldwell, Idaho, shall be eligible for grants and loans administered

by the Rural Housing Service of the United States Department of Agriculture for a period not to exceed 1 year from the date of enactment of this Act.]

【SEC. 765. Section 8(c)(1) of the Agricultural Marketing Agreement Act of 1937 is amended by adding the following provision at the end of the penultimate sentence:

“The Secretary is authorized to implement a producer allotment program and a handler withholding program under the cranberry marketing order in the same crop year through informal rule-making based on a recommendation and supporting economic analysis submitted by the Cranberry Marketing Committee. Such recommendation and analysis shall be submitted by the Committee no later than March 1 of each year.”.]

【SEC. 766. Section 11(f) of the Richard B. Russell National School Lunch Act (42 U.S.C. 1759a(f)) is amended by—

(1) in paragraph (1)(E), by striking “2001” and inserting “2003”; and

(2) in paragraph (2)—

(A) by striking subparagraph (A) and inserting the following:

“(A) IN GENERAL.—The Secretary shall submit to the Committee on Education and the Workforce of the House of Representatives and the Committee on Agriculture, Nutrition, and Forestry of the Senate—

“(i) not later than January 1, 2003, an interim report on the activities of the State agencies receiving grants under this subsection; and

“(ii) not later than January 1, 2004, a final report on the activities of the State agencies receiving grants under this subsection.”; and

(B) in subparagraph (B), by striking “report” and inserting “reports”.]

【SEC. 767. Notwithstanding any other provision of law, the City of Mt. Vernon, Washington, shall be eligible for grants and loans administered by the Rural Housing Service of the United States Department of Agriculture for a period not to exceed 1 year from the date of enactment of this Act.]

【SEC. 768. Notwithstanding any other provision of law, the Natural Resources Conservation Service shall provide financial and technical assistance to DuPage County, Illinois, from funds available for the Watershed and Flood Prevention Operations program, not to exceed \$1,400,000.]

【SEC. 769. Notwithstanding any other provision of law, from funds previously appropriated for Watershed and Flood Prevention Operations of the Natural Resource and Conservation Service, the Secretary of Agriculture shall provide technical and financial assistance, but not to exceed \$1,000,000, in connection with a lake level stabilization project carried out as part of local efforts to restore and repair watersheds damaged by the 2001 tornado and storms in Burnett and Washburn Counties, Wisconsin: *Provided*, That the Secretary shall waive the cost share requirement of the local sponsors of such efforts in Burnett and Washburn Counties, Wisconsin.】

【SEC. 770. Notwithstanding any other provision of law, from the funds appropriated to the Rural Utilities Service by this Act, any current Rural Utilities Service borrower within 100 miles of New York City shall be eligible for additional financing, refinancing, collateral flexibility, and deferrals on an expedited basis without regard to population limitations for any financially feasible telecommunications, energy or water project that assists endeavors related to the rehabilitation, prevention, relocation, site preparation, or relief efforts resulting from the terrorist events of September 11, 2001.】

【SEC. 771. Section 17(r)(5) of the Richard B. Russell National School Lunch Act (42 U.S.C. 1766(r)(5)) is amended—

(1) by striking “six” and inserting “seven”;

(2) by striking “four” and inserting “five”; and

(3) by inserting “Illinois,” after the first instance of “States shall be”.]

【SEC. 772. (a) EXTENSION.—Section 141 of the Agricultural Market Transition Act (7 U.S.C. 7251) is amended—

(1) in subsection (b), by adding at the end the following new paragraph:

“(5) During the period beginning on January 1, 2002, and ending on May 31, 2002, \$9.90.”; and

(2) in subsection (h), by striking “December 31, 2001” both places it appears and inserting “May 31, 2002”.

(b) CONFORMING AMENDMENT.—Section 142 of the Agricultural Market Transition Act (7 U.S.C. 7252) is repealed.】

【SEC. 773. The Secretary shall transfer to the Southern Minnesota Beet Sugar Co-op, refined sugar, acquired by the Commodity Credit Corporation, in the amount of 10,000 tons to compensate sugar pro-

ducers in Minnesota for losses incurred beyond those that may be compensated under existing programs administered by the Secretary: *Provided*, That this amount of sugar shall be provided in installments starting on the day that is 30 days after the date of enactment of this Act and on the first day of each of the following 7 months after that day.】

【SEC. 774. (a) DEFINITIONS.—In this section:

(1) The term “eligible person” means a person that—

(A) owns a farm for which, irrespective of temporary transfers or undermarketings, a basic quota or allotment for eligible tobacco is established for the 2001 crop year under part I of subtitle B of title III of the Agricultural Adjustment Act of 1938 (7 U.S.C. 1311 et seq.);

(B) controls the farm from which, under the quota or allotment for the relevant period, eligible tobacco is marketed, could have been marketed, or can be marketed, taking into account temporary transfers; or

(C) grows, could have grown, or can grow eligible tobacco that is marketed, could have been marketed, or can be marketed under the quota or allotment for the 2001 crop year, taking into account temporary transfers.

(2) The term “eligible tobacco” means each of the following kinds of tobacco:

(A) Fire-cured tobacco, comprising types 22 and 23.

(B) Dark air-cured tobacco, comprising types 35 and 36.

(C) Virginia sun-cured tobacco, comprising type 37.

(b) PAYMENTS.—Not later than March 31, 2002, the Secretary of Agriculture (referred to in this section as the “Secretary”) shall use funds of the Commodity Credit Corporation to make payments under this section.

(c) POUNDAGE PAYMENT QUANTITIES.—For the purposes of this section, in the case of each kind of eligible tobacco, individual tobacco quotas and allotments shall be converted to poundage payment quantities by multiplying—

(1) the number of acres that may, irrespective of temporary transfers or undermarketings, be devoted, without penalty, to the production of the kind of tobacco under the allotment under part I of subtitle B of title III of the Agricultural Adjustment Act of 1938 (7 U.S.C. 1311 et seq.) for the 2001 crop year; by

(2)(A) in the case of fire-cured tobacco (types 22 and 23), 2,601 pounds per acre;

(B) in the case of dark air-cured tobacco (types 35 and 36), 2,337 pounds per acre; and

(C) in the case of Virginia sun-cured tobacco (type 37), 1,512 pounds per acre.

(d) AVAILABLE PAYMENT AMOUNTS.—In the case of each kind of eligible tobacco, the available payment amount for pounds of a payment quantity under subsection (c) shall be equal to 10 cents per pound.

(e) DIVISION OF PAYMENTS AMONG ELIGIBLE PERSONS.—Payments available with respect to a pound of payment quantity, as determined under subsection (d), shall be made available to eligible persons in accordance with this subsection. In the case of payments made available in a State under this section for each kind of eligible tobacco, the Secretary shall distribute (as determined by the Secretary)—

(1) 33⅓ percent of the payments to eligible persons that are owners described in subsection (a)(1)(A);

(2) 33⅓ percent of the payments to eligible persons that are controllers described in subsection (a)(1)(B); and

(3) 33⅓ percent of the payments to eligible persons that are growers described in subsection (a)(1)(C).

(f) STANDARDS.—In carrying out this section, the Secretary shall use, to the maximum extent practicable, the same standards for payments that were used for making payments under section 204(b) of the Agricultural Risk Protection Act of 2000 (7 U.S.C. 1421 note; Public Law 106–224).

(g) JUDICIAL REVIEW.—A determination by the Secretary under this section shall not be subject to judicial review.

(h) REGULATIONS.—As soon as practicable after the date of enactment of this Act, the Secretary and the Commodity Credit Corporation, as appropriate, shall promulgate such regulations as are necessary to implement this section. The promulgation of the regulations and administration of this section shall be made without regard to—

(1) the notice and comment provisions of section 553 of title 5, United States Code;

(2) the Statement of Policy of the Secretary of Agriculture effective July 24, 1971 (36 Fed. Reg. 13804), relating to notices of proposed rulemaking and public participation in rulemaking; and

(3) chapter 35 of title 44, United States Code (commonly known as the “Paperwork Reduction Act”).

(i) CONGRESSIONAL REVIEW OF AGENCY RULEMAKING.—In carrying out subsection (h), the Secretary shall use the authority provided under section 808 of title 5, United States Code.】

【SEC. 775. The Competitive, Special, and Facilities Research Grant Act (7 U.S.C. 450i) is amended:

(1) in subsection (b)(3) by adding at the end the following:

“(G) Grants may be awarded to improve research capabilities in States (as defined in the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended) in which institutions have been less successful in receiving funding under this subsection, based on a three-year rolling average of funding levels.”; and

(2) in subsections (b)(10)(C) by striking “and (F) of paragraph (3) for awarding grants in” and inserting “, (F), and (G) of paragraph (3) for”.]

【SEC. 776. None of the funds made available in this Act may be used to pay the salaries of personnel of the Department of Agriculture who carry out the programs authorized by section 524(a) of the Federal Crop Insurance Act (7 U.S.C. 1524) in excess of a total of \$4,000,000 for all such programs for fiscal year 2002.】

【SEC. 777. Section 501 of the Agricultural Trade Development and Assistance Act of 1954 (7 U.S.C. 1737) is amended—

(1) in the section heading, by inserting “john ogonowski” before “farmer-to-farmer program”; and

(2) by adding at the end the following new subsection:

“(d) DESIGNATION OF PROGRAM.—The program of farmer-to-farmer assistance authorized by this section shall be known and designated as the ‘John Ogonowski Farmer-to-Farmer Program’.”】

SEC. 720. Section 442 of Public Law 106-224 is amended by adding the following new subsections at the end:

“(c) PRECONDITIONS FOR A TRANSFER AVAILABILITY.—Funds may be transferred to combat emergencies only for infestations that were not funded in the previous fiscal year.

“(d) DEFINITIONS.—For purposes of this section, an “emergency” is an unanticipated event that requires a necessary expenditure that is sudden, urgent, and unforeseen.”.

SEC. 721. The Administrator of the Agricultural Research Service may make available by outlease agreements with other Federal agencies or non-Federal public or private entities any unused or underused portion or interest of or interest in any agency real and related personal property, and may retain and use the proceeds of such agreements in carrying out the programs of the agency. Property proposed for outlease must not be property otherwise required to be reported excess under the Federal Property and Administrative Services Act of 1949, as amended. Outleases shall be made competitively, and be based on the fair market value of the property.

SEC. 722. Section 508(k) of the Federal Crop Insurance Act (7 U.S.C. 1508 et seq.) is amended by adding the following new paragraph at the end:

“(8) Underwriting Gains.—The terms and conditions of the Corporation’s Standard Reinsurance Agreement for the 2003 and succeeding reinsurance years, shall be adjusted such that the expected ratio of overall underwriting gains to retained net book premium for all reinsured companies combined shall not exceed 12.5 percent. For purposes of section 148 of the Agriculture Risk Protection Act of 2000, application of this paragraph shall not constitute the renegotiation of the Standard Reinsurance Agreement.”. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.)

DEPARTMENT OF COMMERCE

DEPARTMENTAL MANAGEMENT

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For expenses necessary for the departmental management of the Department of Commerce provided for by law, including not to exceed \$5,000 for official entertainment, **[\$37,652,000] \$49,796,000.** (*Department of Commerce and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Salaries and Expenses", \$4,776,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 13-0120-0-1-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Executive direction	18	19	15
00.02 Departmental staff services	24	30	35
09.01 Reimbursable program	95	120	138
10.00 Total new obligations	136	169	188
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	10	4
22.00 New budget authority (gross)	131	165	188
22.21 Unobligated balance transferred to other accounts	-3
22.22 Unobligated balance transferred from other accounts	1
23.90 Total budgetary resources available for obligation	139	169	188
23.95 Total new obligations	-136	-169	-188
24.40 Unobligated balance carried forward, end of year	4
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	37	40	50
40.15 Appropriation (emergency)	5
42.00 Transferred from other accounts	3
43.00 Appropriation (total discretionary)	41	45	50
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	84	120	138
68.10 Change in uncollected customer payments from Federal sources (unexpired)	7
68.90 Spending authority from offsetting collections (total discretionary)	91	120	138
70.00 Total new budget authority (gross)	131	165	188
Change in obligated balances:			
72.40 Obligated balance, start of year	8	29	5
73.10 Total new obligations	136	169	188
73.20 Total outlays (gross)	-106	-193	-187
73.40 Adjustments in expired accounts (net)	-2
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-7
74.40 Obligated balance, end of year	29	5	5
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	101	160	182
86.93 Outlays from discretionary balances	5	33	5
87.00 Total outlays (gross)	106	193	187
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-84	-120	-138

Against gross budget authority only:			
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-7	

Net budget authority and outlays:			
89.00	Budget authority	40	45
90.00	Outlays	22	73

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00	39	43	48
90.00	21	71	47

Executive direction.—Provides for the formulation of Department of Commerce policy on National and Governmental issues affecting programs and functions assigned to the Department.

Departmental staff services.—Provides for the formulation of internal Departmental policy establishing the framework for Departmental operations.

Performance measures.—Departmental Management performs Departmental planning, establishes Departmental policies, and provides administrative guidance and performance oversight to accomplish the Department's mission.

Several indicators are used to measure performance in human resources management, financial management, facility management and acquisition management as represented by the following:

	2001 actual	2002 est.	2003 est.
Implement Commerce Administrative Management System (CAMS)	System implemented in 9 bureaus	Implement systems in 11 out of 14 bureaus	Implement systems in 14 out of 14 bureaus
Implement competitive outsourcing	Inventory submitted on 6/29/01	Complete conversion competitions on 5% of FTEs	Complete conversion competitions an additional 10% of FTEs
Increase information technology (IT) security program maturity (on a score of 0-5)*	100% at 2 or above	100% at 2 or above	50% at 3 or above

*Maturity models are industry-accepted standards to assess progress toward achieving IT goals.

Reimbursable program.—Provides a centralized collection source for special tasks or costs and their billing to users. The reimbursable program includes Commerce Information Technology Solutions (COMMITTS), an information technology Government-wide Acquisition Contract set-aside exclusively for small, small disadvantaged, 8(a) and women-owned small businesses.

Object Classification (in millions of dollars)

Identification code 13-0120-0-1-376	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1	15	18	19
12.1	4	6	6

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Object Classification (in millions of dollars)—Continued

Identification code 13-0120-0-1-376	2001 actual	2002 est.	2003 est.
21.0 Travel and transportation of persons	1		
23.1 Rental payments to GSA	4	4	4
23.3 Communications, utilities, and miscellaneous charges		1	1
25.2 Other services	10	14	12
25.3 Other purchases of goods and services from Government accounts	7	5	5
31.0 Equipment	1	1	3
99.0 Direct obligations	42	49	50
99.0 Reimbursable obligations	94	120	138
99.9 Total new obligations	136	169	188

Personnel Summary

Identification code 13-0120-0-1-376	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	171	220	223
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	59	98	98

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended (5 U.S.C. App. 1-11, as amended by Public Law 100-504), **[\$20,176,000] \$24,021,000.** (Department of Commerce and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 13-0126-0-1-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	21	21	24
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	21	21	24
23.95 Total new obligations	-21	-21	-24
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	21	21	24
Change in obligated balances:			
72.40 Obligated balance, start of year	3	3	3
73.10 Total new obligations	21	21	24
73.20 Total outlays (gross)	-21	-22	-23
74.40 Obligated balance, end of year	3	3	3
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	19	19	21
86.93 Outlays from discretionary balances	3	2	2
87.00 Total outlays (gross)	21	22	23
Net budget authority and outlays:			
89.00 Budget authority	21	21	24
90.00 Outlays	21	22	23

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	20	20	23
90.00 Outlays	20	21	22

This appropriation provides for agency-wide audits, inspections, and investigative functions to identify and recommend corrections for management and administrative deficiencies that create conditions for existing or potential instances of fraud, waste, and mismanagement. The audit function provides for internal audits and contract audits. Contract audits provide professional advice to agency contracting officials on accounting and financial matters related to negotiation, award, administration, repricing, and settlement of contracts. Internal audits review and evaluate all facets of agency operations. Inspections services provide detailed technical evaluations of agency operations. The investigative function provides for the detection and investigation of improper and illegal activities involving programs, personnel, and operations.

The OIG concentrates on programs and operations that have the greatest potential for identifying fraud, recovering funds, precluding unnecessary outlays, and improving management. The OIG identifies the audit, inspection, and investigative universe and determines how it will focus its work on areas that significantly affect the Department's ability to prevent and detect fraud, waste, abuse, and mismanagement; and improve efficiency, effectiveness, and economy.

The OIG's Semiannual Report to the Congress provides the following Statistical Highlights:

- Value of questioned costs identified in audit reports.
- Value of audit recommendations that funds be put to better use.
- Value of audit recommendations agreed to by management.
- Arrests, indictments, convictions, personnel actions, administrative actions, and fines, restitutions, judgments, and civil and administrative recoveries.

Object Classification (in millions of dollars)

Identification code 13-0126-0-1-376	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	12	12	14
12.1 Civilian personnel benefits	4	4	4
23.1 Rental payments to GSA	2	2	2
25.2 Other services	2	2	3
25.3 Other purchases of goods and services from Government accounts			
99.9 Total new obligations	21	21	24

Personnel Summary

Identification code 13-0126-0-1-376	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	139	170	170

Intragovernmental funds:

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 13-4511-0-4-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Departmental staff services	84	96	95
09.02 General Counsel	25	27	29
09.03 Public affairs	2	2	2
09.99 Total reimbursable program	111	125	126
10.00 Total new obligations	111	125	126
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	4	
22.00 New budget authority (gross)	112	121	126
23.90 Total budgetary resources available for obligation	115	125	126
23.95 Total new obligations	-111	-125	-126

24.40	Unobligated balance carried forward, end of year	4		
New budget authority (gross), detail:				
Mandatory:				
69.00	Offsetting collections (cash)	125	121	126
69.10	Change in uncollected customer payments from Federal sources (unexpired)	-13		
69.90	Spending authority from offsetting collections (total mandatory)	112	121	126
Change in obligated balances:				
72.40	Obligated balance, start of year	8	19	
73.10	Total new obligations	111	125	126
73.20	Total outlays (gross)	-115	-143	-126
74.00	Change in uncollected customer payments from Federal sources (unexpired)	13		
74.40	Obligated balance, end of year	19		
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	107	121	126
86.98	Outlays from mandatory balances	8	22	
87.00	Total outlays (gross)	115	143	126
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-125	-121	-126
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	13		
Net budget authority and outlays:				
89.00	Budget authority			
90.00	Outlays	-11	22	

This fund finances, on a reimbursable basis, Department-wide administrative functions that are more efficiently and economically performed on a centralized basis.

Object Classification (in millions of dollars)

Identification code 13-4511-0-4-376	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	43	47	48
12.1 Civilian personnel benefits	14	14	15
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	7	6	7
23.3 Communications, utilities, and miscellaneous charges	4	4	4
25.2 Other services	23	37	35
25.3 Other purchases of goods and services from Government accounts	12	10	10
26.0 Supplies and materials	4	3	3
31.0 Equipment	3	3	3
99.9 Total new obligations	111	125	126

Personnel Summary

Identification code 13-4511-0-4-376	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	632	693	694

FRANCHISE FUND

Program and Financing (in millions of dollars)

Identification code 13-4564-0-4-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00	Total new obligations	14	17
Budgetary resources available for obligation:			
22.00	New budget authority (gross)	14	17
23.95	Total new obligations	-14	-17
New budget authority (gross), detail:			
Mandatory:			
69.00	Offsetting collections (cash)	15	17
69.10	Change in uncollected customer payments from Federal sources (unexpired)	-1	

69.90	Spending authority from offsetting collections (total mandatory)	14	17	17
Change in obligated balances:				
72.40	Obligated balance, start of year	1	1	
73.10	Total new obligations	14	17	17
73.20	Total outlays (gross)	-15	-18	-17
74.00	Change in uncollected customer payments from Federal sources (unexpired)	1		
74.40	Obligated balance, end of year	1		
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	14	17	17
86.98	Outlays from mandatory balances	1	1	
87.00	Total outlays (gross)	15	18	17
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-15	-17	-17
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	1		
Net budget authority and outlays:				
89.00	Budget authority			
90.00	Outlays		1	

This fund finances computer services and other administrative support services on a fully competitive and cost reimbursable basis to Federal customers.

Object Classification (in millions of dollars)

Identification code 13-4564-0-4-376	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	2	2	2
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	11	14	14
99.9 Total new obligations	14	17	17

Personnel Summary

Identification code 13-4564-0-4-376	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	24	31	31

Credit accounts:

EMERGENCY OIL AND GAS GUARANTEED LOAN PROGRAM ACCOUNT (RESCISSION)

Of the unobligated balances available under this heading from prior year appropriations, **[\$5,200,000]** \$920,000 are rescinded. (*Department of Commerce and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 13-0121-0-1-376	2001 actual	2002 est.	2003 est.
Guarantee loan subsidy:			
Obligations by program activity:			
00.02	Guarantee loan subsidy	1	1
10.00	Total new obligations (object class 41.0)	1	1
Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year	124	7
22.00	New budget authority (gross)	-115	-5
23.90	Total budgetary resources available for obligation	9	2
23.95	Total new obligations	-1	-1
24.40	Unobligated balance carried forward, end of year	7	1
New budget authority (gross), detail:			
Discretionary:			
40.36	Unobligated balance rescinded	-115	-5
Change in obligated balances:			
72.40	Obligated balance, start of year		1

Credit accounts—Continued

EMERGENCY OIL AND GAS GUARANTEED LOAN PROGRAM ACCOUNT—Continued

(RESCISSION)—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 13-0121-0-1-376	2001 actual	2002 est.	2003 est.
73.10 Total new obligations	1	1	
73.20 Total outlays (gross)		-3	
74.40 Obligated balance, end of year	1		
Outlays (gross), detail:			
86.93 Outlays from discretionary balances		3	
Net budget authority and outlays:			
89.00 Budget authority	-115	-5	-1
90.00 Outlays		3	

As required by the Federal Credit Reform Act of 1990, this account records the administrative expenses for this program, as well as the subsidy costs associated with the loan guarantees committed in 1992 and thereafter, if any. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

Consistent with the Administration's efforts to reduce corporate subsidies, Congress rescinded \$115 million in 2001 and \$5.2 million in 2002 as the economic outlook for the oil and gas industry dramatically improved since the program's inception. In light of the greatly reduced demand for oil and gas guarantees, another rescission of unobligated balances is proposed for 2003 in this account.

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 13-0121-0-1-376	2001 actual	2002 est.	2003 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Emergency Oil & Gas Loan Guarantee Program	3		
215901 Total loan guarantee levels	3		
Guaranteed loan subsidy (in percent):			
232001 Emergency Oil & Gas Loan Guarantee Program	32.91	42.03	
232901 Weighted average subsidy rate	32.91	42.03	
Guaranteed loan subsidy budget authority:			
233001 Emergency Oil & Gas Loan Guarantee Program	1		
233901 Total subsidy budget authority	1		
Guaranteed loan subsidy outlays:			
234001 Emergency Oil & Gas Loan Guarantee Program		1	
234901 Total subsidy outlays		1	
Administrative expense data:			
351001 Budget authority			
358001 Outlays from balances			
359001 Outlays from new authority			

EMERGENCY OIL AND GAS GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 13-4327-0-3-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Default claims		2	
10.00 Total new obligations		2	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		1	
22.00 New financing authority (gross)	1	1	
23.90 Total budgetary resources available for obligation	1	2	
23.95 Total new obligations		-2	

24.40 Unobligated balance carried forward, end of year	1		
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New financing authority (gross), detail:

Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)		1	
68.10 Change in uncollected customer payments from Federal sources (unexpired)	1		
68.90 Spending authority from offsetting collections (total discretionary)	1	1	

Change in obligated balances:

72.40 Obligated balance, start of year		-1	
73.10 Total new obligations		2	
73.20 Total financing disbursements (gross)		-2	
74.00 Change in uncollected customer payments from Federal sources (unexpired)		-1	
74.40 Obligated balance, end of year		-1	
87.00 Total financing disbursements (gross)		2	

Offsets:

Against gross financing authority and financing disbursements:			
88.00 Offsetting collections (cash) from: Federal sources		-1	
Against gross financing authority only:			
88.95 Change in receivables from program accounts	-1		

Net financing authority and financing disbursements:

89.00 Financing authority			
90.00 Financing disbursements		1	

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from guaranteed loans obligated in 1992 and thereafter (including modifications of guaranteed loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Status of Guaranteed Loans (in millions of dollars)

Identification code 13-4327-0-3-376	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders			
2121 Limitation available from carry-forward	500	497	495
2143 Uncommitted limitation carried forward	-497	-495	-495
2150 Total guaranteed loan commitments	3	2	
2199 Guaranteed amount of guaranteed loan commitments	3	2	
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year		3	3
2231 Disbursements of new guaranteed loans	3	2	
2251 Repayments and prepayments			
2262 Adjustments: Terminations for default that result in acquisition of property		-2	
2290 Outstanding, end of year	3	3	3
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	3	3	3

Balance Sheet (in millions of dollars)

Identification code 13-4327-0-3-376	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury			2	2
1999 Total assets			2	2
LIABILITIES:				
2204 Non-Federal liabilities: Liabilities for loan guarantees			2	2
2999 Total liabilities			2	2
4999 Total liabilities and net position			2	2

EMERGENCY STEEL GUARANTEED LOAN PROGRAM ACCOUNT
(RESCISSION)

Of the unobligated balances available under this heading from prior year appropriations, \$96,000,000 are rescinded.

Program and Financing (in millions of dollars)

Identification code 13-0122-0-1-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Guarantee loan subsidy	13	31
00.09 Administrative expenses	2
10.00 Total new obligations	13	33
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	142	129	96
22.00 New budget authority (gross)	-96
23.90 Total budgetary resources available for obligation	142	129
23.95 Total new obligations	-13	-33
24.40 Unobligated balance carried forward, end of year	129	96
New budget authority (gross), detail:			
Discretionary:			
40.36 Unobligated balance rescinded	-96
Change in obligated balances:			
72.40 Obligated balance, start of year	1	14
73.10 Total new obligations	13	33
73.20 Total outlays (gross)	-1	-47
74.40 Obligated balance, end of year	14
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	1	47
Net budget authority and outlays:			
89.00 Budget authority	-96
90.00 Outlays	1	47

As required by the Federal Credit Reform Act of 1990, this account records the administrative expenses for this program, as well as the subsidy costs associated with the loan guarantees committed in 1992 and thereafter, if any. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

In light of lower than anticipated demand for steel loan guarantees, a rescission of unobligated balances is proposed for 2003 in this account.

The proposed rescission would leave adequate funds (\$31 million) to provide the \$200 million of 90 and 95 percent steel loan guarantees recently allowed in the 2002 Interior appropriations bill. Even with an 85 percent guarantee, this program has been unattractive to lenders because of the substantial risks involved.

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 13-0122-0-1-376	2001 actual	2002 est.	2003 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Emergency Steel Loan Guarantee Program	110	221
215901 Total loan guarantee levels	110	221
Guaranteed loan subsidy (in percent):			
232001 Emergency Steel Loan Guarantee Program	11.68	14.00
232901 Weighted average subsidy rate	11.68	14.00
Guaranteed loan subsidy budget authority:			
233001 Emergency Steel Loan Guarantee Program	13	31
233901 Total subsidy budget authority	13	31
Guaranteed loan subsidy outlays:			
234001 Emergency Steel Loan Guarantee Program	44
234901 Total subsidy outlays	44
Administrative expense data:			
351001 Budget authority	2

358001 Outlays from balances	1	2
359001 Outlays from new authority

Object Classification (in millions of dollars)

Identification code 13-0122-0-1-376	2001 actual	2002 est.	2003 est.
25.3 Other purchases of goods and services from Government accounts	2
41.0 Grants, subsidies, and contributions	13	31
99.9 Total new obligations	13	33

EMERGENCY STEEL GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 13-4328-0-3-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Default claims	45
10.00 Total new obligations	45
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	13	2
22.00 New financing authority (gross)	14	34
23.90 Total budgetary resources available for obligation	14	47	2
23.95 Total new obligations	-45
24.40 Unobligated balance carried forward, end of year	13	2	2
New financing authority (gross), detail:			
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	1	47
68.10 Change in uncollected customer payments from Federal sources (unexpired)	13	-13
68.90 Spending authority from offsetting collections (total discretionary)	14	34
Change in obligated balances:			
72.40 Obligated balance, start of year	-13
73.10 Total new obligations	45
73.20 Total financing disbursements (gross)	-45
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-13	13
74.40 Obligated balance, end of year	-13
87.00 Total financing disbursements (gross)	45
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Federal sources	-44
88.25 Interest on uninvested funds	-2
88.40 Non-Federal sources	-1	-1
88.90 Total, offsetting collections (cash)	-1	-47
Against gross financing authority only:			
88.95 Change in receivables from program accounts	-13	13
Net financing authority and financing disbursements:			
89.00 Financing authority
90.00 Financing disbursements	-1	-2

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from guaranteed loans obligated in 1992 and thereafter (including modifications of guaranteed loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Credit accounts—Continued

**EMERGENCY STEEL GUARANTEED LOAN FINANCING ACCOUNT—
Continued**

Status of Guaranteed Loans (in millions of dollars)

Identification code 13-4328-0-3-376	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders			
2121 Limitation available from carry-forward	1,000	890	654
2143 Uncommitted limitation carried forward	-890	-654	-654
2150 Total guaranteed loan commitments	110	236	
2199 Guaranteed amount of guaranteed loan commitments	94	201	
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year		109	240
2231 Disbursements of new guaranteed loans	110	236	
2251 Repayments and prepayments	-1	-60	-62
2262 Adjustments: Terminations for default that result in acquisition of property		-45	
2290 Outstanding, end of year	109	240	178
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	93	204	151

Balance Sheet (in millions of dollars)

Identification code 13-4328-0-3-376	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury		13	5	5
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:				
1502 Interest receivable		1		
1599 Net present value of assets related to defaulted guaranteed loans		1		
1999 Total assets		14	5	5
LIABILITIES:				
2204 Non-Federal liabilities: Liabilities for loan guarantees		14	5	5
2999 Total liabilities		14	5	5
4999 Total liabilities and net position		14	5	5

Trust Funds

GIFTS AND BEQUESTS

Unavailable Collections (in millions of dollars)

Identification code 13-8501-0-7-376	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.00 Gifts and bequests	1	1	1
Appropriations:			
05.00 Gifts and bequests	-1	-1	-1
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 13-8501-0-7-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)	1	1	1
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	
22.00 New budget authority (gross)	1	1	1
23.90 Total budgetary resources available for obligation	2	2	1
23.95 Total new obligations	-1	-1	-1

24.40 Unobligated balance carried forward, end of year	1		
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)	1	1	1
Change in obligated balances:			
73.10 Total new obligations	1	1	1
73.20 Total outlays (gross)	-1	-1	-1
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	1	1	1
86.98 Outlays from mandatory balances		1	
87.00 Total outlays (gross)	1	1	1
Net budget authority and outlays:			
89.00 Budget authority	1	1	1
90.00 Outlays	1	1	1

The Secretary of Commerce is authorized to accept, hold, administer, and utilize gifts and bequests of property, both real and personal, for the purpose of aiding or facilitating the work of the Department of Commerce. Property and the proceeds thereof are used as nearly as possible in accordance with the terms of the gift or bequest.

ECONOMIC DEVELOPMENT ADMINISTRATION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of administering the economic development assistance programs as provided for by law, **[\$30,557,000] \$32,660,000: Provided,** That these funds may be used to monitor projects approved pursuant to title I of the Public Works Employment Act of 1976, as amended, title II of the Trade Act of 1974, as amended, and the Community Emergency Drought Relief Act of 1977. (19 U.S.C. 2346(b); 42 U.S.C. [3218(c), 3219] 3214(c), 3231, 5184, and [6701] 6710; Department of Commerce and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 13-0125-0-1-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	31	33	33
09.01 Reimbursable program	2	2	1
10.00 Total new obligations	33	35	34
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		2	
22.00 New budget authority (gross)	35	35	34
23.90 Total budgetary resources available for obligation	35	37	34
23.95 Total new obligations	-33	-35	-34
24.40 Unobligated balance carried forward, end of year	2		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	30	33	33
42.00 Transferred from other accounts	3		
43.00 Appropriation (total discretionary)	33	33	33
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	2	2	1
70.00 Total new budget authority (gross)	35	35	34
Change in obligated balances:			
72.40 Obligated balance, start of year	3		
73.10 Total new obligations	33	35	34
73.20 Total outlays (gross)	-33	-34	-35
73.40 Adjustments in expired accounts (net)	-2		
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	29	32	31

86.93	Outlays from discretionary balances	3	2	3
87.00	Total outlays (gross)	33	34	35

Offsets:
Against gross budget authority and outlays:

88.00	Offsetting collections (cash) from: Federal sources	-2	-2	-1
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Net budget authority and outlays:

89.00	Budget authority	33	33	33
90.00	Outlays	32	32	34

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
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Net budget authority and outlays:

89.00	Budget authority	31	31	31
90.00	Outlays	30	30	32

The administration of EDA's economic development assistance programs is carried out through a network of headquarters and regional personnel.

Direct program.—These activities include preapplication development, application processing, and project monitoring as well as general support functions such as economic development research, information dissemination, legal, civil rights, environmental compliance, budgeting and debt management.

Reimbursable program.—EDA provides grant review and processing services to other Federal agencies on a reimbursable basis. Funds received cover the cost of performing this work.

Object Classification (in millions of dollars)

	2001 actual	2002 est.	2003 est.
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Direct obligations:

11.1	Personnel compensation: Full-time permanent	17	19	19
12.1	Civilian personnel benefits	6	6	6
21.0	Travel and transportation of persons	1	1	1
23.1	Rental payments to GSA	3	3	3
25.2	Other services	2	2	2
25.3	Other purchases of goods and services from Government accounts	1	1	1
25.7	Operation and maintenance of equipment	1	1	1
99.0	Direct obligations	31	33	33
99.0	Reimbursable obligations	2	1	1
99.5	Below reporting threshold		1	
99.9	Total new obligations	33	35	34

Personnel Summary

	2001 actual	2002 est.	2003 est.
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Direct:

1001	Total compensable workyears: Full-time equivalent employment	237	270	270
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Reimbursable:

2001	Total compensable workyears: Full-time equivalent employment	17	7	7
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ECONOMIC DEVELOPMENT ASSISTANCE PROGRAMS

For grants for economic development assistance as provided by the Public Works and Economic Development Act of 1965, as amended, and for trade adjustment assistance, **[\$335,000,000]** \$317,235,000, to remain available until expended. (19 U.S.C. 2343, 2355; 42 U.S.C. 3121, 3141, 3143, 3145, 3147, 3149, 3171, 3173, and 3231-3233; Department of Commerce and Related Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 13-2050-0-1-452	2001 actual	2002 est.	2003 est.
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Obligations by program activity:
Direct program:

00.01	Planning grants	24	24	22
00.02	Technical assistance grants	9	9	8
00.03	Public works grants	285	251	232
00.04	Economic adjustment grants	50	41	41
00.05	Research and evaluation	1	1	1
00.06	Defense economic conversion	31		
00.07	Trade adjustment assistance	10	11	13
00.08	Hurricanes Andrew, Fran and Hortense		1	
00.09	Tri-State floods (Grant) and upper midwest floods		1	
00.10	Alaska	6	2	
00.11	Norton Sound fisheries	8	2	
00.12	Hurricane Floyd	51	5	
00.13	Emergency response fund		2	
09.01	Reimbursable program	22	18	18
10.00	Total new obligations	497	368	335

Budgetary resources available for obligation:

21.40	Unobligated balance carried forward, start of year	64	12	
22.00	New budget authority (gross)	443	353	335
22.10	Resources available from recoveries of prior year obligations	2		
22.22	Unobligated balance transferred from other accounts		2	
23.90	Total budgetary resources available for obligation	509	367	335
23.95	Total new obligations	-497	-368	-335
24.40	Unobligated balance carried forward, end of year	12		

New budget authority (gross), detail:
Discretionary:

40.00	Appropriation	412	335	317
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
42.00	Transferred from other accounts	10		
43.00	Appropriation (total discretionary)	421	335	317
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	22	18	18
70.00	Total new budget authority (gross)	443	353	335

Change in obligated balances:

72.40	Obligated balance, start of year	986	1,127	1,015
73.10	Total new obligations	497	368	335
73.20	Total outlays (gross)	-356	-479	-450
73.45	Recoveries of prior year obligations	-2		
74.40	Obligated balance, end of year	1,127	1,015	900

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	139	35	34
86.93	Outlays from discretionary balances	217	444	417
87.00	Total outlays (gross)	356	479	450

Offsets:
Against gross budget authority and outlays:

88.00	Offsetting collections (cash) from: Federal sources	-22	-18	-18
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Net budget authority and outlays:

89.00	Budget authority	421	335	317
90.00	Outlays	334	461	432

The Economic Development Administration (EDA) provides grants for public works facilities, other financial assistance, and planning and coordination assistance needed to alleviate conditions of substantial and persistent unemployment and underemployment in economically distressed areas and regions. EDA assistance stimulates job creation, increases income in distressed communities, and promotes greater national productivity and balanced economic growth.

In 2003, EDA will help states, regions, and communities across the nation create wealth and minimize poverty by promoting a favorable business environment to attract private capital investments and high-skill/high-wage jobs through capacity building, planning, infrastructure investments, research grants and strategic initiatives. EDA has established new investment guidelines that focus on results rather than

General and special funds—Continued

ECONOMIC DEVELOPMENT ASSISTANCE PROGRAMS—Continued

process. EDA's programs will continue to serve as the catalyst for assisting distressed communities in achieving their long-term competitive economic potential through the strategic investment of resources based upon locally and regionally developed priorities.

EDA responds to community priorities and strives to meet its objectives through the use of a broad range of program tools:

Planning grants.—Support the design and implementation of effective economic development policies and programs by local organizations.

Technical assistance grants.—Provide for local feasibility and industry studies, funding for a network of university centers that assist public bodies, nonprofit organizations, and businesses to plan and implement activities designed to generate jobs and income in distressed areas.

Public works grants.—Provide for infrastructure projects that foster the establishment or expansion of industrial and commercial businesses generating employment in communities experiencing high unemployment, low per-capita income, or out-migration.

Economic adjustment grants.—Provide a package of assistance tools, including planning, technical assistance, revolving loan funds and infrastructure development, to help communities counteract either a gradual erosion or a sudden dislocation of their local economic structure as a result of natural disasters, international trade competition, or major plant closings. Provide grants to support Brownfields redevelopment.

Research evaluation grants.—Support studies about the causes of economic distress and approaches to alleviating and preventing such problems, national demonstrations of innovative economic development techniques, and dissemination of economic development information.

Trade adjustment assistance.—Provide technical assistance, through a national network of 12 Trade Adjustment Assistance Centers, to certified U.S. manufacturing firms and industries economically injured as the result of international trade competition.

Performance measures.—All EDA program activities under this account support the Department of Commerce strategic goals to expand economic growth, trade, and prosperity; to stimulate innovation for American competitiveness; and to advance sustainable economic development. In 2003, EDA is implementing outcome-focused measures and eliminating process-focused measures while retaining its chief performance measures: job creation/retention and private sector investment. For investments made in 2001, 2002, and 2003, long-term outcome results will be reported by grantees over a period of nine years following award and project completion. For example, 2003 grants investments for construction and revolving loan fund projects are expected to create or retain 52,700 jobs by 2012. Below are EDA's strategic goals and selected performance measures that demonstrate EDA's support of Commerce strategic goals:

EDA Goal 1: Promote private enterprise and job creation in economically distressed communities.

Performance measure	Projected outcomes		
	FY 2006	FY 2009	FY 2012
Jobs created or retained in distressed communities as a result of EDA investments	10,500	26,300	52,700
	FY 2001	FY 2002	FY 2003
Percent of investments to areas of highest distress ...	43*	40	40

EDA Goal 2: Build community capacity to achieve and sustain economic growth

Performance measure	Projected outcomes		
	FY 2001	FY 2002	FY 2003
Percent of local technical assistance and economic adjustment strategy investments awarded in areas of highest distress	32*	30	30

*Note: For 2001, actual results have been tabulated.

A more detailed presentation of goals, performance measures and targets is found in the Commerce Annual Performance Plan.

Object Classification (in millions of dollars)

Identification code 13-2050-0-1-452	2001 actual	2002 est.	2003 est.
41.0 Direct obligations: Grants, subsidies, and contributions	475	350	317
99.0 Reimbursable obligations: Reimbursable obligations ...	22	18	18
99.9 Total new obligations	497	368	335

Public enterprise funds:

ECONOMIC DEVELOPMENT REVOLVING FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 13-4406-0-3-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Interest expense	2	2	2
00.02 Defaults and care and protection of collateral	1	2	2
10.00 Total new obligations	3	4	4
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	5		
22.00 New budget authority (gross)	5	5	5
22.40 Capital transfer to general fund	-7		
23.90 Total budgetary resources available for obligation	3	5	5
23.95 Total new obligations	-3	-4	-4
New budget authority (gross), detail:			
Discretionary:			
41.00 Transferred to other accounts	-3		
Mandatory:			
69.00 Offsetting collections (cash)	8	5	5
70.00 Total new budget authority (gross)	5	5	5
Change in obligated balances:			
72.40 Obligated balance, start of year	2	3	4
73.10 Total new obligations	3	4	4
73.20 Total outlays (gross)	-2	-5	-5
74.40 Obligated balance, end of year	3	4	4
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	2	5	5
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-8	-5	-5
Net budget authority and outlays:			
89.00 Budget authority	-3		
90.00 Outlays	-6		

Status of Direct Loans (in millions of dollars)

Identification code 13-4406-0-3-452	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	37	33	29
1251 Repayments: Repayments and prepayments	-3	-3	-3
1263 Write-offs for default: Direct loans	-1	-1	-1
1290 Outstanding, end of year	33	29	25

Status of Guaranteed Loans (in millions of dollars)

Identification code 13-4406-0-3-452	2001 actual	2002 est.	2003 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	1		
2264 Adjustments: Other adjustments, net	-1		
2290 Outstanding, end of year			
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year			

As required by the Federal Credit Reform Act of 1990, this account records, for these programs, all cash flows to and from the Government resulting from direct loans obligated and loan guarantees committed prior to 1992. This includes interest on loans outstanding; principal repayments from loans made under the Area Redevelopment Act, the Public Works and Economic Development Act of 1965 as amended, and the Trade Act of 1974; and proceeds from the sale of collateral are deposited in this fund.

No new loan or guarantee activity is proposed for 2003.

Statement of Operations (in millions of dollars)

Identification code 13-4406-0-3-452	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	3	2	2	2
0102 Expense	-2	-2	-2	-2
0105 Net income or loss (-)	1			

Balance Sheet (in millions of dollars)

Identification code 13-4406-0-3-452	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	5	5	5	5
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross	38	33	29	25
1603 Allowance for estimated uncollectible loans and interest (-)	-1	-1	-1	-1
1604 Direct loans and interest receivable, net	37	32	28	24
1699 Value of assets related to direct loans	37	32	28	24
1999 Total assets	42	37	33	29
LIABILITIES:				
2102 Federal liabilities: Interest payable	2	2	2	2
2999 Total liabilities	2	2	2	2
NET POSITION:				
3100 Appropriated capital	40	35	31	27
3999 Total net position	40	35	31	27
4999 Total liabilities and net position	42	37	33	29

Object Classification (in millions of dollars)

Identification code 13-4406-0-3-452	2001 actual	2002 est.	2003 est.
25.2 Other services	1	2	2
43.0 Interest and dividends	2	2	2
99.9 Total new obligations	3	4	4

BUREAU OF THE CENSUS

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For expenses necessary for collecting, compiling, analyzing, preparing, and publishing statistics, provided for by law, **[\$169,424,000]** \$215,216,000. (13 U.S.C. 4, 6, 8(b), 12, 61-63, 181, 182, 301-307, 401; 15 U.S.C. 1516, 4901 et seq.; 19 U.S.C. 1484(e), 2354, 2393; 44 U.S.C. 1343; Department of Commerce and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 13-0401-0-1-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Current economic statistics	108	118	149
00.02 Current demographic statistics	72	76	81
00.03 Survey development and data services	5	5	5
10.00 Total new obligations	185	199	235
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	186	199	235
23.95 Total new obligations	-185	-199	-235
23.98 Unobligated balance expiring or withdrawn	-1		

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	166	179	215
Mandatory:			
60.00 Appropriation	20	20	20
70.00 Total new budget authority (gross)	186	199	235

Change in obligated balances:

72.40 Obligated balance, start of year	26	20	54
73.10 Total new obligations	185	199	235
73.20 Total outlays (gross)	-192	-165	-215
74.40 Obligated balance, end of year	20	54	74

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	125	135	162
86.93 Outlays from discretionary balances	52	15	35
86.97 Outlays from new mandatory authority	15	15	18
87.00 Total outlays (gross)	192	165	215

Net budget authority and outlays:

89.00 Budget authority	186	199	235
90.00 Outlays	192	165	215

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	177	189	225
90.00 Outlays	183	155	205

The activities of this appropriation provide for the collection, compilation, and publication of a broad range of current economic, demographic, and social statistics.

Current economic statistics.—The business statistics program provides current information on sales and related measures of retail and wholesale trade and selected service industries. This program will establish a new principal economic indicator of quarterly service industry activity. It also provides annual coverage of the wholesale trade sector and provides and expands information on service industry products. This program also funds new E-business measures.

Construction statistics reports are provided on significant construction activity such as housing permits and starts, value of new construction, residential alterations and repairs, and quarterly price indexes for new single-family houses.

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Manufacturing statistics survey key industrial commodities and manufacturing activities, providing current statistics on the quantity and value of industrial output.

General economic statistics provide a Standard Statistical Establishment List (SSEL) of all U.S. business firms and their establishments, uniform classification data based on the North American Industry Classification System (NAICS), annual county business data, and corporate financial data.

Foreign trade statistics provide for publication of monthly, cumulative, and annual reports on the quantity, shipping weight, and dollar value of imports and exports, by mode of transportation, detailed commodity category, customs districts, and country of origin or destination. This program covers the Census Bureau responsibilities under the Trade Act of 1974. This program includes additional funds for accelerating the release of trade statistics, improving export coverage, and expanding the Automated Export System.

Government statistics reports provide information on the revenue, expenditures, indebtedness and debt transactions, financial assets, employment, and payrolls of State and local governments. The Census Bureau provides quarterly information on State and local tax revenue on the national level by type of tax and governmental level, and provides information on financial assistance programs of the Federal government.

Current demographic statistics.—Household surveys provide information on the number, geographic distribution, and the social and economic characteristics of the population.

The Census Bureau compiles housing statistics on the Nation's housing inventory and provides national and regional estimates of housing vacancy rates. Population and housing analyses provide current demographic reports on the geographic distribution and on the demographic, social, and economic characteristics of the population, as well as current estimates and future projections of the population of the United States, and special analyses of demographic, social and economic trends. International statistics provide estimates of population, labor force, and economic activity, including spatial distribution, and analyses concerning aspects of demographic policies, economic policies, and trends for various countries.

Survey development and data services.—The *Statistical Abstract* that the Census Bureau prepares annually summarizes Government and private statistics of the industrial, social, political, and economic activities of the United States. The Bureau conducts general research on survey methods and techniques to find ways of improving the efficiency, accuracy, and timeliness of statistical programs. Data systems development provides advanced data capture, data processing, and information retrieval technology to meet Census Bureau program requirements.

Survey of Program Dynamics.—The Personal Responsibility and Work Opportunity Act of 1996 required that the Survey of Income and Program Participation be expanded to evaluate the impact of welfare reforms made by that Act. This program will be considered as part of the re-authorization of the Temporary Assistance for Needy Families program.

The State Children's Health Insurance Program (SCHIP) was established and funded through mandatory appropriations by the Medicare, Medicaid, and State Children's Health Insurance Program Balanced Budget Refinement Act of 1999 (P.L. 106–113). \$10 million was appropriated to produce statistically reliable annual State data on the number of low-income children who do not have health insurance coverage. Data from the SCHIP issued to allocate

funds to States based on statistics from an enhanced March Income Supplement to the Current Population Survey (CPS).

Performance measures.—Activities under the Salaries and Expenses account support the Department of Commerce's strategic goal involving promotion of economic growth. The performance goal is to provide and improve current measures of the U.S. population, economy, and governments that meet the needs of policymakers, businesses, and the public.

A more detailed presentation of the goals, performance measures, and targets is found in the Commerce Annual Performance Plan.

Object Classification (in millions of dollars)

Identification code 13–0401–0–1–376	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	90	93	104
11.3 Other than full-time permanent	17	15	17
11.5 Other personnel compensation	4	3	3
11.9 Total personnel compensation	111	111	124
12.1 Civilian personnel benefits	22	35	42
21.0 Travel and transportation of persons	6	6	8
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	7	7	7
23.3 Communications, utilities, and miscellaneous charges	4	5	6
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	11	11	17
25.2 Other services	5	5	6
25.3 Other purchases of goods and services from Government accounts	8	8	11
25.4 Operation and maintenance of facilities	2	2	2
25.7 Operation and maintenance of equipment	1	1	1
26.0 Supplies and materials	2	2	3
31.0 Equipment	4	4	6
99.9 Total new obligations	185	199	235

Personnel Summary

Identification code 13–0401–0–1–376	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	2,107	2,356	2,587

PERIODIC CENSUSES AND PROGRAMS

For necessary expenses [related to the 2000 decennial census, \$85,238,000] to collect and publish statistics for periodic censuses and programs provided for by law, \$522,360,000, to remain available until expended: *Provided*, That, of the total amount available related to the 2000 decennial census (\$85,238,000 in new appropriations and \$54,000,000 in deobligated balances from prior years), \$8,606,000 is for Program Development and Management; \$68,330,000 is for Data Content and Products; \$9,455,000 is for Field Data Collection and Support Systems; \$24,462,000 is for Automated Data Processing and Telecommunications Support; \$22,844,000 is for Testing and Evaluation; \$3,105,000 is for activities related to Puerto Rico, the Virgin Islands and Pacific Areas; and \$2,436,000 is for Marketing, Communications and Partnership activities].

[In addition, for expenses related to planning, testing, and implementing the 2010 decennial census, \$65,000,000.]

[In addition, for expenses to collect and publish statistics for other periodic censuses and programs provided for by law, \$171,138,000, to remain available until expended: *Provided*, That regarding engineering and design of a facility at the Suitland Federal Center, quarterly reports regarding the expenditure of funds and project planning, design and cost decisions shall be provided by the Bureau, in cooperation with the General Services Administration, to the Committees on Appropriations of the Senate and the House of Representatives: *Provided further*, That none of the funds provided in this Act or any other Act under the heading "Bureau of the Census, Periodic Censuses and Programs" shall be used to fund the construction and tenant build-out costs of a facility at the Suitland Federal Center.] (13 U.S.C. 4, 6, 12, 131, 141, 161, 181, 191; 15 U.S.C. 1516; 42 U.S.C. 1973aa–5; Department of Commerce and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 13-0450-0-1-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Economic statistics programs:			
00.01 Economic censuses	51	63	91
00.02 Census of governments	4	7	8
Demographic statistics programs:			
00.06 Intercensal demographic estimates	7	7	9
00.08 2000 decennial census	442	162	106
00.09 2010 decennial census	1	65	219
00.10 Continuous measurement	22	28
00.11 Demographic surveys sample redesign	10	14	16
00.12 Electronic information collection	7	6	7
00.13 Geographic support	37	40	41
00.14 Data processing	24	25	29
00.15 Suitland Federal Center office space renovation/construction	43
10.00 Total new obligations	605	460	526
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	363	122
22.00 New budget authority (gross)	292	335	522
22.10 Resources available from recoveries of prior year obligations	71	2	4
23.90 Total budgetary resources available for obligation	726	459	526
23.95 Total new obligations	-605	-460	-526
24.40 Unobligated balance carried forward, end of year	122
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	296	335	522
41.00 Transferred to other accounts	-4
43.00 Appropriation (total discretionary)	292	335	522
Change in obligated balances:			
72.40 Obligated balance, start of year	637	250	68
73.10 Total new obligations	605	460	526
73.20 Total outlays (gross)	-921	-640	-510
73.45 Recoveries of prior year obligations	-71	-2	-4
74.40 Obligated balance, end of year	250	68	80
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	234	268	417
86.93 Outlays from discretionary balances	687	372	93
87.00 Total outlays (gross)	921	640	510
Net budget authority and outlays:			
89.00 Budget authority	292	335	522
90.00 Outlays	921	640	510

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	272	321	500
90.00 Outlays	901	626	488

This appropriation funds legislatively mandated economic and periodic demographic censuses and other authorized activities.

Economic statistics programs.—

Economic censuses.—The economic censuses provide data on manufactures, mining, retail and wholesale trade and service industries, construction, and transportation. The censuses are taken every fifth year, covering calendar years ending in two and seven. 2003 is the fourth year in the 2002 Economic Census Cycle. The focus in 2003 is on collection and processing of 5 million Economic Census report forms.

Census of governments.—The Census of governments is the only source of comprehensive and uniformly classified data about the economic activities of state and local governments. The census collects State and local government data

on taxes, tax valuations, governmental receipts, expenditures, indebtedness, and number of employees. This census is taken every fifth year for calendar years ending in two and seven. 2003 is the fourth year in the five year cycle of the 2002 Census of Governments. The focus for 2003 will be on completing the Government Organization Survey and preparing the results for dissemination. In addition, the Employment Survey data collection and processing will be finished. Data collection for the Finance Survey will also be initiated.

Demographic statistics programs.—

Intercensal demographic estimates.—In years between decennial censuses, this program develops annual estimates of the population and its demographic characteristics, for the nation, states, metropolitan areas, counties and functioning governmental units. These data are used for a variety of purposes including the allocation of nearly \$200 billion in Federal funds, as controls for a variety of federally sponsored surveys, as denominators for vital statistics and other health and economic indicators and for a variety of federal, state, and private program planning needs. The restructured demographic accounts initiative will provide funds so that an assessment can be made of the opportunities for improving the way that demographic analysis information is compiled. Specific elements of the process, such as international migration, need to be restructured to ensure the consistency and accuracy of data as it relates to Census 2000 and intercensal population estimates. This funding will also allow for the development of a system that would produce “annual estimates” for the major components of demographic change instead of the current, “once a decade” estimates.

Decennial Census.—The focus for 2003 continues to be the tabulation, dissemination, evaluation, and archiving of Census 2000 data products. The Census Bureau will continue working in partnership with state, local and tribal officials across the country so that they fully understand the data provided, and will assist jurisdictions in resolving population and boundary questions. In 2003, the Census Bureau will continue the evaluations program to obtain more information about the quality of Census 2000 data and operations. These evaluations are essential to provide a complete assessment of Census 2000 and to serve as the basis for planning the 2010 Census. Finally, we will need to manage the remaining activities to complete Census 2000, including potential litigation.

In order to take advantage of these assessments and build on the improvements made for Census 2000, the Census Bureau has begun the process of planning the next decennial census.

The plan for the 2010 Census features three key components which will allow us to reduce operational risks, improve accuracy, provide more relevant data, and contain cost; (1) Establishment of an early design and planning process that will allow the Census Bureau to test fully all major elements of a simplified, streamlined census designed to collect the basic (“short form”) data needed to fulfill important constitutional and legal mandates; (2) Full implementation of the American Community Survey (ACS) which will be conducted nationwide in every county of the United States and Puerto Rico. Nationally, the sample size will be 3 million households per year. This will produce detailed “long form” demographic data for every community in the United States by 2008, moving long form data collection out of the 2010 Census and into an ongoing data collection, tabulation, and dissemination activity; and (3) Enhancing the Census Bureau’s geographic database and associated address list, referred to as MAF/TIGER (Master Address File/Topologically Integrated Geographic Encoding and Referencing) by replacing the internally developed

General and special funds—Continued

PERIODIC CENSUSES AND PROGRAMS—Continued

MAF/TIGER system with one that uses Global Positioning System technology and aerial photography to update and improve the address and street information gathered at great expense for Census 2000.

The interdependence of the three component activities can be thought of, metaphorically, in the same way one envisions the interdependence of each leg on a “three-legged stool.” Activities in these three areas are highly integrated, complement each other, and form the basis for re-engineering the 2010 Census.

In 2003, the Census Bureau will focus its planning efforts for the 2010 Census to support the goal of a dramatically re-engineered census with the long form replaced by the ACS. The Bureau will identify design options for the 2010 Census, focusing on the identification of objectives and requirements for the 2004 Census Test. Starting in 2003, the ACS will sample 250,000 households each month and produce yearly estimates of population, housing, and economic characteristics for all areas or population groups of 65,000 or more. By 2008, the ACS will provide estimates for the smallest geographic areas and population groups (those having a population less than 20,000) based on 3- and 5-year rolling averages, which will be updated annually thereafter. To enhance the MAF/TIGER system, the Bureau will focus in 2003 on correcting map feature and housing unit locations in the first 250 of the Nation’s 3,232 counties.

Demographic surveys sample redesign.—This program provides for the sample selection of monthly, quarterly and annual household surveys to conform to the redistribution of the population measured in the decennial census. This is done after each decennial census in order to select accurate samples for the major household surveys throughout the decade. A funding increase is required to address programming, development, testing and production work for selecting new sample households from Census 2000 data in order to have new samples available by 2004, when the current samples expire.

Electronic information collection (EIC).—EIC is the Bureau’s program to transform the Bureau’s business processes—the collection, processing, and dissemination of information. Making the greatest possible use of automation and telecommunications, EIC seeks to provide the tools and systems to deliver to our customers accurate information quickly and efficiently, with as little burden as possible on those who provide the data to the Bureau.

Geographic support.—This activity’s goal is to determine the correct location of every business establishment in the U.S. and its territories. The activity’s major components include the TIGER data base and the MAF. TIGER provides maps and geographic information for data tabulation; MAF provides the geographically-assigned address list for the Nation. Together, they provide essential information and products critical for conducting many of the Bureau’s programs.

Data processing systems.—This activity provides for the purchase or renting of hardware and software needed for the Bureau’s general purpose computing facilities. The requested increase will provide the funding necessary to fulfill the growing hardware and software maintenance and technical support requirements for the increasing number of systems that process and store the data for the Bureau.

Performance measures.—Activities under the Periodic Censuses and Programs account support the Department of Commerce’s strategic goal involving promotion of economic growth. The performance goals are to provide the statistical foundation and benchmark measures of the population, economy, and government that meet the needs of policymakers, federal, state, and local governmental agencies, and the public; to re-engineer the 2010 Decennial Census to be more efficient

and cost effective, provide richer data, improve coverage, and reduce risk in meeting constitutional and legislative mandates; and to provide mission critical support for tools and capabilities that improve processes, products, and services for our surveys and censuses.

A more detailed presentation of the goals, performance measures, and targets is found in the Commerce Annual Performance Plan.

Object Classification (in millions of dollars)

Identification code 13-0450-0-1-376	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	185	142	178
11.3 Other than full-time permanent	57	21	38
11.5 Other personnel compensation	12	6	5
11.9 Total personnel compensation	254	169	221
12.1 Civilian personnel benefits	64	55	84
13.0 Benefits for former personnel	1		1
21.0 Travel and transportation of persons	13	6	13
22.0 Transportation of things	5		1
23.1 Rental payments to GSA	11	9	13
23.2 Rental payments to others	2	1	
23.3 Communications, utilities, and miscellaneous charges	28	9	14
24.0 Printing and reproduction	-1	5	4
25.1 Advisory and assistance services	111	56	86
25.2 Other services	14	108	33
25.3 Other purchases of goods and services from Government accounts	42	6	12
25.4 Operation and maintenance of facilities	3	3	3
25.5 Research and development contracts	23	10	6
25.7 Operation and maintenance of equipment	12	6	6
25.8 Subsistence and support of persons	1		
26.0 Supplies and materials	10	5	7
31.0 Equipment	12	12	22
43.0 Interest and dividends	1		
99.9 Total new obligations	605	460	526

Personnel Summary

Identification code 13-0450-0-1-376	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	5,415	3,139	5,239

CENSUS WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 13-4512-0-4-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Current economic statistics	35	40	41
09.02 Current demographic statistics	173	181	187
09.03 Other	6	5	5
10.00 Total new obligations	214	226	233
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	9	21	21
22.00 New budget authority (gross)	226	226	233
23.90 Total budgetary resources available for obligation	235	247	254
23.95 Total new obligations	-214	-226	-233
24.40 Unobligated balance carried forward, end of year	21	21	21
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	226	226	233
Change in obligated balances:			
72.40 Obligated balance, start of year	-29	19	19
73.10 Total new obligations	214	226	233
73.20 Total outlays (gross)	-166	-226	-233
74.40 Obligated balance, end of year	19	19	19
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	166	226	233

Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-226	-226	-233
Net budget authority and outlays:				
89.00	Budget authority			
90.00	Outlays	-60		

The Working capital fund finances, on a reimbursable basis, functions within the Bureau of the Census which are more efficiently and economically performed on a centralized basis. The fund also finances reimbursable work that the Bureau performs for other public and private entities.

Object Classification (in millions of dollars)

Identification code 13-4512-0-4-376	2001 actual	2002 est.	2003 est.	
Personnel compensation:				
11.1	Full-time permanent	80	82	83
11.3	Other than full-time permanent	41	37	37
11.5	Other personnel compensation	5	4	4
11.9	Total personnel compensation	126	123	124
12.1	Civilian personnel benefits	24	34	35
21.0	Travel and transportation of persons	13	17	18
22.0	Transportation of things	2	1	1
23.1	Rental payments to GSA	7	7	7
23.3	Communications, utilities, and miscellaneous charges	5	6	5
24.0	Printing and reproduction	1	5	5
25.1	Advisory and assistance services	9	8	13
25.2	Other services	6	6	6
25.3	Other purchases of goods and services from Government accounts	11	7	7
25.4	Operation and maintenance of facilities	2	2	2
25.7	Operation and maintenance of equipment	2	2	2
25.8	Subsistence and support of persons	1	1	
26.0	Supplies and materials	3	3	4
31.0	Equipment	2	4	4
99.9	Total new obligations	214	226	233

Personnel Summary

Identification code 13-4512-0-4-376	2001 actual	2002 est.	2003 est.	
2001	Total compensable workyears: Full-time equivalent employment	2,858	3,058	3,058

ECONOMIC AND STATISTICAL ANALYSIS

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses, as authorized by law, of economic and statistical analysis programs of the Department of Commerce, [\$62,515,000] \$76,466,000, to remain available until September 30, [2003] 2004. (15 U.S.C. 171 et seq., 1501 et seq.; 22 U.S.C. 286f, 3101 et seq.; Department of Commerce and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 13-1500-0-1-376	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Direct program:				
00.01	Bureau of Economic Analysis	51	62	70
00.02	Policy support	5	6	6
09.01	Reimbursable program	1	2	2
10.00	Total new obligations	57	70	78
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	1	2	
22.00	New budget authority (gross)	58	68	78
23.90	Total budgetary resources available for obligation	59	70	78
23.95	Total new obligations	-57	-70	-78
24.40	Unobligated balance carried forward, end of year	2		

New budget authority (gross), detail:

Discretionary:				
40.00	Appropriation	57	66	76
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	1	2	2
70.00	Total new budget authority (gross)	58	68	78
Change in obligated balances:				
72.40	Obligated balance, start of year	5	6	6
73.10	Total new obligations	57	70	78
73.20	Total outlays (gross)	-56	-68	-77
74.40	Obligated balance, end of year	6	6	7

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	50	60	69
86.93	Outlays from discretionary balances	4	8	8
87.00	Total outlays (gross)	56	68	77

Offsets:

Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Reimbursable projects	-1	-2	-2

Net budget authority and outlays:

89.00	Budget authority	57	66	76
90.00	Outlays	54	66	75

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	54	63	73
90.00	Outlays	51	63	72

Bureau of Economic Analysis.—The Bureau of Economic Analysis (BEA), a principal Federal statistical agency, provides the most comprehensive statistical picture available of U.S. economic activity. It prepares, develops, and interprets the national, international, and regional economic accounts of the United States. These accounts provide key information on economic growth, regional development, and the Nation's position in the world economy.

BEA's statistics are used in formulating and evaluating national economic policy, in planning and formulating Federal budgets, and in allocating over \$125 billion in Federal funds annually. They are used by State and local governments for a variety of planning and analytical activities. Because they can have a major impact on interest rates, exchange rates, and cost-of-living adjustments, they are also of vital interest to businesses for market analysis and decisionmaking, and to households for financial planning.

To prepare the accounts, BEA assembles thousands of monthly, quarterly, and annual economic data series—ranging from national level retail sales to county level wages and salaries—and combines them into consistent and comprehensive sets of accounts.

National economic accounts.—The national accounts are a system of economic accounts that detail the relationship between production and the incomes generated in production and trace the principal economic flows among the major sectors and industries of the economy. They are best known by summary measures such as gross domestic product (GDP), corporate profits, and personal saving. In addition, they provide information on the U.S. capital stock by type and industry; GDP-by-industry; and, through the input-output accounts, information on how industries interact—providing inputs to, and taking outputs from, each other to produce GDP. The national accounts statistics are regarded as the mainstay of macroeconomic analysis.

International economic accounts.—The international transactions accounts are a system of economic accounts that provide information on international transactions in

General and special funds—Continued

SALARIES AND EXPENSES—Continued

goods, services, investment income, and government and private financial flows. They are best known by summary measures such as the balance of payments and the balance on goods and services. In addition, the accounts provide information on the U.S. international investment position, which measures the value of U.S. international assets and liabilities and changes in those values. The international transactions accounts and the international investment position are critical statistical tools used in formulating and evaluating international economic policy. BEA's data on direct investment—the most detailed data set on the operations of multinational companies available among the major industrialized nations of the world—are used to assess the vital role these companies play in the global economy.

Regional economic accounts.—The regional accounts are consistent with the national accounts and provide data on total and per capita personal income by region, State, metropolitan area, and county, and on gross State product. The regional accounts statistics are essential for State government revenue forecasting, the allocation of Federal funds to the States, and for private sector investment decisions.

Analysis and dissemination of data on economic trends.—This work consists of the analysis of BEA data on the current economic situation, the publication of the *Survey of Current Business* and other BEA publications, the electronic dissemination of data, and the provision of BEA information to customers.

Implementing BEA's strategic plan.—The dynamics of the U.S. economy, with its growing complexity, technological advances, and dramatic changes in structure, make it increasingly difficult to provide an accurate, up-to-date picture of economic activity. Add the effects of recent events related to national security and the business cycle turndown, and it is now more important than ever that government and business leaders have the most relevant, accurate, and timely economic information possible. BEA must continually expand and improve its economic accounts to keep pace with the economy and meet the increased demand for economic information. BEA is working to overcome statistical weaknesses and close gaps in data coverage by developing such improvements as new measures of services and compensation, new quality-adjusted price indexes, and new measures of international trade and finance. In 2003, BEA will focus on making its data more useful by accelerating the release of major economic estimates. The resulting increases in timeliness will have a significant impact on the usefulness of these data, especially to high-level decision makers. BEA also will incorporate into its economic accounts the new North American Industry Classification System, which reflects the increasing importance of technology and services and improves the comparability of statistics among countries.

Improving information technology.—BEA's statistical processing systems play an essential role in the production of the economic accounts. Because these systems have been pieced together over time in a patchwork of cumbersome and inefficient elements, it is critical that they be redesigned and upgraded to take full advantage of current information technology capabilities. This will provide the necessary assurance of reliability, accuracy, and timeliness for these key economic statistics. BEA currently is developing redesigned and upgraded processing systems for its national accounts. In 2003, BEA will expand that effort to include the processing systems for other economic areas, such as the input-output accounts, the balance of payments accounts, and the State personal income accounts. BEA also will extend the electronic reporting option to more of its international surveys and will continue

to develop new data dissemination features, especially on its Web site.

Policy support.—The Economics and Statistics Administration's headquarters operation advises the Secretary of Commerce and other Government officials on matters related to economic developments and forecasts, and the development of options and positions relating to both macroeconomic and microeconomic policy.

Reimbursable program.—ESA provides economic and statistical data and analyses on a reimbursable and advance payment basis to other Federal agencies, individuals, and firms requesting such information. Funds received for these services cover the cost of performing this work.

Activities under Economic and Statistical Analysis support the Commerce Department's strategic goal of providing the information and the framework to enable the economy to operate efficiently and equitably.

Performance measures.—BEA will seek to maintain: a ranking of first among 45 countries in producing GDP in a timely fashion, based on measures compiled by the International Monetary Fund; delivery of all data releases on schedule; and a mean rating of 4.3 (on a 5-point scale) in users' satisfaction, as determined by a customer survey. In addition, BEA will achieve specified milestones in improving the economic accounts, accelerating economic estimates, meeting international obligations, and upgrading information technology systems.

Goal: Provide relevant, accurate and timely economic data.

Performance measure:	2001 actual	2002 target	2003 target
1a. Timeliness of release of GDP (as compared to other countries)	1st	1st	1st
1b. Reliability of delivery of economic data (number of scheduled releases issued on time)	48	50	TBD
1c. Customer satisfaction with quality of products and services (mean rating on a 5-point scale)	N/A	4.3	4.3

A more detailed presentation of goals, performance measures, and targets is found in the Commerce Annual Performance Plan.

Object Classification (in millions of dollars)

Identification code 13-1500-0-1-376	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	30	35	37
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	31	36	38
12.1 Civilian personnel benefits	10	12	13
23.1 Rental payments to GSA	5	5	6
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services			3
25.2 Other services	7	7	4
25.3 Other purchases of goods and services from Government accounts		4	7
25.7 Operation and maintenance of equipment			1
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	2	2
99.0 Direct obligations	56	68	76
99.0 Reimbursable obligations	1	2	2
99.9 Total new obligations	57	70	78

Personnel Summary

Identification code 13-1500-0-1-376	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	428	519	549
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	19	19	18

ECONOMICS AND STATISTICS ADMINISTRATION REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 13-4323-0-3-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	3	3	3
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	2	2
22.00 New budget authority (gross)	3	3	3
23.90 Total budgetary resources available for obligation	5	5	5
23.95 Total new obligations	-3	-3	-3
24.40 Unobligated balance carried forward, end of year	2	2	2
New budget authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	3	3	3
Change in obligated balances:			
73.10 Total new obligations	3	3	3
73.20 Total outlays (gross)	-3	-3	-3
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	3	3	3
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-1	-1	-1
88.40 Subscription and fee sales	-3	-2	-2
88.90 Total, offsetting collections (cash)	-3	-3	-3
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

The Economics and Statistics Administration operates STAT—USA, a revolving fund activity that provides the public with access to key business, economic, and international trade information. Its mission is to “produce, distribute, and assist other government agencies in producing world-class business, economic, and government information products that American businesses and the public can use to make intelligent and informed decisions.” It accomplishes this through two primary products and services: STAT—USA®/Internet and USA Trade Online®.

STAT-USA has three ongoing objectives pursuant to the accomplishment of its mission:

Objective: Identify and pursue new markets.

Objective: Determine customer satisfaction.

Objective: Enhance and improve products and expand product offerings in response to Customer requirements.

A more detailed presentation of STAT—USA’s objectives is found in the Commerce Annual Performance Plan.

Object Classification (in millions of dollars)

Identification code 13-4323-0-3-376	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	2	2	2
25.2 Other services	1	1	1
99.0 Reimbursable obligations	3	3	3
99.9 Total new obligations	3	3	3

Personnel Summary

Identification code 13-4323-0-3-376	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	27	25	25

INTERNATIONAL TRADE ADMINISTRATION

Federal Funds

General and special funds:

OPERATIONS AND ADMINISTRATION

For necessary expenses for international trade activities of the Department of Commerce provided for by law, and for engaging in trade promotional activities abroad, including expenses of grants and cooperative agreements for the purpose of promoting exports of United States firms, without regard to 44 U.S.C. 3702 and 3703; full medical coverage for dependent members of immediate families of employees stationed overseas and employees temporarily posted overseas; travel and transportation of employees of the United States and Foreign Commercial Service between two points abroad, without regard to 49 U.S.C. 1517; employment of Americans and aliens by contract for services; rental of space abroad for periods not exceeding 10 years, and expenses of alteration, repair, or improvement; purchase or construction of temporary demountable exhibition structures for use abroad; payment of tort claims, in the manner authorized in the first paragraph of 28 U.S.C. 2672 when such claims arise in foreign countries; not to exceed \$327,000 for official representation expenses abroad; purchase of passenger motor vehicles for official use abroad, not to exceed \$30,000 per vehicle; obtaining insurance on official motor vehicles; and rental of tie lines, [\$347,547,000] \$390,180,000, to remain available until expended, of which [\$3,000,000] \$13,000,000 is to be derived from fees to be retained and used by the International Trade Administration, notwithstanding 31 U.S.C. 3302: *Provided*, That [\$67,669,000] \$66,070,000 shall be for Trade Development, [\$27,741,000] \$38,073,000 shall be for Market Access and Compliance, [\$43,346,000] \$53,561,000 shall be for the Import Administration, [\$195,791,000] \$206,194,000 shall be for the United States and Foreign Commercial Service, and [\$13,000,000] \$26,282,000 shall be for Executive Direction and Administration: *Provided further*, That the provisions of the first sentence of section 105(f) and all of section 108(c) of the Mutual Educational and Cultural Exchange Act of 1961 (22 U.S.C. 2455(f) and 2458(c)) shall apply in carrying out these activities without regard to section 5412 of the Omnibus Trade and Competitiveness Act of 1988 (15 U.S.C. 4912); and that for the purpose of this Act, contributions under the provisions of the Mutual Educational and Cultural Exchange Act shall include payment for assessments for services provided as part of these activities. (15 U.S.C. 637(e), 649, 1501 et seq., 1871, 4001 et seq., 4011 et seq.; 19 U.S.C. 81a et seq., 1202nt., 1303, 1671 et seq., 1673 et seq., 1862, 2031, 2155, 2354, 2411 et seq.; 22 U.S.C. 801 et seq., 2451 et seq., 2651 et seq., 3101 et seq.; 40 U.S.C. 512, 42 U.S.C. 300j; 50 U.S.C. 98-98h, 401 et seq., 2061 et seq., 2401 et seq.; Public Law 99-64; Department of Commerce and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for “Operations and Administration”, \$1,000,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 13-1250-0-1-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Trade development	66	69	58
00.02 Market access and compliance	23	43	37
00.03 Import administration	40	47	54
00.04 U.S. and foreign commercial services	206	208	202
00.05 Administration and executive direction	14	14	26
01.00 Total direct program	349	381	377
09.01 Reimbursable program	16	26	36
10.00 Total new obligations	365	407	413
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	17	26	
22.00 New budget authority (gross)	368	382	413
22.10 Resources available from recoveries of prior year obligations	6		
23.90 Total budgetary resources available for obligation	391	408	413

General and special funds—Continued

OPERATIONS AND ADMINISTRATION—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 13–1250–0–1–376	2001 actual	2002 est.	2003 est.
23.95 Total new obligations	–365	–407	–413
24.40 Unobligated balance carried forward, end of year	26		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	342	355	377
40.15 Appropriation (emergency)		1	
40.77 Reduction pursuant to P.L. 106–554 (0.22 percent)	–1		
42.00 Transferred from other accounts	11		
43.00 Appropriation (total discretionary)	352	356	377
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	16	26	36
70.00 Total new budget authority (gross)	368	382	413
Change in obligated balances:			
72.40 Obligated balance, start of year	80	95	135
73.10 Total new obligations	365	407	413
73.20 Total outlays (gross)	–344	–367	–402
73.45 Recoveries of prior year obligations	–6		
74.40 Obligated balance, end of year	95	135	146
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	268	279	304
86.93 Outlays from discretionary balances	76	89	99
87.00 Total outlays (gross)	344	367	402
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	–4	–5	–5
88.40 Non-Federal sources	–12	–21	–31
88.90 Total, offsetting collections (cash)	–16	–26	–36
Net budget authority and outlays:			
89.00 Budget authority	352	356	377
90.00 Outlays	328	341	366
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	345	346	364
90.00 Outlays	321	331	353

The mission of the International Trade Administration (ITA) in the Department of Commerce is to create economic opportunity for U.S. workers and firms by promoting international trade, opening foreign markets, ensuring compliance with trade laws and agreements, and supporting U.S. commercial interests at home and abroad.

Working as a key part of the Government-wide Trade Promotion Coordinating Committee, ITA will pursue this mission through the activities of its five major subdivisions and through reimbursable programs as follows:

Trade development.—The trade development program assesses the competitiveness of various U.S. industries and performs trade and investment analyses; works with manufacturing and service industry associations and firms to identify and to capitalize on trade opportunities and to pinpoint and overcome obstacles to increased U.S. exports; articulates U.S. industries' needs, interests and concerns to American negotiators of international trade agreements and assists in the preparation and implementation of negotiating strategies; and conducts export promotion programs directed toward industry sectors.

Market access and compliance.—The Market Access and Compliance unit (MAC) is the U.S. Government's front-line offensive team working to unlock foreign markets for American goods and services country-by-country and region-by-region. MAC concentrates on market access issues and the development of strategies to overcome market access obstacles faced by U.S. businesses. MAC maintains in-depth knowledge of the trade policies of our trading partners. It monitors foreign country compliance with numerous multilateral and bilateral trade-related agreements, identifying compliance problems and other market access obstacles. MAC's specialists work with other Government agencies to address barriers rapidly, and to ensure that U.S. firms know how to use the market opening agreements. It provides information on foreign trade and business practices to U.S. firms and works to find opportunities and to develop market strategies in traditional markets and in the emerging markets. MAC's objective is to develop and to update continuously current and long-term market access strategies, including developing the information needed to conduct trade negotiations to open markets. MAC's specialists work hand-in-hand with U.S. business, trade associations and other business organizations, Commerce's industry and technical specialists, and the U.S. Commercial Service's domestic and overseas offices. This unit will continue to provide support for the operation of the North American Free Trade Agreement.

Import administration.—Import Administration investigates antidumping and countervailing duty cases to ensure compliance with applicable U.S. statutes and administers certain other statutory programs relating to imports and foreign trade zones.

U.S. and foreign commercial service.—The U.S. and Foreign Commercial Service counsels U.S. businesses on exporting through offices in the United States and overseas countries. The program's goals are to increase the number of U.S. firms that export and the number of foreign markets to which they export; to provide export market information; to promote and facilitate participation of U.S. firms in trade shows; and to encourage and sponsor additional involvement by private, State and local organizations.

Administration and executive direction.—Administration and Executive Direction provide policy leadership and administration services for the other ITA subdivisions. Executive Direction includes the Office of the Under Secretary for International Trade, the Deputy Under Secretary for International Trade, and subordinate offices covering Legislative and Intergovernmental Affairs, Public Affairs, Office of the Chief Information Officer, and the Trade Promotion Coordinating Committee staff. Administration provides human resources services, financial management services, and general administrative assistance for the other ITA subdivisions.

Reimbursable program.—This program includes receipts for services rendered to other Federal agencies and receipts received on a cost recovery basis from private entities for trade events and export information services. ITA proposes to collect fees to offset the costs associated with services and products provided. In 2003, ITA will continue to improve existing products and services to U.S. businesses.

Activities under the ITA account support Commerce's strategic plan.

Goals—Performance Measures:	2001 actual	2002 est.	2003 est.
Increase/Trade Opportunities for U.S. Firms			
Number of export transactions made as a result of ITA involvement	11,160	12,276	13,504
Ensure Fair Competition in International Trade			
Number of antidumping (AD)/countervailing duty (CVD) cases completed	136	136	136
Broaden and Deepen U.S. Exporter Base			
Number of U.S. exporters entering a new market	5,386	5,925	6,517

A more detailed presentation of goals, performance measures and targets can be found in the Commerce Annual Performance Plan.

Object Classification (in millions of dollars)

Identification code 13-1250-0-1-376	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	127	134	139
11.3 Other than full-time permanent	8	8	5
11.5 Other personnel compensation	6	6	3
11.9 Total personnel compensation	141	148	147
12.1 Civilian personnel benefits	42	47	44
13.0 Benefits for former personnel	2	1	1
21.0 Travel and transportation of persons	13	14	11
22.0 Transportation of things	2	3	1
23.1 Rental payments to GSA	16	16	18
23.2 Rental payments to others	8	8	8
23.3 Communications, utilities, and miscellaneous charges	12	13	7
24.0 Printing and reproduction	2	3	2
25.1 Advisory and assistance services	1	1	1
25.2 Other services	36	47	62
25.3 Other purchases of goods and services from Government accounts	47	51	62
26.0 Supplies and materials	6	7	5
31.0 Equipment	5	6	7
41.0 Grants, subsidies, and contributions	16	16	1
99.0 Direct obligations	349	381	377
99.0 Reimbursable obligations	16	26	36
99.9 Total new obligations	365	407	413

Personnel Summary

Identification code 13-1250-0-1-376	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	2,154	2,427	2,536
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	49	49	49

EXPORT ADMINISTRATION

Federal Funds

General and special funds:

OPERATIONS AND ADMINISTRATION

For necessary expenses for export administration and national security activities of the Department of Commerce, including costs associated with the performance of export administration field activities both domestically and abroad; full medical coverage for dependent members of immediate families of employees stationed overseas; employment of Americans and aliens by contract for services abroad; payment of tort claims, in the manner authorized in the first paragraph of 28 U.S.C. 2672 when such claims arise in foreign countries; not to exceed \$15,000 for official representation expenses abroad; awards of compensation to informers under the Export Administration Act of 1979, and as authorized by 22 U.S.C. 401(b); purchase of passenger motor vehicles for official use and motor vehicles for law enforcement use with special requirement vehicles eligible for purchase without regard to any price limitation otherwise established by law, **[\$68,893,000] \$103,311,000, to remain available until expended**, of which \$7,250,000 shall be for inspections and other activities related to national security; *Provided*, That the provisions of the first sentence of section 105(f) and all of section 108(c) of the Mutual Educational and Cultural Exchange Act of 1961 (22 U.S.C. 2455(f) and 2458(c)) shall apply in carrying out these activities; *Provided further*, That payments and contributions collected and accepted for materials or services provided as part of such activities may be retained for use in covering the cost of such activities, and for providing information to the public with respect to the export administration and national security activities of the Department of Commerce and other export control programs of the United States and

other governments. *P.L. 106-508; 10 U.S.C. 7430(e); 15 U.S.C. 1501 et seq., 1531; 19 U.S.C. 1862; 22 U.S.C. 401(b), 2455(f), 2458(c), 3922, 6004-6005; 30 U.S.C. 185(s), 185(u); 42 U.S.C. 300j, 2139a, 5195, 6212; 43 U.S.C. 1354; 46 U.S.C. app. 466c; 50 U.S.C. 82, 98-98h, app. 468, app. 2061 et seq., app. 2401 et seq., app. 2411; Department of Commerce and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)*

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Operations and Administration", \$1,756,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (Emergency Supplemental Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 13-0300-0-1-999	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Management and policy coordination	4	6	7
00.02 Export administration	30	35	35
00.03 Export enforcement	28	28	34
00.04 Critical infrastructure	5	5	7
00.05 Homeland Security/Information Intelligence			20
01.00 Total direct program	67	74	103
09.01 Reimbursable program	4	11	6
10.00 Total new obligations	71	85	109

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	6	7	
22.00 New budget authority (gross)	71	80	109
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	78	87	109
23.95 Total new obligations	-71	-85	-109
24.40 Unobligated balance carried forward, end of year	7		

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	68	72	103
40.15 Appropriation (emergency)		2	
43.00 Appropriation (total discretionary)	68	74	103
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	3	6	6
70.00 Total new budget authority (gross)	71	80	109

Change in obligated balances:

72.40 Obligated balance, start of year	8	13	13
73.10 Total new obligations	71	85	109
73.20 Total outlays (gross)	-65	-85	-105
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	13	13	17

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	61	70	94
86.93 Outlays from discretionary balances	4	16	11
87.00 Total outlays (gross)	65	85	105

Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-3	-5	-5
88.40 Non-Federal sources		-1	-1
88.90 Total, offsetting collections (cash)	-3	-6	-6

Net budget authority and outlays:

89.00 Budget authority	68	74	103
90.00 Outlays	63	79	99

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	65	71	100
90.00 Outlays	60	76	96

General and special funds—Continued

OPERATIONS AND ADMINISTRATION—Continued

The Bureau of Export Administration (BXA) seeks to advance U.S. national security, foreign policy, and economic interests by regulating exports of critical goods and technologies that could be used to damage those interests (while furthering the growth of legitimate U.S. exports to maintain our economic leadership); by enforcing compliance with those regulations; by cooperating with like-minded nations to obtain global support for this effort; by assisting nations that are key exporters or transit points for sensitive goods and technologies to strengthen their own transit and export controls; and, by monitoring the U.S. defense industrial base to ensure it remains strong.

Management and policy coordination.—The management and policy coordination program controls the development, analysis, coordination program, and consolidation of policy initiatives and responses within the BXA. Under BXA's non-proliferation and export control cooperation mission, BXA works directly with government leaders in the republics of the former Soviet Union and its European Satellites to develop effective controls on their strategic commodities and data.

Export administration.—The export administration program assures that export activity is consistent with national security and foreign policy requirements, including export licenses, treaty compliance, treaty obligations relating to weapons of mass destruction, and the defense industrial and technology base.

Export enforcement.—The export enforcement program detects and prevents the illegal distribution of controlled U.S. goods and technical data in violation of the export administration provisions of the U.S. Code. Other program responsibilities include enforcement of prohibitions against participating in unsanctioned boycotts against countries friendly to the United States.

Critical infrastructure.—The Critical Infrastructure Assurance Office (CIAO) supports the work of the National Coordinator for security, infrastructure protection and counter-terrorism. This includes working with government agencies and the private sector in developing a national plan to reduce the exposure to attack of the nation's critical infrastructures. The office will also coordinate a national education and awareness program.

Additional funding for the CIAO will be used to support the Homeland Security Information Technology and Evaluation Program. The office will work in consultation with the Office of Homeland Security to implement the Information Intelligence Initiative. This initiative includes technological and procedural improvements to the process of information sharing to assure broad access to relevant homeland security information at all levels of government. The program office is expected to be open for 1 to 2 years.

Performance measures.—The activities under this account support the Commerce strategic goal to provide the information and the framework to enable the economy to operate efficiently and equitably.

Stimulate Innovation for American Competitiveness

Goals and outcome measures:	2001 actual	2002 est.	2003 est.
Enhance the efficiency of the export control system while protecting U.S. national security interests			
Average processing time for export licenses (days)	40.4	39	39
Detect illegal export transactions and penalize violators			
Number of investigations accepted for criminal/administrative remedies	93	75	75
Assist key nations to establish effective export control programs			
Number of targeted deficiencies remedied in the export control systems of key nations	44	44	44

A more detailed presentation of goals, objectives, and performance measures is found in the Commerce Annual Performance Plan.

Object Classification (in millions of dollars)

Identification code 13-0300-0-1-999	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1			
Full-time permanent	25	26	33
11.3			
Other than full-time permanent	1	1	1
11.5			
Other personnel compensation	2	2	2
11.9			
Total personnel compensation	28	29	36
12.1			
Civilian personnel benefits	10	11	13
21.0			
Travel and transportation of persons	1	2	4
23.1			
Rental payments to GSA	5	5	6
23.3			
Communications, utilities, and miscellaneous charges	1	2	3
25.2			
Other services	7	9	12
25.3			
Other purchases of goods and services from Government accounts	13	12	18
26.0			
Supplies and materials	1	2	3
31.0			
Equipment	1	2	8
99.0			
Direct obligations	67	74	103
99.0			
Reimbursable obligations	4	11	6
99.9			
Total new obligations	71	85	109

Personnel Summary

Identification code 13-0300-0-1-999	2001 actual	2002 est.	2003 est.
Direct:			
1001			
Total compensable workyears: Full-time equivalent employment	388	481	529
Reimbursable:			
2001			
Total compensable workyears: Full-time equivalent employment		4	4

MINORITY BUSINESS DEVELOPMENT AGENCY

Federal Funds

General and special funds:

MINORITY BUSINESS DEVELOPMENT

For necessary expenses of the Department of Commerce in fostering, promoting, and developing minority business enterprise, including expenses of grants, contracts, and other agreements with public or private organizations, **[\$28,381,000] \$29,792,000.** (*Department of Commerce and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 13-0201-0-1-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00			
Total new obligations	29	29	31
Budgetary resources available for obligation:			
21.40			
Unobligated balance carried forward, start of year	1		
22.00			
New budget authority (gross)	28	29	30
23.90			
Total budgetary resources available for obligation	29	29	30
23.95			
Total new obligations	-29	-29	-31
New budget authority (gross), detail:			
Discretionary:			
40.00			
Appropriation	28	29	30
Change in obligated balances:			
72.40			
Obligated balance, start of year	10	11	16
73.10			
Total new obligations	29	29	31
73.20			
Total outlays (gross)	-26	-25	-30
73.40			
Adjustments in expired accounts (net)	-1		
74.40			
Obligated balance, end of year	11	16	16
Outlays (gross), detail:			
86.90			
Outlays from new discretionary authority	15	15	16

86.93	Outlays from discretionary balances	9	10	14
87.00	Total outlays (gross)	26	25	30
Net budget authority and outlays:				
89.00	Budget authority	28	29	30
90.00	Outlays	26	25	30

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	27	28	29
90.00	Outlays	25	24	29

The Minority Business Development Agency (MBDA) has the lead role in the Federal Government of coordinating all minority business development programs. The mission of the Agency is to achieve economic parity for minority businesses by actively promoting their ability to grow and compete in the global economy. MBDA is transforming to become an entrepreneurially focused and innovative organization committed to empowering minority business enterprises and wealth creation. The 2003 Budget proposes that MBDA work more closely with the Small Business Administration's network of offices and extensive programs for minority and disadvantaged firms.

Minority Business Development.—This activity provides a variety of direct and indirect business services through public/private partnerships. MBDA coordinates and leverages resources, expands domestic and international market opportunities, collects and disseminates vital business information, and provides management and technical assistance. MBDA also provides support for research, advocacy, and technology to reduce information barriers and improve the participation rate of minority-owned businesses in the U.S. as well as the global marketplace.

In 2003, MBDA will continue to use electronic components of its Internet portal to develop databases from a variety of public and private sector sources. These databases will provide timely on-line market and resource information to minority business owners regarding available business opportunities. MBDA will continue to work closely with the Small Business Administration to promote growth and sustainable development of minority owned businesses.

Performance measures.—MBDA activities support the Administration's theme on opportunity of providing the information and the framework to enable the economy to operate efficiently and equitably. MBDA's activities include goals on developing an entrepreneurial innovative market focus economy and improving opportunities for minority-owned businesses to pursue financing. Additionally, MBDA will promote electronic-commerce as well as provide business services electronically.

Goal: Develop entrepreneurial innovative market focus economy.

	2001 actual	2002 est.	2003 est.
Performance Measure:			
Dollar value of contracts (in millions)	800	800	800

Object Classification (in millions of dollars)

Identification code 13-0201-0-1-376	2001 actual	2002 est.	2003 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	6	7	7
12.1	Civilian personnel benefits	2	2	3
23.1	Rental payments to GSA	1	2	2
25.2	Other services	6	5	5
25.3	Other purchases of goods and services from Government accounts	2	1	1
41.0	Grants, subsidies, and contributions	10	12	12

99.0	Direct obligations	27	29	30
99.5	Below reporting threshold	2		1
99.9	Total new obligations	29	29	31

Personnel Summary

Identification code 13-0201-0-1-376	2001 actual	2002 est.	2003 est.	
1001	Total compensable workyears: Full-time equivalent employment	90	120	120

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION

Federal Funds

General and special funds:

OPERATIONS, RESEARCH, AND FACILITIES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of activities authorized by law for the National Oceanic and Atmospheric Administration, including maintenance, operation, and hire of aircraft; grants, contracts, or other payments to nonprofit organizations for the purposes of conducting activities pursuant to cooperative agreements; and relocation of facilities as authorized by 33 U.S.C. 883i, **[\$2,253,697,000] \$2,281,118,540**, to remain available until expended: *Provided*, That fees and donations received by the National Ocean Service for the management of the national marine sanctuaries may be retained and used for the salaries and expenses associated with those activities, notwithstanding 31 U.S.C. 3302: *Provided further*, That, in addition, **[\$68,000,000] \$75,000,000** shall be derived by transfer from the fund entitled "Promote and Develop Fishery Products and Research Pertaining to American Fisheries": *Provided further*, That grants to States pursuant to sections 306 and 306A of the Coastal Zone Management Act of 1972, as amended, shall not exceed \$2,000,000: *Provided further*, That, of the \$2,341,697,000 provided for in direct obligations under this heading (of which \$2,253,697,000 is appropriated from the General Fund, \$71,000,000 is provided by transfer, and \$17,000,000 is derived from deobligations from prior years), \$413,911,000 shall be for the National Ocean Service, \$579,196,000 shall be for the National Marine Fisheries Service, \$356,062,000 shall be for Oceanic and Atmospheric Research, \$672,355,000 shall be for the National Weather Service, \$139,627,000 shall be for the National Environmental Satellite, Data, and Information Service, and \$180,546,000 shall be for Program Support: *Provided further*, That, hereafter, habitat conservation activities under this heading shall be considered to be within the "Coastal Assistance sub-category" in section 250(c)(4)(K) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further*, That, of the amount provided under this heading, **[\$223,273,000] \$219,360,000** shall be for the conservation activities defined in section 250(c)(4)(K) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further*, That no general administrative charge shall be applied against an assigned activity included in this Act and, further, that any direct administrative expenses applied against an assigned activity shall be limited to 5 percent of the funds provided for that assigned activity so that total National Oceanic and Atmospheric Administration administrative expenses shall not exceed \$265,025,000: *Provided further*, That any use of deobligated balances of funds provided under this heading in previous years shall be subject to the procedures set forth in section 605 of this Act: *Provided further*, That of the amounts provided, \$3,000,000 shall be derived by transfer from the fund entitled "Coastal Zone Management": *Provided further*, That the Secretary may proceed as he deems necessary to have the National Oceanic and Atmospheric Administration occupy and operate its research facilities which are located at Lafayette, Louisiana: *Provided further*, That the R/V FAIRWEATHER shall be homeported in Ketchikan, Alaska.

[In addition, for necessary retired pay expenses under the Retired Serviceman's Family Protection and Survivor Benefits Plan, and for payments for medical care of retired personnel and their dependents under the Dependents Medical Care Act (10 U.S.C. ch. 55), such sums as may be necessary.] (15 U.S.C. ch. 9, 9A, 40, 56; 16 U.S.C. ch. 32, 32A, 33; 33 U.S.C. ch. 17, 22, 26; 42 U.S.C. ch. 97, 103;

General and special funds—Continued

OPERATIONS, RESEARCH, AND FACILITIES—Continued
(INCLUDING TRANSFER OF FUNDS)—Continued

43 U.S.C. ch. 29; Department of Commerce and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Operations, Research, and Facilities", \$2,750,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (Emergency Supplemental Act, 2002.)

FOREIGN FISHING OBSERVER FUND

For expenses necessary to carry out the provisions of the Atlantic Tunas Convention Act of 1975, as amended (Public Law 96-339), the Magnuson-Stevens Fishery Conservation and Management Act of 1976, as amended (Public Law 100-627), and the American Fisheries Promotion Act (Public Law 96-561), to be derived from the fees imposed under the foreign fishery observer program authorized by these Acts, not to exceed \$191,000, to remain available until expended. (Department of Commerce and Related Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 13-1450-0-1-306	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 National Ocean Service	385	435	385
00.02 National Marine Fisheries Service	627	675	604
00.03 Oceanic and Atmospheric Research	325	377	297
00.04 National Weather Service	644	704	725
00.05 National Environmental Satellite, Data, and Information Service	127	147	151
00.06 Program support	67	156	172
00.07 Facilities	29	21	24
00.08 Fleet maintenance and planning	11	12	12
00.09 Retired pay for NOAA Corps Officers	15	16	1
00.14 Foreign Fishing Observer Fund		2	
00.17 Payments for NOAA Corps Benefits	4	5	6
01.00 Total direct program	2,234	2,550	2,377
Reimbursable program:			
09.01 National Ocean Service	12	60	50
09.02 National Marine Fisheries Service	35	44	38
09.03 Oceanic and Atmospheric Research	36	45	40
09.04 National Weather Service	54	50	45
09.05 National Environmental Satellite, Data and Information Service	16	15	14
09.06 Program support	52	35	31
09.07 NOAA Corps			1
09.99 Total reimbursable program	205	249	219
10.00 Total new obligations	2,439	2,799	2,596
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	133	153	
22.00 New budget authority (gross)	2,448	2,629	2,579
22.10 Resources available from recoveries of prior year obligations	9	17	17
23.90 Total budgetary resources available for obligation	2,590	2,799	2,596
23.95 Total new obligations	-2,439	-2,799	-2,596
24.40 Unobligated balance carried forward, end of year	153		
New budget authority (gross), detail:			
Discretionary:			
Appropriation:			
40.00 Operations, research & facilities	1,987	2,312	2,276
40.00 NOAA Corps retirement	4	5	5
40.15 Appropriation, emergency supp P.L. 107-38		3	
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-5		
42.00 Transferred from other accounts	254	71	78
43.00 Appropriation (total discretionary)	2,240	2,391	2,359
Mandatory:			
60.00 Appropriation	15	16	1
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	200	222	218
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-7		

68.90 Spending authority from offsetting collections (total discretionary)	193	222	218
Mandatory:			
69.00 Offsetting collections (cash)			1
70.00 Total new budget authority (gross)	2,448	2,629	2,579
Change in obligated balances:			
72.40 Obligated balance, start of year	731	969	1,304
73.10 Total new obligations	2,439	2,799	2,596
73.20 Total outlays (gross)	-2,197	-2,447	-2,546
73.45 Recoveries of prior year obligations	-9	-17	-17
74.00 Change in uncollected customer payments from Federal sources (unexpired)	7		
74.40 Obligated balance, end of year	969	1,304	1,336
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1,401	1,729	1,707
86.93 Outlays from discretionary balances	781	702	838
86.97 Outlays from new mandatory authority	15	16	2
87.00 Total outlays (gross)	2,197	2,447	2,546
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-8	-12	-14
88.40 Non-Federal sources	-192	-210	-205
88.90 Total, offsetting collections (cash)	-200	-222	-219
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	7		
Net budget authority and outlays:			
89.00 Budget authority	2,255	2,407	2,360
90.00 Outlays	1,997	2,225	2,327

Note.—Excludes \$57 million in budget authority in 2003 for activities transferred to Research and related activities, National Science Foundation. Comparable amounts for 2001 (\$60 million) and 2002 (\$62 million) are included above.

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	2,194	2,344	2,306
90.00 Outlays	1,936	2,162	2,273

National Ocean Service (NOS).—These programs provide scientific, technical, and management expertise to promote safe navigation; assess the health of coastal and marine resources and respond to natural and human induced threats; monitor and protect the coastal ocean and global environments; and protect and manage the Nation's coastal resources. The role of NOS as leader in coastal stewardship supports many of the recommendations contained in the National Ocean Report "Turning to the Sea: America's Ocean Future." These recommendations help provide the framework for a comprehensive ocean agenda which will guide Federal efforts into the 21st Century. To meet the challenges posed in this report, NOS seeks to maintain for its suite of navigation, response and restoration, and coastal science and management programs. This funding will help strengthen the understanding and protection of our valuable ocean resources, as well as our Nation's economic competitiveness by promoting safe maritime commerce through real-time physical oceanographic data and powerful new digital nautical chart products. NOS will maintain investments in Coastal Zone Management, the National Estuarine Research Reserves, the National Marine Sanctuaries, Coral Reef, and Other Conservation Programs.

National Marine Fisheries Service.—These programs provide for the management and conservation of the Nation's living marine resources and their environment, including marine mammals and endangered species. Through conservation

and wise use, these resources can be managed to benefit the Nation on a sustained basis. Increases are proposed to carry out the legislative mandates of the Magnuson-Stevens Fishery Conservation and Management Act, the Endangered Species Act, and the Marine Mammal Protection Act. These increases will allow NOAA to meet its Strategic Plan goals to build sustainable fisheries, recover protected species and sustain healthy coastal ecosystems.

Office of Oceanic and Atmospheric Research (OAR).—These programs provide the critical environmental research and technology needed to improve NOAA services (weather and air quality warnings and forecasts, solar-terrestrial services, climate predictions, and marine services) to enable the Nation to balance a growing economy with effective management and prediction of our environment and natural resources. To accomplish these goals, OAR supports a network of scientists in its Federal research laboratories, universities, and joint institutes and partnership programs. OAR provides the scientific basis for national policy formulation in key environmental areas e.g., climate change, weather research, air quality, stratospheric ozone depletion, marine biotechnology, aquaculture, and environmental observing technologies. The NOAA-wide programs also funded in OAR are Climate Observations and Services, U.S. Weather Research Program, Ocean Exploration, and High Performance Computing and Communications (HPCC).

National Weather Service.—These programs provide timely and accurate meteorologic, hydrologic, and oceanographic warnings, forecasts, and planning information to ensure the safety of the population, mitigate property losses, and improve the economic efficiency of the Nation. NWS is also responsible for issuing operational climate forecasts for the United States. NWS data and products form a national information database and infrastructure which can be used by other government agencies, the private sector, the public, and the global community. Funding is proposed to support the National Research Council's recommendation to ensure continuity of modeling funding at the National Centers for Environmental Prediction (NCEP) and establish the NOAA–NASA Joint Center for Satellite Data Assimilation. NWS is also planning to begin the replacement of critical components of its Co-Operative Observer Network.

National Environmental Satellite, Data, and Information Service.—These programs provide for operation of environmental polar-orbiting and geostationary satellites; for the collection and archiving of global environmental data and information; and services for distribution to users in commerce, industry, agriculture, science and engineering, the general public and Federal, State and local agencies.

Program support.—These programs provide for overall NOAA management including the NOAA Commissioned Corps, NOAA's share of the regional Administrative Support Centers, and aircraft and marine data acquisition.

Facilities.—This program provides for repair and maintenance to existing facilities; facilities planning and design; and environmental compliance.

Fleet maintenance and planning.—This program provides for the repair and maintenance of vessels, including related equipment to maintain the existing fleet and for the planning of future modernization.

Foreign fishing observer fund.—This fund is financed through collections from foreign vessel owners who fish within the U.S. Exclusive Economic Zone. Collections to the fund are used by the Secretary of Commerce to pay the salaries of observers and program support personnel and the costs of data management and analysis of the observer program. The observers collect scientific information on the foreign catch and monitor compliance with provisions of the Magnuson-Stevens Fishery Conservation and Management Act of 1976 as amended.

Performance measures.—Activities under this account support NOAA's seven goals. Each goal has key supporting performance measures as follows:

Goal: Advance short-term warning and forecast services.

	2001 actual	2002 est.	2003 est.
Tornado Warnings:			
Lead-time (minutes)	10	11	11
Accuracy (percent)	67	69	70
False Alarm Rate (percent)	73	71	70

Goal: Promote safe navigation.

	2001 actual	2002 est.	2003 est.
Percent reduction in the backlog of critical area hydrographic surveys for critical areas	31.2	35.4	38.3

Goal: Implement seasonal to interannual climate forecasts.

	2001 actual	2002 est.	2003 est.
Accuracy of El Nino/Southern oscillation (ENSO) climate forecasts (correlation with actual conditions)85	.85	.85

Goal: Build sustainable fisheries.

	2001 actual	2002 est.	2003 est.
Reduce number of known major overfished stocks from 2000 baseline of 56	TBD	55	55

Goal: Recover protected species.

	2001 actual	2002 est.	2003 est.
Number of endangered species with probability of extinction reduced from baseline of 29	3	6	6

Goal: Sustain healthy coasts.

	2001 actual	2002 est.	2003 est.
Acres of coastal habitat area benefited (cumulative)	116,000	122,000	137,000

A more detailed listing of goals, performance measures, and targets are found in the Commerce Annual Performance Plan.

Object Classification (in millions of dollars)

Identification code 13–1450–0–1–306	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	602	645	662
11.3 Other than full-time permanent	10	11	11
11.5 Other personnel compensation	43	57	57
11.7 Military personnel	16	33	35
11.8 Special personal services payments	8	1	1
11.9 Total personnel compensation	679	747	766
12.1 Civilian personnel benefits	216	218	239
Military personnel benefits:			
12.2 Military personnel benefits	5	6	6
12.2 Military personnel benefits			–16
13.0 Benefits for former personnel	29	20	20
21.0 Travel and transportation of persons	26	22	51
22.0 Transportation of things	10	15	15
23.1 Rental payments to GSA	26	52	53
23.2 Rental payments to others	9	12	12
23.3 Communications, utilities, and miscellaneous charges	54	65	66
24.0 Printing and reproduction	3	7	7
25.1 Advisory and assistance services	71	72	72
25.2 Other services	258	426	195
25.3 Other purchases of goods and services from Government accounts	109	70	70
25.5 Research and development contracts	3	52	52
26.0 Supplies and materials	84	96	98
31.0 Equipment	41	57	58
32.0 Land and structures	1	2	2
41.0 Grants, subsidies, and contributions	610	611	611
99.0 Direct obligations	2,234	2,550	2,377
99.0 Reimbursable obligations	205	249	219
99.9 Total new obligations	2,439	2,799	2,596

Personnel Summary

Identification code 13–1450–0–1–306	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	11,008	11,375	11,578

General and special funds—Continued
FOREIGN FISHING OBSERVER FUND—Continued

Personnel Summary—Continued

Identification code 13-1450-0-1-306	2001 actual	2002 est.	2003 est.
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	920	1,149	1,115

PAYMENTS TO NOAA COMMISSIONED OFFICER CORPS RETIREMENT FUND

Program and Financing (in millions of dollars)

Identification code 13-1464-0-1-306	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Benefits for former personnel (object class 11.3)			20
10.00 Total new obligations			20
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			20
23.95 Total new obligations			-20
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation			20
Change in obligated balances:			
73.10 Total new obligations			20
73.20 Total outlays (gross)			-20
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority			20
Net budget authority and outlays:			
89.00 Budget authority			20
90.00 Outlays			20

The 2003 payment to the NOAA Command Officer Retirement fund includes funds for the amortization of the unfunded liability for all retirement benefits earned by the NOAA Commissioned Officer Corps.

**NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION
COMMISSIONED OFFICER CORPS RETIREMENT FUND**

Unavailable Collections (in millions of dollars)

Identification code 13-5372-0-2-602	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.40 General fund payment			5
02.42 Agency contributions			20
02.99 Total receipts and collections			25
Appropriations:			
05.00 National Oceanic and Atmospheric Administration commissioned officer corps retirement fund			-16
07.99 Balance, end of year			9

Program and Financing (in millions of dollars)

Identification code 13-5372-0-2-602	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program Activity			16
10.00 Total new obligations (object class 11.7)			16
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			16
23.95 Total new obligations			-16

New budget authority (gross), detail:

Mandatory:			
60.20 Appropriation (special fund)			16

Change in obligated balances:

73.10 Total new obligations			16
73.20 Total outlays (gross)			-16

Outlays (gross), detail:

86.97 Outlays from new mandatory authority			16
--	--	--	----

Net budget authority and outlays:

89.00 Budget authority			16
90.00 Outlays			16

The Administration has proposed to establish the National Oceanic and Atmospheric Administration Commissioned Officer Corps Retirement Fund which will be funded on an accrual basis.

PROCUREMENT, ACQUISITION AND CONSTRUCTION

(INCLUDING TRANSFERS OF FUNDS)

For procurement, acquisition and construction of capital assets, including alteration and modification costs, of the National Oceanic and Atmospheric Administration, **[\$836,552,000] \$811,387,460**, to remain available until expended: *Provided*, That unexpended balances of amounts previously made available in the "Operations, Research, and Facilities" account for activities funded under this heading may be transferred to and merged with this account, to remain available until expended for the purposes for which the funds were originally appropriated: *Provided further*, That of the amounts provided for the National Polar-orbiting Operational Environmental Satellite System, funds shall only be made available on a dollar for dollar matching basis with funds provided for the same purpose by the Department of Defense: *Provided further*, That of the amount provided under this heading for expenses necessary to carry out conservation activities defined in section 250(c)(4)(E) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, including funds for the Coastal and Estuarine Land Conservation Program, **[\$58,487,000] \$20,012,000**, to remain available until expended: *Provided further*, That the Secretary **[shall]** *may* establish a Coastal and Estuarine Land Conservation Program, for the purpose of protecting important coastal and estuarine areas that have significant conservation, recreation, ecological, historical, or aesthetic values, or that are threatened by conversion from their natural or recreational state to other uses: *Provided further*, That by September 30, 2002, the Secretary shall issue guidelines for this program delineating the criteria for grant awards: *Provided further*, That the Secretary shall distribute these funds in consultation with the States' Coastal Zone Managers' or Governors' designated representatives based on demonstrated need and ability to successfully leverage funds, and shall give priority to lands which can be effectively managed and protected and which have significant ecological value: *Provided further*, That grants funded under this program shall require a 100 percent match from other sources: *Provided further*, That none of the funds provided in this Act or any other Act under the heading "National Oceanic and Atmospheric Administration, Procurement, Acquisition and Construction" shall be used to fund the General Services Administration's standard construction and tenant build-out costs of a facility at the Suitland Federal Center]. (*Department of Commerce and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 13-1460-0-1-306	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Systems acquisition:			
00.01 NEXRAD	8	8	8
00.02 ASOS	4	5	5
00.03 AWIPS	15	17	16
00.04 Weather and climate super computing	15	15	28
00.06 GOES	272	279	227
00.07 Polar convergence	202	305	361
00.08 Radiosonde replacement	5	5	7
00.09 Research supercomputing	4	8	7

00.10	CLASS	2	4	4
00.11	Commerce administrative management system	19	19	17
00.12	CIP/NWS telecommunication back-up	10	7
00.13	NESDIS-CIP	3
Construction:				
00.15	WFO construction/Huntsville WFO	11	15	11
00.16	NERRS acquisition and construction	18	39	10
00.17	Marine sanctuary construction	5	18	10
00.18	Other NOS facilities	8	31
00.19	NMFS construction	5	59	17
00.20	OAR construction	44	11
00.21	NESDIS construction	5	18	13
00.23	Fleet replacement	9	69	54
00.24	FBF transfer for Norman,OK	8
00.32	Coastal and estuarine land conservation program/ COA	58	17
00.33	EOS and advance polar data processing, distribution archiving systems	3
00.34	Coastal remote sensing	6
00.35	G-IV instrumentation upgrades	8
10.00	Total new obligations	719	957	815

Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	75	108
22.00	New budget authority (gross)	751	846	812
22.10	Resources available from recoveries of prior year obligations	3	3
23.90	Total budgetary resources available for obligation	826	957	815
23.95	Total new obligations	-719	-957	-815
24.40	Unobligated balance carried forward, end of year	108

New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	684	838	812
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)	-1
42.00	Transferred from other accounts	68	8
43.00	Appropriation (total discretionary)	751	846	812

Change in obligated balances:				
72.40	Obligated balance, start of year	336	453	802
73.10	Total new obligations	719	957	815
73.20	Total outlays (gross)	-602	-605	-740
73.45	Recoveries of prior year obligations	-3	-3
74.40	Obligated balance, end of year	453	802	874

Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	277	296	285
86.93	Outlays from discretionary balances	326	309	455
87.00	Total outlays (gross)	602	605	740

Net budget authority and outlays:				
89.00	Budget authority	751	846	812
90.00	Outlays	602	605	740

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

		2001 actual	2002 est.	2003 est.
Net budget authority and outlays:				
89.00	Budget authority	750	845	811
90.00	Outlays	601	604	739

The projects included in this account support NOAA's operational mission across all line offices. Funding is proposed for the National Estuarine Research Reserves Systems Construction and the National Marine Sanctuaries Construction program. Increases are proposed for the following: Suitland satellite operations, facility operations, facility construction, a satellite data processing backup system, a coastal remote sensing imager for GOES and for the Department of Commerce's continued participation in the tri-agency converged polar satellite program. Increases are also proposed for the Radiosonde Network Replacement Weather and Climate Super Computer, to increase the primary systems capacity and for a backup system for the NWS telecommunications center. Funds are also requested to repair the WHITING,

construct a fisheries research vessel, and upgrade instrumentation on NOAA's G-IV aircraft.

Object Classification (in millions of dollars)

Identification code 13-1460-0-1-306				
		2001 actual	2002 est.	2003 est.
11.1	Personnel compensation: Full-time permanent	18	10	10
12.1	Civilian personnel benefits	5	3	3
13.0	Benefits for former personnel	1	1
21.0	Travel and transportation of persons	3	3	3
23.1	Rental payments to GSA	4	5	7
23.2	Rental payments to others	1	5	1
23.3	Communications, utilities, and miscellaneous charges	1	1	8
25.1	Advisory and assistance services	32	32	33
25.2	Other services	82	150	210
25.3	Other purchases of goods and services from Government accounts	388	497	305
25.5	Research and development contracts	4	2	2
26.0	Supplies and materials	5	5	7
31.0	Equipment	86	105	89
32.0	Land and structures	6	9	26
41.0	Grants, subsidies, and contributions	84	129	110
99.9	Total new obligations	719	957	815

Personnel Summary

Identification code 13-1460-0-1-306				
		2001 actual	2002 est.	2003 est.
1001	Total compensable workyears: Full-time equivalent employment	227	190	190

LIMITED ACCESS SYSTEM ADMINISTRATION FUND

Unavailable Collections (in millions of dollars)

Identification code 13-5284-0-2-306				
		2001 actual	2002 est.	2003 est.
01.99	Balance, start of year
Receipts:				
02.00	Permit title registration fees	3
Appropriations:				
05.00	Limited access system administ	-3
07.99	Balance, end of year

Program and Financing (in millions of dollars)

Identification code 13-5284-0-2-306				
		2001 actual	2002 est.	2003 est.
Obligations by program activity:				
00.01	Direct Program Activity	2	1
10.00	Total new obligations (object class 41.0)	2	1

Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	1
22.00	New budget authority (gross)	3
23.90	Total budgetary resources available for obligation	3	1
23.95	Total new obligations	-2	-1
24.40	Unobligated balance carried forward, end of year	1

New budget authority (gross), detail:				
Mandatory:				
60.20	Appropriation (special fund)	3

Change in obligated balances:				
72.40	Obligated balance, start of year	1	1
73.10	Total new obligations	2	1
73.20	Total outlays (gross)	-2	-1
74.40	Obligated balance, end of year	1	1	1

Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	2
86.98	Outlays from mandatory balances	1
87.00	Total outlays (gross)	2	1

Net budget authority and outlays:				
89.00	Budget authority	3
90.00	Outlays	2	1

General and special funds—Continued

LIMITED ACCESS SYSTEM ADMINISTRATION FUND—Continued

This fund was established by Title III of P.L. 104–297, Fee collections equaling no more than one-half percent of the proceeds from the sale or transfer of limited access system permits are deposited into the Fund. These deposits to the Fund are used to administer an exclusive central registry system for the limited access system permits.

PACIFIC COASTAL SALMON RECOVERY

For necessary expenses associated with the restoration of Pacific salmon populations and the implementation of the 1999 Pacific Salmon Treaty Agreement between the United States and Canada, **[\$110,000,000] \$90,000,000, to remain available until September 30, 2004: Provided,** That this amount shall be for the conservation activities defined in section 250(c)(4)(E) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

In addition, for implementation of the 1999 Pacific Salmon Treaty Agreement, **[\$47,419,000] \$20,000,000, to remain available until September 30, 2004,** of which **[\$20,000,000] \$10,000,000** shall be deposited in the Northern Boundary and Transboundary Rivers Restoration and Enhancement Fund, and of which **[\$20,000,000] \$10,000,000** shall be deposited in the Southern Boundary Restoration and Enhancement Fund, of which \$5,419,000 shall be for a final direct payment to the State of Washington for obligations under the 1999 Pacific Salmon Treaty Agreement, and of which \$2,000,000 is for the Pacific Salmon Commission: *Provided,* That this amount shall be for the conservation activities defined in section 250(c)(4)(E) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended. (*Department of Commerce and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 13–1451–0–1–306	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 State of Washington	30	41	30
00.02 State of Alaska	18	27	20
00.03 State of Oregon	15	17	15
00.04 State of California	15	17	15
00.05 Columbia River Tribes	2	4	3
00.06 Pacific Coastal Tribes	8	11	7
00.07 Northern Transboundary Fund	10	20	10
00.08 Southern Transboundary Fund	10	20	10
00.09 Pacific Salmon Commission		2	
10.00 Total new obligations (object class 41.0)	108	159	110
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		2	
22.00 New budget authority (gross)	110	157	110
23.90 Total budgetary resources available for obligation	110	159	110
23.95 Total new obligations	–108	–159	–110
24.40 Unobligated balance carried forward, end of year	2		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	74	157	110
42.00 Transferred from other accounts	36		
43.00 Appropriation (total discretionary)	110	157	110
Change in obligated balances:			
72.40 Obligated balance, start of year	57	130	
73.10 Total new obligations	108	159	110
73.20 Total outlays (gross)	–35	–290	–110
74.40 Obligated balance, end of year	130		
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	35	157	110
86.93 Outlays from discretionary balances		132	
87.00 Total outlays (gross)	35	290	110
Net budget authority and outlays:			
89.00 Budget authority	110	157	110
90.00 Outlays	35	290	110

This account funds Pacific Coastal Salmon Recovery for the purpose of helping share the costs of State, Tribal and local conservation initiatives. This account supports NOAA's contribution to a broad interdepartmental initiative bolstering and deploying existing and new Federal capabilities to assist in the conservation of at-risk Pacific salmon runs in the western States of California, Oregon, Washington, and Alaska. Federal dollars to the States would be matched with 25 percent State and local funds. In addition, funds would be available to coastal tribes (not to exceed 10 percent) that do not require matching dollars. The account has been established under existing authorities by the Secretary of Commerce and made available through agreements with the Governors of each of the four States for distribution to assist State, Tribal and local conservation efforts. The Secretary will establish terms and conditions for the effective use of the funds and specific reporting requirements appropriate for ensuring full accountability of the available funds to meet the purpose of the account. Funds are also requested to provide for continued implementation of the Pacific Salmon Agreement. This request will provide funds to capitalize the Southern Boundary Restoration and Enhancement Fund and the Northern Boundary and Transboundary Restoration and Enhancement Fund plus provide grants to the states of Washington and Alaska. The two endowment funds are administered jointly by the United States and Canada to invest in habitat, stock enhancement, science, and salmon management initiatives in both countries.

COASTAL IMPACT ASSISTANCE

Program and Financing (in millions of dollars)

Identification code 13–1462–0–1–302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)		150	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		150	
22.00 New budget authority (gross)	150		
23.90 Total budgetary resources available for obligation	150	150	
23.95 Total new obligations		–150	
24.40 Unobligated balance carried forward, end of year	150		
New budget authority (gross), detail:			
Discretionary:			
42.00 Transferred from other accounts	150		
Change in obligated balances:			
72.40 Obligated balance, start of year			76
73.10 Total new obligations		150	
73.20 Total outlays (gross)		–74	–60
74.40 Obligated balance, end of year		76	16
Outlays (gross), detail:			
86.93 Outlays from discretionary balances		74	60
Net budget authority and outlays:			
89.00 Budget authority	150		
90.00 Outlays		74	60

No funds for this account are proposed in 2003.

COASTAL AND OCEAN ACTIVITIES

Program and Financing (in millions of dollars)

Identification code 13–1463–0–1–306	2001 actual	2002 est.	2003 est.
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	420		
41.00 Transferred from other accounts	–420		

43.00	Appropriation (total discretionary)			
Net budget authority and outlays:				
89.00	Budget authority			
90.00	Outlays			

No funds for this account are proposed in 2003.

**PROMOTE AND DEVELOP FISHERY PRODUCTS AND RESEARCH
PERTAINING TO AMERICAN FISHERIES**

Program and Financing (in millions of dollars)

Identification code 13-5139-0-2-376	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
10.00	Total new obligations	1	15	4
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	1	4	
22.00	New budget authority (gross)	5	11	4
23.90	Total budgetary resources available for obligation	6	15	4
23.95	Total new obligations	-1	-15	-4
24.40	Unobligated balance carried forward, end of year	4		
New budget authority (gross), detail:				
Discretionary:				
41.00	Transferred to other accounts	-68	-68	-75
Mandatory:				
62.00	Transferred from other accounts	73	79	79
70.00	Total new budget authority (gross)	5	11	4
Change in obligated balances:				
72.40	Obligated balance, start of year	4	2	4
73.10	Total new obligations	1	15	4
73.20	Total outlays (gross)	-3	-11	-8
74.40	Obligated balance, end of year	2	4	2
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	2	7	3
86.98	Outlays from mandatory balances	1	4	5
87.00	Total outlays (gross)	3	11	8
Net budget authority and outlays:				
89.00	Budget authority	5	11	4
90.00	Outlays	3	11	8

An amount equal to 30 percent of the gross receipts from customs duties on imported fishery products is transferred to the Department of Commerce annually from the U.S. Department of Agriculture.

The American Fisheries Promotion Act (AFPA) of 1980 authorized a grants program for fisheries research and development projects to be carried out with Saltonstall-Kennedy (S-K) funds. These funds are used to enhance the productivity and improve the sustainable yield of domestic marine fisheries resources.

Object Classification (in millions of dollars)

Identification code 13-5139-0-2-376	2001 actual	2002 est.	2003 est.	
25.2	Other services	1	2	1
41.0	Grants, subsidies, and contributions		13	3
99.9	Total new obligations	1	15	4

Personnel Summary

Identification code 13-5139-0-2-376	2001 actual	2002 est.	2003 est.	
1001	Total compensable workyears: Full-time equivalent employment	4	4	4

FISHERMEN'S CONTINGENCY FUND

For carrying out the provisions of title IV of Public Law 95-372, not to exceed **[\$952,000] \$954,000**, to be derived from receipts collected pursuant to that Act, to remain available until expended. (*Department of Commerce and Related Agencies Appropriations Act, 2002.*)

Unavailable Collections (in millions of dollars)

Identification code 13-5120-0-2-376	2001 actual	2002 est.	2003 est.	
01.99	Balance, start of year	2	2	2
Receipts:				
02.00	Fees, Fishermen's contingency fund	1	1	1
04.00	Total: Balances and collections	3	3	3
Appropriations:				
05.00	Fishermen's contingency fund	-1	-1	-1
07.99	Balance, end of year	2	2	2

Program and Financing (in millions of dollars)

Identification code 13-5120-0-2-376	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
10.00	Total new obligations (object class 42.0)	2	3	1
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	1	2	
22.00	New budget authority (gross)	1	1	1
23.90	Total budgetary resources available for obligation	2	3	1
23.95	Total new obligations	-2	-3	-1
24.40	Unobligated balance carried forward, end of year	2		
New budget authority (gross), detail:				
Discretionary:				
40.20	Appropriation (special fund)	1	1	1
Change in obligated balances:				
73.10	Total new obligations	2	3	1
73.20	Total outlays (gross)		-3	-1
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority		1	1
86.93	Outlays from discretionary balances		2	
87.00	Total outlays (gross)		3	1
Net budget authority and outlays:				
89.00	Budget authority	1	1	1
90.00	Outlays		3	1
Memorandum (non-add) entries:				
92.01	Total investments, start of year: Federal securities: Par value	1	2	
92.02	Total investments, end of year: Federal securities: Par value	2		

This program provides compensation to commercial fishermen for damages to or loss of fishing gear, including economic loss, related to oil and gas exploration, development, and production on the Outer Continental Shelf. The fund is supported by assessments to holders of leases, permits, easements, and rights of way in areas of the Outer Continental Shelf.

Personnel Summary

Identification code 13-5120-0-2-376	2001 actual	2002 est.	2003 est.	
1001	Total compensable workyears: Full-time equivalent employment	1	1	1

ENVIRONMENTAL IMPROVEMENT AND RESTORATION FUND

Unavailable Collections (in millions of dollars)

Identification code 13-5362-0-2-302	2001 actual	2002 est.	2003 est.	
01.99	Balance, start of year	2	10	10

General and special funds—Continued

ENVIRONMENTAL IMPROVEMENT AND RESTORATION FUND—Continued

Unavailable Collections (in millions of dollars)—Continued

Identification code 13-5362-0-2-302	2001 actual	2002 est.	2003 est.
Receipts:			
02.40 Interest earned	10	21	11
04.00 Total: Balances and collections	12	31	21
Appropriations:			
05.00 Environmental improvement and restoration fund	-2	-21	-11
07.99 Balance, end of year	10	10	10

Program and Financing (in millions of dollars)

Identification code 13-5362-0-2-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)		23	11
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		2	
22.00 New budget authority (gross)	2	21	11
23.90 Total budgetary resources available for obligation	2	23	11
23.95 Total new obligations		-23	-11
24.40 Unobligated balance carried forward, end of year	2		
New budget authority (gross), detail:			
Mandatory:			
60.20 Appropriation (special fund)	2	21	11
Change in obligated balances:			
73.10 Total new obligations		23	11
73.20 Total outlays (gross)		-23	-11
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority		21	11
86.98 Outlays from mandatory balances		2	
87.00 Total outlays (gross)		23	11
Net budget authority and outlays:			
89.00 Budget authority	2	21	11
90.00 Outlays		23	11

This fund was established by Title IV of P.L. 105-83. Twenty percent of the interest earned from this fund is made available to the Department of Commerce. Funds are to be used by Federal, State, private or foreign organizations or individuals to conduct research activities on or relating to the fisheries or marine ecosystems in the north Pacific Ocean, Bering Sea, and Arctic Ocean. Research priorities and grant requests are reviewed and approved by the North Pacific Research Board with emphasis placed on cooperative research efforts designed to address pressing fishery management or marine ecosystem information needs.

COASTAL ZONE MANAGEMENT FUND

Of amounts collected pursuant to section 308 of the Coastal Zone Management Act of 1972 (16 U.S.C. 1456a), not to exceed \$3,000,000 shall be transferred to the "Operations, Research, and Facilities" account to offset the costs of implementing such Act. (*Department of Commerce and Related Agencies Appropriations Act, 2002.*)

Unavailable Collections (in millions of dollars)

Identification code 13-4313-0-3-306	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	1	3	3
Receipts:			
02.80 Coastal zone management fund, offsetting collections	5	3	3
04.00 Total: Balances and collections	6	6	6
Appropriations:			
05.00 Coastal zone management fund	-3	-3	-3

05.99 Total appropriations	-3	-3	-3
07.99 Balance, end of year	3	3	3

Program and Financing (in millions of dollars)

Identification code 13-4313-0-3-306	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	3		
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	4	4
22.00 New budget authority (gross)	3		
23.90 Total budgetary resources available for obligation	5	4	4
23.95 Total new obligations	-3		
24.40 Unobligated balance carried forward, end of year	4	4	4
New budget authority (gross), detail:			
Discretionary:			
41.00 Transferred to other accounts		-3	-3
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	5	3	3
68.45 Portion precluded from obligation (limitation on obligations)	-2		
68.90 Spending authority from offsetting collections (total discretionary)	3	3	3
70.00 Total new budget authority (gross)	3		
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	1
73.10 Total new obligations	3		
73.20 Total outlays (gross)	-3		
74.40 Obligated balance, end of year	1	1	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2		
86.93 Outlays from discretionary balances	1		
87.00 Total outlays (gross)	3		
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-5	-3	-3
Net budget authority and outlays:			
89.00 Budget authority	-2	-3	-3
90.00 Outlays	-2	-3	-3

This fund was established by the Coastal Zone Act Reauthorization Amendments of 1990 (CZARA). The fund consists of loan repayments from the former Coastal Energy Impact Program. The proceeds are to be used to offset the Operations, Research, and Facilities account for the costs of implementing the Coastal Zone Management Act of 1972, as amended.

Object Classification (in millions of dollars)

Identification code 13-4313-0-3-306	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	2		
41.0 Grants, subsidies, and contributions	1		
99.9 Total new obligations	3		

Personnel Summary

Identification code 13-4313-0-3-306	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	23		

DAMAGE ASSESSMENT AND RESTORATION REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 13-4316-0-3-306	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)	8	25	5
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	21	21	
22.00 New budget authority (gross)	5	2	2
22.22 Unobligated balance transferred from other accounts	3	2	3
23.90 Total budgetary resources available for obligation	29	25	5
23.95 Total new obligations	-8	-25	-5
24.40 Unobligated balance carried forward, end of year	21		
New budget authority (gross), detail:			
Mandatory:			
62.00 Transferred from other accounts	1		
69.00 Offsetting collections (cash)	4	2	2
70.00 Total new budget authority (gross)	5	2	2
Change in obligated balances:			
72.40 Obligated balance, start of year	4	6	
73.10 Total new obligations	8	25	5
73.20 Total outlays (gross)	-6	-31	-5
74.40 Obligated balance, end of year	6		
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	2	2	2
86.98 Outlays from mandatory balances	5	29	3
87.00 Total outlays (gross)	6	31	5
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-4	-2	-2
Net budget authority and outlays:			
89.00 Budget authority	1		
90.00 Outlays	2	29	3

The Oil Pollution Act of 1990 stipulates that sums recovered from awards or settlements for natural resource damages to NOAA trust resources shall be retained in a revolving trust account to permit NOAA to carry out (1) oil and hazardous materials contingency planning and response, (2) natural resource damage assessment, and (3) restoration or replacement of injured or lost natural resources. For a comprehensive description of the Prince William Sound Restoration Program, refer to the U.S. Fish and Wildlife Service's Natural Resource Damage Assessment account. The 2002 and 2003 estimates transferred from other accounts are preliminary and subject to change. NOAA will utilize funds transferred to this account to respond to hazardous materials spills in the coastal and marine environments, by conducting damage assessments, providing scientific support during litigation, and using recovered damages to restore injured resources.

Personnel Summary

Identification code 13-4316-0-3-306	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	15	15	15

Credit accounts:

FISHERIES FINANCE PROGRAM ACCOUNT

For the cost of direct loans, \$287,000, as authorized by the Merchant Marine Act of 1936, as amended: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*,

That these funds are available to subsidize gross obligations for the principal amount of direct loans not to exceed \$5,000,000 for Individual Fishing Quota loans, and not to exceed \$19,000,000 for Traditional direct loans: Provided further, That none of the funds made available under this heading may be used for direct loans for any new fishing vessel that will increase the harvesting capacity in any United States fishery. From unobligated balances of the Bering Sea and Aleutian Island Crab Buyback Program, \$343,900 is rescinded. (Department of Commerce and Related Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 13-1456-0-1-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.05 Direct loan upward reestimate		1	
00.07 Reestimates of guaranteed loan subsidy	2		
00.08 Interest on reestimates of guaranteed loan subsidy	1		
10.00 Total new obligations (object class 25.2)	3	1	
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	3	1	
22.10 Resources available from recoveries of prior year obligations	2		
23.90 Total budgetary resources available for obligation	5	1	
23.95 Total new obligations	-3	-1	
New budget authority (gross), detail:			
Discretionary:			
50.00 Reappropriation	1		
Mandatory:			
60.00 Appropriation	2	1	
70.00 Total new budget authority (gross)	3	1	
Change in obligated balances:			
72.40 Obligated balance, start of year	4	1	
73.10 Total new obligations	3	1	
73.20 Total outlays (gross)	-4	-2	
73.45 Recoveries of prior year obligations	-2		
74.40 Obligated balance, end of year	1		
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1		
86.93 Outlays from discretionary balances	1		
86.97 Outlays from new mandatory authority	2	1	
87.00 Total outlays (gross)	4	2	
Net budget authority and outlays:			
89.00 Budget authority	3	1	
90.00 Outlays	4	2	

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 13-1456-0-1-376	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:			
115001 IFQ loans	5	5	5
115002 Traditional loan program	19	19	19
115003 Buyback loans	50		
115901 Total direct loan levels	74	24	24
Direct loan subsidy (in percent):			
132001 IFQ loans	2.00	0.26	-12.03
132002 Traditional loan program	1.00	-15.65	-11.89
132003 Buyback loans	0.31	0.00	0.00
132901 Weighted average subsidy rate	0.00	-12.50	-12.50
Direct loan subsidy budget authority:			
133001 IFQ loans			-1
133002 Traditional loan program		-3	-2
133003 Buyback loans			
133901 Total subsidy budget authority		-3	-3
Direct loan subsidy outlays:			
134001 IFQ loans			
134002 Traditional loan program		-1	-1
134003 Buyback loans			

Credit accounts—Continued**FISHERIES FINANCE PROGRAM ACCOUNT—Continued****Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)—Continued**

Identification code 13-1456-0-1-376	2001 actual	2002 est.	2003 est.
134901 Total subsidy outlays		-1	-1
Direct loan upward reestimate subsidy budget authority:			
135004 Direct loan upward reestimate		1	
135901 Total upward reestimate budget authority		1	
Direct loan upward reestimate subsidy outlays:			
136004 Upward reestimates subsidy outlays		1	
136901 Total upward reestimate outlays		1	
Direct loan downward reestimate subsidy budget authority:			
137004 Direct loan downward reestimate	-21	-2	
137901 Total downward reestimate budget authority	-21	-2	
Direct loan downward reestimate subsidy outlays:			
138004 Downward reestimates subsidy outlays	-21	-2	
138901 Total downward reestimate subsidy outlays	-21	-2	
Guaranteed loan upward reestimate subsidy budget authority:			
235001 Guarantee upward reestimate	3		
235901 Total upward reestimate budget authority	3		
Guaranteed loan upward reestimate subsidy outlays:			
236001 Guarantee upward reestimate	3		
236901 Total upward reestimate subsidy outlays	3		
Guaranteed loan downward reestimate subsidy budget authority:			
237001 Guarantee downward reestimate	-6	-1	
237901 Total downward reestimate subsidy budget authority	-6	-1	
Guaranteed loan downward reestimate subsidy outlays:			
238001 Guarantee downward reestimate	-6	-1	
238901 Total downward reestimate subsidy outlays	-6	-1	
Administrative expense data:			
351001 Budget authority			
358001 Outlays from balances	1		
359001 Outlays from new authority			

This account covers the subsidy costs of guaranteed loans (pre-1997) and direct loans (post-1996) obligated or committed subsequent to October 1, 1991, as authorized by the Merchant Marine Act of 1936 as amended.

FISHERIES FINANCE, DIRECT LOAN FINANCING ACCOUNT**Program and Financing (in millions of dollars)**

Identification code 13-4324-0-3-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct loans	74	24	24
00.02 Interest payment to Treasury	11	11	13
00.91 Subtotal	85	35	37
08.01 Negative subsidy		3	7
08.02 Downward reestimate	19	2	
08.04 Interest on downward reestimate	2		
08.91 Subtotal	21	5	7
10.00 Total new obligations	106	40	44
Budgetary resources available for obligation:			
22.00 New financing authority (gross)	114	53	53
22.10 Resources available from recoveries of prior year obligations	118		
22.60 Portion applied to repay debt	-10	-15	-9
22.70 Balance of authority to borrow withdrawn	-116		
23.90 Total budgetary resources available for obligation	106	38	44
23.95 Total new obligations	-106	-40	-44

New financing authority (gross), detail:

Mandatory:			
67.10 Authority to borrow	94	27	31
69.00 Offsetting collections (cash)	21	26	22
69.10 Change in uncollected customer payments from Federal sources (unexpired)	-1		
69.90 Spending authority from offsetting collections (total mandatory)	20	26	22
70.00 Total new financing authority (gross)	114	53	53
Change in obligated balances:			
72.40 Obligated balance, start of year	184	114	117
73.10 Total new obligations	106	40	44
73.20 Total financing disbursements (gross)	-59	-37	-85
73.45 Recoveries of prior year obligations	-118		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	1		
74.40 Obligated balance, end of year	114	117	76
87.00 Total financing disbursements (gross)	59	37	85

Offsets:

Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Payments from program account		-1	
88.25 Interest on uninvested funds	-3	-2	-2
Non-Federal sources:			
88.40 Repayments of principal, net	-8	-10	-8
88.40 Interest Received on loans	-10	-13	-12
88.40 Other income			
88.90 Total, offsetting collections (cash)	-21	-26	-22
Against gross financing authority only:			
88.95 Change in receivables from program accounts	1		

Net financing authority and financing disbursements:

89.00 Financing authority	94	27	31
90.00 Financing disbursements	38	11	63

Status of Direct Loans (in millions of dollars)

Identification code 13-4324-0-3-376	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans	74	24	24
1150 Total direct loan obligations	74	24	24
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	137	161	175
1231 Disbursements: Direct loan disbursements	24	24	74
1251 Repayments: Repayments and prepayments	-8	-10	-8
1264 Write-offs for default: Other adjustments, net	8		
1290 Outstanding, end of year	161	175	241

This account covers the financing of direct loans as authorized by the Magnuson-Stevens Fishery Conservation and Management Act. Funds are not used for purposes that would contribute to the overcapitalization of the fishing industry.

Balance Sheet (in millions of dollars)

Identification code 13-4324-0-3-376	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	17	16	4	4
Investments in US securities:				
1106 Federal Receivables, net	9			
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	129	148	161	220
1402 Interest receivable	6	1	1	1
1405 Allowance for subsidy cost (-)	6	24	27	27
1499 Net present value of assets related to direct loans	141	173	189	248
1999 Total assets	167	189	193	252
LIABILITIES:				
Federal liabilities:				
2101 Accounts payable	22	7	1	

2103	Federal liabilities, debt	145	182	192	252
2999	Total liabilities	167	189	193	252
NET POSITION:					
3300	Cumulative results of operations				
3999	Total net position				
4999	Total liabilities and net position	167	189	193	252

FISHERIES FINANCE, GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 13-4314-0-3-376	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Loan default costs	1	1	1
00.02	Interest payments to Treasury	2	2	2
00.91	Direct Program by Activities—Subtotal (1 level)	3	3	3
08.02	Downward reestimate	5	1	
08.04	Interest on downward reestimate	1		
08.91	Direct Program by Activities—Subtotal (1 level)	6	1	
10.00	Total new obligations	9	4	3
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	2	3	3
22.00	New financing authority (gross)	9	13	9
22.10	Resources available from recoveries of prior year obligations	4		
22.60	Portion applied to repay debt	-1	-9	-5
22.70	Balance of authority to borrow withdrawn	-2		
23.90	Total budgetary resources available for obligation	12	7	7
23.95	Total new obligations	-9	-4	-3
24.40	Unobligated balance carried forward, end of year	3	3	4
New financing authority (gross), detail:				
Mandatory:				
67.10	Authority to borrow	5	9	5
69.00	Offsetting collections (cash)	5	4	4
69.10	Change in uncollected customer payments from Federal sources (unexpired)	-1		
69.90	Spending authority from offsetting collections (total mandatory)	4	4	4
70.00	Total new financing authority (gross)	9	13	9
Change in obligated balances:				
72.40	Obligated balance, start of year	3		-3
73.10	Total new obligations	9	4	3
73.20	Total financing disbursements (gross)	-9	-7	-7
73.45	Recoveries of prior year obligations	-4		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	1		
74.40	Obligated balance, end of year		-3	-7
87.00	Total financing disbursements (gross)	9	7	7
Offsets:				
Against gross financing authority and financing disbursements:				
Offsetting collections (cash) from:				
88.00	Federal sources: Payments from program account	-3		
88.25	Interest on uninvested funds		-1	-1
Non-Federal sources:				
88.40	Repayments of principal, net	-1	-1	-1
88.40	Non-Federal sources	-1	-1	-1
88.40	Non-Federal sources		-1	-1
88.90	Total, offsetting collections (cash)	-5	-4	-4
Against gross financing authority only:				
88.95	Change in receivables from program accounts	1		
Net financing authority and financing disbursements:				
89.00	Financing authority	5	9	5
90.00	Financing disbursements	3	3	3

Status of Guaranteed Loans (in millions of dollars)

Identification code 13-4314-0-3-376	2001 actual	2002 est.	2003 est.	
Position with respect to appropriations act limitation on commitments:				
2111	Limitation on guaranteed loans made by private lenders			
2150	Total guaranteed loan commitments			
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	62	51	40
2251	Repayments and prepayments	-10	-10	-10
2261	Adjustments: Terminations for default that result in loans receivable	-1	-1	-1
2290	Outstanding, end of year	51	40	29
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	51	40	29
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year	12	13	10
2331	Disbursements for guaranteed loan claims	1	1	1
2351	Repayments of loans receivable		-4	-4
2390	Outstanding, end of year	13	10	7

This account covers the financing of guaranteed loans obligated or committed subsequent to October 1, 1991 as authorized by the Merchant Marine Act of 1936 as amended. Funds are not used for purposes which would contribute to the overcapitalization of the fishing industry.

Balance Sheet (in millions of dollars)

Identification code 13-4314-0-3-376	2000 actual	2001 actual	2002 est.	2003 est.	
ASSETS:					
Federal assets:					
1101	Fund balances with Treasury	3	3	2	2
Investments in US securities:					
1106	Receivables, net	2			
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:					
1501	Defaulted guaranteed loans receivable, gross	12	13	10	7
1504	Foreclosed property related to default guarantee	3	3	3	3
1505	Allowance for subsidy cost (-)		-4	-2	-2
1599	Net present value of assets related to defaulted guaranteed loans	15	12	11	8
1999	Total assets	20	15	13	10
LIABILITIES:					
Federal liabilities:					
2101	Accounts payable	6	1	2	2
2103	Debt	10	14	11	8
2204	Non-Federal liabilities: Liabilities for loan guarantees	4			
2999	Total liabilities	20	15	13	10
4999	Total liabilities and net position	20	15	13	10

FEDERAL SHIP FINANCING FUND, FISHING VESSELS LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 13-4417-0-3-376	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Direct Program Activity	3		
10.00	Total new obligations (object class 33.0)	3		

Credit accounts—Continued**FEDERAL SHIP FINANCING FUND, FISHING VESSELS LIQUIDATING
ACCOUNT—Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 13-4417-0-3-376	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	24	3	
22.00 New budget authority (gross)	6		
22.40 Capital transfer to general fund	-24	-3	
23.90 Total budgetary resources available for obligation	6		
23.95 Total new obligations	-3		
24.40 Unobligated balance carried forward, end of year	3		
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	2		
69.00 Offsetting collections (cash)	4		
70.00 Total new budget authority (gross)	6		
Change in obligated balances:			
73.10 Total new obligations	3		
73.20 Total outlays (gross)	-3		
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	1		
86.98 Outlays from mandatory balances	1		
87.00 Total outlays (gross)	3		
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-4		
Net budget authority and outlays:			
89.00 Budget authority	2		
90.00 Outlays	-1		
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value	3		
92.02 Total investments, end of year: Federal securities: Par value			

Status of Guaranteed Loans (in millions of dollars)

Identification code 13-4417-0-3-376	2001 actual	2002 est.	2003 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	43	39	35
2251 Repayments and prepayments	-4	-4	-3
2290 Outstanding, end of year	39	35	32
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	39	35	32
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	14	12	10
2351 Repayments of loans receivable	-2	-2	-2
2390 Outstanding, end of year	12	10	8

Premiums and fees collected under the Fishing Vessel Obligations Guarantee program for loan commitments made prior to October 1, 1991 are deposited in this fund for operations of this program, loans, and for use in case of default. Proceeds from the sale of collateral also are deposited in the fund for defaults on loans committed prior to October 1, 1991 (46 U.S.C. 1272, 1273(f), and 1274).

Statement of Operations (in millions of dollars)

Identification code 13-4417-0-3-376	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	5	1	1	1

0102 Expense	-5	-1	-1	-1
0105 Net income or loss (-)				

Balance Sheet (in millions of dollars)

Identification code 13-4417-0-3-376	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	22			
1102 Investments in US securities: Investments, Net	3			
1701 Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable: Defaulted guaranteed loans, gross	14			
1999 Total assets	39			
LIABILITIES:				
2104 Federal liabilities: Resources payable to Treasury	39			
2999 Total liabilities	39			
4999 Total liabilities and net position	39			

NORTH PACIFIC MARINE RESEARCH INSTITUTE FUND**Program and Financing (in millions of dollars)**

Identification code 13-8220-0-7-306	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	5		
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	5		
23.95 Total new obligations	-5		
Change in obligated balances:			
72.40 Obligated balance, start of year		5	
73.10 Total new obligations	5		
73.20 Total outlays (gross)		-5	
74.40 Obligated balance, end of year	5		
Outlays (gross), detail:			
86.93 Outlays from discretionary balances		5	
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays		5	

The North Pacific Marine Research Institute Fund was created by Section 2204 of P.L. 106-246. Funds are to be administered by the North Pacific Research Board to conduct research and carry out education and demonstration projects relating to the North Pacific main ecosystem. The emphasis of these projects is on marine mammals, sea birds, fish and shellfish populations in the Bering Sea and Gulf of Alaska and near the Alaska Marine National Wildlife Refuge. These funds are being used to cover the lease, maintenance, and operation costs and to upgrade research equipment for the Alaska Sea Life Center.

**UNITED STATES PATENT AND TRADEMARK
OFFICE****Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For necessary expenses of the United States Patent and Trademark Office provided for by law, including defense of suits instituted against the Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office,

【\$843,701,000】 \$1,364,908,066, to remain available until expended, which amount shall be derived from offsetting collections assessed and collected pursuant to 15 U.S.C. 1113 and 35 U.S.C. 41 and 376, and shall be retained and used for necessary expenses in this appropriation: *Provided*, That [the sum herein appropriated from the general fund shall be reduced as such offsetting collections are received during fiscal year 2002, so as to result in a fiscal year 2002 appropriation from the general fund estimated at \$0: *Provided further*], That during fiscal year [2002] 2003, should the total amount of offsetting fee collections be less than [【\$843,701,000】 \$1,264,908,066, the total amounts available to the United States Patent and Trademark Office shall be reduced accordingly: *Provided further*, That an additional amount not to exceed [【\$282,300,000】 \$100,000,000 from fees collected in prior fiscal years shall be available for obligation in fiscal year [2002] 2003, to remain available until expended: *Provided further*, That there shall be a surcharge of 19.3 percent, rounded by standard arithmetic rules, on all fees authorized by 35 U.S.C. 41(a) and (b) and a surcharge of 10.3 percent, rounded in the same manner, on those fees authorized by 15 U.S.C. 1113 that are implemented by 37 C.F.R. 2.6(a)(l),(5) and (12): *Provided further*, That these surcharges shall be effective on October 1, 2002 and shall expire on September 30, 2003: *Provided further*, That the receipts collected as a result of these surcharges shall be available to the United States Patent and Trademark Office without fiscal year limitation, for all authorized activities and operations of the Office: *Provided further*, That from amounts provided herein, not to exceed \$1,000 shall be made available in fiscal year [2002] 2003 for official reception and representation expenses. (*Department of Commerce and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

【For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for “Salaries and Expenses”, \$1,500,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38】 (*Emergency Supplemental Act, 2002.*)

Unavailable Collections (in millions of dollars)			
Identification code 13-1006-0-1-376	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	551	597	817
Receipts:			
02.80 Salaries and expenses, offsetting collections	1,085	1,346	1,527
04.00 Total: Balances and collections	1,636	1,943	2,344
Appropriations:			
05.00 Salaries and expenses	-1,039	-1,126	-1,365
05.99 Total appropriations	-1,039	-1,126	-1,365
07.99 Balance, end of year	597	817	979

Program and Financing (in millions of dollars)			
Identification code 13-1006-0-1-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Reimbursable program:			
09.01 Patents	931	1,031	1,199
09.02 Trademarks	134	139	166
09.09 Reimbursable program—subtotal line	1,065	1,170	1,365
10.00 Total new obligations	1,065	1,170	1,365
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	8	11
22.00 New budget authority (gross)	1,063	1,155	1,365
22.10 Resources available from recoveries of prior year obligations	5	3
23.90 Total budgetary resources available for obligation	1,076	1,169	1,365
23.95 Total new obligations	-1,065	-1,170	-1,365
24.40 Unobligated balance carried forward, end of year	11

New budget authority (gross), detail:			
Discretionary:			
40.15 Appropriation (emergency)	2
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	1,109	1,373	1,527
From offsetting collections (unavailable balances):			
68.26 Offsetting collections (PY available balances)	229	277	100

68.26	From offsetting collections (PY-1 available balances)	26	5
68.45	Portion precluded from obligation (limitation on obligations) CY	-301	-502	-262
68.90	Spending authority from offsetting collections (total discretionary)	1,063	1,153	1,365
70.00	Total new budget authority (gross)	1,063	1,155	1,365
Change in obligated balances:				
72.40	Obligated balance, start of year	254	316	643
73.10	Total new obligations	1,065	1,170	1,365
73.20	Total outlays (gross)	-999	-840	-1,263
73.45	Recoveries of prior year obligations	-5	-3
74.40	Obligated balance, end of year	316	643	745
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	856	662	957
86.93	Outlays from discretionary balances	143	178	306
87.00	Total outlays (gross)	999	840	1,263
Offsets:				
Against gross budget authority and outlays:				
88.40	Offsetting collections (cash) from: Non-Federal sources	-1,109	-1,373	-1,527
Net budget authority and outlays:				
89.00	Budget authority	-46	-218	-162
90.00	Outlays	-111	-533	-264

The United States Patent and Trademark Office (USPTO) administers the patent and trademark laws, which provide protection to inventors and businesses for their inventions and corporate and product identifications, and encourages innovation and the scientific and technical advancement of American industry through the preservation, classification, and dissemination of patent and trademark information. In addition to the examination of applications for patent grants and trademark registrations, the USPTO provides technical advice and information to other Executive Branch agencies on intellectual property matters and the trade-related aspects of intellectual property rights.

The USPTO's 2003 fee collection is estimated to be \$1,527 million. The Administration is proposing a one-year surcharge of 19.3 percent to be applied to patent statutory fees and a surcharge of 10.3 percent to be applied to certain trademark fees to cover necessary costs to fund the USPTO's long-term pendency and quality initiatives, pay the full Government share of the accruing cost of retirement for current CSRS employees and post-retirement health benefits for current civilian employees, and support initiatives such as e-Government. This one-time surcharge is a proxy for a forthcoming fee-restructuring proposal to support the USPTO's 5-year Business Plan and supporting goals and objectives. To become effective in 2004, the USPTO will submit proposed regulatory changes to trademark fees and a legislative proposal to realign the current patent fee structure. The fee legislation will fully support the USPTO's longer-term goals in accordance with its 5-year Business Plan. The legislative proposal will be submitted to the appropriate authorizing committees and sub-committees.

During 2003, the Office will continue to operate through two distinct business lines:

Patent business.—The Patent Business grants exclusive rights, for limited times, to inventors for their discoveries. The activities under this business include all functions in the patent application processing pipeline, including the initial administrative examination of patent applications, the processing of patent applications filed under the Patent Cooperation Treaty, the formal examination of patent applications to determine the patentability of a claimed invention, the post-examination processing and printing of allowed patents, the review for quality, and the quasi-judicial review in appeal and interference proceedings. Other ancillary func-

General and special funds—Continued

SALARIES AND EXPENSES—Continued

tions of the Patent Business are the classification, documentation and search systems, and the maintenance of a scientific and technical library.

Resources requested in 2003 support the goals of the Patent Business to minimize patent application processing time and enhance the quality of products and services. Funds are requested to expand the examination staff, which will enable the USPTO to achieve an average of 12 months pendency to first action and 26 months total pendency by 2006. Additional funding is also requested to address a projected 10 percent growth in application filings, including increased publication costs, and support the quality of examination through enhanced examiner access to search tools.

Key Patent Business performance measures are:

	2001 actual	2002 est.	2003 est.
Applications received	326,081	367,800	404,600
Application disposals by examiners	239,493	238,840	286,015
Patents issued	170,643	170,800	182,471
Average pendency (months)	24.7	26.5	27.3
Improve quality of patents by 55 percent through reducing the error rate from 6.6 percent to 3 percent by 2006	5.4%	5.0%	4.5%
Reduce average first action pendency to 12 months by 2006 ..	14.4	14.7	16.6
Percent of customers satisfied	64%	67%	70%

Trademark business.—The Trademark Business enhances the protection of trademarks through Federal registration. The activities under this business include the examination of trademark applications to determine whether the statutory criteria for the Federal registration of a trade or service mark are met. The Office issues notices of allowance and certificates of registration based on a trademark attorney's determination. Trademark application examination activities also include *inter parte* proceedings involving oppositions, cancellations, and *ex parte* proceedings.

The 2003 program level provides resources to fund 2003 trademark programs and staff levels, including inflationary adjustments. Additional funding is provided in 2003 to continue work focused on achieving a fully electronic workplace to be completed in 2004 that will improve timeliness and productivity in the trademark business.

Key trademark business quantity and quality performance measures are:

	2001 actual	2002 est.	2003 est.
Applications received (includes additional classes)	296,388	300,000	330,000
Trademark registrations issued	102,314	99,600	112,300
Trademark registrations including additional classes	124,502	123,000	138,600
Pending time to first action (in months)	2.7	3.0	2.5
Pending time to registration/abandonment (in months)	17.8	15.5	13.5
Percent of customers' satisfied	70%	72%	75%
Reduce the error rate from 6 percent to 3 percent by 2004	3.1%	5%	4%

Object Classification (in millions of dollars)

Identification code 13–1006–0–1–376	2001 actual	2002 est.	2003 est.
99.0 Reimbursable obligations: Reimbursable obligations ...	1,065	1,169	1,365
99.5 Below reporting threshold		1	
99.9 Total new obligations	1,065	1,170	1,365

Personnel Summary

Identification code 13–1006–0–1–376	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	6,278	6,749	7,207

TECHNOLOGY ADMINISTRATION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses for the Under Secretary for Technology/Office of Technology Policy, **[\$8,238,000] \$8,147,000.** (15 U.S.C. 1511(e), 1533, 3704, 3711a; Department of Commerce and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 13–1100–0–1–376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	8	8	8
09.00 Reimbursable program		1	1
10.00 Total new obligations	8	9	9
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	8	9	9
23.95 Total new obligations	–8	–9	–9
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	8	8	8
68.00 Spending authority from offsetting collections: Offsetting collections (cash)		1	1
70.00 Total new budget authority (gross)	8	9	9
Change in obligated balances:			
72.40 Obligated balance, start of year	12	11	3
73.10 Total new obligations	8	9	9
73.20 Total outlays (gross)	–9	–17	–9
74.40 Obligated balance, end of year	11	3	3
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	7	6	5
86.93 Outlays from discretionary balances	2	11	3
87.00 Total outlays (gross)	9	17	9
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources		–1	–1
Net budget authority and outlays:			
89.00 Budget authority	8	8	8
90.00 Outlays	9	16	8

The Technology Administration (TA) is the principal civilian technology agency working with industry to improve U.S. industrial competitiveness and serves as an advocate for U.S. industry in the Executive Branch, before Congress, and in international fora. It discharges this role through the leadership of the Under Secretary for Technology; through the Office of Technology Policy's analysis, formulation, and advocacy of policies to maximize the contribution of technology to economic growth; through the technology development, diffusion, and commercialization programs of the National Institute of Standards and Technology; and through the dissemination of technological information by the National Technical Information Service.

Performance measures.—The activities under the Under Secretary for Technology/Office of Technology Policy account support the Commerce strategic goal to provide infrastructure for innovation to enhance American competitiveness.

Performance goal: Provide leadership in promoting national technology policies that facilitate U.S. pre-eminence in key areas of science and technology and leverage technological innovation to strengthen American global competitiveness.

Performance measures are milestone accomplishments in three key action areas: outreach, analysis/education, and advocacy.

Object Classification (in millions of dollars)

Identification code 13-1100-0-1-376	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	3	3	3
12.1 Civilian personnel benefits	1	1	1
23.1 Rental payments to GSA	1	1	1
25.2 Other services	1	1
25.3 Other purchases of goods and services from Government accounts	2	2	2
99.0 Direct obligations	8	8	7
99.0 Reimbursable obligations	1	1
99.5 Below reporting threshold	1
99.9 Total new obligations	8	9	9

Personnel Summary

Identification code 13-1100-0-1-376	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	39	50	49
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	1	1	1

NATIONAL TECHNICAL INFORMATION SERVICE

Federal Funds

Intragovernmental funds:

NTIS REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 13-4295-0-3-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	35	50	42
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	7	9
22.00 New budget authority (gross)	36	41	42
23.90 Total budgetary resources available for obligation	43	50	42
23.95 Total new obligations	-35	-50	-42
24.40 Unobligated balance carried forward, end of year	9
New budget authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	36	41	42
Change in obligated balances:			
72.40 Obligated balance, start of year	37	34	18
73.10 Total new obligations	35	50	42
73.20 Total outlays (gross)	-38	-66	-42
74.40 Obligated balance, end of year	34	18	18
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	18	23	24
86.93 Outlays from discretionary balances	20	43	18
87.00 Total outlays (gross)	38	66	42
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-20	-22	-22
88.40 Non-Federal sources	-16	-19	-20
88.90 Total, offsetting collections (cash)	-36	-41	-42
Net budget authority and outlays:			
89.00 Budget authority

90.00 Outlays	1	25
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The National Technical Information Service (NTIS), a component of the Technology Administration, operates this revolving fund for the payment of all expenses incurred in performing the activities of the NTIS, which include the acquisition and public sale of domestic and foreign federally funded research, development, and engineering reports and associated business information.

Performance measures.—The activities under this account support the Commerce strategic goal of providing infrastructure for innovation to enhance American competitiveness. This objective provides infrastructural tools and capabilities that improve the productivity, quality, and efficiency of research and innovation processes.

Statement of Operations (in millions of dollars)

Identification code 13-4295-0-3-376	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	35	36	38	38
0102 Expense	-33	-34	-37	-37
0105 Net income or loss (-)	2	2	1	1

Balance Sheet (in millions of dollars)

Identification code 13-4295-0-3-376	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets: Fund balances with				
1101 Treasury	44	43	44	45
1206 Non-Federal assets: Receivables, net	1	1	1	1
Other Federal assets:				
1803 Property, plant and equipment, net	1	1	1	1
1901 Other assets	6	5	5	6
1999 Total assets	52	50	51	53
LIABILITIES:				
Federal liabilities:				
2101 Accounts payable	11	6	7	8
2105 Other	17	18	17	17
Non-Federal liabilities:				
2201 Accounts payable	3	3	3	3
2207 Other	9	9	9	9
2999 Total liabilities	40	36	36	37
NET POSITION:				
3300 Cumulative results of operations	12	14	15	16
3999 Total net position	12	14	15	16
4999 Total liabilities and net position	52	50	51	53

Object Classification (in millions of dollars)

Identification code 13-4295-0-3-376	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	11	12	12
12.1 Civilian personnel benefits	3	5	5
22.0 Transportation of things	1	2	2
23.1 Rental payments to GSA	1	1	1
23.2 Rental payments to others	2	2	2
23.3 Communications, utilities, and miscellaneous charges	1	2	2
24.0 Printing and reproduction	1	3	3
25.2 Other services	10	17	9
25.3 Other purchases of goods and services from Government accounts	1	1	1
25.7 Operation and maintenance of equipment	1	1	1
26.0 Supplies and materials	2	2	2
31.0 Equipment	1	2	2
99.0 Reimbursable obligations	35	50	42
99.9 Total new obligations	35	50	42

Personnel Summary

Identification code 13-4295-0-3-376	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	196	260	260

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY

Federal Funds

General and special funds:

SCIENTIFIC AND TECHNICAL RESEARCH AND SERVICES

For necessary expenses of the National Institute of Standards and Technology, [\$321,111,000] \$402,204,000, to remain available until expended, of which not to exceed [\$282,000] \$4,482,000 may be transferred to the "Working Capital Fund". (15 U.S.C. 272, 273, 278b-j; p, 290b-f, 1151-52, 1454(d), 1454(e), 1511, 1512, 3711; Department of Commerce and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Scientific and Technical Research and Services", \$5,000,000 for a cyber security initiative, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (Emergency Supplemental Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 13-0500-0-1-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Operating expenses:			
Measurement and engineering research and standards:			
00.01 Electronics and electrical engineering	43	44	45
00.02 Manufacturing engineering	20	22	22
00.03 Chemical science and technology	34	38	41
00.04 Physics	33	35	38
00.05 Materials science and engineering	54	60	68
00.06 Building and fire research	18	21	20
00.07 Computer science and applied mathematics	54	56	57
00.08 Technology assistance	19	19	20
00.09 National quality program	5	6	5
00.10 Critical infrastructure protection grants program	5		
00.11 Research support activities	36	46	83
10.00 Total new obligations	321	347	399
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	6	9	
22.00 New budget authority (gross)	322	337	398
22.10 Resources available from recoveries of prior year obligations	2	1	1
23.90 Total budgetary resources available for obligation	330	347	399
23.95 Total new obligations	-321	-347	-399
24.40 Unobligated balance carried forward, end of year	9		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	323	332	402
40.15 Appropriation (emergency)		5	
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
41.00 Transferred to other accounts			-4
43.00 Appropriation (total discretionary)	322	337	398
Change in obligated balances:			
72.40 Obligated balance, start of year	76	90	85
73.10 Total new obligations	321	347	399
73.20 Total outlays (gross)	-305	-351	-386
73.45 Recoveries of prior year obligations	-2	-1	-1
74.40 Obligated balance, end of year	90	85	96
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	276	262	309
86.93 Outlays from discretionary balances	29	89	78
87.00 Total outlays (gross)	305	351	386
Net budget authority and outlays:			
89.00 Budget authority	322	337	398
90.00 Outlays	305	351	386

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	312	326	385
90.00 Outlays	295	340	373

The National Institute of Standards and Technology (NIST) is responsible for the measurement foundation that supports U.S. industry, Government, and scientific establishments. NIST's intramural research program is funded by the Scientific and Technical Research and Services appropriation.

Measurement and engineering research and standards.—

Electronics and electrical engineering.—Conducts research, provides measurement services and helps set standards in support of the fundamental electronic technologies of semiconductors, magnetics, and superconductors; information and communications technologies, such as fiber optics, photonics, microwaves, electronic displays, and electronics manufacturing supply chain collaboration; forensics and security screening through radar, x-ray and terahertz sensor technologies; electronic measurement instrumentation; fundamental and practical physical standards and measurement services for electrical quantities; maintaining the quality and integrity of electrical power systems; and the development of nanoscale and microelectromechanical devices.

Manufacturing engineering.—Encompasses research, measurements, standards development and support in the areas of high-precision dimensional and mechanical measurements including length, mass, force, acoustics, and vibration; measurements, test methods, and interface standards for automated production technology and intelligent systems including advanced sensor systems for manufacturing and open-system architectures for intelligent manufacturing systems; interoperability standards, information models, and measurements and test methods for integrating manufacturing systems.

Chemical science and technology.—Conducts research in measurement science and develops the chemical, biochemical, and chemical engineering measurements, data, models, and reference standards that are required to enhance U.S. industrial competitiveness in the world market, and to improve public health, safety, and environmental quality and to support homeland defense. This research includes chemical characterization of materials, process metrology, chemical and biochemical sensing, nanotechnology, health care measurements, environmental measurements, microelectronics, chemical and physical property data, biomolecules and materials, DNA technologies, and international measurement standards.

Physics.—Investigates the structure and dynamics of atoms, molecules, and micro- and nanoscale structures for quantum computing, information storage, and electronic and optical applications; covers the development of high performance sensors, instrumentation, measurement methods, and standards for time, frequency, and optical and ionizing radiation. This includes measurements and standards to support provision of safe and effective applications of radiation in medical diagnostics and treatment, national and homeland security, energy production, and radioactivity monitoring.

Materials science and engineering.—Covers research in materials characterization and the relationships between materials structure and properties in metals, polymers, ceramics, and composite materials; addresses the measurement, standards and technological issues required to stimulate the more effective production and use of materials for applications including health care, automotive transport,

and microelectronics. Also develops measurements for understanding materials at the nanoscale.

Building and fire research.—Includes research and development of technologies to predict, measure, and test the performance of construction materials, components, systems, and practices, including support of nanoscale technologies to develop new building materials, including support of homeland security, and to investigate the scientific principles that govern the phenomena of fire initiation, propagation, and suppression.

Computer science and applied mathematics.—Includes development and demonstration of evaluation techniques, testing methods, and standards to enable usable, reliable, and interoperable computer and telecommunications systems and software; provides leadership and collaborative research in the application and use of mathematics, statistics, and computer science, and support of computing and telecommunications services; and provides leadership and guidance for information security issues for Federal agencies and for public and private sectors in the advancement of critical infrastructure protection.

Technology assistance.—Provides a central source of information and assistance for U.S. industry, academia, and government regarding national and international standardization, conformity assessment activities, and legal metrology (weights and measures) services; and provides, on a reimbursable basis, centralized access to critically needed services, including Standard Reference Materials, Standard Reference Data, calibration, and laboratory accreditation programs.

National quality program.—Extends U.S. competitiveness in business, health care, and education, through performance excellence criteria and other information transfer, and administration of the Malcolm Baldrige National Quality Award.

Research support activities.—Includes centrally managed activities that provide support to all other NIST programs. This support includes advanced capabilities development in NIST mission-oriented areas of research, high caliber postdoctoral scientists and engineers, computing support for research programs, business systems activities, and support for the Advanced Measurement Laboratory facility.

Performance Measures.—The activities under this account support the Commerce strategic goal to provide infrastructure for innovation to enhance American competitiveness.

Performance goals:

1. Provide technical leadership for the Nation's measurement and standards infrastructure and ensure the availability of essential reference data and measurement capabilities. NIST evaluates the performance of its laboratories through external peer review (conducted by the National Research Council), economic impact studies, and evaluation of numerical scientific and technical outputs.

2. Assist U.S. businesses and other organizations in continuously improving their productivity, efficiency, and customer satisfaction by adopting quality and performance improvement practices.

	2001 actual	2002 est.	2003 est.
Standard reference materials available	1,335	1,350	1,360
Standard reference data titles available	65	68	70
Number of items calibrated	3,192	2,900	2,900
Number of technical publications produced	2,291	2,050	2,100
Total number of applications to the MBNQA and Baldrige-based State and local programs	935 (est.)	954	1,110

¹ Partial data.

Object Classification (in millions of dollars)

Identification code 13-0500-0-1-376	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	126	135	145

11.3 Other than full-time permanent	10	11	11
11.5 Other personnel compensation	4	5	5
11.9 Total personnel compensation	140	151	161
12.1 Civilian personnel benefits	41	46	50
21.0 Travel and transportation of persons	6	6	6
22.0 Transportation of things	1	1	1
23.2 Rental payments to others	3	3	3
23.3 Communications, utilities, and miscellaneous charges	11	11	17
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	2	1	1
25.2 Other services	35	44	41
25.3 Other purchases of goods and services from Government accounts	17	14	16
25.5 Research and development contracts	2	3	3
25.7 Operation and maintenance of equipment	4	3	4
26.0 Supplies and materials	15	17	17
31.0 Equipment	25	26	62
41.0 Grants, subsidies, and contributions	18	20	16
99.9 Total new obligations	321	347	399

Personnel Summary

Identification code 13-0500-0-1-376	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	1,920	1,986	2,044

INDUSTRIAL TECHNOLOGY SERVICES

For necessary expenses of the Manufacturing Extension Partnership of the National Institute of Standards and Technology, **[\$106,522,000] \$12,923,000**, to remain available until expended: *Provided*, That the Secretary of Commerce is authorized to enter into agreements with one or more nonprofit organizations for the purpose of carrying out collective research and development initiatives pertaining to 15 U.S.C. 278k paragraph (a), and is authorized to seek and accept contributions from public and private sources to support these efforts as necessary.

In addition, for necessary expenses of the Advanced Technology Program of the National Institute of Standards and Technology, **[\$184,500,000] \$107,926,000**, to remain available until expended, of which not to exceed **[\$60,700,000] \$34,700,000** shall be available for the award of new grants. (15 U.S.C. 271, 278b, 278k, 278l, 278n; Department of Commerce and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 13-0525-0-1-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Extramural programs:			
00.01 Advanced technology program	177	187	146
00.02 Manufacturing extension partnership	106	111	13
09.00 Reimbursable program	1		
10.00 Total new obligations	284	298	159
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	54	36	34
22.00 New budget authority (gross)	253	293	121
22.10 Resources available from recoveries of prior year obligations	13	4	4
23.90 Total budgetary resources available for obligation	320	333	159
23.95 Total new obligations	-284	-298	-159
24.40 Unobligated balance carried forward, end of year	36	34	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	253	293	121
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
43.00 Appropriation (total discretionary)	252	293	121
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1		
70.00 Total new budget authority (gross)	253	293	121
Change in obligated balances:			
72.40 Obligated balance, start of year	425	380	434

General and special funds—Continued

INDUSTRIAL TECHNOLOGY SERVICES—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 13–0525–0–1–376	2001 actual	2002 est.	2003 est.
73.10 Total new obligations	284	298	159
73.20 Total outlays (gross)	– 316	– 240	– 277
73.45 Recoveries of prior year obligations	– 13	– 4	– 4
74.40 Obligated balance, end of year	380	434	312
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	61	51	21
86.93 Outlays from discretionary balances	255	189	256
87.00 Total outlays (gross)	316	240	277
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	– 1		
Net budget authority and outlays:			
89.00 Budget authority	252	293	121
90.00 Outlays	315	240	277

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	250	291	120
90.00 Outlays	313	238	276

This appropriation supports the extension of technology to American industry and fosters the development of broad-based, high-risk technology by industry.

Extramural programs.

Advanced technology program (ATP).—The ATP endeavors to help accelerate the commercialization of high-risk, broad benefit enabling technologies with significant commercial potential. ATP is a merit-based, rigorously competitive, cost-shared partnership program that provides assistance to U.S. businesses and joint R&D ventures to help them improve their competitive position. The President's 2003 Budget proposes operating the program with new awards of \$34,700,000. In addition, reforms will be implemented to improve the program.

Manufacturing extension partnership (MEP).—As a nationwide system of centers serving clients in all 50 states and Puerto Rico, MEP's goal is to improve the competitiveness of U.S.-based small manufacturers. MEP does this by providing information, decision support, and implementation assistance to small manufacturers in adopting advanced manufacturing technologies and business best practices. The centers are created through a partnership between state, Federal, and local governments, educational institutions, and private industry, and they tailor services to meet the needs of the local manufacturing base in the area. In 2003, consistent with the program's original design, the President's Budget recommends that all centers with more than six years experience operate without federal contribution.

Performance measures.—The activities under this account support the Commerce strategic goal to provide infrastructure for innovation to enhance American competitiveness. The performance of these activities is evaluated through a combination of external review, economic impact studies, and evaluation of numerous quantitative outcomes and outputs.

Performance goals:

1. Accelerate technological innovation and development of the new technologies that will underpin future economic growth (ATP).

	2001 est.	2002 est.	2003 est.
Cumulative number of technologies under commercialization ..	TBD	190	210

Object Classification (in millions of dollars)

Identification code 13–0525–0–1–376	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	22	24	18
11.3 Other than full-time permanent	1	1	2
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	24	26	21
12.1 Civilian personnel benefits	7	8	5
21.0 Travel and transportation of persons	2	2	1
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	3	3	1
25.1 Advisory and assistance services	2	3	3
25.2 Other services	14	20	5
25.3 Other purchases of goods and services from Government accounts	3	3	2
25.5 Research and development contracts	3	5	3
26.0 Supplies and materials	1	1	
31.0 Equipment	3	3	1
41.0 Grants, subsidies, and contributions	220	223	116
99.0 Direct obligations	283	298	159
99.0 Reimbursable obligations	1		
99.9 Total new obligations	284	298	159

Personnel Summary

Identification code 13–0525–0–1–376	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	326	344	252

CONSTRUCTION OF RESEARCH FACILITIES

For construction of new research facilities, including architectural and engineering design, and for renovation and maintenance of existing facilities, not otherwise provided for the National Institute of Standards and Technology, as authorized by 15 U.S.C. 278c–278e, **[\$62,393,000] \$54,494,000**, to remain available until expended. (*Department of Commerce and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

【For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for “Construction of Research Facilities”, \$1,225,000, to remain available in Public Law 107–38.】 (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 13–0515–0–1–376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	38	87	54
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	26	23	
22.00 New budget authority (gross)	35	63	54
23.90 Total budgetary resources available for obligation	61	86	54
23.95 Total new obligations	– 38	– 87	– 54
24.40 Unobligated balance carried forward, end of year	23		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	35	62	54
40.15 Appropriation (emergency)		1	
43.00 Appropriation (total discretionary)	35	63	54
Change in obligated balances:			
72.40 Obligated balance, start of year	195	181	195
73.10 Total new obligations	38	87	54
73.20 Total outlays (gross)	– 52	– 73	– 71
74.40 Obligated balance, end of year	181	195	177
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	13	8	6

86.93	Outlays from discretionary balances	39	64	65
87.00	Total outlays (gross)	52	73	71
Net budget authority and outlays:				
89.00	Budget authority	35	63	54
90.00	Outlays	52	73	71

This appropriation supports the construction of new facilities and the renovation and maintenance of NIST's current buildings and laboratories to comply with more stringent science and engineering requirements and to keep pace with tightening Federal, state, and local health and safety regulations.

In 2003, the request improves the safety and performance of existing NIST facilities by addressing the highest priority repair projects. In addition, the request includes funds for the construction of new facilities.

Object Classification (in millions of dollars)

Identification code 13-0515-0-1-376	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	3	4	4
12.1 Civilian personnel benefits	1	1	1
25.2 Other services	14	33	34
25.7 Operation and maintenance of equipment	2	2	2
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	1	1
32.0 Land and structures	3	3	12
41.0 Grants, subsidies, and contributions	14	42
99.9 Total new obligations	38	87	54

Personnel Summary

Identification code 13-0515-0-1-376	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	50	51	53

Intragovernmental funds:

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 13-4650-0-4-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Measurement and engineering research and standards:			
09.01 Electronics and electrical engineering	29	43	44
09.02 Manufacturing engineering	8	9	8
09.03 Chemical science and technology	19	23	24
09.04 Physics	19	21	21
09.05 Material science and engineering	10	10	10
09.06 Building and fire research	11	12	11
09.07 Computer science and applied mathematics	12	14	12
09.08 Technology assistance	18	19	19
09.11 National quality program	1	2	2
09.12 Research support activities	21	12	14
09.14 Advanced technology program	1	1	1
09.15 Manufacturing extension partnership	1
10.00 Total new obligations	149	166	166
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	61	76	35
22.00 New budget authority (gross)	164	125	164
23.90 Total budgetary resources available for obligation	225	201	199
23.95 Total new obligations	-149	-166	-166
24.40 Unobligated balance carried forward, end of year	76	35	33

New budget authority (gross), detail:

Discretionary:			
42.00 Transferred from other accounts	4
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	140	125	160
68.10 Change in uncollected customer payments from Federal sources (unexpired)	23

68.90	Spending authority from offsetting collections (total discretionary)	161	125	160
70.00	Total new budget authority (gross)	163	125	164

Change in obligated balances:

72.40	Obligated balance, start of year	9	-2	43
73.10	Total new obligations	149	166	166
73.20	Total outlays (gross)	-137	-121	-163
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-23
74.40	Obligated balance, end of year	-2	43	46

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	82	97	126
86.93	Outlays from discretionary balances	55	24	37
87.00	Total outlays (gross)	137	121	163

Offsets:

Against gross budget authority and outlays:

Offsetting collections (cash) from:				
88.00	Federal sources	-106	-86	-120
88.40	Non-Federal sources	-34	-39	-40
88.90	Total, offsetting collections (cash)	-140	-125	-160
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-23

Net budget authority and outlays:

89.00	Budget authority	4
90.00	Outlays	-4	-4	2

The Working capital fund finances research and technical services performed for other Government agencies and the public. These activities are funded through advances and reimbursements. The Fund also finances the acquisition of equipment, standard reference materials, and storeroom inventories until issued or sold.

Object Classification (in millions of dollars)

Identification code 13-4650-0-4-376	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	43	49	52
11.3 Other than full-time permanent	3	4	4
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	47	54	57
12.1 Civilian personnel benefits	14	16	17
21.0 Travel and transportation of persons	2	2	2
22.0 Transportation of things	1	1	1
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	4	4	4
25.2 Other services	24	27	23
25.3 Other purchases of goods and services from Government accounts	13	13	12
25.5 Research and development contracts	1	1	1
25.7 Operation and maintenance of equipment	1	1	1
26.0 Supplies and materials	7	7	7
31.0 Equipment	30	35	36
41.0 Grants, subsidies, and contributions	4	4	4
99.0 Reimbursable obligations	149	166	166
99.9 Total new obligations	149	166	166

Personnel Summary

Identification code 13-4650-0-4-376	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	675	732	768

NATIONAL TELECOMMUNICATIONS AND INFORMATION ADMINISTRATION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses, as provided for by law, of the National Telecommunications and Information Administration (NTIA), **[\$14,054,000] \$17,547,000**, to remain available until expended: *Provided*, That, notwithstanding 31 U.S.C. 1535(d), the Secretary of Commerce shall charge Federal agencies for costs incurred in spectrum management, analysis, and operations, and related services and such fees shall be retained and used as offsetting collections for costs of such spectrum services, to remain available until expended: *Provided further*, That hereafter, notwithstanding any other provision of law, NTIA shall not authorize spectrum use or provide any spectrum functions pursuant to the National Telecommunications and Information Administration Organization Act, 47 U.S.C. 902–903, to any Federal entity without reimbursement as required by NTIA for such spectrum management costs, and Federal entities withholding payment of such cost shall not use spectrum: *Provided further*, That the Secretary of Commerce is authorized to retain and use as offsetting collections all funds transferred, or previously transferred, from other Government agencies for all costs incurred in telecommunications research, engineering, and related activities by the Institute for Telecommunication Sciences of NTIA, in furtherance of its assigned functions under this paragraph, and such funds received from other Government agencies shall remain available until expended. (15 U.S.C. 1512, 1532; 47 U.S.C. §§ 305, 606, 901 et seq.; Department of Commerce and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 13–0550–0–1–376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Domestic and international policy	4	5	4
00.02 Spectrum management	4	4	5
00.03 Telecommunication sciences research	4	6	8
01.00 Total, direct program	13	16	18
Reimbursable program:			
09.01 Spectrum management	16	17	19
09.02 Telecommunication sciences research	4	10	7
09.99 Total reimbursable program	19	26	25
10.00 Total new obligations	33	43	44
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	6	4
22.00 New budget authority (gross)	31	38	44
23.90 Total budgetary resources available for obligation	37	42	44
23.95 Total new obligations	–33	–43	–44
24.40 Unobligated balance carried forward, end of year	4
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	13	15	18
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	18	23	26
70.00 Total new budget authority (gross)	31	38	44
Change in obligated balances:			
72.40 Obligated balance, start of year	2	9	6
73.10 Total new obligations	33	43	44
73.20 Total outlays (gross)	–25	–45	–46
74.40 Obligated balance, end of year	9	6	4
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	16	35	41
86.93 Outlays from discretionary balances	9	10	5
87.00 Total outlays (gross)	25	45	46

Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	–18	–23	–26
Net budget authority and outlays:			
89.00 Budget authority	13	15	18
90.00 Outlays	6	22	20

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	12	14	17
90.00 Outlays	6	21	19

The National Telecommunications and Information Administration (NTIA) is the principal executive branch adviser to the President on domestic and international telecommunications policy. Additionally, it manages the Federal Government's use of the radio frequency spectrum and performs extensive research in telecommunication sciences.

Domestic and international policies.—NTIA develops and advocates policies to improve and expand domestic telecommunications services and markets. NTIA provides advice to White House officials, coordinates with other Executive Branch agencies, and participates in relevant Congressional actions and interagency and Federal Communications Commission (FCC) proceedings on a host of issues. NTIA's focus is on current and emerging issues such as the deployment of broadband networks and services. NTIA develops policies promoting universal service to all Americans, competition in telecommunications and information markets, and development of new technologies. NTIA makes policy recommendations in such areas as traditional common carrier networks, wireless services and products, the mass media (including advanced television), as well as issues arising from the Internet and electronic commerce.

NTIA advocates the advancement of U.S. priorities in the international telecommunications policy and regulatory areas. NTIA will continue to encourage forcefully the broad liberalization of telecommunication regulations now taking hold across the globe that create significant opportunities for U.S. telecommunications interests and enterprises, including emphasis on the international development of electronic commerce as an essential element of today's information society. NTIA supports U.S. interests in international and regional fora affecting telecommunications standards, infrastructure development and market access. NTIA also represents executive branch concerns related to international telecommunications regulation before the FCC. In coordination with the Department of State and the FCC, the agency also discharges statutory responsibilities with respect to international satellite organizations.

Spectrum management.—NTIA manages the Federal Government's use of the radio frequency spectrum, both domestically and internationally. In coordination with the FCC and with the advice of the Interdepartment Radio Advisory Committee (IRAC), NTIA supports the spectrum requirements of the Federal Government, makes plans to satisfy the Government's future spectrum needs, coordinates Federal spectrum requirements in shared spectrum bands, and develops and implements policy to use the spectrum effectively and efficiently. NTIA prepares for, participates in, and implements the results of regional, national, and international conferences on spectrum use and allocations. NTIA also is responsible for emergency communications and Federal Government continuity of operations planning for communications during emergency conditions. NTIA coordinates its activities with the private sector through its spectrum openness program and its Internet web site and apprises

private sector entities of Government spectrum use and rules and regulations governing this use. NTIA reviews major Federal communications systems to certify that spectrum will be available; conducts frequency band studies to define spectrum issues and makes plans to prevent future interference; and, processes approximately 90,000 annual requests for frequency assignments to meet the communications needs of the Federal Government and support analysis and engineering aspects of spectrum management. NTIA also strives to identify and apply new spectrum saving technologies, identify adjacent band effects for use by designers of future communications, and address the public safety community's need for spectrum and interoperability at the Federal, State, and local levels.

Telecommunication sciences research.—NTIA develops improved spectrum measurement techniques to address the increasing use of broadband technologies, including digital signals, spread-spectrum, and frequency agile systems. NTIA supports the development of wireless technologies by studying the behavior of broadband radio waves in indoor and outdoor environments in order to create more accurate modeling of radio propagation that will lead to improved methods of spectrum sharing among users. Additionally, NTIA prepares and coordinates proposed domestic and international telecommunications standards, develops and demonstrates user-friendly ways to assess the performance of industry and Government telecommunications networks, evaluates future technologies that may facilitate competition in the U.S. telecommunications industry, promotes international trade opportunities for U.S. telecommunications firms and improves the cost effectiveness of Government telecommunications use.

Performance measures.—Activities under this account support the Commerce strategic goal to provide the information and the framework to enable the economy to operate efficiently and equitably.

Goal: Ensure allocation of radio spectrum—a scarce resource essential to all communications—provides the greatest benefit to all people.

Performance Measure:	2001 actual	2002 est.	2003 est.
Timeliness of processing	N/A	N/A	TBD

A more detailed presentation of goals, performance measures and targets is found in the Commerce Annual Performance Plan.

Object Classification (in millions of dollars)

Identification code 13-0550-0-1-376	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	7	8	9
12.1 Civilian personnel benefits	2	3	3
23.1 Rental payments to GSA	2	1	1
25.2 Other services	1	1	3
31.0 Equipment		2	1
99.0 Direct obligations	12	15	17
99.0 Reimbursable obligations	19	27	26
99.5 Below reporting threshold	2	1	1
99.9 Total new obligations	33	43	44

Personnel Summary

Identification code 13-0550-0-1-376	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	87	101	109
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	125	148	155

SPECTRUM RELOCATION FUND

(Legislative proposal, subject to PAYGO)

The Administration will propose legislation to streamline the current process for reimbursing Federal agencies that must relocate from Federal spectrum which has been reallocated for auction to commercial users. Under current law, winning bidders must negotiate with Federal entities upon the close of an auction and reimburse the agencies directly for their relocation costs. The Administration proposes to streamline this process by creating a central spectrum relocation fund. Auction receipts sufficient to cover agencies' relocation costs would be paid into the fund, and Federal agencies would be reimbursed for their relocation costs out of the fund. To expedite the clearing of the auctioned spectrum, the legislation would provide agencies mandatory spending authority for the reimbursement payments. The estimated mandatory spending is \$715 million from 2004 to 2009. The Budget includes a government-wide allowance for the estimated aggregate collections and outlays for agencies' relocation costs.

To provide more certainty in an upcoming auction of 12 megahertz (MHz) of reallocated Federal spectrum (216–220, 1432–1435, and 2385–2390 MHz bands), the legislation would also shift the statutory deadline for the completion of this auction from 2002 to 2004 to allow the proposed change in reimbursement policy to be enacted prior to auction of this spectrum. This would shift \$50 million in estimated auction receipts from 2003 to 2004. The proposed change in reimbursement policy also affects the reimbursement procedures for the 1710–1755 MHz band, for which the Administration has already proposed shifting the statutory deadline from 2002 to 2004.

PUBLIC TELECOMMUNICATIONS FACILITIES, PLANNING AND CONSTRUCTION

For grants authorized by section 392 of the Communications Act of 1934, as amended, **[\$43,466,000] \$43,586,000**, to remain available until expended as authorized by section 391 of the Act, as amended: *Provided*, That not to exceed **[\$2,358,000] \$2,478,000** shall be available for program administration as authorized by section 391 of the Act: *Provided further*, That, notwithstanding the provisions of section 391 of the Act, the prior year unobligated balances may be made available for grants for projects for which applications have been submitted and approved during any fiscal year. (*Department of Commerce and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Public Telecommunications Facilities, Planning and Construction", \$8,250,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38: *Provided*, That matching requirements set forth in section 392(b) of the Communications Act of 1934, as amended, shall not apply to funds provided in this Act.] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 13-0551-0-1-503	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Grants	42	50	41
00.02 Program management	2	3	3
10.00 Total new obligations	44	53	44
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	
22.00 New budget authority (gross)	44	51	44
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	46	52	44
23.95 Total new obligations	-44	-53	-44
24.40 Unobligated balance carried forward, end of year	1		

General and special funds—Continued

PUBLIC TELECOMMUNICATIONS FACILITIES, PLANNING AND CONSTRUCTION—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 13-0551-0-1-503	2001 actual	2002 est.	2003 est.
New budget authority (gross), detail:			
Discretionary:			
40.00	44	43	44
40.15		8	
43.00	44	51	44
Change in obligated balances:			
72.40	47	68	73
73.10	44	53	44
73.20	-22	-48	-50
73.45	-1		
74.40	68	73	67
Outlays (gross), detail:			
86.90	4	8	5
86.93	18	40	45
87.00	22	48	50
Net budget authority and outlays:			
89.00	44	51	44
90.00	22	48	50

The purpose of the Public Telecommunications Facilities, Planning and Construction (PTFP) program is to strengthen and extend service delivery by the public broadcasting system.

In April 1997, the Federal Communications Commission issued regulations requiring broadcasters to transition from analog to digital broadcasting. The PTFP program will facilitate public broadcasters' transition to digital broadcasting by supporting their acquisition of core digital transmission and base equipment through merit- and need-based matching grants.

Both the Corporation for Public Broadcasting and the Department of Commerce support public broadcasting's digital transition. Funding through PTFP will be targeted for digital transmission equipment, while funding for the Corporation for Public Broadcasting will support necessary expenses related to digital program production and development.

Object Classification (in millions of dollars)

Identification code 13-0551-0-1-503	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1	1	1	1
41.0	42	51	41
99.0	43	52	42
99.5	1	1	2
99.9	44	53	44

Personnel Summary

Identification code 13-0551-0-1-503	2001 actual	2002 est.	2003 est.
1001	10	13	13

INFORMATION INFRASTRUCTURE GRANTS

[For grants authorized by section 392 of the Communications Act of 1934, as amended, \$15,503,000, to remain available until expended as authorized by section 391 of the Act, as amended: *Provided*, That not to exceed \$3,097,000 shall be available for program administration and other support activities as authorized by section 391: *Provided further*, That, of the funds appropriated herein, not to exceed 5 percent may be available for telecommunications research activities for projects related directly to the development of a national informa-

tion infrastructure: *Provided further*, That, notwithstanding the requirements of sections 392(a) and 392(c) of the Act, these funds may be used for the planning and construction of telecommunications networks for the provision of educational, cultural, health care, public information, public safety, or other social services: *Provided further*, That, notwithstanding any other provision of law, no entity that receives telecommunications services at preferential rates under section 254(h) of the Act (47 U.S.C. 254(h)) or receives assistance under the regional information sharing systems grant program of the Department of Justice under part M of title I of the Omnibus Crime Control and Safe Streets Act of 1968 (42 U.S.C. 3796h) may use funds under a grant under this heading to cover any costs of the entity that would otherwise be covered by such preferential rates or such assistance, as the case may be.] *For the administration of prior year grants, \$224,000, to remain available until expended: Provided, That recoveries and unobligated balances of funds previously appropriated for grants and administrative expenses are available for the administration of all open grants until their expiration. (Department of Commerce and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)*

Program and Financing (in millions of dollars)

Identification code 13-0552-0-1-503	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01	43	13	
00.02	3	4	
10.00	46	17	
Budgetary resources available for obligation:			
21.40		1	2
22.00	46	16	
22.10	2		
23.90	49	18	
23.95	-46	-17	
24.40	2		
New budget authority (gross), detail:			
Discretionary:			
40.00	46	16	
Change in obligated balances:			
72.40	44	71	46
73.10	46	17	
73.20	-18	-42	-28
73.45	-2		
74.40	71	46	18
Outlays (gross), detail:			
86.90	3	1	
86.93	15	41	28
87.00	18	42	28
Net budget authority and outlays:			
89.00	46	16	
90.00	18	42	28

Technology Opportunities Program grants have demonstrated the use of advanced telecommunications technologies to enhance the delivery of social services, such as education, health care, and public safety. This program has fulfilled its mission and is proposed for termination. 2003 funds and use of deobligations and unobligated balances are requested for monitoring existing grants and close-out costs.

Performance measures.—Activities under this account support the Commerce strategic goal to provide infrastructure for innovation to enhance American competitiveness.

Performance Measure:	2001 actual	2002 est.	2003 est.
Number of models/grants available for non-profit or public sector organizations	74	30	N/A

A detailed presentation of goals, performance measures and targets is found in the Commerce Annual Plan.

Object Classification (in millions of dollars)

Identification code 13-0552-0-1-503	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	2
41.0 Grants, subsidies, and contributions	43	13
99.0 Direct obligations	44	15
99.5 Below reporting threshold	2	2
99.9 Total new obligations	46	17

Personnel Summary

Identification code 13-0552-0-1-503	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	22	24	3

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Offsetting receipts from the public:			
13-271710 Fisheries finance, Negative subsidies		3	7
13-271730 Fisheries finance, Downward reestimates of subsidies	27	3
General Fund Offsetting receipts from the public	27	6	7

GENERAL PROVISIONS—DEPARTMENT OF COMMERCE

SEC. 201. During the current fiscal year, applicable appropriations and funds made available to the Department of Commerce by this Act shall be available for the activities specified in the Act of October 26, 1949 (15 U.S.C. 1514), to the extent and in the manner prescribed by the Act, and, notwithstanding 31 U.S.C. 3324, may be used for advanced payments not otherwise authorized only upon the certification of officials designated by the Secretary of Commerce that such payments are in the public interest.

SEC. 202. During the current fiscal year, appropriations made available to the Department of Commerce by this Act for salaries and expenses shall be available for hire of passenger motor vehicles as authorized by 31 U.S.C. 1343 and 1344; services as authorized by 5 U.S.C. 3109; and uniforms or allowances therefore, as authorized by law (5 U.S.C. 5901-5902).

SEC. 203. None of the funds made available by this Act may be used to support the hurricane reconnaissance aircraft and activities that are under the control of the United States Air Force or the United States Air Force Reserve.

SEC. 204. Not to exceed 5 percent of any appropriation made available for the current fiscal year for the Department of Commerce in this Act may be transferred between such appropriations, but no such appropriation shall be increased by more than 10 percent by any such transfers: *Provided*, That any transfer pursuant to this section shall be treated as a reprogramming of funds under section 605 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section.

SEC. 205. Any costs incurred by a department or agency funded under this title resulting from personnel actions taken in response to funding reductions included in this title or from actions taken for the care and protection of loan collateral or grant property shall be absorbed within the total budgetary resources available to such department or agency: *Provided*, That the authority to transfer funds between appropriations accounts as may be necessary to carry out this section is provided in addition to authorities included elsewhere in this Act: *Provided further*, That use of funds to carry out this section shall be treated as a reprogramming of funds under section 605 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section.

SEC. 206. The Secretary of Commerce may award contracts for hydrographic, geodetic, and photogrammetric surveying and mapping

services in accordance with title IX of the Federal Property and Administrative Services Act of 1949 (40 U.S.C. 541 et seq.).

SEC. 207. The Secretary of Commerce may use the Commerce franchise fund for expenses and equipment necessary for the maintenance and operation of such administrative services as the Secretary determines may be performed more advantageously as central services, pursuant to section 403 of Public Law 103-356: *Provided*, That any inventories, equipment, and other assets pertaining to the services to be provided by such fund, either on hand or on order, less the related liabilities or unpaid obligations, and any appropriations made for the purpose of providing capital shall be used to capitalize such fund: *Provided further*, That such fund shall be paid in advance from funds available to the Department and other Federal agencies for which such centralized services are performed, at rates which will return in full all expenses of operation, including accrued leave, depreciation of fund plant and equipment, amortization of automated data processing (ADP) software and systems (either acquired or donated), and an amount necessary to maintain a reasonable operating reserve, as determined by the Secretary: *Provided further*, That such fund shall provide services on a competitive basis: *Provided further*, That an amount not to exceed 4 percent of the total annual income to such fund may be retained in the fund for fiscal year [2002] 2003 and each fiscal year thereafter, to remain available until expended, to be used for the acquisition of capital equipment, and for the improvement and implementation of department financial management, ADP, and other support systems: *Provided further*, That such amounts retained in the fund for fiscal year [2002] 2003 and each fiscal year thereafter shall be available for obligation and expenditure only in accordance with section 605 of this Act: *Provided further*, That no later than 30 days after the end of each fiscal year, amounts in excess of this reserve limitation shall be deposited as miscellaneous receipts in the Treasury: *Provided further*, That such franchise fund pilot program shall terminate pursuant to section 403(f) of Public Law 103-356.

SEC. 208. [Notwithstanding any other provision of law, of the amounts made available elsewhere in this title to the "National Institute of Standards and Technology, Construction of Research Facilities", \$8,000,000 is appropriated to fund a cooperative agreement with the Medical University of South Carolina, \$6,000,000 is appropriated to the Thayer School of Engineering for the nanocrystalline materials and biomass research initiative, \$3,000,000 is appropriated to the Institute for Information Infrastructure Protection at the Institute for Security Technology Studies, \$3,350,000 is appropriated for the Institute for Politics, \$650,000 is appropriated to the Mount Washington Technology Village, \$6,500,000 is appropriated for a critical infrastructure project at the George Mason University School of Law, \$3,700,000 is appropriated for the Conservation Institute of the Bronx Zoo, \$2,000,000 is appropriated for the Adolescent Mental Health Residential Treatment program at Bronx-Lebanon Hospital Center, \$1,300,000 is appropriated for the Puerto Rican Historical, Cultural and Activities Center, \$5,000,000 is appropriated for the National Infrastructure Institute, and \$2,000,000 is appropriated for the University of South Carolina School of Public Health.] *Payment for costs incurred for the provision of health-care items and services for members of crews of vessels of the National Oceanic and Atmospheric Administration shall not exceed the lesser of the amount that would be paid for the provision of similar health-care items and services under-*

(A) the Medicare program under title XVIII of the Social Security Act: or

(B) the Medicare program under title XIX of such Act of the State in which the services were provided.

(4) Full and final payment.—Any payment for a health-care item or service made pursuant to this subsection shall be deemed to be full and final payment.

SEC. 209. There shall be established the Business Management Fund (BMF) of the National Oceanic and Atmospheric Administration (NOAA), which shall be available without fiscal year limitation for expense and equipment necessary for the maintenance and operations of such services and projects as the Administrator of NOAA determines may be performed more advantageously when centralized: *Provided*, That a separate schedule of expenditures and reimbursements, and a statement of the current assets and liabilities of the BMF as of the close of the completed fiscal year, shall be prepared each year: *Provided further*, That notwithstanding 31 U.S.C. 3302(b), the BMF may be credited with advances and reimbursements from applicable appropriations of NOAA and from funds of other agencies or entities for services furnished pursuant to law: *Provided further*, That any

inventories, equipment, systems, real property and other assets over \$25,000, pertaining to the services to be provided by such funds, either on hand or on order, less the related liabilities or unpaid obligations, shall be used to capitalize the Business Management Fund: Provided further, That the BMF shall provide for centralized services at rates which return in full all expenses of operation and services, including the full cost of salaries and accruing benefits and the annual costs of plant and equipment associated with services to be provided, plus an amount equal to projected inflation, amortization of automated data processing software and hardware systems, and an amount not to exceed 4% of the full costs necessary to maintain a reasonable operating reserve and fund new requirements as determined by the Administrator: Provided further, That the BMF shall become operational on October 1, 2003.

[SEC. 209. (a) The Secretary of Commerce shall present with the fiscal year 2003 budget request a detailed description of all projects, programs, and activities to be funded from the “Working Capital Fund” and the “Advances and Reimbursements” account.

(b) The “Working Capital Fund” and “Advances and Reimbursements” account shall be subject to section 605 of this Act beginning in fiscal year 2003.]

[SEC. 210. (a) Notwithstanding section 102 of the Marine Mammal Protection Act of 1972, as amended, or section 9 of the Endangered Species Act of 1973, the Anchorage Sister Cities Commission of Anchorage, Alaska, may export, on a one-time basis, to the Town of Whitby, in the care of the Scarborough Borough Council, Whitby, North Yorkshire, United Kingdom, two bowhead whale jawbones taken as part of a legal subsistence hunt by Native Alaskans and identified in U.S. Fish and Wildlife Service, Convention on International Trade of Endangered Species, permit 01US037393/9.

(b) The Anchorage Sister Cities Commission shall notify the National Marine Fisheries Service Office of Enforcement 15 days prior to shipment to ensure compliance with all applicable export requirements.]

[SEC. 211. Section 213(a) of title II of division C of Public Law 105–277 is amended by striking the second sentence and inserting in lieu thereof: “There are authorized to be appropriated \$6,700,000 per year to carry out the provisions of this Act through fiscal year 2004.”] (*Department of Commerce and Related Agencies Appropriations Act, 2002.*)

TITLE VI—GENERAL PROVISIONS

SEC. 601. No part of any appropriation contained in this Act shall be used for publicity or propaganda purposes not authorized by the Congress.

SEC. 602. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 603. The expenditure of any appropriation under this Act for any consulting service through procurement contract, pursuant to 5 U.S.C. 3109, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive order issued pursuant to existing law.

SEC. 604. If any provision of this Act or the application of such provision to any person or circumstances shall be held invalid, the remainder of the Act and the application of each provision to persons or circumstances other than those as to which it is held invalid shall not be affected thereby.

SEC. 605. (a) None of the funds provided under this Act, or provided under previous appropriations Acts to the agencies funded by this Act that remain available for obligation or expenditure in fiscal year [2002] 2003, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditure through a reprogramming of funds which: (1) creates new programs; (2) eliminates a program, project, or activity; (3) increases funds or personnel by any means for any project or activity for which

funds have been denied or restricted; (4) relocates an office or employees; (5) reorganizes offices, programs, or activities; or (6) contracts out or privatizes any functions or activities presently performed by Federal employees; unless the Appropriations Committees of both Houses of Congress are notified 15 days in advance of such reprogramming of funds.

(b) None of the funds provided under this Act, or provided under previous appropriations Acts to the agencies funded by this Act that remain available for obligation or expenditure in fiscal year [2002] 2003, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditure for activities, programs, or projects through a reprogramming of funds in excess of [\$500,000] \$1,000,000 or 10 percent, whichever is less, that: (1) augments existing programs, projects, or activities; (2) reduces by 10 percent funding for any existing program, project, or activity, or numbers of personnel by 10 percent as approved by Congress; or (3) results from any general savings from a reduction in personnel which would result in a change in existing programs, activities, or projects as approved by Congress; unless the Appropriations Committees of both Houses of Congress are notified 15 days in advance of such reprogramming of funds. *Provided, That, on a pilot basis, this provision shall not apply to the Immigration Examinations Fee Account.*

SEC. 606. None of the funds made available in this Act may be used for the construction, repair (other than emergency repair), overhaul, conversion, or modernization of vessels for the National Oceanic and Atmospheric Administration in shipyards located outside of the United States.

SEC. 607. (a) **PURCHASE OF AMERICAN-MADE EQUIPMENT AND PRODUCTS.**—It is the sense of the Congress that, to the greatest extent practicable, all equipment and products purchased with funds made available in this Act should be American-made.

(b) **NOTICE REQUIREMENT.**—In providing financial assistance to, or entering into any contract with, any entity using funds made available in this Act, the head of each Federal agency, to the greatest extent practicable, shall provide to such entity a notice describing the statement made in subsection (a) by the Congress.

(c) **PROHIBITION OF CONTRACTS WITH PERSONS FALSELY LABELING PRODUCTS AS MADE IN AMERICA.**—If it has been finally determined by a court or Federal agency that any person intentionally affixed a label bearing a “Made in America” inscription, or any inscription with the same meaning, to any product sold in or shipped to the United States that is not made in the United States, the person shall be ineligible to receive any contract or subcontract made with funds made available in this Act, pursuant to the debarment, suspension, and ineligibility procedures described in sections 9.400 through 9.409 of title 48, Code of Federal Regulations.

SEC. 608. None of the funds made available in this Act may be used to implement, administer, or enforce any guidelines of the Equal Employment Opportunity Commission covering harassment based on religion, when it is made known to the Federal entity or official to which such funds are made available that such guidelines do not differ in any respect from the proposed guidelines published by the Commission on October 1, 1993 (58 Fed. Reg. 51266).

[SEC. 609. None of the funds made available by this Act may be used for any United Nations undertaking when it is made known to the Federal official having authority to obligate or expend such funds: (1) that the United Nations undertaking is a peacekeeping mission; (2) that such undertaking will involve United States Armed Forces under the command or operational control of a foreign national; and (3) that the President’s military advisors have not submitted to the President a recommendation that such involvement is in the national security interests of the United States and the President has not submitted to the Congress such a recommendation.]

[SEC. 610. (a) None of the funds appropriated or otherwise made available by this Act shall be expended for any purpose for which appropriations are prohibited by section 609 of the Departments of

Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 1999.

(b) The requirements in subparagraphs (A) and (B) of section 609 of that Act shall continue to apply during fiscal year 2002.】

SEC. 【611】 609. Hereafter, none of the funds appropriated or otherwise made available to the Bureau of Prisons shall be used to provide the following amenities or personal comforts in the Federal prison system—

(1) in-cell television viewing except for prisoners who are segregated from the general prison population for their own safety;

(2) the viewing of R, X, and NC-17 rated movies, through whatever medium presented;

(3) any instruction (live or through broadcasts) or training equipment for boxing, wrestling, judo, karate, or other martial art, or any bodybuilding or weightlifting equipment of any sort;

(4) possession of in-cell coffee pots, hot plates or heating elements; or

(5) the use or possession of any electric or electronic musical instrument.

【SEC. 612. (a) The President shall submit as part of the fiscal year 2003 budget to Congress a proposal to restructure the Department of Justice to include a coordinator of Department of Justice activities relating to combating domestic terrorism, including State and local grant programs subject to the authority of the Attorney General, and who will serve as the Department of Justice representative at interagency meetings on combating terrorism below the Cabinet level.

(b) If the President does not submit a proposal as described in subsection (a), or if Congress fails to enact legislation establishing a new position described in subsection (a), by June 30, 2002, then effective on such date subsections (c) through (f) shall take effect.

(c)(1) Section 504 of title 28, United States Code, is amended by inserting after “General” the following: “and a Deputy Attorney General for Combating Domestic Terrorism”.

(2) the Section heading for section 504 of title 28, United States Code, is amended by striking “Attorney” and inserting “Attorneys”.

(d) The Deputy Attorney General for Combating Domestic Terrorism (appointed under section 504 of title 28, United States Code, as amended by subsection (c)) shall—

(1) serve as the principal adviser to the Attorney General for combating terrorism, counterterrorism, and antiterrorism policy;

(2) have responsibility for coordinating all functions within the Department of Justice relating to combating domestic terrorism including—

(A) policies, plans, and oversight, as they relate to combating terrorism, counterterrorism, and antiterrorism activities;

(B) State and local preparedness for terrorist events;

(C) security classifications and clearances within the Department of Justice;

(D) contingency operations within the Department of Justice; and

(E) critical infrastructure.

(3) coordinate—

(A) all inter-agency interface between the Department of Justice and other departments, agencies, and entities of the United States, including State and local organizations, engaged in combating terrorism, counterterrorism, and antiterrorism activities; and

(B) the implementation of the national strategy for combating terrorism by State and local entities with responsibilities for combating domestic terrorism; and

(4) recommend changes in the organization and management of the Department of Justice and State and local entities engaged in combating domestic terrorism to the Attorney General.

(e) There is appropriated, out of any money in the Treasury of the United States not otherwise appropriated, for necessary expenses of the Office of the Deputy Attorney General for Combating Domestic Terrorism of the Department of Justice, \$1,000,000, to remain available until expended.

(f) Effective September 30, 2002, there is transferred to the Deputy Attorney General for Combating Domestic Terrorism all authorities, liabilities, funding, personnel, equipment, and real property employed or used by, or associated with, the Office of Domestic Preparedness, the National Domestic Preparedness Office, the Executive Office of National Security, and such appropriate components of the Office of Intelligence Policy and Review as relate to combating terrorism, counterterrorism, and antiterrorism activities.】

SEC. 【613】 610. Any costs incurred by a department or agency funded under this Act resulting from personnel actions taken in re-

sponse to funding reductions included in this Act shall be absorbed within the total budgetary resources available to such department or agency: *Provided*, That the authority to transfer funds between appropriations accounts as may be necessary to carry out this section is provided in addition to authorities included elsewhere in this Act: *Provided further*, That use of funds to carry out this section shall be treated as a reprogramming of funds under section 605 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section.

SEC. 【614】 611. Hereafter, none of the funds appropriated or otherwise made available to the Federal Bureau of Prisons may be used to distribute or make available any commercially published information or material to a prisoner when it is made known to the Federal official having authority to obligate or expend such funds that such information or material is sexually explicit or features nudity.

【SEC. 615. Of the funds appropriated in this Act under the heading “Office of Justice Programs—State and Local Law Enforcement Assistance”, not more than 90 percent of the amount to be awarded to an entity under the Local Law Enforcement Block Grant shall be made available to such an entity when it is made known to the Federal official having authority to obligate or expend such funds that the entity that employs a public safety officer (as such term is defined in section 1204 of title I of the Omnibus Crime Control and Safe Streets Act of 1968) does not provide such a public safety officer who retires or is separated from service due to injury suffered as the direct and proximate result of a personal injury sustained in the line of duty while responding to an emergency situation or a hot pursuit (as such terms are defined by State law) with the same or better level of health insurance benefits at the time of retirement or separation as they received while on duty.】

SEC. 【616】 612. None of the funds provided by this Act shall be available to promote the sale or export of tobacco or tobacco products, or to seek the reduction or removal by any foreign country of restrictions on the marketing of tobacco or tobacco products, except for restrictions which are not applied equally to all tobacco or tobacco products of the same type.

SEC. 【617】 613. (a) None of the funds appropriated or otherwise made available by this Act shall be expended for any purpose for which appropriations are prohibited by section 616 of the Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 1999, as amended.

【(b) Subsection (a)(1) of section 616 of that Act, as amended, is further amended by striking “Claudy Myrthil.”.】

【(c) (b) The requirements in subsections (b) and (c) of section 616 of that Act shall continue to apply during fiscal year 【2002】 2003.

SEC. 【618】 614. None of the funds appropriated pursuant to this Act or any other provision of law may be used for: (1) the implementation of any tax or fee in connection with the implementation of 18 U.S.C. 922(t); and (2) any system to implement 18 U.S.C. 922(t) that does not require and result in the destruction of any identifying information submitted by or on behalf of any person who has been determined not to be prohibited from owning a firearm.

SEC. 【619】 615. Notwithstanding any other provision of law, amounts deposited or available in the Fund established under 42 U.S.C. 10601 in any fiscal year in excess of 【\$550,000,000】 \$625,000,000 shall not be available for obligation until the following fiscal year, with the exception of emergency appropriations made available by Public Law 107-38 and transferred to the Fund: *Provided*, that up to \$50,000,000 of this amount may be for the *Antiterrorism Emergency Reserve authorized by Public Law 107-56*.

SEC. 【620】 616. None of the funds made available to the Department of Justice in this Act may be used to discriminate against or denigrate the religious or moral beliefs of students who participate in programs for which financial assistance is provided from those funds, or of the parents or legal guardians of such students.

SEC. 【621】 617. None of the funds appropriated or otherwise made available to the Department of State and the Department of Justice shall be available for the purpose of granting either immigrant or nonimmigrant visas, or both, consistent with the Secretary’s determination under section 243(d) of the Immigration and Nationality Act, to citizens, subjects, nationals, or residents of countries that the Attorney General has determined deny or unreasonably delay accepting the return of citizens, subjects, nationals, or residents under that section.

SEC. 【622】 618. None of the funds made available to the Department of Justice in this Act may be used for the purpose of trans-

porting an individual who is a prisoner pursuant to conviction for crime under State or Federal law and is classified as a maximum or high security prisoner, other than to a prison or other facility certified by the Federal Bureau of Prisons as appropriately secure for housing such a prisoner.

[SEC. 623. The requirements of section 312(a)(3) of the Magnuson-Stevens Fishery Conservation and Management Act shall not apply to funds made available by section 2201 of Public Law 106-246.]

[SEC. 624. (a) Section 203(i) of the Act entitled “An Act to approve a governing international agreement between the United States and the Republic of Poland, and for other purposes”, approved November 13, 1998, is amended by striking “2001” and inserting “2006”.]

[(b) Section 203 of such Act, as amended by subsection (a), is further amended by adding at the end the following:

“(j) Not later than December 31, 2001, and every 2 years thereafter, the Pacific State Marine Fisheries Commission shall submit to the Committee on Commerce, Science, and Transportation of the Senate and the Committee on Resources of the House of Representatives a report on the health and management of the Dungeness Crab fishery located off the coasts of the States of Washington, Oregon, and California.”.]

[SEC. 625. Section 140 of Public Law 97-92 (28 U.S.C. 461 note; 95 Stat. 1200) is amended by adding at the end the following: “This section shall apply to fiscal year 1981 and each fiscal year thereafter.”.]

[SEC. 626. (a) The President shall submit, by not later than the time of submission of the Budget of the United States Government for Fiscal Year 2003, a legislative proposal to establish a comprehensive program to ensure fair, equitable, and prompt compensation for all United States victims of international terrorism (or relatives of deceased United States victims of international terrorism) that occurred or occurs on or after November 1, 1979.

(b) The legislative proposal shall include, among other things, which types of events should be covered; which categories of individuals should be covered by a compensation program; the means by which United States victims of prior or future acts of international terrorism, including those with hostage claims against foreign states, will be covered; the establishment of a Special Master to administer the program; the categories of injuries for which there should be compensation; the process by which any collateral source of compensation to a victim (or a relative of a deceased victim) for an act of international terrorism shall be offset from any compensation that may be paid to that victim (or that relative) under the program established by this section; and identifiable sources of funds including assets of any state sponsor of terrorism to make payments under the program.

(c) Amend 28 U.S.C. Section 1605(a)(7)(A) by inserting at the end, before the semicolon, the following: “or the act is related to Case Number 1:00CV03110(ESG) in the United States District Court for the District of Columbia”.]

SEC. [627] 619. No funds appropriated by this Act may be used by Federal prisons to purchase cable television services, to rent or purchase videocassettes, videocassette recorders, or other audiovisual or electronic equipment used primarily for recreational purposes. The preceding sentence does not preclude the renting, maintenance, or purchase of audiovisual or electronic equipment for inmate training, religious, or educational programs.

[SEC. 628. Clause (ii) of section 621(5)(A) of the Communications Satellite Act of 1962 (47 U.S.C. 763(5)(A)) is amended by striking “on or about October 1, 2000,” and all that follows through the end and inserting “not later than December 31, 2002, except that the Commission may extend this deadline to not later than June 30, 2003.”]

[SEC. 629. For an additional amount for “Small Business Administration, Salaries and Expenses”, \$30,000,000, of which \$1,000,000 shall be available for a grant to Green Thumb, Inc., to expand activities serving small businesses and older entrepreneurs; \$500,000 shall be available for a grant to the New York Small Business Development Center to establish veterans business outreach programs; \$1,000,000 shall be for a grant to the University of West Florida for a virtual business accelerator program; \$1,000,000 shall be for a grant to Hamilton County, Tennessee, to establish a high-tech small business incubator; \$500,000 shall be available for a grant to the Oklahoma Department of Career and Technology Education for a technology-based program for vocational training for economic and job development; \$200,000 shall be available for a grant to Rural Enterprises, Inc., in Durant, Oklahoma, to continue support for a resource center for rural businesses; \$100,000 shall be available for a grant to Oklahoma

State University for a center for international trade development; \$300,000 shall be for a grant to the University of Montana to establish an economic development resource center; \$1,000,000 shall be for a grant to George Mason University to conduct an information technology business development program; \$1,500,000 shall be for a grant to Shenandoah University to develop a historical and tourism development facility; \$1,000,000 shall be for a grant to the Software Productivity Consortium to develop a facility to support demonstration programs on information technology and telework; \$1,000,000 shall be for a grant to the Southern Kentucky Tourism Development Association for continuation of a regional tourism promotion initiative; \$1,500,000 shall be for a grant to the Southern Kentucky Economic Development Corporation for regional infrastructure and economic development initiatives; \$450,000 shall be for a grant to Southern Kentucky Rehabilitation Industries for financial assistance and small business development; \$350,000 shall be available for a grant to the Catskill Mountain Foundation to develop facilities and small business assistance programs; \$500,000 shall be for a grant to the East Los Angeles Community Union to redevelop small business assistance facilities; \$300,000 shall be for a grant to the Rockford, Illinois, Health Council for a pilot program on small business health care insurance issues; \$2,000,000 shall be for a grant for the Illinois Coalition for a national demonstration project providing one-stop assistance for technology startup businesses; \$1,000,000 shall be for a grant to James Madison University for library programs and facilities to assist small businesses; \$300,000 shall be for a grant to Lewis and Clark College in Lewiston, Idaho, to develop a virtual business incubator; \$300,000 shall be for a grant to the City of Chesapeake, Virginia, to develop a community and microenterprise development facility; \$700,000 shall be for a grant to Social Compact for the “Realizing the Dream” initiative; \$1,000,000 shall be for a grant to Soundview Community in Action for a technology access and business improvement project; \$500,000 shall be for a grant to the Urban Justice Center in New York City for a community development project; \$1,000,000 shall be for a grant to the Bronx Child Study Center at the Bronx-Lebanon Hospital Center; \$2,000,000 shall be for a grant to the Los Angeles Conservancy for rebuilding and revitalization; \$2,000,000 shall be to the Rhode Island School of Design for the modernization of a building to establish a small business incubator; \$500,000 shall be for a grant to Johnstown Area Regional Industries for a High Technology Initiative and a Wireless/Digital Technology Program; \$400,000 shall be for a grant to Purdue University for the purposes of constructing the Purdue Regional Technology Center in Lake County, Indiana; \$500,000 shall be for a grant to the NTTC at Wheeling Jesuit University to continue the outreach program to assist small business development; \$400,000 shall be for a grant to the Infotonics Center of Excellence in Rochester, New York, for photonics incubation and business development; \$1,100,000 shall be for a grant to the MountainMade Foundation to fulfill its charter purposes and to continue the initiative developed by the NTTC for promotion, business and sites development, and education of artists and craftspeople; \$500,000 shall be for a grant to the West Virginia High Technology Consortium Foundation to develop a small business commercialization grant program; \$400,000 shall be for a grant to the National Corrections and Law Enforcement Training and Technology Center, Inc., to work in conjunction with the Office of Law Enforcement Technology Commercialization and the Moundsville Economic Development Council for continued operations of the National Corrections and Law Enforcement Training and Technology Center, and for infrastructure improvements associated with this initiative; \$500,000 shall be for a grant to the Chippewa Falls Industrial Development Corporation in Chippewa Falls, Wisconsin, for a business development assistance program; \$400,000 shall be for a grant to the National Center for e-Commerce at Polytechnic University in Brooklyn, New York; \$150,000 shall be for a grant to Portage County, Wisconsin, for the establishment of a revolving loan fund; \$1,000,000 shall be for a grant to the Upper Manhattan Empowerment Zone to develop a community accessible recreational area and economic development site along the Hudson River between 125th and 135th Streets; \$150,000 is for a grant to the Long Island Bay Shore Aquarium to develop a facility; \$500,000 is for a grant to Yonkers, New York, for the Nepperhan Valley Technology Center; and \$500,000 shall be for a grant for Greenpoint Manufacturing and Design Center to acquire certain properties to develop a small business incubator facility: *Provided*, That Section 633 of Public Law 106-553 is amended with respect to a grant of \$1,000,000 for the City of Oak Ridge, Tennessee, by inserting the words “through a subaward to the Oak Ridge Associated University for renovation and

expansion of a facility owned by the Oak Ridge Associated University” after “to support technology and economic development initiatives”.]

【SEC. 630. None of the funds appropriated or otherwise made available by this Act shall be available for cooperation with, or assistance or other support to, the International Criminal Court or the Preparatory Commission. This subsection shall not be construed to apply to any other entity outside the Rome treaty.】 (*Department of Commerce and Related Agencies Appropriations Act, 2002.*)

【GENERAL PROVISIONS—THIS CHAPTER】

【SEC. 201. Funds appropriated by this Act for the Broadcasting Board of Governors and the Department of State may be obligated and expended notwithstanding section 313 of the Foreign Relations Authorization Act, Fiscal Years 1994 and 1995, and section 15 of the State Department Basic Authorities Act of 1956, as amended.】

【SEC. 202. For purposes of assistance available under section 7(b)(2) and (4) of the Small Business Act (15 U.S.C. 636(b)(2) and (4)) to small business concerns located in disaster areas declared as a result of the September 11, 2001, terrorist attacks—

(i) the term “small business concern” shall include not-for-profit institutions and small business concerns described in United States Industry Codes 522320, 522390, 523210, 523920, 523991, 524113, 524114, 524126, 524128, 524210, 524291, 524292, and 524298 of the North American Industry Classification System (as described in 13 C.F.R. 121.201, as in effect on January 2, 2001);

(ii) the Administrator may apply such size standards as may be promulgated under such section 121.201 after the date of enactment of this provision, but no later than 1 year following the date of enactment of this Act; and

(iii) payments of interest and principal shall be deferred, and no interest shall accrue during the 2-year period following the issuance of such disaster loan.】

【SEC. 203. Notwithstanding any other provision of law, the limitation on the total amount of loans under section 7(b) of the Small Business Act (15 U.S.C. 636(b)) outstanding and committed to a borrower in the disaster areas declared in response to the September 11, 2001, terrorist attacks shall be increased to \$10,000,000 and the Administrator shall, in lieu of the fee collected under section 7(a)(23)(A) of the Small Business Act (15 U.S.C. 636(a)(23)(A)), collect an annual fee of 0.25 percent of the outstanding balance of deferred participation loans made under section 7(a) to small businesses adversely affected by the September 11, 2001, terrorist attacks and their aftermath, for a period of 1 year following the date of enactment and to the extent the costs of such reduced fees are offset by appropriations provided by this Act.】

【SEC. 204. Not later than April 1, 2002, the Secretary of State shall submit to the Committees on Appropriations, in both classified and unclassified form, a report on the United States-People’s Republic of China Science and Technology Agreement of 1979, including all protocols. The report is intended to provide a comprehensive evalua-

tion of the benefits of the agreement to the Chinese economy, military, and defense industrial base. The report shall include the following elements:

(1) an accounting of all activities conducted under the Agreement for the past 5 years, and a projection of activities to be undertaken through 2010;

(2) an estimate of the annual cost to the United States to administer the Agreement;

(3) an assessment of how the Agreement has influenced the policies of the People’s Republic of China toward scientific and technological cooperation with the United States;

(4) an analysis of the involvement of Chinese nuclear weapons and military missile specialists in the activities of the Joint Commission;

(5) a determination of the extent to which the activities conducted under the Agreement have enhanced the military and industrial base of the People’s Republic of China, and an assessment of the impact of projected activities through 2010, including transfers of technology, on China’s economic and military capabilities; and

(6) recommendations on improving the monitoring of the activities of the Commission by the Secretaries of Defense and State.

The report shall be developed in consultation with the Secretaries of Commerce, Defense, and Energy, the Directors of the National Science Foundation and the Federal Bureau of Investigation, and the intelligence community.】

【SEC. 205. From within funds available to the State of Alaska or the Alaska Region of the National Marine Fisheries Service, an additional \$500,000 may be made available for the cost of guaranteeing the reduction loan authorized under section 144(d)(4)(A) of title I, division B of Public Law 106–554 (114 Stat. 2763A–242) and that subparagraph is amended to read as follows: “(4)(A) The fishing capacity reduction program required under this subsection is authorized to be financed through a reduction loan of \$100,000,000 under sections 1111 and 1112 of title XI of the Merchant Marine Act, 1936 (46 U.S.C. App. 1279f and 1279g).”.]

【SEC. 206. Title IV of the Departments of Commerce, Justice, and State, the Judiciary and Related Agencies Appropriations Act, 2002 (Public Law 107–77) is amended in the third proviso of the first undesignated paragraph under the heading “Diplomatic and Consular Programs” by striking “this heading” and inserting “the appropriations accounts within the Administration of Foreign Affairs”.】

【SEC. 207. Title V of the Departments of Commerce, Justice, and State, the Judiciary and Related Agencies Appropriations Act, 2002 (Public Law 107–77) is amended in the proviso under the heading “Commission on Ocean Policy” by striking “appointment” and inserting “the first meeting of the Commission”.】

【SEC. 208. Section 626(c) of the Departments of Commerce, Justice, and State, the Judiciary and Related Agencies Appropriations Act, 2002 (Public Law 107–77) is amended by striking “1:00CV03110(ESG)” and inserting “1:00CV03110(EGS)”.】 (*Emergency Supplemental Act, 2002.*)

DEPARTMENT OF DEFENSE—MILITARY

MILITARY PERSONNEL

ACTIVE FORCES

These appropriations finance the personnel costs of the active duty forces of the Army, Navy, Marine Corps, and Air Force. They include pay and allowances of officers, enlisted personnel, cadets and midshipmen, permanent change of station travel and other military personnel costs. Changes in requirements are primarily related to changes in military strengths and military pay increases. In addition, these appropriations finance the future retirement benefits of current active duty service members and the accruing cost of health care for medicare-eligible military retirees. While most of the costs financed by these appropriations are set by statute or executive order, the estimates reflect efforts to improve management, including the re-engineering of military travel, significant use of bonus programs, and the implementation of audit recommendations. The request includes funding for a 4.1 percent increase in basic military pay and approximately \$47.8 million for personnel-related initiatives in the President's Fiscal Year 2003 legislative program.

Resources in the Military Personnel title have allowed the Department to attract and retain highly qualified and trained personnel. In 2001, the last year for which data is available, all the military services met or exceeded their recruiting and retention goals, thereby satisfying the Department's performance goal of assuring personnel readiness.

	YEAR-END NUMBER		
	2001 actual	2002 est.	2003 est.
Defense total	1,385,116	1,387,400	1,389,700
Officers	217,011	217,428	217,415
Enlisted	1,155,344	1,157,972	1,160,285
Academy cadets and midshipmen	12,761	12,000	12,000
Army	480,801	480,000	480,000
Officers	76,179	75,733	75,961
Enlisted	400,461	400,267	400,039
Military Academy cadets	4,161	4,000	4,000
Navy	377,810	376,000	375,700
Officers	53,908	53,741	53,866
Enlisted	319,601	318,259	317,834
Naval Academy midshipmen	4,301	4,000	4,000
Marine Corps	172,934	172,600	175,000
Officers	18,062	17,888	18,088
Enlisted	154,872	154,712	156,912
Air Force	353,571	358,800	359,000
Officers	68,862	70,066	69,500
Enlisted	280,410	284,734	285,500
Air Force Academy cadets	4,299	4,000	4,000

	AVERAGE NUMBER		
	2001 actual	2002 est.	2003 est.
Defense total	1,387,400	1,385,599	1,397,249
Officers	218,093	219,345	220,039
Enlisted	1,156,886	1,154,181	1,165,199
Academy cadets and midshipmen	12,421	12,073	12,011
Army	483,905	479,675	485,546

Officers	76,983	77,154	76,769
Enlisted	402,931	398,521	404,777
Military Academy cadets	3,991	4,000	4,000
Navy	372,936	373,796	372,637
Officers	53,562	53,825	53,625
Enlisted	315,178	316,128	315,170
Naval Academy midshipmen	4,196	3,843	3,842
Marine Corps	172,320	171,106	174,200
Officers	18,117	18,061	18,238
Enlisted	154,203	153,045	155,962
Air Force	358,239	361,022	364,866
Officers	69,431	70,305	71,407
Enlisted	284,574	286,487	289,290
Air Force Academy cadets	4,234	4,230	4,169

Federal Funds

General and special funds:

MILITARY PERSONNEL, ARMY

For pay, allowances, individual clothing, subsistence, interest on deposits, gratuities, permanent change of station travel (including all expenses thereof for organizational movements), and expenses of temporary duty travel between permanent duty stations, for members of the Army on active duty (except members of reserve components provided for elsewhere), cadets, and aviation cadets; and for payments pursuant to section 156 of Public Law 97-377, as amended (42 U.S.C. 402 note), and to the Department of Defense Military Retirement Fund, **[\$23,752,384,000] \$27,079,392,000.** (10 U.S.C. 701-04, 744, 956, 1035, 1037, 1047-49, 1212, 1475-80, 2389, 2421, 2634, 3687, 4561, 4562, 4741; chapters 3, 5, 7, and 9 of title 37, United States Code; Department of Defense Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 21-2010-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Pay and allowances of officers	6,391	6,616	7,161
00.02 Pay and allowances of enlisted personnel	13,578	14,528	17,174
00.03 Pay and allowances of cadets	42	47	47
00.04 Subsistence of enlisted personnel	1,408	1,394	1,420
00.05 Permanent change of station travel	1,166	1,000	1,140
00.06 Other military personnel costs	128	128	137
09.01 Reimbursable program	153	159	294
10.00 Total new obligations	22,866	23,872	27,373
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	100		
22.00 New budget authority (gross)	22,704	23,872	27,373
22.22 Unobligated balance transferred from other accounts	62		
23.90 Total budgetary resources available for obligation	22,866	23,872	27,373
23.95 Total new obligations	-22,866	-23,872	-27,373

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	22,162	23,708	27,059
41.00 Transferred to other DoD accounts	-2		
42.00 Transferred from other DoD accounts	391		
43.00 Appropriation (total discretionary)	22,551	23,708	27,059
Mandatory:			
60.00 Appropriation		5	20
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	109	159	294

General and special funds—Continued

MILITARY PERSONNEL, ARMY—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 21-2010-0-1-051	2001 actual	2002 est.	2003 est.
68.10 Change in uncollected customer payments from Federal sources (unexpired)	44		
68.90 Spending authority from offsetting collections (total discretionary)	153	159	294
70.00 Total new budget authority (gross)	22,704	23,872	27,373
Change in obligated balances:			
72.40 Obligated balance, start of year	1,352	2,092	2,288
73.10 Total new obligations	22,866	23,872	27,373
73.20 Total outlays (gross)	-21,728	-23,676	-26,918
73.40 Adjustments in expired accounts (net)	-462		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-44		
74.10 Change in uncollected customer payments from Federal sources (expired)	107		
74.40 Obligated balance, end of year	2,092	2,288	2,744
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	21,248	22,207	25,459
86.93 Outlays from discretionary balances	480	1,463	1,439
86.97 Outlays from new mandatory authority		5	19
87.00 Total outlays (gross)	21,728	23,676	26,918
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-148	-128	-263
88.40 Non-Federal sources	-26	-31	-31
88.90 Total, offsetting collections (cash)	-174	-159	-294
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-44		
88.96 Portion of offsetting collections (cash) credited to expired accounts	65		
Net budget authority and outlays:			
89.00 Budget authority	22,551	23,713	27,079
90.00 Outlays	21,554	23,517	26,624

Summary of Budget Authority and Outlays

(in millions of dollars)

Enacted/requested:	2001 actual	2002 est.	2003 est.
Budget Authority	22,551	23,713	27,079
Outlays	21,554	23,516	26,623
Legislative proposal, not subject to PAYGO:			
Budget Authority			10
Outlays			9
Total:			
Budget Authority	22,551	23,713	27,089
Outlays	21,554	23,516	26,632

Object Classification (in millions of dollars)

Identification code 21-2010-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.7 Personnel compensation: Military personnel	15,946	16,623	17,923
Military personnel benefits:			
12.2 Accrued retirement benefits	3,469	3,813	3,610
12.2 Other personnel benefits	2,139	2,176	2,286
12.2 Military personnel benefits			2,214
13.0 Benefits for former personnel	91	88	59
21.0 Travel and transportation of persons	319	326	331
22.0 Transportation of things	611	562	525
25.7 Operation and maintenance of equipment	40	29	27
26.0 Supplies and materials	95	88	101
42.0 Insurance claims and indemnities	3	8	3
99.0 Direct obligations	22,713	23,713	27,079
99.0 Reimbursable obligations	153	159	294
99.9 Total new obligations	22,866	23,872	27,373

MILITARY PERSONNEL, ARMY
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 21-2010-2-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Pay and allowances of enlisted personnel			8
00.04 Subsistence of enlisted personnel			2
00.05 Permanent change of station travel			1
10.00 Total new obligations (object class 11.7)			10
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			10
23.95 Total new obligations			-10
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation			10
Change in obligated balances:			
73.10 Total new obligations			10
73.20 Total outlays (gross)			-9
74.40 Obligated balance, end of year			1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority			9
Net budget authority and outlays:			
89.00 Budget authority			10
90.00 Outlays			9

MILITARY PERSONNEL, NAVY

For pay, allowances, individual clothing, subsistence, interest on deposits, gratuities, permanent change of station travel (including all expenses thereof for organizational movements), and expenses of temporary duty travel between permanent duty stations, for members of the Navy on active duty (except members of the Reserve provided for elsewhere), midshipmen, and aviation cadets; and for payments pursuant to section 156 of Public Law 97-377, as amended (42 U.S.C. 402 note), and to the Department of Defense Military Retirement Fund, **[\$19,551,484,000] \$22,074,901,000.** (10 U.S.C. 600, 683-4, 701-4, 744, 956, 1035, 1037, 1047-49, 1212, 1475-80, 2421, 2634, 5413-14, 5441-42, 5444, 5446, 5450-51, 5454, 5501, 5503, 6081-86, 6221, 6911-12, 6960, 6969; 26 U.S.C. 3121; chapters 3, 5, 7, 9, and 10 of title 37, United States Code; Department of Defense Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 17-1453-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Pay and allowances of officers	4,718	5,006	5,320
00.02 Pay and allowances of enlisted personnel	11,765	12,919	15,030
00.03 Pay and allowances of cadets	43	45	47
00.04 Subsistence of enlisted personnel	803	856	875
00.05 Permanent change of station travel	652	654	725
00.06 Other military personnel costs	61	70	78
09.01 Reimbursable program	235	222	399
10.00 Total new obligations	18,277	19,772	22,474
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	74		
22.00 New budget authority (gross)	18,175	19,772	22,474
22.22 Unobligated balance transferred from other DoD accounts	44		
23.90 Total budgetary resources available for obligation	18,292	19,772	22,474
23.95 Total new obligations	-18,277	-19,772	-22,474
23.98 Unobligated balance expiring or withdrawn	-15		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	17,803	19,545	22,064
41.00 Transferred to other DoD accounts	-41		

42.00	Transferred from other DoD accounts	177		
43.00	Appropriation (total discretionary)	17,940	19,545	22,064
	Mandatory:			
60.00	Appropriation		6	10
	Spending authority from offsetting collections:			
	Discretionary:			
68.00	Offsetting collections (cash)	122	222	399
68.10	Change in uncollected customer payments from Federal sources (unexpired)	113		
68.90	Spending authority from offsetting collections (total discretionary)	235	222	399
70.00	Total new budget authority (gross)	18,175	19,772	22,474
Change in obligated balances:				
72.40	Obligated balance, start of year	519	1,029	1,182
73.10	Total new obligations	18,277	19,772	22,474
73.20	Total outlays (gross)	-17,728	-19,620	-22,235
73.40	Adjustments in expired accounts (net)	-10		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-113		
74.10	Change in uncollected customer payments from Federal sources (expired)	84		
74.40	Obligated balance, end of year	1,029	1,182	1,421
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	17,535	19,024	21,625
86.93	Outlays from discretionary balances	193	590	600
86.97	Outlays from new mandatory authority		6	10
87.00	Total outlays (gross)	17,728	19,620	22,235
Offsets:				
	Against gross budget authority and outlays:			
	Offsetting collections (cash) from:			
88.00	Federal sources	-36	-222	-399
88.40	Non-Federal sources	-163		
88.90	Total, offsetting collections (cash)	-199	-222	-399
	Against gross budget authority only:			
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-113		
88.96	Portion of offsetting collections (cash) credited to expired accounts	77		
Net budget authority and outlays:				
89.00	Budget authority	17,940	19,551	22,075
90.00	Outlays	17,529	19,398	21,836

Summary of Budget Authority and Outlays

(in millions of dollars)

Enacted/requested:	2001 actual	2002 est.	2003 est.
Budget Authority	17,940	19,551	22,074
Outlays	17,529	19,398	21,836
Legislative proposal, not subject to PAYGO:			
Budget Authority			20
Outlays			19
Total:			
Budget Authority	17,940	19,551	22,094
Outlays	17,529	19,398	21,855

Object Classification (in millions of dollars)

Identification code 17-1453-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.7 Personnel compensation: Military personnel	12,711	13,775	14,581
Military personnel benefits:			
12.2 Accrued retirement benefits	2,653	2,929	2,763
12.2 Other personnel benefits	1,982	2,150	2,250
12.2 Military personnel benefits			1,708
13.0 Benefits for former personnel	46	49	54
21.0 Travel and transportation of persons	177	185	194
22.0 Transportation of things	386	376	434
25.7 Operation and maintenance of equipment	10	11	12
26.0 Supplies and materials	77	75	79
99.0 Direct obligations	18,042	19,550	22,075
99.0 Reimbursable obligations	235	222	399
99.9 Total new obligations	18,277	19,772	22,474

MILITARY PERSONNEL, NAVY
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 17-1453-2-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Pay and allowances of officers			2
00.02 Pay and allowances of enlisted personnel			16
00.04 Subsistence of enlisted personnel			1
00.05 Permanent change of station travel			1
10.00 Total new obligations (object class 11.7)			20
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			20
23.95 Total new obligations			-20
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation			20
Change in obligated balances:			
73.10 Total new obligations			20
73.20 Total outlays (gross)			-19
74.40 Obligated balance, end of year			1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority			19
Net budget authority and outlays:			
89.00 Budget authority			20
90.00 Outlays			19

MILITARY PERSONNEL, MARINE CORPS

For pay, allowances, individual clothing, subsistence, interest on deposits, gratuities, permanent change of station travel (including all expenses thereof for organizational movements), and expenses of temporary duty travel between permanent duty stations, for members of the Marine Corps on active duty (except members of the Reserve provided for elsewhere); and for payments pursuant to section 156 of Public Law 97-377, as amended (42 U.S.C. 402 note), and to the Department of Defense Military Retirement Fund, **[\$7,345,340,000] \$8,558,887,000.** (10 U.S.C. 956, 1035, 1047-49, 1212, 1475-80, 2634, 5413-14, 5441, 5443, 5446, 5451, 5454, 5456, 5458, 5502-03, 6032, 6081-86, 6148, 6222; 12 U.S.C. 1715m; chapters 3, 5, 7, and 9 of title 37, United States Code; 41 U.S.C. 1594d; Department of Defense Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 17-1105-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Pay and allowances of officers	1,435	1,538	1,676
00.02 Pay and allowances of enlisted personnel	4,768	5,098	6,119
00.04 Subsistence of enlisted personnel	395	423	446
00.05 Permanent change of station travel	262	247	280
00.06 Other military personnel costs	32	31	38
09.01 Reimbursable program	30	32	32
10.00 Total new obligations	6,923	7,367	8,591
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4		
22.00 New budget authority (gross)	6,928	7,367	8,591
22.22 Unobligated balance transferred from other accounts	16		
23.90 Total budgetary resources available for obligation	6,948	7,367	8,591
23.95 Total new obligations	-6,923	-7,367	-8,591
23.98 Unobligated balance expiring or withdrawn	-24		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	6,888	7,334	8,556
41.00 Transferred to other DoD accounts	-16		
42.00 Transferred from other DoD accounts	26		
43.00 Appropriation (total discretionary)	6,898	7,334	8,556

General and special funds—Continued

MILITARY PERSONNEL, MARINE CORPS—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 17-1105-0-1-051	2001 actual	2002 est.	2003 est.
Mandatory:			
60.00 Appropriation		2	3
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	16	32	32
68.10 Change in uncollected customer payments from Federal sources (unexpired)	14		
68.90 Spending authority from offsetting collections (total discretionary)	30	32	32
70.00 Total new budget authority (gross)	6,928	7,367	8,591
Change in obligated balances:			
72.40 Obligated balance, start of year	305	441	543
73.10 Total new obligations	6,923	7,367	8,591
73.20 Total outlays (gross)	-6,749	-7,265	-8,476
73.40 Adjustments in expired accounts (net)	-29		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-14		
74.10 Change in uncollected customer payments from Federal sources (expired)	5		
74.40 Obligated balance, end of year	441	543	658
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	6,622	7,051	8,220
86.93 Outlays from discretionary balances	127	213	253
86.97 Outlays from new mandatory authority		2	3
87.00 Total outlays (gross)	6,749	7,265	8,476
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-14	-32	-32
88.40 Non-Federal sources	-4		
88.90 Total, offsetting collections (cash)	-18	-32	-32
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-14		
88.96 Portion of offsetting collections (cash) credited to expired accounts	2		
Net budget authority and outlays:			
89.00 Budget authority	6,898	7,335	8,559
90.00 Outlays	6,731	7,233	8,444

Object Classification (in millions of dollars)

Identification code 17-1105-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.7 Personnel compensation: Military personnel	4,833	5,178	5,576
Military personnel benefits:			
12.2 Accrued retirement benefits	1,103	1,194	1,158
12.2 Other personnel benefits	584	596	614
12.2 Military personnel benefits			802
13.0 Benefits for former personnel	28	21	30
21.0 Travel and transportation of persons	63	61	81
22.0 Transportation of things	163	157	150
25.8 Subsistence and support of persons	86	85	107
26.0 Supplies and materials	32	43	40
42.0 Insurance claims and indemnities	1	1	1
99.0 Direct obligations	6,893	7,336	8,559
99.0 Reimbursable obligations	30	31	32
99.9 Total new obligations	6,923	7,367	8,591

MILITARY PERSONNEL, AIR FORCE

For pay, allowances, individual clothing, subsistence, interest on deposits, gratuities, permanent change of station travel (including all expenses thereof for organizational movements), and expenses of temporary duty travel between permanent duty stations, for members

of the Air Force on active duty (except members of reserve components provided for elsewhere), cadets, and aviation cadets; and for payments pursuant to section 156 of Public Law 97-377, as amended (42 U.S.C. 402 note), and to the Department of Defense Military Retirement Fund, **[\$19,724,014,000] \$22,142,585,000.** (10 U.S.C. 503, 504-09, 518-19, 600, 683-84, 687, 701-04, 744, 956, 1035-37, 1047-49, 1211-12, 1331, 1475-80, 2632, 2634, 8033, 8036, 8066, 8201-15, 8281, 8284-89, 8293-8303, 8305-10, 8312-13, 8441-49, 8451-52, 8491, 8494-8504, 8531, 8687, 8722, 9306, 9331-37, 9341-55, 9441, 9561-63, 9741-43; 12 U.S.C. 1715m; 33 U.S.C. 855, 858; chapters 3, 5, 7, 9, 10, and 11 of title 37, United States Code; 49 U.S.C. 1657; Department of Defense Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 57-3500-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Pay and allowances of officers	6,038	6,515	6,931
00.02 Pay and allowances of enlisted personnel	10,530	11,418	13,286
00.03 Pay and allowances of cadets	41	49	50
00.04 Subsistence of enlisted personnel	776	785	817
00.05 Permanent change of station travel	909	877	987
00.06 Other military personnel costs	49	61	71
09.01 Reimbursable program	190	190	444
10.00 Total new obligations	18,533	19,895	22,587
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	8		
22.00 New budget authority (gross)	18,454	19,895	22,587
22.22 Unobligated balance transferred from other accounts	72		
23.90 Total budgetary resources available for obligation	18,533	19,895	22,587
23.95 Total new obligations	-18,533	-19,895	-22,587
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	18,147	19,690	22,122
41.00 Transferred to other DoD accounts	-4		
42.00 Transferred from other DoD accounts	121		
43.00 Appropriation (total discretionary)	18,264	19,690	22,122
Mandatory:			
60.00 Appropriation		14	20
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	191	190	444
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-1		
68.90 Spending authority from offsetting collections (total discretionary)	190	190	444
70.00 Total new budget authority (gross)	18,454	19,895	22,587
Change in obligated balances:			
72.40 Obligated balance, start of year	587	1,610	1,739
73.10 Total new obligations	18,533	19,895	22,587
73.20 Total outlays (gross)	-17,516	-19,765	-22,334
73.40 Adjustments in expired accounts (net)	-27		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	1		
74.10 Change in uncollected customer payments from Federal sources (expired)	31		
74.40 Obligated balance, end of year	1,610	1,739	1,992
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	17,192	18,561	21,084
86.93 Outlays from discretionary balances	324	1,191	1,231
86.97 Outlays from new mandatory authority		13	19
86.98 Outlays from mandatory balances			1
87.00 Total outlays (gross)	17,516	19,765	22,334
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-31	-166	-444
88.40 Non-Federal sources	-190	-24	
88.90 Total, offsetting collections (cash)	-221	-190	-444
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	1		

88.96	Portion of offsetting collections (cash) credited to expired accounts	30		
Net budget authority and outlays:				
89.00	Budget authority	18,264	19,705	22,143
90.00	Outlays	17,295	19,575	21,890

Summary of Budget Authority and Outlays

(in millions of dollars)

Enacted/requested:	2001 actual	2002 est.	2003 est.
Budget Authority	18,264	19,704	22,142
Outlays	17,295	19,575	21,891
Legislative proposal, not subject to PAYGO:			
Budget Authority			15
Outlays			14
Total:			
Budget Authority	18,264	19,704	22,157
Outlays	17,295	19,575	21,905

Object Classification (in millions of dollars)

Identification code 57-3500-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.7 Personnel compensation: Military personnel	12,690	13,327	14,117
Military personnel benefits:			
12.2 Accrued retirement benefits	2,835	3,158	3,015
12.2 Other personnel benefits	1,890	2,156	2,191
12.2 Military personnel benefits			1,656
13.0 Benefits for former personnel	109	295	285
21.0 Travel and transportation of persons	250	203	220
22.0 Transportation of things	500	500	588
25.7 Operation and maintenance of equipment	23	21	24
26.0 Supplies and materials	43	42	44
42.0 Insurance claims and indemnities	2	2	2
43.0 Interest and dividends	1	1	1
99.0 Direct obligations	18,343	19,705	22,143
99.0 Reimbursable obligations	190	190	444
99.9 Total new obligations	18,533	19,895	22,587

MILITARY PERSONNEL, AIR FORCE

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 57-3500-2-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Pay and allowances of officers			10
00.04 Subsistence of enlisted personnel			4
10.00 Total new obligations (object class 11.7)			15
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			15
23.95 Total new obligations			-15
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation			15
Change in obligated balances:			
73.10 Total new obligations			15
73.20 Total outlays (gross)			-14
74.40 Obligated balance, end of year			1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority			14
Net budget authority and outlays:			
89.00 Budget authority			15
90.00 Outlays			14

RESERVE FORCES

These appropriations finance the personnel costs of the National Guard and Reserve forces, including the future retire-

ment benefits of the current Reserve forces. The estimates reflect continuing efforts to improve management efficiency including, for example, more economical use of training and recruiting resources as well as the undertaking of active missions at lower costs.

The number of National Guard and Reserve personnel estimated to participate in the Selected Reserve training programs and the number of full-time active duty military personnel provided for are summarized in the following table.

YEAR-END NUMBER

	2001 actual	2002 est.	2003 est.
Defense total	868,534	863,958	864,558
Trained inactive duty	743,123	747,306	744,248
Training pipeline	60,128	49,448	52,926
Full-time active duty	65,283	67,204	67,384
Army Reserve	205,628	205,000	205,000
Trained inactive duty	176,479	178,534	177,137
Training pipeline	16,043	13,060	14,275
Full-time active duty	13,106	13,406	13,588
Navy Reserve	87,913	86,300	87,800
Trained inactive duty	73,317	71,316	73,055
Training pipeline	24	173	173
Full-time active duty	14,572	14,811	14,572
Marine Corps Reserve	39,810	39,558	39,558
Trained inactive duty	34,614	34,280	34,280
Training pipeline	2,928	3,017	3,017
Full-time active duty	2,268	2,261	2,261
Air Force Reserve	74,869	74,700	75,600
Trained inactive duty	71,706	72,346	72,645
Training pipeline	1,818	917	1,457
Full-time active duty	1,345	1,437	1,498
Army National Guard	351,829	350,000	350,000
Trained inactive duty	296,430	296,421	294,628
Training pipeline	32,276	29,881	31,604
Full-time active duty	23,123	23,698	23,768
Air National Guard	108,485	108,400	106,600
Trained inactive duty	90,577	94,409	92,503
Training pipeline	7,039	2,400	2,400
Full-time active duty	10,869	11,591	11,697

The Reserve Officers' Training Corps program provides training for reserve and regular officer candidates who have enrolled in the course while attending a college at which an ROTC unit has been established. College graduates who satisfactorily complete the advanced course of the program are commissioned and may be ordered to active duty for a minimum of 3 years.

The Reserve Officers' Training Corps Vitalization Act of 1964, as amended, authorizes a limited number of scholarships for ROTC students on a competitive basis. Successful candidates for the scholarships generally serve a minimum period of 4 years on active duty upon graduation and appointment as a commissioned officer. A number of scholarship recipients will fulfill their entire obligation in the Reserve components.

The Armed Forces health professions scholarship program provides a source of active duty commissioned officers for the various health professions.

The numbers of commissioned officers graduated from these programs are summarized below:

	2001 actual	2002 est.	2003 est.
ROTC:			
Army	2,135	2,265	2,330
Navy	1,063	1,225	1,225
Air Force	1,971	1,929	2,025

	2001 actual	2002 est.	2003 est.
Total	5,169	5,419	5,580
Marine Corps officer candidates	302	463	382
Total	5,471	5,882	5,962
Health professions scholarship:			
Army	370	393	379
Navy	364	364	374
Air Force	289	320	352
Total	1,023	1,077	1,105

Federal Funds

General and special funds:

RESERVE PERSONNEL, ARMY

For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Army Reserve on active duty under sections 10211, 10302, and 3038 of title 10, United States Code, or while serving on active duty under section 12301(d) of title 10, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing reserve training, or while performing drills or equivalent duty or other duty, and for members of the Reserve Officers' Training Corps, and expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, **[\$2,670,197,000]** \$3,398,555,000. (10 U.S.C. 683, 1475-80, 2101-11, 3722; 37 U.S.C. 204, 206, 209, 301, 305, 402-04, 414-18, 1002; Department of Defense Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 21-2070-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Unit and individual training	1,188	1,275	1,769
00.02 Other training and support	1,331	1,400	1,629
09.01 Reimbursable program	18	25	25
10.00 Total new obligations	2,536	2,701	3,424
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	2,538	2,701	3,424
23.95 Total new obligations	-2,536	-2,701	-3,424
23.98 Unobligated balance expiring or withdrawn	-1		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	2,525	2,675	3,399
41.00 Transferred to other DoD accounts	-15		
42.00 Transferred from other DoD accounts	10		
43.00 Appropriation (total discretionary)	2,520	2,675	3,399
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	15	25	25
68.10 Change in uncollected customer payments from Federal sources (unexpired)	3		
68.90 Spending authority from offsetting collections (total discretionary)	18	25	25
70.00 Total new budget authority (gross)	2,538	2,701	3,424
Change in obligated balances:			
72.40 Obligated balance, start of year	176	300	386
73.10 Total new obligations	2,536	2,701	3,424
73.20 Total outlays (gross)	-2,389	-2,615	-3,297
73.40 Adjustments in expired accounts (net)	-26		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-3		
74.10 Change in uncollected customer payments from Federal sources (expired)	5		
74.40 Obligated balance, end of year	300	386	513
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2,281	2,443	3,098
86.93 Outlays from discretionary balances	108	171	199

87.00	Total outlays (gross)	2,389	2,615	3,297
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-19	-25	-25
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-3		
88.96	Portion of offsetting collections (cash) credited to expired accounts	4		
Net budget authority and outlays:				
89.00	Budget authority	2,520	2,675	3,399
90.00	Outlays	2,369	2,590	3,271

Summary of Budget Authority and Outlays

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Enacted/requested:			
Budget Authority	2,520	2,675	3,399
Outlays	2,370	2,589	3,272
Legislative proposal, not subject to PAYGO:			
Budget Authority			1
Outlays			1
Total:			
Budget Authority	2,520	2,675	3,400
Outlays	2,370	2,589	3,273

Object Classification (in millions of dollars)

Identification code 21-2070-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.7 Personnel compensation: Military personnel	1,388	1,568	1,753
Military personnel benefits:			
12.2 Accrued retirement benefits	425	300	315
12.2 Other personnel benefits	421	506	589
12.2 Military personnel benefits			402
21.0 Travel and transportation of persons	199	158	171
22.0 Transportation of things	13	17	27
25.8 Subsistence and support of persons	39	54	58
26.0 Supplies and materials	33	72	83
99.0 Direct obligations	2,518	2,675	3,398
99.0 Reimbursable obligations	18	26	26
99.9 Total new obligations	2,536	2,701	3,424

RESERVE PERSONNEL, ARMY

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 21-2070-2-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Other training and support			1
10.00 Total new obligations (object class 11.7)			1
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			1
23.95 Total new obligations			-1
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation			1
Change in obligated balances:			
73.10 Total new obligations			1
73.20 Total outlays (gross)			-1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority			1
Net budget authority and outlays:			
89.00 Budget authority			1
90.00 Outlays			1

RESERVE PERSONNEL, NAVY

For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Navy Reserve on active duty under section 10211 of title 10, United States Code, or while serving on active duty under section 12301(d) of title 10, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing reserve training, or while performing drills or equivalent duty, and for members of the Reserve Officers' Training Corps, and expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, **[\$1,654,523,000] \$1,927,152,000.** (10 U.S.C. 600, 683-4, 1475-80, 2031, 2101-11, 5456-57, 6081-86, 6148; 26 U.S.C. 3121; 37 U.S.C. 204, 206, 301, 305, 402-4, 415-18, 427, 1002; 38 U.S.C. 701-12; Department of Defense Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 17-1405-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Unit and individual training	646	678	840
00.02 Other training and support	934	977	1,087
09.01 Reimbursable program	17	28	30
10.00 Total new obligations	1,598	1,682	1,957
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	1,603	1,682	1,957
23.95 Total new obligations	-1,598	-1,682	-1,957
23.98 Unobligated balance expiring or withdrawn	-5		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1,576	1,655	1,927
41.00 Transferred to other accounts	-8		
42.00 Transferred from other accounts	17		
43.00 Appropriation (total discretionary)	1,585	1,655	1,927
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	16	28	30
68.10 Change in uncollected customer payments from Federal sources (unexpired)	1		
68.90 Spending authority from offsetting collections (total discretionary)	17	28	30
70.00 Total new budget authority (gross)	1,603	1,682	1,957
Change in obligated balances:			
72.40 Obligated balance, start of year	364	464	501
73.10 Total new obligations	1,598	1,682	1,957
73.20 Total outlays (gross)	-1,528	-1,646	-1,864
73.40 Adjustments in expired accounts (net)	26		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-1		
74.10 Change in uncollected customer payments from Federal sources (expired)	6		
74.40 Obligated balance, end of year	464	501	594
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1,399	1,511	1,757
86.93 Outlays from discretionary balances	129	136	107
87.00 Total outlays (gross)	1,528	1,646	1,864
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-20	-28	-30
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-1		
88.96 Portion of offsetting collections (cash) credited to expired accounts	4		
Net budget authority and outlays:			
89.00 Budget authority	1,585	1,655	1,927
90.00 Outlays	1,508	1,618	1,834

Object Classification (in millions of dollars)

Identification code 17-1405-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.7 Personnel compensation: Military personnel	1,098	1,118	1,182
Military personnel benefits:			
12.2 Accrued retirement benefits	179	192	190
12.2 Other personnel benefits	90	123	131
12.2 Military personnel benefits			194
21.0 Travel and transportation of persons	157	165	171
22.0 Transportation of things	16	16	16
25.8 Subsistence and support of persons	9	9	9
26.0 Supplies and materials	28	28	31
42.0 Insurance claims and indemnities	3	3	3
99.0 Direct obligations	1,580	1,654	1,927
99.0 Reimbursable obligations	18	28	30
99.9 Total new obligations	1,598	1,682	1,957

RESERVE PERSONNEL, MARINE CORPS

For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Marine Corps Reserve on active duty under section 10211 of title 10, United States Code, or while serving on active duty under section 12301(d) of title 10, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing reserve training, or while performing drills or equivalent duty, and for members of the Marine Corps platoon leaders class, and expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, **[\$471,200,000] \$557,883,000.** (10 U.S.C. 600, 683, 1475-80, 2031, 2101-11, 5456, 5458, 6081-86, 6148; 37 U.S.C. 206, 301, 305, 402-04, 415-18, 1002; Department of Defense Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 17-1108-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Unit and individual training	242	254	329
00.02 Other training and support	209	218	229
09.01 Reimbursable program	1	1	4
10.00 Total new obligations	452	472	561
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	452	472	561
23.95 Total new obligations	-452	-472	-561
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	449	471	558
42.00 Transferred from other DoD accounts	2		
43.00 Appropriation (total discretionary)	451	471	558
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)		1	4
68.10 Change in uncollected customer payments from Federal sources (unexpired)	1		
68.90 Spending authority from offsetting collections (total discretionary)	1	1	4
70.00 Total new budget authority (gross)	452	472	561
Change in obligated balances:			
72.40 Obligated balance, start of year	50	56	66
73.10 Total new obligations	452	472	561
73.20 Total outlays (gross)	-430	-462	-547
73.40 Adjustments in expired accounts (net)	-16		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-1		
74.40 Obligated balance, end of year	56	66	80
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	416	431	513
86.93 Outlays from discretionary balances	14	32	34
87.00 Total outlays (gross)	430	462	547

General and special funds—Continued

RESERVE PERSONNEL, MARINE CORPS—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 17-1108-0-1-051	2001 actual	2002 est.	2003 est.
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources		-1	-4
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-1		
Net budget authority and outlays:			
89.00 Budget authority	451	471	558
90.00 Outlays	430	461	543

Object Classification (in millions of dollars)

Identification code 17-1108-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.7 Personnel compensation: Military personnel	331	348	358
Military personnel benefits:			
12.2 Accrued retirement benefits	46	51	50
12.2 Other personnel benefits	20	25	25
12.2 Military personnel benefits			77
21.0 Travel and transportation of persons	36	30	30
22.0 Transportation of things	1	2	2
26.0 Supplies and materials	17	15	15
99.0 Direct obligations	451	471	557
99.0 Reimbursable obligations		1	4
99.5 Below reporting threshold	1		
99.9 Total new obligations	452	472	561

RESERVE PERSONNEL, AIR FORCE

For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Air Force Reserve on active duty under sections 10211, 10305, and 8038 of title 10, United States Code, or while serving on active duty under section 12301(d) of title 10, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing reserve training, or while performing drills or equivalent duty or other duty, and for members of the Air Reserve Officers' Training Corps, and expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, **[\$1,061,160,000] \$1,243,904,000.** (10 U.S.C. 261-80, 591-95, 597-600, 651, 671-85, 687, 715, 1475-80, 2031, 2101-11, 2120-27, 2131-33, 2511, 8062, 8076, 8221-23, 8259-60, 8351-54, 8356-63, 8365-68, 8371-81, 8392-95, 8491, 8687, 8722, 9301, 9411-14, 9561-63, 9741, 9743; 37 U.S.C. 204, 206, 209, 301, 309, 402-11, 415-18, 1002; Department of Defense Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 57-3700-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Unit and individual training	567	625	783
00.02 Other training and support	411	436	461
09.01 Reimbursable program	1	6	7
10.00 Total new obligations	979	1,067	1,250

Budgetary resources available for obligation:

22.00 New budget authority (gross)	984	1,067	1,250
23.95 Total new obligations	-979	-1,067	-1,250
23.98 Unobligated balance expiring or withdrawn	-5		

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	980	1,061	1,244
42.00 Transferred from other DoD accounts	4		
43.00 Appropriation (total discretionary)	984	1,061	1,244

68.00 Spending authority from offsetting collections: Offsetting collections (cash)		6	7
70.00 Total new budget authority (gross)	984	1,067	1,250

Change in obligated balances:

72.40 Obligated balance, start of year	72	78	108
73.10 Total new obligations	979	1,067	1,250
73.20 Total outlays (gross)	-960	-1,037	-1,213
73.40 Adjustments in expired accounts (net)	-13		
74.10 Change in uncollected customer payments from Federal sources (expired)	1		
74.40 Obligated balance, end of year	78	108	145

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	918	987	1,158
86.93 Outlays from discretionary balances	42	50	56
87.00 Total outlays (gross)	960	1,037	1,213

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-1	-6	-7
Against gross budget authority only:			
88.96 Portion of offsetting collections (cash) credited to expired accounts	1		

Net budget authority and outlays:

89.00 Budget authority	984	1,061	1,244
90.00 Outlays	959	1,032	1,207

Object Classification (in millions of dollars)

Identification code 57-3700-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.7 Personnel compensation: Military personnel	671	739	762
Military personnel benefits:			
12.2 Accrued retirement benefits	85	93	104
12.2 Other personnel benefits	64	57	65
12.2 Military personnel benefits			139
21.0 Travel and transportation of persons	119	131	132
22.0 Transportation of things	1	1	1
26.0 Supplies and materials	33	35	35
41.0 Grants, subsidies, and contributions	5	5	5
99.0 Direct obligations	978	1,061	1,243
99.0 Reimbursable obligations	1	6	7
99.9 Total new obligations	979	1,067	1,250

NATIONAL GUARD PERSONNEL, ARMY

For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Army National Guard while on duty under section 10211, 10302, or 12402 of title 10 or section 708 of title 32, United States Code, or while serving on duty under section 12301(d) of title 10 or section 502(f) of title 32, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing training, or while performing drills or equivalent duty or other duty, and expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, **[\$4,041,695,000] \$5,128,988,000.** (10 U.S.C. 683, 1475-80, 3722; 37 U.S.C. 301, 305, 402-04, 418, 1002; Department of Defense Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 21-2060-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Unit and individual training	1,857	1,935	2,661
00.02 Other training and support	2,174	2,110	2,468
09.01 Reimbursable program	11	16	18
10.00 Total new obligations	4,042	4,060	5,147

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	37		
22.00 New budget authority (gross)	3,999	4,060	5,147

22.10	Resources available from recoveries of prior year obligations	9		
23.90	Total budgetary resources available for obligation	4,045	4,060	5,147
23.95	Total new obligations	-4,042	-4,060	-5,147
23.98	Unobligated balance expiring or withdrawn	-3		

New budget authority (gross), detail:

Discretionary:				
40.00	Appropriation	3,789	4,044	5,129
41.00	Transferred to other DoD accounts	-13		
42.00	Transferred from other DoD accounts	212		
43.00	Appropriation (total discretionary)	3,988	4,044	5,129
Spending authority from offsetting collections:				
68.00	Offsetting collections (cash)	2	16	18
68.10	Change in uncollected customer payments from Federal sources (unexpired)	10		
68.90	Spending authority from offsetting collections (total discretionary)	11	16	18
70.00	Total new budget authority (gross)	3,999	4,060	5,147

Change in obligated balances:

72.40	Obligated balance, start of year	251	287	376
73.10	Total new obligations	4,042	4,060	5,147
73.20	Total outlays (gross)	-3,935	-3,972	-5,026
73.40	Adjustments in expired accounts (net)	-38		
73.45	Recoveries of prior year obligations	-9		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-10		
74.10	Change in uncollected customer payments from Federal sources (expired)	-14		
74.40	Obligated balance, end of year	287	376	497

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	3,756	3,777	4,788
86.93	Outlays from discretionary balances	179	194	238
87.00	Total outlays (gross)	3,935	3,972	5,026

Offsets:

Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	14	-15	-17
88.40	Non-Federal sources	-2	-1	-1
88.90	Total, offsetting collections (cash)	12	-16	-18
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-10		
88.96	Portion of offsetting collections (cash) credited to expired accounts	-14		

Net budget authority and outlays:

89.00	Budget authority	3,988	4,044	5,129
90.00	Outlays	3,947	3,956	5,008

Summary of Budget Authority and Outlays

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Enacted/requested:			
Budget Authority	3,987	4,044	5,129
Outlays	3,947	3,955	5,008
Legislative proposal, not subject to PAYGO:			
Budget Authority			2
Outlays			2
Total:			
Budget Authority	3,987	4,044	5,131
Outlays	3,947	3,955	5,010

Object Classification (in millions of dollars)

Identification code 21-2060-0-1-051	2001 actual	2002 est.	2003 est.	
Direct obligations:				
11.7	Personnel compensation: Military personnel	2,657	2,752	3,012
Military personnel benefits:				
12.2	Accrued retirement benefits	479	491	521
12.2	Other personnel benefits	561	486	574
12.2	Military personnel benefits			685
21.0	Travel and transportation of persons	200	177	192
22.0	Transportation of things	5	5	6
25.2	Other services	1	1	1

25.3	Other purchases of goods and services from Government accounts	1	1	1
25.4	Operation and maintenance of facilities	1	1	1
25.8	Subsistence and support of persons	10	10	11
26.0	Supplies and materials	116	120	125
99.0	Direct obligations	4,031	4,044	5,129
99.0	Reimbursable obligations	11	16	18
99.9	Total new obligations	4,042	4,060	5,147

NATIONAL GUARD PERSONNEL, ARMY

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 21-2060-2-1-051	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.02	Other training and support			2
10.00	Total new obligations (object class 11.7)			2
Budgetary resources available for obligation:				
22.00	New budget authority (gross)			2
23.95	Total new obligations			-2
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation			2
Change in obligated balances:				
73.10	Total new obligations			2
73.20	Total outlays (gross)			-2
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority			2
Net budget authority and outlays:				
89.00	Budget authority			2
90.00	Outlays			2

NATIONAL GUARD PERSONNEL, AIR FORCE

For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Air National Guard on duty under section 10211, 10305, or 12402 of title 10 or section 708 of title 32, United States Code, or while serving on duty under section 12301(d) of title 10 or section 502(f) of title 32, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing training, or while performing drills or equivalent duty or other duty, and expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, **[\$1,784,654,000] \$2,135,611,000.** (10 U.S.C. 261-812, 510, 591-95, 597-600, 651, 671-85, 2132-33, 2511, 3015, 8062, 8077-78, 8080, 8224-25, 8261, 8351-54, 8356, 8358-63, 8365-68, 8371-81, 8392-95, 8491, 8722, 9301, 9561-63, 9741, 18233a; 32 U.S.C. 101-11, 301-05, 307-08, 312-33, 501-07, 701, 37 U.S.C. 201, 203-06, 301, 309, 402-11, 414-18, 501-02, 1002; Department of Defense Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 57-3850-0-1-051	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Direct program:				
00.01	Unit and individual training	674	764	968
00.02	Other training and support	1,026	1,023	1,168
09.01	Reimbursable program	24	26	26
10.00	Total new obligations	1,724	1,813	2,162
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	1,732	1,813	2,162
23.95	Total new obligations	-1,724	-1,813	-2,162
23.98	Unobligated balance expiring or withdrawn	-9		
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	1,653	1,787	2,136

General and special funds—Continued

NATIONAL GUARD PERSONNEL, AIR FORCE—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 57-3850-0-1-051	2001 actual	2002 est.	2003 est.
42.00 Transferred from other DoD accounts	56		
43.00 Appropriation (total discretionary)	1,709	1,787	2,136
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	21	26	26
68.10 Change in uncollected customer payments from Federal sources (unexpired)	3		
68.90 Spending authority from offsetting collections (total discretionary)	24	26	26
70.00 Total new budget authority (gross)	1,732	1,813	2,162
Change in obligated balances:			
72.40 Obligated balance, start of year	16	61	73
73.10 Total new obligations	1,724	1,813	2,162
73.20 Total outlays (gross)	-1,689	-1,801	-2,139
73.40 Adjustments in expired accounts (net)	-1		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-3		
74.10 Change in uncollected customer payments from Federal sources (expired)	15		
74.40 Obligated balance, end of year	61	73	96
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1,668	1,742	2,077
86.93 Outlays from discretionary balances	21	60	63
87.00 Total outlays (gross)	1,689	1,801	2,139
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-34	-26	-26
88.40 Non-Federal sources	-1		
88.90 Total, offsetting collections (cash)	-35	-26	-26
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-3		
88.96 Portion of offsetting collections (cash) credited to expired accounts	14		
Net budget authority and outlays:			
89.00 Budget authority	1,709	1,787	2,136
90.00 Outlays	1,654	1,775	2,113

Object Classification (in millions of dollars)

Identification code 57-3850-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.7 Personnel compensation: Military personnel	1,350	1,301	1,243
Military personnel benefits:			
12.2 Accrued retirement benefits	200	220	217
12.2 Other personnel benefits	54	166	333
12.2 Military personnel benefits			225
21.0 Travel and transportation of persons	94	98	115
22.0 Transportation of things	3	2	2
99.0 Direct obligations	1,701	1,787	2,135
99.0 Reimbursable obligations	23	26	27
99.9 Total new obligations	1,724	1,813	2,162

OPERATION AND MAINTENANCE

These appropriations finance the cost of operating and maintaining the Armed Forces, including the Reserve components and related support activities of the Department of Defense, except military personnel pay, allowances and travel costs. Included are amounts for training and operation costs, pay of civilians, contract services for maintenance of equipment and facilities, fuel, supplies, and repair parts for weapons and equipment. Financial requirements are influenced

by many factors, including the number of aircraft squadrons, Army and Marine Corps divisions, installations, military strength and deployments, rates of operational activity, and the quantity and complexity of major equipment (aircraft, ships, missiles, tanks, et cetera) in operation.

The DoD estimate for 2003 funds the full Government share of the accruing cost of retirement for current Civil Service Retirement System employees and the full accruing cost of post-retirement health benefits for current civilian employees and the post-retirement health costs of Medicare eligible retirees (and their dependents/survivors) of the Uniformed Services. Additional authorizing legislation is required.

Federal Funds

General and special funds:

OPERATION AND MAINTENANCE, ARMY

[(INCLUDING TRANSFER OF FUNDS)]

For expenses, not otherwise provided for, necessary for the operation and maintenance of the Army, as authorized by law; and not to exceed **[\$10,794,000] \$10,818,000** can be used for emergencies and extraordinary expenses, to be expended on the approval or authority of the Secretary of the Army, and payments may be made on his certificate of necessity for confidential military purposes, **[\$22,335,074,000: Provided, That of the funds made available under this heading, \$1,000,000, to remain available until expended, shall be transferred to "National Park Service—Construction" within 30 days of the enactment of this Act, only for necessary infrastructure repair improvements at Fort Baker, under the management of the Golden Gate Recreation Area: Provided further, That of the funds appropriated in this paragraph, not less than \$355,000,000 shall be made available only for conventional ammunition care and maintenance] \$24,573,555,000. (Department of Defense Appropriations Act, 2002; additional authorizing legislation required.)**

Program and Financing (in millions of dollars)

Identification code 21-2020-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Operating forces	11,902	11,434	12,903
00.02 Mobilization	559	590	544
00.03 Training and recruiting	3,885	4,187	4,377
00.04 Administration and servicewide activities	6,889	6,344	6,748
09.01 Reimbursable program	6,470	6,318	6,467
10.00 Total new obligations	29,705	28,872	31,040
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	148	12	
22.00 New budget authority (gross)	28,344	28,861	31,040
22.10 Resources available from recoveries of prior year obligations	15		
22.22 Unobligated balance transferred from other DoD accounts	1,216		
23.90 Total budgetary resources available for obligation	29,724	28,872	31,040
23.95 Total new obligations	-29,705	-28,872	-31,040
23.98 Unobligated balance expiring or withdrawn	-7		
24.40 Unobligated balance carried forward, end of year	12		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	19,995	22,721	24,573
40.72 Reduction pursuant to P.L. 107-117		-169	
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-41		
41.00 Transferred to other accounts	-21	-10	
42.00 Transfer from other DoD accounts	1,892		
43.00 Appropriation (total discretionary)	21,825	22,542	24,573
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	4,500	6,318	6,467
68.10 Change in uncollected customer payments from Federal sources (unexpired)	1,970		
68.62 Transferred from other DoD accounts	50		
68.90 Spending authority from offsetting collections (total discretionary)	6,520	6,318	6,467

70.00	Total new budget authority (gross)	28,344	28,861	31,040
Change in obligated balances:				
72.40	Obligated balance, start of year	9,653	10,035	10,206
73.10	Total new obligations	29,705	28,872	31,040
73.20	Total outlays (gross)	-29,062	-28,701	-30,150
73.40	Adjustments in expired accounts (net)	-348		
73.45	Recoveries of prior year obligations	-15		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-1,970		
74.10	Change in uncollected customer payments from Federal sources (expired)	2,072		
74.40	Obligated balance, end of year	10,035	10,206	11,096
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	22,512	22,004	23,564
86.93	Outlays from discretionary balances	6,551	6,697	6,587
87.00	Total outlays (gross)	29,062	28,701	30,150
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-5,929	-5,813	-5,950
88.40	Non-Federal sources	-490	-505	-517
88.90	Total, offsetting collections (cash)	-6,419	-6,318	-6,467
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-1,970		
88.96	Portion of offsetting collections (cash) credited to expired accounts	1,919		
Net budget authority and outlays:				
89.00	Budget authority	21,875	22,542	24,573
90.00	Outlays	22,643	22,383	23,683

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	21,406	21,975	23,961
90.00	Outlays	22,174	21,816	23,071

Note: The "National Board for Promotion of Rifle Practice" is consolidated in this presentation.

Summary of Budget Authority and Outlays

(in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Enacted/requested:				
Budget Authority	21,875	22,542	24,573	
Outlays	22,644	22,383	23,684	
Legislative proposal, not subject to PAYGO:				
Budget Authority			8	
Outlays			6	
Total:				
Budget Authority	21,875	22,542	24,581	
Outlays	22,644	22,383	23,690	

Object Classification (in millions of dollars)

Identification code 21-2020-0-1-051	2001 actual	2002 est.	2003 est.	
Direct obligations:				
Personnel compensation:				
11.1 Full-time permanent	3,188	3,235	3,433	
11.3 Other than full-time permanent	563	495	405	
11.5 Other personnel compensation	201	188	199	
11.9 Total personnel compensation	3,952	3,918	4,037	
12.1 Civilian personnel benefits	1,599	1,683	1,729	
13.0 Benefits for former personnel	75	86	138	
21.0 Travel and transportation of persons	927	743	770	
22.0 Transportation of things	1,011	1,013	1,082	
23.1 Rental payments to GSA	156	142	145	
23.2 Rental payments to others	155	195	202	
23.3 Communications, utilities, and miscellaneous charges	795	678	688	
24.0 Printing and reproduction	113	76	76	
25.1 Advisory and assistance services	331	107	108	
25.2 Other services	1,186	1,160	1,146	

Other purchases of goods and services from Government accounts:				
25.3	Purchases of goods and services from other Federal accounts	1,381	1,615	1,702
25.3	Payments to foreign national indirect hire personnel	327	316	349
25.3	Purchases from revolving funds	1,554	1,726	1,879
25.4	Operation and maintenance of facilities	1,917	1,809	1,855
25.7	Operation and maintenance of equipment	1,193	1,705	2,127
25.8	Subsistence and support of persons	19	8	10
26.0	Supplies and materials	4,827	4,663	5,311
31.0	Equipment	1,207	578	712
32.0	Land and structures	469	249	281
41.0	Grants, subsidies, and contributions	9	24	25
42.0	Insurance claims and indemnities	34	59	66
92.0	Undistributed			135
99.0	Direct obligations	23,237	22,553	24,573
99.0	Reimbursable obligations	6,468	6,319	6,467
99.9	Total new obligations	29,705	28,872	31,040

Personnel Summary

Identification code 21-2020-0-1-051	2001 actual	2002 est.	2003 est.	
Direct:				
1001	Total compensable workyears: Full-time equivalent employment	80,104	75,312	74,523
Reimbursable:				
2001	Total compensable workyears: Full-time equivalent employment	43,111	43,842	44,298

OPERATION AND MAINTENANCE, ARMY

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 21-2020-2-1-051	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Operating forces			2
00.03	Training and recruiting			2
00.04	Administration and servicewide activities			4
10.00	Total new obligations (object class 26.0)			8
Budgetary resources available for obligation:				
22.00	New budget authority (gross)			8
23.95	Total new obligations			-8
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation			8
Change in obligated balances:				
73.10	Total new obligations			8
73.20	Total outlays (gross)			-6
74.40	Obligated balance, end of year			2
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority			6
Net budget authority and outlays:				
89.00	Budget authority			8
90.00	Outlays			5

OPERATION AND MAINTENANCE, NAVY

For expenses, not otherwise provided for, necessary for the operation and maintenance of the Navy and the Marine Corps, as authorized by law; and not to exceed **[\$6,000,000] \$4,415,000** can be used for emergencies and extraordinary expenses, to be expended on the approval or authority of the Secretary of the Navy, and payments may be made on his certificate of necessity for confidential military purposes, **[\$26,876,636,000] \$29,021,513,000.** (Department of Defense Appropriations Act, 2002; additional authorizing legislation required.)

General and special funds—Continued

OPERATION AND MAINTENANCE, NAVY—Continued

Program and Financing (in millions of dollars)

Identification code 17-1804-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Operating forces	18,421	19,491	20,956
00.02 Mobilization	723	785	733
00.03 Training and recruiting	2,093	2,172	2,357
00.04 Administration and servicewide activities	4,481	4,540	4,975
09.01 Reimbursable program	3,540	4,433	4,888
10.00 Total new obligations	29,259	31,422	33,909
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	301	53	
22.00 New budget authority (gross)	28,749	31,369	33,910
22.10 Resources available from recoveries of prior year obligations	3		
22.22 Unobligated balance transferred from other DoD accounts	370		
23.90 Total budgetary resources available for obligation	29,423	31,422	33,910
23.95 Total new obligations	-29,259	-31,422	-33,909
23.98 Unobligated balance expiring or withdrawn	-111		
24.40 Unobligated balance carried forward, end of year	53		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	24,459	27,092	29,022
40.15 Appropriation (emergency)	150		
40.72 Reduction pursuant to P.L. 107-117		-146	
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-51		
41.00 Transferred to other DoD accounts	-28	-11	
42.00 Transferred from other DoD accounts	630		
43.00 Appropriation (total discretionary)	25,159	26,936	29,022
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	2,482	4,433	4,888
68.10 Change in uncollected customer payments from Federal sources (unexpired)	1,058		
68.62 Transferred from other accounts	50		
68.90 Spending authority from offsetting collections (total discretionary)	3,590	4,433	4,888
70.00 Total new budget authority (gross)	28,749	31,369	33,910
Change in obligated balances:			
72.40 Obligated balance, start of year	6,467	6,656	7,681
73.10 Total new obligations	29,259	31,422	33,909
73.20 Total outlays (gross)	-27,504	-30,397	-33,342
73.40 Adjustments in expired accounts (net)	-330		
73.45 Recoveries of prior year obligations	-3		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-1,058		
74.10 Change in uncollected customer payments from Federal sources (expired)	-175		
74.40 Obligated balance, end of year	6,656	7,681	8,249
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	24,021	25,770	27,883
86.93 Outlays from discretionary balances	3,482	4,627	5,459
87.00 Total outlays (gross)	27,504	30,397	33,342
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-2,308	-4,433	-4,888
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-1,058		
88.96 Portion of offsetting collections (cash) credited to expired accounts	-174		
Net budget authority and outlays:			
89.00 Budget authority	25,209	26,936	29,022
90.00 Outlays	25,195	25,963	28,454

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	24,929	26,662	28,700
90.00 Outlays	24,915	25,689	28,132

Summary of Budget Authority and Outlays

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Enacted/requested:			
Budget Authority	25,209	26,936	29,022
Outlays	25,195	25,964	28,454
Legislative proposal, not subject to PAYGO:			
Budget Authority			7
Outlays			6
Total:			
Budget Authority	25,209	26,936	29,029
Outlays	25,195	25,964	28,460

Object Classification (in millions of dollars)

Identification code 17-1804-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	2,724	2,719	2,951
11.3 Other than full-time permanent	118	122	123
11.5 Other personnel compensation	175	143	160
11.9 Total personnel compensation	3,017	2,984	3,234
12.1 Civilian personnel benefits	1,023	1,118	1,234
13.0 Benefits for former personnel	32	43	50
21.0 Travel and transportation of persons	432	474	496
22.0 Transportation of things	155	278	289
23.1 Rental payments to GSA	24	31	31
23.2 Rental payments to others	47	67	72
23.3 Communications, utilities, and miscellaneous charges	461	1,005	1,375
24.0 Printing and reproduction	30	97	101
25.1 Advisory and assistance services	157	288	241
25.2 Contracts with the private sector	1,876	2,284	2,475
Other purchases of goods and services from Government accounts:			
25.3 Other purchases of goods and services from Government accounts	831	1,002	1,147
25.3 Payments to foreign national indirect hire personnel	46	51	53
25.3 Purchases from revolving funds	6,693	6,426	6,815
25.4 Operation and maintenance of facilities	766	1,268	1,534
25.7 Operation and maintenance of equipment	3,178	3,799	4,116
25.8 Subsistence and support of persons	60	110	114
26.0 Supplies and materials	3,730	2,937	2,626
31.0 Equipment	3,119	2,682	2,976
32.0 Land and structures	41	43	40
93.0 Limitation on expenses		2	2
99.0 Direct obligations	25,718	26,989	29,021
99.0 Reimbursable obligations	3,541	4,433	4,888
99.9 Total new obligations	29,259	31,422	33,909

Personnel Summary

Identification code 17-1804-0-1-051	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	57,734	53,687	50,919
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	19,626	19,150	19,341

OPERATION AND MAINTENANCE, NAVY
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 17-1804-2-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.03 Training and recruiting			2

00.04	Administration and servicewide activities	5
10.00	Total new obligations (object class 26.0)	7
Budgetary resources available for obligation:		
22.00	New budget authority (gross)	7
23.95	Total new obligations	-7
New budget authority (gross), detail:		
Discretionary:		
40.00	Appropriation	7
Change in obligated balances:		
73.10	Total new obligations	7
73.20	Total outlays (gross)	-6
74.40	Obligated balance, end of year	2
Outlays (gross), detail:		
86.90	Outlays from new discretionary authority	6
Net budget authority and outlays:		
89.00	Budget authority	7
90.00	Outlays	6

74.40	Obligated balance, end of year	1,182	1,204	1,383
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	2,408	2,382	2,654
86.93	Outlays from discretionary balances	945	958	937
87.00	Total outlays (gross)	3,354	3,341	3,591
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-449	-412	-412
88.40	Non-Federal sources	-22		
88.90	Total, offsetting collections (cash)	-471	-412	-412
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-133		
88.96	Portion of offsetting collections (cash) credited to expired accounts	136		
Net budget authority and outlays:				
89.00	Budget authority	2,894	2,948	3,358
90.00	Outlays	2,883	2,929	3,179

OPERATION AND MAINTENANCE, MARINE CORPS

For expenses, not otherwise provided for, necessary for the operation and maintenance of the Marine Corps, as authorized by law, **[\$2,931,934,000] \$3,357,752,000.** (Department of Defense Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 17-1106-0-1-051	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Direct program:				
00.01	Operating forces	2,205	2,166	2,497
00.03	Training and recruiting	485	512	565
00.04	Administration and servicewide activities	278	272	296
09.01	Reimbursable program	468	412	412
10.00	Total new obligations	3,436	3,363	3,770
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	74	3	
22.00	New budget authority (gross)	3,362	3,360	3,770
22.22	Unobligated balance transferred from other DoD accounts	6		
23.90	Total budgetary resources available for obligation	3,442	3,363	3,770
23.95	Total new obligations	-3,436	-3,363	-3,770
23.98	Unobligated balance expiring or withdrawn	-3		
24.40	Unobligated balance carried forward, end of year	3		
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	2,881	2,963	3,358
40.72	Reduction pursuant to P.L. 107-117		-15	
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)	-6		
41.00	Transferred to other DoD accounts	-3		
42.00	Transferred from other DoD accounts	22		
43.00	Appropriation (total discretionary)	2,894	2,948	3,358
Spending authority from offsetting collections:				
68.00	Offsetting collections (cash)	335	412	412
68.10	Change in uncollected customer payments from Federal sources (unexpired)	133		
68.90	Spending authority from offsetting collections (total discretionary)	468	412	412
70.00	Total new budget authority (gross)	3,362	3,360	3,770
Change in obligated balances:				
72.40	Obligated balance, start of year	1,073	1,182	1,204
73.10	Total new obligations	3,436	3,363	3,770
73.20	Total outlays (gross)	-3,354	-3,341	-3,591
73.40	Adjustments in expired accounts (net)	-17		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-133		
74.10	Change in uncollected customer payments from Federal sources (expired)	177		

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	2,848	2,901	3,311
90.00	Outlays	2,837	2,882	3,132

Object Classification (in millions of dollars)

Identification code 17-1106-0-1-051	2001 actual	2002 est.	2003 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	471	456	453
11.3	Other than full-time permanent	23	25	24
11.5	Other personnel compensation	19	16	15
11.9	Total personnel compensation	513	497	492
12.1	Civilian personnel benefits	188	190	237
13.0	Benefits for former personnel	3		
21.0	Travel and transportation of persons	140	122	149
22.0	Transportation of things	73	78	94
23.2	Rental payments to others	32	47	48
23.3	Communications, utilities, and miscellaneous charges	146	176	359
24.0	Printing and reproduction	38	21	29
25.1	Advisory and assistance services	25	39	37
25.2	Other services	83	149	166
Other purchases of goods and services from Government accounts:				
25.3	Other purchases of goods and services from Government accounts	48	87	90
25.3	Payments to foreign national indirect hire personnel		3	3
25.3	Purchases from revolving funds	456	309	332
25.4	Operation and maintenance of facilities	181	350	349
25.7	Operation and maintenance of equipment	131	100	108
25.8	Subsistence and support of persons	49	10	10
26.0	Supplies and materials	601	529	608
31.0	Equipment	163	160	163
32.0	Land and structures	97	84	84
99.0	Direct obligations	2,967	2,951	3,358
99.0	Reimbursable obligations	469	412	412
99.9	Total new obligations	3,436	3,363	3,770

Personnel Summary

Identification code 17-1106-0-1-051	2001 actual	2002 est.	2003 est.	
Direct:				
1001	Total compensable workyears: Full-time equivalent employment	10,894	10,124	9,627
Reimbursable:				
2001	Total compensable workyears: Full-time equivalent employment	1,265	1,247	1,198

General and special funds—Continued

OPERATION AND MAINTENANCE, AIR FORCE

For expenses, not otherwise provided for, necessary for the operation and maintenance of the Air Force, as authorized by law; and not to exceed **[\$7,998,000] \$7,902,000** can be used for emergencies and extraordinary expenses, to be expended on the approval or authority of the Secretary of the Air Force, and payments may be made on his certificate of necessity for confidential military purposes, **[\$26,026,789,000: Provided, That notwithstanding any other provision of law, that of the funds available under this heading, \$750,000 shall only be available to the Secretary of the Air Force for a grant to Florida Memorial College for the purpose of funding minority aviation training] \$27,303,823,000.** (Department of Defense Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 57-3400-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Operating forces	12,641	13,984	14,845
00.02 Mobilization	3,599	3,655	3,801
00.03 Training and recruiting	2,352	2,491	2,651
00.04 Administration and servicewide activities	6,425	5,963	6,008
09.01 Reimbursable program	2,755	2,292	2,370
10.00 Total new obligations	27,772	28,385	29,675
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	122		
22.00 New budget authority (gross)	27,155	28,385	29,675
22.10 Resources available from recoveries of prior year obligations	29		
22.22 Unobligated balance transferred from other DoD accounts	508		
23.90 Total budgetary resources available for obligation	27,814	28,385	29,675
23.95 Total new obligations	-27,772	-28,385	-29,675
23.98 Unobligated balance expiring or withdrawn	-42		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	23,129	26,289	27,305
40.72 Reduction pursuant to P.L. 107-117		-195	
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-48		
41.00 Transferred to other accounts	-28	-2	
42.00 Transfer from other DoD accounts	1,296		
43.00 Appropriation (total discretionary)	24,349	26,093	27,305
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	2,110	2,292	2,370
68.10 Change in uncollected customer payments from Federal sources (unexpired)	646		
68.62 Transferred from other DoD accounts	50		
68.90 Spending authority from offsetting collections (total discretionary)	2,806	2,292	2,370
70.00 Total new budget authority (gross)	27,155	28,385	29,675
Change in obligated balances:			
72.40 Obligated balance, start of year	7,652	8,111	8,502
73.10 Total new obligations	27,772	28,385	29,675
73.20 Total outlays (gross)	-26,497	-27,994	-29,068
73.40 Adjustments in expired accounts (net)	-301		
73.45 Recoveries of prior year obligations	-29		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-646		
74.10 Change in uncollected customer payments from Federal sources (expired)	161		
74.40 Obligated balance, end of year	8,111	8,502	9,111
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	21,554	22,118	23,116
86.93 Outlays from discretionary balances	4,943	5,877	5,952
87.00 Total outlays (gross)	26,497	27,994	29,068
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-2,124	-2,205	-2,280
88.40 Non-Federal sources	-119	-87	-90

88.90 Total, offsetting collections (cash)	-2,243	-2,292	-2,370
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-646		
88.96 Portion of offsetting collections (cash) credited to expired accounts	133		
Net budget authority and outlays:			
89.00 Budget authority	24,399	26,093	27,305
90.00 Outlays	24,254	25,702	26,698

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	23,902	25,581	26,773
90.00 Outlays	23,757	25,190	26,166

Summary of Budget Authority and Outlays

(in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Enacted/requested:			
Budget Authority	24,399	26,093	27,305
Outlays	24,254	25,703	26,698
Legislative proposal, not subject to PAYGO:			
Budget Authority			1
Outlays			1
Total:			
Budget Authority	24,399	26,093	27,306
Outlays	24,254	25,703	26,699

Object Classification (in millions of dollars)

Identification code 57-3400-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	2,760	2,939	3,095
11.3 Other than full-time permanent	348	362	378
11.5 Other personnel compensation	188	199	209
11.9 Total personnel compensation	3,296	3,500	3,682
12.1 Civilian personnel benefits	1,305	1,390	1,781
13.0 Benefits for former personnel	52	101	87
21.0 Travel and transportation of persons	822	706	747
22.0 Transportation of things	463	390	428
23.1 Rental payments to GSA	14	14	21
23.2 Rental payments to others	56	49	47
23.3 Communications, utilities, and miscellaneous charges	871	704	823
24.0 Printing and reproduction	40	43	38
25.1 Advisory and assistance services	343	381	389
25.2 Contracts with the private sector	1,441	1,695	1,705
Other purchases of goods and services from Government accounts:			
25.3 Other purchases of goods and services from Government accounts	361	399	834
25.3 Payments to foreign national indirect hire personnel	68	67	78
25.3 Purchases from revolving funds	3,549	3,633	3,527
25.4 Operation and maintenance of facilities	2,731	2,105	2,293
25.7 Operation and maintenance of equipment	3,446	4,356	4,497
26.0 Supplies and materials	5,580	5,949	5,739
31.0 Equipment	472	492	454
41.0 Grants, subsidies, and contributions	1	1	1
42.0 Insurance claims and indemnities	101	117	133
43.0 Interest and dividends	6	1	1
99.0 Direct obligations	25,018	26,093	27,305
99.0 Reimbursable obligations	2,754	2,292	2,370
99.9 Total new obligations	27,772	28,385	29,675

Personnel Summary

Identification code 57-3400-0-1-051	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	69,460	70,231	70,865

Reimbursable:				
2001	Total compensable workyears: Full-time equivalent employment	13,129	10,318	9,165

OPERATION AND MAINTENANCE, AIR FORCE
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 57-3400-2-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00	Total new obligations (object class 26.0)		1
Budgetary resources available for obligation:			
22.00	New budget authority (gross)		1
23.95	Total new obligations		-1
New budget authority (gross), detail:			
Discretionary:			
40.00	Appropriation		1
Change in obligated balances:			
73.10	Total new obligations		1
73.20	Total outlays (gross)		-1
Outlays (gross), detail:			
86.90	Outlays from new discretionary authority		1
Net budget authority and outlays:			
89.00	Budget authority		1
90.00	Outlays		1

OPERATION AND MAINTENANCE, DEFENSE-WIDE

For expenses, not otherwise provided for, necessary for the operation and maintenance of activities and agencies of the Department of Defense (other than the military departments), as authorized by law, **[\$12,773,270,000] \$14,515,304,000**, of which not to exceed \$25,000,000 may be available for the CINC initiative fund account; **[and] of which not to exceed [\$33,500,000] \$34,500,000** can be used for emergencies and extraordinary expenses, to be expended on the approval or authority of the Secretary of Defense, and payments may be made on his certificate of necessity for confidential military purposes: *Provided*, That notwithstanding any other provision of law, of the funds provided in this Act for Civil Military programs under this heading, \$750,000 shall be available for a grant for Outdoor Odyssey, Roaring Run, Pennsylvania, to support the Youth Development and Leadership program and Department of Defense STARBASE program: *Provided further*, That of the funds made available in this paragraph, \$1,000,000 shall be available only for continuation of the Middle East Regional Security Issues program: *Provided further*, That none of the funds appropriated or otherwise made available by this Act may be used to plan or implement the consolidation of a budget or appropriations liaison office of the Office of the Secretary of Defense, the office of the Secretary of a military department, or the service headquarters of one of the Armed Forces into a legislative affairs or legislative liaison office; *and of which \$4,675,000, to remain available until expended, is available only for expenses relating to certain classified activities, and may be transferred as necessary by the Secretary to operation and maintenance appropriations or research, development, test and evaluation appropriations, to be merged with and to be available for the same time period as the appropriations to which transferred: Provided further*, That any ceiling on the investment item unit cost of items that may be purchased with operation and maintenance funds shall not apply to the funds described in the preceding proviso: *Provided*, That the transfer authority provided under this heading is in addition to any other transfer authority provided in this Act. (Department of Defense Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 97-0100-0-1-051	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Direct program:				
Operating Forces:				
00.01	Operating Forces	1,761	1,768	1,929

00.02	Mobilization	54	45	41
00.03	Training and recruiting	262	246	299
00.04	Administration and servicewide activities	10,429	11,030	12,244
09.01	Reimbursable program	570	733	764
10.00	Total new obligations	13,075	13,822	15,279

Budgetary resources available for obligation:

21.40	Unobligated balance carried forward, start of year	74	64	
22.00	New budget authority (gross)	13,019	13,757	15,279
22.10	Resources available from recoveries of prior year obligations	1		
22.21	Unobligated balance transferred to other accounts	-23		
22.22	Transferred from other DoD accounts	90		
23.90	Total budgetary resources available for obligation	13,162	13,822	15,279
23.95	Total new obligations	-13,075	-13,822	-15,279
23.98	Unobligated balance expiring or withdrawn	-23		
24.40	Unobligated balance carried forward, end of year	64		

New budget authority (gross), detail:

Discretionary:				
40.00	Appropriation	12,477	13,102	14,515
40.72	Reduction pursuant to P.L. 107-117		-96	
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)	-27		
41.00	Transferred to other accounts	-358	-2	
42.00	Transferred from other accounts	344	20	
43.00	Appropriation (total discretionary)	12,436	13,024	14,515
Spending authority from offsetting collections:				
68.00	Offsetting collections (cash)	373	733	764
68.10	Change in uncollected customer payments from Federal sources (unexpired)	210		
68.90	Spending authority from offsetting collections (total discretionary)	583	733	764
70.00	Total new budget authority (gross)	13,019	13,757	15,279

Change in obligated balances:

72.40	Obligated balance, start of year	3,973	3,800	4,349
73.10	Total new obligations	13,075	13,822	15,279
73.20	Total outlays (gross)	-13,073	-13,272	-14,831
73.40	Adjustments in expired accounts (net)	-262		
73.45	Recoveries of prior year obligations	-1		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-210		
74.10	Change in uncollected customer payments from Federal sources (expired)	299		
74.40	Obligated balance, end of year	3,800	4,349	4,796

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	10,303	10,556	11,708
86.93	Outlays from discretionary balances	2,769	2,716	3,123
87.00	Total outlays (gross)	13,073	13,272	14,831

Offsets:

Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-593	-702	-732
88.40	Non-Federal sources	-5	-31	-32
88.90	Total, offsetting collections (cash)	-598	-733	-764
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-210		
88.96	Portion of offsetting collections (cash) credited to expired accounts	225		

Net budget authority and outlays:

89.00	Budget authority	12,436	13,024	14,515
90.00	Outlays	12,474	12,539	14,067

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

		2001 actual	2002 est.	2003 est.
Net budget authority and outlays:				
89.00	Budget authority	12,133	12,702	14,172
90.00	Outlays	12,171	12,217	13,724

General and special funds—Continued

OPERATION AND MAINTENANCE, DEFENSE-WIDE—Continued

Object Classification (in millions of dollars)

Identification code 97-0100-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	2,547	2,690	2,874
11.3 Other than full-time permanent	89	98	102
11.5 Other personnel compensation	84	80	85
11.9 Total personnel compensation	2,720	2,868	3,061
12.1 Civilian personnel benefits	1,003	1,076	1,201
13.0 Benefits for former personnel	13	22	21
21.0 Travel and transportation of persons	465	456	525
22.0 Transportation of things	495	470	527
23.1 Rental payments to GSA	114	108	117
23.2 Rental payments to others	186	164	179
23.3 Communications, utilities, and miscellaneous charges	345	485	419
24.0 Printing and reproduction	26	25	23
25.1 Advisory and assistance services	807	697	852
25.2 Other services	1,659	1,568	1,882
Other purchases of goods and services from Government accounts:			
25.3 Other purchases of goods and services from Government accounts	2,249	2,253	2,584
25.3 Payments to foreign national indirect hire personnel	19	21	83
25.3 Purchases from revolving funds	99	108	104
25.4 Operation and maintenance of facilities	178	193	242
25.5 Research and development contracts	114	141	141
25.7 Operation and maintenance of equipment	966	1,035	1,274
25.8 Subsistence and support of persons	1	1	1
26.0 Supplies and materials	665	804	803
31.0 Equipment	339	455	402
32.0 Land and structures	13	4	5
41.0 Grants, subsidies, and contributions	133	146	29
43.0 Interest and dividends	1	1	1
91.0 Unvouchered	9	15	17
92.0 Undistributed			22
99.0 Direct obligations	12,505	13,089	14,515
99.0 Reimbursable obligations	570	733	764
99.9 Total new obligations	13,075	13,822	15,279

Personnel Summary

Identification code 97-0100-0-1-051	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	44,958	45,285	46,039
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	2,293	2,481	2,406

OFFICE OF THE INSPECTOR GENERAL

For expenses and activities of the Office of the Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, **[\$152,021,000]** *\$165,440,000*, of which **[\$150,221,000]** *\$163,440,000* shall be for Operation and maintenance, of which not to exceed \$700,000 is available for emergencies and extraordinary expenses to be expended on the approval or authority of the Inspector General, and payments may be made on the Inspector General's certificate of necessity for confidential military purposes; and of which **[\$1,800,000]** *\$2,000,000* to remain available until September 30, **[2004]** *2005*, shall be for Procurement. (*Department of Defense Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 97-0107-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Operation and Maintenance	152	157	163
00.03 Direct Program Activity	2	4	2
09.01 Reimbursable program	1	1	
10.00 Total new obligations	154	161	166

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year		2	
22.00 New budget authority (gross)	156	159	166
23.90 Total budgetary resources available for obligation	156	161	166
23.95 Total new obligations	-154	-161	-166
24.40 Unobligated balance carried forward, end of year	2		

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	156	160	165
40.72 Reduction pursuant to P.L. 107-117		-1	
43.00 Appropriation (total discretionary)	155	159	165
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	-1	1	
68.10 Change in uncollected customer payments from Federal sources (unexpired)	2		
68.90 Spending authority from offsetting collections (total discretionary)	1	1	
70.00 Total new budget authority (gross)	156	159	166

Change in obligated balances:

72.40 Obligated balance, start of year	20	18	22
73.10 Total new obligations	154	161	166
73.20 Total outlays (gross)	-150	-157	-164
73.40 Adjustments in expired accounts (net)	-3		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-2		
74.40 Obligated balance, end of year	18	22	24

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	137	142	146
86.93 Outlays from discretionary balances	11	16	17
87.00 Total outlays (gross)	150	157	164

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	1	-1	
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-2		

Net budget authority and outlays:

89.00 Budget authority	155	159	165
90.00 Outlays	151	157	163

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	147	151	157
90.00 Outlays	143	149	155

Object Classification (in millions of dollars)

Identification code 97-0107-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	78	82	84
11.5 Other personnel compensation	7	7	7
11.9 Total personnel compensation	85	89	91
12.1 Civilian personnel benefits	30	32	33
21.0 Travel and transportation of persons	7	7	7
23.1 Rental payments to GSA	10	11	11
23.3 Communications, utilities, and miscellaneous charges	2	2	2
25.2 Other services	1	1	1
Other purchases of goods and services from Government accounts:			
25.3 Purchases of goods and services from other Federal agencies	1	2	2
25.3 Purchases from revolving funds	1	1	1
25.4 Operation and maintenance of facilities	4	4	4
25.7 Operation and maintenance of equipment	4	4	4
26.0 Supplies and materials	2	2	3
31.0 Equipment	6	7	7
91.0 Unvouchered		1	1

99.0	Direct obligations	150	159	163
99.5	Below reporting threshold	4	2	3
99.9	Total new obligations	154	161	166

Personnel Summary

Identification code 97-0107-0-1-051	2001 actual	2002 est.	2003 est.	
Direct:				
1001	Total compensable workyears: Full-time equivalent employment	1,193	1,208	1,204
Reimbursable:				
2001	Total compensable workyears: Full-time equivalent employment	4	3	2

OPERATION AND MAINTENANCE, ARMY RESERVE

For expenses, not otherwise provided for, necessary for the operation and maintenance, including training, organization, and administration, of the Army Reserve; repair of facilities and equipment; hire of passenger motor vehicles; travel and transportation; care of the dead; recruiting; procurement of services, supplies, and equipment; and communications, **[\$1,771,246,000] \$1,923,330,000.** (10 U.S.C. 1481-88, 3013-14, 3062, 4302, 4411-14, 4741; 37 U.S.C. 404; Department of Defense Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 21-2080-0-1-051	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Direct program:				
00.01	Operating forces	1,444	1,587	1,689
00.04	Administration and servicewide activities	195	207	235
09.01	Reimbursable program	54	72	72
10.00	Total new obligations	1,694	1,866	1,995
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	18		
22.00	New budget authority (gross)	1,676	1,866	1,995
23.90	Total budgetary resources available for obligation	1,695	1,866	1,995
23.95	Total new obligations	-1,694	-1,866	-1,995
23.98	Unobligated balance expiring or withdrawn	-1		
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	1,621	1,806	1,923
40.72	Reduction pursuant to P.L. 107-117		-12	
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)	-3		
42.00	Transferred from other DoD accounts	4		
43.00	Appropriation (total discretionary)	1,622	1,793	1,923
Spending authority from offsetting collections:				
68.00	Offsetting collections (cash)	37	72	72
68.10	Change in uncollected customer payments from Federal sources (unexpired)	18		
68.90	Spending authority from offsetting collections (total discretionary)	54	72	72
70.00	Total new budget authority (gross)	1,676	1,866	1,995
Change in obligated balances:				
72.40	Obligated balance, start of year	546	551	609
73.10	Total new obligations	1,694	1,866	1,995
73.20	Total outlays (gross)	-1,660	-1,807	-1,944
73.40	Adjustments in expired accounts (net)	-28		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-18		
74.10	Change in uncollected customer payments from Federal sources (expired)	17		
74.40	Obligated balance, end of year	551	609	661
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	1,219	1,366	1,459
86.93	Outlays from discretionary balances	440	441	485
87.00	Total outlays (gross)	1,660	1,807	1,944

Offsets:

Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-50	-72	-72
88.40	Non-Federal sources	-3		
88.90	Total, offsetting collections (cash)	-53	-72	-72
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-18		
88.96	Portion of offsetting collections (cash) credited to expired accounts	16		
Net budget authority and outlays:				
89.00	Budget authority	1,622	1,793	1,923
90.00	Outlays	1,607	1,735	1,872

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	1,584	1,752	1,880
90.00	Outlays	1,569	1,694	1,829

Object Classification (in millions of dollars)

Identification code 21-2080-0-1-051	2001 actual	2002 est.	2003 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	403	436	451
11.3	Other than full-time permanent	12	10	11
11.5	Other personnel compensation	15	17	18
11.9	Total personnel compensation	430	463	480
12.1	Civilian personnel benefits	157	166	175
13.0	Benefits for former personnel	1	1	5
21.0	Travel and transportation of persons	104	96	101
22.0	Transportation of things	16	18	25
23.1	Rental payments to GSA	7	8	8
23.2	Rental payments to others	8	11	11
23.3	Communications, utilities, and miscellaneous charges	83	89	79
24.0	Printing and reproduction	21	52	56
25.1	Advisory and assistance services	21	20	23
25.2	Other services	78	73	81
Other purchases of goods and services from Government accounts:				
25.3	Purchases of goods and services from other Federal agencies	237	238	285
25.3	Purchases from revolving funds	61	89	84
25.4	Operation and maintenance of facilities	115	150	122
25.7	Operation and maintenance of equipment	21	35	34
25.8	Subsistence and support of persons	4	3	3
26.0	Supplies and materials	189	192	246
31.0	Equipment	81	84	99
32.0	Land and structures	5	5	6
99.0	Direct obligations	1,639	1,793	1,923
99.0	Reimbursable obligations	55	73	72
99.9	Total new obligations	1,694	1,866	1,995

Personnel Summary

Identification code 21-2080-0-1-051	2001 actual	2002 est.	2003 est.	
Direct:				
1001	Total compensable workyears: Full-time equivalent employment	10,457	10,658	10,647
Reimbursable:				
2001	Total compensable workyears: Full-time equivalent employment	326	266	259

OPERATION AND MAINTENANCE, NAVY RESERVE

For expenses, not otherwise provided for, necessary for the operation and maintenance, including training, organization, and administration, of the Navy Reserve; repair of facilities and equipment; hire of passenger motor vehicles; travel and transportation; care of the dead; recruiting; procurement of services, supplies, and equipment;

General and special funds—Continued

OPERATION AND MAINTENANCE, NAVY RESERVE—Continued

and communications, **[\$1,003,690,000] \$1,165,961,000.** (10 U.S.C. 262, 503, 1481–88, 2110, 2202, 2631–34, 5013, 5062, 5251, 6022, 18233a; Department of Defense Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 17–1806–0–1–051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Operating forces	882	917	1,001
00.04 Administration and servicewide activities	141	86	165
09.01 Reimbursable program	38	36	37
10.00 Total new obligations	1,060	1,039	1,203
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	7		
22.00 New budget authority (gross)	1,025	1,039	1,203
22.22 Unobligated balance transferred from other DoD accounts	32		
23.90 Total budgetary resources available for obligation	1,063	1,039	1,203
23.95 Total new obligations	–1,060	–1,039	–1,203
23.98 Unobligated balance expiring or withdrawn	–3		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	967	1,008	1,166
40.72 Reduction pursuant to P.L. 107–117		–5	
40.77 Reduction pursuant to P.L. 106–554 (0.22 percent)	–2		
41.00 Transferred to other DoD accounts	–2		
42.00 Transferred from other DoD accounts	24		
43.00 Appropriation (total discretionary)	987	1,003	1,166
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	22	36	37
68.10 Change in uncollected customer payments from Federal sources (unexpired)	16		
68.90 Spending authority from offsetting collections (total discretionary)	38	36	37
70.00 Total new budget authority (gross)	1,025	1,039	1,203
Change in obligated balances:			
72.40 Obligated balance, start of year	395	381	420
73.10 Total new obligations	1,060	1,039	1,203
73.20 Total outlays (gross)	–1,036	–1,001	–1,138
73.40 Adjustments in expired accounts (net)	–33		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	–16		
74.10 Change in uncollected customer payments from Federal sources (expired)	11		
74.40 Obligated balance, end of year	381	420	485
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	758	725	838
86.93 Outlays from discretionary balances	278	275	300
87.00 Total outlays (gross)	1,036	1,001	1,138
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	–40	–36	–37
88.40 Non-Federal sources	–1		
88.90 Total, offsetting collections (cash)	–41	–36	–37
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	–16		
88.96 Portion of offsetting collections (cash) credited to expired accounts	19		
Net budget authority and outlays:			
89.00 Budget authority	987	1,003	1,166
90.00 Outlays	995	964	1,100

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	980	997	1,160
90.00 Outlays	988	958	1,094

Object Classification (in millions of dollars)

Identification code 17–1806–0–1–051	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	75	60	59
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	2	2	2
11.9 Total personnel compensation	79	64	63
12.1 Civilian personnel benefits	27	23	30
13.0 Benefits for former personnel	1		1
21.0 Travel and transportation of persons	29	32	32
22.0 Transportation of things	1	1	1
23.2 Rental payments to others	3	2	2
23.3 Communications, utilities, and miscellaneous charges	32	58	147
24.0 Printing and reproduction	2	1	1
25.1 Advisory and assistance services	1	1	1
25.2 Other services	95	43	55
Other purchases of goods and services from Government accounts:			
25.3 Other purchases of goods and services from Government accounts	40	35	30
25.3 Purchases from revolving funds	86	86	94
25.4 Operation and maintenance of facilities	42	51	54
25.6 Medical care	1	2	2
25.7 Operation and maintenance of equipment	224	191	216
25.8 Subsistence and support of persons		13	13
26.0 Supplies and materials	207	224	213
31.0 Equipment	146	166	202
32.0 Land and structures	6	10	10
99.0 Direct obligations	1,022	1,003	1,167
99.0 Reimbursable obligations	38	36	36
99.9 Total new obligations	1,060	1,039	1,203

Personnel Summary

Identification code 17–1806–0–1–051	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	1,825	1,494	1,419
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	52	39	36

OPERATION AND MAINTENANCE, MARINE CORPS RESERVE

For expenses, not otherwise provided for, necessary for the operation and maintenance, including training, organization, and administration, of the Marine Corps Reserve; repair of facilities and equipment; hire of passenger motor vehicles; travel and transportation; care of the dead; recruiting; procurement of services, supplies, and equipment; and communications, **[\$144,023,000] \$185,532,000.** (10 U.S.C. 503, 1481–88, 2110, 2202, 2631–34, 5013, 5062, 5252, 6022, 18233a; Department of Defense Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 17–1107–0–1–051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Operating forces	114	112	154
00.04 Administration and servicewide activities	33	32	31
09.01 Reimbursable program	9	2	2
10.00 Total new obligations	156	145	187

Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year	2	
22.00	New budget authority (gross)	153	145 187
22.22	Unobligated balance transferred from other DoD accounts	1	
23.90	Total budgetary resources available for obligation	156	145 187
23.95	Total new obligations	-156	-145 -187

New budget authority (gross), detail:			
Discretionary:			
40.00	Appropriation	147	144 186
40.72	Reduction pursuant to P.L. 107-117		-1
41.00	Transferred to other DoD accounts	-3	
43.00	Appropriation (total discretionary)	144	143 186
Spending authority from offsetting collections:			
68.00	Offsetting collections (cash)	5	2 2
68.10	Change in uncollected customer payments from Federal sources (unexpired)	4	
68.90	Spending authority from offsetting collections (total discretionary)	9	2 2
70.00	Total new budget authority (gross)	153	145 187

Change in obligated balances:			
72.40	Obligated balance, start of year	69	74 81
73.10	Total new obligations	156	145 187
73.20	Total outlays (gross)	-148	-137 -169
73.40	Adjustments in expired accounts (net)	-3	
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-4	
74.10	Change in uncollected customer payments from Federal sources (expired)	5	
74.40	Obligated balance, end of year	74	81 99

Outlays (gross), detail:			
86.90	Outlays from new discretionary authority	100	91 118
86.93	Outlays from discretionary balances	49	46 51
87.00	Total outlays (gross)	148	137 169

Offsets:			
Against gross budget authority and outlays:			
88.00	Offsetting collections (cash) from: Federal sources	-9	-2 -2
Against gross budget authority only:			
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-4	
88.96	Portion of offsetting collections (cash) credited to expired accounts	4	

Net budget authority and outlays:			
89.00	Budget authority	144	143 186
90.00	Outlays	139	135 167

Object Classification (in millions of dollars)

Identification code 17-1107-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent	6	6 7
12.1	Civilian personnel benefits	2	2 2
21.0	Travel and transportation of persons	16	14 15
22.0	Transportation of things	4	4 4
23.1	Rental payments to GSA		2 2
23.3	Communications, utilities, and miscellaneous charges	12	21 51
24.0	Printing and reproduction	1	1 1
25.1	Advisory and assistance services	3	4 4
25.2	Other services	8	5 6
25.3	Purchases from revolving funds	25	23 26
25.4	Operation and maintenance of facilities	9	15 15
25.7	Operation and maintenance of equipment	19	15 15
26.0	Supplies and materials	38	27 30
31.0	Equipment	3	4 7
99.0	Direct obligations	146	143 185
99.0	Reimbursable obligations	9	2 2
99.5	Below reporting threshold	1	
99.9	Total new obligations	156	145 187

Personnel Summary

Identification code 17-1107-0-1-051	2001 actual	2002 est.	2003 est.
1001	Total compensable workyears: Full-time equivalent employment	150	148 151

OPERATION AND MAINTENANCE, AIR FORCE RESERVE

For expenses, not otherwise provided for, necessary for the operation and maintenance, including training, organization, and administration, of the Air Force Reserve; repair of facilities and equipment; hire of passenger motor vehicles; travel and transportation; care of the dead; recruiting; procurement of services, supplies, and equipment; and communications, **[\$2,024,866,000] \$2,190,817,000.** (10 U.S.C. 264, 510-11, 1124, 1481-88, 2232-37, 8013, 8541-42, 8721-23, 9301-04, 9315, 9411-14, 9531, 9536, 9561-63, 9593, 9741, 9743, 18233a; 37 U.S.C. 404-11; Department of Defense Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 57-3740-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01	Operating forces	1,893	1,960 2,084
00.04	Administration and servicewide activities	102	100 107
09.01	Reimbursable program	46	61 64
10.00	Total new obligations	2,041	2,120 2,254

Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year	4	
22.00	New budget authority (gross)	2,004	2,120 2,254
22.22	Unobligated balance transferred from other DoD accounts	44	
23.90	Total budgetary resources available for obligation	2,052	2,120 2,254
23.95	Total new obligations	-2,041	-2,120 -2,254
23.98	Unobligated balance expiring or withdrawn	-11	

New budget authority (gross), detail:			
Discretionary:			
40.00	Appropriation	1,946	2,073 2,190
40.72	Reduction pursuant to P.L. 107-117		-14
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)	-4	
42.00	Transferred from other DoD accounts	16	
43.00	Appropriation (total discretionary)	1,958	2,059 2,190
Spending authority from offsetting collections:			
68.00	Offsetting collections (cash)	39	61 64
68.10	Change in uncollected customer payments from Federal sources (unexpired)	7	
68.90	Spending authority from offsetting collections (total discretionary)	46	61 64
70.00	Total new budget authority (gross)	2,004	2,120 2,254

Change in obligated balances:			
72.40	Obligated balance, start of year	507	401 474
73.10	Total new obligations	2,041	2,120 2,254
73.20	Total outlays (gross)	-2,153	-2,047 -2,188
73.40	Adjustments in expired accounts (net)	-7	
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-7	
74.10	Change in uncollected customer payments from Federal sources (expired)	19	
74.40	Obligated balance, end of year	401	474 539

Outlays (gross), detail:			
86.90	Outlays from new discretionary authority	1,722	1,735 1,844
86.93	Outlays from discretionary balances	432	312 344
87.00	Total outlays (gross)	2,153	2,047 2,188

Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00	Federal sources	-59	-61 -64
88.40	Non-Federal sources	-1	
88.90	Total, offsetting collections (cash)	-60	-61 -64

General and special funds—Continued

OPERATION AND MAINTENANCE, AIR FORCE RESERVE—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 57-3740-0-1-051	2001 actual	2002 est.	2003 est.
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-7		
88.96 Portion of offsetting collections (cash) credited to expired accounts	21		
Net budget authority and outlays:			
89.00 Budget authority	1,958	2,059	2,190
90.00 Outlays	2,094	1,986	2,125

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	1,906	2,006	2,135
90.00 Outlays	2,042	1,933	2,070

Object Classification (in millions of dollars)

Identification code 57-3740-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	827	886	929
12.1 Civilian personnel benefits	52	53	55
21.0 Travel and transportation of persons	21	18	19
22.0 Transportation of things	2	3	3
23.2 Rental payments to others	3	1	1
23.3 Communications, utilities, and miscellaneous charges	23	31	31
24.0 Printing and reproduction	2	2	3
25.1 Advisory and assistance services	3	4	3
25.2 Other services	41	94	96
Other purchases of goods and services from Government accounts:			
25.3 Other purchases of goods and services from Government accounts	1	2	2
25.3 Purchases from revolving funds	438	309	342
25.4 Operation and maintenance of facilities	94	22	43
25.6 Medical care	2		
25.7 Operation and maintenance of equipment	29	165	175
26.0 Supplies and materials	433	249	284
31.0 Equipment	17	19	17
42.0 Insurance claims and indemnities	7	201	187
99.0 Direct obligations	1,995	2,059	2,190
99.0 Reimbursable obligations	46	61	64
99.9 Total new obligations	2,041	2,120	2,254

Personnel Summary

Identification code 57-3740-0-1-051	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	14,238	14,038	13,861
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	287	299	299

OPERATION AND MAINTENANCE, ARMY NATIONAL GUARD

For expenses of training, organizing, and administering the Army National Guard, including medical and hospital treatment and related expenses in non-Federal hospitals; maintenance, operation, and repairs to structures and facilities; hire of passenger motor vehicles; personnel services in the National Guard Bureau; travel expenses (other than mileage), as authorized by law for Army personnel on active duty, for Army National Guard division, regimental, and battalion commanders while inspecting units in compliance with National Guard Bureau regulations when specifically authorized by the Chief, National Guard Bureau; supplying and equipping the Army

National Guard as authorized by law; and expenses of repair, modification, maintenance, and issue of supplies and equipment (including aircraft), **[\$3,768,058,000] \$4,136,822,000.** (10 U.S.C. 261-80, 2231-38, 2511, 4651; 32 U.S.C. 701-02, 709, 18233a; Department of Defense Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 21-2065-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Operating forces	3,249	3,591	3,879
00.04 Administration and servicewide activities	241	224	257
09.01 Reimbursable program	130	157	160
10.00 Total new obligations	3,620	3,973	4,296

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	13	1	
22.00 New budget authority (gross)	3,590	3,972	4,296
22.10 Resources available from recoveries of prior year obligations	8		
22.22 Unobligated balance transferred from other DoD accounts	16		
23.90 Total budgetary resources available for obligation	3,626	3,973	4,296
23.95 Total new obligations	-3,620	-3,973	-4,296
23.98 Unobligated balance expiring or withdrawn	-6		
24.40 Unobligated balance carried forward, end of year	1		

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	3,444	3,841	4,137
40.72 Reduction pursuant to P.L. 107-117		-26	
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-7		
42.00 Transferred from other DoD accounts	24		
43.00 Appropriation (total discretionary)	3,460	3,815	4,137
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	114	157	160
68.10 Change in uncollected customer payments from Federal sources (unexpired)	16		
68.90 Spending authority from offsetting collections (total discretionary)	130	157	160
70.00 Total new budget authority (gross)	3,590	3,972	4,296

Change in obligated balances:

72.40 Obligated balance, start of year	1,069	1,156	1,216
73.10 Total new obligations	3,620	3,973	4,296
73.20 Total outlays (gross)	-3,453	-3,912	-4,157
73.40 Adjustments in expired accounts (net)	-76		
73.45 Recoveries of prior year obligations	-8		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-16		
74.10 Change in uncollected customer payments from Federal sources (expired)	19		
74.40 Obligated balance, end of year	1,156	1,216	1,355

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	2,634	3,001	3,244
86.93 Outlays from discretionary balances	819	911	914
87.00 Total outlays (gross)	3,453	3,912	4,157

Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-122	-155	-158
88.40 Non-Federal sources	-6	-2	-2
88.90 Total, offsetting collections (cash)	-128	-157	-160
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-16		
88.96 Portion of offsetting collections (cash) credited to expired accounts	14		

Net budget authority and outlays:

89.00 Budget authority	3,460	3,815	4,137
90.00 Outlays	3,324	3,755	3,998

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	3,383	3,733	4,050
90.00 Outlays	3,247	3,673	3,911

Object Classification (in millions of dollars)

Identification code 21-2065-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	902	981	1,003
11.3 Other than full-time permanent	91	111	119
11.5 Other personnel compensation	12	15	15
11.9 Total personnel compensation	1,005	1,107	1,137
12.1 Civilian personnel benefits	366	397	425
13.0 Benefits for former personnel	1	2	1
21.0 Travel and transportation of persons	79	62	73
22.0 Transportation of things	44	44	45
23.1 Rental payments to GSA	17	26	26
23.2 Rental payments to others	9	10	11
23.3 Communications, utilities, and miscellaneous charges	109	116	118
24.0 Printing and reproduction	9	9	10
25.1 Advisory and assistance services	48	15	17
25.2 Other services	55	57	60
Other purchases of goods and services from Government accounts:			
25.3 Other purchases of goods and services from Government accounts	108	115	116
25.3 Purchases from revolving funds	102	117	114
25.4 Operation and maintenance of facilities	432	452	462
25.6 Medical care	34	37	39
25.7 Operation and maintenance of equipment	84	87	90
25.8 Subsistence and support of persons	2	3	3
26.0 Supplies and materials	831	992	1,217
31.0 Equipment	122	134	136
32.0 Land and structures	33	35	37
99.0 Direct obligations	3,490	3,817	4,137
99.0 Reimbursable obligations	130	156	159
99.9 Total new obligations	3,620	3,973	4,296

Personnel Summary

Identification code 21-2065-0-1-051	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	24,893	25,139	25,193
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	229		

OPERATION AND MAINTENANCE, AIR NATIONAL GUARD

For operation and maintenance of the Air National Guard, including medical and hospital treatment and related expenses in non-Federal hospitals; maintenance, operation, repair, and other necessary expenses of facilities for the training and administration of the Air National Guard, including repair of facilities, maintenance, operation, and modification of aircraft; transportation of things, hire of passenger motor vehicles; supplies, materials, and equipment, as authorized by law for the Air National Guard; and expenses incident to the maintenance and use of supplies, materials, and equipment, including such as may be furnished from stocks under the control of agencies of the Department of Defense; travel expenses (other than mileage) on the same basis as authorized by law for Air National Guard personnel on active Federal duty, for Air National Guard commanders while inspecting units in compliance with National Guard Bureau regulations when specifically authorized by the Chief, National Guard Bureau, **[\$3,988,961,000] \$4,150,861,000.** (10 U.S.C. 261-80, 2232-38, 2511, 8012, 8721-22, 9741, 9743; 32 U.S.C. 106, 107, 320, 701-03, 709, 18233a; 37 U.S.C. 404-11; Department of Defense Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 57-3840-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Operating forces	3,664	4,022	4,116
00.04 Administration and servicewide activities	24	14	35
09.01 Reimbursable program	256	246	251
10.00 Total new obligations	3,942	4,281	4,401

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	5		
22.00 New budget authority (gross)	3,856	4,281	4,401
22.22 Unobligated balance transferred from other DoD accounts	88		
23.90 Total budgetary resources available for obligation	3,947	4,281	4,401
23.95 Total new obligations	-3,942	-4,281	-4,401
23.98 Unobligated balance expiring or withdrawn	-5		

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	3,587	4,063	4,150
40.72 Reduction pursuant to P.L. 107-117		-28	
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-7		
42.00 Transferred from other DoD accounts	20		
43.00 Appropriation (total discretionary)	3,599	4,035	4,150
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	203	246	251
68.10 Change in uncollected customer payments from Federal sources (unexpired)	53		
68.90 Spending authority from offsetting collections (total discretionary)	256	246	251
70.00 Total new budget authority (gross)	3,856	4,281	4,401

Change in obligated balances:

72.40 Obligated balance, start of year	703	766	873
73.10 Total new obligations	3,942	4,281	4,401
73.20 Total outlays (gross)	-3,859	-4,174	-4,339
73.40 Adjustments in expired accounts (net)	-29		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-53		
74.10 Change in uncollected customer payments from Federal sources (expired)	62		
74.40 Obligated balance, end of year	766	873	936

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	3,292	3,570	3,670
86.93 Outlays from discretionary balances	567	604	669
87.00 Total outlays (gross)	3,859	4,174	4,339

Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-253	-243	-248
88.40 Non-Federal sources	-2	-3	-3
88.90 Total, offsetting collections (cash)	-255	-246	-251
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-53		
88.96 Portion of offsetting collections (cash) credited to expired accounts	52		

Net budget authority and outlays:

89.00 Budget authority	3,599	4,035	4,150
90.00 Outlays	3,605	3,928	4,088

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	3,521	3,952	4,062
90.00 Outlays	3,527	3,845	4,000

General and special funds—Continued

OPERATION AND MAINTENANCE, AIR NATIONAL GUARD—Continued

Object Classification (in millions of dollars)

Identification code 57-3840-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	961	1,016	1,055
11.3 Other than full-time permanent	68	72	74
11.5 Other personnel compensation	18	19	19
11.9 Total personnel compensation	1,047	1,107	1,148
12.1 Civilian personnel benefits	364	389	407
13.0 Benefits for former personnel	1	4	4
21.0 Travel and transportation of persons	56	37	42
22.0 Transportation of things	20	10	10
23.2 Rental payments to others	3	2	2
23.3 Communications, utilities, and miscellaneous charges	55	62	66
24.0 Printing and reproduction	1	2	2
25.1 Advisory and assistance services	11	10	6
25.2 Other services	51	65	43
Other purchases of goods and services from Government accounts:			
25.3 Other purchases of goods and services from Government accounts	3	7	12
25.3 Purchases from revolving funds	466	498	565
25.4 Operation and maintenance of facilities	312	288	361
25.6 Medical care	1	3	3
25.7 Operation and maintenance of equipment	85	97	127
26.0 Supplies and materials	1,115	1,392	1,285
31.0 Equipment	83	50	54
42.0 Insurance claims and indemnities	12	13	13
99.0 Direct obligations	3,686	4,036	4,150
99.0 Reimbursable obligations	256	245	251
99.9 Total new obligations	3,942	4,281	4,401

Personnel Summary

Identification code 57-3840-0-1-051	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	22,941	23,242	23,290
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	928	869	869

QUALITY OF LIFE ENHANCEMENTS, DEFENSE

Program and Financing (in millions of dollars)

Identification code 97-0839-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program Activity	203	45
10.00 Total new obligations (object class 26.0)	203	45
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	92	45
22.00 New budget authority (gross)	160
22.10 Resources available from recoveries of prior year obligations	5
23.90 Total budgetary resources available for obligation	257	45
23.95 Total new obligations	-203	-45
23.98 Unobligated balance expiring or withdrawn	-9
24.40 Unobligated balance carried forward, end of year	45
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	160
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	-1
68.10 Change in uncollected customer payments from Federal sources (unexpired)	1
70.00 Total new budget authority (gross)	160

Change in obligated balances:

72.40 Obligated balance, start of year	419	320	127
73.10 Total new obligations	203	45
73.20 Total outlays (gross)	-296	-238	-85
73.45 Recoveries of prior year obligations	-5
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-1
74.40 Obligated balance, end of year	320	127	41

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	16
86.93 Outlays from discretionary balances	278	238	85
87.00 Total outlays (gross)	296	238	85

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources Against gross budget authority only:	1
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-1

Net budget authority and outlays:

89.00 Budget authority	160
90.00 Outlays	297	238	85

OVERSEAS CONTINGENCY OPERATIONS TRANSFER ACCOUNT

(INCLUDING TRANSFER OF FUNDS)

For expenses directly relating to Overseas Contingency Operations by United States military forces, [\$50,000,000] \$52,632,000, to remain available until expended: *Provided*, That the Secretary of Defense may transfer these funds only to military personnel accounts; operation and maintenance accounts within this title; the Defense Health Program appropriation; procurement accounts; research, development, test and evaluation accounts; and to working capital funds: *Provided further*, That the funds transferred shall be merged with and shall be available for the same purposes and for the same time period, as the appropriation to which transferred: *Provided further*, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: *Provided further*, That the transfer authority provided in this paragraph is in addition to any other transfer authority contained elsewhere in this Act. (*Department of Defense Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 97-0118-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Overseas Contingency Operations Transfer Fund	178	53
10.00 Total new obligations (object class 26.0)	178	53
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1,485	129
22.00 New budget authority (gross)	61	50	53
22.21 Unobligated balances transferred to other DoD accounts	-1,465
22.22 Unobligated balances transferred from other DoD accounts	48
23.90 Total budgetary resources available for obligation	129	178	53
23.95 Total new obligations	-178	-53
24.40 Unobligated balance carried forward, end of year	129
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	2,839	50	53
40.15 Appropriation (emergency)	100
40.35 Appropriation rescinded	-200
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-6
41.00 Transferred to other DoD accounts	-2,691
42.00 Transferred from other DoD accounts	20
43.00 Appropriation (total discretionary)	61	50	53
Change in obligated balances:			
72.40 Obligated balance, start of year	45

73.10	Total new obligations	178	53
73.20	Total outlays (gross)	-133	-75
74.40	Obligated balance, end of year	45	23

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	37	11
86.93	Outlays from discretionary balances	96	64
87.00	Total outlays (gross)	133	75

Net budget authority and outlays:

89.00	Budget authority	61	50	53
90.00	Outlays	133	75	

OPLAN 34A-35 P.O.W. PAYMENTS

Program and Financing (in millions of dollars)

Identification code 97-0840-0-1-051	2001 actual	2002 est.	2003 est.
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Obligations by program activity:

10.00	Total new obligations (object class 42.0)	1	5
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Budgetary resources available for obligation:

21.40	Unobligated balance carried forward, start of year	6	5
23.95	Total new obligations	-1	-5
24.40	Unobligated balance carried forward, end of year	5	

Change in obligated balances:

72.40	Obligated balance, start of year			3
73.10	Total new obligations	1	5	
73.20	Total outlays (gross)		-2	-2
74.40	Obligated balance, end of year		3	1

Outlays (gross), detail:

86.93	Outlays from discretionary balances	2	2
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Net budget authority and outlays:

89.00	Budget authority		
90.00	Outlays	2	2

This account provides funding for payments to certain individuals, who served time in prisoner of war camps, and their families. The payments are required by section 657 of Public Law 104-201 as amended by section 658 of Public Law 105-261.

UNITED STATES COURTS OF APPEALS FOR THE ARMED FORCES

For salaries and expenses necessary for the United States Court of Appeals for the Armed Forces, **[\$9,096,000] \$9,925,000**, of which not to exceed \$2,500 can be used for official representation purposes. (10 U.S.C. 867; Department of Defense Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 97-0104-0-1-051	2001 actual	2002 est.	2003 est.
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Obligations by program activity:

10.00	Total new obligations	8	9	10
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Budgetary resources available for obligation:

22.00	New budget authority (gross)	9	9	10
23.95	Total new obligations	-8	-9	-10

New budget authority (gross), detail:

Discretionary:				
40.00	Appropriation	9	9	10

Change in obligated balances:

72.40	Obligated balance, start of year	4	4	5
73.10	Total new obligations	8	9	10
73.20	Total outlays (gross)	-8	-9	-9
74.40	Obligated balance, end of year	4	5	5

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	7	8	9
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86.93	Outlays from discretionary balances	1	1	1
87.00	Total outlays (gross)	8	9	9

Net budget authority and outlays:

89.00	Budget authority	9	9	10
90.00	Outlays	8	9	9

Object Classification (in millions of dollars)

Identification code 97-0104-0-1-051	2001 actual	2002 est.	2003 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	5	5	6
12.1	Civilian personnel benefits	1	1	1
23.1	Rental payments to GSA	1	1	1
25.1	Advisory and assistance services		1	1
99.0	Direct obligations	7	8	9
99.5	Below reporting threshold	1	1	1
99.9	Total new obligations	8	9	10

Personnel Summary

Identification code 97-0104-0-1-051	2001 actual	2002 est.	2003 est.	
1001	Total compensable workyears: Full-time equivalent employment	53	59	59

【COUNTER-TERRORISM AND OPERATIONAL RESPONSE TRANSFER FUND】

【(INCLUDING TRANSFER OF FUNDS)】

【For protection against terrorist attacks that might employ either conventional means or weapons of mass destruction, and to prepare against the consequences of such attacks; to deny unauthorized users the opportunity to modify, steal, inappropriately disclose, or destroy sensitive military data or networks; and to accelerate improvements in information networks and operations, \$478,000,000: *Provided*, That of the amounts made available under this heading, \$333,000,000 is available only for improving force protection and chemical and biological defense capabilities of the Department of Defense, and improving capabilities to respond to attacks using weapons of mass destruction: *Provided further*, That \$70,000,000 is available only for improving the effectiveness of Department of Defense capabilities in the areas of information assurance and critical infrastructure protection, and information operations; and \$75,000,000 is available only to develop and demonstrate systems to protect against unconventional nuclear threats: *Provided further*, That in order to carry out the specified purposes under this heading, funds made available under this heading may be transferred to any appropriation account otherwise enacted by this Act: *Provided further*, That the funds transferred shall be merged with and shall be available for the same purposes and for the same time period as the appropriation to which transferred: *Provided further*, That the transfer authority provided under this heading is in addition to any other transfer authority available to the Department of Defense: *Provided further*, That within 90 days of enactment of this Act, the Secretary of Defense shall provide to the Congress a report specifying the projects and accounts to which funds provided under this heading are to be transferred.】 (*Department of Defense Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 97-0099-0-1-051	2001 actual	2002 est.	2003 est.
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Obligations by program activity:

10.00	Total new obligations (object class 26.0)		475
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Budgetary resources available for obligation:

22.00	New budget authority (gross)		475
23.95	Total new obligations		-475

New budget authority (gross), detail:

Discretionary:			
40.00	Appropriation		478
40.72	Reduction pursuant to P.L. 107-117		-3
43.00	Appropriation (total discretionary)		475

General and special funds—Continued

【COUNTER-TERRORISM AND OPERATIONAL RESPONSE TRANSFER FUND】—Continued

【(INCLUDING TRANSFER OF FUNDS)】—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 97-0099-0-1-051	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40	Obligated balance, start of year		121
73.10	Total new obligations	475	
73.20	Total outlays (gross)	-354	-95
74.40	Obligated balance, end of year	121	26
Outlays (gross), detail:			
86.90	Outlays from new discretionary authority	354	
86.93	Outlays from discretionary balances		95
87.00	Total outlays (gross)	354	95
Net budget authority and outlays:			
89.00	Budget authority	475	
90.00	Outlays	354	95

DRUG INTERDICTION AND COUNTER-DRUG ACTIVITIES, DEFENSE

(INCLUDING TRANSFER OF FUNDS)

For drug interdiction and counter-drug activities of the Department of Defense, for transfer to appropriations available to the Department of Defense for military personnel of the reserve components serving under the provisions of title 10 and title 32, United States Code; for Operation and maintenance; for Procurement; and for Research, development, test and evaluation, **【\$842,581,000】 \$848,907,000: Provided**, That the funds appropriated under this heading shall be available for obligation for the same time period and for the same purpose as the appropriation to which transferred: *Provided further, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: Provided further, That the transfer authority provided under this heading is in addition to any other transfer authority contained elsewhere in this Act. (Department of Defense Appropriations Act, 2002.)*

Program and Financing (in millions of dollars)

Identification code 97-0105-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01	Drug interdiction and counter-drug activities	848	825
00.20	Education of America's youth		23
10.00	Total new obligations	848	849
Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year	114	11
22.00	New budget authority (gross)	837	849
22.21	Unobligated balance transferred to other accounts	-103	
23.90	Total budgetary resources available for obligation	11	848
23.95	Total new obligations	-848	-849
24.40	Unobligated balance carried forward, end of year	11	
New budget authority (gross), detail:			
Discretionary:			
40.00	Appropriation	869	843
40.72	Reduction pursuant to P.L. 107-117		-6
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)	-2	
41.00	Transferred to other DoD accounts	-867	
43.00	Appropriation (total discretionary)	837	849
Change in obligated balances:			
72.40	Obligated balance, start of year		224
73.10	Total new obligations	848	849
73.20	Total outlays (gross)	-623	-799
74.40	Obligated balance, end of year	224	274
Outlays (gross), detail:			
86.90	Outlays from new discretionary authority		624
86.93	Outlays from discretionary balances		633

86.93	Outlays from discretionary balances		167
87.00	Total outlays (gross)	623	799
Net budget authority and outlays:			
89.00	Budget authority	837	849
90.00	Outlays	623	799

Object Classification (in millions of dollars)

Identification code 97-0105-0-1-051	2001 actual	2002 est.	2003 est.
21.0	Travel and transportation of persons	76	70
22.0	Transportation of things	26	23
23.2	Rental payments to others	4	6
23.3	Communications, utilities, and miscellaneous charges	17	19
25.1	Advisory and assistance services	24	24
25.2	Other services	98	100
25.3	Other purchases of goods and services from Government accounts	171	166
25.4	Operation and maintenance of facilities	22	13
25.7	Operation and maintenance of equipment	54	58
26.0	Supplies and materials	338	355
31.0	Equipment	18	15
99.9	Total new obligations	848	849

SUPPORT FOR INTERNATIONAL SPORTING COMPETITIONS, DEFENSE

For logistical and security support for international sporting competitions (including pay and non-travel related allowances only for members of the Reserve Components of the Armed Forces of the United States called or ordered to active duty in connection with providing such support), **【\$15,800,000】 \$19,000,000**, to remain available until expended. *(Department of Defense Appropriations Act, 2002.)*

Program and Financing (in millions of dollars)

Identification code 97-0838-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00	Total new obligations (object class 26.0)	12	33
Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year	22	10
22.00	New budget authority (gross)		23
23.90	Total budgetary resources available for obligation	23	33
23.95	Total new obligations	-12	-33
24.40	Unobligated balance carried forward, end of year	10	
New budget authority (gross), detail:			
Discretionary:			
40.00	Appropriation	16	19
42.00	Transferred from other accounts	7	
43.00	Appropriation (total discretionary)	23	19
Change in obligated balances:			
72.40	Obligated balance, start of year	2	3
73.10	Total new obligations	12	33
73.20	Total outlays (gross)	-11	-11
73.40	Adjustments in expired accounts (net)	-1	
74.40	Obligated balance, end of year	3	24
Outlays (gross), detail:			
86.90	Outlays from new discretionary authority		12
86.93	Outlays from discretionary balances	11	7
87.00	Total outlays (gross)	11	11
Net budget authority and outlays:			
89.00	Budget authority	23	19
90.00	Outlays	11	11

FOREIGN CURRENCY FLUCTUATIONS, DEFENSE

Program and Financing (in millions of dollars)

Identification code 97-0801-0-1-051	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	982	988	988
22.00 New budget authority (gross)	385		
22.21 Unobligated balance transferred to other DoD accounts	-379		
23.90 Total budgetary resources available for obligation	988	988	988
24.40 Unobligated balance carried forward, end of year	988	988	988
New budget authority (gross), detail:			
Discretionary:			
40.36 Unobligated balance rescinded	-68		
50.00 Reappropriation	454		
70.00 Total new budget authority (gross)	385		
Net budget authority and outlays:			
89.00 Budget authority	385		
90.00 Outlays			

This account transfers funds, to operation and maintenance and military personnel appropriations, available for Defense activities in foreign countries to finance upward adjustment of recorded obligations due to foreign currency fluctuations above the budget rate. Transfers are made as needed to meet disbursement requirements in excess of funds otherwise available for obligation adjustment. Net gains resulting from favorable exchange rates are returned to this appropriation and are available for subsequent transfer when needed.

DISASTER RELIEF

Program and Financing (in millions of dollars)

Identification code 97-0132-0-1-051	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		2	2
24.40 For completion of prior year budget plans	2	2	2
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

DEFENSE HEALTH PROGRAM

For expenses, not otherwise provided for, for medical and health care programs of the Department of Defense, as authorized by law, **[\$18,391,194,000] \$14,706,227,000**, of which **[\$17,659,475,000] \$14,360,271,000** shall be for Operation and maintenance, of which not to exceed 2 percent shall remain available until September 30, **[2003] 2004**; of which **[\$267,915,000] \$278,742,000**, to remain available for obligation until September 30, **[2004] 2005**, shall be for Procurement; of which **[\$463,804,000] \$67,214,000**, to remain available for obligation until September 30, **[2003] 2004**, shall be for Research, development, test and evaluation, and of which \$14,000,000 shall be available for HIV prevention educational activities undertaken in connection with U.S. military training, exercises, and humanitarian assistance activities conducted in African nations. (Department of Defense Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 97-0130-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Operation and Maintenance	13,125	18,459	14,266
00.02 Procurement	278	277	279
00.03 Research, Development, Test and Evaluation	356	452	67
09.01 Reimbursable program	740	900	6,534

10.00 Total new obligations	14,614	20,208	21,272
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	941	1,002	73
22.00 New budget authority (gross)	14,644	19,279	21,240
22.10 Resources available from recoveries of prior year obligations	36		
22.22 Unobligated balance transferred from other accounts	7		
23.90 Total budgetary resources available for obligation	15,628	20,281	21,313
23.95 Total new obligations	-14,614	-20,208	-21,272
23.98 Unobligated balance expiring or withdrawn	-12		
24.40 Unobligated balance carried forward, end of year	1,002	73	41

New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	13,819	18,508	14,706
40.36 Unobligated balance rescinded	-1		
40.72 Reduction pursuant to P.L. 107-117		-128	
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-27		
41.00 Transferred to other DoD accounts	-15	-1	
42.00 Transferred from other DoD accounts	59		
43.00 Appropriation (total discretionary)	13,835	18,379	14,706
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	634	900	900
68.10 Change in uncollected customer payments from Federal sources (unexpired)	175		
68.90 Spending authority from offsetting collections (total discretionary)	809	900	900
Mandatory:			
69.00 Offsetting collections (cash)			5,634
70.00 Total new budget authority (gross)	14,644	19,279	21,240

Change in obligated balances:			
72.40 Obligated balance, start of year	3,350	3,939	5,234
73.10 Total new obligations	14,614	20,208	21,272
73.20 Total outlays (gross)	-14,251	-18,913	-20,711
73.40 Adjustments in expired accounts (net)	-184		
73.45 Recoveries of prior year obligations	-36		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-175		
74.10 Change in uncollected customer payments from Federal sources (expired)	621		
74.40 Obligated balance, end of year	3,939	5,234	5,795

Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	10,945	15,436	12,587
86.93 Outlays from discretionary balances	3,306	3,477	3,589
86.97 Outlays from new mandatory authority			4,535
87.00 Total outlays (gross)	14,251	18,913	20,711

Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 Federal sources	-943	-651	-651
88.00 Federal sources, accrual fund			-5,634
Non-Federal sources:			
88.40 Non-Federal sources	-120	-99	-99
88.40 Non-Federal sources, third party collections	-130	-150	-150
88.90 Total, offsetting collections (cash)	-1,193	-900	-6,534
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-175		
88.96 Portion of offsetting collections (cash) credited to expired accounts	559		

Net budget authority and outlays:			
89.00 Budget authority	13,835	18,379	14,706
90.00 Outlays	13,059	18,013	14,177

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	13,720	18,259	14,580
90.00 Outlays	12,944	17,893	14,051

General and special funds—Continued

DEFENSE HEALTH PROGRAM—Continued

Budget Plan (in millions of dollars)

Identification code 97-0130-0-1-051	2001 actual	2002 est.	2003 est.
Direct:			
0701 Operation and Maintenance	12,973	18,195	14,360
0702 Procurement	290	268	279
0703 Research, Development, Test and Evaluation	432	464	67
0791 Total direct program	13,580	18,806	14,580
0801 Reimbursable program	740	900	6,500
0893 Total budget plan	14,435	19,826	21,206

The Defense Health Program provides care to present and retired members of the Armed Forces, their dependents, and other eligible beneficiaries. Beneficiaries may obtain care from Army, Navy, and Air Force facilities or through the civilian health care network under the TRICARE program. Beneficiaries who are also eligible for Medicare may obtain care through the Military Health System beginning in 2002.

Accrual accounting for Medicare-eligible beneficiaries will begin in 2003, and health care for these beneficiaries will be funded from the Uniformed Services Retiree Health Care Fund. This accrual accounting for health will expand to all Uniformed Service retirees in 2004.

The Defense Health Program also manages Research and Development funds appropriated by Congress. These funds permit the Defense Department to conduct research into several areas relevant to the health of military personnel.

Medical care is provided in military facilities as follows:

	2001	2002	2003
Hospitals/Medical Centers	81	81	81
Clinics	514	514	514

These hospitals, medical centers, and clinics are staffed by:

	Staff in thousands		
	2001	2002	2003
Civilian work years	38	38	38
Military personnel	92	92	92
Percent of all active duty military personnel	6.5	6.5	6.5

The number of beneficiaries using the Defense Health Program is estimated as follows:

	Beneficiaries in thousands		
	2001	2002	2003
Active Duty Personnel	1,552	1,553	1,553
Dependents of Active Duty Personnel	2,048	2,045	2,045
Retirees and dependents of retirees under age 65	1,891	1,870	1,858
Retirees and dependents of retirees over age 65	357	1,150	1,262
Total estimated Defense Health Program user population	5,848	6,618	6,718

Changes over time in the estimated number of users of the Defense Health Program largely reflect changes in the eligible population.

Object Classification (in millions of dollars)

Identification code 97-0130-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	22	23	24
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	23	24	25
12.1 Civilian personnel benefits	119	124	130
21.0 Travel and transportation of persons	158	168	171
22.0 Transportation of things	8	7	7
23.1 Rental payments to GSA	9	13	14
23.2 Rental payments to others	33	36	37
23.3 Communications, utilities, and miscellaneous charges	143	150	143

24.0	Printing and reproduction	11	12	12
25.1	Advisory and assistance services	47	61	62
25.2	Other services	176	199	212
Other purchases of goods and services from Government accounts:				
25.3	Other purchases of goods and services from Government accounts	1,882	1,848	1,904
25.3	Payments to foreign national indirect hire personnel	33	36	37
25.4	Operation and maintenance of facilities	238	231	256
25.5	Research and development contracts	344	103	79
25.6	Medical care	7,888	12,206	8,346
25.7	Operation and maintenance of equipment	91	91	92
26.0	Supplies and materials	2,093	3,391	2,621
31.0	Equipment	551	601	582
41.0	Grants, subsidies, and contributions	27	7	8
99.0	Direct obligations	13,874	19,308	14,738
99.0	Reimbursable obligations	740	900	6,534
99.9	Total new obligations	14,614	20,208	21,272

Personnel Summary

Identification code 97-0130-0-1-051	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	292	297	297

THE DEPARTMENT OF DEFENSE ENVIRONMENTAL RESTORATION ACCOUNTS

ENVIRONMENTAL RESTORATION, ARMY

(INCLUDING TRANSFER OF FUNDS)

For the Department of the Army, **[\$389,800,000] \$395,900,000**, to remain available until transferred: *Provided*, That the Secretary of the Army shall, upon determining that such funds are required for environmental restoration, reduction and recycling of hazardous waste, removal of unsafe buildings and debris of the Department of the Army, or for similar purposes, transfer the funds made available by this appropriation to other appropriations made available to the Department of the Army, to be merged with and to be available for the same purposes and for the same time period as the appropriations to which transferred: *Provided further*, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation. (*Department of Defense Appropriations Act, 2002.*)

ENVIRONMENTAL RESTORATION, NAVY

(INCLUDING TRANSFER OF FUNDS)

For the Department of the Navy, **[\$257,517,000] \$256,948,000**, to remain available until transferred: *Provided*, That the Secretary of the Navy shall, upon determining that such funds are required for environmental restoration, reduction and recycling of hazardous waste, removal of unsafe buildings and debris of the Department of the Navy, or for similar purposes, transfer the funds made available by this appropriation to other appropriations made available to the Department of the Navy, to be merged with and to be available for the same purposes and for the same time period as the appropriations to which transferred: *Provided further*, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation. (*Department of Defense Appropriations Act, 2002.*)

ENVIRONMENTAL RESTORATION, AIR FORCE

(INCLUDING TRANSFER OF FUNDS)

For the Department of the Air Force, **[\$385,437,000] \$389,773,000**, to remain available until transferred: *Provided*, That the Secretary of the Air Force shall, upon determining that such funds are required for environmental restoration, reduction and recycling of hazardous waste, removal of unsafe buildings and debris of the Department of the Air Force, or for similar purposes, transfer the funds made

available by this appropriation to other appropriations made available to the Department of the Air Force, to be merged with and to be available for the same purposes and for the same time period as the appropriations to which transferred: *Provided further*, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation. (*Department of Defense Appropriations Act, 2002.*)

ENVIRONMENTAL RESTORATION, DEFENSE-WIDE
(INCLUDING TRANSFER OF FUNDS)

For the Department of Defense, **[\$23,492,000] \$23,498,000**, to remain available until transferred: *Provided*, That the Secretary of Defense shall, upon determining that such funds are required for environmental restoration, reduction and recycling of hazardous waste, removal of unsafe buildings and debris of the Department of Defense, or for similar purposes, transfer the funds made available by this appropriation to other appropriations made available to the Department of Defense, to be merged with and to be available for the same purposes and for the same time period as the appropriations to which transferred: *Provided further*, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation. (*Department of Defense Appropriations Act, 2002.*)

ENVIRONMENTAL RESTORATION, FORMERLY USED DEFENSE SITES
(INCLUDING TRANSFER OF FUNDS)

For the Department of the Army, **[\$222,255,000] \$212,102,000**, to remain available until transferred: *Provided*, That the Secretary of the Army shall, upon determining that such funds are required for environmental restoration, reduction and recycling of hazardous waste, removal of unsafe buildings and debris at sites formerly used by the Department of Defense, transfer the funds made available by this appropriation to other appropriations made available to the Department of the Army, to be merged with and to be available for the same purposes and for the same time period as the appropriations to which transferred: *Provided further*, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation. (*Department of Defense Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)			
Identification code 97-0810-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Department of Army		387	396
00.02 Department of Navy		255	257
00.03 Department of Air Force		383	392
00.04 Defense-wide		23	23
00.20 Formerly Used Defense Sites		221	212
10.00 Total new obligations (object class 32.0)		1,269	1,278
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		1,269	1,278
23.95 Total new obligations		-1,269	-1,278
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1,313	1,279	1,278
40.72 Reduction pursuant to P.L. 107-117		-9	
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-3		
41.00 Transferred to other DoD accounts	-1,328		
42.00 Transferred from other DoD accounts	18		
43.00 Appropriation (total discretionary)		1,269	1,278
Change in obligated balances:			
72.40 Obligated balance, start of year			990
73.10 Total new obligations		1,269	1,278
73.20 Total outlays (gross)		-279	-852
74.40 Obligated balance, end of year			990
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		279	281

86.93	Outlays from discretionary balances		571
87.00	Total outlays (gross)	279	852
Net budget authority and outlays:			
89.00	Budget authority	1,269	1,278
90.00	Outlays	279	852

The defense environmental restoration program provides for the identification, investigation, and cleanup of contamination resulting from past DoD activities. The Department has 18,485 previously identified contaminated sites requiring no further action, leaving 7,628 active sites at 678 military installations along with approximately 2,000 projects at formerly used Defense properties. For these remaining sites and projects DoD is engaged in either a study to determine the extent of the contamination or the actual clean-up.

The Department's environmental restoration program is funded by five separate environmental restoration accounts, one for each military department, one for defense agencies and one for formerly used defense sites. These five decentralized accounts include restoration activities from preliminary assessment and site planning to studies, then clean-up and finally closeout of a site, functions formerly funded in the defense environmental restoration account.

OVERSEAS HUMANITARIAN, DISASTER, AND CIVIC AID

For expenses relating to the Overseas Humanitarian, Disaster, and Civic Aid programs of the Department of Defense (consisting of the programs provided under sections 401, 402, 404, 2547, and 2551 of title 10, United States Code), **[\$49,700,000] \$58,400,000**, to remain available until September 30, **[2003] 2004**. (*Department of Defense Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)			
Identification code 97-0819-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 26.0)	73	48	58
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	29	19	20
22.00 New budget authority (gross)	56	49	58
22.10 Resources available from recoveries of prior year obligations	9		
22.21 Unobligated balance transferred to other accounts		-8	
22.22 Unobligated balance transferred from other accounts		8	
23.90 Total budgetary resources available for obligation	93	68	78
23.95 Total new obligations	-73	-48	-58
23.98 Unobligated balance expiring or withdrawn	-1		
24.40 Unobligated balance carried forward, end of year	19	20	21
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	56	50	58
Change in obligated balances:			
72.40 Obligated balance, start of year	47	39	18
73.10 Total new obligations	73	48	58
73.20 Total outlays (gross)	-60	-69	-40
73.40 Adjustments in expired accounts (net)	-12		
73.45 Recoveries of prior year obligations	-9		
74.40 Obligated balance, end of year	39	18	36
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	16	11	13
86.93 Outlays from discretionary balances	44	58	27
87.00 Total outlays (gross)	60	69	40
Net budget authority and outlays:			
89.00 Budget authority	56	49	58
90.00 Outlays	60	69	40

General and special funds—Continued

DEFENSE REINVESTMENT FOR ECONOMIC GROWTH

Program and Financing (in millions of dollars)

Identification code 97-0828-0-1-051	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year	50	48	48
73.20 Total outlays (gross)	-2		
74.40 Obligated balance, end of year	48	48	48
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	2		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	2		

FORMER SOVIET UNION THREAT REDUCTION

For assistance to the republics of the former Soviet Union, including assistance provided by contract or by grants, for facilitating the elimination and the safe and secure transportation and storage of nuclear, chemical and other weapons; for establishing programs to prevent the proliferation of weapons, weapons components, and weapon-related technology and expertise; for programs relating to the training and support of defense and military personnel for demilitarization and protection of weapons, weapons components and weapons technology and expertise, and for defense and military contacts, **[\$403,000,000] \$416,700,000**, to remain available until September 30, **[2004: Provided, That of the amounts provided under this heading, \$12,750,000 shall be available only to support the dismantling and disposal of nuclear submarines and submarine reactor components in the Russian Far East] 2005.** (*Department of Defense Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 97-0134-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 FSU Threat Reduction	387	571	437
10.00 Total new obligations	387	571	437
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	567	678	445
22.00 New budget authority (gross)	442	338	417
22.10 Resources available from recoveries of prior year obligations	57		
23.90 Total budgetary resources available for obligation	1,066	1,016	862
23.95 Total new obligations	-387	-571	-437
23.98 Unobligated balance expiring or withdrawn	-1		
24.40 Unobligated balance carried forward, end of year	678	445	425
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	443	403	417
40.36 Unobligated balance rescinded		-32	
40.72 Reduction pursuant to P.L. 107-117		-3	
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
41.00 Transferred to other accounts		-30	
43.00 Appropriation (total discretionary)	442	338	417
Change in obligated balances:			
72.40 Obligated balance, start of year	627	590	572
73.10 Total new obligations	387	571	437
73.20 Total outlays (gross)	-362	-589	-507
73.40 Adjustments in expired accounts (net)	-6		
73.45 Recoveries of prior year obligations	-57		
74.40 Obligated balance, end of year	590	572	502
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1	68	83
86.93 Outlays from discretionary balances	361	521	424
87.00 Total outlays (gross)	362	589	507

Net budget authority and outlays:			
89.00 Budget authority	442	338	417
90.00 Outlays	362	589	507

Object Classification (in millions of dollars)

Identification code 97-0134-0-1-051	2001 actual	2002 est.	2003 est.
21.0 Travel and transportation of persons	4	3	2
25.1 Advisory and assistance services	1	1	1
25.2 Other services	282	177	242
25.3 Other purchases of goods and services from Government accounts	85	63	73
26.0 Supplies and materials	15	292	73
31.0 Equipment		35	46
99.9 Total new obligations	387	571	437

PAYMENT TO KAHŌLAWE

ISLAND CONVEYANCE, REMEDIATION, AND ENVIRONMENTAL RESTORATION FUND

For payment to Kaho'olawe Island Conveyance, Remediation, and Environmental Restoration Fund, as authorized by law, **[\$67,500,000] \$25,000,000**, to remain available until expended. (*Department of Defense Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 17-1236-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 32.0)	74	67	25
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	22	9	9
22.00 New budget authority (gross)	60	67	25
23.90 Total budgetary resources available for obligation	82	75	34
23.95 Total new obligations	-74	-67	-25
24.40 Unobligated balance carried forward, end of year	9	9	9
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	60	68	25
40.72 Reduction pursuant to P.L. 107-117		-1	
43.00 Appropriation (total discretionary)	60	67	25
Change in obligated balances:			
72.40 Obligated balance, start of year	25	38	38
73.10 Total new obligations	74	67	25
73.20 Total outlays (gross)	-60	-67	-25
74.40 Obligated balance, end of year	38	38	38
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	60	67	25
Net budget authority and outlays:			
89.00 Budget authority	60	67	25
90.00 Outlays	60	67	25

DEFENSE EMERGENCY RESPONSE FUND

(INCLUDING TRANSFER OF FUNDS)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Defense Emergency Response Fund", \$3,395,600,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38, as follows:

- (1) For increased situational awareness, \$850,000,000;
- (2) For increased worldwide posture, \$1,495,000,000;
- (3) For offensive counterterrorism, \$372,000,000;
- (4) For initial crisis response, \$39,100,000;
- (5) For the Pentagon Reservation Maintenance Revolving Fund, \$475,000,000;
- (6) For relocation costs and other purposes, \$164,500,000: *Provided, That \$500,000 shall be made available only for the White House Commission on the National Moment of Remembrance:*

Provided further, That from unobligated balances under the heading "Former Soviet Union Threat Reduction", \$30,000,000 shall be transferred to "Department of State, Nonproliferation, Anti-terrorism, Demining, and Related Programs" only for the purpose of supporting expansion of the Biological Weapons Redirect and International Science and Technology Centers programs, to prevent former Soviet biological weapons experts from emigrating to proliferant states and to reconfigure former Soviet biological weapons production facilities for peaceful uses.】

For expenses relating to activities to respond to or protect against acts or threatened acts of terrorism, \$20,055,000,000, to remain available until expended: Provided, That of the funds made available under this heading, \$10,000,000,000 shall be available only to the extent that an official budget request, that includes designation of the amount of the request as essential to respond to or protect against acts or threatened acts of terrorism, is transmitted by the President to the Congress: Provided further, That funds appropriated under this heading may be used to reimburse other appropriations or funds of the Department of Defense, including activities of the National Foreign Intelligence Program, funded in defense appropriations Acts for fiscal year 2003, for costs incurred for such purposes: Provided further, That after consultation with the Director of the Office of Management and Budget, the Secretary of Defense may transfer these funds to any appropriation or fund of the Department of Defense, to be merged with and available for the same purposes and for the same time period as the appropriation to which transferred: Provided further, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: Provided further, That the transfer authority provided in this paragraph is in addition to any other transfer authority contained elsewhere in this Act. (Emergency Supplemental Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 97-0833-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Increased Situational Awareness	18	3,940
00.02 Enhanced Force Protection	121	1,403
00.03 Improved Command & Control	69	1,334
00.04 Increased Worldwide Posture	133	4,825
00.05 Offensive Counter-terrorism	1,862
00.07 Initial Crisis Response	21	596
00.08 Pentagon Repair/Upgrade	30	1,308
00.09 Other Requirements	100	117
00.15 Unallocated	20,055
10.00 Total new obligations (object class 26.0)	491	15,385	20,055
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2,857
22.00 New budget authority (gross)	3,348	3,396	20,055
22.21 Unobligated balance transferred to other accounts	-35
22.22 Unobligated balance transferred from other accounts	9,167
23.90 Total budgetary resources available for obligation	3,348	15,385	20,055
23.95 Total new obligations	-491	-15,385	-20,055
24.40 Unobligated balance carried forward, end of year	2,857
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	3,396	20,055
42.00 Transferred from other accounts	3,348
43.00 Appropriation (total discretionary)	3,348	3,396	20,055
Change in obligated balances:			
72.40 Obligated balance, start of year	352	5,063
73.10 Total new obligations	491	15,385	20,055
73.20 Total outlays (gross)	-139	-10,674	-16,856
74.40 Obligated balance, end of year	352	5,063	8,262
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	139	2,302	13,597
86.93 Outlays from discretionary balances	8,372	3,259
87.00 Total outlays (gross)	139	10,674	16,856
Net budget authority and outlays:			
89.00 Budget authority	3,348	3,396	20,055
90.00 Outlays	139	10,674	16,856

The funds in this account would be used to support the efforts by the Department of Defense (DoD) to respond to, or protect against, acts or threatened acts of terrorism against the United States. The funding in this account will be transferred to the various appropriation accounts of DoD. These resources are included in a transfer account in order to provide DoD with the maximum flexibility to react to changing terrorist threats that may occur during 2003. This flexibility is critical in order for the United States to sustain its war on terrorism. Of this requested amount of \$20.1 billion, \$10.1 billion would be used to:

- Procure critical preferred munitions to include increasing production rates to more adequate levels for the Joint Direct Attack Munition (JDAM) and critical training munitions (\$0.9 billion);
- Protect military personnel and facilities against terrorist attacks and sustain counterterrorism efforts in the future (\$3 billion);
- Improve worldwide command and control capabilities to include increasing network security, improving network reliability and connectivity so that DoD operations can continue without disruption in the event of a cyber attack or another terrorist attack on Defense facilities to include the Pentagon (\$0.8 billion);
- Support the new Commander in Chief (CINC) for Homeland Security to include upgrading an existing facility and funding additional operational support and to support operations at Guantanamo Bay (\$0.1 billion);
- Increase situational awareness to include support for ongoing military operations and to enhance U.S. intelligence, reconnaissance, surveillance, and targeting capabilities against terrorist organizations (\$2.6 billion);
- Continue to fly daily combat air patrols (CAP) in U.S. airspace (\$1.2 billion);
- Procure and modify critical equipment to include unmanned aerial vehicles, tankers (e.g. KC-130s), and AC-130 aircraft (\$0.8 billion); and,
- Support the results of the Nuclear Posture Review to build a new Triad of strategic capabilities (\$0.7 billion).

The remaining \$10 billion will be used to fund continued operations for the war on terrorism. Included is funding for increased operating costs, transportation costs, humanitarian efforts, special pays, reserve/guard call-up, enhanced intelligence efforts, and other related costs.

EMERGENCY RESPONSE

Program and Financing (in millions of dollars)

Identification code 97-4965-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 31.0)	5
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	12	16	16
22.10 Resources available from recoveries of prior year obligations	9
23.90 Total budgetary resources available for obligation	21	16	16
23.95 Total new obligations	-5
24.40 Unobligated balance carried forward, end of year	16	16	16
Change in obligated balances:			
72.40 Obligated balance, start of year	5	5
73.10 Total new obligations	5
73.20 Total outlays (gross)	-7
73.45 Recoveries of prior year obligations	-9
74.40 Obligated balance, end of year	5	5	5
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	7
Net budget authority and outlays:			
89.00 Budget authority

General and special funds—Continued

EMERGENCY RESPONSE—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 97-4965-0-1-051	2001 actual	2002 est.	2003 est.
90.00 Outlays	7		

ALLIED CONTRIBUTIONS AND COOPERATION ACCOUNT

Unavailable Collections (in millions of dollars)

Identification code 97-9927-0-2-051	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 Contributions for burdensharing and other cooperative activities	490	210	210
Appropriations:			
05.00 Allied contributions and cooperation account	-490	-210	-210
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 97-9927-0-2-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 26.0)	490	210	210
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	1
22.00 New budget authority (gross)	490	210	210
23.90 Total budgetary resources available for obligation	491	211	211
23.95 Total new obligations	-490	-210	-210
24.40 Unobligated balance carried forward, end of year	1	1	1
New budget authority (gross), detail:			
Mandatory:			
60.20 Appropriation (special fund)	490	210	210
Change in obligated balances:			
72.40 Obligated balance, start of year	88	82	82
73.10 Total new obligations	490	210	210
73.20 Total outlays (gross)	-496	-210	-210
74.40 Obligated balance, end of year	82	82	82
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	490	210	210
86.98 Outlays from mandatory balances	6		
87.00 Total outlays (gross)	496	210	210
Net budget authority and outlays:			
89.00 Budget authority	490	210	210
90.00 Outlays	496	210	210
Memorandum (non-add) entries:			
Total investments, end of year: Federal securities:			
Par value:			
92.02 Total investments, end of year: Federal securities:			
Par value	1	1	1
92.02 Total investments, end of year: Federal securities:			
Par value	1	1	1

Cash contributions from allied countries and individuals are deposited into this account for reallocation to other DoD appropriations. Contributions are used to offset costs of DoD's overseas presence.

MISCELLANEOUS SPECIAL FUNDS

Unavailable Collections (in millions of dollars)

Identification code 97-9922-0-2-051	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	62	45	46

Receipts:			
02.20 Lease and Disposal of DoD Real Property	49	30	30
02.21 Transfer Commissary Facilities and National Science Center	10		
02.40 Kaho'olawe Island Restoration Fund	60	68	25
02.60 Restoration of Rocky Mountain Arsenal	3	4	4
02.99 Total receipts and collections	122	102	59
04.00 Total: Balances and collections	184	147	105
Appropriations:			
05.00 Discretionary Appropriations	-139	-101	-59
05.99 Total appropriations	-139	-101	-59
07.99 Balance, end of year	45	46	46

Program and Financing (in millions of dollars)

Identification code 97-9922-0-2-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Restoration of Rocky Mountain Arsenal	20	4	4
00.02 Disposal of DoD Real Property	19	15	14
00.03 Lease of DoD real property	14	13	15
00.04 Kaho'olawe Island Restoration Fund	61	67	25
10.00 Total new obligations	115	99	58
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	24	59	60
22.00 New budget authority (gross)	139	101	59
22.10 Resources available from recoveries of prior year obligations	11		
23.90 Total budgetary resources available for obligation	174	160	119
23.95 Total new obligations	-115	-99	-58
24.40 Unobligated balance carried forward, end of year	59	60	61
New budget authority (gross), detail:			
Discretionary:			
40.20 Appropriation (special fund)	136	97	55
Mandatory:			
60.20 Appropriation (special fund)	3	4	4
70.00 Total new budget authority (gross)	139	101	59
Change in obligated balances:			
72.40 Obligated balance, start of year	84	69	54
73.10 Total new obligations	115	99	58
73.20 Total outlays (gross)	-119	-114	-110
73.45 Recoveries of prior year obligations	-11		
74.40 Obligated balance, end of year	69	54	2
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	78	69	32
86.93 Outlays from discretionary balances	14	41	74
86.97 Outlays from new mandatory authority	3	4	4
86.98 Outlays from mandatory balances	24		
87.00 Total outlays (gross)	119	114	110
Net budget authority and outlays:			
89.00 Budget authority	139	101	59
90.00 Outlays	119	114	110

These special funds include: receipts from the disposal and lease of DoD real property which are applied to real property maintenance and environmental efforts at DoD installations; receipts used to operate the National Science Center; and, funds for the restoration of Kaho'olawe Island and Rocky Mountain Arsenal.

Object Classification (in millions of dollars)

Identification code 97-9922-0-2-051	2001 actual	2002 est.	2003 est.
25.4 Operation and maintenance of facilities	54	32	33
32.0 Land and structures	61	67	25
99.9 Total new obligations	115	99	58

OVERSEAS MILITARY FACILITY INVESTMENT RECOVERY

Unavailable Collections (in millions of dollars)

Identification code 97-5193-0-2-051	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 Overseas military facility, investment and recovery		1	1
Appropriations:			
05.00 Overseas military facility, investment and recovery		-1	-1
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 97-5193-0-2-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.4)	1	1	1
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	5	5	4
22.00 New budget authority (gross)		1	1
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	6	6	6
23.95 Total new obligations	-1	-1	-1
24.40 Unobligated balance carried forward, end of year	5	4	4
New budget authority (gross), detail:			
Discretionary:			
40.20 Appropriation (special fund)		1	1
Change in obligated balances:			
72.40 Obligated balance, start of year	24	18	18
73.10 Total new obligations	1	1	1
73.20 Total outlays (gross)	-6	-2	-2
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	18	18	17
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	6	2	2
Net budget authority and outlays:			
89.00 Budget authority		1	1
90.00 Outlays	6	2	2

DEFENSE EXPORT LOAN GUARANTEE FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 97-4168-0-3-051	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	4	4
24.40 Unobligated balance carried forward, end of year	4	4	4
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	1
74.40 Obligated balance, end of year	1	1	1
Net financing authority and financing disbursements:			
89.00 Financing authority			
90.00 Financing disbursements			

Status of Guaranteed Loans (in millions of dollars)

Identification code 97-4168-0-3-051	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders	14,980	14,980	14,980
2142 Uncommitted loan guarantee limitation	-14,980	-14,980	-14,980
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	12	8	4
2231 Disbursements of new guaranteed loans			
2251 Repayments and prepayments	-4	-4	-4

2290 Outstanding, end of year	8	4
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Memorandum:

2299 Guaranteed amount of guaranteed loans outstanding, end of year	7	3
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As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 97-4168-0-3-051	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	3	4	4	4
1999 Total assets	3	4	4	4
LIABILITIES:				
2204 Non-Federal liabilities: Liabilities for loan guarantees	3	4	4	
2999 Total liabilities	3	4	4	
NET POSITION:				
3300 Cumulative results of operations				4
3999 Total net position				4
4999 Total liabilities and net position	3	4	4	4

DEFENSE VESSEL TRANSFER PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 97-0842-0-1-051	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		4	4
22.00 New budget authority (gross)	4		
23.90 Total budgetary resources available for obligation	4	4	4
24.40 Unobligated balance carried forward, end of year	4	4	4
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation		4	
Net budget authority and outlays:			
89.00 Budget authority		4	
90.00 Outlays			

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

PROCUREMENT

Appropriations in this title support the acquisition of aircraft, ships, combat vehicles, satellites and their launch vehicles, weapons and all capital equipment. Major systems in production typically are budgeted annually to maintain production continuity through the life of the acquisition program and in several instances multi-year contracts are used to ensure stability of production and economies. Initial spares and support as well as the modification of existing equipment are also funded. Resources presented under the Procurement title contribute primarily to achieving the Department's an-

nual GPRA performance goals of assuring readiness and sustainability, transforming the force for new missions, and reforming processes and organizations. Performance targets in support of these goals contribute to the Department's efforts to mitigate force management and operational risk, future challenges risk, and institutional risk, as directed in the 2001 Quadrennial Defense Review.

Procurement in support of the ground forces encompasses wheeled and track vehicles, rotary wing aircraft, ammunition and equipment procured to meet inventory requirements dictated by the force size and anticipated attrition requirements. Similarly, procurement in support of naval forces includes ships, equipment for the ships, aircraft, munitions, the Marine Corps ground element, and other equipment to sustain future naval operations. The Air Force programs support the broad range of missions including aircraft, tactical missiles, ballistic missile weapons and associated surveillance and space assets keyed to the strategic deterrence mission, munitions and other mission support equipment.

The DoD estimate for 2003 funds the full Government share of the accruing cost of retirement for current Civil Service Retirement System employees and the full accruing cost of post-retirement health benefits for current civilian employees and the post-retirement health costs of Medicare eligible retirees (and their dependants/survivors) of the Uniformed Services. Additional authorizing legislation is required.

Federal Funds

General and special funds:

AIRCRAFT PROCUREMENT, ARMY

For construction, procurement, production, modification, and modernization of aircraft, equipment, including ordnance, ground handling equipment, spare parts, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, **[\$1,984,391,000] \$2,061,027,000**, to remain available for obligation until September 30, **[2004] 2005**, of which **\$225,675,000 shall be available for the Army National Guard and Army Reserve.** (10 U.S.C. 3013, 4532; Department of Defense Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 21-2031-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Aircraft	300	276	182
00.02 Modification of aircraft	1,080	1,475	1,637
00.03 Spares and repair parts	4	6	8
00.04 Support equipment and facilities	145	185	179
09.01 Reimbursable program	1	47	38
10.00 Total new obligations	1,530	1,989	2,044
Budgetary resources available for obligation:			
21.40 For completion of prior year budget plans	232	301	319
22.00 New budget authority (gross)	1,553	2,008	2,099
22.10 Resources available from recoveries of prior year obligations	46		
23.90 Total budgetary resources available for obligation	1,831	2,309	2,419
23.95 Total new obligations	-1,530	-1,989	-2,044
24.40 Available to finance subsequent year budget plans	301	319	375
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1,572	1,984	2,061
40.36 Unobligated balance rescinded	-7	-16	
40.72 Reduction pursuant to P.L. 107-117		-14	

40.73 Reduction pursuant to P.L. 106-259	-11		
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-3		
43.00 Appropriation (total discretionary)	1,550	1,955	2,061
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	1	53	38
68.10 Change in uncollected customer payments from Federal sources (unexpired)	1		
68.90 Spending authority from offsetting collections (total discretionary)	2	53	38
70.00 Total new budget authority (gross)	1,553	2,008	2,099
Change in obligated balances:			
72.40 Obligated balance, start of year	1,591	1,697	1,934
73.10 Total new obligations	1,530	1,989	2,044
73.20 Total outlays (gross)	-1,361	-1,752	-1,852
73.40 Adjustments in expired accounts (net)	-18		-4
73.45 Recoveries of prior year obligations	-46		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-1		
74.10 Change in uncollected customer payments from Federal sources (expired)	3		
74.40 Obligated balance, end of year	1,697	1,934	2,122
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	291	544	555
86.93 Outlays from discretionary balances	1,070	1,208	1,297
87.00 Total outlays (gross)	1,361	1,752	1,852

Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-3	-53	-38
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-1		
88.96 Portion of offsetting collections (cash) credited to expired accounts	2		
Net budget authority and outlays:			
89.00 Budget authority	1,550	1,955	2,061
90.00 Outlays	1,358	1,699	1,814

Budget Plan (in millions of dollars)

Identification code 21-2031-0-1-051	2001 actual	2002 est.	2003 est.
Direct:			
0701 Aircraft	243	270	180
0702 Modification of aircraft	1,162	1,488	1,692
0703 Spares and repair parts	5	7	8
0704 Support equipment and facilities	132	206	181
0791 Total direct	1,541	1,971	2,061
0801 Reimbursable	2	53	38
0893 Total budget plan	1,544	2,024	2,099

Object Classification (in millions of dollars)

Identification code 21-2031-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
21.0 Travel and transportation of persons	1	1	1
25.1 Advisory and assistance services	16	15	15
25.2 Other services	49	58	64
Other purchases of goods and services from Government accounts:			
25.3 Other purchases of goods and services from Government accounts	87	103	115
25.3 Purchases from revolving funds	27	19	23
25.4 Operation and maintenance of facilities	1		1
25.5 Research and development contracts	24	28	32
25.7 Operation and maintenance of equipment	125	164	179
26.0 Supplies and materials	39	47	51
31.0 Equipment	1,158	1,503	1,522
32.0 Land and structures	2	3	3
99.0 Direct obligations	1,529	1,941	2,006
99.0 Reimbursable obligations	1	48	38
99.9 Total new obligations	1,530	1,989	2,044

MISSILE PROCUREMENT, ARMY

For construction, procurement, production, modification, and modernization of missiles, equipment, including ordnance, ground handling equipment, spare parts, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, **[\$1,079,330,000]** **\$1,642,296,000**, to remain available for obligation until September 30, **[2004]** **2005**, of which **\$168,580,000** shall be available for the Army National Guard and Army Reserve. (10 U.S.C. 2353, 3013; Department of Defense Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 21–2032–0–1–051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.02 Other missiles	1,074	906	1,292
00.03 Modification of missiles	145	171	224
00.04 Spares and repair parts	34	16	55
00.05 Support equipment and facilities	9	8	12
09.01 Reimbursable program	35	312	123
10.00 Total new obligations	1,297	1,413	1,706
Budgetary resources available for obligation:			
21.40 Available to finance new budget plans	168	206	189
22.00 New budget authority (gross)	1,332	1,396	1,750
22.10 Resources available from recoveries of prior year obligations	23		
22.21 Unobligated balance transferred to other accounts	–20		
23.90 Total budgetary resources available for obligation	1,503	1,602	1,939
23.95 Total new obligations	–1,297	–1,413	–1,706
23.98 Unobligated balance expiring or withdrawn	–1		
24.40 For completion of prior year budget plans	206	189	233
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1,321	1,079	1,642
40.36 Unobligated balance rescinded	–6		
40.72 Reduction pursuant to P.L. 107–117		–8	
40.73 Reduction pursuant to P.L. 106–259	–9		
40.77 Reduction pursuant to P.L. 106–554 (0.22 percent)	–3		
43.00 Appropriation (total discretionary)	1,303	1,071	1,642
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	40	325	108
68.10 Change in uncollected customer payments from Federal sources (unexpired)	–11		
68.90 Spending authority from offsetting collections (total discretionary)	29	325	108
70.00 Total new budget authority (gross)	1,332	1,396	1,750
Change in obligated balances:			
72.40 Obligated balance, start of year	2,046	2,035	1,841
73.10 Total new obligations	1,297	1,413	1,706
73.20 Total outlays (gross)	–1,300	–1,608	–1,367
73.40 Adjustments in expired accounts (net)	–11		
73.45 Recoveries of prior year obligations	–23		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	11		
74.10 Change in uncollected customer payments from Federal sources (expired)	16		
74.40 Obligated balance, end of year	2,035	1,841	2,181
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	144	413	243
86.93 Outlays from discretionary balances	1,156	1,195	1,123
87.00 Total outlays (gross)	1,300	1,608	1,367
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	–46	–197	–103

88.40 Non-Federal sources	–6	–128	–5
88.90 Total, offsetting collections (cash)	–52	–325	–108
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	11		
88.96 Portion of offsetting collections (cash) credited to expired accounts	12		
Net budget authority and outlays:			
89.00 Budget authority	1,303	1,071	1,642
90.00 Outlays	1,248	1,283	1,259

Budget Plan (in millions of dollars)

Identification code 21–2032–0–1–051	2001 actual	2002 est.	2003 est.
Direct:			
0702 Modification of missiles	1,144	930	1,329
0703 Spares and repair parts	136	117	244
0704 Support equipment and facilities	21	15	56
0705 Program activities	8	10	13
0791 Total direct	1,309	1,072	1,642
0801 Reimbursable	42	325	108
0893 Total budget plan	1,350	1,396	1,751

Object Classification (in millions of dollars)

Identification code 21–2032–0–1–051	2001 actual	2002 est.	2003 est.
Direct obligations:			
25.1 Advisory and assistance services	13	6	5
25.2 Other services	8	1	1
Other purchases of goods and services from Government accounts:			
25.3 Other purchases of goods and services from Government accounts	100		90
25.3 Purchases from revolving funds	2	60	60
25.4 Operation and maintenance of facilities	2		
25.7 Operation and maintenance of equipment	3		
26.0 Supplies and materials	40	36	58
31.0 Equipment	1,093	998	1,368
99.0 Direct obligations	1,261	1,101	1,582
99.0 Reimbursable obligations	35	312	124
99.5 Below reporting threshold	1		
99.9 Total new obligations	1,297	1,413	1,706

PROCUREMENT OF WEAPONS AND TRACKED COMBAT VEHICLES, ARMY

For construction, procurement, production, and modification of weapons and tracked combat vehicles, equipment, including ordnance, spare parts, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, **[\$2,193,746,000]** **\$2,248,558,000**, to remain available for obligation until September 30, **[2004]** **2005**, of which **\$40,849,000** shall be available for the Army National Guard and Army Reserve. (10 U.S.C. 2353, 3013; Department of Defense Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 21–2033–0–1–051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Tracked combat vehicles	2,380	2,215	2,059
00.02 Weapons and other combat vehicles	117	59	103
00.03 Spare and repair parts	31	29	31
09.01 Reimbursable program	8	116	67
10.00 Total new obligations	2,536	2,419	2,259

General and special funds—Continued

**PROCUREMENT OF WEAPONS AND TRACKED COMBAT VEHICLES,
ARMY—Continued**

Program and Financing (in millions of dollars)—Continued

Identification code 21-2033-0-1-051	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	616	554	459
22.00 New budget authority (gross)	2,468	2,325	2,303
22.10 Resources available from recoveries of prior year obligations	13		
22.21 Unobligated balance transferred to other accounts	-4		
23.90 Total budgetary resources available for obligation	3,093	2,879	2,762
23.95 Total new obligations	-2,536	-2,419	-2,259
23.98 Unobligated balance expiring or withdrawn	-3		
24.40 For completion of prior year budget plans	554	459	503
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	2,473	2,194	2,249
40.36 Unobligated balance rescinded	-30		
40.72 Reduction pursuant to P.L. 107-117		-15	
40.73 Reduction pursuant to P.L. 106-259	-17		
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-5		
43.00 Appropriation (total discretionary)	2,420	2,178	2,249
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	49	147	54
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-1		
68.90 Spending authority from offsetting collections (total discretionary)	48	147	54
70.00 Total new budget authority (gross)	2,468	2,325	2,303
Change in obligated balances:			
72.40 Obligated balance, start of year	1,519	2,460	2,569
73.10 Total new obligations	2,536	2,419	2,259
73.20 Total outlays (gross)	-1,565	-2,310	-2,339
73.40 Adjustments in expired accounts (net)	-20		
73.45 Recoveries of prior year obligations	-13		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	1		
74.10 Change in uncollected customer payments from Federal sources (expired)	1		
74.40 Obligated balance, end of year	2,460	2,569	2,489
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	365	452	369
86.93 Outlays from discretionary balances	1,199	1,859	1,970
87.00 Total outlays (gross)	1,565	2,310	2,339
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-50	-127	-42
88.40 Non-Federal sources		-20	-12
88.90 Total, offsetting collections (cash)	-50	-147	-54
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	1		
88.96 Portion of offsetting collections (cash) credited to expired accounts	1		
Net budget authority and outlays:			
89.00 Budget authority	2,420	2,178	2,249
90.00 Outlays	1,515	2,164	2,285

Budget Plan (in millions of dollars)

Identification code 21-2033-0-1-051	2001 actual	2002 est.	2003 est.
Direct:			
0701 Tracked combat vehicles	2,320	2,065	2,120
0702 Weapons and other combat vehicles	104	77	103
0703 Spare and repair parts	26	37	25
0791 Total direct	2,450	2,178	2,249
0801 Reimbursable	10	147	54

0893 Total budget plan	2,460	2,325	2,303
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Object Classification (in millions of dollars)

Identification code 21-2033-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
21.0 Travel and transportation of persons	9		
22.0 Transportation of things	3	3	3
25.1 Advisory and assistance services	35	14	12
25.2 Other services	16	49	46
Other purchases of goods and services from Government accounts:			
25.3 Other purchases of goods and services from Government accounts	162	265	300
25.3 Purchases from revolving funds	54	79	59
25.7 Operation and maintenance of equipment	1		
26.0 Supplies and materials	62	86	87
31.0 Equipment	2,185	1,807	1,685
99.0 Direct obligations	2,527	2,303	2,192
99.0 Reimbursable obligations	8	116	67
99.5 Below reporting threshold	1		
99.9 Total new obligations	2,536	2,419	2,259

PROCUREMENT OF AMMUNITION, ARMY

For construction, procurement, production, and modification of ammunition, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including ammunition facilities authorized by section 2854 of title 10, United States Code, and the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, **[\$1,200,465,000] \$1,159,426,000**, to remain available for obligation until September 30, **[2004] 2005**, of which **\$124,716,000** shall be available for the Army National Guard and Army Reserve. (10 U.S.C. 2353, 3013; Department of Defense Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 21-2034-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Ammunition	967	1,105	1,065
00.02 Ammunition production base support	196	131	139
09.01 Reimbursable program	459	489	489
10.00 Total new obligations	1,622	1,726	1,693
Budgetary resources available for obligation:			
21.40 For completion of prior year budget plans	391	440	339
22.00 New budget authority (gross)	1,640	1,625	1,683
22.10 Resources available from recoveries of prior year obligations	34		
23.90 Total budgetary resources available for obligation	2,065	2,065	2,022
23.95 Total new obligations	-1,622	-1,726	-1,693
23.98 Unobligated balance expiring or withdrawn	-3		
24.40 Unobligated balance carried forward, end of year	440	339	329
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1,221	1,200	1,159
40.36 Unobligated balance rescinded	-5	-27	
40.72 Reduction pursuant to P.L. 107-117		-8	
40.73 Reduction pursuant to P.L. 106-259	-9		
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-3		
41.00 Transferred to other accounts	-11		
43.00 Appropriation (total discretionary)	1,193	1,165	1,159
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	349	461	524
68.10 Change in uncollected customer payments from Federal sources (unexpired)	97		

68.90	Spending authority from offsetting collections (total discretionary)	446	460	524
70.00	Total new budget authority (gross)	1,640	1,625	1,683
Change in obligated balances:				
72.40	Obligated balance, start of year	1,186	1,111	1,217
73.10	Total new obligations	1,622	1,726	1,693
73.20	Total outlays (gross)	-1,551	-1,620	-1,661
73.40	Adjustments in expired accounts (net)	-16		
73.45	Recoveries of prior year obligations	-34		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-97		
74.10	Change in uncollected customer payments from Federal sources (expired)	1		
74.40	Obligated balance, end of year	1,111	1,217	1,249
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	664	693	756
86.93	Outlays from discretionary balances	887	927	905
87.00	Total outlays (gross)	1,551	1,620	1,661
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-349	-445	-510
88.40	Non-Federal sources	-1	-16	-14
88.90	Total, offsetting collections (cash)	-350	-461	-524
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-97		
88.96	Portion of offsetting collections (cash) credited to expired accounts	1		
Net budget authority and outlays:				
89.00	Budget authority	1,193	1,165	1,159
90.00	Outlays	1,201	1,160	1,137

Budget Plan (in millions of dollars)

Identification code 21-2034-0-1-051	2001 actual	2002 est.	2003 est.	
Direct:				
0701	Ammunition	1,004	1,022	1,046
0702	Ammunition production base support	167	170	113
0791	Total direct	1,171	1,192	1,159
0801	Reimbursable	478	460	524
0893	Total budget plan	1,649	1,652	1,683

Object Classification (in millions of dollars)

Identification code 21-2034-0-1-051	2001 actual	2002 est.	2003 est.	
Direct obligations:				
22.0	Transportation of things	13	6	6
25.1	Advisory and assistance services	7		
25.2	Other services	51	33	30
Other purchases of goods and services from Government accounts:				
25.3	Other purchases of goods and services from Government accounts	125	63	59
25.3	Purchases from revolving funds	51	71	56
25.4	Operation and maintenance of facilities	115	67	64
26.0	Supplies and materials	792	995	988
31.0	Equipment	9	2	2
99.0	Direct obligations	1,163	1,237	1,205
99.0	Reimbursable obligations	459	489	488
99.9	Total new obligations	1,622	1,726	1,693

OTHER PROCUREMENT, ARMY

For construction, procurement, production, and modification of vehicles, including tactical, support, and non-tracked combat vehicles; the purchase of not to exceed [29] 40 passenger motor vehicles for replacement only; and the purchase of [3] 6 vehicles required for physical security of personnel, notwithstanding price limitations applicable to passenger vehicles but not to exceed [\$200,000] \$180,000

per vehicle; communications and electronic equipment; other support equipment; spare parts, ordnance, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, [\$4,183,736,000] \$5,168,453,000, to remain available for obligation until September 30, [2004] 2005, of which \$1,129,578,000 shall be available for the Army National Guard and Army Reserve. (10 U.S.C. 2353, 3013, 4532; Department of Defense Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 21-2035-0-1-051	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Direct program:				
00.01	Tactical and support vehicles	1,044	883	1,493
00.02	Communications and electronics equipment	2,350	2,425	2,054
00.03	Other support equipment	1,112	817	1,428
00.04	Spare and repair parts	43	39	50
09.01	Reimbursable program	20	66	61
10.00	Total new obligations	4,569	4,229	5,086
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	553	599	556
22.00	New budget authority (gross)	4,438	4,185	5,232
22.10	Resources available from recoveries of prior year obligations	193		
22.21	Unobligated balance transferred to other accounts	-12		
22.22	Unobligated balance transferred from other accounts	1		
23.90	Total budgetary resources available for obligation	5,173	4,784	5,788
23.95	Total new obligations	-4,569	-4,229	-5,086
23.98	Unobligated balance expiring or withdrawn	-5		
24.40	For completion of prior year budget plans	599	556	702

New budget authority (gross), detail:

Discretionary:				
40.00	Appropriation	4,504	4,184	5,168
40.36	Unobligated balance rescinded	-48	-29	
40.72	Reduction pursuant to P.L. 107-117		-29	
40.73	Reduction pursuant to P.L. 106-259	-31		
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)	-10		
41.00	Transferred to other accounts	-22		
42.00	Transferred from other accounts	21		
43.00	Appropriation (total discretionary)	4,413	4,126	5,168
Spending authority from offsetting collections:				
68.00	Offsetting collections (cash)	15	59	64
68.10	Change in uncollected customer payments from Federal sources (unexpired)	10		
68.90	Spending authority from offsetting collections (total discretionary)	25	59	64
70.00	Total new budget authority (gross)	4,438	4,185	5,232

Change in obligated balances:

72.40	Obligated balance, start of year	3,691	3,999	4,137
73.10	Total new obligations	4,569	4,229	5,086
73.20	Total outlays (gross)	-4,041	-4,091	-4,455
73.40	Adjustments in expired accounts (net)	-28		
73.45	Recoveries of prior year obligations	-193		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-10		
74.10	Change in uncollected customer payments from Federal sources (expired)	11		
74.40	Obligated balance, end of year	3,999	4,137	4,768

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	1,335	1,128	1,403
86.93	Outlays from discretionary balances	2,707	2,963	3,052
87.00	Total outlays (gross)	4,041	4,091	4,455

Offsets:

Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-21	-51	-56

General and special funds—Continued

OTHER PROCUREMENT, ARMY—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 21–2035–0–1–051	2001 actual	2002 est.	2003 est.
88.40 Non-Federal sources	– 1	– 8	– 8
88.90 Total, offsetting collections (cash)	– 22	– 59	– 64
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	– 10		
88.96 Portion of offsetting collections (cash) credited to expired accounts	7		
Net budget authority and outlays:			
89.00 Budget authority	4,413	4,126	5,168
90.00 Outlays	4,020	4,032	4,391

Budget Plan (in millions of dollars)

Identification code 21–2035–0–1–051	2001 actual	2002 est.	2003 est.
Direct:			
0701 Tactical and support vehicles	1,061	1,014	1,484
0702 Communications and electronics equipment	2,239	2,054	2,289
0703 Other support equipment	1,098	1,045	1,351
0704 Spare and repair parts	35	42	44
0791 Total direct	4,434	4,155	5,168
0801 Reimbursable	26	59	64
0893 Total budget plan	4,460	4,214	5,232

Object Classification (in millions of dollars)

Identification code 21–2035–0–1–051	2001 actual	2002 est.	2003 est.
Direct obligations:			
21.0 Travel and transportation of persons	9	1	1
22.0 Transportation of things	24	13	16
23.3 Communications, utilities, and miscellaneous charges	5		
25.1 Advisory and assistance services	181	68	70
25.2 Other services	163	3	4
Other purchases of goods and services from Government accounts:			
25.3 Other purchases of goods and services from Government accounts	814	417	546
25.3 Purchases from revolving funds	9	115	118
26.0 Supplies and materials	84	80	101
31.0 Equipment	3,260	3,467	4,169
99.0 Direct obligations	4,549	4,164	5,025
99.0 Reimbursable obligations	20	65	61
99.9 Total new obligations	4,569	4,229	5,086

AIRCRAFT PROCUREMENT, NAVY

For construction, procurement, production, modification, and modernization of aircraft, equipment, including ordnance, spare parts, and accessories therefor; specialized equipment; expansion of public and private plants, including the land necessary therefor, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway, **[\$7,938,143,000]** \$8,203,955,000, to remain available for obligation until September 30, **[2004]** 2005, of which \$19,644,000 shall be available for the Navy Reserve and Marine Corps Reserve. (10 U.S.C. 5013, 5063, 7201, 7341; Department of Defense Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 17–1506–0–1–051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Combat aircraft	4,249	4,617	4,895

00.02 Airlift aircraft	433	53	4
00.03 Trainer aircraft	381	181	212
00.04 Other aircraft	228	274	18
00.05 Modification of aircraft	1,192	1,249	1,283
00.06 Aircraft spares and repair parts	806	1,361	1,238
00.07 Aircraft support equipment and facilities	401	467	507
09.01 Reimbursable program		7	7
10.00 Total new obligations	7,689	8,208	8,166

Budgetary resources available for obligation:

21.40 For completion of prior year budget plans	1,605	1,913	1,584
22.00 New budget authority (gross)	8,017	7,880	8,211
22.10 Resources available from recoveries of prior year obligations	7		
22.21 Unobligated balance transferred to other accounts	– 15		
23.90 Total budgetary resources available for obligation	9,613	9,793	9,796
23.95 Total new obligations	– 7,689	– 8,208	– 8,166
23.98 Unobligated balance expiring or withdrawn	– 12		
24.40 Unobligated balance carried forward, end of year	1,913	1,584	1,629

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	8,477	7,938	8,204
40.35 Appropriation rescinded	– 199		
40.36 Unobligated balance rescinded	– 31	– 9	
40.72 Reduction pursuant to P.L. 107–117		– 65	
40.73 Reduction pursuant to P.L. 106–259		– 59	
40.77 Reduction pursuant to P.L. 106–554 (0.22 percent)		– 18	
41.00 Transferred to other accounts	– 156		
42.00 Transferred from other accounts	2	9	
43.00 Appropriation (total discretionary)	8,015	7,873	8,204
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	– 4	7	7
68.10 Change in uncollected customer payments from Federal sources (unexpired)	6		
68.90 Spending authority from offsetting collections (total discretionary)	1	7	7
70.00 Total new budget authority (gross)	8,017	7,880	8,211

Change in obligated balances:

72.40 Obligated balance, start of year	10,811	10,022	10,415
73.10 Total new obligations	7,689	8,208	8,166
73.20 Total outlays (gross)	– 8,404	– 7,815	– 8,022
73.40 Adjustments in expired accounts (net)	– 64		
73.45 Recoveries of prior year obligations	– 7		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	– 6		
74.10 Change in uncollected customer payments from Federal sources (expired)	3		
74.40 Obligated balance, end of year	10,022	10,415	10,560

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	1,491	1,267	1,320
86.93 Outlays from discretionary balances	6,912	6,549	6,702
87.00 Total outlays (gross)	8,404	7,815	8,022

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	1	– 7	– 7
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	– 6		
88.96 Portion of offsetting collections (cash) credited to expired accounts	3		

Net budget authority and outlays:

89.00 Budget authority	8,015	7,873	8,204
90.00 Outlays	8,405	7,808	8,014

Budget Plan (in millions of dollars)

Identification code 17–1506–0–1–051	2001 actual	2002 est.	2003 est.
Direct:			
0701 Combat aircraft	4,435	4,468	5,055
0702 Airlift aircraft	396	7	
0703 Trainer aircraft	383	214	221
0704 Other aircraft	227	155	
0705 Modification of aircraft	1,280	1,250	1,249
0706 Aircraft spares and repair parts	931	1,299	1,117

0707	Aircraft support equipment and facilities	385	488	562
0791	Total direct	8,037	7,881	8,204
0801	Reimbursable		7	7
0893	Total budget plan	8,037	7,889	8,211

Object Classification (in millions of dollars)

Identification code 17-1506-0-1-051	2001 actual	2002 est.	2003 est.	
Direct obligations:				
25.1	Advisory and assistance services	114	107	102
25.3	Purchases from other Govt acct—revolving funds	376	403	335
26.0	Supplies and materials	5	2	
31.0	Equipment	7,194	7,689	7,722
99.0	Direct obligations	7,689	8,201	8,159
99.0	Reimbursable obligations		7	7
99.9	Total new obligations	7,689	8,208	8,166

WEAPONS PROCUREMENT, NAVY

For construction, procurement, production, modification, and modernization of missiles, torpedoes, other weapons, and related support equipment including spare parts, and accessories therefor; expansion of public and private plants, including the land necessary therefor, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway, **[\$1,429,592,000]** *\$1,832,617,000*, to remain available for obligation until September 30, **[2004]** *2005*. (10 U.S.C. 5013, 5062; Department of Defense Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 17-1507-0-1-051	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Direct program:				
00.01	Ballistic missiles	464	507	455
00.02	Other missiles	764	660	1,033
00.03	Torpedoes and related equipment	108	114	150
00.04	Other weapons	69	56	48
00.06	Spares and repair parts	50	52	56
09.01	Reimbursable program	1	10	10
10.00	Total new obligations	1,456	1,399	1,752

Budgetary resources available for obligation:

21.40	For completion of prior year budget plans	304	278	279
22.00	New budget authority (gross)	1,439	1,401	1,843
22.10	Resources available from recoveries of prior year obligations	1		
23.90	Total budgetary resources available for obligation	1,743	1,679	2,122
23.95	Total new obligations	-1,456	-1,399	-1,752
23.98	Unobligated balance expiring or withdrawn	-10		
24.40	Unobligated balance carried forward, end of year	278	279	370

New budget authority (gross), detail:

Discretionary:				
40.00	Appropriation	1,462	1,430	1,833
40.36	Unobligated balance rescinded	-4	-20	
40.72	Reduction pursuant to P.L. 107-117		-12	
40.73	Reduction pursuant to P.L. 106-259	-10		
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)	-3		
41.00	Transferred to other accounts	-6	-7	
43.00	Appropriation (total discretionary)	1,438	1,391	1,833
Spending authority from offsetting collections:				
68.00	Offsetting collections (cash)	-2	10	10
68.10	Change in uncollected customer payments from Federal sources (unexpired)	2		
68.90	Spending authority from offsetting collections (total discretionary)	1	10	10
70.00	Total new budget authority (gross)	1,439	1,401	1,843

Change in obligated balances:

72.40	Obligated balance, start of year	2,141	2,021	2,025
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73.10	Total new obligations	1,456	1,399	1,752
73.20	Total outlays (gross)	-1,551	-1,395	-1,477
73.40	Adjustments in expired accounts (net)	-27		
73.45	Recoveries of prior year obligations	-1		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-2		
74.10	Change in uncollected customer payments from Federal sources (expired)	6		
74.40	Obligated balance, end of year	2,021	2,025	2,301

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	360	295	386
86.93	Outlays from discretionary balances	1,189	1,100	1,091
87.00	Total outlays (gross)	1,551	1,395	1,477

Offsets:

Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	4	-10	-10
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-2		
88.96	Portion of offsetting collections (cash) credited to expired accounts	-2		

Net budget authority and outlays:

89.00	Budget authority	1,438	1,391	1,833
90.00	Outlays	1,555	1,385	1,467

Budget Plan (in millions of dollars)

Identification code 17-1507-0-1-051	2001 actual	2002 est.	2003 est.	
Direct:				
0701	Ballistic missiles	438	532	587
0702	Other missiles	767	639	985
0703	Torpedoes and related equipment	99	119	160
0704	Other weapons	66	72	45
0706	Spares and repair parts	52	48	56
0791	Total direct	1,422	1,411	1,833
0801	Reimbursable		10	10
0893	Total budget plan	1,422	1,421	1,843

Object Classification (in millions of dollars)

Identification code 17-1507-0-1-051	2001 actual	2002 est.	2003 est.	
Direct obligations:				
25.1	Advisory and assistance services	16	15	15
25.2	Other services	14	9	12
Other purchases of goods and services from Government accounts:				
25.3	Other purchases of goods and services from Government accounts	1	11	57
25.3	Purchases from revolving funds	263	155	172
26.0	Supplies and materials	395	423	529
31.0	Equipment	766	776	957
99.0	Direct obligations	1,455	1,389	1,742
99.0	Reimbursable obligations	1	10	10
99.9	Total new obligations	1,456	1,399	1,752

PROCUREMENT OF AMMUNITION, NAVY AND MARINE CORPS

For construction, procurement, production, and modification of ammunition, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including ammunition facilities authorized by section 2854 of title 10, United States Code, and the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, **[\$461,399,000]** *\$1,015,152,000*, to remain available for obligation until September 30, **[2004]** *2005*, of which *\$18,162,000* shall be for the Navy Reserve and Marine Corps Reserve. (Department of Defense Appropriations Act, 2002.)

General and special funds—Continued

**PROCUREMENT OF AMMUNITION, NAVY AND MARINE CORPS—
Continued**

Program and Financing (in millions of dollars)

Identification code 17-1508-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Ammunition, Navy	427	303	522
00.02 Ammunition, Marine Corps	202	161	391
09.01 Reimbursable program	12	25	25
10.00 Total new obligations	642	489	938
Budgetary resources available for obligation:			
21.40 For completion of prior year budget plans	144	80	73
22.00 New budget authority (gross)	504	482	1,040
22.10 Resources available from recoveries of prior year obligations	30		
22.22 Unobligated balance transferred from other accounts	45		
23.90 Total budgetary resources available for obligation	724	562	1,113
23.95 Total new obligations	-642	-489	-938
23.98 Unobligated balance expiring or withdrawn	-2		
24.40 For completion of prior year budget plans	80	73	175
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	498	461	1,015
40.36 Unobligated balance rescinded	-1		
40.72 Reduction pursuant to P.L. 107-117		-4	
40.73 Reduction pursuant to P.L. 106-259	-3		
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
42.00 Transferred from other accounts	4		
43.00 Appropriation (total discretionary)	496	457	1,015
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	14	25	25
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-6		
68.90 Spending authority from offsetting collections (total discretionary)	8	25	25
70.00 Total new budget authority (gross)	504	482	1,040
Change in obligated balances:			
72.40 Obligated balance, start of year	692	836	753
73.10 Total new obligations	642	489	938
73.20 Total outlays (gross)	-475	-572	-588
73.45 Recoveries of prior year obligations	-30		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	6		
74.40 Obligated balance, end of year	836	753	1,103
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	73	87	163
86.93 Outlays from discretionary balances	402	484	425
87.00 Total outlays (gross)	475	572	588
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources Against gross budget authority only:	-14	-25	-25
88.95 Change in uncollected customer payments from Federal sources (unexpired)	6		
Net budget authority and outlays:			
89.00 Budget authority	496	457	1,015
90.00 Outlays	461	547	563

Budget Plan (in millions of dollars)

Identification code 17-1508-0-1-051	2001 actual	2002 est.	2003 est.
Direct:			
0701 Ammunition, Navy	374	311	739
0702 Ammunition, Marine Corps	168	147	276
0791 Subtotal	543	457	1,015
0801 Reimbursable program		25	25
0893 Total budget plan	543	482	1,040

Object Classification (in millions of dollars)

Identification code 17-1508-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
25.1 Advisory and assistance services	7	5	4
25.3 Other purchases of goods and services from Government accounts	195	191	195
25.7 Operation and maintenance of equipment	33	22	23
31.0 Equipment	395	246	691
99.0 Direct obligations	630	464	913
99.0 Reimbursable obligations	12	25	25
99.9 Total new obligations	642	489	938

SHIPBUILDING AND CONVERSION, NAVY

For expenses necessary for the construction, acquisition, or conversion of vessels as authorized by law, including armor and armament thereof, plant equipment, appliances, and machine tools and installation thereof in public and private plants; reserve plant and Government and contractor-owned equipment layaway; procurement of critical, long leadtime components and designs for vessels to be constructed or converted in the future; and expansion of public and private plants, including land necessary therefor, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title, [as follows:

- Carrier Replacement Program (AP), \$138,890,000;
- SSGN (AP), \$365,440,000;
- NSSN, \$1,578,914,000;
- NSSN (AP), \$684,288,000;
- CVN Refuelings, \$1,148,124,000;
- CVN Refuelings (AP), \$73,707,000;
- Submarine Refuelings, \$382,265,000;
- Submarine Refuelings (AP), \$77,750,000;
- DDG-51 destroyer program, \$2,966,036,000;
- DDG-51 (AP), \$125,000,000;
- Cruiser conversion (AP), \$75,000,000;
- LPD-17 (AP), \$155,000,000;
- T-AKE, \$370,818,000;
- LHD-8, \$267,238,000;
- LCAC landing craft air cushion program, \$46,091,000;
- Prior year shipbuilding costs, \$729,248,000;
- Mine Hunter SWATH, \$1,000,000;
- Yard Oilers, \$3,000,000; and

For craft, outfitting, post delivery, conversions, and first destination transformation transportation, \$302,230,000;

In all: \$9,490,039,000] \$8,191,194,000, to remain available for obligation until September 30, [2006] 2007: *Provided*, That additional obligations may be incurred after September 30, [2006] 2007, for engineering services, tests, evaluations, and other such budgeted work that must be performed in the final stage of ship construction: *Provided further*, That none of the funds provided under this heading for the construction or conversion of any naval vessel to be constructed in shipyards in the United States shall be expended in foreign facilities for the construction of major components of such vessel: *Provided further*, That none of the funds provided under this heading shall be used for the construction of any naval vessel in foreign shipyards. (10 U.S.C. 5013, 5062; Department of Defense Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 17-1611-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct Program:			
00.01 FBM ships		1	
00.02 Other warships	9,829	7,135	7,102
00.03 Amphibious ships	985	789	832
00.04 Mine warfare and patrol ships	1		
00.05 Auxiliaries, craft, and prior-year program costs	298	1,090	885
10.00 Total new obligations	11,113	9,016	8,819
Budgetary resources available for obligation:			
21.40 For completion of prior year budget plans	6,695	7,406	7,890
22.00 New budget authority (gross)	11,721	9,500	8,191
22.10 Resources available from recoveries of prior year obligations	121		

22.21	Unobligated balance transferred to other accounts	-188		
22.22	Unobligated balance transferred from other accounts	225		
23.90	Total budgetary resources available for obligation	18,574	16,906	16,081
23.95	Total new obligations	-11,113	-9,016	-8,819
23.98	Unobligated balance expiring or withdrawn	-55		
24.40	Unobligated balance carried forward, end of year	7,406	7,890	7,262

New budget authority (gross), detail:

Discretionary:				
40.00	Appropriation	11,912	9,490	8,191
40.35	Appropriation rescinded	-75		
40.36	Unobligated balance rescinded	-20		
40.72	Reduction pursuant to P.L. 107-117		-66	
40.73	Reduction pursuant to P.L. 106-259	-81		
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)	-25		
42.00	Transferred from other accounts	10	76	
43.00	Appropriation (total discretionary)	11,721	9,500	8,191
Spending authority from offsetting collections:				
68.00	Offsetting collections (cash)	173		
68.10	Change in uncollected customer payments from Federal sources (unexpired)	-173		
68.90	Spending authority from offsetting collections (total discretionary)			
70.00	Total new budget authority (gross)	11,721	9,500	8,191

Change in obligated balances:

72.40	Obligated balance, start of year	13,886	17,558	18,891
73.10	Total new obligations	11,113	9,016	8,819
73.20	Total outlays (gross)	-7,288	-7,683	-8,318
73.40	Adjustments in expired accounts (net)	-205		
73.45	Recoveries of prior year obligations	-121		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	173		
74.40	Obligated balance, end of year	17,558	18,891	19,392

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	748	715	610
86.93	Outlays from discretionary balances	6,540	6,967	7,708
87.00	Total outlays (gross)	7,288	7,683	8,318

Offsets:

Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-173		
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	173		

Net budget authority and outlays:

89.00	Budget authority	11,721	9,500	8,191
90.00	Outlays	7,115	7,683	8,318

Budget Plan (in millions of dollars)

Identification code 17-1611-0-1-051	2001 actual	2002 est.	2003 est.
0702 Other warships	10,266	7,651	6,314
0703 Amphibious ships	1,049	412	857
0705 Auxiliaries, craft, and prior-year program costs	650	1,437	1,020
0893 Total budget plan	11,965	9,500	8,191

Object Classification (in millions of dollars)

Identification code 17-1611-0-1-051	2001 actual	2002 est.	2003 est.
25.1 Advisory and assistance services	103	70	62
25.2 Other services	1,199	599	673
Other purchases of goods and services from Government accounts:			
25.3 Other purchases of goods and services from Government accounts	41	45	46
25.3 Purchases from revolving funds	787	731	813
26.0 Supplies and materials	53	55	43
31.0 Equipment	8,930	7,516	7,182
99.9 Total new obligations	11,113	9,016	8,819

OTHER PROCUREMENT, NAVY

For procurement, production, and modernization of support equipment and materials not otherwise provided for, Navy ordnance (except ordnance for new aircraft, new ships, and ships authorized for conversion); the purchase of not to exceed [152] 141 passenger motor vehicles for replacement only, and the purchase of [five] 3 vehicles required for physical security of personnel, notwithstanding price limitations applicable to passenger vehicles but not to exceed [\$200,000] \$240,000 per unit for [two units] one unit and not to exceed [\$115,000] \$125,000 per unit for the remaining [three] two units; expansion of public and private plants, including the land necessary therefor, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway, [\$4,270,976,000] \$4,347,024,000, to remain available for obligation until September 30, [2004] 2005, of which \$19,869,000 shall be for the Naval Reserve. (10 U.S.C. 5013, 5063; Department of Defense Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 17-1810-0-1-051	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Direct program:				
00.01	Ships support equipment	668	691	1,010
00.02	Communications and electronics equipment	1,594	1,640	1,785
00.03	Aviation support equipment	251	209	200
00.04	Ordinance support equipment	485	548	582
00.05	Civil engineering support equipment	72	78	137
00.06	Supply support equipment	137	455	200
00.07	Personnel and command support equipment	105	214	195
00.08	Spares and repair parts	201	227	178
09.01	Reimbursable program	129	45	42
10.00	Total new obligations	3,642	4,106	4,329
Budgetary resources available for obligation:				
21.40	For completion of prior year budget plans	559	480	549
22.00	New budget authority (gross)	3,581	4,175	4,389
22.10	Resources available from recoveries of prior year obligations	18		
22.21	Unobligated balance transferred to other accounts	-12		
23.90	Total budgetary resources available for obligation	4,146	4,655	4,938
23.95	Total new obligations	-3,642	-4,106	-4,329
23.98	Unobligated balance expiring or withdrawn	-25		
24.40	Unobligated balance carried forward, end of year	480	549	609

New budget authority (gross), detail:

Discretionary:				
40.00	Appropriation	3,557	4,271	4,347
40.36	Unobligated balance rescinded	-12	-23	
40.72	Reduction pursuant to P.L. 107-117		-37	
40.73	Reduction pursuant to P.L. 106-259	-25		
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)	-8		
41.00	Transferred to other accounts	-85	-85	
42.00	Transferred from other accounts	18	7	
43.00	Appropriation (total discretionary)	3,446	4,133	4,347
Spending authority from offsetting collections:				
68.00	Offsetting collections (cash)	62	42	42
68.10	Change in uncollected customer payments from Federal sources (unexpired)	74		
68.90	Spending authority from offsetting collections (total discretionary)	136	42	42
70.00	Total new budget authority (gross)	3,581	4,175	4,389

Change in obligated balances:

72.40	Obligated balance, start of year	3,832	3,322	3,547
73.10	Total new obligations	3,642	4,106	4,329
73.20	Total outlays (gross)	-4,041	-3,881	-4,080
73.40	Adjustments in expired accounts (net)	-43		
73.45	Recoveries of prior year obligations	-18		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-74		
74.10	Change in uncollected customer payments from Federal sources (expired)	26		
74.40	Obligated balance, end of year	3,322	3,547	3,796

General and special funds—Continued

OTHER PROCUREMENT, NAVY—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 17-1810-0-1-051	2001 actual	2002 est.	2003 est.
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1,526	1,530	1,612
86.93 Outlays from discretionary balances	2,515	2,351	2,468
87.00 Total outlays (gross)	4,041	3,881	4,080
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	69	-42	-42
88.40 Non-Federal sources	-134		
88.90 Total, offsetting collections (cash)	-65	-42	-42
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-74		
88.96 Portion of offsetting collections (cash) credited to expired accounts	3		
Net budget authority and outlays:			
89.00 Budget authority	3,446	4,133	4,347
90.00 Outlays	3,976	3,839	4,038

Budget Plan (in millions of dollars)

Identification code 17-1810-0-1-051	2001 actual	2002 est.	2003 est.
Direct:			
0701 Ship support equipment	627	766	1,142
0702 Communications and electronics equipment	1,551	1,505	1,747
0703 Aviation support equipment	257	243	202
0704 Ordnance support equipment	480	612	569
0705 Civil engineering support equipment	60	98	146
0706 Supply support equipment	148	459	167
0707 Personnel and command support equipment	120	235	202
0708 Spares and repair parts	207	238	173
0791 Total direct	3,450	4,156	4,347
0801 Reimbursable	38	42	42
0893 Total budget plan	3,487	4,198	4,389

Object Classification (in millions of dollars)

Identification code 17-1810-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
25.1 Advisory and assistance services	22	19	21
25.2 Other services	103	92	108
Other purchases of goods and services from Government accounts:			
25.3 Other purchases of goods and services from Government accounts	132	180	190
25.3 Purchases from revolving funds	843	1,040	955
26.0 Supplies and materials	30	18	17
31.0 Equipment	2,383	2,713	2,996
99.0 Direct obligations	3,513	4,062	4,287
99.0 Reimbursable obligations	129	44	42
99.9 Total new obligations	3,642	4,106	4,329

COASTAL DEFENSE AUGMENTATION

Program and Financing (in millions of dollars)

Identification code 17-0380-0-1-051	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		1	1
24.40 Unobligated balance carried forward, end of year	1	1	1
Change in obligated balances:			
72.40 Obligated balance, start of year	57	56	56
74.40 Obligated balance, end of year	56	56	56

Net budget authority and outlays:

89.00 Budget authority			
90.00 Outlays			

PROCUREMENT, MARINE CORPS

For expenses necessary for the procurement, manufacture, and modification of missiles, armament, military equipment, spare parts, and accessories therefor; plant equipment, appliances, and machine tools, and installation thereof in public and private plants; reserve plant and Government and contractor-owned equipment layaway; vehicles for the Marine Corps, including the purchase of not to exceed [25] 28 passenger motor vehicles for replacement only; and expansion of public and private plants, including land necessary therefor, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title, [\$995,442,000] \$1,288,383,000, to remain available for obligation until September 30, [2004] 2005, of which \$253,724,000 shall be available for the Marine Corps Reserve. (10 U.S.C. 5013; Department of Defense Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 17-1109-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.02 Weapons and combat vehicles	194	115	234
00.03 Guided missiles and equipment	43	38	65
00.04 Communications and electronics equipment	330	290	273
00.05 Support vehicles	476	398	468
00.06 Engineer and other equipment	109	136	201
00.07 Spares and repair parts	23	26	23
09.01 Reimbursable program		9	9
10.00 Total new obligations	1,176	1,012	1,273
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	309	320	301
22.00 New budget authority (gross)	1,185	994	1,298
22.10 Resources available from recoveries of prior year obligations	2		
23.90 Total budgetary resources available for obligation	1,496	1,313	1,599
23.95 Total new obligations	-1,176	-1,012	-1,273
24.40 Unobligated balance carried forward, end of year	320	301	326

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	1,233	995	1,288
40.35 Appropriation rescinded	-5		
40.36 Unobligated balance rescinded	-6	-1	
40.72 Reduction pursuant to P.L. 107-117		-8	
40.73 Reduction pursuant to P.L. 106-259	-8		
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-3		
41.00 Transferred to other DoD accounts	-26	-2	
43.00 Appropriation (total discretionary)	1,185	984	1,288
68.00 Spending authority from offsetting collections: Offsetting collections (cash)		9	9
70.00 Total new budget authority (gross)	1,185	994	1,298

Change in obligated balances:

72.40 Obligated balance, start of year	1,135	1,225	1,096
73.10 Total new obligations	1,176	1,012	1,273
73.20 Total outlays (gross)	-1,090	-1,141	-1,126
73.40 Adjustments in expired accounts (net)	6		
73.45 Recoveries of prior year obligations	-2		
74.40 Obligated balance, end of year	1,225	1,096	1,243

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	313	255	331
86.93 Outlays from discretionary balances	777	885	794
87.00 Total outlays (gross)	1,090	1,141	1,126

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources		-9	-9

Net budget authority and outlays:

89.00 Budget authority	1,185	984	1,288
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90.00	Outlays	1,090	1,131	1,116
Budget Plan (in millions of dollars)				
Identification code 17-1109-0-1-051		2001 actual	2002 est.	2003 est.
Direct:				
0702	Weapons and combat vehicles	179	138	248
0703	Guided missiles and equipment	88	5	47
0704	Communications and electronics equipment	284	205	290
0705	Support vehicles	498	435	484
0706	Engineer and other equipment	122	176	197
0707	Spares and repair parts	19	26	23
0791	Total direct	1,190	985	1,288
0801	Reimbursable		9	9
0893	Total budget plan	1,190	995	1,298

Object Classification (in millions of dollars)				
Identification code 17-1109-0-1-051		2001 actual	2002 est.	2003 est.
Direct obligations:				
25.1	Advisory and assistance services	8	10	10
25.3	Purchases from revolving funds	65	50	55
26.0	Supplies and materials	31	27	23
31.0	Equipment	1,072	916	1,176
99.0	Direct obligations	1,176	1,003	1,264
99.0	Reimbursable obligations		9	9
99.9	Total new obligations	1,176	1,012	1,273

AIRCRAFT PROCUREMENT, AIR FORCE

For construction, procurement, lease, and modification of aircraft and equipment, including armor and armament, specialized ground handling equipment, and training devices, spare parts, and accessories therefor; specialized equipment; expansion of public and private plants, Government-owned equipment and installation thereof in such plants, erection of structures, and acquisition of land, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; reserve plant and Government and contractor-owned equipment lay-away; and other expenses necessary for the foregoing purposes including rents and transportation of things, **[\$10,567,038,000]** \$12,067,405,000, to remain available for obligation until September 30, **[2004]** 2005, of which \$312,700,000 shall be available for the Air National Guard and Air Force Reserve. (10 U.S.C. 2271-79, 2353, 2386, 2663, 2672, 2672a, 8013, 8062, 9501-02, 9532, 9741-42; 50 U.S.C. 451, 453, 455; Department of Defense Appropriations Act, 2002.)

Program and Financing (in millions of dollars)				
Identification code 57-3010-0-1-051		2001 actual	2002 est.	2003 est.
Obligations by program activity:				
Direct program:				
00.01	Combat aircraft	3,176	2,567	4,391
00.02	Airlift aircraft	3,256	3,426	3,749
00.03	Trainer aircraft	125	217	208
00.04	Other aircraft	647	696	256
00.05	Modification of in-service aircraft	1,924	2,116	1,759
00.06	Aircraft spares and repair parts	338	336	278
00.07	Aircraft support equipment and facilities	881	820	736
09.01	Reimbursable program	43	55	50
10.00	Total new obligations	10,390	10,233	11,427
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	1,840	1,400	1,639
22.00	New budget authority (gross)	9,947	10,472	12,117
22.10	Resources available from recoveries of prior year obligations	30		
22.21	Unobligated balance transferred to other accounts	-3		
22.22	Unobligated balance transferred from other accounts	8		
23.90	Total budgetary resources available for obligation	11,823	11,872	13,756
23.95	Total new obligations	-10,390	-10,233	-11,427
23.98	Unobligated balance expiring or withdrawn	-32		
24.40	For completion of prior year budget plans	1,400	1,639	2,329

New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	10,502	10,567	12,067
40.35	Appropriation rescinded	-327		
40.36	Unobligated balance rescinded	-69	-72	
40.72	Reduction pursuant to P.L. 107-117		-73	
40.73	Reduction pursuant to P.L. 106-259	-53		
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)	-23		
41.00	Transferred to other accounts	-2,962		
42.00	Transferred from other accounts	2,847		
43.00	Appropriation (total discretionary)	9,915	10,422	12,067
Spending authority from offsetting collections:				
68.00	Spending authority from offsetting collections (new)	29	50	50
68.10	Change in uncollected customer payments from Federal sources (unexpired)	4		
68.90	Spending authority from offsetting collections (total discretionary)	33	50	50
70.00	Total new budget authority (gross)	9,948	10,472	12,117

Change in obligated balances:				
72.40	Obligated balance, start of year	9,119	11,168	11,363
73.10	Total new obligations	10,390	10,233	11,427
73.20	Total outlays (gross)	-8,235	-10,039	-10,245
73.40	Adjustments in expired accounts (net)	-69		
73.45	Recoveries of prior year obligations	-30		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-4		
74.10	Change in uncollected customer payments from Federal sources (expired)	-1		
74.40	Obligated balance, end of year	11,168	11,363	12,546

Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	2,524	2,603	3,006
86.93	Outlays from discretionary balances	5,711	7,435	7,238
87.00	Total outlays (gross)	8,235	10,039	10,245

Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-18	-5	-5
88.40	Non-Federal sources		-45	-45
88.90	Total, offsetting collections (cash)	-18	-50	-50
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-4		
88.96	Portion of offsetting collections (cash) credited to expired accounts	-11		

Net budget authority and outlays:				
89.00	Budget authority	9,914	10,422	12,067
90.00	Outlays	8,217	9,989	10,195

Budget Plan (in millions of dollars)				
Identification code 57-3010-0-1-051		2001 actual	2002 est.	2003 est.
Direct:				
0701	Combat aircraft	3,004	3,037	4,621
0702	Airlift aircraft	3,168	3,812	3,893
0703	Trainer aircraft	134	224	212
0704	Other aircraft	549	585	541
0705	Modification of inservice aircraft	1,978	1,767	1,777
0706	Aircraft spares and repair parts	344	295	276
0707	Aircraft support equipment and facilities	742	773	747
0791	Total direct	9,920	10,494	12,067
0801	Reimbursable	48	50	50
0893	Total budget plan	9,968	10,544	12,117

Object Classification (in millions of dollars)				
Identification code 57-3010-0-1-051		2001 actual	2002 est.	2003 est.
Direct obligations:				
25.1	Advisory and assistance services	45	43	38
31.0	Equipment	10,302	10,135	11,339
99.0	Direct obligations	10,347	10,178	11,377
99.0	Reimbursable obligations	43	55	50
99.9	Total new obligations	10,390	10,233	11,427

General and special funds—Continued

MISSILE PROCUREMENT, AIR FORCE

For construction, procurement, and modification of missiles, spacecraft, rockets, and related equipment, including spare parts and accessories therefor; ground handling equipment, and training devices; expansion of public and private plants, Government-owned equipment and installation thereof in such plants, erection of structures, and acquisition of land, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes including rents and transportation of things, **[\$2,989,524,000]** \$3,575,162,000, to remain available for obligation until September 30, **[2004]** 2005. (10 U.S.C. 1905, 2271-79, 2363, 2386, 2653, 2672, 2672a, 8013, 8062, 9501-02, 9531-32, 9741-42; 50 U.S.C. 451, 453, 455; Department of Defense Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 57-3020-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Ballistic missiles	24	22	43
00.02 Other missiles	148	194	567
00.03 Modification of in-service missiles	399	497	564
00.04 Spares and repair parts	47	51	49
00.05 Other support	2,047	1,961	2,078
09.01 Reimbursable program	7	79	75
10.00 Total new obligations	2,671	2,804	3,376
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	406	475	656
22.00 New budget authority (gross)	2,747	2,985	3,650
22.10 Resources available from recoveries of prior year obligations	2		
22.22 Unobligated balance transferred from other accounts	1		
23.90 Total budgetary resources available for obligation	3,156	3,460	4,306
23.95 Total new obligations	-2,671	-2,804	-3,376
23.98 Unobligated balance expiring or withdrawn	-9		
24.40 Unobligated balance carried forward, end of year	475	656	931

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	2,879	2,990	3,575
40.36 Unobligated balance rescinded	-42	-58	
40.72 Reduction pursuant to P.L. 107-117		-21	
40.73 Reduction pursuant to P.L. 106-259	-20		
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-6		
41.00 Transferred to other accounts	-73		
43.00 Appropriation (total discretionary)	2,738	2,910	3,575
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	12	75	75
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-3		
68.90 Spending authority from offsetting collections (total discretionary)	9	75	75
70.00 Total new budget authority (gross)	2,747	2,985	3,650

Change in obligated balances:

72.40 Obligated balance, start of year	2,880	2,487	2,656
73.10 Total new obligations	2,671	2,804	3,376
73.20 Total outlays (gross)	-2,991	-2,635	-3,063
73.40 Adjustments in expired accounts (net)	-73		
73.45 Recoveries of prior year obligations	-2		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	3		
74.10 Change in uncollected customer payments from Federal sources (expired)	-2		
74.40 Obligated balance, end of year	2,487	2,656	2,969

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	1,080	1,044	1,260
86.93 Outlays from discretionary balances	1,912	1,591	1,802
87.00 Total outlays (gross)	2,991	2,635	3,063

Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-10	-59	-59
88.40 Non-Federal sources		-16	-16
88.90 Total, offsetting collections (cash)	-10	-75	-75
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	3		
88.96 Portion of offsetting collections (cash) credited to expired accounts	-2		
Net budget authority and outlays:			
89.00 Budget authority	2,738	2,910	3,575
90.00 Outlays	2,981	2,560	2,988

Budget Plan (in millions of dollars)

Identification code 57-3020-0-1-051	2001 actual	2002 est.	2003 est.
Direct:			
0701 Ballistic missiles	21	25	49
0702 Other missiles	154	220	259
0703 Modification of in-service missiles	386	556	586
0704 Spares and repair parts	47	57	48
0705 Other support	2,112	2,112	2,633
0791 Total direct	2,721	2,969	3,575
0801 Reimbursable	11	75	75
0893 Total budget plan	2,732	3,044	3,650

Object Classification (in millions of dollars)

Identification code 57-3020-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
25.1 Advisory and assistance services	24	43	38
31.0 Equipment	2,640	2,682	3,263
99.0 Direct obligations	2,664	2,725	3,301
99.0 Reimbursable obligations	7	79	75
99.9 Total new obligations	2,671	2,804	3,376

PROCUREMENT OF AMMUNITION, AIR FORCE

For construction, procurement, production, and modification of ammunition, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including ammunition facilities authorized by section 2854 of title 10, United States Code, and the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, **[\$866,644,000]** \$1,133,864,000, to remain available for obligation until September 30, **[2004]** 2005, of which \$120,200,000 shall be available for the Air National Guard and Air Force Reserve. (Department of Defense Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 57-3011-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Ammunition	684	807	1,089
00.02 Weapons	5	2	4
09.01 Reimbursable program	3	19	13
10.00 Total new obligations	691	828	1,105
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	84	71	111
22.00 New budget authority (gross)	678	868	1,147
22.10 Resources available from recoveries of prior year obligations	3		
23.90 Total budgetary resources available for obligation	765	939	1,258
23.95 Total new obligations	-691	-828	-1,105

23.98	Unobligated balance expiring or withdrawn	-2		
24.40	Unobligated balance carried forward, end of year	71	111	152

New budget authority (gross), detail:

Discretionary:				
40.00	Appropriation	679	867	1,134
40.36	Unobligated balance rescinded	-1	-6	
40.72	Reduction pursuant to P.L. 107-117		-6	
40.73	Reduction pursuant to P.L. 106-259	-5		
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
41.00	Transferred to other accounts	-6		
43.00	Appropriation (total discretionary)	665	855	1,134
Spending authority from offsetting collections:				
68.00	Offsetting collections (cash)	7	13	13
68.10	Change in uncollected customer payments from Federal sources (unexpired)	6		
68.90	Spending authority from offsetting collections (total discretionary)	13	13	13
70.00	Total new budget authority (gross)	678	868	1,147

Change in obligated balances:

72.40	Obligated balance, start of year	711	888	1,096
73.10	Total new obligations	691	828	1,105
73.20	Total outlays (gross)	-497	-620	-808
73.40	Adjustments in expired accounts (net)	-13		
73.45	Recoveries of prior year obligations	-3		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-6		
74.10	Change in uncollected customer payments from Federal sources (expired)	3		
74.40	Obligated balance, end of year	888	1,096	1,392

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	63	101	130
86.93	Outlays from discretionary balances	435	519	679
87.00	Total outlays (gross)	497	620	808

Offsets:

Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-7		
88.40	Non-Federal sources		-13	-13
88.90	Total, offsetting collections (cash)	-7	-13	-13
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-6		

Net budget authority and outlays:

89.00	Budget authority	665	855	1,134
90.00	Outlays	490	607	795

Budget Plan (in millions of dollars)

Identification code 57-3011-0-1-051				
Direct:				
0701	Ammunition	657	858	1,130
0702	Weapons	4	2	4
0791	Total direct	661	861	1,134
0801	Reimbursable	6	13	13
0893	Total budget plan	667	874	1,147

Object Classification (in millions of dollars)

Identification code 57-3011-0-1-051				
Direct obligations:				
25.1	Advisory and assistance services	2	2	1
31.0	Equipment	686	807	1,091
99.0	Direct obligations	688	809	1,092
99.0	Reimbursable obligations	3	19	13
99.9	Total new obligations	692	828	1,105

OTHER PROCUREMENT, AIR FORCE

For procurement and modification of equipment (including ground guidance and electronic control equipment, and ground electronic and communication equipment), and supplies, materials, and spare parts therefor, not otherwise provided for; the purchase of not to exceed [216] 263 passenger motor vehicles for replacement only, and the purchase of [three] 2 vehicles required for physical security of personnel, notwithstanding price limitations applicable to passenger vehicles but not to exceed [200,000] \$232,000 per vehicle; lease of passenger motor vehicles; and expansion of public and private plants, Government-owned equipment and installation thereof in such plants, erection of structures, and acquisition of land, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon, prior to approval of title; reserve plant and Government and contractor-owned equipment layaway, [8,085,863,000] \$10,523,946,000, to remain available for obligation until September 30, [2004] 2005, of which \$167,600,000 shall be available for the Air National Guard and Air Force Reserve. (10 U.S.C. 2110, 2353, 2386, 8013, 9505, 9531-32; 50 U.S.C. 491-94; Department of Defense Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 57-3080-0-1-051				
		2001 actual	2002 est.	2003 est.
Obligations by program activity:				
Direct program:				
00.02	Vehicular equipment	256	283	342
00.03	Electronics and telecommunications equipment	1,032	1,156	1,225
00.04	Other base maintenance and support equipment	6,428	6,716	8,630
00.05	Spares and repair parts	32	36	55
09.01	Reimbursable program	86	302	301
10.00	Total new obligations	7,834	8,492	10,553
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	667	1,744	1,780
22.00	New budget authority (gross)	8,818	8,299	10,824
22.10	Resources available from recoveries of prior year obligations	28		
22.21	Unobligated balance transferred to other accounts	-57		
22.22	Unobligated balance transferred from other accounts	137	228	
23.90	Total budgetary resources available for obligation	9,592	10,271	12,604
23.95	Total new obligations	-7,834	-8,492	-10,553
23.98	Unobligated balance expiring or withdrawn	-14		
24.40	For completion of prior year budget plans	1,744	1,780	2,052
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	7,902	8,085	10,524
40.35	Appropriation rescinded	-65		
40.36	Unobligated balance rescinded	-48	-30	
40.73	Reduction pursuant to P.L. 106-259	-54		
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)	-17	-56	
41.00	Transferred to other accounts	-45		
42.00	Transferred from other accounts	1,063		
43.00	Appropriation (total discretionary)	8,736	7,999	10,524
Spending authority from offsetting collections:				
68.00	Offsetting collections (cash)	60	300	300
68.10	Change in uncollected customer payments from Federal sources (unexpired)	22		
68.90	Spending authority from offsetting collections (total discretionary)	82	300	300
70.00	Total new budget authority (gross)	8,818	8,299	10,824
Change in obligated balances:				
72.40	Obligated balance, start of year	3,573	3,639	1,830
73.10	Total new obligations	7,834	8,492	10,553
73.20	Total outlays (gross)	-7,600	-10,301	-10,125
73.40	Adjustments in expired accounts (net)	-127		
73.45	Recoveries of prior year obligations	-28		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-22		
74.10	Change in uncollected customer payments from Federal sources (expired)	9		
74.40	Obligated balance, end of year	3,639	1,830	2,258
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	4,770	5,256	6,762
86.93	Outlays from discretionary balances	2,830	5,045	3,363

General and special funds—Continued

OTHER PROCUREMENT, AIR FORCE—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 57-3080-0-1-051	2001 actual	2002 est.	2003 est.
87.00 Total outlays (gross)	7,600	10,301	10,125
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	- 53	- 109	- 109
88.40 Non-Federal sources	- 1	- 191	- 191
88.90 Total, offsetting collections (cash)	- 54	- 300	- 300
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	- 22		
88.96 Portion of offsetting collections (cash) credited to expired accounts	- 6		
Net budget authority and outlays:			
89.00 Budget authority	8,736	7,999	10,524
90.00 Outlays	7,547	10,001	9,825

Budget Plan (in millions of dollars)

Identification code 57-3080-0-1-051	2001 actual	2002 est.	2003 est.
Direct:			
0702 Vehicular equipment	276	287	358
0703 Electronics and telecommunications equipment	1,114	1,177	1,384
0704 Other base maintenance and support equipment	7,414	6,761	8,740
0705 Spares and repair parts	37	33	41
0791 Total direct	8,840	8,258	10,523
0801 Reimbursable	87	300	300
0893 Total budget plan	8,928	8,558	10,823

Object Classification (in millions of dollars)

Identification code 57-3080-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
25.1 Advisory and assistance services	33	32	25
31.0 Equipment	7,715	8,158	10,227
99.0 Direct obligations	7,748	8,190	10,252
99.0 Reimbursable obligations	86	302	301
99.9 Total new obligations	7,834	8,492	10,553

PROCUREMENT, DEFENSE-WIDE

For expenses of activities and agencies of the Department of Defense (other than the military departments) necessary for procurement, production, and modification of equipment, supplies, materials, and spare parts therefor, not otherwise provided for; the purchase of not to exceed [65] 99 passenger motor vehicles for replacement only; the purchase of 4 vehicles required for physical security of personnel, notwithstanding price limitations applicable to passenger vehicles but not to exceed \$250,000 per vehicle; expansion of public and private plants, equipment, and installation thereof in such plants, erection of structures, and acquisition of land for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; reserve plant and Government and contractor-owned equipment layaway, [\$2,389,490,000] \$2,688,515,000, to remain available for obligation until September 30, [2004: Provided, That funds provided under this heading for Patriot Advanced Capability 3 (PAC-3) missiles may be used for procurement of critical parts for PAC-3 missiles to support production of such missiles in future fiscal years] 2005, of which \$2,300,000 shall be available for National Guard and National Reserve components. (Department of Defense Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 97-0300-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Major equipment	1,285	1,514	1,337
00.02 Special Operations Command	590	410	692
00.03 Chemical/Biological Defense	505	325	459
09.01 Reimbursable program	86	164	111
10.00 Total new obligations	2,465	2,414	2,600
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	647	652	618
22.00 New budget authority (gross)	2,394	2,379	2,800
22.10 Resources available from recoveries of prior year obligations	53		
22.22 Unobligated balance transferred from other accounts	35		
23.90 Total budgetary resources available for obligation	3,128	3,031	3,418
23.95 Total new obligations	- 2,465	- 2,414	- 2,600
23.98 Unobligated balance expiring or withdrawn	- 11		
24.40 Unobligated balance carried forward, end of year	652	618	818

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	2,360	2,397	2,689
40.35 Appropriation rescinded	- 85		
40.36 Unobligated balance rescinded	- 7	- 113	
40.72 Reduction pursuant to P.L. 107-117		- 17	
40.73 Reduction pursuant to P.L. 106-259	- 16		
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	- 5		
41.00 Transferred to other accounts	- 38		
42.00 Transferred from other accounts	75		
43.00 Appropriation (total discretionary)	2,284	2,267	2,689
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	103	112	111
68.10 Change in uncollected customer payments from Federal sources (unexpired)	7		
68.90 Spending authority from offsetting collections (total discretionary)	110	112	111
70.00 Total new budget authority (gross)	2,394	2,379	2,800

Change in obligated balances:

72.40 Obligated balance, start of year	2,041	2,053	2,001
73.10 Total new obligations	2,465	2,414	2,600
73.20 Total outlays (gross)	- 2,389	- 2,466	- 2,586
73.40 Adjustments in expired accounts (net)	- 14		
73.45 Recoveries of prior year obligations	- 53		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	- 7		
74.10 Change in uncollected customer payments from Federal sources (expired)	10		
74.40 Obligated balance, end of year	2,053	2,001	2,015

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	794	871	1,012
86.93 Outlays from discretionary balances	1,594	1,595	1,574
87.00 Total outlays (gross)	2,389	2,466	2,586

Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	- 101	- 102	- 101
88.40 Non-Federal sources	- 12	- 10	- 10
88.90 Total, offsetting collections (cash)	- 113	- 112	- 111
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	- 7		
88.96 Portion of offsetting collections (cash) credited to expired accounts	10		

Net budget authority and outlays:

89.00 Budget authority	2,284	2,267	2,689
90.00 Outlays	2,276	2,354	2,475

Budget Plan (in millions of dollars)

Identification code 97-0300-0-1-051	2001 actual	2002 est.	2003 est.
Direct:			
0701 Major equipment	1,222	1,626	1,476
0702 Special Operations Command	492	401	777
0703 Chemical/Biological Defense	471	354	436
0791 Total direct	2,185	2,381	2,689
0801 Reimbursable	115	112	111
0893 Total budget plan	2,300	2,493	2,800

Object Classification (in millions of dollars)

Identification code 97-0300-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
25.1 Advisory and assistance services	9	6	6
25.2 Other services	7	2	2
25.3 Other purchases of goods and services from Government accounts	22	13	10
25.4 Operation and maintenance of facilities	3	1	1
25.7 Operation and maintenance of equipment	1	2	1
26.0 Supplies and materials	14	4	3
31.0 Equipment	2,323	2,222	2,466
99.0 Direct obligations	2,379	2,250	2,489
99.0 Reimbursable obligations	86	164	111
99.9 Total new obligations	2,465	2,414	2,600

[NATIONAL GUARD AND RESERVE EQUIPMENT]

For procurement of aircraft, missiles, tracked combat vehicles, ammunition, other weapons, and other procurement for the reserve components of the Armed Forces, \$699,130,000, to remain available for obligation until September 30, 2004: *Provided*, That the Chiefs of the Reserve and National Guard components shall, not later than 30 days after the enactment of this Act, individually submit to the congressional defense committees the modernization priority assessment for their respective Reserve or National Guard component: *Provided further*, That of the funds appropriated under this heading, \$148,430,000 shall be available only for the procurement of C-130J aircraft to be used solely for western states firefighting. (Department of Defense Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 97-0350-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Reserve equipment	67	119	64
00.02 National Guard equipment	108	308	160
10.00 Total new obligations (object class 31.0)	175	427	224
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	156	96	363
22.00 New budget authority (gross)	110	694
22.10 Resources available from recoveries of prior year obligations	6
23.90 Total budgetary resources available for obligation	272	790	363
23.95 Total new obligations	-175	-427	-224
24.40 Unobligated balance carried forward, end of year	96	363	139
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	100	699
40.72 Reduction pursuant to P.L. 107-117	-5
40.73 Reduction pursuant to P.L. 106-259	-1
42.00 Transferred from other accounts	11
43.00 Appropriation (total discretionary)	110	694
Change in obligated balances:			
72.40 Obligated balance, start of year	561	324	426
73.10 Total new obligations	175	427	224
73.20 Total outlays (gross)	-386	-325	-302
73.40 Adjustments in expired accounts (net)	-20
73.45 Recoveries of prior year obligations	-6

74.40 Obligated balance, end of year	324	426	348
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	8	108
86.93 Outlays from discretionary balances	378	218	301
87.00 Total outlays (gross)	386	325	302
Net budget authority and outlays:			
89.00 Budget authority	110	694
90.00 Outlays	386	325	302

Budget Plan (in millions of dollars)

Identification code 97-0350-0-1-051	2001 actual	2002 est.	2003 est.
0601 Program activities BA-1	110	694
0893 Total budget plan	110	694

DEFENSE PRODUCTION ACT PURCHASES

For activities by the Department of Defense pursuant to sections 108, 301, 302, and 303 of the Defense Production Act of 1950 (50 U.S.C. App. 2078, 2091, 2092, and 2093), [\$40,000,000] \$73,057,000 to remain available until expended, of which, \$2,000,000 may be used for a Processible Rigid-Rod Polymeric Material Supplier Initiative under title III of the Defense Production Act of 1950 (50 U.S.C. App. 2091 et seq.) to develop affordable production methods and a domestic supplier for military and commercial processible rigid-rod materials. (Department of Defense Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 97-0360-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Defense Production Act Purchases	4	25	57
10.00 Total new obligations (object class 26.0)	4	25	57
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	10	9	23
22.00 New budget authority (gross)	3	40	73
23.90 Total budgetary resources available for obligation	13	49	96
23.95 Total new obligations	-4	-25	-57
24.40 Unobligated balance carried forward, end of year	9	23	39
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	3	40	73
Change in obligated balances:			
72.40 Obligated balance, start of year	34	23	12
73.10 Total new obligations	4	25	57
73.20 Total outlays (gross)	-15	-36	-62
74.40 Obligated balance, end of year	23	12	7
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	20	37
86.93 Outlays from discretionary balances	15	16	26
87.00 Total outlays (gross)	15	36	62
Net budget authority and outlays:			
89.00 Budget authority	3	40	73
90.00 Outlays	15	36	62
Budget Plan (in millions of dollars)			
Identification code 97-0360-0-1-051	2001 actual	2002 est.	2003 est.
0701 Defense Production Act Purchases	3	40	73
0893 Total budget plan	3	40	73

The Defense Production Act (50 U.S.C. App. 2061, et seq.) authorizes the use of Federal funds to correct industrial resource shortfalls and promote critical technology items which are essential to the national defense. This budget includes

General and special funds—Continued

DEFENSE PRODUCTION ACT PURCHASES—Continued

2003 projects for domestic radiation hardened electronics. These projects meet the requirements of subsection (a)(3) of Title III of this Act.

CHEMICAL AGENTS AND MUNITIONS DESTRUCTION, ARMY

For expenses, not otherwise provided for, necessary for the destruction of the United States stockpile of lethal chemical agents and munitions in accordance with the provisions of section 1412 of the Department of Defense Authorization Act, 1986 (50 U.S.C. 1521), and for the destruction of other chemical warfare materials that are not in the chemical weapon stockpile, **[\$1,105,557,000]** \$1,490,199,000, of which **[\$739,020,000]** \$974,238,000 shall be for Operation and maintenance to remain available until September 30, **[2003, \$164,158,000]** 2004, \$213,278,000 shall be for Procurement to remain available until September 30, **[2004]** 2005, and **[\$202,379,000]** \$302,683,000 shall be for Research, development, test and evaluation to remain available until September 30, **[2003]** 2004: *Provided, That of these funds \$507,500,000 shall not be available until five days after the Army notifies the Senate and House appropriations committees that it is able to meet milestones agreed upon by the Office of the Secretary of Defense and the Office of Management and Budget. (Department of Defense Appropriations Act, 2002.)*

Program and Financing (in millions of dollars)

Identification code 21-0390-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Research, development, test, and evaluation	608	735	974
00.02 Procurement	322	190	296
00.03 Operation and maintenance	159	151	196
09.01 Reimbursable program	6	5	5
10.00 Total new obligations	1,094	1,080	1,471
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	53	21	43
22.00 New budget authority (gross)	983	1,103	1,495
22.10 Resources available from recoveries of prior year obligations	79		
23.90 Total budgetary resources available for obligation	1,115	1,123	1,538
23.95 Total new obligations	-1,094	-1,080	-1,471
24.40 For completion of prior year budget plans	21	43	67
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	980	1,106	1,490
40.36 Unobligated balance rescinded	-1		
40.72 Reduction pursuant to P.L. 107-117		-8	
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-2		
43.00 Appropriation (total discretionary)	977	1,098	1,490
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	4	5	5
68.10 Change in uncollected customer payments from Federal sources (unexpired)	2		
68.90 Spending authority from offsetting collections (total discretionary)	6	5	5
70.00 Total new budget authority (gross)	983	1,103	1,495
Change in obligated balances:			
72.40 Obligated balance, start of year	647	511	569
73.10 Total new obligations	1,094	1,080	1,471
73.20 Total outlays (gross)	-1,138	-1,021	-1,234
73.40 Adjustments in expired accounts (net)	-12		
73.45 Recoveries of prior year obligations	-79		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-2		
74.40 Obligated balance, end of year	511	569	806
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	576	612	837
86.93 Outlays from discretionary balances	562	410	399
87.00 Total outlays (gross)	1,138	1,021	1,234

Offsets:

88.00 Against gross budget authority and outlays:			
Offsetting collections (cash) from: Federal sources	-4	-5	-5
88.95 Against gross budget authority only:			
Change in uncollected customer payments from Federal sources (unexpired)	-2		

Net budget authority and outlays:

89.00 Budget authority	977	1,098	1,490
90.00 Outlays	1,134	1,016	1,229

Budget Plan (in millions of dollars)

Identification code 21-0390-0-1-051	2001 actual	2002 est.	2003 est.
Direct:			
0701 Research, development, test, and evaluation	599	731	974
0702 Procurement	274	202	303
0703 Operation and maintenance	105	164	213
0791 Total direct	978	1,098	1,490
0801 Reimbursable	4	5	5
0893 Total budget plan	982	1,103	1,495

P.L. 99-145 authorized an appropriation to the Chemical Agents and Munitions Destruction account to destroy the U.S. inventory of lethal chemical agents and munitions and related (non-stockpile) materiel thus avoiding future risks and costs associated with the continued storage of chemical warfare materiel. The program supports the Chemical Weapons Convention initiatives to rid the world of chemical weapons.

Object Classification (in millions of dollars)

Identification code 21-0390-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
21.0 Travel and transportation of persons	2	2	2
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	167	91	96
25.2 Other services	71	18	18
25.3 Other purchases of goods and services from Government accounts	190	224	226
25.4 Operation and maintenance of facilities	343	315	499
25.5 Research and development contracts	130	161	231
26.0 Supplies and materials	103	97	100
31.0 Equipment	79	163	290
41.0 Grants, subsidies, and contributions	2	3	3
99.0 Direct obligations	1,088	1,075	1,466
99.0 Reimbursable obligations	6	5	5
99.9 Total new obligations	1,094	1,080	1,471

ARMS INITIATIVE GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 21-4275-0-3-051	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	1
24.40 Unobligated balance carried forward, end of year	1	1	1
Net financing authority and financing disbursements:			
89.00 Financing authority			
90.00 Financing disbursements			

Status of Guaranteed Loans (in millions of dollars)

Identification code 21-4275-0-3-051	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders			
2150 Total guaranteed loan commitments			
2199 Guaranteed amount of guaranteed loan commitments			

Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	28	28	27
2251	Repayments and prepayments		-1	-1
2290	Outstanding, end of year	28	27	26

Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	26	25	24

This program, first authorized in PL 102-484 (the 1992 Authorization Act), is to encourage commercial firms to use idle government owned, contractor-operated Army ammunition manufacturing facilities to ensure a viable industrial base for the manufacture of ammunition.

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 21-4275-0-3-051	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	1	1	1	1
1999 Total assets	1	1	1	1
LIABILITIES:				
2105 Federal liabilities: Other	1	1	1	1
2999 Total liabilities	1	1	1	1
4999 Total liabilities and net position	1	1	1	1

RESEARCH, DEVELOPMENT, TEST, AND EVALUATION

Appropriations in this title support modernization through basic and applied research, fabrication of technology-demonstration devices, and development and testing of prototypes and full-scale preproduction hardware. This work is performed by contractors, government laboratories and facilities, universities and nonprofit organizations. Research and development programs are funded to cover annual needs. Resources presented under the RDT&E title contribute primarily to achieving the Department's annual GPRA performance goals of transforming the force for new missions and reforming processes and organizations. Performance targets in support of these goals contribute to the Department's efforts to mitigate future challenges risk and institutional risk, as directed in the 2001 Quadrennial Defense Review.

The budget plan for each appropriation is shown as a separate table immediately following the program and financing schedules for that appropriation. Funds for each fiscal year are available for obligation for a two-year period beginning on the first day of that fiscal year.

The 2003 budget provides for major technology and development efforts. These include science and technology programs, development of weapons systems and supporting systems, including missile defense and support of test and evaluation programs and necessary infrastructure. The Department continues to emphasize technology efforts that ensure that the Nation will maintain a technological advantage over potential adversaries.

The DoD estimate for 2003 funds the full Government share of the accruing cost of retirement for current Civil Service Retirement System employees and the full accruing cost of post-retirement health benefits for current civilian employees and the post-retirement health costs of Medicare eligible retir-

ees (and their dependents/survivors) of the Uniformed Services. Additional authorizing legislation is required.

Federal Funds

General and special funds:

RESEARCH, DEVELOPMENT, TEST AND EVALUATION, ARMY

For expenses necessary for basic and applied scientific research, development, test and evaluation, including maintenance, rehabilitation, lease, and operation of facilities and equipment, **[\$7,106,074,000] \$6,918,494,000**, to remain available for obligation until September 30, [2003] 2004. (10 U.S.C. 2353; Department of Defense Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 21-2040-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Basic research	221	214	231
00.02 Applied research	981	926	647
00.03 Advanced technology development	809	976	735
00.04 Demonstration/validation	797	878	983
00.05 Engineering and manufacturing development	1,813	2,025	2,631
00.06 Management support	977	797	807
00.07 Operational system development	941	1,021	912
09.01 Reimbursable program	1,953	2,042	1,782
10.00 Total new obligations	8,493	8,878	8,728

Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	887	1,025	1,059
22.00 New budget authority (gross)	8,211	8,913	8,701
22.10 Resources available from recoveries of prior year obligations	403		
22.21 Unobligated balance transferred to other accounts	-2		
22.22 Unobligated balance transferred from other DoD accounts	19		
23.90 Total budgetary resources available for obligation	9,518	9,938	9,761
23.95 Total new obligations	-8,493	-8,878	-8,728
23.98 Unobligated balance expiring or withdrawn	-1		
24.40 For completion of prior year budget plans	1,025	1,059	1,032

New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	6,437	7,198	6,918
40.36 Unobligated balance rescinded	-43	-6	
40.72 Reduction pursuant to P.L. 107-117		-53	
40.73 Reduction pursuant to P.L. 106-259	-44		
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-14		
41.00 Transferred to other accounts	-30		
42.00 Transferred from other accounts	4		
43.00 Appropriation (total discretionary)	6,310	7,138	6,918
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	1,694	1,775	1,783
68.10 Change in uncollected customer payments from Federal sources (unexpired)	207		
68.90 Spending authority from offsetting collections (total discretionary)	1,901	1,775	1,783
70.00 Total new budget authority (gross)	8,211	8,913	8,701

Change in obligated balances:			
72.40 Obligated balance, start of year	2,789	3,101	3,604
73.10 Total new obligations	8,493	8,878	8,728
73.20 Total outlays (gross)	-7,650	-8,376	-8,620
73.40 Adjustments in expired accounts (net)	-59		
73.45 Recoveries of prior year obligations	-403		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-207		
74.10 Change in uncollected customer payments from Federal sources (expired)	138		
74.40 Obligated balance, end of year	3,101	3,604	3,712

Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	5,221	5,496	5,393
86.93 Outlays from discretionary balances	2,428	2,880	3,227
87.00 Total outlays (gross)	7,650	8,376	8,620

General and special funds—Continued

**RESEARCH, DEVELOPMENT, TEST AND EVALUATION, ARMY—
Continued**

Program and Financing (in millions of dollars)—Continued

Identification code 21-2040-0-1-051	2001 actual	2002 est.	2003 est.
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-1,746	-1,775	-1,783
88.40 Non-Federal sources	-66		
88.90 Total, offsetting collections (cash)	-1,812	-1,775	-1,783
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-207		
88.96 Portion of offsetting collections (cash) credited to expired accounts	118		
Net budget authority and outlays:			
89.00 Budget authority	6,310	7,138	6,918
90.00 Outlays	5,838	6,601	6,837

**Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in
millions of dollars)**

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	6,221	7,046	6,820
90.00 Outlays	5,749	6,509	6,739

Budget Plan (in millions of dollars)

Identification code 21-2040-0-1-051	2001 actual	2002 est.	2003 est.
Direct:			
0701 Basic research	211	238	238
0702 Applied research	850	947	642
0703 Advanced technology development	817	917	730
0704 Demonstration/validation	901	881	781
0705 Engineering/manufacturing development	1,791	2,209	2,801
0706 Management support	920	825	806
0707 Operational system development	861	1,128	920
0791 Total direct	6,263	7,053	6,820
0801 Reimbursable	1,949	1,775	1,783
0893 Total budget plan	8,302	8,919	8,701

Object Classification (in millions of dollars)

Identification code 21-2040-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	486	496	533
11.3 Other than full-time permanent	40	54	58
11.5 Other personnel compensation	20	25	27
11.9 Total personnel compensation	546	575	618
12.1 Civilian personnel benefits	207	209	322
13.0 Benefits for former personnel	4		2
21.0 Travel and transportation of persons	56	61	72
22.0 Transportation of things	11	11	13
23.1 Rental payments to GSA	2	2	2
23.2 Rental payments to others	1		
23.3 Communications, utilities, and miscellaneous charges	29	30	34
24.0 Printing and reproduction	1	2	2
25.1 Advisory and assistance services	189	131	118
25.2 Other services	122	61	27
Other purchases of goods and services from Gov- ernment accounts:			
25.3 Other purchases of goods and services from Government accounts	477	596	601
25.3 Purchases from revolving funds	50	55	64
25.4 Operation and maintenance of facilities	155	96	97
25.5 Research and development contracts	3,850	4,331	4,254
25.7 Operation and maintenance of equipment	39	28	32
25.8 Subsistence and support of persons	23	23	26
26.0 Supplies and materials	118	114	116
31.0 Equipment	437	295	303

32.0 Land and structures	2	2	2
41.0 Grants, subsidies, and contributions	221	214	241
99.0 Direct obligations	6,540	6,836	6,946
99.0 Reimbursable obligations	1,953	2,042	1,782
99.9 Total new obligations	8,493	8,878	8,728

Personnel Summary

Identification code 21-2040-0-1-051	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	7,836	7,857	8,214
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	8,515	8,440	8,505

RESEARCH, DEVELOPMENT, TEST AND EVALUATION, NAVY

For expenses necessary for basic and applied scientific research, development, test and evaluation, including maintenance, rehabilitation, lease, and operation of facilities and equipment, **[\$11,498,506,000] \$12,501,630,000**, to remain available for obligation until September 30, **[2003] 2004: Provided, That funds appropriated in this paragraph which are available for the V-22 may be used to meet unique operational requirements of the Special Operations Forces. (10 U.S.C. 174, 2352-54, 7522; Department of Defense Appropriations Act, 2002; additional authorizing legislation required.)**

Program and Financing (in millions of dollars)

Identification code 17-1319-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Basic research	394	387	405
00.02 Applied research	629	802	565
00.03 Advanced technology development	777	916	557
00.04 Demonstration/validation	2,588	2,479	2,966
00.05 Engineering and manufacturing development	2,077	3,691	4,881
00.06 Management support	813	707	769
00.07 Operational system development	2,170	2,350	2,087
09.01 Reimbursable program	178	208	390
10.00 Total new obligations	9,626	11,540	12,620

Budgetary resources available for obligation:

21.40 For completion of prior year budget plans	646	781	811
22.00 New budget authority (gross)	9,766	11,570	12,697
22.10 Resources available from recoveries of prior year obli- gations	8		
22.22 Unobligated balance transferred from other DoD ac- counts	5		
23.90 Total budgetary resources available for obligation	10,425	12,351	13,508
23.95 Total new obligations	-9,626	-11,540	-12,620
23.98 Unobligated balance expiring or withdrawn	-18		
24.40 Available to finance subsequent year budget plans	781	811	888

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	9,626	11,503	12,502
40.36 Unobligated balance rescinded	-36	-19	
40.72 Reduction pursuant to P.L. 107-117		-120	
40.73 Reduction pursuant to P.L. 106-259	-66		
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-21		
41.00 Transferred to other DoD accounts	-2		
42.00 Transferred from other DoD accounts	82	11	
43.00 Appropriation (total discretionary)	9,583	11,375	12,502
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	168	195	195
68.10 Change in uncollected customer payments from Federal sources (unexpired)	15		
68.90 Spending authority from offsetting collections (total discretionary)	183	195	195
70.00 Total new budget authority (gross)	9,766	11,570	12,697

Change in obligated balances:

72.40 Obligated balance, start of year	4,467	4,372	5,193
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73.10	Total new obligations	9,626	11,540	12,620
73.20	Total outlays (gross)	-9,662	-10,719	-11,979
73.40	Adjustments in expired accounts (net)	-73		
73.45	Recoveries of prior year obligations	-8		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-15		
74.10	Change in uncollected customer payments from Federal sources (expired)	37		
74.40	Obligated balance, end of year	4,372	5,193	5,833

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	5,843	6,885	7,549
86.93	Outlays from discretionary balances	3,819	3,834	4,430
87.00	Total outlays (gross)	9,662	10,719	11,979

Offsets:

Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-177	-195	-195
88.40	Non-Federal sources	-20		
88.90	Total, offsetting collections (cash)	-197	-195	-195
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-15		
88.96	Portion of offsetting collections (cash) credited to expired accounts	29		

Net budget authority and outlays:

89.00	Budget authority	9,583	11,375	12,502
90.00	Outlays	9,466	10,524	11,784

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	9,579	11,371	12,496
90.00	Outlays	9,462	10,520	11,778

Budget Plan (in millions of dollars)

Identification code 17-1319-0-1-051	2001 actual	2002 est.	2003 est.	
Direct:				
0701	Basic research	385	405	410
0702	Applied research	636	777	580
0703	Advanced technology development	791	870	617
0704	Demonstration/validation	2,633	2,578	2,432
0705	Engineering manufacturing support	2,146	3,736	5,093
0706	Management support	819	686	690
0707	Operational system development	2,189	2,343	2,680
0791	Total direct	9,596	11,389	12,496
0801	Reimbursable	190	195	195
0893	Total budget plan	9,790	11,588	12,697

Object Classification (in millions of dollars)

Identification code 17-1319-0-1-051	2001 actual	2002 est.	2003 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	42	45	48
11.3	Other than full-time permanent	2	2	3
11.5	Other personnel compensation	1	1	1
11.9	Total personnel compensation	45	48	52
12.1	Civilian personnel benefits	15	15	17
13.0	Benefits for former personnel	3		
21.0	Travel and transportation of persons	27	34	33
22.0	Transportation of things	1	1	1
23.2	Rental payments to others	10	11	11
23.3	Communications, utilities, and miscellaneous charges	4	2	2
24.0	Printing and reproduction	1		
25.1	Advisory and assistance services	208	181	179
25.2	Other services	1	25	26
Other purchases of goods and services from Government accounts:				
25.3	Other purchases of goods and services from Government accounts	600	796	749

25.3	Purchases from revolving funds	2,141	1,835	1,851
25.4	Operation and maintenance of facilities	10	25	26
25.5	Research and development contracts	6,350	8,343	9,269
26.0	Supplies and materials	4	2	2
31.0	Equipment	27	13	12
32.0	Land and structures		1	
99.0	Direct obligations	9,447	11,332	12,230
99.0	Reimbursable obligations	179	208	390
99.9	Total new obligations	9,626	11,540	12,620

Personnel Summary

Identification code 17-1319-0-1-051	2001 actual	2002 est.	2003 est.	
Direct:				
1001	Total compensable workyears: Full-time equivalent employment	665	658	659
Reimbursable:				
2001	Total compensable workyears: Full-time equivalent employment	632	685	735

RESEARCH, DEVELOPMENT, TEST AND EVALUATION, AIR FORCE

For expenses necessary for basic and applied scientific research, development, test and evaluation, including maintenance, rehabilitation, lease, and operation of facilities and equipment, **[\$14,669,931,000] \$17,601,233,000**, to remain available for obligation until September 30, **[2003] 2004**. (10 U.S.C. 174, 1581, 1584, 2271-79, 2352-54, 2386, 2663, 2672, 2672a, 8012, 9503-04, 9532; 42 U.S.C. 1891-92; 50 U.S.C. App. 2093(g); Department of Defense Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 57-3600-0-1-051	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Direct program:				
00.01	Basic research	210	213	216
00.02	Applied research	654	787	677
00.03	Advanced technology development	583	20	6,846
00.04	Demonstration/validation	1,221	985	1,387
00.05	Engineering and manufacturing development	3,749	3,425	3,566
00.06	Management support	822	657	629
00.07	Operational system development	7,313	8,274	3,962
09.01	Reimbursable program	1,885	2,055	2,216
10.00	Total new obligations	16,437	16,415	19,499

Budgetary resources available for obligation:

21.40	For completion of prior year budget plans	1,806	1,511	1,660
22.00	New budget authority (gross)	16,201	16,564	19,817
22.10	Resources available from recoveries of prior year obligations	10		
22.21	Unobligated balance transferred to other accounts	-23		
22.22	Unobligated balance transferred from other accounts	5		
23.90	Total budgetary resources available for obligation	17,999	18,075	21,477
23.95	Total new obligations	-16,437	-16,415	-19,499
23.98	Unobligated balance expiring or withdrawn	-52		
24.40	For completion of prior year budget plans	1,511	1,660	1,978

New budget authority (gross), detail:

Discretionary:				
40.00	Appropriation	14,449	14,706	17,601
40.36	Unobligated balance rescinded	-83	-69	
40.72	Reduction pursuant to P.L. 107-117		-122	
40.73	Reduction pursuant to P.L. 106-259	-99		
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)	-31		
41.00	Transferred to other DoD accounts	-28		
42.00	Transferred from other DoD accounts	123		
43.00	Appropriation (total discretionary)	14,331	14,514	17,601
Spending authority from offsetting collections:				
68.00	Offsetting collections (cash)	1,834	2,050	2,216
68.10	Change in uncollected customer payments from Federal sources (unexpired)	37		
68.90	Spending authority from offsetting collections (total discretionary)	1,871	2,050	2,216
70.00	Total new budget authority (gross)	16,201	16,564	19,817

General and special funds—Continued

**RESEARCH, DEVELOPMENT, TEST AND EVALUATION, AIR FORCE—
Continued**

Program and Financing (in millions of dollars)—Continued

Identification code 57-3600-0-1-051	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year	5,533	5,761	5,695
73.10 Total new obligations	16,437	16,415	19,499
73.20 Total outlays (gross)	-16,215	-16,481	-18,676
73.40 Adjustments in expired accounts (net)	-48		
73.45 Recoveries of prior year obligations	-10		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-37		
74.10 Change in uncollected customer payments from Federal sources (expired)	101		
74.40 Obligated balance, end of year	5,761	5,695	6,518
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	10,425	10,936	13,132
86.93 Outlays from discretionary balances	5,790	5,544	5,544
87.00 Total outlays (gross)	16,215	16,481	18,676
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-1,844	-2,050	-2,216
88.40 Non-Federal sources	-61		
88.90 Total, offsetting collections (cash)	-1,905	-2,050	-2,216
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-37		
88.96 Portion of offsetting collections (cash) credited to expired accounts	71		
Net budget authority and outlays:			
89.00 Budget authority	14,331	14,514	17,601
90.00 Outlays	14,310	14,431	16,460

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	14,297	14,478	17,565
90.00 Outlays	14,276	14,395	16,424

Budget Plan (in millions of dollars)

Identification code 57-3600-0-1-051	2001 actual	2002 est.	2003 est.
Direct:			
0701 Basic research	210	227	219
0702 Applied research	685	791	697
0703 Advanced technology development	564	572	743
0704 Demonstration/validation	1,295	960	1,458
0705 Engineering manufacturing development	3,355	3,606	4,319
0706 Management support	828	663	629
0707 Operational system development	7,412	7,762	9,536
0791 Total direct	14,313	14,548	17,565
0801 Reimbursable	1,885	2,050	2,216
0893 Total budget plan	16,232	16,633	19,817

Object Classification (in millions of dollars)

Identification code 57-3600-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	264	291	283
11.3 Other than full-time permanent	22	23	22
11.5 Other personnel compensation	8	8	8
11.9 Total personnel compensation	294	322	313
12.1 Civilian personnel benefits	99	108	108
13.0 Benefits for former personnel	3		
21.0 Travel and transportation of persons	47	31	31

22.0 Transportation of things	4	1	2
23.2 Rental payments to others	2	1	1
23.3 Communications, utilities, and miscellaneous charges	10	5	5
24.0 Printing and reproduction	1		
25.1 Advisory and assistance services	302	310	283
25.2 Other services	610	381	441
25.3 Other purchases of goods and services from Government accounts	5	34	38
25.4 Operation and maintenance of facilities	30	11	10
25.5 Research and development contracts	13,034	13,041	15,943
26.0 Supplies and materials	66	90	84
31.0 Equipment	45	26	24
99.0 Direct obligations	14,552	14,361	17,283
99.0 Reimbursable obligations	1,885	2,054	2,216
99.9 Total new obligations	16,437	16,415	19,499

Personnel Summary

Identification code 57-3600-0-1-051	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	4,956	4,932	5,236
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	2,143	2,258	1,616

RESEARCH, DEVELOPMENT, TEST AND EVALUATION, DEFENSE-WIDE

For expenses of activities and agencies of the Department of Defense (other than the military departments), necessary for basic and applied scientific research, development, test and evaluation; advanced research projects as may be designated and determined by the Secretary of Defense, pursuant to law; maintenance, rehabilitation, lease, and operation of facilities and equipment, **[\$15,415,275,000], \$16,613,551,000** to remain available for obligation until September 30, **[2003] 2004: Provided**, That for funds provided under this heading for **[ballistic missile defense] Missile Defense Agency** programs, the minimum amount applicable under section 9(f)(1)(C) of the Small Business Act (15 U.S.C. 638(f)(1)(C)) shall be \$75,000,000 (in lieu of the amount otherwise applicable for those programs under that section). (*Department of Defense Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 97-0400-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Basic research	492	541	570
00.02 Applied research	1,576	1,563	1,709
00.03 Advanced technology development	1,777	2,012	2,313
00.04 Demonstration/validation	3,397	5,444	5,881
00.05 Engineering and manufacturing development	1,262	1,375	1,350
00.06 Management support	499	596	554
00.07 Operational system development	2,636	3,162	3,947
09.01 Reimbursable program	460	569	781
10.00 Total new obligations	12,100	15,261	17,105
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1,236	1,113	1,689
22.00 New budget authority (gross)	11,748	15,837	17,253
22.10 Resources available from recoveries of prior year obligations	281		
22.21 Unobligated balance transferred to other accounts	-1		
22.22 Unobligated balance transferred from other DoD accounts	24		
23.90 Total budgetary resources available for obligation	13,288	16,950	18,942
23.95 Total new obligations	-12,100	-15,261	-17,105
23.98 Unobligated balance expiring or withdrawn	-75		
24.40 Unobligated balance carried forward, end of year	1,113	1,689	1,837
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	11,244	15,428	16,614
40.35 Appropriation rescinded	-7		
40.36 Unobligated balance rescinded	-36	-1	

40.72	Reduction pursuant to P.L. 107-117		- 119	
40.73	Reduction pursuant to P.L. 106-259	- 78		
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)	- 24		
41.00	Transferred to other accounts	- 25	- 11	
42.00	Transfer from other DoD accounts	212		
43.00	Appropriation (total discretionary)	11,286	15,297	16,614
Spending authority from offsetting collections:				
68.00	Offsetting collections (cash)	348	540	639
68.10	Change in uncollected customer payments from Federal sources (unexpired)	114		
68.90	Spending authority from offsetting collections (total discretionary)	462	540	639
70.00	Total new budget authority (gross)	11,748	15,837	17,253

Change in obligated balances:

72.40	Obligated balance, start of year	5,941	6,411	7,873
73.10	Total new obligations	12,100	15,261	17,105
73.20	Total outlays (gross)	- 11,099	- 13,799	- 16,155
73.40	Adjustments in expired accounts (net)	- 212		
73.45	Recoveries of prior year obligations	- 281		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	- 114		
74.10	Change in uncollected customer payments from Federal sources (expired)	75		
74.40	Obligated balance, end of year	6,411	7,873	8,823

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	6,333	8,042	8,788
86.93	Outlays from discretionary balances	4,766	5,757	7,367
87.00	Total outlays (gross)	11,099	13,799	16,155

Offsets:

Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	- 283	- 423	- 522
88.40	Non-Federal sources	- 92	- 117	- 117
88.90	Total, offsetting collections (cash)	- 375	- 540	- 639
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	- 114		
88.96	Portion of offsetting collections (cash) credited to expired accounts	27		

Net budget authority and outlays:

89.00	Budget authority	11,286	15,297	16,614
90.00	Outlays	10,724	13,259	15,516

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	11,273	15,284	16,599
90.00 Outlays	10,711	13,246	15,501

Budget Plan (in millions of dollars)

Identification code 97-0400-0-1-051	2001 actual	2002 est.	2003 est.
Direct:			
0701 Basic research	474	513	499
0702 Applied research	1,558	1,632	1,859
0703 Advanced technology development	1,805	2,055	2,434
0704 Demonstration/validation	3,190	5,945	5,868
0705 Engineering manufacturing development	1,126	1,474	1,336
0706 Management support	572	505	553
0707 Operational system development	2,603	3,175	4,064
0720 Program activities			3
0791 Total direct	11,316	15,285	16,599
0801 Reimbursable	464	540	638
0893 Total budget plan	11,792	15,838	17,252

Object Classification (in millions of dollars)

Identification code 97-0400-0-1-051	2001 actual	2002 est.	2003 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	92	86	95
11.3	Other than full-time permanent	3	4	4
11.5	Other personnel compensation	2	2	3
11.9	Total personnel compensation	97	92	102
12.1	Civilian personnel benefits	31	33	36
21.0	Travel and transportation of persons	21	23	25
22.0	Transportation of things		1	1
23.1	Rental payments to GSA	4	10	10
23.2	Rental payments to others	5	1	1
23.3	Communications, utilities, and miscellaneous charges	10	12	14
25.1	Advisory and assistance services	675	806	870
25.2	Other services	133	183	235
25.3	Other purchases of goods and services from Government accounts	189	221	207
25.4	Operation and maintenance of facilities	7	9	9
25.5	Research and development contracts	10,278	13,011	14,439
25.7	Operation and maintenance of equipment	41	26	33
26.0	Supplies and materials	15	19	23
31.0	Equipment	129	237	310
32.0	Land and structures	1	1	1
41.0	Grants, subsidies, and contributions	5	7	9
99.0	Direct obligations	11,641	14,692	16,325
99.0	Reimbursable obligations	459	569	780
99.9	Total new obligations	12,100	15,261	17,105

Personnel Summary

Identification code 97-0400-0-1-051	2001 actual	2002 est.	2003 est.	
Direct:				
1001	Total compensable workyears: Full-time equivalent employment	1,150	1,232	1,223
Reimbursable:				
2001	Total compensable workyears: Full-time equivalent employment	7	12	12

DEVELOPMENTAL TEST AND EVALUATION, DEFENSE

Program and Financing (in millions of dollars)

Identification code 97-0450-0-1-051	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.06	Total direct obligations: Defensewide mission support	35		
10.00	Total new obligations (object class 25.2)	35		
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	26		
22.10	Resources available from recoveries of prior year obligations	10		
23.90	Total budgetary resources available for obligation	36		
23.95	Total new obligations	- 35		
23.98	Unobligated balance expiring or withdrawn	- 1		

New budget authority (gross), detail:

Spending authority from offsetting collections:				
Discretionary:				
68.00	Offsetting collections (cash)	1		
68.10	Change in uncollected customer payments from Federal sources (unexpired)	- 1		
68.90	Spending authority from offsetting collections (total discretionary)			
Change in obligated balances:				
72.40	Obligated balance, start of year	202	70	30
73.10	Total new obligations	35		
73.20	Total outlays (gross)	- 156	- 40	- 13
73.40	Adjustments in expired accounts (net)	- 9		
73.45	Recoveries of prior year obligations	- 10		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	1		

General and special funds—Continued**DEVELOPMENTAL TEST AND EVALUATION, DEFENSE—Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 97-0450-0-1-051	2001 actual	2002 est.	2003 est.
74.10 Change in uncollected customer payments from Federal sources (expired)	8		
74.40 Obligated balance, end of year	70	30	17
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	156	40	13
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-8		
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	1		
88.96 Portion of offsetting collections (cash) credited to expired accounts	7		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	149	40	13

OPERATIONAL TEST AND EVALUATION, DEFENSE

For expenses, not otherwise provided for, necessary for the independent activities of the Director, Operational Test and Evaluation, in the direction and supervision of operational test and evaluation, including initial operational test and evaluation which is conducted prior to, and in support of, production decisions; joint operational testing and evaluation; and administrative expenses in connection therewith, **[\$231,855,000]** \$222,054,000, to remain available for obligation until September 30, **[2003]** 2004. (*Department of Defense Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 97-0460-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.03 Advanced technology development		7	6
00.06 Management support	206	227	216
10.00 Total new obligations	206	235	223
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	20	16
22.00 New budget authority (gross)	225	230	222
23.90 Total budgetary resources available for obligation	226	251	238
23.95 Total new obligations	-206	-235	-223
24.40 Unobligated balance carried forward, end of year	20	16	16
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	227	232	222
40.72 Reduction pursuant to P.L. 107-117		-2	
40.73 Reduction pursuant to P.L. 106-259	-2		
43.00 Appropriation (total discretionary)	225	230	222
Change in obligated balances:			
72.40 Obligated balance, start of year	25	114	146
73.10 Total new obligations	206	235	223
73.20 Total outlays (gross)	-115	-203	-213
73.40 Adjustments in expired accounts (net)	-1		
74.40 Obligated balance, end of year	114	146	156
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	97	102	98
86.93 Outlays from discretionary balances	18	101	115
87.00 Total outlays (gross)	115	203	213
Net budget authority and outlays:			
89.00 Budget authority	225	230	222
90.00 Outlays	115	203	213

Budget Plan (in millions of dollars)

Identification code 97-0460-0-1-051	2001 actual	2002 est.	2003 est.
0703 Advanced technology development		8	6
0706 Management support	225	222	216
0893 Total budget plan	225	230	222

Object Classification (in millions of dollars)

Identification code 97-0460-0-1-051	2001 actual	2002 est.	2003 est.
21.0 Travel and transportation of persons	2	1	1
25.1 Advisory and assistance services	31	33	34
25.3 Other purchases of goods and services from Government accounts	171	199	186
25.4 Operation and maintenance of facilities	2	2	2
99.9 Total new obligations	206	235	223

MILITARY CONSTRUCTION

The Military Construction programs are intended to provide facilities required as a result of new weapon systems entering the inventory including aircraft and naval vessels, to support chemical demilitarization and other high priority initiatives. The program continues initiatives to improve living and working conditions, reduce operating costs, increase productivity, and conserve energy by upgrading or replacing facilities which have become functionally obsolete or can be made more efficient through relatively modest investments in improvements. Also included in this request are resources required to clean up and dispose of property consistent with the four closure rounds required by the Base Closure Acts of 1988 and 1990.

The budget plan for each appropriation is shown as a separate table immediately following the program and financing schedules for those appropriations that are available for obligation for more than one year. In 2003 it presents, by budget activity, the value of the program requested for the life of the multiple-year appropriations, with comparable amounts in 2001 and 2002. The DoD estimate for 2003 funds the full Government share of the accruing cost of retirement for current Civil Service Retirement System employees and the full accruing cost of post-retirement health benefits for current civilian employees and the post-retirement health costs of Medicare eligible retirees (and their dependents/survivors) of the Uniformed Services. Additional authorizing legislation is required. Resources presented under the Military Construction title contribute primarily to achieving the Department's annual GPRA performance goals of assuring readiness and sustainability. Performance targets in support of these goals contribute to the Department's efforts to mitigate force management and operational risk, as directed in the 2001 Quadrennial Defense Review.

Federal Funds**General and special funds:****MILITARY CONSTRUCTION, ARMY****[(INCLUDING RESCISSION)]**

For acquisition, construction, installation, and equipment of temporary or permanent public works, military installations, facilities, and real property for the Army as currently authorized by law, including personnel in the Army Corps of Engineers and other personal services necessary for the purposes of this appropriation, and for construction and operation of facilities in support of the functions of the Commander in Chief, **[\$1,778,256,000]** \$1,476,521,000, to remain available until September 30, **[2006]** 2007: *Provided*, That of this amount, not to exceed **[\$163,198,000]** \$143,524,000 shall be available for study, planning, design, architect and engineer services,

and host nation support, as authorized by law, unless the Secretary of Defense determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of his determination and the reasons therefor: *Provided further, [That of the funds appropriated for "Military Construction, Army" under Public Law 106-52, \$36,400,000 are rescinded] That of this amount, \$84,400,000 shall not be available until five days after the Army notifies the Senate and House appropriations committees that it is able to meet milestones for construction of chemical weapons destruction facilities agreed upon by the Office of the Secretary of Defense and the Office of Management and Budget. (Military Construction Appropriations Act, 2002; additional authorizing legislation required.)*

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Military Construction, Army", \$20,700,000, to remain available until expended: *Provided, That these funds shall be obligated from amounts made available in Public Law 107-38.*] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 21-2050-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Major construction	986	1,853	1,410
00.02 Minor construction	16	19	
00.03 Planning	90	94	130
00.04 Supporting Activities			1
00.05 Direct Program Activity	1		
00.06 Direct Program Activity	20		
09.01 Reimbursable program	2,549	1,766	1,846
10.00 Total new obligations	3,647	3,728	3,406
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	824	833	872
22.00 New budget authority (gross)	3,112	3,768	3,476
22.10 Resources available from recoveries of prior year obligations	542		
22.21 Unobligated balance transferred to other accounts	-19		
22.22 Unobligated balance transferred from other accounts	20		
23.90 Total budgetary resources available for obligation	4,479	4,601	4,348
23.95 Total new obligations	-3,647	-3,728	-3,406
24.40 Unobligated balance carried forward, end of year	833	872	943
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	981	1,824	1,476
40.35 Appropriation rescinded	-13		
40.36 Unobligated balance rescinded		-36	
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-2		
40.79 Reduction pursuant to P.L. 107-64		-20	
42.00 Transferred from other accounts	13		
43.00 Appropriation (total discretionary)	979	1,768	1,476
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	2,317	2,000	2,000
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-184		
68.90 Spending authority from offsetting collections (total discretionary)	2,133	2,000	2,000
70.00 Total new budget authority (gross)	3,112	3,768	3,476
Change in obligated balances:			
72.40 Obligated balance, start of year	1,045	1,164	1,774
73.10 Total new obligations	3,647	3,728	3,406
73.20 Total outlays (gross)	-3,191	-3,118	-3,372
73.40 Adjustments in expired accounts (net)	-10		
73.45 Recoveries of prior year obligations	-542		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	184		
74.10 Change in uncollected customer payments from Federal sources (expired)	32		
74.40 Obligated balance, end of year	1,164	1,774	1,808
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2,093	2,114	2,100
86.93 Outlays from discretionary balances	1,098	1,004	1,272

87.00 Total outlays (gross)	3,191	3,118	3,372
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-2,164	-2,000	-2,000
88.40 Non-Federal sources	-173		
88.90 Total, offsetting collections (cash)	-2,337	-2,000	-2,000
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	184		
88.96 Portion of offsetting collections (cash) credited to expired accounts	20		
Net budget authority and outlays:			
89.00 Budget authority	979	1,768	1,476
90.00 Outlays	854	1,118	1,372

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	956	1,743	1,450
90.00 Outlays	831	1,093	1,346

Budget Plan (in millions of dollars)

Identification code 21-2050-0-1-051	2001 actual	2002 est.	2003 est.
Direct:			
0701 Major construction	817	1,611	1,312
0702 Minor construction		19	21
0703 Planning	134	161	144
0704 Supporting Activities		12	
0705 Program activities	12		
0706 Program activities	21		
0791 Total direct	961	1,779	1,450
0801 Reimbursable	2,183	2,000	2,000
0893 Total budget plan	3,167	3,804	3,476

Object Classification (in millions of dollars)

Identification code 21-2050-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	74		
11.3 Other than full-time permanent	1		
11.5 Other personnel compensation	1		
11.9 Total personnel compensation	76		
12.1 Civilian personnel benefits	29	25	26
21.0 Travel and transportation of persons	2	2	1
23.1 Rental payments to GSA	1	1	1
24.0 Printing and reproduction		1	1
25.2 Other services	9	47	38
Other purchases of goods and services from Government accounts:			
25.3 Other purchases of goods and services from Government accounts	76	264	216
25.3 Purchases from revolving funds	27		
25.4 Operation and maintenance of facilities		1	1
26.0 Supplies and materials		1	
31.0 Equipment	2	1	1
32.0 Land and structures	876	1,619	1,275
99.0 Direct obligations	1,098	1,962	1,560
99.0 Reimbursable obligations	2,549	1,766	1,846
99.9 Total new obligations	3,647	3,728	3,406

Personnel Summary

Identification code 21-2050-0-1-051	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	1,298		

General and special funds—Continued

MILITARY CONSTRUCTION, ARMY—Continued

[(INCLUDING RESCISSION)]—Continued

Personnel Summary—Continued

Identification code 21-2050-0-1-051	2001 actual	2002 est.	2003 est.
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	4,611	5,599	5,511

MILITARY CONSTRUCTION, NAVY

[(INCLUDING RESCISSION)]

For acquisition, construction, installation, and equipment of temporary or permanent public works, naval installations, facilities, and real property for the Navy as currently authorized by law, including personnel in the Naval Facilities Engineering Command and other personal services necessary for the purposes of this appropriation, **[\$1,144,221,000] \$895,131,000**, to remain available until September 30, **[2006] 2007: Provided**, That of this amount, not to exceed **[\$34,152,000] \$68,573,000** shall be available for study, planning, design, architect and engineer services, as authorized by law, unless the Secretary of Defense determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of his determination and the reasons therefor: *Provided further*, That of the funds appropriated for "Military Construction, Navy" under Public Law 106-246, \$19,588,000 are rescinded. (*Military Construction Appropriations Act, 2002; additional authorizing legislation required.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Military Construction, Navy", \$2,000,000, to remain available until expended: Provided, That these funds shall be obligated from amounts made available in Public Law 107-38.] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 17-1205-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Major construction	915	986	856
00.02 Minor construction	23	10	20
00.03 Planning	140	33	61
00.05 Direct Program Activity	17		
00.06 Direct Program Activity	1		
09.01 Reimbursable program	388	354	354
10.00 Total new obligations	1,484	1,383	1,291
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	384	266	361
22.00 New budget authority (gross)	1,297	1,477	1,249
22.10 Resources available from recoveries of prior year obligations	94		
22.22 Unobligated balance transferred from other accounts	1		
23.90 Total budgetary resources available for obligation	1,776	1,743	1,609
23.95 Total new obligations	-1,484	-1,383	-1,291
23.98 Unobligated balance expiring or withdrawn	-27		
24.40 Unobligated balance carried forward, end of year	266	361	318

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	948	1,156	895
40.35 Appropriation rescinded	-6		
40.36 Unobligated balance rescinded	-20		
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-2		
40.79 Reduction pursuant to P.L. 107-64	-13		
43.00 Appropriation (total discretionary)	939	1,122	895
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	296	354	354
68.10 Change in uncollected customer payments from Federal sources (unexpired)	62		

68.90 Spending authority from offsetting collections (total discretionary)	358	354	354
70.00 Total new budget authority (gross)	1,297	1,478	1,249

Change in obligated balances:

72.40 Obligated balance, start of year	742	967	1,123
73.10 Total new obligations	1,484	1,383	1,291
73.20 Total outlays (gross)	-1,076	-1,227	-1,373
73.40 Adjustments in expired accounts (net)	-31		
73.45 Recoveries of prior year obligations	-94		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-62		
74.10 Change in uncollected customer payments from Federal sources (expired)	3		
74.40 Obligated balance, end of year	967	1,123	1,042

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	465	486	461
86.93 Outlays from discretionary balances	611	740	910
87.00 Total outlays (gross)	1,076	1,227	1,373

Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-117	-354	-354
88.40 Non-Federal sources	-174		
88.90 Total, offsetting collections (cash)	-291	-354	-354
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-62		
88.96 Portion of offsetting collections (cash) credited to expired accounts	-5		

Net budget authority and outlays:

89.00 Budget authority	939	1,124	895
90.00 Outlays	785	873	1,019

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	929	1,114	885
90.00 Outlays	775	863	1,009

Budget Plan (in millions of dollars)

Identification code 17-1205-0-1-051	2001 actual	2002 est.	2003 est.
Direct:			
0701 Major construction	837	1,097	803
0702 Minor construction	12	13	23
0703 Planning	71	34	68
0791 Total direct	910	1,133	885
0801 Reimbursable	298	354	354
0893 Total budget plan	1,218	1,497	1,249

Object Classification (in millions of dollars)

Identification code 17-1205-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	117	123	127
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	4	4	4
11.9 Total personnel compensation	123	129	133
12.1 Civilian personnel benefits	35	37	35
13.0 Benefits for former personnel	3		
21.0 Travel and transportation of persons	9	4	5
22.0 Transportation of things	2	1	1
23.2 Rental payments to others	2	1	1
23.3 Communications, utilities, and miscellaneous charges	5	8	12
24.0 Printing and reproduction	3	1	1
25.3 Other purchases of goods and services from Government accounts	7		
25.7 Operation and maintenance of equipment	1		

26.0	Supplies and materials	4	3	3
31.0	Equipment	4	4	5
32.0	Land and structures	900	840	741
99.0	Direct obligations	1,098	1,028	937
99.0	Reimbursable obligations	386	355	354
99.9	Total new obligations	1,484	1,383	1,291

Personnel Summary

Identification code 17-1205-0-1-051	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	1,831	1,894	1,884
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	516	274	351

MILITARY CONSTRUCTION, AIR FORCE

[(INCLUDING RESCISSION)]

For acquisition, construction, installation, and equipment of temporary or permanent public works, military installations, facilities, and real property for the Air Force as currently authorized by law, **[\$1,194,880,000] \$644,090,000**, to remain available until September 30, **[2006] 2007: Provided**, That of this amount, not to exceed **[\$83,210,000] \$41,496,000** shall be available for study, planning, design, architect and engineer services, as authorized by law, unless the Secretary of Defense determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of his determination and the reasons therefor: **Provided further**, That of the funds appropriated for "Military Construction, Air Force" under previous Military Construction Appropriations Acts, \$4,000,000 are rescinded. *(Military Construction Appropriations Act, 2002.)*

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Military Construction, Air Force", \$46,700,000, to remain available until expended: Provided, That these funds shall be obligated from amounts made available in Public Law 107-38. **]** *(Emergency Supplemental Act, 2002.)*

Program and Financing (in millions of dollars)

Identification code 57-3300-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Major construction	750	1,012	565
00.02 Minor construction	27	45	18
00.03 Planning	115	125	104
00.04 Supporting Activities	3	2	2
09.01 Reimbursable program	1		
10.00 Total new obligations	896	1,184	689
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	161	165	205
22.00 New budget authority (gross)	886	1,224	644
22.10 Resources available from recoveries of prior year obligations	17		
22.21 Unobligated balance transferred to other accounts	-5		
22.22 Unobligated balance transferred from other accounts	4		
23.90 Total budgetary resources available for obligation	1,063	1,389	849
23.95 Total new obligations	-896	-1,184	-689
23.98 Unobligated balance expiring or withdrawn	-2		
24.40 Unobligated balance carried forward, end of year	165	205	159
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	892	1,241	644
40.35 Appropriation rescinded	-5		
40.36 Unobligated balance rescinded		-4	
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-2		
40.79 Reduction pursuant to P.L. 107-64		-13	
43.00 Appropriation (total discretionary)	885	1,224	644
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1		
70.00 Total new budget authority (gross)	887	1,224	644

Change in obligated balances:			
72.40 Obligated balance, start of year	978	1,117	1,393
73.10 Total new obligations	896	1,184	689
73.20 Total outlays (gross)	-740	-908	-1,034
73.45 Recoveries of prior year obligations	-17		
74.40 Obligated balance, end of year	1,117	1,393	1,048

Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	77	159	84
86.93 Outlays from discretionary balances	662	749	950
87.00 Total outlays (gross)	740	908	1,034

Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-1		

Net budget authority and outlays:			
89.00 Budget authority	885	1,224	644
90.00 Outlays	739	908	1,034

Budget Plan (in millions of dollars)

Identification code 57-3300-0-1-051	2001 actual	2002 est.	2003 est.
0701 Major construction	796	1,124	591
0702 Minor construction	11	12	12
0703 Planning	71	92	41
0704 Supporting Activities	4		
0791 Subtotal	881	1,228	644
0801 Reimbursable program	1		
0893 Total budget plan	883	1,228	644

Object Classification (in millions of dollars)

Identification code 57-3300-0-1-051	2001 actual	2002 est.	2003 est.
32.0 Direct obligations: Land and structures (direct)	895	1,184	689
99.0 Reimbursable obligations: Reimbursable obligations	1		
99.9 Total new obligations	896	1,184	689

MILITARY CONSTRUCTION, DEFENSE-WIDE

(INCLUDING TRANSFER [AND RESCISSIONS] OF FUNDS)

For acquisition, construction, installation, and equipment of temporary or permanent public works, installations, facilities, and real property for activities and agencies of the Department of Defense (other than the military departments), as currently authorized by law, **[\$840,558,000] \$740,535,000**, to remain available until September 30, **[2006] 2007: Provided**, That such amounts of this appropriation as may be determined by the Secretary of Defense may be transferred to such appropriations of the Department of Defense available for military construction or family housing as he may designate, to be merged with and to be available for the same purposes, and for the same time period, as the appropriation or fund to which transferred: **Provided further**, That of the amount appropriated, not to exceed **[\$66,496,000] \$44,132,000** shall be available for study, planning, design, architect and engineer services, as authorized by law, unless the Secretary of Defense determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of his determination and the reasons therefor: **Provided further**, That of the funds appropriated for "Military Construction, Defense-wide" under Public Law 106-246, \$65,280,000 are rescinded: **Provided further**, That of the funds appropriated for "Military Construction, Defense-wide" under previous Military Construction Appropriations Acts, \$4,000,000 are rescinded. *(Military Construction Appropriations Act, 2002.)*

[(INCLUDING TRANSFER OF FUNDS)]

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Military Construction, Defense-wide", \$35,000,000 to remain available until expended, to be obligated from amounts made available in Public Law 107-38: Provided, That such amount shall be available for transfer to "Military Construction, Army". **]** *(Emergency Supplemental Act, 2002.)*

General and special funds—Continued**MILITARY CONSTRUCTION, DEFENSE-WIDE—Continued****[(INCLUDING TRANSFER OF FUNDS)]—Continued****Program and Financing (in millions of dollars)**

Identification code 97-0500-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Major construction	694	771	689
00.02 Minor construction	13	10	13
00.03 Planning	75	33	31
10.00 Total new obligations	781	813	733
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	530	555	539
22.00 New budget authority (gross)	799	798	741
22.10 Resources available from recoveries of prior year obligations	19		
22.21 Unobligated balance transferred to other accounts	-3		
22.22 Unobligated balance transferred from other accounts	3		
23.90 Total budgetary resources available for obligation	1,347	1,353	1,279
23.95 Total new obligations	-781	-813	-733
23.98 Unobligated balance expiring or withdrawn	-11		
24.40 Unobligated balance carried forward, end of year	555	539	546
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	815	876	741
40.35 Appropriation rescinded	-14		
40.36 Unobligated balance rescinded		-69	
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-2		
40.79 Reduction pursuant to P.L. 107-64		-9	
43.00 Appropriation (total discretionary)	799	798	741
Change in obligated balances:			
72.40 Obligated balance, start of year	669	723	781
73.10 Total new obligations	781	813	733
73.20 Total outlays (gross)	-698	-755	-766
73.40 Adjustments in expired accounts (net)	-11		
73.45 Recoveries of prior year obligations	-19		
74.40 Obligated balance, end of year	723	781	749
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	103	108	100
86.93 Outlays from discretionary balances	595	647	666
87.00 Total outlays (gross)	698	755	766
Net budget authority and outlays:			
89.00 Budget authority	799	797	741
90.00 Outlays	698	755	766

Budget Plan (in millions of dollars)

Identification code 97-0500-0-1-051	2001 actual	2002 est.	2003 est.
0701 Major construction	635	776	662
0702 Minor construction	14	24	16
0703 Planning	93	66	44
0704 Program activities			18
0893 Total budget plan	743	866	741

Object Classification (in millions of dollars)

Identification code 97-0500-0-1-051	2001 actual	2002 est.	2003 est.
25.2 Other services		1	1
25.5 Research and development contracts		1	
32.0 Land and structures	781	811	732
99.9 Total new obligations	781	813	733

**NORTH ATLANTIC TREATY ORGANIZATION
SECURITY INVESTMENT PROGRAM**

For the United States share of the cost of the North Atlantic Treaty Organization Security Investment Program for the acquisition

and construction of military facilities and installations (including international military headquarters) and for related expenses for the collective defense of the North Atlantic Treaty Area as authorized in Military Construction Authorization Acts and section 2806 of title 10, United States Code, **[\$162,600,000] \$168,200,000**, to remain available until expended. (*Military Construction Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 97-0804-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program Activity	80	199	179
10.00 Total new obligations (object class 32.0)	80	199	179
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	24	120	94
22.00 New budget authority (gross)	175	174	179
23.90 Total budgetary resources available for obligation	199	293	273
23.95 Total new obligations	-80	-199	-179
24.40 Unobligated balance carried forward, end of year	120	94	94
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	172	163	168
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	3	11	11
70.00 Total new budget authority (gross)	175	174	179
Change in obligated balances:			
72.40 Obligated balance, start of year	326	304	126
73.10 Total new obligations	80	199	179
73.20 Total outlays (gross)	-101	-377	-274
74.40 Obligated balance, end of year	304	126	31
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	101	93	95
86.93 Outlays from discretionary balances		285	180
87.00 Total outlays (gross)	101	377	274
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-3	-11	-11
Net budget authority and outlays:			
89.00 Budget authority	172	163	168
90.00 Outlays	98	366	263

MILITARY CONSTRUCTION, ARMY NATIONAL GUARD

For construction, acquisition, expansion, rehabilitation, and conversion of facilities for the training and administration of the Army National Guard, and contributions therefor, as authorized by chapter 1803 of title 10, United States Code, and Military Construction Authorization Acts, **[\$405,565,000] \$101,595,000**, to remain available until September 30, **[2006] 2007**. (*Military Construction Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 21-2085-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Major construction	219	288	177
00.02 Minor construction		2	3
00.03 Planning	35	13	14
10.00 Total new obligations	254	303	194
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	152	222	319
22.00 New budget authority (gross)	285	401	102
22.10 Resources available from recoveries of prior year obligations	39		
23.90 Total budgetary resources available for obligation	476	623	421

23.95	Total new obligations	-254	-303	-194
23.98	Unobligated balance expiring or withdrawn	-1		
24.40	Unobligated balance carried forward, end of year	222	319	227

New budget authority (gross), detail:

Discretionary:				
40.00	Appropriation	286	406	102
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
40.79	Reduction pursuant to P.L. 107-64		-5	
43.00	Appropriation (total discretionary)	285	401	102

Change in obligated balances:

72.40	Obligated balance, start of year	222	280	337
73.10	Total new obligations	254	303	194
73.20	Total outlays (gross)	-155	-246	-305
73.40	Adjustments in expired accounts (net)	-1		
73.45	Recoveries of prior year obligations	-39		
74.40	Obligated balance, end of year	280	337	226

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	14	29	7
86.93	Outlays from discretionary balances	141	217	298
87.00	Total outlays (gross)	155	246	305

Net budget authority and outlays:

89.00	Budget authority	285	401	102
90.00	Outlays	155	246	305

Budget Plan (in millions of dollars)

Identification code 21-2085-0-1-051				
		2001 actual	2002 est.	2003 est.
0701	Major construction	222	349	82
0702	Minor construction	38	16	5
0703	Planning	26	36	15
0893	Total budget plan	286	401	102

Object Classification (in millions of dollars)

Identification code 21-2085-0-1-051				
		2001 actual	2002 est.	2003 est.
25.2	Other services			7
25.3	Other purchases of goods and services from Government accounts	2	2	60
32.0	Land and structures	252	301	127
99.9	Total new obligations	254	303	194

MILITARY CONSTRUCTION, AIR NATIONAL GUARD

For construction, acquisition, expansion, rehabilitation, and conversion of facilities for the training and administration of the Air National Guard, and contributions therefor, as authorized by chapter 1803 of title 10, United States Code, and Military Construction Authorization Acts, **[\$253,386,000] \$53,473,000**, to remain available until September 30, **[2006] 2007**. (*Military Construction Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 57-3830-0-1-051				
Obligations by program activity:				
00.01	Major construction	138	234	113
00.02	Minor construction	22	6	5
00.03	Planning	27	9	9
10.00	Total new obligations (object class 32.0)	187	249	127
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	102	125	127
22.00	New budget authority (gross)	210	250	53
22.10	Resources available from recoveries of prior year obligations	1		
23.90	Total budgetary resources available for obligation	313	375	180
23.95	Total new obligations	-187	-249	-127
24.40	For completion of prior year budget plans	125	127	54

New budget authority (gross), detail:

Discretionary:				
40.00	Appropriation	210	253	53
40.79	Reduction pursuant to P.L. 107-64		-3	
43.00	Appropriation (total discretionary)	210	250	53

Change in obligated balances:

72.40	Obligated balance, start of year	267	225	252
73.10	Total new obligations	187	249	127
73.20	Total outlays (gross)	-228	-222	-220
73.45	Recoveries of prior year obligations	-1		
74.40	Obligated balance, end of year	225	252	158

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	5	22	5
86.93	Outlays from discretionary balances	223	200	215
87.00	Total outlays (gross)	228	222	220

Net budget authority and outlays:

89.00	Budget authority	210	250	53
90.00	Outlays	228	222	220

Budget Plan (in millions of dollars)

Identification code 57-3830-0-1-051				
		2001 actual	2002 est.	2003 est.
0701	Major construction	182	237	41
0702	Minor construction	8	7	4
0703	Planning	20	7	8
0893	Total budget plan	210	251	53

MILITARY CONSTRUCTION, ARMY RESERVE

For construction, acquisition, expansion, rehabilitation, and conversion of facilities for the training and administration of the Army Reserve as authorized by chapter 1803 of title 10, United States Code, and Military Construction Authorization Acts, **[\$167,019,000] \$58,779,000**, to remain available until September 30, **[2006] 2007**. (*Military Construction Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 21-2086-0-1-051				
		2001 actual	2002 est.	2003 est.
Obligations by program activity:				
00.01	Major construction	127	132	67
00.02	Minor construction	3	2	2
00.03	Planning	9	7	7
10.00	Total new obligations	139	141	76
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	83	55	79
22.00	New budget authority (gross)	109	165	59
22.10	Resources available from recoveries of prior year obligations	2		
23.90	Total budgetary resources available for obligation	194	220	138
23.95	Total new obligations	-139	-141	-76
24.40	Unobligated balance carried forward, end of year	55	79	61

New budget authority (gross), detail:

Discretionary:				
40.00	Appropriation	109	167	59
40.79	Reduction pursuant to P.L. 107-64		-2	
43.00	Appropriation (total discretionary)	109	165	59

Change in obligated balances:

72.40	Obligated balance, start of year	92	163	160
73.10	Total new obligations	139	141	76
73.20	Total outlays (gross)	-66	-144	-121
73.45	Recoveries of prior year obligations	-2		
74.40	Obligated balance, end of year	163	160	116

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	8	21	7
86.93	Outlays from discretionary balances	58	123	113
87.00	Total outlays (gross)	66	144	121

General and special funds—Continued**MILITARY CONSTRUCTION, ARMY RESERVE—Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 21-2086-0-1-051	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	109	165	59
90.00 Outlays	66	144	121

Budget Plan (in millions of dollars)

Identification code 21-2086-0-1-051	2001 actual	2002 est.	2003 est.
0701 Major construction	94	154	49
0702 Minor construction	3	3	3
0703 Planning	12	8	7
0893 Total budget plan	108	165	59

Object Classification (in millions of dollars)

Identification code 21-2086-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
23.2 Rental payments to others	3	2	2
25.1 Advisory and assistance services	1		
25.2 Other services	2	7	6
Other purchases of goods and services from Government accounts:			
25.3 Other purchases of goods and services from Government accounts	4	10	10
25.3 Other purchases of goods and services from Government accounts	6	27	24
32.0 Land and structures	121	94	33
99.0 Direct obligations	137	140	75
99.5 Below reporting threshold	2	1	1
99.9 Total new obligations	139	141	76

MILITARY CONSTRUCTION, NAVAL RESERVE**[(INCLUDING RESCISSIONS)]**

For construction, acquisition, expansion, rehabilitation, and conversion of facilities for the training and administration of the reserve components of the Navy and Marine Corps as authorized by chapter 1803 of title 10, United States Code, and Military Construction Authorization Acts, [\$53,201,000] \$51,554,000, to remain available until September 30, [2006: *Provided*, That of the funds appropriated for "Military Construction, Naval Reserve" under Public Law 106-246, \$925,000 are rescinded] 2007. (*Military Construction Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 17-1235-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Major construction	58	41	45
00.02 Minor construction	3		1
00.03 Planning	6	2	2
10.00 Total new obligations (object class 32.0)	67	43	48

Budgetary resources available for obligation:

21.40 For completion of prior year budget plans	15	9	18
22.00 New budget authority (gross)	62	51	52
22.21 Unobligated balance transferred to other accounts	-1		
23.90 Total budgetary resources available for obligation	76	60	70
23.95 Total new obligations	-67	-43	-48
24.40 Unobligated balance carried forward, end of year	9	18	22

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	64	53	52
40.36 Unobligated balance rescinded	-2	-1	
40.79 Reduction pursuant to P.L. 107-64		-1	
43.00 Appropriation (total discretionary)	62	51	52

Change in obligated balances:

72.40 Obligated balance, start of year	5	70	66
73.10 Total new obligations	67	43	48
73.20 Total outlays (gross)	-1	-48	-55
74.40 Obligated balance, end of year	70	66	59

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	3	9	9
86.93 Outlays from discretionary balances	-2	38	46
87.00 Total outlays (gross)	1	48	55

Net budget authority and outlays:

89.00 Budget authority	62	51	52
90.00 Outlays	1	48	55

Budget Plan (in millions of dollars)

Identification code 17-1235-0-1-051	2001 actual	2002 est.	2003 est.
0701 Major construction	60	51	48
0702 Minor construction			1
0703 Planning	4	2	3
0893 Total budget plan	63	53	52

MILITARY CONSTRUCTION, AIR FORCE RESERVE

For construction, acquisition, expansion, rehabilitation, and conversion of facilities for the training and administration of the Air Force Reserve as authorized by chapter 1803 of title 10, United States Code, and Military Construction Authorization Acts, [\$74,857,000] \$31,900,000, to remain available until September 30, [2006] 2007. (*Military Construction Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 57-3730-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Major construction	24	60	28
00.02 Minor construction	9	4	5
00.03 Planning	4	4	4
10.00 Total new obligations (object class 32.0)	37	68	37

Budgetary resources available for obligation:

21.40 For completion of prior year budget plans	6	6	12
22.00 New budget authority (gross)	37	74	32
23.90 Total budgetary resources available for obligation	43	80	44
23.95 Total new obligations	-37	-68	-37
24.40 For completion of prior year budget plans	6	12	8

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	37	75	32
40.79 Reduction pursuant to P.L. 107-64		-1	
43.00 Appropriation (total discretionary)	37	74	32

Change in obligated balances:

72.40 Obligated balance, start of year	69	62	80
73.10 Total new obligations	37	68	37
73.20 Total outlays (gross)	-44	-49	-62
74.40 Obligated balance, end of year	62	80	55

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	2	6	3
86.93 Outlays from discretionary balances	43	43	59
87.00 Total outlays (gross)	44	49	62

Net budget authority and outlays:

89.00 Budget authority	37	74	32
90.00 Outlays	44	49	62

Budget Plan (in millions of dollars)

Identification code 57-3730-0-1-051	2001 actual	2002 est.	2003 est.
0701 Major construction	29	63	23

departments' housing accounts into the Family Housing Improvement Fund when procurement actions are finalized.

The budget plan for each appropriation is shown as a separate table immediately following the program and financing schedules for those appropriations that are available for obligation for more than one year.

Resources presented under the Family Housing title contribute primarily to achieving the Department's annual GPRA performance goals of assuring readiness and sustainability. Performance targets in support of these goals contribute to the Department's efforts to mitigate force management and operational risk, as directed in the 2001 Quadrennial Defense Review.

Federal Funds

General and special funds:

FAMILY HOUSING CONSTRUCTION, ARMY

For expenses of family housing for the Army for construction, including acquisition, replacement, addition, expansion, extension and alteration, as authorized by law, **[\$312,742,000] \$283,346,000**, to remain available until September 30, **[2006] 2007**. (*Military Construction Appropriations Act, 2002*.)

Program and Financing (in millions of dollars)

Identification code 21-0720-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Construction of new housing	179	170	179
00.03 Post acquisition construction	61	78	71
00.04 Planning and design	6	10	13
10.00 Total new obligations	246	258	263
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	103	68	120
22.00 New budget authority (gross)	228	309	283
22.10 Resources available from recoveries of prior year obligations	26		
22.21 Unobligated balance transferred to other accounts	-46		
22.22 Unobligated balance transferred from other accounts	3		
23.90 Total budgetary resources available for obligation	314	377	403
23.95 Total new obligations	-246	-258	-263
24.40 Unobligated balance carried forward, end of year	68	120	141
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	236	313	283
40.35 Appropriation rescinded	-1		
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
40.79 Reduction pursuant to P.L. 107-64		-4	
41.00 Transferred to other accounts	-6		
43.00 Appropriation (total discretionary)	228	309	283
Change in obligated balances:			
72.40 Obligated balance, start of year	153	270	382
73.10 Total new obligations	246	258	263
73.20 Total outlays (gross)	-102	-146	-201
73.45 Recoveries of prior year obligations	-26		
74.40 Obligated balance, end of year	270	382	443
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2	3	3
86.93 Outlays from discretionary balances	100	143	199
87.00 Total outlays (gross)	102	146	201
Net budget authority and outlays:			
89.00 Budget authority	228	309	283
90.00 Outlays	102	146	201
Budget Plan (in millions of dollars)			
Identification code 21-0720-0-1-051	2001 actual	2002 est.	2003 est.
0701 Construction of new housing	164	79	28
0703 Post acquisition construction	58	218	240

0704 Planning and design	7	11	16
0893 Total budget plan	228	309	283

Object Classification (in millions of dollars)

Identification code 21-0720-0-1-051	2001 actual	2002 est.	2003 est.
25.2 Other services	6	10	9
Other purchases of goods and services from Government accounts:			
25.3 Other purchases of goods and services from Government accounts	16	31	35
25.3 Other purchases of goods and services from Government accounts	22	20	21
32.0 Land and structures	202	197	198
99.9 Total new obligations	246	258	263

FAMILY HOUSING OPERATION AND MAINTENANCE, ARMY

For expenses of family housing for the Army for operation and maintenance, including debt payment, leasing, minor construction, principal and interest charges, and insurance premiums, as authorized by law, **[\$1,089,573,000] \$1,122,274,000**. (*Military Construction Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 21-0725-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.06 Operating expenses	387	422	393
00.07 Leasing	188	195	215
00.08 Maintenance of real property	405	441	485
00.12 Housing privatization support		20	26
00.13 Planning and design	3	3	3
09.01 Reimbursable program	17	22	22
10.00 Total new obligations	1,000	1,103	1,144
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2		
22.00 New budget authority (gross)	997	1,102	1,144
22.21 Unobligated balance transferred to other accounts	-59		
22.22 Unobligated balance transferred from other accounts	64		
23.90 Total budgetary resources available for obligation	1,004	1,102	1,144
23.95 Total new obligations	-1,000	-1,103	-1,144
23.98 Unobligated balance expiring or withdrawn	-4		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	985	1,093	1,122
40.35 Appropriation rescinded	-3		
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-2		
40.79 Reduction pursuant to P.L. 107-64		-12	
43.00 Appropriation (total discretionary)	980	1,081	1,122
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	15	22	22
68.10 Change in uncollected customer payments from Federal sources (unexpired)	2		
68.90 Spending authority from offsetting collections (total discretionary)	17	22	22
70.00 Total new budget authority (gross)	997	1,102	1,144
Change in obligated balances:			
72.40 Obligated balance, start of year	455	376	394
73.10 Total new obligations	1,000	1,103	1,144
73.20 Total outlays (gross)	-1,054	-1,084	-1,129
73.40 Adjustments in expired accounts (net)	-25		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-2		
74.10 Change in uncollected customer payments from Federal sources (expired)	3		
74.40 Obligated balance, end of year	376	394	409
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	727	796	825
86.93 Outlays from discretionary balances	327	289	304

87.00	Total outlays (gross)	1,054	1,084	1,129
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Offsets:

Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-4	-4	-4
88.40	Non-Federal sources	-13	-18	-18
88.90	Total, offsetting collections (cash)	-17	-22	-22
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-2		
88.96	Portion of offsetting collections (cash) credited to expired accounts	2		

Net budget authority and outlays:

89.00	Budget authority	980	1,080	1,122
90.00	Outlays	1,037	1,062	1,107

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	977	1,077	1,119
90.00	Outlays	1,034	1,059	1,104

Object Classification (in millions of dollars)

Identification code 21-0725-0-1-051	2001 actual	2002 est.	2003 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	23	27	31
11.3	Other than full-time permanent	5	4	3
11.5	Other personnel compensation	2	2	2
11.9	Total personnel compensation	30	33	36
12.1	Civilian personnel benefits	11	13	12
13.0	Benefits for former personnel	1		
21.0	Travel and transportation of persons	2	2	2
22.0	Transportation of things	3	4	4
23.1	Rental payments to GSA	1	1	1
23.2	Rental payments to others	109	90	99
23.3	Communications, utilities, and miscellaneous charges	89	184	108
25.1	Advisory and assistance services	6	2	2
25.2	Other services	31	68	113
Other purchases of goods and services from Gov- ernment accounts:				
25.3	Other purchases of goods and services from Government accounts	337	311	332
25.3	Other purchases of goods and services from Government accounts	13	14	15
25.3	Other purchases of goods and services from Government accounts	16	32	33
25.4	Operation and maintenance of facilities	277	248	308
25.7	Operation and maintenance of equipment	10	12	12
26.0	Supplies and materials	21	53	30
31.0	Equipment	15	14	15
32.0	Land and structures	11		
99.0	Direct obligations	983	1,081	1,122
99.0	Reimbursable obligations	16	21	21
99.5	Below reporting threshold	1	1	1
99.9	Total new obligations	1,000	1,103	1,144

Personnel Summary

Identification code 21-0725-0-1-051	2001 actual	2002 est.	2003 est.	
1001	Total compensable workyears: Full-time equivalent employment	781	787	771

Federal Funds

General and special funds:

FAMILY HOUSING CONSTRUCTION, NAVY AND MARINE CORPS

For expenses of family housing for the Navy and Marine Corps for construction, including acquisition, replacement, addition, expansion, extension and alteration, as authorized by law, **[\$331,780,000]** \$375,700,000, to remain available until September 30, **[2006]** 2007. (Military Construction Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 17-0730-0-1-051	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Construction of new housing	510	219	325
00.03	Post-acquisition construction	33	42	27
10.00	Total new obligations (object class 32.0)	542	262	352
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	412	187	229
22.00	New budget authority (gross)	412	328	376
22.10	Resources available from recoveries of prior year obli- gations	1		
22.21	Unobligated balance transferred to other accounts	-94	-25	
23.90	Total budgetary resources available for obligation	732	490	604
23.95	Total new obligations	-542	-262	-352
23.98	Unobligated balance expiring or withdrawn	-2		
24.40	Unobligated balance carried forward, end of year	187	229	252

New budget authority (gross), detail:

Discretionary:				
40.00	Appropriation	418	332	376
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
40.79	Reduction pursuant to P.L. 107-64		-4	
41.00	Transferred to other accounts	-5		
43.00	Appropriation (total discretionary)	412	328	376

Change in obligated balances:

72.40	Obligated balance, start of year	382	631	530
73.10	Total new obligations	542	262	352
73.20	Total outlays (gross)	-289	-363	-348
73.40	Adjustments in expired accounts (net)	-4		
73.45	Recoveries of prior year obligations	-1		
74.40	Obligated balance, end of year	631	530	534

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	16	16	19
86.93	Outlays from discretionary balances	273	347	330
87.00	Total outlays (gross)	289	363	348

Net budget authority and outlays:

89.00	Budget authority	412	328	376
90.00	Outlays	289	363	348

Budget Plan (in millions of dollars)

Identification code 17-0730-0-1-051	2001 actual	2002 est.	2003 est.	
0701	Construction of new housing	404	121	225
0703	Post acquisition construction	6	201	140
0704	Planning and design		6	11
0893	Total budget plan	410	328	376

FAMILY HOUSING OPERATION AND MAINTENANCE, NAVY AND MARINE CORPS

For expenses of family housing for the Navy and Marine Corps for operation and maintenance, including debt payment, leasing, minor construction, principal and interest charges, and insurance premiums, as authorized by law, **[\$910,095,000]** \$867,788,000. (Military Construction Appropriations Act, 2002.)

General and special funds—Continued**FAMILY HOUSING OPERATION AND MAINTENANCE, NAVY AND
MARINE CORPS—Continued****Program and Financing (in millions of dollars)**

Identification code 17-0735-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
02.01 Operating expenses	385	368	350
02.02 Leasing	119	123	129
02.03 Maintenance of real property	395	405	380
02.12 Housing privatization support		4	7
08.00 Subtotal, Direct	899	900	866
09.01 Reimbursable program	15	21	21
10.00 Total new obligations	914	921	887

Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3		
22.00 New budget authority (gross)	915	921	889
22.22 Unobligated balance transferred from other accounts	2		
23.90 Total budgetary resources available for obligation	920	921	889
23.95 Total new obligations	-914	-921	-887
23.98 Unobligated balance expiring or withdrawn	-6		

New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	902	910	868
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-2		
40.79 Reduction pursuant to P.L. 107-64		-10	
43.00 Appropriation (total discretionary)	900	900	868
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	15	21	21
70.00 Total new budget authority (gross)	915	921	889

Change in obligated balances:			
72.40 Obligated balance, start of year	544	512	530
73.10 Total new obligations	914	921	887
73.20 Total outlays (gross)	-921	-903	-903
73.40 Adjustments in expired accounts (net)	-22		
74.10 Change in uncollected customer payments from Federal sources (expired)	-1		
74.40 Obligated balance, end of year	512	530	516

Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	586	566	546
86.93 Outlays from discretionary balances	336	337	358
87.00 Total outlays (gross)	921	903	903

Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-14	-21	-21
88.40 Non-Federal sources	-3		
88.90 Total, offsetting collections (cash)	-17	-21	-21
Against gross budget authority only:			
88.96 Portion of offsetting collections (cash) credited to expired accounts	2		

Net budget authority and outlays:			
89.00 Budget authority	900	900	868
90.00 Outlays	905	882	882

Object Classification (in millions of dollars)

Identification code 17-0735-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
21.0 Travel and transportation of persons		2	2
23.2 Rental payments to others	149	122	114
23.3 Communications, utilities, and miscellaneous charges	189	177	160
25.1 Advisory and assistance services		7	4
25.2 Other services		43	44
Other purchases of goods and services from Government accounts:			
25.3 Other purchases of goods and services from Government accounts	6		

25.3 Other purchases of goods and services from Government accounts	286	285	242
25.4 Operation and maintenance of facilities	180	178	152
25.7 Operation and maintenance of equipment		1	
26.0 Supplies and materials	60	61	127
31.0 Equipment	28	24	21
99.0 Direct obligations	898	900	866
99.0 Reimbursable obligations	15	21	21
99.5 Below reporting threshold	1		
99.9 Total new obligations	914	921	887

Federal Funds**General and special funds:****FAMILY HOUSING CONSTRUCTION, AIR FORCE**

For expenses of family housing for the Air Force for construction, including acquisition, replacement, addition, expansion, extension and alteration, as authorized by law, **[\$550,703,000] \$676,694,000**, to remain available until September 30, **[2006] 2007**. (*Military Construction Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 57-0740-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
01.01 Construction of new housing	74	263	342
01.02 Post acquisition construction	114	209	205
01.03 Planning and design	28	24	32
10.00 Total new obligations (object class 32.0)	216	496	579

Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	162	189	195
22.00 New budget authority (gross)	247	540	677
22.10 Resources available from recoveries of prior year obligations	8		
22.21 Unobligated balance transferred to other accounts	-14	-37	
22.22 Unobligated balance transferred from other accounts	2		
23.90 Total budgetary resources available for obligation	405	692	872
23.95 Total new obligations	-216	-496	-579
24.40 Unobligated balance carried forward, end of year	189	195	293

New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	252	551	677
40.35 Appropriation rescinded	-4		
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
40.79 Reduction pursuant to P.L. 107-64		-6	
41.00 Transferred to other accounts		-5	
43.00 Appropriation (total discretionary)	247	539	677

Change in obligated balances:			
72.40 Obligated balance, start of year	386	322	524
73.10 Total new obligations	216	496	579
73.20 Total outlays (gross)	-272	-294	-428
73.45 Recoveries of prior year obligations	-8		
74.40 Obligated balance, end of year	322	524	674

Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	19	40	51
86.93 Outlays from discretionary balances	254	254	378
87.00 Total outlays (gross)	272	294	428

Net budget authority and outlays:			
89.00 Budget authority	247	539	677
90.00 Outlays	272	294	428

Budget Plan (in millions of dollars)

Identification code 57-0740-0-1-051	2001 actual	2002 est.	2003 est.
0701 Construction of new housing	73	149	416
0703 Post acquisition construction	157	366	226
0704 Planning and design	18	24	35

0893 Total budget plan 248 539 677

FAMILY HOUSING OPERATION AND MAINTENANCE, AIR FORCE

For expenses of family housing for the Air Force for operation and maintenance, including debt payment, leasing, minor construction, principal and interest charges, and insurance premiums, as authorized by law, **[\$844,715,000] \$844,419,000. (Military Construction Appropriations Act, 2002.)**

Program and Financing (in millions of dollars)

Identification code 57-0745-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
07.01 Operating expenses	315	280	244
07.02 Leasing	102	102	104
07.03 Maintenance of real property	420	432	476
07.12 Housing privatization support	21	21	
08.00 Direct Program, Subtotal	837	835	845
09.01 Reimbursable program	10	11	11
10.00 Total new obligations	847	846	856
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	847	846	855
22.22 Unobligated balance transferred from other accounts	10		
23.90 Total budgetary resources available for obligation	857	846	855
23.95 Total new obligations	-847	-846	-856
23.98 Unobligated balance expiring or withdrawn	-11		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	839	845	844
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-2		
40.79 Reduction pursuant to P.L. 107-64	-10		
43.00 Appropriation (total discretionary)	837	835	844
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	8	11	11
68.10 Change in uncollected customer payments from Federal sources (unexpired)	2		
68.90 Spending authority from offsetting collections (total discretionary)	10	11	11
70.00 Total new budget authority (gross)	847	846	856
Change in obligated balances:			
72.40 Obligated balance, start of year	355	347	352
73.10 Total new obligations	847	846	856
73.20 Total outlays (gross)	-833	-841	-836
73.40 Adjustments in expired accounts (net)	-20		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-2		
74.10 Change in uncollected customer payments from Federal sources (expired)	1		
74.40 Obligated balance, end of year	347	352	372
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	580	575	581
86.93 Outlays from discretionary balances	253	266	255
87.00 Total outlays (gross)	833	841	836
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	1		
88.40 Non-Federal sources	-10	-11	-11
88.90 Total, offsetting collections (cash)	-9	-11	-11
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-2		
88.96 Portion of offsetting collections (cash) credited to expired accounts	1		
Net budget authority and outlays:			
89.00 Budget authority	837	835	844
90.00 Outlays	824	830	825

Object Classification (in millions of dollars)

Identification code 57-0745-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
21.0 Travel and transportation of persons	8	9	9
22.0 Transportation of things	8	8	9
23.2 Rental payments to others	100	70	52
25.2 Other services	18	18	19
25.3 Other purchases of goods and services from Government accounts	108	112	116
26.0 Supplies and materials	377	391	405
31.0 Equipment	210	218	226
32.0 Land and structures	8	9	9
99.0 Direct obligations	837	835	845
99.0 Reimbursable obligations	10	11	11
99.9 Total new obligations	847	846	856

Federal Funds

General and special funds:

FAMILY HOUSING CONSTRUCTION, DEFENSE-WIDE

For expenses of family housing for the activities and agencies of the Department of Defense (other than the military departments) for construction, including acquisition, replacement, addition, expansion, extension, and alteration, as authorized by law, **\$5,480,000, to remain available until September 30, 2007.**

Program and Financing (in millions of dollars)

Identification code 97-0760-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.03 Post acquisition construction			4
10.00 Total new obligations (object class 32.0)			4
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			5
23.95 Total new obligations			-4
24.40 Unobligated balance carried forward, end of year			1
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation			5
Change in obligated balances:			
73.10 Total new obligations			4
73.32 Obligated balance transferred from other accounts			2
74.40 Obligated balance, end of year			5
Outlays (gross), detail:			
86.93 Outlays from discretionary balances			1
Net budget authority and outlays:			
89.00 Budget authority			5
90.00 Outlays			1

Budget Plan (in millions of dollars)

Identification code 97-0760-0-1-051	2001 actual	2002 est.	2003 est.
0603 Post acquisition construction			5

FAMILY HOUSING OPERATION AND MAINTENANCE, DEFENSE-WIDE

For expenses of family housing for the activities and agencies of the Department of Defense (other than the military departments) for operation and maintenance, leasing, and minor construction, as authorized by law, **\$42,432,000.**

Program and Financing (in millions of dollars)

Identification code 97-0765-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
02.01 Operating Expenses			5

General and special funds—Continued

FAMILY HOUSING OPERATION AND MAINTENANCE, DEFENSE-WIDE—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 97-0765-0-1-051	2001 actual	2002 est.	2003 est.
02.03 Leasing			36
02.04 Maintenance of Real Property			1
09.01 Reimbursable program			3
10.00 Total new obligations			45
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			45
23.95 Total new obligations			-45
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation			42
68.00 Spending authority from offsetting collections: Offsetting collections (cash)			3
70.00 Total new budget authority (gross)			45
Change in obligated balances:			
73.10 Total new obligations			45
73.20 Total outlays (gross)			-39
73.32 Obligated balance transferred from other accounts			29
74.40 Obligated balance, end of year			33
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority			32
86.93 Outlays from discretionary balances			9
87.00 Total outlays (gross)			39
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources			-3
Net budget authority and outlays:			
89.00 Budget authority			42
90.00 Outlays			36

Object Classification (in millions of dollars)

Identification code 97-0765-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
22.0 Transportation of things			1
23.2 Rental payments to others			22
23.3 Communications, utilities, and miscellaneous charges			3
25.3 Other purchases of goods and services from Government accounts			12
25.4 Operation and maintenance of facilities			1
31.0 Equipment			3
99.0 Direct obligations			42
99.0 Reimbursable obligations			3
99.9 Total new obligations			45

[FAMILY HOUSING, DEFENSE-WIDE]

[For expenses of family housing for the activities and agencies of the Department of Defense (other than the military departments) for construction, including acquisition, replacement, addition, expansion, extension and alteration, and for operation and maintenance, leasing, and minor construction, as authorized by law, as follows: for Construction, \$250,000 to remain available until September 30, 2006; for Operation and Maintenance, \$43,762,000; in all \$44,012,000.] (*Military Construction Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 97-0706-0-1-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
01.01 Family housing, construction	6	1	

Operation, maintenance, and interest payment:			
02.01 Operating expenses		5	
02.02 Leasing	40	37	
02.03 Maintenance of real property	1	1	
02.91 Direct Program by Activities—Subtotal (1 level)	41	43	
09.01 Reimbursable program	1	3	
10.00 Total new obligations	48	47	
Budgetary resources available for obligation:			
21.40 For completion of prior year budget plans	1		
22.00 New budget authority (gross)	46	47	
22.10 Resources available from recoveries of prior year obligations	5		
23.90 Total budgetary resources available for obligation	52	47	
23.95 Total new obligations	-48	-47	
23.98 Unobligated balance expiring or withdrawn	-4		

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	45	44	
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1	3	
70.00 Total new budget authority (gross)	46	47	

Change in obligated balances:

72.40 Obligated balance, start of year	32	28	
73.10 Total new obligations	48	47	
73.20 Total outlays (gross)	-42	-45	
73.31 Obligated balance transferred to other accounts		-30	
73.40 Adjustments in expired accounts (net)	-4		
73.45 Recoveries of prior year obligations	-5		
74.10 Change in uncollected customer payments from Federal sources (expired)	-1		
74.40 Obligated balance, end of year	28		

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	34	33	
86.93 Outlays from discretionary balances	8	14	
87.00 Total outlays (gross)	42	45	

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-1	-3	

Net budget authority and outlays:

89.00 Budget authority	45	44	
90.00 Outlays	42	42	

Budget Plan (in millions of dollars)

Identification code 97-0706-0-1-051	2001 actual	2002 est.	2003 est.
Direct:			
Operation, maintenance, and interest payment:			
Operation:			
0701 Operating expenses	1	5	
0702 Leasing	40	37	
0703 Maintenance of real property	1	1	
0791 Subtotal, operations, maintenance, and interest payments	42	43	
0801 Reimbursable program	1	3	
0893 Total budget plan	43	46	

Object Classification (in millions of dollars)

Identification code 97-0706-0-1-051	2001 actual	2002 est.	2003 est.
Direct obligations:			
22.0 Transportation of things	1	1	
23.2 Rental payments to others	22	22	
23.3 Communications, utilities, and miscellaneous charges	3	3	
25.3 Other purchases of goods and services from Government accounts	11	11	
25.4 Operation and maintenance of facilities	1	1	
31.0 Equipment	3	4	
32.0 Land and structures	6	2	
99.0 Direct obligations	47	44	

99.0	Reimbursable obligations	1	3
99.9	Total new obligations	48	47

Public enterprise funds:

[HOMEOWNERS ASSISTANCE FUND, DEFENSE]

[For the Homeowners Assistance Fund established by section 1013 of the Demonstration Cities and Metropolitan Development Act of 1966, as amended (42 U.S.C. 3374) \$10,119,000, to remain available until expended.] (*Military Construction Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 97-4090-0-3-051	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
09.01	Payment to homeowners (private sale and foreclosure assistance)	9	11	4
09.02	Other operating costs	6	9	6
09.03	Capital Investment: Acquisition of real property	10	12	4
09.99	Total reimbursable program	25	32	14
10.00	Total new obligations	25	32	14
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	12	47	39
22.00	New budget authority (gross)	34	24	14
22.10	Resources available from recoveries of prior year obligations	1
22.22	Unobligated balance transferred from other DoD accounts	25
23.90	Total budgetary resources available for obligation	72	71	53
23.95	Total new obligations	-25	-32	-14
24.40	Unobligated balance carried forward, end of year	47	39	39
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	10
42.00	Transferred from other accounts	25
43.00	Appropriation (total discretionary)	25	10
Spending authority from offsetting collections:				
68.00	Offsetting collections (cash)	12	15	14
68.10	Change in uncollected customer payments from Federal sources (unexpired)	-3	-1
68.90	Spending authority from offsetting collections (total discretionary)	9	14	14
70.00	Total new budget authority (gross)	34	24	14
Change in obligated balances:				
72.40	Obligated balance, start of year	3	2	15
73.10	Total new obligations	25	32	14
73.20	Total outlays (gross)	-28	-19	-14
73.45	Recoveries of prior year obligations	-1
74.00	Change in uncollected customer payments from Federal sources (unexpired)	3	1
74.40	Obligated balance, end of year	2	15	16
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	25	14	14
86.93	Outlays from discretionary balances	3	5
87.00	Total outlays (gross)	28	19	14
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-3	-1
88.40	Non-Federal sources	-9	-14	-14
88.90	Total, offsetting collections (cash)	-12	-15	-14
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	3	1
Net budget authority and outlays:				
89.00	Budget authority	25	10

90.00	Outlays	16	4
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Object Classification (in millions of dollars)

Identification code 97-4090-0-3-051	2001 actual	2002 est.	2003 est.	
Reimbursable obligations:				
25.3	Other purchases of goods and services from Government accounts	5	5
26.0	Supplies and materials	19	8
32.0	Land and structures	8	8	6
42.0	Insurance claims and indemnities	11
99.0	Reimbursable obligations	24	32	14
99.5	Below reporting threshold	1
99.9	Total new obligations	25	32	14

DEPARTMENT OF DEFENSE FAMILY HOUSING IMPROVEMENT FUND

For the Department of Defense Family Housing Improvement Fund, [\$2,000,000] \$2,000,000, to remain available until expended, for family housing initiatives undertaken pursuant to section 2883 of title 10, United States Code, providing alternative means of acquiring and improving military family housing, and supporting facilities. (*Military Construction Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 97-0834-0-1-051	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Direct loan subsidy	42	24
00.02	Guaranteed loan subsidy	2	13
00.10	Other programs	2	2	2
00.11	Direct Program Activity	38	114
10.00	Total new obligations	84	152	2
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	27	109	26
22.00	New budget authority (gross)	20	7	2
22.22	Unobligated balance transferred from other accounts	146	62
23.90	Total budgetary resources available for obligation	194	178	28
23.95	Total new obligations	-84	-152	-2
24.40	Unobligated balance carried forward, end of year	109	26	28
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	2	2
42.00	Transferred from other accounts	11	5
43.00	Appropriation (total discretionary)	11	7	2
Spending authority from offsetting collections: Offsetting collections (cash)				
68.00	9
70.00	Total new budget authority (gross)	20	7	2
Change in obligated balances:				
72.40	Obligated balance, start of year	55	99	117
73.10	Total new obligations	84	152	2
73.20	Total outlays (gross)	-41	-134	-60
74.40	Obligated balance, end of year	99	117	59
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	20	7	2
86.93	Outlays from discretionary balances	21	127	58
87.00	Total outlays (gross)	41	134	60
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from: Federal sources				
88.00	-9
Net budget authority and outlays:				
89.00	Budget authority	11	7	2
90.00	Outlays	32	134	60

Public enterprise funds—Continued

DEPARTMENT OF DEFENSE FAMILY HOUSING IMPROVEMENT FUND—Continued

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 97-0834-0-1-051	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Defense family housing direct loans	110	36	
115901 Total direct loan levels	110	36	
Direct loan subsidy (in percent):			
132001 Defense family housing direct loans	38.18	66.19	45.10
132901 Weighted average subsidy rate	38.18	66.19	45.10
Direct loan subsidy budget authority:			
133001 Defense family housing loan levels	42	24	
133901 Total subsidy budget authority	42	24	
Direct loan subsidy outlays:			
134001 Defense family housing loan levels		16	42
134901 Total subsidy outlays		16	42
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Defense family housing loan guarantees	48	221	
215901 Total loan guarantee levels	48	221	
Guaranteed loan subsidy (in percent):			
232001 Defense family housing loan guarantees	6.25	6.25	5.66
232901 Weighted average subsidy rate	6.25	6.25	5.66
Guaranteed loan subsidy budget authority:			
233001 Defense family housing loan guarantee levels	3	12	
233901 Total subsidy budget authority	3	12	
Guaranteed loan subsidy outlays:			
234001 Defense family housing loan guarantee levels	2	4	5
234901 Total subsidy outlays	2	4	5
Administrative expense data:			
351001 Budget authority	2	2	2
358001 Outlays from balances			
359001 Outlays from new authority	2	2	2

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond (including modifications of direct loans on loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

Object Classification (in millions of dollars)

Identification code 97-0834-0-1-051	2001 actual	2002 est.	2003 est.
25.2 Other services	2	2	2
33.0 Investments and loans	37	114	
41.0 Grants, subsidies, and contributions	45	36	
99.9 Total new obligations	84	152	2

DEPARTMENT OF DEFENSE, FAMILY HOUSING IMPROVEMENT, DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 97-4166-0-3-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Loans	110	36	
00.02 Interest Paid to Treasury		1	3
10.00 Total new obligations	110	37	3

Budgetary resources available for obligation:

22.00 New financing authority (gross)	110	37	3
23.95 Total new obligations	-110	-37	-3

New financing authority (gross), detail:

Mandatory:			
67.10 Authority to borrow	68	12	
69.00 Offsetting collections (cash)		17	45
69.10 Change in uncollected customer payments from Federal sources (unexpired)	42	8	-42
69.90 Spending authority from offsetting collections (total mandatory)	42	25	3
70.00 Total new financing authority (gross)	110	37	3

Change in obligated balances:

72.40 Obligated balance, start of year		68	63
73.10 Total new obligations	110	37	3
73.20 Total financing disbursements (gross)		-34	-110
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-42	-8	42
74.40 Obligated balance, end of year	68	63	
87.00 Total financing disbursements (gross)		34	110

Offsets:

Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Federal sources		-16	-42
88.40 Non-Federal sources: Interest received on loans		-1	-3
88.90 Total, offsetting collections (cash)		-17	-45
Against gross financing authority only:			
88.95 Change in receivables from program accounts	-42	-8	42

Net financing authority and financing disbursements:

89.00 Financing authority	68	12	
90.00 Financing disbursements		17	65

Status of Direct Loans (in millions of dollars)

Identification code 97-4166-0-3-051	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans			
1131 Direct loan obligations exempt from limitation		36	
1150 Total direct loan obligations		36	
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year			33
1231 Disbursements: Direct loan disbursements		33	110
1290 Outstanding, end of year		33	143

Balance Sheet (in millions of dollars)

Identification code 97-4166-0-3-051	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross			33	143
1405 Allowance for subsidy cost (-)			-16	-58
1499 Net present value of assets related to direct loans			17	85
1999 Total assets			17	85
LIABILITIES:				
2103 Federal liabilities: Debt			17	85
2999 Total liabilities			17	85
NET POSITION:				
3999 Total net position				
4999 Total liabilities and net position			17	85

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that re-

sulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

DEPARTMENT OF DEFENSE, FAMILY HOUSING IMPROVEMENT
GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 97-4167-0-3-051	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	14	16	28
22.00 New financing authority (gross)	3	12	
23.90 Total budgetary resources available for obligation	17	28	28
24.40 Unobligated balance carried forward, end of year	16	28	28
New financing authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	2	4	5
69.10 Change in uncollected customer payments from Federal sources (unexpired)	1	8	-5
69.90 Spending authority from offsetting collections (total mandatory)	3	12	
Change in obligated balances:			
72.40 Obligated balance, start of year	-14	-15	-23
73.20 Total financing disbursements (gross)	-1		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-1	-8	5
74.40 Obligated balance, end of year	-15	-23	-17
87.00 Total financing disbursements (gross)	1		
Offsets:			
Against gross financing authority and financing disbursements:			
88.00 Offsetting collections (cash) from: Federal sources	-2	-4	-5
Against gross financing authority only:			
88.95 Change in receivables from program accounts	-1	-8	5
Net financing authority and financing disbursements:			
89.00 Financing authority			
90.00 Financing disbursements	-1	-4	-5

Status of Guaranteed Loans (in millions of dollars)

Identification code 97-4167-0-3-051	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders			
2131 Guaranteed loan commitments exempt from limitation	48	221	
2150 Total guaranteed loan commitments	48	221	
2199 Guaranteed amount of guaranteed loan commitments	48	221	
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	29	70	139
2231 Disbursements of new guaranteed loans	41	70	88
2251 Repayments and prepayments		-1	-2
2290 Outstanding, end of year	70	139	225
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	70	139	225

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 97-4167-0-3-051	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury		2	4	5
1999 Total assets		2	4	5
LIABILITIES:				
2204 Non-Federal liabilities: Liabilities for loan guarantees		2	4	5
2999 Total liabilities		2	4	5
4999 Total liabilities and net position		2	4	5

REVOLVING AND MANAGEMENT FUNDS

Federal Funds

Resources presented under the Revolving and Management Funds title contribute primarily to achieving the Department's annual GPRA performance goals of assuring readiness and sustainability and reforming processes and organizations. Performance targets in support of these goals contribute to the Department's efforts to mitigate force management and operational risk and institutional risk, as directed in the 2001 Quadrennial Defense Review.

The DoD estimate for 2003 funds the full Government share of the accruing cost of retirement for current Civil Service Retirement System employees and the full accruing cost of post-retirement health benefits for current civilian employees and the post-retirement health costs of Medicare eligible retirees (and their dependents/survivors) of the Uniformed Services. Additional authorizing legislation is required.

Public enterprise funds:

NATIONAL DEFENSE STOCKPILE TRANSACTION FUND

Unavailable Collections (in millions of dollars)

Identification code 97-4555-0-3-051	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	691	691	834
Receipts:			
02.80 Offsetting collections, National defense stockpile transaction fund	650	471	324
04.00 Total: Balances and collections	1,341	1,162	1,158
Appropriations:			
05.00 National defense stockpile transaction fund	-650	-328	-173
05.99 Total appropriations	-650	-328	-173
07.99 Balance, end of year	691	834	985

Program and Financing (in millions of dollars)

Identification code 97-4555-0-3-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.04 Stockpile operations	67	65	76
09.07 Payments to receipt accounts	385	790	97
10.00 Total new obligations	453	856	174
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1,040	1,132	604
22.00 New budget authority (gross)	538	328	173
22.10 Resources available from recoveries of prior year obligations	7		
23.90 Total budgetary resources available for obligation	1,585	1,460	777
23.95 Total new obligations	-453	-856	-174
24.40 Unobligated balance carried forward, end of year	1,132	604	603
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	650	471	324

Public enterprise funds—Continued

NATIONAL DEFENSE STOCKPILE TRANSACTION FUND—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 97-4555-0-3-051	2001 actual	2002 est.	2003 est.
69.10 Change in uncollected customer payments from Federal sources (unexpired)	38		
69.45 Portion precluded from obligation (limitation on obligations)		-143	-151
69.61 Transferred to other accounts	-150		
69.90 Spending authority from offsetting collections (total mandatory)	538	328	173
Change in obligated balances:			
72.40 Obligated balance, start of year	60	16	17
73.10 Total new obligations	453	856	174
73.20 Total outlays (gross)	-452	-856	-175
73.45 Recoveries of prior year obligations	-7		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-38		
74.40 Obligated balance, end of year	16	17	16
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	300	328	138
86.98 Outlays from mandatory balances	152	528	37
87.00 Total outlays (gross)	452	856	175
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	38		
88.40 Non-Federal sources	-688	-471	-324
88.90 Total, offsetting collections (cash)	-650	-471	-324
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-38		
Net budget authority and outlays:			
89.00 Budget authority	-150	-143	-151
90.00 Outlays	-198	385	-149

The National Defense Stockpile is planned and operated under the authority of the Strategic and Critical Materials Stockpiling Act. The purpose of the Stockpile is to decrease or preclude a dangerous and costly dependence by the United States upon foreign sources for supplies of strategic and critical materials in times of national emergency. The quantities of the materials stockpiled as required by the Stockpiling Act are to be sufficient to sustain the United States during a national emergency involving military conflict that necessitates an expansion of the Armed Forces together with a significant mobilization of the economy of the United States.

The National Defense Stockpile Transaction Fund provides for the financing of acquisition, disposal and upgrading of strategic and critical stockpile materials, and all related expenses such as transportation, development of specifications, testing, quality studies, and relocation of materials, and operation of the Defense National Stockpile Center.

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	-150	-143	-151
90.00 Outlays	-199	384	-150

Object Classification (in millions of dollars)

Identification code 97-4555-0-3-051	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	13	14	15
11.5 Other personnel compensation	1	1	1

11.9 Total personnel compensation	14	15	16
12.1 Civilian personnel benefits	4	4	4
21.0 Travel and transportation of persons	1	1	1
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	13	14	12
23.2 Rental payments to others	3	3	3
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	19	15	26
25.3 Other purchases of goods and services from Government accounts	9	8	9
26.0 Supplies and materials	2	3	3
31.0 Equipment	1	1	1
92.0 Undistributed	385	790	97
99.9 Total new obligations	453	856	174

Personnel Summary

Identification code 97-4555-0-3-051	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	318	247	237

RESERVE MOBILIZATION INCOME INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 97-4179-0-3-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.00 Reimbursable program	1		
10.00 Total new obligations (object class 26.0)	1		
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	18	3	3
22.00 New budget authority (gross)	-13		
23.90 Total budgetary resources available for obligation	5	3	3
23.95 Total new obligations	-1		
24.40 Unobligated balance carried forward, end of year	3	3	3
New budget authority (gross), detail:			
Discretionary:			
40.36 Unobligated balance rescinded	-13		
Change in obligated balances:			
73.10 Total new obligations	1		
73.20 Total outlays (gross)	-1		
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	1		
Net budget authority and outlays:			
89.00 Budget authority	-13		
90.00 Outlays	1		

This fund pays claims and administrative costs for participating members of the insurance program. The program provided insurance coverage for Ready Reservists who elected to participate and who were involuntarily ordered to active duty in excess of 30 days. The program became operational on October 1, 1996. The program was terminated on November 18, 1997 by the National Defense Authorization Act of 1998 (P.L. 105-85). The 1998 Supplemental provided funding to complete benefit payments and close out the program.

PENTAGON RESERVATION MAINTENANCE REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 97-4950-0-4-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Operations	143	425	172
09.02 Renovation	319	865	162
10.00 Total new obligations	465	1,292	337

Budgetary resources available for obligation:

21.40	Unobligated balance carried forward, start of year	25	32	89
22.00	New budget authority (gross)	434	1,049	320
22.10	Resources available from recoveries of prior year obligations	38		
22.22	Unobligated balance transferred from other accounts		300	
23.90	Total budgetary resources available for obligation	497	1,381	410
23.95	Total new obligations	-465	-1,292	-337
24.40	Unobligated balance carried forward, end of year	32	89	73

New budget authority (gross), detail:

Mandatory:

69.00	Offsetting collections (cash)	643	1,049	320
69.10	Change in uncollected customer payments from Federal sources (unexpired)	-209		
69.90	Spending authority from offsetting collections (total mandatory)	434	1,049	320

Change in obligated balances:

72.40	Obligated balance, start of year	204	189	835
73.10	Total new obligations	465	1,292	337
73.20	Total outlays (gross)	-651	-646	-793
73.45	Recoveries of prior year obligations	-38		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	209		
74.40	Obligated balance, end of year	189	835	379

Outlays (gross), detail:

86.93	Outlays from discretionary balances	45	90	
86.97	Outlays from new mandatory authority	434	463	320
86.98	Outlays from mandatory balances	217	138	383
87.00	Total outlays (gross)	651	646	793

Offsets:

Against gross budget authority and outlays:

Offsetting collections (cash) from:

88.00	Federal sources	-643	-1,048	-319
88.40	Non-Federal sources		-1	-1
88.90	Total, offsetting collections (cash)	-643	-1,049	-320

Against gross budget authority only:

88.95	Change in uncollected customer payments from Federal sources (unexpired)	209		
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Net budget authority and outlays:

89.00	Budget authority			
90.00	Outlays	8	-403	473

Object Classification (in millions of dollars)

Identification code 97-4950-0-4-051	2001 actual	2002 est.	2003 est.	
Personnel compensation:				
11.1	Full-time permanent	32	34	35
11.5	Other personnel compensation	8	9	9
11.9	Total personnel compensation	40	43	44
12.1	Civilian personnel benefits	12	11	12
23.3	Communications, utilities, and miscellaneous charges	39	73	74
25.4	Operation and maintenance of facilities	180	378	125
26.0	Supplies and materials	6	7	8
31.0	Equipment	15	14	14
32.0	Land and structures	173	766	60
99.9	Total new obligations	465	1,292	337

Personnel Summary

Identification code 97-4950-0-4-051	2001 actual	2002 est.	2003 est.	
2001	Total compensable workyears: Full-time equivalent employment	755	759	759

NATIONAL DEFENSE SEALIFT FUND

For National Defense Sealift Fund programs, projects, and activities, and for expenses of the National Defense Reserve Fleet, as established by section 11 of the Merchant Ship Sales Act of 1946 (50 U.S.C. App. 1744), and for the necessary expenses to maintain and preserve a U.S.-flag merchant fleet to serve the national security

needs of the United States, [[\$432,408,000] \$934,129,000, to remain available until expended]: *Provided*, That none of the funds provided in this paragraph shall be used to award a new contract that provides for the acquisition of any of the following major components unless such components are manufactured in the United States: auxiliary equipment, including pumps, for all shipboard services; propulsion system components (that is; engines, reduction gears, and propellers); shipboard cranes; and spreaders for shipboard cranes: *Provided further*, That the exercise of an option in a contract awarded through the obligation of previously appropriated funds shall not be considered to be the award of a new contract: *Provided further*, That the Secretary of the military department responsible for such procurement may waive the restrictions in the first proviso on a case-by-case basis by certifying in writing to the Committees on Appropriations of the House of Representatives and the Senate that adequate domestic supplies are not available to meet Department of Defense requirements on a timely basis and that such an acquisition must be made in order to acquire capability for national security purposes: *Provided further*, That, notwithstanding any other provision of law, \$25,000,000 of the funds available under this heading shall be available only to finance the cost of constructing additional sealift capacity. (*Department of Defense Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 17-4557-0-4-051	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
09.01	Strategic sealift acquisitions		389	
09.02	Strategic sealift O&M	910	975	1,165
09.03	Ready Reserve Force	224	249	252
09.05	Research and Development	10	10	14
10.00	Total new obligations	1,143	1,233	1,820

Budgetary resources available for obligation:

21.40	Unobligated balance carried forward, start of year	392	268	268
22.00	New budget authority (gross)	1,044	1,233	1,739
22.21	Unobligated balance transferred to other accounts	-25		
23.90	Total budgetary resources available for obligation	1,411	1,501	2,007
23.95	Total new obligations	-1,143	-1,233	-1,820
24.40	Unobligated balance carried forward, end of year	268	268	187

New budget authority (gross), detail:

Discretionary:

40.00	Appropriation	401	432	934
40.72	Reduction pursuant to P.L. 107-117		-4	
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
43.00	Appropriation (total discretionary)	399	429	934

Spending authority from offsetting collections:

68.00	Offsetting collections (cash)	1,065	385	805
68.10	Change in uncollected customer payments from Federal sources (unexpired)	-420	420	
68.90	Spending authority from offsetting collections (total discretionary)	645	805	805
70.00	Total new budget authority (gross)	1,044	1,233	1,739

Change in obligated balances:

72.40	Obligated balance, start of year	362	398	348
73.10	Total new obligations	1,143	1,233	1,820
73.20	Total outlays (gross)	-1,522	-863	-1,558
73.40	Adjustments in expired accounts (net)	-5		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	420	-420	
74.40	Obligated balance, end of year	398	348	609

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	1,044	629	887
86.93	Outlays from discretionary balances	478	234	672
87.00	Total outlays (gross)	1,522	863	1,558

Offsets:

Against gross budget authority and outlays:

88.00	Offsetting collections (cash) from: Federal sources	-1,065	-385	-805
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Against gross budget authority only:

88.95	Change in uncollected customer payments from Federal sources (unexpired)	420	-420	
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Net budget authority and outlays:

89.00	Budget authority	399	429	934
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Public enterprise funds—Continued

NATIONAL DEFENSE SEALIFT FUND—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 17-4557-0-4-051	2001 actual	2002 est.	2003 est.
90.00 Outlays	457	478	753

In 2003, the Department of Defense will continue to reimburse the Department of Transportation for operations and maintenance of the Ready Reserve Force for funds appropriated to DOD.

Object Classification (in millions of dollars)

Identification code 17-4557-0-4-051	2001 actual	2002 est.	2003 est.
25.3 Purchases from revolving funds	744	805	886
31.0 Equipment	399	428	934
99.9 Total new obligations	1,143	1,233	1,820

DEFENSE WORKING CAPITAL FUNDS

For the Defense Working Capital Funds, **[\$1,312,986,000]** \$2,338,759,000: *Provided*, That during fiscal year **[2002]** 2003, funds in the Defense Working Capital Funds may be used for the purchase of not to exceed **[330]** 315 passenger carrying motor vehicles for replacement only for the Defense Security Service, and the purchase of not to exceed 7 vehicles for replacement only for the Defense Logistics Agency. (Department of Defense Appropriations Act, 2002; additional authorizing legislation required.)

WORKING CAPITAL FUND, ARMY

Program and Financing (in millions of dollars)

Identification code 97-493001-0-4-051	2001 actual	2002 est.	2003 est.
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Obligations by program activity:

Operating Expenses:			
09.01 Ordnance	660	690	686
09.02 Depot Maintenance	1,512	1,605	1,602
09.03 Information Services	100	106	96
09.04 Supply Management	3,733	3,771	5,018
Capital Investment:			
09.11 Ordnance	27	11	14
09.12 Depot Maintenance	15	27	37
09.14 Supply Management	60	58	57
10.00 Total new obligations	6,107	6,267	7,509

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	1,353	1,505	1,460
22.00 New budget authority (gross)	6,057	6,222	7,163
22.10 Resources available from recoveries of prior year obligations	196		
22.22 Unobligated balance transferred from other accounts	6		
23.90 Total budgetary resources available for obligation	7,612	7,727	8,623
23.95 Total new obligations	-6,107	-6,267	-7,509
24.40 Unobligated balance carried forward, end of year	1,505	1,460	1,114

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	113	273	425
Mandatory:			
66.10 Contract authority	810		
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	5,945	6,497	6,738
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-810	-548	
68.90 Spending authority from offsetting collections (total discretionary)	5,134	5,949	6,738
70.00 Total new budget authority (gross)	6,057	6,222	7,163

Change in obligated balances:

72.40 Obligated balance, start of year	821	1,130	1,011
73.10 Total new obligations	6,107	6,267	7,509

73.20 Total outlays (gross)	-6,413	-6,934	-6,648
73.45 Recoveries of prior year obligations	-196		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	810	548	
74.40 Obligated balance, end of year	1,130	1,011	1,873
75.01 Obligated balance, start of year: Contract authority	1,499	2,309	2,309
75.02 Obligated balance, end of year: Contract authority	2,309	2,309	2,309

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	5,247	6,222	6,489
86.93 Outlays from discretionary balances	1,166	712	159
87.00 Total outlays (gross)	6,413	6,934	6,648

Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-5,913	-6,460	-6,696
88.40 Non-Federal sources	-32	-37	-42
88.90 Total, offsetting collections (cash)	-5,945	-6,497	-6,738
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	810	548	

Net budget authority and outlays:

89.00 Budget authority	923	273	425
90.00 Outlays	468	437	-90

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	822	167	316
90.00 Outlays	367	331	-199

The Army Working Capital Fund finances the operations of Army industrial, commercial and support-type activities. The Fund finances, in accordance with section 2208 of 10 U.S.C. through receipt of funded customer reimbursable orders, operating and capital expenses (excluding Military Construction), and uses cost accounting and business management techniques to provide DoD managers with information that can be used to monitor, control, and minimize its cost of operations.

Status of Contract Authority (in millions of dollars)

Identification code 97-493001-0-4-051	2001 actual	2002 est.	2003 est.
0100 Balance, start of year	1,499	2,309	2,309
Contract authority:			
0200 Contract authority	810		
0700 Balance, end of year	2,309	2,309	2,309

Object Classification (in millions of dollars)

Identification code 97-493001-0-4-051	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	825	885	839
11.3 Other than full-time permanent	27	28	81
11.5 Other personnel compensation	91	82	80
11.7 Military personnel		5	5
11.9 Total personnel compensation	943	1,000	1,005
12.1 Civilian personnel benefits	317	305	315
13.0 Benefits for former personnel	5		3
21.0 Travel and transportation of persons	21	30	29
22.0 Transportation of things	43	49	52
23.1 Rental payments to GSA	4	5	5
23.2 Rental payments to others	3	1	1
23.3 Communications, utilities, and miscellaneous charges	54	65	67
24.0 Printing and reproduction	2		
25.1 Advisory and assistance services	62	84	79
25.2 Other services	217	148	153
Other purchases of goods and services from Government accounts:			
25.3 Purchases of goods and services from other Federal Agencies	241	236	238
25.3 Purchases from revolving funds	989	813	863

25.4	Operation and maintenance of facilities including GOCOs	50	54	58
25.5	Research and development contracts	2		
25.7	Contract operation and maintenance of equipment including ADP hard/software	279	143	176
26.0	Supplies and materials	2,792	3,257	4,388
31.0	Equipment	74	77	77
32.0	Land and structures	9		
99.9	Total new obligations	6,107	6,267	7,509

Personnel Summary

Identification code 97-493001-0-4-051	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	20,845	20,979	20,105

WORKING CAPITAL FUND, NAVY

Program and Financing (in millions of dollars)

Identification code 97-493002-0-4-051	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Operating expenses:				
09.02	Depot Maintenance—Shipyards	2,681	2,210	2,257
09.03	Depot maintenance—Aviation	2,383	1,965	1,963
09.05	Depot maintenance—Other	184	202	211
09.06	Base support	2,150	1,700	1,662
09.07	Transportation	1,573	1,521	1,584
09.08	Research and Development Activities	7,243	7,890	7,802
Additional operating expenses:				
09.10	Information Services	1		
09.11	Supply Management	6,049	7,272	6,905
Capital Investment:				
09.20	Supply Management	47	82	52
09.21	Depot maintenance—Shipyards	72	113	42
09.22	Depot maintenance—Aviation	48	51	47
09.24	Depot maintenance—Other	1	5	3
09.25	Base support	17	18	18
09.26	Transportation	7	10	14
09.27	Research and development activities	122	123	116
09.28	Information Services	1		
10.00	Total new obligations	22,579	23,163	22,676

Budgetary resources available for obligation:

21.40	Unobligated balance carried forward, start of year	2,093	3,103	2,913
22.00	New budget authority (gross)	23,298	23,108	22,727
22.10	Resources available from recoveries of prior year obligations	328		
22.22	Unobligated balance transferred from other DoD Accounts	44		
22.60	Portion applied to repay debt	-78	-136	-133
22.75	Balance of contract authority withdrawn	-2		
23.90	Total budgetary resources available for obligation	25,683	26,076	25,507
23.95	Total new obligations	-22,579	-23,163	-22,676
24.40	Unobligated balance carried forward, end of year	3,103	2,913	2,831

New budget authority (gross), detail:

Discretionary:				
40.00	Appropriation	543	398	424
Mandatory:				
66.10	Contract authority	613		
Spending authority from offsetting collections:				
Discretionary:				
68.00	Offsetting collections (cash)	21,174	23,357	22,303
68.10	Change in uncollected customer payments from Federal sources (unexpired)	968	-647	
68.90	Spending authority from offsetting collections (total discretionary)	22,142	22,710	22,303
70.00	Total new budget authority (gross)	23,298	23,108	22,727

Change in obligated balances:

72.40	Obligated balance, start of year	3,627	2,958	2,784
73.10	Total new obligations	22,579	23,163	22,676
73.20	Total outlays (gross)	-21,951	-23,984	-22,920
73.45	Recoveries of prior year obligations	-328		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-968	647	

74.40	Obligated balance, end of year	2,958	2,784	2,540
75.01	Obligated balance, start of year: Contract authority	4,246	4,857	4,857
75.02	Obligated balance, end of year: Contract authority	4,857	4,857	4,857

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	18,397	19,702	22,676
86.93	Outlays from discretionary balances	3,554	4,282	244
87.00	Total outlays (gross)	21,951	23,984	22,920

Offsets:

Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-20,901	-23,081	-22,031
88.40	Non-Federal sources	-273	-276	-272
88.90	Total, offsetting collections (cash)	-21,174	-23,357	-22,303
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-968	647	

Net budget authority and outlays:

89.00	Budget authority	1,155	398	424
90.00	Outlays	778	627	617

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	758		51
90.00	Outlays	381	229	244

The Navy Working Capital Fund finances the operations of Navy industrial, commercial and support-type activities. The Fund finances, in accordance with section 2208 of 10 U.S.C. through receipt of funded customer reimbursable orders, operating and capital expenses (excluding Military Construction), and uses cost accounting and business management techniques to provide DoD managers with information that can be used to monitor, control, and minimize its cost of operations.

Status of Contract Authority (in millions of dollars)

Identification code 97-493002-0-4-051	2001 actual	2002 est.	2003 est.	
0100	Balance, start of year	4,246	4,857	4,857
Contract authority:				
0200	Contract authority	613		
0600	Balance of contract authority withdrawn	-2		
0700	Balance, end of year	4,857	4,857	4,857

Object Classification (in millions of dollars)

Identification code 97-493002-0-4-051	2001 actual	2002 est.	2003 est.	
Personnel compensation:				
11.1	Full-time permanent	4,628	4,696	4,335
11.3	Other than full-time permanent	46	53	51
11.5	Other personnel compensation	491	457	416
11.7	Military personnel	129	127	122
11.8	Special personal services payments	2	1	1
11.9	Total personnel compensation	5,296	5,334	4,925
12.1	Civilian personnel benefits	1,593	1,623	1,500
13.0	Benefits for former personnel	34	55	47
21.0	Travel and transportation of persons	287	308	291
22.0	Transportation of things	167	168	166
23.1	Rental payments to GSA	1	1	1
23.2	Rental payments to others	485	513	513
23.3	Communications, utilities, and miscellaneous charges	799	755	709
24.0	Printing and reproduction	19	30	30
25.1	Advisory and assistance services	78	68	65
25.2	Other services	740	663	638
Other purchases of goods and services from Government accounts:				
25.3	Purchases of goods and services from other Federal Agencies	604	597	585
25.3	Payments to foreign national indirect hire personnel	16	15	14
25.3	Purchases from revolving funds	454	426	424

Public enterprise funds—Continued

DEFENSE WORKING CAPITAL FUNDS—Continued

WORKING CAPITAL FUND, NAVY—Continued

Object Classification (in millions of dollars)—Continued

Identification code 97-493002-0-4-051	2001 actual	2002 est.	2003 est.
25.4 Operation and maintenance of facilities including GOCOs	586	652	678
25.5 Research and development contracts	2,432	1,967	1,785
25.7 Contract operation and maintenance of equipment including ADP hard/software	962	1,010	1,054
26.0 Supplies and materials	7,356	8,210	8,609
31.0 Equipment	670	768	642
99.9 Total new obligations	22,579	23,163	22,676

Personnel Summary

Identification code 97-493002-0-4-051	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	87,621	85,711	84,515

WORKING CAPITAL FUND, AIR FORCE

Program and Financing (in millions of dollars)

Identification code 97-493003-0-4-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Operating Expenses:			
09.00 Depot Maintenance—Aviation	5,617	5,940	6,495
09.01 Transportation	4,009	4,415	4,183
09.02 Information Services	600	599	587
09.03 Supply Management	9,381	9,078	8,686
Capital Investment:			
09.10 Depot Maintenance—Aviation	150	140	107
09.11 Transportation	198	200	202
09.12 Information Services	8	10	10
09.13 Supply Management	37	64	68
09.99 Total reimbursable program	20,000	20,446	20,338
10.00 Total new obligations	20,000	20,446	20,338

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	-271	-90	86
22.00 New budget authority (gross)	20,262	20,646	20,297
22.10 Resources available from recoveries of prior year obligations	1		
22.22 Unobligated balance transferred from other DoD Accounts	65		
22.60 Portion applied to repay debt	-28	-25	-27
22.75 Balance of contract authority withdrawn	-118		
23.90 Total budgetary resources available for obligation	19,911	20,531	20,356
23.95 Total new obligations	-20,000	-20,446	-20,338
24.40 Unobligated balance carried forward, end of year	-90	86	18

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	122	150	152
Mandatory:			
66.10 Contract authority	503		
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	19,995	19,017	20,144
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-358	1,479	
68.90 Spending authority from offsetting collections (total discretionary)	19,637	20,496	20,144
70.00 Total new budget authority (gross)	20,262	20,646	20,297

Change in obligated balances:

72.40 Obligated balance, start of year	3,686	4,266	3,859
73.10 Total new obligations	20,000	20,446	20,338
73.20 Total outlays (gross)	-19,778	-19,374	-20,197
73.45 Recoveries of prior year obligations	-1		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	358	-1,479	

74.40 Obligated balance, end of year	4,266	3,859	3,999
75.01 Obligated balance, start of year: Contract authority	2,872	3,857	3,857
75.02 Obligated balance, end of year: Contract authority	3,257	3,857	3,857

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	17,778	17,374	18,197
86.93 Outlays from discretionary balances	2,000	2,000	2,000
87.00 Total outlays (gross)	19,778	19,374	20,197

Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-19,571	-18,603	-19,757
88.40 Non-Federal sources	-424	-414	-387
88.90 Total, offsetting collections (cash)	-19,995	-19,017	-20,144
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	358	-1,479	

Net budget authority and outlays:

89.00 Budget authority	625	150	152
90.00 Outlays	-217	357	53

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	515	34	30
90.00 Outlays	-327	241	-69

The Air Force Working Capital Fund finances the operations of Air Force and USTRANSCOM industrial, commercial and support-type activities. The Fund finances, in accordance with section 2208 of 10 U.S.C. through receipt of funded customer reimbursable orders, operating and capital expenses (excluding Military Construction), and uses cost accounting and business management techniques to provide DoD managers with information that can be used to monitor, control, and minimize its cost of operations.

Status of Contract Authority (in millions of dollars)

Identification code 97-493003-0-4-051	2001 actual	2002 est.	2003 est.
0100 Balance, start of year	2,872	3,857	3,857
Contract authority:			
0200 Contract authority	503		
0600 Balance of contract authority withdrawn	-118		
0700 Balance, end of year	3,257	3,857	3,857

Object Classification (in millions of dollars)

Identification code 97-493003-0-4-051	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	1,565	1,628	1,691
11.5 Other personnel compensation	115	116	143
11.7 Military personnel	101	98	100
11.9 Total personnel compensation	1,781	1,842	1,934
12.1 Civilian personnel benefits	135	143	144
21.0 Travel and transportation of persons	109	113	117
22.0 Transportation of things	1,880	1,757	1,775
23.2 Rental payments to others	6	7	7
23.3 Communications, utilities, and miscellaneous charges	60	67	71
24.0 Printing and reproduction	5	6	6
25.1 Advisory and assistance services	98	424	409
25.2 Other services	984	707	781
Other purchases of goods and services from Government accounts:			
25.3 Payments to foreign national indirect hire personnel	10	11	10
25.3 Purchases from revolving funds	6,335	6,759	7,444
25.4 Operation and maintenance of facilities including GOCOs	239	243	271
25.7 Contract operation and maintenance of equipment including ADP hard/software	2,065	2,056	2,269
26.0 Supplies and materials	5,877	5,839	4,661
31.0 Equipment	416	472	439

99.9	Total new obligations	20,000	20,446	20,338
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Personnel Summary

Identification code 97-493003-0-4-051	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	25,590	25,000	25,667

WORKING CAPITAL FUND, DEFENSE-WIDE

Program and Financing (in millions of dollars)

Identification code 97-493005-0-4-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Operating Expenses:			
Operating expenses:			
09.01 Distribution Depots	1,167	1,261	1,244
09.02 Defense Reutilization and Marketing	300	297	288
09.03 Defense Automated Printing Service	374	397	402
09.04 Defense Financial Operations	1,333	1,491	1,461
09.05 Information Services	2,685	2,848	3,060
09.06 Supply Management	16,743	19,361	17,608
09.07 Defense Security Service	453	489	437
Capital investment:			
09.10 Distribution Depots	40	32	51
09.11 Defense Reutilization and Marketing	6	13	8
09.12 Defense Automated Printing Service	5	9	11
09.13 Defense Financial Operations	182	188	143
09.14 Information Services	35	113	108
09.15 Supply Management	51	165	269
09.16 Defense Security Service	29	12	21
09.99 Total reimbursable program	23,403	26,676	25,111
10.00 Total new obligations	23,404	26,674	25,111

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	3,478	2,881	3,821
22.00 New budget authority (gross)	25,205	27,614	25,205
22.10 Resources available from recoveries of prior year obligations	8		
22.21 Unobligated balance transferred to other DoD Accounts	-915		
22.75 Balance of contract authority withdrawn	-1,491		
23.90 Total budgetary resources available for obligation	26,285	30,495	29,026
23.95 Total new obligations	-23,404	-26,674	-25,111
24.40 Unobligated balance carried forward, end of year	2,881	3,821	3,916

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	204	207	340
Mandatory:			
66.10 Contract authority	2,078		
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	23,118	24,527	24,865
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-195	2,880	
68.90 Spending authority from offsetting collections (total discretionary)	22,923	27,407	24,865
70.00 Total new budget authority (gross)	25,205	27,614	25,205

Change in obligated balances:

72.40 Obligated balance, start of year	7,063	6,934	6,193
73.10 Total new obligations	23,404	26,674	25,111
73.20 Total outlays (gross)	-23,720	-24,535	-24,599
73.45 Recoveries of prior year obligations	-8		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	195	-2,880	
74.40 Obligated balance, end of year	6,934	6,193	6,705
75.01 Obligated balance, start of year: Contract authority	8,384	8,971	8,971
75.02 Obligated balance, end of year: Contract authority	8,971	8,971	8,971

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	21,525	22,535	22,599
86.93 Outlays from discretionary balances	2,195	2,000	2,000
87.00 Total outlays (gross)	23,720	24,535	24,599

Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-22,667	-23,388	-23,682
88.40 Non-Federal sources	-451	-1,139	-1,183
88.90 Total, offsetting collections (cash)	-23,118	-24,527	-24,865
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	195	-2,880	

Net budget authority and outlays:

89.00 Budget authority	2,282	207	340
90.00 Outlays	602	7	-266

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	2,083	2	133
90.00 Outlays	403	-198	-473

The Defense-Wide Working Capital Fund finances the operations of Defense Logistics Agency, Defense Finance and Accounting Service, Defense Information Services Agency, and Defense Security Service commercial and support-type activities. The Fund finances, in accordance with section 2208 of 10 U.S.C. through receipt of funded customer reimbursable orders, operating and capital expenses (excluding Military Construction), and uses cost accounting and business management techniques to provide DoD managers with information that can be used to monitor, control, and minimize its cost of operations.

Status of Contract Authority (in millions of dollars)

Identification code 97-493005-0-4-051	2001 actual	2002 est.	2003 est.
0100 Balance, start of year	8,384	8,971	8,971
Contract authority:			
0200 Contract authority	2,078		
0600 Balance of contract authority withdrawn	-1,491		
0700 Balance, end of year	8,971	8,971	8,971

Object Classification (in millions of dollars)

Identification code 97-493005-0-4-051	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	2,041	2,240	2,294
11.3 Other than full-time permanent	50	49	48
11.5 Other personnel compensation	146	59	54
11.7 Military personnel	44	47	45
11.9 Total personnel compensation	2,281	2,395	2,441
12.1 Civilian personnel benefits	674	570	577
13.0 Benefits for former personnel	24	29	30
21.0 Travel and transportation of persons	78	90	90
22.0 Transportation of things	890	921	928
23.1 Rental payments to GSA	58	55	55
23.2 Rental payments to others	22	36	36
23.3 Communications, utilities, and miscellaneous charges	1,219	1,234	1,324
24.0 Printing and reproduction	206	242	248
25.1 Advisory and assistance services	169	141	161
25.2 Other services	1,173	1,471	1,455
Other purchases of goods and services from Government accounts:			
25.3 Purchases of goods and services from other Federal Agencies	625	1,503	1,429
25.3 Payments to foreign national indirect hire personnel	23	28	26
25.3 Purchases from revolving funds	1,286	976	928
25.4 Operation and maintenance of facilities including GOCOS	43	49	51
25.7 Contract operation and maintenance of equipment including ADP hard/software	275	447	453
26.0 Supplies and materials	13,815	15,908	14,429
31.0 Equipment	498	579	450
32.0 Land and structures	41		
43.0 Interest and dividends	4		

Public enterprise funds—Continued

DEFENSE WORKING CAPITAL FUNDS—Continued
WORKING CAPITAL FUND, DEFENSE-WIDE—Continued

Object Classification (in millions of dollars)—Continued

Identification code 97-493005-0-4-051	2001 actual	2002 est.	2003 est.
99.9 Total new obligations	23,404	26,674	25,111

Personnel Summary

Identification code 97-493005-0-4-051	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	44,374	43,611	41,835

WORKING CAPITAL FUND, DEFENSE COMMISSARY AGENCY

Program and Financing (in millions of dollars)

Identification code 97-493004-0-4-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Operating Expenses:			
09.01 Commissary Resale Stocks	5,065	5,089	5,140
09.02 Commissary Operations	1,049	1,088	1,111
09.10 Capital program	3	9	5
09.99 Total reimbursable program	6,117	6,186	6,255
10.00 Total new obligations	6,117	6,186	6,255

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	-76	-170	-109
22.00 New budget authority (gross)	6,036	6,247	6,400
22.10 Resources available from recoveries of prior year obligations	39		
22.75 Balance of contract authority withdrawn	-52		
23.90 Total budgetary resources available for obligation	5,947	6,077	6,291
23.95 Total new obligations	-6,117	-6,186	-6,255
24.40 Unobligated balance carried forward, end of year	-170	-109	36

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	946	1,130	997
40.72 Reduction pursuant to P.L. 107-117		-9	
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-2		
41.00 Transferred to other accounts		-2	
43.00 Appropriation (total discretionary)	944	1,118	997
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	5,078	5,144	5,403
68.10 Change in uncollected customer payments from Federal sources (unexpired)	15	-15	
68.90 Spending authority from offsetting collections (total discretionary)	5,093	5,129	5,403
70.00 Total new budget authority (gross)	6,036	6,247	6,400

Change in obligated balances:

72.40 Obligated balance, start of year	502	459	561
73.10 Total new obligations	6,117	6,186	6,255
73.20 Total outlays (gross)	-6,106	-6,100	-6,247
73.45 Recoveries of prior year obligations	-39		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-15	15	
74.40 Obligated balance, end of year	459	561	569
75.01 Obligated balance, start of year: Contract authority	213	161	161
75.02 Obligated balance, end of year: Contract authority	161	161	161

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	5,721	5,900	6,047
86.93 Outlays from discretionary balances	385	200	200
87.00 Total outlays (gross)	6,106	6,100	6,247

Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	5	-43	-52

88.40 Non-Federal sources	-5,083	-5,101	-5,351
88.90 Total, offsetting collections (cash)	-5,078	-5,144	-5,403
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-15	15	

Net budget authority and outlays:

89.00 Budget authority	944	1,118	997
90.00 Outlays	1,028	956	844

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	918	1,091	969
90.00 Outlays	1,002	929	816

The Defense Commissary Agency Working Capital Fund finances the cost of Commissary Operations and Resale Stocks activities. Commissary Operations pays the operating costs of about 300 commissaries worldwide, agency and region headquarters, and support services. Costs include civilian pay, transportation of commissary goods overseas, rewarehousing, shelf stocking, janitorial services in each commissary, and base support as a tenant organization. Resale Stocks pays for the purchase of inventory for resale to commissary patrons.

Status of Contract Authority (in millions of dollars)

Identification code 97-493004-0-4-051	2001 actual	2002 est.	2003 est.
0100 Balance, start of year	213	161	161
0600 Balance of contract authority withdrawn	-52		
0700 Balance, end of year	161	161	161

Object Classification (in millions of dollars)

Identification code 97-493004-0-4-051	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	346	279	274
11.3 Other than full-time permanent	116	174	171
11.5 Other personnel compensation	23	21	21
11.7 Military personnel	1	1	1
11.9 Total personnel compensation	486	475	467
12.1 Civilian personnel benefits	155	145	144
21.0 Travel and transportation of persons	9	10	9
22.0 Transportation of things	88	108	111
23.3 Communications, utilities, and miscellaneous charges	13	45	45
25.1 Advisory and assistance services		1	1
25.2 Other services	33	36	37
Other purchases of goods and services from Government accounts:			
25.3 Other purchases of goods and services from Government accounts	23	57	51
25.3 Payments to foreign national indirect hire personnel	30	38	40
25.3 Purchases from revolving funds	35	38	37
25.4 Operation and maintenance of facilities	170	126	127
25.7 Operation and maintenance of equipment	1		
26.0 Supplies and materials	5,069	5,101	5,186
31.0 Equipment	5	6	
99.9 Total new obligations	6,117	6,186	6,255

Personnel Summary

Identification code 97-493004-0-4-051	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	14,944	14,291	13,557

BUILDINGS MAINTENANCE FUND

Program and Financing (in millions of dollars)

Identification code 97-4931-0-4-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Operation and maintenance	18	28	29
09.02 Administration	5	5	5
10.00 Total new obligations	23	34	34
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	13	17	12
22.00 New budget authority (gross)	25	29	34
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	39	46	47
23.95 Total new obligations	-23	-34	-34
24.40 Unobligated balance carried forward, end of year	17	12	13
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	34	28	36
69.10 Change in uncollected customer payments from Federal sources (unexpired)	-8	1	-2
69.90 Spending authority from offsetting collections (total mandatory)	25	29	34
Change in obligated balances:			
72.40 Obligated balance, start of year	-5	5	15
73.10 Total new obligations	23	34	34
73.20 Total outlays (gross)	-19	-22	-33
73.45 Recoveries of prior year obligations	-1		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	8	-1	2
74.40 Obligated balance, end of year	5	15	18
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	15	19	19
86.98 Outlays from mandatory balances	4	3	14
87.00 Total outlays (gross)	19	22	33
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-34	-28	-36
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	8	-1	2
Net budget authority and outlays:			
89.00 Budget authority	-1		
90.00 Outlays	-14	-6	-3

The Buildings Maintenance Fund was established in accordance with direction from Congress in the 1994 Appropriations Conference Report for the General Services Administration and under authority in 10 U.S.C., section 2208. It provides for operation and maintenance of over 30 leased and owned facilities occupied by DoD in the Washington Metropolitan area.

Object Classification (in millions of dollars)

Identification code 97-4931-0-4-051	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	4	4	4
12.1 Civilian personnel benefits	1	1	1
23.3 Communications, utilities, and miscellaneous charges	1	2	2
25.7 Operation and maintenance of equipment	16	26	26
26.0 Supplies and materials	1		
31.0 Equipment		1	1
99.9 Total new obligations	23	34	34

Personnel Summary

Identification code 97-4931-0-4-051	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	61	71	71

ARMY CONVENTIONAL AMMUNITION WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 21-4528-0-4-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Load, assemble and pack	12	6	
09.02 Components	14	1	
09.03 Quality assurance, proof and acceptance testing	2	2	
09.04 Rework cost	18	16	41
10.00 Total new obligations	47	25	41
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	79	81	112
22.00 New budget authority (gross)	19	56	
22.10 Resources available from recoveries of prior year obligations	29		
23.90 Total budgetary resources available for obligation	127	137	112
23.95 Total new obligations	-47	-25	-41
24.40 Unobligated balance carried forward, end of year	81	112	70
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	100	25	58
69.10 Change in uncollected customer payments from Federal sources (unexpired)	-81	31	-58
69.90 Spending authority from offsetting collections (total mandatory)	19	56	
Change in obligated balances:			
72.40 Obligated balance, start of year	74	55	-36
73.10 Total new obligations	47	25	41
73.20 Total outlays (gross)	-117	-85	-63
73.45 Recoveries of prior year obligations	-29		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	81	-31	58
74.40 Obligated balance, end of year	55	-36	
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	19	56	
86.98 Outlays from mandatory balances	98	29	63
87.00 Total outlays (gross)	117	85	63
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-100	-25	-58
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	81	-31	58
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	18	60	5

The Army Conventional Ammunition Working Capital Fund (CAWCF) financed the procurement and assembly of conventional ammunition for all the Services and other customers. It provided for payment of loading, assembling and packing (LAP) operations, component purchases for metal parts and explosive materials, and quality assurance and rework effort. The CAWCF ceased operations as a working capital fund at the end of 1998. All unfinished orders on hand as of that date will be processed through the fund until completed.

Object Classification (in millions of dollars)

Identification code 21-4528-0-4-051	2001 actual	2002 est.	2003 est.
22.0 Transportation of things	1		
25.2 Other services	41	18	41
25.4 Operation and maintenance of facilities	4		
26.0 Supplies and materials	1	7	
99.9 Total new obligations	47	25	41

ALLOWANCES*Federal Funds***General and special funds:**

DEPARTMENT OF DEFENSE CLOSED ACCOUNTS

Program and Financing (in millions of dollars)

Identification code 97-3999-0-1-051	2001 actual	2002 est.	2003 est.
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	191		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	191		

TRUST FUNDS*Trust Funds*

VOLUNTARY SEPARATION INCENTIVE FUND

Unavailable Collections (in millions of dollars)

Identification code 97-8335-0-7-051	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	786	785	785
Receipts:			
02.40 Payment to voluntary separation incentive fund	23	88	89
02.41 Earnings on investments	72	68	67
02.99 Total receipts and collections	95	156	156
04.00 Total: Balances and collections	881	941	941
Appropriations:			
05.00 Voluntary separation incentive fund	-96	-156	-156
07.99 Balance, end of year	785	785	785

Program and Financing (in millions of dollars)

Identification code 97-8335-0-7-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	154	156	156
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	98	40	40
22.00 New budget authority (gross)	96	156	156
23.90 Total budgetary resources available for obligation	194	196	196
23.95 Total new obligations	-154	-156	-156
24.40 Unobligated balance carried forward, end of year	40	40	40
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)	96	156	156
Change in obligated balances:			
72.40 Obligated balance, start of year	7	8	7
73.10 Total new obligations	154	156	156
73.20 Total outlays (gross)	-153	-157	-157
74.40 Obligated balance, end of year	8	7	7
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority		156	156
86.98 Outlays from mandatory balances	153		
87.00 Total outlays (gross)	153	157	157
Net budget authority and outlays:			
89.00 Budget authority	95	156	156
90.00 Outlays	153	157	157
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value	886	823	823
92.02 Total investments, end of year: Federal securities: Par value	823	823	823

Section 1175 of Title 10, United States Code, enacted by section 662 of the National Defense Authorization Act for Fiscal Years 1992 and 1993, Public Law 102-190, established the Voluntary Separation Incentive (VSI) Fund to help manage the ongoing military force drawdown. VSI provides annual payments to selected active-duty Service members with more than six but less than 20 years of service who leave the service voluntarily. The Section 1175(h)(3) provided that after December 31, 1992, all voluntary separation incentive payments shall be made from the fund. The fund is financed through actuarially-determined Government contributions from the Department of Defense personnel appropriations to cover the unfunded liability and the present value of future benefits for those separating and interest on the investments. The total present value costs of VSI benefit payments must be deposited in the fund by the time authority to approve VSI benefits ends, December 31, 2001. Permanent authority to make these payments is contained in section 8044 of the 1997 Defense Appropriations Act.

HOST NATION SUPPORT FUND FOR RELOCATION

Unavailable Collections (in millions of dollars)

Identification code 97-8337-0-7-051	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.00 Contributions	7	6	6
02.80 Host nation support fund for relocation	-3		
02.99 Total receipts and collections	4	6	6
Appropriations:			
05.00 Host nation support fund for relocation	-4	-6	-6
05.99 Total appropriations	-4	-6	-6
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 97-8337-0-7-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	7	6	6
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4	4	4
22.00 New budget authority (gross)	7	6	6
23.90 Total budgetary resources available for obligation	11	10	10
23.95 Total new obligations	-7	-6	-6
24.40 Unobligated balance carried forward, end of year	4	4	4
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)	7	6	6
69.00 Offsetting collections (cash)	-3		
69.10 Change in uncollected customer payments from Federal sources (unexpired)	3		
69.90 Spending authority from offsetting collections (total mandatory)			
70.00 Total new budget authority (gross)	7	6	6
Change in obligated balances:			
73.10 Total new obligations	7	6	6
73.20 Total outlays (gross)	-4	-6	-6
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-3		
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	4	6	6
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	3		

Against gross budget authority only:

88.95	Change in uncollected customer payments from Federal sources (unexpired)	- 3		
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Net budget authority and outlays:

89.00	Budget authority	7	6	6
90.00	Outlays	7	6	6

Memorandum (non-add) entries:

92.01	Total investments, start of year: Federal securities: Par value	4	4	4
92.02	Total investments, end of year: Federal securities: Par value	4	4	4

Section 2350k of U.S.C. Title 10 established a trust fund for cash contributions from any nation in support of relocation of elements of the Armed Forces within that nation. The Host Nation Support for Relocation Account is financed through these cash contributions and interest accrued on the cash balances. Funds may be used to defray costs incurred in connection with the relocation for which the contribution was made.

OTHER DOD TRUST FUNDS

Unavailable Collections (in millions of dollars)

Identification code 21-9971-0-7-051	2001 actual	2002 est.	2003 est.	
01.99	Balance, start of year	1	2	2
Receipts:				
02.00	Deposits, other DOD trust funds	27	24	24
02.41	Interest, other DOD trust funds	1	1	1
02.42	Profits from sale of ships' stores	17	20	20
02.99	Total receipts and collections	45	45	45
04.00	Total: Balances and collections	46	47	47
Appropriations:				
05.00	Other DOD trust funds	-44	-45	-45
07.99	Balance, end of year	2	2	2

Program and Financing (in millions of dollars)

Identification code 21-9971-0-7-051	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
02.11	Army trust funds	16	16	16
02.12	Air Force trust funds	6	2	2
02.13	Ships Stores Profit, Navy trust fund	18	20	20
02.14	Other Navy trust funds	7	7	7
10.00	Total new obligations	47	45	45

Budgetary resources available for obligation:

21.40	Unobligated balance carried forward, start of year	28	26	26
22.00	New budget authority (gross)	44	45	45
23.90	Total budgetary resources available for obligation	72	71	71
23.95	Total new obligations	-47	-45	-45
24.40	Unobligated balance carried forward, end of year	26	26	26

New budget authority (gross), detail:

Mandatory:

60.26	Appropriation (trust fund)	44	45	45
61.00	Transferred to other accounts	-2		
62.00	Transferred from other accounts	2		
62.50	Appropriation (total mandatory)	44	45	45

Change in obligated balances:

72.40	Obligated balance, start of year	8	8	8
73.10	Total new obligations	47	45	45
73.20	Total outlays (gross)	-46	-45	-45
74.40	Obligated balance, end of year	8	8	8

Outlays (gross), detail:

86.97	Outlays from new mandatory authority	18	45	45
86.98	Outlays from mandatory balances	28		
87.00	Total outlays (gross)	46	45	45

Net budget authority and outlays:

89.00	Budget authority	44	45	45
90.00	Outlays	46	45	45

Memorandum (non-add) entries:

92.01	Total investments, start of year: Federal securities: Par value	14	13	13
92.02	Total investments, end of year: Federal securities: Par value	13	13	13

This fund includes gifts and bequests limited to specific purposes by the donor. In addition, it accounts for gifts and bequests, not limited to specific use by the donor, which may be used for purposes as determined by the Secretaries of the Army, Navy and Air Force.

Object Classification (in millions of dollars)

Identification code 21-9971-0-7-051	2001 actual	2002 est.	2003 est.	
26.0	Supplies and materials	25	27	27
31.0	Equipment	6	2	2
41.0	Grants, subsidies, and contributions	16	16	16
99.9	Total new obligations	47	45	45

NATIONAL SECURITY EDUCATION TRUST FUND

For the purposes of title VIII of Public Law 102-183, \$8,000,000, to be derived from the National Security Education Trust Fund, to remain available until expended. (*Department of Defense Appropriations Act, 2002.*)

Unavailable Collections (in millions of dollars)

Identification code 97-8168-0-7-051	2001 actual	2002 est.	2003 est.	
01.99	Balance, start of year	38	34	28
Receipts:				
02.40	Earnings on investments	3	2	2
04.00	Total: Balances and collections	41	36	30
Appropriations:				
05.00	National security education trust fund	-7	-8	-8
07.99	Balance, end of year	34	28	22

Program and Financing (in millions of dollars)

Identification code 97-8168-0-7-051	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
10.00	Total new obligations (object class 41.0)	10	8	8

Budgetary resources available for obligation:

21.40	Unobligated balance carried forward, start of year	2	1	1
22.00	New budget authority (gross)	7	8	8
22.10	Resources available from recoveries of prior year obligations	1		
23.90	Total budgetary resources available for obligation	10	8	8
23.95	Total new obligations	-10	-8	-8
24.40	Unobligated balance carried forward, end of year	1	1	1

New budget authority (gross), detail:

Discretionary:

40.26	Appropriation (trust fund)	7	8	8
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Change in obligated balances:

72.40	Obligated balance, start of year	7	9	9
73.10	Total new obligations	10	8	8
73.20	Total outlays (gross)	-7	-8	-8
73.45	Recoveries of prior year obligations	-1		
74.40	Obligated balance, end of year	9	9	9

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	7	8	8
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Net budget authority and outlays:

89.00	Budget authority	7	8	8
90.00	Outlays	7	8	8

NATIONAL SECURITY EDUCATION TRUST FUND—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 97-8168-0-7-051	2001 actual	2002 est.	2003 est.
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value	43	40	40
92.02 Total investments, end of year: Federal securities:			
Par value	40	40	40

The National Security Education Act of 1991 established a program for undergraduate scholarships, graduate fellowships, and grants to educational institutions in critical area studies, foreign languages and other international fields.

This program enhances the quality of U.S. educational programs in these fields by making it possible for more American students to study abroad. The programs will develop a larger pool of potential U.S. Government employees with knowledge of particular cultures, languages, and governments. The program is carried out by the Secretary of Defense in consultation with the National Security Education Board. The Board establishes the criteria for awards.

FOREIGN NATIONAL EMPLOYEES SEPARATION PAY

Unavailable Collections (in millions of dollars)

Identification code 97-8165-0-7-051	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.40 Foreign national employees separation pay trust fund	36	10	10
Appropriations:			
05.00 Foreign national employees separation pay	-36	-10	-10
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 97-8165-0-7-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 13.0)	42	10	10
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	39	38	39
22.00 New budget authority (gross)	36	10	10
22.10 Resources available from recoveries of prior year obligations	5		
23.90 Total budgetary resources available for obligation	80	48	49
23.95 Total new obligations	-42	-10	-10
24.40 Unobligated balance carried forward, end of year	38	39	39

New budget authority (gross), detail:

Mandatory:			
60.26 Appropriation (trust fund)	36	10	10

Change in obligated balances:

72.40 Obligated balance, start of year	262	271	271
73.10 Total new obligations	42	10	10
73.20 Total outlays (gross)	-28	-10	-10
73.45 Recoveries of prior year obligations	-5		
74.40 Obligated balance, end of year	271	271	271

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	28	10	10
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Net budget authority and outlays:

89.00 Budget authority	36	10	10
90.00 Outlays	28	10	10

This account funds separation payments for former Department of Defense employees who are not United States citizens and who worked outside the United States. The payments are determined according to the applicable labor laws of the various countries.

OTHER DoD TRUST REVOLVING FUNDS

Unavailable Collections (in millions of dollars)

Identification code 97-9981-0-8-051	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.80 Other DoD trust funds, offsetting collections	15	15	15
Appropriations:			
05.00 Other DoD trust funds	-15	-15	-15
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 97-9981-0-8-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 44.0)	16	15	15
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	1
22.00 New budget authority (gross)	16	15	15
23.90 Total budgetary resources available for obligation	17	16	16
23.95 Total new obligations	-16	-15	-15
24.40 Unobligated balance carried forward, end of year	1	1	1

New budget authority (gross), detail:

Mandatory:			
69.00 Offsetting collections (cash)	15	15	15
69.10 Change in uncollected customer payments from Federal sources (unexpired)	1		
69.90 Spending authority from offsetting collections (total mandatory)	16	15	15

Change in obligated balances:

73.10 Total new obligations	16	15	15
73.20 Total outlays (gross)	-15	-15	-15
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-1		

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	15	15	15
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Offsets:

Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-15	-15	-15
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-1		

Net budget authority and outlays:

89.00 Budget authority			
90.00 Outlays	-1		

SURCHARGE COLLECTIONS, SALES OF COMMISSARY STORES, DEFENSE

Unavailable Collections (in millions of dollars)

Identification code 97-8164-0-8-051	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.80 Surcharge collections, sales of commissary stores, offsetting collections	250	263	266
Appropriations:			
05.00 Surcharge collections, sales of commissary stores	-250	-263	-266
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 97-8164-0-8-051	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Reimbursable program	260	263	266
10.00 Total new obligations	260	263	266

Budgetary resources available for obligation:				
22.00	New budget authority (gross)	261	263	266
22.10	Resources available from recoveries of prior year obligations	10		
22.75	Balance of contract authority withdrawn	-11		
23.90	Total budgetary resources available for obligation	260	263	266
23.95	Total new obligations	-260	-263	-266

New budget authority (gross), detail:				
Mandatory:				
69.00	Offsetting collections (cash)	250	263	266
69.10	Change in uncollected customer payments from Federal sources (unexpired)	11		
69.90	Spending authority from offsetting collections (total mandatory)	261	263	266

Change in obligated balances:				
72.40	Obligated balance, start of year	224	178	184
73.10	Total new obligations	260	263	266
73.20	Total outlays (gross)	-285	-257	-253
73.45	Recoveries of prior year obligations	-10		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-11		
74.40	Obligated balance, end of year	178	184	197
75.01	Obligated balance, start of year: Contract authority	117	106	106
75.02	Obligated balance, end of year: Contract authority	106	106	106

Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	200	257	253
86.98	Outlays from mandatory balances	85		
87.00	Total outlays (gross)	285	257	253

Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-6	-6	
88.40	Non-Federal sources	-250	-257	-260
88.90	Total, offsetting collections (cash)	-250	-263	-266
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-11		

Net budget authority and outlays:				
89.00	Budget authority			
90.00	Outlays	35	-6	-12

Status of Contract Authority (in millions of dollars)

Identification code 97-8164-0-8-051	2001 actual	2002 est.	2003 est.
0100 Balance, start of year	117	106	106
0600 Balance of contract authority withdrawn	-11		
0700 Balance, end of year	106	106	106

This fund was established in 1992 as a result of the consolidation of Defense Commissaries. The trust fund pays for certain commissary store operating equipment and supplies, utilities of Commissaries in the Continental United States, laundry services, and inventory losses. Surcharge funds are also utilized for both minor and major construction of commissaries. Title 10 of the United States Code prescribes costs which may be financed by the Trust Fund.

Object Classification (in millions of dollars)

Identification code 97-8164-0-8-051	2001 actual	2002 est.	2003 est.
22.0 Transportation of things	1		
23.3 Communications, utilities, and miscellaneous charges	42		
25.2 Other services		40	36
25.4 Operation and maintenance of facilities		32	26
25.7 Operation and maintenance of equipment	71	39	49
26.0 Supplies and materials	50		
31.0 Equipment	50	47	69
32.0 Land and structures	46	105	86
99.9 Total new obligations	260	263	266

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Offsetting receipts from the public:			
17-304117 Recoveries under the foreign military sales program, Navy	74	74	74
17-321017 General fund proprietary receipts, not otherwise classified, Navy	32	97	97
21-301900 Recoveries for Government property lost or damaged	7	8	8
21-304121 Recoveries under the foreign military sales program, Army	71	71	71
21-321021 General fund proprietary receipts, not otherwise classified, Army	83	72	72
57-304157 Recoveries under the foreign military sales program, Air Force	16	16	16
57-321057 General fund proprietary receipts, not otherwise classified, Air Force	81	90	90
97-184000 Rent of equipment and other personal property		1	1
97-223600 Sale of certain materials in National Defense Stockpile	331	757	88
97-246200 Deposits for survivor annuity benefits	19	19	19
97-264400 Defense vessel transfer receipt account	24		
97-265197 Sale of scrap and salvage materials	2	2	2
97-304197 Recoveries under the foreign military sales program, defense agencies	6	6	6
97-321097 General fund proprietary receipts, not otherwise classified, defense agencies	42	84	84
General Fund Offsetting receipts from the public	788	1,297	628

GENERAL PROVISIONS—DEPARTMENT OF DEFENSE

SEC. 8001. No part of any appropriation contained in this Act shall be used for publicity or propaganda purposes not authorized by the Congress.

SEC. 8002. During the current fiscal year, provisions of law prohibiting the payment of compensation to, or employment of, any person not a citizen of the United States shall not apply to personnel of the Department of Defense: *Provided*, That salary increases granted to direct and indirect hire foreign national employees of the Department of Defense funded by this Act shall not be at a rate in excess of the percentage increase authorized by law for civilian employees of the Department of Defense whose pay is computed under the provisions of section 5332 of title 5, United States Code, or at a rate in excess of the percentage increase provided by the appropriate host nation to its own employees, whichever is higher: *Provided further*, That this section shall not apply to Department of Defense foreign service national employees serving at United States diplomatic missions whose pay is set by the Department of State under the Foreign Service Act of 1980: *Provided further*, That the limitations of this provision shall not apply to foreign national employees of the Department of Defense in the Republic of Turkey.]

SEC. [8003] 8002. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year, unless expressly so provided herein.

SEC. [8004] 8003. No more than 20 percent of the appropriations in this Act which are limited for obligation during the current fiscal year shall be obligated during the last 2 months of the fiscal year: *Provided*, That this section shall not apply to obligations for support of active duty training of reserve components or summer camp training of the Reserve Officers' Training Corps.

(TRANSFER OF FUNDS)

SEC. [8005] 8004. Upon determination by the Secretary of Defense that such action is necessary in the national interest, he may, with the approval of the Office of Management and Budget, transfer not to exceed [\$2,000,000,000] \$2,500,000,000 of working capital funds of the Department of Defense or funds made available in this Act to the Department of Defense for military functions (except military construction) between such appropriations or funds or any subdivision thereof, to be merged with and to be available for the same purposes,

(TRANSFER OF FUNDS)—Continued

and for the same time period, as the appropriation or fund to which transferred: *Provided*, That such authority to transfer may not be used unless for higher priority items, based on unforeseen military requirements, than those for which originally appropriated and in no case where the item for which funds are requested has been denied by the Congress: *Provided further*, That the Secretary of Defense shall notify the Congress promptly of all transfers made pursuant to this authority or any other authority in this Act: *Provided further*, That no part of the funds in this Act shall be available to prepare or present a request to the Committees on Appropriations for reprogramming of funds, unless for higher priority items, based on unforeseen military requirements, than those for which originally appropriated and in no case where the item for which reprogramming is requested has been denied by the Congress: *Provided further*, That a request for multiple reprogrammings of funds using authority provided in this section must be made prior to May 1, 2002].

(TRANSFER OF FUNDS)

SEC. [8006] 8005. During the current fiscal year, cash balances in working capital funds of the Department of Defense established pursuant to section 2208 of title 10, United States Code, may be maintained in only such amounts as are necessary at any time for cash disbursements to be made from such funds: *Provided*, That transfers may be made between such funds: *Provided further*, That transfers may be made between working capital funds and the "Foreign Currency Fluctuations, Defense" appropriation and the "Operation and Maintenance" appropriation accounts in such amounts as may be determined by the Secretary of Defense, with the approval of the Office of Management and Budget, except that such transfers may not be made unless the Secretary of Defense has notified the Congress of the proposed transfer. Except in amounts equal to the amounts appropriated to working capital funds in this Act, no obligations may be made against a working capital fund to procure or increase the value of war reserve material inventory, unless the Secretary of Defense has notified the Congress prior to any such obligation.

[SEC. 8007. Funds appropriated by this Act may not be used to initiate a special access program without prior notification 30 calendar days in session in advance to the congressional defense committees.]

SEC. [8008] 8006. [None of the funds provided in this Act shall be available to initiate: (1) a multiyear contract that employs economic order quantity procurement in excess of \$20,000,000 in any 1 year of the contract or that includes an unfunded contingent liability in excess of \$20,000,000; or (2) a contract for advance procurement leading to a multiyear contract that employs economic order quantity procurement in excess of \$20,000,000 in any 1 year, unless the congressional defense committees have been notified at least 30 days in advance of the proposed contract award: *Provided*, That no part of any appropriation contained in this Act shall be available to initiate a multiyear contract for which the economic order quantity advance procurement is not funded at least to the limits of the Government's liability: *Provided further*, That no part of any appropriation contained in this Act shall be available to initiate multiyear procurement contracts for any systems or component thereof if the value of the multiyear contract would exceed \$500,000,000 unless specifically provided in this Act: *Provided further*, That no multiyear procurement contract can be terminated without 10-day prior notification to the congressional defense committees: *Provided further*, That the execution of multiyear authority shall require the use of a present value analysis to determine lowest cost compared to an annual procurement.]

Funds appropriated in title III of this Act may be used for multiyear procurement contracts as follows:

[UH-60/CH-60] C-130 aircraft;
[C-17;] and
F/A-18E and F engine.

SEC. [8009] 8007. Within the funds appropriated for the operation and maintenance of the Armed Forces, funds are hereby appropriated pursuant to section 401 of title 10, United States Code, for humanitarian and civic assistance costs under chapter 20 of title 10, United States Code. Such funds may also be obligated for humanitarian and civic assistance costs incidental to authorized operations and pursuant to authority granted in section 401 of chapter 20 of title 10, United States Code, and these obligations shall be reported to the Congress as of September 30 of each year: *Provided*, That funds available for operation and maintenance shall be available for pro-

viding humanitarian and similar assistance by using Civic Action Teams in the Trust Territories of the Pacific Islands and freely associated states of Micronesia, pursuant to the Compact of Free Association as authorized by Public Law 99-239: *Provided further*, That upon a determination by the Secretary of the Army that such action is beneficial for graduate medical education programs conducted at Army medical facilities located in Hawaii, the Secretary of the Army may authorize the provision of medical services at such facilities and transportation to such facilities, on a nonreimbursable basis, for civilian patients from American Samoa, the Commonwealth of the Northern Mariana Islands, the Marshall Islands, the Federated States of Micronesia, Palau, and Guam.

[SEC. 8010. (a) During fiscal year 2002, the civilian personnel of the Department of Defense may not be managed on the basis of any end-strength, and the management of such personnel during that fiscal year shall not be subject to any constraint or limitation (known as an end-strength) on the number of such personnel who may be employed on the last day of such fiscal year.

(b) The fiscal year 2003 budget request for the Department of Defense as well as all justification material and other documentation supporting the fiscal year 2003 Department of Defense budget request shall be prepared and submitted to the Congress as if subsections (a) and (b) of this provision were effective with regard to fiscal year 2003.

(c) Nothing in this section shall be construed to apply to military (civilian) technicians.]

[SEC. 8011. Notwithstanding any other provision of law, none of the funds made available by this Act shall be used by the Department of Defense to exceed, outside the 50 United States, its territories, and the District of Columbia, 125,000 civilian workyears: *Provided*, That workyears shall be applied as defined in the Federal Personnel Manual: *Provided further*, That workyears expended in dependent student hiring programs for disadvantaged youths shall not be included in this workyear limitation.]

SEC. [8012] 8008. None of the funds made available by this Act shall be used in any way, directly or indirectly, to influence congressional action on any legislation or appropriation matters pending before the Congress.

SEC. [8013] 8009. None of the funds appropriated by this Act shall be available for the basic pay and allowances of any member of the Army participating as a full-time student and receiving benefits paid by the Secretary of Veterans Affairs from the Department of Defense Education Benefits Fund when time spent as a full-time student is credited toward completion of a service commitment: *Provided*, That this subsection shall not apply to those members who have reenlisted with this option prior to October 1, 1987: *Provided further*, That this subsection applies only to active components of the Army.

[SEC. 8014. None of the funds appropriated by this Act shall be available to convert to contractor performance an activity or function of the Department of Defense that, on or after the date of the enactment of this Act, is performed by more than 10 Department of Defense civilian employees until a most efficient and cost-effective organization analysis is completed on such activity or function and certification of the analysis is made to the Committees on Appropriations of the House of Representatives and the Senate: *Provided*, That this section and subsections (a), (b), and (c) of 10 U.S.C. 2461 shall not apply to a commercial or industrial type function of the Department of Defense that: (1) is included on the procurement list established pursuant to section 2 of the Act of June 25, 1938 (41 U.S.C. 47), popularly referred to as the Javits-Wagner-O'Day Act; (2) is planned to be converted to performance by a qualified nonprofit agency for the blind or by a qualified nonprofit agency for other severely handicapped individuals in accordance with that Act; or (3) is planned to be converted to performance by a qualified firm under 51 percent ownership by an Indian tribe, as defined in section 450b(e) of title 25, United States Code, or a Native Hawaiian organization, as defined in section 637(a)(15) of title 15, United States Code.]

(TRANSFER OF FUNDS)

SEC. [8015] 8010. Funds appropriated in title III of this Act for the Department of Defense Pilot Mentor-Protege Program may be transferred to any other appropriation contained in this Act solely for the purpose of implementing a Mentor-Protege Program developmental assistance agreement pursuant to section 831 of the National Defense Authorization Act for Fiscal Year 1991 (Public Law 101-510; 10 U.S.C. 2301 note), as amended, under the authority of this provision or any other transfer authority contained in this Act.

【SEC. 8016. None of the funds in this Act may be available for the purchase by the Department of Defense (and its departments and agencies) of welded shipboard anchor and mooring chain 4 inches in diameter and under unless the anchor and mooring chain are manufactured in the United States from components which are substantially manufactured in the United States: *Provided*, That for the purpose of this section manufactured will include cutting, heat treating, quality control, testing of chain and welding (including the forging and shot blasting process): *Provided further*, That for the purpose of this section substantially all of the components of anchor and mooring chain shall be considered to be produced or manufactured in the United States if the aggregate cost of the components produced or manufactured in the United States exceeds the aggregate cost of the components produced or manufactured outside the United States: *Provided further*, That when adequate domestic supplies are not available to meet Department of Defense requirements on a timely basis, the Secretary of the service responsible for the procurement may waive this restriction on a case-by-case basis by certifying in writing to the Committees on Appropriations that such 2nan acquisition must be made in order to acquire capability for national security purposes.】

SEC. 【8017】 8011. None of the funds appropriated by this Act available for the Civilian Health and Medical Program of the Uniformed Services (CHAMPUS) or TRICARE shall be available for the reimbursement of any health care provider for inpatient mental health service for care received when a patient is referred to a provider of inpatient mental health care or residential treatment care by a medical or health care professional having an economic interest in the facility to which the patient is referred: *Provided*, That this limitation does not apply in the case of inpatient mental health services provided under the program for persons with disabilities under subsection (d) of section 1079 of title 10, United States Code, provided as partial hospital care, or provided pursuant to a waiver authorized by the Secretary of Defense because of medical or psychological circumstances of the patient that are confirmed by a health professional who is not a Federal employee after a review, pursuant to rules prescribed by the Secretary, which takes into account the appropriate level of care for the patient, the intensity of services required by the patient, and the availability of that care.

【SEC. 8018. Funds available in this Act and hereafter may be used to provide transportation for the next-of-kin of individuals who have been prisoners of war or missing in action from the Vietnam era to an annual meeting in the United States, under such regulations as the Secretary of Defense may prescribe.】

SEC. 【8019】 8012. Notwithstanding any other provision of law, during the current fiscal year, the Secretary of Defense may, by executive agreement, establish with host nation governments in NATO member states a separate account into which such residual value amounts negotiated in the return of United States military installations in NATO member states may be deposited, in the currency of the host nation, in lieu of direct monetary transfers to the United States Treasury: *Provided*, That such credits may be utilized only for the construction of facilities to support United States military forces in that host nation, or such real property maintenance and base operating costs that are currently executed through monetary transfers to such host nations: *Provided further*, That the Department of Defense's budget submission for fiscal year 2003 shall identify such sums anticipated in residual value settlements, and identify such construction, real property maintenance or base operating costs that shall be funded by the host nation through such credits: *Provided further*, That all military construction projects to be executed from such accounts must be previously approved in a prior Act of Congress: *Provided further*, That each such executive agreement with a NATO member host nation shall be reported to the congressional defense committees, the Committee on International Relations of the House of Representatives and the Committee on Foreign Relations of the Senate 30 days prior to the conclusion and endorsement of any such agreement established under this provision.】

【SEC. 8020. None of the funds available to the Department of Defense may be used to demilitarize or dispose of M-1 Carbines, M-1 Garand rifles, M-14 rifles, .22 caliber rifles, .30 caliber rifles, or M-1911 pistols.】

【SEC. 8021. No more than \$500,000 of the funds appropriated or made available in this Act shall be used during a single fiscal year for any single relocation of an organization, unit, activity or function of the Department of Defense into or within the National Capital Region: *Provided*, That the Secretary of Defense may waive this re-

striction on a case-by-case basis by certifying in writing to the congressional defense committees that such a relocation is required in the best interest of the Government.】

【SEC. 8022. In addition to the funds provided elsewhere in this Act, \$8,000,000 is appropriated only for incentive payments authorized by section 504 of the Indian Financing Act of 1974 (25 U.S.C. 1544): *Provided*, That a subcontractor at any tier shall be considered a contractor for the purposes of being allowed additional compensation under section 504 of the Indian Financing Act of 1974 (25 U.S.C. 1544).】

【SEC. 8023. During the current fiscal year and hereafter, funds appropriated or otherwise available for any Federal agency, the Congress, the judicial branch, or the District of Columbia may be used for the pay, allowances, and benefits of an employee as defined by section 2105 of title 5, United States Code, or an individual employed by the government of the District of Columbia, permanent or temporary indefinite, who—

(1) is a member of a Reserve component of the Armed Forces, as described in section 10101 of title 10, United States Code, or the National Guard, as described in section 101 of title 32, United States Code;

(2) performs, for the purpose of providing military aid to enforce the law or providing assistance to civil authorities in the protection or saving of life or property or prevention of injury—

(A) Federal service under sections 331, 332, 333, or 12406 of title 10, United States Code, or other provision of law, as applicable; or

(B) full-time military service for his or her State, the District of Columbia, the Commonwealth of Puerto Rico, or a territory of the United States; and

(3) requests and is granted—

(A) leave under the authority of this section; or

(B) annual leave, which may be granted without regard to the provisions of sections 5519 and 6323(b) of title 5, United States Code, if such employee is otherwise entitled to such annual leave:

Provided, That any employee who requests leave under subsection (3)(A) for service described in subsection (2) of this section is entitled to such leave, subject to the provisions of this section and of the last sentence of section 6323(b) of title 5, United States Code, and such leave shall be considered leave under section 6323(b) of title 5, United States Code.】

【SEC. 8024. None of the funds appropriated by this Act shall be available to perform any cost study pursuant to the provisions of OMB Circular A-76 if the study being performed exceeds a period of 24 months after initiation of such study with respect to a single function activity or 48 months after initiation of such study for a multi-function activity.】

SEC. 【8025】 8013. Funds appropriated by this Act for the American Forces Information Service shall not be used for any national or international political or psychological activities.

SEC. 【8026】 8014. Notwithstanding any other provision of law or regulation, the Secretary of Defense may adjust wage rates for civilian employees hired for certain health care occupations as authorized for the Secretary of Veterans Affairs by section 7455 of title 38, United States Code.

【SEC. 8027. Of the funds made available in this Act, not less than \$55,000,000 shall be available to maintain an attrition reserve force of 18 B-52 aircraft, of which \$3,300,000 shall be available from "Military Personnel, Air Force", \$37,400,000 shall be available from "Operation and Maintenance, Air Force", and \$14,300,000 shall be available from "Aircraft Procurement, Air Force": *Provided*, That the Secretary of the Air Force shall maintain a total force of 94 B-52 aircraft, including 18 attrition reserve aircraft, during fiscal year 2002: *Provided further*, That the Secretary of Defense shall include in the Air Force budget request for fiscal year 2003 amounts sufficient to maintain a B-52 force totaling 94 aircraft.】

SEC. 【8028】 8015. (a) Of the funds for the procurement of supplies or services appropriated by this Act, qualified nonprofit agencies for the blind or other severely handicapped shall be afforded the maximum practicable opportunity to participate as subcontractors and suppliers in the performance of contracts let by the Department of Defense.

(b) During the current fiscal year, a business concern which has negotiated with a military service or defense agency a subcontracting plan for the participation by small business concerns pursuant to section 8(d) of the Small Business Act (15 U.S.C. 637(d)) shall be given credit toward meeting that subcontracting goal for any pur-

(TRANSFER OF FUNDS)—Continued

chases made from qualified nonprofit agencies for the blind or other severely handicapped.

(c) For the purpose of this section, the phrase “qualified nonprofit agency for the blind or other severely handicapped” means a nonprofit agency for the blind or other severely handicapped that has been approved by the Committee for the Purchase from the Blind and Other Severely Handicapped under the Javits-Wagner-O’Day Act (41 U.S.C. 46–48).

SEC. [8029] 8016. During the current fiscal year, net receipts pursuant to collections from third party payers pursuant to section 1095 of title 10, United States Code, shall be made available to the local facility of the uniformed services responsible for the collections and shall be over and above the facility’s direct budget amount.

SEC. [8030] 8017. During the current fiscal year, and from any funds available to the Department of Defense, the Department is authorized to incur obligations of not to exceed \$350,000,000 for purposes specified in section 2350j(c) of title 10, United States Code, in anticipation of receipt of contributions, only from the Government of Kuwait, under that section: *Provided*, That upon receipt, such contributions from the Government of Kuwait shall be credited to the appropriations or fund which incurred such obligations.

[SEC. 8031. Of the funds made available in this Act, not less than \$23,003,000 shall be available for the Civil Air Patrol Corporation, of which \$21,503,000 shall be available for Civil Air Patrol Corporation operation and maintenance to support readiness activities which includes \$1,500,000 for the Civil Air Patrol counterdrug program: *Provided*, That funds identified for “Civil Air Patrol” under this section are intended for and shall be for the exclusive use of the Civil Air Patrol Corporation and not for the Air Force or any unit thereof.]

SEC. [8032] 8018. (a) None of the funds appropriated in this Act are available to establish a new Department of Defense (department) federally funded research and development center (FFRDC), either as a new entity, or as a separate entity administrated by an organization managing another FFRDC, or as a nonprofit membership corporation consisting of a consortium of other FFRDCs and other nonprofit entities.

(b) No member of a Board of Directors, Trustees, Overseers, Advisory Group, Special Issues Panel, Visiting Committee, or any similar entity of a defense FFRDC, and no paid consultant to any defense FFRDC, except when acting in a technical advisory capacity, may be compensated for his or her services as a member of such entity, or as a paid consultant by more than one FFRDC in a fiscal year: *Provided*, That a member of any such entity referred to previously in this subsection shall be allowed travel expenses and per diem as authorized under the Federal Joint Travel Regulations, when engaged in the performance of membership duties.

(c) Notwithstanding any other provision of law, none of the funds available to the department from any source during fiscal year [2002] 2003 may be used by a defense FFRDC, through a fee or other payment mechanism, for construction of new buildings, for payment of cost sharing for projects funded by Government grants, for absorption of contract overruns, or for certain charitable contributions, not to include employee participation in community service and/or development.

(d) Notwithstanding any other provision of law, of the funds available to the department during fiscal year 2002, not more than 6,227 staff years of technical effort (staff years) may be funded for defense FFRDCs: *Provided*, That of the specific amount referred to previously in this subsection, not more than 1,029 staff years may be funded for the defense studies and analysis FFRDCs.

(e) The Secretary of Defense shall, with the submission of the department’s fiscal year 2003 budget request, submit a report presenting the specific amounts of staff years of technical effort to be allocated for each defense FFRDC during that fiscal year.

(f) Notwithstanding any other provision of this Act, the total amount appropriated in this Act for FFRDCs is hereby reduced by \$40,000,000.]

[SEC. 8033. None of the funds appropriated or made available in this Act shall be used to procure carbon, alloy or armor steel plate for use in any Government-owned facility or property under the control of the Department of Defense which were not melted and rolled in the United States or Canada: *Provided*, That these procurement restrictions shall apply to any and all Federal Supply Class 9515, American Society of Testing and Materials (ASTM) or American Iron and Steel Institute (AISI) specifications of carbon,

alloy or armor steel plate: *Provided further*, That the Secretary of the military department responsible for the procurement may waive this restriction on a case-by-case basis by certifying in writing to the Committees on Appropriations of the House of Representatives and the Senate that adequate domestic supplies are not available to meet Department of Defense requirements on a timely basis and that such an acquisition must be made in order to acquire capability for national security purposes: *Provided further*, That these restrictions shall not apply to contracts which are in being as of the date of the enactment of this Act.]

SEC. [8034] 8019. For the purposes of this Act, the term “congressional defense committees” means the Armed Services Committee of the House of Representatives, the Armed Services Committee of the Senate, the Subcommittee on Defense of the Committee on Appropriations of the Senate, and the Subcommittee on Defense of the Committee on Appropriations of the House of Representatives.

SEC. [8035] 8020. During the current fiscal year, the Department of Defense may acquire the modification, depot maintenance and repair of aircraft, vehicles and vessels as well as the production of components and other Defense-related articles, through competition between Department of Defense depot maintenance activities and private firms: *Provided*, That the Senior Acquisition Executive of the military department or defense agency concerned, with power of delegation, shall certify that successful bids include comparable estimates of all direct and indirect costs for both public and private bids: *Provided further*, That Office of Management and Budget Circular A–76 shall not apply to competitions conducted under this section.]

SEC. [8036] 8021. (a)(1) If the Secretary of Defense, after consultation with the United States Trade Representative, determines that a foreign country which is party to an agreement described in paragraph (2) has violated the terms of the agreement by discriminating against certain types of products produced in the United States that are covered by the agreement, the Secretary of Defense shall rescind the Secretary’s blanket waiver of the Buy American Act with respect to such types of products produced in that foreign country.

(2) An agreement referred to in paragraph (1) is any reciprocal defense procurement memorandum of understanding, between the United States and a foreign country pursuant to which the Secretary of Defense has prospectively waived the Buy American Act for certain products in that country.

(b) The Secretary of Defense shall submit to the Congress a report on the amount of Department of Defense purchases from foreign entities in fiscal year 2002. Such report shall separately indicate the dollar value of items for which the Buy American Act was waived pursuant to any agreement described in subsection (a)(2), the Trade Agreement Act of 1979 (19 U.S.C. 2501 et seq.), or any international agreement to which the United States is a party.

(c) For purposes of this section, the term “Buy American Act” means title III of the Act entitled “An Act making appropriations for the Treasury and Post Office Departments for the fiscal year ending June 30, 1934, and for other purposes”, approved March 3, 1933 (41 U.S.C. 10a et seq.).]

SEC. [8037] 8022. Appropriations contained in this Act that remain available at the end of the current fiscal year as a result of energy cost savings realized by the Department of Defense shall remain available for obligation for the next fiscal year to the extent, and for the purposes, provided in section 2865 of title 10, United States Code.

(INCLUDING TRANSFER OF FUNDS)

SEC. [8038] 8023. Amounts deposited during the current fiscal year to the special account established under 40 U.S.C. 485(h)(2) and to the special account established under 10 U.S.C. 2667(d)(1) are appropriated and shall be available until transferred by the Secretary of Defense to current applicable appropriations or funds of the Department of Defense under the terms and conditions specified by 40 U.S.C. 485(h)(2)(A) and (B) and 10 U.S.C. 2667(d)(1)(B), to be merged with and to be available for the same time period and the same purposes as the appropriation to which transferred.

[SEC. 8039. The President shall include with each budget for a fiscal year submitted to the Congress under section 1105 of title 31, United States Code, materials that shall identify clearly and separately the amounts requested in the budget for appropriation for that fiscal year for salaries and expenses related to administrative activities of the Department of Defense, the military departments, and the defense agencies.]

SEC. [8040] 8024. Notwithstanding any other provision of law, funds available for “Drug Interdiction and Counter-Drug Activities, Defense” may be obligated for the Young Marines program.

[(INCLUDING TRANSFER OF FUNDS)]

[SEC. 8041. During the current fiscal year, amounts contained in the Department of Defense Overseas Military Facility Investment Recovery Account established by section 2921(c)(1) of the National Defense Authorization Act of 1991 (Public Law 101–510; 10 U.S.C. 2687 note) shall be available until expended for the payments specified by section 2921(c)(2) of that Act.]

SEC. [8042] 8025. (a) IN GENERAL.—Notwithstanding any other provision of law, the Secretary of the Air Force may convey at no cost to the Air Force, without consideration, to Indian tribes located in the States of North Dakota, South Dakota, Montana, and Minnesota relocatable military housing units located at Grand Forks Air Force Base and Minot Air Force Base that are excess to the needs of the Air Force.

(b) PROCESSING OF REQUESTS.—The Secretary of the Air Force shall convey, at no cost to the Air Force, military housing units under subsection (a) in accordance with the request for such units that are submitted to the Secretary by the Operation Walking Shield Program on behalf of Indian tribes located in the States of North Dakota, South Dakota, Montana, and Minnesota.

(c) RESOLUTION OF HOUSING UNIT CONFLICTS.—The Operation Walking Shield program shall resolve any conflicts among requests of Indian tribes for housing units under subsection (a) before submitting requests to the Secretary of the Air Force under subsection (b).

(d) INDIAN TRIBE DEFINED.—In this section, the term “Indian tribe” means any recognized Indian tribe included on the current list published by the Secretary of the Interior under section 104 of the Federally Recognized Indian Tribe Act of 1994 (Public Law 103–454; 108 Stat. 4792; 25 U.S.C. 479a–1).

[SEC. 8043. During the current fiscal year, appropriations which are available to the Department of Defense for operation and maintenance may be used to purchase items having an investment item unit cost of not more than \$100,000: *Provided*, That the \$100,000 limitation shall not apply to amounts appropriated in this Act under the heading “Operation and Maintenance, Defense-Wide” for expenses related to certain classified activities.]

[SEC. 8044. (a) During the current fiscal year, none of the appropriations or funds available to the Department of Defense Working Capital Funds shall be used for the purchase of an investment item for the purpose of acquiring a new inventory item for sale or anticipated sale during the current fiscal year or a subsequent fiscal year to customers of the Department of Defense Working Capital Funds if such an item would not have been chargeable to the Department of Defense Business Operations Fund during fiscal year 1994 and if the purchase of such an investment item would be chargeable during the current fiscal year to appropriations made to the Department of Defense for procurement.

(b) The fiscal year 2003 budget request for the Department of Defense as well as all justification material and other documentation supporting the fiscal year 2003 Department of Defense budget shall be prepared and submitted to the Congress on the basis that any equipment which was classified as an end item and funded in a procurement appropriation contained in this Act shall be budgeted for in a proposed fiscal year 2003 procurement appropriation and not in the supply management business area or any other area or category of the Department of Defense Working Capital Funds.]

SEC. [8045] 8026. None of the funds appropriated by this Act for programs of the Central Intelligence Agency shall remain available for obligation beyond the current fiscal year, except for funds appropriated for the Reserve for Contingencies, which shall remain available until September 30, [2003] 2004: *Provided*, That funds appropriated, transferred, or otherwise credited to the Central Intelligence Agency Central Services Working Capital Fund during this or any prior or subsequent fiscal year shall remain available until expended: *Provided further*, That any funds appropriated or transferred to the Central Intelligence Agency for agent operations and for covert action programs authorized by the President under section 503 of the National Security Act of 1947, as amended, shall remain available until September 30, [2003] 2004.

SEC. [8046] 8027. Notwithstanding any other provision of law, funds made available in this Act for the Defense Intelligence Agency may be used for the design, development, and deployment of General Defense Intelligence Program intelligence communications and intel-

ligence information systems for the Services, the Unified and Specified Commands, and the component commands.

[SEC. 8047. Of the funds appropriated to the Department of Defense under the heading “Operation and Maintenance, Defense-Wide”, not less than \$10,000,000 shall be made available only for the mitigation of environmental impacts, including training and technical assistance to tribes, related administrative support, the gathering of information, documenting of environmental damage, and developing a system for prioritization of mitigation and cost to complete estimates for mitigation, on Indian lands resulting from Department of Defense activities.]

[SEC. 8048. Amounts collected for the use of the facilities of the National Science Center for Communications and Electronics during the current fiscal year and hereafter pursuant to section 1459(g) of the Department of Defense Authorization Act, 1986, and deposited to the special account established under subsection 1459(g)(2) of that Act are appropriated and shall be available until expended for the operation and maintenance of the Center as provided for in subsection 1459(g)(2).]

[(TRANSFER OF FUNDS)]

[SEC. 8049. In addition to the amounts appropriated elsewhere in this Act, \$10,000,000 is hereby appropriated to the Department of Defense: *Provided*, That at the direction of the Assistant Secretary of Defense for Reserve Affairs, these funds shall be transferred to the Reserve component personnel accounts in title I of this Act: *Provided further*, That these funds shall be used for incentive and bonus programs that address the most pressing recruitment and retention issues in the Reserve components.]

SEC. [8050] 8028. (a) None of the funds appropriated in this Act may be expended by an entity of the Department of Defense unless the entity, in expending the funds, complies with the Buy American Act. For purposes of this subsection, the term “Buy American Act” means title III of the Act entitled “An Act making appropriations for the Treasury and Post Office Departments for the fiscal year ending June 30, 1934, and for other purposes”, approved March 3, 1933 (41 U.S.C. 10a et seq.).

(b) If the Secretary of Defense determines that a person has been convicted of intentionally affixing a label bearing a “Made in America” inscription to any product sold in or shipped to the United States that is not made in America, the Secretary shall determine, in accordance with section 2410f of title 10, United States Code, whether the person should be debarred from contracting with the Department of Defense.

(c) In the case of any equipment or products purchased with appropriations provided under this Act, it is the sense of the Congress that any entity of the Department of Defense, in expending the appropriation, purchase only American-made equipment and products, provided that American-made equipment and products are cost-competitive, quality-competitive, and available in a timely fashion.

SEC. [8051] 8029. None of the funds appropriated by this Act shall be available for a contract for studies, analysis, or consulting services entered into without competition on the basis of an unsolicited proposal unless the head of the activity responsible for the procurement determines—

(1) as a result of thorough technical evaluation, only one source is found fully qualified to perform the proposed work;

(2) the purpose of the contract is to explore an unsolicited proposal which offers significant scientific or technological promise, represents the product of original thinking, and was submitted in confidence by one source; or

(3) the purpose of the contract is to take advantage of unique and significant industrial accomplishment by a specific concern, or to insure that a new product or idea of a specific concern is given financial support:

Provided, That this limitation shall not apply to contracts in an amount of less than \$25,000, contracts related to improvements of equipment that is in development or production, or contracts as to which a civilian official of the Department of Defense, who has been confirmed by the Senate, determines that the award of such contract is in the interest of the national defense.

[SEC. 8052. (a) Except as provided in subsections (b) and (c), none of the funds made available by this Act may be used—

(1) to establish a field operating agency; or

(2) to pay the basic pay of a member of the Armed Forces or civilian employee of the department who is transferred or reassigned from a headquarters activity if the member or employee’s place of duty remains at the location of that headquarters.

[(TRANSFER OF FUNDS)]—Continued

(b) The Secretary of Defense or Secretary of a military department may waive the limitations in subsection (a), on a case-by-case basis, if the Secretary determines, and certifies to the Committees on Appropriations of the House of Representatives and Senate that the granting of the waiver will reduce the personnel requirements or the financial requirements of the department.

(c) This section does not apply to field operating agencies funded within the National Foreign Intelligence Program.]

SEC. [8053] 8030. Notwithstanding section 303 of Public Law 96-487 or any other provision of law, the Secretary of the Navy is authorized to lease real and personal property at Naval Air Facility, Adak, Alaska, pursuant to 10 U.S.C. 2667(f), for commercial, industrial or other purposes: *Provided*, That notwithstanding any other provision of law, the Secretary of the Navy may remove hazardous materials from facilities, buildings, and structures at Adak, Alaska, and may demolish or otherwise dispose of such facilities, buildings, and structures.

[(RESCISSIONS)]

[SEC. 8054. Of the funds provided in Department of Defense Appropriations Acts, the following funds are hereby rescinded from the following accounts and programs in the specified amounts:

“Former Soviet Union Threat Reduction, 2000/2002”, \$32,000,000;
 “Other Procurement, Navy, 2000/2002”, \$15,300,000;
 “Aircraft Procurement, Air Force, 2000/2002”, \$8,500,000;
 “Other Procurement, Air Force, 2000/2002”, \$20,000,000;
 “Aircraft Procurement, Army, 2001/2003”, \$16,000,000;
 “Procurement of Ammunition, Army, 2001/2003”, \$27,400,000;
 “Other Procurement, Army, 2001/2003”, \$28,745,000;
 “Aircraft Procurement, Navy, 2001/2003”, \$8,600,000;
 “Weapons Procurement, Navy, 2001/2003”, \$20,000,000;
 “Other Procurement, Navy, 2001/2003”, \$7,600,000;
 “Procurement, Marine Corps, 2001/2003”, \$1,000,000;
 “Aircraft Procurement, Air Force, 2001/2003”, \$63,283,000;
 “Missile Procurement, Air Force, 2001/2003”, \$58,450,000;
 “Procurement of Ammunition, Air Force, 2001/2003”, \$5,800,000;
 “Other Procurement, Air Force, 2001/2003”, \$10,200,000;
 “Procurement, Defense-Wide, 2001/2003”, \$113,434,000;
 “Research, Development, Test and Evaluation, Army, 2001/2002”, \$6,300,000;
 “Research, Development, Test and Evaluation, Navy, 2001/2002”, \$18,800,000;
 “Research, Development, Test and Evaluation, Air Force, 2001/2002”, \$69,283,000; and
 “Research, Development, Test and Evaluation, Defense-Wide, 2001/2002”, \$780,000.]

[SEC. 8055. None of the funds available in this Act may be used to reduce the authorized positions for military (civilian) technicians of the Army National Guard, the Air National Guard, Army Reserve and Air Force Reserve for the purpose of applying any administratively imposed civilian personnel ceiling, freeze, or reduction on military (civilian) technicians, unless such reductions are a direct result of a reduction in military force structure.]

SEC. [8056] 8031. None of the funds appropriated or otherwise made available in this Act may be obligated or expended for assistance to the Democratic People’s Republic of North Korea unless specifically appropriated for that purpose.

SEC. [8057] 8032. During the current fiscal year, funds appropriated in this Act are available to compensate members of the National Guard for duty performed pursuant to a plan submitted by a Governor of a State and approved by the Secretary of Defense under section 112 of title 32, United States Code: *Provided*, That during the performance of such duty, the members of the National Guard shall be under State command and control: *Provided further*, That such duty shall be treated as full-time National Guard duty for purposes of sections 12602(a)(2) and (b)(2) of title 10, United States Code.

SEC. [8058] 8033. Funds appropriated in this Act for operation and maintenance of the Military Departments, Combatant Commands and Defense Agencies shall be available for reimbursement of pay, allowances and other expenses which would otherwise be incurred against appropriations for the National Guard and Reserve when members of the National Guard and Reserve provide intelligence or counterintelligence support to Combatant Commands, Defense Agencies and Joint Intelligence Activities, including the activities and programs included within the National Foreign Intelligence Program (NFIP), the Joint Military Intelligence Program (JMIP), and the Tac-

tical Intelligence and Related Activities (TIARA) aggregate: *Provided*, That nothing in this section authorizes deviation from established Reserve and National Guard personnel and training procedures.

[SEC. 8059. During the current fiscal year, none of the funds appropriated in this Act may be used to reduce the civilian medical and medical support personnel assigned to military treatment facilities below the September 30, 2001 level: *Provided*, That the Service Surgeons General may waive this section by certifying to the congressional defense committees that the beneficiary population is declining in some catchment areas and civilian strength reductions may be consistent with responsible resource stewardship and capitation-based budgeting.]

[SEC. 8060. (a) LIMITATION ON PENTAGON RENOVATION COSTS.—Not later than the date each year on which the President submits to Congress the budget under section 1105 of title 31, United States Code, the Secretary of Defense shall submit to Congress a certification that the total cost for the planning, design, construction, and installation of equipment for the renovation of wedges 2 through 5 of the Pentagon Reservation, cumulatively, will not exceed four times the total cost for the planning, design, construction, and installation of equipment for the renovation of wedge 1.

(b) ANNUAL ADJUSTMENT.—For purposes of applying the limitation in subsection (a), the Secretary shall adjust the cost for the renovation of wedge 1 by any increase or decrease in costs attributable to economic inflation, based on the most recent economic assumptions issued by the Office of Management and Budget for use in preparation of the budget of the United States under section 1104 of title 31, United States Code.

(c) EXCLUSION OF CERTAIN COSTS.—For purposes of calculating the limitation in subsection (a), the total cost for wedges 2 through 5 shall not include—

(1) any repair or reconstruction cost incurred as a result of the terrorist attack on the Pentagon that occurred on September 11, 2001;

(2) any increase in costs for wedges 2 through 5 attributable to compliance with new requirements of Federal, State, or local laws; and

(3) any increase in costs attributable to additional security requirements that the Secretary of Defense considers essential to provide a safe and secure working environment.

(d) CERTIFICATION COST REPORTS.—As part of the annual certification under subsection (a), the Secretary shall report the projected cost (as of the time of the certification) for—

(1) the renovation of each wedge, including the amount adjusted or otherwise excluded for such wedge under the authority of paragraphs (2) and (3) of subsection (c) for the period covered by the certification; and

(2) the repair and reconstruction of wedges 1 and 2 in response to the terrorist attack on the Pentagon that occurred on September 11, 2001.

(e) DURATION OF CERTIFICATION REQUIREMENT.—The requirement to make an annual certification under subsection (a) shall apply until the Secretary certifies to Congress that the renovation of the Pentagon Reservation is completed.]

[SEC. 8061. Notwithstanding any other provision of law, that not more than 35 percent of funds provided in this Act, for environmental remediation may be obligated under indefinite delivery/indefinite quantity contracts with a total contract value of \$130,000,000 or higher.]

[SEC. 8062. Of the funds made available under the heading “Operation and Maintenance, Air Force”, \$10,200,000 shall be available to realign railroad track on Elmendorf Air Force Base and Fort Richardson.]

SEC. [8063] 8034. (a) None of the funds available to the Department of Defense for any fiscal year for drug interdiction or counter-drug activities may be transferred to any other department or agency of the United States except as specifically provided in an appropriations law.

(b) None of the funds available to the Central Intelligence Agency for any fiscal year for drug interdiction and counter-drug activities may be transferred to any other department or agency of the United States except as specifically provided in an appropriations law.

(TRANSFER OF FUNDS)

SEC. [8064] 8035. Appropriations available in this Act under the heading “Operation and Maintenance, Defense-Wide” for increasing energy and water efficiency in Federal buildings may, during their period of availability, be transferred to other appropriations or funds

of the Department of Defense for projects related to increasing energy and water efficiency, to be merged with and to be available for the same general purposes, and for the same time period, as the appropriation or fund to which transferred.

SEC. 8065. None of the funds appropriated by this Act may be used for the procurement of ball and roller bearings other than those produced by a domestic source and of domestic origin: *Provided*, That the Secretary of the military department responsible for such procurement may waive this restriction on a case-by-case basis by certifying in writing to the Committees on Appropriations of the House of Representatives and the Senate, that adequate domestic supplies are not available to meet Department of Defense requirements on a timely basis and that such an acquisition must be made in order to acquire capability for national security purposes: *Provided further*, That this restriction shall not apply to the purchase of “commercial items”, as defined by section 4(12) of the Office of Federal Procurement Policy Act, except that the restriction shall apply to ball or roller bearings purchased as end items.]

SEC. [8066] 8036. Notwithstanding any other provision of law, funds available to the Department of Defense shall be made available to provide transportation of medical supplies and equipment, on a nonreimbursable basis, to American Samoa, and funds available to the Department of Defense shall be made available to provide transportation of medical supplies and equipment, on a nonreimbursable basis, to the Indian Health Service when it is in conjunction with a civil-military project.

SEC. 8067. None of the funds in this Act may be used to purchase any supercomputer which is not manufactured in the United States, unless the Secretary of Defense certifies to the congressional defense committees that such an acquisition must be made in order to acquire capability for national security purposes that is not available from United States manufacturers.]

SEC. [8068] 8037. Notwithstanding any other provision of law, the Naval shipyards of the United States shall be eligible to participate in any manufacturing extension program financed by funds appropriated in this or any other Act.

SEC. 8069. Notwithstanding any other provision of law, each contract awarded by the Department of Defense during the current fiscal year for construction or service performed in whole or in part in a State (as defined in section 381(d) of title 10, United States Code) which is not contiguous with another State and has an unemployment rate in excess of the national average rate of unemployment as determined by the Secretary of Labor, shall include a provision requiring the contractor to employ, for the purpose of performing that portion of the contract in such State that is not contiguous with another State, individuals who are residents of such State and who, in the case of any craft or trade, possess or would be able to acquire promptly the necessary skills: *Provided*, That the Secretary of Defense may waive the requirements of this section, on a case-by-case basis, in the interest of national security.]

SEC. 8070. None of the funds made available in this or any other Act may be used to pay the salary of any officer or employee of the Department of Defense who approves or implements the transfer of administrative responsibilities or budgetary resources of any program, project, or activity financed by this Act to the jurisdiction of another Federal agency not financed by this Act without the express authorization of Congress: *Provided*, That this limitation shall not apply to transfers of funds expressly provided for in Defense Appropriations Acts, or provisions of Acts providing supplemental appropriations for the Department of Defense.]

SEC. 8071. Of the funds made available in this Act under the heading “Operation and Maintenance, Defense-Wide”, up to \$5,000,000 shall be available to provide assistance, by grant or otherwise, to public school systems that have unusually high concentrations of special needs military dependents enrolled: *Provided*, That in selecting school systems to receive such assistance, special consideration shall be given to school systems in States that are considered overseas assignments: *Provided further*, That up to \$2,000,000 shall be available for the Department of Defense to establish a non-profit trust fund to assist in the public-private funding of public school repair and maintenance projects, or provide directly to non-profit organizations who in return will use these monies to provide assistance in the form of repair, maintenance, or renovation to public school systems that have high concentrations of special needs military dependents and are located in States that are considered overseas assignments: *Provided further*, That to the extent a Federal agency provides this assistance, by contract, grant or otherwise, it may accept and expend non-Federal funds in combination with these Federal

funds to provide assistance for the authorized purpose, if the non-Federal entity requests such assistance and the non-Federal funds are provided on a reimbursable basis.]

SEC. 8072. (a) LIMITATION ON TRANSFER OF DEFENSE ARTICLES AND SERVICES.—Notwithstanding any other provision of law, none of the funds available to the Department of Defense for the current fiscal year may be obligated or expended to transfer to another nation or an international organization any defense articles or services (other than intelligence services) for use in the activities described in subsection (b) unless the congressional defense committees, the Committee on International Relations of the House of Representatives, and the Committee on Foreign Relations of the Senate are notified 15 days in advance of such transfer.

(b) COVERED ACTIVITIES.—This section applies to—

(1) any international peacekeeping or peace-enforcement operation under the authority of chapter VI or chapter VII of the United Nations Charter under the authority of a United Nations Security Council resolution; and

(2) any other international peacekeeping, peace-enforcement, or humanitarian assistance operation.

(c) REQUIRED NOTICE.—A notice under subsection (a) shall include the following:

(1) A description of the equipment, supplies, or services to be transferred.

(2) A statement of the value of the equipment, supplies, or services to be transferred.

(3) In the case of a proposed transfer of equipment or supplies—

(A) a statement of whether the inventory requirements of all elements of the Armed Forces (including the reserve components) for the type of equipment or supplies to be transferred have been met; and

(B) a statement of whether the items proposed to be transferred will have to be replaced and, if so, how the President proposes to provide funds for such replacement.]

SEC. [8073] 8038. To the extent authorized by subchapter VI of chapter 148 of title 10, United States Code, the Secretary of Defense may issue loan guarantees in support of United States defense exports not otherwise provided for: *Provided*, That the total contingent liability of the United States for guarantees issued under the authority of this section may not exceed \$15,000,000,000: *Provided further*, That the exposure fees charged and collected by the Secretary for each guarantee shall be paid by the country involved and shall not be financed as part of a loan guaranteed by the United States: *Provided further*, That the Secretary shall provide quarterly reports to the Committees on Appropriations, Armed Services, and Foreign Relations of the Senate and the Committees on Appropriations, Armed Services, and International Relations in the House of Representatives on the implementation of this program: *Provided further*, That amounts charged for administrative fees and deposited to the special account provided for under section 2540c(d) of title 10, shall be available for paying the costs of administrative expenses of the Department of Defense that are attributable to the loan guarantee program under subchapter VI of chapter 148 of title 10, United States Code.

SEC. [8074] 8039. None of the funds available to the Department of Defense under this Act shall be obligated or expended to pay a contractor under a contract with the Department of Defense for costs of any amount paid by the contractor to an employee when—

(1) such costs are for a bonus or otherwise in excess of the normal salary paid by the contractor to the employee; and

(2) such bonus is part of restructuring costs associated with a business combination.

SEC. 8075. (a) None of the funds appropriated or otherwise made available in this Act may be used to transport or provide for the transportation of chemical munitions or agents to the Johnston Atoll for the purpose of storing or demilitarizing such munitions or agents.

(b) The prohibition in subsection (a) shall not apply to any obsolete World War II chemical munition or agent of the United States found in the World War II Pacific Theater of Operations.

(c) The President may suspend the application of subsection (a) during a period of war in which the United States is a party.]

SEC. 8076. Up to \$3,000,000 of the funds appropriated under the heading “Operation and Maintenance, Navy” in this Act for the Pacific Missile Range Facility may be made available to contract for the repair, maintenance, and operation of adjacent off-base water, drainage, and flood control systems critical to base operations.]

(INCLUDING TRANSFER OF FUNDS)

SEC. [8077] 8040. During the current fiscal year, no more than \$30,000,000 of appropriations made in this Act under the heading

(INCLUDING TRANSFER OF FUNDS)—Continued

“Operation and Maintenance, Defense-Wide” may be transferred to appropriations available for the pay of military personnel, to be merged with, and to be available for the same time period as the appropriations to which transferred, to be used in support of such personnel in connection with support and services for eligible organizations and activities outside the Department of Defense pursuant to section 2012 of title 10, United States Code.

SEC. [8078] 8041. For purposes of section 1553(b) of title 31, United States Code, any subdivision of appropriations made in this Act under the heading “Shipbuilding and Conversion, Navy” shall be considered to be for the same purpose as any subdivision under the heading “Shipbuilding and Conversion, Navy” appropriations in any prior year, and the 1 percent limitation shall apply to the total amount of the appropriation.

[SEC. 8079. During the current fiscal year, in the case of an appropriation account of the Department of Defense for which the period of availability for obligation has expired or which has closed under the provisions of section 1552 of title 31, United States Code, and which has a negative unliquidated or unexpended balance, an obligation or an adjustment of an obligation may be charged to any current appropriation account for the same purpose as the expired or closed account if—

(1) the obligation would have been properly chargeable (except as to amount) to the expired or closed account before the end of the period of availability or closing of that account;

(2) the obligation is not otherwise properly chargeable to any current appropriation account of the Department of Defense; and

(3) in the case of an expired account, the obligation is not chargeable to a current appropriation of the Department of Defense under the provisions of section 1405(b)(8) of the National Defense Authorization Act for Fiscal Year 1991, Public Law 101–510, as amended (31 U.S.C. 1551 note): *Provided*, That in the case of an expired account, if subsequent review or investigation discloses that there was not in fact a negative unliquidated or unexpended balance in the account, any charge to a current account under the authority of this section shall be reversed and recorded against the expired account: *Provided further*, That the total amount charged to a current appropriation under this section may not exceed an amount equal to 1 percent of the total appropriation for that account.]

SEC. [8080] 8042. Funds appropriated in title II of this Act and for the Defense Health Program in title VI of this Act for supervision and administration costs for facilities maintenance and repair, minor construction, or design projects may be obligated at the time the reimbursable order is accepted by the performing activity: *Provided*, That for the purpose of this section, supervision and administration costs includes all in-house Government cost.

SEC. [8081] 8043. During the current fiscal year, the Secretary of Defense may waive reimbursement of the cost of conferences, seminars, courses of instruction, or similar educational activities of the Asia-Pacific Center for Security Studies for military officers and civilian officials of foreign nations if the Secretary determines that attendance by such personnel, without reimbursement, is in the national security interest of the United States: *Provided*, That costs for which reimbursement is waived pursuant to this section shall be paid from appropriations available for the Asia-Pacific Center.

SEC. [8082] 8044. (a) Notwithstanding any other provision of law, the Chief of the National Guard Bureau may permit the use of equipment of the National Guard Distance Learning Project by any person or entity on a space-available, reimbursable basis. The Chief of the National Guard Bureau shall establish the amount of reimbursement for such use on a case-by-case basis.

(b) Amounts collected under subsection (a) shall be credited to funds available for the National Guard Distance Learning Project and be available to defray the costs associated with the use of equipment of the project under that subsection. Such funds shall be available for such purposes without fiscal year limitation.

[SEC. 8083. Using funds available by this Act or any other Act, the Secretary of the Air Force, pursuant to a determination under section 2690 of title 10, United States Code, may implement cost-effective agreements for required heating facility modernization in the Kaiserslautern Military Community in the Federal Republic of Germany: *Provided*, That in the City of Kaiserslautern such agreements will include the use of United States anthracite as the base load energy for municipal district heat to the United States Defense installations: *Provided further*, That at Landstuhl Army Regional Medical Center and Ramstein Air Base, furnished heat may be ob-

tained from private, regional or municipal services, if provisions are included for the consideration of United States coal as an energy source.]

[SEC. 8084. Notwithstanding 31 U.S.C. 3902, during the current fiscal year and hereafter, interest penalties may be paid by the Department of Defense from funds financing the operation of the military department or defense agency with which the invoice or contract payment is associated.]

SEC. [8085] 8045. None of the funds appropriated in title IV of this Act may be used to procure end-items for delivery to military forces for operational training, operational use or inventory requirements: *Provided*, That this restriction does not apply to end-items used in development, prototyping, and test activities preceding and leading to acceptance for operational use: *Provided further*, That this restriction does not apply to programs funded within the National Foreign Intelligence Program: *Provided further*, That the Secretary of Defense may waive this restriction on a case-by-case basis by certifying in writing to the Committees on Appropriations of the House of Representatives and the Senate that it is in the national security interest to do so.

[SEC. 8086. Of the funds made available under the heading “Operation and Maintenance, Air Force”, not less than \$1,500,000 shall be made available by grant or otherwise, to the Council of Athabascan Tribal Governments, to provide assistance for health care, monitoring and related issues associated with research conducted from 1955 to 1957 by the former Arctic Aeromedical Laboratory.]

[SEC. 8087. In addition to the amounts appropriated or otherwise made available in this Act, \$3,500,000, to remain available until September 30, 2002, is hereby appropriated to the Department of Defense: *Provided*, That the Secretary of Defense shall make a grant in the amount of \$3,500,000 to the American Red Cross for Armed Forces Emergency Services.]

[SEC. 8088. None of the funds made available in this Act may be used to approve or license the sale of the F–22 advanced tactical fighter to any foreign government.]

SEC. [8089] 8046. (a) The Secretary of Defense may, on a case-by-case basis, waive with respect to a foreign country each limitation on the procurement of defense items from foreign sources provided in law if the Secretary determines that the application of the limitation with respect to that country would invalidate cooperative programs entered into between the Department of Defense and the foreign country, or would invalidate reciprocal trade agreements for the procurement of defense items entered into under section 2531 of title 10, United States Code, and the country does not discriminate against the same or similar defense items produced in the United States for that country.

(b) Subsection (a) applies with respect to—

(1) contracts and subcontracts entered into on or after the date of the enactment of this Act; and

(2) options for the procurement of items that are exercised after such date under contracts that are entered into before such date if the option prices are adjusted for any reason other than the application of a waiver granted under subsection (a).

(c) Subsection (a) does not apply to a limitation regarding construction of public vessels, ball and roller bearings, food, and clothing or textile materials as defined by section 11 (chapters 50–65) of the Harmonized Tariff Schedule and products classified under headings 4010, 4202, 4203, 6401 through 6406, 6505, 7019, 7218 through 7229, 7304.41 through 7304.49, 7306.40, 7502 through 7508, 8105, 8108, 8109, 8211, 8215, and 9404.

[SEC. 8090. Funds made available to the Civil Air Patrol in this Act under the heading “Drug Interdiction and Counter-Drug Activities, Defense” may be used for the Civil Air Patrol Corporation’s counterdrug program, including its demand reduction program involving youth programs, as well as operational and training drug reconnaissance missions for Federal, State, and local government agencies; and for equipment needed for mission support or performance: *Provided*, That the Department of the Air Force should waive reimbursement from the Federal, State, and local government agencies for the use of these funds.]

[SEC. 8091. Section 8125 of the Department of Defense Appropriations Act, 2001 (Public Law 106–259), is hereby repealed.]

[SEC. 8092. Of the funds appropriated in this Act under the heading “Research, Development, Test and Evaluation, Navy”, up to \$2,600,000 may be made available for a Maritime Fire Training Center at Barbers Point, including provision for laboratories, construction, and other efforts associated with research, development, and other programs of major importance to the Department of Defense.]

SEC. [8093] 8047. (a) PROHIBITION.—None of the funds made available by this Act may be used to support any training program involving a unit of the security forces of a foreign country if the Secretary of Defense has received credible information from the Department of State that the unit has committed a gross violation of human rights, unless all necessary corrective steps have been taken.

(b) MONITORING.—The Secretary of Defense, in consultation with the Secretary of State, shall ensure that prior to a decision to conduct any training program referred to in subsection (a), full consideration is given to all credible information available to the Department of State relating to human rights violations by foreign security forces.

(c) WAIVER.—The Secretary of Defense, after consultation with the Secretary of State, may waive the prohibition in subsection (a) if he determines that such waiver is required by extraordinary circumstances.

(d) REPORT.—Not more than 15 days after the exercise of any waiver under subsection (c), the Secretary of Defense shall submit a report to the congressional defense committees describing the extraordinary circumstances, the purpose and duration of the training program, the United States forces and the foreign security forces involved in the training program, and the information relating to human rights violations that necessitates the waiver.

SEC. [8094] 8048. The Secretary of Defense, in coordination with the Secretary of Health and Human Services, may carry out a program to distribute surplus dental equipment of the Department of Defense, at no cost to the Department of Defense, to Indian health service facilities and to federally-qualified health centers (within the meaning of section 1905(1)(2)(B) of the Social Security Act (42 U.S.C. 1396d(1)(2)(B))).

[SEC. 8095. The total amount appropriated in this Act is hereby reduced by \$240,000,000 to reflect savings from favorable foreign currency fluctuations, to be derived as follows:

“Military Personnel, Army”, \$39,400,000;
 “Military Personnel, Navy”, \$800,000;
 “Military Personnel, Marine Corps”, \$9,900,000;
 “Military Personnel, Air Force”, \$19,500,000;
 “Operation and Maintenance, Army”, \$87,600,000;
 “Operation and Maintenance, Navy”, \$18,300,000;
 “Operation and Maintenance, Marine Corps”, \$1,300,000;
 “Operation and Maintenance, Air Force”, \$33,800,000; and
 “Operation and Maintenance, Defense-Wide”, \$29,400,000.]

[SEC. 8096. None of the funds appropriated or made available in this Act to the Department of the Navy shall be used to develop, lease or procure the T-AKE class of ships unless the main propulsion diesel engines and propulsors are manufactured in the United States by a domestically operated entity: *Provided*, That the Secretary of Defense may waive this restriction on a case-by-case basis by certifying in writing to the Committees on Appropriations of the House of Representatives and the Senate that adequate domestic supplies are not available to meet Department of Defense requirements on a timely basis and that such an acquisition must be made in order to acquire capability for national security purposes or there exists a significant cost or quality difference.]

[SEC. 8097. The budget of the President for fiscal year 2003 submitted to the Congress pursuant to section 1105 of title 31, United States Code, and each annual budget request thereafter, shall include separate budget justification documents for costs of United States Armed Forces’ participation in contingency operations for the Military Personnel accounts, the Overseas Contingency Operations Transfer Fund, the Operation and Maintenance accounts, and the Procurement accounts: *Provided*, That these budget justification documents shall include a description of the funding requested for each anticipated contingency operation, for each military service, to include active duty and Guard and Reserve components, and for each appropriation account: *Provided further*, That these documents shall include estimated costs for each element of expense or object class, a reconciliation of increases and decreases for ongoing contingency operations, and programmatic data including, but not limited to troop strength for each active duty and Guard and Reserve component, and estimates of the major weapons systems deployed in support of each contingency: *Provided further*, That these documents shall include budget exhibits OP-5 and OP-32, as defined in the Department of Defense Financial Management Regulation, for the Overseas Contingency Operations Transfer Fund for fiscal years 2001 and 2002.]

[SEC. 8098. Notwithstanding any other provision of law, the total amount appropriated in this Act under title I and title II is hereby reduced by \$50,000,000: *Provided*, That during the current fiscal year, not more than 250 military and civilian personnel of the Department

of Defense shall be assigned to legislative affairs or legislative liaison functions: *Provided further*, That of the 250 personnel assigned to legislative liaison or legislative affairs functions, 20 percent shall be assigned to the Office of the Secretary of Defense and the Office of the Chairman of the Joint Chiefs of Staff, 20 percent shall be assigned to the Department of the Army, 20 percent shall be assigned to the Department of the Navy, 20 percent shall be assigned to the Department of the Air Force, and 20 percent shall be assigned to the combatant commands: *Provided further*, That of the personnel assigned to legislative liaison and legislative affairs functions, no fewer than 20 percent shall be assigned to the Under Secretary of Defense (Comptroller), the Assistant Secretary of the Army (Financial Management and Comptroller), the Assistant Secretary of the Navy (Financial Management and Comptroller), and the Assistant Secretary of the Air Force (Financial Management and Comptroller).]

SEC. [8099] 8049. None of the funds appropriated or otherwise made available by this or other Department of Defense Appropriations Acts may be obligated or expended for the purpose of performing repairs or maintenance to military family housing units of the Department of Defense, including areas in such military family housing units that may be used for the purpose of conducting official Department of Defense business.

[SEC. 8100. Notwithstanding any other provision of law, funds appropriated in this Act under the heading “Research, Development, Test and Evaluation, Defense-Wide” for any advanced concept technology demonstration project may only be obligated 30 days after a report, including a description of the project and its estimated annual and total cost, has been provided in writing to the congressional defense committees: *Provided*, That the Secretary of Defense may waive this restriction on a case-by-case basis by certifying to the congressional defense committees that it is in the national interest to do so.]

[SEC. 8101. Notwithstanding any other provision of law, for the purpose of establishing all Department of Defense policies governing the provision of care provided by and financed under the military health care system’s case management program under 10 U.S.C. 1079(a)(17), the term “custodial care” shall be defined as care designed essentially to assist an individual in meeting the activities of daily living and which does not require the supervision of trained medical, nursing, paramedical or other specially trained individuals: *Provided*, That the case management program shall provide that members and retired members of the military services, and their dependents and survivors, have access to all medically necessary health care through the health care delivery system of the military services regardless of the health care status of the person seeking the health care: *Provided further*, That the case management program shall be the primary obligor for payment of medically necessary services and shall not be considered as secondarily liable to title XIX of the Social Security Act, other welfare programs or charity based care.]

[SEC. 8102. Notwithstanding any other provision in this Act, the total amount appropriated in this Act is hereby reduced by \$262,000,000, to reduce cost growth in travel, to be distributed as follows:

“Operation and Maintenance, Army”, \$21,000,000;
 “Operation and Maintenance, Navy”, \$14,000,000;
 “Operation and Maintenance, Marine Corps”, \$4,000,000;
 “Operation and Maintenance, Air Force”, \$180,000,000;
 “Operation and Maintenance, Defense-wide”, \$20,000,000;
 “Operation and Maintenance, Army Reserve”, \$4,000,000;
 “Operation and Maintenance, Navy Reserve”, \$2,000,000;
 “Operation and Maintenance, Air Force Reserve”, \$5,000,000;
 “Operation and Maintenance, Army National Guard”, \$6,000,000;
 and
 “Operation and Maintenance, Air National Guard”, \$6,000,000.]

SEC. [8103] 8050. During the current fiscal year, refunds attributable to the use of the Government travel card, refunds attributable to the use of the Government Purchase Card and refunds attributable to official Government travel arranged by Government Contracted Travel Management Centers may be credited to operation and maintenance accounts of the Department of Defense which are current when the refunds are received.

SEC. [8104] 8051. (a) REGISTERING FINANCIAL MANAGEMENT INFORMATION TECHNOLOGY SYSTEMS WITH DOD CHIEF INFORMATION OFFICER.—None of the funds appropriated in this Act may be used for a mission critical or mission essential financial management information technology system (including a system funded by the defense working capital fund) that is not registered with the Chief Informa-

(INCLUDING TRANSFER OF FUNDS)—Continued

tion Officer of the Department of Defense. A system shall be considered to be registered with that officer upon the furnishing to that officer of notice of the system, together with such information concerning the system as the Secretary of Defense may prescribe. A financial management information technology system shall be considered a mission critical or mission essential information technology system as defined by the Under Secretary of Defense (Comptroller).

(b) CERTIFICATIONS AS TO COMPLIANCE WITH FINANCIAL MANAGEMENT MODERNIZATION PLAN.—[(1)] During the current fiscal year, a financial management major automated information system may not receive Milestone [I] A approval, Milestone [II] B approval, or [Milestone III approval] *full rate production*, or their equivalent, within the Department of Defense until the Under Secretary of Defense (Comptroller) certifies, with respect to that milestone, that the system is being developed *and managed* in accordance with the Department's Financial Management Modernization Plan. The Under Secretary of Defense (Comptroller) may require additional certifications, as appropriate, with respect to any such system.

[(2)] The Chief Information Officer shall provide the congressional defense committees timely notification of certifications under paragraph (1).]

[(c)] (c) *Certifications as to Compliance With Clinger-Cohen Act.*—(1) *During the current fiscal year, a major automated information system may not receive Milestone A approval, Milestone B approval, or full rate production approval, or their equivalent, within the Department of Defense until the Chief Information Officer certifies, with respect to that milestone, that the system is being developed in accordance with the Clinger-Cohen Act of 1996 (40 U.S.C. 1401 et seq.). The Chief Information Officer may require additional certifications, as appropriate, with respect to any such system.*

(2) *The Chief Information Officer shall provide the congressional defense committees timely notification of certifications under paragraph (1). Each such notification shall include, at a minimum, the funding baseline and milestone schedule for each system covered by such a certification and confirmation that the following steps have been taken with respect to the system:*

(A) *Business process reengineering.*

(B) *An analysis of alternatives.*

(C) *An economic analysis that includes a calculation of the return on investment.*

(D) *Performance measures.*

(E) *An information assurance strategy consistent with the Department's Global Information Grid.*

(d) *Definitions.*—For purposes of this section:

(1) The term “Chief Information Officer” means the senior official of the Department of Defense designated by the Secretary of Defense pursuant to section 3506 of title 44, United States Code.

(2) The term “information technology system” has the meaning given the term “information technology” in section 5002 of the Clinger-Cohen Act of 1996 (40 U.S.C. 1401).

(3) The term “major automated information system” has the meaning given that term in Department of Defense Directive 5000.1.

[SEC. 8105. During the current fiscal year, none of the funds available to the Department of Defense may be used to provide support to another department or agency of the United States if such department or agency is more than 90 days in arrears in making payment to the Department of Defense for goods or services previously provided to such department or agency on a reimbursable basis: *Provided*, That this restriction shall not apply if the department is authorized by law to provide support to such department or agency on a nonreimbursable basis, and is providing the requested support pursuant to such authority: *Provided further*, That the Secretary of Defense may waive this restriction on a case-by-case basis by certifying in writing to the Committees on Appropriations of the House of Representatives and the Senate that it is in the national security interest to do so.]

SEC. [8106] 8052. None of the funds provided in this Act may be used to transfer to any nongovernmental entity ammunition held by the Department of Defense that has a center-fire cartridge and a United States military nomenclature designation of “armor penetrator”, “armor piercing (AP)”, “armor piercing incendiary (API)”, or “armor-piercing incendiary-tracer (API-T)”, except to an entity performing demilitarization services for the Department of Defense under a contract that requires the entity to demonstrate to the satisfaction of the Department of Defense that armor piercing projectiles

are either: (1) rendered incapable of reuse by the demilitarization process; or (2) used to manufacture ammunition pursuant to a contract with the Department of Defense or the manufacture of ammunition for export pursuant to a License for Permanent Export of Unclassified Military Articles issued by the Department of State.

SEC. [8107] 8053. Notwithstanding any other provision of law, the Chief of the National Guard Bureau, or his designee, may waive payment of all or part of the consideration that otherwise would be required under 10 U.S.C. 2667, in the case of a lease of personal property for a period not in excess of 1 year to any organization specified in 32 U.S.C. 508(d), or any other youth, social, or fraternal non-profit organization as may be approved by the Chief of the National Guard Bureau, or his designee, on a case-by-case basis.

[SEC. 8108. None of the funds appropriated by this Act shall be used for the support of any nonappropriated funds activity of the Department of Defense that procures malt beverages and wine with nonappropriated funds for resale (including such alcoholic beverages sold by the drink) on a military installation located in the United States unless such malt beverages and wine are procured within that State, or in the case of the District of Columbia, within the District of Columbia, in which the military installation is located: *Provided*, That in a case in which the military installation is located in more than one State, purchases may be made in any State in which the installation is located: *Provided further*, That such local procurement requirements for malt beverages and wine shall apply to all alcoholic beverages only for military installations in States which are not contiguous with another State: *Provided further*, That alcoholic beverages other than wine and malt beverages, in contiguous States and the District of Columbia shall be procured from the most competitive source, price and other factors considered.]

SEC. [8109] 8054. During the current fiscal year, under regulations prescribed by the Secretary of Defense, the Center of Excellence for Disaster Management and Humanitarian Assistance may also pay, or authorize payment for, the expenses of providing or facilitating education and training for appropriate military and civilian personnel of foreign countries in disaster management, peace operations, and humanitarian assistance.

[SEC. 8110. (a) The Department of Defense is authorized to enter into agreements with the Veterans Administration and federally-funded health agencies providing services to Native Hawaiians for the purpose of establishing a partnership similar to the Alaska Federal Health Care Partnership, in order to maximize Federal resources in the provision of health care services by federally-funded health agencies, applying telemedicine technologies. For the purpose of this partnership, Native Hawaiians shall have the same status as other Native Americans who are eligible for the health care services provided by the Indian Health Service.

(b) The Department of Defense is authorized to develop a consultation policy, consistent with Executive Order No. 13084 (issued May 14, 1998), with Native Hawaiians for the purpose of assuring maximum Native Hawaiian participation in the direction and administration of governmental services so as to render those services more responsive to the needs of the Native Hawaiian community.

(c) For purposes of this section, the term “Native Hawaiian” means any individual who is a descendant of the aboriginal people who, prior to 1778, occupied and exercised sovereignty in the area that now comprises the State of Hawaii.]

[SEC. 8111. In addition to the amounts provided elsewhere in this Act, the amount of \$8,500,000 is hereby appropriated for “Operation and Maintenance, Defense-Wide”, to be available, notwithstanding any other provision of law, only for a grant to the United Service Organizations Incorporated, a federally chartered corporation under chapter 2201 of title 36, United States Code. The grant provided under authority of this section is in addition to any grant provided for under any other provision of law.]

SEC. [8112] 8055. Of the amounts appropriated in this Act for the *Arrow missile defense program* under the heading “Research, Development, Test and Evaluation, Defense-Wide”, [\$131,700,000 shall] \$10,000,000 may be made available [for the Arrow missile defense program: *Provided*, That of this amount, \$97,700,000 shall be made available] for the purpose of [continuing the Arrow System Improvement Program (ASIP), continuing ballistic missile defense interoperability with Israel, and] establishing an Arrow production capability in the United States[: *Provided further*, That the remainder, \$34,000,000, shall be available for the purpose of adjusting the cost-share of the parties under the Agreement between the Department of Defense and the Ministry of Defense of Israel for the Arrow Deployability Program.]

SEC. [8113] 8056. Funds available to the Department of Defense for the Global Positioning System during the current fiscal year may be used to fund civil requirements associated with the satellite and ground control segments of such system's modernization program.

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SEC. [8114] 8057. Of the amounts appropriated in this Act under the heading, "Operation and Maintenance, Defense-Wide", [\$115,000,000] \$68,000,000 shall remain available until expended: *Provided*, That notwithstanding any other provision of law, the Secretary of Defense is authorized to transfer such funds to other activities of the Federal Government.

[SEC. 8115. None of the funds appropriated in this Act under the heading "Overseas Contingency Operations Transfer Fund" may be transferred or obligated for Department of Defense expenses not directly related to the conduct of overseas contingencies: *Provided*, That the Secretary of Defense shall submit a report no later than 30 days after the end of each fiscal quarter to the Committees on Appropriations of the Senate and House of Representatives that details any transfer of funds from the "Overseas Contingency Operations Transfer Fund": *Provided further*, That the report shall explain any transfer for the maintenance of real property, pay of civilian personnel, base operations support, and weapon, vehicle or equipment maintenance.]

[SEC. 8116. In addition to amounts appropriated elsewhere in this Act, \$4,500,000 is hereby appropriated to the Department of Defense: *Provided*, That the Secretary of the Army shall make a grant in the amount of \$4,500,000 to the Fort Des Moines Memorial Park and Education Center.]

[SEC. 8117. In addition to amounts appropriated elsewhere in this Act, \$4,250,000 is hereby appropriated to the Department of Defense: *Provided*, That the Secretary of Defense shall make a grant in the amount of \$4,250,000 to the National D-Day Museum.]

SEC. [8118] 8058. Section 8106 of the Department of Defense Appropriations Act, 1997 (titles I through VIII of the matter under subsection 101(b) of Public Law 104-208; 110 Stat. 3009-111; 10 U.S.C. 113 note) shall continue in effect to apply to disbursements that are made by the Department of Defense in fiscal year [2002] 2003.

[SEC. 8119. In addition to amounts provided in this Act, \$1,700,000 is hereby appropriated for "Defense Health Program", to remain available for obligation until expended: *Provided*, That notwithstanding any other provision of law, these funds shall be available only for a grant to the Fisher House Foundation, Inc., only for the construction and furnishing of additional Fisher Houses to meet the needs of military family members when confronted with the illness or hospitalization of an eligible military beneficiary.]

[SEC. 8120. (a) Section 8162 of the Department of Defense Appropriations Act, 2000 (16 U.S.C. 431 note; Public Law 106-79) is amended—

(1) by redesignating subsection (m) as subsection (o); and

(2) by adding after subsection (l) the following:

"(m) AUTHORITY TO ESTABLISH MEMORIAL.—

"(1) IN GENERAL.—The Commission may establish a permanent memorial to Dwight D. Eisenhower on land under the jurisdiction of the Secretary of the Interior in the District of Columbia or its environs.

"(2) COMPLIANCE WITH STANDARDS FOR COMMEMORATIVE WORKS.—The establishment of the memorial shall be in accordance with the Commemorative Works Act (40 U.S.C. 1001 et seq.)."

(b) Section 8162 of the Department of Defense Appropriations Act, 2000 (16 U.S.C. 431 note; Public Law 106-79) is amended—

(1) in subsection (j)(2), by striking "accept gifts" and inserting "solicit and accept contributions"; and

(2) by inserting after subsection (m) (as added by subsection (a)(2)) the following:

"(n) MEMORIAL FUND.—

"(1) ESTABLISHMENT.—There is created in the Treasury a fund for the memorial to Dwight D. Eisenhower that includes amounts contributed under subsection (j)(2).

"(2) USE OF FUND.—The fund shall be used for the expenses of establishing the memorial.

"(3) INTEREST.—The Secretary of the Treasury shall credit to the fund the interest on obligations held in the fund."

(c) In addition to the amounts appropriated or otherwise made available elsewhere in this Act for the Department of Defense, \$2,600,000, to remain available until expended is hereby appropriated to the Department of Defense: *Provided*, That the Secretary of De-

fense shall make a grant in the amount of \$2,600,000 to the Dwight D. Eisenhower Memorial Commission for direct administrative support.]

[(INCLUDING TRANSFER OF FUNDS)]

[SEC. 8121. In addition to the amounts appropriated elsewhere in this Act, \$1,700,000, to remain available until expended, is hereby appropriated to the Department of Defense: *Provided*, That not later than 30 days after the enactment of this Act, the Secretary of Defense shall transfer these funds to the Department of Energy appropriation account "Fossil Energy Research and Development", only for a proposed conceptual design study to examine the feasibility of a zero emissions, steam injection process with possible applications for increased power generation efficiency, enhanced oil recovery and carbon sequestration.]

[SEC. 8122. In addition to amounts appropriated elsewhere in this Act, \$8,000,000 shall be available only for the settlement of subcontractor claims for payment associated with the Air Force contract F19628-97-C-0105, Clear Radar Upgrade, at Clear AFS, Alaska: *Provided*, That all affected subcontractors shall mutually resolve the amounts claimed for payment by cooperative negotiation, third-party mediation or other form of alternative dispute resolution and shall present such claims to the Secretary of the Air Force: *Provided further*, That the Secretary of the Air Force shall evaluate claims as may be submitted by subcontractors, engaged under the contract, and, notwithstanding any other provision of law shall pay such amounts from the funds provided in this paragraph which the Secretary deems appropriate to settle completely any claims which the Secretary determines to have merit, with no right of appeal in any forum: *Provided further*, That subcontractors are to be paid interest, calculated in accordance with the Contract Disputes Act of 1978, 41 U.S.C. 601-613, on any claims which the Secretary determines to have merit: *Provided further*, That the Secretary of the Air Force may delegate evaluation and payment as above to the U.S. Army Corps of Engineers, Alaska District on a reimbursable basis.]

[SEC. 8123. Notwithstanding any other provision of this Act, the total amount appropriated in this Act is hereby reduced by \$1,650,000,000, to reflect savings to be achieved from business process reforms, management efficiencies, and procurement of administrative and management support: *Provided*, That none of the funds provided in this Act may be used for consulting and advisory services for legislative affairs and legislative liaison functions.]

[SEC. 8124. Funds appropriated for Operation and Maintenance in title II of this Act may be used to complete certain projects for which funds have been provided from—

(1) amounts appropriated for "Operation and Maintenance, Navy" in section 110 of the Emergency Supplemental Act, 2000 (division B of Public Law 106-246; 114 Stat. 530); or

(2) amounts appropriated for "Operation and Maintenance, Navy" in section 9001(a)(2)(i) of the Department of Defense Appropriations Act, 2001 (Public Law 106-259; 114 Stat. 709).]

[SEC. 8125. In addition to amounts provided elsewhere in this Act, \$17,900,000 is hereby appropriated for the Secretary of Defense, to remain available until expended, to establish a Regional Defense Counter-terrorism Fellowship Program: *Provided*, That funding provided herein may be used by the Secretary to fund foreign military officers to attend U.S. military educational institutions and selected regional centers for non-lethal training: *Provided further*, That United States Regional Commanders in Chief will be the nominative authority for candidates and schools for attendance with joint staff review and approval by the Secretary of Defense: *Provided further*, That the Secretary of Defense shall establish rules to govern the administration of this program.]

[SEC. 8126. Notwithstanding any other provision of law, from funds appropriated in this or any other Act under the heading, "Aircraft Procurement, Air Force", that remain available for obligation, not to exceed \$26,700,000 shall be available for recording, adjusting, and liquidating obligations for the C-17 aircraft properly chargeable to the fiscal year 1998 and 1999 "Aircraft Procurement, Air Force" account: *Provided*, That the Secretary of the Air Force shall notify the congressional defense committees 30 days prior to obligation of all of the specific sources of funds to be used for such purpose.]

[SEC. 8127. Notwithstanding any other provision of law, from funds appropriated in this or any other Act under the heading, "Missile Procurement, Air Force", that remain available for obligation, not to exceed \$50,000,000 shall be available for recording, adjusting, and liquidating obligations properly chargeable to fiscal year 1997 and 1998 "Missile Procurement, Air Force" accounts: *Provided*, That the

【(INCLUDING TRANSFER OF FUNDS)】—Continued

Secretary of the Air Force shall notify the congressional defense committees 30 days prior to obligation of all of the specific sources of funds to be used for such purpose.】

【SEC. 8128. Notwithstanding any provisions of the Southern Nevada Public Land Management Act of 1998, Public Law 105-263, or the land use planning provision of section 202 of the Federal Land Policy and Management Act of 1976, Public Law 94-579, or of any other law to the contrary, the Secretary of the Interior may acquire non-Federal lands adjacent to Nellis Air Force Base, through a land exchange in Nevada, to ensure the continued safe operation of live ordnance departure areas at Nellis Air Force Base, Las Vegas, Nevada. The Secretary of the Air Force shall identify up to 220 acres of non-Federal lands needed to ensure the continued safe operation of the live ordnance departure areas at Nellis Air Force Base. Any such identified property acquired by exchange by the Secretary of the Interior shall be transferred by the Secretary of the Interior to the jurisdiction, custody, and control of the Secretary of the Air Force to be managed as a part of Nellis Air Force Base. To the extent the Secretary of the Interior is unable to acquire non-Federal lands by exchange, the Secretary of the Air Force is authorized to purchase those lands at fair market value subject to available appropriations.】

【(INCLUDING TRANSFER OF FUNDS)】

【SEC. 8129. Of the amounts appropriated in this Act under the heading, “Shipbuilding and Conversion, Navy”, \$729,248,000 shall be available until September 30, 2002, to fund prior year shipbuilding cost increases: *Provided*, That upon enactment of this Act, the Secretary of the Navy shall transfer such funds to the following appropriations in the amounts specified: *Provided further*, That the amounts transferred shall be merged with and be available for the same purposes as the appropriations to which transferred:

To:

Under the heading, “Shipbuilding and Conversion, Navy, 1995/2002”:

Carrier Replacement Program, \$169,364,000;

Under the heading, “Shipbuilding and Conversion, Navy, 1996/2002”:

LPD-17 Amphibious Transport Dock Ship Program, \$172,989,000;

Under the heading, “Shipbuilding and Conversion, Navy, 1997/2002”:

DDG-51 Destroyer Program, \$35,200,000;

Under the heading, “Shipbuilding and Conversion, Navy, 1998/2002”:

NSSN Program, \$166,561,000; DDG-51 Destroyer Program, \$108,457,000;

Under the heading, “Shipbuilding and Conversion, Navy, 1999/2002”:

NSSN Program, \$60,429,000.

Under the heading, “Shipbuilding and Conversion, Navy, 2001/2005”:

Submarine Refuelings, \$16,248,000.】

【(TRANSFER OF FUNDS)】

【SEC. 8130. Upon enactment of this Act, the Secretary of the Navy shall make the following transfers of funds: *Provided*, That the amounts transferred shall be available for the same purpose as the appropriations to which transferred, and for the same time period as the appropriation from which transferred: *Provided further*, That the amounts shall be transferred between the following appropriations in the amount specified:

From:

Under the heading, “Shipbuilding and Conversion, Navy, 1990/2002”:

TRIDENT ballistic missile submarine program, \$78,000;

SSN-21 attack submarine program, \$66,000;

DDG-51 destroyer program, \$6,100,000;

ENTERPRISE refueling/modernization program, \$964,000;

LSD-41 dock landing ship cargo variant ship program, \$237,000;

MCM mine countermeasures program, \$118,000;

Oceanographic ship program, \$2,317,000;

AOE combat support ship program, \$164,000;

AO conversion program, \$56,000;

Coast Guard icebreaker ship program, \$863,000;

Craft, outfitting, post delivery, and ship special support equipment, \$529,000;

To:

Under the heading, “Shipbuilding and Conversion, Navy, 1998/2002”: DDG-51 destroyer program, \$11,492,000;

From:

Under the heading, “Shipbuilding and Conversion, Navy, 1993/2002”:

DDG-51 destroyer program, \$3,986,000;

LHD-1 amphibious assault ship program, \$85,000;

LSD-41 dock landing ship cargo variant program, \$428,000;

AOE combat support ship program, \$516,000;

Craft, outfitting, post delivery, and first destination transportation, and inflation adjustments, \$1,034,000;

To:

Under the heading, “Shipbuilding and Conversion, Navy, 1998/2002”: DDG-51 destroyer program, \$6,049,000.】

【(INCLUDING TRANSFER OF FUNDS)】

【SEC. 8131. Of the funds appropriated by this Act under the heading, “Operation and Maintenance, Navy”, \$56,000,000 shall remain available until expended, only for costs associated with the stabilization, return, refitting, necessary force protection upgrades, and repair of the U.S.S. COLE: *Provided*, That the Secretary of Defense may transfer these funds to appropriations accounts for procurement and that the funds transferred shall be merged with and shall be available for the same purposes and for the same time period as the appropriation to which transferred: *Provided further*, That the transfer authority provided in this section is in addition to any other transfer authority available to the Department of Defense.】

【SEC. 8132. (a) The Secretary of Defense shall convey to Gwitchyaa Zhee Corporation the lands withdrawn by Public Land Order No. 1996, Lot 1 of United States Survey 7008, Public Land Order No. 1396, a portion of Lot 3 of United States Survey 7161, lands reserved pursuant to the instructions set forth at page 513 of volume 44 of the Interior Land Decisions issued January 13, 1916, Lot 13 of United States Survey 7161, Lot 1 of United States Survey 7008 described in Public Land Order No. 1996, and Lot 13 of the United States Survey 7161 reserved pursuant to the instructions set forth at page 513 of volume 44 of the Interior Land Decisions issued January 13, 1916.

(b) Following site restoration and survey by the Department of the Air Force that portion of Lot 3 of United States Survey 7161 withdrawn by Public Land Order No. 1396 and no longer needed by the Air Force shall be conveyed to Gwitchyaa Zhee Corporation.】

SEC. 【8133】 8059. The Secretary of the Navy may settle, or compromise, and pay any and all admiralty claims under 10 U.S.C. 7622 arising out of the collision involving the U.S.S. GREENEVILLE and the EHIME MARU, in any amount and without regard to the monetary limitations in subsections (a) and (b) of that section: *Provided*, That such payments shall be made from funds available to the Department of the Navy for operation and maintenance.

【SEC. 8134. Notwithstanding section 229(a) of the Social Security Act, no wages shall be deemed to have been paid to any individual pursuant to that section in any calendar year after 2001.】

【SEC. 8135. The total amount appropriated in this Act is hereby reduced by \$105,000,000 to reflect fact-of-life changes in utilities costs, to be derived as follows:

“Operation and Maintenance, Army”, \$34,700,000;

“Operation and Maintenance, Navy”, \$8,800,000;

“Operation and Maintenance, Marine Corps”, \$7,200,000;

“Operation and Maintenance, Air Force”, \$28,800,000;

“Operation and Maintenance, Defense-Wide”, \$4,500,000;

“Operation and Maintenance, Army Reserve”, \$2,700,000;

“Operation and Maintenance, Army National Guard”, \$2,700,000;

“Operation and Maintenance, Air National Guard”, \$3,400,000;

“Defense Working Capital Funds”, \$7,100,000; and

“Defense Health Program”, \$5,100,000.】

【SEC. 8136. (a) Of the total amount appropriated for “Operation and Maintenance, Air Force”, \$2,100,000, to remain available until expended, shall be available to the Secretary of the Air Force only for the purpose of making a grant in the amount of \$2,100,000 to the Lafayette Escadrille Memorial Foundation, Inc., to be used to perform the repair, restoration, and preservation of the structure, plaza, and surrounding grounds of the Lafayette Escadrille Memorial in Marnes la-Coguette, France.

(b) The Secretary shall require as a condition of the grant—

(1) that the funds provided through the grant be used only for costs associated with such repair, restoration, and preservation; and

(2) that none of those funds may be used for remuneration of any entity or individual associated with fund raising for the project to carry out such repair, restoration, and preservation.】

【SEC. 8137. (a) DESIGNATION OF NATIONAL MEMORIAL.—The five-foot-tall white cross first erected by the Veterans of Foreign Wars of the United States in 1934 along Cima Road in San Bernardino County, California, and now located within the boundary of the Mojave National Preserve, as well as a limited amount of adjoining Preserve property to be designated by the Secretary of the Interior, is hereby designated as a national memorial commemorating United States participation in World War I and honoring the American veterans of that war.

(b) LEGAL DESCRIPTION.—The memorial cross referred to in subsection (a) is located at latitude 35.316 North and longitude 115.548 West. The exact acreage and legal description of the property to be included by the Secretary of the Interior in the national World War I memorial shall be determined by a survey prepared by the Secretary.

(c) REINSTALLATION OF MEMORIAL PLAQUE.—The Secretary of the Interior shall use not more than \$10,000 of funds available for the administration of the Mojave National Preserve to acquire a replica of the original memorial plaque and cross placed at the national World War I memorial designated by subsection (a) and to install the plaque in a suitable location on the grounds of the memorial.】

【SEC. 8138. In addition to the amounts provided elsewhere in this Act, the amount of \$4,200,000 is hereby appropriated to the Department of Defense for “Operation and Maintenance, Navy”. Such amount shall be used by the Secretary of the Navy only to make a grant in the amount of \$4,200,000 to the U.S.S. Alabama Battleship Foundation, a nonprofit organization established under the laws of the State of Alabama, to be available only for the preservation of the former U.S.S. ALABAMA (ex BB-60) as a museum and memorial.】

【SEC. 8139. In addition to the amounts provided elsewhere in this Act, the amount of \$4,250,000 is hereby appropriated to the Department of Defense for “Operation and Maintenance, Navy”. Such amount shall be used by the Secretary of the Navy only to make a grant in the amount of \$4,250,000 to the Intrepid Sea-Air-Space Foundation only for the preservation of the former U.S.S. INTREPID (CV 11) as a museum and memorial.】

【SEC. 8140. In addition to the amounts provided elsewhere in this Act, the amount of \$6,000,000 is hereby appropriated to the Department of Defense for “Operation and Maintenance, Air Force”. Such amount shall be used by the Secretary of the Air Force only to make a grant in the amount of \$6,000,000 to the Medical Lake School District, Washington State school district number 326, for relocation of the Fairchild Air Force Base Elementary School within the boundary of Fairchild Air Force Base, Washington.】

【SEC. 8141. In addition to the amounts provided elsewhere in this Act, the amount of \$3,500,000 is hereby appropriated to the Department of Defense for “Operation and Maintenance, Navy”. Such amount shall be used by the Secretary of the Navy only to make a grant in the amount of \$3,500,000 to the Central Kitsap School District, Washington State school district number 401, for the purchase and installation of equipment for a special needs learning center to meet the needs of Department of Defense special needs students at Submarine Base Bangor, Washington.】

【SEC. 8142. (a) In addition to amounts provided elsewhere in this Act, the amount of \$8,500,000 is hereby appropriated for “Operation and Maintenance, Defense-Wide”, to be available to the Secretary of Defense only for the purpose of making a grant for the purpose specified in section 8156 of the Department of Defense Appropriations Act, 2001 (Public Law 106-259; 114 Stat. 707), as amended by subsection (b). Such grant shall be made not later than 90 days after the date of the enactment of this Act.

(b) Section 8156 of the Department of Defense Appropriations Act, 2001 (Public Law 106-259; 114 Stat. 707), is amended by striking the comma after “California” the first place it appears and all that follows through “96-8867”).】

【SEC. 8143. (a) ACTIVITIES UNDER FORMERLY UTILIZED SITES REMEDIAL ACTION PROGRAM.—Subject to subsections (b) through (e) of section 611 of Public Law 106-60 (113 Stat. 502; 10 U.S.C. 2701 note), the Secretary of the Army, acting through the Chief of Engineers, under the Formerly Utilized Sites Remedial Action Program shall

undertake the functions and activities specified in subsection (a) of such section in order to—

(1) clean up radioactive contamination at the Shpack Landfill site located in Norton and Attleboro, Massachusetts; and

(2) clean up radioactive waste at the Shallow Land Disposal Area located in Parks Township, Armstrong County, Pennsylvania, consistent with the Memorandum of Understanding Between the United States Nuclear Regulatory Commission and the United States Army Corps of Engineers for Coordination on Cleanup and Decommissioning of the Formerly Utilized Sites Remedial Action Program (FUSRAP) Sites with NRC-Licensed Facilities, dated July 5, 2001.

(b) SPECIAL RULES REGARDING SHALLOW LAND DISPOSAL AREA.—The Secretary of the Army shall seek to recover response costs incurred by the Army Corps of Engineers for cleanup of the Shallow Land Disposal Area from appropriate responsible parties in accordance with the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (42 U.S.C. 9601 et seq.). The Secretary of the Army and the Corps of Engineers shall not, by virtue of this cleanup, become liable for the actions or omissions of past, current, or future licensees, owners, or operators of the Shallow Land Disposal Area.

(c) FUNDING SOURCES.—Amounts appropriated to the Army Corps of Engineers for fiscal year 2001 and subsequent fiscal years and available for the Formerly Utilized Sites Remedial Action Program shall be available to carry out this section.】

【SEC. 8144. In addition to amounts otherwise appropriated or made available by this Act, \$3,000,000 is appropriated to the Secretary of the Air Force and shall be used by the Secretary to reestablish the Tethered Aerostat Radar System at Morgan City, Louisiana, previously used by the Air Force in maritime, air, and land counter-drug detection and monitoring. Of the amounts appropriated or otherwise made available for operation and maintenance for the Air Force, the Secretary shall use \$3,000,000 to operate such Tethered Aerostat Radar System upon its reestablishment.】

【SEC. 8145. The \$100,000 limitation established by section 8046 in Public Law 106-79 and section 8043 of Public Law 106-259, shall not apply to amounts appropriated in that Act under the heading “Operation and Maintenance, Defense-Wide” for expenses related to certain classified activities associated with foreign material.】

【SEC. 8146. The total amount appropriated in this Act for Operation and Maintenance is hereby reduced by \$100,000,000, to reflect savings attributable to improved supervision in determining appropriate purchases to be made using the Government purchase card, to be derived as follows:

“Operation and Maintenance, Army”, \$37,000,000;
 “Operation and Maintenance, Navy”, \$29,000,000;
 “Operation and Maintenance, Marine Corps”, \$3,000,000;
 “Operation and Maintenance, Air Force”, \$24,000,000; and
 “Operation and Maintenance, Defense-Wide”, \$7,000,000.】

【SEC. 8147. The Secretary of Defense and the Secretary of Veterans Affairs shall jointly conduct a comprehensive assessment that identifies and evaluates changes to Department of Defense and Department of Veterans Affairs health care delivery policies, methods, practices, and procedures in order to provide improved health care services at reduced costs to the taxpayer. This assessment shall include a detailed independent review, based on a statement of work authored by the Secretaries of both departments, of options to collocate or share facilities and care providers in areas where duplication and excess capacity may exist, optimize economies of scale through joint procurement of supplies and services, institute cooperative service agreements, and partially or fully integrate DOD and VA systems providing telehealth services, computerized patient records, provider credentialing, surgical quality assessment, rehabilitation services, administrative services, and centers of excellence for specialized health care services. The Secretaries shall jointly transmit a report to Congress by no later than March 1, 2002, explaining the findings and conclusions of this assessment, including detailed estimates of the costs, cost savings, and service benefits of each recommendation, and making legislative and administrative recommendations to implement the results of this effort: *Provided*, That of the funds provided under the heading “Defense Health Program” \$2,500,000 shall be made available only for the purpose of conducting the assessment described in this section.】

【SEC. 8148. (a) Notwithstanding any other provision of law, operation and maintenance funds provided in this Act may be used for the purchase of ultralightweight camouflage net systems as unit spares in order to modernize the current inventory of camouflage

【(INCLUDING TRANSFER OF FUNDS)】—Continued

screens to state-of-the-art protection standards more quickly than would otherwise be the case.

(b) The authority provided by subsection (a) may not be used until the Secretary of the Army submits to the congressional defense committees a report certifying that, compared to the current system that can be purchased with Army Operation and Maintenance funds, the ultralightweight camouflage net system—

- (1) is technically superior against multi-spectral threat sensors;
- (2) is less costly per unit; and
- (3) provides improved overall force protection.】

【SEC. 8149. ARMY ACQUISITION MANAGEMENT. (a) FUNDING REDUCTION.—The amount appropriated in this Act for “Operation and Maintenance, Army” is hereby reduced by \$5,000,000 to reflect efficiencies in Army acquisition management practices.

(b) REPORT TO CONGRESS ON ARMY REORGANIZATION.—The Secretary of the Army shall submit a report to the congressional defense committees no later than April 15, 2002 providing a detailed explanation of the final plans for realigning Army requirements generation, acquisition, resource management, and Departmental headquarters functions and systems. Such report shall include an independent assessment of the Army plan by the Center for Naval Analyses. Such report shall also include an analysis of the annual budget and personnel savings derived from this reorganization plan by major function compared to the fiscal year 2001 baseline for fiscal years 2002 through 2008.】

【SEC. 8150. (a) NON-PROFIT ARMY VENTURE CAPITAL CORPORATION.—Of the funds made available for “Research, Development, Test and Evaluation, Army”, \$25,000,000 shall be available to the Secretary of the Army only for the purpose of funding a venture capital investment corporation established pursuant to section 2371 of title 10 United States Code, to be derived as specified in subsection (b).

(b) FUNDING.—The amount specified in subsection (a) shall be derived by reducing, on a pro rata basis, amounts made available to the Army for basic research and applied research, except for amounts for research projects designated as congressional special interest items and amounts available to the Army for research, development, test, and evaluation relating to the Future Combat System.】

SEC. 【8151】 8060. Notwithstanding any other provision of law or regulation, the Secretary of Defense may exercise the provisions of 38 U.S.C. 7403(g) for occupations listed in 38 U.S.C. 7403(a)(2) as well as the following:

Pharmacists, Audiologists, and Dental Hygienists.

(A) The requirements of 38 U.S.C. 7403(g)(1)(A) shall apply.

(B) The limitations of 38 U.S.C. 7403(g)(1)(B) shall not apply.

【SEC. 8152. (a) The Secretary of Defense may waive any requirement that the fiscal year 2001 Department of Defense financial statement include the accounts and associated activities of the Department of the Army and the Department of the Navy, to the extent that the Secretary determines necessary due to the effects of the terrorist attack on the Pentagon of September 11, 2001.

(b) If any accounts and associated activities of the Department of the Army or the Department of the Navy are excluded from the fiscal year 2001 Department of Defense financial statement pursuant to subsection (a), the Secretary of Defense shall, as soon as practicable after March 1, 2002, prepare and submit to the Director of the Office of Management and Budget, a revised audited financial statement for fiscal year 2001 that includes all such accounts and activities.

(c) For purposes of this section, the term “fiscal year 2001 Department of Defense financial statement” means the audited financial statement of the Department of Defense for fiscal year 2001 required by section 3515 of title 31, United States Code, to be submitted to the Director of the Office of Management and Budget not later than March 1, 2002.】

【SEC. 8153. Notwithstanding any other provision of this Act, the Secretary of the Air Force may enter into a multiyear contract, or extend an existing multiyear contract, for the C-17 aircraft: *Provided*, That the authority to enter into such a contract (or contract extension) may not be exercised until a period of not less than 30 days has elapsed after the date of the submission of a report under paragraph (4) of section 2306b(1) of title 10, United States Code: *Provided further*, That the authorities provided in this section shall not be available until the Secretary of Defense submits to the congressional defense committees a certification that the applicable requirements under section 2306b of title 10, United States Code, and section 8008 of this Act with respect to such a contract (or contract extension) have been met.】

【SEC. 8154. Notwithstanding any other provision of law, of the funds appropriated in this Act under the heading “Operation and Maintenance, Defense-Wide”, \$1,450,000, to remain available until expended, is provided only for payment of any expenses incurred after April 1, 2002 of the Commission on the Future of the United States Aerospace Industry pursuant to section 1092(e)(1) of the Floyd D. Spence National Defense Authorization Act for Fiscal Year 2001 (as enacted by Public Law 106-398; 114 Stat. 165A-215).】

【SEC. 8155. Of the funds appropriated in this Act under the heading “Operation and Maintenance, Defense-Wide”, \$1,000,000, to remain available until expended, shall be made available to the Secretary of Defense, notwithstanding any other provision of law, only for a grant or grants to the Somerset County Board of Commissioners (in the Commonwealth of Pennsylvania), to design and construct a memorial (including operating and maintenance expenses for appropriate security measures to protect the site) at the airplane crash site in Somerset County, Pennsylvania honoring the brave men, women, and children who perished following a valiant struggle with terrorists aboard United Airlines Flight 93 on September 11, 2001.】

【SEC. 8156. (a) FINDINGS.—The Congress finds that—

(1) in times when our national security is threatened by possible attacks from foreign and domestic enemies, it is necessary that the United States have a sufficient supply of certain products that are essential for defending this Nation; and

(2) it has been the consistent intent of Congress that the Department of Defense, when purchasing items to support the Armed Forces, choose items that are wholly of domestic content and manufacture, especially items identified as essential to our national defense.

(b) SENSE OF CONGRESS.—It is the sense of Congress that—

(1) it is vital that the United States maintain a domestic manufacturing base for certain products necessary to national security, so that our Nation does not become reliant on foreign sources for such products and thereby vulnerable to disruptions in international trade; and

(2) in cases where such domestic manufacturing base is threatened, the United States should take action to preserve such manufacturing base.】

【SEC. 8157. (a) Not later than February 1, 2002, the Secretary of Defense shall report to the congressional defense committees on the status of the safety and security of munitions shipments that use commercial trucking carriers within the United States.

(b) REPORT ELEMENTS.—The report under subsection (a) shall include the following:

(1) An assessment of the Department of Defense’s policies and practices for conducting background investigations of current and prospective drivers of munitions shipments.

(2) A description of current requirements for periodic safety and security reviews of commercial trucking carriers that carry munitions.

(3) A review of the Department of Defense’s efforts to establish uniform safety and security standards for cargo terminals not operated by the Department that store munitions shipments.

(4) An assessment of current capabilities to provide for escort security vehicles for shipments that contain dangerous munitions or sensitive technology, or pass through high-risk areas.

(5) A description of current requirements for depots and other defense facilities to remain open outside normal operating hours to receive munitions shipments.

(6) Legislative proposals, if any, to correct deficiencies identified by the Department of Defense in the report under subsection (a).

(c) Not later than 6 months after enactment of this Act, the Secretary shall report to Congress on safety and security procedures used for U.S. munitions shipments in European NATO countries, and provide recommendations on what procedures or technologies used in those countries should be adopted for shipments in the United States.】

【SEC. 8158. In addition to the amounts appropriated or otherwise made available elsewhere in this Act for the Department of Defense, \$15,000,000, to remain available until September 30, 2002 is hereby appropriated to the Department of Defense: *Provided*, That the Secretary of Defense shall make a grant in the amount of \$15,000,000 to the Citadel for the Padgett Thomas Barracks in Charleston, South Carolina.】

【SEC. 8159. MULTI-YEAR AIRCRAFT LEASE PILOT PROGRAM. (a) The Secretary of the Air Force may, from funds provided in this Act or any future appropriations Act, establish and make payments on

a multi-year pilot program for leasing general purpose Boeing 767 aircraft and Boeing 737 aircraft in commercial configuration.

(b) Sections 2401 and 2401a of title 10, United States Code, shall not apply to any aircraft lease authorized by this section.

(c) Under the aircraft lease Pilot Program authorized by this section:

(1) The Secretary may include terms and conditions in lease agreements that are customary in aircraft leases by a non-Government lessor to a non-Government lessee, but only those that are not inconsistent with any of the terms and conditions mandated herein.

(2) The term of any individual lease agreement into which the Secretary enters under this section shall not exceed 10 years, inclusive of any options to renew or extend the initial lease term.

(3) The Secretary may provide for special payments in a lessor if the Secretary terminates or cancels the lease prior to the expiration of its term. Such special payments shall not exceed an amount equal to the value of 1 year's lease payment under the lease.

(4) Subchapter IV of chapter 15 of title 31, United States Code shall apply to the lease transactions under this section, except that the limitation in section 1553(b)(2) shall not apply.

(5) The Secretary shall lease aircraft under terms and conditions consistent with this section and consistent with the criteria for an operating lease as defined in OMB Circular A-11, as in effect at the time of the lease.

(6) Lease arrangements authorized by this section may not commence until:

(A) The Secretary submits a report to the congressional defense committees outlining the plans for implementing the Pilot Program. The report shall describe the terms and conditions of proposed contracts and describe the expected savings, if any, comparing total costs, including operation, support, acquisition, and financing, of the lease, including modification, with the outright purchase of the aircraft as modified.

(B) A period of not less than 30 calendar days has elapsed after submitting the report.

(7) Not later than 1 year after the date on which the first aircraft is delivered under this Pilot Program, and yearly thereafter on the anniversary of the first delivery, the Secretary shall submit a report to the congressional defense committees describing the status of the Pilot Program. The Report will be based on at least 6 months of experience in operating the Pilot Program.

(8) The Air Force shall accept delivery of the aircraft in a general purpose configuration.

(9) At the conclusion of the lease term, each aircraft obtained under that lease may be returned to the contractor in the same configuration in which the aircraft was delivered.

(10) The present value of the total payments over the duration of each lease entered into under this authority shall not exceed 90 percent of the fair market value of the aircraft obtained under that lease.

(d) No lease entered into under this authority shall provide for—

(1) the modification of the general purpose aircraft from the commercial configuration, unless and until separate authority for such conversion is enacted and only to the extent budget authority is provided in advance in appropriations Acts for that purpose; or

(2) the purchase of the aircraft by, or the transfer of ownership to, the Air Force.

(e) The authority granted to the Secretary of the Air Force by this section is separate from and in addition to, and shall not be construed to impair or otherwise affect, the authority of the Secretary to procure transportation or enter into leases under a provision of law other than this section.

(f) The authority provided under this section may be used to lease not more than a total of 100 Boeing 767 aircraft and 4 Boeing 737 aircraft for the purposes specified herein.】

【SEC. 8160. From within amounts made available in the title II of this Act, under the heading “Operation and Maintenance, Army National Guard”, and notwithstanding any other provision of law, \$2,200,000 shall be available only for repairs and safety improvements to the segment of Camp McCain Road which extends from Highway 8 south toward the boundary of Camp McCain, Mississippi and originating intersection of Camp McCain Road; and for repairs and safety improvements to the segment of Greensboro Road which connects the Administration Offices of Camp McCain to the Troutt Rifle Range: *Provided*, That these funds shall remain available until expended: *Provided further*, That the authorized scope of work in-

cludes, but is not limited to, environmental documentation and mitigation, engineering and design, improving safety, resurfacing, widening lanes, enhancing shoulders, and replacing signs and pavement markings.】

【SEC. 8161. From funds made available under title II of this Act, the Secretary of the Army may make available a grant of \$2,100,000 to the Chicago Park District for renovation of the Broadway Armory, a former National Guard facility in the Edgewater community in Chicago.】

【SEC. 8162. SENSE OF CONGRESS CONCERNING THE MILITARY INDUSTRIAL BASE. (a) IN GENERAL.—It is the sense of the Congress that the military aircraft industrial base of the United States be preserved. In order to ensure this we must retain—

(1) adequate competition in the design, engineering, production, sale and support of military aircraft;

(2) continued innovation in the development and manufacture of military aircraft;

(3) actual and future capability of more than one aircraft company to design, engineer, produce and support military aircraft.

(b) STUDY OF IMPACT ON THE INDUSTRIAL BASE.—In order to determine the current and future adequacy of the military aircraft industrial base a study shall be conducted. Of the funds made available under the heading “PROCUREMENT, DEFENSE-WIDE” in this Act, up to \$1,500,000 may be made available for a comprehensive analysis of and report on the risks to innovation and cost of limited or no competition in contracting for military aircraft and related weapon systems for the Department of Defense, including the cost of contracting where there is no more than one primary manufacturer with the capacity to bid for and build military aircraft and related weapon systems, the impact of any limited competition in primary contracting on innovation in the design, development, and construction of military aircraft and related weapon systems, the impact of limited competition in primary contracting on the current and future capacity of manufacturers to design, engineer and build military aircraft and weapon systems. The Secretary of Defense shall report to the House and Senate Committees on Appropriations on the design of this analysis, and shall submit a report to these committees no later than 6 months from the date of enactment of this Act.】

【SEC. 8163. In addition to the amounts appropriated or otherwise made available in this Act, \$5,200,000, to remain available until September 30, 2002, is hereby appropriated to the Department of Defense: *Provided*, That the Secretary of Defense shall make a grant in the amount of \$5,200,000 to the Armed Forces Retirement Homes.】

【SEC. 8164. (a) ASSESSMENT REQUIRED.—Not later than March 15, 2002, the Secretary of the Army shall submit to the Committees on Appropriations of the Senate and House of Representatives a report containing an assessment of current risks under, and various alternatives to, the current Army plan for the destruction of chemical weapons.

(b) ELEMENTS.—The report under subsection (a) shall include the following:

(1) A description and assessment of the current risks in the storage of chemical weapons arising from potential terrorist attacks.

(2) A description and assessment of the current risks in the storage of chemical weapons arising from storage of such weapons after April 2007, the required date for disposal of such weapons as stated in the Chemical Weapons Convention.

(3) A description and assessment of various options for eliminating or reducing the risks described in paragraphs (1) and (2).

(c) CONSIDERATIONS.—In preparing the report, the Secretary shall take into account the plan for the disassembly and neutralization of the agents in chemical weapons as described in Army engineering studies in 1985 and 1996, the 1991 Department of Defense Safety Contingency Plan, and the 1993 findings of the National Academy of Sciences on disassembly and neutralization of chemical weapons.】

【SEC. 8165. Of the amount appropriated by title II for operation and maintenance, Defense-wide, \$47,261,000 may be available for the Defense Leadership and Management Program.】

【SEC. 8166. SENSE OF THE CONGRESS REGARDING ENVIRONMENTAL CONTAMINATION IN THE PHILIPPINES. It is the sense of the Congress that—

(1) the Secretary of State, in cooperation with the Secretary of Defense, should continue to work with the Government of the Philippines and with appropriate non-governmental organizations in the United States and the Philippines to fully identify and share all relevant information concerning environmental contamination

【(INCLUDING TRANSFER OF FUNDS)】—Continued

and health effects emanating from former United States military facilities in the Philippines following the departure of the United States military forces from the Philippines in 1992;

(2) the United States and the Government of the Philippines should continue to build upon the agreements outlined in the Joint Statement by the United States and the Republic of the Philippines on a Framework for Bilateral Cooperation in the Environment and Public Health, signed on July 27, 2000; and

(3) Congress should encourage an objective non-governmental study, which would examine environmental contamination and health effects emanating from former United States military facilities in the Philippines, following the departure of United States military forces from the Philippines in 1992.】

【SEC. 8167. (a) AUTHORITY FOR BURIAL OF CERTAIN INDIVIDUALS AT ARLINGTON NATIONAL CEMETERY.—The Secretary of the Army shall authorize the burial in a separate gravesite at Arlington National Cemetery, Virginia, of any individual who—

(1) died as a direct result of the terrorist attacks on the United States on September 11, 2001; and

(2) would have been eligible for burial in Arlington National Cemetery by reason of service in a reserve component of the Armed Forces but for the fact that such individual was less than 60 years of age at the time of death.

(b) ELIGIBILITY OF SURVIVING SPOUSE.—The surviving spouse of an individual buried in a gravesite in Arlington National Cemetery under the authority provided under subsection (a) shall be eligible for burial in the gravesite of the individual to the same extent as the surviving spouse of any other individual buried in Arlington National Cemetery is eligible for burial in the gravesite of such other individual.】

【SEC. 8168. In fiscal year 2002, the Department of the Interior National Business Center may continue to enter into grants, cooperative agreements, and other transactions, under the Defense Conversion, Reinvestment, and Transition Assistance Act of 1992, and other related legislation.】

【SEC. 8169. Of the total amount appropriated by this division for “Operation and Maintenance, Defense-Wide”, \$3,500,000 may be available for payments under section 363 of the Floyd D. Spence National Defense Authorization Act for Fiscal Year 2001 (as enacted into law by Public Law 106–398; 114 Stat. 1654A–77).】

【SEC. 8170. Of the total amount appropriated by this division for “Operation and Maintenance, Air National Guard”, \$435,000 may be available (subject to section 2805(c) of title 10, United States Code) for the replacement of deteriorating gas lines, mains, valves, and fittings at the Air National Guard facility at Rosecrans Memorial Airport, St. Joseph, Missouri, and (subject to section 2811 of title 10, United States Code) for the repair of the roof of the Aerial Port Facility at that airport.】

【SEC. 8171. Not later than 180 days after the date of enactment of this Act, the Secretary of Defense, in cooperation with the Secretaries of State and Energy, shall submit a report to Congress describing the steps that have been taken to develop cooperative threat reduction programs with India and Pakistan. Such report shall include recommendations for changes in any provision of existing law that is currently an impediment to the full establishment of such programs, a timetable for implementation of such programs, and an estimated 5-year budget that will be required to fully fund such programs.】

【SEC. 8172. (a) MODIFICATION OF GENERAL REQUIREMENTS.—Section 1078(b) of the Floyd D. Spence National Defense Authorization Act for Fiscal Year 2001 (as enacted by Public Law 106–398; 114 Stat. 1654A–283) is amended—

(1) in paragraph (1), by inserting “, or its contractors or subcontractors,” after “Department of Defense”; and

(2) in paragraph (3), by striking “stored, assembled, disassembled, or maintained” and inserting “manufactured, assembled, or disassembled”.

(b) DETERMINATION OF EXPOSURES AT IAAP.—The Secretary of Defense shall take appropriate actions to determine the nature and extent of the exposure of current and former employees at the Army facility at the Iowa Army Ammunition Plant, including contractor and subcontractor employees at the facility, to radioactive or other hazardous substances at the facility, including possible pathways for the exposure of such employees to such substances.

(c) NOTIFICATION OF EMPLOYEES REGARDING EXPOSURE.—(1) The Secretary shall take appropriate actions to—

(A) identify current and former employees at the facility referred to in subsection (b), including contractor and subcontractor employees at the facility; and

(B) notify such employees of known or possible exposures to radioactive or other hazardous substances at the facility.

(2) Notice under paragraph (1)(B) shall include—

(A) information on the discussion of exposures covered by such notice with health care providers and other appropriate persons who do not hold a security clearance; and

(B) if necessary, appropriate guidance on contacting health care providers and officials involved with cleanup of the facility who hold an appropriate security clearance.

(3) Notice under paragraph (1)(B) shall be by mail or other appropriate means, as determined by the Secretary.

(d) DEADLINE FOR ACTIONS.—The Secretary shall complete the actions required by subsections (b) and (c) not later than 90 days after the date of the enactment of this Act.

(e) REPORT.—Not later than 90 days after the date of the enactment of this Act, the Secretary shall submit to the congressional defense committees a report setting forth the results of the actions undertaken by the Secretary under this section, including any determinations under subsection (b), the number of workers identified under subsection (c)(1)(A), the content of the notice to such workers under subsection (c)(1)(B), and the status of progress on the provision of the notice to such workers under subsection (c)(1)(B).】

【SEC. 8173. None of the funds made available in division A of this Act may be used to provide support or other assistance to the International Criminal Court or to any criminal investigation or other prosecutorial activity of the International Criminal Court.】 (*Department of Defense Appropriations Act, 2002.*)

SEC. 8061 Funds appropriated by this Act, or made available by the transfer of funds in this Act, for intelligence activities are deemed to be specifically authorized by the Congress for purposes of section 504 of the National Security Act of 1947 (50 U.S.C. 414) during fiscal year 2003 until the enactment of the Intelligence Authorization Act for fiscal year 2003.

SEC. 8062. Funds available to the Department of Defense may be used for the payment of rewards in accordance with procedures and regulations established or issued by the Secretary of Defense for information or assistance to the Department of Defense to combat terrorism: Provided, That no reward in excess of \$250,000 may be offered or made without the approval of the Secretary of Defense: Provided further, That the Secretary of Defense shall notify the congressional defense committees of any rewards in excess of \$250,000 made under this section: Provided further, That neither the failure of the Secretary of Defense to authorize a payment nor the amount authorized shall be subject to judicial review.

SEC. 8063. Notwithstanding any other provision of law, the Secretary of Defense, in coordination with the Secretary of Veterans Affairs, shall take all appropriate action to ensure that all military retirees who are eligible for health care through the Department of Defense and Department of Veterans Affairs shall enroll with the department of the retirees choice and obtain health care exclusively from that department's health care system.

SEC. 8064. Section 1111(c) of Title 10 is amended in the first sentence by striking “may” after the Secretary of Defense and inserting “shall” after the Secretary of Defense.

SEC. 8065. During the current fiscal year, amounts in or credited to the Defense Cooperation Account under 10 U.S.C. 2608(b), shall be available for obligation and expenditure consistent with the purposes for which such amounts were contributed and accepted: Provided, That such amounts shall be available for transfer by the Secretary of Defense as he shall determine and be available for the same period as the appropriation to which transferred: Provided further, That the transfer authority under this heading is in addition to any other transfer authority available to the Department of Defense.

SEC. 8066. Notwithstanding 10 U.S.C. 1116(c), payments into the Department of Defense Medicare Eligible-Retiree Health Care Fund for fiscal year 2003 under 10 U.S.C. 1116(a) shall be from funds available for the pay of military personnel under this Act.

GENERAL PROVISIONS—MILITARY CONSTRUCTION

SEC. 101. None of the funds appropriated in Military Construction Appropriations Acts shall be expended for payments under a cost-

plus-a-fixed-fee contract for construction, where cost estimates exceed \$25,000, to be performed within the United States, except Alaska, without the specific approval in writing of the Secretary of Defense setting forth the reasons therefor.

SEC. 102. Funds appropriated to the Department of Defense for construction shall be available for hire of passenger motor vehicles.

SEC. 103. Funds appropriated to the Department of Defense for construction may be used for advances to the Federal Highway Administration, Department of Transportation, for the construction of access roads as authorized by section 210 of title 23, United States Code, when projects authorized therein are certified as important to the national defense by the Secretary of Defense.

SEC. 104. None of the funds appropriated in this Act may be used to begin construction of new bases inside the continental United States for which specific appropriations have not been made.

SEC. 105. No part of the funds provided in Military Construction Appropriations Acts shall be used for purchase of land or land easements in excess of 100 percent of the value as determined by the Army Corps of Engineers or the Naval Facilities Engineering Command, except: (1) where there is a determination of value by a Federal court; (2) purchases negotiated by the Attorney General or his designee; (3) where the estimated value is less than \$25,000; or (4) as otherwise determined by the Secretary of Defense to be in the public interest.

SEC. 106. None of the funds appropriated in Military Construction Appropriations Acts shall be used to: (1) acquire land; (2) provide for site preparation; or (3) install utilities for any family housing, except housing for which funds have been made available in annual Military Construction Appropriations Acts.

SEC. 107. None of the funds appropriated in Military Construction Appropriations Acts for minor construction may be used to transfer or relocate any activity from one base or installation to another, without prior notification to the Committees on Appropriations.

SEC. 108. No part of the funds appropriated in Military Construction Appropriations Acts may be used for the procurement of steel for any construction project or activity for which American steel producers, fabricators, and manufacturers have been denied the opportunity to compete for such steel procurement.

SEC. 109. None of the funds available to the Department of Defense for military construction or family housing during the current fiscal year may be used to pay real property taxes in any foreign nation.

SEC. 110. None of the funds appropriated in Military Construction Appropriations Acts may be used to initiate a new installation overseas without prior notification to the Committees on Appropriations.

【SEC. 111. None of the funds appropriated in Military Construction Appropriations Acts may be obligated for architect and engineer contracts estimated by the Government to exceed \$500,000 for projects to be accomplished in Japan, in any NATO member country, or in countries bordering the Arabian Sea, unless such contracts are awarded to United States firms or United States firms in joint venture with host nation firms.】

SEC. 【112】 111. None of the funds appropriated in Military Construction Appropriations Acts for military construction in the United States territories and possessions in the Pacific and on Kwajalein Atoll, or in countries bordering the Arabian Sea, may be used to award any contract estimated by the Government to exceed \$1,000,000 to a foreign contractor: *Provided*, That this section shall not be applicable to contract awards for which the lowest responsive and responsible bid of a United States contractor exceeds the lowest responsive and responsible bid of a foreign contractor by greater than 20 percent: *Provided further*, That this section shall not apply to contract awards for military construction on Kwajalein Atoll for which the lowest responsive and responsible bid is submitted by a Marshallese contractor.

【SEC. 113. The Secretary of Defense is to inform the appropriate committees of Congress, including the Committees on Appropriations, of the plans and scope of any proposed military exercise involving United States personnel 30 days prior to its occurring, if amounts expended for construction, either temporary or permanent, are anticipated to exceed \$100,000.】

SEC. 【114】 112. Not more than 20 percent of the appropriations in Military Construction Appropriations Acts which are limited for obligation during the current fiscal year shall be obligated during the last 2 months of the fiscal year.

(TRANSFER OF FUNDS)

SEC. 【115】 113. Funds appropriated to the Department of Defense for construction in prior years shall be available for construction

authorized for each such military department by the authorizations enacted into law during the current session of Congress.

SEC. 【116】 114. For military construction or family housing projects that are being completed with funds otherwise expired or lapsed for obligation, expired or lapsed funds may be used to pay the cost of associated supervision, inspection, overhead, engineering and design on those projects and on subsequent claims, if any.

SEC. 【117】 115. Notwithstanding any other provision of law, any funds appropriated to a military department or defense agency for the construction of military projects may be obligated for a military construction project or contract, or for any portion of such a project or contract, at any time before the end of the fourth fiscal year after the fiscal year for which funds for such project were appropriated if the funds obligated for such project: (1) are obligated from funds available for military construction projects; and (2) do not exceed the amount appropriated for such project, plus any amount by which the cost of such project is increased pursuant to law.

(TRANSFER OF FUNDS)

SEC. 【118】 116. During the 5-year period after appropriations available to the Department of Defense for military construction and family housing operation and maintenance and construction have expired for obligation, upon a determination that such appropriations will not be necessary for the liquidation of obligations or for making authorized adjustments to such appropriations for obligations incurred during the period of availability of such appropriations, unobligated balances of such appropriations may be transferred into the appropriation "Foreign Currency Fluctuations, Construction, Defense" to be merged with and to be available for the same time period and for the same purposes as the appropriation to which transferred.

【SEC. 119. The Secretary of Defense is to provide the Committees on Appropriations of the Senate and the House of Representatives with an annual report by February 15, containing details of the specific actions proposed to be taken by the Department of Defense during the current fiscal year to encourage other member nations of the North Atlantic Treaty Organization, Japan, Korea, and United States allies bordering the Arabian Sea to assume a greater share of the common defense burden of such nations and the United States.】

(TRANSFER OF FUNDS)

SEC. 【120】 117. During the current fiscal year, in addition to any other transfer authority available to the Department of Defense, proceeds deposited to the Department of Defense Base Closure Account established by section 207(a)(1) of the Defense Authorization Amendments and Base Closure and Realignment Act (Public Law 100-526) pursuant to section 207(a)(2)(C) of such Act, may be transferred to the account established by section 2906(a)(1) of the Department of Defense Authorization Act, 1991, to be merged with, and to be available for the same purposes and the same time period as that account.

SEC. 【121】 118. (a) No funds appropriated pursuant to this Act may be expended by an entity unless the entity agrees that in expending the assistance the entity will comply with sections 2 through 4 of the Act of March 3, 1933 (41 U.S.C. 10a-10c, popularly known as the "Buy American Act").

(b) No funds made available under this Act shall be made available to any person or entity who has been convicted of violating the Act of March 3, 1933 (41 U.S.C. 10a-10c, popularly known as the "Buy American Act").

【SEC. 122. (a) In the case of any equipment or products that may be authorized to be purchased with financial assistance provided under this Act, it is the sense of the Congress that entities receiving such assistance should, in expending the assistance, purchase only American-made equipment and products.

(b) In providing financial assistance under this Act, the Secretary of the Treasury shall provide to each recipient of the assistance a notice describing the statement made in subsection (a) by the Congress.】

(TRANSFER OF FUNDS)

SEC. 【123】 119. Subject to 30 days prior notification to the Committees on Appropriations, such additional amounts as may be determined by the Secretary of Defense may be transferred to the Department of Defense Family Housing Improvement Fund from amounts appropriated for construction in "Family Housing" accounts or construction of military unaccompanied housing projects in "Military Construction" accounts, to be merged with and to be available for the same purposes and for the same period of time as amounts

(TRANSFER OF FUNDS)—Continued

appropriated directly to the Fund: *Provided*, That appropriations made available to the Fund shall be available to cover the costs, as defined in section 502(5) of the Congressional Budget Act of 1974, of direct loans or loan guarantees issued by the Department of Defense pursuant to the provisions of subchapter IV of chapter 169, title 10, United States Code, pertaining to alternative means of acquiring and improving military family housing and supporting facilities.

[SEC. 124. None of the funds appropriated or made available by this Act may be obligated for Partnership for Peace Programs in the New Independent States of the former Soviet Union.]

[SEC. 125. (a) Not later than 60 days before issuing any solicitation for a contract with the private sector for military family housing the Secretary of the military department concerned shall submit to the congressional defense committees the notice described in subsection (b).

(b)(1) A notice referred to in subsection (a) is a notice of any guarantee (including the making of mortgage or rental payments) proposed to be made by the Secretary to the private party under the contract involved in the event of—

(A) the closure or realignment of the installation for which housing is provided under the contract;

(B) a reduction in force of units stationed at such installation; or

(C) the extended deployment overseas of units stationed at such installation.

(2) Each notice under this subsection shall specify the nature of the guarantee involved and assess the extent and likelihood, if any, of the liability of the Federal Government with respect to the guarantee.

(c) In this section, the term “congressional defense committees” means the following:

(1) The Committee on Armed Services and the Military Construction Subcommittee, Committee on Appropriations of the Senate.

(2) The Committee on Armed Services and the Military Construction Subcommittee, Committee on Appropriations of the House of Representatives.]

(TRANSFER OF FUNDS)

SEC. [126] 120. During the current fiscal year, in addition to any other transfer authority available to the Department of Defense, amounts may be transferred from the account established by section 2906(a)(1) of the Department of Defense Authorization Act, 1991 and from funds appropriated for the operation and maintenance of the military departments contained in Title II of the Department of Defense Appropriations Act, 2003., to the fund established by section 1013(d) of the Demonstration Cities and Metropolitan Development Act of 1966 (42 U.S.C. 3374) to pay for expenses associated with the Homeowners Assistance Program. Any amounts transferred shall be merged with and be available for the same purposes and for the same time period as the fund to which transferred.

SEC. [127] 121. Notwithstanding this or any other provision of law, funds appropriated in Military Construction Appropriations Acts for operations and maintenance of family housing shall be the exclusive source of funds for repair and maintenance of all family housing units, including general or flag officer quarters: *Provided*, That not more than \$35,000 per unit may be spent annually for the maintenance and repair of any general or flag officer quarters without 30 days advance prior notification to the appropriate committees of Congress, *except that an after-the-fact notification shall be submitted if the limitation is exceeded solely due to costs associated with environmental remediation that could not be reasonably anticipated at the time of the budget submission. Provided further*, That [the Under Secretary of Defense (Comptroller) is to report annually to the Committees on Appropriations all operations and maintenance expenditures for each individual general or flag officer quarters for the prior fiscal year] *nothing herein precludes the Secretary concerned from using funds pursuant to 10 U.S.C. 2601.*

[SEC. 128. In addition to the amounts provided in Public Law 107–20, of the funds appropriated under the heading “Military Construction, Air Force” in this Act, \$8,000,000 is to remain available until September 30, 2005: *Provided*, That notwithstanding any other provision of law, such funds may be obligated or expended to carry out planning and design and military construction activities at the Masirah Island Airfield in Oman, not otherwise authorized by law.]

[SEC. 129. Not later than 90 days after the enactment of this Act, the Secretary of Defense shall submit to the congressional de-

fense committees a master plan for the environmental remediation of Hunters Point Naval Shipyard, California. The plan shall identify an aggregate cost estimate for the entire project as well as cost estimates for individual parcels. The plan shall also include a detailed cleanup schedule and an analysis of whether the Department is meeting legal requirements and community commitments. Following submission of the initial report, the Department shall submit semi-annual progress reports to the congressional defense committees.]

[(RESCISSION OF FUNDS)]

[SEC. 130. Of the funds available to the Secretary of Defense in the “Foreign Currency Fluctuations, Construction, Defense” account, \$60,000,000 are rescinded.]

[SEC. 131. (a) REQUESTS FOR FUNDS FOR ENVIRONMENTAL RESTORATION AT BRAC SITES IN FUTURE FISCAL YEARS.—In the budget justification materials submitted to Congress in support of the Department of Defense budget for any fiscal year after fiscal year 2002, the amount requested for environmental restoration, waste management, and environmental compliance activities in such fiscal year with respect to military installations approved for closure or realignment under the base closure laws shall accurately reflect the anticipated cost of such activities in such fiscal year.

(b) BASE CLOSURE LAWS DEFINED.—In this section, the term “base closure laws” means the following:

(1) Section 2687 of title 10, United States Code.

(2) The Defense Base Closure and Realignment Act of 1990 (part A of title XXIX of Public Law 101–510; 10 U.S.C. 2687 note).

(3) Title II of the Defense Authorization Amendments and Base Closure and Realignment Act (Public Law 100–526; 10 U.S.C. 2687 note).]

[SEC. 132. (a) The total of the amounts appropriated by the other provisions of this Act, other than the amounts appropriated for the accounts specified in subsection (c), is hereby reduced by 1.127 percent.

(b) The total amount of the reduction computed under subsection (a) shall be allocated proportionally among all of the budget activities, activity groups, and subactivity groups and among all of the accounts and all of the programs, projects, and activities within each account, except for the accounts specified in subsection (c).

(c) No reduction shall be allocated under this section to the Base Realignment and Closure Account, or to the North Atlantic Treaty Organization Security Investment Program.]

SEC. 122. *Upon a determination by the Secretary of Defense that such action is necessary in the national interest, he may, with the approval of the Office of Management and Budget, transfer not to exceed \$70,000,000 of funds appropriated in this Act between appropriations, funds, or accounts, or any subdivision thereof contained in this Act, to be merged with and to be available for the same purposes, and for the same time period, as the appropriation, fund, or account to which transferred: Provided, That such authority to transfer may not be used unless for higher priority items, based on unforeseen military requirements, than those for which originally appropriated and in no case where the item for which the funds are requested has been denied by Congress: Provided further, That the Secretary of Defense shall notify the Congress promptly of all transfers made pursuant to this authority.*

GENERAL PROVISIONS—THIS CHAPTER

[(INCLUDING TRANSFER OF FUNDS)]

[SEC. 301. Amounts available in the “Defense Emergency Response Fund” (the “Fund”) shall be available for the purposes set forth in the 2001 Emergency Supplemental Appropriations Act for Recovery from and Response to Terrorist Attacks on the United States (Public Law 107–38): *Provided*, That the Fund may be used to reimburse other appropriations or funds of the Department of Defense, including activities of the National Foreign Intelligence Program funded in defense appropriations acts, only for costs incurred for such purposes on or after September 11, 2001: *Provided further*, That the Fund may be used to liquidate obligations incurred by the Department of Defense under the authorities in section 3732 of the Revised Statutes (41 U.S.C. 11; popularly known as the “Food and Forage Act”) for any costs incurred for such purposes between September 11 and September 30, 2001: *Provided further*, That the Secretary of Defense may transfer to the Fund amounts from any current appropriation made available in defense appropriations acts, only for the purpose of adjusting and liquidating obligations properly chargeable to the Fund: *Provided further*, That the authority granted in the preceding proviso shall only be exercised after the Secretary of Defense makes

a determination that amounts in the Fund are insufficient to liquidate obligations made using appropriations in the Fund, and not prior to 30 days after notifying the congressional defense committees in writing regarding each proposed transfer of funds: *Provided further*, That in order to carry out the specified purposes under this heading, the Secretary of Defense may transfer funds from the Fund to any defense appropriation account enacted in appropriations acts, including “Support for International Sporting Competitions, Defense”: *Provided further*, That the funds transferred shall be merged with and shall be available for the same purposes and for the same time period as the appropriation to which transferred: *Provided further*, That the transfer authority provided under this heading is in addition to any other transfer authority available to the Department of Defense: *Provided further*, That within 30 days of enactment of this Act, and quarterly thereafter, the Secretary of Defense and the Director of Central Intelligence shall each provide to the Congress a report (in unclassified and classified form, as needed) specifying the projects and accounts to which funds provided in this chapter are to be transferred.]

[SEC. 302. Amounts in the appropriation account “Support for International Sporting Competitions, Defense”, may be used to support essential security and safety for the 2002 Winter Olympic Games in Salt Lake City, Utah, without the certification required under subsection 10 U.S.C. 2564(a): *Provided*, That the term “active duty”, in section 5802 of Public Law 104–208 shall include State active duty and full-time National Guard duty performed by members of the Army National Guard and Air National Guard in connection with providing essential security and safety support to the 2002 Winter Olympic Games and logistical and security support to the 2002 Paralympic Games.]

[SEC. 303. Funds appropriated by this Act, or made available by the transfer of funds in this Act, for intelligence activities are deemed to be specifically authorized by the Congress for purposes of section 504 of the National Security Act of 1947 (50 U.S.C. 414).]

[SEC. 304. Notwithstanding any other provision of law, of the amounts appropriated in Public Law 107–38 which remained available in the Defense Emergency Response Fund on December 18, 2001, not to exceed \$100,000,000 may be available for payments to Pakistan and Jordan for logistical and military support provided, or to be provided, to United States military operations in connection with Operation Enduring Freedom: *Provided*, That such payments may be made in amounts as the Secretary may determine in his discretion, and such determination is final and conclusive upon the accounting officers of the United States.]

(INCLUDING TRANSFER OF FUNDS)

[SEC. 305. (a) During the current fiscal year, \$475,000,000 of appropriations provided in this Act shall be transferred to the Pentagon Reservation Maintenance Revolving Fund only to reconstruct the Pentagon Reservation and for related activities as a result of the events of September 11, 2001.

(b) In addition to the amounts provided in subsection (a) or otherwise appropriated in this Act, out of funds appropriated by Public Law 107–38 but not subject to subsequent enactment, not subject to the restrictions of the fifth proviso of that Act, and not transferred before December 18, 2001, the amount of \$300,000,000 is transferred to the Pentagon Reservation Maintenance Revolving Fund only to finance accelerated building renovation activities for military command centers and related activities at the Pentagon Reservation in order to accelerate completion of the currently planned Pentagon renovation project by up to 4 years: *Provided*, That notwithstanding any other provision of law, funds allocated and transferred under this section shall be made available until expended: *Provided further*, That the cost to accelerate renovation activities for military command centers and related activities at the Pentagon Reservation shall not be included in any cost cap applicable to the Pentagon renovation: *Provided further*, That the transfer authority provided under this

section is in addition to any other transfer authority available to the Department of Defense.]

(TRANSFER OF FUNDS)

[SEC. 306. Notwithstanding any other provision of law or this Act, of the funds unobligated in all fiscal year 2002 appropriations accounts in titles III and IV of division A of this Act, up to 1½ percent of these funds shall be available for transfer to the Operation and Maintenance accounts of the Department of Defense for such costs incurred in support of Operations Enduring Freedom and Noble Anvil: *Provided*, That the Secretary of Defense shall notify the Committees on Appropriations of the House and Senate of transfers made pursuant to this section not later than 15 days after any such transfer is made: *Provided further*, That the transfer authority provided under this section is in addition to any other transfer authority available to the Department of Defense: *Provided further*, That the transfer authority available under this section may be utilized only after all other funds made available to the Department of Defense pursuant to Public Law 107–38 have been obligated: *Provided further*, That no congressional interest item may be reduced for the purposes of this section: *Provided further*, That such authority to transfer shall expire on April 30, 2002.]

[SEC. 307. During fiscal year 2002 the President, acting by and with the consent of the Senate, is authorized to appoint a commissioned officer of the Armed Forces, in active status, to the Office of Deputy Administrator of the National Aeronautics and Space Administration notwithstanding section 202(b) of the National Aeronautics and Space Act of 1958 (42 U.S.C. 2472(b)). If so appointed, the provisions of section 403(c)(3), (4), and (5) of title 50, United States Code, shall be applicable while the commissioned officer serves as Deputy Administrator in the same manner and extent as if the officer was serving in a position specified in section 403(c) of title 50, United States Code, except that the officer’s military pay and allowances shall be reimbursed from funds available to the National Aeronautics and Space Administration.] (*Emergency Supplemental Act, 2002.*)

[GENERAL PROVISIONS—THIS CHAPTER]

[SEC. 1001. (a) AVAILABILITY OF AMOUNTS FOR MILITARY CONSTRUCTION RELATING TO TERRORISM.—Amounts made available to the Department of Defense from funds appropriated in Public Law 107–38 and this Act may be used to carry out military construction projects, not otherwise authorized by law, that the Secretary of Defense determines are necessary to respond to or protect against acts or threatened acts of terrorism.

(b) NOTICE TO CONGRESS.—Not later than 15 days before obligating amounts available under subsection (a) for military construction projects referred to in that subsection the Secretary shall notify the appropriate committees of Congress of the following:

(1) The determination to use such amounts for the project.

(2) The estimated cost of the project and the accompanying Form 1391.

(c) APPROPRIATE COMMITTEES OF CONGRESS DEFINED.—In this section the term “appropriate committees of Congress” has the meaning given that term in section 2801 (4) of title 10, United States Code.]

[SEC. 1002. Section 138 of Public Law 106–246 is amended by striking “\$77,500,000” and inserting in lieu “\$102,000,000 for project completion”.]

[SEC. 1003. Section 2202(a) of the National Defense Authorization Act for Fiscal Year 2002 is amended in the “Navy: Family Housing” table, by striking “Naval Construction Battalion Center, Gulfport” and inserting “Naval Station, Pascagoula”.] (*Emergency Supplemental Act, 2002.*)

DEPARTMENT OF EDUCATION

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION

Federal Funds

General and special funds

EDUCATION FOR THE DISADVANTAGED

For carrying out title I of the Elementary and Secondary Education Act of 1965 ("ESEA") and section 418A of the Higher Education Act of 1965, **[\$12,346,900,000]** *\$13,388,330,000*, of which **[\$4,777,199,000]** *\$5,830,129,000* shall become available on July 1, **[2002]** *2003*, and shall remain available through September 30, **[2003]** *2004*, and of which **\$7,383,301,000** shall become available on October 1, **[2002]** *2003*, and shall remain available through September 30, **[2003]** *2004*, for academic year **[2002–2003]** *2003–2004*: *Provided*, That **\$235,000,000** shall be available for comprehensive school reform grants under part F of the ESEA: *Provided further*, That **\$15,000,000** of the amount appropriated for title I, part B, subpart 1 shall become available October 1, **[2001]** *2002*, and shall remain available through September 30, **[2003]** *2004*, for evaluation and technical assistance: *Provided further*, That the funds provided for title I, part B, subpart 2 shall become available October 1, 2001, and shall remain available through September 30, 2003: *Provided further*, That **[\$7,172,971,000]** *\$7,175,901,000* shall be available for basic grants under section 1124: *Provided further*, That up to **\$3,500,000** of these funds shall be available to the Secretary of Education on October 1, **[2001]** *2002*, to obtain updated educational-agency-level census poverty data from the Bureau of the Census: *Provided further*, That **\$1,365,031,000** shall be available for concentration grants under section 1124A: *Provided further*, That **[\$1,018,499,000]** *\$2,018,499,000* shall be available for targeted grants under section 1125: *Provided further*, That **\$793,499,000** shall be available for education finance incentive grants under section 1125A. (*Department of Education Appropriations Act, 2002; additional authorizing language is required.*)

Program and Financing (in millions of dollars)

Identification code 91–0900–0–1–501	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
Grants to local educational agencies:			
Local educational agency formulas:			
00.01	6,957	6,892	7,187
00.02	1,148	1,350	1,351
00.03	1,018	2,018	2,018
00.04	794	794	794
Reading first:			
00.05	705	1,000	1,000
00.06	75	75	75
00.07	234	271	200
00.08	12	12	12
00.09	425	450	444
00.10	209	237	235
00.11	9	9	9
00.12	2	2	2
00.13	22	22	22
00.14	10	10	10
00.15	7	7	7
00.16	30	38	38
10.00	9,022	11,888	13,388
Budgetary resources available for obligation:			
21.40	41	163	163
22.00	9,143	11,725	13,388
22.10	1	1	1
23.90	9,185	11,888	13,388
23.95	–9,022	–11,888	–13,388
24.40	163	163	163

New budget authority (gross), detail:

Discretionary:			
40.00	Appropriation	2,938	4,967
55.00	Advance appropriation	6,205	6,758
70.00	Total new budget authority (gross)	9,143	11,725

Change in obligated balances:

72.40	Obligated balance, start of year	3,616	3,972
73.10	Total new obligations	9,022	11,888
73.20	Total outlays (gross)	–8,650	–9,401
73.40	Adjustments in expired accounts (net)	–15	–15
73.45	Recoveries of prior year obligations	–1	–1
74.40	Obligated balance, end of year	3,972	6,459

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	5,545	6,027
86.93	Outlays from discretionary balances	3,106	3,374
87.00	Total outlays (gross)	8,650	9,401

Net budget authority and outlays:

89.00	Budget authority	9,143	11,725
90.00	Outlays	8,650	9,401

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00	Budget authority	9,140	11,722
90.00	Outlays	8,647	9,398

SUMMARY OF PROGRAM LEVEL

(in millions of dollars)

	2001–2002 academic year	2002–2003 academic year	2003–2004 academic year
New Budget Authority	2,938	4,967	6,005
Advance appropriation	6,758	7,383	7,383
Total program level	9,696	12,350	13,388
Change in advance appropriation from the previous year	+533	+625	0

Grants to local educational agencies.—Funds are allocated through four formulas—Basic Grants, Concentration Grants, Targeted Grants and Education Finance Incentive Grants—for local programs that provide extra academic support to help raise the achievement of eligible students, in high-poverty communities, or, in the case of schoolwide programs, help all students in high-poverty schools to meet challenging State academic standards. States must annually assess participating students in at least reading and mathematics, and school districts must identify for improvement, and provide assistance to, schools that for two consecutive years fail to make adequate yearly progress toward helping all groups of students reach the proficient level on the State assessments. Districts must provide students attending such schools the choice of attending another public school that is not identified for improvement. After three years of failure, students who remain in the school would be permitted to use Title I funds to obtain supplemental educational services from a public- or private-sector provider. Schools that continue to fail to meet State standards would be subject to progressively stonger corrective actions and, after six years of failure, reconstitution under a restructuring plan.

Reading first State grants.—Funds provide assistance to State and local educational agencies in establishing reading

General and special funds—Continued

EDUCATION FOR THE DISADVANTAGED—Continued

programs for students in grades K–3 that are grounded in scientifically based reading research, in order to ensure that every student can read at grade level or above by the end of third grade.

Early reading first.—Funds provide assistance to support local efforts, through competitive grants, to enhance the school readiness of young children, particularly those from low-income families, through scientific, research-based strategies and professional development that are designed to enhance the verbal skills, phonological awareness, letter knowledge, pre-reading skills, and early language development of children ages three through five.

Even start.—Funds support formula grants to States, which award grants for local projects to partnerships of local educational agencies and other organizations to operate projects integrating early childhood education, adult literacy, parenting education, and interactive literacy activities between parents and their children into “family literacy” programs for low-income families with children under age seven.

Literacy through school libraries.—Funds support competitive grants to local educational agencies to provide students with increased access to up-to-date school library materials and professional certified library media specialists.

State agency migrant program.—Funds support formula grants to States for educational services to children of migratory farmworkers and fishers, with resources and services focused on children who have moved within the past 36 months.

State agency neglected and delinquent program.—Funds support formula grants to States for educational services to children and youth under age 21 in State neglected, delinquent, or adult correction facilities.

Comprehensive school reform.—Funds support formula grants to States, which in turn award grants to local educational agencies to help participating schools initiate and implement comprehensive school reforms based on approaches and methods grounded in reliable research and practice.

Evaluation.—Funds support national activities to evaluate Title I programs and a national assessment of the program’s effectiveness in helping States, school districts, and schools raise the overall achievement of disadvantaged students and narrow the achievement gap.

Advanced placement.—Funds support formula grants to States to pay test fees for low-income students if they are enrolled in an Advanced Placement course and competitive grants to State educational agencies, local educational agencies, or national nonprofit educational entities for activities, such as teacher training and pre-advanced placement course development, designed to expand access for low-income individuals to Advanced Placement classes.

Migrant education projects.—Funds support grants to institutions of higher education and other nonprofit agencies that assist migrant students to earn a high school equivalency certificate or to complete their first year of college.

Object Classification (in millions of dollars)

Identification code 91–0900–0–1–501	2001 actual	2002 est.	2003 est.
24.0 Printing and reproduction		1	2
25.1 Advisory and assistance services	11	26	30
25.2 Other services	8	16	18
25.3 Other purchases of goods and services from Government accounts	6	13	15
41.0 Grants, subsidies, and contributions	8,997	11,832	13,323
99.9 Total new obligations	9,022	11,888	13,388

IMPACT AID

For carrying out programs of financial assistance to federally affected schools authorized by title VIII of the Elementary and Secondary Education Act of 1965, **[\$1,143,500,000]** *\$1,140,500,000*, of which \$982,500,000 shall be for basic support payments under section 8003(b), \$50,000,000 shall be for payments for children with disabilities under section 8003(d), **[\$48,000,000]** *\$45,000,000* shall be for construction under section 8007 and shall remain available through September 30, **[2003]** *2004*, \$55,000,000 shall be for Federal property payments under section 8002, and \$8,000,000, to remain available until expended, shall be for facilities maintenance under section 8008: *Provided*, That \$3,000,000 of the funds for section 8007 shall be available for the local educational agencies and in the amounts specified in the statement of the managers on the conference report accompanying this Act. (Department of Education Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 91–0102–0–1–501	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Payments for federally connected children:			
00.01 Basic support payments	882	983	983
00.02 Supplemental payments for children with disabilities	50	50	50
00.03 Payments for heavily impacted districts	1	5	
00.91 Subtotal, payments for federally connected children	933	1,038	1,033
01.01 Facilities maintenance	8	8	8
02.01 Construction	13	22	46
03.01 Payments for Federal property	41	55	55
10.00 Total new obligations (object class 41.0)	995	1,123	1,142
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	14	14	35
22.00 New budget authority (gross)	993	1,144	1,141
22.10 Resources available from recoveries of prior year obligations	2		
23.90 Total budgetary resources available for obligation	1,009	1,158	1,176
23.95 Total new obligations	–995	–1,123	–1,142
24.40 Unobligated balance carried forward, end of year	14	35	35
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	993	1,144	1,141
Change in obligated balances:			
72.40 Obligated balance, start of year	266	215	186
73.10 Total new obligations	995	1,123	1,142
73.20 Total outlays (gross)	–1,040	–1,152	–1,126
73.40 Adjustments in expired accounts (net)	–4		
73.45 Recoveries of prior year obligations	–2		
74.40 Obligated balance, end of year	215	186	202
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	918	999	999
86.93 Outlays from discretionary balances	122	153	127
87.00 Total outlays (gross)	1,040	1,152	1,126
Net budget authority and outlays:			
89.00 Budget authority	993	1,144	1,141
90.00 Outlays	1,040	1,152	1,126

Impact Aid helps to replace the lost local revenue that would otherwise be available to educate federally connected children. The presence of certain students living on Federal property, such as students who are military dependents or who reside on Indian lands, can place a financial burden on local educational agencies that educate them. The property on which the children live or their parents work is exempt from local property taxes, denying local educational agencies access to the primary source of revenue used by most communities to finance education.

Basic support payments.—Payments will be made on behalf of approximately 1.1 million federally connected students enrolled in about 1,330 local educational agencies to assist them

in meeting their operation and maintenance costs. Average per-student payments will be approximately \$870.

Payments for Children with Disabilities.—Payments in addition to those provided under the Individuals with Disabilities Education Act will be provided on behalf of approximately 54,000 federally connected students with disabilities in about 830 local educational agencies. Average per-student payments will be approximately \$920.

Facilities maintenance.—Funds are used to provide emergency repairs for school facilities that serve military dependents and are owned by the Department of Education. Funds are also used to transfer the facilities to local educational agencies.

Construction.—Formula payments will be provided to approximately 170 local educational agencies with large proportions of federally connected students. Payments will be made on behalf of about 140,000 students who are military dependents or who reside on Indian lands. In addition, approximately 25 construction grants will be awarded competitively to local educational agencies.

Payments for Federal Property.—Payments are made to approximately 250 local educational agencies in which real property owned by the Federal Government represents 10 percent or more of the assessed value of real property in the local educational agency.

SCHOOL IMPROVEMENT PROGRAMS

For carrying out school improvement activities authorized by titles II, IV, V, *subpart 1 of part A of title VI*, and parts B and C of title VII of the Elementary and Secondary Education Act of 1965 (“ESEA”); [part B of title II of the Higher Education Act;] the McKinney-Vento Homeless Assistance Act; and the Civil Rights Act of 1964, [\$7,827,473,000] \$6,784,484,000, of which [\$1,717,609,000] \$500,000,000 shall become available October 1, [2001] 2002, and shall remain available through September 30, [2003] 2004, of which [\$2,801,597,000] \$3,579,517,000 shall become available on July 1, [2002] 2003, and remain available through September 30, [2003] 2004, and of which \$1,765,000,000 shall become available on October 1, [2002] 2003, and shall remain available through September 30, [2003] 2004, for academic year [2002–2003: *Provided*, That \$75,000,000 for continuing and new grants to demonstrate effective approaches to comprehensive school reform shall be allocated and expended in the same manner as the funds provided under the Fund for the Improvement of Education for this purpose were allocated and expended in fiscal year 2001: *Provided further*, That \$142,189,000 shall be available to support the activities authorized under subpart 4 of part D of title V of the ESEA, of which up to 5 percent shall become available on October 1, 2001, for evaluation, technical assistance, school networking, peer review of applications, and program outreach activities and of which not less than 95 percent shall become available on July 1, 2002, and remain available through September 30, 2003, for grants to local educational agencies: *Provided further*, That funds made available to local educational agencies under this subpart shall be used only for activities related to establishing smaller learning communities in high schools: *Provided further*, That of the amount made available for subpart 3, part C, of title II of the ESEA, \$2,000,000 shall be used by the Center for Civic Education to implement a comprehensive program to improve public knowledge, understanding, and support of the Congress and the state legislatures: *Provided further*, That \$269,906,000 of the funds for subpart 1, part D of title V of the ESEA shall be available for the projects and in the amounts specified in the statement of the managers on the conference report accompanying this Act] 2003–2004: *Provided*, That of the funds made available for subpart 2 of part A of title IV of the ESEA, \$10,000,000, to remain available until expended, shall be for the Project School Emergency Response to Violence program to provide education-related services to local educational agencies in which the learning environment has been disrupted due to a violent or traumatic crisis: *Provided further*, That \$387,000,000 shall be for subpart 1 of part A of title VI of the ESEA. (*Department of Education Appropriations Act, 2002.*)

[For emergency expenses to provide education-related services to local educational agencies in which the learning environment has been disrupted due to a violent or traumatic crisis, for the Project

School Emergency Response to Violence program, \$10,000,000, to remain available until expended, and to be obligated from amounts made available in Public Law 107–38.] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 91–1000–0–1–501	2001 actual	2002 est.	2003 est.
Direct program:			
Obligations by program activity:			
Improving teacher quality:			
00.01	Improving teacher quality State grants	1,700	2,850
00.02	National activities	10	35
00.03	Mathematics and science partnerships	13	13
00.04	Troops-to-teachers	18	20
00.05	Transition to teaching	31	35
00.06	National writing project	14
00.07	Civic education	27
00.08	Teaching of traditional American history	100	50
Educational technology:			
00.09	Educational technology State grants	700	700
00.10	Ready-to-learn television	22	22
00.11	Preparing tomorrow's teachers to use technology	62
00.12	21st Century community learning centers	1,000	1,000
School choice and innovation:			
00.13	State grants for innovative programs	381	388
00.14	Charter schools grants	190	200
00.15	Credit enhancement for charter school facilities	100
00.16	Voluntary public school choice	25	25
00.17	Magnet schools assistance	110	110
00.18	Choice demonstration fund	50
00.19	Fund for the Improvement of Education	54	833
Safe and drug-free schools and communities:			
00.20	State grants	437	477
00.21	National programs	205	275
00.22	State assessments and enhanced assessment instruments	387
00.23	Education for homeless children and youth	35	50
00.24	Education for Native Hawaiians	28	30
00.26	Alaska Native education equity	15	24
00.27	Training and advisory services	7	7
00.28	Rural education	163
00.29	Class size reduction	1,369	1,154
00.30	School renovation grants	800	400
00.31	Eisenhower professional development State grants	439	2
00.32	Eisenhower professional development national activities	45
00.33	Close up fellowships	2
00.34	Comprehensive regional assistance centers	28
00.35	Advanced placement	22
01.00	Total direct program	4,208	8,251
09.01	Reimbursable program	60
10.00	Total new obligations	4,268	8,251
Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year	6	414
22.00	New budget authority (gross)	4,679	7,837
22.10	Resources available from recoveries of prior year obligations	1
23.90	Total budgetary resources available for obligation	4,686	8,251
23.95	Total new obligations	–4,268	–8,251
23.98	Unobligated balance expiring or withdrawn	–3
24.40	Unobligated balance carried forward, end of year	414
New budget authority (gross), detail:			
Discretionary:			
40.00	Appropriation	3,107	6,062
40.15	Appropriation (emergency)	10
41.00	Transferred to other accounts	–3
43.00	Appropriation (total discretionary)	3,104	6,072
55.00	Advance appropriation	1,515	1,765
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	60
70.00	Total new budget authority (gross)	4,679	7,837
Change in obligated balances:			
72.40	Obligated balance, start of year	2,380	3,771
73.10	Total new obligations	4,268	8,251
73.20	Total outlays (gross)	–2,856	–4,449
73.40	Adjustments in expired accounts (net)	–20
73.45	Recoveries of prior year obligations	–1

General and special funds—Continued

SCHOOL IMPROVEMENT PROGRAMS—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 91-1000-0-1-501	2001 actual	2002 est.	2003 est.
74.40 Obligated balance, end of year	3,771	7,574	7,644
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1,214	1,628	1,575
86.93 Outlays from discretionary balances	1,642	2,821	5,138
87.00 Total outlays (gross)	2,856	4,449	6,713
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	- 60		
Net budget authority and outlays:			
89.00 Budget authority	4,619	7,837	6,784
90.00 Outlays	2,796	4,449	6,713

SUMMARY OF PROGRAM LEVEL

(in millions of dollars)

	2001-2002 Academic Year	2002-2003 Academic Year	2003-2004 Academic Year
New Budget Authority	3,104	6,072	5,019
Advance Appropriation	1,765	1,765	1,765
Total program level	4,869	7,837	6,784
Change in advance appropriation over previous year	+250		

Improving teacher quality.—

Improving teacher quality State grants.—Funds support State and school district activities to prepare, train, and recruit high-quality teachers to improve student achievement.

National activities.—Funds support competitive grants to improve the knowledge and skills of early childhood educators who work in communities that have high concentrations of children living in poverty.

Mathematics and science partnerships.—Funds support State and local efforts to improve students' academic achievement in mathematics and science by promoting strong teaching skills for elementary and secondary school teachers, including integrating teaching methods based on scientifically-based research and technology into the curriculum.

Troops-to-teachers.—Funds assist eligible members of the armed forces to obtain certification as teachers and to become highly qualified teachers.

Transition to teaching.—Funds support competitive grants to establish programs to recruit and retain highly qualified mid-career professionals and recent college graduates as teachers in high-need schools.

Teaching of traditional American history.—Funds support competitive grants to school districts for activities to improve history instruction and provide professional development for teachers of American history.

Educational technology.—

Educational technology State grants.—Funds support formula grants to States, which target funds to high-poverty school districts, to acquire computers, connections, software, and teacher training to enable schools to integrate technology effectively into curricula.

Ready-to-learn television.—Funds support the development, distribution and production of educational video for preschool children, elementary school children, and their parents to facilitate student academic achievement.

21st Century community learning centers.—

Funds support formula grants to States, which award subgrants to communities to provide academic enrichment opportunities and related services to students, primarily students who attend high-poverty schools, and their families during before-school, after-school, weekend, and summer hours.

School choice and innovation.—

State grants for innovative programs.—Funds support formula grants to States and local educational agencies to help implement innovative strategies for improving student achievement.

Charter schools grants.—Funds support competitive grants to State educational agencies and charter schools to support the planning, design, initial implementation, and dissemination of information regarding charter schools. These schools are created by teachers, parents, and members of the community, and are exempt from certain local, State, and Federal regulations.

Credit enhancement for charter school facilities.—Funds support competitive grants to State and local governments, nonprofit entities, and public and nonprofit consortia, to assist charter schools in acquiring, leasing, and renovating school facilities.

Voluntary public school choice.—Funds support competitive grants to State educational agencies or local educational agencies to implement programs that provide students, particularly students who attend low-performing schools, with expanded public school choice options.

Magnet schools assistance.—Funds support competitive grants to local educational agencies to establish and operate magnet school programs that are part of an approved desegregation plan.

Choice demonstration fund.—Funds support grants to State educational agencies, local educational agencies, institutions of higher education, governmental agencies, or other public or private entities for research projects to measure the effects on student, school, and district achievement of providing parents—particularly low-income parents—with school choice options.

Fund for the Improvement of Education (FIE).—Funds support nationally significant projects to improve the quality of elementary and secondary education to help all children meet challenging State content and student achievement standards, including initiatives to improve teacher quality. In addition, funds support the distribution of books to promote childhood literacy and projects to design and implement character education programs.

Safe and drug-free schools and communities.—

State Grants.—Funds provide formula grants to States to support research-based drug and violence-prevention programs and to implement strategies to improve school safety.

National Programs.—Funds support national activities to prevent violence and the illegal use of drugs among, and to promote safety and discipline for, students.

State assessments and enhanced assessments instruments.—Funds support formula grants to States to develop and implement the new assessments, and related accountability efforts, that States would use to test all children annually in grades 3-8 in reading and mathematics.

Education for homeless children and youth.—Funds support formula grants to States to provide educational and support services that enable homeless children and youth to attend and achieve success in school.

Education for Native Hawaiians.—Funds provide supplemental education services to Native Hawaiians in areas such as family-based education, special education, gifted and talented education, higher education, curriculum development, teacher training and recruitment, and community-based learning.

Alaska Native education equity.—Funds provide supplemental education services to Alaska Natives in areas such

as educational planning, curriculum development, teacher training, teacher recruitment, student enrichment, and home-based instruction for pre-school children. Grants also go to organizations specified in the law.

Training and advisory services.—Funds support grants to regional equity assistance centers that provide technical assistance to school districts in addressing equity in education related to issues of race, gender, and national origin.

Object Classification (in millions of dollars)			
Identification code 91-1000-0-1-501	2001 actual	2002 est.	2003 est.
Direct obligations:			
24.0 Printing and reproduction	1	1	2
25.1 Advisory and assistance services	1	13	16
25.2 Other services	37	56	50
25.3 Other purchases of goods and services from Government accounts	1	1	1
25.5 Research and development contracts	1	2
41.0 Grants, subsidies, and contributions	4,167	8,178	6,714
99.0 Direct obligations	4,208	8,251	6,783
99.0 Reimbursable obligations	60
99.9 Total new obligations	4,268	8,251	6,783

READING EXCELLENCE			
Program and Financing (in millions of dollars)			
Identification code 91-0011-0-1-501	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Reading Excellence	296	201
10.00 Total new obligations	296	201
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	16	6
22.00 New budget authority (gross)	286	195
23.90 Total budgetary resources available for obligation	302	201
23.95 Total new obligations	-296	-201
24.40 Unobligated balance carried forward, end of year	6
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	91
55.00 Advance appropriation	195	195
70.00 Total new budget authority (gross)	286	195
Change in obligated balances:			
72.40 Obligated balance, start of year	282	449	377
73.10 Total new obligations	296	201
73.20 Total outlays (gross)	-128	-273	-266
73.40 Adjustments in expired accounts (net)	-1
74.40 Obligated balance, end of year	449	377	111
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	9	21
86.93 Outlays from discretionary balances	118	251	266
87.00 Total outlays (gross)	128	273	266
Net budget authority and outlays:			
89.00 Budget authority	286	195
90.00 Outlays	128	273	266

Reading Excellence.—This program has been replaced by the Reading First program in the Education for the Disadvantaged account. Amounts in these schedules reflect balances that are spending out from prior-year appropriations.

Object Classification (in millions of dollars)			
Identification code 91-0011-0-1-501	2001 actual	2002 est.	2003 est.
24.0 Printing and reproduction	1
25.1 Advisory and assistance services	2
25.2 Other services	3
25.3 Other purchases of goods and services from Government accounts	3

41.0 Grants, subsidies, and contributions	287	201
99.9 Total new obligations	296	201

EDUCATION REFORM

Program and Financing (in millions of dollars)

Identification code 91-0500-0-1-501	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 21st Century community learning centers	846
01.01 Small learning communities	22	103
Educational technology:			
02.01 Technology literacy challenge fund	450
02.02 Technology innovation challenge grants	136
02.03 Regional technology in education consortia	10
National activities:			
02.04 Teacher training in technology	125
02.05 Community-based technology	65
02.06 Technology leadership activities	2
02.07 Star schools	59
02.08 Ready to learn television	16
02.09 Telecommunications demonstration project for mathematics	9
02.91 Total, Education technology	872
Goals 2000:			
03.01 State and local education systemic improvement	8
03.02 Parental assistance	38
03.91 Total, Goals 2000	46
04.01 School-to-work opportunities	30
10.00 Total new obligations	1,816	103
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	63	103
22.00 New budget authority (gross)	1,881
22.21 Unobligated balance transferred to other accounts	-26
22.22 Unobligated balance transferred from other accounts	2
23.90 Total budgetary resources available for obligation	1,920	103
23.95 Total new obligations	-1,816	-103
23.98 Unobligated balance expiring or withdrawn	-1
24.40 Unobligated balance carried forward, end of year	103
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1,881
Change in obligated balances:			
72.40 Obligated balance, start of year	2,392	2,464	774
73.10 Total new obligations	1,816	103
73.20 Total outlays (gross)	-1,738	-1,793	-651
73.40 Adjustments in expired accounts (net)	-6
74.40 Obligated balance, end of year	2,464	774	123
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1,577
86.93 Outlays from discretionary balances	161	1,793	651
87.00 Total outlays (gross)	1,738	1,793	651
Net budget authority and outlays:			
89.00 Budget authority	1,881
90.00 Outlays	1,738	1,793	651

Programs in this account have been transferred to the School Improvement account or discontinued. Amounts in these schedules reflect balances that are spending out from prior-year appropriations.

Object Classification (in millions of dollars)			
Identification code 91-0500-0-1-501	2001 actual	2002 est.	2003 est.
25.1 Advisory and assistance services	7
25.2 Other services	8
25.3 Other purchases of goods and services from Government accounts	1
25.5 Research and development contracts	8
41.0 Grants, subsidies, and contributions	1,792	103

General and special funds—Continued

EDUCATION REFORM—Continued

Object Classification (in millions of dollars)—Continued

Identification code 91-0500-0-1-501	2001 actual	2002 est.	2003 est.
99.9 Total new obligations	1,816	103	

CHICAGO LITIGATION SETTLEMENT

Program and Financing (in millions of dollars)

Identification code 91-0220-0-1-501	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	1	1	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	
23.95 Total new obligations	-1	-1	
24.40 Unobligated balance carried forward, end of year	1		
Change in obligated balances:			
72.40 Obligated balance, start of year	2	2	1
73.10 Total new obligations	1	1	
73.20 Total outlays (gross)	-1	-2	-1
74.40 Obligated balance, end of year	2	1	
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	1	2	1
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	1	2	1

Funds made available under this account were reappropriated by the Supplemental Appropriations Act, 1987 (Public Law 100-71) from funds enjoined in *United States of America v. Board of Education of the City of Chicago*. The funds were reappropriated for the specific purpose of settling this case. The funds are used by the Chicago Board of Education to implement Project CANAL (Creating A New Approach to Learning), the project approved by the court to support the Board's desegregation efforts.

INDIAN EDUCATION

For expenses necessary to carry out, to the extent not otherwise provided, title VII, part A of the Elementary and Secondary Education Act of 1965, **[\$120,368,000]** \$122,368,000. (*Department of Education Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 91-0101-0-1-501	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Grants to local educational agencies	93	97	97
00.02 Special programs for Indian children	20	20	20
00.03 National activities	3	3	5
10.00 Total new obligations	116	120	122
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	116	120	122
23.95 Total new obligations	-116	-120	-122
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	116	120	122
Change in obligated balances:			
72.40 Obligated balance, start of year	95	132	139
73.10 Total new obligations	116	120	122
73.20 Total outlays (gross)	-78	-113	-119
73.40 Adjustments in expired accounts (net)	-2		

74.40 Obligated balance, end of year	132	139	143
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2	6	6
86.93 Outlays from discretionary balances	76	107	112
87.00 Total outlays (gross)	78	113	119
Net budget authority and outlays:			
89.00 Budget authority	116	120	122
90.00 Outlays	78	113	119

The Indian Education program supports the efforts of local educational agencies and tribal schools to improve teaching and learning for the Nation's American Indian and Alaska Native Children.

Grants to local educational agencies.—Formula grants support local educational agencies in their efforts to reform elementary and secondary school programs that serve Indian students, with the goal of ensuring that such programs assist participating students in meeting the same academic standards as all other students. In 2001, the Department made 1,212 formula grants to local educational agencies and tribal schools serving more than 462,000 students.

Special programs for Indian Children.—The Department makes competitive awards for demonstration projects in early childhood education, professional development grants, American Indian Teacher Corps grants, and grants for the American Indian Administrator Corps. The professional development awards in this activity are designed to improve the quality of teachers and administrators in school districts with concentrations of Indian students.

National activities.—Funds support research, evaluation, data collection, and related activities.

Object Classification (in millions of dollars)

Identification code 91-0101-0-1-501	2001 actual	2002 est.	2003 est.
25.2 Other services	2	3	5
41.0 Grants, subsidies, and contributions	114	117	117
99.9 Total new obligations	116	120	122

OFFICE OF ENGLISH LANGUAGE ACQUISITION

Federal Funds

General and special funds:

[BILINGUAL AND IMMIGRANT EDUCATION]

ENGLISH LANGUAGE ACQUISITION

For carrying out title III, part A of the ESEA, \$665,000,000, of which **[\$415,000,000]** \$469,000,000 shall become available on July 1, **[2002]** 2003, and shall remain available through September 30, **[2003]** 2004. (*Department of Education Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 91-1300-0-1-501	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Language acquisition State grants		456	510
00.02 Bilingual education instructional services	179	121	91
00.03 Bilingual education support services	16		
00.04 Bilingual education professional development	99	88	64
00.05 Immigrant education	150		
00.06 Foreign language assistance	14		
10.00 Total new obligations	458	665	665
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	460	665	665
23.95 Total new obligations	-458	-665	-665
23.98 Unobligated balance expiring or withdrawn	-2		

New budget authority (gross), detail:			
Discretionary:			
40.00	Appropriation	460	665
Change in obligated balances:			
72.40	Obligated balance, start of year	566	603
73.10	Total new obligations	458	665
73.20	Total outlays (gross)	-410	-479
73.40	Adjustments in expired accounts (net)	-11	
74.40	Obligated balance, end of year	603	789
Outlays (gross), detail:			
86.90	Outlays from new discretionary authority	17	33
86.93	Outlays from discretionary balances	393	446
87.00	Total outlays (gross)	410	479
Net budget authority and outlays:			
89.00	Budget authority	460	665
90.00	Outlays	410	479

Language acquisition State grants.—Funds provide formula grants to States and discretionary National Activities grants to improve services for limited English proficient and immigrant students. States are accountable for demonstrating that limited English proficient students are learning English and meeting the same high State standards as all other students. Under National Activities, the statute authorizes competitive professional development grants and a clearinghouse.

Object Classification (in millions of dollars)

Identification code 91-1300-0-1-501	2001 actual	2002 est.	2003 est.
25.2 Other services	3	3	3
25.5 Research and development contracts	4		
41.0 Grants, subsidies, and contributions	451	662	662
99.9 Total new obligations	458	665	665

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES

Federal Funds

General and special funds:

SPECIAL EDUCATION

For carrying out the Individuals with Disabilities Education Act, **[\$8,672,804,000]** *\$9,687,804,000*, of which **[\$3,315,233,000]** *\$4,335,233,000* shall become available for obligation on July 1, **[2002]** *2003*, and shall remain available through September 30, **[2003]** *2004*, and of which **\$5,072,000,000** shall become available on October 1, **[2002]** *2003*, and shall remain available through September 30, **[2003]** *2004*, for academic year **[2002-2003]** *2003-2004*: *Provided*, That **[\$9,500,000]** shall be for Recording for the Blind and Dyslexic to support the development, production, and circulation of recorded educational materials: *Provided further*, That **\$1,500,000** shall be for the recipient of funds provided by Public Law 105-78 under section 687(b)(2)(G) of the Act to provide information on diagnosis, intervention, and teaching strategies for children with disabilities: *Provided further*, That the amount for section 611(c) of the Act shall be equal to the amount available for that section **[under Public Law 106-554]** *in the Department of Education Appropriations Act, 2002*, increased by the amount of inflation as specified in section 611(f)(1)(B)(ii) of the Act: *Provided further*, That **\$8,380,000** of the funds for section 672 of the Act shall be available for the projects and in the amounts specified in the statement of the managers on the conference report accompanying this Act. *(Department of Education Appropriations Act, 2002.)*

Program and Financing (in millions of dollars)

Identification code 91-0300-0-1-501	2001 actual	2002 est.	2003 est.
Direct program:			
Obligations by program activity:			
State grants:			
00.01	Grants to States	5,101	7,547
			8,529

00.02	Preschool grants	414	390	390
00.03	Grants for infants and families	425	472	437
00.91	Subtotal, State grants	5,940	8,409	9,356
National activities:				
01.01	State improvement	35	95	52
01.02	Research and innovation	78	78	78
01.03	Technical assistance and dissemination	53	53	53
01.04	Personnel preparation	82	90	90
01.05	Parent information centers	26	26	26
01.06	Technology and media services	39	38	33
01.91	Subtotal, National activities	313	380	332
02.00	Total Direct Program	6,253	8,789	9,688
10.00	Total new obligations	6,253	8,789	9,688
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	259	116	
22.00	New budget authority (gross)	6,110	8,673	9,688
23.90	Total budgetary resources available for obligation	6,369	8,789	9,688
23.95	Total new obligations	-6,253	-8,789	-9,688
24.40	Unobligated balance carried forward, end of year	116		

New budget authority (gross), detail:			
Discretionary:			
40.00	Appropriation	2,368	3,601
55.00	Advance appropriation	3,742	5,072
70.00	Total new budget authority (gross)	6,110	8,673
Change in obligated balances:			
72.40	Obligated balance, start of year	4,044	4,469
73.10	Total new obligations	6,253	8,789
73.20	Total outlays (gross)	-5,809	-6,924
73.40	Adjustments in expired accounts (net)	-20	
74.40	Obligated balance, end of year	4,469	6,334
Outlays (gross), detail:			
86.90	Outlays from new discretionary authority	2,314	3,470
86.93	Outlays from discretionary balances	3,495	3,454
87.00	Total outlays (gross)	5,809	6,924
Net budget authority and outlays:			
89.00	Budget authority	6,110	8,673
90.00	Outlays	5,809	6,924

SUMMARY OF PROGRAM LEVEL

(In millions of dollars)

	2001-2002 academic year	2002-2003 academic year	2003-2004 academic year
Current Budget Authority	2,368	3,601	4,616
Advance appropriation	5,072	5,072	5,072
Total program level	7,440	8,673	9,688
Change in advance appropriation from the previous year	+1,330	0	0

State Grants:

Grants to States.—Formula grants are provided to States to assist them in providing special education and related services to children with disabilities ages 3 through 21.

Preschool grants.—Formula grants provide additional funds to States to further assist them in providing special education and related services to children with disabilities ages 3 through 5 served under the Grants to States program.

The goal of both of these programs is to improve results for children with disabilities by assisting State and local educational agencies to provide children with disabilities with access to high quality education that will help them meet challenging standards and prepare them for employment and independent living.

Grants for infants and families.—Formula grants are provided to assist States to implement statewide systems of coordinated, comprehensive, multi-disciplinary interagency programs to provide early intervention services to children with disabilities, birth through age 2, and their families.

General and special funds—Continued

SPECIAL EDUCATION—Continued

The goal of this program is to help States provide a comprehensive system of early intervention services that will enhance child and family outcomes.

National activities.—These activities include research, demonstration, personnel preparation, technical assistance, grants to States to promote systems change, and other activities to support State efforts to improve results for children with disabilities under the State grants programs.

The goal of National Activities is to link States, school systems, and families to best practices to improve results for infants, toddlers, and children with disabilities.

Performance data related to these goals include:

Number of children served in first quarter of fiscal year:	2001 actual	2002 est.	2003 est.
Ages 3 through 21	6,381	6,470	6,548
Ages 3 through 5	599	611	623
Birth through 2	231	242	255

Educational Environment

Percent of children ages 6 through 21 provided special education in:	1997–1998 actual	1998–1999 actual	1999–2000 actual
Regular classrooms	46.5	47.4	47.3
Resource rooms	29.1	28.4	28.3
Separate classes	20.4	20.1	20.3
Separate schools	2.8	2.9	2.9
Residential facilities7	.7	.7
Home or hospital5	.5	.5

Status of Exiting Students

Percent of students with disabilities aged 14–21 leaving school:	2001 actual	2002 est.	2003 est.
Graduated with a diploma	55.4	57.4	56.2
Graduated through certification	11.2	11.2	11.4
Dropped out of school	31.0	28.9	29.4
Reached maximum age and other	2.4	2.5	3.0

Object Classification (in millions of dollars)

Identification code 91–0300–0–1–501	2001 actual	2002 est.	2003 est.
25.2 Other services	21	21	21
41.0 Grants, subsidies, and contributions	6,232	8,768	9,667
99.9 Total new obligations	6,253	8,789	9,688

REHABILITATION SERVICES AND DISABILITY RESEARCH

For carrying out, to the extent not otherwise provided, the Rehabilitation Act of 1973, the Assistive Technology Act of 1998, and the Helen Keller National Center Act, **[\$2,945,813,000] \$3,001,840,000**, of which **[\$56,552,000] \$45,218,000** shall remain available through September 30, **[2003: Provided] 2004**, of which **\$30,000,000** shall be used to make awards to State Vocational Rehabilitation agencies based on their performance under the Vocational Rehabilitation State Grants program as determined by the Secretary: *Provided*, That funds available under the Vocational Rehabilitation State Grants program may be used by State Vocational Rehabilitation agencies to pay for the fiscal year 2003 continuation costs of projects funded in fiscal year 2002 under title VI, Part A of the Rehabilitation Act: *Provided further*, That the funds provided for title I of the Assistive Technology Act of 1998 (“the AT Act”) shall be allocated notwithstanding section 105(b)(1) of the AT Act: **[Provided further**, That in the case of a State that was in the third year of a 3-year extension grant made pursuant to section 101(f) of the Assistive Technology Act of 1998 for fiscal year 2001, the Secretary of Education shall award under such section an additional 1-year extension of the grant to such State for fiscal year 2002 in an amount equal to the amount the State received under such section for fiscal year 2001: **] Provided further**, That each State shall be provided \$50,000 for activities under section 102 of the AT Act: *Provided further*, That **[\$36,552,000] \$15,218,000** shall be used to support grants for up to 3 years to States under title III of the AT Act, of which the Federal share shall not exceed 75 percent in the first year, 50 percent in the second year, and 25 percent in the third year, and that the requirements in section 301(c)(2) and section 302 of that Act shall not apply to such grants: *Provided further*, That **\$3,746,000** of the funds for sec-

tion 303 of the Rehabilitation Act of 1973 shall be available for the projects and in the amounts specified in the statement of the managers on the conference report accompanying this Act]. (*Department of Education Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 91–0301–0–1–506	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Vocational rehabilitation State grants	2,400	2,481	2,616
00.02 Vocational rehabilitation incentive grants			30
00.03 Client assistance State grants	12	12	12
00.04 Training	40	40	43
00.05 Demonstration and training programs	21	21	17
00.06 Migrant and seasonal farmworkers	2	2	
00.07 Recreational programs	2	3	
00.08 Protection and advocacy of individual rights	14	15	15
00.09 Projects with industry	22	22	
00.10 Supported employment State grants	38	38	
00.11 Independent living	100	110	117
00.12 Program improvement	2	1	1
00.13 Evaluation	2	1	1
00.14 Helen Keller National Center	9	9	9
00.15 National Institute on Disability and Rehabilitation Research	100	110	110
00.16 Assistive technology	40	61	31
00.17 Access to Telework Fund		10	10
01.00 Total direct program	2,804	2,936	3,012
09.01 Reimbursable program	7	7	7
10.00 Total new obligations	2,811	2,943	3,019
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year			10
22.00 New budget authority (gross)	2,812	2,953	3,009
23.90 Total budgetary resources available for obligation	2,812	2,953	3,019
23.95 Total new obligations	–2,811	–2,943	–3,019
23.98 Unobligated balance expiring or withdrawn	–2		
24.40 Unobligated balance carried forward, end of year		10	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	405	464	469
Mandatory:			
60.00 Appropriation	2,400	2,482	2,533
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	7	7	7
70.00 Total new budget authority (gross)	2,812	2,953	3,009
Change in obligated balances:			
72.40 Obligated balance, start of year	977	1,043	951
73.10 Total new obligations	2,811	2,943	3,019
73.20 Total outlays (gross)	–2,736	–3,035	–2,989
73.40 Adjustments in expired accounts (net)	–9		
74.40 Obligated balance, end of year	1,043	951	981
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	70	330	333
86.93 Outlays from discretionary balances	277	447	140
86.97 Outlays from new mandatory authority	1,892	1,737	1,773
86.98 Outlays from mandatory balances	497	521	742
87.00 Total outlays (gross)	2,736	3,035	2,989
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	–3	–3	–3
88.40 Non-Federal sources	–4	–4	–4
88.90 Total, offsetting collections (cash)	–7	–7	–7
Net budget authority and outlays:			
89.00 Budget authority	2,805	2,946	3,002
90.00 Outlays	2,730	3,028	2,982

Vocational rehabilitation State grants.—The basic State grants program provides Federal matching funds to State vocational rehabilitation (VR) agencies to assist individuals with

physical or mental impairments to become gainfully employed. Services are tailored to the specific needs of the individual. Priority is given to serving those with the most significant disabilities. Current law requires that between 1.0 percent and 1.5 percent of the funds appropriated for the VR State grants program be set aside for Grants for Indians in 2003.

The table below presents national data on selected performance measures for the VR State grants program. The data are based on the number of individuals whose service records were closed in fiscal years 1999 (370,742) and 2000 (376,510).

CONSUMER OUTCOMES (CASES CLOSED)

	1999 actual	2000 actual
Individuals achieving employment outcomes	231,714	236,210
Percent with significant disabilities	84.9%	87.1%
Employment outcomes as a percent all of individuals receiving services	62.5%	62.7%

The 2003 budget request reflects a multi-year Administration effort to reform job training programs, target resources to programs with documented effectiveness, and eliminate funding for duplicative and overlapping programs. Consistent with this crosscutting reform, the budget consolidates funding for three programs in this account (Supported Employment State Grants, Projects with Industry, and the Migrant and Seasonal Farmworkers program) within the Vocational Rehabilitation State Grants program.

Vocational rehabilitation incentive grants.—Under this new program, incentive grants would be used to encourage State VR agencies to improve their performance in helping individuals with disabilities obtain competitive employment. Grants would be awarded to State VR agencies based on program performance.

Client assistance State grants.—Formula grants are made to States to provide assistance in informing and advising clients and applicants of benefits available under the Rehabilitation Act and, if requested, to pursue legal or administrative remedies to ensure the protection of the rights of individuals with disabilities.

Training.—Grants are made to States and public or non-profit agencies and organizations, including institutions of higher education, to increase the number of skilled personnel available for employment in the field of rehabilitation and to upgrade the skills of those already employed.

Demonstration and training programs.—Grants are made for programs that expand and improve the provision of rehabilitation services, or that further the purposes of the Rehabilitation Act.

Protection and advocacy of individual rights.—Formula grants are made to State protection and advocacy systems to protect the legal and human rights of individuals with disabilities.

Independent living.—Grants are awarded to States and non-profit agencies to assist individuals with significant disabilities in their achievement of self-determined independent living goals. Grants are also awarded to provide support services to older blind individuals to increase their ability to care for their own needs.

Program improvement.—Funds are used to promote broad-based planning and coordination, improve accountability, and enhance the Department's ability to address critical areas of national significance in achieving the goals of the Rehabilitation Act. Examples of program improvement activities include technical assistance, dissemination, and performance measurement activities.

Evaluation.—Studies are conducted to evaluate the impact and effectiveness of various programs authorized under the Rehabilitation Act.

Helen Keller National Center for Deaf-Blind Youths and Adults.—The Center provides services to deaf-blind youths and adults and provides training and technical assistance

to professional and allied personnel at its national headquarters center and through its regional representatives and affiliate agencies.

National Institute on Disability and Rehabilitation Research.—The Institute carries out a comprehensive and coordinated program of rehabilitation research and related activities. Through grants and contracts, it supports the conduct and dissemination of research aimed at improving the lives of individuals with disabilities. The Institute also promotes the development and utilization of new technologies to assist these individuals in achieving greater independence and integration into society.

Assistive technology.—Activities include the Assistive Technology State grant program, protection and advocacy services, and technical assistance designed to develop and implement consumer-responsive comprehensive statewide programs of technology-related assistance for individuals with disabilities. Grants also are made to States to establish alternative financing programs to increase access to assistive technology for individuals with disabilities.

Access to telework fund.—Federal matching funds are awarded to States to provide loans for individuals with disabilities to purchase computers and other equipment so that they can telework from home.

Object Classification (in millions of dollars)

Identification code 91-0301-0-1-506	2001 actual	2002 est.	2003 est.
Direct obligations:			
25.1 Advisory and assistance services	5	5	5
25.2 Other services	2	2	2
25.5 Research and development contracts	2	5	5
41.0 Grants, subsidies, and contributions	2,795	2,924	3,000
99.0 Direct obligations	2,804	2,936	3,012
99.0 Reimbursable obligations	7	7	7
99.9 Total new obligations	2,811	2,943	3,019

SPECIAL INSTITUTIONS FOR PERSONS WITH DISABILITIES

AMERICAN PRINTING HOUSE FOR THE BLIND

For carrying out the Act of March 3, 1879, as amended (20 U.S.C. 101 et seq.), \$14,000,000. (*Department of Education Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 91-0600-0-1-501	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	12	14	14
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	12	14	14
23.95 Total new obligations	-12	-14	-14
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	12	14	14
Change in obligated balances:			
72.40 Obligated balance, start of year	4	5	1
73.10 Total new obligations	12	14	14
73.20 Total outlays (gross)	-11	-19	-14
74.40 Obligated balance, end of year	5	1	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	7	13	13
86.93 Outlays from discretionary balances	3	5	1
87.00 Total outlays (gross)	11	19	14
Net budget authority and outlays:			
89.00 Budget authority	12	14	14
90.00 Outlays	11	19	14

General and special funds—Continued

SPECIAL INSTITUTIONS FOR PERSONS WITH DISABILITIES—Continued
AMERICAN PRINTING HOUSE FOR THE BLIND—Continued

The Federal appropriation supports the production of free educational materials for students below the college level who are blind, research related to developing and improving products, and advisory services to consumer organizations on the availability and use of materials. In 2001, the portion of the Federal appropriation allocated to educational materials represented approximately 50.6 percent of the Printing House's total sales. The full appropriation represented approximately 43.2 percent of the Printing House's total budget.

NATIONAL TECHNICAL INSTITUTE FOR THE DEAF

For the National Technical Institute for the Deaf under titles I and II of the Education of the Deaf Act of 1986 (20 U.S.C. 4301 et seq.), **[\$55,376,000] \$52,014,000**, of which **[\$5,376,000] \$1,600,000** shall be for construction and shall remain available until expended: *Provided*, That from the total amount available, the Institute may at its discretion use funds, and of which not less than \$1,000,000 shall be used for the endowment program as authorized under section 207: *Provided*, That such \$1,000,000 is available until expended. (Department of Education Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 91-0601-0-1-502	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Operations	48	50	49
00.02 Construction	5	5	2
00.03 Endowment			1
10.00 Total new obligations (object class 41.0)	53	55	52
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	53	55	52
23.95 Total new obligations	-53	-55	-52
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	53	55	52
Change in obligated balances:			
72.40 Obligated balance, start of year	5		8
73.10 Total new obligations	53	55	52
73.20 Total outlays (gross)	-58	-48	-51
74.40 Obligated balance, end of year		8	9
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	53	48	46
86.93 Outlays from discretionary balances	5		4
87.00 Total outlays (gross)	58	48	51
Net budget authority and outlays:			
89.00 Budget authority	53	55	52
90.00 Outlays	58	48	51

This residential program provides postsecondary technical and professional education for people who are deaf to prepare them for employment, provides training, and conducts applied research into employment related aspects of deafness. In 2001, Federal appropriations represented 81 percent of the Institute's operating budget. The request includes funds for the Endowment Grant program. The request also includes funds for a construction project to improve roadways, walkways, and parking lots associated with NTID buildings.

GALLAUDET UNIVERSITY

For the Kendall Demonstration Elementary School, the Model Secondary School for the Deaf, and the partial support of Gallaudet University under titles I and II of the Education of the Deaf Act

of 1986 (20 U.S.C. 4301 et seq.), **[\$96,938,000: Provided**, That from the total amount available, the University may at its discretion use funds] **\$94,446,000**, of which not less than \$1,000,000 shall be used for the endowment program as authorized under section 207: *Provided*, That such \$1,000,000 is available until expended. (Department of Education Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 91-0602-0-1-502	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Operations	89	97	93
00.02 Endowment			1
10.00 Total new obligations (object class 41.0)	89	97	94
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	89	97	94
23.95 Total new obligations	-89	-97	-94
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	89	97	94
Change in obligated balances:			
72.40 Obligated balance, start of year	8		6
73.10 Total new obligations	89	97	94
73.20 Total outlays (gross)	-97	-91	-95
74.40 Obligated balance, end of year		6	6
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	89	91	88
86.93 Outlays from discretionary balances	8		6
87.00 Total outlays (gross)	97	91	95
Net budget authority and outlays:			
89.00 Budget authority	89	97	94
90.00 Outlays	97	91	95

This institution provides undergraduate and continuing education programs for persons who are deaf, and graduate programs related to deafness for students who are deaf and students who are hearing. The University also conducts basic and applied research and provides public service programs for persons who are deaf and persons who work with them.

Gallaudet operates two elementary and secondary education programs on the main campus of the University. The Kendall Demonstration Elementary School serves students who are deaf from infancy through age 15, and the Model Secondary School for the Deaf serves high school age students who are deaf. Both schools also develop and disseminate information on effective educational techniques and strategies for teachers and professionals working with students who are deaf or hard of hearing.

In 2001, the Federal appropriation represented 62.4 percent of the University's total operating budget, which also includes Federal financial aid, vocational rehabilitation, Endowment Grant income, and competitive grants and contracts, and 98.1 percent of the operating budgets of the related elementary and secondary schools. The request also includes funds for the Endowment Grant program.

OFFICE OF VOCATIONAL AND ADULT EDUCATION

Federal Funds

General and special funds:

VOCATIONAL AND ADULT EDUCATION

For carrying out, to the extent not otherwise provided, the Carl D. Perkins Vocational and Applied Technology Education Act, and the Adult Education and Family Literacy Act, [and title VIII-D of the Higher Education Act of 1965, as amended, and Public Law 102-73, \$1,934,060,000,] **\$1,897,617,000** of which **[\$1,136,560,000]**

\$1,100,117,000 shall become available on July 1, [2002] 2003 and shall remain available through September 30, [2003] 2004 and of which \$791,000,000 shall become available on October 1, [2002] 2003 and shall remain available through September 30, [2003] 2004: *Provided*, [That of the amounts made available for the Carl D. Perkins Vocational and Applied Technology Education Act, \$6,500,000 shall be for tribally controlled postsecondary vocational and technical institutions under section 117: *Provided further*, That notwithstanding any other provision of law or any regulation, the Secretary of Education shall not require the use of a restricted indirect cost rate for grants issued pursuant to section 117 of the Carl D. Perkins Vocational and Applied Technology Education Act: *Provided further*, That \$9,500,000 shall be for carrying out section 118 of such Act: *Provided further*, That of the amounts made available for the Carl D. Perkins Vocational and Applied Technology Education Act, \$5,000,000 shall be for demonstration activities authorized by section 207: *Provided further*,] That of the amount provided for Adult Education State Grants, \$70,000,000 shall be made available for integrated English literacy and civics education services to immigrants and other limited English proficient populations: *Provided further*, That of the amount reserved for integrated English literacy and civics education, notwithstanding section 211 of the Adult Education and Family Literacy Act, 65 percent shall be allocated to States based on a State's absolute need as determined by calculating each State's share of a 10-year average of the Immigration and Naturalization Service data for immigrants admitted for legal permanent residence for the 10 most recent years, and 35 percent allocated to States that experienced growth as measured by the average of the 3 most recent years for which Immigration and Naturalization Service data for immigrants admitted for legal permanent residence are available, except that no State shall be allocated an amount less than \$60,000: *Provided further*, That of the amounts made available for the Adult Education and Family Literacy Act, \$9,500,000 shall be for national leadership activities under section 243 and [\$6,560,000] \$6,617,000 shall be for the National Institute for Literacy under section 242: *Provided further*, That [\$22,000,000 shall be for Youth Offender Grants, of which \$5,000,000 shall be used in accordance with section 601 of Public Law 102-73 as that section was in effect prior to the enactment of Public Law 105-220] of the amounts made available for title I of the Perkins Act, the Secretary may reserve up to 0.54 percent for incentive grants under section 503 of the Workforce Investment Act, without regard to section 111(a)(1)(C) of the Perkins Act: *Provided further*, That of the amounts made available for the Adult Education and Family Literacy Act, the Secretary may reserve up to 1.72 percent for incentive grants under section 503 of the Workforce Investment Act, without regard to section 211(a)(3) of the Adult Education and Family Literacy Act. (Department of Education Appropriations Act, 2002; additional authorizing language required.)

Program and Financing (in millions of dollars)

Identification code	91-0400-0-1-501	2001 actual	2002 est.	2003 est.
Obligations by program activity:				
Vocational education:				
Annual appropriations:				
Vocational education:				
00.01	State grants	1,128	1,178	1,180
00.02	National programs	15	19	12
00.03	Occupational and employment information	9	2	9
00.04	Tribally controlled postsecondary vocational institutions	6	7	7
00.05	Tech-prep education	110	108	108
00.06	Tech-prep demonstration		5	
00.91	Total, Vocational education	1,268	1,319	1,316
Adult education:				
01.01	State grants	538	573	575
01.02	National Institute for Literacy	7	7	7
01.03	National leadership activities	14	14	10
01.91	Total, adult education	559	594	592
02.01	State grants for incarcerated youth offenders	14	17	3
03.01	Literacy programs for prisoners	5	5	5
10.00	Total new obligations	1,846	1,935	1,915
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	86	65	64
22.00	New budget authority (gross)	1,826	1,934	1,898
23.90	Total budgetary resources available for obligation	1,912	1,999	1,962

23.95	Total new obligations	-1,846	-1,935	-1,915
23.98	Unobligated balance expiring or withdrawn	-2		
24.40	Unobligated balance carried forward, end of year	65	64	47
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	1,035	1,143	1,107
55.00	Advance appropriation	791	791	791
70.00	Total new budget authority (gross)	1,826	1,934	1,898
Change in obligated balances:				
72.40	Obligated balance, start of year	1,334	1,496	1,604
73.10	Total new obligations	1,846	1,935	1,915
73.20	Total outlays (gross)	-1,679	-1,827	-1,883
73.40	Adjustments in expired accounts (net)	-6		
74.40	Obligated balance, end of year	1,496	1,604	1,635
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	622	651	649
86.93	Outlays from discretionary balances	1,057	1,175	1,234
87.00	Total outlays (gross)	1,679	1,827	1,883
Net budget authority and outlays:				
89.00	Budget authority	1,826	1,934	1,898
90.00	Outlays	1,679	1,827	1,883

Program levels for activities in this account are as follows:

	(in millions of dollars)		
	2001-2002 academic year	2002-2003 academic year	2003-2004 academic year
1. Vocational education:			
a. Basic grants:			
Annual appropriation	309	389	389
Advance appropriation	791	791	791
Subtotal, basic grants	1,100	1,180	1,180
b. National programs	18	12	12
c. Occupational & employment information	9	10	0
d. Tribally controlled postsecondary vocational institutions	6	6	6
e. Tech-prep education	106	108	108
f. Tech-prep demonstration	5	5	0
Total, vocational education	1,243	1,321	1,306
2. Adult education:			
a. State grants	540	575	575
b. National Institute for Literacy	6	7	7
c. National leadership activities	14	10	10
Total, adult education	560	591	591
3. State grants for incarcerated youth offenders	17	17	0
4. Literacy programs for prisoners	5	5	0
Total	1,826	1,934	1,898

SUMMARY OF PROGRAM LEVEL

	(in millions of dollars)		
	2001-2002 academic year	2002-2003 academic year	2003-2004 academic year
New Budget Authority	1,035	1,143	1,107
Advance appropriation	791	791	791
Total program level			
Change in advance appropriation from the previous year	+791		

Vocational education.—

Basic grants.—Formula grants provide funds to States and localities to expand and improve their programs of vocational education and promote equal opportunity in vocational education programs for historically underserved populations.

National programs.—Funds support discretionary activities that contribute to knowledge of how to improve vocational education nationally. Activities include two national centers for research and dissemination in vocational education and a program of discretionary research and development projects.

Tribally controlled postsecondary vocational and technical institutions.—Grants support the operation and improve-

General and special funds—Continued

VOCATIONAL AND ADULT EDUCATION—Continued

ment of tribally controlled postsecondary vocational institutions, to ensure continued and expanded educational opportunities for Indian students.

Tech-prep education.—Formula grants to States support planning and demonstration grants to consortia of local educational agencies and postsecondary institutions to develop and operate model 4-year programs. Programs begin in high school and provide students with the mathematical, scientific, communications, and technological skills needed to earn a 2-year associate degree or a 2-year certificate in a specific occupational field.

Adult education.—

State programs.—Formula grants are made to States to help eliminate functional illiteracy among the Nation's adults, to assist adults in obtaining a high school diploma or its equivalent, and to promote family literacy. A portion of the funds are reserved for formula grants to States to provide English literacy and civics education for immigrants and other limited English proficient adults.

National Institute for Literacy.—Funds support the Institute's national leadership activities to improve and expand the Nation's system for delivery of literacy services.

National leadership activities.—Funds support discretionary activities to evaluate the effectiveness of Federal, State, and local adult education programs and to test and demonstrate methods of improving program quality.

part 1 of title VII of said Act, under the terms and conditions of part A, subpart 1: *Provided further*, That \$1,000,000 is for data collection and evaluation activities for programs under the [Higher Education Act of 1965] *HEA*, including such activities needed to comply with the Government Performance and Results Act of 1993: *Provided further*, That \$17,500,000 shall be available for tribally controlled colleges and universities under section 316 of the Higher Education Act of 1965: *Provided further*, That notwithstanding any other provision of law, funds made available in this Act to carry out title VI of the [Higher Education Act of 1965, as amended,] *HEA* and section 102(b)(6) of the Mutual Educational and Cultural Exchange Act of 1961 may be used to support visits and study in foreign countries by individuals who are participating in advanced foreign language training and international studies in areas that are vital to United States national security and who plan to apply their language skills and knowledge of these countries in the fields of government, the professions, or international development: *Provided further*, That up to one percent of the funds referred to in the preceding proviso may be used for program evaluation, national outreach, and information dissemination activities: *Provided further*, That \$149,722,000 of the funds for part B of title VII of the Higher Education Act of 1965 shall be available for the projects and in the amounts specified in the statement of the managers on the conference report accompanying this Act] *Provided further*, That the funds provided for title II of the *HEA* shall be allocated notwithstanding section 210 of said Act: *Provided further*, That the funds provided for part B of title VII of the *HEA* may be used, at the discretion of the Secretary of Education, to fund continuation awards under title VII, part D of said Act. (Department of Education Appropriations Act, 2002.)

Object Classification (in millions of dollars)				
Identification code 91-0400-0-1-501	2001 actual	2002 est.	2003 est.	
Direct obligations:				
Personnel compensation:				
11.1 Full-time permanent		1		
11.3 Other than full-time permanent	1	1	1	
11.9 Total personnel compensation	1	2	1	
25.1 Advisory and assistance services	3	3	3	
25.2 Other services	2	2	2	
25.3 Other purchases of goods and services from Government accounts	10	9	9	
25.5 Research and development contracts	16	16	16	
41.0 Grants, subsidies, and contributions	1,812	1,902	1,881	
99.0 Direct obligations	1,844	1,934	1,912	
99.5 Below reporting threshold	2	1	3	
99.9 Total new obligations	1,846	1,935	1,915	

Personnel Summary				
Identification code 91-0400-0-1-501	2001 actual	2002 est.	2003 est.	
1001 Total compensable workyears: Full-time equivalent employment	18	19	16	

OFFICE OF POSTSECONDARY EDUCATION

Federal Funds

General and special funds:

HIGHER EDUCATION

For carrying out, to the extent not otherwise provided, section 121 and titles II, III, IV, V, VI, and VII of the Higher Education Act of 1965, as amended[, section 1543 of the Higher Education Amendments of 1992, title VIII of the Higher Education Amendments of 1998] ("*HEA*"), and the Mutual Educational and Cultural Exchange Act of 1961, [\$2,031,048,000] \$1,883,053,000, of which [\$5,000,000] \$3,000,000 for interest subsidies authorized by section 121 of the [Higher Education Act of 1965] *HEA*, shall remain available until expended: *Provided*, That \$10,000,000, to remain available through September 30, [2003] 2004, shall be available to fund fellowships for academic year [2003-2004] 2004-2005 under part A, sub-

Program and Financing (in millions of dollars)				
Identification code 91-0201-0-1-502	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Aid for institutional development:				
00.01 Strengthening institutions	73	74	76	
00.02 Strengthening tribally controlled colleges and universities	15	18	18	
00.03 Strengthening Alaska Native and Native Hawaiian-serving institutions	6	6	7	
00.04 Strengthening historically black colleges and universities	185	206	213	
00.05 Strengthening historically black graduate institutions	45	49	51	
00.06 Minority science and engineering improvement	9	8	8	
00.91 Subtotal, aid for institutional development	333	361	373	
Other aid for institutions:				
01.01 Developing Hispanic-serving institutions	69	86	89	
01.02 International education and foreign language studies	78	98	103	
01.03 Fund for the Improvement of Postsecondary Education	151	181	39	
01.04 Demonstration projects to ensure quality higher education for students with disabilities	6	7		
01.05 Interest subsidy grants	13	9	3	
01.91 Subtotal, other aid for institutions	317	381	234	
Assistance for students:				
02.01 Federal TRIO programs	730	803	803	
02.02 Gaining early awareness and readiness for undergraduate programs (GEAR UP)	295	285	285	
02.03 Byrd honors scholarships	41	41	41	
02.04 Javits fellowships	10	10	10	
02.05 Graduate assistance in areas of national need	31	31	31	
02.06 Thurgood Marshall legal educational opportunity program	4	4		
02.07 B. J. Stupak Olympic scholarships	1	1		
02.08 Child care access means parents in school	16	25	15	
02.09 Learning anytime anywhere partnerships	30			
02.91 Subtotal, assistance for students	1,158	1,200	1,185	
Other Aid:				
03.01 Teacher quality enhancement	98	90	90	
03.02 GPRA data/HEA program evaluation	3	1	1	
03.03 Underground railroad program	2	2		
03.91 Subtotal, Other Aid	103	93	91	
10.00 Total new obligations	1,911	2,035	1,883	
Budgetary resources available for obligation:				
21.40 Unobligated balance carried forward, start of year	22	14	10	

22.00	New budget authority (gross)	1,912	2,031	1,883
23.90	Total budgetary resources available for obligation	1,934	2,045	1,893
23.95	Total new obligations	-1,911	-2,035	-1,883
23.98	Unobligated balance expiring or withdrawn	-9		
24.40	Unobligated balance carried forward, end of year	14	10	10
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	1,912	2,031	1,883
Change in obligated balances:				
72.40	Obligated balance, start of year	1,963	2,396	2,565
73.10	Total new obligations	1,911	2,035	1,883
73.20	Total outlays (gross)	-1,463	-1,866	-1,953
73.40	Adjustments in expired accounts (net)	-15		
74.40	Obligated balance, end of year	2,396	2,565	2,495
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	89	106	97
86.93	Outlays from discretionary balances	1,373	1,760	1,856
87.00	Total outlays (gross)	1,463	1,866	1,953
Net budget authority and outlays:				
89.00	Budget authority	1,912	2,031	1,883
90.00	Outlays	1,462	1,866	1,953

Aid for institutional development.—
Strengthening institutions.—Funds will support planning and development grants for improving academic programs and financial management at schools that enroll high proportions of disadvantaged students and have low per-student expenditures.

Strengthening tribally controlled colleges and universities.—Funds will support grants to American Indian tribally controlled colleges and universities with scarce resources to enable them to improve and expand their capacity to serve Indian students.

Strengthening Alaska Native and Native Hawaiian-serving institutions.—Funds will support Alaska Native and Native Hawaiian-serving institutions to enable them to improve and expand their capacity to serve Alaska Native and Native Hawaiian students.

Strengthening historically black colleges and universities.—Funds will support grants to help historically black undergraduate institutions to improve and expand their capacity to serve students, and to strengthen management and fiscal operations.

Strengthening historically black graduate institutions.—Funds will support grants to help historically black graduate institutions to improve and expand their capacity to serve students, and to strengthen management and fiscal operations.

Minority science and engineering improvement.—Funds will support grants to predominantly minority institutions to help them make long-range improvements in science and engineering education and to increase the participation of minorities in scientific and technological careers.

Other aid for institutions.—
Developing Hispanic-serving institutions.—Funds will support Hispanic-serving institutions to enable them to improve and expand their capacity to serve students.

International education and foreign language studies programs.—Funds will promote the development and improvement of international and foreign language programs.

Fund for the improvement of postsecondary education.—Funds will support a broad range of postsecondary reform and improvement projects, as well as international consortia programs.

Interest subsidy grants.—Funds will meet mandatory interest subsidy costs of construction loan commitments made prior to 1974.

Assistance for students.—
Federal TRIO programs.—Funds will support postsecondary education outreach and student support services through 5 major programs designed to help individuals from disadvantaged backgrounds enter and complete college and graduate studies.

Gaining early awareness and readiness for undergraduate programs.—Funds will support early college preparation and awareness activities at the State and local levels to ensure that low-income elementary and secondary school students are prepared for and pursue postsecondary education.

Byrd honors scholarships.—Funds will support merit-based scholarships to students who have demonstrated outstanding academic achievement and who show promise of continued academic excellence for study at an institution of higher education.

Javits fellowships.—Funds will support fellowships to students of superior ability who have financial need and who are pursuing doctoral degrees in the arts, humanities, and social sciences.

Graduate assistance in areas of national need.—Funds will support fellowships to graduate students of superior ability who have financial need and who are from traditionally under-represented backgrounds for study in areas of national need.

Child care access means parents in school.—Funds will support a program designed to bolster the participation of low-income parents in postsecondary education through the provision of campus-based child care services.

Other aid.—
Teacher quality enhancement.—Funds will support programs that seek to make lasting changes in the ways our Nation recruits, prepares, licenses, and supports teachers.

GPRA data/HEA program evaluation.—Funds will support data collection and evaluation activities for programs under the Higher Education Act of 1965, including such activities needed to comply with the Government Performance and Results Act of 1993.

Object Classification (in millions of dollars)				
Identification code 91-0201-0-1-502	2001 actual	2002 est.	2003 est.	
Direct obligations:				
25.1	Advisory and assistance services	4	4	4
25.2	Other services	6	6	5
25.3	Other purchases of goods and services from Government accounts	2	2	2
41.0	Grants, subsidies, and contributions	1,898	2,023	1,872
99.0	Direct obligations	1,910	2,035	1,883
99.5	Below reporting threshold	1		
99.9	Total new obligations	1,911	2,035	1,883

Personnel Summary				
Identification code 91-0201-0-1-502	2001 actual	2002 est.	2003 est.	
1001	Total compensable workyears: Full-time equivalent employment	1		

HOWARD UNIVERSITY

For partial support of Howard University (20 U.S.C. 121 et seq.), \$237,474,000, of which not less than \$3,600,000 shall be for a matching endowment grant pursuant to the Howard University Endowment Act (Public Law 98-480) and shall remain available until expended. (Department of Education Appropriations Act, 2002.)

Program and Financing (in millions of dollars)				
Identification code 91-0603-0-1-502	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	General support	199	211	207

General and special funds—Continued

HOWARD UNIVERSITY—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 91-0603-0-1-502	2001 actual	2002 est.	2003 est.
00.02 Howard University Hospital	30	30	30
10.00 Total new obligations (object class 41.0)	229	241	237
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		4	
22.00 New budget authority (gross)	232	237	237
23.90 Total budgetary resources available for obligation	232	241	237
23.95 Total new obligations	-229	-241	-237
24.40 Unobligated balance carried forward, end of year	4		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	232	237	237
Change in obligated balances:			
72.40 Obligated balance, start of year	14		14
73.10 Total new obligations	229	241	237
73.20 Total outlays (gross)	-243	-227	-237
74.40 Obligated balance, end of year		14	14
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	229	223	223
86.93 Outlays from discretionary balances	14	4	14
87.00 Total outlays (gross)	243	227	237
Net budget authority and outlays:			
89.00 Budget authority	232	237	237
90.00 Outlays	243	227	237

Howard University is a private, nonprofit educational institution consisting of 12 schools and colleges. Federal funds are used to provide partial support for university programs as well as for the teaching hospital facilities. In 2001, direct Federal appropriations for general support represented approximately 58 percent of the university's revenue.

COLLEGE HOUSING AND ACADEMIC FACILITIES LOANS PROGRAM

For Federal administrative expenses authorized under section 121 of the Higher Education Act of 1965, **[\$762,000]** \$787,000 to carry out activities related to existing facility loans entered into under the Higher Education Act of 1965. (*Department of Education Appropriations Act, 2002; additional authorizing legislation required.*)

HISTORICALLY BLACK COLLEGE AND UNIVERSITY CAPITAL FINANCING PROGRAM ACCOUNT

The **[total amount of]** aggregate principal amount of outstanding bonds insured pursuant to section 344 of title III, part D of the Higher Education Act of 1965 shall not exceed \$357,000,000, and the cost, as defined in section 502 of the Congressional Budget Act of 1974, of such bonds shall not exceed zero.

For administrative expenses to carry out the Historically Black College and University Capital Financing Program entered into pursuant to title III, part D of the Higher Education Act of 1965, as amended, **[\$208,000]** \$211,000. (*Department of Education Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 91-0241-0-1-502	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.09 Federal administration		1	1
10.00 Total new obligations (object class 99.5)	1	1	1
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	1	1	1
23.95 Total new obligations	-1	-1	-1

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation (Federal administration)	1	1	1

Change in obligated balances:

72.40 Obligated balance, start of year	1		
73.10 Total new obligations	1	1	1
73.20 Total outlays (gross)	-1	-1	-1

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	1	1	1
86.93 Outlays from discretionary balances		1	
87.00 Total outlays (gross)	1	1	1

Net budget authority and outlays:

89.00 Budget authority	1	1	1
90.00 Outlays	1	1	1

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 91-0241-0-1-502	2001 actual	2002 est.	2003 est.
Direct loan downward reestimate subsidy budget authority:			
137001 Downward reestimates subsidy budget authority	-2		
137901 Total downward reestimate budget authority	-2		
Direct loan downward reestimate subsidy outlays:			
138001 Downward reestimates subsidy outlays	-2		
138901 Total downward reestimate subsidy outlays	-2		
Administrative expense data:			
351001 Budget authority	1	1	1
358001 Outlays from balances	1		
359001 Outlays from new authority			

The College Housing and Academic Facilities Loans Program and the Historically Black College and University Capital Financing Program are administered separately, but are consolidated for presentation purposes.

College Housing and Academic Facilities Loans Program.—Funds for this activity pay the Federal costs for administering the College Housing and Academic Facilities Loans (CHAFL), College Housing Loans (CHL), and Higher Education Facilities Loans (HEFL) programs. Prior to 1994, these programs provided financing for the construction, reconstruction, and renovation of housing, academic, and other educational facilities. Although no new loans have been awarded since fiscal year 1993, costs for administering the outstanding loans will remain for at least 30 more years. Agreements exist with the Department of Health and Human Services to provide architectural and engineering services and with the Federal Reserve Bank to provide loan servicing. The 2003 budget request provides funding for personnel compensation and other administrative costs. In accordance with the Federal Credit Reform Act, loan servicing costs for CHL and HEFL are paid from their respective collections.

Historically Black College and University Capital Financing Program.—The Historically Black College and University (HBCU) Capital Financing Program provides HBCUs with access to capital financing for the repair, renovation, and construction of classrooms, libraries, laboratories, dormitories, instructional equipment, and research instrumentation. The Higher Education Amendments of 1992 granted the Department authority to enter into insurance agreements with a private for-profit Designated Bonding Authority to guarantee no more than \$375,000,000 in outstanding principal and unpaid accrued interest combined. The bonding authority issues the loans and maintains an escrow account in which 5 percent of each institution's principal is deposited. This amount is estimated to be sufficient to cover all potential delinquencies and defaults. No subsidy appropriations are required. The 2003 budget provides funds for continuing Federal administrative activities only.

Personnel Summary

Identification code 91-0241-0-1-502	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	7	7	7

COLLEGE HOUSING AND ACADEMIC FACILITIES LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 91-4252-0-3-502	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Interest paid to Treasury	1	1	1
08.02 Payment of downward reestimate to receipt account	1		
08.04 Payment of interest on downward reestimates to receipt account	1		
08.91 Subtotal, Downward reestimate payments	2		
10.00 Total new obligations	3	1	1
Budgetary resources available for obligation:			
22.00 New financing authority (gross)	4	2	2
22.60 Portion applied to repay debt	-1	-1	-1
23.90 Total budgetary resources available for obligation	3	1	1
23.95 Total new obligations	-3	-1	-1
New financing authority (gross), detail:			
Mandatory:			
67.10 Authority to borrow	2		
69.00 Offsetting collections (cash)	2	2	2
70.00 Total new financing authority (gross)	4	2	2
Change in obligated balances:			
73.10 Total new obligations	3	1	1
73.20 Total financing disbursements (gross)	-3	-1	-1
87.00 Total financing disbursements (gross)	3	1	1
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
Non-Federal sources:			
88.40 Interest repayments	-1	-1	-1
88.40 Principal repayments	-1	-1	-1
88.90 Total, offsetting collections (cash)	-2	-2	-2
Net financing authority and financing disbursements:			
89.00 Financing authority	2		
90.00 Financing disbursements	1	-1	-1

The College Housing and Academic Facilities Loans Financing Account records all cash flows to and from the Government resulting from direct loans made in fiscal years 1992 and 1993.

Status of Direct Loans (in millions of dollars)

Identification code 91-4252-0-3-502	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	26	25	25
1251 Repayments: Repayments and prepayments	-1		-1
1290 Outstanding, end of year	25	25	24

Balance Sheet (in millions of dollars)

Identification code 91-4252-0-3-502	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	26	25	25	24
1405 Allowance for subsidy cost (-)	-2	-2	-2	-2

1499 Net present value of assets related to direct loans	24	23	23	22
1999 Total assets	24	23	23	22
LIABILITIES:				
2103 Federal liabilities: Debt	24	23	23	22
2999 Total liabilities	24	23	23	22
4999 Total liabilities and net position	24	23	23	22

COLLEGE HOUSING AND ACADEMIC FACILITIES LOANS LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 91-0242-0-1-502	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Interest paid to Treasury	16	15	14
00.02 Loan Servicing	1		
10.00 Total new obligations	17	15	14
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	73	2	
22.00 New budget authority (gross)	57	53	46
22.60 Portion applied to repay debt	-111	-40	-32
23.90 Total budgetary resources available for obligation	19	15	14
23.95 Total new obligations	-17	-15	-14
24.40 Unobligated balance carried forward, end of year	2		
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	7	5	4
69.00 Offsetting collections (cash)	52	49	43
69.27 Capital transfer to general fund	-2	-1	-1
69.90 Spending authority from offsetting collections (total mandatory)	50	48	42
70.00 Total new budget authority (gross)	57	53	46
Change in obligated balances:			
72.40 Obligated balance, start of year	21	21	28
73.10 Total new obligations	17	15	14
73.20 Total outlays (gross)	-17	-8	-15
74.40 Obligated balance, end of year	21	28	27
Outlays (gross), detail:			
86.98 Outlays from mandatory balances	17	8	15
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Non-Federal sources:			
88.40 Repayments of principal	-34	-34	-29
88.40 Interest received on loans	-18	-15	-14
88.90 Total, offsetting collections (cash)	-52	-49	-43
Net budget authority and outlays:			
89.00 Budget authority	5	4	3
90.00 Outlays	-35	-41	-28

The College Housing and Academic Facilities Loans Liquidating Account records all cash flows to and from the Government resulting from direct loans made prior to 1992. This account includes loans made under the College Housing and Academic Facilities Loans, College Housing Loans, and Higher Education Facilities Loans programs, which continue to be administered separately.

Status of Direct Loans (in millions of dollars)

Identification code 91-0242-0-1-502	2001 actual	2002 est.	2003 est.
CHAFL LIQUIDATING			
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	118	116	111
1231 Disbursements: Direct loan disbursements	1		

General and special funds—Continued

COLLEGE HOUSING AND ACADEMIC FACILITIES LOANS LIQUIDATING ACCOUNT—Continued

Status of Direct Loans (in millions of dollars)—Continued

Identification code 91-0242-0-1-502	2001 actual	2002 est.	2003 est.
1251 Repayments: Repayments and prepayments	-3	-5	-3
1290 Outstanding, end of year	116	111	108
HIGHER EDUCATION FACILITIES LOANS			
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	27	24	22
1251 Repayments: Repayments and prepayments	-3	-2	-1
1290 Outstanding, end of year	24	22	21
COLLEGE HOUSING LOANS			
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	313	284	257
1251 Repayments: Repayments and prepayments	-29	-27	-25
1290 Outstanding, end of year	284	257	232

Statement of Operations (in millions of dollars)

Identification code 91-0242-0-1-502	2000 actual	2001 actual	2002 est.	2003 est.
CHAFL LIQUIDATING:				
0111 Revenue		9	12	9
0112 Expense		-35	-16	-13
0115 Net income or loss (-)		-26	-4	-4
HIGHER EDUCATION FACILITIES LOANS:				
0121 Revenue		3	2	2
0122 Expense		-2	-1	-1
0125 Net income or loss (-)		1	1	1
COLLEGE HOUSING LOANS:				
0131 Revenue		40	35	31
0132 Expense		-91	-35	-31
0135 Net income or loss (-)		-51		
0191 Total revenues		52	49	42
0192 Total expenses		-128	-52	-45
0195 Total income or loss (-)		-76	-3	-3
0199 Total comprehensive income		-76	-3	-3

Balance Sheet (in millions of dollars)

Identification code 91-0242-0-1-502	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross		425	390	362
1602 Interest receivable		6	6	5
1699 Value of assets related to direct loans		431	396	367
1999 Total assets		431	396	367
LIABILITIES:				
Federal liabilities:				
2103 Debt		236	196	164
2104 Resources payable to Treasury		195	200	203
2999 Total liabilities		431	396	367
4999 Total liabilities and net position		431	396	367

Object Classification (in millions of dollars)

Identification code 91-0242-0-1-502	2001 actual	2002 est.	2003 est.
25.3 Other purchases of goods and services from Government accounts	1		
43.0 Interest and dividends	16	15	14

99.9 Total new obligations	17	15	14
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HISTORICALLY BLACK COLLEGE AND UNIVERSITY CAPITAL FINANCING DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 91-4255-0-3-502	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Loan Awards	16	42	40
00.02 Interest paid to Treasury	1	2	2
10.00 Total new obligations	17	44	42
Budgetary resources available for obligation:			
22.00 New financing authority (gross)	38	44	43
22.60 Portion applied to repay debt			-1
22.70 Balance of authority to borrow withdrawn	-21		
23.90 Total budgetary resources available for obligation	17	44	42
23.95 Total new obligations	-17	-44	-42

New financing authority (gross), detail:

Mandatory:			
67.10 Authority to borrow	37	42	40
69.00 Offsetting collections (cash)	1	2	3
70.00 Total new financing authority (gross)	38	44	43

Change in obligated balances:

72.40 Obligated balance, start of year	25	30	34
73.10 Total new obligations	17	44	42
73.20 Total financing disbursements (gross)	-12	-40	-37
74.40 Obligated balance, end of year	30	34	39
87.00 Total financing disbursements (gross)	12	40	37

Offsets:

Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
Non-Federal sources:			
88.40 Interest repayments	-1	-1	-2
88.40 Principal repayments		-1	-1
88.90 Total, offsetting collections (cash)	-1	-2	-3

Net financing authority and financing disbursements:

89.00 Financing authority	37	42	40
90.00 Financing disbursements	11	38	34

The Federal Financing Bank (FFB) purchases bonds issued by the HBCU Designated Bonding Authority. Under the policies governing Federal credit programs, bonds purchased by the FFB and supported by the Department of Education with a letter of credit create the equivalent of a Federal direct loan. HBCU bonds are also available for purchase by the private sector, and these will be treated as loan guarantees. However, the Department anticipates that all HBCU loans will be financed by the FFB.

Status of Direct Loans (in millions of dollars)

Identification code 91-4255-0-3-502	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans	311	295	254
1142 Unobligated direct loan limitation (-)	-295	-253	-214
1150 Total direct loan obligations	16	42	40
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	21	31	70
1231 Disbursements: Direct loan disbursements	11	39	35
1251 Repayments: Repayments and prepayments	-1		-1
1290 Outstanding, end of year	31	70	104

Balance Sheet (in millions of dollars)

Identification code 91-4255-0-3-502	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	25	30	33	38
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	21	31	70	104
1499 Net present value of assets related to direct loans	21	31	70	104
1999 Total assets	46	61	103	143
LIABILITIES:				
2103 Federal liabilities: Debt	46	61	103	143
2999 Total liabilities	46	61	103	143
4999 Total liabilities and net position	46	61	103	143

OFFICE OF STUDENT FINANCIAL ASSISTANCE

Federal Funds

General and special funds:

STUDENT FINANCIAL ASSISTANCE

For carrying out subparts 1[,], and 3 [and 4] of part A, section 428K, part C and part E of title IV of the Higher Education Act of 1965, as amended, [12,285,500,000] \$12,767,500,000, which shall remain available through September 30, [2003.]

[The maximum Pell Grant for which a student shall be eligible during award year 2002-2003 shall be \$4,000.] 2004: Provided, That notwithstanding section 401(b)(2)(A) and 401(g) of the Act, the Secretary shall, prior to the publication of the Pell Grant payment schedule, establish the maximum Pell Grant award using the latest data satisfactory to the Secretary to determine the maximum award supportable by amounts available under this heading in this and prior appropriations Acts. (Department of Education Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 91-0200-0-1-502	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Federal Pell grants:			
01.01 Federal Pell grants	8,978	9,648	10,134
Campus-based aid:			
02.01 Federal supplemental educational opportunity grants (SEOG)	692	727	725
02.02 Federal work-study	1,017	1,017	1,011
02.03 Federal Perkins loans: Federal capital contributions	101	100	100
02.05 Federal Perkins loans: Loan cancellations	60	68	68
02.91 Subtotal, Campus-based activities	1,870	1,912	1,904
03.01 Leveraging educational assistance partnership	55	67
04.01 Loan forgiveness for child care providers	2	1
10.00 Total new obligations (object class 41.0)	10,903	11,629	12,039
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1,492	1,288	1,945
22.00 New budget authority (gross)	10,674	12,286	12,768
22.10 Resources available from recoveries of prior year obligations	25
23.90 Total budgetary resources available for obligation	12,191	13,574	14,713
23.95 Total new obligations	-10,903	-11,629	-12,039
24.40 Unobligated balance carried forward, end of year	1,288	1,945	2,674
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	10,674	12,286	12,768
Change in obligated balances:			
72.40 Obligated balance, start of year	6,476	7,153	6,789
73.10 Total new obligations	10,903	11,629	12,039
73.20 Total outlays (gross)	-10,161	-11,993	-11,420
73.40 Adjustments in expired accounts (net)	-40
73.45 Recoveries of prior year obligations	-25

74.40 Obligated balance, end of year	7,153	6,789	7,408
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2,650	3,805	2,958
86.93 Outlays from discretionary balances	7,511	8,188	8,463
87.00 Total outlays (gross)	10,161	11,993	11,420
Net budget authority and outlays:			
89.00 Budget authority	10,674	12,286	12,768
90.00 Outlays	10,161	11,993	11,420

Summary of Budget Authority and Outlays

(in millions of dollars)

Enacted/requested:	2001 actual	2002 est.	2003 est.
Budget Authority	10,674	12,286	12,768
Outlays	10,161	11,993	11,421
Supplemental proposal:			
Budget Authority	1,276
Outlays	1,276
Total:			
Budget Authority	10,674	13,562	12,768
Outlays	10,161	11,993	12,697

Status of Direct Loans (in millions of dollars)

Identification code 91-0200-0-1-502	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:¹			
1210 Outstanding, start of year	615	606	596
1251 Repayments: Repayments and prepayments	-28	-27	-27
Write-offs for default:			
1263 Direct loans	-9	-9	-9
1264 Other adjustments, net	27	27	18
1290 Outstanding, end of year	606	596	578

¹ Includes in all years institutional matching share of defaulted notes assigned from institutions to the Education Department.

The Administration's 2003 budget for the SFA account is \$12.768 billion, an increase of \$482 million, or 4 percent, over the 2002 appropriation, which together with matching funds (less allowable administrative costs) would provide nearly 7.5 million awards totalling more than \$14.2 billion in available aid.

Federal Pell Grants.—Undergraduate students establish eligibility for these grants under award and need determination rules set out in the authorizing statute and the annual appropriations act.

The Administration proposes \$10.863 billion, an increase of \$549 million over the 2002 appropriation level. The budget also proposes a 2002 supplemental appropriation of \$1.276 billion to fully fund the \$4,000 maximum award in academic year 2002-2003. (For more information, please see the Supplemental Proposals chapter of this document.)

Pell Grant costs are highly dependent on volatile and economic trends, making it difficult to project the required funding level for a given maximum award at the time of the appropriation, which may be nine or more months prior to the affected academic year. Accordingly, the Administration is proposing that the Secretary of Education use the most recent program cost projections to set the maximum award for each upcoming academic year immediately prior to the publication of the Pell Grant payment schedule, which must occur by February 1 each year. Under current estimates, the Administration's request for 2003 would maintain the Pell Grant maximum award at \$4,000 for academic year 2003-2004, the highest level ever and a full \$700, or 21 percent, above the level only three years earlier. Nearly 4.5 million students would receive awards under this request, an increase of 55,000 over 2002.

Campus-based programs.—The Federal Supplemental Educational Opportunity Grants, Federal Work-Study, and Federal Perkins Loan programs are called the "campus-based"

General and special funds—Continued

STUDENT FINANCIAL ASSISTANCE—Continued

programs because participating institutions are responsible for administering the programs on their own campuses. These programs provide aid administrators with considerable flexibility in the packaging of financial aid awards to best meet the needs of students.

Federal Supplemental Educational Opportunity Grants (SEOG).—Federal funds are awarded by formula to qualifying institutions, which use these funds to award grants to undergraduate students. While institutions have discretion in awarding these funds, they are required to give priority to Pell Grant recipients and other students with exceptional need. The Federal share of such grants may not exceed 75 percent of the total grant. The Administration proposes \$725 million for the SEOG program in 2003, the same as the 2002 level. This level of funding would provide approximately \$918 million in available aid to an estimated 1.2 million needy students.

Federal Work-Study.—Federal funds are awarded by formula to qualifying institutions, which develop and provide part-time jobs for eligible undergraduate and graduate students with demonstrated need. Hourly earnings under the program must be at least equal to the Federal minimum wage. Federal funding in most cases pays 75 percent of a student's hourly wages, with the remaining 25 percent paid by the employer. The Administration proposes \$1.011 billion, the same as the 2002 appropriation, for the Work-Study program, which will provide \$1.2 billion in aid to nearly 1 million students.

Perkins Loan Program.—Institutions award low-interest loans from institutional revolving funds, which are comprised of Federal Capital Contributions, institutional matching funds, and student repayments on outstanding loans. The Administration proposes \$100 million in new budget authority for the Perkins loan program, the same as the 2002 level. This level of funding would provide \$1.2 billion in available aid to some 715,000 needy undergraduate and graduate students in the 2003–2004 award year.

Perkins Loan Program—Cancellations.—Under the Perkins Loan cancellation program, institutional revolving funds are reimbursed for indebtedness canceled as a result of a borrower engaging in certain public service activities, as specified in the Higher Education Act. Amendments to the Higher Education Act in 1992 and 1998 greatly expanded the number of borrowers with loans eligible for cancellation. The Administration's budget proposal for Perkins Loan cancellations is \$67.5 million, the same as the 2002 level.

Loan Forgiveness for Child Care Providers.—Under this demonstration program, student loan borrowers who have earned degrees in early childhood education and worked for two full years as child care providers in low-income communities may have a portion of their loan obligation forgiven. Additional forgiveness is awarded for each additional consecutive year of service, up to a total of 100 percent of the borrower's outstanding balance after five full years. Awards are made on a first-come, first-served basis. The Administration proposes \$1 million for Loan Forgiveness for Child Care Providers in 2003, the same as the 2002 level. Fewer than 100 recipients are expected in either 2002 or 2003.

Funding Tables.—The following tables display student aid funds available, the number of aid awards, average awards, and the unduplicated count of recipients from any Federal student aid program. The tables include the aid from programs in the Student Financial Assistance account, as well as aid from the Federal Family Education Loan (FFEL) program, and the William D. Ford Federal Direct Loan program. Loan amounts reflect the capital actually loaned, not the Fed-

eral cost of those loans. The data in these tables include the effects of matching funds wherever applicable.

AID FUNDS AVAILABLE FOR POSTSECONDARY EDUCATION AND TRAINING

	(in thousands)		
	2001 actual	2002 est.	2003 est.
Pell grants	9,850,580	10,707,780	10,840,290
Student loans:			
Guaranteed student loans:			
Stafford loans	11,882,308	12,703,968	13,585,032
Unsubsidized Stafford loans	10,144,360	10,893,200	11,697,856
PLUS	2,667,148	2,934,287	3,230,084
Direct student loans:			
Stafford loans	5,388,042	5,748,126	6,133,202
Unsubsidized Stafford loans	3,985,785	4,277,414	4,590,536
PLUS	1,260,807	1,378,308	1,507,071
Consolidation:			
FFEL	9,255,251	8,334,685	6,877,114
Direct Loans	7,760,000	8,643,078	5,307,205
Student loans, subtotal	52,343,700	54,913,066	52,928,100
Work-study	1,214,643	1,214,643	1,214,643
Supplemental educational opportunity grants	874,684	917,722	917,722
Perkins loans	1,194,989	1,202,200	1,202,200
Leveraging educational assistance partnership	135,000	171,000	0
Loan forgiveness for child care providers	1,000	1,000	1,000
Total aid available	65,614,595	69,127,410	67,103,955

Note: Detail may not add to totals due to rounding.

NUMBER OF AID AWARDS

	(In thousands)		
	2001 actual	2002 est.	2003 est.
Pell grants	4,284	4,444	4,499
Guaranteed student loans:			
Stafford loans	2,890	3,084	3,269
Unsubsidized Stafford loans	2,041	2,199	2,330
PLUS	310	334	353
Consolidation	309	308	222
Direct student loans:			
Stafford loans	1,273	1,324	1,399
Unsubsidized Stafford loans	866	878	929
PLUS	152	153	161
Consolidation	367	357	256
Work-study	970	970	970
Supplemental educational opportunity grants	1,169	1,227	1,227
Perkins loans	711	715	715
Leveraging Educational Assistance Partnership	135	171	0
Loan forgiveness for child care providers	*	*	*
Total awards	15,477	16,164	16,329

Note: Detail may not add to totals due to rounding.

*Fewer than 500 recipients

AVERAGE AID AWARDS

	(In whole dollars)		
	2001 actual	2002 est.	2003 est.
Pell grants	2,299	2,409	2,409
Guaranteed student loans:			
Stafford loans	3,390	3,397	3,427
Unsubsidized Stafford loans	4,077	4,065	4,120
PLUS	7,359	7,504	7,817
SLS	0	0	0
Consolidation	29,378	26,504	30,420
Direct student loans:			
Stafford loans	3,491	3,582	3,615
Unsubsidized Stafford loans	3,824	4,043	4,103
PLUS	7,097	7,708	8,010
Consolidation	20,976	24,033	20,634
Work-study	1,252	1,252	1,252
Supplemental educational opportunity grants	748	748	748
Perkins loans	1,681	1,681	1,681
Leveraging Educational Assistance Partnership	1,000	1,000	0
Loan forgiveness for child care providers	13,333	13,333	13,333
Unduplicated student count	7,611	8,064	8,403

The following table displays institutional administrative costs paid from program funds.

ADMINISTRATIVE PAYMENTS TO INSTITUTIONS

	(In millions of dollars)		
	2001 actual	2002 est.	2003 est.
Pell grants	21,420	22,220	22,495

Work-study	75,897	75,897	75,897
Supplemental educational opportunity grants	34,987	36,709	36,709
Perkins loans	47,800	48,088	48,088

The following table displays the status of defaulted Perkins loans held by the Department and by institutions.

DEFAULTED PERKINS LOANS

(In millions of dollars)

Outstanding defaulted loans, beginning of year:	2001 actual	2002 est.	2003 est.
Assigned defaulted loans ¹	615	606	596
Unassigned defaulted loans ²	952	956	960
New defaulted loans	155	164	167
Collections on assigned loans	-28	-27	-27
Collections on unassigned loans	-114	-125	-136
Write-offs for assigned loans	-9	-9	-9
Write-offs for unassigned loans	-9	-9	-9
Outstanding defaulted loans, end of year	1,562	1,556	1,542

¹ Permanently assigned to the Federal Government for collection.

² Unassigned loans at institutions.

STUDENT AID ADMINISTRATION

For Federal administrative expenses to carry out part D of title I; subparts 1, 3, and 4 of part A; and parts B, C, D, and E of title IV of the Higher Education Act of 1965, as amended, \$936,386,000, which shall remain available through September 30, 2004, of which not more than \$195,000,000 shall be for account maintenance fees for fiscal year 2003 that are payable to guaranty agencies under part B and are calculated in accordance with section 458 of the Act.

Program and Financing (in millions of dollars)

Identification code 91-0202-0-1-502	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Student aid administration	3	2	936
10.00 Total new obligations	3	2	936
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	3	2	936
23.95 Total new obligations	-3	-2	-936
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	3	2	936
Change in obligated balances:			
73.10 Total new obligations	3	2	936
73.20 Total outlays (gross)	-3	-2	-603
74.40 Obligated balance, end of year			333
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	3	2	603
Net budget authority and outlays:			
89.00 Budget authority	3	2	936
90.00 Outlays	3	2	603

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority			934
90.00 Outlays			601

The Department of Education manages Federal student aid programs that will provide over \$67 billion in Federal student aid grants and loans to over 8 million students and parents in 2003. Primary responsibility for administering these programs lies with the Office of Postsecondary Education and the performance-based Office of Student Financial Assistance (SFA). SFA was created by Congress in 1998 with a mandate to improve service to students and other student aid program

participants, reduce the cost of student aid administration, and improve accountability and program integrity.

The Department's student aid administrative activities are funded from four main sources: (1) funds appropriated on a permanent basis under section 458 of the Higher Education Act; (2) a portion of the Department's general Program Administration funds; (3) a discretionary appropriation partially supporting administrative activities associated with the Federal Family Education Loan (FFEL) program; and (4) permanent funds from the Direct Loan program subsidy account supporting the origination of Direct Consolidation Loans. Student aid administrative funds totaled \$887 million in 2002, \$180 million of which supported the payment of account maintenance fees to FFEL guaranty agencies.

The budget for 2003 includes \$936 million for student aid administration. In order to improve accountability and simplify program oversight and operations, the Administration is proposing to consolidate funding for student aid administrative activities into a single discretionary account. Funding levels for this account will be based on a workload-based methodology consistent with the goals of the performance-based organization.

Object Classification (in millions of dollars)

Identification code 91-0202-0-1-502	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent			81
11.5 Other personnel compensation			5
11.9 Total personnel compensation			86
12.1 Civilian personnel benefits	3	2	23
21.0 Travel and transportation of persons			6
23.1 Rental payments to GSA			12
23.3 Communications, utilities, and miscellaneous charges			53
24.0 Printing and reproduction			9
25.1 Advisory and assistance services			4
25.2 Other services			45
25.3 Other purchases of goods and services from Government accounts			8
25.7 Operation and maintenance of equipment			491
26.0 Supplies and materials			1
31.0 Equipment			3
41.0 Grants, subsidies, and contributions			195
99.9 Total new obligations	3	2	936

Personnel Summary

Identification code 91-0202-0-1-502	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment			1,118

FEDERAL STUDENT LOAN RESERVE FUND

Program and Financing (in millions of dollars)

Identification code 91-4257-0-3-502	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
01.02 Obligations, non-federal	3,595	4,060	4,613
10.00 Total new obligations	3,595	4,060	4,613
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2,232	2,463	1,409
22.00 New budget authority (gross)	3,826	4,091	4,590
22.40 Capital transfer to general fund		-1,085	
23.90 Total budgetary resources available for obligation	6,058	5,469	5,999
23.95 Total new obligations	-3,595	-4,060	-4,613
24.40 Unobligated balance carried forward, end of year	2,463	1,409	1,386
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	3,826	4,091	4,590

General and special funds—Continued

FEDERAL STUDENT LOAN RESERVE FUND—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 91-4257-0-3-502	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
73.10 Total new obligations	3,595	4,060	4,613
73.20 Total outlays (gross)	-3,595	-4,060	-4,613
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	3,595	4,060	4,590
86.98 Outlays from mandatory balances			23
87.00 Total outlays (gross)	3,595	4,060	4,613
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-3,417	-3,687	-4,207
88.40 Non-Federal sources	-409	-404	-383
88.90 Total, offsetting collections (cash)	-3,826	-4,091	-4,590
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-231	-31	23

The Higher Education Amendments of 1998 clarified that reserve funds held by public and non-profit guaranty agencies participating in the Federal Family Education Loan (FFEL) program are Federal property. These reserves are used to pay default claims from FFEL lenders and fees to support agency efforts to successfully avert defaults. The Federal Government reimburses these reserves for default claim payments. In addition, optional borrower guarantee fees are deposited into the reserves (agencies may charge borrowers up to 1 percent of loan principal as a guarantee fee). The following schedules reflect the balances in these guaranty agency funds.

Statement of Operations (in millions of dollars)

Identification code 91-4257-0-3-502	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	2,092	3,826	4,091	4,590
0102 Expense	-1,953	-3,595	-4,060	-4,613
0105 Net income or loss (-)	139	231	31	-23

Balance Sheet (in millions of dollars)

Identification code 91-4257-0-3-502	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	2,232	2,462	1,408	1,386
1999 Total assets	2,232	2,462	1,408	1,386
NET POSITION:				
3300 Cumulative results of operations	2,232	2,462	1,408	1,386
3999 Total net position	2,232	2,462	1,408	1,386
4999 Total liabilities and net position	2,232	2,462	1,408	1,386

Object Classification (in millions of dollars)

Identification code 91-4257-0-3-502	2001 actual	2002 est.	2003 est.
41.0 Grants, subsidies, and contributions	246	264	258
42.0 Insurance claims and indemnities	3,349	3,796	4,355
99.9 Total new obligations	3,595	4,060	4,613

For Budget Enforcement Act purposes, the Administration will use the Congressional Budget Office's estimates of the costs of legislative proposals rather than calculating costs against baselines contained in the Appendix. The Administra-

tion is developing, and will implement, a new method of calculating student loan credit cost estimates for the 2003 Mid-Session Review.

Credit accounts:

FEDERAL DIRECT STUDENT LOAN PROGRAM, PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 91-0243-0-1-502	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.05 Upward Subsidy Reestimate	1,085		
00.06 Interest on Subsidy Reestimate	74		
00.09 Student Loan Administrative Expenses	787	783	2
10.00 Total new obligations	1,946	783	2
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	10	15	
22.00 New budget authority (gross)	1,931	783	
22.10 Resources available from recoveries of prior year obligations	20		
22.40 Capital transfer to general fund		-15	
23.90 Total budgetary resources available for obligation	1,961	783	
23.95 Total new obligations	-1,946	-783	-2
24.40 Unobligated balance carried forward, end of year	15		-2

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation			-797
Mandatory:			
60.00 Appropriation	1,931	783	797
Offsetting collections (cash):			
69.00 Offsetting collections (cash)	1,039	731	617
69.00 Offsetting collections (cash)—Downward Reestimate	531		
69.00 Offsetting collections (cash)—Interest on downward reestimate	147		
69.27 Capital transfer to general fund	-1,717	-731	-617
69.90 Spending authority from offsetting collections (total mandatory)			
70.00 Total new budget authority (gross)	1,931	783	

Change in obligated balances:

72.40 Obligated balance, start of year	347	299	373
73.10 Total new obligations	1,946	783	2
73.20 Total outlays (gross)	-1,974	-708	-319
73.45 Recoveries of prior year obligations	-20		
74.40 Obligated balance, end of year	299	373	56

Outlays (gross), detail:

86.90 Outlays from new discretionary authority			-512
86.97 Outlays from new mandatory authority	1,775	498	512
86.98 Outlays from mandatory balances	199	210	319
87.00 Total outlays (gross)	1,974	708	319

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-1,717	-731	-617

Net budget authority and outlays:

89.00 Budget authority	214	52	-617
90.00 Outlays	257	-23	-298

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	212	49	-619
90.00 Outlays	255	-26	-300

Summary of Budget Authority and Outlays

(in millions of dollars)

Enacted/requested:	2001 actual	2002 est.	2003 est.
Budget Authority	214	52	-617
Outlays	257	-23	-298

Legislative proposal, subject to PAYGO:			
Budget Authority			3
Outlays			15
Total:			
Budget Authority	214	52	-614
Outlays	257	-23	-283

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 91-0243-0-1-502	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Stafford	5,522	6,234	6,651
115002 Unsubsidized Stafford	4,314	4,793	5,145
115003 PLUS	1,396	1,535	1,679
115004 Consolidated	8,682	8,704	5,368
115901 Total direct loan levels	19,914	21,266	18,843
Direct loan subsidy (in percent):			
132001 Stafford	7.16	3.63	4.97
132002 Unsubsidized Stafford	-12.61	-12.40	-12.25
132003 PLUS	-9.49	-8.95	-9.80
132004 Consolidated	-7.03	-4.02	-3.75
132901 Weighted average subsidy rate	-4.47	-4.02	-3.52
Direct loan subsidy budget authority:			
133001 Stafford	396	226	331
133002 Unsubsidized Stafford	-544	-594	-630
133003 PLUS	-133	-137	-165
133004 Consolidated	-610	-350	-201
133901 Total subsidy budget authority	-891	-855	-665
Direct loan subsidy outlays:			
134001 Stafford	295	257	275
134002 Unsubsidized Stafford	-584	-519	-553
134003 PLUS	-140	-122	-140
134004 Consolidated	-610	-347	-199
134901 Total subsidy outlays	-1,039	-731	-617
Direct loan upward reestimate subsidy budget authority:			
135005 Upward reestimate subsidy budget authority	1,159		
135901 Total upward reestimate budget authority	1,159		
Direct loan upward reestimate subsidy outlays:			
136005 Upward reestimates subsidy outlays	1,159		
136901 Total upward reestimate outlays	1,159		
Direct loan downward reestimate subsidy budget authority:			
137005 Downward reestimates offsetting collection	-678		
137901 Total downward reestimate budget authority	-678		
Direct loan downward reestimate subsidy outlays:			
138005 Downward reestimates offsetting collection	-678		
138901 Total downward reestimate subsidy outlays	-678		
Student loan administrative expense data:			
351001 Budget authority	772	783	
359001 Outlays	815	708	319

The Federal Government operates two major student loan programs: the Federal Family Education Loan (FFEL) program—formerly the Guaranteed Student Loan (GSL) program—and the William D. Ford Federal Direct Loan (Direct Loan) program. For 2003, the President is committed to improving the efficiency of both programs and allowing individual institutions to choose which of these two programs best meets their needs and the needs of their students.

This summary section outlines the structure of these two programs, highlights their differences and similarities, and provides text tables displaying program cost data; loan volume, subsidy, default, and interest rates; and other descriptive information. As part of his 2003 budget, the President is proposing a change to the loan forgiveness provisions of the Direct Loan and FFEL programs. This proposal is discussed as part of this program description.

From its inception in 1965 through 2000, the FFEL program has provided over \$347 billion in loans to postsecondary students and their parents. Since July 1, 1994, the Direct

Loan program has provided \$92 billion in new and consolidation loans to students and parents. Taken together, the FFEL and Direct Loan programs will make almost \$38 billion in new loans available in 2002. Because funding for these two programs is provided on a permanent indefinite basis, for budget purposes they are considered separately from other Federal student financial assistance programs. The FFEL and Direct Loan programs should be viewed in combination with these other programs, however, and with Perkins Loans in particular, as part of the overall Federal effort to ensure access to higher education.

Loan capital in the FFEL program is provided by private lenders. State and private nonprofit guaranty agencies act as agents of the Federal Government, providing a variety of services including collection of some defaulted loans, default avoidance activities, and counseling to schools, students, and lenders. The Government provides substantial payments to these guaranty agencies. The Government also pays interest subsidies to lenders for certain borrowers, as well as most costs associated with loan defaults and other write-offs.

The Direct Loan program was created by the Student Loan Reform Act of 1993. Under this program, the Federal Government provides loan funds to postsecondary institutions directly or through an alternative originator. The Direct Loan program began operation in academic year 1994–1995 with 7 percent of overall loan volume and is expected to account for 30 percent in academic year 2001–2002. All eligible institutions are free to participate in either the Direct Loan or FFEL program.

The Direct Loan and FFEL programs share many basic elements. Each program offers four types of loans: Stafford, Unsubsidized Stafford, PLUS for parents, and Consolidation. Evidence of financial need is required for a student to receive a subsidized Stafford loan. The other three loan programs are available to borrowers at all income levels. Loans can be used only to meet qualified educational expenses.

The borrower interest rate for new Stafford Loans equals the 91-day Treasury bill rate plus 1.7 percent during in-school, grace, and deferment periods, and the 91-day Treasury bill plus 2.3 percent at all other times, with a cap of 8.25 percent, adjusted annually. Interest payments for these loans are fully subsidized by the Government while a student is in school and during grace and deferment periods. Unsubsidized Stafford loans carry the same borrower interest rate as Stafford loans, but have no interest subsidy. For new PLUS loans, the borrower interest rate equals the 91-day Treasury bill rate plus 3.1 percent, with a cap of 9 percent and no interest subsidy.

In the FFEL program, lenders may receive an interest subsidy, called a special allowance, from the Government to ensure a guaranteed rate of return on their loans. Special allowance payments vary by loan type, are determined quarterly, and are based on current borrower interest rates and market-yield formulas. For new Stafford and Unsubsidized Stafford loans, for example, the Federal Government must pay lenders a special allowance if the average 3-month commercial paper rate for a given quarter plus 2.34 percent—or 1.74 percent during in-school, grace, or deferment periods—is higher than the current interest rate charged borrowers.

Consolidation loans allow borrowers to combine loans made under Title IV of the Higher Education Act—FFEL, Direct Loans, and Perkins Loans—as well as some loans made under the Public Health Service Act. The interest rate for new FFEL and Direct Consolidation loans equals the weighted average of the interest rate on the loans consolidated, rounded up to the nearest one-eighth of one percent. Lenders may choose to offer a lower rate. Interest rates for all new FFEL and Direct Consolidation Loans are capped at 8.25 percent.

FFEL borrowers pay an origination fee to the Government equal to 3 percent of principal, and are also liable for a

Credit accounts—Continued

FEDERAL DIRECT STUDENT LOAN PROGRAM, PROGRAM ACCOUNT—
Continued

guaranty agency insurance premium of up to 1 percent of principal. Guaranty agencies have the option of waiving this premium and FFEL lenders have the option of paying some or all of a borrower's origination fee for Stafford Loan borrowers. Direct Loan borrowers are charged an origination fee equal to 3 percent of principal, which partially offsets Federal program operation costs. Borrowers in both programs may be offered financial incentives to encourage prompt repayment.

Loan limits are also identical across the two programs. In addition, loans made under both programs are discharged when borrowers die, are totally and permanently disabled, or, under some circumstances, declare bankruptcy.

Under both programs, new borrowers after October 1, 1998, who are employed as teachers in schools serving low-income populations for five consecutive, complete school years, qualify for up to \$5,000 in loan forgiveness. The Administration is proposing to increase this benefit to a maximum of \$17,500 for mathematics, science, and special education teachers considered highly qualified under criteria established in the No Child Left Behind Act of 2001.

Borrowers under Direct Loans may choose from among five repayment plans including income-contingent repayment ("pay-as-you-can"), under which annual repayment amounts vary based on the income of the borrower and the amount borrowed, and payments can be made over 25 years. Borrowers may switch between repayment plans at any time. (Income-contingent repayment is not available to Direct PLUS borrowers).

FFEL borrowers may choose from among four repayment plans. Repayment periods under standard, graduated, and income-sensitive repayment may not exceed 10 years. An extended repayment plan of up to 25 years is available for new borrowers with outstanding loans totalling more than \$30,000. FFEL borrowers may change repayment plans annually.

Student loan program administration activities are supported from a variety of sources, including a permanent appropriation authorized under Section 458 of the Higher Education Act, a small discretionary appropriation partially supporting FFEL program administration, a portion of funds appropriated under the Department's discretionary Program Administration account, and subsidy funds associated with the origination of Direct Consolidation Loans. In order to improve accountability and simplify the funding process for these administrative activities, the President is proposing to create a unified, discretionary Student Aid Administration account.

The following tables display performance indicators and program data; including projected overall Direct Loan and FFEL costs; loan volume, number of loans, and average loan amount; descriptive data, and program activity under the President's budget and legislative request.

Funding Levels (In thousands of dollars)

	2001 actual	2002 est.	2003 est.
Program Cost:			
FFEL:			
Liquidating ¹	(1,063,810)	(744,810)	(625,162)
Program:			
Regular	2,930,652	3,302,001	3,397,928
Consolidation	137,638	479,229	702,793
Reestimate of Prior Year Costs	(4,727,793)	0	0
Non-Contractual Modifications ²	0	0	23,540
Subtotal, Program	(1,659,503)	3,781,230	4,124,260
Total FFEL:	(2,723,314)	3,036,420	3,499,098
Direct Loans:			
Program:			
Regular	(428,683)	(383,848)	(414,192)

Consolidation	(610,326)	(347,452)	(199,020)
Reestimate of Prior Year Costs	481,223	0	0
Non-Contractual Modification ²	0	0	12,127
Total, Direct Loans	(557,786)	(731,300)	(601,085)
Administration:³			
FFEL: ⁴	48,000	48,836	0
Student Aid ⁵	784,755	780,000	0
Subtotal, Administration	832,955	828,836	0
Total, FFEL and Direct Loans	(2,448,344)	3,133,956	2,898,013
Program Cost Outlays:			
FFEL:			
Liquidating ¹	(852,539)	(680,208)	(625,162)
Program:			
Regular	2,982,980	2,787,487	2,953,599
Consolidation	136,876	477,577	700,778
Reestimate of Prior Year Costs	(4,727,793)	0	0
Non-Contractual Modification ²	0	0	23,540
Subtotal, Program	(1,607,937)	3,265,064	3,677,917
Total, FFEL	(2,460,476)	2,584,856	3,052,754
Direct Loans:			
Program:			
Regular	(428,683)	(383,848)	(414,192)
Consolidation	(610,326)	(347,452)	(199,020)
Reestimate of Prior Year Costs	481,223	0	0
Non-Contractual Modifications ²	0	0	12,127
Total, Direct Loans	(557,786)	(731,300)	(601,085)
Administration:³			
FFEL: ⁴	55,652	66,761	11,328
Student Aid ⁵	812,948	705,229	318,743
Subtotal, Administration	868,600	771,990	330,071
Total, FFEL and Direct Loans	(2,149,662)	2,625,546	2,781,740

¹ Liquidating account reflects loans made prior to 1992.

² Reflects the costs or savings associated with policy changes that would affect the terms of existing loans.

³ For 2003, no funds are requested for loan administration, as these costs would be part of the proposed discretionary Student Aid Administration account. Outlays in 2003 reflect outlays from prior year balances.

⁴ Reflects annual discretionary appropriation.

⁵ Supports account maintenance fee payments to FFEL guaranty agencies, Direct Loan origination and servicing, and a range of administrative activities such as application printing, mailing, and processing that are common to all Federal student financial assistance programs.

Summary of Loans Available (net commitments in millions of dollars)¹

	2001 actual	2002 est.	2003 est.
FFEL:			
Stafford	11,882	12,704	13,585
Unsubsidized Stafford	10,144	10,893	11,698
PLUS	2,667	2,934	3,230
Subtotal	24,694	26,531	28,513
Consolidation	9,255	8,335	6,877
Total, FFEL	33,949	34,866	35,390
Direct Loans:			
Stafford	5,388	5,748	6,133
Unsubsidized Stafford	3,986	4,277	4,591
PLUS	1,261	1,378	1,507
Subtotal	10,635	11,404	12,231
Consolidation	7,760	8,643	5,307
Total, Direct Loans	18,395	20,047	17,538
Total, All Loans	52,344	54,913	52,928

¹ Net commitments equal gross commitments minus loan cancellations.

Number of Loans (In thousands)

	2001 actual	2002 est.	2003 est.
FFEL:			
Stafford	3,505	3,740	3,964
Unsubsidized Stafford	2,488	2,680	2,839
PLUS	362	391	413
Subtotal	6,355	6,811	7,216
Consolidation	315	314	226
Total, FFEL	6,670	7,125	7,442
Direct Loans:			
Stafford	1,543	1,605	1,696
Unsubsidized Stafford	1,042	1,058	1,119
PLUS	178	179	188
Subtotal	2,763	2,842	3,003
Consolidation	370	360	257
Total, Direct Loans	3,133	3,201	3,261

Total, All Loans	9,803	10,326	10,703
Average Loan Size (in whole dollars)			
	2001 actual	2002 est.	2003 est.
FFEL:			
Stafford	3,390	3,397	3,427
Unsubsidized Stafford	4,077	4,065	4,120
PLUS	7,359	7,504	7,817
Weighted Average, without Consolidations	3,886	3,896	3,951
Consolidation	29,378	26,505	30,420
Weighted Average, FFEL	5,090	4,893	4,755
Direct Loans:			
Stafford	3,491	3,582	3,615
Unsubsidized Stafford	3,824	4,043	4,103
PLUS	7,097	7,708	8,010
Weighted Average, Without Consolidations	3,849	4,013	4,073
Consolidation	20,976	24,033	20,614
Weighted Average, Direct Loans	5,871	6,262	5,379
Weighted Average, All Loans	5,339	5,318	4,945

Summary of Subsidy Rates, Default and Interest Rates

	2001 actual	2002 est.	2003 est.
Subsidy Rates (in percent) ¹			
FFEL:			
Stafford	18.15%	16.40%	16.85%
Unsubsidized Stafford	4.98%	6.18%	4.64%
PLUS	2.98%	4.74%	4.67%
Consolidation	1.72%	5.73%	10.19%
Weighted Average, FFEL	8.84%	9.76%	10.37%
Direct Loans:			
Stafford	7.16%	3.63%	5.02%
Unsubsidized Stafford	-12.61%	-12.40%	-12.21%
PLUS	-9.49%	-8.95%	-9.80%
Consolidation	-7.03%	-4.02%	-3.75%
Weighted Average, Direct Loans	-4.47%	-4.02%	-3.50%
Default Rates (in percent) ²			
FFEL:			
Stafford	16.22	16.24	16.27
Unsubsidized Stafford	14.29	14.31	14.34
PLUS	9.61	9.66	9.70
Consolidated	20.53	20.53	20.52
Weighted Average, FFEL	16.30	16.11	15.86
Direct Loans:			
Stafford	14.65	14.64	14.64
Unsubsidized Stafford	13.31	13.32	13.34
PLUS	9.04	9.06	9.07
Consolidation	14.27	14.11	14.29
Weighted Average, Direct Loans	13.81	13.75	13.72
Borrower Interest Rates (in percent) ³			
FFEL:			
Stafford	6.66	6.69	6.53
Unsubsidized Stafford	6.66	6.69	6.54
PLUS	7.16	6.87	7.25
Consolidation (reflects Sub and Unsub Stafford Only)	8.00	5.88	5.34
Direct Loans:			
Stafford	6.66	6.69	6.50
Unsubsidized Stafford	6.66	6.69	6.50
PLUS	7.16	6.87	7.27
Consolidation (reflects Sub and Unsub Stafford only)	7.88	5.75	5.63

¹ Subsidy rates represent the Federal portion of non-administrative costs—principally interest subsidies and defaults—associated with each borrowed dollar. For example, a \$1,000 loan with federal subsidy costs of \$100 would have a subsidy rate of 10 percent.

² Default rates displayed in this table, which reflect projected defaults over the life of a loan cohort, are used in developing program cost estimates. The Department uses other rates based on defaults occurring in the first three years of repayment to determine institutional eligibility to participate in Federal loan programs. These three-year rates tend to be lower than those included in this table.

³ These represent average borrower interest rates during repayment for a typical borrower under standard repayment over the life of the loan.

Composition of Loan Collections (In thousands of dollars)

	2001 actual	2002 est.	2003 est.
FFEL:			
Collections by Guaranty Agencies ¹	2,699,372	1,487,641	1,609,925
Collections by Department of Education ²	683,106	509,439	524,292
Internal Revenue Service Tax Refund Offsets	950,627	713,183	737,462
Total, FFEL	4,333,104	2,710,262	2,871,678

Direct Loans:			
Collections by Department of Education ²	277,985	479,274	649,803
Internal Revenue Service Tax Refund Offsets	92,297	89,232	124,539
Total, Direct Loans	370,282	568,506	774,343
Total, FFEL and Direct Loan	4,703,387	3,278,768	3,646,020

¹ These figures show collections net of the amount agencies are allowed to retain under the provisions of the Higher Education Act of 1965. Agencies may retain 24 percent of most collections, and 18.5 percent of amounts collected through the consolidation of existing defaulted loans.

² These figures include amounts subsequently paid to Department contract collections agencies; contract collection costs total \$157 million for 2001 (\$87 million for FFEL and \$71 million for Direct Loans); \$174 million for 2002 (\$90 million for FFEL and \$84 million for Direct Loans); and \$206 million for 2003 (\$92 million for FFEL and \$114 million for Direct Loans).

Projected Participation in Repayment Plans ¹ (in percent)

	2001 actual	2002 est.	2003 est.
Direct Loans ¹			
Standard			
Percent of Loan Volume: ²			
Stafford	72.84	72.84	72.84
Unsubsidized Stafford	68.66	68.66	68.66
PLUS	75.43	75.43	75.43
Consolidation	21.96	24.04	21.39
Subsidy Rate (in percent):			
Stafford	8.98	4.21	5.45
Unsubsidized Stafford	-10.44	-11.89	-11.92
PLUS	-7.13	-7.31	-8.19
Consolidation	-0.09	0.25	1.83
Graduated: ³			
Percent of Loan Volume ²			
Stafford	18.77	18.77	18.77
Unsubsidized Stafford	20.72	20.72	20.72
PLUS	18.11	18.11	18.11
Consolidation	18.18	20.39	17.56
Subsidy Rate (in percent):			
Stafford	2.82	2.08	3.94
Unsubsidized Stafford	-17.90	-14.40	-13.73
PLUS	-18.22	-14.37	-15.12
Consolidation	-12.96	1.83	4.25
Extended: ³			
Percent of Loan Volume: ²			
Stafford	6.77	6.77	6.77
Unsubsidized Stafford	8.80	8.80	8.80
PLUS	6.46	6.46	6.46
Consolidation	15.55	18.12	14.84
Subsidy Rate (in percent):			
Stafford	3.45	2.53	4.29
Unsubsidized Stafford	-17.17	-13.87	-13.30
PLUS	-16.61	-12.84	-13.68
Consolidation	-11.65	1.65	3.71
Income-Contingent:			
Percent of Loan Volume: ²			
Stafford	1.63	1.63	1.63
Unsubsidized Stafford	1.83	1.83	1.83
Consolidation	44.31	37.44	46.21
Subsidy Rate (in percent):			
Stafford	0.60	0.19	1.12
Unsubsidized Stafford	0.49	-1.59	-0.64
Consolidation	-9.32	-12.09	-11.13

¹ For modeling purposes, all FFEL borrowers are assumed to have chosen Standard repayment. For Direct Loans, no data is included for the Alternative repayment plan, since borrowers are not expected to participate in this plan due to the flexibility available under the other options. Income-contingent repayment is not available for Direct PLUS borrowers.

² Percent of Loan Volume represents aggregate data. Individual borrowers may move between plans over time.

³ Maximum terms under the Extended and Graduated repayment plans reflect the following "classes" based on borrower debt levels.

Debt Level	Maximum Term (in years)	Percent of Volume Within Direct Extended and Graduated Plans (Stafford) FY 02
Below \$10,000	12	12.59
\$10,000-\$20,000	15	35.21
\$20,000-\$40,000	20	36.41
\$40,000-\$60,000	25	11.07
Above \$60,000	30	4.72

The Higher Education Amendments of 1998 broadened the availability of alternative repayment plans in the FFEL program. As noted in the table above, data on the extent to which FFEL borrowers will take advantage of these plans is not yet available.

Consistent with the Federal Credit Reform Act of 1990, the Office of Management and Budget establishes procedures for the estimation of subsidy rates for the Federal student loan programs. Subsidy costs for each loan type are estimated separately and, because costs can vary widely within a pro-

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FEDERAL DIRECT STUDENT LOAN PROGRAM, PROGRAM ACCOUNT—Continued

gram depending on the characteristics of the individual borrower, cost estimates are aggregated from data for homogeneous groups within risk categories.

Risk categories for Stafford and Unsubsidized Stafford Loans are based on the type of school attended by the borrower. Since PLUS loan borrowers are all parents, they are assumed to share similar risk profiles and are grouped together in a single category. For Consolidation Loans, risk categories distinguish between standard Consolidation Loans—in which borrowers in repayment consolidate a number of outstanding loans—and loans consolidated out of default.

Default rates are a major cause of differences in subsidy between risk categories. The default rates in the following tables reflect estimates of the percent of borrowers who will default over the lifetime of the loans. These estimates are revised annually based on an analysis of default trends. Within each risk group, it is assumed that borrowers choosing similar repayment plans will have similar default rates, regardless of whether they borrow under the FFEL or Direct Loan program.

FFEL RISK CATEGORIES: STAFFORD LOANS

Subsidy Rate (as a percentage of loan commitments)			
Risk Categories:	2001 actual	2002 est.	2003 est.
Category 1: 4 year college, 1st and 2nd year students	22.19	20.07	20.59
Category 2: 4 year college, 3rd and 4th year students	16.38	14.86	15.33
Category 3: 2 year college, all students	20.26	17.80	18.13
Category 4: Proprietary school, all students	14.30	12.78	13.00
Category 5: Graduate students	17.48	15.90	16.39

Gross Default Rates (in percent)

Risk Categories:	2001 actual	2002 est.	2003 est.
Category 1: 4 year college, 1st and 2nd year students	20.40	20.40	20.40
Category 2: 4 year college, 3rd and 4th year students	12.69	12.66	12.65
Category 3: 2 year college, all students	31.52	31.48	31.45
Category 4: Proprietary school, all students	45.67	45.52	45.38
Category 5: Graduate students	8.15	8.13	8.13

UNSUBSIDIZED STAFFORD LOANS

Subsidy Rate (as a percentage of loan commitments)			
Risk Categories:	2001 actual	2002 est.	2003 est.
Category 1: 4 year college, 1st and 2nd year students	5.55	7.65	5.97
Category 2: 4 year college, 3rd and 4th year students	4.54	5.58	4.10
Category 3: 2 year college, all students	8.93	10.78	9.22
Category 4: Proprietary school, all students	7.31	10.48	9.12
Category 5: Graduate students	4.13	4.63	3.06

Gross Default Rates (in percent)

Risk Categories:	2001 actual	2002 est.	2003 est.
Category 1: 4 year college, 1st and 2nd year students	20.31	20.31	20.31
Category 2: 4 year college, 3rd and 4th year students	11.70	11.71	11.70
Category 3: 2 year college, all students	27.74	27.71	27.68
Category 4: Proprietary school, all students	35.67	35.55	35.44
Category 5: Graduate students	8.23	8.21	8.21

PLUS LOANS

Subsidy Rate (as a percentage of loan commitments)			
All PLUS Loans	2001 actual	2002 est.	2003 est.
All PLUS Loans	2.98	4.74	4.67

Gross Default Rates (in percent)

All PLUS Loans	2001 actual	2002 est.	2003 est.
All PLUS Loans	9.61	9.66	9.70

DIRECT LOAN RISK CATEGORIES: STAFFORD LOANS

Subsidy Rate (as a percentage of loan commitments)			
Risk Categories:	2001 actual	2002 est.	2003 est.
Category 1: 4 year college, 1st and 2nd year students	11.19	6.91	8.36
Category 2: 4 year college, 3rd and 4th year students	5.45	2.08	3.53
Category 3: 2 year college, all students	11.28	5.65	6.69

Category 4: Proprietary school, all students	7.93	2.97	3.54
Category 5: Graduate students	5.78	3.15	4.57

Gross Default Rates (in percent)

Risk Categories:	2001 actual	2002 est.	2003 est.
Category 1: 4 year college, 1st and 2nd year students	19.97	19.96	19.96
Category 2: 4 year college, 3rd and 4th year students	12.41	12.39	12.38
Category 3: 2 year college, all students	31.34	31.29	31.26
Category 4: Proprietary school, all students	45.07	44.92	44.77
Category 5: Graduate students	8.00	7.98	7.98

UNSUBSIDIZED STAFFORD LOANS

Subsidy Rate (as a percentage of loan commitments)

Risk Categories:	2001 actual	2002 est.	2003 est.
Category 1: 4 year college, 1st and 2nd year students	-12.18	-11.00	-10.48
Category 2: 4 year college, 3rd and 4th year students	-12.84	-12.87	-12.81
Category 3: 2 year college, all students	-6.75	-5.85	-6.31
Category 4: Proprietary school, all students	-5.98	-3.52	-4.80
Category 5: Graduate students	-13.88	-14.28	-13.95

Gross Default Rates (in percent)

Risk Categories:	2001 actual	2002 est.	2003 est.
Category 1: 4 year college, 1st and 2nd year students	19.64	19.63	19.63
Category 2: 4 year college, 3rd and 4th year students	11.47	11.44	11.44
Category 3: 2 year college, all students	27.35	27.32	27.28
Category 4: Proprietary school, all students	34.98	34.86	34.75
Category 5: Graduate students	8.07	8.05	8.05

PLUS LOANS

Subsidy Rate (as a percentage of loan commitments)

All PLUS Loans	2001 actual	2002 est.	2003 est.
All PLUS Loans	-9.49	-8.95	-9.80

Gross Default Rates (in percent)

All PLUS Loans	2001 actual	2002 est.	2003 est.
All PLUS Loans	9.04	9.06	-9.07

Selected Program Costs and Offsets¹ (in thousands of dollars)

	2001 actual	2002 est.	2003 est.
FFEL:			
Interest costs:			
Interest benefits:			
FFEL Liquidating	19,583	15,176	13,656
FFEL Program	1,926,586	2,177,225	2,121,050
Total	1,946,169	2,192,401	2,134,705
Special allowance:			
FFEL Liquidating	44,675	5,414	12,268
FFEL Program	900,126	782,745	908,788
Total	944,801	788,159	921,056
Default costs and offsets:			
Default claims:			
FFEL Liquidating	185,476	32,326	13,772
FFEL Program	1,560,662	3,224,912	3,580,502
Total	1,746,138	3,257,238	3,594,274
Net default collections:			
FFEL Liquidating	1,018,996	888,787	740,109
FFEL Program	2,149,336	2,203,638	2,485,062
Total	3,168,332	3,092,425	3,225,172
Contract collection costs:			
FFEL Liquidating	45,454	57,493	50,217
FFEL Program	(2,753)	0	(640)
Total	42,700	57,493	49,577
Death, disability, and bankruptcy costs:			
FFEL Liquidating	48,570	36,531	27,729
FFEL Program	274,703	371,638	421,800
Total	323,273	408,169	449,529
Fees:			
Borrower origination fees:	750,082	706,622	739,777
Lender origination fee	125,014	117,770	123,296
Sallie Mae offset fee:	44,723	51,368	47,374
Consolidation loan holder fees:	209,817	278,037	318,984
Direct Loans:			
Borrower repayments:	5,820,065	6,636,713	8,335,706
Borrower origination fees:	358,336	286,635	183,226
Net default Collections:	168,652	601,172	828,396
Contact Collection Costs	86,606	89,663	91,637

Administrative Costs:

Federal administration:

FFEL	48,000	48,000
Student Aid Management (SAM) ²	635,000	770,000
Guaranty agency administrative payments:			
Account Maintenance Fee (included in SAM)	180,000	170,000	180,000
Loan Issuance and Processing Fee	149,799	176,814	185,782

Details may not sum to totals due to rounding.

¹ This table represents explicit cash flows in the FFEL and Direct Loan financing accounts. Examples of these flows would include payments of FFEL interest benefits, default claims, and discharge claims, or collections on FFEL or Direct Loan defaults all of which involve explicit events that are reflected in the Department's financial systems as they occur. Non-events, such as Direct Loan interest benefits, defaults, or discharges, involve payments that are not received, and hence not recorded in the Department's financial systems in the same way. For that reason, these non-events are not included in this table.

² For 2003, no funds are requested for loan administration, as these costs would be part of the proposed discretionary Student Aid Administration account.

³ A number of expenses related to the administration of the student assistance programs are paid out of Student Aid Management funds. One of these expenses, account maintenance fee payments to guaranty agencies, is shown as a separate line.

Object Classification (in millions of dollars)

Identification code 91-0243-0-1-502	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	40	44
11.3 Other than full-time permanent	2
11.5 Other personnel compensation	2	2
11.9 Total personnel compensation	44	46
12.1 Civilian personnel benefits	11	13	2
21.0 Travel and transportation of persons	5	4
23.1 Rental payments to GSA	5	7
23.3 Communications, utilities, and miscellaneous charges	51	48
24.0 Printing and reproduction	12	9
25.1 Advisory and assistance services	2	4
25.2 Other services	50	41
25.3 Other purchases of goods and services from Government accounts	4	7
25.7 Operation and maintenance of equipment	421	419
26.0 Supplies and materials	1
31.0 Equipment	6	2
32.0 Land and structures	5	3
41.0 Grants, subsidies, and contributions	1,329	180
99.9 Total new obligations	1,946	783	2

Personnel Summary

Identification code 91-0243-0-1-502	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	630	631

FEDERAL DIRECT STUDENT LOAN PROGRAM
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 91-0243-4-1-502	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.03 Non-Contractual Modifications	12
10.00 Total new obligations (object class 41.0)	12
Budgetary resources available for obligation:			
23.95 Total new obligations	- 12
24.40 Unobligated balance carried forward, end of year	- 12
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	- 3
69.27 Capital transfer to general fund	3
69.90 Spending authority from offsetting collections (total mandatory)
Change in obligated balances:			
73.10 Total new obligations	12

73.20 Total outlays (gross)	- 12
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	12
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	3
Net budget authority and outlays:			
89.00 Budget authority	3
90.00 Outlays	15

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 91-0243-4-1-502	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Stafford
115002 Unsubsidized Stafford
115003 PLUS
115004 Consolidated
115901 Total direct loan levels
Direct loan subsidy (in percent):			
132001 Stafford	0.05
132002 Unsubsidized Stafford	0.04
132003 PLUS	0.00
132004 Consolidated	0.00
132901 Weighted average subsidy rate	0.03
Direct loan subsidy budget authority:			
133001 Stafford	3
133002 Unsubsidized Stafford	2
133003 PLUS
133004 Consolidated
133006 Non-contractual modifications	12
133901 Total subsidy budget authority	17
Direct loan subsidy outlays:			
134001 Stafford	2
134002 Unsubsidized Stafford	1
134003 PLUS
134004 Consolidated
134006 Non-contractual modifications	12
134901 Total subsidy outlays	15
Direct loan upward reestimate subsidy budget authority:			
135005 Upward reestimate subsidy budget authority
135901 Total upward reestimate budget authority
Direct loan upward reestimate subsidy outlays:			
136005 Upward reestimates subsidy outlays
136901 Total upward reestimate outlays
Direct loan downward reestimate subsidy budget authority:			
137005 Downward reestimates offsetting collection
137901 Total downward reestimate budget authority
Direct loan downward reestimate subsidy outlays:			
138005 Downward reestimates offsetting collection
138901 Total downward reestimate subsidy outlays
Student loan administrative expense data:			
351001 Budget authority
359001 Outlays

These schedules represent the Administration's proposal to expand teacher loan forgiveness. This proposal is described above in the main account narrative.

Credit accounts—Continued

FEDERAL DIRECT STUDENT LOAN PROGRAM, FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 91-4253-0-3-502	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct loans:			
01.01 Stafford	5,523	6,234	6,652
01.02 Unsubsidized Stafford	4,314	4,793	5,145
01.03 PLUS	1,396	1,535	1,679
01.04 Consolidated	7,987	8,704	5,368
01.05 Upward adjustment in prior obligations	350		
01.91 Subtotal, direct loans obligations	19,570	21,266	18,844
Payment for consolidations:			
02.04 Consolidated—Payment of Orig. Services	43	40	29
03.01 Payment of contract collections	71	84	114
04.01 Interest payment to Treasury	5,101	4,800	5,623
Other obligations:			
08.01 Payment of negative subsidy to program account	852	793	604
08.02 Payment of downward reestimate to program account	531		
08.04 Payment of interest on downward reestimate to program account	147		
08.91 Subtotal, other obligations	1,530	793	604
10.00 Total new obligations	26,315	26,983	25,214
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		4	
22.00 New financing authority (gross)	26,319	26,980	25,213
22.10 Resources available from recoveries of prior year obligations	4,555		
22.60 Portion applied to repay debt	-2,236		
22.70 Balance of authority to borrow withdrawn	-2,319		
23.90 Total budgetary resources available for obligation	26,319	26,984	25,213
23.95 Total new obligations	-26,315	-26,983	-25,214
24.40 Unobligated balance carried forward, end of year	4		
New financing authority (gross), detail:			
Mandatory:			
67.10 Authority to borrow	21,795	22,055	19,447
69.00 Offsetting collections (cash)	11,220	10,129	12,350
69.10 Change in uncollected customer payments from Federal sources (unexpired)	-71		
69.47 Portion applied to repay debt	-6,625	-5,204	-6,584
69.90 Spending authority from offsetting collections (total mandatory)	4,524	4,925	5,766
70.00 Total new financing authority (gross)	26,319	26,980	25,213
Change in obligated balances:			
72.40 Obligated balance, start of year	7,052	4,239	3,945
73.10 Total new obligations	26,315	26,983	25,214
73.20 Total financing disbursements (gross)	-24,644	-27,278	-24,930
73.45 Recoveries of prior year obligations	-4,555		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	71		
74.40 Obligated balance, end of year	4,239	3,945	4,229
87.00 Total financing disbursements (gross)	24,644	27,278	24,930
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
Federal sources:			
Payments from program account:			
88.00 Upward reestimate	-1,085		
88.00 Interest on upward reestimate	-74		
88.25 Interest on uninvested funds	-1,058		
Non-Federal sources:			
Stafford loans:			
88.40 Repayment of principal, Stafford	-2,466	-2,113	-2,743
88.40 Interest received on loans, Stafford	-762	-1,287	-1,339
88.40 Origination Fees, Stafford	-139	-169	-180
88.40 Other Fees, Stafford	-28		
88.40 Repayment of principal, Unsubsidized Stafford	-1,697	-1,353	-1,808
88.40 Interest received on loans, Unsubsidized Stafford	-516	-887	-948
88.40 Origination Fees, Unsubsidized Stafford	-109	-126	-135

88.40	Repayment of principal, PLUS	-863	-510	-649
88.40	Interest received on loans, PLUS	-256	-352	-385
88.40	Origination Fees, PLUS	-35	-54	-59
88.40	Payment of principal, Consolidated	-1,638	-1,377	-1,859
88.40	Interest received on loans, Consolidated	-494	-1,901	-2,245
88.90	Total, offsetting collections (cash)	-11,220	-10,129	-12,350
Against gross financing authority only:				
88.95	Change in receivables from program accounts	71		

Net financing authority and financing disbursements:

89.00	Financing authority	15,170	16,851	12,863
90.00	Financing disbursements	13,408	17,149	12,580

Status of Direct Loans (in millions of dollars)

Identification code 91-4253-0-3-502	2001 actual	2002 est.	2003 est.	
STAFFORD				
Position with respect to appropriations act limitation on obligations:				
1131	Direct loan obligations exempt from limitation	5,522	6,234	6,652
1150	Total direct loan obligations	5,522	6,234	6,652
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	23,362	26,564	30,041
1231	Disbursements: Direct loan disbursements	5,467	5,636	6,014
1251	Repayments: Repayments and prepayments	-2,466	-2,113	-2,743
1261	Adjustments: Capitalized interest	248		
1264	Write-offs for default: Other adjustments, net	-47	-46	-52
1290	Outstanding, end of year	26,564	30,041	33,260
UNSUBSIDIZED STAFFORD				
Position with respect to appropriations act limitation on obligations:				
1131	Direct loan obligations exempt from limitation	4,314	4,793	5,145
1150	Total direct loan obligations	4,314	4,793	5,145
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	15,048	17,519	20,903
1231	Disbursements: Direct loan disbursements	4,039	4,186	4,492
1251	Repayments: Repayments and prepayments	-1,697	-1,353	-1,808
1261	Adjustments: Capitalized interest	167	580	479
1264	Write-offs for default: Other adjustments, net	-38	-29	-34
1290	Outstanding, end of year	17,519	20,903	24,032
PLUS				
Position with respect to appropriations act limitation on obligations:				
1131	Direct loan obligations exempt from limitation	1,396	1,535	1,679
1150	Total direct loan obligations	1,396	1,535	1,679
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	3,502	3,875	4,677
1231	Disbursements: Direct loan disbursements	1,258	1,340	1,466
1251	Repayments: Repayments and prepayments	-863	-510	-649
1261	Adjustments: Capitalized interest	6		
1264	Write-offs for default: Other adjustments, net	-28	-28	-33
1290	Outstanding, end of year	3,875	4,677	5,461
CONSOLIDATED				
Position with respect to appropriations act limitation on obligations:				
1131	Direct loan obligations exempt from limitation	7,987	8,704	5,647
1150	Total direct loan obligations	7,987	8,704	5,647
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	16,610	22,526	29,711
1231	Disbursements: Direct loan disbursements	7,402	8,643	5,307
1251	Repayments: Repayments and prepayments	-1,638	-1,377	-1,859
1261	Adjustments: Capitalized interest	231		
1264	Write-offs for default: Other adjustments, net	-79	-81	-101
1290	Outstanding, end of year	22,526	29,711	33,058

Balance Sheet (in millions of dollars)

Identification code 91-4253-0-3-502	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	2,299	603	3,945	4,229
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	58,351	70,313	85,332	95,810
1402 Interest receivable	1,779	2,616	5,481	6,298
1405 Allowance for subsidy cost (-)	2,756	1,739	3,468	3,073
1499 Net present value of assets related to direct loans	62,886	74,668	94,281	105,181
1901 Other Federal assets: Other assets	258	2,497		
1999 Total assets	65,443	77,768	98,226	109,410
LIABILITIES:				
Federal liabilities:				
2101 Accounts payable	96	579	2,406	4,086
2103 Debt	65,347	77,189	95,820	105,324
2999 Total liabilities	65,443	77,768	98,226	109,410
NET POSITION:				
3999 Total net position				
4999 Total liabilities and net position	65,443	77,768	98,226	109,410

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from Direct Loans. The amounts in this account are a means of financing and are not included in the budget totals.

FEDERAL DIRECT STUDENT LOAN FINANCING ACCOUNT

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 91-4253-4-3-502	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Other obligations:			
08.01 Payment of negative subsidy to program account			-5
10.00 Total new obligations			-5
Budgetary resources available for obligation:			
22.00 New financing authority (gross)			-5
23.95 Total new obligations			5
New financing authority (gross), detail:			
Mandatory:			
67.10 Authority to borrow			-5
Change in obligated balances:			
73.10 Total new obligations			-5
73.20 Total financing disbursements (gross)			5
87.00 Total financing disbursements (gross)			-5
Net financing authority and financing disbursements:			
89.00 Financing authority			-5
90.00 Financing disbursements			-5

Balance Sheet (in millions of dollars)

Identification code 91-4253-4-3-502	2000 actual	2001 actual	2002 est.	2003 est.
LIABILITIES:				
2101 Federal liabilities: Accounts payable				-5
2999 Total liabilities				-5
NET POSITION:				
3100 Appropriated capital				5
3999 Total net position				5

These schedules represent the Administration's proposal to expand teacher loan forgiveness. This proposal is described above in the main account narrative.

FEDERAL FAMILY EDUCATION LOAN PROGRAM ACCOUNT

[For Federal administrative expenses to carry out guaranteed student loans authorized by title IV, part B, of the Higher Education Act of 1965, as amended, \$49,636,000.] (Department of Education Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 91-0231-0-1-502	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Guaranteed Loan Net Subsidy	2,726	3,373	3,675
Administrative expenses:			
00.09 Administrative expenses due to limitations	48	49	
10.00 Total new obligations	2,774	3,422	3,675
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	3	
22.00 New budget authority (gross)	3,116	3,830	4,090
22.10 Resources available from recoveries of prior year obligations	1		
22.40 Capital transfer to general fund	-342	-411	-415
23.90 Total budgetary resources available for obligation	2,777	3,422	3,675
23.95 Total new obligations	-2,774	-3,422	-3,675
24.40 Unobligated balance carried forward, end of year	3		

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation (Federal administration)	48	50	
40.71 Reduction pursuant to P.L. 107-116		-1	
43.00 Appropriation (total discretionary)	48	49	
Mandatory:			
60.00 Appropriation	3,068	3,781	4,090
Offsetting collections (cash):			
69.00 Offsetting collections (cash)—downward reestimate	3,526		
69.00 Offsetting collections (cash)—interest on downward reestimate	1,202		
69.27 Capital transfer to general fund	-4,728		
70.00 Total new budget authority (gross)	3,116	3,830	4,090

Change in obligated balances:

72.40 Obligated balance, start of year	1,265	862	952
73.10 Total new obligations	2,774	3,422	3,675
73.20 Total outlays (gross)	-3,176	-3,332	-3,660
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	862	952	967

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	37	37	
86.93 Outlays from discretionary balances	19	29	11
86.97 Outlays from new mandatory authority	1,871	2,409	2,685
86.98 Outlays from mandatory balances	1,249	855	963
87.00 Total outlays (gross)	3,176	3,332	3,660

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-4,728		

Net budget authority and outlays:

89.00 Budget authority	-1,612	3,830	4,090
90.00 Outlays	-1,552	3,332	3,660

Summary of Budget Authority and Outlays

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Enacted/requested:			
Budget Authority	-1,612	3,830	4,090
Outlays	-1,552	3,330	3,659
Legislative proposal, subject to PAYGO:			
Budget Authority			34
Outlays			30
Total:			
Budget Authority	-1,612	3,830	4,124
Outlays	-1,552	3,330	3,689

Credit accounts—Continued

FEDERAL FAMILY EDUCATION LOAN PROGRAM ACCOUNT—Continued

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 91-0231-0-1-502	2001 actual	2002 est.	2003 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Stafford	12,601	14,407	15,408
215002 Unsubsidized Stafford	11,117	12,633	13,569
215003 PLUS	2,985	3,346	3,685
215004 Consolidated	8,002	8,364	6,897
215901 Total loan guarantee levels	34,705	38,750	39,559
Guaranteed loan subsidy (in percent):			
232001 Stafford	18.15	16.40	16.81
232002 Unsubsidized Stafford	4.98	6.18	4.61
232003 PLUS	2.98	4.74	4.67
232004 Consolidated	1.72	5.73	10.19
232901 Weighted average subsidy rate	8.84	9.76	10.34
Guaranteed loan subsidy budget authority:			
233001 Stafford	2,288	2,363	2,590
233002 Unsubsidized Stafford	554	781	626
233003 PLUS	89	159	172
233004 Consolidated	138	479	703
233901 Total subsidy budget authority	3,069	3,782	4,091
Guaranteed loan subsidy outlays:			
234001 Stafford	2,298	2,060	2,218
234002 Unsubsidized Stafford	577	611	583
234003 PLUS	97	117	147
234004 Consolidated	137	478	701
234901 Total subsidy outlays	3,109	3,266	3,649
Guaranteed loan downward reestimate subsidy budget authority:			
237005 Downward reestimate	-4,728		
237901 Total downward reestimate subsidy budget authority	-4,728		
Guaranteed loan downward reestimate subsidy outlays:			
238005 Downward reestimate subsidy outlays	-4,728		
238901 Total downward reestimate subsidy outlays	-4,728		
Administrative expense data:			
351001 Budget authority	48	49	
359001 Outlays	56	67	11

As required by the Federal Credit Reform Act of 1990, this program account records the subsidy costs associated with Federal Family Education Loans (FFEL), formerly guaranteed student loans (GSL), committed in 1992 and beyond, as well as certain administrative expenses of the program. Administrative expenses include discretionary expenses for salaries, expenses and overhead of employees working directly on the program. Consistent with the Administration's proposal to consolidate student aid administrative activities in a single discretionary account, these expenses are not reflected for 2003. For a discussion of this proposal, see the narrative description above in the Student Aid Administration account. Beginning with the 1993 cohort, mandatory administrative costs, specifically contract collection costs, are included in the FFEL subsidy estimates of each year's cohort. Subsidy amounts are estimated on a net present value basis.

A description of the FFEL program and accompanying tables are included under the Federal Direct Student Loan program account.

Object Classification (in millions of dollars)

Identification code 91-0231-0-1-502	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	21	23	
11.3 Other than full-time permanent	1		
11.5 Other personnel compensation	1	1	
11.9 Total personnel compensation	23	24	

12.1 Civilian personnel benefits	5	5	
23.1 Rental payments to GSA	3	3	
23.3 Communications, utilities, and miscellaneous charges	3	2	
25.2 Other services	1	1	
25.3 Other purchases of goods and services from Government accounts	1	1	
25.7 Operation and maintenance of equipment	11	12	
31.0 Equipment	1	1	
41.0 Grants, subsidies, and contributions	2,726	3,373	3,675
99.9 Total new obligations	2,774	3,422	3,675

Personnel Summary

Identification code 91-0231-0-1-502	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	345	326	

**FEDERAL FAMILY EDUCATION LOAN PROGRAM ACCOUNT
(Legislative proposal, subject to PAYGO)**

Program and Financing (in millions of dollars)

Identification code 91-0231-4-1-502	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Guaranteed Loan Net Subsidy			32
10.00 Total new obligations (object class 41.0)			32
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			34
23.95 Total new obligations			-32
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation			34
Change in obligated balances:			
73.10 Total new obligations			32
73.20 Total outlays (gross)			-30
74.40 Obligated balance, end of year			2
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority			30
Net budget authority and outlays:			
89.00 Budget authority			34
90.00 Outlays			30

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 91-0231-4-1-502	2001 actual	2002 est.	2003 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Stafford			
215002 Unsubsidized Stafford			
215003 PLUS			
215004 Consolidated			
215901 Total loan guarantee levels			
Guaranteed loan subsidy (in percent):			
232001 Stafford			0.04
232002 Unsubsidized Stafford			0.03
232003 PLUS			0.00
232004 Consolidated			0.00
232901 Weighted average subsidy rate			0.03
Guaranteed loan subsidy budget authority:			
233001 Stafford			6
233002 Unsubsidized Stafford			4
233003 PLUS			
233004 Consolidated			
233901 Total subsidy budget authority			10
Guaranteed loan subsidy outlays:			
234001 Stafford			4
234002 Unsubsidized Stafford			2
234003 PLUS			

234004 Consolidated			
234901 Total subsidy outlays			6
Guaranteed loan downward reestimate subsidy budget authority:			
237005 Downward reestimate			
237901 Total downward reestimate subsidy budget authority			
Guaranteed loan downward reestimate subsidy outlays:			
238005 Downward reestimate subsidy outlays			
238901 Total downward reestimate subsidy outlays			
Administrative expense data:			
351001 Budget authority			
359001 Outlays			

These schedules represent the Administration's proposal to expand teacher loan forgiveness. This proposal is described above in the main account narrative.

FEDERAL FAMILY EDUCATION LOAN PROGRAM, FINANCING ACCOUNT

Note.—The financing account includes all cash flows to and from the Government from guaranteed student loans committed after 1991.

Program and Financing (in millions of dollars)

Identification code 91-4251-0-3-502	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Stafford loans:			
01.01 Interest benefits	2,557	1,443	1,359
01.02 Special allowance	421	189	408
01.03 Default claims	1,278	1,377	1,531
01.04 Death, disability, and bankruptcy claims	142	138	148
01.07 Contract collection costs	26	19	25
01.08 Loan Processing Fee	29	94	100
01.09 Voluntary flexible Agreement Performance Fee	48		
01.91 Subtotal, Stafford loans	4,501	3,260	3,571
Unsubsidized Stafford loans:			
02.02 Special allowance	275	144	291
02.03 Default claims	644	725	905
02.04 Death, disability, and bankruptcy claims	81	77	89
02.07 Contract collection costs	11	4	6
02.08 Loan Processing Fee	24	82	87
02.09 Voluntary flexible agreement performance fee	21		
02.91 Subtotal, Unsubsidized Stafford loans	1,056	1,032	1,378
PLUS loans:			
03.02 Special allowance	18	19	24
03.03 Default claims	71	176	199
03.04 Death, disability, and bankruptcy claims	46	66	76
03.07 Contract Collection Costs	1	1	1
03.08 Loan Processing Fee	6	22	24
03.09 Voluntary flexible agreement performance fee	7		
03.91 Subtotal, PLUS loans	149	284	324
SLS loans:			
04.02 Special allowance		1	1
04.03 Default claims	75	40	15
04.04 Death, disability and bankruptcy claims	12	7	6
04.07 Contract collection costs	3	5	5
04.09 Voluntary flexible agreement performance fee	2		
04.91 Subtotal, SLS loans	92	53	27
Consolidation loans:			
05.02 Special allowance	129	58	168
05.03 Default claims	453	861	1,016
05.04 Death, disability, and bankruptcy claims	105	151	182
05.07 Contract collection costs	3	4	5
05.09 Voluntary flexible agreement performance fee	23		
05.91 Subtotal, Consolidations loans	713	1,074	1,371
08.02 Downward reestimate	3,526		
08.03 Adjusting payments to liquidating accounts	476		
08.04 Interest on downward reestimate	1,202		
08.91 Direct Program by Activities—Subtotal (1 level)	5,204		

10.00 Total new obligations	11,715	5,703	6,671
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	7,652	4,952	6,117
22.00 New financing authority (gross)	8,271	6,867	7,712
22.10 Resources available from recoveries of prior year obligations	744		
23.90 Total budgetary resources available for obligation	16,667	11,819	13,829
23.95 Total new obligations	-11,715	-5,703	-6,671
24.40 Unobligated balance carried forward, end of year	4,952	6,117	7,158
New financing authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	8,271	6,867	7,712
Change in obligated balances:			
72.40 Obligated balance, start of year	1,868	1,392	677
73.10 Total new obligations	11,715	5,703	6,671
73.20 Total financing disbursements (gross)	-11,448	-6,417	-6,555
73.45 Recoveries of prior year obligations	-744		
74.40 Obligated balance, end of year	1,392	677	792
87.00 Total financing disbursements (gross)	11,448	6,417	6,555

Offsets:

Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
Federal sources:			
Payments from program account:			
88.00 Stafford loans	-2,298	-2,060	-2,218
88.00 Unsubsidized Stafford	-577	-611	-583
88.00 PLUS loans	-97	-117	-147
88.00 Consolidated loans	-137	-478	-701
88.25 Interest on uninvested funds	-461	-395	-447
Non-Federal sources:			
Student Loans: Stafford, Unsubsidized Stafford, PLUS, SLS & Consolidation:			
88.40 Stafford recoveries on defaults	-1,998	-1,071	-1,192
88.40 Stafford origination fees	-404	-435	-466
88.40 Stafford Sallie Mae offset fees	-27	-27	-24
88.40 Other fees	-188		
88.40 Unsubsidized Stafford recoveries on defaults	-803	-289	-363
88.40 Unsubsidized Stafford origination fees	-332	-373	-400
88.40 Unsubsidized Stafford Sallie Mae offset fees	-17	-18	-18
88.40 PLUS recoveries on defaults	-65	-60	-73
88.40 PLUS origination fees	-96	-99	-109
88.40 PLUS Sallie Mae offset fees	-6	-6	-6
88.40 SLS recoveries on defaults	-207	-94	-93
88.40 SLS Sallie Mae offset fees	-1		
88.40 Other fees	-2		
88.40 Consolidation recoveries on defaults	-240	-307	-411
88.40 Consolidation origination fees	-28	-41	-34
88.40 Consolidated loan holders fee	-287	-386	-427
88.90 Total, offsetting collections (cash)	-8,271	-6,867	-7,712

Net financing authority and financing disbursements:

89.00 Financing authority			
90.00 Financing disbursements	3,177	-450	-1,157

Status of Guaranteed Loans (in millions of dollars)

Identification code 91-4251-0-3-502	2001 actual	2002 est.	2003 est.
STAFFORD			
Position with respect to appropriations act limitation on commitments:			
2131 Guaranteed loan commitments exempt from limitation	12,601	14,407	15,408
2150 Total guaranteed loan commitments	12,601	14,407	15,408
2199 Guaranteed amount of guaranteed loan commitments	12,601	14,407	15,408
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	63,723	69,103	70,587
2231 Disbursements of new guaranteed loans	11,337	12,435	13,297
2251 Repayments and prepayments	-4,450	-9,428	-10,560
Adjustments:			
2261 Terminations for default that result in loans receivable	-1,365	-1,385	-1,534
2263 Terminations for default that result in claim payments	-142	-138	-148
2290 Outstanding, end of year	69,103	70,587	71,642

Credit accounts—Continued

**FEDERAL FAMILY EDUCATION LOAN PROGRAM, FINANCING
ACCOUNT—Continued**

Status of Guaranteed Loans (in millions of dollars)—Continued

Identification code 91-4251-0-3-502	2001 actual	2002 est.	2003 est.
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	69,103	70,587	71,642
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	2,649	2,498	2,782
2331 Disbursements for guaranteed loan claims	1,365	1,385	1,534
2351 Repayments of loans receivable	-1,241	-1,071	-1,192
2361 Write-offs of loans receivable	-30	-30	-34
2364 Other adjustments, net	-245		
2390 Outstanding, end of year	2,498	2,782	3,090
UNSUBSIDIZED STAFFORD			
Position with respect to appropriations act limitation on commitments:			
2131 Guaranteed loan commitments exempt from limitation	11,117	12,633	13,569
2150 Total guaranteed loan commitments	11,117	12,633	13,569
2199 Guaranteed amount of guaranteed loan commitments	11,117	12,633	13,569
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	36,718	41,838	46,790
2231 Disbursements of new guaranteed loans	9,624	10,651	11,438
2251 Repayments and prepayments	-3,736	-4,897	-6,196
Adjustments:			
2261 Terminations for default that result in loans receivable	-687	-725	-893
2263 Terminations for default that result in claim payments	-81	-77	-89
2290 Outstanding, end of year	41,838	46,790	51,050
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	41,838	46,790	51,050
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	901	922	1,337
2331 Disbursements for guaranteed loan claims	687	725	893
2351 Repayments of loans receivable	-490	-289	-363
2361 Write-offs of loans receivable	-17	-21	-29
2364 Other adjustments, net	-159		
2390 Outstanding, end of year	922	1,337	1,838
PLUS			
Position with respect to appropriations act limitation on commitments:			
2131 Guaranteed loan commitments exempt from limitation	2,985	3,346	3,685
2150 Total guaranteed loan commitments	2,985	3,346	3,685
2199 Guaranteed amount of guaranteed loan commitments	2,985	3,346	3,685
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	8,131	9,626	10,519
2231 Disbursements of new guaranteed loans	2,621	2,834	3,120
2251 Repayments and prepayments	-1,004	-1,712	-1,912
Adjustments:			
2261 Terminations for default that result in loans receivable	-76	-163	-199
2263 Terminations for default that result in claim payments	-46	-66	-76
2290 Outstanding, end of year	9,626	10,519	11,452
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	9,626	10,519	11,452
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	196	189	280

2331 Disbursements for guaranteed loan claims	76	163	199	
2351 Repayments of loans receivable	-39	-60	-73	
2361 Write-offs of loans receivable	-10	-12	-17	
2364 Other adjustments, net	-34			
2390 Outstanding, end of year	189	280	389	
SLS				
Cumulative balance of guaranteed loans outstanding:				
2210 Outstanding, start of year	2,200	1,856	1,576	
2251 Repayments and prepayments	-252	-227	-204	
Adjustments:				
2261 Terminations for default that result in loans receivable	-80	-46	-19	
2263 Terminations for default that result in claim payments	-12	-7	-6	
2290 Outstanding, end of year	1,856	1,576	1,347	
Memorandum:				
2299 Guaranteed amount of guaranteed loans outstanding, end of year	1,856	1,576	1,347	
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310 Outstanding, start of year	674	614	564	
2331 Disbursements for guaranteed loan claims	80	46	19	
2351 Repayments of loans receivable	-131	-94	-93	
2361 Write-offs of loans receivable	-2	-2	-2	
2364 Other adjustments, net	-7			
2390 Outstanding, end of year	614	564	488	
CONSOLIDATED				
Position with respect to appropriations act limitation on commitments:				
2131 Guaranteed loan commitments exempt from limitation	8,002	8,364	6,897	
2150 Total guaranteed loan commitments	8,002	8,364	6,897	
2199 Guaranteed amount of guaranteed loan commitments	8,002	8,364	6,897	
Cumulative balance of guaranteed loans outstanding:				
2210 Outstanding, start of year	28,162	32,384	37,316	
2231 Disbursements of new guaranteed loans	6,955	8,335	6,877	
2251 Repayments and prepayments	-2,144	-2,438	-3,053	
Adjustments:				
2261 Terminations for default that result in loans receivable	-484	-814	-1,010	
2263 Terminations for default that result in claim payments	-105	-151	-182	
2290 Outstanding, end of year	32,384	37,316	39,948	
Memorandum:				
2299 Guaranteed amount of guaranteed loans outstanding, end of year	32,384	37,316	39,948	
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310 Outstanding, start of year	922	1,116	1,855	
2331 Disbursements for guaranteed loan claims	484	814	1,010	
2351 Repayments of loans receivable	-139	-42	-411	
2361 Write-offs of loans receivable	-22	-33	-43	
2364 Other adjustments, net	-129			
2390 Outstanding, end of year	1,116	1,855	2,411	
Balance Sheet (in millions of dollars)				
Identification code 91-4251-0-3-502	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	9,741	6,531	6,117	7,158
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:				
1501 Defaulted guaranteed loans receivable, gross	5,342	5,339	6,816	8,215
1502 Interest receivable	1,189	1,287	1,390	1,501
1505 Allowance for subsidy cost (-)	1,503	1,830	2,233	2,724
1599 Net present value of assets related to defaulted guaranteed loans	8,034	8,456	10,439	12,440
1901 Other Federal assets: Other assets	2	336		

1999	Total assets	17,777	15,323	16,556	19,598
LIABILITIES:					
2101	Federal liabilities: Accounts payable	4,553	2,827	4,685	8,321
2204	Non-Federal liabilities: Liabilities for loan guarantees	13,224	12,496	11,871	11,277
2999	Total liabilities	17,777	15,323	16,556	19,598
4999	Total liabilities and net position	17,777	15,323	16,556	19,598

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from Federal Family Education Loans (FFEL), formerly guaranteed student loans (GSL), committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

FEDERAL FAMILY EDUCATION LOAN PROGRAM FINANCING ACCOUNT
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 91-4251-4-3-502	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
22.00	New financing authority (gross)		6
24.40	Unobligated balance carried forward, end of year		6
New financing authority (gross), detail:			
Mandatory:			
69.00	Offsetting collections (cash)		6
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
Payments from program account:			
Federal sources:			
88.00	Stafford loans		-4
88.00	Unsubsidized Stafford		-2
88.90	Total, offsetting collections (cash)		-6
Net financing authority and financing disbursements:			
89.00	Financing authority		
90.00	Financing disbursements		-6

Balance Sheet (in millions of dollars)

Identification code 91-4251-4-3-502	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101	Federal assets: Fund balances with Treasury			6
1999	Total assets			6
LIABILITIES:				
2101	Federal liabilities: Accounts payable			6
2999	Total liabilities			6
4999	Total liabilities and net position			6

These schedules represent the Administration's proposal to increase teacher loan forgiveness. This proposal is described above in the main account narrative.

FEDERAL FAMILY EDUCATION LOAN LIQUIDATING ACCOUNT

Note.—The following tables display the liquidating account, which includes all cash flows to and from the Government from guaranteed student loan commitments prior to 1992.

Program and Financing (in millions of dollars)

Identification code 91-0230-0-1-502	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Stafford loans:				
01.01	Interest benefits, net of origination fees	19	15	14

01.02	Special allowance net of origination fees	45	5	12
01.03	Default claims	156	29	12
01.04	Death, disability, and bankruptcy claims	41	27	20
01.07	Contract collection costs	35	45	40
01.91	Subtotal, Stafford loans	296	121	98
PLUS/SLS loans:				
02.01	Default claims	30	4	2
02.02	Death, disability, and bankruptcy claims	7	10	8
02.05	Contract collection costs	8	12	9
02.91	Subtotal, PLUS/SLS loans	45	26	19
10.00	Total new obligations	341	147	117

Budgetary resources available for obligation:

21.40	Unobligated balance carried forward, start of year	521	146	
22.00	New budget authority (gross)	437	147	117
22.10	Resources available from recoveries of prior year obligations	49		
22.40	Capital transfer to general fund	-521	-146	
23.90	Total budgetary resources available for obligation	486	147	117
23.95	Total new obligations	-341	-147	-117
24.40	Unobligated balance carried forward, end of year	146		

New budget authority (gross), detail:

Mandatory:				
69.00	Offsetting collections (cash)	1,501	892	742
69.27	Capital transfer to general fund	-1,064	-745	-625
69.90	Spending authority from offsetting collections (total mandatory)	437	147	117

Change in obligated balances:

72.40	Obligated balance, start of year	422	65	
73.10	Total new obligations	341	147	117
73.20	Total outlays (gross)	-649	-212	-117
73.45	Recoveries of prior year obligations	-49		
74.40	Obligated balance, end of year	65		

Outlays (gross), detail:

86.97	Outlays from new mandatory authority	227	147	117
86.98	Outlays from mandatory balances	422	65	
87.00	Total outlays (gross)	649	212	117

Offsets:

Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
Federal sources				
88.00	Federal student loans:			
Non-Federal sources:				
88.40	Fed collections on defaulted loans, Stafford	-356	-260	-229
88.40	Federal collections on bankruptcies, Stafford	-4	-2	-2
88.40	Offsets against Federal tax refunds, Stafford	-173	-241	-204
88.40	Reimbursements from guaranty agencies, Stafford	-335	-230	-179
88.40	Sallie Mae Offset Fee	-1		
88.40	Federal collections on defaulted loans, PLUS/SLS	-80	-67	-56
88.40	Federal collections on bankruptcies, PLUS/SLS	-1	-1	
88.40	Offsets against Federal tax refunds, PLUS/SLS	-21	-56	-45
88.40	Reimbursements from guaranty agencies, PLUS/SLS	-54	-35	-27
88.90	Total, offsetting collections (cash)	-1,501	-892	-742

Net budget authority and outlays:

89.00	Budget authority	-1,064	-745	-625
90.00	Outlays	-852	-680	-625

Status of Guaranteed Loans (in millions of dollars)

Identification code 91-0230-0-1-502	2001 actual	2002 est.	2003 est.	
STAFFORD LOANS				
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	5,481	3,612	1,812
2251	Repayments and prepayments	-1,512	-1,713	-979
Adjustments:				
2261	Terminations for default that result in loans receivable	-316	-50	-15

Credit accounts—Continued

**FEDERAL FAMILY EDUCATION LOAN LIQUIDATING ACCOUNT—
Continued**

Status of Guaranteed Loans (in millions of dollars)—Continued

Identification code 91-0230-0-1-502	2001 actual	2002 est.	2003 est.
2263 Terminations for default that result in claim payments	-41	-37	-28
2290 Outstanding, end of year	3,612	1,812	790
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	3,612	1,812	790
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	12,835	12,094	11,493
2331 Disbursements for guaranteed loan claims	316	50	15
2351 Repayments of loans receivable	-628	-419	-344
2361 Write-offs of loans receivable	-183	-173	-164
2364 Other adjustments, net	-246	-59	-46
2390 Outstanding, end of year	12,094	11,493	10,954
PLUS/SLS LOANS			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	1,042	881	760
2251 Repayments and prepayments	-93	-103	-103
Adjustments:			
2261 Terminations for default that result in loans receivable	-61	-8	-2
2263 Terminations for default that result in claim payments	-7	-10	-8
2290 Outstanding, end of year	881	760	647
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	881	760	647
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	2,151	2,026	1,921
2331 Disbursements for guaranteed loan claims	61	8	2
2351 Repayments of loans receivable	-113	-75	-60
2361 Write-offs of loans receivable	-32	-30	-29
2364 Other adjustments, net	-41	-8	-6
2390 Outstanding, end of year	2,026	1,921	1,828

Statement of Operations (in millions of dollars)

Identification code 91-0230-0-1-502	2000 actual	2001 actual	2002 est.	2003 est.
Stafford:				
0111 Revenue	1,711	868	733	613
0112 Expense	-221	-296	-122	-98
0115 Net income or loss (-)	1,490	572	611	515
PLUS/SLS:				
0121 Revenue	307	156	159	129
0122 Expense	-30	-45	-25	-19
0125 Net income or loss (-)	277	111	134	110
0191 Total revenues	2,018	1,024	892	742
0192 Total expenses	-251	-341	-147	-117
0195 Total income or loss (-)	1,767	683	745	625
0199 Total comprehensive income	1,767	683	745	625

Balance Sheet (in millions of dollars)

Identification code 91-0230-0-1-502	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	889	191		

Identification code 91-0230-0-1-502	2001 actual	2002 est.	2003 est.	
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1701 Defaulted guaranteed loans, gross	15,026	14,160	13,310	
1702 Interest receivable	2,007	1,740	1,514	
1703 Allowance for estimated uncollectible loans and interest (-)	-14,087	-14,533	-14,200	
1704 Defaulted guaranteed loans and interest receivable, net	2,946	1,367	624	
1799 Value of assets related to loan guarantees	2,946	1,367	624	
1901 Other Federal assets: Other assets	754	62		
1999 Total assets	4,589	1,620	624	
LIABILITIES:				
Non-Federal liabilities:				
2201 Accounts payable	246	8		
2204 Liabilities for loan guarantees	4,343	1,612	624	
2999 Total liabilities	4,589	1,620	624	
NET POSITION:				
3999 Total net position				
4999 Total liabilities and net position	4,589	1,620	624	

¹ Excludes interest and premium collections on insured loans.

As required by the Federal Credit Reform Act of 1990, this liquidating account records, for this program, all cash flows to and from the Government resulting from guaranteed student loans committed prior to 1992. This account is shown on a cash basis. All new loan activity in this program for 1992 and beyond is recorded in corresponding program and financing accounts.

Object Classification (in millions of dollars)

Identification code 91-0230-0-1-502	2001 actual	2002 est.	2003 est.
25.2 Other services	43	57	49
33.0 Investments and loans	185	32	14
41.0 Grants, subsidies, and contributions	64	21	26
42.0 Insurance claims and indemnities	49	37	28
99.9 Total new obligations	341	147	117

OFFICE OF EDUCATIONAL RESEARCH AND IMPROVEMENT

Federal Funds

General and special funds:

EDUCATION RESEARCH, STATISTICS, AND ASSESSMENT

[For carrying out activities authorized by the Educational Research, Development, Dissemination, and Improvement Act of 1994, including part E; the National Education Statistics Act of 1994, including sections 411 and 412; section 4 of the No Child Left Behind Act of 2001; and title VI, part A of the Elementary and Secondary Education Act, \$443,870,000: *Provided*, That \$58,000,000 of the amount available for the national education research institutes shall be allocated notwithstanding section 912(m)(1)(B-F) and subparagraphs (B) and (C) of section 931(c)(2) of Public Law 103-227.] (*Department of Education Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 91-1100-0-1-503	2001 actual	2002 est.	2003 est.
Direct program:			
Obligations by program activity:			
Research, development and dissemination:			
00.01 Research and dissemination	120	122	
00.02 Regional educational laboratories	65	67	
00.03 Statistics	80	85	
00.04 Assessment	40	112	
00.05 Eisenhower professional development Federal activities	23		
00.06 Fund for the Improvement of Education	340	1	
00.07 Multi-year grants and contracts		58	

00.08	Javits gifted and talented education	8		
00.09	Eisenhower regional mathematics and science education consortia	15		
00.10	National writing project	10		
00.11	Civic education	12		
00.12	International education exchange	10		
01.00	Total direct program	723	445	
09.01	Reimbursable program	5	5	
10.00	Total new obligations	728	450	

Budgetary resources available for obligation:

21.00	Unobligated balance carried forward, start of year	2	1	
22.00	New budget authority (gross)	727	449	
23.90	Total budgetary resources available for obligation	729	450	
23.95	Total new obligations	-728	-450	
24.00	Unobligated balance carried forward, end of year	1		

New budget authority (gross), detail:

Discretionary:				
40.00	Appropriation	733	444	
40.75	Reduction pursuant to P.L. 106-554 (Labor/HHS)	-1		
41.00	Transferred to other accounts	-10		
43.00	Appropriation (total discretionary)	722	444	
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	5	5	
70.00	Total new budget authority (gross)	727	449	

Change in obligated balances:

72.40	Obligated balance, start of year	720	876	502
73.10	Total new obligations	728	450	
73.20	Total outlays (gross)	-570	-824	-404
73.40	Adjustments in expired accounts (net)	-2		
74.40	Obligated balance, end of year	876	502	98

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	132	107	
86.93	Outlays from discretionary balances	438	717	404
87.00	Total outlays (gross)	570	824	404

Offsets:

Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-5	-5	

Net budget authority and outlays:

89.00	Budget authority	722	444	
90.00	Outlays	565	819	404

Summary of Budget Authority and Outlays

(in millions of dollars)

Enacted/requested:	2001 actual	2002 est.	2003 est.
Budget Authority	722	444	
Outlays	565	819	404
Legislative proposal, not subject to PAYGO:			
Budget Authority			433
Outlays			107
Total:			
Budget Authority	722	444	433
Outlays	565	819	511

The Administration will propose legislation reauthorizing the research, statistics, and assessment activities carried out by the Office of Educational Research and Improvement. When new authorizing legislation is enacted, resources for these programs will be requested. See the "Legislative proposal, not subject to PAYGO" schedule for additional details.

Object Classification (in millions of dollars)

Identification code 91-1100-0-1-503	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent	1	1
11.3	Other than full-time permanent	1	1
11.9	Total personnel compensation	2	2
24.0	Printing and reproduction	2	2

25.1	Advisory and assistance services	2	2
25.2	Other services	81	123
25.3	Other purchases of goods and services from Government accounts	36	36
25.5	Research and development contracts	98	98
25.7	Operation and maintenance of equipment	6	6
31.0	Equipment	2	2
41.0	Grants, subsidies, and contributions	494	174
99.0	Direct obligations	723	445
99.0	Reimbursable obligations	5	5
99.9	Total new obligations	728	450

Personnel Summary

Identification code 91-1100-0-1-503	2001 actual	2002 est.	2003 est.
1001	Total compensable workyears: Full-time equivalent employment	13	15

EDUCATION RESEARCH, STATISTICS, AND ASSESSMENT
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 91-1100-2-1-503	2001 actual	2002 est.	2003 est.
Direct program:			
Obligations by program activity:			
Research, development and dissemination:			
00.01	Research and dissemination		175
00.02	Regional educational laboratories		68
00.03	Statistics		95
00.04	Assessment		95
01.00	Total direct program		433
09.01	Reimbursable program		5
10.00	Total new obligations		438

Budgetary resources available for obligation:

22.00	New budget authority (gross)		438
23.95	Total new obligations		-438

New budget authority (gross), detail:

Discretionary:			
40.00	Appropriation		433
68.00	Spending authority from offsetting collections: Offsetting collections (cash)		5
70.00	Total new budget authority (gross)		438

Change in obligated balances:

73.10	Total new obligations		438
73.20	Total outlays (gross)		-112
74.40	Obligated balance, end of year		326

Outlays (gross), detail:

86.90	Outlays from new discretionary authority		112
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Offsets:

Against gross budget authority and outlays:			
88.00	Offsetting collections (cash) from: Federal sources		-5

Net budget authority and outlays:

89.00	Budget authority		433
90.00	Outlays		107

Research and dissemination.—The Administration's proposal will address needed improvements in the quality and relevance of the Department's research activities. Funds will support a diverse portfolio of directed research, field-initiated studies, research and development centers, and interagency initiatives. New research on reading comprehension, early childhood education, and learning and cognition will expand the understanding of how children learn. Funds also will support fellowships for doctoral students to increase the nation's educational research capacity and dissemination activities that provide parents, teachers, and schools with valid information on effective educational practice.

General and special funds—Continued

EDUCATION RESEARCH, STATISTICS, AND ASSESSMENT—Continued

Regional educational laboratories.—Funds will be used to continue current contracts for the network of regional laboratories. The laboratories conduct applied research and development, dissemination, and technical assistance activities to address needs in their regions.

Statistics.—Funds support the Department’s statistical data collection activities, which are conducted by the National Center for Education Statistics (NCES). NCES collects, analyzes, and disseminates statistics on education at all levels, from preschool through postsecondary and adult education, including statistics on international education activities.

Assessment.—Funds support the National Assessment of Educational Progress (NAEP). NAEP administers assessments to samples of students in order to gather reliable information about educational attainment in important academic areas. Funds support collection and reporting of national, State, and long-term trend information, including the conduct of biennial State NAEP in reading and mathematics at grades 4 and 8.

Object Classification (in millions of dollars)

Identification code 91–1100–2–1–503	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent			1
11.3 Other than full-time permanent			1
11.9 Total personnel compensation			2
24.0 Printing and reproduction			2
25.1 Advisory and assistance services			2
25.2 Other services			167
25.3 Other purchases of goods and services from Government accounts			36
25.5 Research and development contracts			98
25.7 Operation and maintenance of equipment			6
31.0 Equipment			2
41.0 Grants, subsidies, and contributions			118
99.0 Direct obligations			433
99.0 Reimbursable obligations			5
99.9 Total new obligations			438

Personnel Summary

Identification code 91–1100–2–1–503	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment			15

DEPARTMENTAL MANAGEMENT

Federal Funds

General and special funds:

PROGRAM ADMINISTRATION

For carrying out, to the extent not otherwise provided, the Department of Education Organization Act, including rental of conference rooms in the District of Columbia and hire of [two] three passenger motor vehicles, **[\$424,212,000] \$423,291,000, of which \$12,795,000, to remain available until expended, shall be for building alterations and related expenses for the modernization of the Mary E. Switzer Building in Washington, D.C. (Department of Education Appropriations Act, 2002; additional authorizing legislation required.)**

Program and Financing (in millions of dollars)

Identification code 91–0800–0–1–503	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Program administration	423	434	423
09.01 Reimbursable program	2	2	2

10.00	Total new obligations	425	436	425
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	425	436	425
23.95	Total new obligations	–425	–436	–425
23.98	Unobligated balance expiring or withdrawn	–1		

New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	424	435	423
40.71	Reduction pursuant to P.L. 107–116		–1	
40.75	Reduction pursuant to P.L. 106–554 (Labor/HHS)	–1		
43.00	Appropriation (total discretionary)	423	434	423
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	2	2	2
70.00	Total new budget authority (gross)	425	436	425

Change in obligated balances:				
72.40	Obligated balance, start of year	151	133	116
73.10	Total new obligations	425	436	425
73.20	Total outlays (gross)	–449	–453	–410
73.40	Adjustments in expired accounts (net)	6		
74.40	Obligated balance, end of year	133	116	130

Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	328	336	325
86.93	Outlays from discretionary balances	120	117	85
86.98	Outlays from mandatory balances	1		
87.00	Total outlays (gross)	449	453	410

Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	–2	–2	–2

Net budget authority and outlays:				
89.00	Budget authority	423	434	423
90.00	Outlays	447	451	408

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	412	423	412
90.00	Outlays	436	440	397

The Program Administration account includes the direct Federal costs of providing grants and administering elementary and secondary education, bilingual education, Indian education, higher education, vocational and adult education, and special education programs, and programs for persons with disabilities. It also supports assessment, statistics, and research activities.

In addition, this account includes the cost of providing centralized support and administrative services, overall policy development, and strategic planning for the Department. Included in the centralized activities are rent and mail services; telecommunications; contractual services; financial management and accounting, including payments to schools, education agencies and other grant recipients, and preparation of auditable financial statements; information technology services; personnel management; personnel security; budget formulation and execution; program evaluation; legal services; congressional and public relations; and intergovernmental affairs.

Included in this account is the Department of Education’s portion of costs to modernize the Mary E. Switzer building, including costs for building renovations, furniture, information technology equipment and services, and telecommunications, to remain available until expended. The additional funds required for the modernization will be provided by the General Services Administration. This account also provided funds for the renovation of the Department’s main headquarters building which was completed in 1998.

Also included in this account are contributions from the public. Activities supported include Presidential Scholars, Satellite Town Meetings, School Recognition, and the Gifts and Bequests Miscellaneous Fund.

Reimbursable program.—Reimbursements to this account are for providing administrative services to other agencies, recycling activities, and in-kind travel.

Note.—Excludes \$54 million in budget authority in 2003 for activities transferred to the Student Aid Administration account. Comparable amounts for 2001 (\$58 million) and 2002 (\$59 million) are included above.

Object Classification (in millions of dollars)

Identification code 91-0800-0-1-503	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	164	193	183
11.3 Other than full-time permanent	20	10	10
11.5 Other personnel compensation	5	5	4
11.9 Total personnel compensation	189	208	197
12.1 Civilian personnel benefits	50	56	55
21.0 Travel and transportation of persons	6	5	6
23.1 Rental payments to GSA	34	35	32
23.3 Communications, utilities, and miscellaneous charges	12	14	15
24.0 Printing and reproduction	2	3	3
25.1 Advisory and assistance services	6	4	5
25.2 Other services	21	21	20
25.3 Other purchases of goods and services from Government accounts	11	8	8
25.7 Operation and maintenance of equipment	76	66	60
26.0 Supplies and materials	2	3	3
31.0 Equipment	11	9	16
32.0 Land and structures	2	2	3
99.0 Direct obligations	422	434	423
99.0 Reimbursable obligations	2	2	2
99.5 Below reporting threshold	1		
99.9 Total new obligations	425	436	425

Personnel Summary

Identification code 91-0800-0-1-503	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	2,581	2,709	2,476

OFFICE FOR CIVIL RIGHTS

For expenses necessary for the Office for Civil Rights, as authorized by section 203 of the Department of Education Organization Act, **[\$79,934,000] \$89,710,000.** (*Department of Education Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 91-0700-0-1-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Civil rights	79	83	89
10.00 Total new obligations	79	83	89
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	79	83	89
23.95 Total new obligations	-79	-83	-89
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	79	83	89
Change in obligated balances:			
72.40 Obligated balance, start of year	23	17	15
73.10 Total new obligations	79	83	89
73.20 Total outlays (gross)	-83	-85	-86
73.40 Adjustments in expired accounts (net)	-1		
74.40 Obligated balance, end of year	17	15	18
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	67	70	73

86.93 Outlays from discretionary balances	16	15	12
87.00 Total outlays (gross)	83	85	86
Net budget authority and outlays:			
89.00 Budget authority	79	83	89
90.00 Outlays	83	85	86

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	76	80	86
90.00 Outlays	80	82	83

The Office for Civil Rights is responsible for ensuring that no person is unlawfully discriminated against on the basis of race, color, national origin, sex, disability, or age in the delivery of services or the provision of benefits in programs or activities of schools and institutions receiving financial assistance from the Department of Education. The authorities under which the Office for Civil Rights operates are Title VI of the Civil Rights Act of 1964 (racial and ethnic discrimination), Title IX of the Education Amendments of 1972 (sex discrimination), section 504 of the Rehabilitation Act of 1973 (discrimination against individuals with a disability), the Age Discrimination Act of 1975, and the Americans with Disabilities Act of 1990.

Object Classification (in millions of dollars)

Identification code 91-0700-0-1-751	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	44	48	49
11.3 Other than full-time permanent	2	2	2
11.9 Total personnel compensation	46	50	51
12.1 Civilian personnel benefits	13	14	15
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	6	6	6
23.3 Communications, utilities, and miscellaneous charges	2	2	2
25.2 Other services	2	1	1
25.3 Other purchases of goods and services from Government accounts	2	1	1
25.7 Operation and maintenance of equipment	6	5	8
31.0 Equipment	6	2	3
99.0 Direct obligations	78	82	88
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	79	83	89

Personnel Summary

Identification code 91-0700-0-1-751	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	696	718	714

OFFICE OF THE INSPECTOR GENERAL

For expenses necessary for the Office of the Inspector General, as authorized by section 212 of the Department of Education Organization Act, **[\$38,720,000] \$42,361,000.** (*Department of Education Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 91-1400-0-1-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Inspector General	37	40	42
10.00 Total new obligations	37	40	42

General and special funds—Continued

OFFICE OF THE INSPECTOR GENERAL—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 91-1400-0-1-751	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	37	40	42
23.95 Total new obligations	-37	-40	-42
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	37	40	42
Change in obligated balances:			
72.40 Obligated balance, start of year	10	9	10
73.10 Total new obligations	37	40	42
73.20 Total outlays (gross)	-37	-39	-39
73.40 Adjustments in expired accounts (net)	-1		
74.40 Obligated balance, end of year	9	10	13
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	31	33	34
86.93 Outlays from discretionary balances	6	8	6
87.00 Total outlays (gross)	37	39	39
Net budget authority and outlays:			
89.00 Budget authority	37	40	42
90.00 Outlays	37	39	39

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	36	39	41
90.00 Outlays	36	38	38

The Inspector General is responsible for the quality, coverage, and coordination of audit and investigation functions relating to Federal education activities. The Inspector General has the authority to inquire into all activities of the Department including those performed under Federal education contracts, grants, or other agreements. Under the Chief Financial Officers Act of 1990, the Inspector General is also responsible for internal reviews of the Department's financial systems and audits of its financial statements.

Object Classification (in millions of dollars)

Identification code 91-1400-0-1-751	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	17	20	21
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1		
11.9 Total personnel compensation	19	21	22
12.1 Civilian personnel benefits	6	6	6
21.0 Travel and transportation of persons	2	2	2
23.1 Rental payments to GSA	2	3	3
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services	2	2	2
25.2 Other services	1	1	1
25.3 Other purchases of goods and services from Government accounts	1	1	2
25.7 Operation and maintenance of equipment	2	2	2
31.0 Equipment	1	1	1
99.9 Total new obligations	37	40	42

Personnel Summary

Identification code 91-1400-0-1-751	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	275	285	285

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Offsetting receipts from the public:			
91-274130 College housing and academic facilities loan, downward reestimates of subsidies	2		
91-291500 Repayment of loans, capital contributions, higher education activities	62	50	50
General Fund Offsetting receipts from the public	64	50	50

GENERAL PROVISIONS

SEC. 301. No funds appropriated in this Act may be used for the transportation of students or teachers (or for the purchase of equipment for such transportation) in order to overcome racial imbalance in any school or school system, or for the transportation of students or teachers (or for the purchase of equipment for such transportation) in order to carry out a plan of racial desegregation of any school or school system.

SEC. 302. None of the funds contained in this Act shall be used to require, directly or indirectly, the transportation of any student to a school other than the school which is nearest the student's home, except for a student requiring special education, to the school offering such special education, in order to comply with title VI of the Civil Rights Act of 1964. For the purpose of this section an indirect requirement of transportation of students includes the transportation of students to carry out a plan involving the reorganization of the grade structure of schools, the pairing of schools, or the clustering of schools, or any combination of grade restructuring, pairing or clustering. The prohibition described in this section does not include the establishment of magnet schools.

SEC. 303. No funds appropriated under this Act may be used to prevent the implementation of programs of voluntary prayer and meditation in the public schools.

(TRANSFER OF FUNDS)

SEC. 304. Not to exceed [1] 3 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985, as amended) which are appropriated for the Department of Education in this Act may be transferred between appropriations, but no such appropriation shall be increased by more than [3] 10 percent by any such transfer: *Provided*, That the Appropriations Committees of both Houses of Congress are notified at least 15 days in advance of any transfer.

[SEC. 305. (a) Section 1543(a) of the Higher Education Amendments of 1992 (20 U.S.C. 1070 note) is amended by striking paragraph (2) and inserting the following:

“(2) AWARD DETERMINATION.—The amount of the financial assistance provided to an athlete described in paragraph (1) shall be determined in accordance with criteria, and in amounts, specified in the application of the center under subsection (c). Such assistance shall not exceed the athlete's cost of attendance as determined under section 472 of the Higher Education Act of 1965 (20 U.S.C. 1087ll).

“(3) INFORMATION ON DISTRIBUTION OF ASSISTANCE.—Each center providing such assistance shall annually report to the Secretary such information as the Secretary may reasonably require on the distribution of such assistance among athletes and institutions of higher education. The Secretary shall compile such reports and submit them to the Committees on Education and the Workforce and Appropriations of the House of Representatives and the Committees on Health, Education, Labor, and Pensions and Appropriations of the Senate.”

(b) The amendments made by subsection (a) shall apply with respect to any funds appropriated pursuant to section 1543(d) of the Higher Education Amendments of 1992, including funds appropriated pursuant to that section in fiscal years 2000 and 2001, that are

available for financial assistance under section 1543 on or after the date of enactment of this Act.】

【SEC. 306. (a) Notwithstanding sections 413D, 442, and 488 of the Higher Education Act of 1965, the Secretary of Education may reallocate, from funds made available under the heading “Student Financial Assistance” to carry out part C of title IV of that Act, excess allocations for fiscal year 2002 in an amount not to exceed \$1,000,000 in the aggregate to institutions of higher education described in subsection (b) for the purposes described in subsection (c). The reallocation to each such institution shall be made in accordance with subsection (d). Such excess allocations shall remain available for obligation until March 31, 2004.

(b) An institution of higher education may receive a reallocation under subsection (a) if the institution—

(1) is, on the date of enactment of this Act, participating in the Federal Supplemental Educational Opportunity Grant and Federal Work Study programs under subpart 3 of part A, and part C of title IV of that Act, respectively;

(2) initially began participating in both such programs during or after 1989, but not later than 1999;

(3) has a current enrollment of not less than 2,000 students;

(4) provides educational programs for which the institution awards baccalaureate and graduate degrees;

(5) has experienced an actual enrollment increase of 75 percent or more since the institution began participating in such programs; and

(6) charged, for academic year 2000–2001, in-State tuition and fees for a full-time undergraduate student that were less than such tuition and fees charged by the institution for academic year 1998–1999.

(c) An institution of higher education that receives a reallocation under subsection (a) may use that reallocation for Federal Supplemental Educational Opportunity Grants or Federal Work Study awards.

(d)(1) A reallocation made under subsection (a) to an institution described in subsection (b) shall be determined by calculating the difference between—

(A) the amount (commonly referred to as the “base guarantee”) that the institution received under section 413D(a) or 442(a) of that Act, as the case may be; and

(B) the amount that the institution would receive pursuant to section 413D(a)(2)(B)(ii) or 442(a)(2)(B)(ii) of that Act, as the case may be, if the institution were beginning its program participation in the 2002–2003 academic year.

(2) If the amounts available for reallocation under subsection (a) are insufficient to fully fund the amounts determined under paragraph (1) of this subsection to each institution described in subsection (b), then the amount to be reallocated to each such institution shall be ratably reduced.

(e) The Secretary may use such data as he determines appropriate in order to carry out this section.】

【SEC. 307. If this Act is enacted before H.R. 1, the No Child Left Behind Act of 2001, is enacted, then references to the Elementary and Secondary Education Act of 1965 or to any other Acts that would be amended by H.R. 1 shall be read to be references to those Acts as they would be amended by H.R. 1 (including amendments made by H. Con. Res. 289, as passed by the House and the Senate).】

SEC. 305. (a) *DISCRETIONARY FUNDING.*—Section 458(a)(1) of the Higher Education Act of 1965 (20 U.S.C. 1087h(a)(1)), is amended—

(1) in the matter preceding subparagraph (A), by striking “there shall be available to the Secretary, from funds not otherwise appropriated, funds to be obligated for—” and inserting in lieu thereof, “there are authorized to be appropriated to carry out this section such sums as may be necessary, for—”; and

(2) in the flush language at the end thereof,

(A) by striking “such”;

(B) by inserting “and” after “2001,” and

(C) by striking “, and \$795,000,000 in fiscal year 2003”.

(b) *EFFECTIVE DATE.*—The amendments made by subsection (a) shall be effective beginning in fiscal year 2003.

SEC. 306. Section 1202 of the Elementary and Secondary Education Act of 1965 is amended by inserting the following subsection at the end thereof:

“(g) *SUPPLEMENT, NOT SUPPLANT.*—State or local educational agency shall use funds received under this subpart only to supplement the level of non-Federal funds that, in the absence of funds under this subpart, would be expended for activities authorized under this subpart, and not to supplant those non-Federal funds.”. (Department of Education Appropriations Act, 2002.)

DEPARTMENT OF ENERGY

NATIONAL NUCLEAR SECURITY ADMINISTRATION

Federal Funds

General and special funds:

OFFICE OF THE ADMINISTRATOR

For necessary expenses of the Office of the Administrator of the National Nuclear Security Administration, including official reception and representation expenses (not to exceed \$12,000), **[\$312,596,000]** \$347,705,000, to remain available until expended. (*Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 89-0313-0-1-053	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Office of the Administrator	20	341	348
10.00 Total new obligations	20	341	348
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	20	328	348
22.22 Unobligated balance transferred from other accounts	14
23.90 Total budgetary resources available for obligation	20	342	348
23.95 Total new obligations	-20	-341	-348
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	20	325	348
42.00 Transferred from other accounts	3
43.00 Appropriation (total discretionary)	20	328	348
Change in obligated balances:			
72.40 Obligated balance, start of year	4	109
73.10 Total new obligations	20	341	348
73.20 Total outlays (gross)	-15	-287	-344
73.32 Obligated balance transferred from other accounts	51
74.40 Obligated balance, end of year	4	109	113
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	15	272	289
86.93 Outlays from discretionary balances	14	56
87.00 Total outlays (gross)	15	287	344
Net budget authority and outlays:			
89.00 Budget authority	20	328	348
90.00 Outlays	15	287	344
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	10	316	336
90.00 Outlays	5	275	332

Office of the Administrator.—The Office of the NNSA Administrator provides corporate planning and oversight for Weapons Activities, Defense Nuclear Nonproliferation, and Naval Reactors, including the National Nuclear Security Administration Field Offices. This account provides the Federal salaries and other related expenses of the Administrator's direct office and in FY 2002 program direction for Weapons Activities and Defense Nuclear Nonproliferation were merged into the Office of the Administrator account. Program direc-

tion for Naval Reactors remains within that program's account.

Object Classification (in millions of dollars)

Identification code 89-0313-0-1-053	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	182	187
12.1 Civilian personnel benefits	11	52	53
21.0 Travel and transportation of persons	11	11	11
25.1 Advisory and assistance services	4	38	38
25.3 Other purchases of goods and services from Government accounts	1	58	59
99.0 Direct obligations	17	341	348
99.5 Below reporting threshold	3
99.9 Total new obligations	20	341	348

Personnel Summary

Identification code 89-0313-0-1-053	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	11	2,070	2,080

NAVAL REACTORS

For Department of Energy expenses necessary for naval reactors activities to carry out the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition (by purchase, condemnation, construction, or otherwise) of real property, plant, and capital equipment, facilities, and facility expansion **[\$688,045,000]**; \$708,020,000, to remain available until expended. (*Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 89-0314-0-1-053	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Naval reactors development	668	666	683
00.02 Program direction	21	24	25
10.00 Total new obligations	689	690	708
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1
22.00 New budget authority (gross)	690	689	708
22.22 Unobligated balance transferred from other accounts	1
23.90 Total budgetary resources available for obligation	690	690	708
23.95 Total new obligations	-689	-690	-708
24.40 Unobligated balance carried forward, end of year	1
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	691	689	708
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1
43.00 Appropriation (total discretionary)	690	689	708
Change in obligated balances:			
72.40 Obligated balance, start of year	206	207
73.10 Total new obligations	689	690	708
73.20 Total outlays (gross)	-684	-689	-705
73.32 Obligated balance transferred from other accounts	201
74.40 Obligated balance, end of year	206	207	210
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	586	586	602
86.93 Outlays from discretionary balances	98	103	103

General and special funds—Continued
NAVAL REACTORS—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 89-0314-0-1-053	2001 actual	2002 est.	2003 est.
87.00 Total outlays (gross)	684	689	705
Net budget authority and outlays:			
89.00 Budget authority	690	689	708
90.00 Outlays	684	689	705

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	689	688	707
90.00 Outlays	683	688	704

Naval Reactors.—This program performs the design, development, and testing necessary to provide the Navy with safe, militarily effective nuclear propulsion plants in keeping with the Nation’s nuclear-powered fleet defense requirements. During 2003, the program expects to exceed 124 million miles safely steamed by the nuclear fleet, and will continue to support and improve operating reactors and plant components, and carry out test activities and verification. Additionally, Naval Reactors will continue to develop nuclear reactor plant components and systems for the Navy’s new attack submarine and next-generation aircraft carriers, and continue to maintain the highest standards of environmental stewardship by responsibly inactivating shut down prototype reactor plants.

Object Classification (in millions of dollars)

Identification code 89-0314-0-1-053	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	15	17	16
12.1 Civilian personnel benefits	4	4	4
21.0 Travel and transportation of persons	1	1	1
25.2 Other services			1
25.3 Other purchases of goods and services from Government accounts	1		
25.4 Operation and maintenance of facilities	611	612	628
31.0 Equipment	27	27	28
32.0 Land and structures	29	29	30
99.0 Direct obligations	688	690	708
99.5 Below reporting threshold	1		
99.9 Total new obligations	689	690	708

Personnel Summary

Identification code 89-0314-0-1-053	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	192	191	191

WEAPONS ACTIVITIES

For Department of Energy expenses, including the purchase, construction, and acquisition of plant and capital equipment and other incidental expenses necessary for atomic energy defense weapons activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion; and the purchase of not to exceed [11] one passenger motor [vehicles for replacement only, \$5,429,238,000] vehicle, \$5,869,379,000, to remain available until expended. (*Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, and for other expenses to

increase the security of the Nation’s nuclear weapons complex, for “Weapons Activities”, \$131,000,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 89-0240-0-1-053	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Directed stockpile work	983	1,045	1,234
00.02 Campaigns	1,974	2,122	2,068
00.03 Readiness in technical base and facilities	1,473	1,556	1,701
00.04 Facilities and infrastructure	9	197	243
00.05 Secure transportation asset	115	146	153
00.06 Safeguards and security	399	526	481
00.07 Program direction	204	2	2
01.00 Total, Direct program	5,157	5,594	5,882
09.01 Reimbursable program	1,152	1,152	1,152
10.00 Total new obligations	6,309	6,746	7,034

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year: [direct program]	44	24	
21.40 Unobligated balance carried forward, start of year [reimbursable program]	573	578	649
21.99 Total unobligated balance carried forward, start of year	617	602	649
22.00 New budget authority (gross)	6,293	6,793	7,102
22.10 Resources available from recoveries of prior year obligations	1		
22.21 Unobligated balance transferred to other accounts		-1	
23.90 Total budgetary resources available for obligation	6,911	7,394	7,751
23.95 Total new obligations	-6,309	-6,746	-7,034
24.40 Unobligated balance carried forward, end of year: [direct program]	24		
24.40 Unobligated balance carried forward, end of year [reimbursable program]	578	649	717
24.99 Total unobligated balance carried forward, end of year	602	649	717

New budget authority (gross), detail:

Discretionary:			
Appropriation:			
40.00 Appropriation	5,143	5,431	5,869
40.00 Appropriation [supplemental]		131	
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-11		
42.00 Transferred from other accounts	5		
43.00 Appropriation (total discretionary)	5,137	5,562	5,869
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	1,188	1,231	1,233
68.10 Change in uncollected customer payments from Federal sources	-32		
68.90 Spending authority from offsetting collections (total discretionary)	1,156	1,231	1,233
70.00 Total new budget authority (gross)	6,293	6,793	7,102

Change in obligated balances:

72.40 Obligated balance, start of year	1,049	1,518	1,612
73.10 Total new obligations	6,309	6,746	7,034
73.20 Total outlays (gross)	-5,849	-6,615	-6,972
73.31 Obligated balance transferred to other accounts	-22	-37	
73.45 Recoveries of prior year obligations	-1		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	32		
74.40 Obligated balance, end of year	1,518	1,612	1,674

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	4,497	4,847	5,049
86.93 Outlays from discretionary balances	1,352	1,768	1,925
87.00 Total outlays (gross)	5,849	6,615	6,972

Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-1,095	-1,138	-1,138

88.40	Non-Federal sources	-93	-93	-95
88.90	Total, offsetting collections (cash)	-1,188	-1,231	-1,233
	Against gross budget authority only:			
88.95	Change in uncollected customer payments from Federal sources (unexpired)	32		
Net budget authority and outlays:				
89.00	Budget authority	5,137	5,562	5,869
90.00	Outlays	4,661	5,384	5,739

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	5,135	5,560	5,867
90.00	Outlays	4,659	5,382	5,737

Beginning in 2001, programs in the Weapons Activities appropriation have been managed by the National Nuclear Security Administration (NNSA).

Weapons activities provides for the maintenance and refurbishment of nuclear weapons to sustain confidence in their safety, reliability, and performance; expansion of scientific, engineering, and manufacturing capabilities to enable certification of the enduring nuclear weapons stockpile; and manufacture of nuclear weapon components under a comprehensive test ban. Weapons activities also provide for continued maintenance and investment in the Department's enterprise of nuclear stewardship, including maintaining the capability to return to the design and production of new weapons and to underground nuclear testing, if so directed by the President. The major elements of the program include the following:

Directed stockpile work.—Encompasses all activities that directly support specific weapons in the stockpile. These activities include maintenance and day-to-day care; planned refurbishment; reliability assessments; weapon dismantlement and disposal; and research, development, and certification technology efforts to meet future stockpile requirements.

Campaigns.—Focuses on scientific and technical efforts to develop and maintain critical capabilities and tools needed to support continued assessment and certification of the stockpile for the long term.

Readiness in technical base and facilities (RTBF).—Provides the underlying physical infrastructure and operational readiness for the Directed Stockpile Work and Campaign activities. These activities include ensuring that facilities are operational, safe, secure, and compliant with regulatory requirements, and that a defined level of readiness is sustained at facilities funded by the Office of Defense programs.

Facilities and infrastructure.—Focuses on a multi-year effort to restore physical infrastructure of the weapons complex. This activity provides funds to accomplish deferred maintenance while improving facility management practices to preclude further deteriorating.

Secure transportation asset.—Provides for the safe, secure movement of nuclear weapons, special nuclear material, and weapon components between military locations and nuclear complex facilities within the United States.

Weapons Safeguards and Security.—Provides for all safeguard and security requirements (except for personnel security investigations) at NNSA landlord sites, specifically the Lawrence Livermore National Laboratory, Los Alamos National Laboratory, Sandia National Laboratory, the Nevada Test Site, Kansas City Plant, Pantex Plant, Y-12 Plant, and the Savannah River Site Tritium Facilities.

Weapons program direction.—In FY 2002, this activity which provides personnel and contractual services for Federal management and administration was moved to the Office of the Administrator appropriation account.

Object Classification (in millions of dollars)

Identification code 89-0240-0-1-053	2001 actual	2002 est.	2003 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	115	43	45
11.3	Other than full-time permanent	3		
11.5	Other personnel compensation	9	7	5
11.9	Total personnel compensation	127	50	50
12.1	Civilian personnel benefits	35	10	10
13.0	Benefits for former personnel	2	2	2
21.0	Travel and transportation of persons	9	10	10
23.3	Communications, utilities, and miscellaneous charges	5	5	6
25.1	Advisory and assistance services	65	70	73
25.2	Other services	193	212	220
25.3	Other purchases of goods and services from Government accounts	9	10	10
25.4	Operation and maintenance of facilities	3,689	4,133	4,296
25.5	Research and development contracts	67	69	72
25.7	Operation and maintenance of equipment	2	2	3
26.0	Supplies and materials	5	5	5
31.0	Equipment	276	298	309
32.0	Land and structures	643	688	785
41.0	Grants, subsidies, and contributions	30	30	31
99.0	Direct obligations	5,157	5,594	5,882
99.0	Reimbursable obligations	1,152	1,152	1,152
99.9	Total new obligations	6,309	6,746	7,034

Personnel Summary

Identification code 89-0240-0-1-053	2001 actual	2002 est.	2003 est.	
1001	Total compensable workyears: Full-time equivalent employment	1,687	439	471

DEFENSE NUCLEAR NONPROLIFERATION

For Department of Energy expenses, including the purchase, construction and acquisition of plant and capital equipment and other incidental expenses necessary for atomic energy defense, defense nuclear nonproliferation activities, in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, **[\$803,586,000] \$1,113,630,000**, to remain available until expended. (*Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, and for other expenses to increase the security of the Nation's nuclear weapons complex, for "Defense Nuclear Nonproliferation", \$226,000,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (Emergency Supplemental Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 89-0309-0-1-053	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.05	Nonproliferation and verification research and development	238	322	284
00.15	Nonproliferation and international security	67	100	93
00.20	Russian transition initiatives	40	67	39
00.25	International nuclear materials protection and cooperation	170	293	233
00.30	International nuclear safety and cooperation	67	20	15
00.35	Elimination of weapons-grade plutonium production			50
00.50	HEU transparency implementation	15	14	17
00.55	Fissile materials disposition	181	250	350
00.60	Russian plutonium disposition	43	61	98
00.65	Program direction	46		
10.00	Total new obligations	867	1,127	1,179
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	2	224	111
22.00	New budget authority (gross)	905	1,027	1,114
22.10	Resources available from recoveries of prior year obligations	1		

General and special funds—Continued

DEFENSE NUCLEAR NONPROLIFERATION—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 89-0309-0-1-053	2001 actual	2002 est.	2003 est.
22.21 Unobligated balance transferred to other accounts		-13	
22.22 Unobligated balance transferred from other accounts	183		
23.90 Total budgetary resources available for obligation	1,091	1,238	1,225
23.95 Total new obligations	-867	-1,127	-1,179
24.40 Unobligated balance carried forward, end of year	224	111	46
New budget authority (gross), detail:			
Discretionary:			
Appropriation:			
40.00 Appropriation	874	804	1,114
40.00 Appropriation [supplemental]		226	
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-2		
41.00 Transferred to other accounts	-5	-3	
42.00 Transferred from other accounts	38		
43.00 Appropriation (total discretionary)	905	1,027	1,114
Change in obligated balances:			
72.40 Obligated balance, start of year	8	500	645
73.10 Total new obligations	867	1,127	1,179
73.20 Total outlays (gross)	-750	-968	-1,097
73.31 Obligated balance transferred to other accounts		-14	
73.32 Obligated balance transferred from other accounts	376		
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	500	645	727
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	498	565	613
86.93 Outlays from discretionary balances	252	403	484
87.00 Total outlays (gross)	750	968	1,097
Net budget authority and outlays:			
89.00 Budget authority	905	1,027	1,114
90.00 Outlays	750	968	1,097

The mission of this program is to (1) prevent the spread of materials, technology, and expertise relating to weapons of mass destruction; (2) detect the proliferation of weapons of mass destruction worldwide; (3) provide for international nuclear safety, and (4) eliminate inventories of surplus fissile materials usable for nuclear weapons. The program addresses the danger that hostile nations or terrorist groups may acquire weapons of mass destruction or weapons-usable material, dual-use production technology or weapons of mass destruction expertise. In 2003, work will be done in the following major areas.

Nonproliferation and Verification Research and Development will conduct applied research, development, testing, and evaluation leading to prototype demonstrations and detection systems that strengthen the U.S. response to current and projected threats to national security and world peace posed by the proliferation of nuclear, chemical, and biological weapons, and diversion of special nuclear material. The program will increase the transition of technologies to organizations responsible for combating terrorism.

Nonproliferation and International Security (formerly Arms Control) efforts will help prevent the outflow of scientific expertise from the New Independent States (NIS) that could help develop nuclear or other weapons of mass destruction; control export of items and technology useful for weapons of mass destruction (WMD) proliferation; monitor treaties and agreements; develop and implement policy in support of international security efforts aimed at securing high-risk nuclear material; develop and implement transparency measures to assure that international nonproliferation and arms control agreements are in compliance, and that nuclear materials are secure; implement international safeguards in conjunction with the IAEA; and explore and implement innovative approaches to improve regional security.

Russian Transition Assistance encompasses the efforts of the Initiatives for Proliferation Prevention (IPP) and the Nuclear Cities Initiative (NCI) programs to reduce the risk of adverse migration of former Soviet nuclear and other WMD expertise, and to work with the Russians in downsizing their nuclear weapons complex.

International Nuclear Materials Protection and Cooperation (also known as MPC&A) will continue to improve the security for nuclear material and weapons in Russia by installing basic rapid upgrades and through comprehensive security improvements. Even before the September 11, reducing the potential for diversion of nuclear warheads and materials has been a critical priority for the United States. Since the recent terrorist attacks, Russia and the United States have agreed to expand cooperation in this area significantly.

HEU Transparency Implementation will continue to work with Russia to convert highly enriched uranium (HEU) from its military stockpile into a non-weapons usable form of low enriched uranium (LEU) for commercial reactor fuel. The 1993 U.S.-Russia HEU Purchase Agreement, which provides for Russian HEU to be down blended and used to fuel reactors here in the United States, remains an extremely impressive nonproliferation achievement.

International Nuclear Safety and Cooperation strengthens national security by helping to prevent nuclear incidents and accidents at foreign nuclear facilities, to mitigate the consequences of accidents should they occur, and to enhance nuclear nonproliferation by assisting the Russian Federation in ceasing its production of weapons-grade plutonium production by providing replacement energy production capacity. The program is completing its efforts focused in former Soviet Union countries to increase the operating safety of nuclear power reactors and enhance the resident safety culture, and is now reorienting its activities to address critical nuclear safety issues in countries of concern through an integrated and risk-based approach. Initial efforts will focus on improving nuclear safety in Vietnam.

Fissile Materials Disposition conducts activities in both the United States and Russia to dispose of fissile materials that would pose a threat to the United States if acquired by hostile nations or terrorist groups. In FY 2003 it will continue transferring surplus HEU from the Y-12 Plant to the United States Enrichment Corporation; begin deliveries of off-specification HEU and low enriched uranium to TVA; complete Title II design of the mixed oxide (MOX) fuel fabrication facility; continue limited production mode testing, technology demonstrations and continue with Title II design of the Pit Disassembly and Conversion Facility; and continue the design of industrial-scale plutonium conversion and MOX facilities in Russia, VVER-1000/BN-600 reactor work, and assist with the development of relevant licensing regulations.

As of FY 2002 all program direction funding has been transferred to the Office of the Administrator of NNSA.

Object Classification (in millions of dollars)

Identification code 89-0309-0-1-053	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	16		
11.3 Other than full-time permanent	1		
11.5 Other personnel compensation	1		
11.8 Special personal services payments	1		
11.9 Total personnel compensation	19		
12.1 Civilian personnel benefits	4		
21.0 Travel and transportation of persons	2		
23.3 Communications, utilities, and miscellaneous charges	1		
25.1 Advisory and assistance services	22	22	22
25.2 Other services	24	25	26
25.3 Other purchases of goods and services from Government accounts	8	8	8
25.4 Operation and maintenance of facilities	653	938	979
25.5 Research and development contracts	35	35	45

25.7	Operation and maintenance of equipment	1	1	1
26.0	Supplies and materials	1	1	1
31.0	Equipment	10	10	10
32.0	Land and structures	83	83	83
41.0	Grants, subsidies, and contributions	4	4	4
99.9	Total new obligations	867	1,127	1,179

Personnel Summary

Identification code 89-0309-0-1-053	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	194		

CERRO GRANDE FIRE ACTIVITIES

Program and Financing (in millions of dollars)

Identification code 89-0312-0-1-053	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Physical damage, destruction repair, and risk mitigation	145		
00.02 Restoring services	25		
00.03 Emergency response	18		
00.04 Resuming laboratory operations	15		
10.00 Total new obligations	203		
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	203		
23.95 Total new obligations	-203		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	203		
Change in obligated balances:			
72.40 Obligated balance, start of year	87	236	20
73.10 Total new obligations	203		
73.20 Total outlays (gross)	-55	-216	-20
74.40 Obligated balance, end of year	236	20	
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	55		
86.93 Outlays from discretionary balances		216	20
87.00 Total outlays (gross)	55	216	20
Net budget authority and outlays:			
89.00 Budget authority	203		
90.00 Outlays	55	216	20

Cerro Grande Fire Activities.—Emergency funding in 2001 will be used to continue restoration activities at the Los Alamos National Laboratory in New Mexico. Initial funding was provided in 2000 as an emergency supplemental appropriation shortly after the Cerro Grande Fire was contained in May 2000. Activities will continue on repair of damaged laboratory assets, risk mitigation against future fire-related emergencies, restoration of destroyed and damaged laboratory facilities and equipment, and full resumption of laboratory programmatic activities.

Object Classification (in millions of dollars)

Identification code 89-0312-0-1-053	2001 actual	2002 est.	2003 est.
25.2 Other services	5		
25.4 Operation and maintenance of facilities	60		
31.0 Equipment	9		
32.0 Land and structures	128		
41.0 Grants, subsidies, and contributions	1		
99.9 Total new obligations	203		

ENVIRONMENTAL AND OTHER DEFENSE ACTIVITIES

Federal Funds

General and special funds:

DEFENSE ENVIRONMENTAL RESTORATION AND WASTE MANAGEMENT

For Department of Energy expenses, including the purchase, construction, and acquisition of plant and capital equipment and other expenses necessary for atomic energy defense environmental restoration and waste management activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion; and the purchase of not to exceed [30] 24 passenger motor vehicles[, of which 27 shall be] for replacement only, [\$5,234,576,000] \$4,558,360,000, to remain available until expended. (*Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Defense Environmental Restoration and Waste Management", \$8,200,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 89-0242-0-1-053	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Site/project completion	993	968	788
00.02 Post 2006 completion	3,298	3,489	2,615
00.03 Science and technology	249	250	92
00.04 Program direction	377	371	358
00.05 Safeguards and security	200	208	224
00.06 Multi-site activities			480
00.07 Excess facilities		5	1
10.00 Total new obligations	5,117	5,291	4,558
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	25	33	
22.00 New budget authority (gross)	5,124	5,258	4,558
22.10 Resources available from recoveries of prior year obligations	2		
23.90 Total budgetary resources available for obligation	5,151	5,291	4,558
23.95 Total new obligations	-5,117	-5,291	-4,558
23.98 Unobligated balance expiring or withdrawn	-1		
24.40 Unobligated balance carried forward, end of year	33		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	5,083	5,258	4,558
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-11		
41.00 Transferred to other accounts	-5		
42.00 Transferred from other accounts	2		
43.00 Appropriation (total discretionary)	5,069	5,258	4,558
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	55		
70.00 Total new budget authority (gross)	5,124	5,258	4,558
Change in obligated balances:			
72.40 Obligated balance, start of year	1,507	1,857	1,823
73.10 Total new obligations	5,117	5,291	4,558
73.20 Total outlays (gross)	-4,764	-5,325	-4,759
73.31 Obligated balance transferred to other accounts	-1		
73.45 Recoveries of prior year obligations	-2		
74.40 Obligated balance, end of year	1,857	1,823	1,622
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	3,235	3,686	3,195
86.93 Outlays from discretionary balances	1,529	1,639	1,564
87.00 Total outlays (gross)	4,764	5,325	4,759
Offsets:			
Against gross budget authority and outlays:			
88.45 Offsetting collections (cash) from: Offsetting governmental collections (from non-Federal sources)	-55		

General and special funds—Continued

DEFENSE ENVIRONMENTAL RESTORATION AND WASTE MANAGEMENT—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 89-0242-0-1-053	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	5,069	5,258	4,558
90.00 Outlays	4,709	5,325	4,759

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	5,055	5,243	4,544
90.00 Outlays	4,695	5,310	4,745

Environmental management.—The Environmental Management (EM) program is responsible for addressing the environmental legacy resulting from the production of nuclear weapons. The nuclear weapons complex generated waste, pollution, and contamination that pose unique problems, including unprecedented volumes of contaminated soil and water, radiological hazards from special nuclear material, and a vast number of contaminated structures. Factories, laboratories and thousands of square miles of land were devoted to producing tens of thousands of nuclear weapons. Much of this infrastructure, waste, and contamination still exists and is largely maintained, decommissioned, managed, and remediated by the EM program, which is sometimes referred to as the “cleanup program.” EM’s responsibilities include facilities and sites in 30 states and one territory, and occupy an area equal to that of Rhode Island and Delaware combined—or about 2.1 million acres.

EM activities include: environmental restoration, which provides for assessments, characterization, remediation, and decontamination and decommissioning of contaminated DOE facilities and sites; waste management, which provides for the safe treatment, storage, and disposal of wastes generated by defense activities; and, nuclear material and facility stabilization, which provides for stabilization, safeguarding, interim storage, and stewardship of excess nuclear materials, including spent nuclear fuel, awaiting ultimate disposition.

EM will continue to improve the efficiency of its programs through a variety of management and contracting strategies with emphasis on the reduction of support costs and implementation of performance-based contracts.

The EM program has established a goal of cleaning up as many of its contaminated sites as possible by 2006, in a manner that is safe and protects the environment. By working toward this goal, EM can reduce the hazards presently facing its workforce and the public, and reduce the financial burden on the taxpayer. The 2003 budget request continues to reflect the program’s emphasis on site closure and project completion.

The 2003 budget request will support the following major program areas:

Site/project completion.—Includes sites and/or projects planned to be completed by 2006 at EM laboratories or other facilities where DOE will continue to have a presence beyond the year 2006. Examples of sites with projects included in this account are Sandia National Laboratories, New Mexico; Idaho National Engineering and Environmental Laboratory, Idaho; Richland; and Savannah River.

Post 2006 completion.—Includes projects that will continue after 2006. Included are various projects at Albuquerque, New Mexico; Richland, Washington; Savannah River, South Carolina; Idaho National Engineering and Environmental Labora-

tory, Idaho; Nevada Test Site, Nevada; Oak Ridge Reservation, Tennessee; and the Waste Isolation Pilot Plant in Carlsbad, New Mexico.

Safeguards and security.—This account provides funding to support safeguards and security activities to ensure appropriate levels of protection against: unauthorized access, theft, diversion, loss of custody or destruction of Department of Energy assets and hostile acts that may cause unacceptable adverse impacts on national security or the health and safety of DOE and contractor employees, the public or the environment.

Office of Science and Technology.—Conducts a national program to deliver and support fully developed deployable scientific and technological solutions to Environmental Management and long-term environmental stewardship problems.

EM program direction.—Provides salaries and benefits, travel and other contractual support costs for the Federal workforce at Headquarters and in the field which support the Environmental Management Program.

Excess Facilities.—Provides funding to manage the final disposition of excess contaminated physical facilities transferred to the EM program. Activities in 2003 will be limited to surveillance and maintenance to keep the facilities in a safe condition. The account includes excess facilities at the Pantex Plant, Texas, Savannah River, South Carolina, and the Y-12 plant, Tennessee transferred from the Offices of Defense Programs and Nuclear Energy.

Multi-Site Activities.—Provides funding for technical integration efforts managed at Headquarters that assure disposition of waste and materials; activities to efficiently transfer excess facilities to the EM program for decommissioning; pollution prevention programs; environmental and regulatory analysis; emergency preparedness activities; and coordination of packaging and transportation for waste and nuclear material shipments. Other support activities include analytical laboratory management, training for hazardous waste operations, and maintenance of nuclear criticality safety expertise. In addition, this account funds the Federal Government’s contribution to the Uranium Enrichment Decontamination and Decommissioning Fund.

Object Classification (in millions of dollars)

Identification code 89-0242-0-1-053	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	190	195	193
11.3 Other than full-time permanent	6	7	7
11.5 Other personnel compensation	5	5	5
11.9 Total personnel compensation	201	207	205
12.1 Civilian personnel benefits	61	63	57
13.0 Benefits for former personnel	1	2	1
21.0 Travel and transportation of persons	8	8	7
23.1 Rental payments to GSA	7	8	7
23.2 Rental payments to others	2	2	2
23.3 Communications, utilities, and miscellaneous charges	5	5	5
25.1 Advisory and assistance services	129	133	117
25.2 Other services	771	795	697
25.3 Other purchases of goods and services from Government accounts	24	25	22
25.4 Operation and maintenance of facilities	3,113	3,222	2,721
25.5 Research and development contracts	57	59	52
26.0 Supplies and materials	4	5	4
31.0 Equipment	39	42	35
32.0 Land and structures	588	606	529
41.0 Grants, subsidies, and contributions	107	109	97
99.9 Total new obligations	5,117	5,291	4,558

Personnel Summary

Identification code 89-0242-0-1-053	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	2,561	2,653	2,401

DEFENSE FACILITIES CLOSURE PROJECTS

For expenses of the Department of Energy to accelerate the closure of defense environmental management sites, including the purchase, construction, and acquisition of plant and capital equipment and other necessary expenses, **[\$1,092,878,000]** *\$1,091,314,000*, to remain available until expended. (*Energy and Water Development Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 89-0251-0-1-053	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Site closure	1,045	1,039	1,054
00.02 Safeguards and security	57	54	37
10.00 Total new obligations	1,102	1,093	1,091
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	2
22.00 New budget authority (gross)	1,102	1,093	1,091
22.10 Resources available from recoveries of prior year obligations	1
23.90 Total budgetary resources available for obligation	1,105	1,095	1,091
23.95 Total new obligations	-1,102	-1,093	-1,091
24.40 Unobligated balance carried forward, end of year	2
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1,104	1,093	1,091
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-2
43.00 Appropriation (total discretionary)	1,102	1,093	1,091
Change in obligated balances:			
72.40 Obligated balance, start of year	295	358	382
73.10 Total new obligations	1,102	1,093	1,091
73.20 Total outlays (gross)	-1,038	-1,069	-1,092
73.45 Recoveries of prior year obligations	-1
74.40 Obligated balance, end of year	358	382	381
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	741	765	764
86.93 Outlays from discretionary balances	297	304	328
87.00 Total outlays (gross)	1,038	1,069	1,092
Net budget authority and outlays:			
89.00 Budget authority	1,102	1,093	1,091
90.00 Outlays	1,038	1,069	1,092

These funds are managed by the Department of Energy's Environmental Management Program.

Site closure.—Provides funding for completing cleanup and closing facilities with no enduring Federal presence on site, except for stewardship activities. Example of sites included under this account are the Rocky Flats site in Colorado, and the Fernald, Mound, Battelle Columbus, and Ashtabula sites in Ohio. The Department has established a goal of completing major cleanup activities budgeted for in this account by 2006.

EM activities include: environmental restoration, which provides for assessments, characterization, remediation, and decontamination and decommissioning of contaminated DOE facilities and sites; waste management, which provides for the safe treatment, storage, and disposal of wastes generated by defense activities; and, nuclear material and facility stabilization, which provides for stabilization, safeguarding, interim storage, and stewardship of excess nuclear materials, awaiting ultimate disposition.

Object Classification (in millions of dollars)

Identification code 89-0251-0-1-053	2001 actual	2002 est.	2003 est.
23.3 Communications, utilities, and miscellaneous charges	11	8	8
25.2 Other services	31	23	23
25.3 Other purchases of goods and services from Government accounts	7	4	4
25.4 Operation and maintenance of facilities	1,047	1,035	1,033

32.0 Land and structures	2	19	19
41.0 Grants, subsidies, and contributions	4	4	4
99.9 Total new obligations	1,102	1,093	1,091

DEFENSE ENVIRONMENTAL MANAGEMENT PRIVATIZATION

For Department of Energy expenses for privatization projects necessary for atomic energy defense environmental management activities authorized by the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), **[\$153,537,000]** *\$158,399,000*, to remain available until expended. (*Energy and Water Development Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 89-0249-0-1-053	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	227	188	158
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	263	34
22.00 New budget authority (gross)	-2	154	158
23.90 Total budgetary resources available for obligation	261	188	158
23.95 Total new obligations	-227	-188	-158
24.40 Unobligated balance carried forward, end of year	34
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	95	154	158
40.36 Unobligated balance rescinded	-97
43.00 Appropriation (total discretionary)	-2	154	158
Change in obligated balances:			
72.40 Obligated balance, start of year	575	638	712
73.10 Total new obligations	227	188	158
73.20 Total outlays (gross)	-164	-114	-124
74.40 Obligated balance, end of year	638	712	746
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	164	114	124
Net budget authority and outlays:			
89.00 Budget authority	-2	154	158
90.00 Outlays	164	114	124

Environmental management privatization.—Provides funding necessary to proceed with privatization of various DOE environmental management projects that will treat some of DOE's most contaminated soil and highly radioactive waste, as well as deactivate contaminated nuclear facilities that are excess to DOE's needs. This contracting approach to cleanup relies on the private sector to construct and operate facilities or proceed with cleanup actions on a fixed-price, fee-for-service basis. These competitively awarded projects are expected to result in substantial savings over the life-cycle of the projects, when compared to DOE's traditional approach of designing, constructing and operating a government-owned facility. Funds in this account will allow DOE to enter into these contracts and assures private investors that funds will be available to pay for services once the facilities are built.

Object Classification (in millions of dollars)

Identification code 89-0249-0-1-053	2001 actual	2002 est.	2003 est.
25.1 Advisory and assistance services	31	26
25.2 Other services	191	158	158
25.4 Operation and maintenance of facilities	5	4
99.9 Total new obligations	227	188	158

General and special funds—Continued

ENVIRONMENTAL MANAGEMENT CLEANUP REFORM

For Department of Energy expenses, including the purchase, construction, and acquisition or condemnation of any real property or plant, and capital equipment and other expenses necessary to accelerate or provide alternative cleanup strategies for environmental restoration and waste management activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), \$800,000,000, to remain available until expended: Provided, That these amounts may be transferred to and merged with accounts under this title which fund specific cleanup activities only after the Secretary of Energy enters into an agreement satisfactory to the Secretary and the appropriate State and Federal regulators, for each site for which these funds may be used.

Program and Financing (in millions of dollars)

Identification code 89-0245-0-1-053	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)			800
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			800
23.95 Total new obligations			-800
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation			800
Change in obligated balances:			
73.10 Total new obligations			800
73.20 Total outlays (gross)			-560
74.40 Obligated balance, end of year			240
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority			560
Net budget authority and outlays:			
89.00 Budget authority			800
90.00 Outlays			560

Under the Environmental Management program, the Department of Energy enters into compliance and cleanup agreements with Federal and State regulatory officials at sites managed by the Department. The Administration is committed to ensuring that these agreements are managed efficiently to protect the health and safety of the public and the environment. The purpose of this new account is to provide an incentive for the parties to these agreements to renegotiate cleanup strategies to achieve greater risk reduction, decrease cleanup costs, and accelerate cleanup schedules, while protecting human health and the environment. These funds will be available only when the Department enters into revised agreements that have the potential for significant life-cycle cost savings over the current baseline cleanup approach. When the Department reaches agreement with regulatory officials, establishes a new funding profile and estimates the cost savings for the alternate cleanup strategy, these funds will be transferred to other cleanup accounts to fund the new projects or supplement funding for ongoing projects.

OTHER DEFENSE ACTIVITIES

For Department of Energy expenses, including the purchase, construction, and acquisition of plant and capital equipment and other expenses necessary for atomic energy defense, other defense activities, in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, [\$544,044,000] \$472,156,000, to remain available until expended. (Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, and for other expenses nec-

essary to support activities related to countering potential biological threats to civilian populations, for "Other Defense Activities", \$3,500,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (Emergency Supplemental Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 89-0243-0-1-053	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Energy security			28
00.02 Security	283	262	187
00.03 Intelligence	38	42	41
00.04 Counterintelligence	45	49	39
00.05 Advanced accelerator applications	33	51	
00.06 Independent oversight and performance assurance	15	15	22
00.07 Environment, safety and health (defense)	115	119	100
00.08 Worker and community transition	44	21	26
00.09 National security programs administrative support	25	22	26
00.10 Hearings and appeals	3	3	3
00.11 Other	5	8	
10.00 Total new obligations	607	593	472
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	220	41	
22.00 New budget authority (gross)	601	552	472
22.10 Resources available from recoveries of prior year obligations	1		
22.21 Unobligated balance transferred to other accounts	-175		
23.90 Total budgetary resources available for obligation	647	593	472
23.95 Total new obligations	-607	-593	-472
24.40 Unobligated balance carried forward, end of year	41		
New budget authority (gross), detail:			
Discretionary:			
Appropriation:			
40.00 Appropriation	590	548	472
40.00 Appropriation [Supplemental]	5	4	
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
41.00 Transferred to other accounts	-1		
42.00 Transferred from other accounts	8		
43.00 Appropriation (total discretionary)	601	552	472
Change in obligated balances:			
72.40 Obligated balance, start of year	779	287	315
73.10 Total new obligations	607	593	472
73.20 Total outlays (gross)	-545	-565	-497
73.31 Obligated balance transferred to other accounts	-577		
73.32 Obligated balance transferred from other accounts	23		
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	287	315	290
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	452	415	355
86.93 Outlays from discretionary balances	93	150	142
87.00 Total outlays (gross)	545	565	497
Net budget authority and outlays:			
89.00 Budget authority	601	552	472
90.00 Outlays	545	565	497

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	597	548	469
90.00 Outlays	541	561	494

Intelligence.—The Department's intelligence activities consist of providing the Department, other U.S. Government policy makers, and the Intelligence Community with timely, accurate high impact foreign intelligence technical analyses including support to counterintelligence; providing quick-turn-around, specialized technology applications and operational support to the intelligence, special operations, and law en-

forcement communities; and ensuring that the Department's technical, analytical and research expertise is made available to the Intelligence Community in accordance with executive Order 12333, "United States Intelligence Activities."

Counterintelligence.—The Office of Counterintelligence mission is to develop and implement an effective Counterintelligence Program throughout the Department of Energy to identify, neutralize and deter foreign government or industrial intelligence activities directed at or involving DOE programs, personnel, facilities, technologies, classified information and unclassified sensitive information. The program provides the analytical, investigative, inspection, information and special technologies, polygraph, and evaluation capabilities necessary to identify and address foreign intelligence targeting and collection activities directed at DOE facilities.

Security.—Security consists of the following programs: Nuclear Safeguards and Security, Security Investigations and Program Direction. Key mission areas are: physical, information, cyber security policy and personnel security; technology development; materials control and accountability; critical infrastructure; declassification/classification; foreign travel visits and assignments; plutonium, uranium, and special nuclear material inventory; and security investigations. These programs provide policy, programmatic direction and training for the protection of the Department's nuclear weapons, nuclear materials, classified and unclassified information, and facilities. The programs: ensure protection of certain critical national infrastructures against physical and cyber attacks; and provide security clearances for federal and contractor personnel. Before FY 2003, Security was budgeted under Security and Emergency Operations.

Energy Security and Assurance.—This newly established program supports the national security of the United States by working to protect the Nation against significant energy supply disruptions. This will be accomplished in close collaboration with the private sector, by providing technical expertise to assess vulnerabilities in the national energy infrastructure and technical expertise to help mitigate any adverse impacts that may result from such a disruption. America's energy supply is essential to a strong economy and national security. The program includes: Energy Security and Assurance, the National Infrastructure Simulation and Analysis Center (NISAC), and Program Direction.

Worker and community transition.—This program provides for the development, implementation, and funding of plans under section 3161 of the National Defense Authorization Act of 1993, to provide options to assist workers affected by workforce restructuring including preference in hiring, outplacement assistance, and relocation assistance. This program also provides impact assistance to local communities, as well as disposition of assets excess to current Department needs.

Environment, safety and health (Defense).—The Office of Environment, Safety and Health is a corporate resource that provides Departmental leadership and management to protect the workers, public, and environment. The programs in the other defense activities are oversight, health studies, radiation effects research foundation, and employee compensation support as well as program direction.

Independent oversight and performance assurance.—This program provides an independent assessment of the effectiveness of Departmental policies and site performance in the areas of environment, safety, health safeguards, security, emergency management, cyber security, and other critical functions. Appraisals are performed to determine whether site programs are effectively implemented and achieving Department-wide and site specific objectives.

All other.—This category includes obligations for a portion of the projects reviewed under the Independent Assessment of DOE project funding. In addition, obligations are included for the National Security Programs Administrative Support

and the Office of Hearings and Appeals. Responsibilities of the Office of Hearings and Appeals include adjudications of matters involving employees' eligibility for security clearances, appeals of adverse determinations under the Freedom of Information and Privacy Acts, complaints of reprisals by contractor-employees for "whistleblowing", and requests for exception from DOE orders, rules, and regulations.

Object Classification (in millions of dollars)

Identification code 89-0243-0-1-053	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	77	80	72
11.3 Other than full-time permanent	3	3	2
11.5 Other personnel compensation	2	3	3
11.8 Special personal services payments	1		
11.9 Total personnel compensation	83	86	77
12.1 Civilian personnel benefits	20	21	18
21.0 Travel and transportation of persons	4	4	3
23.2 Rental payments to others	1		
23.3 Communications, utilities, and miscellaneous charges	4	4	3
25.1 Advisory and assistance services	47	46	35
25.2 Other services	203	203	156
25.3 Other purchases of goods and services from Government accounts	22	21	16
25.4 Operation and maintenance of facilities	182	179	141
25.5 Research and development contracts	4		
25.7 Operation and maintenance of equipment	1	1	1
26.0 Supplies and materials	5	5	4
31.0 Equipment	6	6	5
32.0 Land and structures	4		
41.0 Grants, subsidies, and contributions	18	17	13
99.0 Direct obligations	604	593	472
99.5 Below reporting threshold	3		
99.9 Total new obligations	607	593	472

Personnel Summary

Identification code 89-0243-0-1-053	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	921	971	815

DEFENSE NUCLEAR WASTE DISPOSAL

For nuclear waste disposal activities to carry out the purposes of Public Law 97-425, as amended, including the acquisition of real property or facility construction or expansion, **[\$280,000,000]** \$315,000,000, to remain available until expended. (*Energy and Water Development Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 89-0244-0-1-053	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	210	280	315
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	85		
22.00 New budget authority (gross)	125	280	315
23.90 Total budgetary resources available for obligation	210	280	315
23.95 Total new obligations	-210	-280	-315
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	200	280	315
40.36 Unobligated balance rescinded	-75		
43.00 Appropriation (total discretionary)	125	280	315
Change in obligated balances:			
72.40 Obligated balance, start of year	9	10	70
73.10 Total new obligations	210	280	315
73.20 Total outlays (gross)	-209	-220	-306

General and special funds—Continued

DEFENSE NUCLEAR WASTE DISPOSAL—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 89-0244-0-1-053	2001 actual	2002 est.	2003 est.
74.40 Obligated balance, end of year	10	70	79
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	115	210	236
86.93 Outlays from discretionary balances	94	10	70
87.00 Total outlays (gross)	209	220	306
Net budget authority and outlays:			
89.00 Budget authority	125	280	315
90.00 Outlays	209	220	306

This appropriation was established by Congress as part of the 1993 Energy and Water Development Appropriation (P.L. 102-377) in lieu of payment from the Department of Energy into the Nuclear Waste Fund for activities related to the disposal of defense high-level waste.

The program's cost estimates reflect DOE's best projections, given the scope of work identified and planned schedule of required activities. Future budget requests for the Program have yet to be established and will be determined through the annual executive and congressional budget process.

Since passage of the Nuclear Waste Policy Act of 1982, as amended, the Nuclear Waste Fund has incurred costs for activities related to disposal of high-level waste generated from the atomic energy defense activities of the Department of Energy. At the end of 2001 the balance owed by the Federal Government to the Nuclear Waste Fund was approximately \$1,350 million (including principal and interest). The "Defense Nuclear Waste Disposal" appropriation was established to ensure payment of the Federal Government's contribution to the nuclear waste repository program. Through 2001, a total of approximately \$1,436 million has been appropriated to support nuclear waste repository activities attributed to atomic energy defense activities.

Object Classification (in millions of dollars)

Identification code 89-0244-0-1-053	2001 actual	2002 est.	2003 est.
25.2 Other services	2	2	2
25.3 Other purchases of goods and services from Government accounts	15	15	15
25.4 Operation and maintenance of facilities	187	257	292
41.0 Grants, subsidies, and contributions	6	6	6
99.9 Total new obligations	210	280	315

ENERGY PROGRAMS

Federal Funds

General and special funds:

SCIENCE

For Department of Energy expenses including the purchase, construction and acquisition of plant and capital equipment, and other expenses necessary for science activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or facility or for plant or facility acquisition, construction, or expansion, and purchase of not to exceed [25] 28 passenger motor vehicles for replacement only, [\$3,233,100,000] \$3,285,088,000, to remain available until expended. (Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 89-0222-0-1-251	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 High energy physics	696	714	725
00.03 Nuclear physics	352	359	382
00.05 Biological and environmental research	477	528	504
00.06 Basic energy sciences	974	1,000	1,020
00.07 Advanced scientific computing research	161	158	170
00.08 Energy research analyses	1	1	1
00.09 Science Facilities Infrastructure	30	31	43
00.11 Program direction	147	150	139
00.12 Small business innovation research	88		
00.13 Small business technology transfer	5		
00.14 Fusion energy sciences	242	248	257
00.15 Safeguard and securities	55	50	44
00.16 Facilities and infrastructure		10	
10.00 Total new obligations	3,228	3,249	3,285
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	17	9	
22.00 New budget authority (gross)	3,218	3,240	3,285
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	3,236	3,249	3,285
23.95 Total new obligations	-3,228	-3,249	-3,285
24.40 Unobligated balance carried forward, end of year	9		
New budget authority (gross), detail:			
Discretionary:			
Appropriation:			
40.00 Appropriation	3,193	3,240	3,285
40.00 Appropriation (P.L. 106-554)	1		
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-7		
42.00 Transferred from other accounts	31		
43.00 Appropriation (total discretionary)	3,218	3,240	3,285
Change in obligated balances:			
72.40 Obligated balance, start of year	1,390	1,741	1,750
73.10 Total new obligations	3,228	3,249	3,285
73.20 Total outlays (gross)	-2,938	-3,240	-3,264
73.32 Obligated balance transferred from other accounts	64		
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	1,741	1,750	1,771
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1,851	1,882	1,908
86.93 Outlays from discretionary balances	1,087	1,358	1,356
87.00 Total outlays (gross)	2,938	3,240	3,264
Net budget authority and outlays:			
89.00 Budget authority	3,218	3,240	3,285
90.00 Outlays	2,938	3,240	3,264
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	3,211	3,233	3,279
90.00 Outlays	2,931	3,233	3,258

High energy physics.—This research program focuses on gaining insights into the fundamental constituents of matter, the fundamental forces in nature, and the transformations between matter and energy at the most elementary level. The program encompasses both experimental and theoretical particle physics research and related advanced accelerator and detector technology R&D. The primary mode of experimental research involves the study of collisions of energetic particles using large particle accelerators or colliding beam facilities.

Research in 2003 will continue to focus on studies of known fundamental particle constituents, the search for new particle constituents, and the pursuit of a unified description of the four fundamental forces in nature.

In addition to contributing to breakthrough discoveries such as the existence of the top quark, high energy physics research enhances national economic competitiveness. State-of-the-art technology developed for accelerators and detectors contribute to progress in fields such as fast electronics, high-speed computing, superconducting magnet technology, and high-power radio frequency devices. High energy physics research also continues to make major contributions to accelerator technology and provides the expertise necessary for the expansion of such technology into fields such as medical diagnostics, and applied research using synchrotron light sources.

The 2003 high energy physics budget request will support the continued operation of two of the Department's major high energy physics facilities: the Fermilab Tevatron and the Stanford B-Factor. Fixed target operations for the Alternate Gradient Synchrotron HEP program are terminated. In addition, \$60 million is provided for the Department's 2003 contribution to continued U.S. participation in the large hadron collider project at the European Center for Nuclear Research.

The high energy physics R&D request provides funding for advanced accelerator and detector R&D that is necessary for next-generation high energy particle accelerators. The request also includes \$20.1 million for the neutrinos at the main injector project.

Nuclear Physics.—The goal of the nuclear physics program is to understand the interactions and structure of atomic nuclei and to investigate fundamental particles and forces of nature as manifested in nuclear matter. In 2003, the program will continue to focus on the role of quarks in the composition and interactions of nuclei, the application of nuclear physics methods to astrophysical problems, the properties of neutrinos, and the mechanisms by which colliding nuclei exchange mass, energy, and angular momentum.

The nuclear physics program supports and provides experimental equipment to qualified scientists and research groups conducting experiments at nuclear physics accelerator facilities. These facilities provide new insights and advance our knowledge of the nature of matter and energy and develop the scientific knowledge, technologies and trained manpower needed to underpin the DOE's missions for nuclear related national security, energy and environmental quality.

The Thomas Jefferson National Accelerator Facility/Continuous Electron Beam Accelerator Facility experimental program began in 1996 and will continue in 2003. At the MIT/Bates accelerator a new program of research utilizing the BLAST large acceptance detector will begin. Experimental operations at the Radioactive Ion Beam facility in Oak Ridge National Laboratory will continue in 2003. Operation of ATLAS (ANL), and the 88-inch cyclotron (LBNL) will be supported, as will the operation of the university-based accelerator laboratories.

The Relativistic Heavy Ion Collider (RHIC) research program will continue as RHIC and its four major detectors approach their full design potential, allowing researchers to explore a new regime of nuclear matter and nuclear interactions that up to now have only been characterized theoretically.

Biological and environmental research.—This program develops the knowledge base necessary to identify, understand, and anticipate the long-term health and environmental consequences of energy use and development and utilizes the Department's unique scientific and technological capabilities to solve major scientific problems in the environment, medicine, and biology. Planned 2003 activities include programs in global climate change; terrestrial, atmospheric and marine environmental processes; molecular, cellular and systemic studies on the biological effects of radiation; structural biology; medical applications of nuclear technology; and the Human Genome Program. Funding for the Human Genome

Program is provided to allow for high throughput human DNA sequencing. The program also supports science related to carbon sequestration and sequencing of genomes of microbes that use carbon dioxide to produce methane and hydrogen. In conjunction with the ASCR program a global systems application is continued to accelerate progress in coupled general circulation model development through use of enhanced computer simulation and modeling. The "genomes to life" activity, aimed at understanding the composition and function of biochemical networks that carry out essential processes of living organisms is funded at \$36.7 million. In FY 2003, the Environmental Management Science Program and the Savannah River Ecology Laboratory are transferred from the Office of Environmental Management to the Office of Science.

Basic Energy Sciences.—The basic energy sciences (BES) program funds basic research in the physical, biological and engineering sciences that support the Department's nuclear and non-nuclear technology programs. The BES program is responsible for operating large national user research facilities, including synchrotron light and neutron sources, a combustion research facility, as well as smaller user facilities such as materials preparation and electron microscopy centers.

The BES program supports a substantial basic research budget for materials sciences, chemical sciences, energy biosciences, engineering and geosciences. The program supports a number of research areas that are unique within the Federal government; in many basic research areas, such as materials science, funding provided by the BES program represents a large percentage, or even the sole source, of Federal funding.

The 2003 BES budget request includes continued support to maintain utilization of the Department's large state-of-the-art science facilities. The proposed funding will maintain the quality of service and availability of facility resources to users, including university and government scientists, as well as private companies who rely on unique BES facilities for their basic research needs. Research areas that will benefit from the facilities funding include structural biology, materials science, superconductor technology, and medical research and technology development.

In addition, the BES request includes \$225.0 million in 2003 to continue construction at Oak Ridge National Laboratory for the Spallation Neutron Source (SNS) to meet the Nation's neutron scattering needs. The request includes \$5 million to begin design and construction of additional instruments beyond the initial instrument suite included in the construction project data sheet. The SNS will provide significant scientific, technical, and economic benefits that derive from neutron scattering and materials irradiation research. This world class Neutron source will enable the Nation to carry out major research activities in areas such as biology, materials science, superconductivity, pharmaceuticals, and electronic materials, that are critical for future U.S. economic competitiveness and national security. The multi-agency national nanotechnology program is \$129.0 million and includes PED and construction funding for NSRC's at 3 national laboratories.

Fusion Energy Sciences Program.—The fusion energy sciences program for 2003 continues to implement the recommendations of the reports by the National Research Council, the Secretary of Energy Advisory Board and recommendations of the Fusion Energy Science Advisory committee. The mission of the program is to advance plasma science, fusion science, and fusion technology. The program emphasizes the underlying basic research in plasma and fusion sciences, with the long-term goal of harnessing fusion as a viable energy source. The program centers on the following goals: understanding the physics of plasmas; identification and exploration of innovative and cost effective development paths to

General and special funds—Continued

SCIENCE—Continued

fusion energy; and exploration of the science and technology of energy producing plasmas, as a partner in an international effort.

The budget request provides for support of basic research in plasma science in partnership with NSF, plasma containment research, and investigation of tokamak alternatives, along with continued operation of DIII-D, Alcator C-Mod, and the National Spherical Torus Experiment. Research on alternate concepts is continued to develop a fuller understanding of the physics of magnetically confined plasma and to identify approaches that may improve the economical and environmental attractiveness of fusion. Fabrication of the new National Compact Stellarator experiment will be initiated at Princeton Plasma Physics Laboratory. The inertial fusion energy activity is exploring an alternative path for fusion energy that would capitalize on the major R&D effort in inertial confinement fusion which is carried out by NNSA for stockpile stewardship purposes. Theory and modeling efforts will be supported to develop a predictive capability for the operation of fusion experiments. Enabling technology research will also be conducted in support of the science experiments.

Energy research analyses.—This activity involves objective assessments to evaluate the quality and impact of DOE research programs and projects.

Science laboratories infrastructure.—The goal of the science laboratories infrastructure program is to provide funds for rehabilitating, replacing or demolishing deficient common-use utilities, roads, and buildings and to correct Environment, Safety and Health deficiencies at the civilian science laboratories. An “excess facilities disposal” subprogram, first funded by Congress in FY 2002 as the Facilities and Infrastructure program, is included in FY 2003 in the Science Laboratories Infrastructure program. The Oak Ridge Landlord activity is also funded here.

Advanced Scientific Computing Research (ASCR).—This program includes research in mathematical, information, and computational sciences and laboratory technology research activities. The purpose of the ASCR program is to support advanced computational research—applied mathematics, computer science, and networking—to enable the analysis, simulation and prediction of complex physical phenomena. The program also supports the operation of large supercomputer user facilities. The request includes research, integrated with other science programs, on application of computer simulation and modeling to science problems.

Safeguards and security.—The mission of this program is to ensure appropriate levels of protection and provide against: unauthorized access, theft, diversion, loss of custody, or destruction of Department of Energy assets and hostile acts that may cause adverse impacts on fundamental science, or the health and safety of DOE and contractor employees, the public, or the environment. The 2003 request provides funding for physical protection, protective forces, physical security, protective systems, information security, cyber security, personnel security, materials control and accountability and program management activities. In FY 2003, costs for safeguards and security at Argonne National Laboratory—West are transferred from the Office of Science to the Office of Environmental Management.

Object Classification (in millions of dollars)

Identification code 89-0222-0-1-251	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	76	73	70
11.3 Other than full-time permanent	2	2	2
11.8 Special personal services payments	4	1	1

11.9 Total personnel compensation	82	76	73
12.1 Civilian personnel benefits	23	21	20
13.0 Benefits for former personnel	1	1	2
21.0 Travel and transportation of persons	3	3	3
23.1 Rental payments to GSA	4	4	4
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	5	4	4
25.1 Advisory and assistance services	6	4	4
25.2 Other services	46	67	61
25.3 Other purchases of goods and services from Government accounts	6	18	16
25.4 Operation and maintenance of facilities	1,658	849	852
25.5 Research and development contracts	70	1,077	1,111
26.0 Supplies and materials	1	6	7
31.0 Equipment	238	200	223
32.0 Land and structures	385	399	375
41.0 Grants, subsidies, and contributions	699	519	529
99.9 Total new obligations	3,228	3,249	3,285

Personnel Summary

Identification code 89-0222-0-1-251	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	1,034	969	766

ENERGY SUPPLY

For Department of Energy expenses including the purchase, construction, and acquisition of plant and capital equipment, and other expenses necessary for energy supply activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion; [and the purchase of not to exceed 17 passenger motor vehicles for replacement only, \$666,726,000] \$696,690,000, to remain available until expended. (*Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 89-0224-0-1-271	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
Renewable energy resources:			
00.02 Renewable energy technologies, including hydrogen research	272	277	292
00.03 Electric energy systems and storage	51	73	70
00.04 Renewable energy program support and implementation	22	15	24
00.05 National renewable energy laboratory	4	5	5
00.06 Program direction	20	21	17
00.91 Total renewable energy resources	369	391	408
02.00 Total, office of energy efficiency and renewable energy	369	391	408
Office of science:			
03.01 Technical information management program	9	8	8
04.01 Nuclear energy research and development	239	251	251
04.02 Environment, safety and health	36	32	30
04.91 Total, other energy supply direct activities	275	283	281
08.00 Total, direct program	653	682	697
09.10 Reimbursable program	597	1,411	1,350
10.00 Total new obligations	1,250	2,093	2,047
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	80	73
22.00 New budget authority (gross)	1,243	2,020	2,047
22.10 Resources available from recoveries of prior year obligations	1
23.90 Total budgetary resources available for obligation	1,324	2,093	2,047
23.95 Total new obligations	-1,250	-2,093	-2,047
24.40 Unobligated balance carried forward, end of year	73

New budget authority (gross), detail:			
Discretionary:			
Appropriation:			
40.00	Appropriation	663	670 697
40.00	Appropriation (P.L. 106-554)	1	
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)	-1	
41.00	Transferred to other accounts	-5	
43.00	Appropriation (total discretionary)	658	670 697
Spending authority from offsetting collections:			
68.00	Offsetting collections (cash)	570	1,350 1,350
68.10	Change in uncollected customer payments from Federal sources (unexpired)	15	
68.90	Spending authority from offsetting collections (total discretionary)	585	1,350 1,350
70.00	Total new budget authority (gross)	1,243	2,020 2,047
Change in obligated balances:			
72.40	Obligated balance, start of year	487	442 490
73.10	Total new obligations	1,250	2,093 2,047
73.20	Total outlays (gross)	-1,215	-2,045 -2,031
73.31	Obligated balance transferred to other accounts	-65	
73.45	Recoveries of prior year obligations	-1	
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-15	
74.40	Obligated balance, end of year	442	490 506
Outlays (gross), detail:			
86.90	Outlays from new discretionary authority	868	1,653 1,665
86.93	Outlays from discretionary balances	347	392 366
87.00	Total outlays (gross)	1,215	2,045 2,031
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00	Federal sources	-304	-720 -720
88.40	Non-Federal sources	-266	-630 -630
88.90	Total, offsetting collections (cash)	-570	-1,350 -1,350
Against gross budget authority only:			
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-15	
Net budget authority and outlays:			
89.00	Budget authority	658	670 697
90.00	Outlays	645	695 681

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00	Budget authority	655	667 694
90.00	Outlays	642	692 678

The purpose of Energy Supply Research and Development activities is to develop new energy technologies and improve existing energy technologies. Included in this mission are basic and applied research and targeted programs in technology development.

This account provides funds for operating expenses, and capital equipment for the advancement of the various energy technologies under examination in the energy supply, research and development mission.

The detailed budget structure shown in lines 00.01 through 10.00 above is intended to clarify the nature and management of Energy Supply activities

Renewable Energy Resources.—A sound, viable program is proposed for 2003 to lead the Nation in research and development of renewable energy and related technologies to meet the growing need for clean and affordable energy. Program activities range from basic research in universities and national laboratories to cost-shared applied research, development, and field validation in partnership with the private sector. Specific goals and activities of the 2003 program include: (1) Biomass and Biofuels Energy Systems: continue

R&D to achieve further reductions in biopower and biofuels production costs, and develop high-efficiency thermochemical and biochemical conversion technologies. Additionally, pursue a multi-sectoral approach, in collaboration with the Department of Agriculture, to take advantage of the emerging technology synergies amongst biomass power, biofuels, and the production of bio-based products. These developments will lead to the deployment of biorefineries and raise the prospect of reduction in foreign oil dependency, improved rural economic development, increased environmental benefits in both urban and rural areas, and new global market opportunities for U.S. industry. (2) Geothermal Technology Development: begin development of an enhanced geothermal system that will allow the broader use of geothermal energy throughout the western United States, and conduct cooperative research with industry to reduce the cost of geothermal development and to identify new resources. (3) Hydrogen research and development: reduce the cost of hydrogen production. Increase the capacity and reduce the cost of hydrogen storage systems. Develop more efficient, lower cost fuel cell systems that incorporate accurate hydrogen sensors for leak detection and safety measurements. (4) Hydropower: continue development of "fish-friendly" turbine systems to address the primary environmental mitigation issues associated with licensing and sustaining hydropower production. (5) Solar Energy: develop more efficient photovoltaic materials and cell devices, lower-cost thin-film technologies, improved manufacturing and large-area processing, and more reliable modules and systems as part of an industry-led research effort and focus on cooperative industry and utility efforts to effectively use advanced solar technology for water-heating. (6) Wind Energy Systems: develop in partnership with industry low wind speed technology to allow wind power to be cost-competitive in these more prevalent, lower wind resources areas, and support related technology base advances.

Electric Energy Systems and Storage: Reliable delivery of electricity is becoming an increasingly important concern that is not being addressed by market mechanisms. The inherent losses in conventional electric conductors represent a long-standing inefficiency that may soon be addressed by the emerging technology of high-temperature superconductivity. The 2003 program activities will include: (1) High-Temperature Superconductivity: super-efficient generators, transformers, and transmission cables that reduce energy losses by 50 percent and allow equipment to be half the size of current systems; (2) Distributed Energy Systems: develop advanced technologies to enhance the reliability, capacity, and power quality of electric power transmission and distribution. Work in partnership with industry to develop and integrate energy storage systems and distributed power generation. Develop and test real-time system controls to ensure reliability during both normal and emergency power system operations.

Renewable Support and Implementation: encourage municipal and public power entities to acquire renewable energy generation resources through the Renewable Energy Production Incentive; encourage the deployment of U.S. renewable energy technologies in the developing world through the International Renewable Energy Program; facilitate the identification and responsible development of renewable energy resources on Native American lands; and encourage the use of renewable energy technologies in remote areas of the U.S. through the competitive solicitation program. The Departmental Energy Management program will continue to fund, through internal competition, the most worthwhile direct funding opportunities to improve energy efficiency through the use of renewable technologies in DOE's facilities.

Nuclear fission.—The 2003 budget request continues to support the Nuclear Energy Research Initiative (NERI), an investigator-initiated, peer-reviewed research and development program that addresses key issues affecting the future of nuclear

General and special funds—Continued

ENERGY SUPPLY—Continued

energy, including nuclear waste storage and disposal, nuclear plant economics and operational safety, and potential for weapons proliferation.

The Department also continues to support the University program, preserving the education and training infrastructure needed to develop the next generation of nuclear scientists and engineers. In addition, Administration's proposal supports the Nuclear Energy Technologies program, which includes Generation IV systems to pursue the development of next generation nuclear energy and nuclear fuel cycle technologies in collaboration with the international community and the Nuclear Power 2010 program to pursue regulatory approvals and design completion in a phased approach, leading to construction and startup of new nuclear plants in the U.S. by 2010.

Nuclear fission programs also support the Department's critical infrastructure necessary to enable research on advanced nuclear power systems for U.S. national security and other federal agencies, the production of radioisotopes for medical and other research purposes and maintain and operate the Department's nuclear facilities, including the Advanced Test Reactor and hotcells, in a safe, environmentally compliant and cost-effective manner.

Environment, safety and health.—The Office of Environment, Safety and Health is a corporate resource that fosters protection of workers, the public, and the environment. The office develops and improves policies; monitors environment, safety, and health performance; and provides guidance, resources, and information sharing.

Note that the budget request for the Office of Environment, Safety and Health programs is contained in two accounts: Energy Supply and Other Defense Activities. The funding in this account supports policy, standards and guidance and DOE-wide ES&H programs as well as program direction.

Technical information management program.—This program provides timely, accurate technical information to DOE's researchers and the public by collecting, preserving, and disseminating scientific and technical information, the principal product resulting from the multi-billion dollar Department of Energy research and development (R&D) program. The TIM program also provides worldwide energy scientific and technical information to the Department of Energy (DOE), the United States, industry, academia, and the public through interagency and international scientific and technical information exchange agreements and coordinates technical information-related activities across DOE and its laboratories.

Object Classification (in millions of dollars)

Identification code 89-0224-0-1-271	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	36	38	38
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	38	40	40
12.1 Civilian personnel benefits	11	11	11
21.0 Travel and transportation of persons	2	2	2
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services	32	34	34
25.2 Other services	30	31	33
25.3 Other purchases of goods and services from Government accounts	9	9	10
25.4 Operation and maintenance of facilities	385	402	412
25.5 Research and development contracts	11	12	12
26.0 Supplies and materials	1	1	1
31.0 Equipment	12	14	13
32.0 Land and structures	7	7	7
41.0 Grants, subsidies, and contributions	114	118	121
99.0 Direct obligations	653	682	697

99.0 Reimbursable obligations	597	1,411	1,350
99.9 Total new obligations	1,250	2,093	2,047

Personnel Summary

Identification code 89-0224-0-1-271	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	436	466	421
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	4		

NON-DEFENSE ENVIRONMENTAL MANAGEMENT

For Department of Energy expenses, including the purchase, construction and acquisition of plant and capital equipment and other expenses necessary for non-defense environmental management activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, **[\$236,372,000]** \$166,000,000, to remain available until expended: **[Provided, That funding for the West Valley Demonstration Project shall be reduced in subsequent fiscal years to the minimum necessary to maintain the project in a safe and stable condition, unless, not later than September 30, 2002, the Secretary: (1) provides written notification to the Committees on Appropriations of the House of Representatives and the Senate that agreement has been reached with the State of New York on the final scope of Federal activities at the West Valley site and on the respective Federal and State cost shares for those activities; (2) submits a written copy of that agreement to the Committees on Appropriations of the House of Representatives and the Senate; and (3) provides a written certification that the Federal actions proposed in the agreement will be in full compliance with all relevant Federal statutes and are in the best interest of the Federal Government].** (*Energy and Water Development Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 89-0250-0-1-271	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Site closure	80	43	
00.02 Site/project completion	72	64	51
00.03 Post 2006 completion	134	127	113
00.04 Excess facilities		4	2
10.00 Total new obligations	286	238	166
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	2	
22.00 New budget authority (gross)	287	236	166
23.90 Total budgetary resources available for obligation	289	238	166
23.95 Total new obligations	-286	-238	-166
24.40 Unobligated balance carried forward, end of year	2		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	290	236	166
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
41.00 Transferred to other accounts	-2		
43.00 Appropriation (total discretionary)	287	236	166
Change in obligated balances:			
72.40 Obligated balance, start of year	119	112	85
73.10 Total new obligations	286	238	166
73.20 Total outlays (gross)	-291	-265	-190
73.31 Obligated balance transferred to other accounts	-2		
74.40 Obligated balance, end of year	112	85	61
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	172	165	116
86.93 Outlays from discretionary balances	119	100	73
87.00 Total outlays (gross)	291	265	190

Net budget authority and outlays:				
89.00	Budget authority	287	236	166
90.00	Outlays	291	265	190

Environmental management.—The Environmental Management (EM) program is responsible for addressing the environmental legacy resulting from nuclear energy and energy research activities. The nuclear energy research and development efforts of the Department of Energy and its predecessors focused on peaceful uses of nuclear energy and generated waste, pollution, and contamination that pose unique problems, including unprecedented volumes of contaminated soil and water, radiological hazards from special nuclear material, and a vast number of contaminated structures. Much of this infrastructure, waste, and contamination still exists and is largely maintained, decommissioned, managed, and remediated by the EM program, which is sometimes referred to as the “cleanup program.” EM’s responsibilities include facilities and sites in 30 states and one territory, and occupy an area equal to that of Rhode Island and Delaware combined—or about 2.1 million acres.

EM activities include: environmental restoration, which provides for assessments, characterization, remediation, and decontamination and decommissioning of contaminated DOE facilities and sites; waste management, which provides for the safe, treatment, storage, and disposal of wastes generated by defense activities; and, nuclear material and facility stabilization, which provides for stabilization, safeguarding, interim storage, and stewardship of excess nuclear materials, awaiting ultimate disposition.

EM will continue to improve the efficiency of its programs through a variety of management and contracting strategies with emphasis on the reduction of support costs and implementation of performance-based contracts.

The EM program has established a goal of cleaning up as many of its contaminated sites as possible by 2006, in a manner that is safe and protects the environment. By working toward this goal, EM can reduce the hazards presently facing its workforce and the public, and reduce the financial burden on the taxpayer. The 2003 budget request continues to reflect the program’s emphasis on site closure and project completion—in other words, finishing the work as quickly as possible.

The 2003 budget request will support the following major program areas:

Site closure.—This account provides funding for completing cleanup and closing facilities with no enduring Federal presence on site, except for stewardship activities. The Department has established a goal of completing cleanup activities budgeted for in this account by 2006.

Site/project completion.—This account provides funding for environmental management projects that will be completed by 2006 at (1) EM sites where overall site cleanup will not be fully accomplished by 2006; and (2) DOE sites where all EM projects will be completed by 2006 (except for long-term stewardship activities), but where there will be a continuing federal workforce at the site to carry out enduring non-EM missions, such as nuclear weapons support or scientific research, and the necessary waste management to handle newly generated wastes from these missions. This account includes projects and sites under the following operations offices: Albuquerque, Chicago, Idaho, Oakland, and Richland.

Post 2006 completion.—This account funds projects that are expected to require work beyond 2006. This includes projects at the following operations offices and sites: Idaho, Oakland, Albuquerque, and West Valley, New York.

Excess Facilities.—Provides funding to manage the final disposition of excess contaminated physical facilities transferred to the EM program. Activities in 2003 will be limited to surveillance and maintenance to keep the facilities in a safe condition. The account includes excess facilities at the Los

Alamos, New Mexico, Brookhaven National Laboratory, New York, and Oak Ridge, Tennessee transferred from the Office of Science.

Object Classification (in millions of dollars)

Identification code 89-0250-0-1-271	2001 actual	2002 est.	2003 est.	
25.1	Advisory and assistance services	32	26	18
25.2	Other services	62	51	37
25.4	Operation and maintenance of facilities	164	136	94
25.5	Research and development contracts	25	21	14
32.0	Land and structures	-2		
41.0	Grants, subsidies, and contributions	5	4	3
99.9	Total new obligations	286	238	166

URANIUM FACILITIES MAINTENANCE AND REMEDIATION

For necessary expenses to maintain, decontaminate, decommission, and otherwise remediate uranium processing facilities, **[\$418,425,000] \$382,154,000**, of which **[\$299,641,000] \$235,523,000**, shall be derived from the Uranium Enrichment Decontamination and Decommissioning Fund, all of which shall remain available until expended. (*Energy and Water Development Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 89-0315-0-1-271	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Uranium Enrichment Decontamination and Decommissioning Fund:				
00.01	Environmental restoration and waste management	294	312	235
00.02	Uranium/Thorium reimbursements	52	1	1
00.91	Total, Uranium enrichment decontamination and decommissioning	346	313	236
01.01	Other uranium activities	48	125	146
10.00	Total new obligations	394	438	382

Budgetary resources available for obligation:				
Unobligated balance carried forward, start of year:				
21.40	Unobligated balance carried forward, start of year [Uranium Enrichment D&D Fund]		20	
21.40	Unobligated balance carried forward, start of year [Uranium Programs]			
21.99	Total unobligated balance carried forward, start of year		20	
22.00	New budget authority (gross)	413	418	382
23.90	Total budgetary resources available for obligation	413	438	382
23.95	Total new obligations	-394	-438	-382
Unobligated balance carried forward, end of year:				
24.40	Unobligated balance carried forward, end of year [Uranium Enrichment D&D Fund]	20		
24.40	Unobligated balance carried forward, end of year [Uranium Programs]			
24.99	Total unobligated balance carried forward, end of year	20		

New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	48	118	146
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
41.00	Transferred to other accounts	-8		
42.00	Transferred from other accounts	374	300	236
43.00	Appropriation (total discretionary)	413	418	382

Change in obligated balances:				
72.40	Unpaid obligations, start of year [Uranium Enrichment D&D Fund]		174	162
73.10	Total new obligations	394	438	382
73.20	Total outlays (gross)	-355	-450	-375
73.32	Obligated balance transferred from other accounts	135		
74.40	Obligated balance, end of year	174	162	169

Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	223	263	231
86.93	Outlays from discretionary balances	132	187	144

General and special funds—Continued**URANIUM FACILITIES MAINTENANCE AND REMEDIATION—Continued****Program and Financing** (in millions of dollars)—Continued

Identification code 89-0315-0-1-271	2001 actual	2002 est.	2003 est.
87.00 Total outlays (gross)	355	450	375
Net budget authority and outlays:			
89.00 Budget authority	413	418	382
90.00 Outlays	355	450	375

This account includes remedial action, the depleted uranium hexafluoride conversion project, and other costs associated with environmental cleanup activities at sites leased and operated by the United States Enrichment Corporation, as well as DOE facilities at these and other sites. These activities were previously funded in the Uranium Enrichment Decontamination and Decommissioning Fund. A portion of the fund will be used to reimburse current owners of uranium and thorium sites for a portion of their remediation costs for tailings attributable to the sale of uranium or thorium to the Federal Government.

This fund includes projects at the East Tennessee Technology Park and Oak Ridge Reservation, Tennessee; Paducah gaseous diffusion plant, Kentucky; and Portsmouth gaseous diffusion plant, Ohio.

Other Uranium Activities support important government activities related to the Federal Uranium Enrichment Program that were not transferred to the United States Enrichment Corporation. These activities include maintenance of facilities and inventories, and pre-existing liabilities.

Object Classification (in millions of dollars)

Identification code 89-0315-0-1-271	2001 actual	2002 est.	2003 est.
23.3 Communications, utilities, and miscellaneous charges	5	6	1
25.2 Other services	130	145	123
25.4 Operation and maintenance of facilities	255	283	253
41.0 Grants, subsidies, and contributions	4	4	5
99.9 Total new obligations	394	438	382

FOSSIL ENERGY RESEARCH AND DEVELOPMENT

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses in carrying out fossil energy research and development activities, under the authority of the Department of Energy Organization Act (Public Law 95-91), including the acquisition of interest, including defeasible and equitable interests in any real property or any facility or for plant or facility acquisition or expansion, and for conducting inquiries, technological investigations and research concerning the extraction, processing, use, and disposal of mineral substances without objectionable social and environmental costs (30 U.S.C. 3, 1602, and 1603), **[\$616,490,000] \$534,155,000**, to remain available until expended, **[of which \$11,000,000 is to begin a 7-year project for construction, renovation, furnishing, and demolition or removal of buildings at National Energy Technology Laboratory facilities in Morgantown, West Virginia and Pittsburgh, Pennsylvania; and for acquisition of lands, and interests therein, in proximity to the National Energy Technology Laboratory, and] of which [\$33,700,000] \$40,000,000** shall be derived by transfer from funds appropriated in prior years under the heading "Clean Coal Technology", and of which \$150,000,000 [and such sums as may be appropriated in fiscal year 2003] are to be made available, after coordination with the private sector, for a request for proposals for a Clean Coal Power Initiative providing for competitively-awarded **[demonstrations of commercial scale technologies] research, development, and demonstration projects** to reduce the barriers to continued and expanded coal use: *Provided*, **[That the request for proposals shall be issued no later than 120 days following enactment of this Act, proposals shall be submitted no later than 150 days after the issuance of the request for proposals, and the Department of Energy shall**

make project selections no later than 160 days after the receipt of proposals: *Provided further*, **[That no project may be selected for which sufficient funding is not available to provide for the total project: *Provided further*, That funds shall be expended in accordance with the provisions governing the use of funds contained under the heading "Clean Coal Technology" in [prior appropriations] 42 U.S.C. 5903d: *Provided further*, That the Department may include provisions for royalties or other means of repayment of Government contributions to individual projects [in an amount up to the Government contribution to the project on terms and conditions that are acceptable to the Department], including repayments from sale and licensing of technologies from both domestic and foreign transactions: *Provided further*, That such repayments shall be retained by the Department for future coal-related research, development and demonstration projects, *subject to appropriation in advance: *Provided further*, That any technology selected under this program shall be considered a Clean Coal Technology, and any project selected under this program shall be considered a Clean Coal Technology Project, for the purposes of 42 U.S.C. §7651n, and Chapters 51, 52, and 60 of title 40 of the Code of Federal Regulations: [Provided further, That funds excess to the needs of the Power Plant Improvement Initiative procurement provided for under this heading in Public Law 106-291 shall be made available for the Clean Coal Power Initiative provided for under this heading in this Act:] *Provided further*, That no part of the sum herein made available shall be used for the field testing of nuclear explosives in the recovery of oil and gas[: *Provided further*, That up to 4 percent of program direction funds available to the National Energy Technology Laboratory may be used to support Department of Energy activities not included in this account]. (Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)***

Program and Financing (in millions of dollars)

Identification code 89-0213-0-1-271	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 President's Coal Research Initiative		338	326
00.02 Other power systems	219	58	50
00.03 Oil and gas research and development	107	101	58
00.04 Program direction and management support	84	90	90
00.05 Environmental restoration	8	10	10
00.06 Cooperative research and development ventures	8	8	6
00.07 Import/Export authorizations	2	2	2
00.08 Plant and capital equipment	4	13	2
00.09 Advanced metallurgical process	5	5	5
00.10 Black Liquor Gasification	13		
10.00 Total new obligations	450	626	549
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	35	125	119
22.00 New budget authority (gross)	443	588	494
22.10 Resources available from recoveries of prior year obligations	2		
22.22 Unobligated balance transferred from other accounts	95	34	371
23.90 Total budgetary resources available for obligation	575	747	984
23.95 Total new obligations	-450	-626	-549
24.40 Unobligated balance carried forward, end of year	125	119	436
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	438	588	494
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
41.00 Transferred to other accounts	-8		
42.00 Transferred from other accounts	14		
43.00 Appropriation (total discretionary)	443	588	494
Change in obligated balances:			
72.40 Obligated balance, start of year	356	415	497
73.10 Total new obligations	450	626	549
73.20 Total outlays (gross)	-389	-544	-707
73.32 Obligated balance transferred from other accounts— Clean Coal			179
73.45 Recoveries of prior year obligations	-2		
74.40 Obligated balance, end of year	415	497	517
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	169	238	201
86.93 Outlays from discretionary balances	220	307	507

87.00	Total outlays (gross)	389	544	707
Net budget authority and outlays:				
89.00	Budget authority	443	588	494
90.00	Outlays	389	544	707

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	439	584	489
90.00	Outlays	385	540	702

Note.—Excludes \$5 million in budget authority in BY for natural gas infrastructure activities transferred to the Department of Transportation, Office of Pipeline Safety. Comparable amounts for PY (\$10 million) and CY (\$10 million) are included above.

The Fossil Energy Research and Development program supports high-priority, high risk and crosscutting research that will improve the Nation's ability to use coal, oil and natural gas cleanly and efficiently, and enhance the economic recovery of our oil and gas reserves. The program funds research and development that strengthens the technology base industry uses in developing new products and processes to support these national goals. Fossil Energy R&D supports activities ranging from early concept research in universities and national laboratories to applied R&D and proof-of-concept projects in private sector firms.

President's Clean Coal Research Initiative.—This year, the budget consolidates all coal research under one umbrella: the President's Clean Coal Research initiative. This approach will increase the efficiency and management of the effort, eliminating duplication, as well as provide for a more transparent budget structure. The Department will continue to increase involvement of the private sector and academia to help conduct and direct research toward the most critical barriers to expansion of coal use for power generation in the United States. This cooperative effort will require industry to share in the cost of research work, with the industry share increasing as technologies approach commercial stages. Technologies will be selected with the goal of accelerating development and deployment of coal technologies that will economically meet environmental standards, while increasing the efficiency and reliability of coal power plants. The coal R&D program will focus on addressing the energy and environmental demands of the post-2000 domestic market, and includes three elements: (1) Central systems, which includes the technologies for advanced coal-fueled power systems, and innovations for existing plants; (2) Sequestration R&D, which focuses on greenhouse gas capture and reduction; and (3) Advanced research, which, through early concept research, bridges fundamental research and engineering development. The program goals of these elements are integrated through the Vision 21 concept, aimed at doubling the existing power plant efficiency with the flexibility to produce high value products from coal and other fuels while achieving near-zero pollution and reducing energy costs.

Other Power Systems.—Other Power Systems focuses on novel power generation systems, distributed power generation systems including fuel cell technology, and supporting technology for all power systems.

Oil and Gas.—The Oil and Gas programs aim to develop revolutionary technologies for exploration and production of oil and gas from deeper geologic formations, harsher environments and more complex reservoirs, as well as methane hydrates. Other areas include providing small operators with tools to boost environmental performance and recovery efficiency of marginal wells via technology transfer. Natural gas infrastructure research activities previously carried out under this heading are transferred to the Department of Transportation's Office of Pipeline Safety to reduce duplication and streamline efforts.

Program direction and management support.—The program provides the funding for all headquarters and indirect field personnel and overhead expenses in Fossil Energy. In addition, it provides support for day-to-day project management functions.

Environmental restoration.—The Department of Energy is managing the environmental cleanup of former and present Fossil Energy project sites. Activities include environmental protection, onsite cleanup, and cleanup at several former off-site research and development locations in Wyoming and Connecticut and environmental efforts at the National Energy Technology Laboratory (NETL) Morgantown and Pittsburgh sites, and the Albany Research Center (ARC).

Import/Export Authorization.—This program will continue regulatory reviews and oversight of the transmission of natural gas and electricity across the U.S. borders.

Object Classification (in millions of dollars)

Identification code 89-0213-0-1-271	2001 actual	2002 est.	2003 est.	
Personnel compensation:				
11.1	Full-time permanent	45	43	49
11.3	Other than full-time permanent	1	1	1
11.5	Other personnel compensation	1	1	1
11.9	Total personnel compensation	47	45	51
12.1	Civilian personnel benefits	15	13	15
21.0	Travel and transportation of persons	3	3	3
23.3	Communications, utilities, and miscellaneous charges	3	3	3
25.1	Advisory and assistance services	60	46	49
25.2	Other services	32	26	28
25.3	Other purchases of goods and services from Government accounts	7	8	8
25.4	Operation and maintenance of facilities	49	41	42
25.5	Research and development contracts	212	409	328
26.0	Supplies and materials	10	7	7
31.0	Equipment	1		
32.0	Land and structures	3	13	3
41.0	Grants, subsidies, and contributions	8	12	12
99.9	Total new obligations	450	626	549

Personnel Summary

Identification code 89-0213-0-1-271	2001 actual	2002 est.	2003 est.	
1001	Total compensable workyears: Full-time equivalent employment	662	705	771

NAVAL PETROLEUM AND OIL SHALE RESERVES

For expenses necessary to carry out naval petroleum and oil shale reserve activities, **[\$17,371,000] \$21,069,000**, to remain available until expended: *Provided*, That, notwithstanding any other provision of law, unobligated funds remaining from prior years shall be available for all naval petroleum and oil shale reserve activities. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 89-0219-0-1-271	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
10.00	Total new obligations	25	22	22
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	32	17	12
22.00	New budget authority (gross)	2	17	21
22.10	Resources available from recoveries of prior year obligations	8		
23.90	Total budgetary resources available for obligation	42	34	33
23.95	Total new obligations	-25	-22	-22
24.40	Unobligated balance carried forward, end of year	17	12	11

General and special funds—Continued

NAVAL PETROLEUM AND OIL SHALE RESERVES—Continued

Identification code 89-0219-0-1-271	2001 actual	2002 est.	2003 est.
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	2	17	21
Change in obligated balances:			
72.40 Obligated balance, start of year	23	18	17
73.10 Total new obligations	25	22	22
73.20 Total outlays (gross)	-22	-21	-20
73.45 Recoveries of prior year obligations	-8		
74.40 Obligated balance, end of year	18	17	19
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1	11	13
86.93 Outlays from discretionary balances	21	12	9
87.00 Total outlays (gross)	22	21	20
Net budget authority and outlays:			
89.00 Budget authority	2	17	21
90.00 Outlays	22	21	20

The Naval Petroleum and Oil Shale Reserves has historically managed, operated, maintained and produced the reserves to achieve the greatest value and benefit to the Government. From FY 1976 through FY 2000, NPOSR production activities generated a net income of \$21 billion for the U.S. Treasury. As a result of the National Defense Authorization Act for FY 1996, NPR-1 (Elk Hills) was sold to Occidental Petroleum Corporation and all three naval oil shale reserves (NOSR) have been transferred outside the Department. Administrative jurisdiction for NOSR-1 and NOSR-3 were transferred to the Department of the Interior to be made available for leasing. The third oil shale reserve, NOSR-2, was transferred to the UTe Indian Tribe in January 2000 in accordance with the Floyd D. Spence National Defense Authorization Act for Fiscal Year 2001. The U.S. retains a 9% royalty interest in the value of any oil, gas, other hydrocarbons, and other minerals produced from the conveyed land, which will be applied to costs for remediation of the uranium mill tailings site near Moab, Utah. The most significant post-sale activity is the settlement of ownership equity shares with the former unit partner in the NPR-1 field, Chevron USA Inc. Geologic petroleum and reservoir engineering services are required to prepare and support the Government's equity position before an independent petroleum engineer and the Assistant Secretary for Fossil Energy, who is to impartially determine final equity shares. Each percentage point change in equity is worth millions of dollars to the Government. Under the Rocky Mountain Oilfield Testing Center (RMOTC) program, the naval petroleum reserves offers NPR-3 (Teapot Dome) to the oil industry for use as a working laboratory on a cost sharing basis. The FY 2003 budget request is structured to consolidate the operations and management activities for the three remaining activities—Naval Petroleum Reserve Number 2, Naval Petroleum Reserve Number 3, and the Rocky Mountain Oilfield Testing Center. The Elk Hills closeout work includes reservoir engineering analysis to determine final equity percentages; legal support for all sale-related issues; and environmental remediation and cultural resource activities required as a result of the sale agreement. Responsibilities for the other properties include management and environmental compliance of the 17 NPR-2 leases; operation and maintenance of NPR-3 field operations; and environmental remediation of NPR-3.

Object Classification (in millions of dollars)

Identification code 89-0219-0-1-271	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	3	3	3
12.1 Civilian personnel benefits	1	1	1
25.1 Advisory and assistance services	9	8	8
25.2 Other services	10	9	9
99.0 Direct obligations	23	21	21
99.5 Below reporting threshold	2	1	1
99.9 Total new obligations	25	22	22

Personnel Summary

Identification code 89-0219-0-1-271	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	35	33	32

ENERGY CONSERVATION

For necessary expenses in carrying out energy conservation activities, **[\$912,805,000] \$904,304,000**, to remain available until expended: *Provided*, That **[\$275,000,000] \$315,898,000** shall be for use in energy conservation grant programs as defined in section 3008(3) of Public Law 99-509 (15 U.S.C. 4507): *Provided further*, That notwithstanding section 3003(d)(2) of Public Law 99-509, such sums shall be allocated to the eligible programs as follows: **[\$230,000,000] \$277,100,000** for weatherization assistance grants and **[\$45,000,000] \$38,798,000** for State energy conservation grants: *Provided further*, That 50 percent of the funds provided for the Energy Efficiency Science Initiative for fiscal year 2002 and thereafter shall be made available to the Fossil Energy Research and Development account]. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 89-0215-0-1-272	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Building technology, State and community programs—non-grant	123	116	93
00.02 Building technology, State and community programs—grants	195	275	316
00.03 Federal energy management program	26	29	30
00.04 Industrial sector	186	154	138
00.05 Power sector		64	64
00.06 Transportation sector	254	257	223
00.07 Policy and management	46	47	43
10.00 Total new obligations	830	942	907
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	41	24	
22.00 New budget authority (gross)	811	918	907
22.10 Resources available from recoveries of prior year obligations	2		
23.90 Total budgetary resources available for obligation	854	942	907
23.95 Total new obligations	-830	-942	-907
24.40 Unobligated balance carried forward, end of year	24		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	818	916	905
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-2		
41.00 Transferred to other accounts	-8		
42.00 Transferred from other accounts	2		
43.00 Appropriation (total discretionary)	810	916	905
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1	2	2
70.00 Total new budget authority (gross)	811	918	907
Change in obligated balances:			
72.40 Obligated balance, start of year	600	665	774
73.10 Total new obligations	830	942	907
73.20 Total outlays (gross)	-763	-833	-899

73.45	Recoveries of prior year obligations	-2		
74.40	Obligated balance, end of year	665	774	782
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	246	278	275
86.93	Outlays from discretionary balances	518	555	624
87.00	Total outlays (gross)	763	833	899
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-1	-2	-2
Net budget authority and outlays:				
89.00	Budget authority	810	916	905
90.00	Outlays	762	831	897

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	807	913	902
90.00	Outlays	759	828	894

The Administration's energy efficiency programs produce substantial benefits for the Nation—both now and in the future—in terms of economic growth, increased energy security and a cleaner environment through the research and development of energy efficiency and pollution prevention technologies. These programs carry out the Department's responsibility under the Energy Policy Act of 1992 and other authorizing legislation.

Independent estimates suggest that the dollar benefits of these programs—to industries, homeowners, and commercial firms—far exceed program costs. Furthermore, the technologies developed in these programs create jobs and global market opportunities for U.S. firms.

In total, the Department projects that its energy efficiency programs will save consumers and businesses over \$30 billion per year by the year 2010. Our transportation technologies research is designed to reduce oil consumption, thus reducing pollution and vulnerability to oil price shocks.

The activities and programs contained in the 2003 budget request represent a balanced portfolio of applied research and development. Virtually all of the research and development programs are conducted jointly with industrial partners who share significantly in research costs. Similarly, demonstration and deployment programs are specifically designed to leverage the existing programs and the efforts of utilities and existing state and local government programs in energy efficiency and pollution prevention.

Building technology, State, and community sector.—In partnership with the buildings industry, the program will continue to develop, promote, and integrate energy technologies and practices to make buildings more efficient and affordable and communities more livable. The Building Research and Standards program accelerates the availability of highly efficient buildings technologies and practices through research and development; increases the minimum efficiency of buildings and equipment through building codes, and appliance standards, and guidelines; and encourages the use of energy-efficient and renewable energy technologies and practices in residential and commercial buildings.

In addition, the Buildings Research and Standards program integrates research and development activities to improve the energy efficiency of appliances, building equipment, and the building envelope by developing test procedures and building efficiency codes and standards.

The Building Technology Assistance program complements the Research and Standards program by moving advanced technologies into the marketplace, producing near-term energy savings with associated economic and environmental

benefits. The Building Technology Assistance program is designed to promote the adoption of energy efficient and renewable energy technologies among States, municipalities, institutions, and by private citizens through community outreach and Energy Star programs. These voluntary partnerships help lower the barriers to adoption of cost-effective technologies advanced through collaborations with manufacturers, utilities, state and local government and community organizations. Conservation grants programs—the weatherization assistance program and the State energy program—assist States and localities in promoting energy efficiency.

Federal Energy Management Program.—The Federal Energy Management Program (FEMP) reduces the cost and environmental impact of the Federal government by advancing energy efficiency and water conservation, promoting the use of renewable energy, and managing utility costs in Federal facilities and operations. FEMP helps Federal agencies use energy savings performance contracts (ESPC) and utility energy savings contracts (UESC) to finance energy savings improvements at no net cost to taxpayers. FEMP also provides project-specific design assistance, energy audits, training, and technical information to help agencies implement energy efficiency, water conservation, and renewable energy technology projects. The program issues technical information, including Federal Technology Alerts and Product Energy Efficiency Recommendations, to help agencies make smarter energy investments. FEMP also assists agencies in meeting annual energy reporting requirements to Congress and the President, and disseminates educational information through its web site, newsletter, and other guidance materials.

Industrial sector.—The program focuses on funding cost-shared research in critical technology areas identified by industry. Through its "Industries of the Future" (Specific) program the Office of Industrial Technologies (OIT) encourages the most energy-intensive industries to develop a strategic vision and a "technology roadmap" to help achieve that vision. By identifying and prioritizing their technology needs, the industries help target R&D resources toward where they can provide the largest benefit. OIT has targetted the most energy-intensive and environmentally sensitive industries including: chemicals, forest products, steel, aluminum, metal casting, agriculture, mining, and glass. The focus is on high risk but promising technologies that decrease these industries' use of raw materials and depletable energy resources and reduce generation of wastes and pollutants. The Industries of the Future (Crosscutting) program develops technologies that are useful to multiple industries simultaneously, such as combustion equipment including gasification of biomass waste, and sensors and controls. It delivers information and tools to help plant managers make informed decisions on technology choices today that result in energy, waste and dollar savings. In addition, these programs develop advanced materials which address a multitude of wear and corrosion problems. Several Industries of the future sub-programs also contribute to the Department's Integrated Biomass initiative, including Agriculture, Industrial Gasification, and a portion of Forest Products.

Transportation sector.—This program funds the Office of Transportation Technologies (OTT), which conducts research and development of technologies that have the potential to significantly alter current projections of U.S. and world demand for energy, particularly oil. This program is the focal point for much of the Government's direct support for cooperative research programs with the automotive and truck industries, including the Administration's new FreedomCAR initiative. The program's goals include contributing to substantial improvements in fuel economy, as well as major reductions in environmental emissions, including criteria pollutants and carbon dioxide. Program priorities encompass a suite of technologies, including fuel cells, lightweight materials, electronic

General and special funds—Continued

ENERGY CONSERVATION—Continued

power control, high power storage, and hybrid electric drive motors. This program also supports research specifically aimed at improving the efficiency of energy conversion in advanced combustion engines and development of cleaner, more available, and more diverse transportation fuels, and demonstrating advanced alternative fuel vehicles. The program also implements the Energy Policy Act provisions that are intended to accelerate the use of alternative fuels and vehicles. OTT's public education programs are consistent with the President's National Energy Policy to expand consumer understanding of alternative fuel and energy efficient vehicles.

Power sector.—The program continues research and development to transform the current, inefficient electrical generation sector to a smarter, flexible and efficient energy system through the development and integration of distributed generation and combined heat and power technologies. Distributed generation refers to the production of electricity at or near the point of consumption in the residential, commercial, industrial or utility sector. Combined heat and power refers to energy systems that maximize efficiency by utilizing the heat generated from the production of electricity. Collectively, distributed generation and combined heat and power are referred to as Distributed Energy Resources (DER). Specifically, the public-private partnerships develop low cost, efficient, and clean energy choices for consumers that increase reliability and power quality as well as increase energy security in the U.S. These choices include fuel-flexible microturbines, gas turbines, reciprocating engines, fuel cells, desiccants, absorption chillers, and package or hybrid systems. In addition, the program addresses barriers to integrating these technologies with current building practices. Program priorities focus on increasing generation technology efficiencies to greater than 40 percent (compared to the current average grid efficiency of 33 percent), doubling the system efficiency at the customer site to greater than 70 percent while reducing emissions, and improving indoor air quality.

Object Classification (in millions of dollars)

Identification code 89-0215-0-1-272	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	34	36	34
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	2	2	1
11.9 Total personnel compensation	37	39	36
12.1 Civilian personnel benefits	11	12	12
21.0 Travel and transportation of persons	4	3	3
23.1 Rental payments to GSA	2	2	2
23.3 Communications, utilities, and miscellaneous charges	2	4	4
25.1 Advisory and assistance services	40	46	46
25.2 Other services	53	54	53
25.3 Other purchases of goods and services from Government accounts	6	7	7
25.4 Operation and maintenance of facilities	267	271	271
25.5 Research and development contracts	25	34	34
26.0 Supplies and materials	1	1	1
31.0 Equipment	6	6	6
41.0 Grants, subsidies, and contributions	376	463	432
99.9 Total new obligations	830	942	907

Personnel Summary

Identification code 89-0215-0-1-272	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	453	450	443

STRATEGIC PETROLEUM RESERVE

For necessary expenses for Strategic Petroleum Reserve facility development and operations and program management activities pursuant to the Energy Policy and Conservation Act of 1975, as amended (42 U.S.C. 6201 et seq.), **[\$179,009,000] \$169,754,000**, to remain available until expended, of which not to exceed \$8,000,000 shall be available for maintenance of a Northeast Home Heating Oil Reserve. (Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 89-0218-0-1-274	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Storage facilities operations	133	154	155
00.02 Management	17	18	15
10.00 Total new obligations	150	172	170
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	34	41	41
22.00 New budget authority (gross)	158	172	170
23.90 Total budgetary resources available for obligation	191	213	211
23.95 Total new obligations	-150	-172	-170
24.40 Unobligated balance carried forward, end of year	41	41	41
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	162	180	170
41.00 Transferred to other accounts	-8	-8	
42.00 Transferred from other accounts	4		
43.00 Appropriation (total discretionary)	158	172	170
Change in obligated balances:			
72.40 Obligated balance, start of year	66	61	67
73.10 Total new obligations	150	172	170
73.20 Total outlays (gross)	-155	-166	-171
74.40 Obligated balance, end of year	61	67	68
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	86	95	94
86.93 Outlays from discretionary balances	69	71	75
87.00 Total outlays (gross)	155	166	171
Net budget authority and outlays:			
89.00 Budget authority	158	172	170
90.00 Outlays	155	166	171

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	157	171	169
90.00 Outlays	154	165	170

The object of this program is to reduce the vulnerability of the United States to energy supply disruptions by maintaining a crude oil stockpile capable of rapid deployment at the direction of the President. This program enables the President to meet the Nation's membership commitments within the International Energy Agency's coordinated energy emergency response plans and programs to deter the use of energy supply disruptions and to take effective, co-ordinated action should such an energy supply disruption occur. During 2000, the Department established a two million barrel heating oil component of the reserve in the Northeast to help protect Americans from possible fuel shortages.

The account provides for ongoing operations and maintenance activities, vapor pressure control, planning studies, and program administration, as well as petroleum reserve storage facility construction.

The key measure of program performance is expressed as capability to comply with Level 1 Performance Criteria. These

criteria are specific engineered performance and reliability standards applied to critical inventory storage, drawdown, and distribution systems required for drawing down and distributing crude oil inventory.

Object Classification (in millions of dollars)

Identification code 89-0218-0-1-274	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	9	10	10
12.1 Civilian personnel benefits	3	4	4
21.0 Travel and transportation of persons	1	1	1
23.2 Rental payments to others	2	2	2
23.3 Communications, utilities, and miscellaneous charges	1	2	2
25.1 Advisory and assistance services	2	2	2
25.2 Other services	14	18	24
25.3 Other purchases of goods and services from Government accounts		1	1
25.4 Operation and maintenance of facilities	118	132	124
99.9 Total new obligations	150	172	170

Personnel Summary

Identification code 89-0218-0-1-274	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	125	128	128

SPR PETROLEUM ACCOUNT

For the acquisition and transportation of petroleum and for other necessary expenses pursuant to the Energy Policy and Conservation Act of 1975, as amended (42 U.S.C. 6201 et seq.), \$11,000,000, to remain available until expended.

Program and Financing (in millions of dollars)

Identification code 89-0233-0-1-274	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)	7	3	11
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	32	9	6
22.00 New budget authority (gross)	-16		11
23.90 Total budgetary resources available for obligation	16	9	17
23.95 Total new obligations	-7	-3	-11
24.40 Unobligated balance carried forward, end of year	9	6	6
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation			11
41.00 Transferred to other accounts	-16		
43.00 Appropriation (total discretionary)	-16		11
Change in obligated balances:			
72.40 Obligated balance, start of year	4	6	6
73.10 Total new obligations	7	3	11
73.20 Total outlays (gross)	-5	-3	-13
74.40 Obligated balance, end of year	6	6	4
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority			11
86.93 Outlays from discretionary balances	5	3	2
87.00 Total outlays (gross)	5	3	13
Net budget authority and outlays:			
89.00 Budget authority	-16		11
90.00 Outlays	5	3	13

This account provides for the acquisition, transportation, and injection of petroleum into the Strategic Petroleum Reserve and for its drawdown and distribution. The budget proposes \$11 million to fund fill of the SPR to its 700 million barrel capacity. The Department of Energy will add approximately 108 million barrels of oil to the SPR through placement into the SPR of royalty oil from federal offshore leases.

The funding will provide incremental costs of terminalling, transportation, power, and third party inspections. Filling the SPR addresses the President's initiative to enhance the energy security of the United States by strengthening the nation's capability to respond to potential oil supply disruptions.

ENERGY INFORMATION ADMINISTRATION

For necessary expenses in carrying out the activities of the Energy Information Administration, [\$78,499,000] \$82,801,000, to remain available until expended. (Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 89-0216-0-1-276	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	81	83	84
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	3	1
22.00 New budget authority (gross)	79	81	83
22.10 Resources available from recoveries of prior year obligations	3		
23.90 Total budgetary resources available for obligation	85	84	84
23.95 Total new obligations	-81	-83	-84
24.40 Unobligated balance carried forward, end of year	3	1	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	79	81	83
Change in obligated balances:			
72.40 Obligated balance, start of year	29	29	32
73.10 Total new obligations	81	83	84
73.20 Total outlays (gross)	-77	-80	-82
73.45 Recoveries of prior year obligations	-3		
74.40 Obligated balance, end of year	29	32	33
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	57	54	55
86.93 Outlays from discretionary balances	20	26	27
87.00 Total outlays (gross)	77	80	82
Net budget authority and outlays:			
89.00 Budget authority	79	81	83
90.00 Outlays	77	80	82
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	76	78	80
90.00 Outlays	74	77	79

This program supports energy information activities which are designed to provide timely, accurate and relevant energy information for use by the Administration, the Congress, and the general public. The activities funded in this program include the design, development and maintenance of information systems on petroleum, natural gas, coal, nuclear, electricity, alternate fuel sources, and energy consumption. This includes collecting data and ensuring its accuracy; preparing forecasts of alternative energy futures; and preparing reports on energy sources, end-uses, prices, supply and demand, and associated environmental, economic, international, and financial matters. In addition, the National Energy Information Center disseminates statistical and analytical publications, reports, and data files in hard-copy and electronic formats, and responds to public inquiries. Finally, this activity provides survey and statistical design standards, documentation

General and special funds—Continued

ENERGY INFORMATION ADMINISTRATION—Continued

standards, and energy data public-use forms clearance and burden control services.

Object Classification (in millions of dollars)

Identification code 89-0216-0-1-276	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	28	29	30
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	30	31	32
12.1 Civilian personnel benefits	9	9	9
25.2 Other services	27	28	28
25.3 Other purchases of goods and services from Government accounts	8	8	8
26.0 Supplies and materials	7	7	7
99.9 Total new obligations	81	83	84

Personnel Summary

Identification code 89-0216-0-1-276	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	372	374	374

ECONOMIC REGULATION

For necessary expenses in carrying out the activities of the Office of Hearings and Appeals, **[\$1,996,000] \$1,617,000**, to remain available until expended. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 89-0217-0-1-276	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 11.1)	2	2	1
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	2	2	2
23.95 Total new obligations	-2	-2	-1
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	2	2	2
Change in obligated balances:			
73.10 Total new obligations	2	2	1
73.20 Total outlays (gross)	-2	-2	-2
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2	2	2
86.93 Outlays from discretionary balances			1
87.00 Total outlays (gross)	2	2	2
Net budget authority and outlays:			
89.00 Budget authority	2	2	2
90.00 Outlays	2	2	2

Compliance.—This program, administered by the Office of General Counsel, is responsible for resolving all remaining enforcement actions to ensure that oil companies complied with petroleum regulations in effect prior to decontrol of oil in January 1981.

Hearings and appeals.—The Office of Hearings and Appeals issues all final orders of an adjudicatory nature other than those over which the Federal Energy Regulatory Commission or the Board of Contract Appeals have jurisdiction. It decides appeals of petroleum enforcement actions and administers refund proceedings involving funds obtained as a result of petroleum enforcement actions. This funding request is limited to expenses related to petroleum overcharge cases.

Personnel Summary

Identification code 89-0217-0-1-276	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	19	16	8

FEDERAL ENERGY REGULATORY COMMISSION

SALARIES AND EXPENSES

For necessary expenses of the Federal Energy Regulatory Commission to carry out the provisions of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including services as authorized by 5 U.S.C. 3109, the hire of passenger motor vehicles, and official reception and representation expenses (not to exceed \$3,000), **[\$184,155,000] \$199,928,000**, to remain available until expended: *Provided*, That notwithstanding any other provision of law, not to exceed **[\$184,155,000] \$199,928,000** of revenues from fees and annual charges, and other services and collections in fiscal year **[2002] 2003** shall be retained and used for necessary expenses in this account, and shall remain available until expended: *Provided further*, That the sum herein appropriated from the General Fund shall be reduced as revenues are received during fiscal year **[2002] 2003** so as to result in a final fiscal year **[2002] 2003** appropriation from the General Fund estimated at not more than **\$0**: *Provided further*, That the Commission is authorized an additional 5 senior executive service positions]. (*Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 89-0212-0-1-276	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Reimbursable program:			
09.01 Promote a secure, high-quality, environmentally-responsible energy infrastructure	55	59	62
09.02 Foster nationwide competitive energy markets as a substitute for traditional regulation	18	20	21
09.03 Protect customers and market participants through vigilant and fair oversight	25	27	28
09.04 Efficiently administer the agency's resources to accomplish the agency's goals	81	86	89
09.99 Total reimbursable program	179	192	200
10.00 Total new obligations	179	192	200
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4	8	8
22.00 New budget authority (gross)	183	192	200
23.90 Total budgetary resources available for obligation	187	200	208
23.95 Total new obligations	-179	-192	-200
24.40 Unobligated balance carried forward, end of year	8	8	8
New budget authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	183	192	200
Change in obligated balances:			
72.40 Obligated balance, start of year	25	26	28
73.10 Total new obligations	179	192	200
73.20 Total outlays (gross)	-178	-190	-200
74.40 Obligated balance, end of year	26	28	28
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	152	164	171
86.93 Outlays from discretionary balances	26	26	28
87.00 Total outlays (gross)	178	190	200
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-183	-192	-200
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-5	-2	

The Federal Energy Regulatory Commission (Commission) regulates key interstate aspects of the electric power, natural gas, oil pipeline, and hydropower industries. The Commission chooses regulatory approaches that foster competitive markets whenever possible, assures access to reliable service at a reasonable price, and gives full and fair consideration to environmental and community impacts in assessing the public interest of energy projects. Regulated businesses pay fees and charges sufficient to recover the Government's full costs of operations.

Energy Infrastructure.—The Commission must promote a secure, high quality and environmentally responsible infrastructure through consistent policies to meet market and operational demands. To ensure that needed new infrastructure is financially viable, the Commission determines just and reasonable rates for the interstate transportation of natural gas and oil on the pipelines subject to the Commission's jurisdiction and sets rates for the interstate transmission and wholesale sales of electric energy. It approves rates for all Federal power marketing administrations, but not for TVA. The Commission also certifies three special classes of power generators: cogeneration facilities, small power production facilities, and exempt wholesale generators. Furthermore, the Commission authorizes tariff provisions, as appropriate, to allow the gas and oil pipelines to adjust their services to meet their customers' needs and the pipelines' needs to meet competition in their markets. The Commission has and will continue to develop creative and flexible pricing policies and new incentive mechanisms to promote the development of the nation's electric and gas infrastructures and support the competitive marketplace.

The Commission will continue to ensure that environmental concerns involving energy projects are properly addressed and that the public interest is protected when new hydropower projects are licensed or relicensed and when new natural gas pipeline services are authorized. The Commission issues preliminary permits, exemptions, licenses and relicenses for non-federal hydroelectric projects, enforces their terms and conditions, and performs dam safety inspections. It regulates over 1,660 hydroelectric projects, which supply about 5 percent of the electric energy generated in the United States. The Commission investigates to determine the amount of headwater benefits derived from federally owned and FERC-licensed headwater improvements, collects this amount from licensees, and returns it to the U.S. Treasury. The Commission also issues certificates authorizing natural gas pipelines to construct and operate new facilities and to provide new services.

Competitive Energy Markets.—The Commission fosters nationwide competitive energy markets as a substitute for traditional regulation. Since enactment of the Energy Policy Act of 1992, the Commission has introduced a number of initiatives to foster wholesale competition in the generation sector of the electric utility industry. In 1996, the Commission issued Order Nos. 888 and 889, which require all jurisdictional public utilities to provide open access transmission service to all wholesale customers under standard terms and conditions. At the end of 1999, the Commission issued Order No. 2000, which called on utilities to voluntarily form regional transmission organizations (RTOs). As a result, many utilities have proposed to turn over control of their transmission systems to RTOs. This requires Commission approval. For the development of RTOs with consistent ways of doing business and that operate efficiently on a regional basis, the Commission will encourage standardized business rules and practices to maximize market efficiency, ease market entry, and reduce transactions costs. The Commission will use balanced, industry-led organizations to develop reliability and business practice standards, and will ensure that RTOs will have responsibility for regional transmission planning.

Market Oversight.—The Commission must protect customers and market participants through vigilant and fair oversight of the transitioning energy markets. The Commission will strengthen the role of RTO market monitoring units and will count on them as the first line of defense against problems. The Commission will ensure procompetitive market structures by identifying and remedying problems, assessing market and infrastructure conditions against objective benchmarks, and periodically reviewing and revising market rules for sustained, long-term development of energy markets. To this end, the Commission will publish a Seasonal Market Assessment in advance of the summer cooling season and again before the winter heating season, assessing conditions and prioritizing actions needed for improvement of market performance. This will allow for correction of major potential problems in the markets before they become serious. In addition, the Commission will continue to ensure that mergers and consolidations are consistent with pro-competitive goals. The Commission will detect abuses of market power quickly and use prohibitions and penalties as necessary to remove, prevent, and deter abuses. The Commission will conduct investigations as warranted and act on complaints, using litigation before administrative law judges as necessary.

Resource Administration.—Efficient administration of resources facilitates the Commission's ability to accomplish its regulatory mission. Resource administration includes human resources management and development, financial management, procurement, strategic planning, information technology, and external communications.

Object Classification (in millions of dollars)

Identification code 89-0212-0-1-276		2001 actual	2002 est.	2003 est.
99.0	Reimbursable obligations: Reimbursable obligations ...	179	192	200
99.9	Total new obligations	179	192	200

Personnel Summary

Identification code 89-0212-0-1-276		2001 actual	2002 est.	2003 est.
2001	Total compensable workyears: Full-time equivalent employment	1,170	1,250	1,250

CLEAN COAL TECHNOLOGY

[(DEFERRAL)] (INCLUDING TRANSFER OF FUNDS)

[Of the funds made available under this heading for obligation in prior years, \$40,000,000 shall not be available until October 1, 2002: *Provided*, That funds] *All balances under this heading are hereby transferred to the "Fossil Energy Research and Development" account for use in the Clean Coal Power Initiative: Provided, That all commitments to existing projects may be continued and executed under existing agreements: Provided further, That new projects undertaken with these funds shall comply with the requirements of 42 U.S.C. 5903d: Provided further, That no project may be selected for which sufficient funding is not available to provide for the total project: Provided further, That the Department may include provisions for royalties or other means of repayment of Government contributions to individual projects including repayments from sale and licensing of technologies from both domestic and foreign transactions: Provided further, That such repayments shall be retained by the Department, subject to appropriation in advance, for future coal-related research, development and demonstration projects: Provided further, That any technology selected under this program shall be considered a Clean Coal Technology, and any project selected under this program shall be considered a Clean Coal Technology Project for the purposes of 42 U.S.C. 7651n, and Chapters 51, 52, and 60 of title 40 of the Code of Federal Regulations. Funds made available in previous appropriations Acts shall be available for any ongoing project regardless of the separate request for proposal under which the project was*

General and special funds—Continued

CLEAN COAL TECHNOLOGY—Continued

[(DEFERRAL)] (INCLUDING TRANSFER OF FUNDS)—Continued

selected. (42 U.S.C. 5901–20; Department of the Interior and Related Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 89–0235–0–1–271	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	16	14
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	344	337	331
22.00 New budget authority (gross)	104	42	40
22.21 Unobligated balance transferred to other accounts	–95	–34	–371
23.90 Total budgetary resources available for obligation	353	345
23.95 Total new obligations	–16	–14
24.40 Unobligated balance carried forward, end of year	337	331
New budget authority (gross), detail:			
Discretionary:			
40.36 Unobligated balance deferred	–67	–40
55.00 Advance appropriation	171	82	40
70.00 Total new budget authority (gross)	104	42	40
Change in obligated balances:			
72.40 Obligated balance, start of year	321	240	179
73.10 Total new obligations	16	14
73.20 Total outlays (gross)	–97	–75
73.31 Obligated balance transferred to other accounts	–179
74.40 Obligated balance, end of year	240	179
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	97	75
Net budget authority and outlays:			
89.00 Budget authority	104	42	40
90.00 Outlays	97	75

Public Law 99–190, making continuing appropriations for 1986, provided \$400 million from funds in the Energy Security Reserve in the Department of the Treasury for a new clean coal technology program in the Department of Energy. This program was authorized under the clean coal technology reserve proviso of Public Law 98–473 to subsidize the construction and operation of facilities to demonstrate the potential commercial feasibility of such technologies.

Remaining funds are transferred to the Fossil Energy Research and Development account to increase efficiency by placing the entire federal coal research effort under one umbrella, the President's Coal Research Initiative. These funds can continue to be used to meet previous commitments in the earlier program, with surplus funds available for ongoing projects or the newer initiative within the reorganized program.

Object Classification (in millions of dollars)

Identification code 89–0235–0–1–271	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	6	6
12.1 Civilian personnel benefits	1	1
25.1 Advisory and assistance services	2	2
25.2 Other services	6	4
25.4 Operation and maintenance of facilities	1	1
99.9 Total new obligations	16	14

Personnel Summary

Identification code 89–0235–0–1–271	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	65	66

[ALTERNATIVE FUELS PRODUCTION]

[(RESCISSION)]

[Of the unobligated balances under this heading, \$2,000,000 are rescinded.] (Department of the Interior and Related Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 89–5180–0–2–271	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	2	2
22.00 New budget authority (gross)	–1	–2
22.10 Resources available from recoveries of prior year obligations	2
23.90 Total budgetary resources available for obligation	2	2	2
24.40 Unobligated balance carried forward, end of year	2	2	2
New budget authority (gross), detail:			
Discretionary:			
40.36 Unobligated balance rescinded	–1	–2
Change in obligated balances:			
72.40 Obligated balance, start of year	10	9	7
73.45 Recoveries of prior year obligations	–2
74.40 Obligated balance, end of year	9	7	7
Net budget authority and outlays:			
89.00 Budget authority	–1	–2
90.00 Outlays

The alternative fuels program was established in 1980 for the purpose of expediting the development and production of alternative fuels from coal.

When the Synthetic Fuels Corporation was declared to be operational in 1982, the uncommitted and unobligated funds remaining in the program were transferred to the Energy Security Reserve for use by the Synthetic Fuels Corporation, with the exception of the loan guarantee for the Great Plains gasification project, which remained under the jurisdiction of the Department of Energy. The Department exercised its authority to borrow from the Treasury to repay the Federal Financing Bank upon default of the borrower in 1985. This loan was repaid, along with accrued interest, by a supplemental appropriation in 1986. The Department acquired ownership of the Great Plains plant by foreclosure, which was completed on July 14, 1986, and continued operation of the plant without the expenditure of appropriated funds. On October 31, 1988, the Department completed the process of establishing an asset purchase agreement for the Great Plains Gasification Plant by settlement with Basin Electric Power Cooperative Association. Responsibilities for other related agreements—trust agreement, gas transportation agreement, gas purchase agreement—were also settled. Under the terms of the asset purchase agreement a check for \$85 million was provided to the Government as an initial payment. These agreements were the subject of litigation between the Department, Dakota Gasification Company (DGC) and the four pipeline companies which purchased synthetic gas from the plant. Future revenue sharing payments to the Department are dependent upon natural gas prices.

The parties to litigation negotiated settlement agreements in principle in December 1993. Settlement agreements dated February 16, 1994, have been signed. These settlement agreements resolve all past disputes as well as restructure the Gas Purchase Agreements pricing provisions. The settlement agreements have received final Federal Energy Regulatory Commission (FERC) approval. In a separate agreement with DOE, DGC agreed to pay DOE \$25 million over the 7 year period of time DGC receives the demand payments from the pipeline companies.

ELK HILLS SCHOOL LANDS FUND

For necessary expenses in fulfilling installment payments under the Settlement Agreement entered into by the United States and the State of California on October 11, 1996, as authorized by section 3415 of Public Law 104–106, \$36,000,000, [to become available on October 1, 2002] for payment to the State of California for the State Teachers' Retirement Fund from the Elk Hills School Lands Fund. (Department of the Interior and Related Agencies Appropriations Act, 2002.)

Unavailable Collections (in millions of dollars)

Identification code 89–5428–0–2–271	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	262	262	262
Appropriations:			
05.00 Elk Hills school lands fund			– 36
07.99 Balance, end of year	262	262	226

Program and Financing (in millions of dollars)

Identification code 89–5428–0–2–271	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	36	36	72
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	36	36	72
23.95 Total new obligations	– 36	– 36	– 72

New budget authority (gross), detail:

Discretionary:			
40.20 Appropriation (special fund)			36
55.00 Advance appropriation	36	36	36
70.00 Total new budget authority (gross)	36	36	72

Change in obligated balances:

73.10 Total new obligations	36	36	72
73.20 Total outlays (gross)	– 36	– 36	– 72

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	36	36	72
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Net budget authority and outlays:

89.00 Budget authority	36	36	72
90.00 Outlays	36	36	72

Title XXXIV, Subtitle B of Public Law 104–106 required the Department to sell the government's interest in Naval Petroleum Reserve No. 1 (Elk Hills) pursuant to the terms of the Act. The sale occurred in February 1998, following a statutorily-required 31-day congressional review period.

Section 3415 of the Act required, among other things, that the Department make an offer of settlement based on the fair value of the State of California's longstanding claims to two parcels of land ("school lands") within the Reserve. Under the Act, nine percent of the net proceeds were reserved in contingent fund in the Treasury for payment to the State. In compliance with the Act and in order to remove any cloud over title which could diminish the sales value of the Reserve, the Department entered into a settlement agreement with the State on October 11, 1996. That agreement calls for payment to the State, subject to appropriations, of nine percent of the net proceeds of sale, payable over a seven-year period (without interest), commencing in 1999. Under the settlement agreement and provided that funds are appropriated, the first five installments are for \$36 million each year, and the remaining balance is to be paid in two equal installments in years six and seven. In addition to the \$36 million already appropriated for 2003, the budget requests \$36 million in 2003 for the fifth installment payment.

ARCTIC NATIONAL WILDLIFE REFUGE, ALTERNATIVE ENERGY

(Legislative proposal, subject to PAYGO)

The budget includes a proposal to use the Federal share of bonus bids from opening a small portion of the Arctic National Wildlife Refuge to oil and gas exploration to supplement the funding for renewal and related energy research. The budget assumes that 1.2 billion of the bonus bids that would come to the Federal Government in 2004 would be spent on alternative energy programs over a period of seven years.

PAYMENTS TO STATES UNDER FEDERAL POWER ACT

Unavailable Collections (in millions of dollars)

Identification code 89–5105–0–2–806	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.00 Licenses under Federal Power Act from public lands and national forests, p	3	3	3
Appropriations:			
05.00 Payments to States under Federal Power Act	– 3	– 3	– 3
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 89–5105–0–2–806	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	3	3	3
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	3	3
22.00 New budget authority (gross)	3	3	3
23.90 Total budgetary resources available for obligation	6	6	6
23.95 Total new obligations	– 3	– 3	– 3
24.40 Unobligated balance carried forward, end of year	3	3	3

New budget authority (gross), detail:

Mandatory:			
60.20 Appropriation (special fund)	3	3	3

Change in obligated balances:

73.10 Total new obligations	3	3	3
73.20 Total outlays (gross)	– 3	– 3	– 3

Outlays (gross), detail:

86.97 Outlays from new mandatory authority		3	3
86.98 Outlays from mandatory balances	3		
87.00 Total outlays (gross)	3	3	3

Net budget authority and outlays:

89.00 Budget authority	3	3	3
90.00 Outlays	3	3	3

The States are paid 37.5 percent of the receipts from licenses for occupancy and use of national forests and public lands within their boundaries issued by the Federal Energy Regulatory Commission (16 U.S.C. 810).

NORTHEAST HOME HEATING OIL RESERVE

For necessary expenses for Northeast Home Heating Oil Reserve storage, operations, and management activities pursuant to the Energy Policy and Conservation Act of 2000, \$8,000,000 to remain available until expended.

General and special funds—Continued

NORTHEAST HOME HEATING OIL RESERVE—Continued

Program and Financing (in millions of dollars)

Identification code 89-5369-0-2-274	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)	4	4	8
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		4	8
22.00 New budget authority (gross)	8	8	8
23.90 Total budgetary resources available for obligation	8	12	16
23.95 Total new obligations	-4	-4	-8
24.40 Unobligated balance carried forward, end of year	4	8	8
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation			8
42.00 Transferred from other accounts	8	8	
43.00 Appropriation (total discretionary)	8	8	8
Change in obligated balances:			
72.40 Obligated balance, start of year		4	4
73.10 Total new obligations	4	4	8
73.20 Total outlays (gross)		-4	-8
74.40 Obligated balance, end of year	4	4	4
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		4	4
86.93 Outlays from discretionary balances			4
87.00 Total outlays (gross)		4	8
Net budget authority and outlays:			
89.00 Budget authority	8	8	8
90.00 Outlays		4	8

On July 10, 2000 the President directed the Department of Energy to establish a 2-million barrel home heating oil component of the Strategic Petroleum Reserve (SPR) in the Northeast. The intent was to create a buffer loose enough to allow commercial companies to compensate for interruptions in supply or severe weather, but not so large as to dissuade suppliers from responding to increasing prices as a sign that more supply is needed. Two million barrels of oil would give Northeast consumers adequate supplies for approximately 10 days, the time required for ships to carry heating oil from the Gulf of Mexico to New York harbor for distribution. The Department issued a solicitation to exchange crude oil from the SPR for two million barrels of distillate heating oil stocks and for storage facilities in the Northeast. Contracts were awarded on August 17, 2000, for two million barrels of heating oil and storage tank capacity in Connecticut and in New Jersey. In November 2000, Congress amended the Energy Policy and Conservation Act of 2000 providing clear authority for the reserve. On March 6, 2001 Energy Secretary Abraham formally notified Congress that the Administration would establish the Reserve as a permanent part of America's energy readiness effort, separate from the Strategic Petroleum Reserve. On August 6, 2001 the Secretary approved the relocation of 250,000 barrels of heating oil inventory from Connecticut to Rhode Island, giving the reserve a third location from which it could distribute fuel. The FY 2001 budget request for the Northeast Home Heating Oil Reserve was financed with \$8 million (new BA of \$4 million and a transfer of \$4 million from the SPR Petroleum Account). The request included continued leasing of commercial storage space, third party inspections, and development of an internet sales platform. For 2002, the Department requested \$8 million in new budget authority that supports the continued operation of the reserve, solicitation support from Defense Energy Support Center, and lease of com-

mercial storage space. The FY 2003 (\$8 million) request continues operation of the reserve, solicitation support from the Defense Energy Support Center, and lease of commercial storage space.

NUCLEAR WASTE DISPOSAL

For nuclear waste disposal activities to carry out the purposes of Public Law 97-425, as amended, including the acquisition of real property or facility construction or expansion, [\$95,000,000] \$212,045,000, to remain available until expended and to be derived from the Nuclear Waste Fund: *Provided*, That not to exceed \$2,500,000 shall be provided to the State of Nevada solely for expenditures, other than salaries and expenses of State employees, to conduct scientific oversight responsibilities pursuant to the Nuclear Waste Policy Act of 1982, Public Law 97-425, as amended: *Provided further*, That not to exceed \$6,000,000 shall be provided to affected units of local governments, as defined in Public Law 97-425, to conduct appropriate activities pursuant to the Act: *Provided further*, That the distribution of the funds as determined by the units of local government shall be approved by the Department of Energy: *Provided further*, That the funds for the State of Nevada shall be made available [solely] to the Nevada Division of Emergency Management by direct payment and units of local government by direct payment: *Provided further*, That within 90 days of the completion of each Federal fiscal year, the Nevada Division of Emergency Management and the Governor of the State of Nevada and each local entity shall provide certification to the Department of Energy that all funds expended from such payments have been expended for activities authorized by Public Law 97-425 and this Act. Failure to provide such certification shall cause such entity to be prohibited from any further funding provided for similar activities: *Provided further*, That none of the funds herein appropriated may be: (1) used directly or indirectly to influence legislative action on any matter pending before Congress or a State legislature or for lobbying activity as provided in 18 U.S.C. 1913; (2) used for litigation expenses; or (3) used to support multi-State efforts or other coalition building [activities inconsistent with the restrictions contained in this Act] activities: *Provided further*, That all proceeds and recoveries realized by the Secretary in carrying out activities authorized by the Nuclear Waste Policy Act of 1982, Public Law 97-425, as amended, including but not limited to, any proceeds from the sale of assets, shall be available without further appropriation and shall remain available until expended. (*Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.*)

Unavailable Collections (in millions of dollars)

Identification code 89-5227-0-2-271	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	9,144	10,849	12,055
Receipts:			
02.20 Receipts from nuclear powered electric utilities	689	640	647
02.40 Net earnings on investments	1,243	688	752
02.99 Total receipts and collections	1,932	1,328	1,399
04.00 Total: Balances and collections	11,076	12,177	13,454
Appropriations:			
05.00 Nuclear waste disposal	-202	-95	-212
05.01 Nuclear Waste Technical Review Board	-22	-24	-25
05.02 Nuclear Regulatory Commission	-3	-3	-3
05.99 Total appropriations	-227	-122	-240
07.99 Balance, end of year	10,849	12,055	13,214

Program and Financing (in millions of dollars)

Identification code 89-5227-0-2-271	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Nuclear waste disposal fund	122	59	150
00.02 Program direction	65	58	62
10.00 Total new obligations	187	117	212
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	12	20	
22.00 New budget authority (gross)	193	97	212

23.90	Total budgetary resources available for obligation	205	117	212
23.95	Total new obligations	-187	-117	-212
24.40	Unobligated balance carried forward, end of year	20		

New budget authority (gross), detail:

Discretionary:				
40.20	Appropriation (special fund)	204	97	212
40.48	Portion applied to liquidate deficiencies	-11		
43.00	Appropriation (total discretionary)	193	97	212

Change in obligated balances:

72.40	Obligated balance, start of year	74	87	58
73.10	Total new obligations	187	117	212
73.20	Total outlays (gross)	-176	-146	-155
74.40	Obligated balance, end of year	87	58	115

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	86	50	107
86.93	Outlays from discretionary balances	90	96	48
87.00	Total outlays (gross)	176	146	155

Net budget authority and outlays:

89.00	Budget authority	193	97	212
90.00	Outlays	176	146	155

Memorandum (non-add) entries:

92.01	Total investments, start of year: Federal securities:			
	Par value	17,551	21,060	23,674
92.02	Total investments, end of year: Federal securities:			
	Par value	21,060	23,674	25,344

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	191	95	210
90.00	Outlays	174	144	153

Growing quantities of spent nuclear fuel and high-level radioactive waste have been accumulating at commercial nuclear reactor sites and storage facilities across the country for half a century. They come from nuclear plants generating commercial electric power, nuclear weapons production, the operation of naval reactors, and Federal research and development activities. At Congress's direction, DOE has investigated the suitability of a storage site at Yucca Mountain, Nevada, 100 miles northwest of Las Vegas, for over 20 years. Based on sound science and compelling national interests, the Secretary of Energy has informed the Governor of Nevada of his intent to recommend the Yucca Mountain site to the President for development as a geologic repository for the Nation's nuclear waste. Should the site be formally designated this year, current plans call for the repository to open in 2010. The budget provides sufficient funding for DOE to prepare a license application to meet that deadline. If the site is designated, the Administration also will seek additional funding to begin essential transportation-related activities and provide a long-term management and financing plan for the entire licensing and construction effort. The Administration is committed to ensuring the environmentally sound and safe disposal of the Nation's radioactive waste.

In 2001, actual interest earnings were \$1,243 million, and they are estimated to decline to \$688 million in 2002 and \$742 million in 2003. The reason for the decline is that the decrease in market interest rates in 2001 significantly increased the market value of the zero-coupon bonds held by the fund, and the interest on these bonds is calculated as the change in market value. In 2002 and 2003, interest earnings are projected based on the effective yield method, instead of estimating the change in market value. We use the effective yield approach, because interest rates are impossible to predict accurately and because it is a simple method of projecting

what will happen in the future "on average." The effective yield method is consistent with a small increase in market value.

Status of Funds (in millions of dollars)

Identification code 89-5227-0-2-271	2001 actual	2002 est.	2003 est.	
Unexpended balance, start of year:				
0100	Uninvested balance	5	1	
U.S. Securities:				
0101	Par value	17,551	21,060	23,674
0102	Unrealized discounts	-8,328	-10,099	-11,556
0199	Total balance, start of year	9,230	10,962	12,118
Cash income during the year:				
Current law:				
Offsetting receipts (proprietary):				
1220	Nuclear waste disposal fund, Energy	689	640	647
Offsetting receipts (intragovernmental):				
1240	Earnings on investments, Nuclear waste disposal fund, Energy	1,243	688	752
1299	Income under present law	1,932	1,328	1,399
Cash outgo during year:				
Current law:				
4500	Nuclear waste disposal fund	-174	-144	-155
4501	Nuclear Regulatory Commission	-21	-23	-25
4502	Nuclear Waste Technical Review Board	-3	-3	-3
4599	Outgo under current law (-)	-198	-170	-183
Unexpended balance, end of year:				
8700	Uninvested balance	1		
Federal securities:				
8701	Par value	21,060	23,674	25,344
8702	Unrealized discounts	-10,099	-11,556	-12,010
8799	Total balance, end of year	10,962	12,118	13,334

Object Classification (in millions of dollars)

Identification code 89-5227-0-2-271	2001 actual	2002 est.	2003 est.	
Personnel compensation:				
11.1	Full-time permanent	16	17	17
11.5	Other personnel compensation	2	2	2
11.9	Total personnel compensation	18	19	19
12.1	Civilian personnel benefits	7	7	7
21.0	Travel and transportation of persons	2	2	2
23.2	Rental payments to others	2	3	3
25.1	Advisory and assistance services	33	16	16
25.2	Other services	4	4	4
25.3	Other purchases of goods and services from Government accounts	5	5	5
25.4	Operation and maintenance of facilities	99	55	150
26.0	Supplies and materials	1	1	1
41.0	Grants, subsidies, and contributions	16	5	5
99.9	Total new obligations	187	117	212

Personnel Summary

Identification code 89-5227-0-2-271	2001 actual	2002 est.	2003 est.	
1001	Total compensable workyears: Full-time equivalent employment	181	200	211

URANIUM ENRICHMENT DECONTAMINATION AND DECOMMISSIONING FUND

Unavailable Collections (in millions of dollars)

Identification code 89-5231-0-2-271	2001 actual	2002 est.	2003 est.	
01.99	Balance, start of year	2,024	2,374	2,840
Receipts:				
02.00	Assessments	181	186	190
02.40	Earnings on investments	124	160	170
02.41	General fund payment	419	420	442
02.99	Total receipts and collections	724	766	802
04.00	Total: Balances and collections	2,748	3,140	3,642
Appropriations:				
05.00	Uranium enrichment decontamination and decommissioning fund	-374	-300	-236

General and special funds—Continued

URANIUM ENRICHMENT DECONTAMINATION AND DECOMMISSIONING FUND—Continued

Unavailable Collections (in millions of dollars)—Continued

Identification code 89-5231-0-2-271	2001 actual	2002 est.	2003 est.
07.99 Balance, end of year	2,374	2,840	3,406

Program and Financing (in millions of dollars)

Identification code 89-5231-0-2-271	2001 actual	2002 est.	2003 est.
New budget authority (gross), detail:			
Discretionary:			
40.20 Appropriation (special fund)	374	300	236
41.00 Transferred to other accounts	-374	-300	-236
43.00 Appropriation (total discretionary)			

Change in obligated balances:

72.40 Obligated balance, start of year	135	2	
73.20 Total outlays (gross)		-2	
73.31 Obligated balance transferred to other accounts	-132		
74.40 Obligated balance, end of year	2		

Outlays (gross), detail:

86.93 Outlays from discretionary balances		2	
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Net budget authority and outlays:

89.00 Budget authority			
90.00 Outlays		2	

Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities:			
Par value	2,163	2,556	3,042
92.02 Total investments, end of year: Federal securities:			
Par value	2,556	3,042	3,615

Uranium Enrichment Decontamination and Decommissioning Fund activities were transferred to the Uranium Facilities Maintenance and Remediation account in 2001.

Status of Funds (in millions of dollars)

Identification code 89-5231-0-2-271	2001 actual	2002 est.	2003 est.
Unexpended balance, start of year:			
0100 Uninvested balance	31	47	
U.S. Securities:			
0101 Par value	2,163	2,556	3,042
0102 Unrealized discounts	-37	-35	-40
0199 Total balance, start of year	2,159	2,570	3,002
Cash income during the year:			
Current law:			
Receipts:			
1200 Assessments, Decontamination and Decommissioning Fund	181	186	190
Offsetting receipts (intragovernmental):			
1240 Earnings on investments, Decontamination and Decommissioning Fund	124	160	170
1241 General fund payment—Defense, Decontamination and Decommissioning Fund	419	420	442
1299 Income under present law	724	766	802
Cash outgo during year:			
Current law:			
4500 Uranium enrichment decontamination and decommissioning fund		-2	
4501 Uranium facilities maintenance and remediation	-315	-334	-227
4599 Outgo under current law (-)	-315	-336	-227
Unexpended balance, end of year:			
8700 Uninvested balance	47		
Federal securities:			
8701 Par value	2,556	3,042	3,615
8702 Unrealized discounts	-35	-40	-40
8799 Total balance, end of year	2,570	3,002	3,575

Public enterprise funds:

ISOTOPE PRODUCTION AND DISTRIBUTION PROGRAM FUND

Program and Financing (in millions of dollars)

Identification code 89-4180-0-3-271	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Isotope production and distribution	23	24	20
09.02 Isotope production facility project	3	2	2
10.00 Total new obligations	26	26	22

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	4	5	4
22.00 New budget authority (gross)	27	26	22
23.90 Total budgetary resources available for obligation	31	31	26
23.95 Total new obligations	-26	-26	-22
24.40 Unobligated balance carried forward, end of year	5	4	4

New budget authority (gross), detail:

Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	27	26	22

Change in obligated balances:

72.40 Obligated balance, start of year	9	9	9
73.10 Total new obligations	26	26	22
73.20 Total outlays (gross)	-27	-26	-22
74.40 Obligated balance, end of year	9	9	9

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	27	26	22
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Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources—Expenditure transfers	-19	-17	-14
88.40 Non-Federal sources	-8	-9	-8
88.90 Total, offsetting collections (cash)	-27	-26	-22

Net budget authority and outlays:

89.00 Budget authority			
90.00 Outlays	-1		

The charter of the Department of Energy (DOE) isotope production and distribution program covers the production and sale of isotope products and related services to the user community utilizing Government-owned facilities. The isotopes produced by the Department are those that can be produced in existing DOE production and research facilities dedicated to the products required by the isotope production and distribution program. The isotopes are sold at their market value or at a price determined to be in the best interest of the government for use in medical diagnoses and therapy, medical and scientific research, and industrial applications.

Object Classification (in millions of dollars)

Identification code 89-4180-0-3-271	2001 actual	2002 est.	2003 est.
25.1 Advisory and assistance services	3	3	3
25.4 Operation and maintenance of facilities	20	20	16
32.0 Land and structures	3	3	3
99.9 Total new obligations	26	26	22

Trust Funds

ADVANCES FOR COOPERATIVE WORK

Program and Financing (in millions of dollars)

Identification code 89-8575-0-7-271	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year	5	5	5
74.40 Obligated balance, end of year	5	5	5

Net budget authority and outlays:

89.00	Budget authority		
90.00	Outlays		

In past years, this account received advances from domestic and foreign sources, to fund research and development activities for civilian reactor, magnetic fusion, and basic energy sciences. Sources also provided funds for defense programs, the technical information management program. The account will be terminated when balances have been expended.

POWER MARKETING ADMINISTRATIONS

Federal Funds

General and special funds:

OPERATION AND MAINTENANCE, ALASKA POWER ADMINISTRATION

Program and Financing (in millions of dollars)

Identification code 89-0304-0-1-271	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40	Obligated balance, start of year	11	1
73.20	Total outlays (gross)	-10	
74.40	Obligated balance, end of year	1	1
Outlays (gross), detail:			
86.93	Outlays from discretionary balances	10	
Net budget authority and outlays:			
89.00	Budget authority		
90.00	Outlays	10	

The Alaska Power Administration (APA) was created in 1967 by the Secretary of the Interior to assume the functions of the Bureau of Reclamation in Alaska—the operations, maintenance, transmission, and power marketing of the two Federal hydroelectric projects (Eklutna and Snettisham), and the investigation of future water and power development programs.

The Alaska Power Administration Asset Sale and Termination Act (Public Law 104-58), signed into law on November 28, 1995, authorizes and directs the sale of all Alaska Power Administration assets and the subsequent termination of APA. The Eklutna project was sold on October 2, 1997, for a cash payment of \$5,953,000. The Snettisham project was sold on August 18, 1998, for \$81,966,177.

All remaining Alaska activities of APA, including the Juneau headquarters office, were terminated on September 30, 1998. Unobligated transition and termination balances were used to complete remaining close-out activities and report preparation in Washington, D.C. in 1999.

OPERATION AND MAINTENANCE, SOUTHEASTERN POWER ADMINISTRATION

For necessary expenses of operation and maintenance of power transmission facilities and of marketing electric power and energy, including transmission wheeling and ancillary services, pursuant to the provisions of section 5 of the Flood Control Act of 1944 (16 U.S.C. 825s), as applied to the southeastern power area, **[\$4,891,000] \$4,784,000**, to remain available until expended; in addition, notwithstanding the provisions of 31 U.S.C. 3302, up to \$8,000,000 collected by the Southeastern Power Administration pursuant to the Flood Control Act to recover purchase power and wheeling expenses shall be credited to this account as offsetting collections, to remain available until expended for the sole purpose of making purchase power and wheeling expenditures. (*Energy and Water Development Appropriations Act, 2002; additional authorization legislation required.*)

Program and Financing (in millions of dollars)

Identification code 89-0302-0-1-271	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01	Program direction	5	5
Reimbursable program:			
09.01	Purchase power and wheeling	34	34
09.02	Customer advances		14
10.00	Total new obligations	39	39
Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year	1	
22.00	New budget authority (gross)	38	39
23.90	Total budgetary resources available for obligation	39	39
23.95	Total new obligations	-39	-39
New budget authority (gross), detail:			
Discretionary:			
40.00	Appropriation	4	5
68.00	Spending authority from offsetting collections: Offsetting collections (cash)—Purchase Power and Wheeling	34	34
70.00	Total new budget authority (gross)	38	39
Change in obligated balances:			
72.40	Obligated balance, start of year	2	1
73.10	Total new obligations	39	39
73.20	Total outlays (gross)	-39	-39
74.40	Obligated balance, end of year	1	1
Outlays (gross), detail:			
86.90	Outlays from new discretionary authority	38	39
86.93	Outlays from discretionary balances	1	
87.00	Total outlays (gross)	39	39
Offsets:			
Against gross budget authority and outlays:			
88.40	Offsetting collections (cash) from: Non-Federal sources—Purchase Power and Wheeling Offsetting Collections	-34	-34
Net budget authority and outlays:			
89.00	Budget authority	4	5
90.00	Outlays	6	5

The Southeastern Power Administration (SEPA) markets power generated at Corps of Engineers hydroelectric generating plants in an eleven-State area of the Southeast. Deliveries are made by means of contracting for use of transmission facilities owned by others. There are 23 projects now in operation.

SEPA sells wholesale power primarily to publicly and cooperatively-owned electric distribution utilities. SEPA does not own or operate any transmission facilities. Its long-term contracts provide for periodic electric rate adjustments to ensure that the Federal Government recovers costs of operation and capital invested in power, with interest, in keeping with statutory requirements.

Program direction.—Provision is made for negotiation and administration of transmission and power contracts, collection of revenues, development of wholesale power rates, the amortization of power investment, energy efficiency and competitiveness program, investigation and planning of proposed water resources projects, scheduling and dispatch of power generation, scheduling storage and release of water, administration of contractual operation requirements, and determination of methods of operating generating plants individually and in coordination with others to obtain maximum utilization of resources. Proprietary receipts deposited in the Treasury were \$87 million for fiscal year 2001 and are estimated to be \$165 million for 2002 and \$98 million for 2003.

Purchase power and wheeling.—Between 2001 and 2004, the Southeastern Power Administration will phase-out Fed-

General and special funds—Continued

**OPERATION AND MAINTENANCE, SOUTHEASTERN POWER
ADMINISTRATION—Continued**

eral financing of purchase power and wheeling activities. Authority to spend power revenues to pay for purchase of power and wheeling activities will end after 2004. Industry restructuring and resulting competition now make it attractive for Southeastern's customers to shop for power and transmission services. Southeastern may continue to support customer bill crediting, net billing and other alternative financing arrangements for these activities.

Based on Administration policy, the Southeastern Power Administration will set rates consistent with current law to recover the full cost of the Civil Service Retirement System and post-retirement health benefits for its employees.

Beginning in 2003, the Administration proposes that the U.S. Army Corps of Engineers' operation and maintenance costs in Southeastern's service area be funded from Southeastern receipts derived from the sale of power and related services.

Note.—The proprietary receipts estimate for 2003 assumes implementation of a proposal to fund power-related Corps of Engineers operation and maintenance expenses directly from Southeastern receipts.

Object Classification (in millions of dollars)

Identification code 89-0302-0-1-271	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	3	3	3
25.2 Other services	2	2	2
99.0 Direct obligations	5	5	5
99.0 Reimbursable obligations	34	34	34
99.9 Total new obligations	39	39	39

Personnel Summary

Identification code 89-0302-0-1-271	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	42	43	40

CONTINUING FUND, SOUTHEASTERN POWER ADMINISTRATION

Unavailable Collections (in millions of dollars)

Identification code 89-5653-0-2-271	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 Deposits from sale and transmission of electric energy, Southeastern Power	9		
Appropriations:			
05.00 Continuing fund, Southeastern Power Administration	-9		
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 89-5653-0-2-271	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)	9		
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	9		
23.95 Total new obligations	-9		
New budget authority (gross), detail:			
Mandatory:			
60.20 Appropriation (special fund)	9		
Change in obligated balances:			
72.40 Obligated balance, start of year	5	5	
73.10 Total new obligations	9		
73.20 Total outlays (gross)	-10	-5	

74.40 Obligated balance, end of year	5		
Outlays (gross), detail:			
86.98 Outlays from mandatory balances	10	5	
Net budget authority and outlays:			
89.00 Budget authority	9		
90.00 Outlays	10	5	

A continuing fund of \$50 thousand, maintained from receipts from the sale and transmission of electric power in the southeastern area, is available to defray expenses necessary to ensure continuity of service (16 U.S.C. 825s-2). The fund was activated during 2001 to finance power purchases associated with below normal hydropower generation due to drought.

**OPERATION AND MAINTENANCE, SOUTHWESTERN POWER
ADMINISTRATION**

For necessary expenses of operation and maintenance of power transmission facilities and of marketing electric power and energy, and for construction and acquisition of transmission lines, substations and appurtenant facilities, and for administrative expenses, including official reception and representation expenses in an amount not to exceed \$1,500 in carrying out the provisions of section 5 of the Flood Control Act of 1944 (16 U.S.C. 825s), as applied to the southwestern power area, **[\$28,038,000] \$28,444,000**, to remain available until expended; in addition, notwithstanding the provisions of 31 U.S.C. 3302, not to exceed **[\$5,200,000] \$8,412,000** in reimbursements, to remain available until expended: *Provided*, That up to \$1,512,000 collected by the Southwestern Power Administration pursuant to the Flood Control Act to recover purchase power and wheeling expenses shall be credited to this account as offsetting collections, to remain available until expended for the sole purpose of making purchase power and wheeling expenditures]. (*Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 89-0303-0-1-271	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 System operation & maintenance	4	3	4
00.03 Construction	7	6	6
00.04 Program direction	19	20	18
02.93 Direct program subtotal	30	29	28
Reimbursable program:			
09.10 Reimbursable activities	8	15	8
09.20 Customer advances			8
09.99 Total reimbursable program	8	15	16
10.00 Total new obligations	38	44	44
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1		
22.00 New budget authority (gross)	37	44	44
23.90 Total budgetary resources available for obligation	38	44	44
23.95 Total new obligations	-38	-44	-44
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	29	29	28
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	9	15	16
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-1		
68.90 Spending authority from offsetting collections (total discretionary)	8	15	16
70.00 Total new budget authority (gross)	37	44	44
Change in obligated balances:			
72.40 Obligated balance, start of year	10	11	11
73.10 Total new obligations	38	44	44
73.20 Total outlays (gross)	-38	-44	-44

74.00	Change in uncollected customer payments from Federal sources (unexpired)	1		
74.40	Obligated balance, end of year	11	11	11
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	28	33	34
86.93	Outlays from discretionary balances	10	11	11
87.00	Total outlays (gross)	38	44	44
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-5	-8	-7
88.40	Non-Federal sources	-4	-7	-9
88.90	Total, offsetting collections (cash)	-9	-15	-16
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	1		
Net budget authority and outlays:				
89.00	Budget authority	29	29	28
90.00	Outlays	29	29	28

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00	Budget authority	28	27
90.00	Outlays	28	27

The Southwestern Power Administration (Southwestern) operates in a six-State area as a marketing agent for hydroelectric power produced at Corps of Engineers dams. It also operates and maintains some 2,225 kilometers (1,380 miles) of high voltage transmission line, 23 substations and switching stations, and 46 VHF radio and microwave stations. Southwestern sells its power at wholesale primarily to publicly and cooperatively owned electric distribution utilities. Its power sales contracts provide for periodic rate adjustments to ensure that the Federal Government recovers all costs of operation and all capital invested in power, with interest, in keeping with statutory requirements.

Southwestern also is responsible for scheduling and dispatching power, negotiating power sales contracts, and constructing facilities required to meet changing customer load requirements.

Program direction.—This activity provides for program costs related to the operation, maintenance, and support functions of the power system and includes salaries and benefits, travel, support services, rent, communications, and other related expenses.

Systems operation and maintenance.—Provision is made for engineering assessments of issues and alternatives that could adversely impact or optimize the operation of Southwestern's hydroelectric resources. Provision also is made for maintenance and improvement of the transmission system and related facilities to ensure reliable service, negotiation and administration of power contracts, collection of revenue, development of wholesale power rates and the amortization of the power investment. Actual proprietary receipts in the amount of \$81 million were deposited in the Treasury in 2001. Proprietary receipts are estimated to be \$91 million in 2002 and \$39 million in 2003.

Purchase power and wheeling.—Between 2001 and 2004, the Southwestern Power Administration will phase-out Federal financing of purchase power and wheeling activities. Authority to spend power revenues to pay for purchase of power and wheeling activities will end after 2004. Industry restructuring and resulting competition now make it attractive for Southwestern's customers to shop for power and transmission services. Southwestern may continue to support customer bill

crediting, net billing and other alternative financing arrangements for these activities.

Construction.—The construction program provides for transmission, substation, switching and control facility replacements and improvements to transmit power generated at Corps of Engineers' hydroelectric projects in the Southwest. This program is coordinated with the Corps of Engineers' construction program and customer requirements.

Reimbursable program.—This program involves services provided by Southwestern Power Administration to others under various types of reimbursable arrangements.

Based on Administration policy the Southwestern Power Administration will set rates consistent with current law to recover the full cost of the civil service retirement system and post-retirement health benefits for its employees.

Beginning in 2003, the Administration proposes that the U.S. Army Corps of Engineers' operation and maintenance costs in Southwestern's service area be funded from Southwestern receipts derived from the sale of related services.

Note.—Proprietary receipts estimate for 2003 assumes implementation of proposal to find power-related corps of Engineers operation and maintenance expenses directly from Southwestern receipts.

Object Classification (in millions of dollars)

Identification code 89-0303-0-1-271	2001 actual	2002 est.	2003 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	10	11	10
12.1	Civilian personnel benefits	4	4	4
21.0	Travel and transportation of persons	1	1	1
23.1	Rental payments to GSA	1	1	1
25.2	Other services	8	8	7
26.0	Supplies and materials	2	1	1
31.0	Equipment	4	3	4
99.0	Direct obligations	30	29	28
99.0	Reimbursable obligations	8	15	16
99.9	Total new obligations	38	44	44

Personnel Summary

Identification code 89-0303-0-1-271	2001 actual	2002 est.	2003 est.	
1001	Total compensable workyears: Full-time equivalent employment	178	177	178

CONTINUING FUND, SOUTHWESTERN POWER ADMINISTRATION

Unavailable Collections (in millions of dollars)

Identification code 89-5649-0-2-271	2001 actual	2002 est.	2003 est.
01.99	Balance, start of year		
Receipts:			
02.20	Deposits from sale and transmission of electric energy, Southwest Power Ad	1	
Appropriations:			
05.00	Continuing fund, Southwest Power Administration	-1	
07.99	Balance, end of year		

This fund, replenished from power receipts, is available permanently for emergency expenses that would be necessary to ensure continuity of service (16 U.S.C. 825s-1: 63 Stat. 767: 65 Stat. 249). The fund was activated in 2001 to finance power purchases associated with below normal hydropower generation due to drought.

Program and Financing (in millions of dollars)

Identification code 89-5649-0-2-271	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01	Direct Program Activity	1	
10.00	Total new obligations (object class 25.2)	1	

General and special funds—Continued

**CONTINUING FUND, SOUTHWESTERN POWER ADMINISTRATION—
Continued**

Program and Financing (in millions of dollars)—Continued

Identification code 89-5649-0-2-271	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	1		
23.95 Total new obligations	- 1		
New budget authority (gross), detail:			
Mandatory:			
60.20 Appropriation (special fund)	1		
Change in obligated balances:			
73.10 Total new obligations	1		
73.20 Total outlays (gross)	- 1		
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	1		
Net budget authority and outlays:			
89.00 Budget authority	1		
90.00 Outlays	1		

**CONSTRUCTION, REHABILITATION, OPERATION AND MAINTENANCE,
WESTERN AREA POWER ADMINISTRATION**

For carrying out the functions authorized by title III, section 302(a)(1)(E) of the Act of August 4, 1977 (42 U.S.C. 7152), and other related activities including conservation and renewable resources programs as authorized, including official reception and representation expenses in an amount not to exceed \$1,500, **[\$171,938,000]** \$168,788,000, to remain available until expended, of which **[\$166,651,000]** \$164,635,000 shall be derived from the Department of the Interior Reclamation Fund: *Provided*, That of the amount herein appropriated, \$6,000,000 is for deposit into the Utah Reclamation Mitigation and Conservation Account pursuant to title IV of the Reclamation Projects Authorization and Adjustment Act of 1992: *Provided further*, That up to \$152,624,000 collected by the Western Area Power Administration pursuant to the Flood Control Act of 1944 and the Reclamation Project Act of 1939 to recover purchase power and wheeling expenses shall be credited to this account as offsetting collections, to remain available until expended for the sole purpose of making purchase power and wheeling expenditures]. (*Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 89-5068-0-2-271	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Operating expenses:			
00.01 Systems operation and maintenance	37	38	38
00.04 Program direction	115	116	114
00.05 Utah mitigation and conservation fund	6	6	
00.91 Total operating expenses	158	160	152
01.01 Capital investment	24	18	18
09.01 Reimbursable program	232	698	645
10.00 Total new obligations	414	876	815
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	29	54	1
22.00 New budget authority (gross)	438	823	814
23.90 Total budgetary resources available for obligation	467	877	815
23.95 Total new obligations	-414	-876	-815
24.40 Unobligated balance carried forward, end of year	54	1	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	19	11	10
40.20 Appropriation (special fund)	154	167	159
43.00 Appropriation (total discretionary)	173	178	169
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	253	645	645

68.10 Change in uncollected customer payments from Federal sources (unexpired)	12		
68.90 Spending authority from offsetting collections (total discretionary)	265	645	645
70.00 Total new budget authority (gross)	438	823	814
Change in obligated balances:			
72.40 Obligated balance, start of year	138	180	237
73.10 Total new obligations	414	876	815
73.20 Total outlays (gross)	-359	-819	-819
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-12		
74.40 Obligated balance, end of year	180	237	233
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	268	728	724
86.93 Outlays from discretionary balances	91	91	95
87.00 Total outlays (gross)	359	819	819
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-71	-178	-179
88.40 Non-Federal sources	-182	-467	-466
88.90 Total, offsetting collections (cash)	-253	-645	-645
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-12		
Net budget authority and outlays:			
89.00 Budget authority	173	178	169
90.00 Outlays	106	174	174

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	167	172	163
90.00 Outlays	100	168	168

The Western Area Power Administration (Western) markets electric power in 15 western States from federally-owned power plants operated primarily by the Bureau of Reclamation, Corps of Engineers, and the International Boundary and Water Commission. Western operates and maintains almost 17,000 circuit-miles of high-voltage transmission line, 264 substations/switchyards, and associated power system control, communication and electrical facilities for 15 separate power projects. Western also constructs additions and modifications to existing facilities.

In keeping with statutory requirements, Western's long-term power contracts allow for periodic rate adjustments to ensure that the Federal Government recovers costs of operation, other costs allocated to power, and the capital investment in power facilities, with interest.

Power is sold to wholesale customers such as municipalities, cooperatives, irrigation districts, public utility districts, State and Federal Government agencies, and private utilities. Receipts are deposited in the Reclamation fund, the Falcon and Amistad operating and maintenance fund, the general fund, the Colorado River dam fund, and the Colorado River basins power marketing fund.

Systems operation and maintenance.—The systems operation and maintenance activity provides essential electrical and communication equipment replacements, and upgrades, capitalized moveable equipment, technical services, and supplies and materials necessary for safe reliable operation and cost-effective maintenance of the power systems.

Purchase power and wheeling.—Between 2001 and 2004, the Western Area Power Administration will phase-out Federal financing of purchase power and wheeling activities. Authority to spend power revenues to pay for purchase power

and wheeling activities will end after 2004. Industry restructuring and resulting competition now make it attractive for Western's customers to shop for power and transmission services. Western may continue to support customer bill crediting, net billing and other alternative financing arrangements for these activities.

System construction.—Western's construction and rehabilitation activity emphasizes replacement and upgrades of existing infrastructure to sustain reliable power delivery to its customers, to contain annual maintenance costs, and to improve overall operational efficiency. Western will continue to participate in joint construction projects to encourage more widespread transmission access.

Program direction.—This activity provides compensation and all related expenses for the workforce that operates and maintains Western's high voltage interconnected transmission system (systems operation and maintenance program), and those that plan design, and supervise the construction of replacements, upgrades and additions (system construction program) to the transmission facilities.

Utah mitigation and conservation.—This account is earmarked primarily for environmental mitigation expenditures covering fish and wildlife, and recreation resources impacted by the Central Utah Project and the Colorado River Storage Project in the State of Utah. The FY 2003 President's Budget proposes to end Western's mitigation funding of this activity. Western sells and transmits power from two projects in Utah and provides mitigation funding separately for these operations. Western does not transmit power from the Central Utah Project or from any other projects in Utah.

Reimbursable program.—This program involves services provided by Western to others under various types of reimbursable arrangements.

Western will continue to spend directly out of the Colorado River dam fund for operations and maintenance activities associated with the Boulder Canyon Project. The Colorado River dam fund is a revolving fund operated by the Interior Department's Bureau of Reclamation. Authority for Western to obligate directly from the Colorado River dam fund comes from section 104(a) of the Hoover Power Plant Act of 1984.

Based on Administration policy, the Western Area Power Administration will set rates consistent with current law to recover the full cost of the Civil Service Retirement System and post-retirement health benefits for its employees.

Beginning in FY 2003, the Administration proposes that financing of the U.S. Army Corps of Engineers' operation and maintenance costs in Western's service area, allocated to the power function for repayment, may be funded from Western receipts derived from the sale of power and related services.

Object Classification (in millions of dollars)

Identification code 89-5068-0-2-271	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	55	57	59
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	4	4	5
11.9 Total personnel compensation	60	62	65
12.1 Civilian personnel benefits	20	21	21
21.0 Travel and transportation of persons	6	6	5
22.0 Transportation of things	3	3	2
23.1 Rental payments to GSA	2	2	2
23.3 Communications, utilities, and miscellaneous charges	4	3	3
25.2 Other services	28	25	24
25.3 Other purchases of goods and services from Government accounts	2	2	2
26.0 Supplies and materials	7	7	7
31.0 Equipment	11	11	10
32.0 Land and structures	33	30	29
41.0 Grants, subsidies, and contributions	6	6

99.0 Direct obligations	182	178	170
99.0 Reimbursable obligations	232	698	645
99.9 Total new obligations	414	876	815

Personnel Summary

Identification code 89-5068-0-2-271	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	1,023	1,052	1,022

EMERGENCY FUND, WESTERN AREA POWER ADMINISTRATION

Program and Financing (in millions of dollars)

Identification code 89-5069-0-2-271	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program Activity	43
10.00 Total new obligations (object class 25.2)	43
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	1
22.00 New budget authority (gross)	43
23.90 Total budgetary resources available for obligation	44	1	1
23.95 Total new obligations	-43
24.40 Unobligated balance carried forward, end of year	1	1	1
New budget authority (gross), detail:			
Mandatory:			
60.20 Appropriation (special fund)	43
Change in obligated balances:			
72.40 Obligated balance, start of year	7
73.10 Total new obligations	43
73.20 Total outlays (gross)	-36	-7
74.40 Obligated balance, end of year	7
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	36
86.98 Outlays from mandatory balances	7
87.00 Total outlays (gross)	36	7
Net budget authority and outlays:			
89.00 Budget authority	43
90.00 Outlays	36	7

A continuing fund of \$500,000 maintained from receipts from the sale and transmission of electric power is available to defray expenses necessary to ensure continuity of service. The fund was activated during 2001 to finance power purchases associated with below-normal hydropower generation.

FALCON AND AMISTAD OPERATING AND MAINTENANCE FUND

For operation, maintenance, and emergency costs for the hydroelectric facilities at the Falcon and Amistad Dams, **[\$2,663,000]** \$2,734,000, to remain available until expended, and to be derived from the Falcon and Amistad Operating and Maintenance Fund of the Western Area Power Administration, as provided in section 423 of the Foreign Relations Authorization Act, Fiscal Years 1994 and 1995. (*Energy and Water Development Appropriations Act, 2002.*)

Unavailable Collections (in millions of dollars)

Identification code 89-5178-0-2-271	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	5	4	4
Receipts:			
02.20 Falcon and Amistad operating and maintenance fund	2	3	3
04.00 Total: Balances and collections	7	7	7
Appropriations:			
05.00 Falcon and Amistad operating and maintenance fund	-3	-3	-3
07.99 Balance, end of year	4	4	4

General and special funds—Continued**FALCON AND AMISTAD OPERATING AND MAINTENANCE FUND—
Continued****Program and Financing** (in millions of dollars)

Identification code 89-5178-0-2-271	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.3)	3	3	3
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	3	3	3
23.95 Total new obligations	-3	-3	-3
New budget authority (gross), detail:			
Discretionary:			
40.20 Appropriation (special fund)	3	3	3
Change in obligated balances:			
73.10 Total new obligations	3	3	3
73.20 Total outlays (gross)	-2	-3	-3
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2	2	2
86.93 Outlays from discretionary balances		1	1
87.00 Total outlays (gross)	2	3	3
Net budget authority and outlays:			
89.00 Budget authority	3	3	3
90.00 Outlays	2	3	3

Pursuant to section 423(c) of the Foreign Relations Authorization Act, Fiscal Years 1994 and 1995, Western Area Power Administration is requesting an appropriation from the Falcon and Amistad operating and maintenance fund, to defray operations, maintenance, and emergency (O,M&E) expenses for the hydroelectric facilities at Falcon and Amistad dams on the Rio Grande river. Most of these funds will be made available to the United States Section of the International Boundary and Water Commission through a reimbursable agreement. \$200,000 in the fund is for an emergency reserve that will remain unobligated unless unanticipated expenses arise. Revenues in excess of O,M&E will be paid to the General Fund to repay the costs of replacements and the original investment with interest. Revenues resulting from the Falcon and Amistad dams power system operations are deposited to the Falcon and Amistad operating and maintenance fund.

Public enterprise funds:**BONNEVILLE POWER ADMINISTRATION FUND**

Expenditures from the Bonneville Power Administration Fund, established pursuant to Public Law 93-454, are approved for official reception and representation expenses in an amount not to exceed \$1,500.

During fiscal year [2002] 2003, no new direct loan obligations may be made. (*Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 89-4045-0-3-271	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.02 Power business line	2,981	1,876	1,685
09.03 Residential exchange	68	144	144
09.05 Bureau of Reclamation	54	57	59
09.06 Corps of Engineers	117	117	125
09.07 Colville settlement	20	20	23
09.10 U.S. Fish & Wildlife	4	15	16
09.20 Planning council	7	8	8
09.21 Fish and Wildlife	103	150	150
09.23 Transmission business line	216	296	291
09.24 Conservation and energy efficiency	31	35	35
09.25 interest	452	442	459
09.26 Pension and health benefits	8	56	36

09.29 total operating expenses	4,061	3,199	3,013
Capital investment:			
09.41 Power business line	65	105	117
09.42 Transmission services	183	300	406
09.43 Fish and wildlife	17	26	42
09.44 Capital equipment	17	35	38
09.45 Capitalized bond premiums		2	3
09.46 Conservation & energy efficiency		26	25
09.49 total capital investment	282	494	631
09.50 Projects funded in advance	18	25	25
10.00 Total new obligations	4,361	3,735	3,687
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	947	734	800
22.00 New budget authority (gross)	4,148	3,801	3,686
23.90 Total budgetary resources available for obligation	5,095	4,535	4,486
23.95 Total new obligations	-4,361	-3,735	-3,687
24.40 Unobligated balance carried forward, end of year	734	800	800
New budget authority (gross), detail:			
Mandatory:			
67.10 Authority to borrow	260	251	228
69.00 Offsetting collections (cash)	4,027	3,787	3,706
69.47 Portion applied to repay debt	-139	-237	-247
69.90 Spending authority from offsetting collections (total mandatory)	3,888	3,550	3,459
70.00 Total new budget authority (gross)	4,148	3,784	3,669
Change in obligated balances:			
72.40 Obligated balance, start of year	200	197	197
73.10 Total new obligations	4,361	3,735	3,687
73.20 Total outlays (gross)	-4,364	-3,735	-3,687
74.40 Obligated balance, end of year	197	197	197
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	4,148	3,801	3,687
86.98 Outlays from mandatory balances	216	-66	
87.00 Total outlays (gross)	4,364	3,735	3,687
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-90	-90	-90
88.40 Non-Federal sources	-3,937	-3,697	-3,616
88.90 Total, offsetting collections (cash)	-4,027	-3,787	-3,706
Net budget authority and outlays:			
89.00 Budget authority	121	14	-19
90.00 Outlays	337	-52	-19

Bonneville Power Administration (BPA) is the Federal electric power marketing agency in the Pacific Northwest. BPA markets hydroelectric power from 21 multipurpose water resource projects of the U.S. Army Corps of Engineers and 9 projects of the U.S. Bureau of Reclamation, plus some energy from non-Federal generating projects in the region. These generating resources and BPA's transmission system, planned by the end of 2002 to consist of an estimated 15,000 circuit miles of high-voltage transmission lines and 324 substations, are operated as an integrated power system with operating and financial results combined and reported as the Federal Columbia River Power System (FCRPS). BPA is the largest power wholesaler in the Northwest and provides about forty-five percent of the region's electric energy supply and about three-fourths of the region's electric power transmission capacity.

BPA is responsible for meeting the net firm power requirements of its requesting customers through a variety of means, including energy conservation programs, acquisition of renewable and other resources, and power exchanges with utilities both in and outside the region.

BPA will finance its operations on the basis of the self-financing authority provided by Federal Columbia River

Transmission System Act of 1974 (Transmission Act) (Public Law 93-454) and the borrowing authority provided by the Pacific Northwest Electric Power Planning and Conservation Act (Pacific Northwest Power Act) (Public Law 96-501) for energy conservation, renewable energy resources and capital fish facilities. Authority to borrow is available to the BPA on a permanent, indefinite basis. The amount of borrowing outstanding at any time cannot exceed \$3.75 billion. The FY 2003 budget includes a proposal to increase BPA borrowing authority by \$700 million to finance planned infrastructure investments.

Operating expenses: Transmission services business line.—Provides funding from revenues for electric transmission research and development and program support of the capital investment program described below for transmission services. Provides for operating an estimated 15,000 miles of line and 324 substations, and for maintaining the facilities and equipment of the Bonneville transmission system in 2003.

Power business line.—Provides for the planning, contractual acquisition and oversight of reliable, cost effective resources. These resources are needed to serve BPA's portion of the region's forecasted net electric load requirements. Also includes protection, mitigation and enhancement of fish and wildlife affected by hydroelectric facilities on the Columbia River and its tributaries in accordance with the Pacific Northwest Power Act. Provides for payment of the operation and maintenance (O&M) costs of the 30 U.S. Army Corps of Engineers and U.S. Bureau of Reclamation hydro projects, and amortization on the U.S. Bureau of Reclamation capital investment in power generating facilities and irrigation assistance at Bureau facilities. Provides for the planning, contractual acquisition and oversight of reliable, cost effective conservation. Also provides for extending the benefits of low cost Federal power to the residential and small farm customers of investor-owned and publicly-owned utilities, in accordance with the Pacific Northwest Power Act and for activities of the Pacific Northwest Electric Power and Conservation Planning Council required by the Pacific Northwest Power Act.

Interest.—Provides for payments to the U.S. Treasury for interest on borrowings to finance BPA's transmission services, conservation, capital equipment, fish and wildlife, and associated projects capital programs under \$3.75 billion borrowing authority provided by the Transmission Act as amended by the Pacific Northwest Power Act and replenished by Public Law 98-50. In implementing the new borrowing authority, Bonneville will encourage private-sector or other non-federal financing or joint financing of transmission line expansions and additions, develop a five-year investment plan with the participation of the regional Infrastructure Technical Review Committee or its successor in the region, use funds only for authorized purposes, include the proposed use of the funds in its annual budget submissions, and select projects based on cost effectiveness criteria for achieving the objective. This category also includes interest on Corps of Engineers, BPA and U.S. Bureau of Reclamation appropriated debt.

Capital Investments: Transmission services business line.—Provides for the planning, design and construction of transmission lines, substation and control system additions, replacements, and enhancements to the FCRPS transmission system for a reliable, efficient and cost-effective regional transmission system. Provides for planning, design, and construction work to repair or replace existing transmission lines, substations, control systems, and general facilities of the FCRPS transmission system.

Power business line.—Provides for direct funding of additions, improvements, and replacements at existing Federal hydroelectric projects in the Northwest. Also provides for capital investments to implement environmental activities, and protect, mitigate, and enhance fish and wildlife affected by hydroelectric facilities on the Columbia River and its tribu-

taries, in accordance with the Pacific Northwest Power Act. Also provides for the planning, contractual acquisition and oversight of reliable, cost effective conservation.

Capital equipment/Capitalized bond premium.—Provides for general purpose ADP equipment, office furniture and equipment, and software capital development in support of all BPA programs. Also provides for bond premiums incurred for refinancing of bonds.

Contingencies.—Although contingencies are not specifically funded, the need may arise to provide for purchase of power in low-water years; for repair and/or replacement of facilities affected by natural and man-made emergencies, including the resulting additional costs for contracting, construction, and operation and maintenance work; for unavoidable increased costs for the planned program due to necessary but unforeseen adjustments, including engineering and design changes, contractor and other claims and relocations, or for payment of a retrospective premium adjustment in excess nuclear property insurance.

Financing.—The Transmission Act provides for the use by BPA of all receipts, collections, and recoveries in cash from all sources, including the sale of bonds, to finance the annual budget programs of BPA. These receipts result primarily from the sale of power and wheeling services. The Transmission Act also provides for authority to borrow from the U.S. Treasury at rates comparable to borrowings at open market rates for similar issues. As amended by the Pacific Northwest Power Act and replenished by Public Law 98-50, it allows for \$3.75 billion of borrowing to be outstanding at any time. The 2003 capital obligations are estimated to be \$631 million. To the extent BPA capital borrowing authority is insufficient in 2003, BPA would use cash reserves generated by revenues from customers, if available, to finance some of these investments.

In 2001, BPA made payments to the Treasury of \$588 million and also expects to make payments of \$691 million in 2002 and \$718 million in 2003. The 2003 payment will be distributed as follows: interest on bonds and appropriations (\$471 million), and amortization (\$247 million). BPA also received credits totaling \$593 million applied against its Treasury payments to reflect amounts diverted to fish mitigation efforts in the Columbia and Snake River systems.

Direct loans.—During 2003, no new direct loan obligations may be made.

Operating results.—Total revenues are forecast at approximately \$3.7 billion in 2003.

It should be noted that BPA's revenue forecasts are based on several critical assumptions about both the supply of and demand for Federal energy. During the operating year, deviation from the conditions assumed in a rate case may result in a variation in actual revenues of several hundred million dollars from the forecast.

Consistent with Administration policy, BPA will continue to fully recover, from the sale of electric power and transmission, funds sufficient to cover the full cost of Civil Service Retirement System and Post-Retirement Health Benefits for their employees. The entire cost of BPA employees working under the Federal Employees Retirement System is already fully recovered in wholesale electric power and transmission rates.

Status of Direct Loans (in millions of dollars)

Identification code 89-4045-0-3-271	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	2	2	2
1290 Outstanding, end of year	2	2	2

Public enterprise funds—Continued

BONNEVILLE POWER ADMINISTRATION FUND—Continued

Statement of Operations (in millions of dollars)

Identification code 89-4045-0-3-271	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	3,039	4,101	2,965	2,965
0102 Expense	-2,717	-4,201	-2,761	-2,761
0105 Net income or loss (-)	322	-100	204	204

Balance Sheet (in millions of dollars)

Identification code 89-4045-0-3-271	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	784	597	374	374
Investments in US securities:				
1106 Receivables, net	3	4	3	3
1206 Non-Federal assets: Receivables, net	237	382	300	300
1601 Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable: Direct loans, gross	2	2	2	2
Other Federal assets:				
1802 Inventories and related properties	56	77	77	77
1803 Property, plant and equipment, net	3,239	3,294	3,355	3,355
1901 Other assets	7,497	7,292	7,290	7,290
1999 Total assets	11,818	11,648	11,401	11,401
LIABILITIES:				
2102 Federal liabilities: Interest payable	29	33	33	33
Non-Federal liabilities:				
2201 Accounts payable	131	255	250	250
2203 Debt	9,934	8,870	8,980	8,980
2207 Other	529	1,453	1,000	1,000
2999 Total liabilities	10,623	10,611	10,263	10,263
NET POSITION:				
3300 Cumulative results of operations	1,195	1,037	1,138	1,138
3999 Total net position	1,195	1,037	1,138	1,138
4999 Total liabilities and net position	11,818	11,648	11,401	11,401

Object Classification (in millions of dollars)

Identification code 89-4045-0-3-271	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	180	154	152
11.3 Other than full-time permanent	3	2	2
11.5 Other personnel compensation	17	15	15
11.9 Total personnel compensation	200	171	169
Civilian personnel benefits:			
12.1 Civilian personnel benefits	2	20	21
12.1 Civilian personnel benefits	45	37	36
21.0 Travel and transportation of persons	9	8	7
22.0 Transportation of things	6	5	5
23.1 Rental payments to GSA	11	9	9
23.2 Rental payments to others	11	9	9
23.3 Communications, utilities, and miscellaneous charges	5	5	4
25.1 Advisory and assistance services	11	10	10
25.2 Other services	3,298	2,811	2,775
25.3 Other purchases of goods and services from Government accounts	189	161	159
25.5 Research and development contracts	2	2	2
26.0 Supplies and materials	41	35	35
31.0 Equipment	24	20	20
32.0 Land and structures	22	19	19
41.0 Grants, subsidies, and contributions	24	20	20
43.0 Interest and dividends	461	393	387
99.0 Reimbursable obligations	4,361	3,735	3,687
99.9 Total new obligations	4,361	3,735	3,687

Personnel Summary

Identification code 89-4045-0-3-271	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	2,880	3,259	3,278

BONNEVILLE POWER ADMINISTRATION FUND

(Legislative proposal, subject to PAYGO)

The FY 2003 budget request includes a proposal to increase Bonneville's current \$3.75 billion borrowing authority by \$700 million. The new borrowing authority will allow BPA to finance additional infrastructure investments. BPA plans to obligate these funds in FY 2004, \$113 million; FY 2005, \$498 million; and FY 2006, \$89 million.

COLORADO RIVER BASINS POWER MARKETING FUND, WESTERN AREA
POWER ADMINISTRATION

Program and Financing (in millions of dollars)

Identification code 89-4452-0-3-271	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Program direction	33	36	39
09.02 Colorado River storage project	300	379	355
09.03 Fort Peck project	23	23	16
09.04 Other projects	1	1	1
10.00 Total new obligations	356	439	411
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	18	54	54
22.00 New budget authority (gross)	392	439	411
23.90 Total budgetary resources available for obligation	410	493	465
23.95 Total new obligations	-356	-439	-411
24.40 Unobligated balance carried forward, end of year	54	54	54
New budget authority (gross), detail:			
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	392	465	433
68.27 Capital transfer to general fund	-26	-26	-22
68.90 Spending authority from offsetting collections (total discretionary)	392	439	411
Change in obligated balances:			
72.40 Obligated balance, start of year	29	23	23
73.10 Total new obligations	356	439	411
73.20 Total outlays (gross)	-362	-439	-411
74.40 Obligated balance, end of year	23	23	23
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	362	439	411
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-8	-9	-9
88.40 Non-Federal sources	-384	-456	-424
88.90 Total, offsetting collections (cash)	-392	-465	-433
Net budget authority and outlays:			
89.00 Budget authority	1	-25	-21
90.00 Outlays	-29	-25	-21

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	-26	-22	-22
90.00 Outlays	-30	-26	-22

Western's operation and maintenance (O&M) and power marketing expenses for the Colorado River storage project, the Colorado River basin project, the Seedskadee project, the Dolores project and the Fort Peck project are financed from power revenues.

Program direction.—Western operates and maintains approximately 4,000 miles of transmission lines, substations,

switchyards, communications and control equipment associated with this Fund. The personnel compensation and related expenses for all these activities are quantified under Program Direction. Wholesale power is provided to utilities over interconnected high-voltage transmission systems. In keeping with statutory requirements, long-term power contracts provide for periodic rate adjustments to ensure that the Federal Government recovers all costs of O&M and all capital invested in power, with interest.

Colorado River storage project.—Western markets power and operates and maintains the power transmission facilities of the Colorado River Storage Project. Western also purchases electricity and pays wheeling fees to meet firm and nonfirm commitments.

Colorado River basin project.—The Colorado River Basin Project includes Western's expenses associated with the Central Arizona Project and the United States entitlement from the Navajo coal-fired powerplant. Revenues in excess of operating expenses are transferred to the Lower Colorado River Basin Development Fund.

Fort Peck project.—Revenue collected by Western is used to defray operation and maintenance and power marketing expenses associated with the power generation and transmission facilities of the Fort Peck Project, Corps of Engineers—Civil, to defray emergency expenses, and to ensure continuous operation. The Corps operates and maintains the power generating facilities, and Western operates and maintains the transmission system and performs power marketing functions.

Seedskadee project.—This activity includes Western's expenses for O&M, power marketing, and transmission of hydroelectric power from Fontenelle Dam's powerplant in southwestern Wyoming.

Dolores project.—This activity includes Western's expenses for O&M, power marketing, and transmission of hydroelectric power from powerplants at McPhee Dam and Towaoc Canal in southwestern Colorado.

Balance Sheet (in millions of dollars)

Identification code 89-4452-0-3-271	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	47	77	77	77
Investments in US securities:				
1106 Receivables, net	27	1	1	1
1206 Non-Federal assets: Receivables, net	20	45	45	45
Other Federal assets:				
1802 Inventories and related properties	2	3	3	3
1803 Property, plant and equipment, net	70	78	78	78
1901 Other assets	87	88	88	88
1999 Total assets	253	292	292	292
LIABILITIES:				
Federal liabilities:				
2101 Accounts payable	1	-2	-2	-2
2105 Other	246	250	250	250
Non-Federal liabilities:				
2201 Accounts payable	25	16	16	16
2207 Other	6	18	18	18
2999 Total liabilities	278	282	282	282
NET POSITION:				
3300 Cumulative results of operations	-25	10	10	10
3999 Total net position	-25	10	10	10
4999 Total liabilities and net position	253	292	292	292

Object Classification (in millions of dollars)

Identification code 89-4452-0-3-271	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	15	16	18
11.5 Other personnel compensation	2	2	2
11.9 Total personnel compensation	17	18	20

12.1 Civilian personnel benefits	6	6	7
21.0 Travel and transportation of persons	1	1	1
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	1	1	1
23.3 Communications, utilities, and miscellaneous charges	2	2	2
25.2 Other services	313	387	359
25.3 Other purchases of goods and services from Government accounts	3	3	3
26.0 Supplies and materials	3	2	3
31.0 Equipment	3	2	2
32.0 Land and structures	6	5	4
43.0 Interest and dividends		11	8
99.9 Total new obligations	356	439	411

Personnel Summary

Identification code 89-4452-0-3-271	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	249	268	268

DEPARTMENTAL ADMINISTRATION

Federal Funds

General and special funds:

DEPARTMENTAL ADMINISTRATION
(INCLUDING TRANSFER OF FUNDS)

For salaries and expenses of the Department of Energy necessary for departmental administration in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the hire of passenger motor vehicles and official reception and representation expenses (not to exceed \$35,000), **[\$210,853,000]** \$307,159,000, to remain available until expended, plus such additional amounts as necessary to cover increases in the estimated amount of cost of work for others notwithstanding the provisions of the Anti-Deficiency Act (31 U.S.C. 1511 et seq.): *Provided*, That such increases in cost of work are offset by revenue increases of the same or greater amount, to remain available until expended: *Provided further*, That moneys received by the Department for miscellaneous revenues estimated to total **[\$137,810,000]** \$137,524,000 in fiscal year **[2002]** 2003 may be retained and used for operating expenses within this account, and may remain available until expended, as authorized by section 201 of Public Law 95-238, notwithstanding the provisions of 31 U.S.C. 3302: *Provided further*, That the sum herein appropriated shall be reduced by the amount of miscellaneous revenues received during fiscal year **[2002]** 2003 so as to result in a final fiscal year **[2002]** 2003 appropriation from the General Fund estimated at not more than **[\$73,043,000]** \$169,635,000. (*Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.*)

Unavailable Collections (in millions of dollars)

Identification code 89-0228-0-1-276	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	3	3	3
07.99 Balance, end of year	3	3	3

Program and Financing (in millions of dollars)

Identification code 89-0228-0-1-276	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Office of Management, Budget and Evaluation	94	102	87
00.02 Office of Policy and International Affairs	16	19	22
00.03 Chief Information Officer	1	1	84
00.04 Office of Congressional and Intergovernmental Affairs	5	5	5
00.05 Office of Public Affairs	4	4	5
00.07 General Counsel	23	24	24
00.08 Office of the Secretary	4	7	5
00.09 Board of Contract Appeals	1	1	1
00.10 Economic impact and diversity	6	7	7
00.11 Corporate Management Information Program		5	
09.01 Reimbursable program	66	79	70
10.00 Total new obligations	220	254	310

General and special funds—ContinuedDEPARTMENTAL ADMINISTRATION—Continued
(INCLUDING TRANSFER OF FUNDS)—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 89-0228-0-1-276	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	26	39	4
22.00 New budget authority (gross)	233	219	308
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	260	258	312
23.95 Total new obligations	-220	-254	-310
23.98 Unobligated balance expiring or withdrawn	-1		
24.40 Unobligated balance carried forward, end of year	39	4	2
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	127	81	170
41.00 Transferred to other accounts	-1		
43.00 Appropriation (total discretionary)	126	81	170
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	107	138	138
70.00 Total new budget authority (gross)	233	219	308
Change in obligated balances:			
72.40 Obligated balance, start of year	64	58	90
73.10 Total new obligations	220	254	310
73.20 Total outlays (gross)	-225	-222	-293
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	58	90	107
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	194	182	256
86.93 Outlays from discretionary balances	31	40	37
87.00 Total outlays (gross)	225	222	293
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-70	-90	-90
88.40 Non-Federal sources	-37	-48	-48
88.90 Total, offsetting collections (cash)	-107	-138	-138
Net budget authority and outlays:			
89.00 Budget authority	126	81	170
90.00 Outlays	118	84	155
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	118	73	162
90.00 Outlays	110	76	147

Departmental administration.—This account funds policy development and analysis activities, institutional and public liaison functions, and other program support requirements necessary to ensure effective operation and management. Specific activities provided for are:

Office of Policy and International Affairs.—The Assistant Secretary for Policy and International Affairs (PI) is the primary policy advisor to the Secretary, Deputy Secretary, and Under Secretary on domestic policy development and implementation and international energy policy analysis and activities. PI's role is to deliver unbiased advice to Departmental leadership on existing and prospective energy-related policies, based on integrated and well-founded data and policy analysis. PI represents the Department in interagency discussions on energy and related policy, and addresses all aspects of the U.S. energy sector including energy availability, reliability,

and economic efficiency. PI has primary responsibility for the Department's international energy affairs, including energy policy issues, energy emergency and national security issues, and technology cooperation. PI considers the global and local environmental impacts of energy production and use. PI also develops and leads the Department's bilateral and multilateral cooperation and investment and trade activities with other nations and international agencies. PI works closely with the various organizational elements of the Department and other relevant federal organizations and agencies and domestic institutions to coordinate and align national security and energy emergency activities.

Office of Management, Budget and Evaluation.—On July 26, 2001, the Secretary of Energy announced the reorganization of the former Offices of Management and Administration and the Chief Financial Officer into the Office of Management, Budget and Evaluation. Consolidating the functions of these two organizations will facilitate better integration of budgeting and more efficient management of resources. The Office of Management, Budget and Evaluation provides the Department with centralized direction and oversight of the full range of financial and planning activities, as well as management administration services contributing to the strategic objective: "Demonstrate efficient, effective, and economical management of the Department's human capital, financial resources, and physical assets." Financial activities include strategic planning and program evaluation; budget formulation, presentation and execution; oversight of DOE-wide internal controls; operation and maintenance of the Department's payroll and financial management systems; project management and contract oversight; and program evaluation. Management and administration activities include establishing Departmental human resource and procurement policies, providing human resource and procurement services to DOE headquarters staff, managing headquarters facilities, and providing an array of other administrative services critical to the proper functioning of the Department of Energy. The budget for the Office of Management, Budget and Evaluation also supports the activities of the Secretary of Energy Advisory Board (SEAB), an external advisory board chartered under the Federal Advisory Committee Act of 1972 (Public Law 92-436).

Chief Information Officer.—In FY 2003, the Office of the Chief Information Officer will be transferred from the Office of Security and Emergency Operations, in the Other Defense Activities account to the Departmental Administration account.

The Chief Information Officer program defines and implements policies to ensure efficient, economical and effective management, planning and acquisition of information resources in support of the Department's missions. The program is also responsible for coordinating corporate cyber security policy, planning and technical development; directing the replacement of outdated corporate information systems; and delivering shared or common services.

Congressional and intergovernmental affairs.—This office is responsible for coordinating, directing, and promoting the Secretary's and the Department's policies and legislative initiatives with the Congress, State, territorial, Tribal and local government officials, and other Federal agencies. The office is also responsible for managing and overseeing the Department's liaison with members of Congress, the White House and other levels of government and stakeholders which includes public interest groups representing state, local and tribal governments.

Office of Public Affairs.—This office is responsible for directing and managing the Secretary's, Department's, and Administration's policies and initiatives with the public, news media and other stakeholders on energy issues and also serves as the Department's chief spokesperson. The office manages and

oversees all public affairs efforts, which includes public information, press and media services, the departmental newsletter *DOE This Month*, speech writing, special projects, editorial services, the Department's home page, and review of proposed publications and audiovisuals.

General Counsel.—This office is responsible for providing legal services to all energy activities except for those functions belonging exclusively to the Federal Energy Regulatory Commission, which is served by its own General Counsel. Its responsibilities entail the provision of legal opinions, advice and services to administrative and program offices, and the conduct of both administrative and judicial litigation, as well as legal advice and support for enforcement activities. Further, the General Counsel appears before State and Federal agencies in defense of national energy policies and activities. The office is responsible for the coordination and clearance of proposed legislation affecting energy activities and testimony before Congress. The General Counsel is also responsible for ensuring consistency and legal sufficiency of all energy regulations; administering and monitoring standards of conduct requirements; and conducting the patents program.

Office of the Secretary.—Directs and leads management of the Department and provides policy guidance to line and staff organizations in the accomplishment of agency objectives.

Board of Contract Appeals.—Adjudicates disputes arising out of the Department's contracts and financial assistance programs and provides for neutral services and facilities for alternative dispute resolution.

Economic impact and diversity.—This office is responsible for: advising the Secretary on the effects of the Department's policies, regulations and actions on underrepresented population groups, communities, and business enterprises; conducting research to determine energy consumption and use patterns of minorities; and providing technical assistance to minority educational institutions and minority business enterprises to enable them to participate more fully in departmental activities. The office is also responsible for initiatives which promote inclusion in all aspects of the Department's human capital and financial resources by increasing diversity in hiring, contracting, internships, mentoring, and other developmental programs; administering a departmental small and disadvantaged business program; serves as the Department's enforcer to ensure that the civil rights of employees are protected and complaints are processed within applicable regulatory timeframes; implements the Department's environmental justice strategy; and is responsible for the Office of Employee Concerns which manages the whistle blower reform initiative; employee surveys; and eliminating practices of racial profiling.

Cost of work for others.—This activity covers the cost of work performed under orders placed with the Department by non-DOE entities which are precluded by law from making advance payments and certain revenue programs. Reimbursement for these costs is made through deposits of offsetting collections to this account.

Corporate management information program (CMIP).—This initiative began in 1998 and supports the objectives of the National Performance Review to provide better delivery of information and more efficient support to DOE's customers through modernized corporate information systems using more cost effective and current information technology. Funding in the amount of \$53.0 million has been provided from 1999 through 2002 to support modernization of corporate administrative systems at DOE.

Current CMIP investment projects support the following initiatives: Business Management Information Systems; Architecture and Planning; and Infrastructure. CMIP will establish common business solutions, supporting data, and associated software applications consistent with the Departmental Information Architecture to meet business needs, and legisla-

tive and regulatory mandates. In FY 2002, the CMIP project was funded by the Office of Security and Emergency Operations (SO), and Departmental Administration for \$15 million. In FY 2003, the Chief Information Officer will provide for the continued development and on-going maintenance of this important modernization investment initiative.

Object Classification (in millions of dollars)

Identification code 89-0228-0-1-276	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	55	70	79
11.3 Other than full-time permanent	7	8	7
11.5 Other personnel compensation	3	4	3
11.9 Total personnel compensation	65	82	89
12.1 Civilian personnel benefits	21	24	26
21.0 Travel and transportation of persons	2	2	3
23.3 Communications, utilities, and miscellaneous charges	1	1	2
25.1 Advisory and assistance services	10	11	11
25.2 Other services	26	24	77
25.3 Other purchases of goods and services from Government accounts	7	8	8
25.4 Operation and maintenance of facilities	14	14	16
25.6 Medical care	2	2	2
26.0 Supplies and materials	2	3	2
41.0 Grants, subsidies, and contributions	4	4	4
99.0 Direct obligations	154	175	240
99.0 Reimbursable obligations	66	79	70
99.9 Total new obligations	220	254	310

Personnel Summary

Identification code 89-0228-0-1-276	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	842	903	975

OFFICE OF THE INSPECTOR GENERAL

For necessary expenses of the Office of the Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, [\$32,430,000] \$38,872,000, to remain available until expended. (*Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 89-0236-0-1-276	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	34	33	39
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1		
22.00 New budget authority (gross)	33	33	39
23.90 Total budgetary resources available for obligation	34	33	39
23.95 Total new obligations	-34	-33	-39
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	32	33	39
42.00 Transferred from other accounts	1		
43.00 Appropriation (total discretionary)	33	33	39
Change in obligated balances:			
72.40 Obligated balance, start of year	5	5	5
73.10 Total new obligations	34	33	39
73.20 Total outlays (gross)	-34	-33	-38
74.40 Obligated balance, end of year	5	5	6
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	28	28	33
86.93 Outlays from discretionary balances	6	5	5
87.00 Total outlays (gross)	34	33	38

General and special funds—Continued

OFFICE OF THE INSPECTOR GENERAL—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 89-0236-0-1-276	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	33	33	39
90.00 Outlays	34	33	38
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:			
89.00 Budget authority	32	32	38
90.00 Outlays	33	32	37

This appropriation provides agencywide including the National Nuclear Security Administration audit, inspection, and investigative functions to identify and correct management and administrative deficiencies which create conditions for existing or potential instances of fraud, waste, and mismanagement. The audit function provides financial and performance audits of programs and operations. Financial audits include financial statement and financial related audits. Performance audits include economy and efficiency and program results audits. The inspection function provides independent inspections and analyses of the effectiveness, efficiency, and economy of programs and operations. The investigative function provides for the detection and investigation of improper and illegal activities involving programs, personnel, and operations.

Object Classification (in millions of dollars)

Identification code 89-0236-0-1-276	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	18	19	23
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	19	20	24
12.1 Civilian personnel benefits	6	5	6
21.0 Travel and transportation of persons	1	1	1
25.1 Advisory and assistance services	5		
25.2 Other services		4	5
25.3 Other purchases of goods and services from Government accounts	2	2	2
26.0 Supplies and materials	1	1	1
99.9 Total new obligations	34	33	39

Personnel Summary

Identification code 89-0236-0-1-276	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	266	262	266

Intragovernmental funds:

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 89-4563-0-4-276	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Payroll and other personnel	1	5	5
Administrative services:			
09.10 Supplies	3	3	3
09.11 Postage	2	2	2
09.12 Photocopying	2	2	2
09.13 Printing & graphics	4	3	3
09.14 Building rental, operations & maintenance	55	56	56

09.19 Total, Administrative services	66	66	66
Information management systems & operations:			
09.20 Telecommunication	7	7	7
09.21 Office automation equipment & support	1	1	1
09.22 Networking	7	6	6
09.29 Total, Information management systems & operations	15	14	14
Procurement services:			
09.30 Contract closeout	1	1	1
10.00 Total new obligations	83	86	86

Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	8	10	10
22.00 New budget authority (gross)	84	86	86
23.90 Total budgetary resources available for obligation	92	96	96
23.95 Total new obligations	-83	-86	-86
24.40 Unobligated balance carried forward, end of year	10	10	10

New budget authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	84	86	86

Change in obligated balances:			
72.40 Obligated balance, start of year	23	28	28
73.10 Total new obligations	83	86	86
73.20 Total outlays (gross)	-77	-86	-86
74.40 Obligated balance, end of year	28	28	28

Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	73	83	83
86.93 Outlays from discretionary balances	4	3	3
87.00 Total outlays (gross)	77	86	86

Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-84	-86	-86

Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-7		

The Department's Working Capital Fund (WCF) provides the following common administrative services: rent and building operations, telecommunications, network connectivity, automated office systems, payroll and personnel processing, supplies, printing, copying, mail, on-line learning, and contract closeout. Establishment of the WCF has helped the Department reduce waste and improve efficiency by expanding customer's choice of the amount, quality and source of administrative services.

Object Classification (in millions of dollars)

Identification code 89-4563-0-4-276	2001 actual	2002 est.	2003 est.
23.1 Rental payments to GSA	32	44	44
23.3 Communications, utilities, and miscellaneous charges	15	22	22
24.0 Printing and reproduction	3	6	6
25.1 Advisory and assistance services	2		
25.2 Other services	22	9	9
25.3 Other purchases of goods and services from Government accounts	2	1	1
25.6 Medical care	4		
25.7 Operation and maintenance of equipment	1	1	1
26.0 Supplies and materials	1	3	3
31.0 Equipment	1		
99.9 Total new obligations	83	86	86

ADMINISTRATIVE PROVISIONS, DEPARTMENT OF ENERGY

Appropriations under this Act for the current fiscal year shall be available for hire of passenger motor vehicles; hire, maintenance, and operation of aircraft; purchase, repair, and cleaning of uniforms; and reimbursement to the General Services Administration for security guard services.

From appropriations under this Act, transfers of sums may be made to other agencies of the Government for the performance of work for which the appropriation is made.

None of the funds made available to the Department of Energy under this Act shall be used to implement or finance authorized price support or loan guarantee programs unless specific provision is made for such programs in an appropriations Act.

The Secretary is authorized to accept lands, buildings, equipment, and other contributions from public and private sources and to prosecute projects in cooperation with other agencies, Federal, State, private or foreign: *Provided*, That revenues and other moneys received by or for the account of the Department of Energy or otherwise generated by sale of products in connection with projects of the Department appropriated under this Act may be [retained] *received* by the Secretary of Energy, [to be available until expended,] and, *subject to appropriation in advance within two years of such receipt, be used only for plant construction, operation, costs, and payments to cost-sharing entities as provided in appropriate cost-sharing contracts or agreements: Provided further, That [the remainder of revenues after the making of such payments] amounts in excess of such appropriation shall be covered into the Treasury as miscellaneous receipts*]: *Provided further, That any contract, agreement, or provision thereof entered into by the Secretary pursuant to this authority shall not be executed prior to the expiration of 30 calendar days (not including any day in which either House of Congress is not in session because of adjournment of more than 3 calendar days to a day certain) from the receipt by the Speaker of the House of Representatives and the President of the Senate of a full comprehensive report on such project, including the facts and circumstances relied upon in support of the proposed project*].

No funds provided in this Act may be expended by the Department of Energy to prepare, issue, or process procurement documents for programs or projects for which appropriations have not been made.

In addition to other authorities set forth in this Act, the Secretary may accept fees and contributions from public and private sources, to be deposited in a contributed funds account, and prosecute projects using such fees and contributions in cooperation with other Federal, State or private agencies or concerns. (*Department of the Interior and Related Agencies Appropriations Act, 2002.*)

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Offsetting receipts from the public:			
89-089400 Fees and recoveries, Federal Energy Regulatory Commission	1	12	13
89-223000 Oil and gas sale proceeds at NPRs.	12	7	7
89-223200 Proceeds from sale of excess DOE assets	1		
89-224500 Sale and transmission of electric energy, Falcon Dam	3	2	2
89-224700 Sale and transmission of electric energy, Southwestern Power Administration	81	91	90
Legislative proposal, subject to PAYGO			-51
89-224800 Sale and transmission of electric energy, Southeastern Power Administration	87	165	168
Legislative proposal, subject to PAYGO			-70
89-224900 Sale of power and other utilities, not otherwise classified	34	43	43
Legislative proposal, subject to PAYGO			-28
89-288900 Repayments on miscellaneous recoverable costs, not otherwise classified	11	55	35
General Fund Offsetting receipts from the public	230	375	209

GENERAL PROVISIONS

SEC. 301. (a) None of the funds appropriated by this Act may be used to award a management and operating contract, or award a significant extension or expansion to an existing management and operating contract, unless such contract is awarded using competitive procedures or the Secretary of Energy grants, on a case-by-case basis, a waiver to allow for such a deviation. The Secretary may not delegate the authority to grant such a waiver.

(b) At least 60 days before a contract award for which the Secretary intends to grant such a waiver, the Secretary shall submit to the

Subcommittees on Energy and Water Development of the Committees on Appropriations of the House of Representatives and the Senate a report notifying the Subcommittees of the waiver and setting forth, in specificity, the substantive reasons why the Secretary believes the requirement for competition should be waived for this particular award.

SEC. 302. None of the funds appropriated by this Act may be used to—

(1) develop or implement a workforce restructuring plan that covers employees of the Department of Energy; or

(2) provide enhanced severance payments or other benefits for employees of the Department of Energy, under section 3161 of the National Defense Authorization Act for Fiscal Year 1993 (Public Law 102-484; 42 U.S.C. 7274h).

SEC. 303. None of the funds appropriated by this Act may be used to augment the \$20,000,000 made available for obligation by this Act for severance payments and other benefits and community assistance grants under section 3161 of the National Defense Authorization Act for Fiscal Year 1993 (Public Law 102-484; 42 U.S.C. 7274h) unless the Department of Energy submits a reprogramming request subject to approval by the appropriate Congressional committees.]

SEC. [304] 303. None of the funds appropriated by this Act may be used to prepare or initiate Requests For Proposals (RFPs) for a program if the program has not been funded by Congress.

(TRANSFERS OF UNEXPENDED BALANCES)

SEC. [305] 304. The unexpended balances of prior appropriations provided for activities in this Act may be transferred to appropriation accounts for such activities established pursuant to this title. Balances so transferred may be merged with funds in the applicable established accounts and thereafter may be accounted for as one fund for the same time period as originally enacted.

SEC. [306] 305. None of the funds in this or any other Act for the Administrator of the Bonneville Power Administration may be used to enter into any agreement to perform energy efficiency services outside the legally defined Bonneville service territory, with the exception of services provided internationally, including services provided on a reimbursable basis, unless the Administrator certifies in advance that such services are not available from private sector businesses.

SEC. [307] 306. When the Department of Energy makes a user facility available to universities and other potential users, or seeks input from universities and other potential users regarding significant characteristics or equipment in a user facility or a proposed user facility, the Department shall ensure broad public notice of such availability or such need for input to universities and other potential users. [When the Department of Energy considers the participation of a university or other potential user as a formal partner in the establishment or operation of a user facility, the Department shall employ full and open competition in selecting such a partner.] For purposes of this section, the term “user facility” includes, but is not limited to: (1) a user facility as described in section 2203(a)(2) of the Energy Policy Act of 1992 (42 U.S.C. 13503(a)(2)); (2) a National Nuclear Security Administration Defense Programs Technology Deployment Center/User Facility; and (3) any other Departmental facility designated by the Department as a user facility.

SEC. 308. None of the funds in this Act may be used to dispose of transuranic waste in the Waste Isolation Pilot Plant which contains concentrations of plutonium in excess of 20 percent by weight for the aggregate of any material category on the date of enactment of this Act, or is generated after such date. For the purposes of this section, the material categories of transuranic waste at the Rocky Flats Environmental Technology Site include: (1) ash residues; (2) salt residues; (3) wet residues; (4) direct repackaging residues; and (5) scrub alloy as referenced in the “Final Environmental Impact Statement on Management of Certain Plutonium Residues and Scrub Alloy Stored at the Rocky Flats Environmental Technology Site”.]

SEC. [309] 307. The Administrator of the National Nuclear Security Administration may authorize the plant manager of a covered nuclear weapons production plant to engage in research, development, and demonstration activities with respect to the engineering and manufacturing capabilities at such plant in order to maintain and enhance such capabilities at such plant: *Provided*, That of the amount allocated to a covered nuclear weapons production plant each fiscal year from amounts available to the Department of Energy for such fiscal year for national security programs, not more than an amount equal to 2 percent of such amount may be used for these activities:

Provided further, That for purposes of this section, the term “covered nuclear weapons production plant” means the following:

- (1) the Kansas City Plant, Kansas City, Missouri;
- (2) the Y-12 Plant, Oak Ridge, Tennessee;
- (3) the Pantex Plant, Amarillo, Texas; and
- (4) the Savannah River Plant, South Carolina.

[SEC. 310.] 308. The Administrator of the National Nuclear Security Administration may authorize the manager of the Nevada Operations Office to engage in research, development, and demonstration activities with respect to the development, test, and evaluation capabilities necessary for operations and readiness of the Nevada Test Site: *Provided*, That of the amount allocated to the Nevada Operations Office each fiscal year from amounts available to the Department of Energy for such fiscal year for national security programs at the Nevada Test Site, not more than an amount equal to 2 percent of such amount may be used for these activities.

SEC. 309. Section 310 of the Energy and Water Development Appropriations Act, 2000 (Public Law 106-60), is hereby repealed.

SEC. 310. Funds appropriated by this or any other Act, or made available by the transfer of funds in this Act, for intelligence activities are deemed to be specifically authorized by the Congress for purposes of section 504 of the National Security Act of 1947 (50 U.S.C. 414) during fiscal year 2003 until the enactment of the Intelligence Authorization Act for fiscal year 2003.

[SEC. 311. DEPLETED URANIUM HEXAFLUORIDE. Section 1 of Public Law 105-204 is amended in subsection (b)—

- (1) by inserting “except as provided in subsection (c),” after “1321-349),”; and
- (2) by striking “fiscal year 2002” and inserting “fiscal year 2005”.]

[SEC. 312. PROHIBITION OF OIL AND GAS DRILLING IN THE FINGER LAKES NATIONAL FOREST, NEW YORK. No Federal permit or lease shall be issued for oil or gas drilling in the Finger Lakes National Forest, New York, during fiscal year 2002.] (*Energy and Water Development Appropriations Act, 2002.*)

GENERAL PROVISIONS, THIS CHAPTER

[SEC. 501. Of the funds provided in this or any other Act for “Defense Environmental Restoration and Waste Management” at the Department of Energy, up to \$500,000 may be available to the Secretary of Energy for safety improvements to roads along the shipping route to the Waste Isolation Pilot Plant site.]

[SEC. 502. NUTWOOD LEVEE, ILLINOIS. The Energy and Water Development Appropriations Act, 2002 (Public Law 107-66) is amended under the heading “Title I, Department of Defense—Civil, Department of the Army, Corps of Engineers—Civil, Construction, General” by inserting after “\$3,500,000” but before the “.” “: *Provided further*, That using \$400,000 of the funds appropriated herein, the Secretary of the Army, acting through the Chief of Engineers, may initiate construction on the Nutwood Levee, Illinois project”.]

[SEC. 503. The Reclamation Safety of Dams Act of 1978 (43 U.S.C. 509) is amended as follows:

(1) by inserting in section 4(c) after “2000,” and before “costs” the following “and the additional \$32,000,000 further authorized to be appropriated by amendments to the Act in 2001.”; and

(2) by inserting in section 5 after “levels,” and before “plus” the following: “and, effective October 1, 2001, not to exceed an additional \$32,000,000 (October 1, 2001, price levels).”.]

[SEC. 504. JICARILLA, NEW MEXICO, MUNICIPAL WATER SYSTEM. Public Law 107-66 is amended—

(1) under the heading of “Title I, Department of Defense—Civil, Department of the Army, Corps of Engineers—Civil, Construction, General”—

(A) by striking “*Provided further*, That using \$2,500,000 of the funds provided herein, the Secretary of the Army, acting through the Chief of Engineers, is directed to proceed with a final design and initiate construction for the repair and replacement of the Jicarilla Municipal Water System in the town of Dulce, New Mexico.”; and

(B) insert at the end before the period the following: “: *Provided further*, That using funds provided herein, the Secretary of the Army, acting through the Chief of Engineers, is directed to transfer \$2,500,000 to the Secretary of the Interior for the Bureau of Reclamation to proceed with the Jicarilla Municipal Water System in the town of Dulce, New Mexico”; and

(2) under the heading of “Title II, Department of the Interior, Bureau of Reclamation, Water and Related Resources, (Including the Transfer of Funds)”, insert at the end before the period the following: “: *Provided further*, That using \$2,500,000 of the funds provided herein, the Secretary of the Interior is directed to proceed with a final design and initiate construction for the repair and replacement of the Jicarilla Municipal Water System in the town of Dulce, New Mexico”.

SEC. 505. (a) OCCOQUAN RIVER, VIRGINIA.—The project for navigation, Occoquan Creek, Virginia, authorized by the first section of the Act entitled “An Act making appropriations for the construction, repair, and preservation of certain public works on rivers and harbors, and for other purposes”, approved September 19, 1890 (26 Stat. 440), is modified to direct the Secretary of the Army—

(1) to deepen the project to a depth of 9 feet; and

(2) to widen the project between Channel Marker Number 2 and the bridge at United States Route 1 to a width of 200 feet.

(b) **AVAILABILITY OF FUNDS.**—Amounts appropriated to carry out the project referred to in subsection (a) by the Energy and Water Development Appropriations Act, 2001 (as enacted into law by Public Law 106-377), shall be made available to carry out the modifications to the project under subsection (a).

(c) **PROJECT REDESIGNATION.**—

(1) **IN GENERAL.**—The project referred to in subsection (a) shall be known and designated as the “project for navigation, Occoquan River, Virginia”.

(2) **REFERENCES.**—Any reference in a law, map, regulation, document, paper, or other record of the United States to the project referred to in subsection (a) shall be deemed to be a reference to the “project for navigation, Occoquan River, Virginia”.] (*Emergency Supplemental Act, 2002.*)

DEPARTMENT OF HEALTH AND HUMAN SERVICES

FOOD AND DRUG ADMINISTRATION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Food and Drug Administration, including hire and purchase of passenger motor vehicles; for payment of space rental and related costs pursuant to Public Law 92-313 for programs and activities of the Food and Drug Administration which are included in this Act; for rental of special purpose space in the District of Columbia or elsewhere; and for miscellaneous and emergency expenses of enforcement activities, authorized and approved by the Secretary and to be accounted for solely on the Secretary's certificate, not to exceed \$25,000; **1**\$1,345,386,000, of which not to exceed \$161,716,000 to be derived from prescription drug user fees authorized by 21 U.S.C. 379(h), including any such fees assessed prior to the current fiscal year but credited during the current year, in accordance with section 736(g)(4), shall be credited to this appropriation and remain available until expended: *Provided*, That fees derived from applications received during fiscal year 2002 shall be subject to the fiscal year 2002 limitation: *Provided further*, That none of these funds shall be used to develop, establish, or operate any program of user fees authorized by 31 U.S.C. 9701: *Provided further*, That of the total amount appropriated: (1) \$312,049,000 shall be for the Center for Food Safety and Applied Nutrition and related field activities in the Office of Regulatory Affairs; (2) \$352,647,000 shall be for the Center for Drug Evaluation and Research and related field activities in the Office of Regulatory Affairs, of which no less than \$13,207,000 shall be available for grants and contracts awarded under section 5 of the Orphan Drug Act (21 U.S.C. 360ee); (3) \$155,875,000 shall be for the Center for Biologics Evaluation and Research and for related field activities in the Office of Regulatory Affairs; (4) \$82,967,000 shall be for the Center for Veterinary Medicine and for related field activities in the Office of Regulatory Affairs; (5) \$179,521,000 shall be for the Center for Devices and Radiological Health and for related field activities in the Office of Regulatory Affairs; (6) \$37,082,000 shall be for the National Center for Toxicological Research; (7) \$29,798,000 shall be for Rent and Related activities, other than the amounts paid to the General Services Administration, of which \$4,000,000 for costs related to occupancy of new facilities at White Oak, Maryland, shall remain available until September 30, 2003; (8) \$105,116,000 shall be for payments to the General Services Administration for rent and related costs; and (9) \$90,331,000 shall be for other activities, including the Office of the Commissioner; the Office of Management and Systems; the Office of the Senior Associate Commissioner; the Office of International and Constituent Relations; the Office of Policy, Legislation, and Planning; and central services for these offices: *Provided further*, That funds may be transferred from one specified activity to another with the prior approval of the Committees on Appropriations of both Houses of Congress **1**\$1,424,136,000.

In addition, mammography user fees authorized by 42 U.S.C. 263(b) may be credited to this account, to remain available until expended.

In addition, export certification user fees authorized by 21 U.S.C. 381 may be credited to this account, to remain available until expended. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

BUILDINGS AND FACILITIES

For plans, construction, repair, improvement, extension, alteration, and purchase of fixed equipment or facilities of or used by the Food and Drug Administration, where not otherwise provided, **1**\$34,281,000 **1**\$8,000,000, to remain available until expended (7 U.S.C. 2209b). (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.*)

1For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Salaries and Expenses", \$151,100,000, to remain available until expended, to be obligated

from amounts made available in Public Law 107-38. **1** (*Emergency Supplemental Act, 2002.*)

Unavailable Collections (in millions of dollars)

Identification code 75-9911-0-1-554	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 Cooperative research and development agreements	2	2	2
Appropriations:			
05.00 Salaries and expenses	-2	-2	-2
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 75-9911-0-1-554	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Foods	302	422	430
00.02 Drugs	410	508	536
00.03 Devices and radiological products	173	190	199
00.04 National Center for Toxicological Research	38	45	43
00.05 Other activities	72	93	81
00.06 Other rent and rent related activities	31	30	36
00.07 Rental payments	87	99	99
00.08 Buildings and facilities	33	34	8
00.09 CRADAs	1	2	2
00.10 Contingency fund	2		
09.01 Reimbursable program	207	212	44
10.00 Total new obligations	1,356	1,635	1,478
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	91	75	75
22.00 New budget authority (gross)	1,337	1,635	1,478
22.10 Resources available from recoveries of prior year obligations	2		
22.22 Unobligated balance transferred from other accounts	1		
23.90 Total budgetary resources available for obligation	1,431	1,710	1,553
23.95 Total new obligations	-1,356	-1,635	-1,478
24.40 Unobligated balance carried forward, end of year	75	75	75
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1,169	1,270	1,432
40.15 Appropriation (emergency)		151	
40.35 Appropriation rescinded	-25		
43.00 Appropriation (total discretionary)	1,144	1,421	1,432
Mandatory:			
60.20 Appropriation (special fund)	2	2	2
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	183	212	44
68.10 Change in uncollected customer payments from Federal sources (unexpired)	8		
68.90 Spending authority from offsetting collections (total discretionary)	191	212	44
70.00 Total new budget authority (gross)	1,337	1,635	1,478
Change in obligated balances:			
72.40 Obligated balance, start of year	365	387	477
73.10 Total new obligations	1,356	1,635	1,478
73.20 Total outlays (gross)	-1,318	-1,545	-1,486
73.40 Adjustments in expired accounts (net)	-11		
73.45 Recoveries of prior year obligations	-2		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-8		
74.10 Change in uncollected customer payments from Federal sources (expired)	5		
74.40 Obligated balance, end of year	387	477	469

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 75-9911-0-1-554	2001 actual	2002 est.	2003 est.
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1,075	1,271	1,128
86.93 Outlays from discretionary balances	242	274	356
86.97 Outlays from new mandatory authority	1	2	2
87.00 Total outlays (gross)	1,318	1,545	1,486
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-195	-212	-44
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-8		
88.96 Portion of offsetting collections (cash) credited to expired accounts	12		
Net budget authority and outlays:			
89.00 Budget authority	1,146	1,423	1,434
90.00 Outlays	1,123	1,333	1,442

(In millions of dollars)

	2001	2002	2003
Distribution of budget authority by account:			
Salaries and expenses	1,111	1,387	1,424
Buildings and facilities	33	34	8
Distribution of outlays by account:			
Salaries and expenses	1,108	1,316	1,425
Buildings and facilities	15	17	17

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	1,099	1,371	1,379
90.00 Outlays	1,076	1,281	1,387

Summary of Budget Authority and Outlays

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Enacted/requested:			
Budget Authority	1,146	1,423	1,434
Outlays	1,123	1,335	1,442
Legislative proposal, not subject to PAYGO:			
Budget Authority			
Outlays			
Total:			
Budget Authority	1,146	1,423	1,434
Outlays	1,123	1,335	1,442

The Food and Drug Administration assures the safety of the nation's foods, medicines, medical devices and other products through regulations, pre-market product and manufacturer reviews and post-market inspections. The budget includes funding for counter terrorism activities that specifically deal with the protection of products regulated by the FDA (such as drugs, vaccines, foods, and animal feed), and the availability of medical products for public health preparedness in the event of an attack. The budget also requests funding for food safety, Bovine Spongiform Encephalopathy ("Mad Cow" disease) prevention, blood safety, and patient safety.

Object Classification (in millions of dollars)

Identification code 75-9911-0-1-554	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	477	582	626
11.3 Other than full-time permanent	35	34	35
11.5 Other personnel compensation	18	20	20

11.9 Total personnel compensation	530	636	681
12.1 Civilian personnel benefits	175	199	213
21.0 Travel and transportation of persons	22	26	28
22.0 Transportation of things	3	5	7
23.1 Rental payments to GSA	87	99	99
23.2 Rental payments to others	4	6	6
23.3 Communications, utilities, and miscellaneous charges	22	30	29
24.0 Printing and reproduction	3	3	3
25.1 Advisory and assistance services	15	17	17
25.2 Other services	42	83	78
25.3 Other purchases of goods and services from Government accounts	50	53	51
25.4 Operation and maintenance of facilities	28	44	35
25.5 Research and development contracts	26	42	43
25.7 Operation and maintenance of equipment	26	29	28
26.0 Supplies and materials	18	26	25
31.0 Equipment	40	70	62
32.0 Land and structures	29	26	
41.0 Grants, subsidies, and contributions	27	27	27
42.0 Insurance claims and indemnities	2	2	2
99.0 Direct obligations	1,149	1,423	1,434
99.0 Reimbursable obligations	207	212	44
99.9 Total new obligations	1,356	1,635	1,478

Personnel Summary

Identification code 75-9911-0-1-554	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	7,795	8,779	9,116
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	1,233	1,254	152
Allocation account:			
3001 Total compensable workyears: Full-time equivalent employment	15		

SALARIES AND EXPENSES

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75-9911-2-1-554	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Reimbursable program			272
10.00 Total new obligations			272
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			272
23.95 Total new obligations			-272
New budget authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)			272
Change in obligated balances:			
73.10 Total new obligations			272
73.20 Total outlays (gross)			-272
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority			272
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources			-272
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

The budget includes a total of \$272,038,000 in prescription drug user fees. Authorizing language for these fees will be proposed to authorize the collection and spending of the fees subject to appropriations language.

Object Classification (in millions of dollars)

Identification code 75-9911-2-1-554	2001 actual	2002 est.	2003 est.
99.0 Reimbursable obligations: Reimbursable obligations ...			272
99.9 Total new obligations			272

Personnel Summary

Identification code 75-9911-2-1-554	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment			1,242

Public enterprise funds:

REVOLVING FUND FOR CERTIFICATION AND OTHER SERVICES

Program and Financing (in millions of dollars)

Identification code 75-4309-0-3-554	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	4	5	5
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	4	4
22.00 New budget authority (gross)	5	5	5
23.90 Total budgetary resources available for obligation	8	9	9
23.95 Total new obligations	-4	-5	-5
24.40 Unobligated balance carried forward, end of year	4	4	4
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	5	5	5
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	
73.10 Total new obligations	4	5	5
73.20 Total outlays (gross)	-4	-5	-5
74.40 Obligated balance, end of year	1		
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	4	5	5
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-5	-5	-5
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-1		

FDA certifies color additives for use in foods, drugs, and cosmetics. It also lists color additives for use in foods, drugs, medical devices, and cosmetics (21 U.S.C. 346a, 356, 357, 376). These services are financed wholly by fees paid by the industries affected.

Object Classification (in millions of dollars)

Identification code 75-4309-0-3-554	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	2	2	2
12.1 Civilian personnel benefits	1	1	1
25.2 Other services	1	2	2
99.9 Total new obligations	4	5	5

Personnel Summary

Identification code 75-4309-0-3-554	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	35	38	38

HEALTH RESOURCES AND SERVICES ADMINISTRATION

Federal Funds

General and special funds:

HEALTH RESOURCES AND SERVICES

For carrying out titles II, III, IV, VII, VIII, X, XII, XIX, and XXVI of the Public Health Service Act, section 427(a) of the Federal Coal Mine Health and Safety Act, title V (including section 510), and sections 1128E and 1820 of the Social Security Act, the Health Care Quality Improvement Act of 1986, as amended, the Native Hawaiian Health Care Act of 1988, as amended, the Cardiac Arrest Survival Act of 2000, and the Poison Control Center Enhancement and Awareness Act, [\$6,081,237,000, of which \$311,978,000 shall be available for construction and renovation of health care and other facilities, and] \$5,381,836,000, of which [\$40,000,000] \$25,000,000 from general revenues, notwithstanding section 1820(j) of the Social Security Act, shall be available for carrying out the Medicare rural hospital flexibility grants program under section 1820 of such Act: *Provided*, That of the funds made available under this heading, \$250,000 shall be available until expended for facilities renovations at the Gillis W. Long Hansen's Disease Center: *Provided further*, That in addition to fees authorized by section 427(b) of the Health Care Quality Improvement Act of 1986, fees shall be collected for the full disclosure of information under the Act sufficient to recover the full costs of operating the National Practitioner Data Bank, and shall remain available until expended to carry out that Act: *Provided further*, That fees collected for the full disclosure of information under the "Health Care Fraud and Abuse Data Collection Program", authorized by section 1128E(d)(2) of the Social Security Act, shall be sufficient to recover the full costs of operating the program, and shall remain available until expended to carry out that Act: *Provided further*, That no more than [\$15,000,000] \$25,000,000 is available for carrying out the provisions of Public Law 104-73: *Provided further*, That of the funds made available under this heading, [\$265,085,000] \$265,877,000 shall be for the program under title X of the Public Health Service Act to provide for voluntary family planning projects: *Provided further*, That amounts provided to said projects under such title shall not be expended for abortions, that all pregnancy counseling shall be nondirective, and that such amounts shall not be expended for any activity (including the publication or distribution of literature) that in any way tends to promote public support or opposition to any legislative proposal or candidate for public office: *Provided further*, That \$639,000,000 shall be for State AIDS Drug Assistance Programs authorized by section 2616 of the Public Health Service Act: *Provided further*, That of the amount provided under this heading, \$80,000 shall be for the Wausau Health Foundation in Wausau, Wisconsin, for a survey and analysis of local health professionals' career paths to better understand entry into and exit from health professions, \$100,000 shall be for the University of San Diego Institute for the Advancement of Health Policy to assess through teaching, research and delivery of services the impact of public policy on families from vulnerable populations, \$200,000 shall be for the Luna County, New Mexico and the Columbus Volunteer Fire Department to provide emergency medical services to immigrants, \$350,000 shall be for the Clinical Pharmacy Training Program at the University of Hawaii at Hilo, \$475,000 shall be for the American Federation of Negro Affairs, \$500,000 shall be for the University of Washington Center for Health Workforce Studies in Seattle, Washington, for a demonstration project to collect and analyze health workforce data, \$800,000 shall be for the University of Iowa for the training of Certified Registered Nurse Anesthetists, \$1,000,000 shall be for the Washington Health Foundation for a comprehensive demonstration project on improving nurse retention, and \$1,100,000 shall be for the Iowa Department of Public Health to create a Center for Health Care Workforce Shortage: *Provided further*, That, notwithstanding section 502(a)(1) of the Social Security Act, not to exceed \$115,236,000 is available for carrying out special projects of regional and national significance pursuant to section 501(a)(2) of such Act, of which \$50,000 is for the Center for Great Expectations, Somerville, New Jersey to provide prenatal health care, education and counseling for pregnant teens, \$565,000 is for the Milwaukee Health Department for a pilot program providing health care services to at-risk children in day care, and \$4,000,000 is for the Columbia Hospital for Women Medical Center in Washington, D.C., to support community outreach programs for women: *Provided further*, That [\$10,000,000] \$73,044,000 is available for special projects of regional and national

General and special funds—Continued

HEALTH RESOURCES AND SERVICES—Continued

significance under section 501(a)(2) of the Social Security Act, which shall not be counted toward compliance with the allocation required in section 502(a)(1) of such Act, and which shall be used only for making competitive grants to provide abstinence education (as defined in section 510(b)(2) of such Act) to adolescents and for evaluations (including longitudinal evaluations) of activities under the grants and for Federal costs of administering the grants: *Provided further*, That grants under the immediately preceding proviso shall be made only to public and private entities which agree that, with respect to an adolescent to whom the entities provide abstinence education under such grant, the entities will not provide to that adolescent any other education regarding sexual conduct, except that, in the case of an entity expressly required by law to provide health information or services the adolescent shall not be precluded from seeking health information or services from the entity in a different setting than the setting in which the abstinence education was provided: *Provided further*, That the funds expended for such evaluations may not exceed 3.5 percent of such amount. (*Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 75-0350-0-1-550	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.02	Loan guarantee subsidy	1	1
00.10	Health centers	1,164	1,329
00.11	National Health Service Corps	43	49
00.12	National Health Service Corps recruitment	84	99
00.13	Hansen's disease center	19	19
00.14	Payment to Hawaii for the treatment of Hansen's disease	2	2
00.15	Black lung clinics	6	6
00.16	Nursing loan repayment	7	10
00.17	Health professions	352	378
00.18	Maternal and child health block grant	714	732
00.19	Healthy start	90	99
00.20	Universal newborn hearing	8	10
00.21	Emergency medical services for children	19	19
00.22	Poison control centers	20	21
00.23	HIV/AIDS	1,808	1,911
00.24	Organ transplantation	15	20
00.25	Health care facilities	250	312
00.26	Bone marrow donor registry	22	22
00.27	Rural health policy development	13	17
00.28	Rural health outreach grants	60	51
00.29	Rural health flexibility grants	25	40
00.30	Telehealth	36	39
00.31	Program management	150	161
00.32	Family planning	255	266
00.33	Abstinence education	43	50
00.34	State access program	15	15
00.35	Community access program	125	105
00.36	Health centers tort claim fund	21	15
00.37	Trauma EMS	3	3
00.39	Childrens' GME	235	285
00.40	Denali commission	10	20
00.41	Community based abstinence grants	20	40
00.42	State offices of rural health	4	8
00.43	Adoption awareness	10	
00.44	Rural access to emergency devices		13
00.45	Radiation Exposure Compensation Act		4
00.46	Traumatic brain injury		7
00.47	Healthy communities innovation initiative		20
03.00	Total direct programs	5,648	6,178
09.01	Reimbursable program	155	162
10.00	Total new obligations	5,803	6,340
Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year	49	33
22.00	New budget authority (gross)	5,793	6,338
22.10	Resources available from recoveries of prior year obligations	1	
23.90	Total budgetary resources available for obligation	5,843	6,371
23.95	Total new obligations	-5,803	-6,340
23.98	Unobligated balance expiring or withdrawn	-8	
24.40	Unobligated balance carried forward, end of year	33	31

New budget authority (gross), detail:

Discretionary:			
40.00	Appropriation	5,567	6,098
40.71	Reduction pursuant to P.L. Labor HHS 107-116		-1
40.75	Reduction pursuant to P.L. 106-554 (Labor/HHS)	-1	
42.00	Transferred from other accounts	3	
43.00	Appropriation (total discretionary)	5,569	6,097
55.00	Advance appropriation	20	30
Mandatory:			
60.00	Appropriation	50	50
Discretionary:			
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	150	156
Mandatory:			
69.00	Offsetting collections (cash)(HIPDB)	4	5
70.00	Total new budget authority (gross)	5,793	6,338
Change in obligated balances:			
72.40	Obligated balance, start of year	3,459	4,557
73.10	Total new obligations	5,803	6,340
73.20	Total outlays (gross)	-4,758	-5,583
73.40	Adjustments in expired accounts (net)	2	
73.45	Recoveries of prior year obligations	-1	
74.10	Change in uncollected customer payments from Federal sources (expired)	52	
74.40	Obligated balance, end of year	4,557	5,314

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	2,175	2,137
86.93	Outlays from discretionary balances	2,522	3,384
86.97	Outlays from new mandatory authority	18	19
86.98	Outlays from mandatory balances	43	43
87.00	Total outlays (gross)	4,758	5,583

Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00	Federal sources	-189	-140
88.40	Non-Federal sources	-17	-21
88.90	Total, offsetting collections (cash)	-206	-161
Against gross budget authority only:			
88.96	Portion of offsetting collections (cash) credited to expired accounts	52	

Net budget authority and outlays:

89.00	Budget authority	5,639	6,177
90.00	Outlays	4,552	5,422

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00	Budget authority	5,624	6,161
90.00	Outlays	4,537	5,406

Summary of Budget Authority and Outlays

	(in millions of dollars)		
	2001 actual	2002 est.	2003 est.
Enacted/requested:			
Budget Authority	5,639	6,177	5,381
Outlays	4,552	5,422	5,598
Legislative proposal, subject to PAYGO:			
Budget Authority			50
Outlays			14
Total:			
Budget Authority	5,639	6,177	5,431
Outlays	4,552	5,422	5,612

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 75-0350-0-1-550	2001 actual	2002 est.	2003 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Health centers: Facilities renovation loan guarantee levels	5	10	10

215002 Health centers: Managed care network development loan guarantee levels	1	2	
215003 Health centers: Managed care plan loan guarantee levels	2	10	5
215901 Total loan guarantee levels	7	21	17
Guaranteed loan subsidy (in percent):			
232001 Health centers: Facilities renovation loan guarantee levels	3.28	4.08	3.49
232002 Health centers: Managed care network development loan guarantee levels	7.71	9.27	9.02
232003 Health centers: Managed care plan loan guarantee levels	2.34	5.00	7.65
232901 Weighted average subsidy rate	3.01	4.76	5.88
Guaranteed loan subsidy budget authority:			
233001 Health centers: Facilities renovation loan guarantee levels	1	1	
233002 Health centers: Managed care network development loan guarantee levels			
233003 Health centers: Managed care plan loan guarantee levels			
233901 Total subsidy budget authority	1	1	
Guaranteed loan subsidy outlays:			
234001 Health centers: Facilities renovation loan guarantee levels	1	1	
234002 Health centers: Managed care network development loan guarantee levels			
234003 Health centers: Managed care plan loan guarantee levels			
234901 Total subsidy outlays	1	1	
Administrative expense data:			
351001 Administrative expenses	1	1	1
358001 Outlays from balances			
359001 Outlays for administrative expenses	1	1	1

Activities displayed here support categorical health resources and services grants and the Medical malpractice claims fund, which pays malpractice claims filed against employees of federally-supported health centers. The 2003 Budget includes a Healthy Communities Innovation Initiative that is an innovative service demonstration program to prevent diabetes, asthma, and obesity at the community level.

Object Classification (in millions of dollars)

Identification code 75-0350-0-1-550	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	109	116	114
11.3 Other than full-time permanent	5	6	6
11.5 Other personnel compensation	4	4	4
11.9 Total personnel compensation	118	126	124
12.1 Civilian personnel benefits	44	51	50
13.0 Benefits for former personnel	3	2	2
21.0 Travel and transportation of persons	5	5	3
23.1 Rental payments to GSA	13	13	11
23.3 Communications, utilities, and miscellaneous charges	4	6	5
24.0 Printing and reproduction	2	3	2
25.1 Advisory and assistance services	61	60	52
25.2 Other services	74	81	83
25.3 Other purchases of goods and services from Government accounts	107	123	99
25.4 Operation and maintenance of facilities	1	1	1
25.5 Research and development contracts	1		
25.6 Medical care	1	1	1
25.7 Operation and maintenance of equipment	5	5	5
26.0 Supplies and materials	2	2	2
31.0 Equipment	6	5	5
41.0 Grants, subsidies, and contributions	5,183	5,679	4,912
42.0 Insurance claims and indemnities	19	15	25
99.0 Direct obligations	5,649	6,178	5,382
99.0 Reimbursable obligations	154	162	167
99.9 Total new obligations	5,803	6,340	5,549

Personnel Summary

Identification code 75-0350-0-1-550	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	1,709	1,783	1,712
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	267	277	277
Allocation account:			
3001 Total compensable workyears: Full-time equivalent employment		15	33

HEALTH RESOURCES AND SERVICES
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75-0350-4-1-550	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.33 Abstinence education			50
10.00 Total new obligations (object class 41.0)			50
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			50
23.95 Total new obligations			-50
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation			50
Change in obligated balances:			
73.10 Total new obligations			50
73.20 Total outlays (gross)			-14
74.40 Obligated balance, end of year			36
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority			14
Net budget authority and outlays:			
89.00 Budget authority			50
90.00 Outlays			14

VACCINE INJURY COMPENSATION

Program and Financing (in millions of dollars)

Identification code 75-0320-0-1-551	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 42.0)	33	8	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	43	11	3
22.00 New budget authority (gross)	1		
23.90 Total budgetary resources available for obligation	44	11	3
23.95 Total new obligations	-33	-8	
24.40 Unobligated balance carried forward, end of year	11	3	3
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	1		
Change in obligated balances:			
72.40 Obligated balance, start of year	3	3	
73.10 Total new obligations	33	8	
73.20 Total outlays (gross)	-33	-11	
74.40 Obligated balance, end of year	3		
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	1		
86.98 Outlays from mandatory balances	33	11	
87.00 Total outlays (gross)	33	11	

General and special funds—Continued

VACCINE INJURY COMPENSATION—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 75-0320-0-1-551	2001 actual	2002 est.	2003 est.
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-1		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	32	11	

The Vaccine improvement program was established pursuant to Public Law 99-660 and Public Law 100-203, and serves as a source of funds to pay claims for compensation for vaccine related injury or death. Payment of claims associated with vaccine related injury or death occurring before October 1, 1988 are financed from the General Fund and are reflected in this account. Given sufficient carry-over funds from prior years' appropriations to pay for the balance of the pre-1988 backlog of claims yet to be adjudicated, no appropriation is requested in 2003 to cover payment of pre-1988 claims. Payment of claims associated with vaccine related injury or death occurring after October 1, 1988 are reflected in the Vaccine improvement trust fund account.

PAYMENT TO THE RICKY RAY HEMOPHILIA RELIEF FUND

Program and Financing (in millions of dollars)

Identification code 75-0355-0-1-551	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 92.0)	580		
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	580		
23.95 Total new obligations	-580		
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	580		
Change in obligated balances:			
73.10 Total new obligations	580		
73.20 Total outlays (gross)	-580		
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	580		
Net budget authority and outlays:			
89.00 Budget authority	580		
90.00 Outlays	580		

The Ricky Ray hemophilia relief fund was established pursuant to Public Law 105-369 and serves as a source of funds to pay claims to eligible individuals on behalf of persons with HIV and a blood clotting disorder, who were treated with an anti-hemophilic factor between July 1982 and December 1987.

Credit accounts:

HEALTH CENTER GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 75-4442-0-3-551	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year			3
22.00 New financing authority (gross)	1		1

23.90	Total budgetary resources available for obligation	1	4
24.40	Unobligated balance carried forward, end of year	3	4

New financing authority (gross), detail:

Discretionary:			
68.00	Spending authority from offsetting collections (gross): (Federal sources: From program account)	1	1
87.00	Total financing disbursements (gross)	-1	-1

Offsets:

Against gross financing authority and financing disbursements:			
88.00	Offsetting collections (cash) from: Federal sources	-1	-1

Net financing authority and financing disbursements:

89.00	Financing authority		
90.00	Financing disbursements	-2	-2

Status of Guaranteed Loans (in millions of dollars)

Identification code 75-4442-0-3-551	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on commitments:			
2111	Limitation on guaranteed loans made by private lenders		
2121	Limitation available from carry-forward	134	127
2143	Uncommitted limitation carried forward	-127	-106
2150	Total guaranteed loan commitments	7	21
2199	Guaranteed amount of guaranteed loan commitments	6	17
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year	5	12
2231	Disbursements of new guaranteed loans	7	21
2251	Repayments and prepayments		
2263	Adjustments: Terminations for default that result in claim payments		
2290	Outstanding, end of year	12	33
Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year	6	17

P.L. 104-299 and P.L. 104-208 authorize HRSA to guarantee up to \$80 million in private loans to health centers for the costs of developing and operating managed care networks or plans and for the construction, renovation and modernization of medical facilities. This program has used \$21 million of its available \$160 million in loan guarantee authority, HRSA plans to use approximately \$38 million of the remaining existing loan guarantee limit over 2002 and 2003. As required by the Federal Credit Reform Act of 1990, this financing account records all cash flows to and from the Government resulting from the Health center loan guarantee program. The program account for this activity is displayed in the Health resources and services account (75-0350) as a line in the program and financing schedule.

Balance Sheet (in millions of dollars)

Identification code 75-4442-0-3-551	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101	Federal assets: Fund balances with Treasury	4	4	1
1999	Total assets	4	4	1
LIABILITIES:				
2204	Non-Federal liabilities: Liabilities for loan guarantees	4	4	1
2999	Total liabilities	4	4	1
NET POSITION:				
3300	Cumulative results of operations			
3999	Total net position			
4999	Total liabilities and net position	4	4	1

HEALTH EDUCATION ASSISTANCE LOANS PROGRAM ACCOUNT

Such sums as may be necessary to carry out the purpose of the program, as authorized by title VII of the Public Health Service Act, as amended. For administrative expenses to carry out the guaranteed loan program, including section 709 of the Public Health Service Act, **[\$3,792,000] \$3,914,000.** (Department of Health and Human Services Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 75-0340-0-1-552	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.09 Administrative expenses subject to limitation	4	4	4
10.00 Total new obligations	4	4	4
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	4	4	4
23.95 Total new obligations	-4	-4	-4
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	4	4	4
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	
73.10 Total new obligations	4	4	4
73.20 Total outlays (gross)	-4	-5	-4
74.40 Obligated balance, end of year	1		
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	3	4	4
86.93 Outlays from discretionary balances	1	1	
87.00 Total outlays (gross)	4	5	4
Net budget authority and outlays:			
89.00 Budget authority	4	4	4
90.00 Outlays	4	5	4

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program

(in millions of dollars)

Identification code 75-0340-0-1-552	2001 actual	2002 est.	2003 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 HEAL Loan guarantee			
215901 Total loan guarantee levels			
Guaranteed loan subsidy (in percent):			
232001 Loan guarantee	0.00	0.00	0.00
232901 Weighted average subsidy rate	0.00	0.00	0.00
Guaranteed loan subsidy budget authority:			
233001 Loan guarantee			
233901 Total subsidy budget authority			
Guaranteed loan subsidy outlays:			
234001 Loan guarantee			
234901 Total subsidy outlays			
235901 Total upward reestimate budget authority			
Guaranteed loan upward reestimate subsidy outlays:			
236001 Subsidy outlays—Upward Reestimates			
236901 Total upward reestimate subsidy outlays			
Guaranteed loan downward reestimate subsidy budget authority:			
237001 Downward Reestimate			
237901 Total downward reestimate subsidy budget authority			
Guaranteed loan downward reestimate subsidy outlays:			
238001 Downward Reestimates			
238901 Total downward reestimate subsidy outlays			
Administrative expense data:			
351001 Budget authority	4	4	4
358001 Outlays from balances	1	1	
359001 Outlays from new authority	3	4	4

The Health education assistance loan (HEAL) program guarantees loans from private lenders to health professions students to help pay for the costs of their training. As required by the Federal Credit Reform Act of 1990, this account records, for the HEAL program, the subsidy costs associated with HEAL loan guarantees committed in 1992 and beyond (including modifications of HEAL loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of the program. The administrative expenses are estimated on a cash basis.

Object Classification (in millions of dollars)

Identification code 75-0340-0-1-552	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	2
25.3 Other purchases of goods and services from Government accounts	1	1	1
99.0 Direct obligations	3	3	3
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	4	4	4

Personnel Summary

Identification code 75-0340-0-1-552	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	28	22	22

HEALTH EDUCATION ASSISTANCE LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 75-4304-0-3-552	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Death and disability claims	2	2	2
00.02 Default claims	15	27	30
10.00 Total new obligations	17	29	32
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	301	321	323
22.00 New financing authority (gross)	36	32	34
23.90 Total budgetary resources available for obligation	337	353	357
23.95 Total new obligations	-17	-29	-32
24.40 Unobligated balance carried forward, end of year	321	323	324
New financing authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	36	32	34
Change in obligated balances:			
73.10 Total new obligations	17	29	32
73.20 Total financing disbursements (gross)	-17	-29	-32
87.00 Total financing disbursements (gross)	17	29	32
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.25 Interest on uninvested funds	-32	-27	-28
88.40 Recoveries of defaulted loans	-4	-5	-6
88.90 Total, offsetting collections (cash)	-36	-32	-34
Net financing authority and financing disbursements:			
89.00 Financing authority			
90.00 Financing disbursements	-19	-3	-2

Credit accounts—Continued

**HEALTH EDUCATION ASSISTANCE LOANS FINANCING ACCOUNT—
Continued**

Status of Guaranteed Loans (in millions of dollars)

Identification code 75-4304-0-3-552	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders			
2150 Total guaranteed loan commitments			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	1,535	1,513	1,478
2251 Repayments and prepayments	-5	-6	-7
Adjustments:			
2261 Terminations for default that result in loans receivable	-14	-27	-30
2263 Terminations for default that result in claim payments	-3	-2	-2
2290 Outstanding, end of year	1,513	1,478	1,439
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	1,513	1,478	1,439
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	53	63	85
2331 Disbursements for guaranteed loan claims	14	27	30
2351 Repayments of loans receivable	-4	-5	-6
2390 Outstanding, end of year	63	85	109

This account records all cash flows to and from the Government resulting from HEAL loan guarantees committed between 1992 and 1998.

Balance Sheet (in millions of dollars)

Identification code 75-4304-0-3-552	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	301	321	323	324
1206 Non-Federal assets: Receivables, net	42	51	51	51
1999 Total assets	343	372	374	375
LIABILITIES:				
2204 Non-Federal liabilities: Liabilities for loan guarantees	343	372	374	375
2999 Total liabilities	343	372	374	375
NET POSITION:				
3300 Cumulative results of operations				
3999 Total net position				
4999 Total liabilities and net position	343	372	374	375

HEALTH EDUCATION ASSISTANCE LOANS LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 75-4305-0-3-552	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Death and disability claims	2	6	6
00.02 Defaulted loans	9	20	15
00.03 Debt collection	3	4	6
10.00 Total new obligations	14	30	27
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	35	30	27
22.40 Capital transfer to general fund	-20		
23.90 Total budgetary resources available for obligation	15	30	27
23.95 Total new obligations	-14	-30	-27

New budget authority (gross), detail:

Mandatory:			
60.00 Appropriation	10	10	7
69.00 Offsetting collections (cash)	25	20	20
70.00 Total new budget authority (gross)	35	30	27

Change in obligated balances:

72.40 Obligated balance, start of year	22	21	20
73.10 Total new obligations	14	30	27
73.20 Total outlays (gross)	-16	-30	-27
74.40 Obligated balance, end of year	21	20	20

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	10	30	27
86.98 Outlays from mandatory balances	6		
87.00 Total outlays (gross)	16	30	27

Offsets:

Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-25	-20	-20

Net budget authority and outlays:

89.00 Budget authority	10	10	7
90.00 Outlays	-9	10	7

Status of Guaranteed Loans (in millions of dollars)

Identification code 75-4305-0-3-552	2001 actual	2002 est.	2003 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	722	668	618
2251 Repayments and prepayments	-41	-39	-40
Adjustments:			
2261 Terminations for default that result in loans receivable	-12	-8	-7
2263 Terminations for default that result in claim payments	-1	-3	-3
2290 Outstanding, end of year	668	618	568
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	668	618	568
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	500	497	464
2331 Disbursements for guaranteed loan claims	12	8	7
2351 Repayments of loans receivable	-17	-17	-17
2361 Write-offs of loans receivable	-24	-24	-24
2364 Other adjustments, net	26		
2390 Outstanding, end of year	497	464	430

Note.—Includes carryover commitments from prior years.

Note.—The adjustment to loans receivable represents interest, which had not previously been reflected in cumulative outstanding balances.

This account records all cash flows to and from the Government resulting from HEAL loan guarantees committed prior to 1992.

Statement of Operations (in millions of dollars)

Identification code 75-4305-0-3-552	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	28	14	30	27
0102 Expense	-28	-14	-30	-27
0105 Net income or loss (-)				

Balance Sheet (in millions of dollars)

Identification code 75-4305-0-3-552	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	22	21	20	20
1206 Non-Federal assets: Receivables, net	381	376	376	376
1999 Total assets	403	397	396	396

LIABILITIES:					
2204	Non-Federal liabilities: Liabilities for loan guarantees	403	397	396	396
2999	Total liabilities	403	397	396	396
NET POSITION:					
3300	Cumulative results of operations				
3999	Total net position				
4999	Total liabilities and net position	403	397	396	396

Object Classification (in millions of dollars)

Identification code 75-4305-0-3-552	2001 actual	2002 est.	2003 est.
25.2 Other services	3	8	8
42.0 Insurance claims and indemnities	11	22	19
99.9 Total new obligations	14	30	27

MEDICAL FACILITIES GUARANTEE AND LOAN FUND

FEDERAL INTEREST SUBSIDIES FOR MEDICAL FACILITIES

Program and Financing (in millions of dollars)

Identification code 75-9931-0-3-551	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	65	67	71
22.00 New budget authority (gross)	3	4	4
22.60 Portion applied to repay debt	-1		
23.90 Total budgetary resources available for obligation	67	71	75
24.40 Unobligated balance carried forward, end of year	67	71	75
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	3	4	4
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	1
74.40 Obligated balance, end of year	1	1	1
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Non-Federal sources:			
88.40 Principal repaid on loans not sold	-2	-1	-1
88.40 Principal collections from FFB	-1	-3	-3
88.90 Total, offsetting collections (cash)	-3	-4	-4
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-2	-4	-4

Status of Direct Loans (in millions of dollars)

Identification code 75-9931-0-3-551	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	11	9	5
1251 Repayments: Repayments and prepayments	-2	-4	-5
1290 Outstanding, end of year	9	5	

Status of Guaranteed Loans (in millions of dollars)

Identification code 75-9931-0-3-551	2001 actual	2002 est.	2003 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	24	19	13
2251 Repayments and prepayments	-5	-6	-6
2290 Outstanding, end of year	19	13	7
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	19	13	7

Title VII, part C of the Public Health Service Act established a revolving fund from which health professions schools could borrow in order to provide loans to their students.

Public Law 89-751, the Allied Health Professions Personnel Training Act of 1966, amended the Public Health Service Act to authorize the Federal Government to pay the difference between the interest paid by students to the schools and the interest payable by the schools to the Government National Mortgage Association (GNMA) and the Treasury.

Title VI and subsequently title XVI of the Public Health Service Act established a loan and loan guarantee fund for medical facilities with a maximum amount allowable for the Government's liability. Direct loans were made available for public facilities and guaranteed loans for private, nonprofit facilities. Funds under this authority were established in the amount of \$50 million for use in fulfilling guarantees in event of default, \$30 million as a revolving fund for direct loans and an amount for interest subsidy payments on guaranteed loans. Unobligated balances will be used to pay defaults and interest subsidy payments.

Trust Funds

VACCINE INJURY COMPENSATION PROGRAM TRUST FUND

For payments from the Vaccine Injury Compensation Program Trust Fund, such sums as may be necessary for claims associated with vaccine-related injury or death with respect to vaccines administered after September 30, 1988, pursuant to subtitle 2 of title XXI of the Public Health Service Act, to remain available until expended: *Provided*, That for necessary administrative expenses, not to exceed **[\$2,992,000] \$2,991,000** shall be available from the Trust Fund to the Secretary of Health and Human Services. (*Department of Health and Human Services Appropriations Act, 2002.*)

Unavailable Collections (in millions of dollars)

Identification code 20-8175-0-7-551	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	1,546	1,627	1,718
Receipts:			
02.00 Deposits	112	123	125
02.40 Interest income	58	60	63
02.99 Total receipts and collections	170	183	188
04.00 Total: Balances and collections	1,716	1,810	1,906
Appropriations:			
05.00 Vaccine injury compensation program trust fund	-89	-92	-96
05.99 Total appropriations	-89	-92	-96
07.99 Balance, end of year	1,627	1,718	1,810

Program and Financing (in millions of dollars)

Identification code 20-8175-0-7-551	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Compensation: Claims for post—FY 1989 injuries	79	83	86
Administrative expenses:			
01.03 Claims processing (Claims Court)	3	3	3
01.04 Claims processing (Public Health Service)	3	3	3
01.05 Claims processing (Dept. of Justice)	4	4	4
01.91 Total, administrative expenses	10	10	10
10.00 Total new obligations	89	93	96
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	
22.00 New budget authority (gross)	89	92	96
23.90 Total budgetary resources available for obligation	90	93	96
23.95 Total new obligations	-89	-93	-96
24.40 Unobligated balance carried forward, end of year	1		

VACCINE INJURY COMPENSATION PROGRAM TRUST FUND—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 20-8175-0-7-551	2001 actual	2002 est.	2003 est.
New budget authority (gross), detail:			
Discretionary:			
40.26 Appropriation (trust fund)	10	10	10
Mandatory:			
60.26 Appropriation (trust fund)	79	82	86
70.00 Total new budget authority (gross)	89	92	96
Change in obligated balances:			
72.40 Obligated balance, start of year		1	
73.10 Total new obligations	89	93	96
73.20 Total outlays (gross)	-89	-93	-96
74.40 Obligated balance, end of year	1		
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	10	10	10
86.97 Outlays from new mandatory authority	79	82	86
87.00 Total outlays (gross)	89	93	96
Net budget authority and outlays:			
89.00 Budget authority	89	92	96
90.00 Outlays	88	93	96
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value		1,628	1,693
92.02 Total investments, end of year: Federal securities: Par value	1,628	1,693	1,793

The Vaccine improvement program was established pursuant to Public Law 99-660 and Public Law 100-203, and serves as a source of funds to pay claims for compensation for vaccine related injury or death. This account reflects payments for claims for vaccine related injury or death occurring after October 1, 1988.

Object Classification (in millions of dollars)

Identification code 20-8175-0-7-551	2001 actual	2002 est.	2003 est.
25.2 Other services	3	3	3
25.3 Other purchases of goods and services from Government accounts	7	7	7
42.0 Insurance claims and indemnities	79	83	86
99.9 Total new obligations	89	93	96

RICKY RAY HEMOPHILIA RELIEF FUND

Unavailable Collections (in millions of dollars)

Identification code 75-8074-0-7-551	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.40 Payments from the general fund	580		
02.41 Interest on investments, Ricky	2		
02.99 Total receipts and collections	582		
Appropriations:			
05.00 Ricky Ray hemophilia relief fund	-582		
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 75-8074-0-7-551	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Compensation—claims	465	139	
00.02 Administrative expenses subject to limitation	3	3	3
10.00 Total new obligations	468	142	3

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	31	145	3
22.00 New budget authority (gross)	582		
23.90 Total budgetary resources available for obligation	613	145	3
23.95 Total new obligations	-468	-142	-3
24.40 Unobligated balance carried forward, end of year	145	3	

New budget authority (gross), detail:

Mandatory:			
60.26 Appropriation (trust fund)	582		

Change in obligated balances:

72.40 Obligated balance, start of year	11	3	
73.10 Total new obligations	468	142	3
73.20 Total outlays (gross)	-475	-146	-3
74.40 Obligated balance, end of year	3		

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	442		
86.98 Outlays from mandatory balances	31	146	3
87.00 Total outlays (gross)	475	146	3

Net budget authority and outlays:

89.00 Budget authority	582		
90.00 Outlays	475	146	3

Memorandum (non-add) entries:

92.02 Total investments, end of year: Federal securities: Par value		130	
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The Ricky Ray hemophilia relief fund was established pursuant to Public Law 105-369 and serves as a source of funds to pay claims to eligible individuals on behalf of persons with HIV and a blood clotting disorder, who were treated with an anti-hemophilic factor between July 1982 and December 1987.

Object Classification (in millions of dollars)

Identification code 75-8074-0-7-551	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	2	2	2
25.2 Other services	1	1	1
99.0 Limitation acct—direct obligations	3	3	3

Personnel Summary

Identification code 75-8074-0-7-551	2001 actual	2002 est.	2003 est.
6001 Total compensable workyears: Full-time equivalent employment	18	18	17

INDIAN HEALTH SERVICE

Federal Funds

General and special funds:

INDIAN HEALTH SERVICES

For expenses necessary to carry out the Act of August 5, 1954 (68 Stat. 674), the Indian Self-Determination Act, the Indian Health Care Improvement Act, and titles II and III of the Public Health Service Act with respect to the Indian Health Service, **[\$2,389,614,000] \$2,513,668,000**, together with payments received during the fiscal year pursuant to 42 U.S.C. 238(b) for services furnished by the Indian Health Service: *Provided*, That funds made available to tribes and tribal organizations through contracts, grant agreements, or any other agreements or compacts authorized by the Indian Self-Determination and Education Assistance Act of 1975 (25 U.S.C. 450), shall be deemed to be obligated at the time of the grant or contract award and thereafter shall remain available to the tribe or tribal organization without fiscal year limitation: *Provided further*, That **[\$15,000,000] up to \$18,000,000** shall remain available until expended, for the Indian Catastrophic Health Emergency Fund: *Provided further*, That **[\$445,776,000] \$450,130,000** for contract medical care shall remain available for obligation until September 30, **[2003] 2004: Provided further**, That of the funds provided,

up to **[\$22,000,000]** \$25,000,000 shall be used to carry out the loan repayment program under section 108 of the Indian Health Care Improvement Act: *Provided further*, That funds provided in this Act may be used for 1-year contracts and grants which are to be performed in 2 fiscal years, so long as the total obligation is recorded in the year for which the funds are appropriated: *Provided further*, That the amounts collected by the Secretary of Health and Human Services under the authority of title IV of the Indian Health Care Improvement Act shall remain available until expended for the purpose of achieving compliance with the applicable conditions and requirements of titles XVIII and XIX of the Social Security Act (exclusive of planning, design, or construction of new facilities): *Provided further*, That funding contained herein, and in any earlier appropriations Acts for scholarship programs under the Indian Health Care Improvement Act (25 U.S.C. 1613) shall remain available for obligation until September 30, **[2003]** 2004: *Provided further*, That amounts received by tribes and tribal organizations under title IV of the Indian Health Care Improvement Act shall be reported and accounted for and available to the receiving tribes and tribal organizations until expended: *Provided further*, That, notwithstanding any other provision of law, of the amounts provided herein, not to exceed **[\$268,234,000]** \$270,734,000 shall be for payments to tribes and tribal organizations for contract or grant support costs associated with contracts, grants, self-governance compacts or annual funding agreements between the Indian Health Service and a tribe or tribal organization pursuant to the Indian Self-Determination Act of 1975, as amended, prior to or during fiscal year **[2002]** 2003, of which not to exceed **[\$20,000,000]** \$2,500,000 may be used for contract support costs associated with new or expanded self-determination contracts, grants, self-governance compacts or annual funding agreements: *Provided further*, That funds available for the Indian Health Care Improvement Fund may be used, as needed, to carry out activities typically funded under the Indian Health Facilities account. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 75-0390-0-1-551	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Clinical services	1,822	1,940	1,994
00.02 Preventive health	98	102	105
00.03 Urban health	30	31	32
00.04 Indian health professions	29	31	35
00.05 Tribal management	2	2	2
00.06 Direct operations	61	64	63
00.07 Self-governance	10	10	10
00.08 Contract support costs	248	268	271
00.09 Diabetes funds	100	100	100
09.01 Reimbursable program	709	767	767
10.00 Total new obligations	3,109	3,315	3,379
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	165	185	185
22.00 New budget authority (gross)	3,129	3,315	3,380
22.10 Resources available from recoveries of prior year obligations	8		
23.90 Total budgetary resources available for obligation	3,302	3,500	3,565
23.95 Total new obligations	-3,109	-3,315	-3,379
23.98 Unobligated balance expiring or withdrawn	-7		
24.40 Unobligated balance carried forward, end of year	185	185	185
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	2,325	2,448	2,513
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-5		
43.00 Appropriation (total discretionary)	2,320	2,448	2,513
Mandatory:			
60.00 Appropriation	70	70	100
62.00 Transferred from CMS for diabetes	30	30	
62.50 Appropriation (total mandatory)	100	100	100
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	676	767	767
68.10 Change in uncollected customer payments from Federal sources (unexpired)	33		

68.90	Spending authority from offsetting collections (total discretionary)	709	767	767
70.00	Total new budget authority (gross)	3,129	3,315	3,380
Change in obligated balances:				
72.40	Obligated balance, start of year	465	601	605
73.10	Total new obligations	3,109	3,315	3,379
73.20	Total outlays (gross)	-3,088	-3,311	-3,441
73.40	Adjustments in expired accounts (net)	117		
73.45	Recoveries of prior year obligations	-8		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-33		
74.10	Change in uncollected customer payments from Federal sources (expired)	39		
74.40	Obligated balance, end of year	601	605	545
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	2,757	2,929	2,986
86.93	Outlays from discretionary balances	231	282	356
86.97	Outlays from new mandatory authority	52	52	52
86.98	Outlays from mandatory balances	48	48	46
87.00	Total outlays (gross)	3,088	3,311	3,441
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-448	-392	-403
88.40	Non-Federal sources	-345	-375	-364
88.90	Total, offsetting collections (cash)	-793	-767	-767
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-33		
88.96	Portion of offsetting collections (cash) credited to expired accounts	117		
Net budget authority and outlays:				
89.00	Budget authority	2,420	2,548	2,613
90.00	Outlays	2,294	2,544	2,674

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	2,366	2,490	2,553
90.00 Outlays	2,240	2,486	2,614

The Indian health services account provides medical care, public health services, and health professions training opportunities to American Indians and Alaska Natives. An estimated \$1,642 million will be administered by tribal governments under self-determination contracts and self-governance compacts in 2003.

Object Classification (in millions of dollars)

Identification code 75-0390-0-1-551	2001 actual	2002 est.	2003 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	381	406	424
11.3	Other than full-time permanent	16	18	18
11.5	Other personnel compensation	33	35	37
11.9	Total personnel compensation	430	459	479
12.1	Civilian personnel benefits	168	181	188
13.0	Benefits for former personnel	12	12	13
Travel and transportation of persons:				
21.0	Travel and transportation of persons	12	12	9
21.0	Patient Travel	17	17	17
22.0	Transportation of things	8	8	8
23.1	Rental payments to GSA	8	4	4
23.2	Rental payments to others	3	7	7
23.3	Communications, utilities, and miscellaneous charges	20	21	21
24.0	Printing and reproduction	1	1	1
25.1	Advisory and assistance services	7	7	7
25.2	Other services	64	61	61
25.3	Other purchases of goods and services from Government accounts		2	2

General and special funds—Continued

INDIAN HEALTH SERVICES—Continued

Object Classification (in millions of dollars)—Continued

Identification code 75-0390-0-1-551	2001 actual	2002 est.	2003 est.
25.4 Operation and maintenance of facilities	9	6	6
25.5 Research and development contracts		1	1
25.6 Medical care	217	232	232
25.7 Operation and maintenance of equipment	4	5	5
25.8 Subsistence and support of persons		2	2
26.0 Supplies and materials	101	101	101
31.0 Equipment	19	19	19
32.0 Land and structures	1	1	1
41.0 Grants, subsidies, and contributions	1,298	1,388	1,427
42.0 Insurance claims and indemnities	1	1	1
99.0 Direct obligations	2,400	2,548	2,612
99.0 Reimbursable obligations	709	767	767
99.9 Total new obligations	3,109	3,315	3,379

Personnel Summary

Identification code 75-0390-0-1-551	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	9,656	9,782	9,839
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	3,710	3,710	3,710

INDIAN HEALTH FACILITIES

For construction, repair, maintenance, improvement, and equipment of health and related auxiliary facilities, including quarters for personnel; preparation of plans, specifications, and drawings; acquisition of sites, purchase and erection of modular buildings, and purchases of trailers; and for provision of domestic and community sanitation facilities for Indians, as authorized by section 7 of the Act of August 5, 1954 (42 U.S.C. 2004a), the Indian Self-Determination Act, and the Indian Health Care Improvement Act, and for expenses necessary to carry out such Acts and titles II and III of the Public Health Service Act with respect to environmental health and facilities support activities of the Indian Health Service, **[\$369,487,000]** \$370,475,000, to remain available until expended: *Provided*, That notwithstanding any other provision of law, funds appropriated for the planning, design, construction or renovation of health facilities for the benefit of an Indian tribe or tribes may be used to purchase land for sites to construct, improve, or enlarge health or related facilities: *Provided further*, That from the funds appropriated herein, \$5,000,000 shall be designated by the Indian Health Service as a contribution to the Yukon-Kuskokwim Health Corporation (YKHC) to continue a priority project for the acquisition of land, planning, design and construction of 79 staff quarters in the Bethel service area, pursuant to the negotiated project agreement between the YKHC and the Indian Health Service: *Provided further*, That this project shall not be subject to the construction provisions of the Indian Self-Determination and Education Assistance Act and shall be removed from the Indian Health Service priority list upon completion: *Provided further*, That the Federal Government shall not be liable for any property damages or other construction claims that may arise from YKHC undertaking this project: *Provided further*, That the land shall be owned or leased by the YKHC and title to quarters shall remain vested with the YKHC: *Provided further*, That \$5,000,000 shall remain available until expended for the purpose of funding up to two joint venture health care facility projects authorized under the Indian Health Care Improvement Act, as amended: *Provided further*, That priority, by rank order, shall be given to tribes with outpatient projects on the existing Indian Health Services priority list that have Service-approved planning documents, and can demonstrate by March 1, 2002, the financial capability necessary to provide an appropriate facility: *Provided further*, That joint venture funds unallocated after March 1, 2002, shall be made available for joint venture projects on a competitive basis giving priority to tribes that currently have no existing Federally-owned health care facility, have planning documents meeting Indian Health Service re-

quirements prepared for approval by the Service and can demonstrate the financial capability needed to provide an appropriate facility: *Provided further*, That the Indian Health Service shall request additional staffing, operation and maintenance funds for these facilities in future budget requests: *Provided further*, That not to exceed \$500,000 shall be used by the Indian Health Service to purchase TRANSAM equipment from the Department of Defense for distribution to the Indian Health Service and tribal facilities: *Provided further*, That not to exceed \$500,000 shall be used by the Indian Health Service to obtain ambulances for the Indian Health Service and tribal facilities in conjunction with an existing interagency agreement between the Indian Health Service and the General Services Administration: *Provided further*, That not to exceed \$500,000 shall be placed in a Demolition Fund, available until expended, to be used by the Indian Health Service for demolition of Federal buildings: *Provided further*, That notwithstanding the provisions of title III, section 306, of the Indian Health Care Improvement Act (Public Law 94-437, as amended), construction contracts authorized under title I of the Indian Self-Determination and Education Assistance Act of 1975, as amended, may be used rather than grants to fund small ambulatory facility construction projects: *Provided further*, That if a contract is used, the IHS is authorized to improve municipal, private, or tribal lands, and that at no time, during construction or after completion of the project will the Federal Government have any rights or title to any real or personal property acquired as a part of the contract: *Provided further*, That notwithstanding any other provision of law or regulation, for purposes of acquiring sites for a new clinic and staff quarters in St. Paul Island, Alaska, the Secretary of Health and Human Services may accept land donated by the Tanadgusix Corporation. (Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Unavailable Collections (in millions of dollars)

Identification code 75-0391-0-1-551	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 Rent and charges for quarters, Indian health service, HHS	11	6	6
Appropriations:			
05.00 Indian health facilities	-11	-6	-6
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 75-0391-0-1-551	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Sanitation and health facilities	154	181	167
00.02 Maintenance	42	52	53
00.03 Facilities and environmental health	123	133	140
00.04 Equipment	11	16	16
01.00 Total direct program	330	382	376
09.01 Reimbursable program	6	4	4
10.00 Total new obligations	336	386	380
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	90	138	138
22.00 New budget authority (gross)	384	388	383
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	475	526	521
23.95 Total new obligations	-336	-386	-380
24.40 Unobligated balance carried forward, end of year	138	138	139
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	371	376	371
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
43.00 Appropriation (total discretionary)	370	376	371
Mandatory:			
60.20 Appropriation (special fund)	11	6	6
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	7	7	7

68.10	Change in uncollected customer payments from Federal sources (unexpired)	-4		
68.90	Spending authority from offsetting collections (total discretionary)	3	7	7
70.00	Total new budget authority (gross)	384	389	384
Change in obligated balances:				
72.40	Obligated balance, start of year	321	323	375
73.10	Total new obligations	336	386	380
73.20	Total outlays (gross)	-338	-336	-383
73.45	Recoveries of prior year obligations	-1		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	4		
74.40	Obligated balance, end of year	323	375	374
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	105	125	124
86.93	Outlays from discretionary balances	225	206	254
86.97	Outlays from new mandatory authority	7	6	6
87.00	Total outlays (gross)	338	336	383
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-7	-7	-7
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	4		
Net budget authority and outlays:				
89.00	Budget authority	381	381	376
90.00	Outlays	330	329	376

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	374	374	368
90.00	Outlays	323	322	368

The Indian health facilities account supports construction, repair and improvement, equipment, and environmental health and facilities support for the Indian health services.

Object Classification (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Identification code 75-0391-0-1-551				
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	52	56	59
11.3	Other than full-time permanent	5	6	6
11.5	Other personnel compensation	1	2	2
11.9	Total personnel compensation	58	64	67
12.1	Civilian personnel benefits	22	23	25
21.0	Travel and transportation of persons	3	3	3
22.0	Transportation of things	3	2	3
23.1	Rental payments to GSA	2		
23.3	Communications, utilities, and miscellaneous charges	12	12	12
25.1	Advisory and assistance services	1	2	2
25.2	Other services	113	151	140
25.3	Other purchases of goods and services from Government accounts	5	5	5
25.4	Operation and maintenance of facilities	2	3	3
25.7	Operation and maintenance of equipment	1	1	1
26.0	Supplies and materials	7	9	8
31.0	Equipment	6	6	6
32.0	Land and structures	14	15	14
41.0	Grants, subsidies, and contributions	75	83	84
42.0	Insurance claims and indemnities	7		
43.0	Interest and dividends	1	1	1
99.0	Direct obligations	332	380	374
99.0	Reimbursable obligations	4	6	6
99.9	Total new obligations	336	386	380

Personnel Summary

Identification code 75-0391-0-1-551	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	1,300	1,308	1,326

ADMINISTRATIVE PROVISIONS, INDIAN HEALTH SERVICE

Appropriations in this Act to the Indian Health Service shall be available for services as authorized by 5 U.S.C. 3109 but at rates not to exceed the per diem rate equivalent to the maximum rate payable for senior-level positions under 5 U.S.C. 5376; hire of passenger motor vehicles and aircraft; purchase of medical equipment; purchase of reprints; purchase, renovation and erection of modular buildings and renovation of existing facilities; payments for telephone service in private residences in the field, when authorized under regulations approved by the Secretary; and for uniforms or allowances [therefore] therefor as authorized by 5 U.S.C. 5901-5902; and for expenses of attendance at meetings which are concerned with the functions or activities for which the appropriation is made or which will contribute to improved conduct, supervision, or management of those functions or activities.

In accordance with the provisions of the Indian Health Care Improvement Act, non-Indian patients may be extended health care at all tribally administered or Indian Health Service facilities, subject to charges, and the proceeds along with funds recovered under the Federal Medical Care Recovery Act (42 U.S.C. 2651-2653) shall be credited to the account of the facility providing the service and shall be available without fiscal year limitation. Notwithstanding any other law or regulation, funds transferred from the Department of Housing and Urban Development to the Indian Health Service shall be administered under Public Law 86-121 (the Indian Sanitation Facilities Act) and Public Law 93-638, as amended.

Funds appropriated to the Indian Health Service in this Act, except those used for administrative and program direction purposes, shall not be subject to limitations directed at curtailing Federal travel and transportation.

Notwithstanding any other provision of law, funds previously or herein made available to a tribe or tribal organization through a contract, grant, or agreement authorized by title I or title III of the Indian Self-Determination and Education Assistance Act of 1975 (25 U.S.C. 450), may be deobligated and reobligated to a self-determination contract under title I, or a self-governance agreement under title III of such Act and thereafter shall remain available to the tribe or tribal organization without fiscal year limitation.

None of the funds made available to the Indian Health Service in this Act shall be used to implement the final rule published in the Federal Register on September 16, 1987, by the Department of Health and Human Services, relating to the eligibility for the health care services of the Indian Health Service until the Indian Health Service has submitted a budget request reflecting the increased costs associated with the proposed final rule, and such request has been included in an appropriations Act and enacted into law.

[Funds made available in this Act are to be apportioned to the Indian Health Service as appropriated in this Act, and accounted for in the appropriation structure set forth in this Act.]

With respect to functions transferred by the Indian Health Service to tribes or tribal organizations, the Indian Health Service is authorized to provide goods and services to those entities, on a reimbursable basis, including payment in advance with subsequent adjustment. The reimbursements received therefrom, along with the funds received from those entities pursuant to the Indian Self-Determination Act, may be credited to the same or subsequent appropriation account which provided the funding. Such amounts shall remain available until expended.

Reimbursements for training, technical assistance, or services provided by the Indian Health Service will contain total costs, including direct, administrative, and overhead associated with the provision of goods, services, or technical assistance.

The appropriation structure for the Indian Health Service may not be altered without advance [approval of] notice submitted to the House and Senate Committees on Appropriations. (Department of the Interior and Related Agencies Appropriations Act, 2002.)

CENTERS FOR DISEASE CONTROL AND PREVENTION

Federal Funds

General and special funds:

DISEASE CONTROL, RESEARCH, AND TRAINING

To carry out titles II, III, VII, XI, XV, XVII, XIX, XXI, and XXVI of the Public Health Service Act, sections 101, 102, 103, 201, 202, 203, 301, and 501 of the Federal Mine Safety and Health Act of 1977, sections 20, 21, and 22 of the Occupational Safety and Health Act of 1970, title IV of the Immigration and Nationality Act, and section 501 of the Refugee Education Assistance Act of 1980; including insurance of official motor vehicles in foreign countries; and hire, maintenance, and operation of aircraft, **[\$4,293,151,000, of which \$250,000,000 shall remain available until expended for equipment and construction and renovation of facilities, and] \$3,931,742,000, of which \$143,763,000 for international HIV/AIDS shall remain available until September 30, [2003] 2004, and in addition, such sums as may be derived from authorized user fees, which shall be credited to this account: *Provided*, That in addition to amounts provided herein, up to **[\$23,286,000] \$46,982,000 shall be available from amounts available under section 241 of the Public Health Service Act to carry out the National Center for Health Statistics surveys: *Provided further*, That none of the funds made available for injury prevention and control at the Centers for Disease Control and Prevention may be used to advocate or promote gun control: *Provided further*, That the Director may redirect the total amount made available under authority of Public Law 101-502, section 3, dated November 3, 1990, to activities the Director may so designate: *Provided further*, That the Congress is to be notified promptly of any such transfer: *Provided further*, That not to exceed \$10,000,000 may be available for making grants under section 1509 of the Public Health Service Act to not more than 15 States: *Provided further*, That notwithstanding any other provision of law, a single contract or related contracts for development and construction of facilities may be employed which collectively include the full scope of the project: *Provided further*, That the solicitation and contract shall contain the clause "availability of funds" found at 48 CFR 52.232-18]. (*Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.*)****

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States for "Disease control, research, and training" for baseline safety screening for the emergency services personnel and rescue and recovery personnel, \$12,000,000, to remain available until expended to be obligated from amounts made available in Public Law 107-38.] (*Emergency Supplemental Act, 2002.*)

Unavailable Collections (in millions of dollars)

Identification code 75-0943-0-1-999	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 Cooperative research and development agreements, Centers for Disease Contr	1	1	1
Appropriations:			
05.00 Disease control, research, and training	-1	-1	-1
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 75-0943-0-1-999	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Preventive health block grants	176		
00.02 Prevention centers	23		
00.03 Sexually transmitted diseases	148		
00.04 Immunization	529		
00.05 Infectious diseases	307		
00.06 Other chronic and environmental diseases	601		
00.07 Tobacco	102		
00.08 Occupational health and safety	119		
00.10 Epidemic services	175		
00.11 Health statistics	26		
00.12 HIV	767		
00.13 Prevention research	14		
00.14 Buildings & Facilities	170		
00.17 Eliminating racial and ethnic disparities	35		

00.18 Program administration	715		
00.19 Birth defects/developmental disabilities/disability and health	91	90	
00.20 Chronic disease prev & health promotion	753	697	
00.21 Environmental health	157	155	
00.22 Epidemic services and response	83	81	
00.23 Health statistics	108	83	
00.24 HIV/AIDS, STD and TB prevention	1,143	1,143	
00.25 Immunization	631	632	
00.26 Infectious disease control	355	344	
00.27 Injury prevention and control	151	146	
00.28 Occupational safety and health	287	258	
00.29 Preventive health and health service block grant	135	135	
00.30 Public health improvement	150	119	
00.31 Building and facilities	250		
00.32 Office of the Director	52	48	
09.01 Reimbursable program	197	159	188
10.00 Total new obligations	4,104	4,505	4,119

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	26	47	63
22.00 New budget authority (gross)	4,125	4,521	4,123
22.22 Unobligated balance transferred from other accounts	2		
23.90 Total budgetary resources available for obligation	4,153	4,568	4,186
23.95 Total new obligations	-4,104	-4,505	-4,119
23.98 Unobligated balance expiring or withdrawn	-1		
24.40 Unobligated balance carried forward, end of year	47	63	67

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	3,919	4,348	3,931
40.15 Appropriation (emergency)	12		
40.71 Reduction pursuant to P.L. 107-116 (Labor/HHS)	-2	-2	
40.75 Reduction pursuant to P.L. 106-554 (Labor/HHS)	-2		
41.00 Transferred to other accounts	-3		
43.00 Appropriation (total discretionary)	3,914	4,358	3,931
Mandatory:			
60.00 Appropriation			3
60.20 Appropriation (special fund)	1	1	1
62.00 Transferred from other accounts	13	3	
62.50 Appropriation (total mandatory)	14	4	4
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	121	136	141
68.10 Change in uncollected customer payments from Federal sources (unexpired)	76	23	47
68.90 Spending authority from offsetting collections (total discretionary)	197	159	188
70.00 Total new budget authority (gross)	4,125	4,521	4,123

Change in obligated balances:

72.40 Obligated balance, start of year	2,673	3,246	3,658
73.10 Total new obligations	4,104	4,505	4,119
73.20 Total outlays (gross)	-3,517	-4,070	-4,297
73.40 Adjustments in expired accounts (net)	-27		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-76	-23	-47
74.10 Change in uncollected customer payments from Federal sources (expired)	90		
74.40 Obligated balance, end of year	3,246	3,658	3,433

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	1,530	1,634	1,524
86.93 Outlays from discretionary balances	1,983	2,426	2,765
86.97 Outlays from new mandatory authority	4	4	4
86.98 Outlays from mandatory balances		6	4
87.00 Total outlays (gross)	3,517	4,070	4,297

Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-204	-131	-136
88.40 Non-Federal sources	-5	-5	-5
88.90 Total, offsetting collections (cash)	-209	-136	-141
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-76	-23	-47
88.96 Portion of offsetting collections (cash) credited to expired accounts	88		

Net budget authority and outlays:				
89.00	Budget authority	3,928	4,362	3,935
90.00	Outlays	3,308	3,934	4,156

Comparable BA by Activity (dollars in millions)

	2001	2002	2003
Birth defects/developmental/disabilities/disability & health	71	91	90
HIV/AIDS, STD, TB	1051	1143	1143
HIV/AIDS (non-add)	855	932	933
Immunization	556	631	631
Infectious disease control	327	354	345
Environmental health	140	157	156
Chronic disease/health promotion	756	754	697
Preventive health block grant	135	135	135
Occupational safety and health	270	287	258
Injury prevention	144	151	146
Health statistics BA	54	108	83
Program level	126	131	130
Epidemic services	81	83	81
Public health improvement	113	150	119
Office of the Director	42	52	48
Emergency response		12	
Total	3,739	4,108	3,932

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	3,877	4,307	3,878
90.00	Outlays	3,257	3,879	4,099

The Centers for Disease Control and Prevention (CDC) supports a number of categorical programs designed to improve the health and safety of all Americans. These programs include immunization, HIV prevention, chronic disease prevention and health promotion, infectious disease control, occupational safety and health, injury prevention and control, environmental health, and programs that reduce the occurrence of birth defects and developmental disabilities. CDC also supports bioterrorism and emergency response activities. Funding for these activities is included in the Public Health and Social Services Emergency Fund provided to CDC from the Office of the Secretary of Health and Human Services.

In 2002, CDC modified and consolidated several budget categories to simplify its budget, and move towards budgeting its activities by organization. The new budget categories represent total costs of a program, including both administrative costs and CDC's centralized services. The comparable table above displays funding levels in 2001, 2002, and 2003 using the new categories as if they were implemented in 2001.

The 2003 Budget also creates a new HHS Health Facilities Construction and Management Fund within the Office of the Secretary that will fund facilities construction for CDC and the National Institutes of Health centrally rather than in the individual agency accounts. Through this mechanism, the Department will prioritize and fund construction projects competitively across the two agencies.

Object Classification (in millions of dollars)

Identification code 75-0943-0-1-999	2001 actual	2002 est.	2003 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	355	404	406
11.3	Other than full-time permanent	36	40	41
11.5	Other personnel compensation	20	24	25
11.8	Special personal services payments	1		
11.9	Total personnel compensation	412	468	472
12.1	Civilian personnel benefits	170	190	194
21.0	Travel and transportation of persons	34	42	45
22.0	Transportation of things	6	6	7
23.1	Rental payments to GSA	35	44	52
23.2	Rental payments to others	2	3	3

23.3	Communications, utilities, and miscellaneous charges	25	34	38
24.0	Printing and reproduction	6	7	8
25.1	Advisory and assistance services	123	127	132
25.2	Other services	57	63	69
25.3	Other purchases of goods and services from Government accounts	221	232	236
25.4	Operation and maintenance of facilities	43	46	34
25.5	Research and development contracts	246	269	271
25.6	Medical care	2	3	2
25.7	Operation and maintenance of equipment	19	24	30
25.8	Subsistence and support of persons	5	10	8
26.0	Supplies and materials	35	38	38
31.0	Equipment	68	59	63
32.0	Land and structures	144	238	
41.0	Grants, subsidies, and contributions	2,254	2,442	2,228
42.0	Insurance claims and indemnities	1	1	1
99.0	Direct obligations	3,908	4,346	3,931
99.0	Reimbursable obligations	196	159	188
99.9	Total new obligations	4,104	4,505	4,119

Personnel Summary

Identification code 75-0943-0-1-999	2001 actual	2002 est.	2003 est.	
Direct:				
1001	Total compensable workyears: Full-time equivalent employment	7,019	7,556	7,183
Reimbursable:				
2001	Total compensable workyears: Full-time equivalent employment	663	252	448
Allocation account:				
3001	Total compensable workyears: Full-time equivalent employment	174	447	497

**AGENCY FOR TOXIC SUBSTANCES AND DISEASE REGISTRY
TOXIC SUBSTANCES AND ENVIRONMENTAL PUBLIC HEALTH**

For necessary expenses for the Agency for Toxic Substances and Disease Registry (ATSDR) in carrying out activities set forth in sections 104(i), 111(c)(4), and 111(c)(14) of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA), as amended; section 118(f) of the Superfund Amendments and Reauthorization Act of 1986 (SARA), as amended; and section 3019 of the Solid Waste Disposal Act, as amended, **[\$78,235,000]** \$80,599,000, to be derived from the Hazardous Substance Superfund Trust Fund pursuant to section 517(a) of SARA (26 U.S.C. 9507): *Provided*, That notwithstanding any other provision of law, in lieu of performing a health assessment under section 104(i)(6) of CERCLA, the Administrator of ATSDR may conduct other appropriate health studies, evaluations, or activities, including, without limitation, biomedical testing, clinical evaluations, medical monitoring, and referral to accredited health care providers: *Provided further*, That in performing any such health assessment or health study, evaluation, or activity, the Administrator of ATSDR shall not be bound by the deadlines in section 104(i)(6)(A) of CERCLA: *Provided further*, That none of the funds appropriated under this heading shall be available for ATSDR to issue in excess of 40 toxicological profiles pursuant to section 104(i) of CERCLA during fiscal year **[2002]** 2003, and existing profiles may be updated as necessary. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 75-8252-0-7-551	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Direct program	78	81	80
09.01	Reimbursable program	18	26	26
10.00	Total new obligations	96	107	106
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	97	107	106
23.95	Total new obligations	-96	-107	-106

General and special funds—Continued

**AGENCY FOR TOXIC SUBSTANCES AND DISEASE REGISTRY—
Continued**

TOXIC SUBSTANCES AND ENVIRONMENTAL PUBLIC HEALTH—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 75-8252-0-7-551	2001 actual	2002 est.	2003 est.
New budget authority (gross), detail:			
Discretionary:			
40.26	78	81	80
Appropriation (trust fund)			
Spending authority from offsetting collections:			
68.00	7	26	26
Offsetting collections (cash)			
68.10	12		
Change in uncollected customer payments from Federal sources (unexpired)			
68.90	19	26	26
Spending authority from offsetting collections (total discretionary)			
70.00	97	107	106
Total new budget authority (gross)			
Change in obligated balances:			
72.40		30	49
Obligated balance, start of year			
73.10	96	107	106
Total new obligations			
73.20	-54	-88	-92
Total outlays (gross)			
74.00	-12		
Change in uncollected customer payments from Fed- eral sources (unexpired)			
74.40	30	49	65
Obligated balance, end of year			
Outlays (gross), detail:			
86.90	54	56	56
Outlays from new discretionary authority			
86.93		31	34
Outlays from discretionary balances			
87.00	54	88	92
Total outlays (gross)			
Offsets:			
Against gross budget authority and outlays:			
88.00	-7	-26	-26
Offsetting collections (cash) from: Federal sources Against gross budget authority only:			
88.95	-12		
Change in uncollected customer payments from Federal sources (unexpired)			
Net budget authority and outlays:			
89.00	78	81	80
Budget authority			
90.00	47	62	66
Outlays			

**Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in
millions of dollars)**

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00	75	78	77
Budget authority			
90.00	44	59	63
Outlays			

The Agency for Toxic Substances and Disease Registry (ATSDR) is authorized under the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA). ATSDR evaluates the health effects on humans resulting from the release of toxic substances, and works to prevent or reduce the health consequences through public health assessments at Superfund and other sites, health education, surveillance, and applied research activities. ATSDR is financed through the EPA Superfund.

Object Classification (in millions of dollars)

Identification code 75-8252-0-7-551	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1	21	22	22
Full-time permanent			
11.3	1	1	1
Other than full-time permanent			
11.5	1	1	1
Other personnel compensation			
11.9	23	24	24
Total personnel compensation			
12.1	9	9	9
Civilian personnel benefits			
21.0	2	2	2
Travel and transportation of persons			
23.3	1	1	1
Communications, utilities, and miscellaneous charges			
25.1	4	4	4
Advisory and assistance services			
25.2	2	2	2
Other services			
25.3	8	8	8
Other purchases of goods and services from Gov- ernment accounts			

25.5	Research and development contracts	6	8	8
31.0	Equipment	2	2	2
41.0	Grants, subsidies, and contributions	21	21	20
99.0	Direct obligations	78	81	80
99.0	Reimbursable obligations	18	26	26
99.9	Total new obligations	96	107	106

Personnel Summary

Identification code 75-8252-0-7-551	2001 actual	2002 est.	2003 est.
Direct:			
1001	353	352	344
Total compensable workyears: Full-time equivalent employment			
Reimbursable:			
2001	76	77	77
Total compensable workyears: Full-time equivalent employment			

NATIONAL INSTITUTES OF HEALTH

Federal Funds

General and special funds:

NATIONAL CANCER INSTITUTE

For carrying out section 301 and title IV of the Public Health Service Act with respect to cancer, **[\$4,190,405,000.] \$5,122,111,000:** *Provided, That the Director of the National Institutes of Health may transfer up to \$400,000,000 to other National Institutes of Health appropriations: Provided further, That the total amount provided for cancer research at the National Institutes of Health will be not less than \$5,122,111,000. (Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.)*

NATIONAL HEART, LUNG, AND BLOOD INSTITUTE

For carrying out section 301 and title IV of the Public Health Service Act with respect to cardiovascular, lung, and blood diseases, and blood and blood products, **[\$2,576,125,000] \$2,746,280,000.** *(Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.)*

NATIONAL INSTITUTE OF DENTAL AND CRANIOFACIAL RESEARCH

For carrying out section 301 and title IV of the Public Health Service Act with respect to dental disease, **[\$343,327,000] \$366,550,000.** *(Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.)*

NATIONAL INSTITUTE OF DIABETES AND DIGESTIVE AND KIDNEY DISEASES

For carrying out section 301 and title IV of the Public Health Service Act with respect to diabetes and digestive and kidney disease, **[\$1,466,833,000] \$1,578,913,000.** *(Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.)*

NATIONAL INSTITUTE OF NEUROLOGICAL DISORDERS AND STROKE

For carrying out section 301 and title IV of the Public Health Service Act with respect to neurological disorders and stroke, **[\$1,328,188,000] \$1,416,780,000.** *(Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.)*

NATIONAL INSTITUTE OF ALLERGY AND INFECTIOUS DISEASES

(INCLUDING TRANSFER OF FUNDS)

For carrying out section 301 and title IV of the Public Health Service Act with respect to allergy and infectious diseases, **[\$2,372,278,000] \$3,959,054,000:** *Provided, That [the Director may transfer up to \$25,000,000] \$100,000,000 may be made available to International Assistance Programs, "Global Fund to Fight HIV/AIDS, Malaria, and Tuberculosis", to remain available until expended, to further the Institute's efforts to prevent and alleviate these diseases. (Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.)*

NATIONAL INSTITUTE OF GENERAL MEDICAL SCIENCES

For carrying out section 301 and title IV of the Public Health Service Act with respect to general medical sciences, **[\$1,725,263,000]**

\$1,842,404,000. (Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.)

NATIONAL INSTITUTE OF CHILD HEALTH AND HUMAN DEVELOPMENT

For carrying out section 301 and title IV of the Public Health Service Act with respect to child health and human development, **[\$1,113,605,000]** \$1,191,431,000. (Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.)

NATIONAL EYE INSTITUTE

For carrying out section 301 and title IV of the Public Health Service Act with respect to eye diseases and visual disorders, **[\$581,366,000]** \$620,083,000. (Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.)

NATIONAL INSTITUTE OF ENVIRONMENTAL HEALTH SCIENCES

For necessary expenses for the National Institute of Environmental Health Sciences in carrying out activities set forth in section 311(a) of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980, as amended, **[\$70,228,000]** and section 126(g) of the Superfund Amendments and Reauthorization Act of 1986, \$74,471,000. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.)

For carrying out sections 301 and 311 and title IV of the Public Health Service Act with respect to environmental health sciences, **[\$566,639,000]** \$606,962,000. (Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.)

For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States for “National Institute of Environmental Health Sciences” for carrying out under current authorities, worker training, research, and education activities, \$10,500,000, to remain available until expended, to be obligated from amounts made available in Public Law 107–38.]

[Public Law 107–73 is amended under this heading by adding “and section 126(g) of the Superfund Amendments and Reauthorization Act of 1986,” after the words, “as amended.”.] (Emergency Supplemental Act, 2002.)

NATIONAL INSTITUTE ON AGING

For carrying out section 301 and title IV of the Public Health Service Act with respect to aging, **[\$893,443,000]** \$953,160,000. (Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.)

NATIONAL INSTITUTE OF ARTHRITIS AND MUSCULOSKELETAL AND SKIN DISEASES

For carrying out section 301 and title IV of the Public Health Service Act with respect to arthritis and musculoskeletal and skin diseases, **[\$448,865,000]** \$478,085,000. (Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.)

NATIONAL INSTITUTE ON DEAFNESS AND OTHER COMMUNICATION DISORDERS

For carrying out section 301 and title IV of the Public Health Service Act with respect to deafness and other communication disorders, **[\$342,072,000]** \$364,186,000. (Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.)

NATIONAL INSTITUTE OF NURSING RESEARCH

For carrying out section 301 and title IV of the Public Health Service Act with respect to nursing research, **[\$120,451,000]** \$128,158,000. (Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.)

NATIONAL INSTITUTE ON ALCOHOL ABUSE AND ALCOHOLISM

For carrying out section 301 and title IV of the Public Health Service Act with respect to alcohol abuse and alcoholism, **[\$384,238,000]** \$409,960,000. (Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.)

NATIONAL INSTITUTE ON DRUG ABUSE

For carrying out section 301 and title IV of the Public Health Service Act with respect to drug abuse, **[\$888,105,000]** \$948,452,000.

(Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.)

NATIONAL INSTITUTE OF MENTAL HEALTH

For carrying out section 301 and title IV of the Public Health Service Act with respect to mental health, **[\$1,248,626,000]** \$1,332,165,000. (Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.)

NATIONAL HUMAN GENOME RESEARCH INSTITUTE

For carrying out section 301 and title IV of the Public Health Service Act with respect to human genome research, **[\$429,515,000]** \$457,032,000. (Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.)

NATIONAL INSTITUTE OF BIOMEDICAL IMAGING AND BIOENGINEERING

For carrying out section 301 and title IV of the Public Health Service Act with respect to biomedical imaging and bioengineering research, **[\$111,984,000]** \$118,842,000. (Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.)

NATIONAL CENTER FOR RESEARCH RESOURCES

For carrying out section 301 and title IV of the Public Health Service Act with respect to research resources and general research support grants, **[\$1,011,594,000]** \$1,072,581,000: Provided, That none of these funds shall be used to pay recipients of the general research support grants program any amount for indirect expenses in connection with such grants: Provided further, That \$110,000,000 shall be for extramural facilities construction grants, of which \$5,000,000 shall be for beginning construction of facilities for a Chimp Sanctuary system as authorized in Public Law 106–551]. (Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.)

NATIONAL CENTER FOR COMPLEMENTARY AND ALTERNATIVE MEDICINE

For carrying out section 301 and title IV of the Public Health Service Act with respect to complementary and alternative medicine, **[\$104,644,000]** \$111,494,000. (Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.)

NATIONAL CENTER ON MINORITY HEALTH AND HEALTH DISPARITIES

For carrying out section 301 and title IV of the Public Health Service Act with respect to minority health and health disparities research, **[\$157,812,000]** \$178,559,000. (Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.)

JOHN E. FOGARTY INTERNATIONAL CENTER

For carrying out the activities at the John E. Fogarty International Center, **[\$56,940,000]** \$62,933,000. (Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.)

NATIONAL LIBRARY OF MEDICINE

For carrying out section 301 and title IV of the Public Health Service Act with respect to health information communications, **[\$277,658,000]** \$308,987,000, of which \$4,000,000 shall be available until expended for improvement of information systems: Provided, That in fiscal year [2002] 2003, the Library may enter into personal services contracts for the provision of services in facilities owned, operated, or constructed under the jurisdiction of the National Institutes of Health. (Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.)

OFFICE OF THE DIRECTOR

(INCLUDING TRANSFER OF FUNDS)

For carrying out the responsibilities of the Office of the Director, National Institutes of Health, **[\$235,540,000]** \$252,642,000, of which **[\$53,540,000]** \$56,572,000 shall be for the Office of AIDS Research: Provided, That funding shall be available for the purchase of not to exceed 29 passenger motor vehicles for replacement only: Provided further, That the Director may direct up to 1 percent of the total amount made available in this or any other Act to all National Institutes of Health appropriations to activities the Director may so designate: Provided further, That no such appropriation shall be decreased by more than 1 percent by any such transfers and that

General and special funds—Continued

OFFICE OF THE DIRECTOR—Continued

(INCLUDING TRANSFER OF FUNDS)—Continued

the Congress is promptly notified of the transfer: *Provided further*, That the National Institutes of Health is authorized to collect third party payments for the cost of clinical services that are incurred in National Institutes of Health research facilities and that such payments shall be credited to the National Institutes of Health Management Fund: *Provided further*, That all funds credited to the National Institutes of Health Management Fund shall remain available for 1 fiscal year after the fiscal year in which they are deposited. (*Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.*)

BUILDINGS AND FACILITIES

(INCLUDING TRANSFER OF FUNDS)

For the study of, construction of, *renovation of*, and acquisition of equipment for, facilities of or used by the National Institutes of Health, including the acquisition of real property, [**\$309,600,000**] **\$632,800,000**, to remain available until expended[, of which \$26,000,000 shall be for the John Edward Porter Neuroscience Research Center: *Provided*, That notwithstanding any other provision of law, single contracts or related contracts, which collectively include the full scope of the project, may be employed for the development and construction of the first and second phases of the John Edward Porter Neuroscience Research Center: *Provided further*, That the solicitations and contracts shall contain the clause “availability of funds” found at 48 CFR 52.232–18: *Provided further*, That the Director may transfer up to \$75,000,000 to International Assistance Programs, “Global Fund to Fight HIV/AIDS, Malaria, and Tuberculosis”, to remain available until expended]. (*Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.*)

Unavailable Collections (in millions of dollars)

Identification code 75–9915–0–1–552	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 Cooperative research and development agreements, NIH	14	14	14
Appropriations:			
05.00 National Institutes of Health	– 14	– 14	– 14
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 75–9915–0–1–552	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 National Cancer Institute	3,778	4,209	5,122
00.02 National Heart, Lung, and Blood Institute	2,304	2,581	2,747
00.03 National Institute of Dental and Craniofacial Research	308	345	366
00.04 National Institute of Diabetes and Digestive and Kidney Disease	1,403	1,568	1,676
00.05 National Institute of Neurological Disorders and Stroke	1,180	1,332	1,417
00.06 National Institute of Allergy and Infectious Diseases	2,048	2,354	3,959
00.07 National Institute of General Medical Sciences	1,536	1,726	1,842
00.08 National Institute of Child Health and Human Development	980	1,117	1,191
00.09 National Eye Institute	512	583	620
00.10 National Institute of Environmental Health Sciences	570	652	682
00.11 National Institute on Aging	788	896	953
00.12 National Institute of Arthritis and Musculoskeletal and Skin Disease	397	451	478
00.13 National Institute on Deafness and Other Communication Disorder	301	343	364
00.14 National Institute of Mental Health	1,111	1,254	1,332
00.15 National Institute on Drug Abuse	793	891	948
00.16 National Institute on Alcohol Abuse and Alcoholism	342	386	410
00.17 National Institute of Nursing Research	104	121	128
00.18 National Human Genome Research Institute	383	430	457
00.19 National Institute of Biomedical Imaging and Bioengineering		112	119
00.20 National Center for Research Resources	818	1,013	1,073

00.21 National Center for Complementary and Alternative Medicine	89	105	111
00.22 National Center on Minority Health and Health Disparities	130	158	178
00.23 John E. Fogarty International Center	52	58	64
00.24 National Library of Medicine	243	282	309
00.25 Office of the Director	216	239	253
00.26 Buildings and facilities	206	332	633
00.27 Cooperative Research and Development Agreements	10	10	10
00.28 Royalties	41		
09.00 Reimbursable program	1,317	1,611	1,685
10.00 Total new obligations	21,960	25,159	29,127

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	173	211	117
22.00 New budget authority (gross)	22,001	25,065	29,131
23.90 Total budgetary resources available for obligation	22,174	25,276	29,248
23.95 Total new obligations	– 21,960	– 25,159	– 29,127
23.98 Unobligated balance expiring or withdrawn	– 3		
24.40 Unobligated balance carried forward, end of year	211	117	121

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	20,455	23,442	27,335
40.15 Appropriation (emergency)		10	
40.71 Reduction pursuant to P.L. 107–116 (Labor/HHS)		– 9	
40.75 Reduction pursuant to P.L. 106–554 (Labor/HHS)	– 9		
41.00 Transferred to other accounts	– 9	– 100	
42.00 Transferred from other accounts	10		
43.00 Appropriation (total discretionary)	20,447	23,343	27,335
Mandatory:			
60.00 Appropriation	70	70	97
60.20 Appropriation (special fund)	14	14	14
62.00 Transferred from other accounts	27	27	
62.50 Appropriation (total mandatory)	111	111	111
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	1,518	1,611	1,685
68.10 Change in uncollected customer payments from Federal sources (unexpired)	– 75		
68.90 Spending authority from offsetting collections (total discretionary)	1,443	1,611	1,685
70.00 Total new budget authority (gross)	22,001	25,065	29,131

Change in obligated balances:

72.40 Obligated balance, start of year	16,198	19,229	22,100
73.10 Total new obligations	21,960	25,159	29,127
73.20 Total outlays (gross)	– 18,850	– 22,288	– 25,272
73.40 Adjustments in expired accounts (net)	– 154		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	75		
74.40 Obligated balance, end of year	19,229	22,100	25,955

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	7,020	7,824	8,876
86.93 Outlays from discretionary balances	11,818	14,365	16,312
86.97 Outlays from new mandatory authority	12	38	19
86.98 Outlays from mandatory balances		61	65
87.00 Total outlays (gross)	18,850	22,288	25,272

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	– 1,518	– 1,611	– 1,685
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	75		

Net budget authority and outlays:

89.00 Budget authority	20,558	23,454	27,446
90.00 Outlays	17,332	20,677	23,587

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	20,479	23,367	27,355

90.00 Outlays	17,253	20,590	23,496
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DISTRIBUTION OF BUDGET AUTHORITY AND OUTLAYS BY ACCOUNT

(Dollars in millions)

Distribution of budget authority by account:	2001	2002	2003
National Cancer Institute	3,773	4,210	5,122
National Heart, Lung, and Blood Institute	2,304	2,582	2,746
National Institute of Dental and Craniofacial Research	308	345	367
National Institute of Diabetes and Digestive and Kidney Diseases	1,404	1,568	1,676
National Institute of Neurological Disorder and Stroke	1,179	1,332	1,417
National Institute of Allergy and Infectious Diseases	2,049	2,354	3,959
National Institute of General Medical Sciences	1,537	1,726	1,842
National Institute of Child Health and Human Development	979	1,117	1,191
National Eye Institute	512	583	620
National Institute of Environmental Health Sciences	570	652	682
National Institute on Aging	788	896	953
National Institute of Arthritis and Musculoskeletal and Skin Diseases	398	450	478
National Institute on Deafness and Other Communication Disorders	301	343	364
National Institute of Nursing Research	105	121	128
National Institute on Alcohol Abuse and Alcoholism	342	386	410
National Institute on Drug Abuse	793	891	948
National Institute of Mental Health	1,111	1,254	1,332
National Center for Research Resources	818	1,012	1,073
National Human Genome Research Institute	383	431	457
National Institute of Biomedical Imaging and Bioengineering	0	112	119
National Center for Complementary and Alternative Medicine	89	105	111
National Center for Minority Health and Health Disparities	130	158	179
John E. Fogarty International Center	51	57	63
National Library of Medicine	243	282	309
Office of the Director	216	239	253
Buildings and facilities	161	235	633
Subtotal	20,544	23,441	27,432
Cooperative Research and Development Agreements	14	14	14
Total Budget Authority, NIH	20,558	23,455	27,446

(Dollars in millions)

Distribution of outlays by account:	2001	2002	2003
National Cancer Institute	3,255	3,786	4,366
National Heart, Lung, and Blood Institute	1,902	2,381	2,445
National Institute of Dental and Craniofacial Research	270	309	342
National Institute of Diabetes and Digestive and Kidney Diseases	1,157	1,389	1,557
National Institute of Neurological Disorders and Stroke	989	1,178	1,318
National Institute of Allergy and Infectious Diseases	1,769	2,050	2,704
National Institute of General Medical Sciences	1,324	1,541	1,713
National Institute of Child Health and Human Development	817	999	1,123
National Eye Institute	442	519	578
National Institute of Environmental Health Sciences	434	580	645
National Institute on Aging	662	780	877
National Institute of Arthritis and Musculoskeletal and Skin Diseases	344	397	445
National Institute on Deafness and Other Communication Disorders	265	299	343
National Institute of Nursing Research	83	103	117
National Institute on Alcohol Abuse and Alcoholism	282	336	378
National Institute on Drug Abuse	667	780	867
National Institute of Mental Health	951	1,116	1,234
National Center for Research Resources	625	811	958
National Human Genome Research Institute	385	405	441
National Institute of Biomedical Imaging and Bioengineering	0	17	35
National Center for Complementary and Alternative Medicine	46	80	102
National Center for Minority Health and Health Disparities	15	49	56
John E. Fogarty International Center	43	85	133
National Library of Medicine	228	252	288
Office of the Director	289	239	240
Buildings and Facilities	203	182	269
Service and Supply Fund/Management Fund	-125	0	0
Subtotal Outlays	17,322	20,663	23,573
Cooperative Research and Development Agreements	11	14	14
Total Outlays, NIH	17,333	20,677	23,587

rately, and are displayed in a consolidated format to improve the readability of the presentation. Detailed information is available through the Department of Health and Human Services.

NIH is working to meet the management challenges that can arise when an agency receives a substantial infusion of resources over a short period of time. NIH is in the process of identifying strategies and policies that would be implemented in 2003 and beyond to maximize budgetary and management flexibility in the future. Such strategies could include funding the total costs of an increasing number of new grants in the grant's first year and supporting some one-time activities such as high-priority construction and renovation projects.

Object Classification (in millions of dollars)

Identification code 75-9915-0-1-552	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	585	659	706
11.3 Other than full-time permanent	220	244	263
11.5 Other personnel compensation	39	33	37
11.8 Special personal services payments	104	116	129
11.9 Total personnel compensation	948	1,052	1,135
12.1 Civilian personnel benefits	269	298	320
21.0 Travel and transportation of persons	40	44	52
22.0 Transportation of things	5	6	7
23.1 Rental payments to GSA	6	11	14
23.2 Rental payments to others	22	29	32
23.3 Communications, utilities, and miscellaneous charges	31	35	45
24.0 Printing and reproduction	16	18	20
25.1 Advisory and assistance services	72	70	77
25.2 Other services	408	761	1,161
25.3 Other purchases of goods and services from Government accounts	1,470	1,634	1,854
25.4 Operation and maintenance of facilities	261	166	206
25.5 Research and development contracts	1,084	1,307	2,238
25.6 Medical care	12	13	16
25.7 Operation and maintenance of equipment	61	55	65
26.0 Supplies and materials	195	212	257
31.0 Equipment	164	183	222
32.0 Land and structures			8
41.0 Grants, subsidies, and contributions	15,579	17,654	19,713
99.0 Direct obligations	20,643	23,548	27,442
99.0 Reimbursable obligations	1,317	1,611	1,685
99.9 Total new obligations	21,960	25,159	29,127

Personnel Summary

Identification code 75-9915-0-1-552	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	12,049	12,875	13,085
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	4,469	4,589	4,604

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION

Federal Funds

General and special funds:

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES

For carrying out titles V and XIX of the Public Health Service Act with respect to substance abuse and mental health services, the Protection and Advocacy for Mentally Ill Individuals Act of 1986, and section 301 of the Public Health Service Act with respect to program management, **[\$3,138,279,000, of which \$28,721,000 shall be available for the projects and in the amounts specified in the statement of the managers on the conference report accompanying this Act] \$3,197,704,000.** (Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.)

This program funds biomedical research and research training. These accounts will continue to be appropriated sepa-

General and special funds—Continued

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES—Continued

Program and Financing (in millions of dollars)

Identification code 75-1362-0-1-551	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Mental health and substance abuse activities	793	889	900
00.02 Mental health partnership	420	433	433
00.03 Substance abuse partnership	1,665	1,725	1,785
00.05 Program management	83	95	79
09.01 Reimbursable program	24	36	37
10.00 Total new obligations	2,985	3,178	3,234
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		7	7
22.00 New budget authority (gross)	2,992	3,178	3,234
23.90 Total budgetary resources available for obligation	2,992	3,185	3,241
23.95 Total new obligations	-2,985	-3,178	-3,234
23.98 Unobligated balance expiring or withdrawn	-1		
24.40 Unobligated balance carried forward, end of year	7	7	7
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	2,969	3,143	3,197
40.71 Reduction pursuant to P.L. 107-116 (Labor/HHS)		-1	
40.75 Reduction pursuant to P.L. 106-554 (Labor/HHS)	-1		
43.00 Appropriation (total discretionary)	2,968	3,142	3,197
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	22	36	37
68.10 Change in uncollected customer payments from Federal sources (unexpired)	2		
68.90 Spending authority from offsetting collections (total discretionary)	24	36	37
70.00 Total new budget authority (gross)	2,992	3,178	3,234
Change in obligated balances:			
72.40 Obligated balance, start of year	1,985	2,156	2,380
73.10 Total new obligations	2,985	3,178	3,234
73.20 Total outlays (gross)	-2,777	-2,954	-3,121
73.40 Adjustments in expired accounts (net)	-48		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-2		
74.10 Change in uncollected customer payments from Federal sources (expired)	13		
74.40 Obligated balance, end of year	2,156	2,380	2,493
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1,294	1,318	1,341
86.93 Outlays from discretionary balances	1,483	1,636	1,780
87.00 Total outlays (gross)	2,777	2,954	3,121
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-36	-36	-37
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-2		
88.96 Portion of offsetting collections (cash) credited to expired accounts	14		
Net budget authority and outlays:			
89.00 Budget authority	2,968	3,142	3,197
90.00 Outlays	2,741	2,918	3,084

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	2,964	3,138	3,193
90.00 Outlays	2,737	2,914	3,080

This program provides Federal support for treatment and prevention in the areas of alcoholism, drug abuse, and mental

health. The Administration has proposed to retain the language of the HHS General Provisions dealing with the penalty in Sec. 1926 of the Public Health Service Act, known as the Synar Amendment. The only penalty allowed under the current law is disproportionately severe, and could penalize those needing substance abuse services. The Administration looks forward to working with Congress to achieve a permanent statutory change that would establish a more fair and sustainable penalty structure.

Object Classification (in millions of dollars)

Identification code 75-1362-0-1-551	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	38	41	40
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	40	43	42
12.1 Civilian personnel benefits	13	13	13
21.0 Travel and transportation of persons	2	2	2
23.1 Rental payments to GSA	5	6	6
23.3 Communications, utilities, and miscellaneous charges	2	2	2
24.0 Printing and reproduction	5	6	6
25.1 Advisory and assistance services	15	16	12
25.2 Other services	228	230	181
25.3 Other purchases of goods and services from Government accounts	96	96	72
31.0 Equipment	1	1	1
41.0 Grants, subsidies, and contributions	2,552	2,725	2,858
42.0 Insurance claims and indemnities	2	2	2
99.0 Direct obligations	2,961	3,142	3,197
99.0 Reimbursable obligations	24	36	37
99.9 Total new obligations	2,985	3,178	3,234

Personnel Summary

Identification code 75-1362-0-1-551	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	552	560	520
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	66	59	59

AGENCY FOR HEALTHCARE RESEARCH AND QUALITY

Federal Funds

General and special funds:

HEALTHCARE RESEARCH AND QUALITY

For carrying out titles III and IX of the Public Health Service Act, and part A of title XI of the Social Security Act, [\$2,600,000; in addition,] amounts received from Freedom of Information Act fees, reimbursable and interagency agreements, and the sale of data shall be credited to this appropriation and shall remain available until expended: *Provided*, That the amount made available pursuant to section [926(b)] 927(c) of the Public Health Service Act shall not exceed [\$296,145,000] \$251,700,000. (Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 75-1700-0-1-552	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
01.01 Research on health costs, quality, and outcomes	104		
01.04 Program support	3	3	
09.00 Reimbursable program	183	310	265
10.00 Total new obligations	290	313	265

Budgetary resources available for obligation:

21.40	Unobligated balance carried forward, start of year	5	7	7
22.00	New budget authority (gross)	293	313	265
23.90	Total budgetary resources available for obligation	298	320	272
23.95	Total new obligations	-290	-313	-265
24.40	Unobligated balance carried forward, end of year	7	7	7

New budget authority (gross), detail:

Discretionary:				
40.00	Appropriation	107	3	
Spending authority from offsetting collections:				
68.00	Offsetting collections (cash)	180	310	265
68.10	Change in uncollected customer payments from Federal sources (unexpired)	6		
68.90	Spending authority from offsetting collections (total discretionary)	186	310	265
70.00	Total new budget authority (gross)	293	313	265

Change in obligated balances:

72.40	Obligated balance, start of year	212	278	187
73.10	Total new obligations	290	313	265
73.20	Total outlays (gross)	-216	-401	-361
73.40	Adjustments in expired accounts (net)	-3	-3	
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-6		
74.40	Obligated balance, end of year	278	187	91

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	77	310	265
86.93	Outlays from discretionary balances	139	91	96
87.00	Total outlays (gross)	216	401	361

Offsets:

Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-180	-310	-265
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-6		

Net budget authority and outlays:

89.00	Budget authority	107	3	
90.00	Outlays	35	91	96

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00	Budget authority	105	3
90.00	Outlays	33	91

This activity supports the development of scientific evidence and tools to improve the quality, safety, and effectiveness of all aspects of the health care system, and initiatives to disseminate and translate scientific findings into health care practice.

Object Classification (in millions of dollars)

Identification code 75-1700-0-1-552	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent	13	2
11.3	Other than full-time permanent	3	
11.9	Total personnel compensation	16	2
12.1	Civilian personnel benefits	6	1
23.1	Rental payments to GSA	3	
23.3	Communications, utilities, and miscellaneous charges	1	
24.0	Printing and reproduction	1	
25.2	Other services	8	
25.3	Other purchases of goods and services from Government accounts	9	
25.5	Research and development contracts	31	
31.0	Equipment	1	
41.0	Grants, subsidies, and contributions	31	
99.0	Direct obligations	107	3

99.0	Reimbursable obligations	183	310	265
99.9	Total new obligations	290	313	265

Personnel Summary

Identification code 75-1700-0-1-552	2001 actual	2002 est.	2003 est.
Direct:			
1001	Total compensable workyears: Full-time equivalent employment	216	22
Reimbursable:			
2001	Total compensable workyears: Full-time equivalent employment	68	272

CENTERS FOR MEDICARE AND MEDICAID SERVICES

Federal Funds

General and special funds:

GRANTS TO STATES FOR MEDICAID

For carrying out, except as otherwise provided, titles XI and XIX of the Social Security Act, **[\$106,821,882,000] \$112,090,218,000**, to remain available until expended.

For making, after May 31, **[2002] 2003**, payments to States under title XIX of the Social Security Act for the last quarter of fiscal year **[2002] 2003** for unanticipated costs, incurred for the current fiscal year, such sums as may be necessary.

For making payments to States or in the case of section 1928 on behalf of States under title XIX of the Social Security Act for the first quarter of fiscal year **[2003, \$46,601,937,000] 2004, \$51,861,386,000**, to remain available until expended.

Payment under title XIX may be made for any quarter with respect to a State plan or plan amendment in effect during such quarter, if submitted in or prior to such quarter and approved in that or any subsequent quarter. (*Department of Health and Human Services Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 75-0512-0-1-551	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01	Medicaid vendor payments	124,848	135,604
00.02	State and local administration	7,040	8,293
00.03	Vaccine purchases	857	990
09.00	Medicare Part B premium transfer		65
09.01	Reimbursable program	1,239	
10.00	Total new obligations	133,984	144,952

Budgetary resources available for obligation:

21.40	Unobligated balance carried forward, start of year	110	110	
22.00	New budget authority (gross)	130,718	144,842	158,692
22.10	Resources available from recoveries of prior year obligations	3,267		
23.90	Total budgetary resources available for obligation	134,095	144,952	158,692
23.95	Total new obligations	-133,984	-144,952	-158,692
24.40	Unobligated balance carried forward, end of year	110		

New budget authority (gross), detail:

Identification code 75-0512-0-1-551	2001 actual	2002 est.	2003 est.
Mandatory:			
Appropriation:			
60.00	Appropriation	93,586	106,822
60.00	Appropriation	5,244	1,611
62.50	Appropriation (total mandatory)	98,830	108,433
65.00	Advance appropriation	30,589	36,208
69.00	Offsetting collections (cash)	1,273	201
69.10	Change in uncollected customer payments from Federal sources (unexpired)	26	
69.90	Spending authority from offsetting collections (total mandatory)	1,299	201
70.00	Total new budget authority (gross)	130,718	144,842

Change in obligated balances:

72.40	Obligated balance, start of year	5,581	5,626	5,626
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General and special funds—Continued

GRANTS TO STATES FOR MEDICAID—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 75-0512-0-1-551	2001 actual	2002 est.	2003 est.
73.10 Total new obligations	133,984	144,952	158,692
73.20 Total outlays (gross)	-130,647	-144,952	-158,692
73.45 Recoveries of prior year obligations	-3,267		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-26		
74.40 Obligated balance, end of year	5,626	5,626	5,626
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	129,198	143,952	157,692
86.98 Outlays from mandatory balances	1,449	1,000	1,000
87.00 Total outlays (gross)	130,647	144,952	158,692
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-1,273	-201	
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-26		
Net budget authority and outlays:			
89.00 Budget authority	129,419	144,641	158,692
90.00 Outlays	129,374	144,751	158,692

Summary of Budget Authority and Outlays

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Enacted/requested:			
Budget Authority	129,419	144,641	158,692
Outlays	129,374	144,751	158,692
Legislative proposal, not subject to PAYGO:			
Budget Authority			-1,280
Outlays			-1,280
Legislative proposal, subject to PAYGO:			
Budget Authority			1,378
Outlays			1,378
Total:			
Budget Authority	129,419	144,641	158,790
Outlays	129,374	144,751	158,790

Medicaid assists States in providing medical care to their low-income population by granting Federal matching payments under title XIX of the Social Security Act to States with approved plans.

Object Classification (in millions of dollars)

Identification code 75-0512-0-1-551	2001 actual	2002 est.	2003 est.
41.0 Direct obligations: Grants, subsidies, and contributions	132,745	144,887	158,692
99.0 Reimbursable obligations: Reimbursable obligations	1,239	65	
99.9 Total new obligations	133,984	144,952	158,692

**GRANTS TO STATES FOR MEDICAID
(Legislative proposal, not subject to PAYGO)**

Program and Financing (in millions of dollars)

Identification code 75-0512-2-1-551	2001 actual	2002 est.	2003 est.
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 Federal sources			-1,200
88.00 Federal sources			-80
88.90 Total, offsetting collections (cash)			-1,280
Net budget authority and outlays:			
89.00 Budget authority			-1,280

90.00 Outlays			-1,280
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**GRANTS TO STATES FOR MEDICAID
(Legislative proposal, subject to PAYGO)**

Program and Financing (in millions of dollars)

Identification code 75-0512-4-1-551	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Targeted reforms			90
00.02 Medicare low-income drug assistance			1,200
00.03 Medicare Part B premium transfer			80
00.04 New freedom demonstrations			8
10.00 Total new obligations (object class 41.0)			1,378
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			1,378
23.95 Total new obligations			-1,378
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation			98
69.00 Offsetting collections (cash)			1,280
70.00 Total new budget authority (gross)			1,378
Change in obligated balances:			
73.10 Total new obligations			1,378
73.20 Total outlays (gross)			-1,378

Outlays (gross), detail:			
86.97 Outlays from new mandatory authority			1,378

Net budget authority and outlays:			
89.00 Budget authority			1,378
90.00 Outlays			1,378

This schedule reflects the Administration's Medicaid proposals, as well as the Administration's Transitional Medicare Low-Income Drug Assistance program. This program would provide comprehensive drug coverage for low-income Medicare beneficiaries. The budget also proposes to extend the subsidy of Medicare Part B premiums for certain qualified individuals. This schedule also reflects the Medicaid effects of the Administration's Supplemental Security Income and child support enforcement proposals.

As part of the Administration's continuing effort to identify and eliminate barriers to community living for people with disabilities, the Administration is proposing new demonstrations to integrate people with disabilities into society. These proposals include a demonstration that will finance home- and community-based alternatives for children in psychiatric residential treatment facilities and two new demonstrations that will finance respite care services for adults, and respite care services for children with substantial disabilities.

STATE GRANTS AND DEMONSTRATIONS

Program and Financing (in millions of dollars)

Identification code 75-0516-0-1-551	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	22	107	72
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		40	
22.00 New budget authority (gross)	62	67	72
23.90 Total budgetary resources available for obligation	62	107	72
23.95 Total new obligations	-22	-107	-72
24.40 Unobligated balance carried forward, end of year	40		
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	62	67	72

Change in obligated balances:			
72.40	Obligated balance, start of year	20	109
73.10	Total new obligations	22	107
73.20	Total outlays (gross)	-2	-18
74.40	Obligated balance, end of year	20	109
Outlays (gross), detail:			
86.97	Outlays from new mandatory authority	2	7
86.98	Outlays from mandatory balances	18	23
87.00	Total outlays (gross)	2	18
Net budget authority and outlays:			
89.00	Budget authority	62	67
90.00	Outlays	2	18

The Ticket to Work and Work Incentives Improvement Act of 1999 (P.L. 106-170), Title II, established two grant programs. Section 203 provides funding for Medicaid infrastructure grants to support the design, establishment and operation of State infrastructures to help working people with disabilities purchase health coverage through Medicaid. Section 204 provides funding for States to establish Demonstrations to Maintain Independence and Employment, which will provides Medicaid benefits and services to working individuals who have a condition that, without medical assistance, will result in disability.

PAYMENTS TO HEALTH CARE TRUST FUNDS

For payment to the Federal Hospital Insurance and the Federal Supplementary Medical Insurance Trust Funds, as provided under section 1844 of the Social Security Act, sections 103(c) and 111(d) of the Social Security Amendments of 1965, section 278(d) of Public Law 97-248, and for administrative expenses incurred pursuant to section 201(g) of the Social Security Act, **[\$81,979,200,000]** \$81,471,216,000. (Department of Health and Human Services Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 75-0580-0-1-571			
	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01	Supplementary medical insurance (SMI)	69,777	77,295
00.02	Hospital insurance for uninsured (HI)	321	292
00.03	Federal uninsured payment (HI)	132	150
00.04	Program management (HI)	149	205
00.05	FHI trust fund, Transfers from general fund (civil monetary penalties)	6	7
00.06	Federal payments from taxation of OASDI benefits (HI)	4,903	10,796
00.07	Fraud and abuse control, FBI	88	101
00.08	Fraud and abuse control, criminal fines	3	3
10.00	Total new obligations	75,379	88,849
Budgetary resources available for obligation:			
22.00	New budget authority (gross)	75,382	92,886
23.95	Total new obligations	-75,379	-88,849
23.98	Unobligated balance expiring or withdrawn	-3	-4,037
New budget authority (gross), detail:			
Mandatory:			
Appropriation:			
60.00	Appropriation	4,912	10,806
60.00	Appropriation	70,382	81,979
60.00	Appropriation	88	101
62.50	Appropriation (total mandatory)	75,382	92,886
Change in obligated balances:			
72.40	Obligated balance, start of year	68	109
73.10	Total new obligations	75,379	88,849
73.20	Total outlays (gross)	-75,447	-88,849
Outlays (gross), detail:			
86.97	Outlays from new mandatory authority	75,379	88,849
86.98	Outlays from mandatory balances	68	23
87.00	Total outlays (gross)	75,447	90,339

Net budget authority and outlays:			
89.00	Budget authority	75,382	92,886
90.00	Outlays	75,447	88,849

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

		2001 actual	2002 est.	2003 est.
Net budget authority and outlays:				
89.00	Budget authority	75,382	92,886	90,330
90.00	Outlays	75,447	88,849	90,330

Summary of Budget Authority and Outlays

(in millions of dollars)				
Enacted/requested:				
		2001 actual	2002 est.	2003 est.
	Budget Authority	75,382	92,886	90,339
	Outlays	75,447	88,849	90,339
Legislative proposal, not subject to PAYGO:				
	Budget Authority			-19
	Outlays			-19
Total:				
	Budget Authority	75,382	92,886	90,320
	Outlays	75,447	88,849	90,320

Payments are made (1) to the Federal Supplementary Medical Insurance trust fund; and (2) to the Federal Hospital Insurance trust fund for individuals aged 65 and over who meet certain transitional insured status requirements, and for civil service employees and annuitants who worked before 1983 and who were employed during January 1983. Since 1992, amounts have been included for non-Medicare activities of CMS program management.

Object Classification (in millions of dollars)

Identification code 75-0580-0-1-571				
		2001 actual	2002 est.	2003 est.
41.0	Grants, subsidies, and contributions	74,777	88,202	89,772
42.0	Insurance claims and indemnities	453	442	393
92.0	Undistributed	149	205	174
99.9	Total new obligations	75,379	88,849	90,339

PAYMENTS TO HEALTH CARE TRUST FUNDS

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75-0580-2-1-571				
		2001 actual	2002 est.	2003 est.
Obligations by program activity:				
00.01	Supplementary medical insurance (SMI)			-19
10.00	Total new obligations (object class 41.0)			-19
Budgetary resources available for obligation:				
22.00	New budget authority (gross)			-19
23.95	Total new obligations			19
New budget authority (gross), detail:				
Mandatory:				
Appropriation:				
60.00	Appropriation			-19
60.00	Appropriation			
60.00	Appropriation			
62.50	Appropriation (total mandatory)			-19
Change in obligated balances:				
73.10	Total new obligations			-19
73.20	Total outlays (gross)			19
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority			-19
Net budget authority and outlays:				
89.00	Budget authority			-19

General and special funds—Continued

PAYMENTS TO HEALTH CARE TRUST FUNDS—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 75-0580-2-1-571	2001 actual	2002 est.	2003 est.
90.00 Outlays			-19

The budget proposes a package of immediate reforms to strengthen Medicare and improve beneficiary choice.

The budget proposes new discretionary user fees paid by providers for submitting paper claims and duplicate or unprocessable claims. This account reflects the reduction in payments to HI and SMI as a result of this user fee proposal.

PROGRAM MANAGEMENT

For carrying out, except as otherwise provided, titles XI, XVIII, XIX, and XXI of the Social Security Act, titles XIII and XXVII of the Public Health Service Act, and the Clinical Laboratory Improvement Amendments of 1988, not to exceed **[\$2,440,798,000]** \$2,538,330,000, to be transferred from the Federal Hospital Insurance and the Federal Supplementary Medical Insurance Trust Funds, as authorized by section 201(g) of the Social Security Act; together with all funds collected in accordance with section 353 of the Public Health Service Act and section 1857(e)(2) of the Social Security Act, and such sums as may be collected from authorized user fees and the sale of data, which shall remain available until expended, and together with administrative fees collected relative to Medicare overpayment recovery activities, which shall remain available until expended: *Provided further*, That all funds derived in accordance with 31 U.S.C. 9701 from organizations established under title XIII of the Public Health Service Act shall be credited to and available for carrying out the purposes of this appropriation: *Provided further*, That **[\$18,200,000]** from amounts appropriated under this heading, \$3,000,000 for the managed care system redesign shall remain available until expended: *Provided further*, That \$100,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to the Regional Nursing Centers Consortium in Philadelphia to initiate a demonstration project to evaluate 15 nurse-managed health centers in urban and rural areas across Pennsylvania: *Provided further*, That \$200,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to the Madonna Rehabilitation Center in Lincoln, Nebraska to create a new standard of rehabilitation practice and program design for children and adults with disabilities: *Provided further*, That \$250,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to the Cook County, Illinois Bureau of Health for the Asthma Champion Initiative to reduce morbidity and mortality from asthma in high prevalence areas: *Provided further*, That \$250,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to the Illinois Primary Health Care Association to implement the Shared Integrated Management Information System providing centralized case management, reimbursement and administrative support services: *Provided further*, That \$500,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to Project Access in Muskegon, Michigan to offer affordable insurance to uninsured workers, primarily in small business, and low-income individuals: *Provided further*, That \$590,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to Santa Clara County, California, for the outreach and application assistance aspects of its Children's Health Initiative, to demonstrate means of expanding enrollment of eligible children in Medicaid, SCHIP and other available health care programs: *Provided further*, That \$800,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to the Fishing Partnership Health Plan, based in Boston, Massachusetts, for a demonstration project on the efficacy of using a community-based health benefit program to provide health care coverage for lower-income independently employed workers and their families: *Provided further*, That \$800,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to the Mind-Body Institute of Boston, Massachusetts to continue and expand a demonstration project: *Provided further*, That \$900,000 of the amount available for research, demonstration,

and evaluation activities shall be awarded to the Children's Hospice International demonstration program to provide a continuum of care for children with life-threatening conditions and their families: *Provided further*, That \$1,500,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to the Iowa Department of Public Health for the continuation of a prescription drug cooperative demonstration: *Provided further*, That \$2,000,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to the AIDS Healthcare Foundation in Los Angeles for a demonstration of residential and outpatient treatment facilities: *Provided further*, That \$51,000,000, to remain available until September 30, 2004, is for contract costs for the Healthcare Integrated General Ledger Accounting System: *Provided further*, That the Secretary of Health and Human Services is directed to collect fees in fiscal year **[2002]** 2003 from Medicare+Choice organizations pursuant to section 1857(e)(2) of the Social Security Act and from eligible organizations with risk-sharing contracts under section 1876 of that Act pursuant to section 1876(k)(4)(D) of that Act. (*Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 75-0511-0-1-550	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Medicare operations	1,344	1,534	1,675
00.02 Federal administration	533	561	587
00.03 State survey and certification	242	254	248
00.04 Research, demonstrations, and evaluation projects	138	117	28
01.00 Total direct program	2,257	2,466	2,538
09.01 CLIA	36	44	44
09.03 Other reimbursements	2	2	2
09.06 Medicare+Choice	40	17	16
09.09 Total reimbursable program	78	63	62
10.00 Total new obligations	2,335	2,529	2,600
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	71	80	80
22.00 New budget authority (gross)	2,351	2,529	2,600
23.90 Total budgetary resources available for obligation	2,422	2,609	2,680
23.95 Total new obligations	-2,335	-2,529	-2,600
23.98 Unobligated balance expiring or withdrawn	-7		
24.40 Unobligated balance carried forward, end of year	80	80	80
New budget authority (gross), detail:			
Spending authority from offsetting collections:			
Discretionary:			
Offsetting collections (cash):			
68.00 Offsetting collections (cash)	1,727	2,467	2,539
68.00 Offsetting collections (cash)	83	62	61
68.10 Change in uncollected customer payments from Federal sources (unexpired)	541		
68.90 Spending authority from offsetting collections (total discretionary)	2,351	2,529	2,600
Change in obligated balances:			
72.40 Obligated balance, start of year	52	175	175
73.10 Total new obligations	2,335	2,529	2,600
73.20 Total outlays (gross)	-2,233	-2,529	-2,600
73.40 Adjustments in expired accounts (net)	-42		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-541		
74.10 Change in uncollected customer payments from Federal sources (expired)	604		
74.40 Obligated balance, end of year	175	175	175
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1,689	2,529	2,600
86.93 Outlays from discretionary balances	543		
87.00 Total outlays (gross)	2,233	2,529	2,600
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-2,294	-2,466	-2,538
88.40 Non-Federal sources	-62	-63	-62

88.90	Total, offsetting collections (cash)	-2,356	-2,529	-2,600
	Against gross budget authority only:			
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-541		
88.96	Portion of offsetting collections (cash) credited to expired accounts	546		
Net budget authority and outlays:				
89.00	Budget authority			
90.00	Outlays	-123		

Summary of Budget Authority and Outlays
(in millions of dollars)

Enacted/requested:	2001 actual	2002 est.	2003 est.
Budget Authority			
Outlays	-124		
Legislative proposal, not subject to PAYGO:			
Budget Authority			
Outlays			
Total:			
Budget Authority			
Outlays	-124		

Program management activities include funding for research, Medicare operations, survey and certification, CLIA, Medicare+Choice, and administrative costs.

Object Classification (in millions of dollars)

Identification code 75-0511-0-1-550	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	295	323	329
11.3 Other than full-time permanent	5	8	8
11.5 Other personnel compensation	5	5	4
11.9 Total personnel compensation	305	336	341
12.1 Civilian personnel benefits	92	97	100
21.0 Travel and transportation of persons	10	12	12
22.0 Transportation of things	1		
23.1 Rental payments to GSA	18	16	17
23.3 Communications, utilities, and miscellaneous charges	20	3	3
24.0 Printing and reproduction	8	6	7
25.1 Advisory and assistance services	10		
25.2 Other services	580	383	363
25.3 Other purchases of goods and services from Gov- ernment accounts	31	3	3
25.6 Medical care	1,063	1,534	1,675
26.0 Supplies and materials	3	1	1
31.0 Equipment	12	2	
32.0 Land and structures	10	10	10
41.0 Grants, subsidies, and contributions	94	63	6
99.0 Direct obligations	2,257	2,466	2,538
99.0 Reimbursable obligations	78	63	62
99.9 Total new obligations	2,335	2,529	2,600

Personnel Summary

Identification code 75-0511-0-1-550	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	4,501	4,552	4,396
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	82	80	80

PROGRAM MANAGEMENT
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75-0511-2-1-550	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Medicare operations			-130

01.00	Total direct program			-130
09.01	Proposed user fees			130
09.09	Total reimbursable program			130
10.00	Total new obligations			

Change in obligated balances:

73.10	Total new obligations			
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Offsets:

Against gross budget authority and outlays:
Offsetting collections (cash) from:

88.00	Federal sources			130
88.40	Non-Federal sources			-130
88.90	Total, offsetting collections (cash)			

Net budget authority and outlays:

89.00	Budget authority			
90.00	Outlays			

The Budget includes \$130 million in new user fees to finance CMS activities. Proposed fees include: a duplicate or unprocessable claims fee and a paper claims fee. Authorizing legislation for these fees will be proposed to authorize the collection and spending of the fees subject to appropriations language. If authorizing legislation is enacted, the amount appropriated from the Federal hospital insurance and Federal supplementary medical insurance trust funds will be reduced by \$130 million.

Object Classification (in millions of dollars)

Identification code 75-0511-2-1-550	2001 actual	2002 est.	2003 est.
25.6	Direct obligations: Medical care		-130
99.0	Reimbursable obligations: Reimbursable obligations ...		130
99.9	Total new obligations		

STATE CHILDREN'S HEALTH INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 75-0515-0-1-551	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Grants, subsidies, and contributions	6,283	3,115	3,175
00.02	Advisory and assistance services	10		
10.00	Total new obligations (object class 41.0)	6,293	3,115	3,175
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	6,283	3,115	3,175
22.10	Resources available from recoveries of prior year obli- gations	10		
23.90	Total budgetary resources available for obligation	6,293	3,115	3,175
23.95	Total new obligations	-6,293	-3,115	-3,175

New budget authority (gross), detail:

Mandatory:

Appropriation:

60.00	Appropriation, BBA	4,309	3,150	3,150
60.00	Appropriation, BBRA for territories		25	25
60.00	Appropriation, BBRA			
61.00	Transferred to other accounts	-60	-60	
62.50	Appropriation (total mandatory)	4,249	3,115	3,175
63.00	Reappropriation	2,034		
70.00	Total new budget authority (gross)	6,283	3,115	3,175

Change in obligated balances:

72.40	Obligated balance, start of year	10,951	11,501	10,927
73.10	Total new obligations	6,293	3,115	3,175
73.20	Total outlays (gross)	-3,699	-3,689	-4,362
73.40	Adjustments in expired accounts (net)	-2,034		-799
73.45	Recoveries of prior year obligations	-10		
74.40	Obligated balance, end of year	11,501	10,927	8,942

General and special funds—Continued

STATE CHILDREN'S HEALTH INSURANCE FUND—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 75-0515-0-1-551	2001 actual	2002 est.	2003 est.
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	1,519	72	86
86.98 Outlays from mandatory balances	2,180	3,617	4,276
87.00 Total outlays (gross)	3,699	3,689	4,362
Net budget authority and outlays:			
89.00 Budget authority	6,283	3,115	3,175
90.00 Outlays	3,699	3,689	4,362

Summary of Budget Authority and Outlays

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Enacted/requested:			
Budget Authority	6,283	3,115	3,175
Outlays	3,699	3,689	4,362
Legislative proposal, subject to PAYGO:			
Budget Authority			
Outlays			-40
Total:			
Budget Authority	6,283	3,115	3,175
Outlays	3,699	3,689	4,322

The Balanced Budget Act of 1997 established the State children's health insurance program (SCHIP) under Title XXI of the Social Security Act. Title XXI provides Federal matching funds to States to enable them to extend coverage to uninsured children from low-income families. States are able to use Title XXI funds for obtaining health benefit coverage for uninsured children through a SCHIP program, a SCHIP Medicaid expansion program, or a combination of both.

STATE CHILDREN'S HEALTH INSURANCE FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75-0515-4-1-551	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
73.20 Total outlays (gross)			40
74.40 Obligated balance, end of year			40
Outlays (gross), detail:			
86.98 Outlays from mandatory balances			-40
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			-40

The Budget proposes to extend the availability of funds expiring at the end of 2002 and 2003 through 2006.

HEALTH MAINTENANCE ORGANIZATION LOAN AND LOAN GUARANTEE FUND

For carrying out subsections (d) and (e) of section 1308 of the Public Health Service Act, any amounts received by the Secretary in connection with loans and loan guarantees under title XIII of the Public Health Service Act, to be available without fiscal year limitation for the payment of outstanding obligations. During fiscal year [2002] 2003, no commitments for direct loans or loan guarantees shall be made. (*Department of Health and Human Services Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 75-4420-0-3-551	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	10	10	10

24.40 Unobligated balance carried forward, end of year	10	10	10
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Net budget authority and outlays:

89.00 Budget authority			
90.00 Outlays			

The last loan commitments from the HMO loan fund were made in 1983.

Trust Funds

FEDERAL HOSPITAL INSURANCE TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 20-8005-0-7-571	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	167,263	196,791	231,981
Receipts:			
02.00 Transfers from general fund (FICA taxes)	139,507	141,297	148,349
02.01 Transfers from general fund (SECA taxes)	9,722	9,974	10,541
02.02 Receipts from Railroad Retirement Board	422	406	420
02.03 Civil penalties and damages	453	499	549
02.20 Premiums collected for uninsured individuals not otherwise eligible	1,440	1,502	1,538
02.21 Other proprietary receipts from the public	1		
02.40 Federal employer contributions (FICA)	2,029	2,183	2,299
02.41 Postal service employer contributions (FICA)	673	711	733
02.42 Payments from the general fund (uninsured and program management)	603	647	567
02.43 Taxation on OASDI benefits	4,903	10,796	8,742
02.44 Interest payments by Railroad Retirement Board	48	35	35
Offsetting receipts (intragovernmental):			
02.45 Interest received by trust funds	12,290	12,707	14,892
02.45 Interest received by trust funds, legislative proposal not subject to PAYG			-8
02.46 Payments for military service credits	2		
02.47 FBI, Payment from the general fund	88	101	114
02.48 Criminal fines, transfers from the general fund	3	3	4
02.49 Civil monetary penalties, transfers from the general fund	6	7	7
02.50 Transfers from DOD, HI	25	16	4
02.80 Federal hospital insurance trust fund, offsetting collections	1		
02.81 Health care fraud and abuse control, offsetting collections	3		
02.99 Total receipts and collections	172,219	180,884	188,786
04.00 Total: Balances and collections	339,482	377,675	420,767
Appropriations:			
Appropriations:			
05.00 Federal hospital insurance trust fund	-141,749	-144,695	-148,600
05.00 Proposed legislation not subject to PAYGO			25
05.00 Proposed legislation subject to PAYGO			-410
05.01 Health care fraud and abuse control account	-956	-1,010	-1,075
05.99 Total appropriations	-142,705	-145,705	-150,060
06.10 Unobligated balance returned to receipts	14	11	
07.99 Balance, end of year	196,791	231,981	270,707

Program and Financing (in millions of dollars)

Identification code 20-8005-0-7-571	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Benefit payments, HI	135,971	141,432	145,870
00.02 Administration, HI	1,375	1,596	1,621
00.03 Peer review organizations, HI	55	306	434
00.04 Research, HI	113	96	23
00.05 HI partial transfer of home health to SMI	3,103	1,313	652
00.06 Quinquennial adjustment	1,177		
01.00 Subtotal direct obligations	141,794	144,743	148,600
09.01 Reimbursable program	1		
10.00 Total new obligations	141,795	144,743	148,600
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	141,795	144,743	148,600
23.95 Total new obligations	-141,795	-144,743	-148,600

New budget authority (gross), detail:				
Discretionary:				
40.26	Appropriation (trust fund)	1,448	1,652	1,604
Mandatory:				
60.26	Appropriation (trust fund)	170,813	179,279	187,228
60.45	Portion precluded from obligation	-30,467	-36,188	-40,232
62.50	Appropriation (total mandatory)	140,346	143,091	146,996
69.00	Offsetting collections (cash)	1		
70.00	Total new budget authority (gross)	141,795	144,743	148,600
Change in obligated balances:				
72.40	Obligated balance, start of year	635	408	338
73.10	Total new obligations	141,795	144,743	148,600
73.20	Total outlays (gross)	-142,022	-144,813	-148,542
74.40	Obligated balance, end of year	408	338	396
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	1,448	1,361	1,371
86.93	Outlays from discretionary balances		264	286
86.97	Outlays from new mandatory authority	140,307	142,892	146,736
86.98	Outlays from mandatory balances	267	296	149
87.00	Total outlays (gross)	142,022	144,813	148,542
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-1		
Net budget authority and outlays:				
89.00	Budget authority	141,794	144,743	148,600
90.00	Outlays	142,021	144,813	148,542
Memorandum (non-add) entries:				
92.01	Total investments, start of year: Federal securities:			
	Par value	168,859	197,137	232,492
92.02	Total investments, end of year: Federal securities:			
	Par value	197,137	232,492	271,719

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	141,748	144,695	148,552
90.00	Outlays	141,975	144,765	148,494

Summary of Budget Authority and Outlays

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Enacted/requested:			
Budget Authority	141,794	144,743	148,600
Outlays	142,021	144,813	148,542
Legislative proposal, not subject to PAYGO:			
Budget Authority			-25
Outlays			-25
Legislative proposal, subject to PAYGO:			
Budget Authority			410
Outlays			410
Total:			
Budget Authority	141,794	144,743	148,985
Outlays	142,021	144,813	148,927

The Hospital Insurance (HI) program funds the costs of hospital and related care for individuals age 65 or older and for eligible disabled people.

The status of the trust fund is as follows:

Status of Funds (in millions of dollars)

Identification code 20-8005-0-7-571	2001 actual	2002 est.	2003 est.	
Unexpended balance, start of year:				
0100	Treasury balance	-789	246	
0101	U.S. Securities: Par value	168,859	197,137	232,492
0199	Total balance, start of year	168,070	197,383	232,492
Cash income during the year:				
Current law:				
Receipts:				
1200	FHI trust fund, transfers from general fund (FICA taxes)	139,507	141,297	148,349

1201	FHI trust fund, transfers from general fund (SECA taxes)	9,722	9,974	10,541
1202	FHI trust fund, receipts from Railroad Retirement Board	422	406	420
1203	HCFAC: Civil penalties and damages	453	499	549
Offsetting receipts (proprietary):				
1220	FHI trust fund, premiums collected for uninsured individuals not otherwise eligible	1,440	1,502	1,538
1221	Other proprietary receipts	1		
Offsetting receipts (intragovernmental):				
1240	FHI trust fund, Federal employer contributions (FICA)	2,029	2,183	2,299
1241	FHI trust fund, Postal Service employer contributions (FICA)	673	711	733
Offsetting receipts (intragovernmental):				
1242	FHI trust fund, Federal payment for transitional coverage for uninsured Federal employees	132	150	168
1242	FHI trust fund, Federal payment for transitional coverage for the uninsured	321	292	225
1242	FHI trust fund, general fund transfer, program management (HI)	149	205	174
1243	FHI trust fund, Federal payments for OASDI taxes	4,903	10,796	8,742
1244	FHI trust fund, interest payment from Railroad Retirement Board	48	35	35
1245	FHI trust fund, interest on investments	12,290	12,707	14,892
1246	FHI trust fund, transfer from Department of Defense for military service credits	2		
1247	HCFAC: FBI	88	101	114
1248	HCFAC: Criminal fines	3	3	4
1249	HCFAC: Civil monetary penalties	6	7	7
1250	Other intragovernmental transactions	25	16	4
Offsetting collections:				
1280	FHI trust fund user fees	1		
1281	HCFAC user fees	3		
1299	Income under present law	172,219	180,884	188,794
Proposed legislation:				
Offsetting receipts (intragovernmental):				
2245	Interest on investments, legislative proposal not subject to PAYGO			-8
3299	Total cash income	172,219	180,884	188,786
Cash outgo during year:				
Current law:				
Cash outgo during the year (-):				
4500	Benefit Payments	-135,979	-141,432	-145,870
4500	Administration	-1,399	-1,532	-1,609
4500	Peer Review Organizations	-274	-403	-323
4500	Research	-44	-84	-88
4500	HI Partial Transfer of Home Health to SMI	-3,103	-1,313	-652
4500	Quinquennial adjustment (-)	-1,177		
4501	HCFAC	-929	-1,010	-1,075
4599	Outgo under current law (-)	-142,905	-145,775	-149,617
Proposed legislation:				
Cash outgo during the year (-):				
5500	Administration, legislative proposal not subject to PAYGO			25
5500	Benefit payments, legislative proposal subject to PAYGO			-410
5599	Outgo under proposed legislation (-)			-385
6599	Total cash outgo (-)	-142,905	-145,775	-150,002
Unexpended balance, end of year:				
8700	Uninvested balance	246		
8701	Federal securities: Par value	197,137	232,492	271,276
8799	Total balance, end of year	197,383	232,492	271,276

*This schedule does not include the Administration's proposal for Transitional Medicare Low-Income Drug Assistance.

Object Classification (in millions of dollars)

Identification code 20-8005-0-7-571	2001 actual	2002 est.	2003 est.	
25.3	Portion of limitation on administrative expenses: Social Security	580	662	649
Grants, subsidies, and contributions:				
41.0	Payment for health insurance experiments and demonstration projects	113	96	23
41.0	Payment for peer review organization (PRO) activities	55	306	434
42.0	Insurance claims and indemnities	137,148	141,432	145,870
Undistributed:				
92.0	HI Partial Transfer of Home Health to SMI	3,103	1,313	652
92.0	Reimbursement for administrative expenses for other than SSA LAE	48	52	53
92.0	Purchases of goods and services	748	882	919

FEDERAL HOSPITAL INSURANCE TRUST FUND—Continued

Object Classification (in millions of dollars)—Continued

Identification code 20-8005-0-7-571	2001 actual	2002 est.	2003 est.
99.9 Total new obligations	141,795	144,743	148,600

FEDERAL HOSPITAL INSURANCE FUND
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 20-8005-2-7-571	2001 actual	2002 est.	2003 est.
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Obligations by program activity:

00.02 Administration, HI			-25
10.00 Total new obligations (object class 92.0)			-25

Budgetary resources available for obligation:

22.00 New budget authority (gross)			-25
23.95 Total new obligations			25

New budget authority (gross), detail:

Discretionary:			
40.26 Appropriation (trust fund)			-25
Mandatory:			
60.26 Appropriation (trust fund)			25
60.45 Portion precluded from obligation			-25
62.50 Appropriation (total mandatory)			
70.00 Total new budget authority (gross)			-25

Change in obligated balances:

73.10 Total new obligations			-25
73.20 Total outlays (gross)			25

Outlays (gross), detail:

86.90 Outlays from new discretionary authority			-25
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Net budget authority and outlays:

89.00 Budget authority			-25
90.00 Outlays			-25

Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities:			
Par value			
92.02 Total investments, end of year: Federal securities:			
Par value			-25

The budget proposes new discretionary user fees paid by providers for submitting paper claims and duplicate or unprocessable claims. This account reflects the reduction in payments to HI as a result of this user fee proposal.

FEDERAL HOSPITAL INSURANCE FUND
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 20-8005-4-7-571	2001 actual	2002 est.	2003 est.
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Obligations by program activity:

00.01 Benefit payments, HI			410
10.00 Total new obligations (object class 42.0)			410

Budgetary resources available for obligation:

22.00 New budget authority (gross)			410
23.95 Total new obligations			-410

New budget authority (gross), detail:

Mandatory:			
60.26 Appropriation (trust fund)			-8
60.45 Portion precluded from obligation			418
62.50 Appropriation (total mandatory)			410

Change in obligated balances:

73.10 Total new obligations			410
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73.20 Total outlays (gross)			-410
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Outlays (gross), detail:

86.97 Outlays from new mandatory authority			410
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Net budget authority and outlays:

89.00 Budget authority			410
90.00 Outlays			410

Memorandum (non-add) entries:

92.02 Total investments, end of year: Federal securities:			
Par value			-418

The budget proposes a package of immediate reforms to strengthen Medicare and improve beneficiary choice.

HEALTH CARE FRAUD AND ABUSE CONTROL ACCOUNT
(FEDERAL HOSPITAL INSURANCE TRUST FUND)

Program and Financing (in millions of dollars)

Identification code 75-8393-0-7-571	2001 actual	2002 est.	2003 est.
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Obligations by program activity:

00.01 Medicare integrity program			
	680	700	720
00.02 FBI fraud and abuse control	88	101	114
00.03 Other fraud and abuse control	180	209	241
09.01 Reimbursable program	2		
10.00 Total new obligations	950	1,010	1,075

Budgetary resources available for obligation:

22.00 New budget authority (gross)	956	1,010	1,075
23.95 Total new obligations	-950	-1,010	-1,075
23.98 Unobligated balance expiring or withdrawn	-4		

New budget authority (gross), detail:

Mandatory:			
60.26 Appropriation (trust fund)	953	1,010	1,075
69.00 Offsetting collections (cash)	3		
70.00 Total new budget authority (gross)	956	1,010	1,075

Change in obligated balances:

72.40 Obligated balance, start of year	172	184	173
73.10 Total new obligations	950	1,010	1,075
73.20 Total outlays (gross)	-929	-1,010	-1,075
73.40 Adjustments in expired accounts (net)	-10	-11	
74.40 Obligated balance, end of year	184	173	173

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	929	1,010	1,075
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Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-3		

Net budget authority and outlays:

89.00 Budget authority	953	1,010	1,075
90.00 Outlays	926	1,010	1,075

P.L. 104-191 established the Health Care Fraud and Abuse Control (HCFAC) account within the Federal hospital insurance trust fund and appropriated funds, to be available without further appropriation, from the trust fund to the HCFAC account for specified health care fraud and abuse control activities of the Department of Health and Human Services (HHS), the Department of Justice, and other agencies.

Object Classification (in millions of dollars)

Identification code 75-8393-0-7-571	2001 actual	2002 est.	2003 est.
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Direct obligations:

25.6 Medical care (CMS)			
	680	700	720
Undistributed:			
92.0 Undistributed (FBI)	88	101	114
92.0 Undistributed	2		
99.0 Direct obligations	770	801	834

Allocation Account:			
Travel and transportation of persons:			
21.0	Travel and transportation of persons (OIG)	6	7
21.0	Travel and transportation of persons (DoJ)	1	1
22.0	Transportation of things (OIG)	2	1
Rental payments to GSA:			
23.1	Rental payments to GSA (OIG)	9	9
23.1	Rental payments to GSA (DoJ)	3	3
23.1	Rental payments to GSA (OGC)	2	1
23.3	Communications, utilities, and miscellaneous charges (OIG)	2	3
24.0	Printing and reproduction [DOJ]	1	1
Advisory and assistance services:			
25.1	Advisory and assistance services (DOJ)	2	1
25.1	Advisory and assistance services (OGC)	1	
25.1	Advisory and assistance services (CMS)		12
Other services:			
25.2	Other services (DoJ)	12	18
25.2	Other services (OIG)	2	2
25.2	Other services (CMS)	1	
25.2	Other services (OGC)		1
25.2	Other services (AOA)		1
Other purchases of goods and services from Government accounts:			
25.3	Purchases of goods and services from Government accounts (DoJ)	2	2
25.3	Purchases of goods and services from Government accounts (OIG)	11	11
25.7	Operation and maintenance of equipment (OIG)		1
26.0	Supplies and materials (OIG)	2	2
Equipment:			
31.0	Equipment (OIG)	4	4
31.0	Equipment [DOJ]		1
Grants, subsidies, and contributions:			
41.0	Grants, subsidies, and contributions (AoA)		1
41.0	Grants, subsidies, and contributions (CMS)	2	5
Undistributed:			
92.0	Undistributed (OIG full-time permanent)	70	90
92.0	Undistributed (DoJ full-time permanent)	15	17
92.0	Undistributed (OGC full-time permanent)	2	5
92.0	Undistributed (AoA full-time permanent)		1
92.0	Undistributed (CMS full-time permanent)		4
92.0	Undistributed (DoJ other than full-time permanent)		1
92.0	Undistributed (OIG other than full-time permanent)	1	1
92.0	Undistributed (OIG other personnel compensation)	1	2
92.0	Undistributed (DoJ special personal services payments)	2	1
92.0	Undistributed (OIG personnel benefits)	20	26
92.0	Undistributed (DoJ personnel benefits)	4	4
92.0	Undistributed (OGC personnel benefits)		1
92.0	Undistributed (CMS personnel benefits)		1
99.0	Allocation account	180	241
99.9	Total new obligations	950	1,075

This schedule reflects the estimated distribution of the allocation account for 2003. Actual 2003 distributions will be determined by the Secretary of HHS and the Attorney General consistent with the Administration's priorities, including augmenting existing Medicaid program integrity activities.

	2001 actual	2002 est.	2003 est.
Department of Justice, DOJ	42	55	50
Office of the Inspector General, HHS	130	145	160
Centers for Medicare and Medicaid Services, Medicaid Program Integrity, HHS	0	0	10
Centers for Medicare and Medicaid Services, Other HHS	3	3	12
Office of the General Counsel, HHS	5	4	6
Other HHS	0	2	3
Total	180	209	241

FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 20-8004-0-7-571	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	45,730	41,663	39,823
Receipts:			
02.20 Premiums collected for the aged	19,447	20,872	22,259

02.21	Premiums collected for the disabled	2,861	3,248	3,550
02.22	Other proprietary receipts from the public	4		
Offsetting receipts (intragovernmental):				
02.40	Federal contributions	69,838	77,295	80,905
02.40	Federal contributions, legislative proposal not subject to PAYGO			-19
Offsetting receipts (intragovernmental):				
02.41	Interest received by trust fund	3,187	2,897	2,704
02.41	Interest received by trust fund, legislative proposal not subject to PAYGO			-1
02.42	Transfers from DOD, SMI	25	15	4
02.80	Federal supplementary medical insurance trust fund, offsetting collections	3,103	1,313	652
02.99	Total receipts and collections	98,465	105,640	110,054
04.00	Total: Balances and collections	144,195	147,303	149,877
Appropriations:				
Appropriations:				
05.00	Federal supplementary medical insurance trust fund	-102,532	-107,480	-111,249
05.00	Legislative proposal not subject to PAYGO			25
05.00	Legislative proposal subject to PAYGO			10
05.99	Total appropriations	-102,532	-107,480	-111,214
07.99	Balance, end of year	41,663	39,823	38,663

Program and Financing (in millions of dollars)

Identification code 20-8004-0-7-571	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Benefit payments, SMI	97,411	103,976	108,255
00.02	Administration, SMI	1,935	2,062	2,228
00.03	Peer review organizations, SMI	32	77	109
00.04	Research, SMI	25	21	5
00.06	Transfer to Medicaid for payment of SMI premiums	60	65	
09.01	HI partial transfer of home health	3,103	1,313	652
10.00	Total new obligations	102,566	107,514	111,249
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	102,566	107,514	111,249
23.95	Total new obligations	-102,566	-107,514	-111,249
New budget authority (gross), detail:				
Discretionary:				
40.26	Appropriation (trust fund)	1,959	2,082	2,228
40.71	Reduction pursuant to P.L. 107-116		-4	
40.75	Reduction pursuant to P.L. 106-554 (Labor/HHS)	-4		
43.00	Appropriation (total discretionary)	1,955	2,078	2,228
Mandatory:				
60.26	Appropriation (trust fund)	93,441	102,283	107,229
60.28	Appropriation (unavailable balances)	4,067	1,840	1,140
62.50	Appropriation (total mandatory)	97,508	104,123	108,369
69.00	Offsetting collections (cash)	3,103	1,313	652
70.00	Total new budget authority (gross)	102,566	107,514	111,249

Change in obligated balances:				
72.40	Obligated balance, start of year	167	144	120
73.10	Total new obligations	102,566	107,514	111,249
73.20	Total outlays (gross)	-102,589	-107,538	-111,201
74.40	Obligated balance, end of year	144	120	168

Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	1,955	2,047	2,203
86.97	Outlays from new mandatory authority	100,583	105,386	108,956
86.98	Outlays from mandatory balances	51	105	42
87.00	Total outlays (gross)	102,589	107,538	111,201

Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-3,103	-1,313	-652

Net budget authority and outlays:				
89.00	Budget authority	99,463	106,201	110,597
90.00	Outlays	99,486	106,225	110,549

Memorandum (non-add) entries:				
92.01	Total investments, start of year: Federal securities: Par value	45,075	41,944	39,908

FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND—
Continued

Program and Financing (in millions of dollars)—Continued

Identification code 20-8004-0-7-571	2001 actual	2002 est.	2003 est.
92.02 Total investments, end of year: Federal securities: Par value	41,944	39,908	38,815
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	99,429	106,167	110,562
90.00 Outlays	99,452	106,191	110,514

Summary of Budget Authority and Outlays

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Enacted/requested:			
Budget Authority	99,463	106,201	110,597
Outlays	99,486	106,225	110,549
Legislative proposal, not subject to PAYGO:			
Budget Authority			-25
Outlays			-25
Legislative proposal, subject to PAYGO:			
Budget Authority			-10
Outlays			-10
Total:			
Budget Authority	99,463	106,201	110,562
Outlays	99,486	106,225	110,514

The Supplementary Medical Insurance (SMI) program is a voluntary program which affords protection against the costs of physician and certain other medical services. The program also covers treatment of end-stage renal disease for eligible enrollees. SMI costs are financed by premium payments from enrollees and contributions from the general revenues.

The status of the trust fund is as follows:

Status of Funds (in millions of dollars)

Identification code 20-8004-0-7-571	2001 actual	2002 est.	2003 est.
Unexpended balance, start of year:			
0100 Treasury balance	821	-172	
0101 U.S. Securities: Par value	45,075	41,978	39,942
0199 Total balance, start of year	45,897	41,807	39,943
Cash income during the year:			
Current law:			
Offsetting receipts (proprietary):			
1220 Premiums collected for the aged, FSMI fund	19,447	20,872	22,259
1221 Premiums collected for the disabled, FSMI fund	2,861	3,248	3,550
1222 Other proprietary receipts	4		
Offsetting receipts (intragovernmental):			
1240 Federal contributions, FSMI fund	69,838	77,295	80,905
1241 Interest received by trust fund, FSMI fund	3,187	2,897	2,704
1242 Intragovernmental transactions	25	15	4
Offsetting collections:			
1280 HI partial transfer of home health	3,103	1,313	652
1299 Income under present law	98,465	105,640	110,074
Proposed legislation:			
Offsetting receipts (intragovernmental):			
2240 Federal contributions, legislative proposal not subject to PAYGO			-19
2241 Interest received by trust fund, legislative proposal not subject to PAYGO			-1
2299 Income under proposed legislation			-20
3299 Total cash income	98,465	105,640	110,054
Cash outgo during year:			
Current law:			
Cash outgo during the year (-):			
4500 Benefit payments & ESRD	-97,411	-103,976	-108,255
4500 Administration	-1,917	-2,000	-2,189
4500 Peer review organizations	-55	-132	-86
4500 Research	-9	-18	-19
4500 HI partial transfer of home health	-3,103	-1,313	-652

4500 Transfer to Medicaid for payment of SMI premiums	-60	-65	
4599 Outgo under current law (-)	-102,555	-107,504	-111,201
Proposed legislation:			
Cash outgo during the year (-):			
5500 Administration, legislative proposal not subject to PAYGO			25
5500 Benefit payments & ESRD, legislative proposal subject to PAYGO			10
5599 Outgo under proposed legislation (-)			35
6599 Total cash outgo (-)	-102,555	-107,504	-111,166
Unexpended balance, end of year:			
8700 Uninvested balance	-172		
8701 Federal securities: Par value	41,978	39,942	38,830
8799 Total balance, end of year	41,807	39,943	38,831

Object Classification (in millions of dollars)

Identification code 20-8004-0-7-571	2001 actual	2002 est.	2003 est.
Direct obligations:			
25.3 Portion of limitation on administrative expenses: Social Security	516	585	627
Grants, subsidies, and contributions:			
41.0 Payment for peer review organization (PRO) activity	32	77	109
41.0 Payment for health insurance experiments and demonstration projects	25	21	5
42.0 Insurance claims and indemnities	97,471	104,041	108,255
92.0 Undistributed	1,419	1,477	1,601
99.0 Direct obligations	99,463	106,201	110,597
99.0 Reimbursable obligations	3,103	1,313	652
99.9 Total new obligations	102,566	107,514	111,249

* This schedule does not include the Administration's proposal for Transitional Medicare Low-Income Drug Assistance.

FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 20-8004-2-7-571	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Administration, SMI			-25
10.00 Total new obligations (object class 92.0)			-25
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			-25
23.95 Total new obligations			25
New budget authority (gross), detail:			
Discretionary:			
40.26 Appropriation (trust fund)			-105
Mandatory:			
60.26 Appropriation (trust fund)			105
60.28 Appropriation (unavailable balances)			-25
62.50 Appropriation (total mandatory)			80
70.00 Total new budget authority (gross)			-25
Change in obligated balances:			
73.10 Total new obligations			-25
73.20 Total outlays (gross)			25
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority			-105
86.97 Outlays from new mandatory authority			80
87.00 Total outlays (gross)			-25
Net budget authority and outlays:			
89.00 Budget authority			-25
90.00 Outlays			-25
Memorandum (non-add) entries:			
92.02 Total investments, end of year: Federal securities: Par value			25

The budget proposes new discretionary user fees paid by providers for submitting paper claims and duplicate or unprocessable claims. This account reflects the reduction in payments to SMI as a result of this user fee proposal.

The budget proposes to extend the subsidy of Medicare cost sharing for certain qualified individuals.

FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 20-8004-4-7-571	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Benefit payments, SMI			-10
10.00 Total new obligations (object class 42.0)			-10
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			-10
23.95 Total new obligations			10
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)			-20
60.28 Appropriation (unavailable balances)			10
62.50 Appropriation (total mandatory)			-10
Change in obligated balances:			
73.10 Total new obligations			-10
73.20 Total outlays (gross)			10
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority			-10
Net budget authority and outlays:			
89.00 Budget authority			-10
90.00 Outlays			-10
Memorandum (non-add) entries:			
92.02 Total investments, end of year: Federal securities:			
Par value			-10

The budget proposes a package of immediate reforms to strengthen Medicare and improve beneficiary choice.

ALLOCATION RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedule of the parent appropriation as follows: Centers for Medicare and Medicaid Services "Health Care Fraud and Abuse Control Account."

ADMINISTRATION FOR CHILDREN AND FAMILIES

Federal Funds

General and special funds:

TEMPORARY ASSISTANCE FOR NEEDY FAMILIES

Program and Financing (in millions of dollars)

Identification code 75-1552-0-1-609	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 State family assistance grant	16,489	16,489	16,489
00.03 Territories—family assistance grants	73	78	78
00.04 Matching grants to territories	6	15	15
00.05 Bonus to reward decrease in illegitimacy	75	100	100
00.06 Supplemental grants for population increases	319		
00.07 Bonus to reward high performance States	200	200	400
00.08 Tribal work programs	8	7	7
10.00 Total new obligations (object class 41.0)	17,170	16,889	17,089
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1,122	600	400

22.00	New budget authority (gross)	16,689	16,689	16,689
23.90	Total budgetary resources available for obligation	17,811	17,289	17,089
23.95	Total new obligations	-17,170	-16,889	-17,089
23.98	Unobligated balance expiring or withdrawn	-42		
24.40	Unobligated balance carried forward, end of year	600	400	

New budget authority (gross), detail:

Mandatory:				
60.00	Appropriation	16,689	16,689	16,689

Change in obligated balances:

72.40	Obligated balance, start of year	11,803	10,543	9,098
73.10	Total new obligations	17,170	16,889	17,089
73.20	Total outlays (gross)	-18,583	-18,334	-19,353
73.40	Adjustments in expired accounts (net)	152		
74.40	Obligated balance, end of year	10,543	9,098	6,834

Outlays (gross), detail:

86.97	Outlays from new mandatory authority	10,536	11,655	12,586
86.98	Outlays from mandatory balances	8,047	6,679	6,767
87.00	Total outlays (gross)	18,583	18,334	19,353

Net budget authority and outlays:

89.00	Budget authority	16,689	16,689	16,689
90.00	Outlays	18,583	18,334	19,353

Summary of Budget Authority and Outlays

(in millions of dollars)

Enacted/requested:	2001 actual	2002 est.	2003 est.
Budget Authority	16,689	16,689	16,689
Outlays	18,583	18,334	19,353
Legislative proposal, subject to PAYGO:			
Budget Authority			319
Outlays			1
Total:			
Budget Authority	16,689	16,689	17,008
Outlays	18,583	18,334	19,354

This account provides continued funding for activities established by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104-193). The Temporary Assistance for Needy Families block grant provides funding to States for aid to low-income families with children.

TEMPORARY ASSISTANCE FOR NEEDY FAMILIES

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75-1552-4-1-609	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.05	Bonus to reward decrease in illegitimacy		-100
00.06	Illegitimacy reduction and family formation activities		100
00.07	Supplemental grants for population increases		319
10.00	Total new obligations		319
Budgetary resources available for obligation:			
22.00	New budget authority (gross)		319
23.95	Total new obligations		-319
New budget authority (gross), detail:			
Mandatory:			
60.00	Appropriation		319
Change in obligated balances:			
73.10	Total new obligations		319
73.20	Total outlays (gross)		-1
74.40	Obligated balance, end of year		318
Outlays (gross), detail:			
86.97	Outlays from new mandatory authority		1
Net budget authority and outlays:			
89.00	Budget authority		319

General and special funds—Continued

TEMPORARY ASSISTANCE FOR NEEDY FAMILIES—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 75-1552-4-1-609	2001 actual	2002 est.	2003 est.
90.00 Outlays			1

Object Classification (in millions of dollars)

Identification code 75-1552-4-1-609	2001 actual	2002 est.	2003 est.
25.1 Advisory and assistance services			15
41.0 Grants, subsidies, and contributions			304
99.9 Total new obligations			319

This schedule reflects additional proposals to be included in the reauthorization of the Temporary Assistance for Needy Families program. These include funding for Supplemental Grants and initiatives to reduce out-of-wedlock births and to promote family formation.

CONTINGENCY FUND

Program and Financing (in millions of dollars)

Identification code 75-1522-0-1-609	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1,958		
23.98 Unobligated balance expiring or withdrawn	-1,958		

Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

CONTINGENCY FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75-1522-4-1-609	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 TANF Contingency Fund			45
10.00 Total new obligations (object class 41.0)			45

Budgetary resources available for obligation:			
22.00 New budget authority (gross)			2,000
23.95 Total new obligations			-45
24.40 Unobligated balance carried forward, end of year			1,955

New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation			2,000

Change in obligated balances:			
73.10 Total new obligations			45
73.20 Total outlays (gross)			-45

Outlays (gross), detail:			
86.97 Outlays from new mandatory authority			45

Net budget authority and outlays:			
89.00 Budget authority			2,000
90.00 Outlays			45

This schedule reflects a proposal to authorize a more accessible Contingency Fund.

PAYMENTS TO STATES FOR CHILD SUPPORT ENFORCEMENT AND FAMILY SUPPORT PROGRAMS

For making payments to States or other non-Federal entities under titles I, IV-D, X, XI, XIV, and XVI of the Social Security Act and the Act of July 5, 1960 (24 U.S.C. ch. 9), **[\$2,447,800,000] \$2,475,800,000**, to remain available until expended; and for such purposes for the first quarter of fiscal year **[2003] 2004**, \$1,100,000,000, to remain available until expended.

For making payments to each State for carrying out the program of Aid to Families with Dependent Children under title IV-A of the Social Security Act before the effective date of the program of Temporary Assistance to Needy Families (TANF) with respect to such State, such sums as may be necessary: *Provided*, That the sum of the amounts available to a State with respect to expenditures under such title IV-A in fiscal year 1997 under this appropriation and under such title IV-A as amended by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 shall not exceed the limitations under section 116(b) of such Act.

For making, after May 31 of the current fiscal year, payments to States or other non-Federal entities under titles I, IV-D, X, XI, XIV, and XVI of the Social Security Act and the Act of July 5, 1960 (24 U.S.C. ch. 9), for the last 3 months of the current fiscal year for unanticipated costs, incurred for the current fiscal year, such sums as may be necessary. (*Department of Health and Human Services Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 75-1501-0-1-609	2001 actual	2002 est.	2003 est.
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Obligations by program activity:

Child support enforcement (CSE):			
Benefit payments:			
00.01 State child support administrative costs	2,945	3,452	3,542
00.03 Access and visitation grants	10	10	10
00.91 Subtotal, child support enforcement	2,955	3,462	3,552
01.02 Payments to territories	23	23	23
01.03 Repatriation	1	1	1
01.91 Subtotal, other payments	24	24	24
Aid to families with dependent children (AFDC) payments:			
02.01 AFDC benefit payments	29	45	
02.03 State and local welfare administration		5	
02.04 AFDC/JOBS child care	2		
02.91 Subtotal, AFDC programs	31	50	
09.01 Federal Incentive/hold harmless pmts to States	425	460	461
10.00 Total new obligations	3,435	3,996	4,037

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	1	89	
22.00 New budget authority (gross)	3,517	3,908	4,037
22.10 Resources available from recoveries of prior year obligations	6		
23.90 Total budgetary resources available for obligation	3,524	3,997	4,037
23.95 Total new obligations	-3,435	-3,996	-4,037
24.40 Unobligated balance carried forward, end of year	89		

New budget authority (gross), detail:

Mandatory:			
60.00 Appropriation	2,442	2,448	2,476
65.00 Advance appropriation	650	1,000	1,100
69.00 Offsetting collections (cash)	425	460	461
70.00 Total new budget authority (gross)	3,517	3,908	4,037

Change in obligated balances:

72.40 Obligated balance, start of year	1,080	803	781
73.10 Total new obligations	3,435	3,996	4,037
73.20 Total outlays (gross)	-3,706	-4,018	-4,133
73.45 Recoveries of prior year obligations	-6		
74.40 Obligated balance, end of year	803	781	685

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	3,068	3,535	3,626
86.98 Outlays from mandatory balances	638	483	507
87.00 Total outlays (gross)	3,706	4,018	4,133

Offsets:			
Against gross budget authority and outlays:			
88.45	Offsetting collections (cash) from: Offsetting governmental collections (from non-Federal sources)	- 425	- 460 - 461
Net budget authority and outlays:			
89.00	Budget authority	3,092	3,448 3,576
90.00	Outlays	3,281	3,558 3,672

Summary of Budget Authority and Outlays

(in millions of dollars)

Enacted/requested:	2001 actual	2002 est.	2003 est.
Budget Authority	3,092	3,448	3,576
Outlays	3,281	3,558	3,672
Legislative proposal, subject to PAYGO:			
Budget Authority			-59
Outlays			-59
Total:			
Budget Authority	3,092	3,448	3,517
Outlays	3,281	3,558	3,613

This account provides for payments to States for child support enforcement and other family support programs, including access and visitation programs for families. Spending authority from the Federal share of child support collections is used to pay incentive payments. The remaining net Federal share of collections is returned to the Treasury in a receipt account. The text table below shows the net Federal costs of child support enforcement:

Net Federal Costs of Child Support Enforcement

(In millions of dollars)

	2001	2002	2003
Gross Federal share of collections	(1,281)	(1,225)	(1,250)
Federal incentive payments to States	415	450	461
Hold harmless payments	10	10	0
State child support administrative costs	2,945	3,452	3,542
Access and visitation grants	10	10	10
Total	2,099	2,697	2,763

Object Classification (in millions of dollars)

Identification code 75-1501-0-1-609	2001 actual	2002 est.	2003 est.
Direct obligations:			
25.2 Other services	3		
41.0 Grants, subsidies, and contributions	3,007	3,536	3,576
99.0 Direct obligations	3,010	3,536	3,576
99.0 Reimbursable obligations	425	460	461
99.9 Total new obligations	3,435	3,996	4,037

PAYMENTS TO STATES FOR CHILD SUPPORT ENFORCEMENT AND FAMILY SUPPORT PROGRAMS

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75-1501-4-1-609	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Child support enforcement (CSE):			
Benefit payments:			
00.01 State child support administrative costs			- 59
10.00 Total new obligations (object class 41.0)			- 59
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			- 59
23.95 Total new obligations			59
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation			- 59

Change in obligated balances:			
73.10	Total new obligations		- 59
73.20	Total outlays (gross)		59
Outlays (gross), detail:			
86.97	Outlays from new mandatory authority		- 59
Net budget authority and outlays:			
89.00	Budget authority		- 59
90.00	Outlays		- 59

This legislative proposal includes provisions to increase child support collections and to direct more of these payments to families. This also includes a user fee proposal for families that have never relied on public assistance and for whom State child support collection efforts have been successful.

LOW INCOME HOME ENERGY ASSISTANCE

For making payments under title XXVI of the Omnibus Budget Reconciliation Act of 1981, ~~[\$1,700,000,000]~~ \$1,400,000,000.

For making payments under title XXVI of the Omnibus Budget Reconciliation Act of 1981, \$300,000,000: *Provided*, That these funds are for the unanticipated home energy assistance needs of one or more States, as authorized by section 2604(e) of the Act: *Provided further*, That these funds are hereby designated by Congress to be emergency requirements pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985: *Provided further*, That these funds shall be made available only after submission to Congress of an official budget request by the President that includes designation of the entire amount of the request as an emergency requirement as defined in the Balanced Budget and Emergency Deficit Control Act of 1985], and notwithstanding the designation requirement of section 2602(e). (Department of Health and Human Services Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 75-1502-0-1-609	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00	Total new obligations (object class 41.0)	1,856	1,919 1,619
Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year	156	300 300
22.00	New budget authority (gross)	2,000	2,000 1,700
23.90	Total budgetary resources available for obligation	2,156	2,300 2,000
23.95	Total new obligations	- 1,856	- 1,919 - 1,619
23.98	Unobligated balance expiring or withdrawn		- 81 - 81
24.40	Unobligated balance carried forward, end of year	300	300 300
New budget authority (gross), detail:			
Discretionary:			
Appropriation:			
40.00	Appropriation	1,400	1,700 1,400
40.00	Appropriation (contingent)		300
40.15	Appropriation (emergency)	600	300
43.00	Appropriation (total discretionary)	2,000	2,000 1,700
Change in obligated balances:			
72.40	Obligated balance, start of year	822	513 602
73.10	Total new obligations	1,856	1,919 1,619
73.20	Total outlays (gross)	- 2,161	- 1,830 - 1,692
73.40	Adjustments in expired accounts (net)	- 4	
74.40	Obligated balance, end of year	513	602 526
Outlays (gross), detail:			
86.90	Outlays from new discretionary authority	1,306	1,382 1,166
86.93	Outlays from discretionary balances	855	449 526
87.00	Total outlays (gross)	2,161	1,830 1,692
Net budget authority and outlays:			
89.00	Budget authority	2,000	2,000 1,700
90.00	Outlays	2,161	1,830 1,692

This program makes grants to States and Indian tribes to aid low-income households with high energy costs through

General and special funds—Continued

LOW INCOME HOME ENERGY ASSISTANCE—Continued

payments to eligible households, energy suppliers, and weatherization providers. Obligation estimates for the contingency fund are based on average historical obligation rates.

REFUGEE AND ENTRANT ASSISTANCE

For making payments for refugee and entrant assistance activities authorized by title IV of the Immigration and Nationality Act and section 501 of the Refugee Education Assistance Act of 1980 (Public Law 96-422), **[\$450,203,000] \$442,724,000: Provided**, That funds appropriated pursuant to section 414(a) of the Immigration and Nationality Act for fiscal year **[2002] 2003** shall be available for the costs of assistance provided and other activities through September 30, **[2004] 2005: Provided further**, That up to \$10,000,000 is available to carry out the Trafficking Victims Protection Act of 2000.

For carrying out section 5 of the Torture Victims Relief Act of 1998 (Public Law 105-320), \$10,000,000. (Department of Health and Human Services Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 75-1503-0-1-609	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Refugee and entrant assistance	463	460	455
00.02 Assistance for treatment of torture victims	10	10	10
10.00 Total new obligations	473	470	465
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	48	22	12
22.00 New budget authority (gross)	433	460	453
22.10 Resources available from recoveries of prior year obligations	14		
23.90 Total budgetary resources available for obligation	495	482	465
23.95 Total new obligations	-473	-470	-465
24.40 Unobligated balance carried forward, end of year	22	12	
New budget authority (gross), detail:			
Discretionary:			
Appropriation:			
40.00 Appropriation	433	460	453
Change in obligated balances:			
72.40 Obligated balance, start of year	597	556	563
73.10 Total new obligations	473	470	465
73.20 Total outlays (gross)	-456	-463	-467
73.40 Adjustments in expired accounts (net)	-44		
73.45 Recoveries of prior year obligations	-14		
74.40 Obligated balance, end of year	556	563	563
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	148	138	136
86.93 Outlays from discretionary balances	308	325	331
87.00 Total outlays (gross)	456	463	467
Net budget authority and outlays:			
89.00 Budget authority	433	460	453
90.00 Outlays	456	463	467

States are subsidized for administering the refugee assistance program. Funds are also provided to assist in the rehabilitation of victims of torture.

Object Classification (in millions of dollars)

Identification code 75-1503-0-1-609	2001 actual	2002 est.	2003 est.
25.1 Advisory and assistance services	1	1	1
25.2 Other services	1	1	1
25.3 Other purchases of goods and services from Government accounts	1	1	1
41.0 Grants, subsidies, and contributions	470	467	462
99.9 Total new obligations	473	470	465

PROMOTING SAFE AND STABLE FAMILIES

For carrying out [subpart 2 of part B of title IV] section 436 of the Social Security Act, \$305,000,000. In addition, for such purposes, \$70,000,000 to carry out such subpart.; for section 437, \$200,000,000; and for section 439, \$25,000,000. (Department of Health and Human Services Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 75-1512-0-1-506	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Grants to States and Tribes	268	353	475
00.02 Research, training and technical assistance	6	9	13
00.03 State court assessment activities	10	13	17
00.04 Mentoring children of prisoners			25
10.00 Total new obligations	284	375	530
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	305	375	530
23.95 Total new obligations	-284	-375	-530
23.98 Unobligated balance expiring or withdrawn	-21		
New budget authority (gross), detail:			
Discretionary:			
Appropriation:			
40.00 Appropriation		70	200
40.00 Appropriation			25
43.00 Appropriation (total discretionary)		70	225
Mandatory:			
60.00 Appropriation	305	305	305
70.00 Total new budget authority (gross)	305	375	530
Change in obligated balances:			
72.40 Obligated balance, start of year	345	339	413
73.10 Total new obligations	284	375	530
73.20 Total outlays (gross)	-258	-300	-372
73.40 Adjustments in expired accounts (net)	-32		
74.40 Obligated balance, end of year	339	413	571
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		11	34
86.93 Outlays from discretionary balances			46
86.97 Outlays from new mandatory authority	42	46	46
86.98 Outlays from mandatory balances	216	243	246
87.00 Total outlays (gross)	258	300	372
Net budget authority and outlays:			
89.00 Budget authority	305	375	530
90.00 Outlays	258	300	372

This program provides funds for a broad range of child welfare services, including family preservation and family support services. Includes funding for competitive grants to mentor the children of prisoners.

Object Classification (in millions of dollars)

Identification code 75-1512-0-1-506	2001 actual	2002 est.	2003 est.
25.1 Advisory and assistance services	4	5	6
25.2 Other services	2	3	8
41.0 Grants, subsidies, and contributions	278	367	516
99.9 Total new obligations	284	375	530

JOB OPPORTUNITIES AND BASIC SKILLS TRAINING PROGRAM

Program and Financing (in millions of dollars)

Identification code 75-1509-0-1-504	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year	43	8	3
73.20 Total outlays (gross)	-4	-4	-3
73.40 Adjustments in expired accounts (net)	-31	-1	
74.40 Obligated balance, end of year	8	3	

Outlays (gross), detail:				
86.98	Outlays from mandatory balances	4	4	3
Net budget authority and outlays:				
89.00	Budget authority			
90.00	Outlays	4	4	3

This activity was replaced by Temporary Assistance for Needy Families.

CHILD CARE ENTITLEMENT TO STATES

Program and Financing (in millions of dollars)

Identification code 75-1550-0-1-609	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Mandatory child care	1,177	1,178	1,178
00.02	Matching child care	1,332	1,478	1,478
00.03	Training and technical assistance	6	7	7
00.04	Child care tribal grants	56	54	54
10.00	Total new obligations	2,571	2,717	2,717
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	2,571	2,717	2,717
23.95	Total new obligations	-2,571	-2,717	-2,717
New budget authority (gross), detail:				
Mandatory:				
60.00	Appropriation	2,567	2,717	2,717
63.00	Reappropriation	4		
70.00	Total new budget authority (gross)	2,571	2,717	2,717
Change in obligated balances:				
72.40	Obligated balance, start of year	641	814	995
73.10	Total new obligations	2,571	2,717	2,717
73.20	Total outlays (gross)	-2,342	-2,536	-2,737
73.40	Adjustments in expired accounts (net)	-56		
74.40	Obligated balance, end of year	814	995	975
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	1,893	2,019	2,051
86.98	Outlays from mandatory balances	448	516	686
87.00	Total outlays (gross)	2,342	2,536	2,737
Net budget authority and outlays:				
89.00	Budget authority	2,571	2,717	2,717
90.00	Outlays	2,342	2,536	2,737

This account provides child care funding for welfare recipients and low-income working families and was established by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104-193).

Object Classification (in millions of dollars)

Identification code 75-1550-0-1-609	2001 actual	2002 est.	2003 est.	
25.1	Advisory and assistance services	6	7	7
41.0	Grants, subsidies, and contributions	2,565	2,710	2,710
99.9	Total new obligations	2,571	2,717	2,717

PAYMENTS TO STATES FOR THE CHILD CARE AND DEVELOPMENT BLOCK GRANT

For carrying out sections 658A through 658R of the Omnibus Budget Reconciliation Act of 1981 (The Child Care and Development Block Grant Act of 1990), \$2,099,994,000 shall be used to supplement, not supplant state general revenue funds for child care assistance for low-income families: *Provided*, That \$19,120,000 shall be available for child care resource and referral and school-aged child care activities, of which \$1,000,000 shall be for the Child Care Aware toll free hotline: *Provided further*, That, in addition to the amounts required to be reserved by the States under section 658G, \$272,672,000 shall be reserved by the States for activities authorized under section

658G, of which \$100,000,000 shall be for activities that improve the quality of infant and toddler care: *Provided further*, That \$10,000,000 shall be for use by the Secretary for child care research, demonstration, and evaluation activities. (*Department of Health and Human Services Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 75-1515-0-1-609	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Block grant payments to States	1,990	2,090	2,090
00.04	Research and evaluation fund	10	10	10
10.00	Total new obligations	2,000	2,100	2,100
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	2,000	2,100	2,100
23.95	Total new obligations	-2,000	-2,100	-2,100
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	817	2,100	2,100
55.00	Advance appropriation	1,183		
70.00	Total new budget authority (gross)	2,000	2,100	2,100
Change in obligated balances:				
72.40	Obligated balance, start of year	499	1,121	1,304
73.10	Total new obligations	2,000	2,100	2,100
73.20	Total outlays (gross)	-1,376	-1,917	-2,062
73.40	Adjustments in expired accounts (net)	-2		
74.40	Obligated balance, end of year	1,121	1,304	1,342
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	1,050	1,092	1,092
86.93	Outlays from discretionary balances	326	825	970
87.00	Total outlays (gross)	1,376	1,917	2,062
Net budget authority and outlays:				
89.00	Budget authority	2,000	2,100	2,100
90.00	Outlays	1,376	1,917	2,062

This appropriation helps low-income families pay for child care and related services and supports grants to States for child care quality activities.

Object Classification (in millions of dollars)

Identification code 75-1515-0-1-609	2001 actual	2002 est.	2003 est.	
25.1	Advisory and assistance services	6	6	6
25.2	Other services	1	1	1
41.0	Grants, subsidies, and contributions	1,993	2,093	2,093
99.9	Total new obligations	2,000	2,100	2,100

SOCIAL SERVICES BLOCK GRANT

For making grants to States pursuant to section 2002 of the Social Security Act, \$1,700,000,000: *Provided*, That notwithstanding subparagraph (B) of section 404(d)(2) of such Act, the applicable percent specified under such subparagraph for a State to carry out State programs pursuant to title XX of such Act shall be 10 percent. (*Department of Health and Human Services Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 75-1534-0-1-506	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
10.00	Total new obligations (object class 41.0)	1,725	1,700	1,700
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	1	1	1
22.00	New budget authority (gross)	1,725	1,700	1,700
23.90	Total budgetary resources available for obligation	1,726	1,701	1,701
23.95	Total new obligations	-1,725	-1,700	-1,700

General and special funds—Continued

SOCIAL SERVICES BLOCK GRANT—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 75-1534-0-1-506	2001 actual	2002 est.	2003 est.
24.40 Unobligated balance carried forward, end of year	1	1	1
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	1,725	1,700	1,700
Change in obligated balances:			
72.40 Obligated balance, start of year	873	745	642
73.10 Total new obligations	1,725	1,700	1,700
73.20 Total outlays (gross)	-1,852	-1,803	-1,792
73.40 Adjustments in expired accounts (net)	-1		-1
74.40 Obligated balance, end of year	745	642	548
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	1,472	1,445	1,445
86.98 Outlays from mandatory balances	379	358	348
87.00 Total outlays (gross)	1,852	1,803	1,792
Net budget authority and outlays:			
89.00 Budget authority	1,725	1,700	1,700
90.00 Outlays	1,852	1,803	1,792

**CHILDREN AND FAMILIES SERVICES PROGRAMS
(INCLUDING RESCISSIONS)**

For carrying out, except as otherwise provided, the Runaway and Homeless Youth Act, the Developmental Disabilities Assistance and Bill of Rights Act, the Head Start Act, the Child Abuse Prevention and Treatment Act, sections 310 and 316 of the Family Violence Prevention and Services Act, as amended, the Native American Programs Act of 1974, title II of Public Law 95-266 (adoption opportunities), the Adoption and Safe Families Act of 1997 (Public Law 105-89), sections 1201 and 1211 of the Children's Health Act of 2000, the Abandoned Infants Assistance Act of 1988, [the Early Learning Opportunities Act, part B(1) of title IV and] sections 413, 429A, 1110, and 1115 of the Social Security Act, and sections 40155, 40211, and 40241 of Public Law 103-322; for making payments under the Community Services Block Grant Act, section 473A of the Social Security Act, and title IV of Public Law 105-285, and for necessary administrative expenses to carry out said Acts and titles I, IV, X, XI, XIV, XVI, and XX of the Social Security Act, the Act of July 5, 1960 (24 U.S.C. ch. 9), the Omnibus Budget Reconciliation Act of 1981, title IV of the Immigration and Nationality Act, section 501 of the Refugee Education Assistance Act of 1980, section 5 of the Torture Victims Relief Act of 1998 (Public Law 105-320), sections 40155, 40211, and 40241 of Public Law 103-322, sections 310 and 316 of the Family Violence Prevention and Services Act, as amended, and section 126 and titles IV and V of Public Law 100-485, [\$8,429,183,000] \$8,489,632,000, of which \$43,000,000, to remain available until September 30, [2003] 2004, shall be for grants to States for adoption incentive payments, as authorized by section 473A of title IV of the Social Security Act (42 U.S.C. 670-679) and may be made for adoptions completed in fiscal years [2000 and] 2001 and 2002; of which [\$738,821,000] \$640,325,000 shall be for making payments under the Community Services Block Grant Act; and of which [\$6,537,906,000] \$6,667,553,000 shall be for making payments under the Head Start Act, of which \$1,400,000,000 shall become available October 1, [2002] 2003 and remain available through September 30, [2003] 2004: *Provided*, That to the extent Community Services Block Grant funds are distributed as grant funds by a State to an eligible entity as provided under the Act, and have not been expended by such entity, they shall remain with such entity for carryover into the next fiscal year for expenditure by such entity consistent with program purposes: *Provided further*, That all eligible entities currently in good standing in the Community Services Block Grant program shall receive an increase in funding proportionate to the increase provided in this Act for the Community Services Block Grant: *Provided further*, That \$88,133,000 shall be for activities authorized by the Runaway and Homeless Youth Act, notwithstanding the allocation requirements of section 388(a) of such Act,

of which \$39,739,900 is for the transitional living program: *Provided further*, That [\$30,000,000] \$100,000,000 is for a compassion capital fund to provide grants to charitable organizations to emulate model social service programs and to encourage research on the best practices of social service organizations: *Provided further*, That the Secretary shall establish procedures regarding the disposition of intangible property which permits grant funds, or intangible assets acquired with funds authorized under section 680 of the Community Services Block Grant Act, as amended, to become the sole property of such grantees after a period of not more than 12 years after the end of the grant for purposes and uses consistent with the original grant: *Provided further*, That funds appropriated for section 680(a)(2) of the Community Services Block Grant Act, as amended, shall be available for financing construction and rehabilitation and loans or investments in private business enterprises owned by community development corporations.

[Funds appropriated for fiscal year 2002 under section 429A(e), part B of title IV of the Social Security Act shall be reduced by \$6,000,000.

Funds appropriated for fiscal year 2002 under section 413(h)(1) of the Social Security Act shall be reduced by \$15,000,000.] (*Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 75-1536-0-1-506	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Head start	6,194	6,538	6,667
Social services programs:			
Runaway and homeless youth:			
01.03 Runaway and homeless youth	48	48	48
01.04 Transitional living	21	40	40
01.06 Education grants to reduce sexual abuse of runaway youth	15	15	15
Child abuse:			
01.07 Child abuse State grants	21	22	22
01.08 Child abuse discretionary grants	33	26	26
01.09 Community based resource centers	33	33	33
Child welfare programs:			
01.11 Child welfare services	292	292	292
01.12 Child welfare training	7	8	8
01.15 Abandoned infants	12	12	12
01.16 Adoption incentives	43	43	43
01.17 Adoption opportunities	27	27	27
01.18 Children's health act programs		13	13
01.19 Social services and income maintenance research	38	31	6
01.20 Native American programs	46	46	45
01.21 Compassion capital fund		30	100
01.23 Early learning fund	20	25	
Developmental disabilities program:			
01.24 Protection and advocacy	33	35	35
01.25 Projects of national significance	11	12	12
01.26 Centers for excellence	21	24	24
01.27 State grants	68	70	70
01.28 Federal administration	173	183	182
01.29 Faith-based center		2	2
01.91 Subtotal	962	1,037	1,055
02.00 Subtotal, ACYF programs	7,156	7,575	7,722
Community services programs:			
03.01 Community services block grants	600	650	570
03.03 Community food and nutrition	6	7	7
03.04 Community services discretionary	36	39	39
03.05 National youth sports	16	17	
03.06 Individual development accounts	25	25	25
03.08 Domestic violence hotline	2	2	2
03.09 Grants for battered women's shelters	117	125	125
03.91 Subtotal	802	865	768
04.00 Total, direct program	7,958	8,440	8,490
09.01 Reimbursable program	16	15	15
10.00 Total new obligations	7,974	8,455	8,505
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	3	3
22.00 New budget authority (gross)	7,982	8,455	8,505
23.90 Total budgetary resources available for obligation	7,985	8,458	8,508
23.95 Total new obligations	-7,974	-8,455	-8,505
23.98 Unobligated balance expiring or withdrawn	-7		

24.40	Unobligated balance carried forward, end of year	3	3	3
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	6,567	7,041	7,090
40.71	Reduction pursuant to P.L. 107-116		-1	
40.75	Reduction pursuant to P.L. 106-554 (Labor/HHS)	-1		
43.00	Appropriation (total discretionary)	6,566	7,040	7,090
55.00	Advance appropriation	1,400	1,400	1,400
Spending authority from offsetting collections:				
68.00	Offsetting collections (cash)	15	15	15
68.10	Change in uncollected customer payments from Federal sources (unexpired)	1		
68.90	Spending authority from offsetting collections (total discretionary)	16	15	15
70.00	Total new budget authority (gross)	7,982	8,455	8,505

Change in obligated balances:				
72.40	Obligated balance, start of year	3,394	4,375	5,084
73.10	Total new obligations	7,974	8,455	8,505
73.20	Total outlays (gross)	-6,956	-7,746	-8,310
73.40	Adjustments in expired accounts (net)	-36		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-1		
74.10	Change in uncollected customer payments from Federal sources (expired)	2		
74.40	Obligated balance, end of year	4,375	5,084	5,279

Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	4,180	4,055	4,037
86.93	Outlays from discretionary balances	2,776	3,691	4,273
87.00	Total outlays (gross)	6,956	7,746	8,310

Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-15	-15	-15
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-1		

Net budget authority and outlays:				
89.00	Budget authority	7,966	8,440	8,490
90.00	Outlays	6,940	7,731	8,295

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	7,956	8,429	8,479
90.00	Outlays	6,930	7,720	8,284

Summary of Budget Authority and Outlays

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Enacted/requested:			
Budget Authority	7,966	8,440	8,490
Outlays	6,941	7,731	8,295
Legislative proposal, not subject to PAYGO:			
Budget Authority			30
Outlays			4
Total:			
Budget Authority	7,966	8,440	8,520
Outlays	6,941	7,731	8,299

Provides funding for a Compassion Capital Fund to support public and private partnerships in funding community and faith-based charitable organizations that expand upon or emulate model social service programs.

Object Classification (in millions of dollars)

Identification code 75-1536-0-1-506	2001 actual	2002 est.	2003 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	104	113	113
11.3	Other than full-time permanent	1	1	1

11.5	Other personnel compensation	1	1	1
11.9	Total personnel compensation	106	115	115
12.1	Civilian personnel benefits	30	33	33
21.0	Travel and transportation of persons	9	5	5
23.1	Rental payments to GSA	15	16	16
23.3	Communications, utilities, and miscellaneous charges	2	2	2
24.0	Printing and reproduction	2	3	3
25.1	Advisory and assistance services	93	124	124
25.2	Other services	4	41	41
25.3	Other purchases of goods and services from Government accounts	38	30	30
25.7	Operation and maintenance of equipment	1	1	1
26.0	Supplies and materials	1	2	2
31.0	Equipment	1	1	1
41.0	Grants, subsidies, and contributions	7,657	8,067	8,117
99.0	Direct obligations	7,959	8,440	8,490
99.0	Reimbursable obligations	15	15	15
99.9	Total new obligations	7,974	8,455	8,505

Personnel Summary

Identification code 75-1536-0-1-506	2001 actual	2002 est.	2003 est.	
1001	Total compensable workyears: Full-time equivalent employment	1,430	1,547	1,492

CHILDREN AND FAMILY SERVICES PROGRAMS
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75-1536-2-1-506	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
01.19	Maternity group homes		10
01.20	Strengthening fatherhood and healthy marriages		20
04.00	Total, direct program		30
10.00	Total new obligations		30

Budgetary resources available for obligation:

22.00	New budget authority (gross)		30
23.95	Total new obligations		-30

New budget authority (gross), detail:

Discretionary:			
40.00	Appropriation		30

Change in obligated balances:

73.10	Total new obligations		30
73.20	Total outlays (gross)		-4
74.40	Obligated balance, end of year		26

Outlays (gross), detail:

86.90	Outlays from new discretionary authority		4
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Net budget authority and outlays:

89.00	Budget authority		30
90.00	Outlays		4

This legislative proposal establishes the Responsible Fatherhood and Healthy Marriages Program. The budget provides grants to faith-based and community organizations to assist non-custodial fathers in becoming more involved in the lives of their children. In addition, the Administration includes funding for grants to provide young, pregnant and parenting women with access to maternity group homes.

Object Classification (in millions of dollars)

Identification code 75-1536-2-1-506	2001 actual	2002 est.	2003 est.
25.3	Other purchases of goods and services from Government accounts		7
41.0	Grants, subsidies, and contributions		23
99.9	Total new obligations		30

General and special funds—Continued

VIOLENT CRIME REDUCTION PROGRAMS

Program and Financing (in millions of dollars)

Identification code 75-8605-0-1-754	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year	117	29	4
73.20 Total outlays (gross)	-88	-25	-4
74.40 Obligated balance, end of year	29	4	
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	88	25	4
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	88	25	4

CHILDREN'S RESEARCH AND TECHNICAL ASSISTANCE

Program and Financing (in millions of dollars)

Identification code 75-1553-0-1-609	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Training and technical assistance	13	13	13
00.02 Federal parent locator service	26	25	25
00.04 Welfare research			15
09.01 Reimbursable program	8	10	11
10.00 Total new obligations	47	48	64
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	
22.00 New budget authority (gross)	47	47	64
23.90 Total budgetary resources available for obligation	48	48	64
23.95 Total new obligations	-47	-48	-64
24.40 Unobligated balance carried forward, end of year	1		
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	39	37	53
69.00 Offsetting collections (cash)	8	10	11
70.00 Total new budget authority (gross)	47	47	64
Change in obligated balances:			
72.40 Obligated balance, start of year	36	33	33
73.10 Total new obligations	47	48	64
73.20 Total outlays (gross)	-48	-49	-52
73.40 Adjustments in expired accounts (net)	-1		
74.40 Obligated balance, end of year	33	33	45
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	47	25	26
86.98 Outlays from mandatory balances	1	24	26
87.00 Total outlays (gross)	48	49	52
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-8	-10	-11
Net budget authority and outlays:			
89.00 Budget authority	39	37	53
90.00 Outlays	40	39	41

This account provides funding for research and technical assistance activities established in P.L. 104-193. Amounts for welfare research are in addition to research amounts in the Children and families services program account and the Temporary Assistance to Needy Families account under Illegitimacy Reduction and Family Formation activities.

Object Classification (in millions of dollars)

Identification code 75-1553-0-1-609	2001 actual	2002 est.	2003 est.
Direct obligations:			
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	1	1	1
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services	15	14	22
25.2 Other services	4	5	5
25.3 Other purchases of goods and services from Government accounts	15	13	13
41.0 Grants, subsidies, and contributions	2	2	10
99.0 Direct obligations	39	37	53
99.0 Reimbursable obligations	8	11	11
99.9 Total new obligations	47	48	64

PAYMENTS TO STATES FOR FOSTER CARE AND ADOPTION ASSISTANCE

For making payments to States or other non-Federal entities under title IV-E of the Social Security Act, **[\$4,885,600,000] \$4,855,000,000. In addition, for carrying out section 477(i), \$60,000,000.**

For making payments to States or other non-Federal entities under title IV-E of the [Social Security] Act, for the first quarter of fiscal year [2003, \$1,754,000,000] 2004, \$1,745,600,000.

For making, after May 31 of the current fiscal year, payments to States or other non-Federal entities under section 474 of title IV-E, for the last 3 months of the current fiscal year for unanticipated costs, incurred for the current fiscal year, such sums as may be necessary. (Department of Health and Human Services Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 75-1545-0-1-609	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Foster care	4,297	4,601	4,885
00.02 Independent living	140	140	140
00.03 Education and training vouchers			60
00.04 Adoption assistance	1,149	1,426	1,585
10.00 Total new obligations	5,586	6,167	6,669
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	6,401	6,622	6,669
23.95 Total new obligations	-5,586	-6,167	-6,669
23.98 Unobligated balance expiring or withdrawn	-815	-455	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation			60
Mandatory:			
60.00 Appropriation	4,863	4,886	4,855
65.00 Advance appropriation	1,538	1,736	1,754
70.00 Total new budget authority (gross)	6,401	6,622	6,669
Change in obligated balances:			
72.40 Obligated balance, start of year	1,071	934	1,003
73.10 Total new obligations	5,586	6,167	6,669
73.20 Total outlays (gross)	-5,711	-6,098	-6,431
73.40 Adjustments in expired accounts (net)	-12		
74.40 Obligated balance, end of year	934	1,003	1,241
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority			9
86.97 Outlays from new mandatory authority	4,854	5,306	5,656
86.98 Outlays from mandatory balances	857	792	765
87.00 Total outlays (gross)	5,711	6,098	6,431
Net budget authority and outlays:			
89.00 Budget authority	6,401	6,622	6,669
90.00 Outlays	5,711	6,098	6,431

Foster care.—The proposed level will support eligible low-income children who must be placed outside the home. An average of 249,400 children per month will be served in 2003.

Adoption assistance.—The proposed funding level will support subsidies for families adopting eligible low-income children with special needs. An average of 327,900 children per month will be served in 2003.

Object Classification (in millions of dollars)				
Identification code 75-1545-0-1-609	2001 actual	2002 est.	2003 est.	
25.1	Advisory and assistance services	6	7	11
25.2	Other services	2	2	3
41.0	Grants, subsidies, and contributions	5,578	6,158	6,655
99.9	Total new obligations	5,586	6,167	6,669

ADMINISTRATION ON AGING

Federal Funds

General and special funds:

AGING SERVICES PROGRAMS

For carrying out, to the extent not otherwise provided, the Older Americans Act of 1965, as amended, and section 398 of the Public Health Service Act, **[\$1,199,814,000]** \$1,342,357,000, of which \$5,000,000 shall be available for activities regarding medication management, screening, and education to prevent incorrect medication and adverse drug reactions; and of which \$149,670,000 shall be allocated to States consistent with the formula in section 311 of the Older Americans Act of 1965. (Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 75-0142-0-1-506	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
01.01	Supportive services and centers	325	357	357
01.02	Preventive health	21	21	21
01.03	National family caregiver support program	125	142	142
01.05	Congregate meals	378	390	492
01.06	Home-delivered meals	152	177	225
01.08	Grants to Indian tribes	23	26	28
01.09	Training, research, and discretionary projects	36	38	28
01.10	Aging network support activities	2	2	2
01.11	Federal administration	18	19	19
01.13	Alzheimer's disease demonstration grants to States	9	11	11
01.14	Grants to States for the protection of vulnerable older Americans	14	18	18
10.00	Total new obligations	1,104	1,201	1,342

Budgetary resources available for obligation:

21.40	Unobligated balance carried forward, start of year	2	2	2
22.00	New budget authority (gross)	1,104	1,201	1,342
23.90	Total budgetary resources available for obligation	1,106	1,203	1,344
23.95	Total new obligations	-1,104	-1,201	-1,342
24.40	Unobligated balance carried forward, end of year	2	2	1

New budget authority (gross), detail:

Discretionary:				
40.00	Appropriation	1,104	1,201	1,342

Change in obligated balances:

72.40	Obligated balance, start of year	303	456	516
73.10	Total new obligations	1,104	1,201	1,342
73.20	Total outlays (gross)	-953	-1,137	-1,295
73.40	Adjustments in expired accounts (net)	1	-4	
74.40	Obligated balance, end of year	456	516	563

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	680	728	829
86.93	Outlays from discretionary balances	273	409	466
87.00	Total outlays (gross)	953	1,137	1,295

Net budget authority and outlays:

89.00	Budget authority	1,104	1,201	1,342
90.00	Outlays	953	1,137	1,295

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	1,103	1,200	1,341
90.00	Outlays	952	1,136	1,294

Administration on Aging.—The proposed level will provide continued funding for core formula grant programs that provide nutrition, supportive services and caregiver support services through the aging network. AoA programs are part of a comprehensive system of support for older people and their families.

In order to improve program management, the budget proposes to merge funding from the smaller Department of Agriculture nutrition program for the elderly with AOA's nutrition programs. Although both Departments currently fund these services, HHS is the lead agency and has greater interaction with service providers. This merger will improve program oversight and streamline reporting requirements.

Object Classification (in millions of dollars)

Identification code 75-0142-0-1-506	2001 actual	2002 est.	2003 est.	
11.1	Personnel compensation: Full-time permanent	9	10	10
12.1	Civilian personnel benefits	3	3	3
23.1	Rental payments to GSA	2	2	2
25.1	Advisory and assistance services	2	3	2
25.2	Other services	3	2	3
25.3	Other purchases of goods and services from Government accounts	3	3	3
41.0	Grants, subsidies, and contributions	1,082	1,178	1,319
99.9	Total new obligations	1,104	1,201	1,342

Personnel Summary

Identification code 75-0142-0-1-506	2001 actual	2002 est.	2003 est.	
Direct:				
1001	Total compensable workyears: Full-time equivalent employment	115	119	115
Allocation account:				
3001	Total compensable workyears: Full-time equivalent employment	5	5	5

DEPARTMENTAL MANAGEMENT

Federal Funds

General and special funds:

GENERAL DEPARTMENTAL MANAGEMENT

For necessary expenses, not otherwise provided, for general departmental management, including hire of six sedans, and for carrying out titles III, XVII, and XX of the Public Health Service Act, and the United States-Mexico Border Health Commission Act, **[\$341,703,000]** \$387,880,000, together with \$5,851,000 to be transferred and expended as authorized by section 201(g)(1) of the Social Security Act from the Hospital Insurance Trust Fund and the Supplemental Medical Insurance Trust Fund; and \$52,951,000 to be transferred from the accounts of the National Institutes of Health and \$1,610,000 to be transferred from "Healthcare Research and Quality" for carrying out public affairs and legislative affairs activities of the National Institutes of Health and the Agency for Healthcare Research and Quality, respectively: Provided, That of the funds made available under this heading for carrying out title XX of the Public Health Service Act, \$11,885,000 shall be for activities specified under section 2003(b)(2), of which \$10,157,000 shall be for prevention service demonstration grants under section 510(b)(2) of title V of the Social Security Act, as amended, without application of the limitation of section 2010(c) of said title XX: Provided further, That of this amount, \$50,000,000 is for minority AIDS prevention and treatment activities; and **[\$21,998,000]** \$19,938,000 shall be for an Information Technology Security and Innovation Fund for Department-wide activities

General and special funds—Continued

GENERAL DEPARTMENTAL MANAGEMENT—Continued

involving cybersecurity, information technology security, and related innovation projects. (*Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.*)

OFFICE FOR CIVIL RIGHTS

For expenses necessary for the Office for Civil Rights, **[\$28,691,000] \$32,260,000**, together with not to exceed \$3,314,000 to be transferred and expended as authorized by section 201(g)(1) of the Social Security Act from the Hospital Insurance Trust Fund and the Supplemental Medical Insurance Trust Fund. (*Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.*)

POLICY RESEARCH

For carrying out, to the extent not otherwise provided, research studies under section 1110 of the Social Security Act and title III of the Public Health Service Act, **[\$2,500,000] \$2,499,000**: *Provided*, That in addition to amounts provided herein, **[funds] \$18,000,000** shall be available from amounts available under section 241 of the Public Health Service Act **[may be used]** to carry out national health or human services research and evaluation activities: *Provided further*, That the expenditure of any funds available under section 241 of the Public Health Service Act are subject to the requirements of section 205 of this Act. (*Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.*)

PUBLIC HEALTH AND SOCIAL SERVICES EMERGENCY FUND

For expenses necessary to support activities related to countering potential biological, disease and chemical threats to civilian populations, **[\$242,949,000] \$242,949,000**: *Provided*, That this amount is distributed as follows: Centers for Disease Control and Prevention, \$181,919,000, of which \$52,000,000 shall remain available until expended for the National Pharmaceutical Stockpile; and Office of Emergency Preparedness, \$61,030,000 **[\$2,295,184,000]**. (*Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.*)

HEALTH FACILITIES CONSTRUCTION AND MANAGEMENT FUND

For the study of, construction of, renovation of, and acquisition of equipment for, facilities of the Centers for Disease Control and Prevention and the National Institutes of Health, including the acquisition of real property, as well as extramural facilities construction grants and security improvements for Federal and non-Federal laboratories, \$1,056,980,000, to remain available until expended, of which \$632,800,000 shall be derived by transfer from the NIH Buildings and Facilities account, \$150,000,000 shall be derived by transfer from the National Institutes of Allergy and Infectious Diseases account and is for extramural bioterrorism and infectious disease research facility construction and renovation; \$5,000,000 shall be derived by transfer from the National Cancer Institute account; \$8,180,000 shall be derived by transfer from the National Center for Minority Health and Health Disparities account; and \$77,000,000 shall be derived by transfer from the National Center for Research Resources account; *Provided*, That after notice thereof is transmitted to Congress, the Secretary of HHS may transfer funds in this account among the purposes and activities of the account.

[PUBLIC HEALTH AND SOCIAL SERVICES EMERGENCY FUND]

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, to provide grants to public entities, not-for-profit entities, and Medicare and Medicaid enrolled suppliers and institutional providers to reimburse for health care related expenses or lost revenues directly attributable to the public health emergency resulting from the September 11, 2001, terrorist acts, for "Public Health and Social Services Emergency Fund", \$140,000,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38: *Provided*, That none of the costs have been reimbursed or are eligible for reimbursement from other sources.]

[For emergency expenses necessary to support activities related to countering potential biological, disease, and chemical threats to civilian populations, for "Public Health and Social Services Emergency Fund", \$2,504,314,000, to remain available in Public Law 107-38. Of this amount, \$865,000,000 shall be for the Centers for Disease Control and Prevention for improving State and local capacity; \$135,000,000 shall be for grants to improve hospital capacity to re-

spond to bioterrorism; \$100,000,000 shall be for upgrading capacity at the Centers for Disease Control and Prevention, including research: *Provided*, That up to \$10,000,000 of this amount shall be for the tracking and control of biological pathogens; \$85,000,000 shall be for the National Institute of Allergy and Infectious Diseases for bioterrorism-related research and development and other related needs; \$70,000,000 shall be for the National Institute of Allergy and Infectious Diseases for the construction of a biosafety laboratory and related infrastructure costs; \$593,000,000 shall be for the National Pharmaceutical Stockpile; \$512,000,000 shall be for the purchase of smallpox vaccine; \$71,000,000 shall be for improving laboratory security at the National Institutes of Health and the Centers for Disease Control and Prevention; \$7,500,000 shall be for environmental hazard control activities conducted by the Centers for Disease Control and Prevention; \$10,000,000 shall be for the Substance Abuse and Mental Health Services Administration; and \$55,814,000 shall be for bioterrorism preparedness and disaster response activities in the Office of the Secretary. At the discretion of the Secretary, these amounts may be transferred between categories subject to normal reprogramming procedures.] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 75-9912-0-1-551	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct activities:			
00.01	309	357	393
00.02	29	34	36
00.03	16	3	2
00.04	291	2,887	2,295
00.05			184
09.01	119	144	141
10.00	764	3,425	3,051
Budgetary resources available for obligation:			
21.40	55	111	108
22.00	852	3,422	3,051
22.10			
	3		
22.21	-32		
22.22	4		
23.90	882	3,533	3,159
23.95	-764	-3,425	-3,051
23.98	-5		
24.40	111	108	108
New budget authority (gross), detail:			
Discretionary:			
40.00	577	626	2,901
40.15		2,644	
40.71		-1	
40.75	-1		
42.00	145		
43.00	721	3,269	2,901
Spending authority from offsetting collections:			
68.00	82	153	150
68.10	49		
68.90	131	153	150
70.00	852	3,422	3,051
Change in obligated balances:			
72.40	528	607	2,270
73.10	764	3,425	3,051
73.20	-680	-1,762	-2,514
73.40	3		
73.45	-3		
74.00	-49		
74.10	44		
74.40	607	2,270	2,807
Outlays (gross), detail:			
86.90	386	1,334	1,170
86.93	294	429	1,344

87.00	Total outlays (gross)	680	1,762	2,514
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-128	-153	-150
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-49		
88.96	Portion of offsetting collections (cash) credited to expired accounts	46		
Net budget authority and outlays:				
89.00	Budget authority	721	3,269	2,901
90.00	Outlays	553	1,609	2,364

(Dollars in millions)

	2001 actual	2002 est.	2003 est.
Distribution of budget authority by account:			
General Departmental Management	302	340	379
Legislative/Public Affairs Consolidation: non-add	(--)	(--)	(28)
Office for Civil Rights	25	29	30
Policy Research	17	2	2
Public Health and Social Services Emergency Fund	367	2,887	2,295
Health Facilities Construction and Management Fund			184
Distribution of outlays by account:			
General Departmental Management	195	289	342
Legislative/Public Affairs Consolidation: non-add	(--)	(--)	(25)
Office for Civil Rights	22	28	30
Policy research	7	22	17
Public Health and Social Services Emergency Fund	318	1,259	1,936
Health Facilities Construction and Management Fund			28

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	711	3,258	2,890
90.00	Outlays	542	1,598	2,353

Departmental management (DM) is a consolidated display of accounts that fund activities which provide leadership, policy, legal, and administrative guidance to HHS components; carry out the Department's civil rights and nondiscrimination enforcement programs; and support research to develop policy initiatives and improve existing HHS programs. DM also includes the activities of the Office of Public Health and Science, including adolescent family life, disease prevention and health promotion, physical fitness and sports, minority health, research integrity, women's health, emergency preparedness, as well as programs to counter bioterrorist threats.

The 2003 Budget creates a new HHS Health Facilities Construction and Management Fund within the Office of the Secretary. Through this mechanism, the Department will prioritize and fund health facility construction projects competitively across the National Institutes of Health and the Centers for Disease Control and Prevention. In addition, HHS will establish a process to include the Indian Health Service (IHS) and the Food and Drug Administration (FDA) facility projects in its Department-wide priority-setting process, with the goal of including funding for IHS and FDA facilities in this Fund in the 2004 Budget.

Object Classification (in millions of dollars)

Identification code 75-9912-0-1-551	2001 actual	2002 est.	2003 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	95	118	142
11.3	Other than full-time permanent	5	5	4
11.5	Other personnel compensation	2	4	4
11.9	Total personnel compensation	102	127	150
12.1	Civilian personnel benefits	30	37	43
21.0	Travel and transportation of persons	5	9	10
22.0	Transportation of things		1	1
23.1	Rental payments to GSA	14	13	14

23.2	Rental payments to others	3		3
23.3	Communications, utilities, and miscellaneous charges	6	4	4
24.0	Printing and reproduction	2	4	4
25.1	Advisory and assistance services	15	26	30
25.2	Other services	37	91	95
25.3	Other purchases of goods and services from Government accounts	73	35	46
25.4	Operation and maintenance of facilities	5	77	46
25.5	Research and development contracts	11	10	16
25.7	Operation and maintenance of equipment	5	8	8
26.0	Supplies and materials	6	11	12
31.0	Equipment	8	28	23
41.0	Grants, subsidies, and contributions	76	98	84
99.0	Direct obligations	395	582	589
99.0	Reimbursable obligations	119	144	141
Allocation Account:				
Personnel compensation:				
11.1	Full-time permanent	16	26	19
11.3	Other than full-time permanent	2	2	
Other personnel compensation:				
11.5	Other personnel compensation	1	1	
11.5	Other personnel compensation			
11.9	Total personnel compensation	19	29	19
12.1	Civilian personnel benefits	6	8	5
21.0	Travel and transportation of persons	2	6	5
22.0	Transportation of things		2	2
23.2	Rental payments to others			1
23.3	Communications, utilities, and miscellaneous charges	3	5	2
24.0	Printing and reproduction		2	
25.1	Advisory and assistance services	12	41	49
25.2	Other services	6	582	126
25.3	Other purchases of goods and services from Government accounts	62	520	237
25.4	Operation and maintenance of facilities		4	
25.5	Research and development contracts	25	53	
25.7	Operation and maintenance of equipment	1	1	
26.0	Supplies and materials	3	21	17
31.0	Equipment	11	46	37
41.0	Grants, subsidies, and contributions	100	1,379	1,821
99.0	Allocation account	250	2,699	2,321
99.9	Total new obligations	764	3,425	3,051

Personnel Summary

Identification code 75-9912-0-1-551	2001 actual	2002 est.	2003 est.	
Direct:				
1001	Total compensable workyears: Full-time equivalent employment	1,359	1,494	1,746
Reimbursable:				
2001	Total compensable workyears: Full-time equivalent employment	173	235	234
Allocation account:				
3001	Total compensable workyears: Full-time equivalent employment	61	75	75

ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriation as follows: Centers for Medicare and Medicaid Services "Health Care Fraud and Abuse Control Account."

PROGRAM SUPPORT CENTER

General and special funds:

[RETIREMENT PAY AND] MEDICAL BENEFITS FOR COMMISSIONED OFFICERS

For [retirement pay and] medical benefits of Public Health Service Commissioned Officers as authorized by law, [for payments under the Retired Serviceman's Family Protection Plan and Survivor Benefit Plan,] and for medical care of dependents and retired personnel under the Dependents' Medical Care Act (10 U.S.C. ch. 55), [and for payments pursuant to section 229(b) of the Social Security Act (42 U.S.C. 429(b)),] such amounts as may be required during the current fiscal year. (Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.)

General and special funds—Continued

[RETIREMENT PAY AND] MEDICAL BENEFITS FOR COMMISSIONED OFFICERS—Continued

Program and Financing (in millions of dollars)

Identification code 75-0379-0-1-551	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Retirement payments	194	208	
00.02 Survivors' benefits	11	12	
00.03 Medical care	40	41	15
00.04 Military service credits	1	1	1
10.00 Total new obligations	246	262	16
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	250	262	16
23.95 Total new obligations	-246	-262	-16
23.98 Unobligated balance expiring or withdrawn	-4		
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	250	262	16
Change in obligated balances:			
72.40 Obligated balance, start of year	6	20	26
73.10 Total new obligations	246	262	16
73.20 Total outlays (gross)	-241	-256	-17
73.40 Adjustments in expired accounts (net)	8		
74.40 Obligated balance, end of year	20	26	25
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	223	237	14
86.98 Outlays from mandatory balances	18	19	3
87.00 Total outlays (gross)	241	256	17
Net budget authority and outlays:			
89.00 Budget authority	250	262	16
90.00 Outlays	241	256	17
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	250	262	251
90.00 Outlays	241	256	252

This activity funds annuities of retired Public Health Service (PHS) commissioned officers and survivors of retirees, and medical care to active duty PHS commissioned officers, retirees, and dependents of members and retirees of the PHS Commissioned Corps.

As part of the Administration's initiative to reflect full accrual of retirement costs, legislation is proposed to establish a new retirement fund to be known as the "Public Health Service Commissioned Corps Retirement Fund." Budget year data are presented in that new account.

Object Classification (in millions of dollars)

Identification code 75-0379-0-1-551	2001 actual	2002 est.	2003 est.
13.0 Benefits for former personnel	205	220	
25.3 Other purchases of goods and services from Government accounts	1	1	1
25.6 Medical care	40	41	15
99.9 Total new obligations	246	262	16

PAYMENT TO PUBLIC HEALTH SERVICE COMMISSIONED CORPS RETIREMENT SYSTEM

Program and Financing (in millions of dollars)

Identification code 75-0367-0-1-551	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 92.0)			132
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			132
23.95 Total new obligations			-132
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation			132
Change in obligated balances:			
73.10 Total new obligations			132
73.20 Total outlays (gross)			-132
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority			132
Net budget authority and outlays:			
89.00 Budget authority			132
90.00 Outlays			132

PUBLIC HEALTH SERVICE COMMISSIONED CORPS RETIREMENT FUND

Unavailable Collections (in millions of dollars)

Identification code 75-5371-0-2-602	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.40 Agency contributions			104
02.42 General fund payment			132
02.99 Total receipts and collections			236
Appropriations:			
05.00 Public Health Service commissioned officers corps retirement fund			-235
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 75-5371-0-2-602	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program Activity			222
00.02 Direct Program Activity			13
10.00 Total new obligations			235
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			235
23.95 Total new obligations			-235
New budget authority (gross), detail:			
Mandatory:			
60.20 Appropriation (special fund)			235
Change in obligated balances:			
73.10 Total new obligations			235
73.20 Total outlays (gross)			-235
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority			213
86.98 Outlays from mandatory balances			22
87.00 Total outlays (gross)			235
Net budget authority and outlays:			
89.00 Budget authority			235
90.00 Outlays			235

HEALTH ACTIVITIES FUNDS

Program and Financing (in millions of dollars)

Identification code 75-9913-0-1-552	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	7	7	7
24.40 Unobligated balance carried forward, end of year	7	7	7
Change in obligated balances:			
72.40 Obligated balance, start of year	2	2	2
74.40 Obligated balance, end of year	2	2	2
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

This display shows activities in support of St. Elizabeths Hospital and scientific activities overseas that were supported by foreign currencies by the United States abroad.

Intragovernmental funds:

HHS SERVICE AND SUPPLY FUND

Program and Financing (in millions of dollars)

Identification code 75-9941-0-4-551	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Program support center	273	297	325
09.02 Federal employee occupational health	99	121	131
09.03 OS activities	7	7	8
10.00 Total new obligations	379	425	464
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	56	69	69
22.00 New budget authority (gross)	381	425	464
22.10 Resources available from recoveries of prior year obligations	11		
23.90 Total budgetary resources available for obligation	448	494	533
23.95 Total new obligations	-379	-425	-464
24.40 Unobligated balance carried forward, end of year	69	69	69
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	367	425	464
69.10 Change in uncollected customer payments from Federal sources (unexpired)	14		
69.90 Spending authority from offsetting collections (total mandatory)	381	425	464
Change in obligated balances:			
72.40 Obligated balance, start of year	-3	-15	-15
73.10 Total new obligations	379	425	464
73.20 Total outlays (gross)	-366	-425	-464
73.45 Recoveries of prior year obligations	-11		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-14		
74.40 Obligated balance, end of year	-15	-15	-15
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	366	425	464
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-367	-425	-464
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-14		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-1		

The HHS Service and supply fund (SSF) provides common centralized services to HHS components. The Program sup-

port center (PSC) line includes activities such as personnel and payroll administration, financial management operations, and a wide array of administrative services, including acquisitions management, building and property management, and technical support. The Federal occupational health (FOH) program is also funded through the SSF. FOH includes clinical services, environmental health services and employee assistance programs. The Office of the Secretary (OS) activities line includes the fund manager, departmental contracts and grants, audit resolution, and the regional health administrators.

Object Classification (in millions of dollars)

Identification code 75-9941-0-4-551	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	70	81	79
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	2	3	4
11.9 Total personnel compensation	74	86	85
12.1 Civilian personnel benefits	27	28	28
21.0 Travel and transportation of persons	2	3	4
22.0 Transportation of things	2	2	2
23.1 Rental payments to GSA	12	13	13
23.3 Communications, utilities, and miscellaneous charges	7	9	9
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	11	13	14
25.2 Other services	95	101	119
25.3 Other purchases of goods and services from Government accounts	27	29	33
25.4 Operation and maintenance of facilities	13	14	16
25.6 Medical care	33	40	44
26.0 Supplies and materials	66	77	85
31.0 Equipment	9	9	11
99.9 Total new obligations	379	425	464

Personnel Summary

Identification code 75-9941-0-4-551	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	1,195	1,318	1,267

Trust Funds

MISCELLANEOUS TRUST FUNDS

Unavailable Collections (in millions of dollars)

Identification code 75-9971-0-7-551	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.00 Gifts and contributions, miscellaneous trust funds	37	37	37
02.20 Contributions, Indian health facilities, Health Services Administration	32	32	32
02.40 Interest, Miscellaneous trust funds	1	1	1
02.99 Total receipts and collections	70	70	70
Appropriations:			
05.00 Miscellaneous trust funds	-70	-70	-70
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 75-9971-0-7-551	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Gifts	26	38	38
00.03 Contributions, Indian health facilities	27	32	32
10.00 Total new obligations	53	70	70
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	49	69	69
22.00 New budget authority (gross)	70	70	70

MISCELLANEOUS TRUST FUNDS—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 75-9971-0-7-551	2001 actual	2002 est.	2003 est.
22.10 Resources available from recoveries of prior year obligations	3		
23.90 Total budgetary resources available for obligation	122	139	139
23.95 Total new obligations	-53	-70	-70
24.40 Unobligated balance carried forward, end of year	69	69	69
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)	70	70	70
Change in obligated balances:			
72.40 Obligated balance, start of year	83	80	72
73.10 Total new obligations	53	70	70
73.20 Total outlays (gross)	-53	-78	-72
73.45 Recoveries of prior year obligations	-3		
74.40 Obligated balance, end of year	80	72	70
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	26	28	28
86.98 Outlays from mandatory balances	27	50	44
87.00 Total outlays (gross)	53	78	72
Net budget authority and outlays:			
89.00 Budget authority	70	70	70
90.00 Outlays	53	78	72
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value	20	25	25
92.02 Total investments, end of year: Federal securities: Par value	25	25	25

(Dollars in millions)

	2001	2002	2003
Distribution of budget authority by account:			
Gifts	38	38	38
Contributions, Indian health facilities	32	32	32
Distribution of outlays by account:			
Gifts	23	41	38
Contributions, Indian health facilities	30	37	34

Gifts to the Public health service are for the benefit of patients and for research. Contributions are made for the construction, improvement, extension, and provision of sanitation facilities.

Object Classification (in millions of dollars)

Identification code 75-9971-0-7-551	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	1	1	1
21.0 Travel and transportation of persons	1	1	1
22.0 Transportation of things	1	1	1
25.1 Advisory and assistance services		1	1
25.2 Other services	14	15	15
25.3 Other purchases of goods and services from Government accounts	1	1	1
25.4 Operation and maintenance of facilities	1	1	1
25.5 Research and development contracts	3	5	5
25.7 Operation and maintenance of equipment	8	10	10
26.0 Supplies and materials	1	2	2
31.0 Equipment	1	2	2
32.0 Land and structures	2	2	2
41.0 Grants, subsidies, and contributions	20	28	28
99.9 Total new obligations	53	70	70

Personnel Summary

Identification code 75-9971-0-7-551	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	12	12	12

OFFICE OF THE INSPECTOR GENERAL

General and special funds:

OFFICE OF INSPECTOR GENERAL

For expenses necessary for the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, **[\$35,786,000] \$49,972,000: Provided,** That, of such amount, necessary sums are available for providing protective services to the Secretary and investigating non-payment of child support cases for which non-payment is a Federal offense under 18 U.S.C. 228. (*Department of Health and Human Services Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 75-0128-0-1-551	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	42	45	50
09.01 Reimbursable program	16	17	12
10.00 Total new obligations	58	62	62
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	1
22.00 New budget authority (gross)	58	62	62
23.90 Total budgetary resources available for obligation	59	63	63
23.95 Total new obligations	-58	-62	-62
24.40 Unobligated balance carried forward, end of year	1	1	1
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	42	45	50
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	5	17	12
68.10 Change in uncollected customer payments from Federal sources (unexpired)	11		
68.90 Spending authority from offsetting collections (total discretionary)	16	17	12
70.00 Total new budget authority (gross)	58	62	62
Change in obligated balances:			
72.40 Obligated balance, start of year	-6	1	4
73.10 Total new obligations	58	62	62
73.20 Total outlays (gross)	-57	-59	-62
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-11		
74.10 Change in uncollected customer payments from Federal sources (expired)	18		
74.40 Obligated balance, end of year	1	4	4
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	55	58	58
86.93 Outlays from discretionary balances	3	1	4
87.00 Total outlays (gross)	57	59	62
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-24	-17	-12
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-11		
88.96 Portion of offsetting collections (cash) credited to expired accounts	19		
Net budget authority and outlays:			
89.00 Budget authority	42	45	50
90.00 Outlays	34	42	50

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	34	36	40
90.00 Outlays	26	33	40

The Office of Inspector General (OIG) identifies and recommends actions to correct fraud, waste, and abuse in HHS administered and assisted programs and operations through audits and investigations.

In addition to the discretionary resources appropriated to the OIG, the Health Insurance Portability and Accountability Act of 1996 makes available mandatory funding for use by the OIG to combat Medicare and Medicaid fraud and abuse through a coordinated Health care fraud and abuse control (HCFAC) program with the Department of Justice. The following table shows total funding resources for the OIG:

	(In millions of dollars)		
	2001 actual	2002 est.	2003 est.
Budget Authority:			
Discretionary appropriations	42	45	50
Mandatory (HCFAC Account)	130	145	160
Total	172	190	210
Outlays:			
Discretionary appropriations	34	42	50
Mandatory (HCFAC Account)	126	145	160
Total	160	187	210

This schedule reflects distribution of mandatory funds from the HCFAC account in 2002. Actual 2003 distribution will be determined by the Secretary of HHS and the Attorney General.

Object Classification (in millions of dollars)

Identification code 75-0128-0-1-551	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	19	22	24
12.1 Civilian personnel benefits	13	15	16
21.0 Travel and transportation of persons	2	1	2
23.1 Rental payments to GSA	3	3	3
25.3 Other purchases of goods and services from Government accounts	3	3	4
26.0 Supplies and materials	1		
31.0 Equipment	1	1	1
99.0 Direct obligations	42	45	50
99.0 Reimbursable obligations	16	17	12
99.9 Total new obligations	58	62	62

Personnel Summary

Identification code 75-0128-0-1-551	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	285	309	320
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	59	56	16
Allocation account:			
3001 Total compensable workyears: Full-time equivalent employment	1,099	1,223	1,305

ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriations as follows: Centers for Medicare and Medicaid Services "Health Care Fraud and Abuse Control Account."

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Offsetting receipts from the public:			
75-310700 Federal share of child support collections	856	765	789
Legislative proposal, subject to PAYGO			7
General Fund Offsetting receipts from the public	856	765	796

Intragovernmental payments:

20-310530 Quinquennial adjustment for military service credits, Federal hospital insurance	1,177		
General Fund Intragovernmental payments	1,177		

GENERAL PROVISIONS

SEC. 201. Funds appropriated in this title shall be available for not to exceed \$37,000 for official reception and representation expenses when specifically approved by the Secretary.

SEC. 202. The Secretary shall make available through assignment not more than 60 employees of the Public Health Service to assist in child survival activities and to work in AIDS programs through and with funds provided by the Agency for International Development, the United Nations International Children's Emergency Fund or the World Health Organization.

SEC. 203. None of the funds appropriated under this Act may be used to implement section [399L(b)] 399F(b) of the Public Health Service Act or section 1503 of the National Institutes of Health Revitalization Act of 1993, Public Law 103-43.

SEC. 204. None of the funds appropriated in this Act for the National Institutes of Health, the Agency for Healthcare Research and Quality, and the Substance Abuse and Mental Health Services Administration shall be used to pay the salary of an individual, through a grant or other extramural mechanism, at a rate in excess of Executive Level [I] II.

[SEC. 205. None of the funds appropriated in this Act may be expended pursuant to section 241 of the Public Health Service Act, except for funds specifically provided for in this Act, or for other taps and assessments made by any office located in the Department of Health and Human Services, prior to the Secretary's preparation and submission of a report to the Committee on Appropriations of the Senate and of the House detailing the planned uses of such funds.]

SEC. [206] 205. Notwithstanding section 241(a) of the Public Health Service Act, such portion as the Secretary shall determine, but not more than 1.25 percent, of any amounts appropriated for programs authorized under said Act shall be made available for the evaluation (directly, or by grants or contracts) of the implementation and effectiveness of such programs.

(TRANSFER OF FUNDS)

SEC. [207] 206. Not to exceed [1] 3 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985, as amended) which are appropriated for the current fiscal year for the Department of Health and Human Services in this or any other Act may be transferred between appropriations, but no such appropriation shall be increased by more than [3] 10 percent by any such transfer: *Provided*, [That an appropriation may be increased by up to an additional 2 percent subject to approval by the House and Senate Committees on Appropriations: *Provided further*,] That the Appropriations Committees of both Houses of Congress are notified at least 15 days in advance of any transfer.

SEC. [208] 207. The Director of the National Institutes of Health, jointly with the Director of the Office of AIDS Research, may transfer up to 3 percent among institutes, centers, and divisions from the total amounts identified by these two Directors as funding for research pertaining to the human immunodeficiency virus: *Provided*, That the Congress is promptly notified of the transfer.

SEC. [209] 208. Of the amounts made available in this Act for the National Institutes of Health, the amount for research related to the human immunodeficiency virus, as jointly determined by the Director of the National Institutes of Health and the Director of the Office of AIDS Research, shall be made available to the "Office of AIDS Research" account. The Director of the Office of AIDS Research shall transfer from such account amounts necessary to carry out section 2353(d)(3) of the Public Health Service Act.

SEC. [210] 209. None of the funds appropriated in this Act may be made available to any entity under title X of the Public Health Service Act unless the applicant for the award certifies to the Secretary that it encourages family participation in the decision of minors to seek family planning services and that it provides counseling to minors on how to resist attempts to coerce minors into engaging in sexual activities.

SEC. [211] 210. None of the funds appropriated by this Act (including funds appropriated to any trust fund) may be used to carry

(TRANSFER OF FUNDS)—Continued

out the Medicare+Choice program if the Secretary denies participation in such program to an otherwise eligible entity (including a Provider Sponsored Organization) because the entity informs the Secretary that it will not provide, pay for, provide coverage of, or provide referrals for abortions: *Provided*, That the Secretary shall make appropriate prospective adjustments to the capitation payment to such an entity (based on an actuarially sound estimate of the expected costs of providing the service to such entity's enrollees): *Provided further*, That nothing in this section shall be construed to change the Medicare program's coverage for such services and a Medicare+Choice organization described in this section shall be responsible for informing enrollees where to obtain information about all Medicare covered services.

SEC. [212] 211. Notwithstanding any other provision of law, no provider of services under title X of the Public Health Service Act shall be exempt from any State law requiring notification or the reporting of child abuse, child molestation, sexual abuse, rape, or incest.

[SEC. 213. The Foreign Operations, Export Financing, and Related Programs Appropriations Act, 1990 (Public Law 101-167) is amended—

(1) in section 599D (8 U.S.C. 1157 note)—

(A) in subsection (b)(3), by striking "1997, 1998, 1999, 2000, and 2001" and inserting "1997, 1998, 1999, 2000, 2001, and 2002"; and

(B) in subsection (e), by striking "October 1, 2001" each place it appears and inserting "October 1, 2002"; and

(2) in section 599E (8 U.S.C. 1255 note) in subsection (b)(2), by striking "September 30, 2001" and inserting "September 30, 2002".]

SEC. [214] 212. (a) Except as provided by subsection (e) none of the funds appropriated by this Act may be used to withhold substance abuse funding from a State pursuant to section 1926 of the Public Health Service Act (42 U.S.C. 300x-26) if such State certifies to the Secretary of Health and Human Services by May 1, [2002] 2003 that the State will commit additional State funds, in accordance with subsection (b), to ensure compliance with State laws prohibiting the sale of tobacco products to individuals under 18 years of age.

(b) The amount of funds to be committed by a State under subsection (a) shall be equal to 1 percent of such State's substance abuse block grant allocation for each percentage point by which the State misses the retailer compliance rate goal established by the Secretary of Health and Human Services under section 1926 of such Act.

(c) The State is to maintain State expenditures in fiscal year [2002] 2003 for tobacco prevention programs and for compliance activities at a level that is not less than the level of such expenditures maintained by the State for fiscal year [2001] 2002, and adding to that level the additional funds for tobacco compliance activities required under subsection (a). The State is to submit a report to the Secretary on all fiscal year [2001] 2002 State expenditures and all fiscal year [2002] 2003 obligations for tobacco prevention and compliance activities by program activity by July 31, [2002] 2003.

(d) The Secretary shall exercise discretion in enforcing the timing of the State obligation of the additional funds required by the certification described in subsection (a) as late as July 31, [2002] 2003.

(e) None of the funds appropriated by this Act may be used to withhold substance abuse funding pursuant to section 1926 from a territory that receives less than \$1,000,000.

SEC. [215] 213. In order for the Centers for Disease Control and Prevention to carry out international health activities, including HIV/AIDS and other infectious disease, chronic and environmental disease, and other health activities abroad during fiscal year [2002] 2003, the Secretary of Health and Human Services is authorized to—

(1) utilize the authorities contained in subsection 2(c) of the State Department Basic Authorities Act of 1956, as amended; and

(2) utilize the authorities contained in 22 U.S.C. 291 and 292 and directly or through contract or cooperative agreement to lease, alter or renovate facilities in foreign countries, to carry out programs supported by this appropriation notwithstanding PHS Act section 307.

In exercising the authority set forth in paragraphs (1) and (2), the Secretary of Health and Human Services shall consult with the Department of State to assure that planned activities are within the legal strictures of the State Department Basic Authorities Act of 1956, as amended, and other applicable parts of title 22, United States Code.

SEC. [216] 214. The Division of Federal Occupational Health may utilize personal services contracting to employ professional management/administrative and occupational health professionals.

[SEC. 217. Notwithstanding any other provision of law relating to vacancies in offices for which appointments must be made by the President, including any time limitation on serving in an acting capacity, the Acting Director of the National Institutes of Health as of January 12, 2000, may serve in that position until a new Director of the National Institutes of Health is confirmed by the Senate.]

[SEC. 218. Section 582 of the Public Health Service Act (42 U.S.C. 290hh-1(f)) is amended by adding at the end the following:

"(g) SHORT TITLE.—This section may be cited as the 'Donald J. Cohen National Child Traumatic Stress Initiative'".]

SEC. 215. *Of funds appropriated in this Act and awarded to a State under the Public Health Service Act and Title V of the Social Security Act, each State may transfer up to 20 percent of such funds between such grants: Provided, That funds for a single grant shall not be increased by more than 50 percent above the original grant award amount: Provided further, That a State exercising this transfer authority must notify the Secretary of Health and Human Services in writing at least 30 days prior to implementing such a transfer: Provided further, That the Secretary of Health and Human Services shall issue guidance to implement this transfer authority.*

SEC. 216. *With the funds appropriated to the National Institutes of Health in this Act, NIH is authorized to obligate in fiscal year 2003 the full multi-year cost of a grant or contract that is awarded in that year, and any funds which may be deobligated subsequently shall remain available until expended for the same purposes. (Department of Health and Human Services Appropriations Act, 2002.)*

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

This chapter presents the budget estimates and program justifications for the Department of Housing and Urban Development (HUD). HUD's core mission is to promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination. The 2003 Budget for HUD reflects the continuation of a multi-year comprehensive reform effort that will enhance the effectiveness of programs, reduce high unobligated and obligated balances, and return HUD to its core mission. Congress has provided significant increases in several HUD programs over the past three years.

The Department continues to emphasize expanding homeownership opportunities for all. Homeownership is addressed through FHA program efforts, recent public housing homeownership opportunities, HOPE VI, and expanded housing counseling. In addition, the HOME Investment Partnerships Program is increased by \$238 million. This increase includes a four-fold increase for the down payment initiative. The 2003 budget increases the Self-Help Homeownership Opportunity Program (SHOP) three-fold in an effort to accelerate homeownership by lower-income families.

The 2003 budget proposes to allow housing authorities to use up to \$120 million from the Capital Fund and up to \$130 million from the Operating Fund for a new initiative to facilitate the financing of capital improvements and to encourage development-based financial management. This initiative will improve physical conditions for public housing residents by enabling housing authorities to attract private capital to address the existing \$20 billion backlog in capital housing improvement needs. It will also put these developments on a sound management and financial footing by focusing on property-based planning and management. These objectives would be achieved by authorizing HUD to approve, on a property-by-property basis, housing authority requests to convert public housing developments or portions of developments to project-based voucher assistance. Converting to project-based vouchers also would relieve housing authorities from many of the complex rules governing the public housing programs, and would give residents of converted developments additional housing choices, since they could choose to move with their vouchers after one year. This initiative will make it possible for housing authorities to secure private financing to rehabilitate their aging properties by using each property to secure private loans for capital improvements. The capital needs of a substantial part of the public housing stock may be addressed through private financing, without significant annual subsidy increases. The initial year of any contract for project-based assistance under this proposal may be funded with amounts appropriated for the public housing Capital Fund or Operating Fund or from other amounts appropriated for this purpose. Any renewal of such contracts would be funded in the future with amounts appropriated for Section 8 vouchers.

The 2003 budget includes 34,000 incremental vouchers. In addition, this Administration reaffirms the long-held commitment to renew all expiring Section 8 contracts, to protect residents from displacement by substantially increasing funding for Section 8 renewals, to provide Section 8 tenant-based assistance for displaced families, and for the replacement of affordable housing due to opt-outs from the project-based Section 8 program. The Department will also continue to fully renew contracts to assist rental of low-income elderly units

in the Housing for the Elderly Program (under Section 202 of the Housing Act of 1959).

This year HUD commits itself to addressing the problem of chronic homelessness. The Department is proposing legislative language that would consolidate its homeless assistance programs into a single grant program. This reform will improve service delivery and further support the announced goal of ending chronic homelessness in ten years.

As part of the 2003 budget, the Administration is transferring administration of the Emergency Food and Shelter Program from the Federal Emergency Management Agency (FEMA), to HUD. This transfer will allow for better coordination of homeless assistance.

Funding in the 2003 budget for the Fair Housing Assistance and Fair Housing Initiatives programs (FHAP and FHIP) will strengthen the ability of public and private fair housing groups, and partnerships between them, to enforce the laws protecting all Americans against illegal housing discrimination. Funds dedicated in past budgets to completing a national survey of housing discrimination will be redirected in 2003 to both FHIP and FHAP, with emphasis on increasing enforcement efforts and addressing accessibility issues.

The Department proposes a \$16 million initiative to improve housing and economic conditions in the Colonias area, which is largely funded by shifting resources from wealthier communities within the Community Development Block Grants program (CDBG).

HUD is one of five Departments that are leading the Federal Government in tapping the potential of faith-based and community organizations to improve housing and help develop communities.

The 2003 budget includes a 20 percent increase in lead hazard reduction grants to continue the ten-year program to eradicate lead hazards in housing.

In order to ensure the effective implementation of its programs, the Department's Office of Policy Development and Research (PD&R) will be provided with funds necessary to ensure timely provision of data, provide research and analysis of national housing and economic conditions, and measure the performance of programs, consistent with the Government Performance and Results Act of 1994.

The Department will continue the management reform effort initiated in 2001 and undertake further efforts in 2003 to refocus HUD on its core mission and key programs as part of a continuing series of planned reforms to improve program performance.

PUBLIC AND INDIAN HOUSING

Federal Funds

General and special funds:

HOUSING CERTIFICATE FUND

(INCLUDING TRANSFER AND RESCISSION OF FUNDS)

[For activities and assistance to prevent the involuntary displacement of low-income families, the elderly and the disabled because of the loss of affordable housing stock, expiration of subsidy contracts (other than contracts for which amounts are provided under another heading in this Act) or expiration of use restrictions, or other changes in housing assistance arrangements, and for other purposes, \$16,280,975,000, of which \$640,000,000 shall be from unobligated balances from amounts recaptured from fiscal year 2000 and prior years pursuant to a reduction in the amounts provided for Annual Contributions Contract Reserve Accounts, and amounts that are re-

General and special funds—Continued

HOUSING CERTIFICATE FUND—Continued

(INCLUDING TRANSFER AND RESCISSION OF FUNDS)—Continued

captured in this account to remain available until expended: *Provided*, That not later than October 1, 2001, the Department of Housing and Urban Development shall reduce from 60 days to 30 days the amount of reserve funds made available to public housing authorities: *Provided further*, That of the total amount provided under this heading, \$16,071,975,000, of which \$11,231,975,000 and the aforementioned recaptures shall be available on October 1, 2001 and \$4,200,000,000 shall be available on October 1, 2002, shall be for assistance under the United States Housing Act of 1937, as amended (“the Act” herein) (42 U.S.C. 1437 et seq.): *Provided further*, That the foregoing amounts shall be for use in connection with expiring or terminating section 8 subsidy contracts, for amendments to section 8 subsidy contracts, for enhanced vouchers (including amendments and renewals) under any provision of law authorizing such assistance under section 8(t) of the Act (42 U.S.C. 1437f(t)), contract administrators, and contracts entered into pursuant to section 441 of the McKinney-Vento Homeless Assistance Act: *Provided further*, That amounts available under the second proviso under this heading shall be available for section 8 rental assistance under the Act: (1) for the relocation and replacement of housing units that are demolished or disposed of pursuant to the Omnibus Consolidated Rescissions and Appropriations Act of 1996 (Public Law 104–134; Stat. 1321–269); (2) for the conversion of section 23 projects to assistance under section 8; (3) for funds to carry out the family unification program; (4) for the relocation of witnesses in connection with efforts to combat crime in public and assisted housing pursuant to a request from a law enforcement or prosecution agency; (5) for tenant protection assistance, including replacement and relocation assistance; and (6) for the 1-year renewal of section 8 contracts for units in projects that are subject to approved plans of action under the Emergency Low Income Housing Preservation Act of 1987 or the Low-Income Housing Preservation and Resident Homeownership Act of 1990: *Provided further*, That of the total amount provided under this heading, no less than \$13,400,000 shall be transferred to the Working Capital Fund for the development and maintenance of information technology systems: *Provided further*, That of the total amount provided under this heading, \$143,979,000 shall be made available for incremental vouchers under section 8 of the Act, of which \$103,979,000 shall be made available on a fair share basis to those public housing agencies that have no less than a 97 percent occupancy rate; and of which \$40,000,000 shall be made available to nonelderly disabled families affected by the designation of a public housing development under section 7 of the Act, the establishment of preferences in accordance with section 651 of the Housing and Community Development Act of 1992 (42 U.S.C. 13611), or the restriction of occupancy to elderly families in accordance with section 658 of such Act (42 U.S.C. 13618), and to the extent the Secretary determines that such amount is not needed to fund applications for such affected families, to other nonelderly disabled families: *Provided further*, That up to \$195,601,000 from amounts made available under this heading may be made available for contract administrators: *Provided further*, That amounts available under this heading may be made available for administrative fees and other expenses to cover the cost of administering rental assistance programs under section 8 of the Act:]

For assistance under the United States Housing Act of 1937, as amended (42 U.S.C. 1437 et seq.) (“Act”) not otherwise provided for, \$17,526,559,000 and amounts recaptured in this account, to remain available until expended; of which \$204,170,000 shall be for vouchers under section 8 of the Act, including incremental vouchers (1) to be made available on a fair share basis to Public Housing Agencies that have no less than a 97 percent utilization rate, (2) to be used for down-payment assistance pursuant to section 8(y)(7) of the Act, not to exceed \$15,000,000, (3) to facilitate community-based living alternatives for individuals with disabilities, not to exceed \$6,000,000 (4) to be made available to non-elderly disabled families affected by the designation of a public housing development under section 7 of the Act, or the establishment of preferences in accordance with section 651 of the Housing and Community Development Act of 1992 (42 U.S.C. 13611), not to exceed \$40,000,000, and (5) to be made available to homeless veterans in accordance with the Homeless Veterans Comprehensive Assistance Act of 2001; of which up to \$196,000,000 is for contract administrators; of which \$3,000,000 shall be transferred to the Working Capital fund for development of and modifications to information technology systems which serve activities under “Public

*and Indian Housing.”; and of which \$17,123,389,000 is for expiring section 8 subsidy contracts, for amendments to section 8 subsidy contracts, for enhanced vouchers (including amendments and renewals) under any provision of law authorizing such assistance under section 8(t) of the Act (42 U.S.C. 1437f(t)), for contracts entered into pursuant to section 441 of the McKinney-Vento Homeless Assistance Act, and for section 8 rental assistance, including: (1) relocation and replacement of housing units that are demolished or disposed of pursuant to the Omnibus Consolidated Rescissions and Appropriations Act of 1996 (Public Law 104–134); (2) conversion of section 23 projects to assistance under section 8; (3) to carry out the family unification program; (4) relocation of witnesses in connection with efforts to combat crime in public and assisted housing pursuant to a request from law enforcement or prosecution agency; (5) tenant protection assistance, including replacement and relocation assistance; and (6) the 1-year renewal of section 8 contracts for units in projects that are subject to approved plans of action under Emergency Low-Income Housing Preservation Act of 1987 or the Low-Income Housing Preservation and Resident Homeownership Act of 1990; *Provided*, That of the \$17,123,389,350 herein, \$4,200,000,000 shall become available on October 1, 2003: *Provided further*, That the fee otherwise authorized under section 8(q) of the Act shall be determined in accordance with section 8(q), as in effect immediately before the enactment of the Quality Housing and Work Responsibility Act of 1998: *Provided further*, That \$1,200,000,000 is rescinded from unobligated balances remaining from funds appropriated to the Department of Housing and Urban Development under this heading or the heading “Annual contributions for assisted housing” or any other heading for fiscal year [2001] 2002 and prior years, to be effected by the Secretary no later than September 30, 2003: *Provided further*, That any such balances governed by reallocation provisions under the statute authorizing the program for which the funds were originally appropriated shall not be available for this rescission: *Provided further*, That the Secretary shall have until September 30, 2002, to meet the rescission in the proviso preceding the immediately preceding proviso:] *Provided further*, That any obligated balances of contract authority that have been terminated shall be canceled. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.)*

Program and Financing (in millions of dollars)			
Identification code 86–0319–0–1–604	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Contract renewals	14,419	15,971	16,864
00.02 Contract Administrator	146	241	196
00.03 Rental Assistance	255	274	260
00.04 Preservation Amendment		2	
00.05 Section 514 Technical Assistance	8	10	
00.06 Non-Elderly Disabled	56	40	
00.07 Welfare to Work	1		
00.08 Regional Opportunity Counseling		10	
00.09 Section 8 Amendment	279	77	
00.10 Lead-Based paint	2		
00.11 Incremental vouchers	452	104	204
00.12 Other	28	2	
00.13 Job Plus	1	6	
00.14 Working Capital Fund	11	13	3
00.15 Section 8 Counseling	9	3	
00.16 Relocation/Replacement/Demolition	2		
00.17 Home (City of New Rochelle)		5	
10.00 Total new obligations (object class 41.0)	15,669	16,758	17,527
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2,951	1,676	
22.00 New budget authority (gross)	11,970	14,441	16,427
22.10 Resources available from recoveries of prior year obligations	2,578	641	1,100
22.75 Balance of contract authority withdrawn	–154		
23.90 Total budgetary resources available for obligation	17,345	16,758	17,527
23.95 Total new obligations	–15,669	–16,758	–17,527
24.40 Unobligated balance carried forward, end of year	1,676		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	9,741	11,441	13,327
40.35 Appropriation rescinded	–31		
40.36 Unobligated balance rescinded	–1,940	–1,200	–1,100
43.00 Appropriation (total discretionary)	7,770	10,241	12,227

55.00	Advance appropriation	4,200	4,200	4,200
	Mandatory:			
60.00	Appropriation	5,000	5,000	5,000
60.49	Portion applied to liquidate contract authority	-5,000	-5,000	-5,000
62.50	Appropriation (total mandatory)			
70.00	Total new budget authority (gross)	11,970	14,441	16,427
Change in obligated balances:				
72.40	Obligated balance, start of year	46,154	42,520	40,866
73.10	Total new obligations	15,669	16,758	17,527
73.20	Total outlays (gross)	-16,720	-17,771	-18,339
73.40	Adjustments in expired accounts (net)	-5		
73.45	Recoveries of prior year obligations	-2,578	-641	-1,100
74.40	Obligated balance, end of year	42,520	40,866	38,954
75.01	Obligated balance, start of year: Contract authority	31,583	26,429	21,429
75.02	Obligated balance, end of year: Contract authority	26,429	21,429	16,429
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	5,425	6,350	6,840
86.93	Outlays from discretionary balances	11,295	11,421	11,499
87.00	Total outlays (gross)	16,720	17,771	18,339
Net budget authority and outlays:				
89.00	Budget authority	11,970	14,441	16,427
90.00	Outlays	16,720	17,771	18,339

The funds requested could support the following activities for 2003, as shown in the table below.

HOUSING CERTIFICATE FUND

(Budget Authority Request for FY 2003, \$ in Millions)

	Units	Per Unit Cost	BA
FY 2003:			
Incremental Rental Assistance	34,000	6,005	204
Tenant Protections—Housing	30,300	NA	182
Tenant Protections-PIH	13,000	NA	78
Contract Administrators	NA	NA	196
Information Technology/Working Capital Fund	NA		3
Contract Renewals:			
PIH	2,077,336	6,005	12,527
CPD-Mod. Rehab. SRO	3,045	4,011	17
Housing	817,274	NA	4,320
Subtotal, contract renewals	2,897,655	NA	16,864
Administrative Fees-PIH (non-add)		Approx.	[1,100]
Total, FY 2003 Housing Certificate Fund			17,527

Status of Contract Authority (in millions of dollars)

Identification code 86-0319-0-1-604	2001 actual	2002 est.	2003 est.
0100 Balance, start of year	31,583	26,429	21,429
0400 Appropriation to liquidate contract authority	-5,000	-5,000	-5,000
0600 Balance of contract authority withdrawn	-154		
0700 Balance, end of year	26,429	21,429	16,429

Contract Renewals. Contract renewals provide funding to renew expiring Section 8 rental assistance contracts covering certificates, vouchers, and moderate rehabilitation (renewed as vouchers), Loan Management, New Construction/Substantial Rehabilitation, Property Disposition, and Preservation, and contracts authorized under section 441 of the McKinney-Vento Homeless Assistance Act. It also includes \$52 million to renew funding for Family self-sufficiency coordinators.

Incremental Rental Assistance—For 2003, the Department is requesting approximately 34,000 vouchers and \$204 million in budget authority.

These rental vouchers will address many local needs, including assistance to needy families some of which could be transitioning out of public assistance, homeless, affected by an “elderly-only” designation in public housing, or by the recent Olmstead decision requiring community living services for disabled families. The rental vouchers may also be used for downpayment assistance in those areas that choose to offer this assistance.

Public and Indian Housing

Tenant Protection Vouchers. The Housing Certificate fund supports families living in public and assisted housing units affected by changes in the status of the units. Income-eligible families who are affected by the demolition, disposition, revitalization or other capital improvement through no fault of their own, receive relocation/replacement vouchers through the Housing Certificate Fund.

Housing

Tenant Protection Set-Asides. The Housing Certificate Fund also serves a role in supporting families in FHA-insured, privately owned assisted housing projects affected by changes in project status. It is intended that income-eligible families who, through no fault of their own, are affected by HUD’s management of the multifamily inventory or owners’ decision to prepay their mortgage or opt-out of project-based section 8 contracts, be aided through the Housing Certificate Fund.

Verifying the right person gets the right benefit.—HUD research has determined that there is a significant error rate in calculating subsidies. HUD has established a goal of a 50 percent reduction in the frequency of subsidy calculation and processing errors by 2005.

Since the sources and cause of subsidy payment errors are many and often interrelated, a comprehensive corrective action plan is needed. HUD’s prior corrective action focus has been on developing and implementing a large scale computer matching program with IRS and SSA data bases to better address the unreported tenant income issue. While this and other improvement initiatives are ongoing, a multi-organizational HUD Working Group has been tasked with developing viable options for a more comprehensive corrective action plan to be considered by the new administration. The Working Group is considering options for: (1) Program simplification; (2) Structured forms, training, and automated tools needed to determine rent correctly; (3) Tenant education; (4) Increased use of automated tenant income data sources at the local and national level; (5) Improves targeting of on-site management and occupancy reviews based on risk indicators; (6) Intermediary performance incentives and sanctions; (7) Improved automated billing verifications; and (8) An on-going quality control program.

MOVING TO WORK

Program and Financing (in millions of dollars)

Identification code 86-0331-0-1-451	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year	5	3	
73.20 Total outlays (gross)	-2	-3	
74.40 Obligated balance, end of year	3		
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	2	3	
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	2	3	

The Moving-to-Work demonstration provides unprecedented autonomy and flexibility to a select group of high-performing public housing authorities (PHAs) in order to assess the potential impacts of Federal deregulation on resident households, housing developments, and local housing programs. Through waivers of requirements of the 1937 Housing Act, as amended, and related Federal regulations, participating PHAs can combine Federal funding allocated for public housing operating subsidy, capital subsidy, and Section 8 certificates and vouchers into a flexible housing assistance fund.

General and special funds—Continued

MOVING TO WORK—Continued

PHAs may provide incentives to families that work, are seeking work, or are preparing for work, PHAs are also allowed to change administrative procedures and management policies so they can reallocate resources to better address local housing needs and priorities. No additional funding is being requested for this demonstration.

**PUBLIC HOUSING CAPITAL FUND
(INCLUDING TRANSFERS OF FUNDS)**

For the Public Housing Capital Fund Program to carry out capital and management activities for public housing agencies, as authorized under section 9 of the United States Housing Act of 1937, as amended (42 U.S.C. 1437g) [\$2,843,400,000] (the "Act"), \$2,425,900,000, to remain available until September 30, [2005: *Provided*, That, hereafter, notwithstanding any other provision of law or any failure of the Secretary of Housing and Urban Development to issue regulations to carry out section 9(j) of the United States Housing Act of 1937 (42 U.S.C. 1437g(j)), such section is deemed to have taken effect on October 1, 1998, and, except as otherwise provided in this heading, shall apply to all assistance made available under this same heading on or after such date: *Provided further*, That of the total amount provided under this heading, in addition to amounts otherwise allocated under this heading, \$550,000,000 shall be allocated for such capital and management activities only among public housing agencies that have obligated all assistance for the agency for fiscal years 1998 and 1999 made available under this same heading in accordance with the requirements under paragraphs (1) and (2) of section 9(j) of such Act: *Provided further*, That notwithstanding any other provision of law or regulation, during fiscal year 2002, the Secretary may not delegate to any Department official other than the Deputy Secretary any authority under paragraph (2) of such section 9(j) regarding the extension of the time periods under such section for obligation of amounts made available for fiscal year 1998, 1999, 2000, 2001, or 2002: *Provided further*, That notwithstanding the first proviso and paragraphs (3) and (5)(B) of such section 9(j), if at any time before the effectiveness of final regulations issued by the Secretary under section 6(j) of the United States Housing Act of 1937 (42 U.S.C. 1437d(j)) providing for assessment of public housing agencies and designation of high-performing agencies, any amounts made available under the public housing Capital Fund for fiscal year 1999, 2000, 2001, or 2002 remain unobligated in violation of paragraph (1) of such section 9(j) or unexpended in violation of paragraph (5)(A) of such section 9(j), the Secretary shall recapture any such amounts and reallocate such amounts among public housing agencies that, at the time of such reallocation, are not in violation of any requirement under paragraph (1) or (5)(A) of such section: *Provided further*, That for purposes of this heading, the term "obligate" means, with respect to amounts, that the amounts are subject to a binding agreement that will result in outlays immediately or in the future: *Provided further*, That of the total amount provided under this heading, up to \$51,000,000 shall be for carrying out activities under section 9(h) of such Act, of which up to \$10,000,000 shall be for the provision of remediation services to public housing agencies identified as "troubled" under the Section 8 Management Assessment Program: *Provided further*, That of the total amount provided under this heading, up to \$500,000 shall be for lease adjustments to section 23 projects, and no less than \$52,700,000 shall be transferred to the Working Capital Fund for the development and maintenance of information technology systems: *Provided further*, That no funds may be used under this heading for the purposes specified in section 9(k) of the United States Housing Act of 1937, as amended: *Provided further*, That of the total amount provided under this heading, up to \$75,000,000 shall be available for the Secretary of Housing and Urban Development to make grants to public housing agencies for emergency capital needs resulting from emergencies and natural disasters in fiscal year 2002: *Provided further*, That of the total amount provided under this heading, \$15,000,000 shall be for a Neighborhood Networks initiative for activities authorized in section 9(d)(1)(E) of the United States Housing Act of 1937, as amended: *Provided further*, That notwithstanding any other provision of law, amounts made available in the previous proviso shall be awarded to public housing agencies on a competitive basis as provided in section 102 of the

Department of Housing and Urban Development Reform Act of 1989.] 2006; of which up to the specified following amounts shall be available as provided herein: for section 9(h) of such Act, \$54,000,000, of which \$13,000,000 shall be for the provision of remediation services to public housing agencies identified as "troubled" under the Section 8 Management Assessment Program and for surveys used to calculate local Fair Market Rents and assess housing conditions in connection with rental assistance under section 8 of the Act; for the conversion of public housing subsidies to project-based voucher assistance where such conversion is done in conjunction with financing of any necessary capital improvements of properties that will be covered by such assistance, \$120,000,000; for lease adjustments to section 23 projects, \$500,000; for the development of and modifications to information technology systems which serve programs or activities under Public and Indian Housing, \$18,600,000 for transfer to the Working Capital Fund; to make grants to public housing agencies for emergency capital needs and natural disasters in fiscal year 2003, \$75,000,000; for Neighborhood Networks grants for activities authorized in section 9(d)(1)(E) of the Act, awarded to public housing agencies on a competitive basis as provided in section 102 of the Department of Housing and Urban Development Reform Act of 1989, \$15,000,000; for supportive services, service coordinators and congregate services as authorized by section 34 of the Act and the Native American Housing Assistance and Self-Determination Act of 1996, \$55,000,000: *Provided*, That no funds may be used under this heading for the purposes specified in section 9(k) of the Act. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)			
Identification code 86-0304-0-1-604	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Capital Grants	3,581	2,649	2,208
00.02 Emergency/Disaster	28	75	75
00.03 Technical Assistance	150	51	54
00.04 Working Capital Fund		53	19
00.05 Neighborhood Network Initiative		15	15
00.06 Resident Opportunities and Supportive Services			55
10.00 Total new obligations (object class 41.0)	3,759	2,843	2,426
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1,536	696	696
22.00 New budget authority (gross)	2,993	2,843	2,426
22.10 Resources available from recoveries of prior year obligations	50		
22.75 Balance of contract authority withdrawn	-124		
23.90 Total budgetary resources available for obligation	4,455	3,539	3,122
23.95 Total new obligations	-3,759	-2,843	-2,426
24.40 Unobligated balance carried forward, end of year	696	696	696
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	3,000	2,843	2,426
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-7		
43.00 Appropriation (total discretionary)	2,993	2,843	2,426
Mandatory:			
60.00 Appropriation	500	589	589
60.49 Portion applied to liquidate contract authority	-500	-589	-589
62.50 Appropriation (total mandatory)			
70.00 Total new budget authority (gross)	2,993	2,843	2,426
Change in obligated balances:			
72.40 Obligated balance, start of year	11,836	11,995	11,182
73.10 Total new obligations	3,759	2,843	2,426
73.20 Total outlays (gross)	-3,550	-3,656	-3,553
73.45 Recoveries of prior year obligations	-50		
74.40 Obligated balance, end of year	11,995	11,182	10,055
75.01 Obligated balance, start of year: Contract authority	4,952	4,328	4,352
75.02 Obligated balance, end of year: Contract authority	4,328	3,739	3,763
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	136	117	161
86.93 Outlays from discretionary balances	3,414	3,539	3,392
87.00 Total outlays (gross)	3,550	3,656	3,553

Net budget authority and outlays:				
89.00	Budget authority	2,993	2,843	2,426
90.00	Outlays	3,550	3,656	3,553

The Public Housing Capital Fund, a comprehensive formula-driven program based on need, is designed to respond to the capital and management improvement requirements of public housing. The fund is a consolidation of the following programs: public housing modernization; public housing development; Major Reconstruction of Obsolete Public Housing Projects (MROP); and public housing amendments.

Of the \$2.426 billion requested for the Public Housing Capital Fund, approximately \$2.2 billion is provided to cover annual accrual needs. Up to \$120 million may be used for a new initiative that has the promise to address the public housing capital backlog by enabling housing authorities to privately finance their properties. Other uses include up to \$55 million to provide supportive services to public housing residents under the Resident Opportunities and Supportive Services (ROSS) program, up to \$75 million for emergencies or disasters, up to \$54 million for technical assistance, up to \$19 million for the Working Capital Fund, and up to \$15 million for Neighborhood Networks.

The budget provides a means by which Public Housing Authorities (PHAs) can privately finance the capital needs of properties that can be underwritten to market standards. A loan loss reserve would be established as a credit enhancement to protect lenders in the case of default. Properties recapitalized under this new financing model would be converted to project-based vouchers. Conversion would allow capital needs to be financed on a property basis as is done in the private sector, and the project-based voucher program gives additional choice and mobility to residents in the selection of their housing. In addition, such conversion will facilitate PHA management and finance on a property-by-property basis and thus increase accountability for efficient management, and will relate ongoing Federal subsidies more closely to the rental market. Many housing authorities should be able to take advantage of this voluntary initiative to improve the living conditions of their residents and their own management.

Legislation is being proposed to accompany this proposal. It provides changes in the applicability of the project-based voucher so that the program is workable for current public housing sites; allows for the creation of a loan loss reserve; authorizes the use of up-front capital contributions where necessary (for which up to \$50 million in HOPE VI grants would be made available in FY 2003); and provides other necessary details.

Status of Contract Authority (in millions of dollars)

Identification code 86-0304-0-1-604	2001 actual	2002 est.	2003 est.
0100 Balance, start of year	4,952	4,328	4,352
0400 Appropriation to liquidate contract authority	-500	-589	-589
0600 Balance of contract authority withdrawn	-124		
0700 Balance, end of year	4,328	3,739	3,763

PUBLIC HOUSING OPERATING FUND

(INCLUDING TRANSFER [AND RESCISSION] OF FUNDS)

For payments to public housing agencies for the operation and management of public housing, as authorized by section 9(e) of the United States Housing Act of 1937, as amended (42 U.S.C. 1437g(e)), **[\$3,494,868,000] \$3,530,000,000**, to remain available until September 30, **[2003: Provided**, That of the total amount provided under this heading, \$5,000,000 shall be provided to the Office of Inspector General: *Provided further*, That of the total amount provided under this heading,] **2004**; of which up to \$130,000,000 may be used for the conversion of public housing subsidies to project-based voucher assistance where such conversion is done in conjunction with the financing

of any necessary capital improvements of the properties that will be covered by such assistance; and of which \$10,000,000 shall be for programs, as determined appropriate by the Attorney General, which assist in the investigation, prosecution, and prevention of violent crimes and drug offenses in public and federally-assisted low-income housing, including Indian housing: *Provided further*, That funds made available in the previous proviso], which shall be administered by the Department of Justice through a reimbursable agreement with the Department of Housing and Urban Development: *Provided [further]*, That no funds may be used under this heading for the purposes specified in section 9(k) of the United States Housing Act of 1937, as amended: *Provided further*, That of the unobligated balances remaining from funds appropriated in fiscal year 2001 and prior years under the heading "Drug elimination grants for low-income housing" for activities related to the Operation Safe Home Program, \$11,000,000 is hereby rescinded]. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 86-0163-0-1-604	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Operating Subsidy	3,152	3,621	3,520
00.02	Office of Inspector General		5	
00.03	Department of Justice Anti-Drug		10	10
10.00	Total new obligations (object class 41.0)	3,152	3,636	3,530
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	55	141	
22.00	New budget authority (gross)	3,235	3,495	3,530
22.10	Resources available from recoveries of prior year obligations	3		
23.90	Total budgetary resources available for obligation	3,293	3,636	3,530
23.95	Total new obligations	-3,152	-3,636	-3,530
24.40	Unobligated balance carried forward, end of year	141		
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	3,242	3,495	3,530
40.35	Appropriation rescinded	-7		
43.00	Appropriation (total discretionary)	3,235	3,495	3,530
Change in obligated balances:				
72.40	Obligated balance, start of year	1,671	1,683	1,934
73.10	Total new obligations	3,152	3,636	3,530
73.20	Total outlays (gross)	-3,137	-3,385	-3,458
73.45	Recoveries of prior year obligations	-3		
74.40	Obligated balance, end of year	1,683	1,934	2,006
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	1,463	1,616	1,629
86.93	Outlays from discretionary balances	1,674	1,769	1,830
87.00	Total outlays (gross)	3,137	3,385	3,458
Net budget authority and outlays:				
89.00	Budget authority	3,235	3,495	3,530
90.00	Outlays	3,137	3,385	3,458

Operating subsidies are provided to public housing authorities (PHAs) to assist in funding the operation and maintenance expenses of public housing units in accordance with Section 9(e) of the United States Housing Act of 1937, as amended. It is anticipated that \$2.4 billion provides 100 percent of subsidy requirements in 2003.

The following tables display the sources of housing authorities' expected revenue and expenditures by category for 2003. The distribution is based on historical data reported by housing authorities to HUD on the Statement of Operating Receipts and Expenditures.

Table 1. Sources of Housing Authorities' Operating Revenue (in millions of dollars)

Category	Annual income	Percent of total
Operating Subsidies	3,530	53%
Dwelling Rental	2,598	39%

General and special funds—Continued

PUBLIC HOUSING OPERATING FUND—Continued

(INCLUDING TRANSFER [AND RESCISSION] OF FUNDS)—Continued

Table 1. Sources of Housing Authorities' Operating Revenue (in millions of dollars)—Continued

Category	Annual income	Percent of total
Investment	266	4%
Other Income	266	4%
Total, Operating Revenue	6,660	100%

Operating Subsidies.—Represent HUD's contributions to a housing authority's operating budget. Under the current formula-based approach, HUD sets a formula-determined allowable expense level (AEL) for each PHA and separately computes utility and audit costs. The PHA's dwelling rental income is also projected and the subsidy is the difference between the projected AEL, utility, and audit expenses and projected dwelling rental income.

Dwelling Rental.—Income derived from tenants' rents.

Investment Income.—Income from interest earned on general fund investments.

Other Income.—Includes income from other sources such as renting rooftop space for signs or broadcasting and from operating services for tenants, such as laundromats or day care centers.

Table 2. Housing Authorities' Operating Expenditures (in millions of dollars)

Category	Annual expenditures	Percent of total
Utilities	1,465	22%
Administration	1,798	27%
General Operating Expenses	533	8%
Maintenance	2,397	36%
Tenant Services	133	2%
Protective Services	200	3%
Capital Expenditures	67	1%
Operating Reserve	67	1%
Total, Operating Expenses	6,660	100%

Utilities.—Includes water, sewer, electricity, gas, and fuel.

Administration.—Includes administrative salaries, legal expenses, staff training, travel, accounting fees, auditing fees, sundry, and outside management costs.

General Operating Expenses.—Includes insurance, payments made to local governments in lieu of taxes, terminal leave payments, employees benefit contributions, collection losses, interest on administrative and sundry notes, and other general expenses.

Ordinary Maintenance and Operations.—Consists of expenses for labor, materials, contracts and garbage fees associated with the day-to-day operation of the public housing authority.

Tenant Services.—Cover salaries, recreation, publication, contract costs, training, and other expenses.

Protective Services.—Includes expenses for labor, materials, and contract costs.

Capital Expenditures.—Includes extraordinary maintenance, casualty losses, and property betterments (e.g. roofs and furnaces).

Operating reserves.—Provides working capital funds and is a reserve for emergencies.

DRUG ELIMINATION GRANTS FOR LOW-INCOME HOUSING
(INCLUDING TRANSFERS OF FUNDS)

Program and Financing (in millions of dollars)

Identification code 86-0197-0-1-604	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	292	112	
00.02 Federally Assisted Housing	17	19	
00.03 Operation Safe Home	14	6	
00.04 New Approach Anti-Drug Program	29	22	
10.00 Total new obligations (object class 41.0)	352	159	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	209	171	
22.00 New budget authority (gross)	309	-11	
22.10 Resources available from recoveries of prior year obligations	4		
23.90 Total budgetary resources available for obligation	522	160	
23.95 Total new obligations	-352	-159	
24.40 Unobligated balance carried forward, end of year	171		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	310		
40.35 Appropriation rescinded	-1		
40.36 Unobligated balance rescinded		-11	
43.00 Appropriation (total discretionary)	309	-11	
Change in obligated balances:			
72.40 Obligated balance, start of year	451	490	336
73.10 Total new obligations	352	159	
73.20 Total outlays (gross)	-309	-312	-247
73.45 Recoveries of prior year obligations	-4		
74.40 Obligated balance, end of year	490	336	89
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	309	312	247
Net budget authority and outlays:			
89.00 Budget authority	309	-11	
90.00 Outlays	309	312	247

The Public Housing Drug Elimination Grants program was terminated in the 2002 Budget. The program was found to have limited impact; current regulatory tools, such as eviction, are effective in reducing drug-related crime in public housing; and finally, fighting crime and drugs is not directly related to HUD's core mission—it is the mission of federal law enforcement and other agencies whose programs help combat illegal drugs and crime in public housing communities. PHAs can supplement other public housing security efforts using operating funds if they choose.

REVITALIZATION OF SEVERELY DISTRESSED PUBLIC HOUSING
(HOPE VI)

For grants to public housing agencies for demolition, site revitalization, replacement housing, and tenant-based assistance grants to projects as authorized by section 24 of the United States Housing Act of 1937, as amended, **[\$573,735,000] \$574,000,000**, to remain available until September 30, **[2003] 2004**, of which the Secretary may use up to **\$50,000,000** for grants for capital costs at properties for which public housing subsidies are being converted to project-based voucher assistance and for which such grants are necessary to permit the financing of the remaining capital costs in conjunction with such assistance; and up to **\$6,250,000** for technical assistance and contract expertise, to be provided directly or indirectly by grants, contracts or cooperative agreements, including training and cost of necessary travel for participants in such training, by or to officials and employees of the department and of public housing agencies and to residents: *Provided*, That none of such funds shall be used

directly or indirectly by granting competitive advantage in awards to settle litigation or pay judgments, unless expressly permitted herein: *Provided further*, That of the total amount provided under this heading, \$5,000,000 shall be for a Neighborhood Networks initiative for activities authorized in section 24(d)(1)(G) of the United States Housing Act of 1937, as amended: *Provided further*, That notwithstanding any other provision of law, amounts made available in the previous proviso shall be awarded to public housing agencies on a competitive basis as provided in section 102 of the Department of Housing and Urban Development Reform Act of 1989. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 86-0218-0-1-604	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program Activity	639	1,137	513
00.02 Technical Assistance	13	11	6
00.03 Urban Institute Study	1		
00.04 Elderly Demolitions		1	
00.05 Neighborhood Networks		5	5
00.06 Capital Costs Grants			50
10.00 Total new obligations (object class 41.0)	653	1,154	574
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	660	581	1
22.00 New budget authority (gross)	574	574	574
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	1,235	1,155	575
23.95 Total new obligations	-653	-1,154	-574
24.40 Unobligated balance carried forward, end of year	581	1	1
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	575	574	574
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
43.00 Appropriation (total discretionary)	574	574	574
Change in obligated balances:			
72.40 Obligated balance, start of year	2,413	2,579	3,181
73.10 Total new obligations	653	1,154	574
73.20 Total outlays (gross)	-487	-552	-608
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	2,579	3,181	3,147
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1	6	6
86.93 Outlays from discretionary balances	486	546	602
87.00 Total outlays (gross)	487	552	608
Net budget authority and outlays:			
89.00 Budget authority	574	574	574
90.00 Outlays	487	552	608

This program utilizes Federal resources to rehabilitate and restore severely distressed public housing projects, thereby expanding the supply of decent, safe, and affordable housing for low-income renters. The funds will be used for project demolition, hard replacement units, as well as tenant-based rental assistance.

NATIVE AMERICAN HOUSING BLOCK GRANTS
(INCLUDING TRANSFER OF FUNDS)

For the Native American Housing Block Grants program, as authorized under title I of the Native American Housing Assistance and Self-Determination Act of 1996 (NAHASDA) (25 U.S.C. 4111 et seq.), [\$648,570,000] \$646,600,000, to remain available until expended, of which \$2,200,000 shall be contracted through the Secretary as technical assistance and capacity building to be used by the National American Indian Housing Council in support of the implementation of NAHASDA; of which [\$5,000,000] \$3,000,000 shall be to support the inspection of Indian housing units, contract expertise,

training, and technical assistance in the training, oversight, and management of Indian housing and tenant-based assistance, including up to \$300,000 for related travel; and of which not less than [\$3,000,000] \$600,000 shall be transferred to the Working Capital Fund for [the development and maintenance of information technology systems] development of and modifications to information technology systems which serve programs or activities under "Public and Indian Housing": *Provided*, That of the amount provided under this heading, [\$5,987,000] \$2,000,000 shall be made available for the cost of guaranteed notes and other obligations, as authorized by title VI of NAHASDA: *Provided further*, That such costs, including the costs of modifying such notes and other obligations, shall be as defined in section 502 of the Congressional Budget Act of 1974, as amended: *Provided further*, That these funds are available to subsidize the total principal amount of any notes and other obligations, any part of which is to be guaranteed, not to exceed [\$52,726,000] \$16,657,633: *Provided further*, That the Secretary of Housing and Urban Development may provide technical and financial assistance to Indian tribes and their tribally-designated housing entities in accordance with the provisions of NAHASDA for emergency housing, housing assistance, and other assistance to address the problem of mold: *Provided further*, That for administrative expenses to carry out the guaranteed loan program, up to [\$150,000] \$156,000 from amounts in the first proviso, which shall be transferred to and merged with the appropriation for "Salaries and expenses", to be used only for the administrative costs of these guarantees. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 86-0313-0-1-604	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Indian Housing Block Grants	634	635	641
00.02 Title VI Loan Guarantee Subsidy	1	6	2
00.03 Technical Assistance	4	5	3
00.04 Working Capital Fund	2	3	1
10.00 Total new obligations (object class 41.0)	641	649	647
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	175	187	187
22.00 New budget authority (gross)	649	649	647
22.10 Resources available from recoveries of prior year obligations	5		
23.90 Total budgetary resources available for obligation	829	836	834
23.95 Total new obligations	-641	-649	-647
24.40 Unobligated balance carried forward, end of year	187	187	187
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	650	649	647
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
43.00 Appropriation (total discretionary)	649	649	647
Change in obligated balances:			
72.40 Obligated balance, start of year	1,082	1,034	972
73.10 Total new obligations	641	649	647
73.20 Total outlays (gross)	-684	-711	-740
73.45 Recoveries of prior year obligations	-5		
74.40 Obligated balance, end of year	1,034	972	878
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	106	113	113
86.93 Outlays from discretionary balances	578	598	627
87.00 Total outlays (gross)	684	711	740
Net budget authority and outlays:			
89.00 Budget authority	649	649	647
90.00 Outlays	684	711	740

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 86-0313-0-1-604	2001 actual	2002 est.	2003 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Title VI	9	53	17

General and special funds—Continued

NATIVE AMERICAN HOUSING BLOCK GRANTS—Continued

(INCLUDING TRANSFER OF FUNDS)—Continued

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)—Continued

Identification code 86-0313-0-1-604	2001 actual	2002 est.	2003 est.
215901 Total loan guarantee levels	9	53	17
Guaranteed loan subsidy (in percent):			
232001 Title VI	11.07	11.07	11.07
232901 Weighted average subsidy rate	11.07	11.07	11.07
Guaranteed loan subsidy budget authority:			
233001 Title VI	1	6	2
233901 Total subsidy budget authority	1	6	2
Guaranteed loan subsidy outlays:			
234001 Title VI	1	3	2
234901 Total subsidy outlays	1	3	2

Title I of the Native American Housing Assistance and Self-Determination Act (NAHASDA) of 1996 (P.L. 104-330) authorized the Native American Housing Block Grant program. This program provides an allocation of funds on a formula basis to Indian tribes and their tribally designated housing entities to help them address housing needs within their communities.

The Native American Housing Block Grant program includes a guaranteed loan provision (Title VI). A guarantee level of \$17 million is proposed for this loan guarantee program for 2003. The subsidy rate for this program is set at 11.07 percent with a federal guarantee of 80 percent. A primary goal of the Title VI program is to encourage private lenders to provide financing in Indian country. Therefore, the program provides for the federal guarantee of notes or other obligations issued by Indian tribes or tribally designated housing entities for the purpose of financing affordable housing activities described in section 202 of the Act.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the loan guarantees committed in 1998 and beyond (including modifications of guarantees that resulted from obligations in any given year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

NATIVE HAWAIIAN HOUSING BLOCK GRANT

For the Native Hawaiian Housing Block Grant program, as authorized under title VIII of the Native American Housing Assistance and Self-Determination Act of 1996 (25 U.S.C. 4111 et seq.), \$10,000,000, to remain available until expended, of which \$400,000 shall be for training and technical assistance activities.

Program and Financing (in millions of dollars)

Identification code 86-0235-0-1-604	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Native Hawaiian Housing Block Grant			10
10.00 Total new obligations (object class 41.0)			10
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			10
23.95 Total new obligations			-10
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation			10
Change in obligated balances:			
73.10 Total new obligations			10

73.20 Total outlays (gross)			-1
74.40 Obligated balance, end of year			9
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority			1
Net budget authority and outlays:			
89.00 Budget authority			10
90.00 Outlays			1

The Hawaiian Homelands Homeownership Act of 2000 (P.L. 106-568) amended the Native American Housing Assistance and Self-Determination Act of 1996 by adding Title VIII, which authorized the Native Hawaiian Housing Block Grant program. This program provides an allocation of funds to assist and promote affordable housing activities to develop, maintain and operate affordable housing for eligible low-income Native Hawaiian families.

It authorizes annual grants to the Department of Hawaiian Home Lands (DHHL) for housing and housing-related assistance, pursuant to an annual housing plan, within the area in which DHHL is authorized to provide that assistance. DHHL uses performance measures and benchmarks that are consistent with the national goals of the program, but it can base these measures on the needs and priorities that it establishes in its five- and one-year housing plans.

Public enterprise funds:

LOW-RENT PUBLIC HOUSING—LOANS AND OTHER EXPENSES

Program and Financing (in millions of dollars)

Identification code 86-4098-0-3-604	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Reimbursable program: Capital investment loans to PHAs	7	40	40
10.00 Total new obligations (object class 33.0)	7	40	40
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	20	20	20
22.00 New budget authority (gross)	102	111	114
22.60 Portion applied to repay debt	-94	-71	-71
23.90 Total budgetary resources available for obligation	28	60	63
23.95 Total new obligations	-7	-40	-40
24.40 Unobligated balance carried forward, end of year	20	20	23
New budget authority (gross), detail:			
Mandatory:			
67.10 Authority to borrow	25	40	40
69.00 Offsetting collections (cash)	77	71	74
70.00 Total new budget authority (gross)	102	111	114
Change in obligated balances:			
72.40 Obligated balance, start of year	772	682	611
73.10 Total new obligations	7	40	40
73.20 Total outlays (gross)	-97	-111	-114
74.40 Obligated balance, end of year	682	611	537
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	77	111	114
86.98 Outlays from mandatory balances	20		
87.00 Total outlays (gross)	97	111	114
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-70	-71	-74
88.40 Non-Federal sources	-7		
88.90 Total, offsetting collections (cash)	-77	-71	-74
Net budget authority and outlays:			
89.00 Budget authority	25	40	40
90.00 Outlays	21	40	40

Status of Direct Loans (in millions of dollars)

Identification code 86-4098-0-3-604	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	1,350	1,280	1,210
1251 Repayments: Repayments and prepayments	-70	-70	-70
1290 Outstanding, end of year	1,280	1,210	1,140

Status of Guaranteed Loans (in millions of dollars)

Identification code 86-4098-0-3-604	2001 actual	2002 est.	2003 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	2,742	2,464	2,186
2251 Repayments and prepayments	-278	-278	-278
2290 Outstanding, end of year	2,464	2,186	1,908
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	2,464	2,186	1,908

The Low-Rent Public Housing Loan Fund provides direct Federal loans to fund remaining Public Housing Agency and Indian Housing Authority construction, acquisition, and modernization activities reserved under the Annual Contributions appropriation through 1986. These loans are made by borrowing from the Treasury. Under legislation enacted during 1986 (Public Law 99-272), amounts borrowed from the Treasury are forgiven at the end of each fiscal year and the loans to PHAs/IHAs are forgiven as construction, acquisition, and modernization activities are completed. Under the provisions of this legislation, \$25 million borrowed from the Treasury was forgiven in 2001, an estimated \$40 million will be borrowed from the Treasury and forgiven in 2002, and an estimated \$40 million will be borrowed from the Treasury and forgiven in 2003.

Since 1987, new reservations of capital funds for construction, acquisition, and modernization activities have been provided directly from the Public Housing Capital Fund appropriations.

Operating results.—The actual and estimated net operating income for 2000, 2001, 2002 and 2003 follows:

Statement of Operations (in millions of dollars)

Identification code 86-4098-0-3-604	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	102	89	100	100
0102 Expense	-99	-91	-99	-99
0105 Net income or loss (-)	3	-2	1	1

Balance Sheet (in millions of dollars)

Identification code 86-4098-0-3-604	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	792	702	792	792
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross	1,359	1,282	1,359	1,359
1602 Interest receivable	85	80	85	85
1603 Allowance for estimated uncollectible loans and interest (-)	-2	-1	-2	-2
1604 Direct loans and interest receivable, net	1,442	1,361	1,442	1,442
1699 Value of assets related to direct loans	1,442	1,361	1,442	1,442
1999 Total assets	2,234	2,063	2,234	2,234
LIABILITIES:				
Federal liabilities:				
2102 Interest payable	164	151	164	164
2104 Resources payable to Treasury	1,348	1,279	1,348	1,348

2207 Non-Federal liabilities: Other	2	2	2	2
2999 Total liabilities	1,514	1,432	1,514	1,514
NET POSITION:				
3100 Appropriated capital	705	636	705	705
3300 Cumulative results of operations	15	-5	15	15
3999 Total net position	720	631	720	720
4999 Total liabilities and net position	2,234	2,063	2,234	2,234

Credit accounts:**INDIAN HOUSING LOAN GUARANTEE FUND PROGRAM ACCOUNT**
(INCLUDING TRANSFER OF FUNDS)

For the cost of guaranteed loans, as authorized by section 184 of the Housing and Community Development Act of 1992 (12 U.S.C. 1715z-13a), **[\$5,987,000] \$5,000,000**, to remain available until expended: *Provided*, That such costs, including the costs of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974, as amended: *Provided further*, That these funds are available to subsidize total loan principal, any part of which is to be guaranteed, not to exceed **[\$234,283,000] \$197,242,798**.

In addition, for administrative expenses to carry out the guaranteed loan program, up to **[\$200,000] \$207,000** from amounts in the first paragraph, which shall be transferred to and merged with the appropriation for "Salaries and expenses", to be used only for the administrative costs of these guarantees. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 86-0223-0-1-371	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Guaranteed loan subsidy	1	6	5
10.00 Total new obligations (object class 41.0)	1	6	5
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	17	22	22
22.00 New budget authority (gross)	6	6	5
23.90 Total budgetary resources available for obligation	23	28	27
23.95 Total new obligations	-1	-6	-5
24.40 Unobligated balance carried forward, end of year	22	22	23
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	6	6	5
Change in obligated balances:			
72.40 Obligated balance, start of year	3	3	2
73.10 Total new obligations	1	6	5
73.20 Total outlays (gross)	-1	-7	-7
74.40 Obligated balance, end of year	3	2	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1	1	1
86.93 Outlays from discretionary balances	6	6	8
87.00 Total outlays (gross)	1	7	7
Net budget authority and outlays:			
89.00 Budget authority	6	6	5
90.00 Outlays	1	7	7

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 86-0223-0-1-371	2001 actual	2002 est.	2003 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Indian Housing Loan Guarantee	12	234	194
215901 Total loan guarantee levels	12	234	194
Guaranteed loan subsidy (in percent):			
232001 Loan guarantee levels	8.13	2.47	2.43
232901 Weighted average subsidy rate	8.13	2.47	2.43

Credit accounts—Continued

INDIAN HOUSING LOAN GUARANTEE FUND PROGRAM ACCOUNT—Continued

(INCLUDING TRANSFER OF FUNDS)—Continued

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)—Continued

Identification code 86-0223-0-1-371	2001 actual	2002 est.	2003 est.
Guaranteed loan subsidy budget authority:			
233001 Loan guarantee levels	1	6	5
233901 Total subsidy budget authority	1	6	5
Guaranteed loan subsidy outlays:			
234001 Loan guarantee levels	1	3	3
234901 Total subsidy outlays	1	3	3
Guaranteed loan downward reestimate subsidy budget authority:			
237001 Indian Housing Loan Guarantee	-6		
237901 Total downward reestimate subsidy budget authority	-6		
Guaranteed loan downward reestimate subsidy outlays:			
238001 Indian Housing Loan Guarantee	-6		
238901 Total downward reestimate subsidy outlays	-6		
Administrative expense data:			
351001 Budget authority			
358001 Outlays from balances			
359001 Outlays from new authority			

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the loan guarantees committed in 1992 and beyond (including modifications of guarantees that resulted from obligations in any year). The subsidy amounts are estimated on a net present value basis. The administrative expenses are shown on a cash basis.

This program provides access to sources of private financing for Indian families, Indian tribes, and their tribally designated housing entities who otherwise could not acquire housing financing because of the unique legal status of Indian trust land.

INDIAN HOUSING LOAN GUARANTEE FUND FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 86-4104-0-3-604	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
08.02 Payment of Downward Re-Estimate to Receipt Account	6		
10.00 Total new obligations	6		
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	8	6	9
22.00 New financing authority (gross)	4	3	3
23.90 Total budgetary resources available for obligation	12	9	12
23.95 Total new obligations	-6		
24.40 Unobligated balance carried forward, end of year	6	9	12
New financing authority (gross), detail:			
Spending authority from offsetting collections:			
Discretionary:			
68.00 Federal sources	1	3	3
68.10 Change in uncollected customer payments from Federal sources (unexpired)	3		
68.90 Spending authority from offsetting collections (total discretionary)	4	3	3
Change in obligated balances:			
72.40 Obligated balance, start of year		-3	-3
73.10 Total new obligations	6		
73.20 Total financing disbursements (gross)	-6		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-3		

74.40 Obligated balance, end of year	-3	-3	-1
87.00 Total financing disbursements (gross)	6		

Offsets:

Against gross financing authority and financing disbursements:			
88.00 Offsetting collections (cash) from: Federal sources:			
Payments from program account	-1	-3	-3
Against gross financing authority only:			
88.95 Change in receivables from program accounts	-3		

Net financing authority and financing disbursements:

89.00 Financing authority			
90.00 Financing disbursements	4	-3	-3

Status of Guaranteed Loans (in millions of dollars)

Identification code 86-4104-0-3-604	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders	72	234	234
2121 Limitation available from carry-forward	213	272	486
2143 Uncommitted limitation carried forward	-272	-486	-700
2150 Total guaranteed loan commitments	13	20	20
2199 Guaranteed amount of guaranteed loan commitments	13	20	20
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	60	66	77
2231 Disbursements of new guaranteed loans	10	20	23
2251 Repayments and prepayments	-4	-8	-9
2263 Adjustments: Terminations for default that result in claim payments		-1	-2
2290 Outstanding, end of year	66	77	89
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	66	77	89

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from the loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals. As required by the Federal Credit Reform Act of 1990, no administrative expenses can be recorded in the financing account.

Balance Sheet (in millions of dollars)

Identification code 86-4104-0-3-604	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	8	4	6	6
1999 Total assets	8	4	6	6
LIABILITIES:				
2204 Non-Federal liabilities: Liabilities for loan guarantees	8	4	6	6
2999 Total liabilities	8	4	6	6
4999 Total liabilities and net position	8	4	6	6

NATIVE HAWAIIAN HOUSING LOAN GUARANTEE FUND PROGRAM ACCOUNT

(INCLUDING TRANSFER OF FUNDS)

For the cost of guaranteed loans, as authorized by section 184A of the Housing and Community Development Act of 1992 (12 U.S.C. 1715z-13b), \$1,000,000, to remain available until expended: *Provided*, That such costs, including the costs of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974, as amended: *Provided further*, That these funds are available to subsidize total loan principal, any part of which is to be guaranteed, not to exceed **[\$40,000,000] \$39,711,934**.

In addition, for administrative expenses to carry out the guaranteed loan program, up to \$35,000 from amounts in the first paragraph, which shall be transferred to and merged with the appropriation for "Salaries and expenses", to be used only for the administrative costs of these guarantees. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)				
Identification code 86-0233-0-1-371	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.02	Guaranteed loan subsidy			1
10.00	Total new obligations (object class 41.0)			1
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	1		1
23.95	Total new obligations			-1
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	1		1
Change in obligated balances:				
73.10	Total new obligations			1
Outlays (gross), detail:				
86.93	Outlays from discretionary balances			1
Net budget authority and outlays:				
89.00	Budget authority	1		1
90.00	Outlays			1

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)				
Identification code 86-0233-0-1-371	2001 actual	2002 est.	2003 est.	
Guaranteed loan levels supportable by subsidy budget authority:				
215001	Native Hawaiian Housing	40		40
215901	Total loan guarantee levels	40		40
Guaranteed loan subsidy (in percent):				
232001	Loan guarantee levels	2.47		2.43
232901	Weighted average subsidy rate	2.47		2.43
Guaranteed loan subsidy budget authority:				
233001	Loan guarantee levels	1		1
233901	Total subsidy budget authority	1		1
Guaranteed loan subsidy outlays:				
234001	Native Hawaiian Housing			1
234901	Total subsidy outlays			1

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the loan guarantees committed in 2001 and beyond (including modifications of guarantees that resulted from obligations in any year). The subsidy amounts are estimated on a net present value. The administrative expenses are shown on a cash basis.

This program provides access to sources of private financing to eligible Native Hawaiian families who reside on the Hawaiian Home Lands and who otherwise could not acquire private financing because of the unique legal status of the Hawaiian Home Lands.

NATIVE HAWAIIAN HOUSING LOAN GUARANTEE FUND FINANCING ACCOUNT

Program and Financing (in millions of dollars)				
Identification code 86-4351-0-3-371	2001 actual	2002 est.	2003 est.	
Budgetary resources available for obligation:				
22.00	New financing authority (gross)			1
23.90	Total budgetary resources available for obligation	1		6
24.40	Unobligated balance carried forward, end of year	1		7

24.40	Unobligated balance carried forward, end of year			1
New financing authority (gross), detail:				
Discretionary:				
68.00	Spending authority from offsetting collections (gross): Federal sources			1
Offsets:				
Against gross financing authority and financing disbursements:				
88.00	Offsetting collections (cash) from: Federal sources: Payments from program account			-1
Net financing authority and financing disbursements:				
89.00	Financing authority			
90.00	Financing disbursements			-1

Status of Guaranteed Loans (in millions of dollars)				
Identification code 86-4351-0-3-371	2001 actual	2002 est.	2003 est.	
Position with respect to appropriations act limitation on commitments:				
2111	Limitation on guaranteed loans made by private lenders	40		40
2121	Limitation available from carry-forward			40
2143	Uncommitted limitation carried forward	-40		-70
2150	Total guaranteed loan commitments			10
2199	Guaranteed amount of guaranteed loan commitments			10
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year			
2231	Disbursements of new guaranteed loans			1
2251	Repayments and prepayments			
2290	Outstanding, end of year			1
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year			1

Balance Sheet (in millions of dollars)				
Identification code 86-4351-0-3-371	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101	Federal assets: Fund balances with Treasury	8		1
1999	Total assets	8		1
LIABILITIES:				
2204	Non-Federal liabilities: Liabilities for loan guarantees	8		1
2999	Total liabilities	8		1
4999	Total liabilities and net position	8		1

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the government resulting from the loan guarantees committed in 2001 and beyond (including modifications of loan guarantees that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals. As required by the Federal Credit Reform Act of 1990, no administrative expenses can be recorded in the financing account.

TITLE VI INDIAN FEDERAL GUARANTEES FINANCING ACCOUNT

Program and Financing (in millions of dollars)				
Identification code 86-4244-0-3-604	2001 actual	2002 est.	2003 est.	
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year		1	4
22.00	New financing authority (gross)	1	3	2
23.90	Total budgetary resources available for obligation	1	4	6
24.40	Unobligated balance carried forward, end of year	1	4	7

Credit accounts—Continued

TITLE VI INDIAN FEDERAL GUARANTEES FINANCING ACCOUNT—
Continued

Program and Financing (in millions of dollars)—Continued

Identification code 86-4244-0-3-604	2001 actual	2002 est.	2003 est.
New financing authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	1	3	2
Offsets:			
Against gross financing authority and financing disbursements:			
88.00 Offsetting collections (cash) from: Federal sources	-1	-3	-2
Net financing authority and financing disbursements:			
89.00 Financing authority			
90.00 Financing disbursements	-1	-3	-2

Status of Guaranteed Loans (in millions of dollars)

Identification code 86-4244-0-3-604	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders	53	53	17
2121 Limitation available from carry-forward	151	194	221
2143 Uncommitted limitation carried forward	-194	-221	-198
2150 Total guaranteed loan commitments	10	26	40
2199 Guaranteed amount of guaranteed loan commitments	8	21	32
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	1	10	30
2231 Disbursements of new guaranteed loans	9	23	36
2251 Repayments and prepayments		-3	-2
2263 Adjustments: Terminations for default that result in claim payments			-1
2290 Outstanding, end of year	10	30	63
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	8	24	50

Balance Sheet (in millions of dollars)

Identification code 86-4244-0-3-604	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	1	1	3	3
1999 Total assets	1	1	3	3
LIABILITIES:				
2204 Non-Federal liabilities: Liabilities for loan guarantees	1	1	3	3
2999 Total liabilities	1	1	3	3
NET POSITION:				
3999 Total net position				
4999 Total liabilities and net position	1	1	3	3

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals. As required by the Federal Credit Reform Act of 1990, no administrative expenses can be recorded in the financing account.

COMMUNITY PLANNING AND DEVELOPMENT

Federal Funds

General and special funds:

HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS

For carrying out the Housing Opportunities for Persons with AIDS program, as authorized by the AIDS Housing Opportunity Act (42 U.S.C. 12901 et seq.), **[\$277,432,000] \$292,000,000**, to remain available until September 30, **[2003] 2004: Provided**, That the Secretary shall renew all expiring contracts for permanent supportive housing that were funded under section 854(c)(3) of such Act that meet all program requirements before awarding funds for new contracts and activities authorized under this section: *Provided further*, That the Secretary may use up to \$2,000,000 of the funds under this heading for training, oversight, and technical assistance activities. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 86-0308-0-1-604	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Housing for Persons with HIV/AIDS	239	277	292
10.00 Total new obligations (object class 41.0)	239	277	292
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	69	88	88
22.00 New budget authority (gross)	257	277	292
23.90 Total budgetary resources available for obligation	326	365	380
23.95 Total new obligations	-239	-277	-292
24.40 Unobligated balance carried forward, end of year	88	88	88
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	258	277	292
40.35 Appropriation rescinded	-1		
43.00 Appropriation (total discretionary)	257	277	292
Change in obligated balances:			
72.40 Obligated balance, start of year	441	439	466
73.10 Total new obligations	239	277	292
73.20 Total outlays (gross)	-241	-250	-260
74.40 Obligated balance, end of year	439	466	498
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1	11	12
86.93 Outlays from discretionary balances	240	239	248
87.00 Total outlays (gross)	241	250	260
Net budget authority and outlays:			
89.00 Budget authority	257	277	292
90.00 Outlays	241	250	260

The Housing Opportunities for Persons with AIDS program provides States and localities with resources and incentives to devise long-term comprehensive strategies for meeting the housing needs of persons with HIV/AIDS and their families.

Up to \$2 million is used for technical assistance to grantees and project sponsors to strengthen management of programs and ensure responsiveness in meeting client needs. States and metropolitan areas receive 90 percent of the remaining funds by formula based on the number of cases of AIDS and, for metropolitan areas, the incidence of AIDS in that area. The final 10 percent is awarded competitively to States, local governments, and private nonprofit entities, including faith-based organizations, for projects of national significance with priority for renewal of the projects providing permanent supportive housing. Awards are also made to States and local governments for projects in jurisdictions which do not qualify for a formula allocation. The requested funding for 2003 will support an additional 3,800 households, for a total of approximately 55,900 housing units for persons with HIV/AIDS and their families.

COMMUNITY DEVELOPMENT [FUND] BLOCK GRANTS
(INCLUDING TRANSFERS OF FUNDS)

For assistance to units of State and local government, and to other entities, for economic and community development activities, and for other purposes, [\$5,000,000,000] \$4,715,500,000, to remain available until September 30, [2004] 2005: *Provided*, That of the amount provided, [\$4,341,000,000] \$4,436,000,000 is for carrying out the community development block grant program under title I of the Housing and Community Development Act of 1974, as amended (the "Act" herein) (42 U.S.C. 5301 et seq.): *Provided further*, That for entitlement communities with per capita income two or more times the national average, the formula funding otherwise available will be reduced by fifty percent and the resulting savings will be redistributed to the other entitlement communities under the formula: *Provided further*, That [\$70,000,000] \$72,500,000 shall be for grants to Indian tribes notwithstanding section 106(a)(1) of such Act, of which up to \$1,500,000 shall be for the Native American Economic Development Access Center; [\$3,300,000] \$3,000,000 shall be [available as] for a grant to the Housing Assistance Council; [\$2,600,000] \$2,200,000 shall be [available as] for a grant to the National American Indian Housing Council; [\$5,000,000 shall be available as a grant to the National Housing Development Corporation, for operating expenses not to exceed \$2,000,000 and for a program of affordable housing acquisition and rehabilitation; \$5,000,000 shall be available as a grant to the National Council of La Raza for the HOPE Fund, of which \$500,000 is for technical assistance and fund management, and \$4,500,000 is for investments in the HOPE Fund and financing to affiliated organizations;] and [\$42,500,000] \$38,900,000 shall be for grants pursuant to section 107 of the Act of which [\$4,000,000] \$2,400,000 shall be [made available] to support Alaska Native serving institutions and Native Hawaiian serving institutions as defined under the Higher Education Act, as amended, and of which \$3,000,000 shall be [made available to] for tribal colleges and universities to build, expand, renovate and equip their facilities: *Provided further*, That \$9,600,000 shall be made available to the Department of Hawaiian Homelands to provide assistance as authorized under title VIII of the Native American Housing Assistance and Self-Determination Act of 1996 (22 U.S.C. 4221 et seq.) (with no more than 5 percent of such funds being available for administrative costs): *Provided further*, That no less than [\$13,800,000] \$3,400,000 shall be transferred to the Working Capital Fund for the development [and maintenance] of and modification to information technology systems: which serve programs or activities under "Community Planning and Development" *Provided further*, That [\$22,000,000] \$65,000,000 shall be for grants pursuant to the Self Help [Housing] Homeownership Opportunity Program: *Provided further*, That not to exceed 20 percent of any grant made with funds appropriated under this heading (other than a grant made available in this paragraph to the Housing Assistance Council or the National American Indian Housing Council, or a grant using funds under section 107(b)(3) of the Act) shall be expended for "Planning and Management Development" and "Administration", as defined in regulations promulgated by the Department.

Of the amount [made available] under this heading, [\$29,000,000] \$29,500,000 shall be [made available] for capacity building, of which \$25,000,000 shall be [made available] for Capacity Building for Community Development and Affordable Housing for LISC and the Enterprise Foundation for activities as authorized by section 4 of the HUD Demonstration Act of 1993 (42 U.S.C. 9816 note), as in effect immediately before June 12, 1997, with not less than \$5,000,000 of the funding to be used in rural areas, including tribal areas, and of which [\$4,000,000] \$4,500,000 shall be for capacity building activities administered by Habitat for Humanity International.

[Of the amount made available under this heading, the Secretary of Housing and Urban Development may use up to \$55,000,000 for supportive services for public housing residents, as authorized by section 34 of the United States Housing Act of 1937, as amended, and for residents of housing assisted under the Native American Housing Assistance and Self-Determination Act of 1996 (NAHASDA) and for grants for service coordinators and congregate services for the elderly and disabled residents of public and assisted housing and housing assisted under NAHASDA.]

[Of the amount made available under this heading, \$42,000,000 shall be available for neighborhood initiatives that are utilized to improve the conditions of distressed and blighted areas and neighborhoods, to stimulate investment, economic diversification, and community revitalization in areas with population outmigration or a stag-

nating or declining economic base, or to determine whether housing benefits can be integrated more effectively with welfare reform initiatives: *Provided*, That these grants shall be provided in accord with the terms and conditions specified in the statement of managers accompanying this conference report.]

Of the amount made available under this heading, notwithstanding any other provision of law, \$65,000,000 shall be available for YouthBuild program activities authorized by subtitle D of title IV of the Cranston-Gonzalez National Affordable Housing Act, as amended, and such activities shall be an eligible activity with respect to any funds made available under this heading: *Provided*, That local YouthBuild programs that demonstrate an ability to leverage private and nonprofit funding shall be given a priority for YouthBuild funding: *Provided further*, That no more than 10 percent of any grant award may be used for administrative costs: *Provided further*, That not less than \$10,000,000 shall be available for grants to establish YouthBuild programs in underserved and rural areas: *Provided further*, That of the amount provided under this paragraph, \$2,000,000 shall be set aside and made available for a grant to YouthBuild USA for capacity building for community development and affordable housing activities as specified in section 4 of the HUD Demonstration Act of 1993, as amended.

[Of the amount made available under this heading, \$294,200,000 shall be available for grants for the Economic Development Initiative (EDI) to finance a variety of targeted economic investments in accordance with the terms and conditions specified in the statement of managers accompanying this conference report.] (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Community Development Fund", \$2,000,000,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38: *Provided*, That such funds shall be subject to the first through sixth provisos in section 434 of Public Law 107-73: *Provided further*, That the State of New York, in conjunction with the City of New York, shall, through the Lower Manhattan Redevelopment Corporation ("the corporation"): (1) distribute the funds provided for the "Community Development Fund"; (2) within 45 days of enactment of this Act, issue the initial criteria and requirements necessary to accept applications from individuals, nonprofits, and small businesses for economic losses from the September 11, 2001, terrorist attacks; and (3) begin processing such applications: *Provided further*, That the corporation shall expeditiously respond to any application from an individual, nonprofit, or small business for economic losses under this heading: *Provided further*, That of the total amount made available for the "Community Development Fund", including amounts previously made available by transfer pursuant to the fifth proviso of Public Law 107-38, no less than \$500,000,000 shall be made available for individuals, nonprofits, or small businesses described in the prior three provisos, with a limit of \$500,000 per small business for economic losses: *Provided further*, That amounts made available in the previous proviso shall only be available for individuals, nonprofits, or small businesses located in New York City in the area located on or south of West 14th Street (west of its intersection with 5th Avenue), or on or south of East 14th street (east of its intersection with 5th Street): *Provided further*, That, of the amount provided in this paragraph, \$10,000,000 shall be used for a program to aid the travel and tourism industry in New York City.] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 86-0162-0-1-451	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Community Development Grants	4,953	6,012	4,713
00.02 World Trade Center Response		2,700	
00.03 Working Capital Fund	15	14	3
10.00 Total new obligations (object class 41.0)	4,968	8,726	4,716
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	883	1,026	
22.00 New budget authority (gross)	5,112	7,000	4,716
22.10 Resources available from recoveries of prior year obligations	4		
22.22 Unobligated balance transferred from other accounts		700	
23.90 Total budgetary resources available for obligation	5,999	8,726	4,716

General and special funds—Continued

COMMUNITY DEVELOPMENT [FUND] BLOCK GRANTS—Continued
(INCLUDING TRANSFERS OF FUNDS)—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 86-0162-0-1-451	2001 actual	2002 est.	2003 est.
23.95 Total new obligations	-4,968	-8,726	-4,716
23.98 Unobligated balance expiring or withdrawn	-3		
24.40 Unobligated balance carried forward, end of year	1,026		
New budget authority (gross), detail:			
Discretionary:			
Appropriation:			
40.00 Appropriation	5,602	5,000	4,716
40.00 Appropriation—pursuant to Defense Supp (HR 3338)		2,000	
40.36 Unobligated balance rescinded	-479		
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-11		
43.00 Appropriation (total discretionary)	5,112	7,000	4,716
Change in obligated balances:			
72.40 Obligated balance, start of year	9,074	9,091	12,582
73.10 Total new obligations	4,968	8,726	4,716
73.20 Total outlays (gross)	-4,939	-5,235	-5,878
73.40 Adjustments in expired accounts (net)	-8		
73.45 Recoveries of prior year obligations	-4		
74.40 Obligated balance, end of year	9,091	12,582	11,420
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	136	300	94
86.93 Outlays from discretionary balances	4,803	4,935	5,784
87.00 Total outlays (gross)	4,939	5,235	5,878
Net budget authority and outlays:			
89.00 Budget authority	5,112	7,000	4,716
90.00 Outlays	4,939	5,235	5,878

Summary of Budget Authority and Outlays

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Enacted/requested:			
Budget Authority	5,112	7,000	4,716
Outlays	4,939	5,235	5,878
Legislative proposal, not subject to PAYGO:			
Budget Authority			16
Outlays			
Total:			
Budget Authority	5,112	7,000	4,732
Outlays	4,939	5,235	5,878

Title I of the Housing and Community Development Act of 1974, as amended, authorizes the Secretary to make grants to units of general local government under the Community Development Block Grant (CDBG) program and States to fund local community development programs.

The 2003 Budget allocates \$72.5 million to Indian tribes as authorized by Section 106(a)(1) of the Housing and Community Development Act of 1974. Since its inception, funds made available to Native American communities have supported a wide variety of community development activities, predominantly, but not exclusively, community facilities, infrastructure and buildings to help meet the basic needs of low and moderate income community members. Since the vast majority of eligible tribes and Alaska Native Villages have non-existent tax bases, this program has helped to finance those public facilities needed to maintain or establish community viability. While it has had a significant impact on many Native American communities throughout the Nation, basic community development needs throughout Indian Country remain substantial. Up to \$1,500,000 is for the continuing operation of the Native American Economic Development Access Center. Unemployment on Indian reservations and other Indian areas is extremely high. This Federal interagency project

provides economic development information to Indian and non-Indian individuals and enterprises.

Seventy percent of CDBG formula funds are allocated to metropolitan cities and urban counties that receive their grants using the higher of two objective formulas. States and small cities receive 30 percent of the formula funds. The budget proposes to reduce by fifty percent the formula funds which would otherwise be available for entitlement communities that have a per capita income two or more times the national average. These savings allow for the funding of a \$16 million Colonias Gateway Initiative which will help direct considerable assistance to very low income communities. The proposed level of funding for CDBG and the Section 108 Loan Guarantee Program will support an estimated 122,987 and 15,000 jobs, respectively. These funds will also help to rehabilitate 180,260 housing units.

Section 107 Grants include funding for technical assistance to support local and State grantees, management information systems support, Historically Black Colleges and Universities, Hispanic serving Institutions, the Community Development Work Study, Community Outreach Partnership Centers (COPC), Tribal Colleges and Universities and Alaska Native and Native Hawaiian Serving Institutions programs. There is also a legislative proposal to move the funding for the Insular areas out of Section 107 and into Section 106, the Formula program.

As authorized by Section 4 of the HUD Demonstration Act of 1993, the National Community Development Initiative (NCDI) helps build capacity of community-based development corporations and housing development organizations, and assist such corporations and organizations to carry out community development and affordable housing activities. The 2003 Budget includes \$25 million for this program. In addition, \$4.5 million is set aside for Habitat for Humanity capacity building programs. Both programs will target their efforts to increasing minority homeownership.

The Youthbuild program provides resources to educate, train and supply stipends for economically disadvantaged young adults through their participation in the construction and rehabilitation of housing for low-income and homeless families and individuals. The program expands the supply of affordable housing and, at the same time, enables high school drop-outs to obtain the education and employment skills necessary to achieve self-sufficiency. The 2003 request for \$65 million will provide more than 3,774 young people with skills they need to obtain jobs. Funding of \$65 million is provided for the Self-Help Homeownership Opportunity Program (Shop) which is targeted at very low-income populations. The three-fold increase reflects the growing capacity of self-help housing organizations to expand upon recent successes in making home ownership a viable option. In addition, \$3 million is provided for the Housing Assistance Council as well as \$2.2 million for the Native American Indian Housing Council to meet unserved rural and Native American housing needs.

The 2003 Budget also includes \$3 million in competitive grants to Tribal Colleges and Universities (TCU) to provide resources to build, expand, renovate and equip facilities. In addition, \$2.4 million is provided to assist Alaska Native and Native Hawaiian Serving institutions.

(Legislative proposal not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 86-0162-2-1-451	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Colonias Gateway Initiative			16
10.00 Total new obligations (object class 41.0)			16

Budgetary resources available for obligation:	
22.00	New budget authority (gross) 16
23.95	Total new obligations -16
New budget authority (gross), detail:	
Discretionary:	
40.00	Appropriation 16
Change in obligated balances:	
73.10	Total new obligations 16
74.40	Obligated balance, end of year 16
Net budget authority and outlays:	
89.00	Budget authority 16
90.00	Outlays 16

The Budget also provides \$16 million for the Colonias Gateway Initiative to enhance the availability of affordable housing, economic opportunity, and infrastructure in the Colonias by establishing a non-profit entity with the mission of improving the coordination of public, private, and community-based resources in the Colonias. Colonias are rural communities within 150 miles of the U.S. Mexican border that lack adequate infrastructure and other basic services.

[EMPOWERMENT ZONES/ENTERPRISE COMMUNITIES]

[For grants in connection with a second round of empowerment zones and enterprise communities, \$45,000,000, to remain available until expended, for "Urban Empowerment Zones", as authorized in section 1391(g) of the Internal Revenue Code of 1986 (26 U.S.C. 1391(g)), including \$3,000,000 for each empowerment zone for use in conjunction with economic development activities consistent with the strategic plan of each empowerment zone.] (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 86-0315-0-1-451	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01	Develop urban sites 185	45	
10.00	Total new obligations (object class 41.0) 185	45	
Budgetary resources available for obligation:			
22.00	New budget authority (gross) 185	45	
23.95	Total new obligations -185	-45	
New budget authority (gross), detail:			
Discretionary:			
40.00	Appropriation 185	45	
Change in obligated balances:			
72.40	Obligated balance, start of year 93	246	202
73.10	Total new obligations 185	45	
73.20	Total outlays (gross) -31	-89	-104
74.40	Obligated balance, end of year 246	202	98
Outlays (gross), detail:			
86.90	Outlays from new discretionary authority 1		
86.93	Outlays from discretionary balances 31	89	104
87.00	Total outlays (gross) 31	89	104
Net budget authority and outlays:			
89.00	Budget authority 185	45	
90.00	Outlays 31	89	104

The goal of the Empowerment Zone (EZ) initiative is to revitalize city neighborhoods by attracting business development and providing employment opportunities to residents of empowerment zones. Empowerment Zone principles include a strategic vision for change, a community-based partnership, providing economic opportunity and sustainable community development.

The VA HUD Appropriations Act of 2001 (P.L. 106-377 and P.L. 106-554) provided \$185 million for Round II Urban

Empowerment Zones. The VA HUD Appropriations Act of 2002 (P.L. 107-73) provided \$45 million for Round II Urban Empowerment Zones that will bring the total funding for Round II urban EZs through 2002 to \$330 million. No new funding is proposed for EZ's in FY 2003.

Funding provides for a broad range of activities aimed at assisting residents, businesses and organizations in urban EZs, including: community policing; health care; neighborhood development; brownfields clean-up and redevelopment; support for financing of capital projects; education; work force preparation and job creation efforts linked to welfare reform; leveraging private sector resources, repayment of debt financing by municipal bonds; financing of projects in conjunction with the Section 108 loan guarantee program and other economic development projects; support for project-based rental assistance; and, financing other housing activities.

EZs are helping to stimulate billions of dollars in private investment, reviving inner city neighborhoods and supporting jobs, and helping families move from welfare to work.

The Community Renewal and Tax Relief Act of 2000 (P.L. 106-554) authorized the designation of a third round of 7 urban and 2 rural empowerment zones. The Community Renewal and Tax Relief Act of 2000 also authorized the creation of a Renewal Communities program to be administered by HUD that includes 40 competitively selected communities.

BROWNFIELDS REDEVELOPMENT

For Economic Development Grants[, as authorized by section 108(q) of the Housing and Community Development Act of 1974, as amended,] for Brownfields redevelopment projects, \$25,000,000, to remain available until September 30, [2003] 2004: *Provided*, That the Secretary of Housing and Urban Development shall make these grants available on a competitive basis as specified in section 102 of the Department of Housing and Urban Development Reform Act of 1989: *Provided further*, That notwithstanding section 108(q) of the Housing and Community Development Act of 1974, recipients of funding made available under this heading may, at the discretion of the Secretary, also apply for funding under section 108. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 86-0314-0-1-451	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01	Cleanup and develop contaminated sites 49	26	25
10.00	Total new obligations (object class 41.0) 49	26	25
Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year 25	1	
22.00	New budget authority (gross) 25	25	25
23.90	Total budgetary resources available for obligation 50	26	25
23.95	Total new obligations -49	-26	-25
24.40	Unobligated balance carried forward, end of year 1		
New budget authority (gross), detail:			
Discretionary:			
40.00	Appropriation 25	25	25
Change in obligated balances:			
72.40	Obligated balance, start of year 46	91	91
73.10	Total new obligations 49	26	25
73.20	Total outlays (gross) -4	-26	-31
74.40	Obligated balance, end of year 91	91	85
Outlays (gross), detail:			
86.90	Outlays from new discretionary authority 1		1
86.93	Outlays from discretionary balances 4	25	30
87.00	Total outlays (gross) 4	26	31
Net budget authority and outlays:			
89.00	Budget authority 25	25	25

General and special funds—Continued

BROWNFIELDS REDEVELOPMENT—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 86-0314-0-1-451	2001 actual	2002 est.	2003 est.
90.00 Outlays	4	26	31

The Brownfields Redevelopment initiative provides competitive economic development grants, which may be done in conjunction with Section 108 loan guarantees, for qualified brownfield projects. Previously Brownfields grants had to be used with section 108 loan guarantees. Eligible communities are invited to submit proposals to return contaminated sites to productive and employment-generating uses, with an emphasis on creating substantial numbers of jobs for lower-income people in physically and economically distressed neighborhoods.

Grants are made in accordance with section 108(q) selection criteria and such other criteria deemed appropriate for brownfield projects, including the extent to which an applicant is currently operating a brownfields program and is working with appropriate environmental regulatory agencies.

The Brownfields Redevelopment initiative has received annual appropriations of \$25 million since its inception in 1998. The 2003 request of \$25 million will enable communities to reclaim and redevelop approximately 19 Brownfield sites.

YOUTHBUILD PROGRAM

Program and Financing (in millions of dollars)

Identification code 86-0219-0-1-604	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	
73.20 Total outlays (gross)		-1	
74.40 Obligated balance, end of year	1		
Outlays (gross), detail:			
86.93 Outlays from discretionary balances		1	
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays		1	

This program provides resources to educate, train, and provide stipends for economically disadvantaged young adults through their participation in the construction and rehabilitation of housing for low-income and homeless persons. The program expands the supply of affordable housing and, at the same time, enables high school dropouts to obtain the education and employment skills necessary to achieve self-sufficiency. The Youthbuild program has been funded as a set-aside within the CDBG program since 1996. The 2003 set aside request of \$65 million will provide more than 3,774 young people with skills they need to get jobs. The obligated balance and outlays represent activity in the separate youthbuild account.

HOME INVESTMENT PARTNERSHIPS PROGRAM

(INCLUDING TRANSFER OF FUNDS)

For the HOME investment partnerships program, as authorized under title II of the Cranston-Gonzalez National Affordable Housing Act, as amended, [\$1,846,040,000] \$2,084,100,000, to remain available until September 30, [2004: *Provided*, That of the total amount provided under this heading, \$50,000,000] 2005, of which \$200,000,000 shall be available for the Downpayment Assistance Initiative], subject to the enactment of subsequent legislation authorizing such initiative: *Provided further*, That should legislation author-

izing such initiative not be enacted by June 30, 2002, amounts designated in the previous proviso shall become available for any such purpose authorized under title II of the Cranston-Gonzalez National Affordable Housing Act, as amended: *Provided further*, That of the total amount provided under this heading, up to \$20,000,000 shall be available for housing counseling under section 106 of the Housing and Urban Development Act of 1968; and]; and no less than [\$17,000,000] \$1,100,000 shall be transferred to the Working Capital Fund for the development of and maintenance of, and modification to information technology systems which serve Programs or activities under "Community Planning and Development". (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 86-0205-0-1-604	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 HOME grants	1,706	1,846	2,084
10.00 Total new obligations (object class 41.0)	1,706	1,846	2,084
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	189	284	284
22.00 New budget authority (gross)	1,796	1,846	2,084
22.10 Resources available from recoveries of prior year obligations	5		
23.90 Total budgetary resources available for obligation	1,990	2,130	2,368
23.95 Total new obligations	-1,706	-1,846	-2,084
24.40 Unobligated balance carried forward, end of year	284	284	284
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1,800	1,846	2,084
40.35 Appropriation rescinded	-4		
43.00 Appropriation (total discretionary)	1,796	1,846	2,084
Change in obligated balances:			
72.40 Obligated balance, start of year	4,107	4,383	4,678
73.10 Total new obligations	1,706	1,846	2,084
73.20 Total outlays (gross)	-1,424	-1,551	-1,600
73.45 Recoveries of prior year obligations	-5		
74.40 Obligated balance, end of year	4,383	4,678	5,162
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	38	37	42
86.93 Outlays from discretionary balances	1,386	1,514	1,558
87.00 Total outlays (gross)	1,424	1,551	1,600
Net budget authority and outlays:			
89.00 Budget authority	1,796	1,846	2,084
90.00 Outlays	1,424	1,551	1,600

The HOME Investment Partnerships program is authorized by the National Affordable Housing Act (P.L. 101-625). This program provides assistance to States and units of local government, through formula allocation, expanding the supply and affordability of housing. Eligible activities include acquisition, rehabilitation, and new construction of housing and tenant-based rental assistance. The 2003 request will result in the production of 104,000 units of affordable housing through new construction, rehabilitation, or acquisition. In addition, tenant-based rental assistance will be provided for 12,700 units.

The HOME request also includes up to \$1.1 million for information technology related projects, funding for technical assistance, and \$1 million for program management and analytical support.

HOMELESS ASSISTANCE GRANTS

(INCLUDING TRANSFER OF FUNDS)

For the emergency shelter grants program as authorized under subtitle B of title IV of the McKinney-Vento Homeless Assistance

Act, as amended; the supportive housing program as authorized under subtitle C of title IV of such Act; the section 8 moderate rehabilitation single room occupancy program as authorized under the United States Housing Act of 1937, as amended, to assist homeless individuals pursuant to section 441 of the McKinney-Vento Homeless Assistance Act; and the shelter plus care program as authorized under subtitle F of title IV of such Act, **[\$1,122,525,000]** \$1,129,500,000, to remain available until September 30, **[2004]** 2005: *Provided*, That not less than 30 percent of funds made available, excluding amounts provided for renewals under the shelter plus care program, shall be used for permanent housing: *Provided further*, That all funds awarded for services shall be matched by 25 percent in funding by each grantee: *Provided further*, That the Secretary shall renew on an annual basis expiring contracts or amendments to contracts funded under the shelter plus care program if the program is determined to be needed under the applicable continuum of care and meets appropriate program requirements and financial standards, as determined by the Secretary: *Provided further*, That all awards of assistance under this heading shall be required to coordinate and integrate homeless programs with other mainstream health, social services, and employment programs for which homeless populations may be eligible, including Medicaid, State Children's Health Insurance Program, Temporary Assistance for Needy Families, Food Stamps, and services funding through the Mental Health and Substance Abuse Block Grant, Workforce Investment Act, and the Welfare-to-Work grant program: *Provided further*, That **[\$2,000,000]** \$11,000,000 of the funds appropriated under this heading shall be available for the national homeless data analysis project: *Provided further*, That \$6,600,000 of the funds appropriated under this heading shall be available for technical assistance: *Provided further*, That no less than **[\$5,600,000]** \$1,500,000 of the funds appropriated under this heading shall be transferred to the Working Capital Fund: *for the development of and modifications to information technology systems which serve activities under "Community Planning and Development"*: *Provided further*, That **[\$500,000]** \$1,000,000 shall be made available to the Interagency Council on the Homeless for administrative needs. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

The Homeless Assistance Grants program funds the Shelter Plus Care, Supportive Housing, Emergency Shelter Grants, and Section 8 Moderate Rehabilitation Single Room Occupancy programs. These funds will enable localities to continue to shape and implement comprehensive, flexible, coordinated approaches to solving rather than institutionalizing homelessness. In fact, in recent years, many communities have made great strides in developing holistic approaches to solving homelessness. Requested funding would be available for a wide range of activities to assist homeless persons and prevent future homelessness and will support the Department's effort to end chronic homelessness in 10 years. The Administration will propose legislation to combine HUD's three competitive programs, Shelter Plus Care, Supportive Housing, and Section 8 Moderate Rehabilitation Single Room Occupancy into a single program with enough flexibility to meet community needs. The Department is also continuing to pursue expanded interagency efforts to meet the needs of the homeless. The 2003 request will fund over 50,000 new and renewal transitional and permanent beds, all linked to supportive services.

Funding is also requested for technical assistance to provide needed assistance to grantees to resolve problems that hinder successful project completion and implementation, and for management information systems support, including the continuing operation of tracking systems required by House Report 105-610.

EMERGENCY FOOD AND SHELTER PROGRAM
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 86-0192-0-1-604	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Homeless assistance grants	999	2,531	1,130
10.00 Total new obligations (object class 41.0)	999	2,531	1,130
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1,340	1,408
22.00 New budget authority (gross)	1,023	1,123	1,130
22.10 Resources available from recoveries of prior year obligations	44
23.90 Total budgetary resources available for obligation	2,407	2,531	1,130
23.95 Total new obligations	-999	-2,531	-1,130
24.40 Unobligated balance carried forward, end of year	1,408
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1,025	1,123	1,130
40.35 Appropriation rescinded	-2
43.00 Appropriation (total discretionary)	1,023	1,123	1,130
Change in obligated balances:			
72.40 Obligated balance, start of year	2,510	2,500	3,969
73.10 Total new obligations	999	2,531	1,130
73.20 Total outlays (gross)	-965	-1,062	-1,199
73.45 Recoveries of prior year obligations	-44
74.40 Obligated balance, end of year	2,500	3,969	3,900
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	12	34	34
86.93 Outlays from discretionary balances	953	1,028	1,165
87.00 Total outlays (gross)	965	1,062	1,199
Net budget authority and outlays:			
89.00 Budget authority	1,023	1,123	1,130
90.00 Outlays	965	1,062	1,199

Program and Financing (in millions of dollars)

Identification code 86-0230-2-1-605	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	153
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	153
23.95 Total new obligations	-153
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	153
Change in obligated balances:			
73.10 Total new obligations	153
73.20 Total outlays (gross)	-153
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	153
Net budget authority and outlays:			
89.00 Budget authority	153
90.00 Outlays	153

Legislation will be submitted to transfer this program from the Federal Emergency Management Agency to HUD. This transfer reduces the number of Federal agencies administering homeless programs—for HUD, ending chronic homelessness is part of its core mission. The Emergency Food and Shelter program distributes funds rapidly and equitably to local jurisdictions to supplement community efforts to provide emergency food and shelter services. Funds will be obligated to a National Board, which will be chaired by HUD and whose non-profit partners (American Red Cross, The Salvation Army, United Way of America, and others) provide professional expertise. This National Board then works through similarly composed local boards to advertise the availability of funds, assess community needs, and make allocation choices. These community-based Local Boards also assure coordination of effort and development of systems to

General and special funds—Continued

EMERGENCY FOOD AND SHELTER PROGRAM—Continued

prevent duplication of benefits. The Budget proposes no changes in the program's design or operation. The 2003 Budget includes \$153 million, a \$13 million increase.

RURAL HOUSING AND ECONOMIC DEVELOPMENT

【For the Office of Rural Housing and Economic Development in the Department of Housing and Urban Development, \$25,000,000 to remain available until expended, which amount shall be awarded by June 1, 2002, to Indian tribes, State housing finance agencies, State community and/or economic development agencies, local rural nonprofits and community development corporations to support innovative housing and economic development activities in rural areas: *Provided*, That all grants shall be awarded on a competitive basis as specified in section 102 of the Department of Housing and Urban Development Reform Act of 1989.】 (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 86-0324-0-1-604	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Rural Housing	25	51	
10.00 Total new obligations (object class 41.0)	25	51	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	26	26	
22.00 New budget authority (gross)	25	25	
23.90 Total budgetary resources available for obligation	51	51	
23.95 Total new obligations	-25	-51	
24.40 Unobligated balance carried forward, end of year	26		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	25	25	
Change in obligated balances:			
72.40 Obligated balance, start of year	23	31	57
73.10 Total new obligations	25	51	
73.20 Total outlays (gross)	-17	-25	-25
74.40 Obligated balance, end of year	31	57	32
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		1	
86.93 Outlays from discretionary balances	17	24	25
87.00 Total outlays (gross)	17	25	25
Net budget authority and outlays:			
89.00 Budget authority	25	25	
90.00 Outlays	17	25	25

The 2002 VA-HUD and Independent Agencies Appropriations Act (P.L. 107-73) provided \$25 million to the Rural Housing and Economic Development program. This program is located in the Office of Community Planning and Development and is used to encourage new and innovative approaches to serving the housing and economic development needs of the nation's rural communities. The 2003 Budget proposes no new funding for this program.

URBAN DEVELOPMENT ACTION GRANTS

Program and Financing (in millions of dollars)

Identification code 86-0170-0-1-451	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year	68	67	52
73.20 Total outlays (gross)	-1	-15	-15
74.40 Obligated balance, end of year	67	52	37

Outlays (gross), detail:			
86.93 Outlays from discretionary balances	1	15	15
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	1	15	15

Title I of the Housing and Community Development Act of 1974, as amended, authorized grants to distressed cities and distressed urban counties to fund economic development projects. The program was terminated in 1990.

CAPACITY BUILDING FOR COMMUNITY DEVELOPMENT AND AFFORDABLE HOUSING

Program and Financing (in millions of dollars)

Identification code 86-0222-0-1-451	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year	7	2	
73.20 Total outlays (gross)	-5	-2	
74.40 Obligated balance, end of year	2		
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	5	2	
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	5	2	

As authorized by section 4 of the HUD Demonstration Act of 1993, this program provides funding to the National Community Development Initiative to build the capacity of community-based development corporations and housing development organizations and to assist such corporations and organizations to carry out community development and affordable housing activities. Funding for this program was provided under the Annual Contributions for Assisted Housing account in 1996. Public Law 105-18 amended authorizing legislation to include additional eligible recipients and provided funding for this program through a transfer from the Homeownership and Opportunity for People Everywhere Grants account in 1997. Funding of \$28 million was provided for this program in 2001 in Public Law 106-377 as a set-aside within the CDBG program. P.L. 107-73 provided \$29 million for this program in 2002. \$29.5 million is being requested in 2003, again as a set-aside within CDBG.

SHELTER PLUS CARE RENEWALS

Program and Financing (in millions of dollars)

Identification code 86-0232-0-1-604	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Renewal of Expiring Contracts	24	76	
10.00 Total new obligations (object class 41.0)	24	76	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		76	
22.00 New budget authority (gross)	100		
23.90 Total budgetary resources available for obligation	100	76	
23.95 Total new obligations	-24	-76	
24.40 Unobligated balance carried forward, end of year	76		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	100		
Change in obligated balances:			
72.40 Obligated balance, start of year		22	52
73.10 Total new obligations	24	76	
73.20 Total outlays (gross)	-2	-46	-52

74.40	Obligated balance, end of year	22	52
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	2		
86.93	Outlays from discretionary balances		46	52
87.00	Total outlays (gross)	2	46	52
Net budget authority and outlays:				
89.00	Budget authority	100		
90.00	Outlays	2	46	52

Shelter Plus Care provides rental assistance that, when combined with social services, supplies supportive housing for homeless people with disabilities and their families. Homeless people with disabilities often need more than shelter to live independently, such as medical care or other social services. Shelter Plus Care provides for a variety of housing choices such as group homes or individual units, coupled with a range of supportive services (which are funded by other sources). Grantees must match the rental assistance with supportive services that are at least equal in value to the amount of HUD's rental assistance. The Shelter Plus Care renewal funding renews contracts on a one-year basis and provides funding to amend contracts that were previously extended but which will run out of funding. The FY 2002 VA HUD Appropriations Act (P.L. 107-73) provided funding for Shelter Plus Care in the Homeless Assistance Grants account. Amounts provided in FY 2002 are sufficient to cover Shelter Plus Care Renewals in 2003. In the future, Shelter Plus Care Renewals will be funded in the Homeless Assistance Grants account.

Public enterprise funds:

REVOLVING FUND (LIQUIDATING PROGRAMS)

Program and Financing (in millions of dollars)

Identification code 86-4015-0-3-451	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
09.01	Loan servicing	5	4
09.03	Administrative expenses	1		
10.00	Total new obligations	6	4
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	76	31	13
22.00	New budget authority (gross)	81	1	1
22.40	Capital transfer to general fund	-120	-15
23.90	Total budgetary resources available for obligation	37	17	14
23.95	Total new obligations	-6	-4
24.40	Unobligated balance carried forward, end of year	31	13	14
New budget authority (gross), detail:				
Mandatory:				
69.00	Offsetting collections (cash)	81	1	1
Change in obligated balances:				
72.40	Obligated balance, start of year	6	7	7
73.10	Total new obligations	6	4
73.20	Total outlays (gross)	-5	-4	-3
74.40	Obligated balance, end of year	7	7	4
Outlays (gross), detail:				
86.98	Outlays from mandatory balances	5	4	3
Offsets:				
Against gross budget authority and outlays:				
88.40	Offsetting collections (cash) from: Non-Federal sources	-81	-1	-1
Net budget authority and outlays:				
89.00	Budget authority			
90.00	Outlays	-76	3	2

Status of Direct Loans (in millions of dollars)

Identification code 86-4015-0-3-451	2001 actual	2002 est.	2003 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	142	19	16
1251	Repayments: Repayments and prepayments	-76	-1	-1
1263	Write-offs for default: Direct loans	-47	-2	-2
1290	Outstanding, end of year	19	16	13

The Revolving fund (liquidating programs) was established by the Independent Offices Appropriations Act of 1955 for the efficient liquidation of assets acquired under a number of housing and urban development programs. The account's largest influx of funds in fiscal year 2001 resulted from the sale of most of the section 312 loan portfolio to the private sector for \$64 million.

Statement of Operations (in millions of dollars)

Identification code 86-4015-0-3-451	2000 actual	2001 actual	2002 est.	2003 est.
0101	Revenue	5	4	5
0102	Expense	-4	-183	-4
0105	Net income or loss (-)	1	-179	1

Balance Sheet (in millions of dollars)

Identification code 86-4015-0-3-451	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101	Federal assets: Fund balances with Treasury	82	38	38
Non-Federal assets:				
1206	Receivables, net	17		
1207	Advances and prepayments	1	1	1
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601	Direct loans, gross	142	19	19
1602	Interest receivable	12	4	4
1603	Allowance for estimated uncollectible loans and interest (-)	-19	-8	-8
1604	Direct loans and interest receivable, net	135	15	15
1606	Foreclosed property	1	3	3
1699	Value of assets related to direct loans	136	18	18
1801	Other Federal assets: Cash and other monetary assets	1		
1999	Total assets	237	57	57
LIABILITIES:				
Non-Federal liabilities:				
2201	Accounts payable	1		
2207	Other	8	9	9
2999	Total liabilities	9	9	9
NET POSITION:				
3100	Appropriated capital	10	3	3
3300	Cumulative results of operations	218	45	45
3999	Total net position	228	48	48
4999	Total liabilities and net position	237	57	57

Object Classification (in millions of dollars)

Identification code 86-4015-0-3-451	2001 actual	2002 est.	2003 est.
25.2	Other services	4	4
32.0	Land and structures	2	
99.9	Total new obligations	6	4

Credit accounts:

COMMUNITY DEVELOPMENT LOAN GUARANTEES PROGRAM ACCOUNT
(INCLUDING TRANSFER OF FUNDS)

For the cost of guaranteed loans, **[\$14,000,000] \$6,325,000**, to remain available until September 30, **[2003] 2004**, as authorized by section 108 of the Housing and Community Development Act of 1974, as amended: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974, as amended: *Provided further*, That these funds are available to subsidize total loan principal, any part of which is to be guaranteed, not to exceed **[\$608,696,000] \$275,000,000**, notwithstanding any aggregate limitation on outstanding obligations guaranteed in section 108(k) of the Housing and Community Development Act of 1974, as amended: *Provided further*, That in addition, for administrative expenses to carry out the guaranteed loan program, **[\$1,000,000] \$1,040,000**, which shall be transferred to and merged with the appropriation for "Salaries and expenses". (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 86-0198-0-1-451	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Community development loan guarantee credit subsidy	6	14	6
00.09 Administrative expense	1	1	1
10.00 Total new obligations (object class 33.0)	7	15	7
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	30	15	7
23.95 Total new obligations	-7	-15	-7
23.98 Unobligated balance expiring or withdrawn	-23		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	30	15	7
Change in obligated balances:			
72.40 Obligated balance, start of year	22	18	22
73.10 Total new obligations	7	15	7
73.20 Total outlays (gross)	-7	-11	-15
73.40 Adjustments in expired accounts (net)	-3		
74.40 Obligated balance, end of year	18	22	14
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2	3	2
86.93 Outlays from discretionary balances	5	8	13
87.00 Total outlays (gross)	7	11	15
Net budget authority and outlays:			
89.00 Budget authority	30	15	7
90.00 Outlays	7	11	15

Guaranteed Loans.—The Community Development Loan Guarantee program (Section 108) has a proposed commitment level of \$275 million in 2003. The credit subsidy/administrative cost estimate for the guaranteed loan program is \$7 million in 2003.

Section 108 loan guarantees are used by Community Development Block Grant entitlement and nonentitlement communities (assisted by their State) to cover the cost of: acquiring real property; rehabilitating publicly owned real property; housing rehabilitation; and, certain other economic development activities. In addition, Section 108 has, in some cases, been used to finance the construction of housing by nonprofit organizations.

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 86-0198-0-1-451	2001 actual	2002 est.	2003 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Community development loan guarantee levels	1,258	609	275

215901 Total loan guarantee levels	1,258	609	275
Guaranteed loan subsidy (in percent):			
232001 Subsidy rate	2.30	2.30	2.30
232901 Weighted average subsidy rate	2.30	2.30	2.30
Guaranteed loan subsidy budget authority:			
233001 Loan guarantee levels	29	14	6
233901 Total subsidy budget authority	29	14	6
Guaranteed loan subsidy outlays:			
234001 Loan guarantee levels	6	10	14
234901 Total subsidy outlays	6	10	14
Administrative expense data:			
351001 Budget authority	1	1	1
359001 Outlays from new authority	1	1	1

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the loan guarantees committed in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

COMMUNITY DEVELOPMENT LOAN GUARANTEES FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 86-4096-0-3-451	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	22	48	60
22.00 New financing authority (gross)	26	12	17
23.90 Total budgetary resources available for obligation	48	60	77
24.40 Unobligated balance carried forward, end of year	48	60	78
New financing authority (gross), detail:			
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	8	12	17
68.10 Change in uncollected customer payments from Federal sources (unexpired)	18		
68.90 Spending authority from offsetting collections (total discretionary)	26	12	17
Change in obligated balances:			
72.40 Obligated balance, start of year		-18	-18
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-18		
74.40 Obligated balance, end of year	-18	-18	-18
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Federal sources	-7	-10	-14
88.25 Interest on uninvested funds	-1	-2	-3
88.90 Total, offsetting collections (cash)	-8	-12	-17
Against gross financing authority only:			
88.95 Change in receivables from program accounts	-18		
Net financing authority and financing disbursements:			
89.00 Financing authority			
90.00 Financing disbursements	-8	-12	-17

Status of Guaranteed Loans (in millions of dollars)

Identification code 86-4096-0-3-451	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders	1,258	609	275
2121 Limitation available from carry-forward			
2142 Uncommitted loan guarantee limitation	-1,014		
2143 Uncommitted limitation carried forward			

2150	Total guaranteed loan commitments	244	609	275
2199	Guaranteed amount of guaranteed loan commitments	244	609	275
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	1,692	1,887	2,087
2231	Disbursements of new guaranteed loans	335	400	400
2251	Repayments and prepayments	-140	-200	-200
2290	Outstanding, end of year	1,887	2,087	2,287
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	1,887	2,087	2,287

Balance Sheet (in millions of dollars)

Identification code 86-4096-0-3-451	2000 actual	2001 actual	2002 est.	2003 est.	
ASSETS:					
1101	Federal assets: Fund balances with Treasury	22	30	50	60
1999	Total assets	22	30	50	60
LIABILITIES:					
2204	Non-Federal liabilities: Liabilities for loan guarantees	22	30	50	60
2999	Total liabilities	22	30	50	60
4999	Total liabilities and net position	22	30	50	60

Guaranteed loans.—The Community Development Loan Guarantees program provides a mechanism for the Federal guarantee of private loans. There is an accompanying liquidating account which shows activity for Federal Financing Bank (FFB) direct loan activity, obligated prior to July 1, 1986. Also following is a status of privately financed guaranteed loan commitments made prior to 1992.

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals. As required by the Federal Credit Reform Act of 1990, no administrative expenses can be recorded in the financing account.

COMMUNITY DEVELOPMENT LOAN GUARANTEES LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 86-4097-0-3-451	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year	16	
22.00	New budget authority (gross)	19	2
22.40	Capital transfer to general fund	-16	
22.60	Portion applied to repay debt	-3	-2
23.90	Total budgetary resources available for obligation	16	
24.40	Unobligated balance carried forward, end of year	16	
New budget authority (gross), detail:			
Mandatory:			
69.00	Offsetting collections (cash)	20	2
69.10	Change in uncollected customer payments from Federal sources (unexpired)	-1	
69.90	Spending authority from offsetting collections (total mandatory)	19	2
Change in obligated balances:			
72.40	Obligated balance, start of year	-5	-4
73.20	Total outlays (gross)	-5	
74.00	Change in uncollected customer payments from Federal sources (unexpired)	1	
74.40	Obligated balance, end of year	-5	-4

Outlays (gross), detail:				
86.98	Outlays from mandatory balances	5		
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-3		
88.40	Non-Federal sources	-17	-2	-2
88.90	Total, offsetting collections (cash)	-20	-2	-2
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	1		

Net budget authority and outlays:

89.00	Budget authority			
90.00	Outlays	-15	-2	-2

Status of Direct Loans (in millions of dollars)

Identification code 86-4097-0-3-451	2001 actual	2002 est.	2003 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	11	8	6
1251	Repayments: Repayments and prepayments	-3	-2	-2
1290	Outstanding, end of year	8	6	4

Status of Guaranteed Loans (in millions of dollars)

Identification code 86-4097-0-3-451	2001 actual	2002 est.	2003 est.	
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	107	81	52
2251	Repayments and prepayments	-26	-29	-6
2290	Outstanding, end of year	81	52	46
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	81	52	46

Statement of Operations (in millions of dollars)

Identification code 86-4097-0-3-451	2000 actual	2001 actual	2002 est.	2003 est.
0101	Revenue	2	1	1
0102	Expense	-2	-1	-1
0105	Net income or loss (-)			

Balance Sheet (in millions of dollars)

Identification code 86-4097-0-3-451	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
1101	Fund balances with Treasury	11	12	13
Investments in US securities:				
1106	Receivables, net	5	5	5
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1701	Defaulted guaranteed loans, gross	11	8	6
1799	Value of assets related to loan guarantees	11	8	6
1999	Total assets	11	24	23
LIABILITIES:				
2103	Federal liabilities: Debt	11	8	7
2207	Non-Federal liabilities: Other	16	16	16
2999	Total liabilities	11	24	23
4999	Total liabilities and net position	11	24	23

As required by the Federal Credit Reform Act of 1990, this account records all cash flows to and from the Government resulting from Federal Financing Bank (FFB) direct loans for which loan guarantees were committed prior to 1992. This account is shown on a cash basis.

Credit accounts—Continued

COMMUNITY DEVELOPMENT LOAN GUARANTEES LIQUIDATING
ACCOUNT—Continued

Guaranteed loans.—Guaranteed loan assistance under the Community Development Loan Guarantees program was provided to eligible communities to finance economic development activities, housing rehabilitation, development or expansion of public facilities, acquisition of real property, rehabilitation of publicly owned real property, and certain related expenses. In the past, the FFB financed these guaranteed loans. The Consolidated Omnibus Budget Reconciliation Act of 1985 required private financing of all loan guarantees committed after July 1, 1986. FFB will continue disbursing loans for commitments approved prior to July 1, 1986. The activity shown in the above account reflects privately financed guaranteed loans for which commitments were made prior to 1992.

HOUSING PROGRAMS

Federal Funds

General and special funds:

HOUSING FOR SPECIAL POPULATIONS

(INCLUDING TRANSFER OF FUNDS)

For assistance for the purchase, construction, acquisition, or development of additional public and subsidized housing units for low income families not otherwise provided for, \$1,024,151,000, to remain available until September 30, [2004] 2005: *Provided*, That [\$783,286,000] \$773,636,000, plus recaptures or cancelled commitments, shall be for capital advances, including amendments to capital advance contracts, for housing for the elderly, as authorized by section 202 of the Housing Act of 1959, as amended, and for project rental assistance for the elderly under section 202(c)(2) of such Act, including amendments to contracts for such assistance and renewal of expiring contracts for such assistance for up to a 1-year term, which shall remain available for disbursement until September 30, 2015, and for supportive services associated with the housing, of which amount [\$50,000,000] \$44,000,000 plus up to \$9,000,000 of amounts recaptured or commitments cancelled under this heading, shall be for service coordinators and the continuation of existing congregate service grants for residents of assisted housing projects, and of which amount [\$50,000,000] \$30,000,000 shall be for grants under section 202b of the Housing Act of 1959 (12 U.S.C. 1701q-2) for conversion of eligible projects under such section to assisted living or related use: *Provided further*, That of the amount under this heading, [\$240,865,000] \$250,515,000 shall be for capital advances, including amendments to capital advance contracts, for supportive housing for persons with disabilities, as authorized by section 811 of the Cranston-Gonzalez National Affordable Housing Act, for project rental assistance for supportive housing for persons with disabilities under section 811(d)(2) of such Act, including amendments to contracts for such assistance and renewal of expiring contracts for such assistance for up to a 1-year term, which shall remain available for disbursement until September 30, 2015, and for supportive services associated with the housing for persons with disabilities as authorized by section 811(b)(1) of such Act, and for tenant-based rental assistance contracts entered into pursuant to section 811 of such Act: *Provided further*, That no less than [\$1,200,000] \$500,000, to be divided evenly between the appropriations for the section 202 and section 811 programs, shall be transferred to the Working Capital Fund for the development [and maintenance of information technology systems] of and modifications to information technology systems which serve activities under "Housing Programs" or "Federal Housing Administration,": *Provided further*, That, in addition to amounts made available for renewal of tenant-based rental assistance contracts pursuant to the second proviso of this paragraph, the Secretary may designate up to 25 percent of the amounts earmarked under this paragraph for section 811 of such Act for tenant-based assistance, as authorized under that section, including such authority as may be waived under the next proviso, which assistance is 5 years in duration: *Provided further*, That the Secretary may waive any provision of such section 202 and such section 811 (including the provisions governing the terms and conditions of project rental assistance and tenant-based assistance) that the Secretary deter-

mines is not necessary to achieve the objectives of these programs, or that otherwise impedes the ability to develop, operate, or administer projects assisted under these programs, and may make provision for alternative conditions or terms where appropriate: *Provided further*, That all balances and recaptures, as of October 1, 2002, remaining in the "Congregate Housing Services" account as authorized by the Housing and Community Development Amendments of 1978, as amended, shall be transferred to and merged with the amounts for those purposes under this heading. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)		2001 actual	2002 est.	2003 est.
Identification code 86-0320-0-1-604				
Obligations by program activity:				
00.01	Elderly and disabled housing grants	917	1,024	1,024
10.00	Total new obligations (object class 41.0)	917	1,024	1,024
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	2,760	2,896	2,896
22.00	New budget authority (gross)	994	1,024	1,024
22.10	Resources available from recoveries of prior year obligations	59		
23.90	Total budgetary resources available for obligation	3,813	3,920	3,920
23.95	Total new obligations	-917	-1,024	-1,024
24.40	Unobligated balance carried forward, end of year	2,896	2,896	2,896
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	996	1,024	1,024
40.35	Appropriation rescinded	-2		
43.00	Appropriation (total discretionary)	994	1,024	1,024
Change in obligated balances:				
72.40	Obligated balance, start of year	3,978	4,062	4,239
73.10	Total new obligations	917	1,024	1,024
73.20	Total outlays (gross)	-774	-847	-885
73.45	Recoveries of prior year obligations	-59		
74.40	Obligated balance, end of year	4,062	4,239	4,378
Outlays (gross), detail:				
86.93	Outlays from discretionary balances	774	847	885
Net budget authority and outlays:				
89.00	Budget authority	994	1,024	1,024
90.00	Outlays	774	847	885

This account consolidates activity under the Section 202 Housing for the Elderly Program and the Section 811 Housing for the Disabled Program. In 2003, \$1,024 million is requested for the Housing for Special Populations account, the same amount as was appropriated in 2002.

Housing for the Disabled.—\$250 million is proposed for housing for persons with disabilities, including language to permit up to 25 percent of these funds to be earmarked for tenant-based assistance which increases the number of persons that can be assisted by maximizing the use of the private market.

Housing for the Elderly.—A total of \$774 million is proposed for housing for the elderly. Of this amount \$30 million is for the capital grant program to convert existing 202 properties to assisted living under appropriate conditions. These funds for capital grants are available to existing HUD elderly subsidized (Section 202) projects that convert some or all units to Assisted Living.

\$44.0 million is provided for a service coordinator program that will serve both residents of HUD-assisted elderly housing, other eligible elderly residing in the neighborhood in which such projects are located on an exception basis, and residents of projects assisted under section 811.

HOUSING COUNSELING ASSISTANCE

For contracts, grants and other assistance other than loans, as authorized under Section 106 of the Housing and Urban Development Act of 1968, as amended, \$35,000,000, to remain available until September 30, 2004: Provided, That funds shall be used for providing counseling and advice to tenants and homeowners both current and prospective with respect to property maintenance, financial management, and such other matters as may be appropriate to assist them in improving their housing conditions and meeting the responsibilities of tenancy or homeownership, including provisions for training and for support of voluntary agencies and services.

Program and Financing (in millions of dollars)

Identification code 86-0156-0-1-604	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Housing Assistance			35
10.00 Total new obligations (object class 41.0)			35
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			35
23.95 Total new obligations			-35
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation			35
Change in obligated balances:			
73.10 Total new obligations			35
73.20 Total outlays (gross)			-9
74.40 Obligated balance, end of year			26
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority			9
Net budget authority and outlays:			
89.00 Budget authority			35
90.00 Outlays			9

The Housing Counseling Assistance Program provides comprehensive housing counseling services to eligible homeowners and tenants, including home purchase, financial management, and rental counseling. This program has been funded through a set-aside under the HOME program appropriation for the past several years.

The Housing Counseling Assistance Program supports the delivery of a wide variety of housing counseling services to homebuyers, homeowners, low-to moderate-income renters and the homeless. The primary objectives of the program are to expand homeownership opportunities, improve access to affordable housing and aid in HUD's commitment to bridging the gap of homeownership of minorities and other select groups in comparison to the National homeownership rate.

OTHER ASSISTED HOUSING PROGRAMS
RENTAL HOUSING ASSISTANCE

Program and Financing (in millions of dollars)

Identification code 86-0206-0-1-999	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Rent supplement	10	17	29
00.02 Homeownership and rental housing assistance (Sections 235 and 236)	36	60	60
00.04 IRP Rehab Grants/Loans		300	100
10.00 Total new obligations (object class 41.0)	46	377	189
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	30		
21.49 Unobligated balance carried forward, start of year: Contract authority	1,025	1,044	1,044
21.99 Total unobligated balance carried forward, start of year	1,055	1,044	1,044

22.10 Resources available from recoveries of prior year obligations	430	377	189
22.75 Balance of contract authority withdrawn	-395		
23.90 Total budgetary resources available for obligation	1,090	1,421	1,233
23.95 Total new obligations	-46	-377	-189
24.49 Unobligated balance carried forward, end of year: Contract authority	1,044	1,044	1,044

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	672	672	672
40.49 Portion applied to liquidate contract authority	-672	-672	-672
43.00 Appropriation (total discretionary)			

Change in obligated balances:

72.40 Obligated balance, start of year	10,775	9,719	9,047
73.10 Total new obligations	46	377	189
73.20 Total outlays (gross)	-672	-672	-672
73.45 Recoveries of prior year obligations	-430	-377	-189
74.40 Obligated balance, end of year	9,719	9,047	8,375
75.01 Obligated balance, start of year: Contract authority	10,705	9,619	8,947
75.02 Obligated balance, end of year: Contract authority	9,619	8,947	8,275

Outlays (gross), detail:

86.93 Outlays from discretionary balances	672	672	672
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Net budget authority and outlays:

89.00 Budget authority			
90.00 Outlays	672	672	672

Status of Contract Authority (in millions of dollars)

Identification code 86-0206-0-1-999	2001 actual	2002 est.	2003 est.
0100 Balance, start of year	11,730	10,663	9,991
0400 Appropriation to liquidate contract authority	-672	-672	-672
0600 Balance of contract authority withdrawn	-395		
0700 Balance, end of year	10,663	9,991	9,319

OTHER ASSISTED HOUSING

Summary of Administrative Commitments

(in millions of dollars)

Assistance contracts:	2001 actual	2002 est.	2003 est.
Rent supplement	10	17	29
Homeownership and rental housing assistance (sections 235 and 236)	36	60	60
IRP Rehab Grants		300	100
Administrative commitments, start of year	16	16	16
Administrative commitments, end of year	-16	-16	-16
Total obligations	46	377	189

The Other Assisted Housing Account contains the programs listed below:

Rent supplement.—Rent supplement assistance payments will continue to be made on behalf of qualified low-income tenants in approximately 20,000 units which have not converted to section 8.

Section 235.—The Housing and Urban-Rural Recovery Act of 1983 (Public Law 98-181) authorized a restructured section 235 (Homeownership Assistance) program based on a 10-year interest reduction subsidy. This replaced earlier versions of the program, the original and the revised versions. All were below interest rate mortgages for single family homes.

Section 236.—The Housing and Urban Development Act of 1968, as amended, authorizes the section 236 Rental Housing Assistance Program which subsidizes the monthly mortgage payment that an owner of a rental or cooperative project is required to make. This interest subsidy reduces rents for lower income tenants.

IRP Rehab Grants.—Title V of the 1998 Appropriations Act (P.L. 105-65) establishes a program of rehabilitation grants for owners of eligible projects. An estimated \$300 million of such grants are expected in 2002 and \$100 million in 2003.

The table below reflects the consolidated outlay total for: the Housing Certificate Fund; the Public Housing Capital

General and special funds—Continued

OTHER ASSISTED HOUSING PROGRAMS—Continued

RENTAL HOUSING ASSISTANCE—Continued

Fund; and the Other Assisted Housing account, for 2001, 2002 and 2003.

SUMMARY OF OUTLAYS¹

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Subsidized housing programs, total	20,942	22,099	22,564
Low-income housing assistance (sec. 8)	16,720	17,771	18,339
Public housing capital fund	3,550	3,656	3,553
Rent supplement	54	54	54
Homeownership assistance (sec. 235)	14	14	14
Rental housing assistance (sec. 236)	591	591	591
College housing grants	13	13	13

¹ Includes outlays for contract renewals.

HOMEOWNERSHIP AND OPPORTUNITY FOR PEOPLE EVERYWHERE GRANTS (HOPE GRANTS)

Program and Financing (in millions of dollars)

Identification code 86-0196-0-1-604	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		1	
22.00 New budget authority (gross)	-3		
22.10 Resources available from recoveries of prior year obligations	3		
23.90 Total budgetary resources available for obligation		1	
24.40 Unobligated balance carried forward, end of year	1		
New budget authority (gross), detail:			
Discretionary:			
40.36 Unobligated balance rescinded	-3		
Change in obligated balances:			
72.40 Obligated balance, start of year	51	26	1
73.20 Total outlays (gross)	-21	-25	-1
73.45 Recoveries of prior year obligations	-3		
74.40 Obligated balance, end of year	26	1	
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	21	25	1
Net budget authority and outlays:			
89.00 Budget authority	-3		
90.00 Outlays	21	25	1

The Homeownership and Opportunity for People Everywhere Program provided affordable homeownership opportunities for low-income families. Units were converted to homeownership from public and Indian housing properties in HOPE 1, from FHA-insured and Government-held multi-family properties in HOPE 2, and from Government-owned or -held single family properties in HOPE 3. HOPE Grants were used for property acquisition, rehabilitation, mortgage subsidies, security measures, and technical assistance. In addition, grants have been devoted to counseling and training of residents, and other activities intended to help them become economically self-sufficient homeowners. No funding is being requested for 2003. This schedule reflects the liquidation of prior year balances.

MANUFACTURED HOME INSPECTION AND MONITORING

(INCLUDING TRANSFERS OF FUNDS)

Unavailable Collections (in millions of dollars)

Identification code 86-5271-0-2-376	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.00 Manufactured home inspection and monitoring	2		

Appropriations:			
05.00	Manufactured home inspection and monitoring	-2	
07.99	Balance, end of year		

Program and Financing (in millions of dollars)

Identification code 86-5271-0-2-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02	Other program costs	6	
10.00	Total new obligations (object class 25.2)	6	
Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year	5	1
22.00	New budget authority (gross)	2	
23.90	Total budgetary resources available for obligation	7	1
23.95	Total new obligations	-6	
24.40	Unobligated balance carried forward, end of year	1	

New budget authority (gross), detail:

Mandatory:			
60.20	Appropriation (special fund)	2	

Change in obligated balances:

72.40	Obligated balance, start of year	2	
73.10	Total new obligations	6	
73.20	Total outlays (gross)	-8	-1

Outlays (gross), detail:

86.97	Outlays from new mandatory authority	2	
86.98	Outlays from mandatory balances	6	1
87.00	Total outlays (gross)	8	1

Net budget authority and outlays:

89.00	Budget authority	2	
90.00	Outlays	8	1

This account provided spending for HUD and its agents for development of manufactured housing standards. Consistent with the Manufactured Housing Improvement Act of 2000, spending for 2003 on these activities is proposed in the discretionary Manufactured Housing Fees Trust Fund account.

Public enterprise funds:

RENTAL HOUSING ASSISTANCE FUND

Program and Financing (in millions of dollars)

Identification code 86-4041-0-3-604	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01	Refunds of Excess Income	1	5
10.00	Total new obligations (object class 25.2)	1	5
Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year	1	10
22.00	New budget authority (gross)	10	16
23.90	Total budgetary resources available for obligation	11	26
23.95	Total new obligations	-1	-5
24.40	Unobligated balance carried forward, end of year	10	21
New budget authority (gross), detail:			
Mandatory:			
69.00	Offsetting collections (cash)	10	16
Change in obligated balances:			
72.40	Obligated balance, start of year	19	7
73.10	Total new obligations	1	5
73.20	Total outlays (gross)	-13	-16
74.40	Obligated balance, end of year	7	-5
Outlays (gross), detail:			
86.97	Outlays from new mandatory authority	13	16

Offsets:
Against gross budget authority and outlays:

88.40	Offsetting collections (cash) from: Non-Federal sources	-10	-16	-16
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Net budget authority and outlays:

89.00	Budget authority			
90.00	Outlays	3		

The Housing and Urban Development Act of 1968 authorized the Secretary to establish a revolving fund into which rental collections in excess of the established basic rents for units in section 236 subsidized projects would be deposited.

The Housing and Community Development Amendment of 1978 authorized the Secretary, subject to approval in appropriation acts, to transfer excess rent collections received after 1978 to the Troubled Projects Operating Subsidy program, renamed the Flexible Subsidy Fund. Prior to that time, collections were used for paying tax and utility increases in section 236 projects. The Housing and Community Development Act of 1980 amended the 1978 Act by authorizing the transfer of excess rent collections regardless of when collected. This Budget proposes that the resources from the Rental Housing Assistance Fund continue to be transferred to the Flexible Subsidy Fund, with the exception of amounts required to make refunds of excess income remittances as authorized by Public Law 106-569.

FLEXIBLE SUBSIDY FUND
(TRANSFER OF FUNDS)

From the Rental Housing Assistance Fund, all uncommitted balances of excess rental charges as of September 30, [2001] 2002, and any collections made during fiscal year [2002] 2003 (with the exception of amounts required to make refunds of excess income remittances as authorized by Public Law 106-569), shall be transferred to the Flexible Subsidy Fund, as authorized by section 236(g) of the National Housing Act, as amended. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 86-4044-0-3-604	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.00 Reimbursable program	14	2	
10.00 Total new obligations (object class 41.0)	14	2	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	279	282	300
22.00 New budget authority (gross)	15	20	20
22.10 Resources available from recoveries of prior year obligations	2		
23.90 Total budgetary resources available for obligation	296	302	320
23.95 Total new obligations	-14	-2	
24.40 Unobligated balance carried forward, end of year	282	300	320
New budget authority (gross), detail:			
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	27	20	20
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-12		
68.90 Spending authority from offsetting collections (total discretionary)	15	20	20
Change in obligated balances:			
72.40 Obligated balance, start of year	2	13	3
73.10 Total new obligations	14	2	
73.20 Total outlays (gross)	-14	-12	
73.45 Recoveries of prior year obligations	-2		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	12		
74.40 Obligated balance, end of year	13	3	3

Outlays (gross), detail:

86.93	Outlays from discretionary balances	14	12	
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Offsets:
Against gross budget authority and outlays:
Offsetting collections (cash) from:

88.00	Federal sources	-12	-12	-12
88.20	Interest on Federal securities	-9		
88.40	Non-Federal sources	-6	-8	-8
88.90	Total, offsetting collections (cash)	-27	-20	-20

Against gross budget authority only:

88.95	Change in uncollected customer payments from Federal sources (unexpired)	12		
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Net budget authority and outlays:

89.00	Budget authority			
90.00	Outlays	-14	-8	-20

Memorandum (non-add) entries:

92.01	Total investments, start of year: Federal securities: Par value			
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Status of Direct Loans (in millions of dollars)

Identification code 86-4044-0-3-604	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	703	648	585
1231 Disbursements: Direct loan disbursements	20	12	
1251 Repayments: Repayments and prepayments	-4	-4	-4
1263 Write-offs for default: Direct loans	-71	-71	-71
1290 Outstanding, end of year	648	585	510

The Flexible Subsidy Fund assisted financially troubled subsidized projects under certain FHA authorities. The subsidies were intended to prevent potential losses to the FHA fund resulting from project insolvency and to preserve these projects as a viable source of housing for low and moderate-income tenants. Priority was given to projects with Federal insurance-in-force and then to those with mortgages that had been assigned to the Department of Housing and Urban Development.

The budget assumes that the account will continue to serve as a repository of excess rental charges appropriated from the Rental Housing Assistance Fund. Since 1996, these resources have not been used for new reservations but they continue to offset Flexible Subsidy outlays and other discretionary expenditures. In 2001, Congress enacted legislation which permits excess income balances in the Rental Housing Assistance Fund or transferred to the Flexible Subsidy Fund to be used for refunds of prior excess income remittances, as permitted by law.

Statement of Operations (in millions of dollars)

Identification code 86-4044-0-3-604	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	-15	1	13	13
0102 Expense	-31	-20		
0105 Net income or loss (-)	-46	-19	13	13

Balance Sheet (in millions of dollars)

Identification code 86-4044-0-3-604	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	281	295	278	278
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross	760	711	784	784
1602 Interest receivable		65		
1603 Allowance for estimated uncollectible loans and interest (-)	-678	-528	-705	-705

Public enterprise funds—Continued

FLEXIBLE SUBSIDY FUND—Continued

(TRANSFER OF FUNDS)—Continued

Balance Sheet (in millions of dollars)—Continued

Identification code 86-4044-0-3-604	2000 actual	2001 actual	2002 est.	2003 est.
1699 Value of assets related to direct loans	82	248	79	79
1999 Total assets	363	543	357	357
LIABILITIES:				
2207 Non-Federal liabilities: Other	3	2	3	3
2999 Total liabilities	3	2	3	3
NET POSITION:				
3100 Appropriated capital	217	217	217	217
3300 Cumulative results of operations	143	324	137	137
3999 Total net position	360	541	354	354
4999 Total liabilities and net position	363	543	357	357

HOMEOWNERSHIP ASSISTANCE FUND

Program and Financing (in millions of dollars)

Identification code 86-4043-0-3-604	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	23	23	23
21.49 Unobligated balance carried forward, start of year: Contract authority	61	61	61
21.99 Total unobligated balance carried forward, start of year	84	84	84
23.90 Total budgetary resources available for obligation	84	84	84
24.40 Unobligated balance carried forward, end of year	23	23	23
24.49 Unobligated balance carried forward, end of year: Contract authority	61	61	61
24.99 Total unobligated balance carried forward, end of year	84	84	84
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-1		

Status of Contract Authority (in millions of dollars)

Identification code 86-4043-0-3-604	2001 actual	2002 est.	2003 est.
0100 Balance, start of year	61	61	61
0700 Balance, end of year	61	61	61

The Homeownership Assistance Fund was established by the Housing and Urban-Rural Recovery Act of 1983. It provided for the receipt of recaptures of budget authority, cash, and interest earnings under the restructured section 235 program. The funds were authorized to be used, to the extent approved in Appropriation Acts, by the Secretary to provide additional section 235 assistance payments for mortgagors who are unable to assume the full payment due under the mortgage after the termination of the original 10-year assistance payments contract.

NEHEMIAH HOUSING OPPORTUNITY FUND

Program and Financing (in millions of dollars)

Identification code 86-4071-0-3-604	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	3	3
24.40 Unobligated balance carried forward, end of year	3	3	3

Change in obligated balances:			
72.40 Obligated balance, start of year	16	13	5
73.20 Total outlays (gross)	-3	-8	-5
74.40 Obligated balance, end of year	13	5	

Outlays (gross), detail:			
86.93 Outlays from discretionary balances	3	8	5

Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	3	8	5

The Nehemiah grants program was authorized by the Housing and Community Development Act of 1987 to provide loans to eligible families to assist in the purchase of new or substantially rehabilitated units. This schedule reflects the liquidation of remaining reserved and obligated balances.

Credit accounts:

FEDERAL HOUSING ADMINISTRATION

MUTUAL MORTGAGE INSURANCE PROGRAM ACCOUNT

(INCLUDING TRANSFERS OF FUNDS)

During fiscal year [2002] 2003, commitments to guarantee loans to carry out the purposes of section 203(b) of the National Housing Act, as amended, shall not exceed a loan principal of \$160,000,000,000.

During fiscal year [2002] 2003, obligations to make direct loans to carry out the purposes of section 204(g) of the National Housing Act, as amended, shall not exceed [\$250,000,000] \$50,000,000: *Provided*, That the foregoing amount shall be for loans to nonprofit and governmental entities in connection with sales of single family real properties owned by the Secretary and formerly insured under the Mutual Mortgage Insurance Fund.

For administrative expenses necessary to carry out the guaranteed and direct loan program, [\$336,700,000] \$362,746,000, of which not to exceed [\$332,678,000] \$358,593,000 shall be transferred to the appropriation for "Salaries and expenses"; and not to exceed [\$4,022,000] \$4,153,000 shall be transferred to the appropriation for "Office of Inspector General". In addition, for administrative contract expenses, [\$160,000,000] \$85,720,000, of which no less than [\$118,400,000] \$21,360,000 shall be transferred to the Working Capital Fund for the development [and maintenance of] *of and modifications* to information technology systems *which serve programs or activities under "Housing Programs" or "Federal Housing Administration"*: *Provided*, That to the extent guaranteed loan commitments exceed \$65,500,000,000 on or before April 1, [2002] 2003, an additional \$1,400 for administrative contract expenses shall be available for each \$1,000,000 in additional guaranteed loan commitments (including a pro rata amount for any amount below \$1,000,000), but in no case shall funds made available by this proviso exceed \$16,000,000. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Unavailable Collections (in millions of dollars)

Identification code 86-0183-0-1-371	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.22 Subsidy balance transfer	4,026	909	
Appropriations:			
05.00 FHA mutual mortgage insurance program account	-4,026	-909	
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 86-0183-0-1-371	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.07 Reestimates of loan guarantee negative subsidy	3,049	808	
00.08 Interest on reestimates of loan guarantee subsidy	977	101	
00.09 Administrative expenses, salaries & expenses transfer	344	353	363
00.12 Non-overhead administrative expenses for FHA contracts	150	160	86

10.00	Total new obligations	4,520	1,422	449
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	4,532	1,422	449
23.95	Total new obligations	-4,520	-1,422	-449
23.98	Unobligated balance expiring or withdrawn	-12		
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	507	513	449
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
43.00	Appropriation (total discretionary)	506	513	449
Mandatory:				
60.20	Appropriation (special fund)	4,026	909	
70.00	Total new budget authority (gross)	4,532	1,422	449
Change in obligated balances:				
72.40	Obligated balance, start of year	128	120	90
73.10	Total new obligations	4,520	1,422	449
73.20	Total outlays (gross)	-4,548	-1,452	-443
73.40	Adjustments in expired accounts (net)	22		
74.40	Obligated balance, end of year	120	90	96
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	454	503	433
86.93	Outlays from discretionary balances	68	40	10
86.97	Outlays from new mandatory authority	4,026	909	
87.00	Total outlays (gross)	4,548	1,452	443
Net budget authority and outlays:				
89.00	Budget authority	4,532	1,422	449
90.00	Outlays	4,548	1,452	443

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	4,516	1,406	434
90.00	Outlays	4,532	1,436	428

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 86-0183-0-1-371	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:			
115001 MMI Fund, Direct loans	1	250	50
115901 Total direct loan levels	1	250	50
Direct loan subsidy (in percent):			
132001 MMI Fund, Direct loans	0.00	0.00	0.00
132901 Weighted average subsidy rate	0.00	0.00	0.00
Direct loan subsidy budget authority:			
133001 Direct loan levels			
133901 Total subsidy budget authority			
Direct loan subsidy outlays:			
134001 Direct loan levels			
134901 Total subsidy outlays			
Guaranteed loan levels supportable by subsidy budget authority:			
215001 MMI Fund, Section 203(b)	134,841	147,339	142,441
215003 Standby commitment authority	25,159	12,661	17,559
215901 Total loan guarantee levels	160,000	160,000	160,000
Guaranteed loan subsidy (in percent):			
232001 MMI Fund, Section 203(b)	-2.15	-2.07	-2.53
232003 Standby commitment authority	0.00	0.00	0.00
232901 Weighted average subsidy rate	-2.15	-2.07	-2.53
Guaranteed loan subsidy budget authority:			
233001 MMI Fund, Section 203(b)	-2,246	-2,791	-2,938
233003 Standby commitment authority			
233901 Total subsidy budget authority	-2,246	-2,791	-2,938
Guaranteed loan subsidy outlays:			
234001 MMI Fund, Section 203(b)	-2,246	-2,791	-2,938

234003 Standby commitment authority			
234901 Total subsidy outlays	-2,246	-2,791	-2,938
Guaranteed loan upward reestimate subsidy budget authority:			
235001 MMI Fund, Section 203(b)	4,026	909	
235901 Total upward reestimate budget authority	4,026	909	
Guaranteed loan upward reestimate subsidy outlays:			
236001 MMI Fund, Section 203(b)	4,026	909	
236901 Total upward reestimate subsidy outlays	4,026	909	
Guaranteed loan downward reestimate subsidy budget authority:			
237001 MMI Fund, Section 203(b)	-676	-2,675	
237901 Total downward reestimate subsidy budget authority	-676	-2,675	
Guaranteed loan downward reestimate subsidy outlays:			
238001 MMI Fund, Section 203(b)	-676	-2,675	
238901 Total downward reestimate subsidy outlays	-676	-2,675	
Administrative expense data:			
351001 Budget authority	490	497	434
358001 Outlays from balances	68	40	10
359001 Outlays from new authority	438	487	418

The Federal Housing Administration (FHA) provides mortgage insurance to encourage lenders to make credit available to expand homeownership and to predominantly serve borrowers that the conventional market does not adequately provide for including: first-time homebuyers; minorities; lower-income families; and, residents of underserved areas (central cities and rural areas).

The Budget proposes an aggregate limitation of \$160 billion on commitments to guarantee loans in 2003.

As required by the Federal Credit Reform Act of 1990, this account records administrative expenses for this program, as well as the subsidy costs, if any, associated with the loan guarantees committed in 1992 and thereafter. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

Object Classification (in millions of dollars)

Identification code 86-0183-0-1-371	2001 actual	2002 est.	2003 est.
25.2 Other services	54	42	65
25.3 Other purchases of goods and services from Government accounts	440	471	384
41.0 Grants, subsidies, and contributions	3,049	808	
43.0 Interest and dividends	977	101	
99.9 Total new obligations	4,520	1,422	449

FHA—MUTUAL MORTGAGE INSURANCE DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 86-4242-0-3-371	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct loans	1	125	50
00.02 Interest paid to Treasury		12	5
00.03 Claims & other		11	5
10.00 Total new obligations	1	148	60
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	9	8	8
22.00 New financing authority (gross)	1	155	55
22.60 Portion applied to repay debt	-2	-7	
23.90 Total budgetary resources available for obligation	8	156	63
23.95 Total new obligations	-1	-148	-60
24.40 Unobligated balance carried forward, end of year	8	8	3
New financing authority (gross), detail:			
Mandatory:			
67.10 Authority to borrow		125	50

Credit accounts—Continued**FHA—MUTUAL MORTGAGE INSURANCE DIRECT LOAN FINANCING
ACCOUNT—Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 86-4242-0-3-371	2001 actual	2002 est.	2003 est.
Spending authority from offsetting collections:			
Discretionary:			
68.00			
68.47	1	80	55
		-50	-50
68.90	1	30	5
70.00	1	155	55
Change in obligated balances:			
72.40	4	4	6
73.10	1	148	60
73.20	-1	-146	-60
74.40	4	6	6
87.00	1	146	60
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.25		-1	-1
Non-Federal sources:			
88.40	-1	-73	-50
88.40		-6	-4
88.90	-1	-80	-55
Net financing authority and financing disbursements:			
89.00		75	
90.00		66	5

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and thereafter (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

The \$50 million in 2003 direct loan limitation in the MMI Fund would permit the Department to use Purchase Money Mortgages (PMMs) to help finance the sale of acquired single family properties. HUD would extend credit for these single-family homes to community nonprofit organizations or local government entities who would be expected to sell the properties to low- and moderate-income buyers. The use of PMMs provides a tool for State and local nonprofit organizations to use in revitalizing communities, and creates enhanced homeownership opportunities for low- and moderate-income families.

Status of Direct Loans (in millions of dollars)

Identification code 86-4242-0-3-371	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111	250	250	50
1142	-249	-125	
1150	1	125	50
Cumulative balance of direct loans outstanding:			
1210		1	52
1231	1	125	50
Repayments:			
1251		-73	-50
1252			
Write-offs for default:			
1263		-1	-9
1264			

1290	Outstanding, end of year	1	52	43
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Balance Sheet (in millions of dollars)

Identification code 86-4242-0-3-371	2000 actual	2001 actual	2002 est.	2003 est.	
ASSETS:					
1101	Federal assets: Fund balances with Treasury	13	11	10	7
1206	Non-Federal assets: Receivables, net				
	Net value of assets related to post-1991 direct loans receivable:				
1401	Direct loans receivable, gross	-1	1	52	43
1405	Allowance for subsidy cost (-)	-1	-2		
1499	Net present value of assets related to direct loans	-2	-1	52	43
1999	Total assets	11	10	62	50
LIABILITIES:					
Federal liabilities:					
2103	Treasury borrowing	10	5	62	50
2105	Other liabilities—intragovernmental ..		4		
Non-Federal liabilities:					
2201	Accounts payable	1	1		
2202	Interest payable				
2999	Total liabilities	11	10	62	50
4999	Total liabilities and net position	11	10	62	50

**FHA—MUTUAL MORTGAGE INSURANCE GUARANTEED LOAN
FINANCING ACCOUNT****Program and Financing (in millions of dollars)**

Identification code 86-4587-0-3-371	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.08	Interest payments to Treasury	424	556	607
00.11	Default claims and other	4,825	4,395	3,964
00.91	Subtotal, capital/operating expenses	5,249	4,951	4,571
Negative Subsidy Activity:				
08.01	Payment of negative subsidy to liquidating account for new business	2,246	2,791	2,938
08.02	Reestimate of loan guarantee subsidy (downward reestimates)	636	2,194	
08.04	Interest on reestimates of loan guarantee subsidy	40	481	
08.91	Subtotal, subsidy activity	2,922	5,466	2,938
10.00	Total new obligations	8,171	10,417	7,509
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	2,659	2,717	1,118
22.00	New financing authority (gross)	11,242	9,018	7,847
22.10	Resources available from recoveries of prior year obligations	7		
22.60	Portion applied to repay debt	-3,020	-200	-500
23.90	Total budgetary resources available for obligation	10,888	11,535	8,465
23.95	Total new obligations	-8,171	-10,417	-7,509
24.40	Unobligated balance carried forward, end of year	2,717	1,118	957
New financing authority (gross), detail:				
Discretionary:				
47.00	Authority to borrow	500	1,500	1,000
Spending authority from offsetting collections:				
68.00	Offsetting collections (cash)	10,711	7,518	6,847
68.10	Change in uncollected customer payments from Federal sources (unexpired)	31		
68.90	Spending authority from offsetting collections (total discretionary)	10,742	7,518	6,847
70.00	Total new financing authority (gross)	11,242	9,018	7,847
Change in obligated balances:				
72.40	Obligated balance, start of year	312	6	6
73.10	Total new obligations	8,171	10,417	7,509
73.20	Total financing disbursements (gross)	-8,439	-10,417	-7,509
73.45	Recoveries of prior year obligations	-7		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-31		

74.00	Obligated balance, end of year	6	6	6
87.00	Total financing disbursements (gross)	8,439	10,417	7,509
Offsets:				
Against gross financing authority and financing disbursements:				
Offsetting collections (cash) from:				
88.00	Transfer of Reestimates from reserves in Liquidating account	-4,026	-909	
88.25	Interest on uninvested funds	-306	-133	-48
Non-Federal sources:				
88.40	Fees and premiums	-2,975	-3,118	-3,639
88.40	Recoveries on defaults	-3,404	-3,358	-3,160
88.40	Gross proceeds from asset sales			
88.90	Total, offsetting collections (cash)	-10,711	-7,518	-6,847
Against gross financing authority only:				
88.95	Change in receivables from program accounts	-31		
Net financing authority and financing disbursements:				
89.00	Financing authority	500	1,500	1,000
90.00	Financing disbursements	-2,272	2,899	662

Status of Guaranteed Loans (in millions of dollars)

Identification code 86-4587-0-3-371	2001 actual	2002 est.	2003 est.	
Position with respect to appropriations act limitation on commitments:				
2111	Limitation on guaranteed loans made by private lenders	160,000	160,000	160,000
2142	Uncommitted loan guarantee limitation	-25,159	-12,661	-17,559
2150	Total guaranteed loan commitments	134,841	147,339	142,441
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	401,960	419,313	452,487
2231	Disbursements of new guaranteed loans	107,449	133,557	121,674
2251	Repayments and prepayments	-85,530	-96,824	-57,789
Adjustments:				
2261	Terminations for default that result in loans receivable	-1	-377	-671
2262	Terminations for default that result in acquisition of property	-4,515	-3,138	-2,828
2263	Terminations for default that result in claim payments	-50	-44	-44
2290	Outstanding, end of year	419,313	452,487	512,829
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	419,313	452,487	512,829
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year	102	4	
2331	Disbursements for guaranteed loan claims	1	377	671
2351	Repayments of loans receivable			
2364	Other adjustments, net	-99	-381	-671
2390	Outstanding, end of year	4		

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loans insured in 1992 and thereafter. The amounts in this account are considered a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 86-4587-0-3-371	2000 actual ¹	2001 actual	2002 est.	2003 est.	
ASSETS:					
Federal assets:					
1101	Fund balances with Treasury	2,971	2,723	5,995	5,834
Investments in US securities:					
1106	Receivables, net	3,802	118		
1206	Non-Federal assets: Receivables, net	26	172		
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:					
1501	Defaulted guaranteed loans receivable, gross	92	4		

1502	Interest receivable	3	4		
1504	Foreclosed property	2,052	1,858	2,052	2,052
1505	Allowance for subsidy cost	-929	-861	-861	-861
1599	Net value of assets related to defaulted guaranteed loan	1,218	1,005	1,191	1,191
1901	Other Federal assets: Other assets		118	132	148
1999	Total assets	8,017	4,136	7,318	7,173
LIABILITIES:					
Federal liabilities:					
2101	Accounts payable	2,853	41	41	41
2103	Federal liabilities, Debt	6,182	3,662	4,962	5,462
2105	Other	203			
Non-Federal liabilities:					
2201	Accounts payable	-257	-67	-67	-67
2204	Liabilities for loan guarantees	-969	462	2,212	1,551
2207	Other	5	38	170	186
2999	Total liabilities	8,017	4,136	7,318	7,173
4999	Total liabilities and net position	8,017	4,136	7,318	7,173

FHA—MUTUAL MORTGAGE AND COOPERATIVE HOUSING INSURANCE FUNDS LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 86-4070-0-3-371	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Operating expenses:				
00.03	Other operating costs	11	11	11
Capital investment:				
01.02	Assignment of defaulted mortgages		28	31
01.03	Acquisition of real properties	419	186	119
01.05	Other obligations	49		
01.07	Capitalized property expenses	66	33	12
01.08	Loss mitigation activities	8	8	3
01.09	Preforeclosure sale claims	2	3	3
01.91	Total capital investment	544	258	168
Upward Re-estimates of Subsidy Activity:				
08.07	Reestimate of loan guarantee subsidy- upward re-estimates from MMI reserves	3,049	807	
08.08	Interest on reestimates of loan guarantee subsidy	977	102	
08.91	Direct Program by Activities—Subtotal (1 level)	4,026	909	
10.00	Total new obligations	4,581	1,178	179
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	17,744	17,749	23,784
22.00	New budget authority (gross)	4,577	7,213	4,817
22.10	Resources available from recoveries of prior year obligations	9		
23.90	Total budgetary resources available for obligation	22,330	24,962	28,601
23.95	Total new obligations	-4,581	-1,178	-179
24.40	Unobligated balance carried forward, end of year	17,749	23,784	28,422
New budget authority (gross), detail:				
Discretionary:				
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	2,246	2,791	2,938
Mandatory:				
69.00	Offsetting collections (cash)	2,481	4,422	1,879
69.10	Change in uncollected accounts receivable from Federal sources	-150		
69.90	Spending authority from offsetting collections (total mandatory)	2,331	4,422	1,879
70.00	Total new budget authority (gross)	4,577	7,213	4,817
Change in obligated balances:				
72.40	Obligated balance, start of year	394	540	540
73.10	Total new obligations	4,581	1,178	179
73.20	Total outlays (gross)	-4,576	-1,178	-179
73.45	Recoveries of prior year obligations	-9		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	150		
74.40	Obligated balance, end of year	540	540	540
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	158	213	60

Credit accounts—Continued**FHA—MUTUAL MORTGAGE AND COOPERATIVE HOUSING INSURANCE FUNDS LIQUIDATING ACCOUNT—Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 86-4070-0-3-371	2001 actual	2002 est.	2003 est.
86.98 Outlays from mandatory balances	4,418	965	119
87.00 Total outlays (gross)	4,576	1,178	179
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Payment from financing account	-2,922	-5,466	-2,938
88.20 Interest on Federal securities	-1,240	-1,363	-1,689
Non-Federal sources:			
88.40 Fees and premiums	-15	-35	-32
88.40 Proceeds from sale of real property	-488	-332	-123
88.40 Proceeds from sale of mortgage notes		-17	-35
88.40 Interest and operating income	-53		
88.40 Recoveries of prior year obligations	-9		
88.90 Total, offsetting collections (cash)	-4,727	-7,213	-4,817
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	150		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-151	-6,035	-4,638
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value	17,260	17,282	24,282
92.02 Total investments, end of year: Federal securities: Par value	17,282	24,282	28,782

Status of Direct Loans (in millions of dollars)

Identification code 86-4070-0-3-371	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	3	3	
1251 Repayments: Repayments and prepayments		-1	
1264 Write-offs for default: Other adjustments, net		-2	
1290 Outstanding, end of year	3		

Status of Guaranteed Loans (in millions of dollars)

Identification code 86-4070-0-3-371	2001 actual	2002 est.	2003 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	47,619	39,963	33,339
2251 Repayments and prepayments	-7,235	-6,398	-3,116
Adjustments:			
2261 Terminations for default that result in loans receivable		-35	-34
2262 Terminations for default that result in acquisition of property	-419	-186	-119
2263 Terminations for default that result in claim payments	-2	-5	-3
2290 Outstanding, end of year	39,963	33,339	30,067
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	39,963	33,339	30,067
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	46	4	
2331 Disbursements for guaranteed loan claims		35	34
2351 Repayments of loans receivable	-1		
2361 Write-offs of loans receivable	-39	-18	
2364 Other adjustments, net	-2	-21	-34
2390 Outstanding, end of year	4		

The Federal Housing Administration Fund currently consists of four separate insurance funds.

In order to present more clearly the operations of the various funds, FHA's budget transactions are separated into two major business segments. The basic single-family insurance programs in the Mutual Mortgage Insurance (MMI) fund and the multifamily Cooperative Management Housing Insurance (CMHI) funds form one segment. All other multifamily and other specialized insurance programs in the General Insurance and Special Risk Insurance funds (GI/SRI) form the other segment.

The Federal Credit Reform Act of 1990 creates a structure of three accounts for existing credit program. For each of the FHA business segments (MMI/CMHI and GI/SRI) there is a liquidating account, which records the revenues and costs associated with loan insurance committed prior to October 1, 1991, a financing account which records the revenues and costs associated with commitments to insure loans made after September 30, 1991, and, a program account which records the transactions associated with the program subsidy costs, if any, and the costs of administering the program.

This liquidating account records, for this program, all cash flows to and from the Government resulting from MMI/CMHI loans insured prior to 1992 and is shown on a cash basis. All new activity in this program in 1992 and thereafter (including modifications of loans insured in any year) is recorded in the corresponding program (86-0183) and financing (86-4587 and 86-4242) accounts.

The program activity in the "Program Highlights" table shown below reflects only the activity in the MMI/CMHI liquidating and financing accounts. The GI/SRI program activity can be found with the GI/SRI liquidating account (86-4072).

PROGRAM HIGHLIGHTS

	(in millions of dollars)		
	2001 actual	2002 est.	2003 est.
Insurance initiation:			
Mortgage insurance commitments (units)	1,191,631	1,245,755	1,175,604
Mortgage insurance written (in fiscal year):			
Units	1,007,472	1,198,842	1,160,355
Amount	\$107,449	\$133,557	\$121,674
Insurance maintenance: Outstanding balance of insurance in force, end of year:			
Mortgage insurance	\$459,523	\$485,826	\$542,896

Financial condition.—The following tables reflect the revenues, expenses and financial condition of the MMI/CMHI liquidating funds based on Generally Accepted Accounting Principles.

Statement of Operations (in millions of dollars)

Identification code 86-4070-0-3-371	2000 actual ¹	2001 actual ²	2002 est.	2003 est.
0101 Revenue	1,737	1,265	4,672	4,720
0102 Expense	-531	-244	-61	-82
0105 Net income or loss (-)	1,206	1,021	4,611	4,638
0108 Other comprehensive income	1,118	182	2,791	2,938

¹ Estimated result on GAAP basis pending final audit.

Balance Sheet (in millions of dollars)

Identification code 86-4070-0-3-371	2000 actual ¹	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	1,139	1,269	1,165	1,495
Investments in US securities:				
Treasury securities, par:				
1102 Treasury securities, par	17,260	17,282	21,282	25,282
1102 Unamortized net premium/discount	-208	-177	-219	-177
1106 Receivables, net	3,311	335	335	335
Non-Federal assets:				
1206 Receivables, net	34	57	57	57
1207 Advances and prepayments				

Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601	Direct loans, gross	4	3	
1602	Interest receivable	2	2	
1603	Allowance for estimated uncollectible loans and interest (-)	-3	-3	
1699	Value of assets related to direct loans	3	2	
1701	Defaulted guaranteed loans, gross	34	12	12
1702	Interest receivable	31	16	16
1703	Allowance for estimated uncollectible loans and interest (-)	-45	-25	-25
1704	Defaulted guaranteed loans and interest receivable, net	20	3	3
1705	Allowance for uncollectibles from foreclosed property			
1706	Foreclosed property	275	175	107
1799	Value of assets related to loan guarantees	295	178	110
1901	Other Federal assets: Other assets	14	14	14
1999	Total assets	21,848	18,960	22,744
LIABILITIES:				
Federal liabilities:				
2101	Accounts payable	3,802	87	87
2105	Other Intragovernmental liabilities			
Non-Federal liabilities:				
2201	Accounts payable	727	721	721
2204	Liabilities for loan guarantees	462	462	462
2207	Unearned revenue and advances, and other	576	569	569
2999	Total liabilities	5,567	1,839	1,839
NET POSITION:				
3300	Cumulative results of operations	16,281	17,121	20,905
3999	Total net position	16,281	17,121	20,905
4999	Total liabilities and net position	21,848	18,960	22,744

Object Classification (in millions of dollars)

Identification code 86-4070-0-3-371				
		2001 actual	2002 est.	2003 est.
25.2	Other services	60	11	11
32.0	Land and structures	488	219	131
33.0	Investments and loans	4	35	34
41.0	Grants, subsidies, and contributions	3,049	808	
42.0	Insurance claims and indemnities	3	3	3
43.0	Interest and dividends	977	102	
99.9	Total new obligations	4,581	1,178	179

GENERAL AND SPECIAL RISK PROGRAM ACCOUNT

(INCLUDING TRANSFERS OF FUNDS)

For the cost of guaranteed loans, as authorized by sections 238 and 519 of the National Housing Act (12 U.S.C. 1715z-3 and 1735c), including the cost of loan guarantee modifications, as that term is defined in section 502 of the Congressional Budget Act of 1974, as amended, \$15,000,000, to remain available until expended: *Provided*, That these funds are available to subsidize total loan principal, any part of which is to be guaranteed, of up to \$21,000,000,000: *Provided further*, That any amounts made available in any prior appropriations Act for the cost (as such term is defined in section 502 of the Congressional Budget Act of 1974) of guaranteed loans that are obligations of the funds established under section 238 or 519 of the National Housing Act that have not been obligated or that are deobligated shall be available to the Secretary of Housing and Urban Development in connection with the making of such guarantees and shall remain available until expended, notwithstanding the expiration of any period of availability otherwise applicable to such amounts].

Gross obligations for the principal amount of direct loans, as authorized by sections 204(g), 207(1), 238, and 519(a) of the National Housing Act, shall not exceed \$50,000,000, of which not to exceed \$30,000,000 shall be for bridge financing in connection with the sale of multifamily real properties owned by the Secretary and formerly insured under such Act; and of which not to exceed \$20,000,000

shall be for loans to nonprofit and governmental entities in connection with the sale of single-family real properties owned by the Secretary and formerly insured under such Act.

In addition, for administrative expenses necessary to carry out the guaranteed and direct loan programs, [\$216,100,000] \$233,091,400, of which [\$197,779,000] \$213,186,400, shall be transferred to the appropriation for "Salaries and expenses"; and of which [\$18,321,000] \$19,905,000 shall be transferred to the appropriation for "Office of Inspector General". In addition, for administrative contract expenses necessary to carry out the guaranteed and direct loan programs, [\$144,000,000] \$93,780,000, of which no less than [\$41,000,000] \$14,240,000 shall be transferred to the Working Capital Fund for the development [and maintenance of information technology systems]: *of and modifications to information technology systems which serve activities under "Housing Programs" or "Federal Housing Administration" Provided*, That to the extent guaranteed loan commitments exceed \$8,426,000,000 on or before April 1, [2002] 2003, an additional \$1,980 for administrative contract expenses shall be available for each \$1,000,000 in additional guaranteed loan commitments over \$8,426,000,000 (including a pro rata amount for any increment below \$1,000,000), but in no case shall funds made available by this proviso exceed \$14,400,000. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 86-0200-0-1-371				
		2001 actual	2002 est.	2003 est.
Obligations by program activity:				
00.02	Guaranteed loan subsidy	99	15	15
00.07	Reestimate of credit subsidy	206	688	
00.08	Interest on reestimates of loan guarantee subsidy	40	307	
00.09	Administrative expenses, salaries & expenses transfer	221	226	233
00.10	Administrative contract expenses	95	144	94
10.00	Total new obligations	661	1,380	342
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	39	75	45
22.00	New budget authority (gross)	751	1,380	342
22.10	Resources available from recoveries of prior year obligations	-5		
23.90	Total budgetary resources available for obligation	785	1,455	387
23.95	Total new obligations	-661	-1,380	-342
23.98	Unobligated balance expiring or withdrawn	-49	-30	
24.40	Unobligated balance carried forward, end of year	75	45	45
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	466	385	342
40.15	Appropriation (emergency)	40		
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
43.00	Appropriation (total discretionary)	505	385	342
Mandatory:				
60.00	Appropriation	246	995	
70.00	Total new budget authority (gross)	751	1,380	342
Change in obligated balances:				
72.40	Obligated balance, start of year	128	112	91
73.10	Total new obligations	661	1,380	342
73.20	Total outlays (gross)	-687	-1,401	-354
73.40	Adjustments in expired accounts (net)	5		
73.45	Recoveries of prior year obligations	5		
74.40	Obligated balance, end of year	112	91	78
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	374	345	315
86.93	Outlays from discretionary balances	67	61	40
86.97	Outlays from new mandatory authority	246	995	
87.00	Total outlays (gross)	687	1,401	354
Net budget authority and outlays:				
89.00	Budget authority	751	1,380	342
90.00	Outlays	687	1,401	354

Credit accounts—Continued

GENERAL AND SPECIAL RISK PROGRAM ACCOUNT—Continued

(INCLUDING TRANSFERS OF FUNDS)—Continued

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	741	1,370	333
90.00 Outlays	677	1,391	345

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 86-0200-0-1-371	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Single-family PMMs		2	2
115002 Multifamily bridge loans		2	2
115003 Unused Limitation Authority	50	46	46
115901 Total direct loan levels	50	50	50
Direct loan subsidy (in percent):			
132001 Single-family PMMs	0.00	0.00	0.00
132002 Multifamily bridge loans	0.00	0.00	0.00
132003 Unused Limitation Authority	0.00	0.00	0.00
132901 Weighted average subsidy rate	0.00	0.00	0.00
Direct loan subsidy budget authority:			
133001 Single-family PMMs			
133002 Multifamily bridge loans			
133003 Unused Limitation Authority			
133901 Total subsidy budget authority			
Direct loan subsidy outlays:			
134001 Single-family PMMs			
134002 Multifamily bridge loans			
134003 Unused Limitation Authority			
134901 Total subsidy outlays			
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Apartments NC/SC	1,507	3,000	3,500
215003 221d3 NP/Coop owned apts	252	67	200
215004 Tax Credits NC	610	500	500
215005 Mixed Income (Hope d4)		9	50
215006 Apartments Refinance	646	951	960
215007 241a Supplemental Loans for Apts	13	18	50
215008 Operating Loss Loans for Apts (plus 232)	8	4	9
215009 HFA Risk Sharing	324	650	650
215012 GSE Risk Sharing	1	100	105
215013 FHA Full Insurance for Health Care Facilities (plus 241/232)	1,317	1,000	1,300
215014 Health Care Refinances	141	500	500
215015 Hospitals	279	100	500
215016 Other Rental (incl 207(mhp),220,231)			300
215017 Section 234: Condominiums	9,153	8,482	8,482
215018 Section 203(k): Rehabilitation Mortgages	995	1,395	1,395
215019 Section 221(d)(2): Low Income Housing	4		
215021 Title 1 Property Improvement	120	216	118
215022 Title 1 Manufactured Housing	47	11	70
215023 Standby authority	5,583	3,997	2,311
215901 Total loan guarantee levels	21,000	21,000	21,000
Guaranteed loan subsidy (in percent):			
232001 Apartments NC/SC	3.35	-0.14	-0.06
232003 221d3 NP/Coop owned apts	17.22	8.89	3.93
232004 Tax Credits NC	-1.75	-2.50	-0.65
232005 Mixed Income (Hope d4)	3.35	-0.14	-0.33
232006 Apartments Refinance	-1.11	-0.97	-2.17
232007 241a Supplemental Loans for Apts	22.08	28.16	6.35
232008 Operating Loss Loans for Apts (plus 232)	22.55	19.34	18.69
232009 HFA Risk Sharing	-1.14	-1.55	-1.37
232012 GSE Risk Sharing	-1.52	-0.57	-1.36
232013 FHA Full Insurance for Health Care Facilities (plus 241/232)	-2.07	-2.21	-1.19
232014 Health Care Refinances	-1.38	-2.54	-1.97
232015 Hospitals	-1.77	-0.36	-2.76
232016 Other Rental (incl 207(mhp),220,231)	0.00	0.00	-0.07
232017 Section 234: Condominiums	-0.69	-1.99	-1.08
232018 Section 203(k): Rehabilitation Mortgages	-0.11	-1.53	-0.46
232019 Section 221(d)(2): Low Income Housing	4.49	0.00	0.00

232021 Title 1 Property Improvement	-0.06	0.96	2.02
232022 Title 1 Manufactured Housing	0.14	-0.84	-0.47
232023 Standby authority	0.00	0.00	0.00
232901 Weighted average subsidy rate	-0.14	-1.46	-0.85
Guaranteed loan subsidy budget authority:			
233001 Apartments NC/SC	52	-4	-3
233003 221d3 NP/Coop owned apts	43	6	8
233004 Tax Credits NC	-6	-9	-6
233005 Mixed Income (Hope d4)			
233006 Apartments Refinance	-6	-7	-18
233007 241a Supplemental Loans for Apts	2	6	3
233008 Operating Loss Loans for Apts (plus 232)	2	1	2
233009 HFA Risk Sharing	-2	-8	-9
233012 GSE Risk Sharing			-1
233013 FHA Full Insurance for Health Care Facilities (plus 241/232)	-27	-17	-17
233014 Health Care Refinances	-2	-10	-11
233015 Hospitals	-2		-10
233016 Other Rental (incl 207(mhp),220,231)			
233017 Section 234: Condominiums	-57	-181	-92
233018 Section 203(k): Rehabilitation Mortgages	-1	-21	-6
233019 Section 221(d)(2): Low Income Housing			
233021 Title 1 Property Improvement			
233022 Title 1 Manufactured Housing		2	2
233023 Standby authority			
233024 Emergency Appropriation	40		
233901 Total subsidy budget authority	36	-242	-158
Guaranteed loan subsidy outlays:			
234001 Apartments NC/SC	52	8	-3
234003 221d3 NP/Coop owned apts	46	17	8
234004 Tax Credits NC	-6	-9	-6
234005 Mixed Income (Hope d4)			
234006 Apartments Refinance	-6	-7	-18
234007 241a Supplemental Loans for Apts	2	4	3
234008 Operating Loss Loans for Apts (plus 232)		1	2
234009 HFA Risk Sharing	-2	-8	-9
234012 GSE Risk Sharing			-1
234013 FHA Full Insurance for Health Care Facilities (plus 241/232)	-27	-17	-17
234014 Health Care Refinances	-2	-10	-11
234015 Hospitals	-2		-10
234016 Other Rental (incl 207(mhp),220,231)			
234017 Section 234: Condominiums	-57	-181	-92
234018 Section 203(k): Rehabilitation Mortgages	-1	-21	-6
234019 Section 221(d)(2): Low Income Housing			
234021 Title 1 Property Improvement		2	2
234022 Title 1 Manufactured Housing			
234023 Standby authority			
234901 Total subsidy outlays	-3	-221	-158
Guaranteed loan upward reestimate subsidy budget authority:			
235024 General and Special Risk	246	995	
235901 Total upward reestimate budget authority	246	995	
Guaranteed loan upward reestimate subsidy outlays:			
236024 General and Special Risk	246	995	
236901 Total upward reestimate subsidy outlays	246	995	
Guaranteed loan downward reestimate subsidy budget authority:			
237024 General and Special Risk	-517	-1,542	
237901 Total downward reestimate subsidy budget authority	-517	-1,542	
Guaranteed loan downward reestimate subsidy outlays:			
238024 General and Special Risk	-517	-1,542	
238901 Total downward reestimate subsidy outlays	-517	-1,542	
Administrative expense data:			
351001 Budget authority, S&E Transfer	221	226	233
351001 Budget authority	144	144	94
358001 Outlays from balances	52	36	36
359001 Outlays from new authority	289	334	304

Multifamily Products.—This account includes budget authority for multifamily insurance programs requiring positive credit subsidies, as well as for salaries and expenses and other administrative costs for all General and Special Risk Insurance Fund programs.

As required by the Federal Credit Reform Act of 1990, this account records, for the single family, multifamily, hospital, and Title I insurance programs of FHA's General Insurance and Special Risk Insurance Funds, the subsidy costs

associated with the loan guarantees committed or direct loans obligated in 1992 and thereafter (including modifications of loan guarantees or direct loans that resulted from obligations or commitments in any year), as well as administrative expenses of these programs. The subsidy amounts are estimated on a present value basis; the administrative expenses are accounted for on a cash basis.

The Budget includes a reduction in the annual premium rate of multifamily Section 221(d)(4) loan guarantees from 80 basis points to 57 basis points. This reflects improved estimates of these loan guarantee subsidy cost.

Object Classification (in millions of dollars)

Identification code 86-0200-0-1-371	2001 actual	2002 est.	2003 est.
25.1 Advisory and assistance services	62	103	80
25.2 Other services	33	41	14
25.3 Other purchases of goods and services from Government accounts	221	226	233
41.0 Grants, subsidies, and contributions	345	1,010	15
99.9 Total new obligations	661	1,380	342

FHA—GENERAL AND SPECIAL RISK GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 86-4077-0-3-371	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Capital investment, claims and other:			
00.01 Default claims and other	782	1,095	1,140
00.05 Interest paid to Treasury	87	130	130
00.08 Asset sale negative subsidy payment to the receipt account		113	40
00.10 Value paid to liquidating account for guarantees refinanced under 223(a)(7)		3	3
00.11 Mark-to-Market Legislative Savings	12	218	
00.14 Contract Costs		20	20
00.91 Direct Program by Activities—Subtotal (1 level)	881	1,579	1,333
08.01 Payment of negative subsidy to receipt account	103	257	173
08.02 Downward subsidy rate reestimate	423	1,091	
08.04 Interest on subsidy rate reestimates	94	451	
08.91 Direct Program by Activities—Subtotal (1 level)	620	1,799	173
10.00 Total new obligations	1,501	3,378	1,506
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1,801	1,768	494
22.00 New financing authority (gross)	1,957	2,554	1,631
22.60 Portion applied to repay debt	-490	-450	-450
23.90 Total budgetary resources available for obligation	3,268	3,872	1,675
23.95 Total new obligations	-1,501	-3,378	-1,506
24.40 Unobligated balance carried forward, end of year	1,768	494	169
New financing authority (gross), detail:			
Discretionary:			
47.00 Authority to borrow	400	400	400
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	1,542	2,154	1,231
68.10 Change in uncollected customer payments from Federal sources (unexpired)	15		
68.90 Spending authority from offsetting collections (total discretionary)	1,557	2,154	1,231
70.00 Total new financing authority (gross)	1,957	2,554	1,631
Change in obligated balances:			
72.40 Obligated balance, start of year	-103	-124	227
73.10 Total new obligations	1,501	3,378	1,506
73.20 Total financing disbursements (gross)	-1,507	-3,027	-1,506
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-15		
74.40 Obligated balance, end of year	-124	227	227
87.00 Total financing disbursements (gross)	1,507	3,027	1,506

Offsets:

Against gross financing authority and financing disbursements:				
Offsetting collections (cash) from:				
Federal sources:				
88.00	Payments from program account	-99	-36	-15
88.00	Repayment of principal and interest from liquidating account	-176	-16	-16
88.00	Subsidy reestimate from program account	-246	-995	
88.25	Interest on uninvested funds	-128	-135	-135
Non-Federal sources:				
88.40	Fees and premiums	-439	-418	-496
88.40	Recoveries on defaulted mortgages	-10	-5	-10
88.40	Title I recoveries	-25	-47	-43
88.40	Single family property recoveries	-315	-274	-330
88.40	Gross Proceeds from sale of mortgage notes (liquidating)		-113	-40
88.40	Gross Proceeds from Mortgage Note Sales	-102	-112	-142
88.40	Multifamily property recoveries	-1	-3	-4
88.40	Rounding Error Adjustment	-1		
88.90	Total, offsetting collections (cash)	-1,542	-2,154	-1,231
Against gross financing authority only:				
88.95	Change in receivables from program accounts	-15		

Net financing authority and financing disbursements:

89.00	Financing authority	400	400	400
90.00	Financing disbursements	-35	873	275

Status of Guaranteed Loans (in millions of dollars)

Identification code 86-4077-0-3-371	2001 actual	2002 est.	2003 est.	
Position with respect to appropriations act limitation on commitments:				
2111	Limitation on guaranteed loans made by private lenders	21,000	21,000	21,000
2150	Total guaranteed loan commitments	21,000	21,000	21,000
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	69,128	73,376	75,998
2231	Disbursements of new guaranteed loans	15,238	17,027	19,892
2251	Repayments and prepayments	-10,232	-13,377	-6,214
Adjustments:				
2261	Terminations for default that result in loans receivable	-295	-418	-460
2262	Terminations for default that result in acquisition of property	-414	-602	-609
2263	Terminations for default that result in claim payments	-49	-8	-8
2290	Outstanding, end of year	73,376	75,998	88,599
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	73,376	75,998	88,599
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year	552	618	650
2331	Disbursements for guaranteed loan claims	295	418	460
2351	Repayments of loans receivable	-124	-386	-435
2361	Write-offs of loans receivable	-105		
2390	Outstanding, end of year	618	650	675

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and thereafter (including modifications of loan guarantees that resulted from commitments in any year) for FHA's General and Special Risk Insurance Fund programs. The amounts in this account are a means of financing and are not included in the budget totals. As required by the Federal Credit Reform Act of 1990, no administrative expenses can be recorded in the financing account.

Credit accounts—Continued**FHA—GENERAL AND SPECIAL RISK GUARANTEED LOAN FINANCING ACCOUNT—Continued****Balance Sheet¹ (in millions of dollars)**

Identification code 86-4077-0-3-371	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	1,698	1,643	1,663	1,663
Investments in US securities:				
1106 Receivables, net	576	332		
1107 Borrowings receivable from liquidating account			202	202
Non-Federal assets:				
1201 Investments in non-Federal securities, net	7			
1206 Receivables, net	3	16		
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:				
1501 Defaulted guaranteed loans receivable, gross	552	618	650	675
1502 Interest receivable	8	84		
1504 Foreclosed property	226	187	598	598
1505 Allowance for subsidy cost	-289	-362	-1,061	-1,061
1599 Net value of assets related to defaulted guaranteed loan	497	527	187	212
1702 Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable: Interest receivable		18		
Other Federal assets:				
1801 Cash and other monetary assets		1		
1901 Other assets	-8	-17		
1999 Total assets	2,773	2,520	2,052	2,077
LIABILITIES:				
Federal liabilities:				
2101 Accounts payable Intragovernmental	6	190		
2103 Debt	966	877	738	738
2105 Payable to Special Receipt Account for Subsidy Reestimate	517	12		
Non-Federal liabilities:				
2201 Accounts payable	-17			
2202 Interest payable		6		
2203 Debt	-2	-2		
2204 Liabilities for loan guarantees	1,297	1,428	1,314	1,339
2207 Other	6	9		
2999 Total liabilities	2,773	2,520	2,052	2,077
4999 Total liabilities and net position	2,773	2,520	2,052	2,077

FHA—GENERAL AND SPECIAL RISK DIRECT LOAN FINANCING ACCOUNT**Program and Financing (in millions of dollars)**

Identification code 86-4105-0-3-371	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct loans		3	3
00.02 Interest paid to Treasury		1	1
10.00 Total new obligations		4	4
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1		
22.00 New financing authority (gross)	3	3	
23.90 Total budgetary resources available for obligation	4	3	
23.95 Total new obligations		-4	-4
24.40 Unobligated balance carried forward, end of year	1		
New financing authority (gross), detail:			
Mandatory:			
67.10 Authority to borrow	3	3	
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	4	4	
68.47 Portion applied to repay debt	-4	-4	

68.90	Spending authority from offsetting collections (total discretionary)		
70.00	Total new financing authority (gross)	3	3

Change in obligated balances:

73.10	Total new obligations	4	4
73.20	Total financing disbursements (gross)	-1	-4
87.00	Total financing disbursements (gross)	1	4

Offsets:

Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
Non-Federal sources:			
88.40	Interest received on loans	-1	-1
88.40	Repayment of Principal	-3	-3
88.90	Total, offsetting collections (cash)	-4	-4

Net financing authority and financing disbursements:

89.00	Financing authority	-1	-1
90.00	Financing disbursements		

Status of Direct Loans (in millions of dollars)

Identification code 86-4105-0-3-371	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111	Limitation on direct loans	50	50
1142	Unobligated direct loan limitation (-)	-50	-46
1150	Total direct loan obligations	4	4
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	1	2
1231	Disbursements: Direct loan disbursements	1	4
1251	Repayments: Repayments and prepayments		-4
1290	Outstanding, end of year	2	2

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and thereafter (including loan modifications) for FHA's General Insurance and Special Risk Insurance Fund programs. The amounts in this account are a means of financing and are not included in the budget totals. As required by the Federal Credit Reform Act of 1990, no administrative expenses can be recorded in the financing account.

This schedule includes two direct loan programs. One provides bridge loan financing to facilitate the disposition of multifamily housing owned by the Department to non-profit organizations who agree to preserve it as affordable rental or cooperative housing. The second is a single-family direct loan program for purchase money mortgages, as discussed in the preceding section for the Mutual Mortgage Insurance Fund.

Balance Sheet (in millions of dollars)

Identification code 86-4105-0-3-371	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Net value of assets related to post-1991 direct loans receivable:				
1401	Direct loans receivable, gross	1	2	2
1499	Net present value of assets related to direct loans	1	2	2
1999	Total assets	1	2	2
LIABILITIES:				
Federal liabilities:				
2103	Treasury borrowing		1	1
2104	Resources payable to Treasury	1	1	1
2999	Total liabilities	1	2	2
4999	Total liabilities and net position	1	2	2

FHA—LOAN GUARANTEE RECOVERY FUND—FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 86-4106-0-3-371	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	3	5
22.00 New financing authority (gross)	2	2	2
23.90 Total budgetary resources available for obligation	3	5	7
24.40 Unobligated balance carried forward, end of year	3	5	7
New financing authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	2	2	2
Offsets:			
Against gross financing authority and financing disbursements:			
88.00 Offsetting collections (cash) from: Federal sources	-2	-2	-2
Net financing authority and financing disbursements:			
89.00 Financing authority			
90.00 Financing disbursements	-2	-2	-2

Status of Guaranteed Loans (in millions of dollars)

Identification code 86-4106-0-3-371	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders			
2121 Limitation available from carry-forward	4	4	
2143 Uncommitted limitation carried forward	-2		
2150 Total guaranteed loan commitments	2	4	
2199 Guaranteed amount of guaranteed loan commitments	2	4	
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	3	4	5
2231 Disbursements of new guaranteed loans	2	4	
2251 Repayments and prepayments	-1	-3	-3
2290 Outstanding, end of year	4	5	2
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	4	5	2

Balance Sheet (in millions of dollars)

Identification code 86-4106-0-3-371	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Investments in US securities:				
1102 Federal assets: Treasury securities, par	1	3	5	7
1999 Total assets	1	3	5	7
LIABILITIES:				
2204 Non-Federal liabilities: Liabilities for loan guarantees	1	3	5	7
2999 Total liabilities	1	3	5	7
4999 Total liabilities and net position	1	3	5	7

Section 4 of the Church Arson Prevention Act of 1996 (P.L. 104-155), entitled "Loan Guarantee Recovery Fund," authorizes the Secretary of Housing and Urban Development to guarantee loans made by financial institutions to assist certain nonprofit organizations that were damaged as a result of acts of arson or terrorism.

FHA—GENERAL AND SPECIAL RISK INSURANCE FUNDS LIQUIDATING ACCOUNT

Unavailable Collections (in millions of dollars)

Identification code 86-4072-0-3-371	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	7	7	7
07.99 Balance, end of year	7	7	7

Program and Financing (in millions of dollars)

Identification code 86-4072-0-3-371	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Operating expenses:			
00.02 Interest on debentures	23	22	22
00.03 Other operating costs	53	20	20
00.05 Legislative savings repayments	176	16	16
00.06 PAE & 3rd party restructuring fees	106	82	62
00.91 Total operating expenses	358	140	120
Capital investment: Claims and other:			
01.01 Acquisition of defaulted Title I notes	-5	6	5
01.02 Assignment of mortgages	125	265	318
01.03 Assignments per 221 G4	205	177	212
01.05 Mark-To-Market Restructures	293	534	700
01.07 Loss on defaulted guaranteed loans	1	3	3
01.08 Tax advances on held mortgages	38	39	41
01.09 Acquisition of real properties	49	54	42
01.10 Capitalized property expenses	177	154	136
01.11 Rehabilitation of real properties	10	14	11
01.12 Upfront Grants	20	93	75
01.13 Other	94	5	5
01.14 M&M Contract	2	2	2
01.15 Prior Year Contract Adjustments	10		
01.16 Payment to the Financing Account-Asset Sale		113	40
01.91 Total capital investment	1,019	1,459	1,590
10.00 Total new obligations	1,377	1,599	1,710

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	1,245	1,986	
22.00 New budget authority (gross)	3,460	1,729	1,835
22.10 Resources available from recoveries of prior year obligations	27		
22.40 Capital transfer to general fund	-1,245	-1,986	
22.60 Portion applied to repay debt	-123	-130	-125
23.90 Total budgetary resources available for obligation	3,364	1,599	1,710
23.95 Total new obligations	-1,377	-1,599	-1,710
24.40 Unobligated balance carried forward, end of year	1,986		

New budget authority (gross), detail:

Mandatory:			
60.00 Appropriation	2,346	879	898
67.10 Authority to borrow	128	130	125
69.00 Offsetting collections (cash)	1,025	720	812
69.10 Change in uncollected customer payments from Federal sources (unexpired)	-39		
69.90 Spending authority from offsetting collections (total mandatory)	986	720	812
70.00 Total new budget authority (gross)	3,460	1,729	1,835

Change in obligated balances:

72.40 Obligated balance, start of year	511	802	402
73.10 Total new obligations	1,377	1,599	1,710
73.20 Total outlays (gross)	-1,098	-1,999	-1,770
73.45 Recoveries of prior year obligations	-27		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	39		
74.40 Obligated balance, end of year	802	402	342

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	1,059	1,598	1,368
86.98 Outlays from mandatory balances	39	400	402
87.00 Total outlays (gross)	1,098	1,999	1,770

Credit accounts—Continued

FHA—GENERAL AND SPECIAL RISK INSURANCE FUNDS LIQUIDATING
ACCOUNT—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 86-4072-0-3-371	2001 actual	2002 est.	2003 est.
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00			
Federal sources	- 37		
Non-Federal sources:			
88.40			
Fees and premiums	-104	-122	-110
88.40			
Rebates of insurance premiums		2	2
88.40			
Multifamily foreclosure sales	-22	-89	-88
88.40			
Proceeds from sale of real property	-67	-60	-46
88.40			
Proceeds from sale of mortgage notes	-393	-163	-208
88.40			
MTM second mortgage repayments	-1	-23	-64
88.40			
Recoveries on defaulted mortgages	-160	-118	-156
88.40			
Interest	-81	-79	-74
88.40			
Other interest, dividends and revenue	-15	-15	-15
88.40			
Modification subsidy & transfers from financing acct.		-3	-3
88.40			
Other collections	-122	-50	-50
88.40			
Prior Year Recoveries	-23		
88.90			
Total, offsetting collections (cash)	-1,025	-720	-812
Against gross budget authority only:			
88.95			
Change in uncollected customer payments from Federal sources (unexpired)	39		
Net budget authority and outlays:			
89.00			
Budget authority	2,474	1,009	1,023
90.00			
Outlays	73	1,279	958
Memorandum (non-add) entries:			
92.01			
Total investments, start of year: Federal securities:			
Par value	7	7	6
92.02			
Total investments, end of year: Federal securities:			
Par value	7	6	6

Status of Direct Loans (in millions of dollars)

Identification code 86-4072-0-3-371	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1210			
Outstanding, start of year	44	38	33
1251			
Repayments: Repayments and prepayments	-6	-5	-10
1290			
Outstanding, end of year	38	33	23

Status of Guaranteed Loans (in millions of dollars)

Identification code 86-4072-0-3-371	2001 actual	2002 est.	2003 est.
Cumulative balance of guaranteed loans outstanding:			
2210			
Outstanding, start of year	29,761	25,370	23,381
2251			
Repayments and prepayments	-3,723	-910	-842
Adjustments:			
2261			
Terminations for default that result in loans receivable	-618	-981	-1,235
2262			
Terminations for default that result in acquisition of property	-49	-54	-42
2263			
Terminations for default that result in claim payments	-1	-44	-55
2290			
Outstanding, end of year	25,370	23,381	21,207
Memorandum:			
2299			
Guaranteed amount of guaranteed loans outstanding, end of year	25,370	23,381	21,207
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310			
Outstanding, start of year	1,960	1,999	2,446
2331			
Disbursements for guaranteed loan claims	618	981	1,235
2351			
Repayments of loans receivable	-590	-439	-510
2361			
Write-offs of loans receivable	-372	-95	-388
2364			
Other adjustments, net	383		
2390			
Outstanding, end of year	1,999	2,446	2,783

The General Insurance fund provides for a large number of specialized mortgage insurance programs, including the insurance of loans for property improvements as well as for cooperatives, condominiums, nursing homes, rental housing and nonprofit hospitals.

The Special Risk Insurance fund provides insurance on behalf of mortgagors who otherwise would not be eligible for mortgage insurance. In addition, the fund provides insurance on mortgages covering experimental housing where strict adherence to State or local building regulations was not observed.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, all cash flows to and from the Government resulting from loan guarantees committed and direct loans obligated prior to 1992. This account is shown on a cash basis. New insurance and direct loan activity in 1992 and thereafter in the GI/SRI programs is recorded in corresponding program (86-0200) and financing (86-4077 and 86-4105) accounts.

Section 571 of the Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1998 established the Office of Multifamily Housing Assistance Restructuring within HUD to administer the program of mortgage and rental assistance restructuring. Included in the budget estimates for this account are projections for the financial operations of the office.

Restructuring authorities under the Multifamily Assisted Housing Reform and Affordability Act of 1997 expire at the end of fiscal year 2006 except for binding commitments entered into prior to October 1, 2006.

Financial Condition.—The following tables reflect the revenues, expenses, and financial condition of the GI/SRI Liquidating Account based on Generally Accepted Accounting Principles.

Statement of Operations (in millions of dollars)

Identification code 86-4072-0-3-371	2000 actual ¹	2001 actual ²	2002 est.	2003 est.
0101				
Revenue	230	430		
0102				
Expense	-544	-503		
0105				
Net income or loss (-)	-314	-73		
0108				
Other comprehensive income	-277	-598		

¹ Preliminary results pending final audit.

Balance Sheet (in millions of dollars)

Identification code 86-4072-0-3-371	2000 actual ¹	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
1101				
Fund balances with Treasury	1,749	2,782		
Investments in US securities:				
1102				
Treasury securities, par	8	7		
1106				
Receivables, net	47	9	3	3
1107				
Advances and prepayments	20	20		
Non-Federal assets:				
1201				
Investments in non-Federal securities, net	-6			
1206				
Receivables, net	113	124	86	86
1207				
Advances and prepayments				
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601				
Direct loans, gross	44	39	33	23
1602				
Interest receivable	1	-1		
1603				
Allowance for estimated uncollectible loans and interest (-)	-22	-21	-47	-47
1699				
Value of assets related to direct loans	23	17	-14	-24
1701				
Defaulted guaranteed loans, gross	1,981	2,006	1,707	1,707
1702				
Interest receivable	216	130		
1703				
Allowance for estimated uncollectible loans and interest (-)	-1,605	-1,126	-1,208	-1,208
1704				
Defaulted guaranteed loans and interest receivable, net	592	1,010	499	499

1705	Allowance for uncollectables from foreclosed property	763	-150	-150
1706	Foreclosed property	-668	84	244	244
1799	Value of assets related to loan guarantees	687	1,094	593	593
Other Federal assets:							
1801	Funds held by the Public	74	109
1901	Other assets	9	18
1999	Total assets	2,724	4,180	668	658
LIABILITIES:							
Federal liabilities:							
2101	Accounts payable	204	52	363	363
2105	Other Liabilities	20	127
Non-Federal liabilities:							
2201	Accounts payable	354	444	443	443
2202	Interest payable	12	-4
2203	Debt	220	223
2204	Liabilities for loan guarantees	6,732	6,732
2206	Pension and other actuarial liabilities	5,960	5,960
2207	Unearned revenue and advances	390	384	124	124
2999	Total liabilities	7,932	7,958	6,890	6,890
NET POSITION:							
3100	Appropriated capital	921	1,826	8,532	8,532
3300	Cumulative results of operations	-6,129	-5,604	-14,754	-14,764
3999	Total net position	-5,208	-3,778	-6,222	-6,232
4999	Total liabilities and net position	2,724	4,180	668	658

¹ Preliminary pending final audit.

Object Classification (in millions of dollars)

Identification code 86-4072-0-3-371		2001 actual	2002 est.	2003 est.
25.2	Other services	282	109	89
32.0	Land and structures	256	315	264
33.0	Investments and loans	618	982	1,234
42.0	Insurance claims and indemnities	39	42	44
43.0	Interest and dividends	6	22	22
44.0	Repayments to financing account	176	129	57
99.9	Total new obligations	1,377	1,599	1,710

HOUSING FOR THE ELDERLY OR HANDICAPPED FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 86-4115-0-3-371		2001 actual	2002 est.	2003 est.
Obligations by program activity:				
Capital investment:				
00.02	Maintenance security and collateral	5	5	5
01.01	Operating expenses: Interest on borrowings	299	230	198
10.00	Total new obligations	304	235	203
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	116	26
22.00	New budget authority (gross)	322	426	296
22.10	Resources available from recoveries of prior year obligations	7	5	10
22.40	Capital transfer to general fund	-116	-222	-103
23.90	Total budgetary resources available for obligation	329	235	203
23.95	Total new obligations	-304	-235	-203
24.40	Unobligated balance carried forward, end of year	26
New budget authority (gross), detail:				
Mandatory:				
60.00	Appropriation (Indefinite)	87
69.00	Offsetting collections (cash)	785	751	751
69.47	Portion applied to repay debt	-550	-325	-455
69.90	Spending authority from offsetting collections (total mandatory)	235	426	296
70.00	Total new budget authority (gross)	322	426	296
Change in obligated balances:				
72.40	Obligated balance, start of year	178	152	115

73.10	Total new obligations	304	235	203
73.20	Total outlays (gross)	-323	-267	-222
73.45	Recoveries of prior year obligations	-7	-5	-10
74.40	Obligated balance, end of year	152	115	85

Outlays (gross), detail:

86.97	Outlays from new mandatory authority	304	265	222
86.98	Outlays from mandatory balances	19	2
87.00	Total outlays (gross)	323	267	222

Offsets:

Against gross budget authority and outlays:				
88.40	Offsetting collections (cash) from: Non-Federal sources	-785	-751	-751

Net budget authority and outlays:

89.00	Budget authority	-463	-325	-455
90.00	Outlays	-462	-484	-529

Status of Direct Loans (in millions of dollars)

Identification code 86-4115-0-3-371		2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	7,923	7,805	7,623
1231	Disbursements: Direct loan disbursements	4	5	1
1251	Repayments: Repayments and prepayments	-122	-187	-221
1264	Write-offs for default: Other adjustments, net
1290	Outstanding, end of year	7,805	7,623	7,403

Note.—Amounts for direct loan obligations reflect reservations of section 202 funds. Loan obligations shown under the program and financing schedule reflect loans that have reached the initial closing stage of processing.

The Housing for the Elderly or Handicapped Fund was established pursuant to section 202 of the Housing Act of 1959, as amended. The fund provided direct loans to nonprofit organizations building and managing housing projects for lower income persons who are elderly or disabled.

Projects included an assured range of necessary services for the occupants of such projects. In addition, the section 8 lower income housing assistance payments program has been used in conjunction with the section 202 program. Applications under the two programs have been processed simultaneously.

The data included in these schedules represent direct loan activities funded under the Housing for the Elderly or Handicapped Loan Fund. Further, activities in support of the needs of the elderly and disabled have been carried out under a grant program funded in the 1991 Appropriations Act (P.L. 101-507) and authorized in the National Affordable Housing Act (P.L. 101-625).

After April 1, 1992, all projects for which there were administrative reservations converted to the capital advance assistance program.

The program and financing schedule for this account summarizes the Federal government's obligations for this loan program.

Financing.—Repayments and interest income from loans continue to be available to pay for commitments of the fund.

Statement of Operations (in millions of dollars)

Identification code 86-4115-0-3-371		2000 actual	2001 actual	2002 est.	2003 est.
0101	Revenue	674	659	563	530
0102	Expense	-345	-294	-235	-203
0105	Net income or loss (-)	329	365	328	327

Balance Sheet (in millions of dollars)

Identification code 86-4115-0-3-371		2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:					
1101	Federal assets: Fund balances with Treasury	294	178	115	85
1207	Non-Federal assets: Advances and prepayments

Credit accounts—Continued

HOUSING FOR THE ELDERLY OR HANDICAPPED FUND LIQUIDATING ACCOUNT—Continued

Balance Sheet (in millions of dollars)—Continued

Identification code 86-4115-0-3-371	2000 actual	2001 actual	2002 est.	2003 est.
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross	7,923	7,804	7,623	7,403
1602 Interest receivable	83	98	98	98
1603 Allowance for estimated uncollectible loans and interest (-)	-23	-20	-22	-21
1604 Direct loans and interest receivable, net	7,983	7,882	7,699	7,480
1606 Acquired Real Property	8	9	8	8
1699 Value of assets related to direct loans	7,991	7,891	7,707	7,488
1999 Total assets	8,285	8,069	7,822	7,573
LIABILITIES:				
Federal liabilities:				
2102 Interest payable	171	150	115	100
2103 Debt	3,653	3,103	2,778	2,323
2104 Resources payable to Treasury	4,451	4,407	4,710	5,036
2207 Non-Federal liabilities: Other	10	22	22	22
2999 Total liabilities	8,285	7,682	7,625	7,481
NET POSITION:				
3100 Unexpended Appropriations		22	12	6
3300 Revolving Fund: Cumulative results of operations		365	185	86
3999 Total net position		387	197	92
4999 Total liabilities and net position	8,285	8,069	7,822	7,573

Object Classification (in millions of dollars)

Identification code 86-4115-0-3-371	2001 actual	2002 est.	2003 est.
32.0 Land and structures	5	5	5
43.0 Interest and dividends	299	230	198
99.9 Total new obligations	304	235	203

NONPROFIT SPONSOR ASSISTANCE LIQUIDATING ACCOUNT

Status of Direct Loans (in millions of dollars)

Identification code 86-4042-0-3-604	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	1	1	1
1290 Outstanding, end of year	1	1	1

As required by the Federal Credit Reform Act of 1990, this account records, for this program, all cash flows to and from the Government resulting from direct loans obligated prior to 1992. This account is shown on a cash basis.

The Nonprofit Sponsor Assistance fund provided interest-free loans to nonprofit organizations to plan housing projects to be financed under the section 202 housing for the elderly or disabled program.

Balance Sheet (in millions of dollars)

Identification code 86-4042-0-3-604	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross		1	1	1
1603 Allowance for estimated uncollectible loans and interest (-)		-1	-1	-1

1699 Value of assets related to direct loans				
1999 Total assets				

MANUFACTURED HOUSING FEES TRUST FUND

For necessary expenses as authorized by the National Manufactured Housing Construction and Safety Standards Act of 1974, as amended (42 U.S.C. 5401 et seq.), **[\$13,566,000] \$13,000,000**, to remain available until expended, to be derived from the Manufactured Housing Fees Trust Fund: *Provided*, That not to exceed the total amount appropriated under this heading shall be available from the general fund of the Treasury to the extent necessary to incur obligations and make expenditures pending the receipt of collections to the Fund pursuant to section 620 of such Act: *Provided further*, That the amount made available under this heading from the general fund shall be reduced as such collections are received during fiscal year **[2002] 2003** so as to result in a final fiscal year **[2002] 2003** appropriation from the general fund estimated at not more than \$0 and fees pursuant to such section 620 shall be modified as necessary to ensure such a final fiscal year **[2002] 2003** appropriation. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

Unavailable Collections (in millions of dollars)

Identification code 86-8119-0-7-376	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.00 Manufactured home inspection and monitoring	6	14	13
Appropriations:			
05.00 Manufactured home inspection and monitoring	-6	-14	-13
05.99 Total appropriations	-6	-14	-13
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 86-8119-0-7-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Transfer to salaries and expenses	1	1	1
00.02 Other program costs	4	13	12
10.00 Total new obligations (object class 25.2)	5	14	13

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year		1	1
22.00 New budget authority (gross)	6	14	13
23.90 Total budgetary resources available for obligation	6	15	14
23.95 Total new obligations	-5	-14	-13
24.40 Unobligated balance carried forward, end of year	1	1	1

New budget authority (gross), detail:

Discretionary:			
40.26 Appropriation (trust fund)		14	13
Mandatory:			
60.26 Appropriation (trust fund)	6		
70.00 Total new budget authority (gross)	6	14	13

Change in obligated balances:

72.40 Obligated balance, start of year		3	3
73.10 Total new obligations	5	14	13
73.20 Total outlays (gross)	-2	-14	-13
74.40 Obligated balance, end of year	3	3	3

Outlays (gross), detail:

86.90 Outlays from new discretionary authority		11	10
86.93 Outlays from discretionary balances		3	3
86.97 Outlays from new mandatory authority	2		
86.98 Outlays from mandatory balances	2		
87.00 Total outlays (gross)	2	14	13

Net budget authority and outlays:

89.00 Budget authority	6	14	13
90.00 Outlays	2	14	13

The National Manufactured Housing Construction and Safety Standards Act of 1974, as amended by the Manufactured Housing Improvement Act of 2000, authorizes development and enforcement of appropriate standards for the construction, design, and performance of manufactured homes to assure their quality, durability, affordability, and safety. All manufactured homes produced since the standards took effect on June 15, 1976 must comply with Federal construction and safety standards. The States are actively encouraged to participate in the program under compliance plans approved by HUD. New program requirements mandated by the Manufactured Housing Improvement Act of 2000 include procurement of an Administering Organization, formation of a Consensus Committee to recommend revisions to and interpretations of the manufactured housing standards, development and implementation of standards for installation of manufactured housing, and development and implementation of a dispute resolution program.

Fees are charged to the manufacturers for each manufactured home transportable section produced and will be used to fund the costs of all authorized activities necessary for the consensus committee, HUD, and its agents to carry out all aspects of the manufactured housing legislation. Fees are deposited in a trust fund administered by the Department, and a portion of the fee receipts are transferred to the salaries and expenses account to defray the direct administrative expenses of the program. In 2001, an estimated 338,565 transportable sections were produced, for a total of 191,249 manufactured homes.

The Manufactured Housing Improvement Act of 2000 created a Manufactured Housing Fees Trust Fund and made spending subject to appropriations. This account provides spending for activities formerly funded under Manufactured Home Inspection and Monitoring.

This account also presents activities formerly shown under the Interstate Land Sales account.

The Interstate Land Sales Full Disclosure Act provides protection to the public with respect to purchases or leases of subdivision lots. Statements of record must be filed with the Secretary before subdivisions with 100 or more lots may be sold in interstate commerce, except when the subdivision is eligible for exemption.

The Secretary is authorized to charge a fee, to be paid by the developer when filing a statement of record. The fee receipts are permanently appropriated and have helped finance a portion of the direct administrative expenses incurred in program operations.

The estimated annual program activity level will continue at 1,020 filings, approximately the same estimated level as in recent years with a cost of \$350,000.

GOVERNMENT NATIONAL MORTGAGE ASSOCIATION

Federal Funds

The Housing and Urban Development Act of 1968 authorized the Government National Mortgage Association (Ginnie Mae) to guarantee the timely payment of principal and interest on privately issued securities that are backed by pools of FHA, Veterans Affairs (VA) and Rural Housing Service mortgages. The Ginnie Mae guarantee gives lenders access to the capital markets for funds to originate new loans. New FHA and VA loans are currently pooled into Ginnie Mae securities

Financing.—Ginnie Mae issuers are assessed commitment, guarantee and other fees to cover costs incurred by Ginnie Mae and to fund a reserve against possible future payments under the guarantee.

Operating results.—Fee collections, interest, and other income are expected to exceed expenses by \$834 million in 2002 and \$808 million in 2003.

Credit accounts:

GUARANTEES OF MORTGAGE-BACKED SECURITIES LOAN GUARANTEE PROGRAM ACCOUNT
(INCLUDING TRANSFER OF FUNDS)

New commitments to issue guarantees to carry out the purposes of section 306 of the National Housing Act, as amended (12 U.S.C. 1721(g)), shall not exceed \$200,000,000,000, to remain available until September 30, [2003] 2004.

For administrative expenses necessary to carry out the guaranteed mortgage-backed securities program, [\$9,383,000] \$10,761,000, to be derived from the GNMA guarantees of mortgage-backed securities guaranteed loan receipt account, of which not to exceed [\$9,383,000] \$10,761,000, shall be transferred to the appropriation for "Salaries and expenses". (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

Unavailable Collections (in millions of dollars)

Identification code 86-0186-0-1-371	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	1,004	1,351	1,740
Receipts:			
02.20 Negative subsidies	356	398	398
04.00 Total: Balances and collections	1,360	1,749	2,138
Appropriations:			
05.00 Guarantees of mortgage-backed securities loan guarantee program account	-9	-9	-10
07.99 Balance, end of year	1,351	1,740	2,128

Program and Financing (in millions of dollars)

Identification code 86-0186-0-1-371	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Administrative expenses, salaries and expenses	9	9	10
10.00 Total new obligations (object class 25.3)	9	9	10
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	9	9	10
23.95 Total new obligations	-9	-9	-10
New budget authority (gross), detail:			
Discretionary:			
40.20 Appropriation (special fund)	9	9	10
Change in obligated balances:			
73.10 Total new obligations	9	9	10
73.20 Total outlays (gross)	-9	-9	-10
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	9	9	10
Net budget authority and outlays:			
89.00 Budget authority	9	9	10
90.00 Outlays	9	9	10
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value			
92.02 Total investments, end of year: Federal securities:			
Par value			

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 86-0186-0-1-371	2001 actual	2002 est.	2003 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Ginnie Mae mortgage-backed securities	153,798	120,000	120,000
215002 Standby commitment authority	46,202	80,000	80,000

Credit accounts—Continued**GUARANTEES OF MORTGAGE-BACKED SECURITIES LOAN GUARANTEE PROGRAM ACCOUNT—Continued**

(INCLUDING TRANSFER OF FUNDS)—Continued

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)—Continued

Identification code 86-0186-0-1-371	2001 actual	2002 est.	2003 est.
215901 Total loan guarantee levels	200,000	200,000	200,000
Guaranteed loan subsidy (in percent):			
232001 Loan guarantee levels	-0.36	-0.33	-0.33
232002 Standby commitment authority	-0.36	-0.33	-0.33
232901 Weighted average subsidy rate	-0.36	-0.33	-0.33
Guaranteed loan subsidy budget authority:			
233001 Loan guarantee levels	-356	-398	-398
233002 Standby commitment authority			
233901 Total subsidy budget authority	-356	-398	-398
Guaranteed loan subsidy outlays:			
234001 Loan guarantee levels	-356	-398	-398
234002 Standby commitment authority			
234901 Total subsidy outlays	-356	-398	-398
Administrative expense data:			
351001 Budget authority	9	9	10
359001 Outlays from new authority	9	9	10

As required by the Federal Credit Reform Act of 1990, this account records the administrative expenses of this program. The administrative expenses are estimated on a cash basis.

GUARANTEES OF MORTGAGE-BACKED SECURITIES FINANCING ACCOUNT**Program and Financing (in millions of dollars)**

Identification code 86-4240-0-3-371	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Operating expenses	40	43	3
00.02 Capital investment	52	95	63
00.91 Subtotal, Operating expenses and capital investment	92	138	66
08.01 Payment to receipt account for negative subsidy	356	398	398
10.00 Total new obligations	448	536	464
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	598	666	675
22.00 New financing authority (gross)	516	545	548
23.90 Total budgetary resources available for obligation	1,114	1,211	1,223
23.95 Total new obligations	-448	-536	-464
24.40 Unobligated balance carried forward, end of year	666	675	759
New financing authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	516	545	548
Change in obligated balances:			
72.40 Obligated balance, start of year	16	26	28
73.10 Total new obligations	448	536	464
73.20 Total financing disbursements (gross)	-437	-534	-464
74.40 Obligated balance, end of year	26	28	28
87.00 Total financing disbursements (gross)	437	534	464

Offsets:

Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.25 Interest on uninvested funds	-47	-38	-38
Non-Federal sources:			
88.40 Guarantee Fees	-383	-383	-398
88.40 Commitment and other fees	-48	-72	-42
88.40 Multiclass fees	-18	-21	-21

88.40 Repayment of advances	-20	-31	-49
88.90 Total, offsetting collections (cash)	-516	-545	-548

Net financing authority and financing disbursements:

89.00 Financing authority			
90.00 Financing disbursements	-79	-11	-84

Status of Guaranteed Loans (in millions of dollars)

Identification code 86-4240-0-3-371	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders	200,000	200,000	200,000
2121 Limitation available from carry-forward		38,343	
2143 Uncommitted limitation carried forward	-38,343		
2150 Total guaranteed loan commitments	161,657	238,343	200,000
2199 Guaranteed amount of guaranteed loan commitments	161,657	238,343	200,000
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	602,741	604,309	627,619
2231 Disbursements of new guaranteed loans	153,798	120,000	120,000
2251 Repayments and prepayments	-152,230	-96,689	-72,168
2290 Outstanding, end of year	604,309	627,619	675,451
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	604,309	627,619	675,451

Operating Results.—Fee collections, interest, and other income are expected to exceed expenses by \$76 million in 2001; \$37 million in 2002 and \$95 million in 2003. These amounts will be retained against losses that may be incurred on guarantees.

Balance Sheet (in millions of dollars)

Identification code 86-4240-0-3-371	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	614	693	703	747
1206 Non-Federal assets: Receivables, net	31	32	32	33
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross		3	10	11
1699 Value of assets related to direct loans		2	11	10
1803 Other Federal assets: Property, plant and equipment, net	5	8	12	15
1999 Total assets	650	736	757	806
LIABILITIES:				
Non-Federal liabilities:				
2201 Accounts payable	47	59	59	61
2207 Other	22	21		
2999 Total liabilities	69	80	59	61
NET POSITION:				
3300 Cumulative results of operations	581	656	698	745
3999 Total net position	581	656	698	745
4999 Total liabilities and net position	650	736	757	806

Note.—Ginnie Mae guarantees the timely payment of principal and interest installments on securities which are backed by FHA-insured, Rural Housing Service-insured, and VA-guaranteed mortgages. Such guarantees are excluded from the Government total of guaranteed obligations duplicating FHA, Rural Housing Service, and VA guarantees.

GUARANTEES OF MORTGAGE-BACKED SECURITIES LIQUIDATING ACCOUNT**Unavailable Collections (in millions of dollars)**

Identification code 86-4238-0-3-371	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			

Receipts:				
02.80	Guarantees of mortgage-backed securities liquidating account, offsetting collections	402	420	425
Appropriations:				
05.00	Guarantees of mortgage-backed securities liquidating account	-402	-420	-425
07.99	Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 86-4238-0-3-371				
Obligations by program activity:				
00.01	Administrative contract expenses			40
Operating expenses:				
00.02	Default expenses	1	1	1
00.03	Servicing expenses	2	1	1
00.91	Total operating expenses	3	2	42
Capital investment:				
01.01	Advances of guaranty payments	47	46	45
10.00	Total new obligations	50	48	87

Budgetary resources available for obligation:

21.40	Unobligated balance carried forward, start of year	6,237	6,589	6,961
22.00	New budget authority (gross)	402	420	465
23.90	Total budgetary resources available for obligation	6,639	7,009	7,426
23.95	Total new obligations	-50	-48	-87
24.40	Unobligated balance carried forward, end of year	6,589	6,961	7,339

New budget authority (gross), detail:

Mandatory:				
60.00	Appropriation			40
69.00	Offsetting collections (cash)	402	420	425
70.00	Total new budget authority (gross)	402	420	465

Change in obligated balances:

72.40	Obligated balance, start of year ¹	-79	-66	-59
73.10	Total new obligations	50	48	87
73.20	Total outlays (gross)	-37	-42	-89
74.40	Obligated balance, end of year	-66	-59	-61

Outlays (gross), detail:

86.97	Outlays from new mandatory authority	37	42	89
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Offsets:

Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.20	Interest on Federal securities	-356	-378	-389
Non-Federal sources:				
88.40	Repayments of guaranteed payments	-42	-39	-35
88.40	Servicing income	-3	-2	-1
88.40	Repayments on mortgages	-1	-1	
88.90	Total, offsetting collections (cash)	-402	-420	-425

Net budget authority and outlays:

89.00	Budget authority			40
90.00	Outlays	-365	-378	-336

Memorandum (non-add) entries:

92.01	Total investments, start of year: Federal securities: Par value	6,190	6,575	6,838
92.02	Total investments, end of year: Federal securities: Par value	6,575	6,838	7,111

¹ This line nets unpaid obligations and offsetting collections from new Federal sources.

Status of Direct Loans (in millions of dollars)

Identification code 86-4238-0-3-371				
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	109	110	90
1232	Disbursements: Purchase of loans assets from the public	47	46	45
1252	Repayments: Proceeds from loan asset sales to the public or discounted	-42	-39	-35
1263	Write-offs for default: Direct loans	-4	-27	-25
1290	Outstanding, end of year	110	90	75

Status of Guaranteed Loans (in millions of dollars)

Identification code 86-4238-0-3-371				
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	146	134	122
2251	Repayments and prepayments	-12	-12	-12
2290	Outstanding, end of year	134	122	110

Memorandum:

2299	Guaranteed amount of guaranteed loans outstanding, end of year	134	122	110
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Operating results.—Fee collections, interest, and other income are expected to exceed expenses by \$378 million in 2002 and \$348 million in 2003. These amounts will be retained to cover future year expenses and as a reserve against losses that may be incurred on guarantees.

Statement of Operations (in millions of dollars)

Identification code 86-4238-0-3-371					
0101	Revenue	370	383	381	390
0102	Expense			-3	-42
0105	Net income or loss (-)	370	383	378	348
0199	Total comprehensive income	370	383	378	348

Balance Sheet (in millions of dollars)

Identification code 86-4238-0-3-371					
ASSETS:					
Federal assets:					
1101	Fund balances with Treasury				
Investments in US securities:					
1102	Treasury securities, par	6,171	6,557	6,838	7,111
1106	Receivables, net	89	85	57	58
1206	Non-Federal assets: Receivables, net	3	2	2	1
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:					
1601	Direct loans, gross	109	110	89	75
1603	Allowance for estimated uncollectible loans and interest (-)	-105	-99	-79	-65
1699	Value of assets related to direct loans	4	11	10	10
1999	Total assets	6,267	6,655	6,907	7,180
LIABILITIES:					
Non-Federal liabilities:					
2201	Accounts payable	20	20	1	
2207	Other	513	515	514	514
2999	Total liabilities	533	535	515	514
NET POSITION:					
3300	Cumulative results of operations	5,734	6,120	6,392	6,666
3999	Total net position	5,734	6,120	6,392	6,666
4999	Total liabilities and net position	6,267	6,655	6,907	7,180

Note.—Ginnie Mae guarantees the timely payment of principal and interest installments on securities which are backed by FHA-insured, Rural Housing Service, and VA-guaranteed mortgages. Such guarantees are excluded from the Government total of guaranteed obligations duplicating FHA, Rural Housing Service, and VA guarantees.

Object Classification (in millions of dollars)

Identification code 86-4238-0-3-371				
25.2	Other services	3	2	42
33.0	Investments and loans	47	46	45
99.9	Total new obligations	50	48	87

POLICY DEVELOPMENT AND RESEARCH*Federal Funds***General and special funds:**

RESEARCH AND TECHNOLOGY

For contracts, grants, and necessary expenses of programs of research and studies relating to housing and urban problems, not otherwise provided for, as authorized by title V of the Housing and Urban Development Act of 1970, as amended (12 U.S.C. 1701z-1 et seq.), including carrying out the functions of the Secretary under section 1(a)(1)(i) of Reorganization Plan No. 2 of 1968, **[\$50,250,000] \$47,000,000**, to remain available until September 30, **[2003: Provided, That \$1,500,000 shall be for necessary expenses of the Millennial Housing Commission, as authorized by section 206 of Public Law 106-74, with the final report due no later than May 30, 2002 and a termination date of August 30, 2002, notwithstanding section 206(f) and (g) of Public Law 106-74: Provided further, That \$1,000,000 shall be for necessary expenses of the commission established under section 525 of the Preserving Affordable Housing for Senior Citizens and Families in the 21st Century Act, with the final report due no later than June 30, 2002 and a termination date of September 30, 2002, notwithstanding section 525(f) and (g) of Public Law 106-74: Provided further, That of the total amount provided under this heading, \$8,750,000 shall be for the Partnership for Advancing Technology in Housing (PATH) Initiative] 2004. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.)**

Program and Financing (in millions of dollars)

Identification code 86-0108-0-1-451	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Housing Research	41	41	47
00.02 PATH	9	10	
00.05 Strategic Planning Performance Measurement	3		
10.00 Total new obligations	53	51	47
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	3	
22.00 New budget authority (gross)	53	48	47
23.90 Total budgetary resources available for obligation	55	51	47
23.95 Total new obligations	-53	-51	-47
24.40 Unobligated balance carried forward, end of year	3		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	53	50	47
41.00 Transferred to other accounts		-2	
43.00 Appropriation (total discretionary)	53	48	47
Change in obligated balances:			
72.40 Obligated balance, start of year	63	51	40
73.10 Total new obligations	53	51	47
73.20 Total outlays (gross)	-64	-60	-51
74.40 Obligated balance, end of year	51	40	37
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	25	19	19
86.93 Outlays from discretionary balances	39	41	33
87.00 Total outlays (gross)	64	60	51
Net budget authority and outlays:			
89.00 Budget authority	53	48	47
90.00 Outlays	63	60	51

The Housing and Urban Development Act of 1970 directs the Secretary to undertake programs of research, studies, testing, and demonstrations related to the HUD mission. These functions are carried out internally and through contracts with industry, nonprofit research organizations, and educational institutions, and through agreements with State and local governments and other Federal agencies.

In 2003, the research program will expand funding for program evaluations and cover some of the increased costs associ-

ated with analyzing newly available 2000 census data to determine their implications for HUD programs. National Housing Surveys will continue in 2003. Research and evaluation activities will also support the Department in carrying out its responsibilities under the Government Performance and Results Act.

Object Classification (in millions of dollars)

Identification code 86-0108-0-1-451	2001 actual	2002 est.	2003 est.
25.2 Other services	47	45	42
41.0 Grants, subsidies, and contributions	6	6	5
99.9 Total new obligations	53	51	47

FAIR HOUSING AND EQUAL OPPORTUNITY*Federal Funds***General and special funds:**

FAIR HOUSING ACTIVITIES

For contracts, grants, and other assistance, not otherwise provided for, as authorized by title VIII of the Civil Rights Act of 1968, as amended by the Fair Housing Amendments Act of 1988, and section 561 of the Housing and Community Development Act of 1987, as amended, \$45,899,000, to remain available until September 30, **[2003] 2004**, of which \$20,250,000 shall be to carry out activities pursuant to such section 561: *Provided*, That no funds made available under this heading shall be used to lobby the executive or legislative branches of the Federal Government in connection with a specific contract, grant or loan. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 86-0144-0-1-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Fair housing assistance	21	26	26
00.02 Fair housing initiatives	24	20	20
10.00 Total new obligations (object class 41.0)	45	46	46
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	30	31	31
22.00 New budget authority (gross)	46	46	46
23.90 Total budgetary resources available for obligation	76	77	77
23.95 Total new obligations	-45	-46	-46
23.98 Unobligated balance expiring or withdrawn	-1		
24.40 Unobligated balance carried forward, end of year	31	31	31
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	46	46	46
Change in obligated balances:			
72.40 Obligated balance, start of year	39	45	54
73.10 Total new obligations	45	46	46
73.20 Total outlays (gross)	-39	-37	-46
73.40 Adjustments in expired accounts (net)	-1		
74.40 Obligated balance, end of year	45	54	54
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1		
86.93 Outlays from discretionary balances	38	37	46
87.00 Total outlays (gross)	39	37	46
Net budget authority and outlays:			
89.00 Budget authority	46	46	46
90.00 Outlays	39	37	46

The Budget proposes an appropriation of \$46 million in 2003 to fund fair housing activities that support efforts to end housing discrimination. Of the amount requested, \$26 million is for the Fair Housing Assistance Program and \$20 million is for the Fair Housing Initiatives Program.

The Fair Housing Assistance Program (FHAP), authorized by title VIII of the Civil Rights Act of 1968 as amended, provides funding to State and local agencies to assure prompt and effective processing of Title VIII (Civil Rights Act of 1968) complaints.

The funding requested for FHAP will support fair housing enforcement by increasing funding to support additional State and local fair housing organizations to meet the needs of currently underserved populations. It is estimated that the number of new State and local agencies with laws equivalent to the Fair Housing Act will increase to 98 in 2003 from 96 in 2002.

The Fair Housing Initiatives Program (FHIP), authorized by the Housing and Community Development Act of 1987, as amended by the Housing and Community Development Act of 1992, provides support to public and private organizations for the purpose of eliminating or preventing discrimination in housing and for enhancing fair housing opportunities. FHIP provides funding for projects that inform and educate the public, including housing providers, on the rights and obligations of the Fair Housing Act and about substantially equivalent state and local fair housing laws.

OFFICE OF LEAD HAZARD CONTROL

Federal Funds

General and Special Funds:

LEAD HAZARD REDUCTION

For the Lead Hazard Reduction Program, as authorized by section 1011 of the Residential Lead-Based Paint Hazard Reduction Act of 1992, **[\$109,758,000] \$126,000,000**, to remain available until September 30, **[2003] 2004**, of which \$10,000,000 shall be for the Healthy Homes Initiative, pursuant to sections 501 and 502 of the Housing and Urban Development Act of 1970 that shall include research, studies, testing, and demonstration efforts, including education and outreach concerning lead-based paint poisoning and other housing-related diseases and hazards: *Provided*, That of the amounts provided under this heading, \$3,500,000 shall be for a one-time grant to the National Center for Lead-Safe Housing. *(Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.)*

Program and Financing (in millions of dollars)

Identification code 86-0174-0-1-451	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Lead abatement	90	196	126
10.00 Total new obligations (object class 41.0)	90	196	126
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	72	86
22.00 New budget authority (gross)	100	110	126
22.10 Resources available from recoveries of prior year obligations	4
23.90 Total budgetary resources available for obligation	176	196	126
23.95 Total new obligations	-90	-196	-126
24.40 Unobligated balance carried forward, end of year	86
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	100	110	126
Change in obligated balances:			
72.40 Obligated balance, start of year	196	196	297
73.10 Total new obligations	90	196	126
73.20 Total outlays (gross)	-86	-95	-101
73.45 Recoveries of prior year obligations	-4
74.40 Obligated balance, end of year	196	297	322
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	4	2	3
86.93 Outlays from discretionary balances	81	93	98

87.00	Total outlays (gross)	86	95	101
Net budget authority and outlays:				
89.00	Budget authority	100	110	126
90.00	Outlays	85	95	101

Title X of the Housing and Community Development Act of 1992 (Public Law 102-550), known as the Residential Lead-Based Paint Hazard Reduction Act, authorized the Secretary to establish the Lead-Based Paint Hazard Control Grant Program. The primary purpose of the program is to reduce the exposure of young children to lead-based paint hazards in their homes.

The program is a major part of a 10-year strategy to eliminate lead poisoning in children. The 2003 Budget includes a \$16 million increase to \$96 million for HUD's Lead Hazard Control Program competitive grants, and \$10 million for the Healthy Homes Initiative. A request of \$10 million to continue Operation LEAP is also included. These funds will be used to leverage the lead hazard control grant program.

The Lead Hazard Control Grant Program provides grants of \$1 to \$2.5 million to State and local governments and Indian tribes for control of lead-based paint hazards in privately owned, low-income owner-occupied and rental housing. The grants are also designed to stimulate the development of a housing maintenance and rehabilitation workforce trained in lead-safe work practices and a certified hazard evaluation and control industry. In awarding grants, HUD promotes the use of new, low cost approaches to hazard control that can be replicated across the nation.

The Healthy Homes Initiative will enable the Department to control additional childhood diseases and injuries that are caused by housing related factors. The initiative will allow for a demonstration that evaluates the methods of controlling two or more housing related diseases through a single intervention. A public education/outreach effort, to enable the public to act effectively to protect their children, will also be conducted.

The Office of Healthy Homes and Lead Hazard Control will continue its Technical Support program, which will include public education; technical assistance for State and local agencies, private property owners, HUD programs and field offices and professional organizations; quality control to ensure that the evaluation and control of lead-based paint hazards is done properly in HUD-assisted housing; development of standards, technical guidance, regulations and improved testing; and hazard control methods.

MANAGEMENT AND ADMINISTRATION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For necessary administrative and non-administrative expenses of the Department of Housing and Urban Development, not otherwise provided for, including not to exceed \$25,000 for official reception and representation expenses, **[\$1,097,292,000] \$1,120,871,000**, of which **[\$530,457,000] \$571,779,000** shall be provided from the various funds of the Federal Housing Administration, **[\$9,383,000] \$10,000,000] \$10,761,000** shall be provided from funds of the Government National Mortgage Association, **[\$1,000,000] \$1,040,000** shall be provided from the "Community development loan guarantees program" account, **[\$150,000] \$156,000** shall be provided by transfer from the "Native American housing block grants" account, **[\$200,000] \$207,000** shall be provided by transfer from the "Indian housing loan guarantee fund program" account and \$35,000 shall be transferred from the "Native Hawaiian housing loan guarantee fund" account: *Provided*, That no less than **[\$85,000,000] \$10,500,000** shall be transferred to the Working Capital Fund for the development **[and maintenance of] of and modifications to information technology**

General and special funds—Continued

SALARIES AND EXPENSES—Continued

(INCLUDING TRANSFER OF FUNDS)—Continued

systems: *Provided further*, That the Secretary shall fill 7 out of 10 vacancies at the GS-14 and GS-15 levels until the total number of GS-14 and GS-15 positions in the Department has been reduced from the number of GS-14 and GS-15 positions on the date of enactment of Public Law 106-377 by 2½ percent: *Provided further*, That the Secretary shall submit a staffing plan for the Department by January 15, 2002. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 86-0143-0-1-999	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Housing, mortgage credit, regulatory and energy conservation	222	231	213
00.02 Community planning and development programs	37	38	35
00.03 Equal opportunity and research programs	40	41	38
00.04 Departmental management, legal and audit services	36	36	34
00.05 Field direction and administration	228	236	217
09.01 Reimbursable program	557	566	584
09.99 Total reimbursable program	557	566	584
10.00 Total new obligations	1,120	1,148	1,121
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	1,126	1,148	1,121
23.95 Total new obligations	-1,120	-1,148	-1,121
23.98 Unobligated balance expiring or withdrawn	-5		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	569	582	537
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
43.00 Appropriation (total discretionary)	568	582	537
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	558	566	584
70.00 Total new budget authority (gross)	1,126	1,148	1,121
Change in obligated balances:			
72.40 Obligated balance, start of year	194	174	174
73.10 Total new obligations	1,120	1,148	1,121
73.20 Total outlays (gross)	-1,137	-1,148	-1,128
73.40 Adjustments in expired accounts (net)	-3		
74.40 Obligated balance, end of year	174	174	167
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1,045	1,054	1,034
86.93 Outlays from discretionary balances	92	94	94
87.00 Total outlays (gross)	1,137	1,148	1,128
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-558	-566	-584
Net budget authority and outlays:			
89.00 Budget authority	568	582	537
90.00 Outlays	579	582	544

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	542	556	510
90.00 Outlays	553	556	517

This appropriation finances all salaries and related costs associated with administering the programs of the Department of Housing and Urban Development, including: housing

and mortgage credit programs; community planning and development programs; equal opportunity, research, regulatory and insurance programs; departmental management, and legal services; and, field direction and administration.

Object Classification (in millions of dollars)

Identification code 86-0143-0-1-999	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	282	313	329
11.3 Other than full-time permanent	1	2	2
11.5 Other personnel compensation	2	3	3
11.9 Total personnel compensation	285	318	334
12.1 Civilian personnel benefits	100	100	102
21.0 Travel and transportation of persons	8	8	12
23.1 Rental payments to GSA	46	46	48
23.3 Communications, utilities, and miscellaneous charges	14	14	14
24.0 Printing and reproduction	3	3	3
25.1 Advisory and assistance services	74	63	
25.2 Other services	3	3	4
25.3 Other purchases of goods and services from Government accounts	20	20	12
25.4 Operation and maintenance of facilities	3	3	3
25.7 Operation and maintenance of equipment	1	1	2
26.0 Supplies and materials	3	2	2
31.0 Equipment	3	1	1
99.0 Direct obligations	563	582	537
99.0 Reimbursable obligations	557	566	584
99.9 Total new obligations	1,120	1,148	1,121

Personnel Summary

Identification code 86-0143-0-1-999	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	4,552	4,641	4,641
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	4,374	4,459	4,459

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the Inspector General Act of 1978, as amended, **[\$93,898,000]** **\$101,595,000**, of which **[\$22,343,000]** **\$24,058,000** shall be provided from the various funds of the Federal Housing Administration [and \$5,000,000 shall be provided from the appropriation for the "Public housing operating fund": *Provided*, That the Inspector General shall have independent authority over all personnel issues within] : *Provided, that no less than \$300,000 shall be transferred to the Working Capital Fund for the development of and modifications to information technology systems for the Office of Inspector General. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.)*

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Office of Inspector General", \$1,000,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 86-0189-0-1-451	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	62	71	77
09.01 Reimbursable program	33	28	24
10.00 Total new obligations	95	99	101
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	6		
22.00 New budget authority (gross)	89	99	101
23.90 Total budgetary resources available for obligation	95	99	101

23.95	Total new obligations	-95	-99	-101
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	56	71	77
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	33	28	24
70.00	Total new budget authority (gross)	89	99	101
Change in obligated balances:				
72.40	Obligated balance, start of year	27	26	26
73.10	Total new obligations	95	99	101
73.20	Total outlays (gross)	-95	-98	-101
74.40	Obligated balance, end of year	26	26	26
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	79	84	84
86.93	Outlays from discretionary balances	15	15	15
87.00	Total outlays (gross)	95	98	101
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-33	-28	-24
Net budget authority and outlays:				
89.00	Budget authority	56	71	77
90.00	Outlays	61	70	77

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	53	68	74
90.00	Outlays	58	67	74

This appropriation provides agency wide audit and investigative functions to identify and correct management and administrative deficiencies that create conditions for existing or potential instances of fraud, waste and mismanagement. The audit function provides internal audit and contract audit. Internal audits review and evaluate all facets of agency operations. The investigative function provides for the detection and investigation of improper and illegal activities involving programs, personnel, and operations.

Object Classification (in millions of dollars)

Identification code 86-0189-0-1-451	2001 actual	2002 est.	2003 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	31	41	48
11.5	Other personnel compensation	2	2	2
11.9	Total personnel compensation	33	43	50
12.1	Civilian personnel benefits	10	11	11
21.0	Travel and transportation of persons	4	4	4
23.1	Rental payments to GSA	5	4	4
23.3	Communications, utilities, and miscellaneous charges	1	1	1
25.1	Advisory and assistance services	4	3	3
25.3	Other purchases of goods and services from Government accounts	2	2	2
26.0	Supplies and materials	1	1	1
31.0	Equipment	1	2	1
99.0	Direct obligations	61	71	77
99.0	Reimbursable obligations	34	28	24
99.9	Total new obligations	95	99	101

Personnel Summary

Identification code 86-0189-0-1-451	2001 actual	2002 est.	2003 est.	
Direct:				
1001	Total compensable workyears: Full-time equivalent employment	438	443	443

Reimbursable:				
2001	Total compensable workyears: Full-time equivalent employment	246	232	232

CONSOLIDATED FEE FUND

(RESCISSION)

[Of the] All unobligated balances remaining available from fees and charges under section 7(j) of the Department of Housing and Urban Development Act, \$6,700,000 is on October 1, 2002 are rescinded. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 86-5486-0-2-604	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Direct Program Activity	1	1	
10.00	Total new obligations (object class 25.3)	1	1	
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	16	16	8
22.00	New budget authority (gross)		-7	-8
23.90	Total budgetary resources available for obligation	16	9	
23.95	Total new obligations	-1	-1	
24.40	Unobligated balance carried forward, end of year	16	8	
New budget authority (gross), detail:				
Discretionary:				
40.36	Unobligated balance rescinded		-7	-8
Change in obligated balances:				
73.10	Total new obligations	1	1	
73.20	Total outlays (gross)	-1	-1	
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority			-1
86.93	Outlays from discretionary balances			1
86.98	Outlays from mandatory balances	1	1	
87.00	Total outlays (gross)	1	1	
Net budget authority and outlays:				
89.00	Budget authority		-7	-8
90.00	Outlays	1	1	

Section 7(j) of the Department of Housing and Urban Development Act established fees and charges from selected programs to offset the costs of audits, inspections and other related expenses that may be incurred by the Department in monitoring these programs. These funds are no longer needed. In 2003, the budget proposes a rescission of all unobligated funds.

OFFICE OF FEDERAL HOUSING ENTERPRISE OVERSIGHT

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

[For carrying out the Federal Housing Enterprises Financial Safety and Soundness Act of 1992, including not to exceed \$500 for official reception and representation expenses, \$27,000,000, to remain available until expended, to be derived from the Federal Housing Enterprises Oversight Fund: Provided, That not to exceed such amount shall be available from the general fund of the Treasury to the extent necessary to incur obligations and make expenditures pending the receipt of collections to the Fund: Provided further, That the general fund amount shall be reduced as collections are received during the fiscal year so as to result in a final appropriation from the general fund estimated at not more than \$0: Provided further, That this Office shall submit a staffing plan to the House and Senate Committees on Appropriations no later than January 30, 2002]. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.)

General and special funds—Continued**OFFICE OF FEDERAL HOUSING ENTERPRISE OVERSIGHT—Continued****SALARIES AND EXPENSES—Continued****(INCLUDING TRANSFER OF FUNDS)—Continued****Unavailable Collections (in millions of dollars)**

Identification code 86-5272-0-2-371	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.60 Office of federal housing enterprise oversight	22	27	31
Appropriations:			
05.00 Office of federal housing enterprise oversight	-22	-27	-31
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 86-5272-0-2-371	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	23	27	31
10.00 Total new obligations	23	27	31
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	22	27	31
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	23	27	31
23.95 Total new obligations	-23	-27	-31
New budget authority (gross), detail:			
Discretionary:			
40.20 Appropriation (special fund)	22	27	31
Change in obligated balances:			
72.40 Obligated balance, start of year	6	5	6
73.10 Total new obligations	23	27	31
73.20 Total outlays (gross)	-23	-26	-30
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	5	6	7
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	19	22	25
86.93 Outlays from discretionary balances	4	4	5
87.00 Total outlays (gross)	23	26	30
Net budget authority and outlays:			
89.00 Budget authority	22	27	31
90.00 Outlays	23	26	30

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	22	27	30
90.00 Outlays	23	26	29

This appropriation funds the Office of Federal Housing Enterprise Oversight (the Office), which was established in 1992 to regulate the financial safety and soundness of two housing Government Sponsored Enterprises (GSEs)—Fannie Mae and Freddie Mac. The Office was authorized in the Federal Housing Enterprise Safety and Soundness Act of 1992, which also instituted a risk-based capital standard for the GSEs, and gave the regulator enhanced authority to enforce those standards. The office is also required by statute to conduct on-site annual examinations at the GSEs to determine the condition of each enterprise for the purpose of ensuring their financial safety and soundness.

In order to treat OFHEO similarly to other financial regulators, including the regulator of the Federal Home Loan Bank System, the Budget proposes legislation that would pro-

vide direct funding of OFHEO's activities with mandatory assessments on Fannie Mae and Freddie Mac.

Object Classification (in millions of dollars)

Identification code 86-5272-0-2-371	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Personnel Compensation	12	14	16
12.1 Civilian personnel benefits	3	3	5
23.2 Rental payments to others	3	3	3
25.2 Other services	3	2	5
31.0 Equipment	1	4	1
32.0 Land and structures	1		
99.0 Direct obligations	23	26	30
99.5 Below reporting threshold		1	1
99.9 Total new obligations	23	27	31

Personnel Summary

Identification code 86-5272-0-2-371	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	105	118	142

Intragovernmental funds:**WORKING CAPITAL FUND**

For additional capital for Working Capital Fund (42 U.S.C. 3535) for the development of, modifications to, and infrastructure for Department-wide Information technology systems, and for the continuing operation of both Department-wide and program-specific information systems, \$278,737,000 to remain available until September 30, 2004: Provided, That any amounts transferred to this Fund under this Act shall remain available until expended.

Program and Financing (in millions of dollars)

Identification code 86-4586-0-4-451	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program Activity			278
09.01 Reimbursable program	340	351	75
10.00 Total new obligations	340	351	353
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	25	24	24
22.00 New budget authority (gross)	336	351	353
22.10 Resources available from recoveries of prior year obligations	2		
23.90 Total budgetary resources available for obligation	363	375	377
23.95 Total new obligations	-340	-351	-353
24.40 Unobligated balance carried forward, end of year	24	24	24
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation			278
Mandatory:			
69.00 Offsetting collections (cash)	398	351	75
69.10 Change in uncollected customer payments from Federal sources (unexpired)	-62		
69.90 Spending authority from offsetting collections (total mandatory)	336	351	75
70.00 Total new budget authority (gross)	336	351	353
Change in obligated balances:			
72.40 Obligated balance, start of year	-17	71	71
73.10 Total new obligations	340	351	353
73.20 Total outlays (gross)	-310	-351	-325
73.45 Recoveries of prior year obligations	-2		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	62		
74.40 Obligated balance, end of year	71	71	99
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority			250
86.97 Outlays from new mandatory authority	310	351	75

87.00	Total outlays (gross)	310	351	325
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-398	-351	-75
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	62		
Net budget authority and outlays:				
89.00	Budget authority			278
90.00	Outlays	-87		250
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)				
		2001 actual	2002 est.	2003 est.
Net budget authority and outlays:				
89.00	Budget authority			276
90.00	Outlays	-87		248

The Working Capital Fund, authorized by the Department of Housing and Urban Development Act of 1965, finances information technology and office automation initiatives which can be performed more efficiently on a centralized basis. In 2001 and 2002 the fund was financed from fees charged for services performed. In 2003, a direct appropriation is requested for the operations of the computer system and for development and modifications of Department-wide systems. Fees will continue for services to develop and modify systems where the benefit is limited to a specific program.

Object Classification (in millions of dollars)				
Identification code 86-4586-0-4-451		2001 actual	2002 est.	2003 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent			32
12.1	Civilian personnel benefits			8
21.0	Travel and transportation of persons			1
23.3	Communications, utilities, and miscellaneous charges			43
25.1	Advisory and assistance services			176
26.0	Supplies and materials			1
31.0	Equipment			17
99.0	Direct obligations			278
Reimbursable obligations:				
11.1	Personnel compensation: Full-time permanent	26	30	
12.1	Civilian personnel benefits	5	6	
21.0	Travel and transportation of persons	1	2	
23.3	Communications, utilities, and miscellaneous charges	35	40	9
25.1	Advisory and assistance services	223	237	58
26.0	Supplies and materials	1	1	
31.0	Equipment	49	35	8
99.0	Reimbursable obligations	340	351	75
99.9	Total new obligations	340	351	353

Personnel Summary

Identification code 86-4586-0-4-451		2001 actual	2002 est.	2003 est.
Direct:				
1001	Total compensable workyears: Full-time equivalent employment			380
Reimbursable:				
2001	Total compensable workyears: Full-time equivalent employment	354	380	

ADMINISTRATIVE PROVISIONS

SEC. 201. Fifty percent of the amounts of budget authority, or in lieu thereof 50 percent of the cash amounts associated with such budget authority, that are recaptured from projects described in section 1012(a) of the Stewart B. McKinney Homeless Assistance Amendments Act of 1988 (42 U.S.C. 1437 note) shall be rescinded,

or in the case of cash, shall be remitted to the Treasury, and such amounts of budget authority or cash recaptured and not rescinded or remitted to the Treasury shall be used by State housing finance agencies or local governments or local housing agencies with projects approved by the Secretary of Housing and Urban Development for which settlement occurred after January 1, 1992, in accordance with such section. Notwithstanding the previous sentence, the Secretary may award up to 15 percent of the budget authority or cash recaptured and not rescinded or remitted to the Treasury to provide project owners with incentives to refinance their project at a lower interest rate.

SEC. 202. None of the amounts made available under this Act may be used during fiscal year 2002 to investigate or prosecute under the Fair Housing Act any otherwise lawful activity engaged in by one or more persons, including the filing or maintaining of a non-frivolous legal action, that is engaged in solely for the purpose of achieving or preventing action by a Government official or entity, or a court of competent jurisdiction.

SEC. 203. (a) Notwithstanding section 854(c)(1)(A) of the AIDS Housing Opportunity Act (42 U.S.C. 12903(c)(1)(A)), from any amounts made available under this title for fiscal year [2002] 2003 that are allocated under such section, the Secretary of Housing and Urban Development shall allocate and make a grant, in the amount determined under subsection (b), for any State that—

(1) received an allocation in a prior fiscal year under clause (ii) of such section; and

(2) is not otherwise eligible for an allocation for fiscal year [2002] 2003 under such clause (ii) because the areas in the State outside of the metropolitan statistical areas that qualify under clause (i) in fiscal year [2002] 2003 do not have the number of cases of acquired immunodeficiency syndrome (AIDS) required under such clause.

(b) The amount of the allocation and grant for any State described in subsection (a) shall be an amount based on the cumulative number of AIDS cases in the areas of that State that are outside of metropolitan statistical areas that qualify under clause (i) of such section 854(c)(1)(A) in fiscal year [2002] 2003, in proportion to AIDS cases among cities and States that qualify under clauses (i) and (ii) of such section and States deemed eligible under subsection (a).

SEC. 204. (a) Section 225(a) of the Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2000, Public Law 106-74 (113 Stat. 1076), is amended by [inserting “and fiscal year 2002” after “fiscal year 2001”] striking “year 2000, and the amounts that would otherwise be allocated for fiscal year 2001 and fiscal year 2002”, and inserting “years 2000, 2001, 2002, and 2003”.

(b) Notwithstanding any other provision of law, the Secretary of Housing and Urban Development shall allocate to Wake County, North Carolina, the amounts that otherwise would be allocated for fiscal year [2002] 2003 under section 854(c) of the AIDS Housing Opportunity Act (42 U.S.C. 12903(c)) to the City of Raleigh, North Carolina, on behalf of the Raleigh-Durham-Chapel Hill, North Carolina Metropolitan Statistical Area. Any amounts allocated to Wake County shall be used to carry out eligible activities under section 855 of such Act (42 U.S.C. 12904) within such metropolitan statistical area.

SEC. 205. Section 106(c)(9) of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701x(c)(9)) is repealed.

SEC. 206. Section 251 of the National Housing Act (12 U.S.C. 1715z-16) is amended—

(1) in subsection (b), by striking “issue regulations” and all that follows and inserting the following: “require that the mortgagee make available to the mortgagor, at the time of loan application, a written explanation of the features of an adjustable rate mortgage consistent with the disclosure requirements applicable to variable rate mortgages secured by a principal dwelling under the Truth in Lending Act.”; and

(2) by adding the following new subsection at the end:

“(d)(1) The Secretary may insure under this subsection a mortgage that meets the requirements of subsection (a), except that the effective rate of interest—

“(A) shall be fixed for a period of not less than the first 3 years of the mortgage term;

“(B) shall be adjusted by the mortgagee initially upon the expiration of such period and annually thereafter; and

“(C) in the case of the initial interest rate adjustment, is subject to the 1 percent limitation only if the interest rate remained fixed for five or fewer years.

Intragovernmental funds—Continued

ADMINISTRATIVE PROVISIONS—Continued

“(2) The disclosure required under subsection (b) shall be required for a mortgage insured under this subsection.”.]

【SEC. 207. (a) Section 203(c) of the National Housing Act (12 U.S.C. 1709(c)) is amended—

(1) in paragraph (1), by striking “and (k)” and inserting “or (k);” and

(2) in paragraph (2)—

(A) by inserting after “subsection (v)” the following: “and each mortgage that is insured under subsection (k) or section 234(c);” and

(B) by striking “and executed on or after October 1, 1994.”.

(b) The amendments made by subsection (a) shall—

(1) apply only to mortgages that are executed on or after the date of enactment of this Act; and

(2) be implemented in advance of any necessary conforming changes to regulations.】

SEC. 【208】204. (a) During fiscal year 【2002】2003, in the provision of rental assistance under section 8(o) of the United States Housing Act of 1937 (42 U.S.C. 1437f(o)) in connection with a program to demonstrate the economy and effectiveness of providing such assistance for use in assisted living facilities that is carried out in the counties of the State of Michigan specified in subsection (b) of this section, notwithstanding paragraphs (3) and (18)(B)(iii) of such section 8(o), a family residing in an assisted living facility in any such county, on behalf of which a public housing agency provides assistance pursuant to section 8(o)(18) of such Act, may be required, at the time the family initially receives such assistance, to pay rent in an amount exceeding 40 percent of the monthly adjusted income of the family by such a percentage or amount as the Secretary of Housing and Urban Development determines to be appropriate.

(b) The counties specified in this subsection are Oakland County, Macomb County, Wayne County, and Washtenaw County, in the State of Michigan.

【SEC. 209. Section 533 of the National Housing Act (12 U.S.C. 1735f-11) is amended to read as follows:

“SEC. 533. REVIEW OF MORTGAGEE PERFORMANCE AND AUTHORITY TO TERMINATE.—

“(a) PERIODIC REVIEW OF MORTGAGEE PERFORMANCE.—To reduce losses in connection with single family mortgage insurance programs under this Act, at least once a year the Secretary shall review the rate of early defaults and claims for insured single family mortgages originated or underwritten by each mortgagee.

“(b) COMPARISON WITH OTHER MORTGAGEES.—For each mortgagee, the Secretary shall compare the rate of early defaults and claims for insured single family mortgage loans originated or underwritten by the mortgagee in an area with the rate of early defaults and claims for other mortgagees originating or underwriting insured single family mortgage loans in the area. For purposes of this section, the term ‘area’ means each geographic area in which the mortgagee is authorized by the Secretary to originate insured single family mortgages.

“(c) TERMINATION OF MORTGAGEE ORIGINATION APPROVAL.—(1) Notwithstanding section 202(c) of this Act, the Secretary may terminate the approval of a mortgagee to originate or underwrite single family mortgages if the Secretary determines that the mortgage loans originated or underwritten by the mortgagee present an unacceptable risk to the insurance funds. The determination shall be based on the comparison required under subsection (b) and shall be made in accordance with regulations of the Secretary. The Secretary may rely on existing regulations published before this section takes effect.

“(2) The Secretary shall give a mortgagee at least 60 days prior written notice of any termination under this subsection. The termination shall take effect at the end of the notice period, unless the Secretary withdraws the termination notice or extends the notice period. If requested in writing by the mortgagee within 30 days of the date of the notice, the mortgagee shall be entitled to an informal conference with the official authorized to issue termination notices on behalf of the Secretary (or a designee of that official). At the informal conference, the mortgagee may present for consideration specific factors that it believes were beyond its control and that caused the excessive default and claim rate.”.]

【SEC. 210. Except as explicitly provided in law, any grant or assistance made pursuant to title II of this Act shall be made on a competitive basis in accordance with section 102 of the Department of Housing and Urban Development Reform Act of 1989.】

【SEC. 211. Public housing agencies in the States of Alaska, Iowa, and Mississippi shall not be required to comply with section 2(b) of the United States Housing Act of 1937, as amended, during fiscal year 2002.】

【SEC. 212. Notwithstanding any other provision of law, in fiscal year 2002, in managing and disposing of any multifamily property that is owned or held by the Secretary and is occupied primarily by elderly or disabled families, the Secretary of Housing and Urban Development shall maintain any rental assistance payments under section 8 of the United States Housing Act of 1937 that are attached to any dwelling units in the property. To the extent the Secretary determines that such a multifamily property owned or held by the Secretary is not feasible for continued rental assistance payments under such section 8, the Secretary may, in consultation with the tenants of that property, contract for project-based rental assistance payments with an owner or owners of other existing housing properties or provide other rental assistance.】

【SEC. 213. (a) SECTION 207 LIMITS.—Section 207(c)(3) of the National Housing Act (12 U.S.C. 1713(c)(3)) is amended—

(1) by striking “\$30,420”, “\$33,696”, “\$40,248”, “\$49,608”, and “\$56,160” and inserting “\$38,025”, “\$42,120”, “\$50,310”, “\$62,010”, and “\$70,200”, respectively;

(2) by striking “\$9,000” and inserting “\$11,250”; and

(3) by striking “\$35,100”, “\$39,312”, “\$48,204”, “\$60,372”, and “\$68,262” and inserting “\$43,875”, “\$49,140”, “\$60,255”, “\$75,465”, and “\$85,328”, respectively.

(b) SECTION 213 LIMITS.—Section 213(b)(2) of the National Housing Act (12 U.S.C. 1715e(b)(2)) is amended—

(1) by striking “\$30,420”, “\$33,696”, “\$40,248”, “\$49,608”, and “\$56,160” and inserting “\$38,025”, “\$42,120”, “\$50,310”, “\$62,010”, and “\$70,200”, respectively; and

(2) by striking “\$35,100”, “\$39,312”, “\$48,204”, “\$60,372”, and “\$68,262” and inserting “\$43,875”, “\$49,140”, “\$60,255”, “\$75,465”, and “\$85,328”, respectively.

(c) SECTION 220 LIMITS.—Section 220(d)(3)(B)(iii) of the National Housing Act (12 U.S.C. 1715k(d)(3)(B)(iii)) is amended—

(1) by striking “\$30,420”, “\$33,696”, “\$40,248”, “\$49,608”, and “\$56,160” and inserting “\$38,025”, “\$42,120”, “\$50,310”, “\$62,010”, and “\$70,200”, respectively; and

(2) by striking “\$35,100”, “\$39,312”, “\$48,204”, “\$60,372”, and “\$68,262” and inserting “\$43,875”, “\$49,140”, “\$60,255”, “\$75,465”, and “\$85,328”, respectively.

(d) SECTION 221(d)(3) LIMITS.—Section 221(d)(3)(ii) of the National Housing Act (12 U.S.C. 1715l(d)(3)(ii)) is amended—

(1) by striking “\$33,638”, “\$38,785”, “\$46,775”, “\$59,872”, and “\$66,700” and inserting “\$42,048”, “\$48,481”, “58,469”, “\$74,840”, and “\$83,375”, respectively; and

(2) by striking “\$35,400”, “\$40,579”, “\$49,344”, “\$63,834”, and “\$70,070” and inserting “\$44,250”, “\$50,724”, “\$61,680”, “\$79,793”, and “\$87,588”, respectively.

(e) SECTION 221(d)(4) LIMITS.—Section 221(d)(4)(ii) of the National Housing Act (12 U.S.C. 1715l(d)(4)(ii)) is amended—

(1) by striking “\$30,274”, “\$34,363”, “\$41,536”, “\$52,135”, and “\$59,077” and inserting “\$37,843”, “\$42,954”, “\$51,920”, “\$65,169”, and “\$73,846”, respectively; and

(2) by striking “\$32,701”, “\$37,487”, “\$45,583”, “\$58,968”, and “\$64,730” and inserting “\$40,876”, “\$46,859”, “\$56,979”, “\$73,710”, and “\$80,913”, respectively.

(f) SECTION 231 LIMITS.—Section 231(c)(2) of the National Housing Act (12 U.S.C. 1715v(c)(2)) is amended—

(1) by striking “\$28,782”, “\$32,176”, “\$38,423”, “\$46,238”, and “\$54,360” and inserting “\$35,978”, “\$40,220”, “\$48,029”, “\$57,798”, “\$67,950”, respectively; and

(2) by striking “\$32,701”, “\$37,487”, “\$45,583”, “\$58,968”, and “\$64,730” and inserting “\$40,876”, “\$46,859”, “\$56,979”, “\$73,710”, and “\$80,913”, respectively.

(g) SECTION 234 LIMITS.—Section 234(e)(3) of the National Housing Act (12 U.S.C. 1715y(e)(3)) is amended—

(1) by striking “\$30,420”, “\$33,696”, “\$40,248”, “\$49,608”, and “\$56,160” and inserting “\$38,025”, “\$42,120”, “\$50,310”, “\$62,010”, and “\$70,200”, respectively; and

(2) by striking “\$35,100”, “\$39,312”, “\$48,204”, “\$60,372”, and “\$68,262” and inserting “\$43,875”, “\$49,140”, “\$60,255”, “\$75,465”, and “\$85,328”, respectively.】

【SEC. 214. Of the amounts appropriated in the Consolidated Appropriations Act, 2001 (Public Law 106-554), for the operation of an historical archive at the University of South Carolina, Department of Archives, South Carolina, such funds shall be available to the

University of South Carolina to fund an endowment for the operation of an historical archive at the University of South Carolina, without fiscal year limitation.】

【SEC. 215. Section 247 of the National Housing Act (12 U.S.C. 1715z-12) is amended—

(1) in subsection (d), by striking paragraphs (1) and (2) and inserting the following:

“(1) NATIVE HAWAIIAN.—The term ‘native Hawaiian’ means any descendant of not less than one-half part of the blood of the races inhabiting the Hawaiian Islands before January 1, 1778, or, in the case of an individual who is awarded an interest in a lease of Hawaiian home lands through transfer or succession, such lower percentage as may be established for such transfer or succession under section 208 or 209 of the Hawaiian Homes Commission Act of 1920 (42 Stat. 111), or under the corresponding provision of the Constitution of the State of Hawaii adopted under section 4 of the Act entitled ‘An Act to provide for the admission of the State of Hawaii into the Union’, approved March 18, 1959 (73 Stat. 5).”

“(2) HAWAIIAN HOME LANDS.—The term ‘Hawaiian home lands’ means all lands given the status of Hawaiian home lands under section 204 of the Hawaiian Homes Commission Act of 1920 (42 Stat. 110), or under the corresponding provision of the Constitution of the State of Hawaii adopted under section 4 of the Act entitled ‘An Act to provide for the admission of the State of Hawaii into the Union’, approved March 18, 1959 (73 Stat. 5).”; and

(2) by adding at the end the following:

“(e) CERTIFICATION OF ELIGIBILITY FOR EXISTING LESSEES.—Possession of a lease of Hawaiian home lands issued under section 207(a) of the Hawaiian Homes Commission Act of 1920 (42 Stat. 110), shall be sufficient to certify eligibility to receive a mortgage under this section.”.】

【SEC. 216. Notwithstanding the requirement regarding commitment of funds in the first sentence of section 288(b) of the HOME Investment Partnerships Act (42 U.S.C. 12838(b)), the Secretary of Housing and Urban Development (in this section referred to as the “Secretary”) shall approve the release of funds under that section to the Arkansas Development Finance Authority (in this section referred to as the “ADFA”) for projects, if—

(1) funds were committed to those projects on or before June 12, 2001;

(2) those projects had not been completed as of June 12, 2001;

(3) the ADFA has fully carried out its responsibilities as described in section 288(a); and

(4) the Secretary has approved the certification that meets the requirements of section 288(c) with respect to those projects.】

【SEC. 217. Notwithstanding any other provision of law with respect to this or any other fiscal year, the Housing Authority of Baltimore City may use the remaining balance of the grant award of \$20,000,000 made to such authority for development efforts at Hollander Ridge in Baltimore, Maryland with funds appropriated for fiscal year 1996 under the heading “Public Housing Demolition, Site Revitalization, and Replacement Housing Grants” for the rehabilitation of the Claremont Homes project and for the provision of affordable housing in areas within the City of Baltimore either: (1) designated by the partial consent decree in *Thompson v. HUD* as non-impacted census tracts; or (2) designated by said authority as either strong neighborhoods experiencing private investment or dynamic growth areas where public and/or private commercial or residential investment is occurring.】 (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

SEC. 205. SERVICE COORDINATORS FOR SECTION 811 HOUSING.—Section 683(2) of the Housing and Community Development Act of 1992 is amended—

(1) in subparagraph (F), by striking “and”;

(2) in subparagraph (G), by striking “section.” and inserting “section; and”; and

(3) by adding the following new subparagraph at the end:

“(H) housing that is assisted under section 811 of the Cranston-Gonzalez National Affordable Housing Act.”.

SEC. 206. Section 1316 of the Federal Housing Enterprises Financial Safety and Soundness Act of 1992 (12 U.S.C. 4516) is amended in subsection (a) in the first sentence by striking “, to the extent provided in appropriation Acts,” and in subsection (f) in the third sentence by striking “, to the extent provided in appropriation Acts and subsection (e).”.

SEC. 207. REPEAL OF SECTION 236(S) LOAN PROGRAM.—

(a) Section 236(s) of the National Housing Act (12 U.S.C. 1715z-1(s)) is amended—

(1) in the heading by striking “AND LOANS”;

(2) in paragraph (1), by striking “and loans”;

(3) in paragraph (2)—

(A) in the matter preceding subparagraph (A), by striking “or loan”; and

(B) in subparagraph (E)(i), by striking “or loan (as appropriate)”;

(4) in paragraph (3), in the matter that precedes subparagraph (A), by striking “or loan”;

(5) in paragraph (4)—

(A) in the paragraph heading, by striking “AND LOAN”; and

(B) by striking “or loans” after “grants” each place it appears;

(6) in paragraph (7), by deleting subparagraph (D); and

(7) by deleting paragraph (5) and redesignating paragraphs (6) and (7) as (5) and (6).

SEC. 208. Section 9 of the United States Housing Act of 1937 is amended—

by inserting at the end the following new subsection:

“(o) DEVELOPMENT-BASED SUBSIDIES.—(1) In order to facilitate the financing of capital needs and development-based financial management and accountability, the Secretary may approve, on a project by project basis, the conversion of a public housing project or a portion of a public housing project to project-based voucher assistance, which assistance may be on-site or off-site, provided that the number of public housing units converted under this subsection shall be equal to the number of units receiving project-based voucher assistance pursuant to this subsection, and that a commitment has first been obtained from an approved lender for a mortgage loan secured by the property to finance qualified necessary capital improvements under terms established by the Secretary.

“(2) The initial year of any contract for project-based voucher assistance under this subsection may be funded with amounts made available in an appropriations Act under the headings making amounts available for the purposes set forth in subsections (d) or (e) of this section, or with any other amounts appropriated for this purpose. Any renewal of such contracts shall be funded with amounts made available under the heading making amounts available for the renewal of assistance under section 8.

“(3) Project-based voucher assistance provided pursuant to this subsection shall be administered under section 8(o)(13), except that—

“(A) subparagraphs (C)(ii) and (D) of such section shall not apply;

“(B)(i) any units converted to project-based voucher assistance under this subsection shall be maintained as assisted housing and provided project-based voucher assistance for the same length of time as the housing would have been required under section 9(d)(3) to be operated and maintained as public housing absent such conversion, subject to the availability of sufficient appropriated funds for the purpose of renewing expiring contracts for assistance payments, as provided in appropriations Acts;

“(ii) notwithstanding clause (i), when dwelling units which are receiving project-based voucher assistance pursuant to a conversion under this subsection and which are not located in developments or portions of developments which house only elderly persons or persons with disabilities, or both, become vacant, the public housing agency may rent up to one-third of such units to unassisted families (except that the Secretary may permit the agency under such special local circumstances as are determined by the Secretary to rent more than one-third of such units to unassisted families), and for each unit so rented for the duration of such rental may use the assistance otherwise associated with that unit to provide tenant-based voucher assistance under section 8; and

“(iii)(I) notwithstanding clause (i), if the Secretary determines that such action would further the purposes of this subsection, the Secretary may provide for termination of the restricted use period set forth in clause (i) in the event that any units converted to project-based voucher assistance under this subsection are foreclosed upon (or otherwise disposed of pursuant to an instrument in lieu of foreclosure), on the date the units are acquired by foreclosure (or instrument in lieu of foreclosure), unless—

“(aa) the Secretary determines that the foreclosure is part of an arrangement the purpose of which is to terminate the restricted use period; or

“(bb) a bona fide and reasonable contract acceptable to the Secretary to purchase such units is presented by a person who is willing to continue such use restrictions; and

Intragovernmental funds—Continued

ADMINISTRATIVE PROVISIONS—Continued

“(I) if the Secretary provides for termination of the restricted use period pursuant to subclause (I), the Secretary shall provide enhanced voucher assistance under section 8(t) to any family occupying an assisted unit at the time of such termination;

“(C) any units converted to project-based voucher assistance under this subsection shall remain covered by and subject to the provisions in the public housing cooperation agreement entered into between the city and the public housing agency;

“(D) any units converted to project-based voucher assistance under this subsection shall not be included as tenant-based assistance that is attached to a structure for the purposes of the 20 percent limitation set forth in section 8(o)(13)(B);

“(E) the Secretary may set the rent level for a unit converted to project-based voucher assistance under this subsection at a level lower than the level at which such rent would otherwise be set pursuant to section 8(o)(13)(H), provided such lower level is sufficient, in the determination of the Secretary, to cover debt service payments on obligations to finance the cost of any necessary rehabilitation, contributions to a capital reserve, amounts necessary for adequate debt service coverage, the cost of the subsequent operation of the housing as project-based voucher assistance, and any other necessary costs;

“(F) where units converted to project-based voucher assistance under this subsection are owned by the public housing agency or an entity controlled by such agency, the Secretary may administer or make alternative arrangements to administer the obligations otherwise required of the public housing agency under the annual contributions contract; and

“(G) the Secretary may waive or make alternative arrangements regarding any other provision of law or regulation that the Secretary finds to be inconsistent with accomplishing the purposes of this subsection.

“(4) The conversion of a public housing project to project-based voucher assistance under this subsection shall not be considered a disposition under section 18 of this Act as long as the public housing agency or an entity controlled by the agency retains ownership of the project.

“(5)(A) The Secretary may establish a common loan loss reserve account to which public housing agencies converting public housing units to project-based voucher assistance under this subsection may contribute a percentage of amounts available for such conversion, which percentage shall be specified by the Secretary. The Secretary may establish the terms and conditions applicable to this loan loss reserve account and any contributions to it, and may select an entity or entities on a competitive or noncompetitive basis to develop, maintain, and administer this account.

“(B) Amounts contributed to the account established under this paragraph shall be available without fiscal year limitation to reim-

burse any mortgagor that provides financing to a public housing agency for necessary renovations to any project converted pursuant to this subsection if the public housing agency defaults on the repayment of such debt.”;

(b) in subsection (d)(1)(I), by striking “; and” and inserting a semicolon;

(c) in subsection (d)(1)(J), by striking the period and inserting “; and”; and

(d) in subsection (d)(1), by inserting at the end the following new subparagraph:

“(K) assistance in the financing for necessary renovations to or other capital expenses for any project receiving project-based voucher assistance pursuant to subsection (o) of this section, including the making of upfront capital contributions to such projects where needed to make financing feasible and the purchase or provision of letters of credit or other credit enhancements necessary to carry out such subsection, contributions to a common loan loss reserve account as established by subsection (o)(5) of this section, and any contributions that the Secretary may require for the initial administration of such subsection (o) with respect to such project.”.

SEC. 209. REPEAL OF FEDERALIZATION OF PUBLIC HOUSING UNITS.

(a) Section 9(n)(1) of the United States Housing Act of 1937 is hereby repealed.

(b) Section 226 of the Department of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1999, is hereby repealed.

(c) The amendment made by subsection (a) shall be deemed to have taken effect on October 1, 1998.

(d) The amendment made by subsection (b) shall be deemed to have taken effect on October 21, 1998.

SEC. 210. Section 8(y)(7)(A) of the United States Housing Act of 1937 is amended by striking “for fiscal year 2000 and each fiscal year thereafter to the extent provided in advance in appropriations Acts”.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Offsetting receipts from the public:			
86-271910 FHA-general and special risk, Negative sub-			
sidies	103	588	213
86-271930 FHA-general and special risk, Downward re-			
estimates of subsidies	517	1,542
86-274330 Indian housing loan guarantees, downward			
reestimates of subsidies	6
General Fund Offsetting receipts from the public	626	2,130	213

DEPARTMENT OF THE INTERIOR

LAND AND MINERALS MANAGEMENT

BUREAU OF LAND MANAGEMENT

The Bureau of Land Management (BLM) is charged with the multiple use management of natural resources on 264 million acres of public land. It also supervises mineral leasing and operations on an additional 300 million acres of Federal mineral estate that underlie other surface ownerships. The lands managed by BLM provide important natural resources, recreational and scenic values to the American people, as well as resource commodities and revenue to the Federal Government, States, and counties. It is the mission of the BLM to sustain the health, diversity, and productivity of the public lands for the use and enjoyment of present and future generations.

Federal Funds

General and special funds:

MANAGEMENT OF LANDS AND RESOURCES

For expenses necessary for protection, use, improvement, development, disposal, cadastral surveying, classification, acquisition of easements and other interests in lands, and performance of other functions, including maintenance of facilities, as authorized by law, in the management of lands and their resources under the jurisdiction of the Bureau of Land Management, including the general administration of the Bureau, and assessment of mineral potential of public lands pursuant to Public Law 96-487 (16 U.S.C. 3150(a)), **[\$775,632,000] \$848,585,000**, to remain available until expended, of which \$1,000,000 is for high priority projects which shall be carried out by the Youth Conservation Corps, defined in section 250(c)(4)(E) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, for the purposes of such Act; of which **[\$4,000,000] \$2,228,000** shall be available for assessment of the mineral potential of public lands in Alaska pursuant to section 1010 of Public Law 96-487 (16 U.S.C. 3150); and of which not to exceed \$1,000,000 shall be derived from the special receipt account established by the Land and Water Conservation Act of 1965, as amended (16 U.S.C. 4601-6a(i)); and of which \$3,000,000 shall be available in fiscal year **[2002] 2003** subject to a match by at least an equal amount by the National Fish and Wildlife Foundation, to such Foundation for cost-shared projects supporting conservation of Bureau lands and such funds shall be advanced to the Foundation as a lump sum grant without regard to when expenses are incurred; in addition, **[\$32,298,000] \$32,696,000** for Mining Law Administration program operations, including the cost of administering the mining claim fee program; to remain available until expended, to be reduced by amounts collected by the Bureau and credited to this appropriation from annual mining claim fees so as to result in a final appropriation estimated at not more than **[\$775,632,000] \$848,585,000**, and \$2,000,000, to remain available until expended, from communication site rental fees established by the Bureau for the cost of administering communication site activities: *Provided*, That appropriations herein made shall not be available for the destruction of healthy, unadopted, wild horses and burros in the care of the Bureau or its contractors: *Provided further*, That of the amount provided, **[\$28,000,000]** is for the conservation activities defined in section 250(c)(4)(E) **[\$39,028,000]** is for conservation spending category activities pursuant to 251(c) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, for the purposes of [such Act: *Provided further*, That fiscal year 2001 balances in the Federal Infrastructure Improvement account for the Bureau of Land Management shall be transferred to and merged with this appropriation, and shall remain available until expended] *discretionary spending limits. (Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)*

Program and Financing (in millions of dollars)		2001 actual	2002 est.	2003 est.
Identification code 14-1109-0-1-302				
Obligations by program activity:				
Direct program:				
00.11	Land resources	199	196	189
00.12	Wildlife and fisheries	40	41	37
00.13	Threatened and endangered species	23	23	22
00.14	Recreation management	67	71	68
00.15	Energy and minerals	85	106	113
00.16	Realty and ownership management	88	92	92
00.17	Resource protection	61	68	80
00.18	Transportation and facilities maintenance	75	83	81
00.19	Land and resource information systems	21	22	20
00.20	Workforce and organizational support	130	137	138
00.21	Alaska minerals assessment	4	4	2
00.22	Communication site rental fees	2	2	2
00.24	Mining law administration	1	1	1
00.25	Challenge Cost Share	19
09.01	Reimbursable program	55	56	57
10.00	Total new obligations	851	902	921
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	35	51	40
22.00	New budget authority (gross)	844	868	906
22.10	Resources available from recoveries of prior year obligations	21	23	15
23.90	Total budgetary resources available for obligation	900	942	961
23.95	Total new obligations	-851	-902	-921
24.40	Unobligated balance carried forward, end of year	51	40	40
New budget authority (gross), detail:				
Discretionary:				
Appropriation:				
40.00	Appropriation (general fund)	774	784	820
40.00	Appropriation	28	29
40.20	Appropriation (special fund, definite) Fed Infrastructure Impvt, from LWCF	25
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)	-2
43.00	Appropriation (total discretionary)	797	812	849
Spending authority from offsetting collections:				
Offsetting collections (cash):				
68.00	Offsetting collections (Mining Law)	35	34	35
68.00	Offsetting collections	16	22	22
68.10	Change in uncollected customer payments from Federal sources (unexpired)	-4
68.90	Spending authority from offsetting collections (total discretionary)	47	56	57
70.00	Total new budget authority (gross)	844	868	906
Change in obligated balances:				
72.40	Obligated balance, start of year	147	204	225
73.10	Total new obligations	851	902	921
73.20	Total outlays (gross)	-776	-858	-868
73.45	Recoveries of prior year obligations	-21	-23	-15
74.00	Change in uncollected customer payments from Federal sources (unexpired)	4
74.40	Obligated balance, end of year	204	225	263
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	658	698	728
86.93	Outlays from discretionary balances	118	160	140
87.00	Total outlays (gross)	776	858	868
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-19	-24	-24
88.40	Non-Federal sources	-32	-32	-33
88.90	Total, offsetting collections (cash)	-51	-56	-57

General and special funds—Continued

MANAGEMENT OF LANDS AND RESOURCES—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 14-1109-0-1-302	2001 actual	2002 est.	2003 est.
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	4		
Net budget authority and outlays:			
89.00 Budget authority	797	812	849
90.00 Outlays	725	802	811

Note.—The activities previously financed under Department of the Interior, Bureau of Land Management, Federal Infrastructure Improvement, from LWCF in 2001 are presented in these schedules and are financed in this account in 2002 and 2003.

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	764	776	813
90.00 Outlays	692	766	775

Land resources.—Provides for management of rangeland and forest resources; riparian areas; soil, water, and air activities; wild horses and burros; and, cultural resources.

Wildlife and fisheries management.—Provides for maintenance, improvement, or enhancement of fish and wildlife habitats as part of the management of public lands and ecosystems.

Threatened and endangered species management.—Provides for protection, conservation, consultation, recovery, and evaluation of populations and habitats of threatened, endangered and special status animal and plant species.

Recreation management.—Provides for management and protection of recreational resource values, designated and potential wilderness areas, and collection and expenditure of recreation user fees.

Challenge Cost Share (CCS).—This new activity combines into one activity BLM's ongoing challenge cost share program and the Administration's Cooperative Conservation Initiative.

The current program leverages non-federal funding by entering into competitive cooperative agreements with public and private entities to conduct on-the-ground work and projects that improve conditions of the public lands. These projects benefit fish, wildlife, recreation, forestry, cultural resources, threatened and endangered species, and riparian and rangelands. The new initiative builds upon these activities and expands the program through competitively awarded conservation challenge grants aimed at the restoration, protection, and enhancement of natural resources.

Energy and minerals management.—Provides for management of: onshore oil and gas, coal, and geothermal resources; and, other leasable minerals, mineral materials activities, and the administration of encumbrances on the mineral estate on Federal and Indian lands.

Alaska minerals.—Provides for the identification, inventory, and evaluation of mineral resources on Federal lands within the State of Alaska.

Realty and ownership management.—Provides for management and non-reimbursable processing of authorizations and compliance for realty actions and rights-of-way (including Alaska), administration of land title records and completion of cadastral surveys on public lands.

Communication sites.—Provides for the processing of communication site use authorization requests.

Transportation and facilities maintenance.—Provides for maintenance of administrative and recreation sites, roads, trails, bridges and dams including compliance with building

codes and standards and environmental protection requirements.

Emergency operations.—Provides for emergency damage repair and grasshopper and Mormon cricket control.

Resource protection.—Provides for management of the land use planning and National Environmental Policy Act processes. Also ensures the health and safety of users or activities on public lands through: protection from criminal and other unlawful activities; protection from the effects of hazardous material and/or waste; and from physical safety hazards.

Land and resource information systems.—Provides for the operation and maintenance of existing bureau-wide automated systems and for the development and bureau-wide implementation of the Land and Resource Information Systems.

Workforce and organizational support.—Provides for management of specified bureau business practices, such as human resources, EEO, financial resources, procurement, property, general use automated systems, and fixed costs.

This account includes \$29,028,000 for Federal Infrastructure Improvement, which is part of the Conservation Spending Category.

MLR WORKLOAD AND PERFORMANCE MEASURES

	2001 actual	2002 est.	2003 est.
Abandoned Mine Land Projects with Restored Water Quality (number)	47	60	60
Weed Treatments Applied (acres)	251,943	245,000	245,000
Watersheds with Improving Condition (cumulative number)	143	250	350
Wild Horse Herd Management Areas at Appropriate Management Level (number)	77	110	140
Shrub and Grassland Vegetation Treatments Applied (acres)	417,193	440,000	400,000
Percent of Recreation Users Satisfied	90%	94%	94%
Commercial Recreation Permits Issued (number)	2,619	2,500	2,000
Land Use Plans Prepared (cumulative number)	4	11	19
Oil and Gas Leases Issued (number)	2,875	3,000	3,400
Federal and Indian Oil and Gas Applications to Permit Drilling Approved (number)	4,850	5,810	7,456
Federal and Indian Oil and Gas Compliance Inspections (number)	15,254	15,000	18,200
Mining Claim Filings (number)	12,835	12,500	12,500
Rights-of-Way Actions Processed (number)	6,104	6,000	6,900
Roads Maintained (miles)	12,164	11,100	11,100

Object Classification (in millions of dollars)

Identification code 14-1109-0-1-302	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	308	321	337
11.3 Other than full-time permanent	19	19	19
11.5 Other personnel compensation	13	13	13
11.9 Total personnel compensation	340	353	369
12.1 Civilian personnel benefits	116	122	126
21.0 Travel and transportation of persons	21	21	21
22.0 Transportation of things	11	12	12
23.1 Rental payments to GSA	20	26	26
23.2 Rental payments to others	20	25	25
23.3 Communications, utilities, and miscellaneous charges	20	24	23
24.0 Printing and reproduction	2	5	4
25.1 Advisory and assistance services	1	1	1
25.2 Other services	129	125	125
25.3 Other purchases of goods and services from Government accounts	26	33	32
25.4 Operation and maintenance of facilities	4	4	4
25.5 Research and development contracts	1	1	1
25.7 Operation and maintenance of equipment	11	13	11
26.0 Supplies and materials	35	38	33
31.0 Equipment	23	27	25
32.0 Land and structures	6	6	6
41.0 Grants, subsidies, and contributions	10	10	20
99.0 Direct obligations	796	846	864
99.0 Reimbursable obligations	55	56	57
99.9 Total new obligations	851	902	921

Personnel Summary

Identification code 14-1109-0-1-302	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	6,451	6,480	6,534
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	149	149	149
Allocation account:			
3001 Total compensable workyears: Full-time equivalent employment	32	32	32

CONSTRUCTION

For construction of buildings, recreation facilities, roads, trails, and appurtenant facilities, **[\$13,076,000] \$10,976,000**, to remain available until expended. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 14-1110-0-1-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	7	14	12
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	12	23	22
22.00 New budget authority (gross)	19	13	11
23.90 Total budgetary resources available for obligation	31	36	33
23.95 Total new obligations	-7	-14	-12
24.40 Unobligated balance carried forward, end of year	23	22	21
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	17	13	11
42.00 Transferred from other accounts	2		
43.00 Appropriation (total discretionary)	19	13	11
Change in obligated balances:			
72.40 Obligated balance, start of year	10	8	10
73.10 Total new obligations	7	14	12
73.20 Total outlays (gross)	-9	-12	-17
74.40 Obligated balance, end of year	8	10	5
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	5	4	3
86.93 Outlays from discretionary balances	4	8	15
87.00 Total outlays (gross)	9	12	17
Net budget authority and outlays:			
89.00 Budget authority	19	13	11
90.00 Outlays	9	12	17

Construction.—Provides for the construction of buildings, recreation facilities, bridges, roads, and trails necessary for effective multiple use management of the public lands and resources.

These funds emphasize the Administration's commitment to halt infrastructure decay and allow for systematic protection of critical health and safety, natural and cultural resources, and the environment.

Object Classification (in millions of dollars)

Identification code 14-1110-0-1-302	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	
25.2 Other services	1	3	3
32.0 Land and structures	5	9	8
99.0 Direct obligations	7	13	11
99.5 Below reporting threshold		1	1
99.9 Total new obligations	7	14	12

Personnel Summary

Identification code 14-1110-0-1-302	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	5	5	4

PAYMENTS IN LIEU OF TAXES

For expenses necessary to implement the Act of October 20, 1976, as amended (31 U.S.C. 6901-6907), **[\$210,000,000] \$165,000,000**, of which not to exceed \$400,000 shall be available for administrative expenses and of which **[\$50,000,000] \$15,000,000** is for the conservation activities defined in section 250(c)(4)(E) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, for the purposes of such Act: *Provided*, That no payment shall be made to otherwise eligible units of local government if the computed amount of the payment is less than \$100. (*Department of the Interior and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 14-1114-0-1-806	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	199	210	165
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	199	210	165
23.95 Total new obligations	-199	-210	-165
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	150	210	165
40.20 Appropriation (special fund, definite) LWCF	50		
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
43.00 Appropriation (total discretionary)	199	210	165
Change in obligated balances:			
72.40 Obligated balance, start of year	1	4	
73.10 Total new obligations	199	210	165
73.20 Total outlays (gross)	-197	-214	-165
73.40 Adjustments in expired accounts (net)	1		
74.40 Obligated balance, end of year	4		
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	197	210	165
86.93 Outlays from discretionary balances		4	
87.00 Total outlays (gross)	197	214	165
Net budget authority and outlays:			
89.00 Budget authority	199	210	165
90.00 Outlays	197	214	165

Public Law 94-565 (31 U.S.C. 6901-07), as amended, authorizes payments in lieu of taxes to counties and other units of local government for lands within their boundaries that are administered by the Bureau of Land Management, Forest Service, National Park Service, Fish and Wildlife Service, and certain other agencies.

Personnel Summary

Identification code 14-1114-0-1-806	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	1	1	1

OREGON AND CALIFORNIA GRANT LANDS

For expenses necessary for management, protection, and development of resources and for construction, operation, and maintenance of access roads, reforestation, and other improvements on the re-vested Oregon and California Railroad grant lands, on other Federal lands in the Oregon and California land-grant counties of Oregon, and on adjacent rights-of-way; and acquisition of lands or interests

General and special funds—Continued

OREGON AND CALIFORNIA GRANT LANDS—Continued

therein including existing connecting roads on or adjacent to such grant lands; **[\$105,165,000]** \$110,709,000, to remain available until expended: *Provided*, That 25 percent of the aggregate of all receipts during the current fiscal year from the revested Oregon and California Railroad grant lands is hereby made a charge against the Oregon and California land-grant fund and shall be transferred to the General Fund in the Treasury in accordance with the second paragraph of subsection (b) of title II of the Act of August 28, 1937 (50 Stat. 876). (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 14-1116-0-1-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Western Oregon facilities maintenance	11	1	2
00.03 Western Oregon transportation and facilities maintenance	11	11	11
00.04 Western Oregon resource management	79	90	90
00.05 Western Oregon information and resource data system	2	2	2
00.06 Jobs-in-the-woods	6	6	6
10.00 Total new obligations	109	110	111
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	5	5
22.00 New budget authority (gross)	109	110	111
22.10 Resources available from recoveries of prior year obligations	2		
23.90 Total budgetary resources available for obligation	114	115	116
23.95 Total new obligations	-109	-110	-111
24.40 Unobligated balance carried forward, end of year	5	5	4
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	109	110	111
Change in obligated balances:			
72.40 Obligated balance, start of year	37	34	33
73.10 Total new obligations	109	110	111
73.20 Total outlays (gross)	-110	-111	-116
73.45 Recoveries of prior year obligations	-2		
74.40 Obligated balance, end of year	34	33	28
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	80	74	75
86.93 Outlays from discretionary balances	30	37	40
87.00 Total outlays (gross)	110	111	116
Net budget authority and outlays:			
89.00 Budget authority	109	110	111
90.00 Outlays	110	111	116

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	104	105	106
90.00 Outlays	105	106	111

Western Oregon resources management.—Provides for the management of 2.4 million acres of lands that are primarily forested ecosystems in western Oregon. These lands support a number of resource management activities including timber management, grazing management, and recreation management. In support of these management activities, BLM is involved in improving critical watersheds, restoring wildlife and fish habitat, providing safe recreation opportunities, and preserving cultural resources.

Western Oregon information and resource data systems.—Provides for the acquisition, operation and maintenance of

the automated data support systems required for the management of the O&C programs.

Western Oregon transportation and facilities maintenance.—Provides for the maintenance of office buildings, warehouse and storage structures, shops, greenhouses, recreation sites and the transportation system that is necessary to assure public safety and effective management of the lands in western Oregon.

Western Oregon construction and acquisition.—Provides for the acquisition of road easements and road use agreements for timber site access and for other resource management activities including recreation use. This activity also provides for transportation planning, survey and design of access and other resource management roads, and construction projects.

Jobs in the Woods.—Provides for the “Jobs in the Woods” program offering resource-based job opportunities to displaced timber workers in the Pacific Northwest to improve water quality and restore Oregon’s coastal salmon populations. Projects include: improving fish passage structures, improving instream habitat, reducing sedimentation runoff, and improving road conditions.

O&C WORKLOAD AND PERFORMANCE MEASURES

	2001 actual	2002 est.	2003 est.
Timber Offered for Sale (MBF)	56,404	150,000	176,000
Forest Restoration Treatments Applied (acres)	20,590	15,340	21,200
Job opportunities created, Jobs-in-the-Woods (number of jobs)	200	200	200

Object Classification (in millions of dollars)

Identification code 14-1116-0-1-302	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	44	45	46
11.3 Other than full-time permanent	6	6	6
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	51	52	53
12.1 Civilian personnel benefits	17	17	17
21.0 Travel and transportation of persons	2	2	2
22.0 Transportation of things	3	3	3
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	28	28	28
26.0 Supplies and materials	4	4	4
31.0 Equipment	2	2	2
32.0 Land and structures	1	1	1
99.9 Total new obligations	109	110	111

Personnel Summary

Identification code 14-1116-0-1-302	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	973	973	973

WILDLAND FIRE MANAGEMENT

For necessary expenses for fire preparedness, suppression operations, fire science and research, emergency rehabilitation, hazardous fuels reduction, and rural fire assistance by the Department of the Interior, **[\$624,421,000]** \$675,536,000, to remain available until expended, of which not to exceed **[\$19,774,000]** \$12,374,000 shall be for the renovation or construction of fire facilities: *Provided*, That such funds are also available for repayment of advances to other appropriation accounts from which funds were previously transferred for such purposes: *Provided further*, That unobligated balances of amounts previously appropriated to the “Fire Protection” and “Emergency Department of the Interior Firefighting Fund” may be transferred and merged with this appropriation: *Provided further*, That persons hired pursuant to 43 U.S.C. 1469 may be furnished subsistence and lodging without cost from funds available from this appropriation: *Provided further*, That notwithstanding 42 U.S.C. 1856d, sums received by a bureau or office of the Department of the Interior for fire protection rendered pursuant to 42 U.S.C. 1856 et seq., protection of United States property, may be credited to the appropriation from which funds were expended to provide that protection, and

are available without fiscal year limitation: *Provided further*, That using the amounts designated under this title of this Act, the Secretary of the Interior may enter into procurement contracts, grants, or cooperative agreements, for hazardous fuels reduction activities, and for training and monitoring associated with such hazardous fuels reduction activities, on Federal land, or on adjacent non-Federal land for activities that benefit resources on Federal land: *Provided further*, That the costs of implementing any cooperative agreement between the Federal government and any non-Federal entity may be shared, as mutually agreed on by the affected parties: *Provided further*, That in entering into such grants or cooperative agreements, the Secretary may consider the enhancement of local and small business employment opportunities for rural communities, and that in entering into procurement contracts under this section on a best value basis, the Secretary may take into account the ability of an entity to enhance local and small business employment opportunities in rural communities, and that the Secretary may award procurement contracts, grants, or cooperative agreements under this section to entities that include local non-profit entities, Youth Conservation Corps or related partnerships, or small or disadvantaged businesses: *Provided further*, That funds appropriated under this head may be used to reimburse the United States Fish and Wildlife Service and the National Marine Fisheries Service for the costs of carrying out their responsibilities under the Endangered Species Act of 1973 (16 U.S.C. 1531 et seq.) to consult and conference, as required by section 7 of such Act in connection with wildland fire management activities.

[For an additional amount to cover necessary expenses for burned areas rehabilitation and fire suppression by the Department of the Interior, \$54,000,000, to remain available until expended, of which \$34,000,000 is for wildfire suppression and \$20,000,000 is for burned areas rehabilitation: *Provided*, That the Congress designates the entire amount as an emergency requirement pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: *Provided further*, That \$54,000,000 shall be available only to the extent an official budget request, that includes designation of the \$54,000,000 as an emergency requirement as defined in the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, is transmitted by the President to the Congress.] (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 14-1125-0-1-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.02 Wildland Fire Preparedness	366	303	299
00.03 Wildland Fire Operations	461	386	410
00.04 Rural Fire District Program	10	10	10
09.01 Reimbursable program	30	30	30
10.00 Total new obligations	867	729	749
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	61	119	115
22.00 New budget authority (gross)	916	721	697
22.10 Resources available from recoveries of prior year obligations	9	6	7
23.90 Total budgetary resources available for obligation	986	846	819
23.95 Total new obligations	-867	-729	-749
24.40 Unobligated balance carried forward, end of year	119	115	70
New budget authority (gross), detail:			
Discretionary:			
Appropriation:			
40.00 Appropriation	799	646	676
40.00 Appropriation [FY 2002 contingent emergency funding]		54	
40.15 Appropriation (emergency)	200		
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-2		
41.00 Transferred to other accounts	-101		
43.00 Appropriation (total discretionary)	896	700	676
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	21	21	21
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-1		
68.90 Spending authority from offsetting collections (total discretionary)	20	21	21

70.00 Total new budget authority (gross)	916	721	697
Change in obligated balances:			
72.40 Obligated balance, start of year	106	275	229
73.10 Total new obligations	867	729	749
73.20 Total outlays (gross)	-692	-771	-769
73.45 Recoveries of prior year obligations	-9	-6	-7
74.00 Change in uncollected customer payments from Federal sources (unexpired)	1		
74.40 Obligated balance, end of year	275	229	202
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	538	461	481
86.93 Outlays from discretionary balances	153	311	288
87.00 Total outlays (gross)	692	771	769
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-21	-21	-21
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	1		
Net budget authority and outlays:			
89.00 Budget authority	896	700	676
90.00 Outlays	671	750	748

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	876	678	654
90.00 Outlays	651	728	726

Wildland fire preparedness.—This activity funds the non-emergency and predictable aspects of the Department's wildland fire program. Preparedness includes readiness, operational planning, oversight, procurement, training, supervision, and deployment of wildland fire suppression personnel and equipment prior to wildland fire occurrence. It also includes activities related to program monitoring and evaluation, integration of fire into land-use planning, fire facility construction and maintenance, and fire research and fire science program activities.

Wildland fire operations.—This activity funds the emergency and unpredictable aspects of the Department's wildland fire management program. Wildland fire operations include emergency suppression, emergency rehabilitation, and hazardous fuels reduction. Suppression operations include the total spectrum of management actions taken on wildland fires in a safe, cost-effective manner, considering public benefits and values to be protected and consistent with resource objectives and land management plans. Suppression operations also include severity funding used to improve initial attack preparedness response capabilities when abnormal fire conditions occur resulting in fire seasons starting earlier than normal, lasting longer than normal, or exceeding average fire danger ratings for prolonged periods. Emergency rehabilitation of wildland fire areas is carried out to prevent land degradation and resource damages and to stabilize soils, structures, or other conditions or damage caused by wildland fires. Hazardous fuels reduction operations include all operational aspects of applying prescribed fire to reduce fuel loadings and promote ecosystem diversity. It also includes mechanical treatments. Funding requests are guided by the historical 10-year average of suppression and rehabilitation expenditures, adjusted for inflation, and a target level for hazardous fuels reduction operations.

Rural fire district assistance.—This activity provides for financial support to local and rural fire protection districts that protect small communities. These local firefighting agencies often provide a critical service in helping meet protection

General and special funds—Continued

WILDLAND FIRE MANAGEMENT—Continued

needs for wildland urban interface areas threatened by wild-fire. Funding would be used for engines and other initial attack equipment, communication equipment, training and other related support.

PERFORMANCE MEASURES

	2001 actual	2002 est.	2003 est.
Fire Suppression (acres)	1,502,000	TBD	TBD
Fuels Management Treatments (acres)	726,138	1,110,000	1,110,000
Fire Rehabilitation Treatments (acres)	1,431,792	TBD	TBD
Number of wildland urban interface projects	498	780	780
Fire facilities constructed, reconstructed or maintained (number)	45	52	15
Number of Rural Fire Districts Assisted	1,445	1,085	1,085

TBD—Acres of suppression and rehabilitation are not predictable; they are reported after the fact.

Object Classification (in millions of dollars)

Identification code 14-1125-0-1-302	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	53	58	61
11.3 Other than full-time permanent	11	11	11
11.5 Other personnel compensation	99	44	44
Total personnel compensation	163	113	116
12.1 Civilian personnel benefits	27	27	28
21.0 Travel and transportation of persons	20	21	19
22.0 Transportation of things	9	7	7
23.2 Rental payments to others	4	2	2
23.3 Communications, utilities, and miscellaneous charges	25	13	20
25.1 Advisory and assistance services	9	9	10
25.2 Other services	191	114	118
26.0 Supplies and materials	145	78	81
31.0 Equipment	48	30	37
32.0 Land and structures	20	14	4
41.0 Grants, subsidies, and contributions	5	7	7
99.0 Direct obligations	666	435	449
99.0 Reimbursable obligations	30	30	30
Allocation Account:			
Personnel compensation:			
11.1 Full-time permanent	20	48	50
11.3 Other than full-time permanent	12	13	13
11.5 Other personnel compensation	23	23	23
11.8 Special personal services payments	31	31	31
Total personnel compensation	86	115	117
12.1 Civilian personnel benefits	18	24	24
21.0 Travel and transportation of persons	4	5	5
22.0 Transportation of things	4	4	4
23.2 Rental payments to others	15	15	15
23.3 Communications, utilities, and miscellaneous charges	5	5	5
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	5	5	5
25.2 Other services	13	60	64
26.0 Supplies and materials	8	15	15
31.0 Equipment	6	6	6
32.0 Land and structures	2	2	2
41.0 Grants, subsidies, and contributions	4	7	7
99.0 Allocation account	171	264	270
99.9 Total new obligations	867	729	749

Personnel Summary

Identification code 14-1125-0-1-302	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	2,171	2,306	2,306
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	29	29	29

CENTRAL HAZARDOUS MATERIALS FUND

For necessary expenses of the Department of the Interior and any of its component offices and bureaus for the remedial action, including associated activities, of hazardous waste substances, pollutants, or contaminants pursuant to the Comprehensive Environmental Response, Compensation, and Liability Act, as amended (42 U.S.C. 9601 et seq.), \$9,978,000, to remain available until expended: *Provided*, That notwithstanding 31 U.S.C. 3302, sums recovered from or paid by a party in advance of or as reimbursement for remedial action or response activities conducted by the Department pursuant to section 107 or 113(f) of such Act, shall be credited to this account to be available until expended without further appropriation: *Provided further*, That such sums recovered from or paid by any party are not limited to monetary payments and may include stocks, bonds or other personal or real property, which may be retained, liquidated, or otherwise disposed of by the Secretary and which shall be credited to this account. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 14-1121-0-1-304	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Remedial action	11	11	11
10.00 Total new obligations	11	11	11
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	11	11	10
22.00 New budget authority (gross)	10	10	10
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	22	21	20
23.95 Total new obligations	-11	-11	-11
24.40 Unobligated balance carried forward, end of year	11	10	9
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	10	10	10
Change in obligated balances:			
72.40 Obligated balance, start of year	8	10	10
73.10 Total new obligations	11	11	11
73.20 Total outlays (gross)	-8	-11	-12
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	10	10	9
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	5	5	5
86.93 Outlays from discretionary balances	3	6	7
87.00 Total outlays (gross)	8	11	12
Net budget authority and outlays:			
89.00 Budget authority	10	10	10
90.00 Outlays	8	11	12

The Central Hazardous Materials Fund is used to fund remedial investigations/feasibility studies and cleanups of hazardous waste sites for which the Department of the Interior is liable. Authority is provided for amounts recovered from responsible parties to be credited to this account. Thus, the account may be composed of both annual appropriations of no-year funds and of offsetting collections. The Comprehensive Environmental Response, Compensation and Liability Act, as amended (42 U.S.C. Section 9601 et seq.) requires responsible parties, including Federal landowners, to investigate and clean up releases of hazardous substances.

Object Classification (in millions of dollars)

Identification code 14-1121-0-1-304	2001 actual	2002 est.	2003 est.
25.2 Direct obligations: Other services	1	1	1
Allocation Account:			
11.1 Personnel compensation: Full-time permanent	2	2	2
25.2 Other services	8	8	8
99.0 Allocation account	10	10	10

99.9	Total new obligations	11	11	11
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Personnel Summary

Identification code 14-1121-0-1-304	2001 actual	2002 est.	2003 est.
3001 Total compensable workyears: Full-time equivalent employment	3	2	2

LAND ACQUISITION

For expenses necessary to carry out sections 205, 206, and 318(d) of Public Law 94-579, including administrative expenses and acquisition of lands or waters, or interests therein, **[\$49,920,000]** \$44,686,000, to be derived from the Land and Water Conservation Fund, to remain available until expended, and to be for the conservation activities defined in section 250(c)(4)(E) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, for the purposes of such Act. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 14-5033-0-2-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Land acquisition	37	42	39
00.02 Acquisition management	5	5	5
00.03 Land Exchange Equalization Payments	1	1	1
09.01 Reimbursable program	9	9	9
10.00 Total new obligations	52	57	54

Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	32	46	39
22.00 New budget authority (gross)	68	50	45
22.10 Resources available from recoveries of prior year obligations	1		
22.21 Unobligated balance transferred to other accounts	-3		
23.90 Total budgetary resources available for obligation	98	96	84
23.95 Total new obligations	-52	-57	-54
24.40 Unobligated balance carried forward, end of year	46	39	30

New budget authority (gross), detail:			
Discretionary:			
40.20 Appropriation (special fund)	57	50	45
42.00 Transferred from other accounts	5		
43.00 Appropriation (total discretionary)	62	50	45
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	9		
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-3		
68.90 Spending authority from offsetting collections (total discretionary)	6		
70.00 Total new budget authority (gross)	68	50	45

Change in obligated balances:			
72.40 Obligated balance, start of year	2	6	18
73.10 Total new obligations	52	57	54
73.20 Total outlays (gross)	-50	-47	-55
73.45 Recoveries of prior year obligations	-1		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	3		
74.40 Obligated balance, end of year	6	18	17

Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	25	15	14
86.93 Outlays from discretionary balances	23	32	41
87.00 Total outlays (gross)	50	47	55

Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-9		
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	3		

Net budget authority and outlays:			
89.00 Budget authority	62	50	45
90.00 Outlays	41	47	55

This appropriation provides for the acquisition of lands or interests in lands, by exchange or purchase, when necessary for public recreation use, resource protection, or other purposes related to the management of public lands.

Object Classification (in millions of dollars)

Identification code 14-5033-0-2-302	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	2
25.2 Other services	2	3	3
32.0 Land and structures	39	42	39
99.0 Direct obligations	43	47	44
99.0 Reimbursable obligations	9	9	9
99.5 Below reporting threshold		1	1
99.9 Total new obligations	52	57	54

Personnel Summary

Identification code 14-5033-0-2-302	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	32	32	29

RANGE IMPROVEMENTS

For rehabilitation, protection, and acquisition of lands and interests therein, and improvement of Federal rangelands pursuant to section 401 of the Federal Land Policy and Management Act of 1976 (43 U.S.C. 1701), notwithstanding any other Act, sums equal to 50 percent of all moneys received during the prior fiscal year under sections 3 and 15 of the Taylor Grazing Act (43 U.S.C. 315 et seq.) and the amount designated for range improvements from grazing fees and mineral leasing receipts from Bankhead-Jones lands transferred to the Department of the Interior pursuant to law, but not less than \$10,000,000, to remain available until expended: *Provided*, That not to exceed \$600,000 shall be available for administrative expenses. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Unavailable Collections (in millions of dollars)

Identification code 14-5132-0-2-302	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 Grazing fees for range improvements, Taylor Grazing Act	8	8	8
Appropriations:			
05.00 Range improvements	-8	-8	-8
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 14-5132-0-2-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Improvements to Public Lands	8	8	8
00.02 Farm Tenant Act Lands	1	1	1
00.03 Administrative Expenses	1	1	1
10.00 Total new obligations	10	10	10

Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	5	5
22.00 New budget authority (gross)	10	10	10
22.10 Resources available from recoveries of prior year obligations	2		
23.90 Total budgetary resources available for obligation	15	15	15
23.95 Total new obligations	-10	-10	-10
24.40 Unobligated balance carried forward, end of year	5	5	3

General and special funds—Continued

RANGE IMPROVEMENTS—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 14-5132-0-2-302	2001 actual	2002 est.	2003 est.
New budget authority (gross), detail:			
Mandatory:			
60.00	2	2	2
60.20	8	8	8
62.50	10	10	10
Change in obligated balances:			
72.40	3	3	3
73.10	10	10	10
73.20	-8	-10	-10
73.45	-2		
74.40	3	3	3
Outlays (gross), detail:			
86.97	6	7	7
86.98	2	3	3
87.00	8	10	10
Net budget authority and outlays:			
89.00	10	10	10
90.00	8	10	10

Note.—Payments to States and to the Range Improvements Fund are derived from statutory percentages of collections in the prior fiscal year.

This appropriation is derived from a percentage of receipts from grazing of livestock on the public lands, and from grazing and mineral leasing receipts on Bankhead-Jones Farm Tenant Act lands transferred from the Department of Agriculture by various Executive Orders. These funds are used for the planning, construction, development, and monitoring of range improvements when appropriated.

Object Classification (in millions of dollars)

Identification code 14-5132-0-2-302	2001 actual	2002 est.	2003 est.
11.1	2	2	2
12.1	2		
22.0	1	2	2
25.2	2	3	3
32.0	3	3	3
99.9	10	10	10

Personnel Summary

Identification code 14-5132-0-2-302	2001 actual	2002 est.	2003 est.
1001	48	48	48

SERVICE CHARGES, DEPOSITS, AND FORFEITURES

For administrative expenses and other costs related to processing application documents and other authorizations for use and disposal of public lands and resources, for costs of providing copies of official public land documents, for monitoring construction, operation, and termination of facilities in conjunction with use authorizations, and for rehabilitation of damaged property, such amounts as may be collected under Public Law 94-579, as amended, and Public Law 93-153, to remain available until expended: *Provided*, That notwithstanding any provision to the contrary of section 305(a) of Public Law 94-579 (43 U.S.C. 1735(a)), any moneys that have been or will be received pursuant to that section, whether as a result of forfeiture, compromise, or settlement, if not appropriate for refund pursuant to section 305(c) of that Act (43 U.S.C. 1735(c)), shall be available and may be expended under the authority of this Act by the Secretary to improve, protect, or rehabilitate any public lands administered through the Bureau of Land Management which have been damaged by the action of a resource developer, purchaser, permittee, or any

unauthorized person, without regard to whether all moneys collected from each such action are used on the exact lands damaged which led to the action: *Provided further*, That any such moneys that are in excess of amounts needed to repair damage to the exact land for which funds were collected may be used to repair other damaged public lands. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Unavailable Collections (in millions of dollars)

Identification code 14-5017-0-2-302	2001 actual	2002 est.	2003 est.
01.99			
Receipts:			
02.20	17	8	8
Appropriations:			
05.00	-17	-8	-8
07.99			

Program and Financing (in millions of dollars)

Identification code 14-5017-0-2-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01	7	5	4
00.02	2	1	1
00.03	3	1	1
00.04	1	1	1
00.05	1	1	1
10.00	14	9	8
Budgetary resources available for obligation:			
21.40	8	11	11
22.00	17	9	8
23.90	25	20	19
23.95	-14	-9	-8
24.40	11	11	12

New budget authority (gross), detail:

Discretionary:			
40.20	17	9	8

Change in obligated balances:

72.40	4	3	
73.10	14	9	8
73.20	-15	-11	-9
74.40	3		

Outlays (gross), detail:

86.90	4	5	5
86.93	11	6	4
87.00	15	11	9

Net budget authority and outlays:

89.00	17	9	8
90.00	15	11	9

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00	17	8	7
90.00	15	10	8

This appropriation is derived from: (1) revenues received to offset administrative and other costs incurred to process applications for rights-of-way, and the monitoring of construction, operation, and termination of rights-of-ways; (2) recovery of costs associated with the adopt-a-horse program; (3) revenues received for rehabilitation of damages to lands, resources, and facilities; (4) fees for processing specified categories of realty actions under FLPMA; (5) deposits received from contractors in lieu of completing contract requirements such as slash burning and timber extension expenses; and

(6) fees for costs of reproduction and administrative services involved in providing requested copies of materials.

Object Classification (in millions of dollars)

Identification code 14-5017-0-2-302	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	5	4	4
12.1 Civilian personnel benefits	2	2	2
22.0 Transportation of things	2	1	1
25.2 Other services	3	1
26.0 Supplies and materials	2	1	1
99.9 Total new obligations	14	9	8

Personnel Summary

Identification code 14-5017-0-2-302	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	94	94	94

PERMANENT OPERATING FUNDS

FOREST ECOSYSTEMS HEALTH AND RECOVERY FUND

(REVOLVING FUND, SPECIAL ACCOUNT)

In addition to the purposes authorized in Public Law 102-381, funds made available in the Forest Ecosystem Health and Recovery Fund can be used for the purpose of planning, preparing, *implementing*, and monitoring salvage timber sales and forest ecosystem health and recovery activities such as release from competing vegetation and density control treatments. The Federal share of receipts (defined as the portion of salvage timber receipts not paid to the counties under 43 U.S.C. 1181f and 43 U.S.C. [1181-1] 1181f-1 et seq., and Public Law [103-66] 106-393) derived from treatments funded by this account shall be deposited into the Forest Ecosystem Health and Recovery Fund. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Unavailable Collections (in millions of dollars)

Identification code 14-9926-0-2-302	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year		3	3
Receipts:			
02.00 Lincoln County land act land sales		1	2
02.20 Deposits for road maintenance and reconstruction	2	2	2
02.21 Forest ecosystem health and recovery, disposal of salvage timber	1	7	6
02.22 Southern Nevada public land management	61	47	47
02.23 Timber sale pipeline restoration fund	7	2	9
02.24 Surplus land sales		23	23
02.25 Recreational fee demonstration program	8	8	9
02.26 Land sale, Deschutes County, Oregon	1
02.27 Fee collection support, public lands	1
02.28 User fees for filming and photography on public lands		1	1
02.40 Earnings on investments, Southern Nevada public land management	2	4	4
02.42 Sale of natural gas and oil shale, naval oil shale reserves 1 an	2	6	9
02.99 Total receipts and collections	85	101	112
04.00 Total: Balances and collections	85	104	115
Appropriations:			
05.00 Permanent operating funds	-82	-101	-113
07.99 Balance, end of year	3	3	2

Program and Financing (in millions of dollars)

Identification code 14-9926-0-2-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Forest ecosystems health and recovery	6	12	13
00.02 Recreation fee demonstration	7	8	8
00.03 Expenses, road maintenance deposits	2	3	3
00.04 Timber sale pipeline restoration fund	7	2	9
00.05 Southern Nevada public land sales (85)	5	51	51
00.06 Land sales, Deschutes County, Oregon		1	1
00.07 Southern Nevada land sales earning on investments	3	3	3

00.08 Lincoln county land act		1	1
00.09 Commercial film and photography	1	1	1
00.10 Surplus land sales	8	11	11
00.11 Federal Land Disposal	4	5	5
10.00 Total new obligations	43	98	106

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	43	81	87
22.00 New budget authority (gross)	80	102	113
22.10 Resources available from recoveries of prior year obligations	1
23.90 Total budgetary resources available for obligation	124	183	200
23.95 Total new obligations	-43	-98	-106
24.40 Unobligated balance carried forward, end of year	81	87	94

New budget authority (gross), detail:

Mandatory:			
60.20 Appropriation (special fund)	83	102	113
61.00 Transferred to other accounts	-3
62.50 Appropriation (total mandatory)	80	102	113

Change in obligated balances:

72.40 Obligated balance, start of year	13	19	52
73.10 Total new obligations	43	98	106
73.20 Total outlays (gross)	-36	-63	-102
73.45 Recoveries of prior year obligations	-1
74.40 Obligated balance, end of year	19	52	56

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	35	46	51
86.98 Outlays from mandatory balances	1	17	51
87.00 Total outlays (gross)	36	63	102

Net budget authority and outlays:

89.00 Budget authority	80	102	113
90.00 Outlays	36	63	102

Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities:			
Par value	18	70
92.02 Total investments, end of year: Federal securities:			
Par value	70

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	79	101	112
90.00 Outlays	35	62	101

Permanent operating funds accounts include:

Operations and maintenance of quarters.—Funds in this account are used to maintain and repair BLM employee-occupied quarters from which rental charges are collected. Agencies are required to collect quarter rentals from employees who occupy Government-owned housing and quarters. This housing is provided only in isolated areas or where an employee is required to live on-site at a Federally owned facility or reservation.

Forest ecosystems health and recovery.—Funds in this account are derived from revenue generated from the Federal share of receipts from the sale of salvage timber from the Oregon and California grant lands, public domain lands, and Coos Bay Wagon Road lands. This account was established to allow the Bureau of Land Management to more efficiently and effectively address forest health. Funds can be used for other forest health purposes, including release from competing vegetation and density control treatments.

Timber sale pipeline restoration fund.—This fund provides for the deposit and use of fees collected by the BLM for sales of non-salvage timber pursuant to the timber salvage provisions of Public Law 104-19 and Public Law 105-83. Of the total deposited into this account, 75 percent is to be

General and special funds—Continued

PERMANENT OPERATING FUNDS—Continued

FOREST ECOSYSTEMS HEALTH AND RECOVERY FUND—Continued

used for preparation of timber sales to fill the timber pipeline on lands administered by the BLM, and 25 percent is to be expended on the backlog of recreation projects on BLM lands.

Recreation fees.—This account holds funds that enable the BLM to retain and spend up to 15 percent of recreation receipts collected during the current year to offset fee collection costs.

Expenses, road maintenance deposits.—Users of certain roads under jurisdiction of the Bureau of Land Management (BLM) make deposits for maintenance purposes. Moneys collected are appropriated for necessary road maintenance. Moneys collected on Oregon and California grant lands are available only for those lands (43 U.S.C. 1762(c), 43 U.S.C. 1735(b)).

Recreational fee demonstration program.—Fees collected by the BLM at recreation sites identified pursuant to provisions of the 2002 Interior and Related Agencies Appropriations Act are deposited to this account. The temporary authority for this program expires at the end of fiscal year 2004. To ensure that fee revenue remains available for BLM sites after 2004, the Administration will propose legislation providing permanent fee authority. BLM returns 100 percent of these receipts back to the site where the fees were generated.

Acquisitions in Deschutes, OR from land sale receipts.—Pursuant to Public Law 105–221, the Oregon Public Lands Transfer Act, the Secretary of the Interior is authorized to use the proceeds from sales in Deschutes County to purchase environmentally sensitive lands.

Operations and acquisitions in Nevada from land sale receipts.—Pursuant to Public Law 105–263, 85% of receipts from sales of public domain lands in southern Nevada are used to acquire environmentally sensitive land in the State, and to make capital improvements to areas administered by the NPS, FWS, and BLM in Clark County, NV. Included in this account is earnings on investments.

Lincoln County land sales.—Public Law 106–298 authorizes the Secretary to dispose of certain lands in Lincoln County, Nevada, and distribute the proceeds as follows: five percent to the state of Nevada, 10 percent to the County, and 85 percent to an interest bearing account that is available for expenditure without further appropriation.

Commercial film and photography fees.—Fees collected pursuant to Public Law 106–206 are used to recover costs incurred as a result of filming activities or similar projects, including but not limited to administrative and personnel costs. Also, a reasonable fee is collected for commercial filming activities or similar projects on Federal lands administered by the Secretary of the Interior.

Federal land disposal.—The Federal Land Disposal Account, P.L. 106–248 Stat. 616, provides that the Administration will conduct sales of lands that have been classified as suitable for disposal under current resource management plans. This law provides that receipts from such sales may be used to acquire non-Federal lands with significant resource values that fall within the boundaries of areas now managed by the Department of the Interior.

Object Classification (in millions of dollars)

Identification code 14–9926–0–2–302	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	13	14	15
11.3 Other than full-time permanent	4	4	4
11.9 Total personnel compensation	17	18	19
12.1 Civilian personnel benefits	3	4	4
22.0 Transportation of things	1	1	1

25.2 Other services	11	28	35
26.0 Supplies and materials	3	3	3
31.0 Equipment	2	2	2
32.0 Land and structures	4	40	40
41.0 Grants, subsidies, and contributions	2	2	2
99.9 Total new obligations	43	98	106

Personnel Summary

Identification code 14–9926–0–2–302	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	240	240	240

MISCELLANEOUS PERMANENT PAYMENT ACCOUNTS

Unavailable Collections (in millions of dollars)

Identification code 14–9921–0–2–999	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	142	32	26
Receipts:			
02.20 Receipts from grazing, etc., public lands outside grazing districts	2	2	2
02.21 Receipts from grazing, etc., public lands within grazing districts	1	1	1
02.22 Sale of public land and materials, 5 0.000000und to States	1	9	9
02.25 Oregon and California land grant fund	–63		
02.26 Coos Bay wagon road grant fund	–7		
02.27 Receipts from oil and gas leases, National Petroleum Reserve—Alaska	2	2	15
02.29 Funds reserved, Title II projects in Federal lands		2	3
02.30 Payment from the general fund, Title II projects in Federal lands		14	14
02.31 Payments from the general fund, Coos Bay wagon road grant lands		1	
02.32 Funds reserved, Coos Bay wagon road grant lands	–28		1
02.33 Receipts from sale of public lands, Clark County, Nevada	2		
02.34 Deposits, Oregon and California		13	14
02.40 Payments from the general fund, Oregon and California land grant fund		79	79
02.99 Total receipts and collections	–90	123	138
04.00 Total: Balances and collections	52	155	164
Appropriations:			
05.00 Miscellaneous permanent payment accounts	–20	–129	–142
07.99 Balance, end of year	32	26	22

Program and Financing (in millions of dollars)

Identification code 14–9921–0–2–999	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Secure Rural Schools, PL 106–393:			
00.01 Payments to O&C Counties, Title I/III		92	93
00.02 Payments to Coos Bay Wagon Road Counties, Title I/III		1	1
00.03 Payment to O&C and CBWR Counties, Title II		16	17
Other payments to States and Counties:			
00.04 From grazing fees, etc., public lands outside grazing districts	1	1	1
00.05 From grazing fees, etc., public lands within grazing districts	1	1	1
00.06 Payments to Clark County, Nevada (15)		8	8
00.07 Proceeds from Sales	1	1	1
00.08 Native Alaskan groups' property	9	5	5
00.09 Payments to counties from national grasslands	1	1	1
00.10 Naval Petroleum Reserve- Alaska Share	2	2	15
00.11 Interest Earned on Oil and Gas Leases, National Petroleum Reserve—Alaska	2		
10.00 Total new obligations (object class 41.0)	17	128	143

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	–1	2	3
22.00 New budget authority (gross)	20	129	142
23.90 Total budgetary resources available for obligation	19	131	145
23.95 Total new obligations	–17	–128	–143

24.40	Unobligated balance carried forward, end of year	2	3	2
New budget authority (gross), detail:				
Mandatory:				
60.20	Appropriation (special fund & general fund feeder)	20	129	142
Change in obligated balances:				
72.40	Obligated balance, start of year	5	6	12
73.10	Total new obligations	17	128	143
73.20	Total outlays (gross)	-16	-122	-141
74.40	Obligated balance, end of year	6	12	14
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	16	122	133
86.98	Outlays from mandatory balances			8
87.00	Total outlays (gross)	16	122	141
Net budget authority and outlays:				
89.00	Budget authority	20	129	142
90.00	Outlays	16	122	141

Miscellaneous permanent payments include:
Payments to Oklahoma (royalties).—The State of Oklahoma is paid 37½ percent of the Red River oil and gas royalties in lieu of State and local taxes on Kiowa, Comanche, and Apache Tribal lands, to be used for construction and maintenance of public roads and support of public schools (65 Stat. 252).

Payments for Oregon and California and Coos Bay Wagon Road grant lands, receipts.—Under provisions of the Secure Rural Schools and Community Self-Determination Act of 2000 (Public Law 106-393), annual payments to the 18 Oregon & California (O&C) counties will be derived from any revenues, fees, penalties, or miscellaneous receipts received by the Federal Government from activities by the BLM on O&C and Coos Bay Wagon Road lands. These receipts are exclusive of deposits to any relevant trust fund, i.e., Timber Sale Pipeline Restoration and Forest Ecosystem Health and Recovery funds, or permanent operating funds.

Payments to States (proceeds of sales).—The States are paid five percent of the net proceeds from sale of public land and public land products (31 U.S.C. 1305).

Payments to States from grazing receipts, etc., public lands outside grazing districts.—The States are paid 50 percent of the grazing receipts from public lands outside of grazing districts (43 U.S.C. 315i, 315m).

Payments to States from grazing receipts, etc., public lands within districts.—The States are paid 12½ percent of grazing receipts from public lands inside grazing districts (43 U.S.C. 315b, 315i).

Payments to States from grazing receipts, etc., public lands within grazing districts, miscellaneous.—The States are paid specifically determined amounts from grazing receipts derived from miscellaneous lands within grazing districts when payment is not feasible on a percentage basis (43 U.S.C. 315).

Payments to counties, National Grasslands.—Of the revenues received from the use of Bankhead-Jones Act lands administered by the Bureau of Land Management, 25 percent is paid to the counties in which such lands are situated, for school and road purposes (7 U.S.C. 1012).

Payments to Nevada from receipts on land sales.—(A) Public Law 96-586 authorizes and directs the Secretary to sell not more than 700 acres of public lands per calendar year in and around Las Vegas, Nevada, the proceeds of which are to be used to acquire environmentally sensitive lands in the Lake Tahoe Basin of California and Nevada. Annual revenues are distributed to the State of Nevada (five percent) and the county in which the land is located (10 percent). (B) Public Law 105-263 authorizes the disposal through sale of approximately 27,000 acres in Clark City Nevada, the proceeds of which are to be distributed as follows: (a) five percent for use in the general education program of the State of Nevada (b) 10 percent for use by the Southern Nevada Water

Authority for water treatment and transmission facility infrastructure in Clark County, Nevada and (c) the remaining 85 percent to be used to acquire environmentally sensitive lands in Nevada; capital improvements to areas administered by NPS, FWS and BLM in Clark County, Nevada; development of multi-species habitat plan in Clark County, Nevada; development of parks, trails and natural areas in Clark County, Nevada; and reimbursements of BLM costs incurred arranging sales and exchanges under the Act. (C) Public Law 106-298 authorizes the sale of certain lands in Lincoln County, Nevada. The proceeds of these sales are to be distributed as follows: (a) five percent to the State of Nevada for general education purposes; (b) 10 percent to Lincoln County for general purposes with emphasis on supporting schools; and (c) the remaining 85 percent to be used by the Secretary of the Interior to acquire environmentally sensitive lands in the State of Nevada, for identification and management of unique archaeological resources, for development of a multi-species habitat conservation plan in the county, and for other specified administrative purposes.

Cook Inlet Region Inc. property.—This account received funding appropriated by section 9102 of the fiscal year 1990 Department of Defense Appropriations Act for the acquisition of Federal real properties, improvements on such lands or rights to their use or exploitation, and any personal property related to the land purchased by the Cook Inlet Region, Incorporated as authorized by the provisions of section 12(b) of Public Law 94-204 (43 U.S.C. 1611). Funds are made available to the Bureau of Land Management for administration and subsequent payment to accounts accepting Cook Inlet Region, Incorporated offers for Federal properties.

Native Alaskan groups' properties.—Funds were appropriated by Public Law 102-172 for the Calista Corporation, and by Public Law 102-415 for the Haida Corporation and the Gold Creek Susitna Association, Incorporated, for the acquisition by those groups of Federal real properties in fulfillment of claims originally settled in 43 U.S.C. 1617, the Alaska Native Claims Settlement Act.

Payments to Alaska from oil and gas leasing in the National Petroleum Reserve—Alaska (NPR-A).—P.L. 96-514 requires that any revenues received from oil and gas leasing in the NPR-A be shared 50 percent with the State of Alaska.

HELIUM FUND

Program and Financing (in millions of dollars)

Identification code 14-4053-0-3-306	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Operating expenses:				
00.01	Transmission and storage	2	2	2
00.02	Administration and other expenses	1	1	1
00.91	Total operating expenses	3	3	3
Capital investment:				
01.01	Land, structures, equipment	1	1	1
10.00	Total new obligations	4	4	4
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	42	42	53
22.00	New budget authority (gross)	4	15	15
23.90	Total budgetary resources available for obligation	46	57	68
23.95	Total new obligations	-4	-4	-4
24.40	Unobligated balance carried forward, end of year	42	53	62
New budget authority (gross), detail:				
Mandatory:				
69.00	Offsetting collections (cash)	14	15	15
69.10	Change in uncollected customer payments from Federal sources (unexpired)	-10		

General and special funds—Continued

HELIUM FUND—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 14-4053-0-3-306	2001 actual	2002 est.	2003 est.
69.90 Spending authority from offsetting collections (total mandatory)	4	15	15
Change in obligated balances:			
72.40 Obligated balance, start of year	-8	4	-3
73.10 Total new obligations	4	4	4
73.20 Total outlays (gross)	-2	-11	-11
74.00 Change in uncollected customer payments from Federal sources (unexpired)	10		
74.40 Obligated balance, end of year	4	-3	-10
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	2	5	5
86.98 Outlays from mandatory balances	1	5	7
87.00 Total outlays (gross)	2	11	11
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.40 Non-Federal sources	-12	-10	-10
88.45 Offsetting governmental collections (from non-Federal sources)	-2	-5	-5
88.90 Total, offsetting collections (cash)	-14	-15	-15
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	10		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-12	-4	-4

The Helium Act Amendments of 1960, Public Law 86-777 (50 U.S.C. 167), authorized activities necessary to provide sufficient helium to meet the current and foreseeable future needs of essential government activities.

The Helium Privatization Act of 1996, Public Law 104-273, provides for the eventual privatization of the program and its functions. In FY 2003, the Helium program will consist of:

- (a) continued storage and transmission of crude helium;
- (b) complete disposal of helium refining facilities and other excess property not needed for storage and transmission of crude helium;
- (c) oversight of the production of helium on Federal lands;
- (d) administration of in kind crude helium gas sale program.

The estimates assume that the helium program will continue to fund full implementation of the Helium Privatization Act.

Statement of Operations (in millions of dollars)

Identification code 14-4053-0-3-306	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	19	18	15	15
0102 Expense	-5	-6	-8	-8
0105 Net income or loss (-)	14	12	7	7

Balance Sheet (in millions of dollars)

Identification code 14-4053-0-3-306	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	42	43	32	32
Other Federal assets:				
1802 Inventories and related properties	356	355	336	336
1803 Property, plant and equipment, net	10	10	10	10

1999 Total assets	408	408	378	378
LIABILITIES:				
Federal liabilities:				
2102 Interest payable	1,040	1,030	997	997
2103 Debt	289	289	289	289
2999 Total liabilities	1,329	1,319	1,286	1,286
NET POSITION:				
3300 Cumulative results of operations	-921	-911	-908	-908
3999 Total net position	-921	-911	-908	-908
4999 Total liabilities and net position	408	408	378	378

Object Classification (in millions of dollars)

Identification code 14-4053-0-3-306	2001 actual	2002 est.	2003 est.
11.3 Personnel compensation: Other than full-time permanent	3	3	3
12.1 Civilian personnel benefits	1	1	1
99.9 Total new obligations	4	4	4

Personnel Summary

Identification code 14-4053-0-3-306	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	52	52	52

Intragovernmental funds:

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 14-4525-0-4-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Land management related supplies and support:			
09.01 Operating expenses	10	9	9
09.02 Capital investment	38	14	18
10.00 Total new obligations	48	23	27
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	24	24	28
22.00 New budget authority (gross)	47	27	27
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	72	51	55
23.95 Total new obligations	-48	-23	-27
24.40 Unobligated balance carried forward, end of year	24	28	28
New budget authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	47	27	27
Change in obligated balances:			
72.40 Obligated balance, start of year	14	26	36
73.10 Total new obligations	48	23	27
73.20 Total outlays (gross)	-35	-13	-31
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	26	36	32
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	33	8	8
86.93 Outlays from discretionary balances	2	5	23
87.00 Total outlays (gross)	35	13	31
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-47	-27	-27
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-12	-14	4

Section 306 of the Federal Land Policy and Management Act of 1976 authorizes a BLM working capital fund. The

fund is managed as a self-sustaining revolving fund for purchase and maintenance of vehicles and equipment, purchase of materials for resource conservation projects, purchase of uniforms, and other business-type functions.

Balance Sheet (in millions of dollars)

Identification code 14-4525-0-4-302	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	38	48	35	31
1803 Other Federal assets: Property, plant and equipment, net	56	75	94	65
1999 Total assets	94	123	129	96
LIABILITIES:				
Federal liabilities:				
2101 Accounts payable		8	8	8
2105 Other	2	2	3	3
2999 Total liabilities	2	10	11	11
NET POSITION:				
3300 Cumulative results of operations	92	113	118	85
3999 Total net position	92	113	118	85
4999 Total liabilities and net position	94	123	129	96

Object Classification (in millions of dollars)

Identification code 14-4525-0-4-302	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	1	1	1
25.7 Operation and maintenance of equipment	3	3	3
26.0 Supplies and materials	5	5	5
31.0 Equipment	39	14	18
99.9 Total new obligations	48	23	27

Personnel Summary

Identification code 14-4525-0-4-302	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	19	19	19

Trust Funds

MISCELLANEOUS TRUST FUNDS

In addition to amounts authorized to be expended under existing laws, there is hereby appropriated such amounts as may be contributed under section 307 of the Act of October 21, 1976 (43 U.S.C. 1701), and such amounts as may be advanced for administrative costs, surveys, appraisals, and costs of making conveyances of omitted lands under section 211(b) of that Act, to remain available until expended. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Unavailable Collections (in millions of dollars)

Identification code 14-9971-0-7-302	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 Contributions and deposits, BLM	12	14	14
Appropriations:			
05.00 Miscellaneous trust funds	-12	-14	-14
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 14-9971-0-7-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Land and resource management trust fund	14	15	14
10.00 Total new obligations	14	15	14
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	13	12	12

22.00 New budget authority (gross)	13	15	14
23.90 Total budgetary resources available for obligation	26	27	26
23.95 Total new obligations	-14	-15	-14
24.40 Unobligated balance carried forward, end of year	12	12	12

New budget authority (gross), detail:

Mandatory:	2001 actual	2002 est.	2003 est.
60.26 Appropriation (trust fund)	13	15	14

Change in obligated balances:

72.40 Obligated balance, start of year	6	6	6
73.10 Total new obligations	14	15	14
73.20 Total outlays (gross)	-14	-14	-15
74.40 Obligated balance, end of year	6	6	7

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	8	8	8
86.98 Outlays from mandatory balances	6	7	7
87.00 Total outlays (gross)	14	14	15

Net budget authority and outlays:

89.00 Budget authority	13	15	14
90.00 Outlays	14	14	15

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	12	14	13
90.00 Outlays	13	13	14

Current Trust Fund includes:

Land and Resource Management Trust Fund.—Provides for the acceptance of contributed money or services for: (1) resource development, protection and management; (2) conveyance or acquisition of public lands (including omitted lands or islands) to States, their political subdivisions or individuals; and (3) conducting cadastral surveys; provided that estimated costs are paid prior to project initiation. (The Federal Land Policy and Management Act of 1976 (43 U.S.C. 1721, 1737).)

Permanent Trust Funds include:

Range improvements.—Acceptance of contributions for rangeland improvements is authorized by the Taylor Grazing Act (43 U.S.C. 315h and 315i). These funds are permanently appropriated as trust funds to the Secretary for such uses as specified by those Acts.

Public surveys.—Acceptance of contributions for public surveys is authorized by 43 U.S.C. 759, 761, and 31 U.S.C. 1321(a). These contributions are permanently appropriated as trust funds to the Secretary for such uses as specified by those Acts.

Trustee funds, Alaska townsites.—Amounts received from sale of Alaska town lots are available for expenses incident to the maintenance and sale of townsites (31 U.S.C. 1321; Comp. Gen. Dec. of Nov. 18, 1935).

Object Classification (in millions of dollars)

Identification code 14-9971-0-7-302	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	3	3	3
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	4	4	4
12.1 Civilian personnel benefits	2	2	2
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	3	4	3
26.0 Supplies and materials	2	2	2
31.0 Equipment	1	1	1
32.0 Land and structures	1	1	1
99.9 Total new obligations	14	15	14

MISCELLANEOUS TRUST FUNDS—Continued

Personnel Summary

Identification code 14-9971-0-7-302	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	74	74	74

ADMINISTRATIVE PROVISIONS

Appropriations for the Bureau of Land Management shall be available for purchase, erection, and dismantlement of temporary structures, and alteration and maintenance of necessary buildings and appurtenant facilities to which the United States has title; up to \$100,000 for payments, at the discretion of the Secretary, for information or evidence concerning violations of laws administered by the Bureau; miscellaneous and emergency expenses of enforcement activities authorized or approved by the Secretary and to be accounted for solely on her certificate, not to exceed \$10,000: *Provided*, That notwithstanding 44 U.S.C. 501, the Bureau may, under cooperative cost-sharing and partnership arrangements authorized by law, procure printing services from cooperators in connection with jointly produced publications for which the cooperators share the cost of printing either in cash or in services, and the Bureau determines the cooperator is capable of meeting accepted quality standards: *Provided further*, That section 28f(a) of title 30, United States Code, is amended:

(1) In section 28f(a), by striking the first sentence and inserting, "The holder of each unpatented mining claim, mill, or tunnel site, located pursuant to the mining laws of the United States, whether located before, on or after the enactment of this Act, shall pay to the Secretary of the Interior, on or before September 1 of each year for years 2002 through 2003, a claim maintenance fee of \$100 per claim or site"; and

(2) In section 28g, by striking "and before September 30, 2001" and inserting in lieu thereof "and before September 30, 2003". (*Department of the Interior and Related Agencies Appropriations Act, 2002.*)

MINERAL MANAGEMENT SERVICE

Federal Funds

General and special funds:

ROYALTY AND OFFSHORE MINERALS MANAGEMENT

For expenses necessary for minerals leasing and environmental studies, regulation of industry operations, and collection of royalties, as authorized by law; for enforcing laws and regulations applicable to oil, gas, and other minerals leases, permits, licenses and operating contracts; and for matching grants or cooperative agreements; including the purchase of not to exceed eight passenger motor vehicles for replacement only, **[\$150,667,000] \$174,640,000**, of which **[\$83,344,000] \$83,284,000**, shall be available for royalty management activities; and an amount not to exceed **[\$102,730,000] \$100,230,000**, to be credited to this appropriation and to remain available until expended, from additions to receipts resulting from increases to rates in effect on August 5, 1993, from rate increases to fee collections for Outer Continental Shelf administrative activities performed by the Minerals Management Service over and above the rates in effect on September 30, 1993, and from additional fees for Outer Continental Shelf administrative activities established after September 30, 1993: *Provided*, That to the extent **[\$102,730,000] \$100,230,000** in additions to receipts are not realized from the sources of receipts stated above, the amount needed to reach \$100,230,000 shall be credited to this appropriation from receipts resulting from rental rates for Outer Continental Shelf leases in effect before August 5, 1993: *Provided further*, That \$3,000,000 for computer acquisitions shall remain available until September 30, **[2003] 2004: Provided further**, That funds appropriated under this Act shall be available for the payment of interest in accordance with 30 U.S.C. 1721(b) and (d): *Provided further*, That not to exceed \$3,000 shall be available for reasonable expenses related to promoting volunteer beach and marine cleanup activities: *Provided further*, That notwithstanding any other provision of law, \$15,000 under this heading shall be available for refunds of overpayments in connection with certain Indian leases

in which the Director of the Minerals Management Service (MMS) concurred with the claimed refund due, to pay amounts owed to Indian allottees or tribes, or to correct prior unrecoverable erroneous payments: *Provided further*, That MMS may under the royalty-in-kind pilot program use a portion of the revenues from royalty-in-kind sales, without regard to fiscal year limitation, to pay for transportation to wholesale market centers or upstream pooling points, and to process or otherwise dispose of royalty production taken in kind: *Provided further*, That MMS shall analyze and document the expected return in advance of any royalty-in-kind sales to assure to the maximum extent practicable that royalty income under the pilot program is equal to or greater than royalty income recognized under a comparable royalty-in-value program. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 14-1917-0-1-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 OCS lands	59	73	83
00.02 Royalty management	65	63	64
00.03 General administration	19	25	27
01.92 Total direct program	143	161	174
09.01 Reimbursable (OCS Revenue Receipts)	111	107	101
09.02 Reimbursable (Franchise Activities)	308	210	211
09.03 Reimbursable (ROMM)	2	2	2
09.99 Total reimbursable program	421	319	314
10.00 Total new obligations	564	480	488
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	9	11	10
22.00 New budget authority (gross)	547	466	477
22.10 Resources available from recoveries of prior year obligations	18	12	10
23.90 Total budgetary resources available for obligation	574	489	497
23.95 Total new obligations	-564	-480	-488
24.40 Unobligated balance carried forward, end of year	11	10	9
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	143	161	174
Spending authority from offsetting collections:			
Offsetting collections (cash):			
68.00 Offsetting collections (cash)	107	103	100
68.00 Offsetting collections (cash)	2	2	2
68.90 Spending authority from offsetting collections (total discretionary)	109	105	102
Mandatory:			
69.00 Offsetting collections (cash)	229	200	201
69.10 Change in uncollected customer payments from Federal sources (unexpired)	66		
69.90 Spending authority from offsetting collections (total mandatory)	295	200	201
70.00 Total new budget authority (gross)	547	466	477
Change in obligated balances:			
72.40 Obligated balance, start of year	73	69	73
73.10 Total new obligations	564	480	488
73.20 Total outlays (gross)	-480	-463	-475
73.40 Adjustments in expired accounts (net)	-2		
73.45 Recoveries of prior year obligations	-18	-12	-10
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-66		
74.10 Change in uncollected customer payments from Federal sources (expired)	-1		
74.40 Obligated balance, end of year	69	73	76
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	184	198	207
86.93 Outlays from discretionary balances	67	65	67
86.97 Outlays from new mandatory authority	229	200	201
87.00 Total outlays (gross)	480	463	475

Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-229	-200	-201
88.40	Non-Federal sources	-109	-105	-102
88.90	Total, offsetting collections (cash)	-338	-305	-303
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-66		
Net budget authority and outlays:				
89.00	Budget authority	143	161	174
90.00	Outlays	142	158	172

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	133	151	164
90.00	Outlays	132	148	162

The Minerals Management Service supervises exploration for, and the development and production of, gas, oil, and other minerals on the Outer Continental Shelf (OCS) lands; and collects royalties, rentals, and bonuses due the Federal Government and Indian lessors from minerals produced on Federal, Indian, and OCS lands.

Outer Continental Shelf (OCS) lands.—The program provides for: (1) performance of environmental assessments to ensure compliance with the National Environmental Policy Act (NEPA); (2) conduct of lease offerings; (3) selection and evaluation of tracts offered for lease by competitive bidding; (4) assurance that the Federal Government receives fair market value for leased lands; and (5) regulation and supervision of energy and mineral exploration, development, and production operations on the OCS lands.

Minerals revenue management.—The Minerals revenue management program provides accounting, auditing, and compliance activities for royalties, rentals, and bonuses due from minerals produced on Federal, Indian, allotted and OCS lands. The program includes an automated accounting system to ensure that all royalties are properly collected.

General administration.—General administrative expenses provide for management, executive direction and coordination, administrative support, Federal building space and general support services.

The following are key performance measures for the Royalty and offshore minerals management account.

PERFORMANCE MEASURES

	2001 actual	2002 est.	2003 est.
Compliance Index	0.9835	0.9775	N/A *
Percent of on-time State disbursements	98.4%	98.0%	98.0%
Safety Index	0.658	0.594	0.594

*Beginning in FY 2003, MMS will measure actual payments compared to expected values for converted properties (properties that have been transitioned into the 3-year end-to-end CAM process).

Object Classification (in millions of dollars)

Identification code 14-1917-0-1-302	2001 actual	2002 est.	2003 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	108	113	114
12.1	Civilian personnel benefits	30	36	37
21.0	Travel and transportation of persons	2	3	3
23.3	Communications, utilities, and miscellaneous charges	2	3	3
25.2	Other services		3	14
31.0	Equipment	1	3	3
99.0	Direct obligations	143	161	174
99.0	Reimbursable obligations	421	319	314
99.9	Total new obligations	564	480	488

Personnel Summary

Identification code 14-1917-0-1-302	2001 actual	2002 est.	2003 est.	
Direct:				
1001	Total compensable workyears: Full-time equivalent employment	1,669	1,707	1,679
Reimbursable:				
2001	Total compensable workyears: Full-time equivalent employment	51	46	47

MINERAL LEASING AND ASSOCIATED PAYMENTS

Unavailable Collections (in millions of dollars)

Identification code 14-5003-0-2-999	2001 actual	2002 est.	2003 est.	
01.99	Balance, start of year			
Receipts:				
02.20	Receipts from mineral leasing, public lands	1,045	666	670
Appropriations:				
05.00	Mineral leasing and associated payments	-1,045	-666	-670
07.99	Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 14-5003-0-2-999	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
10.00	Total new obligations (object class 41.0)	1,045	666	670
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	1,045	666	670
23.95	Total new obligations	-1,045	-666	-670
New budget authority (gross), detail:				
Mandatory:				
60.20	Appropriation (special fund)	1,045	666	670
Change in obligated balances:				
73.10	Total new obligations	1,045	666	670
73.20	Total outlays (gross)	-1,045	-666	-670
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	1,045	666	670
Net budget authority and outlays:				
89.00	Budget authority	1,045	666	670
90.00	Outlays	1,045	666	670

Alaska is paid 90 percent (50 percent for NPR-A area) and other States 50 percent of the receipts from bonuses, royalties, payor late payment interest, and rentals of public lands within those States resulting from the leasing and development of mineral resources under: the Mineral Leasing Act (30 U.S.C. 191); the Mineral Leasing Act for Acquired Lands (30 U.S.C. 351); the Geothermal Steam Act of 1970 (30 U.S.C. 1001); and, from leases of potash deposits (30 U.S.C. 285), on both public domain and certain acquired lands.

ENVIRONMENTAL IMPROVEMENT AND RESTORATION FUND

Unavailable Collections (in millions of dollars)

Identification code 14-5425-0-2-302	2001 actual	2002 est.	2003 est.	
01.99	Balance, start of year	905	946	970
Receipts:				
02.40	Interest earned	41	24	31
04.00	Total: Balances and collections	946	970	1,001
07.99	Balance, end of year	946	970	1,001

General and special funds—Continued

ENVIRONMENTAL IMPROVEMENT AND RESTORATION FUND—Continued

Program and Financing (in millions of dollars)

Identification code 14-5425-0-2-302	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value	956	962	1,009
92.02 Total investments, end of year: Federal securities:			
Par value	962	1,009	1,045

Title IV of the Department of the Interior and Related Agencies Appropriations Act, 1998 (P.L. 105-83) established the Environmental Improvement and Restoration Fund account. Under section 352(a) of the Department of the Interior and Related Agencies Appropriations Act, 2000 (P.L. 106-113), the fund is to be invested. Twenty percent of the interest earned is permanently appropriated to the Department of Commerce and the unappropriated balance of interest will remain in the fund. No budget authority is requested.

NATIONAL FORESTS FUND, PAYMENT TO STATES

Unavailable Collections (in millions of dollars)

Identification code 14-5243-0-2-302	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 National forests fund, payments to states—Interior	4	3	3
Appropriations:			
05.00 National forests fund, payment to states	-4	-3	-3
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 14-5243-0-2-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	4	3	3
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	4	3	3
23.95 Total new obligations	-4	-3	-3
New budget authority (gross), detail:			
Mandatory:			
60.20 Appropriation (special fund)	4	3	3
Change in obligated balances:			
73.10 Total new obligations	4	3	3
73.20 Total outlays (gross)	-4	-3	-3
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	4	3	3
Net budget authority and outlays:			
89.00 Budget authority	4	3	3
90.00 Outlays	4	3	3

LEASES OF LANDS ACQUIRED FOR FLOOD CONTROL, NAVIGATION, AND ALLIED PURPOSES

Unavailable Collections (in millions of dollars)

Identification code 14-5248-0-2-302	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 Leases of lands acquired for flood control, navigation,			
and allied purpose	2	1	1

Appropriations:			
05.00 Leases of lands acquired for flood control, navigation,			
and allied purpose	-2	-1	-1
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 14-5248-0-2-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	2	1	1
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	2	1	1
23.95 Total new obligations	-2	-1	-1
New budget authority (gross), detail:			
Mandatory:			
60.20 Appropriation (special fund)	2	1	1
Change in obligated balances:			
73.10 Total new obligations	2	1	1
73.20 Total outlays (gross)	-2	-1	-1
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	2	1	1
Net budget authority and outlays:			
89.00 Budget authority	2	1	1
90.00 Outlays	2	1	1

Trust Funds

OIL SPILL RESEARCH

For necessary expenses to carry out title I, section 1016, title IV, sections 4202 and 4303, title VII, and title VIII, section 8201 of the Oil Pollution Act of 1990, \$6,105,000, which shall be derived from the Oil Spill Liability Trust Fund, to remain available until expended. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 14-8370-0-7-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	6	6	6
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		1	
22.00 New budget authority (gross)	6	6	6
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	7	7	6
23.95 Total new obligations	-6	-6	-6
24.40 Unobligated balance carried forward, end of year	1		
New budget authority (gross), detail:			
Discretionary:			
40.26 Appropriation (trust fund)	6	6	6
Change in obligated balances:			
72.40 Obligated balance, start of year	6	4	6
73.10 Total new obligations	6	6	6
73.20 Total outlays (gross)	-7	-6	-6
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	4	6	6
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	5	5	5
86.93 Outlays from discretionary balances	1	1	2
87.00 Total outlays (gross)	7	6	6
Net budget authority and outlays:			
89.00 Budget authority	6	6	6
90.00 Outlays	7	6	6

The Oil Pollution Act of 1990 authorizes use of the Oil Spill Liability Trust Fund, established by section 9509 of the Internal Revenue Code of 1986, to perform oil pollution research and other duties related to oil spill prevention and financial responsibility. The moneys provided will be used to carry out the purposes for which the fund is established.

Object Classification (in millions of dollars)			
Identification code 14-8370-0-7-302	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	2	2	2
25.2 Other services	4	4	4
99.9 Total new obligations	6	6	6

Personnel Summary			
Identification code 14-8370-0-7-302	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	23	23	23

OFFICE OF SURFACE MINING RECLAMATION AND ENFORCEMENT

Federal Funds

General and special funds:

REGULATION AND TECHNOLOGY

For necessary expenses to carry out the provisions of the Surface Mining Control and Reclamation Act of 1977, Public Law 95-87, as amended, including the purchase of not to exceed 10 passenger motor vehicles, for replacement only; **[\$102,800,000] \$108,098,000:** *Provided*, That the Secretary of the Interior, pursuant to regulations, may use directly or through grants to States, moneys collected in fiscal year **[2002] 2003** for civil penalties assessed under section 518 of the Surface Mining Control and Reclamation Act of 1977 (30 U.S.C. 1268), to reclaim lands adversely affected by coal mining practices after August 3, 1977, to remain available until expended: *Provided further*, That appropriations for the Office of Surface Mining Reclamation and Enforcement may provide for the travel and per diem expenses of State and tribal personnel attending Office of Surface Mining Reclamation and Enforcement sponsored training. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 14-1801-0-1-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.02 Environmental protection	88	79	80
00.03 Technology development & transfer	13	14	14
00.04 Financial management	1	1	1
00.05 Executive direction & administration	12	13	13
09.01 Reimbursable program	2	1	1
10.00 Total new obligations	116	108	109
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	11	1	
22.00 New budget authority (gross)	105	107	109
22.10 Resources available from recoveries of prior year obligations	2		
23.90 Total budgetary resources available for obligation	118	108	109
23.95 Total new obligations	-116	-108	-109
24.40 Unobligated balance carried forward, end of year	1		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation (general fund)	104	106	108
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1	1	1
70.00 Total new budget authority (gross)	105	107	109
Change in obligated balances:			
72.40 Obligated balance, start of year	27	42	37

73.10 Total new obligations	116	108	109
73.20 Total outlays (gross)	-97	-113	-111
73.40 Adjustments in expired accounts (net)	-2		
73.45 Recoveries of prior year obligations	-2		
74.40 Obligated balance, end of year	42	37	35

Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	70	74	75
86.93 Outlays from discretionary balances	26	39	36
87.00 Total outlays (gross)	97	113	111

Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-1	-1	-1

Net budget authority and outlays:			
89.00 Budget authority	104	106	108
90.00 Outlays	97	112	110

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	101	103	105
90.00 Outlays	94	109	107

Environmental protection.—This activity funds those functions that directly contribute to ensuring that the environment is protected during surface coal mining operations. It also addresses those activities that ensure that coal operators adequately reclaim the land after mining is completed.

Under this activity, OSM provides regulatory grants to States to operate enforcement programs under the terms of the Surface Mining Control and Reclamation Act of 1977 (SMCRA). It also provides for the operation of Federal and Indian land programs and the oversight of State programs. This activity also supports State regulatory program development and maintenance.

Environmental restoration.—This activity funds environmental reclamation efforts through the collection of civil penalties for post-SMCRA reclamation and funds from bond forfeitures. It also provides funding for underground and coal outcrop fires.

Technology development and transfer.—This activity provides funding to enhance the technical skills that States and Indian Tribes need to operate their regulatory programs. It provides technical outreach to States and Indian Tribes to solve problems related to the environmental effects of coal mining. The Applicant Violator System is funded from this activity.

Financial management.—This activity provides the resources for the managing, accounting, and processing of collections and for the pursuit of delinquent civil penalties. This includes developing and maintaining information management systems that support these functions and enhance the agency's ability to deny new mining permits to applicants with unabated State or Federal violations.

Executive direction and administration.—This activity provides funding for executive direction, general administrative support, and the acquisition of certain agency-wide common services, such as rent, telephones, and postage.

The following are key performance measures for the Regulation and technology account:

PERFORMANCE MEASURES

	2001 actual	2002 est.	2003 est.
Increase in the percent of sites free of offsite impacts	93.9%	94.0%	94.0%

General and special funds—Continued

REGULATION AND TECHNOLOGY—Continued

Object Classification (in millions of dollars)

Identification code 14-1801-0-1-302	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	26	29	30
11.5 Other personnel compensation	1		
11.9 Total personnel compensation	27	29	30
12.1 Civilian personnel benefits	8	8	8
21.0 Travel and transportation of persons	2	2	2
23.1 Rental payments to GSA	2	3	3
23.2 Rental payments to others		1	1
23.3 Communications, utilities, and miscellaneous charges	1		
25.2 Other services	2	4	4
25.3 Other purchases of goods and services from Government accounts	1		
25.7 Operation and maintenance of equipment		1	
26.0 Supplies and materials	1	1	1
31.0 Equipment	2	1	1
41.0 Grants, subsidies, and contributions	68	57	58
99.0 Direct obligations	114	107	108
99.0 Reimbursable obligations	2		
99.5 Below reporting threshold		1	1
99.9 Total new obligations	116	108	109

Personnel Summary

Identification code 14-1801-0-1-302	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	398	414	414
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	13	1	1

ABANDONED MINE RECLAMATION FUND

For necessary expenses to carry out title IV of the Surface Mining Control and Reclamation Act of 1977, Public Law 95-87, as amended, including the purchase of not more than 10 passenger motor vehicles for replacement only, [\$203,455,000] \$175,494,000, to be derived from receipts of the Abandoned Mine Reclamation Fund and to remain available until expended; of which up to \$10,000,000, to be derived from the Federal Expenses Share of the Fund, shall be for supplemental grants to States for the reclamation of abandoned sites with acid mine rock drainage from coal mines, and for associated activities, through the Appalachian Clean Streams Initiative: *Provided*, That grants to minimum program States will be \$1,500,000 per State in fiscal year [2002: *Provided further*, That of the funds herein provided up to \$18,000,000 may be used for the emergency program authorized by section 410 of Public Law 95-87, as amended, of which no more than 25 percent shall be used for emergency reclamation projects in any one State and funds for federally administered emergency reclamation projects under this proviso shall not exceed \$11,000,000;] 2003: *Provided further*, That prior year unobligated funds appropriated for the emergency reclamation program shall not be subject to the 25 percent limitation per State and may be used without fiscal year limitation for emergency projects: *Provided further*, That pursuant to Public Law 97-365, the Department of the Interior is authorized to use up to 20 percent from the recovery of the delinquent debt owed to the United States Government to pay for contracts to collect these debts: *Provided further*, That funds made available under title IV of Public Law 95-87 may be used for any required non-Federal share of the cost of projects funded by the Federal Government for the purpose of environmental restoration related to treatment or abatement of acid mine drainage from abandoned mines: *Provided further*, That such projects must be consistent with the purposes and priorities of the Surface Mining Control and Reclamation Act: [*Provided further*, That, in addition to the amount granted to the Commonwealth of Pennsylvania under sections 402 (g)(1) and 402(g)(5) of the Surface Mining Control and Reclamation Act (Act), an additional \$500,000 will be specifically used for

the purpose of conducting a demonstration project in accordance with section 401(c)(6) of the Act to determine the efficacy of improving water quality by removing metals from eligible waters polluted by acid mine drainage:] *Provided further*, That the State of Maryland may set aside the greater of \$1,000,000 or 10 percent of the total of the grants made available to the State under title IV of the Surface Mining Control and Reclamation Act of 1977, as amended (30 U.S.C. 1231 et seq.), if the amount set aside is deposited in an acid mine drainage abatement and treatment fund established under a State law, pursuant to which law the amount (together with all interest earned on the amount) is expended by the State to undertake acid mine drainage abatement and treatment projects, except that before any amounts greater than 10 percent of its title IV grants are deposited in an acid mine drainage abatement and treatment fund, the State of Maryland must first complete all Surface Mining Control and Reclamation Act priority one projects. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Unavailable Collections (in millions of dollars)

Identification code 14-5015-0-2-999	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	1,506	1,496	1,537
Receipts:			
02.00 Abandoned mine reclamation fees	284	285	292
02.20 Interest on late payment of coal mining reclamation fees		1	1
02.40 Earnings on investments	103	48	61
02.99 Total receipts and collections	387	334	354
04.00 Total: Balances and collections	1,893	1,830	1,891
Appropriations:			
05.00 Abandoned mine reclamation fund	-397	-293	-245
05.99 Total appropriations	-397	-293	-245
07.99 Balance, end of year	1,496	1,537	1,646

Program and Financing (in millions of dollars)

Identification code 14-5015-0-2-999	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Environmental restoration	225	207	175
00.02 Technology development and transfer	4	4	4
00.03 Financial management	6	6	6
00.04 Executive direction and administration	8	8	8
00.06 Transfer to UMWA Combined Benefits Fund	182	90	70
10.00 Total new obligations	425	315	263
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	54	49	43
22.00 New budget authority (gross)	398	294	245
22.10 Resources available from recoveries of prior year obligations	22	15	15
23.90 Total budgetary resources available for obligation	474	358	303
23.95 Total new obligations	-425	-315	-263
24.40 Unobligated balance carried forward, end of year	49	43	40
New budget authority (gross), detail:			
Discretionary:			
40.20 Appropriation (special fund)	216	204	175
Mandatory:			
60.20 Appropriation (special fund)	182	90	70
70.00 Total new budget authority (gross)	398	294	245
Change in obligated balances:			
72.40 Obligated balance, start of year	251	311	383
73.10 Total new obligations	425	315	263
73.20 Total outlays (gross)	-344	-228	-208
73.45 Recoveries of prior year obligations	-22	-15	-15
74.40 Obligated balance, end of year	311	383	423
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	162	57	49
86.93 Outlays from discretionary balances		81	89
86.97 Outlays from new mandatory authority	182	90	70
87.00 Total outlays (gross)	344	228	208

Net budget authority and outlays:				
89.00	Budget authority	398	294	245
90.00	Outlays	344	228	208

Memorandum (non-add) entries:				
92.01	Total investments, start of year: Federal securities:			
	Par value	1,845	1,865	1,983
92.02	Total investments, end of year: Federal securities:			
	Par value	1,865	1,983	2,129

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	397	293	244
90.00	Outlays	343	227	207

Environmental Restoration.—This activity funds those functions that contribute to reclaiming lands affected by past coal mining practices. Funds are used to restore land and water resources and the environment that have been degraded by mining prior to the passage of the Surface Mining Control and Reclamation Act (SMCRA).

This activity provides reclamation grants to qualified States. It also provides for the Federal reclamation program, which includes the Federally-administered emergency reclamation program, and for high priority projects in States that do not have a reclamation program.

Funding is also provided within this account, for the Appalachian Clean Streams Initiative.

Technology development and transfer.—This activity provides funding to enhance the technical skills that the States and Indian Tribes need to operate their reclamation programs. OSM conducts technical studies on mining and reclamation-related problems. This activity also provides resources for the Small operators assistance program.

Financial Management.—This activity provides funds to identify, notify, collect, and audit fees from coal operators for the Abandoned Mine Reclamation Fund. OSM seeks to maximize voluntary compliance with the SMCRA's reclamation fee provisions.

Executive direction and administration.—This activity provides funding for executive direction, general administrative support, and the acquisition of certain agency-wide common services such as rent, telephones, and postage.

The following are the key performance measures for the Abandoned Mine Reclamation Fund account:

PERFORMANCE MEASURES

	2001 actual	2002 est.	2003 est.
Number of acres reclaimed on all abandoned coal mine sites.	8,600	8,200	6,900

The 2001 accomplishment for acres reclaimed is a calculated estimate. The accomplishments reported to OSM by States and Tribes for 2001 (13,808 acres) included more than one year. Also, estimates generally reflect the full number of projects funded; actual project completion may occur one to three years after initiation.

Status of Funds (in millions of dollars)

Identification code 14-5015-0-2-999	2001 actual	2002 est.	2003 est.
Unexpended balance, start of year:			
0100 Treasury balance	2	1	5
U.S. Securities:			
0101 Par value	1,847	1,865	1,983
0102 Unrealized discounts	-36	-10	-25
0199 Total balance, start of year	1,811	1,856	1,963
Cash income during the year:			
Current law:			
Receipts:			
1200 Abandoned mine reclamation fund, reclamation fees	284	285	292
Offsetting receipts (proprietary):			
1220 Proprietary receipts		1	1

Offsetting receipts (intragovernmental):				
1240 Earnings on investments, Abandoned Mine Reclamation Fund	103	48	61	
1299 Income under present law	387	334	354	
Cash outgo during year:				
Current law:				
4500 Abandoned Mine Reclamation Fund	-343	-227	-207	
Unexpended balance, end of year:				
8700 Uninvested balance	1	5	5	
Federal securities:				
8701 Par value	1,866	1,983	2,129	
8702 Unrealized discounts	-10	-25	-25	
8799 Total balance, end of year	1,856	1,963	2,109	

Object Classification (in millions of dollars)

Identification code 14-5015-0-2-999	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	15	15	15
12.1 Civilian personnel benefits	4	4	4
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	2	2	2
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	54	53	53
31.0 Equipment	2	1	1
41.0 Grants, subsidies, and contributions	345	235	183
99.0 Direct obligations	424	312	260
99.5 Below reporting threshold	1	3	3
99.9 Total new obligations	425	315	263

Personnel Summary

Identification code 14-5015-0-2-999	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	216	222	222

WATER AND SCIENCE

BUREAU OF RECLAMATION

Appropriations to the Bureau are made from the general fund and special funds. The special funds are: (a) the Reclamation Fund, derived from repayments and other revenues from water and power users, receipts from the sale, lease, and rental of Federal lands, and certain oil and mineral revenues; (b) the Central Valley Project Restoration Fund, consisting of revenues from project beneficiaries; and (c) other sources such as the Colorado River Dam Fund, which generates revenue from the sale of Boulder Canyon power, and the recreation, entrance, and use fee account, consisting of fees collected pursuant to the Land and Water Conservation Fund Act of 1965, as amended. Non-Federal entities also advance funds for operation and maintenance and provide funds under the Contributed Funds Act. The 2003 estimates are summarized by source as follows (in millions of dollars):

	Total appropriations	General Fund	Reclamation Fund	CVP Restoration Fund	Other
Appropriated Funds:					
Water and Related Resources (net)	675	70	605		
Transferred from Water and Related Resources to Lower and Upper Colorado Basin Funds	65	65			
Policy and Administration	66		66		
Loan Program	0	0			
Central Valley Project Restoration Fund	49			49	
California Bay-Delta Restoration	15	15			
Gross Current Authority	870	150	671	49	
Central Valley Project Restoration Fund, current offset	-40			-40	
Net Current Appropriations	830	150	671	9	

BUREAU OF RECLAMATION—Continued

	Total appropriations	General Fund	CVP		
			Reclama- tion Fund	Restora- tion Fund	Other
San Gabriel Basin Restoration Fund (permanent discretionary)	5				5
Total	835	150	671	49	5
Permanent Funds:					
Loan Liquidating Account	-3				-3
Colorado River Dam Fund	80				80
Total Permanent Appropriations	82				82
Grand Total	912	150	671	9	82

Federal Funds

General and special funds:

The following appropriations shall be expended to execute authorized functions of the Bureau of Reclamation:

WATER AND RELATED RESOURCES
(INCLUDING TRANSFER OF FUNDS)

For management, development, and restoration of water and related natural resources and for related activities, including the operation, maintenance, and rehabilitation of reclamation and other facilities, participation in fulfilling related Federal responsibilities to Native Americans, and related grants to, and cooperative and other agreements with, State and local governments, Indian tribes, and others, **[\$762,531,000] \$739,705,000**, to remain available until expended, of which **[\$14,649,000] \$31,400,000** shall be available for transfer to the Upper Colorado River Basin Fund and **[\$31,442,000] \$34,327,000** shall be available for transfer to the Lower Colorado River Basin Development Fund; of which such amounts as may be necessary may be advanced to the Colorado River Dam Fund; of which **[\$8,000,000] \$4,600,000** shall be for on-reservation water development, feasibility studies, and related administrative costs under Public Law 106-163; and of which not more than \$500,000 is for high priority projects which shall be carried out by the Youth Conservation Corps, as authorized by 16 U.S.C. 1706: Provided, That such transfers may be increased or decreased within the overall appropriation under this heading: *Provided further*, That of the total appropriated, the amount for program activities that can be financed by the Reclamation Fund or the Bureau of Reclamation special fee account established by 16 U.S.C. 4601-6a(i) shall be derived from that Fund or account: *Provided further*, That funds contributed under 43 U.S.C. 395 are available until expended for the purposes for which contributed: *Provided further*, That funds advanced under 43 U.S.C. 397a shall be credited to this account and are available until expended for the same purposes as the sums appropriated under this heading: *Provided further*, That \$12,000,000 of the funds appropriated herein shall be deposited in the San Gabriel Basin Restoration Fund established by section 110 of division B, title I of Public Law 106-554, of which \$1,000,000 shall be for remediation in the Central Basin Municipal Water District: *Provided further*, That funds available for expenditure for the Departmental Irrigation Drainage Program may be expended by the Bureau of Reclamation for site remediation on a non-reimbursable basis: *Provided further*, That section 301 of Public Law 102-250, Reclamation States Emergency Drought Relief Act of 1991, as amended, is amended further by inserting **["2001, and 2002"] "2002, and 2003"** in lieu of "and **[2001]**": *Provided further*, That of such funds, not more than \$1,500,000 shall be available to the Secretary for completion of a feasibility study for the Santa Fe-Pojoaque Regional Water System, New Mexico: *Provided further*, That the study shall be completed by September 30, 2002. *(Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.)*

For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Water and Related Resources", \$30,259,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38. (Emergency Supplemental Act, 2002.)

Program and Financing (in millions of dollars)		2001 actual	2002 est.	2003 est.
Identification code 14-0680-0-1-301				
Obligations by program activity:				
Direct Program:				
00.01	Facility Operations	134	203	164
00.02	Facility Maintenance and Rehabilitation	145	170	175
00.03	Water and Energy Management and Development	260	288	202
00.04	Fish and Wildlife Management and Development	80	119	94
00.05	Land Management and Development	34	35	40
01.00	Total Direct Program	653	815	675
09.01	Reimbursable program	209	197	186
10.00	Total new obligations	862	1,012	861
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	56	67	
22.00	New budget authority (gross)	809	945	861
22.10	Resources available from recoveries of prior year obligations	65		
23.90	Total budgetary resources available for obligation	930	1,012	861
23.95	Total new obligations	-862	-1,012	-861
24.40	Unobligated balance carried forward, end of year	67		
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation (General Fund)	133	105	135
Appropriation (special fund):				
40.20	Appropriation (terrorism supplemental, special fund)		30	
40.20	Appropriation (special fund)	560	671	605
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
41.00	Transferred to other accounts	-41	-58	-65
43.00	Appropriation (total discretionary)	651	748	675
Spending authority from offsetting collections:				
68.00	(cash)	163	197	186
68.10	Change in uncollected customer payments from Federal sources (unexpired)	-5		
68.90	Spending authority from offsetting collections (total discretionary)	158	197	186
70.00	Total new budget authority (gross)	809	945	861
Change in obligated balances:				
72.40	Obligated balance, start of year	289	282	371
73.10	Total new obligations	862	1,012	861
73.20	Total outlays (gross)	-809	-923	-896
73.45	Recoveries of prior year obligations	-65		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	5		
74.40	Obligated balance, end of year	282	371	336
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	464	573	524
86.93	Outlays from discretionary balances	345	350	372
87.00	Total outlays (gross)	809	923	896
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-115	-152	-138
88.40	Non-Federal sources	-48	-45	-48
88.90	Total, offsetting collections (cash)	-163	-197	-186
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	5		
Net budget authority and outlays:				
89.00	Budget authority	651	748	675
90.00	Outlays	646	726	710
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)				
		2001 actual	2002 est.	2003 est.
Net budget authority and outlays:				
89.00	Budget authority	638	735	661

90.00	Outlays	633	713	696
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Status of Direct Loans (in millions of dollars)

Identification code 14-0680-0-1-301	2001 actual	2002 est.	2003 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	3	2	2
1251	Repayments: Repayments and prepayments	-1		
1290	Outstanding, end of year	2	2	2

The water and related resources account supports the development, management, and restoration of water and related natural resources in the 17 Western States. The account includes funds for operating and maintaining existing facilities to obtain the greatest overall level of benefits, to protect public safety, and to conduct studies on ways to improve the use of water and related natural resources. Work will be done in partnership and cooperation with non-Federal entities and other Federal agencies.

Object Classification (in millions of dollars)

Identification code 14-0680-0-1-301	2001 actual	2002 est.	2003 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	110	120	124
11.3	Other than full-time permanent	5	5	5
11.5	Other personnel compensation	9	9	9
11.9	Total personnel compensation	124	134	138
12.1	Civilian personnel benefits	36	36	38
21.0	Travel and transportation of persons	12	12	12
22.0	Transportation of things	3	3	3
23.1	Rental payments to GSA	2	2	2
23.3	Communications, utilities, and miscellaneous charges	7	7	7
25.2	Other services	177	328	179
25.3	Other purchases of goods and services from Government accounts	2	2	2
26.0	Supplies and materials	25	25	26
31.0	Equipment	13	13	13
32.0	Land and structures	92	92	93
41.0	Grants, subsidies, and contributions	158	159	160
42.0	Insurance claims and indemnities	1	1	1
99.0	Direct obligations	652	814	674
99.0	Reimbursable obligations	209	197	186
99.5	Below reporting threshold	1	1	1
99.9	Total new obligations	862	1,012	861

Personnel Summary

Identification code 14-0680-0-1-301	2001 actual	2002 est.	2003 est.	
Direct:				
1001	Total compensable workyears: Full-time equivalent employment	2,112	2,201	2,208
Reimbursable:				
2001	Total compensable workyears: Full-time equivalent employment	573	537	537
Allocation account:				
Total compensable workyears:				
Full-time equivalent employment:				
3001	Full-time equivalent employment	320	327	327
3001	Full-time equivalent employment	35	40	40

CALIFORNIA BAY-DELTA RESTORATION
(INCLUDING TRANSFER OF FUNDS)

For carrying out authorized activities that are in accord with the CALFED Bay-Delta Program, including activities that would improve fish and wildlife habitat, water supply reliability, and water quality, consistent with plans to be approved by the Secretary of the Interior, \$15,000,000, to remain available until expended, of which such amounts as may be necessary to carry out such activities may be

transferred to appropriate accounts of other participating Federal agencies to carry out authorized purposes: Provided, That funds appropriated herein may be used for the Federal share of the costs of CALFED Program management.

Program and Financing (in millions of dollars)

Identification code 14-0687-0-1-301	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
10.00	Total new obligations	21	45	15
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	52	45	
22.00	New budget authority (gross)			15
22.10	Resources available from recoveries of prior year obligations	14		
23.90	Total budgetary resources available for obligation	66	45	15
23.95	Total new obligations	-21	-45	-15
24.40	Unobligated balance carried forward, end of year	45		

New budget authority (gross), detail:

Discretionary:				
40.00	Appropriation			15

Change in obligated balances:

72.40	Obligated balance, start of year	102	65	
73.10	Total new obligations	21	45	15
73.20	Total outlays (gross)	-44	-110	-5
73.45	Recoveries of prior year obligations	-14		
74.40	Obligated balance, end of year	65		10

Outlays (gross), detail:

86.90	Outlays from new discretionary authority			5
86.93	Outlays from discretionary balances	44	110	
87.00	Total outlays (gross)	44	110	5

Net budget authority and outlays:

89.00	Budget authority			15
90.00	Outlays	44	110	5

This account funds activities that are consistent with the CALFED Bay-Delta Program, a collaborative effort involving eighteen State and Federal agencies and representatives of California's urban, agricultural, and environmental communities. The goals of the program are to improve fish and wildlife habitat, water supply reliability, and water quality in the San Francisco Bay-San Joaquin River Delta, the principal hub of California's water distribution system. In 2003, funds are requested in this account for the Federal share of the costs of the Environmental Water Account, Storage Program activities, and CALFED Program management.

Object Classification (in millions of dollars)

Identification code 14-0687-0-1-301	2001 actual	2002 est.	2003 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	1		
25.2	Other services	16	41	11
41.0	Grants, subsidies, and contributions	3	3	3
99.0	Direct obligations	20	44	14
99.5	Below reporting threshold	1	1	1
99.9	Total new obligations	21	45	15

Personnel Summary

Identification code 14-0687-0-1-301	2001 actual	2002 est.	2003 est.	
1001	Total compensable workyears: Full-time equivalent employment	27		10

General and special funds—Continued

RECLAMATION FUND

Unavailable Collections (in millions of dollars)

Identification code 14-5000-0-2-301	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	2,412	2,980	3,024
Receipts:			
02.20 Miscellaneous interest	11	6	6
02.21 Royalties on natural resources	822	533	536
02.22 Sale of timber and other products	9	11	11
02.23 Other proprietary receipts from the public	308	132	135
02.24 Sale of public domain	2		
02.25 Sale of electric energy, Bonneville		41	23
02.26 Sale of power and other utilities	223	242	219
02.80 Construction, rehabilitation, operation and maintenance (WAPA), offsetting collections	253	645	645
02.99 Total receipts and collections	1,628	1,610	1,575
04.00 Total: Balances and collections	4,040	4,590	4,599
Appropriations:			
05.00 Water and related resources	-603	-701	-605
05.01 Policy and administration	-50	-53	-67
05.02 Construction, rehabilitation, operation and maintenance (WAPA)	-407	-812	-804
05.99 Total appropriations	-1,060	-1,566	-1,476
07.99 Balance, end of year	2,980	3,024	3,123

This fund is derived from repayments and other revenues from water and power users, together with certain receipts from the sale, lease, and rental of Federal lands in the 17 Western States and certain oil and mineral revenues, and is available for expenditure pursuant to appropriation acts.

POLICY AND ADMINISTRATION

For necessary expenses of policy, administration, and related functions in the office of the Commissioner, the Denver office, and offices in the five regions of the Bureau of Reclamation, to remain available until expended, **[\$52,968,000] \$66,238,000**, to be derived from the Reclamation Fund and be nonreimbursable as provided in 43 U.S.C. 377: *Provided*, That no part of any other appropriation in this Act shall be available for activities or functions budgeted as policy and administration expenses. (*Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 14-5065-0-2-301	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	62	66	67
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	2	
22.00 New budget authority (gross)	61	64	67
22.10 Resources available from recoveries of prior year obligations	2		
23.90 Total budgetary resources available for obligation	64	66	67
23.95 Total new obligations	-62	-66	-67
24.40 Unobligated balance carried forward, end of year	2		
New budget authority (gross), detail:			
Discretionary:			
40.20 Appropriation (special fund)	61	64	67
Change in obligated balances:			
72.40 Obligated balance, start of year	8	8	5
73.10 Total new obligations	62	66	67
73.20 Total outlays (gross)	-59	-69	-67
73.45 Recoveries of prior year obligations	-2		
74.40 Obligated balance, end of year	8	5	5
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	50	59	62
86.93 Outlays from discretionary balances	9	10	5

87.00 Total outlays (gross)	59	69	67
Net budget authority and outlays:			
89.00 Budget authority	61	64	67
90.00 Outlays	59	69	67

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	50	53	55
90.00 Outlays	48	58	55

The policy and administration account supports the direction and management of all reclamation activities as performed by the Commissioner's office and the five regional offices. Charges attributable to individual projects or specific beneficiaries, including the costs of related administrative and technical services, are covered under other Bureau of Reclamation accounts.

Object Classification (in millions of dollars)

Identification code 14-5065-0-2-301	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	20	22	23
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	21	23	24
12.1 Civilian personnel benefits	13	14	15
21.0 Travel and transportation of persons	3	3	3
23.2 Rental payments to others	1	1	1
25.2 Other services	20	21	20
25.3 Other purchases of goods and services from Government accounts	2	2	2
31.0 Equipment	1	1	1
41.0 Grants, subsidies, and contributions	1	1	1
99.9 Total new obligations	62	66	67

Personnel Summary

Identification code 14-5065-0-2-301	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	314	335	335

CENTRAL VALLEY PROJECT RESTORATION FUND

For carrying out the programs, projects, plans, and habitat restoration, improvement, and acquisition provisions of the Central Valley Project Improvement Act, **[\$55,039,000] \$48,904,000**, to be derived from such sums as may be collected in the Central Valley Project Restoration Fund pursuant to sections 3407(d), 3404(c)(3), 3405(f), and 3406(c)(1) of Public Law 102-575, to remain available until expended: *Provided*, That the Bureau of Reclamation is directed to assess and collect the full amount of the additional mitigation and restoration payments authorized by section 3407(d) of Public Law 102-575. (*Energy and Water Development Appropriations Act, 2002.*)

Unavailable Collections (in millions of dollars)

Identification code 14-5173-0-2-301	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	21	18	18
Receipts:			
02.20 Total discretionary and mandatory collections	35	55	49
04.00 Total: Balances and collections	56	73	67
Appropriations:			
05.00 Central Valley Project restoration fund	-38	-55	-49
07.99 Balance, end of year	18	18	18

Program and Financing (in millions of dollars)

Identification code 14-5173-0-2-301	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	43	56	49
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		1	
22.00 New budget authority (gross)	38	55	49
22.10 Resources available from recoveries of prior year obligations	5		
23.90 Total budgetary resources available for obligation	43	56	49
23.95 Total new obligations	-43	-56	-49
24.40 Unobligated balance carried forward, end of year	1		
New budget authority (gross), detail:			
Discretionary:			
Appropriation (special fund):			
40.20 Appropriation (special fund, restoration fund, other)	10	10	9
40.20 Appropriation (special fund, restoration fund, 3407(d))	28	45	40
43.00 Appropriation (total discretionary)	38	55	49
Change in obligated balances:			
72.40 Obligated balance, start of year	39	40	11
73.10 Total new obligations	43	56	49
73.20 Total outlays (gross)	-37	-85	-50
73.45 Recoveries of prior year obligations	-5		
74.40 Obligated balance, end of year	40	11	10
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		44	39
86.93 Outlays from discretionary balances	37	41	11
87.00 Total outlays (gross)	37	85	50
Net budget authority and outlays:			
89.00 Budget authority	38	55	49
90.00 Outlays	37	85	50

This fund was established to carry out the provisions of the Central Valley Project Improvement Act. Resources are derived from donations, revenues from voluntary water transfers and tiered water pricing, and Friant Division surcharges. The account is also financed through additional mitigation and restoration payments collected on an annual basis from project beneficiaries.

Object Classification (in millions of dollars)

Identification code 14-5173-0-2-301	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
25.2 Other services	29	41	34
32.0 Land and structures	1	1	1
41.0 Grants, subsidies, and contributions	11	12	12
99.0 Direct obligations	42	55	48
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	43	56	49

Personnel Summary

Identification code 14-5173-0-2-301	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	27	23	23

COLORADO RIVER DAM FUND, BOULDER CANYON PROJECT

Unavailable Collections (in millions of dollars)

Identification code 14-5656-0-2-301	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			

Receipts:			
02.20 Revenues, Colorado River Dam fund, Boulder Canyon project, Interior	66	80	80
Appropriations:			
05.00 Colorado River dam fund, Boulder Canyon project	-66	-80	-80
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 14-5656-0-2-301	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Facility operations	29	50	41
00.02 Facility maintenance and rehabilitation	4	6	8
00.03 Payment of interest	12	12	12
00.04 Payments to Arizona and Nevada	1	1	1
00.05 Western Area Power Administration	4	4	4
00.06 Payment to Lower Colorado River Basin Development Fund	15	13	13
10.00 Total new obligations	65	86	79
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	11	10	1
22.00 New budget authority (gross)	66	80	80
22.40 Capital transfer to general fund	-2	-3	-1
23.90 Total budgetary resources available for obligation	75	87	80
23.95 Total new obligations	-65	-86	-79
24.40 Unobligated balance carried forward, end of year	10	1	1
New budget authority (gross), detail:			
Mandatory:			
60.20 Appropriation (special fund)	66	80	80
Change in obligated balances:			
72.40 Obligated balance, start of year	6	5	34
73.10 Total new obligations	65	86	79
73.20 Total outlays (gross)	-66	-57	-79
74.40 Obligated balance, end of year	5	34	34
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	49	42	44
86.98 Outlays from mandatory balances	17	15	35
87.00 Total outlays (gross)	66	57	79
Net budget authority and outlays:			
89.00 Budget authority	66	80	80
90.00 Outlays	66	57	79

Revenues from the sale of Boulder Canyon power are placed in this fund and are available without further appropriation to pay the operation and maintenance costs of the project including those of the Western Area Power Administration for power marketing, transmission, operation, maintenance, and rehabilitation; to pay interest on amounts advanced from the Treasury; to pay annually not more than \$300,000 each to Arizona and Nevada; and to repay advances from the Treasury for construction and other purposes. The rates charged for Boulder Canyon power also include certain amounts for transfer to the Lower Colorado River Basin Development Fund.

Object Classification (in millions of dollars)

Identification code 14-5656-0-2-301	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	12	13	13
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	13	14	14
12.1 Civilian personnel benefits	4	3	3
25.2 Other services	31	52	45
26.0 Supplies and materials	2	2	2
31.0 Equipment	1	1	1
41.0 Grants, subsidies, and contributions	1	1	1
43.0 Interest and dividends	12	12	12
99.0 Direct obligations	64	85	78

General and special funds—Continued

COLORADO RIVER DAM FUND, BOULDER CANYON PROJECT—Continued

Object Classification (in millions of dollars)—Continued

Identification code 14-5656-0-2-301	2001 actual	2002 est.	2003 est.
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	65	86	79

Personnel Summary

Identification code 14-5656-0-2-301	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	212	202	202

DUTCH JOHN COMMUNITY ASSISTANCE

Program and Financing (in millions of dollars)

Identification code 14-5455-0-2-806	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	1		
Budgetary resources available for obligation:			
23.95 Total new obligations	-1		
Change in obligated balances:			
73.10 Total new obligations	1		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

This account contains receipts from the sale of properties as authorized by P.L. 105-326. Receipts are available without further appropriation in order to make semi-annual payments to Daggett County, Utah, to be used by the County for purposes associated with the provision of governmental and community services to the Dutch John community.

SAN GABRIEL BASIN RESTORATION FUND

Unavailable Collections (in millions of dollars)

Identification code 14-5483-0-2-301	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.21 Non-Federal Contributions, San Gabriel Basin Restoration Fund	9	5	
02.42 Federal payments, San Gabriel Basin Restoration Fund	23		
02.99 Total receipts and collections	23	9	5
Appropriations:			
05.00 San Gabriel basin restoration fund	-23	-9	-5
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 14-5483-0-2-301	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)		44	5
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		23	
22.00 New budget authority (gross)	23	21	5
23.90 Total budgetary resources available for obligation	23	44	5
23.95 Total new obligations		-44	-5
24.40 Unobligated balance carried forward, end of year	23		

New budget authority (gross), detail:

Discretionary:			
Appropriation (special fund):			
40.20 Appropriation (special fund)	23		
40.20 Appropriation, permanent (special fund)	9	5	
42.00 Transferred from Water & Related Resources	12		
43.00 Appropriation (total discretionary)	23	21	5

Change in obligated balances:

72.40 Obligated balance, start of year			19
73.10 Total new obligations	44	5	
73.20 Total outlays (gross)	-25	-15	
74.40 Obligated balance, end of year	19		9

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	9	2	
86.93 Outlays from discretionary balances	16	12	
87.00 Total outlays (gross)	25	15	

Net budget authority and outlays:

89.00 Budget authority	23	21	5
90.00 Outlays		25	15

The amounts in this fund will be used to design, construct, operate and maintain water quality projects to remediate contamination of groundwater in the San Gabriel and Central Basins of Southern California, contingent on receipt of local cost share. Administration of the fund was transferred from the Secretary of the Army to the Secretary of the Interior by Public Law 107-66.

Public enterprise funds:

LOWER COLORADO RIVER BASIN DEVELOPMENT FUND

Program and Financing (in millions of dollars)

Identification code 14-4079-0-3-301	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Facility operation	72	133	73
09.02 Water & energy management & development	49	103	58
09.03 Land management & development			1
10.00 Total new obligations	121	236	132
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	31	73	5
22.00 New budget authority (gross)	164	169	133
22.10 Resources available from recoveries of prior year obligations	1		
22.40 Capital transfer to general fund	-1	-1	-1
23.90 Total budgetary resources available for obligation	195	241	137
23.95 Total new obligations	-121	-236	-132
24.40 Unobligated balance carried forward, end of year	73	5	5

New budget authority (gross), detail:

Discretionary:			
Transferred from Water & related resources			
42.00	38	31	34
Mandatory:			
69.00 Offsetting collections (cash)	118	138	99
69.10 Change in uncollected customer payments from Federal sources (unexpired)	8		
69.90 Spending authority from offsetting collections (total mandatory)	126	138	99
70.00 Total new budget authority (gross)	164	169	133

Change in obligated balances:

72.40 Obligated balance, start of year	14	5	67
73.10 Total new obligations	121	236	132
73.20 Total outlays (gross)	-121	-174	-146
73.45 Recoveries of prior year obligations	-1		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-8		
74.40 Obligated balance, end of year	5	67	53

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	31	19	20
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86.93	Outlays from discretionary balances	12	7	12
86.97	Outlays from new mandatory authority	45	78	54
86.98	Outlays from mandatory balances	33	71	60
87.00	Total outlays (gross)	121	174	146
Offsets:				
Against gross budget authority and outlays:				
88.40	Offsetting collections (cash) from: Non-Federal sources	-118	-138	-99
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-8		
Net budget authority and outlays:				
89.00	Budget authority	38	31	34
90.00	Outlays	3	36	47

Ongoing construction costs of the Central Arizona project are financed through appropriations transferred to this fund. Revenues from the operation of project facilities are available without further appropriation for operation and maintenance expenses, for capital repayment to the general fund, and for the non-Federal share of salinity control projects. The rates charged for Boulder Canyon power include certain amounts for transfer to this fund.

Object Classification (in millions of dollars)

Identification code 14-4079-0-3-301	2001 actual	2002 est.	2003 est.	
Reimbursable obligations:				
11.1	Personnel compensation: Full-time permanent	2	3	3
12.1	Civilian personnel benefits	1	1	1
25.2	Other services	115	229	125
32.0	Land and structures	2	2	2
99.0	Reimbursable obligations	120	235	131
99.5	Below reporting threshold	1	1	1
99.9	Total new obligations	121	236	132

Personnel Summary

Identification code 14-4079-0-3-301	2001 actual	2002 est.	2003 est.	
2001	Total compensable workyears: Full-time equivalent employment	26	28	28

UPPER COLORADO RIVER BASIN FUND

Program and Financing (in millions of dollars)

Identification code 14-4081-0-3-301	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Reimbursable programs:				
09.01	Facility operation	21	24	22
09.02	Facility maintenance & rehabilitation	7	10	9
09.03	Reimbursable program	5	23	37
09.04	Fish & wildlife management & development	16	19	17
09.05	Land management & development	1	1	3
09.06	Payment to Ute Indian Tribe	2	2	2
09.07	Interest on investment	4	4	4
10.00	Total new obligations	56	83	94
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	16	13	10
22.00	New budget authority (gross)	56	151	97
22.10	Resources available from recoveries of prior year obligations	3		
22.40	Capital transfer to general fund	-5	-71	-3
23.90	Total budgetary resources available for obligation	70	93	104
23.95	Total new obligations	-56	-83	-94
24.40	Unobligated balance carried forward, end of year	13	10	10

New budget authority (gross), detail:

42.00	Discretionary: Transferred from Water & related resources	2	15	31
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69.00	Mandatory: Offsetting collections (cash)	54	136	66
70.00	Total new budget authority (gross)	56	151	97

Change in obligated balances:

72.40	Obligated balance, start of year	120	117	149
73.10	Total new obligations	56	83	94
73.20	Total outlays (gross)	-56	-51	-119
73.45	Recoveries of prior year obligations	-3		
74.40	Obligated balance, end of year	117	149	124

Outlays (gross), detail:

86.90	Outlays from new discretionary authority		9	19
86.93	Outlays from discretionary balances	2	5	6
86.97	Outlays from new mandatory authority	13		27
86.98	Outlays from mandatory balances	41	37	67
87.00	Total outlays (gross)	56	51	119

Offsets:

Against gross budget authority and outlays:				
88.40	Offsetting collections (cash) from: Non-Federal sources	-54	-136	-66

Net budget authority and outlays:

89.00	Budget authority	2	15	31
90.00	Outlays	2	-85	53

Ongoing construction costs of the Colorado River Storage project are financed through appropriations transferred to this account. Revenues from the operation of project facilities are available without further appropriation for operation and maintenance expenses and for capital repayment to the general fund.

Object Classification (in millions of dollars)

Identification code 14-4081-0-3-301	2001 actual	2002 est.	2003 est.	
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent	9	11	11
11.5	Other personnel compensation	1	1	1
11.9	Total personnel compensation	10	12	12
12.1	Civilian personnel benefits	2	2	2
21.0	Travel and transportation of persons	1	1	1
25.2	Other services	27	52	63
26.0	Supplies and materials	2	2	2
31.0	Equipment	1	1	1
41.0	Grants, subsidies, and contributions	8	8	8
43.0	Interest and dividends	4	4	4
99.0	Reimbursable obligations	55	82	93
99.5	Below reporting threshold	1	1	1
99.9	Total new obligations	56	83	94

Personnel Summary

Identification code 14-4081-0-3-301	2001 actual	2002 est.	2003 est.	
2001	Total compensable workyears: Full-time equivalent employment	148	173	173

Intragovernmental funds:

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 14-4524-0-4-301	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
09.01	Information resources management	24	18	18
09.03	Administrative expenses	192	199	198
09.04	Technical expenses	85	88	87
10.00	Total new obligations	301	305	303

Budgetary resources available for obligation:

21.40	Unobligated balance carried forward, start of year	30	27	16
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Intragovernmental funds—Continued

WORKING CAPITAL FUND—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 14-4524-0-4-301	2001 actual	2002 est.	2003 est.
22.00 New budget authority (gross)	292	294	303
22.10 Resources available from recoveries of prior year obligations	7		
23.90 Total budgetary resources available for obligation	329	321	319
23.95 Total new obligations	-301	-305	-303
24.40 Unobligated balance carried forward, end of year	27	16	16
New budget authority (gross), detail:			
Spending authority from offsetting collections:			
Discretionary:			
68.00 (cash)	293	294	303
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-1		
68.90 Spending authority from offsetting collections (total discretionary)	292	294	303
Change in obligated balances:			
72.40 Obligated balance, start of year	18	14	59
73.10 Total new obligations	301	305	303
73.20 Total outlays (gross)	-298	-261	-301
73.45 Recoveries of prior year obligations	-7		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	1		
74.40 Obligated balance, end of year	14	59	61
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	278	235	242
86.93 Outlays from discretionary balances	20	26	59
87.00 Total outlays (gross)	298	261	301
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-293	-294	-303
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	1		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	6	-33	-2

This revolving fund enables the Bureau of Reclamation to recover the costs of the administrative and technical services, and facilities used by its programs and by others, and accumulates funds to finance capital equipment purchases.

Object Classification (in millions of dollars)

Identification code 14-4524-0-4-301	2001 actual	2002 est.	2003 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	137	142	146
11.3 Other than full-time permanent	4	4	4
11.5 Other personnel compensation	4	4	5
11.9 Total personnel compensation	145	150	155
12.1 Civilian personnel benefits	29	29	29
21.0 Travel and transportation of persons	4	4	4
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	17	17	17
23.3 Communications, utilities, and miscellaneous charges	5	5	5
24.0 Printing and reproduction	1	1	1
25.2 Other services	80	79	72
26.0 Supplies and materials	6	6	6
31.0 Equipment	11	11	11
99.0 Reimbursable obligations	299	303	301
99.5 Below reporting threshold	2	2	2
99.9 Total new obligations	301	305	303

Personnel Summary

Identification code 14-4524-0-4-301	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	1,800	1,783	1,783

Credit accounts:

BUREAU OF RECLAMATION LOAN PROGRAM ACCOUNT

【For the cost of direct loans and/or grants, \$7,215,000, to remain available until expended, as authorized by the Small Reclamation Projects Act of August 6, 1956, as amended (43 U.S.C. 422a-422l): *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974, as amended: *Provided further*, That these funds are available to subsidize gross obligations for the principal amount of direct loans not to exceed \$26,000,000.】

【In addition, for administrative expenses necessary to carry out the program for direct loans and/or grants, \$280,000, to remain available until expended: *Provided*, That of the total sums appropriated, the amount of program activities that can be financed by the Reclamation Fund shall be derived from that Fund.】 (*Energy and Water Development Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 14-0685-0-1-301	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Water and energy management and development (direct loans)	9	8	
00.05 Upward reestimate of direct loan subsidy	3		
10.00 Total new obligations	12	8	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	
22.00 New budget authority (gross)	12	7	
23.90 Total budgetary resources available for obligation	13	8	
23.95 Total new obligations	-12	-8	
24.40 Unobligated balance carried forward, end of year	1		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation (general fund)	9	7	
Mandatory:			
60.00 Appropriation	3		
70.00 Total new budget authority (gross)	12	7	
Change in obligated balances:			
72.40 Obligated balance, start of year	7	7	3
73.10 Total new obligations	12	8	
73.20 Total outlays (gross)	-13	-12	-3
74.40 Obligated balance, end of year	7	3	
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2	4	
86.93 Outlays from discretionary balances	8	8	3
86.97 Outlays from new mandatory authority	3		
87.00 Total outlays (gross)	13	12	3
Net budget authority and outlays:			
89.00 Budget authority	12	7	
90.00 Outlays	13	12	3

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 14-0685-0-1-301	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Reclamation Loan Program	27	26	
115901 Total direct loan levels	27	26	
Direct loan subsidy (in percent):			
132001 Direct loan levels	33.33	26.92	0.00

132901	Weighted average subsidy rate	33.33	26.92	0.00
	Direct loan subsidy budget authority:			
133001	Reclamation Loan Program	9	7	
133901	Total subsidy budget authority	9	7	
	Direct loan subsidy outlays:			
134001	Reclamation Loan Program	10	12	3
134901	Total subsidy outlays	10	12	3
	Direct loan upward reestimate subsidy budget authority:			
135001	Direct loan levels	3		
135901	Total upward reestimate budget authority	3		
	Direct loan upward reestimate subsidy outlays:			
136001	Direct loan levels	3		
136901	Total upward reestimate outlays	3		
	Direct loan downward reestimate subsidy budget authority:			
137001	Direct loan levels		-8	
137901	Total downward reestimate budget authority		-8	
	Direct loan downward reestimate subsidy outlays:			
138001	Direct loan levels		-8	
138901	Total downward reestimate subsidy outlays		-8	

Under the Small Reclamation Projects Act, loans and grants can be made to non-Federal organizations for construction of small water resource projects.

As required by the Federal Credit Reform Act of 1990, the loan program account records the subsidy costs associated with the direct loans obligated in 1992 and beyond, as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

No funds are requested for the Bureau of Reclamation Loan Program or Loan Program Administration for fiscal year 2003.

Object Classification (in millions of dollars)

Identification code 14-0685-0-1-301	2001 actual	2002 est.	2003 est.
Direct obligations:			
33.0	Investments and loans	2	
41.0	Grants, subsidies, and contributions	9	7
99.0	Direct obligations	11	7
99.5	Below reporting threshold	1	1
99.9	Total new obligations	12	8

Personnel Summary

Identification code 14-0685-0-1-301	2001 actual	2002 est.	2003 est.
1001	Total compensable workyears: Full-time equivalent employment	5	3

BUREAU OF RECLAMATION DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 14-4547-0-3-301	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Direct loans	28	16	9
00.02	Interest paid to Treasury		8	
00.91	Direct Program by Activities—Subtotal (1 level)	28	24	9
08.02	Downward reestimate of subsidy		7	
08.04	Interest on downward reestimates		1	
08.91	Direct Program by Activities—Subtotal (1 level)		8	
10.00	Total new obligations	28	32	9
Budgetary resources available for obligation:				
22.00	New financing authority (gross)	28	32	9
23.95	Total new obligations	-28	-32	-9

New financing authority (gross), detail:

Mandatory:				
67.10	Authority to borrow	13	17	
69.00	Offsetting collections (cash)	46	15	9
69.47	Portion applied to repay debt	-31		
69.90	Spending authority from offsetting collections (total mandatory)	15	15	9
70.00	Total new financing authority (gross)	28	32	9

Change in obligated balances:

72.40	Obligated balance, start of year	12	16	
73.10	Total new obligations	28	32	9
73.20	Total financing disbursements (gross)	-25	-48	-9
74.40	Obligated balance, end of year	16		
87.00	Total financing disbursements (gross)	25	48	9

Offsets:

Against gross financing authority and financing disbursements:				
Offsetting collections (cash) from:				
88.00	Federal sources	-13	-12	-3
88.25	Interest on uninvested funds	-2		
Non-Federal sources:				
88.40	Repayments of principal	-31	-1	-3
88.40	Interest received on loans		-2	-3
88.90	Total, offsetting collections (cash)	-46	-15	-9

Net financing authority and financing disbursements:

89.00	Financing authority	-18	17
90.00	Financing disbursements	-22	33

Status of Direct Loans (in millions of dollars)

Identification code 14-4547-0-3-301	2001 actual	2002 est.	2003 est.	
Position with respect to appropriations act limitation on obligations:				
1111	Limitation on direct loans	27	26	
1142	Unobligated direct loan limitation (-)		-10	
1150	Total direct loan obligations	27	16	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	166	160	207
1231	Disbursements: Direct loan disbursements	25	48	9
1251	Repayments: Repayments and prepayments	-31	-1	-3
1290	Outstanding, end of year	160	207	213

As required by the Federal Credit Reform Act of 1990, the direct loan financing account is a non-budgetary account for recording all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in budget totals.

Balance Sheet (in millions of dollars)

Identification code 14-4547-0-3-301	2000 actual	2001 actual	2002 est.	2003 est.	
ASSETS:					
Investments in US securities:					
1106	Federal assets: Receivables, net	7	7	3	
Net value of assets related to post-1991 direct loans receivable:					
1401	Direct loans receivable, gross	166	160	207	213
1405	Allowance for subsidy cost (-)	-76	-89	-101	-104
1499	Net present value of assets related to direct loans	90	71	106	109
1999	Total assets	97	78	109	109
LIABILITIES:					
Federal liabilities:					
2103	Debt	90	71	106	109
2105	Other liabilities	7	7	3	
2999	Total liabilities	97	78	109	109
NET POSITION:					
3100	Appropriated capital				
3999	Total net position				

Credit accounts—Continued**BUREAU OF RECLAMATION DIRECT LOAN FINANCING ACCOUNT—
Continued****Balance Sheet (in millions of dollars)—Continued**

Identification code 14-4547-0-3-301	2000 actual	2001 actual	2002 est.	2003 est.
4999 Total liabilities and net position	97	78	109	109

BUREAU OF RECLAMATION LOAN LIQUIDATING ACCOUNT**Program and Financing (in millions of dollars)**

Identification code 14-0667-0-1-301	2001 actual	2002 est.	2003 est.
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)		4	3
69.47 Portion applied to repay debt		-4	-3
69.90 Spending authority from offsetting collections (total mandatory)			
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources		-4	-3
Net budget authority and outlays:			
89.00 Budget authority		-4	-3
90.00 Outlays		-4	-3

Status of Direct Loans (in millions of dollars)

Identification code 14-0667-0-1-301	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	63	50	46
1251 Repayments: Repayments and prepayments	-13	-4	-3
1290 Outstanding, end of year	50	46	43

As required by the Federal Credit Reform Act of 1990, the loan liquidating account records all cash flows to and from the Government resulting from direct loans obligated prior to 1992. All loans obligated in 1992 or thereafter are recorded in loan program account No. 14-0685-0-1-301 and loan program financing account No. 14-4547-0-3-301.

Statement of Operations (in millions of dollars)

Identification code 14-0667-0-1-301	2000 actual	2001 actual	2002 est.	2003 est.
0111 Revenue			4	3
0112 Expense			-4	-3
0115 Net income or loss (-)				
0195 Total income or loss (-)				

Balance Sheet (in millions of dollars)

Identification code 14-0667-0-1-301	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1601 Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receiv- able: Direct loans, gross	63	50	46	43
1999 Total assets	63	50	46	43
LIABILITIES:				
2104 Federal liabilities: Resources payable to Treasury	63	50	46	43
2999 Total liabilities	63	50	46	43
NET POSITION:				
3999 Total net position				
4999 Total liabilities and net position	63	50	46	43

Trust Funds**RECLAMATION TRUST FUNDS****Unavailable Collections (in millions of dollars)**

Identification code 14-8070-0-7-301	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 Deposits	28	24	
02.80 Reclamation trust funds	18		
02.99 Total receipts and collections	46	24	
Appropriations:			
05.00 Reclamation trust funds	-46	-24	
05.99 Total appropriations	-46	-24	
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 14-8070-0-7-301	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Facility maintenance and rehabilitation	4	63	
00.02 Water and energy management and development	1	10	
00.03 Land management and development	1	2	
09.01 Reimbursable program	10		
10.00 Total new obligations	16	75	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	21	51	
22.00 New budget authority (gross)	46	24	
23.90 Total budgetary resources available for obligation	67	75	
23.95 Total new obligations	-16	-75	
24.40 Unobligated balance carried forward, end of year	51		

New budget authority (gross), detail:

Identification code 14-8070-0-7-301	2001 actual	2002 est.	2003 est.
Mandatory:			
60.26 Appropriation (trust fund)	28	24	
69.00 Offsetting collections (cash)	18		
70.00 Total new budget authority (gross)	46	24	
Change in obligated balances:			
72.40 Obligated balance, start of year	18	1	5
73.10 Total new obligations	16	75	
73.20 Total outlays (gross)	-33	-71	-5
74.40 Obligated balance, end of year	1	5	

Outlays (gross), detail:

Identification code 14-8070-0-7-301	2001 actual	2002 est.	2003 est.
86.97 Outlays from new mandatory authority	18	19	
86.98 Outlays from mandatory balances	15	52	5
87.00 Total outlays (gross)	33	71	5

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-18		

Net budget authority and outlays:

89.00 Budget authority	28	24	
90.00 Outlays	15	71	5

The Bureau of Reclamation performs work on various projects and activities with funding provided by non-Federal entities under 43 U.S.C. 395 and 396.

Object Classification (in millions of dollars)

Identification code 14-8070-0-7-301	2001 actual	2002 est.	2003 est.
Direct obligations:			
25.2 Other services	2	67	
32.0 Land and structures	1	1	
41.0 Grants, subsidies, and contributions	2	6	
99.0 Direct obligations	5	74	
99.0 Reimbursable obligations	10		
99.5 Below reporting threshold	1	1	
99.9 Total new obligations	16	75	

Personnel Summary

Identification code 14-8070-0-7-301	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	10	10

ADMINISTRATIVE PROVISION

Appropriations for the Bureau of Reclamation shall be available for purchase of not to exceed [four] 16 passenger motor vehicles, of which 12 are for replacement only. (Energy and Water Development Appropriations Act, 2002.)

CENTRAL UTAH PROJECT

Federal Funds

General and special funds:

CENTRAL UTAH PROJECT COMPLETION ACCOUNT

For carrying out activities authorized by the Central Utah Project Completion Act, [\$34,918,000] \$34,902,000, to remain available until expended, of which [\$10,749,000] \$11,259,000 shall be deposited into the Utah Reclamation Mitigation and Conservation Account for use by the Utah Reclamation Mitigation and Conservation Commission.

In addition, for necessary expenses incurred in carrying out related responsibilities of the Secretary of the Interior, [\$1,310,000] \$1,350,000, to remain available until expended. (Energy and Water Development Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 14-0787-0-1-301	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Central Utah project construction	21	24	23
00.02 Mitigation and conservation	5
00.04 Program administration	2	2	2
10.00 Total new obligations	28	26	25
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	1	1
22.00 New budget authority (gross)	26	25	25
23.90 Total budgetary resources available for obligation	29	26	26
23.95 Total new obligations	-28	-26	-25
24.40 Unobligated balance carried forward, end of year	1	1	1
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	40	36	36
41.00 Transferred to other accounts	-14	-11	-11
43.00 Appropriation (total discretionary)	26	25	25
Change in obligated balances:			
72.40 Obligated balance, start of year	3	2	2
73.10 Total new obligations	28	26	25
73.20 Total outlays (gross)	-29	-25	-25
74.40 Obligated balance, end of year	2	2	2
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	25	24	24
86.93 Outlays from discretionary balances	6	1	1
87.00 Total outlays (gross)	29	25	25
Net budget authority and outlays:			
89.00 Budget authority	26	25	25
90.00 Outlays	29	25	25

Titles II through VI of Public Law 102-575 authorize the completion of the Central Utah project and related activities, including the mitigation, conservation, and enhancement of fish and wildlife and recreational resources. Funds are requested in this account for the Central Utah Water Conservancy District, for transfer to the Utah Reclamation Mitigation and Conservation Commission, and to carry out related responsibilities of the Secretary.

Object Classification (in millions of dollars)

Identification code 14-0787-0-1-301	2001 actual	2002 est.	2003 est.
Direct obligations:			
25.2 Other services	22	25	24
41.0 Grants, subsidies, and contributions	5
99.0 Direct obligations	27	25	24
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	28	26	25

Personnel Summary

Identification code 14-0787-0-1-301	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	5	5	5

UTAH RECLAMATION MITIGATION AND CONSERVATION ACCOUNT

Unavailable Collections (in millions of dollars)

Identification code 14-5174-0-2-301	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	111	125	135
Receipts:			
02.00 State contribution to principal	3
02.20 Contributions from project beneficiaries (District)	1
02.40 Interest on principal	6	7	8
02.41 Federal contribution to principal	5
02.42 Contributions from project beneficiaries (WAPA)	6	6
02.99 Total receipts and collections	21	13	8
04.00 Total: Balances and collections	132	138	143
Appropriations:			
05.00 Utah Reclamation Mitigation and Conservation Account (discretionary)	-7	-3
07.99 Balance, end of year	125	135	143

Program and Financing (in millions of dollars)

Identification code 14-5174-0-2-301	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Utah Reclamation Mitigation and Conservation	30	15	15
10.00 Total new obligations	30	15	15
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	5	4
22.00 New budget authority (gross)	21	14	11
22.10 Resources available from recoveries of prior year obligations	11
23.90 Total budgetary resources available for obligation	35	19	15
23.95 Total new obligations	-30	-15	-15
24.40 Unobligated balance carried forward, end of year	5	4	1
New budget authority (gross), detail:			
Discretionary:			
40.20 Appropriation (special fund)	7	3
42.00 Transferred from other accounts	14	11	11
43.00 Appropriation (total discretionary)	21	14	11
Change in obligated balances:			
72.40 Obligated balance, start of year	14	18	13
73.10 Total new obligations	30	15	15
73.20 Total outlays (gross)	-15	-20	-13
73.45 Recoveries of prior year obligations	-11
74.40 Obligated balance, end of year	18	13	15
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	5	4	3
86.93 Outlays from discretionary balances	10	16	10
87.00 Total outlays (gross)	15	20	13
Net budget authority and outlays:			
89.00 Budget authority	21	14	11

General and special funds—Continued

UTAH RECLAMATION MITIGATION AND CONSERVATION ACCOUNT—
Continued

Program and Financing (in millions of dollars)—Continued

Identification code 14-5174-0-2-301	2001 actual	2002 est.	2003 est.
90.00 Outlays	15	20	13
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value	110	124	134
92.02 Total investments, end of year: Federal securities:			
Par value	124	134	141

This account was established under Title IV of Public Law 102-575 to reflect contributions from the State of Utah, the Federal Government, and project beneficiaries; annual appropriations for the Utah Reclamation Mitigation and Conservation Commission; and other receipts. The requirement for contributions from the State, the Secretary, and the Conservancy District ended in 2001. Funds deposited in the account as principal may not be expended for any purpose. The Commission may expend other funds in the account for the mitigation, conservation, and enhancement of fish and wildlife and recreational resources.

Object Classification (in millions of dollars)

Identification code 14-5174-0-2-301	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	1	1	1
25.2 Other services	29	14	14
99.9 Total new obligations	30	15	15

Personnel Summary

Identification code 14-5174-0-2-301	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	14	14	14

UNITED STATES GEOLOGICAL SURVEY

Federal Funds

General and special funds:

SURVEYS, INVESTIGATIONS, AND RESEARCH

For expenses necessary for the United States Geological Survey to perform surveys, investigations, and research covering topography, geology, hydrology, biology, and the mineral and water resources of the United States, its territories and possessions, and other areas as authorized by 43 U.S.C. 31, 1332, and 1340; classify lands as to their mineral and water resources; give engineering supervision to power permittees and Federal Energy Regulatory Commission licensees; administer the minerals exploration program (30 U.S.C. 641); and publish and disseminate data relative to the foregoing activities; and to conduct inquiries into the economic conditions affecting mining and materials processing industries (30 U.S.C. 3, 21a, and 1603; 50 U.S.C. 98g(1)) and related purposes as authorized by law and to publish and disseminate data; **[\$914,002,000] \$904,048,000**, of which **[\$64,318,000] \$64,339,000** shall be available only for cooperation with States or municipalities for water resources investigations; **[and] of which [\$16,400,000] \$15,650,000** shall remain available until expended for conducting inquiries into the economic conditions affecting mining and materials processing industries; **[and] of which \$8,000,000** shall remain available until expended for satellite operations; **[and] of which [\$26,374,000] \$22,248,000** shall be available until September 30, **[2003] 2004** for the operation and maintenance of facilities and deferred maintenance; **[and] of which [\$166,389,000] \$160,481,000** shall be available until September 30, **[2003] 2004** for the biological research activity and the operation of the Cooperative Research Units; *and of which \$4,000,000 shall remain available until expended for interagency research, planning, monitoring, and assessment, for Everglades restoration: Provided, That none of these*

funds provided for the biological research activity shall be used to conduct new surveys on private property, unless specifically authorized in writing by the property owner: *Provided further, That of the amount provided herein, **[\$25,000,000] \$13,578,000** is for the conservation activities defined in section 250(c)(4)(E) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, for the purposes of such Act: *Provided further, That no part of this appropriation shall be used to pay more than one-half the cost of topographic mapping or water resources data collection and investigations carried on in cooperation with States and municipalities. (Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)**

Program and Financing (in millions of dollars)

Identification code 14-0804-0-1-306	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Mapping, remote sensing, and geographic investigations program	136	139	135
00.02 Geologic hazards, resources, and processes	233	245	235
00.03 Water resources investigations	215	220	190
00.04 Biological research	160	171	165
00.05 Science support	74	94	90
00.06 Facilities	86	95	88
09.01 Reimbursable program	371	365	345
10.00 Total new obligations	1,275	1,329	1,248
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	22	37	21
22.00 New budget authority (gross)	1,291	1,315	1,249
23.90 Total budgetary resources available for obligation	1,313	1,352	1,270
23.95 Total new obligations	-1,275	-1,329	-1,248
23.98 Unobligated balance expiring or withdrawn	-1		
24.40 Unobligated balance carried forward, end of year	37	21	21
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	901	950	904
40.20 Appropriation (special fund, definite) LWCF	20		
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-2		
42.00 Transferred from other accounts	1		
43.00 Appropriation (total discretionary)	920	950	904
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	230	365	345
68.10 Change in uncollected customer payments from Federal sources (unexpired)	141		
68.90 Spending authority from offsetting collections (total discretionary)	371	365	345
70.00 Total new budget authority (gross)	1,291	1,315	1,249
Change in obligated balances:			
72.40 Obligated balance, start of year	118	120	170
73.10 Total new obligations	1,275	1,329	1,248
73.20 Total outlays (gross)	-1,254	-1,279	-1,258
73.40 Adjustments in expired accounts (net)	-1		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-141		
74.10 Change in uncollected customer payments from Federal sources (expired)	123		
74.40 Obligated balance, end of year	120	170	160
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1,027	1,161	1,104
86.93 Outlays from discretionary balances	227	118	154
87.00 Total outlays (gross)	1,254	1,279	1,258
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-318	-327	-311
88.40 Non-Federal sources	-36	-38	-34
88.90 Total, offsetting collections (cash)	-354	-365	-345
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-141		
88.96 Portion of offsetting collections (cash) credited to expired accounts	124		

Net budget authority and outlays:				
89.00	Budget authority	920	950	904
90.00	Outlays	900	914	913

Note.—Excludes \$10 million in budget authority in 2003 for activities transferred to the National Science Foundation, Geosciences Research program. Comparable amounts for 2001 (\$14 million) and 2002 (\$14 million) are included above.

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

		2001 actual	2002 est.	2003 est.
Net budget authority and outlays:				
89.00	Budget authority	884	914	867
90.00	Outlays	864	878	876

The U.S. Geological Survey provides research and scientific information to support the mission of the Department of the Interior and the science needs of the land and resource management bureaus of the Department. The U.S. Geological Survey also works in collaboration with other Federal, State, and Tribal cooperators to conduct research and provide scientific data and information concerning natural hazards and environmental issues and pertaining to the water, land, and mineral and biological resources of the Nation.

The budget for the U.S. Geological Survey continues to emphasize mission responsibilities to provide sound and impartial science in support of the land and resource management bureaus of the Department of the Interior and its thousands of other partners and customers. This budget focuses resources on those programs that more directly address the science needs of Interior Bureaus. At the same time, the budget reduces USGS funding for programs supporting outside customers in an effort to increase financial participation by these customers.

The budget for USGS includes \$4 million for the Everglades restoration-related Cooperative Ecosystem Science Initiative (CESI), which USGS has previously received for reimbursable work conducted on behalf of the National Park Service. With the direct funding, USGS will continue to support interagency research, planning, monitoring, and assessment activities in support of Everglades restoration.

Mapping, remote sensing, and geographic investigations program.—The mapping, remote sensing, and geographic investigations program ensures a nationwide geographic information knowledge base by collecting, integrating, and making available, in printed and digital format, cartographic and geographic base data, remotely sensed data, data from classified sources, and multipurpose and special-purpose maps. The USGS is the lead Federal agency for civil mapping. Research is conducted in the mapping sciences, geography, and related disciplines in support of data production and applications. Activities related to the National Spatial Data Infrastructure support interagency and intergovernmental partnerships for establishing a national geospatial data clearinghouse, developing data standards, coordinating regional data production and sharing, and developing a data framework (data set) for the Nation.

Geologic hazards, resources, and processes.—The national program of onshore and offshore geologic research and investigations produces: (1) information on natural hazards of geologic origin such as earthquakes, volcanic eruptions, landslides, and coastal erosion; (2) geologic information for use in the management of public lands and in national policy determinations; (3) information on the chemistry and physics of the Earth, its past climate, and the geologic processes by which it was formed and is being modified; (4) geologic, geophysical, and geochemical maps and analyses to address environmental, energy and mineral resource, and hazards concerns; (5) hazards, energy and mineral resource, and environmental assessments; and (6) improved methods and instru-

mentation for detecting and monitoring hazards, disseminating hazards information, and conducting assessments.

Water resources investigations.—The USGS water programs produce data, analyses, assessments and methodologies to support Federal, State, Tribal, and local government decisions on water planning, water management, water quality, flood forecasting and warning, and enhancement of the quality of the environment. The U.S. Geological Survey's water resources programs have a rich history of working cooperatively with other Federal agencies, States, and other entities to leverage Federal resources to meet their mutual water information needs.

Biological research.—The national program of biological research: (1) conducts biological research inventory and monitoring; (2) provides scientific information for the management of biological resources; and (3) predicts the consequences of environmental change and the effects of alternative management actions on plants, animals, and their habitats. The program conducts the high priority biological research needed by the Department of the Interior's land management bureaus and operates the Cooperative Research Unit program which provides research and information to resource managers, and trains natural resource professionals in partnership with university and State scientists.

Science support.—Science support provides for Bureauwide management; executive direction and coordination; administrative, human resources, and information resources management services, and financial and personnel systems support provided by DOI's National Business Center.

Facilities.—This activity finances: (1) USGS rental payments; (2) operation and maintenance for properties; and (3) deferred maintenance and capital improvement.

Reimbursable program.—Reimbursements from non-Federal sources are from States, Tribes, and municipalities for: cooperative efforts and proceeds from sale to the public of copies of photographs and records; proceeds from sale of personal property; reimbursements from permittees and licensees of the Federal Energy Regulatory Commission; and reimbursements from foreign countries and international organizations for technical assistance. Reimbursements from other Federal agencies are for mission related work performed at the request of the financing agency, and will be required to support certain ongoing USGS water quality-related programs in which the primary beneficiaries are non-Interior agencies.

Object Classification (in millions of dollars)

Identification code 14-0804-0-1-306		2001 actual	2002 est.	2003 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	354	362	362
11.3	Other than full-time permanent	26	26	27
11.5	Other personnel compensation	9	9	9
11.8	Special personal services payments	1		
11.9	Total personnel compensation	390	397	398
12.1	Civilian personnel benefits	127	129	130
13.0	Benefits for former personnel	1		
21.0	Travel and transportation of persons	24	24	20
22.0	Transportation of things	5	5	5
23.1	Rental payments to GSA	62	70	71
23.2	Rental payments to others	4	5	5
23.3	Communications, utilities, and miscellaneous charges	18	22	18
24.0	Printing and reproduction	2	3	2
25.1	Advisory and assistance services	1	1	1
25.2	Other services	118	143	111
25.3	Other purchases of goods and services from Government accounts	14	14	14
25.4	Operation and maintenance of facilities	1	1	1
25.5	Research and development contracts	1	1	1
25.7	Operation and maintenance of equipment	10	10	10
26.0	Supplies and materials	24	28	22
31.0	Equipment	35	44	33
32.0	Land and structures	2	2	2
41.0	Grants, subsidies, and contributions	65	65	59

General and special funds—Continued

SURVEYS, INVESTIGATIONS, AND RESEARCH—Continued

Object Classification (in millions of dollars)—Continued

Identification code 14-0804-0-1-306	2001 actual	2002 est.	2003 est.
99.0 Direct obligations	904	964	903
99.0 Reimbursable obligations	371	365	345
99.9 Total new obligations	1,275	1,329	1,248

Personnel Summary

Identification code 14-0804-0-1-306	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	6,856	6,762	6,513
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	2,432	2,432	2,432

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 14-4556-0-4-306	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Working Capital Fund	43	41	42
10.00 Total new obligations	43	41	42

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	47	71	69
22.00 New budget authority (gross)	67	40	38
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	115	111	107
23.95 Total new obligations	-43	-41	-42
24.40 Unobligated balance carried forward, end of year	71	69	65

New budget authority (gross), detail:

Mandatory:			
69.00 Offsetting collections (cash)	50	40	38
69.10 Change in uncollected customer payments from Federal sources (unexpired)	17		
69.90 Spending authority from offsetting collections (total mandatory)	67	40	38

Change in obligated balances:

72.40 Obligated balance, start of year	10	-4	-12
73.10 Total new obligations	43	41	42
73.20 Total outlays (gross)	-40	-49	-45
73.45 Recoveries of prior year obligations	-1		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-17		
74.40 Obligated balance, end of year	-4	-12	-15

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	26	18	17
86.98 Outlays from mandatory balances	14	31	28
87.00 Total outlays (gross)	40	49	45

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-50	-40	-38
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-17		

Net budget authority and outlays:

89.00 Budget authority			
90.00 Outlays	-10	9	7

Statement of Operations (in millions of dollars)

Identification code 14-4556-0-4-306	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	37	42	42	42
0102 Expense	36	39	39	39
0105 Net income or loss (-)	73	81	81	81
0109 Comprehensive income	73	81	81	81

The Working Capital Fund allows for: efficient financial management of the USGS telecommunications investments; acquisition, replacement, and enhancement of scientific equipment; facilities and laboratory operations, modernization and equipment replacement; drilling and training services; and, publications. Other USGS activities might also be appropriately managed through such a fund, subject to future determinations by the Department of the Interior.

Balance Sheet (in millions of dollars)

Identification code 14-4556-0-4-306	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	57	67	67	67
Investments in US securities:				
1106 Receivables, net	3	4	4	4
1803 Other Federal assets: Property, plant and equipment, net	4	6	6	6
1999 Total assets	64	77	77	77
LIABILITIES:				
2101 Federal liabilities: Accounts payable	56	64	64	64
2201 Non-Federal liabilities: Accounts payable	5	7	7	7
2999 Total liabilities	61	71	71	71
NET POSITION:				
3300 Cumulative results of operations	3	6	6	6
3999 Total net position	3	6	6	6
4999 Total liabilities and net position	64	77	77	77

Object Classification (in millions of dollars)

Identification code 14-4556-0-4-306	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	10	10	11
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	11	11	12
12.1 Civilian personnel benefits	3	3	3
21.0 Travel and transportation of persons	1	1	1
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	1		
24.0 Printing and reproduction	1	1	1
25.2 Other services	7	8	8
25.3 Other purchases of goods and services from Government accounts	3	3	3
25.4 Operation and maintenance of facilities	1		1
25.7 Operation and maintenance of equipment	1	1	1
26.0 Supplies and materials	3	3	3
31.0 Equipment	10	9	8
99.0 Reimbursable obligations	43	41	42
99.9 Total new obligations	43	41	42

Personnel Summary

Identification code 14-4556-0-4-306	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	239	239	239

CONTRIBUTED FUNDS

Unavailable Collections (in millions of dollars)

Identification code 14-8562-0-7-306	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 Contributed funds, Geological Survey	1	1	1
Appropriations:			
05.00 Contributed funds, Geological Survey	-1	-1	-1
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 14-8562-0-7-306	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Donations and Contributed Funds	1	2	1
10.00 Total new obligations (object class 25.2)	1	2	1
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	
22.00 New budget authority (gross)	1	1	1
23.90 Total budgetary resources available for obligation	2	2	1
23.95 Total new obligations	-1	-2	-1
24.40 Unobligated balance carried forward, end of year	1		
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)	1	1	1
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	
73.10 Total new obligations	1	2	1
73.20 Total outlays (gross)	-1	-1	-1
74.40 Obligated balance, end of year	1		
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	1	1	1
Net budget authority and outlays:			
89.00 Budget authority	1	1	1
90.00 Outlays	1	1	1

Funds in this account are provided by States, local governments, and private organizations (pursuant to 43 U.S.C. 36c). This appropriation (a permanent, indefinite, special fund) makes these funds available to the USGS to perform the work desired by the contributor and the USGS. Research and development; data collection and analysis; and services are undertaken when such activities are of mutual interest and benefit and assist the USGS in accomplishing its mandated purposes.

ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedule of the parent appropriation as follows:
Department of the Interior: Bureau of Land Management: "Central hazardous materials fund".

Department of the Interior: Departmental Offices: "Natural resource damage assessment and restoration fund".

Department of State: "American sections, international commissions".

ADMINISTRATIVE PROVISIONS

The amount appropriated for the United States Geological Survey shall be available for the purchase of not to exceed 53 passenger motor vehicles, of which 48 are for replacement only; reimbursement to the General Services Administration for security guard services; contracting for the furnishing of topographic maps and for the making of geophysical or other specialized surveys when it is administratively determined that such procedures are in the public interest; construction and maintenance of necessary buildings and appurtenant facilities; acquisition of lands for gauging stations and observation wells; expenses of the United States National Committee on Geology; and

payment of compensation and expenses of persons on the rolls of the Survey duly appointed to represent the United States in the negotiation and administration of interstate compacts: *Provided*, That activities funded by appropriations herein made may be accomplished through the use of contracts, grants, or cooperative agreements as defined in 31 U.S.C. 6302 et seq. (*Department of the Interior and Related Agencies Appropriations Act, 2002.*)

BUREAU OF MINES

Federal Funds

General and special funds:

MINES AND MINERALS

Program and Financing (in millions of dollars)

Identification code 14-0959-0-1-306	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)	1		
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	
23.95 Total new obligations	-1		
24.40 Unobligated balance carried forward, end of year	1		
Change in obligated balances:			
72.40 Obligated balance, start of year	2	2	
73.10 Total new obligations	1		
73.20 Total outlays (gross)		-3	
74.40 Obligated balance, end of year	2		
Outlays (gross), detail:			
86.93 Outlays from discretionary balances		3	
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays		3	

In 1996, Congress terminated the United States Bureau of Mines under Public Law 104-99.

FISH AND WILDLIFE AND PARKS

UNITED STATES FISH AND WILDLIFE SERVICE

Federal Funds

General and special funds:

RESOURCE MANAGEMENT

For necessary expenses of the United States Fish and Wildlife Service, for scientific and economic studies, conservation, management, investigations, protection, and utilization of fishery and wildlife resources, except whales, seals, and sea lions, maintenance of the herd of long-horned cattle on the Wichita Mountains Wildlife Refuge, general administration, and for the performance of other authorized functions related to such resources by direct expenditure, contracts, grants, cooperative agreements and reimbursable agreements with public and private entities, **[\$850,597,000] \$934,726,000** to remain available until September 30, **[2003] 2004**, except as otherwise provided herein, of which **[\$29,000,000] \$76,006,000** is for conservation *spending category* activities [defined in section 250(c)(4)(E)] pursuant to section 251(c) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, for the purposes of [such Act: *Provided*, That fiscal year 2001 balances in the Federal Infrastructure Improvement account for the United States Fish and Wildlife Service shall be transferred to and merged with this appropriation, and shall remain available until expended: *Provided further*,] *discretionary spending limits: Provided*, That not less than \$2,000,000 shall be provided to local governments in southern California for planning associated with the Natural Communities Conservation Planning (NCCP) program and shall remain available until expended: *Provided further*, That \$2,000,000 is for high priority projects which shall be carried out by the Youth Conservation Corps, defined in section 250(c)(4)(E) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, for the purposes of such Act: *Provided*

General and special funds—Continued

RESOURCE MANAGEMENT—Continued

further, That not to exceed **[\$9,000,000] \$9,077,000** shall be used for implementing subsections (a), (b), (c), and (e) of section 4 of the Endangered Species Act, as amended, for species that are indigenous to the United States (except for processing petitions, developing and issuing proposed and final regulations, and taking any other steps to implement actions described in subsection (c)(2)(A), (c)(2)(B)(i), or (c)(2)(B)(ii)), of which not to exceed **[\$6,000,000] \$5,000,000** shall be used for any activity regarding the designation of critical habitat, pursuant to subsection (a)(3), excluding litigation support, for species already listed pursuant to subsection (a)(1) as of the date of enactment this Act: *Provided further*, That of the amount available for law enforcement, up to \$400,000 to remain available until expended, may at the discretion of the Secretary, be used for payment for information, rewards, or evidence concerning violations of laws administered by the Service, and miscellaneous and emergency expenses of enforcement activity, authorized or approved by the Secretary and to be accounted for solely on her certificate: *Provided further*, That of the amount provided for environmental contaminants, up to \$1,000,000 may remain available until expended for contaminant sample analyses. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 14-1611-0-1-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Ecological services	212	230	225
00.02 National Wildlife Refuge System	336	348	392
00.03 Migratory Birds	26	31	31
00.04 Law Enforcement	51	53	55
00.05 Fisheries	93	103	100
00.06 General Administration	128	128	142
01.00 Subtotal, direct program	846	893	945
09.00 Reimbursable program	108	104	104
10.00 Total new obligations	954	997	1,049
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	37	47	29
22.00 New budget authority (gross)	948	979	1,033
22.10 Resources available from recoveries of prior year obligations	15		
22.22 Unobligated balance transferred from other accounts	1		
23.90 Total budgetary resources available for obligation	1,001	1,026	1,062
23.95 Total new obligations	-954	-997	-1,049
23.98 Unobligated balance expiring or withdrawn	-1		
24.40 Unobligated balance carried forward, end of year	47	29	13
New budget authority (gross), detail:			
Discretionary:			
Appropriation:			
40.00 Appropriation	811	850	904
40.00 Appropriation		29	29
40.00 Appropriation (YCC)	1	2	2
40.20 Appropriation, Fill from LWCF (special fund, definite)	25		
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-2		
43.00 Appropriation (total discretionary)	835	881	935
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	97	98	98
68.10 Change in uncollected customer payments from Federal sources (unexpired)	16		
68.90 Spending authority from offsetting collections (total discretionary)	113	98	98
70.00 Total new budget authority (gross)	948	979	1,033
Change in obligated balances:			
72.40 Obligated balance, start of year	211	246	323
73.10 Total new obligations	954	997	1,049
73.20 Total outlays (gross)	-899	-920	-1,081
73.40 Adjustments in expired accounts (net)	-3		
73.45 Recoveries of prior year obligations	-15		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-16		

74.10 Change in uncollected customer payments from Federal sources (expired)	14		
74.40 Obligated balance, end of year	246	323	291
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	781	809	852
86.93 Outlays from discretionary balances	118	111	230
87.00 Total outlays (gross)	899	920	1,081
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-87	-76	-76
88.40 Non-Federal sources	-12	-12	-12
88.45 Offsetting governmental collections (from non-Federal sources)	-9	-10	-10
88.90 Total, offsetting collections (cash)	-108	-98	-98
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-16		
88.96 Portion of offsetting collections (cash) credited to expired accounts	11		
Net budget authority and outlays:			
89.00 Budget authority	835	881	935
90.00 Outlays	791	822	983

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	807	851	904
90.00 Outlays	763	792	952

Note.—Collections contained in this account include amounts that have been legislatively reclassified as intragovernmental funds.

Ecological services.—The Service provides technical assistance to prevent or minimize adverse environmental effects of development projects; restores trust species habitats; and, produces wetland maps of the United States. Financial assistance is provided to private landowners to restore or improve habitat for endangered species. Contaminants are investigated, monitored, and assessed for effects on trust resources. Activities are pursued to prevent species from becoming extinct, and to return them to the point where they are neither threatened nor endangered.

National Wildlife Refuge System.—The Service maintains the National Wildlife Refuge System consisting of 538 units, with waterfowl production areas in 201 counties and 50 coordination areas, totaling about 94 million acres. A total of \$108 million is proposed for refuge maintenance as part of the Service's effort to address a backlog in deferred maintenance projects.

Migratory Bird Management.—The Service directs and coordinates national migratory bird programs.

Law enforcement.—The Service enforces federal laws, regulations, and international treaties for the protection of fish, wildlife and plants, including inspections of wildlife shipments entering or leaving the United States at ports-of-entry. The Service is authorized 253 special agents and 95 wildlife inspectors, and manages the Clark R. Bavin National Wildlife Forensics Laboratory in Ashland, OR, the National Wildlife Property Repository and the National Eagle Repository, both located in Commerce City, CO.

Fisheries.—The Service manages 70 national hatcheries, 9 health centers, and 7 technology centers for the production of fish species, protects and enhances inter-jurisdictional fishery resources, and provides technical assistance for the restoration and improvement of fish and wildlife populations and their habitats. Projects to improve aquatic resources are

implemented through the National Fish and Wildlife Foundation and other partnerships.

General operations.—Provides policy guidance, program coordination, and administrative services to all fish and wildlife programs. The funds also support the Service's international activities, the National Conservation Training Center, and projects through the National Fish and Wildlife Foundation to restore and enhance fish and wildlife populations.

Funding for refuge, hatchery and law enforcement maintenance emphasizes the Service's commitment to the long-term stewardship of federal lands and facilities.

This account includes \$58 million for Federal Infrastructure Improvement and \$18 million for the Cooperative Conservation initiative which are part of the Conservation Spending Category.

PERFORMANCE MEASURES

	2001 actual	2002 est.	2003 est.
Number of species listed a decade or more improved or stable	320	347	376
Number of species delisted due to recovery (annual)	1	3	5
Number of species at risk for which listing is made unnecessary due to conservation agreements (annual)	5	3	3
Number of acres restored or enhanced:			
On Service lands (annual)	3,464,494	3,566,646	3,666,752
Off Service lands (annual)	533,786	287,295	242,535
Number of acres protected in National Wildlife Refuge System	95,237,310	95,342,310	95,427,310

Object Classification (in millions of dollars)

Identification code 14-1611-0-1-302	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	297	319	336
11.3 Other than full-time permanent	18	21	23
11.5 Other personnel compensation	15	16	16
11.9 Total personnel compensation	330	356	375
12.1 Civilian personnel benefits	124	132	141
21.0 Travel and transportation of persons	24	24	25
22.0 Transportation of things	8	8	8
23.1 Rental payments to GSA	30	30	31
23.2 Rental payments to others	1	2	2
23.3 Communications, utilities, and miscellaneous charges	18	19	20
24.0 Printing and reproduction	3	3	3
25.1 Advisory and assistance services	1	1	2
25.2 Other services	110	116	127
25.3 Other purchases of goods and services from Government accounts	26	27	28
25.4 Operation and maintenance of facilities	4	6	6
25.5 Research and development contracts	1	1	1
25.7 Operation and maintenance of equipment	14	15	16
26.0 Supplies and materials	38	39	41
31.0 Equipment	60	62	64
32.0 Land and structures	20	20	21
41.0 Grants, subsidies, and contributions	32	31	32
99.0 Direct obligations	844	892	943
99.0 Reimbursable obligations	108	104	104
99.5 Below reporting threshold	2	1	2
99.9 Total new obligations	954	997	1,049

Personnel Summary

Identification code 14-1611-0-1-302	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	6,540	6,637	6,765
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	770	717	717
Allocation account:			
3001 Total compensable workyears: Full-time equivalent employment	544	703	703

CONSTRUCTION

For construction, improvement, acquisition, or removal of buildings and other facilities required in the conservation, management, inves-

tigation, protection, and utilization of fishery and wildlife resources, and the acquisition of lands and interests therein; **[\$55,543,000]** \$36,196,000, to remain available until expended. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 14-1612-0-1-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
Construction and rehabilitation:			
00.01 Refuges	56	53	53
00.02 Hatcheries	11	7	7
00.03 Law Enforcement	1	1	1
00.04 Dam safety	4	3	3
00.05 Bridge safety	1	3	3
00.06 Nationwide engineering services	12	12	12
01.00 Total, Direct program	85	79	79
09.01 Reimbursable program	2	2	2
10.00 Total new obligations	87	81	81
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	110	138	116
22.00 New budget authority (gross)	111	59	38
22.10 Resources available from recoveries of prior year obligations	4		
23.90 Total budgetary resources available for obligation	225	197	154
23.95 Total new obligations	-87	-81	-81
24.40 Unobligated balance carried forward, end of year	138	116	74

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	64	57	36
Appropriation (emergency):			
40.15 Appropriation (emergency)—Supplemental Funds—P.L. 106-291	8		
40.15 Appropriation (emergency)—Supplemental Funds—P.L. 107-20	18		
42.00 Transferred from other accounts	19		
43.00 Appropriation (total discretionary)	109	57	36
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	2	2	2
70.00 Total new budget authority (gross)	111	59	38

Change in obligated balances:

72.40 Obligated balance, start of year	64	65	59
73.10 Total new obligations	87	81	81
73.20 Total outlays (gross)	-83	-87	-68
73.45 Recoveries of prior year obligations	-4		
74.40 Obligated balance, end of year	65	59	72

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	21	14	10
86.93 Outlays from discretionary balances	62	73	58
87.00 Total outlays (gross)	83	87	68

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-2	-2	-2

Net budget authority and outlays:

89.00 Budget authority	109	57	36
90.00 Outlays	81	85	66

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	108	56	35
90.00 Outlays	80	84	65

Construction projects focus on facility construction and rehabilitation, environmental compliance, pollution abatement

General and special funds—Continued

CONSTRUCTION—Continued

and hazardous materials cleanup, seismic safety, and the repair and inspection of Service dams and bridges.

Object Classification (in millions of dollars)

Identification code 14-1612-0-1-302	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	8	9	9
11.3 Other than full-time permanent	2	2	2
11.9 Total personnel compensation	10	11	11
12.1 Civilian personnel benefits	3	3	3
21.0 Travel and transportation of persons	1	1	1
25.1 Advisory and assistance services	1	1	1
25.3 Other purchases of goods and services from Government accounts	5	3	3
25.7 Operation and maintenance of equipment	5	4	4
26.0 Supplies and materials	3	3	3
31.0 Equipment	4	5	5
32.0 Land and structures	48	47	47
41.0 Grants, subsidies, and contributions	2	1	1
99.0 Direct obligations	82	79	79
99.0 Reimbursable obligations	2	1	1
99.5 Below reporting threshold	3	1	1
99.9 Total new obligations	87	81	81

Personnel Summary

Identification code 14-1612-0-1-302	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	170	200	195
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	2	2	2

MULTINATIONAL SPECIES CONSERVATION FUND

For expenses necessary to carry out the African Elephant Conservation Act (16 U.S.C. 4201-4203, 4211-4213, 4221-4225, 4241-4245, and 1538), the Asian Elephant Conservation Act of 1997 (Public Law 105-96; 16 U.S.C. 4261-4266), the Rhinoceros and Tiger Conservation Act of 1994 (16 U.S.C. 5301-5306), [and] the Great Ape Conservation Act of 2000 (16 U.S.C. 6301), [\$4,000,000] and the Neotropical Migratory Bird Conservation Act (16 U.S.C. 6101-6109), \$5,000,000, to remain available until expended: Provided, That funds made available under this Act, Public Law 106-291, and Public Law 106-554 and hereafter in annual appropriations Acts for rhinoceros, tiger, Asian elephant, and great ape conservation programs are exempt from any sanctions imposed against any country under section 102 of the Arms Export Control Act (22 U.S.C. 2799aa-1)]. (Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

[NEOTROPICAL MIGRATORY BIRD CONSERVATION]

[For financial assistance for projects to promote the conservation of neotropical migratory birds in accordance with the Neotropical Migratory Bird Conservation Act, Public Law 106-247 (16 U.S.C. 6101-6109), \$3,000,000, to remain available until expended.] (Department of the Interior and Related Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 14-1652-0-1-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 African Elephant	1	2	1
00.02 Asian Elephant	1	1	1
00.03 Rhinoceros and Tiger	1	1	1
00.04 Great Ape Conservation	1	1	1
00.05 Neotropical Migratory Bird Conservation	3	3	1
10.00 Total new obligations (object class 41.0)	3	8	5

Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	2	1
22.00 New budget authority (gross)	3	7	5
23.90 Total budgetary resources available for obligation	5	9	6
23.95 Total new obligations	-3	-8	-5
24.40 Unobligated balance carried forward, end of year	2	1	1

New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	3	7	5

Change in obligated balances:			
72.40 Obligated balance, start of year	2	3	4
73.10 Total new obligations	3	8	5
73.20 Total outlays (gross)	-3	-6	-6
74.40 Obligated balance, end of year	3	4	4

Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2	5	4
86.93 Outlays from discretionary balances	1	1	2
87.00 Total outlays (gross)	3	6	6

Net budget authority and outlays:			
89.00 Budget authority	3	7	5
90.00 Outlays	3	6	6

Personnel Summary

Identification code 14-1652-0-1-302	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	2	3	3

African elephant conservation program.—Provides technical and financial assistance to protect African elephants and their habitats, including elephant population management, public education, and anti-poaching activities.

Rhinoceros and tiger conservation program.—Provides conservation grants to protect rhinoceros and tiger populations and their habitats within African and Asian countries.

Asian elephant conservation program.—Provides financial assistance for Asian elephant conservation projects to protect elephant populations and their habitats within 13 range countries.

Great ape conservation program.—Provides assistance for conservation and protection of chimpanzee, gorilla, orangutan, bonobo, and gibbon populations.

Neotropical Migratory Bird Conservation Program.—Provides conservation grants to conserve migratory bird populations in the United States, Latin America, and the Caribbean.

COMMERCIAL SALMON FISHERY CAPACITY REDUCTION

Program and Financing (in millions of dollars)

Identification code 14-1658-0-1-302	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year	5	5
73.20 Total outlays (gross)	-5
74.40 Obligated balance, end of year	5
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	5
Net budget authority and outlays:			
89.00 Budget authority
90.00 Outlays	5

As part of the 1999 Pacific Salmon Treaty Agreement between the U.S. and Canada, the U.S. agreed to reduce the harvest of Fraser River salmon by the non-Indian fishing fleet. Pursuant to this agreement, the Congress provided the U.S. Fish and Wildlife Service with \$5.0 million in 2000 under

this account. The funds are to be awarded as a grant to the State of Washington to (1) meet the intent of the Pacific Salmon Treaty; (2) reduce the overall fleet capacity while maintaining a sustainable and economically viable fishery; and (3) provide economic relief to Washington salmon fishers.

STATE AND TRIBAL WILDLIFE GRANTS
[(INCLUDING RESCISSION OF FUNDS)]

For wildlife conservation grants to States and to the District of Columbia, Puerto Rico, Guam, the United States Virgin Islands, the Northern Mariana Islands, American Samoa, and federally recognized Indian tribes under the provisions of the Fish and Wildlife Act of 1956 and the Fish and Wildlife Coordination Act, for the development and implementation of programs for the benefit of wildlife and their habitat, including species that are not hunted or fished, **[\$85,000,000] \$60,000,000**, to be derived from the Land and Water Conservation Fund, to remain available until expended, and to be for the conservation activities defined in section 250(c)(4)(E) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, for the purposes of such Act: *Provided*, That of the amount provided herein, \$5,000,000 is for a competitive grant program for Indian tribes not subject to the remaining provisions of this appropriation: *Provided further*, That the Secretary shall, after deducting said \$5,000,000 and administrative expenses, apportion the amount provided herein in the following manner: (A) to the District of Columbia and to the Commonwealth of Puerto Rico, each a sum equal to not more than one-half of 1 percent thereof; and (B) to Guam, American Samoa, the United States Virgin Islands, and the Commonwealth of the Northern Mariana Islands, each a sum equal to not more than one-fourth of 1 percent thereof: *Provided further*, That the Secretary shall apportion the remaining amount in the following manner: (A) one-third of which is based on the ratio to which the land area of such State bears to the total land area of all such States; and (B) two-thirds of which is based on the ratio to which the population of such State bears to the total population of all such States: *Provided further*, That the amounts apportioned under this paragraph shall be adjusted equitably so that no State shall be apportioned a sum which is less than 1 percent of the amount available for apportionment under this paragraph for any fiscal year or more than 5 percent of such amount: *Provided further*, That the Federal share of planning grants shall not exceed 75 percent of the total costs of such projects and the Federal share of implementation grants shall not exceed 50 percent of the total costs of such projects: *Provided further*, That the non-Federal share of such projects may not be derived from Federal grant programs: *Provided further*, That no State, territory, or other jurisdiction shall receive a grant unless it has developed, or committed to develop by October 1, 2005, a comprehensive wildlife conservation plan, consistent with criteria established by the Secretary of the Interior, that considers the broad range of the State, territory, or other jurisdiction's wildlife and associated habitats, with appropriate priority placed on those species with the greatest conservation need and taking into consideration the relative level of funding available for the conservation of those species: *Provided further*, That any amount apportioned in [2002] 2003 to any State, territory, or other jurisdiction that remains unobligated as of September 30, [2003] 2004, shall be reapportioned, together with funds appropriated in [2004] 2005, in the manner provided herein.

[Of the amounts appropriated in title VIII of Public Law 106-291, \$25,000,000 for State Wildlife Grants are rescinded]. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 14-1694-0-1-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 State wildlife grants		66	59
00.02 Administration		2	2
00.03 Tribal Wildlife Grants		5	5
10.00 Total new obligations (object class 41.0)		73	66
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		50	37
22.00 New budget authority (gross)	50	60	60

23.90 Total budgetary resources available for obligation	50	110	97
23.95 Total new obligations		-73	-66
24.40 Unobligated balance carried forward, end of year	50	37	31

New budget authority (gross), detail:

Discretionary:			
40.20 Appropriation (special fund, definite) LWCF	50	85	60
40.36 Unobligated balance rescinded		-25	
43.00 Appropriation (total discretionary)	50	60	60

Change in obligated balances:

72.40 Obligated balance, start of year			54
73.10 Total new obligations		73	66
73.20 Total outlays (gross)		-19	-39
74.40 Obligated balance, end of year		54	81

Outlays (gross), detail:

86.90 Outlays from new discretionary authority		9	9
86.93 Outlays from discretionary balances		10	30
87.00 Total outlays (gross)		19	39

Net budget authority and outlays:

89.00 Budget authority	50	60	60
90.00 Outlays		19	39

Consistent with the Administration's focus on working with partners to address imperiled species and other priority wildlife conservation needs, the State and Tribal Wildlife grant program provides funds to states, the District of Columbia, tribes, and territories to develop and implement wildlife management and habitat restoration programs. Allocation of funds to the states is determined by a formula of one-third based on land area and two-thirds based on population and require a cost-share. Grants to the tribes will be awarded competitively.

Personnel Summary

Identification code 14-1694-0-1-302	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment		2	5

LAND ACQUISITION

For expenses necessary to carry out the Land and Water Conservation Fund Act of 1965, as amended (16 U.S.C. 4601-4 through 11), including administrative expenses, and for acquisition of land or waters, or interest therein, in accordance with statutory authority applicable to the United States Fish and Wildlife Service, **[\$99,135,000] \$71,127,000**, to be derived from the Land and Water Conservation Fund, to remain available until expended, and to be for the conservation activities defined in section 250(c)(4)(E) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, for the purposes of such Act: *Provided*, That none of the funds appropriated for specific land acquisition projects can be used to pay for any administrative overhead, planning or other management costs [except that, in fiscal year 2002 only, not to exceed \$2,500,000 may be used consistent with the Service's cost allocation methodology: *Provided further*, That the United States Fish and Wildlife Service is authorized to purchase the common stock of Yauhannah Properties, Inc. for the purposes of inclusion of real property owned by that corporation into the Waccamaw National Wildlife Refuge]. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 14-5020-0-2-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Acquisition management	14	13	12
00.02 Emergencies and hardships	1	1	2
00.03 Exchanges	1	1	1
00.04 Inholdings	1	1	2

General and special funds—Continued

LAND ACQUISITION—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 14-5020-0-2-302	2001 actual	2002 est.	2003 est.
00.05 Federal refuges	62	92	69
01.00 total, direct program	79	108	86
09.00 Reimbursable program	30	10	2
10.00 Total new obligations	109	118	88
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	49	78	70
22.00 New budget authority (gross)	148	110	71
22.10 Resources available from recoveries of prior year obligations	1		
22.21 Unobligated balance transferred to other accounts	-10		
23.90 Total budgetary resources available for obligation	188	188	141
23.95 Total new obligations	-109	-118	-88
24.40 Unobligated balance carried forward, end of year	78	70	53
New budget authority (gross), detail:			
Discretionary:			
40.20 Appropriation (special fund)	122	100	71
42.00 Transferred from other accounts	17		
43.00 Appropriation (total discretionary)	139	100	71
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	8	10	
68.10 Change in uncollected customer payments from Federal sources (unexpired)	1		
68.90 Spending authority from offsetting collections (total discretionary)	9	10	
70.00 Total new budget authority (gross)	148	110	71
Change in obligated balances:			
72.40 Obligated balance, start of year	30	38	41
73.10 Total new obligations	109	118	88
73.20 Total outlays (gross)	-99	-115	-95
73.45 Recoveries of prior year obligations	-1		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-1		
74.40 Obligated balance, end of year	38	41	34
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	59	51	33
86.93 Outlays from discretionary balances	40	64	62
87.00 Total outlays (gross)	99	115	95
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-8	-10	
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-1		
Net budget authority and outlays:			
89.00 Budget authority	139	100	71
90.00 Outlays	92	105	95

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	138	99	70
90.00 Outlays	91	104	94

Federal Land Acquisition funds are used to protect areas that have native fish and/or wildlife values and provide natural resource benefits over a broad geographical area, and for acquisition management activities.

PERFORMANCE MEASURES

	2001 actual	2002 est.	2003 est.
Number of acres acquired	1,213,396	105,000	85,000

Object Classification (in millions of dollars)

Identification code 14-5020-0-2-302	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	9	12	9
12.1 Civilian personnel benefits	4	4	3
21.0 Travel and transportation of persons	1	1	1
25.2 Other services	3	3	3
25.3 Other purchases of goods and services from Government accounts	3	3	3
31.0 Equipment	1	1	1
32.0 Land and structures	58	84	65
99.0 Direct obligations	79	108	85
99.0 Reimbursable obligations	30	9	2
99.5 Below reporting threshold		1	1
99.9 Total new obligations	109	118	88

Personnel Summary

Identification code 14-5020-0-2-302	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	161	165	111
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	9		

LANDOWNER INCENTIVE PROGRAM

For expenses necessary to carry out the Land and Water Conservation Fund Act of 1965, as amended (16 U.S.C. 4601-4 through 11), including administrative expenses, and for private conservation efforts to be carried out on private lands, **[\$40,000,000]** \$50,000,000, to be derived from the Land and Water Conservation Fund, to remain available until expended, and to be for conservation spending category activities pursuant to section 251(c) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, for the purposes of discretionary spending limits: *Provided*, That the amount provided herein is for a Landowner Incentive Program established by the Secretary that provides matching, competitively awarded grants to States, the District of Columbia, Tribes, Puerto Rico, Guam, the United States Virgin Islands, the Northern Mariana Islands, and American Samoa, to establish, or supplement existing, landowner incentive programs that provide technical and financial assistance, including habitat protection and restoration, to private landowners for the protection and management of habitat to benefit federally listed, proposed, or candidate or other at-risk species on private lands. (Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 14-5496-0-2-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
05.01 Landowner Grants		35	51
10.00 Total new obligations (object class 41.0)		35	51
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year			5
22.00 New budget authority (gross)		40	50
23.90 Total budgetary resources available for obligation		40	55
23.95 Total new obligations		-35	-51
24.40 Unobligated balance carried forward, end of year		5	4
New budget authority (gross), detail:			
Discretionary:			
40.20 Appropriation (special fund)		40	50
Change in obligated balances:			
72.40 Obligated balance, start of year			29
73.10 Total new obligations		35	51
73.20 Total outlays (gross)		-6	-16
74.40 Obligated balance, end of year		29	64

Outlays (gross), detail:			
86.90	Outlays from new discretionary authority	6	8
86.93	Outlays from discretionary balances		8
87.00	Total outlays (gross)	6	16
Net budget authority and outlays:			
89.00	Budget authority	40	50
90.00	Outlays	6	16

Consistent with the Administration's focus on working with partners to address federally listed, proposed, candidate or other imperiled species, the Landowner Incentive Program provides cost-shared, competitive grants to states, the District of Columbia, territories, and tribes to create, supplement or expand upon new or ongoing landowner incentive programs. These programs provide technical and financial assistance to private landowners all across the country to help them protect and manage imperiled species and their habitat, while continuing to engage in traditional land use or working conservation practices.

Object Classification (in millions of dollars)

Identification code 14-5496-0-2-302	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent		1	2
26.0 Supplies and materials		1	2
41.0 Grants, subsidies, and contributions		33	41
99.9 Total new obligations		35	45

Personnel Summary

Identification code 14-5496-0-2-302	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment		4	7

STEWARDSHIP GRANTS

For expenses necessary to carry out the Land and Water Conservation Fund Act of 1965, as amended (16 U.S.C. 4601-4 through 11), including administrative expenses, and for private conservation efforts to be carried out on private lands, \$10,000,000, to be derived from the Land and Water Conservation Fund, to remain available until expended, and to be for conservation spending category activities pursuant to section 251(c) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, for the purposes of discretionary spending limits: *Provided*, That the amount provided herein is for the Secretary to establish a Private Stewardship Grants Program to provide grants and other assistance to individuals and groups engaged in private conservation efforts that benefit federally listed, proposed, or candidate species, or other at-risk species. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 14-5495-0-2-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
05.01 stewardship grants		8	10
10.00 Total new obligations (object class 41.0)		8	10
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year			2
22.00 New budget authority (gross)		10	10
23.90 Total budgetary resources available for obligation		10	12
23.95 Total new obligations		-8	-10
24.40 Unobligated balance carried forward, end of year		2	2

New budget authority (gross), detail:			
Discretionary:			
40.20	Appropriation (special fund)	10	10
Change in obligated balances:			
72.40	Obligated balance, start of year		6
73.10	Total new obligations	8	10
73.20	Total outlays (gross)	-2	-5
74.40	Obligated balance, end of year	6	10

Outlays (gross), detail:			
86.90	Outlays from new discretionary authority	2	2
86.93	Outlays from discretionary balances		2
87.00	Total outlays (gross)	2	5
Net budget authority and outlays:			
89.00	Budget authority	10	10
90.00	Outlays	2	5

Consistent with the Administration's emphasis on working with partners to address federally listed, proposed, candidate or other imperiled species, the Stewardship Grants program assists individuals and groups engaged in local, private conservation projects.

Personnel Summary

Identification code 14-5495-0-2-302	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment		2	4

WILDLIFE CONSERVATION AND APPRECIATION FUND

Program and Financing (in millions of dollars)

Identification code 14-5150-0-2-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00	Total new obligations (object class 41.0)	1	
Budgetary resources available for obligation:			
22.00	New budget authority (gross)	1	
23.95	Total new obligations	-1	
New budget authority (gross), detail:			
Discretionary:			
40.00	Appropriation	1	
Change in obligated balances:			
72.40	Obligated balance, start of year	2	1
73.10	Total new obligations	1	
73.20	Total outlays (gross)	-1	
74.40	Obligated balance, end of year	2	1
Outlays (gross), detail:			
86.90	Outlays from new discretionary authority	1	
Net budget authority and outlays:			
89.00	Budget authority	1	
90.00	Outlays	1	

The Partnerships for Wildlife Act (16 U.S.C. 3741), authorizes wildlife conservation and appreciation projects to conserve fish and wildlife species and to provide opportunities for the public to enjoy these species through nonconsumptive activities. Grants to States are directed toward nonconsumptive activities and the conservation of species not taken for recreation, fur, or food; not listed as endangered or threatened under the Endangered Species Act of 1973; and not defined as marine mammals under the Marine Mammal Protection Act of 1972.

General and special funds—Continued

WILDLIFE CONSERVATION AND APPRECIATION FUND—Continued

MIGRATORY BIRD CONSERVATION ACCOUNT

Unavailable Collections (in millions of dollars)

Identification code 14-5137-0-2-303	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.00 Migratory bird hunting stamps	25	26	26
02.01 Custom duties on arms and ammunition	17	17	17
02.99 Total receipts and collections	42	43	43
Appropriations:			
05.00 Migratory bird conservation account	-42	-42	-42
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 14-5137-0-2-303	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Printing and sale of duck stamps	1	1	1
00.03 Acquisition of refuges and other areas	52	45	42
10.00 Total new obligations	53	46	43
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	19	9	5
22.00 New budget authority (gross)	42	42	42
23.90 Total budgetary resources available for obligation	61	51	47
23.95 Total new obligations	-53	-46	-43
24.40 Unobligated balance carried forward, end of year	9	5	4

New budget authority (gross), detail:

Mandatory:			
60.20 Appropriation (special fund)	42	42	42

Change in obligated balances:

72.40 Obligated balance, start of year	12	19	23
73.10 Total new obligations	53	46	43
73.20 Total outlays (gross)	-45	-42	-42
74.40 Obligated balance, end of year	19	23	23

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	29	29	29
86.98 Outlays from mandatory balances	16	13	13
87.00 Total outlays (gross)	45	42	42

Net budget authority and outlays:

89.00 Budget authority	42	42	42
90.00 Outlays	45	42	42

The following funds are available for the costs of locating and acquiring migratory bird refuges and waterfowl production areas: receipts in excess of Postal Service expenses from the sale of migratory bird hunting and conservation stamps; 70 percent of entrance fee collections on national wildlife refuges, excepting national wildlife refuges participating in the Recreational Fee Demonstration Program that may retain additional fee collections for operational and maintenance improvements; and import duties on arms and ammunition.

Object Classification (in millions of dollars)

Identification code 14-5137-0-2-303	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	5	5	6
12.1 Civilian personnel benefits	1	1	1
21.0 Travel and transportation of persons	1	1	1
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	2	2	2
32.0 Land and structures	42	35	31
99.0 Direct obligations	52	45	42
99.5 Below reporting threshold	1	1	1

99.9 Total new obligations	53	46	43
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Personnel Summary

Identification code 14-5137-0-2-303	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	92	86	66

NORTH AMERICAN WETLANDS CONSERVATION FUND

For expenses necessary to carry out the provisions of the North American Wetlands Conservation Act, Public Law 101-233, as amended, **[\$43,500,000]** \$43,560,000, to be derived from the *Land and Water Conservation Fund*, to remain available until expended and to be for the conservation activities defined in section 250(c)(4)(E) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, for the purposes of such Act: *Provided, That, notwithstanding any other provision of law, amounts in excess of funds provided in fiscal year 2001 shall be used only for projects in the United States.* (Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Unavailable Collections (in millions of dollars)

Identification code 14-5241-0-2-302	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	1	1	1
Receipts:			
02.00 Fines, penalties, and forfeitures from Migratory Bird Treaty Act	1	1	1
04.00 Total: Balances and collections	2	2	2
Appropriations:			
05.00 North American wetlands conservation fund	-1	-1	-1
07.99 Balance, end of year	1	1	1

Program and Financing (in millions of dollars)

Identification code 14-5241-0-2-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Wetlands conservation projects—Title I	24	44	
00.02 Administration	1	1	1
00.03 Wetlands conservation projects—LWCF	17		44
10.00 Total new obligations	42	45	45
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	6	6	5
22.00 New budget authority (gross)	41	45	45
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	48	51	50
23.95 Total new obligations	-42	-45	-45
24.40 Unobligated balance carried forward, end of year	6	5	5

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	20	44	
40.20 Appropriation (special fund, definite) LWCF	20		44
43.00 Appropriation (total discretionary)	40	44	44
Mandatory:			
60.20 Appropriation (special fund)	1	1	1
70.00 Total new budget authority (gross)	41	45	45

Change in obligated balances:

72.40 Obligated balance, start of year	34	58	60
73.10 Total new obligations	42	45	45
73.20 Total outlays (gross)	-16	-43	-45
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	58	60	60

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	14	31	31
86.93 Outlays from discretionary balances	1	11	13
86.97 Outlays from new mandatory authority	1	1	1
87.00 Total outlays (gross)	16	43	45

Net budget authority and outlays:				
89.00	Budget authority	41	45	45
90.00	Outlays	16	43	45

Funds deposited into this account include direct appropriations and fines, penalties, and forfeitures collected under the authority of the Migratory Bird Treaty Act (16 U.S.C. 707) and interest on obligations held in the Federal Aid in Wildlife Restoration Fund. The North American Wetlands Conservation Fund supports wetlands conservation projects approved by the Migratory Bird Conservation Commission. A portion of receipts to the Sport Fish Restoration Account is also available for coastal wetlands conservation projects.

These projects help fulfill the habitat protection, restoration and enhancement goals of the North American Waterfowl Management Plan and the Tripartite Agreement among Mexico, Canada and the United States. These projects may involve partnerships with public agencies and private entities, with non-Federal matching contributions, for the long-term conservation of habitat for migratory birds and other fish and wildlife, including species that are listed, or are candidates to be listed, under the Endangered Species Act (16 U.S.C. 1531).

Wetlands conservation projects include the obtaining of a real property interest in lands or waters, including water rights; the restoration, management or enhancement of habitat; and training and development for conservation management in Mexico. Funding may be provided for assistance for wetlands conservation projects in Canada or Mexico.

Object Classification (in millions of dollars)

Identification code 14-5241-0-2-302				
		2001 actual	2002 est.	2003 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	1	1	1
41.0	Grants, subsidies, and contributions	40	43	43
99.0	Direct obligations	41	44	44
99.5	Below reporting threshold	1	1	1
99.9	Total new obligations	42	45	45

Personnel Summary

Identification code 14-5241-0-2-302				
		2001 actual	2002 est.	2003 est.
1001	Total compensable workyears: Full-time equivalent employment	11	11	11

COOPERATIVE ENDANGERED SPECIES CONSERVATION FUND

For expenses necessary to carry out section 6 of the Endangered Species Act of 1973 (16 U.S.C. 1531-1543), as amended, [\$96,235,000] \$91,000,000, to be derived from the [Cooperative Endangered Species Conservation] Land and Water Conservation Fund, to remain available until expended, and to be for the conservation activities defined in section 250(c)(4)(E) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, for the purposes of such Act. (Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Unavailable Collections (in millions of dollars)

Identification code 14-5143-0-2-302				
		2001 actual	2002 est.	2003 est.
01.99	Balance, start of year	176	182	122
Receipts:				
02.40	Payment from the general fund	33	36	34
04.00	Total: Balances and collections	209	218	156
Appropriations:				
05.00	Cooperative endangered species conservation fund	-27	-96	
07.99	Balance, end of year	182	122	156

Program and Financing (in millions of dollars)

Identification code 14-5143-0-2-302				
		2001 actual	2002 est.	2003 est.
Obligations by program activity:				
00.01	Grants to States	21	47	47
00.02	Grants to States/Land acquisition/HCPs	34	71	71
00.03	Grant Administration	1	3	3
00.05	Payment to special fund unavailable receipt account	33	36	34
10.00	Total new obligations	89	157	155
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	12	62	37
22.00	New budget authority (gross)	138	132	125
22.10	Resources available from recoveries of prior year obligations	1		
23.90	Total budgetary resources available for obligation	151	194	162
23.95	Total new obligations	-89	-157	-155
24.40	Unobligated balance carried forward, end of year	62	37	7
New budget authority (gross), detail:				
Discretionary:				
Appropriation (special fund):				
40.20	Appropriation (Cooperative and Endangered Species special fund)	27	96	
40.20	Appropriation (LWCF special fund)	78		91
43.00	Appropriation (total discretionary)	105	96	91
Mandatory:				
60.00	Appropriation	33	36	34
70.00	Total new budget authority (gross)	138	132	125

Change in obligated balances:

72.40	Obligated balance, start of year	23	57	111
73.10	Total new obligations	89	157	155
73.20	Total outlays (gross)	-53	-103	-134
73.45	Recoveries of prior year obligations	-1		
74.40	Obligated balance, end of year	57	111	132

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	10	10	9
86.93	Outlays from discretionary balances	10	58	90
86.97	Outlays from new mandatory authority	33	36	34
87.00	Total outlays (gross)	53	103	134

Net budget authority and outlays:

89.00	Budget authority	138	132	125
90.00	Outlays	53	103	134

The Cooperative Endangered Species Conservation Fund provides grants to States and U.S. territories for conservation, recovery, and monitoring projects for species that are listed, or species that are candidates for listing, as threatened or endangered. Grants are also awarded to States for land acquisition in support of Habitat Conservation Plans and species recovery efforts in partnership with local governments and other interested parties to protect species while allowing development to continue. The Fund is partially financed by permanent appropriations from the General Fund of the U.S. Treasury in an amount equal to five percent of receipts deposited to the Federal aid in wildlife and sport fish restoration accounts and amounts equal to Lacey Act receipts over \$500,000. The actual amount available for grants is subject to annual appropriations.

Object Classification (in millions of dollars)

Identification code 14-5143-0-2-302				
		2001 actual	2002 est.	2003 est.
Direct obligations:				
41.0	Grants, subsidies, and contributions	89	156	154
99.5	Below reporting threshold		1	1
99.9	Total new obligations	89	157	155

General and special funds—Continued**WILDLIFE CONSERVATION AND APPRECIATION FUND—Continued**
COOPERATIVE ENDANGERED SPECIES CONSERVATION FUND—Continued**Personnel Summary**

Identification code 14-5143-0-2-302	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	6	6	6

NATIONAL WILDLIFE REFUGE FUND

For expenses necessary to implement the Act of October 17, 1978 (16 U.S.C. 715s), **[\$14,414,000] \$14,558,000.** (Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Unavailable Collections (in millions of dollars)

Identification code 14-5091-0-2-806	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 National wildlife refuge fund	6	7	7
Appropriations:			
05.00 National wildlife refuge fund	-6	-7	-7
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 14-5091-0-2-806	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Expenses for sales	2	3	3
00.03 Payments to counties	16	18	19
10.00 Total new obligations	18	21	22
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4	3	3
22.00 New budget authority (gross)	17	21	21
23.90 Total budgetary resources available for obligation	21	24	24
23.95 Total new obligations	-18	-21	-22
24.40 Unobligated balance carried forward, end of year	3	3	2
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation (general fund)	11	14	14
Mandatory:			
60.20 Appropriation (special fund)	6	7	7
70.00 Total new budget authority (gross)	17	21	21
Change in obligated balances:			
73.10 Total new obligations	18	21	22
73.20 Total outlays (gross)	-18	-21	-21
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	11	14	14
86.97 Outlays from new mandatory authority	2	2	2
86.98 Outlays from mandatory balances	5	5	5
87.00 Total outlays (gross)	18	21	21
Net budget authority and outlays:			
89.00 Budget authority	17	21	21
90.00 Outlays	18	21	21

The Refuge Revenue Sharing Act (16 U.S.C. 715s) authorizes revenues through the sale of products from Service lands, less expenses for producing revenue and activities related to revenue sharing. The Fish and Wildlife Service makes payments to counties in which Service lands are located. If the net revenues are insufficient to make full payments according to the formula contained in the Act, direct appropriations are authorized to make up the difference.

Object Classification (in millions of dollars)

Identification code 14-5091-0-2-806	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
41.0 Grants, subsidies, and contributions	16	18	19
99.0 Direct obligations	17	19	20
99.5 Below reporting threshold	1	2	2
99.9 Total new obligations	18	21	22

Personnel Summary

Identification code 14-5091-0-2-806	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	32	32	32

RECREATIONAL FEE DEMONSTRATION PROGRAM**Unavailable Collections (in millions of dollars)**

Identification code 14-5252-0-2-303	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 Recreational fee demonstration program, FWS	4	5	5
Appropriations:			
05.00 Recreational fee demonstration program	-4	-5	-5
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 14-5252-0-2-303	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	3	4	4
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	4	4
22.00 New budget authority (gross)	4	5	5
22.10 Resources available from recoveries of prior year obligations		-1	
23.90 Total budgetary resources available for obligation	7	8	9
23.95 Total new obligations	-3	-4	-4
24.40 Unobligated balance carried forward, end of year	4	4	4
New budget authority (gross), detail:			
Mandatory:			
60.20 Appropriation (special fund)	4	5	5
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	
73.10 Total new obligations	3	4	4
73.20 Total outlays (gross)	-3	-4	-5
73.45 Recoveries of prior year obligations		1	
74.40 Obligated balance, end of year	1		
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	3	4	4
86.98 Outlays from mandatory balances	1	1	2
87.00 Total outlays (gross)	3	4	5
Net budget authority and outlays:			
89.00 Budget authority	4	5	5
90.00 Outlays	3	4	5

In 1997, the U.S. Fish and Wildlife Service initiated the recreational fee demonstration program at selected refuges and other public sites. Entrance fees and other user receipts collected at sites are deposited into the Recreational fee demonstration program account.

The fee program demonstrates the feasibility of user-generated cost recovery for the operation and maintenance of recreation areas or sites and habitat enhancement projects

on Federal lands. Fees are used primarily at the site to improve visitor access, enhance public safety and security, address backlogged maintenance needs, and meet other operational needs. The temporary authority for this program expires at the end of fiscal year 2004. To ensure that fee revenue remains available for refuge improvements after 2004, the Administration will propose legislation providing permanent fee authority.

Object Classification (in millions of dollars)			
Identification code 14-5252-0-2-303	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.3 Personnel compensation: Other than full-time permanent	3	3	1
24.0 Printing and reproduction			1
26.0 Supplies and materials			1
99.0 Direct obligations	3	3	3
99.5 Below reporting threshold		1	1
99.9 Total new obligations	3	4	4

Personnel Summary			
Identification code 14-5252-0-2-303	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	31	31	31

FEDERAL AID IN WILDLIFE RESTORATION

Unavailable Collections (in millions of dollars)			
Identification code 14-5029-0-2-303	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	215	198	257
Receipts:			
02.00 Excise taxes	198	207	215
02.40 Earnings on investments	24	15	19
02.41 Payment from the general fund	50		
02.99 Total receipts and collections	272	222	234
04.00 Total: Balances and collections	487	420	491
Appropriations:			
05.00 Federal aid in wildlife restoration	-289	-213	-226
06.10 Unobligated balance returned to receipts		50	
07.99 Balance, end of year	198	257	265

Program and Financing (in millions of dollars)			
Identification code 14-5029-0-2-303	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Grants from Commerce Appropriation	14	10	18
00.02 Hunter education & safety program	7	8	8
00.03 Multi-state conservation grant program	3	3	3
00.04 Administration	6	9	8
00.05 Wildlife restoration grants	209	179	188
00.06 NAWCF (interest used for grants)	22	15	19
Grants from Commerce—General Fund payment:			
00.07 Grants from Commerce—General Fund payment	50		
10.00 Total new obligations	311	224	244
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	265	323	262
22.00 New budget authority (gross)	339	213	226
22.10 Resources available from recoveries of prior year obligations	30		
23.90 Total budgetary resources available for obligation	634	536	488
23.95 Total new obligations	-311	-224	-244
23.98 Unobligated balance expiring or withdrawn		-50	
24.40 Unobligated balance carried forward, end of year	323	262	244

New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation (C/I/S)	50		

Mandatory:			
Appropriation (special fund):			
60.20 Appropriation (special fund)	24	15	19
60.20 Appropriation (special fund)	50		
60.20 Appropriation (special fund)	215	198	207
62.50 Appropriation (total mandatory)	289	213	226
70.00 Total new budget authority (gross)	339	213	226

Change in obligated balances:			
72.40 Obligated balance, start of year	173	182	173
73.10 Total new obligations	311	224	244
73.20 Total outlays (gross)	-273	-232	-276
73.45 Recoveries of prior year obligations	-30		
74.40 Obligated balance, end of year	182	173	141

Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	50		
86.93 Outlays from discretionary balances		10	18
86.97 Outlays from new mandatory authority	43	32	34
86.98 Outlays from mandatory balances	180	190	224
87.00 Total outlays (gross)	273	232	276

Net budget authority and outlays:			
89.00 Budget authority	339	213	226
90.00 Outlays	273	232	276

Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value	407	479	500
92.02 Total investments, end of year: Federal securities:			
Par value	479	500	512

The Federal Aid in Wildlife Restoration Act, popularly known as the Pittman-Robertson Wildlife Restoration Act, created a program to fund the selection, restoration, rehabilitation and improvement of wildlife habitat, and wildlife management research. Under the program, States, Puerto Rico, Guam, the Virgin Islands, American Samoa, and the Northern Mariana Islands are allocated funds from the 11 percent excise tax on sporting arms and ammunition, the 10 percent excise tax on handguns, and the 12.4 percent tax on certain archery equipment. States are reimbursed up to 75 percent of the cost of approved wildlife and hunter education projects.

The Wildlife and Sport Fish Restoration Programs Improvement Act of 2000 (P.L. 106-408) amends the Pittman-Robertson Wildlife Restoration Act to authorize the Secretary of Interior to implement a multi-State conservation grant program and a firearm and bow hunter education and safety program which provides grants to the States.

Section 901 of Title IX of the Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002 (P.L. 106-553) authorizes a new grant program, the Wildlife Conservation and Restoration Account, to supplement existing funding available to the States and territories from the Sport Fish Restoration account and Federal Aid in Wildlife Restoration account. The 2001 appropriation included \$50 million to be used by State, territory or an Indian Tribe for the planning, development, revision and implementation of its wildlife conservation and restoration program and wildlife conservation strategy, including wildlife conservation, wildlife education, and wildlife-associated recreation projects.

Object Classification (in millions of dollars)			
Identification code 14-5029-0-2-303	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	4	4	4
12.1 Civilian personnel benefits	1	1	1
21.0 Travel and transportation of persons	1	1	1
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	2	1	3
25.5 Research and development contracts	1	1	2
31.0 Equipment	2	2	3
32.0 Land and structures	1	1	1
Grants, subsidies, and contributions:			
41.0 Grants, subsidies, and contributions	50		

General and special funds—Continued

WILDLIFE CONSERVATION AND APPRECIATION FUND—Continued

FEDERAL AID IN WILDLIFE RESTORATION—Continued

Object Classification (in millions of dollars)—Continued

Identification code 14-5029-0-2-303	2001 actual	2002 est.	2003 est.
41.0 Grants, subsidies, and contributions	248	212	228
99.9 Total new obligations	311	224	244

Personnel Summary

Identification code 14-5029-0-2-303	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	65	59	59

MISCELLANEOUS PERMANENT APPROPRIATIONS

Unavailable Collections (in millions of dollars)

Identification code 14-9927-0-2-302	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 Rents and charges for quarters	2	3	3
02.22 Proceeds from sales, water resources development project	1		
02.99 Total receipts and collections	3	3	3
Appropriations:			
05.00 Miscellaneous permanent appropriations, U.S. Fish and Wildlife Service	-3	-3	-3
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 14-9927-0-2-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Operation & maintenance of quarters	3	3	3
10.00 Total new obligations	3	3	3
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	4	3
22.00 New budget authority (gross)	3	3	3
23.90 Total budgetary resources available for obligation	6	7	6
23.95 Total new obligations	-3	-3	-3
24.40 Unobligated balance carried forward, end of year	4	3	3
New budget authority (gross), detail:			
Mandatory:			
60.20 Appropriation (special fund)	3	3	3
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	
73.10 Total new obligations	3	3	3
73.20 Total outlays (gross)	-2	-3	-3
74.40 Obligated balance, end of year	1		
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	1	1	1
86.98 Outlays from mandatory balances	2	2	2
87.00 Total outlays (gross)	2	3	3
Net budget authority and outlays:			
89.00 Budget authority	3	3	3
90.00 Outlays	2	3	3

Operation and maintenance of quarters.—Revenue from rental of government quarters is deposited in this account for use in the operation and maintenance of such quarters for the Fish and Wildlife Service, pursuant to Public Law 98-473, Section 320.

Proceeds from sales, water resources development projects.—Receipts collected from the sale of timber and crops from refuges leased or licensed from the Department of the Army may be used to pay the costs of production of the timber and crops and for managing wildlife habitat.

Lahontan Valley and Pyramid Lake Fish and Wildlife Fund.—Under the Truckee-Carson Pyramid Lake Settlement Act of 1990, the Lahontan Valley and Pyramid Lake Fish and Wildlife Fund receives revenues from non-federal parties to support the restoration and enhancement of wetlands in the Lahontan Valley and to restore and protect Pyramid Lake fisheries. Payments made in excess of operation and maintenance costs of the Stampede Reservoir are available without further appropriations. Donations made for express purposes, state cost-sharing funds, and unexpended interest from the Pyramid Lake Paiute Fisheries Fund are available without further appropriation. The Secretary is also authorized to deposit proceeds from the sale of certain lands, interests in lands, and water rights into the Pyramid Lake Fish and Wildlife Fund.

Object Classification (in millions of dollars)

Identification code 14-9927-0-2-302	2001 actual	2002 est.	2003 est.
Direct obligations:			
25.4 Operation and maintenance of facilities	1	1	1
26.0 Supplies and materials	1		
99.0 Direct obligations	2	1	1
99.5 Below reporting threshold	1	2	2
99.9 Total new obligations	3	3	3

Personnel Summary

Identification code 14-9927-0-2-302	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	5	5	5

Trust Funds

SPORT FISH RESTORATION

Program and Financing (in millions of dollars)

Identification code 14-8151-0-7-303	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Payments to States for sport fish restoration	273	339	329
00.03 North American Wetlands Conservation Grants	11	13	12
00.04 Coastal Wetlands Conservation Grants	12	13	12
00.05 Clean Vessel Act- Pumpout Stations Grants	12	10	10
00.06 Administration	10	9	9
00.07 National Communication & Outreach	8	8	8
00.08 Non-trailerable Recreational Vessel Access	3	8	8
00.09 Multi-State Conservation Grants	3	3	3
00.10 Marine Fisheries Commissions & Boating Council	1	1	1
10.00 Total new obligations	333	404	392
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	105	118	71
22.00 New budget authority (gross)	301	357	338
22.10 Resources available from recoveries of prior year obligations	44		
23.90 Total budgetary resources available for obligation	450	475	409
23.95 Total new obligations	-333	-404	-392
24.40 Unobligated balance carried forward, end of year	118	71	17
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)	418	483	460
61.00 Transferred to other accounts	-117	-126	-122
62.50 Appropriation (total mandatory)	301	357	338

Change in obligated balances:				
72.40	Obligated balance, start of year	298	296	388
73.10	Total new obligations	333	404	392
73.20	Total outlays (gross)	-291	-312	-331
73.45	Recoveries of prior year obligations	-44		
74.40	Obligated balance, end of year	296	388	449
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	90	107	101
86.98	Outlays from mandatory balances	201	205	230
87.00	Total outlays (gross)	291	312	331
Net budget authority and outlays:				
89.00	Budget authority	301	357	338
90.00	Outlays	291	312	331

The Federal Aid in Sport Fish Restoration Act, commonly referred to as the Dingell-Johnson Sport Fish Restoration Act (as modified by the Wallop-Breaux amendment) created a fishery resources, conservation, and restoration program funded by an excise tax on fishing and sporting equipment.

Since 1992 the Sport Fish Restoration Fund has supported coastal wetlands grants pursuant to the Coastal Wetlands Planning, Protection and Restoration Act (P.L. 101-646). Additional revenue from small engine fuel taxes was provided under the Surface Transportation Extension Act of 1997.

The Coastal Wetlands Planning, Protection and Restoration Act requires an amount equal to 18 percent of the total deposits into the Sport Fish Restoration Fund, or amounts collected in small engine fuels excise taxes as provided by 26 U.S.C. 9504(b), whichever is greater, to be distributed as follows: 70 percent shall be available to the Corps of Engineers for priority project and conservation planning activities; 15 percent shall be available to the Fish and Wildlife Service for coastal wetlands conservation grants; and 15 percent to the Fish and Wildlife Service for wetlands conservation projects under Section 8 of the North American Wetlands Conservation Act (P.L. 101-233).

The Clean Vessel Act authorizes the Secretary of the Interior to make grants to States, in specified amounts, to carry out projects for the construction, renovation, operation, and maintenance of pumpout stations and waste reception facilities. The Sport Fish Restoration Act, as amended, provides for the transfer of funds from the Sport fish restoration account of the Aquatic Resources Trust Fund for use by the Secretary of the Interior to carry out the purposes of this Act and for use by the Secretary of Transportation for State recreational boating safety programs (46 USC 13106(a)(1)). The Sportfishing and Boating Safety Act authorizes the Secretary of the Interior to develop national and state outreach plans to promote safe fishing and boating opportunities and the conservation of aquatic resources, as well as to make grants to states for developing and maintaining facilities for certain recreational vessels.

Assistance is provided to States, Puerto Rico, Guam, the Virgin Islands, American Samoa, the Northern Mariana Islands, and the District of Columbia for up to 75 percent of the cost of approved projects including: research into fisheries problems, surveys and inventories of fish populations, and acquisition and improvement of fish habitat and provision of access for public use.

The Wildlife and Sport Fish Restoration Programs Improvement Act of 2000 (P.L. 106-408) amends the Dingell-Johnson Sport Fish Restoration Act to authorize the Secretary of Interior to implement a multi-State conservation grant program and provide funding for several fisheries commissions and the Sport Fishing and Boating Partnership Council.

Object Classification (in millions of dollars)				
Identification code 14-8151-0-7-303	2001 actual	2002 est.	2003 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	5	4	3

12.1	Civilian personnel benefits	1	1	1
25.2	Other services	1	2	2
25.3	Other purchases of goods and services from Government accounts			
		2	2	2
25.5	Research and development contracts	1	2	2
31.0	Equipment	1	1	1
41.0	Grants, subsidies, and contributions	320	392	381
99.0	Direct obligations	331	404	392
99.5	Below reporting threshold	2		
99.9	Total new obligations	333	404	392

Personnel Summary

Identification code 14-8151-0-7-303	2001 actual	2002 est.	2003 est.	
1001	Total compensable workyears: Full-time equivalent employment	72	56	56

CONTRIBUTED FUNDS

Unavailable Collections (in millions of dollars)

Identification code 14-8216-0-7-302	2001 actual	2002 est.	2003 est.	
01.99	Balance, start of year			
Receipts:				
02.20	Deposits, contributed funds, U.S. Fish and Wildlife Service	5	4	4
Appropriations:				
05.00	Contributed funds, U.S. Fish and Wildlife Service	-5	-4	-4
07.99	Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 14-8216-0-7-302	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
10.00	Total new obligations	4	4	4
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	3	5	5
22.00	New budget authority (gross)	5	4	4
23.90	Total budgetary resources available for obligation	8	9	10
23.95	Total new obligations	-4	-4	-4
24.40	Unobligated balance carried forward, end of year	5	5	6

New budget authority (gross), detail:

Mandatory:				
60.26	Appropriation (trust fund)	5	4	4

Change in obligated balances:

72.40	Obligated balance, start of year	1	1	2
73.10	Total new obligations	4	4	4
73.20	Total outlays (gross)	-4	-3	-4
74.40	Obligated balance, end of year	1	2	2

Outlays (gross), detail:

86.97	Outlays from new mandatory authority	1	1	1
86.98	Outlays from mandatory balances	1	1	3
87.00	Total outlays (gross)	4	3	4

Net budget authority and outlays:

89.00	Budget authority	5	4	4
90.00	Outlays	4	3	4

Donated funds support activities such as endangered species projects and refuge operations and maintenance.

Object Classification (in millions of dollars)

Identification code 14-8216-0-7-302	2001 actual	2002 est.	2003 est.	
Direct obligations:				
25.2	Other services	1	1	1
26.0	Supplies and materials	1	1	1
32.0	Land and structures	1	1	1
41.0	Grants, subsidies, and contributions			1

CONTRIBUTED FUNDS—Continued

Object Classification (in millions of dollars)—Continued

Identification code 14-8216-0-7-302	2001 actual	2002 est.	2003 est.
99.0 Direct obligations	3	3	4
99.5 Below reporting threshold	1	1	
99.9 Total new obligations	4	4	4

Personnel Summary

Identification code 14-8216-0-7-302	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	18	15	15

ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedule of the parent appropriation as follows:

- The Department of the Interior: Bureau of Land Management: "Wildland Fire Management".
- The Department of the Interior: Bureau of Land Management, "Central Hazardous Materials Fund".
- The Department of Agriculture: Forest Service: "Forest Pest Management".
- The General Services Administration: "Federal Buildings Fund".
- The General Services Administration: "Real Property Relocation".
- The Department of Labor, Employment and Training Administration: "Training and Employment Services".
- The Department of Transportation: Federal Highway Administration: "Federal-Aid Highways."
- The Department of the Interior: Departmental Offices: "Natural Resource Damage Assessment Fund."

ADMINISTRATIVE PROVISIONS

Appropriations and funds available to the United States Fish and Wildlife Service shall be available for purchase of not to exceed [74] 102 passenger motor vehicles, of which [69] 75 are for replacement only (including [32] 39 for police-type use); repair of damage to public roads within and adjacent to reservation areas caused by operations of the Service; options for the purchase of land at not to exceed \$1 for each option; facilities incident to such public recreational uses on conservation areas as are consistent with their primary purpose; and the maintenance and improvement of aquaria, buildings, and other facilities under the jurisdiction of the Service and to which the United States has title, and which are used pursuant to law in connection with management and investigation of fish and wildlife resources: *Provided*, That notwithstanding 44 U.S.C. 501, the Service may, under cooperative cost sharing and partnership arrangements authorized by law, procure printing services from co-operators in connection with jointly produced publications for which the cooperators share at least one-half the cost of printing either in cash or services and the Service determines the cooperator is capable of meeting accepted quality standards: *Provided further*, That the Service may accept donated aircraft as replacements for existing aircraft: *Provided further*, That notwithstanding any other provision of law, the Secretary of the Interior [may not spend] shall notify the House and Senate Committees on Appropriations at least 30 days prior to the obligation of any of the funds appropriated in this Act for the purchase of lands or interests in lands to be used in the establishment of any new unit of the National Wildlife Refuge System [unless the purchase is approved in advance by the House and Senate Committees on Appropriations in compliance with the reprogramming procedures contained in Senate Report 105-56]. (*Department of the Interior and Related Agencies Appropriations Act, 2002.*)

NATIONAL PARK SERVICE

Federal Funds

General and special funds:

OPERATION OF THE NATIONAL PARK SYSTEM

For expenses necessary for the management, operation, and maintenance of areas and facilities administered by the National Park

Service (including special road maintenance service to trucking permittees on a reimbursable basis), and for the general administration of the National Park Service, [\$1,476,977,000] \$1,644,510,000, of which [\$10,869,000] \$6,878,000 for [research,] planning and inter-agency coordination in support of [land acquisition for] Everglades restoration shall remain available until expended; [and] of which [\$72,640,000] \$90,280,000 to remain available until September 30, [2003] 2004, is for maintenance repair or rehabilitation projects for constructed assets, operation of the National Park Service automated facility management software system, and comprehensive facility condition assessments; of which not less than \$9,000,000 is for reimbursement of the United States Geological Survey for conduct of National Park Service Natural Resource Challenge activities; of which \$22,000,000 is for conservation spending category activities pursuant to 251 (c) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, for the purposes of discretionary spending limits; and of which \$2,000,000 is for the Youth Conservation Corps, defined in section 250(c)(4)(E) of the Balanced Budget and Emergency Deficit Control Act [of 1985, as amended], for the purposes of such Act, for high priority projects: *Provided*, That the only funds in this account which may be made available to support United States Park Police are those funds approved for emergency law and order incidents pursuant to established National Park Service procedures, those funds needed to maintain and repair United States Park Police administrative facilities, and those funds necessary to reimburse the United States Park Police account for the unbudgeted overtime and travel costs associated with special events for an amount not to exceed \$10,000 per event subject to the review and concurrence of the Washington headquarters office[: *Provided further*, That none of the funds in this or any other Act may be used to fund a new Associate Director position for Partnerships]. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States for "Operation of the National Park System", \$10,098,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38. (*Emergency Supplemental Act, 2002.*)

Unavailable Collections (in millions of dollars)

Identification code 14-1036-0-1-303	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	2		
Receipts:			
02.20 Recreation, entrance and use fees	1		
04.00 Total: Balances and collections	3		
Appropriations:			
05.00 Operation of the national park system	-2		
07.99 Balance, end of year			

Note: The receipts shown in this schedule are on deposit in Treasury account 14-5107, "Recreation, entrance and use fees".

Program and Financing (in millions of dollars)

Identification code 14-1036-0-1-303	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Park management	1,387	1,424	1,536
00.02 External administrative costs	99	105	108
09.01 Reimbursable program	17	17	17
10.00 Total new obligations	1,503	1,546	1,661
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	17	15	31
22.00 New budget authority (gross)	1,462	1,562	1,662
22.10 Resources available from recoveries of prior year obligations	42		
23.90 Total budgetary resources available for obligation	1,521	1,577	1,693
23.95 Total new obligations	-1,503	-1,546	-1,661
23.98 Unobligated balance expiring or withdrawn	-3		
24.40 Unobligated balance carried forward, end of year	15	31	32

New budget authority (gross), detail:

Discretionary:			
Appropriation:			
40.00 Appropriation (general fund)	1,439	1,535	1,645

40.00	Appropriation (terrorism supplemental)	10		
40.20	Appropriation (special fund)	2		
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)	-3		
42.00	Transferred from other accounts	7		
43.00	Appropriation (total discretionary)	1,445	1,545	1,645
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	17	17	17
70.00	Total new budget authority (gross)	1,462	1,562	1,662

Change in obligated balances:

72.40	Obligated balance, start of year	285	320	341
73.10	Total new obligations	1,503	1,546	1,661
73.20	Total outlays (gross)	-1,434	-1,527	-1,639
73.40	Adjustments in expired accounts (net)	8		
73.45	Recoveries of prior year obligations	-42		
74.40	Obligated balance, end of year	320	341	363

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	1,132	1,190	1,266
86.93	Outlays from discretionary balances	301	337	373
87.00	Total outlays (gross)	1,434	1,527	1,639

Offsets:

88.40	Against gross budget authority and outlays: Offsetting collections (cash) from: Non-Federal sources	-17	-17	-17
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Net budget authority and outlays:

89.00	Budget authority	1,445	1,545	1,645
90.00	Outlays	1,418	1,510	1,622

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	1,393	1,487	1,585
90.00 Outlays	1,365	1,452	1,562

The National Park System contains 385 areas and 84.4 million acres of land in 49 States, the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Guam, Samoa, and the Northern Marianas. These areas have been established to protect and preserve the cultural and natural heritage of the United States and its territories. Park visits total over 285 million annually. This appropriation funds the operation of individual units of the National Park System as well as planning and administrative support for the entire system. The budget makes repair and rehabilitation funds available for two years, to provide the flexibility needed to carry out these programs. In addition, repair and rehabilitation project funds are specifically appropriated only for repair and rehabilitation projects, which include but are not limited to facility, campground, and trail rehabilitation; roadway overlay and/or reconditioning; bridge repair; wastewater and water line replacement; and the rewiring of buildings, and for operation of the National Park Service automated facility management software system and for comprehensive facility condition assessments.

PERFORMANCE MEASURES^{1,2,3}

Satisfaction of respondents to National Park Service Survey

	1999 act.	2000 act.	2001 act.
Recreational visitation (1,000)	284,107	286,967	285,213
Overall Quality of Services:			
Very good	62%	63%	64%
Good	32%	32%	31%
Average	5%	5%	5%
Poor	1%	1%	1%
Very poor	0%	0%	1%
Assistance from Park Employees:			
Very good	76%	76%	77%
Good	19%	19%	19%
Average	4%	4%	3%
Poor	1%	1%	1%

Very poor	0%	0%	0%
Visitor Centers:			
Very good	64%	64%	65%
Good	28%	28%	27%
Average	7%	7%	6%
Poor	1%	1%	1%
Very poor	0%	0%	0%
Restrooms:			
Very good	46%	46%	50%
Good	34%	33%	33%
Average	15%	14%	13%
Poor	4%	3%	3%
Very poor	1%	1%	1%
Ranger Programs:			
Very good	69%	67%	68%
Good	24%	25%	25%
Average	6%	6%	6%
Poor	1%	1%	1%
Very poor	0%	1%	0%
Exhibits:			
Very good	57%	57%	58%
Good	33%	32%	32%
Average	9%	9%	8%
Poor	1%	1%	1%
Very poor	0%	0%	0%
Park brochures/maps:			
Very good	64%	64%	65%
Good	29%	29%	28%
Average	6%	6%	6%
Poor	1%	1%	1%
Very poor	0%	0%	0%
Commercial Services:			
Very good	36%	36%	38%
Good	35%	35%	34%
Average	22%	21%	21%
Poor	6%	5%	5%
Very poor	2%	2%	2%

¹ In 2001, the survey response rate was 26 percent.

² Numbers may not add to 100% due to rounding.

³ Number of parks that completed the survey: 307 in 1999; 305 in 2000; and 303 in 2001.

"N/A" means not available.

Object Classification (in millions of dollars)

Identification code 14-1036-0-1-303	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	582	602	628
11.3 Other than full-time permanent	78	81	84
11.5 Other personnel compensation	32	35	33
11.9 Total personnel compensation	692	718	745
12.1 Civilian personnel benefits	192	248	260
13.0 Benefits for former personnel	25	25	26
21.0 Travel and transportation of persons	35	35	30
22.0 Transportation of things	19	19	20
23.1 Rental payments to GSA	40	43	44
23.2 Rental payments to others	2	2	2
23.3 Communications, utilities, and miscellaneous charges	47	48	49
24.0 Printing and reproduction	4	4	4
25.1 Advisory and assistance services	2	2	2
25.2 Other services	247	230	303
25.3 Other purchases of goods and services from Government accounts	4	4	4
25.4 Operation and maintenance of facilities	11	11	11
25.5 Research and development contracts	4	4	4
25.7 Operation and maintenance of equipment	6	6	6
26.0 Supplies and materials	91	92	95
31.0 Equipment	25	25	26
32.0 Land and structures	12	12	12
41.0 Grants, subsidies, and contributions	27		
99.0 Direct obligations	1,485	1,528	1,643
99.0 Reimbursable obligations	17	17	17
25.2 Allocation Account: Other services	1	1	1
99.9 Total new obligations	1,503	1,546	1,661

Personnel Summary

Identification code 14-1036-0-1-303	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	15,737	15,592	15,775

General and special funds—Continued

OPERATION OF THE NATIONAL PARK SYSTEM—Continued

Personnel Summary—Continued

Identification code 14-1036-0-1-303	2001 actual	2002 est.	2003 est.
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	119	128	105
Allocation account:			
3001 Total compensable workyears: Full-time equivalent employment	753	923	923

UNITED STATES PARK POLICE

For expenses necessary to carry out the programs of the United States Park Police, **[\$65,260,000] \$81,254,000.** (Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States for the "United States Park Police", \$25,295,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (Emergency Supplemental Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 14-1049-0-1-303	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Operations	62	93	81
00.02 Pension fund	19		
10.00 Total new obligations	81	93	81

Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		2	2
22.00 New budget authority (gross)	83	93	81
23.90 Total budgetary resources available for obligation	83	95	83
23.95 Total new obligations	-81	-93	-81
24.40 Unobligated balance carried forward, end of year	2	2	2

New budget authority (gross), detail:			
Discretionary:			
Appropriation:			
40.00 Appropriation (general)	82	68	81
40.00 Appropriation (terrorism supplemental)		25	
42.00 Transferred from other accounts	1		
43.00 Appropriation (total discretionary)	83	93	81

Change in obligated balances:			
72.40 Obligated balance, start of year		9	20
73.10 Total new obligations	81	93	81
73.20 Total outlays (gross)	-72	-82	-84
74.40 Obligated balance, end of year	9	20	17

Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	72	71	62
86.93 Outlays from discretionary balances		11	22
87.00 Total outlays (gross)	72	82	84

Net budget authority and outlays:			
89.00 Budget authority	83	93	81
90.00 Outlays	72	82	84

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	81	90	78
90.00 Outlays	70	79	81

The United States Park Police is an urban oriented law enforcement organization within the National Park Service.

It performs a full range of law enforcement functions at NPS sites throughout the Washington, D.C., metropolitan area, Statue of Liberty National Monument and Gateway National Recreation Area in New York and New Jersey, and Golden Gate National Recreation Area in California. Its law enforcement authority extends to all National Park Service areas and certain other Federal and State lands. Functions include visitor and facility protection, emergency services, criminal investigations, special security and protection duties, enforcement of drug and vice laws, and traffic and crowd control.

Object Classification (in millions of dollars)

Identification code 14-1049-0-1-303	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	35	40	46
11.5 Other personnel compensation	7	22	7
11.9 Total personnel compensation	42	62	53
12.1 Civilian personnel benefits	32	13	15
21.0 Travel and transportation of persons	1	1	1
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	1	7	6
25.7 Operation and maintenance of equipment	1	1	1
26.0 Supplies and materials	2	3	3
31.0 Equipment	1	5	1
99.9 Total new obligations	81	93	81

Personnel Summary

Identification code 14-1049-0-1-303	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	746	775	823

[CONTRIBUTION FOR ANNUITY BENEFITS]

[For reimbursement (not heretofore made), pursuant to provisions of Public Law 85-157, to the District of Columbia on a monthly basis for benefit payments by the District of Columbia to United States Park Police annuitants under the provisions of the Policeman and Fireman's Retirement and Disability Act (Act), to the extent those payments exceed contributions made by active Park Police members covered under the Act, such amounts as hereafter may be necessary: *Provided*, That hereafter the appropriations made to the National Park Service shall not be available for this purpose.] (Department of the Interior and Related Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 14-1034-0-1-303	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 12.1)		23	25

Budgetary resources available for obligation:			
22.00 New budget authority (gross)		23	25
23.95 Total new obligations		-23	-25

New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation		23	25

Change in obligated balances:			
73.10 Total new obligations		23	25
73.20 Total outlays (gross)		-23	-25

Outlays (gross), detail:			
86.97 Outlays from new mandatory authority		23	25

Net budget authority and outlays:			
89.00 Budget authority		23	25
90.00 Outlays		23	25

Necessary costs of benefit payments to annuitants under the pension program for United States Park Police officers hired prior to January 1, 1984, established under Public Law 85-157, are paid from the General Fund of the Treasury to the extent the payments exceed deductions from salaries of active duty employees in the program. Permanent funding for such payments was provided in the Department of the Interior and Related Agencies Appropriations Act, 2002. Before fiscal year 2002, such payments were funded from appropriations made annually to the National Park Service.

NATIONAL RECREATION AND PRESERVATION

For expenses necessary to carry out recreation programs, natural programs, cultural programs, heritage partnership programs, environmental compliance and review, international park affairs, statutory or contractual aid for other activities, and grant administration, not otherwise provided for, **[\$66,159,000, of which \$500,000 are for grants pursuant to the National Underground Railroad Network to Freedom Act of 1988 (16 U.S.C. 469l, as amended)] \$47,986,000.** (Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 14-1042-0-1-303	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Recreation programs	2	2	2
00.02 Natural programs	11	11	11
00.03 Cultural programs	20	21	19
00.05 Grant administration	2	2	2
00.06 International park affairs	2	2	2
00.07 Statutory or contractual aid	16	17	4
00.08 Heritage partnership programs	11	12	7
00.01 Reimbursable program	1	1	1
10.00 Total new obligations	65	68	48
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4	1
22.00 New budget authority (gross)	62	68	49
22.10 Resources available from recoveries of prior year obligations	1
22.22 Unobligated balance transferred from other accounts	2
23.90 Total budgetary resources available for obligation	69	69	49
23.95 Total new obligations	-65	-68	-48
23.98 Unobligated balance expiring or withdrawn	-1	-1	-1
24.40 Unobligated balance carried forward, end of year	1
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	61	67	48
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1	1	1
70.00 Total new budget authority (gross)	62	68	49
Change in obligated balances:			
72.40 Obligated balance, start of year	27	35	35
73.10 Total new obligations	65	68	48
73.20 Total outlays (gross)	-56	-67	-57
73.40 Adjustments in expired accounts (net)	-1	-1
73.45 Recoveries of prior year obligations	-1
74.40 Obligated balance, end of year	35	35	26
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	38	45	33
86.93 Outlays from discretionary balances	18	22	24
87.00 Total outlays (gross)	56	67	57
Offsets:			
Against gross budget authority and outlays:			
88.45 Offsetting collections (cash) from: Offsetting governmental collections (from non-Federal sources)	-1	-1	-1
Net budget authority and outlays:			
89.00 Budget authority	61	67	48
90.00 Outlays	55	66	56

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	60	66	47
90.00 Outlays	54	65	55

These programs include: maintenance of the National Register of Historic Places; certifications for investment tax credits, management planning of Federally-owned historic properties, and Government-wide archeological programs; documentation of historic properties; the National Center for Preservation Technology and Training; grants under the Native American Graves Protection and Repatriation Act; Nationwide outdoor recreation planning and assistance; transfer of surplus Federal real property; identification and designation of natural landmarks; environmental reviews; heritage partnership programs; the administration of grants; international park affairs; and statutory or contractual aid for other activities.

Object Classification (in millions of dollars)

Identification code 14-1042-0-1-303	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	15	15	15
11.3 Other than full-time permanent	2	2	2
11.9 Total personnel compensation	17	17	17
12.1 Civilian personnel benefits	5	5	5
21.0 Travel and transportation of persons	2	2	2
24.0 Printing and reproduction	1	1
25.2 Other services	15	15	9
26.0 Supplies and materials	1	2	2
31.0 Equipment	1
41.0 Grants, subsidies, and contributions	23	25	11
99.0 Direct obligations	64	67	47
99.0 Reimbursable obligations	1	1	1
99.9 Total new obligations	65	68	48

Personnel Summary

Identification code 14-1042-0-1-303	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	298	282	282
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	9	9	9

URBAN PARK AND RECREATION FUND

For expenses necessary to carry out the provisions of the Urban Park and Recreation Recovery Act of 1978 (16 U.S.C. 2501 et seq.), **[\$30,000,000] \$300,000**, to remain available until expended and to be for the conservation activities defined in section 250(c)(4)(E) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, for the purposes of such Act. (Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 14-1031-0-1-303	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Grants	46	12
00.02 Grants Administration	1	1	1
10.00 Total new obligations	1	47	13
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	30	13

General and special funds—Continued

URBAN PARK AND RECREATION FUND—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 14-1031-0-1-303	2001 actual	2002 est.	2003 est.
22.00 New budget authority (gross)	30	30	
23.90 Total budgetary resources available for obligation	31	60	13
23.95 Total new obligations	-1	-47	-13
24.40 Unobligated balance carried forward, end of year	30	13	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	10	30	
40.20 Appropriation (special fund, definite) LWCF	20		
43.00 Appropriation (total discretionary)	30	30	
Change in obligated balances:			
72.40 Obligated balance, start of year			39
73.10 Total new obligations	1	47	13
73.20 Total outlays (gross)	-1	-8	-21
74.40 Obligated balance, end of year		39	31
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1	2	
86.93 Outlays from discretionary balances		6	21
87.00 Total outlays (gross)	1	8	21
Net budget authority and outlays:			
89.00 Budget authority	30	30	
90.00 Outlays	1	8	21

The Urban Park and Recreation Fund provides matching grants to cities for the renovation of urban park and recreation facilities, targeting low-income inner-city neighborhoods. The 2002 appropriation included a total of \$30 million. While the 2003 Budget proposes no funds for the grant portion of this program for fiscal year 2003, the 2003 Budget proposes funding for administering previously awarded grants.

Object Classification (in millions of dollars)

Identification code 14-1031-0-1-303	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	1	1	
41.0 Grants, subsidies, and contributions		46	13
99.9 Total new obligations	1	47	13

Personnel Summary

Identification code 14-1031-0-1-303	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	7	10	4

CONSTRUCTION AND MAJOR MAINTENANCE

For construction, improvements, repair or replacement of physical facilities, including the modifications authorized by section 104 of the Everglades National Park Protection and Expansion Act of 1989, **[\$366,044,000] \$323,901,000**, to remain available until expended, of which **[\$66,851,000] \$82,202,000**, is for conservation activities defined in section 250(c)(4)(E) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, for the purposes of such Act; *Provided*, That of the amount provided for Cuyahoga National Park, \$200,000 may be used for the Cuyahoga Valley Scenic Railroad platform and station in Canton, Ohio. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States for "Construction", \$21,624,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38: *Provided*, That notwithstanding any other provision of law, single but separate procurements

for the construction of security improvements at the Washington Monument, for security improvements at the Lincoln Memorial, and for security improvements at the Jefferson Memorial, may be issued that include the full scope of each project, except that each solicitation and contract shall contain the clause "availability of funds" found at section 52.232.18 of title 48, Code of Federal Regulations. (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 14-1039-0-1-303	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Line item construction and maintenance	183	264	235
00.02 Special programs	31	37	51
00.03 Construction planning and pre-design services	10	30	25
00.04 Pre-design and supplementary services	8		
00.05 Construction program management and operations	18	18	29
00.06 General management planning	10	11	14
00.07 Maintenance	15	5	
09.01 Reimbursable program	122	76	77
10.00 Total new obligations	397	441	431
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	336	439	484
22.00 New budget authority (gross)	480	466	401
22.10 Resources available from recoveries of prior year obligations	20	20	20
23.90 Total budgetary resources available for obligation	836	925	905
23.95 Total new obligations	-397	-441	-431
24.40 Unobligated balance carried forward, end of year	439	484	474
New budget authority (gross), detail:			
Discretionary:			
Appropriation:			
40.00 Appropriation	246	367	324
40.00 Appropriation (terrorism supplemental)		22	
40.00 Appropriation (BEA Re-appropriation of unobligated balances)	35		
40.15 Appropriation (emergency)	5		
Appropriation (special fund):			
40.20 Appropriation (special fund, definite) HPF	1		
40.20 Federal Infrastructure Improvement (special fund, definite) LWCF	50		
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
42.00 Transferred from other accounts	63	1	
43.00 Appropriation (total discretionary)	399	390	324
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	86	76	77
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-5		
68.90 Spending authority from offsetting collections (total discretionary)	81	76	77
70.00 Total new budget authority (gross)	480	466	401
Change in obligated balances:			
72.40 Obligated balance, start of year	160	244	228
73.10 Total new obligations	397	441	431
73.20 Total outlays (gross)	-299	-437	-453
73.45 Recoveries of prior year obligations	-20	-20	-20
74.00 Change in uncollected customer payments from Federal sources (unexpired)	5		
74.40 Obligated balance, end of year	244	228	186
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	174	135	127
86.93 Outlays from discretionary balances	125	302	326
87.00 Total outlays (gross)	299	437	453
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-51	-41	-42
88.40 Non-Federal sources	-35	-35	-35
88.90 Total, offsetting collections (cash)	-86	-76	-77
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	5		

Net budget authority and outlays:				
89.00	Budget authority	399	390	324
90.00	Outlays	213	361	376

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	398	389	322
90.00	Outlays	212	360	374

Status of Direct Loans (in millions of dollars)

Identification code 14-1039-0-1-303	2001 actual	2002 est.	2003 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	5	5	5
1251	Repayments: Repayments and prepayments			-1
1290	Outstanding, end of year	5	5	4

Note.—The activities previously financed under Department of the Interior, National Park Service, Federal Infrastructure Improvement, from LWCF in 2001 are presented in these schedules.

Line Item Construction.—This activity provides for the construction, rehabilitation, and replacement of those facilities needed to accomplish the management objectives approved for each park. Projects are categorized as facility improvement, utility systems rehabilitation, historic preservation, and natural resource preservation.

Special Programs.—Under this activity several former activity and subactivity components are combined. These include Emergency and Unscheduled Projects, the Seismic Safety of National Park System Buildings Program, Employee Housing, Dam Safety, and Equipment Replacement.

Construction Planning.—This activity includes the project planning function in which funds are used to prepare working drawings, specification documents, and contracts needed to construct or rehabilitate National Park Service facilities.

Pre-Design and Supplementary Services.—Under this activity, provisions are made to undertake workloads in conformance with improvement recommendations of NAPA. Functions include conditions surveys and special reports to acquire archaeological, historical, environmental and engineering design information which represents requisite preliminary stages of the design process.

Construction Program Management and Operations.—This activity complies with NAPA recommendations to base fund construction program management through offices in Washington, D.C. and Denver. In 2003, this effort will be enhanced through additional funding to competitively source construction program management capability.

General Management Plans.—Under this activity, funding is used to prepare General Management Plans and keep them up-to-date to guide National Park Service actions for the protection, use, development, and management of each park unit; and to conduct studies of alternatives for the protection of areas that may have potential for addition to the National Park System.

Object Classification (in millions of dollars)

Identification code 14-1039-0-1-303	2001 actual	2002 est.	2003 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	15	16	17
11.3	Other than full-time permanent	5	5	5
11.5	Other personnel compensation	1	1	1
11.9	Total personnel compensation	21	22	23
12.1	Civilian personnel benefits	5	5	7
21.0	Travel and transportation of persons	3	3	3
23.3	Communications, utilities, and miscellaneous charges	1	1	1
24.0	Printing and reproduction	2	2	2

25.1	Advisory and assistance services	1	1	1
25.2	Other services	175	243	227
25.3	Other purchases of goods and services from Government accounts	3	3	3
26.0	Supplies and materials	7	7	8
31.0	Equipment	16	16	17
32.0	Land and structures	4	4	4
41.0	Grants, subsidies, and contributions	26	26	26
99.0	Direct obligations	264	333	322
99.0	Reimbursable obligations	122	76	77
Allocation Account:				
11.1	Personnel compensation: Full-time permanent	2	2	2
12.1	Civilian personnel benefits	1		
25.2	Other services	3	1	1
25.3	Other purchases of goods and services from Government accounts	2	2	2
32.0	Land and structures	3	27	27
99.0	Allocation account	11	32	32
99.9	Total new obligations	397	441	431
Obligations are distributed as follows:				
	National Park Service	385	383	376
	Corps of Engineers	9	30	30
	Department of Transportation—Federal Highway Administration	2	2	2

Personnel Summary

Identification code 14-1039-0-1-303	2001 actual	2002 est.	2003 est.	
Direct:				
1001	Total compensable workyears: Full-time equivalent employment	401	401	421
Reimbursable:				
2001	Total compensable workyears: Full-time equivalent employment	378	410	288
Allocation account:				
3001	Total compensable workyears: Full-time equivalent employment	118	118	118

LAND ACQUISITION AND STATE ASSISTANCE

For expenses necessary to carry out the Land and Water Conservation Act of 1965, as amended (16 U.S.C. 4601-4 through 11), including administrative expenses, and for acquisition of lands or waters, or interest therein, in accordance with the statutory authority applicable to the National Park Service, **[\$274,117,000] \$286,647,000**, to be derived from the Land and Water Conservation Fund, to remain available until expended, and to be for the conservation activities defined in section 250(c)(4)(E) of the Balanced Budget and Emergency Deficit Control of 1985, as amended, for the purposes of such Act, of which **[\$144,000,000] \$200,000,000** is for the State assistance program [including \$4,000,000 to administer the State assistance program, and of which \$11,000,000 shall be for grants, not covering more than 50 percent of the total cost of any acquisition to be made with such funds, to States and local communities for purposes of acquiring lands or interests in lands to preserve and protect Civil War battlefield sites identified in the July 1993 Report on the Nation's Civil War Battlefields prepared by the Civil War Sites Advisory Commission; *Provided*, That lands or interests in land acquired with Civil War battlefield grants shall be subject to the requirements of paragraph 6(f)(3) of the Land and Water Conservation Fund Act of 1965 (16 U.S.C. 4601-8(f)(3))], *including \$50,000,000 for a Cooperative Conservation Program that provides competitively awarded grants to states for the purposes of restoration, protection, and enhancement of natural areas, as determined by the Secretary: Provided*, That these purposes shall include but not be limited to: *habitat protection, wetlands restoration, and riparian area protection: Provided further*, That of the amounts provided under this heading for the State assistance program, not to exceed \$5,400,000 shall be available for the administration of this program: *Provided further*, That of the amounts provided under this heading, **[\$15,000,000] \$20,000,000** may be for Federal grants, including Federal administrative expenses, to the State of Florida for the acquisition of lands or waters, or interests therein, within the Everglades watershed (consisting of lands and waters within the boundaries of the South Florida Water Management District, Florida Bay and the Florida Keys, including the areas known as the Frog Pond, the Rocky Glades and the Eight and One-Half

General and special funds—Continued

LAND ACQUISITION AND STATE ASSISTANCE—Continued

Square Mile Area) under terms and conditions deemed necessary by the Secretary to improve and restore the hydrological function of the Everglades watershed; and \$16,000,000 may be for project modifications authorized by section 104 of the Everglades National Park Protection and Expansion Act]: *Provided further*, That funds provided under this heading for assistance to the State of Florida to acquire lands within the Everglades watershed are contingent upon new matching non-Federal funds by the State, or are matched by the State pursuant to the cost-sharing provisions of section 316(b) of Public Law 104-303, and shall be subject to an agreement that the lands to be acquired will be managed in perpetuity for the restoration of the Everglades: *Provided further*, That none of the funds provided for the State Assistance program may be used to establish a contingency fund. (Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 14-5035-0-2-303	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Land acquisition	120	145	150
00.02 Land acquisition administration	13	13	13
00.04 State grant administration	1	4	5
00.05 Grants to States	40	95	131
09.01 Reimbursable program	22	1
10.00 Total new obligations	196	258	299
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	209	228	246
22.00 New budget authority (gross)	233	275	287
22.10 Resources available from recoveries of prior year obligations	12	1	1
22.21 Unobligated balance transferred to other accounts	-30
23.90 Total budgetary resources available for obligation	424	504	534
23.95 Total new obligations	-196	-258	-299
24.40 Unobligated balance carried forward, end of year	228	246	235
New budget authority (gross), detail:			
Discretionary:			
40.20 Appropriation (special fund)	216	275	287
42.00 Transferred from other accounts	51
43.00 Appropriation (total discretionary)	267	275	287
49.35 Contract authority rescinded	-30	-30	-30
Mandatory:			
66.10 Contract authority	30	30	30
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	7
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-41
68.90 Spending authority from offsetting collections (total discretionary)	-34
70.00 Total new budget authority (gross)	233	275	287
Change in obligated balances:			
72.40 Obligated balance, start of year	14	132	223
73.10 Total new obligations	196	258	299
73.20 Total outlays (gross)	-107	-166	-194
73.45 Recoveries of prior year obligations	-12	-1	-1
74.00 Change in uncollected customer payments from Federal sources (unexpired)	41
74.40 Obligated balance, end of year	132	223	327
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	32	54	41
86.93 Outlays from discretionary balances	75	112	153
87.00 Total outlays (gross)	107	166	194
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-7
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	41

Net budget authority and outlays:			
89.00 Budget authority	267	275	287
90.00 Outlays	100	166	194

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	266	274	286
90.00 Outlays	99	165	193

This appropriation funds the Federal Land Acquisition Program, which provides funds to acquire certain lands, or interests in lands, for inclusion in the National Park System to preserve nationally important natural and historic resources. Funds are also provided for land acquisition critical to Everglades restoration.

The State Assistance Program provides grants for a wide array of State conservation and recreation projects as well as for acquiring lands and interests in lands for outdoor recreation and conservation purposes.

Funds are also included for the National Park Service to manage and coordinate the Land Acquisition Program, and administer grants to States both new and those awarded in prior years.

PERFORMANCE MEASURES

	2001 actual	2002 est.	2003 est.
Land acquired (acres)	115,590	33,116	56,171
Land acquired (tracts)	2,213	96	155

Object Classification (in millions of dollars)

Identification code 14-5035-0-2-303	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	9	12	12
11.3 Other than full-time permanent	1	1
11.9 Total personnel compensation	9	13	13
12.1 Civilian personnel benefits	3	4	4
21.0 Travel and transportation of persons	1	1
22.0 Transportation of things	1	1	1
25.2 Other services	6	4	6
31.0 Equipment	1	1	1
32.0 Land and structures	81	127	132
41.0 Grants, subsidies, and contributions	73	106	142
99.0 Direct obligations	175	257	299
99.0 Reimbursable obligations	21	1
99.9 Total new obligations	196	258	299

Personnel Summary

Identification code 14-5035-0-2-303	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	156	178	178

LAND AND WATER CONSERVATION FUND
(RESCISSION)

The contract authority provided for fiscal year [2002] 2003 by 16 U.S.C. 4601-10a is rescinded. (Department of the Interior and Related Agencies Appropriations Act, 2002.)

Unavailable Collections (in millions of dollars)

Identification code 14-5005-0-2-303	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	12,879	12,940	13,157
Receipts:			
02.00 Motorboat fuels tax	1	1	1
02.20 Rent receipts, Outer Continental Shelf lands	569	684	316

02.21	Royalty receipts, Outer Continental Shelf lands	327	213	581
02.23	Surplus property sales	2	2	2
02.80	Bureau of Land Management, land acquisition, offsetting collections	9		
02.81	Fish and Wildlife Service, land acquisition, offsetting collections	8	10	
02.82	National Park Service, land acquisition and State assistance, offsetting collections	7		
02.99	Total receipts and collections	923	910	900
04.00	Total: Balances and collections	13,802	13,850	14,057
Appropriations:				
05.00	State and private forestry	-34		-120
05.01	Forest Service, land acquisition	-98	-150	-130
05.02	Bureau of Land Management, land acquisition	-66	-50	-45
05.03	Fish and Wildlife Service, land acquisition	-129	-109	-71
05.04	National Park Service, land acquisition and State assistance	-222	-274	-287
05.05	Priority Federal land acquisitions and exchanges			-3
05.11	Payments in lieu of taxes, Bureau of Land Management	-50		
05.12	Surveys, investigations, and reasearch, Geological Survey	-20		
05.13	State wildlife grants, Fish and Wildlife Service	-50	-85	-60
05.14	Urban park and recreation fund, National Park Service	-20		
05.18	North American wetlands conservation fund, from LWCF, Fish and Wildlife Service	-20		-44
05.20	Historic preservation fund, from LWCF, National Park Service	-15		
05.22	Resource management, US Fish and Wildlife Service	-25		
05.24	Construction, National Park Service	-50		
05.25	Stewardship grants		-10	-10
05.27	Cooperative endangered species conservation fund	-78		-91
05.28	Landowner incentive program		-40	-50
05.50	Management of lands and resources	-25		
05.99	Total appropriations	-902	-718	-911
06.10	Unobligated balance returned to receipts	40		
06.20	Reduction pursuant to Public Law 107-63		25	
07.99	Balance, end of year	12,940	13,157	13,146

The Land and Water Conservation Fund (LWCF) includes revenue pursuant to the Land and Water Conservation Fund Act to support land acquisition, State outdoor recreation and conservation grants, other conservation programs and related administrative expenses.

RECREATION FEE PERMANENT APPROPRIATIONS

Unavailable Collections (in millions of dollars)

Identification code 14-9928-0-2-303	2001 actual	2002 est.	2003 est.	
01.99	Balance, start of year			
Receipts:				
02.21	Recreational fee demonstration program	132	134	135
02.22	Transportation systems fund	5	5	5
02.23	National park passport program	14	15	16
02.24	Deposits for educational expenses, children of employees, Yellowstone Nati	1	1	1
02.99	Total receipts and collections	152	155	157
Appropriations:				
05.00	Recreation fee permanent appropriations	-152	-155	-157
07.99	Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 14-9928-0-2-303	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Recreational fee demonstration program and deed-restricted & non-demo parks	126	135	137
00.02	Transportation systems fund	6	4	5
00.03	National park passport program	1	15	16
00.04	Educational expenses, children of employees, Yellowstone National Park	1	1	1
10.00	Total new obligations	134	155	159
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	241	265	271

22.00	New budget authority (gross)	157	159	157
22.10	Resources available from recoveries of prior year obligations	2	2	2
23.90	Total budgetary resources available for obligation	400	426	430
23.95	Total new obligations	-134	-155	-159
24.40	Unobligated balance carried forward, end of year	265	271	271

New budget authority (gross), detail:

Mandatory:				
60.20	Appropriation (special fund)	156	160	157
60.49	Portion applied to liquidate contract authority		-1	
62.50	Appropriation (total mandatory)	156	159	157
66.10	Contract authority	1		
70.00	Total new budget authority (gross)	157	159	157

Change in obligated balances:

72.40	Obligated balance, start of year	37	54	23
73.10	Total new obligations	134	155	159
73.20	Total outlays (gross)	-115	-184	-180
73.45	Recoveries of prior year obligations	-2	-2	-2
74.40	Obligated balance, end of year	54	23	

Outlays (gross), detail:

86.97	Outlays from new mandatory authority	28	29	29
86.98	Outlays from mandatory balances	87	155	151
87.00	Total outlays (gross)	115	184	180

Net budget authority and outlays:

89.00	Budget authority	157	159	157
90.00	Outlays	115	184	180

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	153	154	152
90.00	Outlays	111	179	175

Status of Contract Authority (in millions of dollars)

Identification code 14-9928-0-2-303	2001 actual	2002 est.	2003 est.	
Contract authority:				
0200	Contract authority	1		
0400	Appropriation to liquidate contract authority		-1	

Recreational fee demonstration program.—The National Park Service and other land management agencies have initiated a demonstration fee program that allows parks and other units to collect new or increased admission and user fees and spend the revenue for park improvements. This temporary authority, provided in section 315 of section 101(c) of Public Law 104-134 as amended or supplemented by section 319 of section 101(d) of Public Law 104-208, section 5001 of Public Law 105-18, sections 107, 320 and 321 of Public Law 105-83, section 327 of section 101(e) of Public Law 105-277, section 336 of Public Law 106-291 and section 312 of Public Law 107-63 expires at the end of fiscal year 2004. To ensure that fee revenue remains available for park improvements after 2004, the Administration will propose legislation providing permanent fee authority.

Non-demonstration parks fee program.—Under section 310 of Public Law 106-176, the National Park Service may retain recreation fees collected at NPS sites that are not part of the Recreational Fee Demonstration program or that fall within the deed-restricted parks fee program. Revenues are used in the same manner and for the same purposes as provided under the fee demonstration program, and this authority expires upon the termination of that program. No fee-collecting parks (except deed-restricted) are expected to remain outside of the Recreational Fee Demonstration Program

General and special funds—Continued

RECREATION FEE PERMANENT APPROPRIATIONS—Continued

as a result of legislation removing limits on the number of parks in the Program.

National park passport program.—Proceeds from the sale of national park passports for admission to all park units are to be used for the national passport program and the National Park System in accordance with section 603 of Public Law 105–391. By law, up to 15 percent of proceeds may be used to administer and promote the national park passport program and the National Park System, and net proceeds are to be used for high priority visitor service or resource management projects throughout the National Park System.

Deed-restricted parks fee program.—Park units where admission fees may not be collected by reason of deed restrictions retain any other recreation fees collected and use them for certain park operation purposes in accordance with Public Law 105–327. This law applies to Great Smoky Mountains National Park, Lincoln Home National Historic Site and Abraham Lincoln Birthplace National Historic Site.

Transportation systems fund.—Fees charged for public use of transportation services at parks are retained and used by each collecting park for costs associated with the transportation systems in accordance with section 501 of Public Law 105–391.

Educational expenses, children of employees, Yellowstone National Park.—Revenues received from the collection of short-term recreation fees to the park are used to provide education facilities to pupils who are dependents of persons engaged in the administration, operation, and maintenance of Yellowstone National Park (16 U.S.C. 40a).

Payment for tax losses on land acquired for Grand Teton National Park.—Revenues received from fees collected from visitors are used to compensate the State of Wyoming for tax losses on Grand Teton National Park lands (16 U.S.C. 406d–3).

Object Classification (in millions of dollars)

Identification code 14–9928–0–2–303	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	14	14	15
11.3 Other than full-time permanent	20	21	22
11.5 Other personnel compensation	3	3	3
11.8 Special personal services payments	1		
11.9 Total personnel compensation	38	38	40
12.1 Civilian personnel benefits	11	10	10
21.0 Travel and transportation of persons	1	1	1
22.0 Transportation of things	1	1	1
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	61	83	85
25.4 Operation and maintenance of facilities	1	1	1
25.5 Research and development contracts	1	1	1
26.0 Supplies and materials	11	11	11
31.0 Equipment	2	2	2
32.0 Land and structures	5	5	5
99.0 Direct obligations	133	154	158
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	134	155	159

Personnel Summary

Identification code 14–9928–0–2–303	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	1,261	1,261	1,261

HISTORIC PRESERVATION FUND

For expenses necessary in carrying out the Historic Preservation Act of 1966, as amended (16 U.S.C. 470), and the Omnibus Parks and Public Lands Management Act of 1996 (Public Law 104–333), [\$74,500,000] \$67,000,000, to be derived from the Historic Preservation Fund, to remain available until September 30, [2003] 2004, and to be for the conservation activities defined in section 250(c)(4)(E) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, for the purposes of such Act: *Provided*, [That, of the amount provided herein, \$2,500,000, to remain available until expended, is for a grant for the perpetual care and maintenance of National Trust Historic Sites, as authorized under 16 U.S.C. 470a(e)(2), to be made available in full upon signing of a grant agreement: *Provided further*, That, notwithstanding any other provision of law, these funds shall be available for investment with the proceeds to be used for the same purpose as set out herein: *Provided further*,] That of the total amount provided, \$30,000,000 shall be for Save America’s Treasures for priority preservation projects[, including preservation of intellectual and cultural artifacts, preservation of historic structures and sites, and buildings to house cultural and historic resources and to provide educational opportunities] of nationally significant sites, structures, and artifacts: *Provided further*, That any individual Save America’s Treasures grant shall be matched by non-Federal funds: *Provided further*, That individual projects shall only be eligible for one grant, and all projects to be funded shall be approved by the [House and Senate Committees on Appropriations] Secretary of the Interior in consultation with the President’s Committee on the Arts and Humanities prior to the commitment of grant funds: *Provided further*, That Save America’s Treasures funds allocated for Federal projects shall be available by transfer to appropriate accounts of individual agencies, after approval of such projects by the Secretary of the Interior, in consultation with the President’s Committee on the Arts and Humanities: *Provided further*, That none of the funds provided for Save America’s Treasures may be used for administrative expenses, and staffing for the program shall be available from the existing staffing levels in the National Park Service. (Department of the Interior and Related Agencies Appropriations Act, 2002.)

Unavailable Collections (in millions of dollars)

Identification code 14–5140–0–2–303	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	2,152	2,225	2,302
Receipts:			
02.20 Rent receipts, Outer Continental Shelf lands	150	150	150
04.00 Total: Balances and collections	2,302	2,375	2,452
Appropriations:			
05.00 Construction	–1		
05.01 Historic preservation fund	–79	–74	–67
05.99 Total appropriations	–80	–74	–67
06.10 Unobligated balance returned to receipts	3	1	
07.99 Balance, end of year	2,225	2,302	2,385

Program and Financing (in millions of dollars)

Identification code 14–5140–0–2–303	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Grants-in-aid	56	46	42
00.03 Millennium initiative grants	34	32	33
00.04 National Trust		2	
10.00 Total new obligations (object class 41.0)	90	80	75
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	19	26	20
22.00 New budget authority (gross)	94	74	67
22.10 Resources available from recoveries of prior year obligations	3		
23.90 Total budgetary resources available for obligation	116	100	87
23.95 Total new obligations	–90	–80	–75
23.98 Unobligated balance expiring or withdrawn	–1		
24.40 Unobligated balance carried forward, end of year	26	20	12

New budget authority (gross), detail:			
Discretionary:			
Appropriation (special fund):			
40.20	Appropriation (special fund, definite) LWCF	15	74
40.20	Appropriation (special fund, definite) HPF	79	67
43.00	Appropriation (total discretionary)	94	74
Change in obligated balances:			
72.40	Obligated balance, start of year	73	103
73.10	Total new obligations	90	80
73.20	Total outlays (gross)	-55	-127
73.40	Adjustments in expired accounts (net)	-2	-1
73.45	Recoveries of prior year obligations	-3	
74.40	Obligated balance, end of year	103	55
Outlays (gross), detail:			
86.90	Outlays from new discretionary authority	26	32
86.93	Outlays from discretionary balances	29	95
87.00	Total outlays (gross)	55	127
Net budget authority and outlays:			
89.00	Budget authority	94	74
90.00	Outlays	55	127

22.00	New budget authority (gross)	67	66	72
23.90	Total budgetary resources available for obligation	158	174	176
23.95	Total new obligations	-50	-70	-78
24.40	Unobligated balance carried forward, end of year	108	104	98
New budget authority (gross), detail:				
Mandatory:				
60.20	Appropriation (special fund)	67	66	72
Change in obligated balances:				
72.40	Obligated balance, start of year	5	6	5
73.10	Total new obligations	50	70	78
73.20	Total outlays (gross)	-49	-71	-83
74.40	Obligated balance, end of year	6	5	
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	5	14	21
86.98	Outlays from mandatory balances	44	57	62
87.00	Total outlays (gross)	49	71	83
Net budget authority and outlays:				
89.00	Budget authority	67	66	72
90.00	Outlays	49	71	83

This appropriation finances 60 percent of programmatic matching grants-in-aid to the States and certified local governments, as well as grants to Indian tribes.

The President's budget proposes \$30 million in funding for Save America's Treasures in the National Park Service Historic Preservation Fund to provide assistance to preserve America's most threatened historical and cultural heritage for future generations. These treasures include the significant documents, objects, manuscripts, photographs, works of art, journals, still and moving images, sound recording, historic structures and sites that document and illuminate the history and culture of the United States.

No funding is requested for a grant for the National Trust for Historic Preservation to address maintenance needs at 20 National Trust Historic Sites, since this grant was one-time in nature.

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00	Budget authority	66	65
90.00	Outlays	48	70

Park concessions franchise fees.—Franchise fees for concessioner activities in the National Park System are deposited in this account and used for certain park operations activities in accordance with section 407 of Public Law 105-391. By law, 20 percent of franchise fees collected are used to support activities throughout the National Park System generally and 80 percent are retained and used by each collecting park unit for visitor services and for purposes of funding high-priority and urgently necessary resource management programs and operations.

Concessions improvement accounts.—National Park Service agreements with private concessioners providing visitor services within national parks can require concessioners to deposit a portion of gross receipts or a fixed sum of money in a separate bank account. A concessioner may expend funds from such an account at the direction of the park superintendent for facilities that directly support concession visitor services, but would not otherwise be funded through the appropriations process. Concessioners do not accrue possessory interests from improvements funded through these accounts.

Park buildings lease and maintenance fund.—Rental payments for leases to use buildings and associated property in the National Park System are deposited in this account and used for infrastructure needs at park units in accordance with section 802 of Public Law 105-391.

Operation and maintenance of quarters.—Revenues from the rental of Government-owned quarters to park employees are deposited in this account and used to operate and maintain the quarters.

National Maritime Heritage Grants Program.—Of the revenues received from the sale of obsolete vessels in the National Defense Reserve Fleet, 25 percent are used for matching grants to State and local governments and private nonprofit organizations under the National Maritime Heritage Grants Program and for related administrative expenses in accordance with 16 U.S.C. 5401. Program authorization expires at the end of 2006.

OTHER PERMANENT APPROPRIATIONS

Unavailable Collections (in millions of dollars)

Identification code 14-9924-0-2-303	2001 actual	2002 est.	2003 est.
01.99	Balance, start of year		
Receipts:			
02.20	Rents and charges for quarters	16	16
02.21	Park buildings lease and maintenance fund		2
02.22	Concessions improvement accounts	26	21
02.23	User fees for filming and photography on public land		3
02.24	Glacier Bay National Park resource protection	1	1
02.25	Park concessions franchise fees	23	27
02.99	Total receipts and collections	66	65
Appropriations:			
05.00	Other permanent appropriations	-66	-65
07.99	Balance, end of year		

Program and Financing (in millions of dollars)

Identification code 14-9924-0-2-303	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01	Operation and maintenance of quarters	17	17
00.02	Park buildings lease and maintenance fund		2
00.03	Concessions improvement accounts	25	25
00.04	Filming and photography special use fee program		3
00.05	Glacier Bay National Park resource protection	1	1
00.06	Park concessions franchise fees	8	27
10.00	Total new obligations	50	70
Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year	91	108

General and special funds—Continued

OTHER PERMANENT APPROPRIATIONS—Continued

Delaware Water Gap, Route 209 operations.—Fees collected for use of Route 209 within the Delaware Water Gap National Recreation Area by commercial vehicles are used for management, operation, and maintenance of the route within the park as authorized by Public Law 98–63 (97 Stat. 329), section 117 of Public Law 98–151 (97 Stat. 977) as amended by Public Law 99–88 (99 Stat. 343), and section 702 of Division I of Public Law 104–333 (110 Stat. 4185). The expired authorization was restored in fiscal year 1997 by Public Law 104–333.

Glacier Bay National Park resource protection.—Of the revenues received from fees paid by tour boat operators or other permittees for entering Glacier Bay National Park, 60 percent are used for certain activities to protect resources of the Park from harm by permittees in accordance with section 703 of Division I of Public Law 104–333 (110 Stat. 4185).

Filming and photography special use fees.—The National Park Service is now authorized to retain fee receipts that are collected from issuing permits to use park lands and facilities for commercial filming, still photography, and similar activities. Amounts collected should provide a fair return to the Government and may be used in accordance with the formula and purposes established for the Recreational Fee Demonstration Program.

Object Classification (in millions of dollars)

Identification code 14–9924–0–2–303	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	5	5	5
11.3 Other than full-time permanent	2	2	2
11.9 Total personnel compensation	7	7	7
12.1 Civilian personnel benefits	3	2	3
23.3 Communications, utilities, and miscellaneous charges	2	2	2
25.2 Other services	31	52	59
25.4 Operation and maintenance of facilities	1	1	1
26.0 Supplies and materials	3	3	3
99.0 Direct obligations	47	67	75
99.5 Below reporting threshold	3	3	3
99.9 Total new obligations	50	70	78

Personnel Summary

Identification code 14–9924–0–2–303	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	193	193	193

Trust Funds

CONSTRUCTION

(TRUST FUND)

Program and Financing (in millions of dollars)

Identification code 14–8215–0–7–401	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Cumberland Gap Tunnel	1	1	4
10.00 Total new obligations (object class 25.2)	1	1	4
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	6	5	4
23.95 Total new obligations	–1	–1	–4
24.40 Unobligated balance carried forward, end of year	5	4	

Change in obligated balances:			
72.40 Obligated balance, start of year	2	2	1
73.10 Total new obligations	1	1	4
73.20 Total outlays (gross)	–1	–2	–4
74.40 Obligated balance, end of year	2	1	1

Outlays (gross), detail:			
86.93 Outlays from discretionary balances	1	2	4

Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	1	2	4

Parkway construction project funds have been derived from the Highway Trust Fund through appropriations to liquidate contract authority, which has been provided under section 104(a)(8) of the Federal Aid Highway Act of 1978, title I of Public Law 95–599, as amended, and appropriation language, which has made the contract authority and the appropriations available until expended.

Reconstruction and relocation of Route 25E through the Cumberland Gap National Historical Park, including construction of a tunnel and the approaches thereto, are authorized without fund limitation by Public Law 93–87, section 160.

Improvements to the George Washington Memorial Parkway and the Baltimore Washington Parkway are authorized and funded by the Department of the Interior and Related Agencies Appropriations Acts, 1987, as included in Public Law 95–591, and 1991, Public Law 101–512. No more significant obligations are expected in this account for these two parkway projects.

MISCELLANEOUS TRUST FUNDS

Unavailable Collections (in millions of dollars)

Identification code 14–9972–0–7–303	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.00 Donations to National Park Service	28	20	27
Appropriations:			
05.00 Miscellaneous trust funds	–28	–20	–27
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 14–9972–0–7–303	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	18	20	27
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	22	32	32
22.00 New budget authority (gross)	28	20	27
23.90 Total budgetary resources available for obligation	50	52	59
23.95 Total new obligations	–18	–20	–27
24.40 Unobligated balance carried forward, end of year	32	32	32

New budget authority (gross), detail:

Mandatory:			
60.26 Appropriation (trust fund)	28	20	27

Change in obligated balances:			
72.40 Obligated balance, start of year	6	6	6
73.10 Total new obligations	18	20	27
73.20 Total outlays (gross)	–17	–20	–27
74.40 Obligated balance, end of year	6	6	6

Outlays (gross), detail:			
86.98 Outlays from mandatory balances	17	20	27

Net budget authority and outlays:			
89.00 Budget authority	28	20	27
90.00 Outlays	17	20	27

National Park Service, donations.—The Secretary of the Interior accepts and uses donated moneys for the purposes of the National Park System (16 U.S.C. 6).

Preservation, Birthplace of Abraham Lincoln, National Park Service.—This fund consists of an endowment given by the Lincoln Farm Association, and the interest therefrom is available for preservation of the Abraham Lincoln Birthplace National Historic Site, Kentucky (16 U.S.C. 211, 212).

Object Classification (in millions of dollars)			
Identification code 14-9972-0-7-303	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	1	1	1
11.3 Other than full-time permanent	2	2	3
11.9 Total personnel compensation	3	3	4
12.1 Civilian personnel benefits	1	1	1
21.0 Travel and transportation of persons	1	1	1
25.2 Other services	7	9	15
26.0 Supplies and materials	1	1	1
32.0 Land and structures	2	2	2
99.0 Direct obligations	15	17	24
99.5 Below reporting threshold	3	3	3
99.9 Total new obligations	18	20	27

Personnel Summary

Identification code 14-9972-0-7-303	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	113	113	113

ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriations as follows:

- Department of Agriculture, Forest Service: "State and Private Forestry"
- Department of Labor, Employment and Training Administration: "Training and Employment Services"
- Department of Transportation, Federal Highway Administration: "Federal-Aid Highways (Liquidation of Contract Authorization) (Highway Trust Fund)" and "Highway Studies, Feasibility, Design, Environmental, Engineering"
- Department of the Interior, Bureau of Land Management: "Central Hazardous Materials Fund" and "Wildland Fire Management"
- Department of the Interior, Office of the Secretary: "Natural Resource Damage Assessment and Restoration Fund"

ADMINISTRATIVE PROVISIONS

Appropriations for the National Park Service shall be available for the purchase of not to exceed [315] 301 passenger motor vehicles, of which [256] 273 shall be for replacement only, including not to exceed [237] 226 for police-type use, [11] 10 buses, and 8 ambulances: *Provided*, That none of the funds appropriated to the National Park Service may be used to process any grant or contract documents which do not include the text of 18 U.S.C. 1913: *Provided further*, That none of the funds appropriated to the National Park Service may be used to implement an agreement for the redevelopment of the southern end of Ellis Island until such agreement has been submitted to the Congress and shall not be implemented prior to the expiration of 30 calendar days (not including any day in which either House of Congress is not in session because of adjournment of more than 3 calendar days to a day certain) from the receipt by the Speaker of the House of Representatives and the President of the Senate of a full and comprehensive report on the development of the southern end of Ellis Island, including the facts and circumstances relied upon in support of the proposed project.]

None of the funds in this Act may be spent by the National Park Service for activities taken in direct response to the United Nations Biodiversity Convention.

The National Park Service may distribute to operating units based on the safety record of each unit the costs of programs designed to improve workplace and employee safety, and to encourage employees receiving workers' compensation benefits pursuant to chapter 81 of title 5, United States Code, to return to appropriate positions for which they are medically able.

[Notwithstanding any other provision of law, the National Park Service may convey a leasehold or freehold interest in Cuyahoga NP to allow for the development of utilities and parking needed to support the historic Everett Church in the village of Everett, Ohio.] (*Department of the Interior and Related Agencies Appropriations Act, 2002.*)

INDIAN AFFAIRS

BUREAU OF INDIAN AFFAIRS

Federal Funds

General and special funds:

OPERATION OF INDIAN PROGRAMS

For expenses necessary for the operation of Indian programs, as authorized by law, including the Snyder Act of November 2, 1921 (25 U.S.C. 13), the Indian Self-Determination and Education Assistance Act of 1975 (25 U.S.C. 450 et seq.), as amended, the Education Amendments of 1978 (25 U.S.C. 2001-2019), and the Tribally Controlled Schools Act of 1988 (25 U.S.C. 2501 et seq.), as amended, [\$1,799,809,000] \$1,858,986,000, to remain available until September 30, [2003] 2004 except as otherwise provided herein, of which not to exceed [\$89,864,000] \$85,857,000 shall be for welfare assistance payments and notwithstanding any other provision of law, including but not limited to the Indian Self-Determination Act of 1975, as amended, not to exceed [\$130,209,000] \$133,209,000 shall be available for payments to tribes and tribal organizations for contract support costs associated with ongoing contracts, grants, compacts, or annual funding agreements entered into with the Bureau prior to or during fiscal year [2002] 2003, as authorized by such Act, except that tribes and tribal organizations may use their tribal priority allocations for unmet indirect costs of ongoing contracts, grants, or compacts, or annual funding agreements and for unmet welfare assistance costs; and up to [\$3,000,000] \$2,000,000 shall be for the Indian Self-Determination Fund which shall be available for the transitional cost of initial or expanded tribal contracts, grants, compacts or cooperative agreements with the Bureau under such Act; and of which not to exceed [\$436,427,000] \$452,985,000 for school operations costs of Bureau-funded schools and other education programs shall become available on July 1, [2002] 2003, and shall remain available until September 30, [2003] 2004; and of which not to exceed [\$58,540,000] \$57,336,000 shall remain available until expended for housing improvement, road maintenance, attorney fees, litigation support, the Indian Self-Determination Fund, land records improvement, and the Navajo-Hopi Settlement Program: *Provided*, That notwithstanding any other provision of law, including but not limited to the Indian Self-Determination Act of 1975, as amended, and 25 U.S.C. 2008, not to exceed [\$43,065,000] \$46,065,000 within and only from such amounts made available for school operations shall be available to tribes and tribal organizations for administrative cost grants associated with the operation of Bureau-funded schools: *Provided further*, That any forestry funds allocated to a tribe which remain unobligated as of September 30, [2003] 2004, may be transferred during fiscal year [2004] 2005 to an Indian forest land assistance account established for the benefit of such tribe within the tribe's trust fund account: *Provided further*, That any such unobligated balances not so transferred shall expire on September 30, [2004] 2005. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 14-2100-0-1-999	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Tribal priority allocations	759	779	780
00.02 Other recurring programs	561	708	608
00.03 Non-recurring programs	104	86	82
00.04 Central office operations	62	64	74
00.05 Regional office operations	49	76	67
00.06 Special program and pooled overhead	240	287	267
09.07 Reimbursable program	148	177	157
10.00 Total new obligations	1,923	2,177	2,035
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	362	413	242

General and special funds—Continued

OPERATION OF INDIAN PROGRAMS—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 14-2100-0-1-999	2001 actual	2002 est.	2003 est.
22.00 New budget authority (gross)	1,921	1,998	2,016
22.10 Resources available from recoveries of prior year obligations			
22.22 Unobligated balance transferred from other accounts	22	6	6
	41	2	
23.90 Total budgetary resources available for obligation	2,346	2,419	2,264
23.95 Total new obligations	-1,923	-2,177	-2,035
23.98 Unobligated balance expiring or withdrawn	-12		
24.40 Unobligated balance carried forward, end of year	413	242	229
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1,763	1,822	1,859
40.15 Appropriation (emergency)	50		
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-4		
41.00 Transferred to other accounts	-41		
43.00 Appropriation (total discretionary)	1,768	1,822	1,859
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	142	176	157
68.10 Change in uncollected customer payments from Federal sources (unexpired)	11		
68.90 Spending authority from offsetting collections (total discretionary)	153	176	157
70.00 Total new budget authority (gross)	1,921	1,998	2,016
Change in obligated balances:			
72.40 Obligated balance, start of year	177	209	408
73.10 Total new obligations	1,923	2,177	2,035
73.20 Total outlays (gross)	-1,892	-1,973	-1,998
73.40 Adjustments in expired accounts (net)	5	1	1
73.45 Recoveries of prior year obligations	-22	-6	-6
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-11		
74.10 Change in uncollected customer payments from Federal sources (expired)	29		
74.40 Obligated balance, end of year	209	408	440
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1,219	1,350	1,355
86.93 Outlays from discretionary balances	673	623	644
87.00 Total outlays (gross)	1,892	1,973	1,998
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-139	-165	-146
88.40 Non-Federal sources	-11	-11	-11
88.90 Total, offsetting collections (cash)	-150	-176	-157
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-11		
88.96 Portion of offsetting collections (cash) credited to expired accounts	8		
Net budget authority and outlays:			
89.00 Budget authority	1,768	1,822	1,859
90.00 Outlays	1,742	1,797	1,841
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	1,747	1,800	1,837
90.00 Outlays	1,721	1,775	1,819

The Operation of Indian Programs appropriation consists of a wide range of services and benefits provided to Indian Tribes, Alaskan Native groups, and individual Native Americans.

Tribal priority allocations.—This activity includes the majority of funds used to support ongoing programs at the local Tribal level. Funding priorities for Tribal base programs included in Tribal Priority Allocations are determined by Tribes. Although budget estimates include specific amounts for individual programs, funds may be shifted among programs within the total available for a Tribe or a Bureau of Indian Affairs (BIA) agency or regional office at the time of budget execution.

Other recurring programs.—This activity includes ongoing programs for which funds are (1) distributed by formula, such as elementary and secondary school operations and Tribal community colleges; and (2) for resource management activities that carry out specific laws or court-ordered settlements.

Non-recurring programs.—This activity includes programs that support Indian reservation and Tribal projects of limited duration, such as noxious weed eradication, cadastral surveys, and forest development.

Central office operations.—This activity supports the executive, program, and administrative management costs of central office organizations, most of which are located in Washington, DC.

Regional office operations.—The BIA has 12 regional offices located throughout the country. Regional Directors have line authority over agency office superintendents. Most of the agency offices are located on Indian reservations. Virtually all of the staff and related administrative support costs for regional and agency offices are included within this activity. Regional Directors have flexibility in aligning their staff and resources to best meet the program requirements of the Tribes within their region.

Special programs and pooled overhead.—Most of the funds in this activity support law enforcement and bureau-wide expenses for items such as unemployment compensation, workers compensation, facilities rentals, telecommunications, and data processing. This activity includes the Bureau's two post-secondary schools, the Indian police academy, the Indian Arts and Crafts Board, the Indian Integrated Resources Information Program, and non-education facilities operation and maintenance.

Object Classification (in millions of dollars)

Identification code 14-2100-0-1-999	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	154	183	190
11.3 Other than full-time permanent	106	113	114
11.5 Other personnel compensation	15	16	16
11.8 Special personal services payments	1	1	1
11.9 Total personnel compensation	276	313	321
12.1 Civilian personnel benefits	96	102	104
13.0 Benefits for former personnel	9	10	10
21.0 Travel and transportation of persons	16	17	12
22.0 Transportation of things	14	14	10
23.1 Rental payments to GSA	20	22	20
23.2 Rental payments to others	2	2	5
23.3 Communications, utilities, and miscellaneous charges	67	35	35
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	3	3	2
25.2 Other services	730	795	757
25.3 Other purchases of goods and services from Government accounts	38	46	35
25.4 Operation and maintenance of facilities	2	3	2
25.5 Research and development contracts	4	4	3
25.7 Operation and maintenance of equipment	3	3	3
25.8 Subsistence and support of persons	1	1	1
26.0 Supplies and materials	34	36	33
31.0 Equipment	23	24	23
32.0 Land and structures	19	1	1
41.0 Grants, subsidies, and contributions	418	569	500
99.0 Direct obligations	1,776	2,001	1,878
99.0 Reimbursable obligations	147	176	157

99.9	Total new obligations	1,923	2,177	2,035
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Personnel Summary

Identification code 14-2100-0-1-999	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	6,770	7,410	7,452
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	559	181	181
Allocation account:			
3001 Total compensable workyears: Full-time equivalent employment	594	814	819

CONSTRUCTION

For construction, repair, improvement, and maintenance of irrigation and power systems, buildings, utilities, and other facilities, including architectural and engineering services by contract; acquisition of lands, and interests in lands; and preparation of lands for farming, and for construction of the Navajo Indian Irrigation Project pursuant to Public Law 87-483, **[\$357,132,000] \$346,305,000**, to remain available until expended: *Provided*, That such amounts as may be available for the construction of the Navajo Indian Irrigation Project may be transferred to the Bureau of Reclamation: *Provided further*, That not to exceed 6 percent of contract authority available to the Bureau of Indian Affairs from the Federal Highway Trust Fund may be used to cover the road program management costs of the Bureau: *Provided further*, That any funds provided for the Safety of Dams program pursuant to 25 U.S.C. 13 shall be made available on a nonreimbursable basis: *Provided further*, That for fiscal year **[2002] 2003**, in implementing new construction or facilities improvement and repair project grants in excess of \$100,000 that are provided to tribally controlled grant schools under Public Law 100-297, as amended, the Secretary of the Interior shall use the Administrative and Audit Requirements and Cost Principles for Assistance Programs contained in 43 CFR part 12 as the regulatory requirements: *Provided further*, That such grants shall not be subject to section 12.61 of 43 CFR; the Secretary and the grantee shall negotiate and determine a schedule of payments for the work to be performed: *Provided further*, That in considering applications, the Secretary shall consider whether the Indian tribe or tribal organization would be deficient in assuring that the construction projects conform to applicable building standards and codes and Federal, tribal, or State health and safety standards as required by 25 U.S.C. 2005(a), with respect to organizational and financial management capabilities: *Provided further*, That if the Secretary declines an application, the Secretary shall follow the requirements contained in 25 U.S.C. 2505(f): *Provided further*, That any disputes between the Secretary and any grantee concerning a grant shall be subject to the disputes provision in 25 U.S.C. 2508(e): *Provided further*, That notwithstanding any other provision of law, not to exceed \$450,000 in collections from settlements between the United States and contractors concerning the Dunseith Day School are to be made available for school construction in fiscal year 2002 and thereafter. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 14-2301-0-1-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Education construction	272	315	300
00.02 Public safety and justice construction	5	8	5
00.03 Resource management construction	59	57	40
00.05 General administration	9	10	8
00.07 Emergency response	1	1	
09.07 Reimbursable program	18	22	18
10.00 Total new obligations	364	413	371
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	83	81	45
22.00 New budget authority (gross)	359	375	364
22.10 Resources available from recoveries of prior year obligations	3	2	2

23.90 Total budgetary resources available for obligation	445	458	411
23.95 Total new obligations	-364	-413	-371
24.40 Unobligated balance carried forward, end of year	81	45	40

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	358	358	346
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
42.00 Transferred from other accounts	1		
43.00 Appropriation (total discretionary)	358	358	346
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	8	17	18
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-7		
68.90 Spending authority from offsetting collections (total discretionary)	1	17	18
70.00 Total new budget authority (gross)	359	375	364

Change in obligated balances:

72.40 Obligated balance, start of year	109	230	354
73.10 Total new obligations	364	413	371
73.20 Total outlays (gross)	-247	-287	-343
73.45 Recoveries of prior year obligations	-3	-2	-2
74.00 Change in uncollected customer payments from Federal sources (unexpired)	7		
74.40 Obligated balance, end of year	230	354	380

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	84	100	98
86.93 Outlays from discretionary balances	163	187	245
87.00 Total outlays (gross)	247	287	343

Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-3	-6	-7
88.40 Non-Federal sources	-5	-10	-11
88.45 Offsetting governmental collections (from non-Federal sources)		-1	
88.90 Total, offsetting collections (cash)	-8	-17	-18
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	7		

Net budget authority and outlays:

89.00 Budget authority	358	358	346
90.00 Outlays	238	270	325

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	357	357	345
90.00 Outlays	237	269	324

Education construction.—This activity provides for the planning, design, construction, maintenance and rehabilitation of Bureau-funded school facilities and the repair needs for employee housing.

Public safety and justice construction.—This activity provides for the planning, design, improvement, repair, and construction of detention centers for Indian youth and adults.

Resources management construction.—This activity provides for the construction, extension, and rehabilitation of irrigation projects, dams, and related power systems on Indian reservations. Funds for the Navajo Indian irrigation project may be transferred to the Bureau of Reclamation.

General administration.—This activity provides for the improvement and repair of the Bureau's non-education facilities, the telecommunications system, the facilities management information system and construction program management.

General and special funds—Continued

CONSTRUCTION—Continued

Object Classification (in millions of dollars)

Identification code 14-2301-0-1-452	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	12	14	14
11.3 Other than full-time permanent	4	4	4
11.9 Total personnel compensation	16	18	18
12.1 Civilian personnel benefits	5	5	5
21.0 Travel and transportation of persons	1	1	1
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services	1	1	1
25.2 Other services	66	78	65
25.3 Other purchases of goods and services from Government accounts	53	62	55
25.4 Operation and maintenance of facilities	17	28	18
25.5 Research and development contracts	1	1	1
25.7 Operation and maintenance of equipment	1	1	1
26.0 Supplies and materials	5	7	6
31.0 Equipment	3	4	3
32.0 Land and structures	64	72	68
41.0 Grants, subsidies, and contributions	83	92	90
99.0 Direct obligations	317	371	333
99.0 Reimbursable obligations	18	22	18
Allocation Account:			
11.1 Personnel compensation: Full-time permanent	2	2	2
12.1 Civilian personnel benefits	1	1	1
25.2 Other services	10	6	6
25.3 Other purchases of goods and services from Government accounts	1	1	1
32.0 Land and structures	13	9	9
41.0 Grants, subsidies, and contributions	2	1	1
99.0 Allocation account	29	20	20
99.9 Total new obligations	364	413	371

Personnel Summary

Identification code 14-2301-0-1-452	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	331	298	299
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	34	28	28
Allocation account:			
3001 Total compensable workyears: Full-time equivalent employment	646	600	600

WHITE EARTH SETTLEMENT FUND

Program and Financing (in millions of dollars)

Identification code 14-2204-0-1-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	2	2	2
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	2	2	2
23.95 Total new obligations	-2	-2	-2
New budget authority (gross), detail:			
Mandatory:			
Appropriation (Indefinite):			
60.00 Appropriation	2	2	2
Change in obligated balances:			
73.10 Total new obligations	2	2	2
73.20 Total outlays (gross)	-2	-2	-2
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	2	2	2

Net budget authority and outlays:			
89.00 Budget authority	2	2	2
90.00 Outlays	2	2	2

The White Earth Reservation Land Settlement Act of 1985 (Public Law 99-264) authorizes the payment of funds to eligible allottees or heirs of the White Earth Reservation, MN, as determined by the Secretary of the Interior. The payment of funds shall be treated as the final judgment, award, or compromise settlement under the provisions of title 31, United States Code, section 1304.

INDIAN LAND AND WATER CLAIM SETTLEMENTS AND MISCELLANEOUS PAYMENTS TO INDIANS

For miscellaneous payments to Indian tribes and individuals and for necessary administrative expenses, [\$60,949,000] \$57,949,000, to remain available until expended; of which [\$24,870,000] \$24,870,000 shall be available for implementation of enacted Indian land and water claim settlements pursuant to Public Laws 101-618 and 102-575, and for implementation of other enacted water rights settlements; of which [\$7,950,000] \$5,068,000 shall be available for future water supplies facilities under Public Law 106-163; of which [\$21,875,000] \$28,011,000 shall be available pursuant to Public Laws 99-264, 100-580, 106-263, 106-425, and 106-554, and 106-568; and of which \$6,254,000 shall be available for the consent decree entered by the U.S. District Court, Western District of Michigan in United States v. Michigan, Case No. 2:73 CV 261. (Department of the Interior and Related Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 14-2303-0-1-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 White Earth Reservation Claims Settlement Act	1	1	1
00.02 Ute Indian Water Rights Settlement	25	25	23
00.03 Aleutian Pribilof Church Restoration	1
00.04 Rocky Boys	8	8	5
00.05 (Michigan) Great Lakes Fishing Settlement	2	6
00.09 Shiwits Band	5	16
00.10 Santo Domingo Pueblo	2	5
00.11 Colorado Ute	8	8
00.12 Torres-Martinez	6
10.00 Total new obligations	37	61	58
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	9	8	8
22.00 New budget authority (gross)	37	61	58
23.90 Total budgetary resources available for obligation	46	69	66
23.95 Total new obligations	-37	-61	-58
24.40 Unobligated balance carried forward, end of year	8	8	8

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	37	61	58

Change in obligated balances:

72.40 Obligated balance, start of year	1	2	2
73.10 Total new obligations	37	61	58
73.20 Total outlays (gross)	-37	-61	-58
74.40 Obligated balance, end of year	2	2	2

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	34	55	52
86.93 Outlays from discretionary balances	3	6	6
87.00 Total outlays (gross)	37	61	58

Net budget authority and outlays:

89.00 Budget authority	37	61	58
90.00 Outlays	37	61	58

This account covers expenses associated with the following activities.

White Earth Reservation Claims Settlement Act (Public Law 99-264).—Funds are used to investigate and verify question-

able transfers of land by which individual Indian allottees, or their heirs, were divested of ownership and to achieve the payment of compensation to said allottees or heirs in accordance with the Act. A major portion of work is contracted under Public Law 93-638, as amended, to the White Earth Reservation Business Committee. Approximately 550 compensation payments will be made in 2002.

Chippewa Cree Tribe of the Rocky Boy's Reservation Indian Reserved Water Rights Settlement and Water Supply Enhancement Act (Public Law 106-163).—Funds are requested for the settlement of the water rights claims of the Chippewa Cree Tribe. Funds will be used for future water supply activities.

Hoopa-Yurok Settlement Act (Public Law 100-580).—The Act provides for the settlement of reservation lands between the Hoopa Valley Tribe and the Yurok Indians in northern California. Funds will be used for the settlement as authorized by law and for administrative expenses related to implementing the settlement.

Truckee-Carson-Pyramid Lake Water Settlement Act (Public Law 101-618).—The Act provides for the settlement of claims of the Pyramid Lake Paiute Tribe (NV). Funds will be used to provide payments to the Truckee-Carson Irrigation District for service of water rights acquired.

Ute Indian Water Rights Settlement (Public Law 102-575).—Funds are requested for the settlement of the water rights claims of the Ute Indian Tribe (UT). Funds are authorized to be appropriated for Tribal farming operations, stream and reservoir improvements, and recreation enhancement.

Shivwits Band of the Paiute Indian Tribe of Utah Water Rights Settlement Act (Public Law 106-263).—Funds are requested for the settlement of the water claims of the Shivwits Band as authorized.

Santo Domingo Pueblo Claims Settlement Act (Public Law 106-425).—Funds are requested for the settlement of the land claims of the Pueblo of Santo Domingo as authorized.

Colorado Ute Settlement Act Amendments (Public Law 106-554).—Funds are requested for the settlement of water rights of the outstanding claims of the Tribes on the Animas and LaPlata Rivers. Funds will be used for payment into the Tribal Resource Fund(s).

Object Classification (in millions of dollars)

Identification code 14-2303-0-1-452	2001 actual	2002 est.	2003 est.
25.2 Other services	1	1	1
41.0 Grants, subsidies, and contributions	36	60	57
99.9 Total new obligations	37	61	58

OPERATION AND MAINTENANCE OF QUARTERS

Unavailable Collections (in millions of dollars)

Identification code 14-5051-0-2-452	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 Rents and charges for quarters, Bureau of Indian Affairs, Interior	5	5	5
Appropriations:			
05.00 Operation and maintenance of quarters	-5	-5	-5
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 14-5051-0-2-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	5	5	5
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	3	3

22.00 New budget authority (gross)	5	5	5
23.90 Total budgetary resources available for obligation	8	8	8
23.95 Total new obligations	-5	-5	-5
24.40 Unobligated balance carried forward, end of year	3	3	3

New budget authority (gross), detail:

Mandatory:			
60.20 Appropriation (special fund)	5	5	5

Change in obligated balances:

72.40 Obligated balance, start of year	1	1	1
73.10 Total new obligations	5	5	5
73.20 Total outlays (gross)	-5	-5	-5
74.40 Obligated balance, end of year	1	1	1

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	5	5	5
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Net budget authority and outlays:

89.00 Budget authority	5	5	5
90.00 Outlays	5	5	5

Public Law 88-459 (Federal Employees Quarters and Facilities Act of 1964) is the basic authority under which the Secretary utilizes funds from the rental of quarters to defer the costs of operation and maintenance incidental to the employee quarters program. Public Law 98-473 established a special fund, to remain available until expended, for the operation and maintenance of quarters.

Object Classification (in millions of dollars)

Identification code 14-5051-0-2-452	2001 actual	2002 est.	2003 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	3	3	3
99.5 Below reporting threshold	2	2	2
99.9 Total new obligations	5	5	5

Personnel Summary

Identification code 14-5051-0-2-452	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	59	59	59

MISCELLANEOUS PERMANENT APPROPRIATIONS

Unavailable Collections (in millions of dollars)

Identification code 14-9925-0-2-452	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 Deposits, operation and maintenance, Indian irrigation systems	20	20	21
02.21 Alaska resupply program	1	1	1
02.22 Power revenues, Indian irrigation projects	56	54	55
02.40 Earnings on investments, operation and maintenance, Indian irrigation syst	2	2	2
02.42 Earnings on investments, Indian irrigation projects	2	4	4
02.99 Total receipts and collections	81	81	83
Appropriations:			
05.00 Miscellaneous permanent appropriations	-81	-81	-83
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 14-9925-0-2-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Operation and maintenance, Indian irrigation systems	23	23	25
00.03 Power systems, Indian irrigation projects	57	57	55
00.04 Alaska resupply program	1	1	3
10.00 Total new obligations	82	82	84

General and special funds—Continued

MISCELLANEOUS PERMANENT APPROPRIATIONS—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 14-9925-0-2-452	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	55	58	58
22.00 New budget authority (gross)	82	82	83
22.10 Resources available from recoveries of prior year obligations	4		
23.90 Total budgetary resources available for obligation	141	140	141
23.95 Total new obligations	-82	-82	-84
24.40 Unobligated balance carried forward, end of year	58	58	58

New budget authority (gross), detail:

Mandatory:			
60.20 Appropriation (special fund)	82	82	83

Change in obligated balances:

72.40 Obligated balance, start of year	17	10	17
73.10 Total new obligations	82	82	84
73.20 Total outlays (gross)	-84	-75	-83
73.45 Recoveries of prior year obligations	-4		
74.40 Obligated balance, end of year	10	17	18

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	21	17	17
86.98 Outlays from mandatory balances	63	58	65
87.00 Total outlays (gross)	84	75	83

Net budget authority and outlays:

89.00 Budget authority	82	82	83
90.00 Outlays	84	75	83

Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities:			
Par value	38	64	38
92.02 Total investments, end of year: Federal securities:			
Par value	64	38	38

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	81	81	82
90.00 Outlays	83	74	82

Claims and treaty obligations.—Payments are made to fulfill treaty obligations with the Senecas of New York (Act of February 19, 1831), the Six Nations of New York (Act of November 11, 1794), and the Pawnees of Oklahoma (the treaty of September 24, 1857).

Operation and maintenance, Indian irrigation systems.—Revenues derived from charges for operation and maintenance of Indian irrigation projects are used to defray in part the cost of operating and maintaining these projects (60 Stat. 895).

Power systems, Indian irrigation projects.—Revenues collected from the sale of electric power by the Colorado River and Flathead power systems are used to operate and maintain those systems (60 Stat. 895; 65 Stat. 254). This activity also includes Cochiti Wet Field Solution funds that were transferred from the Corps of Engineers to pay for operation and maintenance, repair, and replacement of the ongoing drainage system (P.L. 102-358).

Alaska resupply program.—Revenues collected from operation of the Alaska Resupply Program are used to operate and maintain this program (P.L. 77-457, 56 Stat. 95).

Object Classification (in millions of dollars)

Identification code 14-9925-0-2-452	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	19	19	19
12.1 Civilian personnel benefits	7	7	7
22.0 Transportation of things	6	6	6
23.3 Communications, utilities, and miscellaneous charges	6	6	7
25.2 Other services	19	19	19
25.3 Other purchases of goods and services from Government accounts	6	6	7
25.4 Operation and maintenance of facilities	19	19	19
99.9 Total new obligations	82	82	84

Personnel Summary

Identification code 14-9925-0-2-452	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	410	410	410

INDIAN DIRECT LOAN PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 14-2627-0-1-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.05 Reestimate of direct loan subsidy		3	
00.06 Interest on reestimates of direct loan subsidy		1	
10.00 Total new obligations (object class 41.0)		4	

Budgetary resources available for obligation:

22.00 New budget authority (gross)		4	
23.95 Total new obligations		-4	

New budget authority (gross), detail:

Mandatory:			
60.00 Appropriation		4	

Change in obligated balances:

73.10 Total new obligations		4	
73.20 Total outlays (gross)		-4	

Outlays (gross), detail:

86.97 Outlays from new mandatory authority		4	
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Net budget authority and outlays:

89.00 Budget authority		4	
90.00 Outlays		4	

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 14-2627-0-1-452	2001 actual	2002 est.	2003 est.
Direct loan upward reestimate subsidy budget authority:			
135001 Upward reestimates subsidy budget authority		4	
135901 Total upward reestimate budget authority		4	
Direct loan upward reestimate subsidy outlays:			
136001 Upward reestimates subsidy outlays		4	
136901 Total upward reestimate outlays		4	
Direct loan downward reestimate subsidy budget authority:			
137001 Downward reestimates subsidy budget authority	-1	-1	
137901 Total downward reestimate budget authority	-1	-1	
Direct loan downward reestimate subsidy outlays:			
138001 Downward reestimates subsidy outlays	-1	-1	
138901 Total downward reestimate subsidy outlays	-1	-1	

Credit accounts:

INDIAN DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 14-4416-0-3-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Interest paid to Treasury	2	1	1
08.02 Downward Reestimate	1	1	
10.00 Total new obligations	3	2	1
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	7	7	4
22.00 New financing authority (gross)	4	7	3
22.60 Portion applied to repay debt		-8	-2
23.90 Total budgetary resources available for obligation	11	6	5
23.95 Total new obligations	-3	-2	-1
24.40 Unobligated balance carried forward, end of year	7	4	4
New financing authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	4	7	3
Change in obligated balances:			
73.10 Total new obligations	3	2	1
73.20 Total financing disbursements (gross)	-3	-2	-2
87.00 Total financing disbursements (gross)	3	2	2
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 From Program Account Mandatory		-4	
88.25 Interest on uninvested funds	-1		
Non-Federal sources:			
88.40 Collections of loans	-2	-2	-2
88.40 Revenues, interest on loans	-1	-1	-1
88.90 Total, offsetting collections (cash)	-4	-7	-3
Net financing authority and financing disbursements:			
89.00 Financing authority			
90.00 Financing disbursements		-5	-1

Status of Direct Loans (in millions of dollars)

Identification code 14-4416-0-3-452	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans			
1131 Direct loan obligations exempt from limitation			
1150 Total direct loan obligations			
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	27	23	20
1251 Repayments: Repayments and prepayments	-2	-2	-2
1263 Write-offs for default: Direct loans	-2	-1	-1
1290 Outstanding, end of year	23	20	17

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 14-4416-0-3-452	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	27	23	20	17
1402 Interest receivable	6	4	2	2

1405 Allowance for subsidy cost (-)	-17	-12	-3	-3
1499 Net present value of assets related to direct loans	16	15	19	16
1999 Total assets	16	15	19	16
LIABILITIES:				
2104 Federal liabilities: Resources payable to Treasury	16	15	19	16
2999 Total liabilities	16	15	19	16
NET POSITION:				
3300 Cumulative results of operations				
3999 Total net position				
4999 Total liabilities and net position	16	15	19	16

REVOLVING FUND FOR LOANS LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 14-4409-0-3-452	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	6	4	
New financing authority (gross):			
22.00 New budget authority (gross)	4	1	
22.40 Capital transfer to general fund	-6	-5	
23.90 Total budgetary resources available for obligation	4		
24.40 Unobligated balance carried forward, end of year	4		
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	4	4	4
69.27 Capital transfer to general fund		-3	-4
69.90 Spending authority from offsetting collections (total mandatory)	4	1	
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-4	-4	-4
Net budget authority and outlays:			
89.00 Budget authority		-3	-4
90.00 Outlays	-4	-4	-4

Status of Direct Loans (in millions of dollars)

Identification code 14-4409-0-3-452	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	39	35	31
1251 Repayments: Repayments and prepayments	-4	-3	-3
1263 Write-offs for default: Direct loans		-1	-1
1290 Outstanding, end of year	35	31	27

As required by the Federal Credit Reform Act of 1990, this account records for this program, all cash flows to and from the Government resulting from direct loans obligated prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond (including modifications of direct loans that resulted from obligations or commitments in any year) is recorded in corresponding program and financing accounts.

Statement of Operations (in millions of dollars)

Identification code 14-4409-0-3-452	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	3	2	3	3
0102 Expense	-3	-2	-1	-1
0105 Net income or loss (-)			2	2

Credit accounts—Continued

REVOLVING FUND FOR LOANS LIQUIDATING ACCOUNT—Continued

Balance Sheet (in millions of dollars)

Identification code 14-4409-0-3-452	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross	39	35	33	29
1602 Interest receivable	10	9	7	7
1603 Allowance for estimated uncollectible loans and interest (-)	-15	-15	-10	-10
1604 Direct loans and interest receivable, net	34	29	30	26
1699 Value of assets related to direct loans	34	29	30	26
1999 Total assets	34	29	30	26
LIABILITIES:				
2104 Federal liabilities: Resources payable to Treasury	36	29	30	26
2999 Total liabilities	36	29	30	26
NET POSITION:				
3999 Total net position				
4999 Total liabilities and net position	34	29	30	26

INDIAN GUARANTEED LOAN PROGRAM ACCOUNT

For the cost of guaranteed and insured loans, **[\$4,500,000] \$5,000,000**, as authorized by the Indian Financing Act of 1974, as amended: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That these funds are available to subsidize total loan principal, any part of which is to be guaranteed, not to exceed **[\$75,000,000] \$72,424,000**.

In addition, for administrative expenses to carry out the guaranteed and insured loan programs, **[\$486,000] \$493,000**. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 14-2628-0-1-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Guaranteed loan subsidy	4	4	5
00.07 Restimates of loan guarantee subsidy		1	
00.09 Administrative expenses below reporting threshold	1	1	
10.00 Total new obligations	5	6	5
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	5	6	5
23.95 Total new obligations	-5	-6	-5
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	5	5	5
Mandatory:			
60.00 Appropriation		1	
70.00 Total new budget authority (gross)	5	6	5
Change in obligated balances:			
72.40 Obligated balance, start of year	8	4	4
73.10 Total new obligations	5	6	5
73.20 Total outlays (gross)	-4	-6	-5
73.40 Adjustments in expired accounts (net)	-3		
74.40 Obligated balance, end of year	4	4	5
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		1	1
86.93 Outlays from discretionary balances	3	4	4
86.97 Outlays from new mandatory authority		1	

87.00 Total outlays (gross)	4	6	5
Net budget authority and outlays:			
89.00 Budget authority	5	6	5
90.00 Outlays	4	6	5

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 14-2628-0-1-452	2001 actual	2002 est.	2003 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Indian guaranteed loan	60	75	72
215901 Total loan guarantee levels	60	75	72
Guaranteed loan subsidy (in percent):			
232001 Loan guarantee levels	6.73	6.00	6.91
232901 Weighted average subsidy rate	6.73	6.00	6.91
Guaranteed loan subsidy budget authority:			
233001 Loan guarantee levels	4	4	5
233901 Total subsidy budget authority	4	4	5
Guaranteed loan subsidy outlays:			
234001 Loan guarantee levels	4	5	5
234901 Total subsidy outlays	4	5	5
Guaranteed loan upward reestimate subsidy budget authority:			
235001 Loan guarantee levels			1
235901 Total upward reestimate budget authority			1
Guaranteed loan upward reestimate subsidy outlays:			
236001 Loan guarantee levels			1
236901 Total upward reestimate subsidy outlays			1
Guaranteed loan downward reestimate subsidy budget authority:			
237001 Loan guarantee levels	-19	-3	
237901 Total downward reestimate subsidy budget authority	-19	-3	
Guaranteed loan downward reestimate subsidy outlays:			
238001 Loan guarantee levels	-19	-3	
238901 Total downward reestimate subsidy outlays	-19	-3	
Administrative expense data:			
351001 Budget authority below reporting threshold	1	1	

As required by the Federal Credit Reform Act of 1990, this account records for this program, the subsidy costs associated with guaranteed and insured loans committed in 1992 and beyond (including modifications of loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis. Guaranteed and insured loans are targeted to projects with an emphasis on manufacturing, business services, and tourism (hotels, motels, restaurants) providing increased economic development on Indian reservations.

Object Classification (in millions of dollars)

Identification code 14-2628-0-1-452	2001 actual	2002 est.	2003 est.
41.0 Direct obligations: Grants, subsidies, and contributions	4	5	5
99.5 Below reporting threshold administrative expenses	1	1	
99.9 Total new obligations	5	6	5

Personnel Summary

Identification code 14-2628-0-1-452	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	4	4	4

INDIAN GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)			
Identification code 14-4415-0-3-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Interest subsidy		1	1
00.02 Default claims		1	1
00.91 Direct Program by Activities—Subtotal (1 level)		2	2
08.02 Downward Reestimates	14	2	
08.04 Interest on the Downward Reestimates	5	1	
08.91 Direct Program by Activities—Subtotal (1 level)	19	3	
10.00 Total new obligations	19	5	2
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	40	49	59
22.00 New financing authority (gross)	27	15	18
23.90 Total budgetary resources available for obligation	67	64	77
23.95 Total new obligations	-19	-5	-2
24.40 Unobligated balance carried forward, end of year	49	59	75
New financing authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	27	15	18
Change in obligated balances:			
72.40 Obligated balance, start of year	5		3
73.10 Total new obligations	19	5	2
73.20 Total financing disbursements (gross)	-22	-2	-2
74.40 Obligated balance, end of year		3	3
87.00 Total financing disbursements (gross)	22	2	2
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 Payments from program account	-4	-5	-5
88.00 Payments from program account (Mandatory)		-1	
88.00 Federal sources			
88.25 Interest on uninvested funds	-3	-3	-5
88.40 Non-Federal sources	-20	-6	-8
88.90 Total, offsetting collections (cash)	-27	-15	-18
Net financing authority and financing disbursements:			
89.00 Financing authority			
90.00 Financing disbursements	-5	-13	-16

Status of Guaranteed Loans (in millions of dollars)

Identification code 14-4415-0-3-452	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders	60	75	72
2150 Total guaranteed loan commitments	60	75	72
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	162	184	222
2231 Disbursements of new guaranteed loans	52	65	55
2251 Repayments and prepayments	-30	-25	-25
2261 Adjustments: Terminations for default that result in loans receivable		-2	-1
2290 Outstanding, end of year	184	222	251
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	184	222	251
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	37	24	25
2331 Disbursements for guaranteed loan claims		2	1
2351 Repayments of loans receivable	-13	-1	-1

2390 Outstanding, end of year	24	25	25
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As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 14-4415-0-3-452	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	43	49		
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:				
1501 Defaulted guaranteed loans receivable, gross	41	22	25	25
1505 Allowance for subsidy cost (-)	-44	-26		
1599 Net present value of assets related to defaulted guaranteed loans	-3	-4	25	25
1999 Total assets	40	45	25	25
LIABILITIES:				
2204 Non-Federal liabilities: Liabilities for loan guarantees	40	45	25	25
2999 Total liabilities	40	45	25	25
NET POSITION:				
3100 Appropriated capital				
3999 Total net position				
4999 Total liabilities and net position	40	45	25	25

INDIAN LOAN GUARANTY AND INSURANCE FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 14-4410-0-3-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	1	1	1
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	2	
22.00 New budget authority (gross)	2	2	2
22.40 Capital transfer to general fund	-2	-3	-1
23.90 Total budgetary resources available for obligation	3	1	1
23.95 Total new obligations	-1	-1	-1
24.40 Unobligated balance carried forward, end of year	2		
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	1	1	1
69.00 Offsetting collections (cash)	1	1	1
70.00 Total new budget authority (gross)	2	2	2
Change in obligated balances:			
73.10 Total new obligations	1	1	1
73.20 Total outlays (gross)	-2	-2	-2
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	2	2	2
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-1	-1	-1
Net budget authority and outlays:			
89.00 Budget authority	1	1	1
90.00 Outlays	-1	1	1

Credit accounts—Continued

INDIAN LOAN GUARANTY AND INSURANCE FUND LIQUIDATING
ACCOUNT—Continued

Status of Guaranteed Loans (in millions of dollars)

Identification code 14-4410-0-3-452	2001 actual	2002 est.	2003 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	29	17	9
2251 Repayments and prepayments	-12	-8	-6
2290 Outstanding, end of year	17	9	3
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	15	7	1
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	27	26	22
2351 Repayments of loans receivable	-1	-4	-4
2390 Outstanding, end of year	26	22	18

¹ Guarantees canceled.

As required by the Federal Credit Reform Act of 1990, this account records for this program, all cash flows to and from the Government resulting from loan guarantees committed prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond (including modifications of loan guarantees that resulted from obligations or commitments in any year) is recorded in corresponding program and financing accounts.

Balance Sheet (in millions of dollars)

Identification code 14-4410-0-3-452	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	3	2	3	3
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1701 Defaulted guaranteed loans, gross	27	26	23	23
1702 Interest receivable	15	16	11	11
1703 Allowance for estimated uncollectible loans and interest (-)	-27	-28	-20	-20
1704 Defaulted guaranteed loans and interest receivable, net	15	16	14	14
1799 Value of assets related to loan guarantees	15	14	14	14
1901 Other Federal assets: Capitalized Assets	5			
1999 Total assets	23	16	15	15
LIABILITIES:				
2104 Federal liabilities: Resources payable to Treasury	23	16	15	15
2999 Total liabilities	23	16	15	15
NET POSITION:				
3999 Total net position				
4999 Total liabilities and net position	23	16	15	15

ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedule of the parent appropriation as follows:

The Department of the Interior: Bureau of Land Management: "Firefighting"

The Department of Transportation: Federal Highway Administration: "Federal-Aid Highways"

ADMINISTRATIVE PROVISIONS

The Bureau of Indian Affairs may carry out the operation of Indian programs by direct expenditure, contracts, cooperative agreements, compacts and grants, either directly or in cooperation with States and other organizations.

Appropriations for the Bureau of Indian Affairs (except the revolving fund for loans, the Indian loan guarantee and insurance fund, and the Indian Guaranteed Loan Program account) shall be available for expenses of exhibits, and purchase of not to exceed 229 passenger motor vehicles, of which not to exceed 187 shall be for replacement only.

Notwithstanding any other provision of law, no funds available to the Bureau of Indian Affairs for central office operations, pooled overhead general administration (except facilities operations and maintenance), or provided to implement the recommendations of the National Academy of Public Administration's August 1999 report shall be available for tribal contracts, grants, compacts, or cooperative agreements with the Bureau of Indian Affairs under the provisions of the Indian Self-Determination Act or the Tribal Self-Governance Act of 1994 (Public Law 103-413).

In the event any tribe returns appropriations made available by this Act to the Bureau of Indian Affairs for distribution to other tribes, this action shall not diminish the Federal Government's trust responsibility to that tribe, or the government-to-government relationship between the United States and that tribe, or that tribe's ability to access future appropriations.

Notwithstanding any other provision of law, no funds available to the Bureau, other than the amounts provided herein for assistance to public schools under 25 U.S.C. 452 et seq., shall be available to support the operation of any elementary or secondary school in the State of Alaska.

Appropriations made available in this or any other Act for schools funded by the Bureau shall be available only to the schools in the Bureau school system as of September 1, 1996. No funds available to the Bureau shall be used to support expanded grades for any school or dormitory beyond the grade structure in place or approved by the Secretary of the Interior at each school in the Bureau school system as of October 1, 1995. Funds made available under this Act may not be used to establish a charter school at a Bureau-funded school (as that term is defined in section 1146 of the Education Amendments of 1978 (25 U.S.C. 2026)), except that a charter school that is in existence on the date of the enactment of this Act and that has operated at a Bureau-funded school before September 1, 1999, may continue to operate during that period, but only if the charter school pays to the Bureau a pro rata share of funds to reimburse the Bureau for the use of the real and personal property (including buses and vans), the funds of the charter school are kept separate and apart from Bureau funds, and the Bureau does not assume any obligation for charter school programs of the State in which the school is located if the charter school loses such funding. Employees of Bureau-funded schools sharing a campus with a charter school and performing functions related to the charter school's operation and employees of a charter school shall not be treated as Federal employees for purposes of chapter 171 of title 28, United States Code (commonly known as the "Federal Tort Claims Act"). (*Department of the Interior and Related Agencies Appropriations Act, 2002.*)

DEPARTMENTAL OFFICES

DEPARTMENTAL MANAGEMENT

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses for management of the Department of the Interior, [\$67,741,000] \$82,228,000, of which not to exceed \$8,500 may be for official reception and representation expenses, and of which up to \$1,000,000 shall be available for workers compensation payments and unemployment compensation payments associated with the orderly closure of the United States Bureau of Mines. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States for "Salaries and Expenses", \$2,205,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38, for the working cap-

ital fund of the Department of the Interior. (Emergency Supplemental Act, 2002.)】

Program and Financing (in millions of dollars)			
Identification code 14-0102-0-1-306	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Departmental direction	11	13	13
00.03 Management and coordination	24	25	25
00.04 Hearings and appeals	9	9	9
00.06 Central services	22	26	35
00.07 USBM workers comp./unemployment	1	1	1
00.08 Glacier Bay fishing buyout	2		
00.09 Combined no-year obligations		1	
01.00 Direct program subtotal	69	75	83
Reimbursable program: above activity:			
09.01 Departmental direction	7	9	9
09.02 Management and coordination	10	10	10
09.03 Central services	4	6	6
09.99 Total reimbursable program	21	25	25
10.00 Total new obligations	90	100	108
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	1	
22.00 New budget authority (gross)	88	99	108
23.90 Total budgetary resources available for obligation	90	100	108
23.95 Total new obligations	-90	-100	-108
24.40 Unobligated balance carried forward, end of year	1		
New budget authority (gross), detail:			
Discretionary:			
Appropriation:			
40.00 Appropriation	67	72	83
40.00 Appropriation [terrorist response]		2	
43.00 Appropriation (total discretionary)	67	74	83
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	14	25	25
68.10 Change in uncollected customer payments from Federal sources (unexpired)	7		
68.90 Spending authority from offsetting collections (total discretionary)	21	25	25
70.00 Total new budget authority (gross)	88	99	108
Change in obligated balances:			
72.40 Obligated balance, start of year	5	5	7
73.10 Total new obligations	90	100	108
73.20 Total outlays (gross)	-88	-96	-108
73.40 Adjustments in expired accounts (net)	-1		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-7		
74.10 Change in uncollected customer payments from Federal sources (expired)	4		
74.40 Obligated balance, end of year	5	7	7
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	78	91	100
86.93 Outlays from discretionary balances	10	4	8
87.00 Total outlays (gross)	88	96	108
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-17	-25	-25
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-7		
88.96 Portion of offsetting collections (cash) credited to expired accounts	3		
Net budget authority and outlays:			
89.00 Budget authority	67	74	83
90.00 Outlays	71	71	83

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	64	70	79
90.00 Outlays	68	67	79

This appropriation provides overall departmental direction and guidance, including such activities and functions as: congressional liaison, communications, and equal opportunity; activities concerning management and coordination; the Department's quasi-judicial and appellate responsibilities; aviation policy; and general administrative support, such as space and postage for the Secretarial offices; and workers and unemployment compensation payments for former Bureau of Mines employees.

As part of the Administration's Competitive Sourcing Initiative, Interior plans to complete public-private and direct conversion competitions for 15 percent of the FTE identified on its fiscal year 2000 FAIR inventory as non-governmental in nature. Based on the percent of competitions won and lost, Interior estimates an FTE reduction of about 1,000 for the entire Department.

The Personnel Summary reflects this reduction in the Salaries and Expenses account, however, actual reductions will be spread among the various Departmental accounts where they occur.

Object Classification (in millions of dollars)

Identification code 14-0102-0-1-306	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	33	36	36
11.3 Other than full-time permanent	3	3	3
11.9 Total personnel compensation	36	39	39
12.1 Civilian personnel benefits	8	10	13
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	9	9	9
23.3 Communications, utilities, and miscellaneous charges	1	1	1
24.0 Printing and reproduction	1	1	1
25.2 Other services	4	6	11
25.3 Other purchases of goods and services from Government accounts	8	8	8
99.0 Direct obligations	68	75	83
99.0 Reimbursable obligations	22	25	25
99.9 Total new obligations	90	100	108

Personnel Summary

Identification code 14-0102-0-1-306	2001 actual	2002 est.	2003 est.
Direct:			
Total compensable workyears:			
Full-time equivalent employment:			
1001 Full-time equivalent employment	404	416	416
1001 Full-time equivalent employment			-1,000
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	70	95	95
Allocation account:			
3001 Total compensable workyears: Full-time equivalent employment	41	56	56

SPECIAL FOREIGN CURRENCY PROGRAM

Program and Financing (in millions of dollars)

Identification code 14-0105-0-1-306	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	1

General and special funds—Continued

SPECIAL FOREIGN CURRENCY PROGRAM—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 14-0105-0-1-306	2001 actual	2002 est.	2003 est.
24.40 Unobligated balance carried forward, end of year	1	1	1
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

MANAGEMENT OF FEDERAL LANDS FOR SUBSISTENCE USES

Program and Financing (in millions of dollars)

Identification code 14-0124-0-1-302	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year	7	3	
73.20 Total outlays (gross)	-5	-3	
74.40 Obligated balance, end of year	3		
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	5	3	
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	3	3	

In 1999, \$8 million was provided to the Secretary of the Interior to implement and enforce certain Federal regulations in the state of Alaska dealing with subsistence uses of fish and wildlife on navigable rivers in Alaska consistent with the Alaska National Interest Lands Conservation Act (ANILCA). In 2001, funds were provided to the Fish and Wildlife Service, the National Park Service, and the Bureau of Indian Affairs to continue this effort and outlays of obligated balances remain ongoing.

EVERGLADES WATERSHED PROTECTION

Program and Financing (in millions of dollars)

Identification code 14-0140-0-1-303	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year	17	12	6
73.20 Total outlays (gross)	-6	-6	-6
74.40 Obligated balance, end of year	12	6	
Outlays (gross), detail:			
86.98 Outlays from mandatory balances	6	6	6
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	6	6	6

The Federal Agriculture Improvement and Reform Act of 1996 (P.L. 104-127) made these funds available to the Secretary to conduct Everglades ecosystem restoration activities until December 31, 1999. These activities include the acquisition of real property, resource protection, and resource maintenance. As of December 31, 1999, all funds had been obligated and outlays of obligated balances remain ongoing.

EVERGLADES RESTORATION ACCOUNT

Unavailable Collections (in millions of dollars)

Identification code 14-5233-0-2-303	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			

Receipts:			
02.20 Everglades restoration account	2		
Appropriations:			
05.00 Everglades restoration account	-2		
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 14-5233-0-2-303	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program Activity	2	3	1
10.00 Total new obligations (object class 25.2)	2	3	1
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4	4	1
22.00 New budget authority (gross)	2		
23.90 Total budgetary resources available for obligation	6	4	1
23.95 Total new obligations	-2	-3	-1
24.40 Unobligated balance carried forward, end of year	4	1	

New budget authority (gross), detail:			
Mandatory:			
60.20 Appropriation (special fund)	2		
Change in obligated balances:			
72.40 Obligated balance, start of year		1	1
73.10 Total new obligations	2	3	1
73.20 Total outlays (gross)	-1	-3	-1
74.40 Obligated balance, end of year	1	1	1

Outlays (gross), detail:			
86.98 Outlays from mandatory balances	1	3	1

Net budget authority and outlays:			
89.00 Budget authority	2		
90.00 Outlays	1	3	1

The Federal Agriculture Improvement and Reform Act of 1996 (P.L. 104-127) provides that receipts not exceeding \$100 million, from Federal surplus property sales in the State of Florida, shall be deposited in the Everglades restoration account and shall be available to the Secretary to assist in the restoration of the Everglades.

Authority to receive these funds was rescinded by the Water Resources Development Act of 2000. (P.L. 106-541, December 11, 2000) and outlays of receipts deposited before December 11, 2000, remain ongoing.

Personnel Summary

Identification code 14-5233-0-2-303	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	1	1	

PRIORITY FEDERAL LAND ACQUISITIONS AND EXCHANGES

For implementation of a water rights and habitat acquisition program pursuant to section 10 of Public Law 106-263, \$3,000,000, to remain available until expended, to be derived from the Land and Water Conservation Fund, and to be for conservation spending category activities pursuant to section 251(c) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, for purposes of discretionary spending limits: Provided, That these funds may be available for transfer to the Bureau of Indian Affairs.

Program and Financing (in millions of dollars)

Identification code 14-5039-0-2-303	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program Activity-Water Rights and Habitat Acquisition			3
10.00 Total new obligations (object class 32.0)			3

Budgetary resources available for obligation:			
22.00	New budget authority (gross)		3
22.10	Resources available from recoveries of prior year obligations	35	
23.90	Total budgetary resources available for obligation	35	3
23.95	Total new obligations		-3
23.98	Unobligated balance expiring or withdrawn	-35	
New budget authority (gross), detail:			
Discretionary:			
40.20	Appropriation (special fund)		3
Change in obligated balances:			
72.40	Obligated balance, start of year	76	35
73.10	Total new obligations		3
73.20	Total outlays (gross)	-6	-18
73.45	Recoveries of prior year obligations	-35	
74.40	Obligated balance, end of year	35	17
Outlays (gross), detail:			
86.90	Outlays from new discretionary authority		3
86.93	Outlays from discretionary balances	6	18
87.00	Total outlays (gross)	6	18
Net budget authority and outlays:			
89.00	Budget authority		3
90.00	Outlays	6	18

Funds are requested for the settlement of the water claims of the Shivwits Band of the Paiute Indian Tribe of Utah. Public Law 106-263 specifies the use of the Land and Water Conservation Fund for implementation of the water rights and habitat acquisition program.

Intragovernmental funds:

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 14-4523-0-4-306	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01	DM Activities	13	15
09.02	National Business Center	220	305
09.03	Aircraft Services	105	108
09.04	Rebate Funding	6	6
09.05	Facilities	29	32
09.09	Reimbursable program subtotal	373	466
10.00	Total new obligations	373	466
Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year	31	39
22.00	New budget authority (gross)	380	439
22.10	Resources available from recoveries of prior year obligations	3	
23.90	Total budgetary resources available for obligation	414	478
23.95	Total new obligations	-373	-466
23.98	Unobligated balance expiring or withdrawn	-3	
24.40	Unobligated balance carried forward, end of year	39	12
New budget authority (gross), detail:			
Mandatory:			
69.00	Offsetting collections (cash)	377	439
69.10	Change in uncollected customer payments from Federal sources (unexpired)	3	
69.90	Spending authority from offsetting collections (total mandatory)	380	439
Change in obligated balances:			
72.40	Obligated balance, start of year	31	54
73.10	Total new obligations	373	466
73.20	Total outlays (gross)	-343	-452
73.45	Recoveries of prior year obligations	-3	
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-3	
74.40	Obligated balance, end of year	54	68

Outlays (gross), detail:			
86.97	Outlays from new mandatory authority	315	417
86.98	Outlays from mandatory balances	28	35
87.00	Total outlays (gross)	343	452
Offsets:			
Against gross budget authority and outlays:			
88.00	Offsetting collections (cash) from: Federal sources	-377	-439
Against gross budget authority only:			
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-3	
Net budget authority and outlays:			
89.00	Budget authority		
90.00	Outlays	-34	13

This fund finances Departmentwide activities that may be performed more advantageously on a reimbursable basis, including services provided by the National Business Center (NBC) and the Office of Aircraft Services (OAS). Departmentwide activities financed through the fund are centrally managed operational services and programs, such as: information technology, security, the Diversity Intern Program, Departmental news and information, and safety and health initiatives. Through the NBC, this fund finances the Department's administrative services systems, including: the Federal Personnel and Payroll System (FPPS), Federal Financial System (FFS), and the Interior Department Electronic Acquisitions System (IDEAS). The NBC also provides accounting, acquisition, central reproduction, communications, supplies and health services (43 U.S.C. 1467).

Object Classification (in millions of dollars)

Identification code 14-4523-0-4-306	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1	Full-time permanent	51	59
11.3	Other than full-time permanent	1	1
11.5	Other personnel compensation	1	1
11.9	Total personnel compensation	53	61
12.1	Civilian personnel benefits	13	14
21.0	Travel and transportation of persons	3	3
22.0	Transportation of things	1	1
23.1	Rental payments to GSA	20	24
23.3	Communications, utilities, and miscellaneous charges	10	11
24.0	Printing and reproduction	1	1
25.1	Advisory and assistance services	1	3
25.2	Other services	190	193
25.3	Other purchases of goods and services from Government accounts	4	6
25.4	Operation and maintenance of facilities	1	2
25.5	Research and development contracts	59	126
25.7	Operation and maintenance of equipment	2	3
26.0	Supplies and materials	6	7
31.0	Equipment	8	10
92.0	Undistributed	1	1
99.0	Reimbursable obligations	373	466
99.9	Total new obligations	373	466

Personnel Summary

Identification code 14-4523-0-4-306	2001 actual	2002 est.	2003 est.
2001	Total compensable workyears: Full-time equivalent employment	934	992
		934	993

INTERIOR FRANCHISE FUND

Program and Financing (in millions of dollars)

Identification code 14-4529-0-4-306	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.00	Interior Franchise Fund Activities	355	400
		355	450

Intragovernmental funds—Continued

INTERIOR FRANCHISE FUND—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 14-4529-0-4-306	2001 actual	2002 est.	2003 est.
10.00 Total new obligations (object class 25.2)	355	400	450
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	129	138	133
22.00 New budget authority (gross)	370	395	430
22.21 Unobligated balance transferred to other accounts	-6		
23.90 Total budgetary resources available for obligation	493	533	563
23.95 Total new obligations	-355	-400	-450
24.40 Unobligated balance carried forward, end of year	138	133	113
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	363	395	430
69.10 Change in uncollected customer payments from Federal sources (unexpired)	7		
69.90 Spending authority from offsetting collections (total mandatory)	370	395	430
Change in obligated balances:			
72.40 Obligated balance, start of year	-37	89	123
73.10 Total new obligations	355	400	450
73.20 Total outlays (gross)	-222	-366	-434
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-7		
74.40 Obligated balance, end of year	89	123	139
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	210	316	344
86.98 Outlays from mandatory balances	12	50	90
87.00 Total outlays (gross)	222	366	434
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-363	-395	-430
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-7		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-141	-29	4

The Government Management Reform Act, P.L. 103-356, established the Franchise Fund Pilot Program. Pursuant to the Act, the Department of the Interior was designated as one of six executive branch agencies authorized to establish a franchise fund. Section 113 of the General Provisions of the Department of the Interior and Related Agencies Appropriation Act of 1997, P.L. 104-208, established in the Treasury a franchise fund pilot. This fund is to be available for the cost of capitalizing and operating administrative services as the Secretary determines may be performed more advantageously as central services. The budget extends through the end of fiscal year 2003 the authority for the franchise fund pilot program.

Statement of Operations (in millions of dollars)

Identification code 14-4529-0-4-306	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	250	235	498	498
0102 Expense	-117	-223	-398	-398
0105 Net income or loss (-)	133	12	100	100
0199 Total comprehensive income	133	12	100	100

Balance Sheet (in millions of dollars)

Identification code 14-4529-0-4-306	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	16	259	72	72
Investments in US securities:				
1106 Accounts Receivable: due from Federal Agencies	11	17	17	17
1999 Total assets	27	276	89	89
LIABILITIES:				
Federal liabilities:				
2101 Accounts payable	12	152	35	35
2105 Deferred Revenue: Due to Federal Agencies	15	124	54	54
2999 Total liabilities	27	276	89	89
4999 Total liabilities and net position	27	276	89	89

ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedule of the parent appropriation as follows:

Interior: Bureau of Land Management: "Wildland Fire Management".

Environmental Protection Agency: "Hazardous Substance Superfund".

Office of the Special Trustee for American Indians: "Federal Trust Programs".

ADMINISTRATIVE PROVISIONS

There is hereby authorized for acquisition from available resources within the Working Capital Fund, 15 aircraft, 10 of which shall be for replacement and which may be obtained by donation, purchase or through available excess surplus property: *Provided*, That notwithstanding any other provision of law, existing aircraft being replaced may be sold, with proceeds derived or trade-in value used to offset the purchase price for the replacement aircraft: *Provided further*, That no programs funded with appropriated funds in the "Departmental Management", "Office of the Solicitor", and "Office of Inspector General" may be augmented through the Working Capital Fund or the Consolidated Working Fund. (*Department of the Interior and Related Agencies Appropriations Act, 2002.*)

INSULAR AFFAIRS

The Secretary of the Interior is charged with the responsibility of promoting the economic and political development of those insular areas which are under U.S. jurisdiction and within the responsibility of the Department of the Interior. The Secretary originates and implements Federal policy for the U.S. territories; guides and coordinates certain operating programs and construction projects; provides information services and technical assistance; coordinates certain Federal programs and services provided to the freely associated states, and participates in foreign policy and defense matters concerning the U.S. territories and the freely associated states.

Federal Funds

General and special funds:

ASSISTANCE TO TERRITORIES

For expenses necessary for assistance to territories under the jurisdiction of the Department of the Interior, [\$78,950,000] \$70,426,000, of which: (1) [\$74,422,000] \$64,922,000 shall be available until expended for technical assistance, including maintenance assistance, disaster assistance, insular management controls, coral reef initiative activities, and brown tree snake control and research; grants to the judiciary in American Samoa for compensation and expenses, as authorized by law (48 U.S.C. 1661(c)); grants to the Government of American Samoa, in addition to current local revenues, for construction and support of governmental functions; grants to the Government of the Virgin Islands as authorized by law; grants to the Government of Guam, as authorized by law; and grants to the Government of the Northern Mariana Islands as authorized by law (Public Law 94-241; 90 Stat. 272); and (2) [\$4,528,000] \$5,504,000 shall be available for salaries and expenses of the Office

of Insular Affairs: *Provided*, That all financial transactions of the territorial and local governments herein provided for, including such transactions of all agencies or instrumentalities established or used by such governments, may be audited by the General Accounting Office, at its discretion, in accordance with chapter 35 of title 31, United States Code: *Provided further*, That Northern Mariana Islands Covenant grant funding shall be provided according to those terms of the Agreement of the Special Representatives on Future United States Financial Assistance for the Northern Mariana Islands approved by Public Law 104-134: *Provided further*, That of the funds provided herein for American Samoa government operations, the Secretary is directed to use up to \$20,000 to increase compensation of the American Samoa High Court Justices: *Provided further*, That of the amounts provided for technical assistance, not to exceed \$2,000,000 shall be made available for transfer to the Disaster Assistance Direct Loan Financing Account of the Federal Emergency Management Agency for the purpose of covering the cost of forgiving the repayment obligation of the Government of the Virgin Islands on Community Disaster Loan 841, as required by section 504 of the Congressional Budget Act of 1974, as amended (2 U.S.C. 661c): *Provided further*, That of the amounts provided for technical assistance, sufficient funding shall be made available for a grant to the Close Up Foundation: *Provided further*, That the funds for the program of operations and maintenance improvement are appropriated to institutionalize routine operations and maintenance improvement of capital infrastructure, [(with territorial participation and cost sharing to be determined by the Secretary based on the [grantees] grantee's commitment to timely maintenance of its capital assets)]: *Provided further*, That any appropriation for disaster assistance under this heading in this Act or previous appropriations Acts may be used as non-Federal matching funds for the purpose of hazard mitigation grants provided pursuant to section 404 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5170c). (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 14-0412-0-1-808	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct:			
00.01 American Samoa Operations grants	23	23	23
Territorial Assistance:			
00.02 Office of insular affairs	4	5	6
00.03 Technical assistance	13	17	7
00.10 Brown tree snake control	3	2	2
00.11 Insular management controls	1	1	1
00.12 Maintenance assistance fund	3	2	2
00.13 Coral reef initiative	1	1	1
00.14 Virgin islands construction	1		
00.91 Direct subtotal, discretionary	47	51	42
01.01 Covenant grants, mandatory	30	28	28
01.92 Direct subtotal	77	79	70
02.01 Direct Loan Subsidy—Amer. Samoa	3		
03.00 Direct subtotal	80	79	70
10.00 Total new obligations	80	79	70
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	9	16	16
22.00 New budget authority (gross)	79	77	70
22.10 Resources available from recoveries of prior year obligations	7		
23.90 Total budgetary resources available for obligation	95	93	86
23.95 Total new obligations	-80	-79	-70
24.40 Unobligated balance carried forward, end of year	16	16	16

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	51	51	42
41.00 Transferred to other accounts		-2	
43.00 Appropriation (total discretionary)	51	49	42
Mandatory:			
60.00 Appropriation	28	28	28
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	5		

68.10 Change in uncollected customer payments from Federal sources (unexpired)	-5		
68.90 Spending authority from offsetting collections (total discretionary)			
70.00 Total new budget authority (gross)	79	77	70
Change in obligated balances:			
72.40 Obligated balance, start of year	150	134	142
73.10 Total new obligations	80	79	70
73.20 Total outlays (gross)	-92	-71	-78
73.45 Recoveries of prior year obligations	-7		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	5		
74.40 Obligated balance, end of year	134	142	132
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	31	32	27
86.93 Outlays from discretionary balances	21	10	22
86.98 Outlays from mandatory balances	40	28	28
87.00 Total outlays (gross)	92	71	78
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-5		
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	5		
Net budget authority and outlays:			
89.00 Budget authority	79	77	70
90.00 Outlays	87	71	78

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 14-0412-0-1-808	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Direct loan levels	19		
115901 Total direct loan levels	19		
Direct loan subsidy (in percent):			
132001 Direct loan levels	15.58		
132901 Weighted average subsidy rate	15.58		
Direct loan subsidy budget authority:			
133001 Direct loan levels	3		
133901 Total subsidy budget authority	3		
Direct loan subsidy outlays:			
134001 Direct loan levels	3		
134901 Total subsidy outlays	3		

This appropriation provides support for basic government operations for those territories requiring such support, capital infrastructure improvements, special program and economic development assistance, and technical assistance.

Pursuant to section 118 of P.L. 104-134, the \$27.7 million mandatory covenant grant funding may be allocated to high priority needs in the U.S. territories and freely associated states.

The following are key performance measures for the Office of Insular Affairs and the Assistance to Territories account:

PERFORMANCE MEASURES

	2001 actual	2002 est.	2003 est.
Multi-year financial management improvement plans completed (cumulative)	2	4	5
Multi-year capital improvement plans completed (cumulative)	5	7	8

Object Classification (in millions of dollars)

Identification code 14-0412-0-1-808	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	3
12.1 Civilian personnel benefits	1	1	1
25.2 Other services	3	3	3

General and special funds—Continued

ASSISTANCE TO TERRITORIES—Continued

Object Classification (in millions of dollars)—Continued

Identification code 14-0412-0-1-808	2001 actual	2002 est.	2003 est.
Grants, subsidies, and contributions:			
41.0 Subsidy—Amer. Samoa loan	3		
41.0 Grants, subsidies, and contributions	70	72	63
99.0 Direct obligations	79	78	70
99.5 Below reporting threshold	1	1	
99.9 Total new obligations	80	79	70

Personnel Summary

Identification code 14-0412-0-1-808	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	30	34	36

ASSISTANCE TO AMERICAN SAMOA DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 14-4163-0-3-806	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct:			
00.01 Direct Program	19		
00.02 Interest paid to Treasury (6.139 percent on \$19 million)		1	1
10.00 Total new obligations	19	1	1
Budgetary resources available for obligation:			
22.00 New financing authority (gross)	19		
23.95 Total new obligations	-19	-1	-1
New financing authority (gross), detail:			
Mandatory:			
67.10 Authority to borrow	16		
69.00 Offsetting collections (cash)	3	2	2
69.47 Portion applied to repay debt		-2	-2
69.90 Spending authority from offsetting collections (total mandatory)	3		
70.00 Total new financing authority (gross)	19		
Change in obligated balances:			
72.40 Obligated balance, start of year		5	
73.10 Total new obligations	19	1	1
73.20 Total financing disbursements (gross)	-14	-6	
74.40 Obligated balance, end of year	5		1
87.00 Total financing disbursements (gross)	14	6	

Offsets:

Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Federal sources—subsidy	-2		
Non-Federal sources:			
88.40 Non-Federal sources—interest payments fr. Am. Samoa		-1	-1
88.40 Non-Federal sources	-1	-1	-1
88.90 Total, offsetting collections (cash)	-3	-2	-2

Net financing authority and financing disbursements:

89.00 Financing authority	16	-2	-2
90.00 Financing disbursements	11	4	-2

Status of Direct Loans (in millions of dollars)

Identification code 14-4163-0-3-806	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans	19		

1150 Total direct loan obligations	19		
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	12	17	
1231 Disbursements: Direct loan disbursements	13	6	
1251 Repayments: Repayments and prepayments—principal	-1	-1	-1
1290 Outstanding, end of year	12	17	16

In 2000, the American Samoa Government (ASG) was authorized to borrow \$18.6 million from the U.S. Treasury in order to reduce significant past due debts to vendors. Repayment of the loan is secured and accomplished with funds, as they become due and payable to ASG from the Escrow Account established under the terms and conditions of the Tobacco Master Settlement Agreement. ASG must agree to significant financial reforms as a prerequisite to receiving the loan proceeds.

TRUST TERRITORY OF THE PACIFIC ISLANDS

Program and Financing (in millions of dollars)

Identification code 14-0414-0-1-808	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Trust Terr. Operations Grant	4	1	
10.00 Total new obligations (object class 41.0)	4	1	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		1	
22.10 Resources available from recoveries of prior year obligations	4		
23.90 Total budgetary resources available for obligation	4	1	
23.95 Total new obligations	-4	-1	
24.40 Unobligated balance carried forward, end of year	1		
Change in obligated balances:			
72.40 Obligated balance, start of year	17	15	14
73.10 Total new obligations	4	1	
73.20 Total outlays (gross)	-1	-2	-2
73.45 Recoveries of prior year obligations	-4		
74.40 Obligated balance, end of year	15	14	14
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	1	2	2
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	1	2	2

Until October 1, 1994, the United States exercised jurisdiction over the Trust Territory of the Pacific Islands according to the terms of the 1947 Trusteeship Agreement between the United States and the Security Council of the United Nations. These responsibilities were carried out by the Department of the Interior.

The Department of the Interior is seeking no additional appropriations for the Trust Territory of the Pacific Islands. Compacts of Free Association have been implemented with the Federated States of Micronesia, the Republic of the Marshall Islands, and, as of October 1, 1994, the Republic of Palau. Assistance to the Republic of Palau is now contained in the "Compact of Free Association" account.

Remaining funds in the "Trust Territory of the Pacific Islands" account will be used to meet final transition responsibilities of the United States. Outlays from numerous on-going infrastructure construction projects in the Republic of Palau and the other two entities will continue as provided by the Compacts of Free Association and appropriation laws, and will be reported as Trust Territory expenditures until such time as the activities cease.

COMPACT OF FREE ASSOCIATION

For economic assistance and necessary expenses for the Federated States of Micronesia and the Republic of the Marshall Islands as provided for in sections 122, 221, 223, 232, and 233 of the Compact of Free Association, and for economic assistance and necessary expenses for the Republic of Palau as provided for in sections 122, 221, 223, 232, and 233 of the Compact of Free Association, **[\$23,245,000] \$20,745,000**, to remain available until expended, as authorized by Public Law 99-239 and Public Law 99-658. (*Department of the Interior and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 14-0415-0-1-808	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Discretionary programs:			
00.01 Federal services assistance	9	15	8
00.02 Enewetak support	1	1	1
00.91 Subtotal, discretionary	10	16	9
Mandatory:			
01.01 Program grant assistance, mandatory	12	14	12
01.92 Subtotal	22	30	21
Permanent Indefinite:			
02.01 Assistance to the Marshall Islands	37	43	43
02.02 Assistance to the Federated States of Micronesia	73	90	91
02.03 Assistance to the Republic of Palau	12	12	12
02.91 Subtotal, permanent indefinite	122	145	146
10.00 Total new obligations	144	175	167
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	14	19	12
22.00 New budget authority (gross)	143	168	167
22.10 Resources available from recoveries of prior year obligations	7		
23.90 Total budgetary resources available for obligation	164	187	179
23.95 Total new obligations	-144	-175	-167
24.40 Unobligated balance carried forward, end of year	19	12	12
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	9	9	9
Mandatory:			
60.00 Appropriation	134	159	158
70.00 Total new budget authority (gross)	143	168	167
Change in obligated balances:			
72.40 Obligated balance, start of year	109	88	60
73.10 Total new obligations	144	175	167
73.20 Total outlays (gross)	-158	-203	-191
73.45 Recoveries of prior year obligations	-7		
74.40 Obligated balance, end of year	88	60	36
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	4	8	8
86.93 Outlays from discretionary balances	2	8	1
86.97 Outlays from new mandatory authority	134	159	158
86.98 Outlays from mandatory balances	18	28	24
87.00 Total outlays (gross)	158	203	191
Net budget authority and outlays:			
89.00 Budget authority	143	168	167
90.00 Outlays	158	203	191

The peoples of the Marshall Islands and the Federated States of Micronesia approved Compacts of Free Association negotiated by the United States and their governments. The Compact of Free Association Act of 1985 (Public Law 99-239) constituted the necessary authorizing legislation to make annual payments to the Republic of the Marshall Islands and the Federated States of Micronesia. Payments began in 1987 and will continue for fifteen years, totalling an estimated \$2.3 billion, to aid in the development of these sovereign nations.

Section 231 of the Compact of Free Association contains a formula for two additional years of assistance if negotiations are underway to extend provisions that expire at the end of the fifteenth year. Negotiations are currently underway between the United States and both the Federated States of Micronesia and the Republic of the Marshall Islands which are expected to produce a new agreement that would be incorporated in the fiscal year 2004 President's Budget.

The Compact of Free Association with the Republic of Palau was implemented under the terms of Public Law 99-658 on October 1, 1994. This compact will provide annual benefits to the Republic totalling an estimated \$600 million over the fifteen-year period that began at the implementation date.

Object Classification (in millions of dollars)

Identification code 14-0415-0-1-808	2001 actual	2002 est.	2003 est.
25.2 Other services	4	4	4
41.0 Grants, subsidies, and contributions	140	171	163
99.9 Total new obligations	144	175	167

PAYMENTS TO THE UNITED STATES TERRITORIES, FISCAL ASSISTANCE

Program and Financing (in millions of dollars)

Identification code 14-0418-0-1-806	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Advance payments to Guam of estimated U.S. income tax collections	55	55	55
00.02 Advance payments to the Virgin Islands of estimated U.S. excise tax collections	51	51	51
09.01 Virgin Island Loan	-1		
10.00 Total new obligations	105	106	106
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	105	106	106
22.40 Capital transfer to general fund	1		
23.90 Total budgetary resources available for obligation	106	106	106
23.95 Total new obligations	-105	-106	-106
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	106	106	106
69.00 Offsetting collections (cash)	-1		
70.00 Total new budget authority (gross)	105	106	106
Change in obligated balances:			
73.10 Total new obligations	105	106	106
73.20 Total outlays (gross)	-105	-106	-106
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	105	106	106
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	1		
Net budget authority and outlays:			
89.00 Budget authority	106	106	106
90.00 Outlays	105	106	106

Status of Direct Loans (in millions of dollars)

Identification code 14-0418-0-1-806	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	15	13	11
1251 Repayments: Repayments and prepayments	-2	-2	-1
1290 Outstanding, end of year	13	11	10

General and special funds—Continued

PAYMENTS TO THE UNITED STATES TERRITORIES, FISCAL ASSISTANCE—Continued

Public Law 95-348 requires that certain revenues collected by the U.S. Treasury involving Guam and the Virgin Islands (income taxes withheld and excise taxes) be paid prior to the start of the fiscal year of collection. The 2002 request is for the 2003 advanced payment.

Object Classification (in millions of dollars)

Identification code 14-0418-0-1-806	2001 actual	2002 est.	2003 est.
41.0 Direct obligations: Grants, subsidies, and contributions	106	106	106
99.0 Reimbursable obligations: Reimbursable obligations	- 1		
99.9 Total new obligations	105	106	106

OFFICE OF THE SOLICITOR
SALARIES AND EXPENSES

Federal Funds

General and special funds:

For necessary expenses of the Office of the Solicitor, **[\$45,000,000]** \$49,616,000. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 14-0107-0-1-306	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	42	47	50
09.00 Reimbursable program	5	5	5
10.00 Total new obligations	47	52	55

Budgetary resources available for obligation:			
22.00 New budget authority (gross)	47	53	56
23.95 Total new obligations	- 47	- 52	- 55

New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	42	47	50
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	5	6	6
70.00 Total new budget authority (gross)	47	53	56

Change in obligated balances:			
72.40 Obligated balance, start of year	2	3	2
73.10 Total new obligations	47	52	55
73.20 Total outlays (gross)	- 47	- 53	- 56
74.40 Obligated balance, end of year	3	2	2

Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	45	50	53
86.93 Outlays from discretionary balances		2	5
87.00 Total outlays (gross)	47	53	56

Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	- 5	- 6	- 6

Net budget authority and outlays:			
89.00 Budget authority	42	47	50
90.00 Outlays	42	47	50

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	40	45	48

90.00 Outlays	40	45	48
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The Office of the Solicitor provides legal advice and counsel to the Secretary, the Secretariat, and all constituent bureaus and offices of the Department of the Interior. All attorneys employed in the Department for the purposes of providing legal services are under the supervision of the Solicitor, except the Justices of American Samoa and the attorneys in the Office of Congressional and Legislative Affairs, Office of Inspector General, and the Office of Hearings and Appeals. The Office is comprised of the headquarters staff, located in Washington, DC, and 18 regional and field offices.

Object Classification (in millions of dollars)

Identification code 14-0107-0-1-306	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	27	29	31
12.1 Civilian personnel benefits	8	9	9
23.1 Rental payments to GSA	4	4	4
25.2 Other services	3	4	4
26.0 Supplies and materials		1	1
31.0 Equipment			1
99.0 Direct obligations	42	47	50
99.0 Reimbursable obligations	5	5	5
99.9 Total new obligations	47	52	55

Personnel Summary

Identification code 14-0107-0-1-306	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	339	343	346
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	44	41	38

OFFICE OF INSPECTOR GENERAL
SALARIES AND EXPENSES

Federal Funds

General and special funds:

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General, **[\$34,302,000]** \$38,225,000, of which \$3,812,000 shall be for procurement by contract of independent auditing services to audit the consolidated Department of the Interior annual financial statement and the annual financial statement of the Department of the Interior bureaus and offices funded in this Act. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 14-0104-0-1-306	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	29	36	39
09.01 Reimbursable program	2	3	3
10.00 Total new obligations	31	39	42

Budgetary resources available for obligation:			
22.00 New budget authority (gross)	31	39	42
23.95 Total new obligations	- 31	- 39	- 42

New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	29	36	39
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	2	3	3
70.00 Total new budget authority (gross)	31	39	42

Change in obligated balances:				
72.40	Obligated balance, start of year	2	2	3
73.10	Total new obligations	31	39	42
73.20	Total outlays (gross)	-30	-38	-41
73.40	Adjustments in expired accounts (net)	-1		
74.10	Change in uncollected customer payments from Federal sources (expired)	2		
74.40	Obligated balance, end of year	2	3	4
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	31	36	38
86.93	Outlays from discretionary balances		3	3
87.00	Total outlays (gross)	30	38	41
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-2	-3	-3
Net budget authority and outlays:				
89.00	Budget authority	29	36	39
90.00	Outlays	29	35	38

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	28	34	37
90.00	Outlays	28	33	36

The mission of the Office of Inspector General is to detect and prevent fraud, waste, and abuse and to promote economy, efficiency, and effectiveness in Departmental programs and operations. The Office conducts and supervises all audits and investigations relating to Departmental programs and operations. In addition, the Office keeps the Secretary and the Congress fully and currently informed about fraud, mismanagement, problems, and deficiencies in Departmental administration of these programs, recommends corrective action, and reports on the progress made in correcting identified problems.

Object Classification (in millions of dollars)

Identification code 14-0104-0-1-306	2001 actual	2002 est.	2003 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	18	20	21
12.1	Civilian personnel benefits	6	7	8
21.0	Travel and transportation of persons	1	1	1
23.1	Rental payments to GSA	2	2	2
25.2	Other services	1	5	6
25.3	Other purchases of goods and services from Government accounts	1	1	1
99.0	Direct obligations	29	36	39
99.0	Reimbursable obligations	2	2	2
99.5	Below reporting threshold		1	1
99.9	Total new obligations	31	39	42

Personnel Summary

Identification code 14-0104-0-1-306	2001 actual	2002 est.	2003 est.	
Direct:				
1001	Total compensable workyears: Full-time equivalent employment	243	252	252
Reimbursable:				
2001	Total compensable workyears: Full-time equivalent employment	10	3	3

NATURAL RESOURCE DAMAGE ASSESSMENT AND RESTORATION
NATURAL RESOURCE DAMAGE ASSESSMENT FUND

To conduct natural resource damage assessment and restoration activities by the Department of the Interior necessary to carry out

the provisions of the Comprehensive Environmental Response, Compensation, and Liability Act, as amended (42 U.S.C. 9601 et seq.), Federal Water Pollution Control Act, as amended (33 U.S.C. 1251 et seq.), the Oil Pollution Act of 1990 (Public Law 101-380) (33 U.S.C. 2701 et seq.), and Public Law 101-337, as amended (16 U.S.C. 191j et seq.), **[\$5,497,000] \$5,875,000**, to remain available until expended. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Unavailable Collections (in millions of dollars)

Identification code 14-1618-0-1-303	2001 actual	2002 est.	2003 est.	
01.99	Balance, start of year			
Receipts:				
02.20	Natural resources damages from legal actions	95	56	40
02.40	Natural resources damages from legal actions, earnings on investments	6	5	5
02.99	Total receipts and collections	101	61	45
Appropriations:				
05.00	Natural resource damage assessment and restoration fund	-100	-61	-45
07.99	Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 14-1618-0-1-303	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Damage assessments	5	7	7
00.02	Prince William Sound restoration	2	4	3
00.03	Other restoration	13	15	20
00.04	Program management	1	1	2
10.00	Total new obligations	21	27	32
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	85	161	191
22.00	New budget authority (gross)	98	59	50
22.10	Resources available from recoveries of prior year obligations	1		
22.21	Unobligated balance transferred to DOC/NOAA	-3	-2	-3
23.90	Total budgetary resources available for obligation	181	218	238
23.95	Total new obligations	-21	-27	-32
24.40	Unobligated balance carried forward, end of year	161	191	205

New budget authority (gross), detail:

Discretionary:				
40.00	Appropriation	5	5	6
Mandatory:				
60.20	Appropriation (special fund)	100	61	45
61.00	Transferred to other accounts	-7	-7	-1
62.50	Appropriation (total mandatory)	93	54	44
70.00	Total new budget authority (gross)	98	59	50

Change in obligated balances:

72.40	Obligated balance, start of year	9	7	6
73.10	Total new obligations	21	27	32
73.20	Total outlays (gross)	-23	-28	-32
73.45	Recoveries of prior year obligations	-1		
74.40	Obligated balance, end of year	7	6	6

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	4	4	4
86.93	Outlays from discretionary balances	1	2	2
86.97	Outlays from new mandatory authority	3	5	4
86.98	Outlays from mandatory balances	16	17	20
87.00	Total outlays (gross)	23	28	32

Net budget authority and outlays:

89.00	Budget authority	98	59	50
90.00	Outlays	23	28	32

Memorandum (non-add) entries:

92.01	Total investments, start of year: Federal securities: Par value	77	151	182
92.02	Total investments, end of year: Federal securities: Par value	151	182	200

General and special funds—Continued

NATURAL RESOURCE DAMAGE ASSESSMENT FUND—Continued

Under the Natural Resource Damage Assessment and Restoration Fund (Restoration Fund), natural resource damage assessments will be performed in order to provide the basis for claims against responsible parties for the restoration of injured natural resources. Funds are appropriated to conduct damage assessments, restoration, and program management. In addition, funds will be received for the restoration of damaged resources and other activities and for natural resource damage assessments from responsible parties through negotiated settlements or other legal actions by the Department of the Interior.

Restoration activities include: (1) the replacement and enhancement of affected resources; (2) acquisition of equivalent resources and services; and, (3) long-term environmental monitoring and research programs directed to the prevention, containment, and amelioration of hazardous substances and oil spill sites.

The Restoration Fund operates as a departmentwide program, incorporating the interdisciplinary expertise of its various bureaus and offices. Natural resource damage assessments and the restoration of damaged natural resources are authorized by the Comprehensive Environmental Response, Compensation, and Liability Act, as amended (42 U.S.C. 9601 *et seq.*), Federal Water Pollution Control Act, as amended (33 U.S.C. 1251 *et seq.*), the Oil Pollution Act of 1990 (33 U.S.C. 2701 *et seq.*), and the Act of July 27, 1990 (16 U.S.C. 1911 *et seq.*). Since 1992, amounts received by the United States from responsible parties for restoration or reimbursement in settlement of natural resource damages may be deposited in the Fund and shall accrue interest.

managed separately by the Federal and Alaska State governments, although activities are coordinated with the Trustee Council to maximize restoration benefits.

Funding from the settlements, as well as interest, is provided to the Federal and Alaska State governments to restore the resources and services damaged by the 1989 oil spill. Restoration activities were initiated in 1992 and habitat protection was begun in 1993.

Habitat protection and acquisition is one of the principal tools of restoration. The Trustee Council has underway two habitat protection and acquisition programs, a large parcel program that protects blocks of land in excess of 1,000 acres and a small parcel program that recognizes the unique habitat qualities and strategic restoration value that smaller tracts provide. Funding from the Exxon Valdez civil and criminal settlements, the Land and Water Conservation Fund, and private partnerships work together as an integrated approach to the restoration program. The Council has been working with large and small landowners, on a willing-seller basis, in the spill-impacted area to protect approximately 645,247 acres of habitat.

EXXON VALDEZ RESTORATION PROGRAM BUDGET

Civil and Criminal Settlements (In thousands of dollars)			
	2001 actual	2002 est.	2003 est.
National Oceanic and Atmospheric Administration	2,080	1,674	1,609
U.S. Forest Service	6,106	7,204	204
Department of the Interior	2,301	3,726	2,397
Subtotal, Federal Government	10,487	12,604	4,210
State of Alaska	10,227	17,639	13,726
Total Restoration Program	20,714	30,243	17,936

Object Classification (in millions of dollars)

Identification code 14-1618-0-1-303	2001 actual	2002 est.	2003 est.
25.2 Direct obligations: Other services	2	4	5
Allocation Account:			
Personnel compensation:			
11.1 Full-time permanent	3	3	3
11.3 Other than full-time permanent		1	1
11.9 Total personnel compensation	3	4	4
12.1 Civilian personnel benefits	1	1	2
25.2 Other services	2	3	6
25.3 Other purchases of goods and services from Government accounts	4	6	6
26.0 Supplies and materials	1	1	1
32.0 Land and structures	1	2	3
41.0 Grants, subsidies, and contributions	5	5	5
99.0 Allocation account	17	22	27
99.5 Below reporting threshold	2	1	
99.9 Total new obligations	21	27	32

Personnel Summary

Identification code 14-1618-0-1-303	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	4	4	4

EXXON VALDEZ RESTORATION PROGRAM

The budget incorporates the receipts and mandatory spending associated with the 1991 Exxon Valdez oil spill civil and criminal settlements. Receipts for restoration activities from 1992 through 2001 are currently estimated to total \$687 million. Additionally, \$213 million was recovered for past response and damage assessment activities. The Exxon Valdez Oil Spill Trustee Council was formed to act on behalf of the public as trustees in the collection and joint use of all civil settlement recoveries. The criminal settlement funds are

OFFICE OF THE SPECIAL TRUSTEE FOR AMERICAN INDIANS

Federal Funds

General and special funds:

OFFICE OF SPECIAL TRUSTEE FOR AMERICAN INDIANS

FEDERAL TRUST PROGRAMS

For operation of trust programs for Indians by direct expenditure, contracts, cooperative agreements, compacts, and grants, [\$99,224,000] \$152,590,000, to remain available until expended: *Provided*, That funds for trust management improvements may be transferred, as needed, to the Bureau of Indian Affairs "Operation of Indian Programs" account and to the Departmental Management "Salaries and Expenses" account: *Provided further*, That funds made available to Tribes and Tribal organizations through contracts or grants obligated during fiscal year [2002] 2003, as authorized by the Indian Self-Determination Act of 1975 (25 U.S.C. 450 *et seq.*), shall remain available until expended by the contractor or grantee: *Provided further*, That notwithstanding any other provision of law, the statute of limitations shall not commence to run on any claim, including any claim in litigation pending on the date of the enactment of this Act, concerning losses to or mismanagement of trust funds, until the affected tribe or individual Indian has been furnished with an accounting of such funds from which the beneficiary can determine whether there has been a loss: *Provided further*, That notwithstanding any other provision of law, the Secretary shall not be required to provide a quarterly statement of performance for any Indian trust account that has not had activity for at least 18 months and has a balance of \$1.00 or less: *Provided further*, That the Secretary shall issue an annual account statement and maintain a record of any such accounts and shall permit the balance in each such account to be withdrawn upon the express written request of the account holder: *Provided further*, That not to exceed \$50,000 is available for the Secretary to make payments to correct administrative errors of either disbursements from or deposits to Individual Indian Money or Tribal accounts after September 30, 2002: *Provided further*, That erroneous payments that are recovered shall be credited to this account. (Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 14-0120-0-1-808	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Executive direction	2	2	2
00.02 Program operations, support, and improvements	103	137	162
10.00 Total new obligations	105	139	164
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	41	49	11
22.00 New budget authority (gross)	111	101	153
22.10 Resources available from recoveries of prior year obligations	3		
23.90 Total budgetary resources available for obligation	155	150	164
23.95 Total new obligations	-105	-139	-164
24.40 Unobligated balance carried forward, end of year	49	11	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	111	101	153
Change in obligated balances:			
72.40 Obligated balance, start of year	29	37	37
73.10 Total new obligations	105	139	164
73.20 Total outlays (gross)	-94	-139	-149
73.45 Recoveries of prior year obligations	-3		
74.40 Obligated balance, end of year	37	37	52

Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	78	71	108
86.93 Outlays from discretionary balances	16	67	41
87.00 Total outlays (gross)	94	139	149

Net budget authority and outlays:			
89.00 Budget authority	111	101	153
90.00 Outlays	94	139	149

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	110	99	151
90.00 Outlays	93	137	147

Executive direction.—This activity supports the Office of Special Trustee for American Indians, the Trustee’s advisory board, and other Tribal representative groups. Under the American Indian Trust Fund Management Reform Act of 1994, the Special Trustee for American Indians is charged with general oversight for Indian trust reform efforts departmentwide. Additionally, in 1996, at the direction of the Congress, direct responsibilities and authorities for Indian Trust Fund Management were transferred to the Special Trustee from the Assistant Secretary of Indian Affairs.

Program operations, support, and improvements.—This activity supports the management and investment of approximately \$3 billion held in trust for Tribes and individual Indians. Resources support the implementation of trust management reform efforts and the accurate collection, investment, disbursement, and provision of timely financial information to Indian Tribes and individual Indian monies (IIM) account holders.

Object Classification (in millions of dollars)

Identification code 14-0120-0-1-808	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	16	22	28
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	18	24	30

12.1 Civilian personnel benefits	5	7	9
21.0 Travel and transportation of persons	2	3	5
23.1 Rental payments to GSA	2	2	3
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	28	45	35
25.3 Other purchases of goods and services from Government accounts	6	3	3
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	2	3
99.0 Direct obligations	64	88	90
Allocation Account:			
Personnel compensation:			
11.1 Full-time permanent	5	6	6
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	6	7	7
12.1 Civilian personnel benefits	1	2	2
21.0 Travel and transportation of persons	1	1	1
23.3 Communications, utilities, and miscellaneous charges		1	1
25.2 Other services	33	38	61
25.3 Other purchases of goods and services from Government accounts		2	2
99.0 Allocation account	41	51	74
99.9 Total new obligations	105	139	164

Personnel Summary

Identification code 14-0120-0-1-808	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	362	412	470

PAYMENTS FOR TRUST ACCOUNTING DEFICIENCIES

Program and Financing (in millions of dollars)

Identification code 14-0121-2-1-808	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)			7
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			7
23.95 Total new obligations			-7
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation			7
Change in obligated balances:			
73.10 Total new obligations			7
73.20 Total outlays (gross)			-7
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority			7
Net budget authority and outlays:			
89.00 Budget authority			7
90.00 Outlays			7

INDIAN LAND CONSOLIDATION

For consolidation of fractional interests in Indian lands and expenses associated with redetermining and redistributing escheated interests in allotted lands, and for necessary expenses to carry out the Indian Land Consolidation Act of 1983, as amended, by direct expenditure or cooperative agreement, **[\$10,980,000] \$7,980,000**, to remain available until expended and which may be transferred to the Bureau of Indian Affairs and Departmental Management. (*Department of the Interior and Related Agencies Appropriations Act, 2002.*)

General and special funds—Continued

INDIAN LAND CONSOLIDATION—Continued

Program and Financing (in millions of dollars)

Identification code 14-2103-0-1-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	5	12	15
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4	8	7
22.00 New budget authority (gross)	9	11	8
23.90 Total budgetary resources available for obligation	13	19	15
23.95 Total new obligations	-5	-12	-15
24.40 Unobligated balance carried forward, end of year	8	7	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	9	11	8
Change in obligated balances:			
72.40 Obligated balance, start of year		1	2
73.10 Total new obligations	5	12	15
73.20 Total outlays (gross)	-3	-11	-15
74.40 Obligated balance, end of year	1	2	2
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2	4	8
86.93 Outlays from discretionary balances	1	7	7
87.00 Total outlays (gross)	3	11	15
Net budget authority and outlays:			
89.00 Budget authority	9	11	8
90.00 Outlays	3	11	15

This appropriation funds a program to consolidate fractional interests in Indian lands. Funds will be used to purchase small fractional interests from willing individual Indian landowners. Consolidation of these interests is expected to reduce the Government's costs for managing Indian lands and promote economic opportunity on these lands. The Department will lease tracts of land with consent of fewer than 100 percent of the owners, which will assist in using previously issued tracts, including those containing oil and gas resources. This program is authorized under the Indian Land Consolidation Act Amendments of 2000 (P.L. 106-462) and other authorities.

Object Classification (in millions of dollars)

Identification code 14-2103-0-1-452	2001 actual	2002 est.	2003 est.
25.2 Other services	2	4	5
32.0 Land and structures	3	8	10
99.9 Total new obligations	5	12	15

TRIBAL SPECIAL FUND

Unavailable Collections (in millions of dollars)

Identification code 14-5265-0-2-452	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 Proprietary receipts from the public	27	29	30
02.21 Return of principal from private sector investments	38	40	42
02.40 Earnings on investments	5	5	5
02.99 Total receipts and collections	70	74	77
Appropriations:			
05.00 Tribal special fund	-71	-74	-77
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 14-5265-0-2-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	166	74	77
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	95		
22.00 New budget authority (gross)	71	74	77
23.90 Total budgetary resources available for obligation	166	74	77
23.95 Total new obligations	-166	-74	-77
New budget authority (gross), detail:			
Mandatory:			
60.20 Appropriation (special fund)	71	74	77
Change in obligated balances:			
72.40 Obligated balance, start of year		13	13
73.10 Total new obligations	166	74	77
73.20 Total outlays (gross)	-153	-74	-77
74.40 Obligated balance, end of year	13	13	13
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	71	74	77
86.98 Outlays from mandatory balances	82		
87.00 Total outlays (gross)	153	74	77
Net budget authority and outlays:			
89.00 Budget authority	71	74	77
90.00 Outlays	153	74	77
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value	24	13	13
92.02 Total investments, end of year: Federal securities:			
Par value	13	13	13

Commencing with 2000, most Tribal trust funds, including special funds, managed by the Office of Special Trustee were reclassified as non-budgetary. Ownership of these funds did not change, nor did the Federal Government's management responsibilities; changes were made for presentation purposes only. Some Tribal trust funds will remain budgetary, in either this Tribal Special Fund or the Tribal Trust Fund presented later in this section. Funds in the Tribal Special Fund are those not designated in law as a trust, and generally are funds held and invested to carry out obligations of the Secretary of the Interior.

The unobligated balances reflected above include only those assets invested in U.S. Treasury securities; most of the assets of these funds are in investments held outside Treasury.

This consolidated display presents the activities associated with the following accounts:

Cochiti Wetfields Solution.—In 1994, the Army Corps of Engineers transferred \$4 million pursuant to P.L. 102-358 to fund the Interior's responsibilities under the settlement agreement between Cochiti Tribe, the Corps, and Interior. The Secretary of the Interior is responsible for maintenance, repair, and replacement of a drainage system constructed by the Corps for the Cochiti Pueblo.

Tribal Economic Recovery Fund.—This fund is authorized by the Three Affiliated Tribes and Standing Rock Sioux Tribe Equitable Compensation Act of 1992 (P.L. 102-575) and holds funds which have been appropriated pursuant to the Act. Beginning in 1998, interest earned on the principal of this fund is available for both Tribes for economic development, education, and social services programs.

Southern Arizona Water Rights Settlement Act.—This Cooperative Fund was established to provide a source of funds to carry out the obligations of the Secretary under sections 303, 304, and 305 of the Act (Title III, P.L. 97-293, 96 Stat. 1274-1285). Only interest accruing to the fund may be expended.

Tribal Trust Funds.—Tribal funds are deposited into a consolidated account in the U.S. Treasury pursuant to: (1) general or specific acts of Congress and (2) Federal management of Tribal real properties, the titles to which are held in trust for the Tribes by the United States. These funds are available to the respective Tribal groups for various purposes, under various acts of Congress, and are subject to the provisions of Tribal constitutions, bylaws, charters, and resolutions of the various Tribes, bands, or groups.

TRIBAL TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 14-8030-0-7-452	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 Interest on investments in GSEs	5	5	5
02.21 Return of principal from private sector investments	14	15	16
02.22 Miscellaneous sales of assets	3	3	3
02.40 Federal fund payments	8	8	9
02.42 Earnings on investments	1	1	1
02.99 Total receipts and collections	31	32	34
Appropriations:			
05.00 Tribal trust fund	-31	-32	-34
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 14-8030-0-7-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	34	32	34
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3		
22.00 New budget authority (gross)	31	32	34
23.90 Total budgetary resources available for obligation	34	32	34
23.95 Total new obligations	-34	-32	-34
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)	31	32	34
Change in obligated balances:			
73.10 Total new obligations	34	32	34
73.20 Total outlays (gross)	-34	-32	-34
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	31	32	34
86.98 Outlays from mandatory balances	3		
87.00 Total outlays (gross)	34	32	34
Net budget authority and outlays:			
89.00 Budget authority	31	32	34
90.00 Outlays	34	32	34

Commencing with 2000, most Tribal trust funds, including special funds, managed by the Office of Special Trustee were reclassified as non-budgetary. Ownership of these funds did not change, nor did the Federal Government's management responsibilities; changes were made for presentation purposes only. Some Tribal trust funds will remain budgetary, in either this Tribal Trust Fund or the Tribal Special Fund presented in this section. Most assets are in investments held outside Treasury.

This consolidated display presents the activities associated with the following accounts:

Tribal Trust Funds.—Tribal funds are deposited into a consolidated account in the U.S. Treasury pursuant to: (1) general or specific acts of Congress and (2) Federal management of Tribal real properties, the titles to which are held in trust for the Tribes by the United States. These funds are available

to the respective Tribal groups for various purposes, under various acts of Congress, and are subject to the provisions of Tribal constitutions, bylaws, charters, and resolutions of the various Tribes, bands, or groups.

Funds Contributed for the Advancement of the Indian Race.—This program accounts for any contributions, donations, gifts, etc., which are to be used for the benefit of American Indians in accordance with the donors' wishes (82 Stat. 171).

Bequest of George C. Edgeter.—This program consists of a bequest, the principal of which is invested in U.S. Treasury bonds and notes, and the interest is to be used for the relief of American Indians as specified by the donors' wishes (82 Stat. 171).

Northern Cheyenne Indian Reserved Water Rights Settlement Trust Fund.—Funds transferred provide for the establishment of a \$21.5 million trust fund for the Northern Cheyenne Indian Tribe. These funds may be used by the Tribe to make \$11.5 million available to the State of Montana as a loan to assist in financing Tongue River Dam project costs; land and natural resources administration, planning, and development; land acquisition; and any other purpose determined by the Tribe.

In addition, this fund holds \$31.5 million for the enlargement and repair of the Tongue River Dam project.

The Crow Creek Sioux Tribe Infrastructure Development Trust Fund.—The Crow Creek Sioux Tribe Infrastructure Development Trust Fund of 1996 (P.L. 104-223, 110 Stat 3026) establishes a Crow Creek Sioux Tribe Infrastructure Development Trust Fund. In 1997, \$27.5 million was deposited into the Fund. The interest earned from the invested principal is available for payment to the Tribe for Tribal educational, health care, recreational, and other projects.

NATIONAL INDIAN GAMING COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the National Indian Gaming Commission, pursuant to Public Law 100-497, \$2,000,000, to remain available until expended.

Program and Financing (in millions of dollars)

Identification code 14-0118-0-1-806	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program Activity			2
09.01 Reimbursable program	2	2	2
10.00 Total new obligations (object class 99.5)	2	2	4
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	2	2
22.00 New budget authority (gross)	2	2	4
23.90 Total budgetary resources available for obligation	4	4	6
23.95 Total new obligations	-2	-2	-4
24.40 Unobligated balance carried forward, end of year	2	2	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation			2
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	2	2	2
70.00 Total new budget authority (gross)	2	2	4
Change in obligated balances:			
73.10 Total new obligations	2	2	4
73.20 Total outlays (gross)	-2	-2	-4
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		1	3

General and special funds—Continued**SALARIES AND EXPENSES—Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 14-0118-0-1-806	2001 actual	2002 est.	2003 est.
86.93 Outlays from discretionary balances	2	1	1
87.00 Total outlays (gross)	2	2	4
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-2	-2	-2
Net budget authority and outlays:			
89.00 Budget authority			2
90.00 Outlays			2

The Indian Gaming Regulatory Act (P.L. 100-497) established the National Indian Gaming Commission as an independent agency within the Department of the Interior. The Commission monitors and regulates gaming activities conducted on Indian lands. Operating costs of the Commission are financed to the greatest extent possible through annual assessments of gaming operations regulated by the Commission. The 2003 request will provide the additional operating expenses needed by the Commission, as authorized by the Act, to conduct effective oversight of the recent growth in Indian gaming. Legislation will be proposed to change the current statutory limitation on annual assessments.

Personnel Summary

Identification code 14-0118-0-1-806	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment			10

NATIONAL INDIAN GAMING COMMISSION, GAMING ACTIVITY FEES**Unavailable Collections (in millions of dollars)**

Identification code 14-5141-0-2-806	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.00 National Indian Gaming Commission, gaming activity fees	8	8	8
Appropriations:			
05.00 National Indian Gaming Commission, gaming activity fees	-8	-8	-8
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 14-5141-0-2-806	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program Activity	8	9	9
10.00 Total new obligations	8	9	9
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	3	2
22.00 New budget authority (gross)	8	8	8
23.90 Total budgetary resources available for obligation	11	11	10
23.95 Total new obligations	-8	-9	-9
24.40 Unobligated balance carried forward, end of year	3	2	1
New budget authority (gross), detail:			
Mandatory:			
60.20 Appropriation (special fund)	8	8	8
Change in obligated balances:			
72.40 Obligated balance, start of year	3	1	

73.10 Total new obligations	8	9	9
73.20 Total outlays (gross)	-10	-10	-9
74.40 Obligated balance, end of year	1		

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	7	6	7
86.98 Outlays from mandatory balances	3	4	2
87.00 Total outlays (gross)	10	10	9

Net budget authority and outlays:

89.00 Budget authority	8	8	8
90.00 Outlays	10	10	9

The Indian Gaming Regulatory Act, as amended by the 1998 Interior and Related Agencies Appropriations Act (P.L. 105-83), authorizes the Commission to collect and expend up to \$8 million each year in gaming activity fees. Commission operations are funded, to the extent possible, from those fees.

Object Classification (in millions of dollars)

Identification code 14-5141-0-2-806	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	4	6	6
12.1 Civilian personnel benefits	1	1	1
21.0 Travel and transportation of persons	1		
23.1 Rental payments to GSA	1	1	1
25.2 Other services	1	1	1
99.9 Total new obligations	8	9	9

Personnel Summary

Identification code 14-5141-0-2-806	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	74	73	75

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Offsetting receipts from the public:			
14-149300 Interest received from outer continental shelf escrow account	1		
14-181100 Rent and bonuses from land leases for resource exploration and extraction	23	23	34
14-202000 Royalties on outer continental shelf lands	6,148	2,759	1,785
14-202100 Arctic National Wildlife Refuge, rents and royalties, (Federal share)			
14-203900 Royalties on natural resources, not otherwise classified	220	113	115
14-222900 Sale of timber, wildlife and other natural land products, not otherwise classified	106		
14-241910 Fees and other charges for program services	1	1	1
14-248400 Receipts from grazing fees, Federal share	4	5	5
14-272930 Indian loan guarantee, Downward reestimates of subsidies	19	3	
14-274230 Bureau of reclamation loans, downward reestimates of subsidies		8	
14-274730 Indian direct loan, Downward reestimates of subsidies	1	1	
General Fund Offsetting receipts from the public	6,523	2,913	1,940

The budget assumes that the first oil and gas lease sale in the coastal plain of the Arctic National Wildlife Refuge (ANWR) would be held in 2004, resulting in the leasing of 400,000 to 600,000 acres and producing \$2.4 billion in receipts from bonuses which would be shared 50/50 between the Federal government and the State of Alaska. The Federal share of the first lease sale bonus bids would be used by the Department of Energy to fund increased solar and renewable energy technology research and development over seven years.

GENERAL PROVISIONS, DEPARTMENT OF THE INTERIOR

SEC. 101. Appropriations made in this title shall be available for expenditure or transfer (within each bureau or office), with the approval of the Secretary, for the emergency reconstruction, replacement, or repair of aircraft, buildings, utilities, or other facilities or equipment damaged or destroyed by fire, flood, storm, or other unavoidable causes: *Provided*, That no funds shall be made available under this authority until funds specifically made available to the Department of the Interior for emergencies shall have been exhausted: *Provided further*, That all funds used pursuant to this section are hereby designated by Congress to be "emergency requirements" pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, and must be replenished by a supplemental appropriation which must be requested as promptly as possible.

SEC. 102. The Secretary may authorize the expenditure or transfer of any no year appropriation in this title, in addition to the amounts included in the budget programs of the several agencies, for the suppression or emergency prevention of wildland fires on or threatening lands under the jurisdiction of the Department of the Interior; for the emergency rehabilitation of burned-over lands under its jurisdiction; for emergency actions related to potential or actual earthquakes, floods, volcanoes, storms, or other unavoidable causes; for contingency planning subsequent to actual oil spills; for response and natural resource damage assessment activities related to actual oil spills; for the prevention, suppression, and control of actual or potential grasshopper and Mormon cricket outbreaks on lands under the jurisdiction of the Secretary, pursuant to the authority in section 1773(b) of Public Law 99-198 (99 Stat. 1658); for emergency reclamation projects under section 410 of Public Law 95-87; and shall transfer, from any no year funds available to the Office of Surface Mining Reclamation and Enforcement, such funds as may be necessary to permit assumption of regulatory authority in the event a primacy State is not carrying out the regulatory provisions of the Surface Mining Act: *Provided*, That appropriations made in this title for wildland fire operations shall be available for the payment of obligations incurred during the preceding fiscal year, and for reimbursement to other Federal agencies for destruction of vehicles, aircraft, or other equipment in connection with their use for wildland fire operations, such reimbursement to be credited to appropriations currently available at the time of receipt thereof: *Provided further*, That for wildland fire operations, no funds shall be made available under this authority until the Secretary determines that funds appropriated for "wildland fire operations" shall be exhausted within 30 days: *Provided further*, That all funds used pursuant to this section are hereby designated by Congress to be "emergency requirements" pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, and must be replenished by a supplemental appropriation which must be requested as promptly as possible: *Provided further*, That such replenishment funds shall be used to reimburse, on a pro rata basis, accounts from which emergency funds were transferred.

SEC. 103. Appropriations made in this title shall be available for operation of warehouses, garages, shops, and similar facilities, wherever consolidation of activities will contribute to efficiency or economy, and said appropriations shall be reimbursed for services rendered to any other activity in the same manner as authorized by sections 1535 and 1536 of title 31, United States Code: *Provided*, That reimbursements for costs and supplies, materials, equipment, and for services rendered may be credited to the appropriation current at the time such reimbursements are received.

SEC. 104. Appropriations made to the Department of the Interior in this title shall be available for services as authorized by 5 U.S.C. 3109, when authorized by the Secretary, in total amount not to exceed \$500,000; hire, maintenance, and operation of aircraft; hire of passenger motor vehicles; purchase of reprints; payment for telephone service in private residences in the field, when authorized under regulations approved by the Secretary; and the payment of dues, when authorized by the Secretary, for library membership in societies or associations which issue publications to members only or at a price to members lower than to subscribers who are not members.

SEC. 105. Appropriations available to the Department of the Interior for salaries and expenses shall be available for uniforms or allowances therefor, as authorized by law (5 U.S.C. 5901-5902 and D.C. Code 4-204).

SEC. 106. Annual appropriations made in this title shall be available for obligation in connection with contracts issued for services or rentals for periods not in excess of 12 months beginning at any time during the fiscal year.

SEC. 107. No funds provided in this title may be expended by the Department of the Interior for the conduct of offshore preleasing, leasing and related activities placed under restriction in the President's moratorium statement of June 12, 1998, in the areas of northern, central, and southern California; the North Atlantic; Washington and Oregon; and the eastern Gulf of Mexico south of 26 degrees north latitude and east of 86 degrees west longitude.

SEC. 108. No funds provided in this title may be expended by the Department of the Interior for the conduct of offshore oil and natural gas preleasing, leasing, and related activities, on lands within the North Aleutian Basin planning area.

SEC. 109. No funds provided in this title may be expended by the Department of the Interior to conduct offshore oil and natural gas preleasing, leasing and related activities in the eastern Gulf of Mexico planning area for any lands located outside Sale 181, as identified in the final Outer Continental Shelf 5-Year Oil and Gas Leasing Program, 1997-2002.

SEC. 110. No funds provided in this title may be expended by the Department of the Interior to conduct oil and natural gas preleasing, leasing and related activities in the Mid-Atlantic and South Atlantic planning areas.

SEC. 111. Advance payments made under this title to Indian tribes, tribal organizations, and tribal consortia pursuant to the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450 et seq.) or the Tribally Controlled Schools Act of 1988 (25 U.S.C. 2501 et seq.) may be invested by the Indian tribe, tribal organization, or consortium before such funds are expended for the purposes of the grant, compact, or annual funding agreement so long as such funds are—

(1) invested by the Indian tribe, tribal organization, or consortium only in obligations of the United States, or in obligations or securities that are guaranteed or insured by the United States, or mutual (or other) funds registered with the Securities and Exchange Commission and which only invest in obligations of the United States or securities that are guaranteed or insured by the United States; or

(2) deposited only into accounts that are insured by an agency or instrumentality of the United States, or are fully collateralized to ensure protection of the funds, even in the event of a bank failure.

SEC. 112. Notwithstanding any other provisions of law, the National Park Service shall not develop or implement a reduced entrance fee program to accommodate non-local travel through a unit. The Secretary may provide for and regulate local non-recreational passage through units of the National Park System, allowing each unit to develop guidelines and permits for such activity appropriate to that unit.

SEC. 113. Appropriations made in this Act under the headings Bureau of Indian Affairs and Office of Special Trustee for American Indians and any available unobligated balances from prior appropriations Acts made under the same headings, shall be available for expenditure or transfer for Indian trust management activities pursuant to the Trust Management Improvement Project High Level Implementation Plan.

SEC. 114. A grazing permit or lease that expires (or is transferred) during fiscal year 2002 shall be renewed under section 402 of the Federal Land Policy and Management Act of 1976, as amended (43 U.S.C. 1752) or if applicable, section 510 of the California Desert Protection Act (16 U.S.C. 410aaa-50). The terms and conditions contained in the expiring permit or lease shall continue in effect under the new permit or lease until such time as the Secretary of the Interior completes processing of such permit or lease in compliance with all applicable laws and regulations, at which time such permit or lease may be canceled, suspended or modified, in whole or in part, to meet the requirements of such applicable laws and regulations. Nothing in this section shall be deemed to alter the Secretary's statutory authority: *Provided*, That any Federal lands included within the boundary of Lake Roosevelt National Recreation Area, as designated by the Secretary of the Interior on April 5, 1990, (Lake Roosevelt Cooperative Management Agreement) that were utilized as of March 31, 1997, for grazing purposes pursuant to a permit issued by the National Park Service, the person or persons so utilizing such lands as of March 31, 1997, shall be entitled to renew said permit under such terms and conditions as the Sec-

retary may prescribe, for the lifetime of the permittee or 20 years, whichever is less.

SEC. [115] 114. Notwithstanding any other provision of law, for the purpose of reducing the backlog of Indian probate cases in the Department of the Interior, the hearing requirements of chapter 10 of title 25, United States Code, are deemed satisfied by a proceeding conducted by an Indian probate judge, appointed by the Secretary without regard to the provisions of title 5, United States Code, governing the appointments in the competitive service, for such period of time as the Secretary determines necessary: *Provided*, That the basic pay of an Indian probate judge so appointed may be fixed by the Secretary without regard to the provisions of chapter 51, and subchapter III of chapter 53 of title 5, United States Code, governing the classification and pay of General Schedule employees, except that no such Indian probate judge may be paid at a level which exceeds the maximum rate payable for the highest grade of the General Schedule, including locality pay.

SEC. [116] 115. Notwithstanding any other provision of law, the Secretary of the Interior is authorized to redistribute any Tribal Priority Allocation funds, including tribal base funds, to alleviate tribal funding inequities by transferring funds to address identified, unmet needs, dual enrollment, overlapping service areas or inaccurate distribution methodologies. No tribe shall receive a reduction in Tribal Priority Allocation funds of more than 10 percent in fiscal year [2002] 2003. Under circumstances of dual enrollment, overlapping service areas or inaccurate distribution methodologies, the 10 percent limitation does not apply.

[SEC. 117. None of the funds in this Act may be used to establish a new National Wildlife Refuge in the Kankakee River basin that is inconsistent with the United States Army Corps of Engineers' efforts to control flooding and siltation in that area. Written certification of consistency shall be submitted to the House and Senate Committees on Appropriations prior to refuge establishment.]

SEC. [118] 116. Funds appropriated for the Bureau of Indian Affairs for postsecondary schools for fiscal year [2002] 2003 shall be allocated among the schools proportionate to the unmet need of the schools as determined by the Postsecondary Funding Formula adopted by the Office of Indian Education Programs.

SEC. [119] 117. (a) The Secretary of the Interior shall take such action as may be necessary to ensure that the lands comprising the Huron Cemetery in Kansas City, Kansas (as described in section 123 of Public Law 106–291) are used only in accordance with this section.

(b) The lands of the Huron Cemetery shall be used only: (1) for religious and cultural uses that are compatible with the use of the lands as a cemetery; and (2) as a burial ground.

[SEC. 120. No funds appropriated for the Department of the Interior by this Act or any other Act shall be used to study or implement any plan to drain Lake Powell or to reduce the water level of the lake below the range of water levels required for the operation of the Glen Canyon Dam.]

SEC. [121] 118. Notwithstanding any other provision of law, in conveying the Twin Cities Research Center under the authority provided by Public Law 104–134, as amended by Public Law 104–208, the Secretary may accept and retain land and other forms of reimbursement: *Provided*, That the Secretary may retain and use any such reimbursement until expended and without further appropriation: (1) for the benefit of the National Wildlife Refuge System within the State of Minnesota; and (2) for all activities authorized by Public Law 100–696; 16 U.S.C. 460zz.

SEC. [122] 119. Section 412(b) of the National Parks Omnibus Management Act of 1998, as amended (16 U.S.C. 5961) is amended by striking [“2001” and inserting “2002”] “2002” and inserting “2003”.

SEC. [123] 120. Notwithstanding other provisions of law, the National Park Service may authorize, through cooperative agreement, the Golden Gate National Parks Association to provide fee-based education, interpretive and visitor service functions within the Crissy Field and Fort Point areas of the Presidio.

SEC. [124] 121. Notwithstanding 31 U.S.C. 3302(b), sums received by the Bureau of Land Management for the sale of seeds or seedlings including those collected in fiscal year [2001] 2002, may be credited to the appropriation from which funds were expended to acquire or grow the seeds or seedlings and are available without fiscal year limitation.

[SEC. 125. TRIBAL SCHOOL CONSTRUCTION DEMONSTRATION PROGRAM. (a) DEFINITIONS.—In this section:

(1) CONSTRUCTION.—The term “construction”, with respect to a tribally controlled school, includes the construction or renovation of that school.

(2) INDIAN TRIBE.—The term “Indian tribe” has the meaning given that term in section 4(e) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450b(e)).

(3) SECRETARY.—The term “Secretary” means the Secretary of the Interior.

(4) TRIBALLY CONTROLLED SCHOOL.—The term “tribally controlled school” has the meaning given that term in section 5212 of the Tribally Controlled Schools Act of 1988 (25 U.S.C. 2511).

(5) DEPARTMENT.—The term “Department” means the Department of the Interior.

(6) DEMONSTRATION PROGRAM.—The term “demonstration program” means the Tribal School Construction Demonstration Program.

(b) IN GENERAL.—The Secretary shall carry out a demonstration program to provide grants to Indian tribes for the construction of tribally controlled schools.

(1) IN GENERAL.—Subject to the availability of appropriations, in carrying out the demonstration program under subsection (b), the Secretary shall award a grant to each Indian tribe that submits an application that is approved by the Secretary under paragraph (2). The Secretary shall ensure that an eligible Indian tribe currently on the Department's priority list for construction of replacement educational facilities receives the highest priority for a grant under this section.

(2) GRANT APPLICATIONS.—An application for a grant under the section shall—

(A) include a proposal for the construction of a tribally controlled school of the Indian tribe that submits the application; and

(B) be in such form as the Secretary determines appropriate.

(3) GRANT AGREEMENT.—As a condition to receiving a grant under this section, the Indian tribe shall enter into an agreement with the Secretary that specifies—

(A) the costs of construction under the grant;

(B) that the Indian tribe shall be required to contribute towards the cost of the construction a tribal share equal to 50 percent of the costs; and

(C) any other term or condition that the Secretary determines to be appropriate.

(4) ELIGIBILITY.—Grants awarded under the demonstration program shall only be for construction of replacement tribally controlled schools.

(c) EFFECT OF GRANT.—A grant received under this section shall be in addition to any other funds received by an Indian tribe under any other provision of law. The receipt of a grant under this section shall not affect the eligibility of an Indian tribe receiving funding, or the amount of funding received by the Indian tribe, under the Tribally Controlled Schools Act of 1988 (25 U.S.C. 2501 et seq.) or the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450 et seq.).

SEC. [126] 122. WHITE RIVER OIL SHALE MINE, UTAH. [(a)] SALE.—[The] *Subject to the terms and conditions of section 126 of the Department of the Interior and Related Agencies Act, 2002, the Administrator of General Services [(referred to in this section as the “Administrator”)] shall sell all right, title, and interest of the United States in and to the improvements and equipment [described in subsection (b) that are situated on the land described in subsection (c) (referred to in this section as the “Mine”)] of the White River Oil Shale Mine.*

[(b) DESCRIPTION OF IMPROVEMENTS AND EQUIPMENT.—The improvements and equipment referred to in subsection (a) are the following improvements and equipment associated with the Mine:

(1) Mine Service Building.

(2) Sewage Treatment Building.

(3) Electrical Switchgear Building.

(4) Water Treatment Building/Plant.

(5) Ventilation/Fan Building.

(6) Water Storage Tanks.

(7) Mine Hoist Cage and Headframe.

(8) Miscellaneous Mine-related equipment.

(c) DESCRIPTION OF LAND.—The land referred to in subsection (a) is the land located in Uintah County, Utah, known as the “White River Oil Shale Mine” and described as follows:

(1) T. 10 S., R. 24 E., Salt Lake Meridian, sections 12 through 14, 19 through 30, 33, and 34.

(2) T. 10 S., R. 25 E., Salt Lake Meridian, sections 18 and 19.

(d) USE OF PROCEEDS.—The proceeds of the sale under subsection (a)—

(1) shall be deposited in a special account in the Treasury of the United States; and

(2) shall be available until expended, without further Act of appropriation—

(A) first, to reimburse the Administrator for the direct costs of the sale; and

(B) second, to reimburse the Bureau of Land Management Utah State Office for the costs of closing and rehabilitating the Mine.

(e) MINE CLOSURE AND REHABILITATION.—The closing and rehabilitation of the Mine (including closing of the mine shafts, site grading, and surface revegetation) shall be conducted in accordance with—

(1) the regulatory requirements of the State of Utah, the Mine Safety and Health Administration, and the Occupational Safety and Health Administration; and

(2) other applicable law.】

SEC. 【127】 123. The Secretary of the Interior may use or contract for the use of helicopters or motor vehicles on the Sheldon and Hart National Wildlife Refuges for the purpose of capturing and transporting horses and burros. The provisions of subsection (a) of the Act of September 8, 1959 (73 Stat. 470; 18 U.S.C. 47(a)) shall not be applicable to such use. Such use shall be in accordance with humane procedures prescribed by the Secretary.

【SEC. 128. The Lytton Rancheria of California shall not conduct Class III gaming as defined in Public Law 100-497 on land taken into trust for the tribe pursuant to Public Law 106-568 except in compliance with all required compact provisions of section 2710(d) of Public Law 100-497 or any relevant Class III gaming procedures.】

【SEC. 129. Moore's Landing at the Cape Romain National Wildlife Refuge in South Carolina is hereby named for George Garris and shall hereafter be referred to in any law, document, or records of the United States as "Garris Landing".】

【SEC. 130. From within funds available to the National Park Service, such sums as may be necessary shall be used for expenses necessary to complete and issue, no later than January 1, 2004, an Environmental Impact Statement (EIS) to identify and analyze the possible effects of the 1996 increases in the number of vessel entries issued for Glacier Bay National Park and Preserve: *Provided*, That such EIS, upon its completion, shall be used by the Secretary to set the maximum level of vessel entries: *Provided further*, That until the Secretary sets the level of vessel entries based on the new EIS, the number of vessel entries into the Park shall be the same as that in effect during the 2000 calendar year and the National Park Service approval of modified Alternative 5 and promulgation of the final rule issued on May 30, 1996, relating to vessel entries, including the number of such entries, for Glacier Bay National Park and Preserve are hereby approved and shall be in effect notwithstanding any other provision of law until the Secretary sets the maximum level of vessel entries consistent with this section: *Provided further*, That nothing in this section shall preclude the Secretary from suspending or revoking any vessel entry if the Secretary determines that it is necessary to protect Park resources.】

【SEC. 131. No funds contained in this Act shall be used to approve the transfer of lands on South Fox Island, Michigan until Congress has authorized such transfer.】

【SEC. 132. Funds provided in this Act for Federal land acquisition by the National Park Service for Brandywine Battlefield, Mississippi National River and Recreation Area, Shenandoah Valley Battlefields National Historic District, and Ice Age National Scenic Trail may be used for a grant to a State, a local government, or any other governmental land management entity for the acquisition of lands without regard to any restriction on the use of Federal land acquisition funds provided through the Land and Water Conservation Fund Act of 1965 as amended.】

【SEC. 133. Section 902(b)(5) of Public Law 106-568 is hereby amended by inserting a comma after "N½".】

【SEC. 134. CLARIFICATION OF THE SECRETARY OF THE INTERIOR'S AUTHORITY UNDER SECTIONS 2701-2721 OF TITLE 25, UNITED STATES CODE. The authority to determine whether a specific area of land is a "reservation" for purposes of sections 2701-2721 of title 25, United States Code, was delegated to the Secretary of the Interior on October 17, 1988: *Provided*, That nothing in this section shall be construed to permit gaming under the Indian Gaming Regulatory Act on the lands described in section 123 of Public Law 106-291

or any lands contiguous to such lands that have not been taken into trust by the Secretary of the Interior.】

【SEC. 135. BLACK ROCK DESERT-HIGH ROCK CANYON EMIGRANT TRAILS NATIONAL CONSERVATION AREA. (a) AREAS INCLUDED.—The Black Rock Desert-High Rock Canyon Emigrant Trails National Conservation Area Act of 2000 is amended in sections 4(b) (16 U.S.C. 460ppp-2(b)) and 8(a) (16 U.S.C. 460ppp-6(a)) by striking "July 19, 2000" each place it appears and inserting "October 3, 2001".

(b) ROAD MAINTENANCE.—Section 5 of the Black Rock Desert-High Rock Canyon Emigrant Trails National Conservation Area Act of 2000 (16 U.S.C. 460ppp-3) is amended by adding at the end the following:

"(h) ROAD MAINTENANCE.—Within the conservation area the Secretary may permit the use of gravel pits for the maintenance of roads within the conservation area under the Materials Act of 1947 (30 U.S.C. 601 et seq.) to the extent consistent with this Act and subject to such regulations, policies, and practices as the Secretary considers necessary."

(c) HUNTING, TRAPPING, AND FISHING.—Section 8 of the Black Rock Desert-High Rock Canyon Emigrant Trails National Conservation Area Act of 2000 (16 U.S.C. 460ppp-6) is amended by adding at the end the following:

"(e) HUNTING, TRAPPING, AND FISHING.—

"(1) IN GENERAL.—Nothing in this Act diminishes the jurisdiction of the State of Nevada with respect to fish and wildlife management, including regulation of hunting and fishing on public land in the areas designated as wilderness under subsection (a).

"(2) APPLICABLE LAW.—Any action in the areas designated as wilderness under subsection (a) shall be consistent with the Wilderness Act (16 U.S.C. 1131 et seq.)."

(d) WILDLAND FIRE PROTECTION.—Section 8 of the Black Rock Desert-High Rock Canyon Emigrant Trails National Conservation Area Act of 2000 (16 U.S.C. 460ppp-6) (as amended by subsection (c)) is amended by adding at the end the following:

"(f) WILDLAND FIRE PROTECTION.—Nothing in this Act or the Wilderness Act (16 U.S.C. 1131 et seq.) precludes a Federal, State, or local agency from conducting wildland fire management operations (including prescribed burns) within the areas designated as wilderness under subsection (a), subject to any conditions that the Secretary considers appropriate."

(e) WILDERNESS STUDY RELEASE.—Section 8 of the Black Rock Desert-High Rock Canyon Emigrant Trails National Conservation Area Act of 2000 (16 U.S.C. 460ppp-6) (as amended by subsection (d)) is amended by adding at the end the following:

"(g) WILDERNESS STUDY RELEASE.—Congress—

"(1) finds that the parcels of land in the wilderness study areas referred to in subsection (a) that are not designated as wilderness by subsection (a) have been adequately studied for wilderness designation under section 603 of the Federal Land Policy and Management Act of 1976 (43 U.S.C. 1782); and

"(2) declares that those parcels are no longer subject to the requirement of subsection (c) of that section pertaining to the management of wilderness study areas in a manner that does not impair the suitability of such areas for preservation as wilderness."】 (*Department of the Interior and Related Agencies Appropriations Act, 2002.*)

GENERAL PROVISIONS, DEPARTMENT OF THE INTERIOR

SEC. 201. In order to increase opportunities for Indian tribes to develop, manage, and protect their water resources, *in fiscal year 2003* the Secretary of the Interior, acting through the Commissioner of the Bureau of Reclamation, is authorized to enter into grants and cooperative agreements with any Indian tribe, institution of higher education, national Indian organization, or tribal organization pursuant to 31 U.S.C. 6301-6308. Nothing in this Act is intended to modify or limit the provisions of the Indian Self Determination Act (25 U.S.C. 45 et seq.).

【SEC. 202. SAN GABRIEL BASIN, CALIFORNIA. (a) ADMINISTRATION OF RESTORATION FUND.—Section 110(a)(2) of the Miscellaneous Appropriations Act, 2001 (as enacted into law by section 1(a)(4) of Public Law 106-554) is amended by striking "the Secretary of the Army" and inserting "the Secretary of the Interior".

(b) PURPOSES OF RESTORATION FUND.—Section 110(a)(3)(A) of such Act is amended by striking clauses (i) and (ii) and inserting the following:

“(i) to provide grants to the San Gabriel Basin Water Quality Authority and the Central Basin Municipal Water District to reimburse such agencies for the Federal share of the costs associated with designing and constructing water quality projects to be administered by such agencies; and

“(ii) to provide grants to reimburse the San Gabriel Basin Water Quality Authority and the Central Basin Municipal Water District for the Federal share of the costs required to operate any project constructed under this section for a period not to exceed 10 years, following the initial date of operation of the project.”

(c) COST-SHARING LIMITATION.—Section 110(a)(3)(B) of such Act (114 Stat. 2763A–223) is amended by adding at the end the following:

“(iii) CREDITS TOWARD NON-FEDERAL SHARE.—For purposes of clause (ii), the Secretary shall credit the San Gabriel Basin Water Quality Authority with the value of all prior expenditures by non-Federal interests made after February 11, 1993, that are compatible with the purposes of this section, including—

“(I) all expenditures made by non-Federal interests to design and construct water quality projects, including expenditures associated with environmental analyses and public involvement activities that were required to implement the water quality projects in compliance with applicable Federal and State laws; and

“(II) all expenditures made by non-Federal interests to acquire lands, easements, rights-of-way, relocations, disposal areas, and water rights that were required to implement a water quality project.”

【SEC. 203. The Secretary of the Interior is authorized and directed to use not to exceed \$1,000,000 of the funds appropriated under title II to refund amounts received by the United States as payments for charges assessed by the Secretary prior to January 1, 1994 for failure to file certain certification or reporting forms prior to the receipt of irrigation water, pursuant to sections 206 and 224(c) of the Reclamation Reform Act of 1982 (43 U.S.C. 390ff, 390ww(c)), including the amount of associated interest assessed by the Secretary and paid to the United States pursuant to section 224(i) of the Reclamation Reform Act of 1982 (43 U.S.C. 390ww(i)).】

【SEC. 204. LOWER COLORADO RIVER BASIN DEVELOPMENT FUND. (a) IN GENERAL.—Notwithstanding section 403(f) of the Colorado River Basin Project Act (43 U.S.C. 1543(f)), no amount from the Lower Colorado River Basin Development Fund shall be paid to the general fund of the Treasury until each provision of the Stipulation Regarding a Stay and for Ultimate Judgment Upon the Satisfaction of Conditions, filed in United States district court on May 3, 2000, in *Central Arizona Water Conservation District v. United States* (No. CIV 95–625–TUC–WDB (EHC), No. CIV 95–1720–OHX–EHC (Consolidated Action)) is met.

(b) PAYMENT TO GENERAL FUND.—If any of the provisions of the stipulation referred to in subsection (a) are not met by the date that is 3 years after the date of enactment of this Act, payments to the general fund of the Treasury shall resume in accordance with section 403(f) of the Colorado River Basin Project Act (43 U.S.C. 1543(f)).

(c) AUTHORIZATION.—Amounts in the Lower Colorado River Basin Development Fund that but for this section would be returned to the general fund of the Treasury shall not be expended until further Act of Congress.】

SEC. 【205】 202. (a) None of the funds appropriated or otherwise made available by this Act may be used to determine the final point of discharge for the interceptor drain for the San Luis Unit until development by the Secretary of the Interior and the State of California of a plan, which shall conform to the water quality standards of the State of California as approved by the Administrator of the Environmental Protection Agency, to minimize any detrimental effect of the San Luis drainage waters.

(b) The costs of the Kesterson Reservoir Cleanup Program and the costs of the San Joaquin Valley Drainage Program shall be classified by the Secretary of the Interior as reimbursable or nonreimbursable and collected until fully repaid pursuant to the “Cleanup Program—Alternative Repayment Plan” and the “SJVDP—Alternative Repayment Plan” described in the report entitled “Repayment Report, Kesterson Reservoir Cleanup Program and San Joaquin Valley Drainage Program, February 1995”, prepared by the Department of the Interior, Bureau of Reclamation. Any future obligations of funds by the United States relating to, or providing for, drainage service or drainage studies for the San Luis Unit shall be fully reimbursable by San Luis Unit beneficiaries of such service or studies pursuant to Federal reclamation law.

【SEC. 206. The Secretary of the Interior, in accepting payments for the reimbursable expenses incurred for the replacement, repair, and extraordinary maintenance with regard to the Valve Rehabilitation Project at the Arrowrock Dam on the Arrowrock Division of the Boise Project in Idaho, shall recover no more than \$6,900,000 of such expenses according to the application of the current formula for charging users for reimbursable operation and maintenance expenses at Bureau of Reclamation facilities on the Boise Project, and shall recover this portion of such expenses over a period of 15 years.】

【SEC. 207. None of the funds appropriated or otherwise made available by this or any other Act may be used to pay the salaries and expenses of personnel to purchase or lease water in the Middle Rio Grande or the Carlsbad Projects in New Mexico unless said purchase or lease is in compliance with the purchase requirements of section 202 of Public Law 106–60.】

【SEC. 208. None of the funds made available in this Act may be used by the Bureau of Reclamation (either directly or by making the funds available to an entity under a contract) for the issuance of permits for, or any other activity related to the management of, commercial rafting activities within the Auburn State Recreation Area, California, until the requirements of the National Environmental Policy Act of 1969 (42 U.S.C. 4321 et seq.) and the Federal Water Pollution Control Act (33 U.S.C. 12151 et seq.) are met with respect to such commercial rafting activities.】

【SEC. 209. (a) Section 101(a)(6)(C) of the Water Resources Development Act of 1999, Public Law 106–53, is amended to read as follows:

“(C) MAKEUP OF WATER SHORTAGES CAUSED BY FLOOD CONTROL OPERATION.—

“(i) IN GENERAL.—The Secretary of the Interior shall enter into, or modify, such agreements with the Sacramento Area Flood Control Agency regarding the operation of Folsom Dam and Reservoir as may be necessary in order that, notwithstanding any prior agreement or provision of law, 100 percent of the water needed to make up for any water shortage caused by variable flood control operation during any year at Folsom Dam, and resulting in a significant impact on recreation at Folsom Reservoir shall be replaced, to the extent the water is available for purchase, by the Secretary of the Interior.

“(ii) COST SHARING.—Seventy-five percent of the costs of the replacement water provided under clause (i) shall be paid for on a non-reimbursable basis by the Secretary of the Interior at Federal expense. The remaining 25 percent of such costs shall be provided by the Sacramento Area Flood Control Agency.

“(iii) LIMITATION.—To the extent that any funds in excess of the non-Federal share are provided by the Sacramento Area Flood Control Agency, the Secretary shall reimburse such non-Federal interests for such excess funds. Costs for replacement water may not exceed 125 percent of the current average market price for raw water, as determined by the Secretary of the Interior.”

(b) CONFORMING CHANGE.—Section 101(a)(1)(D)(ii) of the Water Resources Development Act of 1996, Public Law 104–303, is amended by striking “during” and all that follows through “thereafter”.】 (*Energy and Water Development Appropriations Act, 2002.*)

TITLE III—GENERAL PROVISIONS

SEC. 301. The expenditure of any appropriation under this Act for any consulting service through procurement contract, pursuant to 5 U.S.C. 3109, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive Order issued pursuant to existing law.

SEC. 302. No part of any appropriation contained in this Act shall be available for any activity or the publication or distribution of literature that in any way tends to promote public support or opposition to any legislative proposal on which congressional action is not complete.

SEC. 303. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 304. None of the funds provided in this Act to any department or agency shall be obligated or expended to provide a personal cook, chauffeur, or other personal servants to any officer or employee of such department or agency except as otherwise provided by law.

SEC. 305. No assessments may be levied against any program, budget activity, subactivity, or project funded by this Act unless advance notice of such assessments and the basis therefor are presented to the Committees on Appropriations [and are approved by such committees].

SEC. 306. None of the funds in this Act may be used to plan, prepare, or offer for sale timber from trees classified as giant sequoia (*Sequoiadendron giganteum*) which are located on National Forest System or Bureau of Land Management lands in a manner different than such sales were conducted in fiscal year [2001] 2002.

SEC. 307. None of the funds made available by this Act may be obligated or expended by the National Park Service to enter into or implement a concession contract which permits or requires the removal of the underground lunchroom at the Carlsbad Caverns National Park.

[SEC. 308. None of the funds made available in this Act may be used: (1) to demolish the bridge between Jersey City, New Jersey, and Ellis Island; or (2) to prevent pedestrian use of such bridge, when such pedestrian use is consistent with generally accepted safety standards.]

SEC. [309] 308. (a) LIMITATION OF FUNDS.—None of the funds appropriated or otherwise made available pursuant to this Act shall be obligated or expended to accept or process applications for a patent for any mining or mill site claim located under the general mining laws.

(b) EXCEPTIONS.—The provisions of subsection (a) shall not apply if the Secretary of the Interior determines that, for the claim concerned: (1) a patent application was filed with the Secretary on or before September 30, 1994; and (2) all requirements established under sections 2325 and 2326 of the Revised Statutes (30 U.S.C. 29 and 30) for vein or lode claims and sections 2329, 2330, 2331, and 2333 of the Revised Statutes (30 U.S.C. 35, 36, and 37) for placer claims, and section 2337 of the Revised Statutes (30 U.S.C. 42) for mill site claims, as the case may be, were fully complied with by the applicant by that date.

(c) REPORT.—On September 30, [2002] 2003, the Secretary of the Interior shall file with the House and Senate Committees on Appropriations and the Committee on Resources of the House of Representatives and the Committee on Energy and Natural Resources of the Senate a report on actions taken by the Department under the plan submitted pursuant to section 314(c) of the Department of the Interior and Related Agencies Appropriations Act, 1997 (Public Law 104–208).

(d) MINERAL EXAMINATIONS.—In order to process patent applications in a timely and responsible manner, upon the request of a patent applicant, the Secretary of the Interior shall allow the applicant to fund a qualified third-party contractor to be selected by the Bureau of Land Management to conduct a mineral examination of the mining claims or mill sites contained in a patent application as set forth in subsection (b). The Bureau of Land Management shall have the sole responsibility to choose and pay the third-party contractor in accordance with the standard procedures employed by the Bureau of Land Management in the retention of third-party contractors.

SEC. [310] 309. Notwithstanding any other provision of law, amounts appropriated to or earmarked in committee reports for the Bureau of Indian Affairs and the Indian Health Service by Public Laws 103–138, 103–332, 104–134, 104–208, 105–83, 105–277, 106–113, [and] 106–291, and 107–63 for payments to tribes and tribal organizations for contract support costs associated with self-determination or self-governance contracts, grants, compacts, or annual funding agreements with the Bureau of Indian Affairs or the Indian Health Service as funded by such Acts, are the total amounts available for fiscal years 1994 through [2001] 2002 for such purposes, except that, for the Bureau of Indian Affairs, tribes and tribal organizations may use their tribal priority allocations for unmet indirect costs of ongoing contracts, grants, self-governance compacts or annual funding agreements.

SEC. 310. *In awarding a Federal Contract with funds made available by this Act, the Secretary of Agriculture and the Secretary of the Interior (the “Secretaries”) may, in evaluating bids and proposals, give consideration to local contractors who are from, and who provide employment and training for, dislocated and displaced workers in an economically disadvantaged rural community, including those historically timber-dependent areas that have been affected by reduced timber harvesting on Federal lands and other forest-dependent rural communities isolated from significant alternative employment opportunities: Provided, That the contract is for forest hazardous fuels reduction, watershed or water quality monitoring or restoration, wildlife or fish population monitoring, or habitat restoration or management: Provided further, That the terms ‘rural community’ and ‘economically disadvantaged’ shall have the same meanings as in section 2374 of P.L. 101–624: Provided further, That the secretaries shall develop*

guidance to implement this section: Provided further, That nothing in this section shall be construed as relieving the Secretaries of any duty under applicable procurement laws, except as provided in this section.

[SEC. 311. Notwithstanding any other provision of law, for fiscal year 2002 2003 the Secretaries of Agriculture and the Interior are authorized to limit competition for watershed restoration project contracts as part of the “Jobs in the Woods” Program established in Region 10 of the Forest Service to individuals and entities in historically timber-dependent areas in the States of Washington, Oregon, northern California and Alaska that have been affected by reduced timber harvesting on Federal lands. The Secretaries shall consider the benefits to the local economy in evaluating bids and designing procurements which create economic opportunities for local contractors.]

[SEC. 312. (a) RECREATIONAL FEE DEMONSTRATION PROGRAM.—Subsection (f) of section 315 of the Department of the Interior and Related Agencies Appropriations Act, 1996 (as contained in section 101(c) of Public Law 104–134; 110 Stat. 1321–200; 16 U.S.C. 4601–6a note), is amended—

(1) by striking “commence on October 1, 1995, and end on September 30, 2002” and inserting “end on September 30, 2004”; and

(2) by striking “September 30, 2005” and inserting “September 30, 2007”.

(b) EXPANSION OF PROGRAM.—Subsection (b) of such section is amended by striking “no fewer than 10, but as many as 100,”.

(c) REVENUE SHARING.—Subsection (d)(1) of such section is amended by inserting “the Secure Rural Schools and Community Self-Determination Act of 2000 (Public Law 106–393; 16 U.S.C. 500 note),” before “and any other provision”.

(d) DISCOUNTED FEES.—Subsection (b)(2) of such section is amended by inserting after “testing” the following: “, including the provision of discounted or free admission or use as the Secretary considers appropriate”.

(e) CAPITAL PROJECTS.—Subsection (c)(2) of such section is amended by adding at the end the following new subparagraph:

“(D) None of the funds collected under this section may be used to plan, design, or construct a visitor center or any other permanent structure without prior approval of the Committee on Appropriations of the House of Representatives and the Committee on Appropriations of the Senate if the estimated total cost of the structure exceeds \$500,000.”.]

[SEC. 313. None of the funds made available in this or any other Act for any fiscal year may be used to designate, or to post any sign designating, any portion of Canaveral National Seashore in Brevard County, Florida, as a clothing-optional area or as an area in which public nudity is permitted, if such designation would be contrary to county ordinance.]

SEC. [314] 311. Of the funds provided to the National Endowment for the Arts—

(1) The Chairperson shall only award a grant to an individual if such grant is awarded to such individual for a literature fellowship, National Heritage Fellowship, or American Jazz Masters Fellowship.

(2) The Chairperson shall establish procedures to ensure that no funding provided through a grant, except a grant made to a State or local arts agency, or regional group, may be used to make a grant to any other organization or individual to conduct activity independent of the direct grant recipient. Nothing in this subsection shall prohibit payments made in exchange for goods and services.

(3) No grant shall be used for seasonal support to a group, unless the application is specific to the contents of the season, including identified programs and/or projects.

SEC. [315] 312. The National Endowment for the Arts and the National Endowment for the Humanities are authorized to solicit, accept, receive, and invest in the name of the United States, gifts, bequests, or devises of money and other property or services and to use such in furtherance of the functions of the National Endowment for the Arts and the National Endowment for the Humanities. Any proceeds from such gifts, bequests, or devises, after acceptance by the National Endowment for the Arts or the National Endowment for the Humanities, shall be paid by the donor or the representative of the donor to the Chairman. The Chairman shall enter the proceeds in a special interest-bearing account to the credit of the appropriate endowment for the purposes specified in each case.

SEC. [316] 313. (a) In providing services or awarding financial assistance under the National Foundation on the Arts and the Humanities Act of 1965 from funds appropriated under this Act, the

Chairperson of the National Endowment for the Arts shall ensure that priority is given to providing services or awarding financial assistance for projects, productions, workshops, or programs that serve underserved populations.

(b) In this section:

(1) The term “underserved population” means a population of individuals, including urban minorities, who have historically been outside the purview of arts and humanities programs due to factors such as a high incidence of income below the poverty line or to geographic isolation.

(2) The term “poverty line” means the poverty line (as defined by the Office of Management and Budget, and revised annually in accordance with section 673(2) of the Community Services Block Grant Act (42 U.S.C. 9902(2))) applicable to a family of the size involved.

(c) In providing services and awarding financial assistance under the National Foundation on the Arts and Humanities Act of 1965 with funds appropriated by this Act, the Chairperson of the National Endowment for the Arts shall ensure that priority is given to providing services or awarding financial assistance for projects, productions, workshops, or programs that will encourage public knowledge, education, understanding, and appreciation of the arts.

(d) With funds appropriated by this Act to carry out section 5 of the National Foundation on the Arts and Humanities Act of 1965—

(1) the Chairperson shall establish a grant category for projects, productions, workshops, or programs that are of national impact or availability or are able to tour several States;

(2) the Chairperson shall not make grants exceeding 15 percent, in the aggregate, of such funds to any single State, excluding grants made under the authority of paragraph (1);

(3) the Chairperson shall report to the Congress annually and by State, on grants awarded by the Chairperson in each grant category under section 5 of such Act; and

(4) the Chairperson shall encourage the use of grants to improve and support community-based music performance and education.

SEC. 314. Section 6(f) of the National Arts and Humanities Act of 1965 (20 U.S.C. 955(f)) is amended by adding the following after the last sentence: “The Chairperson may approve grants up to \$10,000, and may amend previously approved grants in any amount, without regard to this subsection and subsection 10(c) if such actions are taken pursuant to the terms of an expressed and direct delegation of authority from the Council to the Chairperson.”

SEC. [317] 315. No part of any appropriation contained in this Act shall be expended or obligated to complete and issue the 5-year program under the Forest and Rangeland Renewable Resources Planning Act.

[SEC. 318. None of the funds in this Act may be used to support Government-wide administrative functions unless such functions are justified in the budget process and funding is approved by the House and Senate Committees on Appropriations.]

[SEC. 319. Notwithstanding any other provision of law, none of the funds in this Act may be used for GSA Telecommunication Centers.]

[SEC. 320. None of the funds in this Act may be used for planning, design or construction of improvements to Pennsylvania Avenue in front of the White House without the advance approval of the House and Senate Committees on Appropriations.]

SEC. [321] 316. Amounts deposited during fiscal year [2001] 2002 in the roads and trails fund provided for in the 14th paragraph under the heading “FOREST SERVICE” of the Act of March 4, 1913 (37 Stat. 843; 16 U.S.C. 501), shall be used by the Secretary of Agriculture, without regard to the State in which the amounts were derived, to repair or reconstruct roads, bridges, and trails on National Forest System lands or to carry out and administer projects to improve forest health conditions, which may include the repair or reconstruction of roads, bridges, and trails on National Forest System lands in the wildland-community interface where there is an abnormally high risk of fire. The projects shall emphasize reducing risks to human safety and public health and property and enhancing ecological functions, long-term forest productivity, and biological integrity. The projects may be completed in a subsequent fiscal year. Funds shall not be expended under this section to replace funds which would otherwise appropriately be expended from the timber salvage sale fund. Nothing in this section shall be construed to exempt any project from any environmental law.

[SEC. 322. Other than in emergency situations, none of the funds in this Act may be used to operate telephone answering machines during core business hours unless such answering machines include

an option that enables callers to reach promptly an individual on-duty with the agency being contacted.]

[SEC. 323. No timber sale in Region 10 shall be advertised if the indicated rate is deficit when appraised under the transaction evidence appraisal system using domestic Alaska values for western red cedar: *Provided*, That sales which are deficit when appraised under the transaction evidence appraisal system using domestic Alaska values for western red cedar may be advertised upon receipt of a written request by a prospective, informed bidder, who has the opportunity to review the Forest Service’s cruise and harvest cost estimate for that timber. Program accomplishments shall be based on volume sold. Should Region 10 sell, in fiscal year 2002, the annual average portion of the decadal allowable sale quantity called for in the current Tongass Land Management Plan in sales which are not deficit when appraised under the transaction evidence appraisal system using domestic Alaska values for western red cedar, all of the western red cedar timber from those sales which is surplus to the needs of domestic processors in Alaska, shall be made available to domestic processors in the contiguous 48 United States at prevailing domestic prices. Should Region 10 sell, in fiscal year 2002, less than the annual average portion of the decadal allowable sale quantity called for in the current Tongass Land Management Plan in sales which are not deficit when appraised under the transaction evidence appraisal system using domestic Alaska values for western red cedar, the volume of western red cedar timber available to domestic processors at prevailing domestic prices in the contiguous 48 United States shall be that volume: (i) which is surplus to the needs of domestic processors in Alaska; and (ii) is that percent of the surplus western red cedar volume determined by calculating the ratio of the total timber volume which has been sold on the Tongass to the annual average portion of the decadal allowable sale quantity called for in the current Tongass Land Management Plan. The percentage shall be calculated by Region 10 on a rolling basis as each sale is sold (for purposes of this amendment, a “rolling basis” shall mean that the determination of how much western red cedar is eligible for sale to various markets shall be made at the time each sale is awarded). Western red cedar shall be deemed “surplus to the needs of domestic processors in Alaska” when the timber sale holder has presented to the Forest Service documentation of the inability to sell western red cedar logs from a given sale to domestic Alaska processors at price equal to or greater than the log selling value stated in the contract. All additional western red cedar volume not sold to Alaska or contiguous 48 United States domestic processors may be exported to foreign markets at the election of the timber sale holder. All Alaska yellow cedar may be sold at prevailing export prices at the election of the timber sale holder.)

[SEC. 324. The Forest Service, in consultation with the Department of Labor, shall review Forest Service campground concessions policy to determine if modifications can be made to Forest Service contracts for campgrounds so that such concessions fall within the regulatory exemption of 29 CFR 4.122(b). The Forest Service shall offer in fiscal year 2002 such concession prospectuses under the regulatory exemption, except that, any prospectus that does not meet the requirements of the regulatory exemption shall be offered as a service contract in accordance with the requirements of 41 U.S.C. 351–358.]

SEC. [325] 317. A project undertaken by the Forest Service under the Recreation Fee Demonstration Program as authorized by section 315 of the Department of the Interior and Related Agencies Appropriations Act for Fiscal Year 1996, as amended, shall not result in—

(1) displacement of the holder of an authorization to provide commercial recreation services on Federal lands. Prior to initiating any project, the Secretary shall consult with potentially affected holders to determine what impacts the project may have on the holders. Any modifications to the authorization shall be made within the terms and conditions of the authorization and authorities of the impacted agency.

(2) the return of a commercial recreation service to the Secretary for operation when such services have been provided in the past by a private sector provider, except when—

(A) the private sector provider fails to bid on such opportunities;

(B) the private sector provider terminates its relationship with the agency; or

(C) the agency revokes the permit for non-compliance with the terms and conditions of the authorization.

In such cases, the agency may use the Recreation Fee Demonstration Program to provide for operations until a subsequent operator can be found through the offering of a new prospectus.

[SEC. 326. For fiscal years 2002 and 2003, the Secretary of Agriculture is authorized to limit competition for fire and fuel treatment and watershed restoration contracts in the Giant Sequoia National Monument and the Sequoia National Forest. Preference for employment shall be given to dislocated and displaced workers in Tulare, Kern and Fresno Counties, California, for work associated with the establishment of the Giant Sequoia National Monument.]

SEC. [327] 318. REVISION OF FOREST PLANS. Prior to October 1, [2002] 2003, the Secretary of Agriculture shall not be considered to be in violation of subparagraph 6(f)(5)(A) of the Forest and Rangeland Renewable Resources Planning Act of 1974 (16 U.S.C. 1604(f)(5)(A)) solely because more than 15 years have passed without revision of the plan for a unit of the National Forest System. Nothing in this section exempts the Secretary from any other requirement of the Forest and Rangeland Renewable Resources Planning Act (16 U.S.C. 1600 et seq.) or any other law: *Provided*, That if the Secretary is not acting expeditiously and in good faith, within the funding available, to revise a plan for a unit of the National Forest System, this section shall be void with respect to such plan and a court of proper jurisdiction may order completion of the plan on an accelerated basis.

SEC. [328] 319. Until September 30, [2003] 2004, the authority of the Secretary of Agriculture to enter into a cooperative agreement under the first section of Public Law 94-148 (16 U.S.C. 565a-1) for a purpose described in such section includes the authority to use that legal instrument when the principal purpose of the resulting relationship is to the mutually significant benefit of the Forest Service and the other party or parties to the agreement, including non-profit entities.

[SEC. 329. (a) PILOT PROGRAM AUTHORIZING CONVEYANCE OF EXCESS FOREST SERVICE STRUCTURES.—The Secretary of Agriculture may convey, by sale or exchange, any or all right, title, and interest of the United States in and to excess buildings and other structures located on National Forest System lands and under the jurisdiction of the Forest Service. The conveyance may include the land on which the building or other structure is located and such other land immediately adjacent to the building or structure as the Secretary considers necessary.

(b) **LIMITATION.—**Conveyances on not more than 10 sites may be made under the authority of this section, and the Secretary of Agriculture shall obtain the concurrence of the Committee on Appropriations of the House of Representatives and the Committee on Appropriations of the Senate in advance of each conveyance.

(c) **USE OF PROCEEDS.—**The proceeds derived from the sale of a building or other structure under this section shall be retained by the Secretary of Agriculture and shall be available to the Secretary, without further appropriation until expended, for maintenance and rehabilitation activities within the Forest Service Region in which the building or structure is located.

(d) **DURATION OF AUTHORITY.—**The authority provided by this section expires on September 30, 2005.]

[SEC. 330. Section 323(a) of the Department of the Interior and Related Agencies Appropriations Act, 1999, as included in Public Law 105-277, Div. A, section 101(e) is amended by inserting “and fiscal years 2002 through 2005,” before “to the extent funds are otherwise available”.]

SEC. [331] 320. No funds provided in this Act may be expended to conduct preleasing, leasing and related activities under either the Mineral Leasing Act (30 U.S.C. 181 et seq.) or the Outer Continental Shelf Lands Act (43 U.S.C. 1331 et seq.) within the boundaries of a National Monument established pursuant to the Act of June 8, 1906 (16 U.S.C. 431 et seq.) as such boundary existed on January 20, 2001, except where such activities are allowed under the Presidential proclamation establishing such monument.

[SEC. 332. Section 347(a) of the Department of the Interior and Related Agencies Appropriations Act, 1999, as included in Public Law 105-277, is amended by striking “2002” and inserting “2004”. The authority to enter into stewardship and end result contracts provided to the Forest Service in accordance with section 347 of title III of section 101(e) of division A of Public Law 105-277 is hereby expanded to authorize the Forest Service to enter into an additional 28 contracts subject to the same terms and conditions as provided in that section: *Provided*, That of the additional contracts authorized by this section at least 9 shall be allocated to Region 1 and at least 3 to Region 6.]

[SEC. 333. Any regulations or policies promulgated or adopted by the Departments of Agriculture or the Interior regarding recovery of costs for processing authorizations to occupy and use Federal lands under their control shall adhere to and incorporate the following principle arising from Office of Management and Budget Circular, A-25; no charge should be made for a service when the identification of the specific beneficiary is obscure, and the service can be considered primarily as benefiting broadly the general public.]

[SEC. 334. The Chief of the Forest Service shall issue a special use permit for the Sioux Charlie Cabin within the boundary of the Custer National Forest, Montana, to Montana State University-Billings, for a term of 20 years for educational purposes compatible with the cabin's location. The permit shall be administered under normal national forest system authorities and regulations, with an additional review after 10 years to ensure the facility is being used for educational purposes.]

[SEC. 335. Section 551(c) of the Land Between the Lakes Protection Act of 1998 (16 U.S.C. 4601ll-61(c)) is amended by striking “2002” and inserting “2004”.]

[SEC. 336. MODIFICATION TO STEEL LOAN GUARANTEE PROGRAM. (a) **IN GENERAL.—**Section 101 of the Emergency Steel Loan Guarantee Act of 1999 (Public Law 106-51; 15 U.S.C. 1841 note) is amended as follows:

(1) **TERMS AND CONDITIONS.—**Subsection (h) is amended—

(A) in paragraph (1), by striking “2005” and inserting “2015”; and

(B) by amending paragraph (4) to read as follows:

“(4) **GUARANTEE LEVEL.—**

“(A) **IN GENERAL.—**Except as provided in subparagraphs (B) and (C), any loan guarantee provided under this section shall not exceed 85 percent of the amount of principal of the loan.

“(B) **INCREASED LEVEL ONE.—**A loan guarantee may be provided under this section in excess of 85 percent, but not more than 90 percent, of the amount of principal of the loan, if—

“(i) the aggregate amount of loans guaranteed at such percentage and outstanding under this section at any one time does not exceed \$100,000,000; and

“(ii) the aggregate amount of loans guaranteed at such percentage under this section with respect to a single qualified steel company does not exceed \$50,000,000.

“(C) **INCREASED LEVEL TWO.—**A loan guarantee may be provided under this section in excess of 85 percent, but not more than 95 percent, of the amount of principal of the loan, if—

“(i) the aggregate amount of loans guaranteed at such percentage and outstanding under this section at any one time does not exceed \$100,000,000; and

“(ii) the aggregate amount of loans guaranteed at such percentage under this section with respect to a single qualified steel company does not exceed \$50,000,000.”

(2) **TERMINATION OF GUARANTEE AUTHORITY.—**Subsection (k) is amended by striking “2001” and inserting “2003”.

(b) **APPLICABILITY.—**The amendments made by this section shall apply only with respect to any guarantee issued on or after the date of the enactment of this Act. (*Department of the Interior and Related Agencies Appropriations Act, 2002.*)

GENERAL PROVISIONS, THIS CHAPTER

[SEC. 7901. (a) IN GENERAL.—the Secretary of the Smithsonian Institution shall collect and preserve in the National Museum of American History artifacts relating to the September 11th attacks on the World Trade Center and the Pentagon.

(b) **TYPES OF ARTIFACTS.—**In carrying out subsection (a), the Secretary of the Smithsonian Institution shall consider collecting and preserving—

(1) pieces of the World Trade Center and the Pentagon;

(2) still and video images made by private individuals and the media;

(3) personal narratives of survivors, rescuers, and government officials; and

(4) other artifacts, recordings, and testimonials that the Secretary of the Smithsonian Institution determines have lasting historical significance.

(c) **AUTHORIZATION OF APPROPRIATIONS.—**There is authorized to be appropriated to the Smithsonian Institution \$5,000,000 to carry out this section.]

【SEC. 7902. Section 29 of Public Law 92–203, as enacted under section 4 of Public Law 94–204 (43 U.S.C. 1626), is amended by adding at the end of subsection (e) the following:

“(4)(A) Congress confirms that Federal procurement programs for tribes and Alaska Native Corporations are enacted pursuant to its authority under Article I, Section 8 of the United States Constitution.

“(B) Contracting with an entity defined in subsection (e)(2) of this section or section 3(c) of Public Law 93–262 shall be credited towards the satisfaction of a contractor’s obligations under section 7 of Public Law 87–305.

“(C) Any entity that satisfies subsection (e)(2) of this section that has been certified under section 8 of Public Law 85–536 is a Disadvantaged Business Enterprise for the purposes of Public Law 105–178.”】 *(Emergency Supplemental Act, 2002.)*

DEPARTMENT OF JUSTICE

GENERAL ADMINISTRATION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For expenses necessary for the administration of the Department of Justice, **[\$91,668,000]** \$121,079,000, of which not to exceed \$3,317,000 is for the Facilities Program 2000 and not to exceed \$10,000,000 is for development and implementation of a consolidated financial management system, to remain available until expended: *Provided*, [That not to exceed 43 permanent positions and 44 full-time equivalent workyears and \$8,451,000 shall be expended for the Department Leadership Program exclusive of augmentation that occurred in these offices in fiscal year 2001: *Provided further*, That not to exceed 41 permanent positions and 48 full-time equivalent workyears and \$4,997,000 shall be expended for the Offices of Legislative Affairs and Public Affairs: *Provided further*, That the latter two aforementioned offices may utilize non-reimbursable details of career employees within the caps described in the preceding proviso: *Provided further*,] That the Attorney General is authorized to transfer, under such terms and conditions as the Attorney General shall specify, forfeited real or personal property of limited or marginal value, as such value is determined by guidelines established by the Attorney General, to a State or local government agency, or its designated contractor or transferee, for use to support drug abuse treatment, drug and crime prevention and education, housing, job skills, and other community-based public health and safety programs: *Provided further*, That any transfer under the preceding proviso shall not create or confer any private right of action in any person against the United States, and shall be treated as a reprogramming under section 605 of this Act.

[JOINT AUTOMATED BOOKING SYSTEM] IDENTIFICATION SYSTEMS INTEGRATION

For expenses necessary for the nationwide deployment of a Joint Automated Booking System [including automated capability to transmit fingerprint and image data, \$1,000,000] and for the planning, development, and deployment of an integrated fingerprint identification system, including automated capability to transmit fingerprint and image data, \$24,505,000, to remain available until expended. (Department of Justice Appropriations Act, 2002; additional authorizing legislation required.)

[USA PATRIOT ACT ACTIVITIES]

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Patriot Act Activities", \$5,000,000, to remain available until expended to be obligated from amounts made available in Public Law 107-38, of which up to \$2,000,000 may be available for a feasibility report, as authorized by section 405 of Public Law 107-56: *Provided*, That funding for the implementation of such enhancements shall be treated as a reprogramming under section 605 of Public Law 107-77 and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section.] (Emergency Supplemental Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 15-0129-0-1-999	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Program direction and policy coordination	131	148	151
00.02 Identification Systems Integration	4	1	25
09.01 Reimbursable program	31	62	35
10.00 Total new obligations	166	211	211
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	5	16
22.00 New budget authority (gross)	178	195	211

23.90 Total budgetary resources available for obligation	183	211	211
23.95 Total new obligations	-166	-211	-211
23.98 Unobligated balance expiring or withdrawn	-3
24.40 Unobligated balance carried forward, end of year	16

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	112	106	146
41.00 Transferred to other accounts	-1
42.00 Transferred from other accounts	34	43	34
43.00 Appropriation (total discretionary)	145	149	180
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	23	46	31
68.10 Change in uncollected customer payments from Federal sources (unexpired)	10
68.90 Spending authority from offsetting collections (total discretionary)	33	46	31
70.00 Total new budget authority (gross)	178	195	211

Change in obligated balances:

72.40 Obligated balance, start of year	25	52	64
73.10 Total new obligations	166	211	211
73.20 Total outlays (gross)	-172	-199	-208
73.40 Adjustments in expired accounts (net)	-5
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-10
74.10 Change in uncollected customer payments from Federal sources (expired)	48
74.40 Obligated balance, end of year	52	64	67

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	115	179	192
86.93 Outlays from discretionary balances	57	20	16
87.00 Total outlays (gross)	172	199	208

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-67	-46	-31
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-10
88.96 Portion of offsetting collections (cash) credited to expired accounts	44

Net budget authority and outlays:

89.00 Budget authority	145	149	180
90.00 Outlays	105	153	177

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	138	142	173
90.00 Outlays	98	146	170

Program direction and policy coordination.—The Attorney General of the United States is responsible for leading the Department of Justice in accomplishing its missions. The Attorney General is assisted by the Deputy Attorney General, the Associate Attorney General, Department policy-level officials, and the Justice Management Division. The General Administration appropriation provides the resources for the programs and operations of the Attorney General, the Deputy Attorney General, the Associate Attorney General, and their Offices, the several Senior Policy Offices, and the Justice Management Division.

Joint Automated Booking System.—The Joint Automated Booking System (JABS) performs three major functions: (1)

General and special funds—Continued

[USA PATRIOT ACT ACTIVITIES]—Continued

facilitates rapid identification of individuals under arrest or detention through automation of the booking process and an interface with the Federal Bureau of Investigation (FBI) fingerprint identification system; (2) minimizes duplication of data entry by multiple law enforcement agencies during the booking process, and; (3) promotes data sharing of arrest records among JABS participants and other interested parties. When implemented, JABS will provide a rapid conduit to the FBI for offender identification and a current, nationwide reference for criminal offenders, arrests, cases and related data to aid in criminal investigations and prosecutions.

IDENT/IAFIS Integration.—The Automated Biometric Identification System/Integrated Automated Fingerprint Identification System (IDENT/IAFIS) integration project is designed to support the apprehension and prosecution of criminal aliens and to provide state and local law enforcement personnel with direct access to Immigration and Naturalization Service data through IAFIS. With a real-time connection between the two systems, IDENT/IAFIS integration will provide INS the capability to determine whether an apprehended person is the subject of a currently posted Want/Warrant or has a record in the FBI's Criminal Master File. Collaterally, the integration of IDENT and IAFIS will enable cognizant law enforcement agencies to obtain all relevant immigration information as part of a criminal history response from a single FBI search request. IDENT/IAFIS integration is proceeding in an incremental manner with a careful attention to the technical aspects as well as the programmatic and operational effects associated with the project before initiating the full scale development and implementation of the integrated system.

Object Classification (in millions of dollars)

Identification code 15-0129-0-1-999	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	52	56	59
11.3 Other than full-time permanent	4	4	4
11.5 Other personnel compensation	4	4	4
11.9 Total personnel compensation	60	64	67
12.1 Civilian personnel benefits	19	21	22
21.0 Travel and transportation of persons	3	3	3
22.0 Transportation of things	2	2	2
23.3 Communications, utilities, and miscellaneous charges	2	2	3
25.2 Other services	20	31	37
Other purchases of goods and services from Government accounts:			
25.3 Rental payments to GSA	11	12	21
25.3 Other purchases of goods and services from Government accounts	3	3	3
26.0 Supplies and materials	4	4	4
31.0 Equipment	11	7	14
99.0 Direct obligations	135	149	176
99.0 Reimbursable obligations	31	62	35
99.9 Total new obligations	166	211	211

Personnel Summary

Identification code 15-0129-0-1-999	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	612	674	688
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	297	383	383

ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriations as follows:
Centers for Medicare and Medicaid Services: "Health Care Fraud and Abuse Control Account."

NARROWBAND COMMUNICATIONS

For the costs of conversion to narrowband communications, including the cost for operation and maintenance of Land Mobile Radio legacy systems, [\$94,615,000] \$149,292,000, to remain available until expended. (Department of Justice Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 15-0132-0-1-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct	120	178	164
09.01 Reimbursable program		10	
10.00 Total new obligations	120	188	164
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	5	91	15
22.00 New budget authority (gross)	205	105	149
22.10 Resources available from recoveries of prior year obligations	1	7	
23.90 Total budgetary resources available for obligation	211	203	164
23.95 Total new obligations	-120	-188	-164
24.40 Unobligated balance carried forward, end of year	91	15	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	205	95	149
68.00 Spending authority from offsetting collections: Offsetting collections (cash)		10	
70.00 Total new budget authority (gross)	205	105	149
Change in obligated balances:			
72.40 Obligated balance, start of year	73	101	124
73.10 Total new obligations	120	188	164
73.20 Total outlays (gross)	-91	-158	-136
73.45 Recoveries of prior year obligations	-1	-7	
74.40 Obligated balance, end of year	101	124	152
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	91	74	104
86.93 Outlays from discretionary balances		84	32
87.00 Total outlays (gross)	91	158	136
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources		-10	
Net budget authority and outlays:			
89.00 Budget authority	205	95	149
90.00 Outlays	91	148	136

In 2003, resources are proposed to provide funding for the Department of Justice conversion of its wireless radio communications to narrowband operations. Federal Government agencies are required by 47 U.S.C. 903(d)(1) to make more efficient use of their radio spectrum. The National Telecommunications and Information Administration's (NTIA) implementing regulations require that all Federal spectrum users narrow, by one-half, the bandwidth used to transmit radio signals by the year 2005 for Very High Frequency (VHF) allocations and 2008 for Ultra High Frequency (UHF) allocations. The Department's 2003 budget continues the implementation of the Justice Wireless Network (JWN). Requested resources will be allocated to support components' existing legacy land mobile radio systems; support JWN operations and maintenance requirements; invest in new narrowband infrastructure and subscriber equipment; and support management and operating requirements of the Wireless Management Office.

Object Classification (in millions of dollars)

Identification code 15-0132-0-1-751	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
25.1 Advisory and assistance services	99	52	50
31.0 Equipment	20	125	113
99.0 Direct obligations	120	178	164
99.0 Reimbursable obligations	10		
99.9 Total new obligations	120	188	164

Personnel Summary

Identification code 15-0132-0-1-751	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	7	12	12

COUNTERTERRORISM FUND

For necessary expenses, as determined by the Attorney General, **[\$4,989,000] \$35,000,000**, to remain available until expended, to reimburse any Department of Justice organization for: (1) the costs incurred in reestablishing the operational capability of an office or facility which has been damaged or destroyed as a result of any domestic or international terrorist incident; and (2) the costs of providing support to counter, investigate or prosecute domestic or international terrorism, including payment of rewards in connection with these activities, and costs incurred by the Drug Enforcement Administration in providing intelligence support to agencies conducting these activities: *Provided*, That any Federal agency may be reimbursed for the costs of detaining in foreign countries individuals accused of acts of terrorism that violate the laws of the United States: *Provided further*, That funds provided under this paragraph shall be available only after the Attorney General notifies the Committees on Appropriations of the House of Representatives and the Senate in accordance with section 605 of this Act. (*Department of Justice Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 15-0130-0-1-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)	50	5	35
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	34	31	31
22.00 New budget authority (gross)	46	5	35
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	81	36	66
23.95 Total new obligations	-50	-5	-35
24.40 Unobligated balance carried forward, end of year	31	31	31
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	5	5	35
42.00 Transferred from other accounts	41		
43.00 Appropriation (total discretionary)	46	5	35
Change in obligated balances:			
72.40 Obligated balance, start of year	20	60	51
73.10 Total new obligations	50	5	35
73.20 Total outlays (gross)	-9	-14	-34
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	60	51	52
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	9	4	26
86.93 Outlays from discretionary balances		10	8
87.00 Total outlays (gross)	9	14	34
Net budget authority and outlays:			
89.00 Budget authority	46	5	35
90.00 Outlays	9	14	34

Counterterrorism Fund.—\$35 million is requested for the costs of providing support to counter, investigate, or prosecute domestic or international terrorism. In 2003, the Department expects that this entire amount will be used to reimburse the Drug Enforcement Administration for costs associated with providing intelligence support to the FBI and other agencies conducting counterterrorism activities. Balances carried over from previous years will be used for other appropriate reimbursements.

TELECOMMUNICATIONS CARRIER COMPLIANCE FUND

Program and Financing (in millions of dollars)

Identification code 15-0202-0-1-999	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Direct program: Law enforcement support	115	103	
09.00 Reimbursable program	40		
10.00 Total new obligations	155	103	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	17	103	
22.00 New budget authority (gross)	241		
23.90 Total budgetary resources available for obligation	258	103	
23.95 Total new obligations	-155	-103	
24.40 Unobligated balance carried forward, end of year	103		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	201		
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	40		
70.00 Total new budget authority (gross)	241		
Change in obligated balances:			
72.40 Obligated balance, start of year	191	94	94
73.10 Total new obligations	155	103	
73.20 Total outlays (gross)	-252	-103	-94
74.40 Obligated balance, end of year	94	94	
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	241		
86.93 Outlays from discretionary balances	11	103	94
87.00 Total outlays (gross)	252	103	94
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-40		
Net budget authority and outlays:			
89.00 Budget authority	201		
90.00 Outlays	212	103	94

The Communications Assistance for Law Enforcement Act (CALEA) of 1994 authorizes the Attorney General to reimburse telecommunications carriers for costs associated with modifying digital equipment installed before January 1, 1995, in order that court-authorized wiretaps may be performed.

The Omnibus Consolidated Appropriations Act of 1997 (P.L. 104-208) extended eligibility for reimbursement to telecommunications equipment manufacturers and providers of support services. In addition to direct appropriations to the Fund, Congress authorized Federal agencies with law enforcement and intelligence responsibilities to transfer to the Fund unobligated balances that are available until expended, upon compliance with Congressional notification requirements.

With the appropriations provided in 2001, total funding for the program has reached \$500 million, the authorization level provided in the Act.

General and special funds—Continued

TELECOMMUNICATIONS CARRIER COMPLIANCE FUND—Continued

Object Classification (in millions of dollars)

Identification code 15-0202-0-1-999	2001 actual	2002 est.	2003 est.
25.2 Direct obligations: Other services	115	103
99.0 Reimbursable obligations: Reimbursable obligations ...	40
99.9 Total new obligations	155	103

ADMINISTRATIVE REVIEW AND APPEALS

For expenses necessary for the administration of pardon and clemency petitions and immigration-related activities, **[\$173,647,000]** \$198,869,000. (Department of Justice Appropriations Act, 2002; additional authorizing legislation required.)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Administrative Review and Appeals", \$3,500,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (Emergency Supplemental Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 15-0339-0-1-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Executive Office for Immigration Review (EOIR)	167	187	197
00.02 Office of the Pardon Attorney (OPA)	2	2	2
09.01 Reimbursable program	1
10.00 Total new obligations	170	189	199
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	9	6
22.00 New budget authority (gross)	167	183	199
23.90 Total budgetary resources available for obligation	176	189	199
23.95 Total new obligations	-170	-189	-199
24.40 Unobligated balance carried forward, end of year	6
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	166	183	199
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1
70.00 Total new budget authority (gross)	167	183	199
Change in obligated balances:			
72.40 Obligated balance, start of year	20	25	19
73.10 Total new obligations	170	189	199
73.20 Total outlays (gross)	-163	-194	-201
73.40 Adjustments in expired accounts (net)	-2
74.40 Obligated balance, end of year	25	19	17
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	145	164	178
86.93 Outlays from discretionary balances	18	31	23
87.00 Total outlays (gross)	163	194	201
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-1
Net budget authority and outlays:			
89.00 Budget authority	166	183	199
90.00 Outlays	161	194	201

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	161	178	194
90.00 Outlays	156	189	196

This program includes the Office of the Pardon Attorney (OPA) and the Executive Office for Immigration Review (EOIR). The Pardon Attorney receives and reviews all petitions for clemency, i.e., commutation of sentences and pardons. The Executive Office for Immigration Review contains the Immigration Judge function, the Board of Immigration Appeals, the Office of the Chief Administrative Hearing Officer, and the Office of Management and Administration. EOIR was established January 1, 1983, to improve the immigration hearing and appeal process.

Additional funding of \$9.195 million is proposed to provide adjudicative support for INS' enforcement activities. This coordination involves hiring additional Immigration Judges, attorneys, and support staff who will allow EOIR to maintain current performance levels. Workload for the activity follows:

PARDON ATTORNEY WORKLOAD

Cases:	2001 actual	2002 est.	2003 est.
Petitions pending, beginning of year	2,154	3,320	2,100
Petitions received	1,827	1,000	1,000
Correspondence processed	7,000	7,000	7,000

EXECUTIVE OFFICE FOR IMMIGRATION REVIEW WORKLOAD

Immigration cases, appeals, and related adjudications, pending beginning of year	2001 actual	2002 est.	2003 est.
Received	205,492	229,143	253,143
Completed	312,738	319,000	335,000
Pending, end of year	289,087	295,000	311,500
	229,143	253,143	276,643

Object Classification (in millions of dollars)

Identification code 15-0339-0-1-751	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	72	80	86
11.3 Other than full-time permanent	7	6	7
11.9 Total personnel compensation	79	86	93
12.1 Civilian personnel benefits	23	26	27
21.0 Travel and transportation of persons	4	5	5
23.3 Communications, utilities, and miscellaneous charges	4	4	4
24.0 Printing and reproduction	1	1
25.2 Other services	32	40	41
25.3 Rental payments to GSA	19	22	23
26.0 Supplies and materials	3	3	3
31.0 Equipment	5	2	2
99.0 Direct obligations	169	189	199
99.0 Reimbursable obligations	1
99.9 Total new obligations	170	189	199

Personnel Summary

Identification code 15-0339-0-1-751	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	1,104	1,195	1,298

DETENTION TRUSTEE

For necessary expenses of the Federal Detention Trustee who shall exercise all power and functions authorized by law relating to the detention of Federal prisoners in non-Federal institutions or otherwise in the custody of the United States Marshals Service; and the detention of aliens in the custody of the Immigration and Naturalization Service, **[\$1,000,000]** \$1,388,583,000, to remain available until expended, of which not to exceed \$1,377,945,000 for detention activities may be transferred to "Salaries and Expenses," United States Marshals Service or to "Immigration Enforcement," Immigration and Naturalization Service: Provided, That the Trustee shall be responsible for overseeing construction of detention facilities or for housing related to such detention; the management of funds appropriated to the Department for the exercise of any detention functions; and the direction of the United States Marshals Service and Immigration and Naturalization Service with respect to the exercise of detention policy setting and operations for the Department. (Department of Justice Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 15-0136-0-1-753	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations		1	1,389
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	1	1	1,389
23.95 Total new obligations		-1	-1,389
23.98 Unobligated balance expiring or withdrawn	-1		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1	1	1,389
Change in obligated balances:			
73.10 Total new obligations		1	1,389
73.20 Total outlays (gross)		-1	-1,389
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		1	1,389
Net budget authority and outlays:			
89.00 Budget authority	1	1	1,389
90.00 Outlays		1	1,389

Note.—Includes \$1,342 million in budget authority in 2003 for activities previously financed from:

	2001	2002
Department of Justice, Legal Activities and U.S. Marshals, Federal Prisoner Detention	\$596	\$706
Department of Justice, Immigration and Naturalization Service, Immigration Enforcement	\$609	\$611

The Detention Trustee reports to the Deputy Attorney General and is responsible for the centralized management of the Department detention resource allocations, to include the Cooperative Agreement Program, exercising financial oversight of detention operations, and ensuring the implementation of efficiency and effectiveness improvements in Department detention operations.

For FY 2003, \$5,000,000 is included for the creation of a National Clearinghouse for Detention Space. The clearinghouse will serve as a national repository for State and Local governments and private detention space providers to electronically post vacancies, rates, services, administrative costs, availability, mode of transport and medical facilities and services. Detention space and service providers will be required to supply their daily rates, which includes cost and any applicable service fees, as well as the basis for the calculation of the rates. Those posting vacancies will also be required to list and provide a means for verification of their credentials and accreditations. The goal in creating this nationwide electronic detention space clearinghouse is to enable Federal users to find more cost-efficient space where they need it and avoid paying for costly transportation and services they do not need. In addition, the Detention Trustee will contract for a business process re-engineering study to examine the advisability, feasibility and cost-effectiveness of contracting out the Joint Prisoner and Alien Transportation System (JPATS).

Object Classification (in millions of dollars)

Identification code 15-0136-0-1-753	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent		1	2
12.1 Civilian personnel benefits			1
21.0 Travel and transportation of persons			36
23.1 Rental payments to GSA			1
23.3 Communications, utilities, and miscellaneous charges			1
25.2 Other services			174
25.3 Other purchases of goods and services from Government accounts			174
25.4 Operation and maintenance of facilities			206
25.7 Operation and maintenance of equipment			5
25.8 Subsistence and support of persons			763
26.0 Supplies and materials			24
31.0 Equipment			2

99.9 Total new obligations	1	1,389
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Personnel Summary

Identification code 15-0136-0-1-753	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment		6	18

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, **[\$50,735,000] \$66,287,000**; including not to exceed \$10,000 to meet unforeseen emergencies of a confidential character, to be expended under the direction of, and to be accounted for solely under the certificate of, the Attorney General; and for the acquisition, lease, maintenance, and operation of motor vehicles, without regard to the general purchase price limitation for the current fiscal year. (*Department of Justice Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 15-0328-0-1-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	44	53	66
09.01 Reimbursable program	16	19	20
10.00 Total new obligations	60	72	86
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	60	72	86
23.95 Total new obligations	-60	-72	-86
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	44	53	66
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	16	19	20
70.00 Total new budget authority (gross)	60	72	86
Change in obligated balances:			
72.40 Obligated balance, start of year	2	5	6
73.10 Total new obligations	60	72	86
73.20 Total outlays (gross)	-58	-71	-90
73.40 Adjustments in expired accounts (net)	1		
74.40 Obligated balance, end of year	5	6	2
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	57	69	82
86.93 Outlays from discretionary balances	1	2	8
87.00 Total outlays (gross)	58	71	90
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-16	-19	-20
Net budget authority and outlays:			
89.00 Budget authority	44	53	66
90.00 Outlays	41	52	70

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	42	51	64
90.00 Outlays	39	50	68

The Office of the Inspector General (OIG) was statutorily established in the Department of Justice on April 14, 1989. The OIG investigates alleged violations of criminal and civil laws, regulations, and ethical standards arising from the conduct of the Department's employees. The OIG provides leader-

General and special funds—Continued

OFFICE OF INSPECTOR GENERAL—Continued

ship and assists management in promoting integrity, economy, efficiency, and effectiveness within the Department and in its financial, contractual, and grant relationships with others. Also by statute, the OIG reports to the Attorney General, Congress, and the public on a semiannual basis regarding its significant activities.

The Audit function is responsible for independent audits and reviews of Department organizations, programs, functions, computer security and information technology systems, and financial statement audits. The Audit function also conducts or reviews external audits of expenditures made under Department contracts, grants, and other agreements.

The Investigations function investigates allegations of civil rights violations, bribery, fraud, abuse and violations of other laws, rules and procedures that govern Department employees, contractors, and grantees. This function also develops these cases for criminal prosecution, civil action, or administrative action. In some instances the OIG refers allegations to components within the Department and requests notification of their findings and of any disciplinary action taken.

The Evaluation and Inspections function conducts analyses and makes recommendations to decision makers for improvements in Department programs, policies, and procedures. In addition, this function also conducts shorter and more time-sensitive reviews and evaluations to provide managers with early warnings about possible program deficiencies.

The Oversight and Review function investigates allegations of significant interest to the American public and Congress and of vital importance to the Department.

The Executive Direction and Control function provides program direction for the OIG. Responsibilities include policy development, legal counsel, Congressional affairs, planning, budget, finance, personnel, procurement, automated data processing, and general support services.

Object Classification (in millions of dollars)

Identification code 15-0328-0-1-751	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	22	28	35
11.3 Other than full-time permanent	1		
11.5 Other personnel compensation	2	3	3
11.9 Total personnel compensation	25	31	38
12.1 Civilian personnel benefits	9	10	14
21.0 Travel and transportation of persons	2	2	3
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	2	3	3
25.3 Rental payments to GSA	4	5	5
31.0 Equipment	1	1	2
99.0 Direct obligations	44	53	66
99.0 Reimbursable obligations	15	19	20
99.5 Below reporting threshold	1		
99.9 Total new obligations	60	72	86

Personnel Summary

Identification code 15-0328-0-1-751	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	307	367	411
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	44	35	35

Intragovernmental funds:

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 15-4526-0-4-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Financial and employee data	57	57	70
09.02 Telecommunications	138	124	113
09.03 Data Processing	151	143	134
09.04 Publication Services	6	6	6
09.05 Space Management	323	352	358
09.06 Property Management	2	1	1
09.07 Justice Building Services	27	2	2
09.08 Library Acquisition Services	11	12	12
09.10 Personnel Services	4	6	6
09.11 Debt Collection Management	49	58	59
09.12 Mail Services	15	15	15
09.13 Asset Forfeiture Management Staff	1	2	2
09.14 Capital Investment	98	80	75
10.00 Total new obligations	882	858	853
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	230	218	101
22.00 New budget authority (gross)	841	741	752
22.10 Resources available from recoveries of prior year obligations	29		
23.90 Total budgetary resources available for obligation	1,100	959	853
23.95 Total new obligations	-882	-858	-853
24.40 Unobligated balance carried forward, end of year	218	101	
New budget authority (gross), detail:			
Discretionary:			
42.00 Transferred from other accounts	87		
43.00 Appropriation (total discretionary)	87		
Mandatory:			
69.00 Offsetting collections (cash)	743	741	752
69.10 Change in uncollected customer payments from Federal sources (unexpired)	11		
69.90 Spending authority from offsetting collections (total mandatory)	754	741	752
70.00 Total new budget authority (gross)	841	741	752
Change in obligated balances:			
72.40 Obligated balance, start of year	257	285	402
73.10 Total new obligations	882	858	853
73.20 Total outlays (gross)	-814	-741	-752
73.45 Recoveries of prior year obligations	-29		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-11		
74.40 Obligated balance, end of year	285	402	503
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	71		
86.97 Outlays from new mandatory authority	743	741	752
87.00 Total outlays (gross)	814	741	752
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-743	-741	-752
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-11		
Net budget authority and outlays:			
89.00 Budget authority	87		
90.00 Outlays	71		

The Working Capital Fund finances, on a reimbursable basis, those administrative services that can be performed more efficiently at the Department level.

Object Classification (in millions of dollars)

Identification code 15-4526-0-4-751	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	42	53	54

11.5	Other personnel compensation	1	1	1
11.9	Total personnel compensation	43	54	55
12.1	Civilian personnel benefits	11	14	14
21.0	Travel and transportation of persons	1	2	2
22.0	Transportation of things	15	15	16
23.1	Rental payments to GSA	317	331	367
23.2	Rental payments to others	1	1	1
23.3	Communications, utilities, and miscellaneous charges	113	109	112
24.0	Printing and reproduction	1	1	1
25.1	Advisory and assistance services	10		
25.2	Other services	209	195	156
	Other purchases of goods and services from Government accounts:			
25.3	Other purchases of goods and services from Government accounts	129	112	105
25.3	Rental payments to GSA for WCF only	9	8	8
26.0	Supplies and materials	14	15	15
31.0	Equipment	10	1	1
99.9	Total new obligations	882	858	853

Personnel Summary

Identification code 15-4526-0-4-751	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	626	723	723

UNITED STATES PAROLE COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the United States Parole Commission as authorized by law, **[\$9,876,000] \$11,355,000.** (*Department of Justice Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 15-1061-0-1-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	9	10	11
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	9	10	11
23.95 Total new obligations	-9	-10	-11
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	9	10	11
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	1
73.10 Total new obligations	9	10	11
73.20 Total outlays (gross)	-9	-10	-10
74.40 Obligated balance, end of year	1	1	2
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	8	9	9
86.93 Outlays from discretionary balances	1	1	1
87.00 Total outlays (gross)	9	10	10
Net budget authority and outlays:			
89.00 Budget authority	9	10	11
90.00 Outlays	9	10	10

The United States Parole Commission makes decisions to grant or deny parole to Federal and D.C. Code prisoners serving sentences of one year and a day or more, sets conditions of parole, supervises parolees and mandatory releasees, recommitts parolees in the event of violations of the conditions of supervision, and determines the termination of supervision in accordance with the Parole Commission and Reorganization Act of 1976.

In addition, the Commission seeks to improve the rehabilitation process by monitoring an effective parole supervision

program through U.S. and District of Columbia probation officers and through research studies that evaluate the effectiveness of parole programs. During 2001, the U.S. Parole Commission assumed responsibility for parole revocation hearings and supervision of District of Columbia parolees under the National Capital Revitalization and Self-Government Improvement Act (P.L. 105-33).

Since the workload associated with Federal inmates and D.C. inmates will continue beyond the Commission's termination date of November 1, 2002, the Budget includes funding for these activities through 2003. The Administration will finalize plans for the continuation of the Commission's statutory responsibilities over the next several months.

WORKLOAD

	2001 actual	2002 est.	2003 est.
Hearings:			
Initial	1,296	1,425	1,400
D.C. Rehearing	905	1,050	1,050
Rescission	72	285	275
Local and institutional revocation	1,097	1,535	1,450
D.C. revocation re-hearings	620	570	550
Supervised release revocation		100	375
Other	153	165	170
Statutory review	425	335	300
Termination	71	60	50
Analyst Workload:			
Warrants, warrant supplements, reprimands	1,993	2,575	2,472
Reopen & modify and pre-release reviews	1,465	1,120	1,080
Prelim. interview req. & expedited revocations	853	1,369	1,313
Parole certificates	2,458	2,680	2,750
Parole terminations	824	770	700
Other documents	6,377	7,700	7,980
Appeal Decisions & Prisoner Litigation:			
Appeals, admin. review & original jurisdiction	308	380	380
Prisoner litigation	640	717	788
Transfer Treaty cases	90	90	95

Object Classification (in millions of dollars)

Identification code 15-1061-0-1-751	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	5	6	7
12.1 Civilian personnel benefits	1	1	1
25.2 Other services	2	2	2
25.3 Rental payments to others	1	1	1
99.9 Total new obligations	9	10	11

Personnel Summary

Identification code 15-1061-0-1-751	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	84	95	104

LEGAL ACTIVITIES AND U.S. MARSHALS

Federal Funds

General and special funds:

SALARIES AND EXPENSES, GENERAL LEGAL ACTIVITIES

For expenses necessary for the legal activities of the Department of Justice, not otherwise provided for, including not to exceed \$20,000 for expenses of collecting evidence, to be expended under the direction of, and to be accounted for solely under the certificate of, the Attorney General; and rent of private or Government-owned space in the District of Columbia, **[\$549,176,000] \$659,181,000, of which \$41,167,000 for counter terrorism shall remain available until expended;** of which not to exceed \$10,000,000 for litigation support contracts shall remain available until expended: *Provided*, That of the total amount appropriated, not to exceed \$1,000 shall be available to the United States National Central Bureau, INTERPOL, for official reception and representation expenses: *Provided further*, That notwithstanding any other provision of law, upon a determination by the Attorney General that emergent circumstances require additional funding for litigation activities of the Civil Division, the Attorney General may transfer such amounts to "Salaries and Expenses, General Legal Activities" from available appropriations for the current fiscal year for the De-

General and special funds—Continued

SALARIES AND EXPENSES, GENERAL LEGAL ACTIVITIES—Continued

partment of Justice, as may be necessary to respond to such circumstances: *Provided further*, That any transfer pursuant to the previous proviso shall be treated as a reprogramming under section 605 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section.

In addition, for reimbursement of expenses of the Department of Justice associated with processing cases under the National Childhood Vaccine Injury Act of 1986, as amended, not to exceed \$4,028,000, to be appropriated from the Vaccine Injury Compensation Trust Fund. (*Department of Justice Appropriations Act, 2002; additional authorizing legislation required.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for “Salaries and Expenses, General Legal Activities”, \$12,500,000, to remain available until expended, to be obligated from amounts made available in Public Law 107–38.] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 15–0128–0–1–752

	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01			
Conduct of Supreme Court proceedings and review of appellate	7	7	8
00.02			
General tax matters	74	77	78
00.03			
Criminal matters	117	130	134
00.04			
Claims, customs, and general civil matters	168	187	245
00.05			
Land, natural resources, and Indian matters	72	72	73
00.06			
Legal opinions	5	5	5
00.07			
Civil rights matters	94	103	107
00.08			
Interpol	8	8	9
00.09			
Legal activities office automation	14	10	
00.10			
Dispute resolution		1	
09.00			
Reimbursable program	260	340	286
10.00			
Total new obligations	819	940	945

Budgetary resources available for obligation:			
21.40			
Unobligated balance carried forward, start of year	15	19	
22.00			
New budget authority (gross)	812	914	945
22.10			
Net transfers prior year balance	13		
22.22			
Unobligated balance transferred from other accounts		7	
23.90			
Total budgetary resources available for obligation	840	940	945
23.95			
Total new obligations	–819	–940	–945
23.98			
Unobligated balance expiring or withdrawn	–2		
24.40			
Unobligated balance carried forward, end of year	19		

New budget authority (gross), detail:			
Discretionary:			
40.00			
Appropriation	549	574	659
40.77			
Reduction pursuant to P.L. 106–554 (0.22 percent)	–1		
42.00			
Transferred from other accounts	4		
43.00			
Appropriation (total discretionary)	552	574	659
68.00			
Spending authority from offsetting collections: Offsetting collections (cash)	260	340	286
70.00			
Total new budget authority (gross)	812	914	945

Change in obligated balances:			
72.40			
Obligated balance, start of year	41	71	102
73.10			
Total new obligations	819	940	945
73.20			
Total outlays (gross)	–774	–909	–933
73.40			
Adjustments in expired accounts (net)	–2		
73.45			
Recoveries of prior year obligations	–13		
74.40			
Obligated balance, end of year	71	102	114

Outlays (gross), detail:			
86.90			
Outlays from new discretionary authority	718	841	861
86.93			
Outlays from discretionary balances	56	69	72
87.00			
Total outlays (gross)	774	909	933

Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Federal sources:			
88.00			
Federal sources	–256	–336	–282
88.00			
Federal funds (Drug enforcement)	–4	–4	–4

88.90	Total, offsetting collections (cash)	–260	–340	–286
Net budget authority and outlays:				
89.00	Budget authority	552	574	659
90.00	Outlays	514	569	647

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	539	562	645
90.00	Outlays	501	557	633

The following legal activities of the Department are financed from this appropriation:

Conduct of Supreme Court proceedings and review of appellate matters.—Through this program, the Solicitor General supervises and processes all appellate matters and represents the Government before the U.S. Supreme Court.

WORKLOAD

	2001 actual	2002 est.	2003 est.
Cases:			
Pending, beginning of term	474	562	580
Received	3,226	3,234	3,242
Terminated	3,138	3,216	3,296
Pending, end of term	562	580	526
Other activities:			
Appellate determinations	812	816	820
Certiorari determinations	720	724	728
Miscellaneous recommendations	728	732	736
Oral arguments participation	59	60	60

General tax matters.—This program is the prosecution and defense of cases arising under the internal revenue laws and other related statutes.

WORKLOAD

	2001 actual	2002 est.	2003 est.
Cases:			
Pending, beginning of year	14,410	14,936	15,055
Received	5,933	5,992	6,052
Terminated	5,407	5,873	5,930
Pending, end of year	14,936	15,055	15,177

Criminal matters.—This program is the enforcement of all Federal criminal statutes except for statutes dealing specifically with tax, antitrust, environmental, and civil rights matters.

WORKLOAD¹

	2001 actual	2002 est.	2003 est.
Cases:			
Pending, beginning of year	550	569	608
Received	651	653	697
Terminated	632	614	642
Pending, end of year	569	608	663
Matters:			
Pending, beginning of year	12,621	13,259	12,497
Received	6,531	7,105	7,747
Terminated	5,893	7,867	7,359
Pending, end of year	13,259	12,497	12,885

¹ Includes direct operational authority only.

Claims, customs, and general civil matters.—This program asserts the Government’s interest in civil litigation involving billions of dollars in monetary claims as well as a wide range of programs, including the September 11th Victims Compensation Program.

WORKLOAD¹

	2001 actual	2002 est.	2003 est.
Cases:			
Pending, beginning of year	19,076	20,085	26,786
Received	7,732	16,571	16,721
Terminated	6,723	9,870	17,880
Pending, end of year	20,085	26,786	25,627
Major cases and case families receiving Automated Litigation Support (ALS) support			
ALS funds (in millions)	\$9.3	\$8.2	\$40.2

¹ Excludes delegated and supervised cases.

Environment and natural resource matters.—The Environment and Natural Resources Division enforces the Nation’s civil and criminal environmental laws and defends environmental challenges to Government action. Additionally, the Division represents the United States in virtually all matters concerning the use and development of the Nation’s natural resources and public lands, wildlife protection, Indian rights and claims, and the acquisition of Federal property.

WORKLOAD

	2001 actual	2002 est.	2003 est.
Cases and tracts:			
Pending, beginning of year	12,608	12,606	12,737
Received	3,721	3,290	3,300
Terminated	3,723	3,159	3,150
Pending, end of year	12,606	12,737	12,887
Matters:			
Pending, beginning of year	717	559	568
Received	260	327	326
Terminated	418	318	320
Pending, end of year	559	568	574

Legal opinions.—This program is the preparation of legal opinions for the President and Executive agencies and the review of proposed Executive Orders and proclamations for form and legality.

WORKLOAD

	2001 actual	2002 est.	2003 est.
Executive orders and proclamations	128	130	130
Opinions	1,315	1,325	1,325
Intradepartmental opinions	3,035	3,050	3,050
Special assignments	2,340	2,350	2,350

Civil rights matters.—This program is the enforcement of the Nation’s civil rights laws.

WORKLOAD

	2001 actual	2002 est.	2003 est.
Cases:			
Pending, beginning of year	1,232	1,168	1,103
Filed	301	307	326
Terminated	365	372	389
Pending, end of year	1,168	1,103	1,040
Matters:			
Pending, beginning of year	9,626	9,519	9,409
Received	4,838	4,934	5,034
Terminated	4,945	5,044	5,134
Pending, end of year	9,519	9,409	9,309

INTERPOL (U.S. National Central Bureau).—This program is the United States liaison, on behalf of the Attorney General, to the International Criminal Police Organization. The program facilitates international law enforcement cooperation.

WORKLOAD

	2001 actual	2002 est.	2003 est.
Investigative matters received (IMRS)	99,311	100,300	101,300
Investigative matters opened (IMRS)	66,711	67,500	68,100
Cases opened	16,880	18,000	19,000
Cases reported	23,836	24,100	24,300
Cases closed	18,780	19,000	19,500
Red notices	1,563	1,580	1,600

Dispute Resolution.—This program coordinates the Department’s use of Alternative Dispute Resolution (ADR), develops ADR policy, conducts ADR training, advises Department personnel on the use of ADR, and evaluates the effectiveness of ADR programs. The office also coordinates the Federal Interagency ADR Working Group, an organization chaired by the Attorney General and created by the President to promote the use of ADR throughout the Federal Government.

Reimbursable program.—This reflects reimbursable funding for the following:

Civil Division—for processing claims under the Radiation Exposure Compensation Act, for litigating cases under the National Childhood Vaccine Injury Act, for defending claims arising from the enactment and implementation of FIRREA, and for litigating a number of extraordinarily large cases on behalf of the United States;

Criminal Division—for detailing of staff to provide assistance to other agencies and for other miscellaneous purposes;

Environment and Natural Resources Division—from client agencies for litigation support services and from the Environmental Protection Agency for Superfund litigation; and,

Civil Rights Division—for activities related to the Department’s Equal Employment Opportunity Program, providing services to client agencies for litigation support and geographic information related requests, and for detailing staff to provide various types of assistance to other DOJ components and agencies.

Object Classification (in millions of dollars)

Identification code 15–0128–0–1–752	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	254	282	296
11.3 Other than full-time permanent	20	19	19
11.5 Other personnel compensation	4	4	4
11.8 Special personal services payments	7	6	6
11.9 Total personnel compensation	285	311	325
12.1 Civilian personnel benefits	74	81	88
21.0 Travel and transportation of persons	14	18	18
22.0 Transportation of things	3	3	3
23.2 Rental payments to others	2	1	2
23.3 Communications, utilities, and miscellaneous charges	8	9	9
24.0 Printing and reproduction	2	3	3
25.1 Advisory and assistance services	16	13	8
25.2 Other services	64	71	115
Other purchases of goods and services from Government accounts:			
25.3 Other purchases of goods and services from Government accounts	17	12	9
25.3 Rental Payments to GSA	54	63	66
25.4 Operation and maintenance of facilities	1		
25.7 Operation and maintenance of equipment	4	1	1
26.0 Supplies and materials	5	5	5
31.0 Equipment	7	7	5
41.0 Grants, subsidies, and contributions	3	2	2
99.0 Direct obligations	559	600	659
99.0 Reimbursable obligations	260	340	286
99.9 Total new obligations	819	940	945

Personnel Summary

Identification code 15–0128–0–1–752	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	3,600	3,784	3,814
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	299	333	340

LEGAL ACTIVITIES OFFICE AUTOMATION

For necessary [office-automation expenses of] expenses related to the design, development, engineering, acquisition, and implementation of office automation systems for the organizations funded under the headings “Salaries and Expenses”, General Legal Activities, and “Salaries and Expenses”, General Administration, and of the United States Attorneys, the Antitrust Division, the United States Marshals Service, [the Antitrust Division,] the United States Trustee Program, the Executive Office for Immigration Review, and the Community Relations Service, [\$15,765,000] \$15,942,000, to remain available until expended. (Department of Justice Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 15–0137–0–1–752	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program Activity		16	16
09.01 Reimbursable program			61

General and special funds—Continued

LEGAL ACTIVITIES OFFICE AUTOMATION—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 15-0137-0-1-752	2001 actual	2002 est.	2003 est.
10.00 Total new obligations		16	77
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		16	77
23.95 Total new obligations		-16	-77
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation		16	16
68.00 Spending authority from offsetting collections: Offsetting collections (cash)			61
70.00 Total new budget authority (gross)		16	77
Change in obligated balances:			
72.40 Obligated balance, start of year			2
73.10 Total new obligations		16	77
73.20 Total outlays (gross)		-14	-79
74.40 Obligated balance, end of year		2	
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		14	75
86.93 Outlays from discretionary balances			4
87.00 Total outlays (gross)		14	79
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources			-61
Net budget authority and outlays:			
89.00 Budget authority		16	16
90.00 Outlays		14	18

Legal Activities Office Automation.—This activity provides for the design, development, engineering, acquisition and implementation of a standard office automation network in 12 Department components, encompassing 30,000 users: the Antitrust, Civil, Civil Rights, Criminal, Environment and Natural Resources, and Tax Divisions; the U.S. Attorneys; the Executive Office for Immigration Review; the U.S. Trustees; the U.S. Marshals Service; the Community Relations Service; and the Justice Management Division (JMD), including Department leadership offices. It provides for a reliable, robust office automation platform for Department legal, management, and law enforcement activities, ensuring inter-component interoperability, data integrity and security, and promoting increased productivity.

Object Classification (in millions of dollars)

Identification code 15-0137-0-1-752	2001 actual	2002 est.	2003 est.
25.2 Direct obligations: Other services		16	16
99.0 Reimbursable obligations: Reimbursable obligations			61
99.9 Total new obligations		16	77

SALARIES AND EXPENSES, ANTITRUST DIVISION

For expenses necessary for the enforcement of antitrust and kindred laws, **[\$130,791,000] \$141,855,000: Provided,** That, notwithstanding any other provision of law, not to exceed **[\$130,791,000] \$141,855,000** of offsetting collections derived from fees collected for premerger notification filings under the Hart-Scott-Rodino Antitrust Improvements Act of 1976 (15 U.S.C. 18a), regardless of the year of collection, shall be retained and used for necessary expenses in this appropriation, and shall remain available until expended: *Provided further,* That the sum herein appropriated from the general fund shall be reduced as such offsetting collections are received during fiscal year **[2002] 2003**, so as to result in a final fiscal year

[2002] 2003 appropriation from the general fund estimated at not more than \$0. (*Department of Justice Appropriations Act, 2002; additional authorizing legislation required.*)

Unavailable Collections (in millions of dollars)

Identification code 15-0319-0-1-752	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	27	9	40
Receipts:			
02.80 Salaries and expenses, Antitrust Division, offsetting collections	82	158	175
04.00 Total: Balances and collections	109	167	215
Appropriations:			
05.00 Salaries and expenses, Antitrust Division	-100	-127	-142
05.99 Total appropriations	-100	-127	-142
07.99 Balance, end of year	9	40	73

Program and Financing (in millions of dollars)

Identification code 15-0319-0-1-752	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	115	135	142
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	5	4	
22.00 New budget authority (gross)	118	131	142
22.10 Resources available from recoveries of prior year obligations	2		
23.90 Total budgetary resources available for obligation	125	135	142
23.95 Total new obligations	-115	-135	-142
23.98 Unobligated balance expiring or withdrawn	-6		
24.40 Unobligated balance carried forward, end of year	4		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	9		
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	86	162	175
68.10 Change in uncollected customer payments from Federal sources (unexpired)	5		
68.26 From offsetting collections (unavailable balances)	25		4
68.45 Portion precluded from obligation (limitation on obligations)	-7	-31	-37
68.90 Spending authority from offsetting collections (total discretionary)	109	131	142
70.00 Total new budget authority (gross)	118	131	142
Change in obligated balances:			
72.40 Obligated balance, start of year	15	11	21
73.10 Total new obligations	115	135	142
73.20 Total outlays (gross)	-112	-125	-132
73.45 Recoveries of prior year obligations	-2		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-5		
74.40 Obligated balance, end of year	11	21	31
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	87	108	117
86.93 Outlays from discretionary balances	25	17	15
87.00 Total outlays (gross)	112	125	132
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-86	-162	-175
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-5		
Net budget authority and outlays:			
89.00 Budget authority	27	-31	-33
90.00 Outlays	26	-37	-43

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	27	-31	-37
90.00 Outlays	26	-37	-47

The Antitrust Division administers and enforces antitrust and related statutes. This program primarily involves the investigation of suspected violations of the antitrust laws, the conduct of civil and criminal proceedings in the Federal courts, and the maintenance of competitive conditions.

In 2003, the Antitrust Division will continue to collect filing fees for pre-merger notifications and will retain these fees for expenditure in support of its programs.

WORKLOAD

	2001 actual	2002 est.	2003 est.
Cases and investigations:			
Pending, beginning of year	368	370	372
Filed and instituted	297	312	327
Terminated	295	310	325
Pending, end of year	370	372	374
Miscellaneous proceedings	3,404	3,574	3,753

Object Classification (in millions of dollars)

Identification code 15-0319-0-1-752	2001 actual	2002 est.	2003 est.
99.0 Reimbursable obligations: Reimbursable obligations ...	115	135	142
99.9 Total new obligations	115	135	142

Personnel Summary

Identification code 15-0319-0-1-752	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	771	851	851

SALARIES AND EXPENSES, UNITED STATES ATTORNEYS

For necessary expenses of the Offices of the United States Attorneys, including inter-governmental and cooperative agreements, [\$1,353,968,000] \$1,550,948,000; of which not to exceed \$2,500,000 shall be available until September 30, [2003] 2004, for: (1) training personnel in debt collection; (2) locating debtors and their property; (3) paying the net costs of selling property; and (4) tracking debts owed to the United States Government: *Provided*, That of the total amount appropriated, not to exceed \$8,000 shall be available for official reception and representation expenses: *Provided further*, That not to exceed \$10,000,000 of those funds available for automated litigation support contracts shall remain available until expended: *Provided further*, That not to exceed \$2,500,000 for the operation of the National Advocacy Center shall remain available until expended: *Provided further*, That, in addition to reimbursable full-time equivalent workyears available to the Offices of the United States Attorneys, not to exceed 9,571 positions and 9,776 full-time equivalent workyears shall be supported from the funds appropriated in this Act for the United States Attorneys: *Provided further*, That, notwithstanding any other provision of law, the Attorney General shall transfer to the Department of Justice Working Capital Fund, unobligated, all unexpended funds appropriated by the first heading of chapter 2 of title II of division B of Public Law 106-246 and by section 202 of division A of appendix H.R. 5666 of Public Law 106-554: *Provided further*, That the fourth proviso under the heading "Salaries and Expenses, United States Attorneys" in title I of H.R. 3421 of the 106th Congress, as enacted by section 1000(a)(1) of Public Law 106-113 shall apply to amounts made available under this heading for fiscal year 2002]. (*Department of Justice Appropriations Act, 2002; additional authorizing legislation required.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Salaries and Expenses, United States Attorneys", \$56,370,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 15-0322-0-1-752	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 U.S. attorneys	1,299	1,453	1,551
00.02 VCRP	16		
09.00 Reimbursable program	151	151	145
10.00 Total new obligations	1,466	1,604	1,696
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	22	16	16
22.00 New budget authority (gross)	1,454	1,604	1,696
22.10 Resources available from recoveries of prior year obligations	11		
23.90 Total budgetary resources available for obligation	1,487	1,620	1,712
23.95 Total new obligations	-1,466	-1,604	-1,696
23.98 Unobligated balance expiring or withdrawn	-5		
24.40 Unobligated balance carried forward, end of year	16	16	16
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1,302	1,453	1,551
40.35 Appropriation rescinded	-3		
42.00 Transferred from other accounts	4		
43.00 Appropriation (total discretionary)	1,303	1,453	1,551
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	151	151	145
70.00 Total new budget authority (gross)	1,454	1,604	1,696
Change in obligated balances:			
72.40 Obligated balance, start of year	124	176	215
73.10 Total new obligations	1,466	1,604	1,696
73.20 Total outlays (gross)	-1,415	-1,565	-1,687
73.40 Adjustments in expired accounts (net)	12		
73.45 Recoveries of prior year obligations	-11		
74.40 Obligated balance, end of year	176	215	224
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1,279	1,435	1,515
86.93 Outlays from discretionary balances	136	130	172
87.00 Total outlays (gross)	1,415	1,565	1,687
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 Federal sources	-65	-62	-56
88.00 Drug enforcement	-86	-89	-89
88.90 Total, offsetting collections (cash)	-151	-151	-145
Net budget authority and outlays:			
89.00 Budget authority	1,303	1,453	1,551
90.00 Outlays	1,264	1,414	1,542

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	1,263	1,410	1,506
90.00 Outlays	1,224	1,371	1,497

The Government is represented in each of the 94 judicial districts by a U.S. Attorney. The U.S. Attorneys prosecute criminal offenses against the United States, represent the Government in civil actions in which the United States is concerned, and initiate proceedings for the collection of fines, penalties, and forfeitures owed to the United States.

WORKLOAD

	2001 actual	2002 est.	2003 est.
Cases:			
Pending beginning of year	162,851	171,652	179,057

General and special funds—Continued

SALARIES AND EXPENSES, UNITED STATES ATTORNEYS—Continued

WORKLOAD—Continued

	2001 actual	2002 est.	2003 est.
Filed:			
Criminal	53,339	55,220	56,241
Civil	79,854	80,381	81,387
Total filed	133,193	135,601	137,628
Terminated:			
Criminal	49,834	51,844	52,845
Civil	74,558	76,352	76,419
Total terminated	124,392	128,196	129,264
Pending end of year	171,652	179,057	187,421
Matters:			
Pending beginning of year	89,516	90,102	91,003
Received:			
Criminal	93,467	96,889	98,709
Civil	89,629	90,218	91,856
Total received	183,096	187,107	190,565
Terminated	182,510	186,206	189,655
Pending end of year	90,102	91,003	91,913

Object Classification (in millions of dollars)

Identification code 15-0322-0-1-752	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	599	690	729
11.3 Other than full-time permanent	56	59	65
11.5 Other personnel compensation	14	15	15
11.8 Special personal services payments	6	6	6
11.9 Total personnel compensation	675	770	815
21.0 Civilian personnel benefits	202	238	256
21.0 Travel and transportation of persons	21	26	27
22.0 Transportation of things	4	4	5
23.2 Rental payments to others	15	4	4
23.3 Communications, utilities, and miscellaneous charges	42	42	44
24.0 Printing and reproduction	5	6	6
25.1 Advisory and assistance services	29	25	25
25.2 Other services	79	66	70
Other purchases of goods and services from Government accounts:			
25.3 Rental payments to GSA	157	183	208
25.3 Other purchases of goods and services from Government accounts	21	27	27
25.4 Operation and maintenance of facilities	9	10	10
25.6 Medical care	1	1	1
25.7 Operation and maintenance of equipment	8	9	9
26.0 Supplies and materials	17	20	22
31.0 Equipment	29	22	22
99.0 Direct obligations	1,314	1,453	1,551
99.0 Reimbursable obligations	151	151	145
99.5 Below reporting threshold	1		
99.9 Total new obligations	1,466	1,604	1,696

Personnel Summary

Identification code 15-0322-0-1-752	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	9,258	10,108	10,526
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	1,041	1,211	1,211

SALARIES AND EXPENSES, FOREIGN CLAIMS SETTLEMENT COMMISSION

For expenses necessary to carry out the activities of the Foreign Claims Settlement Commission, including services as authorized by 5 U.S.C. 3109, \$1,194,000. (Department of Justice Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 15-0100-0-1-153	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 99.5)	1	1	1
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	1	1	1
23.95 Total new obligations	-1	-1	-1
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1	1	1
Change in obligated balances:			
73.10 Total new obligations	1	1	1
73.20 Total outlays (gross)	-1	-1	-1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1	1	1
Net budget authority and outlays:			
89.00 Budget authority	1	1	1
90.00 Outlays	1	1	1

The Foreign Claims Settlement Commission adjudicates the claims of American nationals (individuals and corporations) arising out of the nationalization, expropriation or other taking of their property by foreign governments, pursuant to the International Claims Settlement Act of 1949 and other statutes. In 2003, the Commission will provide technical assistance to the Departments of State and the Treasury and to the public in connection with the 45 international and war claims programs previously completed, including, in particular, the Cuban Claims Program. It also will provide policy recommendations, evaluation of pending claims legislation, and liaison with congressional committees considering such legislation.

Personnel Summary

Identification code 15-0100-0-1-153	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	6	11	11

SALARIES AND EXPENSES, UNITED STATES MARSHALS SERVICE

For necessary expenses of the United States Marshals Service, including the acquisition, lease, maintenance, and operation of vehicles, and the purchase of passenger motor vehicles for police-type use, without regard to the general purchase price limitation for the current fiscal year, **[\$619,429,000]** \$722,193,000; of which not to exceed \$6,000 shall be available for official reception and representation expenses; **[and]** of which not to exceed \$4,000,000 for development, implementation, maintenance and support, and training for an automated prisoner information system shall remain available until expended: *Provided*, That, in addition to reimbursable full-time equivalent workyears available to the United States Marshals Service, not to exceed 4,128 positions and 3,993 full-time equivalent workyears shall be supported from the funds appropriated in this Act for the United States Marshals Service.

In addition, **[and of which not to exceed \$11,058,000]** is for the costs of courthouse security equipment, including furnishings, relocations, and telephone systems and cabling, **[\$14,267,000]** to remain available until expended. (Department of Justice Appropriations Act, 2002; additional authorizing legislation required.)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Salaries and Expenses,

United States Marshals Service”, \$10,200,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38, of which \$5,000,000 shall be for courthouse security equipment.】 (Emergency Supplemental Act, 2001.)

Program and Financing (in millions of dollars)

Identification code 15-0324-0-1-752	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	591	665	722
09.00 Reimbursable program	35	34	33
10.00 Total new obligations	626	699	755
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		1	
22.00 New budget authority (gross)	630	698	755
22.10 Resources available from recoveries of prior year obligations	2		
23.90 Total budgetary resources available for obligation	632	699	755
23.95 Total new obligations	-626	-699	-755
23.98 Unobligated balance expiring or withdrawn	-5		
24.40 Unobligated balance carried forward, end of year	1		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	593	665	722
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
41.00 Transferred to other accounts	-7		
42.00 Transferred from other accounts	2		
43.00 Appropriation (total discretionary)	587	665	722
50.00 Reappropriation	7		
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	36	33	33
70.00 Total new budget authority (gross)	630	698	755
Change in obligated balances:			
72.40 Obligated balance, start of year	67	58	52
73.10 Total new obligations	626	699	755
73.20 Total outlays (gross)	-627	-705	-748
73.40 Adjustments in expired accounts (net)	-6		
73.45 Recoveries of prior year obligations	-2		
74.40 Obligated balance, end of year	58	52	59
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	591	634	685
86.93 Outlays from discretionary balances	36	71	64
87.00 Total outlays (gross)	627	705	748
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 Federal sources	-31	-28	-28
88.00 Federal funds (Drug enforcement)	-2	-2	-2
88.40 Non-Federal sources	-3	-3	-3
88.90 Total, offsetting collections (cash)	-36	-33	-33
Net budget authority and outlays:			
89.00 Budget authority	594	665	722
90.00 Outlays	592	672	715
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	574	644	700
90.00 Outlays	572	651	693

The Federal Government is represented in each of the 94 judicial districts by a U.S. Marshal. The primary missions of the U.S. Marshals Service are protection of the Federal judiciary, protection of witnesses, execution of warrants and court orders, and custody and transportation of unsentenced prisoners. It is the principal support force in the Federal

judicial system and an integral part of the Federal law enforcement community.

Reimbursable program.—Federal funds in 2002 are derived primarily from the Administrative Office of the U.S. Courts for the court security program and inspectors for court security, the Assets Forfeiture Fund for seized assets management, the Organized Crime Drug Enforcement Task Force Program for multi-agency drug investigations, the U.S. Air Force for the Intercontinental Ballistic Missile Program for transportation security services provided by the U.S. Marshals Service, and the Office of National Drug Control Policy and the Centers for Disease Control for security services. Non-Federal funds are derived from State and local governments for witness protection and for the transportation of prisoners pursuant to State writs and from fees collected from service of civil process and sales associated with judicial orders.

WORKLOAD

	2001 actual	2002 est.	2003 est.
Fugitive felon warrants received (Class 1)	32,072	33,060	35,705
Fugitive felon warrants cleared (Class 1)	30,370	32,712	35,002
Witness security program—new witnesses	100	165	182
Total program principal witnesses	7,142	7,307	7,489
Prisoners received	231,941	236,348	240,839
Property Disposed	26,946	28,000	28,000

Object Classification (in millions of dollars)

Identification code 15-0324-0-1-752	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	215	215	230
11.3 Other than full-time permanent	9	10	10
11.5 Other personnel compensation	40	66	69
11.8 Special personal services payments	9	9	9
11.9 Total personnel compensation	273	300	318
12.1 Civilian personnel benefits	106	119	127
21.0 Travel and transportation of persons	22	27	28
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	84	105	129
23.2 Rental payments to others	2	2	4
23.3 Communications, utilities, and miscellaneous charges	18	20	21
24.0 Printing and reproduction		1	1
25.2 Other services	48	61	55
26.0 Supplies and materials	11	9	11
31.0 Equipment	25	19	26
32.0 Land and structures	1	1	1
99.0 Direct obligations	591	665	722
99.0 Reimbursable obligations	35	34	33
99.9 Total new obligations	626	699	755

Personnel Summary

Identification code 15-0324-0-1-752	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	3,723	3,992	4,171
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	170	234	337

CONSTRUCTION

For planning, constructing, renovating, equipping, and maintaining United States Marshals Service prisoner-holding space in United States courthouses and Federal buildings, including the renovation and expansion of prisoner movement areas, elevators, and sallyports, [\$15,000,000] \$15,153,000, to remain available until expended. (Department of Justice Appropriations Act, 2002.)

【For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for “Construction”, \$9,125,000, to remain available until expended, to be obligated from amounts

General and special funds—Continued

CONSTRUCTION—Continued

made available in Public Law 107–38.] (*Emergency Supplemental Act, 2001.*)

Program and Financing (in millions of dollars)

Identification code 15–0133–0–1–751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program: Construction	18	24	15
10.00 Total new obligations	18	24	15
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	18	24	15
23.95 Total new obligations	–18	–24	–15
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	18	24	15
Change in obligated balances:			
72.40 Obligated balance, start of year	12	26	36
73.10 Total new obligations	18	24	15
73.20 Total outlays (gross)	–4	–15	–25
74.40 Obligated balance, end of year	26	36	26
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2	3	2
86.93 Outlays from discretionary balances	2	12	23
87.00 Total outlays (gross)	4	15	25
Net budget authority and outlays:			
89.00 Budget authority	18	24	15
90.00 Outlays	4	15	25

These funds will be used for the renovation of U.S. Marshals Service prisoner-holding cells and support space in U.S. courthouses and Federal buildings. Funds will be used to expand prisoner movement areas, construct cell blocks, renovate support space, and build prisoner elevators in existing courthouses.

Object Classification (in millions of dollars)

Identification code 15–0133–0–1–751	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	1	1	1
25.2 Other services	17	23	14
99.9 Total new obligations	18	24	15

Personnel Summary

Identification code 15–0133–0–1–751	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	9	9	9

FEDERAL PRISONER DETENTION

[For expenses, related to United States prisoners in the custody of the United States Marshals Service, but not including expenses otherwise provided for in appropriations available to the Attorney General, \$706,182,000, to remain available until expended.] (*Department of Justice Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 15–1020–0–1–752	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program: Care of U.S. prisoners in non-Federal institutions	618	709
09.01 Reimbursable program	24	50
10.00 Total new obligations	642	759

Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	8	3
22.00 New budget authority (gross)	625	756
22.10 Resources available from recoveries of prior year obligations	10
23.90 Total budgetary resources available for obligation	643	759
23.95 Total new obligations	–642	–759
24.40 Unobligated balance carried forward, end of year	3

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	597	706
40.35 Appropriation rescinded	–1
42.00 Transferred from other accounts	5
43.00 Appropriation (total discretionary)	601	706
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	24	50
70.00 Total new budget authority (gross)	625	756

Change in obligated balances:

72.40 Obligated balance, start of year	134	153	212
73.10 Total new obligations	642	759
73.20 Total outlays (gross)	–612	–700	–212
73.45 Recoveries of prior year obligations	–10
74.40 Obligated balance, end of year	153	212

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	412	474
86.93 Outlays from discretionary balances	200	226	212
87.00 Total outlays (gross)	612	700	212

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	–24	–50

Net budget authority and outlays:

89.00 Budget authority	601	706
90.00 Outlays	588	650	212

Note.—Excludes \$763 million in budget authority in 2003 for activities transferred to: Department of Justice, Detention Trustee. Comparable amounts for 2001 (\$596 million) and 2002 (\$706 million) are included above.

Care of U.S. prisoners in non-Federal institutions.—Under this program, the U.S. Marshals Service contracts with State and local jails to board Federal prisoners and detainees for short periods of time. These periods of confinement occur before and during a trial and while awaiting transfer to Federal institutions after conviction. Requested resources will cover the cost of jail days, medical costs, and medical guard services. In 2003, this account is proposed to be funded and managed by the Department of Justice Detention Trustee.

Object Classification (in millions of dollars)

Identification code 15–1020–0–1–752	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.8 Personnel compensation: Special personal services payments	10	12
25.2 Other services	5	8
25.6 Medical care	36	46
25.8 Subsistence and support of persons	567	643
99.0 Direct obligations	618	709
99.0 Reimbursable obligations	24	50
99.9 Total new obligations	642	759

FEES AND EXPENSES OF WITNESSES

For expenses, mileage, compensation, and per diems of witnesses, for expenses of contracts for the procurement and supervision of expert witnesses, for private counsel expenses, and for per diems in lieu of subsistence, as authorized by law, including advances, \$156,145,000, to remain available until expended; of which not to exceed \$6,000,000 may be made available for planning, construction, renovations, maintenance, remodeling, and repair of buildings, and the purchase of equipment incident thereto, for protected witness

safesites; of which not to exceed \$1,000,000 may be made available for the purchase and maintenance of armored vehicles for transportation of protected witnesses; and of which not to exceed \$5,000,000 may be made available for the purchase, installation, and maintenance of secure telecommunications equipment and a secure automated information network to store and retrieve the identities and locations of protected witnesses. (*Department of Justice Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 15-0311-0-1-752	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Fees and expenses of witnesses	105	124	121
00.02 Protection of witnesses	27	27	27
00.03 Private counsel	5	5	5
00.04 D.C. court informant protection	1	1	1
00.05 Alternative Dispute Resolution	2	2	2
10.00 Total new obligations	140	159	156
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	16	3	
22.00 New budget authority (gross)	126	156	156
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	143	159	156
23.95 Total new obligations	-140	-159	-156
24.40 Unobligated balance carried forward, end of year	3		
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	126	156	156
Change in obligated balances:			
72.40 Obligated balance, start of year	70	99	80
73.10 Total new obligations	140	159	156
73.20 Total outlays (gross)	-110	-178	-165
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	99	80	71
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	88	109	109
86.98 Outlays from mandatory balances	22	69	56
87.00 Total outlays (gross)	110	178	165
Net budget authority and outlays:			
89.00 Budget authority	126	156	156
90.00 Outlays	110	178	165

This appropriation is used to pay fees and expenses to witnesses who appear on behalf of the Government in litigation in which the United States is a party. Factors over which the Department of Justice has little, or no, control affect the costs incurred. The U.S. Attorneys, the U.S. Marshals, and the Department's six litigating divisions are served by this appropriation.

Fees and expenses of witnesses.—Pays the fees and expenses associated with the preparation and presentation of testimony on behalf of the United States for fact witnesses, who testify as to events or facts about which they have personal knowledge, and for expert witnesses, who provide technical or scientific testimony. This program also pays the fees of physicians and psychiatrists who examine accused persons upon order of the court to determine their mental competency.

Protection of witnesses.—Pays subsistence and other costs to ensure the safety of Government witnesses whose testimony on behalf of the United States places them or their families in jeopardy.

Victim compensation fund.—Pays restitution to any victim of a crime committed by a protected witness who causes or threatens death or serious bodily injury.

Private counsel.—Pays private counsel retained to represent Government employees who are sued, charged, or subpoenaed for actions taken while performing their official duties (private counsel expenditures may be authorized for congressional

testimony as well as for litigation in instances where government counsel is precluded from representing the employee or private counsel is otherwise appropriate);

D.C. Court Informant Protection.—Pays for the short term protection and temporary relocation of informants for the District of Columbia Superior Court.

Alternative Dispute Resolution.—Pays the costs of providing Alternative Dispute Resolution (ADR) services in instances wherein the Department has taken the initiative to use such services and in those matters wherein the courts have directed the parties to attempt a settlement using mediation or some other ADR process.

Reimbursable program.—Receives reimbursement from States and localities to cover the costs of maintaining those State and local organized crime witnesses and their families who have been accepted into the witness protection program.

Object Classification (in millions of dollars)

Identification code 15-0311-0-1-752	2001 actual	2002 est.	2003 est.
Personnel compensation:			
Special personal services payments:			
11.8 Fees and expenses of witnesses	95	110	110
11.8 Fees, protection of witnesses	20	23	23
11.9 Total personnel compensation	115	133	133
Travel and transportation of persons:			
21.0 Per diem in lieu of subsistence	3	3	3
21.0 Mileage	5	5	5
21.0 Other	10	12	10
25.2 Other services	7	6	5
99.9 Total new obligations	140	159	156

SALARIES AND EXPENSES, COMMUNITY RELATIONS SERVICE

For necessary expenses of the Community Relations Service, **[\$9,269,000] \$9,732,000** and, in addition, up to \$1,000,000 of funds made available to the Department of Justice in this Act may be transferred by the Attorney General to this account: *Provided*, That notwithstanding any other provision of law, upon a determination by the Attorney General that emergent circumstances require additional funding for conflict [prevention and resolution] *resolution and violence prevention* activities of the Community Relations Service, the Attorney General may transfer such amounts to the Community Relations Service, from available appropriations for the current fiscal year for the Department of Justice, as may be necessary to respond to such circumstances: *Provided further*, That any transfer pursuant to the previous proviso shall be treated as a reprogramming under section 605 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section. (*Department of Justice Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 15-0500-0-1-752	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program Activity	8	9	9
09.00 Reimbursable program	1	1	
10.00 Total new obligations	9	10	10
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	9	10	10
23.95 Total new obligations	-9	-10	-10
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	8	9	10
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1	1	
70.00 Total new budget authority (gross)	9	10	10
Change in obligated balances:			
72.40 Obligated balance, start of year	1		1

General and special funds—Continued

SALARIES AND EXPENSES, COMMUNITY RELATIONS SERVICE—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 15-0500-0-1-752	2001 actual	2002 est.	2003 est.
73.10 Total new obligations	9	10	10
73.20 Total outlays (gross)	-10	-9	-10
74.40 Obligated balance, end of year		1	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	8	9	9
86.93 Outlays from discretionary balances	2	1	1
87.00 Total outlays (gross)	10	9	10
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-1	-1	
Net budget authority and outlays:			
89.00 Budget authority	8	9	10
90.00 Outlays	9	8	10

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	8	9	9
90.00 Outlays	9	8	9

Conflict resolution and prevention activities program.—The Community Relations Service provides assistance to state and local communities in the reduction of violence and resolution of disputes, disagreements, and difficulties relating to perceived discriminatory practices based on race, color, or national origin.

Object Classification (in millions of dollars)

Identification code 15-0500-0-1-752	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	5	5	5
12.1 Civilian personnel benefits	1	1	2
21.0 Travel and transportation of persons	1	1	1
25.3 Other purchases of goods and services from Government accounts		1	1
99.0 Direct obligations	7	8	9
99.0 Reimbursable obligations	2	2	1
99.9 Total new obligations	9	10	10

Personnel Summary

Identification code 15-0500-0-1-752	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	52	56	56

INDEPENDENT COUNSEL

Program and Financing (in millions of dollars)

Identification code 15-0327-0-1-752	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)	14	10	10
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	18	10	10
23.95 Total new obligations	-14	-10	-10
23.98 Unobligated balance expiring or withdrawn	-3		

New budget authority (gross), detail:

Mandatory:			
60.00 Appropriation	18	10	10

Change in obligated balances:

72.40 Obligated balance, start of year	5		
73.10 Total new obligations	14	10	10
73.20 Total outlays (gross)	-15	-10	-10
73.40 Adjustments in expired accounts (net)	-5		

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	10	10	10
86.98 Outlays from mandatory balances	5		
87.00 Total outlays (gross)	15	10	10

Net budget authority and outlays:

89.00 Budget authority	18	10	10
90.00 Outlays	15	10	10

A permanent appropriation finances the independent counsel. Pursuant to 28 U.S.C. 591 et seq., as amended, independent counsel were appointed to investigate allegations that senior Executive branch officials violated Federal law. A permanent appropriation funds the continuation of investigations, and the investigations conducted under independent counsel legislation enacted in June 1994.

SEPTEMBER 11TH VICTIM COMPENSATION (GENERAL FUND)

Program and Financing (in millions of dollars)

Identification code 15-0340-0-1-752	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program Activity		1,080	2,700
10.00 Total new obligations (object class 42.0)		1,080	2,700
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		1,080	2,700
23.95 Total new obligations		-1,080	-2,700

New budget authority (gross), detail:

Mandatory:			
60.00 Appropriation		1,080	2,700

Change in obligated balances:

73.10 Total new obligations		1,080	2,700
73.20 Total outlays (gross)		-1,080	-2,700

Outlays (gross), detail:

86.97 Outlays from new mandatory authority		1,080	2,700
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Net budget authority and outlays:

89.00 Budget authority		1,080	2,700
90.00 Outlays		1,080	2,700

The Air Transportation Safety and System Stabilization Act made available such sums as are necessary to compensate victims of the September 11, 2001 attacks. Payments will be made for valid claims on behalf of people who died or were injured during the attacks. Funds to cover the cost of administering the program are requested within the Civil Division.

UNITED STATES TRUSTEE SYSTEM FUND

For necessary expenses of the United States Trustee Program, as authorized by 28 U.S.C. 589a(a), **[\$147,000,000]** \$172,312,000, to remain available until expended and to be derived from the United States Trustee System Fund: *Provided*, That, notwithstanding any other provision of law, deposits to the Fund shall be available in such amounts as may be necessary to pay refunds due depositors: *Provided further*, That, notwithstanding any other provision of law,

[\$147,000,000] \$172,312,000 of offsetting collections pursuant to 28 U.S.C. 589a(b) shall be retained and used for necessary expenses in this appropriation and remain available until expended: *Provided further*, That the sum herein appropriated from the Fund shall be reduced as such offsetting collections are received during fiscal year [2002] 2003, so as to result in a final fiscal year [2002] 2003 appropriation from the Fund estimated at \$0. (*Department of Justice Appropriations Act, 2002; additional authorizing legislation required.*)

Unavailable Collections (in millions of dollars)

Identification code 15-5073-0-2-752	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	124	149	177
Receipts:			
02.00 Fees for bankruptcy oversight, U.S. trustees system	144	169	176
02.40 Earnings on investments	7	6	7
02.99 Total receipts and collections	151	175	183
04.00 Total: Balances and collections	275	324	360
Appropriations:			
05.00 United States trustee system fund	-126	-147	-173
07.99 Balance, end of year	149	177	187

Program and Financing (in millions of dollars)

Identification code 15-5073-0-2-752	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.00 Reimbursable program	130	151	173
10.00 Total new obligations	130	151	173
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	1	1
22.00 New budget authority (gross)	130	151	173
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	133	152	174
23.95 Total new obligations	-130	-151	-173
24.40 Unobligated balance carried forward, end of year	1	1	1
New budget authority (gross), detail:			
Discretionary:			
40.20 Appropriation (special fund)	130	151	173
Change in obligated balances:			
72.40 Obligated balance, start of year	-6	10	23
73.10 Total new obligations	130	151	173
73.20 Total outlays (gross)	-113	-138	-165
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	10	23	31
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	103	129	148
86.93 Outlays from discretionary balances	10	9	17
87.00 Total outlays (gross)	113	138	165
Net budget authority and outlays:			
89.00 Budget authority	130	151	173
90.00 Outlays	112	138	165
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value	117	141	150
92.02 Total investments, end of year: Federal securities:			
Par value	141	150	160

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	126	147	168
90.00 Outlays	109	134	160

United States trustee system fund.—The United States trustees supervise the administration of bankruptcy cases and

private trustees in the Federal Bankruptcy Courts. The Bankruptcy Judges, U.S. Trustees and Family Farmer Bankruptcy Act of 1986 (Public Law 99-554) expanded the pilot trustee program to a twenty-one region, nationwide program encompassing 88 judicial districts. This program collects user fees assessed against debtors, which offset the annual appropriation.

BANKRUPTCY MATTERS¹

	2001 actual	2002 est.	2003 est.
Chapter 7 cases filed	982,934	1,270,000	1,400,000
Chapter 11 cases filed	10,225	12,000	12,000
Chapter 12 cases filed	366	1,000	1,000
Chapter 13 cases filed	374,133	405,000	444,000
Total number of new cases filed	1,367,658	1,688,000	1,857,000

¹ Does not include cases dismissed or converted to other chapters.

Object Classification (in millions of dollars)

Identification code 15-5073-0-2-752	2001 actual	2002 est.	2003 est.
99.0 Reimbursable obligations: Reimbursable obligations	130	151	173
99.9 Total new obligations	130	151	173

Personnel Summary

Identification code 15-5073-0-2-752	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	999	1,099	1,201

ASSETS FORFEITURE FUND

[(RESCISSION)]

For expenses authorized by 28 U.S.C. 524(c)(1)(A)(ii), (B), (F), and (G), as amended, \$22,949,000, to be derived from the Department of Justice Assets Forfeiture Fund. (*Department of Justice Appropriations Act, 2002.*)

[Of the unobligated balances available under this heading, \$40,000,000 are rescinded.] (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002.*)

Unavailable Collections (in millions of dollars)

Identification code 15-5042-0-2-752	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	115	113	153
Receipts:			
02.00 Forfeited cash and proceeds from the sale of forfeited property	386	370	360
02.40 Interest and profit on investment	52	30	30
02.80 Assets forfeiture fund, offsetting collections	5	3	2
02.99 Total receipts and collections	443	403	392
04.00 Total: Balances and collections	558	516	545
Appropriations:			
05.00 Assets forfeiture fund	-445	-363	-432
05.99 Total appropriations	-445	-363	-432
07.99 Balance, end of year	113	153	113

Program and Financing (in millions of dollars)

Identification code 15-5042-0-2-752	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	539	545	435
09.01 Reimbursable program	5	3	3
10.00 Total new obligations	544	548	438
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year:			
other	314	294	211

General and special funds—Continued

ASSETS FORFEITURE FUND—Continued

[(RESCISSION)]—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 15-5042-0-2-752	2001 actual	2002 est.	2003 est.
21.40 Unobligated balance carried forward, start of year, super surplus	97	60
21.99 Total unobligated balance carried forward, start of year	411	354	211
22.00 New budget authority (gross)	446	365	433
22.10 Resources available from recoveries of prior year obligations	41	40
23.90 Total budgetary resources available for obligation	898	759	644
23.95 Total new obligations	-544	-548	-438
24.40 Unobligated balance carried forward, end of year: other	294	211	206
24.40 Unobligated balance carried forward, end of year, super surplus	60
24.99 Total unobligated balance carried forward, end of year	354	211	206
New budget authority (gross), detail:			
Discretionary:			
40.20 Appropriation (special fund)	23	23	23
Mandatory:			
60.20 Appropriation (special fund)	417	377	367
60.28 Appropriation (unavailable balances)	40
60.45 Portion precluded from obligation	-40
62.50 Appropriation (total mandatory)	417	337	407
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	5	3	2
68.10 Change in uncollected customer payments from Federal sources (unexpired)	1	2	1
68.90 Spending authority from offsetting collections (total discretionary)	6	5	3
70.00 Total new budget authority (gross)	446	365	433
Change in obligated balances:			
72.40 Obligated balance, start of year	202	254	184
73.10 Total new obligations	544	548	438
73.20 Total outlays (gross)	-450	-576	-456
73.45 Recoveries of prior year obligations	-41	-40
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-1	-2	-1
74.40 Obligated balance, end of year	254	184	165
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	12	14	12
86.93 Outlays from discretionary balances	13	7	16
86.97 Outlays from new mandatory authority	354	202	244
86.98 Outlays from mandatory balances	71	353	184
87.00 Total outlays (gross)	450	576	456
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources Against gross budget authority only:	-5	-3	-2
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-1	-2	-1
Net budget authority and outlays:			
89.00 Budget authority	440	360	430
90.00 Outlays	443	573	454
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value	606	577	500
92.02 Total investments, end of year: Federal securities: Par value	577	500	400

The Comprehensive Crime Control Act of 1984 established the Assets Forfeiture Fund, into which forfeited cash and

the proceeds of sales of forfeited property are deposited. Authorities of the fund have been amended by various public laws enacted since 1984. Under current law, authority to use the fund for certain investigative expenses shall be specified in annual appropriation acts. Expenses necessary to seize, detain, inventory, safeguard, maintain, advertise or sell property under seizure are funded through a permanent, indefinite appropriation. In addition, beginning in 1993, other general expenses of managing and operating the asset forfeiture program are paid from the permanent, indefinite portion of the fund. Once all expenses are covered, and statutorily mandated transfers are accomplished, the balance is maintained to meet ongoing expenses of the program. Excess unobligated balances may also be allocated by the Attorney General in accordance with 28 U.S.C. 524(c)(8)(E).

Object Classification (in millions of dollars)

Identification code 15-5042-0-2-752	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.8 Personnel compensation: Special personal services payments	1	2	2
21.0 Travel and transportation of persons	4	6	6
22.0 Transportation of things	1	2	2
23.2 Rental payments to others	1	1
23.3 Communications, utilities, and miscellaneous charges	3	5	5
24.0 Printing and reproduction	2	2	2
25.1 Advisory and assistance services	13	11	11
25.2 Other services	496	486	378
Other purchases of goods and services from Government accounts:			
25.3 Other purchases of goods and services from Government accounts	5	15	15
25.3 Rental payments to GSA	2	2	2
25.4 Operation and maintenance of facilities	6	4	4
25.7 Operation and maintenance of equipment	5	8	6
31.0 Equipment	1	1	1
99.0 Direct obligations	539	545	435
99.0 Reimbursable obligations	5	3	3
99.9 Total new obligations	544	548	438

**JUSTICE PRISONER AND ALIEN TRANSPORTATION SYSTEM FUND,
UNITED STATES MARSHALS SERVICE**

Program and Financing (in millions of dollars)

Identification code 15-4575-0-4-752	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.00 Reimbursable program	91	83	77
10.00 Total new obligations	91	83	77
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4	14	13
22.00 New budget authority (gross)	98	81	79
22.10 Resources available from recoveries of prior year obligations	5
23.90 Total budgetary resources available for obligation	107	95	92
23.95 Total new obligations	-91	-83	-77
24.40 Unobligated balance carried forward, end of year	14	13	15
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	14
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	84	81	79
70.00 Total new budget authority (gross)	98	81	79
Change in obligated balances:			
72.40 Obligated balance, start of year	16	16	26
73.10 Total new obligations	91	83	77
73.20 Total outlays (gross)	-87	-73	-79
73.45 Recoveries of prior year obligations	-5
74.40 Obligated balance, end of year	16	26	24

Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	88	73	71
86.93	Outlays from discretionary balances			8
87.00	Total outlays (gross)	87	73	79
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-84	-81	-79
Net budget authority and outlays:				
89.00	Budget authority	14		
90.00	Outlays	6	-8	

The Justice Prisoner and Alien Transportation System (JPATS) is responsible for transporting by air all Federal prisoners and detainees, including sentenced, pretrial, and illegal aliens, whether in the custody of the U.S. Marshals Service, the Bureau of Prisons, or the Immigration and Naturalization Service. JPATS also provides prisoner transportation to the Department of Defense, and State and local law enforcement, on a full cost recovery reimbursable and space available basis. JPATS transports prisoners and detainees on a full cost recovery reimbursable basis with participating Executive Departments and agencies without sacrificing the safety of the public, Federal employees, or those in custody. Proceeds from the disposal of aircraft will be deposited into the Fund. In FY 2003, the Detention Trustee will contract for a business process reengineering study to examine the advisability, feasibility and cost-effectiveness of contracting out JPATS.

Object Classification (in millions of dollars)				
Identification code 15-4575-0-4-752	2001 actual	2002 est.	2003 est.	
Personnel compensation:				
11.1	Full-time permanent	8	10	10
11.5	Other personnel compensation	1	1	1
11.8	Special personal services payments	4	5	5
11.9	Total personnel compensation	13	16	16
12.1	Civilian personnel benefits	2	3	3
21.0	Travel and transportation of persons	20	23	28
23.2	Rental payments to others	2	2	2
25.2	Other services	20	20	8
26.0	Supplies and materials	18	19	20
31.0	Equipment	16		
99.0	Reimbursable obligations	91	83	77
99.9	Total new obligations	91	83	77

Personnel Summary				
Identification code 15-4575-0-4-752	2001 actual	2002 est.	2003 est.	
2001	Total compensable workyears: Full-time equivalent employment	116	183	183

23.95	Total new obligations	-2	-2	-2
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	2	2	2
Change in obligated balances:				
72.40	Obligated balance, start of year	1		
73.10	Total new obligations	2	2	2
73.20	Total outlays (gross)	-2	-2	-2
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	2	2	2
Net budget authority and outlays:				
89.00	Budget authority	2	2	2
90.00	Outlays	2	2	2

This program processes claims under the Radiation Exposure Compensation Act, as amended, which authorizes payments to individuals exposed to radiation as a result of atmospheric nuclear tests or uranium mining, milling or transport.

PAYMENT TO RADIATION EXPOSURE COMPENSATION TRUST FUND

Program and Financing (in millions of dollars)

Identification code 15-0333-0-1-054	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
10.00	Total new obligations (object class 25.2)	127	172	143
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	127	172	143
23.95	Total new obligations	-127	-172	-143
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	127		
Mandatory:				
60.00	Appropriation		172	143
70.00	Total new budget authority (gross)	127	172	143
Change in obligated balances:				
73.10	Total new obligations	127	172	143
73.20	Total outlays (gross)	-127	-172	-143
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	127		
86.97	Outlays from new mandatory authority		172	143
87.00	Total outlays (gross)	127	172	143
Net budget authority and outlays:				
89.00	Budget authority	127	172	143
90.00	Outlays	127	172	143

RADIATION EXPOSURE COMPENSATION

Federal Funds

ADMINISTRATIVE EXPENSES

For necessary administrative expenses in accordance with the Radiation Exposure Compensation Act, \$1,996,000. (*Department of Justice Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 15-0105-0-1-054	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
10.00	Total new obligations (object class 25.2)	2	2	2
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	2	2	2

Trust Funds

RADIATION EXPOSURE COMPENSATION TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 15-8116-0-7-054	2001 actual	2002 est.	2003 est.	
01.99	Balance, start of year		16	16
Receipts:				
02.40	Payment from the general fund	127	172	143
04.00	Total: Balances and collections	127	188	159
Appropriations:				
05.00	Radiation exposure compensation trust fund	-127	-172	-143
05.99	Total appropriations	-127	-172	-143
06.10	Unobligated balance returned to receipts	16		
07.99	Balance, end of year	16	16	16

RADIATION EXPOSURE COMPENSATION TRUST FUND—Continued

Program and Financing (in millions of dollars)

Identification code 15-8116-0-7-054	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	111	172	143
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	127	172	143
23.95 Total new obligations	-111	-172	-143
23.98 Unobligated balance expiring or withdrawn	-16		
New budget authority (gross), detail:			
Discretionary:			
40.26 Appropriation (trust fund)	127		
Mandatory:			
60.26 Appropriation (trust fund)		172	143
70.00 Total new budget authority (gross)	127	172	143
Change in obligated balances:			
72.40 Obligated balance, start of year		66	86
73.10 Total new obligations	111	172	143
73.20 Total outlays (gross)	-45	-152	-172
74.40 Obligated balance, end of year	66	86	57
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	11		
86.93 Outlays from discretionary balances	34	49	17
86.97 Outlays from new mandatory authority		103	86
86.98 Outlays from mandatory balances			69
87.00 Total outlays (gross)	45	152	172
Net budget authority and outlays:			
89.00 Budget authority	127	172	143
90.00 Outlays	45	152	172

The Radiation Exposure Compensation Act, as amended, authorizes payments to individuals exposed to radiation as a result of atmospheric nuclear tests or uranium mining, milling or transport.

WORKLOAD

	2001 actual	2002 est.	2003 est.	2004 est.
Claims and Appeals:				
Pending, beginning of year	727	2,927	2,896	2,501
Filed	3,828	3,000	2,225	1,800
Approved	1,571	2,531	2,120	1,586
Denied	57	500	500	700
Pending, end of year	2,927	2,896	2,501	2,015

INTERAGENCY LAW ENFORCEMENT

Federal Funds

General and special funds:

INTERAGENCY CRIME AND DRUG ENFORCEMENT

For necessary expenses for the detection, investigation, and prosecution of individuals involved in organized crime drug trafficking not otherwise provided for, to include inter-governmental agreements with State and local law enforcement agencies engaged in the investigation and prosecution of individuals involved in organized crime drug trafficking, **[\$338,577,000] \$362,131,000**, of which \$50,000,000 shall remain available until expended: *Provided*, That any amounts obligated from appropriations under this heading may be used under authorities available to the organizations reimbursed from this appropriation: *Provided further*, That any unobligated balances remaining available at the end of the fiscal year shall revert to the Attorney General for reallocation among participating organizations in succeeding fiscal years, subject to the reprogramming procedures set forth in section 605 of this Act. (*Department of Justice Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 15-0323-0-1-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Law enforcement	223	229	241
00.02 Drug intelligence	16	16	16
00.03 Prosecution	88	91	95
00.04 Administrative support	2	2	10
10.00 Total new obligations (object class 25.2)	329	338	362
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	10	6	7
22.00 New budget authority (gross)	325	339	362
23.90 Total budgetary resources available for obligation	335	345	369
23.95 Total new obligations	-329	-338	-362
24.40 Unobligated balance carried forward, end of year	6	7	8
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	326	339	362
40.35 Appropriation rescinded	-1		
43.00 Appropriation (total discretionary)	325	339	362
Change in obligated balances:			
72.40 Obligated balance, start of year	68	41	44
73.10 Total new obligations	329	338	362
73.20 Total outlays (gross)	-356	-335	-357
74.40 Obligated balance, end of year	41	44	49
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	244	254	272
86.93 Outlays from discretionary balances	112	81	85
87.00 Total outlays (gross)	356	335	357
Net budget authority and outlays:			
89.00 Budget authority	325	339	362
90.00 Outlays	356	335	357

This appropriation provides reimbursements to agencies within the Department of Justice that participate in the Organized Crime Drug Enforcement Task Force (OCDETF) Program. The OCDETF Program consists of a nationwide structure of 9 regional task forces that combine the resources and expertise of its 11 member Federal agencies, in cooperation with State and local investigators and prosecutors, to target and destroy major narcotic trafficking and money-laundering organizations. The task forces perform the following activities:

1. *Law Enforcement.*—This activity includes the resources for direct investigative and support activities of the task forces, focusing on the disruption of drug trafficking controlled by various organized crime enterprises. Organizations participating under the law enforcement function are the Drug Enforcement Administration, Federal Bureau of Investigation, U.S. Customs Service, Internal Revenue Service, Bureau of Alcohol, Tobacco and Firearms, U.S. Coast Guard, U.S. Marshals Service, and the Immigration and Naturalization Service.

2. *Drug Intelligence.*—This activity includes the resources to maintain Regional Drug Intelligence Squads (RDIS) in selected regions. The squads gather and disseminate raw data for strategic, operational and tactical intelligence purposes and provide regional intelligence linkage to the National Drug Intelligence Center (NDIC) and member agencies' headquarters.

3. *Prosecution.*—This activity includes the resources for the prosecution of cases generated through the investigative efforts of task force agents. Litigation efforts are targeted selectively on the criminal leadership involved in drug trafficking and are intended to dissolve organized illicit enterprises. This includes activities designed to secure the seizure and forfeiture of the assets of these enterprises. Participating agen-

cies are the U.S. Attorneys, Criminal Division and Tax Division.

4. *Administrative Support.*—This activity includes the resources for a Washington-based administrative staff in the Criminal Division, which provides policy guidance, central coordination, and administrative support to the headquarters of the 11 member Federal agencies and the 9 regional task forces. The administrative staff is also responsible for financial management, records management, and maintenance of a case tracking system.

The planned distribution of obligations for 2002 and 2003 among the participating Federal agencies, as well as the actual distribution for 2001, is as follows:

[In millions of dollars]			
Department of Justice:	2001 actual	2002 est.	2003 est.
Drug Enforcement Administration	107.9	111.4	120.5
Federal Bureau of Investigation	112.2	115.5	118.3
Immigration and Naturalization Service	15.8	16.0	16.3
U.S. Marshals Service	2.9	2.0	2.1
U.S. Attorneys	86.4	89.6	92.0
Criminal Division	2.7	3.1	11.9
Tax Division	1.4	1.0	1.0
Total	329.3	338.6	362.1

WORKLOAD

	2001 actual	2002 est.
Investigations	1,331	1,200
Title III's and Extensions	917	975

The OCDETF program has refocused efforts to target major drug trafficking organizations by intensive investigative coordination and increased intelligence sharing. The total number of investigations is expected to decrease as priority is placed on more sophisticated national targets, which will be more workload and resource intensive in nature.

FEDERAL BUREAU OF INVESTIGATION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Federal Bureau of Investigation for detection, investigation, and prosecution of crimes against the United States; including purchase for police-type use of not to exceed [1,354] 1,576 passenger motor vehicles, of which [1,190] 1,085 will be for replacement only, without regard to the general purchase price limitation for the current fiscal year, and hire of passenger motor vehicles; acquisition, lease, maintenance, and operation of aircraft; and not to exceed \$70,000 to meet unforeseen emergencies of a confidential character, to be expended under the direction of, and to be accounted for solely under the certificate of, the Attorney General, [\$3,491,073,000] \$4,322,662,000; of which not to exceed [\$50,000,000] \$65,000,000 for automated data processing and telecommunications and technical investigative equipment and not to exceed \$1,000,000 for undercover operations shall remain available until September 30, [2003] 2004; of which not less than [\$459,243,000] \$472,749,000 shall be for counterterrorism investigations, foreign counterintelligence, and other activities related to our national security; of which not to exceed \$10,000,000 is authorized to be made available for making advances for expenses arising out of contractual or reimbursable agreements with State and local law enforcement agencies while engaged in cooperative activities related to violent crime, terrorism, organized crime, and drug investigations: *Provided*, That not to exceed \$45,000 shall be available for official reception and representation expenses: *Provided further*, That of the amount made available under this heading, \$53,000 shall be available only to reimburse Acadian Ambulance & Air Med Services for costs incurred during the December 1999 prison riot in St. Martin Parish Correctional Center, St. Martin Parish, Louisiana: *Provided further*, That, in addition to reimbursable full-time equivalent workyears available to the Federal Bureau of Investigation, not to exceed 24,935 positions and 24,488 full-time equivalent workyears shall be supported from the funds appropriated in this Act for the Federal Bureau of Investigation]. (*Department of Justice Appropriations Act, 2002; additional authorizing legislation required.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Salaries and Expenses",

\$745,000,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 15-0200-0-1-999	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Operating expenses:			
Direct programs:			
00.01 Criminal, security, and other investigations	2,160	2,574	2,669
00.02 Law enforcement support	723	1,047	909
00.03 Program direction	245	219	235
00.91 Total operating expenses	3,128	3,840	3,813
Capital investments:			
02.01 Criminal, security and other investigations	129	296	262
02.02 Law enforcement support	100	299	240
02.03 Program direction	2	3	8
02.91 Total capital investment	231	598	510
03.00 Total	3,359	4,438	4,323
09.00 Reimbursable program	642	579	560
10.00 Total new obligations	4,001	5,017	4,883

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	82	46
22.00 New budget authority (gross)	3,988	4,931	4,883
22.10 Resources available from recoveries of prior year obligations	18
22.22 Unobligated balance transferred from other accounts	40
23.90 Total budgetary resources available for obligation	4,088	5,017	4,883
23.95 Total new obligations	-4,001	-5,017	-4,883
23.98 Unobligated balance expiring or withdrawn	-41
24.40 Unobligated balance carried forward, end of year	46

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	3,347	4,352	4,323
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-7
41.00 Transferred to other accounts	-37
42.00 Transferred from other accounts	6
43.00 Appropriation (total discretionary)	3,309	4,352	4,323
50.00 Reappropriation	37
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	642	579	560
70.00 Total new budget authority (gross)	3,988	4,931	4,883

Change in obligated balances:

72.40 Obligated balance, start of year	549	600	1,219
73.10 Total new obligations	4,001	5,017	4,883
73.20 Total outlays (gross)	-3,905	-4,398	-4,565
73.40 Adjustments in expired accounts (net)	-27
73.45 Recoveries of prior year obligations	-18
74.40 Obligated balance, end of year	600	1,219	1,537

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	3,176	3,872	3,833
86.93 Outlays from discretionary balances	731	526	732
87.00 Total outlays (gross)	3,905	4,398	4,565

Offsets:

Federal funds:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 Drug enforcement	-148	-153	-157
88.00 Health care fraud	-90	-103	-116
88.00 Other Federal funds	-333	-243	-206
88.40 Non-Federal sources	-71	-80	-81
88.90 Total, offsetting collections (cash)	-642	-579	-560

Net budget authority and outlays:

89.00 Budget authority	3,346	4,352	4,323
90.00 Outlays	3,263	3,819	4,005

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	3,235	4,236	4,203
90.00 Outlays	3,152	3,703	3,885

The mission of the FBI is to uphold the law through the investigation of violations of Federal criminal law; to protect the United States from foreign intelligence and terrorist activities; to provide leadership and law enforcement assistance to Federal, State, local and international agencies; and to perform these responsibilities in a manner that is responsive to the needs of the public and is faithful to the Constitution of the United States.

The *FBI Strategic Plan: 1998–2003* establishes a three-tier framework to achieve this mission: (1) foreign intelligence, terrorist, and criminal activities that directly threaten the national or economic security of the United States; (2) crimes that affect the public safety or undermine the integrity of American society; and (3) crimes that affect individuals and property. Through this framework, the FBI will focus its resources and operational strategies on threats that affect the security of the Nation and crime problems that are national in scope.

National and Economic Security. FBI investigations in this tier encompass threats and criminal acts that are of such importance to the U.S. national interests that they must receive priority attention by the FBI. Within this tier, strategic goals have been established to:

—identify, prevent, and defeat intelligence operations conducted by any foreign power within the United States, or against U.S. interests abroad, that constitute a threat to U.S. national security;

—prevent, disrupt, and defeat terrorist operations before they occur;

—create an effective and ongoing deterrent designed to prevent criminal conspiracies from defrauding major U.S. industries and the U.S. Government; and

—deter the unlawful exploitation of emerging technologies by foreign powers, terrorists, and criminal elements.

Criminal Enterprises and Public Safety. FBI investigations in this tier target criminal organizations, such as the La Cosa Nostra, drug traffickers, violent gangs, and other groups that exploit social, economic or political circumstances at a significant cost to the Nation. Investigations often transcend jurisdictional and national boundaries, and often are conducted by the FBI in partnership with other Federal agencies, such as the Drug Enforcement Administration, and State and local law enforcement. Strategic goals have been established to:

—identify, disrupt, and dismantle existing and emerging organized criminal enterprises whose activities affect the United States;

—identify, disrupt, and dismantle targeted international and national drug trafficking organizations;

—reduce public corruption at all levels of government with special emphasis on law enforcement operations; and

—deter civil rights violations through aggressive investigations and proactive measures.

Individuals and Property. Violent street crimes, interstate theft, crimes against children, and environmental crimes are among the offenses where the FBI is expected to respond quickly and efficiently. Many Federal crimes involving offenses against individuals and property are also State and local crimes and FBI investigative strategies recognize the

generally primary role of State and local law enforcement. The FBI works closely with State and local law enforcement through Safe Streets Task Forces and similar working groups and by providing investigative expertise and technical and forensic assistance. In support of this strategy, the FBI has established a strategic goal to reduce the impact of the most significant crimes that affect individuals and property.

FBI investigations and operations are conducted through a world-wide network of 56 major field offices, over 400 resident agencies, and 4 information technology centers located throughout the United States and Puerto Rico; the FBI Academy complex at Quantico, Virginia; a fingerprint identification center in Clarksburg, West Virginia; 44 foreign liaison posts; and, FBI Headquarters in Washington, D.C.

A number of FBI activities are carried out on a reimbursable basis. Since 1997, the FBI has been reimbursed for investigations of health care fraud and abuse as a result of the enactment of the Health Care Portability and Accountability Act of 1996 (P.L. 104–191). Additionally, the FBI is reimbursed for its participation in Interagency Crime and Drug Enforcement programs and by other Federal agencies for certain investigative services, such as preemployment background inquiries and fingerprint and name checks. The FBI also is authorized to conduct fingerprint and name checks for certain non-federal agencies.

For 2003, \$446.3 million in enhancements are proposed in support of Tier I counterterrorism activities. The proposed enhancements support operational, information technology, and infrastructure needs. Highlights of these initiatives include: (1) enhanced counterterrorism capabilities; (2) enhanced capability for cyber crimes investigations; (3) improvement of security for FBI personnel, facilities, and information; and (4) enhanced information technology equipment, systems, and telecommunications services.

WORKLOAD

	2001 actual	2002 est.	2003 est.
Investigative Matters:			
Pending, beginning of year	96,079	92,865	N/A
Opened	81,041	N/A	N/A
Closed	83,625	N/A	N/A
Pending, end of year	92,865	N/A	N/A
Convictions/Pre-trial Diversions in Counterterrorism	221	N/A	N/A
Investigations of White Collar Crime:			
Convictions/Pre-trial Diversions	6,885	N/A	N/A
Recoveries/Restitutions (\$millions)	5,146	N/A	N/A
Fines (\$millions)	546	N/A	N/A
Number of organized criminal enterprises dismantled:			
Asian	13	9	9
Eurasian	8	8	9
Number of U.S. based drug organizations affiliated with the 14 national priority targets that have been:			
Identified	265	250	250
Dismantled	14	13	13
Number of persons prosecuted for the sexual exploitation of children facilitated through the use of on-line computers			
Arrests, Locates, & Summons	514	N/A	N/A
Convictions & Pre-trial Diversions	540	N/A	N/A
Number of Missing Children Located	91	N/A	N/A
Number dismantled of the 30 Gangs Targeted by the FBI as the Most Dangerous			
.....	4	3	3
Other workload indicators:			
Training, FBI Academy:			
New FBI Special Agents	266	966	500
FBI in-service/advanced	11,414	6,000	11,000
State, local, and international	4,355	2,000	4,000
Training, field:			
FBI Special Agents	10,365	10,942	11,155
State and local	81,031	100,000	120,000
International	8,655	7,500	7,500
Forensic examinations performed:			
Federal	439,740	483,714	532,085
Non-federal	110,404	110,404	110,404
Fingerprint identification services:			
Criminal cards processed	7,962,360	8,300,000	8,400,000
Civil cards processed	7,562,157	8,200,000	8,900,000
Civil submissions with criminal record	756,215	900,000	980,000
Civil submissions using false identities	88,477	105,300	114,660
National Instant Check System transactions:			
Checks performed by States	4,392,323	4,648,237	4,717,961

Checks performed by FBI	4,187,878	4,624,796	4,694,168
Number of FBI Denials	63,668	74,063	75,174

73.20 Total outlays (gross)	-57	-11	-34
74.40 Obligated balance, end of year	48	92	60

Object Classification (in millions of dollars)

Identification code 15-0200-0-1-999	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	1,394	1,480	1,591
11.3 Other than full-time permanent	10	8	8
11.5 Other personnel compensation	211	261	233
11.9 Total personnel compensation	1,615	1,749	1,832
12.1 Civilian personnel benefits	608	672	731
21.0 Travel and transportation of persons	69	115	84
22.0 Transportation of things	10	13	13
23.1 Rental payments to GSA	246	269	289
23.2 Rental payments to others	22	14	14
23.3 Communications, utilities, and miscellaneous charges	70	107	109
24.0 Printing and reproduction	3	3	3
25.1 Advisory and assistance services	2	86	171
25.2 Other services	364	649	403
25.3 Other purchases of goods and services from Government accounts			1
25.4 Operation and maintenance of facilities	28	22	28
25.5 Research and development contracts	4	25	29
25.7 Operation and maintenance of equipment	25	28	38
26.0 Supplies and materials	62	87	67
31.0 Equipment	231	598	510
32.0 Land and structures		1	1
99.0 Direct obligations	3,359	4,438	4,323
99.0 Reimbursable obligations	642	579	560
99.9 Total new obligations	4,001	5,017	4,883

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	2	3	
86.93 Outlays from discretionary balances	55	8	34
87.00 Total outlays (gross)	57	11	34

Net budget authority and outlays:

89.00 Budget authority	17	34	1
90.00 Outlays	57	11	34

For 2003, the FBI is proposing \$1.25 million to continue necessary improvements and maintenance at the FBI Academy.

Object Classification (in millions of dollars)

Identification code 15-0203-0-1-751	2001 actual	2002 est.	2003 est.
25.4 Operation and maintenance of facilities	1	6	2
32.0 Land and structures		49	
99.9 Total new obligations	1	55	2

DRUG ENFORCEMENT ADMINISTRATION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Drug Enforcement Administration, including not to exceed \$70,000 to meet unforeseen emergencies of a confidential character, to be expended under the direction of, and to be accounted for solely under the certificate of, the Attorney General; expenses for conducting drug education and training programs, including travel and related expenses for participants in such programs and the distribution of items of token value that promote the goals of such programs; purchase of not to exceed [1,477] 1,374 passenger motor vehicles, of which 1,354 will be for replacement only, for police-type use without regard to the general purchase price limitation for the current fiscal year; and acquisition, lease, maintenance, and operation of aircraft, [\$1,481,783,000] \$1,582,044,000; of which not to exceed \$33,000,000 for permanent change of station shall remain available until September 30, [2003] 2004; of which not to exceed \$1,800,000 for research shall remain available until expended, and of which not to exceed \$4,000,000 for purchase of evidence and payments for information, not to exceed \$10,000,000 for contracting for automated data processing and telecommunications equipment, and not to exceed \$2,000,000 for laboratory equipment, \$4,000,000 for technical equipment, and \$2,000,000 for aircraft replacement retrofit and parts, shall remain available until September 30, [2003] 2004; of which not to exceed \$50,000 shall be available for official reception and representation expenses[: Provided, That, in addition to reimbursable full-time equivalent workyears available to the Drug Enforcement Administration, not to exceed 7,654 positions and 7,515 full-time equivalent workyears shall be supported from the funds appropriated in this Act for the Drug Enforcement Administration]. (Department of Justice Appropriations Act, 2002; additional authorizing legislation required.)

Personnel Summary

Identification code 15-0200-0-1-999	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	24,275	24,470	25,464
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	2,673	2,823	2,874

CONSTRUCTION

For necessary expenses to construct or acquire buildings and sites by purchase, or as otherwise authorized by law (including equipment for such buildings); conversion and extension of federally-owned buildings; and preliminary planning and design of projects; [\$33,791,000] \$1,250,000, to remain available until expended. (Department of Justice Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 15-0203-0-1-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.03 Academy modernization	1	6	2
00.04 Technical Support Center		33	
00.07 Firearms Range Modernization		16	
10.00 Total new obligations	1	55	2
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	6	22	1
22.00 New budget authority (gross)	17	34	1
23.90 Total budgetary resources available for obligation	23	56	2
23.95 Total new obligations	-1	-55	-2
24.40 Unobligated balance carried forward, end of year	22	1	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	17	34	1
Change in obligated balances:			
72.40 Obligated balance, start of year	104	48	92
73.10 Total new obligations	1	55	2

Program and Financing (in millions of dollars)

Identification code 15-1100-0-1-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Enforcement	877	911	970
00.02 Investigative support	459	499	489
00.03 Program direction	110	107	123
01.00 Total direct program	1,446	1,517	1,582
09.00 Reimbursable program	227	236	242
10.00 Total new obligations	1,673	1,753	1,824
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	29	30	30

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 15-1100-0-1-751	2001 actual	2002 est.	2003 est.
22.00 New budget authority (gross)	1,642	1,753	1,824
22.10 Resources available from recoveries of prior year obligations	48		
23.90 Total budgetary resources available for obligation	1,719	1,783	1,854
23.95 Total new obligations	-1,673	-1,753	-1,824
23.98 Unobligated balance expiring or withdrawn	-16		
24.40 Unobligated balance carried forward, end of year	30	30	30
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1,411	1,517	1,582
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-3		
41.00 Transferred to other accounts	-15		
42.00 Transferred from other accounts	17		
43.00 Appropriation (total discretionary)	1,410	1,517	1,582
50.00 Reappropriation	15		
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	174	236	242
68.10 Change in uncollected customer payments from Federal sources (unexpired)	43		
68.90 Spending authority from offsetting collections (total discretionary)	217	236	242
70.00 Total new budget authority (gross)	1,642	1,753	1,824
Change in obligated balances:			
72.40 Obligated balance, start of year	381	389	453
73.10 Total new obligations	1,673	1,753	1,824
73.20 Total outlays (gross)	-1,574	-1,689	-1,762
73.45 Recoveries of prior year obligations	-48		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-43		
74.40 Obligated balance, end of year	389	453	515
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1,283	1,383	1,438
86.93 Outlays from discretionary balances	291	306	324
87.00 Total outlays (gross)	1,574	1,689	1,762
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 Drug Enforcement	-111	-115	-124
88.00 Other Federal Funds	-106	-121	-118
88.90 Total, offsetting collections (cash)	-217	-236	-242
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-43		
88.96 Portion of offsetting collections (cash) credited to expired accounts	43		
Net budget authority and outlays:			
89.00 Budget authority	1,425	1,517	1,582
90.00 Outlays	1,357	1,453	1,520

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	1,391	1,482	1,546
90.00 Outlays	1,323	1,418	1,484

The mission of the DEA is to control abuse of narcotics and dangerous drugs by restricting the aggregate supply of those drugs. In order to safeguard Americans, DEA will disrupt/dismantle the leadership, command, control and infrastructure of drug syndicates, gangs and traffickers of illicit

drugs that threaten Americans and erode the quality of life in our communities. At the Federal level, DEA is the lead drug law enforcement agency. DEA accomplishes its objectives through coordination with State, local, and other Federal officials in drug law enforcement activities, development and maintenance of drug intelligence systems, regulation of legitimate controlled substances activities, and enforcement coordination and intelligence-gathering activities with foreign government agencies.

Cooperation among Federal law enforcement agencies is extensive, especially within the nine Interagency Crime and Drug Enforcement (ICDE) regional task forces. DEA's involvement is integral to this nationwide coordinated enforcement strategy. With the ICDE program and the expansion of other agency cooperative efforts, overall drug enforcement capabilities have been significantly strengthened in recent years.

The activities of the DEA are divided into the following general categories:

Enforcement—

Domestic enforcement.—This activity encompasses DEA's general efforts to eliminate or immobilize major drug trafficking organizations and thereby reduce the domestic supply of illicit drugs.

Domestic Enforcement includes the majority of the activities encompassed within DEA's 21 domestic field divisions and the Special Operations Division. These activities include efforts to reduce the availability of illicit drugs by immobilizing targeted organizations that are substantially responsible for importation and distribution of drugs and by focusing enforcement operations on specific drug trafficking problems. This program also includes DEA's Regional Enforcement Teams (RET), an initiative designed to provide a proactive, mobile regional investigative element to better develop intelligence, and investigate and target drug organizations operating in America's smaller communities. DEA's RET program (4 teams) is equipped with the investigative equipment and vehicles needed to ensure a high degree of mobility and to support the performance of even the most complex of investigations.

State and local task forces.—This activity encompasses efforts to reduce drug availability and immobilize major drug trafficking organizations through intergovernmental cooperation with State and local law enforcement agencies and through DEA's Mobile Enforcement Team Program. There are currently 155 program-funded (109 funded by DEA, and 46 funded by the High Intensity Drug Trafficking appropriation) and 54 provisional State and Local Task Forces and 24 Mobile Enforcement Teams participating in the program.

Measures reported in past years, such as arrests, indictments, and convictions, are not considered useful indicators of the outcome or impact of law enforcement activities by the Department of Justice. The Department and DEA have developed new and more meaningful performance measures as part of implementing the Government Performance and Results Act. The measures below reflect the new focus on priority targets and the planned outcomes of disruption and dismantlement.

	2001 actual	2002 est.	2003 est.
Domestic Priority Targeted Organizations identified	498	474	514
Number of PTO disrupted/dismantled (Int'l Nexus)	30	14	18
Number of PTO disrupted/dismantled (Nat/Reg Nexus)	24	14	18
Cooperative (State & Local) PTO identified	134	114	124
Number of PTO disrupted/dismantled (Local Nexus)	12	7	9

Foreign cooperative investigations.—This activity encompasses efforts to (1) disrupt, as close to the source as possible, production of opium, heroin, cocaine, marijuana, and illicitly produced and diverted legitimate dangerous drugs destined for the United States, and (2) collect and disseminate intelligence regarding narcotics production and trafficking.

Chemical diversion control.—This activity seeks to reduce the diversion of legitimately produced controlled chemicals into illicit channels at all levels of distribution, and to provide the leadership and support necessary to ensure that State and local agencies and the chemical industry establish and maintain programs and policies to control diversion. The Drug Diversion Control activities are funded from the Drug Diversion Control Fee Account.

Investigative Support—

Intelligence.—This activity encompasses the collection, analysis, and dissemination of drug intelligence in support of the enforcement operations of DEA and other Federal, State, and local agencies.

DEA laboratory services.—This activity encompasses laboratory analysis of evidence and expert testimony in support of the investigation and prosecution of drug traffickers.

DEA training.—This activity encompasses entry level training for all special agents, diversion investigators, and intelligence analysts; advanced, in-service and specialized training for investigative and other personnel; executive, mid-level management, and supervisory training for appropriate agency officials; and foreign language training for DEA personnel assigned to overseas and border offices as needed. In 2003, training will focus on basic agent, in-service and specialized training activities.

Research, engineering, and technical operations.—This activity provides high quality and timely radio communications capability, technical and investigative equipment assistance support, maintenance and operation of an aircraft fleet, and research programs directly related to the DEA law enforcement and intelligence functions.

ADP and telecommunications.—This activity encompasses automated data processing, to include the FIREBIRD NETWORK, and telecommunication support on a nationwide and worldwide basis.

Records management.—This activity encompasses effective and efficient records management, Freedom of Information/Privacy Act, and library support.

Program Direction—

Management and Administration.—This activity encompasses the overall management, contracting, and direction of DEA to include personnel, general services, financial management and Equal Employment Opportunity functions.

Reimbursable program.—The primary reimbursements to DEA are for the Interagency Crime and Drug Enforcement Task Force program, the training of foreign drug law enforcement officials (funded by the Department of State), and the expenses incurred in managing seized assets and other purposes for which DEA is reimbursed from the Department of Justice Assets Forfeiture Fund.

Object Classification (in millions of dollars)				
Identification code 15-1100-0-1-751	2001 actual	2002 est.	2003 est.	
Direct obligations:				
Personnel compensation:				
11.1 Full-time permanent	436	493	516	
11.3 Other than full-time permanent	2	3	3	
11.5 Other personnel compensation	70	55	56	
11.8 Special personal services payments		-1	-1	
11.9 Total personnel compensation	508	550	574	
12.1 Civilian personnel benefits	228	204	216	
21.0 Travel and transportation of persons	41	47	47	
22.0 Transportation of things	15	12	12	
23.1 Rental payments to GSA	102	117	142	
23.2 Rental payments to others	5	4	4	
23.3 Communications, utilities, and miscellaneous charges	80	83	84	
24.0 Printing and reproduction	1	1	1	
25.1 Advisory and assistance services	3	14	17	
25.2 Other services	102	97	101	
25.3 Other purchases of goods and services from Government accounts	188	184	185	

25.4	Operation and maintenance of facilities	3	3	3
25.6	Medical care	5	4	4
25.7	Operation and maintenance of equipment	29	29	27
26.0	Supplies and materials	40	43	44
31.0	Equipment	79	114	95
32.0	Land and structures	17	11	26
99.0	Direct obligations	1,446	1,517	1,582
99.0	Reimbursable obligations	227	236	242
99.9	Total new obligations	1,673	1,753	1,824

Personnel Summary

Identification code 15-1100-0-1-751	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	7,063	7,515	7,605
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	1,029	1,052	1,103

CONSTRUCTION

Program and Financing (in millions of dollars)

Identification code 15-1101-0-1-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 32.0)	9	2	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	10	2	
23.95 Total new obligations	-9	-2	
24.40 Unobligated balance carried forward, end of year	2		
Change in obligated balances:			
72.40 Obligated balance, start of year	13	16	3
73.10 Total new obligations	9	2	
73.20 Total outlays (gross)	-6	-15	-3
74.40 Obligated balance, end of year	16	3	
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	6	15	3
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	5	15	3

The account uses prior year funds for the highest priority renovation and upgrade needs at DEA's current forensic laboratory facilities.

DIVERSION CONTROL FEE ACCOUNT

Unavailable Collections (in millions of dollars)

Identification code 15-5131-0-2-751	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	35	35	13
Receipts:			
02.60 Diversion control fee account	60	60	104
04.00 Total: Balances and collections	95	95	117
Appropriations:			
05.00 Diversion control fee account	-60	-82	-117
05.99 Total appropriations	-60	-82	-117
07.99 Balance, end of year	35	13	
Program and Financing (in millions of dollars)			
Identification code 15-5131-0-2-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	80	89	117
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	12	4	

General and special funds—Continued

DIVERSION CONTROL FEE ACCOUNT—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 15-5131-0-2-751	2001 actual	2002 est.	2003 est.
22.00 New budget authority (gross)	63	85	117
22.10 Resources available from recoveries of prior year obligations	9		
23.90 Total budgetary resources available for obligation	84	89	117
23.95 Total new obligations	-80	-89	-117
24.40 Unobligated balance carried forward, end of year	4		
New budget authority (gross), detail:			
Discretionary:			
40.36 Unobligated balance rescinded	-8		
Mandatory:			
60.20 Appropriation (special fund)	50	85	117
60.28 Appropriation (unavailable balances)	21		
62.50 Appropriation (total mandatory)	71	85	117
70.00 Total new budget authority (gross)	63	85	117
Change in obligated balances:			
72.40 Obligated balance, start of year	19	15	35
73.10 Total new obligations	80	89	117
73.20 Total outlays (gross)	-75	-69	-110
73.45 Recoveries of prior year obligations	-9		
74.40 Obligated balance, end of year	15	35	42
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	71	65	89
86.98 Outlays from mandatory balances	4	4	21
87.00 Total outlays (gross)	75	69	110
Net budget authority and outlays:			
89.00 Budget authority	63	85	117
90.00 Outlays	75	69	110

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

Identification code 15-5131-0-2-751	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	60	82	114
90.00 Outlays	72	66	107

Public Law 102-395 established the Diversion Control Fee Account in 1993. Fees charged by the Drug Enforcement Administration under the Diversion Control Program are set at a level that ensures the recovery of the full costs of operating the various aspects of the program. The purpose of this program is to prevent, detect, and investigate the diversion of controlled substances from legitimate channels, while at the same time ensuring an adequate and uninterrupted supply of controlled substances required to meet legitimate needs.

The measures below reflect the level of activity performed by this program.

	2001 actual	2002 est.	2003 est.
New applications processed	69,328	77,000	85,000
Drug diversion investigations initiated	2,416	2,464	2,513

Object Classification (in millions of dollars)

Identification code 15-5131-0-2-751	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	32	37	42
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	33	38	43
12.1 Civilian personnel benefits	12	13	14
21.0 Travel and transportation of persons	1	3	3
23.1 Rental payments to GSA	7	7	8
23.3 Communications, utilities, and miscellaneous charges	4	6	6
25.1 Advisory and assistance services	5	5	5

25.2 Other services	2	5	5
25.3 Other purchases of goods and services from Government accounts	13	2	10
25.4 Operation and maintenance of facilities	1	1	1
25.7 Operation and maintenance of equipment	2	2	2
26.0 Supplies and materials	1	1	3
31.0 Equipment	1	6	16
32.0 Land and structures	1		1
99.9 Total new obligations	80	89	117

Personnel Summary

Identification code 15-5131-0-2-751	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	595	656	722

IMMIGRATION AND NATURALIZATION SERVICE

Federal Funds

General and special funds:

[SALARIES AND EXPENSES]

[For expenses necessary for the administration and enforcement of the laws relating to immigration, naturalization, and alien registration, as follows:]

IMMIGRATION ENFORCEMENT [AND BORDER AFFAIRS]

For salaries and expenses for [the] Border Patrol [program, the], detention and [deportation program] removals, [the] intelligence [program], [the] investigations [program], [and the] inspections [program], and international enforcement, including not to exceed \$50,000 to meet unforeseen emergencies of a confidential character, to be expended under the direction of, and to be accounted for solely under the certificate of, the Attorney General; purchase for police-type use (not to exceed [3,165] 4,565 passenger motor vehicles, of which [2,211] 3,450 are for replacement only), without regard to the general purchase price limitation for the current fiscal year, and hire of passenger motor vehicles; acquisition, lease, maintenance and operation of aircraft; research related to immigration enforcement; for protecting and maintaining the integrity of the borders of the United States including, without limitation, equipping, maintaining, and making improvements to the infrastructure; and for the care and housing of Federal detainees held in the joint Immigration and Naturalization Service and United States Marshals Service Buffalo Detention Facility, [\$2,739,695,000] \$3,241,798; of which not to exceed \$5,000,000 is for payments or advances arising out of contractual or reimbursable agreements with State and local law enforcement agencies while engaged in cooperative activities related to immigration; of which not to exceed \$5,000,000 is to fund or reimburse other Federal agencies for the costs associated with the care, maintenance, and repatriation of smuggled illegal aliens; of which not to exceed \$245,236,000 is for information technology infrastructure, to remain available until September 30, 2004; and of which not to exceed \$209,385,000 is for planning, construction, renovation, equipping, and maintenance of buildings and facilities necessary for the administration and enforcement of the laws relating to immigration, naturalization, and alien registration, to remain available until expended: Provided, That none of the funds available to the Immigration and Naturalization Service shall be available to pay any employee overtime pay in an amount in excess of \$30,000 during the calendar year beginning January 1, [2002] 2003, except that the INS Commissioner may exceed this cap as necessary for national security purposes and in cases of immigration emergencies: Provided further, That uniforms may be purchased without regard to the general purchase price limitation for the current fiscal year: [Provided further, That, in addition to reimbursable full-time equivalent workyears available to the Immigration and Naturalization Service, not to exceed 20,759 positions and 20,096 full-time equivalent workyears shall be supported from the funds appropriated under this heading in this Act for the Immigration and Naturalization Service: Provided further, That none of the funds provided in this or any other Act shall be used for the continued operation of the San Clemente and Temecula checkpoints unless the checkpoints are open and traffic is being checked on a continuous 24-hour basis].

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Salaries and Expenses", \$449,800,000, to remain available until expended to be obligated from amounts made available in Public Law 107-38.] (*Emergency Supplemental Act, 2002.*)

Unavailable Collections (in millions of dollars)

Identification code 15-1217-0-1-751	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	106	142	157
Receipts:			
02.61 Immigration user fee	468	601	661
02.63 Land border inspection fee	2	4	4
02.64 Breached bond/detention fund	143	127	113
02.99 Total receipts and collections	613	732	778
04.00 Total: Balances and collections	719	874	935
Appropriations:			
05.00 Immigration enforcement (user fee)	-455	-553	-637
05.01 Immigration enforcement (land border inspection fee)	-2	-4	-3
05.02 Immigration enforcement (breached bond/detention fund)	-79	-119	-169
05.03 Support and administration (immigration user fee)	-39	-39	-42
05.04 Support and administration (breached bond/detention fund)	-2	-2	-2
05.99 Total, Appropriations	-577	-717	-853
07.99 Balance, end of year	142	157	82

Program and Financing (in millions of dollars)

Identification code 15-1217-0-1-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Border Enforcement	1,945	2,388	2,946
00.02 Interior Enforcement	356	432	439
00.03 Detention & Removals	970	1,185	593
00.05 Capital investment—Border Enforcement	76	82	82
00.07 Capital investment—Interior Enforcement	19	20	20
00.08 Capital investment—Detention & Removals	19	21	21
01.92 Total direct program	3,385	4,128	4,101
09.00 Reimbursable program	25	26	645
10.00 Total new obligations	3,410	4,154	4,746

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	69	64	98
22.00 New budget authority (gross)	3,358	4,154	4,746
22.10 Resources available from recoveries of prior year obligations	53		
22.22 Unobligated balance transferred from other accounts		34	
23.90 Total budgetary resources available for obligation	3,480	4,252	4,844
23.95 Total new obligations	-3,410	-4,154	-4,746
23.98 Unobligated balance expiring or withdrawn	-6		
24.40 Unobligated balance carried forward, end of year	64	98	99

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	2,735	3,387	3,241
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-6		
41.00 Transferred to other accounts	-13		
42.00 Transferred from other accounts	2		
43.00 Appropriation (total discretionary)	2,718	3,387	3,241
50.00 Reappropriation	13		
Mandatory:			
Appropriation (special fund):			
60.20 Appropriation (user fee)	471	571	637
60.20 Appropriation (examinations fee)	45	47	51
60.20 Appropriation (land border inspection fee)	2	4	3
60.20 Appropriation (breached bond/detention fund)	79	119	169
62.50 Appropriation (total mandatory)	597	741	860
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	25	26	645
68.10 Change in uncollected customer payments from Federal sources (unexpired)	5		
68.90 Spending authority from offsetting collections (total discretionary)	30	26	645

70.00 Total new budget authority (gross)	3,358	4,154	4,746
Change in obligated balances:			
72.40 Obligated balance, start of year	1,119	1,298	1,558
73.10 Total new obligations	3,410	4,154	4,746
73.20 Total outlays (gross)	-3,195	-3,894	-4,615
73.40 Adjustments in expired accounts (net)	7		
73.45 Recoveries of prior year obligations	-53		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-5		
74.10 Change in uncollected customer payments from Federal sources (expired)	15		
74.40 Obligated balance, end of year	1,298	1,558	1,689
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2,213	2,752	3,255
86.93 Outlays from discretionary balances	424	473	583
86.97 Outlays from new mandatory authority	520	596	692
86.98 Outlays from mandatory balances	37	73	85
87.00 Total outlays (gross)	3,195	3,894	4,615
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 Federal sources	-9	-10	-629
88.00 Federal sources (Drug enforcement)	-16	-16	-16
88.90 Total, offsetting collections (cash)	-25	-26	-645
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-5		

Net budget authority and outlays:

89.00 Budget authority	3,328	4,128	4,101
90.00 Outlays	3,170	3,868	3,970

Note.—Excludes \$615 million in budget authority in 2003 for activities transferred to Department of Justice Detention Trustee. Comparable amounts for 2001 (\$609 million) and 2002 (\$611 million) are included above.

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	3,237	4,029	3,997
90.00 Outlays	3,079	3,769	3,866

The mission of the Immigration and Naturalization Service (INS) is to enforce provisions of the law which govern lawful entry and presence within the United States, and provide immigration benefits and services to individuals and entities (e.g., employers) entitled under law. The mission is accomplished through INS' operational offices located on the border, in the interior and overseas, and through numerous special facilities (e.g., detention centers, application processing centers, national records repository, training centers) throughout the United States. Accomplishing the enforcement and benefits/services mission of the INS requires significant investment in physical infrastructure and equipment, administrative support services, and maintenance; and a competent, diversified, motivated and productive workforce. INS addresses its mission through several goals that are used to target and monitor performance.

Immigration enforcement.—This account contains resources for preventing illegal entry into the United States, facilitating the entry of qualified persons, and investigating criminal organizations that facilitate illegal migration. This includes inspection of applicants for admission, patrol of the border, and locating aliens who are in the United States following illegal entry or violation of status after legal entry. Apprehensions are made through the inspection of places of employment, by the investigation of information about the location of undocumented aliens, and through investigative case work. Also included are resources for the Service's nationwide anti-smuggling program and for the detention and removal of illegal aliens.

General and special funds—Continued

IMMIGRATION ENFORCEMENT [AND BORDER AFFAIRS]—Continued

Border Enforcement.—Effectively control the border by securing the land border, ports of entry and coasts of the United States against illegal migration. In coordination with other agencies and through enhanced integration of INS' overseas, border and interior intelligence operations, this activity seeks to (1) prevent illegal entry by comprehensive border protection programs, including increased Border Patrol strength, (2) concentrate resources at the major problem sources, ports of entry and border points, and (3) gather, analyze, and disseminate intelligence regarding illegal migration to target enforcement efforts on criminal activities, smuggling, fraud, and terrorism.

	2001 actual	2002 est.	2003 est.
Interceptions of mala fide and offshore travelers	34,594	20,000	20,000
Offshore prosecutions assisted by INS personnel	514	515	515
Deportable aliens apprehended (border patrol)	1.2 million	1.0 million	1.0 million
Smugglers apprehended (border patrol)	9,586	12,000	13,000
Southwest Corridors controlled (border patrol)	8	8	9

In addition, a component of immigration enforcement is the inspection of lawful travel and commerce across the borders to the United States. This activity seeks to (1) expand the use of techniques and technologies that promote and expedite lawful entry, including implementation of a comprehensive entry exit system, (2) increase the number of registered Dedicated Commuter Lane participants, and (3) work cooperatively with the U.S. Customs Service and other Federal agencies at ports-of-entry. The measures below reflect the expected outcomes from these activities.

	2001 actual	2002 est.	2003 est.
Total persons inspected (land only)	414,361,743	400,000,000	414,000,000
Inadmissible aliens intercepted (land ports)	414,496	419,000	429,000
Land-border wait times that did not exceed 30 minutes	84%	82%	90%
Alternative Inspection system usage (annual)	3,860,296	3,860,296	4,000,000
Total persons inspected (air and sea)	91,532,388	91,532,000	91,532,000
Inadmissible aliens intercepted (air and sea)	279,084	284,000	294,000
Commercial air flights to clear primary inspection in 30 min ..	79%	70%	79%
Passenger manifest data submitted electronically by the carrier	85%	87%	98%

Interior Enforcement.—Maximize deterrence to unlawful migration and enforce immigration laws in the interior through effective and coordinated use of resources to reduce the incentives of unauthorized employment and assistance; address interior smuggling and benefit and document fraud; and increase intergovernmental cooperation and the integration of activities among law enforcement entities at all levels of government. In coordination with other agencies and through enhanced integration of INS' overseas, border and interior intelligence operations, this activity seeks to (1) increase prosecution and forfeiture activities to disrupt and dismantle criminal, smuggling, and benefit and document fraud organizations, and (2) target worksite enforcement activities toward employers who intentionally hire unauthorized workers in selected industry and geographic areas or engage in related smuggling and fraud ventures.

Also included are Federal funds in 2003 derived primarily from the Organized Crime Drug Enforcement Task Force program for multi-agency drug investigations and the Asset Forfeiture Fund for seized assets. The measures below reflect the expected outcomes from these activities.

Cases (investigations):	2001 actual	2002 est.	2003 est.
Smuggling cases completed (investigations)	2,885	2,900	3,050
Fraud cases presented (investigations)	346	210	210

Detention and Removals.—Maximize deterrence to unlawful migration and enforce immigration laws by removing deportable inadmissible aliens expeditiously, and increase intergovernmental cooperation and the integration of activities among law enforcement entities at all levels of government. In coordination with other agencies and through enhanced integration of INS' overseas, border and interior intelligence operations,

this activity seeks to (1) Identify, process and remove deportable aliens and those criminal aliens eligible for deportation who are incarcerated in Federal, State and local institutions, (2) strengthen coordination with Federal, State and local law enforcement entities to identify, detain, and remove aliens, particularly those involved in terrorism, violent gangs and criminal activities, and (3) provide adequate, safe, humane and efficiently run detention bedspace and a transportation capability that meets aliens detention and removal obligations. In 2003, \$615 million would be transferred to the Detention Trustee to improve efficiency in the acquisition of bed space.

	2001 actual	2002 est.	2003 est.
Number of detention days	7,129,586	7,391,250	7,760,630
Final order removals from the U.S.	106,179	107,500	112,875
Final order criminal alien removals	66,931	65,000	69,000
Final order of non-criminal removals and expedited removals	39,248	42,500	43,875

Object Classification (in millions of dollars)

Identification code 15-1217-0-1-751	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	982	1,156	1,219
11.3 Other than full-time permanent	27	31	31
11.5 Other personnel compensation	374	442	441
11.8 Special personal services payments	1	1	2
Total personnel compensation	1,384	1,630	1,693
12.1 Civilian personnel benefits	570	605	679
13.0 Benefits for former personnel	1	2	2
21.0 Travel and transportation of persons	92	113	86
22.0 Transportation of things	16	23	30
23.1 Rental payments to GSA	90	121	190
23.2 Rental payments to others	11	10	9
23.3 Communications, utilities, and miscellaneous charges	36	48	52
24.0 Printing and reproduction	1	2	2
25.1 Advisory and assistance services		12	33
25.2 Other services	302	413	458
25.3 Other purchases of goods and services from Government accounts	189	148	50
25.4 Operation and maintenance of facilities	97	54	56
25.6 Medical care	48	6	8
25.7 Operation and maintenance of equipment	18	90	85
25.8 Subsistence and support of persons	251	284	82
26.0 Supplies and materials	120	106	88
31.0 Equipment	137	273	317
32.0 Land and structures	2	187	181
41.0 Grants, subsidies, and contributions	18		
42.0 Insurance claims and indemnities	2	1	
99.0 Direct obligations	3,385	4,128	4,101
99.0 Reimbursable obligations	25	26	645
99.9 Total new obligations	3,410	4,154	4,746

Personnel Summary

Identification code 15-1217-0-1-751	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	22,640	25,202	26,308
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	117	117	1,354

[CITIZENSHIP AND BENEFITS, IMMIGRATION SUPPORT AND PROGRAM DIRECTION]

IMMIGRATION SERVICES

For [all programs of the Immigration and Naturalization Service not included under the heading "Enforcement and Border Affairs", \$631,745,000, of which not to exceed \$400,000 for research shall remain available until expended: *Provided*, That not to exceed \$5,000 shall be available for official reception and representation expenses:] salaries and expenses for immigration services, including international services, \$88,604,000: *Provided [further]*, That the Attorney General

may transfer any funds appropriated under this heading and the heading "Immigration Enforcement [and Border Affairs]" between said appropriations notwithstanding any percentage transfer limitations imposed under this appropriations Act and may direct such fees as are collected by the Immigration and Naturalization Service to the activities funded under this heading and the [heading] headings "Immigration Enforcement [and Border Affairs]" and "Support and Administration," for performance of the functions for which the fees legally may be expended: [Provided further, That not to exceed 40 permanent positions and 40 full-time equivalent workyears and \$4,300,000 shall be expended for the Offices of Legislative Affairs and Public Affairs: Provided further, That unencumbered positions in the aforementioned offices after the date of enactment of this Act shall be filled only by personnel details, temporary transfers of personnel on either a reimbursable or non-reimbursable basis, or any other formal or informal transfer or reimbursement of personnel or funds on either a temporary or long-term basis up to 10 full-time equivalent workyears: Provided further, That the number of positions filled through non-career appointment at the Immigration and Naturalization Service, for which funding is provided in this Act or is otherwise made available to the Immigration and Naturalization Service, shall not exceed six permanent positions and six full-time equivalent workyears:] Provided further, That none of the funds available to the Immigration and Naturalization Service shall be used to pay any employee overtime pay in an amount in excess of \$30,000 during the calendar year beginning January 1, [2002] 2003, except that the INS Commissioner may exceed this cap as necessary for national security purposes and in cases of immigration emergencies: [Provided further, That funds may be used, without limitation, for equipping, maintaining, and making improvements to the infrastructure and the purchase of vehicles for police-type use within the limits of the Enforcement and Border Affairs appropriation: Provided further, That, in addition to reimbursable full-time equivalent workyears available to the Immigration and Naturalization Service, not to exceed 3,100 positions and 3,500 full-time equivalent workyears shall be supported from the funds appropriated under this heading in this Act for the Immigration and Naturalization Service]. (Department of Justice Appropriations Act, 2002; additional authorizing legislation required.)

23.95	Total new obligations	-1,018	-1,461	-1,493
24.40	Unobligated balance carried forward, end of year	79	79
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	42	123	89
Mandatory:				
Appropriation (special fund):				
60.20	Appropriation (examinations fee)	996	1,312	1,315
60.20	Appropriation (HIB fee)	1	26	10
62.50	Appropriation (total mandatory)	997	1,338	1,325
70.00	Total new budget authority (gross)	1,039	1,461	1,414

Change in obligated balances:				
72.40	Obligated balance, start of year	2	65	509
73.10	Total new obligations	1,018	1,461	1,493
73.20	Total outlays (gross)	-905	-1,017	-1,311
73.45	Recoveries of prior year obligations	-50
74.40	Obligated balance, end of year	65	509	691

Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	24	74	53
86.93	Outlays from discretionary balances	12	16	39
86.97	Outlays from new mandatory authority	869	814	807
86.98	Outlays from mandatory balances	113	412
87.00	Total outlays (gross)	905	1,017	1,311

Net budget authority and outlays:				
89.00	Budget authority	1,039	1,461	1,414
90.00	Outlays	905	1,017	1,311

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	1,015	1,433	1,385
90.00	Outlays	881	989	1,282

Unavailable Collections (in millions of dollars)

Identification code 15-1220-0-1-751	2001 actual	2002 est.	2003 est.	
01.99	Balance, start of year	99	131	92
Receipts:				
02.60	H-1B nonimmigrant petitioner account	239	250	250
02.62	Immigration examinations fee	1,074	1,354	1,324
02.99	Total receipts and collections	1,313	1,604	1,574
04.00	Total: Balances and collections	1,412	1,735	1,666
Appropriations:				
05.00	Employment and Training Administration, DOL (H-1B fee)	-131	-140	-138
05.01	Salaries and Expenses, DOL (H-1B fee)	-10	-10	-10
05.02	Education and Human Resources, National Science Foundation (H-1B fee)	-88	-90	-93
05.03	Immigration services (examinations fee)	-972	-1,284	-1,315
05.04	Salaries and expenses, INS (H-1B fee)	-1	-26	-10
05.05	Immigration enforcement (examinations fee)	-45	-47	-51
05.06	Support and Administration (examinations fee)	-34	-46	-49
05.99	Total, Appropriations	-1,281	-1,643	-1,666
07.99	Balance, end of year	131	92

Program and Financing (in millions of dollars)

Identification code 15-1220-0-1-751	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Direct Program Activity	1,018	1,461	1,493
10.00	Total new obligations	1,018	1,461	1,493
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	8	79	79
22.00	New budget authority (gross)	1,039	1,461	1,414
22.10	Resources available from recoveries of prior year obligations	50
23.90	Total budgetary resources available for obligation	1,097	1,540	1,493

Immigration Services.—Deliver services to the public in a timely, consistent fair and high quality manner. This activity encompasses efforts to (1) Establish and maintain an asylum process that is fair and timely, and that denies meritless claims quickly without discouraging legitimate seekers of asylum, (2) establish and maintain immigration and naturalization processes that deliver benefits to our customers in a fair, accurate and timely manner, (3) make "user friendly" customer service an integral, permanent aspect of all INS activities, and (4) provide employers, benefit providers and other appropriate entities with immigration information and alien status, and the assistance and tools needed to allow them to comply with the laws while safeguarding the civil and privacy rights of citizens and aliens alike. The 2003 Budget continues to support a five-year, \$500 million initiative to support a universal six-month processing standard for all immigration applications.

Workload

	2001 actual	2002 est.	2003 est.
Total Benefits Applications received	7,934,772	8,509,061	7,646,110
Total Benefits Applications completed	7,044,194	9,684,970	9,396,580
Naturalization Applications:			
Applications received	501,646	600,000	600,000
Applications completed	831,486	712,880	692,440
Avg. processing time (months)	9	8	6
Adjustment of Status Applications:			
Applications received	754,133	1,009,460	771,000
Applications completed	821,508	1,342,180	1,061,810
Avg. processing time (months)	14	10	6
Asylum Program:			
Cases received	74,017	65,790	65,790
Cases completed	70,721	70,000	70,000
New asylum cases processed within 60 days	79%	75%	75%
Expedited removal/credible fear cases processed within 14 days	91%	80%	80%

General and special funds—Continued**IMMIGRATION ENFORCEMENT [AND BORDER AFFAIRS]—Continued****IMMIGRATION SERVICES—Continued****Workload—Continued**

	2001 actual	2002 est.	2003 est.
Nicaraguan Adjustment and Central American Relief Act:			
Cases received	35,302	36,970	31,000
Cases completed	19,357	TBD	TBD

Object Classification (in millions of dollars)

Identification code 15-1220-0-1-751	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	225	358	366
11.3 Other than full-time permanent	32	39	40
11.5 Other personnel compensation	28	20	20
11.9 Total personnel compensation	285	417	426
12.1 Civilian personnel benefits	97	107	114
21.0 Travel and transportation of persons	12	9	10
22.0 Transportation of things	2	4	4
23.1 Rental payments to GSA	64	67	80
23.2 Rental payments to others	3	2	2
23.3 Communications, utilities, and miscellaneous charges	15	16	16
24.0 Printing and reproduction	6	3	3
25.2 Other services	333	667	668
25.3 Other purchases of goods and services from Government accounts	144	83	83
25.4 Operation and maintenance of facilities		1	1
25.7 Operation and maintenance of equipment	2		
26.0 Supplies and materials	31	9	9
31.0 Equipment	14	34	34
32.0 Land and structures		40	41
41.0 Grants, subsidies, and contributions	9		
42.0 Insurance claims and indemnities	1	2	2
99.9 Total new obligations	1,018	1,461	1,493

Personnel Summary

Identification code 15-1220-0-1-751	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	5,505	7,809	7,921

[CONSTRUCTION]

For planning, construction, renovation, equipping, and maintenance of buildings and facilities necessary for the administration and enforcement of the laws relating to immigration, naturalization, and alien registration, not otherwise provided for, \$128,454,000, to remain available until expended: *Provided*, That no funds shall be available for the site acquisition, design, or construction of any Border Patrol checkpoint in the Tucson sector. (Department of Justice Appropriations Act, 2002.)

For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Construction", \$99,600,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38. (Emergency Supplemental Act, 2002.)

SUPPORT AND ADMINISTRATION

For salaries and expenses for data and communications, information and records management, construction, infrastructure, and engineering, legal proceedings, management and administration, hire of passenger motor vehicles, and the purchase of vehicles within the limits and subject to the terms under "Immigration Enforcement"; \$801,409,000, of which not to exceed \$400,000 for research shall remain available until expended, of which not to exceed \$122,500,000 for information technology infrastructure shall remain available until September 30, 2004; of which not to exceed \$57,753,000 for planning, construction, renovation, equipping and maintenance of buildings and facilities, shall remain available until expended: *Provided*, That the Attorney General may transfer any funds appropriated under this heading and the headings, "Immigration Enforcement" and "Immigration Services," among said appropriations notwithstanding any percentage transfer limitations imposed under this appropriation Act,

including funds for vehicles and infrastructure; and may direct such fees as are collected by the Immigration and Naturalization Service to the activities funded under this heading and the heading, "Immigration Enforcement" and "Immigration Services," for performance of the functions for which the fees legally may be expended: *Provided further*, That not to exceed \$5,000 shall be available for official reception and representation expenses: *Provided further*, That none of the funds available to the Immigration and Naturalization Service shall be used to pay any employee overtime pay in an amount in excess of \$30,000 during the calendar year beginning January 1, 2003, except that the INS Commissioner may exceed this cap as necessary for national security purposes and in cases of immigration emergencies.

SUPPORT AND ADMINISTRATION**Unavailable Collections (in millions of dollars)**

Identification code 15-1221-0-1-751	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	3	13	
Receipts:			
02.65 Immigration enforcement, account	12	8	6
04.00 Total: Balances and collections	15	21	6
Appropriations:			
05.00 Immigration enforcement, account	-2	-21	-6
07.99 Balance, end of year	13		

Program and Financing (in millions of dollars)

Identification code 15-1221-0-1-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program Activity	649	746	901
09.00 Reimbursable program	50	50	50
10.00 Total new obligations	699	796	951
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	10	8
22.00 New budget authority (gross)	706	795	951
23.90 Total budgetary resources available for obligation	709	805	959
23.95 Total new obligations	-699	-796	-951
24.40 Unobligated balance carried forward, end of year	10	8	8

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	577	635	802
Mandatory:			
Appropriation (special fund):			
60.20 Appropriation (user fee)	40	40	42
60.20 Appropriation (examinations fee)	35	47	49
60.20 Appropriation (breached bond/detention fund)	2	2	2
60.20 Appropriation (immigration enforcement account)	2	21	6
62.50 Appropriation (total mandatory)	79	110	99
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	50	50	50
70.00 Total new budget authority (gross)	706	795	951

Change in obligated balances:

72.40 Obligated balance, start of year	5	40	196
73.10 Total new obligations	699	796	951
73.20 Total outlays (gross)	-664	-640	-845
74.40 Obligated balance, end of year	40	196	302

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	587	530	656
86.93 Outlays from discretionary balances	6	17	93
86.97 Outlays from new mandatory authority	61	83	75
86.98 Outlays from mandatory balances	10	8	20
87.00 Total outlays (gross)	664	640	845

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-50	-50	-50

Net budget authority and outlays:

89.00 Budget authority	656	745	901
90.00 Outlays	614	590	795

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	640	728	883
90.00 Outlays	598	573	777

Support and Administration.—This account includes the resources for communications, records management, automated data processing, construction and engineering, research and development, legal proceedings, and the overall administration and management of the Service. This is intended to be a temporary account. These resources will eventually be spread between the Immigration Enforcement and Immigration Services accounts.

WORKLOAD

	2001 actual	2002 est.	2003 est.
Basic officer training completions	2,228	2,930	3,720
Alien Status Verification Services:			
Status verifications completed	7,662,688	8,056,590	8,464,670
Status verification average response time for government customers	2 days	2 days	2 days
Status verification average response time for employers	1 day	1 day	1 day
Information services inquiries telephone	10,576,338	12,000,000	13,200,000
Information services inquiries by internet	12,000,000	18,000,000	21,000,000

Also included are Federal funds in 2003 derived primarily from the Treasury Department's Federal Law Enforcement Training Center, and from the sale of excess INS-owned vehicles. The measures below reflect the expected outcomes from these activities.

Object Classification (in millions of dollars)

Identification code 15-1221-0-1-751	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	192	224	243
11.3 Other than full-time permanent	8	10	10
11.5 Other personnel compensation	7	7	7
11.9 Total personnel compensation	207	241	260
12.1 Civilian personnel benefits	70	72	83
13.0 Benefits for former personnel	6	6	6
21.0 Travel and transportation of persons	6	5	7
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	43	41	45
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	6	7	8
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	7	7	7
25.2 Other services	178	151	261
25.3 Other purchases of goods and services from Government accounts	105	15	18
25.7 Operation and maintenance of equipment	1	1	1
26.0 Supplies and materials	20	2	2
31.0 Equipment	10	134	17
32.0 Land and structures	2	60	182
42.0 Insurance claims and indemnities	1	1	1
99.0 Direct obligations	649	746	901
99.0 Reimbursable obligations	50	50	50
99.9 Total new obligations	699	796	951

Personnel Summary

Identification code 15-1221-0-1-751	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	3,386	3,687	3,875
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	1	1	1

FEDERAL PRISON SYSTEM

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For expenses necessary for the administration, operation, and maintenance of Federal penal and correctional institutions, including purchase (not to exceed [685] 713, of which [610] 504 are for replacement only) and hire of law enforcement and passenger motor vehicles, and for the provision of technical assistance and advice on corrections related issues to foreign governments, [\$3,808,600,000] \$4,208,459,000: *Provided*, That the Attorney General may transfer to the Health Resources and Services Administration such amounts as may be necessary for direct expenditures by that Administration for medical relief for inmates of Federal penal and correctional institutions: *Provided further*, That the Director of the Federal Prison System (FPS), where necessary, may enter into contracts with a fiscal agent/fiscal intermediary claims processor to determine the amounts payable to persons who, on behalf of FPS, furnish health services to individuals committed to the custody of FPS: *Provided further*, That not to exceed \$6,000 shall be available for official reception and representation expenses: *Provided further*, That not to exceed \$50,000,000 shall remain available for necessary operations until September 30, [2003] 2004: *Provided further*, That, of the amounts provided for Contract Confinement, not to exceed \$20,000,000 shall remain available until expended to make payments in advance for grants, contracts and reimbursable agreements, and other expenses authorized by section 501(c) of the Refugee Education Assistance Act of 1980, as amended, for the care and security in the United States of Cuban and Haitian entrants: *Provided further*, That the Director of the Federal Prison System may accept donated property and services relating to the operation of the prison card program from a not-for-profit entity which has operated such program in the past notwithstanding the fact that such not-for-profit entity furnishes services under contracts to the Federal Prison System relating to the operation of pre-release services, halfway houses or other custodial facilities. (*Department of Justice Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 15-1060-0-1-753	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
Operating expenses:			
00.01 Inmate care and programs	1,262	1,348	1,471
00.02 Institution security and administration	1,671	1,769	1,882
00.03 Contract confinement	498	639	659
00.04 Program direction	151	155	159
00.05 Inmate care and programs (VCRP)	1	1	1
00.91 Total operating expenses	3,583	3,912	4,171
01.01 Capital investment: Institutional improvements	39	25	38
01.92 Total direct program	3,622	3,937	4,209
09.01 Reimbursable program	34	31	32
10.00 Total new obligations	3,656	3,968	4,241
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	50	8
22.00 New budget authority (gross)	3,614	3,960	4,241
23.90 Total budgetary resources available for obligation	3,664	3,968	4,241
23.95 Total new obligations	-3,656	-3,968	-4,241
24.40 Unobligated balance carried forward, end of year	8

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	3,588	3,929	4,209
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-8
41.00 Transferred to other accounts	-14
43.00 Appropriation (total discretionary)	3,566	3,929	4,209
50.00 Reappropriation	14
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	24	31	32

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 15-1060-0-1-753	2001 actual	2002 est.	2003 est.
68.10 Change in uncollected customer payments from Federal sources (unexpired)	10		
68.90 Spending authority from offsetting collections (total discretionary)	34	31	32
70.00 Total new budget authority (gross)	3,614	3,960	4,241
Change in obligated balances:			
72.40 Obligated balance, start of year	516	459	641
73.10 Total new obligations	3,656	3,968	4,241
73.20 Total outlays (gross)	-3,730	-3,786	-4,056
73.40 Adjustments in expired accounts (net)	17		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-10		
74.10 Change in uncollected customer payments from Federal sources (expired)	10		
74.40 Obligated balance, end of year	459	641	826
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	3,210	3,389	3,629
86.93 Outlays from discretionary balances	520	397	427
87.00 Total outlays (gross)	3,730	3,786	4,056
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-24	-31	-32
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-10		
Net budget authority and outlays:			
89.00 Budget authority	3,580	3,929	4,209
90.00 Outlays	3,706	3,755	4,024
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	3,469	3,809	4,082
90.00 Outlays	3,595	3,635	3,897

This appropriation will provide for the custody and care of an average daily production of 143,197 offenders and for the maintenance and operation of 106 penal institutions, 6 regional offices, and a central office located in Washington, D.C.

The appropriation also finances the boarding of sentenced Federal prisoners in State and local jails and therapeutic, community residential and other facilities for short periods of time. An average daily population of 28,043 sentenced prisoners will be in contract facilities in 2003.

The Bureau receives reimbursements for daily care and maintenance of State and local offenders, for utilities used by Federal Prison Industries, Inc., for staff housing, and for meals provided to Bureau staff at institutions.

Inmate care and programs.—This activity covers the cost of all food, medical supplies, clothing, welfare services, release clothing, transportation, gratuities, staff salaries (including salaries of Health Resources and Services Administration commissioned officers), and operational costs of functions directly related to providing inmate care. This activity also finances the costs of academic, social and occupational education courses, religious programs, psychological services, and other inmate programs.

Institution security and administration.—This activity covers costs associated with the maintenance of facilities and

institution security. This activity finances institution maintenance, motor pool operations, powerhouse operations, institution security, and other administrative functions.

Contract confinement.—This activity provides for the confinement of sentenced Federal offenders in Government-owned, contractor-operated facilities and contract, State and local facilities, and for the care of Federal prisoners in contract community residential centers and assistance by the National Institute of Corrections to State and local corrections.

Management and administration.—This activity covers all costs associated with regional and central office executive direction and management support functions such as research and evaluation, systems support, financial management, human resources management, inmate systems management, safety, and legal counsel.

For 2003, program increases are requested for activation of four new facilities (4,416 beds): FCI Glenville, WV; USP Big Sandy, KY; USP McCreary County, KY, and USP Victorville, CA. Also included are funds to activate two expansions (764 beds): USP Marion, IL and FCI Safford, AZ. In addition, funds are provided to support an institution population adjustment and an offset for the elimination of the summer hire program and reductions in travel and the NIC.

Object Classification (in millions of dollars)

Identification code 15-1060-0-1-753	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	1,383	1,527	1,615
11.3 Other than full-time permanent	7	8	8
11.5 Other personnel compensation	132	149	152
11.8 Special personal services payments	1	1	2
11.9 Total personnel compensation	1,523	1,685	1,777
12.1 Civilian personnel benefits	732	787	846
13.0 Benefits for former personnel	1	1	1
21.0 Travel and transportation of persons	33	37	37
22.0 Transportation of things	12	13	14
23.1 Rental payments to GSA	14	12	13
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	150	161	176
24.0 Printing and reproduction	3	2	2
25.2 Other services	195	266	284
25.3 Other purchases of goods and services from Government accounts	14	15	15
25.4 Operation and maintenance of facilities	31	32	33
25.6 Medical care	80	89	98
25.7 Operation and maintenance of equipment	6	6	6
25.8 Subsistence and support of persons	386	394	402
26.0 Supplies and materials	340	354	407
31.0 Equipment	39	25	38
41.0 Grants, subsidies, and contributions	10	4	4
42.0 Insurance claims and indemnities	1		
99.0 Direct obligations	3,571	3,884	4,154
99.0 Reimbursable obligations	34	31	32
Allocation Account:			
11.1 Personnel compensation: Full-time permanent	33	34	36
12.1 Civilian personnel benefits	15	16	16
22.0 Transportation of things	1	1	1
25.2 Other services	2	2	2
99.0 Allocation account	51	53	55
99.9 Total new obligations	3,656	3,968	4,241

Personnel Summary

Identification code 15-1060-0-1-753	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	30,200	32,768	33,657
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	136	136	136

BUILDINGS AND FACILITIES

For planning, acquisition of sites and construction of new facilities; purchase and acquisition of facilities and remodeling, and equipping of such facilities for penal and correctional use, including all necessary expenses incident thereto, by contract or force account; and constructing, remodeling, and equipping necessary buildings and facilities at existing penal and correctional institutions, including all necessary expenses incident thereto, by contract or force account, **[\$813,552,000]** \$396,609,000, to remain available until expended, of which not to exceed \$14,000,000 shall be available to construct areas for inmate work programs: *Provided*, That labor of United States prisoners may be used for work performed under this appropriation: *Provided further*, That not to exceed 10 percent of the funds appropriated to "Buildings and Facilities" in this or any other Act may be transferred to "Salaries and Expenses", Federal Prison System, upon notification by the Attorney General to the Committees on Appropriations of the House of Representatives and the Senate in compliance with provisions set forth in section 605 of this Act. (*Department of Justice Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 15-1003-0-1-753	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 New construction	616	719	313
00.02 Modernization and repair of existing facilities	124	121	127
09.01 Reimbursable program	21		
10.00 Total new obligations	761	840	440
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	291	462	437
22.00 New budget authority (gross)	856	815	396
22.10 Resources available from recoveries of prior year obligations	75		
23.90 Total budgetary resources available for obligation	1,222	1,277	833
23.95 Total new obligations	-761	-840	-440
24.40 Unobligated balance carried forward, end of year	462	437	393
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	837	815	396
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-2		
43.00 Appropriation (total discretionary)	835	815	396
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	29		
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-8		
68.90 Spending authority from offsetting collections (total discretionary)	21		
70.00 Total new budget authority (gross)	856	815	396
Change in obligated balances:			
72.40 Obligated balance, start of year	967	1,032	1,327
73.10 Total new obligations	761	840	440
73.20 Total outlays (gross)	-628	-545	-777
73.45 Recoveries of prior year obligations	-75		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	8		
74.40 Obligated balance, end of year	1,032	1,327	990
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	105	82	41
86.93 Outlays from discretionary balances	523	463	736
87.00 Total outlays (gross)	628	545	777
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-29		
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	8		
Net budget authority and outlays:			
89.00 Budget authority	835	815	396
90.00 Outlays	599	545	777

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	834	814	395
90.00 Outlays	598	544	776

New construction.—This activity represents costs associated with the acquisition, construction, and leasing of facilities in order to reduce crowding and provide a safe and humane environment for staff and inmates. In 2003, resources are requested to construct one secure female facility, one medium security Federal Correctional Institution for male inmates, and expansion of three existing facilities all of which have prior funding.

Modernization and repair of existing facilities.—This activity includes rehabilitation and renovation of buildings, necessary modifications to accommodate new correctional programs, rehabilitation or replacement of utilities systems, and repair projects at existing facilities.

Object Classification (in millions of dollars)

Identification code 15-1003-0-1-753	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	17	23	23
12.1 Civilian personnel benefits	7	11	11
21.0 Travel and transportation of persons	2	2	2
22.0 Transportation of things		1	1
23.2 Rental payments to others	9	9	9
23.3 Communications, utilities, and miscellaneous charges	32	35	18
24.0 Printing and reproduction	1	1	1
25.2 Other services	641	725	348
26.0 Supplies and materials	20	21	18
31.0 Equipment	6	7	6
32.0 Land and structures	5	5	3
99.0 Direct obligations	740	840	440
99.0 Reimbursable obligations	21		
99.9 Total new obligations	761	840	440

Personnel Summary

Identification code 15-1003-0-1-753	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	263	370	350

Intragovernmental funds:

FEDERAL PRISON INDUSTRIES, INCORPORATED

The Federal Prison Industries, Incorporated, is hereby authorized to make such expenditures, within the limits of funds and borrowing authority available, and in accord with the law, and to make such contracts and commitments, without regard to fiscal year limitations as provided by section 9104 of title 31, United States Code, as may be necessary in carrying out the program set forth in the budget for the current fiscal year for such corporation, including purchase (not to exceed five for replacement only) and hire of passenger motor vehicles. (*Department of Justice Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 15-4500-0-4-753	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Operating expenses:			
09.01 Production expenses	562	587	620
09.02 Administrative expenses	3	3	3
09.03 Other expenses	32	40	40
09.09 Total operating expenses	597	630	663
Capital Investment:			
09.10 Buildings and improvements	1	1	1

Intragovernmental funds—Continued**FEDERAL PRISON INDUSTRIES, INCORPORATED—Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 15-4500-0-4-753	2001 actual	2002 est.	2003 est.
09.11 Machinery and equipment	4	6	6
09.19 Total capital investment	5	7	7
10.00 Total new obligations	602	637	670
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	29	6	19
22.00 New budget authority (gross)	578	650	687
23.90 Total budgetary resources available for obligation	607	656	706
23.95 Total new obligations	-602	-637	-670
24.40 Unobligated balance carried forward, end of year	6	19	36
New budget authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections: Off-			
setting collections (cash)	3	3	3
Mandatory:			
69.00 Offsetting collections (cash)	575	647	684
70.00 Total new budget authority (gross)	578	650	687
Change in obligated balances:			
72.40 Obligated balance, start of year	27	48	35
73.10 Total new obligations	602	637	670
73.20 Total outlays (gross)	-581	-650	-687
74.40 Obligated balance, end of year	48	35	18
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	3	3	3
86.93 Outlays from discretionary balances	3		
86.97 Outlays from new mandatory authority	575	647	684
87.00 Total outlays (gross)	581	650	687
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-578	-650	-687
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	2		
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value	30	28	65
92.02 Total investments, end of year: Federal securities:			
Par value	28	65	65

Federal Prison Industries, Inc., was created by Congress in 1934 and is a wholly-owned Government corporation. Its mission is to employ and train Federal inmates through a diversified program providing products and services to other Federal agencies. These operations are conducted in such a manner as to offer a minimum of competition to private industry and labor. Employment provides inmates with work, occupational knowledge and skills, plus money for personal expenses and family assistance.

The Corporation strives to provide additional industrial employment opportunities at existing and planned institutions.

Budget program.—Federal Prison Industries, Inc., operations are entirely self-sustaining. No appropriations are required. The amounts used by the Corporation for administrative expenses are subject to a congressional limitation. Information regarding this limitation is provided separately following this account.

Financing program.—Revenues are derived entirely from the sale of products and services to other Federal agencies. Operating expenses are applied against these revenues, resulting in operating income or loss. Earnings surplus to the needs of the manufacturing operations, capital improvements and cash reserves are used to pay accident compensation.

Operating results.—To date, Federal Prison Industries, Inc., has returned to the Treasury a total of \$82 million of retained income excess to the Corporation's needs. No contributions from budget authority have been made to offset deficits for non-revenue producing outlays since the inception of the fund.

Object Classification (in millions of dollars)

Identification code 15-4500-0-4-753	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	90	98	101
11.3 Other than full-time permanent		1	1
11.5 Other personnel compensation	7	8	8
11.8 Special personal services payments	44	47	47
11.9 Total personnel compensation	141	154	157
12.1 Civilian personnel benefits	51	59	73
21.0 Travel and transportation of persons	3	4	5
22.0 Transportation of things	6	17	18
23.2 Rental payments to others	2	2	2
23.3 Communications, utilities, and miscellaneous charges	12	11	12
24.0 Printing and reproduction	12	7	7
25.2 Other services	32	31	32
26.0 Supplies and materials	335	342	353
31.0 Equipment	4	6	6
32.0 Land and structures	1	1	1
93.0 Limitation on expenses	3	3	4
99.0 Reimbursable obligations	602	637	670
99.9 Total new obligations	602	637	670

Personnel Summary

Identification code 15-4500-0-4-753	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	1,634	1,982	2,029

LIMITATION ON ADMINISTRATIVE EXPENSES, FEDERAL PRISON INDUSTRIES, INCORPORATED

Not to exceed \$3,429,000 of the funds of the corporation shall be available for its administrative expenses, and for services as authorized by 5 U.S.C. 3109, to be computed on an accrual basis to be determined in accordance with the corporation's current prescribed accounting system, and such amounts shall be exclusive of depreciation, payment of claims, and expenditures which the said accounting system requires to be capitalized or charged to cost of commodities acquired or produced, including selling and shipping expenses, and expenses in connection with acquisition, construction, operation, maintenance, improvement, protection, or disposition of facilities and other property belonging to the corporation or in which it has an interest. (*Department of Justice Appropriations Act, 2002.*)

Object Classification (in millions of dollars)

Identification code 15-4500-0-4-753	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	1	1	1
26.0 Supplies and materials	2	2	2
93.0 Limitation on expenses	-3	-3	-3
99.0 Limitation acct—reimbursable obligations			

Personnel Summary

Identification code 15-4500-0-4-753	2001 actual	2002 est.	2003 est.
7001 Total compensable workyears: Full-time equivalent employment	32	32	32

Trust Funds

COMMISSARY FUNDS, FEDERAL PRISONS
(TRUST REVOLVING FUND)

Unavailable Collections (in millions of dollars)

Identification code 15-8408-0-8-753	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.80 Commissary funds, Federal prisons, offsetting collections	215	220	227
Appropriations:			
05.00 Commissary funds, Federal prisons	-215	-220	-227
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 15-8408-0-8-753	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.00 Reimbursable program	233	241	248
10.00 Total new obligations	233	241	248
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	91	75	56
22.00 New budget authority (gross)	217	222	227
23.90 Total budgetary resources available for obligation	308	297	283
23.95 Total new obligations	-233	-241	-248
24.40 Unobligated balance carried forward, end of year	75	56	35
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	217	222	227
Change in obligated balances:			
72.40 Obligated balance, start of year	16	22	22
73.10 Total new obligations	233	241	248
73.20 Total outlays (gross)	-227	-241	-248
74.40 Obligated balance, end of year	22	22	22
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	217	222	227
86.98 Outlays from mandatory balances	10	19	21
87.00 Total outlays (gross)	227	241	248
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-217	-222	-227
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	10	19	21
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value	101	92	100
92.02 Total investments, end of year: Federal securities: Par value	92	100	100

Budget program.—The commissary fund consists of the operation of commissaries for the inmates as an earned privilege.

Financing.—Profits are derived from the sale of goods and services to inmates. Sales for 2003 are estimated at \$246 million. Adequate working capital is assured from retained earnings.

Operating results.—Profits received are used for programs, goods, and services for the benefit of inmates.

Object Classification (in millions of dollars)

Identification code 15-8408-0-8-753	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	21	23	23

11.5	Other personnel compensation	1	1	1
11.8	Special personal services payments	28	28	28
11.9	Total personnel compensation	49	52	52
12.1	Civilian personnel benefits	11	11	12
21.0	Travel and transportation of persons	1	1	1
25.2	Other services	5	5	5
26.0	Supplies and materials	163	168	173
31.0	Equipment	4	4	5
99.9	Total new obligations	233	241	248

Personnel Summary

Identification code 15-8408-0-8-753	2001 actual	2002 est.	2003 est.
2001	Total compensable workyears: Full-time equivalent employment		
	478	619	642

OFFICE OF JUSTICE PROGRAMS

Federal Funds

General and special funds:

JUSTICE ASSISTANCE

For grants, contracts, cooperative agreements, and other assistance authorized by title I of the Omnibus Crime Control and Safe Streets Act of 1968, as amended [“(the 1968 Act”)], [and], section 821 of the Antiterrorism and Effective Death Penalty Act of 1996, the Missing Children’s Assistance Act, as amended, including salaries and expenses in connection therewith, and with the Victims of Crime Act of 1984, as amended, [\$185,514,000] \$215,811,000, to remain available until expended, as authorized by section 1001 of [title I of the Omnibus Crime Control and Safe Streets Act of 1968, as amended by Public Law 102-534 (106 Stat. 3524)] 1968 Act.

[In addition, for grants, cooperative agreements, and other assistance authorized by sections 819 and 821 of the Antiterrorism and Effective Death Penalty Act of 1996 and for other counterterrorism programs, \$251,494,000, to remain available until expended.] (Department of Justice Appropriations Act, 2002; additional authorizing legislation required.)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for “Justice Assistance”, \$400,000,000, to remain available until expended, for grants, cooperative agreements, and other assistance authorized by sections 819 and 821 of the Antiterrorism and Effective Death Penalty Act of 1996 and section 1014 of the USA PATRIOT ACT (Public Law 107-56) and for other counter terrorism programs, to be obligated from amounts made available in Public Law 107-38, of which \$9,800,000 is for an aircraft for counter terrorism and other required activities for the City of New York.] (Emergency Supplemental Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 15-0401-0-1-754	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Direct program:				
00.01	Research, evaluation, and demonstration programs	45	66	66
00.02	Technology centers	10	12	12
00.03	Criminal justice statistics program	29	34	34
00.05	Missing children	21	29	29
00.06	Regional information sharing system	25	28	25
00.07	White collar crime and information center	9	9	9
00.09	Counterterrorism programs	86	857	
00.14	Crime control		2	
00.15	Management and administration	51	64	48
09.01	Reimbursable program	311	598	444
10.00	Total new obligations	587	1,699	667
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	179	414	
22.00	New budget authority (gross)	815	1,275	667
22.10	Resources available from recoveries of prior year obligations	7	10	
23.90	Total budgetary resources available for obligation	1,001	1,699	667
23.95	Total new obligations	-587	-1,699	-667
24.40	Unobligated balance carried forward, end of year	414		

General and special funds—Continued

JUSTICE ASSISTANCE—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 15-0401-0-1-754	2001 actual	2002 est.	2003 est.
New budget authority (gross), detail:			
Discretionary:			
40.00	421	839	216
40.35	-1		
42.00	7	7	7
43.00	427	846	223
68.00	388	429	444
70.00	815	1,275	667
Change in obligated balances:			
72.40	478	608	1,456
73.10	587	1,699	667
73.20	-450	-841	-1,203
73.45	-7	-10	
74.40	608	1,456	920
Outlays (gross), detail:			
86.90	182	617	495
86.93	268	224	708
87.00	450	841	1,203
Offsets:			
Against gross budget authority and outlays:			
88.00	-388	-429	-444
Net budget authority and outlays:			
89.00	427	846	223
90.00	62	412	759

Note.—For 2003, counterterrorism programs previously funded within Justice Assistance are requested within FEMA's Emergency Management and Assistance account.

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00	425	844	221
90.00	60	410	757

The Office of Justice Programs (OJP) carries out policy coordination and general management responsibilities for the Bureau of Justice Assistance, Bureau of Justice Statistics, National Institute of Justice, Office of Juvenile Justice and Delinquency Prevention, Office for Victims of Crime, and six program offices. The following OJP programs are funded through the Justice Assistance account.

Research, evaluation, and demonstration programs.—Funds provide for and encourage the development of basic and applied research for the improvement of Federal, State, and local criminal, civil, and juvenile justice systems; new methods for the prevention and reduction of crime and the detection, apprehension, and rehabilitation of criminals; and the dissemination of the results of such research efforts. In 2003, funding is requested for a comprehensive hate crimes study and the expansion of the Arrestee Drug Abuse Monitoring system to 50 sites.

Technology centers.—These technology centers were established to bring technology information directly to law enforcement agencies, principally to State and local levels, and to provide a source of objective technology information. In 2003, funding is requested to continue research and development of technology to assist State and local law enforcement to combat terrorism.

Criminal justice statistical programs.—Funds provide for the collection and analysis of statistical information concerning crime, victims, offenders, criminal justice processes,

juvenile delinquency, and civil disputes in support of public and private policy and decisionmaking about society's response to crime; planning, coordination, implementation and provision of technical assistance to States to initiate innovative applications of communications and information systems technology for State and local criminal justice systems; and assurance of conformity with privacy and security regulations.

Missing children.—Funds are used to reduce the incidence of crimes against children, particularly kidnaping and sexual exploitation, by assisting families, citizen groups, law enforcement agencies and government institutions in a national effort to insure the safety and protection of children. In 2003, funding is requested to expand the Internet Crimes Against Children Task Forces.

Regional information sharing system.—Funds aid State and local law enforcement agencies in the exchange of intelligence information.

National White Collar Crime Center.—Funds provide assistance to State and local law enforcement and regulatory agencies in addressing multi-jurisdictional white collar crimes.

Counterterrorism Programs.—Beginning in 2003, the activities of the Office for Domestic Preparedness are funded within the Federal Emergency Management Agency.

Management and administration.—Funds provide executive direction and control, program operation, and administrative support of the Office of Justice Programs.

The planned distribution of budget authority by fiscal year is as follows (in millions of dollars):

JUSTICE ASSISTANCE

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Research, evaluation, and demonstration programs	70	55	78
Criminal justice statistical programs	29	32	34
Missing children	23	23	29
Regional information sharing system	25	28	25
White collar information center	9	9	9
Counterterrorism program	220	652	0
Management and administration	41	38	41
Total	417	837	216

Object Classification (in millions of dollars)

Identification code 15-0401-0-1-754	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1	15	26	25
11.3	2	2	2
11.5	1	1	
11.8	1		
11.9	19	29	27
12.1	6	9	9
21.0	5	5	3
23.1	11	11	6
23.3	2	2	1
24.0	1	1	1
25.1	23	10	3
25.2	35	47	14
25.3	52	89	43
26.0	2	2	1
31.0	1	2	1
41.0	119	894	114
99.0	276	1,101	223
99.0	311	598	444
99.9	587	1,699	667

Personnel Summary

Identification code 15-0401-0-1-754	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	427	453	403
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	400	513	401

STATE AND LOCAL LAW ENFORCEMENT ASSISTANCE

For assistance authorized by the Violent Crime Control and Law Enforcement Act of 1994 (Public Law 103-322), as amended ("the 1994 Act"); the Omnibus Crime Control and Safe Streets Act of 1968, as amended ("the 1968 Act"); the Victims of Child Abuse Act of 1990, as amended ("the 1990 Act"); and the Victims of Trafficking and Violence Protection Act of 2000 (Public Law 106-386); **[\$2,403,354,000] \$751,878,000** (including amounts for administrative costs, which shall be transferred to and merged with the "Justice Assistance" account), to remain available until expended as follows:

[(1) \$400,000,000 for Local Law Enforcement Block Grants, pursuant to H.R. 728 as passed by the House of Representatives on February 14, 1995, except that for purposes of this Act and retroactive to October 1, 2000, Guam shall be considered as one "State" for all purposes under H.R. 728, notwithstanding any provision of section 108(3) thereof, the Commonwealth of Puerto Rico shall be considered a "unit of local government" as well as a "State", for the purposes set forth in paragraphs (A), (B), (D), (F), and (I) of section 101(a)(2) of H.R. 728, and for establishing crime prevention programs involving cooperation between community residents and law enforcement personnel in order to control, detect, or investigate crime or the prosecution of criminals: *Provided*, That no funds provided under this heading may be used as matching funds for any other Federal grant program, of which:

(A) \$70,000,000 shall be for Boys and Girls Clubs in public housing facilities and other areas in cooperation with State and local law enforcement: *Provided*, That funds may also be used to defray the costs of indemnification insurance for law enforcement officers;

(B) \$19,956,000 shall be available for grants, contracts, and other assistance to carry out section 102(c) of H.R. 728;]

(1) \$1,250,000 for *Hate Crimes Training and Technical Assistance*

[(2) \$565,000,000 for the State Criminal Alien Assistance Program, as authorized by section 242(j) of the Immigration and Nationality Act, as amended];

[(3) \$20,000,000 for the Cooperative Agreement Program;]

[(4) \$48,162,000] (2) \$12,971,000 for assistance to Indian tribes, of which:

(A) [\$35,191,000 shall be available for grants under section 20109(a)(2) of subtitle A of title II of the 1994 Act;

(B) \$7,982,000 shall be available for the Tribal Courts Initiative; and

[(C) (B) \$4,989,000 shall be available for demonstration grants on alcohol and crime in Indian Country;

[(5) \$594,489,000 for programs authorized by part E of title I of the 1968 Act, notwithstanding the provisions of section 511 of said Act, of which \$94,489,000 shall be for discretionary grants under the Edward Byrne Memorial State and Local Law Enforcement Assistance Programs;]

[(6) (3) \$11,975,000 for the Court Appointed Special Advocate Program, as authorized by section 218 of the 1990 Act;

[(7) (4) \$2,296,000 for Child Abuse Training Programs for Judicial Personnel and Practitioners, as authorized by section 224 of the 1990 Act;

[(8) (5) \$998,000 for grants for televised testimony, as authorized by section 1001(a)(7) of the 1968 Act;

[(9) \$184,737,000] (6) \$184,537,000 for Grants to Combat Violence Against Women, to States, units of local government, and Indian tribal governments, as authorized by section 1001(a)(18) of the 1968 Act, of which:

(A) \$1,000,000 shall be for the Bureau of Justice Statistics for grants, contracts, and other assistance for a domestic violence Federal case processing study;

(B) \$5,200,000 shall be for the National Institute of Justice for grants, contracts, and other assistance for research and evaluation of violence against women; and

(C) \$10,000,000 shall be for the Office of Juvenile Justice and Delinquency Prevention for the Safe Start Program, to be administered as authorized by part C of the Juvenile Justice and Delinquency Act of 1974, as amended; [and

(D) \$200,000 for the Attorney General to conduct a study and prepare a report to be submitted to the Subcommittee on Commerce, Justice and State Appropriations of the Senate and House of Representatives Appropriations Committee on the response of local law enforcement agencies to emergency calls involving domestic violence;]

[(10) (7) \$64,925,000 for Grants to Encourage Arrest Policies to States, units of local government, and Indian tribal governments, as authorized by section 1001(a)(19) of the 1968 Act;

[(11) (8) \$39,945,000 for Rural Domestic Violence and Child Abuse Enforcement Assistance Grants, as authorized by section 40295 of the 1994 Act;

[(12) (9) \$4,989,000 for training programs to assist probation and parole officers who work with released sex offenders, as authorized by section 40152(c) of the 1994 Act, and for local demonstration projects;

[(13) (10) \$3,000,000 for grants to States and units of local government to improve the process for entering data regarding stalking and domestic violence into local, State, and national crime information databases, as authorized by section 40602 of the 1994 Act;

[(14) (11) \$10,000,000 for grants to reduce Violent Crimes Against Women on Campus, as authorized by section 1108(a) of Public Law 106-386;

[(15) (12) \$40,000,000 for Legal Assistance for Victims, as authorized by section 1201 of Public Law 106-386;

[(16) (13) \$5,000,000 for enhancing protection for older and disabled women from domestic violence and sexual assault as authorized by section 40801 of the 1994 Act;

[(17) (14) \$15,000,000 for the Safe Havens for Children Pilot Program as authorized by section 1301 of Public Law 106-386;

[(18) \$200,000 for the study of standards and processes for forensic exams of domestic violence, as authorized by section 1405 of Public Law 106-386;]

[(19) (15) \$7,500,000 for Education and Training to end violence against and abuse of women with disabilities, as authorized by section 1402 of Public Law 106-386;

[(20) \$10,000,000 for victim services programs for victims of trafficking, as authorized by section 107(b)(2) of Public Law 106-386;]

[(21) \$70,000,000] (16) \$77,000,000 for grants for residential substance abuse treatment for State prisoners, as authorized by section 1001(a)(17) of the 1968 Act: *Provided*, That States that have in-prison drug treatment programs, in compliance with Federal requirements, may use their residential substance abuse grant funds for treatment, both during incarceration and after release;

[(22) \$898,000 for the Missing Alzheimer's Disease Patient Alert Program, as authorized by section 240001(c) of the 1994 Act;]

[(23) \$50,000,000] (17) \$52,000,000 for Drug Courts, as authorized by title V of the 1994 Act;

[(24) (18) \$1,497,000 for Law Enforcement Family Support Programs, as authorized by section 1001(a)(21) of the 1968 Act;

[(25) (19) \$1,995,000 for public awareness programs addressing marketing scams aimed at senior citizens, as authorized by section 250005(3) of the 1994 Act; and

[(26) \$249,450,000] (20) \$215,000,000 for Juvenile Accountability Incentive Block Grants, of which [\$38,000,000] \$75,000,000 shall be available for grants, contracts, and other assistance under the Project ChildSafe Initiative, except that such funds shall be subject to the same terms and conditions as set forth in the provisions under this heading for this program in Public Law 105-119, but all references in such provisions to 1998 shall be deemed to refer instead to [2002] 2003, and Guam shall be considered a "State" for the purposes of title III of H.R. 3, as passed by the House of Representatives on May 8, 1997; and

(27) \$1,298,000 for Motor Vehicle Theft Prevention Programs, as authorized by section 220002(h) of the 1994 Act:

Provided, That funds made available in fiscal year 2002 under subpart 1 of part E of title I of the 1968 Act may be obligated for programs to assist States in the litigation processing of death penalty Federal habeas corpus petitions and for drug testing initiatives: *Provided further*, That, if a unit of local government uses any of the funds made available under this title to increase the number of law enforcement officers, the unit of local government will achieve a net gain in the number of law enforcement officers who perform

General and special funds—Continued

STATE AND LOCAL LAW ENFORCEMENT ASSISTANCE—Continued

nonadministrative public safety service]. (Department of Justice Appropriations Act, 2002.)

[for emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, \$251,100,000 shall be for discretionary grants, including equipment, under the Edward Byrne Memorial State and Local Law Enforcement Assistance Program, to remain available until expended, to be obligated from amounts made available in Public Law 107–38.] (Emergency Supplemental Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 15–0404–0–1–754	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Direct program:				
00.01	Local law enforcement block grant	539	476	
00.02	State criminal alien assistance	1,136	570	
00.03	Correctional facilities	450	34	
00.04	Incarceration on tribal lands	20	35	
00.05	Cooperative agreement program	30	20	
00.06	Tribal courts initiative	2	18	8
00.07	Edward Byrne formula grants	525	531	
00.08	Edward Byrne discretionary grants	77	373	
00.09	Court appointed special advocate	11	12	12
00.10	Child abuse training programs for judicial personnel	2	2	2
00.11	Violence against women act: STOP grants	167	208	185
00.12	Violence against women act: Encourage arrest policies	34	66	65
00.13	Violence against women act: Rural domestic violence and child abuse enforcement assistance	28	43	40
00.14	Violence against women act: Training programs to assist probation and parole officers	3	7	5
00.15	Grants for closed circuit televising	2	1	1
00.16	Residential substance abuse treatment	61	81	77
00.17	Missing alzheimer's program	1	1	
00.18	Motor vehicle theft prevention	2	3	
00.19	Drug courts	51	55	52
00.20	Law enforcement family support	1	3	1
00.21	Countering telemarketing scams	3	3	2
00.22	Indian country grant program		10	5
00.23	Juvenile incentive block grant	251	261	215
00.24	Stalking and domestic violence information databases		3	3
00.25	Grants to reduce violent crimes against women on campus	10	12	10
00.26	Legal assistance for victims	31	42	40
00.27	Enhancing protections for older & disabled women from domestic violence & sexual assault		5	5
00.28	Safe havens for children pilot program		15	15
00.29	Education and training to end violence against and abuse of women with disabilities		8	8
00.30	Victims of trafficking		10	
00.31	Hate crimes training and technical assistance			1
00.32	Other crime control programs	1	2	
09.01	Reimbursable program	88	110	875
10.00	Total new obligations	3,526	3,020	1,627
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	649	201	
22.00	New budget authority (gross)	3,036	2,754	1,627
22.10	Resources available from recoveries of prior year obligations	42	65	
23.90	Total budgetary resources available for obligation	3,727	3,020	1,627
23.95	Total new obligations	–3,526	–3,020	–1,627
24.40	Unobligated balance carried forward, end of year	201		
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	2,859	2,654	752
40.35	Appropriation rescinded	–6		
41.00	Transferred to other accounts	–6		
43.00	Appropriation (total discretionary)	2,847	2,654	752
Spending authority from offsetting collections:				
68.00	Offsetting collections (cash)	188	100	875
68.10	Change in uncollected customer payments from Federal sources (unexpired)	1		

68.90	Spending authority from offsetting collections (total discretionary)	189	100	875
70.00	Total new budget authority (gross)	3,036	2,754	1,627
Change in obligated balances:				
72.40	Obligated balance, start of year	1,330	4,974	6,107
73.10	Total new obligations	3,526	3,020	1,627
73.20	Total outlays (gross)	161	–1,822	–4,015
73.45	Recoveries of prior year obligations	–42	–65	
74.00	Change in uncollected customer payments from Federal sources (unexpired)	–1		
74.40	Obligated balance, end of year	4,974	6,107	3,719
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	712	684	1,040
86.93	Outlays from discretionary balances	–873	1,138	2,975
87.00	Total outlays (gross)	–161	1,822	4,015
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	–188	–100	–875
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	–1		
Net budget authority and outlays:				
89.00	Budget authority	2,847	2,654	752
90.00	Outlays	–349	1,722	3,140

Programs funded in the State and Local Law Enforcement Assistance account provide a wide range of assistance to States, local and tribal governments with crime and drug control efforts. Funding is provided for law enforcement and prevention activities, and supports several programs, including:

State prison drug treatment.—Funds provide assistance to States to establish residential substance abuse treatment programs in State prisons and local correctional and detention facilities, aimed at reducing recidivism by ensuring that offenders are held accountable for their actions by addressing the myriad problems associated with the lifestyle of drug use and addiction. The 2003 budget proposes a \$7 million increase.

Drug courts.—Funds provide assistance to States and local units of government to develop and implement programs for non-violent offenders with substance abuse problems. These programs use the power of the courts and continuing supervision to coerce abstinence through graduated sanctions and the integrated administration of other services such as drug testing and drug treatment. The 2003 budget proposes a \$2 million increase.

Violence against women.—Funds provide assistance to States, local units of government and to other public or private entities to develop and strengthen effective law enforcement and prosecution strategies to combat violence against women, to implement proarrest programs, to establish and expand cooperative efforts to address domestic violence and child abuse in rural areas, and to provide expanded victim services as authorized by the Victims of Trafficking and Violence Protection Act of 2000 (P.L. 106–386).

Juvenile Accountability Block Grants.—Provides assistance to State and local governments for programs strengthening juvenile justice and corrections. The 2003 Budget proposes to allocate \$75 million to Project ChildSafe, a Federal, State, and local partnership to ensure that child safety locks are made available for every handgun in America.

The 2003 Budget reduces a number of State and Local Enforcement Assistance programs from their 2002 level, primarily those that have already served their primary purpose or are less essential to core Federal law enforcement objectives. These reductions include:

State Criminal Alien Assistance Program (–\$565 million).—Reimburses a small portion of States' incarceration costs and contributes little to reducing violent crime.

Local Law Enforcement Block Grants (–\$400 million) and Byrne Formula Grant Program (–\$500 million).—These are consolidated into the Justice Assistance Grant Program described in the Community Oriented Policing Services Section.

Byrne discretionary grant program (–\$94.5 million).—Has been heavily earmarked in recent years, reducing its usefulness in funding innovative law enforcement programs.

Other state and local reductions include: Juvenile accountability Incentive Block Grants (–\$34.5 million); Tribal Prison Constuction (–\$35.2 million); Cooperative Agreement Program (–\$20 million); Victims of Trafficking (–\$10 million); Motor Vehicle Theft Program (–\$1.3 million); and the Missing Alzheimers Patients Program (–\$.9 million).

Object Classification (in millions of dollars)			
Identification code 15–0404–0–1–754	2001 actual	2002 est.	2003 est.
Direct obligations:			
21.0 Travel and transportation of persons	3	3	1
25.1 Advisory and assistance services	1	2	2
25.2 Other services	45	40	35
25.3 Other purchases of goods and services from Government accounts	103	100	80
41.0 Grants, subsidies, and contributions	3,286	2,765	634
99.0 Direct obligations	3,438	2,910	752
99.0 Reimbursable obligations	88	110	875
99.9 Total new obligations	3,526	3,020	1,627

VIOLENT CRIME REDUCTION PROGRAMS, STATE AND LOCAL LAW ENFORCEMENT ASSISTANCE

Program and Financing (in millions of dollars)			
Identification code 15–8586–0–1–754	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.21 Other crime control programs	94		
10.00 Total new obligations (object class 41.0)	94		
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	94		
23.95 Total new obligations	–94		
Change in obligated balances:			
72.40 Obligated balance, start of year	2,999		
73.10 Total new obligations	94		
73.20 Total outlays (gross)	–3,092		
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	3,092		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	3,092		

The authorities of the Violent Crime Reduction Trust Fund have expired. Therefore, these programs are reflected in the State and Local Law Enforcement Assistance Account.

WEED AND SEED PROGRAM FUND

For necessary expenses, including salaries and related expenses of the Executive Office for Weed and Seed, to implement “Weed and Seed” program activities, \$58,925,000, to remain available until expended, for inter-governmental agreements, including grants, cooperative agreements, and contracts, with State and local law enforcement agencies, non-profit organizations, and agencies of local government engaged in the investigation and prosecution of violent crimes and drug offenses in “Weed and Seed” designated communities, and for either reimbursements or transfers to appropriation accounts of the Department of Justice and other Federal agencies which shall be specified by the Attorney General to execute the “Weed and Seed” program strategy: *Provided*, That funds designated by Congress

through language for other Department of Justice appropriation accounts for “Weed and Seed” program activities shall be managed and executed by the Attorney General through the Executive Office for Weed and Seed: *Provided further*, That the Attorney General may direct the use of other Department of Justice funds and personnel in support of “Weed and Seed” program activities only after the Attorney General notifies the Committees on Appropriations of the House of Representatives and the Senate in accordance with section 605 of this Act. (*Department of Justice Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)			
Identification code 15–0334–0–1–751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	39	62	59
09.01 Reimbursable program	16		
10.00 Total new obligations	55	62	59
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	5	1	
22.00 New budget authority (gross)	50	59	59
22.10 Resources available from recoveries of prior year obligations	1	2	
23.90 Total budgetary resources available for obligation	56	62	59
23.95 Total new obligations	–55	–62	–59
24.40 Unobligated balance carried forward, end of year	1		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	34	59	59
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)		16	
68.10 Change in uncollected customer payments from Federal sources (unexpired)	16	–16	
68.90 Spending authority from offsetting collections (total discretionary)	16		
70.00 Total new budget authority (gross)	50	59	59
Change in obligated balances:			
72.40 Obligated balance, start of year	60	53	72
73.10 Total new obligations	55	62	59
73.20 Total outlays (gross)	–45	–57	–56
73.45 Recoveries of prior year obligations	–1	–2	
74.00 Change in uncollected customer payments from Federal sources (unexpired)	–16	16	
74.40 Obligated balance, end of year	53	72	75
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	7	13	13
86.93 Outlays from discretionary balances	38	44	43
87.00 Total outlays (gross)	45	57	56
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources		–16	
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	–16	16	
Net budget authority and outlays:			
89.00 Budget authority	34	59	59
90.00 Outlays	45	41	56

Weed and Seed provides training and technical assistance to designated neighborhoods and communities to develop and coordinate crime and drug prevention and enforcement programs.

Object Classification (in millions of dollars)			
Identification code 15–0334–0–1–751	2001 actual	2002 est.	2003 est.
Direct obligations:			
21.0 Travel and transportation of persons		1	1
25.2 Other services	5	5	5
25.3 Other purchases of goods and services from Government accounts	2	2	2

General and special funds—Continued

WEED AND SEED PROGRAM FUND—Continued

Object Classification (in millions of dollars)—Continued

Identification code 15-0334-0-1-751	2001 actual	2002 est.	2003 est.
41.0 Grants, subsidies, and contributions	32	54	51
99.0 Direct obligations	39	62	59
99.0 Reimbursable obligations	16		
99.9 Total new obligations	55	62	59

COMMUNITY ORIENTED POLICING SERVICES

For activities authorized by the Violent Crime Control and Law Enforcement Act of 1994, Public Law 103-322 (“the 1994 Act”) (including administrative costs), **[\$1,050,440,000] \$1,381,862,000**, to remain available until expended: *Provided*, [That prior year balances available in this program shall be used for the direct hiring of law enforcement officers through the Universal Hiring Program: *Provided further*], That section 1703 (b) and (c) of the 1968 Act shall not apply to non-hiring grants made pursuant to part Q of title I thereof (42 U.S.C. 3796dd et seq.): *Provided further*, That all prior year balances derived from the Violent Crime Trust Fund for Community Oriented Policing Services may be transferred into this appropriation: *Provided further*, That the officer redeployment demonstration described in section 1701(b)(1)(C) shall not apply to equipment, technology, support system or overtime grants made pursuant to part Q of title I thereof (42 U.S.C. 3796dd et seq.).

Of the amounts provided:

(1) for Public Safety and Community Policing Grants pursuant to title I of the 1994 Act, **[\$496,014,000] \$126,106,000** as follows: **[\$330,000,000]** for the hiring of law enforcement officers, including up to \$180,000,000 for school resource officers; **\$20,662,000]** \$20,662,000 for training and technical assistance; **\$25,444,000]** for the matching grant program for Law Enforcement Armor Vests pursuant to section 2501 of part Y of the Omnibus Crime Control and Safe Streets Act of 1968, as amended (“the 1968 Act”); **[\$35,000,000] \$30,000,000** to improve tribal law enforcement including equipment and training; **[\$70,473,000] \$20,000,000** for policing initiatives to combat methamphetamine production and trafficking and to enhance policing initiatives in “drug hot spots”; and **[\$14,435,000] \$30,000,000** for Police Corps education, training, and service under sections 200101–200113 of the 1994 Act: *Provided*, *That funding agreements shall include the funding for the outyear program costs of new recruits*;

(2) for crime technology, **[\$351,632,000] \$282,500,000** as follows: **[\$154,345,000]** for a law enforcement technology program; **[\$35,000,000] \$50,000,000** for the *COPS InfoTech grant program*; **\$60,000,000** for grants to upgrade criminal records, as authorized under the Crime Identification Technology Act of 1998 (42 U.S.C. 14601); **\$40,000,000** for DNA analysis and backlog reduction [of which **\$35,000,000** shall], to be used as authorized by the DNA Analysis Backlog Elimination Act of 2000 (Public Law 106-546) [and of which **\$5,000,000** shall be available for Paul Coverdell Forensic Sciences Improvement Grants under part BB of title I of the Omnibus Crime Control and Safe Streets Act of 1968 (42 U.S.C. 3797j et seq.)]; **\$35,000,000** for State and local DNA laboratories as authorized by section 1001(a)(22) of the 1968 Act, and for improvements to *state and local forensic laboratory general forensic science capacity and capabilities*; and **[\$87,287,000] \$80,500,000** for grants, contracts and other assistance to States under section 102(b) of the Crime Identification Technology Act of 1998 (42 U.S.C. 14601), of which **\$7,000,000** is for the *electronic dissemination of terrorism threat information to state and local agencies*; and **\$17,000,000** is for the National Institute of Justice for grants, contracts, and other agreements to develop school safety technologies and training;

(3) for prosecution assistance, **\$99,780,000** as follows: **\$49,780,000** for a national program to reduce gun violence, and **\$50,000,000** for the Southwest Border Prosecutor Initiative [to reimburse State, county, parish, tribal, or municipal governments only for Federal costs associated with the prosecution of criminal cases declined by local U.S. Attorneys offices];

(4) for grants, training, technical assistance, and other expenses to support community crime prevention efforts, **[\$70,202,000]**

\$46,963,000 as follows: **[\$14,967,000] \$15,000,000** for Project Sentry; **[\$14,934,000] \$15,000,000** for an offender re-entry program; **[\$23,338,000]** for the Safe Schools Initiative; and **\$16,963,000** for a police integrity program; [and]

(5) for grants, training and technical assistance to carryout the *Justice Assistance Grants Program*, **\$800,000,000**, of which **\$60,000,000** is for the *Boys and Girls Clubs in public housing facilities and other areas in cooperation with State and local law enforcement*; **\$19,956,000** is for grants, contracts, and other assistance to carry out section 102(c) of H.R. 728; and **\$15,000,000** is for activities supporting citizens’ terrorism preparedness and response; and

[(5)] (6) not to exceed **[\$32,812,000] \$26,513,000** for program management and administration. (*Department of Justice Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 15-0406-0-1-754	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Public Safety and Community Policing Grants	587	560	126
00.02 Crime Fighting Technologies	275	351	282
00.03 Community Based Prosecutors	50	50	50
00.04 Southwest Border Prosecutors	50	50	50
00.05 Crime Prevention Efforts	47	70	47
00.06 Justice Assistance Grants	0	0	800
00.07 Management and Administration	34	34	27
09.00 Reimbursable program	2		
10.00 Total new obligations	1,045	1,115	1,382

Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	7	64	
22.00 New budget authority (gross)	1,040	1,051	1,382
22.10 Resources available from recoveries of prior year obligations	62		
23.90 Total budgetary resources available for obligation	1,109	1,115	1,382
23.95 Total new obligations	-1,045	-1,115	-1,382
24.40 Unobligated balance carried forward, end of year	64		

New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1,040	1,051	1,382
40.77 Reduction pursuant to P.L. 106-54 (0.22 percent)	-2		
43.00 Appropriation (total discretionary)	1,038	1,051	1,382
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	2		
70.00 Total new budget authority (gross)	1,040	1,051	1,382

Change in obligated balances:			
72.40 Obligated balance, start of year	2,875	2,502	2,560
73.10 Total new obligations	1,045	1,115	1,382
73.20 Total outlays (gross)	-1,356	-1,057	-1,015
73.45 Recoveries of prior year obligations	-62		
74.40 Obligated balance, end of year	2,502	2,560	2,927

Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	53	54	70
86.93 Outlays from discretionary balances	1,303	1,003	945
87.00 Total outlays (gross)	1,356	1,057	1,015

Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-2		

Net budget authority and outlays:			
89.00 Budget authority	1,038	1,051	1,382
90.00 Outlays	1,354	1,057	1,015

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	1,037	1,050	1,381
90.00 Outlays	1,353	1,056	1,014

This program provides grants to states, units of local government, Indian Tribal governments, and other public and

private entities to advance community policing, expand cooperation between law enforcement agencies and members of the community, and enhance public safety. Grants may be used for police integrity strategies, procuring equipment and technology, and funding additional grant projects. Funding also supports training and technical assistance, methamphetamine lab clean-up, grants and cooperative agreements to Indian Tribes, the Police Corps program, and bullet-proof vests for law enforcement officers.

Continuing initiatives include the DNA Initiative, the Crime Lab Improvement Program and the Criminal Records Upgrade program. The DNA Initiative aims to reduce the backlog of crime scene and convicted offender DNA backlog samples. The Crime Lab Improvement Program provides grants to improve the general forensic capabilities of state and local forensic labs. The Criminal Records Upgrade program provides discretionary grants to states to upgrade criminal history, criminal justice, and identification record systems, promote compatibility and participation in federal, state and local systems, and capture information for statistical and research programs as authorized by the Crime Identification Technology Act of 1998 for the funds requested for criminal records upgrade in 2003. \$25 million will be specifically targeted to help states improve the accuracy and completeness of court-based records data.

The Justice Department will also continue three programs to help state and local governments enhance their prosecutorial efforts to address crime. First, Project Sentry is a federal-state partnership to provide additional funding for state and federal law enforcement to establish "Safe School Task Forces," to identify and appropriately prosecute, punish and supervise juveniles who violate state and federal firearms laws. Second, the Gun Violence Prosecution Program provides grants to encourage states to increase prosecution of gun-related crime through increased arrests and public awareness. Both Project Sentry and the Gun Violence Prosecution Program are key elements of the Justice Department's Project Safe Neighborhood Initiative. Finally, funding is provided to assist counties near the Southwest border with the costs of prosecuting and detaining federal drug and alien arrest referrals.

Justice Assistance Grant Program.—This new program will replace the Byrne Formula Grant program and the Local Law Enforcement Block Grant program. Funding will be provided to state and local governments. The states' funding may be used for statewide initiatives, technical assistance and training, support for rural jurisdictions in the areas of law enforcement, prosecution and court programs, prevention programs, corrections programs and treatment programs. Local funding may be used for these purposes and could be combined with funding of other jurisdictions to form a regional project. The JAG Program also includes set-asides for Boys and Girls Clubs and NIJ research, which were funded previously within Local Law Enforcement Block Grants, as well as \$15 million to support the activities of citizens who volunteer to help local law enforcement improve their communities' terrorism preparedness.

Object Classification (in millions of dollars)				
Identification code 15-0406-0-1-754				
		2001 actual	2002 est.	2003 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	12	13	14
12.1	Civilian personnel benefits	4	5	5
21.0	Travel and transportation of persons	1	1	
23.3	Communications, utilities, and miscellaneous charges	1	1	1
25.2	Other services	7	7	2
Other purchases of goods and services from Government accounts:				
25.3	Other purchases of goods and services from Government accounts	407	400	1,240
25.3	Rental payments to GSA	3	3	3

31.0	Equipment	1	1	
41.0	Grants, subsidies, and contributions	607	684	117
99.0	Direct obligations	1,043	1,115	1,382
99.0	Reimbursable obligations	2		
99.9	Total new obligations	1,045	1,115	1,382

Personnel Summary

Identification code 15-0406-0-1-754		2001 actual	2002 est.	2003 est.
1001	Total compensable workyears: Full-time equivalent employment	195	235	235

JUVENILE JUSTICE PROGRAMS

For grants, contracts, cooperative agreements, and other assistance authorized by the Juvenile Justice and Delinquency Prevention Act of 1974, as amended ("the Act"), including salaries and expenses in connection therewith to be transferred to and merged with the appropriations for Justice Assistance, **[\$286,403,000] \$238,344,000**, to remain available until expended, as authorized by section 299 of part I of title II and section 506 of title V of the Act, as amended by Public Law 102-586, of which: (1) notwithstanding any other provision of law, \$6,832,000 shall be available for expenses authorized by part A of title II of the Act, \$88,804,000 shall be available for expenses authorized by part B of title II of the Act, *including \$10,000,000 for training and technical assistance to assist small, non-profit organizations with the Federal grants process*, and **[\$58,513,000] \$10,000,000** shall be available for expenses authorized by part C of title II of the Act: *Provided*, That \$26,442,000 of the amounts provided for part B of title II of the Act, as amended, is for the purpose of providing additional formula grants under part B to States that provide assurances to the Administrator that the State has in effect (or will have in effect no later than 1 year after date of application) policies and programs that ensure that juveniles are subject to accountability-based sanctions for every act for which they are adjudicated delinquent; (2) \$11,974,000 shall be available for expenses authorized by sections 281 and 282 of part D of title II of the Act for prevention and treatment programs relating to juvenile gangs; (3) \$9,978,000 shall be available for expenses authorized by section 285 of part E of title II of the Act; (4) \$15,965,000 shall be available for expenses authorized by part G of title II of the Act for juvenile mentoring programs; and (5) **[\$94,337,000] \$94,791,000** shall be available for expenses authorized by title V of the Act for incentive grants for local delinquency prevention programs; of which \$12,472,000 shall be for delinquency prevention, control, and system improvement programs for tribal youth; of which **[\$14,513,000] \$14,967,000** shall be available for the **[Safe Schools] School Safety Initiative** **[including \$5,033,000]**; *and of which \$5,033,000 shall be available for grants, contracts, and other assistance under the Project Sentry Initiative*; **[and of which \$25,000,000 shall be available for grants of \$360,000 to each State and \$6,640,000 shall be available for discretionary grants to States, for programs and activities to enforce State laws prohibiting the sale of alcoholic beverages to minors or the purchase or consumption of alcoholic beverages by minors, prevention and reduction of consumption of alcoholic beverages by minors, and for technical assistance and training:]** *Provided* **[further]**, That of amounts made available under the Juvenile Justice Programs of the Office of Justice Programs to carry out part B (relating to Federal Assistance for State and Local Programs), subpart II of part C (relating to Special Emphasis Prevention and Treatment Programs), part D (relating to Gang-Free Schools and Communities and Community-Based Gang Intervention), part E (relating to State Challenge Activities), and part G (relating to Mentoring) of title II of the Juvenile Justice and Delinquency Prevention Act of 1974, and to carry out the At-Risk Children's Program under title V of that Act, not more than 10 percent of each such amount may be used for research, evaluation, and statistics activities designed to benefit the programs or activities authorized under the appropriate part or title, and not more than 2 percent of each such amount may be used for training and technical assistance activities designed to benefit the programs or activities authorized under that part or title.

In addition, for grants, contracts, cooperative agreements, and other assistance, \$10,976,000 to remain available until expended, for devel-

General and special funds—Continued

JUVENILE JUSTICE PROGRAMS—Continued

oping, testing, and demonstrating programs designed to reduce drug use among juveniles.

In addition, for grants, contracts, cooperative agreements, and other assistance authorized by the Victims of Child Abuse Act of 1990, as amended, \$8,481,000, to remain available until expended, as authorized by section 214B of the Act. (*Department of Justice Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 15-0405-0-1-754	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Title II—Juvenile justice and delinquency prevention	153	184	99
00.02 Part D—Gang-free schools and communities	14	18	12
00.03 Part E—State challenge activities	11	15	10
00.04 Part G—Mentoring	15	25	16
00.05 Title V—Incentive grants for local delinquency prevention	102	119	95
00.06 Victims of child abuse	8	10	8
00.07 Drug reduction program	12	22	11
09.01 Reimbursable program	48	56	35
10.00 Total new obligations	363	449	286
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	92	83
22.00 New budget authority (gross)	340	351	286
22.10 Resources available from recoveries of prior year obligations	13	15
23.90 Total budgetary resources available for obligation	445	449	286
23.95 Total new obligations	-363	-449	-286
24.40 Unobligated balance carried forward, end of year	83
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	300	306	258
40.35 Appropriation rescinded	-1
41.00 Transferred to other accounts	-7	-7	-7
43.00 Appropriation (total discretionary)	292	299	251
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	48	52	35
70.00 Total new budget authority (gross)	340	351	286
Change in obligated balances:			
72.40 Obligated balance, start of year	512	562	736
73.10 Total new obligations	363	449	286
73.20 Total outlays (gross)	-300	-260	-449
73.45 Recoveries of prior year obligations	-13	-15
74.40 Obligated balance, end of year	562	736	573
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	95	118	90
86.93 Outlays from discretionary balances	205	142	359
87.00 Total outlays (gross)	300	260	449
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-48	-52	-35
Net budget authority and outlays:			
89.00 Budget authority	292	299	251
90.00 Outlays	251	208	414

In 2003, funds will be targeted at juvenile gun violence and drug use, and how school violence impacts juveniles and how to prevent it. The 2003 budget reduces Part C discretionary funding by \$48.513 million due to the program having been heavily earmarked in recent years, which has limited its ability to provide discretionary funds to the States for juvenile justice innovations.

Object Classification (in millions of dollars)

Identification code 15-0405-0-1-754	2001 actual	2002 est.	2003 est.
Direct obligations:			
23.3 Communications, utilities, and miscellaneous charges	1	1	1
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	1	2	2
25.2 Other services	9	12	12
25.3 Other purchases of goods and services from Government accounts	20	20	20
41.0 Grants, subsidies, and contributions	283	357	215
99.0 Direct obligations	315	393	251
99.0 Reimbursable obligations	48	56	35
99.9 Total new obligations	363	449	286

ELECTION REFORM GRANTS

For grants and cooperative agreements with states to improve their voting technology and election procedures, \$400,000,000, to remain available until expended, of which up to 2 percent may be used for Federal administrative costs: Provided, That grants shall be used for the creation of revolving funds to be administered by the states, and that states shall provide an equal amount of matching funds. (Additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 15-0407-0-1-754	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	400
10.00 Total new obligations	400
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	400
23.95 Total new obligations	-400
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	400
Change in obligated balances:			
73.10 Total new obligations	400
73.20 Total outlays (gross)	-88
74.40 Obligated balance, end of year	312
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	88
Net budget authority and outlays:			
89.00 Budget authority	400
90.00 Outlays	88

Modeled on recommendations of the National Commission on Federal Electoral Reform (the Ford/Carter Commission), this initiative will help States fund technical and procedural improvements in state and local voting systems. From 2003 through 2005, \$400 million in annual grants will be allocated to states based on their share of electoral votes. States would be responsible for providing a 50 percent match to set up revolving funds that would be available to local governments for improved voting technologies and administration, including voting machines, registration systems, voter education, and poll worker training. The Office of Justice Programs will have primary responsibility for administering the grants, in consultation with the National Institute of Standards and Technology (NIST), which will provide expertise on voluntary technical standards.

Object Classification (in millions of dollars)

Identification code 15-0407-0-1-754	2001 actual	2002 est.	2003 est.
25.3 Other purchases of goods and services from Government accounts	5

41.0	Grants, subsidies, and contributions			395
99.9	Total new obligations			400

PUBLIC SAFETY OFFICERS BENEFITS

To remain available until expended, for payments authorized by part L of title I of the Omnibus Crime Control and Safe Streets Act of 1968 (42 U.S.C. 3796), as amended, such sums as are necessary, as authorized by section 6093 of Public Law 100-690 (102 Stat. 4339-4340); and **[\$4,500,000] \$4,000,000**, to remain available until expended for payments as authorized by section 1201(b) of said Act. (*Department of Justice Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 15-0403-0-1-754	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	26	164	54
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	3	1
22.00 New budget authority (gross)	35	162	53
23.90 Total budgetary resources available for obligation	38	165	54
23.95 Total new obligations	-26	-164	-54
23.98 Unobligated balance expiring or withdrawn	-9		
24.40 Unobligated balance carried forward, end of year	3	1	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	2	5	4
Mandatory:			
60.00 Appropriation	33	157	49
70.00 Total new budget authority (gross)	35	162	53
Change in obligated balances:			
72.40 Obligated balance, start of year	3	3	3
73.10 Total new obligations	26	164	54
73.20 Total outlays (gross)	-26	-164	-54
74.40 Obligated balance, end of year	3	3	3
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1	5	4
86.93 Outlays from discretionary balances	1	2	1
86.97 Outlays from new mandatory authority	24	157	49
87.00 Total outlays (gross)	26	164	54
Net budget authority and outlays:			
89.00 Budget authority	35	162	53
90.00 Outlays	26	164	54

This program provides payment of death benefits to eligible survivors of public safety officers who die in the line of duty, disability payments to public safety officers who are permanently disabled as a result of injury incurred in the line of duty, and educational assistance to children or spouses of officers who are killed or permanently disabled in the line of duty. Legislation provides for an annual cost of living escalator tied to the Consumer Price Index (CPI) for death benefit payments. The USA Patriot Act of 2001 (P.L. 107-56) increased the base death and disability payments to \$250,000 retroactively to January 1, 2001.

Object Classification (in millions of dollars)

Identification code 15-0403-0-1-754	2001 actual	2002 est.	2003 est.	
41.0	Grants, subsidies, and contributions	1	2	2
42.0	Insurance claims and indemnities	25	162	52
99.9	Total new obligations	26	164	54

CRIME VICTIMS FUND

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Crime Victims Fund", \$68,100,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (*Emergency Supplemental Act, 2002.*)

Unavailable Collections (in millions of dollars)

Identification code 15-5041-0-2-754	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	1,300	1,336	1,456
Receipts:			
02.00 Fines, penalties, and forfeitures	544	635	430
04.00 Total: Balances and collections	1,844	1,971	1,886
Appropriations:			
05.00 Crime victims fund	-508	-515	-625
05.99 Total appropriations	-508	-515	-625
07.99 Balance, end of year	1,336	1,456	1,261

Program and Financing (in millions of dollars)

Identification code 15-5041-0-2-754	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	533	618	625
10.00 Total new obligations	533	618	625
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	59	35	
22.00 New budget authority (gross)	508	583	625
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	568	618	625
23.95 Total new obligations	-533	-618	-625
24.40 Unobligated balance carried forward, end of year	35		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation		68	
40.20 Appropriation (special fund)			-1,261
43.00 Appropriation (total discretionary)		68	-1,261
Mandatory:			
60.20 Appropriation (special fund)	544	635	430
60.28 Appropriation (unavailable balances)	1,300	1,336	1,456
60.45 Portion precluded from obligation	-1,336	-1,456	
62.50 Appropriation (total mandatory)	508	515	1,886
70.00 Total new budget authority (gross)	508	583	625
Change in obligated balances:			
72.40 Obligated balance, start of year	692	763	906
73.10 Total new obligations	533	618	625
73.20 Total outlays (gross)	-461	-475	-701
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	763	906	830
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		68	-757
86.97 Outlays from new mandatory authority		-72	874
86.98 Outlays from mandatory balances	461	479	584
87.00 Total outlays (gross)	461	475	701
Net budget authority and outlays:			
89.00 Budget authority	508	583	625
90.00 Outlays	461	475	701

The Victims of Crime Act of 1984 (Public Law 98-473), as amended, established a special fund in the Treasury entitled "The Crime Victims Fund." This fund is credited with criminal fines that are collected from persons convicted of offenses against the United States. Annual grants are made to eligible crime victims compensation and assistance programs.

General and special funds—Continued

CRIME VICTIMS FUND—Continued

The 2003 Budget treats amounts deposited into the Fund as available in the year collected, subject to the limitations included in authorizing or appropriations language.

Object Classification (in millions of dollars)

Identification code 15-5041-0-2-754	2001 actual	2002 est.	2003 est.
25.2 Other services	10	10	10
25.3 Other purchases of goods and services from Government accounts	24	25	33
41.0 Grants, subsidies, and contributions	499	583	582
99.9 Total new obligations	533	618	625

VIOLENT CRIME REDUCTION TRUST FUND

VIOLENT CRIME REDUCTION TRUST FUND (VCRTF)

Program and Financing (in millions of dollars)

Identification code 15-8585-0-1-754	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	7	7
23.98 Unobligated balance expiring or withdrawn	-7
24.40 Unobligated balance carried forward, end of year	7
Net budget authority and outlays:			
89.00 Budget authority
90.00 Outlays

The Violent Crime Reduction Trust Fund was established by the Violent Crime Control and Law Enforcement Act of 1994, Public Law 103-322. The VCRTF authorization expired at the end of 2000. Former VCRTF programs are now funded through general appropriations.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Governmental receipts:			
15-083400 Breached bond penalties	8	8	8
15-085400 Registration fees, DEA	16	15	15
General Fund Governmental receipts	24	23	23

GENERAL PROVISIONS—DEPARTMENT OF JUSTICE

SEC. 101. In addition to amounts otherwise made available in this title for official reception and representation expenses, a total of not to exceed \$45,000 from funds appropriated to the Department of Justice in this title shall be available to the Attorney General for official reception and representation expenses in accordance with distributions, procedures, and regulations established by the Attorney General.

SEC. 102. Authorities contained in the Department of Justice Appropriation Authorization Act, Fiscal Year 1980 (Public Law 96-132; 93 Stat. 1040 (1979)), as amended, shall remain in effect until the effective date of a subsequent Department of Justice Appropriation Authorization Act.

SEC. 103. None of the funds appropriated by this title shall be available to pay for an abortion, except where the life of the mother would be endangered if the fetus were carried to term, or in the case of rape: *Provided*, That should this prohibition be declared unconstitutional by a court of competent jurisdiction, this section shall be null and void.

SEC. 104. None of the funds appropriated under this title shall be used to require any person to perform, or facilitate in any way the performance of, any abortion.

SEC. 105. Nothing in the preceding section shall remove the obligation of the Director of the Bureau of Prisons to provide escort services necessary for a female inmate to receive such service outside the Federal facility: *Provided*, That nothing in this section in any way diminishes the effect of section 104 intended to address the philosophical beliefs of individual employees of the Bureau of Prisons.

SEC. 106. Notwithstanding any other provision of law, not to exceed \$10,000,000 of the funds made available in this Act may be used to establish and publicize a program under which publicly advertised, extraordinary rewards may be paid, which shall not be subject to spending limitations contained in sections 3059 and 3072 of title 18, United States Code: *Provided*, That any reward of \$100,000 or more, up to a maximum of \$2,000,000, may not be made without the personal approval of the President or the Attorney General and such approval may not be delegated: *Provided further*, That rewards made pursuant to section 501 of Public Law 107-56 shall not be subject to this section.

SEC. 107. Not to exceed 5 percent of any appropriation made available for the current fiscal year for the Department of Justice in this Act may be transferred between such appropriations, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 10 percent by any such transfers: *Provided*, That any transfer pursuant to this section shall be treated as a reprogramming of funds under section 605 of this Act and shall not be available for obligation except in compliance with the procedures set forth in that section.

SEC. 108. Notwithstanding any other provision of law, \$1,000,000 shall be available for technical assistance from the funds appropriated for part G of title II of the Juvenile Justice and Delinquency Prevention Act of 1974, as amended.

[SEC. 109. Section 286 of the Immigration and Nationality Act (8 U.S.C. 1356), as amended, is further amended as follows:

- (1) by striking in subsection (d) “\$6”, and inserting “\$7”;
- (2) by amending subsection (e)(1), by replacing “No” with “Except as provided in paragraph (3), no”; and
- (3) by adding a new paragraph (e)(3) as follows:

“(3) The Attorney General is authorized to charge and collect \$3 per individual for the immigration inspection or pre-inspection of each commercial vessel passenger whose journey originated in the United States or in any place set forth in paragraph (1): *Provided*, That this authorization shall not apply to immigration inspection at designated ports of entry of passengers arriving by the following vessels, when operating on a regular schedule: Great Lakes international ferries, or Great Lakes Vessels on the Great Lakes and connecting waterways.”]

[SEC. 110. Section 286(q)(1)(A) of the Immigration and Nationality Act of 1953, as amended, is further amended by striking “6” and inserting “96”.]

[SEC. 111. (a) Section 1402(d)(3) of the Victims of Crime Act of 1984, is amended by striking the period at the end and inserting “, and for a Victim Notification System.”.

(b) Section 1402(c) of the Victims of Crime Act of 1984 is amended to read as it did on October 25, 2001.]

[SEC. 112. Section 6 of the Hmong Veterans’ Naturalization Act of 2000 (Public Law 106-207; 8 U.S.C. 1423 note) (as amended by Public Law 106-415) is amended by striking “18 months” each place such term appears and inserting “36 months”.]

[SEC. 113. No provision of section 614 of Public Law 107-56 shall incorporate the organization that administers title I of the Violent Crime Control and Law Enforcement Act of 1994 (Public Law 103-322) (Community Oriented Policing Services), its programs and functions, into the Office of Justice Programs, for fiscal year 2002 and thereafter.]

SEC. 109. Section 114 of Public Law 107-77 shall remain in effect during fiscal year 2003.

[SEC. 114. (a) Notwithstanding any provision of title III of the Immigration and Nationality Act (8 U.S.C. 1401 et seq.), the Attorney General shall provide, in accordance with this section, for the granting of posthumous citizenship, as of September 10, 2001, to a person described in subsection (b), if the Attorney General approves an application for such citizenship filed under subsection (e).

- (b) A person referred to in subsection (a) is a person who—
 - (1) while an alien or a noncitizen national of the United States, died as a result of an injury incurred in one or more of the events described in subsection (c);

(2) was not culpable for any of such events; and

(3) on September 11, 2001, had pending an application for naturalization filed with the Attorney General by the person.

(c)(1) The events described in this subsection are the following:

(A) The hijacking of American Airlines Flight 11 on September 11, 2001, the crash of that aircraft into the World Trade Center in New York, New York, and the subsequent destruction that resulted.

(B) The hijacking of United Airlines Flight 175 on such date, the crash of that aircraft into the World Trade Center in New York, New York, and the subsequent destruction that resulted.

(C) The hijacking of American Airlines Flight 77 on such date, the crash of that aircraft into the Pentagon in Arlington, Virginia, and the subsequent destruction that resulted.

(D) The hijacking of United Airlines Flight 93 on such date, and the crash of that aircraft in Stony Creek Township, Pennsylvania.

(2) Any person who died as a result of an injury incurred while assisting in the emergency response to an event described in paragraph (1) (such as military personnel, law enforcement officers, firefighters, emergency management personnel, search and rescue personnel, medical personnel, engineers and other personnel providing technical assistance, and volunteers) shall be considered to have died as a result of an injury incurred in such event.

(d)(1) Unless otherwise provided by this section, no person may be granted posthumous citizenship under this section who would not otherwise have been eligible for naturalization on the date of the person's death. Unless otherwise provided by this section, any provision of law that specifically bars or prohibits a person from being naturalized as a citizen of the United States shall be applied to the granting of posthumous citizenship under this section.

(2) Notwithstanding section 312 of the Immigration and Nationality Act (8 U.S.C. 1423), or any similar provision of law requiring that a person demonstrate an understanding of the English language or a knowledge and understanding of the fundamentals of the history, and of the principles and form of government, of the United States in order to be naturalized, no such demonstration shall be required for the granting of posthumous citizenship under this section.

(3) No oath of renunciation or allegiance shall be required for the granting of posthumous citizenship under this section.

(4) To the maximum extent practicable, the investigation and examination described in section 335 of the Immigration and Nationality Act (8 U.S.C. 1446) shall be conducted with respect to an application described in subsection (b)(3) in the same manner as they otherwise would have been conducted if the subject of the application had not died.

(e) A request for the granting of posthumous citizenship to a person described in subsection (b) may be filed on behalf of the person only by the next of kin (as defined by the Attorney General) or another representative (as defined by the Attorney General), and must be filed not later than 2 years after the later of—

(1) the date of the enactment of this section; or

(2) the date of the person's death.

(f) If the Attorney General approves such a request to grant a person posthumous citizenship, the Attorney General shall send to the individual who filed the request a suitable document which states that the United States considers the person to have been a citizen of the United States as of September 10, 2001.

(g) Nothing in this section shall be construed as providing for any benefits under the Immigration and Nationality Act for any spouse, son, daughter, or other relative of a person granted posthumous citizenship under this section.

(h)(1) Notwithstanding section 341 of the Immigration and Nationality Act (8 U.S.C. 1452), the Attorney General shall provide, in accordance with this subsection, for the furnishing of a certificate of citizenship to a person described in paragraph (4), if the Attorney General approves under paragraph (3) an application for such certificate described in paragraph (2).

(2) An application described in this paragraph is an application for a certificate of citizenship that was—

(A) filed with the Attorney General under such section 341 by a person who subsequently died as a result of an injury incurred in one or more of the events described in section 114(c) and who was not culpable for any of such events; and

(B) pending on September 11, 2001.

(3) The Attorney General shall consider an application described in paragraph (2) pursuant to the standards under such section 341 and shall approve the application if the applicant would have been

eligible to receive a certificate of citizenship on September 11, 2001, if the applicant had not died, except that the requirements of such section relating to the oath of allegiance and presence within the United States shall not apply.

(4) A request for a certificate of citizenship under this subsection may be filed only by the next of kin (as defined by the Attorney General) or another representative (as defined by the Attorney General) of the applicant described in paragraph (2), and must be filed not later than 2 years after the later of—

(A) the date of the enactment of this section; or

(B) the date of the applicant's death.

(i)(1) Notwithstanding section 322 of the Immigration and Nationality Act (8 U.S.C. 1433), the Attorney General shall provide, in accordance with this subsection, for the furnishing of a certificate of citizenship to a parent described in paragraph (2), if, upon the request of the parent, the Attorney General approves under paragraph (3) an application for naturalization described in paragraph (2).

(2) An application described in this paragraph is an application for naturalization that was—

(A) filed with the Attorney General under such section 322 by a parent of a child who subsequently died as a result of an injury incurred in one or more of the events described in section 114(c) and who was not culpable for any of such events; and

(B) pending on September 11, 2001.

(3) The Attorney General shall consider an application described in paragraph (2) pursuant to the standards under such section 322 and shall approve the application if the child would have been eligible to receive a certificate of citizenship on September 11, 2001, if the child had not died, except that the requirements of such section relating to the oath of allegiance shall not apply.]

[SEC. 115. (a) Section 231(a) of the Immigration and Nationality Act, 8 U.S.C. 1221(a), is amended to read—

“(a) ARRIVAL MANIFEST; FORM AND CONTENTS.—With respect to the arrival of any person by water or by air at any port within the United States from any place outside the United States, it shall be the duty of the master or commanding officer, or authorized agent, owner, or consignee, of the vessel or aircraft transporting such person to deliver to the Service at the port of arrival a list or manifest of the persons transported on such vessel or aircraft. The Attorney General is authorized to extend, by regulation, the requirements of this subsection to any public or private carrier transporting persons by land to the United States. Such list or manifest shall be prepared and delivered at such time, be in such form, and shall contain such information as the Attorney General shall prescribe by regulation as being necessary for the identification of the persons transported and for the enforcement of the immigration laws. The Attorney General may require in such regulations that the list or manifest be delivered electronically prior to boarding the vessel, aircraft, train or bus at the place of departure, or at such other time reasonably in advance of the arrival of the vessel, aircraft, train or bus in the United States as the Attorney General may direct.”.

(b) Section 231(b) of the Immigration and Nationality Act is amended to read—

“(b) DEPARTURE MANIFEST; FORM AND CONTENTS.—It shall be the duty of the master or commanding officer or authorized agent of every vessel or aircraft taking passengers on board at any port of the United States, who are destined to any place outside the United States, to file with the immigration officers before departure from such port a list or manifest of all such persons transported. The Attorney General is authorized to extend, by regulation, the requirements of this subsection to any public or private carrier transporting persons by land from the United States. Such list or manifest shall be prepared and delivered at such time, be in such form, and shall contain such information as the Attorney General shall prescribe by regulation as being necessary for the identification of the persons transported and for the enforcement of the immigration laws. The Attorney General may require in such regulations that the list or manifest be delivered electronically prior to boarding the vessel, aircraft, train or bus at the place of departure, or at such other time reasonably in advance of the departure of the vessel, aircraft, train or bus from the United States as the Attorney General may direct. No master or commanding officer of any such vessel or aircraft, or operator of any private or public carrier, shall be granted clearance papers until he or the authorized agent has complied with the requirements of this subsection, except that in the case of vessels, aircraft, trains or buses which the Attorney General determines are making regular trips to the United States, the Attorney General

may, when expedient, arrange for the delivery of lists of outgoing persons at a later date.”

(c) Section 231(d) of the Immigration and Nationality Act is amended by—

(1) In the heading, striking “Shipments or Aircraft” and inserting in lieu thereof, “Shipments, Aircraft or Carriers”;

(2) In the first sentence, inserting “, any public or private carrier,” after “or aircraft,”; and

(3) In the second sentence, striking “vessel or aircraft” and inserting in lieu thereof, “vessel, aircraft, train or bus”.]

SEC. 110. The Immigration and Nationality Act of 1953 is amended—(1) in section 344(c) (8 U.S.C. 1455(c)), by replacing “All” with “Except as provided by section 286(q)(2) or any other law, all”; and (2) section 286(q)(2) (8 U.S.C. 1356(q)(2)), by inserting “, including receipts for services performed in processing forms I-94, I-94W, and I-68, and other similar applications processed at land border ports of entry,” after “subsection”.

SEC. 111. For fiscal year 2003, and thereafter, whenever the Federal Bureau of Investigation participates in a cooperative project to improve law enforcement or national security operations or services with a friendly foreign country on a cost-sharing basis, any reimbursements

or contributions received from that foreign country to meet its share of the project may be credited to appropriate current appropriations of the Federal Bureau of Investigation. The amount of the reimbursement or contribution credited shall be available only for payment of the share of the project expenses allocated to the participating foreign country.

SEC. 112. For fiscal year 2003, and thereafter, the Director of the Federal Bureau of Investigation (FBI) is authorized to establish and collect a fee to defray the costs of railroad police officers participating in an FBI law enforcement training program authorized by P.L. 106-110, and notwithstanding the provisions of 31 U.S.C. 3302, credit such fees to the appropriation, “Federal Bureau of Investigation, Salaries and Expenses” to be available until expended for salaries and expenses incurred in providing these services.

SEC. 113. Section 151 of the Foreign Relations Act, fiscal year 1990 and 1991 (5 U.S.C. 5928 note), is amended by inserting “or Federal Bureau of Investigation” after “Drug Enforcement Administration.”

SEC. 114. Section 1001(2) of Public Law 107-56 is amended by replacing “and” before “newspaper” with “or”. (Department of Justice Appropriations Act, 2002.)

DEPARTMENT OF LABOR

EMPLOYMENT AND TRAINING ADMINISTRATION

Federal Funds

General and special funds:

TRAINING AND EMPLOYMENT SERVICES

For necessary expenses of the Workforce Investment Act, including the purchase and hire of passenger motor vehicles, the construction, alteration, and repair of buildings and other facilities, and the purchase of real property for training centers as authorized by the Workforce Investment Act[;] and the Women in Apprenticeship and Non-traditional Occupations Act; [and the National Skill Standards Act of 1994; \$3,167,282,000] \$2,517,616,000 plus reimbursements, of which [\$1,779,342,000] \$1,443,601,000 is available for obligation for the period July 1, [2002] 2003 through June 30, [2003] 2004; of which [\$1,353,065,000] \$1,045,465,000 is available for obligation for the period April 1, [2002] 2003 through June 30, [2003] 2004, including [\$1,127,965,000] \$1,000,965,000 to carry out chapter 4 of the Workforce Investment Act and [\$225,100,000] \$44,500,000 to carry out section 169 of such Act; [and of which \$3,500,000 is available for obligation October 1, 2001 until expended for carrying out the National Skills Standards Act of 1994;] and of which [\$30,375,000] \$27,550,000 is available for the period July 1, [2002] 2003 through June 30, [2005] 2006 for necessary expenses of construction, rehabilitation, and acquisition of Job Corps centers: *Provided*, [That \$9,098,000 shall be for carrying out section 172 of the Workforce Investment Act: *Provided further*, That, notwithstanding any other provision of law or related regulation, \$80,770,000 shall be for carrying out section 167 of the Workforce Investment Act, including \$74,965,000 for formula grants, \$4,786,000 for migrant and seasonal housing, and \$1,019,000 for other discretionary purposes: *Provided further*, That funding provided herein under section 166 of the Workforce Investment Act shall include \$1,711,000 for use under section 166(j)(1) of the Act: *Provided further*, That funds provided to carry out section 171(d) of the Workforce Investment Act may be used for demonstration projects that provide assistance to new entrants in the workforce and incumbent workers: *Provided further*, That funding provided to carry out projects under section 171 of the Workforce Investment Act that are identified in the Conference Agreement, shall not be subject to the requirements of section 171(b)(2)(B) of such Act, the requirements of section 171(c)(4)(D) of such Act, or the joint funding requirements of sections 171(b)(2)(A) and 171(c)(4)(A) of such Act] *That notwithstanding the transfer limitation under section 133(b)(4) of the Workforce Investment Act, up to 40 percent of such funds may be transferred by a local board if approved by the Governor: Provided further, That notwithstanding sections 127(b)(1)(A) and 174(a)(2)(B) of such Act, no funds provided herein shall be available to carry out section 167 of such Act: Provided further*, That no funds from any other appropriation shall be used to provide meal services at or for Job Corps centers.

For necessary expenses of the Workforce Investment Act, including the purchase and hire of passenger motor vehicles, the construction, alteration, and repair of buildings and other facilities, and the purchase of real property for training centers as authorized by the Workforce Investment Act; \$2,463,000,000 plus reimbursements, of which \$2,363,000,000 is available for obligation for the period October 1, [2002] 2003 through June 30, [2003] 2004, and of which \$100,000,000 is available for the period October 1, [2002] 2003 through June 30, [2005] 2006, for necessary expenses of construction, rehabilitation, and acquisition of Job Corps centers. (*Department of Labor Appropriations Act, 2002; additional authorizing legislation required.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States for "Training and employment services", \$32,500,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38: *Provided*, That such amount shall be provided to the Consortium for Worker Education, established by the New York City Central Labor Council

and the New York City Partnership, for an Emergency Employment Clearinghouse.] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 16-0174-0-1-504	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Adult employment and training activities	952	950	900
00.03 Dislocated worker employment and training activities	1,614	1,490	1,414
00.05 Youth activities	1,129	1,128	1,001
00.06 Youth opportunity grants	268	244	214
00.07 Job corps	1,369	1,431	1,526
00.08 Responsible reintegration for young offenders	60	55	55
00.10 Native Americans	41	57	55
00.11 Migrant and seasonal farmworkers	158	335	377
00.13 National programs	79
00.14 Expired programs	10	4	4
09.01 Reimbursable program
10.00 Total new obligations	5,680	5,773	5,585
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	828	1,011	981
22.00 New budget authority (gross)	5,801	5,633	5,123
22.10 Resources available from recoveries of prior year obligations	46	110
22.21 Unobligated balance transferred to other accounts	-2
22.22 Unobligated balance transferred from other accounts	26
23.90 Total budgetary resources available for obligation	6,699	6,754	6,104
23.95 Total new obligations	-5,680	-5,773	-5,585
23.98 Unobligated balance expiring or withdrawn	-8
24.40 Unobligated balance carried forward, end of year	1,011	981	519
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	3,238	3,172	2,518
40.15 Appropriation (emergency)	32
40.35 Appropriation rescinded	-65
40.36 Unobligated balance rescinded	-178
40.75 Reduction pursuant to P.L. 106-554 (Labor/HHS)	-1
42.00 Transferred from other accounts	25
43.00 Appropriation (total discretionary)	3,197	3,026	2,518
55.00 Advance appropriation	2,463	2,463	2,463
Mandatory:			
60.20 Appropriation (special fund)	131	140	138
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	10	4	4
70.00 Total new budget authority (gross)	5,801	5,633	5,123
Change in obligated balances:			
72.40 Obligated balance, start of year	4,104	5,162	4,961
73.10 Total new obligations	5,680	5,773	5,585
73.20 Total outlays (gross)	-4,540	-5,864	-5,968
73.40 Adjustments in expired accounts (net)	-36
73.45 Recoveries of prior year obligations	-46	-110
74.40 Obligated balance, end of year	5,162	4,961	4,578
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1,621	1,685	1,611
86.93 Outlays from discretionary balances	2,901	4,032	4,211
86.97 Outlays from new mandatory authority	7	4	4
86.98 Outlays from mandatory balances	11	143	142
87.00 Total outlays (gross)	4,540	5,864	5,968
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-9	-2	-2
88.40 Non-Federal sources	-1	-2	-2

General and special funds—Continued

TRAINING AND EMPLOYMENT SERVICES—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 16-0174-0-1-504	2001 actual	2002 est.	2003 est.
88.90 Total, offsetting collections (cash)	-10	-4	-4

Net budget authority and outlays:			
89.00 Budget authority	5,791	5,629	5,119
90.00 Outlays	4,530	5,860	5,964

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	5,786	5,624	5,114
90.00 Outlays	4,525	5,855	5,959

Summary of Budget Authority and Outlays

(in millions of dollars)

Enacted/requested:	2001 actual	2002 est.	2003 est.
Budget Authority	5,791	5,629	5,119
Outlays	4,530	5,860	5,964
Legislative proposal, subject to PAYGO:			
Budget Authority			-138
Outlays			-4
Total:			
Budget Authority	5,791	5,629	4,981
Outlays	4,530	5,860	5,960

Enacted in 1998, the Workforce Investment Act (WIA), is the primary authorization for this appropriation account. The act is intended to revitalize the Nation's job training system to provide workers with the information, advice, job search assistance, and training they need to get and keep good jobs, and to provide employers with skilled workers. Funds appropriated for this account generally are available on a July to June program year basis, but for 2000, 2001, and 2002, substantial advance appropriation amounts were provided.

Adult employment and training activities.—Grants to provide financial assistance to States and territories to design and operate training and employment assistance programs for adults, including low-income individuals and public assistance recipients.

Dislocated worker employment and training activities.—Grants to provide reemployment services and retraining assistance to individuals dislocated from their employment.

Youth activities.—Grants to support a wide range of activities and services to prepare low-income youth for academic and employment success, including summer jobs. The program links academic and occupational learning with youth development activities.

Youth opportunity grants.—Competitive grants to increase the long-term employment of youth who live in empowerment zones, enterprise communities, and similar high poverty areas. This activity includes funding for the Rewarding Achievement in Youth program for competitive grants to high poverty areas to provide low income youth with extended summer employment opportunities and end-of-summer bonuses for high academic achievement and job performance. Funding is requested to complete the programs of existing grantees.

Job corps.—A system of primarily residential centers offering basic education, training, work experience, and other support, typically to economically disadvantaged youth.

Native Americans.—Grants to Indian tribes and other Native American groups to provide training, work experience, and other employment-related services to Native Americans.

National programs.—Provides program support for WIA activities and nationally administered programs for segments of the population that have special disadvantages in the labor market.

Expired programs.—Includes programs previously funded in this account for which no budget authority is requested for 2003.

Object Classification (in millions of dollars)

Identification code 16-0174-0-1-504	2001 actual	2002 est.	2003 est.
Direct obligations:			
23.1 Rental payments to GSA	1	2	3
23.3 Communications, utilities, and miscellaneous charges	2	3	3
24.0 Printing and reproduction	1	1	1
25.2 Other services	197	259	256
25.3 Other purchases of goods and services from Government accounts	8	8	8
25.5 Research and development contracts	3	3	3
31.0 Equipment	7	11	13
41.0 Grants, subsidies, and contributions	5,279	5,305	5,106
92.0 Undistributed	1	1	1
99.0 Direct obligations	5,499	5,593	5,394
99.0 Reimbursable obligations	10	4	4
Allocation Account:			
Personnel compensation:			
11.1 Full-time permanent	54	57	60
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	4	4	4
11.9 Total personnel compensation	60	63	66
12.1 Civilian personnel benefits	20	21	22
13.0 Benefits for former personnel	1	1	1
21.0 Travel and transportation of persons	2	2	2
22.0 Transportation of things	1	1	1
23.3 Communications, utilities, and miscellaneous charges	6	6	6
25.2 Other services	47	47	51
26.0 Supplies and materials	28	29	32
31.0 Equipment	2	2	2
32.0 Land and structures	1	1	1
41.0 Grants, subsidies, and contributions	3	3	3
99.0 Allocation account	171	176	187
99.9 Total new obligations	5,680	5,773	5,585
Obligations are distributed as follows:			
Department of Labor	5,509	5,597	5,398
Department of Agriculture	108	111	119
Department of the Interior	63	65	68

TRAINING AND EMPLOYMENT SERVICES
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 16-0174-4-1-504	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.13 National programs			-21
10.00 Total new obligations (object class 41.0)			-21
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			-138
23.95 Total new obligations			21
24.40 Unobligated balance carried forward, end of year			-117
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation			-138
Change in obligated balances:			
73.10 Total new obligations			-21
73.20 Total outlays (gross)			4
74.40 Obligated balance, end of year			-17

Outlays (gross), detail:		
86.97	Outlays from new mandatory authority	-4
Net budget authority and outlays:		
89.00	Budget authority	-138
90.00	Outlays	-4

Legislation will be proposed to amend the American Competitiveness and Workforce Improvement Act of 1998 to redirect the portion of the revenues from the existing H-1B fee that currently supports a training grants program in the Employment and Training Administration. That grants program will be terminated, and the revenues will be redirected to eliminate the backlog for the permanent alien labor certification program at the State and Federal levels.

WELFARE-TO-WORK JOBS

Program and Financing (in millions of dollars)

Identification code 16-0177-0-1-504	2001 actual	2002 est.	2003 est.	
Change in obligated balances:				
72.40	Obligated balance, start of year	1,899	1,238	747
73.20	Total outlays (gross)	-659	-491	-120
73.40	Adjustments in expired accounts (net)	-2		
74.40	Obligated balance, end of year	1,238	747	627
Outlays (gross), detail:				
86.98	Outlays from mandatory balances	659	491	120
Net budget authority and outlays:				
89.00	Budget authority			
90.00	Outlays	659	491	120

This account provides funding for activities of the Welfare-to-Work Grants program, which was established by the Balanced Budget Act of 1997 (P.L. 105-33) appropriating funding for 1998 and 1999. Funds are available for expenditure for up to 5 years after they are provided. This program provides formula grants to States and federally administered competitive grants to local workforce boards, political subdivisions of States, and private entities to assist hard-to-employ welfare recipients to secure lasting, unsubsidized employment.

COMMUNITY SERVICE EMPLOYMENT FOR OLDER AMERICANS

To carry out title V of the Older Americans Act of 1965, as amended, **[\$445,100,000]** \$440,200,000. (Department of Labor Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 16-0175-0-1-504	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	National programs	343	344	343
00.02	State programs	97	101	97
10.00	Total new obligations (object class 41.0)	440	445	440
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	440	445	440
23.95	Total new obligations	-440	-445	-440
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	440	445	440
Change in obligated balances:				
72.40	Obligated balance, start of year	406	398	374
73.10	Total new obligations	440	445	440
73.20	Total outlays (gross)	-443	-469	-444
73.40	Adjustments in expired accounts (net)	-5		
74.40	Obligated balance, end of year	398	374	370
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	77	85	84

86.93	Outlays from discretionary balances	366	384	360
87.00	Total outlays (gross)	443	469	444
Net budget authority and outlays:				
89.00	Budget authority	440	445	440
90.00	Outlays	443	469	444

This program provides part-time work experience in community service activities to unemployed, low-income persons aged 55 and over.

FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES

For payments during the current fiscal year of trade adjustment benefit payments and allowances under part I; and for training, allowances for job search and relocation, and related State administrative expenses under part II, subchapters B and D, chapter 2, title II of the Trade Act of 1974, as amended, **[\$415,650,000]** \$13,000,000, together with such amounts as may be necessary to be charged to the subsequent appropriation for payments for any period subsequent to September 15 of the current year. (Department of Labor Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 16-0326-0-1-999	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Direct program:				
00.01	Trade adjustment assistance benefits	248	283	
00.02	Trade adjustment assistance training	94	95	
00.03	North American Free Trade Agreement adjustment assistance benefits	27	32	13
00.04	North American Free Trade Agreement adjustment assistance training	36	37	
09.01	Reimbursable program	19	40	40
10.00	Total new obligations	424	487	53
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	426	487	53
23.95	Total new obligations	-424	-487	-53
23.98	Unobligated balance expiring or withdrawn	-2		
New budget authority (gross), detail:				
Mandatory:				
60.00	Appropriation	407	416	13
69.00	Offsetting collections (cash)	19	71	40
70.00	Total new budget authority (gross)	426	487	53
Change in obligated balances:				
72.40	Obligated balance, start of year	182	168	169
73.10	Total new obligations	424	487	53
73.20	Total outlays (gross)	-419	-486	-147
73.40	Adjustments in expired accounts (net)	-19		
74.40	Obligated balance, end of year	168	169	77
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	327	395	53
86.98	Outlays from mandatory balances	92	91	94
87.00	Total outlays (gross)	419	486	147
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-19	-71	-40
Net budget authority and outlays:				
89.00	Budget authority	407	416	13
90.00	Outlays	400	415	107

Summary of Budget Authority and Outlays

	(in millions of dollars)		
	2001 actual	2002 est.	2003 est.
Enacted/requested:			
Budget Authority	407	416	13
Outlays	400	415	107
Legislative proposal, not subject to PAYGO:			
Budget Authority			449
Outlays			357

General and special funds—Continued

FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES—Continued

Summary of Budget Authority and Outlays—Continued

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Total:			
Budget Authority	407	416	462
Outlays	400	415	464

Trade adjustment assistance.—Adjustment assistance, including cash weekly benefits, training, job search and relocation allowances, is paid to workers as authorized by the Trade Act of 1974, as amended.

North American Free Trade Agreement (NAFTA) transitional adjustment assistance.—Adjustment assistance, including weekly cash benefits, training, job search and relocation allowances, is paid to workers determined to be adversely affected as a result of trade with Canada and Mexico as authorized by the Trade Act of 1974, as amended.

Object Classification (in millions of dollars)

Identification code 16-0326-0-1-999	2001 actual	2002 est.	2003 est.
41.0 Direct obligations: Grants, subsidies, and contributions	405	447	13
99.0 Reimbursable obligations: Reimbursable obligations ...	19	40	40
99.9 Total new obligations	424	487	53

FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 16-0326-2-1-999	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Trade adjustment assistance benefits			297
00.02 Trade adjustment assistance training			95
00.03 North American Free Trade Agreement adjustment assistance benefits			20
00.04 North American Free Trade Agreement adjustment assistance training			37
10.00 Total new obligations (object class 41.0)			449
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			449
23.95 Total new obligations			-449
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation			449
Change in obligated balances:			
73.10 Total new obligations			449
73.20 Total outlays (gross)			-357
74.40 Obligated balance, end of year			92
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority			357
Net budget authority and outlays:			
89.00 Budget authority			449
90.00 Outlays			357

Legislation will be proposed at a later date to extend and improve the Trade Adjustment Assistance and the NAFTA—Transitional Adjustment Assistance programs, which expired September 30, 2001.

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE OPERATIONS

For authorized administrative expenses, **[\$163,452,000]** \$156,452,000, together with not to exceed **[\$3,237,886,000]** \$3,530,091,000 (including not to exceed \$1,228,000 which may be used for amortization payments to States which had independent retirement plans in their State employment service agencies prior to 1980), which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund including the cost of administering section 51 of the Internal Revenue Code of 1986, as amended, section 7(d) of the Wagner-Peyser Act, as amended, the Trade Act of 1974, as amended, the Immigration Act of 1990, and the Immigration and Nationality Act, as amended, and of which the sums available in the allocation for activities authorized by title III of the Social Security Act, as amended (42 U.S.C. 502-504), and the sums available in the allocation for necessary administrative expenses for carrying out 5 U.S.C. 8501-8523, shall be available for obligation by the States through December 31, **[2002]** 2003, except that funds used for automation acquisitions shall be available for obligation by the States through September 30, **[2004; and]** 2005; of which **[\$163,452,000]** \$156,452,000, together with not to exceed \$773,283,000 of the amount which may be expended from said trust fund, shall be available for obligation for the period July 1, **[2002]** 2003 through June 30, **[2003]** 2004, to fund activities under the Act of June 6, 1933, as amended, including the cost of penalty mail authorized under 39 U.S.C. 3202(a)(1)(E) made available to States in lieu of allotments for such purpose; and of which not to exceed \$76,200,000 may be expended from said trust fund contingent upon the enactment of legislation establishing a temporary program of extended unemployment benefits: *Provided*, That to the extent that the Average Weekly Insured Unemployment (AWIU) for fiscal year **[2002]** 2003 is projected by the Department of Labor to exceed **[2,622,000]** 3,372,000, an additional \$28,600,000 shall be available for obligation for every 100,000 increase in the AWIU level (including a pro rata amount for any increment less than 100,000) from the Employment Security Administration Account of the Unemployment Trust Fund: *Provided further*, That funds appropriated in this Act which are used to establish a national one-stop career center system, or which are used to support the national activities of the Federal-State unemployment insurance programs, may be obligated in contracts, grants or agreements with non-State entities: *Provided further*, That funds appropriated under this Act for activities authorized under the Wagner-Peyser Act, as amended, and title III of the Social Security Act, may be used by the States to fund integrated Employment Service and Unemployment Insurance automation efforts, notwithstanding cost allocation principles prescribed under Office of Management and Budget Circular A-87: *Provided further*, That notwithstanding any other provisions of law, the portion of the funds received by the State of Mississippi in the settlement of litigation with a contractor relating to the acquisition of an automated system for benefit payments under the unemployment compensation program that is attributable to the expenditure of Federal grant funds awarded to the State shall be transferred to the account under this heading and shall be made available by the Department of Labor to the State of Mississippi for obligation by the State through fiscal year 2004 to carry out automation and related activities under the unemployment compensation program. (Department of Labor Appropriations Act, 2002; additional authorizing legislation required.)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "State Unemployment Insurance and Employment Service Operations", \$4,100,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (Emergency Supplemental Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 16-0179-0-1-999	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
Unemployment compensation:			
00.01 State administration	2,423	2,782	2,728
00.02 National activities	10	10	10
Employment service:			
00.10 Grants to States	784	797	773
00.11 National activities	50	50	29
00.12 One-stop career centers	150	138	113
00.13 Work incentive grants	20	20	20
09.01 Reimbursable program	5	10	10

10.00	Total new obligations	3,442	3,807	3,683
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	96	100	82
22.00	New budget authority (gross)	3,434	3,789	3,696
22.10	Resources available from recoveries of prior year obligations	12		
23.90	Total budgetary resources available for obligation	3,542	3,889	3,778
23.95	Total new obligations	-3,442	-3,807	-3,683
24.40	Unobligated balance carried forward, end of year	100	82	95
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	193	163	156
40.15	Appropriation (emergency)		4	
42.00	Transferred from other accounts	4		
43.00	Appropriation (total discretionary)	197	167	156
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	3,237	3,622	3,540
70.00	Total new budget authority (gross)	3,434	3,789	3,696
Change in obligated balances:				
72.40	Obligated balance, start of year	222	320	329
73.10	Total new obligations	3,442	3,807	3,683
73.20	Total outlays (gross)	-3,332	-3,798	-3,728
73.45	Recoveries of prior year obligations	-12		
74.40	Obligated balance, end of year	320	329	284
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	2,556	2,979	2,909
86.93	Outlays from discretionary balances	777	819	819
87.00	Total outlays (gross)	3,332	3,798	3,728
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
Federal sources:				
88.00	Federal sources	-5	-10	-10
88.00	Trust Fund sources	-3,232	-3,612	-3,530
88.90	Total, offsetting collections (cash)	-3,237	-3,622	-3,540
Net budget authority and outlays:				
89.00	Budget authority	197	167	156
90.00	Outlays	95	176	188

Summary of Budget Authority and Outlays

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Enacted/requested:			
Budget Authority	197	167	156
Outlays	96	176	188
Legislative proposal, subject to PAYGO:			
Budget Authority			138
Outlays			84
Total:			
Budget Authority	197	167	294
Outlays	96	176	272

Unemployment compensation.—State administration amounts provide administrative grants to State agencies which pay unemployment compensation to eligible workers and collect State unemployment taxes from employers. These agencies also pay unemployment benefits to former Federal personnel as well as trade adjustment assistance to eligible individuals. State administration amounts also provide administrative grants to State agencies to improve the integrity and financial stability of the unemployment compensation program through a comprehensive program, UI Performs, to effect continuous improvement in State performance and related activities designed to assess and reduce errors and prevent fraud, waste, and abuse in the payment of unemployment compensation benefits and the collection of unemployment taxes. National activities relating to the Federal-State unemployment insurance programs are conducted through contracts or agreements with the State agencies or with non-

state entities. A workload reserve is included in State administration to meet increases in the costs of administration resulting from changes in State law, or increases in the number of claims filed and claims paid. The appropriation automatically provides additional funds whenever unemployment increases above budgeted levels.

PROGRAM STATISTICS

	2000 actual	2001 estimate	2002 estimate	2003 estimate
Staff years	33,483	33,685	53,954	51,436
Basic workload (in thousands):				
Employer tax accounts	6,719	6,839	6,794	6,884
Employee wage items recorded	586,230	608,095	607,995	616,039
Initial claims taken	15,715	20,332	27,425	24,999
Eligibility interviews	2,419	3,062	15,816	13,569
Weeks claimed	110,404	140,276	204,404	175,364
Nonmonetary determinations	7,199	7,490	8,703	8,907
Appeals	1,006	1,106	1,179	1,223
Covered employment	127,460	128,480	127,730	129,420

Employment service.—The public employment service is a nationwide system providing no-fee employment services to individuals who are seeking employment and employers who are seeking workers. State employment service activities are financed by allotments to States distributed under a demographically based funding formula established under the Wagner-Peyser Act, as amended. Employment service allotments are funded on a program year basis running from July 1 through June 30 of the following year. The reemployment needs of unemployed workers who lose their jobs through no fault of their own and who need extra job finding help are financed by Reemployment Services grants. These funds are distributed to States on a program year basis running from July 1 through June 30 the following year.

Employment service activities serving national needs, which includes certification of aliens for employment-based visas, are conducted through specific reimbursable agreements between the States and the Federal Government under the Wagner-Peyser Act, as amended and other legislation. Funding is also provided for amortization payments for States which had independent retirement plans prior to 1980 in their State employment service agencies.

One-stop career centers.—These funds will be used to support the joint Federal-State efforts to improve the comprehensive One-Stop system created under the Workforce Investment Act (WIA). This system provides workers and employers with quick and easy access to a wide array of enhanced career development and labor market information services. In this activity, funds will be used to implement the emerging e-government strategy for the WIA workforce system, which will improve accessibility, update the one-stop technology infrastructure, and improve the efficiency of the labor exchange and other services.

Work incentive grants.—These funds provide competitive grants to improve access to and coordination of information, benefits, and services to enable individuals with disabilities to return to work.

PROGRAM STATISTICS

(In thousands)

	2000 actual ¹	2001 estimate ²	2002 estimate ³	2003 estimate ⁴
Total applicants	16,317	17,000	15,000	15,000
Entered employment	3,851	3,825	8,250	8,700

¹ For the program year, July 1, 2000–June 30, 2001.
² For the program year, July 1, 2001–June 30, 2002.
³ For the program year, July 1, 2002–June 30, 2003.
⁴ For the program year, July 1, 2003–June 30, 2004.

Object Classification (in millions of dollars)

Identification code 16–0179–0–1–999	2001 actual	2002 est.	2003 est.
Direct obligations:			
23.3 Communications, utilities, and miscellaneous charges	116	120	125

General and special funds—Continued

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE OPERATIONS—Continued

Object Classification (in millions of dollars)—Continued

Identification code 16-0179-0-1-999	2001 actual	2002 est.	2003 est.
41.0 Grants, subsidies, and contributions	3,321	3,677	3,548
99.0 Direct obligations	3,437	3,797	3,673
99.0 Reimbursable obligations	5	10	10
99.9 Total new obligations	3,442	3,807	3,683

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE OPERATIONS

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 16-0179-4-1-999	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
Employment service:			
00.11 National activities			84
10.00 Total new obligations			84
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			138
23.95 Total new obligations			-84
24.40 Unobligated balance carried forward, end of year			54
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation			138
Change in obligated balances:			
73.10 Total new obligations			84
73.20 Total outlays (gross)			-84
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority			84
Net budget authority and outlays:			
89.00 Budget authority			138
90.00 Outlays			84

Legislation will be proposed to amend the American Competitiveness and Workforce Improvement Act of 1998 to redirect the portion of the revenues from the existing H-1B fee that currently supports a training grants program in the Employment and Training Administration. That grants program will be terminated, and the revenues will be redirected to eliminate the backlog for the permanent alien labor certification program at the State and Federal levels.

Object Classification (in millions of dollars)

Identification code 16-0179-4-1-999	2001 actual	2002 est.	2003 est.
41.0 Direct obligations: Grants, subsidies, and contributions			78
Allocation Account:			
11.3 Personnel compensation: Other than full-time permanent			3
12.1 Civilian personnel benefits			1
23.1 Rental payments to GSA			1
25.3 Other purchases of goods and services from Government accounts			1
99.0 Allocation account			6
99.9 Total new obligations			84

PAYMENTS TO THE UNEMPLOYMENT TRUST FUND

Program and Financing (in millions of dollars)

Identification code 16-0178-0-1-603	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	5	5	5
24.40 Unobligated balance carried forward, end of year	5	5	5
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

This account was initiated as a result of the amendments to the Emergency Unemployment Compensation law (P.L. 102-164, as amended) which currently provides for general fund financing for administrative costs related to extended benefits under the optional, total unemployment rate trigger. These funds are transferred to a receipt account in the Unemployment Trust Fund (UTF) in order that resources may be transferred to the Employment Security Administration Account in the UTF for administrative costs.

ADVANCES TO THE UNEMPLOYMENT TRUST FUND AND OTHER FUNDS

For repayable advances to the Unemployment Trust Fund as authorized by sections 905(d) and 1203 of the Social Security Act, as amended, and to the Black Lung Disability Trust Fund as authorized by section 9501(c)(1) of the Internal Revenue Code of 1954, as amended; and for nonrepayable advances to the Unemployment Trust Fund as authorized by section 8509 of title 5, United States Code, and to the "Federal unemployment benefits and allowances" account, to remain available until September 30, [2003, \$464,000,000] 2004, \$466,000,000.

In addition, for making repayable advances to the Black Lung Disability Trust Fund in the current fiscal year after September 15, [2002] 2003, for costs incurred by the Black Lung Disability Trust Fund in the current fiscal year, such sums as may be necessary. (Department of Labor Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 16-0327-0-1-600	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Trade adjustment assistance benefits		28	
00.02 North American Free Trade Agreement adjustment assistance benefits		3	
10.00 Total new obligations (object class 41.0)		31	
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		31	
23.95 Total new obligations		-31	
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation		31	
Change in obligated balances:			
73.10 Total new obligations		31	
73.20 Total outlays (gross)		-31	
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority		31	
Net budget authority and outlays:			
89.00 Budget authority		31	
90.00 Outlays		31	

Summary of Budget Authority and Outlays

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Enacted/requested:			
Budget Authority		31	
Outlays		31	
Legislative proposal, not subject to PAYGO:			
Budget Authority			2,066

Outlays	2,066
Total:	
Budget Authority	31 2,066
Outlays	31 2,066

This account provides repayable advances to the Black Lung Disability Trust Fund for making payments from that fund whenever its balances prove insufficient. The funding requested in this appropriation for 2003 is entirely for Black Lung. This spending authority is presented as authority to borrow in the Black Lung Disability Trust Fund.

This account also provides advances to several other accounts to pay unemployment compensation to eligible individuals under various Federal and State unemployment compensation laws whenever the balances in the funds prove insufficient or whenever reimbursements to certain accounts, as allowed by law, are to be made. Advances made to the Federal employees compensation account in the Unemployment Trust Fund and to the Federal unemployment benefits and allowances account are nonrepayable. All other advances made to the Federal unemployment account and to the Extended unemployment compensation account (both in the Unemployment Trust Fund) are repaid, with interest, to the general fund of the Treasury.

ADVANCES TO THE UNEMPLOYMENT TRUST FUND
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 16-0327-2-1-600	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 One-time prepayment premium to Treasury			2,066
10.00 Total new obligations (object class 41.0)			2,066
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			2,066
23.95 Total new obligations			-2,066
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation			2,066
Change in obligated balances:			
73.10 Total new obligations			2,066
73.20 Total outlays (gross)			-2,066
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority			2,066
Net budget authority and outlays:			
89.00 Budget authority			2,066
90.00 Outlays			2,066

The Black Lung Disability Trust Fund (BLDTF) revenues, which consist primarily of excise taxes on coal, are not sufficient to repay its \$7 billion debt to the Treasury or to service the interest on that debt. See discussion in the Black Lung Disability Trust Fund for a full description of the Administration's proposal to remedy this problem. As a part of this proposal, the Administration will propose legislation that will provide for a one-time appropriation to permit the BLDTF to compensate the General Fund for the forgone prepayment premium.

PROGRAM ADMINISTRATION

For expenses of administering employment and training programs, **[\$113,356,000, including \$5,934,000] \$126,752,000, of which \$4,711,000 is to administer welfare-to-work grants, and of which \$5,530,000 is for up to 75 temporary full-time equivalent staff to**

*administer section 173 of the Workforce Investment Act, contingent upon the enactment of legislation amending section 173 to address and to provide funding for major economic dislocations, including those resulting from the terrorist attacks of September 11, 2001 together with not to exceed **[\$48,507,000] \$53,062,000, which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund. (Department of Labor Appropriations Act, 2002; additional authorizing legislation required.)***

Program and Financing (in millions of dollars)

Identification code 16-0172-0-1-504	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Adult services	38	39	47
00.02 Youth services	38	39	40
00.03 Workforce security	51	52	54
00.04 Apprenticeship training, employer and labor services	23	24	22
00.05 Executive direction	11	10	11
00.06 Welfare-to-work	6	6	5
10.00 Total new obligations	167	170	179
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	167	170	179
23.95 Total new obligations	-167	-170	-179
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	116	119	126
68.00 Spending authority from offsetting collections: Trust Fund sources	51	51	53
70.00 Total new budget authority (gross)	167	170	179
Change in obligated balances:			
72.40 Obligated balance, start of year	19	18	15
73.10 Total new obligations	167	170	179
73.20 Total outlays (gross)	-167	-173	-180
73.40 Adjustments in expired accounts (net)	-1		
74.40 Obligated balance, end of year	18	15	13
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	152	159	167
86.93 Outlays from discretionary balances	15	14	11
87.00 Total outlays (gross)	167	173	180
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Trust fund sources	-51	-51	-53
Net budget authority and outlays:			
89.00 Budget authority	116	119	126
90.00 Outlays	116	122	127
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	110	113	121
90.00 Outlays	110	116	122

Adult services.—Provides leadership, policy direction and administration for a decentralized system of grants to State and local governments as well as federally administered programs for job training and employment assistance for low income adults and dislocated workers; provides for training and employment services to special targeted groups; provides for the settlement of trade adjustment petitions; and includes related program operations support activities.

Youth services.—Provides leadership, policy direction and administration for a decentralized system of grants to State and local governments as well as federally administered programs for job training and employment assistance for youth,

General and special funds—Continued

PROGRAM ADMINISTRATION—Continued

including youth grants, the Job Corps, and Youth Opportunity Grants.

Workforce security.—Provides leadership and policy direction for the administration of the comprehensive nationwide public employment service system; oversees unemployment insurance programs in each State; administers foreign labor certification programs; supports a one-stop career center network, including a comprehensive system of collecting, analyzing and disseminating labor market information; and includes related program operations support activities.

Apprenticeship training, employer and labor services.—Promotes and provides leadership and policy direction for the administration of apprenticeship as a method of skill acquisition through a Federal-State apprenticeship structure. Employer and labor services will facilitate the understanding and responsiveness of workforce development systems to the training needs of employers and the interest of labor organizations in training programs. It provides for the Child Care Apprenticeship Program for training of child care providers.

Executive direction.—Provides leadership and policy direction for all training and employment services programs and activities and provides for related program operations support, including research, evaluations, and demonstrations.

Welfare-to-work.—Provides leadership, policy direction, technical assistance, and administration for a decentralized system of grants to States and federally administered competitive grants to Workforce Investment Boards, political subdivisions of States, and private entities to assist hard-to-employ welfare recipients and certain noncustodial parents to secure lasting, unsubsidized employment.

Object Classification (in millions of dollars)

Identification code 16-0172-0-1-504	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	84	85	85
11.3 Other than full-time permanent	2	2	6
11.5 Other personnel compensation	2	2	2
11.9 Total personnel compensation	88	89	93
12.1 Civilian personnel benefits	27	29	29
21.0 Travel and transportation of persons	5	5	5
23.1 Rental payments to GSA	10	12	13
23.3 Communications, utilities, and miscellaneous charges	2	2	2
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	4	4	8
25.2 Other services	2	3	3
25.3 Other purchases of goods and services from Government accounts	13	13	14
25.7 Operation and maintenance of equipment	7	8	8
26.0 Supplies and materials	1	1	1
31.0 Equipment	6	2	2
99.0 Direct obligations	166	169	179
99.5 Below reporting threshold	1	1	
99.9 Total new obligations	167	170	179

Personnel Summary

Identification code 16-0172-0-1-504	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	1,336	1,300	1,328
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	3	3	3
Allocation account:			
3001 Total compensable workyears: Full-time equivalent employment	31	50	50

PROGRAM ADMINISTRATION

(Legislative proposal, subject to PAYGO)

Legislation will be proposed to redirect the portion of the revenues from the existing H-IB fee that currently supports a training grants program in the Employment and Training Administration. That grants program will be terminated, and the revenues redirected to State Unemployment Insurance and Employment Services Operations (SUIESO) to eliminate the backlog for the permanent alien labor certification program at the State and Federal levels. This schedule reflects the effects of that legislative proposal on the Federal staff in this account. SUIESO will allocate funding to this account to finance the increased Federal staff.

Personnel Summary

Identification code 16-0172-4-1-504	2001 actual	2002 est.	2003 est.
3001 Total compensable workyears: Full-time equivalent employment			60

【WORKERS COMPENSATION PROGRAMS】

【For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for “Workers Compensation Programs”, \$175,000,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38: *Provided*, That, of such amount, \$125,000,000 shall be for payment to the New York State Workers Compensation Review Board, for the processing of claims related to the terrorist attacks: *Provided further*, That, of such amount, \$25,000,000 shall be for payment to the New York State Uninsured Employers Fund, for reimbursement of claims related to the terrorist attacks: *Provided further*, That, of such amount, \$25,000,000 shall be for payment to the New York State Uninsured Employers Fund, for reimbursement of claims related to the first response emergency services personnel who were injured, were disabled, or died due to the terrorist attacks.】 (*Emergency Supplemental Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 16-0170-0-1-806	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Workers compensation programs		175	
10.00 Total new obligations (object class 41.0)		175	
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		175	
23.95 Total new obligations		-175	
New budget authority (gross), detail:			
Discretionary:			
40.15 Appropriation (emergency)		175	
Change in obligated balances:			
72.40 Obligated balance, start of year			35
73.10 Total new obligations		175	
73.20 Total outlays (gross)		-140	-35
74.40 Obligated balance, end of year			35
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		140	
86.93 Outlays from discretionary balances			35
87.00 Total outlays (gross)		140	35
New budget authority and outlays:			
89.00 Budget authority		175	
90.00 Outlays		140	35

UNEMPLOYMENT TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 20-8042-0-7-999	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	85,938	88,302	76,082
Receipts:			
Receipts:			
02.00 General taxes, FUTA	6,937	6,934	7,065
02.00 UI Federalism legislative proposal, FUTA			-1,252
Receipts:			
02.01 State accounts, deposits by States	20,824	23,254	29,887
02.01 UI Federalism legislative proposal, State deposits			-1
02.02 Deposits by Railroad Retirement Board	51	100	150
02.20 CMA interest, Unemployment trust fund	1	2	2
02.40 Deposits by Federal agencies to the Federal Employees Compensation Account	432	517	531
02.41 Interest and profits on investments in public debt securities	5,749	5,606	4,719
02.80 Offsetting collections, Railroad unemployment insurance trust fund	25	22	23
02.99 Total receipts and collections	34,019	36,435	41,124
04.00 Total: Balances and collections	119,957	124,737	117,206
Appropriations:			
Appropriations:			
05.00 Unemployment trust fund	-33,880	-48,516	-44,643
05.00 Legislative proposal not subject to PAYGO, VETS transfer			179
05.01 Railroad unemployment insurance trust fund	-133	-139	-140
05.99 Total appropriations	-34,013	-48,655	-44,604
06.10 Unobligated balance returned to receipts	2,358		
07.99 Balance, end of year	88,302	76,082	72,602

Program and Financing (in millions of dollars)

Identification code 20-8042-0-7-999	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Federal-State unemployment insurance:			
Withdrawals:			
Benefit payments by States	27,401	43,980	40,169
Federal employees' unemployment compensation	512	521	534
State administrative expenses	3,280	3,681	3,601
Federal administrative expenses:			
Direct expenses	56	56	59
Reimbursements to the Department of the Treasury	88	89	89
Veterans employment and training	184	188	188
Interest on refunds	4	3	3
10.00 Total new obligations	31,525	48,518	44,643
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	33,883	48,519	44,643
23.95 Total new obligations	-31,525	-48,518	-44,643
23.98 Unobligated balance expiring or withdrawn	-2,358		
New budget authority (gross), detail:			
Discretionary:			
40.26 Appropriation (trust fund)	3,568	3,925	3,848
Mandatory:			
60.26 Appropriation (trust fund)	33,943	36,313	42,204
60.28 Appropriation (unavailable balances)		8,281	
60.45 Portion precluded from obligation	-3,628		-1,409
62.50 Appropriation (total mandatory)	30,315	44,594	40,795
70.00 Total new budget authority (gross)	33,883	48,519	44,643
Change in obligated balances:			
72.40 Obligated balance, start of year	743	735	890
73.10 Total new obligations	31,525	48,518	44,643
73.20 Total outlays (gross)	-31,533	-48,363	-44,643
74.40 Obligated balance, end of year	735	890	890

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	2,884	3,291	3,214
86.93 Outlays from discretionary balances	660	478	634
86.97 Outlays from new mandatory authority	27,989	44,594	40,795
87.00 Total outlays (gross)	31,533	48,363	44,643

Net budget authority and outlays:

89.00 Budget authority	33,883	48,519	44,643
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90.00 Outlays	31,533	48,363	44,643
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value	86,399	88,638	76,529
92.02 Total investments, end of year: Federal securities:			
Par value	88,638	76,529	73,216

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	33,880	48,516	44,640
90.00 Outlays	31,530	48,360	44,640

Summary of Budget Authority and Outlays

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Enacted/requested:			
Budget Authority	33,883	48,519	44,643
Outlays	31,533	48,363	44,643
Legislative proposal, not subject to PAYGO:			
Budget Authority			-179
Outlays			-163
Total:			
Budget Authority	33,883	48,519	44,464
Outlays	31,533	48,363	44,480

The financial transactions of the Federal-State and railroad unemployment insurance systems are made through the Unemployment Trust Fund. All State and Federal unemployment tax receipts are deposited in the trust fund and invested in Government securities until needed for benefit payments or administrative costs. States may receive repayable advances from the fund when their balances in the fund are insufficient to pay benefits. The fund may receive repayable advances from the general fund when it has insufficient balances to make advances to States or to pay the Federal share of extended benefits.

State payroll taxes pay for all regular State benefits. During periods of high State unemployment, extended benefits, financed one-half by State payroll taxes and one-half by the Federal unemployment payroll tax, are also paid. The Federal tax pays the costs of Federal and State administration of unemployment insurance and veterans employment services and 97% of the costs of the employment service.

The Federal employees compensation account provides funds to States for unemployment compensation benefits paid to eligible former Federal civilian personnel, Postal Service employees, and ex-servicemembers. Benefits paid are reimbursed to the Federal employees compensation account by the various Federal agencies. Any additional resources necessary to assure that the account can make the required payments to States will be provided from the Advances to the Unemployment Trust Fund and other funds account.

Both the benefit payments and administrative expenses of the separate unemployment insurance program for railroad employees are paid from the unemployment trust fund and receipts from the tax on railroad payrolls are deposited in the fund to meet expenses.

Status of Funds (in millions of dollars)

Identification code 20-8042-0-7-999	2001 actual	2002 est.	2003 est.
Unexpended balance, start of year:			
0100 Treasury balance	279	394	443
0101 U.S. Securities: Par value	86,399	88,638	76,529
0199 Total balance, start of year	86,680	89,036	76,972
Cash income during the year:			
Current law:			
Receipts:			
1200 General taxes, FUTA, Unemployment trust fund	6,937	6,934	7,065

General and special funds—Continued

UNEMPLOYMENT TRUST FUND—Continued

Status of Funds (in millions of dollars)—Continued

Identification code 20-8042-0-7-999	2001 actual	2002 est.	2003 est.
1201 Unemployment trust fund, State accounts, Deposits by States	20,824	23,254	29,887
1202 Deposits by Railroad Retirement Board	51	100	150
Offsetting receipts (proprietary):			
1220 CMA interest, Unemployment trust fund	1	2	2
Offsetting receipts (intragovernmental):			
1240 Deposits by Federal agencies to the Federal Employees Compensation Account, Unemployment trust fund	432	517	531
1241 Unemployment trust fund, Interest and profits on investments in public debt securities	5,749	5,606	4,719
Offsetting collections:			
1280 Railroad unemployment insurance trust fund, Offsetting collections	25	22	23
1299 Income under present law	34,019	36,435	42,377
Proposed legislation:			
Receipts:			
2200 General taxes, legislative proposal subject to PAYGO			-1,252
2201 Deposits by States, legislative proposal subject to PAYGO			-1
2299 Income under proposed legislation			-1,253
3299 Total cash income	34,019	36,435	41,124
Cash outgo during year:			
Current law:			
4500 Unemployment trust fund	-31,530	-48,360	-44,643
4501 Railroad unemployment insurance trust fund	-118	-123	-124
4599 Outgo under current law (-)	-31,648	-48,483	-44,767
Proposed legislation:			
5500 Legislative proposal not subject to PAYGO			163
6599 Total cash outgo (-)	-31,648	-48,483	-44,604
7645 Transfers, net	-15	-15	-16
Unexpended balance, end of year:			
8700 Uninvested balance	394	443	260
8701 Federal securities: Par value	88,638	76,529	73,216
8799 Total balance, end of year	89,036	76,972	73,476

Object Classification (in millions of dollars)

Identification code 20-8042-0-7-999	2001 actual	2002 est.	2003 est.
25.3 Reimbursements to Department of the Treasury	88	89	89
Insurance claims and indemnities:			
42.0 Federal unemployment benefits	512	521	534
42.0 State unemployment benefits	27,401	43,980	40,169
43.0 Interest and dividends	4	3	3
Undistributed:			
92.0 Employment and Training Administration	51	51	53
92.0 Veterans employment and training	184	188	188
92.0 Payments to States for administrative expenses	3,280	3,681	3,601
92.0 Departmental management	5	5	6
99.9 Total new obligations	31,525	48,518	44,643

**UNEMPLOYMENT TRUST FUND
(Legislative proposal, not subject to PAYGO)**

Program and Financing (in millions of dollars)

Identification code 20-8042-2-7-999	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.20 Veterans employment and training			-179
10.00 Total new obligations (object class 92.0)			-179
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			-179
23.95 Total new obligations			179
New budget authority (gross), detail:			
Discretionary:			
40.26 Appropriation (trust fund)			-179

Change in obligated balances:			
73.10	Total new obligations		-179
73.20	Total outlays (gross)		163
74.40	Obligated balance, end of year		-16

Outlays (gross), detail:			
86.90	Outlays from new discretionary authority		-163

Net budget authority and outlays:			
89.00	Budget authority		-179
90.00	Outlays		-163

Legislation will be proposed to consolidate several activities in the Veterans Employment and Training account and transfer them to the Department of Veterans Affairs. This schedule reflects the effects of the legislative proposal on discretionary spending in the Unemployment Trust Fund. For more detail on the legislative proposal, see the discussion for the Veterans Employment and Training account.

**PENSION AND WELFARE BENEFITS
ADMINISTRATION**

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses for the Pension and Welfare Benefits Administration, **[\$109,866,000] \$120,989,000.** (*Department of Labor Appropriations Act, 2002; additional authorizing legislation required.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Salaries and Expenses", \$1,600,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 16-1700-0-1-601	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Direct program:				
00.01	Enforcement and compliance	87	90	96
00.02	Policy, regulations, and public services	20	20	21
00.03	Program oversight	4	4	4
09.01	Reimbursable program	10	10	10
10.00	Total new obligations	121	124	131
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	121	126	131
23.95	Total new obligations	-121	-124	-131
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	111	114	121
40.15	Appropriation (emergency)		2	
43.00	Appropriation (total discretionary)	111	116	121
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	10	10	10
70.00	Total new budget authority (gross)	121	126	131
Change in obligated balances:				
72.40	Obligated balance, start of year	28	40	38
73.10	Total new obligations	121	124	131
73.20	Total outlays (gross)	-109	-126	-130
74.40	Obligated balance, end of year	40	38	39
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	89	104	108
86.93	Outlays from discretionary balances	20	24	22
87.00	Total outlays (gross)	109	126	130
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-10	-10	-10

Net budget authority and outlays:				
89.00	Budget authority	111	116	121
90.00	Outlays	98	116	120

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	107	112	117
90.00	Outlays	94	112	116

Enforcement and compliance.—Provides compliance assistance to employers, plan officials and the public. Conducts criminal and civil investigations and performs reviews to ensure compliance with the fiduciary provisions of the Employee Retirement Income Security Act (ERISA) and the Federal Employees' Retirement System Act. Assures compliance with applicable reporting requirements, as well as accounting, auditing and actuarial standards. The 2003 estimates include expanding the voluntary fiduciary and delinquent filer correction programs, two forms of compliance assistance.

	2001 actual	2002 est.	2003 est.
Plan reviews and investigations conducted	7,463	7,175	6,398
Investigations closed that restored or protected assets	2,724	2,613	2,744
Benefit recoveries from customer assistance:			
Field offices	\$52,000,000	\$59,000,000	\$60,000,000
Inquiries received:			
Field offices	138,767	174,840	178,752

Policy, regulation and public service.—Conducts policy, research, and legislative analyses on pension, health, and other employee benefit issues. Promulgates regulations and interpretations. Issues individual and class exemptions from regulations. Discloses government-required reports and provides compliance assistance to the public.

	2001 actual	2002 est.	2003 est.
Exemptions, determinations, interpretations, and regulations issued	906	1,184	1,206
Average days to process exemption requests	351	340	329
Benefit recoveries from customer assistance:			
National office	\$13,000,000	\$8,000,000	\$8,000,000
Inquiries received:			
National office	30,033	21,500	29,300

Program oversight.—Provides leadership, policy direction, strategic planning, and administrative guidance in the management of pension and welfare benefits programs. Provides analytical and administrative support for financial and human capital management and other administrative functions related to coordination and implementation of government-wide management initiatives. Manages the technical program training for the agency's enforcement, policy, legislative and regulatory functions.

Object Classification (in millions of dollars)

Identification code 16-1700-0-1-601	2001 actual	2002 est.	2003 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	49	54	57
12.1	Civilian personnel benefits	15	17	18
21.0	Travel and transportation of persons	3	3	3
23.1	Rental payments to GSA	5	5	6
23.3	Communications, utilities, and miscellaneous charges	1	1	1
24.0	Printing and reproduction	1	1	1
25.2	Other services	6	6	7
25.3	Other purchases of goods and services from Government accounts	7	7	7
25.5	Research and development contracts	3	2	2
25.7	Operation and maintenance of equipment	16	15	16
26.0	Supplies and materials	1	1	1
31.0	Equipment	4	2	2
99.0	Direct obligations	111	114	121
99.0	Reimbursable obligations	10	10	10
99.9	Total new obligations	121	124	131

Personnel Summary

Identification code 16-1700-0-1-601	2001 actual	2002 est.	2003 est.	
1001	Total compensable workyears: Full-time equivalent employment	773	850	861

PENSION BENEFIT GUARANTY CORPORATION

Federal Funds

Public enterprise funds:

PENSION BENEFIT GUARANTY CORPORATION FUND

The Pension Benefit Guaranty Corporation is authorized to make such expenditures, including financial assistance authorized by section 104 of Public Law 96-364, within limits of funds and borrowing authority available to such Corporation, and in accord with law, and to make such contracts and commitments without regard to fiscal year limitations as provided by section 104 of the Government Corporation Control Act, as amended (31 U.S.C. 9104), as may be necessary in carrying out the program through September 30, [2002,] 2003, for such Corporation: *Provided*, That not to exceed [\$11,690,000] \$13,326,000 shall be available for administrative expenses of the Corporation: *Provided further*, That expenses of such Corporation in connection with the termination of pension plans, for the acquisition, protection or management, and investment of trust assets, and for benefits administration services shall be considered as non-administrative expenses for the purposes hereof, and excluded from the above limitation. (*Department of Labor Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 16-4204-0-3-601	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
09.01	Single employer program benefits payments	1,096	1,422	1,325
09.02	Multi-employer program financial assistance	5	6	10
09.03	Administrative expenses	12	12	13
09.04	Services related to terminations	180	181	183
10.00	Total new obligations	1,292	1,621	1,531
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	10,408	11,514	12,829
22.00	Budget authority from offsetting collections	2,398	2,936	2,898
23.90	Total budgetary resources available for obligation	12,806	14,450	15,727
23.95	Total new obligations	-1,292	-1,621	-1,531
24.40	Unobligated balance carried forward, end of year	11,514	12,829	14,196
New budget authority (gross), detail:				
Discretionary:				
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	12	12	13
Mandatory:				
69.00	Offsetting collections (cash)	2,386	2,924	2,885
70.00	Total new budget authority (gross)	2,398	2,936	2,898
Change in obligated balances:				
72.40	Obligated balance, start of year	166	125	125
73.10	Total new obligations	1,292	1,621	1,531
73.20	Total outlays (gross)	-1,333	-1,621	-1,531
74.40	Obligated balance, end of year	125	125	125
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	12	12	13
86.93	Outlays from discretionary balances	3	3	3
86.97	Outlays from new mandatory authority	1,318	1,606	1,515
87.00	Total outlays (gross)	1,333	1,621	1,531
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.20	Interest on Federal securities	-598	-964	-1,075
Non-Federal sources:				
88.40	Premium income	-850	-886	-829
88.40	Benefit payment reimbursements	-782	-908	-814
88.40	Reimbursements from trust funds for services related to terminations	-164	-178	-180

Public enterprise funds—Continued

PENSION BENEFIT GUARANTY CORPORATION FUND—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 16-4204-0-3-601	2001 actual	2002 est.	2003 est.
88.40 Other Income	-4		
88.90 Total, offsetting collections (cash)	-2,398	-2,936	-2,898
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-1,065	-1,315	-1,367
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value	10,500	11,575	12,893
92.02 Total investments, end of year: Federal securities: Par value	11,575	12,893	14,263

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
90.00 Outlays	-1,068	-1,318	-1,370

Status of Direct Loans (in millions of dollars)

Identification code 16-4204-0-3-601	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1231 Disbursements: Direct loan disbursements	5	6	10
1263 Write-offs for default: Direct loans	-5	-6	-10

This wholly owned government corporation administers programs of mandatory insurance to prevent loss of pension benefits under covered private, defined-benefit pension plans if single-employer plans terminate or if multiemployer plans are unable to pay benefits.

Single employer program.—The single-employer program protects about 34 million participants in about 35,000 pension plans. Under this program, a company may voluntarily seek to terminate its plan, or the Pension Benefit Guaranty Corporation (PBGC) may seek termination under certain circumstances. The PBGC must seek termination when a plan cannot pay current benefits.

In a "standard" termination, plan assets must be sufficient to pay all benefits before the plan is allowed to end. That payment is in the form of an annuity purchased from an insurance company or a lump sum payment. After the payment is made, the PBGC guarantee ends. A plan that cannot pay all benefits may be ended by a "distress" termination, but only if the employer meets tests proving severe financial distress, for example, the likelihood that continuing the plan would force the company to shut down. If the terminated plan cannot pay at least the PBGC-guaranteed benefits, the PBGC uses its funds to ensure guaranteed benefits are paid.

	2001 actual	2002 est.	2003 est.
Government trusteeships at end of year	2,944	3,054	3,164
Participants in government trusteeships owed benefits	624,000	674,000	724,000
Retirees receiving monthly benefits	268,600	290,000	311,000

Multi-employer program.—The multiemployer insurance program protects about 8.8 million participants in about 1,700 plans. Multiemployer pension plans are maintained under collectively bargained agreements involving unrelated employers, generally in the same industry. If a PBGC-insured multiemployer plan is unable to pay guaranteed benefits when due, the PBGC will provide the plan with financial assistance to continue paying guaranteed benefits, ordinarily in the form of a loan to the plan. Twenty-five plans are expected to receive assistance in 2003.

Administrative expenses subject to limitation.—Provides for collection of nearly \$1 billion in premiums, accounting and auditing services, asset management, executive direction, and other support functions.

Services related to terminations.—This activity provides for needed, but unpredictable, costs related to benefits administration, actuarial services, and managing the assets of trustee plans.

Plans terminated during the year:	2001 actual	2002 est.	2003 est.
With sufficient assets	1,565	1,600	1,600
Without sufficient assets	101	110	110
Time to replace initial with final benefit levels	3.6 yrs	3.0 yrs	3.0 yrs

Financing.—The primary source of financing is annual premiums paid by sponsors of ongoing covered plans, which vary according to the plans' funding level. Other sources of financing include assets from terminated plans, investment income, and amounts due PBGC from the sponsors of terminating plans. Also, PBGC is authorized to borrow up to \$100 million from the U.S. Treasury.

Operating results.—The following tables show the status of PBGC's trust funds and PBGC's operating results.

STATUS OF TRUST FUNDS

(In thousands of dollars)

	2000 actual	2001 actual	2002 est.	2003 est.
Assets:				
Cash	214,150	424,800	424,800	424,800
Investments	8,696,010	7,092,060	7,798,734	8,402,113
Receivables:				
Due from Pension Benefit Guaranty Corporation	2,102,070	6,310,860	6,892,938	7,502,234
Due from employers—terminated plans	1,878,610	1,305,770	372,376	378,149
Assets of pretrusteed plans	84,040	577,640	664,854	418,060
Other assets	65,180	257,140	257,140	257,140
Total assets	13,040,060	15,968,270	16,410,841	17,382,495
Liabilities:				
Estimate of future benefits—terminated plans	9,818,170	13,667,660	15,841,721	16,868,635
Estimate of probable terminations (net claims for)	2,752,390	1,349,670	55,260	0
Other liabilities	469,500	950,940	513,860	513,860
Total liabilities	13,040,060	15,968,270	16,410,841	17,382,495

CHANGE IN PBGC'S LIABILITY UNDER TERMINATED PLANS

(In thousands of dollars)

	2000 actual	2001 actual	2002 est.	2003 est.
Liability, beginning of year	2,214,000	1,053,060	5,627,170	6,564,768
Liability incurred due to plan terminations	-41,550	1,070,580	866,953	576,200
(New liabilities assumed)	410,620	3,625,510	2,428,849	1,246,430
(Plan assets acquired)	-275,710	-2,733,170	-1,505,066	-612,350
(Recoveries from employers, net)	-176,460	178,240	-56,830	-57,880
Operating loss of trust fund	-746,400	3,763,400	592,518	650,858
Benefit payments	-372,990	-259,870	-521,873	-562,502
Liability, end of year	1,053,060	5,627,170	6,564,768	7,229,324

Statement of Operations (in millions of dollars)

Identification code 16-4204-0-3-601	2000 actual	2001 actual	2002 est.	2003 est.
Revenue:				
0101 Premium income	832	845	886	829
0101 Investment income	1,102	1,703	964	1,075
0101 Other income	1			
Expense:				
0102 Trust fund operating loss	746	-3,763	-583	-645
0102 Net liability due to plan terminations	-105	-1,196	-867	-576
0102 Provision for probable terminations	185	491	286	55
0102 Change in allowance for uncollectible financial assistance	-26	-259	-22	-46
0102 Administrative expenses	-11	-12	-12	-12
0105 Net income or loss (-)	2,724	-2,191	652	680
0191 Total revenues	1,935	2,548	1,850	1,904

0192	Total expenses	789	-4,739	-1,198	-1,224
0199	Total comprehensive income	2,724	-2,191	652	680

Balance Sheet (in millions of dollars)

Identification code 16-4204-0-3-601	2000 actual	2001 actual	2002 est.	2003 est.	
ASSETS:					
Federal assets:					
1101	Fund balances with Treasury	1	1	1	1
Investments in US securities:					
Treasury securities, par:					
1102	Treasury securities, par	10,500	11,428	12,893	14,156
1102	Treasury securities, unamortized discount (-)/premium (+)	1,433	2,493	2,665	2,493
1106	Receivables, net	338	154	121	121
1206	Non-Federal assets: Receivables, net	319	437	181	397
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:					
1601	Direct loans, gross	47	47	47	47
1602	Interest receivable	40	51	51	51
1603	Allowance for estimated uncollectible loans and interest (-)	-87	-98	-98	-98
1699	Value of assets related to direct loans				
Other Federal assets:					
1801	Cash and other monetary assets	134	296	296	296
1803	Property, plant and equipment, net	2	2	2	3
1901	Other assets		182	61	61
1999	Total assets	12,727	14,993	16,220	17,528
LIABILITIES:					
Non-Federal liabilities:					
2201	Accounts payable	240	226	217	217
2206	Pension and other actuarial liabilities	2,516	6,990	7,574	8,202
2999	Total liabilities	2,756	7,216	7,791	8,419
NET POSITION:					
3300	Cumulative results of operations	9,971	7,777	8,429	9,109
3999	Total net position	9,971	7,777	8,429	9,109
4999	Total liabilities and net position	12,727	14,993	16,220	17,528

Object Classification (in millions of dollars)

Identification code 16-4204-0-3-601	2001 actual	2002 est.	2003 est.	
Personnel compensation:				
11.1	Full-time permanent	48	50	54
11.3	Other than full-time permanent	2	2	2
11.5	Other personnel compensation	1	2	2
11.9	Total personnel compensation	51	54	58
12.1	Civilian personnel benefits	14	15	15
21.0	Travel and transportation of persons	1	1	1
23.2	Rental payments to others	12	13	13
23.3	Communications, utilities, and miscellaneous charges	3	2	2
24.0	Printing and reproduction	1	1	1
25.2	Other services	101	101	100
25.3	Other purchases of goods and services from Government accounts	1	1	1
26.0	Supplies and materials	2	2	2
31.0	Equipment	5	3	3
33.0	Investments and loans	5	6	10
42.0	Insurance claims and indemnities	1,096	1,422	1,325
99.0	Reimbursable obligations	1,292	1,621	1,531
99.9	Total new obligations	1,292	1,621	1,531

Personnel Summary

Identification code 16-4204-0-3-601	2001 actual	2002 est.	2003 est.	
2001	Total compensable workyears: Full-time equivalent employment	727	754	752

EMPLOYMENT STANDARDS ADMINISTRATION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses for the Employment Standards Administration, including reimbursement to State, Federal, and local agencies and their employees for inspection services rendered, **[\$369,220,000] \$310,808,000**, together with **[\$1,981,000] \$2,079,000** which may be expended from the Special Fund in accordance with sections 39(c), 44(d) and 44(j) of the Longshore and Harbor Workers' Compensation Act; and **\$87,484,000**, to be transferred from the Administrative Expenses Account of the Employees' Compensation Fund, as authorized by 5 U.S.C. 8147, as amended by section 632 of the Treasury and General Government Appropriations Act, 2003: Provided, That \$2,000,000 shall be for the development of an alternative system for the electronic submission of reports required to be filed under the Labor-Management Reporting and Disclosure Act of 1959, as amended, and for a computer database of the information for each submission by whatever means, that is indexed and easily searchable by the public via the Internet: *Provided further*, That the Secretary of Labor is authorized to accept, retain, and spend, until expended, in the name of the Department of Labor, all sums of money ordered to be paid to the Secretary of Labor, in accordance with the terms of the Consent Judgment in Civil Action No. 91-0027 of the United States District Court for the District of the Northern Mariana Islands (May 21, 1992): *Provided further*, That the Secretary of Labor is authorized to establish and, in accordance with 31 U.S.C. 3302, collect and deposit in the Treasury fees for processing applications and issuing certificates under sections 11(d) and 14 of the Fair Labor Standards Act of 1938, as amended (29 U.S.C. 211(d) and 214) and for processing applications and issuing registrations under title I of the Migrant and Seasonal Agricultural Worker Protection Act (29 U.S.C. 1801 et seq.). (*Department of Labor Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 16-0105-0-1-505	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Direct program:				
00.01	Enforcement of wage and hour standards	168	182	173
00.02	Federal contractor EEO standards enforcement	81	83	82
00.03	Federal programs for workers' compensation	126	131	50
00.04	Program direction and support	14	15	16
00.05	Labor-management standards	33	33	37
09.01	Reimbursable program	5	5	6
09.41	Reimbursable program—Federal Employees' Compensation Act			87
10.00	Total new obligations	427	449	451
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	4	8	
22.00	New budget authority (gross)	431	441	450
23.90	Total budgetary resources available for obligation	435	449	450
23.95	Total new obligations	-427	-449	-451
24.40	Unobligated balance carried forward, end of year	8		
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	383	392	311
40.75	Reduction pursuant to P.L. 106-554 (Labor/HHS)	-1	-1	
43.00	Appropriation (total discretionary)	382	391	311
Mandatory:				
60.20	Appropriation (special fund)	10	10	10
Spending authority from offsetting collections:				
Discretionary:				
Offsetting collections (cash):				
68.00	Offsetting collections (cash)	39	40	42
68.00	Offsetting collections (cash)			1
68.62	Transferred from other accounts			86
68.90	Spending authority from offsetting collections (total discretionary)	39	40	129
70.00	Total new budget authority (gross)	431	441	450

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 16-0105-0-1-505	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year	41	36	37
73.10 Total new obligations	427	449	451
73.20 Total outlays (gross)	-430	-448	-451
74.40 Obligated balance, end of year	36	37	37
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	390	405	414
86.93 Outlays from discretionary balances	34	25	26
86.97 Outlays from new mandatory authority	6	10	10
86.98 Outlays from mandatory balances		8	
87.00 Total outlays (gross)	430	448	451
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-37	-38	-41
88.40 Non-Federal sources	-2	-2	-2
88.90 Total, offsetting collections (cash)	-39	-40	-43
Net budget authority and outlays:			
89.00 Budget authority	392	401	407
90.00 Outlays	393	408	408
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	371	379	388
90.00 Outlays	370	386	389

Enforcement of wage and hour standards.—The Wage and Hour Division works to obtain and encourage compliance with the minimum wage, overtime, child labor, and other employment standards under the Fair Labor Standards Act, Migrant and Seasonal Agricultural Worker Protection Act (MSAWPA), the Family and Medical Leave Act, certain provisions of the Immigration and Nationality Act, the wage garnishment provisions in Title III of the Consumer Credit Protection Act, and the Employee Polygraph Protection Act. Prevailing wages are determined and employment standards enforced under various Government contract wage standards. In 2003, approximately 240,700 persons are expected to be aided under the Fair Labor Standards Act through securing agreements with firms to pay back wages owed to their workers. In government contract compliance actions, about 23,500 persons will be aided through securing agreements to pay wages owed to workers. Under MSAWPA, approximately 2,500 investigations and 900 housing inspections will be completed. In the course of all on-site investigations, investigators will routinely check for employer compliance with child labor standards and, in all “directed” (non-complaint) investigations, for compliance with the employment eligibility verification recordkeeping requirements of the Immigration and Nationality Act. The Budget maintains resources for the Wage and Hour Division which are assigned to areas where employment of illegal immigrants is most prevalent. The targeting of labor standards enforcement efforts in those industries and geographic areas where unauthorized workers are most prevalent will help to reduce the economic incentive for such illegal employment practices and will, in turn, help reduce illegal immigration.

Federal contractor Equal Employment Opportunity (EEO) standards enforcement.—The Office of Federal Contract Compliance Programs (OFCCP) enforces equal employment opportunity and nondiscrimination requirements of Federal con-

tractors and subcontractors. In particular, OFCCP enforces: Executive Order 11246, which prohibits employment discrimination on the basis of race, sex, religion, color, and national origin; Section 503 of the Rehabilitation Act of 1973 and the Americans with Disabilities Act of 1990 (through a memorandum of understanding with the Equal Employment Opportunity Commission), which prohibit employment discrimination against individuals with disabilities; and the Vietnam Era Veterans Readjustment Assistance Act of 1974, as amended, which prohibits employment discrimination against certain protected veterans. OFCCP programs cover close to 200,000 work-sites with a total workforce of 26 million persons.

OFCCP enforcement activity begins with encouragement of voluntary compliance through assistance to covered contractors. OFCCP has implemented a compliance assistance program available to all Federal contractors and subcontractors. For example, as part of its compliance assistance program, OFCCP provides technical assistance in understanding regulatory requirements to contractors through Industrial Liaison Groups. OFCCP also ensures that Federal contractors and subcontractors are provided linkages to recruitment sources for hiring and advancement of minorities, women, protected veterans and individuals with disabilities. OFCCP honors Federal contractors and linkage organizations through the Secretary of Labor Opportunity Awards and the EVE/EPIC program for their outstanding compliance initiatives.

OFCCP monitors contractors’ compliance through various levels of reviews and reporting requirements. In 2003, approximately 2,000,000 individuals will be directly aided through 6,430 compliance reviews, 279 complaint investigations, and 2,934 other compliance actions.

Federal programs for workers’ compensation.—Under this activity, the Employment Standards Administration administers the Federal Employees’ Compensation Act, the Longshore and Harbor Workers’ Compensation Act, the Energy Employees Occupational Illness Compensation Program Act, and the benefit provisions of the Federal Mine Safety and Health Act of 1977. These programs ensure that eligible disabled and injured workers or their survivors receive compensation and medical benefits and a range of services including rehabilitation, supervision of medical care, and technical and advisory counseling to which they are entitled. Monitoring services are provided with respect to State workers’ compensation laws.

The Administration has included in the 2003 Budget an amendment to the Federal Employees’ Compensation Act (FECA) that would allow the Department of Labor to add an administrative surcharge to the amount billed to Federal agencies for their FECA compensation costs, thereby shifting FECA administrative costs. This proposal is discussed in further detail in the Special Benefits account narrative.

Program direction and support.—This activity directs the various units that support the Employment Standards Administration’s operating programs and helps ensure effective management of these programs through planning, personnel management, financial management, and Federal/State liaison programs. Major goals in 2003 will include continued efforts to eliminate internal fraud, waste, and mismanagement; improvement of management information, automated data processing, and program and fiscal accountability; and legislative and regulatory improvements.

Labor-management standards.—The Office of Labor-Management Standards (OLMS) receives and discloses statutorily required union financial reports; audits union financial records and investigates possible embezzlements of union funds; conducts union officer election investigations; supervises reruns of union officer elections after court determinations that elections were not conducted in accordance with the Labor-Management Reporting and Disclosure Act; and

administers the statutory program to certify employee protection provisions under various federally-sponsored transportation programs. In 2003, OLMS expects to process 36,000 reports and conduct a total of 4,170 investigations, audits, and supervised elections.

Object Classification (in millions of dollars)

Identification code 16-0105-0-1-505	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	211	229	184
11.3 Other than full-time permanent	2		
11.5 Other personnel compensation	4	2	2
11.9 Total personnel compensation	217	231	186
12.1 Civilian personnel benefits	73	78	65
21.0 Travel and transportation of persons	9	8	7
22.0 Transportation of things	1		
23.1 Rental payments to GSA	26	27	22
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	7	7	6
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	2		
25.2 Other services	3	7	5
25.3 Other purchases of goods and services from Government accounts	33	30	25
25.7 Operation and maintenance of equipment	31	31	25
26.0 Supplies and materials	2	2	2
31.0 Equipment	11	3	3
99.0 Direct obligations	417	426	348
99.0 Reimbursable obligations	5	5	93
Allocation Account:			
Personnel compensation:			
11.1 Full-time permanent	1	4	2
11.3 Other than full-time permanent		2	1
11.9 Total personnel compensation	1	6	3
12.1 Civilian personnel benefits		2	1
25.1 Advisory and assistance services	1		
25.2 Other services	1		2
25.3 Other purchases of goods and services from Government accounts		1	1
25.7 Operation and maintenance of equipment	1	4	2
31.0 Equipment		3	
41.0 Grants, subsidies, and contributions		1	
99.0 Allocation account	4	17	9
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	427	449	451

Personnel Summary

Identification code 16-0105-0-1-505	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	3,744	3,791	2,913
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	17	17	856

SPECIAL BENEFITS

(INCLUDING TRANSFER OF FUNDS)

For the payment of compensation, benefits, and expenses (except administrative expenses) accruing during the current or any prior fiscal year authorized by title 5, chapter 81 of the United States Code; continuation of benefits as provided for under the heading "Civilian War Benefits" in the Federal Security Agency Appropriation Act, 1947; the Employees' Compensation Commission Appropriation Act, 1944; sections 4(c) and 5(f) of the War Claims Act of 1948 (50 U.S.C. App. 2012); and 50 percent of the additional compensation and benefits required by section 10(h) of the Longshore and Harbor Workers' Compensation Act, as amended, **[\$121,000,000]** \$163,671,000, together with such amounts as may be necessary to be charged to the subsequent year appropriation for the payment of compensation and other benefits for any period subsequent to

August 15 of the current year: *Provided*, That amounts appropriated may be used under section 8104 of title 5, United States Code, by the Secretary of Labor to reimburse an employer, who is not the employer at the time of injury, for portions of the salary of a reemployed, disabled beneficiary: *Provided further*, That balances of reimbursements unobligated on September 30, **[2001]** 2002, shall remain available until expended for the payment of compensation, benefits, and expenses: *Provided further*, That in addition there shall be transferred to this appropriation from the Postal Service and from any other corporation or instrumentality required under section 8147(c) of title 5, United States Code, to pay an amount for its fair share of the cost of administration, such sums as the Secretary determines to be the cost of administration for employees of such fair share entities through September 30, **[2002]** 2003: *Provided further*, That of those funds transferred to this account from the fair share entities to pay the cost of administration of the Federal Employees' Compensation Act, **[\$36,696,000]** \$36,986,000 shall be made available to the Secretary as follows: (1) for the operation of and enhancement to the automated data processing systems, including document imaging and conversion to a paperless office, **[\$24,522,000]** \$24,928,000; (2) for medical bill review and periodic roll management, **[\$11,474,000]** \$11,356,000; (3) for communications redesign, **[\$700,000]** \$702,000; and (4) the remaining funds shall be paid into the Treasury as miscellaneous receipts: *Provided further*, That the Secretary may require that any person filing a notice of injury or a claim for benefits under chapter 81 of title 5, United States Code, or 33 U.S.C. 901 et seq., provide as part of such notice and claim, such identifying information (including Social Security account number) as such regulations may prescribe. (*Department of Labor Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 16-1521-0-1-600	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Longshore and harbor workers' compensation benefits	3	3	3
00.02 Federal Employees' Compensation Act benefits	2,230	2,224	2,377
10.00 Total new obligations	2,233	2,227	2,380
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1,142	991	992
22.00 New budget authority (gross)	2,082	2,228	2,335
23.90 Total budgetary resources available for obligation	3,224	3,219	3,327
23.95 Total new obligations	-2,233	-2,227	-2,380
24.40 Unobligated balance carried forward, end of year	991	992	947
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	56	122	164
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)			86
68.61 Transferred to other accounts			-86
68.90 Spending authority from offsetting collections (total discretionary)			
Mandatory:			
69.00 Offsetting collections (cash)	2,026	2,106	2,171
70.00 Total new budget authority (gross)	2,082	2,228	2,335
Change in obligated balances:			
72.40 Obligated balance, start of year	39	122	98
73.10 Total new obligations	2,233	2,227	2,380
73.20 Total outlays (gross)	-2,149	-2,251	-2,380
74.40 Obligated balance, end of year	122	98	98
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	1,008	1,260	1,388
86.98 Outlays from mandatory balances	1,142	991	992
87.00 Total outlays (gross)	2,149	2,251	2,380
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 Federal sources	-1,332	-1,402	-1,443
88.00 Federal sources			-86
88.40 Non-Federal sources	-694	-704	-728

General and special funds—Continued

SPECIAL BENEFITS—Continued

(INCLUDING TRANSFER OF FUNDS)—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 16-1521-0-1-600	2001 actual	2002 est.	2003 est.
88.90 Total, offsetting collections (cash)	-2,026	-2,106	-2,257

Net budget authority and outlays:

89.00 Budget authority	56	122	78
90.00 Outlays	123	145	123

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	56	121	77
90.00 Outlays	123	144	122

Summary of Budget Authority and Outlays

(in millions of dollars)

Enacted/requested:	2001 actual	2002 est.	2003 est.
Budget Authority	56	122	78
Outlays	124	145	123
Legislative proposal, subject to PAYGO:			
Budget Authority			
Outlays			-3
Total:			
Budget Authority	56	122	78
Outlays	124	145	120

Federal Employees' Compensation Act benefits.—The Federal Employees' Compensation Act program provides monetary and medical benefits to Federal workers who sustain work-related injury or disease. Not all benefits are paid by the program, since the first 45 days of disability are usually covered by keeping injured workers in pay status with their employing agencies (the continuation-of-pay period). In 2003, 166,000 injured federal workers or their survivors will file claims; 54,000 will receive long-term wage replacement benefits for job-related injuries, diseases, or deaths. Most of the costs of this account are charged back to the beneficiaries' employing agencies.

The Administration has included in the 2003 Budget an amendment to the Federal Employees' Compensation Act that would allow the Department of Labor to add an administrative surcharge to the amount billed to Federal agencies for their FECA compensation costs, thereby shifting FECA administrative costs from the Department to federal agencies in proportion to their program usage. Each affected agency has been notified of, and provided funding for, the estimated 2003 surcharge in its proposed funding levels. In subsequent years, agencies dependent upon an annual appropriation would include in their annual budget estimates the total amount of the Chargeback bill, which would include the surcharge amounts. The Chargeback bills are sent by ESA to Federal agencies in mid-August of each year covering each agency's workers' compensation costs from July 1 of the previous year through June 30 of the current year. The legislation would produce estimated benefit savings of approximately \$150 million over ten years.

FEDERAL EMPLOYEES' COMPENSATION WORKLOAD

	2001 actual	2002 est.	2003 est.
Wage-loss claims received	23,386	22,000	21,000
Compensation and medical payments	4,060,572	4,100,000	4,100,000
Cases received	165,915	166,000	166,000
Periodic payment cases	56,133	55,000	54,000

Longshore and harbor workers' compensation benefits.—Under the Longshore and Harbor Workers' Compensation Act, as amended, the Federal Government pays from direct appropriations one-half of the increased benefits provided by the amendments for persons on the rolls prior to 1972. The remainder is provided from the special fund which is financed by private employers assessed at the beginning of each calendar year for their proportionate share of these payments.

Object Classification (in millions of dollars)

Identification code 16-1521-0-1-600	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	6	7	7
12.1 Civilian personnel benefits	2	3	3
23.3 Communications, utilities, and miscellaneous charges		1	1
25.2 Other services	5	2	2
25.3 Other purchases of goods and services from Government accounts	1	1	1
25.7 Operation and maintenance of equipment	10	14	14
31.0 Equipment	6	9	9
42.0 Insurance claims and indemnities	2,203	2,189	2,342
99.0 Direct obligations	2,233	2,226	2,379
99.5 Below reporting threshold		1	1
99.9 Total new obligations	2,233	2,227	2,380

Personnel Summary

Identification code 16-1521-0-1-600	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	125	133	133

SPECIAL BENEFITS

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 16-1521-4-1-600	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Federal Employees' Compensation Act benefits			-3
10.00 Total new obligations (object class 42.0)			-3
Budgetary resources available for obligation:			
23.95 Total new obligations			3
24.40 Unobligated balance carried forward, end of year			3
Change in obligated balances:			
73.10 Total new obligations			-3
73.20 Total outlays (gross)			3
Outlays (gross), detail:			
86.98 Outlays from mandatory balances			-3
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			-3

The Administration will propose legislation to strengthen program integrity and discourage frivolous claims by: (1) moving the waiting period for FECA benefits before continuation-of-pay, and (2) converting new FECA beneficiaries at age 65 to a benefit comparable to what they would receive in a Federal retirement program.

ENERGY EMPLOYEES OCCUPATIONAL ILLNESS COMPENSATION FUND

Program and Financing (in millions of dollars)

Identification code 16-1523-0-1-053	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Benefits for energy employees	1	661	656

00.02	RECA supplemental benefits	1	108	102
10.00	Total new obligations (object class 42.0)	2	769	758
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year		358	
22.00	New budget authority (gross)	360	769	758
23.90	Total budgetary resources available for obligation	360	1,127	758
23.95	Total new obligations	-2	-769	-758
23.98	Unobligated balance expiring or withdrawn		-358	
24.40	Unobligated balance carried forward, end of year	358		
New budget authority (gross), detail:				
Mandatory:				
60.00	Appropriation	358	769	758
69.00	Offsetting collections (cash)	2		
70.00	Total new budget authority (gross)	360	769	758
Change in obligated balances:				
73.10	Total new obligations	2	769	758
73.20	Total outlays (gross)	-2	-769	-758
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	2	769	758
Offsets:				
Against gross budget authority and outlays:				
88.20	Offsetting collections (cash) from: Interest on Federal securities	-2		
Net budget authority and outlays:				
89.00	Budget authority	358	769	758
90.00	Outlays	1	769	758
Memorandum (non-add) entries:				
92.02	Total investments, end of year: Federal securities: Par value	302		

Energy Employees' Compensation Act benefits.—The Department of Labor is delegated responsibility to adjudicate and administer claims for benefits under the Energy Employees Occupational Illness Compensation Program Act of 2000. In July 2001, the Program began accepting claims from employees or survivors of employees of the Department of Energy (DOE) and of private companies under contract with DOE who suffer from a radiation-related cancer, beryllium-related disease, or chronic silicosis as a result of their work in producing or testing nuclear weapons. The Act authorizes a lump-sum payment of \$150,000 and reimbursement of medical expenses.

ADMINISTRATIVE EXPENSES, ENERGY EMPLOYEES OCCUPATIONAL ILLNESS COMPENSATION FUND
(INCLUDING TRANSFER OF FUNDS)

For necessary expenses to administer the Energy Employees Occupational Illness Compensation Act, **[\$136,000,000]** \$106,764,000, to remain available until expended: *Provided*, That the Secretary of Labor is authorized to transfer to any Executive agency with authority under the Energy Employees Occupational Illness Compensation Act, including within the Department of Labor, such sums as may be necessary in fiscal year **[2002]** 2003 to carry out those authorities: *Provided further*, That the Secretary may require that any person filing a claim for benefits under the Act provide as part of such claim, such identifying information (including Social Security account number) as may be prescribed. (*Department of Labor Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 16-1524-0-1-053	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
10.00	Total new obligations	16	138	121
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year		34	34

22.00	New budget authority (gross)	50	138	107
23.90	Total budgetary resources available for obligation	50	172	141
23.95	Total new obligations	-16	-138	-121
24.40	Unobligated balance carried forward, end of year	34	34	20
New budget authority (gross), detail:				
Mandatory:				
60.00	Appropriation	60	138	107
61.00	Transferred to other accounts	-10		
62.50	Appropriation (total mandatory)	50	138	107
Change in obligated balances:				
72.40	Obligated balance, start of year		10	26
73.10	Total new obligations	16	138	121
73.20	Total outlays (gross)	-6	-122	-130
74.40	Obligated balance, end of year	10	26	17
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	6	97	86
86.98	Outlays from mandatory balances		25	44
87.00	Total outlays (gross)	6	122	130
Net budget authority and outlays:				
89.00	Budget authority	50	138	107
90.00	Outlays	6	122	130

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	50	136	105
90.00	Outlays	6	120	128

Energy Employees Occupational Illness Compensation Program Act of 2000 (EEOICPA) administration.—Under Executive Order 13179 the Secretary of Labor is assigned primary responsibility for administering the Energy Employees Compensation program, while other responsibilities have been delegated to the Departments of Health and Human Services (HHS), Energy (DOE), and Justice (DOJ). The Office of Workers' Compensation Programs (OWCP) in the Department of Labor is responsible for claims adjudication, and award and payment of compensation and medical benefits. The Office of the Solicitor provides legal support and represents the Department in claimant appeals of OWCP decisions. HHS is responsible for developing individual dose reconstructions to estimate occupational radiation exposure, and developing regulations to guide DOL's determination of whether an individual's cancer was caused by radiation exposure at a DOE or atomic weapons facility. DOE is responsible for providing exposure histories at employment facilities covered under the Act, as well as other employment information. DOJ assists claimants who have been awarded compensation under the Radiation Exposure Compensation Act (RECA) to file for additional compensation, including medical benefits, under EEOICPA.

Object Classification (in millions of dollars)

Identification code 16-1524-0-1-053	2001 actual	2002 est.	2003 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	1	21	21
12.1	Civilian personnel benefits	1	8	8
21.0	Travel and transportation of persons		1	1
23.1	Rental payments to GSA		3	3
23.3	Communications, utilities, and miscellaneous charges		1	1
25.2	Other services		4	4
25.3	Other purchases of goods and services from Government accounts	2	85	68
25.7	Operation and maintenance of equipment	7	7	7
26.0	Supplies and materials		1	1
31.0	Equipment	4	6	6

General and special funds—Continued**ADMINISTRATIVE EXPENSES, ENERGY EMPLOYEES OCCUPATIONAL
ILLNESS COMPENSATION FUND—Continued**

(INCLUDING TRANSFER OF FUNDS)—Continued

Object Classification (in millions of dollars)—Continued

Identification code 16-1524-0-1-053	2001 actual	2002 est.	2003 est.
99.0 Direct obligations	15	137	120
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	16	138	121

Personnel Summary

Identification code 16-1524-0-1-053	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	20	350	413

SPECIAL BENEFITS FOR DISABLED COAL MINERS

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 16-0169-2-1-601	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations			416
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			408
22.22 Unobligated balance transferred from other accounts			8
23.90 Total budgetary resources available for obligation			416
23.95 Total new obligations			-416
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation			300
65.00 Advance appropriation			108
70.00 Total new budget authority (gross)			408
Change in obligated balances:			
73.10 Total new obligations			416
73.20 Total outlays (gross)			-420
73.32 Obligated balance transferred from other accounts			39
74.40 Obligated balance, end of year			35
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority			385
86.98 Outlays from mandatory balances			35
87.00 Total outlays (gross)			420
Net budget authority and outlays:			
89.00 Budget authority			408
90.00 Outlays			420

The Administration will propose legislation to complete the transfer of Part B Black Lung Claims processing operations from the Social Security Administration (SSA) to the Department of Labor's (DOL) Employment Standards Administration (ESA), Office of Workers' Compensation Program (OWCP). Since 1997, OWCP has provided maintenance services to beneficiaries of the Part B Program on behalf of SSA pursuant to an Inter-Agency Agreement. However, joint SSA and DOL Office of Inspector General (OIG) audits have recommended that the program be permanently transferred from SSA to DOL. As the OIG noted in its report, transferring this responsibility would result in better use of Government resources. This proposal would consolidate the entire Black Lung program within a single agency, which would result in more efficient program operations and better service delivery for program customers. There are no budgetary implications aris-

ing from this proposal because funding previously appropriated to SSA would be made available to DOL. The Department believes savings will accrue if the Department is given complete responsibility for the Part B program, as many duplicative functions would be eliminated.

Object Classification (in millions of dollars)

Identification code 16-0169-2-1-601	2001 actual	2002 est.	2003 est.
11.5 Personnel compensation: Other personnel compensation			2
25.3 Other purchases of goods and services from Government accounts			4
42.0 Insurance claims and indemnities			410
99.9 Total new obligations			416

PANAMA CANAL COMMISSION COMPENSATION FUND**Unavailable Collections** (in millions of dollars)

Identification code 16-5155-0-2-602	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.41 Interest on investments, Panama Canal Comm., Labor	6	7	7
Appropriations:			
05.00 Panama Canal Commission compensation fund	-6	-7	-7
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 16-5155-0-2-602	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 42.0)	6	7	7
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	78	77	78
22.00 New budget authority (gross)	5	7	7
23.90 Total budgetary resources available for obligation	83	84	85
23.95 Total new obligations	-6	-7	-7
24.40 Unobligated balance carried forward, end of year	77	78	77
New budget authority (gross), detail:			
Mandatory:			
60.20 Appropriation (special fund)	6	7	7
Change in obligated balances:			
73.10 Total new obligations	6	7	7
73.20 Total outlays (gross)	-6	-7	-7
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	6	7	7
Net budget authority and outlays:			
89.00 Budget authority	5	7	7
90.00 Outlays	6	7	7
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value	78	77	78
92.02 Total investments, end of year: Federal securities:			
Par value	77	78	77

This fund was established to provide for the accumulation of funds to meet the Panama Canal Commission's obligations to defray costs of workers' compensation which will accrue pursuant to the Federal Employees' Compensation Act (FECA). On December 31, 1999, the Commission was dissolved as set forth in the Panama Canal Treaty of 1977, and the liability of the Commission for payments beyond that date did not end with its termination. The establishment of this fund, into which funds were deposited on a regular basis by the Commission, was in conjunction with the transfer of

the administration of the FECA program from the Commission to the Department of Labor effective January 1, 1989.

Trust Funds

BLACK LUNG DISABILITY TRUST FUND
(INCLUDING TRANSFER OF FUNDS)

[For payments from the Black Lung Disability Trust Fund, \$1,036,115,000, of which \$981,283,000 shall be available until September 30, 2003, for payment of all benefits as authorized by section 9501(d)(1), (2), (4), and (7) of the Internal Revenue Code of 1954, as amended, and interest on advances as authorized by section 9501(c)(2) of that Act, and of which \$31,558,000 shall be available for transfer to Employment Standards Administration, Salaries and Expenses, \$22,590,000 for transfer to Departmental Management, Salaries and Expenses, \$328,000 for transfer to Departmental Management, Office of Inspector General, and \$356,000 for payment into miscellaneous receipts for the expenses of the Department of Treasury, for expenses of operation and administration of the Black Lung Benefits program as authorized by section 9501(d)(5) of that Act: *Provided*, That, in addition, such amounts as may be necessary may be charged to the subsequent year appropriation for the payment of compensation, interest, or other benefits for any period subsequent to August 15 of the current year.] *Beginning in fiscal year 2003 and thereafter, such sums as may be necessary from the Black Lung Disability Trust Fund, to remain available until expended, for payment of all benefits authorized by section 9501(d)(1), (2), (4), and (7) of the Internal Revenue Code of 1954, as amended; and interest on advances, as authorized by section 9501(c)(2) of that Act. In addition, the following amounts shall be available from the Fund for fiscal year 2003 for expenses of operation and administration of the Black Lung Benefits program, as authorized by section 9501(d)(5): \$34,151,000 for transfer to the Employment Standards Administration, "Salaries and Expenses"; \$24,033,000 for transfer to Departmental Management, "Salaries and Expenses"; \$345,000 for transfer to Departmental Management, "Office of Inspector General"; and \$356,000 for payments into miscellaneous receipts for the expenses of the Department of Treasury. (Department of Labor Appropriations Act, 2002; additional authorizing language required.)*

Unavailable Collections (in millions of dollars)

Identification code 20-8144-0-7-601	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	23	38	
Receipts:			
02.00 Transfer from general fund, Black Lung Benefits Revenue Act taxes	522	554	573
02.20 Miscellaneous interest	1	2	2
02.40 Payment from the general fund for prepayment premium, legislative proposal			2,066
02.99 Total receipts and collections	523	556	2,641
04.00 Total: Balances and collections	546	594	2,641
Appropriations:			
Appropriations:			
05.00 Administrative Expenses	-508	-594	-575
05.00 Legislative proposal not subject to PAYGO			-2,066
05.99 Total appropriations	-508	-594	-2,641
07.99 Balance, end of year	38		

Program and Financing (in millions of dollars)

Identification code 20-8144-0-7-601	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Disabled coal miners benefits	393	388	360
00.02 Administrative expenses	55	58	59
00.03 Interest on advances	568	593	619
10.00 Total new obligations	1,016	1,039	1,038

Budgetary resources available for obligation:

22.00 New budget authority (gross)	1,016	1,039	1,038
23.95 Total new obligations	-1,016	-1,039	-1,038

New budget authority (gross), detail:

Mandatory:				
Appropriation (trust fund):				
60.26	Appropriation (trust fund, definite)	55	58	59
60.26	Appropriation (trust fund, indefinite)	456	539	516
62.50	Appropriation (total mandatory)	511	597	575
67.10	Authority to borrow	505	442	463
70.00	Total new budget authority (gross)	1,016	1,039	1,038

Change in obligated balances:

73.10	Total new obligations	1,016	1,039	1,038
73.20	Total outlays (gross)	-1,016	-1,039	-1,038

Outlays (gross), detail:

86.97	Outlays from new mandatory authority	1,016	1,039	1,038
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Net budget authority and outlays:

89.00	Budget authority	1,016	1,039	1,038
90.00	Outlays	1,016	1,039	1,038

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	1,013	1,036	1,035
90.00	Outlays	1,013	1,036	1,035

Summary of Budget Authority and Outlays

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Enacted/requested:			
Budget Authority	1,016	1,039	1,038
Outlays	1,016	1,039	1,038
Legislative proposal, not subject to PAYGO:			
Budget Authority			1,606
Outlays			1,606
Total:			
Budget Authority	1,016	1,039	2,644
Outlays	1,016	1,039	2,644

The trust fund consists of all moneys collected from the coal mine industry under the provisions of the Black Lung Benefits Revenue Act of 1981, as amended by the Consolidated Omnibus Budget Reconciliation Act of 1985, in the form of an excise tax on mined coal. These moneys are expended to pay compensation, medical, and survivor benefits to eligible miners and their survivors, where mine employment terminated prior to 1970 or where no mine operator can be assigned liability. In addition, the fund pays all administrative costs incurred in the operation of part C of the Black Lung program. The fund is administered jointly by the Secretaries of Labor, the Treasury, and Health and Human Services. The Benefits Revenue Act provides for repayable advances to the fund in the event fund resources will not be adequate to meet program obligations. Such advances are to be repaid with interest. The outstanding debt at the end of each year was: 1981, \$1,510 million; 1982, \$1,793 million; 1983, \$2,151 million; 1984, \$2,497 million; 1985, \$2,833 million; 1986, \$2,884 million; 1987, \$2,952 million; 1988, \$2,993 million; 1989, \$3,049 million; 1990, \$3,049 million; 1991, \$3,266 million; 1992, \$3,606 million; 1993, \$3,949 million; 1994, \$4,363 million; 1995, \$4,738 million; 1996, \$5,112 million; 1997, \$5,487 million; 1998, \$5,857 million; 1999, \$6,259 million; and 2000, \$6,749 million; and 2001, \$7,254 million. It is estimated to be \$7,696 million in 2002 and \$8,159 million in 2003 if the refinancing proposal is not enacted.

BLACK LUNG DISABILITY TRUST FUND WORKLOAD

	2001 actual	2002 est.	2003 est.
Claims received	8,988	8,100	6,500
Claims in payment status	48,967	46,500	43,250
Medical benefits only recipients	8,173	6,750	5,750

BLACK LUNG DISABILITY TRUST FUND—Continued
(INCLUDING TRANSFER OF FUNDS)—Continued

Status of Funds (in millions of dollars)

Identification code 20-8144-0-7-601	2001 actual	2002 est.	2003 est.
Unexpended balance, start of year:			
0100 Treasury balance	22	38
0105 Outstanding debt to Treasury	-6,749	-7,254	-7,696
0199 Total balance, start of year	-6,726	-7,216	-7,696
Cash income during the year:			
Current law:			
Receipts:			
1200 Transfer from general fund, Black Lung Benefits			
Revenue Act taxes	522	554	573
Offsetting receipts (proprietary):			
1220 Miscellaneous interest, Black Lung fund	1	2	2
1299 Income under present law	523	556	575
Proposed legislation:			
Offsetting receipts (intragovernmental):			
2240 Payment from the general fund for prepayment			
premium, legislative proposal not subject to			
PAYGO			2,066
3299 Total cash income	523	556	2,641
Cash outgo during year:			
Current law:			
4500 Black lung disability trust fund	-1,013	-1,036	-1,038
Proposed legislation:			
5500 Legislative proposal, not subject to PAYGO			-1,606
6599 Total cash outgo (-)	-1,013	-1,036	-2,644
Unexpended balance, end of year:			
8700 Uninvested balance	38		
8705 Outstanding debt to Treasury	-7,254	-7,696	-7,699
8799 Total balance, end of year	-7,216	-7,696	-7,699

Object Classification (in millions of dollars)

Identification code 20-8144-0-7-601	2001 actual	2002 est.	2003 est.
25.3 Other purchases of goods and services from Govern-			
ment accounts	55	58	59
42.0 Insurance claims and indemnities	393	388	360
43.0 Interest and dividends	568	593	619
99.9 Total new obligations	1,016	1,039	1,038

BLACK LUNG DISABILITY TRUST FUND
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 20-8144-2-7-601	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.03 Interest on advances			-619
00.04 Repayment of debt principal			159
00.05 One-time prepayment premium			2,066
10.00 Total new obligations (object class 43.0)			1,606
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			1,606
23.95 Total new obligations			-1,606
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)			2,066
67.10 Authority to borrow			-460
70.00 Total new budget authority (gross)			1,606
Change in obligated balances:			
73.10 Total new obligations			1,606
73.20 Total outlays (gross)			-1,606
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority			1,606

Net budget authority and outlays:

89.00 Budget authority	1,606
90.00 Outlays	1,606

The Black Lung Disability Trust Fund (BLDTF) revenues, which consist primarily of excise taxes on coal, are not sufficient to repay the \$8 billion debt it owes to the Treasury or to service the interest on that debt. Under current conditions, this indebtedness will continue to grow, with the BLDTF never becoming solvent, even when benefit outlays have declined to a level approaching zero. To solve this problem, the Administration will propose legislation that will: (1) authorize a refinancing (debt restructuring) of the outstanding BLDTF debt, (2) extend at current rates BLDTF excise tax levels (set to expire in January 2014) until solvency is attained, and (3) provide for a one-time appropriation to compensate the General Fund for the lost interest income.

SPECIAL WORKERS' COMPENSATION EXPENSES

Unavailable Collections (in millions of dollars)

Identification code 16-9971-0-7-601	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year		2
Receipts:			
02.00 Longshoremen's & Harbor Workers Compensation Act,			
Receipts, Special worker	132	135	137
02.01 Workmen's Compensation Act within District of Co-			
lumbia, Receipts, Special	12	11	11
02.40 Longshoremen's & Harbor Workers Compensation Act,			
Earnings on investments,	3	3	3
02.99 Total receipts and collections	147	149	151
04.00 Total: Balances and collections	147	151	151
Appropriations:			
05.00 Special workers' compensation expenses	-145	-151	-151
05.99 Total appropriations	-145	-151	-151
07.99 Balance, end of year	2

Program and Financing (in millions of dollars)

Identification code 16-9971-0-7-601	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Longshore and Harbor Workers' Compensation Act,			
as amended	133	136	136
00.02 District of Columbia Compensation Act	11	11	11
10.00 Total new obligations	144	147	147
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	61	63	66
22.00 New budget authority (gross)	145	151	151
23.90 Total budgetary resources available for obligation	206	214	217
23.95 Total new obligations	-144	-147	-147
24.40 Unobligated balance carried forward, end of year	63	66	70
New budget authority (gross), detail:			
Discretionary:			
40.26 Appropriation (trust fund)	2	2	2
Mandatory:			
60.26 Appropriation (trust fund)	143	149	149
70.00 Total new budget authority (gross)	145	151	151
Change in obligated balances:			
72.40 Obligated balance, start of year	1	2	1
73.10 Total new obligations	144	147	147
73.20 Total outlays (gross)	-144	-147	-147
74.40 Obligated balance, end of year	2	1	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2	2	2
86.97 Outlays from new mandatory authority	81	83	76
86.98 Outlays from mandatory balances	61	63	69

87.00	Total outlays (gross)	144	147	147
Net budget authority and outlays:				
89.00	Budget authority	145	151	151
90.00	Outlays	145	147	147
Memorandum (non-add) entries:				
92.01	Total investments, start of year: Federal securities: Par value	64	65	67
92.02	Total investments, end of year: Federal securities: Par value	65	67	71
Distribution of budget authority by account:				
Longshore and Harbor Workers' Compensation Act				
District of Columbia Compensation Act				
Distribution of outlays by account:				
Longshore and Harbor Workers' Compensation Act				
District of Columbia Compensation Act				

The trust funds consist of amounts received from employers for the death of an employee where no person is entitled to compensation for such death, for fines and penalty payments, and pursuant to an annual assessment of the industry, for the general expenses of the fund under the Longshore and Harbor Workers' Compensation Act, as amended.

These trust funds are available for payments of additional compensation for second injuries. When a second injury is combined with a previous disability and results in increased permanent partial disability, permanent total disability, or death, the employer's liability for benefits is limited to a specified period of compensation payments after which the fund provides continuing compensation benefits. In addition, the fund pays one-half of the increased benefits provided under the Longshore and Harbor Workers' Compensation Act, as amended, for persons on the rolls prior to 1972. Maintenance payments are made to disabled employees undergoing vocational rehabilitation to enable them to return to remunerative occupations, and the costs of necessary rehabilitation services not otherwise available to disabled workers are defrayed. Payments are made in cases where other circumstances preclude payment by an employer and to provide medical, surgical, and other treatment in disability cases where there has been a default by the insolvency of an uninsured employer.

Object Classification (in millions of dollars)

Identification code 16-9971-0-7-601	2001 actual	2002 est.	2003 est.
25.3 Other purchases of goods and services from Government accounts	2	2	2
42.0 Insurance claims and indemnities	142	145	145
99.9 Total new obligations	144	147	147

**OCCUPATIONAL SAFETY AND HEALTH
ADMINISTRATION**

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses for the Occupational Safety and Health Administration, **[\$443,651,000] \$448,705,000**, including not to exceed \$89,747,000 which shall be the maximum amount available for grants to States under section 23(g) of the Occupational Safety and Health Act (the "Act"), which grants shall be no less than 50 percent of the costs of State occupational safety and health programs required to be incurred under plans approved by the Secretary under section 18 of the [Occupational Safety and Health] Act [of 1970]; and, in addition, notwithstanding 31 U.S.C. 3302, the Occupational Safety and Health Administration may retain up to \$750,000 per fiscal year of training institute course tuition fees, otherwise authorized by law to be collected, and may utilize such sums for occupational safety

and health training and education grants: *Provided*, That, notwithstanding 31 U.S.C. 3302, the Secretary of Labor is authorized, during the fiscal year ending September 30, **[2002] 2003**, to collect and retain fees for services provided to Nationally Recognized Testing Laboratories, and may utilize such sums, in accordance with the provisions of 29 U.S.C. 9a, to administer national and international laboratory recognition programs that ensure the safety of equipment and products used by workers in the workplace: *Provided further*, That none of the funds appropriated under this paragraph shall be obligated or expended to prescribe, issue, administer, or enforce any standard, rule, regulation, or order under the [Occupational Safety and Health] Act [of 1970] which is applicable to any person who is engaged in a farming operation which does not maintain a temporary labor camp and employs 10 or fewer employees: *Provided further*, That no funds appropriated under this paragraph shall be obligated or expended to administer or enforce any standard, rule, regulation, or order under the [Occupational Safety and Health] Act [of 1970] with respect to any employer of 10 or fewer employees who is included within a category having an occupational injury lost workday case rate, at the most precise Standard Industrial Classification Code for which such data are published, less than the national average rate as such rates are most recently published by the Secretary, acting through the Bureau of Labor Statistics, in accordance with section 24 of that Act (29 U.S.C. 673), except—

(1) to provide, as authorized by such Act, consultation, technical assistance, educational and training services, and to conduct surveys and studies;

(2) to conduct an inspection or investigation in response to an employee complaint, to issue a citation for violations found during such inspection, and to assess a penalty for violations which are not corrected within a reasonable abatement period and for any willful violations found;

(3) to take any action authorized by such Act with respect to imminent dangers;

(4) to take any action authorized by such Act with respect to health hazards;

(5) to take any action authorized by such Act with respect to a report of an employment accident which is fatal to one or more employees or which results in hospitalization of two or more employees, and to take any action pursuant to such investigation authorized by such Act; and

(6) to take any action authorized by such Act with respect to complaints of discrimination against employees for exercising rights under such Act:

Provided further, That the foregoing proviso shall not apply to any person who is engaged in a farming operation which does not maintain a temporary labor camp and employs 10 or fewer employees. (Department of Labor Appropriations Act, 2002; additional authorizing legislation required.)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Salaries and Expenses", \$1,000,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (Emergency Supplemental Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 16-0400-0-1-554	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Safety and health standards	15	17	15
00.02 Federal enforcement	161	172	169
00.03 State programs	88	90	90
00.04 Technical support	22	20	21
00.05 Federal compliance assistance	58	62	62
00.06 State consultation grants	49	51	53
00.07 Training grants	11	11	4
00.08 Safety and health statistics	26	26	26
00.09 Executive direction and administration	8	10	9
09.01 Reimbursable program	2	2	2
10.00 Total new obligations	440	461	451
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		1	
22.00 New budget authority (gross)	441	460	451
23.90 Total budgetary resources available for obligation	441	461	451
23.95 Total new obligations	-440	-461	-451
24.40 Unobligated balance carried forward, end of year	1		

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 16-0400-0-1-554	2001 actual	2002 est.	2003 est.
New budget authority (gross), detail:			
Discretionary:			
40.00	439	458	449
40.15		1	
40.71		-1	
40.75	-1		
42.00	1		
43.00	439	458	449
68.00	2	2	2
70.00	441	460	451
Change in obligated balances:			
72.40	77	98	101
73.10	440	461	451
73.20	-413	-456	-452
73.40	-6		
74.40	98	101	100
Outlays (gross), detail:			
86.90	356	389	381
86.93	57	68	71
87.00	413	456	452
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00	-1	-1	-1
88.40	-1	-1	-1
88.90	-2	-2	-2
Net budget authority and outlays:			
89.00	439	458	449
90.00	411	454	450
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00	426	444	437
90.00	398	440	438

Safety and health standards.—The safety and health standards activity provides for the development, promulgation, review and evaluation of occupational safety and health standards under procedures providing opportunity for public comment. Before any standard is proposed or promulgated, a determination is made that: (1) a significant risk of serious injury or health impairment exists; (2) the standard will reduce this risk; (3) the standard is economically and technologically feasible; and (4) the standard is economically and technologically feasible when compared with alternative regulatory proposals providing equal levels of protection. Regulatory reform efforts include consensus-based rulemaking, development of common sense regulations, rewriting existing standards so they are understandable to those affected by them, and regulatory process improvements.

Enforcement.—This activity provides for the enforcement of workplace standards promulgated under the Occupational Safety and Health (OSH) Act of 1970 through the physical inspection of worksites, and by fostering the voluntary cooperation of employers and employees. Programs are targeted to the investigation of claims of imminent danger and employee complaints, investigation of fatal and catastrophic accidents, programmed inspections of firms with injury-illness rates that are above the national average, and special empha-

sis inspections for serious safety and health hazards. OSHA's enforcement strategy includes a selective targeting of inspections and related compliance activities to specific high hazard industries and worksites.

State programs.—This activity assists states in assuming responsibility for administering occupational safety and health programs under State plans approved by the Secretary. Under section 23 of the OSH Act, matching grants of up to fifty percent of total program costs are made to States that meet the Act's criteria for establishing and implementing State programs which are at least as effective as the Federal program. State programs, like their Federal counterpart, provide a mix of enforcement, outreach, training and compliance assistance activities.

Technical support.—This activity provides specialized technical expertise and advice in support of a wide range of program areas, including construction, standards setting, variance determinations, compliance assistance, and enforcement. Areas of expertise include laboratory accreditation, industrial hygiene, occupational health nursing, occupational medicine, and safety engineering.

Compliance assistance—Federal.—This activity supports a variety of employer and employee assistance programs, consistent with OSHA's partnership initiatives. Outreach activities are conducted, including training and information exchanges and technical assistance to employers requesting such help. Employers are encouraged to establish voluntary employee protection programs, and Federal agencies are assisted in implementing job safety and health programs for their employees. Professional training for compliance personnel and others with related workplace safety and health responsibilities is conducted at the OSHA Training Institute, and further training is provided by education centers selected and sanctioned by the Institute.

State consultation grants.—This activity supports 90 percent Federally-funded cooperative agreements with designated State agencies to provide free on-site consultation to employers upon request. State agencies tailor workplans to specific needs in each State while maximizing their impact on injury and illness rates in smaller establishments. These projects offer a variety of services, including safety and health program assessment and assistance, hazard identification and control, and training of employers and their employees.

Training grants.—This activity supports safety and health training grants to organizations to provide employee and employer training programs to address specific industry needs for safety and health education.

Safety and health statistics.—Information technology, management information and statistical support for OSHA's programs and field operations are provided through an integrated data network, and statistical analysis and review. OSHA administers and maintains the recordkeeping system which serves as the foundation for the BLS survey on occupational injuries and illnesses, provides guidance on recordkeeping requirements to both the public and private sectors, and develops regulations along with interpretive publications and materials.

Executive direction and administration.—This activity supports executive direction, planning and evaluation, management support, legislative liaison, interagency affairs, administrative services, and budgeting and financial control.

PROGRAM STATISTICS

	2001 actual	2002 est.	2003 est.
Standards promulgated	4	2	4
Inspections:			
Federal inspections	35,778	36,400	37,700
State program inspections	55,116	56,000	55,400
Training and consultations:			
Consultation visits	27,481	32,000	32,500
Voluntary protection program participants	583	680	760

Strategic partnerships	48	55	58
Web site hits (millions)	325	410	517

Object Classification (in millions of dollars)

Identification code 16-0400-0-1-554	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	134	148	146
11.3 Other than full-time permanent	1	1	2
11.5 Other personnel compensation	3	3	3
11.9 Total personnel compensation	138	152	151
12.1 Civilian personnel benefits	46	52	50
21.0 Travel and transportation of persons	11	11	11
23.1 Rental payments to GSA	16	17	18
23.3 Communications, utilities, and miscellaneous charges	6	6	6
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	4	4	3
25.2 Other services	62	64	65
25.3 Other purchases of goods and services from Government accounts	22	21	21
25.7 Operation and maintenance of equipment	20	17	17
26.0 Supplies and materials	3	4	4
31.0 Equipment	9	8	8
41.0 Grants, subsidies, and contributions	100	101	94
99.0 Direct obligations	438	458	449
99.0 Reimbursable obligations	2	2	2
99.5 Below reporting threshold		1	
99.9 Total new obligations	440	461	451

Personnel Summary

Identification code 16-0400-0-1-554	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	2,167	2,300	2,217
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	10	13	14
Allocation account:			
3001 Total compensable workyears: Full-time equivalent employment	2	3	2

ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriations as follows:
Environmental Protection Agency: Hazardous Substance Response Trust Fund.

**MINE SAFETY AND HEALTH
ADMINISTRATION**

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses for the Mine Safety and Health Administration, **[\$254,768,000] \$264,343,000**, including purchase and bestowal of certificates and trophies in connection with mine rescue and first-aid work, and the hire of passenger motor vehicles; including up to \$1,000,000 for mine rescue and recovery activities, which shall be available only to the extent that fiscal year **[2002] 2003** obligations for these activities exceed \$1,000,000; in addition, not to exceed \$750,000 may be collected by the National Mine Health and Safety Academy for room, board, tuition, and the sale of training materials, otherwise authorized by law to be collected, to be available for mine safety and health education and training activities, notwithstanding 31 U.S.C. 3302; and, in addition, the Mine Safety and Health Administration may retain up to \$1,000,000 from fees collected for the approval and certification of equipment, materials, and explosives for use in mines, and may utilize such sums for such activities; the Secretary is authorized to accept lands, buildings, equipment, and other contributions from public and private sources and to prosecute projects in cooperation with other agencies, Federal, State, or private; the Mine Safety and Health Administration is authorized

to promote health and safety education and training in the mining community through cooperative programs with States, industry, and safety associations; and any funds available to the department may be used, with the approval of the Secretary, to provide for the costs of mine rescue and survival operations in the event of a major disaster. (*Department of Labor Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 16-1200-0-1-554	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Enforcement:			
00.01 Coal	122	124	117
00.02 Metal/non-metal	59	65	67
00.03 Standards development	1	2	2
00.04 Assessments	4	5	5
00.05 Educational policy and development	32	29	29
00.06 Technical support	27	29	30
00.07 Program administration	14	14	14
09.01 Reimbursable program	1	2	2
10.00 Total new obligations	260	270	266

Budgetary resources available for obligation:

22.00 New budget authority (gross)	260	270	266
23.95 Total new obligations	-260	-270	-266

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	260	269	264
40.71 Reduction pursuant to P.L. 107-116		-1	
40.75 Reduction pursuant to P.L. 106-554 (Labor/HHS)	-1		
43.00 Appropriation (total discretionary)	259	268	264
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1	2	2
70.00 Total new budget authority (gross)	260	270	266

Change in obligated balances:

72.40 Obligated balance, start of year	23	21	22
73.10 Total new obligations	260	270	266
73.20 Total outlays (gross)	-262	-270	-264
74.40 Obligated balance, end of year	21	22	24

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	241	247	243
86.93 Outlays from discretionary balances	21	22	22
87.00 Total outlays (gross)	262	270	264

Offsets:

Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-2	-2	-2
Against gross budget authority only:			
88.96 Portion of offsetting collections (cash) credited to expired accounts	1		

Net budget authority and outlays:

89.00 Budget authority	259	268	264
90.00 Outlays	261	268	262

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	246	254	254
90.00 Outlays	248	254	252

Enforcement.—The Enforcement strategy in 2003 will be an integrated approach that links all actions to preventing occupational injuries and illness. These include inspection of mines as mandated by the Federal Mine Safety and Health Act of 1977, special emphasis initiatives that focus on persistent safety and health hazards, promulgation of safety and health standards, investigation of serious accidents, and on-

General and special funds—Continued

SALARIES AND EXPENSES—Continued

site education and training. The desired outcome of these enforcement efforts is to lower fatality and injury rates.

Assessments.—This activity assesses and collects civil monetary penalties for violations of safety and health standards.

Educational policy and development.—This activity develops and coordinates MSHA's mine safety and health education and training policies, and provides classroom instruction at the National Academy for MSHA personnel, other governmental personnel, and the mining industry. States provide mine health and safety training materials, and provide technical assistance through the State Grants program.

Technical support.—This activity applies engineering and scientific expertise through field and laboratory forensic investigations to resolve technical problems associated with implementation of the Mine Act. Technical support administers a fee program to approve equipment, materials, and explosives for use in mines and performs field and laboratory audits of equipment previously approved by MSHA. It also collects and analyzes data relative to the cause, frequency, and circumstances of accidents.

Program administration.—This activity provides general administrative functions.

PROGRAM STATISTICS

Enforcement:	2001 actual	2002 est.	2003 est.
Fatalities:			
Coal mines	41	25	21
Metal/non-metal mines	30	39	33
Non-fatal lost time injury rates:			
Coal mines	4.49	4.13 (<5.03)	3.08 (<5.03)
Metal/non-metal mines	2.72	2.30 (<2.77)	1.70 (<2.77)
Regulations promulgated	3	13	11
Assessments:			
Violations assessed	125,695	132,000	132,000
Educational policy and development:			
Course days	1,820	2,202	2,702
Technical support:			
Equipment approvals	839	840	840
Field investigations	607	556	547
Laboratory samples analyzed	58,447	66,680	71,160

Note.—Fatalities estimate is based on a 15% reduction annually below the projected baseline.

Object Classification (in millions of dollars)

Identification code 16-1200-0-1-554	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	129	136	139
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	5	5	5
11.9 Total personnel compensation	135	142	145
12.1 Civilian personnel benefits	52	55	50
21.0 Travel and transportation of things	10	11	11
22.0 Transportation of things	3	3	3
23.1 Rental payments to GSA	10	10	10
23.3 Communications, utilities, and miscellaneous charges	3	3	3
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	2	1	
25.2 Other services	5	8	6
25.3 Other purchases of goods and services from Government accounts	10	9	10
25.4 Operation and maintenance of facilities		1	1
25.7 Operation and maintenance of equipment	7	6	6
26.0 Supplies and materials	5	3	3
31.0 Equipment	8	7	7
41.0 Grants, subsidies, and contributions	8	8	8
99.0 Direct obligations	259	268	264
99.0 Reimbursable obligations	1	2	2
99.9 Total new obligations	260	270	266

Personnel Summary

Identification code 16-1200-0-1-554	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	2,254	2,310	2,264

BUREAU OF LABOR STATISTICS

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses for the Bureau of Labor Statistics, including advances or reimbursements to State, Federal, and local agencies and their employees for services rendered, **[\$397,142,000] \$428,783,000**, together with not to exceed **[\$69,132,000] \$72,029,000**, which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund; and **\$10,280,000** which shall be available for obligation for the period July 1, **[2002] 2003** through June 30, **[2003] 2004**, for Occupational Employment Statistics. (*Department of Labor Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 16-0200-0-1-505	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Labor force statistics	205	219	226
00.02 Prices and cost of living	141	154	166
00.03 Compensation and working conditions	75	77	79
00.04 Productivity and technology	9	11	11
00.06 Executive direction and staff services	27	28	29
00.07 Consumer price index revision	4		
09.01 Reimbursable program	11	14	16
10.00 Total new obligations	472	503	527
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4	8	8
22.00 New budget authority (gross)	475	503	527
23.90 Total budgetary resources available for obligation	479	511	535
23.95 Total new obligations	-472	-503	-527
23.98 Unobligated balance expiring or withdrawn	-1		
24.40 Unobligated balance carried forward, end of year	8	8	8
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	398	421	439
40.71 Reduction pursuant to P.L. 107-116		-1	
40.75 Reduction pursuant to P.L. 106-554 (Labor/HHS)	-1		
43.00 Appropriation (total discretionary)	397	420	439
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	78	83	88
70.00 Total new budget authority (gross)	475	503	527
Change in obligated balances:			
72.40 Obligated balance, start of year	71	87	100
73.10 Total new obligations	472	503	527
73.20 Total outlays (gross)	-454	-490	-582
73.40 Adjustments in expired accounts (net)	-2		
74.40 Obligated balance, end of year	87	100	46
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	391	420	440
86.93 Outlays from discretionary balances	62	70	141
87.00 Total outlays (gross)	454	490	582
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-77	-82	-87
88.40 Non-Federal sources	-1	-1	-1
88.90 Total, offsetting collections (cash)	-78	-83	-88

Net budget authority and outlays:				
89.00	Budget authority	397	420	439
90.00	Outlays	375	407	494

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	383	406	426
90.00	Outlays	361	393	481

Labor force statistics.—Publishes monthly estimates of the labor force, employment, unemployment, and earnings for the Nation, States, and local areas. Makes studies of the labor force. Publishes data on covered employment and wages, by industry. Provides economic projections, including changes in the level and structure of the economy, as well as employment projections by industry and by occupational category.

Labor force statistics (selected items):	2001 actual	2002 est.	2003 est.
Covered employment and wages for SIC industries (quarterly series)	1,000,201	1,000,201	N/A
Covered employment and wages for NAICS industries (quarterly series)	N/A	N/A	2,079,977
Employment and unemployment estimates for States and local areas (monthly and annual series)	89,544	90,000	90,000
Occupational employment statistics (annual series)	57,040	57,040	57,040
Industry projections (2 yr. cycle)	92	92	92
Occupational Outlook Handbook statements (2 yr. cycle)	125	125	125

Prices and cost of living.—Publishes the Consumer Price Index (CPI), the Producer Price Index (PPI), U.S. Import and Export Price Indexes, estimates of consumers' expenditures, and studies of price change. Resources are requested in 2003 to modernize the computing systems for monthly processing of the PPI and the U.S. Import and Export Price Indexes.

	2001 actual	2002 est.	2003 est.
Consumer price indexes published (monthly)	5,400	5,400	5,400
Percentage of CPI statistics released on schedule	100%	100%	100%
Producer prices:			
(a) Commodity indexes published (monthly)	3,182	3,182	3,182
(b) Mining and manufacturing indexes published (monthly)	6,377	5,700	5,600
International prices and price indexes:			
(a) Sample units initiated (annually)	3,200	3,200	3,200
(b) Price quotations collected (monthly)	23,000	23,000	23,000

Compensation and working conditions.—Publishes data on wages and benefits by occupation for major labor markets and industries. Compiles annual information to estimate the incidence and number of work-related injuries, illnesses, and fatalities.

Compensation and working conditions (major items):	2001 actual	2002 est.	2003 est.
Employment cost index—number of schedules	13,200	14,400	15,600
Occupational safety and health—number of schedules	200,000	200,000	200,000
Federal pay reform—number of schedules	30,600	35,800	35,800

Productivity and technology.—Publishes trends in productivity and costs for major economic sectors and detailed industries. Also analyzes trends in order to examine the factors underlying productivity change. Publishes international comparisons of productivity, labor force and unemployment, and hourly compensation costs.

	2001 actual	2002 est.	2003 est.
Studies, articles, and special reports	29	29	29
Series maintained	6,236	6,356	6,377

Executive direction and staff services.—Provides planning and policy for the Bureau of Labor Statistics, operates the management information system, coordinates research, and publishes data and reports for government and public use.

Object Classification (in millions of dollars)

Identification code 16-0200-0-1-505	2001 actual	2002 est.	2003 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	128	143	148

11.3	Other than full-time permanent	9	8	8
11.5	Other personnel compensation	3	2	3
<hr/>				
11.9	Total personnel compensation	140	153	159
12.1	Civilian personnel benefits	45	46	47
21.0	Travel and transportation of persons	6	7	7
23.1	Rental payments to GSA	30	31	34
23.3	Communications, utilities, and miscellaneous charges	6	8	8
24.0	Printing and reproduction	1	2	2
25.2	Other services	29	62	22
25.3	Other purchases of goods and services from Government accounts	85	90	92
25.5	Research and development contracts			12
25.7	Operation and maintenance of equipment	31	2	37
26.0	Supplies and materials	2	2	2
31.0	Equipment	14	12	12
41.0	Grants, subsidies, and contributions	72	74	77
<hr/>				
99.0	Direct obligations	461	489	511
99.0	Reimbursable obligations	11	14	16
<hr/>				
99.9	Total new obligations	472	503	527

Personnel Summary

Identification code 16-0200-0-1-505	2001 actual	2002 est.	2003 est.	
Direct:				
1001	Total compensable workyears: Full-time equivalent employment	2,419	2,468	2,468
Reimbursable:				
2001	Total compensable workyears: Full-time equivalent employment	31	61	61

DEPARTMENTAL MANAGEMENT

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses for Departmental Management, including the hire of three sedans, and including the management or operation, through contracts, grants or other arrangements of Departmental activities conducted by or through the Bureau of International Labor Affairs, including bilateral and multilateral technical assistance and other international labor activities, [of which the funds designated to carry out bilateral assistance under the international child labor initiative shall be available for obligation through September 30, 2003, and \$50,000,000] \$74,000,000, for the acquisition of Departmental information technology, architecture, infrastructure, equipment, software and related needs which will be allocated by the Department's Chief Information Officer in accordance with the Department's capital investment management process to assure a sound investment strategy; [\$378,778,000] \$325,699,000; together with not to exceed \$310,000, which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund: *Provided*, That no funds made available by this Act may be used by the Solicitor of Labor to participate in a review in any United States court of appeals of any decision made by the Benefits Review Board under section 21 of the Longshore and Harbor Workers' Compensation Act (33 U.S.C. 921) where such participation is precluded by the decision of the United States Supreme Court in Director, Office of Workers' Compensation Programs v. Newport News Shipbuilding, 115 S. Ct. 1278 (1995), notwithstanding any provisions to the contrary contained in Rule 15 of the Federal Rules of Appellate Procedure: *Provided further*, That no funds made available by this Act may be used by the Secretary of Labor to review a decision under the Longshore and Harbor Workers' Compensation Act (33 U.S.C. 901 et seq.) that has been appealed and that has been pending before the Benefits Review Board for more than 12 months: *Provided further*, That any such decision pending a review by the Benefits Review Board for more than 1 year shall be considered affirmed by the Benefits Review Board on the 1-year anniversary of the filing of the appeal, and shall be considered the final order of the Board for purposes of obtaining a review in the United States courts of appeals: *Provided further*, That these provisions shall not be applicable to the review or appeal of any decision issued under the Black Lung Benefits Act (30 U.S.C. 901 et seq.). (*Department of Labor Appropriations Act, 2002; additional authorizing legislation required.*)

General and special funds—Continued

SALARIES AND EXPENSES—Continued

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Salaries and Expenses", \$5,880,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 16-0165-0-1-505	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01	25	27	27
00.02	86	88	89
00.03	118	149	86
00.04	25	37	31
00.05	42	43	43
00.06	23		
00.07	10	11	8
00.08	6	6	6
00.09	7	6	8
00.10	37	50	74
00.11			7
09.01	11	14	14
10.00	390	431	393
Budgetary resources available for obligation:			
21.40	1	30	30
22.00	422	431	364
23.90	423	461	394
23.95	-390	-431	-393
23.98	-3		
24.40	30	30	
New budget authority (gross), detail:			
Discretionary:			
40.00	390	389	326
40.15		6	
40.71		-1	
40.75	-1		
43.00	389	394	326
68.00	33	37	38
70.00	422	431	364
Change in obligated balances:			
72.40	74	200	228
73.10	390	431	393
73.20	-263	-404	-498
74.40	200	228	123
Outlays (gross), detail:			
86.90	233	231	307
86.93	30	172	191
87.00	263	404	498
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00	-30	-31	-31
88.40	-3	-6	-7
88.90	-33	-37	-38
Net budget authority and outlays:			
89.00	389	394	326
90.00	231	367	460

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00	379	384	318
90.00	220	357	452

Program direction and support.—Provides leadership and direction for all programs and functions assigned to the Department. Provides guidance for the development and implementation of governmental policy to protect and promote the interests of the American worker, toward achieving better employment and earnings, promoting productivity and economic growth, safety, equity and affirmative action in employment, and collecting and analyzing statistics on the labor force.

Legal services.—Provides the Secretary of Labor and Departmental program officials with the legal services required to accomplish the Department's mission. The major services include litigating cases, providing assistance to the Department of Justice in case preparation and trials, reviewing rules, orders and written interpretations and opinions for DOL program agencies and the public, and coordinating the Department's legislative program. A provision has been added to fund legal services associated with extraordinary case enforcement activities.

International labor affairs.—Coordinates the Department of Labor's international responsibilities, including U.S. government participation in international fora dealing with labor issues; publishes reports on international labor issues; assists in the formulation and implementation of international treaties dealing with labor issues; operates technical assistance programs; and works toward the elimination of exploitative child labor around the world.

Administration and management.—Exercises leadership in all Departmental administrative and management programs and services and ensures efficient and effective operation of Departmental programs; provides policy guidance on matters of personnel management, information resource management and procurement; and provides for consistent and constructive internal labor-management relations throughout the Department.

Adjudication.—Conducts formal hearings and renders timely decisions on claims filed under the Black Lung Benefits Act, the Longshore and Harbor Workers' Compensation Act and its extensions, the Federal Employees' Compensation Act and other acts involving complaints to determine violations of minimum wage requirements, overtime payments, health and safety regulations and unfair labor practices.

Women's bureau.—Promotes the interests of wage earning women, and seeks to improve their working conditions and advance their opportunities for profitable employment.

Civil rights.—Ensures full compliance with title VI of the Civil Rights Act of 1964 and other regulatory nondiscrimination provisions in programs receiving financial assistance from the Department of Labor and promotes equal opportunity in these programs and activities; and ensures equal employment opportunity to all DOL employees and applicants for employment.

Chief financial officer.—Responsible for enhancing the level of knowledge and skills of Departmental staff working in financial management operations; developing comprehensive accounting and financial management policies; assuring that all DOL financial functions conform to applicable standards; providing leadership and coordination to DOL agencies' trust and benefit fund financial actions; monitoring the financial execution of the budget in relation to actual expenditures; and managing a comprehensive training program for budget, accounting, and financial support staff.

Information technology activities.—This activity represents a permanent, centralized IT investment fund for the Department of Labor (DOL) managed by the Chief Information Officer (CIO). As required by the Clinger Cohen Act, in 1996, the Department established a Chief Information Officer accountable for IT management in the DOL, and implemented an IT Capital Investment Management process for selecting, controlling, and evaluating IT investments. The Department

established a baseline of existing information technologies and provides a target environment as a framework for future information technology investments.

Object Classification (in millions of dollars)

Identification code 16-0165-0-1-505	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	108	117	118
11.3 Other than full-time permanent	2	1	1
11.5 Other personnel compensation	2	2	2
11.9 Total personnel compensation	112	120	121
12.1 Civilian personnel benefits	34	36	35
21.0 Travel and transportation of persons	4	4	3
23.1 Rental payments to GSA	15	18	17
23.3 Communications, utilities, and miscellaneous charges	2	2	2
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	15	3	8
25.2 Other services	24	29	21
25.3 Other purchases of goods and services from Government accounts	18	19	19
25.5 Research and development contracts	1	1	1
25.7 Operation and maintenance of equipment	22	34	47
26.0 Supplies and materials	3	2	2
31.0 Equipment	26	30	37
41.0 Grants, subsidies, and contributions	102	118	65
99.0 Direct obligations	379	417	379
99.0 Reimbursable obligations	11	14	14
99.9 Total new obligations	390	431	393

Personnel Summary

Identification code 16-0165-0-1-505	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	1,502	1,542	1,506
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	12	35	17

OFFICE OF DISABILITY EMPLOYMENT POLICY

SALARIES AND EXPENSES

For necessary expenses for the Office of Disability Employment Policy to provide leadership, develop policy and initiatives, and award grants furthering the objective of eliminating barriers to the training and employment of people with disabilities, **[\$38,158,000, of which \$2,640,000 shall be for the President's Task Force on the Employment of Adults with Disabilities.] \$47,426,000.** (Department of Labor Appropriations Act, 2002; additional authorizing language required.)

Program and Financing (in millions of dollars)

Identification code 16-0166-0-1-505	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Office of Disability Employment Policy		35	47
00.02 President's Task Force on the Employment of Adults with Disabilities		3	
10.00 Total new obligations		38	47

Budgetary resources available for obligation:

22.00 New budget authority (gross)		38	47
23.95 Total new obligations		-38	-47

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation		38	47

Change in obligated balances:

72.40 Obligated balance, start of year			8
73.10 Total new obligations		38	47
73.20 Total outlays (gross)		-30	-46
74.40 Obligated balance, end of year		8	9

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	30	37
86.93 Outlays from discretionary balances		8
87.00 Total outlays (gross)	30	46

Net budget authority and outlays:

89.00 Budget authority	38	47
90.00 Outlays	30	46

Office of Disability Employment Policy.—This office provides leadership to eliminate employment barriers to people with disabilities. It works within DOL to ensure that all DOL programs address the needs of people with disabilities and to increase participation of people with disabilities in DOL training programs—particularly those serving youth. The office also develops and implements innovative pilot programs while working to integrate effective approaches into mainstream programs. Finally, the office assists the Presidential Disability Partnership Board in developing public/private efforts needed to promote employment of persons with disabilities.

The Office of Disability Employment Policy brings a heightened and permanent long-term focus on increasing employment of persons with disabilities through policy analysis, technical assistance, development of best practices and outreach to persons with disabilities and employers. Funding is used to develop programs and policies designed to increase the number of youth and adults with disabilities who enter, re-enter, and remain in the workforce. Additionally, this office develops and implements innovative pilot programs to integrate people with significant disabilities into mainstream workforce programs.

President's Task Force on the Employment of Adults with Disabilities.—This activity includes funding for a Task Force entrusted to develop a national policy to bring adults with disabilities into gainful employment at a rate that is as close as possible to that of the general population. The Task Force is studying the barriers to employment faced by disabled individuals and is reporting its findings and policy recommendations to the President on a periodic basis over its four-year life. The Task Force expects to make its final report in July 2002.

Object Classification (in millions of dollars)

Identification code 16-0166-0-1-505	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent		5	5
12.1 Civilian personnel benefits		1	1
21.0 Travel and transportation of persons		1	1
23.1 Rental payments to GSA		1	2
25.2 Other services		16	16
25.5 Research and development contracts		2	2
31.0 Equipment		3	3
41.0 Grants, subsidies, and contributions		9	17
99.9 Total new obligations		38	47

Personnel Summary

Identification code 16-0166-0-1-505	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment		67	65

OFFICE OF INSPECTOR GENERAL

For salaries and expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, **[\$52,182,000] \$59,628,000,** together with not to exceed **[\$4,951,000] \$5,597,000,** which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund. (Department of Labor Appropriations Act, 2002; additional authorizing legislation required.)

General and special funds—Continued

OFFICE OF INSPECTOR GENERAL—Continued

Program and Financing (in millions of dollars)

Identification code 16-0106-0-1-505	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Program activities	58	60	66
09.01 Reimbursable program	11	17	17
10.00 Total new obligations	69	77	83
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	69	77	83
23.95 Total new obligations	-69	-77	-83
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	53	55	60
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	16	22	23
70.00 Total new budget authority (gross)	69	77	83
Change in obligated balances:			
72.40 Obligated balance, start of year	10	16	16
73.10 Total new obligations	69	77	83
73.20 Total outlays (gross)	-63	-77	-83
74.40 Obligated balance, end of year	16	16	16
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	54	71	76
86.93 Outlays from discretionary balances	9	6	6
87.00 Total outlays (gross)	63	77	83
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-16	-22	-23
Net budget authority and outlays:			
89.00 Budget authority	53	55	60
90.00 Outlays	47	55	60
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	50	52	57
90.00 Outlays	44	52	57

Program activities.—Program activities within the Office of Inspector General (OIG) include audit, program fraud, labor racketeering, special evaluations and inspection of program activities, and executive direction and management. The Office of Audit performs audits of the Department's financial statements, programs, activities, and systems to determine whether information is reliable, controls are in place, resources are safeguarded, funds are expended in a manner consistent with laws and regulations and managed economically and efficiently, and desired program results are achieved. The Office of Investigations administers an investigative program to detect and deter fraud, waste and abuse in Departmental programs; and to identify and reduce labor racketeering and corruption in employee benefit plans, labor management relations, and internal union affairs. The Office of Communications, Inspections and Evaluations conducts DOL program evaluations, special reviews and inspections; analyzes complaints involving DOL programs, operations, or functions; and provides strategic planning and Congressional liaison services. The OIG carries out executive direction and management activities which include: management, legal counsel, administrative support, information technology, procurement, personnel, and financial functions. The OIG also provides technical assistance to DOL program agencies.

	2001 actual	2002 est.	2003 est.
Audit Reports Issued	75	83	85
Investigative Cases Opened	597	620	660
Investigative Cases Closed	459	480	505
Evaluation Reports Issues	7	15	18

Object Classification (in millions of dollars)

Identification code 16-0106-0-1-505	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	26	31	33
11.5 Other personnel compensation	3	3	4
11.9 Total personnel compensation	29	34	37
12.1 Civilian personnel benefits	11	11	11
21.0 Travel and transportation of persons	3	3	4
23.1 Rental payments to GSA	3	4	4
23.3 Communications, utilities, and miscellaneous charges			1
25.2 Other services	7	4	5
25.3 Other purchases of goods and services from Government accounts	3	3	3
31.0 Equipment	2	1	1
99.0 Direct obligations	58	60	66
99.0 Reimbursable obligations	11	17	17
99.9 Total new obligations	69	77	83

Personnel Summary

Identification code 16-0106-0-1-505	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	400	428	453

VETERANS EMPLOYMENT AND TRAINING

Not to exceed **[\$186,903,000]** \$186,644,000 may be derived from the Employment Security Administration Account in the Unemployment Trust Fund to carry out the provisions of 38 U.S.C. 4100-4110A, 4212, 4214, and 4321-4327, and Public Law 103-353, and which shall be available for obligation by the States through December 31, [2002] 2003. To carry out the Stewart B. McKinney Homeless Assistance Act and section 168 of the Workforce Investment Act of 1998, **[\$25,800,000]** \$24,800,000, of which **[\$7,550,000]** \$7,300,000 shall be available for obligation for the period July 1, [2002] 2003 through June 30, [2003] 2004. (Department of Labor Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 16-0164-0-1-702	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
State administration:			
00.01 Disabled veterans outreach program	82	82	82
00.02 Local veterans employment representatives	77	77	77
00.03 Administration	26	27	28
00.04 National Veterans' Training Institute	2	2	
00.05 Homeless veterans program	18	18	18
00.06 Veterans workforce investment program	6	7	7
10.00 Total new obligations	211	213	212
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	213	214	212
23.95 Total new obligations	-211	-213	-212
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	25	26	25
68.00 Spending authority from offsetting collections: Offsetting collections (Trust Funds)	188	188	187
70.00 Total new budget authority (gross)	213	214	212
Change in obligated balances:			
72.40 Obligated balance, start of year		44	52
73.10 Total new obligations	211	213	212

73.20	Total outlays (gross)	-167	-205	-212
74.40	Obligated balance, end of year	44	52	52
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	167	174	173
86.93	Outlays from discretionary balances		31	39
87.00	Total outlays (gross)	167	205	212
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Trust fund sources	-188	-188	-187
Net budget authority and outlays:				
89.00	Budget authority	25	26	25
90.00	Outlays	-21	17	25

Summary of Budget Authority and Outlays

(in millions of dollars)

Enacted/requested:	2001 actual	2002 est.	2003 est.
Budget Authority	25	26	25
Outlays	-21	17	25
Legislative proposal, not subject to PAYGO:			
Budget Authority			-18
Outlays			14
Total:			
Budget Authority	25	26	7
Outlays	-21	17	39

State administration.—The Disabled Veterans Outreach Program provides intensive employment and job development services to secure permanent employment for veterans, particularly those with service-connected disabilities and other disadvantages. Local Veterans Employment Representatives provide job development, placement, and supportive services directly to veterans and conduct functional supervision of the services provided veterans by other local office staff to ensure veterans get priority of service.

Administration.—Identifies policies and programs to serve and meet employment and training needs of veterans. Assures the adequacy of counseling, testing, job training, and job placement services for veterans through monitoring, evaluating, and providing technical assistance and training to those delivering these services. Coordinates with the Department of Defense to ensure the provision of labor market information and other services to military service-members separating from active duty to expedite their transition from military to civilian employment under the Transition Assistance Program. Provides employment, training and supportive services directly or through linkages with other service providers to assist homeless veterans. Provides on-the-job training programs and other specialized services for certain veterans identified as facing serious barriers to employment. Administers veterans employment and training programs under the Workforce Investment Act to provide these services. Promotes compliance of Federal contractors in listing jobs for veterans. Provides information and investigates complaints, to help veterans, reservists, and members of the National Guard obtain employment, and reemployment rights as provided for by law including Federal veterans' preference rights.

National Veterans Training Institute.—This program operates through a contract with the University of Colorado in Denver, Colorado, providing training to Federal and State employees who assist veterans in finding jobs.

Homeless veterans program.—Provides a program of demonstration projects, coordinated with the Veteran's Administration and the Department of Housing and Urban Development to help homeless veterans into jobs. Homeless veterans projects provide for outreach, supportive services, and leveraged funds for housing, transportation and health, and are funded in both urban and rural areas.

Veterans workforce investment program.—Provides for training, retraining and employment opportunities for most at risk

veterans, including those with service connected disabilities, those with significant barriers to employment, Vietnam era veterans, and recently separated veterans.

Object Classification (in millions of dollars)

Identification code 16-0164-0-1-702	2001 actual	2002 est.	2003 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	14	16	17
12.1	Civilian personnel benefits	4	5	5
21.0	Travel and transportation of persons	2	1	1
23.1	Rental payments to GSA	1	1	1
23.3	Communications, utilities, and miscellaneous charges	2	2	2
25.2	Other services	5	4	2
25.3	Other purchases of goods and services from Government accounts	3	2	2
41.0	Grants, subsidies, and contributions	180	181	181
99.0	Direct obligations	211	212	211
99.5	Below reporting threshold		1	1
99.9	Total new obligations	211	213	212

Personnel Summary

Identification code 16-0164-0-1-702	2001 actual	2002 est.	2003 est.	
1001	Total compensable workyears: Full-time equivalent employment	243	250	250

VETERANS EMPLOYMENT AND TRAINING
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 16-0164-2-1-702	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
State administration:			
00.01	Disabled veterans outreach program		-82
00.02	Local veterans employment representatives		-77
00.03	Administration		-20
00.05	Homeless veterans program		-18
10.00	Total new obligations		-197
Budgetary resources available for obligation:			
22.00	New budget authority (gross)		-197
23.95	Total new obligations		197
New budget authority (gross), detail:			
Discretionary:			
40.00	Appropriation		-18
68.00	Spending authority from offsetting collections: Offsetting collections (Trust Funds)		-179
70.00	Total new budget authority (gross)		-197
Change in obligated balances:			
73.10	Total new obligations		-197
73.20	Total outlays (gross)		165
74.40	Obligated balance, end of year		-32
Outlays (gross), detail:			
86.90	Outlays from new discretionary authority		-165
Offsets:			
Against gross budget authority and outlays:			
88.00	Offsetting collections (cash) from: Trust fund sources		179
Net budget authority and outlays:			
89.00	Budget authority		-18
90.00	Outlays		14

Legislation will be submitted to consolidate three of the grants activities in this account—the Disabled Veterans Outreach Program, the Local Veterans Employment Representa-

General and special funds—Continued

VETERANS EMPLOYMENT AND TRAINING—Continued

tives, and the Homeless Veterans program—into a competitive grant with strong performance measures and to move the new program to the Department of Veterans Affairs (VA). The associated personnel will also move to VA.

The Veterans workforce investment program, authorized under the Workforce Investment Act of 1998 (WIA), will be retained within the Department of Labor (DOL). If the proposed legislation is enacted, this program will be moved to the Employment and Training Administration's Training and Employment Services account, where other DOL programs authorized under WIA are funded.

Staff will remain in DOL to continue to assist veterans, National Guard and reserve component members secure their employment and reemployment rights or privileges and protect veterans preference rights with Federal agencies.

Object Classification (in millions of dollars)

Identification code 16-0164-2-1-702	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent			-14
12.1 Civilian personnel benefits			-3
23.3 Communications, utilities, and miscellaneous charges			-1
25.2 Other services			-1
25.3 Other purchases of goods and services from Government accounts			-1
41.0 Grants, subsidies, and contributions			-174
99.0 Direct obligations			-194
99.5 Below reporting threshold			-3
99.9 Total new obligations			-197

Personnel Summary

Identification code 16-0164-2-1-702	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment			-199

Intragovernmental funds:

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 16-4601-0-4-505	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Financial and administrative services	68	62	64
09.02 Field services	28	30	31
09.04 Human resources services	9	9	9
09.05 Telecommunications	20	19	20
09.06 Investment in reinvention fund		1	1
09.07 Non-DOL reimbursements	11	12	12
10.00 Total new obligations	136	133	137
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	9	5	5
22.00 New budget authority (gross)	129	130	134
22.10 Resources available from recoveries of prior year obligations	3	3	3
23.90 Total budgetary resources available for obligation	141	138	142
23.95 Total new obligations	-136	-133	-137
24.40 Unobligated balance carried forward, end of year	5	5	5
New budget authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	129	130	134
Change in obligated balances:			
72.40 Obligated balance, start of year	15	14	11

73.10 Total new obligations	136	133	137
73.20 Total outlays (gross)	-136	-133	-137
73.45 Recoveries of prior year obligations	-3	-3	-3
74.40 Obligated balance, end of year	14	11	8

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	121	120	124
86.93 Outlays from discretionary balances	15	12	11
87.00 Total outlays (gross)	136	133	137

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-129	-130	-134

Net budget authority and outlays:

89.00 Budget authority			
90.00 Outlays	8	3	3

Financial and administrative services.—Provides support for financial systems on a Department-wide basis, financial services primarily for DOL national office staff, cost determination activities, maintenance of Departmental host computer systems, procurement and contract services, safety and health services, maintenance and operation of the Frances Perkins Building and general administrative support in the following areas: space and telecommunications, property and supplies, printing and reproduction and energy management.

Field services.—Provides full range of administrative and technical services to all agencies of the Department located in its regional and field offices. These services are primarily in the personnel, financial, information technology and general administrative areas.

Human resources services.—Provides guidance to DOL agencies in Senior Executive Service resource management and in the management of Schedule "C" and expert and consultant services, development and administration of Departmental programs for personnel security and financial disclosure, direct staffing and position management services, and benefits counseling and services to DOL employees.

Telecommunications.—Provides for departmental telecommunications payments to the General Services Administration.

Investment in reinvention fund.—Finances agency reinvention proposals and other investment or capital acquisition projects in order to achieve savings and streamline work processes. The fund is self-sustaining, with agencies paying back the initial investment with savings generated through implementation of efficiencies and reinvention initiatives.

Non-DOL reimbursements.—Provides for services rendered to any entity or person for use of Departmental facilities and services, including associated utilities and security services, including support for regional consolidated administrative support unit activities. The income received from non-DOL agencies and organizations funds in full the costs of all services provided. This income is credited to and merged with other income received by the Working Capital Fund.

Financing.—The Working Capital Fund is funded by the agencies and organizations for which centralized services are performed at rates that return in full all expenses of operation, including reserves for accrued annual leave and depreciation of equipment.

Object Classification (in millions of dollars)

Identification code 16-4601-0-4-505	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	37	42	43
Other personnel compensation:			
11.5 Other personnel compensation	1	1	1
11.5 Other personnel compensation	1		
11.9 Total personnel compensation	39	43	44
12.1 Civilian personnel benefits	15	15	16
21.0 Travel and transportation of persons	2	1	1

23.1	Rental payments to GSA	8	8	9
23.3	Communications, utilities, and miscellaneous charges	30	28	28
25.1	Advisory and assistance services	4	4	4
25.2	Other services	17	9	10
25.3	Other purchases of goods and services from Government accounts	2	3	3
25.4	Operation and maintenance of facilities	4	4
25.7	Operation and maintenance of equipment	7	11	11
26.0	Supplies and materials	2	2	2
31.0	Equipment	10	5	5
99.9	Total new obligations	136	133	137

Personnel Summary

Identification code 16-4601-0-4-505	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	722	707	692

ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriations as follows: Agency for International Development, Functional Development Assistance Program. Department of Education: Office of Vocational and Adult Education: "Vocational and Adult Education".

GENERAL PROVISIONS

SEC. 101. None of the funds appropriated in this title for the Job Corps shall be used to pay the compensation of an individual, either as direct costs or any proration as an indirect cost, at a rate in excess of Executive Level II.

(TRANSFER OF FUNDS)

SEC. 102. Not to exceed [1] 3 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985, as amended) which are appropriated for the current fiscal year for the Department of Labor in this Act may be transferred between appropriations, but no such appropriation shall be increased by more than [3] 10 percent by any such transfer: *Provided*, That the Appropriations Committees of both Houses of Congress are notified at least 15 days in advance of any transfer. (*Department of Labor Appropriations Act, 2002.*)

TITLE V—GENERAL PROVISIONS

SEC. 501. The Secretaries of Labor, Health and Human Services, and Education are authorized to transfer unexpended balances of prior appropriations to accounts corresponding to current appropriations provided in this Act: *Provided*, That such transferred balances are used for the same purpose, and for the same periods of time, for which they were originally appropriated.

SEC. 502. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 503. (a) No part of any appropriation contained in this Act shall be used, other than for normal and recognized executive-legislative relationships, for publicity or propaganda purposes, for the preparation, distribution, or use of any kit, pamphlet, booklet, publication, radio, television, or video presentation designed to support or defeat legislation pending before the Congress or any State legislature, except in presentation to the Congress or any State legislature itself.

(b) No part of any appropriation contained in this Act shall be used to pay the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence legislation or appropriations pending before the Congress or any State legislature.

SEC. 504. The Secretaries of Labor and Education are authorized to make available not to exceed \$23,000 and [\$15,000] \$20,000, respectively, from funds available for salaries and expenses under titles I and III, respectively, for official reception and representation expenses; the Director of the Federal Mediation and Conciliation Service is authorized to make available for official reception and representation expenses not to exceed \$2,500 from the funds available for "Salaries and expenses, Federal Mediation and Conciliation Service"; and the Chairman of the National Mediation Board is authorized to make

available for official reception and representation expenses not to exceed \$2,500 from funds available for "Salaries and expenses, National Mediation Board".

SEC. 505. Notwithstanding any other provision of this Act, no funds appropriated under this Act shall be used to carry out any program of distributing sterile needles or syringes for the hypodermic injection of any illegal drug.

SEC. 506. (a) It is the sense of the Congress that, to the greatest extent practicable, all equipment and products purchased with funds made available in this Act should be American-made.

(b) In providing financial assistance to, or entering into any contract with, any entity using funds made available in this Act, the head of each Federal agency, to the greatest extent practicable, shall provide to such entity a notice describing the statement made in subsection (a) by the Congress.

(c) If it has been finally determined by a court or Federal agency that any person intentionally affixed a label bearing a "Made in America" inscription, or any inscription with the same meaning, to any product sold in or shipped to the United States that is not made in the United States, the person shall be ineligible to receive any contract or subcontract made with funds made available in this Act, pursuant to the debarment, suspension, and ineligibility procedures described in sections 9.400 through 9.409 of title 48, Code of Federal Regulations.

SEC. 507. When issuing statements, press releases, requests for proposals, bid solicitations and other documents describing projects or programs funded in whole or in part with Federal money, all grantees receiving Federal funds included in this Act, including but not limited to State and local governments and recipients of Federal research grants, shall clearly state: (1) the percentage of the total costs of the program or project which will be financed with Federal money; (2) the dollar amount of Federal funds for the project or program; and (3) percentage and dollar amount of the total costs of the project or program that will be financed by non-governmental sources.

SEC. 508. (a) None of the funds appropriated under this Act, and none of the funds in any trust fund to which funds are appropriated under this Act, shall be expended for any abortion.

(b) None of the funds appropriated under this Act, and none of the funds in any trust fund to which funds are appropriated under this Act, shall be expended for health benefits coverage that includes coverage of abortion.

(c) The term "health benefits coverage" means the package of services covered by a managed care provider or organization pursuant to a contract or other arrangement.

SEC. 509. (a) The limitations established in the preceding section shall not apply to an abortion—

(1) if the pregnancy is the result of an act of rape or incest; or

(2) in the case where a woman suffers from a physical disorder, physical injury, or physical illness, including a life-endangering physical condition caused by or arising from the pregnancy itself, that would, as certified by a physician, place the woman in danger of death unless an abortion is performed.

(b) Nothing in the preceding section shall be construed as prohibiting the expenditure by a State, locality, entity, or private person of State, local, or private funds (other than a State's or locality's contribution of Medicaid matching funds).

(c) Nothing in the preceding section shall be construed as restricting the ability of any managed care provider from offering abortion coverage or the ability of a State or locality to contract separately with such a provider for such coverage with State funds (other than a State's or locality's contribution of Medicaid matching funds).

SEC. 510. (a) None of the funds made available in this Act may be used for—

(1) the creation of a human embryo or embryos for research purposes; or

(2) research in which a human embryo or embryos are destroyed, discarded, or knowingly subjected to risk of injury or death greater than that allowed for research on fetuses in utero under 45 CFR 46.208(a)(2) and section 498(b) of the Public Health Service Act (42 U.S.C. 289g(b)).

(b) For purposes of this section, the term "human embryo or embryos" includes any organism, not protected as a human subject under 45 CFR 46 as of the date of the enactment of this Act, that is derived by fertilization, parthenogenesis, cloning, or any other means from one or more human gametes or human diploid cells.

SEC. 511. (a) None of the funds made available in this Act may be used for any activity that promotes the legalization of any drug or other substance included in schedule I of the schedules of controlled substances established by section 202 of the Controlled Substances Act (21 U.S.C. 812).

(b) The limitation in subsection (a) shall not apply when there is significant medical evidence of a therapeutic advantage to the use of such drug or other substance or that federally sponsored clinical trials are being conducted to determine therapeutic advantage.

SEC. 512. None of the funds made available in this Act may be obligated or expended to enter into or renew a contract with an entity if—

(1) such entity is otherwise a contractor with the United States and is subject to the requirement in section 4212(d) of title 38, United States Code, regarding submission of an annual report to the Secretary of Labor concerning employment of certain veterans; and

(2) such entity has not submitted a report as required by that section for the most recent year for which such requirement was applicable to such entity.

SEC. 513. None of the funds made available in this Act may be used to promulgate or adopt any final standard under section 1173(b) of the Social Security Act (42 U.S.C. 1320d–2(b)) providing for, or providing for the assignment of, a unique health identifier for an individual (except in an individual's capacity as an employer or a health care provider), until legislation is enacted specifically approving the standard.

【SEC. 514. (a) Section 10 of the Native Hawaiian Health Care Improvement Act (42 U.S.C. 11709) is amended—

(1) in subsection (a) in the matter preceding paragraph (1), by striking “Kamehameha School/Bishop Estate” and inserting “Papa Ola Lokahi”; and

(2) in subsection (b)(1)(C), by striking “Kamehameha School/Bishop Estate” and inserting “Papa Ola Lokahi”.

(b) Section 338K(a) of the Public Health Service Act (42 U.S.C. 254s(a)) is amended by striking “Kamehameha School/Bishop Estate” and inserting “Papa Ola Lokahi”.】

SEC. 【515】 514. (a) In this section the term “qualified magistrate judge” means any person who—

(1) retired as a magistrate judge before November 15, 1988; and
(2) on the date of filing an election under subsection (b)—

(A) is serving as a recalled magistrate judge on a full-time basis under section 636(h) of title 28, United States Code; and

(B) has completed at least 5 years of full-time recall service.

(b) The Director of the Administrative Office of the United States Courts may accept the election of a qualified magistrate judge to—

(1) receive an annuity under section 377 of title 28, United States Code; and

(2) come within the purview of section 376 of such title.

(c) Full-time recall service performed by a qualified magistrate judge shall be credited for service in calculating an annuity elected under this section.

(d) The Director of the Administrative Office of the United States Courts may promulgate regulations to carry out this section.

【SEC. 516. Amounts made available under this Act for the administrative and related expenses for departmental management for the Department of Labor, the Department of Health and Human Services, and the Department of Education, shall be reduced on a pro rata basis by \$25,000,000: *Provided*, That this provision shall not apply to the Food and Drug Administration and the Indian Health Service: *Provided further*, That not later than 15 days after the enactment of this Act, the Director of the Office of Management and Budget shall report to the House and Senate Committees on Appropriations the accounts subject to the pro rata reductions and the amount to be reduced in each account.】 (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002.*)

DEPARTMENT OF STATE

ADMINISTRATION OF FOREIGN AFFAIRS

Federal Funds

General and special funds:

DIPLOMATIC AND CONSULAR PROGRAMS

For necessary expenses of the Department of State and the Foreign Service not otherwise provided for, including employment, without regard to civil service and classification laws, of persons on a temporary basis (not to exceed \$700,000 of this appropriation), as authorized by section 801 of the United States Information and Educational Exchange Act of 1948, as amended; representation to certain international organizations in which the United States participates pursuant to treaties ratified pursuant to the advice and consent of the Senate or specific Acts of Congress; arms control, nonproliferation and disarmament activities as authorized; acquisition by exchange or purchase of passenger motor vehicles as authorized by law; and for expenses of general administration, **[\$3,142,277,000]** *\$3,466,023,000: Provided*, That, of the amount made available under this heading, not to exceed \$4,000,000 may be transferred to, and merged with, funds in the "Emergencies in the Diplomatic and Consular Service" appropriations account, to be available only for emergency evacuations and terrorism rewards: *Provided further*, That, of the amount made available under this heading, \$270,259,000 shall be available only for public diplomacy international information programs: *Provided further*, That of the amount made available under this heading, \$694,190,000 shall be available only for information resource management: *Provided further*, That notwithstanding section 140(a)(5), and the second sentence of section 140(a)(3), of the Foreign Relations Authorization Act, Fiscal Years 1994 and 1995, fees may be collected during fiscal [years 2002 and 2003] years 2003 and 2004, under the authority of section 140(a)(1) of that Act: *Provided further*, That all fees collected under the preceding proviso shall be deposited in fiscal [years 2002 and 2003] years 2003 and 2004 as an offsetting collection to appropriations made under this heading to recover costs as set forth under section 140(a)(2) of that Act and shall remain available until expended: *Provided further*, That, of the amount made available under this heading [\$1,800,000 shall be available for a grant to conduct an international conference on combating sex trafficking: *Provided further*, That no funds may be obligated or expended for processing licenses for the export of satellites of United States origin (including commercial satellites and satellite components) to the People's Republic of China unless, at least 15 days in advance, the Committees on Appropriations of the House of Representatives and the Senate are notified of such proposed action] in fiscal year 2003, \$20,000,000 shall be available to implement the 1999 Pacific Salmon Treaty Agreement.

In addition, not to exceed \$1,343,000 shall be derived from fees collected from other executive agencies for lease or use of facilities located at the International Center in accordance with section 4 of the International Center Act, as amended; in addition, as authorized by section 5 of such Act, \$490,000, to be derived from the reserve authorized by that section, to be used for the purposes set out in that section; in addition, as authorized by section 810 of the United States Information and Educational Exchange Act, not to exceed \$6,000,000, to remain available until expended, may be credited to this appropriation from fees or other payments received from English teaching, library, motion pictures, and publication programs and from fees from educational advising and counseling and exchange visitor programs; and, in addition, not to exceed \$15,000, which shall be derived from reimbursements, surcharges, and fees for use of Blair House facilities.

In addition, for the costs of worldwide security upgrades, **[\$487,735,000]** *\$553,000,000*, to remain available until expended. (*Department of State and Related Agency Appropriations Act, 2002; additional authorizing legislation required.*)

Unavailable Collections (in millions of dollars)

Identification code 19-0113-0-1-153	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	11	11	6
Receipts:			
02.80 Diplomatic and consular programs, offsetting collections	1,047	1,123	1,286
04.00 Total: Balances and collections	1,058	1,134	1,292
Appropriations:			
05.00 Diplomatic and consular programs	-1,047	-1,128	-1,286
05.99 Total appropriations	-1,047	-1,128	-1,286
07.99 Balance, end of year	11	6	6

Program and Financing (in millions of dollars)

Identification code 19-0113-0-1-153	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Executive direction and policy formulation	278	297	313
00.02 Conduct of diplomatic relations	524	579	640
00.03 Conduct of public diplomacy	247	285	291
00.05 Conduct of consular relations	186	202	43
00.06 Professional development and training	77	84	94
00.07 Information management	385	492	519
00.08 Security	681	870	929
00.09 Medical	17	26	24
00.10 Administration and staff activities	955	1,171	1,237
09.01 Reimbursable program	1,047	1,028	1,286
10.00 Total new obligations	4,397	5,034	5,376
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	440	383	321
22.00 New budget authority (gross)	4,315	4,836	5,305
22.10 Resources available from recoveries of prior year obligations	33		
22.22 Unobligated balance transferred from other accounts		136	
23.90 Total budgetary resources available for obligation	4,788	5,355	5,626
23.95 Total new obligations	-4,397	-5,034	-5,376
23.98 Unobligated balance expiring or withdrawn	-8		
24.40 Unobligated balance carried forward, end of year	383	321	250
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	3,241	3,708	4,019
40.35 Appropriation rescinded	-2		
41.00 Transferred to other accounts	-19		
43.00 Appropriation (total discretionary)	3,220	3,708	4,019
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	1,047	1,123	1,286
68.10 Change in uncollected customer payments from Federal sources (unexpired)	48		
68.26 From offsetting collections (unavailable balances)		5	
68.90 Spending authority from offsetting collections (total discretionary)	1,095	1,128	1,286
70.00 Total new budget authority (gross)	4,315	4,836	5,305
Change in obligated balances:			
72.40 Obligated balance, start of year	1,216	1,480	1,076
73.10 Total new obligations	4,397	5,034	5,376
73.20 Total outlays (gross)	-4,117	-5,300	-5,544
73.40 Adjustments in expired accounts (net)	-337	-136	
73.45 Recoveries of prior year obligations	-33		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-48		
74.10 Change in uncollected customer payments from Federal sources (expired)	402		
74.40 Obligated balance, end of year	1,480	1,076	908

General and special funds—Continued**DIPLOMATIC AND CONSULAR PROGRAMS—Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 19-0113-0-1-153	2001 actual	2002 est.	2003 est.
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	3,621	4,068	4,469
86.93 Outlays from discretionary balances	496	1,232	1,075
87.00 Total outlays (gross)	4,117	5,300	5,544
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-637	-615	-630
88.40 Non-Federal sources	-544	-508	-656
88.90 Total, offsetting collections (cash)	-1,181	-1,123	-1,286
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-48		
88.96 Portion of offsetting collections (cash) credited to expired accounts	134		
Net budget authority and outlays:			
89.00 Budget authority	3,220	3,713	4,019
90.00 Outlays	2,937	4,177	4,258
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	3,148	3,635	3,937
90.00 Outlays	2,865	4,099	4,176

The program described below is financed by this appropriation, by fees for services, and by reimbursements from other agencies. Those agencies are provided with most of their administrative services overseas by the Department of State. The programs and activities reflect the full integration of the Arms Control and Disarmament Agency and U.S. Information Agency (excluding broadcasting activities) into the Department beginning in 2000.

Executive direction and policy formulation.—This activity identifies resources which provide sound management through the direction of the Secretary and with the assistance of staff offices, specialized offices, and functional and regional bureaus, for policy formulation and in pursuit of regional and global foreign policy objectives including the hosting of various international conferences and meetings in the United States and abroad.

Conduct of diplomatic relations.—Resources of this activity are used to provide for: the political and economic reporting and analysis of interests to the United States; the representation of U.S. diplomatic and national interests to countries abroad; and the bilateral and multilateral negotiation of our foreign policy objectives, including the hosting of and participation in various international conferences, meetings and other multilateral activities in the United States and abroad. These resources also fund the conduct of U.S. diplomatic policy through political and multilateral affairs, economic and social affairs, international budgetary and management affairs, and participation in and hosting various international conferences. Resources also fund the management of U.S. participation in arms control, nonproliferation, and disarmament negotiations and other verification and compliance activities, in addition to funds otherwise available for such purposes.

Conduct of consular relations.—Activities included are: overseas and American citizen services; the issuance of passports to U.S. citizens both here and abroad; and, implementing a coordinated strategy to improve consular systems and processes in support of U.S. border security including

sharing data with the Department of Justice, the Intelligence Community and the Treasury Department. Visa services involve: the issuance, denial, and adjudication of immigrant and non-immigrant visas; refugee processing; and visa fraud detection and investigation. American citizen services include the issuance of passports and emergency assistance to American citizens abroad. Passport services include the issuance of passports in the United States and U.S. missions abroad and passport fraud detection and investigation.

Conduct of Public Diplomacy.—As a result of the merger of USIA into the Department of State in 2000, resources in this appropriation will support the conduct of international informational, educational, cultural and exchange programs of the United States and advising the President and the National Security Council on these matters. Formerly, these activities were carried out by the U.S. Information Agency. The resources in this activity are used to define, explain and advocate U.S. policies abroad and to seek to increase knowledge and understanding among foreign audiences of U.S. society and its values. Department posts also administer exchange-of-persons programs and conduct informational and cultural activities.

Professional development and training.—The professional development and training activity is a continuous process by which the Department ensures that its professionals have the skills, experience, and judgment to fulfill its functions at all levels. Training programs are designed to provide employees with the specific functional, area, and language skills needed for the conduct of foreign relations in the Department and abroad.

Information management.—This activity identifies resources that are used for the effective and efficient creation, collection, processing, transmission, dissemination, use, storage, and disposition of information required for the formulation and execution of foreign policy and for the conduct of daily business. Its requirements are driven by the informational needs of the President, the Secretary of State, the Department and its 250 missions, and approximately fifty Government agencies. Components of the information management activity include: telecommunications; classified information handling; unclassified data and word processing; pouch, mail, and publishing services; administration of an electronic and archival records management program; document classification and declassification; information security; and, provision of information management services, as appropriate, to all branches of the Government and to the public.

In all of these programs, responsibilities range from policy setting to planning and design, implementation, operation, and maintenance. The Department manages large computer and communications centers to provide administrative, consular, economic, and political information. The computer systems support worldwide consular applications, financial management systems, management of building programs, and intelligence research systems. The State Department has committed to develop a more detailed accounting of all capital investment and information technology planning.

Security.—This activity identifies resources that are used in meeting security and counter-terrorism responsibilities, including both foreign and domestic. Covered in this activity are: security operations; engineering services, which relate to the technical defense of U.S. Government personnel and establishments against electronic and physical attack; homeland security related activities; protection of dignitaries; and physical security operations.

Medical.—This activity encompasses medical programs for the Department of State, the Foreign Service, and other U.S. Government departments and agencies overseas. Services are provided in Washington as well as at missions worldwide and cover some 31,000 employees and dependents.

Administration and staff activities.—These activities include normal domestic and overseas administrative services directly related to Department programs. They include:

- The direction and control of administration and management operations, representing and negotiating U.S. Government administrative matters with foreign officials, and reviewing and setting resource levels and priorities for various programs and bureaus financed by this appropriation.
- The budgeting, financial planning, and fiscal operations for bureaus and offices financed by this appropriation and most federal agencies resident abroad.
- The management, recruitment, and performance evaluation of Foreign and Civil Service employees (particularly the recruitment of qualified minorities, including Hispanics and African Americans) and Foreign Service National staff.
- The contracting and procurement of services and supplies, maintenance and repair of equipment and physical property (including the operation and routine maintenance of property directly leased or owned by the Department), vehicle operation, and shipping and customs services.
- Centralized funding for travel and transportation of effects associated with the assignment, transfer, home leave, and separation of the Department's personnel and dependents.

Object Classification (in millions of dollars)

Identification code 19-0113-0-1-153	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	981	1,043	1,110
11.3 Other than full-time permanent	78	81	84
11.5 Other personnel compensation	75	83	89
11.8 Special personal services payments	2	2	2
11.9 Total personnel compensation	1,136	1,209	1,285
12.1 Civilian personnel benefits	437	480	508
13.0 Benefits for former personnel	2	2	2
21.0 Travel and transportation of persons	130	165	179
22.0 Transportation of things	103	128	138
23.1 Rental payments to GSA	100	104	108
23.3 Communications, utilities, and miscellaneous charges	117	124	128
24.0 Printing and reproduction	14	16	17
25.1 Advisory and assistance services	18	19	20
25.2 Other services	439	662	605
Other purchases of goods and services from Government accounts:			
25.3 Other purchases of goods and services from Government accounts	16	84	38
25.3 Purchases of goods and services from Government accounts (ICASS)	568	645	674
25.4 Operation and maintenance of facilities	30	31	32
25.6 Medical care	4	11	8
25.7 Operation and maintenance of equipment	4	14	14
26.0 Supplies and materials	66	84	87
31.0 Equipment	111	211	210
41.0 Grants, subsidies, and contributions	45	7	27
42.0 Insurance claims and indemnities	10	10	10
99.0 Direct obligations	3,350	4,006	4,090
99.0 Reimbursable obligations	1,047	1,028	1,286
99.9 Total new obligations	4,397	5,034	5,376

Personnel Summary

Identification code 19-0113-0-1-153	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	15,618	16,353	17,026
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	3,144	3,292	3,428

INTERNATIONAL INFORMATION PROGRAMS

Program and Financing (in millions of dollars)

Identification code 19-0201-0-1-154	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year	31	16	11
73.20 Total outlays (gross)	-5	-5	-5
73.40 Adjustments in expired accounts (net)	-10		
74.40 Obligated balance, end of year	16	11	6
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	5	5	5
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	5	5	5

This appropriation provided for overseas information and cultural programs of the U.S. Information Agency designed to understand, inform, and influence foreign audiences. Starting in 2000, these activities are administered by the Department of State and funded from the Diplomatic and Consular programs and other accounts within the Department of State, except that such activities as are associated with international broadcasting functions are funded from the Broadcasting Board of Governors account. This schedule reflects the spend-out of prior year funds.

ARMS CONTROL AND DISARMAMENT ACTIVITIES

Program and Financing (in millions of dollars)

Identification code 94-0100-0-1-153	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year	2	2	
73.20 Total outlays (gross)	1		
73.40 Adjustments in expired accounts (net)	-1		
74.40 Obligated balance, end of year	2		
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	-1		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-1		

This appropriation provided for arms control, nonproliferation, and disarmament activities and participation in negotiations with other countries seeking international agreements to control, reduce, or eliminate arms. These activities are now funded from the Diplomatic and Consular Programs and other accounts within the Department of State. This schedule reflects the spend-out of prior year obligations.

CAPITAL INVESTMENT FUND

For necessary expenses of the Capital Investment Fund, **[\$203,000,000] \$177,000,000**, to remain available until expended, as authorized: *Provided*, That section 135(e) of Public Law 103-236 shall not apply to funds available under this heading. (*Department of State and Related Agency Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 19-0120-0-1-153	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Obligations	122	220	177
10.00 Total new obligations	122	220	177
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	49	52	42

General and special funds—Continued

CAPITAL INVESTMENT FUND—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 19-0120-0-1-153	2001 actual	2002 est.	2003 est.
22.00 New budget authority (gross)	119	203	177
22.10 Resources available from recoveries of prior year obligations	7		
22.22 Unobligated balance transferred from other accounts		8	
23.90 Total budgetary resources available for obligation	175	263	219
23.95 Total new obligations	-122	-220	-177
24.40 Unobligated balance carried forward, end of year	52	42	42
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	97	203	177
42.00 Transferred from other accounts	22		
43.00 Appropriation (total discretionary)	119	203	177
Change in obligated balances:			
72.40 Obligated balance, start of year	73	63	106
73.10 Total new obligations	122	220	177
73.20 Total outlays (gross)	-125	-178	-180
73.45 Recoveries of prior year obligations	-7		
74.40 Obligated balance, end of year	63	106	104
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	49	102	89
86.93 Outlays from discretionary balances	76	76	90
87.00 Total outlays (gross)	125	178	180
Net budget authority and outlays:			
89.00 Budget authority	119	203	177
90.00 Outlays	125	178	180

The Capital Investment Fund provides for the procurement of information technology and other related capital investments for the Department of State and is designed to ensure the efficient management, coordination, operation and utilization of such resources. The fund is used as a tool to acquire and maintain information technology and other related capital investments necessary to improve operational performance in light of the rapidly advancing technological environment.

The State Department has begun a comprehensive review of its IT investment process. The Department intends to complete an enterprise architecture to guide IT investments and increase the percentage of projects covered by a central capital planning process to at least 70 percent of total spending and all of the planned major project spending.

Object Classification (in millions of dollars)

Identification code 19-0120-0-1-153	2001 actual	2002 est.	2003 est.
25.2 Other services	72	100	107
31.0 Equipment	50	120	70
99.9 Total new obligations	122	220	177

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General, **[\$29,000,000] \$30,791,380**, notwithstanding section 209(a)(1) of the Foreign Service Act of 1980, as amended (Public Law 96-465), as it relates to post inspections. (*Department of State and Related Agency Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 19-0529-0-1-153	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Inspections and audits	24	25	25
00.03 Administration and staff activities	6	6	6

10.00 Total new obligations	30	31	31
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	29	31	31
23.95 Total new obligations	-30	-31	-31
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	29	31	31
Change in obligated balances:			
72.40 Obligated balance, start of year	5	6	5
73.10 Total new obligations	30	31	31
73.20 Total outlays (gross)	-28	-30	-30
73.40 Adjustments in expired accounts (net)	-1		
74.40 Obligated balance, end of year	6	5	5
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	24	26	26
86.93 Outlays from discretionary balances	4	4	5
87.00 Total outlays (gross)	28	30	30
Net budget authority and outlays:			
89.00 Budget authority	29	31	31
90.00 Outlays	27	30	30
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	28	29	29
90.00 Outlays	26	28	28

This appropriation provides for the conduct or supervision of all audits, investigations, and inspections of the Department's programs and operations as mandated by the Inspector General Act of 1978, as amended, and the Foreign Service Act of 1980, as amended. The objectives of the Office of the Inspector General are to: (1) improve the economy, efficiency, and effectiveness of the Department's operations; (2) detect and prevent fraud, waste, abuse and mismanagement, and (3) evaluate independently the formulation, applicability, and implementation of security standards at all U.S. diplomatic and consular posts. The Office also assesses the implementation of U.S. foreign policy, primarily through its inspection of all overseas posts and domestic offices on a cyclical basis. The State Department's IG also serves as Inspector General of the Broadcasting Board of Governors, as mandated by law.

Object Classification (in millions of dollars)

Identification code 19-0529-0-1-153	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	19	19	19
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	20	20	20
12.1 Civilian personnel benefits	5	6	6
21.0 Travel and transportation of persons	2	2	2
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	2	2	2
99.9 Total new obligations	30	31	31

Personnel Summary

Identification code 19-0529-0-1-153	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	232	235	235

EDUCATIONAL AND CULTURAL EXCHANGE PROGRAMS

For expenses of educational and cultural exchange programs, as authorized, **[\$237,000,000] \$247,063,000**, to remain available until

expended: *Provided*, That not to exceed \$2,000,000, to remain available until expended, may be credited to this appropriation from fees or other payments received from or in connection with English teaching, educational advising and counseling programs, and exchange visitor programs as authorized. (*Department of State and Related Agency Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 19-0209-0-1-154	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Academic Programs	142	141	143
00.02 Professional/Cultural Exchanges	70	79	73
00.03 Exchanges Support	25	29	31
00.04 Freedom Support Act Exchanges	109		
00.05 SEED Exchanges	11		
00.06 ESF Exchanges	15		
01.00 Subtotal, Direct Obligations	372	249	247
09.00 Reimbursable program	10	2	2
10.00 Total new obligations	382	251	249
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	34	40	29
22.00 New budget authority (gross)	368	239	247
22.10 Resources available from recoveries of prior year obligations	6		
22.22 Unobligated balance transferred from other accounts	14		
23.90 Total budgetary resources available for obligation	422	279	276
23.95 Total new obligations	-382	-251	-249
24.40 Unobligated balance carried forward, end of year	40	29	29
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	234	239	247
40.35 Appropriation rescinded	-1		
42.00 Transferred from other accounts	125		
43.00 Appropriation (total discretionary)	358	239	247
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	12		
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-2		
68.90 Spending authority from offsetting collections (total discretionary)	10		
70.00 Total new budget authority (gross)	368	239	247
Change in obligated balances:			
72.40 Obligated balance, start of year	244	314	290
73.10 Total new obligations	382	251	249
73.20 Total outlays (gross)	-311	-274	-278
73.40 Adjustments in expired accounts (net)	3		
73.45 Recoveries of prior year obligations	-6		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	2		
74.40 Obligated balance, end of year	314	290	259
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	161	121	125
86.93 Outlays from discretionary balances	150	153	153
87.00 Total outlays (gross)	311	274	278
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-12		
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	2		
Net budget authority and outlays:			
89.00 Budget authority	358	239	247
90.00 Outlays	300	274	278

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	356	237	245
90.00 Outlays	298	272	276

This appropriation provides funding for international exchange programs authorized by the Mutual Educational and Cultural Exchange Act of 1961, as amended, to support U.S. foreign, economic, and security policy objectives and to assist in the development of friendly, sympathetic, and peaceful relations between the United States and other countries. These goals are addressed by fostering increased mutual understanding through international exchange and training activities. Programs under this appropriation include:

Academic Exchanges.—Includes the J. William Fulbright Educational Exchange Program for the exchange of students, scholars, and teachers between the United States and foreign countries; the Hubert H. Humphrey Fellowship Program of academic study and internships in the United States for mid-career professionals from developing countries; specially targeted graduate- and postdoctoral-level studies and research activities; U.S. overseas educational advising centers; American overseas research centers; programs in support of the study of the United States in other countries designed to promote better foreign understanding of the United States; and the Center for Cultural and Technical Interchange Between North and South (Dante B. Fascell North/South Center).

Professional/Cultural Exchanges.—Includes the International Visitor Program which supports professional development travel to the United States by current and emerging foreign leaders to obtain firsthand knowledge about the United States, its people, politics and culture; cooperative programs with non-governmental organizations, such as the Citizen Exchange Program which awards grants to U.S. non-profit organizations for professional, cultural, institutional, and grassroots community exchanges with foreign counterparts; and other programs.

Interagency Appropriation Transfers.—Includes primarily democracy and free-market development programs for the exchange of students, scholars, and professionals between the United States and the Newly Independent States of the former Soviet Union (NIS) and Central and Eastern Europe under the Freedom Support Act of 1992 and the Support for East European Democracy Act of 1989 (funded through appropriation transfers from USAID).

Exchanges Support.—Includes all domestic staff and support costs related to exchanges managed by the Bureau of Educational and Cultural Affairs; overseas staff and support costs related to English teaching abroad; government-wide exchanges coordination; and performance measurement of programs in accordance with the Government Performance and Results Act of 1993.

Object Classification (in millions of dollars)

Identification code 19-0209-0-1-154	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	18	19	20
12.1 Civilian personnel benefits	7	8	8
21.0 Travel and transportation of persons	2	2	2
25.2 Other services	6	3	3
41.0 Grants, subsidies, and contributions	339	217	214
99.0 Direct obligations	372	249	247
99.0 Reimbursable obligations	10	2	2
99.9 Total new obligations	382	251	249

General and special funds—Continued

EDUCATIONAL AND CULTURAL EXCHANGE PROGRAMS—Continued

Personnel Summary

Identification code 19-0209-0-1-154	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	305	308	308

EMBASSY SECURITY, CONSTRUCTION, AND MAINTENANCE

For necessary expenses for carrying out the Foreign Service Buildings Act of 1926, as amended (22 U.S.C. 292-300), preserving, maintaining, repairing, and planning for buildings that are owned or directly leased by the Department of State, renovating, in addition to funds otherwise available, the Harry S Truman Building, and carrying out the Diplomatic Security Construction Program as authorized, **[\$458,000,000] \$553,011,000**, to remain available until expended as authorized, of which not to exceed \$25,000 may be used for domestic and overseas representation as authorized: *Provided*, That none of the funds appropriated in this paragraph shall be available for acquisition of furniture, furnishings, or generators for other departments and agencies.

In addition, for the costs of worldwide security upgrades, acquisition, and construction as authorized, **[\$815,960,000] \$755,000,000**, to remain available until expended. (*Department of State and Related Agency Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 19-0535-0-1-153	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.02 Worldwide Security Upgrades	466	835	692
00.03 Non-Security Capital Construction	10	81	50
00.05 Operations	458	446	503
00.06 Headquarters	28	7	7
00.09 Kosovo	6	12	11
01.00 Total direct program	968	1,381	1,263
09.01 Reimbursable program	110	170	175
10.00 Total new obligations	1,078	1,551	1,438
Budgetary resources available for obligation:			
Unobligated balance carried forward, start of year:			
21.40 Unobligated balance carried forward, start of year	495	753	745
21.40 Unobligated balance carried forward, start of year	157	128	143
21.99 Total unobligated balance carried forward, start of year	652	881	888
22.00 New budget authority (gross)	1,246	1,449	1,488
22.10 Resources available from recoveries of prior year obligations	61	56
22.22 Unobligated balance transferred from other accounts	53
23.90 Total budgetary resources available for obligation	1,959	2,439	2,376
23.95 Total new obligations	-1,078	-1,551	-1,438
Unobligated balance carried forward, end of year:			
24.40 Unobligated balance carried forward, end of year	753	745	795
24.40 Unobligated balance carried forward, end of year	128	143	143
24.99 Total unobligated balance carried forward, end of year	881	888	938
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1,083	1,277	1,308
40.35 Appropriation rescinded	-2
43.00 Appropriation (total discretionary)	1,081	1,277	1,308
Spending authority from offsetting collections:			
Offsetting collections (cash):			
68.00 Offsetting collections from operations (cash)	176	106	105
68.00 Asset Management Program (cash)	10	66	75
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-21
68.90 Spending authority from offsetting collections (total discretionary)	165	172	180

70.00 Total new budget authority (gross)	1,246	1,449	1,488
Change in obligated balances:			
72.40 Obligated balance, start of year	668	872	1,345
73.10 Total new obligations	1,078	1,551	1,438
73.20 Total outlays (gross)	-834	-1,022	-1,156
73.45 Recoveries of prior year obligations	-61	-56
74.00 Change in uncollected customer payments from Federal sources (unexpired)	21
74.40 Obligated balance, end of year	872	1,345	1,627
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	310	370	401
86.93 Outlays from discretionary balances	524	652	755
87.00 Total outlays (gross)	834	1,022	1,156
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-73	-64	-64
88.40 Non-Federal sources	-113	-108	-116
88.90 Total, offsetting collections (cash)	-186	-172	-180
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	21
Net budget authority and outlays:			
89.00 Budget authority	1,081	1,277	1,308
90.00 Outlays	649	850	976

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	1,078	1,274	1,305
90.00 Outlays	646	847	973

Under the direction of the Secretary of State, the overall mission of the Bureau of Overseas Buildings Operations is to ensure that U.S. Diplomatic and Consular Missions abroad are provided safe, secure and functional facilities which will assist them in achieving the foreign policy objectives of the United States. Specific program functions in support of the mission include: providing guidance concerning overseas facilities to posts, regional bureaus and other foreign affairs agencies; providing expert facilities and space planning to posts; overseeing the design, construction and renovation of diplomatic facilities; incorporating security features into overseas and domestic facilities and ensuring the security of facilities during construction or renovation; establishing standards and policies for overseas housing; developing, in conjunction with posts, maintenance programs for post facilities and keeping inventory of maintenance requirements; ensuring the safety of the building occupants through the development of fire/life safety programs; and providing real property management that establishes priorities for the acquisition and disposal of real property, determines the best use for proceeds from the sale of real property, and maintains an inventory of U.S. Government real property holdings overseas. The Department intends to initiate a rent-surcharge pilot program in 2003 and fully implement the program in 2004. The purpose of the program is to have all agencies (including State) pay a fair share for their presence overseas. Funds collected through the rent-surcharge will be used for the construction of new, secure United States Government facilities worldwide.

The objective of the Asset Management Program is to obtain the best use of diplomatic and consular properties overseas through sale, exchange, or redevelopment. Most often, this involves the sale of surplus or underutilized properties and reinvestment of the proceeds in properties that provide a greater return to the U.S. Government. Balances realized are slated for long-term capital investment that contains the

growth of U.S. Government leasehold requirements (by acquiring property that reduces the need for leased facilities) or that addresses a high-priority need for new construction in lieu of appropriated resources.

This appropriation also provides for capital expenditures necessary to preserve, maintain, repair, and plan for buildings that are owned or directly leased by the Department of State in the United States and, in addition to funds otherwise made available, the renovation of the Main State building and Blair House.

Object Classification (in millions of dollars)				
Identification code 19-0535-0-1-153	2001 actual	2002 est.	2003 est.	
Direct obligations:				
Personnel compensation:				
11.1 Full-time permanent	35	36	35	
11.5 Other personnel compensation	32	32	32	
11.9 Total personnel compensation	67	68	67	
12.1 Civilian personnel benefits	24	25	25	
21.0 Travel and transportation of persons	12	13	14	
22.0 Transportation of things	4	6	6	
23.2 Rental payments to others	196	157	162	
24.0 Printing and reproduction	2	1	1	
25.2 Other services	397	412	328	
26.0 Supplies and materials	28	32	33	
31.0 Equipment	31	60	62	
32.0 Land and structures	205	606	564	
41.0 Grants, subsidies, and contributions	2	1	1	
99.0 Direct obligations	968	1,381	1,263	
99.0 Reimbursable obligations	110	170	175	
99.9 Total new obligations	1,078	1,551	1,438	

Personnel Summary

Identification code 19-0535-0-1-153	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	577	787	787
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	10	10	10

SECURITY AND MAINTENANCE OF UNITED STATES MISSIONS (SPECIAL FOREIGN CURRENCY PROGRAM)

Program and Financing (in millions of dollars)

Identification code 19-0538-0-1-153	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Rents, M&R, Utilities			1
10.00 Total new obligations (object class 25.4)			1
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	1
23.95 Total new obligations			-1
24.40 Unobligated balance carried forward, end of year	1	1	
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	1
73.10 Total new obligations			1
73.20 Total outlays (gross)			-1
74.40 Obligated balance, end of year	1	1	
Outlays (gross), detail:			
86.93 Outlays from discretionary balances			1
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			1

Amounts in this fund are used to acquire real property by lease, purchase, or construction; and to maintain, repair,

or replace facilities in those localities where the U.S. Government owns excess foreign currency. This program will be terminated once balances from previous years have been expended.

REPRESENTATION ALLOWANCES

For representation allowances as authorized, **[\$6,485,000]** \$9,000,000. (Department of State and Related Agency Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 19-0545-0-1-153	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	6	6	9
10.00 Total new obligations (object class 26.0)	6	6	9
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	6	6	9
23.95 Total new obligations	-6	-6	-9
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	6	6	9
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	1
73.10 Total new obligations	6	6	9
73.20 Total outlays (gross)	-6	-6	-9
74.40 Obligated balance, end of year	1	1	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	5	5	8
86.93 Outlays from discretionary balances	1	1	1
87.00 Total outlays (gross)	6	6	9
Net budget authority and outlays:			
89.00 Budget authority	6	6	9
90.00 Outlays	6	6	9

Amounts in this fund are used to reimburse, in part, State Department personnel for expenses incurred for official representation activities abroad and at missions to international organizations in the United States.

PROTECTION OF FOREIGN MISSIONS AND OFFICIALS

For expenses, not otherwise provided, to enable the Secretary of State to provide for extraordinary protective services, as authorized, **[\$9,400,000]** \$11,000,000, to remain available until September 30, **[2003]** 2004. (Department of State and Related Agency Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 19-0520-0-1-153	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Missions and officials to United Nations	11	8	9
00.02 Missions and officials in United States	6	1	2
10.00 Total new obligations (object class 41.0)	17	9	11
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	15	9	11
22.10 Resources available from recoveries of prior year obligations	2		
23.90 Total budgetary resources available for obligation	17	9	11
23.95 Total new obligations	-17	-9	-11
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	15	9	11
Change in obligated balances:			
72.40 Obligated balance, start of year	7	11	11

General and special funds—Continued

PROTECTION OF FOREIGN MISSIONS AND OFFICIALS—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 19-0520-0-1-153	2001 actual	2002 est.	2003 est.
73.10 Total new obligations	17	9	11
73.20 Total outlays (gross)	-11	-12	-10
73.40 Adjustments in expired accounts (net)	-1		
73.45 Recoveries of prior year obligations	-2		
74.10 Change in uncollected customer payments from Federal sources (expired)	1	2	
74.40 Obligated balance, end of year	11	11	12
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	5	3	3
86.93 Outlays from discretionary balances	6	9	7
87.00 Total outlays (gross)	11	12	10
Net budget authority and outlays:			
89.00 Budget authority	15	9	11
90.00 Outlays	11	12	10

This appropriation provides for extraordinary protection: (1) in New York, of foreign missions and officials (including those accredited to the United Nations and other international organizations), and visiting foreign dignitaries under certain circumstances; and, (2) in certain other metropolitan areas in the United States, of international organizations, foreign missions and officials, and visiting foreign dignitaries under certain circumstances. Funds may also be used to: reimburse State or local authorities, contract for services by private security firms; or, to reimburse Federal agencies for extraordinary protective services.

EMERGENCIES IN THE DIPLOMATIC AND CONSULAR SERVICE

For expenses necessary to enable the Secretary of State to meet unforeseen emergencies arising in the Diplomatic and Consular Service, **[\$6,500,000] \$15,000,000**, to remain available until expended as authorized, of which not to exceed \$1,000,000 may be transferred to and merged with the Repatriation Loans Program Account, subject to the same terms and conditions. (*Department of State and Related Agency Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 19-0522-0-1-153	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Rewards	4	14	23
00.02 Other activities	7	18	18
10.00 Total new obligations (object class 91.0)	11	32	41
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	6	44	29
22.00 New budget authority (gross)	46	7	15
22.10 Resources available from recoveries of prior year obligations	3		
22.22 Unobligated balance transferred from other accounts		10	
23.90 Total budgetary resources available for obligation	55	61	44
23.95 Total new obligations	-11	-32	-41
24.40 Unobligated balance carried forward, end of year	44	29	3
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	5	7	15
42.00 Transferred from other accounts	41		
43.00 Appropriation (total discretionary)	46	7	15
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	2		
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-2		
68.90 Spending authority from offsetting collections (total discretionary)			

70.00 Total new budget authority (gross)	46	7	15
Change in obligated balances:			
72.40 Obligated balance, start of year	8	9	15
73.10 Total new obligations	11	32	41
73.20 Total outlays (gross)	-8	-26	-48
73.45 Recoveries of prior year obligations	-3		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	2		
74.40 Obligated balance, end of year	9	15	8
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	4	5	11
86.93 Outlays from discretionary balances	4	21	37
87.00 Total outlays (gross)	8	26	48
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-2		
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	2		
Net budget authority and outlays:			
89.00 Budget authority	46	7	15
90.00 Outlays	6	26	48

These funds are used primarily for purposes authorized by section 4 of the State Department Basic Authorities Act of 1956, as amended (22 U.S.C. 2671), for rewards authorized by section 36 of that Act, as amended (22 U.S.C. 2708), and for purposes authorized by section 804(3) of the United States Information and Educational Exchange Act of 1948, as amended (22 U.S.C. 1474(3)).

BUYING POWER MAINTENANCE

Program and Financing (in millions of dollars)

Identification code 19-0524-0-1-153	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	13	16	16
22.00 New budget authority (gross)	4		
23.90 Total budgetary resources available for obligation	17	16	16
24.40 Unobligated balance carried forward, end of year	16	16	16
New budget authority (gross), detail:			
Discretionary:			
42.00 Transferred from other accounts	4		
Net budget authority and outlays:			
89.00 Budget authority	4		
90.00 Outlays			

This account is available to offset losses due to exchange rate and overseas wage and price fluctuations unanticipated in the budget. Any gains due to fluctuations will be merged with this account to be available to offset future losses.

PAYMENT TO THE AMERICAN INSTITUTE IN TAIWAN

For necessary expenses to carry out the Taiwan Relations Act, Public Law 96-8, **[\$17,044,000] \$18,817,000**. (*Department of State and Related Agency Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 19-0523-0-1-153	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	16	17	19
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	16	17	19
23.95 Total new obligations	-16	-17	-19

New budget authority (gross), detail:			
Discretionary:			
40.00	Appropriation	16	17 19
Change in obligated balances:			
72.40	Obligated balance, start of year	5	
73.10	Total new obligations	16	17 19
73.20	Total outlays (gross)	-21	-17 -19
Outlays (gross), detail:			
86.90	Outlays from new discretionary authority	16	17 19
86.93	Outlays from discretionary balances	5	
87.00	Total outlays (gross)	21	17 19
Net budget authority and outlays:			
89.00	Budget authority	16	17 19
90.00	Outlays	21	17 19

Object Classification (in millions of dollars)			
Identification code 19-0523-0-1-153	2001 actual	2002 est.	2003 est.
11.8	Personnel compensation: Special personal services payments	8	9 10
12.1	Civilian personnel benefits	2	2 3
23.2	Rental payments to others	3	3 3
25.2	Other services	2	2 2
31.0	Equipment	1	1 1
99.9	Total new obligations	16	17 19

The Taiwan Relations Act (Public Law 96-8) requires programs with respect to Taiwan to be carried out by or through the American Institute in Taiwan. AIT supports U.S. interests by promoting U.S. exports, economic and commercial services, cultural and information exchange, facilitating military sales, providing consular related services for Americans and the people on Taiwan, and on behalf of the Department of State and various U.S. Government agencies, carrying out liaison with Taiwan's counterpart organizations.

The Department will continue to contract with the Institute to conduct commercial, cultural, and other relations with the people on Taiwan.

PAYMENT TO THE FOREIGN SERVICE RETIREMENT AND DISABILITY FUND

For payment to the Foreign Service Retirement and Disability Fund, as authorized by law, **[\$135,629,000] \$138,200,000.** (*Department of State and Related Agency Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)			
Identification code 19-0540-0-1-153	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00	Total new obligations (object class 42.0)	166	172 174
Budgetary resources available for obligation:			
22.00	New budget authority (gross)	166	172 174
23.95	Total new obligations	-166	-172 -174
New budget authority (gross), detail:			
Mandatory:			
60.00	Appropriation	166	172 174
Change in obligated balances:			
73.10	Total new obligations	166	172 174
73.20	Total outlays (gross)	-166	-172 -174
Outlays (gross), detail:			
86.97	Outlays from new mandatory authority	166	172 174
Net budget authority and outlays:			
89.00	Budget authority	166	172 174
90.00	Outlays	166	172 174

The current appropriation finances, by 30 equal annual installments, any unfunded liability created by new or liberal-

ized benefits, new groups of beneficiaries, and salary increases. In addition, the appropriation also finances the annual balance of the Foreign Service normal cost not met by employee and employer contributions.

The 2003 permanent appropriation provides a payment to the fund for disbursements attributable to liability from military service, the Foreign Service Pension System, and unfunded interest of the Foreign Service Retirement and Disability System.

FOREIGN SERVICE NATIONAL DEFINED CONTRIBUTIONS RETIREMENT FUND

Unavailable Collections (in millions of dollars)			
Identification code 19-5497-0-2-602	2001 actual	2002 est.	2003 est.
01.99	Balance, start of year		1
Receipts:			
02.40	Interest on investments, Forei	1	1
02.41	Employing agency contributions	1	1
02.99	Total receipts and collections	2	2
04.00	Total: Balances and collections	2	3
Appropriations:			
05.00	Foreign service national defined contributions retirement fund	-1	-1
07.99	Balance, end of year	1	2

Program and Financing (in millions of dollars)			
Identification code 19-5497-0-2-602	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01	Retiree payments	1	1
10.00	Total new obligations (object class 42.0)	1	1
Budgetary resources available for obligation:			
22.00	New budget authority (gross)	1	1
23.95	Total new obligations	-1	-1

New budget authority (gross), detail:			
Mandatory:			
Identification code 19-5497-0-2-602	2001 actual	2002 est.	2003 est.
60.20	Appropriation (special fund)	1	1
Change in obligated balances:			
73.10	Total new obligations	1	1
73.20	Total outlays (gross)	-1	-1
Outlays (gross), detail:			
86.98	Outlays from mandatory balances	1	1
Net budget authority and outlays:			
89.00	Budget authority	1	1
90.00	Outlays	1	1

This is a new retirement fund for Foreign Service Nationals (FSNs) employed by the State Department and other Foreign Affairs agencies. The purpose of the fund is to accumulate and distribute US Government contributions for defined end-of-service benefits for FSNs at overseas US diplomatic missions where it has been determined that participation in the local social security system is not in the best interest of the employees and the US Government. State will determine which countries will be eligible for participating in the fund. Upon retirement, payments will be made from the fund as a lump sum paid directly to the employee or designated survivor.

Intragovernmental funds:

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 19-4519-0-4-153	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Publishing services	34	42	43
09.02 Supply services	4	5	5
09.03 Central support services	156	154	157
09.04 International cooperative administrative support services (ICASS)	868	1,026	1,104
10.00 Total new obligations	1,062	1,227	1,309
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	64	78	78
22.00 New budget authority (gross)	1,026	1,227	1,309
22.10 Resources available from recoveries of prior year obligations	50		
23.90 Total budgetary resources available for obligation	1,140	1,305	1,387
23.95 Total new obligations	-1,062	-1,227	-1,309
24.40 Unobligated balance carried forward, end of year	78	78	78
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	1,090	1,227	1,309
69.10 Change in uncollected customer payments from Federal sources (unexpired)	-64		
69.90 Spending authority from offsetting collections (total mandatory)	1,026	1,227	1,309
Change in obligated balances:			
72.40 Obligated balance, start of year	66	69	69
73.10 Total new obligations	1,062	1,227	1,309
73.20 Total outlays (gross)	-1,073	-1,227	-1,309
73.45 Recoveries of prior year obligations	-50		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	64		
74.40 Obligated balance, end of year	69	69	69
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	1,073	1,227	1,179
86.98 Outlays from mandatory balances			130
87.00 Total outlays (gross)	1,073	1,227	1,309
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-1,090	-1,227	-1,309
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	64		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-16		

This fund, authorized by section 13 of the State Department Basic Authorities Act of 1956 (22 U.S.C. 2684), finances on a reimbursable basis, certain administrative services, such as printing and reproduction, editorial material, motor pool operations and dispatch agencies operations, inter-agency cooperative administrative support services, and expenses of carrying out the Foreign Missions Act, including any acquisitions of property under section 204(f) of the State Department Basic Authorities Act of 1956 (22 U.S.C. 4304(f)).

Using the Working Capital Fund, the International Cooperative Administrative Support Services (ICASS) program was fully implemented in 1998. ICASS restructures overseas administrative support activities to allow more decision-making and managerial participation by all participating agencies, more equitable cost distribution, and incentives for efficient provision of services. Under ICASS, each agency represented at an overseas post chooses the services it wishes to receive and pays a proportional share of the cost of those services. Working through inter-agency councils at each overseas post, all agencies have a say in determining post administrative

budgets and defining service standards, as well as reviewing costs and vendor performance.

Object Classification (in millions of dollars)

Identification code 19-4519-0-4-153	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	247	289	311
11.3 Other than full-time permanent	118	138	148
11.5 Other personnel compensation	5	6	6
11.9 Total personnel compensation	370	433	465
12.1 Civilian personnel benefits	112	131	140
13.0 Benefits for former personnel	1	2	2
21.0 Travel and transportation of persons	19	22	23
22.0 Transportation of things	43	48	51
23.2 Rental payments to others	100	113	120
23.3 Communications, utilities, and miscellaneous charges	69	78	83
24.0 Printing and reproduction	9	10	10
25.2 Other services	204	230	244
26.0 Supplies and materials	63	74	79
31.0 Equipment	63	72	77
41.0 Grants, subsidies, and contributions	12	14	15
91.0 Unvouchered	-3		
99.9 Total new obligations	1,062	1,227	1,309

Personnel Summary

Identification code 19-4519-0-4-153	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	7,333	7,333	7,333

Credit accounts:

REPATRIATION LOANS PROGRAM ACCOUNT

For the cost of direct loans, \$612,000, as authorized: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974. In addition, for administrative expenses necessary to carry out the direct loan program, \$607,000, which may be transferred to and merged with the Diplomatic and Consular Programs account under Administration of Foreign Affairs. (*Department of State and Related Agency Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 19-0601-0-1-153	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct loan subsidy	1	1	1
10.00 Total new obligations (object class 41.0)	1	1	1
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	
22.00 New budget authority (gross)	1	1	1
23.90 Total budgetary resources available for obligation	2	2	1
23.95 Total new obligations	-1	-1	-1
24.40 Unobligated balance carried forward, end of year	1		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1	1	1
Change in obligated balances:			
73.10 Total new obligations	1	1	1
73.20 Total outlays (gross)	-1	-1	-1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1	1	1
Net budget authority and outlays:			
89.00 Budget authority	1	1	1
90.00 Outlays	1	1	1

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 19-0601-0-1-153	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Repatriation Direct Loans	1	1	1
115901 Total direct loan levels	1	1	1
Direct loan subsidy (in percent):			
132001 Direct loan levels	80.00	80.00	80.00
132901 Weighted average subsidy rate	80.00	80.00	80.00
Direct loan subsidy budget authority:			
133001 Subsidy budget authority	1	1	1
133901 Total subsidy budget authority	1	1	1
Direct loan subsidy outlays:			
134001 Subsidy outlays	1	1	1
134901 Total subsidy outlays	1	1	1

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs and administrative expenses associated with the direct loans. The subsidy amounts are estimated on a present value basis, the administrative expenses are estimated on a cash basis.

REPATRIATION LOANS FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 19-4107-0-3-153	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct loans	1	1	1
10.00 Total new obligations	1	1	1
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1		
22.00 New financing authority (gross)	2	2	2
22.10 Resources available from recoveries of prior year obligations	-1		
23.90 Total budgetary resources available for obligation	2	2	2
23.95 Total new obligations	-1	-1	-1
New financing authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	2	2	2
Change in obligated balances:			
72.40 Obligated balance, start of year	1	2	2
73.10 Total new obligations	1	1	1
73.20 Total financing disbursements (gross)	-1	-1	-1
73.45 Recoveries of prior year obligations	1		
74.40 Obligated balance, end of year	2	2	2
87.00 Total financing disbursements (gross)	1	1	1
Offsets:			
Against gross financing authority and financing disbursements:			
88.00 Offsetting collections (cash) from: Payments from program account	-2	-2	-2
Net financing authority and financing disbursements:			
89.00 Financing authority			
90.00 Financing disbursements	-1	-1	-1

Status of Direct Loans (in millions of dollars)

Identification code 19-4107-0-3-153	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans	1	1	1
1150 Total direct loan obligations	1	1	1

Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	4	4	4
1231	Disbursements: Direct loan disbursements	1	1	1
1263	Write-offs for default: Direct loans	-1	-1	-1
1290	Outstanding, end of year	4	4	4

Balance Sheet (in millions of dollars)

Identification code 19-4107-0-3-153	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1601	Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable: Direct loans, gross	1	1	1
1999	Total assets	1	1	1
LIABILITIES:				
2104	Federal liabilities: Resources payable to Treasury	1	1	1
2999	Total liabilities	1	1	1
NET POSITION:				
3300	Cumulative results of operations			
3999	Total net position			
4999	Total liabilities and net position	1	1	1

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans starting with obligations made in 1992 (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Trust Funds

FOREIGN SERVICE RETIREMENT AND DISABILITY FUND

Unavailable Collections (in millions of dollars)

Identification code 19-8186-0-7-602	2001 actual	2002 est.	2003 est.	
01.99	Balance, start of year	10,658	11,192	11,735
Receipts:				
02.00	Deductions from employees salaries	25	25	25
02.40	Interest on investments	752	778	804
02.41	Employing agency contributions	135	133	137
02.42	Receipts from civil service retirement and disability fund	1	1	1
02.43	Federal contributions	210	217	219
02.99	Total receipts and collections	1,123	1,154	1,186
04.00	Total: Balances and collections	11,781	12,346	12,921
Appropriations:				
05.00	Foreign Service retirement and disability fund	-589	-611	-636
05.99	Total appropriations	-589	-611	-636
07.99	Balance, end of year	11,192	11,735	12,285

Program and Financing (in millions of dollars)

Identification code 19-8186-0-7-602	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Payments to beneficiaries	582	604	629
00.02	Refunds and gratuities	7	7	7
10.00	Total new obligations	589	611	636
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	589	611	636
23.95	Total new obligations	-589	-611	-636
New budget authority (gross), detail:				
Mandatory:				
60.26	Appropriation (trust fund)	1,122	1,154	1,154
60.28	Appropriation (unavailable balances)	10,658	11,191	11,216
60.45	Portion precluded from obligation	-11,191	-11,734	-11,734

FOREIGN SERVICE RETIREMENT AND DISABILITY FUND—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 19-8186-0-7-602	2001 actual	2002 est.	2003 est.
62.50 Appropriation (total mandatory)	589	611	636
Change in obligated balances:			
73.10 Total new obligations	589	611	636
73.20 Total outlays (gross)	-589	-611	-636
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	589	611	636
Net budget authority and outlays:			
89.00 Budget authority	589	611	636
90.00 Outlays	589	611	636
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value	10,658	11,191	11,734
92.02 Total investments, end of year: Federal securities: Par value	11,191	11,734	12,285

The fund is maintained through: (a) contributions by participants, consisting of all Foreign Service officers, Foreign Service information officers, Foreign Service reserve officers with unlimited tenure, and all Foreign Service staff officers and employees with unlimited appointments; (b) matching Government contributions; (c) special Government contributions from the Payment to the Foreign Service Retirement and Disability Fund; (d) interest on investments (22 U.S.C. 4042); and (e) voluntary contributions.

Approximately 14,800 annuitants will be paid retirement benefits from this fund in 2003, compared with an estimated 14,700 to be paid in 2002 and 14,600 paid in 2001. Gratuities and refunds represent payments to eligible former participants leaving the retirement system.

The status of the fund is as follows:

Status of Funds (in millions of dollars)

Identification code 19-8186-0-7-602	2001 actual	2002 est.	2003 est.
Unexpended balance, start of year:			
0101 U.S. Securities: Par value	10,658	11,191	11,734
0199 Total balance, start of year	10,658	11,192	11,735
Cash income during the year:			
Current law:			
Receipts:			
1200 Deductions from employees salaries, Foreign Service retirement and disability fund	25	25	25
Offsetting receipts (intragovernmental):			
1240 Interest on investments, foreign Service retire- ment and disability fund	752	778	804
1241 Employing agency contributions, foreign service retirement and disability fund	135	133	137
1242 Receipts from civil service retirement and dis- ability fund, foreign service retirement and disability fund	1	1	1
1243 Federal contributions, foreign service retirement and disability fund	210	217	219
1299 Income under present law	1,123	1,154	1,186
Cash outgo during year:			
Current law:			
4500 Foreign service retirement and disability fund	-589	-611	-636
Unexpended balance, end of year:			
8701 Federal securities: Par value	11,192	11,734	12,285
8799 Total balance, end of year	11,192	11,735	12,285

Object Classification (in millions of dollars)

Identification code 19-8186-0-7-602	2001 actual	2002 est.	2003 est.
42.0 Insurance claims and indemnities	582	604	629
44.0 Refunds	7	7	7
99.9 Total new obligations	589	611	636

FOREIGN SERVICE NATIONAL SEPARATION LIABILITY TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 19-8340-0-7-602	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	17	18	19
Receipts:			
02.40 Foreign service national separation liability trust fund, State	9	8	8
02.41 Foreign service national separation liability trust fund, AID	2	2	2
02.42 Foreign service national separation liability trust fund, BIB		1	1
02.80 Offsetting collections, Foreign service national separa- tion liability trust fund, State	1		
02.99 Total receipts and collections	12	11	11
04.00 Total: Balances and collections	29	29	30
Appropriations:			
05.00 Foreign service national separation liability trust fund, State	-8	-8	-8
05.01 Foreign service national separation liability trust fund, AID	-2	-2	-2
05.02 Foreign service national separation liability trust fund, BIB	-1		
05.99 Total appropriations	-11	-10	-10
07.99 Balance, end of year	18	19	20

Program and Financing (in millions of dollars)

Identification code 19-8340-0-7-602	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 42.0)	19	8	8
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	90	85	85
22.00 New budget authority (gross)	8	8	8
22.10 Resources available from recoveries of prior year obli- gations	2		
22.22 Unobligated balance transferred from other accounts	4		
23.90 Total budgetary resources available for obligation	104	93	93
23.95 Total new obligations	-19	-8	-8
24.40 Unobligated balance carried forward, end of year	85	85	85

New budget authority (gross), detail:

Mandatory:			
60.26 Appropriation (trust fund)	8	8	8

Change in obligated balances:

72.40 Obligated balance, start of year	2	9	9
73.10 Total new obligations	19	8	8
73.20 Total outlays (gross)	-10	-8	-8
73.45 Recoveries of prior year obligations	-2		
74.40 Obligated balance, end of year	9	9	9

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	8	8	8
86.98 Outlays from mandatory balances	2		
87.00 Total outlays (gross)	10	8	8

Net budget authority and outlays:

89.00 Budget authority	8	8	8
90.00 Outlays	10	8	8

This fund is maintained to pay separation costs for Foreign Service National employees of the Department of State in those countries in which such pay is legally authorized. The fund, as authorized by section 151 of Public Law 102-138 (22 U.S.C. 4012a), is maintained by annual government contributions which are appropriated in the Department's operating accounts and the International Narcotics Control and Law Enforcement account.

U.S. INFORMATION AGENCY FOREIGN SERVICE NATIONAL
SEPARATION LIABILITY TRUST FUND

Program and Financing (in millions of dollars)

Identification code 19-8341-0-7-602	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4		
22.21 Unobligated balance transferred to other accounts	-4		
23.90 Total budgetary resources available for obligation			
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

This fund paid separation costs for Foreign Service National employees of the United States Information Agency. In 2000, all amounts were transferred to the Department of State, except for amounts associated with the international broadcasting function, which were transferred to the Broadcasting Board of Governors' FSN Separation Liability Trust Fund.

MISCELLANEOUS TRUST FUNDS

Unavailable Collections (in millions of dollars)

Identification code 19-9971-0-7-153	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	13	6	6
Receipts:			
02.00 Department of State unconditional gift fund	1	2	2
02.01 Deposits, State conditional gift fund	1	33	2
02.02 Contributions, Educational and cultural exchange, USIA		1	1
02.40 Interest, Miscellaneous trust funds, USIA		1	1
02.99 Total receipts and collections	2	37	6
04.00 Total: Balances and collections	15	43	12
Appropriations:			
05.00 Miscellaneous trust funds	-9	-37	-5
05.99 Total appropriations	-9	-37	-5
07.99 Balance, end of year	6	6	7

Program and Financing (in millions of dollars)

Identification code 19-9971-0-7-153	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Conditional gift fund	1	31	1
00.02 Unconditional gift fund	6	5	5
00.05 Information and Exchange Programs		1	1
10.00 Total new obligations (object class 25.2)	7	37	7
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	10	12	12
22.00 New budget authority (gross)	9	37	5
23.90 Total budgetary resources available for obligation	19	49	17
23.95 Total new obligations	-7	-37	-7
24.40 Unobligated balance carried forward, end of year	12	12	10
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)	2	37	5
60.28 Appropriation (unavailable balances)	3		
60.45 Portion precluded from obligation	4		
62.50 Appropriation (total mandatory)	9	37	5
Change in obligated balances:			
72.40 Obligated balance, start of year	9	13	15
73.10 Total new obligations	7	37	7
73.20 Total outlays (gross)	-2	-35	-8
74.40 Obligated balance, end of year	13	15	14
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority		35	3

86.98 Outlays from mandatory balances	2		5
87.00 Total outlays (gross)	2	35	8

Net budget authority and outlays:

89.00 Budget authority	9	37	5
90.00 Outlays	2	35	8

Memorandum (non-add) entries:

92.02 Total investments, end of year: Federal securities:			
Par value	3		

Gift fund.—The Department has authority to accept gifts for use in carrying out the Department's functions pursuant to statute, including section 25 of the State Department Basic Authorities Act (22 U.S.C. 2697). Among other purposes, funds are used to renovate, furnish and maintain the Department's diplomatic reception rooms, and embassy properties overseas.

INTERNATIONAL ORGANIZATIONS AND
CONFERENCES

Federal Funds

General and special funds:

CONTRIBUTIONS TO INTERNATIONAL ORGANIZATIONS

For expenses, not otherwise provided for, necessary to meet annual obligations of membership in international multilateral organizations, pursuant to treaties ratified pursuant to the advice and consent of the Senate, conventions or specific Acts of Congress, **[\$850,000,000:] \$891,378,000, of which up to \$1,000,000 may be available for a United States government interagency task force to examine, coordinate and oversee U.S. participation in the United Nations headquarters renovation project: Provided,** That any payment of arrearages under this title shall be directed toward special activities that are mutually agreed upon by the United States and the respective international organization: *Provided further,* That none of the funds appropriated in this paragraph shall be available for a United States contribution to an international organization for the United States share of interest costs made known to the United States Government by such organization for loans incurred on or after October 1, 1984, through external borrowings: *Provided further,* That, of the funds appropriated in this paragraph, \$100,000,000 may be made available only pursuant to a certification by the Secretary of State that the United Nations has taken no action in calendar year 2001 prior to the date of enactment of this Act to increase funding for any United Nations program without identifying an offsetting decrease elsewhere in the United Nations budget and cause the United Nations to exceed the budget for the biennium 2000-2001 of \$2,535,700,000: *Provided further,* That if the Secretary of State is unable to make the aforementioned certification, the \$100,000,000 is to be applied to paying the current year assessment for other international organizations for which the assessment has not been paid in full or to paying the assessment due in the next fiscal year for such organizations, subject to the reprogramming procedures contained in section 605 of this Act: *Provided further,* That funds appropriated under this paragraph may be obligated and expended to pay the full United States assessment to the civil budget of the North Atlantic Treaty Organization. (Department of State and Related Agency Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 19-1126-0-1-153	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
United Nations & Affiliated Agencies:			
00.01 Food and Agriculture Organization (FAO)	82	73	73
00.02 Int'l Atomic Energy Agency (IAEA)	45	47	52
00.03 Int'l Civil Aviation Organization (ICAO)	12	12	13
00.04 Int'l Labor Organization (ILO)	56	55	50
00.05 Int'l Maritime Organization (IMO)	1	1	1
00.06 Int'l Telecommunications Union (ITU)	6	6	6
00.07 United Nations—Regular	267	251	279
00.08 United Nations—War Crimes Tribunals	20	24	27
00.09 Cambodia War Crimes Commission		3	
00.10 Iraq War Crimes Commission		4	4
00.11 UN—Capital Master Plan			8

General and special funds—Continued

CONTRIBUTIONS TO INTERNATIONAL ORGANIZATIONS—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 19-1126-0-1-153	2001 actual	2002 est.	2003 est.
00.12 UN—Capital Master Plan Task Force			1
00.13 Universal Postal Union (UPU)	1	1	1
00.14 World Health Organization (WHO)	108	108	94
00.15 World Intellectual Property Org. (WIPO)	1	1	1
00.16 World Meteorological Org. (WMO)	9	8	8
00.91 Direct Program by Activities—Subtotal UN and Affiliated Agencies	608	595	618
Inter-American Organizations:			
01.01 Inter-American Institute for Cooperation on Agriculture (IICA)	17	17	17
01.02 Organization of American States (OAS)	54	54	54
01.03 Pan American Health Organization (PAHO)	52	55	56
01.91 Direct Program by Activities—Subtotal Inter-American Organizations	123	126	127
Regional Organizations:			
02.01 Asia-Pacific Economic Cooperation (APEC)	1	1	1
02.03 North Atlantic Assembly (NATO-PA)	1	1	1
02.04 North Atlantic Treaty Organization (NATO)	41	42	45
02.05 Organization for Economic Cooperation and Development (OECD)	45	49	59
02.06 South Pacific Commission (SPC)	1	1	1
02.91 Direct Program by Activities—Subtotal Regional Organizations	89	94	107
Other International Organizations:			
03.01 Organization for the Prohibition of Chemical Weapons (OPCW)	11	11	13
03.02 OPCW—Title IV & V	3	2	4
03.03 World Trade Organization/General Agreement on Tariffs and Trade (WTO)	11	13	14
03.04 Other International Organizations	8	8	8
03.91 Direct Program by Activities—Subtotal Other International Organizations	33	34	39
04.01 UN buydown	15		
10.00 Total new obligations (object class 41.0)	869	850	891
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	869	850	891
23.95 Total new obligations	-869	-850	-891
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	871	850	891
40.35 Appropriation rescinded	-2		
43.00 Appropriation (total discretionary)	869	850	891
Change in obligated balances:			
72.40 Obligated balance, start of year	55	48	17
73.10 Total new obligations	869	850	891
73.20 Total outlays (gross)	-870	-881	-890
73.40 Adjustments in expired accounts (net)	-5		
74.40 Obligated balance, end of year	48	17	18
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	854	833	873
86.93 Outlays from discretionary balances	16	48	17
87.00 Total outlays (gross)	870	881	890
Net budget authority and outlays:			
89.00 Budget authority	869	850	891
90.00 Outlays	870	881	890

As a member of the organizations listed above, the United States contributes an assessed share of the budgets of those organizations net of certain withholdings. The purpose of this appropriation is to ensure continued American leadership within the United Nations and other international organizations that serve important U.S. interests.

CONTRIBUTIONS FOR INTERNATIONAL PEACEKEEPING ACTIVITIES

For necessary expenses to pay assessed and other expenses of international peacekeeping activities directed to the maintenance or restoration of international peace and security, **[\$844,139,000]** \$725,981,000, of which 15 percent shall remain available until September 30, **[2003]** 2004: *Provided*, That none of the funds made available under this Act shall be obligated or expended for any new or expanded United Nations peacekeeping mission unless, at least 15 days in advance of voting for the new or expanded mission in the United Nations Security Council (or in an emergency as far in advance as is practicable): (1) the Committees on Appropriations of the House of Representatives and the Senate and other appropriate committees of the Congress are notified of the estimated cost and length of the mission, the vital national interest that will be served, and the planned exit strategy; and (2) a reprogramming of funds pursuant to section 605 of this Act is submitted, and the procedures therein followed, setting forth the source of funds that will be used to pay for the cost of the new or expanded mission: *Provided further*, That funds shall be available for peacekeeping expenses only upon a certification by the Secretary of State to the appropriate committees of the Congress that American manufacturers and suppliers are being given opportunities to provide equipment, services, and material for United Nations peacekeeping activities equal to those being given to foreign manufacturers and suppliers: *Provided further*, That none of the funds made available under this heading are available to pay the United States share of the cost of court monitoring that is part of any United Nations peacekeeping mission. (*Department of State and Related Agency Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 19-1124-0-1-153	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 U.N. Disengagement Observer Force (UNDOF)	8	11	8
00.02 U.N. Interim Force in Lebanon (UNIFIL)	60	31	34
00.03 U.N. Iraq-Kuwait Observer Mission (UNIKOM)	5	6	4
00.04 UN Mission for the Referendum in Western Sahara (MINURSO)	12	15	12
00.05 U.N. Mission in Bosnia and Herzegovina (UNMIBH)	48	35	
00.06 UN Mission in Kosovo (UNMIK)	145	139	97
00.07 U.N. Mission in Cyprus (UNFICYP)	6	7	5
00.08 U.N. Observer Mission in Georgia (UNOMIG)	6	9	6
00.09 War Crimes Tribunal—Yugoslavia	12	18	15
00.10 War Crimes Tribunal—Rwanda	11	17	12
00.11 U.N. Mission in Sierra Leone (UNAMSIL)	97	382	146
00.12 U.N. Transitional Administration in East Timor (UNTAET)	151	146	58
00.13 U.N. Organization Mission in the Democratic Republic of the Congo (MONUC)	74	92	273
00.14 U.N. Mission in Ethiopia and Eritria (UNMEE)	71	63	56
00.22 Payment of outstanding FY 2000 MONUC Assessments	12		
10.00 Total new obligations (object class 41.0)	718	971	726
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		127	
22.00 New budget authority (gross)	844	844	726
23.90 Total budgetary resources available for obligation	844	971	726
23.95 Total new obligations	-718	-971	-726
24.40 Unobligated balance carried forward, end of year	127		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	846	844	726
40.35 Appropriation rescinded	-2		
43.00 Appropriation (total discretionary)	844	844	726
Change in obligated balances:			
72.40 Obligated balance, start of year	332	620	26
73.10 Total new obligations	718	971	726
73.20 Total outlays (gross)	-429	-1,565	-737
74.40 Obligated balance, end of year	620	26	15
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	120	827	711
86.93 Outlays from discretionary balances	309	738	26
87.00 Total outlays (gross)	429	1,565	737
Net budget authority and outlays:			
89.00 Budget authority	844	844	726

90.00 Outlays 429 1,565 737

This appropriation provides funds for the United States' share of the expenses associated with United Nations (UN) peacekeeping operations for which costs are distributed among UN members and are based on a scale of assessments. The purpose of this appropriation is to ensure continued American leadership in support of United Nations peacekeeping activities that serve U.S. interests in promoting international security, stability and democracy.

ARREARAGE PAYMENTS

Program and Financing (in millions of dollars)

Identification code 19-1130-0-1-153	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program		826	
10.00 Total new obligations (object class 41.0)		826	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	826	826	
23.95 Total new obligations		-826	
24.40 Unobligated balance carried forward, end of year	826		
Change in obligated balances:			
73.10 Total new obligations		826	
73.20 Total outlays (gross)		-826	
Outlays (gross), detail:			
86.93 Outlays from discretionary balances		826	
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays		826	

This account makes arrearage payments to the United Nations and other international organizations.

INTERNATIONAL CONFERENCES AND CONTINGENCIES

Program and Financing (in millions of dollars)

Identification code 19-1125-0-1-153	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	4	4
22.00 New budget authority (gross)	-3		
22.10 Resources available from recoveries of prior year obligations	5		
23.90 Total budgetary resources available for obligation	4	4	4
24.40 Unobligated balance carried forward, end of year	4	4	4
New budget authority (gross), detail:			
Discretionary:			
68.10 Spending authority from offsetting collections (change in uncollected customer payments from federal sources) (unexpired)	-3		
Change in obligated balances:			
72.40 Obligated balance, start of year	3		
73.45 Recoveries of prior year obligations	-5		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	3		
Offsets:			
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	3		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

Activities formerly funded by this account are now funded by State's Diplomatic and Consular Programs account. No new funding is being requested in 2003 and no obligations are planned for 2002 or 2003.

INTERNATIONAL COMMISSIONS

Federal Funds

General and special funds:

INTERNATIONAL COMMISSIONS

For necessary expenses, not otherwise provided for, to meet obligations of the United States arising under treaties, or specific Acts of Congress, as follows:

INTERNATIONAL BOUNDARY AND WATER COMMISSION, UNITED STATES AND MEXICO

For necessary expenses for the United States Section of the International Boundary and Water Commission, United States and Mexico, and to comply with laws applicable to the United States Section, including not to exceed \$6,000 for representation; as follows:

SALARIES AND EXPENSES

For salaries and expenses, not otherwise provided for, **[\$24,705,000] \$28,387,037.** (Department of State and Related Agency Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 19-1069-0-1-301	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Administration	5	6	7
00.02 Engineering	2	2	2
00.03 Operation and maintenance		18	19
09.01 Reimbursable program		6	6
10.00 Total new obligations	7	32	34
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	7	32	34
23.95 Total new obligations	-7	-32	-34
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	7	26	28
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)		3	3
68.10 Change in uncollected customer payments from Federal sources (unexpired)		3	3
68.90 Spending authority from offsetting collections (total discretionary)		6	6
70.00 Total new budget authority (gross)	7	32	34
Change in obligated balances:			
72.40 Obligated balance, start of year	6	3	3
73.10 Total new obligations	7	32	34
73.20 Total outlays (gross)	-10	-29	-34
73.40 Adjustments in expired accounts (net)	-1		
74.00 Change in uncollected customer payments from Federal sources (unexpired)		-3	-3
74.40 Obligated balance, end of year	3	3	
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	5	28	30
86.93 Outlays from discretionary balances	5	1	4
87.00 Total outlays (gross)	10	29	34
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources		-3	-3
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)		-3	-3
Net budget authority and outlays:			
89.00 Budget authority	7	26	28

General and special funds—Continued**SALARIES AND EXPENSES—Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 19-1069-0-1-301	2001 actual	2002 est.	2003 est.
90.00 Outlays	10	26	31

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	7	25	27
90.00 Outlays	10	25	30

Pursuant to treaties between the United States and Mexico and United States law, the U.S. Section of the International Boundary and Water Commission is charged with the identification and solution of boundary and water problems arising along the 1,952-mile common border, including the southern borders of Texas, New Mexico, Arizona and California. In 2002, Operations and Maintenance activities are moved back to the Salaries and Expenses appropriation. Administration and Engineering activities are also funded by the Salaries and Expenses appropriation.

Administration.—Resources under this heading provide for: negotiations and supervision of joint projects—with Mexico to solve international boundary, water, and environmental problems; overall control of the operation of the U.S. section of the Commission; formulation of operating policies and procedures; support to the Border Environmental Cooperation Commission; and, financial management and administrative services to carry out international obligations of the United States, pursuant to treaty and congressional authorization.

Engineering.—Resources under this heading provide for: (a) technical engineering guidance and supervision of the planning, construction, operation and maintenance, and environmental monitoring and compliance of international projects; (b) studies relating to international problems of a continuing nature; and, (c) preliminary surveys and investigations to determine the need for and feasibility of projects for the solution of international problems arising along the boundary.

Operation and maintenance.—This activity finances the measurement and determination of the national ownership of boundary waters and the distribution thereof, as well as the U.S. part of the operations and maintenance of sanitation facilities, river channel and levee projects, flood control dams and hydroelectric power, gauging stations, water quality control projects and boundary demarcation, monuments, and markers. Reimbursements are received from Mexico for O&M costs of the South Bay and Nogales International Wastewater Treatment Plants as well as from the City of Nogales for O&M at Nogales. Other reimbursements are received from the Western Area Power Administration, U.S. Department of Energy, for O&M and capital costs of hydroelectric generation at Falcon and Amistad International Dams. In 2001, this activity was funded under the Construction appropriation.

Object Classification (in millions of dollars)

Identification code 19-1069-0-1-301	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	4	12	12
12.1 Civilian personnel benefits	1	4	4
21.0 Travel and transportation of persons		1	1
22.0 Transportation of things		1	1
23.3 Communications, utilities, and miscellaneous charges		3	3
25.2 Other services	1	3	5

26.0 Supplies and materials		1	1
41.0 Grants, subsidies, and contributions		1	1
99.0 Direct obligations	6	26	28
99.0 Reimbursable obligations		6	6
99.5 Below reporting threshold	1		
99.9 Total new obligations	7	32	34

Personnel Summary

Identification code 19-1069-0-1-301	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	69	280	280
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	5	13	13

CONSTRUCTION

For detailed plan preparation and construction of authorized projects, **[\$5,450,000] \$9,516,858**, to remain available until expended, as authorized. (*Department of State and Related Agency Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 19-1078-0-1-301	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.03 Rio Grande Construction	2	2	3
00.04 American canal extension	1		
00.05 Boundary-wide radio/equipment replacement	2	2	2
00.07 Facilities renovation	2	1	1
00.08 Secondary Sewage Treatment			3
00.09 Colorado River boundary/flood control	1		1
00.10 Operation and Maintenance	16		
01.00 Total, Direct Program	24	5	10
09.01 Reimbursable program	16	10	10
10.00 Total new obligations	40	15	20
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	15	7	7
22.00 New budget authority (gross)	31	15	20
23.90 Total budgetary resources available for obligation	46	22	27
23.95 Total new obligations	-40	-15	-20
24.40 Unobligated balance carried forward, end of year	7	7	6
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	24	5	10
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	7	10	10
70.00 Total new budget authority (gross)	31	15	20
Change in obligated balances:			
72.40 Obligated balance, start of year	3	14	15
73.10 Total new obligations	40	15	20
73.20 Total outlays (gross)	-27	-14	-15
74.40 Obligated balance, end of year	14	15	20
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	23	11	12
86.93 Outlays from discretionary balances	3	3	3
87.00 Total outlays (gross)	27	14	15
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-5	-7	-7
88.45 Offsetting governmental collections (from non-Federal sources)	-2	-3	-3
88.90 Total, offsetting collections (cash)	-7	-10	-10
Net budget authority and outlays:			
89.00 Budget authority	24	5	10

90.00	Outlays	21	4	5
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)				
		2001 actual	2002 est.	2003 est.
Net budget authority and outlays:				
89.00	Budget authority	23	5	10
90.00	Outlays	20	4	5

Construction.—This activity provides for the construction of projects to solve international problems of water supply, water quality, sewage treatment, and flood damage reduction. Projects are normally constructed jointly with Mexico. This account also receives reimbursement for such projects.

Operations and Maintenance.—In 2002, this activity was transferred to the Salaries and Expenses appropriation.

Object Classification (in millions of dollars)

Identification code 19-1078-0-1-301		2001 actual	2002 est.	2003 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	7	1	1
12.1	Civilian personnel benefits	3		
23.3	Communications, utilities, and miscellaneous charges	3		
25.2	Other services	8	3	8
26.0	Supplies and materials	1		
31.0	Equipment	1	1	1
41.0	Grants, subsidies, and contributions	1		
99.0	Direct obligations	24	5	10
99.0	Reimbursable obligations	16	10	10
99.9	Total new obligations	40	15	20

Personnel Summary

Identification code 19-1078-0-1-301		2001 actual	2002 est.	2003 est.
Direct:				
1001	Total compensable workyears: Full-time equivalent employment	155	33	33
Reimbursable:				
2001	Total compensable workyears: Full-time equivalent employment	21	15	15

AMERICAN SECTIONS, INTERNATIONAL COMMISSIONS

For necessary expenses, not otherwise provided, for the International Joint Commission and the International Boundary Commission, United States and Canada, as authorized by treaties between the United States and Canada or Great Britain, and for the Border Environment Cooperation Commission as authorized by Public Law 103-182, [\$9,911,000] \$10,784,291, of which not to exceed \$9,000 shall be available for representation expenses incurred by the International Joint Commission. (*Department of State and Related Agency Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 19-1082-0-1-301		2001 actual	2002 est.	2003 est.
Obligations by program activity:				
00.01	International Boundary Commission	1	1	1
00.02	International Joint Commission	6	7	7
00.05	Border Environment Cooperation Commission	2	2	2
10.00	Total new obligations	9	10	10
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	2		
22.00	New budget authority (gross)	7	10	11
23.90	Total budgetary resources available for obligation	9	10	11
23.95	Total new obligations	-9	-10	-10
23.98	Unobligated balance expiring or withdrawn	-1		

New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	7	10	11

Change in obligated balances:				
72.40	Obligated balance, start of year		2	1
73.10	Total new obligations	9	10	10
73.20	Total outlays (gross)	-7	-9	-9
74.40	Obligated balance, end of year	2	1	2

Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	5	7	8
86.93	Outlays from discretionary balances	2	2	3
87.00	Total outlays (gross)	7	9	9

Net budget authority and outlays:				
89.00	Budget authority	7	10	11
90.00	Outlays	7	9	9

These funds are used for payment of the U.S. share of the expenses of:

International Boundary Commission.—The Commission, in accordance with existing treaties, maintains the integrity of a well-delineated boundary between the United States and Canada by: surveying, inspecting, and clearing the boundary; repairing or replacing monuments; regulating construction crossing the boundary; and serving as the official U.S. Government source for boundary-specific positional/cartographic data.

International Joint Commission.—Pursuant to the Boundary Waters Treaty of 1909 and related Treaties and agreements, the Commission approves, regulates, and monitors structures in boundary waters and transboundary streams, apportions waters between the United States and Canada in selected rivers, and investigates matters referred to it by the United States and Canada that principally include transboundary environmental issues.

Border Environment Cooperation Commission.—This bilateral Commission works with States and local communities to provide technical financial planning assistance and to review and certify project proposals for the purpose of developing effective solutions to environmental problems in the border region.

Object Classification (in millions of dollars)

Identification code 19-1082-0-1-301		2001 actual	2002 est.	2003 est.
Personnel compensation:				
11.1	Full-time permanent	3	3	3
11.5	Other personnel compensation	1	1	1
11.9	Total personnel compensation	4	4	4
25.2	Other services	5	6	6
99.9	Total new obligations	9	10	10

Personnel Summary

Identification code 19-1082-0-1-301		2001 actual	2002 est.	2003 est.
1001	Total compensable workyears: Full-time equivalent employment	24	32	32

INTERNATIONAL FISHERIES COMMISSIONS

For necessary expenses for international fisheries commissions, not otherwise provided for, as authorized by law, [\$20,480,000] \$19,780,000: Provided, That the United States' share of such expenses may be advanced to the respective commissions pursuant to 31 U.S.C. 3324. (*Department of State and Related Agency Appropriations Act, 2002.*)

General and special funds—Continued**INTERNATIONAL FISHERIES COMMISSIONS—Continued****Program and Financing** (in millions of dollars)

Identification code 19-1087-0-1-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Inter-American Tropical Tuna Commission	3	3	3
00.06 Great Lakes Fishery Commission	12	12	12
00.09 Pacific Salmon Commission	2	2	2
00.10 Other Commissions and Marine Science Organizations	3	3	3
10.00 Total new obligations	20	20	20
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	19	20	20
23.95 Total new obligations	-20	-20	-20
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	19	20	20
Change in obligated balances:			
72.40 Obligated balance, start of year	1	2	1
73.10 Total new obligations	20	20	20
73.20 Total outlays (gross)	-18	-20	-20
74.40 Obligated balance, end of year	2	1	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	18	20	20
Net budget authority and outlays:			
89.00 Budget authority	19	20	20
90.00 Outlays	18	20	20

This appropriation provides the U.S. share of operating expenses for nine international fisheries commissions and organizations, two international marine science organizations, one whaling commission, one sea turtle commission, and travel expenses of the U.S. commissioners and their advisors. These international fisheries organizations conduct continuing scientific studies of fishery stocks and recommend conservation measures to member governments based on the results of these studies. In addition, the Great Lakes Fishery Commission carries on a program of lamprey eradication and control. The marine science organizations propose fishery and oceanographic investigations and disseminate the results to the member governments.

Object Classification (in millions of dollars)

Identification code 19-1087-0-1-302	2001 actual	2002 est.	2003 est.
25.2 Other services	1	1	1
41.0 Grants, subsidies, and contributions	19	19	19
99.9 Total new obligations	20	20	20

OTHER**Federal Funds****General and special funds:****MIGRATION AND REFUGEE ASSISTANCE**

For expenses, not otherwise provided for, necessary to enable the Secretary of State to provide, as authorized by law, a contribution to the International Committee of the Red Cross, assistance to refugees, including contributions to the International Organization for Migration and the United Nations High Commissioner for Refugees, and other activities to meet refugee and migration needs; salaries and expenses of personnel and dependents as authorized by the Foreign Service Act of 1980; allowances as authorized by sections 5921 through 5925 of title 5, United States Code; purchase and hire of passenger motor vehicles; and services as authorized by section 3109 of title 5, United States Code, [\$705,000,000, which shall remain available until expended] \$705,565,000: *Provided*, That not more

than [\$16,000,000] \$16,565,000 may be available for administrative expenses: *Provided further*, That funds appropriated under this heading may be made available for a headquarters contribution to the International Committee of the Red Cross only if the Secretary of State determines (and so reports to the appropriate committees of the Congress) that the Magen David Adom Society of Israel is not being denied participation in the activities of the International Red Cross and Red Crescent Movement: *Provided further*, That not less than \$60,000,000 of the funds made available under this heading shall be made available for refugees from the former Soviet Union and Eastern Europe and other refugees resettling in Israel]. (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 19-1143-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Overseas assistance	533	641	524
00.02 U.S. refugee admissions program	105	95	105
00.03 Refugees to Israel	60	60	60
00.05 Administrative expenses	15	17	17
09.01 Reimbursable program	1	1	1
10.00 Total new obligations	714	814	707
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	21	7	
22.00 New budget authority (gross)	700	707	707
22.22 Unobligated balance transferred from other accounts		100	
23.90 Total budgetary resources available for obligation	721	814	707
23.95 Total new obligations	-714	-814	-707
24.40 Unobligated balance carried forward, end of year	7		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	701	706	706
40.35 Appropriation rescinded	-2		
43.00 Appropriation (total discretionary)	699	706	706
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1	1	1
70.00 Total new budget authority (gross)	700	707	707
Change in obligated balances:			
72.40 Obligated balance, start of year	262	234	285
73.10 Total new obligations	714	814	707
73.20 Total outlays (gross)	-737	-763	-755
73.40 Adjustments in expired accounts (net)	-5		
74.40 Obligated balance, end of year	234	285	237
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	517	524	524
86.93 Outlays from discretionary balances	220	239	231
87.00 Total outlays (gross)	737	763	755
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-1	-1	-1
Net budget authority and outlays:			
89.00 Budget authority	699	706	706
90.00 Outlays	737	762	754

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	698	705	705
90.00 Outlays	736	761	753

Overseas Assistance.—This program addresses the protection and assistance needs of refugees, migrants and conflict victims worldwide. Funds are used primarily to support the

programs of international organizations, including the United Nations High Commissioner for Refugees, the United Nations Relief and Works Agency for Palestine Refugees, the World Food Program, the International Organization for Migration, and the International Committee of the Red Cross, as well as non-governmental organizations. When possible, funds are used to resolve refugee situations through repatriation or local integration.

Refugees to Israel.—These funds provide a grant to the United Israel Appeal to assist Jewish refugees resettling in Israel.

U.S. Refugee Admissions.—This program provides overseas cultural orientation, processing, transportation, and initial placement for refugees and Amerasian immigrants resettling in the United States. These activities are carried out primarily by the International Organization for Migration and U.S. private voluntary agencies.

Administrative Expenses.—These funds finance the salaries and operating expenses in Washington and overseas for the Bureau of Population, Refugees, and Migration. (Note: Funds for the salaries and support costs of the five positions dedicated to international population policy and coordination are requested under the Department of State's Diplomatic and Consular Programs appropriation.)

Object Classification (in millions of dollars)

Identification code 19-1143-0-1-151	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	10	11	11
12.1 Civilian personnel benefits	3	3	3
21.0 Travel and transportation of persons	1	1	1
25.2 Other services	2	2	2
41.0 Grants, subsidies, and contributions	697	796	689
99.0 Direct obligations	713	813	706
99.0 Reimbursable obligations	1	1	1
99.9 Total new obligations	714	814	707

Personnel Summary

Identification code 19-1143-0-1-151	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	112	112	112

UNITED STATES EMERGENCY REFUGEE AND MIGRATION ASSISTANCE FUND

For necessary expenses to carry out the provisions of section 2(c) of the Migration and Refugee Assistance Act of 1962, as amended (22 U.S.C. 260(c)), \$15,000,000, to remain available until expended: *Provided*, That the funds made available under this heading are appropriated notwithstanding the provisions contained in section 2(c)(2) of the Act which would limit the amount of funds which could be appropriated for this purpose. (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 11-0040-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	75	55	55
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	154	95	55
22.00 New budget authority (gross)	15	15	15
23.90 Total budgetary resources available for obligation	169	110	70
23.95 Total new obligations	-75	-55	-55
24.40 Unobligated balance carried forward, end of year	95	55	15
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	15	15	15

Change in obligated balances:

72.40 Obligated balance, start of year	7	47	63
73.10 Total new obligations	75	55	55
73.20 Total outlays (gross)	-35	-40	-46
74.40 Obligated balance, end of year	47	63	72

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	2	2	2
86.93 Outlays from discretionary balances	33	38	43
87.00 Total outlays (gross)	35	40	46

Net budget authority and outlays:

89.00 Budget authority	15	15	15
90.00 Outlays	35	40	46

The Emergency Refugee and Migration Assistance Fund enables the President to provide emergency assistance for unexpected and urgent refugee and migration needs worldwide.

INTERNATIONAL NARCOTICS CONTROL AND LAW ENFORCEMENT

For necessary expenses to carry out section 481 of the Foreign Assistance Act of 1961, \$217,000,000, \$197,713,000, to remain available until expended: *Provided*, That any funds made available under this heading for anti-crime programs and activities shall be made available subject to the regular notification procedures of the Committee on Appropriations: *Provided further*, That during fiscal year [2002] 2003, the Department of State may also use the authority of section 608 of the Foreign Assistance Act of 1961, without regard to its restrictions, to receive excess property from an agency of the United States Government for the purpose of providing it to a foreign country under chapter 8 of part I of that Act subject to the regular notification procedures of the Committees on Appropriations: *Provided further*, That of the funds appropriated under this heading, \$10,000,000 should be made available for anti-trafficking in persons programs, including trafficking prevention, protection and assistance for victims, and prosecution of traffickers: *Provided further*, That of the funds appropriated under this heading, not more than \$21,738,000 may be available for administrative expenses. (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 19-1022-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Total: Counterdrug and Anti-Crime Programs	355	208	188
00.02 Emergency Response Fund		73	
09.01 Reimbursable program	21	12	12
10.00 Total new obligations	376	293	200
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	39	97	108
22.00 New budget authority (gross)	438	230	211
22.10 Resources available from recoveries of prior year obligations	7		
22.21 Unobligated balance transferred to other accounts	-26		
22.22 Unobligated balance transferred from other accounts	19	73	
23.90 Total budgetary resources available for obligation	477	400	319
23.95 Total new obligations	-376	-293	-200
23.98 Unobligated balance expiring or withdrawn	-4		
24.40 Unobligated balance carried forward, end of year	97	108	118
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	326	218	199
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
42.00 Transferred from other accounts	91		
43.00 Appropriation (total discretionary)	416	218	199
50.00 Reappropriation	1		
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	27	12	12
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-6		

General and special funds—Continued

INTERNATIONAL NARCOTICS CONTROL AND LAW ENFORCEMENT—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 19-1022-0-1-151	2001 actual	2002 est.	2003 est.
68.90 Spending authority from offsetting collections (total discretionary)	21	12	12
70.00 Total new budget authority (gross)	438	230	211
Change in obligated balances:			
72.40 Obligated balance, start of year	493	505	435
73.10 Total new obligations	376	293	200
73.20 Total outlays (gross)	-371	-363	-345
73.40 Adjustments in expired accounts (net)	-4		
73.45 Recoveries of prior year obligations	-7		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	6		
74.10 Change in uncollected customer payments from Federal sources (expired)	14		
74.40 Obligated balance, end of year	505	435	290
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	114	89	82
86.93 Outlays from discretionary balances	257	274	263
87.00 Total outlays (gross)	371	363	345
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-16	-12	-12
88.40 Non-Federal sources	-6		
88.90 Total, offsetting collections (cash)	-22	-12	-12
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	6		
88.96 Portion of offsetting collections (cash) credited to expired accounts	-5		
Net budget authority and outlays:			
89.00 Budget authority	417	218	199
90.00 Outlays	349	351	333

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	416	217	198
90.00 Outlays	348	350	332

This appropriation provides assistance to foreign countries and international organizations to help them develop and implement policies and programs that strengthen institutional counterdrug law enforcement and judicial capabilities to control illegal drug production, processing, and trafficking. This appropriation also provides counterdrug-related economic development and military assistance, as well as assistance for anti-crime purposes.

Object Classification (in millions of dollars)

Identification code 19-1022-0-1-151	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	9	9	9
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	10	10	10
12.1 Civilian personnel benefits	4	1	1
21.0 Travel and transportation of persons	3		
23.2 Rental payments to others	3	2	2
25.2 Other services	1	1	1
26.0 Supplies and materials	1	1	1
31.0 Equipment	3	2	2

41.0	Grants, subsidies, and contributions	330	264	171
99.0	Direct obligations	355	281	188
99.0	Reimbursable obligations	21	12	12
99.9	Total new obligations	376	293	200

Personnel Summary

Identification code 19-1022-0-1-151	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	141	164	166

ANDEAN COUNTERDRUG INITIATIVE

For necessary expenses to carry out section 481 of the Foreign Assistance Act of 1961 [solely] to support counterdrug activities in the Andean region of South America, \$731,000,000, to remain available until expended. *Provided*, That [in addition to the funds appropriated under this heading and subject to the regular notification procedures of the Committees on Appropriations, the President may make available up to an additional \$35,000,000 for the Andean Counterdrug Initiative, which may be derived from funds appropriated under the heading "International Narcotics Control and Law Enforcement" in this Act and in prior Acts making appropriations for foreign operations, export financing, and related programs: *Provided further*, That of the amount appropriated under this heading, not less than \$215,000,000 shall be apportioned directly to the United States Agency for International Development, to be used for economic and social programs: *Provided further*, That funds appropriated by this Act that are used for the procurement of chemicals for aerial coca fumigation programs may be made available for such programs only if the Secretary of State, after consultation with the Administrator of the Environmental Protection Agency, the Secretary of the Department of Agriculture, and, if appropriate, the Director of the Centers for Disease Control and Prevention, determines and reports to the Committees on Appropriations that: (1) aerial coca fumigation is being carried out in accordance with regulatory controls required by the Environmental Protection Agency as labeled for use in the United States, and after consultation with the Colombian Government to ensure that the fumigation is in accordance with Colombian laws; (2) the chemicals used in the aerial fumigation of coca, in the manner in which they are being applied, do not pose unreasonable risks or adverse effects to humans or the environment; and (3) procedures are available to evaluate claims of local citizens that their health was harmed or their licit agricultural crops were damaged by such aerial coca fumigation, and to provide fair compensation for meritorious claims; and such funds may not be made available for such purposes after six months from the date of enactment of this Act unless alternative development programs have been developed, in consultation with communities and local authorities in the departments in which such aerial coca fumigation is planned, and in the departments in which such aerial coca fumigation has been conducted such programs are being implemented: *Provided further*, That none of the funds appropriated by this Act may be made available to support a Peruvian air interdiction program until the Secretary of State and Director of Central Intelligence certify to the Congress, 30 days before any resumption of United States involvement in a Peruvian air interdiction program, that an air interdiction program that permits the ability of the Peruvian Air Force to shoot down aircraft will include enhanced safeguards and procedures to prevent the occurrence of any incident similar to the April 20, 2001 incident: *Provided further*, That] section 482(b) of the Foreign Assistance Act of 1961 shall not apply to funds appropriated under this heading[: *Provided further*, That assistance provided with funds appropriated under this heading that is made available notwithstanding section 482(b) of the Foreign Assistance Act of 1961, as amended, shall be made available subject to the regular notification procedures of the Committees on Appropriations: *Provided further*, That section 3204(b)(1)(A) of Public Law 106-246 is amended by striking "500" and inserting in lieu thereof "400", and section 3204(b)(1)(B) of Public Law 106-246 is amended by striking "300" and inserting in lieu thereof "400": *Provided further*, That the President shall ensure that if any helicopter procured with funds under this heading is used to aid or abet the operations of any illegal self-defense group or illegal security cooperative, such helicopter shall be immediately returned to the United States: *Provided further*, That funds made avail-

able under this heading shall be subject to the regular notification procedures of the Committees on Appropriations: *Provided further*, That of the funds appropriated under this heading, not more than \$14,240,000 may be available for administrative expenses of the Department of State, and not more than \$4,500,000 may be available for administrative expenses of the United States Agency for International Development. (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 19-1154-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Total: Program Activity	74	643	731
09.00 Reimbursable program (Road Interdiction)	3		
10.00 Total new obligations	77	643	731
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	180	18	
22.00 New budget authority (gross)	3	625	731
22.21 Unobligated balance transferred to other accounts	-88		
23.90 Total budgetary resources available for obligation	95	643	731
23.95 Total new obligations	-77	-643	-731
24.40 Unobligated balance carried forward, end of year	18		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation		625	731
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	3		
70.00 Total new budget authority (gross)	3	625	731
Change in obligated balances:			
72.40 Obligated balance, start of year	645	348	582
73.10 Total new obligations	77	643	731
73.20 Total outlays (gross)	-374	-409	-587
74.40 Obligated balance, end of year	348	582	726
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	3	219	256
86.93 Outlays from discretionary balances	371	190	331
87.00 Total outlays (gross)	374	409	587
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-3		
Net budget authority and outlays:			
89.00 Budget authority		625	731
90.00 Outlays	371	409	587

This account has funded United States assistance to Plan Colombia since 2000. These funds supported the Colombian Army's push into southern Colombia in support of the Colombian National Police, enhanced drug interdiction in Colombia and the region, increased support to the Colombian National Police, provided for economic development in Colombia and the Andean region, and boosted Colombia's local and national government capacity. In 2003, the funds will support counterdrug activities, the creation of a second Colombian Army Counterdrug Brigade, economic development, and democratic institution building efforts in Colombia, Peru, Bolivia, Ecuador, Brazil, Venezuela, and Panama. This assistance is part of an ongoing, comprehensive, regional effort to stem the flow of drugs from the Andes into the United States and to support regional stability.

Object Classification (in millions of dollars)

Identification code 19-1154-0-1-151	2001 actual	2002 est.	2003 est.
25.2 Direct obligations: Other services	74	643	731
99.0 Reimbursable obligations: Reimbursable obligations	3		
99.9 Total new obligations	77	643	731

PAYMENT TO THE ASIA FOUNDATION

For a grant to the Asia Foundation, as authorized by the Asia Foundation Act (22 U.S.C. 4402), as amended, **[\$9,250,000]** \$9,444,000, to remain available until expended, as authorized. (*Department of State and Related Agency Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 19-0525-0-1-154	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Program activities and operations	8	9	9
10.00 Total new obligations (object class 41.0)	8	9	9
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	9	9	9
23.95 Total new obligations	-8	-9	-9
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	9	9	9
Change in obligated balances:			
72.40 Obligated balance, start of year	4	4	1
73.10 Total new obligations	8	9	9
73.20 Total outlays (gross)	-8	-12	-9
74.40 Obligated balance, end of year	4	1	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	8	8	8
86.93 Outlays from discretionary balances		4	1
87.00 Total outlays (gross)	8	12	9
Net budget authority and outlays:			
89.00 Budget authority	9	9	9
90.00 Outlays	8	12	9

The Asia Foundation supports democratic initiatives, economic reform, rule of law programs, and closer U.S.-Asian relations by providing grants to institutions in Asia.

NATIONAL ENDOWMENT FOR DEMOCRACY

For grants made by the Department of State to the National Endowment for Democracy as authorized by the National Endowment for Democracy Act, **[\$33,500,000]** \$36,000,000, to remain available until expended. (*Department of State and Related Agency Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 19-0210-0-1-154	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Program activities	34	34	36
10.00 Total new obligations (object class 41.0)	34	34	36
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	33	34	36
22.22 Unobligated balance transferred from other accounts	1		
23.90 Total budgetary resources available for obligation	34	34	36
23.95 Total new obligations	-34	-34	-36
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	31	34	36
42.00 Transferred from other accounts	2		
43.00 Appropriation (total discretionary)	33	34	36
Change in obligated balances:			
72.40 Obligated balance, start of year	30	27	25
73.10 Total new obligations	34	34	36
73.20 Total outlays (gross)	-39	-36	-34
74.40 Obligated balance, end of year	27	25	27
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	16	14	14

General and special funds—Continued

NATIONAL ENDOWMENT FOR DEMOCRACY—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 19-0210-0-1-154	2001 actual	2002 est.	2003 est.
86.93 Outlays from discretionary balances	23	22	20
87.00 Total outlays (gross)	39	36	34
Net budget authority and outlays:			
89.00 Budget authority	33	34	36
90.00 Outlays	39	36	34

The National Endowment for Democracy (NED) is a private, nonprofit corporation established in the District of Columbia to encourage and strengthen the development of democratic institutions and processes internationally. NED supports democratic initiatives in six regions of the world: Africa, Asia, Central and Eastern Europe, Latin America, the Middle East and the NIS.

The National Endowment for Democracy Act (Public Law 98-164), as amended, provides for an annual grant to the Endowment to fulfill the purposes of the Act. The Endowment does not carry out programs directly but its Board approves annual grants to the American Center for International Labor Solidarity, the Center for International Private Enterprise, the International Republican Institute, the National Democratic Institute for International Affairs, and indigenous organizations working to promote civic education, human rights, independent media, and other democratic processes and values.

EAST-WEST CENTER

To enable the Secretary of State to provide for carrying out the provisions of the Center for Cultural and Technical Interchange Between East and West Act of 1960, by grant to the Center for Cultural and Technical Interchange Between East and West in the State of Hawaii, **[\$14,000,000]** \$14,280,000: *Provided*, That none of the funds appropriated herein shall be used to pay any salary, or enter into any contract providing for the payment thereof, in excess of the rate authorized by 5 U.S.C. 5376. (*Department of State and Related Agency Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 19-0202-0-1-154	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Program activities and operations	14	14	14
10.00 Total new obligations (object class 41.0)	14	14	14
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	14	14	14
23.95 Total new obligations	-14	-14	-14
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	14	14	14
Change in obligated balances:			
73.10 Total new obligations	14	14	14
73.20 Total outlays (gross)	-16	-14	-14
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	13	14	14
86.93 Outlays from discretionary balances	3		
87.00 Total outlays (gross)	16	14	14
Net budget authority and outlays:			
89.00 Budget authority	14	14	14
90.00 Outlays	16	14	14

The Center for Cultural and Technical Interchange Between East and West (East-West Center) is a national educational institution administered by a public, nonprofit educational corporation. The Center promotes better relations and understanding between the United States and the nations of Asia and the Pacific through cooperative programs of research, study, and training, which bring qualified persons from the countries of the area to work jointly on problems of mutual concern.

NORTH/SOUTH CENTER

Program and Financing (in millions of dollars)

Identification code 19-0203-0-1-154	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year	2		
73.20 Total outlays (gross)	-2		
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	2		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	2		

The Center for Cultural and Technical Interchange Between North and South (Dante B. Fascell North/South Center) is a national educational institution that promotes better relations between the U.S. and the nations of Latin America, the Caribbean, and Canada by bringing together scholars and students from nations of the hemisphere for cooperative study, training, and research. In 2001, 2002, and 2003, this program is funded and being requested in the Educational and Cultural Exchange Programs account.

INTERNATIONAL LITIGATION FUND

Unavailable Collections (in millions of dollars)

Identification code 19-5177-0-2-153	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	4	3	3
Receipts:			
02.40 International Litigation fund		1	1
02.80 International Litigation Fund, offsetting collections	3	3	3
02.99 Total receipts and collections	3	4	4
04.00 Total: Balances and collections	7	7	7
Appropriations:			
05.00 International litigation fund	-4	-4	-4
05.99 Total appropriations	-4	-4	-4
07.99 Balance, end of year	3	3	3

Program and Financing (in millions of dollars)

Identification code 19-5177-0-2-153	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)	3	3	3
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	6	5	5
22.00 New budget authority (gross)	4	4	4
23.90 Total budgetary resources available for obligation	10	9	9
23.95 Total new obligations	-3	-3	-3
24.40 Unobligated balance carried forward, end of year	5	5	5
New budget authority (gross), detail:			
Mandatory:			
60.20 Appropriation (special fund)	1	1	1
69.00 Offsetting collections (cash)	3	3	3

70.00	Total new budget authority (gross)	4	4	4
Change in obligated balances:				
72.40	Obligated balance, start of year		2	
73.10	Total new obligations	3	3	3
73.20	Total outlays (gross)	-2	-4	-4
74.40	Obligated balance, end of year	2		
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	2	4	4
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-3	-3	-3
88.40	Non-Federal sources			
88.90	Total, offsetting collections (cash)	-4	-1	-1
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	4		
Net budget authority and outlays:				
89.00	Budget authority	1	1	1
90.00	Outlays	-1	1	1

The International Litigation Fund (ILF) is authorized by section 38(d) of the State Department Basic Authorities Act of 1956 (22 U.S.C. 2710(d)) to pay for expenses incurred by the Department of State relative to preparing or prosecuting a proceeding before an international tribunal or a claim by or against a foreign government or other foreign entity. Monies otherwise available for such purposes are authorized to be deposited in the ILF. In addition, funds received by the Department from other U.S. Government agencies or from private parties for these purposes are also deposited in the ILF.

INTERNATIONAL CENTER, WASHINGTON, DC

Unavailable Collections (in millions of dollars)

Identification code 19-5151-0-2-153	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.80 Offsetting collections, International Center	4	1	1
Appropriations:			
05.00 International Center	-4	-1	-1
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 19-5151-0-2-153	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Maintenance and Repair	1	1	1
09.01 Reimbursable program	4	1	1
10.00 Total new obligations	5	2	2
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4		1
22.00 New budget authority (gross)		1	1
23.90 Total budgetary resources available for obligation	4	1	2
23.95 Total new obligations	-5	-2	-2
24.40 Unobligated balance carried forward, end of year		1	2
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	4	1	1
69.10 Change in uncollected customer payments from Federal sources (unexpired)	-4		
69.90 Spending authority from offsetting collections (total mandatory)	1	1	1
Change in obligated balances:			
72.40 Obligated balance, start of year	-3	2	2
73.10 Total new obligations	5	2	2
73.20 Total outlays (gross)	-3	-2	-2
74.00 Change in uncollected customer payments from Federal sources (unexpired)	4		
74.40 Obligated balance, end of year	2	2	2

Outlays (gross), detail:				
86.97	Outlays from new mandatory authority		1	1
86.98	Outlays from mandatory balances	2	1	
87.00	Total outlays (gross)	3	2	2
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-3	-1	-1
88.40	Non-Federal sources	-1		
88.90	Total, offsetting collections (cash)	-4	-1	-1
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	4		
Net budget authority and outlays:				
89.00	Budget authority			
90.00	Outlays	-1	1	1

These funds provide for the development, lease or exchange to foreign governments or international organizations of property owned by the United States at the International Center located in the District of Columbia. Funds also provide for operation of the Federal facility located at the International Center, for maintenance and security of those public improvements which have not been conveyed to a government or international organization and for surveys and plans related to development of additional areas within the Nation's Capital for Chancery and Diplomatic purposes.

Object Classification (in millions of dollars)

Identification code 19-5151-0-2-153	2001 actual	2002 est.	2003 est.
25.2 Direct obligations: Other services	1	1	1
99.0 Reimbursable obligations: Reimbursable obligations ...	4	1	1
99.9 Total new obligations	5	2	2

FISHERMEN'S PROTECTIVE FUND

Program and Financing (in millions of dollars)

Identification code 19-5116-0-2-376	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	1
24.40 Unobligated balance carried forward, end of year	1	1	1
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

The Fishermen's Protective Fund provides for reimbursement to owners of vessels for amounts of fines, fees, and other direct charges which were paid by owners to a foreign country to secure the release of their vessels and crews and for other specified charges. No new budget authority is requested in 2003.

FISHERMEN'S GUARANTY FUND

Program and Financing (in millions of dollars)

Identification code 19-5121-0-2-376	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	3	3
24.40 Unobligated balance carried forward, end of year	3	3	3
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

General and special funds—Continued

FISHERMEN'S GUARANTY FUND—Continued

This fund provides for payment to vessel owners to compensate for certain financial losses sustained as a result of foreign seizures of American fishing vessels on the basis of claims to jurisdiction not recognized by the United States. No new budget authority is requested for 2003.

Trust Funds

EISENHOWER EXCHANGE FELLOWSHIP PROGRAM TRUST FUND

For necessary expenses of Eisenhower Exchange Fellowships, Incorporated, as authorized by sections 4 and 5 of the Eisenhower Exchange Fellowship Act of 1990 (20 U.S.C. 5204–5205), all interest and earnings accruing to the Eisenhower Exchange Fellowship Program Trust Fund on or before September 30, [2002] 2003, to remain available until expended: *Provided*, That none of the funds appropriated herein shall be used to pay any salary or other compensation, or to enter into any contract providing for the payment thereof, in excess of the rate authorized by 5 U.S.C. 5376; or for purposes which are not in accordance with OMB Circulars A–110 (Uniform Administrative Requirements) and A–122 (Cost Principles for Non-profit Organizations), including the restrictions on compensation for personal services. (*Department of State and Related Agency Appropriations Act, 2002.*)

ISRAELI ARAB SCHOLARSHIP PROGRAM

For necessary expenses of the Israeli Arab Scholarship Program as authorized by section 214 of the Foreign Relations Authorization Act, Fiscal Years 1992 and 1993 (22 U.S.C. 2452), all interest and earnings accruing to the Israeli Arab Scholarship Fund on or before September 30, [2002] 2003, to remain available until expended. (*Department of State and Related Agency Appropriations Act, 2002.*)

Unavailable Collections (in millions of dollars)

Identification code 95–8276–0–7–154	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	8	7	6
Appropriations:			
05.00 Israeli Arab and Eisenhower exchange fellowship program	–1	–1	–1
07.99 Balance, end of year	7	6	5

Program and Financing (in millions of dollars)

Identification code 95–8276–0–7–154	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	1	1	1
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	12	12	12
22.00 New budget authority (gross)	1	1	1
23.90 Total budgetary resources available for obligation	13	13	13
23.95 Total new obligations	–1	–1	–1
24.40 Unobligated balance carried forward, end of year	12	12	12
New budget authority (gross), detail:			
Discretionary:			
40.26 Appropriation (trust fund)	1	1	1
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	1
73.10 Total new obligations	1	1	1
73.20 Total outlays (gross)	–1	–1	–1
74.40 Obligated balance, end of year	1	1	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1	1	1
Net budget authority and outlays:			
89.00 Budget authority	1	1	1
90.00 Outlays	1	1	1
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value	12	12	12

92.02 Total investments, end of year: Federal securities:			
Par value	12	12	12

This presentation includes interest and earnings from the Eisenhower Exchange Fellowship Trust Fund and the Israeli Arab Scholarship Trust Fund.

The Eisenhower Exchange Fellowship Trust fund was created in 1992 with an appropriation of \$5,000,000. In 1995, an additional payment of \$2,500,000 was made to the fund. This exchange program honors the late president and increases educational opportunities for young leaders in preparation for and enhancement of their professional careers and advancement of peace through international understanding.

The Israeli Arab Scholarship Trust Fund was created in 1992 with an appropriation of \$4,978,500 to provide scholarships for Israeli Arabs to attend institutions of higher learning in the United States.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Governmental receipts:			
20–083000 Immigration, passport, and consular fees	535	562	583
General Fund Governmental receipts	535	562	583

GENERAL PROVISIONS—DEPARTMENT OF STATE AND RELATED AGENCY

SEC. 401. Funds appropriated under this title shall be available, except as otherwise provided, for allowances and differentials as authorized by subchapter 59 of title 5, United States Code; for services as authorized by 5 U.S.C. 3109; and for hire of passenger transportation pursuant to 31 U.S.C. 1343(b).

SEC. 402. Not to exceed 5 percent of any appropriation made available for the current fiscal year for the Department of State in this Act may be transferred between such appropriations, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 10 percent by any such transfers: *Provided*, That not to exceed 5 percent of any appropriation made available for the current fiscal year for the Broadcasting Board of Governors in this Act may be transferred between such appropriations, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 10 percent by any such transfers: *Provided further*, That any transfer pursuant to this section shall be treated as a reprogramming of funds under section 605 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section.

SEC. 403. None of the funds made available in this Act may be used by the Department of State or the Broadcasting Board of Governors to provide equipment, technical support, consulting services, or any other form of assistance to the Palestinian Broadcasting Corporation.

SEC. 404. Hereafter, none of the funds appropriated or otherwise made available for the United Nations may be used by the United Nations for the promulgation or enforcement of any treaty, resolution, or regulation authorizing the United Nations, or any of its specialized agencies or affiliated organizations, to tax any aspect of the Internet or international currency transactions.

[SEC. 405. Funds appropriated by this Act for the Broadcasting Board of Governors and the Department of State may be obligated and expended notwithstanding section 313 of the Foreign Relations Authorization Act, Fiscal Years 1994 and 1995, and section 15 of the State Department Basic Authorities Act of 1956, as amended.]

[SEC. 406. The Mutual Educational and Cultural Exchange Act of 1961 (22 U.S.C. 2451 et seq.) is amended by adding at the end the following new section:

“SEC. 114. ALLOCATION OF FUNDS TRANSFERRED TO THE BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS.

“Of each amount transferred to the Bureau of Educational and Cultural Affairs out of appropriations other than appropriations under the heading ‘Educational and Cultural Exchange Programs’ for support of an educational or cultural exchange program, notwithstanding any other provision of law, not more than 7.5 percent shall be made available to cover administrative expenses incurred in connection with support of the program. Amounts made available to cover administrative expenses shall be credited to the appropriations under the heading ‘Educational and Cultural Exchange Programs’ and shall remain available until expended.”.]

【SEC. 407. (a) Section 1334 of the Foreign Affairs Reform and Restructuring Act of 1998 (as enacted in division G of the Omnibus Consolidated and Emergency Supplemental Appropriations Act, 1999; Public Law 105–277 and amended by section 404(a) of the Admiral James W. Nance and Meg Donovan Foreign Relations Authorization Act, Fiscal Years 2000 and 2001) is amended by striking “October 1, 2001” and inserting “October 1, 2005”.

(b) The amendment made by subsection (a) shall take effect as if included in the enactment of the Admiral James W. Nance and Meg Donovan Foreign Relations Authorization Act, Fiscal Years 2000 and 2001.

(c) The provisions of law repealed by section 404(c) of the Admiral James W. Nance and Meg Donovan Foreign Relations Authorization Act, Fiscal Years 2000 and 2001 (section 404(c) of division A of H.R. 3427, as enacted into law by section 1000(a)(7) of Public Law 106–113; appendix G; 113 Stat. 1501A–446) are hereby reenacted into law.

(d) Notwithstanding any other provision of law, any period of discontinuity of the United States Advisory Commission on Public Diplomacy shall not affect the appointment or terms of service of members of the commission.】

【SEC. 408. (a) Section 303 of the Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 1988 (as enacted into law by section 101(a) of Public Law 100–202) is amended in the first sentence by striking “\$440,000” and inserting “\$620,000”.

(b)(1) Section 2(2) of the joint resolution entitled “Joint resolution to authorize participation by the United States in parliamentary con-

ferences of the North Atlantic Treaty Organization”, approved July 11, 1956 (22 U.S.C. 1928b) is amended—

(A) by striking “\$100,000” and inserting “\$200,000”; and

(B) by striking “\$50,000” each of the two places it appears and inserting “\$100,000”.

(2) Section 2 of the joint resolution entitled “Joint resolution to authorize participation by the United States in parliamentary conferences with Mexico”, approved April 9, 1960 (22 U.S.C. 276i) is amended—

(A) by striking “\$80,000” and inserting “\$120,000”; and

(B) by striking “\$40,000” each of the two places it appears and inserting “\$60,000”.

(3) Section 2 of the joint resolution entitled “Joint resolution to authorize participation by the United States in parliamentary conferences with Canada”, approved June 11, 1959 (22 U.S.C. 276e) is amended—

(A) by striking “\$70,000” and inserting “\$150,000”; and

(B) by striking “\$35,000” each of the two places it appears and inserting “\$75,000”.

(4) Section 109(b) of the Department of State Authorization Act, Fiscal Years 1984 and 1985 (22 U.S.C. 276 note) is amended by striking “\$50,000” and inserting “\$100,000”.

(c) Notwithstanding any other provision of law, whenever either the House of Representatives or the Senate does not appoint its allotment of members as part of the American delegation or group to a conference or assembly of the British-American Interparliamentary Group, the Conference on Security and Cooperation in Europe (CSCE), the Mexico-United States Interparliamentary Group, the North Atlantic Assembly, or any similar interparliamentary group of which the United States is a member or participates and so notifies the other body of Congress, the other body may make appointments to complete the membership of the American delegation. Any appointment pursuant to this section shall be for the period of such conference or assembly and the body of Congress making such an appointment shall be responsible for the expenses of any member so appointed. Any such appointment shall be made in the same manner in which other appointments to the delegation by such body of Congress are made.】 (*Department of State and Related Agency Appropriations Act, 2002.*)

DEPARTMENT OF TRANSPORTATION

OFFICE OF THE SECRETARY

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Office of the Secretary, [\$67,778,000, of which not to exceed \$1,929,000 shall be available for the immediate Office of the Secretary; not to exceed \$619,000 shall be available for the immediate Office of the Deputy Secretary; not to exceed \$13,355,000 shall be available for the Office of the General Counsel; not to exceed \$3,058,000 shall be for the Office of the Assistant Secretary for Policy; not to exceed \$7,421,000 shall be available for the Office of the Assistant Secretary for Aviation and International Affairs; not to exceed \$7,728,000 shall be available for the Office of the Assistant Secretary for Budget and Programs; not to exceed \$2,282,000 shall be available for the Office of the Assistant Secretary for Government Affairs; not to exceed \$19,250,000 shall be available for the Office of the Assistant Secretary for Administration; not to exceed \$1,723,000 shall be available for the Office of Public Affairs; not to exceed \$1,204,000 shall be available for the Office of the Executive Secretariat; not to exceed \$507,000 shall be available for the Board of Contract Appeals; not to exceed \$1,240,000 shall be available for the Office of Small and Disadvantaged Business Utilization; not to exceed \$1,321,000 shall be available for the Office of Intelligence and Security; not to exceed \$6,141,000 shall be available for the Office of the Chief Information Office] \$96,100,000: *Provided*, That not to exceed \$60,000 shall be for allocation within the Department for official reception and representation expenses as the Secretary may determine: *Provided further*, That notwithstanding any other provision of law, excluding fees authorized in Public Law 107-71, there may be credited to this appropriation up to \$2,500,000 in funds received in user fees: *Provided further*, That the Secretary of Transportation is authorized to transfer funds appropriated for any office of the Office of the Secretary to any other office of the Office of the Secretary: *Provided further* that no appropriation for any office shall be increased or decreased by more than 7 percent by all such transfers: *Provided further*, That any such transfer shall be submitted for approval to the House and Senate Committees on Appropriations]. (*Department of Transportation and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 69-0102-0-1-407	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 General administration	64	71	96
09.01 Reimbursable program	9	12	12
10.00 Total new obligations	73	83	108
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1
22.00 New budget authority (gross)	74	83	108
22.10 Resources available from recoveries of prior year obligations	1
23.90 Total budgetary resources available for obligation	76	84	108
23.95 Total new obligations	-73	-83	-108
24.40 Unobligated balance carried forward, end of year	1
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	66	71	96
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	12	12
68.10 Change in uncollected customer payments from Federal sources (unexpired)	8
68.90 Spending authority from offsetting collections (total discretionary)	8	12	12

70.00 Total new budget authority (gross)	74	83	108
Change in obligated balances:			
72.40 Obligated balance, start of year	13	5	5
73.10 Total new obligations	73	83	108
73.20 Total outlays (gross)	-72	-82	-106
73.40 Adjustments in expired accounts (net)	1
73.45 Recoveries of prior year obligations	-1
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-8
74.40 Obligated balance, end of year	5	5	7

Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	64	76	99
86.93 Outlays from discretionary balances	8	5	8
87.00 Total outlays (gross)	72	82	106

Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-12	-12
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-8

Net budget authority and outlays:			
89.00 Budget authority	66	71	96
90.00 Outlays	72	70	94

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	63	67	92
90.00 Outlays	69	66	90

General administration.—This appropriation finances the costs of policy development and central supervisory and coordinating functions necessary for the overall planning and direction of the Department. It covers the immediate secretarial offices as well as those of the assistant secretaries and the general counsel.

Object Classification (in millions of dollars)

Identification code 69-0102-0-1-407	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	32	34	33
11.3 Other than full-time permanent	3	4	4
11.9 Total personnel compensation	35	38	37
12.1 Civilian personnel benefits	9	12	12
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	7	8	8
25.2 Other services	4	6	31
25.3 Other purchases of goods and services from Government accounts	7	6	7
31.0 Equipment	1
99.0 Direct obligations	64	71	96
99.0 Reimbursable obligations	9	12	12
99.9 Total new obligations	73	83	108

Personnel Summary

Identification code 69-0102-0-1-407	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	409	450	467

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Personnel Summary—Continued

Identification code 69-0102-0-1-407	2001 actual	2002 est.	2003 est.
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	28	35	33

OFFICE OF CIVIL RIGHTS

For necessary expenses of the Office of Civil Rights, **[\$8,500,000]** \$9,170,000. (Department of Transportation and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 69-0118-0-1-407	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	8	9	9
09.01 Reimbursable program			2
10.00 Total new obligations	8	9	11
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	8	9	11
23.95 Total new obligations	-8	-9	-11
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	8	9	9
68.10 Spending authority from offsetting collections: Change in uncollected customer payments from Federal sources (unexpired)			2
70.00 Total new budget authority (gross)	8	9	11
Change in obligated balances:			
72.40 Obligated balance, start of year	1	2	1
73.10 Total new obligations	8	9	11
73.20 Total outlays (gross)	-7	-9	-9
74.00 Change in uncollected customer payments from Federal sources (unexpired)			-2
74.40 Obligated balance, end of year	2	1	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	7	8	10
86.93 Outlays from discretionary balances		1	1
87.00 Total outlays (gross)	7	9	9
Offsets:			
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)			-2
Net budget authority and outlays:			
89.00 Budget authority	8	9	9
90.00 Outlays	6	9	9

This appropriation finances the costs of a Departmental Civil Rights office. This office is responsible for enforcing laws and regulations which prohibit discrimination in federally-operated and assisted transportation programs. This office also handles all civil rights cases related to Department of Transportation employees.

Object Classification (in millions of dollars)

Identification code 69-0118-0-1-407	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	5	5	5

12.1 Civilian personnel benefits	1	1	1
25.2 Other services	2	3	3
99.0 Direct obligations	8	9	9
99.0 Reimbursable obligations			2
99.9 Total new obligations	8	9	11

Personnel Summary

Identification code 69-0118-0-1-407	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	65	70	70

MINORITY BUSINESS OUTREACH

For necessary expenses of Minority Business Resource Center outreach activities, \$3,000,000, to remain available until September 30, **[2003] 2004: Provided,** That notwithstanding 49 U.S.C. 332, these funds may be used for business opportunities related to any mode of transportation. (Department of Transportation and Related Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 69-0119-0-1-407	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	2	4	3
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		1	
22.00 New budget authority (gross)	3	3	3
23.90 Total budgetary resources available for obligation	3	4	3
23.95 Total new obligations	-2	-4	-3
24.40 Unobligated balance carried forward, end of year	1		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	3	3	3
Change in obligated balances:			
72.40 Obligated balance, start of year	3	3	1
73.10 Total new obligations	2	4	3
73.20 Total outlays (gross)	-2	-4	-4
74.40 Obligated balance, end of year	3	1	
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2	3	3
86.93 Outlays from discretionary balances		1	
87.00 Total outlays (gross)	2	4	4
Net budget authority and outlays:			
89.00 Budget authority	3	3	3
90.00 Outlays	2	4	4

Minority business outreach.—This activity provides contractual support to assist small, women-owned, Native American, and other disadvantaged business firms, in securing contracts and subcontracts resulting from transportation-related Federal support. It also participates in cooperative agreements with historically black and hispanic colleges.

Object Classification (in millions of dollars)

Identification code 69-0119-0-1-407	2001 actual	2002 est.	2003 est.
25.2 Other services		1	2
41.0 Grants, subsidies, and contributions	2	3	1
99.9 Total new obligations	2	4	3

NEW HEADQUARTERS BUILDING

For necessary expenses of customizing the Department of Transportation's new base building and related advisory services, \$25,000,000, to remain available until expended.

Program and Financing (in millions of dollars)

Identification code 69-0147-0-1-407	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)			25
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			25
23.95 Total new obligations			-25
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation			25
Change in obligated balances:			
73.10 Total new obligations			25
73.20 Total outlays (gross)			-25
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority			25
Net budget authority and outlays:			
89.00 Budget authority			25
90.00 Outlays			25

This new appropriation finances the 2003 costs for the new Department of Transportation headquarters project to consolidate all of the department's headquarters operating administration functions (except FAA), from various locations into a state-of-the-art, efficient leased building(s) within the central employment area of the District of Columbia.

RENTAL PAYMENTS

Program and Financing (in millions of dollars)

Identification code 69-0117-0-1-407	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1		
22.00 New budget authority (gross)	-1		
23.90 Total budgetary resources available for obligation			
New budget authority (gross), detail:			
Discretionary:			
40.36 Unobligated balance rescinded	-1		
Net budget authority and outlays:			
89.00 Budget authority	-1		
90.00 Outlays			

Until 1997, payments to GSA for headquarters and field space rental and related services for all modes were consolidated into this account. Beginning in 1998, however, all GSA rental payments are reflected in the modal budgets.

COMPENSATION FOR AIR CARRIERS

Program and Financing (in millions of dollars)

Identification code 69-0111-0-1-402	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	2,328	2,672	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		2,672	
22.00 New budget authority (gross)	5,000		
23.90 Total budgetary resources available for obligation	5,000	2,672	

23.95	Total new obligations	-2,328	-2,672	
24.40	Unobligated balance carried forward, end of year	2,672		
New budget authority (gross), detail:				
Mandatory:				
60.00	Appropriation	5,000		
Change in obligated balances:				
73.10	Total new obligations	2,328	2,672	
73.20	Total outlays (gross)	-2,328	-2,672	
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	2,328		
86.98	Outlays from mandatory balances		2,672	
87.00	Total outlays (gross)	2,328	2,672	
Net budget authority and outlays:				
89.00	Budget authority	5,000		
90.00	Outlays	2,328	2,672	

The Air Transportation Safety and System Stabilization Act (P.L. 107-42) provided \$5 billion to compensate air carriers for direct losses incurred during the Federal ground stop of civil aviation after the September 11, 2001, terrorist attacks, and for incremental losses incurred between September 11 and December 31, 2001. The Administration is not requesting additional funds for this purpose in 2003.

TRANSPORTATION PLANNING, RESEARCH, AND DEVELOPMENT

For necessary expenses for conducting transportation planning, research, systems development, development activities, and making grants, to remain available until expended, **[\$11,993,000]** \$10,835,000. (Department of Transportation and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 69-0142-0-1-407	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Direct program:				
00.01	Transportation policy and planning	10	12	6
00.02	Safe skies	2	5	
00.03	FedDocket			5
01.00	Total direct program	12	17	11
09.00	Reimbursable program	1	3	3
10.00	Total new obligations	13	20	14
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	2	1	
22.00	New budget authority (gross)	13	15	14
22.22	Unobligated balance transferred from other accounts		5	
23.90	Total budgetary resources available for obligation	15	21	14
23.95	Total new obligations	-13	-20	-14
24.40	Unobligated balance carried forward, end of year	1		
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	11	12	11
Spending authority from offsetting collections:				
68.00	Offsetting collections (cash)		3	3
68.10	Change in uncollected customer payments from Federal sources (unexpired)	2		
68.90	Spending authority from offsetting collections (total discretionary)	2	3	3
70.00	Total new budget authority (gross)	13	15	14
Change in obligated balances:				
72.40	Obligated balance, start of year	2	3	10
73.10	Total new obligations	13	20	14
73.20	Total outlays (gross)	-10	-14	-15
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-2		
74.40	Obligated balance, end of year	3	10	9

General and special funds—Continued

TRANSPORTATION PLANNING, RESEARCH, AND DEVELOPMENT—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 69-0142-0-1-407	2001 actual	2002 est.	2003 est.
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	6	8	7
86.93 Outlays from discretionary balances	3	4	7
87.00 Total outlays (gross)	10	14	15
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources		-3	-3
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-2		
Net budget authority and outlays:			
89.00 Budget authority	11	12	11
90.00 Outlays	10	11	12

This appropriation finances research activities and studies concerned with planning, analysis, and information development needed to support the Secretary's responsibilities in the formulation of national transportation policies.

The program is carried out primarily through contracts with other Federal agencies, educational institutions, non-profit research organizations, and private firms.

Activities support the development of transportation policy, coordination of national level transportation planning, and such issues as regulatory modernization, energy conservation, and environmental and safety impacts of transportation. These also support departmental leadership on aviation economic policy and international transportation issues. This account also includes \$5 million for the development of a government-wide, online rulemaking docket management system.

Object Classification (in millions of dollars)

Identification code 69-0142-0-1-407	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	3
25.2 Other services	10	15	8
99.0 Direct obligations	12	17	11
99.0 Reimbursable obligations	1	3	3
99.9 Total new obligations	13	20	14

Personnel Summary

Identification code 69-0142-0-1-407	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	22	26	32

ESSENTIAL AIR SERVICE AND RURAL AIRPORT IMPROVEMENT FUND

Program and Financing (in millions of dollars)

Identification code 69-5423-0-2-402	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	52	50	30
09.00 Reimbursable program			83
10.00 Total new obligations	52	50	113
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2		
22.00 New budget authority (gross)	50	20	113
22.22 Unobligated balance transferred from other accounts		30	
23.90 Total budgetary resources available for obligation	52	50	113

23.95 Total new obligations	-52	-50	-113
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New budget authority (gross), detail:

Mandatory:			
62.00 Transferred from other accounts	50	20	30
62.50 Appropriation (total mandatory)	50	20	30
Discretionary:			
68.00 Spending authority from offsetting collections: Off- setting collections (cash)			83
70.00 Total new budget authority (gross)	50	20	113

Change in obligated balances:

72.40 Obligated balance, start of year	18	21	18
73.10 Total new obligations	52	50	113
73.20 Total outlays (gross)	-49	-53	-88
74.40 Obligated balance, end of year	21	18	43

Outlays (gross), detail:

86.90 Outlays from new discretionary authority			50
86.93 Outlays from discretionary balances	20	21	12
86.97 Outlays from new mandatory authority	30	12	18
86.98 Outlays from mandatory balances		20	8
87.00 Total outlays (gross)	49	53	88

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources			-83

Net budget authority and outlays:

89.00 Budget authority	50	20	30
90.00 Outlays	49	53	5

The Federal Aviation Reauthorization Act of 1996 (P.L. 104-264) authorized the collection of user fees for services provided by the FAA to aircraft that neither take off nor land in the United States, commonly known as overflight fees. The Act permanently appropriated the first \$50 million of such fees for the Essential Air Service program and rural airport improvements. To the extent that fee collections fall below \$50 million, current law requires the difference to be covered by Federal Aviation Administration funds. The 2003 budget assumes the collection of \$30 million in overflight fees, with the balance of \$83 million to be paid from the FAA Airport improvement program (AIP). The budget proposes to increase the authorized funding level to \$113 million for 2003, of which \$63 million would be provided from AIP to cover anticipated shortfalls above the \$50 million minimum funding level. The budget proposes general provision language that will enable the Department to manage taxpayer resources dedicated to this purpose more effectively.

Object Classification (in millions of dollars)

Identification code 69-5423-0-2-402	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
41.0 Grants, subsidies, and contributions	51	49	29
99.0 Direct obligations	52	50	30
99.0 Reimbursable obligations			83
99.9 Total new obligations	52	50	113

Personnel Summary

Identification code 69-5423-0-2-402	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	10	10	10
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment			

Intragovernmental funds:

[TRANSPORTATION ADMINISTRATIVE SERVICE CENTER]

[Necessary expenses for operating costs and capital outlays of the Transportation Administrative Service Center, not to exceed \$125,323,000, shall be paid from appropriations made available to the Department of Transportation: *Provided*, That such services shall be provided on a competitive basis to entities within the Department of Transportation: *Provided further*, That the above limitation on operating expenses shall not apply to non-DOT entities: *Provided further*, That no funds appropriated in this Act to an agency of the Department shall be transferred to the Transportation Administrative Service Center without the approval of the agency modal administrator: *Provided further*, That no assessments may be levied against any program, budget activity, subactivity or project funded by this Act unless notice of such assessments and the basis therefor are presented to the House and Senate Committees on Appropriations and are approved by such Committees.] (*Department of Transportation and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 69-4520-0-4-407	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 DOT service center activities	111	117	131
09.02 Non-DOT service center activities	214	347	352
10.00 Total new obligations	325	464	483
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	14	18	18
22.00 New budget authority (gross)	325	464	483
22.10 Resources available from recoveries of prior year obligations	4		
23.90 Total budgetary resources available for obligation	343	482	501
23.95 Total new obligations	-325	-464	-483
24.40 Unobligated balance carried forward, end of year	18	18	18
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	257	464	483
69.10 Change in uncollected customer payments from Federal sources (unexpired)	68		
69.90 Spending authority from offsetting collections (total mandatory)	325	464	483
Change in obligated balances:			
72.40 Obligated balance, start of year	-11	-43	-43
73.10 Total new obligations	325	464	483
73.20 Total outlays (gross)	-285	-464	-483
73.45 Recoveries of prior year obligations	-4		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-68		
74.40 Obligated balance, end of year	-43	-43	-43
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	285	464	483
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-257	-464	-483
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-68		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	28		

The Transportation Administrative Service Center (TASC) finances common administrative services that are centrally performed in the interest of economy and efficiency in the Department. The fund is financed through negotiated agreements with Departmental operating administrations, and other governmental elements requiring the center's capabilities.

Object Classification (in millions of dollars)

Identification code 69-4520-0-4-407	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	17	19	20
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1		
11.9 Total personnel compensation	19	20	21
12.1 Civilian personnel benefits	5	5	5
13.0 Benefits for former personnel	1	1	1
21.0 Travel and transportation of persons	1	1	2
22.0 Transportation of things			1
23.1 Rental payments to GSA	5	5	6
23.3 Communications, utilities, and miscellaneous charges	15	15	15
25.2 Other services	264	408	423
26.0 Supplies and materials	3	4	4
31.0 Equipment	12	5	5
99.9 Total new obligations	325	464	483

Personnel Summary

Identification code 69-4520-0-4-407	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	291	281	281

Credit accounts:

MINORITY BUSINESS RESOURCE CENTER PROGRAM

For the cost of guaranteed loans, \$500,000, as authorized by 49 U.S.C. 332: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That these funds are available to subsidize total loan principal, any part of which is to be guaranteed, not to exceed \$18,367,000. In addition, for administrative expenses to carry out the guaranteed loan program, \$400,000. (*Department of Transportation and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 69-0155-0-1-407	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Guarantee loan subsidy		1	1
10.00 Total new obligations (object class 41.0)		1	1
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	2	1	1
23.95 Total new obligations		-1	-1
23.98 Unobligated balance expiring or withdrawn	-2		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	2	1	1
Change in obligated balances:			
72.40 Obligated balance, start of year	5	1	
73.10 Total new obligations		1	1
73.20 Total outlays (gross)		-2	-1
73.40 Adjustments in expired accounts (net)	-4		
74.40 Obligated balance, end of year	1		
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		1	1
86.93 Outlays from discretionary balances		1	
87.00 Total outlays (gross)		2	1
Net budget authority and outlays:			
89.00 Budget authority	2	1	1
90.00 Outlays		2	1

Credit accounts—Continued

MINORITY BUSINESS RESOURCE CENTER PROGRAM—Continued

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 69-0155-0-1-407	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:			
115001 MBRC—Direct loan levels			
115901 Total direct loan levels			
Direct loan subsidy (in percent):			
132001 Direct loan levels	0.00	0.00	0.00
132901 Weighted average subsidy rate	0.00	0.00	0.00
Direct loan subsidy budget authority:			
133001 Direct loan levels			
133901 Total subsidy budget authority			
Direct loan subsidy outlays:			
134001 Direct loan levels			
134901 Total subsidy outlays			
Guaranteed loan levels supportable by subsidy budget authority:			
215001 MBRC—Loan guarantee levels	14	18	18
215901 Total loan guarantee levels	14	18	18
Guaranteed loan subsidy (in percent):			
232001 Loan guarantee levels	2.69	2.70	2.69
232901 Weighted average subsidy rate	2.69	2.70	2.69
Guaranteed loan subsidy budget authority:			
233001 Loan guarantee levels	2	1	1
233901 Total subsidy budget authority	2	1	1
Guaranteed loan subsidy outlays:			
234001 Loan guarantee levels		1	1
234901 Total subsidy outlays		1	1

Office of Small and Disadvantaged Business Utilization (OSDBU)/Minority Business Resource Center (MBRC).—Provides assistance in obtaining short-term working capital and bonding for minority, women-owned and other disadvantaged businesses and Small Business Administration 8(a) Firms.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with guaranteed loans obligated in 2001 and beyond, as well as administrative expenses of this program.

MINORITY BUSINESS RESOURCE CENTER DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 69-4186-0-3-407	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Interest to Treasury	1		
10.00 Total new obligations	1		
Budgetary resources available for obligation:			
22.00 New financing authority (gross)	1		
22.10 Resources available from recoveries of prior year obligations	3		
22.40 Capital transfer to general fund	-3		
23.90 Total budgetary resources available for obligation	1		
23.95 Total new obligations	-1		
New financing authority (gross), detail:			
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	4	5	
68.47 Portion applied to repay debt	-3	-5	
68.90 Spending authority from offsetting collections (total discretionary)	1		

Change in obligated balances:

72.40 Obligated balance, start of year	4		
73.10 Total new obligations	1		
73.20 Total financing disbursements (gross)	-1		
73.45 Recoveries of prior year obligations	-3		
87.00 Total financing disbursements (gross)	1		

Offsets:

Against gross financing authority and financing disbursements:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-4	-5	

Net financing authority and financing disbursements:

89.00 Financing authority	-3	-5	
90.00 Financing disbursements	-2	-5	

Status of Direct Loans (in millions of dollars)

Identification code 69-4186-0-3-407	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans			
1142 Unobligated direct loan limitation (-)			
1150 Total direct loan obligations			
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	7	5	
1231 Disbursements: Direct loan disbursements			
1251 Repayments: Repayments and prepayments	-3	-5	
1290 Outstanding, end of year	5		

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 through 2000 (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 69-4186-0-3-407	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	4			
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	7	5		
1405 Allowance for subsidy cost (-)	-1			
1499 Net present value of assets related to direct loans	6	5		
1999 Total assets	10	5		
LIABILITIES:				
2103 Federal liabilities: Debt	10	5		
2999 Total liabilities	10	5		
NET POSITION:				
3999 Total net position				
4999 Total liabilities and net position	10	5		

**MINORITY BUSINESS RESOURCE CENTER
GUARANTEED LOAN FINANCING ACCOUNT**

Program and Financing (in millions of dollars)

Identification code 69-4082-0-3-407	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year			1
22.00 New financing authority (gross)		1	1
23.90 Total budgetary resources available for obligation		1	2
24.40 Unobligated balance carried forward, end of year		1	2

New financing authority (gross), detail:

Discretionary:

68.00	Spending authority from offsetting collections (gross): Offsetting collections (cash)	1	1
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Offsets:

88.00	Against gross financing authority and financing disbursements: Offsetting collections (cash) from: Federal sources	-1	-1
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Net financing authority and financing disbursements:

89.00	Financing authority		
90.00	Financing disbursements	-1	-1

Status of Guaranteed Loans (in millions of dollars)

Identification code 69-4082-0-3-407	2001 actual	2002 est.	2003 est.	
Position with respect to appropriations act limitation on commitments:				
2111	Limitation on guaranteed loans made by private lenders	14	18	18
2150	Total guaranteed loan commitments	14	18	18
2199	Guaranteed amount of guaranteed loan commitments	10	14	14
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	7	24	24
2231	Disbursements of new guaranteed loans	7	18	18
2251	Repayments and prepayments	-1	-8	-8
2290	Outstanding, end of year	7	24	35
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	5	18	26

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all the cash flows to and from the Government resulting from guaranteed loan commitments in 2001 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 69-4082-0-3-407	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101	Federal assets: Fund balances with Treasury		1	1
1999	Total assets		1	1
LIABILITIES:				
2204	Non-Federal liabilities: Liabilities for loan guarantees		1	1
2999	Total liabilities		1	1
NET POSITION:				
3999	Total net position			
4999	Total liabilities and net position		1	1

[PAYMENTS TO AIR CARRIERS]

[(AIRPORT AND AIRWAY TRUST FUND)]

[In addition to funds made available from any other source to carry out the essential air service program under 49 U.S.C. 41731 through 41742, to be derived from the Airport and Airway Trust Fund, \$13,000,000, to remain available until expended.] (Department of Transportation and Related Agencies Appropriations Act, 2002.)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, in addition to funds made available from any other source to carry out the essential air service program under 49 U.S.C. 41731 through 41742, to be derived from the Airport and Airway Trust Fund, \$50,000,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (Emergency Supplemental Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 69-8304-0-7-402	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
10.00	Total new obligations (object class 25.2)	6	63	
Budgetary resources available for obligation:				
22.00	New budget authority (gross)		63	
22.10	Resources available from recoveries of prior year obligations	6		
23.90	Total budgetary resources available for obligation	6	63	
23.95	Total new obligations	-6	-63	
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation		63	
Change in obligated balances:				
72.40	Obligated balance, start of year	6	1	25
73.10	Total new obligations	6	63	
73.20	Total outlays (gross)	-6	-39	-25
73.45	Recoveries of prior year obligations	-6		
74.40	Obligated balance, end of year	1	25	
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority		38	
86.93	Outlays from discretionary balances	6	1	25
87.00	Total outlays (gross)	6	39	25
Net budget authority and outlays:				
89.00	Budget authority		63	
90.00	Outlays	6	39	25

Through 1997, this program was funded from the Airport and Airway Trust Fund. Starting in 1998 the FAA reauthorization funded it as a mandatory program supported by overflight fees under the Essential Air Service and Rural Airport Improvement Fund. In 2002, in addition to mandatory funding supported by overflight fees, direct appropriations from the Airport and Airway Trust Fund to the Payments to Air Carriers program were enacted to meet the needs of the essential air service program. No direct appropriations for this account are being requested in 2003.

TRANSPORTATION SECURITY ADMINISTRATION

Federal Funds

General and special funds:

TRANSPORTATION SECURITY ADMINISTRATION

For necessary expenses of the Transportation Security Administration related to [providing civil aviation] transportation security services pursuant to Public Law 107-71, [\$1,250,000,000] \$4,800,000,000, to remain available until expended, of which \$124,000,000 shall be derived from a reimbursement from the heading, "Facilities and Equipment," Federal Aviation Administration, for explosives detection systems in this fiscal year. Provided, That, security service fees authorized under 49 U.S.C. 44940 shall be credited to this appropriation as offsetting collections and used for providing [civil aviation] security services authorized by that section: *Provided further*, That the sum herein appropriated from the General Fund shall be reduced as such offsetting collections are received during fiscal year [2002 so as to result in a final fiscal year appropriation from the General Fund estimated at not more than \$0] 2003: *Provided further*, That any security service fees collected in excess of the amount appropriated under this heading shall become available in fiscal year 2004: *Provided further*, That unobligated balances from this or any previous appropriations Act for the security services of any mode of transportation under the Department of Transportation may be transferred to, and merged with, this account, to remain available until expended, for authorized purposes under this heading. (Department of Transportation and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

General and special funds—Continued

TRANSPORTATION SECURITY ADMINISTRATION—Continued

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for the "Transportation Security Administration", \$94,800,000, to remain available until September 30, 2003, to be obligated from amounts made available in Public Law 107-38: *Provided*, That \$93,300,000 shall be for the Under Secretary of Transportation for Security to award competitive grants to critical national seaports to finance the costs of enhancing facility and operational security: *Provided further*, That such grants shall be awarded based on the need for security assessments and enhancements as determined by the Under Secretary of Transportation for Security, the Administrator of the Maritime Administration, and the Commandant of the U.S. Coast Guard: *Provided further*, That such grants shall not supplant funding already provided either by the ports or by any Federal entity: *Provided further*, That no more than \$1,000,000 of the grant funds available under this heading shall be used for administration.] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 69-2801-0-1-402	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program		95	2,454
09.01 Reimbursable program		1,250	2,346
10.00 Total new obligations		1,345	4,800
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		1,345	4,800
23.95 Total new obligations		-1,345	-4,800
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation		95	2,454
68.00 Spending authority from offsetting collections: Offsetting collections (cash)		1,250	2,346
70.00 Total new budget authority (gross)		1,345	4,800
Change in obligated balances:			
72.40 Obligated balance, start of year			202
73.10 Total new obligations		1,345	4,800
73.20 Total outlays (gross)		-1,143	-4,282
74.40 Obligated balance, end of year		202	720
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		1,144	4,080
86.93 Outlays from discretionary balances			202
87.00 Total outlays (gross)		1,143	4,282
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources			-124
88.40 Non-Federal sources		-1,250	-2,222
88.90 Total, offsetting collections (cash)		-1,250	-2,346
Net budget authority and outlays:			
89.00 Budget authority		95	2,454
90.00 Outlays		-107	1,936

For 2003, the Budget proposes \$4,800 million for the Transportation Security Administration, of which approximately \$2,222 million will be financed by offsetting collections from aviation passenger enplanement security fees and airline security fees. In addition, out of this \$4,800 million, \$124 million will be provided through a reimbursement from the Federal Aviation Administration for explosives detection systems. Funds will be used to provide airline security screeners and the supervisory staff necessary to manage passenger and baggage screening; armed law enforcement personnel for security checkpoints; Federal air marshals; purchase and maintenance of security equipment, including explosive detection systems; and research on advanced screening technologies. The transi-

tion from airline management of passenger screening is planned to occur in 2002, and screening will continue to be the responsibility of the Transportation Security Administration in 2003. The Administration proposes to transfer other modal transportation security functions to the Transportation Security Administration.

Object Classification (in millions of dollars)

Identification code 69-2801-0-1-402	2001 actual	2002 est.	2003 est.
Direct obligations:			
25.2 Other services		2	2,454
41.0 Grants, subsidies, and contributions		93	
99.0 Direct obligations		95	2,454
99.0 Reimbursable obligations		1,250	2,346
99.9 Total new obligations		1,345	4,800

Personnel Summary

Identification code 69-2801-0-1-402	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment		14,800	41,300

COAST GUARD

The following table depicts funding for all Coast Guard programs for which detail is furnished in the budget schedules.

[In millions of dollars]

Budget authority:	2001 actual	2002 est.	2003 est.
Regular appropriations:			
Operating expenses ¹	3,577	3,903	4,635
Acquisition, construction and improvements ²	414	644	736
Environmental compliance and restoration	17	17	17
Alteration of bridges	15	15	
Coast Guard military retirement fund	778	876	889
Reserve training	97	100	113
Research, development, test and evaluation ³	21	21	23
Boat safety	64	64	64
Oil spill recovery, Coast Guard, (OSLTF)	61	61	61
Total, budget authority net ⁴	5,044	5,701	6,538
Direct Obligations:			
Operating expenses	3,577	3,903	4,635
Acquisition, construction, and improvements	856	684	694
Environmental compliance and restoration	17	17	17
Alteration of bridges	15	41	
Coast Guard military retirement fund	778	876	935
Reserve training	97	100	112
Research, development, test, and evaluation	21	21	23
Boat safety	64	64	64
Oil spill recovery, Coast Guard, (OSLTF)	61	61	61
Obligation total net	5,486	5,767	6,541

For comparability purposes this table includes:

¹Includes \$25 million in 2001-2003 from the Oil spill liability trust fund; includes \$340 million in 2001, \$440 million in 2002 and \$340 million in 2003 from the Defense function. Also includes \$92 million in supplemental funding in 2001 pursuant to P.L. 107-20 and \$209 million in supplemental funding in 2002 pursuant to P.L. 107-117.

²Includes \$20 million in 2001-2003 from the Oil spill liability trust fund. Also includes \$4 million in supplemental funding pursuant to P.L. 107-20.

³Includes \$4 million in 2001-2003 from the Oil spill liability trust fund.

⁴2001 discretionary appropriations include the government-wide reduction pursuant to P.L. 106-554.

Federal Funds**General and special funds:**

OPERATING EXPENSES

For necessary expenses for the operation and maintenance of the Coast Guard, not otherwise provided for; purchase of not to exceed five passenger motor vehicles for replacement only; payments pursuant to section 156 of Public Law 97-377, as amended (42 U.S.C. 402 note) and section 229(b) of the Social Security Act (42 U.S.C.

429(b)]; and recreation and welfare, **[\$3,382,000,000]** \$4,635,268,132, of which **[\$440,000,000]** \$340,000,000 shall be available for defense-related activities; and of which **[\$24,945,000]** \$25,000,000 shall be derived from the Oil Spill Liability Trust Fund: *Provided*, That none of the funds appropriated in this or any other Act shall be available for pay of administrative expenses in connection with shipping commissioners in the United States: *Provided further*, That none of the funds provided in this Act shall be available for expenses incurred for yacht documentation under 46 U.S.C. 12109, except to the extent fees are collected from yacht owners and credited to this appropriation: *Provided further*, That of the amounts made available under this heading, not less than \$14,541,000 shall be used solely to increase staffing at Search and Rescue stations, surf stations and command centers, increase the training and experience level of individuals serving in said stations through targeted retention efforts, revise personnel policies and expand training programs, and to modernize and improve the quantity and quality of personal safety equipment, including survival suits, for personnel assigned to said stations: *Provided further*, That the Department of Transportation Inspector General shall audit and certify to the House and Senate Committees on Appropriations that the funding described in the preceding proviso is being used solely to supplement and not supplant the Coast Guard's level of effort in this area in fiscal year 2001. (*Department of Transportation and Related Agencies Appropriations Act of 2002; additional authorizing legislation required.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Operating Expenses", \$209,150,000, to remain available until September 30, 2003, to be obligated from amounts made available in Public Law 107-38.] (*Emergency Supplemental Act, 2002.*)

Unavailable Collections (in millions of dollars)

Identification code 69-0201-0-1-999	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.00 User fees, Navigation assistance services fund			165
Appropriations:			
05.00 Operating expenses (Coast Guard)			-165
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 69-0201-0-1-999	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Search and rescue	567	458	533
00.02 Aids to navigation	645	650	730
00.03 Marine safety and security	382	1,106	1,433
00.04 Marine environmental protection	289	311	365
00.05 Enforcement of laws and treaties	1,432	1,167	1,334
00.06 Ice operations	110	109	122
00.07 Defense readiness	169	101	118
08.00 Total direct program	3,594	3,902	4,635
09.01 Reimbursable program	95	91	96
10.00 Total new obligations	3,689	3,993	4,731
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	3,693	3,995	4,731
23.95 Total new obligations	-3,689	-3,993	-4,731
23.98 Unobligated balance expiring or withdrawn	-5		

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	3,552	3,671	4,445
40.15 Appropriation (emergency)		209	
40.20 Appropriation (special fund)			165
40.73 Reduction pursuant to P.L. 107-87		-1	
42.00 Transferred from other accounts	18		
43.00 Appropriation (total discretionary)	3,570	3,879	4,610
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	137	116	121
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-14		
68.90 Spending authority from offsetting collections (total discretionary)	123	116	121

70.00 Total new budget authority (gross)	3,693	3,995	4,731
Change in obligated balances:			
72.40 Obligated balance, start of year	562	723	1,018
73.10 Total new obligations	3,689	3,993	4,731
73.20 Total outlays (gross)	-3,544	-3,700	-4,427
73.40 Adjustments in expired accounts (net)	2		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	14		
74.40 Obligated balance, end of year	723	1,018	1,322
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	3,141	3,282	3,872
86.93 Outlays from discretionary balances	402	418	556
87.00 Total outlays (gross)	3,544	3,700	4,427
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 Department of Defense	-24	-33	-37
88.00 Other Federal sources	-103	-76	-77
88.40 Non-Federal sources	-10	-7	-7
88.90 Total, offsetting collections (cash)	-137	-116	-121
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	14		
Net budget authority and outlays:			
89.00 Budget authority	3,570	3,879	4,610
90.00 Outlays	3,407	3,584	4,306

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	3,270	3,566	4,294
90.00 Outlays	3,107	3,271	3,990

To carry out its unique duties as a peacetime operating agency and one of the military services, the Coast Guard employs multipurpose vessels, aircraft, and shore units, strategically located along the coasts and inland waterways of the United States and in selected areas overseas. The 2003 request provides for the safety of the public, and the Coast Guard's work force, with an enhanced emphasis on its maritime homeland security mission.

Object Classification (in millions of dollars)

Identification code 69-0201-0-1-999	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	226	252	276
11.3 Other than full-time permanent	8	9	10
11.5 Other personnel compensation	8	9	10
11.7 Military personnel	1,282	1,415	1,553
11.8 Special personal services payments	23	24	26
11.9 Total personnel compensation	1,547	1,709	1,875
12.1 Civilian personnel benefits	87	86	94
12.2 Military personnel benefits	400	427	621
13.0 Benefits for former personnel	13	18	15
21.0 Travel and transportation of persons	94	101	124
22.0 Transportation of things	48	57	63
23.1 Rental payments to GSA	35	38	43
23.2 Rental payments to others	27	37	35
23.3 Communications, utilities, and miscellaneous charges	115	121	152
24.0 Printing and reproduction	3	15	4
25.1 Advisory and assistance services	6	17	8
25.2 Other services	208	209	273
25.3 Other purchases of goods and services from Government accounts	2	13	2
25.4 Operation and maintenance of facilities	181	184	238
25.6 Medical care	128	133	168
25.7 Operation and maintenance of equipment	146	151	192
25.8 Subsistence and support of persons	8	19	11
26.0 Supplies and materials	475	476	625

General and special funds—Continued

OPERATING EXPENSES—Continued

Object Classification (in millions of dollars)—Continued

Identification code 69-0201-0-1-999	2001 actual	2002 est.	2003 est.
31.0 Equipment	61	70	80
32.0 Land and structures	6	17	8
42.0 Insurance claims and indemnities	4	5	4
99.0 Direct obligations	3,594	3,903	4,635
99.0 Reimbursable obligations	95	90	96
99.9 Total new obligations	3,689	3,993	4,731

Personnel Summary

Identification code 69-0201-0-1-999	2001 actual	2002 est.	2003 est.
Direct:			
Total compensable workyears:			
1001 Full-time equivalent employment	4,687	4,862	5,065
1101 Full-time equivalent employment	34,995	35,572	36,217
Reimbursable:			
Total compensable workyears:			
2001 Full-time equivalent employment	226	222	222
2101 Full-time equivalent employment	144	161	158

ACQUISITION, CONSTRUCTION, AND IMPROVEMENTS

For necessary expenses of acquisition, construction, renovation, and improvement of aids to navigation, shore facilities, vessels, and aircraft, including equipment related thereto, **[\$636,354,000]** \$735,846,233, of which \$20,000,000 shall be derived from the Oil Spill Liability Trust Fund; of which **[\$89,640,000]** \$13,600,000 shall be available to acquire, repair, renovate or improve vessels, small boats and related equipment, to remain available until September 30, **[2006]** \$9,500,000 shall be available to acquire new aircraft and increase aviation capability, to remain available until September 30, 2004; **[\$79,293,000]** 2007; **[\$117,700,000]** shall be available for other equipment, to remain available until September 30, **[2004]** \$73,100,000 2005; **[\$28,700,000]** shall be available for shore facilities and aids to navigation facilities, to remain available until September 30, **[2004]** \$64,631,000 2005; **[\$75,846,000]** shall be available for personnel compensation and benefits and related costs, to remain available until September 30, **[2003]** and **[\$320,190,000]** 2004; and **[\$500,000,000]** shall be available for the Integrated Deepwater Systems program, to remain available until September 30, **[2006]** 2007: *Provided*, That the Commandant of the Coast Guard is authorized to dispose of surplus real property, by sale or lease, and the proceeds shall be credited to this appropriation as offsetting collections and made available only for the National Distress and Response System Modernization program, to remain available for obligation until September 30, **[2004]**: *Provided further*, That none of the funds provided under this heading may be obligated or expended for the Integrated Deepwater Systems (IDS) system integration contract until the Secretary or Deputy Secretary of Transportation and the Director, Office of Management and Budget jointly certify to the House and Senate Committees on Appropriations that funding for the IDS program for fiscal years 2003 through 2007, funding for the National Distress and Response System Modernization program to allow for full deployment of said system by 2006, and funding for other essential search and rescue procurements, are fully funded in the Coast Guard Capital Investment Plan and within the Office of Management and Budget's budgetary projections for the Coast Guard for those years: *Provided further*, That none of the funds provided under this heading may be obligated or expended for the Integrated Deepwater Systems (IDS) integration contract until the Secretary or Deputy Secretary of Transportation and the Director, Office of Management and Budget jointly approve a contingency procurement strategy for the recapitalization of assets and capabilities envisioned in the IDS: *Provided further*, That upon initial submission to the Congress of the fiscal year 2003 President's budget, the Secretary of Transportation shall transmit to the Congress a comprehensive capital investment plan for the United States Coast Guard which includes funding for each budget line item for fiscal years 2003 through 2007, with total funding for each year of the plan constrained to the funding targets for those years as estimated and approved by the Office of Management and

Budget: *Provided further*, That the amount herein appropriated shall be reduced by \$100,000 per day for each day after initial submission of the President's budget that the plan has not been submitted to the Congress: *Provided further*, That the Director, Office of Management and Budget shall submit the budget request for the IDS integration contract delineating sub-headings which include the following: systems integrator, ship construction, aircraft, equipment, and communication, providing specific assets and costs under each sub-heading] 2005. (Department of Transportation Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 69-0240-0-1-403	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Search and rescue	188	98	119
00.02 Aids to navigation	76	116	68
00.03 Marine safety and security	23	126	143
00.04 Marine environmental protection	167	15	10
00.05 Enforcement of laws and treaties	230	288	324
00.06 Ice operations	160	17	5
00.07 Defense readiness	12	24	25
08.00 Total direct program	856	684	694
09.01 Reimbursable program	15	28	28
10.00 Total new obligations	871	712	722

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	896	478	439
22.00 New budget authority (gross)	440	672	764
22.10 Resources available from recoveries of prior year obligations	16		
23.90 Total budgetary resources available for obligation	1,352	1,150	1,203
23.95 Total new obligations	-871	-712	-722
23.98 Unobligated balance expiring or withdrawn	-2		
24.40 Unobligated balance carried forward, end of year	478	439	481

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	403	624	716
40.15 Appropriation (emergency)	4		
40.76 Reduction pursuant to P.L. 107-20	-12		
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
43.00 Appropriation (total discretionary)	394	624	716
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	58	48	48
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-12		
68.90 Spending authority from offsetting collections (total discretionary)	46	48	48
70.00 Total new budget authority (gross)	440	672	764

Change in obligated balances:

72.40 Obligated balance, start of year	490	641	693
73.10 Total new obligations	871	712	722
73.20 Total outlays (gross)	-710	-659	-644
73.40 Adjustments in expired accounts (net)	-6		
73.45 Recoveries of prior year obligations	-16		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	12		
74.40 Obligated balance, end of year	641	693	772

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	162	210	235
86.93 Outlays from discretionary balances	548	449	409
87.00 Total outlays (gross)	710	659	644

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-58	-48	-48
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	12		

Net budget authority and outlays:

89.00 Budget authority	394	624	716
90.00 Outlays	652	611	596

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	386	616	705
90.00 Outlays	644	603	585

The Acquisition, Construction, and Improvements (AC&I) appropriation provides for the acquisition, construction, and improvement of the vessels, aircraft, information management resources, shore facilities, and aids to navigation required to execute the Coast Guard's missions and achieve its performance goals.

Vessels.—In 2003, the Coast Guard will acquire multi-mission platforms that use advanced technology to reduce life cycle operating costs.

Deepwater.—The Deepwater capability replacement project continues with full scale development. In 2003, the Coast Guard will continue to acquire and build the selected integrated deepwater system.

Other Equipment.—In 2003, the Coast Guard will invest in numerous management information and decision support systems that will result in increased efficiencies. The Ports and waterways safety system (PAWSS), National distress and response system modernization project (NDRSMP), and Commercial Satellite Communications projects will continue.

Shore Facilities.—In 2003, the Coast Guard will invest in modern structures that are more energy-efficient, comply with regulatory codes, minimize follow-on maintenance requirements and replace existing dilapidated structures.

Personnel and Related Costs.—Personnel resources will be utilized to execute the AC&I projects described above.

Object Classification (in millions of dollars)

Identification code 69-0240-0-1-403	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	17	23	24
11.3 Other than full-time permanent	1	1	1
11.7 Military personnel	22	23	24
11.9 Total personnel compensation	40	47	49
12.1 Civilian personnel benefits	5	6	7
12.2 Military personnel benefits	9	9	12
21.0 Travel and transportation of persons	14	11	11
22.0 Transportation of things	2	1	1
23.3 Communications, utilities, and miscellaneous charges	5	4	4
25.1 Advisory and assistance services	140	108	109
25.2 Other services	149	115	116
26.0 Supplies and materials	103	79	80
31.0 Equipment	274	211	213
32.0 Land and structures	115	89	90
99.0 Direct obligations	856	680	692
99.0 Reimbursable obligations	15	28	28
99.5 Below reporting threshold		4	2
99.9 Total new obligations	871	712	722

Personnel Summary

Identification code 69-0240-0-1-403	2001 actual	2002 est.	2003 est.
Total compensable workyears:			
1001 Full-time equivalent employment	272	348	348
1101 Full-time equivalent employment	368	390	386

ENVIRONMENTAL COMPLIANCE AND RESTORATION

For necessary expenses to carry out the Coast Guard's environmental compliance and restoration functions under chapter 19 of title 14, United States Code, **[\$16,927,000] \$17,285,699**, to remain available until expended. (*Department of Transportation and Related*

Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 69-0230-0-1-304	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	21	18	17
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4	1	
22.00 New budget authority (gross)	17	17	17
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	22	18	17
23.95 Total new obligations	-21	-18	-17
24.40 Unobligated balance carried forward, end of year	1		
Net budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	17	17	17
Change in obligated balances:			
72.40 Obligated balance, start of year	11	13	9
73.10 Total new obligations	21	18	17
73.20 Total outlays (gross)	-17	-22	-20
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	13	9	6
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	5	5	5
86.93 Outlays from discretionary balances	12	15	15
87.00 Total outlays (gross)	17	22	20
Net budget authority and outlays:			
89.00 Budget authority	17	17	17
90.00 Outlays	17	22	20

The environmental compliance and restoration account provides resources to the Coast Guard to satisfy environmental compliance and restoration related obligations arising under chapter 19 of title 14 of the United States Code.

Object Classification (in millions of dollars)

Identification code 69-0230-0-1-304	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	3	4	4
12.1 Civilian personnel benefits	1	1	1
25.2 Other services	16	12	11
26.0 Supplies and materials	1	1	1
99.9 Total new obligations	21	18	17

Personnel Summary

Identification code 69-0230-0-1-304	2001 actual	2002 est.	2003 est.
Total compensable workyears:			
1001 Full-time equivalent employment	48	52	52
1101 Full-time equivalent employment	2	2	2

ALTERATION OF BRIDGES

[For necessary expenses for alteration or removal of obstructive bridges, \$15,466,000, to remain available until expended.] (*Department of Transportation and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 69-0244-0-1-403	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)	15	15	26
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	26	26	26

General and special funds—Continued

ALTERATION OF BRIDGES—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 69-0244-0-1-403	2001 actual	2002 est.	2003 est.
22.00 New budget authority (gross)	15	15
23.90 Total budgetary resources available for obligation	41	41	26
23.95 Total new obligations	-15	-15	-26
24.40 Unobligated balance carried forward, end of year	26	26
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	15	15
Change in obligated balances:			
72.40 Obligated balance, start of year	81	86	49
73.10 Total new obligations	15	15	26
73.20 Total outlays (gross)	-11	-52	-34
74.40 Obligated balance, end of year	86	49	41
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	4	3
86.93 Outlays from discretionary balances	7	48	35
87.00 Total outlays (gross)	11	52	34
Net budget authority and outlays:			
89.00 Budget authority	15	15
90.00 Outlays	11	52	34

This appropriation provides the Government's share of the costs for altering or removing bridges determined to be obstructions to navigation. Alteration of obstructive highway bridges is eligible for funding from the Federal-Aid Highways program. The Coast Guard will continue to make the determinations as to whether any bridge presents an unreasonable obstruction to navigation, and to administer the program.

PAYMENT TO COAST GUARD MILITARY RETIREMENT FUND

Program and Financing (in millions of dollars)

Identification code 69-0233-0-1-403	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 12.2)	736
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	736
23.95 Total new obligations	-736
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	736
Change in obligated balances:			
73.10 Total new obligations	736
73.20 Total outlays (gross)	-736
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	736
Net budget authority and outlays:			
89.00 Budget authority	736
90.00 Outlays	736

The Administration proposed legislation in October 2001 to accrue fully the retirement costs of Coast Guard military personnel. This general fund account would make mandatory payments to fund the unfunded liability accruing prior to this change (amortized over 40 years). The benefit payments to retirees will be made through the new Coast Guard military retirement fund.

[RETIRED PAY]

[For retired pay, including the payment of obligations therefor otherwise chargeable to lapsed appropriations for this purpose, payments under the Retired Serviceman's Family Protection and Survivor Benefits Plans, payment for career status bonuses under the National Defense Authorization Act, and for payments for medical care of retired personnel and their dependents under the Dependents Medical Care Act (10 U.S.C. ch. 55), \$876,346,000.] (Department of Transportation and Related Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 69-0241-0-1-403	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Regular military personnel	615	685
00.03 Reserve personnel	41	44
00.04 Survivor benefit programs	15	22
00.05 Medical care	106	125
09.01 Reimbursable program
10.00 Total new obligations	777	876
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	778	876
23.95 Total new obligations	-777	-876
23.98 Unobligated balance expiring or withdrawn	-1
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	778	876
69.00 Offsetting collections (cash)
70.00 Total new budget authority (gross)	778	876
Change in obligated balances:			
72.40 Obligated balance, start of year	72	81	123
73.10 Total new obligations	777	876
73.20 Total outlays (gross)	-771	-835
73.40 Adjustments in expired accounts (net)	3
74.40 Obligated balance, end of year	81	123	122
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	698	745
86.98 Outlays from mandatory balances	72	89
87.00 Total outlays (gross)	771	835
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources
Net budget authority and outlays:			
89.00 Budget authority	778	876
90.00 Outlays	771	835

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	778	876	889
90.00 Outlays	771	835	871

As part of the Administration's initiative to reflect full accrual of retirement costs, legislation is proposed to establish a new retirement fund to be known as the "Coast Guard Military Retirement Fund." Budget year data is reported in that new account for payments to retirees. The request for this account includes funding for the unfunded liabilities associated with current retirees.

Object Classification (in millions of dollars)

Identification code 69-0241-0-1-403	2001 actual	2002 est.	2003 est.
Direct obligations:			
13.0 Benefits for former personnel	671	751
25.6 Medical care	106	125
99.0 Direct obligations	777	876

99.0	Reimbursable obligations			
99.9	Total new obligations	777	876	

RESERVE TRAINING

[including transfer of funds]

For all necessary expenses of the Coast Guard Reserve, as authorized by law; maintenance and operation of facilities; and supplies, equipment, and services, **[\$83,194,000: Provided, That no more than \$25,800,000 of funds made available under this heading may be transferred to Coast Guard "Operating expenses" or otherwise made available to reimburse the Coast Guard for financial support of the Coast Guard Reserves: Provided further, That none of the funds in this Act may be used by the Coast Guard to assess direct charges on the Coast Guard Reserves for items or activities which were not so charged during fiscal year 1997] \$112,824,538. (Department of Transportation and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)**

Program and Financing (in millions of dollars)

Identification code 69-0242-0-1-403	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Initial training	4	4	1
00.02	Continuing training	50	51	51
00.03	Operation and maintenance support	16	17	21
00.04	Program management and administration	27	28	40
10.00	Total new obligations	97	100	113

Budgetary resources available for obligation:				
22.00	New budget authority (gross)	97	100	113
23.95	Total new obligations	-97	-100	-113

New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	97	100	113

Change in obligated balances:				
72.40	Obligated balance, start of year	8	10	11
73.10	Total new obligations	97	100	113
73.20	Total outlays (gross)	-93	-100	-112
74.40	Obligated balance, end of year	10	11	11

Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	87	89	102
86.93	Outlays from discretionary balances	7	11	11
87.00	Total outlays (gross)	93	100	112

Net budget authority and outlays:				
89.00	Budget authority	97	100	113
90.00	Outlays	93	100	112

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	80	83	87
90.00	Outlays	76	83	86

The Coast Guard Reserve Forces provide qualified personnel and trained units for active duty in event of conflict, national emergency, or natural and man-made disasters. The reservists maintain their readiness through mobilization exercises, and duty alongside regular Coast Guard members during routine and emergency operations. Reservists will continue to serve as a cost effective surge force for response to human and natural disasters.

Object Classification (in millions of dollars)

Identification code 69-0242-0-1-403	2001 actual	2002 est.	2003 est.	
Personnel compensation:				
11.1	Full-time permanent	3	3	4

11.7	Military personnel	55	60	63
11.8	Special personal services payments	1		1
11.9	Total personnel compensation	59	63	68
12.1	Civilian personnel benefits	1	1	1
12.2	Military personnel benefits	23	23	33
21.0	Travel and transportation of persons	6	5	5
22.0	Transportation of things	2	2	1
25.2	Other services	1	1	1
25.8	Subsistence and support of persons	1	1	1
26.0	Supplies and materials	1	1	1
31.0	Equipment	3	3	2
99.9	Total new obligations	97	100	113

Personnel Summary

Identification code 69-0242-0-1-403	2001 actual	2002 est.	2003 est.	
Total compensable workyears:				
1001	Full-time equivalent employment	85	87	92
1101	Full-time equivalent employment	406	407	438

RESEARCH, DEVELOPMENT, TEST, AND EVALUATION

For necessary expenses, not otherwise provided for, for applied scientific research, development, test, and evaluation; maintenance, rehabilitation, lease and operation of facilities and equipment, as authorized by law, **[\$20,222,000] \$23,105,686, to remain available until expended, of which [\$3,492,000] \$3,500,000, shall be derived from the Oil Spill Liability Trust Fund: Provided, That there may be credited to and used for the purposes of this appropriation funds received from State and local governments, other public authorities, private sources, and foreign countries, for expenses incurred for research, development, testing, and evaluation. (Department of Transportation and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)**

Program and Financing (in millions of dollars)

Identification code 69-0243-0-1-403	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Direct program:				
00.02	Waterways safety and management and aids to navigation	1	2	1
00.03	Marine safety and security	6	4	4
00.05	Marine environmental protection	1	1	2
00.06	Comprehensive law enforcement	5	5	5
00.07	Technology investment	5	4	5
00.08	Research and development personnel, program support and operations	5	5	5
08.00	Total direct program	23	21	22
09.01	Reimbursable program	1	2	2
10.00	Total new obligations	25	24	25

Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	1	1	
22.00	New budget authority (gross)	23	23	25
22.10	Resources available from recoveries of prior year obligations	1		
23.90	Total budgetary resources available for obligation	25	24	25
23.95	Total new obligations	-25	-24	-25
24.40	Unobligated balance carried forward, end of year	1		

New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	19	18	20
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	4	5	5
70.00	Total new budget authority (gross)	23	23	25

Change in obligated balances:				
72.40	Obligated balance, start of year	12	16	13
73.10	Total new obligations	25	24	25
73.20	Total outlays (gross)	-20	-27	-25
73.40	Adjustments in expired accounts (net)	-1		
73.45	Recoveries of prior year obligations	-1		
74.40	Obligated balance, end of year	16	13	12

General and special funds—Continued

RESEARCH, DEVELOPMENT, TEST, AND EVALUATION—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 69-0243-0-1-403	2001 actual	2002 est.	2003 est.
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	15	15	16
86.93 Outlays from discretionary balances	5	12	9
87.00 Total outlays (gross)	20	27	25

Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-4	-5	-5

Net budget authority and outlays:			
89.00 Budget authority	19	18	20
90.00 Outlays	16	22	20

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	18	17	19
90.00 Outlays	15	21	19

The Coast Guard's Research and Development program includes the development of techniques, methods, hardware, and systems which directly contribute to increasing the productivity and effectiveness of Coast Guard's operating missions. In the wake of the events of September 11, 2001, the R&D program will focus its efforts in 2003 on improvements to maritime homeland security in the port domain to help the Coast Guard protect American lives from the terrorist threat. R&D efforts will also continue in other vital Coast Guard mission areas, including marine environmental protection and response; risk assessment and competency; and human error reduction and fatigue analysis.

Object Classification (in millions of dollars)

Identification code 69-0243-0-1-403	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	5	6	6
11.7 Military personnel	2	2	2
11.9 Total personnel compensation	7	8	8
12.1 Civilian personnel benefits	2	2	3
21.0 Travel and transportation of persons	1	1	1
25.1 Advisory and assistance services	1	1	1
25.2 Other services	1		
25.5 Research and development contracts	11	10	10
26.0 Supplies and materials	1		
99.0 Direct obligations	24	22	23
99.0 Reimbursable obligations	1	2	2
99.9 Total new obligations	25	24	25

Personnel Summary

Identification code 69-0243-0-1-403	2001 actual	2002 est.	2003 est.
Total compensable workyears:			
1001 Full-time equivalent employment	70	74	74
1101 Full-time equivalent employment	31	31	31

Intragovernmental funds:

COAST GUARD SUPPLY FUND

Program and Financing (in millions of dollars)

Identification code 69-4535-0-4-403	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 26.0)	68	64	64
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	2	
22.00 New budget authority (gross)	68	64	64
23.90 Total budgetary resources available for obligation	70	66	64
23.95 Total new obligations	-68	-64	-64
24.40 Unobligated balance carried forward, end of year	2		

New budget authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	68	64	64

Change in obligated balances:			
72.40 Obligated balance, start of year	7	7	7
73.10 Total new obligations	68	64	64
73.20 Total outlays (gross)	-68	-64	-64
74.40 Obligated balance, end of year	7	7	7

Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	68	64	64

Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-58	-54	-54
88.40 Non-Federal sources	-10	-10	-10
88.90 Total, offsetting collections (cash)	-68	-64	-64

Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-1		

The Coast Guard supply fund, in accordance with 14 U.S.C. 650, finances the procurement of uniform clothing, commissary provisions, general stores, technical material, and fuel for vessels over 180 feet in length. The fund is normally financed by reimbursements from sale of goods.

COAST GUARD YARD FUND

Program and Financing (in millions of dollars)

Identification code 69-4743-0-4-403	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Costs of goods sold	20	24	24
09.02 Other	37	49	54
09.03 Capital investment: Purchase of equipment	1	1	2
10.00 Total new obligations	58	74	80

Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	7	41	
22.00 New budget authority (gross)	91	33	80
23.90 Total budgetary resources available for obligation	98	74	80
23.95 Total new obligations	-58	-74	-80
24.40 Unobligated balance carried forward, end of year	41		

New budget authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	91	33	80

Change in obligated balances:			
72.40 Obligated balance, start of year	-1	-10	30
73.10 Total new obligations	58	74	80
73.20 Total outlays (gross)	-67	-33	-80
74.40 Obligated balance, end of year	-10	30	30

Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	91	33	80
86.93	Outlays from discretionary balances	-24		
87.00	Total outlays (gross)	67	33	80
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-91	-33	-80
Net budget authority and outlays:				
89.00	Budget authority			
90.00	Outlays	-24		

This fund finances the industrial operation of the Coast Guard Yard, Curtis Bay, MD (14 U.S.C.). The yard finances its operations out of advances received from Coast Guard appropriations and other agencies for all direct and indirect costs.

ANALYSIS BY TYPE OF WORK

	[Percent]			
		2001 actual	2002 est.	2003 est.
Vessel repairs and alterations		33	49	34
Boat repairs and construction		4		
Buoy fabrication		2	2	2
Fabrication of special and miscellaneous items		61	49	64
Total		100	100	100

Object Classification (in millions of dollars)

Identification code 69-4743-0-4-403		2001 actual	2002 est.	2003 est.
Personnel compensation:				
11.1	Full-time permanent	21	24	25
11.3	Other than full-time permanent	2	2	2
11.5	Other personnel compensation	4	5	5
11.7	Military personnel	1	1	1
11.9	Total personnel compensation	28	32	33
12.1	Civilian personnel benefits	6	7	9
23.3	Communications, utilities, and miscellaneous charges	3	2	2
25.2	Other services	2	4	5
26.0	Supplies and materials	19	29	31
99.9	Total new obligations	58	74	80

Personnel Summary

Identification code 69-4743-0-4-403		2001 actual	2002 est.	2003 est.
Total compensable workyears:				
2001	Full-time equivalent employment	524	537	537
2101	Full-time equivalent employment	17	17	17

COAST GUARD MILITARY RETIREMENT FUND

Unavailable Collections (in millions of dollars)

Identification code 69-5499-0-2-602		2001 actual	2002 est.	2003 est.
01.99	Balance, start of year			
Receipts:				
02.43	Agency contributions, Coast Guard military retirement fund			329
02.44	Interest, Coast Guard military retirement fund			1
02.45	General fund payment, Coast Guard military retirement fund			736
02.80	Coast Guard military retirement fund			46
02.99	Total receipts and collections			1,112
Appropriations:				
05.01	Coast Guard military retirement fund			-935
05.99	Total appropriations			-935
07.99	Balance, end of year			177

Program and Financing (in millions of dollars)

Identification code 69-5499-0-2-602		2001 actual	2002 est.	2003 est.
Obligations by program activity:				
Direct program:				
00.01	Regular military personnel			719
00.02	Reserve personnel			49
00.03	Survivor benefit programs			25
00.04	Medical care			96
09.01	Reimbursable program			46
10.00	Total new obligations			935
Budgetary resources available for obligation:				
22.00	New budget authority (gross)			935
23.95	Total new obligations			-935
New budget authority (gross), detail:				
Mandatory:				
60.20	Appropriation (special fund)			889
69.00	Offsetting collections (cash)			46
70.00	Total new budget authority (gross)			935
Change in obligated balances:				
73.10	Total new obligations			935
73.20	Total outlays (gross)			-935
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority			935
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources			-46
Net budget authority and outlays:				
89.00	Budget authority			889
90.00	Outlays			889
Memorandum (non-add) entries:				
92.02	Total investments, end of year: Federal securities: Par value			177

The Administration proposed legislation in October 2001 to accrue fully the retirement costs of Coast Guard military personnel (as well as the Public Health Service and National Oceanic and Atmospheric Administration Commissioned Corps). The account will make payments to current retirees, receive the accrual payments from Coast Guard accounts for current active duty members, and receive a payment for unfunded liabilities of Coast Guard personnel.

This program provides for retired pay of military personnel of the Coast Guard and Coast Guard Reserve, members of the former Lighthouse Service, and for annuities payable to beneficiaries of retired military personnel under the retired serviceman's family protection plan (10 U.S.C. 1431-46) and survivor benefits plans (10 U.S.C. 1447-55); payments for career status bonuses under the National Defense Authorization Act; and for payments for medical care of retired personnel and their dependents under the Dependents Medical Care Act (10 U.S.C., ch. 55).

The following tabulation shows the average number of personnel on the rolls during 2001 compared with estimated numbers for 2002 and 2003:

AVERAGE NUMBER

Category:	2001 actual	2002 est.	2003 est.
Commissioned officers	5,633	5,750	5,912
Warrant officers	4,512	4,605	4,689
Enlisted personnel	19,415	19,801	20,182
Former Lighthouse Service personnel	5	3	2
Reserve personnel	3,934	4,152	4,339
Total	33,499	34,311	35,124

Intragovernmental funds—Continued

COAST GUARD MILITARY RETIREMENT FUND—Continued

Object Classification (in millions of dollars)

Identification code 69-5499-0-2-602	2001 actual	2002 est.	2003 est.
Direct obligations:			
12.2 Military personnel benefits			793
25.6 Medical care			96
99.0 Direct obligations			889
99.0 Reimbursable obligations			46
99.9 Total new obligations			935

Trust Funds

BOAT SAFETY

(AQUATIC RESOURCES TRUST FUND)

Program and Financing (in millions of dollars)

Identification code 69-8149-0-7-403	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 State recreational boating safety programs	59	72	59
00.02 Compliance and boating programs	5	5	5
10.00 Total new obligations	64	77	64

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	13	13	
22.00 New budget authority (gross)	64	64	64
23.90 Total budgetary resources available for obligation	77	77	64
23.95 Total new obligations	-64	-77	-64
24.40 Unobligated balance carried forward, end of year	13		

New budget authority (gross), detail:

Mandatory:			
62.00 Transferred from other accounts	64	64	64

Change in obligated balances:

72.40 Obligated balance, start of year	46	52	62
73.10 Total new obligations	64	77	64
73.20 Total outlays (gross)	-58	-66	-65
74.40 Obligated balance, end of year	52	62	62

Outlays (gross), detail:

86.93 Outlays from discretionary balances	1		
86.97 Outlays from new mandatory authority	28	27	28
86.98 Outlays from mandatory balances	29	39	37
87.00 Total outlays (gross)	58	66	65

Net budget authority and outlays:

89.00 Budget authority	64	64	64
90.00 Outlays	58	66	65

This account provides grants for the development and implementation of a coordinated national recreational boating safety program. Boating safety statistics reflect the success in meeting the program's objectives. No discretionary appropriation is requested for 2003 from the Boat safety account of the Aquatic resources trust fund. The Transportation Equity Act for the 21st Century (TEA-21) provides funding from the Aquatic resources trust fund of \$64 million annually beginning in 1999. Of this total, \$59 million is provided for grants to States and \$5 million is available for Coast Guard coordination of the national boating safety program.

Object Classification (in millions of dollars)

Identification code 69-8149-0-7-403	2001 actual	2002 est.	2003 est.
25.2 Other services	7	5	5
25.3 Other purchases of goods and services from Government accounts	1	1	1

41.0 Grants, subsidies, and contributions	56	71	58
99.9 Total new obligations	64	77	64

AQUATIC RESOURCES TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 20-8147-0-7-403	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	845	914	891
Receipts:			
02.01 Excise taxes, Sport fish restoration	358	385	393
02.02 Customs duties, Sport fish restoration	34	33	34
02.40 Interest on investments	95	42	52
02.99 Total receipts and collections	487	460	479
04.00 Total: Balances and collections	1,332	1,374	1,370
Appropriations:			
05.00 Sport fish restoration	-418	-483	-460
07.99 Balance, end of year	914	891	910

Program and Financing (in millions of dollars)

Identification code 20-8147-0-7-403	2001 actual	2002 est.	2003 est.
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value	1,192	1,304	1,280
92.02 Total investments, end of year: Federal securities:			
Par value	1,304	1,280	1,306

The Internal Revenue Code of 1986, as amended by TEA-21, provides for the transfer of Highway Trust Fund revenue derived from the motor boat fuel tax and certain other taxes to the Aquatic Resources Trust Fund. Appropriations are authorized from this fund to meet expenditures for programs specified by law, including sport fish restoration and boating safety. Excise tax receipts for the trust fund include motorboat fuel tax receipts, plus receipts from excise taxes on sport fishing equipment, sonar and fish finders, small engine fuels, and import duties on fishing equipment and recreational vessels.

OIL SPILL LIABILITY TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 20-8185-0-7-304	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	973	943	849
Receipts:			
02.00 Fines and penalties	4	6	6
02.02 Recoveries	60	7	7
02.40 Interest on investments	69	42	27
02.80 Oil spill response, EPA, offsetting collections	19	25	25
02.99 Total receipts and collections	152	80	65
04.00 Total: Balances and collections	1,125	1,023	914
Appropriations:			
05.00 Minerals Management Service	-6	-6	-6
05.01 Oil spill response, EPA	-34	-40	-42
05.02 Oil spill recovery, Coast Guard	-76	-61	-61
05.03 Trust fund share of expenses	-48	-48	-48
05.04 Research and special programs administration	-7	-8	-7
05.05 Denali Commission trust fund	-11	-11	-11
05.99 Total appropriations	-182	-174	-175
07.99 Balance, end of year	943	849	739

Program and Financing (in millions of dollars)

Identification code 20-8185-0-7-304	2001 actual	2002 est.	2003 est.
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value	1,199	1,128	1,040

92.02	Total investments, end of year: Federal securities:			
	Par value	1,128	1,040	925

The Oil spill liability trust fund is used to finance oil pollution prevention and cleanup activities by various Federal agencies. In accordance with the provisions of the Act, the Fund may finance annually up to \$50 million of emergency resources and all valid claims from injured parties resulting from oil spills. For Coast Guard, this funds the following accounts: Trust fund share of expenses, Oil spill recovery, and Payment of claims. The Omnibus Budget Reconciliation Act of 1989, Public Law 101-239, triggered collection of a 5 cent tax on each barrel of oil produced domestically or imported to be deposited into the Oil spill liability trust fund. The authority to collect the oil barrel tax expired on December 31, 1994.

Status of Funds (in millions of dollars)

Identification code 20-8185-0-7-304	2001 actual	2002 est.	2003 est.	
Unexpended balance, start of year:				
0100	Uninvested balance	10	27	
U.S. Securities:				
0101	Par value	1,199	1,128	1,040
0102	Unrealized discounts	-51	-14	
0199	Total balance, start of year	1,156	1,143	1,040
Cash income during the year:				
Current law:				
Receipts:				
1200	Fines and penalties	4	6	6
1202	Recoveries	60	7	7
Offsetting receipts (intragovernmental):				
1240	Earnings on investments, oil spill liability trust fund	69	42	27
Offsetting collections:				
1280	Offsetting collections	19	25	25
1299	Income under present law	152	80	65
Cash outgo during year:				
Current law:				
4500	Oil spill research	-7	-6	-6
4501	Oil spill response	-34	-48	-45
4502	Oil Spill Recovery, Coast Guard	-71	-61	-61
4503	Trust fund share of expenses	-48	-48	-48
4504	Trust fund share of pipeline safety	-7	-5	-8
4505	Denali Commission trust fund		-11	-11
4507	North Pacific marine research institute fund		-5	
4599	Outgo under current law (-)	-167	-184	-179
Unexpended balance, end of year:				
8700	Uninvested balance	27		
Federal securities:				
8701	Par value	1,128	1,040	925
8702	Unrealized discounts	-14		
8799	Total balance, end of year	1,143	1,040	925

OIL SPILL RECOVERY, COAST GUARD
(OIL SPILL LIABILITY TRUST FUND)

Program and Financing (in millions of dollars)

Identification code 69-8349-0-7-304	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Emergency fund	112	50	50
00.02	Payment of claims	25	10	10
00.03	Prince William Sound Oil Spill Recovery Institute	1	1	1
10.00	Total new obligations (object class 25.2)	138	61	61
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	76	18	18
22.00	New budget authority (gross)	76	61	61
22.10	Resources available from recoveries of prior year obligations	4		
23.90	Total budgetary resources available for obligation	156	79	79
23.95	Total new obligations	-138	-61	-61
24.40	Unobligated balance carried forward, end of year	18	18	18

New budget authority (gross), detail:

Mandatory:				
60.26	Appropriation (trust fund)	76	61	61

Change in obligated balances:

72.40	Obligated balance, start of year	92	156	156
73.10	Total new obligations	138	61	61
73.20	Total outlays (gross)	-71	-61	-61
73.45	Recoveries of prior year obligations	-4		
74.40	Obligated balance, end of year	156	156	156

Outlays (gross), detail:

86.97	Outlays from new mandatory authority	71	61	61
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Net budget authority and outlays:

89.00	Budget authority	76	61	61
90.00	Outlays	71	61	61

Distribution of budget authority by account:

	Emergency fund	50	50	50
	Oil spill recovery institute	1	1	1
	Payment of claims	25	10	10

Distribution of outlays by account:

	Emergency fund	45	50	50
	Oil spill recovery institute	1	1	1
	Payment of claims	13	10	10

This account provides resources from the Oil Spill Liability Trust Fund for costs associated with the cleanup of oil spills. These include emergency costs associated with oil spill clean-up, the Prince William Sound Oil Spill Recovery Institute, and the payment of claims to those who suffer harm from oil spills where the responsible party is not identifiable or is without resources. The program activities in this account will continue to be funded under separate permanent appropriations, and are being displayed in a consolidated format to enhance presentation.

TRUST FUND SHARE OF EXPENSES
(OIL SPILL LIABILITY TRUST FUND)

Program and Financing (in millions of dollars)

Identification code 69-8314-0-7-304	2001 actual	2002 est.	2003 est.
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Obligations by program activity:

00.01	Operating expenses	25	25	25
00.02	Acquisition, construction and improvements	20	20	20
00.03	Research, development, test and evaluation	3	3	3
10.00	Total new obligations (object class 92.0)	48	48	48

Budgetary resources available for obligation:

22.00	New budget authority (gross)	48	48	48
23.95	Total new obligations	-48	-48	-48

New budget authority (gross), detail:

Discretionary:				
40.26	Appropriation (trust fund)	48	48	48

Change in obligated balances:

73.10	Total new obligations	48	48	48
73.20	Total outlays (gross)	-48	-48	-48

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	48	48	48
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Net budget authority and outlays:

89.00	Budget authority	48	48	48
90.00	Outlays	48	48	48

Distribution of budget authority by account:

	Operating expenses	25	25	25
	Acquisition, construction and improvement	20	20	20
	Research, development, test, and evaluation	3	3	3

Distribution of outlays by account:

	Operating expenses	25	25	25
	Acquisition, construction and improvements	20	20	20
	Research, development, test, and evaluation	3	3	3

TRUST FUND SHARE OF EXPENSES—Continued
(OIL SPILL LIABILITY TRUST FUND)—Continued

This account provides resources from the Oil spill liability trust fund for activities authorized under the Operating expenses; Acquisition, construction, and improvements; and Research, development, test and evaluation accounts.

COAST GUARD GENERAL GIFT FUND

Program and Financing (in millions of dollars)

Identification code 69-8533-0-7-403	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	2	2
24.40 Unobligated balance carried forward, end of year	2	2	2
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value	1		

This trust fund, maintained from gifts and bequests, is used for purposes as specified by the donor in connection with the Coast Guard training program (10 U.S.C. 2601).

MISCELLANEOUS TRUST REVOLVING FUNDS

Unavailable Collections (in millions of dollars)

Identification code 69-9981-0-8-403	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.80 Right-of-way revolving fund liquidating account, off-setting collections	8	8	8
Appropriations:			
05.00 Right-of-way revolving fund liquidating account	-8	-8	-8
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 69-9981-0-8-403	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)	8	8	8
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	8	8	8
23.95 Total new obligations	-8	-8	-8
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	8	8	8
Change in obligated balances:			
73.10 Total new obligations	8	8	8
73.20 Total outlays (gross)	-8	-8	-8
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	8	8	8
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-8	-8	-8
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

The Coast Guard cadet fund is used by the Superintendent of the Coast Guard Academy to receive, plan, control, and

expend funds for personal expenses and obligations of Coast Guard cadets.

The Coast Guard surcharge collections, sales of commissary stores fund is used to finance expenses incurred in connection with the operation of the Coast Guard commissary store in Kodiak, Alaska. Revenue is derived from a surcharge placed on sales (14 U.S.C. 487).

FEDERAL AVIATION ADMINISTRATION

The following table depicts the total funding for all Federal Aviation Administration programs, for which more detail is furnished in the budget schedules:

Budget authority:	[In millions of dollars]		
	2001 actual	2002 est.	2003 est.
Operations	¹ 6,926	7,474	7,482
General fund (memorandum entry)	(2,521)	(1,500)	(3,683)
Grants-in-aid for airports (trust fund)	² 2,597	³ 3,176	3,404
Facilities and equipment (trust)	¹ 2,667	⁴ 3,024	2,999
Research, engineering, and development (trust)	¹ 189	248	127
Aviation user fees	30		
Total net	12,409	13,922	14,012
Obligations:			
Operations	6,878	7,994	7,502
General fund (memorandum entry)	(2,450)	(2,020)	(3,703)
Grants-in-aid for airports (trust)	3,289	3,478	3,404
Facilities and equipment (trust)	2,472	2,825	3,033
Research, engineering, and development (trust)	193	262	127
Aviation insurance revolving fund		62	1
Total net	12,832	14,621	14,067
Outlays:			
Operations	6,717	8,777	7,525
General fund (memorandum entry)	(1,648)	(2,751)	(3,702)
Grants-in-aid for airports (trust)	2,020	2,801	3,273
Facilities and equipment (trust)	2,282	2,406	2,727
Research, engineering, and development (trust)	169	250	198
Aviation insurance revolving fund	-9	45	-2
Administrative services franchise fund	-66	72	3
Total net	11,113	14,351	13,724

Note.—The amount shown as Operations includes the general fund share of operations.
¹ Reflects a reduction of 0.22 percent in 2001 as required by P.L. 106-554.
² Reflects a rescission of \$609 million, as required by P.L. 106-346, P.L. 106-554, P.L. 107-20.
³ Reflects a rescission of \$302 million, as required by P.L. 107-87.
⁴ Includes \$15 million rescission of unobligated balances.

Federal Funds

General and special funds:

OPERATIONS

For necessary expenses of the Federal Aviation Administration, not otherwise provided for, including operations and research activities related to commercial space transportation, administrative expenses for research and development, establishment of air navigation facilities, the operation (including leasing) and maintenance of aircraft, subsidizing the cost of aeronautical charts and maps sold to the public, lease or purchase of passenger motor vehicles for replacement only, in addition to amounts made available by Public Law 104-264, **[\$6,886,000,000] \$7,481,970,000**, of which **[\$5,773,519,000] \$3,799,278,000** shall be derived from the Airport and Airway Trust Fund, of which not to exceed \$5,452,871,000 shall be available for air traffic services program activities; not to exceed \$768,769,000 shall be available for aviation regulation and certification program activities; not to exceed \$150,154,000 shall be available for civil aviation security program activities; not to exceed \$195,799,000 shall be available for research and acquisition program activities; not to exceed \$12,456,000 shall be available for commercial space transportation program activities; not to exceed \$50,284,000 shall be available for financial services program activities; not to exceed \$69,516,000 shall be available for human resources program activities; not to exceed \$85,943,000 shall be available for regional coordination program activities; and not to exceed \$109,208,000 shall be available for staff offices: *Provided*, **[That none of the funds in this Act shall**

be available for the Federal Aviation Administration to finalize or implement any regulation that would promulgate new aviation user fees not specifically authorized by law after the date of the enactment of this Act: *Provided further,* That there may be credited to this appropriation funds received from States, counties, municipalities, foreign authorities, other public authorities, and private sources, for expenses incurred in the provision of agency services, including receipts for the maintenance and operation of air navigation facilities, and for issuance, renewal or modification of certificates, including airman, aircraft, and repair station certificates, or for tests related thereto, or for processing major repair or alteration forms: *Provided further,* That of the funds appropriated under this heading, not less than \$6,000,000 shall be for the contract tower cost-sharing program: *Provided further,* That funds may be used to enter into a grant agreement with a nonprofit standard-setting organization to assist in the development of aviation safety standards: *Provided further,* That none of the funds in this Act shall be available for new applicants for the second career training program: *Provided further,* That none of the funds in this Act shall be available for paying premium pay under 5 U.S.C. 5546(a) to any Federal Aviation Administration employee unless such employee actually performed work during the time corresponding to such premium pay: *Provided further,* That none of the funds in this Act may be obligated or expended to operate a manned auxiliary flight service station in the contiguous United States: *Provided further,* That none of the funds in this Act for aeronautical charting and cartography are available for activities conducted by, or coordinated through, the Transportation Administrative Service Center. (*Department of Transportation and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

[(AIRPORT AND AIRWAY TRUST FUND)]

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Operations", \$200,000,000, to be derived from the Airport and Airway Trust Fund and to remain available until September 30, 2003, to be obligated from amounts made available in Public Law 107-38.] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 69-1301-0-1-402	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Air traffic services	5,446	5,792	6,096
00.02 Regulation and certification	735	810	839
00.03 Civil aviation security	150	179	9
00.04 Research and acquisitions	193	199	206
00.05 Commercial space transportation	12	12	12
00.06 Regional coordination	103	92	95
00.07 Human resources	57	72	74
00.08 Financial services	49	51	53
00.09 Staff offices	109	114	113
00.10 Emergency response fund	1	473	
00.11 Information services/CIO			5
09.01 Reimbursable program	68	80	83
10.00 Total new obligations	6,923	7,874	7,585
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		128	
22.00 New budget authority (gross)	7,062	7,396	7,585
22.22 Unobligated balance transferred from other accounts		351	
23.90 Total budgetary resources available for obligation	7,062	7,875	7,585
23.95 Total new obligations	-6,923	-7,874	-7,585
23.98 Unobligated balance expiring or withdrawn	-11		
24.40 Unobligated balance carried forward, end of year	128		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	2,490	1,495	3,683
40.20 Appropriation (special fund)		8	
40.72 Reduction pursuant to P.L. 107-117		-1	
40.76 Reduction pursuant to P.L. 107-87		-2	
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-5		
42.00 Transferred from other accounts	123		
43.00 Appropriation (total discretionary)	2,608	1,500	3,683
Mandatory:			
61.00 Transferred to other accounts	-50		

Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	4,467	5,896	3,902
68.10 Change in uncollected customer payments from Federal sources (unexpired)	37		
68.90 Spending authority from offsetting collections (total discretionary)	4,504	5,896	3,902
70.00 Total new budget authority (gross)	7,062	7,396	7,585
Change in obligated balances:			
72.40 Obligated balance, start of year	107	854	81
73.10 Total new obligations	6,923	7,874	7,585
73.20 Total outlays (gross)	-6,152	-8,647	-7,604
73.40 Adjustments in expired accounts (net)	13		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-37		
74.40 Obligated balance, end of year	854	81	62
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	6,048	6,569	6,736
86.93 Outlays from discretionary balances	104	2,078	869
87.00 Total outlays (gross)	6,152	8,647	7,604
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-4,484	-5,876	-3,882
88.40 Non-Federal sources	-20	-20	-20
88.90 Total, offsetting collections (cash)	-4,504	-5,896	-3,902
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-37		
Net budget authority and outlays:			
89.00 Budget authority	2,521	1,500	3,683
90.00 Outlays	1,648	2,751	3,702

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	2,160	1,118	3,278
90.00 Outlays	1,287	2,369	3,297

For 2003, the Budget requests \$7,482 million. These funds will be used to continue to provide non-security-related services to the aviation community and to increase critical efforts to augment safety oversight, increase system capacity, reduce runway incursions, and decrease system delays.

Object Classification (in millions of dollars)

Identification code 69-1301-0-1-402	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	3,481	3,945	3,349
11.3 Other than full-time permanent	30	29	29
11.5 Other personnel compensation	293	306	306
11.8 Special personal services payments	1	1	1
11.9 Total personnel compensation	3,805	4,281	3,685
12.1 Civilian personnel benefits	1,369	1,457	1,479
13.0 Benefits for former personnel	1	1	1
21.0 Travel and transportation of persons	102	101	101
22.0 Transportation of things	19	19	19
23.1 Rental payments to GSA	82	96	96
23.2 Rental payments to others	39	37	37
23.3 Communications, utilities, and miscellaneous charges	341	340	340
24.0 Printing and reproduction	14	13	13
25.1 Advisory and assistance services	15	12	12
25.2 Other services	941	1,221	1,501
25.3 Other purchases of goods and services from Government accounts	5	6	7
26.0 Supplies and materials	57	182	183
31.0 Equipment	60	26	26
32.0 Land and structures	3	1	1

General and special funds—Continued
OPERATIONS—Continued
[(AIRPORT AND AIRWAY TRUST FUND)]—Continued
Object Classification (in millions of dollars)—Continued

Identification code 69-1301-0-1-402	2001 actual	2002 est.	2003 est.
42.0 Insurance claims and indemnities	2	1	1
99.0 Direct obligations	6,855	7,794	7,502
99.0 Reimbursable obligations	68	80	83
99.9 Total new obligations	6,923	7,874	7,585

Personnel Summary

Identification code 69-1301-0-1-402	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	43,964	45,212	44,575
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	209	283	283

AVIATION USER FEES

Unavailable Collections (in millions of dollars)

Identification code 69-5422-0-2-402	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.00 Overflight user fees	30	28	30
02.80 FAA activities, offsetting collections			83
02.99 Total receipts and collections	30	28	113
Appropriations:			
05.00 FAA activities	-30	-20	-30
05.01 Essential air service and rural improvement fund			-83
05.02 Operations		-8	
05.99 Total appropriations	-30	-28	-113
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 69-5422-0-2-402	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		30	
22.00 New budget authority (gross)	30		
22.21 Unobligated balance transferred to other accounts		-30	
23.90 Total budgetary resources available for obligation	30		
24.40 Unobligated balance carried forward, end of year	30		
New budget authority (gross), detail:			
Mandatory:			
60.20 Appropriation (special fund)	30	20	30
61.00 Transferred to other accounts		-20	-30
62.50 Appropriation (total mandatory)	30		
Net budget authority and outlays:			
89.00 Budget authority	30		
90.00 Outlays			

The Federal Aviation Reauthorization Act of 1996 (P.L. 104-264) authorized the collection of user fees for services provided by the FAA to aircraft that neither takeoff nor land in the United States, commonly known as overflight fees. In addition, the Act permanently appropriated the first \$50 million of such fees, or other FAA resources in the event collections are lower than \$50 million, to be used for the Essential Air Service (EAS) program and rural airport improvements. Amounts collected in excess of \$50 million are permanently appropriated for authorized expenses of the

FAA. The Budget estimates that \$30 million in overflight fees will be collected in 2003, and transferred to the Essential Air Service and Rural Airport Improvement Fund. As collections are estimated to be below required EAS funding, the Budget proposes \$83 million in EAS financing will be provided from funds made available from the Airport Improvement Program.

Public enterprise funds:

AVIATION INSURANCE REVOLVING FUND

[The Secretary of Transportation is hereby authorized to make such expenditures and investments, within the limits of funds available pursuant to 49 U.S.C. 44307, and in accordance with section 104 of the Government Corporation Control Act, as amended (31 U.S.C. 9104), as may be necessary in carrying out the program for aviation insurance activities under chapter 443 of title 49, United States Code.] (*Department of Transportation and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 69-4120-0-3-402	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Program administration		1	1
00.02 Incremental premium reimbursement		61	
10.00 Total new obligations		62	1
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	79	88	93
22.00 New budget authority (gross)	9	17	2
22.22 Unobligated balance transferred from other accounts		50	
23.90 Total budgetary resources available for obligation	88	155	95
23.95 Total new obligations		-62	-1
24.40 Unobligated balance carried forward, end of year	88	93	94
New budget authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	9	17	2
Change in obligated balances:			
73.10 Total new obligations		62	1
73.20 Total outlays (gross)		-62	
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority		62	
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-9	-17	-2
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-9	45	-2
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value			92
92.02 Total investments, end of year: Federal securities:			
Par value		92	94

The fund provides direct support for the aviation insurance program (chapter 443 of title 49, U.S. Code). Income to the fund is derived from premium collections for premium insurance coverage issued, income from authorized investments, and binder fees for nonpremium coverage issued. The binders provide aviation insurance coverage for U.S. air carrier aircraft used in connection with certain Government contract operations by the Department of Defense and the Department of State. The Air Transportation System Safety and Stabilization Act (P.L. 107-42) expanded the aviation insurance program to all U.S. air carriers for world-wide operations.

Object Classification (in millions of dollars)

Identification code 69-4120-0-3-402	2001 actual	2002 est.	2003 est.
42.0 Direct obligations: Insurance claims and indemnities		61	
99.5 Below reporting threshold		1	1
99.9 Total new obligations		62	1

Personnel Summary

Identification code 69-4120-0-3-402	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	2	3	3

Intragovernmental accounts:

ADMINISTRATIVE SERVICES FRANCHISE FUND

Program and Financing (in millions of dollars)

Identification code 69-4562-0-4-402	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Accounting	21	13	13
09.02 Payroll	4	4	4
09.03 Travel	1	2	2
09.04 Duplicating services	6	7	7
09.05 Multi-media	1	2	2
09.06 Training	4	5	5
09.07 Logistics	139	140	150
09.08 Aircraft maintenance	30	33	36
09.10 Information technology	4	43	50
09.11 Accrued Federal employee pensions and annuitant health benefits	5	5	5
10.00 Total new obligations	215	254	274

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	3	26	3
22.00 New budget authority (gross)	238	231	271
23.90 Total budgetary resources available for obligation	241	257	274
23.95 Total new obligations	-215	-254	-274
24.40 Unobligated balance carried forward, end of year	26	3	

New budget authority (gross), detail:

Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	232	231	271
68.10 Change in uncollected customer payments from Federal sources (unexpired)	6		
68.90 Spending authority from offsetting collections (total discretionary)	238	231	271

Change in obligated balances:

72.40 Obligated balance, start of year	9	49	
73.10 Total new obligations	215	254	274
73.20 Total outlays (gross)	-168	-303	-274
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-6		
74.40 Obligated balance, end of year	49		

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	156	231	271
86.93 Outlays from discretionary balances	12	72	3
87.00 Total outlays (gross)	168	303	274

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-232	-231	-271
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-6		

Net budget authority and outlays:

89.00 Budget authority			
90.00 Outlays	-66	72	3

In 1997, the Federal Aviation Administration established a franchise fund to finance operations where the costs for goods and services provided are charged to the users on a reimbursable basis. The fund improves organizational efficiency and provides better support to FAA's internal and external customers. The activities included in this franchise fund are: training, accounting, payroll, travel, duplicating services, multi-media services, information technology, material management (logistics), and aircraft maintenance.

Object Classification (in millions of dollars)

Identification code 69-4562-0-4-402	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	54	64	68
12.1 Civilian personnel benefits	18	22	23
21.0 Travel and transportation of persons	2	3	3
22.0 Transportation of things	3	3	3
23.3 Communications, utilities, and miscellaneous charges		1	1
24.0 Printing and reproduction	3	3	3
25.2 Other services	80	98	111
26.0 Supplies and materials	50	52	54
31.0 Equipment	5	8	8
99.0 Reimbursable obligations	215	254	274
99.9 Total new obligations	215	254	274

Personnel Summary

Identification code 69-4562-0-4-402	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	977	1,067	1,067

Trust Funds

AIRPORT AND AIRWAY TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 20-8103-0-7-402	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	7,074	7,344	4,738
Receipts:			
02.00 Excise taxes	9,191	8,939	9,680
02.40 Interest	882	869	623
02.81 Facilities and equipment, offsetting collections	72	208	120
02.82 Research, engineering and development, offsetting collections	4	16	16
02.99 Total receipts and collections	10,149	10,032	10,439
04.00 Total: Balances and collections	17,223	17,376	15,177
Appropriations:			
05.00 Trust fund share of FAA operations	-4,405	-5,974	-3,799
05.01 Grants-in-aid for airports liquidating cash	-2,594	-3,173	-3,404
05.02 Facilities and equipment	-2,723	-3,230	-3,119
05.03 Research, engineering and development	-191	-261	-143
05.05 Office of airline information			-4
05.99 Total appropriations	-9,913	-12,638	-10,469
06.10 Unobligated balance returned to receipts	34		
07.99 Balance, end of year	7,344	4,738	4,708

Program and Financing (in millions of dollars)

Identification code 20-8103-0-7-402	2001 actual	2002 est.	2003 est.
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value	13,097	13,657	12,767
92.02 Total investments, end of year: Federal securities:			
Par value	13,657	12,767	13,041

Section 9502 of Title 26, U.S. Code provides for amounts equivalent to the receipts received in the Treasury for the passenger ticket tax and certain other taxes paid by airport and airway users to be transferred to the Airport and Airway

AIRPORT AND AIRWAY TRUST FUND—Continued

Trust Fund. In turn, appropriations are authorized from this fund to meet obligations for airport improvement grants, FAA facilities and equipment, research, and operations, and for the Bureau of Transportation Statistics Office of Airline Information.

The status of the fund is as follows (in millions of dollars):

Status of Funds (in millions of dollars)			
Identification code 20-8103-0-7-402	2001 actual	2002 est.	2003 est.
Unexpended balance, start of year:			
0100 Uninvested balance	837	825
0101 U.S. Securities: Par value	13,097	13,657	12,767
0199 Total balance, start of year	13,934	14,482	12,767
Cash income during the year:			
Current law:			
Receipts:			
Receipts:			
1200 Passenger ticket tax	4,805	4,248	4,763
1200 Passenger flight segment tax	1,556	1,634	1,771
1200 Waybill tax	493	585	606
1200 Fuel tax	769	849	882
1200 International departure/arrival tax	1,336	1,371	1,401
1200 Rural airports tax	82	93	96
1200 Frequent flyer tax	150	158	162
Offsetting receipts (intragovernmental):			
1240 Interest, Airport and airway trust fund	882	869	623
Offsetting collections:			
1281 Facilities and equipment	72	208	120
1282 Research, engineering, and development	4	16	16
1299 Income under present law	10,149	10,032	10,439
Cash outgo during the year:			
Current law:			
4500 Trust fund share of FAA operations	-5,069	-6,050	-3,823
4501 Grants-in-aid for airports	-2,017	-2,798	-3,273
Cash outgo during the year (-):			
4502 Facilities and equipment	-2,266	-2,389	-2,709
4502 Facilities and equipment offsetting collections	-72	-208	-120
Cash outgo during the year (-):			
4503 Research, engineering and development	-167	-247	-195
4503 Research, engineering and development offsetting collections	-4	-16	-16
4504 Payments to air carriers	-6	-39	-25
4505 Office of airline information	-4
4599 Outgo under current law (-)	-9,601	-11,723	-10,186
Unexpended balance, end of year:			
8700 Uninvested balance	825
8701 Federal securities: Par value	13,660	12,767	13,041
8799 Total balance, end of year	14,485	12,767	13,041

Note.—The invested balances shown above include both appropriated and unavailable balances.

GRANTS-IN-AID FOR AIRPORTS
(LIQUIDATION OF CONTRACT AUTHORIZATION)
(LIMITATION ON OBLIGATIONS)
(AIRPORT AND AIRWAY TRUST FUND)

For liquidation of obligations incurred for grants-in-aid for airport planning and development, and noise compatibility planning and programs as authorized under subchapter I of chapter 471 and subchapter I of chapter 475 of title 49, United States Code, and under other law authorizing such obligations; for procurement, installation, and commissioning of runway incursion prevention devices and system at airports of such title; [for implementation of section 203 of Public Law 106-181;] and for inspection activities and administration of airport safety programs, including those related to airport operating certificates under [section 44706 of title 49, United States Code, \$1,800,000,000], 49 U.S.C. 44706, \$3,100,000,000, to be derived from the Airport and Airway Trust Fund and to remain available until expended; and \$3,637,000 in budget authority, to be derived from the Airport and Airway Trust Fund for 2003: Provided, That none of the funds under this heading shall be available for the planning or execution of programs the obligations for which are in excess of [\$3,300,000,000,] \$3,400,000,000 in fiscal year [2002] 2003, notwithstanding [section 47117(h) of title 49, United States Code] 49 U.S.C. 47117(h): Provided further, That notwithstanding any other

provision of law, not more than [\$57,050,000] \$81,049,000 of funds limited under this heading shall be obligated for administration [and not less than \$20,000,000 shall be for the Small Community Air Service Development Pilot Program] and for technology research: Provided further, That funds under this heading shall be available to carry out the Essential Air Service program under 49 U.S.C. 41742(a), as amended.

[(RESCISSION OF CONTRACT AUTHORIZATION)]

[Of the obligation balances authorized under 49 U.S.C. 48103, as amended, \$301,720,000 are rescinded.] (Department of Transportation and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, notwithstanding any other provision of law, for "Grants-in-aid for airports", to enable the Federal Aviation Administrator to compensate airports for a portion of the direct costs associated with new, additional or revised security requirements imposed on airport operators by the Administrator on or after September 11, 2001, \$175,000,000, to be derived from the Airport and Airway Trust Fund, to remain available until expended, and to be obligated from amounts made available in Public Law 107-38.] (Emergency Supplemental Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 69-8106-0-7-402	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Grants-in-aid for airports	3,233	3,223	3,236
00.02 Personnel and related expenses	56	60	69
00.03 Essential air services	83
00.04 Airport technology research	16
00.05 Small community air service	20
00.06 Discretionary Terrorist Response	175
10.00 Total new obligations	3,289	3,478	3,404
Budgetary resources available for obligation:			
21.49 Unobligated balance carried forward, start of year:			
Contract authority	904	302	1
22.00 New budget authority (gross)	2,597	3,176	3,404
22.10 Resources available from recoveries of prior year obligations	91
23.90 Total budgetary resources available for obligation	3,592	3,478	3,405
23.95 Total new obligations	-3,289	-3,478	-3,404
24.49 Unobligated balance carried forward, end of year:			
Contract authority	302	1	1
New budget authority (gross), detail:			
Discretionary:			
40.26 Appropriation (trust fund)	3,206	1,978	3,104
40.49 Portion applied to liquidate contract authority	-3,200	-1,800	-3,100
43.00 Appropriation (total discretionary)	6	178	4
Mandatory:			
Contract authority:			
66.10 Contract authority (AIR-21)	3,200	3,300	3,400
66.10 Contract authority (reappropriation)
66.35 Contract authority rescinded	-609	-302
66.90 Contract authority (total mandatory)	2,591	2,998	3,400
70.00 Total new budget authority (gross)	2,597	3,176	3,404
Change in obligated balances:			
72.40 Obligated balance, start of year	3,200	4,378	5,055
73.10 Total new obligations	3,289	3,478	3,404
73.20 Total outlays (gross)	-2,020	-2,801	-3,273
73.45 Recoveries of prior year obligations	-91
74.40 Obligated balance, end of year	4,378	5,055	5,186
75.01 Obligated balance, start of year: Contract authority	3,200	4,378	5,055
75.02 Obligated balance, end of year: Contract authority	4,378	5,879	5,357
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	615	669	741
86.93 Outlays from discretionary balances	1,405	2,132	2,532
87.00 Total outlays (gross)	2,020	2,801	3,273
Net budget authority and outlays:			
89.00 Budget authority	2,597	3,176	3,404
90.00 Outlays	2,020	2,801	3,273

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	2,594	3,173	3,400
90.00 Outlays	2,017	2,798	3,269

Subchapter I of chapter 471, title 49, U.S. Code (formerly the Airport and Airway Improvement Act of 1982, as amended) provides for airport improvement grants, including those emphasizing capacity development, safety and security needs; and chapter 475 of title 49 provides for grants for aircraft noise mitigation and planning.

The 2002 appropriations act provides funding under this heading for administrative costs related to this program. For 2003, the Administration proposes that funds under this heading also be provided for the FAA's airport-related research and to make up for shortfalls in overflight fee collections to fund the Essential Air Service program, consistent with section 41742, title 49, U.S. Code.

Object Classification (in millions of dollars)

Identification code 69-8106-0-7-402	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	36	40	43
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	37	41	44
12.1 Civilian personnel benefits	11	12	14
21.0 Travel and transportation of persons	2	2	2
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	5	22	41
41.0 Grants, subsidies, and contributions	3,233	3,400	3,302
99.9 Total new obligations	3,289	3,478	3,404

Personnel Summary

Identification code 69-8106-0-7-402	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	450	501	535

FACILITIES AND EQUIPMENT

(AIRPORT AND AIRWAY TRUST FUND)

For necessary expenses, not otherwise provided for, for acquisition, establishment, and improvement by contract or purchase, and hire of air navigation and experimental facilities and equipment as authorized under part A of subtitle VII of title 49, United States Code, including initial acquisition of necessary sites by lease or grant; engineering and service testing, including construction of test facilities and acquisition of necessary sites by lease or grant; construction and furnishing of quarters and related accommodations for officers and employees of the Federal Aviation Administration stationed at remote localities where such accommodations are not available; and the purchase, lease, or transfer of aircraft from funds available under this heading; to be derived from the Airport and Airway Trust Fund, **[\$2,914,000,000] \$2,999,573,000**; of which **[\$2,536,900,000] \$2,558,477,000** shall remain available until September 30, **[2004] 2005**, and of which **[\$377,100,000] \$441,096,000** shall remain available until September 30, **[2002] 2003**: *Provided*, That there may be credited to this appropriation funds received from States, counties, municipalities, other public authorities, and private sources, for expenses incurred in the establishment and modernization of air navigation facilities: *Provided further*, That upon initial submission to the Congress of the fiscal year **[2003] 2004** President's budget, the Secretary of Transportation shall transmit to the Congress a comprehensive capital investment plan for the Federal Aviation Administration which includes funding for each budget line item for fiscal years **[2003] 2004** through **[2007] 2008**, with total funding for each year of the plan constrained to the funding targets for those years as estimated and approved by the Office of Management and

Budget: *Provided further*, That the amount herein appropriated shall be reduced by \$100,000 per day for each day after initial submission of the President's budget that the plan has not been submitted to the Congress].

[(RESCISSION)]

[Of the available balances under this heading, \$15,000,000 are rescinded.] (*Department of Transportation and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Facilities and Equipment", \$108,500,000, to be derived from the Airport and Airway Trust Fund, to remain available until September 30, 2004, and to be obligated from amounts made available in Public Law 107-38.] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 69-8107-0-7-402	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Engineering, development, test and evaluation	651	626	59
00.02 Procurement and modernization of air traffic control (ATC) facilities and equipment	1,060	1,355	372
00.03 Procurement and modernization of non-ATC facilities and equipment	187	199	49
00.04 Mission support	237	251	39
00.05 Personnel and related expenses	337	394	441
00.06 Improve aviation safety			327
00.07 Improve efficiency of the air traffic control system			741
00.08 Increase capacity of the NAS			286
00.09 Improve reliability of the NAS			359
00.10 Improve the efficiency of mission support			360
09.01 Reimbursable program	72	120	121
10.00 Total new obligations	2,544	2,945	3,154

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	234	483	771
22.00 New budget authority (gross)	2,739	3,232	3,119
22.10 Resources available from recoveries of prior year obligations	60		
23.90 Total budgetary resources available for obligation	3,033	3,715	3,890
23.95 Total new obligations	-2,544	-2,945	-3,154
23.98 Unobligated balance expiring or withdrawn	-6		
24.40 Unobligated balance carried forward, end of year	483	771	737

New budget authority (gross), detail:

Discretionary:			
40.26 Appropriation (trust fund)	2,673	3,039	2,999
40.36 Unobligated balance rescinded		-15	
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-6		
43.00 Appropriation (total discretionary)	2,667	3,024	2,999
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	72	208	120
70.00 Total new budget authority (gross)	2,739	3,232	3,119

Change in obligated balances:

72.40 Obligated balance, start of year	1,648	1,751	2,082
73.10 Total new obligations	2,544	2,945	3,154
73.20 Total outlays (gross)	-2,354	-2,614	-2,847
73.40 Adjustments in expired accounts (net)	-27		
73.45 Recoveries of prior year obligations	-60		
74.40 Obligated balance, end of year	1,751	2,082	2,388

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	1,147	1,127	1,119
86.93 Outlays from discretionary balances	1,207	1,486	1,728
87.00 Total outlays (gross)	2,354	2,614	2,847

Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-57	-188	-100
88.40 Non-Federal sources	-15	-20	-20
88.90 Total, offsetting collections (cash)	-72	-208	-120

Net budget authority and outlays:

89.00 Budget authority	2,667	3,024	2,999
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FACILITIES AND EQUIPMENT—Continued
[(RESCISSION)]—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 69-8107-0-7-402	2001 actual	2002 est.	2003 est.
90.00 Outlays	2,282	2,406	2,727
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	2,651	3,007	2,981
90.00 Outlays	2,266	2,389	2,709

Note.—Improve reliability of the national air space system has an estimated contingent liability of \$67 million (present value) associated with the FAA's long-term lease of facilities at the Mike Monroney Aeronautical Center, Oklahoma City, Oklahoma. This contingent liability will be funded through this account.

Funding in this account provides for the national airspace system equipment, facility, and related applied research activities. For 2003, funding is proposed in a performance-based structure consistent with provisions of the Wendell H. Ford Aviation Investment and Reform Act for the 21st Century, "AIR-21," (P.L. 106-181) requiring performance-based management reforms. The majority of proposed funding is allocated to the following performance goal areas of the FAA: improve aviation safety; improve efficiency to the air traffic control system; increase capacity of the national airspace system; improve reliability of the national airspace system; and, improve efficiency of mission support. Of the proposed funding, \$124 million is included for explosives detection systems and related expenses, consistent with prior FAA capital planning to the statutory AIR-21 funding levels. The budget provides that this funding will be reimbursed to the Transportation Security Administration as it undertakes security equipment acquisition activities.

Object Classification (in millions of dollars)

Identification code 69-8107-0-7-402	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	202	238	266
11.3 Other than full-time permanent	2	1	1
11.5 Other personnel compensation	7	9	10
11.9 Total personnel compensation	211	248	277
12.1 Civilian personnel benefits	63	83	96
21.0 Travel and transportation of persons	39	51	51
22.0 Transportation of things	3	5	6
23.2 Rental payments to others	53	54	56
23.3 Communications, utilities, and miscellaneous charges	16	16	17
24.0 Printing and reproduction	2	1	2
25.2 Other services	1,604	1,811	1,941
26.0 Supplies and materials	28	48	51
31.0 Equipment	260	329	349
32.0 Land and structures	184	173	180
41.0 Grants, subsidies, and contributions	9	6	7
99.0 Direct obligations	2,472	2,825	3,033
99.0 Reimbursable obligations	72	120	121
99.9 Total new obligations	2,544	2,945	3,154

Personnel Summary

Identification code 69-8107-0-7-402	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	2,690	3,067	3,234
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	26	55	55

RESEARCH, ENGINEERING, AND DEVELOPMENT
(AIRPORT AND AIRWAY TRUST FUND)

For necessary expenses, not otherwise provided for, for research, engineering, and development, as authorized under part A of subtitle VII of title 49, United States Code, including construction of experimental facilities and acquisition of necessary sites by lease or grant, [\$195,000,000] \$126,744,000, to be derived from the Airport and Airway Trust Fund and to remain available until September 30, [2004] 2005: *Provided*, That there may be credited to this appropriation funds received from States, counties, municipalities, other public authorities, and private sources, for expenses incurred for research, engineering, and development. (*Department of Transportation and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

[For necessary expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Research, Engineering, and Development", \$50,000,000, to be derived from the Airport and Airway Trust Fund, to remain available until September 30, 2003, and to be obligated from amounts made available in Public Law 107-38.] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 69-8108-0-7-402	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 System development and infrastructure	17	17
00.04 Weather	24	27
00.06 Aircraft safety technology	68	69
00.07 System security technology	55	98
00.08 Human factors and aviation medicine	25	29
00.09 Environment and energy	4	22
00.11 Improve aviation safety	102
00.12 Improve efficiency of the air traffic control system	9
00.13 Reduce environmental impact of aviation	8
00.14 Improve the efficiency of mission support	8
09.01 Reimbursable program	4	16	16
10.00 Total new obligations	197	278	143
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	13	14
22.00 New budget authority (gross)	193	264	143
22.10 Resources available from recoveries of prior year obligations	5
23.90 Total budgetary resources available for obligation	211	278	143
23.95 Total new obligations	-197	-278	-143
24.40 Unobligated balance carried forward, end of year	14
New budget authority (gross), detail:			
Discretionary:			
40.26 Appropriation (trust fund)	189	248	127
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	4	16	16
70.00 Total new budget authority (gross)	193	264	143
Change in obligated balances:			
72.40 Obligated balance, start of year	144	162	174
73.10 Total new obligations	197	278	143
73.20 Total outlays (gross)	-173	-266	-214
73.40 Adjustments in expired accounts (net)	-1
73.45 Recoveries of prior year obligations	-5
74.40 Obligated balance, end of year	162	174	103
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	86	166	93
86.93 Outlays from discretionary balances	87	100	121
87.00 Total outlays (gross)	173	266	214
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-4	-16	-16
Net budget authority and outlays:			
89.00 Budget authority	189	248	127
90.00 Outlays	169	250	198

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	187	245	124
90.00 Outlays	167	247	195

This account provides funding to conduct research, engineering, and development to improve the national airspace system's capacity and safety, as well as the ability to meet environmental needs. For 2003, funding is proposed in a performance-based structure consistent with provisions of the Wendell H. Ford Aviation Investment and Reform Act for the 21st Century (P.L. 106-181) requiring performance-based management reforms. The majority of proposed funding is allocated to the following performance goal areas of the FAA: improve aviation safety; improve efficiency of the air traffic control system; reduce environmental impact of aviation; and, improve the efficiency of mission support. The FAA will also work with the Transportation Security Administration on security research activities funded in the budget and in previous legislation, such as broadband two-way secure communications and other security technologies.

Object Classification (in millions of dollars)

Identification code 69-8108-0-7-402	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	29	33	22
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1
11.9 Total personnel compensation	31	35	23
12.1 Civilian personnel benefits	9	11	8
21.0 Travel and transportation of persons	3	3	2
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.5 Research and development contracts	96	164	59
26.0 Supplies and materials	4	4	3
31.0 Equipment	4	4	2
41.0 Grants, subsidies, and contributions	45	40	29
99.0 Direct obligations	193	262	127
99.0 Reimbursable obligations	4	16	16
99.9 Total new obligations	197	278	143

Personnel Summary

Identification code 69-8108-0-7-402	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	413	455	292

TRUST FUND SHARE OF FAA OPERATIONS
(AIRPORT AND AIRWAY TRUST FUND)

Program and Financing (in millions of dollars)

Identification code 69-8104-0-7-402	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Air traffic services	12
00.02 Regulation and certification	11	100
00.03 Civil aviation security	100
00.04 Payment to Operations	4,405	5,774	3,799
10.00 Total new obligations	4,428	5,974	3,799
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	23
22.00 New budget authority (gross)	4,405	5,974	3,799
23.90 Total budgetary resources available for obligation	4,428	5,974	3,799

23.95 Total new obligations	-4,428	-5,974	-3,799
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New budget authority (gross), detail:

Discretionary:			
40.26 Appropriation (trust fund)	4,415	5,974	3,799
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-10
43.00 Appropriation (total discretionary)	4,405	5,974	3,799

Change in obligated balances:

72.40 Obligated balance, start of year	717	76	24
73.10 Total new obligations	4,428	5,974	3,799
73.20 Total outlays (gross)	-5,069	-6,026	-3,823
74.40 Obligated balance, end of year	76	24

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	4,405	5,950	3,799
86.93 Outlays from discretionary balances	664	77	24
87.00 Total outlays (gross)	5,069	6,026	3,823

Net budget authority and outlays:

89.00 Budget authority	4,405	5,974	3,799
90.00 Outlays	5,069	6,026	3,823

For 2003, the budget proposes \$7,482 million for FAA Operations, of which \$3,799 million would be provided from the Airport and Airway Trust Fund.

Object Classification (in millions of dollars)

Identification code 69-8104-0-7-402	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	4	42
12.1 Civilian personnel benefits	1	8
21.0 Travel and transportation of persons	1	10
25.2 Other services	17	115
26.0 Supplies and materials	10
31.0 Equipment	15
92.0 Undistributed	4,405	5,774	3,799
99.9 Total new obligations	4,428	5,974	3,799

Personnel Summary

Identification code 69-8104-0-7-402	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	32	792

FEDERAL HIGHWAY ADMINISTRATION

The Transportation Equity Act for the 21st Century (TEA-21) reauthorized surface transportation programs through 2003. TEA-21 provides for increased transportation infrastructure investment, strengthens transportation safety programs and environmental programs, and continues core research activities. TEA-21, along with title 23, U.S.C. ("Highways") and other supporting legislation, provides authority for the various programs of the Federal Highway Administration designed to improve highways throughout the Nation.

In 2002, the Federal Highway Administration continues major programs, including the Surface Transportation Program, the National Highway System, Interstate Maintenance, the Highway Bridge Replacement and Rehabilitation Program, and the Congestion Mitigation and Air Quality Improvement Program, Transportation Infrastructure Finance and Innovation, and the National Corridor Planning and Border Infrastructure Programs.

In summary, the 2003 budget consists of \$31,111 million in new budget authority and \$28,496 million in outlays. The following table reflects program levels (obligations). Because project selection is determined by the States, the 2002 and 2003 program levels are estimates.

FEDERAL HIGHWAY ADMINISTRATION

[In millions of dollars]

Obligations:	2001 actual ^{1,2}	2002 est.	2003 est.
Total Federal-aid highways	29,393	32,401	23,416
Federal-aid subject to limitation	27,811	31,178	22,499
Direct loan subsidy (TIFIA)	112	90	85
Guarantee loan subsidy (TIFIA)		8	5
Line of credit (TIFIA)		9	4
Administrative expenses (TIFIA)	2	2	2
Surface transportation program	7,126	6,987	4,932
National highway system	5,445	5,978	4,243
Interstate maintenance	4,108	5,039	3,524
Bridge program	3,036	4,316	3,025
Congestion mitigation and air quality improvement	884	1,699	1,198
Minimum guarantee	2,005	1,573	1,829
ITS standards, research and development	86	120	82
ITS deployment	86	167	91
Transportation research	201	262	179
Federal lands highways	636	855	515
National corridor planning and coordinated border infrastructure	122	509	104
Administration	294	310	318
Other programs	1,754	703	305
High priority projects	1,159	1,414	1,479
Woodrow Wilson memorial bridge	342	305	167
Appalachian development highway system	321	721	329
Safety incentive grants for use of seat belts	93	110	83
Emergency relief supplemental	566	234	
Emergency relief program	88	125	100
Minimum allocation/guarantee	745	595	622
Demonstration projects	160	245	171
Administration, accruals for CSRS and FEHB	23	24	24
Loan reestimates (TIFIA)		19	
Miscellaneous highways trust funds	408	356	256
Appalachian development highway system (GF)	17	207	
Appalachian development highway system (TF)	194	60	
Miscellaneous appropriations (GF)	616	192	44
Miscellaneous trust funds	42	56	56
Total program level	30,670	33,291	23,772
Total discretionary	29,635	32,268	22,840
Total mandatory	1,035	1,013	932

¹ Reflects a 0.22 percent across the board reduction in 2001 as required by P.L. 106-554.

² 2001 funds reflect the transfer of \$1,291 million from FHWA to FTA. The budget assumes that flex-funding transfer between FHWA and FTA will continue.

Federal Funds

MISCELLANEOUS APPROPRIATIONS

Note.—2001 appropriations were provided by sections 375, 376, and 379 of the Department of Transportation and Related Agencies Appropriations Act, 2001, as enacted by section 101(a) of P.L. 106-346. 2002 appropriations were provided by section 330 of the Department of Transportation and Related Agencies Appropriations Act, 2002, as amended by section 1103 of the Emergency Supplemental Act, 2002.

Program and Financing (in millions of dollars)

Identification code 69-9911-0-1-401	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.10 Feasibility, design, environmental and engineering		1	1
00.11 Bridge Improvement demo proj		1	1
00.12 Interstate transfer grants		1	1
00.24 Highway demonstration projects	6	3	3
00.30 Highway demonstration projects—preliminary engineering		1	1
00.45 Highway bypass demonstration		2	2
00.46 Railroad highway crossing demonstration	1	2	2
00.79 Surface transportation projects	9	179	31
00.81 Miscellaneous Massachusetts projects	1		
00.82 Woodrow Wilson bridge	599		
00.83 Miscellaneous highway projects/muscle shoals		2	2
10.00 Total new obligations (object class 41.0)	616	192	44
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	203	192	148
22.00 New budget authority (gross)	605	148	
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	809	340	148
23.95 Total new obligations	-616	-192	-44

24.40 Unobligated balance carried forward, end of year	192	148	104
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	606	148	
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
43.00 Appropriation (total discretionary)	605	148	
Change in obligated balances:			
72.40 Obligated balance, start of year	170	728	512
73.10 Total new obligations	616	192	44
73.20 Total outlays (gross)	-58	-408	-257
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	728	512	299
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		40	
86.93 Outlays from discretionary balances	58	368	257
87.00 Total outlays (gross)	58	408	257
Net budget authority and outlays:			
89.00 Budget authority	605	148	
90.00 Outlays	58	408	257

This consolidated schedule shows the obligation and outlay of amounts made available for programs in prior years. No further appropriation is requested.

[APPALACHIAN DEVELOPMENT HIGHWAY SYSTEM]

[For necessary expenses for the Appalachian Development Highway System as authorized under section 1069(y) of Public Law 102-240, as amended, \$200,000,000, to remain available until expended.] (*Department of Transportation and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 69-0640-0-1-401	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Appalachian highway development system, 1999	17	7	
00.02 Appalachian highway development system, 2002		200	
10.00 Total new obligations (object class 25.2)	17	207	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	23	7	
22.00 New budget authority (gross)		200	
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	24	207	
23.95 Total new obligations	-17	-207	
24.40 Unobligated balance carried forward, end of year	7		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation		200	
Change in obligated balances:			
72.40 Obligated balance, start of year	161	94	209
73.10 Total new obligations	17	207	
73.20 Total outlays (gross)	-83	-92	-106
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	94	209	103
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		54	
86.93 Outlays from discretionary balances	83	38	106
87.00 Total outlays (gross)	83	92	106
Net budget authority and outlays:			
89.00 Budget authority		200	
90.00 Outlays	83	92	106

Funding for this program will be used for the necessary expenses relating to construction of, and improvements to,

corridor X of the Appalachian Development Highway System (ADHS) in the State of Alabama, and to the ADHS in the State of West Virginia. No further appropriation is requested as the ADHS is funded as part of the Federal-aid highway program.

【STATE INFRASTRUCTURE BANKS】

【RESCISSION】

【Of the funds made available for State Infrastructure Banks in Public Law 104–205, \$5,750,000 are rescinded.】 (*Department of Transportation and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 69–0549–0–1–401	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4	5	
22.00 New budget authority (gross)		–6	
22.10 Resources available from recoveries of prior year obligations	1	1	
23.90 Total budgetary resources available for obligation	5		
24.40 Unobligated balance carried forward, end of year	5		
New budget authority (gross), detail:			
Discretionary:			
40.36 Unobligated balance rescinded		–6	
Change in obligated balances:			
72.40 Obligated balance, start of year	25	20	13
73.20 Total outlays (gross)	–3	–6	–5
73.45 Recoveries of prior year obligations	–1	–1	
74.40 Obligated balance, end of year	20	13	8
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		–1	
86.93 Outlays from discretionary balances	3	7	5
87.00 Total outlays (gross)	3	6	5
Net budget authority and outlays:			
89.00 Budget authority		–6	
90.00 Outlays	3	6	5

This schedule shows the obligation and outlay of amounts made available in prior years.

ELLSWORTH HOUSING SETTLEMENT

Program and Financing (in millions of dollars)

Identification code 69–5460–0–2–401	2001 actual	2002 est.	2003 est.
Outlays (gross), detail:			
86.93 Outlays from discretionary balances		3	
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays		3	

The account reflects a portion of the funds received by the United States in settlement of the claims against the Hunt Building Corporation and Ellsworth Housing Limited Partnership. The funds that are available to the Secretary of Transportation are for construction of an access road on Interstate Route 90 at Box Elder, South Dakota.

Credit accounts:

TRANSPORTATION INFRASTRUCTURE FINANCE AND INNOVATION PROGRAM DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 69–4123–0–3–401	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Loan obligations	874	2,000	1,914
00.02 Interest paid to Treasury	19	30	64
10.00 Total new obligations	893	2,030	1,978
Budgetary resources available for obligation:			
22.00 New financing authority (gross)	893	2,030	1,978
23.95 Total new obligations	–893	–2,030	–1,978
New financing authority (gross), detail:			
Mandatory:			
67.10 Authority to borrow	780	1,930	1,876
69.00 Offsetting collections (cash)	9	51	69
69.10 Change in uncollected customer payments from Federal sources (unexpired)	104	49	33
69.90 Spending authority from offsetting collections (total mandatory)	113	100	102
70.00 Total new financing authority (gross)	893	2,030	1,978
Change in obligated balances:			
72.40 Obligated balance, start of year	1,237	2,006	3,528
73.10 Total new obligations	893	2,030	1,978
73.20 Total financing disbursements (gross)	–19	–460	–894
74.00 Change in uncollected customer payments from Federal sources (unexpired)	–104	–49	–33
74.40 Obligated balance, end of year	2,006	3,528	4,579
87.00 Total financing disbursements (gross)	19	460	894
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Federal sources: subsidy from program account		–34	–52
88.40 Non-Federal sources: interest payments	–9	–17	–17
88.90 Total, offsetting collections (cash)	–9	–51	–69
Against gross financing authority only:			
88.95 Change in receivables from program accounts	–104	–49	–33
Net financing authority and financing disbursements:			
89.00 Financing authority	780	1,930	1,876
90.00 Financing disbursements	10	409	825

Status of Direct Loans (in millions of dollars)

Identification code 69–4123–0–3–401	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans	1,800	2,000	2,400
1142 Unobligated direct loan limitation (–)	–926		–486
1150 Total direct loan obligations	874	2,000	1,914
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	300	300	730
1231 Disbursements: Direct loan disbursements		430	830
1251 Repayments: Repayments and prepayments			
1290 Outstanding, end of year	300	730	1,560

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans made under the Transportation Infrastructure Finance and Innovation Act Program (TIFIA). The amounts in this account are a means of financing and are not included in the budget totals.

Credit accounts—Continued

TRANSPORTATION INFRASTRUCTURE FINANCE AND INNOVATION PROGRAM DIRECT LOAN FINANCING ACCOUNT—Continued

Balance Sheet (in millions of dollars)

Identification code 69-4123-0-3-401	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Investments in US securities:				
1106 Federal assets: Receivables, net	61	176	382	615
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	300	300	730	1,560
1402 Interest receivable	15	15	17	17
1405 Allowance for subsidy cost (-)	-8	-20	-60	-60
1499 Net present value of assets related to direct loans	292	295	687	1,517
1999 Total assets	353	471	1,069	2,132
LIABILITIES:				
Federal liabilities:				
2103 Debt	292	295	687	1,517
2105 Other	61	176	382	615
2999 Total liabilities	353	471	1,069	2,132
4999 Total liabilities and net position	353	471	1,069	2,132

TRANSPORTATION INFRASTRUCTURE FINANCE AND INNOVATION PROGRAM GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 69-4145-0-3-401	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year			2
22.00 New financing authority (gross)		2	4
23.90 Total budgetary resources available for obligation		2	6
24.40 Unobligated balance carried forward, end of year		2	6
New financing authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)		2	4
Offsets:			
Against gross financing authority and financing disbursements:			
88.00 Offsetting collections (cash) from: Federal sources: loan guarantee subsidy		-2	-4
Net financing authority and financing disbursements:			
89.00 Financing authority			
90.00 Financing disbursements		-2	-4

Status of Guaranteed Loans (in millions of dollars)

Identification code 69-4145-0-3-401	2001 actual	2002 est.	2003 est.
LOAN GUARANTEES			
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders		200	100
2142 Uncommitted loan guarantee limitation	-200		
2150 Total guaranteed loan commitments		200	100
2199 Guaranteed amount of guaranteed loan commitments		200	100
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year			160
2231 Disbursements of new guaranteed loans		160	183
2290 Outstanding, end of year		160	343
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year		160	343

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees made under the Transportation Infrastructure Finance and Innovation Act Program (TIFIA). The amounts are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 69-4145-0-3-401	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets: Fund balances with Treasury				
1101			2	6
1999 Total assets			2	6
LIABILITIES:				
Non-Federal liabilities: Liabilities for loan guarantees				
2204			2	6
2999 Total liabilities			2	6
NET POSITION:				
3999 Total net position				
4999 Total liabilities and net position			2	6

TRANSPORTATION INFRASTRUCTURE FINANCE AND INNOVATION PROGRAM LINE OF CREDIT FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 69-4173-0-3-401	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Lines of credit		200	100
10.00 Total new obligations		200	100
Budgetary resources available for obligation:			
22.00 New financing authority (gross)		200	100
23.95 Total new obligations		-200	-100
New financing authority (gross), detail:			
Mandatory:			
67.10 Authority to borrow		191	96
69.00 Offsetting collections (cash)		9	4
70.00 Total new financing authority (gross)		200	100
Change in obligated balances:			
72.40 Obligated balance, start of year	83	83	283
73.10 Total new obligations		200	100
74.40 Obligated balance, end of year	83	283	385
Offsets:			
Against gross financing authority and financing disbursements:			
88.00 Offsetting collections (cash) from: Federal sources		-9	-4
Net financing authority and financing disbursements:			
89.00 Financing authority		191	96
90.00 Financing disbursements		-9	-4

Status of Direct Loans (in millions of dollars)

Identification code 69-4173-0-3-401	2001 actual	2002 est.	2003 est.
LINES OF CREDIT			
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans	200	200	100
1142 Unobligated direct loan limitation (-)	-200		
1150 Total direct loan obligations		200	100

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from lines of credit made under the Transportation Infrastructure Finance and Innovation Act Program (TIFIA). The amounts are a means of financing and are not included in the budget totals.

ORANGE COUNTY (CA) TOLL ROAD DEMONSTRATION PROJECT
PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 69-0543-0-1-401	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
22.10 Resources available from recoveries of prior year obligations	5	2	
23.98 Unobligated balance expiring or withdrawn	-5	-2	
Change in obligated balances:			
72.40 Obligated balance, start of year	18	13	11
73.45 Recoveries of prior year obligations	-5	-2	
74.40 Obligated balance, end of year	13	11	11
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

As required by the Federal Credit Reform Act of 1990, this account records for this program, the subsidy costs associated with the direct loans obligated in 1992 and later years (including modifications of direct loans, loan guarantees, or lines of credit that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

Future Federal credit enhancements for transportation infrastructure will be made under the Transportation Infrastructure Finance and Innovation Act Program.

ORANGE COUNTY (CA) TOLL ROAD DEMONSTRATION PROJECT
DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 69-4200-0-3-401	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
22.10 Resources available from recoveries of prior year obligations	77	27	
23.98 Unobligated balance expiring or withdrawn	-77	-27	
New financing authority (gross), detail:			
Mandatory:			
69.10 Change in uncollected customer payments from Federal sources (unexpired)	5	2	
69.45 Portion precluded from obligation (limitation on obligations)	-5	-2	
69.90 Spending authority from offsetting collections (total mandatory)			
Change in obligated balances:			
72.40 Obligated balance, start of year	247	165	136
73.45 Recoveries of prior year obligations	-77	-27	
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-5	-2	
74.40 Obligated balance, end of year	165	136	136
Offsets:			
Against gross financing authority only:			
88.95 Change in receivables from program accounts	-5	-2	
Net financing authority and financing disbursements:			
89.00 Financing authority	-5	-2	
90.00 Financing disbursements			

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and later years (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Trust Funds

RIGHT-OF-WAY REVOLVING FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 69-8402-0-8-401	2001 actual	2002 est.	2003 est.
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	31	24	24
69.45 Portion precluded from obligation (limitation on obligations)	-31	-24	-24
69.90 Spending authority from offsetting collections (total mandatory)			
Change in obligated balances:			
72.40 Obligated balance, start of year	33	21	11
73.20 Total outlays (gross)	-12	-10	-10
74.40 Obligated balance, end of year	21	11	1
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	12	10	10
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-31	-24	-24
Net budget authority and outlays:			
89.00 Budget authority	-31	-24	-24
90.00 Outlays	-19	-14	-14

Status of Direct Loans (in millions of dollars)

Identification code 69-8402-0-8-401	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	129	109	95
1231 Disbursements: Direct loan disbursements	11	10	10
1251 Repayments: Repayments and prepayments	-31	-24	-24
1290 Outstanding, end of year	109	95	81

The Federal-Aid Highway Act of 1968 authorized the establishment of a right-of-way revolving fund. This fund was used to make cash advances to States for the purpose of purchasing right-of-way parcels in advance of highway construction and thereby preventing the inflation of land prices from significantly increasing construction costs.

This program was terminated by TEA-21 but will continue to be shown for reporting purposes as loan balances remain outstanding. The purchase of right-of-way is an eligible expense of the Federal-aid program and therefore a separate program is unnecessary. No further obligations are proposed in 2003.

Statement of Operations (in millions of dollars)

Identification code 69-8402-0-8-401	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	3	19	24	24
0102 Expense	-49	-12	-14	-14
0105 Net income or loss (-)	-46	7	10	10

HIGHWAY TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 20-8102-0-7-401	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	-13,520	-20,554	-29,775
Receipts:			
02.00 Highway trust fund, deposits (highway account)	26,916	27,062	27,974
02.01 Highway trust fund, deposits (mass transit account)	4,553	4,864	4,978
02.20 Cash Management Improvement Act interest, Highway trust fund (highway acco)	1		
02.80 Right-of-way revolving fund liquidating account, off-setting collections	52	92	92

HIGHWAY TRUST FUND—Continued

Unavailable Collections (in millions of dollars)—Continued

Identification code 20-8102-0-7-401	2001 actual	2002 est.	2003 est.
02.81 Operations and research (trust fund share), offsetting collections	11	11	11
02.82 Right-of-way revolving fund liquidating account, offsetting collections	31	24	24
02.83 Motor carrier safety, offsetting collections	8	9	10
02.99 Total receipts and collections	31,572	32,062	33,089
04.00 Total: Balances and collections	18,052	11,508	3,314
Appropriations:			
05.00 Federal-aid highways	-34,315	-35,251	-31,189
05.01 Motor carrier safety	-99	-118	-138
05.02 Motor carrier safety grants	-177	-182	-190
05.04 Right-of-way revolving fund liquidating account			
05.05 Miscellaneous highway trust funds	3		
05.06 Operations and research (trust fund share)	-85	-85	-85
05.07 Highway traffic safety grants	-213	-223	-225
05.09 Discretionary grants (trust fund)			
05.10 Trust fund share of expenses	-3,730	-5,398	-5,781
05.14 Appalachian development highway system	1		
05.15 Border enforcement program		-26	-61
05.99 Total appropriations	-38,615	-41,283	-37,669
06.10 Unobligated balance returned to receipts	9		
07.99 Balance, end of year	-20,554	-29,775	-34,355

Program and Financing (in millions of dollars)

Identification code 20-8102-0-7-401	2001 actual	2002 est.	2003 est.
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value		24,115	24,247
92.02 Total investments, end of year: Federal securities:			
Par value	24,115	24,247	22,235

The Highway Revenue Act of 1956, as amended, provides for the transfer from the General Fund to the Highway Trust Fund of revenue from the motor fuel tax and certain other taxes paid by highway users. The Secretary of the Treasury estimates the amounts to be transferred. In turn, appropriations are authorized from this fund to meet expenditures for Federal-aid highways and other programs as specified by law.

This table shows the status of the resources of the Highway Trust Fund relative to the obligational authority that has been made available for programs financed by the trust fund. The encumbered balance indicates the degree to which the outstanding obligational authority exceeds the estimated cash balances of the fund each year. Under the laws governing the Highway Trust Fund, the amount of obligational authority available at any time cannot exceed the actual cash balances plus the amount of receipts estimated to be collected during the following two years; for most other trust funds obligational authority is limited to the actual receipts of the fund.

The status of the fund is as follows:

Status of Funds (in millions of dollars)

Identification code 20-8102-0-7-401	2001 actual	2002 est.	2003 est.
Unexpended balance, start of year:			
0100 Uninvested balance	77	3,626	
0101 U.S. Securities: Par value	31,023	24,115	24,247
0199 Total balance, start of year	31,100	27,741	24,247
Cash income during the year:			
Current law:			
Receipts:			
1200 Highway trust fund, deposits (Highway account)	26,916	27,062	27,974
1201 Highway Trust Fund deposits (Transit account)	4,553	4,864	4,978
Offsetting receipts (proprietary):			
1220 CMIA receipts	1		

Offsetting collections:			
1280 Federal-aid highways	52	92	92
1281 NHTSA Grants	11	11	11
1282 Right-of-way revolving fund liquidating account	31	24	24
1283 Motor carrier safety	8	9	10
1299 Income under present law	31,572	32,062	33,089
Cash outgo during year:			
Current law:			
4500 Federal-aid highways	-27,297	-28,320	-27,833
4501 Motor carrier safety	-85	-143	-129
4502 National motor carrier safety program	-122	-196	-201
4503 Highway-related safety grants		-1	
4504 Right-of-way revolving fund (trust revolving fund)	-12	-10	-10
4505 Miscellaneous highway trust funds	-76	-306	-309
4506 Operations and research (trust fund share)	-97	-103	-91
4507 Highway traffic safety grants	-207	-229	-233
4508 Trust fund share of next generation high speed rail program	-2		
4509 Discretionary grants (trust fund)	-722	-714	-386
4510 Trust fund share of expenses	-6,301	-5,398	-5,781
4511 Construction, National Park Service, Interior	-1	-2	-4
4514 Appalachian development highway system	-9	-111	-67
4515 Border enforcement program		-23	-58
4599 Outgo under current law (-)	-34,931	-35,556	-35,102
Unexpended balance, end of year:			
8700 Uninvested balance	3,626		
8701 Federal securities: Par value	24,115	24,247	22,235
8799 Total balance, end of year	27,741	24,247	22,235

The following table shows the annual income and outlays of programs funded by the highway account of the trust fund.

HIGHWAY TRUST FUND
(HIGHWAY ACCOUNT ONLY)

	[In millions of dollars]		
	2001 actual	2002 est.	2003 est.
Unexpended balance, start of year	22,553	20,372	18,126
Cash income during the year:			
Total cash income	27,019	27,198	28,111
Cash outgo during the year (outlays)			
Total cash outgo	29,200	29,444	28,934
Unexpended balance, end of year	20,372	18,126	17,303

Note.—The invested balances shown above include both appropriated and unavailable balances.

FEDERAL-AID HIGHWAYS
(LIMITATION ON OBLIGATIONS)
(HIGHWAY TRUST FUND)

None of the funds in this Act shall be available for the implementation or execution of programs, the obligations for which are in excess of **[\$31,799,104,000]** \$22,608,787,000 for Federal-aid highways and highway safety construction programs for fiscal year 2003; and \$24,357,000 in budget authority to be derived from the Highway Trust Fund for [2002: Provided, That within the \$31,799,104,000 obligation limitation on Federal-aid highways and highway safety construction programs, not more than \$447,500,000 shall be available for the implementation or execution of programs for transportation research (sections 502, 503, 504, 506, 507, and 508 of title 23, United States Code, as amended; section 5505 of title 49, United States Code, as amended; and sections 5112 and 5204-5209 of Public Law 105-178) for fiscal year 2002: Provided further, That this limitation on transportation research programs shall not apply to any funds authorized under section 110 of title 23, United States Code, and allocated to these programs, or to any authority previously made available for obligation: Provided further, That within the \$225,000,000 obligation limitation on Intelligent Transportation Systems, the following sums shall be made available for Intelligent Transportation System projects that are designed to achieve the goals and purposes set forth in section 5203 of the Intelligent Transportation Systems Act of 1998 (subtitle C of title V of Public Law 105-178; 112 Stat. 453; 23 U.S.C. 502 note) in the following specified areas:

- Alameda-Contra Costa, California, \$500,000;
- Alaska statewide, \$2,500,000;
- Alexandria, Virginia, \$750,000;
- Arizona statewide EMS, \$500,000;
- Army trail road traffic signal coordination project, Illinois, \$300,000;

Atlanta smart corridors, Georgia, \$1,000,000;
Austin, Texas, \$125,000;
Automated crash notification, UAB, Alabama, \$2,500,000;
Bay County Area wide traffic signal system, Florida, \$500,000;
Beaver County transit mobility manager, Pennsylvania, \$800,000;
Brownsville, Texas, \$250,000;
Carbondale technology transfer center, Pennsylvania, \$1,000,000;
Cargo mate logistics and intermodal management, New York, \$1,250,000;
Central Ohio, \$1,500,000;
Chattanooga, Tennessee, \$2,000,000;
Chinatown intermodal transportation center, California, \$1,750,000;
Clark County, Washington, \$1,000,000;
Commercial vehicle information systems and networks, New York, \$450,000;
Dayton, Ohio, \$1,250,000;
Detroit, Michigan (airport), \$1,500,000;
Durham, Wake Counties, North Carolina, \$500,000;
Eastern Kentucky rural highway information, \$2,000,000;
Fargo, North Dakota, \$1,000,000;
Forsyth, Guilford Counties, North Carolina, \$1,000,000;
Genesee County, Michigan, \$1,000,000;
Great Lakes, Michigan, \$1,500,000;
Guidestar, Minnesota, \$6,000,000;
Harrison County, Mississippi, \$500,000;
Hawaii statewide, \$1,000,000;
Hoosier SAFE-T, Indiana, \$2,000,000;
Houma, Louisiana, \$1,000,000;
I-90 connector testbed, New York, \$1,000,000;
Illinois statewide, \$2,000,000;
Inglewood, California, \$500,000;
Integrated transportation management system, Delaware statewide, \$2,000,000;
Iowa statewide, \$562,000;
Jackson Metropolitan, Mississippi, \$500,000;
James Madison University, Virginia, \$1,500,000;
Kansas City, Kansas, \$500,000;
Kittitas County workzone traffic safety system, Washington, \$450,000;
Lansing, Michigan, \$750,000;
Las Vegas, Nevada, \$1,450,000;
Lexington, Kentucky, \$750,000;
Libertyville traffic management center, Illinois, \$760,000;
Long Island rail road grade crossing deployment, New York, \$1,000,000;
Macomb, Michigan (border crossing), \$1,000,000;
Maine statewide (rural), \$500,000;
Maryland statewide, \$1,000,000;
Miami-Dade, Florida, \$1,000,000;
Monterey-Salinas, California, \$750,000;
Montgomery County ECC & TMC, Maryland, \$1,000,000;
Moscow, Idaho, \$1,000,000;
Nebraska statewide, \$4,000,000;
New York statewide information exchange systems, New York, \$500,000;
New York, New Jersey, Connecticut (TRANSCOM), \$2,500,000;
North Greenbush, New York, \$1,000,000;
Oklahoma statewide, \$3,000,000;
Oxford Mississippi, \$500,000;
Pennsylvania statewide (turnpike), \$500,000;
Philadelphia, Pennsylvania, \$1,033,000;
Philadelphia, Pennsylvania (Drexel), \$1,500,000;
Port of Long Beach, California, \$500,000;
Port of Tacoma trucker congestion notification system, Washington, \$200,000;
Roadside animal detection test-bed, Montana, \$500,000;
Rochester-Genesee, New York, \$800,000;
Rutland, Vermont, \$750,000;
Sacramento, California, \$3,000,000;
San Diego joint transportation operations center, California, \$1,500,000;
San Francisco central control communications, California, \$250,000;
Santa Anita, California, \$300,000;
Santa Teresa, New Mexico, \$750,000;
Shreveport, Louisiana, \$750,000;
Silicon Valley transportation management center, California, \$700,000;

South Carolina DOT, \$3,000,000;
Southeast Corridor, Colorado, \$7,000,000;
Southern Nevada (bus), \$1,100,000;
Spillway road incident management system, Mississippi, \$600,000;
St. Louis, Missouri, \$1,000,000;
Statewide transportation operations center, Kentucky, \$2,000,000;
Superior, I-39 corridor, Wisconsin, \$2,500,000;
Texas statewide, \$2,000,000;
Travel network, South Dakota, \$2,325,000;
University of Arizona ATLAS Center, Arizona, \$500,000;
Utah Statewide, \$560,000;
Vermont statewide (rural), \$1,500,000;
Washington statewide, \$4,500,000;
Washington, D.C. metropolitan region, \$2,000,000;
Wayne County road information management system, Michigan, \$1,500,000;
Wichita, Kansas, \$1,200,000;
Wisconsin communications network, \$310,000;
Wisconsin statewide, \$1,000,000; and
Yakima County adverse weather operations, Washington, \$475,000;

Provided further, That, notwithstanding any other provision of law, funds authorized under section 110 of title 23, United States Code, for fiscal year 2002 shall be apportioned to the States in accordance with the distribution set forth in section 110(b)(4)(A) and (B) of title 23, United States Code, except that before such apportionments are made, \$35,565,651 shall be set aside for the program authorized under section 1101(a)(8)(A) of the Transportation Equity Act for the 21st Century, as amended, and section 204 of title 23, United States Code; \$31,815,091 shall be set aside for the program authorized under section 1101(a)(8)(B) of the Transportation Equity Act for the 21st Century, as amended, and section 204 of title 23, United States Code; \$21,339,391 shall be set aside for the program authorized under section 1101(a)(8)(C) of the Transportation Equity Act for the 21st Century, as amended, and section 204 of title 23, United States Code; \$2,586,593 shall be set aside for the program authorized under section 1101(a)(8)(D) of the Transportation Equity Act for the 21st Century, as amended, and section 204 of title 23, United States Code; \$25,579,000 shall be set aside for the program authorized under section 129(c) of title 23, United States Code, and section 1064 of the Intermodal Surface Transportation Efficiency Act of 1991, as amended; \$352,256,000 shall be set aside for the programs authorized under sections 1118 and 1119 of the Transportation Equity Act for the 21st Century, as amended; \$3,348,128 shall be set aside for the program authorized under section 1101(a)(11) of the Transportation Equity Act for the 21st Century, as amended and section 162 of title 23, United States Code; \$76,025,000 shall be set aside for the program authorized under section 118(c) of title 23, United States Code; \$62,450,000 shall be set aside for the program authorized under section 114(g) of title 23, United States Code; \$251,092,600 shall be set aside for the program authorized under section 1221 of the Transportation Equity Act for the 21st Century, as amended; \$10,000,000 shall be set aside for the program authorized under section 502(e) of title 23, United States Code; \$56,300,000 shall be available for border infrastructure improvements; \$45,122,600 shall be available for allocation by the Secretary for public lands highways; and \$23,896,000 shall be set aside and transferred to the Federal Motor Carrier Safety Administration as authorized by section 102 of Public Law 106-159: *Provided further*, That, of the funds to be apportioned to each State under section 110 for fiscal year 2002, the Secretary shall ensure that such funds are apportioned for the programs authorized under sections 1101(a)(1), 1101(a)(2), 1101(a)(3), 1101(a)(4), and 1101(a)(5) of the Transportation Equity Act for the 21st Century, as amended, in the same ratio that each State is apportioned funds for such programs in fiscal year 2002 but for this section. *2003: Provided, That of the funds available under section 104(a) of title 23, U.S.C., \$6,000,000 shall be available for environmental streamlining activities, which may include making grants to, or entering into contracts, cooperative agreements, and other transactions, with a Federal agency, State agency, local agency, authority, association, nonprofit or for-profit corporation, or institution of higher education: Provided further, That the limitation on credit amounts provided in section 188(c) of title 23, U.S.C., shall remain available until expended.*

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 69-8083-0-7-401	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Direct loan	874	2,000	1,914
115002 Line of credit		200	100
115901 Total direct loan levels	874	2,200	2,014
Direct loan subsidy (in percent):			
132001 Direct loan	10.99	4.50	4.44
132002 Line of credit	0.00	4.71	3.93
132901 Weighted average subsidy rate	10.99	5.36	4.41
Direct loan subsidy budget authority:			
133001 Direct loan	96	90	85
133002 Line of credit		9	4
133003 Subsidy reestimate		19	
133901 Total subsidy budget authority	96	118	89
Direct loan subsidy outlays:			
134001 Direct loan		34	52
134002 Line of credit		9	4
134901 Total subsidy outlays		43	56
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Loan guarantee		200	100
215901 Total loan guarantee levels		200	100
Guaranteed loan subsidy (in percent):			
232001 Loan guarantee	0.00	3.97	4.35
232901 Weighted average subsidy rate	0.00	3.97	4.35
Guaranteed loan subsidy budget authority:			
233001 Loan guarantee		8	5
233901 Total subsidy budget authority		8	5
Guaranteed loan subsidy outlays:			
234001 Loan guarantee		2	4
234901 Total subsidy outlays		2	4
Administrative expense data:			
351001 Budget authority	1	2	2
358001 Outlays from balances		1	
359001 Outlays from new authority	1	2	2

The Federal-Aid Highways (FAH) program is designed to aid in the development, operations and management of an intermodal transportation system that is economically efficient, environmentally sound, provides the foundation for the Nation to compete in the global economy, and moves people and goods safely.

All programs included within FAH are financed from the Highway Trust Fund and most are distributed via apportionments and allocations to States. Liquidating cash appropriations are subsequently requested to fund outlays resulting from obligations incurred under contract authority. The budget proposes to fund most programs from within the Federal-Aid Highway obligation limitation. Emergency Relief and a portion of the Minimum Guarantee program (\$639 million) will be exempt from the limitation.

The FAH program is funded by contract authority found in the Transportation Equity Act for the 21st Century (TEA-21), which authorizes surface transportation programs through 2003, as described below.

Surface Transportation Program (STP).—STP funds may be used by States and localities for projects on any Federal-aid highway, bridge projects on any public road, transit capital projects, and intracity and intercity bus terminals and facilities. A portion of the funds reserved for rural areas may be used on rural minor collectors. TEA-21 set aside 10% of STP funds for transportation enhancements and 10% for safety and also provides State sub-allocations including the special rule for areas less than 5,000 population. Prior to apportionment, funds are set aside for Railway-Highway

Crossing Hazard Elimination in High Speed Rail Corridors and for Operation Lifesaver.

National highway system (NHS).—The NHS program provides funding for a designated National Highway System consisting of roads that are of primary Federal interest. The NHS consists of the current Interstate, other rural principal arterials, urban freeways and connecting urban principal arterials, and facilities on the Defense Department's designated Strategic Highway Network, and roads connecting the NHS to intermodal facilities. Legislation designating the 161,000 mile system was enacted in 1995 and TEA-21 added to the system the highways and connections to transportation facilities identified in the May 24, 1996 report to Congress.

Interstate maintenance (IM).—The IM program finances projects to rehabilitate, restore, resurface and reconstruct the Interstate system. Reconstruction that increases capacity, other than HOV lanes, is not eligible for IM funds.

Emergency relief.—The Emergency Relief (ER) program provides funds for the repair or reconstruction of Federal-aid highways and bridges and Federally-owned roads and bridges which have suffered serious damage as the result of natural disasters or catastrophic failures. The ER program supplements the commitment of resources by States, their political subdivisions, or Federal agencies to help pay for unusually heavy expenses resulting from extraordinary conditions. Title 23, U.S.C., contains an annual authorization of \$100 million for the ER program.

Bridge replacement and rehabilitation.—The bridge program enables States to respond to the problem of unsafe and inadequate bridges. The funds are available for use on all bridges, including those on roads functionally classified as rural minor collectors and as local. Highway bridges designated as a hazard to navigation by the U.S. Coast Guard are eligible for funding under the bridge program.

Congestion mitigation and air quality improvement program (CMAQ).—The CMAQ program directs funds toward transportation projects and programs to help meet and maintain national ambient air quality standards for ozone, carbon monoxide, and particulate matter. A minimum 1/2 percent of the apportionment is guaranteed to each State.

Federal lands.—This category includes Public Lands Highways, including Forest Highways; Park Roads and Parkways; Indian Reservation Roads; and Refuge Roads. Roads funded under this program are open to public travel. State and local roads (29,500 miles) that provide important access within the National Forest System are designated Forest Highways. These roads should not be confused with the Forest Development Roads, which are under the jurisdiction of the Forest Service. Park roads and Parkways (8,000 miles) are owned by the National Park Service and provide access within the National Park System. Indian Reservation Roads program consists of the Bureau of Indian Affairs road system (25,000 miles) and State and local roads (25,000 miles) that provide access within Indian lands. There are approximately 4,250 miles which are under the jurisdiction of the Fish and Wildlife Service. The new category of Refuge Roads consists of public roads that provide access to or within the National Wildlife Refuge System.

Border planning and infrastructure program.—The border planning and infrastructure program provides funds to make grants to State and local governments and Federal inspection agencies to facilitate planning and construction of facilities to improve the flow of people and goods in corridors of national significance and at our Nation's borders. Of these funds, \$47 million is proposed to be set aside for state border safety inspection facilities at the southern border.

Transportation infrastructure finance and innovation act (TIFIA) program.—The TIFIA program will provide funds to assist in the development of nationally-significant transportation projects. The goal is to encourage the development

FEDERAL-AID HIGHWAYS—Continued
[(HIGHWAY TRUST FUND)]—Continued

of large, capital-intensive infrastructure facilities through public-private partnerships consisting of State or local government and one or more private sector firms. It will encourage more private sector and non-Federal participation, and build on the public's willingness to pay user fees to receive the benefits and services of transportation infrastructure sooner than would be possible under traditional funding techniques. Loans, loan guarantees, and stand-by lines of credit may be used to secure junior lien debt or other obligations requiring credit enhancement. The Administration proposes to make the TIFIA credit levels in TEA-21 available until expended.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

Federal highway research and technology.—The research and technology program develops new transportation technology that can be applied nationwide. Activities include surface transportation research, technology deployment, training and education, University Transportation Research, and funding for State research, development, and technology implementation.

Intelligent Transportation Systems (ITS).—The ITS program is a cooperative, public/private initiative to research, develop, test and evaluate advanced electronic and information systems that can improve the safety, operational efficiency, and productivity of the existing surface transportation infrastructure. It includes the ITS research and development program and the ITS deployment incentives program. The ITS research and development program supports the development of the next generation of ITS technologies, including the Intelligent Vehicle Initiative; the development and maintenance of the National ITS architecture and standards; and the deployment of integrated ITS systems through guidance documents, training, and technical assistance. The ITS deployment incentive program supports the integration of existing ITS systems in metropolitan areas, integration and infrastructure deployment in rural areas; and the deployment of the commercial vehicle information systems and networks (CVISN).

Revenue Aligned Budget Authority (RABA).—The budget authority and obligation limitation for Federal-aid highway programs funded from the Highway Account (HA) of the Highway Trust Fund (HTF) is adjusted to reflect changes in tax receipt estimates of the HA of the HTF. The Federal-aid highway obligation limitation will be adjusted downward by \$4,965 million in 2003.

Miscellaneous.—This category includes Scenic Byways, Highway Use Tax Evasion Projects, National Recreational Trails, Value Pricing, Ferry Boats, Commonwealth of Puerto Rico Highway Program, Environmental Streamlining, Miscellaneous Studies, Reports, and Projects, and Transportation and Community and System Preservation.

Object Classification (in millions of dollars)

Identification code 69-8083-0-7-401	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	21	22	24
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	2	2	2
11.9 Total personnel compensation	24	25	27
12.1 Civilian personnel benefits	5	6	6
21.0 Travel and transportation of persons	7	8	8

22.0 Transportation of things	1	1	1
25.2 Other services	72	96	89
26.0 Supplies and materials	4	4	4
31.0 Equipment	2	2	2
32.0 Land and structures	215	313	344
41.0 Grants, subsidies, and contributions	28,049	31,261	22,241
93.0 Limitation on general operating expenses (see separate schedule)	294	310	318
99.0 Direct obligations	28,673	32,026	23,040
99.0 Reimbursable obligations	52	92	92
Allocation Account:			
Personnel compensation:			
11.1 Full-time permanent	49	26	25
11.3 Other than full-time permanent	8	4	3
11.5 Other personnel compensation	2	1	1
11.9 Total personnel compensation	59	31	29
12.1 Civilian personnel benefits	11	6	5
21.0 Travel and transportation of persons	4	2	2
22.0 Transportation of things	2	1	1
23.1 Rental payments to GSA	1	1	1
23.3 Communications, utilities, and miscellaneous charges	6	3	3
25.2 Other services	297	158	151
25.3 Other purchases of goods and services from Government accounts	14	8	7
25.4 Operation and maintenance of facilities	1		
25.7 Operation and maintenance of equipment	9	5	4
26.0 Supplies and materials	10	5	5
31.0 Equipment	4	2	2
32.0 Land and structures	7	4	4
41.0 Grants, subsidies, and contributions	272	144	138
99.0 Allocation account	697	370	352
99.9 Total new obligations	29,422	32,488	23,484

Obligations are distributed as follows:

Transportation:			
Federal Highway Administration	28,665	31,731	22,943
Bureau of Transportation Statistics	37	53	31
National Highway Traffic Safety Administration	307	257	83
Federal Transit Administration	8		
Federal Motor Carrier Safety Administration		5	
Agriculture:			
Forest Service	39	18	19
Interior:			
Bureau of Indian Affairs	269	294	290
National Park Service	33	16	4
Bureau of Land Management	4	1	1
U.S. Fish and Wildlife Service	8	19	19
Defense:			
Corps of Engineers		1	1
Military Traffic Management Command:			
Transportation Engineering Agency		1	

Personnel Summary

Identification code 69-8083-0-7-401	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	464	413	413
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	8	4	4
Allocation account:			
3001 Total compensable workyears: Full-time equivalent employment	102	161	183

FEDERAL HIGHWAY ADMINISTRATION
LIMITATION ON ADMINISTRATIVE EXPENSES

Necessary expenses for administration and operation of the Federal Highway Administration, not to exceed **[\$311,000,000] \$317,732,000** shall be paid in accordance with law from appropriations made available by this Act to the Federal Highway Administration together with advances and reimbursements received by the Federal Highway Administration: *Provided*, That of the funds available under section 104(a)(1)(A) of title 23, United States Code: \$7,500,000 shall be available for "Child Passenger Protection Education Grants" under section 2003(b) of Public Law 105-178, as amended; \$4,000,000 shall be

available for motor carrier safety research; \$841,000 shall be available for the motor carrier crash data improvement program; \$6,000,000 shall be available for the nationwide differential global positioning system program; and \$1,500,000 for environmental streamlining activities]. (*Department of Transportation and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Program by activities:			
Program direction and coordination:			
Executive direction	2	2	2
Corporate management	2	2	2
Legal services	7	8	8
Public affairs	1	1	1
Civil rights	2	2	2
General program support:			
Policy	9	10	10
Research and development	12	13	13
Administrative support	99	103	104
Professional development	2	2	2
Career development programs	2	2	2
Highway programs:			
Infrastructure	10	12	12
Planning and environment	10	11	12
Operations	7	9	8
Federal lands highway office	12	14	14
Other highway programs	7	6	0
Field operations and resource centers	112	124	130
Total obligations	295	319	321
Financing:			
Reimbursable programs	0	-4	-4
Unobligated balance available, start of year	-6	-5	0
Unobligated balance available, end of year	5	0	0
Limitation	294	310	318
Relation of obligations to outlays:			
Total obligations	295	319	321
Obligated balance, start of year	402	370	347
Obligated balance, end of year	-370	-347	-338
Outlays from limitation	327	342	331

This limitation provides for the salaries and expenses of the Federal Highway Administration. Resources are allocated from the Federal-aid highways program.

Program direction and coordination.—Provides overall management of the highway transportation program, including formulation of multi-year and long-range policy plans and goals for highway programs; safety programs that focus on high risk areas through technical assistance, research, training, analysis, and public information; development of data and analysis for current and long-range programming; administrative support services for all elements of the FHWA; and training opportunities for highway related personnel.

Highway programs.—Provides engineering guidance to Federal and State agencies and to foreign governments, and conducts a program to encourage use of modern traffic engineering procedures to increase the vehicle-carrying capacity of existing highways and urban streets; and finances construction skill training programs for disadvantaged workers hired by contractors on federally aided highway projects.

Field operations.—Provides staff advisory and support services in field offices of the Federal Highway Administration; and provides program and engineering supervision through division offices.

Object Classification (in millions of dollars)

Identification code 69-8083-0-7-401	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	149	172	179
11.3 Other than full-time permanent	2	2	3
11.5 Other personnel compensation	3	3	3
11.9 Total personnel compensation	154	177	185

12.1 Civilian personnel benefits	62	69	71
21.0 Travel and transportation of persons	14	9	9
22.0 Transportation of things	2		
23.1 Rental payments to GSA	18	21	24
23.3 Communications, utilities, and miscellaneous charges	10	10	10
24.0 Printing and reproduction	3	1	1
25.2 Other services	43	41	35
26.0 Supplies and materials	3	2	2
31.0 Equipment	8	5	5
93.0 Limitation on expenses	-294	-311	-318
99.0 Limitation acct—direct obligations	23	24	24

Personnel Summary

Identification code 69-8083-0-7-401	2001 actual	2002 est.	2003 est.
6001 Total compensable workyears: Full-time equivalent employment	2,263	2,422	2,412

**APPALACHIAN DEVELOPMENT HIGHWAY SYSTEM
(HIGHWAY TRUST FUND)**

Note.—2001 appropriations were provided by sections 326 and 378 of the Department of Transportation and Related Agencies Appropriations Act, 2001, as enacted by section 101(a) of P.L. 106-346.

Program and Financing (in millions of dollars)

Identification code 69-8072-0-7-401	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Section 326 of P.L. 106-346	55		
00.02 Section 378 of P.L. 106-346	139	60	
10.00 Total new obligations (object class 25.2)	194	60	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		60	
22.00 New budget authority (gross)	254		
23.90 Total budgetary resources available for obligation	254	60	
23.95 Total new obligations	-194	-60	
24.40 Unobligated balance carried forward, end of year	60		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	255		
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
43.00 Appropriation (total discretionary)	254		
Change in obligated balances:			
72.40 Obligated balance, start of year		185	134
73.10 Total new obligations	194	60	
73.20 Total outlays (gross)	-9	-111	-67
74.40 Obligated balance, end of year	185	134	67
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	9		
86.93 Outlays from discretionary balances		111	67
87.00 Total outlays (gross)	9	111	67
Net budget authority and outlays:			
89.00 Budget authority	254		
90.00 Outlays	9	111	67

Funding for this program will be used for the necessary expenses for the Appalachian Development Highway System (ADHS) as distributed to the following states: Alabama, Georgia, Kentucky, Maryland, Mississippi, New York, North Carolina, Ohio, Pennsylvania, South Carolina, Tennessee, Virginia, and West Virginia. Funding also has been included for construction of and improvements to Corridor D in the State of West Virginia and Corridor X in the State of Alabama. No further appropriation is requested.

HIGHWAY-RELATED SAFETY GRANTS
(HIGHWAY TRUST FUND)

Program and Financing (in millions of dollars)

Identification code 69-8019-0-7-401	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	
73.20 Total outlays (gross)		-1	
74.40 Obligated balance, end of year	1		
Outlays (gross), detail:			
86.93 Outlays from discretionary balances		1	
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays		1	

The Highway Safety Act of 1970 authorized grants to States and communities for implementing and maintaining highway-related safety standards. TEA-21 authorizes a consolidated state and community highway safety formula grant program, and therefore this schedule reflects spending of prior year balances.

MISCELLANEOUS TRUST FUNDS

Unavailable Collections (in millions of dollars)

Identification code 69-9971-0-7-999	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 Advances from State cooperating agencies and Foreign governments	32	25	25
02.21 Advances for highway research program		5	5
02.22 Contributions from States, etc., cooperative work, forest highways, FHA, M	16	3	3
02.40 Advances from other Federal agencies, FHA miscellaneous trust, DOT	2	5	5
02.99 Total receipts and collections	50	38	38
Appropriations:			
05.00 Miscellaneous trust funds	-50	-38	-38
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 69-9971-0-7-999	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Cooperative work, forest highways	4	7	7
00.03 Contributions for highway research programs	1	6	6
00.04 Advances from State cooperating agencies	37	43	43
10.00 Total new obligations	42	56	56
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	50	59	41
22.00 New budget authority (gross)	50	38	38
23.90 Total budgetary resources available for obligation	100	97	79
23.95 Total new obligations	-42	-56	-56
24.40 Unobligated balance carried forward, end of year	59	41	22
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)	50	38	38
Change in obligated balances:			
72.40 Obligated balance, start of year	33	27	11
73.10 Total new obligations	42	56	56
73.20 Total outlays (gross)	-48	-73	-38
74.40 Obligated balance, end of year	27	11	29
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	41	31	31
86.98 Outlays from mandatory balances	7	42	7

87.00 Total outlays (gross)	48	73	38
Net budget authority and outlays:			
89.00 Budget authority	50	38	38
90.00 Outlays	48	73	38

Miscellaneous Trust Funds contains the following programs financed out of the highway trust fund and reimbursed by the requesting parties.

Cooperative work, forest highways.—Contributions are received from States and counties in connection with cooperative engineering, survey, maintenance, and construction projects for forest highways.

Contributions for highway research programs.—In association with the General Services Administration and the Department of Defense, tests of highway equipment are conducted for the purpose of establishing performance standards upon which to base specifications for use by the Government in purchasing such equipment.

Advances from State cooperating agencies.—Funds are contributed by the State highway departments or local subdivisions thereof for construction and/or maintenance of roads or bridges. The work is performed under the supervision of the Federal Highway Administration.

International highway transportation outreach.—Funds are collected to inform the domestic highway community of technological innovations, promote highway transportation expertise internationally, and increase transfers of transportation technology to foreign countries.

Object Classification (in millions of dollars)

Identification code 69-9971-0-7-999	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	2	2	2
25.2 Other services	40	54	54
99.9 Total new obligations	42	56	56

Personnel Summary

Identification code 69-9971-0-7-999	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	31	66	66

MISCELLANEOUS HIGHWAY TRUST FUNDS

Note.—2001 appropriations were provided by section 378 of the Department of Transportation and Related Agencies Appropriations Act, 2001, as enacted by section 101(a) of P.L. 106-346 and by sections 1109, 1121, and 1128 of Division A of H.R. 5666, Miscellaneous Appropriations Act, 2001, as enacted by section 1(a)(4) of P.L. 106-554.

[(HIGHWAY TRUST FUND)]

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Miscellaneous Appropriations", including the operation and construction of ferries and ferry facilities, \$100,000,000, to be derived from the Highway Trust Fund, to remain available until expended, and to be obligated from amounts made available in Public Law 107-38.] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 69-9972-0-7-401	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.04 Highway safety improvement demonstration project	1	1	1
00.26 Highway projects	6	5	5
00.27 Miscellaneous highway projects	401	350	250
10.00 Total new obligations (object class 41.0)	408	356	256
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	23	825	569
22.00 New budget authority (gross)	1,210	100	

23.90	Total budgetary resources available for obligation	1,233	925	569
23.95	Total new obligations	-408	-356	-256
24.40	Unobligated balance carried forward, end of year	825	569	313

New budget authority (gross), detail:

Discretionary:				
40.00	Appropriation	1,213	100	
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)	-3		
43.00	Appropriation (total discretionary)	1,210	100	

Change in obligated balances:

72.40	Obligated balance, start of year	83	415	465
73.10	Total new obligations	408	356	256
73.20	Total outlays (gross)	-76	-306	-309
74.40	Obligated balance, end of year	415	465	412

Outlays (gross), detail:

86.90	Outlays from new discretionary authority		27	
86.93	Outlays from discretionary balances	76	279	309
87.00	Total outlays (gross)	76	306	309

Net budget authority and outlays:

89.00	Budget authority	1,210	100	
90.00	Outlays	76	306	309

No further budget authority is requested for 2003. Accounts in this consolidated schedule show the obligation and outlay amounts made available in prior years.

**FEDERAL MOTOR CARRIER SAFETY
ADMINISTRATION**

Trust Funds

MOTOR CARRIER SAFETY

LIMITATION ON OBLIGATIONS ADMINISTRATIVE EXPENSES

[(INCLUDING RESCISSION OF FUNDS)]

For necessary expenses for administration of motor carrier safety programs and motor carrier safety research, pursuant to section 104(a)(1)(B) of title 23, United States Code, not to exceed **[\$110,000,000] \$117,464,000** shall be paid in accordance with law from appropriations made available by this Act and from any available takedown balances to the Federal Motor Carrier Safety Administration, together with advances and reimbursements received by the **[Federal Motor Carrier Safety] Administration**: *Provided*, That such amounts shall be available to carry out the functions and operations of the Federal Motor Carrier Safety Administration, of which *\$7,000,000, to remain available until September 30, 2006, is for the research and technology program; \$3,000,000 is for reviews of conditional motor carriers and regulatory development; \$5,000,000 is for the collection and analysis of data on commercial motor vehicle crashes, including crash causation, as authorized; \$375,000 is for a toll-free hotline for reporting safety violations, as authorized; and \$10,000,000 is for the commercial driver's license improvement program; and \$2,995,000 in budget authority, to be derived from the Highway Trust Fund for 2003.*

[Of the unobligated balances authorized under 23 U.S.C. 104(a)(1)(B), \$6,665,342 are rescinded.] (P.L. 106-159, sec. 225(e); P.L. 105-178, sec. 4017, as amended by P.L. 106-159, sec. 213; Department of Transportation and Related Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 69-8055-0-7-401	2001 actual	2002 est.	2003 est.
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Obligations by program activity:

Direct program:				
00.01	Administration	81	103	95
00.02	Research and technology	10	1	7
00.03	Motor carrier safety programs	4	10	18
01.00	Subtotal, Direct program	95	114	120
09.01	Reimbursable program	8	9	10
10.00	Total new obligations	104	123	130

Budgetary resources available for obligation:

21.40	Unobligated balance carried forward, start of year	2	1	
21.49	Unobligated balance carried forward, start of year: Contract authority		7	
21.99	Total unobligated balance carried forward, start of year	2	8	
22.00	New budget authority (gross)	102	114	138
22.22	Unobligated balance transferred from other accounts	8		
23.90	Total budgetary resources available for obligation	112	122	138
23.95	Total new obligations	-104	-123	-130
24.40	Unobligated balance carried forward, end of year	1		
24.49	Unobligated balance carried forward, end of year: Contract authority		7	8
24.99	Total unobligated balance carried forward, end of year	8		8

New budget authority (gross), detail:

Discretionary:				
40.26	Appropriation (trust fund)	95	113	120
40.49	Portion applied to liquidate contract authority	-92	-110	-117
43.00	Appropriation (total discretionary)	3	3	3
49.36	Unobligated balance rescinded		-7	
Mandatory:				
66.10	Contract authority	91	109	125
Discretionary:				
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	8	9	10
70.00	Total new budget authority (gross)	102	114	138

Change in obligated balances:

72.40	Obligated balance, start of year	18	34	11
73.10	Total new obligations	104	123	130
73.20	Total outlays (gross)	-88	-146	-129
74.40	Obligated balance, end of year	34	11	12

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	69	111	118
86.93	Outlays from discretionary balances	19	35	11
87.00	Total outlays (gross)	88	146	129

Offsets:

Against gross budget authority and outlays:				
88.40	Offsetting collections (cash) from: Non-Federal sources	-8	-9	-10

Net budget authority and outlays:

89.00	Budget authority	94	105	128
90.00	Outlays	80	137	119

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00	Budget authority	91	102
90.00	Outlays	77	134

Status of Contract Authority (in millions of dollars)

Identification code 69-8055-0-7-401	2001 actual	2002 est.	2003 est.
0100	Balance, start of year		7
Contract authority:			
0200	Contract authority	91	102
0340	Unobligated balance transferred	8	
0400	Appropriation to liquidate contract authority	-92	-110
0700	Balance, end of year	7	8

This limitation provides resources to support motor carrier safety program activities and maintain the agency's administrative infrastructure. Funding will support regulatory development, safety outreach and nationwide motor carrier enforcement efforts. Resources are also provided to fund motor carrier safety research and technology, maintain legislatively-mandated funding levels for both the motor carrier crash data improvement program and 24-hour safety telephone hot-

MOTOR CARRIER SAFETY—Continued
[(INCLUDING RESCISSION OF FUNDS)]—Continued

line, and continue the State commercial driver's license (CDL) improvement program.

Object Classification (in millions of dollars)

Identification code 69-8055-0-7-401	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	40	49	45
11.3 Other than full-time permanent	1	2	2
11.9 Total personnel compensation	41	51	47
12.1 Civilian personnel benefits	14	19	18
21.0 Travel and transportation of persons	5	7	6
23.1 Rental payments to GSA	4	5	7
25.2 Other services	18	22	25
25.5 Research and development contracts	9	1	4
26.0 Supplies and materials	1	1	1
31.0 Equipment	3	3	2
41.0 Grants, subsidies, and contributions	5	5	10
99.0 Direct obligations	95	114	120
99.0 Reimbursable obligations	8	9	10
99.5 Below reporting threshold	1		
99.9 Total new obligations	104	123	130

Personnel Summary

Identification code 69-8055-0-7-401	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	663	857	732
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	52	52	52

NATIONAL MOTOR CARRIER SAFETY PROGRAM
(LIQUIDATION OF CONTRACT AUTHORIZATION)
(LIMITATION ON OBLIGATIONS)
(HIGHWAY TRUST FUND)

Notwithstanding any other provision of law, for payment of obligations incurred in carrying out 49 U.S.C. 31102, 31106 and 31309, [\$205,896,000] \$190,000,000, to be derived from the Highway Trust Fund and to remain available until expended: *Provided*, That none of the funds in this Act shall be available for the implementation or execution of programs the obligations for which are in excess of [\$182,000,000] \$190,000,000 for "Motor Carrier Safety Grants," and "Information Systems": *Provided further*, That notwithstanding any other provision of law, of the \$23,896,000 provided under 23 U.S.C. 110, \$18,000,000 shall be for border State grants and \$4,837,000 shall be for State commercial driver's license program improvements]. (Department of Transportation and Related Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 69-8048-0-7-401	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Motor carrier grants	153	182	163
00.02 Administration and studies	6	7	7
00.03 Information systems	17	17	20
10.00 Total new obligations	176	206	190
Budgetary resources available for obligation:			
21.49 Unobligated balance carried forward, start of year:			
Contract authority	2	3	3
22.00 New budget authority (gross)	177	206	190
23.90 Total budgetary resources available for obligation	179	209	193
23.95 Total new obligations	-176	-206	-190
24.49 Unobligated balance carried forward, end of year:			
Contract authority	3	3	3

New budget authority (gross), detail:

Discretionary:			
40.26 Appropriation (trust fund)	177	206	190
40.49 Portion applied to liquidate contract authority	-177	-206	-190
43.00 Appropriation (total discretionary)			
Mandatory:			
66.10 Contract authority	177	182	190
66.62 Transferred from other accounts		24	
66.90 Contract authority (total mandatory)	177	206	190
70.00 Total new budget authority (gross)	177	206	190

Change in obligated balances:

72.40 Obligated balance, start of year	85	138	148
73.10 Total new obligations	176	206	190
73.20 Total outlays (gross)	-122	-196	-201
74.40 Obligated balance, end of year	138	148	137

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	37	58	53
86.93 Outlays from discretionary balances	85	138	148
87.00 Total outlays (gross)	122	196	201

Net budget authority and outlays:

89.00 Budget authority	177	206	190
90.00 Outlays	122	196	201

Status of Contract Authority (in millions of dollars)

Identification code 69-8048-0-7-401	2001 actual	2002 est.	2003 est.
0100 Balance, start of year	2	3	3
Contract authority:			
0200 Contract authority	177	206	190
0400 Appropriation to liquidate contract authority	-177	-206	-190
0700 Balance, end of year	3	3	3

The National Motor Carrier Safety Program is funded at \$190 million in 2003, of which \$165 million is dedicated to Motor carrier safety assistance program (MCSAP) state grants. Grants will be used to increase the number of compliance reviews in states; identify and apprehend traffic violators; increase the volume of roadside inspections; improve State commercial driver's license oversight activities; and support State border enforcement efforts. \$20 million is included for the Information systems and strategic safety initiatives (ISSSI) program. The program's implementation is shared by Federal Motor Carrier Safety Administration (FMCSA) and the states and supports motor carrier information system and data analysis activities including: SAFESTAT technology, used to target high-risk motor carriers for compliance reviews; and the Performance registration information systems and management (PRISM) program, which links state motor vehicle registration systems with carrier safety data in an effort to identify unsafe commercial motor carriers. \$5 million is provided to continue a comprehensive study on commercial vehicle crash causation initiated in 2001. The study will identify data requirements and collection procedures, reports and other measures that will improve both FMCSA and the states' ability to evaluate future crashes involving commercial motor vehicles; monitor crash trends and identify causes and contributing factors; and develop effective safety improvement policies and programs.

Object Classification (in millions of dollars)

Identification code 69-8048-0-7-401	2001 actual	2002 est.	2003 est.
25.2 Other services	16	15	17
41.0 Grants, subsidies, and contributions	160	191	173
99.9 Total new obligations	176	206	190

BORDER ENFORCEMENT PROGRAM

(HIGHWAY TRUST FUND)

To continue the Border Enforcement Program, authorized by section 350 of the Department of Transportation and Related Agencies Appropriations Act, 2002, \$60,908,000, to be derived from the Highway Trust Fund, for necessary expenses.

Program and Financing (in millions of dollars)

Identification code 69-8274-0-7-401	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Administration	1	27	43
00.02 Grants			18
01.00 Direct program	1	27	61
10.00 Total new obligations	1	27	61
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	1	27	61
23.95 Total new obligations	-1	-27	-61
New budget authority (gross), detail:			
Discretionary:			
40.26 Appropriation (trust fund)	1	27	61
Change in obligated balances:			
72.40 Obligated balance, start of year			3
73.10 Total new obligations	1	27	61
73.20 Total outlays (gross)	-1	-24	-58
74.40 Obligated balance, end of year		3	6
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1	24	55
86.93 Outlays from discretionary balances			3
87.00 Total outlays (gross)	1	24	58
Net budget authority and outlays:			
89.00 Budget authority	1	27	61
90.00 Outlays	1	24	58

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority		26	60
90.00 Outlays		23	57

The Border Enforcement Program will support the Federal and state safety enforcement efforts at the U.S./Mexico border needed to ensure that Mexican carriers entering the U.S. are in compliance with Federal Motor Carrier Safety Regulations. Funding is provided for Federal enforcement personnel to inspect commercial vehicles at the border and for travel into Mexico to conduct compliance reviews of Mexican carriers. \$18 million is also provided for grants to Texas, Arizona, New Mexico, and California to fund state border inspection personnel.

Object Classification (in millions of dollars)

Identification code 69-8274-0-7-401	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent		1	12
12.1 Civilian personnel benefits	1	2	7
21.0 Travel and transportation of persons		9	16
25.2 Other services		14	6
26.0 Supplies and materials			1
31.0 Equipment		1	1
41.0 Grants, subsidies, and contributions			18
99.9 Total new obligations	1	27	61

Personnel Summary

Identification code 69-8274-0-7-401	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment		27	274

NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION

The following table depicts the total funding for all National Highway Traffic Safety programs.

	[In millions of dollars]		
Budget authority:	2001 actual	2002 est.	2003 est.
Operations and research	120	131	131
Operations and research (Highway trust fund)	74	73	74
Highway traffic safety grants	213	223	225
Total budget authority	407	426	430
Program level (obligations):			
Operations and research	109	154	131
Operations and research (Highway trust fund)	77	74	74
Highway traffic safety grants	212	223	225
Total program level	398	451	430
Outlays:			
Operations and research	80	147	141
Operations and research (Highway trust fund)	81	92	80
Highway traffic safety grants	207	229	234
Total outlays	368	468	455

Federal Funds

General and special funds:

OPERATIONS AND RESEARCH

For expenses necessary to discharge the functions of the Secretary, with respect to traffic and highway safety under chapter 301 of title 49, United States Code, and part C of subtitle VI of title 49, United States Code [127,780,000] \$130,881,508, of which [95,835,000] \$98,161,131 shall remain available until September 30, [2004] 2005: *Provided*, That none of the funds appropriated by this Act may be obligated or expended to plan, finalize, or implement any rulemaking to add to section 575.104 of title 49 of the Code of Federal Regulations any requirement pertaining to a grading standard that is different from the three grading standards (treadwear, traction, and temperature resistance) already in effect. (*Department of Transportation and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 69-0650-0-1-401	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Safety performance standards	15	24	25
00.02 Safety assurance	27	32	32
00.03 Research and analysis	53	88	61
00.04 Office of the Administrator	7	4	4
00.05 General administration	11	9	8
09.01 Reimbursable program	25	25	25
10.00 Total new obligations	138	182	155
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	14	26	
22.00 New budget authority (gross)	146	156	155
22.10 Resources available from recoveries of prior year obligations	5		
23.90 Total budgetary resources available for obligation	165	182	155
23.95 Total new obligations	-138	-182	-155
24.40 Unobligated balance carried forward, end of year	26		

General and special funds—Continued

OPERATIONS AND RESEARCH—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 69-0650-0-1-401	2001 actual	2002 est.	2003 est.	
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	121	132	130
40.35	Appropriation rescinded		-1	
43.00	Appropriation (total discretionary)	121	131	130
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	25	25	25
70.00	Total new budget authority (gross)	146	156	155
Change in obligated balances:				
72.40	Obligated balance, start of year	63	86	96
73.10	Total new obligations	138	182	155
73.20	Total outlays (gross)	-105	-172	-166
73.40	Adjustments in expired accounts (net)	-5		
73.45	Recoveries of prior year obligations	-5		
74.40	Obligated balance, end of year	86	96	85
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	97	103	102
86.93	Outlays from discretionary balances	8	69	64
87.00	Total outlays (gross)	105	172	166
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-25	-25	-25
Net budget authority and outlays:				
89.00	Budget authority	121	131	130
90.00	Outlays	80	147	141

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	117	127	126
90.00	Outlays	76	143	137

In 2003, \$205 million is proposed for Operations and Research. This includes \$74 million from the Highway Trust Fund and \$131 million from the general fund.

Object Classification (in millions of dollars)

Identification code 69-0650-0-1-401	2001 actual	2002 est.	2003 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	24	28	29
11.3	Other than full-time permanent	1	1	1
11.5	Other personnel compensation	1	1	1
11.9	Total personnel compensation	26	30	31
12.1	Civilian personnel benefits	10	11	11
21.0	Travel and transportation of persons	1	1	1
23.1	Rental payments to GSA	1	1	1
23.3	Communications, utilities, and miscellaneous charges	4	4	4
24.0	Printing and reproduction	2	2	2
25.2	Other services	32	39	37
25.5	Research and development contracts	18	51	25
26.0	Supplies and materials	13	13	13
31.0	Equipment	6	5	5
99.0	Direct obligations	113	157	130
99.0	Reimbursable obligations	25	25	25
99.9	Total new obligations	138	182	155

Personnel Summary

Identification code 69-0650-0-1-401	2001 actual	2002 est.	2003 est.	
1001	Total compensable workyears: Full-time equivalent employment	263	289	296

Trust Funds

OPERATIONS AND RESEARCH
(LIQUIDATION OF CONTRACT AUTHORIZATION)
(LIMITATION ON OBLIGATIONS)
(HIGHWAY TRUST FUND)

For payment of obligations incurred in carrying out the provisions of 23 U.S.C. 403, to remain available until expended, \$72,000,000, to be derived from the Highway Trust Fund; *Provided*, That none of the funds in this Act shall be available for the planning or execution of programs the total obligations for which, in fiscal year [2002] 2003, are in excess of \$72,000,000 for programs authorized under 23 U.S.C. 403.

[Of the unobligated balances authorized under 23 U.S.C. 403, \$1,516,000 are rescinded.]

NATIONAL DRIVER REGISTER
(HIGHWAY TRUST FUND)

For expenses necessary to discharge the functions of the Secretary with respect to the National Driver Register under chapter 303 of title 49, United States Code, \$2,000,000, to be derived from the Highway Trust Fund, and to remain available until expended. (*Department of Transportation and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 69-8016-0-7-401	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Direct program:				
00.01	Highway safety programs	66	70	66
00.02	Research and analysis	13	9	12
00.03	Office of the Administrator	2	2	2
00.04	General administration	6	5	5
10.00	Total new obligations	87	86	85
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	2	1	
22.00	New budget authority (gross)	85	84	85
22.10	Resources available from recoveries of prior year obligations	2		
23.90	Total budgetary resources available for obligation	89	85	85
23.95	Total new obligations	-87	-86	-85
23.98	Unobligated balance expiring or withdrawn	-1		
24.40	Unobligated balance carried forward, end of year	1		

New budget authority (gross), detail:

Discretionary:				
40.26	Appropriation (trust fund)	74	74	74
40.49	Portion applied to liquidate contract authority	-72	-72	-72
43.00	Appropriation (total discretionary)	2	2	2
49.36	Unobligated balance rescinded		-1	
Mandatory:				
66.10	Contract authority	72	72	72
Discretionary:				
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	11	11	11
70.00	Total new budget authority (gross)	85	84	85
Change in obligated balances:				
72.40	Obligated balance, start of year	84	71	54
73.10	Total new obligations	87	86	85
73.20	Total outlays (gross)	-97	-103	-91
73.45	Recoveries of prior year obligations	-2		
74.40	Obligated balance, end of year	71	54	47
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	53	54	54

86.93	Outlays from discretionary balances	44	49	37
87.00	Total outlays (gross)	97	103	91

Offsets:

88.00	Against gross budget authority and outlays: Offsetting collections (cash) from: Federal sources	-11	-11	-11
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Net budget authority and outlays:

89.00	Budget authority	74	73	74
90.00	Outlays	87	92	80

Status of Contract Authority (in millions of dollars)

Identification code 69-8016-0-7-401	2001 actual	2002 est.	2003 est.	
Contract authority:				
0200	Contract authority	72	71	72
0400	Appropriation to liquidate contract authority	-72	-72	-72

A total of \$205 million is proposed for Operations and Research.

Programs funded under the Operations and Research appropriation are described below.

Safety Performance Standards (Rulemaking) Programs.—Supports the promulgation of Federal motor vehicle safety standards for motor vehicles, and safety-related equipment; automotive fuel economy standards required by the Energy Policy and Conservation Act; international harmonization of vehicle standards; and consumer information on motor vehicle safety, including the New Car Assessment Program.

Safety Assurance (Enforcement) Programs.—Provides support to ensure compliance with motor vehicle safety and automotive fuel economy standards, investigate safety-related motor vehicle defects, enforce federal odometer law and encourage enforcement of state odometer law and conduct safety recalls when warranted.

Research and Analysis.—Provides motor vehicle safety research and development in support of all NHTSA programs, including the collection and analysis of crash data to identify safety problems, develop alternative solutions, and assess costs, benefits, and effectiveness. Research will continue to concentrate on improving vehicle crash worthiness and crash avoidance, with emphasis on smart air bag technology and on the National Transportation Biomechanics Research Center, which includes the Crash Injury Research and Engineering Network (CIREN).

Highway Safety Programs.—Provides for research, demonstrations, technical assistance, and national leadership for highway safety programs conducted by state and local governments, the private sector, universities and research units, and various safety associations and organizations. This program emphasizes alcohol and drug countermeasures, vehicle occupant protection, traffic law enforcement, emergency medical and trauma care systems, traffic records and licensing, state and community evaluation, motorcycle riders, pedestrian and bicycle safety, pupil transportation, young and older driver safety programs, and development of improved accident investigation procedures.

General Administration.—Provides program evaluation, strategic planning, and economic analysis for agency programs. Objective quantitative information about NHTSA's regulatory and highway safety programs is gathered to measure their effectiveness in achieving objectives. This activity also funds development of methods to estimate economic consequences of motor vehicle injuries in forms suitable for agency use in problem identification, regulatory analysis, priority setting, and policy analysis.

National Driver Register.—Provides funding to implement and operate the Problem Driver Pointer System (PDPS) and improve traffic safety by assisting state motor vehicle administrators in communicating effectively and efficiently with other states to identify drivers whose licenses have been sus-

pending or revoked for serious traffic offenses, such as driving under the influence of alcohol or other drugs.

Object Classification (in millions of dollars)

Identification code 69-8016-0-7-401	2001 actual	2002 est.	2003 est.	
11.1	Personnel compensation: Full-time permanent	21	19	20
12.1	Civilian personnel benefits	3	5	6
21.0	Travel and transportation of persons	1	1	1
23.1	Rental payments to GSA	5	5	5
23.3	Communications, utilities, and miscellaneous charges	1	1	1
24.0	Printing and reproduction	2	2	2
25.2	Other services	42	45	39
25.5	Research and development contracts	11	7	10
26.0	Supplies and materials	1	1	1
99.9	Total new obligations	87	86	85

Personnel Summary

Identification code 69-8016-0-7-401	2001 actual	2002 est.	2003 est.	
1001	Total compensable workyears: Full-time equivalent employment	370	370	370

**HIGHWAY TRAFFIC SAFETY GRANTS
(LIQUIDATION OF CONTRACT AUTHORIZATION)
(LIMITATION ON OBLIGATIONS)
(HIGHWAY TRUST FUND)**

Notwithstanding any other provision of law, for payment of obligations incurred in carrying out the provisions of 23 U.S.C. 402, 405, and 410, [and 411] to remain available until expended, [\$223,000,000] \$225,000,000, to be derived from the Highway Trust Fund: *Provided*, That none of the funds in this Act shall be available for the planning and execution of programs the total obligations for which, in fiscal year [2002] 2003, are in excess of [\$223,000,000] \$225,000,000 for programs authorized under 23 U.S.C. 402, 405, and 410, [and 411] of which [\$160,000,000] \$165,000,000 shall be for "Highway Safety Programs" under 23 U.S.C. 402, [\$15,000,000] \$20,000,000 shall be for "Occupant Protection Incentive Grants" under 23 U.S.C. 405, [\$38,000,000] and \$40,000,000 shall be for "Alcohol-Impaired Driving Countermeasures Grants" under 23 U.S.C. 410[, and \$10,000,000 shall be for the "State Highway Safety Data Grants" under 23 U.S.C. 411]: *Provided further*, That none of these funds shall be used for construction, rehabilitation, or remodeling costs, or for office furnishings and fixtures for State, local, or private buildings or structures: *Provided further*, That not to exceed [\$8,000,000] \$8,150,000 of the funds made available for section 402, not to exceed [\$750,000] \$1,000,000 and of the funds made available for section 405, and not to exceed [\$1,900,000] \$2,000,000 of the funds made available for section 410[, and not to exceed \$500,000 of the funds made available for section 411] shall be available to NHTSA for administering highway safety grants under chapter 4 of title 23, United States Code: *Provided further*, That not to exceed \$500,000 of the funds made available for section 410 "Alcohol-Impaired Driving Countermeasures Grants" shall be available for technical assistance to the States. (*Department of Transportation and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 69-8020-0-7-401	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Section 402 formula grants	155	160	165
00.02	Section 405 occupant protection incentive grants	13	15	20
00.03	Section 410 alcohol incentive grants	36	38	40
00.04	Section 411 safety data grants	9	10
10.00	Total new obligations	213	223	225
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	213	223	225
23.95	Total new obligations	-213	-223	-225
New budget authority (gross), detail:				
Discretionary:				
40.26	Appropriation (trust fund)	213	223	225

HIGHWAY TRAFFIC SAFETY GRANTS—Continued
(LIQUIDATION OF CONTRACT AUTHORIZATION)—Continued

Program and Financing (in millions of dollars)—Continued				
Identification code 69-8020-0-7-401	2001 actual	2002 est.	2003 est.	
40.49	Portion applied to liquidate contract authority	- 213	- 223	- 225
43.00	Appropriation (total discretionary)			
Mandatory:				
66.10	Contract authority	213	223	225
70.00	Total new budget authority (gross)	213	223	225
Change in obligated balances:				
72.40	Obligated balance, start of year	225	231	224
73.10	Total new obligations	213	223	225
73.20	Total outlays (gross)	- 207	- 229	- 233
74.40	Obligated balance, end of year	231	224	215
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	87	91	92
86.93	Outlays from discretionary balances	120	138	141
87.00	Total outlays (gross)	207	229	233
Net budget authority and outlays:				
89.00	Budget authority	213	223	225
90.00	Outlays	207	229	233

Status of Contract Authority (in millions of dollars)

Identification code 69-8020-0-7-401	2001 actual	2002 est.	2003 est.	
Contract authority:				
0200	Contract authority	213	223	225
0400	Appropriation to liquidate contract authority	- 213	- 223	- 225

Section 402.—The Section 402 State and Community Grant Program is a performance-based program administered by NHTSA. Grant allocations are determined on the basis of a statutory formula. States use this funding to reduce traffic crashes, fatalities, and injuries. The grants are used to support State highway safety programs, focused on national priority areas, implemented jointly with all members of the highway safety community. States develop safety goals, performance measures, and strategic plans to manage use of grants for programs to reduce deaths and injuries on the Nation's highways, such as programs associated with excessive speeds, failure to use occupant restraints, alcohol/drug impaired driving, and roadway safety.

Alcohol-Impaired Driving Incentive Grants.—A two-tiered basic and supplemental grant program to reward states that pass new laws and start more effective programs to attack drunk driving. This continues the Department's strong emphasis on impaired drivers that has been addressed by the Section 410 incentive grant program. States may qualify for basic grants by implementing criteria that include: administrative license revocation, stepped-up police enforcement coupled with publicity, and graduated licensing laws with nighttime driving restrictions and Zero Tolerance. States are also awarded basic grants for demonstrating consistently high performance in reducing alcohol-related fatalities. There are six supplemental grant criteria including self-sustaining drunk driving prevention programs, effective DWI tracking systems, and use of passive alcohol sensors by police.

Section 405 Occupant Protection Incentive Grants.—Targets specific laws and programs to help states increase seat belt and child safety seat use. States may qualify for grants by adopting or demonstrating specific laws and programs, such as primary safety belt use laws, minimum fines or penalty points, and special traffic enforcement programs. Grant funds may be used only to implement and enforce occupant protection programs.

Object Classification (in millions of dollars)

Identification code 69-8020-0-7-401	2001 actual	2002 est.	2003 est.	
25.2	Other services	11	11	11
41.0	Grants, subsidies, and contributions	202	212	214
99.9	Total new obligations	213	223	225

FEDERAL RAILROAD ADMINISTRATION

The following tables show the funding for all Federal Railroad Administration programs:

(In millions of dollars)

Budget authority:	2001 actual	2002 est.	2003 est.
Safety and operations	105	121	123
Rail user fees (memo)			(45)
Railroad research and development	25	29	28
Rail user fees (memo)			(14)
Grants to the National Railroad Passenger Corporation	520	621	521
Amtrak Reform Council	1		
Rhode Island rail development	17		
Pennsylvania Station redevelopment project	20	20	20
Next generation high-speed rail	25	32	23
Alaska Railroad rehabilitation	30	20	
West Virginia rail development	15		
Amtrak corridor improvement loans	- 1	- 1	- 1
Railroad rehabilitation and improvement program liquidating account	- 5	- 4	- 4
Total budget authority	754	848	710

Outlays:	2001 actual	2002 est.	2003 est.
Safety and Operations	103	133	125
Local rail freight assistance	1	1	
Railroad research and development	27	41	31
Conrail commuter transition assistance	2	1	
Grants to the National Railroad Passenger Corporation	553	855	571
Amtrak Reform Council	1	1	
Northeast corridor improvement program		4	9
Rhode Island rail development	6	7	17
Pennsylvania Station redevelopment project		3	18
Trust fund share of next generation high-speed rail	2		
Next generation high-speed rail	20	19	15
Alaska Railroad rehabilitation	28	25	37
West Virginia rail development		3	8
Emergency railroad rehabilitation and repair	3	1	
Amtrak corridor improvement loans	- 1	- 1	- 1
Railroad rehabilitation and improvement program liquidating account	- 5	- 4	- 4
Total outlays	740	1,089	826

Federal Funds

General and special funds:

SAFETY AND OPERATIONS

For necessary expenses of the Federal Railroad Administration, not otherwise provided for, **[\$110,857,000]** \$122,889,000, of which **[\$6,509,000]** \$6,636,000 shall remain available until expended. (Department of Transportation and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Safety and Operations", \$6,000,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38. (Emergency Supplemental Act, 2002.)

Unavailable Collections (in millions of dollars)

Identification code 69-0700-0-1-401	2001 actual	2002 est.	2003 est.
01.99	Balance, start of year		
Receipts:			
02.60	Railroad safety user fees, legislative proposal not subject to PAYGO		59
Appropriations:			
05.00	Safety and operations, legislative proposal not subject to PAYGO		- 59

07.99 Balance, end of year

Program and Financing (in millions of dollars)

Identification code 69-0700-0-1-401	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Salaries and expenses	105	124	122
00.02 Contract support		1	
00.06 Alaska railroad liabilities	1	1	1
01.00 Total direct program	106	126	123
Reimbursable program:			
09.01 Reimbursable services	1	1	1
09.02 Union Station deed payments	1		
09.99 Total reimbursable program	2	1	1
10.00 Total new obligations	108	127	124
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	6	5	
22.00 New budget authority (gross)	107	123	124
23.90 Total budgetary resources available for obligation	113	128	124
23.95 Total new obligations	-108	-127	-124
24.40 Unobligated balance carried forward, end of year	5		

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	105	116	123
40.15 Appropriation (emergency)		6	
43.00 Appropriation (total discretionary)	105	122	123
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	2	1	1
70.00 Total new budget authority (gross)	107	123	124

Change in obligated balances:

72.40 Obligated balance, start of year	17	24	16
73.10 Total new obligations	108	127	124
73.20 Total outlays (gross)	-101	-136	-126
74.40 Obligated balance, end of year	24	16	14

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	97	111	112
86.93 Outlays from discretionary balances	4	25	14
87.00 Total outlays (gross)	101	136	126

Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-1	-1	-1
88.40 Non-Federal sources	-1		
88.90 Total, offsetting collections (cash)	-2	-1	-1

Net budget authority and outlays:

89.00 Budget authority	105	122	123
90.00 Outlays	99	135	125

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	101	117	118
90.00 Outlays	95	130	120

The programs under this account are:

Salaries and expenses.—Provides support for FRA rail safety activities and all other administrative and operating activities related to FRA staff and programs.

Contract support.—Provides support for policy oriented economic, industry, and systems analysis.

Alaska Railroad Liabilities.—Provides reimbursement to the Department of Labor for compensation payments to former Federal employees of the Alaska Railroad who were

on the rolls during the period of Federal ownership and support for clean-up activities at hazardous waste sites located at properties once owned by the FRA. The 2003 request is for workers' compensation.

Object Classification (in millions of dollars)

Identification code 69-0700-0-1-401	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	49	56	60
11.3 Other than full-time permanent	1		1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	51	57	62
12.1 Civilian personnel benefits	16	20	21
21.0 Travel and transportation of persons	8	8	8
23.1 Rental payments to GSA	3	4	4
23.3 Communications, utilities, and miscellaneous charges	1	3	2
25.1 Advisory and assistance services	1	2	1
25.2 Other services	16	20	18
25.3 Other purchases of goods and services from Government accounts	3	2	3
26.0 Supplies and materials	1	1	
31.0 Equipment	5	3	3
41.0 Grants, subsidies, and contributions	1	6	1
99.0 Direct obligations	106	126	119
99.0 Reimbursable obligations	2	1	1
99.9 Total new obligations	108	127	124

Personnel Summary

Identification code 69-0700-0-1-401	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	742	769	794

SAFETY AND OPERATIONS

(Legislative proposal, not subject to PAYGO)

Note.—See section 331 of the General Provisions for the proposed appropriations language.

Program and Financing (in millions of dollars)

Identification code 69-0700-2-1-401	2001 actual	2002 est.	2003 est.
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation			-45
40.20 Appropriation (special fund)			59
41.00 Transferred to other accounts			-14
43.00 Appropriation (total discretionary)			
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

The Administration will propose legislation to authorize the collection and spending of a rail user fee. If the proposed authorizing legislation is enacted, the proviso for the rail user fee contained in the General Provisions will reduce the General Fund appropriation for Safety and Operations by \$45 million, the amount of the proposed user fee.

RAILROAD RESEARCH AND DEVELOPMENT

For necessary expenses for railroad research and development, **[\$29,000,000] \$28,325,000**, to remain available until expended. (*Department of Transportation and Related Agencies Appropriations Act, 2002.*)

General and special funds—Continued

RAILROAD RESEARCH AND DEVELOPMENT—Continued

Program and Financing (in millions of dollars)

Identification code 69-0745-0-1-401	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Railroad system issues	4	6	4
00.02 Human factors	3	4	4
00.03 Rolling stock and components	1	2	3
00.04 Track and structures	3	8	5
00.05 Track and train interaction	4	3	3
00.06 Train control			1
00.07 Grade crossings	2	2	1
00.08 Hazardous materials transportation	1	1	1
00.09 Train occupant protection	5	7	6
00.10 R&D facilities and test equipment	2	1	1
00.11 Other	-1	1	
01.00 Total direct program	24	35	28
09.10 Reimbursable program		1	1
10.00 Total new obligations	24	36	30
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	5	6	
22.00 New budget authority (gross)	25	30	29
23.90 Total budgetary resources available for obligation	30	36	29
23.95 Total new obligations	-24	-36	-30
24.40 Unobligated balance carried forward, end of year	6		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	25	29	28
68.00 Spending authority from offsetting collections: Offsetting collections (cash)		1	1
70.00 Total new budget authority (gross)	25	30	29
Change in obligated balances:			
72.40 Obligated balance, start of year	30	33	27
73.10 Total new obligations	24	36	30
73.20 Total outlays (gross)	-21	-42	-32
74.40 Obligated balance, end of year	33	27	25
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	15	18	18
86.93 Outlays from discretionary balances	6	23	12
87.00 Total outlays (gross)	21	42	32
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources		-1	-1
Net budget authority and outlays:			
89.00 Budget authority	25	29	28
90.00 Outlays	21	41	31

The objective of the Railroad Research and Development (R&D) program is to provide science and technology support for rail safety rulemaking and enforcement and to stimulate technological advances in conventional and high-speed railroads. This activity is conducted with the cooperation of and some cost-sharing from private sector organizations.

Railroad system issues.—Provides for research in railroad system safety, performance-based regulations, railroad systems and infrastructure security, railroad environmental issues, and locomotive R&D.

Human factors.—Provides for research in train operations, and yard and terminal accidents and incidents.

Rolling stock and components.—Provides for research in on-board monitoring systems, wayside monitoring systems, and material and design improvements.

Track and structures.—Provides for research in inspection techniques, material and component reliability, track and structure design and performance, and track stability data processing and feedback.

Track and train interaction.—Provides for research in derailment mechanisms, and vehicle/track performance.

Train control.—Provides for research in train control test and evaluation.

Grade crossings.—Provides for research in grade crossing human factors and infrastructure.

Hazardous materials transportation.—Provides for research in hazmat transportation safety, damage assessment and inspection, and tank car safety.

Train occupant protection.—Provides for research in locomotive safety, and passenger car safety/performance.

R&D facilities and test equipment.—Provides support to the Transportation technology center (TTC) and the track research instrumentation platform. The TTC is a government-owned facility near Pueblo, Colorado, operated by the Association of American Railroads under a contract for care, custody and control.

Object Classification (in millions of dollars)

Identification code 69-0745-0-1-401	2001 actual	2002 est.	2003 est.
Direct obligations:			
25.2 Other services	3	12	12
25.4 Operation and maintenance of facilities	2	1	1
25.5 Research and development contracts	17	18	14
41.0 Grants, subsidies, and contributions	2	4	2
99.0 Direct obligations	24	35	29
99.0 Reimbursable obligations		1	1
99.9 Total new obligations	24	36	30

**RAILROAD RESEARCH AND DEVELOPMENT
(Legislative proposal, not subject to PAYGO)**

Note.—See section 331 of the General Provisions for the proposed appropriations language.

Program and Financing (in millions of dollars)

Identification code 69-0745-2-1-401	2001 actual	2002 est.	2003 est.
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation			-14
42.00 Transferred from other accounts			14
43.00 Appropriation (total discretionary)			
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

The Administration will propose legislation to authorize the collection and spending of a rail user fee. If the proposed authorizing legislation is enacted, the proviso for the rail user fee contained in the General Provisions will reduce the General Fund appropriation for Railroad Research and Development by \$14 million, the amount of the proposed user fee.

RHODE ISLAND RAIL DEVELOPMENT

Program and Financing (in millions of dollars)

Identification code 69-0726-0-1-401	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Rhode Island rail development	27		
10.00 Total new obligations (object class 41.0)	27		
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	10		
22.00 New budget authority (gross)	17		
23.90 Total budgetary resources available for obligation	27		
23.95 Total new obligations	-27		

New budget authority (gross), detail:			
Discretionary:			
40.00	Appropriation	17	
Change in obligated balances:			
72.40	Obligated balance, start of year	14	35 28
73.10	Total new obligations	27	
73.20	Total outlays (gross)	-6	-7 -17
74.40	Obligated balance, end of year	35	28 11
Outlays (gross), detail:			
86.90	Outlays from new discretionary authority	3	
86.93	Outlays from discretionary balances	3	7 17
87.00	Total outlays (gross)	6	7 17
Net budget authority and outlays:			
89.00	Budget authority	17	
90.00	Outlays	6	7 17

Funds were previously provided to continue the construction of a third rail line and related costs between Davisville and Central Falls, RI. No funds are requested for 2003, as the 2001 funding completed the Administration's total funding commitment to this project.

PENNSYLVANIA STATION REDEVELOPMENT PROJECT

Program and Financing (in millions of dollars)

Identification code 69-0723-0-1-401			
		2001 actual	2002 est. 2003 est.
Obligations by program activity:			
00.01	Pennsylvania Station redevelopment project	40	20
10.00	Total new obligations (object class 41.0)	40	20
Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year	20	
22.00	New budget authority (gross)	20	20
23.90	Total budgetary resources available for obligation	20	40 20
23.95	Total new obligations	-40	-20
24.40	Unobligated balance carried forward, end of year	20	
New budget authority (gross), detail:			
Discretionary:			
40.00	Appropriation	20	20 20
Change in obligated balances:			
72.40	Obligated balance, start of year		37
73.10	Total new obligations	40	20
73.20	Total outlays (gross)	-3	-18
74.40	Obligated balance, end of year	37	39
Outlays (gross), detail:			
86.90	Outlays from new discretionary authority	2	2
86.93	Outlays from discretionary balances	1	16
87.00	Total outlays (gross)	3	18
Net budget authority and outlays:			
89.00	Budget authority	20	20 20
90.00	Outlays	3	18

Funds are used to redevelop the Pennsylvania Station in New York City, which involves renovating the James A. Farley Post Office building as a train station and commercial center, and basic upgrades to Pennsylvania Station. Funding for this project was included in the Grants to the National Railroad Passenger Corporation appropriation in 1995 through 1997, and the Northeast Corridor Improvement Program in 1998. In 2000 an advance appropriation of \$20 million was provided for 2001, 2002, and 2003. In 2001 the \$20 million in advance appropriations for the Farley Building was made available specifically for fire and life safety initiatives. This 2003 amount reflects the last year of the funding level previously appropriated.

[ALASKA RAILROAD REHABILITATION]

[To enable the Secretary of Transportation to make grants to the Alaska Railroad, \$20,000,000 shall be for capital rehabilitation and improvements benefiting its passenger operations, to remain available until expended.] (*Department of Transportation and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 69-0730-0-1-401			
		2001 actual	2002 est. 2003 est.
Obligations by program activity:			
00.01	Alaska Railroad rehabilitation	20	20
00.02	Department of Defense, Air Force	10	
10.00	Total new obligations (object class 41.0)	30	20
Budgetary resources available for obligation:			
22.00	New budget authority (gross)	30	20
23.95	Total new obligations	-30	-20
New budget authority (gross), detail:			
Discretionary:			
40.00	Appropriation	20	20
42.00	Transferred from Department of Defense	10	
43.00	Appropriation (total discretionary)	30	20
Change in obligated balances:			
72.40	Obligated balance, start of year	40	42 37
73.10	Total new obligations	30	20
73.20	Total outlays (gross)	-28	-25 -37
74.40	Obligated balance, end of year	42	37
Outlays (gross), detail:			
86.90	Outlays from new discretionary authority	12	8
86.93	Outlays from discretionary balances	16	17 37
87.00	Total outlays (gross)	28	25 37
Net budget authority and outlays:			
89.00	Budget authority	30	20
90.00	Outlays	28	25 37

These funds provided direct payments to the Alaska railroad. No funds are requested for 2003.

WEST VIRGINIA RAIL DEVELOPMENT

Program and Financing (in millions of dollars)

Identification code 69-0758-0-1-401			
		2001 actual	2002 est. 2003 est.
Obligations by program activity:			
00.01	West Virginia rail development	3	12
10.00	Total new obligations (object class 41.0)	3	12
Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year		12
22.00	New budget authority (gross)	15	
23.90	Total budgetary resources available for obligation	15	12
23.95	Total new obligations	-3	-12
24.40	Unobligated balance carried forward, end of year	12	
New budget authority (gross), detail:			
Discretionary:			
40.00	Appropriation	15	
Change in obligated balances:			
72.40	Obligated balance, start of year		3 12
73.10	Total new obligations	3	12
73.20	Total outlays (gross)	-3	-8
74.40	Obligated balance, end of year	3	12 4
Outlays (gross), detail:			
86.93	Outlays from discretionary balances		3 8
Net budget authority and outlays:			
89.00	Budget authority	15	

General and special funds—Continued

WEST VIRGINIA RAIL DEVELOPMENT—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 69-0758-0-1-401	2001 actual	2002 est.	2003 est.
90.00 Outlays		3	8

Funds provided capital costs associated with track, signal and crossover rehabilitation and improvements on the MARC Brunswick line in West Virginia. No funds are requested in 2003.

CAPITAL GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION

For necessary expenses of capital improvements of the National Railroad Passenger Corporation as authorized by 49 U.S.C. 24104(a), \$521,476,000, to remain available until expended. (*Department of Transportation and Related Agencies Appropriations Act, 2002.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for necessary expenses of capital improvements of the National Railroad Passenger Corporation as authorized by 49 U.S.C. 24104(a), \$100,000,000, to remain available until expended, and to be obligated from amounts made available in Public Law 107-38.] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 69-0704-0-1-401	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 General capital grants	551	933	521
10.00 Total new obligations (object class 41.0)	551	933	521

Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	343	312	
22.00 New budget authority (gross)	520	621	521
23.90 Total budgetary resources available for obligation	863	933	521
23.95 Total new obligations	-551	-933	-521
24.40 Unobligated balance carried forward, end of year	312		

New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	521	621	521
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
43.00 Appropriation (total discretionary)	520	621	521

Change in obligated balances:			
72.40 Obligated balance, start of year	3	1	80
73.10 Total new obligations	551	933	521
73.20 Total outlays (gross)	-553	-854	-571
74.40 Obligated balance, end of year	1	80	30

Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	208	541	521
86.93 Outlays from discretionary balances	345	313	50
87.00 Total outlays (gross)	553	854	571

Net budget authority and outlays:			
89.00 Budget authority	520	621	521
90.00 Outlays	553	854	571

The National Railroad Passenger Corporation (Amtrak) was established in 1970 through the Rail Passenger Service Act. Amtrak is operated and managed as a for profit corporation with all Board members appointed by the Executive Branch of the Federal Government, with the advice and consent of the Senate. Amtrak is not an agency or instrumentality of the U.S. Government.

Funding provides support for Amtrak capital requirements, including Northeast Corridor improvements.

AMTRAK REFORM COUNCIL

Program and Financing (in millions of dollars)

Identification code 69-0152-0-1-407	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 99.5)	1	1	
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	1		
23.95 Total new obligations	-1	-1	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1		
Change in obligated balances:			
73.10 Total new obligations	1	1	
73.20 Total outlays (gross)	-1	-1	
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1		
86.93 Outlays from discretionary balances		1	
87.00 Total outlays (gross)	1	1	
Net budget authority and outlays:			
89.00 Budget authority	1		
90.00 Outlays	1	1	

The Amtrak Reform Council was created by the Amtrak Reform and Accountability Act of 1997 (P.L. 105-134) to perform an independent assessment of Amtrak. The 1999 Department of Transportation and Related Agencies Appropriations Act expanded the Council's mandate to include identifying Amtrak routes which are candidates for closure or realignment. The Council is an independent entity and its funding was presented within the Federal Railroad Administration for display purposes only. No funds are requested in 2003.

Personnel Summary

Identification code 69-0152-0-1-407	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	7		

NEXT GENERATION HIGH-SPEED RAIL

For necessary expenses for the Next Generation High-Speed Rail program as authorized under 49 U.S.C. 26101 and 26102, [\$32,300,000] \$23,200,000 to remain available until expended. (*Department of Transportation and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 69-0722-0-1-401	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 High-speed train control systems	11	12	10
00.02 High-speed non-electric locomotives	4	9	6
00.03 Grade crossing hazard mitigation/low-cost innovative technologies	4	5	4
00.04 Track/structures technology	1	2	1
00.05 Corridor planning	1	6	2
00.06 Maglev		4	
10.00 Total new obligations	21	38	23
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	6	
22.00 New budget authority (gross)	25	32	23
23.90 Total budgetary resources available for obligation	27	38	23
23.95 Total new obligations	-21	-38	-23
24.40 Unobligated balance carried forward, end of year	6		

New budget authority (gross), detail:			
Discretionary:			
40.00	Appropriation	25	32 23
Change in obligated balances:			
72.40	Obligated balance, start of year	60	61 80
73.10	Total new obligations	21	38 23
73.20	Total outlays (gross)	-20	-19 -15
74.40	Obligated balance, end of year	61	80 88
Outlays (gross), detail:			
86.90	Outlays from new discretionary authority	4	4 3
86.93	Outlays from discretionary balances	16	15 11
87.00	Total outlays (gross)	20	19 15
Net budget authority and outlays:			
89.00	Budget authority	25	32 23
90.00	Outlays	20	19 15

74.40	Obligated balance, end of year	1
Outlays (gross), detail:			
86.93	Outlays from discretionary balances	3	1
Net budget authority and outlays:			
89.00	Budget authority
90.00	Outlays	3	1

This schedule displays emergency funding programs that no longer require appropriations and thus reflects outlays from 1997 and 1998 appropriations. In 1997, the funds were used to repair and rebuild freight rail lines of regional and short-line railroads or State-owned railroads damaged by floods in South Dakota, North Dakota, Minnesota, West Virginia and Iowa. In 1998, all states became eligible for this program.

The Next Generation High-Speed Rail Program will fund: research, development, and technology demonstration programs and the planning and analysis required to evaluate technology proposals under the program.

LOCAL RAIL FREIGHT ASSISTANCE
Program and Financing (in millions of dollars)

Object Classification (in millions of dollars)			
Identification code 69-0722-0-1-401	2001 actual	2002 est.	2003 est.
25.2	Other services	18	33 20
41.0	Grants, subsidies, and contributions	3	5 3
99.9	Total new obligations	21	38 23

Identification code 69-0714-0-1-401	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01	Local rail freight assistance	1
10.00	Total new obligations (object class 41.0)	1
Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year	1	1
23.95	Total new obligations	-1
24.40	Unobligated balance carried forward, end of year	1

NORTHEAST CORRIDOR IMPROVEMENT PROGRAM

Program and Financing (in millions of dollars)

Identification code 69-0123-0-1-401	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.04	System engineering, program management and administration	3
10.00	Total new obligations (object class 25.2)	3
Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year	3	3
23.95	Total new obligations	-3
24.40	Unobligated balance carried forward, end of year	3
Change in obligated balances:			
72.40	Obligated balance, start of year	16	15 14
73.10	Total new obligations	3
73.20	Total outlays (gross)	-1	-4 -9
74.40	Obligated balance, end of year	15	14 5
Outlays (gross), detail:			
86.93	Outlays from discretionary balances	1	4 9
Net budget authority and outlays:			
89.00	Budget authority
90.00	Outlays	4	9

Change in obligated balances:			
72.40	Obligated balance, start of year	1
73.10	Total new obligations	1
73.20	Total outlays (gross)	-1	-1
Outlays (gross), detail:			
86.93	Outlays from discretionary balances	1	1
Net budget authority and outlays:			
89.00	Budget authority
90.00	Outlays	1	1

This program provided discretionary and flat-rate grants to States for rail planning, and for acquisition, track rehabilitation, and rail facility construction with respect to light density freight lines. No funds are requested for this account in 2003.

Provided funds to continue the upgrade of passenger rail service in the corridor between Washington, D.C. and Boston. Beginning in 2001, funding is available within the Amtrak appropriation.

CONRAIL COMMUTER TRANSITION ASSISTANCE

Program and Financing (in millions of dollars)

Identification code 69-0124-0-1-401	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40	Obligated balance, start of year	4	1
73.20	Total outlays (gross)	-3	-1
Outlays (gross), detail:			
86.93	Outlays from discretionary balances	1	4 9
Net budget authority and outlays:			
89.00	Budget authority
90.00	Outlays	4	9

Identification code 69-0747-0-1-401	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40	Obligated balance, start of year	3	1
73.20	Total outlays (gross)	-2	-1
74.40	Obligated balance, end of year	1
Outlays (gross), detail:			
86.93	Outlays from discretionary balances	2	1
Net budget authority and outlays:			
89.00	Budget authority
90.00	Outlays	2	1

These funds helped to defray the one-time-only start-up costs of commuter service and other transition expenses connected with the transfer of rail commuter services from Conrail to other operators. Between 1986 and 1993, funds were appropriated to fund commuter rail and bridge improvements

General and special funds—Continued

CONRAIL COMMUTER TRANSITION ASSISTANCE—Continued

in the Philadelphia, Pennsylvania region. No additional funds are requested in 2003.

Credit accounts:

ALAMEDA CORRIDOR DIRECT LOAN FINANCING PROGRAM

The Alameda Transportation Corridor is an intermodal project connecting the Ports of Los Angeles and Long Beach to downtown Los Angeles. The project replaces the current 20 miles of at-grade rail line with a high-speed, below-grade corridor, thereby eliminating over 200 grade crossings. It also widens and improves the adjacent major highway on this alignment and mitigates the impact of increased international traffic transferring through the San Pedro Ports. The loan has permitted construction to continue without interruption through the sale of debt obligations, the proceeds of which funded the majority of the project's costs.

The amount of subsidy budget authority originally provided for the Alameda Corridor Transportation project was \$59 million. The Alameda Corridor Transportation Authority (ACTA) has now completely drawn down the DOT loan proceeds totaling \$400 million. In January 1999, ACTA received investment grade ratings from three rating agencies on its debt obligations financing construction of the project.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loan obligated in 1997. The subsidy amounts are estimated on a present value basis. No funds are requested for this account in 2003, as all funds required to complete this project were provided in 1997.

ALAMEDA CORRIDOR DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 69-4183-0-3-401	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Interest paid to Treasury		58	34
Downward re-estimates:			
08.02 Downward subsidy reestimate		50	
08.04 Interest on downward reestimate of subsidy		13	
08.91 Total downward re-estimates		63	
10.00 Total new obligations		121	34
Budgetary resources available for obligation:			
22.00 New financing authority (gross)		121	34
23.95 Total new obligations		-121	-34
New financing authority (gross), detail:			
Discretionary:			
47.00 Authority to borrow		118	30
68.00 Spending authority from offsetting collections: Offsetting collections (cash)		3	4
70.00 Total new financing authority (gross)		121	34
Change in obligated balances:			
73.10 Total new obligations		121	34
73.20 Total financing disbursements (gross)		-121	-34
87.00 Total financing disbursements (gross)		121	34
Offsets:			
Against gross financing authority and financing disbursements:			
88.40 Offsetting collections (cash) from: Non-Federal sources		-3	-4
Net financing authority and financing disbursements:			
89.00 Financing authority		118	30

90.00 Financing disbursements	118	30
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Status of Direct Loans (in millions of dollars)

Identification code 69-4183-0-3-401	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans			
1150 Total direct loan obligations			
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	488	503	534
1251 Repayments: Repayments and prepayments			
1261 Adjustments: Capitalized interest	15	31	33
1290 Outstanding, end of year	503	534	567

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 69-4183-0-3-401	2001 actual	2002 est.	2003 est.
Direct loan downward reestimate subsidy budget authority:			
137001 Downward reestimates subsidy budget authority		-63	
137901 Total downward reestimate budget authority		-63	
Direct loan downward reestimate subsidy outlays:			
138001 Downward reestimates subsidy outlays		-63	
138901 Total downward reestimate subsidy outlays		-63	

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans. The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 69-4183-0-3-401	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	400	503	534	567
1402 Interest receivable			3	4
1405 Allowance for subsidy cost (-)	-11			
1499 Net present value of assets related to direct loans	389	503	537	571
1999 Total assets	389	503	537	571
LIABILITIES:				
2103 Federal liabilities: Debt	389	503	537	571
2999 Total liabilities	389	503	537	571
4999 Total liabilities and net position	389	503	537	571

RAILROAD REHABILITATION AND IMPROVEMENT PROGRAM

The Secretary of Transportation is authorized to issue to the Secretary of the Treasury notes or other obligations pursuant to section 512 of the Railroad Revitalization and Regulatory Reform Act of 1976 (Public Law 94-210), as amended, in such amounts and at such times as may be necessary to pay any amounts required pursuant to the guarantee of the principal amount of obligations under sections 511 through 513 of such Act, such authority to exist as long as any such guaranteed obligation is outstanding: *Provided*, That pursuant to section 502 of such Act, as amended, no new direct loans or loan guarantee commitments shall be made using Federal funds for the credit risk premium during fiscal year [2002] 2003. (*Department of Transportation and Related Agencies Appropriations Act, 2002.*)

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 69-0750-0-1-401	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Direct loan levels		150	100
115901 Total direct loan levels		150	100
Direct loan subsidy (in percent):			
132001 Credit Risk Premium rate		0.00	0.00
132901 Weighted average subsidy rate		0.00	0.00
Direct loan subsidy budget authority:			
133001 Direct loan levels			
133901 Total subsidy budget authority			
Direct loan subsidy outlays:			
134001 Credit Risk Premium outlays			
134901 Total subsidy outlays			

Data above includes funds for the Railroad Rehabilitation and Improvement and Amtrak Corridor Improvement Loans program accounts. These accounts were funded under separate appropriations, and are displayed in a consolidated format. The two accounts are loan administration accounts. No funding is requested in 2003. No loans are proposed to be supported in 2003 with Federal funds.

TEA-21 expanded the Railroad Rehabilitation and Improvement program to permit non-Federal entities to provide the subsidy budget authority needed to support a loan through the payment of a credit risk premium. The final rule regarding the administration of the program was published on July 6, 2000.

RAILROAD REHABILITATION AND IMPROVEMENT DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 69-4420-0-3-401	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Interest to Treasury		4	12
00.02 Direct loans		150	100
10.00 Total new obligations		154	112
Budgetary resources available for obligation:			
22.00 New financing authority (gross)		154	112
23.95 Total new obligations		-154	-112
New financing authority (gross), detail:			
Mandatory:			
67.10 Authority to borrow		146	95
69.00 Offsetting collections (cash)		8	25
69.47 Portion applied to repay debt			-8
69.90 Spending authority from offsetting collections (total mandatory)		8	17
70.00 Total new financing authority (gross)		154	112
Change in obligated balances:			
73.10 Total new obligations		154	112
73.20 Total financing disbursements (gross)		-154	-112
87.00 Total financing disbursements (gross)		154	112
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
Non-Federal sources:			
88.40 Credit premium		-8	-5
88.40 Principal repayment			-8
88.40 Interest payment			-12
88.90 Total, offsetting collections (cash)		-8	-25

Net financing authority and financing disbursements:		
89.00 Financing authority	146	87
90.00 Financing disbursements	146	87

Status of Direct Loans (in millions of dollars)

Identification code 69-4420-0-3-401	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans			
1121 Limitation available from carry-forward	3,500	3,500	3,290
1143 Unobligated limitation carried forward (P.L. xx) (-)	-3,500	-3,290	-3,093
1150 Total direct loan obligations		210	197
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	4	4	154
1231 Disbursements: Direct loan disbursements		150	100
1251 Repayments: Repayments and prepayments			-8
1290 Outstanding, end of year	4	154	246

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans. The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 69-4420-0-3-401	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross			150	246
1499 Net present value of assets related to direct loans			150	246
1601 Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable: Direct loans, gross	4	4	3	3
1999 Total assets	4	4	153	249
LIABILITIES:				
2105 Federal liabilities: Other	4	4	153	249
2999 Total liabilities	4	4	153	249
NET POSITION:				
3300 Cumulative results of operations				
3999 Total net position				
4999 Total liabilities and net position	4	4	153	249

RAILROAD REHABILITATION AND IMPROVEMENT LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 69-4411-0-3-401	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Interest to Treasury		2	2
10.00 Total new obligations (object class 43.0)		2	2
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		8	
22.00 New budget authority (gross)	8	2	2
22.60 Portion applied to repay debt		-8	
23.90 Total budgetary resources available for obligation	8	2	2
23.95 Total new obligations		-2	-2
24.40 Unobligated balance carried forward, end of year	8		
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	8	6	6
69.47 Portion applied to repay debt		-4	-4

Credit accounts—Continued**RAILROAD REHABILITATION AND IMPROVEMENT LIQUIDATING ACCOUNT—Continued****Program and Financing** (in millions of dollars)—Continued

Identification code 69-4411-0-3-401	2001 actual	2002 est.	2003 est.
69.90 Spending authority from offsetting collections (total mandatory)	8	2	2
Change in obligated balances:			
73.10 Total new obligations		2	2
73.20 Total outlays (gross)		-2	-2
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority		2	2
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-8	-6	-6
Net budget authority and outlays:			
89.00 Budget authority		-4	-4
90.00 Outlays	-8	-4	-4

Status of Direct Loans (in millions of dollars)

Identification code 69-4411-0-3-401	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	49	49	40
1251 Repayments: Repayments and prepayments		-9	-4
1290 Outstanding, end of year	49	40	36

Section 505—Redeemable preference shares.—Authority for the section 505 redeemable preference shares program expired on September 30, 1988. The account reflects actual outlays of -\$8 million in 2001, and projected outlays of -\$4 million in 2002 and -\$4 million in 2003 resulting from payments of principal and interest as well as repurchases of redeemable preference shares and the sale of redeemable preference shares to the private sector.

Section 511—Loan repayments.—This program reflects repayments of principal and interest on outstanding borrowings by the railroads to the Federal Financing Bank under the section 511 loan guarantee program.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, all cash flows to and from the Government resulting from direct loans obligated and loan guarantees committed prior to 1992. All new activity in this program (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year) is recorded in corresponding program accounts and financing accounts.

Balance Sheet (in millions of dollars)

Identification code 69-4411-0-3-401	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross	49	44	40	36
1602 Interest receivable	18	11	9	7
1699 Value of assets related to direct loans	67	55	49	43
1999 Total assets	67	55	49	43
LIABILITIES:				
Federal liabilities:				
2102 Interest payable	18	11	9	7
2103 Debt	49	44	40	36
2999 Total liabilities	67	55	49	43

4999 Total liabilities and net position	67	55	49	43
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AMTRAK CORRIDOR IMPROVEMENT DIRECT LOAN FINANCING ACCOUNT**Program and Financing** (in millions of dollars)

Identification code 69-4164-0-3-401	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
22.40 Capital transfer to general fund		-1	
Change in obligated balances:			
72.40 Obligated balance, start of year		1	1
74.40 Obligated balance, end of year		1	
Net financing authority and financing disbursements:			
89.00 Financing authority			
90.00 Financing disbursements			

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 69-4164-0-3-401	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1207 Non-Federal assets: Advances and prepayments	1	1	1	
1999 Total assets	1	1	1	
LIABILITIES:				
2202 Non-Federal liabilities: Interest payable	1	1	1	
2999 Total liabilities	1	1	1	
NET POSITION:				
3999 Total net position				
4999 Total liabilities and net position	1	1	1	

AMTRAK CORRIDOR IMPROVEMENT LOANS LIQUIDATING ACCOUNT**Program and Financing** (in millions of dollars)

Identification code 69-0720-0-1-401	2001 actual	2002 est.	2003 est.
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)		1	1
69.47 Portion applied to repay debt	-1	-1	-1
69.90 Spending authority from offsetting collections (total mandatory)			
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-1	-1	-1

Net budget authority and outlays:			
89.00 Budget authority	-1	-1	-1
90.00 Outlays	-1	-1	-1

Status of Direct Loans (in millions of dollars)

Identification code 69-0720-0-1-401	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	5	4	3
1251 Repayments: Repayments and prepayments	-1	-1	-1
1290 Outstanding, end of year	4	3	2

As required by the Federal Credit Reform Act of 1990, this account records, for this program, all cash flows to and from the Government resulting from direct loans obligated prior to 1992. All new activity in this program (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year) is recorded in corresponding program accounts and financing accounts.

Balance Sheet (in millions of dollars)

Identification code 69-0720-0-1-401	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1601 Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable: Direct loans, gross	5	4	3	2
1999 Total assets	5	4	3	2
LIABILITIES:				
2105 Federal liabilities: Federal liabilities; Other	5	4	3	2
2999 Total liabilities	5	4	3	2
4999 Total liabilities and net position	5	4	3	2

**TRUST FUND SHARE OF NEXT GENERATION HIGH-SPEED RAIL
(LIQUIDATION OF CONTRACT AUTHORIZATION)**

(HIGHWAY TRUST FUND)

Program and Financing (in millions of dollars)

Identification code 69-9973-0-7-401	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year	2		
73.20 Total outlays (gross)	-2		
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	2		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	2		

This account provided funds for research, development, and demonstrations to support the advancement of high-speed rail technology. These activities are now supported through the Next Generation High-Speed Rail general fund account.

FEDERAL TRANSIT ADMINISTRATION

The Federal Transit Administration (FTA) provides funding to transit operators, State and local governments and other recipients for the construction of facilities; the purchase of vehicles and equipment; the improvement of technology, service techniques, and methods; the support of regionwide transportation planning; and transit operations. In addition to improving general mobility, FTA provides financial assistance to help implement other national goals relating to mobility for the elderly, people with disabilities, and economically disadvantaged individuals.

The Transportation Equity Act for the 21st Century reauthorized transit programs through FY 2003, and created the new discretionary Mass Transit Budget Category. The General Fund and Highway Trust Fund funding contained in the mass transit category is referred to as "guaranteed" funding. Approximately 80 percent of transit funding in 2002 is derived from the mass transit account of the Highway Trust Fund.

In 2003, \$7,230 million is proposed for transit programs.

The following tables show the funding for the Federal Transit Administration programs.

[In millions of dollars]

	2001 actual	2002 est.	2003 est.
Obligation Limitations:			
Administrative expenses, general fund	16	17	18
Administrative expenses, trust fund	51	54	58
Subtotal, obligation limitation	67	71	77
Transit planning and research, general fund	22	23	24
Transit planning and research, trust fund	148	93	98
Subtotal, obligation limitation	170	116	122
University transportation centers, general fund	1	1	1
University transportation centers, trust fund	5	5	5
Subtotal, obligation limitation	6	6	6
Job access and reverse commute, general fund	20	25	30
Job access and reverse commute, trust fund	80	100	120
Subtotal, obligation limitation	100	125	150
Formula grants, general fund	617	692	768
Formula grants, trust fund	3,901	2,874	3,071
Subtotal, obligation limitation	4,517	3,566	3,839
Capital investment grants, general fund	578	718	607
Capital investment grants, trust fund	2,117	2,272	2,429
Subtotal, obligation limitation	2,695	2,991	3,036
Trust fund share of expenses, total budget authority (non-add)	[6,312]	[5,398]	[5,781]
Trust fund share of expenses, available for obligation (non-add)	[6,301]	[5,398]	[5,781]
Total FTA, obligation limitation	7,555	6,870	7,230

Notes.—2001 reflects a reduction of \$3 million in budget authority and \$11 million in obligation limitation pursuant to P.L. 106-554. 2001 funds reflect the transfer of \$1,291 million from FWA to FTA. The budget assumes that flex-funding transfer between FWA and FTA will continue, and will be documented at the end of the fiscal year.

Federal Funds

General and special funds:

ADMINISTRATIVE EXPENSES

For necessary administrative expenses of the Federal Transit Administration's programs authorized by chapter 53 of title 49, United States Code, **[\$13,400,000] \$18,200,000: Provided,** That no more than **[\$67,000,000] \$76,600,000** of budget authority shall be available for these purposes: *Provided further,* That of the funds in this Act available for the execution of contracts under section 5327(c) of title 49, United States Code, \$2,000,000 shall be reimbursed to the Department of Transportation's Office of Inspector General for costs associated with audits and investigations of transit-related issues, including reviews of new fixed guideway systems: *Provided further,* That not to exceed \$2,600,000 for the National transit database shall remain available until expended. *Department of Transportation and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*

Program and Financing (in millions of dollars)

Identification code 69-1120-0-1-401	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	67	71	77
01.01 Reimbursable program	1	1	1
10.00 Total new obligations	68	72	78
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	68	72	78
23.95 Total new obligations	-68	-72	-78
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	16	17	19
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	52	55	59
70.00 Total new budget authority (gross)	68	72	78
Change in obligated balances:			
72.40 Obligated balance, start of year	8	10	6
73.10 Total new obligations	68	72	78
73.20 Total outlays (gross)	-66	-76	-77

General and special funds—Continued

FORMULA GRANTS

ADMINISTRATIVE EXPENSES—Continued

(INCLUDING TRANSFER OF FUNDS)

Program and Financing (in millions of dollars)—Continued

Identification code 69-1120-0-1-401	2001 actual	2002 est.	2003 est.
74.40 Obligated balance, end of year	10	6	7
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	62	66	71
86.93 Outlays from discretionary balances	4	10	6
87.00 Total outlays (gross)	66	76	77
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-52	-55	-59
Net budget authority and outlays:			
89.00 Budget authority	16	17	19
90.00 Outlays	14	21	18

For necessary expenses to carry out 49 U.S.C. 5307, 5308, 5310, 5311, 5327, and section 3038 of Public Law 105-178, **[\$718,400,000] \$767,800,000**, to remain available until expended: *Provided*, That no more than **[\$3,592,000,000] \$3,839,000,000** of budget authority shall be available for these purposes[: *Provided further*, That, notwithstanding any other provision of law, of the funds provided under this heading, \$5,000,000 shall be available for grants for the costs of planning, delivery, and temporary use of transit vehicles for special transportation needs and construction of temporary transportation facilities for the VII Paralympiad for the Disabled, to be held in Salt Lake City, Utah: *Provided further*, That in allocating the funds designated in the preceding proviso, the Secretary shall make grants only to the Utah Department of Transportation, and such grants shall not be subject to any local share requirement or limitation on operating assistance under this Act or the Federal Transit Act, as amended: *Provided further*, That notwithstanding section 3008 of Public Law 105-178 and 49 U.S.C. 5309(m)(3)(C), \$50,000,000 of the funds to carry out 49 U.S.C. 5308 shall be transferred to and merged with funding provide for the replacement, rehabilitation, and purchase of buses and related equipment and the construction of bus-related facilities under "Federal Transit Administration, Capital investment grants".] (*Department of Transportation and Related Agencies Appropriations Act, 2002.*)

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	13	13	15
90.00 Outlays	11	17	14

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Formula Grants", \$23,500,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (*Emergency Supplemental Act, 2002.*)

For 2003, \$77 million is requested to fund the personnel and other support costs associated with management and direction of FTA programs. This includes \$2 million to be reimbursed to the Inspector General for transit-related audits. In addition, funds will be available for FTA's essential transit operations data base, the National Transit Database. FTA has been a forerunner in expanding automated systems to provide better access to customers. The Transportation Electronic Award and Management (TEAM) system provides on-line access to grantees for grant awards and disbursements.

Program and Financing (in millions of dollars)

Identification code 69-1129-0-1-401	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Salt Lake Olympics	45	5
00.02 Urban formula-capital	4,059	3,259	3,304
00.03 Alaska Railroad	13	5	5
00.04 Clean fuels	40
00.05 Elderly and disabled	175	84	90
00.06 Nonurban formula	214	225	235
00.07 Over-the-road-bus	2	4	6
00.08 Emergency response funds	34
00.09 New freedom initiative	145
10.00 Total new obligations	4,508	3,616	3,825

Object Classification (in millions of dollars)

Identification code 69-1120-0-1-401	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	36	38	41
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	37	39	42
12.1 Civilian personnel benefits	10	12	13
21.0 Travel and transportation of persons	2	2	2
23.1 Rental payments to GSA	4	4	5
23.3 Communications, utilities, and miscellaneous charges	2	2	2
25.2 Other services	11	11	12
31.0 Equipment	1	1	1
99.0 Direct obligations	67	71	77
99.0 Reimbursable obligations	1	1	1
99.9 Total new obligations	68	72	78

Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1,295	1,327	1,287
22.00 New budget authority (gross)	4,518	3,566	3,839
22.10 Resources available from recoveries of prior year obligations	23
22.22 Unobligated balance transferred from other accounts	10
23.90 Total budgetary resources available for obligation	5,836	4,903	5,126
23.95 Total new obligations	-4,508	-3,616	-3,825
24.40 Unobligated balance carried forward, end of year	1,327	1,287	1,301

Personnel Summary

Identification code 69-1120-0-1-401	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	483	505	517
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	15	13	13

New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	669	742	768
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1
41.00 Transferred to other accounts	-51	-50
43.00 Appropriation (total discretionary)	617	692	768
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	3,901	2,874	3,071
70.00 Total new budget authority (gross)	4,518	3,566	3,839

Change in obligated balances:			
72.40 Obligated balance, start of year	5,931	6,338	6,240
73.10 Total new obligations	4,508	3,616	3,825
73.20 Total outlays (gross)	-4,078	-3,714	-3,534
73.45 Recoveries of prior year obligations	-23
74.40 Obligated balance, end of year	6,338	6,240	6,531

Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	757	391	400

86.93	Outlays from discretionary balances	3,321	3,323	3,136
87.00	Total outlays (gross)	4,078	3,714	3,534
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-3,901	-2,874	-3,071
Net budget authority and outlays:				
89.00	Budget authority	617	692	768
90.00	Outlays	178	840	463

Formula grant funding is requested at \$3,839 million in 2003, the guarantee level in TEA-21. Formula Grant funds can be used for all transit purposes including planning, bus and railcar purchases, facility repair and construction, maintenance and where eligible, operating expenses. Increased investment levels help transit succeed in alleviating congestion, ensuring basic mobility, promoting more livable communities and helping meet additional needs required as a result of the Americans with Disabilities Act (ADA) and the Clean Air Act (CAA).

In 2003, the budget requests \$5 million for the Alaska Railroad, \$50 million for the Clean Fuels Formula program consistent with the Transportation Equity Act for the 21st Century, TEA-21, and \$7 million for the Rural Transportation Accessibility Incentive Program, commonly referred to as the Over-the-Road Bus Accessibility Program. In addition, \$6 million will support the Department's efforts to bring together the timely delivery of transportation projects with the protection and enhancement of the environment. The Administration will seek legislation for the New Freedoms Initiative: \$100 million for a grant program using alternative methods to promote access to transportation; and \$45 million for a pilot program that promotes innovative transportation solutions for people with disabilities.

Clean Fuels Formula Program.—\$50 million will finance the purchase or lease of clean fuel buses and facilities and the improvement of existing facilities to accommodate clean fuel buses.

Over-the-Road Bus Accessibility Program.—\$7 million for the Rural Transportation Accessibility Incentive Program established in TEA-21 will assist operators of over-the-road buses to finance the incremental capital and training costs of complying with the Department of Transportation's final rule regarding accessibility of over-the-road buses required by the ADA.

Urbanized Area Formula.—\$3,308 million in funds will be apportioned to areas with populations of 50,000 or more. Funds may be used for any transit capital purpose, including preventive maintenance for these capital assets, in urban areas over 200,000 in population. Also, in urbanized areas under 200,000 both capital and operating costs are eligible expenditures. This funding will assist public transit agencies in meeting the requirements of the Clean Air Act Amendments and the Americans with Disabilities Act. These funds are critical to preserving mobility in our cities and supporting welfare reform by providing an affordable commute for people making the transition to work.

Nonurbanized Area Formula.—\$231 million will be apportioned according to a legislative formula based on each State's nonurban population to areas with populations of less than 50,000. Available funding may be used to support intercity bus service as well as to help meet rural and small urban areas' transit needs.

Formula Grants for Elderly and Individuals with Disabilities.—\$87 million will be apportioned to each State according to a legislatively required formula to assist in providing transportation to the elderly and individuals with disabilities. Grants are made for the purchase of vehicles and equipment and for transportation services under a contract, lease or similar arrangement.

Object Classification (in millions of dollars)

Identification code 69-1129-0-1-401	2001 actual	2002 est.	2003 est.
25.2 Other services	12	18	18
41.0 Grants, subsidies, and contributions	4,496	3,598	3,807
99.9 Total new obligations	4,508	3,616	3,825

UNIVERSITY TRANSPORTATION RESEARCH

For necessary expenses to carry out 49 U.S.C. 5505, \$1,200,000, to remain available until expended: *Provided*, That no more than \$6,000,000 of budget authority shall be available for these purposes. (*Department of Transportation and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 69-1136-0-1-401	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	6	6	6
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	6	6	6
23.95 Total new obligations	-6	-6	-6
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1	1	1
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	5	5	5
70.00 Total new budget authority (gross)	6	6	6
Change in obligated balances:			
72.40 Obligated balance, start of year	10	13	12
73.10 Total new obligations	6	6	6
73.20 Total outlays (gross)	-3	-7	-7
74.40 Obligated balance, end of year	13	12	11
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		1	1
86.93 Outlays from discretionary balances	3	6	6
87.00 Total outlays (gross)	3	7	7
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-5	-5	-5
Net budget authority and outlays:			
89.00 Budget authority	1	1	1
90.00 Outlays	-1	2	2

For 2003, \$6 million is proposed for the University Transportation Research program. This program provides continued support for research, education and technology transfer activities aimed at addressing regional and national transportation problems. These funds are matched with support from non-Federal sources. This program also receives funding from the Federal Highway Administration.

TRANSIT PLANNING AND RESEARCH

For necessary expenses to carry out 49 U.S.C. 5303, 5304, 5305, 5311(b)(2), 5312, 5313(a), 5314, 5315, and 5322, **[\$23,000,000]** \$24,200,000, to remain available until expended: *Provided*, That no more than **[\$116,000,000]** \$122,000,000, of budget authority shall be available for these purposes: *Provided further*, That \$5,250,000 is available to provide rural transportation assistance (49 U.S.C. 5311(b)(2)), \$4,000,000 is available to carry out programs under the National Transit Institute (49 U.S.C. 5315), \$8,250,000 is available to carry out transit cooperative research programs (49 U.S.C. 5313(a)), **[\$55,422,400]** \$60,385,600, is available for metropolitan planning (49 U.S.C. 5303, 5304, and 5305, **[\$11,577,600]** \$12,614,400, is available for the national planning and research program (49

General and special funds—Continued

TRANSIT PLANNING AND RESEARCH—Continued

U.S.C. 5314). (Department of Transportation and Related Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 69-1137-0-1-401	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	180	130	124
09.01 Reimbursable program	20	20	20
10.00 Total new obligations	200	150	144
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	35	25	16
22.00 New budget authority (gross)	190	136	142
22.10 Resources available from recoveries of prior year obligations	1		
22.21 Unobligated balance transferred to other accounts	-1		
22.22 Unobligated balance transferred from other accounts	1	5	
23.90 Total budgetary resources available for obligation	226	166	158
23.95 Total new obligations	-200	-150	-144
24.40 Unobligated balance carried forward, end of year	25	16	14
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	22	23	24
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	160	113	118
68.10 Change in uncollected customer payments from Federal sources (unexpired)	8		
68.90 Spending authority from offsetting collections (total discretionary)	168	113	118
70.00 Total new budget authority (gross)	190	136	142
Change in obligated balances:			
72.40 Obligated balance, start of year	222	281	267
73.10 Total new obligations	200	150	144
73.20 Total outlays (gross)	-133	-164	-176
73.45 Recoveries of prior year obligations	-1		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-8		
74.40 Obligated balance, end of year	281	267	235
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	49	31	31
86.93 Outlays from discretionary balances	84	133	145
87.00 Total outlays (gross)	133	164	176
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-160	-113	-118
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-8		
Net budget authority and outlays:			
89.00 Budget authority	22	23	24
90.00 Outlays	-28	51	58

In 2003, a total of \$122 million is requested for the transit planning and research activities, including \$49 million for research and technology and \$73 million for Metropolitan and Statewide Planning.

In 2003, \$49 million is requested for a variety of research activities. The National Research and Technology program is funded at \$31.5 million. These funds will be used to cover costs for FTA's essential safety and security activities and transit safety data collection. Additional research programs include \$8 million for Transit Cooperative Research, \$4 million for the National Transit Institute, \$5 million for the Rural Transit Assistance Program.

Under the national component of the program, the FTA is a catalyst in the research, development and deployment

of transportation methods and technologies which address such issues as accessibility for the disabled, air quality, traffic congestion, and transit service and operational improvements. The National Research Program supports the development of innovative transit technologies, such as hybrid electric buses, fuel cells, and battery powered propulsion systems.

For support of metropolitan and statewide planning activities \$73 million, the guaranteed level in TEA-21, is requested in 2003. Of this amount, \$60.4 million will be apportioned to States for Metropolitan planning, and \$12.6 million for statewide planning and research activities. These funds support the transportation planning activities that will enable these regional planning agencies to continue to plan for the transportation investments that best meet the needs of the communities they serve, and to comply with Federal statutes.

Object Classification (in millions of dollars)

Identification code 69-1137-0-1-401	2001 actual	2002 est.	2003 est.
Direct obligations:			
25.1 Advisory and assistance services	1	1	1
25.5 Research and development contracts	13	10	10
41.0 Grants, subsidies, and contributions	166	119	113
99.0 Direct obligations	180	130	124
99.0 Reimbursable obligations	20	20	20
99.9 Total new obligations	200	150	144

JOB ACCESS AND REVERSE COMMUTE GRANTS

Notwithstanding section 3037(1)(3) of Public Law 105-178, as amended, for necessary expenses to carry out section 3037 of the Federal Transit Act of 1998, **[\$25,000,000] \$30,000,000**, to remain available until expended: *Provided*, That no more than **[\$125,000,000] \$150,000,000** of budget authority shall be available for these purposes: *Provided further*, That up to **[\$250,000] \$300,000** of the funds provided under this heading may be used by the Federal Transit Administration for technical assistance and support and performance reviews of the Job Access and Reverse Commute Grants program. (Department of Transportation and Related Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 69-1125-0-1-401	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	85	120	150
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	76	91	96
22.00 New budget authority (gross)	100	125	150
23.90 Total budgetary resources available for obligation	176	216	246
23.95 Total new obligations	-85	-120	-150
24.40 Unobligated balance carried forward, end of year	91	96	96
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	20	25	30
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	80	100	120
70.00 Total new budget authority (gross)	100	125	150
Change in obligated balances:			
72.40 Obligated balance, start of year	58	104	157
73.10 Total new obligations	85	120	150
73.20 Total outlays (gross)	-39	-67	-95
74.40 Obligated balance, end of year	104	157	212
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	3	6	8
86.93 Outlays from discretionary balances	36	61	88
87.00 Total outlays (gross)	39	67	95

Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	- 80	- 100	- 120
Net budget authority and outlays:				
89.00	Budget authority	20	25	30
90.00	Outlays	- 41	- 33	- 25

In 2003, \$150 million is requested for the Job Access and Reverse Commute Grants Program. This program is intended to provide grants to non-profit organizations and local transit agencies to fund transportation services in urban, suburban and rural areas to assist welfare recipients and low income individuals to access employment opportunities. Federal transit funds would provide 50 percent of the project costs, with grant recipients supplying the remaining 50 percent from local or Federal sources other than the Department of Transportation.

CAPITAL INVESTMENT GRANTS
(INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out 49 U.S.C. 5308, 5309, 5318, and 5327, **[\$568,200,000]** *\$607,200,000*, to remain available until expended: *Provided*, That no more than **[\$2,841,000,000]** *\$3,036,000,000* of budget authority shall be available for these purposes: *Provided further*, That there shall be available, *of which* *\$1,214,000,000* is for fixed guideway modernization, **[\$1,136,400,000]**; **[there shall be available]** *\$607,200,000* is for the replacement, rehabilitation, and purchase of buses and related equipment and the construction of bus-related facilities, *\$568,200,000*, together with *\$50,000,000* transferred from "Federal Transit Administration, Formula Grants"; and there shall be available; *and* *\$1,214,400,000* is for new fixed guideway systems **[\$1,136,400,000**, together with *\$1,488,840* of the funds made available under "Federal Transit Administration, Capital investment grants" in Public Law 105-277; to be available as follows:

- \$10,296,000 for Alaska or Hawaii ferry projects;
- \$1,000,000 for the Albuquerque, New Mexico, light rail project;
- \$25,000,000 for the Atlanta, Georgia, North line extension project;
- \$13,000,000 for the Baltimore, Maryland, central light rail transit double track project;
- \$1,500,000 for the Baltimore, Maryland, rail transit project;
- \$2,000,000 for the Birmingham, Alabama, transit corridor project;
- \$10,631,245 for the Boston, Massachusetts, South Boston Piers transitway project;
- \$500,000 for the Boston, Massachusetts, urban ring transit project;
- \$7,000,000 for the Charlotte, North Carolina, South Corridor light rail transit project;
- \$4,000,000 for the Nashville, Tennessee, East corridor commuter rail project;
- \$141,000,000 for the New Jersey Hudson-Bergen light rail transit project;
- \$15,000,000 for the New Orleans, Louisiana, Canal Street car line project;
- \$1,200,000 for the New Orleans, Louisiana, Desire corridor streetcar project;
- \$2,000,000 for the New York, New York, Second Avenue subway project;
- \$20,000,000 for the Newark-Elizabeth, New Jersey, rail link project;
- \$2,500,000 for the Northeast Indianapolis, Indiana, downtown corridor project;
- \$2,500,000 for the Northern Indiana South Shore commuter rail project;
- \$6,500,000 for the Oceanside-Escondido, California, light rail extension project;
- \$500,000 for the Ohio, Central Ohio North corridor rail (COTA) project;
- \$5,000,000 for the Pawtucket-TF Green, Rhode Island, commuter rail and maintenance facility project;
- \$9,000,000 for the Philadelphia, Pennsylvania, Schuylkill Valley metro project;

- \$10,000,000 for the Phoenix, Arizona, Central Phoenix/East Valley corridor project;
- \$8,000,000 for the Pittsburgh, Pennsylvania, North Shore connector light rail transit project;
- \$18,000,000 for the Pittsburgh, Pennsylvania, stage II light rail transit reconstruction project;
- \$64,000,000 for the Portland, Oregon, Interstate MAX light rail transit extension project;
- \$20,000,000 for the Puget Sound, Washington, RTA Sounder commuter rail project;
- \$9,000,000 for the Raleigh, North Carolina, Triangle transit project;
- \$328,000 for the Sacramento, California, light rail transit extension project;
- \$14,000,000 for the Salt Lake City, Utah, CBD to University light rail transit project;
- \$3,000,000 for the Salt Lake City, Utah, University Medical Center light rail transit extension project;
- \$60,000,000 for the San Diego, California, Mission Valley East light rail project;
- \$1,000,000 for the San Diego, California, Mid Coast corridor project;
- \$75,673,790 for the San Francisco, California, BART extension to the airport project;
- \$113,336 for the San Jose, California, Tasman West light rail transit project;
- \$40,000,000 for the San Juan, Puerto Rico, Tren Urbano project;
- \$1,700,000 for the Sioux City, Iowa, light rail project;
- \$28,000,000 for the St. Louis-St. Clair, Missouri, metrolink extension project;
- \$5,000,000 for the Stamford, Connecticut, urban transitway project;
- \$32,750,000 for the Chicago, Illinois, Douglas branch reconstruction project;
- \$55,000,000 for the for the Chicago, Illinois, METRA commuter rail and line extension project;
- \$3,000,000 for the Chicago, Illinois, Ravenswood reconstruction project;
- \$6,000,000 for the Cleveland, Ohio, Euclid corridor transportation project;
- \$70,000,000 for the Dallas, Texas, North Central light rail transit extension project;
- \$55,000,000 for the Denver, Colorado, Southeast corridor light rail transit project;
- \$192,492 for the Denver, Colorado, Southwest corridor light rail transit project;
- \$150,000 for the Des Moines, Iowa, DSM bus feasibility project;
- \$200,000 for the Dubuque, Iowa, light rail feasibility project;
- \$25,000,000 for the Dulles corridor, Virginia, bus rapid transit project;
- \$27,000,000 for the Fort Lauderdale, Florida, Tri-County commuter rail upgrades project;
- \$2,000,000 for the Fort Worth, Texas, Trinity railway express project;
- \$750,000 for the Grand Rapids, Michigan, ITP metro area, major corridor project;
- \$12,000,000 for the for Honolulu, Hawaii, bus rapid transit project;
- \$10,000,000 for the Houston, Texas, Metro advanced transit project;
- \$300,000 for the Iowa, Metrolink light rail feasibility project;
- \$1,500,000 for the Johnson County, Kansas-Kansas City, Missouri, I-35 commuter rail project;
- \$2,000,000 for the Kenosha-Racine-Milwaukee, Wisconsin, commuter rail extension project;
- \$55,000,000 for the Largo, Maryland, metrorail extension project;
- \$2,000,000 for the Little Rock, Arkansas, river rail project;
- \$14,744,420 for the Long Island Rail Road, New York, East Side access project;
- \$9,289,557 for the Los Angeles, California, North Hollywood extension project;
- \$7,500,000 for the Los Angeles, California, East Side corridor light rail transit project;
- \$3,000,000 for the Lowell, Massachusetts-Nashua, New Hampshire commuter rail extension project;
- \$12,000,000 for the Maryland (MARC) commuter rail improvements project;
- \$19,170,000 for the Memphis, Tennessee, Medical center rail extension project;

General and special funds—Continued

CAPITAL INVESTMENT GRANTS—Continued
(INCLUDING TRANSFER OF FUNDS)—Continued

\$5,000,000 for the Miami, Florida, South Miami-Dade busway extension project;
\$10,000,000 for the Minneapolis-Rice, Minnesota, Northstar corridor commuter rail project;
\$50,000,000 for the Minneapolis-St. Paul, Minnesota, Hiawatha corridor light rail transit project;
\$3,000,000 for the Stockton, California, Altamont commuter rail project;
\$3,000,000 for the Virginia Railway Express station improvements project;
\$500,000 for the Washington County, Oregon, Wilsonville to Beaverton commuter rail project;
\$2,500,000 for the Wasilla, Alaska, alternative route project; and
\$400,000 for the Yosemite, California, area regional transportation system project. (Department of Transportation and Related Agencies Appropriations Act, 2002.)

For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Capital Investment Grants", \$100,000,000, to remain available until expended, and to be obligated from amounts made available in Public Law 107-38: *Provided*, That in administering funds made available under this paragraph, the Federal Transit Administrator shall direct funds to those transit agencies most severely impacted by the terrorist attacks of September 11, 2001, excluding any transit agency receiving a Federal payment elsewhere in this Act: *Provided further*, That the provisions of 49 U.S.C 5309(h) shall not apply to funds made available under this paragraph. (Emergency Supplemental Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 69-1134-0-1-401	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Capital investment grants	2,490	2,960	3,310
10.00 Total new obligations	2,490	2,960	3,310
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1,006	1,213	1,239
22.00 New budget authority (gross)	2,695	2,991	3,036
22.10 Resources available from recoveries of prior year obligations	2		
22.21 Unobligated balance transferred to other accounts		-5	
23.90 Total budgetary resources available for obligation	3,703	4,199	4,275
23.95 Total new obligations	-2,490	-2,960	-3,310
24.40 Unobligated balance carried forward, end of year	1,213	1,239	965
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	529	668	607
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
42.00 Transferred from other accounts	50	50	
43.00 Appropriation (total discretionary)	578	718	607
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	2,117	2,273	2,429
70.00 Total new budget authority (gross)	2,695	2,991	3,036
Change in obligated balances:			
72.40 Obligated balance, start of year	2,470	3,056	4,245
73.10 Total new obligations	2,490	2,960	3,310
73.20 Total outlays (gross)	-1,902	-1,771	-2,366
73.45 Recoveries of prior year obligations	-2		
74.40 Obligated balance, end of year	3,056	4,245	5,189
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	155	427	364
86.93 Outlays from discretionary balances	1,747	1,343	2,002
87.00 Total outlays (gross)	1,902	1,771	2,366
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-2,117	-2,273	-2,429
Net budget authority and outlays:			
89.00 Budget authority	578	718	607

90.00 Outlays	-214	-502	-63
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For 2003, a total of \$3,036 million, the guaranteed level in TEA-21, is requested for Capital Investment Grants. The \$3,036 million will be allocated among the following activities:

Bus and bus-related facilities.—\$607 million for the replacement, rehabilitation and purchase of buses and related equipment and the construction of bus-related facilities. This funding will also assist public transit authorities in meeting the requirements of the Clean Air Act Amendments and the Americans with Disabilities Act. For 2003, FTA's goal is to continue in its efforts to make the national fixed-route bus system 80 percent accessible to individuals with disabilities. Within the bus funding level, \$50 million will be provided for the Clean Fuels Formula Grants program, as authorized by TEA-21. The Clean Fuels Formula Program will finance the purchase or lease of clean fuel buses and facilities and the improvement of existing facilities to accommodate clean fuel buses.

Fixed guideway modernization.—\$1,214 million for the acquisition, reconstruction and improvement of facilities and equipment for use on fixed guideways including heavy and light rail, commuter rail, and ferryboat operations. Funding for this program will ensure the fixed guideway modernization activity remains the stabilization and restoration factor for remedying the conditions of the Nation's older fixed guideway systems.

New Starts.—\$1,214 million for the construction of new fixed guideway systems and extensions to existing fixed guideway systems.

Object Classification (in millions of dollars)

Identification code 69-1134-0-1-401	2001 actual	2002 est.	2003 est.
25.2 Other services	20	28	30
41.0 Grants, subsidies, and contributions	2,470	2,932	3,280
99.9 Total new obligations	2,490	2,960	3,310

RESEARCH, TRAINING, AND HUMAN RESOURCES

Program and Financing (in millions of dollars)

Identification code 69-1121-0-1-401	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
22.21 Unobligated balance transferred to other accounts	-1		
Change in obligated balances:			
72.40 Obligated balance, start of year	4	3	2
73.20 Total outlays (gross)	-1	-1	-1
74.40 Obligated balance, end of year	3	2	1
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	1	1	1
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	1	1	1

Since 1993, the activities of this account have been financed in the Transit Planning and Research.

INTERSTATE TRANSFER GRANTS—TRANSIT

Program and Financing (in millions of dollars)

Identification code 69-1127-0-1-401	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	5	6	

Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year	7	6
22.10	Resources available from recoveries of prior year obligations	4	
23.90	Total budgetary resources available for obligation	11	6
23.95	Total new obligations	-5	-6
24.40	Unobligated balance carried forward, end of year	6	

Change in obligated balances:			
72.40	Obligated balance, start of year	2	3
73.10	Total new obligations	5	6
73.20	Total outlays (gross)	-3	-3
73.45	Recoveries of prior year obligations	-4	
74.40	Obligated balance, end of year	3	1

Outlays (gross), detail:			
86.93	Outlays from discretionary balances	3	2

Net budget authority and outlays:			
89.00	Budget authority		
90.00	Outlays	3	2

This account funds transit capital projects substituted for previously withdrawn segments of the Interstate Highway System under the provisions of 23 U.S.C. 103(e)(4).

WASHINGTON METROPOLITAN AREA TRANSIT AUTHORITY

Program and Financing (in millions of dollars)

Identification code 69-1128-0-1-401			
Obligations by program activity:			
00.01	Washington Metro		1
10.00	Total new obligations (object class 41.0)		1
Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year		1
23.95	Total new obligations		-1
24.40	Unobligated balance carried forward, end of year	1	
Change in obligated balances:			
72.40	Obligated balance, start of year	237	68
73.10	Total new obligations	1	
73.20	Total outlays (gross)	-116	-36
74.40	Obligated balance, end of year	121	32
Outlays (gross), detail:			
86.93	Outlays from discretionary balances	116	36
Net budget authority and outlays:			
89.00	Budget authority		
90.00	Outlays	116	36

The National Capital Transportation Amendments of 1979 (Stark-Harris) authorized \$1.7 billion in Federal funds to support the construction of the Washington Metrorail system. In addition, the National Capital Transportation Amendments of 1990 authorized another \$1.3 billion in Federal capital assistance to complete construction of the planned 103-mile system. The Federal commitment to complete the 103-mile system was fully funded in 1999. No new budget authority is proposed.

MISCELLANEOUS EXPIRED ACCOUNTS

Program and Financing (in millions of dollars)

Identification code 69-1122-0-1-401			
Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year	1	1
24.40	Unobligated balance carried forward, end of year	1	1
Change in obligated balances:			
72.40	Obligated balance, start of year	1	

Net budget authority and outlays:			
89.00	Budget authority		
90.00	Outlays		

This schedule displays program balances that are no longer required.

Trust Funds

DISCRETIONARY GRANTS

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(HIGHWAY TRUST FUND)

Program and Financing (in millions of dollars)

Identification code 69-8191-0-7-401			
Obligations by program activity:			
00.01	Discretionary grants	55	48
10.00	Total new obligations (object class 41.0)	55	48
Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year	66	48
22.10	Resources available from recoveries of prior year obligations	37	
23.90	Total budgetary resources available for obligation	103	48
23.95	Total new obligations	-55	-48
24.40	Unobligated balance carried forward, end of year	48	
New budget authority (gross), detail:			
Discretionary:			
40.26	Appropriation (trust fund)	350	
40.49	Portion applied to liquidate contract authority	-350	
43.00	Appropriation (total discretionary)		
Change in obligated balances:			
72.40	Obligated balance, start of year	1,947	577
73.10	Total new obligations	55	48
73.20	Total outlays (gross)	-722	-386
73.45	Recoveries of prior year obligations	-37	
74.40	Obligated balance, end of year	1,243	191
75.01	Obligated balance, start of year: Contract authority	246	
Outlays (gross), detail:			
86.93	Outlays from discretionary balances	722	386
Net budget authority and outlays:			
89.00	Budget authority		
90.00	Outlays	722	386

Status of Contract Authority (in millions of dollars)

Identification code 69-8191-0-7-401			
0100	Balance, start of year	312	11
0400	Appropriation to liquidate contract authority	-350	
0700	Balance, end of year	11	
0705	Surplus liquidating cash, end of year (memo entry)	49	38

In 2003, no additional liquidating cash is requested to pay previous obligations in the Discretionary Grants account.

TRUST FUND SHARE OF EXPENSES

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(HIGHWAY TRUST FUND)

Notwithstanding any other provision of law, for payment of obligations incurred in carrying out 49 U.S.C. 5303-5308, 5310-5315, 5317(b), 5322, 5327, 5334, 5505, and sections 3037 and 3038 of Public Law 105-178, **[\$5,397,800,000] \$5,781,000,000**, to remain available until expended, and to be derived from the Mass Transit Account of the Highway Trust Fund: *Provided, That* **[\$2,873,600,000]**

TRUST FUND SHARE OF EXPENSES—Continued
(HIGHWAY TRUST FUND)—Continued

\$3,071,200,000 shall be paid to the Federal Transit Administration's formula grants account: *Provided further*, That **[\$93,000,000]** \$97,800,000 shall be paid to the Federal Transit Administration's transit planning and research account: *Provided further*, That **[\$53,600,000]** \$58,400,000 shall be paid to the Federal Transit Administration's administrative expenses account: *Provided further*, That \$4,800,000 shall be paid to the Federal Transit Administration's university transportation research account: *Provided further*, That **[\$100,000,000]** \$120,000,000 shall be paid to the Federal Transit Administration's job access and reverse commute grants program: *Provided further*, That **[\$2,272,800,000]** \$2,428,800,000 shall be paid to the Federal Transit Administration's capital investment grants account. (*Department of Transportation and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 69-8350-0-7-401	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Administrative expenses	51	54	58
00.02 Job access and reverse commute	80	100	120
00.03 Formula programs	3,901	2,874	3,071
00.04 University transportation research	5	5	5
00.05 Transit planning and research	148	93	98
00.06 Capital investment grants	2,117	2,273	2,429
10.00 Total new obligations (object class 92.0)	6,301	5,398	5,781
Budgetary resources available for obligation:			
21.49 Unobligated balance carried forward, start of year:			
Contract authority	18	29	29
22.00 New budget authority (gross)	6,312	5,398	5,781
23.90 Total budgetary resources available for obligation	6,330	5,427	5,810
23.95 Total new obligations	-6,301	-5,398	-5,781
24.49 Unobligated balance carried forward, end of year:			
Contract authority	29	29	29
New budget authority (gross), detail:			
Discretionary:			
40.26 Appropriation (trust fund)	5,021	5,398	5,781
40.49 Portion applied to liquidate contract authority used	-6,308	-5,398	-5,781
42.00 Transferred from other accounts	1,291		
43.00 Appropriation (total discretionary)	4		
Mandatory:			
66.10 Contract authority	5,017	5,398	5,781
66.62 Transferred from other accounts	1,291		
66.90 Contract authority (total mandatory)	6,308	5,398	5,781
70.00 Total new budget authority (gross)	6,312	5,398	5,781
Change in obligated balances:			
73.10 Total new obligations	6,301	5,398	5,781
73.20 Total outlays (gross)	-6,301	-5,398	-5,781
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	6,301	5,398	5,781
Net budget authority and outlays:			
89.00 Budget authority	6,312	5,398	5,781
90.00 Outlays	6,301	5,398	5,781

Status of Contract Authority (in millions of dollars)

Identification code 69-8350-0-7-401	2001 actual	2002 est.	2003 est.
0100 Balance, start of year	18	29	29
Contract authority:			
0200 Contract authority	6,308	5,398	5,781
0400 Appropriation to liquidate contract authority	-6,308	-5,398	-5,781
0700 Balance, end of year	29	29	29
0705 Surplus liquidating cash, end of year (memo entry)	320	320	320

For 2003, this account tracks the portion of funds for each of FTA's programs derived from the Mass Transit Account of the Highway Trust Fund.

STATUS OF THE MASS TRANSIT ACCOUNT OF THE HIGHWAY TRUST FUND

[In millions of dollars]

	2001 actual	2002 est.	2003 est.
Unexpended balance, start of year	8,547	7,368	6,120
Cash income during the year, Governmental receipts:			
Motor fuel taxes	4,553	4,864	4,978
Total annual income	4,553	4,864	4,978
Cash outlays during the year:			
Discretionary grants/Major capital investments (liquidation of contract authorization)	722	714	386
Trust fund share of transit programs	5,010	5,398	5,781
Total annual outlays	5,732	6,112	6,167
Unexpended balance, end of year	7,368	6,120	4,931

SAINT LAWRENCE SEAWAY DEVELOPMENT CORPORATION

Public enterprise funds:

SAINT LAWRENCE SEAWAY DEVELOPMENT CORPORATION

The Saint Lawrence Seaway Development Corporation is hereby authorized to make such expenditures, within the limits of funds and borrowing authority available to the Corporation, and in accord with law, and to make such contracts and commitments without regard to fiscal year limitations as provided by section 104 of the Government Corporation Control Act, as amended, as may be necessary in carrying out the programs set forth in the Corporation's budget for the current fiscal year. (*Department of Transportation and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 69-4089-0-3-403	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Operations and maintenance	12	13	14
00.02 Replacement and improvements	2	1	1
10.00 Total new obligations	15	15	16
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	13	14	14
22.00 New budget authority (gross)	15	15	16
23.90 Total budgetary resources available for obligation	29	29	30
23.95 Total new obligations	-15	-15	-16
24.40 Unobligated balance carried forward, end of year	14	14	14
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	15	15	16
Change in obligated balances:			
72.40 Obligated balance, start of year	3	3	3
73.10 Total new obligations	15	15	16
73.20 Total outlays (gross)	-15	-15	-16
74.40 Obligated balance, end of year	3	3	3
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	15	15	16
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-14	-14	-15
88.40 Non-Federal sources	-1	-1	-1
88.90 Total, offsetting collections (cash)	-15	-15	-16
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
90.00 Outlays	-1		

The Saint Lawrence Seaway Development Corporation (SLSDC) is a wholly owned Government Corporation responsible for the operation, maintenance and development of the United States portion of the St. Lawrence Seaway between Montreal and Lake Erie. Major priorities are to control Seaway Corporation costs and to encourage increased use of the Seaway system.

Appropriations from the Harbor maintenance trust fund and revenues from non-Federal sources are intended to finance the operations and maintenance portion of the Seaway for which the Corporation is responsible.

Statement of Operations (in millions of dollars)

Identification code 69-4089-0-3-403	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	12	13	13	14
0102 Expense	-11	-13	-13	-14
0105 Net income or loss (-)	1			

Balance Sheet (in millions of dollars)

Identification code 69-4089-0-3-403	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	1	2	2	2
Other Federal assets:				
1801 Cash and other monetary assets	13	13	13	13
1803 Property, plant and equipment, net	84	83	83	83
1901 Other assets	2	2	2	2
1999 Total assets	100	100	100	100
LIABILITIES:				
Non-Federal liabilities:				
2201 Accounts payable	2	2	2	2
2206 Pension and other actuarial liabilities	2	2	2	2
2999 Total liabilities	4	4	4	4
NET POSITION:				
3300 Cumulative results of operations	96	96	96	96
3999 Total net position	96	96	96	96
4999 Total liabilities and net position	100	100	100	100

Object Classification (in millions of dollars)

Identification code 69-4089-0-3-403	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	8	9	9
12.1 Civilian personnel benefits	3	3	3
26.0 Supplies and materials	1	1	1
32.0 Land and structures	1	1	1
99.0 Direct obligations	13	14	14
99.5 Below reporting threshold	2	1	2
99.9 Total new obligations	15	15	16

Personnel Summary

Identification code 69-4089-0-3-403	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	148	157	157

Trust Funds

OPERATIONS AND MAINTENANCE
(HARBOR MAINTENANCE TRUST FUND)

For necessary expenses for operations and maintenance of those portions of the Saint Lawrence Seaway operated and maintained by the Saint Lawrence Seaway Development Corporation, **[\$13,345,000] \$14,788,300**, to be derived from the Harbor Maintenance Trust Fund, pursuant to Public Law 99-662. (*Department of Transportation and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 69-8003-0-7-403	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.3)	14	14	15
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	14	14	15
23.95 Total new obligations	-14	-14	-15
New budget authority (gross), detail:			
Discretionary:			
40.26 Appropriation (trust fund)	14	14	15
Change in obligated balances:			
73.10 Total new obligations	14	14	15
73.20 Total outlays (gross)	-14	-14	-15
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	14	14	15
Net budget authority and outlays:			
89.00 Budget authority	14	14	15
90.00 Outlays	14	14	15

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	13	13	14
90.00 Outlays	13	13	14

The Water Resources Development Act of 1986 authorizes use of the Harbor maintenance trust fund as the major source of funding for the Corporation's operations and maintenance activities.

RESEARCH AND SPECIAL PROGRAMS ADMINISTRATION

The following table depicts funding for all the Research and Special Programs Administration programs.

	[In millions of dollars]		
	2001 actual	2002 est.	2003 est.
Budget authority:			
Research and special programs	37	41	46
Emergency preparedness grants	18	14	14
Pipeline safety	40	51	57
Trust fund share of pipeline safety	7	8	7
Pipeline safety, subtotal	47	59	65
Total budget authority	103	114	125
Program level (obligations):			
Research and special programs	38	41	46
Emergency preparedness grants	13	14	14
Pipeline safety	45	58	57
Trust fund share of pipeline safety	7	9	7
Pipeline safety, subtotal	51	66	65
Volpe transportation systems center (reimbursable)	223	235	241
Total program level, net	324	357	366

	2001 actual	2002 est.	2003 est.
Outlays:			
Research and special programs	63	46	44
Emergency preparedness grants	11	16	14
Pipeline safety	22	55	52
Trust fund share of pipeline safety	7	5	8
Total outlays	102	122	118

Federal Funds

General and special funds:

RESEARCH AND SPECIAL PROGRAMS

For expenses necessary to discharge the functions of the Research and Special Programs Administration, **[\$37,279,000] \$45,694,000**, of which \$645,000 shall be derived from the Pipeline Safety Fund, and of which **[\$2,170,000] \$3,342,000** shall remain available until September 30, **[2004] 2005: Provided**, That up to \$1,200,000 in fees collected under 49 U.S.C. 5108(g) shall be deposited in the general fund of the Treasury as offsetting receipts: *Provided further*, That there may be credited to this appropriation, to be available until expended, funds received from States, counties, municipalities, other public authorities, and private sources for expenses incurred for training, for reports publication and dissemination, and for travel expenses incurred in performance of hazardous materials exemptions and approvals functions. (*Department of Transportation and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Research and Special Programs," \$2,500,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (Emergency Supplemental Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 69-0104-0-1-407	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Hazardous materials safety	20	23	24
00.03 Emergency transportation	2	5	2
00.04 Research and technology	4	3	3
00.05 Program and administrative support	12	11	16
01.00 Subtotal direct program	38	40	45
09.01 Reimbursable program	50	55	55
10.00 Total new obligations	87	96	100
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	
22.00 New budget authority (gross)	87	95	100
23.90 Total budgetary resources available for obligation	88	96	100
23.95 Total new obligations	-87	-96	-100
24.40 Unobligated balance carried forward, end of year	1		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	37	39	45
40.20 Appropriation (special fund)	1	1	1
43.00 Appropriation (total discretionary)	38	40	46
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	18	55	55
68.10 Change in uncollected customer payments from Federal sources (unexpired)	32		
68.90 Spending authority from offsetting collections (total discretionary)	50	55	55
70.00 Total new budget authority (gross)	87	95	100
Change in obligated balances:			
72.40 Obligated balance, start of year	42	17	13
73.10 Total new obligations	87	96	100
73.20 Total outlays (gross)	-79	-100	-99
73.40 Adjustments in expired accounts (net)	-1		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-32		

74.10 Change in uncollected customer payments from Federal sources (expired)	-1		
74.40 Obligated balance, end of year	17	13	14

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	35	82	87
86.93 Outlays from discretionary balances	44	17	13
87.00 Total outlays (gross)	79	100	99

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-17	-55	-55
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-32		
88.96 Portion of offsetting collections (cash) credited to expired accounts	-1		

Net budget authority and outlays:

89.00 Budget authority	37	40	45
90.00 Outlays	62	45	44

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	36	39	44
90.00 Outlays	61	44	43

Note.—Includes \$5 million in budget authority for natural gas infrastructure activities previously financed from Department of Energy, Fossil energy research and development. Comparable amounts for 2001 (\$10 million) and 2002 (\$10 million) are included in that account.

The Research and Special Programs Administration provides vital services to advance safety in hazardous materials transportation, protect the environment, foster innovation in transportation by supporting scientific and technological research, and minimize the consequences of natural and man-made disasters affecting transportation in American communities. In 2003, resources are requested for hazardous materials safety, emergency transportation, research and technology, and program support. The 2003 Budget proposes to increase hazardous materials registration fees to finance hazardous materials safety activities previously financed by general fund appropriations to this account. This proposal is described in the following section.

Object Classification (in millions of dollars)

Identification code 69-0104-0-1-407	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	14	16	18
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	15	17	19
12.1 Civilian personnel benefits	4	5	5
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	2	2	2
23.3 Communications, utilities, and miscellaneous charges	1		
25.1 Advisory and assistance services		5	6
25.2 Other services		1	2
25.3 Other purchases of goods and services from Government accounts	14	3	3
25.5 Research and development contracts		5	5
25.7 Operation and maintenance of equipment		1	1
31.0 Equipment	1		1
99.0 Direct obligations	38	41	45
99.0 Reimbursable obligations	48	55	55
99.5 Below reporting threshold	1		
99.9 Total new obligations	87	96	100

Personnel Summary

Identification code 69-0104-0-1-407	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	197	200	215
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	55	58	59

RESEARCH AND SPECIAL PROGRAMS
(Legislative proposal, not subject to PAYGO)

Note.—See section 330 of the General Provisions for the proposed appropriations language.

Program and Financing (in millions of dollars)

Identification code 69-0104-2-1-407	2001 actual	2002 est.	2003 est.
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation			-6
Appropriation (special fund):			
40.20 Appropriation (Pipeline safety)			
40.20 Appropriation (Hazardous materials fund)			6
43.00 Appropriation (total discretionary)			
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

The hazardous materials safety program, with proposed funding of \$6 million in 2003, is presently financed entirely by general fund appropriations. Beginning in 2003, however, the budget proposes to finance \$6 million of this program with hazardous materials registration fees.

PIPELINE SAFETY
(PIPELINE SAFETY FUND)
(OIL SPILL LIABILITY TRUST FUND)

For expenses necessary to conduct the functions of the pipeline safety program, for grants-in-aid to carry out a pipeline safety program, as authorized by 49 U.S.C. 60107, and to discharge the pipeline program responsibilities of the Oil Pollution Act of 1990, **[\$58,250,000] \$64,510,000**, of which **[\$7,864,000] \$7,472,000** shall be derived from the Oil Spill Liability Trust Fund and shall remain available until September 30, **[2004] 2005**; of which **[\$50,386,000] \$56,385,000** shall be derived from the Pipeline Safety Fund, of which **[\$30,828,000] \$26,823,000** shall remain available until September 30, **[2004] 2005**. (*Department of Transportation and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Unavailable Collections (in millions of dollars)

Identification code 69-5172-0-2-407	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	16	14	14
Receipts:			
02.60 Pipeline safety user fees	37	51	58
02.80 Pipeline safety, offsetting collections	7	9	8
02.99 Total receipts and collections	44	60	66
04.00 Total: Balances and collections	60	74	80
Appropriations:			
05.00 Research and special programs	-1	-1	-1
05.01 Pipeline safety	-46	-59	-65
05.99 Total appropriations	-47	-60	-66
06.10 Unobligated balance returned to receipts	1		
07.99 Balance, end of year	14	14	14

Program and Financing (in millions of dollars)

Identification code 69-5172-0-2-407	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Operations	24	35	36
00.02 Research and development	2	8	10
00.03 Grants	18	24	19
10.00 Total new obligations	45	67	65
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4	7	
22.00 New budget authority (gross)	47	60	65
23.90 Total budgetary resources available for obligation	51	67	65
23.95 Total new obligations	-45	-67	-65
24.40 Unobligated balance carried forward, end of year	7		
New budget authority (gross), detail:			
Discretionary:			
40.20 Appropriation (special fund)	40	51	57
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	7	9	8
70.00 Total new budget authority (gross)	48	60	65
Change in obligated balances:			
72.40 Obligated balance, start of year	17	31	33
73.10 Total new obligations	45	67	65
73.20 Total outlays (gross)	-30	-65	-60
73.40 Adjustments in expired accounts (net)	-1		
74.40 Obligated balance, end of year	31	33	38
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	14	35	36
86.93 Outlays from discretionary balances	16	30	24
87.00 Total outlays (gross)	30	65	60
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-7	-9	-8
Net budget authority and outlays:			
89.00 Budget authority	40	51	57
90.00 Outlays	22	56	52

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	39	50	56
90.00 Outlays	21	55	51

The Research and Special Programs Administration (RSPA) is responsible for the Department's pipeline safety program. RSPA oversees the safety, security and environmental protection of pipelines through analysis of data, damage prevention, education and training, enforcement of regulations and standards, research and development, grants for State pipeline safety programs, grants for one-call activities and emergency planning and response to accidents. Consistent with the Administration's goal to reduce unnecessary program duplication in the Federal Government, the Budget proposes to consolidate into the Department's pipeline safety research program similar activities previously funded in the Department of Energy.

Object Classification (in millions of dollars)

Identification code 69-5172-0-2-407	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	8	9	11
12.1 Civilian personnel benefits	3	4	4
21.0 Travel and transportation	2	1	2
23.1 Rental payments to GSA	1	1	1

General and special funds—Continued

PIPELINE SAFETY—Continued

(PIPELINE SAFETY FUND)—Continued

(OIL SPILL LIABILITY TRUST FUND)—Continued

Object Classification (in millions of dollars)—Continued

Identification code 69-5172-0-2-407	2001 actual	2002 est.	2003 est.
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services	14	14	15
25.2 Other services	11	7	5
25.3 Other purchases of goods and services from Government accounts		4	4
25.5 Research and development contracts		3	2
41.0 Grants, subsidies, and contributions	18	21	19
99.0 Direct obligations	44	65	64
99.5 Below reporting threshold	1	2	1
99.9 Total new obligations	45	67	65

Personnel Summary

Identification code 69-5172-0-2-407	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	96	122	143

EMERGENCY PREPAREDNESS GRANTS

(EMERGENCY PREPAREDNESS FUND)

For necessary expenses to carry out 49 U.S.C. 5127(c), \$200,000, to be derived from the Emergency Preparedness Fund, to remain available until September 30, [2004] 2005: *Provided*, That not more than \$14,300,000 shall be made available for obligation in fiscal year [2002] 2003 from amounts made available by 49 U.S.C. 5116(i), 5127(c) and 5127(d): *Provided further*, That none of the funds made available by 49 U.S.C. 5116(i), 5127(c) and 5127(d) shall be made available for obligation by individuals other than the Secretary of Transportation, or his designee. (*Department of Transportation and Related Agencies Appropriations Act, 2002.*)

Unavailable Collections (in millions of dollars)

Identification code 69-5282-0-2-407	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 Emergency preparedness, hazardous materials	18	14	20
Appropriations:			
05.00 Emergency preparedness grants	-18	-13	-14
05.00 Research and special programs			-6
05.99 Total appropriations	-18	-13	-20
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 69-5282-0-2-407	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Grants	12	13	13
00.02 Emergency response guidebook	1	1	1
10.00 Total new obligations	13	14	14
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	14	20	20
22.00 New budget authority (gross)	18	13	14
23.90 Total budgetary resources available for obligation	32	33	34
23.95 Total new obligations	-13	-14	-14
24.40 Unobligated balance carried forward, end of year	20	20	20
New budget authority (gross), detail:			
Mandatory:			
60.20 Appropriation (special fund)	18	14	14

60.28 Appropriation (unavailable balances)	19	19	20
60.45 Portion precluded from obligation	-19	-20	-20
62.50 Appropriation (total mandatory)	18	14	14

Change in obligated balances:

72.40 Obligated balance, start of year	19	21	20
73.10 Total new obligations	13	14	14
73.20 Total outlays (gross)	-11	-16	-14
74.40 Obligated balance, end of year	21	20	20

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	1	1	1
86.98 Outlays from mandatory balances	10	15	14
87.00 Total outlays (gross)	11	16	14

Net budget authority and outlays:

89.00 Budget authority	18	14	14
90.00 Outlays	11	16	14

Federal hazardous materials law (49 U.S.C. 5101 et seq.), established a national registration program for shippers and carriers of hazardous materials. In 2000, RSPA set annual fees at \$300 for small businesses and \$2,000 for large businesses. These fees finance emergency preparedness planning and training grants, development of a training curriculum for emergency responders, and technical assistance to States, political subdivisions, and Indian tribes. In 2003, obligations are proposed to be limited to \$14 million.

Object Classification (in millions of dollars)

Identification code 69-5282-0-2-407	2001 actual	2002 est.	2003 est.
41.0 Grants, subsidies, and contributions	12	13	13
92.0 Undistributed	1	1	1
99.9 Total new obligations	13	14	14

Intragovernmental funds:

WORKING CAPITAL FUND, VOLPE NATIONAL TRANSPORTATION SYSTEMS CENTER

Program and Financing (in millions of dollars)

Identification code 69-4522-0-4-407	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	223	235	241
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	154	158	158
22.00 New budget authority (gross)	223	235	241
22.10 Resources available from recoveries of prior year obligations	3		
23.90 Total budgetary resources available for obligation	380	393	399
23.95 Total new obligations	-223	-235	-241
24.40 Unobligated balance carried forward, end of year	158	158	158

New budget authority (gross), detail:

Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	227	235	241
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-4		
68.90 Spending authority from offsetting collections (total discretionary)	223	235	241

Change in obligated balances:

72.40 Obligated balance, start of year	-93	-96	-96
73.10 Total new obligations	223	235	241
73.20 Total outlays (gross)	-227	-235	-241
73.45 Recoveries of prior year obligations	-3		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	4		
74.40 Obligated balance, end of year	-96	-96	-96

Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	212	235	241
86.93	Outlays from discretionary balances	15		
87.00	Total outlays (gross)	227	235	241
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-225	-234	-240
88.40	Non-Federal sources	-2	-1	-1
88.90	Total, offsetting collections (cash)	-227	-235	-241
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	4		
Net budget authority and outlays:				
89.00	Budget authority			
90.00	Outlays			

The Working Capital Fund finances multidisciplinary research, evaluation, analytical and related activities undertaken at the Volpe National Transportation Systems Center (VNTSC) in Cambridge, MA. The fund is financed through negotiated agreements with the Office of the Secretary, Departmental operating administrations, and other governmental elements requiring the Center's capabilities. These agreements also define the activities undertaken at VNTSC.

Object Classification (in millions of dollars)

Identification code 69-4522-0-4-407	2001 actual	2002 est.	2003 est.	
Personnel compensation:				
11.1	Full-time permanent	36	39	42
11.3	Other than full-time permanent	3	3	3
11.5	Other personnel compensation	1	1	1
11.9	Total personnel compensation	40	43	46
12.1	Civilian personnel benefits	12	12	12
21.0	Travel and transportation of persons	3	3	4
23.3	Communications, utilities, and miscellaneous charges	4	4	4
25.2	Other services	51	52	53
25.3	Other purchases of goods and services from Govern- ment accounts	6	6	6
25.4	Operation and maintenance of facilities	3	4	4
25.5	Research and development contracts	91	97	98
26.0	Supplies and materials	1	1	1
31.0	Equipment	11	12	12
32.0	Land and structures	1	1	1
99.9	Total new obligations	223	235	241

Personnel Summary

Identification code 69-4522-0-4-407	2001 actual	2002 est.	2003 est.	
2001	Total compensable workyears: Full-time equivalent employment	528	550	550

Trust Funds

TRUST FUND SHARE OF PIPELINE SAFETY

Program and Financing (in millions of dollars)

Identification code 69-8121-0-7-407	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
10.00	Total new obligations (object class 92.0)	7	9	7
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year		1	
22.00	New budget authority (gross)	7	8	7
23.90	Total budgetary resources available for obligation	8	9	7
23.95	Total new obligations	-7	-9	-7
24.40	Unobligated balance carried forward, end of year	1		

New budget authority (gross), detail:				
Discretionary:				
40.26	Appropriation (trust fund)	7	8	7
Change in obligated balances:				
72.40	Obligated balance, start of year	1	1	5
73.10	Total new obligations	7	9	7
73.20	Total outlays (gross)	-7	-5	-8
74.40	Obligated balance, end of year	1	5	5
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	7	4	3
86.93	Outlays from discretionary balances		1	4
87.00	Total outlays (gross)	7	5	8
Net budget authority and outlays:				
89.00	Budget authority	7	8	7
90.00	Outlays	7	5	8

The Oil Pollution Act of 1990 requires the preparation of oil spill response plans by pipeline operators to minimize the environmental impact of oil spills and to improve public and private sector response capabilities. The Research and Special Programs Administration (RSPA) is responsible for the review, approval and testing of these plans, and for ensuring that the public and the environment are provided with an adequate level of protection from such spills. RSPA does this through data analysis, spill monitoring, pipeline mapping, environmental indexing, and advanced technologies to detect and prevent leaks.

OFFICE OF INSPECTOR GENERAL

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Office of Inspector General to carry out the provisions of the Inspector General Act of 1978, as amended, **[\$50,614,000] \$59,953,000: Provided,** That the Inspector General shall have all necessary authority, in carrying out the duties specified in the Inspector General Act, as amended (5 U.S.C. App. 3) to investigate allegations of fraud, including false statements to the government (18 U.S.C. 1001), by any person or entity that is subject to regulation by the Department: *Provided further,* That the funds made available under this heading shall be used to investigate, pursuant to section 41712 of title 49, United States Code: (1) unfair or deceptive practices and unfair methods of competition by domestic and foreign air carriers and ticket agents; and (2) the compliance of domestic and foreign air carriers with respect to item (1) of this proviso. (*Department of Transportation and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States and for other safety and security related audit and monitoring responsibilities, for "Salaries and Expenses", \$1,300,000, to remain available until September 30, 2003, to be obligated from amounts made available in Public Law 107-38. (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 69-0130-0-1-407	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
01.01	General administration	51	54	60
09.01	Reimbursable program	4	8	8
10.00	Total new obligations	55	62	68
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	55	62	68
23.95	Total new obligations	-55	-62	-68
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	50	54	60

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 69-0130-0-1-407	2001 actual	2002 est.	2003 est.
42.00 Transferred from other accounts	1		
43.00 Appropriation (total discretionary)	51	54	60
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	4	8	8
70.00 Total new budget authority (gross)	55	62	68

Change in obligated balances:

72.40 Obligated balance, start of year	3	6	5
73.10 Total new obligations	55	62	68
73.20 Total outlays (gross)	-53	-62	-67
74.40 Obligated balance, end of year	6	5	6

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	50	57	62
86.93 Outlays from discretionary balances	3	6	5
87.00 Total outlays (gross)	53	62	67

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-4	-8	-8

Net budget authority and outlays:

89.00 Budget authority	51	54	60
90.00 Outlays	48	54	59

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	49	52	57
90.00 Outlays	46	52	56

This appropriation finances the cost of conducting and supervising audits and investigations relating to the programs and operations of the Department to promote economy, efficiency and effectiveness and to prevent and detect fraud, waste, and abuse in such programs and operations. In addition, reimbursable funding will be received from the Federal Highway Administration, the Federal Transit Administration, the Federal Aviation Administration, and the National Transportation Safety Board.

Object Classification (in millions of dollars)

Identification code 69-0130-0-1-407	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	27	28	29
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	2
11.9 Total personnel compensation	29	30	32
12.1 Civilian personnel benefits	10	12	14
21.0 Travel and transportation of persons	3	3	3
23.1 Rental payments to GSA	3	3	3
25.1 Advisory and assistance services	1	2	3
25.2 Other services	2	2	3
25.3 Other purchases of goods and services from Government accounts	2	2	2
31.0 Equipment	1		
99.0 Direct obligations	51	54	60
99.0 Reimbursable obligations	4	8	8
99.9 Total new obligations	55	62	68

Personnel Summary

Identification code 69-0130-0-1-407	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	410	392	409
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	40	63	61

SURFACE TRANSPORTATION BOARD

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Surface Transportation Board, including services authorized by 5 U.S.C. 3109, **[\$18,457,000] \$20,651,300: Provided,** That notwithstanding any other provision of law, not to exceed **[\$950,000] \$1,000,000** from fees established by the Chairman of the Surface Transportation Board shall be credited to this appropriation as offsetting collections and used for necessary and authorized expenses under this heading: *Provided further,* That the sum herein appropriated from the general fund shall be reduced on a dollar-for-dollar basis as such offsetting collections are received during fiscal year **[2002] 2003**, to result in a final appropriation from the general fund estimated at no more than **[\$17,507,000] \$19,651,300.** (Department of Transportation and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 69-0301-0-1-401	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Rail carriers	16	16	17
00.02 Other surface transportation carriers	2	2	2
01.00 Total direct obligations	18	18	19
09.12 Reimbursable rail carriers	1	1	1
10.00 Total new obligations	19	19	20
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	1
22.00 New budget authority (gross)	19	20	20
23.90 Total budgetary resources available for obligation	20	21	21
23.95 Total new obligations	-19	-19	-20
24.40 Unobligated balance carried forward, end of year	1	1	1

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	18	19	19
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1	1	1
70.00 Total new budget authority (gross)	19	20	20

Change in obligated balances:

72.40 Obligated balance, start of year	3	5	2
73.10 Total new obligations	19	19	20
73.20 Total outlays (gross)	-16	-22	-20
73.40 Adjustments in expired accounts (net)	-1		
74.40 Obligated balance, end of year	5	2	2

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	15	17	18
86.93 Outlays from discretionary balances	1	5	2
87.00 Total outlays (gross)	16	22	20

Offsets:

Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-1	-1	-1

Net budget authority and outlays:

89.00 Budget authority	18	19	19
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90.00	Outlays	16	21	19
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Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	17	18	18
90.00	Outlays	15	20	18

The Surface Transportation Board was created on January 1, 1996, by P.L. 104-88, the ICC Termination Act of 1995 (ICCTA). The Board is specifically responsible for the regulation of the rail and pipeline industries and certain non-licensing regulation of motor carriers and water carriers.

Rail Carriers.—This regulatory oversight encompasses the regulation of rates, mergers, and acquisitions, construction, and abandonment of railroad lines, as well as the planning, analysis and policy development associated with these activities. Staff ensure compliance with railroad regulations in order to protect the public interest.

Other Surface Transportation Carriers.—This regulatory oversight includes certain regulation of the intercity bus industry and surface pipeline carriers as well as the rate regulation of water transportation in the non-contiguous domestic trade, household good carriers, and collectively determined motor rates.

2003 Program Request.—Over \$19 million is requested to implement rulemakings and adjudicate the ongoing caseload within the directives and deadlines set forth by the ICCTA.

The following paragraph is presented in compliance with Section 703 of the ICCTA. It is presented without change or correction.

The Board's Request to OMB.—The Board had submitted to the Secretary of Transportation and the Office of Management and Budget a 2003 appropriation request of \$18.450 million and a request for \$1.0 million from reimbursements from the offsetting collection of user fees. This funding request supports the required staffing, which mirrors the Board's 2002 budgetary authority granted to date, and is necessary for continued expeditious processing of the Board's caseload. The appropriation request included \$18.457 million, the current level of funding provided by the 2002 Department of Transportation Appropriations Act, plus \$0.993 million for annual pay and non-pay adjustments. In addition, \$0.009 million is added to the Board's request to fund the administrative surcharge to pay to the Department of Labor for Federal Employee's Compensation benefits. The \$1.0 million request from the offsetting collection of user fees is commensurate with the Board's projection for fee-related activities. The offsetting collection of user fees is based on the costs incurred by the Board for fee-related activities and is commensurate with the costs of processing parties' submissions. In past fiscal years, the Board received both an appropriation and authorization for offsetting collections to be made available to the appropriation for the Board's expenses. In light of Congressional action on the enacted FY 2002 appropriation act, the FY 2003 request reflects offsetting collections as a credit to the appropriation received, to the extent that they are collected.

This level of funding is necessary to implement rulemakings and adjudicate the ongoing caseload within the deadlines imposed by the ICCTA. The Board requires adequate resources to perform key functions under the ICCTA, including rail rate reasonableness oversight; the processing of rail consolidations, abandonments and other restructuring proposals; and the resolution of non-rail matters."

Object Classification (in millions of dollars)

Identification code 69-0301-0-1-401	2001 actual	2002 est.	2003 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	11	12	13
12.1	Civilian personnel benefits	3	3	3
23.1	Rental payments to GSA	2	2	2
25.2	Other services	1		
25.3	Other purchases of goods and services from Government accounts	1	1	1
99.0	Direct obligations	18	18	19
99.0	Reimbursable obligations	1	1	1
99.9	Total new obligations	19	19	20

Personnel Summary

Identification code 69-0301-0-1-401	2001 actual	2002 est.	2003 est.	
Direct:				
1001	Total compensable workyears: Full-time equivalent employment	126	134	136
Reimbursable:				
2001	Total compensable workyears: Full-time equivalent employment	9	9	9

BUREAU OF TRANSPORTATION STATISTICS

The Bureau's (BTS) mission is to develop transportation data and information of high quality, and to advance their use in both public and private decision making.

BTS collects, compiles, analyzes, and disseminates transportation statistics and maintains the National Transportation Library and the National transportation atlas database. It collects financial and operating statistics from airlines and motor carriers, and a variety of data on personal travel and freight transportation through national surveys. BTS is also coordinating the Safety data action plan, a series of projects to improve the accuracy, timeliness, and comparability of safety data across the Department of Transportation, and to increase understanding of accident causation.

In response to the September 11 terrorist attacks, BTS data was used to distribute assistance payments to affected airlines. BTS also produced maps for contingency planning, surveyed households about their transportation security concerns, analyzed the economic impact of the attacks on the transportation industry, and fielded thousands of e-mail and telephone information requests for DOT.

In 2003, \$36 million is proposed for the BTS. Of this total, \$32 million is derived from the Highway trust fund and \$4 million—for the Office of Airline Information—from the Airport and airways trust fund.

OFFICE OF AIRLINE INFORMATION
(AIRPORT AND AIRWAY TRUST FUND)

For necessary expenses of the Office of Airline Information under chapter 111 of title 49, United States Code, \$4,131,000, to be derived from the Airport and Airway Trust Fund as authorized by section 103(b) of P.L. 106-181. (Additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 69-8091-0-7-402	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00	Total new obligations		4
Budgetary resources available for obligation:			
22.00	New budget authority (gross)		4
23.95	Total new obligations		-4

OFFICE OF AIRLINE INFORMATION—Continued

(AIRPORT AND AIRWAY TRUST FUND)—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 69-8091-0-7-402	2001 actual	2002 est.	2003 est.
New budget authority (gross), detail:			
Discretionary:			
40.26	Appropriation (trust fund)		4
Change in obligated balances:			
73.10	Total new obligations		4
73.20	Total outlays (gross)		-4
Outlays (gross), detail:			
86.90	Outlays from new discretionary authority		4
Net budget authority and outlays:			
89.00	Budget authority		4
90.00	Outlays		4

The Office of Airline Information (OAI) is part of the Bureau of Transportation Statistics (BTS). OAI is currently funded along with the rest of BTS out of the Federal-aid highways program. The Wendell H. Ford Aviation Investment and Reform Act for the 21st Century (AIR-21) provides the authority to fund this office through the Airport and airways trust fund instead of through Federal-aid highways. The Administration proposes to utilize this authority starting in 2003.

OAI collects and publishes on-time data for airlines (monthly data that are used widely in marketing airline performance), as well as more extensive operating data for both foreign and domestic airlines. It also collects detailed financial statistics for domestic airlines, and various statistics on service quality. The data reporting is mandated by law.

OAI data is used by the Secretary of Transportation to analyze airline competition, negotiate international agreements, set international and intra-Alaska mail rates, determine community eligibility for essential air service subsidies, evaluate air carrier fitness, and conduct policy analyses. In 2001, it was used to distribute airline assistance funds in the wake of the September 11 terrorist attacks.

FAA uses OAI data to help allocate airline safety inspection resources, analyze traffic levels to plan control tower staffing requirements, allocate grant funding through its Airport improvement program (AIP), forecast traffic, analyze airport capacity and noise abatement policies, and monitor flight delays.

OAI data is also used by other agencies to estimate the Gross Domestic Product, prepare producer and consumer price indexes, measure labor productivity, assist with antitrust investigations, and administer the collection of USDA and Customs fees.

Object Classification (in millions of dollars)

Identification code 69-8091-0-7-402	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent		2
25.1	Advisory and assistance services		1
99.0	Direct obligations		3
99.5	Below reporting threshold		1
99.9	Total new obligations		4

Personnel Summary

Identification code 69-8091-0-7-402	2001 actual	2002 est.	2003 est.
1001	Total compensable workyears: Full-time equivalent employment		23

MARITIME ADMINISTRATION

The Maritime Administration (MARAD) is responsible for programs authorized by the Merchant Marine Act, 1936, as amended, and other related acts, to promote a strong U.S. Merchant Marine. Emphasis is placed on increasing the competitiveness and productivity of the U.S. maritime industries as well as ensuring adequate seafaring manpower for peacetime and national emergencies. Programs include: administering the Maritime Guaranteed Loan (Title XI) portfolio; reimbursing the Commodity Credit Corporation for the expanded cargo preference requirement in the Food Security Act of 1985; preserving and maintaining merchant ships retained in the National Defense Reserve Fleet including the Ready Reserve Force; emergency planning and coordination; promoting port and intermodal development; and conducting Federal technology assessment projects.

The following table shows the funding for the Maritime Administration programs:

	[In millions of dollars]		
Budget authority:	2001 actual	2002 est.	2003 est.
Ship construction		-4	
Operations and training	89	92	98
Maritime security program (054)	99	99	99
Ocean freight differential	254	48	45
Maritime guaranteed loan program (Title XI) (403)	26	37	4
Federal ship financing fund			
Subsidy re-estimate	21	213	
Ship disposal			11
Total budget authority	495	591	296
Direct obligations:			
Operations and training	92	92	98
Maritime security program (054)	98	99	99
Ocean freight differential	162	48	45
Ready reserve force ¹	6		
Federal ship financing fund	2	2	2
War risk insurance revolving fund		1	1
Maritime guaranteed loan program (Title XI) (403)	37	44	4
Subsidy re-estimate	21	213	
Ship disposal			11
Obligations, total direct	416	496	255
Outlays:			
Operations and training	87	99	97
Operating-differential subsidies	8	18	19
Maritime security program (054)	99	99	99
Ocean freight differential	28	182	45
Ready reserve force ¹	4	4	4
Vessel operations revolving fund	13	-37	-43
War risk insurance revolving fund	-1	-1	-1
Federal ship financing fund	-3		
Maritime guaranteed loan program (Title XI) (403)	24	74	25
Subsidy re-estimate	21	213	
Ship construction	-2		
Ship disposal			6
Total outlays	275	670	226

¹ Appropriated directly to MARAD prior to 1996.

Federal Funds

General and special funds:

OPERATIONS AND TRAINING

For necessary expenses of operations and training activities authorized by law, **[\$89,054,000] \$97,221,143**, of which \$13,000,000 shall remain available until expended for capital improvements at the United States Merchant Marine Academy. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 69-1750-0-1-403	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Merchant Marine Academy	49	49	52
00.02 State marine schools	7	7	7
00.03 MARAD operations	36	35	38
01.00 Subtotal, Direct program	92	91	97
09.01 Reimbursable program	38	52	52
10.00 Total new obligations	130	143	149
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	4	4
22.00 New budget authority (gross)	142	143	149
23.90 Total budgetary resources available for obligation	143	147	153
23.95 Total new obligations	-130	-143	-149
23.98 Unobligated balance expiring or withdrawn	-8		
24.40 Unobligated balance carried forward, end of year	4	4	4
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	89	91	97
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	38	52	52
68.10 Change in uncollected customer payments from Federal sources (unexpired)	15		
68.90 Spending authority from offsetting collections (total discretionary)	53	52	52
70.00 Total new budget authority (gross)	142	143	149
Change in obligated balances:			
72.40 Obligated balance, start of year	34	25	18
73.10 Total new obligations	130	143	149
73.20 Total outlays (gross)	-124	-151	-149
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-15		
74.40 Obligated balance, end of year	25	18	18
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	113	130	135
86.93 Outlays from discretionary balances	11	21	13
87.00 Total outlays (gross)	124	151	149
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 Ready Reserve Force/National Defense Reserve Fleet	-27	-33	-33
88.00 Merchant Marine Academy	-1	-1	-2
88.00 Title XI administrative expenses	-3	-4	-4
88.00 Marine Board research program and others	-7	-14	-13
88.90 Total, offsetting collections (cash)	-38	-52	-52
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-15		
Net budget authority and outlays:			
89.00 Budget authority	89	91	97
90.00 Outlays	87	99	97
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	87	89	93
90.00 Outlays	85	97	93

This appropriation finances costs incurred by headquarters and region staffs in the administration and direction of Maritime Administration programs; the total cost of officer training at the U.S. Merchant Marine Academy as well as Federal financial support to six state maritime academies; planning

for coordination of U.S. maritime industry activities under emergency conditions; activities promoting port and intermodal development; activities under the American Fisheries Act; and Federal technology assessment projects designed to achieve advancements in ship design, construction and operations.

Within the total Operations and training budget request of \$97 million, the U.S. Merchant Marine Academy will use \$13 million, primarily to accelerate its major design and construction project awards, as indicated in its ten-year capital improvement plan.

Object Classification (in millions of dollars)

Identification code 69-1750-0-1-403	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	32	34	35
11.3 Other than full-time permanent	4	3	3
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	37	38	39
12.1 Civilian personnel benefits	10	10	13
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	2	3	3
23.3 Communications, utilities, and miscellaneous charges	2	2	2
25.2 Other services	19	16	16
25.3 Other purchases of goods and services from Government accounts	5	5	6
25.4 Operation and maintenance of facilities	8	8	9
26.0 Supplies and materials	4	4	4
31.0 Equipment	2	2	2
41.0 Grants, subsidies, and contributions	2	2	2
99.0 Direct obligations	92	91	97
99.0 Reimbursable obligations	38	52	52
99.9 Total new obligations	130	143	149

Personnel Summary

Identification code 69-1750-0-1-403	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	460	475	484
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	399	470	470

SHIP DISPOSAL

For necessary expenses related to the disposal of obsolete vessels in the National Defense Reserve Fleet of the Maritime Administration, \$11,161,386, to remain available until expended.

Program and Financing (in millions of dollars)

Identification code 69-1768-0-1-403	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Ship disposal			11
10.00 Total new obligations (object class 25.2)			11
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			11
23.95 Total new obligations			-11
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation			11
Change in obligated balances:			
73.10 Total new obligations			11
73.20 Total outlays (gross)			-6
74.40 Obligated balance, end of year			5
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority			6

General and special funds—Continued

SHIP DISPOSAL—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 69-1768-0-1-403	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority			11
90.00 Outlays			6

The Ship disposal program provides resources to dispose of obsolete merchant-type vessels in the National defense reserve fleet (NDRF), which the Maritime Administration is required by law to dispose of by the end of 2006. There is a backlog of 139 ships awaiting disposal as of December 2001. These vessels, many of which are 50 years in age, pose significant environmental threat due to the presence of hazardous substances such as asbestos and solid and liquid polychlorinated biphenyls (PCBs).

MARITIME SECURITY PROGRAM

For necessary expenses to maintain and preserve a U.S.-flag merchant fleet to serve the national security needs of the United States, \$98,700,000, to remain available until expended. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 69-1711-0-1-054	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	98	99	99
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		1	
22.00 New budget authority (gross)	99	99	99
23.90 Total budgetary resources available for obligation	99	100	99
23.95 Total new obligations	-98	-99	-99
24.40 Unobligated balance carried forward, end of year	1		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	99	99	99
Change in obligated balances:			
72.40 Obligated balance, start of year	8	8	8
73.10 Total new obligations	98	99	99
73.20 Total outlays (gross)	-98	-99	-99
74.40 Obligated balance, end of year	8	8	8
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	92	92	92
86.93 Outlays from discretionary balances	7	9	7
87.00 Total outlays (gross)	98	99	99
Net budget authority and outlays:			
89.00 Budget authority	99	99	99
90.00 Outlays	98	99	99

The Maritime Security Program provides resources to maintain a U.S.-flag merchant fleet crewed by U.S. citizens to serve both the commercial and national security needs of the United States. The program provides direct payments to U.S.-flag ship operators engaged in U.S.-foreign trade. Participating operators are required to keep the vessels in active commercial service and are required to provide intermodal sealift support to the Department of Defense in times of war or national emergency.

SHIP CONSTRUCTION

Program and Financing (in millions of dollars)

Identification code 69-1708-0-1-403	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	4	2
22.00 New budget authority (gross)		-4	
23.90 Total budgetary resources available for obligation	2		2
24.40 Unobligated balance carried forward, end of year	4	2	4
New budget authority (gross), detail:			
Discretionary:			
40.36 Unobligated balance rescinded		-4	
Net budget authority and outlays:			
89.00 Budget authority		-4	
90.00 Outlays	-2		

The Ship Construction account is currently inactive except for determinations regarding the use of vessels built under the program, final settlement of open contracts, and closing of financial accounts.

OPERATING-DIFFERENTIAL SUBSIDIES
(LIQUIDATION OF CONTRACT AUTHORITY)

Program and Financing (in millions of dollars)

Identification code 69-1709-0-1-403	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	125	125	125
24.40 Unobligated balance carried forward, end of year	125	125	125
Change in obligated balances:			
72.40 Obligated balance, start of year	45	37	19
73.20 Total outlays (gross)	-8	-18	-19
74.40 Obligated balance, end of year	37	19	
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	8	18	19
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	8	18	19

The Operating-Differential Subsidies (ODS) account helps to maintain a U.S.-flag merchant fleet to serve both the commercial and national security needs of the U.S. by providing operating subsidies to U.S.-flag ship operators to offset certain differences between U.S. and foreign operating costs. Appropriations are provided to liquidate contract authority. This program has been replaced by the Maritime Security Program. Existing liquidating cash on hand is expected to be sufficient to honor existing contracts. No new ODS contracts will be entered into and no existing contracts will be modified.

OCEAN FREIGHT DIFFERENTIAL

Program and Financing (in millions of dollars)

Identification code 69-1751-0-1-403	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Ocean freight differential	162	48	45
10.00 Total new obligations (object class 22.0)	162	48	45
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	52	113	
22.00 New budget authority (gross)	254	48	45
22.40 Capital transfer to general fund		-39	
22.60 Portion applied to repay debt	-21	-73	
22.70 Balance of authority to borrow withdrawn	-11		

23.90	Total budgetary resources available for obligation	274	49	45
23.95	Total new obligations	-162	-48	-45
24.40	Unobligated balance carried forward, end of year	113		

New budget authority (gross), detail:

Mandatory:				
60.00	Appropriation	80	109	45
60.47	Portion applied to repay debt	-7	-109	-45
62.50	Appropriation (total mandatory)	73		
67.10	Authority to borrow	181	48	45
70.00	Total new budget authority (gross)	254	48	45

Change in obligated balances:

72.40	Obligated balance, start of year		134	
73.10	Total new obligations	162	48	45
73.20	Total outlays (gross)	-28	-182	-45
74.40	Obligated balance, end of year		134	

Outlays (gross), detail:

86.97	Outlays from new mandatory authority	28	48	45
86.98	Outlays from mandatory balances		134	
87.00	Total outlays (gross)	28	182	45

Net budget authority and outlays:

89.00	Budget authority	254	48	45
90.00	Outlays	28	182	45

Public Law 99-198 amended section 901 of the Merchant Marine Act to increase from 50 to 75 percent the amount of agricultural commodities under specified programs that must be carried on U.S.-flag vessels. The increased cost associated with this expanded U.S.-flag shipping requirement stems from higher rates charged by U.S.-flag carriers compared with foreign-flag carriers. The Maritime Administration is required to reimburse the Department of Agriculture for ocean freight differential costs for the added tonnage above 50 percent. These reimbursements are funded through borrowings from the Treasury. In 2003, the Budget proposes to eliminate the Maritime Administration reimbursement to the Department of Agriculture for the ocean freight differential to clarify and streamline government spending. The Maritime Administration will continue to provide contract and regulatory oversight for this program. In 2003, funding for the ocean freight differential program will be funded through the Department of Agriculture in the international food aid program.

The Maritime Administration's ocean freight differential costs are one portion of the government's cargo preference program. The ocean transportation subsidy costs related to cargo preference for all relevant agencies are presented in the following schedule.

CARGO PREFERENCE PROGRAM COSTS

(In millions of dollars)

	2001 actual		2002 est.		2003 est.	
	Obligations	Outlays	Obligations	Outlays	Obligations	Outlays
AGENCY:						
Department of Agriculture	189.4	159.3	127.6	124.1	111.2	116.8
Department of Transportation—Maritime Administration	162	28	48	182	45	45
Department of Defense (1998 nos.)	350.3	350.3	350.2	350.2	354	354
Agency for International Development	3	3	3	3	3	3
Export-Import Bank of the U.S.	11.3	14.1	14.2	12.5	16.2	14.1
Department of State	1	1	1	1	1	1
Total	717	555.7	544	672.8	530.4	533.9

READY RESERVE FORCE

Program and Financing (in millions of dollars)

Identification code 69-1710-0-1-054	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Maintenance and operations	6	4	4
10.00 Total new obligations (object class 25.2)	6	4	4
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	15	10	6
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	16	10	6
23.95 Total new obligations	-6	-4	-4
24.40 Unobligated balance carried forward, end of year	10	6	2
Change in obligated balances:			
72.40 Obligated balance, start of year	4	6	4
73.10 Total new obligations	6	4	4
73.20 Total outlays (gross)	-4	-4	-4
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	6	4	4
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	4	4	4
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	4	4	4

Funding for the Ready Reserve Force (RRF) account is included in appropriations for the Department of Defense. Management of the RRF remains with MARAD. Reimbursements from the Department of Defense for the RRF account are reflected in MARAD's Vessel Operations Revolving Fund account. Obligations shown above are the spendout of funding appropriated directly to MARAD prior to 1996 for the RRF.

The RRF is comprised of Government-owned, U.S.-flag merchant ships laid up in the National Defense Reserve Fleet (NDRF). The RRF is maintained in an advanced state of readiness to meet surge shipping requirements during a national emergency.

Public enterprise funds:

VESSEL OPERATIONS REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 69-4303-0-3-403	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	374	394	351
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	22	68	
22.00 New budget authority (gross)	404	326	351
22.10 Resources available from recoveries of prior year obligations	16		
23.90 Total budgetary resources available for obligation	442	394	351
23.95 Total new obligations	-374	-394	-351
24.40 Unobligated balance carried forward, end of year	68		
New budget authority (gross), detail:			
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	354	326	351
68.10 Change in uncollected customer payments from Federal sources (unexpired)	50		
68.90 Spending authority from offsetting collections (total discretionary)	404	326	351
Change in obligated balances:			
72.40 Obligated balance, start of year	124	65	170
73.10 Total new obligations	374	394	351

Public enterprise funds—Continued

VESSEL OPERATIONS REVOLVING FUND—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 69-4303-0-3-403	2001 actual	2002 est.	2003 est.
73.20 Total outlays (gross)	-367	-289	-308
73.45 Recoveries of prior year obligations	-16		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-50		
74.40 Obligated balance, end of year	65	170	213
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	331	284	246
86.93 Outlays from discretionary balances	36	5	61
87.00 Total outlays (gross)	367	289	308
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 Ready Reserve Force	-258	-225	-251
88.00 Activations and deactivations	-43	-48	-48
88.00 Afloat Prepositioning Force (APF) and Army Prepositioning Stock (APS)	-35	-31	-30
88.00 DOD exercises and other	-18	-22	-22
88.90 Total, offsetting collections (cash)	-354	-326	-351
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-50		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	13	-37	-43

The Maritime Administration is authorized to reactivate, operate, deactivate, and charter merchant vessels. These operations are financed through the Vessel Operations Revolving Fund with reimbursements from sponsoring agencies. In addition, the fund is available to finance the necessary expenses to protect, maintain, preserve, acquire, and use vessels involved in mortgage foreclosure or forfeiture proceedings instituted by the United States other than those financed by the Federal Ship Financing Fund or the Maritime Guaranteed Loan (Title XI) Financing Account; and to process advances received from Federal agencies. Also the acquisition and disposal of ships under the trade-in/scrap-out program is financed through this account.

Reimbursements from other Federal agencies also pay for various DOD/Navy-sponsored activities, such as the operation of activated RRF vessels, installation of sealift enhancement features and other special projects. The Vessel Operations Revolving Fund account includes DOD/Navy reimbursements for the RRF account. DOD/Navy funding for RRF provides for additional RRF vessels, RRF ship activations and deactivations, maintaining RRF ships in an advanced state of readiness, berthing costs, capital improvements at fleet sites, and other RRF support costs.

Object Classification (in millions of dollars)

Identification code 69-4303-0-3-403	2001 actual	2002 est.	2003 est.
21.0 Travel and transportation of persons	3	3	3
23.3 Communications, utilities, and miscellaneous charges	19	21	21
24.0 Printing and reproduction	2	2	2
25.2 Other services	315	330	287
26.0 Supplies and materials	33	36	36
31.0 Equipment	1	1	1
42.0 Insurance claims and indemnities	1	1	1
99.9 Total new obligations	374	394	351

WAR RISK INSURANCE REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 69-4302-0-3-403	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)		1	1
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	32	34	35
22.00 New budget authority (gross)	2	2	2
23.90 Total budgetary resources available for obligation	34	36	37
23.95 Total new obligations		-1	-1
24.40 Unobligated balance carried forward, end of year	34	35	36
New budget authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	2	2	2
Change in obligated balances:			
72.40 Obligated balance, start of year		-1	-1
73.10 Total new obligations		1	1
73.20 Total outlays (gross)		-1	-1
74.40 Obligated balance, end of year	-1	-1	-1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2	2	2
86.93 Outlays from discretionary balances	-1	-1	-1
87.00 Total outlays (gross)		1	1
Offsets:			
Against gross budget authority and outlays:			
88.20 Offsetting collections (cash) from: Interest on Federal securities	-2	-2	-2
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-1	-1	-1
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value	28	31	35
92.02 Total investments, end of year: Federal securities: Par value	31	35	36

The Maritime Administration is authorized to insure against loss or damage from marine war risks until commercial insurance can be obtained on reasonable terms and conditions. This insurance includes war risk hull and disbursements interim insurance, war risk protection and indemnity interim insurance, second seamen's war risk interim insurance, and war risk cargo insurance standby program.

Credit accounts:

FEDERAL SHIP FINANCING FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 69-4301-0-3-403	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Operating expenses	2	2	2
10.00 Total new obligations (object class 25.2)	2	2	2
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		1	
22.00 New budget authority (gross)	3	2	2
22.40 Capital transfer to general fund		-1	
23.90 Total budgetary resources available for obligation	3	2	2
23.95 Total new obligations	-2	-2	-2
24.40 Unobligated balance carried forward, end of year	1		
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	3	2	2

Change in obligated balances:				
73.10	Total new obligations	2	2	2
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
Non-Federal sources:				
88.40	Insurance premiums and fees	-2	-1	-1
88.40	Repayment of loans	-1	-1	-1
88.90	Total, offsetting collections (cash)	-3	-2	-2
Net budget authority and outlays:				
89.00	Budget authority			
90.00	Outlays	-3	-2	-2

Status of Guaranteed Loans (in millions of dollars)				
Identification code 69-4301-0-3-403		2001 actual	2002 est.	2003 est.
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	248	182	122
2251	Repayments and prepayments	-66	-60	-55
2290	Outstanding, end of year	182	122	67
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	182	122	67
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year	17		
2351	Repayments of loans receivable			
2361	Write-offs of loans receivable	-17		
2390	Outstanding, end of year			

The Merchant Marine Act of 1936, as amended, established the Federal Ship Financing Fund to assist in the development of the U.S. merchant marine by guaranteeing construction loans and mortgages on U.S.-flag vessels built in the United States. No new commitments for loan guarantees are projected for the Federal Ship Financing Fund as this Fund is used only to underwrite guarantees made under the Title XI loan guarantee program prior to 1992.

Statement of Operations (in millions of dollars)					
Identification code 69-4301-0-3-403		2000 actual	2001 actual	2002 est.	2003 est.
0101	Revenue	3	2	2	2
0102	Expense	-3	-2	-2	-2
0105	Net income or loss (-)				

Balance Sheet (in millions of dollars)					
Identification code 69-4301-0-3-403		2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:					
1101	Federal assets: Fund balances with Treasury		1		
1206	Non-Federal assets: Receivables, net	5			
1803	Other Federal assets: Property, plant and equipment, net	1			
1999	Total assets	6	1		
LIABILITIES:					
2104	Federal liabilities: Resources payable to Treasury		1		
2201	Non-Federal liabilities: Accounts payable	6			
2999	Total liabilities	6	1		
NET POSITION:					
3300	Cumulative results of operations				
3999	Total net position				
4999	Total liabilities and net position	6	1		

MARITIME GUARANTEED LOAN (TITLE XI) PROGRAM ACCOUNT

[For the cost of guaranteed loans, as authorized by the Merchant Marine Act, 1936, \$30,000,000, to remain available until expended: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974, as amended.]

[In addition, for] *For* administrative expenses to carry out the guaranteed loan program, not to exceed [\$3,978,000] \$4,482,152, which shall be transferred to and merged with the appropriation for Operations and Training. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)				
Identification code 69-1752-0-1-403		2001 actual	2002 est.	2003 est.
Obligations by program activity:				
00.02	Loan guarantee subsidy	33	40	
00.07	Reestimates of loan guarantee subsidy	16	193	
00.08	Interest on reestimates of loan guarantee subsidy	5	20	
00.09	Administrative expense	4	4	4
10.00	Total new obligations	58	257	4
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	18	7	
22.00	New budget authority (gross)	47	250	4
23.90	Total budgetary resources available for obligation	65	257	4
23.95	Total new obligations	-58	-257	-4
24.40	Unobligated balance carried forward, end of year	7		
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	34	37	4
40.36	Unobligated balance rescinded	-8		
43.00	Appropriation (total discretionary)	26	37	4
Mandatory:				
60.00	Appropriation	21	213	
70.00	Total new budget authority (gross)	47	250	4
Change in obligated balances:				
72.40	Obligated balance, start of year	38	51	21
73.10	Total new obligations	58	257	4
73.20	Total outlays (gross)	-45	-287	-25
74.40	Obligated balance, end of year	51	21	
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	5	37	4
86.93	Outlays from discretionary balances	19	37	21
86.97	Outlays from new mandatory authority	21	213	
87.00	Total outlays (gross)	45	287	25
Net budget authority and outlays:				
89.00	Budget authority	47	250	4
90.00	Outlays	45	287	25

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)				
Identification code 69-1752-0-1-403		2001 actual	2002 est.	2003 est.
Guaranteed loan levels supportable by subsidy budget authority:				
215001	Risk category 1A			
215002	Risk category 1B			
215003	Risk category 1C			
215004	Risk category 2A	376	415	
215005	Risk category 2B	318	210	
215006	Risk category 2C	35	35	
215007	Risk category 3			
215901	Total loan guarantee levels	729	660	
Guaranteed loan subsidy (in percent):				
232001	Risk category 1A	0.00	1.77	0.00
232002	Risk category 1B	0.00	2.28	0.00
232003	Risk category 1C	0.00	2.77	0.00
232004	Risk category 2A	3.80	4.34	0.00
232005	Risk category 2B	5.54	5.91	0.00
232006	Risk category 2C	5.30	7.46	0.00
232007	Risk category 3	0.00	12.40	0.00

Credit accounts—Continued**MARITIME GUARANTEED LOAN (TITLE XI) PROGRAM ACCOUNT—
Continued****Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in
millions of dollars)—Continued**

Identification code 69-1752-0-1-403	2001 actual	2002 est.	2003 est.
232901 Weighted average subsidy rate	4.66	5.00	0.00
Guaranteed loan subsidy budget authority:			
233001 Risk category 1A			
233002 Risk category 1B			
233003 Risk category 1C			
233004 Risk category 2A	14	18	
233005 Risk category 2B	18	12	
233006 Risk category 2C	2	3	
233007 Risk category 3			
233901 Total subsidy budget authority	34	33	
Guaranteed loan subsidy outlays:			
234001 Risk category 1A			
234002 Risk category 1B			
234003 Risk category 1C			
234004 Risk category 2A	3	44	11
234005 Risk category 2B	17	14	7
234006 Risk category 2C	6	6	3
234007 Risk category 3			
234901 Total subsidy outlays	26	64	21
Guaranteed loan upward reestimate subsidy budget authority:			
235008 MARAD upward reestimate	21	213	
235901 Total upward reestimate budget authority	21	213	
Guaranteed loan upward reestimate subsidy outlays:			
236008 MARAD upward reestimate	21	213	
236901 Total upward reestimate subsidy outlays	21	213	
Guaranteed loan downward reestimate subsidy budget authority:			
237008 MARAD downward reestimate	-36	-12	
237901 Total downward reestimate subsidy budget authority	-36	-12	
Guaranteed loan downward reestimate subsidy outlays:			
238008 MARAD downward reestimate	-36	-12	
238901 Total downward reestimate subsidy outlays	-36	-12	
Administrative expense data:			
351001 Budget authority	4	4	4
359001 Outlays from new authority	4	4	4

This program provides for guaranteed loans for purchasers of ships from the U.S. shipbuilding industry and for modernization of U.S. shipyards.

As required by the Federal Credit Reform Act of 1990, this account includes the subsidy costs associated with the loan guarantee commitments made in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis, the administrative expenses are estimated on a cash basis.

Funds for administrative expenses for the Title XI program are appropriated to this account, then transferred by reimbursement to the Operations and Training account to be obligated and outlaid. The schedule above shows the post-transfer amounts for 2001. For 2002 and 2003, the schedule displays pre-transfer amounts in order to comply with the Federal Credit Reform Act of 1990.

In an effort to reduce corporate subsidies, no new funds for loan guarantees are requested for 2003.

Object Classification (in millions of dollars)

Identification code 69-1752-0-1-403	2001 actual	2002 est.	2003 est.
25.2 Other services	4	4	4
41.0 Grants, subsidies, and contributions	54	253	
99.9 Total new obligations	58	257	4

MARITIME GUARANTEED LOAN (TITLE XI) Financing Account**Program and Financing (in millions of dollars)**

Identification code 69-4304-0-3-999	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.03 Defaults	80	402	15
Downward re-estimates:			
08.02 Downward re-estimates	31	9	
08.04 Interest on downward re-estimates	5	3	
08.91 Subtotal, downward re-estimates	36	12	
10.00 Total new obligations	116	414	15
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	268	265	363
22.00 New financing authority (gross)	114	512	101
23.90 Total budgetary resources available for obligation	382	777	464
23.95 Total new obligations	-116	-414	-15
24.40 Unobligated balance carried forward, end of year	265	363	449
New financing authority (gross), detail:			
Mandatory:			
67.10 Authority to borrow	7	179	
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	107	333	101
70.00 Total new financing authority (gross)	114	512	101
Change in obligated balances:			
72.40 Obligated balance, start of year	2	3	168
73.10 Total new obligations	116	414	15
73.20 Total financing disbursements (gross)	-116	-249	-5
74.40 Obligated balance, end of year	3	168	178
87.00 Total financing disbursements (gross)	116	249	5
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 Program account	-26	-64	-21
88.00 Federal sources: Payments from program account—Upward reestimate	-21	-213	
88.25 Interest on uninvested funds	-16	-12	-10
Non-Federal sources:			
88.40 Loan Repayment	-19	-24	-50
88.40 Fees and other payments	-25	-20	-20
88.90 Total, offsetting collections (cash)	-107	-333	-101
Net financing authority and financing disbursements:			
89.00 Financing authority	7	179	
90.00 Financing disbursements	9	-84	-96

Status of Guaranteed Loans (in millions of dollars)

Identification code 69-4304-0-3-999	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders			
2131 Guaranteed loan commitments exempt from limitation	729	800	
2150 Total guaranteed loan commitments	729	800	
2199 Guaranteed amount of guaranteed loan commitments	729	800	
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	4,195	4,738	4,696
2231 Disbursements of new guaranteed loans	729	800	
2251 Repayments and prepayments	-110	-120	-130
Adjustments:			
2262 Terminations for default that result in acquisition of property	-76	-367	-94
2264 Other adjustments, net		-355	
2290 Outstanding, end of year	4,738	4,696	4,472
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	4,738	4,696	4,472

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 69-4304-0-3-999	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	457	267	100	130
Investments in US securities:				
1106 Receivables, net	6	132	509	450
1999 Total assets	463	399	609	580
LIABILITIES:				
2101 Federal liabilities: Accounts payable	195	6	100	50
2204 Non-Federal liabilities: Liabilities for loan guarantees	268	393	509	530
2999 Total liabilities	463	399	609	580
4999 Total liabilities and net position	463	399	609	580

ADMINISTRATIVE PROVISIONS—MARITIME ADMINISTRATION

Notwithstanding any other provision of this Act, the Maritime Administration is authorized to furnish utilities and services and make necessary repairs in connection with any lease, contract, or occupancy involving Government property under control of the Maritime Administration, and payments received therefore shall be credited to the appropriation charged with the cost thereof: *Provided*, That rental payments under any such lease, contract, or occupancy for items other than such utilities, services, or repairs shall be covered into the Treasury as miscellaneous receipts.

No obligations shall be incurred during the current fiscal year from the construction fund established by the Merchant Marine Act, 1936, or otherwise, in excess of the appropriations and limitations contained in this Act or in any prior appropriation Act. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002.*)

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Offsetting receipts from the public:			
20-031100 Tonnage duty increases	71	73	74
69-085500 Registration, filing, and permit fees, hazardous materials transportation	3	1	1
69-242100 Marine safety fees	20	20	20
69-272830 Maritime (title XI) loan program, Downward reestimates of subsidies	36	12	
69-273530 Alameda corridor, Downward reestimates of subsidies		63	
69-309900 Miscellaneous recoveries and refunds, not otherwise classified		1	1
General Fund Offsetting receipts from the public	130	170	96

OTHER CONSOLIDATED RECEIPTS ACCOUNTS

TITLE III—GENERAL PROVISIONS

(INCLUDING TRANSFERS OF FUNDS)

SEC. 301. During the current fiscal year applicable appropriations to the Department of Transportation shall be available for maintenance and operation of aircraft; hire of passenger motor vehicles

and aircraft; purchase of liability insurance for motor vehicles operating in foreign countries on official department business; and uniforms, or allowances therefor, as authorized by law (5 U.S.C. 5901-5902).

SEC. 302. Such sums as may be necessary for fiscal year [2002] 2003 pay raises for programs funded in this Act shall be absorbed within the levels appropriated in this Act or previous appropriations Acts.

SEC. 303. Appropriations contained in this Act for the Department of Transportation shall be available for services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for an Executive Level IV.

SEC. 304. None of the funds in this Act shall be available for salaries and expenses of more than [105] 116 political and Presidential appointees in the Department of Transportation[: *Provided*, That none of the personnel covered by this provision or political and Presidential appointees in an independent agency funded in this Act may be assigned on temporary detail outside the Department of Transportation or such independent agency except to the Office of Homeland Security].

SEC. 305. None of the funds in this Act shall be used for the planning or execution of any program to pay the expenses of, or otherwise compensate, non-Federal parties intervening in regulatory or adjudicatory proceedings funded in this Act.

SEC. 306. None of the funds appropriated in this Act shall remain available for obligation beyond the current fiscal year, nor may any be transferred to other appropriations, unless expressly so provided herein.

SEC. 307. The expenditure of any appropriation under this Act for any consulting service through procurement contract pursuant to section 3109 of title 5, United States Code, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive order issued pursuant to existing law.

SEC. 308. None of the funds in this Act shall be used to implement section 404 of title 23, United States Code.

SEC. 309. The limitations on obligations for the programs of the Federal Transit Administration shall not apply to any authority under 49 U.S.C. 5338, previously made available for obligation, or to any other authority previously made available for obligation.

SEC. 310. (a) For fiscal year [2002] 2003, the Secretary of Transportation shall—

(1) not distribute from the obligation limitation for Federal-aid Highways amounts authorized for administrative expenses and programs funded from the administrative takedown authorized by section 104(a)(1)(A) of title 23, United States Code, for the highway use tax evasion program, amounts provided under section 110 of title 23, United States Code, and for the Bureau of Transportation Statistics;

(2) not distribute an amount from the obligation limitation for Federal-aid Highways that is equal to the unobligated balance of amounts made available from the Highway Trust Fund (other than the Mass Transit Account) for Federal-aid highways and highway safety programs for the previous fiscal year the funds for which are allocated by the Secretary;

(3) determine the ratio that—

(A) the obligation limitation for Federal-aid Highways less the aggregate of amounts not distributed under paragraphs (1) and (2), bears to

(B) the total of the sums authorized to be appropriated for Federal-aid highways and highway safety construction programs (other than sums authorized to be appropriated for sections set forth in paragraphs (1) through (7) of subsection (b) and sums authorized to be appropriated for section 105 of title 23, United States Code, equal to the amount referred to in subsection (b)(8)) for such fiscal year less the aggregate of the amounts not distributed under paragraph (1) of this subsection;

(4) distribute the obligation limitation for Federal-aid Highways less the aggregate amounts not distributed under paragraphs (1) and (2) of section 117 of title 23, United States Code (relating to high priority projects program), section 201 of the Appalachian Regional Development Act of 1965, the Woodrow Wilson Memorial Bridge Authority Act of 1995, and \$2,000,000,000 for such fiscal year under section 105 of title 23, United States Code (relating to minimum guarantee) so that the amount of obligation authority available for each of such sections is equal to the amount determined by multiplying the ratio determined under paragraph (3)

(INCLUDING TRANSFERS OF FUNDS)—Continued

by the sums authorized to be appropriated for such section (except in the case of section 105, \$2,000,000,000) for such fiscal year;

(5) distribute the obligation limitation provided for Federal-aid Highways less the aggregate amounts not distributed under paragraphs (1) and (2) and amounts distributed under paragraph (4) for each of the programs that are allocated by the Secretary under title 23, United States Code (other than activities to which paragraph (1) applies and programs to which paragraph (4) applies) by multiplying the ratio determined under paragraph (3) by the sums authorized to be appropriated for such program for such fiscal year; and

(6) distribute the obligation limitation provided for Federal-aid Highways less the aggregate amounts not distributed under paragraphs (1) and (2) and amounts distributed under paragraphs (4) and (5) for Federal-aid highways and highway safety construction programs (other than the minimum guarantee program, but only to the extent that amounts apportioned for the minimum guarantee program for such fiscal year exceed \$2,639,000,000, and the Appalachian development highway system program) that are apportioned by the Secretary under title 23, United States Code, in the ratio that—

(A) sums authorized to be appropriated for such programs that are apportioned to each State for such fiscal year, bear to

(B) the total of the sums authorized to be appropriated for such programs that are apportioned to all States for such fiscal year.

(b) EXCEPTIONS FROM OBLIGATION LIMITATION.—THE OBLIGATION LIMITATION FOR FEDERAL-AID HIGHWAYS SHALL NOT APPLY TO OBLIGATIONS: (1) UNDER SECTION 125 OF TITLE 23, UNITED STATES CODE; (2) UNDER SECTION 147 OF THE SURFACE TRANSPORTATION ASSISTANCE ACT OF 1978; (3) UNDER SECTION 9 OF THE FEDERAL-AID HIGHWAY ACT OF 1981; (4) UNDER SECTIONS 131(B) AND 131(J) OF THE SURFACE TRANSPORTATION ASSISTANCE ACT OF 1982; (5) UNDER SECTIONS 149(B) AND 149(C) OF THE SURFACE TRANSPORTATION AND UNIFORM RELOCATION ASSISTANCE ACT OF 1987; (6) UNDER SECTIONS 1103 THROUGH 1108 OF THE INTERMODAL SURFACE TRANSPORTATION EFFICIENCY ACT OF 1991; (7) UNDER SECTION 157 OF TITLE 23, UNITED STATES CODE, AS IN EFFECT ON THE DAY BEFORE THE DATE OF THE ENACTMENT OF THE TRANSPORTATION EQUITY ACT FOR THE 21ST CENTURY; AND (8) UNDER SECTION 105 OF TITLE 23, UNITED STATES CODE (BUT, ONLY IN AN AMOUNT EQUAL TO \$639,000,000 FOR SUCH FISCAL YEAR).

(c) REDISTRIBUTION OF UNUSED OBLIGATION AUTHORITY.—Notwithstanding subsection (a), the Secretary shall after August 1 for such fiscal year revise a distribution of the obligation limitation made available under subsection (a) if a State will not obligate the amount distributed during that fiscal year and redistribute sufficient amounts to those States able to obligate amounts in addition to those previously distributed during that fiscal year giving priority to those States having large unobligated balances of funds apportioned under sections 104 and 144 of title 23, United States Code, section 160 (as in effect on the day before the enactment of the Transportation Equity Act for the 21st Century) of title 23, United States Code, and under section 1015 of the Intermodal Surface Transportation Efficiency Act of 1991 (105 Stat. 1943–1945).

(d) APPLICABILITY OF OBLIGATION LIMITATIONS TO TRANSPORTATION RESEARCH PROGRAMS.—The obligation limitation shall apply to transportation research programs carried out under chapter 5 of title 23, United States Code, except that obligation authority made available for such programs under such limitation shall remain available for a period of 3 fiscal years.

(e) REDISTRIBUTION OF CERTAIN AUTHORIZED FUNDS.—Not later than 30 days after the date of the distribution of obligation limitation under subsection (a), the Secretary shall distribute to the States any funds: (1) that are authorized to be appropriated for such fiscal year for Federal-aid highways programs (other than the program under section 160 of title 23, United States Code) and for carrying out subchapter I of chapter 311 of title 49, United States Code, and highway-related programs under chapter 4 of title 23, United States Code; and (2) that the Secretary determines will not be allocated to the States, and will not be available for obligation, in such fiscal year due to the imposition of any obligation limitation for such fiscal year. Such distribution to the States shall be made in the same ratio as the distribution of obligation authority under subsection (a)(6). The funds so distributed shall be available for any purposes described in section 133(b) of title 23, United States Code.

(f) SPECIAL RULE.—Obligation limitation distributed for a fiscal year under subsection (a)(4) of this section for a section set forth in subsection (a)(4) shall remain available until used and shall be in addition to the amount of any limitation imposed on obligations for Federal-aid highway and highway safety construction programs for future fiscal years.

SEC. 311. (a) No recipient of funds made available in this Act shall disseminate personal information (as defined in 18 U.S.C. 2725(3)) obtained by a State department of motor vehicles in connection with a motor vehicle record as defined in 18 U.S.C. 2725(1), except as provided in 18 U.S.C. 2721 for a use permitted under 18 U.S.C. 2721.

(b) Notwithstanding subsection (a), the Secretary shall not withhold funds provided in this Act for any grantee if a State is in noncompliance with this provision.

SEC. 312. None of the funds in this Act shall be available to plan, finalize, or implement regulations that would establish a vessel traffic safety fairway less than five miles wide between the Santa Barbara Traffic Separation Scheme and the San Francisco Traffic Separation Scheme.

SEC. 313. Notwithstanding any other provision of law, airports may transfer, without consideration, to the Federal Aviation Administration (FAA) instrument landing systems (along with associated approach lighting equipment and runway visual range equipment) which conform to FAA design and performance specifications, the purchase of which was assisted by a Federal airport-aid program, airport development aid program or airport improvement program grant: *Provided*, That, the Federal Aviation Administration shall accept such equipment, which shall thereafter be operated and maintained by FAA in accordance with agency criteria.

SEC. 314. Notwithstanding any other provision of law, and except for fixed guideway modernization projects, funds made available by this Act under “Federal Transit Administration, Capital investment grants” for projects specified in this Act or identified in reports accompanying this Act not obligated by September 30, [2004] 2005, and other recoveries, shall be made available for other projects under 49 U.S.C. 5309.

SEC. 315. Notwithstanding any other provision of law, any funds appropriated before October 1, [2001] 2002, under any section of chapter 53 of title 49, United States Code, that remain available for expenditure may be transferred to and administered under the most recent appropriation heading for any such section.

[SEC. 316. None of the funds in this Act may be used to compensate in excess of 335 technical staff-years under the federally funded research and development center contract between the Federal Aviation Administration and the Center for Advanced Aviation Systems Development during fiscal year 2002.]

SEC. [317] 316. Funds received by the Federal Highway Administration, Federal Transit Administration, and Federal Railroad Administration from States, counties, municipalities, other public authorities, and private sources for expenses incurred for training may be credited respectively to the Federal Highway Administration’s “Federal-Aid Highways” account, the Federal Transit Administration’s “Transit Planning and Research” account, and to the Federal Railroad Administration’s “Safety and Operations” account, except for State rail safety inspectors participating in training pursuant to 49 U.S.C. 20105.

[SEC. 318. Of the funds made available under section 1101(a)(12) and section 1503 of Public Law 105–178, as amended, \$52,973,000 are rescinded.]

[SEC. 319. Beginning in fiscal year 2002 and thereafter, the Secretary may use up to 1 percent of the amounts made available to carry out 49 U.S.C. 5309 for oversight activities under 49 U.S.C. 5327.]

[SEC. 320. Funds made available for Alaska or Hawaii ferry boats or ferry terminal facilities pursuant to 49 U.S.C. 5309(m)(2)(B) may be used to construct new vessels and facilities, or to improve existing vessels and facilities, including both the passenger and vehicle-related elements of such vessels and facilities, and for repair facilities: *Provided*, That not more than \$3,000,000 of the funds made available pursuant to 49 U.S.C. 5309(m)(2)(B) may be used by the State of Hawaii to initiate and operate a passenger ferryboat services demonstration project to test the viability of different intra-island and inter-island ferry routes.]

SEC. [321] 317. Notwithstanding 31 U.S.C. 3302, funds received by the Bureau of Transportation Statistics from the sale of data products, for necessary expenses incurred pursuant to 49 U.S.C. 111 may be credited to the Federal-aid highways account for the purpose

of reimbursing the Bureau for such expenses: *Provided*, That such funds shall be subject to the obligation limitation for Federal-aid highways and highway safety construction.

[SEC. 322. Section 3030(a) of the Transportation Equity Act for the 21st Century (Public Law 105–178) is amended by adding at the end, the following line: “Washington County—Wilsonville to Beaverton commuter rail.”.]

[SEC. 323. Section 3030(b) of the Transportation Equity Act for the 21st Century (Public Law 105–178) is amended by adding at the end the following: “Detroit, Michigan Metropolitan Airport rail project.”.]

SEC. [324] 318. None of the funds in this Act may be obligated or expended for employee training which: (a) does not meet identified needs for knowledge, skills and abilities bearing directly upon the performance of official duties; (b) contains elements likely to induce high levels of emotional response or psychological stress in some participants; (c) does not require prior employee notification of the content and methods to be used in the training and written end of course evaluations; (d) contains any methods or content associated with religious or quasi-religious belief systems or “new age” belief systems as defined in Equal Employment Opportunity Commission Notice N–915.022, dated September 2, 1988; (e) is offensive to, or designed to change, participants’ personal values or lifestyle outside the workplace; or (f) includes content related to human immunodeficiency virus/acquired immune deficiency syndrome (HIV/AIDS) other than that necessary to make employees more aware of the medical ramifications of HIV/AIDS and the workplace rights of HIV-positive employees.

SEC. [325] 319. None of the funds in this Act shall, in the absence of express authorization by Congress, be used directly or indirectly to pay for any personal service, advertisement, telegraph, telephone, letter, printed or written material, radio, television, video presentation, electronic communications, or other device, intended or designed to influence in any manner a Member of Congress or of a State legislature to favor or oppose by vote or otherwise, any legislation or appropriation by Congress or a State legislature after the introduction of any bill or resolution in Congress proposing such legislation or appropriation, or after the introduction of any bill or resolution in a State legislature proposing such legislation or appropriation: *Provided*, That this shall not prevent officers or employees of the Department of Transportation or related agencies funded in this Act from communicating to Members of Congress or to Congress, on the request of any Member, or to members of State legislature, or to a State legislature, through the proper official channels, requests for legislation or appropriations which they deem necessary for the efficient conduct of business.

SEC. [326] 320. (a) **IN GENERAL.**—None of the funds made available in this Act may be expended by an entity unless the entity agrees that in expending the funds the entity will comply with the Buy American Act (41 U.S.C. 10a–10c).

(b) **SENSE OF THE CONGRESS; REQUIREMENT REGARDING NOTICE.**—

(1) **PURCHASE OF AMERICAN-MADE EQUIPMENT AND PRODUCTS.**—

In the case of any equipment or product that may be authorized to be purchased with financial assistance provided using funds made available in this Act, it is the sense of the Congress that entities receiving the assistance should, in expending the assistance, purchase only American-made equipment and products to the greatest extent practicable.

(2) **NOTICE TO RECIPIENTS OF ASSISTANCE.**—In providing financial assistance using funds made available in this Act, the head of each Federal agency shall provide to each recipient of the assistance a notice describing the statement made in paragraph (1) by the Congress.

(c) **PROHIBITION OF CONTRACTS WITH PERSONS FALSELY LABELING PRODUCTS AS MADE IN AMERICA.**—If it has been finally determined by a court or Federal agency that any person intentionally affixed a label bearing a “Made in America” inscription, or any inscription with the same meaning, to any product sold in or shipped to the United States that is not made in the United States, the person shall be ineligible to receive any contract or subcontract made with funds made available in this Act, pursuant to the debarment, suspension, and ineligibility procedures described in sections 9.400 through 9.409 of title 48, Code of Federal Regulations.

SEC. [327] 321. Rebates, refunds, incentive payments, minor fees and other funds received by the Department from travel management centers, charge card programs, the subleasing of building space, and miscellaneous sources are to be credited to appropriations of the Department and allocated to elements of the Department using fair

and equitable criteria and such funds shall be available until December 31, [2002] 2003.

SEC. [328] 322. Notwithstanding any other provision of law, rule or regulation, the Secretary of Transportation is authorized to allow the issuer of any preferred stock heretofore sold to the Department to redeem or repurchase such stock upon the payment to the Department of an amount determined by the Secretary.

[SEC. 329. For necessary expenses of the Amtrak Reform Council authorized under section 203 of Public Law 105–134, \$225,000.]

[SEC. 330. In addition to amounts otherwise made available in this Act, to enable the Secretary of Transportation to make grants for surface transportation projects, \$144,000,000, to remain available until expended.]

[SEC. 331. During fiscal year 2002, for providing support to the Department of Defense, the Coast Guard Yard and other Coast Guard specialized facilities designated by the Commandant shall qualify as components of the Department of Defense for competition and workload assignment purposes: *Provided*, That in addition, for purposes of entering into joint public-private partnerships and other cooperative arrangements for the performance of work, the Coast Guard Yard and other Coast Guard specialized facilities may enter into agreements or other arrangements, receive and retain funds from and pay funds to such public and private entities, and may accept contributions of funds, materials, services, and the use of facilities from such entities: *Provided further*, That amounts received under this section may be credited to appropriate Coast Guard accounts for fiscal year 2002.]

[SEC. 332. None of the funds in this Act may be used to make a grant unless the Secretary of Transportation notifies the House and Senate Committees on Appropriations not less than 3 full business days before any discretionary grant award, letter of intent, or full funding grant agreement totaling \$1,000,000 or more is announced by the department or its modal administrations from: (1) any discretionary grant program of the Federal Highway Administration other than the emergency relief program; (2) the airport improvement program of the Federal Aviation Administration; or (3) any program of the Federal Transit Administration other than the formula grants and fixed guideway modernization programs: *Provided*, That no notification shall involve funds that are not available for obligation.]

[SEC. 333. (a) None of the funds made available in this Act shall be available for the design or construction of a light rail system in Houston, Texas.

(b) Notwithstanding (a), amounts made available in this Act under the heading “Federal Transit Administration, Capital investment grants” for a Houston, Texas, Metro advanced transit plan project shall be available for obligation or expenditure subject to the following conditions:

(1) Sufficient amounts shall be used for major investment studies in 4 major corridors.

(2) The Texas Department of Transportation shall review and comment on the findings of the studies under paragraph (1). Any comments by such department on such findings shall be included in any final report on such studies.

(3) If a final report on the studies under paragraph (1) is not available for at least the 1-month period preceding the date of any referendum held by the City of Houston, Texas, or by a county of Texas, regarding approval of the issuance of bonds for funding a light rail system in Houston, Texas, all information developed by such studies regarding passenger and cost estimates for such a system shall be made available to the public at least 1 month before the date of the referendum.]

[SEC. 334. None of the funds made available in this Act may be used for engineering work related to an additional runway at New Orleans International Airport.]

SEC. [335] 323. None of the funds in this Act shall be used to pursue or adopt guidelines or regulations requiring airport sponsors to provide to the Federal Aviation Administration without cost building construction, maintenance, utilities and expenses, or space in airport sponsor-owned buildings for services relating to air traffic control, air navigation or weather reporting: *Provided*, That the prohibition of funds in this section does not apply to negotiations between the agency and airport sponsors to achieve agreement on “below-market” rates for these items or to grant assurances that require airport sponsors to provide land without cost to the FAA for air traffic control facilities.

SEC. [336] 324. Notwithstanding any other provision of law, whenever an allocation is made of the sums authorized to be appropriated

(INCLUDING TRANSFERS OF FUNDS)—Continued

for expenditure on the Federal lands highway program, and whenever an apportionment is made of the sums authorized to be appropriated for expenditure on the surface transportation program, the congestion mitigation and air quality improvement program, the National Highway System, the Interstate maintenance program, the bridge program, the Appalachian development highway system, and the minimum guarantee program, the Secretary of Transportation shall deduct a sum in such amount not to exceed [two-fifths of 1] 0.0045 percent of all sums so made available, as the Secretary determines necessary, to administer the provisions of law to be financed from appropriations for motor carrier safety programs and motor carrier safety research. The sum so deducted shall remain available until expended: *Provided*, That any deduction by the Secretary of Transportation in accordance with this paragraph shall be deemed to be a deduction under section 104(a)(1)(B) of title 23, United States Code.

SEC. [337] 325. For an airport project that the Administrator of the Federal Aviation Administration (FAA) determines will add critical airport capacity to the national air transportation system, the Administrator is authorized to accept funds from an airport sponsor, including entitlement funds provided under the "Grants-in-Aid for Airports" program, for the FAA to hire additional staff or obtain the services of consultants: *Provided*, That the Administrator is authorized to accept and utilize such funds only for the purpose of facilitating the timely processing, review, and completion of environmental activities associated with such project.

SEC. 338. None of the funds made available in this Act may be used to further any efforts toward developing a new regional airport for southeast Louisiana until a comprehensive plan is submitted by a commission of stakeholders to the Administrator of the Federal Aviation Administration and that plan, as approved by the Administrator, is submitted to and approved by the Senate Committee on Appropriations and the House Committee on Appropriations.]

SEC. 339. Notwithstanding any other provision of law, States may use funds provided in this Act under section 402 of title 23, United States Code, to produce and place highway safety public service messages in television, radio, cinema and print media, and on the Internet in accordance with guidance issued by the Secretary of Transportation: *Provided*, That any State that uses funds for such public service messages shall submit to the Secretary a report describing and assessing the effectiveness of the messages: *Provided further*, That \$8,000,000 of the funds allocated for innovative seat belt projects under section 157 of title 23, United States Code, shall be used by the States, as directed by the National Highway Traffic Safety Administrator, to purchase advertising in broadcast or print media to publicize the States' seat belt enforcement efforts during one or more of the Operation ABC National Mobilizations: *Provided further*, That up to \$2,000,000 of the funds allocated for innovative seat belt projects under section 157 of title 23, United States Code, shall be used by the Administrator to evaluate the effectiveness of State seat belt programs that purchase advertising as provided by this section.]

SEC. 340. Item 1348 of the table contained in section 1602 of the Transportation Equity Act for the 21st Century is amended by striking "Extend West Douglas Road" and inserting "Construct Gastineau Channel Second Crossing to Douglas Island".]

SEC. 341. None of the funds in this Act may be obligated for the Office of the Secretary of Transportation to approve assessments or reimbursable agreements pertaining to funds appropriated to the modal administrations in this Act, except for activities underway on the date of enactment of this Act, unless such assessments or agreements have completed the normal reprogramming process for Congressional notification.]

SEC. 342. Item 642 in the table contained in section 1602 of the Transportation Equity Act for the 21st Century, relating to Washington, is amended by striking "Construct passenger ferry facility to serve Southworth, Seattle" and inserting "Passenger only ferry to serve Kitsap and King Counties to Seattle".]

SEC. 343. Item 1793 in section 1602 of the Transportation Equity Act for the 21st Century, relating to Washington, is amended by striking "Southworth Seattle Ferry" and inserting "Passenger only ferry to serve Kitsap and King Counties to Seattle".]

SEC. 344. Item 576 in the table contained in section 1602 of the Transportation Equity Act for the 21st Century (112 Stat. 278) is amended by striking "Bull Shoals Lake Ferry in Taney County"

and inserting "Construct the Missouri Center for Advanced Highway Safety (MOCAHS)".]

SEC. 345. The transit station operated by the Washington Metropolitan Area Transit Authority located at Ronald Reagan Washington National Airport, and known as the National Airport Station, shall be known and designated as the "Ronald Reagan Washington National Airport Station". The Washington Metropolitan Area Transit Authority shall modify the signs at the transit station, and all maps, directories, documents, and other records published by the Authority, to reflect the redesignation.]

SEC. [346] 326. None of the funds appropriated or otherwise made available in this Act may be made available to any person or entity convicted of violating the Buy American Act (41 U.S.C. 10a-10c).

SEC. 347. For fiscal year 2002, notwithstanding any other provision of law, historic covered bridges eligible for Federal assistance under section 1224 of the Transportation Equity Act for the 21st Century, as amended, may be funded from amounts set aside for the discretionary bridge program.]

SEC. 348. None of the funds provided in this Act or prior Appropriations Acts for Coast Guard "Acquisition, construction, and improvements" shall be available after the fifteenth day of any quarter of any fiscal year, unless the Commandant of the Coast Guard first submits a quarterly report to the House and Senate Committees on Appropriations on all major Coast Guard acquisition projects including projects executed for the Coast Guard by the United States Navy and vessel traffic service projects: *Provided*, That such reports shall include an acquisition schedule, estimated current and year funding requirements, and a schedule of anticipated obligations and outlays for each major acquisition project: *Provided further*, That such reports shall rate on a relative scale the cost risk, schedule risk, and technical risk associated with each acquisition project and include a table detailing unobligated balances to date and anticipated unobligated balances at the close of the fiscal year and the close of the following fiscal year should the Administration's pending budget request for the acquisition, construction, and improvements account be fully funded: *Provided further*, That such reports shall also provide abbreviated information on the status of shore facility construction and renovation projects: *Provided further*, That all information submitted in such reports shall be current as of the last day of the preceding quarter.]

SEC. 349. Funds provided in this Act for the Transportation Administrative Service Center (TASC) shall be reduced by \$5,000,000, which limits fiscal year 2002 TASC obligational authority for elements of the Department of Transportation funded in this Act to no more than \$120,323,000: *Provided*, That such reductions from the budget request shall be allocated by the Department of Transportation to each appropriations account in proportion to the amount included in each account for the Transportation Administrative Service Center.]

SEC. 350. SAFETY OF CROSS-BORDER TRUCKING BETWEEN UNITED STATES AND MEXICO. (a) No funds limited or appropriated in this Act may be obligated or expended for the review or processing of an application by a Mexican motor carrier for authority to operate beyond United States municipalities and commercial zones on the United States-Mexico border until the Federal Motor Carrier Safety Administration—

(1)(A) requires a safety examination of such motor carrier to be performed before the carrier is granted conditional operating authority to operate beyond United States municipalities and commercial zones on the United States-Mexico border;

(B) requires the safety examination to include—

(i) verification of available performance data and safety management programs;

(ii) verification of a drug and alcohol testing program consistent with part 40 of title 49, Code of Federal Regulations;

(iii) verification of that motor carrier's system of compliance with hours-of-service rules, including hours-of-service records;

(iv) verification of proof of insurance;

(v) a review of available data concerning that motor carrier's safety history, and other information necessary to determine the carrier's preparedness to comply with Federal Motor Carrier Safety rules and regulations and Hazardous Materials rules and regulations;

(vi) an inspection of that Mexican motor carrier's commercial vehicles to be used under such operating authority, if any such commercial vehicles have not received a decal from the inspection required in subsection (a)(5);

(vii) an evaluation of that motor carrier's safety inspection, maintenance, and repair facilities or management systems, including verification of records of periodic vehicle inspections;

(viii) verification of drivers' qualifications, including a confirmation of the validity of the Licencia de Federal de Conductor of each driver of that motor carrier who will be operating under such authority; and

(ix) an interview with officials of that motor carrier to review safety management controls and evaluate any written safety oversight policies and practices.

(C) requires that—

(i) Mexican motor carriers with three or fewer commercial vehicles need not undergo on-site safety examination; however 50 percent of all safety examinations of all Mexican motor carriers shall be conducted onsite; and

(ii) such on-site inspections shall cover at least 50 percent of estimated truck traffic in any year.

(2) requires a full safety compliance review of the carrier consistent with the safety fitness evaluation procedures set forth in part 385 of title 49, Code of Federal Regulations, and gives the motor carrier a satisfactory rating, before the carrier is granted permanent operating authority to operate beyond United States municipalities and commercial zones on the United States-Mexico border, and requires that any such safety compliance review take place within 18 months of that motor carrier being granted conditional operating authority, provided that—

(A) Mexican motor carriers with three or fewer commercial vehicles need not undergo onsite compliance review; however 50 percent of all compliance reviews of all Mexican motor carriers shall be conducted on-site; and

(B) any Mexican motor carrier with 4 or more commercial vehicles that did not undergo an on-site safety exam under (a)(1)(C), shall undergo an on-site safety compliance review under this section.

(3) requires Federal and State inspectors to verify electronically the status and validity of the license of each driver of a Mexican motor carrier commercial vehicle crossing the border;

(A) for every such vehicle carrying a placardable quantity of hazardous materials;

(B) whenever the inspection required in subsection (a)(5) is performed; and

(C) randomly for other Mexican motor carrier commercial vehicles, but in no case less than 50 percent of all other such commercial vehicles.

(4) gives a distinctive Department of Transportation number to each Mexican motor carrier operating beyond the commercial zone to assist inspectors in enforcing motor carrier safety regulations including hours-of-service rules under part 395 of title 49, Code of Federal Regulations;

(5) requires, with the exception of Mexican motor carriers that have been granted permanent operating authority for three consecutive years—

(A) inspections of all commercial vehicles of Mexican motor carriers authorized, or seeking authority to operate beyond United States municipalities and commercial zones on the United States-Mexico border that do not display a valid Commercial Vehicle Safety Alliance inspection decal, by certified inspectors in accordance with the requirements for a Level I Inspection under the criteria of the North American Standard Inspection (as defined in section 350.105 of title 49, Code of Federal Regulations), including examination of the driver, vehicle exterior and vehicle under-carriage;

(B) a Commercial Vehicle Safety Alliance decal to be affixed to each such commercial vehicle upon completion of the inspection required by clause (A) or a re-inspection if the vehicle has met the criteria for the Level I inspection; and

(C) that any such decal, when affixed, expire at the end of a period of not more than 90 days, but nothing in this paragraph shall be construed to preclude the Administration from requiring reinspection of a vehicle bearing a valid inspection decal or from requiring that such a decal be removed when a certified Federal or State inspector determines that such a vehicle has a safety violation subsequent to the inspection for which the decal was granted.

(6) requires State inspectors who detect violations of Federal motor carrier safety laws or regulations to enforce them or notify Federal authorities of such violations;

(7)(A) equips all United States-Mexico commercial border crossings with scales suitable for enforcement action; equips 5 of the 10 such crossings that have the highest volume of commercial vehicle traffic with weigh-in-motion (WIM) systems; ensures that the remaining 5 such border crossings are equipped within 12 months; requires inspectors to verify the weight of each Mexican motor carrier commercial vehicle entering the United States at said WIM equipped high volume border crossings; and

(B) initiates a study to determine which other crossings should also be equipped with weigh-in-motion systems;

(8) the Federal Motor Carrier Safety Administration has implemented a policy to ensure that no Mexican motor carrier will be granted authority to operate beyond United States municipalities and commercial zones on the United States-Mexico border unless that carrier provides proof of valid insurance with an insurance company licensed in the United States;

(9) requires commercial vehicles operated by a Mexican motor carrier to enter the United States only at commercial border crossings where and when a certified motor carrier safety inspector is on duty and where adequate capacity exists to conduct a sufficient number of meaningful vehicle safety inspections and to accommodate vehicles placed out-of-service as a result of said inspections.

(10) publishes—

(A) interim final regulations under section 210(b) of the Motor Carrier Safety Improvement Act of 1999 (49 U.S.C. 31144 note) that establish minimum requirements for motor carriers, including foreign motor carriers, to ensure they are knowledgeable about Federal safety standards, that may include the administration of a proficiency examination;

(B) interim final regulations under section 31148 of title 49, United States Code, that implement measures to improve training and provide for the certification of motor carrier safety auditors;

(C) a policy under sections 218(a) and (b) of that Act (49 U.S.C. 31133 note) establishing standards for the determination of the appropriate number of Federal and State motor carrier inspectors for the United States-Mexico border;

(D) a policy under section 219(d) of that Act (49 U.S.C. 14901 note) that prohibits foreign motor carriers from leasing vehicles to another carrier to transport products to the United States while the lessor is subject to a suspension, restriction, or limitation on its right to operate in the United States; and

(E) a policy under section 219(a) of that Act (49 U.S.C. 14901 note) that prohibits foreign motor carriers from operating in the United States that is found to have operated illegally in the United States.

(b) No vehicles owned or leased by a Mexican motor carrier and carrying hazardous materials in a placardable quantity may be permitted to operate beyond a United States municipality or commercial zone until the United States has completed an agreement with the Government of Mexico which ensures that drivers of such vehicles carrying such placardable quantities of hazardous materials meet substantially the same requirements as United States drivers carrying such materials.

(c) No vehicles owned or leased by a Mexican motor carrier may be permitted to operate beyond United States municipalities and commercial zones under conditional or permanent operating authority granted by the Federal Motor Carrier Safety Administration until—

(1) the Department of Transportation Inspector General conducts a comprehensive review of border operations within 180 days of enactment to verify that—

(A) all new inspector positions funded under this Act have been filled and the inspectors have been fully trained;

(B) each inspector conducting on-site safety compliance reviews in Mexico consistent with the safety fitness evaluation procedures set forth in part 385 of title 49, Code of Federal Regulations, is fully trained as a safety specialist;

(C) the requirement of subparagraph (a)(2) has not been met by transferring experienced inspectors from other parts of the United States to the United States-Mexico border, undermining the level of inspection coverage and safety elsewhere in the United States;

(D) the Federal Motor Carrier Safety Administration has implemented a policy to ensure compliance with hours-of-service rules under part 395 of title 49, Code of Federal Regulations, by Mexican motor carriers seeking authority to operate beyond United States municipalities and commercial zones on the United States-Mexico border;

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(E) the information infrastructure of the Mexican government is sufficiently accurate, accessible, and integrated with that of United States enforcement authorities to allow United States authorities to verify the status and validity of licenses, vehicle registrations, operating authority and insurance of Mexican motor carriers while operating in the United States, and that adequate telecommunications links exist at all United States-Mexico border crossings used by Mexican motor carrier commercial vehicles, and in all mobile enforcement units operating adjacent to the border, to ensure that licenses, vehicle registrations, operating authority and insurance information can be easily and quickly verified at border crossings or by mobile enforcement units;

(F) there is adequate capacity at each United States-Mexico border crossing used by Mexican motor carrier commercial vehicles to conduct a sufficient number of meaningful vehicle safety inspections and to accommodate vehicles placed out-of-service as a result of said inspections;

(G) there is an accessible database containing sufficiently comprehensive data to allow safety monitoring of all Mexican motor carriers that apply for authority to operate commercial vehicles beyond United States municipalities and commercial zones on the United States-Mexico border and the drivers of those vehicles; and

(H) measures are in place to enable United States law enforcement authorities to ensure the effective enforcement and monitoring of license revocation and licensing procedures of Mexican motor carriers.

(2) The Secretary of Transportation certifies in writing in a manner addressing the Inspector General's findings in paragraphs (c)(1)(A) through (c)(1)(H) of this section that the opening of the border does not pose an unacceptable safety risk to the American public.

(d) The Department of Transportation Inspector General shall conduct another review using the criteria in (c)(1)(A) through (c)(1)(H) consistent with paragraph (c) of this section, 180 days after the first review is completed, and at least annually thereafter.

(e) For purposes of this section, the term "Mexican motor carrier" shall be defined as a Mexico-domiciled motor carrier operating beyond United States municipalities and commercial zones on the United States-Mexico border.

(f) In addition to amounts otherwise made available in this Act, to be derived from the Highway Trust Fund, there is hereby appropriated to the Federal Motor Carrier Safety Administration, \$25,866,000 for the salary, expense, and capital costs associated with the requirements of this section.】

【SEC. 351. Notwithstanding any other provision of law, for the purpose of calculating the non-federal contribution to the net project cost of the Regional Transportation Commission Resort Corridor Fixed Guideway Project in Clark County, Nevada, the Secretary of Transportation shall include all non-federal contributions (whether public or private) made on or after January 1, 2000 for engineering, final design, and construction of any element or phase of the project, including any fixed guideway project or segment connecting to that project, and also shall allow non-federal funds (whether public or private) expended on one element or phase of the project to be used to meet the non-federal share requirement of any element or phase of the project.】

【SEC. 352. (a) FINDINGS.—Congress makes the following findings:

(1) The condition of highway, railway, and waterway infrastructure across the Nation varies widely and is in need of improvement and investment.

(2) Thousands of tons of hazardous materials, including a very small amount of high-level radioactive material, are transported along the Nation's highways, railways, and waterways each year.

(3) The volume of hazardous material transport increased by over one-third in the last 25 years and is expected to continue to increase. Some propose significantly increasing radioactive material transport.

(4) Approximately 261,000 people were evacuated across the Nation because of rail-related incidents involving hazardous materials between 1978 and 1995, and during that period industry reported 8 transportation accidents involving the small volume of high level radioactive waste transported during that period.

(5) The Federal Railroad Administration has significantly decreased railroad inspections and has allocated few resources since

1993 to assure the structural integrity of railroad bridges. Train derailments have increased by 18 percent over roughly the same period.

(6) The poor condition of highway, railway, and waterway infrastructure, increases in the volume of hazardous material transport, and proposed increases in radioactive material transport increase the risk of incidents involving such materials.

(7) Measuring the risks of hazardous or radioactive material incidents and preventing such incidents requires specific information concerning the condition and suitability of specific transportation routes contemplated for such transport to inform and enable investment in related infrastructure.

(8) Mitigating the impact of hazardous and radioactive material transportation incidents requires skilled, localized, and well-equipped emergency response personnel along all specifically identified transportation routes.

(9) Incidents involving hazardous or radioactive material transport pose threats to the public health and safety, the environment, and the economy.

(b) STUDY.—The Secretary of Transportation shall, in consultation with the Comptroller General of the United States, conduct a study of the effects to public health and safety, the environment, and the economy associated with the transportation of hazardous and radioactive material.

(c) MATTERS TO BE ADDRESSED.—The study under subsection (b) shall address the following matters:

(1) Whether the Federal Government conducts or reviews individualized and detailed evaluations and inspections of the condition and suitability of specific transportation routes for the current, and any anticipated or proposed, transport of hazardous and radioactive material, including whether resources and information are adequate to conduct such evaluations and inspections.

(2) The costs and time required to ensure adequate inspection of specific transportation routes and related infrastructure and to complete the infrastructure improvements necessary to ensure the safety of current, and any anticipated or proposed, hazardous and radioactive material transport.

(3) Whether emergency preparedness personnel, emergency response personnel, and medical personnel are adequately trained and equipped to promptly respond to incidents along specific transportation routes for current, anticipated, or proposed hazardous and radioactive material transport.

(4) The costs and time required to ensure that emergency preparedness personnel, emergency response personnel, and medical personnel are adequately trained and equipped to promptly respond to incidents along specific transportation routes for current, anticipated, or proposed hazardous and radioactive material transport.

(5) The availability of, or requirements to, establish governmental and commercial information collection and dissemination systems adequate to provide public and emergency responders in an accessible manner, with timely, complete, specific, and accurate information (including databases) concerning actual, proposed, or anticipated shipments by highway, railway, or waterway of hazardous and radioactive materials, including incidents involving the transportation of such materials by those means and the public safety implications of such dissemination.

(d) DEADLINE FOR COMPLETION.—The study under subsection (b) shall be completed not later than 6 months after the date of the enactment of this Act.

(e) REPORT.—Upon completion of the study under subsection (b), the Secretary shall submit to Congress a report on the study.】

【SEC. 353. In selecting projects to carry out using funds appropriated under section 110 of title 23, United States Code, the States of Georgia, Alabama, and Mississippi shall give priority consideration to the following projects:

(1) Improving Johnson Ferry Road from the Chattahoochee River to Abernathy Road, including the bridge over the Chattahoochee River, Georgia.

(2) Widening Abernathy Road from 2 to 4 lanes from Johnson Ferry Road to Roswell Road, Georgia.

(3) Constructing approaches to the Patton Island Bridge, Alabama.

(4) Planning, design, engineering, and construction of an interchange on I-55, at approximately mile marker 114, and connector roads in Madison County, Mississippi.】

【SEC. 354. Section 355(a) of the National Highway System Designation Act of 1995 (109 Stat. 624) is amended by striking "has achieved"

and all that follows and inserting the following: “has achieved a safety belt use rate of not less than 50 percent.”.]

[SEC. 355. Not later than 180 days after the date of enactment of this Act, the Secretary of Transportation shall conduct a study and submit to Congress a report on the costs and benefits of constructing a third bridge across the Mississippi River in the Memphis, Tennessee, metropolitan area.]

[SEC. 356. (a) Congress makes the following findings:

(1) Section 345 of the National Highway System Designation Act of 1995 authorizes limited relief to drivers of certain types of commercial motor vehicles from certain restrictions on maximum driving time and on-duty time.

(2) Subsection (c) of that section requires the Secretary of Transportation to determine by rulemaking proceedings that the exemptions granted are not in the public interest and adversely affect the safety of commercial motor vehicles.

(3) Subsection (d) of that section requires the Secretary of Transportation to monitor the safety performance of drivers of commercial motor vehicles who are subject to an exemption under section 345 and report to Congress prior to the rulemaking proceedings.

(b) It is the sense of Congress that the Secretary of Transportation should not take any action that would diminish or revoke any exemption in effect on the date of the enactment of this Act for drivers of vehicles under section 345 of the National Highway System Designation Act of 1995 (Public Law 104–59; 109 Stat. 613; 49 U.S.C. 31136 note) unless the requirements of subsections (c) and (d) of such section are satisfied.]

[SEC. 357. Point Retreat Light Station shall be transferred to the Alaska Lighthouse Association consistent with the terms and conditions of section 416(b)(2) of Public Law 105–383.]

[SEC. 358. PRIORITY HIGHWAY PROJECTS, MINNESOTA. In selecting projects to carry out using funds apportioned under section 110 of title 23, United States Code, the State of Minnesota shall give priority consideration to the following projects:

(1) The Southeast Main and Rail Relocation Project in Moorhead, Minnesota.

(2) Improving access to and from I–35 W at Lake Street in Minneapolis, Minnesota.]

[SEC. 359. Notwithstanding any other provision of law, the Secretary of Transportation shall approve the use of funds apportioned under paragraphs (1) and (3) of section 104(b) of title 23, United States Code, for construction of Type II noise barriers—

(1) at the locations identified in section 358 of the Department of Transportation and Related Agencies Appropriations Act, 2000 (113 Stat. 1027);

(2) on the west side of Interstate Route 285 from Henderson Mill Road to Chamblee Tucker Road in DeKalb County, Georgia;

(3) on the east and west sides of Interstate Route 85, extending from Virginia Avenue to Metropolitan Parkway in Fulton County, Georgia;

(4) on the east and west sides of Interstate 285 from the South Fulton Parkway/Interstate Route 85 interchange north to Interstate Route 20;

(5) on the east side of Interstate Route 75 from Howell Mill Road to West Paces Ferry Road in Fulton County, Georgia;

(6) on the east and west sides of Interstate Route 75 between Chastain Road and Georgia State Route 92 in Cobb and Cherokee Counties, Georgia; and

(7) on the south side of Interstate 95 in Bensalem Township, between exit 25 and exit 26, Bucks County, Pennsylvania.]

[SEC. 360. Notwithstanding any other provision of law, of the funds apportioned to the State of Oklahoma under section 110 of title 23, United States Code, for fiscal year 2001, the \$4,300,000 specified under the heading “Federal-Aid Highways (Limitation on Obligations)” in the Department of Transportation and Related Agencies Appropriations Act, 2001 (Public Law 106–346) for reconstruction of U.S. 177 in the vicinity of Cimarron River, Oklahoma, shall be available instead only for the widening of U.S. 177 from SH–33 to 32nd Street in Stillwater, Oklahoma, and such amount shall be subject to the provisions of the last proviso under such heading.]

[SEC. 361. Section 3030(d)(3) of the Transportation Equity Act for the 21st Century (Public Law 105–178) is amended by inserting at the end:

“(D) Alabama State Docks intermodal passenger and freight facility.”.]

[SEC. 362. Section 1105(c) of the Intermodal Surface Transportation Efficiency Act of 1991 (105 Stat. 2032) is amended by adding at the end the following:

“(44) The Louisiana Highway 1 corridor from Grand Isle, Louisiana, along Louisiana Highway 1, to the intersection with United States Route 90.”.]

[SEC. 363. Item 425 in the table contained in section 1602 of the Transportation Equity Act for the 21st Century (112 Stat. 272) is amended by striking “Extend” and all that follows through “Parish” and inserting the following: “Extend and improve Louisiana Route 42 from and along U.S. 61 to I–10 in Ascension and East Baton Rouge Parishes”.]

[SEC. 364. Items 111 and 1583 in the table contained in section 1602 of the Transportation Equity Act for the 21st Century (112 Stat. 261 and 315), relating to Kentucky, are each amended by inserting after “Paducah” the following: “and other areas in the city of Paducah and McCracken County, Kentucky”.]

[SEC. 365. (a) Section 1105(c)(3) of the Intermodal Surface Transportation Efficiency Act of 1991 (Public Law 102–240), as amended, is hereby further amended by striking: “then to a Kentucky Corridor centered on the cities of Pikeville, Jenkins, Hazard, London, Somerset, Columbia, Bowling Green, Hopkinsville, Benton, and Paducah” and inserting: “then to a Kentucky Corridor centered on the cities of Pikeville, Jenkins, Hazard, London, and Somerset; then, generally following the Louie B. Nunn Parkway corridor from Somerset to Columbia, to Glasgow, to I–65; then to Bowling Green, Hopkinsville, Benton, and Paducah”.

(b) Section 1105(e)(5)(A) of the Intermodal Surface Transportation Efficiency Act of 1991 (Public Law 102–240), as amended, is hereby further amended by inserting after “subsection (c)(1)”, the following: “subsection (c)(3) (solely as it relates to the Kentucky Corridor).”.]

[SEC. 366. Section 1105(c)(18) of the Intermodal Surface Transportation Efficiency Act of 1991 (Public Law 102–240), as amended, is hereby further amended by adding:

“(E) In Kentucky, the corridor shall utilize the existing Purchase Parkway from the Tennessee State line to Interstate 24.”.]

[SEC. 367. Section 1105(e)(5)(B)(i) of the Intermodal Surface Transportation Efficiency Act of 1991 (Public Law 102–240), as amended, is hereby further amended by adding: “The Louie B. Nunn Parkway corridor referred to in subsection (c)(3) shall be designated as Interstate Route 66. A State having jurisdiction over any segment of routes and/or corridors referred to in subsections (c)(3) shall erect signs identifying such segment that is consistent with the criteria set forth in subsections (e)(5)(A)(i) and (e)(5)(A)(ii) as Interstate Route 66. Notwithstanding the provisions of subsections (e)(5)(A)(i) and (e)(5)(A)(ii), or any other provisions of this Act, the Commonwealth of Kentucky shall erect signs, as approved by the Secretary, identifying the routes and/or corridors described in subsection (c)(3) for the Commonwealth, as segments of future Interstate Route 66. The Purchase Parkway corridor referred to in subsection (c)(18)(E) shall be designated as Interstate Route 69. A State having jurisdiction over any segment of routes and/or corridors referred to in subsections (c)(18) shall erect signs identifying such segment that is consistent with the criteria set forth in subsections (e)(5)(A)(i) and (e)(5)(A)(ii) as Interstate Route 69. Notwithstanding the provisions of subsections (e)(5)(A)(i) and (e)(5)(A)(ii), or any other provisions of this Act, the Commonwealth of Kentucky shall erect signs, as approved by the Secretary, identifying the routes and/or corridors described in subsection (c)(18) for the Commonwealth, as segments of future Interstate Route 69.”.]

[SEC. 368. Notwithstanding any other provision of law, any funds made available to the southern coalition for advanced transportation (SCAT) in the Department of Transportation and Related Agencies Appropriations Act, 2000, Public Law 106–69, under Capital Investment Grants, or identified in the conference report accompanying the Department of Transportation and Related Agencies Appropriations Act, 2001, Public Law 106–346, that remain unobligated shall be transferred to Transit Planning and Research and made available to the electric transit vehicle institute (ETVI) in Tennessee for research administered under the provisions of 49 U.S.C. 5312.]

[SEC. 369. Chapter 9 of title II of the Supplemental Appropriations Act, 2001 (Public Law 107–20) is amended by deleting the heading “(Highway Trust Fund)” under the heading “Federal-aid Highways”; and inserting in the body under the heading “Federal-aid Highways” after “available” the following: “from the Highway Trust Fund (other than the mass transit account) or the general fund”; and striking “103–311” and inserting in lieu thereof “103–331”.]

[SEC. 370. Notwithstanding the project descriptions contained in table item number 865 of section 1602 of Public Law 105–178, table item number 77 of section 1106(a) of Public Law 102–240 and section 1069(d) relating to the Riverside Expressway in Fairmont, West Vir-

(INCLUDING TRANSFERS OF FUNDS)—Continued

ginia, amounts available under such provision shall be available to carry out any project eligible under title 23, United States Code, in the vicinity of Fairmont, West Virginia.】

【SEC. 371. Item 71 in the table contained in section 1602 of the Transportation Equity Act for the 21st Century, Public Law 105–178, is amended by replacing “restore First and Main Streets to two-way traffic” with “traffic safety and pedestrian improvements in downtown Miamisburg”.】

【SEC. 372. Item 258 in the table under the heading “Capital Investment Grants” in title I of the Department of Transportation and Related Agencies Appropriations Act, 2000 (Public Law 106–69; 113 Stat. 1006) is amended by striking “Killington-Sherburne satellite bus facility” and inserting “Marble Valley Regional Transit District buses”.】

【SEC. 373. Of the funds available in item 73 of the table contained in section 1106(b) of the Intermodal Surface Transportation Efficiency Act of 1991 (Public Law 102–240), \$5,700,000 shall be available for construction of a parking facility for the inner harbor/redevelopment project in Buffalo, New York.】

【SEC. 374. Of the funds available in item 630 of the table contained in section 1602 of the Transportation Equity Act for the 21st Century (Public Law 105–178) as amended by section 1102 of chapter 11 of the Consolidated Appropriations Act, 2001 (Public Law 106–554) shall be available for the construction of a parking facility for the inner harbor/redevelopment project in Buffalo, New York.】

SEC. 327. *The federal share of funds available for new fixed guideway projects under 49 U.S.C. 5309, after September 30, 2003, shall not be more than 50 percent: Provided, That this limitation shall not apply to projects for which a full finding grant agreement has been executed prior to October 1, 2003.*

SEC. 328. *Of the funds made available under section 1119 of the Transportation Equity Act for the 21st Century (P.L. 105–178), as amended, \$47,000,000 shall be set aside for construction of state border safety inspection facilities in the states of Arizona, California, New Mexico, and Texas.*

SEC. 329. *Funds available or limited under this Act for the administration and operation of the Federal Highway Administration shall be available to purchase promotional items of nominal value for use in recruitment of individuals for employment and to promote the safety programs of the Federal Highway Administration.*

SEC. 330. *The Secretary of Transportation shall increase fees charged for hazardous material registration and inspection under chapter 51 of title 49, U.S.C. (except section 5108(g)(2), 5109, 5112, 5115, 5116 and 5119), so as to result in additional collections in fiscal year 2003 estimated at \$5,987,000, to be credited to a special fund in the Treasury as offsetting receipts, which amount shall be available until expended for the purposes of the “Research and Special Programs” account: Provided, That the amounts appropriated for fiscal year 2003 from the general fund for the “Research and Special Programs” account shall be reduced by \$5,987,000.*

SEC. 331. *The Secretary of Transportation shall charge fees for railroad safety under chapter 51 of title 49, U.S.C., and subtitle V, part A of title 49, U.S.C., so as to result in collections in fiscal year 2003 estimated at \$59,000,000, to be credited to a special fund in the Treasury as offsetting receipts, of which \$45,000,000 shall be available for the purposes of the Federal Railroad Administration’s “Safety and Operations” account, and of which \$14,000,000 shall be available for the purposes of the Federal Railroad Administration’s “Railroad Research and Development” account: Provided further, That such amounts shall remain available until expended: Provided further, That the amounts appropriated for fiscal year 2003 from the general fund for “Safety and Operations” and “Railroad Research and Development” accounts shall be reduced by \$45,000,000 and \$14,000,000, respectively.*

SEC. 332. *Section 41742 of title 49, United States Code is amended:*
 (a) *in subsection (a)(2), by inserting “or otherwise provided to the Office of the Secretary or the Federal Aviation Administration” after “appropriated”; by deleting “\$15,000,000” and inserting “\$63,000,000”; and by adding “(49 USCS §§ 41731 et seq.)” immediately after “subchapter”; and*

(b) *adding the following new paragraphs after subsection (a)(2):*

“(3) *Notwithstanding any other provision of subchapter II of chapter 417 of this title, no subsidy level provided to an air carrier in order to provide service under this subchapter, with the exception of service to communities within Alaska, may exceed \$275 per person.*”

“(4) *ANTIDEFICIENCY ACT APPLICABILITY.—The Antideficiency Act (31 U.S.C. 1341 et seq.) remains applicable to obligations made under sections 41733 and 41734 of this title. The Secretary of Transportation shall take such actions as deemed necessary to ensure funding does not exceed funds available to avoid violation of such Act, and shall report any such actions taken to the Congress.*”.

SEC. 333. *The Secretary of Transportation shall charge commercial vessels a fee for navigational assistance services provided by the U.S. Coast Guard under chapter 5, section 81 of title 14, U.S.C., so as to result in collections in fiscal year 2003 estimated at \$165,000,000, to be credited to a special fund in the Treasury as offsetting receipts, of which \$165,000,000 shall be available for the purposes of the U.S. Coast Guard’s “Operating Expenses” account: Provided, That the amounts appropriated for fiscal year 2003 from the general fund for “Operating Expenses” account shall be reduced by \$165,000,000.*

SEC. 334. *Notwithstanding 10 U.S.C. 1116(c), payments into the Department of Defense Medicare-Eligible Retiree Health Care Fund for fiscal year 2003 under 10 U.S.C. 1116(a) shall be from funds available in the Coast Guard Operating Expenses account.*

SEC. 335. *The matching requirements of the emergency fund authorized by section 125 and the Federal share payable authorized by section 120 of title 23, United States Code, will be waived to pay the costs of projects in response to the attack on the World Trade Center in New York City that occurred on September 11, 2001—(1) notwithstanding section 120(e) of that title, the Federal share of the cost of any such highway project shall be 100 percent; and (2) notwithstanding section 125(d)(1) of that title, the Secretary of Transportation may obligate more than \$100,000,000 for those projects.*

[GENERAL PROVISIONS, THIS CHAPTER]

【SEC. 1101. Section 5117(b)(3) of the Transportation Equity Act for the 21st Century (Public Law 105–178; 112 Stat. 449; 23 U.S.C. 502 note) is amended—

(1) by redesignating subparagraphs (C), (D), and (E) as subparagraphs (D), (F), and (G), respectively;

(2) by inserting after subparagraph (B) the following new subparagraph (C):

“(C) **FOLLOW-ON DEPLOYMENT.**—(i) After an intelligent transportation infrastructure system deployed in an initial deployment area pursuant to a contract entered into under the program under this paragraph has received system acceptance, the Department of Transportation has the authority to extend the original contract that was competitively awarded for the deployment of the system in the follow-on deployment areas under the contract, using the same asset ownership, maintenance, fixed price contract, and revenue sharing model, and the same competitively selected consortium leader, as were used for the deployment in that initial deployment area under the program.

“(ii) If any one of the follow-on deployment areas does not commit, by July 1, 2002, to participate in the deployment of the system under the contract, then, upon application by any of the other follow-on deployment areas that have committed by that date to participate in the deployment of the system, the Secretary shall supplement the funds made available for any of the follow-on deployment areas submitting the applications by using for that purpose the funds not used for deployment of the system in the nonparticipating area. Costs paid out of funds provided in such a supplementation shall not be counted for the purpose of the limitation on maximum cost set forth in subparagraph (B).”;

(3) by inserting after subparagraph (D), as redesignated by paragraph (1), the following new subparagraph (E):

“(E) **DEFINITIONS.**—In this paragraph:

“(i) The term ‘initial deployment area’ means a metropolitan area referred to in the second sentence of subparagraph (A).

“(ii) The term ‘follow-on deployment areas’ means the metropolitan areas of Baltimore, Birmingham, Boston, Chicago, Cleveland, Dallas/Ft. Worth, Denver, Detroit, Houston, Indianapolis, Las Vegas, Los Angeles, Miami, New York/Northern New Jersey, Northern Kentucky/Cincinnati, Oklahoma City, Orlando, Philadelphia, Phoenix, Pittsburgh, Portland, Providence, Salt Lake, San Diego, San Francisco, St. Louis, Seattle, Tampa, and Washington, District of Columbia.”; and

(4) in subparagraph (D), as redesignated by paragraph (1), by striking “subparagraph (D)” and inserting “subparagraph (F)”.】

【SEC. 1102. No appropriated funds or revenues generated by the National Railroad Passenger Corporation may be used to implement section 204(c)(2) of Public Law 105–134 until the Congress has enacted an Amtrak Reauthorization Act.】

【SEC. 1103. (a) Notwithstanding any other provision of law, of the funds authorized under section 110 of title 23, United States Code, for fiscal year 2002, no funds shall be available for the program authorized under section 1101(a)(11) of Public Law 105–178 and \$29,542,304 shall be set aside for the project as authorized under title IV of the National Highway System Designation Act of 1995, as amended: *Provided*, That, if funds authorized under these provisions have been distributed then the amount so specified shall be recalled proportionally from those funds distributed to the States under section 110(b)(4)(A) and (B) of title 23, United States Code.

(b) Notwithstanding any other provision of law, for fiscal year 2002, funds available for environmental streamlining activities under section 104(a)(1)(A) of title 23, United States Code, may include making grants to, or entering into contracts, cooperative agreements, and other transactions, with a Federal agency, State agency, local agency, authority, association, nonprofit or for-profit corporation, or institution of higher education.

(c) Notwithstanding any other provision of law, of the funds authorized under section 110 of title 23, United States Code, for fiscal year 2002, and made available for the National motor carrier safety program, \$5,896,000 shall be for State commercial driver's license program improvements.

(d) Notwithstanding any other provision of law, of the funds authorized under section 110 of title 23, United States Code, for fiscal year 2002, and made available for border infrastructure improvements, up to \$2,300,000 shall be made available to carry out section 1119(d) of the Transportation Equity Act for the 21st Century, as amended.】

【SEC. 1104. Notwithstanding any other provision of law, of the amounts appropriated in fiscal year 2002 for the Research and Special Programs Administration, \$3,170,000 of funds provided for research and special programs shall remain available until September 30, 2004, and \$22,786,000 of funds provided for the pipeline safety program derived from the pipeline safety fund shall remain available until September 30, 2004.】

【SEC. 1105. Item 1497 in the table contained in section 1602 of the Transportation Equity Act for the 21st Century (112 Stat. 312), relating to Alaska, is amended by inserting “and construct capital improvements to intermodal marine freight and passenger facilities and access thereto” before “in Anchorage”.】

【SEC. 1106. The Department of Transportation and Related Agencies Appropriations Act, 2002 is amended in section 330 by striking “\$144,000,000” and inserting “\$148,300,000” and in section 349 by striking “\$5,000,000” and inserting “\$9,300,000” and by striking “\$120,323,000” and inserting “\$116,023,000”.】

【SEC. 1107. Notwithstanding any other provision of law, none of the funds in the Department of Transportation and Related Agencies Appropriations Act, 2002 shall be available for salaries and expenses of more than 102 political and Presidential appointees in the Department of Transportation: *Provided*, That none of the funds in this Act, or any other Appropriations Act for fiscal year 2002, shall be available for the position of Under Secretary of Transportation for Policy or the position of Assistant Secretary for Public Affairs.】

【SEC. 1108. Section 1511(b) of the Transportation Equity Act for the 21st Century (Public Law 105–178), as amended, is amended by striking “Rhode Island” and inserting in lieu thereof “Rhode Island, and Texas” and by inserting before the period in subsection (b)(1)(A) “, provided that Texas may not compete for funds previously allocated or appropriated to any other State”.】 (*Emergency Supplemental Act, 2002.*)

DEPARTMENT OF THE TREASURY

DEPARTMENTAL OFFICES

SALARIES AND EXPENSES

For necessary expenses of the Departmental Offices including operation and maintenance of the Treasury Building and Annex; hire of passenger motor vehicles; maintenance, repairs, and improvements of, and purchase of commercial insurance policies for, real properties leased or owned overseas, when necessary for the performance of official business; not to exceed \$3,500,000 for official travel expenses; not to exceed \$3,813,000, to remain available until expended for information technology modernization requirements; not to exceed \$150,000 for official reception and representation expenses; not to exceed \$258,000 for unforeseen emergencies of a confidential nature, to be allocated and expended under the direction of the Secretary of the Treasury and to be accounted for solely on his certificate, **[\$177,142,000] \$199,127,000: Provided,** That of these amounts \$2,900,000 is available for grants to State and local law enforcement groups to help fight money laundering: *Provided further,* [That of these amounts \$2,000,000 shall be available for a grant associated with research on transfer pricing, and that such sum shall be transferred within 90 days of enactment of this Act]: *That of these amounts, \$5,893,000 shall be for the Treasury-wide Financial Statement Audit Program, of which such amounts as may be necessary may be transferred to accounts of the Department's offices and bureaus to conduct audits: Provided further, That this transfer authority shall be in addition to any other provided in this Act. (Treasury Department Appropriations Act, 2002; additional authorizing legislation required.)*

Program and Financing (in millions of dollars)

Identification code 20-0101-0-1-803	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Economic policies and programs	49	61	60
00.02 Financial policies and programs	63	46	45
00.03 Enforcement policies and programs	39	110	52
00.04 Treasury-wide management policies and programs	41	38	36
00.05 Treasury-wide financial statement audit	6
01.00 Subtotal, Direct programs	192	255	199
09.11 Reimbursable program	11	17	17
09.99 Subtotal, reimbursable program	11	17	17
10.00 Total new obligations	203	272	216
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	31	71	2
22.00 New budget authority (gross)	246	203	216
22.10 Resources available from recoveries of prior year obligations	1
23.90 Total budgetary resources available for obligation	278	274	218
23.95 Total new obligations	-203	-272	-216
23.98 Unobligated balance expiring or withdrawn	-5
24.40 Unobligated balance carried forward, end of year	71	2	2
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	229	184	199
42.00 Transferred from other accounts	6
43.00 Appropriation (total discretionary)	235	184	199
50.00 Reappropriation	2
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	11	17	17
70.00 Total new budget authority (gross)	246	203	216
Change in obligated balances:			
72.40 Obligated balance, start of year	53	64	34
73.10 Total new obligations	203	272	216
73.20 Total outlays (gross)	-191	-302	-240
73.40 Adjustments in expired accounts (net)	-1

73.45 Recoveries of prior year obligations	-1
74.10 Change in uncollected customer payments from Federal sources (expired)	2
74.40 Obligated balance, end of year	64	34	10
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	158	178	191
86.93 Outlays from discretionary balances	33	126	49
87.00 Total outlays (gross)	191	302	240
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-11	-17	-17
Net budget authority and outlays:			
89.00 Budget authority	235	186	199
90.00 Outlays	178	285	223
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value	1	1
92.02 Total investments, end of year: Federal securities:			
Par value	1

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	229	179	192
90.00 Outlays	172	278	216

Departmental Offices' function in the Department of the Treasury is to provide basic support to the Secretary of the Treasury, who is the chief operating executive of the Department. The Secretary of the Treasury maintains the primary role in formulating and managing the domestic and international tax and financial policies of the Federal Government. The Secretary's responsibilities funded by the Salaries and Expenses appropriation include: recommending and implementing United States domestic and international economic and tax policy; fiscal policy; governing the fiscal operations of the Government; maintaining foreign assets control; managing the public debt; overseeing the major law enforcement functions carried out by the Department of the Treasury; managing development financial policy; representing the United States on international monetary, trade and investment issues; overseeing Department of the Treasury overseas operations; and directing the administrative operations of the Department of the Treasury.

In support of the Secretary, the Salaries and Expenses appropriation provides resources for policy formulation and implementation in the areas of domestic and international financial, investment, tax, economic, trade and financial operations and general fiscal policy. This appropriation also provides resources for administrative support to the Secretary and policy components, and coordination of Departmental administrative policies in financial and personnel management, procurement operations, and automated information systems and telecommunications.

Economic Policies and Programs.—The function of the Economic Policies and Programs Activity is to advise the Secretary and Deputy Secretary in economic areas such as: (1) monitors macro- and micro-economic developments and assists in determining appropriate economic policies; develops an overall appraisal of the current state of, and outlook for the economy; provides written and oral briefing materials for the

SALARIES AND EXPENSES—Continued

Secretary, other officials, and outsiders; participates in inter-agency groups working on economic matters to develop and maintain a coordinated and consistent government-wide economic program; and (2) the formulation and execution of U.S. international economic and financial policies regarding a wide range of international development and analysis functions involving: trade and investment, energy policy, monetary affairs, development financing, and general economic research into international financial issues. The Office of International Affairs works closely with other Federal agencies and international financial institutions, and coordinates international financial and macro-economic policy with the National Economic Council (Annual Economic Summit), the National Security Council, the Council of Economic Advisors, the Office of Management and Budget (foreign country risk review), the United States Trade Representative (financial services, investment, etc.), and all components of the Executive Office of the President. Under Presidential Executive order, the Office of International Affairs participates with the Department of State in the collection and analysis of economic information on foreign countries. In the areas of international monetary and foreign exchange policy, the Office of International Affairs shares responsibility with the Federal Reserve (principally, the Board of Governors, but also the Federal Reserve Bank of New York) in working closely with the International Monetary Fund. In the area of international development, the Office of International Affairs formulates resource needs, notably U.S. contributions, policies and programs for various Multilateral Development Banks. With the Export-Import Bank, the Office of International Affairs has responsibility for export credit finance. This activity includes the Office of the Assistant Secretary (Economic Policy), the immediate offices of the Under Secretary (International Affairs), the Assistant Secretary (International Affairs) and the Office of International Affairs.

Financial Policies and Programs.—The function of the Financial Policies and Programs Activity is to advise the Secretary and Deputy Secretary in areas of domestic finance, banking, fiscal policy and operations, and other related financial matters, including development of policies and guidance in the areas of financial institutions, federal debt finance, financial regulation, and capital markets. Specifically, this activity ensures that the management of the Federal government's cash minimizes risk and strikes a balance between cash needs and short-term investments. This activity provides decision makers and stakeholders with: (1) timely, concise and thorough policies, guidance and analysis in the areas of: financial institutions, financial regulation, the equitable and efficient delivery of financial services, the availability of credit, financial crimes, federal debt finance, capital markets, the privatization of government assets, and any other issues related to domestic finance and financial services; and (2) recommendations regarding the development and implementation of tax policies and programs; official estimates of all Government receipts for the President's Budget, fiscal policy decisions, and cash management decisions; policy criteria reflected in regulations and rulings to implement the Internal Revenue Code; negotiation of tax treaties for the United States; and economic and legal policy analysis for domestic and international tax policy decisions. This activity includes the immediate office of the Under Secretary (Domestic Finance), the Assistant Secretary (Financial Institutions), the Assistant Secretary (Financial Markets), the Fiscal Assistant Secretary, and the Deputy Assistant Secretary for Community Development Policy and the Assistant Secretary (Tax Policy).

Enforcement Policies and Programs.—The function of the Enforcement Policies and Programs activity is to provide policy development, guidance and coordination to Treasury's law enforcement entities to combat money laundering and other

financial crime, interdict illegal drugs, reduce violent crime, protect our nation's leaders, and provide quality training for enforcement personnel. Responsibilities include: (1) providing Departmental oversight and supervision of U.S. Customs Service, U.S. Secret Service, Federal Law Enforcement Training Center, Financial Crimes Enforcement Network, Bureau of Alcohol, Tobacco, and Firearms, and Executive Office of Asset Forfeiture; and (2) negotiating international agreements on behalf of the Secretary to engage in joint law enforcement operations for the exchange of financial information and records. The Office of Enforcement administers economic sanctions against selective foreign countries, international narcotics traffickers and international terrorists in furtherance of U.S. foreign policy and national security goals. This activity includes the immediate offices of the Under Secretary for Enforcement and the Assistant Secretary (Enforcement), including the Office of Foreign Assets Control.

Treasury-wide Management Policies and Programs.—The Treasury-wide Management Policies and Programs Activity provides policy advice on matters involving the internal management of the Department and its bureaus; coinage and currency production and security; the sale and retention of savings bonds; financial management, information systems, security, property management, human resources, procurement and contracting, strategic planning; and customer service. This activity is responsible for implementing the functions of the Chief Financial Officer (CFO), the Government Performance Results Act (GPRA), and the Information Technology Management Reform Act which includes efficient and effective use of the Treasury's resources. This activity includes the Office of the Assistant Secretary (Management) and Chief Financial Officer and the Treasurer of the United States.

Treasury-wide Financial Statement Audit.—This activity has responsibility for contracting and funding all financial statement audit work that will be done by the OIG. The OIG would streamline the process, provide costs savings and accountability for getting these audits done, and ensure timeliness and consistency of financial statement audits in the Department. The audits would include those of the Customs Service, the Financial Management Service, the Bureau of Public Debt, the Federal Financing Board, the Bureau of Alcohol, Tobacco, and Firearms, the Community Development Financial Institutions, and the Departmental Offices.

PERFORMANCE MEASURES

	2003 est.
Economic conditions in developing countries (overall percent change in Gross Domestic Product from prior calendar year)	Growth
Economic conditions in transitional economies (overall percent change in Gross Domestic Product from prior calendar year)	Growth
Announce borrowing policies and borrowing requirements to financial market participants in a timely manner	100%
Number of open material weaknesses (significant management problems identified by GAO, the IGs, and/or the bureaus)	10%
Percent of new IT capital investments tracked that are within costs, on schedule, and meeting performance targets	100%
Percent of contract dollars over \$25,000 that are competed	80%

Object Classification (in millions of dollars)

Identification code 20-0101-0-1-803	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	71	81	83
11.3 Other than full-time permanent	7	7	8
11.5 Other personnel compensation	1	1	1
11.8 Special personal services payments	4	1	1
11.9 Total personnel compensation	82	90	93
12.1 Civilian personnel benefits	24	26	28
21.0 Travel and transportation of persons	3	3	4
22.0 Transportation of things	2	2	2
23.1 Rental payments to GSA	4	4	4
23.3 Communications, utilities, and miscellaneous charges	12	11	11
24.0 Printing and reproduction	2	3	3
25.2 Other services	58	109	47

26.0	Supplies and materials	2	3	3
31.0	Equipment	6	6	6
99.0	Direct obligations	188	255	199
99.0	Reimbursable obligations	11	17	17
99.5	Below reporting threshold	4		
99.9	Total new obligations	203	272	216

Personnel Summary

Identification code 20-0101-0-1-803	2001 actual	2002 est.	2003 est.	
Direct:				
1001	Total compensable workyears: Full-time equivalent employment	1,040	1,075	1,086
Reimbursable:				
2001	Total compensable workyears: Full-time equivalent employment	83	92	92

**DEPARTMENT-WIDE SYSTEMS AND CAPITAL INVESTMENTS PROGRAMS
(INCLUDING TRANSFER OF FUNDS)**

For development and acquisition of automatic data processing equipment, software, and services for the Department of the Treasury, \$68,828,000, to remain available until expended: *Provided*, That these funds shall be transferred to accounts and in amounts as necessary to satisfy the requirements of the Department's offices, bureaus, and other organizations: *Provided further*, That this transfer authority shall be in addition to any other transfer authority provided in this Act: *Provided further*, That none of the funds appropriated shall be used to support or supplement the Internal Revenue Service appropriations for Information Systems]. (*Treasury Department Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 20-0115-0-1-803	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
10.00	Total new obligations	53	54	69
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	3	10	25
22.00	New budget authority (gross)	62	69	69
22.21	Unobligated balance transferred to other accounts	-3		
23.90	Total budgetary resources available for obligation	62	79	94
23.95	Total new obligations	-53	-54	-69
24.40	Unobligated balance carried forward, end of year	10	25	25
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	62	69	69
Change in obligated balances:				
72.40	Obligated balance, start of year	38	44	22
73.10	Total new obligations	53	54	69
73.20	Total outlays (gross)	-46	-76	-68
74.40	Obligated balance, end of year	44	22	23
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	8	31	31
86.93	Outlays from discretionary balances	38	45	37
87.00	Total outlays (gross)	46	76	68
Net budget authority and outlays:				
89.00	Budget authority	62	69	69
90.00	Outlays	46	76	68

The 1997 Treasury Postal Appropriations Act established this account which is authorized to be used by or on behalf of Treasury bureaus, at the Secretary's discretion, to modernize business processes and increase efficiency through technology investments.

Object Classification (in millions of dollars)

Identification code 20-0115-0-1-803	2001 actual	2002 est.	2003 est.	
Direct obligations:				
25.2	Other services	37	39	43
31.0	Equipment	15	15	26
99.0	Direct obligations	52	54	69
99.5	Below reporting threshold	1		
99.9	Total new obligations	53	54	69

OFFICE OF INSPECTOR GENERAL

SALARIES AND EXPENSES

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, not to exceed \$2,000,000 for official travel expenses, including hire of passenger motor vehicles; and not to exceed \$100,000 for unforeseen emergencies of a confidential nature, to be allocated and expended under the direction of the Inspector General of the Treasury, **[\$35,424,000]; \$37,375,000.** (*Treasury Department Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 20-0106-0-1-803	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
10.00	Total new obligations	35	37	37
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	35	37	37
23.95	Total new obligations	-35	-37	-37
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	35	37	37
Change in obligated balances:				
72.40	Obligated balance, start of year	5	7	7
73.10	Total new obligations	35	37	37
73.20	Total outlays (gross)	-33	-37	-37
74.40	Obligated balance, end of year	7	7	7
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	30	32	32
86.93	Outlays from discretionary balances	3	5	5
87.00	Total outlays (gross)	33	37	37
Net budget authority and outlays:				
89.00	Budget authority	35	37	37
90.00	Outlays	33	37	37
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)				
	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	33	35	35
90.00	Outlays	31	35	35

The Office of Inspector General conducts and supervises audits, evaluations and investigations designed to: (1) promote economy, efficiency, and effectiveness and prevent fraud, waste, and abuse in Departmental programs and operations; and (2) keep the Secretary and the Congress fully and currently informed of problems and deficiencies in the administration of Departmental programs and operations. The audit function provides program audit, contract audit and financial statement audit services. Contract audits provide professional advice to agency contracting officials on accounting and financial matters relative to negotiation, award, administration, repricing, and settlement of contracts. Program audits review and audit all facets of agency operations. Financial statement

OFFICE OF INSPECTOR GENERAL—Continued
SALARIES AND EXPENSES—Continued

audits assess whether financial statements fairly present the agency's financial condition and results of operations, the adequacy of accounting controls, and compliance with laws and regulations. These audits contribute significantly to improved financial management by helping Treasury managers identify improvements needed in their accounting and internal control systems. The evaluations function reviews program performance and issues critical to the mission of the Department, including assessing the Department's implementation of the Government Performance and Results Act. The investigative function provides for the detection and investigation of improper and illegal activities involving programs, personnel, and operations. This appropriation also provides for the oversight of internal investigations made by the Offices of Internal Affairs and Inspection in the Bureau of ATF, the Customs Service, and the Secret Service.

Object Classification (in millions of dollars)

Identification code 20-0106-0-1-803	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	19	21	21
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	20	22	22
12.1 Civilian personnel benefits	7	7	7
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	2	3	3
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	1	1	1
25.3 Other purchases of goods and services from Government accounts	2	1	1
31.0 Equipment	1	1	1
99.9 Total new obligations	35	37	37

Personnel Summary

Identification code 20-0106-0-1-803	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	246	282	268

INSPECTOR GENERAL FOR TAX ADMINISTRATION
SALARIES AND EXPENSES

For necessary expenses of the Treasury Inspector General for Tax Administration in carrying out the Inspector General Act of 1978, as amended, including purchase (not to exceed 150 for replacement only for police-type use) and hire of passenger motor vehicles (31 U.S.C. 1343(b)); services authorized by 5 U.S.C. 3109, at such rates as may be determined by the Inspector General for Tax Administration; not to exceed \$6,000,000 for official travel expenses; and not to exceed \$500,000 for unforeseen emergencies of a confidential nature, to be allocated and expended under the direction of the Inspector General for Tax Administration, **[\$123,746,000] \$131,637,000.** (Treasury Department Appropriations Act, 2002; additional authorizing legislation required.)

For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Salaries and Expenses" \$2,032,000, to remain available until expended, to be obligated from amounts made available by Public Law 107-38. (Emergency Supplemental Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 20-0119-0-1-803	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	124	133	132
09.01 Reimbursable program	2	2	2
10.00 Total new obligations	126	135	134

Budgetary resources available for obligation:

22.00 New budget authority (gross)	127	135	134
23.95 Total new obligations	-126	-135	-134
23.98 Unobligated balance expiring or withdrawn	-1		

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	124	131	132
40.15 Appropriation (emergency)		2	
43.00 Appropriation (total discretionary)	124	133	132
50.00 Reappropriation	1		
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	2	2	2
70.00 Total new budget authority (gross)	127	135	134

Change in obligated balances:

72.40 Obligated balance, start of year	14	15	13
73.10 Total new obligations	126	135	134
73.20 Total outlays (gross)	-126	-135	-134
74.40 Obligated balance, end of year	15	13	13

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	115	123	122
86.93 Outlays from discretionary balances	12	12	12
87.00 Total outlays (gross)	126	135	134

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-2	-2	-2

Net budget authority and outlays:

89.00 Budget authority	125	133	132
90.00 Outlays	124	133	132

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	118	126	124
90.00 Outlays	117	126	124

The Treasury Inspector General for Tax Administration (TIGTA) conducts audits, investigations, and evaluations to assess the operations and programs of the Internal Revenue Service (IRS) and Related Entities, the IRS Oversight Board and the Office of Chief Counsel to: (1) promote the economic, efficient and effective administration of the nation's tax laws and to detect and deter fraud and abuse in IRS programs and operations; and (2) recommend actions to resolve fraud and other serious problems, abuses, and deficiencies in these programs and operations, and keep the Secretary and the Congress fully and currently informed of these issues and the progress made in resolving them. TIGTA reviews existing and proposed legislation and regulations relating to the programs and operations of the IRS and Related Entities and makes recommendations concerning the impact of such legislation and regulations on the economy and efficiency in the administration of programs and operations of the IRS and Related Entities. The audit function provides program audit, contract audit and financial statement audit services. Program audits review and audit all facets of IRS and Related Entities. Contract audits provide professional advice to IRS contracting officials on accounting and financial matters relative to negotiation, award, administration, repricing, and settlement of contracts. The evaluations function reviews program performance and issues critical to the mission of the IRS. The investigative function provides for the detection and investigation of improper and illegal activities involving IRS programs and operations and protects the IRS and Related Entities against external attempts to corrupt or threaten their employees.

PERFORMANCE MEASURES

Audit:	2001 actual	2002 est.	2003 est.
Potential monetary benefits expected from IRS' corrective actions to audit recommendations (in millions)	\$13,000	\$140	\$144
Investigations:			
Percentage of criminal investigative reports referred for prosecution within one year of initiation	90%	80%	73%
Percentage of misconduct (non-criminal) investigative reports referred to the IRS within four months of initiation	71%	64%	60%

Object Classification (in millions of dollars)

Identification code 20-0119-0-1-803	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	64	68	68
11.5 Other personnel compensation	7	8	8
11.9 Total personnel compensation	71	76	76
12.1 Civilian personnel benefits	25	26	27
21.0 Travel and transportation of persons	5	5	5
23.1 Rental payments to GSA	8	8	9
23.3 Communications, utilities, and miscellaneous charges	1	3	2
25.1 Advisory and assistance services	2	4	4
25.2 Other services	1	1	2
25.3 Other purchases of goods and services from Government accounts	3	1	1
25.4 Operation and maintenance of facilities	1	1	1
25.7 Operation and maintenance of equipment	1	1	1
26.0 Supplies and materials	1	1	1
31.0 Equipment	6	6	5
99.0 Direct obligations	124	133	132
99.0 Reimbursable obligations	2	2	2
99.9 Total new obligations	126	135	134

Personnel Summary

Identification code 20-0119-0-1-803	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	922	995	947
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	15	15	15

TREASURY BUILDING AND ANNEX REPAIR AND RESTORATION

For the repair, alteration, and improvement of the Treasury Building and Annex, **[\$28,932,000]** \$33,014,000, to remain available until expended. (*Treasury Department Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 20-0108-0-1-803	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Repair and improvement of Main Treasury	47	34	33
10.00 Total new obligations	47	34	33
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	21	5
22.00 New budget authority (gross)	31	29	33
23.90 Total budgetary resources available for obligation	52	34	33
23.95 Total new obligations	-47	-34	-33
24.40 Unobligated balance carried forward, end of year	5
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	31	29	33
Change in obligated balances:			
72.40 Obligated balance, start of year	28	44	44
73.10 Total new obligations	47	34	33
73.20 Total outlays (gross)	-31	-34	-35
73.40 Adjustments in expired accounts (net)	1
74.40 Obligated balance, end of year	44	44	40

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	28	13	15
86.93 Outlays from discretionary balances	3	21	20
87.00 Total outlays (gross)	31	34	35

Net budget authority and outlays:

89.00 Budget authority	31	29	33
90.00 Outlays	32	34	35

This appropriation funds repairs and selected improvements to maintain the Main Treasury and Annex buildings.

Object Classification (in millions of dollars)

Identification code 20-0108-0-1-803	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	1	1	1
23.1 Rental payments to GSA	5	5	5
25.2 Other services	1	3	3
26.0 Supplies and materials	1
31.0 Equipment	3
32.0 Land and structures	37	24	24
99.9 Total new obligations	47	34	33

Personnel Summary

Identification code 20-0108-0-1-803	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	8	10	10

EXPANDED ACCESS TO FINANCIAL SERVICES

(INCLUDING TRANSFER OF FUNDS)

To develop and implement programs to expand access to financial services for low- and moderate-income individuals, \$2,000,000, such funds to become available upon authorization of this program as provided by law and to remain available until expended: *Provided*, That of these funds, such sums as may be necessary may be transferred to accounts of the Department's offices, bureaus, and other organizations: *Provided further*, That this transfer authority shall be in addition to any other transfer authority provided in this Act. (*Treasury Department Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 20-0121-0-1-808	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Expanded access to financial services	12	2
10.00 Total new obligations (object class 25.2)	12	2
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	10
22.00 New budget authority (gross)	10	2	2
23.90 Total budgetary resources available for obligation	10	12	2
23.95 Total new obligations	-12	-2
24.40 Unobligated balance carried forward, end of year	10
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	10	2	2
Change in obligated balances:			
72.40 Obligated balance, start of year	3
73.10 Total new obligations	12	2
73.20 Total outlays (gross)	-11	-3
74.40 Obligated balance, end of year	3
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1	1
86.93 Outlays from discretionary balances	10	1
87.00 Total outlays (gross)	11	3
Net budget authority and outlays:			
89.00 Budget authority	10	2	2
90.00 Outlays	11	3

EXPANDED ACCESS TO FINANCIAL SERVICES—Continued
(INCLUDING TRANSFER OF FUNDS)—Continued

The Budget continues funding to develop and implement a program to expand access to financial services to low- and moderate-income individuals who do not currently utilize bank accounts or other financial service opportunities. The Treasury Department will develop and assist in funding private sector provision of low-cost electronic accounts and access to ATMs as a way of encouraging greater efficiency and access to the financial service system; conduct research on the financial services needs of low- and moderate-income persons; and assist in funding financial education for low- and moderate-income individuals.

COUNTERTERRORISM FUND

For necessary expenses, as determined by the Secretary, \$40,000,000, to remain available until expended, to reimburse any Department of the Treasury organization for the costs of providing support to counter, investigate, or prosecute unexpected threats or acts of terrorism, including payment of rewards in connection with these activities: *Provided, That [use of such funds shall be subject to prior notification of the Committees on Appropriations in accordance with guidelines for reprogramming and transfer of funds] any Federal agency may be reimbursed for costs of responding to the United States Secret Service's request to provide security at National Special Security Events: Provided further, That any amount provided under this heading shall be available only after notice of its proposed use has been transmitted to the Congress and such amount has been apportioned pursuant to 31 U.S.C. 1513. (Treasury Department Appropriations Act, 2002.)*

Program and Financing (in millions of dollars)

Identification code 20-0117-0-1-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Counterterrorism-related activities	17	67	40
10.00 Total new obligations (object class 25.2)	17	67	40
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		38	11
22.00 New budget authority (gross)	55	40	40
23.90 Total budgetary resources available for obligation	55	78	51
23.95 Total new obligations	-17	-67	-40
24.40 Unobligated balance carried forward, end of year	38	11	11
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	55	40	40
Change in obligated balances:			
72.40 Obligated balance, start of year	1	10	10
73.10 Total new obligations	17	67	40
73.20 Total outlays (gross)	-8	-67	-40
74.40 Obligated balance, end of year	10	10	10
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	8	20	20
86.93 Outlays from discretionary balances		47	20
87.00 Total outlays (gross)	8	67	40
Net budget authority and outlays:			
89.00 Budget authority	55	40	40
90.00 Outlays	8	67	40

The budget includes \$40 million to cover unbudgeted critical costs associated with: (1) providing support to counter, investigate, or prosecute domestic or international terrorism, including payment of rewards in connection with these activities; and (2) re-establishing the operational capability of an office, facility or other property damaged or destroyed as a result of any domestic or international terrorist incident. Treasury bureaus have important counterterrorism respon-

sibilities including: protecting the President; designing and implementing security at National Special Security Events (NSSEs); investigating arson, explosives and firearms incidents; conducting financial investigations relating to terrorism; preventing weapons of mass destruction from entering our country; and implementing sanctions against terrorist organizations. Funds would be reimbursed to Treasury bureaus or departmental offices to compensate for costs incurred in areas such as travel, transportation, rentals and communications, print and graphics, other services, supplies, equipment, and unvouchered funds. Further, the Counterterrorism Fund can be used to reimburse any Federal agency for costs related to their participation over and above normal operations in the NSSE security plan at the direction of the Secret Service.

TREASURY FORFEITURE FUND

Unavailable Collections (in millions of dollars)

Identification code 20-5697-0-2-751	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.00 Forfeited cash and proceeds from the sale of forfeited property	254	203	203
02.40 Earnings on investments	16	18	18
02.99 Total receipts and collections	270	221	221
Appropriations:			
05.00 Department of the Treasury forfeiture fund	-270	-221	-221
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 20-5697-0-2-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Asset forfeiture fund	252	250	250
10.00 Total new obligations	252	250	250
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	63	90	71
22.00 New budget authority (gross)	270	221	221
22.10 Resources available from recoveries of prior year obligations	9	10	10
23.90 Total budgetary resources available for obligation	342	321	302
23.95 Total new obligations	-252	-250	-250
24.40 Unobligated balance carried forward, end of year	90	71	52
New budget authority (gross), detail:			
Mandatory:			
60.20 Appropriation (special fund)	270	221	221
Change in obligated balances:			
72.40 Obligated balance, start of year	229	190	181
73.10 Total new obligations	252	250	250
73.20 Total outlays (gross)	-282	-249	-249
73.45 Recoveries of prior year obligations	-9	-10	-10
74.40 Obligated balance, end of year	190	181	172
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	240	216	216
86.98 Outlays from mandatory balances	42	33	33
87.00 Total outlays (gross)	282	249	249
Net budget authority and outlays:			
89.00 Budget authority	270	221	221
90.00 Outlays	282	249	249
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value	280	280	280
92.02 Total investments, end of year: Federal securities: Par value	280	280	280

Public Law 102-393 authorized the establishment of the Treasury Forfeiture Fund. It is available to pay or reimburse

certain costs and expenses related to seizures and forfeitures that occur pursuant to the Treasury Department's law enforcement activities. The Coast Guard also participates in the program.

The Fund supports Treasury's Law Enforcement Mission and associated goals by providing funds to participating law enforcement bureaus. The following performance measurements are provided in compliance with the Government Performance and Results Act of 1993 (GPRA).

PERFORMANCE AND WORKLOAD MEASURES

	2001 actual	2002 est.	2003 est.
Percent of forfeited cash proceeds resulting from high-impact cases	79%	75%	75%

Object Classification (in millions of dollars)

Identification code 20-5697-0-2-751	2001 actual	2002 est.	2003 est.
25.2 Other services	158	165	165
41.0 Grants, subsidies, and contributions	94	85	85
99.9 Total new obligations	252	250	250

PRESIDENTIAL ELECTION CAMPAIGN FUND

Unavailable Collections (in millions of dollars)

Identification code 20-5081-0-2-808	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.00 Presidential election campaign fund	58	58	58
Appropriations:			
05.00 Presidential election campaign fund	-58	-58	-58
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 20-5081-0-2-808	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Matching funds in primaries	2		
00.02 Nominating conventions for parties			29
10.00 Total new obligations (object class 41.0)	2		29
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	16	72	130
22.00 New budget authority (gross)	58	58	58
23.90 Total budgetary resources available for obligation	74	130	188
23.95 Total new obligations	-2		-29
24.40 Unobligated balance carried forward, end of year	72	130	159

New budget authority (gross), detail:

Mandatory:			
60.20 Appropriation (special fund)	58	58	58

Change in obligated balances:

73.10 Total new obligations	2		29
73.20 Total outlays (gross)	-2		-29

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	2		
86.98 Outlays from mandatory balances			29
87.00 Total outlays (gross)	2		29

Net budget authority and outlays:

89.00 Budget authority	58	58	58
90.00 Outlays	2		29

Matching funds in primaries.—Upon certification by the Federal Election Commission, every candidate eligible to receive payments is entitled to an amount equal to the contributions each has received on or after the beginning of the calendar year immediately preceding the election year.

Nominating conventions of parties.—Upon certification by the Commission, payments may be made to the national com-

mittee of a major party or a minor party which elects to receive its entitlement. The total of such payments will be limited to the amount in the account at the time of payment. The national committee of each party may receive payments beginning on July 1 of the year immediately preceding the calendar year in which a presidential nominating convention of the political party is held. The two major parties will receive \$4 million each, plus a cost-of-living increase.

Candidates for general elections.—The eligible candidates of each major party in a presidential election will be entitled to equal payments in an amount which, in the aggregate, shall not exceed \$20 million each, plus a cost-of-living increase.

Also, provision is made for new parties, minor parties and candidates, who may receive in excess of 5 percent of the popular vote and therefore be entitled to reimbursement of qualified campaign expenditures.

UNITED STATES COMMUNITY ADJUSTMENT AND INVESTMENT PROGRAM

Program and Financing (in millions of dollars)

Identification code 20-0118-0-1-451	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)	7		
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	8		
22.21 Unobligated balance transferred to other accounts	-1		
23.90 Total budgetary resources available for obligation	7		
23.95 Total new obligations	-7		
Change in obligated balances:			
73.10 Total new obligations	7		
73.20 Total outlays (gross)	-8		
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	8		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	7		

This program provided credit to both new and existing businesses within communities that suffered job losses as a result of changing trade patterns with Canada and Mexico associated with NAFTA. The funding was used to administer provision of technical assistance, grants, loans, loan guarantees, and other financial subsidies endorsed by the inter-agency finance committee established by section 7 of Executive Order 12916.

SALLIE MAE ASSESSMENTS

Unavailable Collections (in millions of dollars)

Identification code 20-5407-0-2-808	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.00 Sallie Mae assessments		1	1
Appropriations:			
05.00 Sallie Mae assessments		-1	-1
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 20-5407-0-2-808	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 99.5)		1	1

SALLIE MAE ASSESSMENTS—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 20-5407-0-2-808	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		1	1
23.95 Total new obligations		-1	-1
New budget authority (gross), detail:			
Discretionary:			
40.20 Appropriation (special fund)		1	1
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	1
73.10 Total new obligations		1	1
73.20 Total outlays (gross)		-1	-2
74.40 Obligated balance, end of year	1	1	
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		1	1
86.93 Outlays from discretionary balances			1
87.00 Total outlays (gross)		1	2
Net budget authority and outlays:			
89.00 Budget authority		1	1
90.00 Outlays		1	2

The Secretary of the Treasury is authorized by the Higher Education Act of 1965, as amended to collect from the Student Loan Marketing Association an annual assessment of up to \$800,000, adjusted by the Consumer Price Index, to cover the expenses relating to providing financial oversight of the Association.

Personnel Summary

Identification code 20-5407-0-2-808	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	2	4	4

Public enterprise funds:

EXCHANGE STABILIZATION FUND

Program and Financing (in millions of dollars)

Identification code 20-4444-0-3-155	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
Unobligated balance carried forward, start of year:			
21.40 Unobligated balance carried forward, start of year (Special drawing rights)	10,676	10,919	12,147
21.40 Unobligated balance carried forward, start of year (Fund balance)	654	2,702	779
21.40 Unobligated balance carried forward, start of year (US Securities)	11,310	10,014	11,759
21.99 Total unobligated balance carried forward, start of year	22,640	23,635	24,685
22.00 New budget authority (gross)	995	1,050	1,185
23.90 Total budgetary resources available for obligation	23,635	24,685	25,870
Unobligated balance carried forward, end of year:			
24.40 Unobligated balance carried forward, end of year (Special drawing rights)	10,919	12,147	12,729
24.40 Unobligated balance carried forward, end of year (Fund Balance)	2,702	779	818
24.40 Unobligated balance carried forward, end of year (US Securities)	10,014	11,759	12,323
24.99 Total unobligated balance carried forward, end of year	23,635	24,685	25,870
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	995	1,050	1,185
Change in obligated balances:			
72.40 Obligated balance, start of year	13,924	13,924	13,924

74.40 Obligated balance, end of year	13,924	13,924	13,924
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on Federal securities	-494	-507	-573
88.40 Interest on foreign investments	-501	-543	-612
88.90 Total, offsetting collections (cash)	-995	-1,050	-1,185
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-995	-1,050	-1,185
Memorandum (non-add) entries:			
Total investments, start of year: Federal securities:			
Par value:			
92.01 Total investments, start of year: Federal securities:			
Par value	11,031	10,014	
92.01 Total investments, start of year: Federal securities:			
Par value			10,031
Total investments, end of year: Federal securities:			
Par value:			
92.02 Total investments, end of year: Federal securities:			
Par value	10,014		
92.02 Total investments, end of year: Federal securities:			
Par value		10,031	10,031

The Secretary of the Treasury is authorized to deal in gold and foreign exchange and other instruments of credit and securities as deemed necessary, consistent with U.S. obligations in the International Monetary Fund (IMF), regarding orderly exchange arrangements and a stable system of exchange rates. An Exchange Stabilization Fund, with a capital of \$200 million, is authorized by law for this purpose (31 U.S.C. 5302). All earnings and interest accruing to this fund are available for the purposes thereof. Transactions in special drawing rights (SDR's) and U.S. holdings of SDR's are administered by the fund. U.S. drawings from the IMF are also advanced to the fund.

The principal sources of the fund's income have been profits on foreign exchange transactions and earnings on investments held by the fund, including interest earned on fund holdings of U.S. Government securities.

The amounts reflected in the 2002 and 2003 estimates entail only projected net interest earnings on Exchange Stabilization Fund (ESF) assets. The estimates are subject to considerable variance, depending on changes in the amount and composition of assets and the interest rates applied to investments. In addition, exchange rate fluctuations can cause the dollar value of income received on foreign currency and SDR investments to fluctuate. Moreover, estimates make no attempt to forecast valuation gains or losses on SDR holdings or realized gains or losses on foreign currency holdings. As required by Public Law 95-612, the fund is not used to meet the administrative expenses.

Statement of Operations (in millions of dollars)

Identification code 20-4444-0-3-155	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	1,312	342	359	377
0102 Expense				
0105 Net income or loss (-)	1,312	342	359	377

Balance Sheet (in millions of dollars)

Identification code 20-4444-0-3-155	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
Investments in US securities:				
1102 Treasury securities, par	11,029	10,014	10,130	10,307
1106 Receivables, net	2	2	2	2
Non-Federal assets:				
1201 Foreign Currency Investments	15,639	15,294	17,271	17,471
1206 Receivables, net		65		
1801 Other Federal assets: Cash and other monetary assets	10,397	10,979	10,397	10,397

1999	Total assets	37,067	36,354	37,800	38,177
LIABILITIES:					
2207	Non-Federal liabilities: Other	9,747	8,660	9,747	9,747
2999	Total liabilities	9,747	8,660	9,747	9,747
NET POSITION:					
3100	Appropriated capital	200	200	200	200
3300	Cumulative results of operations	27,120	27,494	27,853	28,230
3999	Total net position	27,320	27,694	28,053	28,430
4999	Total liabilities and net position	37,067	36,354	37,800	38,177

Intragovernmental funds:

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 20-4501-0-4-803	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
09.10	Working capital fund	299	274	281
09.11	Administrative overhead	9	10	10
09.12	Reimbursable program	2	2	2
09.13	Accrued Federal employee pensions and annuitant health benefits			
10.00	Total new obligations	310	286	293
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	35	30	30
22.00	New budget authority (gross)	272	286	293
22.10	Resources available from recoveries of prior year obligations	33		
23.90	Total budgetary resources available for obligation	340	316	323
23.95	Total new obligations	-310	-286	-293
24.40	Unobligated balance carried forward, end of year	30	30	30
New budget authority (gross), detail:				
Mandatory:				
69.00	Offsetting collections (cash)	268	286	293
69.10	Change in uncollected customer payments from Federal sources (unexpired)	4		
69.90	Spending authority from offsetting collections (total mandatory)	272	286	293
Change in obligated balances:				
72.40	Obligated balance, start of year	179	159	159
73.10	Total new obligations	310	286	293
73.20	Total outlays (gross)	-293	-286	-293
73.45	Recoveries of prior year obligations	-33		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-4		
74.40	Obligated balance, end of year	159	159	159
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	114	286	293
86.98	Outlays from mandatory balances	179		
87.00	Total outlays (gross)	293	286	293
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-268	-286	-293
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-4		
Net budget authority and outlays:				
89.00	Budget authority			
90.00	Outlays	24		

Central services in the Department of the Treasury working capital fund include: telecommunications, printing, reproduction, computer support/usage, personnel/payroll, automated financial management systems, training, centralized short-term management assistance, procurement information, information technology services, public education, an environmental health and safety program, and printing procurement services. These services are provided on a reimbursable basis

at rates which will recover the fund's operating expenses, including accrual of annual leave and depreciation of equipment.

Object Classification (in millions of dollars)

Identification code 20-4501-0-4-803	2001 actual	2002 est.	2003 est.	
11.1	Personnel compensation: Full-time permanent	21	25	26
12.1	Civilian personnel benefits	7	8	8
21.0	Travel and transportation of persons	1	1	1
23.1	Rental payments to GSA	2	1	1
23.3	Communications, utilities, and miscellaneous charges	10	11	12
25.1	Advisory and assistance services	12	12	12
25.2	Other services	191	150	155
25.3	Other purchases of goods and services from Government accounts	49	52	52
26.0	Supplies and materials	1	2	2
31.0	Equipment	16	24	24
99.9	Total new obligations	310	286	293

Personnel Summary

Identification code 20-4501-0-4-803	2001 actual	2002 est.	2003 est.	
2001	Total compensable workyears: Full-time equivalent employment	282	334	338

TREASURY FRANCHISE FUND

Program and Financing (in millions of dollars)

Identification code 20-4560-0-4-803	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
10.00	Total new obligations	232	301	311
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	72	104	83
22.00	New budget authority (gross)	248	276	304
22.10	Resources available from recoveries of prior year obligations	10	4	4
22.22	Unobligated balance transferred from other accounts	6		
23.90	Total budgetary resources available for obligation	336	384	391
23.95	Total new obligations	-232	-301	-311
24.40	Unobligated balance carried forward, end of year	104	83	80
New budget authority (gross), detail:				
Spending authority from offsetting collections:				
Discretionary:				
68.00	Offsetting collections (cash)	222	296	310
68.10	Change in uncollected customer payments from Federal sources (unexpired)	26	-20	-6
68.90	Spending authority from offsetting collections (total discretionary)	248	276	304
Change in obligated balances:				
72.40	Obligated balance, start of year	-31	-49	-23
73.10	Total new obligations	232	301	311
73.20	Total outlays (gross)	-215	-291	-305
73.45	Recoveries of prior year obligations	-10	-4	-4
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-26	20	6
74.40	Obligated balance, end of year	-49	-23	-15
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	174	240	265
86.93	Outlays from discretionary balances	41	51	40
87.00	Total outlays (gross)	215	291	305
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-222	-296	-310
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-26	20	6
Net budget authority and outlays:				
89.00	Budget authority			

Intragovernmental funds—Continued

TREASURY FRANCHISE FUND—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 20-4560-0-4-803	2001 actual	2002 est.	2003 est.
90.00 Outlays	- 8	- 5	- 5

Department of the Treasury was chosen as a pilot Franchise Fund under P.L. 103-356, the Government Management and Reform Act of 1994. Begun in 1997, financial and administrative services included in the Franchise Fund (Fund) are financed on a fee-for-service basis. Treasury's Fund is a revolving fund used to supply financial and administrative services on the basis of services supplied. For 2003, service activities are expected to have spending authority of \$303 million and employ 497 people.

Activities included in the Fund are financial training, accounting cross-servicing, and various administrative support services. The Fund concept is intended to increase competition for government and financial administrative services, resulting in lower costs and higher quality.

Object Classification (in millions of dollars)

Identification code 20-4560-0-4-803	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	24	28	30
12.1 Civilian personnel benefits	7	9	10
21.0 Travel and transportation of persons	1	1	1
23.3 Communications, utilities, and miscellaneous charges	1	3	3
24.0 Printing and reproduction	3	4	4
25.2 Other services	193	250	256
26.0 Supplies and materials		1	1
31.0 Equipment	3	5	6
99.9 Total new obligations	232	301	311

Personnel Summary

Identification code 20-4560-0-4-803	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	437	498	498

Credit accounts:

AIR TRANSPORTATION STABILIZATION PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 20-0122-0-1-402	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Loan guarantee subsidy		1,426	1,463
00.09 Administrative expenses		9	6
10.00 Total new obligations		1,435	1,469
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		1,426	1,469
22.22 Unobligated balance transferred from other accounts		9	
23.90 Total budgetary resources available for obligation		1,435	1,469
23.95 Total new obligations		- 1,435	- 1,469
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation			6
Mandatory:			
60.00 Appropriation		1,426	1,463
70.00 Total new budget authority (gross)		1,426	1,469
Change in obligated balances:			
73.10 Total new obligations		1,435	1,469
73.20 Total outlays (gross)		- 1,434	- 1,470

Outlays (gross), detail:

86.90 Outlays from new discretionary authority			5
86.93 Outlays from discretionary balances		8	1
86.97 Outlays from new mandatory authority	1,426		1,463
87.00 Total outlays (gross)	1,434		1,470

Net budget authority and outlays:

89.00 Budget authority	1,426		1,469
90.00 Outlays	1,434		1,470

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 20-0122-0-1-402	2001 actual	2002 est.	2003 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Airline loan guarantees		5,000	5,000
215901 Total loan guarantee levels		5,000	5,000
Guaranteed loan subsidy (in percent):			
232001 Airline loan guarantees		28.52	29.26
232901 Weighted average subsidy rate		28.52	29.26
Guaranteed loan subsidy budget authority:			
233001 Airline loan guarantees		1,426	1,463
233901 Total subsidy budget authority		1,426	1,463
Guaranteed loan subsidy outlays:			
234001 Airline loan guarantees		1,426	1,463
234901 Total subsidy outlays		1,426	1,463
Administrative expense data:			
351001 Budget authority			6
359001 Outlays from new authority		8	1

On September 22, 2001, President Bush signed into law the Air Transportation Safety and System Stabilization Act, P.L. 107-42. The Act establishes the Air Transportation Stabilization Board. The Board may issue up to \$10 billion in loan guarantees.

Object Classification (in millions of dollars)

Identification code 20-0122-0-1-402	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent		1	1
25.2 Other services		8	4
41.0 Grants, subsidies, and contributions		1,426	1,463
99.0 Direct obligations		1,435	1,468
99.5 Below reporting threshold			1
99.9 Total new obligations		1,435	1,469

Personnel Summary

Identification code 20-0122-0-1-402	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment		7	12

AIR TRANSPORTATION STABILIZATION GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 20-4286-0-3-402	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Claim payments		577	957
10.00 Total new obligations		577	957
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year			871
22.00 New financing authority (gross)		1,448	1,638
23.90 Total budgetary resources available for obligation		1,448	2,509
23.95 Total new obligations		- 577	- 957

24.40	Unobligated balance carried forward, end of year	871	1,552
New financing authority (gross), detail:			
Mandatory:			
69.00	Offsetting collections (cash)	1,448	1,638
Change in obligated balances:			
73.10	Total new obligations	577	957
73.20	Total financing disbursements (gross)	-577	-957
87.00	Total financing disbursements (gross)	577	957
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00	Federal sources	-1,426	-1,463
88.25	Interest on uninvested funds	-22	-60
88.40	Non-Federal sources		-115
88.90	Total, offsetting collections (cash)	-1,448	-1,638
Net financing authority and financing disbursements:			
89.00	Financing authority		
90.00	Financing disbursements	-871	-681

Status of Guaranteed Loans (in millions of dollars)

Identification code 20-4286-0-3-402	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on commitments:			
2111	Limitation on guaranteed loans made by private lenders	10,000	5,000
2121	Limitation available from carry-forward		5,000
2143	Uncommitted limitation carried forward	-5,000	
2150	Total guaranteed loan commitments	5,000	5,000
2199	Guaranteed amount of guaranteed loan commitments	4,750	4,750
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year		3,910
2231	Disbursements of new guaranteed loans	5,000	5,000
2251	Repayments and prepayments	-482	-948
2261	Adjustments: Terminations for default that result in loans receivable	-608	-1,006
2290	Outstanding, end of year	3,910	6,956
Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year	3,714	6,608
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310	Outstanding, start of year		577
2331	Disbursements for guaranteed loan claims	577	957
2351	Repayments of loans receivable		-115
2361	Write-offs of loans receivable		
2390	Outstanding, end of year	577	1,419

The estimates above represent an average of possible credit ratings and do not make assumptions about particular airlines.

As required by the Federal Credit Reform Act of 1990, as amended, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 20-4286-0-3-402	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101	Federal assets: Fund balances with Treasury		871	1,553
	Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:			
1501	Defaulted guaranteed loans receivable, gross		577	1,418
1505	Allowance for subsidy cost (-)		-231	-661

1599	Net present value of assets related to defaulted guaranteed loans	346	757
1999	Total assets	1,217	2,310
LIABILITIES:			
2204	Non-Federal liabilities: Liabilities for loan guarantees	1,217	2,310
2999	Total liabilities	1,217	2,310
4999	Total liabilities and net position	1,217	2,310

**COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS
FUND PROGRAM ACCOUNT**

To carry out the Community Development Banking and Financial Institutions Act of 1994, including services authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for ES-3, [\$80,000,000] \$68,255,000, to remain available until September 30, [2003] 2004, of which \$5,000,000 shall be for technical assistance and training programs designed to benefit Native American, Native Hawaiian, and Alaskan Native communities, and up to [\$9,500,000] \$9,850,000 may be used for administrative expenses, including administration of the New Markets Tax Credit, up to \$6,000,000 may be used for the cost of direct loans, and up to \$1,000,000 may be used for administrative expenses to carry out the direct loan program: *Provided*, That the cost of direct loans, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974, as amended: *Provided further*, That these funds are available to subsidize gross obligations for the principal amount of direct loans not to exceed [\$51,800,000] \$11,000,000. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 20-1881-0-1-451	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01	Direct loan subsidy	5	4
00.05	Restimate of direct loan subsidy		1
00.10	General administrative expenses	9	11
00.11	Bank enterprise awards program	47	23
00.12	Financial assistance to Community Development Financial Institutions (other than direct loans)	47	29
00.13	Training and technical assistance	5	13
10.00	Total new obligations	113	81
Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year		4
22.00	New budget authority (gross)	118	81
23.90	Total budgetary resources available for obligation	118	85
23.95	Total new obligations	-113	-81
23.98	Unobligated balance expiring or withdrawn	-1	
24.40	Unobligated balance carried forward, end of year	4	4

New budget authority (gross), detail:

Discretionary:			
40.00	Appropriation	118	80
Mandatory:			
60.00	Appropriation		1
70.00	Total new budget authority (gross)	118	81

Change in obligated balances:

72.40	Obligated balance, start of year	170	173
73.10	Total new obligations	113	81
73.20	Total outlays (gross)	-107	-115
73.40	Adjustments in expired accounts (net)	-3	
74.40	Obligated balance, end of year	173	138

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	11	11
86.93	Outlays from discretionary balances	96	104
87.00	Total outlays (gross)	107	115

Net budget authority and outlays:

89.00	Budget authority	118	81
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Credit accounts—ContinuedCOMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS—Continued
FUND PROGRAM ACCOUNT—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 20-1881-0-1-451	2001 actual	2002 est.	2003 est.
90.00 Outlays	107	115	108
Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)			
Identification code 20-1881-0-1-451	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Community Development Financial Institutions Direct Loan	12	11	11
115901 Total direct loan levels	12	11	11
Direct loan subsidy (in percent):			
132001 Community Development Financial Institutions Direct Loan	41.67	36.36	36.94
132901 Weighted average subsidy rate	41.67	36.36	36.94
Direct loan subsidy budget authority:			
133001 Community Development Financial Institutions Direct Loan	5	4	4
133901 Total subsidy budget authority	5	4	4
Direct loan subsidy outlays:			
134001 Community Development Financial Institutions Direct Loan	4	3	4
134901 Total subsidy outlays	4	3	4
Direct loan upward reestimate subsidy budget authority:			
135001 Community Development Financial Institutions Direct Loan		1	
135901 Total upward reestimate budget authority		1	
Direct loan upward reestimate subsidy outlays:			
136001 Community Development Financial Institutions Direct Loan		1	
136901 Total upward reestimate outlays		1	
Administrative expense data:			
351001 Budget authority	1	1	1
358001 Outlays from balances	1	1	1

The Riegle Community Development and Regulatory Improvement Act of 1994 established the Community Development Financial Institutions (CDFI) Fund. The CDFI Fund provides equity investments, grants, loans, and technical assistance to new and existing community development financial institutions (CDFIs) such as community development banks, community development credit unions, community development loan and venture capital funds, and microenterprise loan funds. Funds provided by the CDFI Fund will enhance the capacity of these institutions to finance economic development, including small businesses, community facilities, housing, and other community development initiatives in distressed urban, rural, Native American, Native Hawaiian, and Alaska Native communities. The CDFI Fund also provides grants to insured depository institutions to facilitate investment in CDFIs and increase community lending activities. In addition, the CDFI Fund administers the New Markets Tax Credit Program by providing allocations of tax credits to Community Development Entities (CDEs) which in turn provide the tax credits to entities which invest in the CDEs. The Fund is seeking reauthorization of its activities under the Community Development Banking and Financial Institutions Act.

The CDFI Fund helps to address the urgent problems of declining economic and social infrastructure, loss of jobs, lack of private enterprise, and deteriorating housing facing many American communities today. Government investment and technical assistance supplements private funds and expertise

to ensure that CDFIs are effective in restoring and creating healthy economies.

PERFORMANCE MEASURES

	2001 actual	2002 est.	2003 est.
Number of CDFIs selected to receive financial assistance (includes Core, and SECA)	92	70	70
Number of organizations that receive technical assistance	84	64	64
Number of BEA awardees that provide financial and technical assistance to CDFIs or distressed communities	139	63	63

Object Classification (in millions of dollars)

Identification code 20-1881-0-1-451	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	4	4	4
12.1 Civilian personnel benefits	1	1	1
23.1 Rental payments to GSA	1	1	1
25.2 Other services	3	5	5
41.0 Grants, subsidies, and contributions	104	70	61
99.9 Total new obligations	113	81	72

Personnel Summary

Identification code 20-1881-0-1-451	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	53	64	68

COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS FUND DIRECT
LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 20-4088-0-3-451	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct loans	12	11	11
10.00 Total new obligations	12	11	11
Budgetary resources available for obligation:			
22.00 New financing authority (gross)	13	12	12
22.70 Balance of authority to borrow withdrawn	-1		
23.90 Total budgetary resources available for obligation	12	12	12
23.95 Total new obligations	-12	-11	-11
New financing authority (gross), detail:			
Discretionary:			
47.00 Authority to borrow	8	7	7
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	4	5	5
68.10 Change in uncollected customer payments from Federal sources (unexpired)	1		
68.90 Spending authority from offsetting collections (total discretionary)	5	5	5
70.00 Total new financing authority (gross)	13	12	12
Change in obligated balances:			
72.40 Obligated balance, start of year	16	18	19
73.10 Total new obligations	12	11	11
73.20 Total financing disbursements (gross)	-9	-10	-10
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-1		
74.40 Obligated balance, end of year	18	19	20
87.00 Total financing disbursements (gross)	9	10	10
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Federal sources	-4	-4	-4
88.40 Non-Federal sources—Principal		-1	-1
88.90 Total, offsetting collections (cash)	-4	-5	-5
Against gross financing authority only:			
88.95 Change in receivables from program accounts	-1		
Net financing authority and financing disbursements:			
89.00 Financing authority	8	7	7

90.00	Financing disbursements	5	5	5
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As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Status of Direct Loans (in millions of dollars)

Identification code 20-4088-0-3-451	2001 actual	2002 est.	2003 est.	
Position with respect to appropriations act limitation on obligations:				
1111	Limitation on direct loans	12	11	11
1150	Total direct loan obligations	12	11	11
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	15	24	33
1231	Disbursements: Direct loan disbursements	9	10	10
1251	Repayments: Repayments and prepayments		-1	-1
1290	Outstanding, end of year	24	33	42

Balance Sheet (in millions of dollars)

Identification code 20-4088-0-3-451	2000 actual	2001 actual	2002 est.	2003 est.	
ASSETS:					
Investments in US securities:					
1106	Federal assets: Receivables, net	6	3	4	5
Net value of assets related to post-1991 direct loans receivable:					
1401	Direct loans receivable, gross	15	24	33	42
1405	Allowance for subsidy cost (-)	-6	-9	-13	-17
1499	Net present value of assets related to direct loans	9	15	20	25
1999	Total assets	15	18	24	30
LIABILITIES:					
2103	Federal liabilities: Debt	15	18	24	30
2999	Total liabilities	15	18	24	30
NET POSITION:					
3999	Total net position				
4999	Total liabilities and net position	15	18	24	30

Trust Funds

VIOLENT CRIME REDUCTION PROGRAMS

Program and Financing (in millions of dollars)

Identification code 20-8526-0-1-751	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Direct program:				
00.01	Departmental Offices	1	1	
00.03	Federal Law Enforcement Training Center	6	1	
00.04	Bureau of Alcohol, Tobacco and Firearms	6	6	
00.05	Customs Service	35	37	
00.06	Secret Service	5	1	
10.00	Total new obligations	53	46	
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	86	46	
22.10	Resources available from recoveries of prior year obligations	13		
23.90	Total budgetary resources available for obligation	99	46	
23.95	Total new obligations	-53	-46	
24.40	Unobligated balance carried forward, end of year	46		

New budget authority (gross), detail:

Spending authority from offsetting collections:			
Discretionary:			
68.00	Offsetting collections (cash)	1	
68.10	Change in uncollected customer payments from Federal sources (unexpired)	-1	
68.90	Spending authority from offsetting collections (total discretionary)		

Change in obligated balances:

72.40	Obligated balance, start of year	97	81	62
73.10	Total new obligations	53	46	
73.20	Total outlays (gross)	-57	-65	-62
73.45	Recoveries of prior year obligations	-13		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	1		
74.40	Obligated balance, end of year	81	62	

Outlays (gross), detail:

86.93	Outlays from discretionary balances	57	65	62
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Offsets:

Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-1		
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	1		

Net budget authority and outlays:

89.00	Budget authority			
90.00	Outlays	56	65	62

Amounts for the Department of the Treasury's portion of Crime Control Programs are derived from transfers from the Violent Crime Reduction Trust Fund (VCRTF) as authorized by the Crime Control and Law Enforcement Act of 1994. The VCRTF was authorized through 2000. Spending of prior-year appropriations continues.

Object Classification (in millions of dollars)

Identification code 20-8526-0-1-751	2001 actual	2002 est.	2003 est.	
12.1	Civilian personnel benefits	1		
21.0	Travel and transportation of persons	1		
23.2	Rental payments to others	1		
25.2	Other services	13	15	
25.3	Other purchases of goods and services from Government accounts	5	5	
26.0	Supplies and materials	1	1	
31.0	Equipment	24	25	
32.0	Land and structures	6		
41.0	Grants, subsidies, and contributions	1		
99.9	Total new obligations	53	46	

FINANCIAL CRIMES ENFORCEMENT NETWORK

SALARIES AND EXPENSES

For necessary expenses of the Financial Crimes Enforcement Network, including hire of passenger motor vehicles; travel expenses of non-Federal law enforcement personnel to attend meetings concerned with financial intelligence activities, law enforcement, and financial regulation; not to exceed \$14,000 for official reception and representation expenses; and for assistance to Federal law enforcement agencies, with or without reimbursement, **[\$45,837,000] \$52,289,000**, of which not to exceed \$3,400,000 shall remain available until September 30, **[2004] 2005**; and of which **[\$7,790,000] \$8,338,000** shall remain available until September 30, **[2003] 2004**: *Provided*, That funds appropriated in this account may be used to procure personal services contracts. (*Treasury Department Appropriations Act, 2002; additional authorizing legislation required.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Salaries and Expenses", \$1,700,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (Emergency Supplemental Act, 2002.)

FINANCIAL CRIMES ENFORCEMENT NETWORK—Continued

SALARIES AND EXPENSES—Continued

Program and Financing (in millions of dollars)

Identification code 20-0173-0-1-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct Program:			
00.01 Investigative analysis, regulatory, and international activities	34	40	45
00.02 Money services business regulatory support program	1	6	8
09.01 Reimbursable program	1	6	1
10.00 Total new obligations	36	52	54
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	4	5
22.00 New budget authority (gross)	40	55	54
23.90 Total budgetary resources available for obligation	41	59	59
23.95 Total new obligations	-36	-52	-54
24.40 Unobligated balance carried forward, end of year	4	5	5
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	39	47	53
40.15 Appropriation (terrorist response)		2	
43.00 Appropriation (total discretionary)	39	49	53
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1	6	1
70.00 Total new budget authority (gross)	40	55	54
Change in obligated balances:			
72.40 Obligated balance, start of year	3	8	9
73.10 Total new obligations	36	52	54
73.20 Total outlays (gross)	-33	-51	-53
74.10 Change in uncollected customer payments from Federal sources (expired)	2		
74.40 Obligated balance, end of year	8	9	10
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	30	45	43
86.93 Outlays from discretionary balances	3	8	10
87.00 Total outlays (gross)	33	51	53
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-1	-6	-1
Net budget authority and outlays:			
89.00 Budget authority	39	49	53
90.00 Outlays	30	45	52

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	38	48	51
90.00 Outlays	29	44	50

FinCEN, created in 1990 and elevated to bureau status in 2001, supports law enforcement investigations to prevent and detect money laundering and other financial crimes. FinCEN's network links law enforcement, financial, and regulatory communities into a single information-sharing network. Using Bank Secrecy Act (BSA) information reported by banks and other financial institutions, FinCEN serves as the nation's central clearinghouse for broad-based financial intelligence and information sharing on money laundering. This information helps illuminate the financial trail for investigators to follow as they track criminals and their assets.

Investigative Analysis, Regulatory, and International Activities.—Through our investigative analysis efforts, FinCEN pro-

vides support for the investigation and prosecution of law enforcement cases at the Federal, state, local and international levels, using financial data collected under the BSA, as well as other commercial and law enforcement information. FinCEN serves as a catalyst for research, analysis, and dissemination of information on money laundering methods and trends through joint case analysis with law enforcement, integration of all source information and the application of state-of-art data processing techniques. In the regulatory area, FinCEN establishes policies to administer the BSA effectively while balancing the associated burden imposed on the regulated financial institutions. Internationally, FinCEN maintains in-depth, country-specific expertise concerning money laundering and other financial crimes around the world to assist decision makers in developing and promoting U.S. government anti-money laundering policies. FinCEN also uses this expertise to promote the development of Financial Intelligence Units (FIUs) in other countries, and to facilitate investigative exchanges with them.

Money Services Business (MSB) Regulatory Program.—This program supports new requirements to strengthen anti-money laundering controls within the money services business industry. The term 'MSB' is used to define over 200,000 entities that act as money transmitters, issuers, redeemers and sellers of money orders and travelers checks, check cashers and currency exchanges. This largely unregulated industry is required to register with the Department of the Treasury by June 30, 2002. Treasury has also issued a final regulation that, for the first time, extends suspicious activity reporting requirements to the money transmitter, travelers check and money order segments of the industry in 2002. In order to properly implement these regulations, FinCEN has undertaken a major public outreach project that is designed to identify and educate members of the money service business industry concerning the requirements of these new regulations.

PERFORMANCE MEASURES

	2001 actual	2002 est.	2003 est.
Investigative Analysis:			
Number of subjects in completed investigative analytical reports	32,278	33,000	34,000
Number of investigative cases networked among law enforcement agencies [Estimated 2000 Baseline—2,500]	2,113	2,200	2,300
Regulatory:			
Average time to process a civil penalty case [calendar year 1997 Baseline—4.2 years]	1.8 years	1.8 years	1.7 years
International:			
Number of investigative information exchanges coordinated with foreign jurisdictions.	435	225	250

Object Classification (in millions of dollars)

Identification code 20-0173-0-1-751	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	12	16	19
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	13	17	20
12.1 Civilian personnel benefits	4	5	6
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	2	2	3
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	2	7	7
25.3 Other purchases of goods and services from Government accounts	5	6	8
25.4 Operation and maintenance of facilities	1	1	1
25.7 Operation and maintenance of equipment	4	4	4
31.0 Equipment	2	2	2
99.0 Direct obligations	35	46	53
99.0 Reimbursable obligations	1	6	1
99.9 Total new obligations	36	52	54

Personnel Summary

Identification code 20-0173-0-1-751	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	174	238	254
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	4	5	5

FEDERAL LAW ENFORCEMENT TRAINING CENTER

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Federal Law Enforcement Training Center, as a bureau of the Department of the Treasury, including materials and support costs of Federal law enforcement basic training; purchase (not to exceed 52 for police-type use, without regard to the general purchase price limitation) and hire of passenger motor vehicles; for expenses for student athletic and related activities; uniforms without regard to the general purchase price limitation for the current fiscal year; the conducting of and participating in firearms matches and presentation of awards; for public awareness and enhancing community support of law enforcement training; not to exceed \$11,500 for official reception and representation expenses; room and board costs for student interns; and services as authorized by 5 U.S.C. 3109, **[\$105,680,000] \$126,028,000**, of which \$650,000 shall be available for an interagency effort to establish written standards on accreditation of Federal law enforcement training; and of which up to **[\$18,892,000] \$24,266,000** for materials and support costs of Federal law enforcement basic training shall remain available until September 30, **[2004] 2005**, and of which up to 20 percent of the **[\$18,892,000] \$24,266,000** also shall be available for travel, room and board costs for participating agency basic training during the first quarter of a fiscal year, subject to full reimbursement by the benefitting agency: *Provided*, That the Center is authorized to accept and use gifts of property, both real and personal, and to accept services, for authorized purposes, including funding of a gift of intrinsic value which shall be awarded annually by the Director of the Center to the outstanding student who graduated from a basic training program at the Center during the previous fiscal year, which shall be funded only by gifts received through the Center's gift authority: *Provided further*, That the Center is authorized to accept detailees from other Federal agencies, on a non-reimbursable basis, to staff the accreditation function: *Provided further*, That notwithstanding any other provision of law, students attending training at any Federal Law Enforcement Training Center site shall reside in on-Center or Center-provided housing, insofar as available and in accordance with Center policy: *Provided further*, That funds appropriated in this account shall be available, at the discretion of the Director, for the following: training United States Postal Service law enforcement personnel and Postal police officers; State and local government law enforcement training on a space-available basis; training of foreign law enforcement officials on a space-available basis with reimbursement of actual costs to this appropriation, except that reimbursement may be waived by the Secretary for law enforcement training activities in foreign countries undertaken pursuant to section 801 of the Antiterrorism and Effective Death Penalty Act of 1996, Public Law 104-32; training of private sector security officials on a space-available basis with reimbursement of actual costs to this appropriation; and travel expenses of non-Federal personnel to attend course development meetings and training sponsored by the Center: *Provided further*, That the Center is authorized to obligate funds in anticipation of reimbursements from agencies receiving training sponsored by the Federal Law Enforcement Training Center, except that total obligations at the end of the fiscal year shall not exceed total budgetary resources available at the end of the fiscal year: *Provided further*, That the Federal Law Enforcement Training Center is authorized to provide training for the Gang Resistance Education and Training program to Federal and non-Federal personnel at any facility in partnership with the Bureau of Alcohol, Tobacco and Firearms: *Provided further*, That the Federal Law Enforcement Training Center is authorized to provide short-term medical services for stu-

dents undergoing training at the Center. *(Treasury Department Appropriations Act, 2002; additional authorizing legislation required.)*
[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Salaries and Expenses", \$23,000,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (Emergency Supplemental Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 20-0104-0-1-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Law enforcement training	77	100	97
00.02 Plant operations	27	29	29
09.01 Reimbursable program	39	46	35
10.00 Total new obligations	143	175	161
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	6	10
22.00 New budget authority (gross)	143	178	161
22.10 Resources available from recoveries of prior year obligations	3	1
23.90 Total budgetary resources available for obligation	149	185	171
23.95 Total new obligations	-143	-175	-161
24.40 Unobligated balance carried forward, end of year	6	10	10
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	103	109	126
40.15 Appropriation (terrorist response)	23
43.00 Appropriation (total discretionary)	103	132	126
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	34	46	35
68.10 Change in uncollected customer payments from Federal sources (unexpired)	6
68.90 Spending authority from offsetting collections (total discretionary)	40	46	35
70.00 Total new budget authority (gross)	143	178	161
Change in obligated balances:			
72.40 Obligated balance, start of year	20	28	14
73.10 Total new obligations	143	175	161
73.20 Total outlays (gross)	-125	-188	-166
73.40 Adjustments in expired accounts (net)	-6
73.45 Recoveries of prior year obligations	-3	-1
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-6
74.10 Change in uncollected customer payments from Federal sources (expired)	4
74.40 Obligated balance, end of year	28	14	9
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	109	160	144
86.93 Outlays from discretionary balances	16	28	22
87.00 Total outlays (gross)	125	188	166
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-34	-46	-35
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-6
Net budget authority and outlays:			
89.00 Budget authority	103	132	126
90.00 Outlays	91	142	131
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
Net budget authority and outlays:			
89.00 Budget authority	100	129	122
90.00 Outlays	88	139	127

General and special funds—Continued

SALARIES AND EXPENSES—Continued

The Federal Law Enforcement Training Center provides the necessary facilities, equipment, and support services for conducting recruit, advanced, specialized, and refresher training for Federal law enforcement personnel. Center personnel conduct the instructional programs for the basic recruit and some of the advanced training. This appropriation is for operating expenses of the Center, for research in law enforcement training methods, and curriculum content. In addition, the Center has a reimbursable program to accommodate the training requirements of various Federal agencies. As funds are available, law enforcement training is provided to certain State, local, and foreign law enforcement personnel on a space-available basis.

The 2003 Budget continues funding for the Center to work with other Federal law enforcement agencies to establish written standards for the accreditation of Federal law enforcement training.

PERFORMANCE MEASURES BY BUDGET ACTIVITY

Budget activity:	2001 actual	2002 est.	2003 est.
Law enforcement training:			
Achieve 90 percent rating on the student quality of training survey:			
Basic training	99.9%	N/A	N/A
Advanced training	100%	N/A	N/A
Variable unit cost per basic student-week of training funded	\$133	N/A	N/A
Percentage of students that express satisfactory or higher ratings on the Student Quality of Training Survey	99%	90%	90%
Percentage of Partner Organizations that express satisfactory or higher on the Partner Organization Survey	97.5%	85%	85%
Cost of a student-week of training	\$927	\$927	\$983
Percentage of employees that express satisfactory or higher on the Employee Satisfaction Survey	78%	70%	70%
Plant operations:			
Achieve 90 percent rating on the student quality of services survey	99.6%	N/A	N/A
Percentage of requested training classes held within 15 days of the requested start date	95%	85%	85%
Percentage of students that express satisfactory or higher on the Student Quality of Services Survey	99.6%	90%	90%

Object Classification (in millions of dollars)

Identification code 20-0104-0-1-751	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	34	45	47
11.5 Other personnel compensation	2	2	3
11.8 Special personal services payments	2	1	2
11.9 Total personnel compensation	38	48	52
12.1 Civilian personnel benefits	15	19	20
21.0 Travel and transportation of persons	3	4	4
22.0 Transportation of things	1	2	1
23.3 Communications, utilities, and miscellaneous charges	4	5	5
24.0 Printing and reproduction	1	2	1
25.2 Other services	23	29	25
26.0 Supplies and materials	6	12	10
31.0 Equipment	8	7	7
32.0 Land and structures	5	1	1
99.0 Direct obligations	104	129	126
99.0 Reimbursable obligations	39	46	35
99.9 Total new obligations	143	175	161

Personnel Summary

Identification code 20-0104-0-1-751	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	581	748	748
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	35	50	50

ACQUISITION, CONSTRUCTION, IMPROVEMENTS, AND RELATED EXPENSES

For expansion of the Federal Law Enforcement Training Center, for acquisition of necessary additional real property and facilities, and for ongoing maintenance, facility improvements, and related expenses, **[\$33,434,000] \$23,329,000**, to remain available until expended. (*Treasury Department Appropriations Act, 2002.*)

For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Acquisition, Construction, Improvements, and Related Expenses", \$8,500,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38. *Provided*, That, in order to expedite the acquisition of architectural and engineering services for the construction of facilities at the Cheltenham, Maryland, training facility, the Federal Law Enforcement Training Center may procure such services without regard to: (1) the competition requirements of section 303 of the Federal Property and Administrative Services Act of 1949 (41 U.S.C. 253); (2) the 6 percent fee limitation on such services set forth in section 304(b) of such Act (41 U.S.C. 254(b)); and (3) the procurement notice requirements of section 18 of the Office of Federal Procurement Policy Act (41 U.S.C. 416). (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 20-0105-0-1-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	38	71	28
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	16	41	12
22.00 New budget authority (gross)	54	41	23
22.10 Resources available from recoveries of prior year obligations	8		
23.90 Total budgetary resources available for obligation	78	82	35
23.95 Total new obligations	-38	-71	-28
24.40 Unobligated balance carried forward, end of year	41	12	7
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	54	33	23
40.15 Appropriation (terrorist response)		8	
43.00 Appropriation (total discretionary)	54	41	23
Change in obligated balances:			
72.40 Obligated balance, start of year	38	34	72
73.10 Total new obligations	38	71	28
73.20 Total outlays (gross)	-34	-33	-46
73.45 Recoveries of prior year obligations	-8		
74.40 Obligated balance, end of year	34	72	54
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		5	3
86.93 Outlays from discretionary balances	34	28	43
87.00 Total outlays (gross)	34	33	46
Net budget authority and outlays:			
89.00 Budget authority	54	41	23
90.00 Outlays	34	33	46

This account provides for the acquisition, construction, improvements, equipment, furnishings and related costs for expansion and maintenance of facilities of the Federal Law Enforcement Training Center.

This includes funding for the Facilities Master Plan, Minor Construction and Maintenance, Firearms Environmental Restoration and Reconstruction, Environmental Compliance, and installation of Fiber Optics. The Master Plan provides the long range blueprint for expansion of facilities to meet the training requirements of the over 74 partner organizations. Minor construction and maintenance provides alterations and maintenance funding for approximately 300 buildings at three locations (Glynco, Georgia, Cheltenham, Maryland and Artesia, New Mexico). The Firearms Environmental Restoration and Reconstruction funds the clean-up of the existing

outdoor ranges and reconstruction. The Environmental Compliance funds are to ensure compliance with EPA and State environmental laws and regulations. The fiber optics funding is to replace the existing antiquated twisted copper wire with a state-of-the-art telecommunications cable system.

The appropriations sought in this account demonstrate the President's commitment to an important step in completing and maintaining the necessary facilities at FLETC to train our Nation's law enforcement personnel.

Object Classification (in millions of dollars)				
Identification code 20-0105-0-1-751	2001 actual	2002 est.	2003 est.	
25.2 Other services	2	2	2	
31.0 Equipment		1	1	
32.0 Land and structures	34	68	25	
41.0 Grants, subsidies, and contributions	2			
99.9 Total new obligations	38	71	28	

INTERAGENCY LAW ENFORCEMENT

Federal Funds

General and special funds:

INTERAGENCY CRIME AND DRUG ENFORCEMENT

For expenses necessary to conduct investigations and convict offenders involved in organized crime drug trafficking, including cooperative efforts with State and local law enforcement, as it relates to the Treasury Department law enforcement violations such as money laundering, violent crime, and smuggling, **[\$107,576,000, of which \$7,827,000 shall remain available until expended] \$112,763,000.** (Treasury Department Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 20-1501-0-1-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Internal Revenue Service	63	66	66
00.02 Bureau of Alcohol, Tobacco and Firearms	11	11	11
00.03 United States Customs Service	29	30	30
00.04 Departmental Offices		1	1
00.05 Accrued Federal employee pensions and annuitant health benefits	5	5	5
10.00 Total new obligations (object class 25.3)	108	113	113
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	108	113	113
23.95 Total new obligations	-108	-113	-113
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	108	113	113
Change in obligated balances:			
72.40 Obligated balance, start of year	46	64	83
73.10 Total new obligations	108	113	113
73.20 Total outlays (gross)	-90	-92	-112
74.40 Obligated balance, end of year	64	83	83
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	44	48	48
86.93 Outlays from discretionary balances	46	45	64
87.00 Total outlays (gross)	90	92	112
Net budget authority and outlays:			
89.00 Budget authority	108	113	113
90.00 Outlays	90	92	112

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	103	108	108

90.00 Outlays	85	87	107
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In a 1982 counterdrug effort, the Department of Justice (DOJ) developed the Interagency Crime and Drug Enforcement Task Force (ICDE) program to bring together and integrate the efforts of all levels of law enforcement in the fight against drugs. The ICDE program designated nine domestic regions that deploy the investigative expertise from ten Federal agencies, and state and local law enforcement agencies to dismantle and disrupt major drug trafficking and money laundering organizations and place offenders in jail. Treasury agencies provide specific value-added investigative expertise to these major cases. The U.S. Customs Service provides specific expertise in international smuggling and interdiction; the Bureau of Alcohol, Tobacco and Firearms (ATF) provides expertise on firearms and explosives violence; and the Internal Revenue Service, Criminal Investigation (IRS-CI) provides expertise on money laundering and tax evasion. Since 1998, the Treasury portion of the ICDE program has been administered by Treasury's Departmental Offices. Treasury's participating bureaus ATF, Customs, and IRS, are reimbursed from this appropriation. Treasury has assigned two special agents to oversee ICDE policy and budget for the three Treasury bureaus. Funding for Treasury components is primarily utilized for full-time equivalent (FTE) employees; however, a portion of funding is used for operating expenses incurred during the investigative phase of the case.

FINANCIAL MANAGEMENT SERVICE

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Financial Management Service, **[\$212,850,000] \$231,903,000**, of which not to exceed \$9,220,000 shall remain available until September 30, **[2004] 2005**, for information systems modernization initiatives; and of which not to exceed \$2,500 shall be available for official reception and representation expenses. (Treasury Department Appropriations Act, 2002; additional authorizing legislation required.)

Unavailable Collections (in millions of dollars)

Identification code 20-1801-0-1-803	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 Debt collection fund	32	24	28
Appropriations:			
05.00 Debt collection fund	-32	-24	-28
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 20-1801-0-1-803	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.05 Payments	167	140	137
00.06 Collections	13	15	17
00.07 Debt collection	43	49	49
00.08 Governmentwide accounting and reporting	50	56	57
09.01 Reimbursable program	113	105	111
10.00 Total new obligations	386	365	371
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	14	41	12
22.00 New budget authority (gross)	414	352	371
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	429	393	383
23.95 Total new obligations	-386	-365	-371
23.98 Unobligated balance expiring or withdrawn		-16	

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 20-1801-0-1-803	2001 actual	2002 est.	2003 est.
24.40 Unobligated balance carried forward, end of year	41	12	12
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	267	223	232
50.00 Reappropriation	2		
Mandatory:			
60.20 Appropriation (special fund)	32	24	28
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	109	105	111
68.10 Change in uncollected customer payments from Federal sources (unexpired)	4		
68.90 Spending authority from offsetting collections (total discretionary)	113	105	111
70.00 Total new budget authority (gross)	414	352	371
Change in obligated balances:			
72.40 Obligated balance, start of year	31	38	51
73.10 Total new obligations	386	365	371
73.20 Total outlays (gross)	-379	-352	-369
73.40 Adjustments in expired accounts (net)	-3		
73.45 Recoveries of prior year obligations	-1		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-4		
74.10 Change in uncollected customer payments from Federal sources (expired)	8		
74.40 Obligated balance, end of year	38	51	53
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	318	288	301
86.93 Outlays from discretionary balances	37	40	40
86.97 Outlays from new mandatory authority	21	24	28
86.98 Outlays from mandatory balances	3		
87.00 Total outlays (gross)	379	352	369
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-109	-105	-111
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-4		
Net budget authority and outlays:			
89.00 Budget authority	301	247	260
90.00 Outlays	270	247	258

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	291	237	249
90.00 Outlays	260	237	247

1. *Payments.*—FMS implements payment policy and procedures for the Federal Government, issues and distributes payments, promotes the use of electronics in the payment process, and assists agencies in converting payments from paper checks to electronic funds transfer (EFT). The control and financial integrity of the Federal payments and collections process includes reconciliation, accounting, and claims activities. The claims activity settles claims against the United States resulting from Government checks which have been forged, lost, stolen, or destroyed, and collects monies from those parties liable for fraudulent or otherwise improper negotiation of Government checks.

On November 29, 2001, the Administration transmitted legislation to the Congress which would modify the process by which Federal agencies are billed, and make payment, for

water and sewer services provided by the District of Columbia. Specifically, the legislation would require the District to bill and receive payment from Federal agencies directly, removing the responsibility of the Treasury Department to oversee this process. Adoption of this proposal would eliminate a number of unnecessary administrative steps in the billing process.

PERFORMANCE MEASURES

	2001 actual	2002 est.	2003 est.
FMS will make paper check and EFT payments on time	100%	100%	100%
FMS will make paper check and EFT payments accurately	100%	100%	100%

WORKLOAD STATISTICS

	2001 actual	2002 est.	2003 est.
1. Number of check claims submitted	1,490	1,300	1,300
2. Number of check payments	269,355*	253,000	242,000
3. Number of electronic payments	677,413	653,000	673,000

* Does not include approximately 86 million tax relief (rebate) checks.

2. *Collections.*—FMS implements collections policy, regulations, standards, and procedures for the Federal Government, facilitates collections, promotes the use of electronics in the collections process, and assists agencies in converting collections from paper to electronic media.

PERFORMANCE MEASURES

	2001 actual	2002 est.	2003 est.
FMS will collect electronically the total dollar amount of Federal government receipts	75.3%	80%	80%

3. *Debt Collection.*—FMS provides debt collection operational services to client agencies which includes collection of delinquent accounts, offset of Federal payments against debts owed the government, post-judgment enforcement, consolidation of information reported to credit bureaus, reporting for discharged debts or vendor payments, and disposition of foreclosed property.

PERFORMANCE MEASURES

	2001 actual	2002 est.	2003 est.
FMS will increase the annual collection of delinquent debt, not including delinquent Federal tax debt, by \$120 million above that collected in 2001 for a total of \$2,812 million ..	\$2,692	\$2,600	\$2,812
FMS will work to increase the percentage of eligible delinquent debt referred by Federal program agencies to FMS for collection using all available tools	89%	75%	85%

4. *Government-wide Accounting and Reporting.*—FMS provides financial accounting, reporting, and financing services to the Federal Government and the Government's agents who participate in the payments and collections process by generating a series of daily, monthly, quarterly and annual Government-wide reports. FMS also works directly with agencies to help reconcile reporting differences.

PERFORMANCE MEASURES

	2001 actual	2002 est.	2003 est.
FMS will issue accurate government-wide accounting reports	100%	100%	100%
FMS will issue accurate government-wide accounting reports on time	100%	100%	100%
Percentage of agency reports for the Financial Report of the U.S. Government processed by FMS within the established standard range	99%	100%	100%

Object Classification (in millions of dollars)

Identification code 20-1801-0-1-803	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	113	117	121
11.3 Other than full-time permanent	1	2	2
11.5 Other personnel compensation	5	3	3

11.9	Total personnel compensation	119	122	126
12.1	Civilian personnel benefits	34	34	37
13.0	Benefits for former personnel	1		
21.0	Travel and transportation of persons	2	3	3
23.1	Rental payments to GSA	16	17	18
23.3	Communications, utilities, and miscellaneous charges	44	15	15
24.0	Printing and reproduction	1	1	1
25.1	Advisory and assistance services	4	4	4
25.2	Other services	24	31	26
25.3	Other purchases of goods and services from Government accounts	3	6	6
25.4	Operation and maintenance of facilities	1	1	1
25.7	Operation and maintenance of equipment	8	7	8
26.0	Supplies and materials	7	7	5
31.0	Equipment	9	12	9
99.0	Direct obligations	273	260	259
99.0	Reimbursable obligations	113	105	111
99.5	Below reporting threshold			1
99.9	Total new obligations	386	365	371

Personnel Summary

Identification code 20-1801-0-1-803	2001 actual	2002 est.	2003 est.	
Direct:				
1001	Total compensable workyears: Full-time equivalent employment	1,949	2,057	2,073
Reimbursable:				
2001	Total compensable workyears: Full-time equivalent employment	29	36	39

PAYMENT TO DEPARTMENT OF JUSTICE, FIRREA RELATED CLAIMS

Program and Financing (in millions of dollars)

Identification code 20-0177-0-1-752	2001 actual	2002 est.	2003 est.	
Change in obligated balances:				
72.40	Obligated balance, start of year	2	2	2
74.40	Obligated balance, end of year	2	2	2
Net budget authority and outlays:				
89.00	Budget authority			
90.00	Outlays			

In 1998, the Secretary of the Treasury was authorized to use funds made available to the FSLIC Resolution Fund to reimburse the Department of Justice for the reasonable expenses of litigation that were incurred in the defense of claims against the U.S. arising from FIRREA and its implementation.

PAYMENT TO THE RESOLUTION FUNDING CORPORATION

Program and Financing (in millions of dollars)

Identification code 20-1851-0-1-908	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
10.00	Total new obligations (object class 41.0)	464	1,157	2,124
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	464	1,157	2,124
23.95	Total new obligations	-464	-1,157	-2,124
New budget authority (gross), detail:				
Mandatory:				
60.00	Appropriation	464	1,157	2,124
Change in obligated balances:				
73.10	Total new obligations	464	1,157	2,124
73.20	Total outlays (gross)	-464	-1,157	-2,124
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	464	1,157	2,124
Net budget authority and outlays:				
89.00	Budget authority	464	1,157	2,124

90.00	Outlays	464	1,157	2,124
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The Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (FIRREA) authorized and appropriated to the Secretary of the Treasury, such sums as may be necessary to cover interest payments on obligations issued by the Resolution Funding Corporation (REFCORP). REFCORP was established under the Act to raise \$31.2 billion for the Resolution Trust Corporation (RTC) in order to resolve savings institution insolvencies.

Sources of payment for interest due on REFCORP obligations include REFCORP investment income, proceeds from the sale of assets or warrants acquired by the RTC, and annual contributions by the Federal Home Loan Banks. If these payment sources are insufficient to cover all interest costs, funds appropriated to the Treasury shall be used to meet the shortfall.

PAYMENT TO TERRESTRIAL WILDLIFE HABITAT RESTORATION TRUST FUND

Program and Financing (in millions of dollars)

Identification code 20-1738-0-1-306	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Cheyenne River Sioux Tribe terrestrial wildlife habitat restoration trust fund	4	4	4
00.02	Lower Breul Sioux Tribe terrestrial wildlife habitat restoration trust fund	1	1	1
10.00	Total new obligations (object class 41.0)	5	5	5
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	5	5	5
23.95	Total new obligations	-5	-5	-5
New budget authority (gross), detail:				
Mandatory:				
60.00	Appropriation	5	5	5
Change in obligated balances:				
73.10	Total new obligations	5	5	5
73.20	Total outlays (gross)	-5	-5	-5
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	5	5	5
Net budget authority and outlays:				
89.00	Budget authority	5	5	5
90.00	Outlays	5	5	5

Section 604(b) of the Water Resources Development Act of 1999 (P.L. 106-53) requires that the Secretary of the Treasury, beginning in 1999, deposit \$5 million annually (74 percent into the Cheyenne River Sioux Tribe Terrestrial Wildlife Restoration Trust Fund and 26 percent into the Lower Brule Sioux Tribe Terrestrial Wildlife Restoration Trust Fund) until a total of \$57.4 million has been deposited.

FEDERAL RESERVE BANK REIMBURSEMENT FUND

Program and Financing (in millions of dollars)

Identification code 20-1884-0-1-803	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
10.00	Total new obligations (object class 25.2)	114	132	135
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	61	29	30
22.00	New budget authority (gross)	81	132	135
22.10	Resources available from recoveries of prior year obligations	1		
23.90	Total budgetary resources available for obligation	143	161	165

General and special funds—Continued**FEDERAL RESERVE BANK REIMBURSEMENT FUND—Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 20-1884-0-1-803	2001 actual	2002 est.	2003 est.
23.95 Total new obligations	-114	-132	-135
24.40 Unobligated balance carried forward, end of year	29	30	30
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	81	132	135
Change in obligated balances:			
72.40 Obligated balance, start of year	61	28	48
73.10 Total new obligations	114	132	135
73.20 Total outlays (gross)	-145	-112	-135
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	28	48	48
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	81	54	57
86.98 Outlays from mandatory balances	64	58	78
87.00 Total outlays (gross)	145	112	135
Net budget authority and outlays:			
89.00 Budget authority	81	132	135
90.00 Outlays	145	112	135

This fund was established as a permanent, indefinite appropriation to allow the Financial Management Service to reimburse the Federal Reserve Banks for services provided in their capacity as depositaries and fiscal agents for the United States.

INTEREST ON UNINVESTED FUNDS**Program and Financing (in millions of dollars)**

Identification code 20-1860-0-1-908	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 43.0)	6	6	6
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	6	6	6
23.95 Total new obligations	-6	-6	-6
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	6	6	6
Change in obligated balances:			
72.40 Obligated balance, start of year	22	20	21
73.10 Total new obligations	6	6	6
73.20 Total outlays (gross)	-8	-5	-5
74.40 Obligated balance, end of year	20	21	22
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	6	5	5
86.98 Outlays from mandatory balances	2		
87.00 Total outlays (gross)	8	5	5
Net budget authority and outlays:			
89.00 Budget authority	6	6	6
90.00 Outlays	8	5	5

Under conditions of the law creating each trust, interest accruing and payable from the general fund of the Treasury is appropriated for payment to the proper fund receipt accounts (31 U.S.C. 1321; 2 U.S.C. 158; 20 U.S.C. 74a and 101; 24 U.S.C. 46; and 69 Stat. 533). Pursuant to Public Law 101-510, commencing October 1, 1991, the Soldiers' Home Permanent Fund will be invested in Treasury securities.

FEDERAL INTEREST LIABILITIES TO THE STATES**Program and Financing (in millions of dollars)**

Identification code 20-1877-0-1-908	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)	5	12	11
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	4	12	11
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	5	12	11
23.95 Total new obligations	-5	-12	-11
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	4	12	11
Change in obligated balances:			
72.40 Obligated balance, start of year	2	1	1
73.10 Total new obligations	5	12	11
73.20 Total outlays (gross)	-6	-12	-12
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	1	1	
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	4	12	11
86.98 Outlays from mandatory balances	1		1
87.00 Total outlays (gross)	6	12	12
Net budget authority and outlays:			
89.00 Budget authority	4	12	11
90.00 Outlays	6	12	12

As provided by statute and regulation, interest is paid to States when Federal funds are not transferred in a timely manner.

INTEREST PAID TO CREDIT FINANCING ACCOUNTS**Program and Financing (in millions of dollars)**

Identification code 20-1880-0-1-908	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 43.0)	4,708	3,775	3,802
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	4,708	3,775	3,802
23.95 Total new obligations	-4,708	-3,775	-3,802
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	4,708	3,775	3,802
Change in obligated balances:			
73.10 Total new obligations	4,708	3,775	3,802
73.20 Total outlays (gross)	4,708	-3,775	-3,802
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	4,708	3,775	3,802
Net budget authority and outlays:			
89.00 Budget authority	4,708	3,775	3,802
90.00 Outlays	4,708	3,775	3,802

Loan guarantee financing accounts receive various payments and fees and make payments on defaults. When cash balances result from an excess of receipts over outlays, these balances are deposited at the Treasury and earn interest. This account pays such interest to credit loan guarantee financing accounts from the general fund of the Treasury in accordance with section 505(c) of the Federal Credit Reform Act of 1990. The estimates of interest paid by this fund are derived from the estimates of interest received in the various financing accounts.

CLAIMS, JUDGMENTS, AND RELIEF ACTS

Program and Financing (in millions of dollars)

Identification code 20-1895-0-1-808	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Claims adjudicated administratively:			
00.01	14	15	15
00.03	221	93	100
00.91	235	108	115
Court judgments:			
01.01	335	31	35
01.02	962	787	850
01.91	1,297	818	885
10.00	1,532	926	1,000
Budgetary resources available for obligation:			
22.00	1,532	926	1,000
23.95	-1,532	-926	-1,000
New budget authority (gross), detail:			
Mandatory:			
60.00	1,494	926	1,000
69.00	38		
70.00	1,532	926	1,000
Change in obligated balances:			
72.40	48	126	
73.10	1,532	926	1,000
73.20	-1,454	-1,052	-1,000
74.40	126		
Outlays (gross), detail:			
86.97	1,454	926	1,000
86.98		126	
87.00	1,454	1,052	1,000
Offsets:			
Against gross budget authority and outlays:			
88.40	-38		
Net budget authority and outlays:			
89.00	1,494	926	1,000
90.00	1,417	1,052	1,000

Appropriations are made for payment of claims and interest for damages not chargeable to appropriations of individual agencies and for payment of private and public relief acts. Public Law 95-26 authorized a permanent indefinite appropriation to pay certain judgments from the general funds of the Treasury.

PAYMENT OF ANTI-TERRORISM JUDGMENTS

Program and Financing (in millions of dollars)

Identification code 20-1811-0-1-808	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00	232	169	
Budgetary resources available for obligation:			
21.40		169	
22.00	400		
23.90	400	169	
23.95	-232	-169	
24.40	169		
New budget authority (gross), detail:			
Mandatory:			
60.00	400		
Change in obligated balances:			
73.10	232	169	

73.20	Total outlays (gross)	-232	-169	
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	232		
86.98	Outlays from mandatory balances		169	
87.00	Total outlays (gross)	232	169	
Net budget authority and outlays:				
89.00	Budget authority	400		
90.00	Outlays	232	169	

This account was established pursuant to section 2002 of the Victims of Trafficking and Violence Protection Act, Public Law 106-386, for the purpose of making payments to persons who hold certain categories of judgments against Iran in suits brought under 28 U.S.C. 1605a(7).

BIOMASS ENERGY DEVELOPMENT

Program and Financing (in millions of dollars)

Identification code 20-0114-0-1-271	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40	10	11	15
22.00	1	4	4
23.90	11	15	19
24.40	11	15	19
New budget authority (gross), detail:			
Discretionary:			
41.00	-2		
43.00	-2		
68.00	3	4	4
70.00	1	4	4
Change in obligated balances:			
72.40	1	1	
74.40	1		
Offsets:			
Against gross budget authority and outlays:			
88.45	-3	-4	-4
Net budget authority and outlays:			
89.00	-2		
90.00	-3	-4	-4

This account was created to provide loan guarantees for the construction of biomass-to-ethanol facilities, as authorized under Title II of the Energy Security Act. All of the loans guaranteed by this account went into default. The guarantees have been paid off, and the assets of all but one of the projects have been liquidated. The one remaining project, the New Energy Company of Indiana, continues to make payments to the Treasury on their loan, which the government acquired after paying off the guarantee.

Public enterprise revolving fund:

CHECK FORGERY INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 20-4109-0-3-803	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01		3	3
09.01	27	25	17
10.00	27	28	20

Public enterprise revolving fund—Continued
CHECK FORGERY INSURANCE FUND—Continued
Program and Financing (in millions of dollars)—Continued

Identification code 20-4109-0-3-803	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	11	11	11
22.00 New budget authority (gross)	27	28	20
23.90 Total budgetary resources available for obligation	38	39	31
23.95 Total new obligations	-27	-28	-20
24.40 Unobligated balance carried forward, end of year	11	11	11
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation		3	3
69.00 Offsetting collections (cash)	27	25	17
70.00 Total new budget authority (gross)	27	28	20
Change in obligated balances:			
72.40 Obligated balance, start of year		1	
73.10 Total new obligations	27	28	20
73.20 Total outlays (gross)	-27	-28	-20
74.40 Obligated balance, end of year	1		
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	27	28	20
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-27	-25	-17
Net budget authority and outlays:			
89.00 Budget authority		3	3
90.00 Outlays	-1	3	3

This fund was established as a permanent, indefinite appropriation in order to maintain adequate funding of the Check Forgery Insurance Fund (Fund). The Fund facilitates timely payments for replacement Treasury checks necessitated due to a claim of forgery. The Fund recoups disbursements through reclamations made against banks negotiating forged checks.

To reduce hardships sustained by payees of Government checks that have been stolen and forged, settlement is made in advance of the receipt of funds from the endorser of the checks. If the U.S. Treasury is unable to recover funds through reclamation procedures, the Fund sustains the loss.

Object Classification (in millions of dollars)

Identification code 20-4109-0-3-803	2001 actual	2002 est.	2003 est.
42.0 Direct obligations: Insurance claims and indemnities		3	3
42.0 Reimbursable obligations: Insurance claims and indemnities	27	25	17
99.9 Total new obligations	27	28	20

Trust Funds

CHEYENNE RIVER SIOUX TRIBE TERRESTRIAL WILDLIFE HABITAT RESTORATION TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 20-8209-0-7-306	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	10	16	22
Receipts:			
02.40 General fund payments, Cheyenne river sioux tribe terrestrial wildlife habitat restoration	4	4	4
02.41 Earnings on investments	1	1	1
02.42 General fund payments, Lower bruel sioux tribe terrestrial wildlife habitat restoration	1	1	1
02.99 Total receipts and collections	6	6	6

04.00 Total: Balances and collections	16	22	28
Appropriations:			
05.00 Cheyenne river sioux tribe terrestrial wildlife habitat restoration			
07.99 Balance, end of year	16	22	28

Program and Financing (in millions of dollars)

Identification code 20-8209-0-7-306	2001 actual	2002 est.	2003 est.
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)	6	5	5
60.45 Portion precluded from obligation	-6	-5	-5
62.50 Appropriation (total mandatory)			
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value	10	16	22
92.02 Total investments, end of year: Federal securities: Par value	16	22	28

This schedule reflects the payments made to the Cheyenne River Sioux Tribe Terrestrial Wildlife Restoration Trust Fund and the Lower Brule Sioux Tribe Terrestrial Wildlife Restoration Trust Fund. After the funds are fully capitalized (at a total level of \$57.4 million), interest earned will be available to carry out the purposes of the funds.

FEDERAL FINANCING BANK ACTIVITIES

Federal Funds

Intragovernmental funds:

FEDERAL FINANCING BANK

Program and Financing (in millions of dollars)

Identification code 20-4521-0-4-803	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Administrative expenses	3	2	2
09.02 Interest on borrowings from Treasury	2,153	1,930	1,484
09.03 Interest on borrowings from civil service retirement trust fund	1,337	1,337	1,337
10.00 Total new obligations	3,493	3,270	2,823
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		1	1
22.00 New budget authority (gross)	3,493	3,270	2,823
23.90 Total budgetary resources available for obligation	3,493	3,271	2,824
23.95 Total new obligations	-3,493	-3,270	-2,823
24.40 Unobligated balance carried forward, end of year	1	1	1
New budget authority (gross), detail:			
Mandatory:			
67.10 Authority to borrow	51	18	24
69.00 Offsetting collections (cash)	3,460	3,252	2,799
69.47 Portion applied to repay debt	-13		
69.53 Portion substituted for borrowing authority	-5		
69.90 Spending authority from offsetting collections (total mandatory)	3,442	3,252	2,799
70.00 Total new budget authority (gross)	3,493	3,270	2,823
Change in obligated balances:			
72.40 Obligated balance, start of year	342	337	337
73.10 Total new obligations	3,493	3,270	2,823
73.20 Total outlays (gross)	-3,497	-3,270	-2,823
74.40 Obligated balance, end of year	337	337	337
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	3,493	3,270	2,823

86.98	Outlays from mandatory balances	5		
87.00	Total outlays (gross)	3,497	3,270	2,823
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-3,460	-3,252	-2,799
Net budget authority and outlays:				
89.00	Budget authority	33	18	24
90.00	Outlays	37	18	24

The Federal Financing Bank (FFB) was created in 1973 to reduce the costs of Federal and federally-assisted borrowing and to ensure the coordination of such borrowing from the public in a manner least disruptive to private financial markets and institutions. Prior to that time, many agencies borrowed directly from the private market to finance credit programs involving lending to the public at higher rates than on comparable Treasury securities. With the implementation of the Federal Credit Reform Act in 1992, however, agencies simply finance such loan programs through direct loan financing accounts that borrow directly from the Treasury. Therefore, FFB loans are now used primarily to finance direct agency activities such as construction of Federal buildings by the General Services Administration and meeting the financing requirements of the U.S. Postal Service. In certain cases, the FFB finances Federal direct loans to the public that would otherwise be made by private lenders and fully guaranteed by a Federal agency.

Lending by the FFB is set at 1/8 percent above Treasury rates and may take one of three forms, depending on the authorizing statutes pertaining to a particular agency or program: (1) the FFB may purchase agency financial assets; (2) the FFB may acquire debt securities that the agency is otherwise authorized to issue to the public; and (3) the FFB may originate direct loans on behalf of an agency by disbursing loans directly to private borrowers and receiving repayments from the private borrower on behalf of the agency. Because law requires that transactions by the FFB be treated as a means of financing agency obligations, the budgetary effect of the third type of transaction is reflected in the budget in the following sequence: a loan by the FFB to the agency, a loan by the agency to a private borrower, a repayment by a private borrower to the agency, and a repayment by the agency to the FFB.

Under a provision in the 1987 enabling legislation for the Agriculture Department's Cushion of credit payments program, the FFB receives substantially less interest each year on certain loans that it holds than it is contractually entitled to receive. This provision, however, does not reduce the amount of interest the FFB owes on its corresponding loans from Treasury. The shortfalls in interest received by the FFB as a result of the provision resulted in substantial losses to the FFB in the past. The FFB will likely experience future losses due to this provision.

The following table shows the annual net lending by the FFB by agency and program and the amount outstanding at the end of each year. The table does not include certain securities originally issued to the FFB by the Postal Service, which the FFB exchanged with the Civil service retirement and disability fund in 1996 in return for Treasury securities of equal present value. These securities, which continued to be serviced by FFB, had a remaining face value of \$51 million as of the beginning of 2001 and were redeemed in May 2001.

NET LENDING AND LOANS OUTSTANDING, END OF YEAR

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
A. Department of Agriculture:			
1. Rural housing loans:			
Lending, net	-1,165	-1,070	-1,075
Loans outstanding	4,375	3,305	2,230

2. Rural development loans:			
Lending, net	-975	-1,485	-145
Loans outstanding	2,435	950	805
3. Rural Utilities Service:			
Lending, net	553	-390	501
Loans outstanding	17,869	18,259	18,760
B. Department of Defense:			
1. Defense working capital funds:			
Lending, net	-106	-161	-160
Loans outstanding	941	780	620
C. Department of Education:			
1. Historically black colleges and universities:			
Lending, net	11	39	34
Loans outstanding	31	70	104
D. Department of Health and Human Services:			
1. Medical facility loans:			
Lending, net	-1	0	0
Loans outstanding	0	0	0
E. Department of Housing and Urban Development:			
1. Section 108 guaranteed loans:			
Lending, net	-3	-2	-2
Loans outstanding	8	6	4
2. Low-rent public housing:			
Lending, net	-70	-70	-70
Loans outstanding	1,279	1,209	1,139
F. Department of the Interior:			
1. Territory of the Virgin Islands:			
Lending, net	-2	-2	-1
Loans outstanding	13	11	10
G. Department of Transportation:			
1. Railroad Revitalization and Regulatory Reform Act:			
Lending, net	*)	*)	*)
Loans outstanding	3	3	3
H. General Services Administration:			
1. Federal buildings fund:			
Lending, net	-45	-25	-20
Loans outstanding	2,268	2,243	2,223
I. International Assistance Programs:			
1. Foreign military sales credit:			
Lending, net	-234	-234	-223
Loans outstanding	2,156	1,922	1,699
J. Small Business Administration:			
1. Section 503 guaranteed loans:			
Lending, net	-27	-22	-20
Loans outstanding	132	110	90
K. Postal Service:			
Lending, net	2,051	1,600	-1,448
Loans outstanding	11,313	12,913	11,465
Total lending:			
Lending, net	-13	-1,042	-2,619
Loans outstanding	42,824	41,782	39,163

*) \$500 thousand or less.

Balance Sheet (in millions of dollars)

Identification code 20-4521-0-4-803	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	576	577	577	577
Investments in US securities:				
1104 Agency securities, par	42,724	42,609	41,567	38,939
1106 Receivables, net	1,023	873	613	496
1999 Total assets	44,323	44,059	42,757	40,012
LIABILITIES:				
Federal liabilities:				
2101 Accounts payable	1,351	1,198	938	821
Debt:				
2103 Borrowing from Treasury	27,973	27,979	26,955	29,351
2103 Borrowing from the Civil service retirement trust fund	15,000	15,000	15,000	10,000
2999 Total liabilities	44,324	44,177	42,893	40,172
NET POSITION:				
3300 Cumulative results of operations	-1	-118	-136	-160
3999 Total net position	-1	-118	-136	-160
4999 Total liabilities and net position	44,323	44,059	42,757	40,012

Object Classification (in millions of dollars)

Identification code 20-4521-0-4-803	2001 actual	2002 est.	2003 est.
25.2 Other services	3	2	2

Intragovernmental funds—Continued

FEDERAL FINANCING BANK—Continued

Object Classification (in millions of dollars)—Continued

Identification code 20-4521-0-4-803	2001 actual	2002 est.	2003 est.
43.0 Interest and dividends	3,490	3,268	2,821
99.9 Total new obligations	3,493	3,270	2,823

BUREAU OF ALCOHOL, TOBACCO AND FIREARMS*Federal Funds***General and special funds:**

SALARIES AND EXPENSES

For necessary expenses of the Bureau of Alcohol, Tobacco and Firearms, including purchase of not to exceed 822 vehicles for police-type use, of which 650 shall be for replacement only, and hire of passenger motor vehicles; hire of aircraft; services of expert witnesses at such rates as may be determined by the Director; for payment of per diem and/or subsistence allowances to employees where a major investigative assignment requires an employee to work 16 hours or more per day or to remain overnight at his or her post of duty; not to exceed \$20,000 for official reception and representation expenses; for training of State and local law enforcement agencies with or without reimbursement, including training in connection with the training and acquisition of canines for explosives and fire accelerants detection; not to exceed \$50,000 for cooperative research and development programs for Laboratory Services and Fire Research Center activities; and provision of laboratory assistance to State and local agencies, with or without reimbursement, [\$823,316,000, of which \$3,500,000 shall be available for retrofitting and upgrades of the National Tracing Center Facility in Martinsburg, West Virginia;] \$913,114,000; of which not to exceed \$1,000,000 shall be available for the payment of attorneys' fees as provided by 18 U.S.C. 924(d)(2); of which up to \$2,000,000 shall be available for the equipping of any vessel, vehicle, equipment, or aircraft available for official use by a State or local law enforcement agency if the conveyance will be used in joint law enforcement operations with the Bureau of Alcohol, Tobacco and Firearms and for the payment of overtime salaries including Social Security and Medicare, travel, fuel, training, equipment, supplies, and other similar costs of State and local law enforcement personnel, including sworn officers and support personnel, that are incurred in joint operations with the Bureau of Alcohol, Tobacco and Firearms, and [of which \$13,000,000, to remain available until expended, shall be available for disbursements through grants, cooperative agreements or contracts to local governments for Gang Resistance Education and Training: *Provided*, That no funds made available by this or any other Act may be used to transfer the functions, missions, or activities of the Bureau of Alcohol, Tobacco and Firearms to other agencies or Departments in fiscal year 2002] of which \$3,200,000 for new headquarters shall remain available until September 30, 2004: *Provided [further]*, That no funds appropriated herein shall be available for salaries or administrative expenses in connection with consolidating or centralizing, within the Department of the Treasury, the records, or any portion thereof, of acquisition and disposition of firearms maintained by Federal firearms licensees: *Provided further*, That no funds appropriated herein shall be used to pay administrative expenses or the compensation of any officer or employee of the United States to implement an amendment or amendments to 27 CFR 178.118 or to change the definition of "Curios or relics" in 27 CFR 178.11 or remove any item from ATF Publication 5300.11 as it existed on January 1, 1994: *Provided further*, That none of the funds appropriated herein shall be available to investigate or act upon applications for relief from Federal firearms disabilities under 18 U.S.C. 925(c): *Provided further*, That such funds shall be available to investigate and act upon applications filed by corporations for relief from Federal firearms disabilities under 18 U.S.C. 925(c): *Provided further*, That no funds under this Act may be used to electronically retrieve information gathered pursuant to 18 U.S.C. 923(g)(4) by name or any personal identification code. (*Treasury Department Appropriations Act, 2002; additional authorizing legislation required.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Salaries and Expenses", \$31,431,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38, of which \$5,200,000 may be used for necessary expenses of site acquisition, construction, operations, maintenance and repair of the special purpose canine training facilities in Front Royal, Virginia.] (*Emergency supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 20-1000-0-1-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Reduce violent crime	653	734	737
00.02 Collect revenue	84	89	99
00.03 Protect the public	60	64	77
01.92 Total direct program	797	887	913
09.01 Reimbursable program	41	47	47
10.00 Total new obligations	838	934	960
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4	4
22.00 New budget authority (gross)	840	931	960
22.10 Resources available from recoveries of prior year obligations	2
23.90 Total budgetary resources available for obligation	846	935	960
23.95 Total new obligations	-838	-934	-960
23.98 Unobligated balance expiring or withdrawn	-3
24.40 Unobligated balance carried forward, end of year	4
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	797	851	913
40.15 Appropriation (terrorist response)	31
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-2
42.00 Transferred from other accounts	2
43.00 Appropriation (total discretionary)	797	882	913
50.00 Reappropriation	2	2
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	11	47	47
68.10 Change in uncollected customer payments from Federal sources (unexpired)	30
68.90 Spending authority from offsetting collections (total discretionary)	41	47	47
70.00 Total new budget authority (gross)	840	931	960
Change in obligated balances:			
72.40 Obligated balance, start of year	97	205	224
73.10 Total new obligations	838	934	960
73.20 Total outlays (gross)	-700	-915	-953
73.45 Recoveries of prior year obligations	-2
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-30
74.40 Obligated balance, end of year	205	224	230
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	655	849	872
86.93 Outlays from discretionary balances	45	68	82
87.00 Total outlays (gross)	700	915	953
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 Drug enforcement	-3	-9	-9
88.00 Other Federal sources	-8	-38	-38
88.90 Total, offsetting collections (cash)	-11	-47	-47
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-30
Net budget authority and outlays:			
89.00 Budget authority	799	884	913
90.00 Outlays	689	868	906

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	775	856	884
90.00 Outlays	665	840	877

The Bureau of Alcohol, Tobacco and Firearms (ATF) is a law enforcement organization within the United States Department of the Treasury with unique responsibilities dedicated to reducing violent crime, collecting revenue, and protecting the public. ATF enforces the Federal laws and regulations relating to alcohol, tobacco, firearms, explosives, and arson by working directly and in cooperation with others to: (1) Effectively contribute to a safer America by reducing firearms, explosives and arson-related violent crimes; (2) Maintain a sound revenue management and regulatory system that continues reducing taxpayer burden, improving service, collecting the revenue due and preventing tax evasion and other criminal conduct; and (3) Protect the public and prevent consumer deception in ATF's regulated commodities.

The following performance measurements continue to be refined and improved in order to provide viable output and outcome measures for the Bureau, thus complying with the Government Performance and Results Act of 1993 (GPRA).

ATF is participating in the American Customer Satisfaction Index with the University of Michigan to benchmark customer services satisfaction (e.g. Certificates of Label Approvals, Youth Crime Gun Interdiction Initiative). In addition, several performance measures are planned for phasing out pending replacement by stronger measures, which more accurately depict outcomes of ATF's strategic activities.

PERFORMANCE AND WORKLOAD MEASURES

	2001 actual	2002 est.	2003 est.
Reduce Violent Crime:			
Crime related costs avoided (\$ billions)	2.54	3.62	4.58
Future firearms crimes avoided	1,223,000	1,574,000	1,992,000
Number of firearms trace requests	232,000	230,000	240,000
Average trace response time (# of days)	12.8	12.8	12.8
Number of personnel trained in Integrated Violence Reduction Strategy	6,839	6,000	6,000
NRT customer satisfaction rating	n/a	90%	90%
Collect the Revenue:			
Taxes and fees collected from the alcohol, tobacco, firearms and explosives industries (\$ billion)	14.0	13.6	14.4
Ratio of taxes and fees collected vs. resources expended to collect	272:1	200:1	211:1
Percent of taxpayers who file their excise tax returns and required monthly operating reports electronically		1%	1%
Protect the Public:			
Response to unsafe conditions and product deficiencies discovered (explosives)	1,209	850	850
Responses to unsafe conditions and product deficiencies discovered (alcohol)	n/a	n/a	190
The number of commodity seminars held	195	175	175
Workload Measures:			
Number of inspections (explosives)	5,032	5,000	5,000
Percent of population inspected (firearms)	8%	8%	8%

Object Classification (in millions of dollars)

Identification code 20-1000-0-1-751	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	271	301	317
11.3 Other than full-time permanent	3	14	15
11.5 Other personnel compensation	40	48	53
11.9 Total personnel compensation	314	363	385
12.1 Civilian personnel benefits	135	164	172
21.0 Travel and transportation of persons	23	25	23
22.0 Transportation of things	3	3	3
23.1 Rental payments to GSA	40	49	57
23.3 Communications, utilities, and miscellaneous charges	30	28	26
24.0 Printing and reproduction	2	2	2
25.2 Other services	137	141	138
26.0 Supplies and materials	14	14	14

31.0 Equipment	93	87	81
32.0 Land and structures	6	11	11
99.0 Direct obligations	797	887	912
99.0 Reimbursable obligations	41	47	48
99.9 Total new obligations	838	934	960

Personnel Summary

Identification code 20-1000-0-1-751	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	4,551	5,029	5,106
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	97	100	100

LABORATORY FACILITIES AND HEADQUARTERS

Program and Financing (in millions of dollars)

Identification code 20-1003-0-1-751	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year	50	36	
73.20 Total outlays (gross)	-14	-36	
74.40 Obligated balance, end of year	36		
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	14	36	
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	13	36	

Outlays associated with prior year funding shown above reflects construction costs for the new ATF National Laboratory and Fire Research facilities.

INTERNAL REVENUE COLLECTIONS FOR PUERTO RICO

Unavailable Collections (in millions of dollars)

Identification code 20-5737-0-2-806	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.00 Deposits, internal revenue collections for Puerto Rico	334	246	235
Appropriations:			
05.00 Internal revenue collections for Puerto Rico	-334	-246	-235
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 20-5737-0-2-806	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	334	246	235
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	334	246	235
23.95 Total new obligations	-334	-246	-235

New budget authority (gross), detail:

Mandatory:			
60.20 Appropriation (special fund)	334	246	235

Change in obligated balances:

73.10 Total new obligations	334	246	235
73.20 Total outlays (gross)	-334	-246	-235

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	334	246	235
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Net budget authority and outlays:

89.00 Budget authority	334	246	235
90.00 Outlays	334	246	235

General and special funds—Continued

INTERNAL REVENUE COLLECTIONS FOR PUERTO RICO—Continued

Excise taxes collected under the Internal Revenue laws of the United States on articles produced in Puerto Rico and either transported to the United States or consumed on the island are paid to Puerto Rico (26 U.S.C. 7652).

UNITED STATES CUSTOMS SERVICE**Federal Funds****General and special funds:**

SALARIES AND EXPENSES

For necessary expenses of the United States Customs Service, including purchase and lease of up to [1,235] 1,535 motor vehicles, of which 550 are for replacement only and of which [1,215] 1,500 are for police-type use and commercial operations; hire of motor vehicles; contracting with individuals for personal services abroad; not to exceed \$40,000 for official reception and representation expenses; and awards of compensation to informers, as authorized by any Act enforced by the United States Customs Service, [\$2,079,357,000] \$2,322,976,000, of which such sums as become available in the Customs User Fee Account, except sums subject to section 13031(f)(3) of the Consolidated Omnibus Budget Reconciliation Act of 1985, as amended (19 U.S.C. 58c(f)(3)) ("COBRA"), shall be derived from that Account; of the total, not to exceed \$150,000 shall be available for payment for rental space in connection with preclearance operations; not to exceed \$4,000,000 shall be available until expended for research; [not less than \$100,000 shall be available to promote public awareness of the child pornography tipline; not less than \$200,000 shall be available for Project Alert; not less than \$1,000,000 shall be provided to develop a curriculum for the training of law enforcement dogs to combat and respond to terrorist activities specifically related to chemical and biological threats]; not to exceed \$5,000,000 shall be available until expended for conducting special operations pursuant to 19 U.S.C. 2081; not to exceed \$8,000,000 shall be available until expended for the procurement of automation infrastructure items, including hardware, software, and installation; [not to exceed \$33,151,000 shall be available until expended for the procurement and deployment of non-intrusive inspection technology;] and not to exceed \$5,000,000 shall be available until expended for repairs to Customs facilities: *Provided*, [That of the total amount of funds made available for forced child labor activities in fiscal year 2002, not to exceed \$4,400,000 shall remain available until expended for operations and support of such activities: *Provided further*,] *That section 13031(a)(5)(A) of COBRA (19 U.S.C. §58c(a)(5)(A)) is amended by striking "\$5" and inserting with "\$11", and that section 13031(a)(5)(B) (19 U.S.C. §58c(a)(5)(B)) is amended by striking "\$1.75" and inserting with "\$2":* *Provided further*, *That such increased amounts shall be collected and deposited as authorized, and are available until expended for Customs operations expenses: Provided further*, *That such increased amounts are in addition to sums otherwise made available by this Act: Provided further*, That uniforms may be purchased without regard to the general purchase price limitation for the current fiscal year: *Provided further*, That notwithstanding any other provision of law, the fiscal year aggregate overtime limitation prescribed in subsection 5(c)(1) of the Act of February 13, 1911 (19 U.S.C. 261 and 267) shall be \$30,000. (*Treasury Department Appropriations Act, 2002; additional authorizing legislation required.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Salaries and Expenses", to meet requirements, including technology, along the Northern Border, Southwest Border, and at critical seaports, \$392,603,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38: *Provided*, That of such amount, \$245,503,000 shall not be available for obligation until 15 days after the United States Customs Service submits to the Committees on Appropriations and the Secretary of the Treasury a financial plan based upon a comprehensive assessment of the most effective uses of the Service's resources, including the funds provided in this Act, for protection along the Northern Border, Southwest Border, and at critical seaports: *Provided further*, That the Secretary of the Treasury is directed to review the activities proposed to be carried out with the funds subject to the previous proviso and notify the Commit-

tees on Appropriations of the findings of his review within 15 days of receipt of such plan.] (*Emergency Supplemental Act, 2002*)

Unavailable Collections (in millions of dollars)

Identification code 20-0602-0-1-751	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
Offsetting governmental receipts:			
02.60 U.S. Customs users fees account, conveyance/passenger/other	305	270	296
02.60 U.S. Customs users fees account, conveyance/passenger/other			250
02.61 U.S. Customs user fee accounts, merchandise processing, Treasury	963	1,036	1,140
02.99 Total receipts and collections	1,268	1,306	1,686
Appropriations:			
05.00 Salaries and expenses	-1,268	-1,306	-1,686
05.99 Total appropriations	-1,268	-1,306	-1,686
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 20-0602-0-1-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.04 Commercial	1,236	1,682	1,639
00.05 Drug and other enforcement	1,056	1,474	1,895
09.01 Reimbursable program	456	561	473
10.00 Total new obligations	2,748	3,717	4,007
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	802	843	451
22.00 New budget authority (gross)	2,772	3,296	3,614
22.10 Resources available from recoveries of prior year obligations	11		
22.22 Unobligated balance transferred from other accounts	10	29	
23.90 Total budgetary resources available for obligation	3,595	4,168	4,065
23.95 Total new obligations	-2,748	-3,717	-4,007
23.98 Unobligated balance expiring or withdrawn	-4		
24.40 Unobligated balance carried forward, end of year	843	451	56
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1,005	1,528	1,188
40.20 Appropriation (special fund)	963	1,036	1,385
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-4		
42.00 Transferred from other accounts	46		
43.00 Appropriation (total discretionary)	2,010	2,564	2,573
50.00 Reappropriation	2	2	
Mandatory:			
60.20 Appropriation (special fund)	310	275	301
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	395	501	751
68.10 Change in uncollected customer payments from Federal sources (unexpired)	55	-46	-11
68.90 Spending authority from offsetting collections (total discretionary)	450	455	740
70.00 Total new budget authority (gross)	2,772	3,296	3,614
Change in obligated balances:			
72.40 Obligated balance, start of year	366	441	920
73.10 Total new obligations	2,748	3,717	4,007
73.20 Total outlays (gross)	-2,728	-3,264	-3,589
73.40 Adjustments in expired accounts (net)	-18	-20	-20
73.45 Recoveries of prior year obligations	-11		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-55	46	11
74.10 Change in uncollected customer payments from Federal sources (expired)	139		
74.40 Obligated balance, end of year	441	920	1,329
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2,228	2,772	3,041
86.93 Outlays from discretionary balances	225	214	250
86.97 Outlays from new mandatory authority	275	248	271

86.98	Outlays from mandatory balances		30	27
87.00	Total outlays (gross)	2,728	3,264	3,589
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-490	-490	-490
88.40	Non-Federal sources	-10	-11	-261
88.90	Total, offsetting collections (cash)	-500	-501	-751
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-55	46	11
88.96	Portion of offsetting collections (cash) credited to expired accounts	105		

Net budget authority and outlays:				
89.00	Budget authority	2,322	2,841	2,874
90.00	Outlays	2,230	2,763	2,838

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	2,231	2,744	2,771
90.00	Outlays	2,139	2,666	2,735

The United States Customs Service, in partnership with other Federal agencies, is one of the Nation's principal means of border enforcement. Its mission is to ensure that all goods and persons entering and exiting the United States do so in compliance with all United States laws and regulations.

Commercial.—Commercial activities are all process/business area activities (Trade Compliance, Outbound, and Passenger Processing) which occur prior to a violation being confirmed or acceptance of a referral for investigation. This includes intelligence gathering, targeting, analysis and examination activities.

WORKLOAD DATA

	2001 actual	2002 est.	2003 est.
Total Commercial Entry Summaries (millions)	23.7	27.0	27.0
Total Passengers (in millions):			
Land	381.5	419.9	420.2
Air	79.7	80.0	82.0
Sea	11.3	11.8	11.8
Total Carriers (thousands):			
Land	129,600	133,400	135,300
Air	731,200	1,034,400	1,062,000
Sea	214,600	220,500	224,500

PERFORMANCE MEASURES

	2001 actual	2002 est.	2003 est.
Overall Trade Compliance Rate	90.9%	90.0%	90.0%
Overall Passenger Compliance Rate:			
Land	99.3%	98.5%	99.1%
Air	98.4%	98.5%	99.0%
Revenue Collection Compliance Rate	99.0%	99.0%	99.0%
Collection (billions \$)	19.7	19.2	19.2

Drug and Other Enforcement.—Drug and Other Enforcement activities are process activities which occur after confirmation of a violation or acceptance of a referral for investigation. Also included are enforcement strategies to address enforcement issues which impact more than one process, intelligence activities and investigations of drug and money laundering violations, intelligence activities and investigations related to alleged/suspected violations which are independent of process activities, the air and marine interdiction programs, and radio communications management.

PERFORMANCE MEASURES

	2001 actual	2002 est.	2003 est.
Quantity of narcotics seized (thousands of lbs.):			
Heroin	3.6	2.6	2.7
Cocaine	190.9	160.0	166.9
Marijuana	1,503.9	1,370.0	1,442.5
Number of narcotics seizures:			
Heroin	916	860	901

Cocaine	2,698	2,500	2,607
Marijuana	14,587	15,280	16,088

The North American Free Trade Agreement Implementation Act (Public Law 103-182) extended the collection of existing Customs user fees (including merchandise and passenger fees) through September 2003. The collections finance overtime and related expenses incurred by the Customs Service. To more accurately cover costs, the Administration proposes increasing two of the user fees—one for airline passengers and the other for sea passengers.

Object Classification (in millions of dollars)

Identification code 20-0602-0-1-751	2001 actual	2002 est.	2003 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	954	1,102	1,417
11.3	Other than full-time permanent	18	21	20
11.5	Other personnel compensation	227	282	529
11.9	Total personnel compensation	1,199	1,405	1,966
12.1	Civilian personnel benefits	424	532	623
21.0	Travel and transportation of persons	34	69	62
22.0	Transportation of things	5	13	13
23.1	Rental payments to GSA	176	234	234
23.2	Rental payments to others	3	2	2
23.3	Communications, utilities, and miscellaneous charges	31	51	48
24.0	Printing and reproduction	4	4	4
25.1	Advisory and assistance services	24	50	26
25.2	Other services	128	193	161
25.3	Other purchases of goods and services from Gov- ernment accounts	36	46	38
25.4	Operation and maintenance of facilities	24	32	27
25.5	Research and development contracts	1	4	1
25.7	Operation and maintenance of equipment	34	41	45
26.0	Supplies and materials	28	32	31
31.0	Equipment	138	431	249
32.0	Land and structures		12	
41.0	Grants, subsidies, and contributions	1	1	1
91.0	Unvouchered	2	4	3
99.0	Direct obligations	2,292	3,156	3,534
99.0	Reimbursable obligations	456	561	473
99.9	Total new obligations	2,748	3,717	4,007

Personnel Summary

Identification code 20-0602-0-1-751	2001 actual	2002 est.	2003 est.	
Direct:				
1001	Total compensable workyears: Full-time equivalent employment	17,140	19,123	19,555
Reimbursable:				
2001	Total compensable workyears: Full-time equivalent employment	1,988	1,808	1,808

OPERATION, MAINTENANCE AND PROCUREMENT, AIR AND MARINE INTERDICTION PROGRAMS

For expenses, not otherwise provided for, necessary for the operation and maintenance of marine vessels, aircraft, and other related equipment of the Air and Marine Programs, including operational training and mission-related travel, and rental payments for facilities occupied by the air or marine interdiction and demand reduction programs, the operations of which include the following: the interdiction of narcotics and other goods; the provision of support to Customs and other Federal, State, and local agencies in the enforcement or administration of laws enforced by the Customs Service; and, at the discretion of the Commissioner of Customs, the provision of assistance to Federal, State, and local agencies in other law enforcement and emergency humanitarian efforts, **[\$177,860,000]** *\$170,829,000*, which shall remain available until expended: *Provided*, That no aircraft or other related equipment, with the exception of aircraft which is one of a kind and has been identified as excess to Customs requirements and aircraft which has been damaged beyond repair, shall be transferred to any other Federal agency, department, or office outside of the Department of the Treasury, during fiscal year **[2002]** *2003* without **[the]** prior **[approval]** *notification* of the Committees

General and special funds—Continued

OPERATION, MAINTENANCE AND PROCUREMENT, AIR AND MARINE INTERDICTION PROGRAMS—Continued

on Appropriations. (*Treasury Department Appropriations Act, 2002; additional authorizing legislation required.*)

【For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for “Operation, Maintenance and Procurement, Air and Marine Interdiction Programs” \$6,700,000, to remain available until expended, to be obligated from amounts made available in Public Law 107–38.】 (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 20–0604–0–1–751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Air and marine interdiction	107	150	102
00.02 P3 interdiction	59	41	42
00.03 Procurement	44	78	27
09.01 Reimbursable program	4	2	2
10.00 Total new obligations	214	271	173
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	122	75
22.00 New budget authority (gross)	159	187	173
22.10 Resources available from recoveries of prior year obligations	8	9	9
23.90 Total budgetary resources available for obligation	289	271	182
23.95 Total new obligations	–214	–271	–173
24.40 Unobligated balance carried forward, end of year	75	9
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	140	178	171
40.15 Appropriation (emergency)	7
42.00 Transferred from other accounts	15
43.00 Appropriation (total discretionary)	155	185	171
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	4	2	2
70.00 Total new budget authority (gross)	159	187	173
Change in obligated balances:			
72.40 Obligated balance, start of year	154	158	220
73.10 Total new obligations	214	271	173
73.20 Total outlays (gross)	–202	–200	–178
73.45 Recoveries of prior year obligations	–8	–9	–9
74.40 Obligated balance, end of year	158	220	206
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	102	132	122
86.93 Outlays from discretionary balances	101	66	55
87.00 Total outlays (gross)	202	200	178
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	–4	–2	–2
Net budget authority and outlays:			
89.00 Budget authority	155	185	171
90.00 Outlays	199	198	176

The Customs Air and Marine Interdiction Program combats the illegal entry of narcotics and other goods into the United States. This appropriation provides capital procurement and total operations and maintenance for the Customs air and marine program. This program also provides support for the interdiction of narcotics by other Federal, State and local agencies.

The Customs Service will continue implementation of the Western Hemisphere Drug Elimination Act (WHDEA). \$38 million in new funding will intensify WHDEA activities, including the purchase of new equipment as well as other enhancements, to improve interdiction efforts against drug transit operations in the source zone.

Object Classification (in millions of dollars)

Identification code 20–0604–0–1–751	2001 actual	2002 est.	2003 est.
Direct obligations:			
21.0 Travel and transportation of persons	7	14	9
22.0 Transportation of things	1	2	1
23.2 Rental payments to others	3	3	3
23.3 Communications, utilities, and miscellaneous charges	4	5	5
25.1 Advisory and assistance services	1	2	1
25.2 Other services	14	28	14
25.3 Other purchases of goods and services from Government accounts	2	4	2
25.4 Operation and maintenance of facilities	1	2	1
25.7 Operation and maintenance of equipment	64	132	93
26.0 Supplies and materials	46	44	38
31.0 Equipment	67	28	4
32.0 Land and structures	5
99.0 Direct obligations	210	269	171
99.0 Reimbursable obligations	4	2	2
99.9 Total new obligations	214	271	173

AUTOMATION MODERNIZATION

For expenses not otherwise provided for Customs automated systems, [\$427,832,000] \$435,332,000, to remain available until expended, of which [\$5,400,000] shall be for the International Trade Data System, and [\$300,000,000] \$312,900,000 shall be for the development of the Automated Commercial Environment: *Provided*, That none of the funds appropriated under this heading may be obligated for the Automated Commercial Environment until the United States Customs Service prepares and submits to the Committees on Appropriations a plan for expenditure that: (1) meets the capital planning and investment control review requirements established by the Office of Management and Budget, including OMB Circular A–11, part 3; (2) complies with the United States Customs Service’s Enterprise Information Systems Architecture; (3) complies with the acquisition rules, requirements, guidelines, and systems acquisition management practices of the Federal Government; (4) is reviewed and approved by the Customs Investment Review Board, the Department of the Treasury, and the Office of Management and Budget; and (5) is reviewed by the General Accounting Office: *Provided further*, That none of the funds appropriated under this heading may be obligated for the Automated Commercial Environment until *notification* of such expenditure plan has been [approved by] transmitted to the Committees on Appropriations. (*Treasury Department Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 20–0610–0–1–751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.04 Commercial	161	525	435
10.00 Total new obligations	161	525	435
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	97
22.00 New budget authority (gross)	258	428	435
23.90 Total budgetary resources available for obligation	258	525	435
23.95 Total new obligations	–161	–525	–435
24.40 Unobligated balance carried forward, end of year	97
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	258	428	435
Change in obligated balances:			
72.40 Obligated balance, start of year	69	37
73.10 Total new obligations	161	525	435
73.20 Total outlays (gross)	–92	–557	–435
74.40 Obligated balance, end of year	69	37	37
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	92	218	221
86.93 Outlays from discretionary balances	339	214

87.00	Total outlays (gross)	92	557	435
Net budget authority and outlays:				
89.00	Budget authority	258	428	435
90.00	Outlays	92	557	435

Customs is in the process of modernizing its trade data processing system. The current system, the Automated Commercial System (ACS), will be replaced with the new Automated Commercial Environment (ACE). ACE will provide an upgrade to the system which will enable Customs to meet the demands of an increasing volume of trade and convert to a paperless process and an account-based system. These funds will support the ACS legacy system while the conversion to ACE is underway, provide resources for the conversion to the ACE system, and assist Customs in incorporating the development of an International Trade Data System into its overall plan for modernizing the trade data processing system.

Object Classification (in millions of dollars)

Identification code 20-0610-0-1-751	2001 actual	2002 est.	2003 est.
Direct obligations:			
21.0	Travel and transportation of persons	2	
23.3	Communications, utilities, and miscellaneous charges	8	180
25.1	Advisory and assistance services	36	
25.2	Other services	40	329
25.7	Operation and maintenance of equipment	35	
26.0	Supplies and materials	2	
31.0	Equipment	41	91
99.0	Direct obligations	160	525
99.5	Below reporting threshold	1	
99.9	Total new obligations	161	525

CUSTOMS FACILITIES, CONSTRUCTION, IMPROVEMENTS AND RELATED EXPENSES

Program and Financing (in millions of dollars)

Identification code 20-0608-0-1-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00	Total new obligations (object class 25.2)	7	6
Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year	13	6
23.95	Total new obligations	-7	-6
24.40	Unobligated balance carried forward, end of year	6	
Change in obligated balances:			
72.40	Obligated balance, start of year	2	8
73.10	Total new obligations	7	6
73.20	Total outlays (gross)	-1	-2
74.40	Obligated balance, end of year	8	10
Outlays (gross), detail:			
86.93	Outlays from discretionary balances	1	2
Net budget authority and outlays:			
89.00	Budget authority		
90.00	Outlays	1	2

This account funds major Customs construction, repair, and facility improvement initiatives.

CONTINUED DUMPING AND SUBSIDY OFFSET

Unavailable Collections (in millions of dollars)

Identification code 20-5688-0-2-376	2001 actual	2002 est.	2003 est.
01.99	Balance, start of year		
Receipts:			
02.00	Antidumping and countervailing duties, Continued dumping and subsidy offset	247	200

Appropriations:			
05.00	Continued dumping and subsidy offset	-247	-200
05.99	Total appropriations	-247	-200
07.99	Balance, end of year		

Program and Financing (in millions of dollars)

Identification code 20-5688-0-2-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00	Total new obligations (object class 41.0)		200
Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year	247	447
22.00	New budget authority (gross)	247	200
23.90	Total budgetary resources available for obligation	247	447
23.95	Total new obligations		-200
24.40	Unobligated balance carried forward, end of year	247	447
New budget authority (gross), detail:			
Discretionary:			
40.20	Appropriation (special fund)	247	
Mandatory:			
60.20	Appropriation (special fund)		200
70.00	Total new budget authority (gross)	247	200
Change in obligated balances:			
72.40	Obligated balance, start of year		-200
73.10	Total new obligations		200
73.20	Total outlays (gross)		-200
74.40	Obligated balance, end of year		-200
Outlays (gross), detail:			
86.97	Outlays from new mandatory authority		200
Net budget authority and outlays:			
89.00	Budget authority	247	200
90.00	Outlays		200

The United States Customs Service distributes duties, on an annual basis, assessed pursuant to a countervailing duty order, an antidumping duty order, or a finding under the Antidumping Act of 1921 to the affected domestic producers to offset qualifying expenditures.

CUSTOMS SERVICES AT SMALL AIRPORTS

Unavailable Collections (in millions of dollars)

Identification code 20-5694-0-2-751	2001 actual	2002 est.	2003 est.
01.99	Balance, start of year		
Receipts:			
02.20	User fees for customs service	3	3
02.80	Customs services at small airports, offsetting collections	1	1
02.99	Total receipts and collections	4	4
Appropriations:			
05.00	Customs services at small airports	-4	-4
05.99	Total appropriations	-4	-4
07.99	Balance, end of year		

Program and Financing (in millions of dollars)

Identification code 20-5694-0-2-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01	Direct program	3	3
09.01	Reimbursable program	1	1
10.00	Total new obligations	4	4
Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year	3	3
22.00	New budget authority (gross)	4	4

General and special funds—Continued

CUSTOMS SERVICES AT SMALL AIRPORTS—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 20-5694-0-2-751	2001 actual	2002 est.	2003 est.
23.90 Total budgetary resources available for obligation	7	7	7
23.95 Total new obligations	-4	-4	-4
24.40 Unobligated balance carried forward, end of year	3	3	3

New budget authority (gross), detail:

Discretionary:			
40.20 Appropriation (special fund)	3	3	3
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1	1	1
70.00 Total new budget authority (gross)	4	4	4

Change in obligated balances:

72.40 Obligated balance, start of year	1		-1
73.10 Total new obligations	4	4	4
73.20 Total outlays (gross)	-4	-5	-5
74.40 Obligated balance, end of year		-1	-2

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	4	4	4
86.93 Outlays from discretionary balances	1	1	1
87.00 Total outlays (gross)	4	5	5

Offsets:

Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-1	-1	-1

Net budget authority and outlays:

89.00 Budget authority	3	3	3
90.00 Outlays	3	4	4

Customs charges fees at certain small airports where the volume or value of business is insufficient to justify the availability of Customs services. The funds generated from these fees are applied to expenditures incurred in providing Customs services at each of these designated small airports. (19 U.S.C. 58b.)

The Treasury, Postal Service, and General Government Appropriations Act of 1998 (Public Law 105-284) made permanent the provision that Customs services at small airports may be derived from fees collected.

Object Classification (in millions of dollars)

Identification code 20-5694-0-2-751	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	4	4
12.1 Civilian personnel benefits	1		
99.0 Direct obligations	3	4	4
99.5 Below reporting threshold	1		
99.9 Total new obligations	4	4	4

Personnel Summary

Identification code 20-5694-0-2-751	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	69	69	69

REFUNDS, TRANSFERS, AND EXPENSES OF OPERATION, PUERTO RICO

Unavailable Collections (in millions of dollars)

Identification code 20-5687-0-2-806	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.00 Deposits, duties and taxes, Puerto Rico, U.S. Customs Service	86	101	103

02.80 Refunds, transfers, and expenses of operation, Puerto Rico, offsetting collections	4	4	4
02.99 Total receipts and collections	90	105	107
Appropriations:			
05.00 Refunds, transfers, and expenses of operation, Puerto Rico	-90	-105	-107
05.99 Total appropriations	-90	-105	-107
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 20-5687-0-2-806	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	86	97	99
09.01 Reimbursable program	4	4	4
10.00 Total new obligations	90	101	103

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year		1	4
22.00 New budget authority (gross)	90	105	107
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	91	106	111
23.95 Total new obligations	-90	-101	-103
24.40 Unobligated balance carried forward, end of year	1	4	8

New budget authority (gross), detail:

Mandatory:			
60.20 Appropriation (special fund)	86	101	103
69.00 Offsetting collections (cash)	4	4	4
70.00 Total new budget authority (gross)	90	105	107

Change in obligated balances:

72.40 Obligated balance, start of year	16	16	12
73.10 Total new obligations	90	101	103
73.20 Total outlays (gross)	-90	-105	-107
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	16	12	8

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	90	105	107
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Offsets:

Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-4	-4	-4

Net budget authority and outlays:

89.00 Budget authority	86	101	103
90.00 Outlays	85	101	103

Customs duties, taxes, and fees collected in Puerto Rico are deposited in this account. After providing for the expenses of administering Customs activities in Puerto Rico, the remaining amounts are transferred to the Treasurer of Puerto Rico (48 U.S.C. 740, 795).

Object Classification (in millions of dollars)

Identification code 20-5687-0-2-806	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	17	16	18
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	2	2	2
11.9 Total personnel compensation	20	19	21
12.1 Civilian personnel benefits	8	8	8
21.0 Travel and transportation of persons	2	2	2
23.1 Rental payments to GSA		1	1
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	7	5	5
25.4 Operation and maintenance of facilities	1	1	1
25.7 Operation and maintenance of equipment	2	2	2

26.0	Supplies and materials	1	1	1
31.0	Equipment	4	3	3
41.0	Payments to the Treasurer of Puerto Rico	38	51	51
44.0	Refunds	2	4	4
99.0	Direct obligations	86	98	100
99.0	Reimbursable obligations	2	3	3
99.5	Below reporting threshold	2		
99.9	Total new obligations	90	101	103

Personnel Summary

Identification code 20-5687-0-2-806	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	380	380	380

Trust Funds

**HARBOR MAINTENANCE FEE COLLECTION
(INCLUDING TRANSFER OF FUNDS)**

For administrative expenses related to the collection of the Harbor Maintenance Fee, pursuant to Public Law 103-182, \$3,000,000, to be derived from the Harbor Maintenance Trust Fund and to be transferred to and merged with the Customs "Salaries and Expenses" account for such purposes. (*Treasury Department Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 20-8870-0-7-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)	3	3	3
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	3	3	3
23.95 Total new obligations	-3	-3	-3
New budget authority (gross), detail:			
Discretionary:			
40.26 Appropriation (trust fund)	3	3	3
Change in obligated balances:			
73.10 Total new obligations	3	3	3
73.20 Total outlays (gross)	-3	-3	-3
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	3	3	3
Net budget authority and outlays:			
89.00 Budget authority	3	3	3
90.00 Outlays	3	3	3

Customs collects a fee on imports on behalf of the U.S. Army Corps of Engineers. In 2002, collections are estimated at \$743 million. This appropriation provides funding derived from the Harbor services trust fund to offset costs incurred by Customs in collecting the fee.

REFUNDS, TRANSFERS, AND EXPENSES, UNCLAIMED AND ABANDONED GOODS

Unavailable Collections (in millions of dollars)

Identification code 20-8789-0-7-751	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.00 Proceeds of sales of unclaimed, abandoned, and seized goods, U.S. Customs	7	6	7
Appropriations:			
05.00 Refunds, transfers and expenses, unclaimed, and abandoned goods	-6	-6	-7
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 20-8789-0-7-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	7	5	5
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	1	1
22.00 New budget authority (gross)	6	6	7
23.90 Total budgetary resources available for obligation	8	7	8
23.95 Total new obligations	-7	-5	-5
24.40 Unobligated balance carried forward, end of year	1	1	1
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)	6	6	7
Change in obligated balances:			
73.10 Total new obligations	7	5	5
73.20 Total outlays (gross)	-5	-5	-7
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	5	5	7
Net budget authority and outlays:			
89.00 Budget authority	6	6	7
90.00 Outlays	7	5	7

Unclaimed and abandoned goods are held in storage under Customs custody for one year from the date of importation. At the end of that period, all merchandise upon which duties, storage, and other charges have not been paid is appraised and sold at public auction. The proceeds of such sales are deposited in this account. The salaries and expenses account is reimbursed for expenses of such sales and the balance is transferred to the general fund. (19 U.S.C. 528, 1491, 1493, 1559, 1613, 1624).

Object Classification (in millions of dollars)

Identification code 20-8789-0-7-751	2001 actual	2002 est.	2003 est.
25.2 Other services	6	4	4
44.0 Refunds	1	1	1
99.9 Total new obligations	7	5	5

BUREAU OF ENGRAVING AND PRINTING

Federal Funds

Intragovernmental funds:

BUREAU OF ENGRAVING AND PRINTING FUND

Program and Financing (in millions of dollars)

Identification code 20-4502-0-4-803	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Operating expenditures:			
09.01 Currency program	302	360	415
09.02 Postage program	51	45	34
09.03 Other programs	13	9	6
Capital investment:			
09.11 Purchase of operating equipment	51	109	99
09.12 Plant alterations and experimental equipment	1	1	1
09.13 Accrued Federal employee pensions and annuitant health benefits	12	12	12
10.00 Total new obligations	430	536	567
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	181	154	54
22.00 New budget authority (gross)	403	436	517
23.90 Total budgetary resources available for obligation	584	590	571
23.95 Total new obligations	-430	-536	-567
24.40 Unobligated balance carried forward, end of year	154	54	4

Intragovernmental funds—Continued

BUREAU OF ENGRAVING AND PRINTING FUND—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 20-4502-0-4-803	2001 actual	2002 est.	2003 est.
New budget authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	403	436	517
Change in obligated balances:			
72.40 Obligated balance, start of year	57	81	131
73.10 Total new obligations	430	536	567
73.20 Total outlays (gross)	-406	-486	-537
74.40 Obligated balance, end of year	81	131	161
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	403	436	517
86.93 Outlays from discretionary balances	3	50	20
87.00 Total outlays (gross)	406	486	537
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 Postage	-51	-45	-34
88.00 Other	-13	-9	-6
Non-Federal sources:			
88.40 Currency	-339	-382	-477
88.40 Other			
88.90 Total, offsetting collections (cash)	-403	-436	-517
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	3	50	20

The Bureau of Engraving and Printing designs, manufactures, and supplies Federal Reserve notes, various public debt instruments, as well as most evidences of a financial character issued by the United States, such as postage and internal revenue stamps. The Bureau executes certain printings for various territories administered by the United States, particularly postage and revenue stamps.

The anticipated work volume is based on estimates of requirements submitted by agencies served. The program comprises the following activities:

Engraving and printing—

Currency.—Total deliveries of currency for 2002 and 2003 are estimated to be 7.0 billion notes each year. During 2001, the Bureau delivered 7.0 billion Federal Reserve notes.

Stamps.—This category of work is comprised of postal and internal revenue stamps. The projected requirements for 2002 and 2003 are estimated to be 12.0 billion and 9.0 billion stamps, respectively. In 2001, the Bureau delivered 15.9 billion stamps.

Securities.—This program encompasses the production of a wide variety of bonds, notes, and debentures for the Bureau of Public Debt and certain other agencies of the Government.

Commissions, certificates, etc.—This program is comprised primarily of Presidential and Department of Defense commissions and certificates, White House invitations, and identification cards for various Government agencies. It represents a small portion of the Bureau's total workload.

Space utilized by other agencies.—Other agencies are charged for services provided in the space occupied in the Bureau's buildings.

Other miscellaneous services.—A wide variety of miscellaneous services are performed by Bureau personnel for other agencies, which are charged on an actual cost basis.

Purchase of operating equipment.—This category consists of new purchases and replacement of printing equipment and other related printing items.

Plant alterations and experimental equipment.—This category encompasses alterations made on the Bureau's buildings and purchases of experimental equipment.

The operations of the Bureau are currently financed by means of a revolving fund established in accordance with the provisions of Public Law 656, August 4, 1950 (31 U.S.C. 181), which requires the Bureau to be reimbursed by customer agencies for all costs of manufacturing products and services performed. The Bureau is also authorized to assess amounts to acquire capital equipment and provide for working capital needs. Bureau operations during 2001 resulted in a decrease to retained earnings of \$45 million.

PERFORMANCE MEASURES

	2001 actual	2002 est.	2003 est.
Manufacturing workyears	1,883	1,835	1,815
Protection and accountability of assets	420	450	450
Resource management workyears	302	305	305
Total workyears	2,605	2,590	2,570
Manufacturing:			
Federal Reserve orders met as requested	100%	100%	100%
USPS orders met as requested	100%	100%	100%
Change in productivity from prior year	-16.6%	-10%	0%
Manufacturing cost for currency (cost per 1000 notes)	\$23.88	\$25.00	\$27.50
Manufacturing cost for stamps 100 stamp flag coil pressure sensitive (cost per 1000 stamps)	\$1.31	\$1.45	Discontinued
Notes returned by Federal Reserve due to manufacturing defect (per million notes)009	.025	.025
Stamps returned by USPS due to manufacturing defect (per million stamps)0005	.1000	Discontinued
Notes returned by Federal Reserve because of counterfeit deterrence defect (per million notes)029	.0500	Discontinued
Maintain/Upgrade ISO Certification	Certified	Certified	Certified
Workload Measure:			
Federal Reserve note deliveries (in billions)	7.0	7.0	7.0
Postage stamp deliveries (in billions)	15.9	12.0	9.0
Protection and Accountability of Assets:			
Currency shipment discrepancies (per million notes)		0.0100	0.100
Postage Stamp discrepancies (per million stamps)	5.81	20.0	Discontinued
Resource Management:			
Annual financial statement audit opinion	Unqualified	Unqualified	Unqualified
¹ Unqualified opinion received.			
² Unqualified opinion expected.			

Statement of Operations (in millions of dollars)

Identification code 20-4502-0-4-803	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	476	391	424	505
0102 Expense	-461	-436	-453	-525
0105 Net income or loss (-)	15	-45	-29	-20

Balance Sheet (in millions of dollars)

Identification code 20-4502-0-4-803	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Non-Federal assets:				
1206 Receivables, net	43	22	25	30
1207 Advances and prepayments	5	2	1	1
Other Federal assets:				
1801 Cash and other monetary assets	240	238	188	168
1802 Inventories and related properties	67	73	59	49
1803 Property, plant and equipment, net	320	299	332	337
1901 Other assets—Machinery repair parts	22	21	20	20
1999 Total assets	697	655	625	605
LIABILITIES:				
Federal liabilities: Accounts payable				
2101	40	8	20	20
Non-Federal liabilities:				
2201 Accounts payable	13	39	25	25
2206 Pension and other actuarial liabilities	50	59	60	60
2999 Total liabilities	103	106	105	105
NET POSITION:				
3100 Appropriated capital	32	32	32	32
3300 Cumulative results of operations	562	517	488	468
3999 Total net position	594	549	520	500

4999	Total liabilities and net position	697	655	625	605
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Object Classification (in millions of dollars)

Identification code 20-4502-0-4-803	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	142	150	161
11.3 Other than full-time permanent	3	3	5
11.5 Other personnel compensation	17	20	30
11.9 Total personnel compensation	162	173	196
12.1 Civilian personnel benefits	48	52	57
21.0 Travel and transportation of persons	2	2	5
23.1 Rental payments to GSA	3	4	5
23.3 Communications, utilities, and miscellaneous charges	15	15	25
24.0 Printing and reproduction	1	1	1
25.2 Other services	48	50	60
26.0 Supplies and materials	100	129	118
31.0 Equipment	51	110	100
99.9 Total new obligations	430	536	567

Personnel Summary

Identification code 20-4502-0-4-803	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	2,490	2,677	2,677

UNITED STATES MINT**Federal Funds****Public enterprise revolving funds:****UNITED STATES MINT PUBLIC ENTERPRISE FUND**

Pursuant to section 5136 of title 31, United States Code, the United States Mint is provided funding through the United States Mint Public Enterprise Fund for costs associated with the production of circulating coins, numismatic coins, and protective services, including both operating expenses and capital investments. The aggregate amount of new liabilities and obligations incurred during fiscal year [2002] 2003 under such section 5136 for circulating coinage and protective service capital investments of the United States Mint shall not exceed [\$43,000,000. From amounts in the United States Mint Public Enterprise Fund, the Secretary of the Treasury shall pay to the Comptroller General an amount not to exceed \$250,000 to reimburse the Comptroller General for the cost of a study to be conducted by the Comptroller General on any changes necessary to maximize public interest and acceptance and to achieve a better balance in the numbers of coins of different denominations in circulation, with particular attention to increasing the number of \$1 coins in circulation] \$34,900,000. (Treasury Department Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 20-4159-0-3-803	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Circulating coinage	289	237	246
09.02 Commemorative states quarters	301	206	227
09.03 Numismatic and investment products	427	403	413
09.04 Protection	36	29	33
09.05 Accrued Federal employee pensions and annuitant health benefits	10	9	10
10.00 Total new obligations	1,063	884	929
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	61	67	67
22.00 New budget authority (gross)	1,069	884	929
23.90 Total budgetary resources available for obligation	1,130	951	996
23.95 Total new obligations	-1,063	-884	-929
24.40 Unobligated balance carried forward, end of year	67	67	67
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	18	13
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	1,146	871	929

68.10 Change in uncollected customer payments from Federal sources (unexpired)	5
68.27 Capital transfer to general fund	-100
68.90 Spending authority from offsetting collections (total discretionary)	1,051	871	929
70.00 Total new budget authority (gross)	1,069	884	929

Change in obligated balances:

72.40 Obligated balance, start of year	291	241	254
73.10 Total new obligations	1,063	884	929
73.20 Total outlays (gross)	-1,106	-871	-929
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-5
74.40 Obligated balance, end of year	241	254	254

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	1,069	871	929
86.93 Outlays from discretionary balances	37
87.00 Total outlays (gross)	1,106	871	929

Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Non-Federal sources:			
88.40 Circulating coinage	-371	-262	-289
88.40 Commemorative quarters	-301	-206	-227
88.40 Numismatic and investment products	-474	-403	-413
88.90 Total, offsetting collections (cash)	-1,146	-871	-929
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-5

New budget authority and outlays:

89.00 Budget authority	-82	13
90.00 Outlays	-39

The United States Mint manufactures coins, sells numismatic and investment products, and provides for security and asset protection. Public Law 104-52, dated November 19, 1995, enacted 5136, of Subchapter III of chapter 51 of subtitle IV of title 31, United States Code established the United States Mint Public Enterprise Fund (the Fund). The Fund encompasses the previous Salaries and Expenses, Coinage Profit Fund, Coinage Metal Fund, and the Numismatic Public Enterprise Fund. The Mint submits annual audited business-type financial statements to the Secretary of the Treasury and to Congress in support of the operations of the revolving fund.

The operations of the Mint are divided into three major activities: Circulating Coinage; Numismatic and Investment Products; and Protection. The Mint is credited with receipts from its circulating coinage operations, equal to the full cost of producing and distributing coins that are put into circulation, including depreciation of the Mint's plant and equipment on the basis of current replacement value. From that, the Mint pays its cost of operations, which includes the costs of production and distribution. The difference between the face value of the coins and these costs are profit, which is deposited as seigniorage to the general fund. In 2001, the Mint transferred \$1,383 million to the general fund. Any seigniorage used to finance the Mint's capital acquisitions is recorded as budget authority in the year that funds are obligated for this purpose, and as receipts over the life of the asset.

Circulating Coinage.—This activity funds the manufacture of circulating coins for sale to the Federal Reserve System as determined by public demand. In 2003, this activity will manufacture 18.9 billion coins for sale to the Federal Reserve System. In 1996, with the merger of the former Coinage Metal Fund into the Mint Public Enterprise Fund, the Mint began including the cost of metal in the Circulating Coinage activity.

Numismatic and Investment Products.—This activity funds the manufacture of numismatic and bullion coins, medals, and other products for sale to collectors and the general pub-

Public enterprise revolving funds—Continued

UNITED STATES MINT PUBLIC ENTERPRISE FUND—Continued

lic. These coins include annual recurring programs such as proof and uncirculated sets, silver proof coins, the American Eagle gold and silver bullion uncirculated and proof coins, American Eagle platinum coins, and national and historic medals. The activity also includes nonrecurring programs for coins and medals which are legislated to commemorate specific events or individuals. In 2003, this activity will fund the First Flight Commemorative Coin Program. In addition, the Fifty States Commemorative Coin Program Act authorized, beginning in 1999, the issuance of quarters for sale to the public and to the Federal Reserve System honoring each of the 50 states with a design emblematic of that state. These quarters will be issued in the order of each state's admission to the Union. The Mint will produce five different state quarter designs each year resulting in a 10-year program. All coins produced for this program are considered to be numismatic products (Public Law 105-124).

Protection.—This activity funds protection of the Government's stock of gold and silver bullion, coins, Mint employees and visitors, plant facilities and equipment, and all other Mint property against abuse, theft, damage, disorders, and all other unsafe or illegal practices by utilizing police officers and modern protective devices.

<i>Circulating coinage activity:</i>	2001 actual	2002 est.	2003 est.
Frequency of time meeting a minimum, seasonal-adjusted, inventory level (beginning July 2000)	100%	100%	100%
Federal Reserve Board customer satisfaction survey results	87%	85%	85%
Conversion cost to produce 1000 coin equivalents	\$8.33	8.97	9.30
<i>Numismatic and investment products:</i>			
American customer satisfaction index score of 85 (customer service standard)	88	85	85
Percent of commemorative coins shipped within standard	89%	98%	98%
Percent of recurring coin products shipped within standard	85%	98%	98%
Numismatic profit margin for bullion	0%	2%	2%
Numismatic profit margin for non-bullion	27%	15%	15%
<i>Protection activity:</i>			
Dollar loss of reserve value	\$0	\$0	\$0

Statement of Operations (in millions of dollars)

Identification code 20-4159-0-3-803	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	1,414	1,120	942	986
0102 Expense	-1,353	-1,053	-875	-919
0105 Net income or loss (-)	61	67	67	67

Balance Sheet (in millions of dollars)

Identification code 20-4159-0-3-803	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	339	279	360	382
Investments in US securities:				
1106 Receivables, net	3	7	3	3
1107 Advances and prepayments	2	7	2	2
Other Federal assets:				
1802 Inventories and related properties	446	468	473	501
1803 Property, plant and equipment, net	225	327	238	252
1901 Other assets	28	5	30	32
1999 Total assets	1,043	1,093	1,106	1,172
LIABILITIES:				
Federal liabilities: Accounts payable				
2101	175	160	185	196
Non-Federal liabilities:				
2201 Accounts payable	49	28	52	55
2207 Other	69	62	74	78
2999 Total liabilities	293	250	311	329
NET POSITION:				
3300 Cumulative results of operations	750	843	795	843
3999 Total net position	750	843	795	843
4999 Total liabilities and net position	1,043	1,093	1,106	1,172

Object Classification (in millions of dollars)

Identification code 20-4159-0-3-803	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	121	127	139
11.3 Other than full-time permanent	4	1
11.5 Other personnel compensation	17	7	6
11.9 Total personnel compensation	142	135	145
12.1 Civilian personnel benefits	45	44	36
13.0 Benefits for former personnel	1	1	1
21.0 Travel and transportation of persons	4	4	4
22.0 Transportation of things	29	25	36
23.1 Rental payments to GSA	1	1	1
23.2 Rental payments to others	3	16	18
23.3 Communications, utilities, and miscellaneous charges	23	14	15
24.0 Printing and reproduction	3	7	7
25.2 Other services	100	94	84
26.0 Supplies and materials	639	490	535
31.0 Equipment	65	30	33
32.0 Land and structures	8	23	14
99.9 Total new obligations	1,063	884	929

Personnel Summary

Identification code 20-4159-0-3-803	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	2,760	2,536	2,467

BUREAU OF THE PUBLIC DEBT

Federal Funds

General and special funds:

ADMINISTERING THE PUBLIC DEBT

For necessary expenses connected with any public-debt issues of the United States, **[\$191,353,000] \$204,187,000**, of which not to exceed **[\$15,000] \$2,500** shall be available for official reception and representation expenses, and of which not to exceed **\$2,000,000** shall remain available until expended for systems modernization: *Provided*, That the sum appropriated herein from the General Fund for fiscal year **[2002] 2003** shall be reduced by not more than **\$4,400,000** as definitive security issue fees and Treasury Direct Investor Account Maintenance fees are collected, so as to result in a final fiscal year **[2002] 2003** appropriation from the General Fund estimated at **[\$186,953,000] \$199,787,000**. In addition, **\$40,000**, to be derived from the Oil Spill Liability Trust Fund to reimburse the Bureau for administrative and personnel expenses for financial management of the Fund, as authorized by section 1012 of Public Law 101-380. (*Treasury Department Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 20-0560-0-1-803	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Savings and retirement securities	144	150	155
00.02 Marketable and special securities	46	45	47
00.03 Reimbursements to Federal Reserve Banks	134	131	131
09.01 Reimbursable program	8	10	10
10.00 Total new obligations	332	336	343
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	7	6	4
22.00 New budget authority (gross)	326	332	341
22.10 Resources available from recoveries of prior year obligations	8	2
23.90 Total budgetary resources available for obligation	341	340	345
23.95 Total new obligations	-332	-336	-343
23.98 Unobligated balance expiring or withdrawn	-2
24.40 Unobligated balance carried forward, end of year	6	4	2
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	192	195	200

Mandatory:				
60.00	Appropriation	126	127	131
	Spending authority from offsetting collections:			
	Discretionary:			
	Offsetting collections (cash):			
68.00	Offsetting collections (cash)	4	6	6
68.00	Offsetting collections (user fees)	4	4	4
68.90	Spending authority from offsetting collections (total discretionary)	8	10	10
70.00	Total new budget authority (gross)	326	332	341

Change in obligated balances:				
72.40	Obligated balance, start of year	91	82	52
73.10	Total new obligations	332	336	343
73.20	Total outlays (gross)	-331	-364	-339
73.40	Adjustments in expired accounts (net)	-1		
73.45	Recoveries of prior year obligations	-8	-2	
74.40	Obligated balance, end of year	82	52	56

Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	179	183	187
86.93	Outlays from discretionary balances	17	22	22
86.97	Outlays from new mandatory authority	126	95	98
86.98	Outlays from mandatory balances	10	64	32
87.00	Total outlays (gross)	331	364	339

Offsets:				
	Against gross budget authority and outlays:			
	Offsetting collections (cash) from:			
88.00	Federal sources	-4	-6	-6
88.40	Non-Federal sources	-4	-4	-4
88.90	Total, offsetting collections (cash)	-8	-10	-10

Net budget authority and outlays:				
89.00	Budget authority	318	322	331
90.00	Outlays	323	354	329

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	310	314	322
90.00	Outlays	315	346	320

This appropriation provides funds for the conduct of all public debt operations and the promotion of the sale of U.S. savings-type securities.

Processing and accounting for:

Savings securities.—This activity involves the issuance, servicing, and retirement of savings bonds and notes and retirement-type securities, including: (1) the maintenance and servicing of individual accounts of owners of series H and HH bonds and the authorization of interest payments; and (2) the maintenance of accounting control over financial transactions, securities transactions and accountability, and interest cost. These functions are performed directly by the Bureau of the Public Debt, by the Federal Reserve Banks as fiscal agents of the United States, and by the qualified agents which issue and redeem savings bonds and notes. This activity also consists of sales promotion efforts, using press, radio, other advertising media, and organized groups, augmented by concentrated sales campaigns emphasizing payroll savings plans.

	2001 actual	2002 est.	2003 est.	
Number of Savings Securities Redemptions (000)	56,335	72,000	72,000	
Number of Savings Securities Issued (000)	43,164	52,500	52,500	
Provide quality service to purchasers of savings bonds:				
Percent over-the-counter issued within three weeks	99.97	99.95	99.95	
Percent of customer service transactions within four weeks	95.8	N/A	N/A	
Percent of customer service transactions within three weeks	N/A	90	90	

Marketable and special securities.—This activity involves all securities of the United States, other than savings and retirement securities, including securities of Government cor-

porations for which the Bureau of the Public Debt provides services. Functions performed relate to the issuance, servicing, and retirement of these securities, both directly by the Bureau and through the Federal Reserve Banks, as fiscal agents, including: (1) The maintenance and servicing of individual accounts of owners of registered securities and book-entry Treasury bills; (2) the authorization of interest and principal payments; and (3) the maintenance of accounting control over financial transactions, securities transactions and accountability, and interest cost.

	2001 actual	2002 est.	2003 est.
Meet the borrowing needs of the Federal Government:			
Percent of auctions completed without error	100	100	100
Percent completed within one hour	100	N/A	N/A
Percent completed within 25 minutes	N/A	95	95
Quality service to investors:			
Percent of Treasury Direct (TD) transactions within 3 weeks	99.7	90	90
Percent of TD payments timely	100	100	100
Percent of TD payments accurately	100	99.9	99.9
Percent Commercial Book Entry payments accurately and timely	100	100	100
Process Government Securities Investment Program transactions timely	100	100	100
Process Government Securities Investment Program transactions accurately	99.99	99.9	99.9

Object Classification (in millions of dollars)

Identification code 20-0560-0-1-803	2001 actual	2002 est.	2003 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	68	72	76
11.5	Other personnel compensation	4	4	4
11.9	Total personnel compensation	72	76	80
12.1	Civilian personnel benefits	25	26	27
21.0	Travel and transportation of persons	2	2	2
23.1	Rental payments to GSA	6	6	6
23.3	Communications, utilities, and miscellaneous charges	16	17	18
24.0	Printing and reproduction	4	4	6
25.2	Other services	33	34	35
25.3	Other purchases of goods and services from Government accounts	153	148	147
25.7	Operation and maintenance of equipment	2	3	2
26.0	Supplies and materials	2	3	3
31.0	Equipment	9	6	7
99.0	Direct obligations	324	325	333
99.0	Reimbursable obligations	8	10	10
99.5	Below reporting threshold		1	
99.9	Total new obligations	332	336	343

Personnel Summary

Identification code 20-0560-0-1-803	2001 actual	2002 est.	2003 est.	
Direct:				
1001	Total compensable workyears: Full-time equivalent employment	1,467	1,518	1,478
Reimbursable:				
2001	Total compensable workyears: Full-time equivalent employment	5	5	5

GIFTS TO THE UNITED STATES FOR REDUCTION OF THE PUBLIC DEBT

Program and Financing (in millions of dollars)

Identification code 20-0510-0-1-803	2001 actual	2002 est.	2003 est.
New budget authority (gross), detail:			
Discretionary:			
40.00	Appropriation	240,088	
40.47	Portion applied to repay debt	-240,088	
43.00	Appropriation (total discretionary)		
Net budget authority and outlays:			
89.00	Budget authority		
90.00	Outlays		

General and special funds—Continued

GIFTS TO THE UNITED STATES FOR REDUCTION OF THE PUBLIC DEBT—Continued

Seven 2001 appropriations bills included appropriations to this account for the reduction of the public debt. The Treasury Department uses such appropriations to repay debt in the normal course of its operations. Appropriations to repay debt do not provide authority to incur obligations or to liquidate obligations; therefore, they are not recorded as budget authority or outlays.

PAYMENT OF GOVERNMENT LOSSES IN SHIPMENT

Program and Financing (in millions of dollars)

Identification code 20-1710-0-1-803	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 42.0)		1	
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	1		
23.95 Total new obligations		-1	
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	1	1	
Change in obligated balances:			
73.10 Total new obligations		1	
73.20 Total outlays (gross)		-1	
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority		1	
Net budget authority and outlays:			
89.00 Budget authority	1		
90.00 Outlays		1	

This account was created as self-insurance to cover losses in shipment of Government property such as coins, currency, securities, certain losses incurred by the Postal Service, and losses in connection with the redemption of savings bonds. Approximately 150 claims are paid annually.

INTERNAL REVENUE SERVICE

The mission of the Internal Revenue Service is to provide America's taxpayers top quality service by helping them understand and meet their tax responsibilities and by applying the tax law with integrity and fairness to all.

To achieve this mission, the Service has established three strategic goals. In order to achieve the first goal "Service to Each Taxpayer," the IRS will make filing easier, provide first quality service to each taxpayer needing help with his or her return or account, provide prompt, professional, helpful treatment to taxpayers in cases where additional taxes may be due, and improve taxpayer access to toll-free telephone assistance. Second, to achieve the goal of "Service to All Taxpayers," the IRS will increase fairness of compliance, and increase overall compliance. The Service will meet its third goal "Productivity Through a Quality Work Environment," by increasing employee job satisfaction and productivity while the economy grows and service improves.

The IRS is changing the way it uses measures to focus attention on priorities, assess organizational performance and identify improvement opportunities. Management processes and activities are being realigned to ensure that they support the mission of the IRS and incorporate the principles of a balanced measurement system. Under this new approach, the framework for measuring organizational performance is aligned with its strategic goals and balances the Service's

focus across three major areas: business results, customer satisfaction, and employee satisfaction, with business results being comprised of measures of quality and quantity. Unlike previous measurement efforts, the redesigned measures ensure that customer and employee satisfaction share equal importance with business results in driving the agency's plans and programs.

The IRS has a long-term plan to raise performance in all dimensions of our mission by modernizing and reengineering all basic activities to conform to known best practices. In FY 2003, the IRS will improve performance primarily through better management and fundamental reengineering of business processes, and secondarily by increases in resources.

Business Systems Modernization: The Business Systems Modernization (BSM) appropriation provides for revamping business practices and acquiring new technology by a formal process of prioritization, approval, funding, and evaluation of an investment portfolio. With a program of such magnitude and complexity, any "stops and starts" due to uncertainty in the annual budget cycle could cause serious delays and adversely effect progress on other interdependent projects.

Telephone Level of Service: The goal is to provide service comparable to the best quality telephone based customer service organizations. This is measured by access, quality, and customer satisfaction. Success in these areas can be achieved by continuing implementation of our long-term plan which includes using technology to route telephone calls automatically to employees with specialized training.

Increased e-File/e-Services: Additional electronic filing options continue to produce long-term resource savings as we promote e-filed returns. Further savings will be realized during migration to an information- and transaction-based web site, facilitating more efficient communication with stakeholders.

Stabilize Audit Rates: The IRS goal is to collect all unpaid tax assessments that can reasonably be collected while protecting taxpayer rights through efficient, least burdensome techniques. The key to this goal is to identify taxpayer accounts with a high risk of non-payment as early as possible, and to intervene immediately. The plans in this area involve complete reengineering of the collection process using new technology.

KEY OPERATIONAL MEASURES AND PERFORMANCE INDICATORS

	2001 actual	2002 Performance plan	2003 President's Budget
Performance goal A: Provide assistance to taxpayers in understanding their tax responsibilities and preparing accurate returns			
Performance measures:			
1. Volunteer hours reported (thousands)	2,790	2,908	3,514
2. Number of volunteer locations	18,238	18,375	18,375
3. Number of taxpayers assisted (direct)	n/a	3,817,000	4,708,000
4. EP/EO determination letters	109,326	190,800	215,300
5. Private letter rulings issued	2,428	2,000	2,100
6. Advanced pricing agreements and pre-filing agreements	73	110	117
7. Number of Alternative Treatment Initiatives	3,104	510	510
8. Electronic tax law questions answered	264,448	223,877	223,877
9. Taxpayer advocacy projects	92	88	88
10. Number of taxpayers assisted (indirect)	N/A	13,400,000	29,400,000
11. Education and outreach staff-years	1,224	1,609	1,733
12. Small business/self employed outreach events/activities	1,181	2,200	2,500
Performance goal B: Provide assistance to taxpayers in filing returns, receiving refunds, making payments and resolving questions about their accounts			
Performance measures:			
1. Individual 1040 returns (paper) (thousands)	90,586	86,000	81,000
2. Business returns (thousands) (paper)	83,153	87,051	88,648
3. Individual 1040 returns (thousands) (electronic)	40,222	46,000	52,900
4. Total primary electronic returns (thousands)	46,483	50,300	57,500
5. Total primary paper returns filed (thousands)	173,739	173,051	169,648
6. Percent of individual returns filed electronically	30.7%	35.0%	39.0%

7. Information returns filed electronically	N/A	474,700	614,300
8. Information returns filed electronically (%)	N/A	34%	42%
9. IRS digital daily hits (billions)	2.6	3.0	3.0
11. Customer account correspondence (millions)	19	18	19
12. Teletax and toll-free automated calls (thousands)	76,117	75,000	66,000
13. Assistor call answered (thousands)	32,091	33,750	36,794
14. Toll-free customer satisfaction (4 point scale)	3.45	3.54	3.56
15. Toll-free level of service	56.0%	71.5%	76.3%
16. Toll-free tax law quality	75%	78%	82%
17. Toll-free account quality	69%	72%	78%
18. Customer satisfaction walk-in (7 point scale)	6.40	6.55	6.55
19. Total returns prepared (thousands)	1,009	984	935
20. Payment received electronically (thousands)	64,366	67,438	69,650
21. IRS Digital daily downloads (millions)	317	473	635

Performance goal C: Bring taxpayers into compliance with the law

Performance measures:

1. Telephone customer satisfaction (ACS)(4 point scale)	3.46	3.53	3.54
2. ACS closures—Taxpayer delinquent accounts	1,006,600	1,012,628	1,150,424
3. ACS closures—Taxpayer delinquent investigations	297,791	317,906	TBD
4. Automated collection system (ACS) level of service	77.5%	80.0%	80.00%
5. Customer satisfaction—collection field (7 point scale)	5.01	5.00	5.00
6. Field collection—number of cases closed (TDA)	737,392	804,085	1,088,967
7. Field collection—number of cases closed TDI	119,451	107,119	135,941
8. Field collection quality	84.0%	85.4%	86.8%
9. Offers in compromise processed	97,013	185,000	104,600
10. Automated underreporter closures	2,511,424	2,919,980	2,963,730
11. Automated underreporter quality	95%	97%	97%
12. Correspondence examination customer satisfaction (7 point scale)	4.18	4.45	4.50
13. Correspondence returns examined	650,376	575,216	758,604
14. Correspondence examination quality	71%	74%	76%
15. Field exam customer satisfaction (7 point scale)	4.65	4.70	5.00
16. Individual return examinations >\$100,000	50,827	54,468	78,048
17. Individual return examinations <\$100,000	145,144	122,313	157,844
18. Field exam quality	70%	72%	72%
19. Business returns examined	84,748	81,369	109,556
20. Corporate cases examined (large case)	417	566	570
21. Number of returns closed (large case)	3,710	3,453	3,477
22. EP and EO exam customer satisfaction (7 point scale)	5.70	5.70	5.75
23. EP/EO examinations closed	15,988	11,900	12,300
24. EP and EO examination quality	73%	81%	83%
25. Innocent spouse modules closed	61,011	65,000	49,823
26. Appeals disposals	54,748	67,560	97,193
27. Subject criminal investigations initiated	3,340	3,280	3,150
28. Tax court cases	31,833	34,100	33,600
29. Taxpayer advocate closed cases	248,011	252,289	256,552
30. Taxpayer advocate casework quality index	72%	80%	90%
31. Total enforcement revenue (billions)	33.8	34.8	35.2
32. Agency-wide employee satisfaction	51%	54%	58%
33. Servicewide FTE (including EITC)	97,938	99,901	101,080
34. Individual return examinations	195,971	176,781	235,892
35. Number of tax court receipts	14,766	13,500	13,000
36. Taxpayer contact full-time equivalent positions	65,730	68,306	70,547
37. Full-time equivalent positions per billion dollars of real gross domestic product	10.26	10.11	9.98

Legend: EP = employee plans, EO = exempt organizations, TDA = taxpayer delinquent accounts, TDI = taxpayer delinquent investigations, ACS = automated collection system

Federal Funds

General and special funds:

STAFFING TAX ADMINISTRATION FOR BALANCE AND EQUITY

Program and Financing (in millions of dollars)

Identification code 20-0926-0-1-803	2001 actual	2002 est.	2003 est.
New budget authority (gross), detail:			
Discretionary:			
40.00	Appropriation	141
41.00	Transferred to other accounts	-141
43.00	Appropriation (total discretionary)
Net budget authority and outlays:			
89.00	Budget authority
90.00	Outlays

The funds in this appropriation for 2001 were transferred to the Processing, assistance and management, Tax law enforcement, and Information systems accounts.

PROCESSING, ASSISTANCE, AND MANAGEMENT

For necessary expenses of the Internal Revenue Service for pre-filing taxpayer assistance and education, filing and account services, shared services support, general management and administration; and services as authorized by 5 U.S.C. 3109, at such rates as may be determined by the Commissioner, **[\$3,797,890,000]** \$4,149,827,000, of which up to \$3,950,000 shall be for the Tax Counseling for the Elderly Program, of which \$7,000,000 shall be available for low-income taxpayer clinic grants, and of which not to exceed \$25,000 shall be for official reception and representation expenses. (Treasury Department, Appropriations Act, 2002; additional authorizing legislation required.)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Processing, Assistance, and Management", \$12,990,000, to remain available until expended, to be obligated from amounts made available by Public Law 107-38.] (Emergency Supplemental Act, 2002.)

Unavailable Collections (in millions of dollars)

Identification code 20-0912-0-1-803	2001 actual	2002 est.	2003 est.
01.99	Balance, start of year	15	5 18
Receipts:			
02.00	Enrolled agent fee increase		3
02.20	New installment agreements fees	61	61 62
02.21	Restructured installment agreements fees	13	13 13
02.22	General user fees, miscellaneous retained fees	6	6 6
02.99	Total receipts and collections	80	83 81
04.00	Total: Balances and collections	95	88 99
Appropriations:			
05.00	Processing, assistance, and management	-21	-1 -1
05.01	Tax law enforcement	-32	-2 -2
05.02	Information systems	-37	-67 -67
05.99	Total appropriations	-90	-70 -70
07.99	Balance, end of year	5	18 29

Program and Financing (in millions of dollars)

Identification code 20-0912-0-1-803	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01	Pre-filing taxpayer assistance and education	570	621 584
00.02	Filing and account services	1,600	1,673 1,761
00.03	Shared services support	1,179	1,116 1,159
00.04	General management and administration	553	627 646
01.00	Subtotal, direct programs	3,902	4,037 4,150
09.01	Reimbursable program	32	33 33
10.00	Total new obligations	3,934	4,070 4,183

Budgetary resources available for obligation:

21.40	Unobligated balance carried forward, start of year	20	33
22.00	New budget authority (gross)	3,938	4,027 4,183
22.10	Resources available from recoveries of prior year obligations	29	10
23.90	Total budgetary resources available for obligation	3,987	4,070 4,183
23.95	Total new obligations	-3,934	-4,070 -4,183
23.98	Unobligated balance expiring or withdrawn	-20
24.40	Unobligated balance carried forward, end of year	33

New budget authority (gross), detail:

Discretionary:			
40.00	Appropriation	3,792	3,970 4,149
40.15	Appropriation (emergency)		13
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)	-8
42.00	Transferred from other accounts	101
43.00	Appropriation (total discretionary)	3,885	3,983 4,149
50.00	Reappropriation	10	10
50.35	Reappropriation rescinded	-10
53.00	Reappropriation (total discretionary)		10
Mandatory:			
60.20	Appropriation (special fund)	21	1 1
Discretionary:			
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	32	33 33

General and special funds—Continued

PROCESSING, ASSISTANCE, AND MANAGEMENT—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 20-0912-0-1-803	2001 actual	2002 est.	2003 est.
70.00 Total new budget authority (gross)	3,938	4,027	4,183
Change in obligated balances:			
72.40 Obligated balance, start of year	434	599	643
73.10 Total new obligations	3,934	4,070	4,183
73.20 Total outlays (gross)	-3,751	-4,016	-4,168
73.40 Adjustments in expired accounts (net)	9		
73.45 Recoveries of prior year obligations	-29	-10	
74.10 Change in uncollected customer payments from Federal sources (expired)	2		
74.40 Obligated balance, end of year	599	643	657
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	3,507	3,605	3,746
86.93 Outlays from discretionary balances	225	410	421
86.97 Outlays from new mandatory authority	21	1	1
87.00 Total outlays (gross)	3,751	4,016	4,168
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-32	-33	-33
Net budget authority and outlays:			
89.00 Budget authority	3,906	3,994	4,150
90.00 Outlays	3,719	3,983	4,135
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	3,747	3,822	3,959
90.00 Outlays	3,560	3,811	3,944

This appropriation provides for: processing tax returns and related documents; assisting taxpayers in the filing of their returns, paying taxes that are due, and complying with tax laws; issuing technical rulings; revenue accounting, conducting background investigations; managing financial resources, rent and utilities.

Pre-Filing Taxpayer Assistance and Education.—This activity includes resources to support services provided before a return is filed to assist the taxpayer in filing a correct return. Included in this activity are staffing, training and direct support for (1) pre-filing services operational management; (2) tax law interpretation and published guidance; (3) taxpayer communication and education to research customer needs, prepare tax forms and publications, develop and manage education programs, establish partnerships with stakeholder groups, and disseminate tax information to taxpayers and the general public; (4) rulings and agreements to apply the tax law to specific taxpayers in the form of pre-filing agreements, determination letters, advance pricing agreements and other pre-filing determinations and advice; (5) marketing of electronic tax administration products and services; and (6) ensuring that taxpayers have an advocate to prevent future problems by identifying the underlying causes of taxpayers' problems and to participate in the development of systemic and/or procedural remedies.

Filing and Account Services.—This activity provides resources to support services provided to a taxpayer in the process of filing returns and paying taxes in addition to issuance of refunds and maintenance of taxpayers accounts. Included in this activity are staffing, training and direct support for (1) filing and account services operational management; (2) submission processing of paper and electronically submitted tax returns and supplemental documents which

account for tax revenues, and issue refunds and tax notices; (3) electronic/correspondence assistance to taxpayers to resolve account and notice inquiries, either electronically or by telephone; (4) face-to-face assistance to taxpayers, including return preparation, answering tax questions, resolving account and notice inquiries, and supplying forms and publications to taxpayers; and (5) processing of information documents which enables the Service to match this information with that provided by taxpayers on their returns.

Shared Services Support.—This activity provides staffing, training and direct support for: (1) services and supplies to manage IRS facilities; (2) human resources programs including recruitment, labor and employee relations, workforce planning and evaluation, performance management, employee benefits, personnel security and transactional processing; (3) procurement; (4) the Servicewide EEO and Diversity program; (5) the Servicewide Career Management and Learning Centers; (6) financial services including relocation, travel, imprest fund, purchase cards, corporate express and employee clearance; and (7) Treasury complaint centers. This activity also provides resources for (1) building rent; (2) IRS building services, maintenance space alterations, guard services, custodial overtime, utility services, and non-information technology equipment; (3) shared support such as copiers, postage meters, shredders, courier services, P.O. boxes, etc.; and (4) cleaning, maintenance, utilities, security and repair costs of delegated buildings.

General Management and Administration.—This activity provides staffing, training and direct support for (1) business unit headquarters management activities of strategic planning, communications and liaison, finance, human resources, EEO and diversity, and business systems planning; (2) national headquarters management and administration of policy making and goal setting, leadership and direction for the IRS, building partner relationships with key stakeholders (e.g., Congress, OMB, etc.); (3) strategic direction Servicewide for communications, government liaison and disclosure, legislative affairs and public liaison; (4) general legal advice to the IRS on non-tax legal issues including procurement, personnel, labor relations, equal employment opportunity, fiscal law, tort claims and damages, ethics, and conflict of interest; and (5) payments for workmen's compensation benefits and unemployment compensation payments.

Object Classification (in millions of dollars)

Identification code 20-0912-0-1-803	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	1,408	1,513	1,589
11.3 Other than full-time permanent	306	337	339
11.5 Other personnel compensation	90	110	104
11.9 Total personnel compensation	1,804	1,960	2,032
12.1 Civilian personnel benefits	649	693	730
13.0 Benefits for former personnel	99	49	48
21.0 Travel and transportation of persons	70	75	75
22.0 Transportation of things	16	14	13
23.1 Rental payments to GSA	588	592	586
23.3 Communications, utilities, and miscellaneous charges	149	158	137
24.0 Printing and reproduction	84	85	71
25.1 Advisory and assistance services			7
25.2 Other services	259	237	268
25.3 Other purchases of goods and services from Government accounts	23	2	7
25.4 Operation and maintenance of facilities	100	109	113
25.8 Subsistence and support of persons	6	4	4
26.0 Supplies and materials	21	23	24
31.0 Equipment	24	25	24
41.0 Grants, subsidies, and contributions	10	11	11
99.0 Direct obligations	3,902	4,037	4,150
99.0 Reimbursable obligations	32	33	33
99.9 Total new obligations	3,934	4,070	4,183

Personnel Summary

Identification code 20-0912-0-1-803	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	42,387	43,774	44,356
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	409	409	409

TAX LAW ENFORCEMENT

For necessary expenses of the Internal Revenue Service for determining and establishing tax liabilities; providing litigation support; conducting criminal investigation and enforcement activities; securing unfiled tax returns; collecting unpaid accounts; conducting a document matching program; resolving taxpayer problems through prompt identification, referral and settlement; compiling statistics of income and conducting compliance research; purchase (for police-type use, not to exceed 850) and hire of passenger motor vehicles (31 U.S.C. 1343(b)); and services as authorized by 5 U.S.C. 3109, at such rates as may be determined by the Commissioner, **[\$3,538,347,000]** \$3,988,358,000 of which not to exceed \$1,000,000 shall remain available until September 30, **[2004]** 2005, for research. (Treasury Department Appropriations Act, 2002; additional authorizing legislation required.)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Tax Law Enforcement", \$4,544,000, to remain available until expended, to be obligated from amounts made available by Public Law 107-38.] (Emergency Supplemental Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 20-0913-0-1-999	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Compliance services	3,562	3,701	3,883
00.02 Research and statistics of income	87	104	107
01.00 Subtotal, Direct program	3,649	3,805	3,990
09.01 Reimbursable program	101	103	103
10.00 Total new obligations	3,750	3,908	4,093
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		2	
22.00 New budget authority (gross)	3,767	3,905	4,093
22.10 Resources available from recoveries of prior year obligations	12		
23.90 Total budgetary resources available for obligation	3,779	3,907	4,093
23.95 Total new obligations	-3,750	-3,908	-4,093
23.98 Unobligated balance expiring or withdrawn	-26		
24.40 Unobligated balance carried forward, end of year	2		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	3,623	3,782	3,988
40.15 Appropriation (emergency)		5	
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-7		
42.00 Transferred from other accounts	18		
43.00 Appropriation (total discretionary)	3,634	3,787	3,988
50.00 Reappropriation	7	13	
50.35 Reappropriation rescinded	-7		
53.00 Reappropriation (total discretionary)		13	
Mandatory:			
60.20 Appropriation (special fund)	32	2	2
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	101	103	103
70.00 Total new budget authority (gross)	3,767	3,905	4,093
Change in obligated balances:			
72.40 Obligated balance, start of year	305	284	299
73.10 Total new obligations	3,750	3,908	4,093
73.20 Total outlays (gross)	-3,761	-3,893	-4,079
73.40 Adjustments in expired accounts (net)	-3		

73.45 Recoveries of prior year obligations	-12		
74.10 Change in uncollected customer payments from Federal sources (expired)	6		
74.40 Obligated balance, end of year	284	299	313

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	3,461	3,619	3,793
86.93 Outlays from discretionary balances	266	272	284
86.97 Outlays from new mandatory authority	32	2	2
87.00 Total outlays (gross)	3,761	3,893	4,079

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-101	-103	-103

Net budget authority and outlays:

89.00 Budget authority	3,666	3,802	3,990
90.00 Outlays	3,660	3,790	3,976

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	3,433	3,558	3,731
90.00 Outlays	3,427	3,546	3,717

This appropriation funds IRS's ability to provide equitable application and enforcement of the tax laws, identify possible nonfilers for investigations, investigate violations of criminal statutes, and supports the Statistics of Income program.

Compliance Services.—This activity funds services to taxpayers after a return is filed, identifying and attempting to correct possible errors or underpayment. It provides for the examination of tax returns, both domestic and international, and the administration and judicial settlement of taxpayer appeals of examination findings. It also provides for monitoring employee pension plans, determining qualifications of organizations seeking exempt status, examining the tax returns of exempt organizations, enforcing statutes relating to detection and investigation of criminal violations of the internal revenue laws and other financial crimes, collecting unpaid accounts, securing unfiled tax returns and payments, analyzing and determining the reasons for delinquent accounts, preventing accounts from becoming delinquent, and preventing nonfiling. This activity also provides for legal counsel regarding legal interpretation of the law and representation in litigation.

Research and Statistics of Income.—This activity funds research and statistical analysis support for the Service. It provides annual income, financial, and tax data from tax returns filed by individuals, corporations, and tax-exempt organizations. Likewise it provides resources for market-based research to identify compliance issues, for conducting tests of treatments to address non-compliance, and for the implementation of successful treatments of taxpayer non-compliant behavior.

Object Classification (in millions of dollars)

Identification code 20-0913-0-1-999	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	2,393	2,475	2,607
11.3 Other than full-time permanent	96	106	111
11.5 Other personnel compensation	105	86	89
11.8 Special personal services payments		13	13
11.9 Total personnel compensation	2,594	2,680	2,820
12.1 Civilian personnel benefits	811	874	911
21.0 Travel and transportation of persons	91	84	87
22.0 Transportation of things	2	2	2
23.3 Communications, utilities, and miscellaneous charges	5	4	4
24.0 Printing and reproduction	1		
25.1 Advisory and assistance services	7	10	10

General and special funds—Continued

TAX LAW ENFORCEMENT—Continued

Object Classification (in millions of dollars)—Continued

Identification code 20-0913-0-1-999	2001 actual	2002 est.	2003 est.
25.2 Other services	95	106	110
25.3 Other purchases of goods and services from Government accounts		1	1
25.5 Research and development contracts	5	6	6
25.7 Operation and maintenance of equipment	6	6	6
25.8 Subsistence and support of persons		2	2
26.0 Supplies and materials	21	18	18
31.0 Equipment	10	12	10
42.0 Insurance claims and indemnities	1		
91.0 Unvouchered			3
99.0 Direct obligations	3,649	3,805	3,990
99.0 Reimbursable obligations	101	103	103
99.9 Total new obligations	3,750	3,908	4,093

Personnel Summary

Identification code 20-0913-0-1-999	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	45,683	46,275	46,872
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	446	446	446

EARNED INCOME TAX CREDIT COMPLIANCE INITIATIVE

For funding essential earned income tax credit compliance and error reduction initiatives [pursuant to section 5702 of the Balanced Budget Act of 1997 (Public Law 105-33), \$145,000,000] \$154,346,000, of which not to exceed \$10,000,000 may be used to reimburse the Social Security Administration for the costs of implementing section 1090 of the Taxpayer Relief Act of 1997 (Public Law 105-33). (Treasury Department Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 20-0917-0-1-803	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Earned income tax credit	149	155	154
10.00 Total new obligations	149	155	154
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	152	155	154
23.95 Total new obligations	-149	-155	-154
23.98 Unobligated balance expiring or withdrawn	-3		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	152	154	154
50.00 Reappropriation	1	1	
50.35 Reappropriation rescinded	-1		
53.00 Reappropriation (total discretionary)		1	
70.00 Total new budget authority (gross)	152	155	154
Change in obligated balances:			
72.40 Obligated balance, start of year	31	27	27
73.10 Total new obligations	149	155	154
73.20 Total outlays (gross)	-153	-154	-154
73.40 Adjustments in expired accounts (net)	1		
74.40 Obligated balance, end of year	27	27	27
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	123	126	125
86.93 Outlays from discretionary balances	30	29	29
87.00 Total outlays (gross)	153	154	154
Net budget authority and outlays:			
89.00 Budget authority	152	155	154

90.00 Outlays	153	154	154
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Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	145	147	146
90.00 Outlays	146	146	146

This appropriation provides for expanded customer service and public outreach programs, strengthened enforcement activities, and enhanced research efforts to reduce overclaims and erroneous filings associated with the Earned Income Tax Credit (EITC).

Expanded customer service includes dedicated toll-free telephone assistance, increased community-based tax preparation sites and a coordinated marketing and educational effort (including paid advertising and direct mailings) to assist low income taxpayers in determining their eligibility for EITC. Improved compliance includes increased staff and systemic improvements in submission processing, examination and criminal investigation programs. In returns processing, new procedures include expanded use of math error authority and the identification of EITC-based refund claims involving invalid or duplicate primary, secondary and dependent taxpayer identification numbers (TINs). Increased examination coverage, prior to issuance of refunds, reduces overpayments and encourages compliance in subsequent filing periods; in addition, post-refund correspondence audits by service center staff aid in the recovery of erroneous refunds. Criminal investigation activities target individuals and practitioners involved in fraudulent refund schemes and generate referrals of suspicious returns for follow-up examination. Examination staff assigned to district offices, audit return preparers and may apply penalties for non-compliance with "due diligence requirements."

Enhanced research activities and projects focus on EITC claimant characteristics and patterns of non-compliance and are designed to improve education and outreach products, strengthen IRS abuse detection capabilities and measure the effects of Servicewide programs on compliance levels for the EITC-eligible taxpayer population. This appropriation also funds the development of specialized research databases and masterfile updates, reimbursement to the Social Security Administration (SSA) for enhancements to the SSA numbering systems and cooperative efforts with State vital statistics offices.

Object Classification (in millions of dollars)

Identification code 20-0917-0-1-803	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	61	81	80
11.3 Other than full-time permanent	23	14	14
11.5 Other personnel compensation	7	7	7
11.9 Total personnel compensation	91	102	101
12.1 Civilian personnel benefits	29	34	34
21.0 Travel and transportation of persons	1	1	1
24.0 Printing and reproduction	3	3	3
25.1 Advisory and assistance services	1	1	1
25.2 Other services	13	4	4
25.3 Other purchases of goods and services from Government accounts	6	7	7
25.7 Operation and maintenance of equipment	1	1	1
31.0 Equipment	4	2	2
99.9 Total new obligations	149	155	154

Personnel Summary

Identification code 20-0917-0-1-803	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	2,196	2,353	2,353

INFORMATION SYSTEMS

For necessary expenses of the Internal Revenue Service for information systems and telecommunications support, including developmental information systems and operational information systems; the hire of passenger motor vehicles (31 U.S.C. 1343(b)); and services as authorized by 5 U.S.C. 3109, at such rates as may be determined by the Commissioner, **[\$1,563,249,000] \$1,675,857,000**, which shall remain available until September 30, **[2003] 2004**. (*Treasury Department Appropriations Act, 2002; additional authorizing legislation required.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Information Systems" \$15,991,000, to remain available until expended, to be obligated from amounts made available by Public Law 107-38: *Provided*, That of these amounts \$13,548,000 is for a backup computer recovery system to be designed and constructed in close coordination with the business systems modernization effort of the Internal Revenue Service.**]** (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 20-0919-0-1-803	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Information systems improvement programs	38	43	52
00.02 Information services	1,730	1,681	1,690
01.00 Subtotal, Direct program	1,768	1,724	1,742
09.01 Reimbursable program	6	6	6
10.00 Total new obligations	1,774	1,730	1,748
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	53	36
22.00 New budget authority (gross)	1,652	1,694	1,748
22.10 Resources available from recoveries of prior year obligations	129
22.21 Unobligated balance transferred to other accounts	-20
23.90 Total budgetary resources available for obligation	1,814	1,730	1,748
23.95 Total new obligations	-1,774	-1,730	-1,748
23.98 Unobligated balance expiring or withdrawn	-3
24.40 Unobligated balance carried forward, end of year	36
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1,585	1,605	1,675
40.15 Appropriation (emergency)	16
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-3
42.00 Transferred from other accounts	27
43.00 Appropriation (total discretionary)	1,609	1,621	1,675
Mandatory:			
60.20 Appropriation (special fund)	37	67	67
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	6	6	6
70.00 Total new budget authority (gross)	1,652	1,694	1,748
Change in obligated balances:			
72.40 Obligated balance, start of year	439	547	586
73.10 Total new obligations	1,774	1,730	1,748
73.20 Total outlays (gross)	-1,546	-1,691	-1,657
73.40 Adjustments in expired accounts (net)	5
73.45 Recoveries of prior year obligations	-129
74.10 Change in uncollected customer payments from Federal sources (expired)	4
74.40 Obligated balance, end of year	547	586	677
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1,217	1,232	1,273
86.93 Outlays from discretionary balances	292	392	317
86.97 Outlays from new mandatory authority	37	67	67

87.00 Total outlays (gross)	1,546	1,691	1,657
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-6	-6	-6
Net budget authority and outlays:			
89.00 Budget authority	1,646	1,688	1,742
90.00 Outlays	1,540	1,685	1,651

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	1,606	1,646	1,699
90.00 Outlays	1,500	1,643	1,608

This appropriation provides for Servicewide information systems operations and maintenance, and investments to enhance or develop business applications for the IRS Business Units. The appropriation includes staffing, telecommunications, hardware and software (including commercial-off-the-shelf), and contractual services.

Information services.—This activity provides the salaries, benefits, and related costs to manage, maintain, and operate the information systems that support tax administration. The Service's business activities rely on these information systems to process tax and information returns, account for tax revenues collected, send bills for taxes owed, issue refunds, assist in the selection of tax returns for audit, and provide telecommunications services for all business activities including the public's toll free access to tax information. These systems are located in a variety of sites including the Martinsburg, Tennessee and Detroit Computing Centers; Service Centers; and in other field office operations. Staffing in this activity develops and maintains the millions of lines of programming code supporting all aspects of tax-processing; as well as operating and administering the Service's hardware infrastructure of mainframes, minicomputers, personal computers, networks, and a variety of management information systems.

Information systems improvement programs.—This activity funds improvements or enhancements to business applications that support requirements unique to one of the new IRS Business Units. These projects meet the following criteria: each project is small or medium in size and can be fully developed and implemented in one to two years; it supports specialized functions of a single Business Unit; and it conforms to the modernized IRS architecture. These projects differ in scope from those funded by the Business Systems Modernization Program, which addresses major common tax administration systems that cross Business Unit lines.

Object Classification (in millions of dollars)

Identification code 20-0919-0-1-803	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	504	492	503
11.3 Other than full-time permanent	4	3	3
11.5 Other personnel compensation	19	23	21
11.9 Total personnel compensation	527	518	527
12.1 Civilian personnel benefits	150	157	156
13.0 Benefits for former personnel	2
21.0 Travel and transportation of persons	20	31	30
22.0 Transportation of things	1	1	1
23.3 Communications, utilities, and miscellaneous charges	233	207	215
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	5	1	1
25.2 Other services	346	354	354
25.3 Other purchases of goods and services from Government accounts	43	52	50
25.4 Operation and maintenance of facilities	1	1	1
25.7 Operation and maintenance of equipment	120	116	112

General and special funds—Continued

INFORMATION SYSTEMS—Continued

Object Classification (in millions of dollars)—Continued

Identification code 20-0919-0-1-803	2001 actual	2002 est.	2003 est.
26.0 Supplies and materials	12	17	16
31.0 Equipment	307	268	278
99.0 Direct obligations	1,768	1,724	1,742
99.0 Reimbursable obligations	6	6	6
99.9 Total new obligations	1,774	1,730	1,748

Personnel Summary

Identification code 20-0919-0-1-803	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	7,441	7,499	7,499
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	12	12	12

BUSINESS SYSTEMS MODERNIZATION

For necessary expenses of the Internal Revenue Service, **[\$391,593,000] \$450,000,000**, to remain available until September 30, **[2004] 2005**, for the capital asset acquisition of information technology systems, including management and related contractual costs of said acquisitions, including contractual costs associated with operations authorized by 5 U.S.C. 3109: *Provided*, That none of these funds may be obligated until the Internal Revenue Service submits to the Committees on Appropriations, **[and such Committees approve,]** a plan for expenditure that: (1) meets the capital planning and investment control review requirements established by the Office of Management and Budget, including Circular A-11 part 3; (2) complies with the Internal Revenue Service's enterprise architecture, including the modernization blueprint; (3) conforms with the Internal Revenue Service's enterprise life cycle methodology; (4) is approved by the Internal Revenue Service, the Department of the Treasury, and the Office of Management and Budget; (5) has been reviewed by the General Accounting Office; and (6) complies with the acquisition rules, requirements, guidelines, and systems acquisition management practices of the Federal Government. (*Treasury Department Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 20-0921-0-1-803	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Information technology investments	298	430	490
10.00 Total new obligations (object class 25.2)	298	430	490
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	211	78	40
22.00 New budget authority (gross)	166	392	450
23.90 Total budgetary resources available for obligation	377	470	490
23.95 Total new obligations	-298	-430	-490
24.40 Unobligated balance carried forward, end of year	78	40
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	72	392	450
50.00 Reappropriation	94
70.00 Total new budget authority (gross)	166	392	450
Change in obligated balances:			
72.40 Obligated balance, start of year	124	192	262
73.10 Total new obligations	298	430	490
73.20 Total outlays (gross)	-231	-360	-418
73.40 Adjustments in expired accounts (net)	1
74.40 Obligated balance, end of year	192	262	334
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	75	176	203

86.93 Outlays from discretionary balances	156	183	215
87.00 Total outlays (gross)	231	360	418
Net budget authority and outlays:			
89.00 Budget authority	166	392	450
90.00 Outlays	231	360	418

This appropriation provides for revamping business practices and acquiring new technology. The agency is using a formal methodology to prioritize, approve, fund, and evaluate its portfolio of business systems modernization investments. This methodology enforces a documented, repeatable, and measurable process for managing investments throughout their life cycle. Investment decisions are approved by the IRS Core Business System Executive Steering Committee, chaired by the Commissioner.

PAYMENT WHERE EARNED INCOME CREDIT EXCEEDS LIABILITY FOR TAX

Program and Financing (in millions of dollars)

Identification code 20-0906-0-1-609	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	26,123	28,282	30,629
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	26,123	28,282	30,629
23.95 Total new obligations	-26,123	-28,282	-30,629
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	26,123	28,282	30,629
Change in obligated balances:			
73.10 Total new obligations	26,123	28,282	30,629
73.20 Total outlays (gross)	-26,123	-28,282	-30,629
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	26,123	28,282	30,629
Net budget authority and outlays:			
89.00 Budget authority	26,123	28,282	30,629
90.00 Outlays	26,123	28,282	30,629

As provided by law, there will be instances wherein the earned income tax credit will exceed the amount of tax liability owed through the individual income tax system, resulting in an additional payment to the tax filer. The Earned Income Credit was originally authorized by the Tax Reduction Act of 1975 (Public Law 94-12) and made permanent by the Revenue Adjustment Act of 1978 (Public Law 95-600). The Tax Reform Act of 1986 and the Omnibus Budget Reconciliation Acts of 1990 and 1993 have increased the credit amount and expanded the eligibility for earned income credit.

The budget proposes to permanently extend the EITC provisions in the Economic Growth and Tax Relief Reconciliation Act of 2001, which sunset on December 31, 2010. These provisions reduce EITC-related marriage penalties, simplify certain eligibility criteria for the credit, and allows the IRS to use more cost-efficient procedures to deny questionable EITC claims.

PAYMENT WHERE ALTERNATIVE TO FAILING SCHOOL CREDIT EXCEEDS LIABILITY FOR TAX

(Legislative proposal, subject to PAYGO)

To help parents of children enrolled in a failing public school (as described in Public Law 107-110, the No Child Left Behind Act of 2001) attend another public school or private school, the budget includes a new refundable tax credit for a portion of the costs of attending an alternative school.

This schedule reflects the effects of this proposed credit in cases where the credit exceeds the individual tax liability resulting in payment to the tax filer.

Program and Financing (in millions of dollars)

Identification code 20-0927-4-1-501	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 44.0)			165
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			165
23.95 Total new obligations			-165
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation			165
Change in obligated balances:			
73.10 Total new obligations			165
73.20 Total outlays (gross)			-165
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority			165
Net budget authority and outlays:			
89.00 Budget authority			165
90.00 Outlays			165

PAYMENT WHERE CHILD CREDIT EXCEEDS LIABILITY FOR TAX

Program and Financing (in millions of dollars)

Identification code 20-0922-0-1-999	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	982	7,390	7,390
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	982	7,390	7,390
23.95 Total new obligations	-982	-7,390	-7,390
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	982	7,390	7,390
Change in obligated balances:			
73.10 Total new obligations	982	7,390	7,390
73.20 Total outlays (gross)	-982	-7,390	-7,390
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	982	7,390	7,390
Net budget authority and outlays:			
89.00 Budget authority	982	7,390	7,390
90.00 Outlays	982	7,390	7,390

As provided by law, there will be instances wherein the child credit will exceed the amount of tax liability owed through the individual income tax system, resulting in an additional payment to the tax filer. The child credit was originally authorized by the Taxpayer Relief Act of 1997 (Public Law 105-34).

The budget proposes to permanently extend the Child Tax Credit provisions in the Economic Growth and Tax Relief Reconciliation Act of 2001, which sunset on December 31, 2010. These provisions increase the amount of the child tax credit to \$1,000, and make the credit partially refundable for many families with earned income.

PAYMENT WHERE HEALTH CARE CREDIT EXCEEDS LIABILITY FOR TAX

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 20-0923-4-1-551	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)			667
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			667
23.95 Total new obligations			-667
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation			667
Change in obligated balances:			
73.10 Total new obligations			667
73.20 Total outlays (gross)			-667
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority			667
Net budget authority and outlays:			
89.00 Budget authority			667
90.00 Outlays			667

To help lower income families purchase private health insurance, the budget includes a new refundable tax credit for health insurance purchased by individuals and families who are not covered by employer-sponsored insurance nor enrolled in public programs. This schedule reflects the effects of this proposed credit in cases where the credit exceeds the individual tax liability resulting in payment to the tax filer.

REFUNDING INTERNAL REVENUE COLLECTIONS, INTEREST

Program and Financing (in millions of dollars)

Identification code 20-0904-0-1-908	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 43.0)	2,726	2,351	2,206
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	2,726	2,351	2,206
23.95 Total new obligations	-2,726	-2,351	-2,206
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	2,726	2,351	2,206
Change in obligated balances:			
73.10 Total new obligations	2,726	2,351	2,206
73.20 Total outlays (gross)	-2,726	-2,351	-2,206
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	2,726	2,351	2,206
Net budget authority and outlays:			
89.00 Budget authority	2,726	2,351	2,206
90.00 Outlays	2,726	2,351	2,206

Under certain circumstances, as provided in 26 U.S.C. 6611, interest is paid on Internal Revenue collections that must be refunded. The Tax Equity and Fiscal Responsibility Act of 1982 (Public Law 97-248) provides for daily compounding of interest. Under the Tax Reform Act of 1986 (Public Law 99-514), interest paid on Internal Revenue collections will equal the Federal short-term rate plus two percentage points, such rate to be adjusted quarterly.

General and special funds—Continued

INFORMANT PAYMENTS

Unavailable Collections (in millions of dollars)

Identification code 20-5433-0-2-803	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			3
Receipts:			
02.40 Underpayment and fraud collection	3	3	3
04.00 Total: Balances and collections	3	3	6
Appropriations:			
05.00 Informant payments	-3		
07.99 Balance, end of year		3	6

Program and Financing (in millions of dollars)

Identification code 20-5433-0-2-803	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 91.0)	3		
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	3		
23.95 Total new obligations	-3		
New budget authority (gross), detail:			
Mandatory:			
60.20 Appropriation (special fund)	3		
Change in obligated balances:			
73.10 Total new obligations	3		
73.20 Total outlays (gross)	-3		
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	3		
Net budget authority and outlays:			
89.00 Budget authority	3		
90.00 Outlays	3		

As provided by law (26 U.S.C. 7623), the Treasury Secretary may make payments to individuals resulting from information given that leads to the collection of Internal Revenue taxes. The Taxpayer Bill of Rights of 1996 (Public Law 104-168) provides for payments of such sums to individuals from the proceeds of amounts (other than interest) collected by reason of the information provided, and any amount collected shall be available for such payments. This information must lead to the detection of underpayments of taxes, or detection and bringing to trial and punishment persons guilty of violating the internal revenue laws (in cases where such expenses are not otherwise provided for by law).

Public enterprise funds:

FEDERAL TAX LIEN REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 20-4413-0-3-803	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 32.0)	13	8	6
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4	6	4
22.00 New budget authority (gross)	11	8	6
22.10 Resources available from recoveries of prior year obligations	4		
23.90 Total budgetary resources available for obligation	19	14	10
23.95 Total new obligations	-13	-8	-6
24.40 Unobligated balance carried forward, end of year	6	4	4
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	11	8	6

Change in obligated balances:			
73.10 Total new obligations	13	8	6
73.20 Total outlays (gross)	-9	-8	-6
73.45 Recoveries of prior year obligations	-4		

Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	6	2	2
86.98 Outlays from mandatory balances	3	6	4
87.00 Total outlays (gross)	9	8	6

Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-11	-8	-6

Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-2		

This revolving fund was established pursuant to section 112(a) of the Federal Tax Lien Act of 1966, to serve as the source of financing the redemption of real property by the United States. During the process of collecting unpaid taxes, the government places a tax lien on real estate in order to protect the government's interest. Situations arise where property of this nature is collateral for other indebtedness and the tax lien is subordinate to the original indebtedness. In this circumstance, it is often to the government's interest to purchase the property during the foreclosure sale. The advantage arises when the property is worth substantially more than the first lienholder's equity but is being sold for an amount that barely covers that equity, thereby leaving no proceeds to apply against delinquent taxes. Under these circumstances, if the Government buys the property and subsequently puts it up for sale under more advantageous conditions, it is possible to realize sufficient profit on the transaction to fully or partially collect the amount of taxes due. The revolving fund is reimbursed from the proceeds of the sale in an amount equal to the amount expended from the fund for the redemption. The balance of the proceeds are applied against the amount of the tax, interest, penalties, and additions thereto, and for the costs of sale. The remainder, if any, would revert to the parties legally entitled to it.

As directed by the Internal Revenue Service Restructuring and Reform Act of 1998 (section 7802(d) 26 U.S.C.), the Internal Revenue Service Oversight Board shall annually review and approve a budget request for the Internal Revenue Service. The Oversight Board's approved request shall be submitted to the President by the Secretary without revision, and the President shall submit the request, without revision, to Congress together with the President's Budget request for the Internal Revenue Service. The 2003 Oversight Board budget recommendation for the Internal Revenue Service is \$10,056 million.

ADMINISTRATIVE PROVISIONS—INTERNAL REVENUE SERVICE

SEC. 101. Not to exceed 5 percent of any appropriation made available in this Act to the Internal Revenue Service may be transferred to any other Internal Revenue Service appropriation [upon the advance approval] *fifteen days after notification* of the Committees on Appropriations.

SEC. 102. The Internal Revenue Service shall maintain a training program to ensure that Internal Revenue Service employees are trained in taxpayers' rights, in dealing courteously with the taxpayers, and in cross-cultural relations.

SEC. 103. The Internal Revenue Service shall institute and enforce policies and procedures that will safeguard the confidentiality of taxpayer information.

SEC. 104. Funds made available by this or any other Act to the Internal Revenue Service shall be available for improved facilities

and increased manpower to provide sufficient and effective 1-800 help line service for taxpayers. The Commissioner shall continue to make the improvement of the Internal Revenue Service 1-800 help line service a priority and allocate resources necessary to increase phone lines and staff to improve the Internal Revenue Service 1-800 help line service. (Treasury Department Appropriations Act, 2002.)

UNITED STATES SECRET SERVICE

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the United States Secret Service, including purchase of not to exceed [1,149] 610 vehicles for police-type use [1, of which 945 shall be] for replacement only, and hire of passenger motor vehicles; purchase of American-made side-car compatible motorcycles; hire of aircraft; [training and assistance requested by State and local governments, which may be provided without reimbursement;] services of expert witnesses at such rates as may be determined by the Director; rental of buildings in the District of Columbia, and fencing, lighting, guard booths, and other facilities on private or other property not in Government ownership or control, as may be necessary to perform protective functions; for payment of per diem and/or subsistence allowances to employees where a protective assignment during the actual day or days of the visit of a protectee require an employee to work 16 hours per day or to remain overnight at his or her post of duty; the conducting of and participating in firearms matches; presentation of awards; for travel of Secret Service employees on protective missions without regard to the limitations on such expenditures in this or any other Act [if approval is obtained in advance from] after notice is submitted to the Committees on Appropriations; for research and development; for making grants to conduct behavioral research in support of protective research and operations; not to exceed \$25,000 for official reception and representation expenses; not to exceed \$100,000 to provide technical assistance and equipment to foreign law enforcement organizations in counterfeit investigations; for payment in advance for commercial accommodations as may be necessary to perform protective functions; and for uniforms without regard to the general purchase price limitation for the current fiscal year, [\$920,615,000] \$1,044,070,000, of which \$1,633,000 shall be available for forensic and related support of investigations of missing and exploited children, and of which \$3,009,000 shall be available as a grant for activities related to the investigations of exploited children and shall remain available until expended: *Provided*, That up to \$18,000,000 provided for protective travel shall remain available until September 30, [2003.] 2004: *Provided further*, That subject to the reimbursement of actual costs to this account, funds appropriated in this account shall be available, at the discretion of the Director, for the following: Training United States Postal Service law enforcement personnel and Postal police officers, training federal law enforcement officers, training State and local government law enforcement officers on a space-available basis, training private sector security officials on a space-available basis: *Provided further*, That the United States Secret Service is authorized to obligate funds in anticipation of reimbursements from agencies and entities receiving training sponsored by the James J. Rowley Training Center, except that total obligations at the end of the fiscal year shall not exceed total budgetary resources available under this heading at the end of the fiscal year: *Provided further*, That the James J. Rowley Training Center is authorized to provide short-term medical services for students undergoing training at the Center. (Treasury Department Appropriations Act, 2002; additional authorizing legislation required.)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Salaries and Expenses", \$104,769,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (Emergency Supplemental Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 20-1408-0-1-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Protection, investigations, and uniformed activities	836	959	1,036

00.02 Other security programs	13	144	
00.03 Presidential candidate protective activities	14		8
09.01 Reimbursable program	13	40	8
10.00 Total new obligations	876	1,143	1,052

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	9	11	
22.00 New budget authority (gross)	873	1,095	1,052
22.10 Resources available from recoveries of prior year obligations	6		
22.22 Unobligated balance transferred from other accounts		37	
23.90 Total budgetary resources available for obligation	888	1,143	1,052
23.95 Total new obligations	-876	-1,143	-1,052
23.98 Unobligated balance expiring or withdrawn	-1		
24.40 Unobligated balance carried forward, end of year	11		

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	854	1,055	1,044
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-2		
43.00 Appropriation (total discretionary)	852	1,055	1,044
50.00 Reappropriation	7		
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	13	40	8
68.10 Change in uncollected customer payments from Federal sources (unexpired)	1		
68.90 Spending authority from offsetting collections (total discretionary)	14	40	8
70.00 Total new budget authority (gross)	873	1,095	1,052

Change in obligated balances:

72.40 Obligated balance, start of year	113	165	150
73.10 Total new obligations	876	1,143	1,052
73.20 Total outlays (gross)	-845	-1,158	-1,054
73.40 Adjustments in expired accounts (net)	-7		
73.45 Recoveries of prior year obligations	-6		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-1		
74.10 Change in uncollected customer payments from Federal sources (expired)	35		
74.40 Obligated balance, end of year	165	150	148

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	739	993	951
86.93 Outlays from discretionary balances	106	165	103
87.00 Total outlays (gross)	845	1,158	1,054

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-50	-40	-8
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-1		
88.96 Portion of offsetting collections (cash) credited to expired accounts	37		

Net budget authority and outlays:

89.00 Budget authority	859	1,055	1,044
90.00 Outlays	794	1,118	1,046

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	832	1,025	1,010
90.00 Outlays	767	1,088	1,012

The Secret Service is responsible for the security of the President, the Vice President and other dignitaries and designated individuals; for enforcement of laws relating to obligations and securities of the United States and financial crimes such as financial institution fraud and other fraud; and for protection of the White House and other buildings within Washington, DC.

Investigations, protection, and uniformed activities.—The Service must provide for the protection of the President of

General and special funds—Continued

SALARIES AND EXPENSES—Continued

the United States, immediate family members, the President-elect, the Vice President, or other officer next in the order of succession to the Office of the President, and the Vice President-elect, and the members of their immediate families unless the members decline such protection; protection of the person of a visiting head and accompanying spouse of a foreign state or foreign government and, at the direction of the President, other distinguished foreign visitors to the United States and official representatives of the United States performing special missions abroad; the protection of former Presidents, their spouses and minor children, unless such protection is declined. The Service is also responsible for investigation of counterfeiting of currency, and securities; forgery and altering of Government checks and bonds; thefts and frauds relating to Treasury electronic funds transfers; financial access device fraud, telecommunications fraud, computer and telemarketing fraud; fraud relative to federally insured financial institutions; and other criminal and non-criminal cases.

The Secret Service Uniformed Division protects the Executive Residence and grounds in the District of Columbia; any building in which White House offices are located; the President and members of his immediate family; the official residence and grounds of the Vice-President in the District of Columbia; the Vice President and members of his immediate family; foreign diplomatic missions located in the Washington metropolitan area; the Treasury Building, its Annex and grounds, and such other areas as the President may direct on a case-by-case basis.

Presidential candidate protective activities.—The Secret Service is authorized to protect major Presidential and Vice-Presidential candidates, as determined by the Secretary of the Treasury after consultation with an advisory committee. In addition, the Service is authorized to protect the spouses of major Presidential and Vice-Presidential candidates; however, such protection may not commence more than 120 days prior to the general Presidential election.

PERFORMANCE INDICATORS

	2001 actual	2002 est.	2003 est.
Counterfeit note passing (in millions of dollars)	\$47.5	\$45.0	\$45.0
Financial crime avoided (in billions of dollars)	\$1.4	\$1.5	\$1.5
Actual financial crime loss (in millions of dollars)	\$663	\$535	\$535
Permanent protection	4,271	4,500	4,500
Foreign dignitaries	1,147	1,700	1,700
Candidate/nominee	636		
Total travel stops	6,054	6,200	6,200

Protection is measured in numbers of protectee stops. A stop is generally considered a city visited by a protectee.

Note: 2002 and 2003 protection estimates may increase as a result of the additional protectees added after the terrorist events of September 11, 2001. Early indications are that Permanent Protection may increase by approximately 1,000 or more stops per year.

Object Classification (in millions of dollars)

Identification code 20-1408-0-1-751	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	302	328	373
11.3 Other than full-time permanent	3	28	28
11.5 Other personnel compensation	103	113	91
11.9 Total personnel compensation	408	469	492
12.1 Civilian personnel benefits	172	195	205
21.0 Travel and transportation of persons	54	101	85
22.0 Transportation of things	5	7	6
23.1 Rental payments to GSA	54	67	70
23.2 Rental payments to others	2	1	1
23.3 Communications, utilities, and miscellaneous charges	19	28	28
24.0 Printing and reproduction	1	1	1
25.2 Other services	53	59	58
26.0 Supplies and materials	12	17	17
31.0 Equipment	64	116	62

32.0	Land and structures	21	37	16
41.0	Grants, subsidies, and contributions		4	3
99.0	Direct obligations	863	1,103	1,044
99.0	Reimbursable obligations	13	40	8
99.9	Total new obligations	876	1,143	1,052

Personnel Summary

Identification code 20-1408-0-1-751	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	5,556	5,991	6,111

ACQUISITION, CONSTRUCTION, IMPROVEMENTS, AND RELATED EXPENSES

For necessary expenses of construction, repair, alteration, and improvement of facilities, **[\$3,457,000]** \$3,519,000, to remain available until expended. (*Department of the Treasury Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 20-1409-0-1-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	2	8	4
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	-2	5	
22.00 New budget authority (gross)	9	3	4
23.90 Total budgetary resources available for obligation	7	8	4
23.95 Total new obligations	-2	-8	-4
24.40 Unobligated balance carried forward, end of year	5		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	9	3	4
Change in obligated balances:			
72.40 Obligated balance, start of year	6	4	6
73.10 Total new obligations	2	8	4
73.20 Total outlays (gross)	-4	-6	-3
74.40 Obligated balance, end of year	4	6	7
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1	2	3
86.93 Outlays from discretionary balances	3	4	1
87.00 Total outlays (gross)	4	6	3
Net budget authority and outlays:			
89.00 Budget authority	9	3	4
90.00 Outlays	4	6	3

This account provides funding for security upgrades of existing facilities and the James J. Rowley Training Center to continue development of the current Master Plan and to maintain and renovate existing facilities to ensure efficient and full utilization of the center.

Object Classification (in millions of dollars)

Identification code 20-1409-0-1-751	2001 actual	2002 est.	2003 est.
25.2 Other services	1	8	2
32.0 Land and structures	1		2
99.9 Total new obligations	2	8	4

CONTRIBUTION FOR ANNUITY BENEFITS

Program and Financing (in millions of dollars)

Identification code 20-1407-0-1-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 12.1)	169	200	200
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		86	
22.00 New budget authority (gross)	255	200	200
23.90 Total budgetary resources available for obligation	255	286	200
23.95 Total new obligations	-169	-200	-200
23.98 Unobligated balance expiring or withdrawn		-86	
24.40 Unobligated balance carried forward, end of year	86		
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	255	200	200
Change in obligated balances:			
72.40 Obligated balance, start of year	15	11	11
73.10 Total new obligations	169	200	200
73.20 Total outlays (gross)	-173	-200	-200
74.40 Obligated balance, end of year	11	11	11
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	169	200	189
86.98 Outlays from mandatory balances	4		11
87.00 Total outlays (gross)	173	200	200
Net budget authority and outlays:			
89.00 Budget authority	255	200	200
90.00 Outlays	173	200	200

The District of Columbia is reimbursed for benefit payments made from the revenue of the District of Columbia to or for members of the Secret Service Uniformed Division and such members of the U.S. Secret Service entitled to benefits under the Policemen and Firemen's Retirement and Disability Act (4 D.C. Code 521).

COMPTROLLER OF THE CURRENCY

Trust Funds

ASSESSMENT FUNDS

Unavailable Collections (in millions of dollars)

Identification code 20-8413-0-8-373	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.80 Assessment funds, offsetting collections	417	441	474
Appropriations:			
05.00 Assessment funds	-417	-441	-474
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 20-8413-0-8-373	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.00 Bank supervision	424	441	462
10.00 Total new obligations	424	441	462
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	269	280	297
22.00 New budget authority (gross)	435	458	474
23.90 Total budgetary resources available for obligation	704	738	771
23.95 Total new obligations	-424	-441	-462
24.40 Unobligated balance carried forward, end of year	280	297	309
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	433	458	474

69.10 Change in uncollected customer payments from Federal sources (unexpired)	2		
69.90 Spending authority from offsetting collections (total mandatory)	435	458	474
Change in obligated balances:			
72.40 Obligated balance, start of year	60	64	78
73.10 Total new obligations	424	441	462
73.20 Total outlays (gross)	-417	-429	-454
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-2		
74.40 Obligated balance, end of year	64	78	86
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	413	425	450
86.98 Outlays from mandatory balances	4	4	4
87.00 Total outlays (gross)	417	429	454
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on Federal securities	-15	-16	-16
88.40 Non-Federal sources: Assessments	-418	-442	-458
88.90 Total, offsetting collections (cash)	-433	-458	-474
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-2		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-16	-29	-20
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value	326	345	345
92.02 Total investments, end of year: Federal securities:			
Par value	345	345	345

The Office of the Comptroller of the Currency was created for the purpose of establishing and regulating a national banking system. The National Currency Act of 1863 (12 U.S.C. 1 et seq., 12 Stat. 665) provided for the chartering and supervising functions in this connection. The income of the bureau is derived principally from assessments paid by national banks and interest on investments in U.S. Government obligations.

As the Administrator of National Banks, the Office of the Comptroller of the Currency charters new banking institutions only after investigation and due consideration of charter applications. Supervision of existing national banks is aided by the required submission of periodic reports and detailed onsite examinations, which are conducted by a staff of approximately 1,900 national bank examiners. At present, there are approximately 2,300 national banks and 52 Federal branches with total assets of more than \$3.6 trillion.

In addition, the Comptroller considers applications for mergers in which the resulting bank will be a national bank and applications from banks to establish branches. The Comptroller of the Currency also promulgates rules and regulations for the guidance of national banks and bank directors.

Object Classification (in millions of dollars)

Identification code 20-8413-0-8-373	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	217	231	246
11.3 Other than full-time permanent	5	5	5
11.5 Other personnel compensation	2	2	2
11.9 Total personnel compensation	224	238	253
12.1 Civilian personnel benefits	74	80	85
13.0 Benefits for former personnel	2	1	
21.0 Travel and transportation of persons	26	26	26
22.0 Transportation of things	1	1	1
23.2 Rental payments to others	26	27	28
23.3 Communications, utilities, and miscellaneous charges	10	9	10
24.0 Printing and reproduction	1	1	1

ASSESSMENT FUNDS—Continued

Object Classification (in millions of dollars)—Continued

Identification code 20-8413-0-8-373	2001 actual	2002 est.	2003 est.
25.2 Other services	45	46	46
26.0 Supplies and materials	4	4	4
31.0 Equipment	8	7	7
32.0 Land and structures	3	1	1
99.9 Total new obligations	424	441	462

Personnel Summary

Identification code 20-8413-0-8-373	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	2,837	2,841	2,841

OFFICE OF THRIFT SUPERVISION

Federal Funds

Public enterprise funds:

OFFICE OF THRIFT SUPERVISION

Program and Financing (in millions of dollars)

Identification code 20-4108-0-3-373	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	166	168	173
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	82	77	77
22.00 New budget authority (gross)	161	168	173
23.90 Total budgetary resources available for obligation	243	245	250
23.95 Total new obligations	-166	-168	-173
24.40 Unobligated balance carried forward, end of year	77	77	77

New budget authority (gross), detail:

Mandatory:			
69.00 Offsetting collections (cash)	166	168	173
69.10 Change in uncollected customer payments from Federal sources (unexpired)	-5		
69.90 Spending authority from offsetting collections (total mandatory)	161	168	173

Change in obligated balances:

72.40 Obligated balance, start of year	60	73	73
73.10 Total new obligations	166	168	173
73.20 Total outlays (gross)	-158	-168	-173
74.00 Change in uncollected customer payments from Federal sources (unexpired)	5		
74.40 Obligated balance, end of year	73	73	73

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	158	168	173
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Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on Federal securities	-6	-7	-8
88.40 Non-Federal sources	-160	-161	-165
88.90 Total, offsetting collections (cash)	-166	-168	-173
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	5		

Net budget authority and outlays:

89.00 Budget authority			
90.00 Outlays	-7		

Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities: Par value	145	148	148
92.02 Total investments, end of year: Federal securities: Par value	148	148	148

The Office of Thrift Supervision (OTS) was created by the Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (12 U.S.C. 1811 note). The OTS assumed the regulatory functions of the Federal Home Loan Bank Board dissolved by the same act.

The OTS charters, regulates and examines Federal thrifts, all of which are insured by the Savings Association Insurance Fund. In addition, the OTS cooperates in the examination and supervision of State-chartered thrifts insured by the Savings Association Insurance Fund. The OTS sets capital standards for Federal and State thrifts and reviews applications of State-chartered thrifts for conversion to Federal thrifts. It also reviews applications for establishment of branch offices.

Income of the bureau is derived principally from assessments on thrifts, examination fees and interest on investments in U.S. Government obligations. At present, the OTS oversees more than 1,000 thrifts with more than 9,000 operating branches and total assets of more than \$974 billion.

Object Classification (in millions of dollars)

Identification code 20-4108-0-3-373	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	92	95	98
11.5 Other personnel compensation	1	1	1
11.8 Special personal services payments	1	1	1
11.9 Total personnel compensation	94	97	100
12.1 Civilian personnel benefits	31	31	31
21.0 Travel and transportation of persons	12	11	11
22.0 Transportation of things	1	1	1
23.2 Rental payments to others	7	7	7
23.3 Communications, utilities, and miscellaneous charges	2	2	3
25.2 Other services	16	16	17
26.0 Supplies and materials	1	1	1
31.0 Equipment	2	2	2
99.9 Total new obligations	166	168	173

Personnel Summary

Identification code 20-4108-0-3-373	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	1,211	1,177	1,165

INTEREST ON THE PUBLIC DEBT

Federal Funds

General and special funds:

INTEREST ON TREASURY DEBT SECURITIES (GROSS)

Program and Financing (in millions of dollars)

Identification code 20-0550-0-1-901	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 43.0)	359,508	338,833	353,087
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	359,508	338,833	353,087
23.95 Total new obligations	-359,508	-338,833	-353,087
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	359,508	338,833	353,087
Change in obligated balances:			
73.10 Total new obligations	359,508	338,833	353,087
73.20 Total outlays (gross)	-359,508	-338,833	-353,087

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	359,508	338,833	353,087
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Net budget authority and outlays:

89.00 Budget authority	359,508	338,833	353,087
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90.00	Outlays	359,508	338,833	353,087
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)				
		2001 actual	2002 est.	2003 est.
Net budget authority and outlays:				
89.00	Budget authority	359,508	338,833	351,861
90.00	Outlays	359,508	338,833	351,861

Summary of Budget Authority and Outlays				
(in millions of dollars)				
		2001 actual	2002 est.	2003 est.
Enacted/requested:				
	Budget Authority	359,508	338,833	353,087
	Outlays	359,508	338,833	353,087
Legislative proposal, not subject to PAYGO:				
	Budget Authority			-9
	Outlays			-9
Total:				
	Budget Authority	359,508	338,833	353,078
	Outlays	359,508	338,833	353,078

Such amounts are appropriated as may be necessary to pay the interest each year on the public debt (31 U.S.C. 1305, 3123). Interest on Government account series securities is generally computed on a cash basis. Interest is generally computed on an accrual basis on all other types of securities.

INTEREST ON TREASURY DEBT SECURITIES (GROSS)
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)				
	Identification code 20-0550-2-1-901	2001 actual	2002 est.	2003 est.
Obligations by program activity:				
10.00	Total new obligations (object class 43.0)			-9
Budgetary resources available for obligation:				
22.00	New budget authority (gross)			-9
23.95	Total new obligations			9
New budget authority (gross), detail:				
Mandatory:				
60.00	Appropriation			-9
Change in obligated balances:				
73.10	Total new obligations			-9
73.20	Total outlays (gross)			-9
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority			-9
Net budget authority and outlays:				
89.00	Budget authority			-9
90.00	Outlays			-9

A portion of interest on Treasury debt securities is paid to other Government funds that hold Treasury securities as investments. In the schedules for legislative proposals for such funds, the effect of proposals on interest receipts are shown. In this schedule, the amounts shown are the corresponding interest payments to those funds.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

		2001 actual	2002 est.	2003 est.
Governmental receipts:				
20-015800	Transportation fuels tax: Enacted/requested ...	1,150	1,138	1,180

20-065000	Deposit of earnings, Federal Reserve System: Enacted/requested	26,124	25,596	29,025
20-085000	Registration, filing, and transaction fees: Enacted/requested	4	4	4
20-086100	Charges for expenses, settlement of international claims: Enacted/requested		1	1
20-086900	Fees for legal and judicial services, not otherwise classified: Enacted/requested	65	65	65
20-089100	Miscellaneous fees for regulatory and judicial services, not otherwise classified: Enacted/requested	7	7	7
20-101000	Fines, penalties, and forfeitures, agricultural laws: Enacted/requested	5	5	5
20-102000	Fines, penalties, and forfeitures, economic stabilization laws: Enacted/requested	9	9	9
20-103000	Fines, penalties, and forfeitures, immigration and labor laws: Enacted/requested	72	72	72
20-104000	Fines, penalties, and forfeitures, customs, commerce, and antitrust laws: Enacted/requested	108	100	100
20-105000	Fines, penalties, and forfeitures, narcotic prohibition and alcohol laws: Enacted/requested	3	1	1
20-106000	Forfeitures of unclaimed money and property: Enacted/requested	8	38	38
20-108000	Fines, penalties, and forfeitures, Federal coal mine health and safety laws: Enacted/requested	18	18	18
20-109900	Fines, penalties, and forfeitures, not otherwise classified: Enacted/requested	443	355	355
20-129900	Gifts to the United States, not otherwise classified: Enacted/requested	-2	1	1
20-241100	User fees for IRS: Enacted/requested	40	42	43
20-309200	Recovery from highway trust fund for refunds of taxes: Enacted/requested	995	957	971
20-309400	Recovery from airport and airway trust fund for refunds of taxes: Enacted/requested	53	47	47
20-309500	Recovery from leaking underground storage tank trust fund for refunds of taxes, EPA: Enacted/requested	3	5	5
20-309990	Refunds of moneys erroneously received and recovered (20X1807): Enacted/requested	-114	-305	-312
95-085015	Registration, filing, and transaction fees, SEC: Enacted/requested	1,343	291	
99-011050	Individual income taxes: Enacted/requested ...	994,281	949,827	1,008,989
	Legislative proposal, subject to PAYGO		-646	-2,693
99-011100	Corporation income and excess profits taxes: Enacted/requested	151,071	202,547	207,960
	Legislative proposal, subject to PAYGO		-1,102	-2,471
99-015250	Other Federal fund excise taxes: Enacted/requested	537	472	363
	Legislative proposal, subject to PAYGO		-122	-177
99-015300	Estate and gift taxes: Enacted/requested	28,400	27,484	23,559
	Legislative proposal, subject to PAYGO		6	-560
99-015500	Tobacco excise tax: Enacted/requested	7,396	8,045	8,115
99-015600	Alcohol excise tax: Enacted/requested	7,624	7,627	7,664
99-015700	Telephone excise tax: Enacted/requested	5,769	5,984	6,345
99-031050	Other Federal fund customs duties: Enacted/requested	12,643	12,721	13,564
	Legislative proposal, subject to PAYGO		-668	-863
99-089400	Ozone depleting chemicals tax: Enacted/requested	32	22	13
General Fund Governmental receipts		1,238,087	1,240,644	1,301,443
Offsetting receipts from the public:				
20-143500	General fund proprietary interest receipts, not otherwise classified: Enacted/requested	228	197	197
20-145000	Interest payments from States, cash management improvement: Enacted/requested	30	45	44
20-146310	Interest on quota in International Monetary Fund: Enacted/requested	522	522	522
20-146400	Interest received on loans and credits to foreign nations: Enacted/requested	54	129	117
20-148400	Interest on deposits in tax and loan accounts: Enacted/requested	951	451	585
20-149900	Interest received from credit financing accounts: Enacted/requested	10,336	10,748	11,590
20-168200	Gain by exchange on foreign currency denominated public debt securities: Enacted/requested	1		
20-286800	Dollar conversion of foreign currency loan repayments: Enacted/requested	9	9	9
20-286900	Repayment of loans and credits to foreign nations: Enacted/requested	291	71	85
20-322000	All other general fund proprietary receipts: Enacted/requested	1,331	1,331	1,331
20-387500	Budget clearing account (suspense): Enacted/requested	307		
General Fund Offsetting receipts from the public		14,060	13,503	14,480

Intragovernmental payments:				
13-141000	Interest on investment, economic development revolving fund: Enacted/requested	2	2	2
14-142400	Interest on investment, Colorado River projects: Enacted/requested	4	4	4
14-142700	Interest on advances to Colorado River Dam fund, Boulder Canyon project: Enacted/requested	12	12	12
20-133800	Interest on loans to the Presidio: Enacted/requested	2	3	3
20-135100	Interest on loans to BPA: Enacted/requested	12	423	419
20-135400	Interest on loans for housing for the elderly or handicapped: Enacted/requested	320	230	198
20-136100	Interest on loans to the Secretary of Transportation, railroad rehabilitation and improvement fund: Enacted/requested		3	3
20-136300	Interest on loans for college housing and academic facilities loans, Education: Enacted/requested	11	5	11
20-140100	Interest on loans to Commodity Credit Corporation: Enacted/requested	536	266	324
20-140500	Interest on loans to H.U.D., college housing loans, Education: Enacted/requested	8	1	3
20-141700	Interest on loans to Tennessee Valley Authority: Enacted/requested	4	2	4
20-141800	Interest on loans to Federal Financing Bank: Enacted/requested	2,157	1,930	1,484
20-142500	Interest on loans to rural development insurance fund: Enacted/requested	85	70	45
20-143300	Interest on loans to national flood insurance fund, FEMA: Enacted/requested	19	28	24
20-149500	Interest payments on repayable advances to the black lung disability trust fund: Enacted/requested Legislative proposal, not subject to PAYGO	568	593	619
20-149700	Payment of interest on advances to the Railroad Retirement Board: Enacted/requested	221	222	225
20-241600	Charges for administrative expenses of Social Security Act as amended: Enacted/requested	335	326	327
20-310100	Recoveries from Federal agencies for settlement of claims for contract disputes: Enacted/requested	310		
20-320000	Receivables from cancelled accounts: Enacted/requested	381	100	100
20-330600	Transfer of excess receipts to the general fund, Federal fund payments: Enacted/requested		7	
20-388500	Undistributed intragovernmental payments: Enacted/requested	-130		
73-142800	Interest on advances to Small Business Administration: Enacted/requested	75	45	22
91-142200	Interest on loans, higher education facilities loan fund: Enacted/requested	1	1	1
General Fund Intragovernmental payments		4,933	4,273	5,436

OTHER CONSOLIDATED RECEIPT ACCOUNTS
(in millions of dollars)

	2001 actual	2002 est.	2003 est.	
20-977920	Interest, Miscellaneous trust funds, government-wide	1	1	1

GENERAL PROVISIONS—DEPARTMENT OF THE TREASURY

SEC. 110. Any obligation or expenditure by the Secretary of the Treasury in connection with law enforcement activities of a Federal agency or a Department of the Treasury law enforcement organization in accordance with 31 U.S.C. 9703(g)(4)(B) from unobligated balances remaining in the Fund on September 30, [2002] 2003, shall be made in compliance with reprogramming guidelines.

SEC. 111. Appropriations to the Department of the Treasury in this Act shall be available for uniforms or allowances therefor, as authorized by law (5 U.S.C. 5901), including maintenance, repairs, and cleaning; purchase of insurance for official motor vehicles operated in foreign countries; purchase of motor vehicles without regard to the general purchase price limitations for vehicles purchased and used overseas for the current fiscal year; entering into contracts with the Department of State for the furnishing of health and medical services to employees and their dependents serving in foreign countries; and services authorized by 5 U.S.C. 3109.

[SEC. 112. The funds provided to the Bureau of Alcohol, Tobacco and Firearms for fiscal year 2002 in this Act for the enforcement

of the Federal Alcohol Administration Act shall be expended in a manner so as not to diminish enforcement efforts with respect to section 105 of the Federal Alcohol Administration Act.]

[SEC. 113] SEC. 112. Not to exceed 2 percent of any appropriations in this Act made available to the Federal Law Enforcement Training Center, Financial Crimes Enforcement Network, Bureau of Alcohol, Tobacco and Firearms, United States Customs Service, Interagency Crime and Drug Enforcement, and United States Secret Service may be transferred between such appropriations upon [the] advance [approval] notification of the Committees on Appropriations. No transfer may increase or decrease any such appropriation by more than 2 percent.

[SEC. 114] SEC. 113. Not to exceed 2 percent of any appropriations in this Act made available to the Departmental Offices, Office of Inspector General, Treasury Inspector General for Tax Administration, Financial Management Service, and Bureau of the Public Debt, may be transferred between such appropriations upon [the] advance [approval] notification of the Committees on Appropriations. No transfer may increase or decrease any such appropriation by more than 2 percent.

[SEC. 115] SEC. 114. Not to exceed 2 percent of any appropriation made available in this Act to the Internal Revenue Service may be transferred to the Treasury Inspector General for Tax Administration's appropriation upon [the] advance [approval] notification of the Committees on Appropriations. No transfer may increase or decrease any such appropriation by more than 2 percent.

[SEC. 116] SEC. 115. Of the funds available for the purchase of law enforcement vehicles, no funds may be obligated until the Secretary of the Treasury certifies that the purchase by the respective Treasury bureau is consistent with Departmental vehicle management principles: *Provided*, That the Secretary may delegate this authority to the Assistant Secretary for Management.

[SEC. 117] SEC. 116. None of the funds appropriated in this Act or otherwise available to the Department of the Treasury or the Bureau of Engraving and Printing may be used to redesign the \$1 Federal Reserve note.

[SEC. 118] SEC. 117. The Secretary of the Treasury may transfer funds from "Salaries and Expenses", Financial Management Service, to the Debt Services Account as necessary to cover the costs of debt collection: *Provided*, That such amounts shall be reimbursed to such Salaries and Expenses account from debt collections received in the Debt Services Account.

[SEC. 119. Funds appropriated by this Act, or made available by the transfer of funds in this Act, for intelligence and intelligence-related activities of the Department of the Treasury are deemed to be specifically authorized by the Congress for purposes of section 504 of the National Security Act of 1947 (50 U.S.C. 414) during fiscal year 2002 until enactment of the Intelligence Authorization Act for fiscal year 2002].

[SEC. 120] SEC. 118. Section 122 of Public Law 105-119 (5 U.S.C. 3104 note), as amended [by Public Law 105-277], is further amended in subsection (g)(1), by striking ["3 years" and inserting "4 years"; and by striking "the United States Customs Service, and the United States Secret Service"] "4 years" and inserting "5 years".

[SEC. 121] SEC. 119. None of the funds appropriated or otherwise made available by this or any other Act may be used by the United States Mint to construct or operate any museum without [the explicit approval] advance notification of the House Committee on Financial Services and the Senate Committee on Banking, Housing, and Urban Affairs.

[SEC. 122] SEC. 120. None of the funds appropriated or made available by this Act may be used for the production of Customs Declarations that do not inquire whether the passenger had been in the proximity of livestock.

[SEC. 123] SEC. 121. In addition to any other transfer authority in this Act and upon [approval] advance notification of the Committees on Appropriations, the Secretary of the Treasury may transfer out of any appropriations available in this title such sums as are necessary to meet financial statement audit requirements of the United States Customs Service and the Financial Management Service, not to exceed a total of \$3,000,000.

SEC. 122. *The Federal Law Enforcement Training Center is directed to establish an accrediting body that will include representatives from the Federal law enforcement community, as well as non-Federal accreditation experts involved in law enforcement training. The purpose of this body will be to establish standards for measuring and assessing the quality and effectiveness of Federal law enforcement training pro-*

grams, facilities, and instructors. (Treasury Department Appropriations Act, 2002.)

TITLE V—GENERAL PROVISIONS

THIS ACT

SEC. 501. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 502. The expenditure of any appropriation under this Act for any consulting service through procurement contract, pursuant to 5 U.S.C. 3109, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive order issued pursuant to existing law.

SEC. 503. None of the funds made available by this Act shall be available for any activity or for paying the salary of any Government employee where funding an activity or paying a salary to a Government employee would result in a decision, determination, rule, regulation, or policy that would prohibit the enforcement of section 307 of the Tariff Act of 1930.

SEC. 504. None of the funds made available by this Act shall be available in fiscal year 2002 for the purpose of transferring control over the Federal Law Enforcement Training Center located at Glynco, Georgia, and Artesia, New Mexico, out of the Department of the Treasury.

SEC. 505. No part of any appropriation contained in this Act shall be available to pay the salary for any person filling a position, other than a temporary position, formerly held by an employee who has left to enter the Armed Forces of the United States and has satisfactorily completed his period of active military or naval service, and has within 90 days after his release from such service or from hospitalization continuing after discharge for a period of not more than 1 year, made application for restoration to his former position and has been certified by the Office of Personnel Management as still qualified to perform the duties of his former position and has not been restored thereto.

SEC. 506. No funds appropriated pursuant to this Act may be expended by an entity unless the entity agrees that in expending the assistance the entity will comply with sections 2 through 4 of the Act of March 3, 1933 (41 U.S.C. 10a–10c, popularly known as the “Buy American Act”).

SEC. 507. (a) PURCHASE OF AMERICAN-MADE EQUIPMENT AND PRODUCTS.—In the case of any equipment or products that may be authorized to be purchased with financial assistance provided under this Act, it is the sense of the Congress that entities receiving such assistance should, in expending the assistance, purchase only American-made equipment and products.

(b) NOTICE TO RECIPIENTS OF ASSISTANCE.—In providing financial assistance under this Act, the Secretary of the Treasury shall provide to each recipient of the assistance a notice describing the statement made in subsection (a) by the Congress.

SEC. 508. If it has been finally determined by a court or Federal agency that any person intentionally affixed a label bearing a “Made in America” inscription, or any inscription with the same meaning, to any product sold in or shipped to the United States that is not made in the United States, such person shall be ineligible to receive any contract or subcontract made with funds provided pursuant to this Act, pursuant to the debarment, suspension, and ineligibility procedures described in sections 9.400 through 9.409 of title 48, Code of Federal Regulations.

SEC. 509. No funds appropriated by this Act shall be available to pay for an abortion, or the administrative expenses in connection with any health plan under the Federal employees health benefit program which provides any benefits or coverage for abortions.

SEC. 510. The provision of section 507 shall not apply where the life of the mother would be endangered if the fetus were carried to term, or the pregnancy is the result of an act of rape or incest.

SEC. 511. Except as otherwise specifically provided by law, not to exceed 50 percent of unobligated balances remaining available at the end of fiscal year 2002 from appropriations made available for salaries and expenses for fiscal year 2002 in this Act, shall remain available through September 30, 2003, for each such account for the purposes authorized: *Provided*, That a request shall be submitted to the Committees on Appropriations for approval prior to the expenditure of such funds: *Provided further*, That these requests shall be made in compliance with reprogramming guidelines.

SEC. 512. None of the funds made available in this Act may be used by the Executive Office of the President to request from the Federal Bureau of Investigation any official background investigation report on any individual, except when—

(1) such individual has given his or her express written consent for such request not more than 6 months prior to the date of such request and during the same presidential administration; or

(2) such request is required due to extraordinary circumstances involving national security.

SEC. 513. The cost accounting standards promulgated under section 26 of the Office of Federal Procurement Policy Act (Public Law 93–400; 41 U.S.C. 422) shall not apply with respect to a contract under the Federal Employees Health Benefits Program established under chapter 89 of title 5, United States Code.

SEC. 514. For the purpose of resolving litigation and implementing any settlement agreements regarding the nonforeign area cost-of-living allowance program, the Office of Personnel Management may accept and utilize (without regard to any restriction on unanticipated travel expenses imposed in an Appropriations Act) funds made available to the Office pursuant to court approval.

SEC. 515. No funds appropriated or otherwise made available under this Act shall be made available to any person or entity that has been convicted of violating the Buy American Act (41 U.S.C. 10a–10c).

SEC. 512. *Beginning in fiscal year 2003 and thereafter, none of the funds made available to the President for official expenses shall be expended for any other purpose and any unused portion of such funds shall revert to the Treasury pursuant to 31 U.S.C. 1552: Provided further, That no such funds shall be considered as taxable to the President.*

SEC. 513. *Beginning in fiscal year 2003 and thereafter, appropriations available to the President, Vice President, and Offices and Councils in the Executive Offices of the President shall be available for services as authorized by 5 U.S.C. 3109 and 3 U.S.C. 105–07; the hire of passenger motor vehicles; and for the participation by the Office of National Drug Control Policy in joint projects or in the provision of services on matters of mutual interest with non-profit, research, or public organizations or agencies, with or without reimbursement.*

SEC. 514. *Beginning in fiscal year 2003 and thereafter, notwithstanding section 202 of the National Environmental Policy Act of 1969, the Council on Environmental Quality shall consist of one member, appointed by the President, by and with the advice and consent of the Senate, serving as chairman and exercising all powers, functions, and duties of the Council.*

SEC. 515. *During fiscal year 2003, the Office of National Drug Control Policy is authorized to accept, hold, administer, and utilize gifts, both real and personal, public and private, without fiscal year limitation, for the purpose of aiding or facilitating the work of the Office.*

SEC. 516. *Sections 2471 and 2471a of Title 42, U.S. Code, are hereby repealed. (Treasury Department Appropriations Act, 2002.)*

DEPARTMENT OF VETERANS AFFAIRS

The 2003 budget provides \$27,531 million in discretionary funding for veterans health, benefits, and other services, including \$26,447 million in gross discretionary budget authority and \$1,084 million in anticipated discretionary medical collections.

This funding level recognizes that VA will administer a new state grant program that consolidates several training grant programs previously administered by the Department of Labor. The funding level also recognizes a new annual medical care deductible charged to higher-income non-disabled veterans.

The account by account information provided in the following budget schedules is supplemented by a department-wide strategic plan, published in September 2001, a performance plan submitted annually with the Budget, and an annual performance report. The performance plan contains annual goals for each of the Department of Veterans Affairs' (VA) programs along with historic performance data, where available. The plan highlights approximately 24 key measures that VA's top executives consider critical to the success of the Department. The performance report includes actual program performance as measured against goals.

VETERANS HEALTH ADMINISTRATION

Federal Funds

General and special funds:

MEDICAL CARE

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses for the maintenance and operation of hospitals, nursing homes, and domiciliary facilities; for furnishing, as authorized by law, inpatient and outpatient care and treatment to beneficiaries of the Department of Veterans Affairs, including care and treatment in facilities not under the jurisdiction of the department; and furnishing recreational facilities, supplies, and equipment; funeral, burial, and other expenses incidental thereto for beneficiaries receiving care in the department; administrative expenses in support of planning, design, project management, real property acquisition and disposition, construction and renovation of any facility under the jurisdiction or for the use of the department; oversight, engineering and architectural activities not charged to project cost; repairing, altering, improving or providing facilities in the several hospitals and homes under the jurisdiction of the department, not otherwise provided for, either by contract or by the hire of temporary employees and purchase of materials; uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-5902; aid to State homes as authorized by 38 U.S.C. 1741; administrative and legal expenses of the department for collecting and recovering amounts owed the department as authorized under 38 U.S.C. chapter 17, and the Federal Medical Care Recovery Act, 42 U.S.C. 2651 et seq., **[\$21,331,164,000]** \$23,537,183,000, plus reimbursements: *Provided*, That of the funds made available under this heading, **[\$675,000,000]** \$500,000,000 is for the equipment and land and structures object classifications only, which amount shall not become available for obligation until August 1, **[2002]** 2003, and shall remain available until September 30, **[2003]** 2004: *Provided further*, That of the funds made available under this heading, not to exceed \$900,000,000 shall be available until September 30, **[2003]** 2003: *Provided further*, That of the funds made available under this heading for non-recurring maintenance and repair (NRM) activities, \$15,000,000 shall be available without fiscal year limitation to support the NRM activities necessary to implement Capital Asset Realignment for Enhanced Services (CARES) activities: *Provided further*, That from amounts appropriated under this heading, additional amounts, as designated by the Secretary no later than September 30, 2002, may be used for CARES activities without

fiscal year limitation]2004: *Provided further*, That the Secretary of Veterans Affairs shall conduct by contract a program of recovery audits for the fee basis and other medical services contracts with respect to payments for hospital care; and, notwithstanding 31 U.S.C. 3302(b), amounts collected, by setoff or otherwise, as the result of such audits shall be available, without fiscal year limitation, for the purposes for which funds are appropriated under this heading and the purposes of paying a contractor a percent of the amount collected as a result of an audit carried out by the contractor: *Provided further*, That all amounts so collected under the preceding proviso with respect to a designated health care region (as that term is defined in 38 U.S.C. 1729A(d)(2)) shall be allocated, net of payments to the contractor, to that region.

In addition, in conformance with Public Law 105-33 establishing the Department of Veterans Affairs Medical Care Collections Fund, such sums as may be deposited to such Fund pursuant to 38 U.S.C. 1729A may be transferred to this account, to remain available until expended for the purposes of this account. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Unavailable Collections (in millions of dollars)

Identification code 36-0160-0-1-703	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	59	62	62
Receipts:			
02.20 Medical care collections	771		
02.21 MCCF first party collections		228	192
02.22 MCCF third party collections		577	529
02.23 Pharmaceutical copayments		225	364
02.24 Enhanced-use lease proceeds		1	1
02.25 Deductibles, MCCF			363
02.99 Total receipts and collections	771	1,031	1,449
04.00 Total: Balances and collections	830	1,093	1,511
Appropriations:			
05.00 Medical care	-768	-1,031	-1,449
05.99 Total appropriations	-768	-1,031	-1,449
07.99 Balance, end of year	62	62	62

Program and Financing (in millions of dollars)

Identification code 36-0160-0-1-703	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
Operating expenses:			
Provision of veterans health care:			
00.01 Acute hospital care	5,257	5,456	5,644
00.02 Rehabilitative care	421	447	464
00.03 Psychiatric care	1,203	1,187	1,159
00.04 Nursing home care	2,044	2,129	2,247
00.05 Subacute care	371	357	339
00.06 Residential care	402	416	415
00.07 Outpatient care	9,970	11,070	12,479
00.08 Miscellaneous benefits and services	1,112	1,210	1,289
00.09 CHAMPVA	158	236	394
00.91 Total operating expenses	20,938	22,508	24,430
Capital investment:			
Provision of veterans health care:			
01.01 Acute hospital care	269	222	196
01.02 Rehabilitative care	20	20	20
01.03 Psychiatric care	55	55	55
01.04 Nursing home care	59	59	59
01.05 Subacute care	16	16	16
01.06 Residential care	19	19	19
01.07 Outpatient care	481	481	481
01.08 Miscellaneous benefits and services	33	33	33
01.09 CHAMPVA (GPRA)	3	3	3
01.91 Total capital investment	955	908	882

General and special funds—Continued

MEDICAL CARE—Continued

(INCLUDING TRANSFER OF FUNDS)—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 36-0160-0-1-703	2001 actual	2002 est.	2003 est.
01.92 Total direct program	21,893	23,416	25,312
09.01 Reimbursable program	128	156	181
10.00 Total new obligations	22,021	23,572	25,493
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1,324	1,083	771
22.00 New budget authority (gross)	21,783	23,257	25,167
22.10 Resources available from recoveries of prior year obligations		3	3
23.90 Total budgetary resources available for obligation	23,107	24,343	25,941
23.95 Total new obligations	-22,021	-23,572	-25,493
23.98 Unobligated balance expiring or withdrawn	-2		
24.40 Unobligated balance carried forward, end of year	1,083	771	448
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	20,986	22,071	23,537
40.20 Appropriation (special fund)	768	805	1,084
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-46		
41.00 Transferred to other accounts	-53	-1	
43.00 Appropriation (total discretionary)	21,655	22,875	24,621
Mandatory:			
60.20 Appropriation (special fund)		226	365
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	117	156	181
68.10 Change in uncollected customer payments from Federal sources (unexpired)	11		
68.90 Spending authority from offsetting collections (total discretionary)	128	156	181
70.00 Total new budget authority (gross)	21,783	23,257	25,167
Change in obligated balances:			
72.40 Obligated balance, start of year	2,448	2,647	3,008
73.10 Total new obligations	22,021	23,572	25,493
73.20 Total outlays (gross)	-21,760	-23,208	-25,080
73.40 Adjustments in expired accounts (net)	-64		
73.45 Recoveries of prior year obligations		-3	-3
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-11		
74.10 Change in uncollected customer payments from Federal sources (expired)	13		
74.40 Obligated balance, end of year	2,647	3,008	3,418
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	18,550	19,763	21,400
86.93 Outlays from discretionary balances	3,209	3,242	3,329
86.97 Outlays from new mandatory authority		203	329
86.98 Outlays from mandatory balances			23
87.00 Total outlays (gross)	21,760	23,208	25,080
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-50	-62	-72
88.40 Non-Federal sources	-79	-94	-109
88.90 Total, offsetting collections (cash)	-129	-156	-181
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-11		
88.96 Portion of offsetting collections (cash) credited to expired accounts	12		
Net budget authority and outlays:			
89.00 Budget authority	21,655	23,101	24,986
90.00 Outlays	21,631	23,052	24,899

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	20,951	22,361	24,193
90.00 Outlays	20,927	22,312	24,106

For 2003, the budget process provides total resources for the VA Medical Care program of \$25.0 billion, an increase of \$1.9 billion (\$1.5 billion in medical care and \$0.4 billion in collections) over the 2002 level. This includes \$23.5 billion in appropriated budget authority, \$1.1 billion to be collected in the Medical Care Collections Fund, \$365 million in anticipated collections from the Health Services Improvement Fund, and other available resources.

The budget request also includes a proposal to establish a \$1,500 annual deductible for priority level 7 veterans (non-disabled, higher-income). This proposal is in response to the significant growth in enrollment and usage by priority level 7 veterans over the last 3 years, as well as anticipated future growth. The objective is to have these veterans pay a larger portion of the cost of their health care. Coupled with the recent increase in pharmacy co-payments and decrease in outpatient care co-payments, this proposal makes certain that VA's health care system is able to continue providing high-quality health care to VHA's core population—disabled and low-income veterans.

Veterans will be assessed the deductible for their inpatient and outpatient care at a rate of 45 percent of the reasonable charges, which equals VA's cost to provide this care, up to a \$1,500 annual ceiling. This legislative proposal is included in the general provisions of the appropriation request. Obligation estimates in this section include the net cost and revenue associated with this copayment.

WORKLOAD*Provision of Veterans Health Care—*

Acute hospital care.—Costs for 2003 are estimated to increase by \$166 million for operating medical, neurological, surgical, contract and State home hospital beds, reflecting the shift to increased use of ambulatory care.

Estimated operating levels are:

	2001 actual	2002 est.	2003 est.
Patients treated	438,735	441,450	443,028
Average daily census	8,066	8,046	8,035
Average employment	49,586	48,307	47,303

Rehabilitative care.—An increase of \$18 million in 2003 is estimated for the provision of rehabilitative care, including spinal cord injury care.

Estimated operating levels are:

	2001 actual	2002 est.	2003 est.
Patients treated	14,705	14,705	14,852
Average daily census	1,173	1,170	1,168
Average employment	4,782	4,878	4,976

Psychiatric care.—A decrease of \$28 million is estimated in 2003 for the inpatient care of veterans with problems related to mental illness, including alcohol and drug problems.

Estimated operating levels are:

	2001 actual	2002 est.	2003 est.
Patients treated	101,831	101,338	100,852
Average daily census	4,214	4,029	3,843
Average employment	14,177	13,524	12,931

Nursing home care.—In 2003, an increase of \$120 million is estimated for the care of residents in VA nursing homes, contract nursing homes and State nursing homes.

Estimated operating levels are:

	2001 actual	2002 est.	2003 est.
Patients treated	87,232	90,351	97,492
Average daily census	31,941	31,963	33,168
Average employment	20,766	21,684	22,529

Noninstitutional extended care.—Included in outpatient estimates in 2003 is an increase of \$103 million estimated for noninstitutional extended care programs such as adult day care; home based primary care, skilled nursing and rehabilitation care; and home health aids.

Estimated operating levels are:

	2001 actual	2002 est.	2003 est.
Average daily census	24,734	30,633	35,907

Subacute care.—A decrease of \$18 million is estimated in 2003 for the treatment of veterans who require a level of care between acute and long-term care, as provided in VA hospital intermediate bed sections.

Estimated operating levels are:

	2001 actual	2002 est.	2003 est.
Patients treated	41,451	39,946	39,147
Average daily census	1,701	1,543	1,435
Average employment	4,651	4,206	3,916

Residential care.—A decrease of \$1 million is estimated in 2003 for the care of veterans in locations other than their own homes, such as residential rehabilitation and domiciliary care programs.

Estimated operating levels are:

	2001 actual	2002 est.	2003 est.
Patients treated	45,495	44,676	44,367
Average daily census	10,697	10,771	10,712
Average employment	4,752	4,682	4,565

Outpatient care.—An increase of \$1,426 million is estimated in 2003 for the cost of outpatient medical and dental care provided by staff, physicians, and dentists participating under a fee basis arrangement for certain eligible veterans.

Estimated operating levels are:

NUMBER OF MEDICAL VISITS AND DENTAL WORKLOADS

	2001 actual	2002 est.	2003 est.
Medical visits (in thousands):			
Staff visits	40,506	43,246	45,003
Fee visits	2,395	2,814	3,309
Readjustment counseling	907	910	910
Total	43,808	46,970	49,222

Dental:			
Staff:			
Examinations	402,966	403,000	403,000
Treatments	134,628	140,000	140,000
Total	537,594	543,000	543,000

Fee: Cases completed	11,875	12,000	12,000
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Average employment	76,386	75,971	79,802
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Miscellaneous benefits and services.—An increase of \$80 million is estimated in 2003 for the cost of this activity which includes items of nondirect medical care and treatment such as beneficiary travel, care of the dead, operation of personnel quarters at medical facilities, and the cost of furnishing supply, engineering, housekeeping, and other administrative support services to other departments on a nonreimbursable basis.

	2001 actual	2002 est.	2003 est.
Average employment	7,660	7,967	8,286

Civilian health and medical program of the Department of Veterans Affairs (CHAMPVA).—An increase of \$158 million is estimated in 2003 for private hospital and outpatient care for dependents and survivors of certain veterans.

Estimated operating levels are:

	2001 actual	2002 est.	2003 est.
Average daily hospital census	155	156	157
Outpatient (in thousands)	1,320	2,647	3,530
Average employment	186	281	313

PERFORMANCE MEASURES

Chronic Disease Care Index II and Prevention Index II.—Investment in effective chronic disease management results in improved health of veterans and reduced use of services. The prevention index spotlights and summarizes a variety of evidenced based measures for high quality preventive health care.

	2001 actual	2002 est.	2003 est.
Chronic Disease Care Index II	77%	78%	79%
Prevention Index II	80%	80%	80%

Waiting Time for Scheduled Appointments.—VA's strategy is to improve access and timeliness of service by reducing waiting times in specific clinics as well as primary care clinics in medical centers nationwide.

	2001 actual	2002 est.	2003 est.
Percent of patients seen within 20 minutes of a scheduled appointment	63%	70%	72%
Percent of primary care appointments scheduled within 30 days of desired date	87%	88%	88.5%
Percent of specialist appointments scheduled within 30 days of desired date	84%	85%	86.5%

Hepatitis C.—VA's strategy is to improve the screening, testing, and treatment of hepatitis C in the veteran population. As a result, VA has developed three performance measures to track the hepatitis C program.

	2001 actual	2002 est.	2003 est.
Percent of patients screened and/or tested for the risk factors for hepatitis C	51%	56%	61%
Percent of patients tested for hepatitis C subsequent to a positive hepatitis C risk factor screening	48%	61%	65%
Percent of patients with hepatitis C who have annual assessments of liver function	Baseline to be established in 2002		

CARES.—VA is seeking to right-size its infrastructure through the CARES process. Its goal is to eliminate excess space (as defined by the CARES study) in a VISN four years after a Secretarial decision is made.

	2001 actual	2002 est.	2003 est.
Percent cumulative reduction in excess space as a result of CARES	N/A	10%	30%

Object Classification (in millions of dollars)

Identification code 36-0160-0-1-703	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	7,357	7,466	7,909
11.3 Other than full-time permanent	979	994	1,053
11.5 Other personnel compensation	941	955	1,012
Total personnel compensation	9,277	9,415	9,974
12.1 Civilian personnel benefits	3,023	3,365	3,516
13.0 Benefits for former personnel	32	35	40
Travel and transportation of persons:			
21.0 Employee travel	50	56	60
21.0 Beneficiary travel	127	129	132
21.0 Interagency motor pool payments	18	19	21
21.0 All other	42	43	46
22.0 Transportation of things	23	25	26
23.1 Rental payments to GSA	10	10	11
23.2 Rental payments to others	49	52	55
23.3 Communications, utilities, and miscellaneous charges	647	764	852
24.0 Printing and reproduction	11	13	14
25.2 Other contractual services	2,041	2,274	2,550
Medical care:			
25.6 Outpatient dental fees	13	14	14
25.6 Medical and nursing fees	428	489	533
25.6 Community nursing homes	231	179	217
25.6 Contract hospitalization	327	339	352
25.6 Civilian Health and Medical Program of the Department of Veterans Affairs	145	215	375
Supplies and materials:			
Supplies and materials	4,022	4,610	5,139
26.0 Provisions	75	75	76
31.0 Equipment	653	606	610
32.0 Land and structures	302	302	272

General and special funds—Continued

MEDICAL CARE—Continued
(INCLUDING TRANSFER OF FUNDS)—Continued

Object Classification (in millions of dollars)—Continued

Identification code 36-0160-0-1-703	2001 actual	2002 est.	2003 est.
Grants, subsidies, and contributions:			
41.0 Grants, subsidies, and contributions	328	367	406
41.0 Grants to private organizations	18	18	19
43.0 Interest and dividends	1	2	2
99.0 Direct obligations	21,893	23,416	25,312
99.0 Reimbursable obligations	128	156	181
99.9 Total new obligations	22,021	23,572	25,493

Personnel Summary

Identification code 36-0160-0-1-703	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	181,854	180,230	180,500
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	1,092	1,270	1,323

MEDICAL AND PROSTHETIC RESEARCH

For necessary expenses in carrying out programs of medical and prosthetic research and development as authorized by 38 U.S.C. chapter 73, to remain available until September 30, [2003, \$371,000,000], 2004, \$409,075,000, plus reimbursements. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 36-0161-0-1-703	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
Operating expenses:			
00.01 Medical research	224	249	250
00.02 Rehabilitation research	32	36	37
00.03 Health services research	45	48	48
00.04 Cooperative studies research	31	51	50
00.91 Total operating expenses	332	384	385
Capital investment:			
01.01 Medical research	11	16	14
01.02 Rehabilitation research	3	5	4
01.03 Health services research	2	4	4
01.04 Cooperative studies research	2	2	2
01.91 Total capital investment	18	27	24
01.92 Total direct program	350	411	409
09.01 Reimbursable program	27	33	33
10.00 Total new obligations	377	444	442
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	23	36	9
22.00 New budget authority (gross)	390	417	442
23.90 Total budgetary resources available for obligation	413	453	451
23.95 Total new obligations	-377	-444	-442
24.40 Unobligated balance carried forward, end of year	36	9	9
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	364	384	409
40.35 Appropriation rescinded	-1		
43.00 Appropriation (total discretionary)	363	384	409
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	27	33	33
70.00 Total new budget authority (gross)	390	417	442

Change in obligated balances:

72.40 Obligated balance, start of year	102	97	130
73.10 Total new obligations	377	444	442
73.20 Total outlays (gross)	-378	-411	-436
73.40 Adjustments in expired accounts (net)	-2		
74.40 Obligated balance, end of year	97	130	136

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	284	310	329
86.93 Outlays from discretionary balances	95	101	107
87.00 Total outlays (gross)	378	411	436

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-27	-33	-33

Net budget authority and outlays:

89.00 Budget authority	363	384	409
90.00 Outlays	352	378	403

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	350	371	394
90.00 Outlays	339	365	388

The Medical and Prosthetic Research account is an intramural program whose mission is to acquire knowledge and create innovations that advance the health and care of veterans and the Nation. Veterans health issues are addressed comprehensively in the following four program divisions:

Medical Research.—Medical Research strives to understand the disease process so that efficient, rational interventions can be made to cure or alleviate the effects of disease. The program supports investigator-initiated research projects, the training of clinicians in basic and clinical research, and centers of excellence devoted to specific diseases. The research is done in areas particularly relevant to the veteran population—aging, chronic disease, mental illness, substance abuse, military occupations, and environmental exposures.

Rehabilitation Research.—Rehabilitation Research is dedicated to the development and application of science and engineering to improve the care and quality of life for the physically disabled. The program supports investigator-initiated research projects, the training of clinicians and engineers in rehabilitation research, centers of excellence devoted to specific disabilities, and technology transfer. The research is done in areas particularly relevant to the disabled veteran population—aging, sensory loss, and trauma related illness.

Health Services Research.—Health Services Research is directed toward improving the outcome effectiveness and cost efficiency of health care delivery for the veteran population. The program supports investigator-initiated research projects, the training of clinicians in applied clinical research, centers of excellence devoted to specific aspects of health care delivery, and service-directed projects addressing clinical management needs. The research focuses on the translation of research findings to clinical best practices for all veteran patients. Particular contributions are made in the areas of aging, substance abuse, health systems, and special populations.

Cooperative Studies.—Cooperative Studies Research has recently been separated from the Medical Research and Health Services Research programs and is directed toward large multi-site clinical trials. Cooperative Studies supports the clinical trials with its own statistical support centers and its own FDA-approved pharmacy. The research determines the efficacy and cost effectiveness of new medications and new treatment strategies of direct benefit to the veteran popu-

lation in the areas of aging, chronic disease, mental illness, special populations, and military occupations and environmental exposures.

VA's Medical and Prosthetic Research programs are included in the Federal Science & Technology (FS&T) budget.

SUMMARY OF BUDGETARY RESOURCES

(In millions of dollars)

	2001 actual	2002 est.	2003 est.
Medical and prosthetic research appropriation	363	384	409
Medical care appropriation	355	377	400
Federal grants (NIH)	417	438	460
Other grants (voluntary agencies, private proprietary)	178	186	196
Total budgetary resources	1,313	1,385	1,465

Object Classification (in millions of dollars)

Identification code 36-0161-0-1-703	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	46	52	54
11.3 Other than full-time permanent	76	86	89
11.5 Other personnel compensation	22	24	25
11.9 Total personnel compensation	144	162	168
12.1 Civilian personnel benefits	50	54	57
21.0 Employee travel	3	4	5
23.3 Communications, utilities, and miscellaneous charges	1	2	1
24.0 Printing and reproduction	1	1	1
25.5 Research and development contracts	101	120	119
26.0 Supplies and materials	32	41	37
31.0 Equipment	18	27	21
99.0 Direct obligations	350	411	409
99.0 Reimbursable obligations	27	33	33
99.9 Total new obligations	377	444	442

Personnel Summary

Identification code 36-0161-0-1-703	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	2,796	2,723	2,907
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	223	260	260

MEDICAL ADMINISTRATION AND MISCELLANEOUS OPERATING EXPENSES

For necessary expenses in the administration of the medical, hospital, nursing home, domiciliary, construction, supply, and research activities, as authorized by law; administrative expenses in support of capital policy activities, **[\$66,731,000]** \$77,214,000, of which \$3,861,000 should be available until September 30, 2004, plus reimbursements: *Provided*, That technical and consulting services offered by the Facilities Management Field Service, including project management and real property administration (including leases, site acquisition and disposal activities directly supporting projects), shall be provided to Department of Veterans Affairs components only on a reimbursable basis, and such amounts will remain available until September 30, **[2002]** 2003. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 36-0152-0-1-703	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Operating expenses: Integrated health care system administration	68	73	76

00.02 Capital investment	1	1	1
01.00 Total direct program	69	74	77
09.01 Reimbursable program	7	7	7
10.00 Total new obligations	76	81	84

Budgetary resources available for obligation:

22.00 New budget authority (gross)	76	81	84
23.95 Total new obligations	-76	-81	-84

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	69	74	77
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	7	7	7
70.00 Total new budget authority (gross)	76	81	84

Change in obligated balances:

72.40 Obligated balance, start of year	14	10	10
73.10 Total new obligations	76	81	84
73.20 Total outlays (gross)	-79	-81	-84
73.40 Adjustments in expired accounts (net)	-1		
74.40 Obligated balance, end of year	10	10	10

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	69	73	76
86.93 Outlays from discretionary balances	10	9	8
87.00 Total outlays (gross)	79	81	84

Offsets:

Against gross budget authority and outlays:			
88.45 Offsetting collections (cash) from: Offsetting governmental collections (from non-Federal sources)	-7	-7	-7

Net budget authority and outlays:

89.00 Budget authority	69	74	77
90.00 Outlays	71	74	77

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	62	67	70
90.00 Outlays	64	67	70

Supervision and administration of VA's comprehensive and integrated healthcare system.—Central office staff elements provide executive direction for all Departmental medical and construction programs through program development, implementation, and the administration of policies, plans, and objectives. The Facilities Management Service Delivery Office will be directly reimbursed from organizations utilizing its services. The funding for tactical management and support activities is being aligned with organizations that directly utilize its services.

Object Classification (in millions of dollars)

Identification code 36-0152-0-1-703	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	35	37	38
11.3 Other than full-time permanent	2	3	3
11.5 Other personnel compensation	6	7	7
11.9 Total personnel compensation	43	47	48
12.1 Civilian personnel benefits	15	15	16
21.0 Travel and transportation of persons: employee travel	1	2	2
23.1 Rental payments to GSA	5	5	6
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	3	3	3
31.0 Equipment	1	1	1
99.0 Direct obligations	69	74	77

General and special funds—Continued

MEDICAL ADMINISTRATION AND MISCELLANEOUS OPERATING EXPENSES—Continued

Object Classification (in millions of dollars)—Continued

Identification code 36-0152-0-1-703	2001 actual	2002 est.	2003 est.
99.0 Reimbursable obligations	7	7	7
99.9 Total new obligations	76	81	84

Personnel Summary

Identification code 36-0152-0-1-703	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	477	494	494
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	51	51	51

Public enterprise funds:

VETERANS EXTENDED CARE REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 36-4032-0-3-703	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Reimbursable program		20	40
10.00 Total new obligations (object class 25.6)		20	40
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		20	40
23.95 Total new obligations		-20	-40
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)		20	40
Change in obligated balances:			
73.10 Total new obligations		20	40
73.20 Total outlays (gross)		-20	-40
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority		20	40
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources		-20	-40
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

This fund was authorized by the Millennium Health Care and Benefits Act P.L. 106-117 section 101(a) to credit collections for long-term care for utilization by VA of extended care services. VA anticipates \$40 million from this effort in 2003.

MEDICAL FACILITIES REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 36-4138-0-3-703	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.02 Reimbursable capital expenses	2	2	2
10.00 Total new obligations (object class 31.0)	2	2	2
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4	4	3

22.00 New budget authority (gross)	1	1	1
23.90 Total budgetary resources available for obligation	5	5	4
23.95 Total new obligations	-2	-2	-2
24.40 Unobligated balance carried forward, end of year	4	3	3

New budget authority (gross), detail:

Mandatory:			
69.00 Offsetting collections (cash)	1	1	1

Change in obligated balances:

73.10 Total new obligations	2	2	2
73.20 Total outlays (gross)	-2	-2	-2

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	1	1	1
86.98 Outlays from mandatory balances	1		
87.00 Total outlays (gross)	2	2	2

Offsets:

Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-1	-1	-1

Net budget authority and outlays:

89.00 Budget authority			
90.00 Outlays	1	1	1

This account provides funds for the operating expenses of VA medical facilities furnishing nursing home care to certain veterans in receipt of pensions. Title 38 provides that a veteran with no spouse or child will only receive \$90 per month in pension beginning the third full month following the month of admission to VA furnished nursing home care. The difference between the \$90 the veteran receives and the amount otherwise authorized is transferred to this fund from the Compensation and Pension account to assist in covering expenses at the facility furnishing the nursing care.

CANTEEN SERVICE REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 36-4014-0-3-705	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Reimbursable operating expenses	135	144	140
09.02 Reimbursable direct operations	86	87	87
09.10 Reimbursable capital investment: Sales program: Purchase of equipment and leasehold	5	5	4
10.00 Total new obligations	226	236	231
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	14	16	18
22.00 New budget authority (gross)	227	238	234
23.90 Total budgetary resources available for obligation	241	254	252
23.95 Total new obligations	-226	-236	-231
24.40 Unobligated balance carried forward, end of year	16	18	21
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	227	238	234
Change in obligated balances:			
72.40 Obligated balance, start of year	26	19	15
73.10 Total new obligations	226	236	231
73.20 Total outlays (gross)	-233	-240	-234
74.40 Obligated balance, end of year	19	15	12
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	231	237	233
86.98 Outlays from mandatory balances	2	3	3
87.00 Total outlays (gross)	233	240	234
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on Federal securities		-2	-2

88.40	Non-Federal sources	-227	-236	-232
88.90	Total, offsetting collections (cash)	-227	-238	-234

Net budget authority and outlays:

89.00	Budget authority			
90.00	Outlays	6	2	

Memorandum (non-add) entries:

92.01	Total investments, start of year: Federal securities: Par value	39	32	34
92.02	Total investments, end of year: Federal securities: Par value	32	34	35

The Veterans Canteen Service was established to furnish, at reasonable prices, merchandise and services necessary for the comfort and well-being of veterans in VA medical facilities.

Financing.—Operations will be financed from current revenues.

Object Classification (in millions of dollars)

Identification code 36-4014-0-3-705	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	36	41	41
11.3 Other than full-time permanent	29	28	28
11.9 Total personnel compensation	65	69	69
12.1 Civilian personnel benefits	22	24	25
21.0 Travel and transportation of persons	1	1	1
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	4	5	3
26.0 Supplies and materials	128	131	128
31.0 Equipment	5	5	4
99.9 Total new obligations	226	236	231

Personnel Summary

Identification code 36-4014-0-3-705	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	2,933	3,025	3,000

SPECIAL THERAPEUTIC AND REHABILITATION ACTIVITIES FUND

Program and Financing (in millions of dollars)

Identification code 36-4048-0-3-703	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Contracts	22	23	24
09.02 Education and training	1	1	1
09.03 Operating expenses	12	12	12
10.00 Total new obligations	35	36	37
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	14	16	17
22.00 New budget authority (gross)	36	37	38
23.90 Total budgetary resources available for obligation	50	53	55
23.95 Total new obligations	-35	-36	-37
24.40 Unobligated balance carried forward, end of year	16	17	18

New budget authority (gross), detail:

Mandatory:			
69.00 Offsetting collections (cash)	36	37	38

Change in obligated balances:

72.40 Obligated balance, start of year	2	3	3
73.10 Total new obligations	35	36	37
73.20 Total outlays (gross)	-34	-35	-36
74.40 Obligated balance, end of year	3	3	3

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	34	35	36
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Offsets:

Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-36	-37	-38

Net budget authority and outlays:

89.00 Budget authority			
90.00 Outlays	-1	-2	-2

This revolving fund, established pursuant to the Veterans Omnibus Health Care Act of 1976, Public Law 94-581, provides a mechanism for the furnishing of rehabilitative services to certain veteran beneficiaries who are receiving medical care and treatment from the Department of Veterans Affairs.

Funds to operate the various rehabilitative activities and provide for the therapeutic work for remuneration for patients and members in VA health care facilities are derived from contractual arrangements with private industry or nonprofit entities. Public Law 102-54 authorizes VA to contract with any Federal agency, including VA, and authorizes the fund to cover the training, education, and travel costs of employees associated with the rehabilitative programs. This is a self-sustaining fund, and therefore no appropriation is required to support these activities.

Statement of Operations (in millions of dollars)

Identification code 36-4048-0-3-703	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	39	37	37	38
0102 Expense	-38	-35	-36	-37
0105 Net income or loss (-)	1	2	1	1

Balance Sheet (in millions of dollars)

Identification code 36-4048-0-3-703	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	17	18	20	21
Investments in US securities:				
1106 Receivables, net	1	1	1	1
1206 Non-Federal assets: Receivables, net	2	3	3	3
1999 Total assets	20	22	24	25
LIABILITIES:				
2105 Federal liabilities: Other	3	3	3	3
2999 Total liabilities	3	3	3	3
NET POSITION:				
3300 Cumulative results of operations	17	19	21	22
3999 Total net position	17	19	21	22
4999 Total liabilities and net position	20	22	24	25

Object Classification (in millions of dollars)

Identification code 36-4048-0-3-703	2001 actual	2002 est.	2003 est.
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	33	33	34
26.0 Supplies and materials	1	2	2
99.9 Total new obligations	35	36	37

MEDICAL CENTER RESEARCH ORGANIZATIONS

Program and Financing (in millions of dollars)

Identification code 36-4026-0-3-703	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Operating expenses	141	144	148
09.02 Capital investments	16	17	18
10.00 Total new obligations	157	161	166
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	16	16	17

Public enterprise funds—Continued

MEDICAL CENTER RESEARCH ORGANIZATIONS—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 36-4026-0-3-703	2001 actual	2002 est.	2003 est.
22.00 New budget authority (gross)	157	161	166
23.90 Total budgetary resources available for obligation	173	177	183
23.95 Total new obligations	-157	-161	-166
24.40 Unobligated balance carried forward, end of year	16	17	17
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	157	161	166
Change in obligated balances:			
73.10 Total new obligations	157	161	166
73.20 Total outlays (gross)	-157	-161	-166
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	157	161	166
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-157	-161	-166
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

These nonprofit corporations provide a flexible funding mechanism for the conduct of approved research at Department of Veterans Affairs medical centers. These organizations will derive funds to operate various research activities from Federal and non-Federal sources. No appropriation is required to support these activities.

Object Classification (in millions of dollars)

Identification code 36-4026-0-3-703	2001 actual	2002 est.	2003 est.
21.0 Travel and transportation of persons	2	2	2
25.2 Other services	97	100	103
26.0 Supplies and materials	41	42	43
31.0 Equipment	17	17	18
99.9 Total new obligations	157	161	166

Trust Funds

**GENERAL POST FUND, NATIONAL HOMES
(INCLUDING TRANSFER OF FUNDS)**

Unavailable Collections (in millions of dollars)

Identification code 36-8180-0-7-705	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.00 General post fund, national homes, deposits	32	33	34
02.40 General post fund, national homes, interest on investments	3	3	3
02.99 Total receipts and collections	35	36	37
Appropriations:			
05.00 General post fund, national homes	-35	-36	-37
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 36-8180-0-7-705	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Religious, recreational, and entertainment activities	28	29	29
00.02 Research activities	2	2	2

00.03 Therapeutic residence maintenance	1	1	1
10.00 Total new obligations	31	32	32

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	50	54	59
22.00 New budget authority (gross)	35	36	37
23.90 Total budgetary resources available for obligation	85	90	96
23.95 Total new obligations	-31	-32	-32
24.40 Unobligated balance carried forward, end of year	54	59	63

New budget authority (gross), detail:

Mandatory:			
60.26 Appropriation (trust fund)	35	36	37

Change in obligated balances:

72.40 Obligated balance, start of year	6	5	4
73.10 Total new obligations	31	32	32
73.20 Total outlays (gross)	-32	-33	-33
74.40 Obligated balance, end of year	5	4	3

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	30	30	30
86.98 Outlays from mandatory balances	2	3	3
87.00 Total outlays (gross)	32	33	33

Net budget authority and outlays:

89.00 Budget authority	35	36	37
90.00 Outlays	32	33	33

Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities:			
Par value	57	57	55
92.02 Total investments, end of year: Federal securities:			
Par value	57	55	55

This fund consists of: gifts, bequests, and proceeds from the sale of property left in the care of the facilities by former beneficiaries; patients' fund balances; and, proceeds from the sale of effects of beneficiaries who die leaving no heirs or without having otherwise disposed of their estate. Such funds are used to promote the comfort and welfare of veterans at hospitals, nursing homes, and domiciliaries where no general appropriation is available. Public Law 102-54 authorizes compensation work therapy and therapeutic transitional housing and loan programs to be funded from the General Post Fund. In addition, donations from pharmaceutical companies, nonprofit corporations, and individuals to support VA medical research are deposited into this fund. (38 U.S.C. chs. 83 and 85.)

Object Classification (in millions of dollars)

Identification code 36-8180-0-7-705	2001 actual	2002 est.	2003 est.
21.0 Travel and transportation of persons	2	2	2
25.2 Other services	14	14	14
26.0 Supplies and materials	11	12	12
31.0 Equipment	3	3	3
32.0 Land and structures	1	1	1
99.9 Total new obligations	31	32	32

VETERANS BENEFITS ADMINISTRATION

Federal Funds

General and special funds:

**COMPENSATION AND PENSIONS
(INCLUDING TRANSFER OF FUNDS)**

For the payment of compensation benefits to or on behalf of veterans and a pilot program for disability examinations as authorized by law (38 U.S.C. 107, chapters 11, 13, 18, 51, 53, 55, and 61); pension benefits to or on behalf of veterans as authorized by law (38 U.S.C. chapters 15, 51, 53, 55, and 61; 92 Stat. 2508); and burial

benefits, emergency and other officers' retirement pay, adjusted-service credits and certificates, payment of premiums due on commercial life insurance policies guaranteed under the provisions of article IV of the Soldiers' and Sailors' Civil Relief Act of 1940 (50 U.S.C. App. 540 et seq.) and for other benefits as authorized by law (38 U.S.C. 107, 1312, 1977, and 2106, chapters 23, 51, 53, 55, and 61; 50 U.S.C. App. 540-548; 43 Stat. 122, 123; 45 Stat. 735; 76 Stat. 1198), **[\$24,944,288,000, to remain available until expended] such sums as may be necessary: Provided, That not to exceed [\$17,940,000] \$17,138,000** of the amount appropriated under this heading shall be reimbursed to "General operating expenses" and "Medical care" for necessary expenses in implementing those provisions authorized in the Omnibus Budget Reconciliation Act of 1990, and in the Veterans' Benefits Act of 1992 (38 U.S.C. chapters 51, 53, and 55), the funding source for which is specifically provided as the "Compensation and pensions" appropriation: *Provided further, That such sums as may be earned on an actual qualifying patient basis, shall be reimbursed to "Medical facilities revolving fund" to augment the funding of individual medical facilities for nursing home care provided to pensioners as authorized. In addition, such sums as may be necessary to provide for any cost-of-living adjustment authorized by 38 U.S.C. 1104. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.)*

Summary of Budget Authority and Outlays by Program

	(In thousands of dollars)		
Distribution of budget authority by program:	2001 actual	2002 est.	2003 est.
Compensation	20,223,100	21,741,188	23,287,680
Pensions	3,018,066	3,059,357	3,081,708
Burial benefits	114,523	143,743	154,912
Distribution of outlays by program:			
Compensation	18,545,183	21,721,487	23,200,679
Pensions	2,760,014	3,040,413	3,065,197
Burial benefits	114,523	143,743	154,912

Program and Financing (in millions of dollars)

Identification code 36-0102-0-1-701	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Compensation:			
Veterans:			
00.01 World War II	3,174	3,096	2,994
00.02 Korean conflict	1,293	1,337	1,347
00.03 Vietnam era	6,946	7,824	8,756
00.04 Peacetime service	3,454	3,708	3,883
00.05 Persian Gulf conflict	1,662	1,992	2,323
00.91 Total veterans	16,529	17,957	19,303
Survivors:			
01.01 World War I	28	23	17
01.02 World War II	1,387	1,409	1,429
01.03 Korean conflict	442	457	466
01.04 Vietnam era	1,244	1,345	1,434
01.05 Peacetime service	452	453	448
01.06 Persian Gulf conflict	98	113	127
01.91 Total survivors	3,651	3,800	3,921
02.93 Total compensation	20,180	21,757	23,224
Other compensation expenses:			
03.01 Payment to general operating expense	1	1	1
03.02 Medical exam pilot program	27	29	29
03.03 Clothing allowance	43	43	44
03.04 Children of Vietnam Era	13	14	15
03.91 Total other compensation expenses	84	87	89
Pensions:			
Veterans:			
04.03 Improved Law	2,281	2,327	2,362
04.04 Prior Law	36	31	27
04.91 Total veterans	2,317	2,358	2,389
Survivors:			
05.01 Improved Law	609	618	618
05.02 Prior Law	74	65	57
05.03 Old Law	1	1
05.91 Total survivors	684	684	675
05.92 Total pensions	3,001	3,042	3,064
Other pension expenses:			
06.02 Reimbursement to GOE and VHA	16	18	18

Burial benefits:			
07.01 Burial allowance	33	34	36
07.02 Burial plots	10	18	21
07.03 Service-connected deaths	11	18	18
07.04 Burial flags	17	18	19
07.05 Headstones and markers	27	35	36
07.06 Graveliners	9	9	8
07.07 Pre-placed crypts	4	8	13
07.91 Total burial benefits	111	140	151
08.01 Equal access to justice	3	3	3
Reimbursable pension program:			
09.01 Minimum income for widows program	3	3
10.00 Total new obligations	23,396	25,050	26,552

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	171	130	26
22.00 New budget authority (gross)	23,356	24,948	26,527
23.90 Total budgetary resources available for obligation	23,527	25,078	26,553
23.95 Total new obligations	-23,396	-25,050	-26,552
24.40 Unobligated balance carried forward, end of year	130	26

New budget authority (gross), detail:

Mandatory:			
Appropriation:			
60.00 Appropriation	23,356	24,945	26,246
60.00 Appropriation	279
62.50 Appropriation (total mandatory)	23,356	24,945	26,525
69.00 Offsetting collections (cash)	3	3
70.00 Total new budget authority (gross)	23,356	24,948	26,528

Change in obligated balances:

72.40 Obligated balance, start of year	43	2,020	2,162
73.10 Total new obligations	23,396	25,050	26,552
73.20 Total outlays (gross)	-21,420	-24,908	-26,424
74.40 Obligated balance, end of year	2,020	2,162	2,292

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	21,420	24,908	26,424
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Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-3	-3

Net budget authority and outlays:

89.00 Budget authority	23,356	24,945	26,525
90.00 Outlays	21,420	24,906	26,421

This appropriation provides for the payment of compensation, pensions, and burial benefits to veterans and survivors.

Compensation is paid to veterans for disabilities incurred in or aggravated during active military service. Dependency and Indemnity Compensation is paid to survivors of servicepersons or veterans whose death occurred while on active duty or as a result of service-connected disabilities. Compensation and vocational rehabilitation is provided to the children of Vietnam veterans who were born with the birth defect spina bifida.

The Secretary may pay a clothing allowance to each veteran who uses a prescribed medication for a service-connected skin condition or wears a prosthetic or orthopedic appliance (including a wheelchair) which, in the judgment of the Secretary, tends to damage or tear the clothing of such veteran.

AVERAGE NUMBER OF COMPENSATION CASES AND PAYMENTS

Veterans:	2001 actual	2002 est.	2003 est.
Mexican border period	7	5	4
World War I	31	17	8
World War II	485,635	449,120	416,655
Korean conflict	168,212	164,060	159,789
Vietnam era	743,555	784,899	837,818
Peacetime service	567,870	577,593	585,277
Persian Gulf conflict	345,570	388,543	431,772
Total	2,310,880	2,364,237	2,431,323
Average payment per case, per year	\$7,153	\$7,596	\$7,940
Total obligations (in millions)	\$16,529	\$17,958	\$19,304
Children of Vietnam era veterans:			
Children	929	949	959

General and special funds—Continued

COMPENSATION AND PENSIONS—Continued
(INCLUDING TRANSFER OF FUNDS)—Continued

AVERAGE NUMBER OF COMPENSATION CASES AND PAYMENTS—Continued

	2001 actual	2002 est.	2003 est.
Average payment per case, per year	\$14,451	\$14,851	\$15,220
Total obligations (in millions)	\$13	\$14	\$15
Chapter 18 Vocational rehabilitations:			
Rehabilitations	15	19	19
Average payment per case, per year	\$9,933	\$10,158	\$10,421
Total obligations (in millions) ¹	\$0	\$0	\$0
¹ Amounts round to less than \$1 million.			
Survivors:			
Prior to Spanish-American War	1	1	1
Spanish-American War	12	11	10
Mexican border period	3	3	3
World War I	2,545	1,955	1,406
World War II	114,883	113,702	112,875
Korean conflict	36,775	36,866	36,947
Vietnam era	105,464	110,715	116,384
Peacetime service	39,470	38,437	37,366
Persian Gulf conflict	7,685	8,538	9,392
Total	306,838	310,228	314,384
Average payment per case, per year	\$11,899	\$12,249	\$12,473
Total obligations (in millions)	\$3,651	\$3,800	\$3,921
Clothing allowance:			
Number of veterans	78,073	79,144	80,650
Average payment per case, per year	\$546	\$548	\$542
Total obligations (in millions)	\$43	\$43	\$44

Pension benefits may be paid to veterans or their survivors. A veteran's entitlement is based on active duty service of a specific length (normally 90 days or more) during a designated war period, disabilities considered permanent and total, and countable income below established levels. There is no disability requirement for survivor cases or veterans age 65 or older. Income support is provided at established benefit levels.

An automatic annual cost-of-living increase comparable to the annual social security increase is provided for those pensioners in the improved program and to parents receiving dependency and indemnity compensation. The increase, effective with payments made on January 1, 2003, is expected to be 1.8 percent.

AVERAGE NUMBER OF PENSION CASES AND PAYMENTS

	2001 actual	2002 est.	2003 est.
Veterans:			
Improved law	332,027	326,382	322,076
Prior law	21,547	18,985	16,306
Old law and service	282	247	217
Total	353,856	345,614	338,599
Average payment per case, per year (in dollars)	\$6,548	\$6,822	\$7,056
Total obligations (in millions)	\$2,317	\$2,358	\$2,389
Survivors:			
Improved law	175,122	168,633	162,775
Prior law	71,454	63,257	56,029
Old law and service	1,208	875	652
Total	247,784	232,765	219,456
Average payment per case, per year	\$2,765	\$2,939	\$3,080
Total obligations (in millions)	\$685	\$684	\$676
Minimum Income for Widows Program:			
Widows		523	488
Average benefit per case, per year		\$6,585	\$6,746
Total obligations (in millions)		\$3	\$3

¹ Amounts round to less than \$1 million.

Burial benefits provides for: (a) the payment of an allowance of \$300 (plus transportation charges where death occurs under VA care) to reimburse, in part, the burial and funeral

expense of an eligible deceased veteran; (b) the payment of \$300 for a plot allowance where an eligible veteran is not buried in a national cemetery or other cemetery under the jurisdiction of the United States; (c) the payment of a burial allowance up to \$2,000 when a veteran dies as the result of service-connected disability; (d) furnishing a flag to drape the casket of each deceased veteran entitled thereto; (e) furnishing a headstone or marker for the grave of a veteran and, in certain cases, eligible dependents; and (f) authority to provide outer burial receptacles in the National Cemetery System.

NUMBER OF BURIAL BENEFITS

	2001 actual	2002 est.	2003 est.
Burial allowance	79,989	81,989	84,039
Burial plot	65,777	67,421	69,107
Service-connected death	8,740	8,959	9,183
Burial flags	502,883	515,455	528,341
Headstone markers	304,296	351,046	354,150
Headstone allowance			
Graveliners	51,877	48,306	45,187
Preplaced crypts	11,056	26,800	41,000

Miscellaneous assistance provides for: (a) payments to emergency officers of World War I and certain officers of the Regular Establishment who have retired because of service-connected disability; (b) payments for claims made pursuant to the provision of the World War Adjusted Compensation Act of 1924, as amended; (c) a special allowance (38 U.S.C. 1312) to dependents of certain veterans who died after December 31, 1956, but who were not fully and currently insured under the Social Security Act; and (d) payments authorized by the Equal Access to Justice Act.

MISCELLANEOUS ASSISTANCE CASELOAD

	2001 actual	2002 est.	2003 est.
Retired Officers	1	1	1
Special allowance dependents	110	100	90
Equal Access to Justice payments	1,125	1,100	1,100

Object Classification (in millions of dollars)

Identification code 36-0102-0-1-701	2001 actual	2002 est.	2003 est.
42.0 Direct obligations: Insurance claims and indemnities	23,396	25,047	26,549
99.0 Reimbursable obligations: Reimbursable obligations		3	3
99.9 Total new obligations	23,396	25,050	26,552

COMPENSATION AND PENSIONS

(Legislative proposal, subject to PAYGO)

The Administration will transmit legislation that would permanently extend the Internal Revenue Service's authority to provide VA with access to data for determining eligibility for means-tested programs.

GRANTS FOR VETERANS EMPLOYMENT

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 36-0136-2-1-702	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Grants to States for veterans employment			177
10.00 Total new obligations (object class 41.0)			177
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			177
23.95 Total new obligations			-177

New budget authority (gross), detail:			
Discretionary:			
40.00	Appropriation	177	
Change in obligated balances:			
73.10	Total new obligations	177	
73.20	Total outlays (gross)	-159	
74.40	Obligated balance, end of year	18	
Outlays (gross), detail:			
86.90	Outlays from new discretionary authority	159	
Net budget authority and outlays:			
89.00	Budget authority	177	
90.00	Outlays	159	

The Administration will transmit legislation that will establish a new competitive grant program in the Department of Veterans Affairs, subject to Congressional authorization, which will enable the Department to assist States in establishing, expanding, or improving employment and training services for veterans. The Department will set clear employment-based outcome measures for these grants and judge grantee performance on this basis. The emphasis will be on serving those veterans who most need employment assistance, including disabled or homeless veterans.

This new competitive grant program will replace a similar set of programs now in the Department of Labor's Veterans Employment and Training Service.

READJUSTMENT BENEFITS

For the payment of readjustment and rehabilitation benefits to or on behalf of veterans as authorized by law (38 U.S.C. chapters 21, 30, 31, 34, 35, 36, 39, 51, 53, 55, and 61), **[\$2,135,000,000, to remain available until expended] such sums as may be necessary: Provided,** That expenses for rehabilitation program services and assistance which the Secretary is authorized to provide under section 3104(a) of title 38, United States Code, other than under subsection (a)(1), (2), (5), and (11) of that section, shall be charged to this account. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 36-0137-0-1-702	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Direct program:				
Education and training:				
00.01	Sons and daughters	157	182	192
00.02	Spouses	19	24	25
00.91	Total education and training	176	206	217
Special assistance to disabled veterans:				
01.01	Vocational rehabilitation	426	441	452
01.02	Housing grants	20	25	25
01.03	Automobiles, adaptive equipment, maintenance and repair	34	36	36
01.91	Total special assistance to disabled veterans	480	502	513
02.01	Work study	32	46	51
02.02	Payments to states	14	14	13
02.03	All-volunteer assistance: Basic benefits and all other	914	1,367	1,662
02.04	Reporting fees	3	4	4
02.05	Tuition Assistance	6	79	79
02.06	Licensing and Certification		6	19
02.91	All-volunteer assistance and other	969	1,516	1,828
02.93	Total direct program	1,625	2,224	2,558
09.01	Veterans' basic benefits	8	10	11
09.02	Veterans' supplementary benefits	70	81	86
09.03	Reservists benefits	116	115	110
09.04	Reservists supplementary benefits	20	21	33
09.05	Reimbursement to GOE		1	1
10.00	Total new obligations	1,839	2,452	2,799

Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	26	383	293
22.00	New budget authority (gross)	2,197	2,363	2,506
23.90	Total budgetary resources available for obligation	2,223	2,746	2,799
23.95	Total new obligations	-1,839	-2,452	-2,799
24.40	Unobligated balance carried forward, end of year	383	293	

New budget authority (gross), detail:				
Mandatory:				
60.00	Appropriation	1,981	2,135	2,265
69.00	Offsetting collections (cash)	216	228	241
70.00	Total new budget authority (gross)	2,197	2,363	2,506

Change in obligated balances:				
72.40	Obligated balance, start of year	37	52	54
73.10	Total new obligations	1,839	2,452	2,799
73.20	Total outlays (gross)	-1,824	-2,450	-2,798
74.40	Obligated balance, end of year	52	54	55

Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	1,772	2,015	2,450
86.98	Outlays from mandatory balances	52	436	348
87.00	Total outlays (gross)	1,824	2,450	2,798

Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-216	-228	-241

Net budget authority and outlays:				
89.00	Budget authority	1,981	2,135	2,265
90.00	Outlays	1,608	2,222	2,557

This appropriation finances educational assistance allowances for certain service persons, peacetime veterans and for eligible dependents of those veterans: (a) who died from service-connected causes or have a total and permanent rated service-connected disability; and (b) servicepersons who were captured or missing in action. In addition, certain disabled veterans are provided with vocational rehabilitation, specially adapted housing grants, and automobile grants with the associated approved adaptive equipment. This appropriation provides such sums as may be necessary in 2003 to meet program obligations which are currently estimated to be \$2,265 million.

The following table provides a comparison of trainees and costs for the Dependents Educational Assistance program.

NUMBER OF TRAINEES AND COST

	2001 actual	2002 est.	2003 est.
Sons and daughters:			
Number of trainees	40,742	42,916	44,455
Average cost per trainee (in dollars)	\$3,842	\$4,247	\$4,324
Total cost (in millions)	\$157	\$182	\$192
Spouses and widow(ers):			
Number of trainees	6,175	7,033	7,291
Average cost per trainee (in dollars)	\$3,077	\$3,395	\$3,455
Total cost (in millions)	\$19	\$24	\$25

Special assistance to disabled veterans.—Service-disabled veterans requiring vocational rehabilitation receive assistance to cover the costs of subsistence, tuition, books, supplies, and equipment.

Specially adapted housing grants, up to a maximum of \$48,000, are provided to certain severely disabled veterans. Veterans who suffer service-connected blindness or who have lost the use of both upper extremities can receive up to \$8,250.

An allowance, up to a maximum of \$9,000, is provided to certain service-disabled veterans and servicepersons toward the purchase price of an automobile. Adaptive equipment and the maintenance and replacement of such equipment is also provided.

General and special funds—Continued**READJUSTMENT BENEFITS—Continued**

The following table shows caseload for this program. Specific performance goals are contained in VA's annual performance plan.

CASELOAD AND AVERAGE COST DATA

	2001 actual	2002 est.	2003 est.
Total number of trainees	64,235	64,556	64,879
Average cost per trainee	\$6,639	\$6,830	\$6,967
Total cost (in millions)	\$426	\$441	\$452
Housing grants:			
Number of housing grants	548	600	600
Average cost per grant	\$35,978	\$41,600	\$41,600
Total cost (in millions)	\$20	\$25	\$25
Automobiles or other conveyances:			
Number of conveyances	875	1,000	1,000
Average cost per conveyance	\$7,994	\$8,750	\$8,995
Total cost (in millions)	\$7	\$9	\$9
Adaptive equipment (including maintenance, repair and installation for automobiles):			
Number of items	8,036	8,000	7,800
Average cost	\$3,314	\$3,394	\$3,469
Total cost (in millions)	\$27	\$27	\$27

Tuition Assistance.—Public Law 106–398, enacted October 30, 2000, allows the military services to pay up to 100 percent of tuition and expenses charged by a school for service members. If a service department pays less than 100 percent, a service member eligible for the Montgomery GI Bill—Active-duty (MGIB) can elect to receive MGIB benefits for all or a portion of the remaining expenses.

Licensing and certification test payments.—Under Public Law 106–419, enacted November 1, 2000, veterans and other eligible persons may receive up to \$2,000 to pay fees required for civilian occupational licensing and certification examinations needed to enter, maintain, or advance in employment in a vocation or profession—effective March 1, 2001.

CASELOAD AND AVERAGE COST DATA

	2001 actual	2002 est.	2003 est.
Tuition Assistance:			
Number of trainees	12,006	160,000	160,000
Average cost per trainee	\$494	\$494	\$494
Total cost (in millions)	\$5,926	\$79	\$79
Licensing and Certification:			
Number of individuals	141	25,000	80,000
Average cost per trainee	\$234	\$235	\$235
Total cost (in millions)	N/A	\$6	\$19

Work-Study.—Certain veterans pursuing a program of rehabilitation, education, or training, who are enrolled as a full-time student, can work up to 250 hours per semester, receiving the Federal (\$5.15 on 9/1/97) or State minimum wage rate, whichever is higher.

	2001 actual	2002 est.	2003 est.
Number of contracts	27,690	25,500	28,560
Total cost (in millions)	\$32	\$46	\$51

Payments to States.—State approving agencies are reimbursed for the costs of inspecting, approving, and supervising programs of education and training offered by educational institutions and training establishments in which veterans, dependents, and reservists are enrolled or are about to enter.

Reporting fees.—Public Law 105–368, enacted November 11, 1998, transferred the reporting fee payments from the General Operating Expenses appropriation (GOE). Reporting fees are paid to education and training institutions to help defray the costs of certifying education enrollment for veterans enrolled in training during a calendar year.

All Volunteer Force educational assistance (Montgomery GI Bill).—Public Law 98–525, enacted October 19, 1984, established two new peacetime educational programs: an assistance program for veterans who enter active duty during the period beginning July 1, 1985; and an assistance program for certain members of the Selected Reserve. The Readjustment benefits appropriation pays the basic benefit allowance for the peacetime veterans, except for certain Post-Vietnam Era Veterans Education participants who transferred to the Montgomery GI Bill program. Supplementary educational assistance and the basic benefit allowance for peacetime veterans, Post-Vietnam Era Veterans Education converters, and reservists are financed by payments from the Department of Defense and the Department of Transportation.

The following table shows a caseload and cost comparison for these beneficiaries under existing legislation.

CASELOAD AND AVERAGE COST DATA

	2001 actual	2002 est.	2003 est.
Veterans:			
Number of trainees	263,490	311,309	310,269
Average cost per trainee	\$3,642	\$4,557	\$5,458
Total cost (in millions)	\$960	\$1,419	\$1,694
Reservists:			
Number of trainees	82,283	79,000	81,721
Average cost per trainee	\$1,653	\$1,718	\$1,748
Total cost (in millions)	\$136	\$136	\$143

Object Classification (in millions of dollars)

Identification code 36–0137–0–1–702	2001 actual	2002 est.	2003 est.
41.0 Direct obligations: Grants, subsidies, and contributions	1,623	2,224	2,558
99.0 Reimbursable obligations: Reimbursable obligations	216	228	241
99.9 Total new obligations	1,839	2,452	2,799

REINSTATED ENTITLEMENT PROGRAM FOR SURVIVORS UNDER PUBLIC LAW 97–377**Program and Financing (in millions of dollars)**

Identification code 36–0200–0–1–701	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Return of overpayment	3		
01.00 Total Direct Program	3		
09.01 Reimbursables	13	15	12
09.99 Total reimbursable program	13	15	12
10.00 Total new obligations	16	15	12
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	16	15	12
23.95 Total new obligations	–16	–15	–12
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	16	15	12
Change in obligated balances:			
73.10 Total new obligations	16	15	12
73.20 Total outlays (gross)	–16	–15	–12
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	16	15	12

Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-16	-15	-12
Net budget authority and outlays:				
89.00	Budget authority			
90.00	Outlays	-2		

In accordance with Public Law 97-377, this program restores social security benefits to certain surviving spouses or children of veterans who died of service-connected causes. Financing is provided in the form of offsetting collections from the Department of Defense.

CASELOAD AND AVERAGE COST DATA

	2001 actual	2002 est.	2003 est.
Spouses	187	176	145
Average benefit	\$12,362	\$15,858	\$13,020
Obligations (in millions)	\$2	\$3	\$2
Children	696	777	686
Average benefit	\$14,056	\$15,151	\$14,781
Obligations (in millions)	\$10	\$12	\$10

Object Classification (in millions of dollars)

Identification code 36-0200-0-1-701	2001 actual	2002 est.	2003 est.
99.0 Reimbursable obligations: Reimbursable obligations ...	13	15	12
44.0 Allocation Account: Refunds	3		
99.9 Total new obligations	16	15	12

VETERANS INSURANCE AND INDEMNITIES

For military and naval insurance, national service life insurance, servicemen's indemnities, service-disabled veterans insurance, and veterans mortgage life insurance as authorized by 38 U.S.C. chapter 19; 70 Stat. 887; 72 Stat. 487, [\$26,200,000, to remain available until expended] such sums as may be necessary. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 36-0120-0-1-701	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Operating expenses:				
00.04	Payment to national service life insurance fund	1	1	1
00.05	Payment to service-disabled veterans insurance fund	16	19	19
00.06	Total operating expenses	9	8	8
10.00	Total new obligations	26	28	28
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	5	1	1
22.00	New budget authority (gross)	22	28	30
23.90	Total budgetary resources available for obligation	27	29	31
23.95	Total new obligations	-26	-28	-28
24.40	Unobligated balance carried forward, end of year	1	1	1
New budget authority (gross), detail:				
Mandatory:				
60.00	Appropriation	20	26	28
69.00	Offsetting collections (cash)	2	2	2
70.00	Total new budget authority (gross)	22	28	30
Change in obligated balances:				
73.10	Total new obligations	26	28	28
73.20	Total outlays (gross)	-26	-28	-28
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	22	28	28
86.98	Outlays from mandatory balances	4		
87.00	Total outlays (gross)	26	28	28

Offsets:				
Against gross budget authority and outlays:				
88.40	Offsetting collections (cash) from: VMLI premiums	-2	-2	-2
Net budget authority and outlays:				
89.00	Budget authority	20	26	28
90.00	Outlays	24	26	26

Note.—The Department of Veterans Affairs insurance policy loans are not an extension of Federal credit. Credit schedules previously shown for this account have been discontinued.

Military and naval insurance.—Payments are made to the U.S. Government life insurance fund for certain World War I veterans for extra hazards of military service and for claims on war risk insurance issued to servicemen and veterans of World War I.

National service life insurance.—Payments are made to the national service life insurance fund for certain World War II veterans for: (a) the extra hazards of service; (b) gratuitous insurance granted to certain persons unable to apply for national service life insurance; and (c) death claims on policies under the waiver of a premium while the insured was on active duty.

Veterans mortgage life insurance (VMLI).—Payments are made to mortgage holders under this program which provides mortgage protection life insurance to veterans who have received a grant for specially adapted housing due to severe disabilities.

The general decline in the number of policies and the amount of insurance in force is expected to continue in 2003 as indicated in the following table.

POLICIES AND INSURANCE IN FORCE

VMLI policies:	2001 actual	2002 est.	2003 est.
Number of policies	3,300	3,120	2,970
Amount of insurance (dollars in millions)	\$193	\$187	\$183

Payment to service-disabled veterans insurance fund.—Payments are made to the service-disabled veterans insurance fund to supplement the premiums and other receipts of the fund in amounts necessary to pay claims on insurance policies issued to veterans with service-connected disabilities.

Object Classification (in millions of dollars)

Identification code 36-0120-0-1-701	2001 actual	2002 est.	2003 est.
41.0 Grants, subsidies, and contributions	17	20	20
42.0 Insurance claims and indemnities	9	8	8
99.9 Total new obligations	26	28	28

Public enterprise funds:

SERVICE-DISABLED VETERANS INSURANCE FUND

Unavailable Collections (in millions of dollars)

Identification code 36-4012-0-3-701	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	27	19	6
Appropriations:			
05.00 Service-disabled veterans insurance fund	-8	-13	-6
07.99 Balance, end of year	19	6	

Program and Financing (in millions of dollars)

Identification code 36-4012-0-3-701	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
09.01	Capital investment	12	12	11
09.02	Death Claims	43	46	45
09.03	All Other	8	10	8
10.00	Total new obligations	63	68	64

Public enterprise funds—Continued

SERVICE-DISABLED VETERANS INSURANCE FUND—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 36-4012-0-3-701	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	63	70	64
23.95 Total new obligations	-63	-68	-64
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	55	57	58
69.26 From offsetting collections (unavailable balances)	8	13	6
69.90 Spending authority from offsetting collections (total mandatory)	63	70	64
Change in obligated balances:			
72.40 Obligated balance, start of year	8	8	6
73.10 Total new obligations	63	68	64
73.20 Total outlays (gross)	-63	-68	-68
74.40 Obligated balance, end of year	8	6	2
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	55	62	64
86.98 Outlays from mandatory balances	8	6	4
87.00 Total outlays (gross)	63	68	68
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources: Payments from VI and I	-16	-18	-19
Non-Federal sources:			
88.40 Interest on loans	-3	-3	-3
88.40 Insurance premiums earned	-24	-24	-24
88.40 Repayments of loans	-12	-12	-12
88.90 Total, offsetting collections (cash)	-55	-57	-58
Net budget authority and outlays:			
89.00 Budget authority	8	13	7
90.00 Outlays	8	11	10

This fund finances the payment of claims on nonparticipating life insurance policies issued and currently is open for new issues to veterans having service-connected disabilities. The program provides insurance coverage for service-disabled veterans at standard rates. Administrative expenses are paid from the General operating expenses appropriation.

Operating costs—

Death claims.—Represents payments to designated beneficiaries.

All other.—Represents payments to policyholders who surrender their policies for their cash value and hold endowment policies which have matured.

Capital investment.—A policyholder may borrow up to 94 percent of the value of his policy.

The trend in the number and amount of policies in force is indicated in the following table.

POLICIES AND INSURANCE IN FORCE

	2001 actual	2002 est.	2003 est.
Number of policies	148,674	145,324	141,730
Insurance in force (dollars in millions)	\$1,401	\$1,376	\$1,348

Financing.—Operations are financed from premiums and other receipts. Additional funds are received by transfer from the veterans' insurance and indemnities appropriation, instead of direct appropriations to this fund.

Operating results and financial condition.—Since premium and other receipts are insufficient to cover operations, the fund continues to project liabilities in excess of assets. The deficit is expected to reach an estimated \$458 million by September 30, 2003.

Object Classification (in millions of dollars)

Identification code 36-4012-0-3-701	2001 actual	2002 est.	2003 est.
33.0 Investments and loans	12	12	11
42.0 Insurance claims and indemnities	51	56	53
99.9 Total new obligations	63	68	64

VETERANS REOPENED INSURANCE FUND

Unavailable Collections (in millions of dollars)

Identification code 36-4010-0-3-701	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	454	440	422
Appropriations:			
05.00 Veterans reopened insurance fund	-14	-18	-21
07.99 Balance, end of year	440	422	401

Program and Financing (in millions of dollars)

Identification code 36-4010-0-3-701	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Death claims	36	38	39
09.02 Dividends	20	20	18
09.03 All other	8	8	8
09.04 Capital investment: policy loans	5	4	4
10.00 Total new obligations	69	70	69
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	69	70	69
23.95 Total new obligations	-69	-70	-69
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	55	52	48
69.26 From offsetting collections (unavailable balances)	14	18	21
69.90 Spending authority from offsetting collections (total mandatory)	69	70	69
Change in obligated balances:			
72.40 Obligated balance, start of year	46	48	49
73.10 Total new obligations	69	70	69
73.20 Total outlays (gross)	-67	-69	-68
74.40 Obligated balance, end of year	48	49	49
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	55	49	49
86.98 Outlays from mandatory balances	12	21	20
87.00 Total outlays (gross)	67	69	68
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources: interest on U.S. securities	-36	-34	-32
Non-Federal sources:			
88.40 Interest on loans	-1	-1	-1
88.40 Insurance premiums earned	-12	-11	-10
88.40 Repayments of loans	-6	-6	-5
88.90 Total, offsetting collections (cash)	-55	-52	-48
Net budget authority and outlays:			
89.00 Budget authority	14	18	21
90.00 Outlays	12	18	20

Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value	500	488	470
92.02 Total investments, end of year: Federal securities:			
Par value	488	470	450

Note.—The Department of Veterans Affairs insurance policy loans are not an extension of Federal credit. Credit schedules previously shown for this account have been discontinued.

This fund pays claims and administrative costs on participating life insurance policies issued during the period May

1, 1965, through May 2, 1966, under three life insurance programs: (1) service-disabled standard insurance; (2) service-disabled rated insurance; and (3) nonservice disabled insurance availing disabled World War II and Korean conflict veterans an opportunity to acquire life insurance coverage who were no longer eligible for other Government insurance.

Budget program—

Death claims.—Represents payments to designated beneficiaries.

Dividends.—Policyholders participate in the distribution of annual dividends.

All other.—This represents payments to the General Operating Expenses account for the administrative costs of processing claims and maintaining the accounts, and to those policyholders who: (a) surrender their policies for cash value; (b) hold endowment policies which have matured; and (c) have purchased total disability income coverage and subsequently become disabled.

Policy loans made.—A policyholder may borrow up to 94 percent of the cash value of his policy at an interest rate adjusted to reflect private sector borrowing costs.

The following table reflects the decrease in the number of policies and the amount of insurance in force:

POLICIES AND INSURANCE IN FORCE

	2001 actual	2002 est.	2003 est.
Number of policies	72,581	67,430	62,230
Insurance in force (dollars in millions)	\$618	\$584	\$551

Financing.—Operations are financed from premiums collected from policyholders and interest on investments. Excess earnings of the fund are now distributed to the policyholders in the form of an annual dividend.

Object Classification (in millions of dollars)

Identification code 36-4010-0-3-701	2001 actual	2002 est.	2003 est.
33.0 Investments and loans	5	4	4
42.0 Insurance claims and indemnities	40	42	43
43.0 Interest and dividends	24	24	22
99.9 Total new obligations	69	70	69

SERVICEMEMBERS' GROUP LIFE INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 36-4009-0-3-701	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Premium payments	460	659	683
09.02 Reimbursable program	1	1	1
10.00 Total new obligations (object class 41.0)	461	660	684
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	461	660	684
23.95 Total new obligations	-461	-660	-684
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	461	660	684
Change in obligated balances:			
72.40 Obligated balance, start of year	5	5	5
73.10 Total new obligations	461	660	684
73.20 Total outlays (gross)	-461	-660	-684
74.40 Obligated balance, end of year	5	5	5
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	457	655	679
86.98 Outlays from mandatory balances	5	5	5
87.00 Total outlays (gross)	461	660	684

Offsets:

Against gross budget authority and outlays:			
88.40	Offsetting collections (cash) from: Non-Federal sources: Withholdings from serviceman's pay	-461	-660 -684

Net budget authority and outlays:

89.00	Budget authority		
90.00	Outlays		

Memorandum (non-add) entries:

92.01	Total investments, start of year: Federal securities:		
	Par value	5	5 5
92.02	Total investments, end of year: Federal securities:		
	Par value	5	5 5

This fund finances the payment of group life insurance premiums to private insurance companies under the Servicemembers' Group Life Insurance Act of 1965, as amended.

Credit accounts:

**VETERANS HOUSING BENEFIT PROGRAM FUND PROGRAM ACCOUNT
(INCLUDING TRANSFER OF FUNDS)**

For the cost of direct and guaranteed loans, such sums as may be necessary to carry out the program, as authorized by 38 U.S.C. chapter 37, as amended: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974, as amended: *Provided further*, That during fiscal year [2002] 2003, within the resources available, not to exceed \$300,000 in gross obligations for direct loans are authorized for specially adapted housing loans.

In addition, for administrative expenses to carry out the direct and guaranteed loan programs, [\$164,497,000] \$175,677,000, which may be transferred to and merged with the appropriation for "General operating expenses". (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 36-1119-0-1-704	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct loan subsidy	32	16	
00.02 Guaranteed loan subsidy	132	186	437
00.05 Reestimates of direct loan subsidy	20	17	
00.06 Interest on reestimates of the direct loan subsidy	5	3	
00.07 Reestimates of guaranteed loan subsidy	123	417	
00.08 Interest on reestimates of the guaranteed loan subsidy	24	115	
00.09 Administrative expenses	169	171	176
10.00 Total new obligations	505	925	613
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	505	925	613
23.95 Total new obligations	-505	-925	-613
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation (definite)	169	171	176
Mandatory:			
60.00 Appropriation	336	754	437
70.00 Total new budget authority (gross)	505	925	613
Change in obligated balances:			
73.10 Total new obligations	505	925	613
73.20 Total outlays (gross)	-505	-925	-613
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	169	171	176
86.97 Outlays from new mandatory authority	336	754	437
87.00 Total outlays (gross)	505	925	613
Net budget authority and outlays:			
89.00 Budget authority	505	925	613
90.00 Outlays	505	925	613

Credit accounts—Continued

VETERANS HOUSING BENEFIT PROGRAM FUND PROGRAM ACCOUNT—Continued

(INCLUDING TRANSFER OF FUNDS)—Continued

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	498	918	606
90.00 Outlays	498	918	606

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 36-1119-0-1-704	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Acquired and Vendee Loans	1,463	1,809	1,917
115901 Total direct loan levels	1,463	1,809	1,917
Direct loan subsidy (in percent):			
132001 Direct loan levels	2.16	0.86	-5.09
132901 Weighted average subsidy rate	2.16	0.86	-5.09
Direct loan subsidy budget authority:			
133001 Direct loan levels	32	16	-98
133901 Total subsidy budget authority	32	16	-98
Direct loan subsidy outlays:			
134001 Direct loan levels	32	16	-98
134901 Total subsidy outlays	32	16	-98
Direct loan upward reestimate subsidy budget authority:			
135001 Direct loan levels	25	20
135901 Total upward reestimate budget authority	25	20
Direct loan upward reestimate subsidy outlays:			
136001 Direct loan levels	25	20
136901 Total upward reestimate outlays	25	20
Direct loan downward reestimate subsidy budget authority:			
137001 Direct loan levels	-163	-1,068
137901 Total downward reestimate budget authority	-163	-1,068
Direct loan downward reestimate subsidy outlays:			
138001 Direct loan levels	-163	-1,068
138901 Total downward reestimate subsidy outlays	-163	-1,068
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Veterans Housing Benefit Program	31,138	32,067	32,665
215002 Guaranteed Loan Sale Securities	810	1,219	1,699
215901 Total loan guarantee levels	31,948	33,286	34,364
Guaranteed loan subsidy (in percent):			
232001 Guaranteed loan	0.29	0.39	1.07
232002 Guaranteed loan sales	5.21	5.05	5.18
232901 Weighted average subsidy rate	0.41	0.56	1.27
Guaranteed loan subsidy budget authority:			
233001 Guaranteed loan	90	125	349
233002 Guaranteed Loan Sale Securities	42	62	88
233901 Total subsidy budget authority	132	187	437
Guaranteed loan subsidy outlays:			
234001 Guaranteed loan	90	125	349
234002 Guaranteed Loan Sale Securities	42	62	88
234901 Total subsidy outlays	132	187	437
Guaranteed loan upward reestimate subsidy budget authority:			
235001 Guaranteed loan	147	532
235901 Total upward reestimate budget authority	147	532
Guaranteed loan upward reestimate subsidy outlays:			
236001 Guaranteed loan	147	532
236901 Total upward reestimate subsidy outlays	147	532
Guaranteed loan downward reestimate subsidy budget authority:			
237001 Guaranteed loan	-1,257	-727

237901 Total downward reestimate subsidy budget authority	-1,257	-727
Guaranteed loan downward reestimate subsidy outlays:			
238001 Guaranteed loan	-1,257	-727
238901 Total downward reestimate subsidy outlays	-1,257	-727

Administrative expense data:			
351001 Budget authority	169	171	183
359001 Outlays from new authority	169	171	183

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond, (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year) as well as for the administrative expenses of this program. The subsidy amounts are estimated on a net present value basis.

The Federal guaranty for this program protects lenders against the following types of losses: (a) for loans of \$45,000 or less, 50 percent of the loan is guaranteed; (b) for loans greater than \$45,000, but not more than \$56,250, \$22,500; (c) for loans more than \$56,250, but less than \$144,000, the lesser of \$36,000 or 40 percent of the loan; or (d) for loans greater than \$144,000, the lesser of \$60,000 or 25 percent of the loan.

Object Classification (in millions of dollars)

Identification code 36-1119-0-1-704	2001 actual	2002 est.	2003 est.
25.3 Other purchases of goods and services from Government accounts	169	171	176
41.0 Grants, subsidies, and contributions	336	754	437
99.9 Total new obligations	505	925	613

VETERANS HOUSING BENEFIT PROGRAM FUND DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 36-4127-0-3-704	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct loans	1,463	1,809	1,917
00.02 Interest on Treasury borrowing	244	163	192
00.03 Property sales expense	3	3	3
00.04 Property management/other expense	7	1
00.05 Property improvement expense	2	1	1
00.91 Direct Program by Activities—Subtotal (1 level)	1,719	1,977	2,113
08.01 Payment of negative subsidy to receipt account	98
08.02 Payment of downward reestimate to receipt account	127	714
08.04 Payment of excess interest earned to receipt account	36	354
08.91 Direct Program by Activities—Subtotal (1 level)	163	1,068	98
10.00 Total new obligations	1,882	3,045	2,211
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	279	45
22.00 New financing authority (gross)	1,647	3,001	2,211
23.90 Total budgetary resources available for obligation	1,926	3,046	2,211
23.95 Total new obligations	-1,882	-3,045	-2,211
24.40 Unobligated balance carried forward, end of year	45
New financing authority (gross), detail:			
Mandatory:			
67.10 Authority to borrow	1,660	2,797	1,917
69.00 Offsetting collections (cash)	1,546	1,844	2,427
69.47 Portion applied to repay debt	-1,559	-1,640	-2,133
69.90 Spending authority from offsetting collections (total mandatory)	-13	204	294
70.00 Total new financing authority (gross)	1,647	3,001	2,211
Change in obligated balances:			
72.40 Obligated balance, start of year	9	52	85

73.10	Total new obligations	1,882	3,045	2,211
73.20	Total financing disbursements (gross)	-1,839	-3,013	-2,234
74.40	Obligated balance, end of year	52	85	62
87.00	Total financing disbursements (gross)	1,839	3,013	2,234

Offsets:

Against gross financing authority and financing disbursements:				
Offsetting collections (cash) from:				
Federal sources:				
88.00	Federal sources: Payments from program account	-57	-35
88.00	Transfer of loan sales from LSSA	-809	-1,154	-1,699
Non-Federal sources:				
Non-Federal sources:				
88.40	Repayments of principal	-406	-465	-491
88.40	Interest received on loans	-86	-87	-86
88.40	Fees	-22	-23	-35
88.40	Downpayment on Vendee loan/other	-3	-38	-74
88.40	Interest income—Treasury	-144
88.40	Cash sale of properties	-19	-42	-42
88.90	Total, offsetting collections (cash)	-1,546	-1,844	-2,427

Net financing authority and financing disbursements:				
89.00	Financing authority	101	1,157	-216
90.00	Financing disbursements	292	1,169	-193

Status of Direct Loans (in millions of dollars)

Identification code 36-4127-0-3-704				
Position with respect to appropriations act limitation on obligations:				
1131	Direct loan obligations exempt from limitation	1,463	1,809	1,917
1150	Total direct loan obligations	1,463	1,809	1,917
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	1,556	1,782	1,883
1231	Disbursements: Direct loan disbursements	1,463	1,809	1,917
Repayments:				
1251	Repayments and prepayments	-406	-465	-491
1253	Proceeds from loan asset sales to the public with recourse	-809	-1,154	-1,699
1262	Adjustments: Discount on loan asset sales to the public or discounted	-1	-65
1263	Write-offs for default: Direct loans	-21	-24	-25
1290	Outstanding, end of year	1,782	1,883	1,585

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 36-4127-0-3-704				
ASSETS:				
1101	Federal assets: Fund balances with Treasury	312	133	296
Net value of assets related to post-1991 direct loans receivable:				
1401	Direct loans receivable, gross	1,556	1,782	1,883
1402	Interest receivable	121
1403	Accounts receivable from foreclosed property	1
1405	Other assets	1,102
1499	Net present value of assets related to direct loans	1,677	2,885	1,883
1999	Total assets	1,989	3,018	1,878
LIABILITIES:				
Federal liabilities:				
2103	Debt	1,878	1,985
2104	Resources payable to Treasury	1,778
2105	Other	1,140	207
2204	Non-Federal liabilities: Liabilities for loan guarantees	211

2999	Total liabilities	1,989	3,018	2,179	1,878
4999	Total liabilities and net position	1,989	3,018	2,179	1,878

VETERANS HOUSING BENEFIT PROGRAM FUND GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 36-4129-0-3-704				
2001 actual 2002 est. 2003 est.				
Obligations by program activity:				
00.01	Acquisition of homes	1,126	1,760	1,996
00.02	Losses on defaulted loans	365	506	479
00.03	Interest on Treasury borrowing	2	2	2
00.04	Reimburse DLFA for loan sales	809	1,154	1,699
00.05	Payment to trustee reserve	50	51	58
00.06	Reimburse Liquidating for subordination certificate	28	36	30
00.07	Loan Sale Closing Costs	2	6	8
00.09	Property sales expense	100	113	140
00.10	Property management expense	55	50	75
00.11	Property improvement expense	69	53	53
00.12	Loans acquired	333	94	96
00.91	Direct Program by Activities—Subtotal (1 level)	2,939	3,825	4,636
08.02	Payment of downward reestimate to receipt account	893	580
08.04	Payment of excess interest to receipt account	364	147
08.91	Direct Program by Activities—Subtotal (1 level)	1,257	727
10.00	Total new obligations	4,196	4,552	4,636
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	5,128	4,634	4,975
22.00	New financing authority (gross)	3,702	4,894	5,490
23.90	Total budgetary resources available for obligation	8,830	9,528	10,465
23.95	Total new obligations	-4,196	-4,552	-4,636
24.40	Unobligated balance carried forward, end of year	4,634	4,975	5,829
New financing authority (gross), detail:				
Mandatory:				
69.00	Offsetting collections (cash)	3,702	4,894	5,490
Change in obligated balances:				
72.40	Obligated balance, start of year	22	62	63
73.10	Total new obligations	4,196	4,552	4,636
73.20	Total financing disbursements (gross)	-4,156	-4,551	-4,645
74.40	Obligated balance, end of year	62	63	54
87.00	Total financing disbursements (gross)	4,156	4,551	4,645

Offsets:

Against gross financing authority and financing disbursements:				
Offsetting collections (cash) from:				
Federal sources:				
Federal sources:				
88.00	Payments from program account	-279	-719	-437
88.00	Recoveries from DLFA	-1,135	-1,558	-1,651
88.25	Interest on uninvested funds	-299	-244	-280
Non-Federal sources:				
Non-Federal sources:				
88.40	Funding fees	-506	-534	-597
88.40	Cash sale of properties	-610	-624	-772
88.40	Redemption of properties and other	-5	-25	-24
88.40	Subordinate certificate deposits	-28	-36	-30
88.45	Loan sale proceeds	-840	-1,154	-1,699
88.90	Total, offsetting collections (cash)	-3,702	-4,894	-5,490

Net financing authority and financing disbursements:				
89.00	Financing authority
90.00	Financing disbursements	455	-343	-845

Status of Guaranteed Loans (in millions of dollars)

Identification code 36-4129-0-3-704				
2001 actual 2002 est. 2003 est.				
Position with respect to appropriations act limitation on commitments:				
2131	Guaranteed loan commitments exempt from limitation	31,138	32,067	32,665
2132	Guaranteed loan commitments for loan asset sales with recourse	810	1,219	1,699

Credit accounts—Continued

VETERANS HOUSING BENEFIT PROGRAM FUND GUARANTEED LOAN FINANCING ACCOUNT—Continued

Status of Guaranteed Loans (in millions of dollars)—Continued

Identification code 36-4129-0-3-704	2001 actual	2002 est.	2003 est.
2150 Total guaranteed loan commitments	31,948	33,286	34,364
2199 Guaranteed amount of guaranteed loan commitments	10,804	11,510	12,183
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	211,568	227,705	238,843
2231 Disbursements of new guaranteed loans	31,138	32,067	32,665
2232 Guarantees of loans sold to the public with recourse	810	1,219	1,699
2251 Repayments and prepayments	-13,936	-19,788	-19,830
Adjustments:			
2261 Terminations for default that result in loans receivable	-362	-129	-126
2262 Terminations for default that result in acquisition of property	-1,126	-1,760	-1,996
2263 Terminations for default that result in claim payments	-53	-377	-353
2264 Other adjustments, net	-334	-94	-96
2290 Outstanding, end of year	227,705	238,843	250,806
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	78,251	82,625	87,529
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	9	344	418
2331 Disbursements for guaranteed loan claims	362	129	126
2351 Repayments of loans receivable	-1	-22	-26
2361 Write-offs of loans receivable	-26	-33	-38
2390 Outstanding, end of year	344	418	480

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond, including modifications of guaranteed loans that resulted from commitments in any year, and from the guarantee of loans sold through the securitization programs. The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 36-4129-0-3-704	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	5,150	4,696	4,975	5,223
Investments in US securities:				
1106 Receivables, net	114	594	578	604
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:				
1501 Defaulted guaranteed loans receivable, gross	9	344	418	480
1502 Interest receivable	9	9	10	10
1504 Accounts receivable from foreclosed property	806	830	896	937
1505 Other assets	196	43	49	55
1599 Net present value of assets related to defaulted guaranteed loans	1,020	1,226	1,373	1,482
1999 Total assets	6,284	6,516	6,926	7,309
LIABILITIES:				
Federal liabilities:				
2101 Accounts payable	38	26	29	30
2105 Other	1,296	1,129	1,143	1,238
2204 Non-Federal liabilities: Non-federal liabilities	4,950	5,361	5,754	6,041
2999 Total liabilities	6,284	6,516	6,926	7,309
4999 Total liabilities and net position	6,284	6,516	6,926	7,309

VETERANS HOUSING BENEFIT PROGRAM FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 36-4025-0-3-704	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Capital investments:			
00.01 Acquisition of homes	83	63	49
00.02 Direct Program Activity	6	4	3
00.03 Cash advances	-82	4	3
00.04 Acquisition of defaulted guaranteed loans	30	29	27
00.05 Repurchase of loans sold	7	6	6
00.91 Total capital investments	44	106	88
01.00 Total capital investments	44	106	88
Operating expenses:			
01.02 Property management expense	16	17	18
01.03 Sales expense	17	10	10
01.04 Claims processed	106	74	68
01.05 Other operating expenses	1		
01.91 Total operating expenses	140	101	96
10.00 Total new obligations (object class 33.0)	184	207	184
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	244	115	
22.00 New budget authority (gross)	299	210	188
22.40 Capital transfer to general fund	-244	-118	-4
23.90 Total budgetary resources available for obligation	299	207	184
23.95 Total new obligations	-184	-207	-184
24.40 Unobligated balance carried forward, end of year	115		
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	263	210	188
69.10 Change in uncollected customer payments from Federal sources (unexpired)	36		
69.90 Spending authority from offsetting collections (total mandatory)	299	210	188
Change in obligated balances:			
72.40 Obligated balance, start of year	88	-24	21
73.10 Total new obligations	184	207	184
73.20 Total outlays (gross)	-260	-162	-186
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-36		
74.40 Obligated balance, end of year	-24	21	19
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	260	162	186
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 Federal sources: Payments from direct loan financing account	-100	-126	-119
88.00 Federal sources: Payments from loan sales	-21	-11	-10
Non-Federal sources:			
Non-Federal sources:			
88.40 Loan and other repayments	-44	-34	-25
88.40 Sale of homes, cash	-78	-28	-26
88.40 Interest on loans	-15	-11	-8
88.45 Offsetting governmental collections (from non-Federal sources)	-5		
88.90 Total, offsetting collections (cash)	-263	-210	-188
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-36		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-4	-48	-2
Status of Direct Loans (in millions of dollars)			
Identification code 36-4025-0-3-704	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	164	128	94

1232	Disbursements: Purchase of loans assets from the public	7	6	5
	Repayments:			
1251	Repayments and prepayments	-44	-34	-25
1253	Proceeds from loan asset sales to the public with recourse		-6	-6
1264	Write-offs for default: Other adjustments, net	1		
1290	Outstanding, end of year	128	94	68

Status of Guaranteed Loans (in millions of dollars)

Identification code 36-4025-0-3-704	2001 actual	2002 est.	2003 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	12,740	9,182	6,611
2251 Repayments and prepayments	-3,339	-2,406	-1,732
Adjustments:			
2261 Terminations for default that result in loans receivable	-30	-29	-27
2262 Terminations for default that result in acquisition of property	-83	-62	-50
2263 Terminations for default that result in claim payments	-106	-74	-67
2290 Outstanding, end of year	9,182	6,611	4,735

Memorandum:

2299	Guaranteed amount of guaranteed loans outstanding, end of year	4,154	2,990	2,142
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Addendum:

Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year	286	274	282
2331	Disbursements for guaranteed loan claims	30	29	27
2361	Write-offs of loans receivable	-22	-21	-19
2364	Other adjustments, net	-20		
2390	Outstanding, end of year	274	282	290

Statement of Operations (in millions of dollars)

Identification code 36-4025-0-3-704	2000 actual	2001 actual	2002 est.	2003 est.	
0101	Revenue	93	140	112	89
0102	Expense	-93	-140	-101	-96
0105	Net income or loss (-)			11	-7

Balance Sheet (in millions of dollars)

Identification code 36-4025-0-3-704	2000 actual	2001 actual	2002 est.	2003 est.	
ASSETS:					
Investments in US securities:					
1106	Federal assets: Receivables, net	165	128	93	68
1201	Non-Federal assets: Investments in non-Federal securities, net		452	407	366
1999	Total assets	165	580	500	434
LIABILITIES:					
2103	Federal liabilities: Debt	165	567	500	434
2207	Non-Federal liabilities: Other		13		
2999	Total liabilities	165	580	500	434
4999	Total liabilities and net position	165	580	500	434

As required by the Federal Credit Reform Act of 1990, this account records all cash flows to and from the Government resulting from direct loans obligated and loan guarantees committed prior to 1992. All new activity in this program in 1992 and beyond is recorded in the corresponding program and financing accounts.

MISCELLANEOUS VETERANS HOUSING LOANS PROGRAM ACCOUNT

NATIVE AMERICAN VETERAN HOUSING LOAN PROGRAM ACCOUNT
(INCLUDING TRANSFER OF FUNDS)

For administrative expenses to carry out the direct loan program authorized by 38 U.S.C. chapter 37, subchapter V, as amended,

[\$544,000] \$565,000, which may be transferred to and merged with the appropriation for "General operating expenses" Provided, that no new loans in excess of \$5,000,000 may be made in fiscal year 2003. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.)

GUARANTEED TRANSITIONAL HOUSING LOANS FOR HOMELESS VETERANS PROGRAM ACCOUNT

For the administrative expenses to carry out the guaranteed transitional housing loan program authorized by 38 U.S.C. chapter 37, subchapter VI, not to exceed \$750,000 of the amounts appropriated by this Act for "General operating expenses" and "Medical care" may be expended. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 36-0128-0-1-704	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Direct Loan Subsidy		9	
00.09	Administrative expenses	1	1	
10.00	Total new obligations (object class 25.3)	1	1	
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	51	51	51
22.00	New budget authority (gross)	1	1	1
23.90	Total budgetary resources available for obligation	52	52	52
23.95	Total new obligations	-1	-1	-10
24.40	Unobligated balance carried forward, end of year	51	51	42

New budget authority (gross), detail:

Discretionary:				
40.00	Appropriation	1	1	1

Change in obligated balances:

73.10	Total new obligations	1	1	10
73.20	Total outlays (gross)	-1	-1	-5
74.40	Obligated balance, end of year			5

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	1	1	1
86.98	Outlays from mandatory balances			5
87.00	Total outlays (gross)	1	1	5

Net budget authority and outlays:

89.00	Budget authority	1	1	1
90.00	Outlays	1	1	5

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 36-0128-0-1-704	2001 actual	2002 est.	2003 est.	
Direct loan levels supportable by subsidy budget authority:				
115001	Native American	1	3	
115002	Transitional Housing		20	
115901	Total direct loan levels	1	23	
Direct loan subsidy (in percent):				
132001	Native American	7.72	7.72	-8.96
132002	Transitional Housing	0.00	0.00	48.25
132901	Weighted average subsidy rate	7.72	7.72	43.48
Direct loan subsidy budget authority:				
133001	Native American			
133002	Transitional Housing			10
133901	Total subsidy budget authority			10
Direct loan subsidy outlays:				
134001	Native American			
134002	Transitional Housing			4
134901	Total subsidy outlays			4
Direct loan downward reestimate subsidy budget authority:				
137001	Native American		-2	
137901	Total downward reestimate budget authority		-2	

Credit accounts—Continued

GUARANTEED TRANSITIONAL HOUSING LOANS FOR HOMELESS
VETERANS PROGRAM ACCOUNT—Continued

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in
millions of dollars)—Continued

Identification code 36-0128-0-1-704	2001 actual	2002 est.	2003 est.
Direct loan downward reestimate subsidy outlays:			
138001 Native American		-2	
138901 Total downward reestimate subsidy outlays		-2	
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Transitional Housing			
215901 Total loan guarantee levels			
Guaranteed loan subsidy (in percent):			
232001 Transitional Housing	48.25	48.25	0.00
232901 Weighted average subsidy rate	48.25	48.25	0.00
Guaranteed loan subsidy budget authority:			
233001 Transitional Housing			
233901 Total subsidy budget authority			
Guaranteed loan subsidy outlays:			
234001 Transitional Housing			
234901 Total subsidy outlays			
Administrative expense data:			
351001 Budget authority	1	1	1
358001 Outlays from balances			
359001 Outlays from new authority	1	1	1

All information from the Native American Veterans Housing Loan program and the Guaranteed Transitional Housing Loans for Homeless Veterans program is consolidated in a single housing fund called the Miscellaneous Veterans Housing Loans Fund.

The Native American Veterans Housing Loan program provides direct loans to veterans living on trust lands under 38 U.S.C. chapter 37, section 3761. These loans are available to purchase, construct or improve homes to be occupied as the veteran's residence. The principal amount of a loan under this authority is generally limited to \$80,000, except in areas where housing costs are significantly higher than average costs nationwide. This is a pilot program that began in 1993 and is authorized through December 31, 2005.

Public Law 105-368, the "Veterans Benefits Improvement Act of 1998," established a pilot project designed to expand the supply of transitional housing for homeless veterans and to guarantee up to 15 investment loans with a maximum aggregate value of \$100 million. Not more than five loans may be guaranteed in the first three years of the program. The project must enforce sobriety standards and provide a wide range of supportive services such as counseling for substance abuse and job readiness skills. Residents will be required to pay a reasonable fee.

As required by the Federal Credit Reform Act of 1990, this account records, for these programs, the subsidy costs associated with the direct loans obligated and the guaranteed loans committed in 1992 and beyond, as well as the administrative expenses of these programs. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

MISCELLANEOUS VETERANS HOUSING LOANS DIRECT LOAN
FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 36-4130-0-3-704	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct loans	2	3	13
00.03 Interest on Treasury borrowing	1	1	2
00.91 Direct Program by Activities—Subtotal (1 level)	3	4	15
08.02 Payment of downward reestimate to receipt account		2	
10.00 Total new obligations	3	6	15
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1		
22.00 New financing authority (gross)	2	6	20
23.90 Total budgetary resources available for obligation	3	6	20
23.95 Total new obligations	-3	-6	-15
24.40 Unobligated balance carried forward, end of year			5
New financing authority (gross), detail:			
Mandatory:			
67.10 Authority to borrow	3	5	13
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	2	2	8
68.47 Portion applied to repay debt	-3	-1	-1
68.90 Spending authority from offsetting collections (total discretionary)	-1	1	7
70.00 Total new financing authority (gross)	2	6	20
Change in obligated balances:			
73.10 Total new obligations	3	6	15
73.20 Total financing disbursements (gross)	-3	-6	-15
87.00 Total financing disbursements (gross)	3	6	15
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Federal sources			-5
Non-Federal sources:			
88.40 Repayment of principal	-1	-1	-1
88.40 Interest received on loans	-1	-1	-2
88.90 Total, offsetting collections (cash)	-2	-2	-8
Net financing authority and financing disbursements:			
89.00 Financing authority		4	12
90.00 Financing disbursements	1	4	7

Status of Direct Loans (in millions of dollars)

Identification code 36-4130-0-3-704	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans			5
1121 Limitation available from carry-forward			100
1131 Direct loan obligations exempt from limitation	2	3	
1143 Unobligated limitation carried forward (P.L. 105-368)			-90
1150 Total direct loan obligations	2	3	15
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	17	19	21
1231 Disbursements: Direct loan disbursements	2	3	15
1251 Repayments: Repayments and prepayments	-1	-1	-1
1264 Write-offs for default: Other adjustments, net	1		
1290 Outstanding, end of year	19	21	35

Balance Sheet (in millions of dollars)

Identification code 36-4130-0-3-704	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Investments in US securities:				
1106 Federal assets: Receivables, net	1			5
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	17	19	21	34
1402 Interest receivable	-1			
1499 Net present value of assets related to direct loans	16	19	21	34
1999 Total assets	17	19	21	39
LIABILITIES:				
Federal liabilities:				
2101 Accounts payable				10
2103 Federal liabilities debt	17	19	21	29
2999 Total liabilities	17	19	21	39
4999 Total liabilities and net position	17	19	21	39

This account contains information on the Native American Veterans Housing Loan program and the Guaranteed Transitional Housing Loans for Homeless Veterans program. The Transitional Housing loans are 100% guaranteed and use the Federal Financing Bank (FFB) as the lending institution. For budget purposes, all FFB loans shall be treated as direct loans.

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligation in 1992 and beyond. The amounts in the account are means of financing and are not included in the budget totals.

MISCELLANEOUS VETERANS PROGRAMS LOAN FUND PROGRAM ACCOUNT

VOCATIONAL REHABILITATION LOANS PROGRAM ACCOUNT
(INCLUDING TRANSFER OF FUNDS)

For the cost of direct loans, **[\$72,000] \$55,000**, as authorized by 38 U.S.C. chapter 31, as amended: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974, as amended: *Provided further*, That funds made available under this heading are available to subsidize gross obligations for the principal amount of direct loans not to exceed **[\$3,301,000] \$3,626,000**.

In addition, for administrative expenses necessary to carry out the direct loan program, **[\$274,000] \$293,000**, which may be transferred to and merged with the appropriation for "General operating expenses". (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

EDUCATION LOAN FUND PROGRAM ACCOUNT
(INCLUDING TRANSFER OF FUNDS)

For the cost of direct loans, \$1,000, as authorized by 38 U.S.C. 3698, as amended: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974, as amended: *Provided further*, That these funds are available to subsidize gross obligations for the principal amount of direct loans not to exceed \$3,400.

In addition, for administrative expenses necessary to carry out the direct loan program, **[\$64,000] \$71,000**, which may be transferred to and merged with the appropriation for "General operating expenses". (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 36-0140-0-1-702	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.09 Administrative expenses	1		

10.00 Total new obligations (object class 25.3)	1		
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	1		
23.95 Total new obligations	-1		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1		
Change in obligated balances:			
73.10 Total new obligations	1		
73.20 Total outlays (gross)	-1		
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1		
Net budget authority and outlays:			
89.00 Budget authority	1		
90.00 Outlays	1		

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 36-0140-0-1-702	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Direct loan levels, vocational rehabilitation	2	3	3
115002 Direct loan levels, education			
115901 Total direct loan levels	2	3	3
Direct loan subsidy (in percent):			
132001 Direct loan levels, vocational rehabilitation	1.88	2.18	1.50
132002 Direct loan levels, education	13.93	7.81	6.49
132901 Weighted average subsidy rate	1.88	2.18	1.50
Direct loan subsidy budget authority:			
133001 Direct loan levels, vocational rehabilitation			
133002 Direct loan levels, education			
133901 Total subsidy budget authority			
Direct loan subsidy outlays:			
134001 Direct loan levels, vocational rehabilitation			
134002 Direct loan levels, education			
134901 Total subsidy outlays			
Administrative expense data:			
351001 Budget authority	1		
358001 Outlays from balances			
359001 Outlays	1		

All information from the Vocational Rehabilitation Loan Program and Education Loan Fund is consolidated in a single housing fund called the Miscellaneous Veterans Programs Loan Fund.

The Vocational Rehabilitation Loan Fund provides loans of up to \$896 (based on indexed Chapter 31 Subsistence allowance rate) to veterans enrolled in a program of vocational rehabilitation who are temporarily in need of additional funds to meet their expenses.

The Education Loan program provides loans of up to \$2,500 to dependents of veterans who are eligible for training benefits under chapter 35, title 38, U.S.C. and who are without sufficient funds to meet their education related expenses.

As required by the Federal Credit Reform Act of 1990, this account records, for these programs, the subsidy costs associated with the direct loans obligated in 1992 and beyond, as well as the administrative expenses of these programs. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

MISCELLANEOUS VETERANS PROGRAMS LOAN FUND DIRECT LOAN
FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 36-4259-0-3-702	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct loans	2	3	3
10.00 Total new obligations	2	3	3
Budgetary resources available for obligation:			
22.00 New financing authority (gross)	4	6	6
22.60 Portion applied to repay debt	-2	-3	-3
23.90 Total budgetary resources available for obligation	2	3	3
23.95 Total new obligations	-2	-3	-3
New financing authority (gross), detail:			
Discretionary:			
47.00 Authority to borrow	2		
Mandatory:			
67.10 Authority to borrow		3	3
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	2	3	3
70.00 Total new financing authority (gross)	4	6	6
Change in obligated balances:			
73.10 Total new obligations	2	3	3
73.20 Total financing disbursements (gross)	-2	-3	-3
87.00 Total financing disbursements (gross)	2	3	3
Offsets:			
Against gross financing authority and financing disbursements:			
88.40 Offsetting collections (cash) from: Interest on loans	-2	-3	-3
Net financing authority and financing disbursements:			
89.00 Financing authority	2	3	3
90.00 Financing disbursements			

Status of Direct Loans (in millions of dollars)

Identification code 36-4259-0-3-702	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans	3	3	3
1150 Total direct loan obligations	3	3	3
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	1	1	1
1231 Disbursements: Direct loan disbursements	2	3	3
1251 Repayments: Repayments and prepayments	-2	-3	-3
1290 Outstanding, end of year	1	1	1

Balance Sheet (in millions of dollars)

Identification code 36-4259-0-3-702	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Investments in US securities:				
1106 Federal assets: Receivables, net	-1	-1	-1	-1
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	1	1	1	1
1499 Net present value of assets related to direct loans	1	1	1	1
1999 Total assets				

This account contains information on the Vocational Rehabilitation Loan Program and Education Loan Fund.

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in the account are means of financing and are not included in the budget totals.

Trust Funds

POST-VIETNAM ERA VETERANS EDUCATION ACCOUNT

Unavailable Collections (in millions of dollars)

Identification code 36-8133-0-7-702	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 Deductions from military pay	2	1	
02.40 Contributions	1	1	2
02.99 Total receipts and collections	3	2	2
Appropriations:			
05.00 Post-Vietnam era veterans education account	-3	-2	-2
05.99 Total appropriations	-3	-2	-2
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 36-8133-0-7-702	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Payment to post-Vietnam era trainees	4	4	3
00.03 Participant disenrollments	11	9	9
10.00 Total new obligations	15	13	12
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	97	84	74
22.00 New budget authority (gross)	3	2	2
23.90 Total budgetary resources available for obligation	100	86	76
23.95 Total new obligations	-15	-13	-12
24.40 Unobligated balance carried forward, end of year	84	74	64
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)	18	14	14
60.45 Portion precluded from obligation	-15	-12	-12
62.50 Appropriation (total mandatory)	3	2	2

Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	2
73.10 Total new obligations	15	13	12
73.20 Total outlays (gross)	-15	-12	-12
74.40 Obligated balance, end of year	1	2	2

Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	2	2	2
86.98 Outlays from mandatory balances	13	10	10
87.00 Total outlays (gross)	15	12	12

Net budget authority and outlays:			
89.00 Budget authority	3	2	2
90.00 Outlays	14	12	12

This account consists of voluntary contributions by eligible servicepersons and matching contributions provided by the Department of Defense. The fund provides educational assistance payments to participants who entered the service after December 31, 1976, and are pursuing training under chapter 32, title 38, U.S.C. Section 901 is a non-contributory program with educational assistance provided by the Department of Defense. Public Law 99-576, enacted October 28, 1986, closed the program permanently for new enrollments effective March 31, 1987. Public Law 106-419, enacted November 1, 2000, provides qualified participants in this program another opportunity (through October 31, 2001) to convert to the All-Volunteer Force Educational Assistance program (Montgomery GI Bill). The estimated activity in the fund follows:

CONTRIBUTIONS, PARTICIPANTS, DISENROLLMENTS, REFUNDS AND TRAINEES

[In millions of dollars]

	2001 actual	2002 est.	2003 est.
Total budget authority	\$4	\$2	\$2
Servicepersons	\$1	\$1	\$1
Transferred from Department of Defense (bonus)	\$1	\$1	\$1
Transferred from Department of Defense (matching)	\$2	\$1	\$0
Transferred from Department of Defense (section 901)	\$0	\$0	\$0
Total participants (end of year)	210,385	200,385	189,785
Total contributors (end of year)	1,600	700	200
Average contribution per contributor (actual dollars)	\$923	\$923	\$923
Number of disenrollments	12,600	10,700	10,800
Total refunds	\$11	\$9	\$9
Total trainees	1,680	1,180	980
Total trainee cost	\$4	\$4	\$4
Average cost per trainee (actual dollars)	\$2,298	\$2,966	\$3,286
Section 901 trainees	20	20	20

Object Classification (in millions of dollars)

Identification code 36-8133-0-7-702	2001 actual	2002 est.	2003 est.
41.0 Grants, subsidies, and contributions	4	4	3
44.0 Refunds	11	9	9
99.9 Total new obligations	15	13	12

NATIONAL SERVICE LIFE INSURANCE FUND

Unavailable Collections (in millions of dollars)

Identification code 36-8132-0-7-701	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	10,426	10,236	9,989
Receipts:			
02.20 Premium and other receipts	194	198	184
02.40 Interest	855	828	780
02.41 Payments from general and special funds	1	1	2
02.80 National Service Life Insurance fund, offsetting collections	493	472	451
02.99 Total receipts and collections	1,543	1,499	1,417
04.00 Total: Balances and collections	11,969	11,735	11,406
Appropriations:			
05.00 National Service Life Insurance fund	-1,733	-1,746	-1,733
05.99 Total appropriations	-1,733	-1,746	-1,733
07.99 Balance, end of year	10,236	9,989	9,673

Program and Financing (in millions of dollars)

Identification code 36-8132-0-7-701	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct:			
Operating expenses:			
00.01 Death claims	653	638	649
00.02 Disability claims	14	13	11
00.03 Matured endowments	5	7	9
00.04 Cash surrenders	32	34	35
00.05 Dividends	375	363	335
00.06 Interest paid on dividend credits and deposits	55	55	55
00.07 Payment to general operating expenses	21	22	22
00.91 Total operating expenses	1,155	1,132	1,116
02.01 Capital investment: Policy loans	96	94	96
02.93 Total direct obligations	1,251	1,226	1,212
Reimbursable program:			
09.01 Death claims	247	293	303
09.02 Disability Claims	6	6	5
09.03 Matured Endowments	2	3	4
09.04 Cash Surrenders	15	16	16
09.05 Dividends	176	167	156
09.06 Interest paid on dividend credits and deposits	26	25	26
09.07 Payment to general operating expenses	10	10	10
09.09 Reimbursable program	482	520	520
10.00 Total new obligations	1,733	1,746	1,732
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	1,733	1,746	1,732

23.95 Total new obligations	-1,733	-1,746	-1,732
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)	1,050	1,027	965
69.00 Offsetting collections (cash)	493	472	451
69.26 From offsetting collections (unavailable balances)	190	247	317
69.90 Spending authority from offsetting collections (total mandatory)	683	719	768
70.00 Total new budget authority (gross)	1,733	1,746	1,733

Change in obligated balances:			
72.40 Obligated balance, start of year	1,385	1,403	1,417
73.10 Total new obligations	1,733	1,746	1,732
73.20 Total outlays (gross)	-1,715	-1,730	-1,722
74.40 Obligated balance, end of year	1,403	1,417	1,427

Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	1,543	1,499	1,416
86.98 Outlays from mandatory balances	171	230	306
87.00 Total outlays (gross)	1,715	1,730	1,722

Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Non-Federal sources:			
88.40 Repayments of loans	-128	-124	-118
88.40 Optional settlements	-2	-1	-1
88.40 Net income offsets adjustments	-363	-347	-332
88.90 Total, offsetting collections (cash)	-493	-472	-451

Net budget authority and outlays:			
89.00 Budget authority	1,240	1,274	1,281
90.00 Outlays	1,221	1,258	1,271

Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value	11,804	11,639	11,406
92.02 Total investments, end of year: Federal securities:			
Par value	11,639	11,406	11,099

Note.—The Department of Veterans Affairs insurance policy loans are not an extension of Federal credit. Credit schedules previously shown for this account have been discontinued.

This fund was established in 1940. It is for the World War II servicemen's and veterans' insurance program. Over 22 million policies have been issued under this program. Activity of the fund reflects a rising claim workload. The trend in the number and amount of policies in force is shown as follows:

POLICIES AND INSURANCE IN FORCE

	2001 actual	2002 est.	2003 est.
Number of policies	1,606,590	1,495,800	1,384,620
Insurance in force (dollars in millions)	\$16,288	\$15,540	\$14,756

This fund is operated on a commercial basis to the extent possible. The income of the fund is derived from premium receipts, interest on investments, and payments which are made to the fund from the Veterans insurance and indemnities appropriation.

Assets of the fund, which are largely invested in special interest-bearing Treasury securities and in policy loans, are expected to decrease from \$12,225 million as of September 30, 2002 to \$11,861 million as of September 30, 2003. The actuarial estimate of policy obligations as of September 30, 2003, total \$11,581 million, leaving a balance of \$280 million for contingency reserves.

The status of the fund, excluding noncash transactions, is as follows:

Status of Funds (in millions of dollars)

Identification code 36-8132-0-7-701	2001 actual	2002 est.	2003 est.
Unexpended balance, start of year:			
0100 Uninvested balance [unavailable collections]	6	1	1

NATIONAL SERVICE LIFE INSURANCE FUND—Continued

Status of Funds (in millions of dollars)—Continued

Identification code 36-8132-0-7-701	2001 actual	2002 est.	2003 est.
0101 U.S. Securities: Par value	11,804	11,639	11,406
0199 Total balance, start of year	11,811	11,639	11,406
Cash income during the year:			
Current law:			
Offsetting receipts (proprietary):			
NSLI fund, premium and other receipts	194	198	184
Offsetting receipts (intragovernmental):			
NSLI fund, interest	855	828	780
NSLI fund, payments from general and special funds	1	1	2
Offsetting collections:			
NSLI fund, offsetting collections	493	472	451
1299 Income under present law	1,543	1,499	1,417
Cash outgo during year:			
Current law:			
4500 National service life insurance fund	-1,715	-1,730	-1,722
Unexpended balance, end of year:			
8700 Uninvested balance	1	1	1
8701 Federal securities: Par value	11,639	11,406	11,099
8799 Total balance, end of year	11,639	11,406	11,100

Object Classification (in millions of dollars)

Identification code 36-8132-0-7-701	2001 actual	2002 est.	2003 est.
Direct obligations:			
33.0 Investments and loans	96	94	96
42.0 Insurance claims and indemnities	704	692	704
43.0 Interest and dividends	451	440	412
99.0 Direct obligations	1,251	1,226	1,212
99.0 Reimbursable obligations	482	520	520
99.9 Total new obligations	1,733	1,746	1,732

UNITED STATES GOVERNMENT LIFE INSURANCE FUND

Unavailable Collections (in millions of dollars)

Identification code 36-8150-0-7-701	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	55	50	44
Receipts:			
02.40 Interest and profits on investments in public debt securities	5	4	4
02.80 United States government life insurance fund, offsetting collections	1	1	1
02.99 Total receipts and collections	6	5	5
04.00 Total: Balances and collections	61	55	49
Appropriations:			
05.00 United States government life insurance fund	-11	-11	-10
05.99 Total appropriations	-11	-11	-10
07.99 Balance, end of year	50	44	39

Program and Financing (in millions of dollars)

Identification code 36-8150-0-7-701	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Operating expenses:			
00.01 Death claims	2	2	2
00.05 Dividends	1	1	1
00.06 Interest paid on dividend credits and deposits	1	1	1
00.07 Other costs	1	1	1
09.01 Death Claims	4	4	4
09.02 Dividends	2	2	2
09.09 Reimbursable program	6	6	6
10.00 Total new obligations	11	11	10
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	11	11	10

23.95 Total new obligations	-11	-11	-10
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)	5	4	4
69.00 Offsetting collections (cash)	1	1	1
69.26 From offsetting collections (unavailable balances)	5	6	5
69.90 Spending authority from offsetting collections (total mandatory)	6	7	6
70.00 Total new budget authority (gross)	11	11	10
Change in obligated balances:			
72.40 Obligated balance, start of year	19	18	16
73.10 Total new obligations	11	11	10
73.20 Total outlays (gross)	-12	-13	-12
74.40 Obligated balance, end of year	18	16	14
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	6	5	5
86.98 Outlays from mandatory balances	6	7	6
87.00 Total outlays (gross)	12	13	12
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Repayments of loans	-1	-1	-1
Net budget authority and outlays:			
89.00 Budget authority	11	10	10
90.00 Outlays	11	12	11
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value	74	68	61
92.02 Total investments, end of year: Federal securities:			
Par value	68	61	54

Note.—The Department of Veterans Affairs insurance policy loans are not an extension of Federal credit. Credit schedules previously shown for this account have been discontinued.

This fund was established in 1919 to receive premiums and pay claims on insurance issued under the provisions of the War Risk Insurance Act. The general decline in the activity of the fund is indicated in the following table:

POLICIES AND INSURANCE IN FORCE

	2001 actual	2002 est.	2003 est.
Number of policies	14,683	13,040	11,440
Insurance in force (dollars in millions)	\$48	\$42	\$37

The fund is operated on a commercial basis to the extent possible. The income of the fund is derived from interest on investments and payments from the Veterans insurance and indemnities appropriation. Effective January 1, 1983, premiums were discontinued because reserves held in the fund were adequate to meet future liabilities of the program.

Assets of the fund, which are largely invested in interest-bearing securities and policy loans, are estimated to decrease from \$65 million as of September 30, 2002, to \$58 million as of September 30, 2003, as an increasing number of policies mature through death or disability. The actuarial evaluation of policy obligations as of September 30, 2003, totals \$57 million, leaving a balance of \$1 million for contingency reserves.

The status of the fund, excluding noncash transactions, is as follows:

Status of Funds (in millions of dollars)

Identification code 36-8150-0-7-701	2001 actual	2002 est.	2003 est.
Unexpended balance, start of year:			
0101 U.S. Securities: Par value	73	68	61
0199 Total balance, start of year	74	68	60
Cash income during the year:			
Current law:			
Offsetting receipts (intragovernmental):			
1240 Interest and profits on investments in public debt securities, USGLI, VA	5	4	4

Offsetting collections:				
1280	Offsetting collections, USGLI	1	1	1
1299	Income under present law	6	5	5
Cash outgo during year:				
Current law:				
4500	United States government life insurance fund	-12	-13	-12
Unexpended balance, end of year:				
8701	Federal securities: Par value	67	61	54
8799	Total balance, end of year	68	60	53

Object Classification (in millions of dollars)

Identification code 36-8150-0-7-701	2001 actual	2002 est.	2003 est.	
Direct obligations:				
42.0	Insurance claims and indemnities	2	2	2
43.0	Interest and dividends	3	3	2
99.0	Direct obligations	5	5	4
99.0	Reimbursable obligations	6	6	6
99.9	Total new obligations	11	11	10

VETERANS SPECIAL LIFE INSURANCE FUND

Unavailable Collections (in millions of dollars)

Identification code 36-8455-0-8-701	2001 actual	2002 est.	2003 est.	
01.99	Balance, start of year	1,462	1,488	1,507
Receipts:				
02.80	Veterans special life insurance fund, offsetting collections	235	234	230
04.00	Total: Balances and collections	1,697	1,722	1,737
Appropriations:				
05.00	Veterans special life insurance fund	-209	-215	-221
05.99	Total appropriations	-209	-215	-221
07.99	Balance, end of year	1,488	1,507	1,516

Program and Financing (in millions of dollars)

Identification code 36-8455-0-8-701	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
09.01	Death claims	56	61	66
09.02	Cash surrenders	6	6	6
09.03	Dividends	93	93	88
09.04	All other	30	33	38
09.05	Payments to GOE account	5	5	5
09.06	Capital investment	18	17	18
10.00	Total new obligations	209	215	221

Budgetary resources available for obligation:

22.00	New budget authority (gross)	209	215	221
23.95	Total new obligations	-209	-215	-221

New budget authority (gross), detail:

Mandatory:				
69.00	Offsetting collections (cash)	235	234	230
69.26	From offsetting collections (unavailable balances)	-26	-19	-9
69.90	Spending authority from offsetting collections (total mandatory)	209	215	221

Change in obligated balances:

72.40	Obligated balance, start of year	249	270	287
73.10	Total new obligations	209	215	221
73.20	Total outlays (gross)	-188	-198	-207
74.40	Obligated balance, end of year	270	287	301

Outlays (gross), detail:

86.97	Outlays from new mandatory authority	19	20	21
86.98	Outlays from mandatory balances	170	178	186
87.00	Total outlays (gross)	188	198	207

Offsets:

Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.20	Interest on Federal securities	-143	-143	-141

Non-Federal sources:

88.40	Interest on loans	-6	-6	-6
88.40	Insurance premiums earned	-68	-67	-65
88.40	Repayments of loans	-18	-18	-18
88.90	Total, offsetting collections (cash)	-235	-234	-230

Net budget authority and outlays:

89.00	Budget authority	-26	-19	-9
90.00	Outlays	-47	-36	-23

Memorandum (non-add) entries:

92.01	Total investments, start of year: Federal securities:			
	Par value	1,710	1,756	1,793
92.02	Total investments, end of year: Federal securities:			
	Par value	1,756	1,793	1,816

Note.—The Department of Veterans Affairs insurance policy loans are not an extension of Federal credit. Credit schedules previously shown for this account have been discontinued.

This fund finances the payment of claims on life insurance policies issued before January 3, 1957, to veterans who served in the Armed Forces subsequent to April 1, 1951. No new policies can be issued. Policyholders may elect to purchase total disability income coverage with the payment of additional premiums.

Budget program—

Death claims.—Represents payments to designated beneficiaries.

Cash surrenders.—A policyholder may terminate his or her insurance by cashing in the policy for its cash value.

Dividends.—Policyholders participate in the distribution of annual dividends.

All other.—Classified in this category are payments to policyholders who: (a) hold endowment policies which have matured; (b) have purchased total disability income coverage and subsequently become disabled; and (c) are paid interest on dividend credits and deposits.

The following table reflects the decrease in the number of policies and the amounts of insurance in force:

POLICIES AND INSURANCE IN FORCE

	2001 actual	2002 est.	2003 est.
Number of policies	233,335	223,460	212,890
Insurance in force (dollars in millions)	\$2,635	\$2,598	\$2,556

Financing.—Payments from this fund are financed primarily from premium receipts and interest on investments.

Operating results and financial condition.—Lower than expected death rates on insurance written against this fund has kept death claim payments well below the amount of premium and interest receipts, thereby producing an annual increase in the total revenue of the fund. Excess earnings of the fund are now distributed to the policyholders in the form of an annual dividend.

Object Classification (in millions of dollars)

Identification code 36-8455-0-8-701	2001 actual	2002 est.	2003 est.	
33.0	Investments and loans	18	17	18
42.0	Insurance claims and indemnities	77	84	93
43.0	Interest and dividends	114	114	110
99.9	Total new obligations	209	215	221

CONSTRUCTION

Federal Funds

General and special funds:

CONSTRUCTION, MAJOR PROJECTS

For constructing, altering, extending and improving any of the facilities under the jurisdiction or for the use of the Department of Veterans Affairs, or for any of the purposes set forth in sections 316, 2404, 2406, 8102, 8103, 8106, 8108, 8109, 8110, and 8122 of

General and special funds—Continued

CONSTRUCTION, MAJOR PROJECTS—Continued

title 38, United States Code, including planning, architectural and engineering services, maintenance or guarantee period services costs associated with equipment guarantees provided under the project, services of claims analysts, offsite utility and storm drainage system construction costs, and site acquisition, where the estimated cost of a project is \$4,000,000 or more or where funds for a project were made available in a previous major project appropriation, **[\$183,180,000] \$193,740,000**, to remain available until expended, of which **[\$60,000,000] \$5,000,000** shall be for Capital Asset Realignment for Enhanced Services (CARES) activities; and of which [not to exceed \$20,000,000 shall be for costs associated with land acquisitions for national cemeteries in the vicinity of Sacramento, California; Pittsburgh, Pennsylvania; and Detroit, Michigan: *Provided*, That of the amount made available under this heading for CARES activities, up to \$40,000,000 shall be for construction of a blind and spinal cord injury center at the Hines Veterans Affairs Medical Center pursuant to the Veterans Integrated Service Network (VISN) 12 CARES study, and construction of such center is hereby deemed authorized pursuant to title 38, United States Code: *Provided further*, That the amounts designated in the previous proviso shall be available for obligation only after the Secretary of Veterans Affairs has initiated all actions necessary to implement fully Option B of the July 19, 2001 VISN 12 Service Delivery Options after consulting with interested and affected parties, and has initiated Phase II of the CARES process] **\$10,000,000 shall be to make reimbursements as provided in 41 U.S.C. 612 for claims paid for contract disputes: *Provided further***, That except for advance planning activities, including needs assessments which may or may not lead to capital investments, and other capital asset management related activities, such as portfolio development and management activities, and investment strategy studies funded through the advance planning fund and the planning and design activities funded through the design fund and CARES funds, including needs assessments which may or may not lead to capital investments, none of the funds appropriated under this heading shall be used for any project which has not been approved by the Congress in the budgetary process: *Provided further*, That funds provided in this appropriation for fiscal year **[2002] 2003**, for each approved project (except those for CARES activities [and the three land acquisitions] referenced above) shall be obligated: (1) by the awarding of a construction documents contract by September 30, **[2002] 2003**; and (2) by the awarding of a construction contract by September 30, **[2003] 2004: *Provided further***, That the Secretary of Veterans Affairs shall promptly report in writing to the Committees on Appropriations any approved major construction project in which obligations are not incurred within the time limitations established above: *Provided further*, That no funds from any other account except the “Parking revolving fund”, may be obligated for constructing, altering, extending, or improving a project which was approved in the budget process and funded in this account until 1 year after substantial completion and beneficial occupancy by the Department of Veterans Affairs of the project or any part thereof with respect to that part only. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)				
Identification code 36-0110-0-1-703				
	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01 Medical program	75	93	199	
00.02 National cemeteries	11	82	88	
00.03 Replacement or renovation of regional offices	2	1	2	
00.04 Other		1	1	
10.00 Total new obligations	88	177	290	
Budgetary resources available for obligation:				
21.40 Unobligated balance carried forward, start of year	417	395	401	
22.00 New budget authority (gross)	66	183	194	
23.90 Total budgetary resources available for obligation	483	578	595	
23.95 Total new obligations	-88	-177	-290	
24.40 Unobligated balance carried forward, end of year	395	401	305	

New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	66	183	194
Change in obligated balances:				
72.40	Obligated balance, start of year	299	208	278
73.10	Total new obligations	88	177	290
73.20	Total outlays (gross)	-179	-107	-114
74.40	Obligated balance, end of year	208	278	454
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	2	8	8
86.93	Outlays from discretionary balances	177	98	106
87.00	Total outlays (gross)	179	107	114
Net budget authority and outlays:				
89.00	Budget authority	66	183	194
90.00	Outlays	179	107	114

Funds are requested for new cemeteries in the vicinity of Miami, Florida and Pittsburgh, Pennsylvania; cemetery improvement and expansion in Willamette, Oregon; activities related to CARES; and seismic corrections to two medical facilities in Palo Alto, CA, one in San Francisco, CA, and one in West Los Angeles, CA.

Additional funds are provided to remove asbestos from Department-owned buildings, reimburse the judgment fund, and support advanced planning (including assessments of needs) and design activities.

Budget Authority by Program Activity

[In millions of dollars]				
	2001 actual	2002 est.	2003 est.	
General	17	112	30	
Seismic	0	0	94	
Patient environment	1			
Other departments	51	71	69	
Design fund offset	(1)			
Reprogramming	(2)			
Total budget authority	66	183	194	

Object Classification (in millions of dollars)

Identification code 36-0110-0-1-703				
	2001 actual	2002 est.	2003 est.	
11.3 Personnel compensation: Other than full-time permanent	2	2	2	
25.2 Other services	32	35	45	
26.0 Supplies and materials	2	3	3	
31.0 Equipment	2	4	3	
32.0 Land and structures	50	133	237	
99.9 Total new obligations	88	177	290	

Personnel Summary

Identification code 36-0110-0-1-703				
	2001 actual	2002 est.	2003 est.	
1001 Total compensable workyears: Full-time equivalent employment	21	50	50	

CONSTRUCTION, MINOR PROJECTS

For constructing, altering, extending, and improving any of the facilities under the jurisdiction or for the use of the Department of Veterans Affairs, including planning and assessments of needs which may lead to capital investments, architectural and engineering services, maintenance or guarantee period services costs associated with equipment guarantees provided under the project, services of claims analysts, offsite utility and storm drainage system construction costs, and site acquisition, or for any of the purposes set forth in sections 316, 2404, 2406, 8102, 8103, 8106, 8108, 8109, 8110, 8122, and 8162 of title 38, United States Code, where the estimated cost of a project is less than \$4,000,000, **[\$210,900,000] \$210,700,000**,

to remain available until expended, along with unobligated balances of previous "Construction, minor projects" appropriations which are hereby made available for any project where the estimated cost is less than \$4,000,000, of which **[\$25,000,000]** \$35,000,000 shall be for Capital Asset Realignment for Enhanced Services (CARES) activities: *Provided*, That from amounts appropriated under this heading, additional amounts may be used for CARES activities upon notification of **[and approval by]** the Committees on Appropriations: *Provided further*, That funds in this account shall be available for: (1) repairs to any of the nonmedical facilities under the jurisdiction or for the use of the department which are necessary because of loss or damage caused by any natural disaster or catastrophe; and (2) temporary measures necessary to prevent or to minimize further loss by such causes. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 36-0111-0-1-703	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Medical programs	111	191	175
00.06 National cemeteries	22	27	21
00.07 Staff Offices	5	12	5
00.08 Replacement or renovation of regional offices	12	21	15
10.00 Total new obligations	150	251	216
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	56	72	32
22.00 New budget authority (gross)	166	211	211
23.90 Total budgetary resources available for obligation	222	283	243
23.95 Total new obligations	-150	-251	-216
24.40 Unobligated balance carried forward, end of year	72	32	27
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	171	211	211
41.00 Transferred to other accounts	-5		
43.00 Appropriation (total discretionary)	166	211	211
Change in obligated balances:			
72.40 Obligated balance, start of year	215	208	282
73.10 Total new obligations	150	251	216
73.20 Total outlays (gross)	-157	-177	-194
74.40 Obligated balance, end of year	208	282	304
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	43	55	55
86.93 Outlays from discretionary balances	114	122	139
87.00 Total outlays (gross)	157	177	194
Net budget authority and outlays:			
89.00 Budget authority	166	211	211
90.00 Outlays	157	177	194

The Construction, Minor Projects appropriation, which funds construction projects costing less than \$4 million, is used to reduce risks to patient life and safety, correct code deficiencies, improve ambulatory care settings, and improve national cemeteries and regional and staff offices.

Object Classification (in millions of dollars)

Identification code 36-0111-0-1-703	2001 actual	2002 est.	2003 est.
11.3 Personnel compensation: Other than full-time permanent	2	2	2
25.2 Other services	26	37	45
26.0 Supplies and materials	5	5	5
32.0 Land and structures	117	207	164
99.9 Total new obligations	150	251	216

Personnel Summary

Identification code 36-0111-0-1-703	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	43	80	80

GRANTS FOR CONSTRUCTION OF STATE EXTENDED CARE FACILITIES

For grants to assist States to acquire or construct State nursing home and domiciliary facilities and to remodel, modify or alter existing hospital, nursing home and domiciliary facilities in State homes, for furnishing care to veterans as authorized by 38 U.S.C. 8131-8137, \$100,000,000, to remain available until expended. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 36-0181-0-1-703	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Grants to States	85	242	100
10.00 Total new obligations (object class 41.0)	85	242	100
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	127	142	
22.00 New budget authority (gross)	100	100	100
23.90 Total budgetary resources available for obligation	227	242	100
23.95 Total new obligations	-85	-242	-100
24.40 Unobligated balance carried forward, end of year	142		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	100	100	100
Change in obligated balances:			
72.40 Obligated balance, start of year	62	87	238
73.10 Total new obligations	85	242	100
73.20 Total outlays (gross)	-60	-91	-95
74.40 Obligated balance, end of year	87	238	243
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	60	91	95
Net budget authority and outlays:			
89.00 Budget authority	100	100	100
90.00 Outlays	60	91	95

In 2002, the Department plans to obligate \$242 million to acquire or construct State home facilities for furnishing domiciliary or nursing home care to veterans and expand, remodel, or alter existing buildings for furnishing domiciliary, nursing home, or hospital care to veterans.

GRANTS FOR THE CONSTRUCTION OF STATE VETERANS CEMETERIES

For grants to aid States in establishing, expanding, or improving State veterans cemeteries as authorized by 38 U.S.C. 2408, **[\$25,000,000]** \$32,000,000, to remain available until expended. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 36-0183-0-1-705	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Grants to States	24	42	32
10.00 Total new obligations (object class 41.0)	24	42	32
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	16	17	
22.00 New budget authority (gross)	25	25	32
23.90 Total budgetary resources available for obligation	41	42	32

General and special funds—Continued**GRANTS FOR THE CONSTRUCTION OF STATE VETERANS
CEMETERIES—Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 36-0183-0-1-705	2001 actual	2002 est.	2003 est.
23.95 Total new obligations	-24	-42	-32
24.40 Unobligated balance carried forward, end of year	17		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	25	25	32
Change in obligated balances:			
72.40 Obligated balance, start of year	22	25	46
73.10 Total new obligations	24	42	32
73.20 Total outlays (gross)	-20	-21	-25
74.40 Obligated balance, end of year	25	46	53
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	4		
86.93 Outlays from discretionary balances	16	21	25
87.00 Total outlays (gross)	20	21	25
Net budget authority and outlays:			
89.00 Budget authority	25	25	32
90.00 Outlays	20	21	25

This program enables the Department to assist States in establishing, expanding, or improving State-operated veterans cemeteries.

Public enterprise funds:**PARKING REVOLVING FUND**

For the parking revolving fund as authorized by 38 U.S.C. 8109, income from fees collected [and \$4,000,000 from the general fund, both], to remain available until expended, which shall be available for all authorized expenses except operations and maintenance costs, which will be funded from "Medical care". (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 36-4538-0-3-703	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Operating expenses: parking leases	3	3	3
09.01 Capital Investment: parking construction program	3	12	5
10.00 Total new obligations	6	15	8
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	12	17	9
22.00 New budget authority (gross)	10	7	3
23.90 Total budgetary resources available for obligation	22	24	12
23.95 Total new obligations	-6	-15	-8
24.40 Unobligated balance carried forward, end of year	17	9	4
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation		4	
42.00 Transferred from other accounts	7		
43.00 Appropriation (total discretionary)	7	4	
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	3	3	3
70.00 Total new budget authority (gross)	10	7	3
Change in obligated balances:			
72.40 Obligated balance, start of year	3	1	10
73.10 Total new obligations	6	15	8
73.20 Total outlays (gross)	-8	-6	-7
74.40 Obligated balance, end of year	1	10	9

Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	2	3	3
86.93	Outlays from discretionary balances	6	3	4
87.00	Total outlays (gross)	8	6	7

Offsets:				
Against gross budget authority and outlays:				
88.40	Offsetting collections (cash) from: Non-Federal sources	-3	-3	-3

Net budget authority and outlays:				
89.00	Budget authority	7	4	
90.00	Outlays	5	3	4

The Parking Revolving Fund provides funding for the construction and lease of parking facilities and surface parking at various medical centers.

Object Classification (in millions of dollars)

Identification code 36-4538-0-3-703	2001 actual	2002 est.	2003 est.	
23.2	Rental payments to others	3	3	3
32.0	Land and structures	3	12	5
99.0	Reimbursable obligations	6	15	8
99.9	Total new obligations	6	15	8

PERSHING HALL REVOLVING FUND**Program and Financing (in millions of dollars)**

Identification code 36-4018-0-3-705	2001 actual	2002 est.	2003 est.	
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	1	1	1
24.40	Unobligated balance carried forward, end of year	1	1	1
Net budget authority and outlays:				
89.00	Budget authority			
90.00	Outlays			

The Pershing Hall Revolving Fund was created to operate and manage Pershing Hall, an asset of the United States, located in Paris, France. All operating expenses for Pershing Hall are borne by the revolving fund and all receipts generated by the operation of Pershing Hall are deposited in the revolving fund.

To facilitate account restructuring and consolidation, the Pershing Hall Revolving Fund also reflects budget information for the Nursing Home Revolving Fund. The Nursing Home Revolving Fund provides for the construction, alteration, and acquisition (including site acquisition) of nursing home facilities and is available only as provided in appropriations acts.

DEPARTMENTAL ADMINISTRATION**GENERAL OPERATING EXPENSES**

For necessary operating expenses of the Department of Veterans Affairs, not otherwise provided for, including administrative expenses in support of Department-wide capital planning, management and policy activities, uniforms or allowances therefor; not to exceed \$25,000 for official reception and representation expenses; hire of passenger motor vehicles; and reimbursement of the General Services Administration for security guard services, and the Department of Defense for the cost of overseas employee mail, **[\$1,195,728,000]** **\$1,316,765,000: Provided.** That expenses for services and assistance authorized under 38 U.S.C. 3104(a)(1), (2), (5), and (11) that the Secretary determines are necessary to enable entitled veterans: (1) to the maximum extent feasible, to become employable and to obtain and maintain suitable employment; or (2) to achieve maximum independence in daily living, shall be charged to this account: *Provided*

further, That of the funds made available under this heading, [not to exceed \$60,000,000] \$65,800,000 shall be available for obligation until September 30, [2003] 2004: Provided further, That from the funds made available under this heading, the Veterans Benefits Administration may purchase up to [four] two passenger motor vehicles for use in operations of that Administration in Manila, Philippines: Provided further, That travel expenses for this account shall not exceed \$15,665,000]. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States for "General operating expenses", \$2,000,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (Emergency Supplemental Act, 2002)

Program and Financing (in millions of dollars)

Identification code 36-0151-0-1-705	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
Veterans benefits:			
00.04 Compensation and pensions	696	787	798
00.05 Education	66	89	103
00.06 Vocational rehabilitation and counseling	112	130	134
00.09 Insurance ¹	3	4	4
00.11 General administration	265	259	278
01.00 Total Direct Program	1,142	1,269	1,317
Reimbursable program:			
09.01 Administration of housing credit programs	169	171	176
09.02 Administration of other credit programs	1	1	1
09.03 Administration of insurance programs	39	39	40
09.04 Other reimbursable programs	163	252	212
09.99 Total reimbursable program	372	463	429
10.00 Total new obligations	1,514	1,732	1,746
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	5	14
22.00 New budget authority (gross)	1,524	1,718	1,746
23.90 Total budgetary resources available for obligation	1,529	1,732	1,746
23.95 Total new obligations	-1,514	-1,732	-1,746
23.98 Unobligated balance expiring or withdrawn	-1
24.40 Unobligated balance carried forward, end of year	14
New budget authority (gross), detail:			
Discretionary:			
Appropriation:			
40.00 Appropriation	1,102	1,252	1,317
40.00 Appropriation	2
40.35 Appropriation rescinded	-2
42.00 Transferred from other accounts	52	1
43.00 Appropriation (total discretionary)	1,152	1,255	1,317
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	372	463	429
70.00 Total new budget authority (gross)	1,524	1,718	1,746
Change in obligated balances:			
72.40 Obligated balance, start of year	190	201	215
73.10 Total new obligations	1,514	1,732	1,746
73.20 Total outlays (gross)	-1,495	-1,718	-1,735
73.40 Adjustments in expired accounts (net)	-8
74.40 Obligated balance, end of year	201	215	226
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1,301	1,502	1,520
86.93 Outlays from discretionary balances	194	215	216
87.00 Total outlays (gross)	1,495	1,718	1,735
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-372	-463	-429
Net budget authority and outlays:			
89.00 Budget authority	1,152	1,255	1,317
90.00 Outlays	1,123	1,255	1,306

¹The total cost of administering veterans insurance programs is funded through direct appropriations to this account and through reimbursements from the insurance trust fund.

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	1,100	1,199	1,256
90.00 Outlays	1,071	1,199	1,245

Summary of Budget Authority and Outlays

(in millions of dollars)

Enacted/requested:	2001 actual	2002 est.	2003 est.
Budget Authority	1,152	1,255	1,317
Outlays	1,123	1,254	1,307
Legislative proposal, not subject to PAYGO:			
Budget Authority	20
Outlays	20
Total:			
Budget Authority	1,152	1,255	1,337
Outlays	1,123	1,254	1,327

This appropriation provides for the administration of non-medical veterans benefits through the Veterans Benefits Administration (VBA) and the Department's top management direction and administrative support, including data processing, fiscal, personnel, and legal services.

Veterans benefits.—Determines eligibility and adjudicates all claims for compensation, pensions, educational assistance, housing loan assistance, and insurance awards. A summary of VBA's program objectives and anticipated workload is included in the following paragraphs. Workload data for this program is shown below. Specific performance goals relating to the processing of veterans benefits are contained in VA's annual performance plan.

Compensation and pensions.—Provides processing of claims for veterans and dependents relating to compensation and pension benefits under the various laws enacted by Congress.

WORKLOAD

(Claims completed in thousands)

	2001 actual	2002 est.	2003 est.
Compensation:			
Rating-Related Actions ¹	398	656	682
Non Rating Actions ²	264	337	346
Pension:			
Rating-Related Actions ¹	84	144	150
Non Rating Actions ²	418	550	564

¹Rating related actions include original compensation claims (EP 010/110), original DIC claims (EP 140), original pensions claims (EP 180), reopened compensation claims (EP 020), reopened pension claims (EP 120), routine examinations (EP 310), and reviews due to hospitalizations (EP 320).

²Non Rating actions include dependency issues (EP 130), income issues (EP 150), IVM (EP 154), EVR (EP 155, burial/plot claims (EP 160), claims for accrued benefits (EP 165), original death pension claims (EP 190), and special eligibility determinations (EP 290).

Education.—Provides timely and efficient processing of claims for veterans and dependents relating to education benefits under the various laws enacted by Congress.

WORKLOAD

(In thousands)

	2001 actual	2002 est.	2003 est.
Education:			
Original claims	162	200	218
Adjustments/supplemental claims	843	1,020	1,105

Loan guaranty.—Facilitates the extension of private capital, on more liberal terms than generally available to non-veterans, to: assist veterans and servicepersons in obtaining housing credits; provide grants to aid permanently and totally disabled veterans in acquiring specially adapted housing; and assist veterans in retaining their homes during periods of temporary economic difficulty through intensive supplemental mortgage loan servicing.

GENERAL OPERATING EXPENSES—Continued

WORKLOAD

(In thousands)

	2001 actual	2002 est.	2003 est.
Loan guaranty:			
Construction and valuation (number of reviews)	304	319	335
Loan processing (number of loans)	699	734	771
Loan service and claims (number of loans and claims)	287	301	316
Property management (number of properties)	49	51	54

Vocational rehabilitation and employment.—Provides counseling and assistance to enable veterans with service-connected disabilities to achieve maximum independence in daily living and, to the maximum extent feasible, obtain and maintain suitable employment.

WORKLOAD

(In thousands)

	2001 actual	2002 est.	2003 est.
Vocational rehabilitation and employment:			
Evaluation and planning	53	55	56
Rehabilitation services	61	63	64
Employment services status	14	14	15
Vocational/educational counseling	11	10	10

Insurance.—Provides life insurance protection for servicepersons and veterans. The VA administers six life insurance programs and supervises two others through a contractual agreement with a commercial company.

WORKLOAD

(In thousands)

	2001 actual	2002 est.	2003 est.
Insurance:			
Policy service actions	963	947	917
Collections	2,656	2,497	2,322
Disability claims	11	11	11
Insurance awards	773	479	453

General administration.—Includes Departmental executive direction and supporting offices, the General Counsel, the Board of Veterans Appeals, and the Board of Contract Appeals.

Object Classification (in millions of dollars)

Identification code 36-0151-0-1-705	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	598	662	694
11.5 Other personnel compensation	20	15	15
11.9 Total personnel compensation	618	677	709
12.1 Civilian personnel benefits	190	201	231
13.0 Benefits for former personnel	4	6	4
Travel and transportation of persons:			
21.0 Employee travel	16	16	17
21.0 Travel and transportation of persons	2	2	2
22.0 Transportation of things	1	3	3
23.1 Rental payments to GSA	86	79	87
23.2 Rental payments to others	7	9	9
23.3 Communications, utilities, and miscellaneous charges	24	37	45
24.0 Printing and reproduction	3	3	3
25.2 Other services	141	186	165
25.3 Other purchases of goods and services from Government accounts	3	3	3
26.0 Supplies and materials	11	12	11
31.0 Equipment	36	35	28
99.0 Direct obligations	1,142	1,269	1,317
99.0 Reimbursable obligations	372	463	429
99.9 Total new obligations	1,514	1,732	1,746

Personnel Summary

Identification code 36-0151-0-1-705	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment ¹	11,523	12,581	12,776

2001	Total compensable workyears: Full-time equivalent employment	3,184	3,239	3,229
Reimbursable:				

¹ Reflects FTE treated as reimbursements in all years and the effects of Credit Reform, per P.L. 101-508.

GENERAL OPERATING EXPENSES

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 36-0151-2-1-705	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
Veterans benefits:			
00.06 Vocational rehabilitation and counseling			20
01.00 Total Direct Program			20
10.00 Total new obligations			20
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			20
23.95 Total new obligations			-20
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation			20
Change in obligated balances:			
73.10 Total new obligations			20
73.20 Total outlays (gross)			-20
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority			20
Net budget authority and outlays:			
89.00 Budget authority			20
90.00 Outlays			20

The Administration will transmit legislation that will establish a new competitive grant program in the Department of Veterans Affairs, subject to Congressional authorization, which will enable the Department to assist States in establishing, expanding, or improving employment and training services for veterans. The Department will set clear employment-based outcome measures for these grants and judge grantee performance on this basis. The emphasis will be on serving those veterans who most need employment assistance, including disabled or homeless veterans.

This new competitive grant program will replace a similar set of programs now in the Department of Labor's Veterans Employment and Training Service.

Object Classification (in millions of dollars)

Identification code 36-0151-2-1-705	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent			10
12.1 Civilian personnel benefits			2
21.0 Employee travel			1
23.1 Rental payments to GSA			1
23.3 Communications, utilities, and miscellaneous charges			1
25.2 Other services			4
31.0 Equipment			1
99.9 Total new obligations			20

Personnel Summary

Identification code 36-0151-2-1-705	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment			199

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the Inspector General Act of 1978, as amended, **[\$52,308,000] \$58,338,000, of which \$2,917,000 shall remain available until September 30, 2004.** (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 36-0170-0-1-705	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.10 Direct program	48	55	58
09.00 Reimbursable program	2	3	3
10.00 Total new obligations	50	58	61
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	50	58	61
23.95 Total new obligations	-50	-58	-61
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	48	55	58
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	2	3	3
70.00 Total new budget authority (gross)	50	58	61
Change in obligated balances:			
72.40 Obligated balance, start of year	11	10	5
73.10 Total new obligations	50	58	61
73.20 Total outlays (gross)	-51	-58	-61
73.40 Adjustments in expired accounts (net)	-1	-5	-5
74.40 Obligated balance, end of year	10	5	
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	48	53	56
86.93 Outlays from discretionary balances	3	5	5
87.00 Total outlays (gross)	51	58	61
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-2	-3	-3
Net budget authority and outlays:			
89.00 Budget authority	48	55	58
90.00 Outlays	49	55	58

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	46	52	55
90.00 Outlays	47	52	55

This appropriation provides Department-wide audit, investigation, and essential inspection and support functions to identify and report weaknesses and deficiencies in VA programs and operations that create conditions for existing or potential instances of fraud, waste, and mismanagement. The audit function plans and conducts internal programmatic audits of all facets of VA operations as well as contract audit services for all applicable Department contracts. The investigative function conducts proactive and reactive criminal and administrative investigations of improper and illegal activities involving VA programs, personnel, beneficiaries, and other third parties. The healthcare inspection function performs legislatively mandated medical care quality assurance reviews and oversight. The support function provides normal office administrative support.

Object Classification (in millions of dollars)

Identification code 36-0170-0-1-705	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	27	32	33
12.1 Civilian personnel benefits	9	11	11
21.0 Travel and transportation of persons	2	3	3
23.1 Rental payments to GSA	2	2	3
25.2 Other services	8	7	8
99.0 Direct obligations	48	55	58
99.0 Reimbursable obligations	2	3	3
99.9 Total new obligations	50	58	61

Personnel Summary

Identification code 36-0170-0-1-705	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	350	405	402
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	20	24	24

NATIONAL CEMETERY ADMINISTRATION

For necessary expenses of the National Cemetery Administration for operations and maintenance, not otherwise provided for, including uniforms or allowances therefor; cemeterial expenses as authorized by law; purchase of one passenger motor vehicle for use in cemeterial operations; and hire of passenger motor vehicles, **[\$121,169,000] \$138,323,000, of which \$6,912,000 shall be available until September 30, 2004.** (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 36-0129-0-1-705	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.10 Direct obligations	113	126	138
10.00 Total new obligations	113	126	138
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	113	126	138
23.95 Total new obligations	-113	-126	-138
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	114	126	138
41.00 Transferred to other accounts	-1		
43.00 Appropriation (total discretionary)	113	126	138
Change in obligated balances:			
72.40 Obligated balance, start of year	16	21	22
73.10 Total new obligations	113	126	138
73.20 Total outlays (gross)	-108	-125	-137
73.40 Adjustments in expired accounts (net)	-1		
74.40 Obligated balance, end of year	21	22	23
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	95	114	125
86.93 Outlays from discretionary balances	13	11	12
87.00 Total outlays (gross)	108	125	137
Net budget authority and outlays:			
89.00 Budget authority	113	126	138
90.00 Outlays	107	125	137

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	109	121	133

NATIONAL CEMETERY ADMINISTRATION—Continued

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)—Continued

	2001 actual	2002 est.	2003 est.
90.00 Outlays	103	120	132

Specific performance goals relating to the National Cemetery Administration are contained in VA's annual performance plan.

The mission of the National Cemetery Administration is to honor veterans with a final resting place and lasting memorials that commemorate their service to our Nation. The National Cemetery Administration's vision is to provide a lasting tribute to our Nation's veterans by being mission-driven, results-oriented, and customer-focused. There are four related programs managed by the National Cemetery Administration including: (1) burying eligible veterans and family members in national cemeteries and maintaining the graves and their environs as national shrines; (2) providing aid to States in establishing, expanding, or improving State veteran cemeteries; (3) providing headstones and markers for the graves of eligible persons in national, State, and private cemeteries; and (4) providing presidential memorial certificates to family and friends of deceased veterans, recognizing the veteran's contribution and service to the Nation.

The National Cemetery Administration also reflects budget information for the National Cemetery Gift Fund. Through this Trust Fund, the Secretary is authorized to accept gifts and bequests which are made for the purpose of beautifying national cemeteries or are determined to be beneficial to such cemeteries, or are made for the purpose of the operation, maintenance, or improvement of the National Memorial Cemetery of Arizona.

Object Classification (in millions of dollars)

Identification code 36-0129-0-1-705	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	48	51	55
11.3 Other than full-time permanent	6	7	7
11.9 Total personnel compensation	54	58	62
12.1 Civilian personnel benefits	19	22	23
21.0 Travel and transportation of persons	1	2	2
23.1 Rental payments to GSA	1	1	1
23.3 Communications, utilities, and miscellaneous charges	5	5	6
25.2 Other services	20	25	28
26.0 Supplies and materials	7	7	8
31.0 Equipment	6	6	8
99.9 Total new obligations	113	126	138

Personnel Summary

Identification code 36-0129-0-1-705	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	1,385	1,460	1,519

Intragovernmental funds:

SUPPLY FUND

Program and Financing (in millions of dollars)

Identification code 36-4537-0-4-705	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Reimbursable program-COGS-Merchandizing	895	934	987
09.02 Reimbursable program-Other-Operations	46	56	58
09.03 Reimbursable program-COGS-Printing and Publications	7	7	7
09.04 Reimbursable program-Other	2	2	2

09.05 Reimbursable program-Equipment-Procurement Services and Distribution	2	3	3
10.00 Total new obligations	952	1,002	1,057

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	188	151	151
22.00 New budget authority (gross)	915	1,002	1,057
23.90 Total budgetary resources available for obligation	1,103	1,153	1,208
23.95 Total new obligations	-952	-1,002	-1,057
24.40 Unobligated balance carried forward, end of year	151	151	151

New budget authority (gross), detail:

Mandatory:			
69.00 Offsetting collections (cash)	862	1,002	1,057
69.10 Change in uncollected customer payments from Federal sources (unexpired)	53		
69.90 Spending authority from offsetting collections (total mandatory)	915	1,002	1,057

Change in obligated balances:

72.40 Obligated balance, start of year	32	118	118
73.10 Total new obligations	952	1,002	1,057
73.20 Total outlays (gross)	-813	-1,002	-1,057
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-53		
74.40 Obligated balance, end of year	118	118	118

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	813	1,002	1,057
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Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-862	-1,002	-1,057
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-53		

Net budget authority and outlays:

89.00 Budget authority			
90.00 Outlays	-49		

Under the provisions of 38 U.S.C. 8121, the Supply Fund is responsible for the operation and maintenance of a supply system for VA. The Supply Fund is an intragovernmental revolving fund without fiscal year limitations.

Budget program.—The fund provides financial support for: (1) a National Acquisition Center or central contracting office; (2) the maintenance of field station inventories; (3) a service and distribution center; (4) a service and reclamation program; (5) a national prosthetics distribution center; and (6) an asset management service.

Costs for the administration of supply activities at VA field stations are not financed by the Supply Fund. These costs are charged directly to applicable appropriations accounts.

Financing.—Costs of supplies, equipment, and services acquired through the Supply Fund and Supply Fund operating costs are recovered through reimbursements from the VA appropriations and other Government agencies receiving goods and services. For 2003, Supply Fund sales are estimated to reach \$893 million. Average inventory needed to support those sales will be \$40 million.

Operating results.—The Fund operated at a loss of \$7.4 million in 2000. The new total of retained earnings is \$52 million. Operating expense as related to sales was 6 percent.

Object Classification (in millions of dollars)

Identification code 36-4537-0-4-705	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	21	23	25
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	22	24	26
12.1 Civilian personnel benefits	7	8	8
21.0 Travel and transportation of persons	3	3	3
23.1 Rental payments to GSA	1	1	1

23.3	Communications, utilities, and miscellaneous charges	3	3	3
24.0	Printing and reproduction	7	7	7
25.1	Advisory and assistance services	316	331	403
26.0	Supplies and materials	287	308	300
31.0	Equipment	306	317	306
99.0	Reimbursable obligations	952	1,002	1,057
99.9	Total new obligations	952	1,002	1,057

Personnel Summary

Identification code 36-4537-0-4-705	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	361	387	391

FRANCHISE FUND

Program and Financing (in millions of dollars)

Identification code 36-4539-0-4-705	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Reimbursable program	113	145	146
09.02 Reimbursable program	3	4	4
10.00 Total new obligations	116	149	150

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	6	38	44
22.00 New budget authority (gross)	148	155	157
23.90 Total budgetary resources available for obligation	154	193	201
23.95 Total new obligations	-116	-149	-150
24.40 Unobligated balance carried forward, end of year	38	44	51

New budget authority (gross), detail:

Mandatory:			
69.00 Offsetting collections (cash)	144	152	154
69.10 Change in uncollected customer payments from Federal sources (unexpired)	4	3	3
69.90 Spending authority from offsetting collections (total mandatory)	148	155	157

Change in obligated balances:

72.40 Obligated balance, start of year	39	14	11
73.10 Total new obligations	116	149	150
73.20 Total outlays (gross)	-137	-149	-150
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-4	-3	-3
74.40 Obligated balance, end of year	14	11	9

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	137	149	150
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Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-144	-152	-154
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-4	-3	-3

Net budget authority and outlays:

89.00 Budget authority			
90.00 Outlays	-7	-3	-4

VA was chosen as a pilot Franchise Fund agency under the Government Management and Reform Act, P.L. 103-356, of 1994. This budget extends through September 30, 2003, the authority under that Act for franchise fund pilots in agencies including VA. Established in 1997, administrative services included in the Franchise Fund were to be financed on a fee-for-service basis rather than through VA's General Operating Expenses appropriation. VA Enterprise Centers are the lines of business within the VA Franchise Fund and are expected to have net billings of about \$156 million and employ 705 people, who were transferred from their parent organizations.

The Franchise Fund concept is intended to increase competition for government administrative services resulting in lower costs and higher quality.

Object Classification (in millions of dollars)

Identification code 36-4539-0-4-705	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	35	42	43
12.1 Civilian personnel benefits	11	13	13
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	4	4	4
23.3 Communications, utilities, and miscellaneous charges	24	26	26
24.0 Printing and reproduction	2	1	1
25.2 Other services	29	59	59
26.0 Supplies and materials	2	2	2
31.0 Equipment	8	1	1
99.0 Reimbursable obligations	116	149	150
99.9 Total new obligations	116	149	150

Personnel Summary

Identification code 36-4539-0-4-705	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	636	740	740

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Offsetting receipts from the public:			
36-247300 Contributions from military personnel, Veteran's Educational Assistance Act of 1984	286	192	196
36-273330 GIF direct loans, Downward reestimates of subsidies	1,420	1,795	
36-275130 Native American direct loans, downward re-estimate of subsidies		2	
36-275510 Negative subsidies, Veterans housing benefit program			98
General Fund Offsetting receipts from the public	1,706	1,989	294

ADMINISTRATIVE PROVISIONS

(INCLUDING TRANSFER OF FUNDS)

[SEC. 101. Any appropriation for fiscal year 2002 for "Compensation and pensions", "Readjustment benefits", and "Veterans insurance and indemnities" may be transferred to any other of the mentioned appropriations.]

SEC. [102] 101. Appropriations available to the Department of Veterans Affairs for fiscal year [2002] 2003 for salaries and expenses shall be available for services authorized by 5 U.S.C. 3109.

SEC. [103] 102. No appropriations in this Act for the Department of Veterans Affairs (except the appropriations for "Construction, major projects", "Construction, minor projects", and the "Parking revolving fund") shall be available for the purchase of any site for or toward the construction of any new hospital or home.

SEC. [104] 103. No appropriations in this Act for the Department of Veterans Affairs shall be available for hospitalization or examination of any persons (except beneficiaries entitled under the laws bestowing such benefits to veterans, and persons receiving such treatment under 5 U.S.C. 7901-7904 or 42 U.S.C. 5141-5204), unless reimbursement of cost is made to the "Medical care" account at such rates as may be fixed by the Secretary of Veterans Affairs.

[SEC. 105. Appropriations available to the Department of Veterans Affairs for fiscal year 2002 for "Compensation and pensions", "Readjustment benefits", and "Veterans insurance and indemnities" shall be available for payment of prior year accrued obligations required to be recorded by law against the corresponding prior year accounts within the last quarter of fiscal year 2001.]

SEC. [106] 104. Appropriations accounts available to the Department of Veterans Affairs for fiscal year [2002] 2003 shall be available to pay prior year obligations of corresponding prior year appro-

ADMINISTRATIVE PROVISIONS—Continued
(INCLUDING TRANSFER OF FUNDS)—Continued

priations accounts resulting from title X of the Competitive Equality Banking Act, Public Law 100–86, except that if such obligations are from trust fund accounts they shall be payable from “Compensation and pensions”.

SEC. [107] 105. Notwithstanding any other provision of law, during fiscal year [2002] 2003, the Secretary of Veterans Affairs shall, from the National Service Life Insurance Fund (38 U.S.C. 1920), the Veterans’ Special Life Insurance Fund (38 U.S.C. 1923), and the United States Government Life Insurance Fund (38 U.S.C. 1955), reimburse the “General operating expenses” account for the cost of administration of the insurance programs financed through those accounts: *Provided*, That reimbursement shall be made only from the surplus earnings accumulated in an insurance program in fiscal year [2002] 2003, that are available for dividends in that program after claims have been paid and actuarially determined reserves have been set aside: *Provided further*, That if the cost of administration of an insurance program exceeds the amount of surplus earnings accumulated in that program, reimbursement shall be made only to the extent of such surplus earnings: *Provided further*, That the Secretary shall determine the cost of administration for fiscal year [2002] 2003, which is properly allocable to the provision of each insurance program and to the provision of any total disability income insurance included in such insurance program.

SEC. [108] 106. Notwithstanding any other provision of law, the Department of Veterans Affairs shall continue the Franchise Fund pilot program authorized to be established by section 403 of Public Law 103–356 until October 1, [2002] 2003: *Provided*, That the Franchise Fund, established by title I of Public Law 104–204 to finance the operations of the Franchise Fund pilot program, shall continue until October 1, [2002] 2003.

SEC. [109] 107. Amounts deducted from enhanced-use lease proceeds to reimburse an account for expenses incurred by that account during a prior fiscal year for providing enhanced-use lease services, may be obligated during the fiscal year in which the proceeds are received.

SEC. [110] 108. Funds available in any Department of Veterans Affairs appropriation for fiscal year [2002] 2003 or funds for salaries and other administrative expenses shall also be available to reimburse the Office of Resolution Management and the Office of Employment Discrimination Complaint Adjudication for all services provided at rates which will recover actual costs but not exceed [\$28,555,000] \$29,318,000 for the Office of Resolution Management and [\$2,383,000] \$3,010,000 for the Office of Employment and Discrimination Complaint Adjudication: *Provided*, That payments may be made in advance for services to be furnished based on estimated costs: *Provided further*, That amounts received shall be credited to “General operating expenses” for use by the office that provided the service.

[SEC. 111. The Secretary of Veterans Affairs shall treat the North Dakota Veterans Cemetery, Mandan, North Dakota, as a veterans cemetery owned by the State of North Dakota for purposes of making grants to States in expanding or improving veterans cemeteries under section 2408 of title 38, United States Code. This section shall take effect on the date of enactment of this Act, and shall apply with respect to grants under section 2408 of title 38, United States Code, that occur on or after that date.] (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

TITLE IV—GENERAL PROVISIONS

SEC. 401. Where appropriations in titles I, II, and III of this Act are expendable for travel expenses and no specific limitation has been placed thereon, the expenditures for such travel expenses may not exceed the amounts set forth therefor in the budget estimates submitted for the appropriations: *Provided*, That this provision does not apply to accounts that do not contain an object classification for travel: *Provided further*, That this section shall not apply to travel performed by uncompensated officials of local boards and appeal boards of the Selective Service System; to travel performed directly in connection with care and treatment of medical beneficiaries of the Department of Veterans Affairs; to travel performed in connection with major disasters or emergencies declared or determined by the President under the provisions of the Robert T. Stafford Disaster

Relief and Emergency Assistance Act; to travel performed by the Offices of Inspector General in connection with audits and investigations; to travel performed by the U.S. Consumer Product Safety Commission in connection with litigations; or to payments to interagency motor pools where separately set forth in the budget schedules: *Provided further*, That if appropriations in titles I, II, and III exceed the amounts set forth in budget estimates initially submitted for such appropriations, the expenditures for travel may correspondingly exceed the amounts therefor set forth in the estimates only to the extent *notice of such an increase is [approved by] transmitted to the Committees on Appropriations.*

SEC. 402. Appropriations and funds available for the administrative expenses of the Department of Housing and Urban Development and the Selective Service System shall be available in the current fiscal year for purchase of uniforms, or allowances therefor, as authorized by 5 U.S.C. 5901–5902; hire of passenger motor vehicles; and services as authorized by 5 U.S.C. 3109.

SEC. 403. Funds of the Department of Housing and Urban Development subject to the Government Corporation Control Act or section 402 of the Housing Act of 1950 shall be available, without regard to the limitations on administrative expenses, for legal services on a contract or fee basis, and for utilizing and making payment for services and facilities of the Federal National Mortgage Association, Government National Mortgage Association, Federal Home Loan Mortgage Corporation, Federal Financing Bank, Federal Reserve banks or any member thereof, Federal Home Loan banks, and any insured bank within the meaning of the Federal Deposit Insurance Corporation Act, as amended (12 U.S.C. 1811–1831).

SEC. 404. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 405. No funds appropriated by this Act may be expended—
(1) pursuant to a certification of an officer or employee of the United States unless—

(A) such certification is accompanied by, or is part of, a voucher or abstract which describes the payee or payees and the items or services for which such expenditure is being made; or

(B) the expenditure of funds pursuant to such certification, and without such a voucher or abstract, is specifically authorized by law; and

(2) unless such expenditure is subject to audit by the General Accounting Office or is specifically exempt by law from such audit.

SEC. 406. None of the funds provided in this Act to any department or agency may be expended for the transportation of any officer or employee of such department or agency between the domicile and the place of employment of the officer or employee, with the exception of an officer or employee authorized such transportation under 31 U.S.C. 1344 or 5 U.S.C. 7905.

SEC. 407. None of the funds provided in this Act may be used for payment, through grants or contracts, to recipients that do not share in the cost of conducting research resulting from proposals not specifically solicited by the Government: *Provided*, That the extent of cost sharing by the recipient shall reflect the mutuality of interest of the grantee or contractor and the Government in the research.

SEC. 408. None of the funds provided in this Act may be used, directly or through grants, to pay or to provide reimbursement for payment of the salary of a consultant (whether retained by the Federal Government or a grantee) at more than the daily equivalent of the rate paid for level IV of the Executive Schedule, unless specifically authorized by law.

SEC. 409. None of the funds provided in this Act may be used to pay the expenses of, or otherwise compensate, non-Federal parties intervening in regulatory or adjudicatory proceedings. Nothing herein affects the authority of the Consumer Product Safety Commission pursuant to section 7 of the Consumer Product Safety Act (15 U.S.C. 2056 et seq.).

SEC. 410. Except as otherwise provided under existing law, or under an existing Executive order issued pursuant to an existing law, the obligation or expenditure of any appropriation under this Act for contracts for any consulting service shall be limited to contracts which are: (1) a matter of public record and available for public inspection; and (2) thereafter included in a publicly available list of all contracts entered into within 24 months prior to the date on which the list is made available to the public and of all contracts on which performance has not been completed by such date. The list required by the preceding sentence shall be updated quarterly

and shall include a narrative description of the work to be performed under each such contract.

SEC. 411. Except as otherwise provided by law, no part of any appropriation contained in this Act shall be obligated or expended by any executive agency, as referred to in the Office of Federal Procurement Policy Act (41 U.S.C. 401 et seq.), for a contract for services unless such executive agency: (1) has awarded and entered into such contract in full compliance with such Act and the regulations promulgated thereunder; and (2) requires any report prepared pursuant to such contract, including plans, evaluations, studies, analyses and manuals, and any report prepared by the agency which is substantially derived from or substantially includes any report prepared pursuant to such contract, to contain information concerning: (A) the contract pursuant to which the report was prepared; and (B) the contractor who prepared the report pursuant to such contract.

SEC. 412. Except as otherwise provided in section 406, none of the funds provided in this Act to any department or agency shall be obligated or expended to provide a personal cook, chauffeur, or other personal servants to any officer or employee of such department or agency.

SEC. 413. None of the funds provided in this Act to any department or agency shall be obligated or expended to procure passenger automobiles as defined in 15 U.S.C. 2001 with an EPA estimated miles per gallon average of less than 22 miles per gallon.

SEC. 414. None of the funds appropriated in title I of this Act shall be used to enter into any new lease of real property if the estimated annual rental is more than \$300,000 [unless the Secretary submits a report which] *until 30 days after notice thereof is transmitted to the Committees on Appropriations of the Congress [approve within 30 days following the date on which the report is received].*

SEC. 415. (a) It is the sense of the Congress that, to the greatest extent practicable, all equipment and products purchased with funds made available in this Act should be American-made.

(b) In providing financial assistance to, or entering into any contract with, any entity using funds made available in this Act, the head of each Federal agency, to the greatest extent practicable, shall provide to such entity a notice describing the statement made in subsection (a) by the Congress.

SEC. 416. None of the funds appropriated in this Act may be used to implement any cap on reimbursements to grantees for indirect costs, except as published in Office of Management and Budget Circular A-21.

SEC. 417. Such sums as may be necessary for fiscal year [2002] 2003 pay raises for programs funded by this Act shall be absorbed within the levels appropriated in this Act.

SEC. 418. None of the funds made available in this Act may be used for any program, project, or activity, when it is made known to the Federal entity or official to which the funds are made available that the program, project, or activity is not in compliance with any Federal law relating to risk assessment, the protection of private property rights, or unfunded mandates.

SEC. 419. Corporations and agencies of the Department of Housing and Urban Development which are subject to the Government Corporation Control Act, as amended, are hereby authorized to make such expenditures, within the limits of funds and borrowing authority available to each such corporation or agency and in accord with law, and to make such contracts and commitments without regard to fiscal year limitations as provided by section 104 of such Act as may be necessary in carrying out the programs set forth in the budget for [2002] 2003 for such corporation or agency except as hereinafter provided: *Provided*, That collections of these corporations and agencies may be used for new loan or mortgage purchase commitments only to the extent expressly provided for in this Act (unless such loans are in support of other forms of assistance provided for in this or prior appropriations Acts), except that this proviso shall not apply to the mortgage insurance or guaranty operations of these corporations, or where loans or mortgage purchases are necessary to protect the financial interest of the United States Government.

SEC. 420. Notwithstanding any other provision of law, the term "qualified student loan" with respect to national service education awards shall mean any loan determined by an institution of higher education to be necessary to cover a student's cost of attendance at such institution and made directly to a student by a State agency, in addition to other meanings under section 148(b)(7) of the National and Community Service Act.

[SEC. 421. Unless otherwise provided for in this Act or through a reprogramming of funds, no part of any appropriation for the De-

partment of Housing and Urban Development shall be available for any activity in excess of amounts set forth in the budget estimates submitted to Congress.]

[SEC. 422. None of the funds appropriated or otherwise made available by this Act shall be used to promulgate a final regulation to implement changes in the payment of pesticide tolerance processing fees as proposed at 64 Fed. Reg. 31040, or any similar proposals. The Environmental Protection Agency may proceed with the development of such a rule.]

[SEC. 423. The Environmental Protection Agency may not use any of the funds appropriated or otherwise made available by this Act to implement the Registration Fee system codified at 40 Code of Federal Regulations Subpart U (sections 152.400 et seq.) if its authority to collect maintenance fees pursuant to FIFRA section 4(i)(5) is extended for at least 1 year beyond September 30, 2001.]

SEC. [424] 421. Except in the case of entities that are funded solely with Federal funds or any natural persons that are funded under this Act, none of the funds in this Act shall be used for the planning or execution of any program to pay the expenses of, or otherwise compensate, non-Federal parties to lobby or litigate in respect to adjudicatory proceedings funded in this Act. A chief executive officer of any entity receiving funds under this Act shall certify that none of these funds have been used to engage in the lobbying of the Federal Government or in litigation against the United States unless authorized under existing law.

SEC. [425] 422. No part of any funds appropriated in this Act shall be used by an agency of the executive branch, other than for normal and recognized executive-legislative relationships, for publicity or propaganda purposes, and for the preparation, distribution or use of any kit, pamphlet, booklet, publication, radio, television or film presentation designed to support or defeat legislation pending before the Congress, except in presentation to the Congress itself.

SEC. 423. *Title 38 of the United States Code is amended by adding the following new section 1722B:*

"Deductible for certain veterans who do not have a service-connected disability and higher incomes

"(a) Subject to subsection (d) of this section, the Secretary may not furnish care or services authorized by this chapter (other than by sections 1708, 1711(a), 1712A, 1712B, 1718, 1720D, 1720E) to a veteran eligible for care under section 1710(a)(3), unless the veteran agrees to pay the United States an amount determined in subsection (b) of this section.

"(b) A veteran who agrees to pay an amount under subsection (a) shall be liable to the United States for an amount equal to forty-five percent of the amount of the reasonable charges, established by the Secretary under section 1729(a)(1) for each specific type of care or service the veteran receives for which the Secretary has established a reasonable charge, up to a maximum of \$1500 in any calendar year.

"(c) A veteran shall not be liable to the United States for any amount under subsections (f) and (g) of section 1710, and subsection (c) of section 1710B for care or services for which the veteran agrees to pay the amount determined under subsection (b).

"(d) Amounts collected under this section shall be deposited in the Department of Veterans Affairs Medical Care Collections Fund."

[SEC. 426. None of the funds provided in title II for technical assistance, training, or management improvements may be obligated or expended unless HUD provides to the Committees on Appropriations a description of each proposed activity and a detailed budget estimate of the costs associated with each activity as part of the Budget Justifications. For fiscal year 2002, HUD shall transmit this information to the Committees by January 8, 2002 for 30 days of review.]

[SEC. 427. All Departments and agencies funded under this Act are encouraged, within the limits of the existing statutory authorities and funding, to expand their use of "E-Commerce" technologies and procedures in the conduct of their business practices and public service activities.]

[SEC. 428. Section 104(n)(4) of the Cerro Grande Fire Assistance Act (Public Law 106-246) is amended by striking "beginning not later than the expiration of the 1-year period beginning on the date of the enactment of this Act." and inserting "within 120 days after the Director issues the report required by subsection (n) in 2002 and 2003."]

[SEC. 429. None of the funds provided by this Act may be used for the purpose of implementing any administrative proposal that would require military retirees to make an "irrevocable choice" for any specified period of time between Department of Veterans Affairs

or military health care under the new TRICARE for Life plan authorized in the Floyd D. Spence National Defense Authorization Act for Fiscal Year 2001 (as enacted into law by Public Law 106-398).]

[SEC. 430. None of the funds appropriated by this Act may be used to delay the national primary drinking water regulation for Arsenic published on January 22, 2001, in the Federal Register (66 Fed. Reg. pages 6976 through 7066, amending parts 141 through 142 of title 40 of the Code of Federal Regulations).]

[SEC. 431. Subtitle B of title VI of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5197-5197g) is amended by adding at the end the following:

“SEC. 629. MINORITY EMERGENCY PREPAREDNESS DEMONSTRATION PROGRAM.

“(a) IN GENERAL.—The Director shall establish a minority emergency preparedness demonstration program to research and promote the capacity of minority communities to provide data, information, and awareness education by providing grants to or executing contracts or cooperative agreements with eligible nonprofit organizations to establish and conduct such programs.

“(b) ACTIVITIES SUPPORTED.—An eligible nonprofit organization may use a grant, contract, or cooperative agreement awarded under this section—

“(1) to conduct research into the status of emergency preparedness and disaster response awareness in African American and Hispanic households located in urban, suburban, and rural communities, particularly in those States and regions most impacted by natural and manmade disasters and emergencies; and

“(2) to develop and promote awareness of emergency preparedness education programs within minority communities, including development and preparation of culturally competent educational and awareness materials that can be used to disseminate information to minority organizations and institutions.

“(c) ELIGIBLE ORGANIZATIONS.—A nonprofit organization is eligible to be awarded a grant, contract, or cooperative agreement under this section with respect to a program if the organization is a nonprofit organization that is described in section 501(c)(3) of the Internal Revenue Code of 1986 (26 U.S.C. 501(c)(3)) and exempt from tax under section 501(a) of such Code, whose primary mission is to provide services to communities predominately populated by minority citizens, and that can demonstrate a partnership with a minority-owned business enterprise or minority business located in a HUBZone (as defined in section 3(p) of the Small Business Act (15 U.S.C. 632(p))) with respect to the program.

“(d) USE OF FUNDS.—A recipient of a grant, contract, or cooperative agreement awarded under this section may only use the proceeds of the grant, contract, or agreement to—

“(1) acquire expert professional services necessary to conduct research in communities predominately populated by minority citizens, with a primary emphasis on African American and Hispanic communities;

“(2) develop and prepare informational materials to promote awareness among minority communities about emergency preparedness and how to protect their households and communities in advance of disasters;

“(3) establish consortia with minority national organizations, minority institutions of higher education, and faith-based institutions to disseminate information about emergency preparedness to minority communities; and

“(4) implement a joint project with a minority serving institution, including a part B institution (as defined in section 322(2) of the Higher Education Act of 1965 (20 U.S.C. 1061(2))), an institution described in subparagraph (A), (B), or (C) of section 326 of that Act (20 U.S.C. 1063b(e)(1)(A), (B), or (C)), and a Hispanic-serving

institution (as defined in section 502(a)(5) of that Act (20 U.S.C. 1101a(a)(5))).

“(e) APPLICATION AND REVIEW PROCEDURE.—To be eligible to receive a grant, contract, or cooperative agreement under this section, an organization must submit an application to the Director at such time, in such manner, and accompanied by such information as the Director may reasonably require. The Director shall establish a procedure by which to accept such applications.

“(f) AUTHORIZATION OF APPROPRIATION.—There is authorized to be appropriated to carry out this section \$1,500,000 for fiscal year 2002 and such funds as may be necessary for fiscal years 2003 through 2007. Such sums shall remain available until expended.”.]

[SEC. 432. None of the funds made available by this Act may be used to implement or enforce the requirement under section 12(c) of the United States Housing Act of 1937, as amended (42 U.S.C. 1437j(c)) relating to community service, except with respect to any resident of a public housing project funded with any amounts provided under section 24 of the United States Housing Act of 1937, as amended, or any predecessor program for the revitalization of severely distressed public housing (HOPE VI).]

[SEC. 433. Section 1301 of title XIII of division B of H.R. 5666, as enacted by section 1(a)(4) of Public Law 106-554, is amended by striking “facilities” and inserting in lieu thereof “facilities, including the design and construction of such facilities.”.]

[SEC. 434. The amounts subject to the fifth proviso under the heading, “Emergency Response Fund”, in Public Law 107-38, which are available for transfer to the Department of Housing and Urban Development 15 days after the Director of the Office of Management and Budget has submitted to the House and Senate Committees on Appropriations a proposed allocation and plan for use of the funds for the Department, may be used for purposes of “Community Development Block Grants”, as authorized by title I of the Housing and Community Development Act of 1974, as amended: *Provided*, That such funds may be awarded to the State of New York for assistance for properties and businesses damaged by, and for economic revitalization related to, the September 11, 2001 terrorist attacks on New York City, for the affected area of New York City, and for reimbursement to the State and City of New York for expenditures incurred from the regular Community Development Block Grant formula allocation used to achieve these same purposes: *Provided further*, That the State of New York is authorized to provide such assistance to the City of New York: *Provided further*, That in administering these funds and funds under section 108 of such Act used for economic revitalization activities in New York City, the Secretary may waive, or specify alternative requirements for, any provision of any statute or regulation that the Secretary administers in connection with the obligation by the Secretary or the use by the recipient of these funds or guarantees (except for requirements related to fair housing, non-discrimination, labor standards, and the environment), upon a finding that such waiver is required to facilitate the use of such funds or guarantees, and would not be inconsistent with the overall purpose of the statute or regulation: *Provided further*, That such funds shall not adversely affect the amount of any formula assistance received by the State of New York, New York City, or any categorical application for other Federal assistance: *Provided further*, That the Secretary shall publish in the Federal Register any waiver of any statute or regulation that the Secretary administers pursuant to title I of the Housing and Community Development Act of 1974, as amended, no later than 5 days before the effective date of such waiver: *Provided further*, That the Secretary shall notify the Committees on Appropriations on the proposed allocation of any funds and any related waivers pursuant to this section no later than 5 days before such allocation.] (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

CORPS OF ENGINEERS—CIVIL WORKS

CORPS OF ENGINEERS—CIVIL WORKS

The following appropriations shall be expended under the direction of the Secretary of the Army and the supervision of the Chief of Engineers for authorized civil functions of the Department of the Army pertaining to rivers and harbors, flood control, [beach erosion] shore protection, and related purposes. (*Energy and Water Development Appropriations Act, 2002.*)

Federal Funds

General and special funds:

GENERAL INVESTIGATIONS CONSOLIDATED PROGRAM

(Budget authority in millions of dollars)				
General investigations:	2001 actual	2002 est.	2003 est.	
Direct program:				
General fund	165	159	108	
Omnibus Appropriation (P.L. 106-554)	1	--	--	
Rivers and harbors contributed funds (cost sharing, permanent appropriation)	31	33	24	
Total direct program:	197	192	132	
Reimbursable program	29	9	9	
Total program:				
Total program	226	201	141	
Total program, excluding retiree cost proposal	221	196	134	

GENERAL INVESTIGATIONS

For expenses necessary for the collection and study of basic information pertaining to river and harbor, flood control, shore protection, and related projects, restudy of authorized projects, miscellaneous investigations, and, when authorized by laws, surveys and detailed studies and plans and specifications of projects prior to construction, **[\$154,350,000] \$108,000,000**, to remain available until expended: *Provided*, That the Secretary of the Army, acting through the Chief of Engineers, is directed to use funds appropriated herein to continue preconstruction engineering and design of the Murrieta Creek, California, flood protection and environmental enhancement project and is further directed to continue with the project in accordance with cost sharing established for the Murrieta Creek project in Public Law 106-377: *Provided further*, That the Secretary of the Army, acting through the Chief of Engineers, is directed to use the feasibility report prepared under the authority of section 205 of the Flood Control Act of 1948, as amended, as the basis for the Rock Creek-Keefer Slough Flood Control Project, Butte County, California, and is further directed to use funds appropriated herein for preconstruction engineering and design of the project: *Provided further*, That in conducting the Southwest Valley Flood Damage Reduction Study, Albuquerque, New Mexico, the Secretary of the Army, acting through the Chief of Engineers, shall include an evaluation of flood damage reduction measures that would otherwise be excluded from the feasibility analysis based on policies regarding the frequency of flooding, the drainage areas, and the amount of runoff: *Provided further*, That the Secretary of the Army, acting through the Chief of Engineers, is directed to conduct studies for flood damage reduction, environmental protection, environmental restoration, water supply, water quality, and other purposes in Tuscaloosa County, Alabama, and shall provide a comprehensive plan for the development, conservation, disposal, and utilization of water and related land resources, for flood damage reduction and allied purposes, including the determination of the need for a reservoir to satisfy municipal and industrial water supply needs: *Provided further*, That using \$1,000,000 of the funds provided herein, the Secretary of the Army, acting through the Chief of Engineers, is directed to conduct a comprehensive watershed study at full Federal expense to provide a framework for implementing activities to improve environmental quality of the Lake Tahoe Basin and the Secretary shall submit a feasibility level report within 30 months of enactment of this Act: *Provided further*, That appendix D, chapter 5 of Public Law 106-554 is amended in the last sentence under the subheading titled

“General Investigations” by striking “a cost shared feasibility study of” and inserting “planning, engineering and design activities for”. (*Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)				
Identification code 96-3121-0-1-301	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Direct program:				
Surveys and planning:				
00.01	Navigation, flood damage prevention, and shoreline protection studies	37	41	35
00.02	Comprehensive basin studies	1	3	3
00.03	Special studies	24	30	30
00.04	Review of authorized projects	7	6	6
00.05	Cooperation with other Federal agencies and non-Federal interests	8	11	11
00.07	Preconstruction engineering and design	46	41	35
Collection and study of basic data:				
00.08	Flood plain management services	14	7	6
00.09	Other programs	6	6	6
00.10	Research and development	20	20	15
09.11	Reimbursable Program Activity	29	9	9
10.00	Total new obligations	192	174	156
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	47	52	46
22.00	New budget authority (gross)	197	168	117
23.90	Total budgetary resources available for obligation	244	220	163
23.95	Total new obligations	-192	-174	-156
24.40	Unobligated balance carried forward, end of year	52	46	7
New budget authority (gross), detail:				
Discretionary:				
Appropriation:				
40.00	Appropriation	165	159	108
40.00	Appropriation (P.L. 106-554)	1		
43.00	Appropriation (total discretionary)	166	159	108
Spending authority from offsetting collections:				
68.00	Offsetting collections (cash)	27	9	9
68.10	Change in uncollected customer payments from Federal sources (unexpired)	4		
68.90	Spending authority from offsetting collections (total discretionary)	31	9	9
70.00	Total new budget authority (gross)	197	168	117
Change in obligated balances:				
72.40	Obligated balance, start of year	27	27	16
73.10	Total new obligations	192	174	156
73.20	Total outlays (gross)	-188	-185	-138
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-4		
74.40	Obligated balance, end of year	27	16	34
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	114	102	72
86.93	Outlays from discretionary balances	74	83	66
87.00	Total outlays (gross)	188	185	138
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-27	-9	-9
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-4		
Net budget authority and outlays:				
89.00	Budget authority	166	159	108
90.00	Outlays	161	176	129

General and special funds—Continued

GENERAL INVESTIGATIONS—Continued

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	161	154	103
90.00 Outlays	156	171	124

This appropriation funds studies to determine the need, engineering feasibility, economic justification, and the environmental and social suitability of solutions to water and related land resource problems; and for preconstruction engineering and design work, data collection, and interagency coordination and research activities.

Object Classification (in millions of dollars)

Identification code 96-3121-0-1-301	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	32	34	34
11.3 Other than full-time permanent	2	1	1
11.5 Other personnel compensation	2	2	2
11.7 Military personnel	2	1	1
11.9 Total personnel compensation	38	38	38
12.1 Civilian personnel benefits	20	16	17
13.0 Benefits for former personnel	1	1	1
21.0 Travel and transportation of persons	3	3	3
23.1 Rental payments to GSA	4	4	4
23.3 Communications, utilities, and miscellaneous	2	2	2
24.0 Printing and reproduction	3	3	3
25.2 Other services	73	74	58
25.3 Purchase of goods and services from Government accounts	11	17	14
25.5 Research and development contracts	4	4	4
26.0 Supplies and materials	2	2	2
31.0 Equipment	2	1	1
99.0 Direct obligations	163	165	147
99.0 Reimbursable obligations	29	9	9
99.9 Total new obligations	192	174	156

Personnel Summary

Identification code 96-3121-0-1-301	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	1,148	1,148	1,036

CONSTRUCTION, GENERAL CONSOLIDATED PROGRAM

(Budget authority in millions of dollars)

	2001 actual	2002 est.	2003 est.
Construction, general:			
Direct program:			
General fund	1618	1647	1340
Omnibus Appropriation (P.L. 106-554)	3		
Rescission (P.L. 106-554)	-4		
Harbor Maintenance Trust Fund	8	8	15
Inland Waterways Trust Fund	111	81	85
Rivers and harbors contributed funds (costsharing, permanent appropriation)	233	266	222
Total direct program	1969	2002	1662
Reimbursable program	689	426	434
Total program			
Total program	2658	2428	2096
Total program, excluding retiree cost proposal	2638	2408	2074

CONSTRUCTION, GENERAL

For the prosecution of river and harbor, flood control, shore protection, and related projects authorized by laws; and detailed studies,

and plans and specifications, of projects (including those for development with participation or under consideration for participation by States, local governments, or private groups) authorized or made eligible for selection by law (but such studies shall not constitute a commitment of the Government to construction), **[\$1,715,951,000]** \$1,440,000,000, to remain available until expended, of which such sums as are necessary for the Federal share of construction costs for facilities under the Dredged Material Disposal Facilities program shall be derived from the Harbor Maintenance Trust Fund, as authorized by Public Law 104-303; and of which such sums as are necessary pursuant to Public Law 99-662 shall be derived from the Inland Waterways Trust Fund, for one-half of the costs of construction and rehabilitation of inland waterways projects, including rehabilitation costs for the Lock and Dam 11, Mississippi River, Iowa; Lock and Dam 12, Mississippi River, Iowa; Lock and Dam 24, Mississippi River, Illinois and Missouri; Lock and Dam 3, Mississippi River, Minnesota; and London Locks and Dam, Kanawha River, West Virginia, projects[]; and of which funds are provided for the following projects in the amounts specified:

San Timoteo Creek (Santa Ana River Mainstem), California, \$8,000,000;

Indianapolis Central Waterfront, Indiana, \$9,000,000;

Southern and Eastern Kentucky, Kentucky, \$4,000,000;

Clover Fork, City of Cumberland, Town of Martin, Pike County (including Levisa Fork and Tug Fork Tributaries), Bell County, Floyd County, Martin County, and Harlan County, Kentucky, elements of the Levisa and Tug Forks of the Big Sandy River and Upper Cumberland River, Kentucky, \$15,450,000; and

Lower Mingo County (Kermit), Upper Mingo County (including County Tributaries), Wayne County, and McDowell County, West Virginia, elements of the Levisa and Tug Forks of the Big Sandy River and Upper Cumberland River project, \$5,900,000;

Provided, That using \$1,000,000 of the funds appropriated herein, the Secretary of the Army, acting through the Chief of Engineers, is directed to modify the Carr Creek Lake, Kentucky, project at full Federal expense to provide additional water supply storage for the Upper Kentucky River Basin: *Provided further*, That with \$1,200,000 of the funds appropriated herein, the Secretary of the Army, acting through the Chief of Engineers, is directed to undertake design deficiency repairs to the Bois Brule Drainage and Levee District, Missouri, project, authorized and constructed under the authority of the Flood Control Act of 1936 with cost sharing consistent with the original project authorization: *Provided further*, That in accordance with section 332 of the Water Resources Development Act of 1999, the Secretary of the Army is directed to increase the authorized level of protection of the Bois Brule Drainage and Levee District, Missouri, project from 50 years to 100 years using \$700,000 of the funds appropriated herein, and the project costs allocated to the incremental increase in the level of protection shall be cost shared consistent with section 103(a) of the Water Resources Development Act of 1986, notwithstanding section 202(a) of the Water Resources Development Act of 1996: *Provided further*, That using \$200,000 of the funds provided herein, the Secretary of the Army, acting through the Chief of Engineers, is directed to conduct, at full Federal expense, technical studies of individual ditch systems identified by the State of Hawaii, and to assist the State in diversification by helping to define the cost of repairing and maintaining selected ditch systems: *Provided further*, That the Secretary of the Army, acting through the Chief of Engineers, is directed to use \$1,300,000 of the funds appropriated herein to continue construction of the navigation project at Kaunapali Harbor, Hawaii: *Provided further*, That with \$800,000 of the funds provided herein, the Secretary of the Army, acting through the Chief of Engineers, is directed to continue preparation of a General Reevaluation Report of the Oak Island, Caswell Beach, and Holden Beach segments of the Brunswick County Beaches project in North Carolina: *Provided further*, That the Secretary of the Army, acting through the Chief of Engineers, is directed to use \$500,000 to undertake the Bowie County Levee Project, which is defined as Alternative B Local Sponsor Option, in the Corps of Engineers document entitled Bowie County Local Flood Protection, Red River, Texas, Project Design Memorandum No. 1, Bowie County Levee, dated April 1997: *Provided further*, That the Secretary of the Army is directed to use \$4,000,000 of the funds provided herein for the Dam Safety and Seepage/Stability Correction Program to continue construction of seepage control features at Waterbury Dam, Vermont: *Provided further*, That the Secretary of the Army, acting through the Chief of Engineers, using up to \$200,000 of the funds provided herein, is directed to complete the Aloha-Rigolette, Louisiana, project at full

Federal expense: *Provided further*, That using \$500,000 of the funds provided herein, the Secretary of the Army, acting through the Chief of Engineers, is directed to proceed with the Shoalwater Bay Shoreline, Washington, project: *Provided further*, That all studies for the Shoalwater Bay Shoreline project shall be cost shared in the same proportion as the construction implementation costs: *Provided further*, That using \$2,500,000 of the funds provided herein, the Secretary of the Army, acting through the Chief of Engineers, is directed to proceed with a final design and initiate construction for the repair and replacement of the Jicarilla Municipal Water System in the town of Dulce, New Mexico: *Provided further*, That using \$750,000 of the funds provided herein, the Secretary of the Army, acting through the Chief of Engineers, is directed to proceed with the Missouri River Restoration Project and that erosion control measures implemented shall be primarily through nonstructural means such as planting of native vegetation, buffer strips, conservation easements, setbacks, and agricultural best management practices: *Provided further*, That with \$10,000,000 of the funds provided herein, the Secretary of the Army, acting through the Chief of Engineers, is directed to construct the Dallas Floodway Extension, Texas, project, including the Cadillac Heights feature, generally in accordance with the Chief of Engineers report dated December 7, 1999: *Provided further*, That the deadline for the report required under section 154(g) of Public Law 106-554 is extended to December 31, 2002: *Provided further*, That the Secretary of the Army, acting through the Chief of Engineers, is directed to use unexpended funds appropriated in Public Law 105-62, under the heading Construction, General for Salyersville, Kentucky, to construct additional recreation improvements at the Buckhorn Lake, Kentucky, project: *Provided further*, That using \$1,000,000 of the funds provided herein, the Secretary of the Army, acting through the Chief of Engineers, is directed to initiate construction on the Seward Harbor, Alaska, project in accordance with the Report of the Chief of Engineers dated June 8, 1999 and the economic justification contained therein: *Provided further*, That the Secretary of the Army, acting through the Chief of Engineers, is directed to use up to \$900,000 of funds previously appropriated to reimburse the City of Venice, Florida, for the costs incurred by the City prior to October 1998 for work accomplished by the City related to the relocation of the stormwater outfalls and the construction of the artificial reef that comprises an integral part of the project for beach nourishment, in Sarasota County, Florida: *Provided further*, That the Secretary of the Army, acting through the Chief of Engineers, is directed to use funds appropriated herein, for emergency bank stabilization measures at Lakeshore Park in Knoxville, Tennessee: *Provided further*, That the Secretary of the Army, acting through the Chief of Engineers, is directed to continue the Dickenson County Detailed Project Report as generally defined in Plan 4 of the Huntington District Engineer's Draft Supplement to the Section 202 General Plan for Flood Damage Reduction dated April 1997, including all Russell Fork tributary streams within the County and special considerations as may be appropriate to address the unique relocations and resettlement needs for the flood prone communities within the County: *Provided further*, That, with respect to the environmental infrastructure project in Lebanon, New Hampshire, for which funds are made available under this heading, the non-Federal interest shall receive credit toward the non-Federal share of the cost of the project for work performed before the date of execution of the project cooperation agreement, if the Secretary determines the work is integral to the project: *Provided further*, That, for the Raritan River Basin, Green Brook Sub-Basin, New Jersey, project, the Secretary of the Army, acting through the Chief of Engineers, is directed to implement the locally preferred plan for the element in the western portion of Middlesex Borough, New Jersey, which includes the buyout of up to 22 homes, the flood proofing of four commercial buildings along Prospect Place and Union Avenue, and the buyout of up to three commercial buildings along Raritan and Lincoln Avenues, at a total estimated cost of \$15,000,000, with an estimated Federal cost of \$11,500,000 and an estimated non-Federal cost of \$3,500,000. (Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)		2001 actual	2002 est.	2003 est.
Identification code 96-3122-0-1-301				
Obligations by program activity:				
Direct program:				
Navigation projects:				
Channels and harbors:				
00.04	Projects specifically authorized by Congress	251	243	244
00.05	Projects not specifically authorized by Congress			
	11	7	7
00.09	Locks and dams	222	191	191
Beach erosion control projects:				
00.12	Projects specifically authorized by Congress	94	97	97
00.13	Projects not specifically authorized by Congress	4	5	5
Flood control projects:				
Local protection:				
00.16	Projects specifically authorized by Congress	507	407	408
00.17	Projects not specifically authorized by Congress			
	31	28	28
00.18	Emergency streambank and shoreline protection	12	7	7
00.22	Reservoirs	18	7	7
00.25	Multiple-purpose power projects	23	24	24
Major rehabilitation and dam safety assurance projects:				
00.27	Navigation	11	28	28
00.28	Flood control	38	16	16
00.29	Multiple-purpose power projects	30	59	59
00.33	Employees' compensation	18	19	19
00.34	Environmental Projects	266	309	309
00.35	Project modification for environmental restoration	33	20	20
00.36	Aquatic plant control	4	3	3
00.37	Aquatic Ecosystems	20	14	14
00.39	Beneficial Uses of Dredged Material	2	1	1
01.00	Direct Program Subtotal	1,595	1,485	1,487
09.00	Reimbursable Program	693	430	437
10.00	Total new obligations	2,288	1,915	1,924
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	717	865	1,025
22.00	New budget authority (gross)	2,436	2,077	1,777
22.21	Unobligated balance transferred to other accounts		-2	
23.90	Total budgetary resources available for obligation	3,153	2,940	2,802
23.95	Total new obligations	-2,288	-1,915	-1,924
24.40	Unobligated balance carried forward, end of year ()	865	1,025	878
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation (P.L. 106-377)	1,618	1,647	1,340
40.15	Appropriation (PL 106-554)	3		
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)	-4		
43.00	Appropriation (total discretionary)	1,617	1,647	1,340
Spending authority from offsetting collections:				
68.00	Offsetting collections (Cash)	554	430	437
68.10	Change in uncollected customer payments from Federal sources (unexpired)	265		
68.90	Spending authority from offsetting collections (total discretionary)	819	430	437
70.00	Total new budget authority (gross)	2,436	2,077	1,777
Change in obligated balances:				
72.40	Obligated balance, start of year	-154	-295	-471
73.10	Total new obligations	2,288	1,915	1,924
73.20	Total outlays (gross)	-2,164	-2,091	-1,896
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-265		
74.40	Obligated balance, end of year	-295	-471	-443
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	1,601	1,256	1,075
86.93	Outlays from discretionary balances	563	835	821
87.00	Total outlays (gross)	2,164	2,091	1,896

General and special funds—Continued

CONSTRUCTION, GENERAL—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 96-3122-0-1-301	2001 actual	2002 est.	2003 est.
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	- 554	- 430	- 437
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	- 265		
Net budget authority and outlays:			
89.00 Budget authority	1,617	1,647	1,340
90.00 Outlays	1,611	1,661	1,459

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	1,598	1,628	1,319
90.00 Outlays	1,592	1,642	1,438

This appropriation funds construction, major rehabilitation and related activities for water resources development projects having navigation, flood control, environmental restoration, and other benefits to the Nation. See "Inland Waterways Trust Fund" and "Harbor Maintenance Trust Fund" for the construction costs financed through those accounts.

The budget focuses on completing priority ongoing construction projects, rather than starting new projects that would add to the existing backlog of ongoing construction work and would increase delays in completing ongoing projects. The budget includes funds to continue Corps of Engineers participation in the Federal program to mitigate the effects of the Federal Columbia River system on the migration of threatened and endangered Pacific Northwest Salmon species. It also includes funds to continue Corps of Engineers projects to restore the Everglades ecosystem, including both ongoing construction projects and the Comprehensive Everglades Restoration Plan (CERP).

This account includes \$37 million for the CERP, which represents 2.6 percent of the total request for this account and less than one percent of the total 2003 request for the Corps of Engineers. Funding the CERP at the proposed level would not have a significant impact on the overall Corps of Engineers civil works program in the 2003 budget year. The budget assumes continuation of the study and design phases for CERP projects over the next few years, which would not have a significant impact on the overall Corps of Engineers civil works program. Future levels of funding for construction of CERP projects will depend on the availability of funds, and the impact of such future long-term funding on the overall Corps of Engineers civil works program cannot be determined at this time.

The budget provides funds for the Continuing Authorities Program (projects that do not require specific legislation), which includes projects for flood control (Section 205), emergency streambank and shoreline protection (Section 14), beach erosion control (Section 103), mitigation of shore damages (Section 111), navigation (Section 107), snagging and clearing (Section 208), aquatic ecosystem restoration (Section 206), beneficial uses of dredged material (Section 204), and project modifications for improvement of the environment (Section 1135).

Object Classification (in millions of dollars)

Identification code 96-3122-0-1-301	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	108	77	77
11.3 Other than full-time permanent	2	6	6
11.5 Other personnel compensation	5	5	5
11.7 Military personnel	4	4	4
11.9 Total personnel compensation	119	92	92
12.1 Civilian personnel benefits	48	45	47
Military personnel benefits:			
12.2 Accrued retirement	4	4	4
12.2 Other personnel	2	2	2
21.0 Travel and transportation of persons	12	12	12
23.1 Rental payments to GSA	15	15	15
23.3 Communications, utilities, and miscellaneous	7	7	7
24.0 Printing and reproduction	7	7	7
25.1 Advisory and assistance services	125	115	115
25.2 Other services	674	619	619
25.3 Purchase of goods and services from Government accounts	60	60	60
25.5 Research and development contracts	10	9	9
26.0 Supplies and materials	12	12	12
31.0 Equipment	20	16	16
32.0 Land and structures	480	470	470
99.0 Direct obligations	1,595	1,485	1,487
99.0 Reimbursable obligations	693	430	437
99.9 Total new obligations	2,288	1,915	1,924

Personnel Summary

Identification code 96-3122-0-1-301	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	3,914	3,935	3,529
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	806	806	806

OPERATION AND MAINTENANCE, GENERAL CONSOLIDATED PROGRAM

[Budget authority in millions of dollars]

	2001 actual	2002 est.	2003 est.
Operation and maintenance, general:			
Direct program:			
General fund	1292	1166	1196
Rescission (P.L. 106-554)	-4		
Supplemental (P.L. 107-20)	86		
Emergency Supplemental (P.L. 107-117)		139	
Special recreation user fees	30	38	34
Harbor Maintenance Trust Fund	645	739	749
Rivers and harbors contributed funds (cost sharing, permanent appropriation)	48	49	49
Bonneville Power Administration transfer	98	114	118
PMA direct funding proposal			149
Total direct program	2195	2245	1997
Reimbursable program:			
Reimbursable program	105	60	60
PMA direct funding proposal			149
Total program:			
Total program	2297	2305	2206
Total program, excluding retiree cost proposal	2235	2241	2141

OPERATION AND MAINTENANCE, GENERAL

For expenses necessary for the protection, preservation, operation, maintenance, and care of existing river and harbor, flood control, and related works, including such sums as may be necessary for the maintenance of harbor channels provided by a State, municipality or other public agency, outside of harbor lines, and serving essential needs of general commerce and navigation; surveys and charting of northern and northwestern lakes and connecting waters; clearing and straightening channels; and removal of obstructions to navigation, **[\$1,874,803,000] \$1,979,000,000**, to remain available until expended,

of which such sums as become available in the Harbor Maintenance Trust Fund, pursuant to Public Law 99-662, may be derived from that Fund, and of which such sums as become available from the special account established by the Land and Water Conservation Act of 1965, as amended (16 U.S.C. 4601), may be derived from that account for construction, operation, and maintenance of outdoor recreation facilities: *Provided*, That the Secretary of the Army, acting through the Chief of Engineers, is directed, within funds available for the Mobile Harbor, Alabama, project, to remove, transport, dispose, and remediate contaminated sediments in and adjacent to the Federal navigation projects for the Arlington Channel and the Garrows Bend Channel at Federal expense, and a non-Federal sponsor shall provide all necessary lands, easements, rights-of-way, and relocations that may be required for the disposal of dredged material: *Provided further*, That using funds appropriated herein, the Secretary of the Army, acting through the Chief of Engineers, is directed to perform cultural resource mitigation and recreation improvements at Waco Lake, Texas, at full Federal expense notwithstanding the provisions of the Water Supply Act of 1958: *Provided further*, That the Secretary of the Army, acting through the Chief of Engineers, is directed to use funds appropriated herein to grade the basin within the Hansen Dam feature of the Los Angeles County Drainage Area, California, project to enhance and maintain flood capacity and to provide for future use of the basin for compatible purposes consistent with the Master Plan, including recreation and environmental restoration: *Provided further*, That the Secretary of the Army, acting through the Chief of Engineers, is directed to use funds appropriated herein to fully investigate the development of an upland disposal site recycling program on the Black Warrior and Tombigbee Rivers, Alabama-Coosa Rivers, and the Mobile River projects: *Provided further*, That of funds appropriated herein for the Intracoastal Waterway, Delaware River to Chesapeake Bay, Delaware and Maryland, the Secretary of the Army, acting through the Chief of Engineers, is directed to reimburse the State of Delaware for normal operation and maintenance costs incurred by the State of Delaware for the SR1 Bridge from station 58+00 to station 293+00 between May 12, 1997 and September 30, 2002. Reimbursement costs shall not exceed \$1,277,000: *Provided further*, That the Secretary of the Army, acting through the Chief of Engineers, is directed to use funds appropriated herein to remove and reinstall the docks and causeway, in kind, and continue breakwater repairs at Astoria East Boat Basin, Oregon: *Provided further*, That using funds appropriated herein, the Secretary of the Army, acting through the Chief of Engineers, is directed to dredge a channel from the mouth of Wheeling Creek to Tunnel Green Park in Wheeling, West Virginia: *Provided further*, That the project for the Apalachicola, Chattahoochee, and Flint Rivers Navigation, authorized by section 2 of the River and Harbor Act of March 2, 1945 (Public Law 79-14) and modified by the first section of the River and Harbor Act of 1946 (60 Stat. 635, chapter 595), is modified to authorize the Secretary, as part of navigation maintenance activities, to develop and implement a plan to be integrated into the long-term dredged material management plan being developed for the Corley Slough reach, as required by conditions of the State of Florida water quality certification, for periodically removing sandy dredged material from the disposal area known as Site 40, located at mile 36.5 of the Apalachicola River, and from other disposal sites that the Secretary may determine to be needed for the purpose of reuse of the disposal areas, by transporting and depositing the sand for environmentally acceptable beneficial uses in coastal areas of Florida to be determined in coordination with the State of Florida: *Provided further*, That the Secretary is authorized to acquire all lands, easements, and rights-of-way that may be determined by the Secretary, in consultation with the affected State, to be required for dredged material disposal areas to implement a long-term dredge material management plan: *Provided further*, That the long-term dredge material management plan shall be developed in coordination with the State of Florida no later than 2 years from the date of enactment of this Act: *Provided further*, That, of the funds herein, \$4,900,000 shall be made available for these purposes and \$8,000,000 shall be made available for normal operation and maintenance of the Apalachicola, Chattahoochee, and Flint Rivers navigation project]. (*Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Operations and Maintenance, General", \$139,000,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (*Emergency Supplemental Act, 2002.*)

Unavailable Collections (in millions of dollars)

Identification code 96-3123-0-1-300	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	29	36	37
Receipts:			
Offsetting receipts (proprietary):			
02.20 Special recreation use fees	37	38	38
02.20 Special recreation use fees, legislative proposal subject to PAYGO			6
02.21 User fees, Fund for non-Federal use of disposal facilities		1	1
02.99 Total receipts and collections	37	39	45
04.00 Total: Balances and collections	66	75	82
Appropriations:			
05.00 Operation and maintenance, general	-30	-38	-34
05.99 Total appropriations	-30	-38	-34
07.99 Balance, end of year	36	37	48

Note.—The receipts shown in this schedule are on deposit in Treasury account 96-5007, "Special Recreation user fees".

Program and Financing (in millions of dollars)

Identification code 96-3123-0-1-300	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
Operation and maintenance projects:			
Navigation projects:			
00.01 Channels and harbors	98	99	99
00.02 Locks and dams	406	425	427
Flood control projects:			
00.05 Reservoirs	364	353	354
00.06 Channel improvements, inspections, and miscellaneous maintenance	27	36	36
00.09 Multiple-purpose power projects	503	370	360
00.91 Total operation and maintenance projects	1,398	1,283	1,276
Miscellaneous items:			
01.01 Protection of navigation	45	45	45
01.02 National emergency preparedness	10	148	5
01.05 Special programs to improve operation and maintenance	25	38	37
01.91 Total miscellaneous items	80	231	87
01.92 Total direct program	1,478	1,514	1,363
09.00 Reimbursable Program Activity	105	60	60
10.00 Total new obligations	1,583	1,574	1,423
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	25	77	20
22.00 New budget authority (gross)	1,633	1,517	1,408
23.90 Total budgetary resources available for obligation	1,658	1,594	1,428
23.95 Total new obligations	-1,583	-1,574	-1,423
24.40 Unobligated balance carried forward, end of year	77	20	5
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1,292	1,166	1,196
Appropriation (emergency):			
40.15 Appropriation (emergency)		139	
40.15 Appropriation (PL 107-20)	86		
40.20 Appropriation (SRUF)	27	34	34
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-4		
43.00 Appropriation (total discretionary)	1,401	1,339	1,230
Mandatory:			
60.20 Appropriation (special fund)	3	4	
Spending authority from offsetting collections:			
Discretionary:			
Offsetting collections (cash):			
68.00 Offsetting collections (cash)	106	60	60
68.00 Offsetting collections (Bonneville Power)	98	114	118
68.10 Change in uncollected customer payments from Federal sources (unexpired)	25		
68.90 Spending authority from offsetting collections (total discretionary)	229	174	178
70.00 Total new budget authority (gross)	1,633	1,517	1,408

General and special funds—Continued

OPERATION AND MAINTENANCE, GENERAL—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 96-3123-0-1-300	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year	248	205	33
73.10 Total new obligations	1,583	1,574	1,423
73.20 Total outlays (gross)	-1,601	-1,746	-1,424
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-25		
74.40 Obligated balance, end of year	205	33	32
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1,328	1,342	1,247
86.93 Outlays from discretionary balances	273	401	173
86.98 Outlays from mandatory balances		3	4
87.00 Total outlays (gross)	1,601	1,746	1,424
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 Other Federal sources (Cash)	-106	-60	-60
88.00 Other Federal sources (BPA)	-98	-114	-118
88.90 Total, offsetting collections (cash)	-204	-174	-178
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-25		
Net budget authority and outlays:			
89.00 Budget authority	1,404	1,343	1,230
90.00 Outlays	1,397	1,572	1,246
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	1,351	1,288	1,174
90.00 Outlays	1,344	1,517	1,190
Summary of Budget Authority and Outlays			
(in millions of dollars)			
Enacted/requested:	2001 actual	2002 est.	2003 est.
Budget Authority	1,404	1,343	1,230
Outlays	1,397	1,572	1,246
Legislative proposal, not subject to PAYGO:			
Budget Authority			-149
Outlays			-149
Total:			
Budget Authority	1,404	1,343	1,081
Outlays	1,397	1,572	1,097

This appropriation funds operation, maintenance, and related activities at the navigation, flood control and multiple purpose projects for which the Corps of Engineers is responsible. Work to be accomplished consists of dredging, repair, and operation of structures and other facilities, including recreation facilities, as authorized in the various Rivers and Harbors, Flood Control, and Water Resources Development Acts. Related activities include facility protection, aquatic plant control, monitoring of completed coastal projects, removal of sunken vessels, and the collection of domestic waterborne commerce statistics. This appropriation also includes funds for National Emergency Preparedness as directed in Executive Order 11490. See "Harbor Maintenance Trust Fund" for the costs financed through that account.

In accordance with Section 2406 of the National Energy Policy Act of 1992 (P.L. 102-486), the Secretary of the Army entered into an agreement with the Bonneville Power Administration for direct funding of hydropower activities in the

Pacific Northwest beginning in FY 1999. The Budget is proposing the direct funding of routine hydropower operation and maintenance for the three other Federal Power Marketing Administrations that sell power generated at Corps of Engineers facilities.

This account includes no funding for the Comprehensive Everglades Restoration Plan (CERP), as these projects are in the study and design phases; therefore, funding the CERP at this level would not have a significant impact on the overall Corps of Engineers civil works program in the 2003 budget year. The budget assumes continuation of the study phase for CERP projects over the next couple of years, which would not have a significant impact on the overall Corps of Engineers civil works program. Future levels of funding for operation and maintenance of CERP projects will depend on the pace of project construction and availability of funds. The impact of such future long-term funding on the overall Corps of Engineers civil works program cannot be determined at this time.

Object Classification (in millions of dollars)

Identification code 96-3123-0-1-300	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	482	504	513
11.3 Other than full-time permanent	13	14	14
11.5 Other personnel compensation	31	32	33
11.7 Military personnel	2	2	2
11.9 Total personnel compensation	528	552	562
12.1 Civilian personnel benefits	171	178	169
13.0 Benefits for former personnel	3	4	4
21.0 Travel and transportation of persons	22	22	20
22.0 Transportation of things	10	10	10
23.1 Rental payments to GSA	15	15	10
23.2 Rental payments to others	2	3	2
23.3 Communications, utilities, and misc. chgs.	27	27	25
24.0 Printing and reproduction	2	3	2
25.1 Advisory and assistance services	7	8	5
25.2 Other services	74	74	77
25.3 Goods and services from Government accounts	66	66	50
25.4 Operation and maintenance of facilities	38	38	25
25.7 Operation and maintenance of equipment	9	10	10
26.0 Supplies and materials	47	47	30
31.0 Equipment	34	34	20
32.0 Land and structures	423	423	342
99.0 Direct obligations	1,478	1,514	1,363
99.0 Reimbursable obligations	105	60	60
99.9 Total new obligations	1,583	1,574	1,423

Personnel Summary

Identification code 96-3123-0-1-300	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	11,455	11,564	10,432

OPERATIONS AND MAINTENANCE

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 96-3123-2-1-300	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program—Operation and maintenance of PMA hydropower projects			-149
09.00 Reimbursable program—Operation and maintenance of PMA hydropower projects			149
10.00 Total new obligations			
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation			-149
68.00 Spending authority from offsetting collections: Offsetting collections (cash)			149

70.00	Total new budget authority (gross)			
Change in obligated balances:				
73.10	Total new obligations			
Offsets:				
Against gross budget authority and outlays:				
88.40	Offsetting collections (cash) from: Non-Federal sources			-149
Net budget authority and outlays:				
89.00	Budget authority			-149
90.00	Outlays			-149

The Budget proposes to finance the costs of routine operation and maintenance of certain Army Corps of Engineers hydropower facilities directly from receipts collected by the Southeastern Power Administration, the Southwestern Power Administration, and the Western Area Power Administration. Each year, these Power Marketing Administrations would transfer an agreed upon amount to the Army Corps of Engineers for deposit in its "Operation and Maintenance, General" account. The transferred funds would be treated as an offsetting collection. The \$1,979,000,000 request for "Operation and Maintenance, General" for FY 2003 includes \$149,000,000 derived from such receipts. A direct funding arrangement already is in place for the Bonneville Power Administration.

Object Classification (in millions of dollars)

Identification code 96-3123-2-1-300	2001 actual	2002 est.	2003 est.	
25.2	Direct obligations: Other services			-149
99.0	Reimbursable obligations: Reimbursable obligations			149
99.9	Total new obligations			

REGULATORY PROGRAM

For expenses necessary for administration of laws pertaining to regulation of navigable waters and wetlands, **[\$127,000,000]** \$151,000,000, to remain available until expended. (*Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 96-3126-0-1-301	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Permit evaluation	102	107	115
00.03	Enforcement	20	23	27
00.05	Studies	2	3	4
00.06	Administrative Appeals	2	5	5
00.07	Environmental impact statements	2	3	3
01.92	Total Direct Obligations	128	141	154
09.00	Reimbursable program	1	1	1
10.00	Total new obligations	129	142	155

Budgetary resources available for obligation:

21.40	Unobligated balance carried forward, start of year	11	13	6
22.00	New budget authority (gross)	132	135	152
23.90	Total budgetary resources available for obligation	143	148	158
23.95	Total new obligations	-129	-142	-155
24.40	Unobligated balance carried forward, end of year	13	6	3

New budget authority (gross), detail:

Discretionary:				
40.00	Appropriation	131	134	151
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	1	1	1
70.00	Total new budget authority (gross)	132	135	152

Change in obligated balances:

72.40	Obligated balance, start of year	5	6	
73.10	Total new obligations	129	142	155

73.20	Total outlays (gross)	-128	-148	-158
74.40	Obligated balance, end of year	6		-3

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	112	129	145
86.93	Outlays from discretionary balances	16	19	12
87.00	Total outlays (gross)	128	148	158

Offsets:

Against gross budget authority and outlays:				
88.40	Offsetting collections (cash) from: Non-Federal sources	-1	-1	-1

Net budget authority and outlays:

89.00	Budget authority	131	134	151
90.00	Outlays	128	147	157

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	125	127	144
90.00	Outlays	122	140	150

This appropriation provides funds to administer laws pertaining to regulation of activities affecting U.S. waters, including wetlands, in accordance with the Rivers and Harbors Act of 1899, the Clean Water Act of 1977, and the Marine Protection, Research and Sanctuaries Act of 1972.

The requested funds are needed to improve program performance, to protect important aquatic resources, and to support partnerships with States and local communities through watershed planning efforts.

Object Classification (in millions of dollars)

Identification code 96-3126-0-1-301	2001 actual	2002 est.	2003 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	66	68	69
11.3	Other than full-time permanent	1	1	1
11.5	Other personnel compensation	2	2	2
11.9	Total personnel compensation	69	71	72
12.1	Civilian personnel benefits	21	23	23
21.0	Travel and transportation of persons	3	4	4
22.0	Transportation of things	1	2	3
23.1	Rental payments to GSA	1	3	3
23.3	Communications, utilities, and miscellaneous	1	3	3
24.0	Printing and reproduction	1	2	3
25.2	Other services	15	20	25
25.3	Purchase goods & svcs. fm Government accts.	12	7	10
25.7	Operation and maintenance of equipment	1	2	3
26.0	Supplies and materials	1	2	3
31.0	Equipment	2	2	2
99.0	Direct obligations	128	141	154
99.0	Reimbursable obligations	1	1	1
99.9	Total new obligations	129	142	155

Personnel Summary

Identification code 96-3126-0-1-301	2001 actual	2002 est.	2003 est.	
1001	Total compensable workyears: Full-time equivalent employment	1,404	1,404	1,404

FLOOD CONTROL AND COASTAL EMERGENCIES

[(RESCISSION)]

[Of the funds made available under this heading in Public Law 107-20, \$25,000,000 are hereby rescinded.] *For expenses necessary for emergency flood control, hurricane response, and emergency shore protection and related activities, \$22,000,000, to remain available until expended. (Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.)*

General and special funds—Continued

FLOOD CONTROL AND COASTAL EMERGENCIES—Continued

[(RESCISSION)]—Continued

Program and Financing (in millions of dollars)

Identification code 96-3125-0-1-301	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Disaster preparedness	20	22	22
00.02 Emergency operations	16	10	10
00.03 Rehabilitation	14	5	5
00.04 Advance Measures	2	2	2
09.00 Reimbursable Program Activity	30	50	50
10.00 Total new obligations	82	89	89
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	152	179	117
22.00 New budget authority (gross)	109	27	72
23.90 Total budgetary resources available for obligation	261	206	189
23.95 Total new obligations	-82	-89	-89
24.40 Unobligated balance carried forward, end of year	179	117	100

New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	52	-23	22
Spending authority from offsetting collections:			
68.00 Offsetting collections other federal agencies	23	50	50
68.10 Change in uncollected customer payments from Federal sources (unexpired)	34		
68.90 Spending authority from offsetting collections (total discretionary)	57	50	50
70.00 Total new budget authority (gross)	109	27	72

Change in obligated balances:			
72.40 Obligated balance, start of year	-49	-97	-32
73.10 Total new obligations	82	89	89
73.20 Total outlays (gross)	-96	-24	-50
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-34		
74.40 Obligated balance, end of year	-97	-32	7

Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2	15	37
86.93 Outlays from discretionary balances	94	7	15
87.00 Total outlays (gross)	96	24	50

Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-23	-50	-50
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-34		

Net budget authority and outlays:			
89.00 Budget authority	52	-23	22
90.00 Outlays	73	-26	

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	50	-25	20
90.00 Outlays	71	-28	-2

This account provides funds for preparedness activities for natural and other disasters, response, and emergency flood fighting and rescue operations, hurricane response, and emergency shore protection work. It also provides for emergency supplies of clean water where the source has been contaminated or where adequate supplies of water are needed for consumption.

Object Classification (in millions of dollars)

Identification code 96-3125-0-1-301	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	18	19	19
11.5 Other personnel compensation	2	2	2
11.9 Total personnel compensation	20	21	21
12.1 Civilian personnel benefits	7	7	7
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	1	1	1
23.3 Communications, utilities, and miscellaneous charges	2	1	1
25.3 Other purchases of goods and services from Government accounts	10	2	2
31.0 Equipment	1	1	1
32.0 Land and structures	10	5	5
99.0 Direct obligations	52	39	39
99.0 Reimbursable obligations	30	50	50
99.9 Total new obligations	82	89	89

Personnel Summary

Identification code 96-3125-0-1-301	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	369	369	369

FORMERLY UTILIZED SITES REMEDIAL ACTION PROGRAM

For expenses necessary to clean up contamination from sites throughout the United States resulting from work performed as part of the Nation's early atomic energy program, **[\$140,000,000]** \$141,000,000, to remain available until expended. (Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 96-3130-0-1-053	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program Activity	157	141	141
09.01 Reimbursable program	13		
10.00 Total new obligations	170	141	141
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	19	3	3
22.00 New budget authority (gross)	154	141	141
23.90 Total budgetary resources available for obligation	173	144	144
23.95 Total new obligations	-170	-141	-141
24.40 Unobligated balance carried forward, end of year	3	3	3

New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	141	141	141
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	21		
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-8		
68.90 Spending authority from offsetting collections (total discretionary)	13		
70.00 Total new budget authority (gross)	154	141	141

Change in obligated balances:			
72.40 Obligated balance, start of year	65	38	61
73.10 Total new obligations	170	141	141
73.20 Total outlays (gross)	-205	-118	-141
74.00 Change in uncollected customer payments from Federal sources (unexpired)	8		
74.40 Obligated balance, end of year	38	61	63

Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	121	85	85
86.93 Outlays from discretionary balances	84	33	56

87.00	Total outlays (gross)	205	118	141
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-21		
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	8		
Net budget authority and outlays:				
89.00	Budget authority	141	141	141
90.00	Outlays	184	118	141

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	140	140	140
90.00	Outlays	183	117	140

This appropriation funds the cleanup of certain low-level radioactive materials and mixed wastes, located mostly at sites contaminated as a result of the Nation's early efforts to develop atomic weapons.

Object Classification (in millions of dollars)

Identification code 96-3130-0-1-053	2001 actual	2002 est.	2003 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	8	8	8
12.1	Civilian personnel benefits	3	3	3
25.2	Other services	117	110	110
25.3	Other purchases of goods and services from Government accounts	29	20	20
99.0	Direct obligations	157	141	141
99.0	Reimbursable obligations	13		
99.9	Total new obligations	170	141	141

Personnel Summary

Identification code 96-3130-0-1-053	2001 actual	2002 est.	2003 est.	
1001	Total compensable workyears: Full-time equivalent employment	146	146	146

GENERAL EXPENSES

For expenses necessary for general administration and related functions in the Office of the Chief of Engineers and offices of the Division Engineers, activities of the Humphreys Engineer Center Support Activity, the Institute for Water Resources, and headquarters support functions at the USACE Finance Center, **[\$153,000,000]** \$161,000,000, to remain available until expended: *Provided*, That no part of any other appropriation provided in title I of this Act shall be available to fund the activities of the Office of the Chief of Engineers or the executive direction and management activities of the division offices: *Provided further*, That none of these funds shall be available to support an office of congressional affairs within the executive office of the Chief of Engineers. *(Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.)*

Program and Financing (in millions of dollars)

Identification code 96-3124-0-1-301	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Executive direction and management:				
00.01	Office, Chief of Engineers	57	69	69
00.02	Division offices	75	67	68
00.09	Humphreys Engineer Center Support Activity	25	16	16
00.11	Institute for Water Resources	6	4	4
00.12	USACE Finance Center	2	2	2
09.00	Reimbursable program	6		
10.00	Total new obligations	171	158	159

Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	14	6	6
22.00	New budget authority (gross)	163	158	161
23.90	Total budgetary resources available for obligation	177	164	167
23.95	Total new obligations	-171	-158	-159
24.40	Unobligated balance carried forward, end of year	6	6	8

New budget authority (gross), detail:

Discretionary:				
40.00	Appropriation	157	158	161
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	6		
70.00	Total new budget authority (gross)	163	158	161

Change in obligated balances:

72.40	Obligated balance, start of year	30	35	23
73.10	Total new obligations	171	158	159
73.20	Total outlays (gross)	-166	-170	-165
74.40	Obligated balance, end of year	35	23	17

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	122	127	130
86.93	Outlays from discretionary balances	44	43	35
87.00	Total outlays (gross)	166	170	165

Offsets:

Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-6		

Net budget authority and outlays:

89.00	Budget authority	157	158	161
90.00	Outlays	159	170	165

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	152	153	155
90.00	Outlays	154	165	159

Executive direction and management.—The Office of the Chief of Engineers and eight division offices supervise work in 38 district offices.

Humphreys Engineer Center Support Activity.—This support center provides administrative services (such as personnel, logistics, information management, and finance and accounting) for the Office of the Chief of Engineers and other separate field operating activities.

Institute for Water Resources.—This institute performs studies and analyses and develops planning techniques for the management and development of the Nation's water resources.

United States Army Corps of Engineers Finance Center.—This center provides centralized support for all Corps finance and accounting activities.

Object Classification (in millions of dollars)

Identification code 96-3124-0-1-301	2001 actual	2002 est.	2003 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	78	85	87
11.3	Other than full-time permanent	1	1	1
11.5	Other personnel compensation	2	2	2
11.7	Military personnel	5	5	5
11.9	Total personnel compensation	86	93	95
12.1	Civilian personnel benefits	23	23	24
12.2	Accrued retirement	2	2	2
13.0	Benefits for former personnel		1	1
21.0	Travel and transportation of persons	6	5	4
23.1	Rental payments to GSA	5	5	5
23.2	Rental payments to others	1	1	1
23.3	Communications, utilities, and miscellaneous	2	3	4
24.0	Printing and reproduction		1	1
25.1	Advisory and assistance services	2		

General and special funds—Continued

GENERAL EXPENSES—Continued

Object Classification (in millions of dollars)—Continued

Identification code 96-3124-0-1-301	2001 actual	2002 est.	2003 est.
25.2 Other services	4	11	10
25.3 Purchase goods & svcs. fm Government accts.	21	11	10
25.7 Operation and maintenance of equipment	2		
26.0 Supplies and materials	1	1	1
31.0 Equipment	3	1	1
32.0 Land and structures	7		
99.0 Direct obligations	165	158	159
99.0 Reimbursable obligations	6		
99.9 Total new obligations	171	158	159

Personnel Summary

Identification code 96-3124-0-1-301	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	1,113	1,113	1,113

FLOOD CONTROL, MISSISSIPPI RIVER AND TRIBUTARIES CONSOLIDATED PROGRAM

(Budget authority in millions of dollars)

Flood control, Mississippi River and tributaries:	2001 actual	2002 est.	2003 est.
Direct program:			
General fund	355	353	288
Omnibus Appropriation (P.L. 106-554)	3		
Rescission (P.L. 106-554)	-1		
Supplemental (P.L. 107-20)	9		
Rivers and harbors contributed funds (cost sharing, permanent appropriations)	15	22	18
Total direct program	381	375	306
Reimbursable program	37	25	25
Total program:			
Total program	418	400	331
Total program, excluding retiree cost proposal	411	393	324

FLOOD CONTROL, MISSISSIPPI RIVER AND TRIBUTARIES, ARKANSAS, ILLINOIS, KENTUCKY, LOUISIANA, MISSISSIPPI, MISSOURI, AND TENNESSEE

For expenses necessary for prosecuting work of flood control, rescue work, repair, restoration, or maintenance of flood control projects threatened or destroyed by flood, as authorized by law (33 U.S.C. 702a and 702g-1), **[\$345,992,000] \$288,000,000**, to remain available until expended: *Provided*, That, the Secretary of the Army, acting through the Chief of Engineers, is directed to convey to the Board of Mississippi Levee Commissioners any and all fee owned real property interests deemed excess to Army needs for disposal by the U.S. Army Corps of Engineers at its Casting Plant and its Bank Grading and Mat Loading Fleeting Area located in Greenville, Mississippi. This real property shall be used by the Board of Mississippi Levee Commissioners for the operation and maintenance of the Mississippi River and Tributaries Project as it deems necessary. (Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 96-3112-0-1-301	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 General investigations	17	14	14
00.03 Construction	195	136	135
00.05 Maintenance	156	137	139
09.11 Reimbursable program	37	25	25
10.00 Total new obligations	405	312	313
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	3	69
22.00 New budget authority (gross)	404	378	313

23.90 Total budgetary resources available for obligation	407	381	382
23.95 Total new obligations	-405	-312	-313
24.40 Unobligated balance carried forward, end of year	3	69	71

New budget authority (gross), detail:

Discretionary:			
Appropriation:			
40.00 Appropriation	355	353	288
40.00 Omnibus Appropriation (PL 106-554)	3		
40.15 Appropriation (emergency)	9		
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
43.00 Appropriation (total discretionary)	366	353	288
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	31	25	25
68.10 Change in uncollected customer payments from Federal sources (unexpired)	7		
68.90 Spending authority from offsetting collections (total discretionary)	38	25	25
70.00 Total new budget authority (gross)	404	378	313

Change in obligated balances:

72.40 Obligated balance, start of year	40	51	17
73.10 Total new obligations	405	312	313
73.20 Total outlays (gross)	-387	-346	-330
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-7		
74.40 Obligated balance, end of year	51	17	

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	344	286	237
86.93 Outlays from discretionary balances	43	60	93
87.00 Total outlays (gross)	387	346	330

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-31	-25	-25
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-7		

Net budget authority and outlays:

89.00 Budget authority	366	353	288
90.00 Outlays	355	321	305

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	359	346	281
90.00 Outlays	348	314	298

This appropriation funds planning, construction, and operation and maintenance activities associated with water resources projects located in the lower Mississippi River Valley from Cape Girardeau, Missouri to the Gulf of Mexico.

Object Classification (in millions of dollars)

Identification code 96-3112-0-1-301	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	57	59	60
11.3 Other than full-time permanent	3	3	3
11.5 Other personnel compensation	8	8	8
11.7 Military personnel	1	1	1
11.9 Total personnel compensation	69	71	72
12.1 Civilian personnel benefits	22	23	23
21.0 Travel and transportation of persons	2	5	5
23.1 Rental payments to GSA	3	3	3
23.3 Communications, utilities, and miscellaneous	4	3	3
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	2	11	11
25.2 Other services	12	123	123
25.3 Purchase goods & svcs. fm Government	93	6	6
25.4 Operation and maintenance of facilities	11	1	1
26.0 Supplies and materials	7	5	5

31.0	Equipment	4	1	1
32.0	Land and structures	138	34	34
99.0	Direct obligations	368	287	288
99.0	Reimbursable obligations	37	25	25
99.9	Total new obligations	405	312	313

Personnel Summary

Identification code 96-3112-0-1-301	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	1,525	1,525	1,525

PAYMENT TO SOUTH DAKOTA TERRESTRIAL WILDLIFE HABITAT RESTORATION TRUST FUND

Program and Financing (in millions of dollars)

Identification code 96-3129-0-1-306	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Payment to SD Terrestrial Wildlife Habitat Restoration Trust Fund	10	10	10
10.00 Total new obligations (object class 25.2)	10	10	10
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	10	10	10
23.95 Total new obligations	-10	-10	-10
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	10	10	10
Change in obligated balances:			
73.10 Total new obligations	10	10	10
73.20 Total outlays (gross)	-10	-10	-10
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	10	10	10
Net budget authority and outlays:			
89.00 Budget authority	10	10	10
90.00 Outlays	10	10	10

This fund makes payments to the South Dakota Terrestrial Wildlife Habitat Restoration Trust Fund, established by the Water Resources Act of 1999 (P.L. 106-53).

PERMANENT APPROPRIATIONS**Unavailable Collections** (in millions of dollars)

Identification code 96-9921-0-2-999	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	18	17	16
Receipts:			
02.00 Licenses under Federal Power Act, improvements of navigable waters, mainte	6	7	8
02.20 Receipts from leases of lands acquired for flood control, navigation, and	8	8	8
02.99 Total receipts and collections	14	15	16
04.00 Total: Balances and collections	32	32	32
Appropriations:			
05.00 Permanent appropriations	-15	-16	-16
07.99 Balance, end of year	17	16	16

Program and Financing (in millions of dollars)

Identification code 96-9921-0-2-999	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Maintenance and operation of dams and other improvements of navigable waters	7	8	8

00.03	Payments to States	8	8	8
10.00	Total new obligations	15	16	16
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	15	16	16
23.95	Total new obligations	-15	-16	-16

New budget authority (gross), detail:

Mandatory:				
60.20	Appropriation (special fund)	15	16	16

Change in obligated balances:

72.40	Obligated balance, start of year	3	1
73.10	Total new obligations	15	16	16
73.20	Total outlays (gross)	-17	-16	-16
74.40	Obligated balance, end of year	1

Outlays (gross), detail:

86.97	Outlays from new mandatory authority	14	16	16
86.98	Outlays from mandatory balances	3
87.00	Total outlays (gross)	17	16	16

Net budget authority and outlays:

89.00	Budget authority	15	16	16
90.00	Outlays	16	16	16

Distribution of budget authority by account:

Maintenance and operation of dams and other improvements of navigable waters				
Payments to States				
Total				

Hydraulic mining debris reservoir.—The Corps uses fees paid by Pacific Gas and Electric Company to help maintain the Englebright Dam, Yuba River, CA, mine debris restraining works and associated hydropower generation facilities. (33 U.S.C. 683)

Maintenance and operation of dams and other improvements of navigable waters.—The Corps uses its share of certain fees levied by the Federal Energy Regulatory Commission (on the private use of Federal property, including facilities and land; private construction and operation of water management and appurtenant facilities; and private benefit from headwater improvement by others) for construction, operation, and maintenance of Federal water management facilities. (16 U.S.C. 810(a))

Payments to States.—In lieu of taxes, the Corps pays to States three-fourths of the rent received from lease of Federal lands acquired for flood control, navigation, and allied purposes. (33 U.S.C. 701c-3)

Object Classification (in millions of dollars)

Identification code 96-9921-0-2-999	2001 actual	2002 est.	2003 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	2	1	1
13.0	Benefits for former personnel	1	1	1
25.2	Other services	5	5	5
41.0	Grants, subsidies, and contributions	5	7	7
99.0	Direct obligations	13	14	14
99.5	Below reporting threshold	2	2	2
99.9	Total new obligations	15	16	16

Personnel Summary

Identification code 96-9921-0-2-999	2001 actual	2002 est.	2003 est.	
1001	Total compensable workyears: Full-time equivalent employment	44	44	44

Intragovernmental funds:

REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 96-4902-0-4-301	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Operating Expenses:			
09.01 Plant and equipment services	480	480	480
09.02 Warehousing (GPRA)	15	15	15
09.03 Shop and facility services	1,585	1,585	1,585
09.04 General Administrative Services	1,298	1,298	1,298
09.09 Total operating expenses	3,378	3,378	3,378
Capital Investment:			
09.20 Land and structures	13	9	9
09.21 Dredges	6	17	17
09.22 Other floating plant	16	24	24
09.23 Land-based equipment	5	18	18
09.24 Tools, office furniture, and equipment	27	15	15
09.29 Total capital investment	67	83	83
10.00 Total new obligations	3,445	3,461	3,461
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	496	432	419
22.00 New budget authority (gross)	3,381	3,448	3,547
23.90 Total budgetary resources available for obligation	3,877	3,880	3,966
23.95 Total new obligations	-3,445	-3,461	-3,461
24.40 Unobligated balance carried forward, end of year	432	419	505
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	3,421	3,448	3,547
69.10 Change in uncollected customer payments from Federal sources (unexpired)	-40		
69.90 Spending authority from offsetting collections (total mandatory)	3,381	3,448	3,547
Change in obligated balances:			
72.40 Obligated balance, start of year	360	417	430
73.10 Total new obligations	3,445	3,461	3,461
73.20 Total outlays (gross)	-3,427	-3,448	-3,547
74.00 Change in uncollected customer payments from Federal sources (unexpired)	40		
74.40 Obligated balance, end of year	417	430	344
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	2,571	3,448	3,547
86.98 Outlays from mandatory balances	856		
87.00 Total outlays (gross)	3,427	3,448	3,547
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-3,421	-3,448	-3,547
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	40		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	6		

This revolving fund provides for the acquisition, operation, and maintenance of plant and equipment used in civil works functions; for temporary financing of services chargeable to civil appropriations; and for furnishing facilities and services for military functions of the Department of the Army, other governmental agencies and private persons, as authorized by law. (33 U.S.C. 576)

Object Classification (in millions of dollars)

Identification code 96-4902-0-4-301	2001 actual	2002 est.	2003 est.
21.0 Travel and transportation of persons	24	24	24
22.0 Transportation of things	3	1	1
23.1 Rental payments to GSA	11	12	12
23.2 Rental payments to others	3	1	1

23.3 Communications, utilities, and miscellaneous charges	27	31	31
24.0 Printing and reproduction	18	19	19
25.1 Advisory and assistance services	3	1	1
25.2 Other services	2,993	3,006	3,006
25.3 Other purchases of goods and services from Government accounts	147	147	147
25.7 Operation and maintenance of equipment	8	11	11
26.0 Supplies and materials	147	150	150
31.0 Equipment	41	39	39
32.0 Land and structures	14	17	17
42.0 Insurance claims and indemnities	3	1	1
44.0 Refunds	3	1	1
99.9 Total new obligations	3,445	3,461	3,461

Trust Funds

INLAND WATERWAYS TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 20-8861-0-7-301	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	364	389	426
Receipts:			
02.00 Transfer from general fund, Inland waterways revenue act taxes	113	97	98
02.40 Interest and profits on investments in public debt securities	22	20	22
02.99 Total receipts and collections	135	117	120
04.00 Total: Balances and collections	499	506	546
Appropriations:			
05.00 Inland waterways trust fund	-110	-80	-85
07.99 Balance, end of year	389	426	461

Program and Financing (in millions of dollars)

Identification code 20-8861-0-7-301	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program Activity	116	81	84
10.00 Total new obligations	116	81	84
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	5		
22.00 New budget authority (gross)	111	81	85
23.90 Total budgetary resources available for obligation	116	81	85
23.95 Total new obligations	-116	-81	-84
New budget authority (gross), detail:			
Discretionary:			
40.26 Appropriation (trust fund)	111	81	85
Change in obligated balances:			
72.40 Obligated balance, start of year	16	22	12
73.10 Total new obligations	116	81	84
73.20 Total outlays (gross)	-110	-91	-84
74.40 Obligated balance, end of year	22	12	12
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	89	69	72
86.93 Outlays from discretionary balances	21	22	12
87.00 Total outlays (gross)	110	91	84
Net budget authority and outlays:			
89.00 Budget authority	111	81	85
90.00 Outlays	110	91	84
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value	394		

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	110	80	84
90.00 Outlays	109	90	83

The Inland Waterways Trust Fund is authorized under the Inland Waterways Revenue Act of 1978 (P.L. 95-502), as amended by the Water Resources Development Act of 1986 (P.L. 99-662). The fund is used to pay one half of the construction and major rehabilitation costs of specified inland waterway projects. Revenue is derived from taxes imposed on fuel for vessels engaged in commercial waterway transportation, plus investment interest. This year's Budget shows all activity related to the Inland Waterways Trust Fund as occurring within this account, rather than in the "Construction, General" account. See "Construction, General" for appropriations language.

Object Classification (in millions of dollars)

Identification code 20-8861-0-7-301	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	7	8	8
12.1 Civilian personnel benefits	3	3	3
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	1	1	1
23.3 Communications, utilities, and miscellaneous charges	1	1	1
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	9	6	9
25.2 Other services	50	32	32
25.3 Other purchases of goods and services from Government accounts	4	4	5
25.5 Research and development contracts	1	1	1
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	1	1
32.0 Land and structures	36	24	20
99.9 Total new obligations	116	81	84

Personnel Summary

Identification code 20-8861-0-7-301	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	199	199	199

RIVERS AND HARBORS CONTRIBUTED FUNDS

Unavailable Collections (in millions of dollars)

Identification code 96-8862-0-7-301	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 Contributions, rivers and harbors, other than port and harbor user fees, C	331	329	272
Appropriations:			
05.00 Rivers and harbors contributed funds	-331	-329	-272
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 96-8862-0-7-301	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
General investigations:			
00.01 Where required for an authorized Federal study	25	25	19
00.02 Where not required for an authorized Federal study	6	8	7
Construction:			
00.03 Where required for an authorized Federal project Flood control, Mississippi River and tributaries:	233	266	220
00.05 Where required for an authorized feature	14	20	16
00.06 Where not required for an authorized Federal project	1	2	2

Maintenance:			
00.07 Where required for an authorized Federal project	42	42	43
00.08 Where not required for an authorized Federal project	6	7	6
Coastal Wetlands:			
00.09 Coastal wetlands restoration: Where required for projects	6	8	6
10.00 Total new obligations	333	378	319

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	197	196	147
22.00 New budget authority (gross)	331	329	272
23.90 Total budgetary resources available for obligation	528	525	419
23.95 Total new obligations	-333	-378	-319
24.40 Unobligated balance carried forward, end of year	196	147	100

New budget authority (gross), detail:

Mandatory:			
60.26 Appropriation (trust fund)	331	329	272

Change in obligated balances:

72.40 Obligated balance, start of year	96	103	183
73.10 Total new obligations	333	378	319
73.20 Total outlays (gross)	-326	-298	-296
74.40 Obligated balance, end of year	103	183	206

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	230	197	164
86.98 Outlays from mandatory balances	96	101	132
87.00 Total outlays (gross)	326	298	296

Net budget authority and outlays:

89.00 Budget authority	331	329	272
90.00 Outlays	326	298	296

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	331	329	270
90.00 Outlays	326	298	294

Funds are contributed by non-Federal interests for use on improvements of rivers and harbors. This includes cost-sharing contributions for the study, design, construction and operation and maintenance of authorized Federal projects; as well as contributions of 100 percent of the costs of other work.

Object Classification (in millions of dollars)

Identification code 96-8862-0-7-301	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	23	24	24
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	25	26	26
12.1 Civilian personnel benefits	6	5	7
13.0 Benefits for former personnel	13	13	13
25.2 Other services	288	333	272
99.0 Direct obligations	332	377	318
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	333	378	319

Personnel Summary

Identification code 96-8862-0-7-301	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	513	513	513

Intragovernmental funds—Continued

HARBOR MAINTENANCE TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 96-8863-0-7-301	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	1,621	1,777	1,847
Receipts:			
02.00 User fees	722	733	823
02.40 Earnings on investments	94	91	95
02.99 Total receipts and collections	816	824	918
04.00 Total: Balances and collections	2,437	2,601	2,765
Appropriations:			
05.00 Harbor maintenance fee collection, Treasury	-3	-3	-3
05.01 Saint Lawrence Seaway Development Corporation, operations and maintenance	-13	-13	-15
05.02 Army Corps of Engineers, operation and maintenance of commercial navigatio	-644	-738	-764
05.99 Total Appropriations	-660	-754	-782
07.99 Balance, end of year	1,777	1,847	1,983

Program and Financing (in millions of dollars)

Identification code 96-8863-0-7-301	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program Activity	645	739	756
00.02 Dredged Material Disposal Facilities	8	8	8
10.00 Total new obligations	653	747	764
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	653	747	764
23.95 Total new obligations	-653	-747	-764
New budget authority (gross), detail:			
Discretionary:			
Appropriation (trust fund):			
40.26 Appropriation (Constructon General)	17	17	24
40.26 Appropriation (Operations & Maintenance)	636	730	740
43.00 Appropriation (total discretionary)	653	747	764
Change in obligated balances:			
73.10 Total new obligations	653	747	764
73.20 Total outlays (gross)	-653	-747	-764
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	653	747	764
Net budget authority and outlays:			
89.00 Budget authority	653	747	764
90.00 Outlays	653	747	764
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value	1,671	1,805	1,871
92.02 Total investments, end of year: Federal securities: Par value	1,805	1,871	1,833

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	644	738	755
90.00 Outlays	644	738	755

The Harbor Maintenance Trust Fund is authorized under the Harbor Maintenance Revenue Act of 1986 (P.L. 99-662, Title XIV), as amended. Revenue is derived from receipts from a 0.125 percent *ad valorem* tax imposed upon commercial users of specified U.S. ports; Saint Lawrence Seaway tolls; and investment interest. This year's Budget shows all activity related to the Harbor Maintenance Trust Fund as

occurring within this account, rather than in the "Construction, General" and "Operation and Maintenance, General" accounts.

The Harbor Maintenance Revenue Act also authorized expenditures from the Trust Fund to finance up to 100 percent of Corps of Engineers harbor operation and maintenance (O&M) costs, including O&M costs associated with Great Lakes navigation projects. See "Operation and Maintenance, General" for appropriations language. In addition, the Trust Fund fully finances the operation and maintenance of the Saint Lawrence Seaway Development Corporation.

The North American Free Trade Agreement Implementation Act, Sec. 683 (P.L. 103-182), authorized payment from the Trust Fund of administrative expenses incurred by the Department of the Treasury, the Army Corps of Engineers, and the Department of Commerce related to administration of the harbor maintenance tax, but not to exceed \$5,000,000 for any fiscal year.

Public Law 104-303 authorized the Federal share of construction costs for facilities under the Dredged Material Disposal Facilities program to be derived from the Trust Fund. See "Construction, General" for appropriations language.

A 1995 decision by the United States Court of International Trade, *United States Shoe Corp. v. United States* (Case No. 94-11-00668), found the Harbor Maintenance tax unconstitutional under the Export Clause of the Constitution (Article I, section 9, clause 5), and enjoined the Customs Service from collecting the tax on exports. The Supreme Court affirmed that decision on March 31, 1998.

Object Classification (in millions of dollars)

Identification code 96-8863-0-7-301	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	93	96	98
12.1 Civilian personnel benefits	30	31	31
13.0 Benefits for former personnel	2	3	3
21.0 Travel and transportation of persons	15	17	20
22.0 Transportation of things	7	8	10
23.1 Rental payments to GSA	10	12	10
23.2 Rental payments to others	2	2	2
23.3 Communications, utilities, and miscellaneous charges	18	21	25
24.0 Printing and reproduction	2	2	2
25.1 Advisory and assistance services	5	6	6
25.2 Other services	50	62	66
25.3 Other purchases of goods and services from Government accounts	45	52	52
25.4 Operation and maintenance of facilities	26	30	25
25.7 Operation and maintenance of equipment	6	9	10
26.0 Supplies and materials	32	37	35
31.0 Equipment	23	27	21
32.0 Land and structures	287	332	348
99.9 Total new obligations	653	747	764

Personnel Summary

Identification code 96-8863-0-7-301	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	2,011	2,011	2,011

COASTAL WETLANDS RESTORATION CONSOLIDATED PROGRAM

(Budget authority in millions of dollars)

	2001 actual	2002 est.	2003 est.
Coastal wetlands restoration:			
Corps of Engineers	7	7	7
Environmental Protection Agency	17	18	19
Fish & Wildlife Service	4	4	5
National Marine Fisheries Service	12	12	13
Natural Resources Conservation Service	13	14	14
Undistributed balance		7	
Rivers and harbors contributed funds (cost sharing, permanent appropriation)	6	8	6
Total program	59	70	64

COASTAL WETLANDS RESTORATION TRUST FUND

Program and Financing (in millions of dollars)

Identification code 96-8333-0-7-301	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program Activity	27	29	29
10.00 Total new obligations	27	29	29
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	154	180	213
22.00 New budget authority (gross)	53	62	58
23.90 Total budgetary resources available for obligation	207	242	271
23.95 Total new obligations	-27	-29	-29
24.40 Unobligated balance carried forward, end of year	180	213	242
New budget authority (gross), detail:			
Mandatory:			
62.00 Transferred from other accounts	53	62	58
Change in obligated balances:			
72.40 Obligated balance, start of year	82	88	55
73.10 Total new obligations	27	29	29
73.20 Total outlays (gross)	-21	-62	-58
74.40 Obligated balance, end of year	88	55	24
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority		62	58
86.98 Outlays from mandatory balances	21		
87.00 Total outlays (gross)	21	62	58
Net budget authority and outlays:			
89.00 Budget authority	53	62	58
90.00 Outlays	21	62	58

The Coastal Wetlands Planning, Protection and Restoration Act (P.L. 101-646) directs the Secretary of the Interior to distribute to the Coastal Wetlands Restoration Trust Fund a portion of the amounts appropriated each fiscal year from the Sport Fish Restoration Account. The Louisiana Coastal Wetlands Conservation and Restoration Task Force, an inter-agency task force (including the Corps, Environmental Protection Agency, Fish and Wildlife Service, Natural Resources Conservation Service, and National Marine Fisheries Service) chaired by the Secretary of the Army, uses these funds to provide for planning the creation, protection, and restoration of coastal wetlands in the State of Louisiana.

Object Classification (in millions of dollars)

Identification code 96-8333-0-7-301	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	2	2	2
25.2 Other services	25	27	27
99.9 Total new obligations	27	29	29

Personnel Summary

Identification code 96-8333-0-7-301	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	23	23	23

SOUTH DAKOTA TERRESTRIAL WILDLIFE HABITAT RESTORATION TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 96-8217-0-7-306	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	20	32	44
Receipts:			
02.40 Payment from the general fund	10	10	10
02.41 Earnings on investments	2	2	3

02.99 Total receipts and collections	12	12	13
04.00 Total: Balances and collections	32	44	57
07.99 Balance, end of year	32	44	57

Program and Financing (in millions of dollars)

Identification code 96-8217-0-7-306	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value	20	32	44
92.02 Total investments, end of year: Federal securities:			
Par value	32	44	57

This fund, established by the Water Resources Development Act of 1999 (P.L. 106-53), supports wildlife habitat restoration efforts undertaken by the State of South Dakota. The establishment of this fund satisfies the Federal obligation under the Fish and Wildlife Coordination Act (16 U.S.C. 1661 et. seq.) to mitigate for the loss of habitat due to flooding from the Oahe and Big Bend projects, which were carried out as part of the Pick-Sloan Missouri River Basin program.

WASHINGTON AQUEDUCT

Federal Funds

General and special funds:

WASHINGTON AQUEDUCT

Program and Financing (in millions of dollars)

Identification code 96-3128-0-1-301	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program Activity	16	4	1
09.00 Reimbursable program	1		
10.00 Total new obligations	17	4	1
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	21	5	1
22.00 New budget authority (gross)	1		
23.90 Total budgetary resources available for obligation	22	5	1
23.95 Total new obligations	-17	-4	-1
24.40 Unobligated balance carried forward, end of year	5	1	
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	9	18	11
69.47 Portion applied to repay debt	-8	-18	-11
69.90 Spending authority from offsetting collections (total mandatory)	1		
Change in obligated balances:			
72.40 Obligated balance, start of year	12	12	-2
73.10 Total new obligations	17	4	1
73.20 Total outlays (gross)	-18	-18	-11
74.40 Obligated balance, end of year	12	-2	-12
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	1		
86.98 Outlays from mandatory balances	17	18	11
87.00 Total outlays (gross)	18	18	11
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-9	-18	-11
Net budget authority and outlays:			
89.00 Budget authority	-8	-18	-11

General and special funds—Continued

WASHINGTON AQUEDUCT—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 96-3128-0-1-301	2001 actual	2002 est.	2003 est.
90.00 Outlays	9		

Section 306 of the Safe Drinking Water Act (P.L. 104-182), provides borrowing authority to the Corps of Engineers for the purpose of making repairs and improvements to the Washington Aqueduct. The Aqueduct supplies drinking water to the District of Columbia and to Arlington and Falls Church, Virginia. The Safe Drinking Water Act Amendments of 1996 authorized the Corps to borrow limited amounts from Treasury to improve the water system, to be repaid over time by the customers of the Aqueduct.

Object Classification (in millions of dollars)

Identification code 96-3128-0-1-301	2001 actual	2002 est.	2003 est.
Direct obligations:			
26.0 Supplies and materials	1		
31.0 Equipment	8		
32.0 Land and structures	7	4	1
99.0 Direct obligations	16	4	1
99.0 Reimbursable obligations	1		
99.9 Total new obligations	17	4	1

ADMINISTRATIVE PROVISIONS

Appropriations in this title shall be available for official reception and representation expenses (not to exceed \$5,000); and during the current fiscal year the Revolving Fund, Corps of Engineers, shall be available for purchase (not to exceed 100 for replacement only) and hire of passenger motor vehicles. (*Energy and Water Development Appropriations Act, 2002.*)

GENERAL PROVISIONS

CORPS OF ENGINEERS—CIVIL

[SEC. 101. (a) CONVEYANCE AUTHORIZED.—The Secretary of the Army shall convey to the Blue Township Fire District, Blue Township, Kansas, by quitclaim deed and without consideration, all right, title, and interest of the United States in and to a parcel of land consisting of approximately 4.35 acres located in Pottawatomie County, Tuttle Creek Lake, Kansas.

(b) DESCRIPTION OF PROPERTY.—The exact acreage and legal description of the real property to be conveyed under subsection (a) shall be determined by a survey satisfactory to the Secretary.

(c) REVERSION.—If the Secretary determines that the property conveyed under subsection (a) ceases to be held in public ownership or to be used as a site for a fire station, all right, title, and interest in and to the property shall revert to the United States, at the option of the United States.]

[SEC. 102. For those shore protection projects funded in this Act which have Project Cooperation Agreements in place, the Secretary of the Army is directed to proceed with those projects in accordance with the cost sharing specified in the Project Cooperation Agreement: *Provided*, That the Secretary of the Army shall not accept or solicit non-Federal voluntary contributions for shore protection work in excess of the minimum requirements established by law; except that, when voluntary contributions are tendered by a non-Federal sponsor for the prosecution of work outside the authorized scope of the Federal project at full non-Federal expense, the Secretary is authorized to accept said contributions.]

SEC. [103. Agreements] 101. *Beginning in fiscal year 2003 and thereafter, agreements proposed for execution by the Assistant Secretary of the Army for Civil Works or the United States Army Corps of Engineers after the date of the enactment of this Act pursuant to section 4 of the Rivers and Harbor Act of 1915, Public Law 64-*

291; section 11 of the River and Harbor Act of 1925, Public Law 68-585; the Civil Functions Appropriations Act, 1936, Public Law 75-208; section 215 of the Flood Control Act of 1968, as amended, Public Law 90-483; sections 104, 203, and 204 of the Water Resources Development Act of 1986, as amended, Public Law 99-662; section 206 of the Water Resources Development Act of 1992, as amended, Public Law 102-580; section 211 of the Water Resources Development Act of 1996, Public Law 104-303; and any other specific project authority, shall be limited to credits and reimbursements per project not to exceed \$10,000,000 in each fiscal year, and total credits and reimbursements for all applicable projects not to exceed \$50,000,000 in each fiscal year.

[SEC. 104. ST. GEORGES BRIDGE, DELAWARE. None of the funds made available in this Act may be used to carry out any activity relating to closure or removal of the St. Georges Bridge across the Intracoastal Waterway, Delaware River to Chesapeake Bay, Delaware and Maryland, including a hearing or any other activity relating to preparation of an environmental impact statement concerning the closure or removal.]

[SEC. 105. The non-Federal interest shall receive credit towards the lands, easements, relocations, rights-of-way, and disposal areas required for the Lava Hot Springs restoration project in Idaho, and acquired by the non-Federal interest before execution of the project cooperation agreement: *Provided*, That the Secretary shall provide credit for work only if the Secretary determines such work to be integral to the project.]

[SEC. 106. GUADALUPE RIVER, CALIFORNIA. The project for flood control, Guadalupe River, California, authorized by section 401 of the Water Resources Development Act of 1986, and the Energy and Water Development Appropriation Acts of 1990 and 1992, is modified to authorize the Secretary to construct the project substantially in accordance with the General Reevaluation and Environmental Report for Proposed Project Modifications, dated February 2001, at a total cost of \$226,800,000, with an estimated Federal cost of \$128,700,000, and estimated non-Federal cost of \$98,100,000.]

[SEC. 107. DESIGNATION OF NONNAVIGABILITY FOR PORTIONS OF GLOUCESTER COUNTY, NEW JERSEY. (a) DESIGNATION.—

(1) IN GENERAL.—The Secretary of the Army (referred to in section as the “Secretary”) shall designate as non-navigable the areas described in paragraph (3) unless the Secretary, after consultation with local and regional public officials (including local and regional planning organizations), makes a determination that 1 or more projects proposed to be carried out in 1 or more areas described in paragraph (2) are not in the public interest.

(2) DESCRIPTION OF AREAS.—The areas referred to in paragraph (1) are certain parcels of property situated in the West Deptford Township, Gloucester County, New Jersey, as depicted on Tax Assessment Map #26, Block #328, Lots #1, 1.03, 1.08, and 1.09, more fully described as follows:

(A) Beginning at the point in the easterly line of Church Street (49.50 feet wide), said beginning point being the following 2 courses from the intersection of the centerline of Church Street with the curved northerly right-of-way line of Pennsylvania-Reading Seashore Lines Railroad (66.00 feet wide)—

(i) along said centerline of Church Street N. 11°28'50" E. 38.56 feet; thence

(ii) along the same N. 61°28'35" E. 32.31 feet to the point of beginning.

(B) Said beginning point also being the end of the thirteenth course and from said beginning point runs; thence, along the aforementioned Easterly line of Church Street—

(i) N. 11°28'50" E. 1052.14 feet; thence

(ii) crossing Church Street, N. 34°19'51" W. 1590.16 feet; thence

(iii) N. 27°56'37" W. 3674.36 feet; thence

(iv) N. 35°33'54" W. 975.59 feet; thence

(v) N. 57°04'39" W. 481.04 feet; thence

(vi) N. 36°22'55" W. 870.00 feet to a point in the Pierhead and Bulkhead Line along the Southeasterly shore of the Delaware River; thence

(vii) along the same line N. 53°37'05" E. 1256.19 feet; thence

(viii) still along the same, N. 86°10'29" E. 1692.61 feet; thence, still along the same the following thirteenth courses

(ix) S. 67°44" E. 1090.00 feet to a point in the Pierhead and Bulkhead Line along the Southwesterly shore of Woodbury Creek; thence

(x) S. 39°44'20" E. 507.10 feet; thence

(xi) S. 31°01'38" E. 1062.95 feet; thence

(xii) S. 34°34'20" E. 475.00 feet; thence
 (xiii) S. 32°20'28" E. 254.18 feet; thence
 (xiv) S. 52°55'49" E. 964.95 feet; thence
 (xv) S. 56°24'40" E. 366.60 feet; thence
 (xvi) S. 80°31'50" E. 100.51 feet; thence
 (xvii) N. 75°30'00" E. 120.00 feet; thence
 (xviii) N. 53°09'00" E. 486.50 feet; thence
 (xix) N. 81°18'00" E. 132.00 feet; thence
 (xx) S. 56°35'00" E. 115.11 feet; thence
 (xxi) S. 42°00'00" E. 271.00 feet; thence
 (xxii) S. 48°30'00" E. 287.13 feet to a point in the Northwest-
 erly line of Grove Avenue (59.75 feet wide); thence
 (xxiii) S. 23°09'50" W. 4120.49 feet; thence
 (xxiv) N. 66°50'10" W. 251.78 feet; thence
 (xxv) S. 36°05'20" E. 228.64 feet; thence
 (xxvi) S. 58°53'00" W. 1158.36 feet to a point in the South-
 westerly line of said River Lane; thence
 (xxvii) S. 41°31'35" E. 113.50 feet; thence
 (xxviii) S. 61°28'35" W. 863.52 feet to the point of beginning.

(C)(i) Except as provided in clause (ii), beginning at a point in the centerline of Church Street (49.50 feet wide) where the same is intersected by the curved northerly line of Pennsylvania-Reading Seashore Lines Railroad right-of-way (66.00 feet wide), along that Railroad, on a curve to the left, having a radius of 1465.69 feet, an arc distance of 1132.14 feet—

(I) N. 88°45'47" W. 1104.21 feet; thence
 (II) S. 69°06'30" W. 1758.95 feet; thence
 (III) N. 23°04'43" W. 600.19 feet; thence
 (IV) N. 19°15'32" W. 3004.57 feet; thence
 (V) N. 44°52'41" W. 897.74 feet; thence
 (VI) N. 32°26'05" W. 2765.99 feet to a point in the Pierhead and Bulkhead Line along the Southeasterly shore of the Delaware River; thence
 (VII) N. 53°37'05" E. 2770.00 feet; thence
 (VIII) S. 36°22'55" E. 870.00 feet; thence
 (IX) S. 57°04'39" E. 481.04 feet; thence
 (X) S. 35°33'54" E. 975.59 feet; thence
 (XI) S. 27°56'37" E. 3674.36 feet; thence
 (XII) crossing Church Street, S. 34°19'51" E. 1590.16 feet to a point in the easterly line of Church Street; thence
 (XIII) S. 11°28'50" W. 1052.14 feet; thence
 (XIV) S. 61°28'35" W. 32.31 feet; thence
 (XV) S. 11°28'50" W. 38.56 feet to the point of beginning.

(ii) The parcel described in clause (i) does not include the parcel beginning at the point in the centerline of Church Street (49.50 feet wide), that point being N. 11°28'50" E. 796.36 feet, measured along the centerline, from its intersection with the curved northerly right-of-way line of Pennsylvania-Reading Seashore Lines Railroad (66.00 feet wide)—

(I) N. 78°27'40" W. 118.47 feet; thence
 (II) N. 15°48'40" W. 120.51 feet; thence
 (III) N. 77°53'00" E. 189.58 feet to a point in the centerline of Church Street; thence
 (IV) S. 11°28'50" W. 183.10 feet to the point of beginning.

(b) LIMITS ON APPLICABILITY; REGULATORY REQUIREMENTS.—

(1) IN GENERAL.—The designation under subsection (a)(1) shall apply to those parts of the areas described in subsection (a) that are or will be bulkheaded and filled or otherwise occupied by permanent structures, including marina facilities.

(2) APPLICABLE LAW.—All activities described in paragraph (1) shall be subject to all applicable Federal law, including—

(A) the Act of March 3, 1899 (30 Stat. 1121, chapter 425);
 (B) section 404 of the Federal Water Pollution Control Act (33 U.S.C. 1344); and
 (C) the National Environmental Policy Act of 1969 (42 U.S.C. 4321 et seq.).

(c) TERMINATION OF DESIGNATION.—If, on the date that is 20 years after the date of enactment of this Act, any area or portion of an area described in subsection (a)(3) is not bulkheaded, filled, or otherwise occupied by permanent structures (including marina facilities) in accordance with subsection (b), or if work in connection with any activity authorized under subsection (b) is not commenced by the date that is 5 years after the date on which permits for the work are issued, the designation of nonnavigability under subsection (a)(1) for that area or portion of an area shall terminate.】

【SEC. 108. NOME HARBOR TECHNICAL CORRECTIONS. Section 101(a)(1) of Public Law 106–53 (the Water Resources Development Act of 1999) is amended by—

(1) striking “\$25,651,000” and inserting in its place “\$39,000,000”; and

(2) striking “\$20,192,000” and inserting in its place “\$33,541,000”.】

【SEC. 109. Section 211 of the Water Resources Development Act of 2000, Public Law 106–541, is amended by adding the following language at the end of subsection (d):

“(e) ENGINEERING RESEARCH AND DEVELOPMENT CENTER.—The Engineering Research and Development Center is exempt from the requirements of this section.”.】

【SEC. 110. Section 514(g) of the Water Resources Development Act of 1999, Public Law 106–53, is amended by striking “fiscal years 2000 and 2001” and inserting in lieu thereof “fiscal years 2000 through 2002”.】

【SEC. 111. The Secretary of the Army, acting through the Chief of Engineers, is directed to modify the pump station intake structure and discharge line to preclude ice from interfering with pump operations at Fort Fairfield, Maine, flood control project: *Provided*, That all design and construction costs associated with the modifications of the Fort Fairfield, Maine, project shall be at Federal expense.】

【SEC. 112. CERRILLOS DAM, PUERTO RICO. The Secretary of the Army shall reassess the allocation of Federal and non-Federal costs for construction of the Cerrillos Dam, carried out as part of the project for flood control, Portugues and Bucana Rivers, Puerto Rico.】

【SEC. 113. STUDY OF CORPS CAPABILITY TO CONSERVE FISH AND WILDLIFE. Section 704(b) of the Water Resources Development Act of 1986 (33 U.S.C. 2263(b)) is amended—

(1) by redesignating paragraphs (1), (2), (3), and (4) as subparagraphs (A), (B), (C), and (D), respectively;

(2) by striking “(b) The Secretary” and inserting the following:

“(b) PROJECTS.—

“(1) IN GENERAL.—The Secretary”; and

(3) by striking “The non-Federal share of the cost of any project under this section shall be 25 percent.” and inserting the following:

“(2) COST SHARING.—

“(A) IN GENERAL.—The non-Federal share of the cost of any project under this subsection shall be 25 percent.

“(B) FORM.—The non-Federal share may be provided through in-kind services, including the provision by the non-Federal interest of shell stock material that is determined by the Chief of Engineers to be suitable for use in carrying out the project.

“(C) APPLICABILITY.—The non-Federal interest shall be credited with the value of in-kind services provided on or after October 1, 2000, for a project described in paragraph (1) completed on or after that date, if the Secretary determines that the work is integral to the project.”.】

【SEC. 114. The flood control project for the Ramapo River at Oakland, New Jersey, authorized by section 401(a) of the Water Resources Development Act of 1986, Public Law 99–662, as amended by section 301(a)(9) of the Water Resources Development Act of 1996, Public Law 104–33, is modified to authorize the Secretary of the Army, acting through the Chief of Engineers, to construct the project at a total cost of \$18,000,000, with an estimated Federal cost of \$13,500,000 and an estimated non-Federal cost of \$4,500,000 less any credits allowed under applicable laws.】

【SEC. 115. Except for the historic scheduled maintenance dredging in the Delaware River, none of the funds appropriated in this Act shall be used to operate the dredge MCFARLAND other than for urgent dredging, emergencies and in support of national defense.】

【SEC. 116. The Secretary may not expend funds to accelerate the schedule to finalize the Record of Decision for the revision of the Missouri River Master Water Control Manual and any associated changes to the Missouri River Annual Operating Plan. During consideration of revisions to the manual in fiscal year 2002, the Secretary may consider and propose alternatives for achieving species recovery other than the alternatives specifically prescribed by the United States Fish and Wildlife Service in the biological opinion of the Service. The Secretary shall consider the views of other Federal agencies, non-Federal agencies, and individuals to ensure that other congressionally authorized purposes are maintained.】 (*Energy and Water Development Appropriations Act, 2002.*)

ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are shown in the schedules of the parent appropriation as follows:

State and Private Forestry, Forest Service, Department of Agriculture.
 Operation and Maintenance, Army, Department of the Army.

ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS—Continued

Construction, National Park Service, Department of the Interior.

Federal Aid to Highways, Miscellaneous Studies, Reports, and Projects; Federal Highway Administration, Department of Transportation.
Capital Improvement, Bonneville Power Administration.

OTHER DEFENSE—CIVIL PROGRAMS

MILITARY RETIREMENT

Federal Funds

General and special funds:

PAYMENT TO MILITARY RETIREMENT FUND

Program and Financing (in millions of dollars)

Identification code 97-0040-0-1-054	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 13.0)	16,089	17,047	17,643
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	16,089	17,047	17,643
23.95 Total new obligations	-16,089	-17,047	-17,643
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	16,089	17,047	17,643
Change in obligated balances:			
73.10 Total new obligations	16,089	17,047	17,643
73.20 Total outlays (gross)	-16,089	-17,047	-17,643
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	16,089	17,047	17,643
Net budget authority and outlays:			
89.00 Budget authority	16,089	17,047	17,643
90.00 Outlays	16,089	17,047	17,643

The FY 2003 payment to the military retirement fund includes funds for the amortization of the unfunded liability for all retirement benefits earned by military personnel for service prior to 1985. The amortization schedule for the unfunded liability is determined by the Department of Defense Retirement Board of Actuaries. Included in the unfunded liability are the consolidated requirements of the military departments to cover retired officers and enlisted personnel of the Army, Navy, Marine Corps, and Air Force, retainer pay of enlisted personnel of the Fleet Reserve of the Navy and Marine Corps, and survivors benefits.

Trust Funds

MILITARY RETIREMENT FUND

Unavailable Collections (in millions of dollars)

Identification code 97-8097-0-7-602	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	155,102	161,723	168,791
Receipts:			
02.40 Employing agency contributions	11,371	12,491	11,934
02.41 Earnings on investments	13,366	13,074	13,271
02.42 General fund payment (unfunded liability)	16,089	17,047	17,643
02.99 Total receipts and collections	40,826	42,612	42,848
04.00 Total: Balances and collections	195,928	204,335	211,639
Appropriations:			
05.00 Military retirement fund	-34,205	-35,544	-36,318
05.99 Total appropriations	-34,205	-35,544	-36,318
07.99 Balance, end of year	161,723	168,791	175,321

Program and Financing (in millions of dollars)

Identification code 97-8097-0-7-602	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Nondisability	29,353	30,502	31,166
00.02 Temporary disability	71	74	75
00.03 Permanent disability	1,256	1,305	1,333
00.04 Fleet Reserve	1,544	1,605	1,640
00.05 Survivors' benefits	1,982	2,059	2,104
10.00 Total new obligations (object class 42.0)	34,205	35,544	36,318
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	34,205	35,544	36,318
23.95 Total new obligations	-34,205	-35,544	-36,318
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)	40,826	42,612	42,848
60.45 Portion precluded from obligation	-6,621	-7,068	-6,530
62.50 Appropriation (total mandatory)	34,205	35,544	36,318
Change in obligated balances:			
72.40 Obligated balance, start of year	2,898	3,007	3,120
73.10 Total new obligations	34,205	35,544	36,318
73.20 Total outlays (gross)	-34,096	-35,431	-36,203
74.40 Obligated balance, end of year	3,007	3,120	3,235
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	34,096	35,431	36,203
Net budget authority and outlays:			
89.00 Budget authority	34,205	35,544	36,318
90.00 Outlays	34,096	35,431	36,203
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value	149,348	156,978	160,140
92.02 Total investments, end of year: Federal securities:			
Par value	156,978	160,140	166,907

Public Law 98-94 provided for accrual funding of the military retirement system and for the establishment of a Department of Defense Military Retirement Fund in 1985. The fund has three sources of income. The first is payments from the Military Personnel accounts, which cover the liability for future benefits accruing to current service members. The second is a payment from the general treasury to cover the accrued unfunded liability of current members and current retirees. The third source is income from the investment of fund balances from past and current payments into the fund.

The status of the fund is as follows:

Status of Funds (in millions of dollars)

Identification code 97-8097-0-7-602	2001 actual	2002 est.	2003 est.
Unexpended balance, start of year:			
0100 Treasury balance	21	18
U.S. Securities:			
0101 Par value	149,348	156,978	160,140
0102 Unrealized discounts	8,632	7,734	11,771
0199 Total balance, start of year	158,000	164,730	171,911
Cash income during the year:			
Current law:			
Offsetting receipts (intragovernmental):			
Offsetting receipts (intragovernmental):			
1240 Employing agency contributions, DOD military	11,367	12,487	11,930
1240 Employing agency contributions, Corps of Engineers	4	4	4
1241 Earning on investments	13,366	13,074	13,271
1242 Federal contributions	16,089	17,047	17,643

MILITARY RETIREMENT FUND—Continued

Status of Funds (in millions of dollars)—Continued

Identification code 97-8097-0-7-602	2001 actual	2002 est.	2003 est.
1299 Income under present law	40,826	42,612	42,848
Cash outgo during year:			
Current law:			
4500 Military retirement fund	-34,096	-35,431	-36,203
Unexpended balance, end of year:			
8700 Uninvested balance	18		
Federal securities:			
8701 Par value	156,978	160,140	166,907
8702 Unrealized discounts	7,734	11,771	11,649
8799 Total balance, end of year	164,730	171,911	178,556

RETIREE HEALTH CARE

Federal Funds

General and special funds:

PAYMENT TO UNIFORMED SERVICES RETIREE HEALTH CARE FUND

Program and Financing (in millions of dollars)

Identification code 97-0850-0-1-054	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 13.0)			15,313
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			15,313
23.95 Total new obligations			-15,313
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation			15,313
Change in obligated balances:			
73.10 Total new obligations			15,313
73.20 Total outlays (gross)			-15,313
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority			15,313
Net budget authority and outlays:			
89.00 Budget authority			15,313
90.00 Outlays			15,313

The FY 2003 payment to the Uniformed Services Health Accrual Fund includes funds for the amortization of the unfunded liability for all health benefits earned by personnel and retirees of the uniformed services for service prior to FY 2003. The amortization schedule for the unfunded liability is determined by the Department of Defense's Board of Actuaries.

UNIFORMED SERVICES RETIREE HEALTH CARE FUND

Unavailable Collections (in millions of dollars)

Identification code 97-5472-0-2-551	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.40 Employing agency contributions			8,312
02.41 Earnings on investments			1,038
02.42 Federal contributions			15,313
02.99 Total receipts and collections			24,663
Appropriations:			
05.00 DoD Medicare-eligible retiree health care fund			-5,681
07.99 Balance, end of year			18,982

Program and Financing (in millions of dollars)

Identification code 97-5472-0-2-551	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 13.0)			5,681
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			5,681
23.95 Total new obligations			-5,681
New budget authority (gross), detail:			
Mandatory:			
60.20 Appropriation (special fund)			5,681
Change in obligated balances:			
73.10 Total new obligations			5,681
73.20 Total outlays (gross)			-5,681
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority			5,681
Net budget authority and outlays:			
89.00 Budget authority			5,681
90.00 Outlays			5,681
Memorandum (non-add) entries			
92.02 Total investments, end of year: Federal securities:			
Par value			18,982

Public Law 106-398 provided for accrual funding for the provision of health care to Medicare-eligible retirees and for the establishment of a retiree health care fund for accrual. The fund has three sources of income. The first is monthly payments from the Military Personnel accounts, which cover the liability for future benefits accruing to current service members. The second is an annual payment from the general treasury on the accrued unfunded liability and the third source is income from the investment of fund balances.

The Administration's Managerial Flexibility Act of FY 2001 (transmitted October 15, 2001 to Congress) called for expanding accrual implementation of all Uniformed Service Retirees in FY 2003 (to include non Medicare-eligible retirees). The Administration will amend this bill by delaying the implementation date of this expansion by one year to FY 2004.

Object Classification (in millions of dollars)

Identification code 97-5472-0-2-551	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent			1
11.5 Other personnel compensation			1
11.9 Total personnel compensation			2
99.9 Total new obligations			2

EDUCATION BENEFITS

Trust Funds

EDUCATION BENEFITS FUND

Unavailable Collections (in millions of dollars)

Identification code 97-8098-0-7-702	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	787	897	968
Receipts:			
02.40 Employing agency contributions	281	255	297
02.41 Interest	51	42	46
02.99 Total receipts and collections	332	297	343
04.00 Total: Balances and collections	1,119	1,194	1,311
Appropriations:			
05.00 Education benefits fund	-222	-226	-239
05.99 Total appropriations	-222	-226	-239

07.99	Balance, end of year	897	968	1,072
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Program and Financing (in millions of dollars)

Identification code 97-8098-0-7-702	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Active duty program	79	91	98
00.02	Selected reserve program	135	135	142
10.00	Total new obligations (object class 25.2)	214	226	240
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year		8	8
22.00	New budget authority (gross)	222	226	239
23.90	Total budgetary resources available for obligation	222	234	247
23.95	Total new obligations	-214	-226	-240
24.40	Unobligated balance carried forward, end of year	8	8	7
New budget authority (gross), detail:				
Mandatory:				
60.26	Appropriation (trust fund)	331	226	239
60.28	Appropriation (unavailable balances)	-109		
62.50	Appropriation (total mandatory)	222	226	239
Change in obligated balances:				
73.10	Total new obligations	214	226	240
73.20	Total outlays (gross)	-214	-226	-239
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	214	226	239
Net budget authority and outlays:				
89.00	Budget authority	222	226	239
90.00	Outlays	214	226	239
Memorandum (non-add) entries:				
92.01	Total investments, start of year: Federal securities: Par value	767	885	944
92.02	Total investments, end of year: Federal securities: Par value	885	944	1,048

The 1985 Defense Authorization Bill, Public Law 98-525, provided for the accrual funding of certain education benefits for active duty military personnel under the authority of Chapter 30, Title 38 U.S.C., and to Selected Reserve personnel under the authority of Chapter 1606, Title 10 U.S.C. Public Law 100-48 made this program permanent. The fund is financed through actuarially-determined Government contributions from the Department of Defense military personnel appropriations and interest on investments. Funds are transferred to the Department of Veterans Affairs to make benefit payments to eligible personnel. The status of the fund is as follows:

Status of Funds (in millions of dollars)

Identification code 97-8098-0-7-702	2001 actual	2002 est.	2003 est.	
Unexpended balance, start of year:				
0100	Uninvested balance	1	1	
U.S. Securities:				
0101	Par value	767	885	944
0102	Unrealized discounts	19	19	32
0199	Total balance, start of year	787	905	976
Cash income during the year:				
Current law:				
Offsetting receipts (intragovernmental):				
1240	Employing agency contributions	281	255	297
1241	Interest on investments	51	42	46
1299	Income under present law	332	297	343
Cash outgo during year:				
Current law:				
Cash outgo during the year (-):				
4500	Cash outgo during the year (-)	-79	-91	-98
4500	Cash outgo during the year (-)	-135	-135	-141
4599	Outgo under current law (-)	-214	-226	-239
Unexpended balance, end of year:				
8700	Uninvested balance	1		

Federal securities:				
8701	Par value	885	944	1,048
8702	Unrealized discounts	19	32	32
8799	Total balance, end of year	905	976	1,079

AMERICAN BATTLE MONUMENTS COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses, not otherwise provided for, of the American Battle Monuments Commission, including the acquisition of land or interest in land in foreign countries; purchases and repair of uniforms for caretakers of national cemeteries and monuments outside of the United States and its territories and possessions; rent of office and garage space in foreign countries; purchase (one for replacement only) and hire of passenger motor vehicles; and insurance of official motor vehicles in foreign countries, when required by law of such countries, **[\$35,466,000] \$30,400,000**, to remain available until expended. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 74-0100-0-1-705	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Administration and U.S. memorials	6	5	5
00.02	European memorials and cemeteries	17	25	19
00.03	Mediterranean memorials and cemeteries	4	5	5
00.04	Asian memorials and cemeteries	1	1	1
10.00	Total new obligations	28	36	30
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	28	36	30
23.95	Total new obligations	-28	-36	-30
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	28	36	30
Change in obligated balances:				
72.40	Obligated balance, start of year			1
73.10	Total new obligations	28	36	30
73.20	Total outlays (gross)	-28	-35	-33
74.40	Obligated balance, end of year		1	
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	28	31	26
86.93	Outlays from discretionary balances		4	7
87.00	Total outlays (gross)	28	35	33
Net budget authority and outlays:				
89.00	Budget authority	28	36	30
90.00	Outlays	26	35	33

The American Battle Monuments Commission is responsible for: the maintenance and construction of U.S. monuments and memorials commemorating the achievements in battle of our Armed Forces since 1917; controlling erection of monuments and markers by U.S. citizens and organizations in foreign countries; and for the design, construction, and maintenance of permanent military cemetery memorials in foreign countries. American Battle Monuments Commission is reducing the backlog of maintenance and repair, and continuing productivity improvements at cemeteries and memorials overseas.

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Object Classification (in millions of dollars)

Identification code 74-0100-0-1-705	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	11	11	11
11.8 Special personal services payments	1	1	1
11.9 Total personnel compensation	12	12	12
12.1 Civilian personnel benefits	4	4	4
23.3 Communications, utilities, and miscellaneous charges	9	9	9
25.2 Other services	2	2	2
26.0 Supplies and materials	1	9	3
99.9 Total new obligations	28	36	30

Personnel Summary

Identification code 74-0100-0-1-705	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	368	368	370

FOREIGN CURRENCY FLUCTUATIONS

Program and Financing (in millions of dollars)

Identification code 74-0101-0-1-705	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 21.0)	3	1	1
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	13	10	9
23.95 Total new obligations	-3	-1	-1
24.40 Unobligated balance carried forward, end of year	10	9	8
Change in obligated balances:			
72.40 Obligated balance, start of year		3	4
73.10 Total new obligations	3	1	1
74.40 Obligated balance, end of year	3	4	5
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays		1	1

Trust Funds

CONTRIBUTIONS

Unavailable Collections (in millions of dollars)

Identification code 74-8569-0-7-705	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	69	4	13
Receipts:			
02.00 Contributions, American Battle Monuments Commission	33	10	2
04.00 Total: Balances and collections	102	14	15
Appropriations:			
05.00 Contributions	-98	-1	-2
07.99 Balance, end of year	4	13	13

Program and Financing (in millions of dollars)

Identification code 74-8569-0-7-705	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.04 World War II Memorial	98	5	4
10.00 Total new obligations (object class 32.0)	98	5	4
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	32	32	28

22.00 New budget authority (gross)	98	1	2
23.90 Total budgetary resources available for obligation	130	33	32
23.95 Total new obligations	-98	-5	-4
24.40 Unobligated balance carried forward, end of year	32	28	28

New budget authority (gross), detail:

Mandatory:			
60.26 Appropriation (trust fund)	98	1	2

Change in obligated balances:

72.40 Obligated balance, start of year		82	86
73.10 Total new obligations	98	5	4
73.20 Total outlays (gross)	-16	-1	
74.40 Obligated balance, end of year	82	86	90

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	16	1	
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Net budget authority and outlays:

89.00 Budget authority	98	1	2
90.00 Outlays	16	1	

Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities:			
Par value		94	27
92.02 Total investments, end of year: Federal securities:			
Par value	94	27	27

Purchase of flowers.—Private citizens contribute funds for the purchase of flowers to decorate graves and tablets of the missing at the cemeteries and memorials administered by the Commission.

Repair of non-Federal war memorials.—When requested to do so and upon receipt of the necessary funds, the Commission arranges for and oversees the repair of war memorials to U.S. Forces erected in foreign countries by American citizens, States, municipalities, or associations.

World War II Memorial.—Public Law 103-32 authorized the American Battle Monuments Commission to collect private contributions to fund construction of a memorial in the District of Columbia to honor members of the Armed Forces of the United States who served in World War II. The Commission projects that contributions to the World War II Memorial Fund will reach \$175 million in 2002 and \$177 million in 2003.

WHITE HOUSE COMMISSION ON THE NATIONAL MOMENT OF REMEMBRANCE

Federal Funds

General and special funds:

For the White House Commission on the National Moment of Remembrance, \$250,000.

Unavailable Collections (in millions of dollars)

Identification code 74-5484-0-2-705	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.01 Gifts and donations, White House commission on the national moment		1	3
Appropriations:			
05.01 White House commission on the national moment of remembrance		-1	-3
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 74-5484-0-2-705	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)		2	3
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		2	3

23.95	Total new obligations	-2	-3
New budget authority (gross), detail:			
Discretionary:			
40.00	Appropriation	1	
Mandatory:			
60.20	Appropriation (special fund)	1	3
70.00	Total new budget authority (gross)	2	3
Change in obligated balances:			
73.10	Total new obligations	2	3
73.20	Total outlays (gross)	-2	-3
Outlays (gross), detail:			
86.90	Outlays from new discretionary authority	1	
86.97	Outlays from new mandatory authority	1	3
87.00	Total outlays (gross)	2	3
Net budget authority and outlays:			
89.00	Budget authority	2	3
90.00	Outlays	2	3

Public Law 106-579 established and authorized appropriations for the White House Commission on the National Moment of Remembrance. The Commission also will accept gifts and generate product royalty revenue in order to revitalize the national understanding and commemoration of Memorial Day. The Defense Emergency Response Fund included \$500,000 for the Commission in FY 2002.

Personnel Summary

Identification code 74-5484-0-2-705	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment		3	3

ARMED FORCES RETIREMENT HOME

Trust Funds

ARMED FORCES RETIREMENT HOME

For expenses necessary for the Armed Forces Retirement Home to operate and maintain the [United States Soldiers' and Airmen's Home and the United States Naval Home], *Armed Forces Retirement Home—Washington and the Armed Forces Retirement Home—Gulfport*, to be paid from funds available in the Armed Forces Retirement Home Trust Fund, [\$71,440,000,] \$69,921,000 of which [\$9,812,000] \$5,712,000 shall remain available until expended for construction and renovation of the physical plants at the [United States Soldiers' and Airmen's Home and the United States Naval Home. *Provided*, That, notwithstanding any other provision of law, a single contract or related contracts for development and construction, to include construction of a long-term care facility at the United States Naval Home, may be employed which collectively include the full scope of the project: *Provided further*, That the solicitation and contract shall contain the clause "availability of funds" found at 48 CFR 52.232-18 and 252.232-7007, Limitation of Government Obligations]. *Armed Forces Retirement Home—Washington and the Armed Forces Retirement Home—Gulfport. (Departments of Labor, Health and Human Services, and Education, and related agencies Appropriations Act, 2002.)*

Unavailable Collections (in millions of dollars)

Identification code 84-8522-0-7-602	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	67	55	46
Receipts:			
02.00 Deductions, fines and gifts, U.S. Naval Home	23	24	24
02.02 Deductions, fines and gifts, U.S. Soldiers' and Airmen's Home	16	18	18
02.20 Fees paid by residents, U.S. Naval Home	4	3	4

02.21 Fees paid by residents, U.S. Soldiers' and Airmen's Home	9	8	9
02.40 Interest on investments, Armed Forces Retirement Home	6	4	5
02.42 Grant from DoD, Armed Forces Retirement Home	5		
02.99 Total receipts and collections	58	62	60
04.00 Total: Balances and collections	125	117	106
Appropriations:			
05.00 Armed Forces Retirement Home	-70	-71	-70
07.99 Balance, end of year	55	46	36

Program and Financing (in millions of dollars)

Identification code 84-8522-0-7-602	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	67	74	70
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	93	101	104
22.00 New budget authority (gross)	73	74	70
22.10 Resources available from recoveries of prior year obligations	2	3	3
23.90 Total budgetary resources available for obligation	168	178	177
23.95 Total new obligations	-67	-74	-70
24.40 Unobligated balance carried forward, end of year	101	104	107
New budget authority (gross), detail:			
Discretionary:			
40.26 Appropriation (trust fund)	73	74	70
Change in obligated balances:			
72.40 Obligated balance, start of year	12	12	17
73.10 Total new obligations	67	74	70
73.20 Total outlays (gross)	-66	-66	-71
73.45 Recoveries of prior year obligations	-2	-3	-3
74.40 Obligated balance, end of year	12	17	14
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	58	60	60
86.93 Outlays from discretionary balances	8	6	11
87.00 Total outlays (gross)	66	66	71
Net budget authority and outlays:			
89.00 Budget authority	73	74	70
90.00 Outlays	65	66	71
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value	98	99	99
92.02 Total investments, end of year: Federal securities: Par value	99	99	99

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	70	71	67
90.00 Outlays	62	63	68

The 1991 Defense Authorization Act, Public Law 101-510, created an Armed Forces Retirement Home Trust Fund to finance the United States Soldiers' and Airmen's Home and the United States Naval Home. The homes, which are currently in operation, are financed by appropriations drawn from the trust fund.

The 2002 Defense Authorization Act amended Public Law 101-510 by creating the position of Chief Operating Officer whose responsibilities and duties will supplant those of the Armed Forces Retirement Home Board. The United States Soldiers' and Airmen's Home was re-designated as the Armed Forces Retirement Home—Washington and the United States Naval Home was re-designated as the Armed Forces Retirement Home—Gulfport.

ARMED FORCES RETIREMENT HOME—Continued

The Armed Forces Retirement Home is cooperating with the Department of Defense on a Most Efficient Organization Study and an updated Federal Activities Inventory Reform Act (FAIR) inventory to consider additional outsourcing opportunities. Proceeds from the lease of excess land are projected to add to the revenue estimates.

The Armed Forces Retirement Home provides medical and domiciliary care and other authorized benefits for the relief and support of certain retired and former military personnel of the Armed Forces.

The average number of members receiving domiciliary and hospital care are shown below:

	2001 actual	2002 est.	2003 est.
Domiciliary care	1,203	1,240	1,423
Hospital care	263	269	285
Total members	1,466	1,509	1,708

Object Classification (in millions of dollars)

Identification code 84-8522-0-7-602	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	28	31	31
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	31	34	34
12.1 Civilian personnel benefits	11	11	11
23.3 Communications, utilities, and miscellaneous charges	3	3	3
25.2 Other services	10	9	9
26.0 Supplies and materials	7	7	7
32.0 Land and structures	5	10	6
99.9 Total new obligations	67	74	70

Personnel Summary

Identification code 84-8522-0-7-602	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	734	767	752

CEMETERIAL EXPENSES, ARMY

SALARIES AND EXPENSES

For necessary expenses, as authorized by law, for maintenance, operation, and improvement of Arlington National Cemetery and Soldiers' and Airmen's Home National Cemetery, including the purchase of two passenger motor vehicles for replacement only, and not to exceed \$1,000 for official reception and representation expenses, **[\$22,537,000] \$24,445,000**, to remain available until expended. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 21-1805-0-1-705	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Operation and maintenance	11	13	13
00.02 Administration	1	1	1
00.03 Construction	5	10	10
10.00 Total new obligations	17	24	24
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		1	
22.00 New budget authority (gross)	18	23	24
23.90 Total budgetary resources available for obligation	18	24	24
23.95 Total new obligations	-17	-24	-24
24.40 Unobligated balance carried forward, end of year	1		

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	18	23	24
Change in obligated balances:			
72.40 Obligated balance, start of year	5	8	12
73.10 Total new obligations	17	24	24
73.20 Total outlays (gross)	-14	-20	-24
74.40 Obligated balance, end of year	8	12	12

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	10	17	18
86.93 Outlays from discretionary balances	4	5	6
87.00 Total outlays (gross)	14	20	24

Net budget authority and outlays:

89.00 Budget authority	18	24	24
90.00 Outlays	14	20	24

Operation and maintenance.—Funds requested will provide for contractual services, necessary operating supplies and equipment, and personnel.

Administration.—Provision is made for determining eligibility for burial; management of Arlington and Soldiers' and Airmen's Home National Cemeteries; and administrative support.

Construction.—Arlington National Cemetery has developed a capital investment plan for all construction projects including using contiguous land sites that will be vacated by the Services, such as portions of the Navy Annex and Ft. Myer. The request will continue the development of gravesites.

Object Classification (in millions of dollars)

Identification code 21-1805-0-1-705	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	4	4	4
12.1 Civilian personnel benefits	1	1	1
25.2 Other services	8	8	8
32.0 Land and structures	3	9	9
99.0 Direct obligations	16	22	22
99.5 Below reporting threshold	1	2	2
99.9 Total new obligations	17	24	24

Personnel Summary

Identification code 21-1805-0-1-705	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	100	101	101

FOREST AND WILDLIFE CONSERVATION,
MILITARY RESERVATIONS

Federal Funds

General and special funds:

WILDLIFE CONSERVATION

Unavailable Collections (in millions of dollars)

Identification code 97-5095-0-2-303	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 Offsetting receipts (proprietary)	-1	2	2
Appropriations:			
05.00 Appropriations	1	-2	-2
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 97-5095-0-2-303	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	3	2	2
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	8	5	6
22.00 New budget authority (gross)	-1	2	2
23.90 Total budgetary resources available for obligation	7	7	8
23.95 Total new obligations	-3	-2	-2
24.40 Unobligated balance carried forward, end of year	5	6	6
New budget authority (gross), detail:			
Mandatory:			
60.20 Appropriation (special fund)	-1	2	2
Change in obligated balances:			
72.40 Obligated balance, start of year	1	2	1
73.10 Total new obligations	3	2	2
73.20 Total outlays (gross)	-2	-2	-2
74.40 Obligated balance, end of year	2	1	1
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority		2	2
86.98 Outlays from mandatory balances	2		
87.00 Total outlays (gross)	2	2	2
Net budget authority and outlays:			
89.00 Budget authority	-1	2	2
90.00 Outlays	2	2	2

These appropriations provide for development and conservation of fish and wildlife and recreational facilities on military installations. Proceeds from the sale of fishing and hunting permits are used for these programs at Army, Navy, Marine Corps, and Air Force installations charging such user fees. These programs are carried out through cooperative plans agreed upon by the local representatives of the Secretary of Defense, the Secretary of the Interior, and the appropriate agency of the State in which the installation is located.

Object Classification (in millions of dollars)

Identification code 97-5095-0-2-303	2001 actual	2002 est.	2003 est.
Direct obligations:			
25.2 Other services	1	1	1
26.0 Supplies and materials	1	1	1
99.0 Direct obligations	2	2	2
99.5 Below reporting threshold	1		
99.9 Total new obligations	3	2	2

Personnel Summary

Identification code 97-5095-0-2-303	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	1		

SELECTIVE SERVICE SYSTEM

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Selective Service System, including expenses of attendance at meetings and of training for uniformed personnel assigned to the Selective Service System, as authorized by 5 U.S.C. 4101-4118 for civilian employees; and not to exceed **[\$1,000] \$750** for official reception and representation expenses; **[\$25,003,000] \$26,480,000**: *Provided*, That during the current fiscal year, the President may exempt this appropriation from the provisions of 31 U.S.C. 1341, whenever he deems such action to be nec-

essary in the interest of national defense: *Provided further*, That none of the funds appropriated by this Act may be expended for or in connection with the induction of any person into the Armed Forces of the United States. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 90-0400-0-1-054	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	25	25	26
10.00 Total new obligations	25	25	26
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	25	25	26
23.95 Total new obligations	-25	-25	-26
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	25	25	26
Change in obligated balances:			
72.40 Obligated balance, start of year	8	10	10
73.10 Total new obligations	25	25	26
73.20 Total outlays (gross)	-23	-25	-26
74.40 Obligated balance, end of year	10	10	11
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	18	18	19
86.93 Outlays from discretionary balances	6	7	8
87.00 Total outlays (gross)	23	25	26
Net budget authority and outlays:			
89.00 Budget authority	25	25	26
90.00 Outlays	23	25	25

The Selective Service System (SSS) continues to register men as they reach age 18, as required by law, and maintain an active data base of registrant records. Should the Nation return to conscription for a national emergency, the agency would have the first draftees at military processing centers 193 days after a mobilization. In cooperation with the Department of Defense, Active Duty and Reserve Officers are being reduced to reflect the reduced readiness requirements.

The SSS will continue to strengthen its partnership with the Armed Services. The agency will continue its national initiative to offer every young man that receives an acknowledgment, almost two million annually, the opportunity to volunteer for the military services.

In addition to improving its business processes and national registration compliance statistics, while helping to sustain military recruiting efforts, the Agency is incorporating advanced information technology architectures to ensure faster, more accurate registration processing and better customer services via the Internet.

Object Classification (in millions of dollars)

Identification code 90-0400-0-1-054	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	9	9	10
11.8 Special personal services payments	6	6	6
11.9 Total personnel compensation	15	15	16
12.1 Civilian personnel benefits	2	2	2
23.1 Rental payments to GSA	1	1	1
23.3 Communications, utilities, and miscellaneous charges	2	2	2
24.0 Printing and reproduction	1	1	1
25.2 Other services	4	4	4
99.9 Total new obligations	25	25	26

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Personnel Summary

Identification code 90-0400-0-1-054	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	166	166	166

ENVIRONMENTAL PROTECTION AGENCY

Federal Funds

General and special funds:

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, and for construction, alteration, repair, rehabilitation, and renovation of facilities, not to exceed \$75,000 per project, **[\$34,019,000] \$37,954,000**, to remain available until September 30, **[2003] 2004.** (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 68-0112-0-1-304	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.10 Effective Management	30	47	35
00.11 Pension and Benefits Accrual	2	3	3
09.01 Reimbursements from Superfund Trust Fund	11	12	12
10.00 Total new obligations	43	62	50
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	9	13
22.00 New budget authority (gross)	47	49	50
23.90 Total budgetary resources available for obligation	56	62	50
23.95 Total new obligations	-43	-62	-50
24.40 Unobligated balance carried forward, end of year	13
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	36	37	38
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	11	12	12
70.00 Total new budget authority (gross)	47	49	50
Change in obligated balances:			
72.40 Obligated balance, start of year	12
73.10 Total new obligations	43	62	50
73.20 Total outlays (gross)	-44	-50	-51
74.40 Obligated balance, end of year	12	9
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	35	39	40
86.93 Outlays from discretionary balances	9	11	11
87.00 Total outlays (gross)	44	50	51
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-11	-12	-12
Net budget authority and outlays:			
89.00 Budget authority	36	37	38
90.00 Outlays	31	38	39
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	34	34	35
90.00 Outlays	31	35	36

responsibility. To assist the Agency in accomplishing this goal, the Office of Inspector General (OIG) will provide audit, evaluation, and investigative products and advisory services to improve the performance and integrity of EPA programs and operations. These products and services will contribute substantially to improved environmental quality and human health. Specifically, the OIG performs contract audits and investigations which focus on costs claimed by contractors, and on the award and management of contracts. Assistance agreement audits and investigations evaluate the award, administration, and costs of assistance agreements. Program audits, evaluations and investigations determine the extent to which the desired results or benefits envisioned by the Administration and Congress are being achieved, and identify activities that contribute to or undermine the integrity, efficiency, and effectiveness of Agency programs. Financial statement audits review financial systems and statements to ensure that adequate controls are in place and the Agency's accounting information is accurate, reliable and useful, and complies with applicable laws and regulations. Systems audits review the economy, efficiency, and effectiveness of operations by examining the Agency's leadership systems, its strategic planning, human resources development, customer focus, and process management. Additional funds for audit, evaluation and investigative activities associated with the Superfund Trust Fund are appropriated under that account and transferred to the Inspector General account to allow for proper accounting, including the costs of the ombudsman function. This appropriation also supports activities under the Working Capital Fund.

Object Classification (in millions of dollars)

Identification code 68-0112-0-1-304	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	19	20	21
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	20	21	22
12.1 Civilian personnel benefits	7	8	7
21.0 Travel and transportation of persons	1	1	1
25.2 Other services	3	17	4
25.3 Other purchases of goods and services from Government accounts	1	2	2
31.0 Equipment	1	1
99.0 Direct obligations	32	50	37
99.0 Reimbursable obligations	11	12	13
99.9 Total new obligations	43	62	50

Personnel Summary

Identification code 68-0112-0-1-304	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	252	272	272
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	78	94	94

SCIENCE AND TECHNOLOGY

For science and technology, including research and development activities, which shall include research and development activities under the Comprehensive Environmental Response, Compensation, and Liability Act of 1980, as amended; necessary expenses for per-

This appropriation supports EPA's core programs, and the Agency's effective management goal to establish a management infrastructure that will set and implement the highest quality standards for effective internal management and fiscal

General and special funds—Continued

SCIENCE AND TECHNOLOGY—Continued

sonnel and related costs and travel expenses, including uniforms, or allowances therefor, as authorized by 5 U.S.C. 5901–5902; services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the maximum rate payable for senior level positions under 5 U.S.C. 5376; procurement of laboratory equipment and supplies; other operating expenses in support of research and development; construction, alteration, repair, rehabilitation, and renovation of facilities, not to exceed \$75,000 per project, **[\$698,089,000] \$685,275,000**, which shall remain available until September 30, **[2003] 2004**, of which **\$11,000,000** shall be derived from the *Environmental Services Fund*. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, and to support activities related to countering terrorism, for “Science and technology”, \$90,308,000 to remain available until expended, to be obligated from amounts made available in Public Law 107–38.] (*Emergency Supplemental Act, 2002*.)

Program and Financing (in millions of dollars)

Identification code 68–0107–0–1–304	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Clean Air	149	195	148
00.02 Clean Water	99	219	95
00.03 Safe Food	12	18	15
00.04 Preventing Pollution	25	30	23
00.05 Waste Management	30	36	15
00.06 Global and Cross-Border	48	59	49
00.07 Right to Know	16	12	10
00.08 Sound Science	270	352	286
00.09 Credible Deterrent	11	13	11
00.10 Effective Management	16	29	18
00.11 Pension and Benefits Accrual	14	15	15
09.01 Reimbursements from Superfund Trust Fund	36	37	37
09.02 Other Reimbursements	3	8	8
09.99 Total reimbursable program	39	45	45
10.00 Total new obligations	729	1,023	730
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	155	175
22.00 New budget authority (gross)	749	848	730
23.90 Total budgetary resources available for obligation	904	1,023	730
23.95 Total new obligations	–729	–1,023	–730
23.98 Unobligated balance expiring or withdrawn	–1
24.40 Unobligated balance carried forward, end of year	175
New budget authority (gross), detail:			
Discretionary:			
Appropriation:			
40.00 Appropriation	711	713	674
40.00 Appropriation [CT Supplemental]	90
40.20 Appropriation (special fund, definite—Environmental Services Fund)	11
40.77 Reduction pursuant to P.L. 106–554 (0.22 percent)	–2
43.00 Appropriation (total discretionary)	709	803	685
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	34	45	45
68.10 Change in uncollected customer payments from Federal sources (unexpired)	6
68.90 Spending authority from offsetting collections (total discretionary)	40	45	45
70.00 Total new budget authority (gross)	749	848	730
Change in obligated balances:			
72.40 Obligated balance, start of year	501	493	681
73.10 Total new obligations	729	1,023	730
73.20 Total outlays (gross)	–733	–835	–866
73.40 Adjustments in expired accounts (net)	–9
74.00 Change in uncollected customer payments from Federal sources (unexpired)	–6
74.10 Change in uncollected customer payments from Federal sources (expired)	11

74.40 Obligated balance, end of year	493	681	545
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	322	474	443
86.93 Outlays from discretionary balances	411	361	423
87.00 Total outlays (gross)	733	835	866
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	–43	–45	–45
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	–6
88.96 Portion of offsetting collections (cash) credited to expired accounts	9
Net budget authority and outlays:			
89.00 Budget authority	709	803	685
90.00 Outlays	690	790	821

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	695	788	670
90.00 Outlays	676	775	806

This appropriation finances salary, travel, science, technology, research and development activities including laboratory and center supplies, certain operating expenses (including activities under the Working Capital Fund), contracts, grants, intergovernmental agreements, and purchases of scientific equipment. These activities provide the scientific and technology basis for EPA’s regulatory actions.

Superfund research costs are appropriated in the Hazardous Substance Superfund appropriation and transferred to this account to allow for proper accounting. A portion of funding provided through this account to support the mobile sources program is to be derived from fees charged for motor vehicle engine certifications that are deposited in the Environmental Services special fund.

This appropriation supports core Agency programs and a number of the Agency’s ten goals. Specifically in 2003, our emphasis will be placed on the following:

Clean Air.—To ensure that every American community has safe and healthy air to breathe, EPA will conduct a range of science and technology activities. These include research on the effects to human health of toxic air pollutants, and research on criteria air pollutants (ozone, carbon monoxide, sulfur dioxide, nitrogen dioxide, lead, and particulate matter) to develop the scientific basis for EPA’s national ambient air quality standards. EPA will also develop control measures for mobile sources, including the development of cleaner engine technologies, and cleaner burning fuels and tools for States to develop clean air plans and achieve the air quality standards.

Clean and Safe Water.—To support the goal of the American public having drinking water that is clean and safe to drink, EPA will conduct research to support efforts to attain good water quality and safe drinking water. A concerted effort will be made to help small communities meet the new drinking water standards for arsenic. EPA will conduct terrorism vulnerability assessments for local drinking water systems. Although the majority of this will be federal contract assistance, the Agency will also give assistance to drinking water systems under certain conditions. EPA will also conduct the research to strengthen the scientific basis for development of effective beach evaluation tools, and to enhance understanding of the structure and function of aquatic systems through the development of improved aquatic ecocriteria.

Safe Food.—To ensure that the food the American public consumes will be free from unsafe pesticide residues, EPA

research laboratories and centers provide analytical and environmental chemistry services in support of EPA's registration, reregistration and tolerance/reassessment programs for food-use pesticides. Also, EPA laboratories will provide analytical chemistry capabilities to validate food tolerance enforcement methods.

Preventing Pollution and Reducing Risk in Communities, Homes, Workplaces and Ecosystems.—Pollution Prevention and risk management strategies will be aimed at cost-effectively eliminating, reducing, or minimizing potential risks due to emissions and contamination. The Agency aims to improve indoor environments through technical support, analysis and producing necessary information to understand indoor air effects, and identifying potential health risks so that risk managers can make informed decisions.

Better Waste Management, Restoration of Contaminated Waste Sites, and Emergency Response.—To ensure that America's waste will be stored, treated, and disposed of in ways that prevent harm to people and the environment, EPA will research ways to reduce uncertainties associated with groundwater/soil sampling and analysis, to develop methods and models of contaminant transport, and to reduce the time and cost associated with site characterization and the site remediation activities that it guides. Field analytical methods for characterizing soils are also intended to provide cheaper and more timely analyses and to reduce the uncertainty of site characterization.

Reduction of Global and Cross-Border Environmental Risks.—EPA global change research is dedicated to enhancing our capability to assess the vulnerability of human health and ecosystems to climate-induced stressors at the regional scale, and to assess mitigation and adaptation strategies. The Agency will continue to work with the U.S. automobile industry in a cooperative research effort to develop advanced automotive powertrain and engine technology that is both clean and highly fuel efficient. This effort will focus on developing cost-effective, near-term technologies for cleaner and more efficient cars and trucks that can run on both conventional and renewable fuels. Through its Transportation Efficiency Program, EPA will support ongoing efforts to provide public information about transportation choices and consumers' impact on air quality, traffic congestion and climate changes.

Quality Environmental Information.—To provide the public with information about the environment, EPA will continue to focus on improving data collection and data quality and on deploying new technologies for real time and automated measurement, monitoring and information delivery. EPA intends to develop policies and procedures for planning, documenting, implementing and assessing data collection for use in making Agency decisions.

Sound Science, Improved Understanding of Environmental Risk, and Greater Innovation to Address Environmental Problems.—EPA will develop and apply the best available science for addressing current and future environmental hazards, as well as new approaches toward improving environmental protection. The Agency will continue to improve its understanding of risks to the health of the American public and the Nation's ecosystems. EPA will address emerging environmental issues while seeking to develop innovative, cost-effective solutions to pollution prevention and risk reduction. EPA will seek to reduce uncertainties in risk assessment and help to prevent and manage risk by using cost-effective approaches.

A Credible Deterrent to Pollution and Greater Compliance with the Law.—The National Enforcement Investigations Center is the primary source of forensics expertise in EPA. It provides technical services not available elsewhere to support the needs of EPA Headquarters and Regional offices, other Federal Agencies, and state and local environmental enforcement organizations.

Effective Management.—EPA will improve the quality of its internal management, contract administration, and fiscal responsibility efforts. The Agency will invest in its employees through training, education and implementation of automated and streamlined human resource processes. The Agency will focus its contracting efforts on performance-based service contracts instead of the traditional cost-plus, level-of-effort contracting, relying on guidance developed by the Office of Federal Procurement Policy. EPA will concentrate on resolution of material weaknesses previously identified in the area of grant closeouts, and on implementation of the best practices identified government-wide by the General Account Office (GAO) for information resources management and integration of information technology investments. EPA will also provide support for electronic reporting to reduce the burden to the Agency's highest-volume submitters.

Object Classification (in millions of dollars)

Identification code 68-0107-0-1-304	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	171	170	179
11.3 Other than full-time permanent	8	8	8
11.5 Other personnel compensation	3	3	3
11.7 Military personnel	2	2	2
11.9 Total personnel compensation	184	183	192
12.1 Civilian personnel benefits	54	55	55
21.0 Travel and transportation of persons	6	6	7
22.0 Transportation of things	1	1	1
23.3 Communications, utilities, and miscellaneous charges	5	4	4
24.0 Printing and reproduction	2	1	1
25.1 Advisory and assistance services	6	6	6
25.2 Other services	57	364	61
25.3 Other purchases of goods and services from Government accounts	38	38	38
25.4 Operation and maintenance of facilities	11	9	9
25.5 Research and development contracts	68	65	65
25.7 Operation and maintenance of equipment	25	20	20
26.0 Supplies and materials	12	11	11
31.0 Equipment	22	21	21
41.0 Grants, subsidies, and contributions	198	193	193
42.0 Insurance claims and indemnities	1	1	1
99.0 Direct obligations	690	978	685
99.0 Reimbursable obligations	39	45	45
99.9 Total new obligations	729	1,023	730

Personnel Summary

Identification code 68-0107-0-1-304	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	2,445	2,423	2,426
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	6	6	3

ENVIRONMENTAL PROGRAMS AND MANAGEMENT

For environmental programs and management, including necessary expenses, not otherwise provided for, for personnel and related costs and travel expenses, including uniforms, or allowances therefor, as authorized by 5 U.S.C. 5901-5902; services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the maximum rate payable for senior level positions under 5 U.S.C. 5376; hire of passenger motor vehicles; hire, maintenance, and operation of aircraft; purchase of reprints; library memberships in societies or associations which issue publications to members only or at a price to members lower than to subscribers who are not members; construction, alteration, repair, rehabilitation, and renovation of facilities, not to exceed \$75,000 per project; and not to exceed \$6,000 for official reception and representation expenses, **[\$2,054,511,000] \$2,114,861,000**, which shall remain available until

General and special funds—Continued

ENVIRONMENTAL PROGRAMS AND MANAGEMENT—Continued

September 30, [2003] 2004, [of which \$29,000,000 is for the] *including* administrative costs of the brownfields program under the [Comprehensive Environmental Response, Compensation and Liability Act of 1980, as amended] *Small Business Liability Relief and Brownfields Revitalization Act of 2002. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.)*

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, and to support activities related to countering terrorism, for “Environmental programs and management”, \$39,000,000, to remain available until expended, to be obligated from amounts made available in Public Law 107–38.] *(Emergency Supplemental Act, 2002.)*

Program and Financing (in millions of dollars)			
Identification code 68–0108–0–1–304	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Clean Air	192	290	186
00.02 Clean Water	475	573	461
00.03 Safe Food	83	94	94
00.04 Preventing Pollution	174	178	178
00.05 Waste Management	158	172	194
00.06 Global and Cross-Border	159	159	159
00.07 Right to Know	151	144	146
00.08 Sound Science	67	71	61
00.09 Credible Deterrent	293	292	287
00.10 Effective Management	292	318	284
00.11 Pension and Benefits Accrual	62	65	67
09.01 Reimbursable program	48	45	45
10.00 Total new obligations	2,154	2,401	2,162
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	165	197
22.00 New budget authority (gross)	2,203	2,204	2,160
22.22 Unobligated balance transferred from other accounts	1
23.90 Total budgetary resources available for obligation	2,369	2,401	2,160
23.95 Total new obligations	–2,154	–2,401	–2,162
23.98 Unobligated balance expiring or withdrawn	–18
24.40 Unobligated balance carried forward, end of year	197
New budget authority (gross), detail:			
Discretionary:			
Appropriation:			
40.00 Appropriation	2,150	2,120	2,115
40.00 Appropriation [Supplemental]	39
40.77 Reduction pursuant to P.L. 106–554 (0.22 percent)	–5
42.00 Transferred from other accounts	4
43.00 Appropriation (total discretionary)	2,149	2,159	2,115
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	17	45	45
68.10 Change in uncollected customer payments from Federal sources (unexpired)	37
68.90 Spending authority from offsetting collections (total discretionary)	54	45	45
70.00 Total new budget authority (gross)	2,203	2,204	2,160
Change in obligated balances:			
72.40 Obligated balance, start of year	751	784	925
73.10 Total new obligations	2,154	2,401	2,162
73.20 Total outlays (gross)	–2,075	–2,260	–2,287
73.40 Adjustments in expired accounts (net)	–18
74.00 Change in uncollected customer payments from Federal sources (unexpired)	–37
74.10 Change in uncollected customer payments from Federal sources (expired)	8
74.40 Obligated balance, end of year	784	925	800
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1,441	1,521	1,531
86.93 Outlays from discretionary balances	634	739	756
87.00 Total outlays (gross)	2,075	2,260	2,287

Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	–23	–45	–45
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	–37
88.96 Portion of offsetting collections (cash) credited to expired accounts	6

Net budget authority and outlays:			
89.00 Budget authority	2,149	2,159	2,115
90.00 Outlays	2,052	2,215	2,242

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	2,087	2,094	2,048
90.00 Outlays	1,990	2,150	2,175

Note.—Excludes \$9 million in budget authority in BY for activities transferred to the National Science Foundation. Comparable amounts for PY (\$9 million and CY (\$9 million) are included above.

This appropriation includes funds for salaries, travel, contracts, grants, and cooperative agreements for pollution abatement, control, and compliance activities and administrative activities of the operating programs, including activities under the Working Capital Fund.

This appropriation supports core Agency programs and each of the Agency’s ten goals. Specifically in 2003, EPA will emphasize the following:

Clean Air.—To ensure that every American community has safe and healthy air to breathe, EPA will develop and implement new strategies to attain ambient air quality standards for ozone and particulate matter, and reduce regional haze through geographic initiatives in areas where significant transport of pollutants occurs. EPA will continue to develop and issue national technology-based standards to reduce the quantity of toxic air pollutants emitted from industrial and manufacturing processes, as well as urban sources. EPA will also develop control measures for mobile, stationary and other sources that are regulated at the Federal level. The Acid Rain program will continue its market-based approach to achieving reduced emissions of sulfur dioxide and nitrogen oxides primarily from electric utilities.

Clean and Safe Water.—To provide all Americans with drinking water that is clean and safe to drink, EPA will work with States and Tribes in developing and implementing new drinking water standards for microbiological contaminants, disinfectant and disinfection byproducts, and chemicals identified as posing high risks. EPA will also work with its State and Tribal partners to address protection of drinking water sources and will take a leadership role to enhance security at drinking water and wastewater facilities. EPA will provide the tools and guidance for its partners to better protect the Nation’s waters, and protect and restore wetlands. EPA and its partners will make progress toward completing Total Maximum Daily Loads (TMDLs) for impaired U.S. waters and improving implementation of TMDL programs. EPA will work with its partners to assure effective implementation of the National Pollutant Discharge Elimination System and pretreatment program. EPA will also work with its partners to address sources of polluted runoff.

Safe Food.—To ensure that the foods Americans eat will be free from unsafe levels of pesticide residues, EPA plans to apply strict health-based standards to the registration of pesticides for use on food or animal feed, ensure that older pesticides meet current health standards, decrease the use of pesticides with the highest potential to cause adverse effects, and expedite and increase the registration of safer pesticides.

Preventing Pollution and Reducing Risk in Communities, Homes, Workplaces and Ecosystems.—Pollution prevention

and risk management strategies will be aimed at cost-effectively eliminating, reducing, or minimizing toxic chemical emissions and contamination. EPA intends to reduce potential human and environmental risks as a result of pesticides from commercial and residential exposure, as well as programs targeting farmworker protection, endangered species protection, environmental stewardship, and integrated pest management. Through voluntary actions, EPA seeks to ensure healthier indoor air for American homes, schools and office buildings. EPA plans to encourage the development of safer chemicals by minimizing or reducing the regulatory burdens on new chemicals that replace more hazardous chemicals already in the marketplace. The toxicity of wastes will be reduced by focusing on reductions in persistent, bioaccumulative and toxic (PBTs) chemicals. The quantity of wastes will also be reduced through source reduction and recycling.

Better Waste Management, Restoration of Contaminated Waste Sites, and Emergency Response.—To ensure that America's waste will be stored, treated, and disposed of in ways that prevent harm to people and to the natural environment, EPA will continue its Hazardous Waste Minimization and Combustion Strategy, including setting new standards for hazardous waste incinerators and cement kilns that burn hazardous waste. In addition, the Agency will focus on controlling human exposures and groundwater releases at Resource Conservation and Recovery Act (RCRA) facilities designated as high priority for corrective action. EPA will develop and promulgate standards, regulations, and guidelines to reduce exposure from radiation sources. The Agency will also provide for the federal administrative expenses of brownfields cleanup activities.

Reduction of Global and Cross-Border Environmental Risks.—The United States will lead other nations in successful, multilateral efforts to reduce significant risks to human health and ecosystems from climate change, stratospheric ozone depletion, and other environmental hazards of international concern. EPA will implement formal bilateral and multilateral environmental agreements with key countries, execute environmental components of key foreign policy initiatives, and engage in regional and global negotiations aimed at reducing environmental risks via formal and informal agreements. EPA will cooperate with other countries to ensure that domestic and international environmental laws, policies, and priorities are recognized and implemented and, where appropriate, promoted within the multilateral development assistance and trading system. EPA will also emphasize domestic and international efforts to limit the production and use of ozone-depleting substances and develop safe alternative compounds, and demonstrate and promote public/private partnership programs that reduce greenhouse gas emissions.

Quality Environmental Information.—The unprecedented changes in information technology over the past few years, combined with an increasing public demand for information, are altering the way the Agency and States collect, manage, analyze, use, secure, and provide access to quality environmental information. EPA is working with the States and Tribes to strengthen our information quality, leverage information maintained by other government organizations, and develop new tools that provide the public with simultaneous access to multiple data sets, allowing users to understand local, state, regional, and national environmental conditions. Key to achieving information quality will be the further development of the Information Exchange Network, which is primarily an affiliation between EPA and the States and Tribes. EPA will continue to develop and define a fundamentally new approach to integrating, managing, and providing access to environmental information. EPA will continue to reduce reporting burden, improve data quality, and speed data publications by increasing the amount of electronic reporting under

the Toxic Release Inventory (TRI). EPA intends to expand the use of the Internet for delivering this information.

Sound Science, Improved Understanding of Environmental Risk, and Greater Innovation to Address Environmental Problems.—EPA will maximize the potential to reduce uncertainties in risk assessment, and help to prevent and manage risk, by using cost-effective approaches such as the place- and facility-based strategies (e.g., the Community-Based Environmental Protection strategy).

A Credible Deterrent to Pollution and Greater Compliance With the Law.—EPA will ensure full compliance with laws intended to protect human health and the environment. The Agency will use new and innovative approaches of compliance assistance and compliance incentives as well as traditional enforcement activities to promote compliance by the regulated community, set risk-based compliance and enforcement priorities, and strategically plan and target activities to address environmental problems associated with industry sectors and communities.

Effective Management.—EPA will improve the quality of its internal management, contract administration, and fiscal responsibility efforts. The Agency will invest in its employees through training, education and implementation of automated and streamlined human resources processes. The Agency will focus its contracting efforts on performance-based service contracts instead of the traditional cost-plus, level-of-effort contracting, relying on guidance developed by the Office of Federal Procurement Policy. EPA will concentrate on resolution of material weaknesses previously identified in the area of grant closeouts, and on implementation of the best practices identified government-wide by the General Accounting Office (GAO) for information resources management and integration of information technology investments. EPA will also provide support for electronic reporting to reduce the burden to the Agency's highest-volume submitters.

Object Classification (in millions of dollars)

Identification code 68-0108-0-1-304	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	745	854	862
11.3 Other than full-time permanent	6	6	33
11.5 Other personnel compensation	14	14	14
11.7 Military personnel	5	5	7
11.8 Special personal services payments	2	2	2
11.9 Total personnel compensation	772	881	918
12.1 Civilian personnel benefits	238	241	260
12.2 Military personnel benefits	1	1	1
21.0 Travel and transportation of persons	27	29	30
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	152	162	161
23.2 Rental payments to others	12	13	13
23.3 Communications, utilities, and miscellaneous charges	14	15	15
24.0 Printing and reproduction	8	8	8
25.1 Advisory and assistance services	25	26	26
25.2 Other services	439	557	261
25.3 Other purchases of goods and services from Government accounts	69	69	69
25.4 Operation and maintenance of facilities	23	23	23
25.5 Research and development contracts	1	2	2
25.7 Operation and maintenance of equipment	14	15	15
26.0 Supplies and materials	13	13	13
31.0 Equipment	28	28	29
41.0 Grants, subsidies, and contributions	269	272	272
99.0 Direct obligations	2,106	2,356	2,117
99.0 Reimbursable obligations	48	45	45
99.9 Total new obligations	2,154	2,401	2,162

General and special funds—Continued

ENVIRONMENTAL PROGRAMS AND MANAGEMENT—Continued

Personnel Summary

Identification code 68-0108-0-1-304	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	10,928	11,083	11,143
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	28	4	2

BUILDINGS AND FACILITIES

For construction, repair, improvement, extension, alteration, and purchase of fixed equipment or facilities of, or for use by, the Environmental Protection Agency, **[\$25,318,000] \$42,918,000**, to remain available until expended. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 68-0110-0-1-304	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Effective Management	28	31	43
10.00 Total new obligations	28	31	43
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	10	6
22.00 New budget authority (gross)	24	25	43
22.10 Resources available from recoveries of prior year obligations	1
23.90 Total budgetary resources available for obligation	35	31	43
23.95 Total new obligations	-28	-31	-43
24.40 Unobligated balance carried forward, end of year	6
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	24	25	43
Change in obligated balances:			
72.40 Obligated balance, start of year	83	56	46
73.10 Total new obligations	28	31	43
73.20 Total outlays (gross)	-54	-41	-30
73.45 Recoveries of prior year obligations	-1
74.40 Obligated balance, end of year	56	46	59
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	6	5	8
86.93 Outlays from discretionary balances	48	36	22
87.00 Total outlays (gross)	54	41	30
Net budget authority and outlays:			
89.00 Budget authority	24	25	43
90.00 Outlays	54	41	30

This appropriation provides for the construction, repair, improvement, extension, alteration, and purchase of fixed equipment or facilities that are owned or used by the Environmental Protection Agency. This appropriation supports the Agency-wide goal of effective management. EPA's management infrastructure will set and implement the highest quality standards for effective internal management and fiscal responsibility. The facilities funded by this account will provide quality work environments and state-of-the-art laboratories that consider employee safety and security and pollution prevention.

Object Classification (in millions of dollars)

Identification code 68-0110-0-1-304	2001 actual	2002 est.	2003 est.
25.4 Operation and maintenance of facilities	5	5	12

32.0 Land and structures	23	26	31
99.9 Total new obligations	28	31	43

STATE AND TRIBAL ASSISTANCE GRANTS

For environmental programs and infrastructure assistance, including capitalization grants for State revolving funds and performance partnership grants, **[\$3,733,276,000] \$3,463,776,000**, to remain available until expended, of which **[\$1,350,000,000] \$1,250,000,000** shall be for making capitalization grants for the Clean Water State Revolving Funds under title VI of the Federal Water Pollution Control Act, as amended (the "Act"); **\$850,000,000** shall be for capitalization grants for the Drinking Water State Revolving Funds under section 1452 of the Safe Drinking Water Act, as amended, except that, notwithstanding section 1452(n) of the Safe Drinking Water Act, as amended, none of the funds made available under this heading in this Act, or in previous appropriations Acts, shall be reserved by the Administrator for health effects studies on drinking water contaminants; **\$75,000,000** shall be for architectural, engineering, planning, design, construction and related activities in connection with the construction of high priority water and wastewater facilities in the area of the United States-Mexico Border, after consultation with the appropriate border commission; **\$40,000,000** shall be for grants to the State of Alaska to address drinking water and wastewater infrastructure needs of rural and Alaska Native Villages; **[\$343,900,000, in addition to \$124,725 previously appropriated under this heading in Public Law 106-377 and \$498,900 previously appropriated under this heading in Public Law 106-554, shall be for making grants for the construction of wastewater and water treatment facilities and groundwater protection infrastructure in accordance with the terms and conditions specified for such grants in the statement of the managers accompanying this Act;] \$120,500,000 shall be to carry out section 104(k) of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA), as amended, including grants, interagency agreements, and associated program support costs; \$8,000,000 shall be for a grant to the State of South Dakota to maintain the physical integrity of the Homestake Mine in preparation for the potential development of a major research facility on that site; and **[\$1,074,376,000] \$1,120,276,000** shall be for grants, including associated program support costs, to States, federally recognized tribes, interstate agencies, tribal consortia, and air pollution control agencies for multi-media or single media pollution prevention, control and abatement and related activities, including activities pursuant to the provisions set forth under this heading in Public Law 104-134, and for making grants under section 103 of the Clean Air Act for particulate matter monitoring and data collection activities, of which and subject to terms and conditions specified by the Administrator, **\$15,000,000 shall be for making grants for enforcement and related activities (in addition to other grants funded under this heading), \$50,000,000 shall be for carrying out section 128 of CERCLA, as amended, and \$25,000,000 shall be for Environmental Information Exchange Network grants, including associated program support costs: Provided, That for fiscal year [2002] 2003, State authority under section 302(a) of Public Law 104-182 shall remain in effect: Provided further, [That notwithstanding section 603(d)(7) of the Act, the limitation on the amounts in a State water pollution control revolving fund that may be used by a State to administer the fund shall not apply to amounts included as principal in loans made by such fund in fiscal year 2002 and prior years where such amounts represent costs of administering the fund to the extent that such amounts are or were deemed reasonable by the Administrator, accounted for separately from other assets in the fund, and used for eligible purposes of the fund, including administration: Provided further,] That for fiscal year [2002] 2003, and notwithstanding section 518(f) of the [Federal Water Pollution Control] Act, [as amended,] the Administrator is authorized to use the amounts appropriated for any fiscal year under section 319 of that Act to make grants to Indian tribes pursuant to [section] sections 319(h) and 518(e) of that Act: Provided further, That for fiscal year [2002] 2003, notwithstanding the limitation on amounts in section 518(c) of the Act, up to a total of 1½ percent of the funds appropriated for State Revolving Funds under title VI of that Act may be reserved by the Administrator for grants under section 518(c) of such Act: Provided further, That no funds provided by this legislation to address the water, wastewater and other critical infrastructure needs of the colonias in the United States along the United States-****

Mexico border shall be made available to a county or municipal government unless that government has established an enforceable local ordinance, or other zoning rule, which prevents in that jurisdiction the development or construction of any additional colonia areas, or the development within an existing colonia the construction of any new home, business, or other structure which lacks water, wastewater, or other necessary infrastructure. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

[For making grants for emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, and to support activities related to countering potential biological and chemical threats to populations, for “State and tribal assistance grants”, \$5,000,000, to remain available until expended, to be obligated from amounts made available in Public Law 107–38.

The referenced statement of the managers under this heading in Public Law 107–73 is deemed to be amended by striking “Florida Department of Environmental Protection” in reference to item number 92, and inserting “Southwest Florida Water Management District”; and by striking “Southeast” in reference to item number 9, and inserting “Southwest”.

The referenced statement of the managers under this heading in Public Law 106–377 is deemed to be amended by striking “repairs to water and sewer lines” in reference to item number 171 and inserting “water and wastewater infrastructure improvements.”] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 68–0103–0–1–304	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Clean Air	218	316	220
00.02 Clean Water	3,006	4,294	2,810
00.04 Preventing Pollution	100	130	96
00.05 Waste Management	73	98	143
00.06 Global and Cross-Border	127	102	75
00.07 Right to Know	25	25
00.09 Credible Deterrent	72	72	95
09.01 Reimbursable program	30
10.00 Total new obligations	3,626	5,037	3,464
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1,219	1,299
22.00 New budget authority (gross)	3,671	3,738	3,464
22.10 Resources available from recoveries of prior year obligations	35
23.90 Total budgetary resources available for obligation	4,925	5,037	3,464
23.95 Total new obligations	–3,626	–5,037	–3,464
24.40 Unobligated balance carried forward, end of year	1,299
New budget authority (gross), detail:			
Discretionary:			
Appropriation:			
40.00 Appropriation	3,649	3,733	3,464
40.00 Appropriation (Supplemental)	5
40.77 Reduction pursuant to P.L. 106–554 (0.22 percent)	–8
43.00 Appropriation (total discretionary)	3,641	3,738	3,464
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	30
70.00 Total new budget authority (gross)	3,671	3,738	3,464
Change in obligated balances:			
72.40 Obligated balance, start of year	7,874	7,917	9,488
73.10 Total new obligations	3,626	5,037	3,464
73.20 Total outlays (gross)	–3,548	–3,466	–3,737
73.45 Recoveries of prior year obligations	–35
74.40 Obligated balance, end of year	7,917	9,488	9,215
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	580	657	692
86.93 Outlays from discretionary balances	2,968	2,809	3,045
87.00 Total outlays (gross)	3,548	3,466	3,737
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	–30

Net budget authority and outlays:			
89.00 Budget authority	3,641	3,738	3,464
90.00 Outlays	3,518	3,466	3,737

This appropriation supports core Agency programs and a number of the Agency’s ten goals.

Clean and Safe Water.—This Agency goal is to ensure people are provided clean and safe water to drink, and to protect and restore America’s water bodies to improve public health, enhance water quality, reduce flooding, and provide wildlife habitat. In support of this goal, EPA will provide funds for capitalization grants to States for Clean Water State Revolving Funds (SRFs), the purpose of which are to make low interest loans to communities and grants to Indian Tribes and Alaska Native Villages to construct wastewater treatment infrastructure, and fund other projects to enhance water quality. Since 1988, the Federal Government has invested approximately \$19.5 billion in grants to help capitalize the 51 SRFs. With required State match, additional State contribution, and funds from program leveraging, funds made available for such loans total approximately \$37.7 billion. EPA’s goal is for the Clean Water SRFs to revolve at an average level of \$2 billion annually.

Capitalization grants are also provided for the Drinking Water SRFs, which make low interest loans to public water systems and grants to Indian Tribes and Alaska Native Villages to help them comply with the Safe Drinking Water Act. EPA’s goal is for the Drinking Water SRFs eventually to provide an average of \$500 million a year in loans.

Direct grants are also provided to help address the significant water and wastewater infrastructure needs of Alaska Native Villages and for South Dakota’s expenses for maintaining the Homestake mine until a final decision is made on the proposed national underground science laboratory.

EPA will support its partnerships with States and Tribes through media-specific and multi-media, and/or Performance Partnership grants to: (1) increase the number of community drinking water systems that meet all existing health-based standards, (2) protect watersheds by reducing point and nonpoint source pollution, (3) decrease the net loss of wetlands, and (4) address agricultural and urban runoff and storm water.

The Budget includes \$10 million for grants to States to develop and implement monitoring and notification programs for coastal recreation waters pursuant to section 406 of the Clean Water Act, as amended by the Beaches Environmental Assessment and Coastal Health Act of 2000.

Clean Air.—To ensure that every American community has safe and healthy air to breathe, EPA will provide funds to States to upgrade and improve air monitoring networks to obtain better data on emissions of particulate matter, ozone, and for regional haze programs. The Budget includes \$6.5 million to expand and improve air toxics monitoring to assess EPA air toxics programs. EPA will offer media-specific and multi-media, and/or Performance Partnership grants to States and Tribes, and technical assistance to aid in the development of State and Tribal Implementation Plans to support solutions that address local air needs.

Preventing Pollution and Reducing Risk in Communities, Homes, Workplaces and Ecosystems.—EPA plans to offer media-specific and multi-media, and/or Performance Partnership grants to States and Tribes, focusing on pollution prevention, lead, endangered species, worker protection, pesticide applicator certification and training, source reduction and recycling. Best approaches for encouraging recycling of non-hazardous industrial wastes will also be identified through work with the State and Tribal partners. The agency intends to provide assistance to States for the development and implementation of State programs to assess and mitigate radon.

Better Waste Management, Restoration of Contaminated Waste Sites, and Emergency Response.—To ensure that Amer-

General and special funds—Continued

STATE AND TRIBAL ASSISTANCE GRANTS—Continued

ica's waste will be stored, treated, and disposed of in ways that prevent harm to people and to the natural environment, EPA will work with States, Tribes and local governments to put environmental protection and decision making in the hands of those closest to the problems, while maintaining a Federal leadership role. In addition, EPA will fund brownfields projects resulting in over 400 assessments, paving the way for productive reuse of these properties and bringing the cumulative number of sites assessed to approximately 3,300. There will be direct assistance through media-specific, and multi-media and/or Performance Partnership grants to enable Tribes to implement hazardous waste programs.

A Credible Deterrent to Pollution and Greater Compliance With the Law.—To promote compliance with laws intended to protect human health and the environment, EPA will offer media specific and multi-media funding to States and Tribes for compliance assurance activities including compliance assistance and incentives, inspections and enforcement activities. The budget provides an additional \$15 million for new enforcement grants to States and Tribes, to assist them in carrying out environmental enforcement activities.

Reduction of Global and Cross-Border Environmental Risks.—The Agency will provide direct grant assistance to address the serious environmental and human health problems associated with untreated and industrial and municipal sewage on the U.S.-Mexico border. In 2003 a cumulative 900 thousand residents of the U.S.-Mexico border area will be protected from health risks because of the construction of adequate water and wastewater sanitation systems since 1994. These funds also support attainment for the Clean and Safe Water goal.

Quality Environmental Information.—EPA plans to provide \$25 million to States to better enable them to integrate their environmental information systems. The purpose of this support is two-fold: to assist the Agency in managing for results and to allow States to maintain the necessary presence in this area.

Object Classification (in millions of dollars)

Identification code 68-0103-0-1-304	2001 actual	2002 est.	2003 est.
Direct obligations:			
25.2 Other services	22	22	22
25.3 Other purchases of goods and services from Government accounts	86	86	86
41.0 Grants, subsidies, and contributions	3,488	4,929	3,356
99.0 Direct obligations	3,596	5,037	3,464
99.0 Reimbursable obligations	30		
99.9 Total new obligations	3,626	5,037	3,464

PAYMENT TO THE HAZARDOUS SUBSTANCE SUPERFUND

Program and Financing (in millions of dollars)

Identification code 68-0250-0-1-304	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	634	635	700
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	634	635	700
23.95 Total new obligations	-634	-635	-700
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	635	635	700
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
43.00 Appropriation (total discretionary)	634	635	700

Change in obligated balances:			
73.10 Total new obligations	634	635	700
73.20 Total outlays (gross)	-634	-635	-700
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	634	635	700
Net budget authority and outlays:			
89.00 Budget authority	634	635	700
90.00 Outlays	634	635	700

The Comprehensive Environmental Response, Compensation, and Liability Act of 1980, as amended, authorizes appropriations from the general fund to finance activities conducted through the Hazardous Substance Superfund. The authorization for general fund payments to the Superfund expired in 1995, but the Administration proposes to continue the payment from the general fund at \$700 million in 2003.

ENVIRONMENTAL SERVICES

Beginning in fiscal year 2003 and thereafter, the Administrator is authorized to assess fees from any person required to submit data under section 4 or 5 of the Toxic Substances Control Act without regard to the dollar limitations established in section 26(b)(1) of the Act. Such fees shall be calculated based on costs associated with administering those sections of the Act, and shall be paid at the time of data submission, unless otherwise specified by the Administrator. The Administrator may take into account the ability to pay of the person required to submit the data. The Administrator shall promulgate rules to implement this provision. Such rules may provide for allocating the fee in any case in which the expenses of data submission under section 4 or 5 are shared. Fees collected under this provision shall be deposited in a special fund in the U.S. Treasury, which thereafter will be available, subject to appropriation, to carry out the Agency's activities for which such fees are collected.

Unavailable Collections (in millions of dollars)

Identification code 68-5295-0-2-304	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	76	88	99
Receipts:			
Offsetting governmental receipts:			
02.60 Environmental Services	12	11	11
02.60 Environmental Services, proposed legislation			4
02.99 Total receipts and collections	12	11	15
04.00 Total: Balances and collections	88	99	114
Appropriations:			
05.00 Science and technology			-11
07.99 Balance, end of year	88	99	103

A special fund was established for the deposit of fee receipts associated with environmental programs, including motor vehicle engine certifications. Receipts in this special fund will be appropriated to the Science and Technology account in 2003 to finance the expenses of the programs that generate the receipts. Contingent appropriations language is being proposed to modify the cap on the allowable fee that can be charged to recover the costs of EPA's Premanufacture Notification program, for which EPA will issue a rulemaking. Enactment of this appropriations language and needed authorizing legislation would generate \$4 million in receipts that would be discretionary under the Budget Enforcement Act.

EXXON VALDEZ SETTLEMENT FUND

Program and Financing (in millions of dollars)

Identification code 68-5297-0-2-304	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year	1		

Net budget authority and outlays:

89.00	Budget authority
90.00	Outlays

Funds reimbursed to EPA under the Exxon Valdez settlement as a result of the Exxon Valdez oil spill are available to carry out authorized environmental restoration activities.

Personnel Summary

Identification code 68-4310-0-3-304	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	176	117	117

Intragovernmental fund:

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 68-4310-0-3-304	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
09.01	Reimbursable program	18	18	14
09.11	Pension and Benefits Accrual	1	1	1
10.00	Total new obligations	19	19	15
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	5	2
22.00	New budget authority (gross)	17	19	59
23.90	Total budgetary resources available for obligation	22	21	59
23.95	Total new obligations	-19	-19	-15
24.40	Unobligated balance carried forward, end of year	2	44
New budget authority (gross), detail:				
Mandatory:				
69.00	Offsetting collections (cash)	17	19	59
Change in obligated balances:				
72.40	Obligated balance, start of year	2	2	4
73.10	Total new obligations	19	19	15
73.20	Total outlays (gross)	-20	-19	-15
74.40	Obligated balance, end of year	2	4	4
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	17	19	15
86.98	Outlays from mandatory balances	3
87.00	Total outlays (gross)	20	19	15
Offsets:				
Against gross budget authority and outlays:				
88.45	Offsetting collections (cash) from: Offsetting governmental collections (from non-Federal sources)	-17	-19	-59
Net budget authority and outlays:				
89.00	Budget authority
90.00	Outlays	3	-44
Memorandum (non-add) entries:				
92.01	Total investments, start of year: Federal securities: Par value	1	39
92.02	Total investments, end of year: Federal securities: Par value	39	39

Fees are paid by industry to offset costs of accelerated reregistration, expedited processing of pesticides, and establishing tolerances for pesticide chemicals in or on food and animal feed. The fee was authorized through 2001 in the Federal Insecticide, Fungicide, and Rodenticide Act Amendments of 1988, as amended, and by the Food Quality Protection Act of 1996.

Object Classification (in millions of dollars)

Identification code 68-4310-0-3-304	2001 actual	2002 est.	2003 est.	
11.1	Personnel compensation: Full-time permanent	14	8	9
12.1	Civilian personnel benefits	3	3	3
23.1	Rental payments to GSA	2	2	1
25.2	Other services	6	2
99.0	Reimbursable obligations	19	19	15
99.9	Total new obligations	19	19	15

Program and Financing (in millions of dollars)

Identification code 68-4565-0-4-304	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
09.01	ETSD Operations	121	153	130
09.02	Postage	4	4	4
09.99	Total reimbursable program	125	157	134
10.00	Total new obligations	125	157	134
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	22	23
22.00	New budget authority (gross)	126	134	134
23.90	Total budgetary resources available for obligation	148	157	134
23.95	Total new obligations	-125	-157	-134
24.40	Unobligated balance carried forward, end of year	23
New budget authority (gross), detail:				
Spending authority from offsetting collections:				
Discretionary:				
68.00	Offsetting collections (cash)	125	134	134
68.10	Change in uncollected customer payments from Federal sources (unexpired)	1
68.90	Spending authority from offsetting collections (total discretionary)	126	134	134
Change in obligated balances:				
72.40	Obligated balance, start of year	31	28	41
73.10	Total new obligations	125	157	134
73.20	Total outlays (gross)	-126	-144	-144
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-1
74.40	Obligated balance, end of year	28	41	31
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	111	134	134
86.93	Outlays from discretionary balances	15	10	10
87.00	Total outlays (gross)	126	144	144
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-125	-134	-134
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-1
Net budget authority and outlays:				
89.00	Budget authority
90.00	Outlays	1	10	10

EPA received authority to establish a Working Capital Fund (WCF) and was designated a pilot franchise fund under Public Law 103-356, the Government Management and Reform Act of 1994. EPA's WCF became operational in 1997 and includes two activities: Enterprise Technology Services Division's computer operations and Agency postage. The 2003 amount reflects only base resources and may change during the year as programmatic needs change. The Agency received permanent authority for the WCF in P.L. 105-65, which among other things is intended to increase competition for government administrative services resulting in lower costs and higher quality.

Intragovernmental fund—Continued

WORKING CAPITAL FUND—Continued

Object Classification (in millions of dollars)

Identification code 68-4565-0-4-304	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	8	8	8
12.1 Civilian personnel benefits	1	1	1
23.1 Rental payments to GSA	4	4	4
23.3 Communications, utilities, and miscellaneous charges	22	22	22
25.2 Other services	10	42	15
25.7 Operation and maintenance of equipment	68	68	72
31.0 Equipment	9	9	9
32.0 Land and structures	3	3	3
99.9 Total new obligations	125	157	134

Personnel Summary

Identification code 68-4565-0-4-304	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	103	100	100

ABATEMENT, CONTROL, AND COMPLIANCE LOAN PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 68-0118-0-1-304	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.05 Credit Subsidy Reestimate	3		
00.06 Interest on Reestimate	1		
10.00 Total new obligations (object class 41.0)	4		
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	4		
23.95 Total new obligations	-4		
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	4		
Change in obligated balances:			
73.10 Total new obligations	4		
73.20 Total outlays (gross)	-4		
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	4		
Net budget authority and outlays:			
89.00 Budget authority	4		
90.00 Outlays	4		

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 68-0118-0-1-304	2001 actual	2002 est.	2003 est.
Direct loan subsidy outlays:			
134001 Direct loan levels	4		
134901 Total subsidy outlays	4		
Direct loan upward reestimate subsidy budget authority:			
135001 Upward reestimates subsidy budget authority	4		
135901 Total upward reestimate budget authority	4		
Direct loan upward reestimate subsidy outlays:			
136001 Upward reestimates subsidy outlays	4		
136901 Total upward reestimate outlays	4		
Direct loan downward reestimate subsidy budget authority:			
137001 Downward reestimates subsidy budget authority		-1	
137901 Total downward reestimate budget authority		-1	
Direct loan downward reestimate subsidy outlays:			
138001 Downward reestimates subsidy outlays		-1	
138901 Total downward reestimate subsidy outlays		-1	

Administrative expense data:

351001 Budget authority			
359001 Outlays from new authority			

ABATEMENT, CONTROL, AND COMPLIANCE DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 68-4322-0-3-304	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Payment of interest to Treasury	2	1	1
08.02 Downward Reestimate		1	
10.00 Total new obligations	2	2	1
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	7	6	
22.00 New financing authority (gross)	8	5	5
22.10 Resources available from recoveries of prior year obligations	4		
22.60 Portion applied to repay debt	-7	-9	-3
22.70 Balance of authority to borrow withdrawn	-4		
23.90 Total budgetary resources available for obligation	8	2	2
23.95 Total new obligations	-2	-2	-1
24.40 Unobligated balance carried forward, end of year	6		

New financing authority (gross), detail:

Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	8	5	5

Change in obligated balances:

72.40 Obligated balance, start of year	4		
73.10 Total new obligations	2	2	1
73.20 Total financing disbursements (gross)	-2		
73.45 Recoveries of prior year obligations	-4		
87.00 Total financing disbursements (gross)	2		

Offsets:

Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Federal sources	-4		
88.40 Non-Federal sources	-4	-5	-5
88.90 Total, offsetting collections (cash)	-8	-5	-5

Net financing authority and financing disbursements:

89.00 Financing authority			
90.00 Financing disbursements	-6	-5	-5

Status of Direct Loans (in millions of dollars)

Identification code 68-4322-0-3-304	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans			
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	46	42	37
1251 Repayments: Repayments and prepayments	-4	-5	-5
1290 Outstanding, end of year	42	37	32

Balance Sheet (in millions of dollars)

Identification code 68-4322-0-3-304	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Investments in US securities:				
1106 Federal assets: Receivables, net	2	2	2	2
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	46	41	37	32
1405 Allowance for subsidy cost (-)	-1	-1	-1	-1
1499 Net present value of assets related to direct loans	45	40	36	31

1999	Total assets	47	42	38	33
LIABILITIES:					
2103	Federal liabilities: Debt	45	40	36	31
2999	Total liabilities	45	40	36	31
NET POSITION:					
3100	Appropriated capital	2	2	2	2
3999	Total net position	2	2	2	2
4999	Total liabilities and net position	47	42	38	33

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including credit sales of acquired property that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Trust Funds

**HAZARDOUS SUBSTANCE SUPERFUND
(INCLUDING TRANSFER OF FUNDS)**

For necessary expenses to carry out the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA), as amended, including sections 111(c)(3), (c)(5), (c)(6), and (e)(4) (42 U.S.C. 9611), and for construction, alteration, repair, rehabilitation, and renovation of facilities, not to exceed \$75,000 per project; **[\$1,270,000,000 (of which \$100,000,000 shall not become available until September 1, 2002)] \$1,292,856,000**, to remain available until expended, consisting of **[\$635,000,000] \$592,856,000**, as authorized by section 517(a) of the Superfund Amendments and Reauthorization Act of 1986 (SARA), as amended by Public Law 101-508, and **[\$635,000,000] \$700,000,000** as a payment from general revenues to the Hazardous Substance Superfund for purposes as authorized by section 517(b) of SARA, as amended: *Provided*, That funds appropriated under this heading may be allocated to other Federal agencies in accordance with section 111(a) of CERCLA: *Provided further*, That of the funds appropriated under this heading, **[\$11,867,000] \$12,742,000** shall be transferred to the "Office of Inspector General" appropriation to remain available until September 30, **[2003] 2004**, and **[\$36,891,000] \$111,168,000** shall be transferred to the "Science and **[technology] Technology**" appropriation to remain available until September 30, **[2003] 2004**. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, and to support activities related to countering terrorism, for "Hazardous substance superfund", \$41,292,000, to remain available until expended to be obligated from amounts made available in Public Law 107-38.] (Emergency Supplemental Act, 2002.)

Unavailable Collections (in millions of dollars)

Identification code 20-8145-0-7-304	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	1,262	860	427
Receipts:			
02.00 Excise taxes	2		
02.01 Corporation income taxes	4		
02.02 Fines and penalties	2	3	3
02.20 Recoveries	202	175	175
02.40 Interest and profits on investments	95	143	96
02.41 Interfund transactions	634	635	700
02.80 Hazardous substance superfund, offsetting collections	354	100	100
02.81 Agency for Toxic Substance and Disease Registry, offsetting collections	7	26	26
02.99 Total receipts and collections	1,300	1,082	1,100
04.00 Total: Balances and collections	2,562	1,942	1,527
Appropriations:			
05.00 Hazardous substance superfund	-1,621	-1,411	-1,393
05.01 Salaries and expenses, Agency for Toxic Substance and Disease Registry	-82	-104	-106
05.99 Total appropriations	-1,703	-1,515	-1,499
06.10 Unobligated balance returned to receipts	1		

07.99 Balance, end of year	860	427	28
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Program and Financing (in millions of dollars)

Identification code 20-8145-0-7-304	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.05 Waste Management	1,354	1,896	1,149
00.07 Right to Know	4	12	8
00.08 Sound Science	3		
00.09 Credible Deterrent	15	16	18
00.10 Effective Management	71	71	68
00.11 Pensions and Benefits Accrual	19	19	20
01.00 Subtotal direct program	1,466	2,014	1,263
09.01 Reimbursable program	136	130	130
10.00 Total new obligations	1,602	2,144	1,393
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	468	715	
22.00 New budget authority (gross)	1,640	1,430	1,393
22.10 Resources available from recoveries of prior year obligations	209		
23.90 Total budgetary resources available for obligation	2,317	2,145	1,393
23.95 Total new obligations	-1,602	-2,144	-1,393
24.40 Unobligated balance carried forward, end of year	715		

New budget authority (gross), detail:

Discretionary:			
Appropriation (trust fund):			
40.26 Appropriation (trust fund)	1,241	1,240	1,244
40.26 Appropriation (Counter-terrorism Supplemental)		41	
40.26 Appropriation (transfer to Inspector General)	11	12	13
40.26 Appropriation (transfer to S&T)	37	37	36
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-3		
43.00 Appropriation (total discretionary)	1,286	1,330	1,293
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	354	100	100
70.00 Total new budget authority (gross)	1,640	1,430	1,393

Change in obligated balances:

72.40 Obligated balance, start of year	2,271	2,048	2,775
73.10 Total new obligations	1,602	2,144	1,393
73.20 Total outlays (gross)	-1,615	-1,417	-1,413
73.40 Adjustments in expired accounts (net)	-1		
73.45 Recoveries of prior year obligations	-209		
74.40 Obligated balance, end of year	2,048	2,775	2,755

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	219	460	451
86.93 Outlays from discretionary balances	1,396	957	962
87.00 Total outlays (gross)	1,615	1,417	1,413

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-354	-100	-100

Net budget authority and outlays:

89.00 Budget authority	1,286	1,330	1,293
90.00 Outlays	1,261	1,317	1,313

Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities:			
Par value		3,630	3,255
92.02 Total investments, end of year: Federal securities:			
Par value	3,630	3,255	2,835

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	1,267	1,311	1,273
90.00 Outlays	1,242	1,298	1,293

This appropriation provides funds for the implementation of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA), as amended, including sections 111(c)(3), (c)(5), (c)(6), and (e)(4) (42 U.S.C. 9611), and for construction, alteration, repair, rehabilitation, and renovation of facilities, not to exceed \$75,000 per project; \$1,292,856,000, to remain available until expended, consisting of \$592,856,000, as authorized by section 517(a) of the Superfund Amendments and Reauthorization Act of 1986 (SARA), as amended by Public Law 101-508, and \$700,000,000 as a payment from general revenues to the Hazardous Substance Superfund for purposes as authorized by section 517(b) of SARA, as amended: *Provided*, That funds appropriated under this heading may be allocated to other Federal agencies in accordance with section 111(a) of CERCLA: *Provided further*, That of the funds appropriated under this heading, \$11,867,000 shall be transferred to the "Office of Inspector General" appropriation to remain available until September 30, 2003, and \$111,168,000 shall be transferred to the "Science and Technology" appropriation to remain available until September 30, 2004. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Intragovernmental fund—Continued

HAZARDOUS SUBSTANCE SUPERFUND—Continued
(INCLUDING TRANSFER OF FUNDS)—Continued

tion and Liability Act of 1980, as amended (CERCLA) including activities under the Working Capital Fund. This appropriation supports core Agency programs and four of the Agency's ten goals. Specifically in 2003, emphasis will be placed on the following:

Better Waste Management, Restoration of Contaminated Waste Sites, and Emergency Response.—EPA expects to complete cleanups at 40 sites and conduct 285 removal actions. Through 2001, cleanups had been completed at 804 sites, and 6,588 removal actions had been taken. EPA responds to terrorism by cleaning up contaminated buildings, monitoring ambient conditions around disaster areas, and removing hazardous materials. In FY 2003, EPA will research better techniques for cleaning up buildings contaminated by biological agents. EPA will also work to maximize responsible parties' participation in site cleanups while promoting fairness in the enforcement process, and pursue greater recovery of EPA's cleanup costs. EPA will allocate funds from its appropriation to other Federal agencies to carry out the Act.

Quality Environmental Information.—EPA will continue to inform decision makers and provide access to balanced environmental data. Environmental information will better enable the public to understand conditions and make knowledgeable choices about protecting the health and the environment of local communities. It will lead to creative and sustainable solutions to environmental problems and opportunities for pollution prevention. Quality environmental information is crucial to sound decision making and to establishing public trust and confidence in those decisions.

A Credible Deterrent to Pollution and Greater Compliance With the Law.—EPA will investigate and refer for prosecution criminal and civil violations of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA).

Effective Management.—EPA will work to ensure fiscal responsibility in support of site cleanups. EPA will continue to implement performance-based service contracts instead of the traditional cost-plus, level-of-effort contracts, and will improve the quality and availability of information on the status and use of resources.

Selected Annual Site Cleanup Targets

	2001 actual	2002 est.	2003 est.
NPL Site Cleanups Completed	47	40	40
Removal Action Starts	297	285	285

Status of Funds (in millions of dollars)

Identification code 20-8145-0-7-304	2001 actual	2002 est.	2003 est.
Unexpended balance, start of year:			
0100 Uninvested balance	64	78	64
U.S. Securities:			
0101 Par value	4,126	3,630	3,255
0102 Unrealized discounts	-189	-54	-67
0199 Total balance, start of year	4,001	3,653	3,251
Cash income during the year:			
Current law:			
Receipts:			
1200 Excise taxes, Hazardous substance superfund, EPA	2		
1201 Corporate Income Tax, Hazardous substance superfund, EPA	4		
1202 Fines and penalties, Hazardous substance superfund, EPA	2	3	3
Offsetting receipts (proprietary):			
1220 Recoveries, Hazardous substance superfund, EPA	202	175	175
Offsetting receipts (intragovernmental):			
1240 Interest and profits on investments, Hazardous substance superfund, EPA	95	143	96
1241 Interfund transactions, Hazardous substance superfund, EPA	634	635	700

Offsetting collections:			
1280 Offsetting collections	354	100	100
1281 Offsetting collections, Agency for Toxic Substance and Disease Registry	7	26	26
1299 Income under present law	1,300	1,082	1,100
Cash outgo during year:			
Current law:			
4500 Cash outgo during the year, legislative proposal (-)	-1,596	-1,398	-1,413
4501 Salaries and expenses, Agency for Toxic Substance and Disease Registry	-51	-85	-92
4599 Outgo under current law (-)	-1,647	-1,483	-1,505
Unexpended balance, end of year:			
8700 Uninvested balance	78	64	64
Federal securities:			
8701 Par value	3,630	3,255	2,835
8702 Unrealized discounts	-54	-67	-51
8799 Total balance, end of year	3,653	3,251	2,848

Object Classification (in millions of dollars)

Identification code 20-8145-0-7-304	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	217	246	254
11.3 Other than full-time permanent	10	10	10
11.5 Other personnel compensation	5	5	5
11.7 Military personnel	1	1	1
11.8 Special personal services payments	1	1	1
11.9 Total personnel compensation	234	263	271
12.1 Civilian personnel benefits	72	76	76
21.0 Travel and transportation of persons	11	11	11
23.1 Rental payments to GSA	38	41	41
23.2 Rental payments to others	3	3	3
23.3 Communications, utilities, and miscellaneous charges	4	5	5
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	2	2	2
25.2 Other services	711	996	236
25.3 Other purchases of goods and services from Government accounts	298	380	380
25.4 Operation and maintenance of facilities	7	5	5
25.7 Operation and maintenance of equipment	6	4	4
26.0 Supplies and materials	5	4	4
31.0 Equipment	12	14	14
41.0 Grants, subsidies, and contributions	10	171	171
99.0 Direct obligations	1,414	1,976	1,224
99.0 Reimbursable obligations	136	130	131
Allocation Account:			
11.1 Personnel compensation: Full-time permanent	9	9	9
12.1 Civilian personnel benefits	6	6	6
25.2 Other services	37	23	23
99.0 Allocation account	52	38	38
99.9 Total new obligations	1,602	2,144	1,393

Personnel Summary

Identification code 20-8145-0-7-304	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	3,263	3,285	3,227
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	84	81	84

LEAKING UNDERGROUND STORAGE TANK TRUST FUND

For necessary expenses to carry out leaking underground storage tank cleanup activities authorized by section 205 of the Superfund Amendments and Reauthorization Act of 1986, and for construction, alteration, repair, rehabilitation, and renovation of facilities, not to exceed \$75,000 per project, **[\$73,000,400] \$73,255,200**, to remain available until expended. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Unavailable Collections (in millions of dollars)

Identification code 20-8153-0-7-304	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	1,568	1,693	1,907
Receipts:			
02.00 Transfers from the general fund, amounts equivalent to taxes	179	190	193
02.40 Interest	18	97	113
02.99 Total receipts and collections	197	287	306
04.00 Total: Balances and collections	1,765	1,980	2,213
Appropriations:			
05.00 LUST trust fund	-72	-73	-73
07.99 Balance, end of year	1,693	1,907	2,140

Program and Financing (in millions of dollars)

Identification code 20-8153-0-7-304	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.05 Waste Management	71	78	71
00.10 Effective Management	1	1	1
00.11 Pension and Benefits Accrual	1	1	1
10.00 Total new obligations	73	80	73
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4	6	
22.00 New budget authority (gross)	73	74	73
22.10 Resources available from recoveries of prior year obligations	2		
23.90 Total budgetary resources available for obligation	79	80	73
23.95 Total new obligations	-73	-80	-73
24.40 Unobligated balance carried forward, end of year	6		

New budget authority (gross), detail:

Discretionary:			
40.26 Appropriation (trust fund)	73	74	73

Change in obligated balances:

72.40 Obligated balance, start of year	14	13	17
73.10 Total new obligations	73	80	73
73.20 Total outlays (gross)	-72	-76	-83
73.45 Recoveries of prior year obligations	-2		
74.40 Obligated balance, end of year	13	17	7

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	21	38	37
86.93 Outlays from discretionary balances	51	38	46
87.00 Total outlays (gross)	72	76	83

Net budget authority and outlays:

89.00 Budget authority	73	74	73
90.00 Outlays	71	76	83

Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities: Par value	1,669	1,704	1,983
92.02 Total investments, end of year: Federal securities: Par value	1,704	1,983	2,206

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	72	73	72
90.00 Outlays	71	75	82

The Leaking Underground Storage Tank (LUST) Trust Fund, authorized by the Superfund Amendments and Reauthorization Act of 1986, as amended by the Omnibus Budget Reconciliation Act of 1990 and the Taxpayer Relief Act of 1997, provides funds for responding to releases from leaking underground petroleum tanks, including activities under the Working Capital Fund. The Trust Fund is financed by a 0.1

cent a gallon tax on motor fuels, that will expire after March 31, 2005.

Funds are allocated to the States through cooperative agreements to clean up those sites posing the greatest threat to human health and environment. Funds are also used for grants to non-state entities, including Indian Tribes, under section 8001 of the Resource Conservation and Recovery Act. EPA supports oversight, cleanup and enforcement programs which are implemented by the States. LUST Trust Fund dollars can be used for State-lead cleanups and for State oversight of responsible party cleanups.

This appropriation supports core Agency programs and two of the Agency's ten goals. Specifically in 2003, emphasis will be placed on the following:

Better Waste Management, Restoration of Contaminated Waste Sites, and Emergency Response.—To ensure that America's waste will be stored, treated, and disposed of in ways that prevent harm to people and to the natural environment, EPA will support State and Tribal efforts to design and implement risk-based corrective action programs. These programs will help to reduce the backlog of Underground Storage Tank (UST) sites with confirmed releases waiting to be addressed, and to enforce the 1998 UST leak detection and upgrade standards.

Effective Management.—EPA will support the States' and EPA's efforts to regulate and oversee the cleanup of leaking underground storage tanks, through a management infrastructure that sets and implements the highest quality standards for effective internal management and fiscal responsibility.

Status of Funds (in millions of dollars)

Identification code 20-8153-0-7-304	2001 actual	2002 est.	2003 est.
Unexpended balance, start of year:			
0100 Uninvested balance	1	18	
U.S. Securities:			
0101 Par value	1,669	1,704	1,983
0102 Unrealized discounts	-84	-9	-59
0199 Total balance, start of year	1,586	1,712	1,924
Cash income during the year:			
Current law:			
Receipts:			
1200 Transfer from the general fund amounts equivalent to taxes, Leaking Underground Storage Tank	179	190	193
Offsetting receipts (intragovernmental):			
1240 Earnings on investments, Leaking Underground Storage Tank Trust Fund, EPA	18	97	113
1299 Income under present law	197	287	306
Cash outgo during year:			
Current law:			
4500 Leaking underground storage tank trust fund	-71	-75	-83
Unexpended balance, end of year:			
8700 Uninvested balance	18		
Federal securities:			
8701 Par value	1,704	1,983	2,206
8702 Unrealized discounts	-9	-59	-59
8799 Total balance, end of year	1,712	1,924	2,147

Object Classification (in millions of dollars)

Identification code 20-8153-0-7-304	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	6	6	7
12.1 Civilian personnel benefits	2	2	2
23.1 Rental payments to GSA	1	1	1
25.2 Other services	5	5	4
41.0 Grants, subsidies, and contributions	59	66	59
99.9 Total new obligations	73	80	73

Intragovernmental fund—Continued

LEAKING UNDERGROUND STORAGE TANK TRUST FUND—Continued

Personnel Summary

Identification code 20-8153-0-7-304	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	71	80	80

OIL SPILL RESPONSE

For expenses necessary to carry out the Environmental Protection Agency's responsibilities under the Oil Pollution Act of 1990, **[\$15,000,000]** \$16,706,400, to be derived from the Oil Spill Liability trust fund, to remain available until expended. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 68-8221-0-7-304	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.05 Waste Management	15	59	16
00.11 Pension and Benefits Accrual	1	1	1
01.00 Direct Program	16	60	17
09.01 Reimbursable program	25	25	25
10.00 Total new obligations	41	85	42
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	41	44	
22.00 New budget authority (gross)	41	41	42
22.10 Resources available from recoveries of prior year obligations	2		
23.90 Total budgetary resources available for obligation	84	85	42
23.95 Total new obligations	-41	-85	-42
24.40 Unobligated balance carried forward, end of year	44		
New budget authority (gross), detail:			
Discretionary:			
40.26 Appropriation (trust fund)	16	16	17
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	19	25	25
68.10 Change in uncollected customer payments from Federal sources (unexpired)	6		
68.90 Spending authority from offsetting collections (total discretionary)	25	25	25
70.00 Total new budget authority (gross)	41	41	42
Change in obligated balances:			
72.40 Obligated balance, start of year	-38	-41	-5
73.10 Total new obligations	41	85	42
73.20 Total outlays (gross)	-35	-49	-45
73.45 Recoveries of prior year obligations	-2		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-6		
74.40 Obligated balance, end of year	-41	-5	-10
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	33	33	34
86.93 Outlays from discretionary balances	2	16	11
87.00 Total outlays (gross)	35	49	45
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-19	-25	-25
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-6		
Net budget authority and outlays:			
89.00 Budget authority	16	16	17
90.00 Outlays	16	24	20

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	15	15	16
90.00 Outlays	15	23	19

This appropriation provides for EPA's responsibilities for prevention, preparedness, and response activities authorized under the Federal Water Pollution Control Act, as amended by the Oil Pollution Act of 1990 (OPA), including activities under the Working Capital Fund. This appropriation supports core Agency programs and the Agency's waste management goal. Specifically in 2003, emphasis will be placed on the following:

Better Waste Management, Restoration of Contaminated Waste Sites, and Emergency Response.—EPA will work to ensure that 600 additional facilities per year comply with the oil spill prevention, control and countermeasure provisions of the OPA. EPA will also direct response actions when appropriate. Funding of oil spill cleanup actions is provided through the Department of Transportation under the Oil Spill Liability Trust Fund.

Object Classification (in millions of dollars)

Identification code 68-8221-0-7-304	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	6	7	8
12.1 Civilian personnel benefits	3	3	3
23.1 Rental payments to GSA	1	1	1
25.2 Other services	6	49	5
99.0 Direct obligations	16	60	17
99.0 Reimbursable obligations	25	25	25
99.9 Total new obligations	41	85	42

Personnel Summary

Identification code 68-8221-0-7-304	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	93	100	100
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	13		

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Governmental receipts:			
68-089500 Registration, PMN, other services	2	2	28
General Fund Governmental receipts	2	2	28
Offsetting receipts from the public:			
68-275330 Downward reestimates of subsidies, Abatement, control and compliance loans		1	
General Fund Offsetting receipts from the public		1	

ADMINISTRATIVE PROVISION

For fiscal year **[2002]** 2003, notwithstanding 31 U.S.C. 6303(1) and 6305(1), the Administrator of the Environmental Protection Agency, in carrying out the Agency's function to implement directly Federal environmental programs required or authorized by law in

the absence of an acceptable tribal program, may award cooperative agreements to federally-recognized Indian Tribes or Intertribal consortia, if authorized by their member Tribes, to assist the Administrator in implementing Federal environmental programs for Indian Tribes required or authorized by law, except that no such cooperative agreements may be awarded from funds designated for State financial assistance agreements.

【Section 136a-1 of title 7, U.S.C. is amended—

(1) in subsection (i)(5)(C)(i) by striking “\$14,000,000” and inserting “\$17,000,000”; and, by striking “each” and inserting “2002” after “fiscal year”;

(2) in subsection (i)(5)(H) by striking “2001” and inserting “2002”;

(3) in subsection (i)(6) by striking “2001” and inserting “2002”;
and

(4) in subsection (k)(3)(A) by striking “2001” and inserting “2002”; and, by striking “ $\frac{1}{7}$ ” and inserting “ $\frac{1}{10}$ ”.】 (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriations as follows:

【Commerce: “Economic Development Assistance Programs.”】

General Services Administration.

【Transportation: “Emergency Preparedness Grants.”】

U.S. Agency for International Development.

EXECUTIVE OFFICE OF THE PRESIDENT

EXECUTIVE OFFICE OF THE PRESIDENT

Federal Funds

General and special funds:

For the Executive Office of the President, \$336,228,000, of which \$21,325,000 shall remain available until expended, including compensation of the President, including an expense allowance of \$50,000, as authorized by 3 U.S.C. 102; for travel expenses of \$100,000 as authorized by 3 U.S.C. 103; for necessary expenses for the White House as authorized by law; for the necessary expenses of the Executive Residence at the White House as authorized by 3 U.S.C. 105, including not less than \$4,390,000 for necessary expenses for the Vice President as authorized by 3 U.S.C. 106, and for the official residence of the Vice President as authorized by law (including not to exceed \$90,000 for official entertainment expenses); for the necessary expenses of the Offices and Councils in the Executive Offices of the President (including not to exceed \$133,250 for official reception and representation expenses): Provided, That advances or repayments or transfers from this appropriation may be made to any department or agency for expenses of carrying out such activities. (Additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 11-0209-0-1-802	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program Activity	266	285	336
09.01 Reimbursable program	5	5	5
10.00 Total new obligations	271	290	341
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	12	27	108
22.00 New budget authority (gross)	284	340	336
22.22 Unobligated balance transferred from other accounts	31	31	31
23.90 Total budgetary resources available for obligation	296	398	444
23.95 Total new obligations	-271	-290	-341
24.40 Unobligated balance carried forward, end of year	27	108	103
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	268	335	336
40.35 Appropriation rescinded	-1	-1	-1
42.00 Transferred from other accounts	7	7	7
43.00 Appropriation (total discretionary)	274	335	336
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	13	10	10
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-3	-5	-5
68.90 Spending authority from offsetting collections (total discretionary)	10	5	5
70.00 Total new budget authority (gross)	284	340	336
Change in obligated balances:			
72.40 Obligated balance, start of year	49	57	17
73.10 Total new obligations	271	290	341
73.20 Total outlays (gross)	-267	-335	-333
73.40 Adjustments in expired accounts (net)	1	1	1
74.00 Change in uncollected customer payments from Federal sources (unexpired)	3	5	5
74.40 Obligated balance, end of year	57	17	25
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	252	298	289
86.93 Outlays from discretionary balances	15	37	44
87.00 Total outlays (gross)	267	335	333
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-13	-10	-10

Against gross budget authority only:			
88.95	Change in uncollected customer payments from Federal sources (unexpired)	3	5

Net budget authority and outlays:			
89.00	Budget authority	274	335
90.00	Outlays	254	325

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

				2001 actual	2002 est.	2003 est.
Net budget authority and outlays:						
89.00	Budget authority	266	327	328		
90.00	Outlays	246	317	325		

As part of the FY 2003 budget, the Administration is requesting a consolidation and financial realignment for the Executive Office of the President (EOP). The initiative would consolidate the twelve annual salaries and expenses accounts for the EOP agencies and fund them with a single appropriation. It would consolidate the resources for common acquisition-related goods and services into the EOP's Office of Administration (OA).

This proposal would give the President maximum flexibility in allocating resources and staff in support of his office and is intended to: permit a more rapid response to changing needs and priorities; allow the President to address emergent national needs; produce greater economies of scale and other efficiencies in procuring goods and services; and enhance accountability for performance.

This initiative would enable the President to effectively manage and align EOP resources consistent with decision making in an efficient and straightforward manner, while enhancing the accuracy of the financial systems and significantly reducing the administrative volume and cost of processing transactions through the United States Treasury.

This account, with estimated FY 2003 costs, includes: Compensation of the President and the White House Office (including Homeland Security (\$85.0 million), the Executive Residence at the White House (\$12.2 million), White House Repair and Restoration (\$1.2 million), Special Assistance to the President and Official Residence of the Vice-President (\$4.4 million), Council of Economic Advisors (\$4.4 million), Council on Environmental Quality and Office of Environmental Quality (\$3.0 million), Office of Policy Development (\$4.2 million), National Security Council (including the new Counterterrorism Directorate (\$9.5 million), Office of Administration (\$70.1 million), Armstrong Resolution Account (\$0), Office of Management and Budget (\$70.8 million), Office of National Drug Control Policy (\$25.5 million), Office of Science and Technology Policy (\$5.4 million), and the Office of the United States Trade Representative (\$32.3 million).

Object Classification (in millions of dollars)

Identification code 11-0209-0-1-802	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent	121	134
12.1	Civilian personnel benefits	34	38
21.0	Travel and transportation of persons	5	6
23.1	Rental payments to GSA	22	23
23.3	Communications, utilities, and miscellaneous charges	9	9

General and special funds—Continued**Object Classification** (in millions of dollars)—Continued

Identification code 11-0209-0-1-802		2001 actual	2002 est.	2003 est.
24.0	Printing and reproduction	4	3	4
25.2	Other services	52	54	70
26.0	Supplies and materials	6	5	5
31.0	Equipment	13	13	15
99.0	Direct obligations	266	285	336
99.0	Reimbursable obligations	5	5	5
99.9	Total new obligations	271	290	341

Personnel Summary

Identification code 11-0209-0-1-802		2001 actual	2002 est.	2003 est.
1001	Total compensable workyears: Full-time equivalent employment	1,587	1,757	1,858

[COMPENSATION OF THE PRESIDENT AND THE WHITE HOUSE OFFICE]**[Federal Funds]****[General and special funds:]****[COMPENSATION OF THE PRESIDENT]**

For compensation of the President, including an expense allowance at the rate of \$50,000 per annum as authorized by 3 U.S.C. 102, \$450,000: *Provided*, That none of the funds made available for official expenses shall be expended for any other purpose and any unused amount shall revert to the Treasury pursuant to section 1552 of title 31, United States Code: *Provided further*, That none of the funds made available for official expenses shall be considered as taxable to the President. (Executive Office Appropriations Act, 2002.)

[SALARIES AND EXPENSES]

For necessary expenses for the White House as authorized by law, including not to exceed \$3,850,000 for services as authorized by 5 U.S.C. 3109 and 3 U.S.C. 105; subsistence expenses as authorized by 3 U.S.C. 105, which shall be expended and accounted for as provided in that section; hire of passenger motor vehicles, newspapers, periodicals, teletype news service, and travel (not to exceed \$100,000 to be expended and accounted for as provided by 3 U.S.C. 103); and not to exceed \$19,000 for official entertainment expenses, to be available for allocation within the Executive Office of the President, \$54,651,000: *Provided*, That \$10,740,000 of the funds appropriated shall be available for reimbursements to the White House Communications Agency. (Executive Office Appropriations Act, 2002.)

[EXECUTIVE RESIDENCE AT THE WHITE HOUSE]**[Federal Funds]****[General and special funds:]****[OPERATING EXPENSES]**

For the care, maintenance, repair and alteration, refurbishing, improvement, heating, and lighting, including electric power and fixtures, of the Executive Residence at the White House and official entertainment expenses of the President, \$11,695,000, to be expended and accounted for as provided by 3 U.S.C. 105, 109, 110, and 112-114. (Executive Office Appropriations Act, 2002.)

[REIMBURSABLE EXPENSES]

For the reimbursable expenses of the Executive Residence at the White House, such sums as may be necessary: *Provided*, That all reimbursable operating expenses of the Executive Residence shall be made in accordance with the provisions of this paragraph: *Provided further*, That, notwithstanding any other provision of law, such amount for reimbursable operating expenses shall be the exclusive authority of the Executive Residence to incur obligations and to receive offsetting collections, for such expenses: *Provided further*, That

the Executive Residence shall require each person sponsoring a reimbursable political event to pay in advance an amount equal to the estimated cost of the event, and all such advance payments shall be credited to this account and remain available until expended: *Provided further*, That the Executive Residence shall require the national committee of the political party of the President to maintain on deposit \$25,000, to be separately accounted for and available for expenses relating to reimbursable political events sponsored by such committee during such fiscal year: *Provided further*, That the Executive Residence shall ensure that a written notice of any amount owed for a reimbursable operating expense under this paragraph is submitted to the person owing such amount within 60 days after such expense is incurred, and that such amount is collected within 30 days after the submission of such notice: *Provided further*, That the Executive Residence shall charge interest and assess penalties and other charges on any such amount that is not reimbursed within such 30 days, in accordance with the interest and penalty provisions applicable to an outstanding debt on a United States Government claim under section 3717 of title 31, United States Code: *Provided further*, That each such amount that is reimbursed, and any accompanying interest and charges, shall be deposited in the Treasury as miscellaneous receipts: *Provided further*, That the Executive Residence shall prepare and submit to the Committees on Appropriations, by not later than 90 days after the end of the fiscal year covered by this Act, a report setting forth the reimbursable operating expenses of the Executive Residence during the preceding fiscal year, including the total amount of such expenses, the amount of such total that consists of reimbursable official and ceremonial events, the amount of such total that consists of reimbursable political events, and the portion of each such amount that has been reimbursed as of the date of the report: *Provided further*, That the Executive Residence shall maintain a system for the tracking of expenses related to reimbursable events within the Executive Residence that includes a standard for the classification of any such expense as political or nonpolitical: *Provided further*, That no provision of this paragraph may be construed to exempt the Executive Residence from any other applicable requirement of subchapter I or II of chapter 37 of title 31, United States Code. (Executive Office Appropriations Act, 2002.)

[WHITE HOUSE REPAIR AND RESTORATION]

For the repair, alteration, and improvement of the Executive Residence at the White House, \$8,625,000, to remain available until expended, of which \$1,306,000 is for six projects for required maintenance, safety and health issues, and continued preventative maintenance; and of which \$7,319,000 is for 3 projects for required maintenance and continued preventative maintenance in conjunction with the General Services Administration, the United States Secret Service, the Office of the President, and other agencies charged with the administration and care of the White House. (Executive Office Appropriations Act, 2002.)

[SPECIAL ASSISTANCE TO THE PRESIDENT AND THE OFFICIAL RESIDENCE OF THE VICE PRESIDENT]**[Federal Funds]****[General and special funds:]****[SALARIES AND EXPENSES]**

For necessary expenses to enable the Vice President to provide assistance to the President in connection with specially assigned functions; services as authorized by 5 U.S.C. 3109 and 3 U.S.C. 106, including subsistence expenses as authorized by 3 U.S.C. 106, which shall be expended and accounted for as provided in that section; and hire of passenger motor vehicles, \$3,925,000. (Executive Office Appropriations Act, 2002.)

[OPERATING EXPENSES]**[(INCLUDING TRANSFER OF FUNDS)]**

For the care, operation, refurbishing, improvement, heating and lighting, including electric power and fixtures, of the official residence of the Vice President; the hire of passenger motor vehicles; and not to exceed \$90,000 for official entertainment expenses of the Vice

President, to be accounted for solely on his certificate, \$318,000: *Provided*, That advances or repayments or transfers from this appropriation may be made to any department or agency for expenses of carrying out such activities.] (*Executive Office Appropriations Act, 2002.*)

[COUNCIL OF ECONOMIC ADVISERS]

[Federal Funds]

[General and special funds:]

[SALARIES AND EXPENSES]

[For necessary expenses of the Council of Economic Advisors in carrying out its functions under the Employment Act of 1946 (15 U.S.C. 1021), \$4,211,000.] (*Executive Office Appropriations Act, 2002.*)

[COUNCIL ON ENVIRONMENTAL QUALITY AND OFFICE OF ENVIRONMENTAL QUALITY]

[Federal Funds]

[General and special funds:]

[COUNCIL ON ENVIRONMENTAL QUALITY AND OFFICE OF ENVIRONMENTAL QUALITY]

[For necessary expenses to continue functions assigned to the Council on Environmental Quality and Office of Environmental Quality pursuant to the National Environmental Policy Act of 1969, the Environmental Quality Improvement Act of 1970, and Reorganization Plan No. 1 of 1977, and not to exceed \$750 for official reception and representation expenses, \$2,974,000: *Provided*, That notwithstanding section 202 of the National Environmental Policy Act of 1970, the Council shall consist of one member, appointed by the President, by and with the advice and consent of the Senate, serving as chairman and exercising all powers, functions, and duties of the Council.] (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

[OFFICE OF POLICY DEVELOPMENT]

[Federal Funds]

[General and special funds:]

[SALARIES AND EXPENSES]

[For necessary expenses of the Office of Policy Development, including services as authorized by 5 U.S.C. 3109 and 3 U.S.C. 107, \$4,142,000.] (*Executive Office Appropriations Act, 2002.*)

[NATIONAL SECURITY COUNCIL]

[Federal Funds]

[General and special funds:]

[SALARIES AND EXPENSES]

[For necessary expenses of the National Security Council, including services as authorized by 5 U.S.C. 3109, \$7,494,000.] (*Executive Office Appropriations Act, 2002.*)

[OFFICE OF ADMINISTRATION]

[Federal Funds]

[General and special funds:]

[SALARIES AND EXPENSES]

[For necessary expenses of the Office of Administration, including services as authorized by 5 U.S.C. 3109 and 3 U.S.C. 107, and hire of passenger motor vehicles, \$46,955,000, of which \$11,775,000 shall remain available until expended for the Capital Investment Plan for continued modernization of the information technology infrastructure within the Executive Office of the President: *Provided*, That \$4,475,000 of the Capital Investment Plan funds may not be obligated

until the Executive Office of the President has submitted a report to the Committees on Appropriations that: (1) includes an Enterprise Architecture, as defined in OMB Circular A-130 and the Federal Chief Information Officers Council guidance; (2) presents an Information Technology (IT) Human Capital Plan, to include an inventory of current IT workforce knowledge and skills, a definition of needed IT knowledge and skills, a gap analysis of any shortfalls, and a plan for addressing any shortfalls; (3) presents a capital investment plan for implementing the Enterprise Architecture; (4) includes a description of the IT capital planning and investment control process; and (5) is reviewed and approved by the Office of Management and Budget, is reviewed by the General Accounting Office, and is approved by the Committees on Appropriations.] (*Executive Office Appropriations Act, 2002.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Salaries and Expenses", \$50,040,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (*Emergency Supplemental Act, 2002.*)

[OFFICE OF MANAGEMENT AND BUDGET]

[Federal Funds]

[General and special funds:]

[SALARIES AND EXPENSES]

[For necessary expenses of the Office of Management and Budget, including hire of passenger motor vehicles and services as authorized by 5 U.S.C. 3109, \$70,752,000, of which not to exceed \$5,000,000 shall be available to carry out the provisions of chapter 35 of title 44, United States Code, and of which not to exceed \$3,000 shall be available for official representation expenses: *Provided*, That, as provided in 31 U.S.C. 1301(a), appropriations shall be applied only to the objects for which appropriations were made except as otherwise provided by law: *Provided further*, That none of the funds appropriated in this Act for the Office of Management and Budget may be used for the purpose of reviewing any agricultural marketing orders or any activities or regulations under the provisions of the Agricultural Marketing Agreement Act of 1937 (7 U.S.C. 601 et seq.): *Provided further*, That none of the funds made available for the Office of Management and Budget by this Act may be expended for the altering of the transcript of actual testimony of witnesses, except for testimony of officials of the Office of Management and Budget, before the Committees on Appropriations or the Committees on Veterans' Affairs or their subcommittees: *Provided further*, That the preceding shall not apply to printed hearings released by the Committees on Appropriations or the Committees on Veterans' Affairs: *Provided further*, That none of the funds appropriated in this Act may be available to pay the salary or expenses of any employee of the Office of Management and Budget who, after February 15, 2002, calculates, prepares, or approves any tabular or other material that proposes the sub-allocation of budget authority or outlays by the Committees on Appropriations among their subcommittees: *Provided further*, That of the amounts appropriated, not to exceed \$6,331,000 shall be available to the Office of Information and Regulatory Affairs, of which \$1,582,750 shall not be obligated until the Office of Management and Budget submits a report to the Committees on Appropriations that provides an assessment of the total costs and benefits of implementing Executive Order No. 13166: *Provided further*, That such assessment shall be submitted no later than 120 days after enactment of this Act.] (*Executive Office Appropriations Act, 2002.*)

[OFFICE OF NATIONAL DRUG CONTROL POLICY]

[Federal Funds]

[General and special funds:]

[SALARIES AND EXPENSES]

[(INCLUDING TRANSFER OF FUNDS)]

[For necessary expenses of the Office of National Drug Control Policy; for research activities pursuant to the Office of National Drug Control Policy Reauthorization Act of 1998 (21 U.S.C. 1701 et seq.);

[General and special funds—Continued

[SALARIES AND EXPENSES]—Continued

[(INCLUDING TRANSFER OF FUNDS)]—Continued

not to exceed \$10,000 for official reception and representation expenses; and for participation in joint projects or in the provision of services on matters of mutual interest with nonprofit, research, or public organizations or agencies, with or without reimbursement, \$25,263,000; of which \$2,350,000 shall remain available until expended, consisting of \$1,350,000 for policy research and evaluation, and \$1,000,000 for the National Alliance for Model State Drug Laws: *Provided*, That the Office is authorized to accept, hold, administer, and utilize gifts, both real and personal, public and private, without fiscal year limitation, for the purpose of aiding or facilitating the work of the Office.】 (*Executive Office Appropriations Act, 2002.*)

[OFFICE OF SCIENCE AND TECHNOLOGY POLICY]

[Federal Funds]

[General and special funds:]

[OFFICE OF SCIENCE AND TECHNOLOGY POLICY]

【For necessary expenses of the Office of Science and Technology Policy, in carrying out the purposes of the National Science and Technology Policy, Organization, and Priorities Act of 1976 (42 U.S.C. 6601 and 6671), hire of passenger motor vehicles, and services as authorized by 5 U.S.C. 3109, not to exceed \$2,500 for official reception and representation expenses, and rental of conference rooms in the District of Columbia, \$5,267,000.】 (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

[OFFICE OF THE UNITED STATES TRADE REPRESENTATIVE]

[Federal Funds]

[General and special funds:]

[SALARIES AND EXPENSES]

【For necessary expenses of the Office of the United States Trade Representative, including the hire of passenger motor vehicles and the employment of experts and consultants as authorized by 5 U.S.C. 3109, \$30,097,000, of which \$1,000,000 shall remain available until expended: *Provided*, That not to exceed \$98,000 shall be available for official reception and representation expenses.】 (*Department of Commerce and Related Agencies Appropriations Act, 2002.*)

UNANTICIPATED NEEDS

Federal Funds

General and special funds:

UNANTICIPATED NEEDS

For expenses necessary to enable the President to meet unanticipated needs, in furtherance of the national interest, security, or defense which may arise at home or abroad during the current fiscal year, as authorized by 3 U.S.C. 108, \$1,000,000. (*Executive Office Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 11-0037-0-1-802	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	3	55
22.00 New budget authority (gross)	3	1	1
22.22 Unobligated balance transferred from other accounts		51	
23.90 Total budgetary resources available for obligation	4	55	56
24.40 Unobligated balance carried forward, end of year	3	55	56

New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	3	1	1
Change in obligated balances:			
72.40 Obligated balance, start of year			-51
73.20 Total outlays (gross)		-51	-1
74.40 Obligated balance, end of year		-51	-52
Outlays (gross), detail:			
86.93 Outlays from discretionary balances		51	1
Net budget authority and outlays:			
89.00 Budget authority	3	1	1
90.00 Outlays		51	1

These funds will enable the President to meet unanticipated needs in furtherance of the national interest, security, or defense.

EMERGENCY RESPONSE FUND

[(INCLUDING TRANSFER OF FUNDS)]

Program and Financing (in millions of dollars)

Identification code 11-0034-0-1-999	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations		115	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		13,037	
22.00 New budget authority (gross)	13,037		
22.21 Unobligated balance transferred to other accounts		-12,949	
22.22 Unobligated balance transferred from other accounts		28	
23.90 Total budgetary resources available for obligation	13,037	116	
23.95 Total new obligations		-115	
24.40 Unobligated balance carried forward, end of year	13,037		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	20,000		
41.00 Transferred to other accounts	-6,963		
43.00 Appropriation (total discretionary)	13,037		
Change in obligated balances:			
72.40 Obligated balance, start of year			27
73.10 Total new obligations		115	
73.20 Total outlays (gross)		-88	
74.40 Obligated balance, end of year		27	27
Outlays (gross), detail:			
86.93 Outlays from discretionary balances		88	
Net budget authority and outlays:			
89.00 Budget authority	13,037		
90.00 Outlays		88	

This account was established in the aftermath of the September 11, 2001 terrorist attacks.

Object Classification (in millions of dollars)

Identification code 11-0034-0-1-999	2001 actual	2002 est.	2003 est.
41.0 Grants, subsidies, and contributions		115	

UNANTICIPATED NEEDS FOR NATURAL DISASTERS

Program and Financing (in millions of dollars)

Identification code 11-0033-0-1-453	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	12	12	12
24.40 Unobligated balance carried forward, end of year	12	12	12

Net budget authority and outlays:

89.00	Budget authority
90.00	Outlays

This schedule includes funding provided in Public Laws 101–130 and 103–211 to respond to various natural disasters. All available funds from this account were allocated to various agencies. However, certain agencies subsequently returned excess funds to this account. In P.L. 106–31, \$10 million in unobligated balances were rescinded. A balance of \$12 million in emergency funding remains.

INFORMATION TECHNOLOGY SYSTEMS AND RELATED EXPENSES
(INCLUDING TRANSFER OF FUNDS)

Program and Financing (in millions of dollars)

Identification code 11–1237–0–1–808	2001 actual	2002 est.	2003 est.
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Budgetary resources available for obligation:

21.40	Unobligated balance carried forward, start of year	266
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22.22	Unobligated balance transferred from other accounts	61
23.90	Total budgetary resources available for obligation	327
23.98	Unobligated balance unavailable (must be allocated from the Y2K Fund)	– 327

Net budget authority and outlays:

89.00	Budget authority
90.00	Outlays

This account was created in Public Law 105–277, the Omnibus Consolidated and Emergency Supplemental Appropriations Act, 1999, to address requirements associated with Year 2000 (Y2K) computer conversion.

FEDERAL EMERGENCY MANAGEMENT AGENCY

The Federal Emergency Management Agency (FEMA) was established by the President in Reorganization Plan No. 3 of 1978. FEMA's mission is to reduce the loss of life and property and protect our institutions from all hazards by leading and supporting the Nation in a comprehensive, risk-based emergency management program of mitigation, preparedness, response, and recovery.

Under the authority of the Robert T. Stafford Act, FEMA is responsible for providing assistance to maintain and enhance the nation's all-hazards emergency management capability and coordinates Federal emergency recovery and response operations. FEMA also administers the National Flood Insurance Program, which is the primary source of flood insurance in the nation, and provides essential training for State and local fire and emergency medical services personnel through the National Fire Academy. In 2001, FEMA provided \$2.7 billion in direct assistance to States, local governments, and individuals stricken by natural disasters and incidents of terrorism, furnished over \$589 billion in flood insurance coverage to over 4 million policy holders, and awarded \$177 million in grants to support emergency management preparedness and mitigation capabilities.

The President's 2003 Budget includes \$6.6 billion in discretionary budget authority to:

Provide \$3.5 billion for new State and local terrorism preparedness priorities associated with the September 11th attacks;

Improve Federal assistance for credible and cost-effective disaster prevention strategies by replacing the formula-based Hazard Mitigation Grant Program with a new competitive grant program, and modernizing flood maps to better guide future development and flood prevention efforts;

Provide FEMA with over \$1.8 billion in base resources to pay for disaster relief efforts;

Reform the National Flood Insurance Program; and

Transfer the agency's Emergency Food and Shelter program to the Department of Housing and Urban Development to improve services to the homeless.

FEMA is required to provide periodic reports to the President, and the President is required to report to the Congress on FEMA's donations of "educationally useful equipment," under Section 7, paragraph (b)(2) of P.L. 105-108. The following information is reported, here, in fulfillment of this requirement. FEMA has made the following donations of "educationally useful equipment" during 2000 and 2001:

2 Computer Units:

Total—\$4,498.00 (acquisition cost) Recipient: Children's Village of Washington County, 1546 Mt. Aetna Rd., Hagerstown, MD 21742.

20 Computer Units:

Total—\$52,663.00 (acquisition cost) Recipient: St. John's Catholic School, 45 Monroe Street, Westminster, MD 21157.

Federal Funds

General and special funds:

DISASTER RELIEF

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses in carrying out the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.),

【\$664,000,000】 \$1,846,029,000, and, notwithstanding 42 U.S.C. 5203, to remain available until expended, of which not to exceed \$2,900,000 may be transferred to "Emergency management planning and assistance" for the consolidated emergency management performance grant program; 【\$25,000,000 shall be transferred to the Flood Map Modernization Fund; \$25,000,000 shall be transferred to "Emergency management planning and assistance", for pre-disaster mitigation activities;】 and *not to exceed* \$21,577,000 may be 【used by】 transferred to the Office of Inspector General for audits and investigations: *Provided, that no funds are available to carry out section 404 of the Act (42 U.S.C. 5170c).*

【In addition, for the purposes under this heading, \$1,500,000,000, to remain available until expended: *Provided, That such amount is designated by the Congress as an emergency requirement pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only to the extent that an official budget request, that includes designation of the entire amount of the request as an emergency requirement as defined in the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, is transmitted by the President to the Congress.*】 (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

【For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Disaster relief", \$4,356,871,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.】 (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)			
Identification code 58-0104-0-1-453	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 September 11th Response	292	4,851	1,214
00.02 Other Disaster Relief	3,169	3,849	1,901
10.00 Total new obligations	3,464	8,702	3,118
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1,909	2,429	697
22.00 New budget authority (gross)	3,597	6,470	1,821
22.10 Resources available from recoveries of prior year obligations	387	500	600
23.90 Total budgetary resources available for obligation	5,893	9,399	3,118
23.95 Total new obligations	-3,464	-8,702	-3,118
24.40 Unobligated balance carried forward, end of year	2,429	697
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1,603	2,166	1,846
40.15 Appropriation (emergency)	4,357
40.35 Appropriation rescinded	-3
41.00 Transferred to other accounts	-3	-53	-25
42.00 Transferred from other accounts	2,000
43.00 Appropriation (total discretionary)	3,597	6,470	1,821
Change in obligated balances:			
72.40 Obligated balance, start of year	5,542	5,399	8,750
73.10 Total new obligations	3,464	8,702	3,118
73.20 Total outlays (gross)	-3,220	-4,851	-5,228
73.45 Recoveries of prior year obligations	-387	-500	-600
74.40 Obligated balance, end of year	5,399	8,750	6,040
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	561	2,266	639
86.93 Outlays from discretionary balances	2,659	2,585	4,589
87.00 Total outlays (gross)	3,220	4,851	5,228
Net budget authority and outlays:			
89.00 Budget authority	3,597	6,470	1,821
90.00 Outlays	3,220	4,851	5,228

General and special funds—Continued

DISASTER RELIEF—Continued
(INCLUDING TRANSFER OF FUNDS)—Continued

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	3,594	6,468	1,818
90.00 Outlays	3,217	4,849	5,225

Through the Disaster Relief Fund (DRF), FEMA provides a significant portion of the total Federal response to victims in Presidentially-declared major disasters and emergencies. Major disasters are declared when a State requests Federal assistance and has proven that a given disaster is beyond the State's capacity to respond. Under the DRF, FEMA currently provides three main types of assistance: individual and family assistance; public assistance, which includes the repair and reconstruction of State, local, and non-profit infrastructure; and hazard mitigation. For 2003, a new competitive, pre-disaster mitigation grant program will replace the current hazard mitigation grants.

The 2003 Budget request includes \$1.8 billion in discretionary resources to provide disaster support costs and cover obligations for 2003 and prior year declarations.

Object Classification (in millions of dollars)

Identification code 58-0104-0-1-453	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	2	2	2
11.3 Other than full-time permanent	103	125	115
11.5 Other personnel compensation	24	28	27
11.9 Total personnel compensation	129	155	144
12.1 Civilian personnel benefits	23	26	26
13.0 Benefits for former personnel	4	6	6
21.0 Travel and transportation of persons	60	65	61
22.0 Transportation of things	2	7	2
23.1 Rental payments to GSA	6	9	9
23.2 Rental payments to others	7	10	10
23.3 Communications, utilities, and miscellaneous charges	20	33	23
24.0 Printing and reproduction	3	3	3
25.1 Advisory and assistance services	1	1	1
25.2 Other services	150	126	121
25.3 Other purchases of goods and services from Government accounts	205	267	213
25.4 Operation and maintenance of facilities	6	6	4
25.5 Research and development contracts	4		
25.7 Operation and maintenance of equipment	1	3	3
26.0 Supplies and materials	8	16	10
31.0 Equipment	54	29	29
32.0 Land and structures		1	
41.0 Grants, subsidies, and contributions	2,781	7,939	2,453
99.9 Total new obligations	3,464	8,702	3,118

Personnel Summary

Identification code 58-0104-0-1-453	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	2,521	2,400	2,290

DISASTER ASSISTANCE FOR UNMET NEEDS

Program and Financing (in millions of dollars)

Identification code 58-0107-0-1-453	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	67		
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	67		

23.95 Total new obligations	-67		
Change in obligated balances:			
72.40 Obligated balance, start of year	113	134	80
73.10 Total new obligations	67		
73.20 Total outlays (gross)	-46	-54	-19
74.40 Obligated balance, end of year	134	80	61
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	46	54	19
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	46	54	19

Public Law 106-31 provided funding for unmet needs related to disasters declared in 1998 and 1999 for the purposes of disaster relief, buyout assistance, long-term recovery, or mitigation in communities which were not addressed by other Federal disaster assistance programs. The Department of Housing and Urban Development previously managed the unmet needs program. Funds for disaster assistance for unmet needs expired September 30, 2001.

NATIONAL PRE-DISASTER MITIGATION FUND

For a pre-disaster mitigation grant program pursuant to 42 U.S.C. 5131 et seq., \$300,000,000, to remain available until expended: Provided, That grants shall be awarded on a competitive basis subject to the criteria in 42 U.S.C. 5133(g): Provided further, That notwithstanding 42 U.S.C. 5133(f), grant awards shall be made without reference to State allocations, quotas, or other formula-based allocations of funds.

Program and Financing (in millions of dollars)

Identification code 58-0106-0-1-453	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Pre-disaster Mitigation Competitive Grants			300
10.00 Total new obligations (object class 41.0)			300
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			300
23.95 Total new obligations			-300
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation			300
Change in obligated balances:			
73.10 Total new obligations			300
73.20 Total outlays (gross)			-75
74.40 Obligated balance, end of year			225
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority			75
Net budget authority and outlays:			
89.00 Budget authority			300
90.00 Outlays			75

The 2003 Budget dedicates \$300 million to a new competitive grant for pre-disaster mitigation. This new program will replace the formula-based Hazard Mitigation Grant Program, currently funded through the Disaster Relief Fund. The new program will operate independently of the Disaster Relief programs, assuring that funding remains stable from year to year and is not subject to spikes in disaster activity. Awarding grants on a competitive basis will ensure that the most worthwhile, cost-beneficial projects receive funding.

SALARIES AND EXPENSES

For necessary expenses, not otherwise provided for, including hire and purchase of motor vehicles as authorized by 31 U.S.C. 1343;

uniforms, or allowances therefor, as authorized by 5 U.S.C. 5901–5902; services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the maximum rate payable for senior level positions under 5 U.S.C. 5376; expenses of attendance of cooperating officials and individuals at meetings concerned with the work of emergency preparedness; transportation in connection with the continuity of Government programs to the same extent and in the same manner as permitted the Secretary of a Military Department under 10 U.S.C. 2632; and not to exceed \$2,500 for official reception and representation expenses, **[\$233,801,000] \$248,919,000.** (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for “Salaries and Expenses”, \$25,000,000 to remain available until expended, to be obligated from amounts made available in Public Law 107–38 of which not less than \$10,000,000 shall be used to enhance the capabilities of the National Security Division. (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 58–0100–0–1–999	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01	73	83	80
00.03	8	12	15
00.04	10	11	11
00.05	30	34	32
00.06	12	14	15
00.08	4	12	32
00.09	82	86	76
09.01	5	8	6
10.00	224	260	267
Budgetary resources available for obligation:			
21.40			23
22.00	228	283	255
23.90	228	283	278
23.95	–224	–260	–267
23.98	–6		
24.40		23	11
New budget authority (gross), detail:			
Discretionary:			
40.00	224	243	249
40.15		25	
42.00		7	
43.00	224	275	249
Spending authority from offsetting collections:			
Offsetting collections (cash):			
68.00	3	6	4
68.00		2	2
68.10	1		
68.90	4	8	6
70.00	228	283	255
Change in obligated balances:			
72.40	24	45	29
73.10	224	260	267
73.20	–197	–276	–257
73.40	–7		
74.00	–1		
74.10	3		
74.40	45	29	39
Outlays (gross), detail:			
86.90	181	244	219
86.93	14	32	38
87.00	197	276	257

Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Federal sources:			
88.00		–5	–6
88.00		–1	–2
88.90		–6	–8
Against gross budget authority only:			
88.95		–1	
88.96		3	
Net budget authority and outlays:			
89.00	224	275	249
90.00	191	268	251

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00	215	266	240
90.00	182	259	242

Program support.—This activity provides the necessary resources to administer the Federal Emergency Management Agency’s (FEMA’s) various programs at headquarters and in the regions.

Executive direction.—This activity provides for the general management and administration of FEMA in legal, congressional, intergovernmental, international and media affairs, and financial and personnel management, as well as the management of FEMA’s facilities.

The 2003 Budget request includes inflationary costs and non-recurring expenses, as well as continuing costs of the Office of National Preparedness and administration of the terrorism-related first responder grant program.

For a programmatic description of FEMA’s operational components, see the descriptions under the Emergency Management, Planning and Assistance account.

Object Classification (in millions of dollars)

Identification code 58–0100–0–1–999	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1	111	127	141
11.3	1	5	5
11.5	4	5	4
11.9	116	137	150
12.1	36	41	44
21.0	4	8	6
22.0		1	1
23.1	11	16	18
23.2	1		
23.3	4	4	5
24.0		1	1
25.2	29	11	16
25.3	13	15	13
26.0	2	2	2
31.0	3	16	5
99.0	219	252	261
99.0	5	8	6
99.9	224	260	267

Personnel Summary

Identification code 58–0100–0–1–999	2001 actual	2002 est.	2003 est.
Direct:			
1001	1,662	1,894	2,025

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Personnel Summary—Continued

Identification code 58-0100-0-1-999	2001 actual	2002 est.	2003 est.
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	54	57	57

**EMERGENCY MANAGEMENT PLANNING AND ASSISTANCE
(INCLUDING TRANSFER OF FUNDS)**

For necessary expenses, not otherwise provided for, to carry out activities under the National Flood Insurance Act of 1968, as amended, and the Flood Disaster Protection Act of 1973, as amended (42 U.S.C. 4001 et seq.), the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.), the Earthquake Hazards Reduction Act of 1977, as amended (42 U.S.C. 7701 et seq.), the Federal Fire Prevention and Control Act of 1974, as amended (15 U.S.C. 2201 et seq.), the Defense Production Act of 1950, as amended (50 U.S.C. App. 2061 et seq.), sections 107 and 303 of the National Security Act of 1947, as amended (50 U.S.C. 404-405), and Reorganization Plan No. 3 of 1978, **[\$254,623,000: Provided, That for purposes of pre-disaster mitigation pursuant to 42 U.S.C. 5131(b) and (c) and 42 U.S.C. 5196(e) and (i), \$25,000,000 of the funds made available for project grants under this heading by transfer from "Disaster relief", shall be available until expended] \$3,747,285,000; of which \$3,500,000,000, to remain available until expended, shall be for first responder grants pursuant to 15 U.S.C. 2201 et seq. and 42 U.S.C. 5121 et seq.: Provided, That the Federal share of such grants shall not be less than 75%.**

[For an additional amount for "Emergency management planning and assistance", \$150,000,000 for programs as authorized by section 33 of the Federal Fire Prevention and Control Act of 1974, as amended (15 U.S.C. 2201 et seq.): Provided, That up to 5 percent of this amount shall be transferred to "Salaries and expenses" for program administration.] (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Emergency management planning and assistance", \$10,000,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38, which shall be available for support of the 2002 Winter Olympics.

For an additional amount for emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States and to support activities related to countering terrorism, for "Emergency management planning and assistance", \$210,000,000, to remain available until September 30, 2003, for programs as authorized by section 33 of the Federal Fire Prevention and Control Act of 1974, as amended (15 U.S.C. 2201 et seq.), as in effect on December 7, 2001, to be obligated from amounts made available in Public Law 107-38: Provided, That up to 5 percent of this amount shall be transferred to "Salaries and Expenses" for program administration] (Emergency Supplemental Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 58-0101-0-1-999	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Readiness, Response and recovery	160	175	139
00.02 Fire prevention and training	135	228	195
00.03 Information technology services	19	18	18
00.04 Flood insurance and mitigation programs	37	54	19
00.05 Regional Operations	1	1	1
00.06 National Preparedness	9	9	3,039
00.09 Executive Direction	5	6	4
09.01 Reimbursable program	65	100	80
10.00 Total new obligations	431	591	3,495
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	5	10	165

22.00 New budget authority (gross)	452	746	3,830
23.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	458	756	3,995
23.95 Total new obligations	-431	-591	-3,495
23.98 Unobligated balance expiring or withdrawn	-18		
24.40 Unobligated balance carried forward, end of year	10	165	500

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	370	405	3,747
40.15 Appropriation (emergency)		220	
40.35 Appropriation rescinded	-1		
41.00 Transferred to other accounts		-7	
42.00 Transferred from other accounts	3	28	3
43.00 Appropriation (total discretionary)	372	646	3,750
Spending authority from offsetting collections:			
Offsetting collections (cash):			
68.00 Spending authority from offsetting collections, defense program	58	97	77
68.00 Spending authority from offsetting collections, non-defense program	2	3	3
68.10 Change in uncollected customer payments from Federal sources (unexpired)	20		
68.90 Spending authority from offsetting collections (total discretionary)	80	100	80
70.00 Total new budget authority (gross)	452	746	3,830

Change in obligated balances:

72.40 Obligated balance, start of year	277	306	311
73.10 Total new obligations	431	591	3,495
73.20 Total outlays (gross)	-373	-586	-2,099
73.40 Adjustments in expired accounts (net)	-9		
73.45 Recoveries of prior year obligations	-1		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-20		
74.40 Obligated balance, end of year	306	311	1,707

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	154	391	1,768
86.93 Outlays from discretionary balances	219	195	331
87.00 Total outlays (gross)	373	586	2,099

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-60	-100	-80
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-20		

Net budget authority and outlays:

89.00 Budget authority	372	646	3,750
90.00 Outlays	313	486	2,019

Note.—Includes \$235 million in budget authority in BY for activities previously financed from the Department of Justice, Office for Domestic Preparedness.

Readiness, response, and recovery.—This activity provides for the development and maintenance of an integrated, nationwide operational capability to prepare for, respond to and recover from the consequences of disasters and emergencies, regardless of their cause, in partnership with other Federal agencies, State and local governments, volunteer organizations, and the private sector. The objective of this activity is to provide the technical assistance, training curriculum, and exercise programs to develop and maintain a knowledgeable, professional and prepared emergency management community that is capable of saving lives, responding to and recovering from disasters, and mitigating the economic impact of disasters.

Fire prevention and training.—This activity prepares Federal, State and local officials, their staffs, emergency first responders, volunteer groups, and the public to meet the responsibilities of domestic emergencies through planning, mitigation, preparedness, response, and recovery. The United States Fire Administration has responsibility for all fire and emergency medical service programs and training activities.

Educational programs are provided through the National Fire Academy, at the National Emergency Training Center, and through field delivery systems. The 2003 Budget combines the \$150 million in fire grant program funds with other funds to create a first responder grant for training and terrorism-related equipment with \$3.5 billion in budget authority.

Information technology services.—This activity provides leadership and direction for management of information technology resources, automated data processing, telecommunications, and information services and systems necessary to accomplish the agency's mission.

Flood insurance and mitigation programs.—This activity provides for the development, coordination, and implementation of policies, plans, and programs to eliminate or reduce the long-term risk to life and property from natural and technological hazards, such as earthquakes and hurricanes. A goal of this activity is to encourage and foster mitigation strategies at the State and local levels.

Regional operations.—This activity supports the Director and Agency management by effectively coordinating between headquarters and regional offices all policy, managerial, resource and administrative actions that affect or impact the ten regions. Also, this activity ensures that FEMA policies, programs, administrative and management guidance are implemented in the regions in a manner consistent with the Agency's overall goals.

National preparedness.—Under this activity, FEMA is taking the lead within the Federal government for the coordination, integration, and implementation of preparedness and consequence management programs and activities focused on developing, building and maintaining the national capability for dealing with weapons of mass destruction, terrorism incidents and other threats. The 2003 budget provides \$30 million for this activity. In addition, the \$3.5 billion in first responder grants will be provided under this activity.

Executive direction.—This activity develops strategies to address public information issues; provides support for enhancements to the financial management system; builds partnerships with and among State and local governments, non-government organizations, and business and industry; supports the Agency's international program and provides agency-wide program support services, such as facilities management and occupational health and safety.

Object Classification (in millions of dollars)			
Identification code 58-0101-0-1-999	2001 actual	2002 est.	2003 est.
Direct obligations:			
23.3 Communications, utilities, and miscellaneous charges	7	10	10
24.0 Printing and reproduction	2	3	2
25.1 Advisory and assistance services	7	5	5
25.2 Other services	55	64	76
25.3 Other purchases of goods and services from Government accounts	12	13	11
25.4 Operation and maintenance of facilities	4	5	5
25.7 Operation and maintenance of equipment	1	2	2
26.0 Supplies and materials	2	3	3
31.0 Equipment	7	9	6
32.0 Land and structures	1	1	1
41.0 Grants, subsidies, and contributions	268	376	3,294
99.0 Direct obligations	366	491	3,415
99.0 Reimbursable obligations	65	100	80
99.9 Total new obligations	431	591	3,495

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the Inspector General Act of 1978, as amended, **[\$10,303,000] \$11,957,000: Provided,** That notwithstanding any other provision of law, the Inspector General of the Federal Emergency Management Agency shall also serve as the Inspector General of

the Chemical Safety and Hazard Investigation Board. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)			
Identification code 58-0300-0-1-453	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	10	11	34
10.00 Total new obligations	10	11	34
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	11	11	34
23.95 Total new obligations	-10	-11	-34
23.98 Unobligated balance expiring or withdrawn	-1		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	11	11	12
42.00 Transferred from other accounts			22
43.00 Appropriation (total discretionary)	11	11	34
Change in obligated balances:			
72.40 Obligated balance, start of year	2	2	2
73.10 Total new obligations	10	11	34
73.20 Total outlays (gross)	-10	-11	-31
74.40 Obligated balance, end of year	2	2	5
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	9	10	29
86.93 Outlays from discretionary balances	1	1	2
87.00 Total outlays (gross)	10	11	31
Net budget authority and outlays:			
89.00 Budget authority	11	11	34
90.00 Outlays	10	11	31

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	10	10	33
90.00 Outlays	9	10	30

This appropriation provides agency-wide audit and investigative functions to identify and correct management and administrative deficiencies which create conditions for existing or potential instances of fraud, waste, and mismanagement. The audit function provides internal audit, contract audit, and inspections services. Contract audits provide professional advice to agency contracting officials on accounting and financial matters relative to the negotiation, award, administration, repricing, and settlement of contracts. Internal audits review and evaluate all facets of agency operations.

Object Classification (in millions of dollars)			
Identification code 58-0300-0-1-453	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	6	7	7
11.3 Other than full-time permanent			7
11.5 Other personnel compensation	1		
11.9 Total personnel compensation	7	7	14
21.0 Civilian personnel benefits	2	3	6
21.0 Travel and transportation of persons			4
23.1 Rental payments to GSA			2
23.2 Rental payments to others			1
25.2 Other services			6
25.3 Other purchases of goods and services from Government accounts	1	1	1
99.9 Total new obligations	10	11	34

General and special funds—Continued

OFFICE OF INSPECTOR GENERAL—Continued

Personnel Summary

Identification code 58-0300-0-1-453	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	74	90	200

EMERGENCY FOOD AND SHELTER PROGRAM

To carry out an emergency food and shelter program pursuant to title III of Public Law 100-77, as amended, **[\$140,000,000]** \$153,000,000, to remain available until expended: *Provided*, That total administrative costs shall not exceed 3½ percent of the total appropriation. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 58-0103-0-1-605	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
01.01 Direct program	140	140	153
10.00 Total new obligations (object class 41.0)	140	140	153
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	140	140	153
23.95 Total new obligations	-140	-140	-153
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	140	140	153
Change in obligated balances:			
73.10 Total new obligations	140	140	153
73.20 Total outlays (gross)	-140	-140	-153
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	140	140	153
Net budget authority and outlays:			
89.00 Budget authority	140	140	153
90.00 Outlays	140	140	153

Summary of Budget Authority and Outlays

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Enacted/requested:			
Budget Authority	140	140	153
Outlays	140	140	153
Legislative proposal, not subject to PAYGO:			
Budget Authority			-153
Outlays			-153
Total:			
Budget Authority	140	140
Outlays	140	140

This program provides grants to voluntary organizations at the local level to supplement their programs for emergency food and shelter.

EMERGENCY FOOD AND SHELTER PROGRAM
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 58-0103-2-1-605	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
01.01 Direct program			-153
10.00 Total new obligations (object class 41.0)			-153
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			-153

23.95 Total new obligations	153
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New budget authority (gross), detail:

Discretionary:	
40.00 Appropriation	-153

Change in obligated balances:

73.10 Total new obligations	-153
73.20 Total outlays (gross)	153

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	-153
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Net budget authority and outlays:

89.00 Budget authority	-153
90.00 Outlays	-153

The 2003 Budget includes \$153 million to meet the immediate needs of the homeless and proposes transferring this program to the Department of Housing and Urban Development Emergency Food and Shelter Program to permit better coordination of services.

OFFICE OF CERRO GRANDE FIRE CLAIMS

Program and Financing (in millions of dollars)

Identification code 58-0203-0-1-453	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program Activity	257	145	87
10.00 Total new obligations	257	145	87
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	489	232	87
23.95 Total new obligations	-257	-145	-87
24.40 Unobligated balance carried forward, end of year	232	87
Change in obligated balances:			
72.40 Obligated balance, start of year	7	10	1
73.10 Total new obligations	257	145	87
73.20 Total outlays (gross)	-254	-154	-88
74.40 Obligated balance, end of year	10	1
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	254	154	88
Net budget authority and outlays:			
89.00 Budget authority	254	154	88
90.00 Outlays	254	154	88

The Office of Cerro Grande Fire Claims was established by Public Law 106-246 to provide expeditious consideration and settlement of claims arising from the Cerro Grande Prescribed Fire. Funds for administration of the compensation process and for the payment of claims are available until expended.

Object Classification (in millions of dollars)

Identification code 58-0203-0-1-453	2001 actual	2002 est.	2003 est.
11.3 Personnel compensation: Other than full-time permanent	4	3	1
12.1 Civilian personnel benefits	1	1
21.0 Travel and transportation of persons	1	1
23.1 Rental payments to GSA	1
25.1 Advisory and assistance services	4
25.2 Other services	14	6	1
25.3 Other purchases of goods and services from Government accounts	1
42.0 Insurance claims and indemnities	231	134	85
99.9 Total new obligations	257	145	87

Personnel Summary

Identification code 58-0203-0-1-453	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	66	26	10

RADIOLOGICAL EMERGENCY PREPAREDNESS FUND

The aggregate charges assessed during fiscal year [2002] 2003, as authorized by Public Law 106-377, shall not be less than 100 percent of the amounts anticipated by FEMA necessary for its radiological emergency preparedness program for the next fiscal year. The methodology for assessment and collection of fees shall be fair and equitable; and shall reflect costs of providing such services, including administrative costs of collecting such fees. Fees received pursuant to this section shall be deposited in the Fund as offsetting collections and will become available for authorized purposes on October 1, [2002] 2003, and remain available until expended. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

Unavailable Collections (in millions of dollars)

Identification code 58-5436-0-1-453	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	1	1	2
Receipts:			
02.80 Radiological emergency preparedness fund, offsetting collections	14	15	15
04.00 Total: Balances and collections	15	16	17
Appropriations:			
05.00 Radiological emergency preparedness fund	-14	-14	-14
05.99 Total appropriations	-14	-14	-14
07.99 Balance, end of year	1	2	3

Program and Financing (in millions of dollars)

Identification code 58-5436-0-1-453	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.00 Reimbursable program	15	15	14
10.00 Total new obligations	15	15	14

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	2	1	
22.00 New budget authority (gross)	14	14	14
23.90 Total budgetary resources available for obligation	16	15	14
23.95 Total new obligations	-15	-15	-14
24.40 Unobligated balance carried forward, end of year	1		1

New budget authority (gross), detail:

Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	14	15	15
68.26 From offsetting collections (unavailable balances)	14	14	14
68.45 Portion precluded from obligation (limitation on obligations)	-14	-15	-15
68.90 Spending authority from offsetting collections (total discretionary)	14	14	14

Change in obligated balances:

72.40 Obligated balance, start of year		2	3
73.10 Total new obligations	15	15	14
73.20 Total outlays (gross)	-13	-14	-14
74.40 Obligated balance, end of year	2	3	3

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	11	11	11
86.93 Outlays from discretionary balances	2	3	3
87.00 Total outlays (gross)	13	14	14

Offsets:

Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-14	-15	-15

Net budget authority and outlays:

89.00 Budget authority		-1	-1
90.00 Outlays	-1	-1	-1

The Radiological Emergency Preparedness (REP) program assists State and local governments in the development of off-site radiological emergency plans and in preparedness within the emergency planning zones of Nuclear Regulatory Commission (NRC) licensed commercial nuclear power facilities. The fund is financed from fees assessed and collected from the NRC licensees to cover the cost of the REP program.

Object Classification (in millions of dollars)

Identification code 58-5436-0-1-453	2001 actual	2002 est.	2003 est.
99.0 Reimbursable obligations: Reimbursable obligations ...	15	15	14
99.9 Total new obligations	15	15	14

Personnel Summary

Identification code 58-5436-0-1-453	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	84	90	90

FLOOD MAP MODERNIZATION FUND

For necessary expenses pursuant to section 1360 of the National Flood Insurance Act of 1968, \$300,000,000, and such additional sums as may be provided by State or local governments or other political subdivisions for cost-shared mapping activities under section 1360(f)(2), to remain available until expended.

Program and Financing (in millions of dollars)

Identification code 58-5464-0-2-453	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Map Modernization	19	32	300
10.00 Total new obligations (object class 25.2)	19	32	300

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	1		
22.00 New budget authority (gross)	18	32	300
23.90 Total budgetary resources available for obligation	19	32	300
23.95 Total new obligations	-19	-32	-300

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation			300
42.00 Transferred from other accounts		25	
43.00 Appropriation (total discretionary)		25	300
68.62 Spending authority from offsetting collections: Transferred from other accounts	18	7	
70.00 Total new budget authority (gross)	18	32	300

Change in obligated balances:

72.40 Obligated balance, start of year	4	22	32
73.10 Total new obligations	19	32	300
73.20 Total outlays (gross)	-1	-22	-91
74.40 Obligated balance, end of year	22	32	243

Outlays (gross), detail:

86.90 Outlays from new discretionary authority		14	75
86.93 Outlays from discretionary balances	1	8	16
87.00 Total outlays (gross)	1	22	91

Net budget authority and outlays:

89.00 Budget authority	19	32	300
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General and special funds—Continued

FLOOD MAP MODERNIZATION FUND—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 58-5464-0-2-453	2001 actual	2002 est.	2003 est.
90.00 Outlays	1	22	91

Public Law 106-377 authorized the transfer of \$18 million in National Flood Insurance Fund policyholder fees collected but unexpended during fiscal years 1994 through 1998 to the Flood Map Modernization Fund for use in 2001 to update and modernize FEMA's inventory of over 100,000 flood maps. The flood maps are used to determine appropriate risk-based premium rates for the National Flood Insurance Program, complete flood hazard determinations required of the nation's lending institutions, and to develop appropriate disaster response plans for Federal, State, and local emergency management personnel. In 2003, the Budget proposes a \$300 million appropriation to modernize and digitize flood maps.

**NATIONAL FLOOD INSURANCE FUND
(INCLUDING TRANSFER OF FUNDS)**

For activities under the National Flood Insurance Act of 1968 ("the Act"), the Flood Disaster Protection Act of 1973, as amended, not to exceed **[\$28,798,000] \$32,393,000** for salaries and expenses associated with flood mitigation and flood insurance operations, and not to exceed **[\$76,381,000] \$77,666,000 for flood mitigation, to remain available until September 30, 2004**, including up to \$20,000,000 for expenses under section 1366 of the Act, which amount shall be available for transfer to the National Flood Mitigation Fund until September 30, **[2003] 2004**, and which amounts shall be derived from *offsetting collections assessed and collected pursuant to 42 U.S.C. 4014*, and shall be retained and used for necessary expenses under this heading: *Provided, That beginning in fiscal year 2003 and thereafter, fees authorized in 42 U.S.C. 4014(a)(1)(B)(iii) shall be collected only if provided in advance in appropriations acts.* In fiscal year **[2002] 2003**, no funds in excess of: (1) \$55,000,000 for operating expenses; (2) **[\$536,750,000] \$529,380,000** for agents' commissions and taxes; and (3) **[\$30,000,000] \$40,000,000** for interest on Treasury borrowings shall be available from the National Flood Insurance Fund without prior notice to the Committees on Appropriations.

[In addition, up to \$7,000,000 in fees collected but unexpended during fiscal years 2000 through 2001 shall be transferred to the Flood Map Modernization Fund and available for expenditure in fiscal year 2002.]

Section 1309(a)(2) of the Act (42 U.S.C. 4016(a)(2)), as amended, is further amended by striking **["2001"] "2002"** and inserting **["2002"] "2003"**.

Section 1319 of the Act, as amended (42 U.S.C. 4026), is amended by striking **["September 30, 2001"] "December 31, 2002"** and inserting **"December 31, [2002] 2003"**.

Section 1336(a) of the Act, as amended (42 U.S.C. 4056), is amended by striking **["September 30, 2001"] "December 31, 2002"** and inserting **"December 31, [2002] 2003"**.

Section 1376(c) of the Act, as amended (42 U.S.C. 4127(c)), is amended by striking **"December 31, [2001] 2002"** and inserting **"December 31, [2002] 2003"**. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 58-4236-0-3-453	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Insurance underwriting expense	521	570	584
09.02 Loss and adjustment expense	1,519	764	788
09.03 Interest expense	8	28	24
09.04 Flood insurance and mitigation program expense	81	86	90
10.00 Total new obligations	2,129	1,448	1,486

Budgetary resources available for obligation:

22.00 New budget authority (gross)	2,123	1,448	1,486
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22.10 Resources available from recoveries of prior year obligations	6		
23.90 Total budgetary resources available for obligation	2,129	1,448	1,486
23.95 Total new obligations	-2,129	-1,448	-1,486

New budget authority (gross), detail:

Mandatory:			
67.10 Authority to borrow	556		
Spending authority from offsetting collections:			
Discretionary:			
Offsetting collections (cash):			
68.00 Offsetting collections (cash)	26	30	32
68.00 Offsetting collections (cash)	77	76	78
68.61 Transferred to other accounts	-38	-27	-20
68.90 Spending authority from offsetting collections (total discretionary)	65	79	90
Mandatory:			
Offsetting collections (cash):			
69.00 Offsetting collections (Claims Expense)	950	1,002	1,045
69.00 Offsetting collections (Underwriting Limit)	456	537	529
69.00 Offsetting collections (Operating Expense Limit)	55	55	55
69.00 Offsetting collections (Interest Expense Limit)	40	30	40
69.47 Portion applied to repay debt		-255	-273
69.90 Spending authority from offsetting collections (total mandatory)	1,501	1,369	1,396
70.00 Total new budget authority (gross)	2,122	1,447	1,486

Change in obligated balances:

72.40 Obligated balance, start of year	289	557	499
73.10 Total new obligations	2,129	1,448	1,486
73.20 Total outlays (gross)	-1,855	-1,506	-1,545
73.45 Recoveries of prior year obligations	-6		
74.40 Obligated balance, end of year	557	499	440

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	39	48	53
86.93 Outlays from discretionary balances	32	26	30
86.97 Outlays from new mandatory authority	1,555	957	1,043
86.98 Outlays from mandatory balances	228	476	418
87.00 Total outlays (gross)	1,854	1,505	1,545

Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Non-Federal sources:			
88.40 Collection of program expenses	-1,502	-1,625	-1,670
88.40 Collection of program expenses	-102	-105	-109
88.90 Total, offsetting collections (cash)	-1,604	-1,730	-1,779

Net budget authority and outlays:

89.00 Budget authority	519	-282	-292
90.00 Outlays	252	-224	-234

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	519	-282	-293
90.00 Outlays	251	-224	-234

Summary of Budget Authority and Outlays

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Enacted/requested:			
Budget Authority	518	-282	-293
Outlays	250	-223	-235
Legislative proposal, subject to PAYGO:			
Budget Authority			-7
Outlays			-43
Total:			
Budget Authority	518	-282	-300
Outlays	250	-223	-278

The National Flood Insurance Act of 1968, as amended, authorizes the Federal Government to provide flood insurance

on a national basis. Flood insurance may be sold or continued in force only in communities which enact and enforce appropriate floodplain management measures. Communities must participate in the program within one year of the time they are identified as flood-prone in order to be eligible for flood insurance and some forms of Federal financial assistance for acquisition or construction purposes.

In addition, Federally regulated funding institutions can not provide loans to non-participating communities with an identified flood hazard. In 2003, the budget assumes collection of all of the administrative and program costs associated with flood insurance activities from policy holders.

Under the emergency program, structures in identified flood-prone areas are eligible for limited amounts of coverage at subsidized insurance rates. Under the regular program, studies must be made of different flood risks in flood-prone areas to establish actuarial premium rates. These rates are charged for insurance on new construction. Coverage is available on virtually all types of buildings and their contents in amounts up to \$350 thousand for residential and \$1 million for other types.

Budget program—Insurance underwriting expense.—Cost of initiating and maintaining flood insurance policies is estimated at \$584 million in 2003.

Loss and adjustment expense.—Insured flood losses and associated loss adjustment expense is estimated at \$788 million in 2002.

Interest expense.—Interest expenses for Treasury borrowings are projected; a ceiling of \$40 million is requested to cover charges for purchasing Treasury securities and possible unanticipated interest costs.

Flood Insurance and Mitigation Program Expenses.—This activity is estimated at \$109 million. FEMA will recover the cost of the following activities from a policy surcharge of \$30:

Flood studies and surveys.—These studies are estimated at \$51 million in 2003.

Flood hazard reduction.—This activity, which includes grants to States, is estimated at \$7 million in 2003.

Mitigation assistance.—Up to \$20 million will be transferred to the National Flood Mitigation Fund in 2003.

Salaries and expenses.—This activity provides for salaries and related expenses of all Federal staff administering the National Flood Insurance Program and is estimated at \$31 million in 2003.

The Administration proposes converting administrative fee collections from mandatory to discretionary. Flood insurance administrative spending, which is funded from fee collections, is already discretionary. This proposal would more closely link budgetary resources with program levels and would not change offsetting collection amounts.

Financing.—The Administrator is authorized to borrow up to \$1 billion (\$1.5 billion in 1997 through 2002 only) to carry out the program. The program is financed through premium income and appropriations to repay borrowing.

Operating results.—Program experience is reviewed annually and, as necessary, flood insurance rates will be adjusted to maintain the NFIP's self-supporting status for the historical average loss year and to maintain the soundness of rates for actuarially rated policies.

Statement of Operations (in millions of dollars)

Identification code 58-4236-0-3-453	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	1,475	1,603	1,729	1,778
0102 Expense	-887	-2,165	-1,474	-1,505
0105 Net income or loss (-)	588	-562	255	273

Balance Sheet (in millions of dollars)

Identification code 58-4236-0-3-453	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	55	22	10	10
Non-Federal assets:				
1206 Receivables, net	15	13	15	18
1207 Advances and prepayments	257	260	299	344
Other Federal assets:				
1801 Cash and other monetary assets	11	-21	5	6
1802 Inventories and related properties	4	4	5	5
1803 Property, plant and equipment, net	1	1	1
1999 Total assets	342	279	335	384
LIABILITIES:				
Federal liabilities:				
2102 Interest payable	12	8	14	12
2103 Debt	345	600	486	363
Non-Federal liabilities:				
2201 Accounts payable	43	56	41	31
2207 Other	951	1,193	865	890
2999 Total liabilities	1,351	1,857	1,406	1,296
NET POSITION:				
3300 Cumulative results of operations	-1,010	-1,578	-1,071	-912
3999 Total net position	-1,010	-1,578	-1,071	-912
4999 Total liabilities and net position	342	279	335	384

Object Classification (in millions of dollars)

Identification code 58-4236-0-3-453	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	17	20	21
12.1 Civilian personnel benefits	5	5	6
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	3	3	3
23.3 Communications, utilities, and miscellaneous charges	2
24.0 Printing and reproduction	7	2	2
25.2 Other services	559	613	629
25.3 Other purchases of goods and services from Government accounts	2	4	4
41.0 Grants, subsidies, and contributions	6	8	8
42.0 Insurance claims and indemnities	1,519	764	788
43.0 Interest and dividends	8	28	24
99.9 Total new obligations	2,129	1,448	1,486

Personnel Summary

Identification code 58-4236-0-3-453	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	242	260	271

NATIONAL FLOOD INSURANCE FUND
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 58-4236-4-3-453	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Insurance underwriting expense	-30
09.02 Loss and Adjustment Expense	-6
09.03 Insurance to value	1
10.00 Total new obligations	-35
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	1
22.60 Portion applied to repay debt	-36
23.90 Total budgetary resources available for obligation	-35
23.95 Total new obligations	35
New budget authority (gross), detail:			
Mandatory:			
Offsetting collections (cash):			
69.00 Offsetting collections (Claims Expense)	7
69.00 Offsetting collections (insurance to value)	1

General and special funds—Continued

NATIONAL FLOOD INSURANCE FUND—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 58-4236-4-3-453	2001 actual	2002 est.	2003 est.
69.47 Portion applied to repay debt			-7
69.90 Spending authority from offsetting collections (total mandatory)			1
Change in obligated balances:			
73.10 Total new obligations			-35
73.20 Total outlays (gross)			35
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority			-35
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Non-Federal sources:			
88.40 Subsidy Phase Out			-7
88.40 Insurance to value			-1
88.90 Total, offsetting collections (cash)			-8
Net budget authority and outlays:			
89.00 Budget authority			-7
90.00 Outlays			-43

The budget proposes four reforms to the National Flood Insurance Program intended to improve its financial condition and to shift more financial responsibility for flood losses to the owners of flood prone properties. First, the budget proposes phasing out subsidized premiums for vacation homes, rental properties, and other non-primary residences and businesses starting in 2003. Rates for primary residences, which represent the majority of the program's policies, would not change under this proposal. Second, the budget proposes that the program cover erosion risk explicitly and that flood premiums start to reflect this risk. FEMA frequently pays for property damage caused by erosion in coastal areas even though erosion risk is not currently covered by flood insurance policies. Third, the budget proposes that lenders require that properties located in the flood plain be insured their full value. Under current law, lenders must only ensure that flood insurance covers the outstanding principal balance of a loan for a property situated in the flood plain. Last, the budget proposes to end state taxation of flood insurance policies sold by private insurance companies that partner with FEMA to sell, market, and service flood insurance. Because flood insurance is a financial service offered by the Federal government (the government retains all risk), it should not be taxed by states.

Statement of Operations (in millions of dollars)

Identification code 58-4236-4-3-453	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue				8
0102 Expense				36
0105 Net income or loss (-)				44

Balance Sheet (in millions of dollars)

Identification code 58-4236-4-3-453	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury				-43
LIABILITIES:				
2103 Federal liabilities: Debt				-43
2999 Total liabilities				-43
4999 Total liabilities and net position				-43

Object Classification (in millions of dollars)

Identification code 58-4236-4-3-453	2001 actual	2002 est.	2003 est.
25.2 Other services			-30
Insurance claims and indemnities:			
42.0 Insurance claims and indemnities			-6
42.0 Insurance claims and indemnities			1
99.9 Total new obligations			-35

NATIONAL FLOOD MITIGATION FUND

(INCLUDING TRANSFER OF FUNDS)

Notwithstanding sections 1366(b)(3)(B)-(C) and 1366(f) of the National Flood Insurance Act of 1968, as amended, \$20,000,000, to remain available until September 30, [2003] 2004, for activities designed to reduce the risk of flood damage to structures pursuant to such Act, of which \$20,000,000 shall be derived from the National Flood Insurance Fund. [Of the amount provided, \$2,500,000 is to be used for the purchase of flood-prone properties in the city of Austin, Minnesota, and any cost-share is waived.] (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 58-4243-0-3-453	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Flood Mitigation Assistance	20	30	20
10.00 Total new obligations (object class 41.0)	20	30	20
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	9	10	
22.00 New budget authority (gross)	20	20	20
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	30	30	20
23.95 Total new obligations	-20	-30	-20
24.40 Unobligated balance carried forward, end of year	10		
New budget authority (gross), detail:			
Discretionary:			
68.62 Spending authority from offsetting collections (transferred from other accounts)	20	20	20
Change in obligated balances:			
72.40 Obligated balance, start of year	40	45	54
73.10 Total new obligations	20	30	20
73.20 Total outlays (gross)	-13	-21	-23
73.40 Adjustments in expired accounts (net)	-1		
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	45	54	51
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		1	1
86.93 Outlays from discretionary balances	13	20	22
87.00 Total outlays (gross)	13	21	23
Net budget authority and outlays:			
89.00 Budget authority	20	20	20
90.00 Outlays	13	21	23

Through fee generated funds transferred from the National Flood Insurance Fund, the National Flood Mitigation Fund provides a mechanism to reduce the financial burden of pre-existing, at-risk structures that are repetitively flooded by removing or elevating these structures out of flood hazard areas, as well as provide flood mitigation assistance planning support to States and communities.

Currently, roughly two percent of the flood insurance policy base is responsible for nearly 40 percent of claim payments made by the National Flood Insurance Fund. Through grants to States, up to \$20 million will be used to remove or elevate these types of properties from the floodplain. The end result

will be a lower net subsidy required to operate this insurance program, less claims on the Disaster Relief Fund, and fewer individuals living in hazardous areas.

Intragovernmental revolving funds:

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 58-4188-0-4-803	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Reimbursable program	23	34	26
10.00 Total new obligations	23	34	26
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	8	9	
22.00 New budget authority (gross)	25	25	26
23.90 Total budgetary resources available for obligation	33	34	26
23.95 Total new obligations	-23	-34	-26
24.40 Unobligated balance carried forward, end of year	9		
New budget authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	25	25	26
Change in obligated balances:			
72.40 Obligated balance, start of year	7	8	12
73.10 Total new obligations	23	34	26
73.20 Total outlays (gross)	-22	-30	-29
74.40 Obligated balance, end of year	8	12	9
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	9	17	17
86.93 Outlays from discretionary balances	13	13	12
87.00 Total outlays (gross)	22	30	29
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-25	-25	-26
Net budget authority and outlays:			
89.00 Budget authority	1	1	1
90.00 Outlays	-2	6	4

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
90.00 Outlays	-3	5	3

The Working Capital Fund is financed from fees charged for services provided at the Mt. Weather Emergency Assistance Center, including conference, training, and office support, motor pool services, and temporary lodging. These services are available to organizations within FEMA and other Federal agencies.

Object Classification (in millions of dollars)

Identification code 58-4188-0-4-803	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	8	9	9
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	9	10	10
12.1 Civilian personnel benefits	3	3	3
23.3 Communications, utilities, and miscellaneous charges	1	2	1
25.2 Other services	2	4	2
25.3 Other purchases of goods and services from Government accounts	3	2	1
25.4 Operation and maintenance of facilities		3	3
25.7 Operation and maintenance of equipment		1	

26.0	Supplies and materials	2	3	1
31.0	Equipment	1	1	1
32.0	Land and structures	2	5	4
99.0	Reimbursable obligations	23	34	26
99.9	Total new obligations	23	34	26

Personnel Summary

Identification code 58-4188-0-4-803	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	173	189	189

Credit accounts:

DISASTER ASSISTANCE DIRECT LOAN PROGRAM ACCOUNT

For [the cost of] direct loans, [\$405,000] as authorized by section 319 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act: *Provided*, That [such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974, as amended: *Provided further*, That these funds are available to subsidize] gross obligations for the principal amount of direct loans not to exceed \$25,000,000: *Provided further*, That the cost of modifying such loans shall be as defined in section 502 of the Congressional Budget Act of 1974, as amended. In addition, for administrative expenses to carry out the direct loan program, \$557,000. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 58-0105-0-1-453	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Community disaster loan program subsidy	2		
00.05 Reestimate of direct loan subsidy	43		
00.06 Interest on reestimates of direct loan subsidy	3		
00.09 Administrative Expenses		1	1
10.00 Total new obligations	48	1	1
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	8	7	7
22.00 New budget authority (gross)	48	1	1
23.90 Total budgetary resources available for obligation	56	8	8
23.95 Total new obligations	-48	-1	-1
23.98 Unobligated balance expiring or withdrawn	-1		
24.40 Unobligated balance carried forward, end of year	7	7	7
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	2	1	1
Mandatory:			
60.00 Appropriation	46		
70.00 Total new budget authority (gross)	48	1	1
Change in obligated balances:			
72.40 Obligated balance, start of year	3	3	3
73.10 Total new obligations	48	1	1
73.20 Total outlays (gross)	-48	-1	-1
74.40 Obligated balance, end of year	3	3	3
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2	1	1
86.97 Outlays from new mandatory authority	46		
87.00 Total outlays (gross)	48	1	1
Net budget authority and outlays:			
89.00 Budget authority	48	1	1
90.00 Outlays	48	1	1

Disaster assistance loans authorized by the Robert T. Stafford Disaster Relief and Emergency Assistance Act 42 U.S.C. 5121 et seq. are loans to States for the non-Federal portion

Credit accounts—Continued

DISASTER ASSISTANCE DIRECT LOAN PROGRAM ACCOUNT—Continued

of cost-sharing funds and community disaster loans to local governments incurring substantial loss of tax and other revenues as a result of a major disaster. The funds requested for this program include direct loans and a subsidy based on criteria including loan amount and interest charged.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 58-0105-0-1-453	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:			
115001 States share program	25	25	25
115002 Community Disaster Loans			
115901 Total direct loan levels	25	25	25
Direct loan subsidy (in percent):			
132001 States share program	6.71	1.62	-4.10
132002 Community Disaster Loans	96.19	91.92	92.17
132901 Weighted average subsidy rate	8.00	91.92	-4.00
Direct loan subsidy budget authority:			
133001 States share program	2		-1
133002 Community Disaster Loans			
133901 Total subsidy budget authority	2		-1
Direct loan subsidy outlays:			
134001 States share program			-1
134002 Community Disaster Loans			
134901 Total subsidy outlays			-1
Direct loan upward reestimate subsidy budget authority:			
135001 States share program	1		
135002 Community Disaster Loans	45		
135901 Total upward reestimate budget authority	46		
Direct loan upward reestimate subsidy outlays:			
136001 States share program	1		
136002 Community Disaster Loans	45		
136901 Total upward reestimate outlays	46		
Direct loan downward reestimate subsidy budget authority:			
137001 States share program	-9		
137002 Community Disaster Loans	-1		
137901 Total downward reestimate budget authority	-10		
Direct loan downward reestimate subsidy outlays:			
138001 States share program	-9		
138002 Community Disaster Loans	-1		
138901 Total downward reestimate subsidy outlays	-10		
Administrative expense data:			
351001 Budget authority		1	1
359001 Outlays from new authority		1	1

Object Classification (in millions of dollars)

Identification code 58-0105-0-1-453	2001 actual	2002 est.	2003 est.
Direct obligations:			
41.0 Grants, subsidies, and contributions	45		
43.0 Interest and dividends	3		
99.0 Direct obligations	48		
99.5 Below reporting threshold		1	1
99.9 Total new obligations	48	1	1

Personnel Summary

Identification code 58-0105-0-1-453	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	3	3	3

DISASTER ASSISTANCE DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 58-4234-0-3-453	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct loans	1	25	25
00.02 Interest on Treasury borrowing	4	1	2
00.91 Direct Program by Activities—Subtotal (1 level)	5	26	27
08.02 Payment of downward reestimate to receipt account	7		
08.03 Purchase of loan from liquidating account	44		
08.04 Payment of interest on downward reestimate to receipt account	3		
08.91 Direct Program by Activities—Subtotal (1 level)	54		
10.00 Total new obligations	59	26	27
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	67	15	
22.00 New financing authority (gross)	7	11	27
23.90 Total budgetary resources available for obligation	74	26	27
23.95 Total new obligations	-59	-26	-27
24.40 Unobligated balance carried forward, end of year	15		
New financing authority (gross), detail:			
Discretionary:			
42.00 Transferred from other accounts		2	
Mandatory:			
67.10 Authority to borrow	23	25	25
69.00 Offsetting collections (cash)	56	10	15
69.47 Portion applied to repay debt	-72	-26	-13
69.90 Spending authority from offsetting collections (total mandatory)	-16	-16	2
70.00 Total new financing authority (gross)	7	11	27
Change in obligated balances:			
72.40 Obligated balance, start of year	3	2	2
73.10 Total new obligations	59	26	27
73.20 Total financing disbursements (gross)	-60	-26	-27
74.40 Obligated balance, end of year	2	2	2
87.00 Total financing disbursements (gross)	60	26	27
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 Interest on Upward Reestimates	-3		
88.00 Federal sources Reestimates	-43		
88.25 Interest on uninvested funds	-6	-5	-5
Non-Federal sources:			
88.40 Repayments of principal	-2	-4	-8
88.40 Interest received on loans	-2	-1	-2
88.90 Total, offsetting collections (cash)	-56	-10	-15
Net financing authority and financing disbursements:			
89.00 Financing authority	-49	1	12
90.00 Financing disbursements	4	16	12

Status of Direct Loans (in millions of dollars)

Identification code 58-4234-0-3-453	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans	25	25	25
1142 Unobligated direct loan limitation (-)	-25		
1150 Total direct loan obligations		25	25

Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	136	165	157
Disbursements:				
1231	Direct loan disbursements	2	25	25
1233	Purchase of loans assets from a liquidating account	29		
1251	Repayments: Repayments and prepayments	-2	-4	-8
1263	Write-offs for default: Direct loans		-29	
1290	Outstanding, end of year	165	157	174

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records, for this program, all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 58-4234-0-3-453					
ASSETS:					
Net value of assets related to post-1991 direct loans receivable:					
1401	Direct loans receivable, gross	136	165	157	174
1402	Interest receivable	30	39	38	36
1405	Allowance for subsidy cost (-)	-156	-202	-173	-173
1499	Net present value of assets related to direct loans	10	2	22	37
1801	Other Federal assets: Cash and other monetary assets	68	15	15	15
1999	Total assets	78	17	37	52
LIABILITIES:					
Federal liabilities:					
2103	Debt	59	10	9	21
2105	Other	3	3	3	3
2999	Total liabilities	62	13	12	24
NET POSITION:					
3300	Cumulative results of operations	16	4	25	28
3999	Total net position	16	4	25	28
4999	Total liabilities and net position	78	17	37	52

DISASTER ASSISTANCE DIRECT LOAN LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 58-4232-0-3-453			
New budget authority (gross), detail:			
Mandatory:			
69.00	Offsetting collections Loan Modification	44	
69.47	Portion applied to repay debt	-44	
69.90	Spending authority from offsetting collections (total mandatory)		
Offsets:			
Against gross budget authority and outlays:			
88.00	Offsetting collections (cash) from: Federal sources	-44	
Net budget authority and outlays:			
89.00	Budget authority	-44	
90.00	Outlays	-44	

Status of Direct Loans (in millions of dollars)

Identification code 58-4232-0-3-453			
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	29	
1251	Repayments: Repayments and prepayments	-29	

Statement of Operations (in millions of dollars)

Identification code 58-4232-0-3-453				
0101	Revenue	4		

0105	Net income or loss (-)	4		
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As required by the Federal Credit Reform Act of 1990, this account records, for this program, all cash flows to and from the Government resulting from direct loans obligated prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond (including modifications of direct loans) is recorded in corresponding program and financing accounts. Outstanding loans are less than \$500,000.

Balance Sheet (in millions of dollars)

Identification code 58-4232-0-3-453				
ASSETS:				
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601	Direct loans, gross	29		
1602	Interest receivable	15		
1603	Allowance for estimated uncollectible loans and interest (-)	-33		
1604	Direct loans and interest receivable, net	11		
1699	Value of assets related to direct loans	11		
1999	Total assets	11		
NET POSITION:				
3300	Cumulative results of operations	11		
3999	Total net position	11		
4999	Total liabilities and net position	11		

Trust Funds

BEQUESTS AND GIFTS

Program and Financing (in millions of dollars)

Identification code 11-8244-0-7-453				
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	2	2	2
24.40	Unobligated balance carried forward, end of year	2	2	2
Net budget authority and outlays:				
89.00	Budget authority			
90.00	Outlays			
Memorandum (non-add) entries:				
92.01	Total investments, start of year: Federal securities:			
	Par value	1	2	2
92.02	Total investments, end of year: Federal securities:			
	Par value	2	2	2

This fund represents contributions primarily from the estate of Cora Brown to support the activities of the Disaster Relief Fund.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

2001 actual 2002 est. 2003 est.				
Offsetting receipts from the public:				
58-274030	Disaster assistance, downward reestimates	10		
General Fund Offsetting receipts from the public				
		10		

GENERAL SERVICES ADMINISTRATION

REAL PROPERTY ACTIVITIES

Federal Funds

Intragovernmental funds:

FEDERAL BUILDINGS FUND

LIMITATIONS ON AVAILABILITY OF REVENUE

(INCLUDING TRANSFER OF FUNDS)

For an additional amount to be deposited in, and to be used for the purposes of, the Fund established pursuant to section 210(f) of the Federal Property and Administrative Services Act of 1949, as amended (40 U.S.C. 490(f)), **[\$8,000,000]** *\$276,400,000*. The revenues and collections deposited into the Fund shall be available for necessary expenses of real property management and related activities not otherwise provided for, including operation, maintenance, and protection of federally owned and leased buildings; rental of buildings in the District of Columbia; restoration of leased premises; moving governmental agencies (including space adjustments and telecommunications relocation expenses) in connection with the assignment, allocation and transfer of space; contractual services incident to cleaning or servicing buildings, and moving; repair and alteration of federally owned buildings including grounds, approaches and appurtenances; care and safeguarding of sites; maintenance, preservation, demolition, and equipment; acquisition of buildings and sites by purchase, condemnation, or as otherwise authorized by law; acquisition of options to purchase buildings and sites; conversion and extension of federally owned buildings; preliminary planning and design of projects by contract or otherwise; construction of new buildings (including equipment for such buildings); and payment of principal, interest, and any other obligations for public buildings acquired by installment purchase and purchase contract; in the aggregate amount of **[\$6,100,382,000]** *\$6,885,375,000*, of which: (1) **[\$386,280,000]** *\$556,574,000* shall remain available until expended for construction (including funds for sites and expenses and associated design and construction services) of additional projects at the following locations:

New Construction:

Alabama:

Mobile, United States Courthouse, \$11,290,000

Arkansas:

Little Rock, United States Courthouse Annex, \$5,022,000

California:

Fresno, United States Courthouse, \$121,225,000

District of Columbia:

Washington, United States Courthouse Annex, \$6,595,000

Washington, Southeast Federal Center Site Remediation, \$5,000,000

Florida:

Ft. Pierce, United States Courthouse, \$2,269,000

Miami, United States Courthouse, \$15,000,000

Orlando, United States Courthouse, \$4,000,000

Illinois:

Rockford, United States Courthouse, \$4,933,000

Iowa:

Cedar Rapids, United States Courthouse, \$9,785,000

Maine:

Jackman, Border Station, \$868,000

Maryland:

Montgomery County, FDA Consolidation, \$19,060,000

Prince Georges County, National Center for Environmental Prediction, \$3,000,000

Suitland, United States Census Bureau, \$2,813,000

Suitland, National Oceanic and Atmospheric Administration II, \$34,083,000

Massachusetts:

Springfield, United States Courthouse, \$6,473,000

Michigan:

Detroit, Ambassador Bridge Border Station, \$9,470,000

Mississippi:

Gulfport, United States Courthouse, \$3,000,000

Jackson, United States Courthouse, \$6,710,000

Montana:

Raymond, Border Station, \$693,000

New Mexico:

Las Cruces, United States Courthouse, \$4,110,000

New York:

Brooklyn, United States Courthouse Annex—GPO, \$3,361,000

Buffalo, United States Courthouse Annex, \$716,000

Champlain, Border Station, \$500,000

New York, United States Mission to the United Nations, \$4,617,000

Oklahoma:

Norman, NOAA Norman Consolidation Project, \$8,000,000, to be directly transferred to the National Oceanic and Atmospheric Administration

Oregon:

Eugene, United States Courthouse, \$4,470,000

Pennsylvania:

Erie, United States Courthouse Annex, \$30,739,000

Tennessee:

Nashville, United States Courthouse, \$14,700,000

Texas:

Del Rio III, Border Station, \$1,869,000

Eagle Pass, Border Station, \$2,256,000

El Paso, United States Courthouse, \$11,193,000

Fort Hancock, Border Station, \$2,183,000

Houston, Federal Bureau of Investigation, \$6,268,000

Utah:

Salt Lake City, United States Courthouse, \$3,000,000

Virginia:

Norfolk, United States Courthouse Annex, \$11,609,000

Nationwide:

Non-prospectus construction, \$5,400,000

Arkansas:

Little Rock, United States Courthouse Annex, \$77,154,000

California:

San Diego, United States Courthouse Annex, \$23,901,000

District of Columbia:

Washington, Southeast Federal Center Site Remediation, \$8,972,000

Florida:

Fort Pierce, United States Courthouse, \$2,744,000

Iowa:

Cedar Rapids, United States Courthouse, \$5,167,000

Maine:

Jackman, Border Station, \$9,194,000

Maryland:

Montgomery County, FDA consolidation, \$5,500,000

Suitland, National Oceanic and Atmospheric Administration II, \$9,461,000

Suitland, United States Census Bureau, \$176,919,000

Mississippi:

Jackson, United States Courthouse, \$7,276,000

Montana:

Raymond, Border Station, \$7,753,000

New York:

Brooklyn, United States Courthouse Annex—GPO, \$39,500,000

Massena, Border Station, \$1,646,000

New York, U.S. Mission to the United Nations, \$57,053,000

North Dakota:

Portal, Border Station, \$2,201,000

Oregon:

Eugene, United States Courthouse, \$77,374,000

Tennessee:

Nashville, United States Courthouse, \$7,095,000

Texas:

Austin, United States Courthouse, \$13,809,000

Utah:

Salt Lake City, United States Courthouse, \$6,018,000

Washington:

Intragovernmental funds—ContinuedFEDERAL BUILDINGS FUND—Continued
(INCLUDING TRANSFER OF FUNDS)—Continued

Oroville, Border Station, \$6,572,000

Nationwide:

Judgment Fund Repayment, \$3,012,000

Nonprospectus Construction, \$8,253,000:

Provided, That [funding for any project identified above] each of the foregoing limits of costs on new construction projects may be exceeded to the extent that savings are effected in other such projects, but not to exceed 10 percent [of the amounts included in an approved prospectus, if required,] unless advance [approval is obtained from] notice is transmitted to the Committees on Appropriations of a greater amount: *Provided further*, That all funds for direct construction projects shall expire on September 30, [2003] 2004, and remain in the Federal Buildings Fund except for funds for projects as to which funds for design or other funds have been obligated in whole or in part prior to such date; (2) [\$826,676,000] \$986,029,000 shall remain available until expended for repairs and alterations which includes associated design and construction services: *Provided further*, That funds in the Federal Buildings Fund for Repairs and Alterations shall, for prospectus projects, be limited to the amount by project, as follows, except each project may be increased by an amount not to exceed 10 percent unless advance [approval is obtained from] notice is transmitted to the Committees on Appropriations of a greater amount:

Repairs and Alterations:

[Alabama:

Montgomery, Frank M. Johnson, Jr. Federal Building—United States Courthouse, \$4,000,000

California:

Laguna Niguel, Chet Holifield Federal Building, \$11,711,000

San Diego, Edward J. Schwartz Federal Building, United States Courthouse, \$13,070,000

Colorado:

Lakewood, Denver Federal Center, Building 67, \$8,484,000

District of Columbia:

Washington, 320 First Street, Federal Building, \$8,260,000

Washington, Internal Revenue Service Main Building, Phase 2, \$20,391,000

Washington, Main Interior Building, \$22,739,000

Washington, Main Justice Building, Phase 3, \$45,974,000

Florida:

Jacksonville, Charles E. Bennett Federal Building, \$23,552,000

Tallahassee, United States Courthouse, \$4,894,000

Illinois:

Chicago, Federal Building, 536 South Clark Street, \$60,073,000

Chicago, Harold Washington Social Security Center, \$13,692,000

Chicago, John C. Kluczynski Federal Building, \$12,725,000

Iowa:

Des Moines, 210 Walnut Street, Federal Building, \$11,992,000

Missouri:

Kansas City, Federal Building, 811 Grand Boulevard, \$1,604,000

St. Louis, Federal Building, 104/105 Goodfellow, \$20,212,000

New Jersey:

Newark, Peter W. Rodino Federal Building, \$5,295,000

Nevada:

Las Vegas, Foley Federal Building—United States Courthouse, \$26,978,000

Ohio:

Cleveland, Anthony J. Celebrezze Federal Building, \$22,986,000

Cleveland, Howard M. Metzenbaum United States Courthouse, \$27,856,000

Oklahoma:

Muskogee, Federal Building—United States Courthouse, \$8,214,000

Oregon:

Portland, Pioneer Courthouse, \$16,629,000

Pennsylvania:

Pittsburgh, United States Post Office and Courthouse, \$12,600,000

Rhode Island:

Providence, United States Federal Building and Courthouse, \$5,039,000

Wisconsin:

Milwaukee, Federal Building—United States Courthouse, \$10,015,000

Nationwide:

Design Program, \$33,657,000

Heating, Ventilation and Air Conditioning Modernization—Various Buildings, \$6,650,000

Transformers—Various Buildings, \$15,588,000

Basic Repairs and Alterations, \$351,796,000:]

California:

Los Angeles, Federal Building, 300 North Los Angeles Street, \$93,166,000

San Francisco, Appraisers Building, \$20,283,000

Tecate, Tecate U.S. Border Station, \$5,709,000

Connecticut:

New Haven, Robert N. Gaimo Federal Building, \$18,507,000

District of Columbia:

Federal Office Building 10A Garage, \$5,454,000

Harry S Truman Building (State), \$29,443,000

Illinois:

Chicago, U.S. Custom House, \$9,000,000

Iowa:

Davenport, Federal Building and U.S. Courthouse, \$12,586,000

Maryland:

Baltimore, Metro West, \$6,162,000

Woodlawn, Operations Building, \$96,905,000

Massachusetts:

Boston, John F. Kennedy Federal Building Plaza, \$3,271,000

Missouri:

Kansas City, Bannister Federal Complex, Building 1, \$16,130,000

Kansas City, Bannister Federal Complex, Building 2, \$3,148,000

New Hampshire:

Manchester, Norris Cotton Federal Building, \$17,668,000

Portsmouth, Thomas J. McIntyre Federal Building, \$11,149,000

New York:

New York, Jacob K. Javits Federal Building, \$7,568,000

Ohio:

Cleveland, Howard M. Metzenbaum U.S. Courthouse, \$15,212,000

Pennsylvania:

Pittsburgh, William S. Moorhead Federal Building, \$68,793,000

Texas:

Dallas, Earle Cabell Federal Building—Courthouse and Santa Fe Federal Building, \$16,394,000

Fort Worth, Fritz Garland Lanham Federal Building, \$15,249,000

Washington:

Seattle, Henry M. Jackson Federal Building, \$26,832,000

Nationwide:

Chlorofluorocarbons Program, \$8,000,000

Design Program, \$52,527,000

Elevator Program, \$21,533,000

Energy Program, \$8,000,000

Glass Fragmentation Program, \$20,000,000

Terrorism, \$10,000,000

Basic Repairs and Alterations, \$367,340,000:

Provided further, That additional projects for which prospectuses have been fully approved may be funded under this category only if advance [approval is obtained from] notice is transmitted to the Committees on Appropriations: *Provided further*, That the amounts provided in this or any prior Act for "Repairs and Alterations" may be used to fund costs associated with implementing security improvements to buildings necessary to meet the minimum standards for security in accordance with current law and in compliance with the reprogramming guidelines of the appropriate Committees of the House and Senate: *Provided further*, That the difference between the funds appropriated and expended on any projects in this or any prior Act, under the heading "Repairs and Alterations", may be transferred to Basic Repairs and Alterations or used to fund authorized increases in prospectus projects: *Provided further*, That all funds for repairs and alterations prospectus projects shall expire on September 30, [2003] 2004, and remain in the Federal Buildings Fund except funds for projects as to which funds for design or other funds have

been obligated in whole or in part prior to such date: *Provided further*, That the amount provided in this or any prior Act for Basic Repairs and Alterations may be used to pay claims against the Government arising from any projects under the heading "Repairs and Alterations" or used to fund authorized increases in prospectus projects; (3) **[\$186,427,000]** *\$178,960,000* for installment acquisition payments including payments on purchase contracts which shall remain available until expended; (4) **[\$2,952,050,000]** *\$3,153,211,000* for rental of space which shall remain available until expended; and (5) **[\$1,748,949,000]** *\$2,010,601,000* for building operations which shall remain available until expended: *Provided further*, That funds available to the General Services Administration shall not be available for expenses of any construction, repair, alteration and acquisition project for which a prospectus, if required by the Public Buildings Act of 1959, as amended, has not been approved, except that necessary funds may be expended for each project for required expenses for the development of a proposed prospectus: *Provided further*, That funds available in the Federal Buildings Fund may be expended for emergency repairs when advance [approval is obtained from] notice is transmitted to the Committees on Appropriations: *Provided further*, That amounts necessary to provide reimbursable special services to other agencies under section 210(f)(6) of the Federal Property and Administrative Services Act of 1949, as amended (40 U.S.C. 490(f)(6)) and amounts to provide such reimbursable fencing, lighting, guard booths, and other facilities on private or other property not in Government ownership or control as may be appropriate to enable the United States Secret Service to perform its protective functions pursuant to 18 U.S.C. 3056, shall be available from such revenues and collections: *Provided further*, That revenues and collections and any other sums accruing to this Fund during fiscal year [2002] 2003, excluding reimbursements under section 210(f)(6) of the Federal Property and Administrative Services Act of 1949 (40 U.S.C. 490(f)(6)) in excess of **[\$6,100,382,000]** *\$6,885,375,000* shall remain in the Fund and shall not be available for expenditure except as authorized in appropriations Acts. (*Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Unavailable Collections (in millions of dollars)

Identification code 47-4542-0-4-804	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	406	626	538
Receipts:			
02.80 Federal buildings fund, offsetting collections	7,024	7,183	7,552
04.00 Total: Balances and collections	7,430	7,809	8,090
Appropriations:			
05.00 Federal buildings fund	-6,804	-7,271	-7,567
05.99 Total appropriations	-6,804	-7,271	-7,567
07.99 Balance, end of year	626	538	523

Program and Financing (in millions of dollars)

Identification code 47-4542-0-4-804	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Capital investment program:			
09.01 Construction and acquisition of facilities	364	1,043	603
09.02 Repairs and alterations	623	977	1,199
09.03 Design and construction services	3	3	
09.04 Installment acquisition payments	190	193	179
09.05 Construction of lease purchase facilities	9	175	6
09.07 Pennsylvania Avenue activities	10	12	8
09.08 Proceeds from Columbia Hospital for Women	8	6	
09.09 Total capital investment program	1,207	2,409	1,995
Operating programs:			
09.10 Rental of space	2,957	3,332	3,200
09.11 Building operations	1,727	1,920	2,011
09.19 Total operating programs	4,684	5,252	5,211
09.20 Special services and improvements	1,111	890	879
10.00 Total new obligations	7,002	8,551	8,085

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	2,445	2,849	1,945
22.00 New budget authority (gross)	7,337	7,718	7,843
22.10 Resources available from recoveries of prior year obligations	138		
22.21 Unobligated balance transferred to other accounts	-1		

22.60 Portion applied to repay debt	-69	-72	-80
23.90 Total budgetary resources available for obligation	9,850	10,495	9,708
23.95 Total new obligations	-7,002	-8,551	-8,085
24.40 Unobligated balance carried forward, end of year	2,849	1,945	1,625

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	477	135	276
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
41.00 Transferred to other accounts		-8	
42.00 Transferred from other accounts	9		
43.00 Appropriation (total discretionary)	485	127	276
55.00 Advance appropriation		276	
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	7,066	7,227	7,552
68.10 Change in uncollected customer payments from Federal sources (unexpired)	6		
68.26 From offsetting collections (unavailable balances)	406	626	538
68.45 Portion precluded from obligation (limitation on obligations)	-626	-538	-523
68.90 Spending authority from offsetting collections (total discretionary)	6,852	7,315	7,567
70.00 Total new budget authority (gross)	7,337	7,718	7,843

Change in obligated balances:

72.40 Obligated balance, start of year	777	784	1,693
73.10 Total new obligations	7,002	8,551	8,085
73.20 Total outlays (gross)	-6,851	-7,643	-7,555
73.45 Recoveries of prior year obligations	-138		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-6		
74.40 Obligated balance, end of year	784	1,693	2,222

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	6,144	6,328	6,386
86.93 Outlays from discretionary balances	707	1,315	1,169
87.00 Total outlays (gross)	6,851	7,643	7,555

Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-7,025	-7,209	-7,533
88.40 Non-Federal sources	-41	-18	-19
88.90 Total, offsetting collections (cash)	-7,066	-7,227	-7,552
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-6		

Net budget authority and outlays:

89.00 Budget authority	265	491	291
90.00 Outlays	-215	416	3

The Federal Buildings Fund finances the activities of the Public Buildings Service which provides space and services for Federal agencies in a relationship similar to that of landlord and tenant.

The Fund, established in 1975, replaces direct appropriations by using income derived from rent assessments which approximate commercial rates for comparable space and services. Rent and other income to the Fund is as follows:

	[In millions of dollars]		
	2001 actual	2002 est.	2003 est.
Rental charges	5,864	6,269	6,655
Collections for:			
(a) Special services and improvements	1,035	890	879
(b) Miscellaneous income	58	18	19
Total receipts and reimbursements	6,957	7,177	7,553

The following table details the financing for the Federal Buildings Fund in 2002 and 2003.

Intragovernmental funds—Continued

FEDERAL BUILDINGS FUND—Continued
(INCLUDING TRANSFER OF FUNDS)—Continued

(In millions of dollars)

	Obligations	End-of-year unobligated balance	Obligational authority		
			Total	New	From prior year
2002 basic program:					
1. Construction and acquisition of facilities	1,043	114	1,157	675	2182
2. Repairs and alterations	977	476	1,453	869	584
3. Design and construction services	3	3	3
4. Installment acquisition payments	193	193	186	7
5. Construction of lease purchase facilities	175	47	222	222
6. Rental of space	3,332	47	3,379	3,147	232
7. Building operations	1,876	1,876	1,833	43
8. Columbia Women's Hospital	6	6	6
9. Pennsylvania Avenue activities	12	16	28	28
Total basic program	7,617	700	8,317	6,716	1,601
Other programs:					
Special services and improvements	890	890	890
Total Federal Buildings Fund	8,507	700	9,207	7,606	1,601

(In millions of dollars)

	Obligations	End-of-year unobligated balance	Obligational authority		
			Total	New	From prior year
2003 basic program:					
1. Construction and acquisition of facilities	603	69	672	558	114
2. Repairs and alterations	1,199	261	1,460	984	476
3. Installment acquisition payments	179	179	179
4. Construction of lease purchase facilities	6	41	47	47
5. Rental of space	3,200	3,200	3,153	47
6. Building operations	2,011	2,011	2,011
7. Columbia Women's Hospital
8. Pennsylvania Avenue activities	8	8	16	16
Total basic program	7,206	379	7,585	6,885	700
Other programs:					
Special services and improvements	879	879	879
Total Federal Buildings Fund	8,085	379	8,464	7,764	700

The Federal Buildings Fund program consists of the following activities financed from rent charges:

Construction and acquisition of facilities.—Space is acquired through the construction or purchase of facilities and prospectus-level extensions to existing buildings. All costs directly attributable to site acquisition, construction, and the full range of design and construction services and management and inspection of construction projects are funded under this activity.

Courthouse Program.—Included is approximately \$260 million for courthouse projects. This will fund 9 projects on the Judiciary's priority list which can be awarded in 2003 and the completion of the Brooklyn courthouse annex. All new projects meet the building criteria outlined in the U.S. Courts Design Guide.

Repairs and alterations.—Repairs and alterations of public buildings as well as associated design and construction services are funded under this activity. Protection of the Government's investment, health and safety of building occupants, transfer of agencies from leased space, and cost effectiveness are the principal criteria used in establishing priorities. Primary consideration is given to repairs to prevent deterioration and damage to buildings, their support systems, and operating equipment. This activity also provides for conversion of existing facilities and non-prospectus extensions.

Installment acquisition payments.—Payments are made for liabilities incurred under purchase contract authority and lease purchase arrangements. The periodic payments cover principal, interest, and other requirements.

Rental of space.—Space is acquired through the leasing of buildings including space occupied by Federal agencies in U.S. Postal Service facilities, 154 million rentable square feet in 2002, and 157 million rentable square feet in 2003.

Building operations.—Services are provided for Government-owned and leased facilities, including cleaning, utilities and fuel, protection, maintenance, miscellaneous services (such as moving, evaluation of new materials and equipment, and field supervision), and general management and administration of all real property related programs including salaries and benefits paid from the Federal Buildings Fund. The following list shows the 2002 and 2003 direct program (estimated square feet and expenses in millions):

	2002		2003	
	Square feet	Expenses	Square feet	Expenses
Cleaning	143	224	147	236
Utilities	148	304	153	327
Maintenance	137	246	141	256
Other building services	244	285	244	291
Protection	255	361	272	417
Other staff support	274	294
IT support	66	73
International Trade Center	112	117
Pennsylvania Avenue activities	3
Columbia Hospital for Women	6
Total	1,881	2,011

Other programs.—When requested by Federal agencies, the Public Buildings Service provides building services such as tenant alterations, cleaning and other operations, and protection services which are in excess of those services provided under the commercial rental charge. For presentation purposes, the balances of the Unconditional Gifts of Real, Personal, or Other Property trust fund have been combined with the Federal Buildings Fund.

Agency debt.—The following table reflects agency debt outstanding for the construction of federal buildings under authorities previously provided:

	(In millions of dollars)		
	2001 actual	2002 est.	2003 est.
FFB Held Debt:			
Outstanding agency debt, SOY	2,313	2,268	2,243
New agency borrowings	24	47	60
Repayments and prepayments	-69	-72	-80
Outstanding agency debt, EOY	2,268	2,243	2,223

Statement of Operations (in millions of dollars)

Identification code 47-4542-0-4-804	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	6,666	7,051	7,265	7,597
0102 Expense	-6,306	-6,597	-7,234	-7,194
0105 Net income or loss (-)	360	454	31	403

Object Classification (in millions of dollars)

Identification code 47-4542-0-4-804	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	411	448	438
11.3 Other than full-time permanent	5	5	6
11.5 Other personnel compensation	17	13	13
11.9 Total personnel compensation	433	466	457
12.1 Civilian personnel benefits	141	141	119
13.0 Benefits for former personnel	26
Travel and transportation of persons:			
21.0 Travel and transportation of persons	16	17	15
21.0 Motor vehicle usage	5	5	5
22.0 Transportation of things	4	4	4

23.2	Rental payments to others	2,954	3,332	3,201
23.3	Communications, utilities, and miscellaneous charges	326	330	352
24.0	Printing and reproduction	3	8	8
25.2	Other services	2,275	3,224	2,842
25.4	Operation and maintenance of facilities	504	572	693
25.7	Operation and maintenance of equipment	44	46	46
26.0	Supplies and materials	64	62	58
31.0	Equipment	28	46	52
32.0	Land and structures	33	125	48
43.0	Interest and dividends	172	173	159
99.9	Total new obligations	7,002	8,551	8,085

Obligations are distributed as follows:

General Services Administration	6,793	8,329	7,868
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Allocation Accounts:

Department of Commerce	75	77	78
Department of Defense	108	119	138
Environmental Protection Agency	26	26	1

Personnel Summary

Identification code 47-4542-0-4-804	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	7,175	7,295	7,295

ALLOCATIONS RECEIVED FROM OTHER APPROPRIATION ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriations as follows:

Smithsonian Institution:
"Construction."

General and special funds:

REAL PROPERTY RELOCATION

Program and Financing (in millions of dollars)

Identification code 47-0535-0-1-804	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	2	10	2
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	12	10	4
22.00 New budget authority (gross)		2	
23.90 Total budgetary resources available for obligation	12	12	4
23.95 Total new obligations	-2	-10	-2
24.40 Unobligated balance carried forward, end of year	10	4	2
New budget authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)		2	
Change in obligated balances:			
72.40 Obligated balance, start of year			1
73.10 Total new obligations	2	10	2
73.20 Total outlays (gross)	-2	-10	-2
74.40 Obligated balance, end of year		1	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		2	
86.93 Outlays from discretionary balances	2	8	2
87.00 Total outlays (gross)	2	10	2
Offsets:			
88.00 Against gross budget authority and outlays: Offsetting collections (cash) from: Federal sources		-2	
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	2	8	2

This appropriation covers relocation costs involved in moving agencies from valuable underutilized property, targeted for public sale, to facilities determined to be more economi-

cally suitable to their needs. Relocation and disposal is considered when the benefit/cost ratio is at least 2:1. The sale of these valuable underutilized properties would provide significant revenue to the Treasury and would far outweigh the relocation costs involved.

No appropriation is requested for this program in 2003. GSA will solicit relocation proposals from agencies.

Object Classification (in millions of dollars)

Identification code 47-0535-0-1-804	2001 actual	2002 est.	2003 est.
25.2 Other services	1	5	1
31.0 Equipment	1	3	
32.0 Land and structures		2	1
99.9 Total new obligations	2	10	2

DISPOSAL OF SURPLUS REAL AND RELATED PERSONAL PROPERTY

Unavailable Collections (in millions of dollars)

Identification code 47-5254-0-2-804	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	110	105	114
Receipts:			
02.20 Sale of surplus property	1	3	3
02.21 Other receipts, surplus real and related personal property	5	13	13
02.22 Transfers to Land and water conservation fund	-2	-2	-2
02.23 Sale of property, Lorton correctional complex		3	3
02.99 Total receipts and collections	4	17	17
04.00 Total: Balances and collections	114	122	131
Appropriations:			
05.00 Disposal of surplus real and related personal property	-8	-8	-9
06.10 Unobligated balance returned to receipts	-1		
07.99 Balance, end of year	105	114	122

Program and Financing (in millions of dollars)

Identification code 47-5254-0-2-804	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Appraisers' fees, auctioneers and broker fees and surveying	6	1	2
00.02 Advertising		1	1
00.03 Environmental services	1	5	5
00.05 Outleasing government-owned space: Auctioneers, brokers fees and advertising... ..		1	1
10.00 Total new obligations (object class 25.2)	7	8	9
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	8	8	9
23.95 Total new obligations	-7	-8	-9
23.98 Unobligated balance expiring or withdrawn	-1		
New budget authority (gross), detail:			
Mandatory:			
60.20 Appropriation (special fund)	8	8	9
Change in obligated balances:			
72.40 Obligated balance, start of year	1	2	1
73.10 Total new obligations	7	8	9
73.20 Total outlays (gross)	-8	-8	-8
73.40 Adjustments in expired accounts (net)	2		
74.40 Obligated balance, end of year	2	1	1
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	8	8	8
Net budget authority and outlays:			
89.00 Budget authority	8	8	9
90.00 Outlays	8	8	9

Auctioneers and brokers familiar with local markets may be used to accelerate the disposal of surplus real and related

General and special funds—Continued

DISPOSAL OF SURPLUS REAL AND RELATED PERSONAL PROPERTY—Continued

personal property, including the outleasing of Government-owned buildings and space. Fees of auctioneers, brokers, appraisers, and environmental consultants, surveying costs, costs of advertising and costs of environmental and historical preservation services are paid out of receipts from disposals within each year in accordance with 40 U.S.C.A. 485(b).

Credit accounts:

COLUMBIA HOSPITAL FOR WOMEN DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 47-4029-0-3-804	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Interest on treasury borrowing	1		
08.02 Downward reestimate of subsidy		6	
10.00 Total new obligations	1	6	
Budgetary resources available for obligation:			
22.00 New financing authority (gross)	1	13	
22.60 Portion applied to repay debt		-7	
23.90 Total budgetary resources available for obligation	1	6	
23.95 Total new obligations	-1	-6	
New financing authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	1	13	
Change in obligated balances:			
73.10 Total new obligations	1	6	
73.20 Total financing disbursements (gross)	-1	-6	
87.00 Total financing disbursements (gross)	1	6	

Offsets:

Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
Non-Federal sources:			
88.40 Non-Federal sources: Interest payment	-1		
88.40 Non-Federal sources: Principal Payment		-13	
88.90 Total, offsetting collections (cash)	-1	-13	

Net financing authority and financing disbursements:

89.00 Financing authority			
90.00 Financing disbursements	-1	-7	

Status of Direct Loans (in millions of dollars)

Identification code 47-4029-0-3-804	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans			
1150 Total direct loan obligations			
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	14	13	
1251 Repayments: Repayments and prepayments	-1	-13	
1290 Outstanding, end of year	13		

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 47-4029-0-3-804	2001 actual	2002 est.	2003 est.
Direct loan downward reestimate subsidy budget authority:			
137001 Downward reestimates subsidy budget authority		-6	

137901 Total downward reestimate budget authority	-6		
Direct loan downward reestimate subsidy outlays:			
138001 Downward reestimates subsidy outlays	-6		
138901 Total downward reestimate subsidy outlays	-6		

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from the credit sale of Federal property to the Columbia Hospital for Women in the District of Columbia as directed by the Treasury and General Government Appropriations Act, 2000 (P.L. 106-58). The amounts in this account are a means of financing and are not included in the budget totals. Columbia Hospital for Women retired this loan in its entirety in 2002.

Balance Sheet (in millions of dollars)

Identification code 47-4029-0-3-804	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	7	7		
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	7	7		
1499 Net present value of assets related to direct loans	7	7		
1999 Total assets	14	14		
LIABILITIES:				
2104 Federal liabilities: Resources payable to Treasury	14	14		
2999 Total liabilities	14	14		
4999 Total liabilities and net position	14	14		

SUPPLY AND TECHNOLOGY ACTIVITIES

Federal Funds

General and special funds:

EXPENSES OF TRANSPORTATION AUDIT CONTRACTS AND CONTRACT ADMINISTRATION

Unavailable Collections (in millions of dollars)

Identification code 47-5250-0-2-804	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	29	30	31
Receipts:			
02.20 Recoveries of transportation overcharges	12	14	14
04.00 Total: Balances and collections	41	44	45
Appropriations:			
05.00 Expenses of transportation audit contracts and contract administration	-13	-13	-14
06.10 Unobligated balance returned to receipts	2		
07.99 Balance, end of year	30	31	31

Program and Financing (in millions of dollars)

Identification code 47-5250-0-2-804	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Audit contracts	2	3	3
00.02 Contract administration	8	11	11
10.00 Total new obligations	10	14	14
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	13	14	14
23.95 Total new obligations	-10	-14	-14
23.98 Unobligated balance expiring or withdrawn	-2		
New budget authority (gross), detail:			
Mandatory:			
60.20 Appropriation (special fund)	13	14	14

Change in obligated balances:

72.40	Obligated balance, start of year	4	3	3
73.10	Total new obligations	10	14	14
73.20	Total outlays (gross)	-11	-14	-14
74.40	Obligated balance, end of year	3	3	3

Outlays (gross), detail:

86.97	Outlays from new mandatory authority	9	9	9
86.98	Outlays from mandatory balances	2	5	5
87.00	Total outlays (gross)	11	14	14

Net budget authority and outlays:

89.00	Budget authority	13	14	14
90.00	Outlays	11	14	14

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00	Budget authority	13	13
90.00	Outlays	11	13

The expenses of Transportation Audit Contracts and Contract Administration activities are financed from overcharges collected from carriers on transportation bills paid by the Government as a result of post payment audits. In 1986, Public Law 99-627 granted GSA the authority to conduct prepayment audits and to delegate this authority to Federal agencies, upon their request; permanent authority to pay transportation audit contractors from carrier overcharges collected; and authority to transfer net overpayments collected to the Treasury. In 1998, with the passage of the Travel and Transportation Act, the prepayment audit of transportation bills became mandatory. The Act's changes were fully implemented in October 2000.

In 2001, \$20 million of carrier overpayments were collected, and \$8 million were returned to the U.S. Treasury, resulting in net receipts of \$12 million.

Object Classification (in millions of dollars)

Identification code 47-5250-0-2-804	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	4	4	4
12.1 Civilian personnel benefits	1	2	2
25.2 Other services	4	5	4
25.3 Other purchases of goods and services from Government accounts	1	3	4
99.9 Total new obligations	10	14	14

Personnel Summary

Identification code 47-5250-0-2-804	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	70	67	67

Intragovernmental funds:

GENERAL SUPPLY FUND

Program and Financing (in millions of dollars)

Identification code 47-4530-0-4-804	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Supply and procurement:			
09.01 Stores, regular	636	652	628
09.03 Special order	282	415	436
09.04 Schedules	117	149	159
09.09 Subtotal, Supply and procurement	1,035	1,216	1,223
Other business lines:			
09.10 Personal property management	19	20	13
09.11 Travel and transportation	9	8	8

09.12	Vehicle acquisition and leasing	1,530	1,640	1,694
09.19	Subtotal, Other business lines	1,558	1,668	1,715
Capital investments:				
09.21	Stores: Purchases of equipment	18	15	15
09.22	Fleet: Purchases of equipment	635	721	754
09.23	E-Gov initiatives	25	25	35
09.29	Subtotal, Capital investments	653	761	804
10.00	Total new obligations	3,246	3,645	3,742

Budgetary resources available for obligation:

21.40	Unobligated balance carried forward, start of year	426	536	439
22.00	New budget authority (gross)	3,345	3,548	3,715
22.10	Resources available from recoveries of prior year obligations	11
23.90	Total budgetary resources available for obligation	3,782	4,084	4,154
23.95	Total new obligations	-3,246	-3,645	-3,742
24.40	Unobligated balance carried forward, end of year	536	439	412

New budget authority (gross), detail:

Mandatory:

69.00	Offsetting collections (cash)	3,453	3,548	3,715
69.10	Change in uncollected customer payments from Federal sources	-108
69.90	Spending authority from offsetting collections (total mandatory)	3,345	3,548	3,715

Change in obligated balances:

72.40	Obligated balance, start of year	-30	-175	-78
73.10	Total new obligations	3,246	3,645	3,742
73.20	Total outlays (gross)	-3,487	-3,548	-3,715
73.45	Recoveries of prior year obligations	-11
74.00	Change in uncollected customer payments from Federal sources	108
74.40	Obligated balance, end of year	-175	-78	-51

Outlays (gross), detail:

86.97	Outlays from new mandatory authority	3,091	3,152	3,303
86.98	Outlays from mandatory balances	396	396	412
87.00	Total outlays (gross)	3,487	3,548	3,715

Offsets:

Against gross budget authority and outlays:

Offsetting collections (cash) from:

88.00	Federal sources	-3,298	-3,393	-3,557
88.40	Non-Federal sources	-155	-155	-158
88.90	Total, offsetting collections (cash)	-3,453	-3,548	-3,715

Against gross budget authority only:

88.95	Change in uncollected customer payments from Federal sources (unexpired)	108
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Net budget authority and outlays:

89.00	Budget authority
90.00	Outlays	34

This fund finances, on a reimbursable basis, a national supply distribution system; a system of ordering supplies for direct delivery to Federal agencies; a system for providing management of the sale of surplus personal property for agencies; a system of transportation and travel management that ensures discounted rates for lodging, transportation, and small package mailings for Federal customers; a contracting function, the Multiple Award Schedules program, providing a Government-wide procurement program for commercial items and services; and a vehicle acquisition and leasing program that includes a system of interagency Federal Fleet Management Centers. In 1988, legislation was enacted to authorize full cost recovery for all supply management, operating, and overhead expenses related to providing goods and services to other Federal agencies through the General Supply Fund. The total value of the contracts for products and services financed through the General Supply Fund was \$19.4 billion in 2001. The technical and procurement expertise available to Federal agencies through the Federal Supply Service's (FSS) four business lines reduces these agencies' own investments in acquisition administration and in the

Intragovernmental funds—Continued

GENERAL SUPPLY FUND—Continued

management of Federal personal property assets. This fund provides products and services through four business lines: Supply and Procurement, Vehicle Acquisition and Leasing services, Travel and Transportation, and Personal Property Management. These business lines cover their costs of operations with the revenue they generate.

The Federal Supply Service plays an important role in expanding electronic government (E-Gov), one of the goals in the President's Management Agenda. To support this goal, in 2002 and 2003, the Federal Supply Service will make \$25 million and \$35 million, respectively, in information technology investments with the net revenues of the General Supply Fund. These investments will build and support systems and programs that will enable citizens to obtain information and services from and conduct business with the Federal Government electronically.

Supply and Procurement.—FSS provides products and services required by Federal agencies to support their respective missions. Currently, FSS has over 9,000 contracts in place to meet Federal agencies' procurement needs, from office supplies to information technology services. FSS has seen the greatest growth in its service and technology offerings. FSS Supply and Procurement business segments, which include Multiple Award Schedules, Stock, and Special Order programs, have enabled agencies to shorten procurement schedules and decrease administrative workloads and processing. These programs delivered \$17.9 billion in products and services to Federal customers in 2001.

Schedules.—This contracting function provides a Government-wide supply support program of commercial and information technology products and services required by Federal agencies and other authorized users. The program enables Federal agencies to acquire more than 4 million commercial products and services directly from more than 9,000 GSA-approved vendors. GSA receives income for Schedules program contract administration in the amount of one percent of the total Schedules program business volume. The Schedules program business volume rose 11 percent to \$16.8 billion in 2001. The on-line electronic catalog system, GSA Advantage, currently offers more than 2.4 million products on-line, and will continue to increase the number and variety of items available electronically.

Stock and Special Order Programs.—The Stock Program consists of supply depots from which Federal agencies can order common office supplies and related commodities and the Special Order Program (SOP) makes available products that are not commonly used or that cannot be economically stocked in the depots. SOP items are purchased by GSA for direct shipment to the requesting agency. Combined sales for the Stock and special order programs declined from \$1.2 billion in 2000 to \$1.1 billion in 2001. The decline is largely attributable to the widespread use of electronic commerce alternatives, facilitated by Government purchase cards which have enabled Federal agencies to purchase electronically the goods and services traditionally made available by the Stock and Special Order programs. As of December 1, 2001, GSA consolidated its supply distribution operations from eight sites to two centers located in Burlington, New Jersey and Stockton, California. The new distribution strategy will increase responsiveness, the breadth of items, and brand reliability of goods made available to Federal agencies. Financial and employment data for the General supply fund for 2003 reflects the impact of the closures.

Personal Property Management.—FSS specializes in comprehensive personal property disposal for Federal agencies. Receipts generated by this program from selling surplus Government property to the public are returned to the seller

agencies or applied to Government deficit reduction. There are approximately 70,000 tax-supported organizations, primarily state and local governments and non-profit organizations, that receive donations of Federal surplus property. This program generated sales of \$13.7 million in 2001 and is estimated to generate sales of \$12.4 million in 2002 and \$12.2 million in 2003. The Personal Property Management business line saved taxpayers over \$1.6 billion in 2001.

Travel and Transportation.—In recent years, Federal travel and transportation budgets have totaled approximately \$24 billion annually. A Federal market of this magnitude enables the FSS Travel and Transportation business line to leverage the Government's buying power in travel and transportation to negotiate favorable rates and provide agencies with significant savings. Travel services include discounted airfares, train tickets and lodging; travel agency services through travel management centers; and a travel expense payment system. Transportation services include high-volume contracts for the shipment of parcels and discount rate agreements for the shipment of freight and household goods. Agencies received a savings of 70 percent from published unrestricted coach fares in 2001 with the same savings projected in 2002 and 2003 through the Contract Airline City-Pairs program. Travel management centers ensure that Federal travelers take advantage of GSA's Airline City-Pairs contracts and other cost-saving programs and provide a mechanism for centrally billing and reconciling airline charges through the Government's charge card program. FSS also consolidates civilian agency requirements for shipping freight and household goods of Federal employees and negotiates discounted transportation rates and services from carriers. In 2001, Federal rates were at least 46 percent and 54 percent below commercial rates for freight and household goods, respectively. Federal agencies using these programs saved about \$145 million in 2001.

Vehicle Acquisition and Leasing Services.—In 1998, the Fleet Management Division, responsible for vehicle leasing, and the Automotive Commodity Center, responsible for new motor vehicle purchases, were merged into a single Office of Vehicle Acquisition and Leasing Services, managing vehicle-related transactions. Federal customers benefit from GSA vehicle acquisition and management expertise, and savings derived from volume buying. In 2001, FSS purchased more than 55,000 vehicles worth more than \$1 billion. FSS achieved 20 percent savings on the commercial "black book" prices for the most commonly purchased vehicles, compact sedans. FSS' consolidations of other Federal agencies' fleets into the GSA fleet, between fiscal years 1998–2002, will include over 28,000 vehicles from other Federal fleets at a savings of over \$22 million. GSA also operates the largest alternative fuel vehicle (AFV) fleet in the nation, allowing Federal agencies to meet their environmental goals. Since 1991, GSA has purchased over 54,000 AFVs for the Federal Government, nearly 39,000 of which were acquired for GSA fleet customers. Currently, the GSA fleet consists of approximately 182,000 vehicles, a 49.5 percent share of the entire Federal fleet. Both consolidated buying and competitive purchasing offer Federal agencies significant savings in vehicle acquisition through this business line.

Statement of Operations (in millions of dollars)

Identification code 47–4530–0–4–804	2000 actual	2001 actual	2002 est.	2003 est.
Supply and procurement:				
0111 Revenue	1,309	1,276	1,240	1,261
0112 Expense	-1,285	-1,276	-1,228	-1,224
0115 Net income or loss (-)	24		12	37
Personal property management:				
0121 Revenue	12	14	14	14
0122 Expense	-15	-19	-20	-16
0125 Net income or loss (-)	-3	-5	-6	-2

Travel and transportation:					
0131	Revenue	6	8	7	7
0132	Expense	-7	-9	-9	-8
0135	Net income or loss (-)	-1	-1	-2	-1
Vehicle acquisition and leasing:					
0141	Revenue	1,412	1,522	1,566	1,679
0142	Expense	-1,306	-1,422	-1,426	-1,529
0145	Net income or loss (-)	106	100	140	150
E-Gov initiatives:					
0151	Revenue				
0152	Expense			-25	-35
0155	Net income or loss (-)			-25	-35
0191	Total revenues	2,739	2,820	2,827	2,961
0192	Total expenses	-2,613	-2,726	-2,708	-2,812
0195	Total income or loss (-)	126	94	119	149
0199	Total Income	126	94	119	149

Object Classification (in millions of dollars)

Identification code 47-4530-0-4-804	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	160	166	170
11.3 Other than full-time permanent	3	3	3
11.5 Other personnel compensation	7	5	5
11.9 Total personnel compensation	170	174	178
12.1 Civilian personnel benefits	56	59	59
13.0 Benefits for former personnel	8		
Travel and transportation of persons:			
21.0 Travel and transportation of persons	5	5	5
21.0 Motor vehicle usage	1	1	1
22.0 Transportation of things	47	46	47
23.1 Rental payments to GSA	49	39	29
23.3 Communications, utilities, and miscellaneous charges	67	63	62
24.0 Printing and reproduction	3	3	3
25.2 Other services	113	118	124
25.3 Other purchases of goods and services from Government accounts	58	61	62
26.0 Supplies and materials	2,016	2,315	2,386
31.0 Equipment	653	761	786
99.9 Total new obligations	3,246	3,645	3,742

Personnel Summary

Identification code 47-4530-0-4-804	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	3,002	3,049	2,849

INFORMATION TECHNOLOGY FUND

Program and Financing (in millions of dollars)

Identification code 47-4548-0-4-804	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Network services:			
09.01 FTS2001 long distance	868	668	629
09.02 Regional telecommunications services	567	361	357
09.09 Subtotal, Network services	1,435	1,029	986
Information technology solutions:			
09.11 Regional programs	4,283	3,849	3,999
09.12 National programs	1,508	1,420	1,439
09.19 Subtotal, Information technology solutions	5,791	5,269	5,438
Capital investments network services:			
09.21 FTS2001 long distance	1		
09.22 Regional telecommunications services	22	8	6
09.29 Subtotal, Capital investments networks services	23	8	6
Capital investments information technology solutions:			
09.31 Regional programs	13	2	4
09.32 National programs	7	7	5
09.39 Subtotal, Capital investments information technology solutions	20	9	9

10.00	Total new obligations	7,269	6,315	6,439
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	962	1,619	1,635
22.00	New budget authority (gross)	7,650	6,331	6,464
22.10	Resources available from recoveries of prior year obligations	275		
23.90	Total budgetary resources available for obligation	8,887	7,950	8,099
23.95	Total new obligations	-7,269	-6,315	-6,439
24.40	Unobligated balance carried forward, end of year	1,619	1,635	1,660

New budget authority (gross), detail:

Spending authority from offsetting collections:				
Discretionary:				
68.00	Offsetting collections (cash)	6,148	6,331	6,464
68.10	Change in uncollected customer payments from Federal sources (unexpired)	1,502		
68.90	Spending authority from offsetting collections (total discretionary)	7,650	6,331	6,464

Change in obligated balances:

72.40	Obligated balance, start of year	-896	-1,540	-1,566
73.10	Total new obligations	7,269	6,315	6,439
73.20	Total outlays (gross)	-6,136	-6,341	-6,464
73.45	Recoveries of prior year obligations	-275		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-1,502		
74.40	Obligated balance, end of year	-1,540	-1,566	-1,592

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	4,278	6,331	6,464
86.93	Outlays from discretionary balances	1,858	10	
87.00	Total outlays (gross)	6,136	6,341	6,464

Offsets:

Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-6,121	-6,306	-6,438
88.40	Non-Federal sources	-27	-25	-26
88.90	Total, offsetting collections (cash)	-6,148	-6,331	-6,464
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-1,502		

Net budget authority and outlays:

89.00	Budget authority			
90.00	Outlays	-12	10	

The Fund provides telecommunications and information technology resources to Federal agencies on a fee-for-service basis and finances, on a reimbursable basis, Governmentwide information technology services through two business lines in the Federal Technology Service (FTS): Network Services and Information Technology (IT) Solutions.

Network Services.—The Network Services business line offers Federal customers end-to-end telecommunications services including global voice, data, and video services, supporting both local and long distance government telecommunications users. This business line also provides advanced telecommunications products and services through specialized services contracts which provide customers with a variety of fully competitive services for wireless communications, technical management support services, international calling, wire and cable, satellite equipment, and Internet access. The major programs under the Network Services business line are:

FTS2001.—FTS provides long-distance telecommunications service that offers the Federal Government low-cost, state-of-the-art, integrated voice, data, and video telecommunications. FTS2001 is an eight-year multibillion dollar long-distance program with business partners Sprint and MCI WorldCom. Complementing the FTS2001 program are specialized services which offer customers the benefits of satellite, wireless, paging, Internet and other services. FTS switched voice rates have decreased from a national

Intragovernmental funds—Continued

INFORMATION TECHNOLOGY FUND—Continued

average of 27 cents per minute in FY 1988 to 5.8 cents per minute under the FTS2000 contracts. Under FTS2001, prices began at about 4.5 cents per minute and will decrease to less than 1 cent per minute by the end of the FTS2001 contracts.

Regional Telecommunications Services.—FTS provides local voice and data telecommunications to Federal agencies nationwide. In the wake of reforms initiated by the Telecommunications Act of 1996, FTS is pursuing lower prices for local service in major markets through its Metropolitan Area Acquisitions (MAA) program, as well as continuing to provide local telecommunications service to areas not served by the MAA providers. The MAA program takes advantage of competition to achieve substantial price reductions for local telecommunication services in metropolitan areas. After a forbearance period of at least one year from the date of award of an MAA contract, the Government may execute an option to allow the FTS2001 long-distance vendors to offer optional local services and an option to allow winning MAA vendors in one city to offer local services in another MAA city.

Phase I and II of the MAA were completed in the second quarter of FY 2001 and resulted in a total of 38 contracts. Phase III consists of seven additional cities and is expected to be completed by Spring 2002. To date, a total of 40 MAA contracts have been awarded to six industry partners in 22 cities.

IT Solutions.—The IT Solutions business line helps agencies acquire, manage, integrate, and use technology resources and protect the security of Federal information on-line through contracts with industry partners. IT Solutions enables agency customers to acquire goods and services through FTS contracts, through Federal Supply Service Schedules, and through the contracting offices of other Federal agencies with which IT Solutions has developed strategic partnering arrangements. The major programs under the IT Solutions business line are:

Regional IT Solutions.—The Regional IT solutions program provides agencies with systems definition and design, business and scientific software services, computer security studies and risk analyses, and access to all FTS products and services.

FEDSIM.—The Federal Systems Integration and Management (FEDSIM) program assists agencies in the acquisition and use of information systems and information technology, including hardware, software, maintenance, training and analyst support. This program focuses on large, complex systems integration efforts.

FEDCAC.—The Federal Computer Acquisition Center (FEDCAC) program delivers full service management of information technology acquisitions worth more than \$100 million and conducts full and open competitions for contracts, as required by GSA customer support centers nationwide.

Innovative Business Solutions.—This program develops and deploys innovative new service areas that incorporate the most current technologies and approaches to solving Federal IT problems. Services include assisting Federal agencies in evaluating, designing, and implementing financial and administrative systems; providing IT-based solutions to traditional training challenges encountered by Federal clients worldwide including distance learning, computer-based training, student management systems, courseware delivery systems, and knowledge management; providing a full range of smart card services; providing outsourcing solutions for the IT needs of Federal agencies

focusing on performance-based contracting; and providing IT solutions to GSA itself.

Information Security Services.—This program enables Federal agencies to purchase information systems security services from various industry sources. Employing a staff of government information systems security professionals, this program offers its customers vulnerability assessments, network engineering, risk analysis and security planning, and other technical services on a fee-for-service basis.

Statement of Operations (in millions of dollars)

Identification code 47-4548-0-4-804	2000 actual	2001 actual	2002 est.	2003 est.
Network services:				
0111 Revenue	1,030	1,062	1,050	1,002
0112 Expense	-1,088	-1,131	-1,043	-998
0115 Net income or loss (-)	-58	-69	7	4
IT solutions:				
0121 Revenue	3,982	5,119	5,281	5,451
0122 Expense	-3,991	-5,119	-5,280	-5,448
0125 Net income or loss (-)	-9		1	3
0191 Total revenues	5,012	6,181	6,331	6,453
0192 Total expenses	-5,079	-6,250	-6,323	-6,446
0195 Total income or loss (-)	-67	-69	8	7
0199 Total income	-67	-69	8	7

Object Classification (in millions of dollars)

Identification code 47-4548-0-4-804	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	91	109	113
11.3 Other than full-time permanent	2	1	1
11.5 Other personnel compensation	16	11	11
11.9 Total personnel compensation	109	121	125
12.1 Civilian personnel benefits	33	31	37
21.0 Travel and transportation of persons	6	6	6
23.1 Rental payments to GSA	14	13	14
23.3 Communications, utilities, and miscellaneous charges	4	2	2
24.0 Printing and reproduction	1	1	1
25.2 Other services	6,960	6,029	6,142
25.3 Other purchases of goods and services from Government accounts	95	93	95
26.0 Supplies and materials	4	2	2
31.0 Equipment	43	17	15
99.9 Total new obligations	7,269	6,315	6,439

Personnel Summary

Identification code 47-4548-0-4-804	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	1,518	1,472	1,567

GENERAL ACTIVITIES

Federal Funds

General and special funds:

POLICY AND [OPERATIONS] CITIZEN SERVICES

[For expenses authorized by law, not otherwise provided for, for Government-wide policy and oversight activities associated with asset management activities; utilization and donation of surplus personal property; transportation; procurement and supply; Government-wide responsibilities relating to automated data management, telecommunications, information resources management, and related technology activities; utilization survey, deed compliance inspection, appraisal, environmental and cultural analysis, and land use planning functions pertaining to excess and surplus real property; agency-wide policy direction; Board of Contract Appeals; accounting, records management, and other support services incident to adjudication of Indian Tribal Claims by the United States Court of Federal Claims;

services as authorized by 5 U.S.C. 3109; and not to exceed \$7,500 for official reception and representation expenses, \$143,139,000, of which \$25,887,000 shall remain available until expended. For expenses authorized by law, not otherwise provided for, for Government-wide policy and evaluation activities associated with the management of real and personal property assets and certain administrative services; Government-wide policy support responsibilities relating to acquisition, telecommunications, information technology management, and related technology activities; providing citizens with Internet access to Federal information and services; and services as authorized by 5 U.S.C. 3109, \$68,429,000. (Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 47-0401-0-1-804	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Office of Governmentwide Policy	71	62	55
00.02 Office of Citizen Services			13
09.01 Reimbursable program	7	24	24
10.00 Total new obligations	78	86	92
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	77	86	92
23.95 Total new obligations	-78	-86	-92
23.98 Unobligated balance expiring or withdrawn	-1		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	59	62	68
42.00 Transferred from other accounts	10		
43.00 Appropriation (total discretionary)	71	62	68
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	6	24	24
68.10 Change in uncollected customer payments from Federal sources (unexpired)	2		
68.90 Spending authority from offsetting collections (total discretionary)	8	24	24
70.00 Total new budget authority (gross)	77	86	92
Change in obligated balances:			
72.40 Obligated balance, start of year	14	27	26
73.10 Total new obligations	78	86	92
73.20 Total outlays (gross)	-64	-87	-91
73.40 Adjustments in expired accounts (net)	-2		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-2		
74.10 Change in uncollected customer payments from Federal sources (expired)	3		
74.40 Obligated balance, end of year	27	26	27
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	61	76	81
86.93 Outlays from discretionary balances	3	11	10
87.00 Total outlays (gross)	64	87	91
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-8	-24	-24
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-2		
88.96 Portion of offsetting collections (cash) credited to expired accounts	2		
Net budget authority and outlays:			
89.00 Budget authority	69	62	68
90.00 Outlays	56	63	67

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	67	60	66
90.00 Outlays	54	61	65

Office of Governmentwide Policy provides for Government-wide policy development, support, and evaluation functions associated with real and personal property, supplies, vehicles, aircraft, information technology, acquisition, transportation and travel management. This office also provides for the Federal Procurement Data Center, Workplace Initiatives, Regulatory Information Service Center, the Catalog of Federal Domestic Assistance, and the Committee Management Secretariat. The Office of Government-wide Policy, working cooperatively with other agencies, provides the leadership needed to develop and evaluate the implementation of policies designed to achieve the most cost-effective solutions for the delivery of administrative services and sound workplace practices, while reducing regulations and empowering employees.

Office of Citizen Services provides leadership and support for electronic government initiatives and operates the official Federal portal through which citizens may access Federal information services electronically. The Federal Consumer Information Center is part of this office, though funded under a separate appropriation.

Object Classification (in millions of dollars)

Identification code 47-0401-0-1-804	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	18	20	21
11.5 Other personnel compensation	3	3	3
11.9 Total personnel compensation	21	23	24
12.1 Civilian personnel benefits	6	6	6
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	2	2	2
24.0 Printing and reproduction	1	1	1
25.2 Other services	21	18	23
25.3 Other purchases of goods and services from Government accounts	19	10	10
99.0 Direct obligations	71	61	67
99.0 Reimbursable obligations	7	24	24
99.5 Below reporting threshold		1	1
99.9 Total new obligations	78	86	92

Personnel Summary

Identification code 47-0401-0-1-804	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	268	280	290

OPERATING EXPENSES

For expenses authorized by law, not otherwise provided for, for Government-wide activities associated with utilization and donation of surplus personal property; disposal of real property; telecommunications, information technology management, and related technology activities; agency-wide policy direction and management, and Board of Contract Appeals; accounting, records management, and other support services incident to adjudication of Indian Tribal Claims by the United States Court of Federal Claims; services as authorized by 5 U.S.C. 3109; and not to exceed \$7,500 for official reception and representation expenses, \$91,010,000, of which \$23,899,000 shall remain available until expended. (Additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 47-0110-0-1-804	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	88	89	91
09.01 Reimbursable program	6	15	15
10.00 Total new obligations	94	104	106
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	17	3	

General and special funds—Continued

OPERATING EXPENSES—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 47-0110-0-1-804	2001 actual	2002 est.	2003 est.
22.00 New budget authority (gross)	89	101	106
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	107	104	106
23.95 Total new obligations	-94	-104	-106
23.98 Unobligated balance expiring or withdrawn	-10		
24.40 Unobligated balance carried forward, end of year	3		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	83	86	91
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	5	15	15
68.10 Change in uncollected customer payments from Federal sources (unexpired)	1		
68.90 Spending authority from offsetting collections (total discretionary)	6	15	15
70.00 Total new budget authority (gross)	89	101	106
Change in obligated balances:			
72.40 Obligated balance, start of year	29	23	27
73.10 Total new obligations	94	104	106
73.20 Total outlays (gross)	-104	-100	-104
73.40 Adjustments in expired accounts (net)	-4		
73.45 Recoveries of prior year obligations	-1		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-1		
74.10 Change in uncollected customer payments from Federal sources (expired)	10		
74.40 Obligated balance, end of year	23	27	28
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	83	87	91
86.93 Outlays from discretionary balances	21	13	14
87.00 Total outlays (gross)	104	100	104
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-10	-15	-15
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-1		
88.96 Portion of offsetting collections (cash) credited to expired accounts	5		
Net budget authority and outlays:			
89.00 Budget authority	83	86	91
90.00 Outlays	94	85	89

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	80	83	88
90.00 Outlays	91	82	86

Provides for the personal property utilization and donation activities of the Federal Supply Service and for the real property utilization and disposal activities of the Public Buildings Service, as well as agency-wide management and administration. These programs include utilization of real and personal property by Federal agencies and the transfer among agencies of excess real and personal property; disposal of surplus real property by sale, exchange, lease, permit, assignment, or transfer, as well as the protection and maintenance of excess and surplus real property pending its disposition; appraisal of excess and surplus property, necessary environmental and cultural analyses, reuse planning, and real property utiliza-

tion surveys; Indian Trust Accounting, administrative support of Congressional District and Senate State offices, and Critical Infrastructure Protection initiatives in the Federal Technology Service including the Federal Computer Incident Response Capability, the focal point for detecting and responding to attacks on Federal civilian computer systems, and responsibilities for the Federal Public Key Infrastructure Steering Committee and its activities.

Object Classification (in millions of dollars)

Identification code 47-0110-0-1-804	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	20	23	24
11.5 Other personnel compensation	4	4	4
11.9 Total personnel compensation	24	27	28
12.1 Civilian personnel benefits	8	8	8
21.0 Travel and transportation of persons	1	1	2
23.1 Rental payments to GSA	3	4	4
23.3 Communications, utilities, and miscellaneous charges	1	1	1
24.0 Printing and reproduction		1	1
25.2 Other services	32	28	28
25.3 Other purchases of goods and services from Government accounts	18	18	18
31.0 Equipment	1	1	1
99.0 Direct obligations	88	89	91
99.0 Reimbursable obligations	6	15	15
99.9 Total new obligations	94	104	106

Personnel Summary

Identification code 47-0110-0-1-804	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	340	373	374
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	15	17	17

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General and services authorized by 5 U.S.C. 3109, **[\$36,346,000]** \$39,587,000: *Provided*, That not to exceed \$15,000 shall be available for payment for information and detection of fraud against the Government, including payment for recovery of stolen Government property: *Provided further*, That not to exceed \$2,500 shall be available for awards to employees of other Federal agencies and private citizens in recognition of efforts and initiatives resulting in enhanced Office of Inspector General effectiveness. (*Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 47-0108-0-1-804	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	36	38	40
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	36	38	40
23.95 Total new obligations	-36	-38	-40
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	36	38	40
Change in obligated balances:			
72.40 Obligated balance, start of year	3	3	3
73.10 Total new obligations	36	38	40
73.20 Total outlays (gross)	-36	-38	-40
74.40 Obligated balance, end of year	3	3	3
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	34	36	38

86.93	Outlays from discretionary balances	2	2	2
87.00	Total outlays (gross)	36	38	40
Net budget authority and outlays:				
89.00	Budget authority	36	38	40
90.00	Outlays	36	38	40

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	34	36	38
90.00	Outlays	34	36	38

This appropriation provides agency-wide audit and investigative functions to identify and correct management and administrative deficiencies within GSA, which create conditions for existing or potential instances of fraud, waste and mismanagement. The audit function provides internal audit and contract audit services. Contract audits provide professional advice to GSA contracting officials on accounting and financial matters relative to the negotiation, award, administration, repricing, and settlement of contracts. Internal audits review and evaluate all facets of GSA operations and programs, test internal control systems, and develop information to improve operating efficiencies and enhance customer services. The investigative function provides for the detection and investigation of improper and illegal activities involving GSA programs, personnel, and operations.

Object Classification (in millions of dollars)

Identification code 47-0108-0-1-804	2001 actual	2002 est.	2003 est.	
Personnel compensation:				
11.1	Full-time permanent	17	19	19
11.5	Other personnel compensation	3	3	3
11.9	Total personnel compensation	20	22	22
12.1	Civilian personnel benefits	7	7	7
21.0	Travel and transportation of persons	1	1	2
23.1	Rental payments to GSA	3	3	3
25.2	Other services	1	1	2
25.3	Other purchases of goods and services from Government accounts	3	3	3
31.0	Equipment	1	1	1
99.9	Total new obligations	36	38	40

Personnel Summary

Identification code 47-0108-0-1-804	2001 actual	2002 est.	2003 est.	
1001	Total compensable workyears: Full-time equivalent employment	272	297	302

ELECTRONIC GOVERNMENT FUND

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses in support of interagency projects that enable the Federal Government to expand its ability to conduct activities electronically, through the development and implementation of innovative uses of the Internet and other electronic methods, **[\$5,000,000]** \$45,000,000 to remain available until expended: *Provided*, That these funds may be transferred to Federal agencies to carry out the purposes of the Fund: *Provided further*, That this transfer authority shall be in addition to any other transfer authority provided in this Act: *Provided further*, That such transfers may not be made until 10 days after a proposed spending plan and justification for each project to be undertaken has been submitted to the Committees on Appropriations. (*Independent Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 47-0600-0-1-804	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02	Office staff	5	45
10.00	Total new obligations (object class 25.2)	5	45
Budgetary resources available for obligation:			
22.00	New budget authority (gross)	5	45
23.95	Total new obligations	-5	-45
New budget authority (gross), detail:			
Discretionary:			
40.00	Appropriation	5	45
Change in obligated balances:			
73.10	Total new obligations	5	45
73.20	Total outlays (gross)	-5	-41
74.40	Obligated balance, end of year		4
Outlays (gross), detail:			
86.90	Outlays from new discretionary authority	5	41
Net budget authority and outlays:			
89.00	Budget authority	5	45
90.00	Outlays	5	41

This program will support interagency “electronic government” or “e-gov” initiatives, i.e., projects that will use the Internet or other electronic methods to provide individuals, businesses, and other government agencies with simpler and more timely access to Federal information, benefits, services, and business opportunities. The program would also further the Administration’s implementation of the Government Paperwork Elimination Act (GPEA) of 1998, which calls upon agencies to provide the public with optional use and acceptance of electronic information, services, and signatures, when practicable, by October 2003. Proposals for funding will be required to meet capital planning guidelines and include adequate documentation to demonstrate a sound business case, attention to security and privacy, and a way to measure performance against planned results. In addition, a small portion of the money could be used for awards to those project management teams that delivered the best product to meet customer needs.

ALLOWANCES AND OFFICE STAFF FOR FORMER PRESIDENTS

(INCLUDING TRANSFER OF FUNDS)

For carrying out the provisions of the Act of August 25, 1958, as amended (3 U.S.C. 102 note), and Public Law 95-138, **[\$3,196,000]** \$3,441,000: *Provided*, That the Administrator of General Services shall transfer to the Secretary of the Treasury such sums as may be necessary to carry out the provisions of such Acts. (*Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 47-0105-0-1-802	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Allowances and pensions	1	1	1
00.02	Office staff	1	2	2
10.00	Total new obligations	2	3	3
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	3	3	3
23.95	Total new obligations	-2	-3	-3
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	3	3	3
Change in obligated balances:				
73.10	Total new obligations	2	3	3

General and special funds—Continued

ALLOWANCES AND OFFICE STAFF FOR FORMER PRESIDENTS—
Continued

(INCLUDING TRANSFER OF FUNDS)—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 47-0105-0-1-802	2001 actual	2002 est.	2003 est.
73.20 Total outlays (gross)	-2	-3	-3
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2	3	3
Net budget authority and outlays:			
89.00 Budget authority	3	3	3
90.00 Outlays	2	3	3

This appropriation provides support consisting of pensions, office staffs, and related expenses for former Presidents Gerald R. Ford, Jimmy Carter, Ronald Reagan, George Bush, and William Jefferson Clinton and for pension and postal franking privileges for the widow of former President Lyndon B. Johnson.

Object Classification (in millions of dollars)

Identification code 47-0105-0-1-802	2001 actual	2002 est.	2003 est.
Direct obligations:			
13.0 Benefits for former personnel	1	1	1
23.1 Rental payments to GSA	1	1	1
99.0 Direct obligations	2	2	2
99.5 Below reporting threshold		1	1
99.9 Total new obligations	2	3	3

EXPENSES, PRESIDENTIAL TRANSITION

Program and Financing (in millions of dollars)

Identification code 47-0107-0-1-802	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Transition expenses	7		
10.00 Total new obligations (object class 91.0)	7		
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	7		
23.95 Total new obligations	-7		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	7		
Change in obligated balances:			
72.40 Obligated balance, start of year		2	
73.10 Total new obligations	7		
73.20 Total outlays (gross)	-5	-2	
74.40 Obligated balance, end of year	2		
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	5		
86.93 Outlays from discretionary balances		2	
87.00 Total outlays (gross)	5	2	
Net budget authority and outlays:			
89.00 Budget authority	7		
90.00 Outlays	5	2	

Funds are appropriated in accordance with the Presidential Transition Act of 1963, as amended, to provide for an orderly transfer of executive leadership. These expenses include costs related to briefing personnel associated with the incoming administration. New appropriations are generally requested in Presidential election years.

In the case where the President-elect is the incumbent President or in the case where the Vice President-elect is the incumbent Vice President, there shall be no expenditure of funds for the provision of services and facilities to such incumbent under this Act, and any funds appropriated for such purposes shall be returned to the general fund of the Treasury.

Public enterprise funds:

FEDERAL CONSUMER INFORMATION CENTER FUND

For necessary expenses of the Federal Consumer Information Center, including services authorized by 5 U.S.C. 3109, **[\$7,276,000] \$12,681,000**, to be deposited into the Federal Consumer Information Center Fund: *Provided*, That the appropriations, revenues, and collections deposited into the Fund shall be available for necessary expenses of Federal Consumer Information Center activities in the aggregate amount of **[\$12,000,000] \$18,000,000**. Appropriations, revenues, and collections accruing to this Fund during fiscal year **[2002] 2003** in excess of **[\$12,000,000] \$18,000,000** shall remain in the Fund and shall not be available for expenditure except as authorized in appropriations Acts. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 47-4549-0-3-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Direct program	7	7	13
09.02 Reimbursable program	3	3	3
10.00 Total new obligations	10	10	16
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	1
22.00 New budget authority (gross)	10	10	16
23.90 Total budgetary resources available for obligation	11	11	17
23.95 Total new obligations	-10	-10	-16
24.40 Unobligated balance carried forward, end of year	1	1	1
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	7	7	13
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	3	3	3
70.00 Total new budget authority (gross)	10	10	16
Change in obligated balances:			
72.40 Obligated balance, start of year	3	3	3
73.10 Total new obligations	10	10	16
73.20 Total outlays (gross)	-10	-10	-16
74.40 Obligated balance, end of year	3	3	3
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	7	10	16
86.93 Outlays from discretionary balances	3		
87.00 Total outlays (gross)	10	10	16
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-2	-2	-2
88.40 Non-Federal sources	-1	-1	-1
88.90 Total, offsetting collections (cash)	-3	-3	-3
Net budget authority and outlays:			
89.00 Budget authority	7	7	13
90.00 Outlays	7	7	13

The Federal Consumer Information Center (FCIC) Fund provides for the efficient operation of the FCIC's activities. Under the revolving fund, the FCIC's activities are financed from moneys deposited to the fund, consisting of annual appropriations from the general funds of the Treasury, reim-

bursements from agencies, fees collected from the public, gifts for undertaking consumer information activities, and other income incident to FCIC activities.

Administrative expenses.—The Federal Consumer Information Center (FCIC) maintains close working relationships with more than 40 Federal departments and agencies to identify, develop, promote, and make accessible to the public Federal consumer information. The FCIC helps these departments and agencies release consumer information collected as a by-product of their program activities. The FCIC promotes public awareness of this information through publication of the quarterly *Consumer Information Catalog*, through marketing and media promotions, and through Internet websites located at <http://www.pueblo.gsa.gov>, <http://www.info.gov>, and <http://www.kids.gov>. The FCIC also produces and distributes the *Consumer Action Handbook*, which provides information to citizens in resolving consumer problems, and it operates a toll-free National Contact Center for responding to citizen inquiries about the Federal Government. FCIC's websites and National Contact Center are part of GSA's evolution into the premier electronic portal through which citizens may access a wide variety of Federal Government information and services. This is a key element of the Administration's initiative to expand Electronic Government (E-Gov). A new Office of Citizen Services, of which FCIC will be an important part, will manage the evolution to the new portal. Administrative expenses are funded by the direct appropriation, by fees collected from the public when ordering publications listed in the catalog, and by revenue received through FCIC's gift authority.

Publications distribution.—The FCIC bills agencies and in turn reimburses the Government Printing Office for the costs of distributing free publications to the public.

Statement of Operations (in millions of dollars)

Identification code 47-4549-0-3-376	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	4	3	3	3
0102 Expense	-4	-3	-3	-3
0105 Net income or loss (-)				

Object Classification (in millions of dollars)

Identification code 47-4549-0-3-376	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	2	3	3
25.2 Other services	8	7	13
99.9 Total new obligations	10	10	16

Personnel Summary

Identification code 47-4549-0-3-376	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	22	24	28

Intragovernmental funds:

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 47-4540-0-4-804	2001 actual	2002 est.	2003 est.
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Obligations by program activity:

10.00 Total new obligations	279	320	329
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Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	31	39	34
22.00 New budget authority (gross)	283	315	324
22.10 Resources available from recoveries of prior year obligations	4		
23.90 Total budgetary resources available for obligation	318	354	358

23.95 Total new obligations	-279	-320	-329
24.40 Unobligated balance carried forward, end of year	39	34	29

New budget authority (gross), detail:

Discretionary:			
50.00 Reappropriation	4		
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	280	315	324
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-1		
68.90 Spending authority from offsetting collections (total discretionary)	279	315	324
70.00 Total new budget authority (gross)	283	315	324

Change in obligated balances:

72.40 Obligated balance, start of year	48	55	60
73.10 Total new obligations	279	320	329
73.20 Total outlays (gross)	-269	-315	-324
73.45 Recoveries of prior year obligations	-4		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	1		
74.40 Obligated balance, end of year	55	60	65

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	225	232	239
86.93 Outlays from discretionary balances	44	83	85
87.00 Total outlays (gross)	269	315	324

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-280	-315	-324
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	1		

Net budget authority and outlays:

89.00 Budget authority	4		
90.00 Outlays	-11		

This fund provides for management and administration, and centralized internal and external reimbursable administrative support functions.

Centralized administration.—Centralized administrative support services are funded through reimbursable funding from GSA's benefiting accounts and from external sources including small agencies and commissions for services provided. Reimbursable services include administrative, information resources management, financial and management support, legal advice and services, and equal employment opportunity; budgetary policy and liaison activities with Congress and OMB; and management review and oversight of financial management systems. This funding provides liaison with the Small Business Administration on national minority business proposals and contracts to ensure that minority and small businesses receive a fair share of the agency's business. This activity is also responsible for implementation and execution of the functions and duties under sections 8 and 15 of the Small Business Act (P.L. 95-507).

Statement of Operations (in millions of dollars)

Identification code 47-4540-0-4-804	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	229	261	315	324
0102 Expense	-244	-254	-315	-324
0105 Net income or loss (-)	-15	7		

Object Classification (in millions of dollars)

Identification code 47-4540-0-4-804	2001 actual	2002 est.	2003 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	72	76	78
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	11	11	12
11.9 Total personnel compensation	85	89	92

Intragovernmental funds—Continued

WORKING CAPITAL FUND—Continued

Object Classification (in millions of dollars)—Continued

Identification code 47-4540-0-4-804	2001 actual	2002 est.	2003 est.
12.1 Civilian personnel benefits	41	44	45
21.0 Travel and transportation of persons	2	2	2
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	11	12	13
23.3 Communications, utilities, and miscellaneous charges	25	28	29
24.0 Printing and reproduction	2	2	2
25.2 Other services	67	86	87
25.3 Other purchases of goods and services from Government accounts	27	39	40
26.0 Supplies and materials	1	2	2
31.0 Equipment	15	15	16
99.0 Reimbursable obligations	277	320	329
99.5 Below reporting threshold	2		
99.9 Total new obligations	279	320	329

Personnel Summary

Identification code 47-4540-0-4-804	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	1,313	1,355	1,356

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Offsetting receipts from the public:			
47-262300 Sale of transportation assets		40	300
General Fund Offsetting receipts from the public		40	300

**GENERAL SERVICES ADMINISTRATION—
GENERAL PROVISIONS**

SEC. 401. The appropriate appropriation or fund available to the General Services Administration shall be credited with the cost of operation, protection, maintenance, upkeep, repair, and improvement, included as part of rentals received from Government corporations pursuant to law (40 U.S.C. 129).

SEC. 402. Funds available to the General Services Administration shall be available for the hire of passenger motor vehicles.

SEC. 403. Funds in the Federal Buildings Fund made available for fiscal year [2002] 2003 for Federal Buildings Fund activities may be transferred between such activities only to the extent necessary to meet program requirements: *Provided*, That notice of any proposed transfers shall be [approved in advance by] transmitted to the Committees on Appropriations.

SEC. 404. No funds made available by this Act shall be used to transmit a fiscal year [2003] 2004 request for United States Courthouse construction that: (1) does not meet the design guide standards for construction as established and approved by the General Services Administration, the Judicial Conference of the United States, and the Office of Management and Budget; and (2) does not reflect the priorities of the Judicial Conference of the United States as set out in its approved 5-year construction plan: *Provided*, That the fiscal year [2003] 2004 request must be accompanied by a standardized courtroom utilization study of each facility to be constructed, replaced, or expanded.

SEC. 405. None of the funds provided in this Act may be used to increase the amount of occupiable square feet, provide cleaning services, security enhancements, or any other service usually provided through the Federal Buildings Fund, to any agency that does not pay the rate per square foot assessment for space and services as determined by the General Services Administration in compliance

with the Public Buildings Amendments Act of 1972 (Public Law 92-313).

SEC. 406. Funds provided to other Government agencies by the Information Technology Fund, General Services Administration, under section 110 of the Federal Property and Administrative Services Act of 1949 (40 U.S.C. 757) and sections 5124(b) and 5128 of the Clinger-Cohen Act of 1996 (40 U.S.C. 1424(b) and 1428), for performance of pilot information technology projects which have potential for Government-wide benefits and savings, may be repaid to this Fund from any savings actually incurred by these projects or other funding, to the extent feasible.

SEC. 407. From funds made available under the heading "Federal Buildings Fund, Limitations on Availability of Revenue", claims against the Government of less than [\$250,000] \$2,000,000 arising from direct construction projects and acquisition of buildings may be liquidated from savings effected in other construction projects with prior notification to the Committees on Appropriations.

[SEC. 408. The amount expended by the General Services Administration during fiscal year 2002 for the purchase of alternative fuel vehicles shall be at least \$5,000,000 more than the amount expended during fiscal year 2001 for such purpose.]

[SEC. 409. Notwithstanding any other provision of law, the General Services Administration is directed to maintain the vehicle rental rates and per mile rates charged for buses used by schools and dormitories funded by the Bureau of Indian Affairs that were in effect on April 30, 2001 until such time as appropriations to the Bureau of Indian Affairs funding for the Student Transportation Program for schools and dormitories funded by the Bureau of Indian Affairs equals or exceeds \$3 per mile.]

[SEC. 410. DESIGNATION OF JUDGE BRUCE M. VAN SICKLE FEDERAL BUILDING AND UNITED STATES COURTHOUSE. (a) The Federal building and courthouse located at 100 1st Street, SW, Minot, North Dakota, shall be known and designated as the "Judge Bruce M. Van Sickle Federal Building and United States Courthouse".

(b) Any reference in law, map, regulation, document, paper, or other record of the United States to the Federal building and courthouse referred to in section (a) shall be deemed to be a reference to the Judge Bruce M. Van Sickle Federal Building and United States Courthouse.]

[SEC. 411. Section 410 of Appendix C of Public Law 106-554 (114 Stat. 2763A-146) is amended—

(1) by striking "a 125 foot wide right-of-way" and inserting "up to a 125 foot wide right-of-way";

(2) by striking "northeast corner of the existing port" and inserting "southeast corner of the existing port";

(3) striking "approximately 4,750 feet" and inserting "and then west to a connection with State Highway 11 between approximately 5,000 and 7,000 feet";

(4) by striking "a road to be built by the County of Luna, New Mexico to connect to";

(5) by striking "*Provided further*, That notwithstanding any other provision of law, Luna County shall construct the roadway from State Highway 11 to the terminus of the northbound road to be constructed by the General Services Administration in time for completion of the road to be constructed by the General Services Administration."; and

(6) by striking "consisting of approximately 12 acres" and inserting "consisting of approximately 10.22 acres".]

[SEC. 412. Notwithstanding any other provision of law, the United States Government is directed to deed block four (4) of the LOCH HAVEN REPLAT, as recorded in Plat Book "Q", Page 9, Public Records of Orange County, Florida, back to the City of Orlando, Florida, for park and recreation purposes, under the same terms that the land was deeded to the United States Government by the City of Orlando in the recorded deed from the City dated September 20, 1951.]

[SEC. 413. DESIGNATION OF G. ROSS ANDERSON, JR. FEDERAL BUILDING AND UNITED STATES COURTHOUSE. (a) The Federal building and courthouse located at 315 S. McDuffie Street, Anderson, South Carolina, shall be known and designated as the "G. Ross Anderson, Jr. Federal Building and United States Courthouse".

(b) Any reference in a law, map, regulation, document, paper, or other record of the United States to the Federal building and courthouse referred to in subsection (a) shall be deemed to be a reference to the G. Ross Anderson, Jr. Federal Building and United States Courthouse.] (*Independent Agencies Appropriations Act, 2002.*)

INTERNATIONAL ASSISTANCE PROGRAMS

INTERNATIONAL SECURITY ASSISTANCE

Federal Funds

General and special funds:

ECONOMIC SUPPORT FUND

For necessary expenses to carry out the provisions of chapter 4 of part II, ~~[\$2,199,000,000]~~ \$2,290,000,000, to remain available until September 30, ~~[2003]~~ 2004: *Provided*, That ~~[of the]~~ funds appropriated under this heading, not less than \$720,000,000 shall be ~~that are made available [only] for Israel, [which sum] shall be available on a grant basis as a cash transfer and shall be disbursed within 30 days of the enactment of this Act or by October 31, [2001]~~ 2002, whichever is later: *Provided further*, That ~~[not less than \$655,000,000 shall be available only for Egypt, which sum shall be provided on a grant basis, and of which sum cash transfer assistance shall be provided with the understanding that Egypt will undertake significant economic reforms which are additional to those which were undertaken in previous fiscal years, and of which not less than \$200,000,000 shall be provided as Commodity Import Program assistance: *Provided further*, That in exercising the authority to provide cash transfer assistance for Israel, the President shall ensure that the level of such assistance does not cause an adverse impact on the total level of nonmilitary exports from the United States to such country and that Israel enters into a side letter agreement in an amount proportional to the fiscal year 1999 agreement: *Provided further*, That of the funds appropriated under this heading, \$150,000,000 should be made available for assistance for Jordan: *Provided further*, That of the funds appropriated under this heading, \$50,000,000 should be made available for assistance for Indonesia: *Provided further*, That not less than \$15,000,000 of the funds appropriated under this heading shall be made available for Cyprus to be used only for scholarships, administrative support of the scholarship program, bicomunal projects, and measures aimed at reunification of the island and designed to reduce tensions and promote peace and cooperation between the two communities on Cyprus: *Provided further*, That not less than \$35,000,000 of the funds appropriated under this heading shall be made available for assistance for Lebanon to be used, among other programs, for scholarships and direct support of the American educational institutions in Lebanon: *Provided further*, That notwithstanding section 534(a) of this Act, funds appropriated under this heading that are made available for assistance for the Central Government of Lebanon shall be subject to the regular notification procedures of the Committees on Appropriations: *Provided further*, That the Government of Lebanon should enforce the custody and international pickup orders, issued during calendar year 2001, of Lebanon's civil courts regarding abducted American children in Lebanon: *Provided further*, That of the funds appropriated under this heading, not less than \$25,000,000 shall be made available for assistance for East Timor of which up to \$1,000,000 may be transferred to and merged with the appropriation for Operating Expenses of the United States Agency for International Development: *Provided further*, That] funds appropriated under this heading may be used, notwithstanding any other provision of law, to provide assistance to the National Democratic Alliance of Sudan to strengthen its ability to protect civilians from attacks, slave raids, and aerial bombardment by the Sudanese Government forces and its militia allies, and the provision of such funds shall be subject to the regular notification procedures of the Committees on Appropriations: *Provided further*, That in the previous proviso, the term "assistance" includes non-lethal, non-food aid such as blankets, medicine, fuel, mobile clinics, water drilling equipment, communications equipment to notify civilians of aerial bombardment, non-military vehicles, tents, and shoes: *Provided further*, That ~~[with respect to funds appropriated under this heading in this Act or prior Acts making appropriations for foreign operations, export financing, and related programs, the responsibility for policy decisions and justifications for the use of such funds, including whether there will be a program for a country that uses those funds and the amount of each such program, shall be the responsibility of the Secretary of State and the Deputy Secretary~~~~

of State and this responsibility shall not be delegated] *the last proviso under this heading in P.L. 107-115 is hereby repealed. (Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.)*

[BURMA]

[Of the funds appropriated under the heading "Economic Support Fund", not less than \$6,500,000 shall be made available to support democracy activities in Burma, democracy and humanitarian activities along the Burma-Thailand border, and for Burmese student groups and other organizations located outside Burma: *Provided*, That funds made available for Burma-related activities under this heading may be made available notwithstanding any other provision of law: *Provided further*, That the provision of such funds shall be made available subject to the regular notification procedures of the Committees on Appropriations: *Provided further*, That title II of the Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2001, as enacted by section 101(a) of Public Law 106-429, is amended, under the heading "Burma", by inserting ", 'Child Survival and Disease Programs Fund'," after "Fund".] *(Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.)*

[INTERNATIONAL FUND FOR IRELAND]

[For necessary expenses to carry out the provisions of chapter 4 of part II of the Foreign Assistance Act of 1961, \$25,000,000, which shall be available for the United States contribution to the International Fund for Ireland and shall be made available in accordance with the provisions of the Anglo-Irish Agreement Support Act of 1986 (Public Law 99-415): *Provided*, That such amount shall be expended at the minimum rate necessary to make timely payment for projects and activities: *Provided further*, That funds made available under this heading shall remain available until September 30, 2003.] *(Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.)*

Program and Financing (in millions of dollars)

Identification code 72-1037-0-1-152	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	2,043	3,700	2,290
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	514	891
22.00 New budget authority (gross)	2,300	2,214	2,290
22.10 Resources available from recoveries of prior year obligations	31
22.21 Unobligated balance transferred to other accounts	-16	-5
22.22 Unobligated balance transferred from other accounts	114	600
23.90 Total budgetary resources available for obligation	2,943	3,700	2,290
23.95 Total new obligations	-2,043	-3,700	-2,290
23.98 Unobligated balance expiring or withdrawn	-10
24.40 Unobligated balance carried forward, end of year	891
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	2,320	2,224	2,290
40.35 Appropriation rescinded	-5
40.36 Unobligated balance rescinded	-10
41.00 Transferred to other accounts	-14
43.00 Appropriation (total discretionary)	2,300	2,214	2,290
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	1
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-1
68.90 Spending authority from offsetting collections (total discretionary)
70.00 Total new budget authority (gross)	2,300	2,214	2,290

General and special funds—Continued

[INTERNATIONAL FUND FOR IRELAND]—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 72-1037-0-1-152	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year	3,333	2,955	3,700
73.10 Total new obligations	2,043	3,700	2,290
73.20 Total outlays (gross)	-2,397	-2,955	-2,182
73.40 Adjustments in expired accounts (net)	3		
73.45 Recoveries of prior year obligations	-31		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	1		
74.10 Change in uncollected customer payments from Federal sources (expired)	4		
74.40 Obligated balance, end of year	2,955	3,700	3,808
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	921	825	718
86.93 Outlays from discretionary balances	1,476	2,130	1,464
87.00 Total outlays (gross)	2,397	2,955	2,182
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-1		
88.40 Non-Federal sources	-4		
88.90 Total, offsetting collections (cash)	-5		
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	1		
88.96 Portion of offsetting collections (cash) credited to expired accounts	4		
Net budget authority and outlays:			
89.00 Budget authority	2,300	2,214	2,290
90.00 Outlays	2,392	2,955	2,182

This account supports U.S. foreign policy objectives by providing economic assistance to allies and countries in transition to democracy, supporting Middle East peace negotiations, and financing economic stabilization programs, frequently in a multi-donor context. Key objectives include:

(1) Supporting strategically significant friends and allies through assistance designed to increase the role of the private sector in the economy, reduce government controls over markets, enhance job creation, and improve economic growth.

(2) Developing and strengthening institutions necessary for sustainable democracy. Typical areas of assistance include technical assistance to administer and monitor elections, capacity-building for non-governmental organizations, judicial training, and women's participation in politics. Assistance is also provided to support the transformation of the public sector to encourage democratic development, including training to improve public administration, promote decentralization, strengthen local governments, parliaments, independent media and non-governmental organizations.

(3) Strengthening the capacity to manage the human dimension of the transition to democracy and a market economy and to help sustain the neediest sectors of the population during the transition period.

Object Classification (in millions of dollars)

Identification code 72-1037-0-1-152	2001 actual	2002 est.	2003 est.
Direct obligations:			
25.2 Other services	40	56	56
41.0 Grants, subsidies, and contributions	1,937	3,644	2,234
99.0 Direct obligations	1,977	3,700	2,290
41.0 Allocation Account: Grants, subsidies, and contributions	66		
99.9 Total new obligations	2,043	3,700	2,290

ECONOMIC SUPPORT FUND TRANSFER ACCOUNT

Program and Financing (in millions of dollars)

Identification code 11-1044-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program Activity	6		
10.00 Total new obligations (object class 41.0)	6		
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	6		
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	7		
23.95 Total new obligations	-6		
Change in obligated balances:			
72.40 Obligated balance, start of year	1	2	
73.10 Total new obligations	6		
73.20 Total outlays (gross)	-4	-2	
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	2		
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	4	2	
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	4	2	

CENTRAL AMERICA AND THE CARIBBEAN EMERGENCY DISASTER RECOVERY FUND

Program and Financing (in millions of dollars)

Identification code 72-1096-0-1-151	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year	408	188	88
73.20 Total outlays (gross)	-220	-100	-41
74.40 Obligated balance, end of year	188	88	47
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	220	100	41
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	220	100	41

FOREIGN MILITARY FINANCING PROGRAM

For expenses necessary for grants to enable the President to carry out the provisions of section 23 of the Arms Export Control Act, **[\$3,650,000,000] \$4,107,200,000: Provided,** That [of the funds appropriated under this heading, not less than \$2,040,000,000 shall be available for grants only for Israel, and not less than \$1,300,000,000 shall be made available for grants only for Egypt: *Provided further,* That the] funds appropriated by this paragraph *that are made available* for Israel shall be disbursed within 30 days of the enactment of this Act or by October 31, [2001] 2002, whichever is later: *Provided further,* That [to the extent that the Government of Israel requests that funds be used for such purposes, grants made available for Israel by this paragraph shall, as agreed by Israel and the United States, be available for advanced weapons systems, of which not less than \$535,000,000 shall be available for the procurement in Israel of defense articles and defense services, including research and development: *Provided further,* That of the funds appropriated by this paragraph, not less than \$75,000,000 shall be made available for assistance for Jordan: *Provided further,* That of the funds appropriated by this paragraph, not less than \$3,500,000 should be made available for assistance for Tunisia: *Provided further,* That during fiscal year 2002, the President is authorized to, and shall, direct the drawdowns of defense articles from the stocks of the Department of Defense, defense services of the Department of Defense, and military education and training of an aggregate value of not less than

\$5,000,000 under the authority of this proviso for Tunisia for the purposes of part II of the Foreign Assistance Act of 1961: *Provided further*, That of the funds appropriated by this paragraph and under the heading "International Narcotics Control and Law Enforcement", not less than \$2,300,000 shall be made available for assistance for Thailand, of which not less than \$1,000,000 shall be made available from funds appropriated under the heading "International Narcotics Control and Law Enforcement" and which shall be in addition to other funds available for such purposes: *Provided further*, That of the funds appropriated by this paragraph, not less than \$4,000,000 shall be made available for assistance for Armenia: *Provided further*, That funds appropriated by this paragraph shall be nonrepayable notwithstanding any requirement in section 23 of the Arms Export Control Act: *Provided further*, That funds made available under this paragraph shall be obligated upon apportionment in accordance with paragraph (5)(C) of title 31, United States Code, section 1501(a).

None of the funds made available under this heading shall be available to finance the procurement of defense articles, defense services, or design and construction services that are not sold by the United States Government under the Arms Export Control Act unless the foreign country proposing to make such procurements has first signed an agreement with the United States Government specifying the conditions under which such procurements may be financed with such funds: *Provided*, [That all country and funding level increases in allocations shall be submitted through the regular notification procedures of section 515 of this Act: *Provided further*, That none of the funds appropriated under this heading shall be available for assistance for Sudan and Liberia: *Provided further*,] That funds made available under this heading may be used, notwithstanding any other provision of law, for demining, the clearance of unexploded ordnance, and related activities, and may include activities implemented through nongovernmental and international organizations: *Provided further*, [That none of the funds appropriated under this heading shall be available for assistance for Guatemala: *Provided further*,] That only those countries for which assistance was justified for the "Foreign Military Sales Financing Program" in the fiscal year 1989 congressional presentation for security assistance programs may utilize funds made available under this heading for procurement of defense articles, defense services or design and construction services that are not sold by the United States Government under the Arms Export Control Act: *Provided further*, That funds appropriated under this heading shall be expended at the minimum rate necessary to make timely payment for defense articles and services: *Provided further*, That not more than [\$35,000,000] \$37,000,000 of the funds appropriated under this heading may be obligated for necessary expenses, including the purchase of passenger motor vehicles for replacement only for use outside of the United States, for the general costs of administering military assistance and sales: *Provided further*, That not more than [\$348,000,000] \$356,000,000 of funds realized pursuant to section 21(e)(1)(A) of the Arms Export Control Act may be obligated for expenses incurred by the Department of Defense during fiscal year [2002] 2003 pursuant to section 43(b) of the Arms Export Control Act, except that this limitation may be exceeded only through the regular notification procedures of the Committees on Appropriations: *Provided further*, That foreign military financing program funds estimated to be outlayed for Egypt during fiscal year [2002] 2003 shall be transferred to an interest bearing account for Egypt in the Federal Reserve Bank of New York within 30 days of enactment of this Act or by October 31, [2001] 2002, whichever is later: *Provided further*, That the ninth proviso under the heading "Foreign Military Financing Program" in title III of the Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2001, as enacted by Public Law 106-429, is amended by inserting "or 2002" after "2001". (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 11-1082-0-1-152	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Country grants	3,961	3,660	4,070
00.09 Administrative Expenses	33	35	37
01.92 Total Direct Obligations	3,994	3,695	4,107
10.00 Total new obligations	3,994	3,695	4,107

Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	425		
22.00 New budget authority (gross)	3,568	3,650	4,107
22.22 Unobligated balance transferred from other accounts	1	45	
23.90 Total budgetary resources available for obligation	3,994	3,695	4,107
23.95 Total new obligations	-3,994	-3,695	-4,107
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	3,545	3,650	4,107
40.15 Appropriation (emergency)	31		
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-8		
43.00 Appropriation (total discretionary)	3,568	3,650	4,107
Change in obligated balances:			
72.40 Obligated balance, start of year	2,849	2,593	2,051
73.10 Total new obligations	3,994	3,695	4,107
73.20 Total outlays (gross)	-4,250	-4,237	-4,236
74.40 Obligated balance, end of year	2,593	2,051	1,923
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2,032	2,093	2,426
86.93 Outlays from discretionary balances	2,218	2,144	1,811
87.00 Total outlays (gross)	4,250	4,237	4,236
Net budget authority and outlays:			
89.00 Budget authority	3,568	3,650	4,107
90.00 Outlays	4,250	4,237	4,236

The foreign military financing (FMF) program enables selected friendly and allied countries to improve their ability to defend themselves by financing their acquisition of U.S. military articles, services, and training. This account provides the grant financing portion of the FMF program. Credit financing, in the form of direct loans, is provided in the FMF loan program account.

Object Classification (in millions of dollars)

Identification code 11-1082-0-1-152	2001 actual	2002 est.	2003 est.
25.2 Other services	33	35	37
41.0 Grants	3,961	3,660	4,070
99.9 Total new obligations	3,994	3,695	4,107

INTERNATIONAL MILITARY EDUCATION AND TRAINING

For necessary expenses to carry out the provisions of section 541 of the Foreign Assistance Act of 1961, [\$70,000,000] \$80,000,000, of which up to \$3,000,000 may remain available until expended: *Provided*, That the civilian personnel for whom military education and training may be provided under this heading may include civilians who are not members of a government whose participation would contribute to improved civil-military relations, civilian control of the military, or respect for human rights: *Provided further*, That funds appropriated under this heading for military education and training for Indonesia and Guatemala may only be available for expanded international military education and training and funds made available for Algeria, Indonesia and Guatemala may only be provided through the regular notification procedures of the Committees on Appropriations. (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 11-1081-0-1-152	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	56	73	80
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	3	
22.00 New budget authority (gross)	58	70	80
23.90 Total budgetary resources available for obligation	59	73	80
23.95 Total new obligations	-56	-73	-80
24.40 Unobligated balance carried forward, end of year	3		

General and special funds—Continued

INTERNATIONAL MILITARY EDUCATION AND TRAINING—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 11-1081-0-1-152	2001 actual	2002 est.	2003 est.
New budget authority (gross), detail:			
Discretionary:			
40.00	55	70	80
40.15	3		
43.00	58	70	80
Change in obligated balances:			
72.40	43	46	54
73.10	56	73	80
73.20	-50	-65	-77
73.40	-3		
74.00	46	54	55
Outlays (gross), detail:			
86.90	28	35	40
86.93	22	30	37
87.00	50	65	77
Net budget authority and outlays:			
89.00	58	70	80
90.00	50	65	77

This assistance provides grants for military education and training to military and civilian students from foreign countries. In addition to helping these countries move toward self-sufficiency in defending themselves, this program also exposes foreign students to American democratic values, particularly military respect for civilian rule and for internationally recognized standards of individual and human rights.

Object Classification (in millions of dollars)

Identification code 11-1081-0-1-152	2001 actual	2002 est.	2003 est.
26.0	6	7	8
41.0	50	66	72
99.9	56	73	80

PEACEKEEPING OPERATIONS

For necessary expenses to carry out the provisions of section 551 of the Foreign Assistance Act of 1961, **[\$135,000,000: Provided, That none of the funds appropriated under this heading shall be obligated or expended except as provided through the regular notification procedures of the Committees on Appropriations] \$108,250,000.** (Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 72-1032-0-1-152	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01	152	135	108
09.01	4		
10.00	156	135	108
Budgetary resources available for obligation:			
21.40	18		
22.00	135	135	108
22.10	3		
23.90	156	135	108
23.95	-156	-135	-108
23.98	-2		
New budget authority (gross), detail:			
Discretionary:			
40.00	127	135	108

42.00	4		
43.00	131	135	108
68.10	4		
70.00	135	135	108

Change in obligated balances:			
72.40	78	79	80
73.10	156	135	108
73.20	-176	-134	-142
73.40	27		
73.45	-3		
74.00	-4		
74.40	79	80	46

Outlays (gross), detail:			
86.90	83	93	75
86.93	93	41	67
87.00	176	134	142

Offsets:			
Against gross budget authority only:			
88.95	-4		

Net budget authority and outlays:			
89.00	131	135	108
90.00	176	134	142

Object Classification (in millions of dollars)

Identification code 72-1032-0-1-152	2001 actual	2002 est.	2003 est.
41.0	152	135	108
99.0	4		
99.9	156	135	108

This account funds U.S. assistance to international efforts to monitor and maintain the peace in areas of special concern to the United States, and provides funds to other related programs carried out in furtherance of the national security interests of the United States. In 2003, contributions are planned for programs in Africa, the Multinational Force and Observers in the Sinai, Organization for Security and Cooperation in Europe (OSCE) activities in Bosnia, Croatia, and Kosovo, and other activities.

NONPROLIFERATION, ANTI-TERRORISM, DEMINING AND RELATED PROGRAMS

For necessary expenses for nonproliferation, anti-terrorism and related programs and activities, **[\$313,500,000] \$372,400,000**, to carry out the provisions of chapter 8 of part II of the Foreign Assistance Act of 1961 for anti-terrorism assistance, chapter 9 of part II of the Foreign Assistance Act of 1961, section 504 of the FREEDOM Support Act, section 23 of the Arms Export Control Act or the Foreign Assistance Act of 1961 for demining activities, the clearance of unexploded ordnance, the destruction of small arms, and related activities, notwithstanding any other provision of law, including activities implemented through nongovernmental and international organizations, section 301 of the Foreign Assistance Act of 1961 for a voluntary contribution to the International Atomic Energy Agency (IAEA) and a voluntary contribution to the Korean Peninsula Energy Development Organization (KEDO), and for a United States contribution to the Comprehensive Nuclear Test Ban Treaty Preparatory Commission: **Provided, [That the Secretary of State shall inform the Committees on Appropriations at least 15 days prior to the obligation of funds for the Comprehensive Nuclear Test Ban Treaty Preparatory Commission: Provided further,] That of this amount not to exceed [\$14,000,000] \$15,000,000**, to remain available until expended, may be made available for the Nonproliferation and Disarmament Fund, notwithstanding any other provision of law, to promote bilateral and

multilateral activities relating to nonproliferation and disarmament: *Provided further*, That such funds may also be used for such countries other than the Independent States of the former Soviet Union and international organizations when it is in the national security interest of the United States to do so following consultation with the appropriate committees of Congress: *Provided further*, [That funds appropriated under this heading may be made available for the International Atomic Energy Agency only if the Secretary of State determines (and so reports to the Congress) that Israel is not being denied its right to participate in the activities of that Agency: *Provided further*,] That of the funds made available for demining and related activities, not to exceed [\$500,000] \$675,000, in addition to funds otherwise available for such purposes, may be used for administrative expenses related to the operation and management of the demining program. (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 11-1075-0-1-152	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Nonproliferation and Disarmament Fund	16	14	15
00.02 Export Control Assistance	21	17	38
00.03 International Science and Technology Centers/Biological Weapons Redirection	38	37	52
00.04 Science Centers/Biological Weapons Redirection Supplemental		30	
00.05 IAEA Voluntary Contribution	51	50	50
00.06 CTBT International Monitoring System	18	20	18
00.07 Korean Peninsula Energy Development Organization	75	90	75
00.08 Anti-terrorism Assistance	38	38	61
00.09 Terrorist Interdiction Program	4	4	8
00.10 Lockerbie Trial Support	15		
00.11 Humanitarian Demining Programs	52	40	55
00.12 Small Arms Destruction	2	4	
00.13 Emergency Response Fund: Export Control Assistance		42	
00.14 Emergency Response Fund: Anti-terrorism Assistance		46	
00.15 Emergency Response Fund: Terrorist Interdiction Program		4	
00.16 Emergency Response Fund: Counter-terrorism Engagement with Allies		3	
00.17 Emergency Response Fund: Afghanistan Demining		3	
00.18 Emergency Response Fund: Counter-terrorism Equipment for Israel		28	
09.01 Reimbursable Programs	9		
10.00 Total new obligations	339	470	372
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	25	16	16
22.00 New budget authority (gross)	320	344	372
22.21 Unobligated balance transferred to other accounts	-3		
22.22 Unobligated balance transferred from other accounts	11	126	
23.90 Total budgetary resources available for obligation	353	486	388
23.95 Total new obligations	-339	-470	-372
24.40 Unobligated balance carried forward, end of year	16	16	16
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	312	314	372
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
42.00 Transferred from other accounts		30	
43.00 Appropriation (total discretionary)	311	344	372
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	6		
68.10 Change in uncollected customer payments from Federal sources (unexpired)	3		
68.90 Spending authority from offsetting collections (total discretionary)	9		
70.00 Total new budget authority (gross)	320	344	372
Change in obligated balances:			
72.40 Obligated balance, start of year	176	148	205
73.10 Total new obligations	339	470	372
73.20 Total outlays (gross)	-365	-413	-387
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-3		
74.40 Obligated balance, end of year	148	205	190
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	237	224	242

86.93 Outlays from discretionary balances	128	189	145
87.00 Total outlays (gross)	365	413	387
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-6		
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-3		
Net budget authority and outlays:			
89.00 Budget authority	311	344	372
90.00 Outlays	359	413	387

This account funds contributions to certain organizations supporting nonproliferation, and provides assistance for nonproliferation, demining, anti-terrorism, export control assistance, and other related activities.

Object Classification (in millions of dollars)

Identification code 11-1075-0-1-152	2001 actual	2002 est.	2003 est.
Direct obligations:			
21.0 Travel and transportation of persons	1	2	2
25.2 Other services	142	203	165
25.5 Research and development contracts		30	20
31.0 Equipment	5	38	10
41.0 Grants, subsidies, and contributions	182	197	175
99.0 Direct obligations	330	470	372
99.0 Reimbursable obligations	9		
99.9 Total new obligations	339	470	372

NON-PROLIFERATION AND DISARMAMENT FUND

Program and Financing (in millions of dollars)

Identification code 11-1071-0-1-152	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Nonproliferation and Disarmament Programs		4	
10.00 Total new obligations (object class 25.2)		4	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	5	4	
23.95 Total new obligations		-4	
23.98 Unobligated balance expiring or withdrawn	-1		
24.40 Unobligated balance carried forward, end of year	4		
Change in obligated balances:			
72.40 Obligated balance, start of year	4	4	6
73.10 Total new obligations		4	
73.20 Total outlays (gross)		-2	-4
74.40 Obligated balance, end of year	4	6	2
Outlays (gross), detail:			
86.93 Outlays from discretionary balances		2	4
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays		2	4

This account provided financial and technical assistance to support nonproliferation and disarmament efforts in foreign countries, including education and training, elimination of weapons of mass destruction, and development of export control capabilities. Starting in 1997, these activities have been funded from the Nonproliferation, Anti-Terrorism, Demining and Related Programs account. This schedule reflects the spend-out of prior-year obligations.

Credit accounts:**FOREIGN MILITARY FINANCING LOAN PROGRAM ACCOUNT****Program and Financing** (in millions of dollars)

Identification code 11-1085-0-1-152	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.05 Upward reestimates of direct loan subsidy		130	
00.06 Interest on upward reestimates of direct loan subsidy		33	
10.00 Total new obligations (object class 41.0)		163	
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		163	
23.95 Total new obligations		-163	
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation (indefinite)		163	
Change in obligated balances:			
72.40 Obligated balance, start of year	130	70	7
73.10 Total new obligations		163	
73.20 Total outlays (gross)	-60	-209	-7
73.40 Adjustments in expired accounts (net)		-18	
74.40 Obligated balance, end of year	70	7	
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	60	45	7
86.97 Outlays from new mandatory authority		163	
87.00 Total outlays (gross)	60	209	7
Net budget authority and outlays:			
89.00 Budget authority		163	
90.00 Outlays	60	209	7

As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with the direct loans obligated for foreign military financing committed in 1992 and after, as well as the administrative expenses of this program. The foreign military financing credit program provides loans that finance sales of defense articles, defense services, and design and construction services to foreign countries and international organizations. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 11-1085-0-1-152	2001 actual	2002 est.	2003 est.
133901 Total subsidy budget authority			
Direct loan subsidy outlays:			
134001 Subsidy outlays for direct loans to customers	60	45	7
134901 Total subsidy outlays	60	45	7
Direct loan upward reestimate subsidy budget authority:			
135001 Upward reestimates subsidy budget authority		163	
135901 Total upward reestimate budget authority		163	
Direct loan upward reestimate subsidy outlays:			
136001 Upward reestimates subsidy outlays		163	
136901 Total upward reestimate outlays		163	
Direct loan downward reestimate subsidy budget authority:			
137001 Subsidy budget authority downward re-estimate	-209	-16	
137901 Total downward reestimate budget authority	-209	-16	
Direct loan downward reestimate subsidy outlays:			
138001 Subsidy outlays downward subsidy re-estimate	-209	-16	
138901 Total downward reestimate subsidy outlays	-209	-16	

FOREIGN MILITARY FINANCING DIRECT LOAN FINANCING ACCOUNT**Program and Financing** (in millions of dollars)

Identification code 11-4122-0-3-152	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Interest on debt owed to the Treasury	129	96	75
08.02 Downward reestimate paid to receipt accounts	166	11	
08.04 Interest due on downward subsidy re-estimate	43	5	
08.91 Direct Program by Activities—Subtotal (1 level)	209	16	
10.00 Total new obligations	338	112	75
Budgetary resources available for obligation:			
22.00 New financing authority (gross)	338	113	75
23.95 Total new obligations	-338	-112	-75
New financing authority (gross), detail:			
Discretionary:			
47.00 Authority to borrow	209		
Mandatory:			
67.10 Authority to borrow		16	
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	579	639	591
68.10 Change in receivables from program account	-60	-63	-7
68.47 Portion applied to repay debt	-390	-479	-509
68.90 Spending authority from offsetting collections (total discretionary)	129	97	75
Mandatory:			
69.00 Offsetting collections (cash)		163	
69.47 Portion applied to repay debt		-163	
70.00 Total new financing authority (gross)	338	113	75
Change in obligated balances:			
72.40 Obligated balance, start of year	828	342	47
73.10 Total new obligations	338	112	75
73.20 Total financing disbursements (gross)	-885	-453	-129
73.40 Adjustments in expired accounts (net)		-18	
74.00 Change in uncollected customer payments from Federal sources (unexpired)	60	63	7
74.40 Obligated balance, end of year	342	47	
87.00 Total financing disbursements (gross)	885	453	129
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Federal sources-subsidy	-60	-208	-7
88.25 Interest on uninvested funds	-40		
Non-Federal sources:			
88.40 Non-Federal sources—principal	-373	-453	-456
88.40 Non-Federal sources—interest	-106	-141	-128
88.90 Total, offsetting collections (cash)	-579	-802	-591
Against gross financing authority only:			
88.95 Change in receivables from program accounts	60	63	7
Net financing authority and financing disbursements:			
89.00 Financing authority	-181	-626	-509
90.00 Financing disbursements	306	-349	-462

Status of Direct Loans (in millions of dollars)

Identification code 11-4122-0-3-152	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	1,770	1,943	1,829
1231 Disbursements: Direct loan disbursements	546	339	54
1251 Repayments: Repayments and prepayments	-373	-453	-456
1290 Outstanding, end of year	1,943	1,829	1,427

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans for foreign military financing obligated in 1992 and after. The foreign military financing credit program provides loans that finance sales of defense articles, defense services, and design and

construction services to foreign countries and international organizations. The amounts in this account are a means of financing and are not included in budget totals.

Balance Sheet (in millions of dollars)

Identification code 11-4122-0-3-152	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	69	145		
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	1,770	1,943	1,829	1,427
1402 Interest receivable	27	26	24	19
1405 Allowance for subsidy cost (-)	-357	-353	-445	-575
1499 Net present value of assets related to direct loans	1,440	1,616	1,408	871
1999 Total assets	1,509	1,761	1,408	871
LIABILITIES:				
Federal liabilities:				
2103 Debt	1,379	1,691	1,401	871
2105 Other	130	70	7	
2999 Total liabilities	1,509	1,761	1,408	871
4999 Total liabilities and net position	1,509	1,761	1,408	871

FOREIGN MILITARY LOAN LIQUIDATING ACCOUNT**Program and Financing** (in millions of dollars)

Identification code 11-4121-0-3-152	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program-Defaults Guaranteed Commercial Bank Loans	24	23	31
00.02 Direct program-Defaults FFB Loans	18	16	15
10.00 Total new obligations (object class 33.0)	42	39	46
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	69	39	46
22.40 Capital transfer to general fund	-27		
23.90 Total budgetary resources available for obligation	42	39	46
23.95 Total new obligations	-42	-39	-46
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	58	27	25
69.00 Offsetting collections (cash)	560	489	422
69.27 Capital transfer to general fund	-315	-243	-167
69.47 Portion applied to repay debt	-234	-234	-234
69.90 Spending authority from offsetting collections (total mandatory)	11	12	21
70.00 Total new budget authority (gross)	69	39	46
Change in obligated balances:			
73.10 Total new obligations	42	39	46
73.20 Total outlays (gross)	-42	-39	-46
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	42	39	46
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Non-Federal sources:			
88.40 Non-Federal sources—loans other than FFB	-326	-255	-188
88.40 Non-Federal sources—FFB loan principal	-234	-234	-234
88.90 Total, offsetting collections (cash)	-560	-489	-422
Net budget authority and outlays:			
89.00 Budget authority	-491	-450	-376
90.00 Outlays	-518	-450	-376

Status of Direct Loans (in millions of dollars)

Identification code 11-4121-0-3-152	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	4,223	3,767	3,370
1231 Disbursements: Direct loan disbursements	7	7	7
1251 Repayments: Repayments and prepayments from country	-463	-404	-346
1290 Outstanding, end of year	3,767	3,370	3,031

Status of Guaranteed Loans (in millions of dollars)

Identification code 11-4121-0-3-152	2001 actual	2002 est.	2003 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	4,551	4,194	3,844
2251 Repayments and prepayments	-357	-348	-343
2261 Adjustments: Terminations for default that result in loans receivable		-2	-5
2290 Outstanding, end of year	4,194	3,844	3,496
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	3,774	3,460	3,146
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	15	39	26
2331 Disbursements for guaranteed loan claims	24	23	31
2364 Other adjustments, net		-36	
2390 Outstanding, end of year	39	26	57

As required by the Federal Credit Reform Act of 1990, this account records all cash flows to and from the Government resulting from direct loans obligated and loan guarantees for foreign military financing committed prior to 1992. This account is shown on a cash basis and reflects the transactions resulting from loans provided to finance sales of defense articles, defense services, and design and construction services to foreign countries and international organizations. All new foreign military financing credit activity in 1992 and after (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year) is recorded in corresponding program and financing accounts.

Statement of Operations (in millions of dollars)

Identification code 11-4121-0-3-152	2000 actual	2001 actual	2002 est.	2003 est.
0111 Revenue	219	198	178	160
0112 Expense	-219	-198	-178	-160

Balance Sheet (in millions of dollars)

Identification code 11-4121-0-3-152	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross	4,223	3,767	3,371	3,031
1602 Interest receivable	1,006	479	402	327
1604 Direct loans and interest receivable, net	5,229	4,246	3,773	3,358
1699 Value of assets related to direct loans	5,229	4,246	3,773	3,358
1701 Defaulted guaranteed loans, gross	14	39	26	57
1702 Interest receivable	1			1
1799 Value of assets related to loan guarantees	15	39	26	58
1999 Total assets	5,244	4,285	3,799	3,416

Credit accounts—Continued

FOREIGN MILITARY LOAN LIQUIDATING ACCOUNT—Continued

Balance Sheet (in millions of dollars)—Continued

Identification code 11-4121-0-3-152	2000 actual	2001 actual	2002 est.	2003 est.	
LIABILITIES:					
Federal liabilities:					
2102	Accrued Interest Payable to FFB	37	33	30	26
2103	Debt—Principal owed to FFB	2,390	2,157	1,923	1,688
2104	Resources payable to Treasury	2,817	2,095	1,846	1,702
2999	Total liabilities	5,244	4,285	3,799	3,416
4999	Total liabilities and net position	5,244	4,285	3,799	3,416

MILITARY DEBT REDUCTION FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 11-4174-0-3-152	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
08.02	Payment of downward reestimate	4		
10.00	Total new obligations (object class 41.0)	4		
Budgetary resources available for obligation:				
22.00	New financing authority (gross)	4		
23.95	Total new obligations	-4		
New financing authority (gross), detail:				
Spending authority from offsetting collections:				
Discretionary:				
68.00	Offsetting collections (cash)	5	2	
68.47	Portion applied to repay debt	-1	-2	
68.90	Spending authority from offsetting collections (total discretionary)	4		
Change in obligated balances:				
73.10	Total new obligations	4		
73.20	Total financing disbursements (gross)	-4		
87.00	Total financing disbursements (gross)	4		
Offsets:				
Against gross financing authority and financing disbursements:				
Offsetting collections (cash) from:				
88.00	Federal sources	-4	-2	
88.40	Non-Federal sources—loan principal	-1		
88.90	Total, offsetting collections (cash)	-5	-2	
Net financing authority and financing disbursements:				
89.00	Financing authority	-1	-2	
90.00	Financing disbursements	-1	-2	

Status of Direct Loans (in millions of dollars)

Identification code 11-4174-0-3-152	2001 actual	2002 est.	2003 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	19	19	3
1263	Write-offs for default: Direct loans		-16	
1290	Outstanding, end of year	19	3	3

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from restructuring foreign military loans. The amounts in this account are a means of financing and are not included in budget totals.

Balance Sheet (in millions of dollars)

Identification code 11-4174-0-3-152	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Net value of assets related to post-1991 direct loans receivable:				
1401	Direct loans receivable, gross	19	19	3
1405	Allowance for subsidy cost (-)	-17	-17	-3
1499	Net present value of assets related to direct loans	2	2	
1999	Total assets	2	2	
LIABILITIES:				
2103	Federal liabilities: Debt	2	2	
2999	Total liabilities	2	2	
4999	Total liabilities and net position	2	2	

INTERNATIONAL DEVELOPMENT ASSISTANCE
MULTILATERAL ASSISTANCE

Federal Funds

General and special funds:

INTERNATIONAL FINANCIAL INSTITUTIONS

GLOBAL ENVIRONMENT FACILITY

For the United States contribution for the Global Environment Facility, [\$100,500,000] \$177,812,533, to the International Bank for Reconstruction and Development as trustee for the Global Environment Facility, by the Secretary of the Treasury, to remain available until expended. (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 11-0077-0-1-151	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
10.00	Total new obligations (object class 33.0)	108	100	178
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	7,663	7,663	7,663
22.00	New budget authority (gross)	108	100	178
23.90	Total budgetary resources available for obligation	7,771	7,763	7,841
23.95	Total new obligations	-108	-100	-178
24.40	Unobligated balance carried forward, end of year	7,663	7,663	7,663
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	108	100	178
Change in obligated balances:				
72.40	Obligated balance, start of year	238	264	267
73.10	Total new obligations	108	100	178
73.20	Total outlays (gross)	-82	-97	-124
74.40	Obligated balance, end of year	264	267	321
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	16	15	27
86.93	Outlays from discretionary balances	66	82	97
87.00	Total outlays (gross)	82	97	124
Net budget authority and outlays:				
89.00	Budget authority	108	100	178
90.00	Outlays	82	97	124

The International Bank for Reconstruction and Development (IBRD or World Bank) provides market-rate financing and technical assistance to support infrastructure investment and policy reform. IBRD operations are designed to increase borrowing countries' capacity to attain equitable, sustainable economic growth, including through targeted investments in basic human needs, private-sector development, and core policy reforms.

The IBRD made new commitments of \$10.5 billion during 2001, IBRD gross disbursements were \$11.8 billion. Since its establishment in 1945, the IBRD has made loans totaling \$360 billion. No request is being made for IBRD capital in 2003.

The IBRD acts as trustee for the Global Environment Facility (GEF) Trust Fund. The GEF provides technical assistance and partial funding for developing country investments designed to provide global environmental benefits by reducing international water pollution and ozone depletion, and by promoting biodiversity and energy conservation. With its highly specific focus on global environmental issues—where both costs and benefits are shared across international borders—the GEF occupies an important niche in the system of international financial institutions. Its basic mission is to support innovative and cost-effective pilot investments whose design and environmental benefits can be duplicated (and financed) elsewhere. Under strong U.S. leadership, the GEF has been making substantial progress in leveraging its limited resources. The World Bank, the UN Development Program, the UN Environment Program and, increasingly, private investors, provide substantial co-financing for GEF projects. Since its inception in 1994, total GEF commitments amount to about \$3.8 billion, with associated co-financing of about \$11 billion.

The initial U.S. commitment to the GEF in 1995 amounted to \$430 million, and the second replenishment (GEF-2) agreed to in 1998, also included a U.S. commitment of \$430 million in four installments over the 1999–2002 period. The 2002 request of \$107.5 million, representing the final scheduled installment under GEF-2, was funded at \$100.5 million. Combined with the underfunding of previous years' requests, this increases total U.S. arrears to GEF to \$210.9 million. In 2003, the Administration is requesting \$70.3 million to clear one-third of outstanding arrears and \$107.5 million for the first installment of the U.S. pledge of \$430 million to GEF's third replenishment (GEF-3), which comprises four payments from 2003 to 2006.

CONTRIBUTION TO THE INTERNATIONAL DEVELOPMENT ASSOCIATION

For payment to the International Development Association by the Secretary of the Treasury, [\$792,400,000] \$874,338,333, to remain available until expended: *Provided*, That in negotiating United States participation in the next replenishment of the International Development Association, the Secretary of the Treasury shall accord high priority to providing the International Development Association with the policy flexibility to provide new grant assistance to countries eligible for debt reduction under the enhanced HIPC Initiative; *Provided further*, That the Secretary of the Treasury should instruct the United States executive director to the International Bank for Reconstruction and Development to vote against any water or sewage project in India that does not prohibit the use of scavenger labor]. (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 11–0073–0–1–151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 33.0)	773	792	874
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	773	792	874
23.95 Total new obligations	– 773	– 792	– 874
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	775	792	874
40.77 Reduction pursuant to P.L. 106–554 (0.22 percent)	– 2		
43.00 Appropriation (total discretionary)	773	792	874

Change in obligated balances:			
72.40 Obligated balance, start of year	2,346	1,869	1,732
73.10 Total new obligations	773	792	874
73.20 Total outlays (gross)	– 1,251	– 929	– 991
74.40 Obligated balance, end of year	1,869	1,732	1,615
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	56	57	63
86.93 Outlays from discretionary balances	1,195	872	927
87.00 Total outlays (gross)	1,251	929	991
Net budget authority and outlays:			
89.00 Budget authority	773	792	874
90.00 Outlays	1,250	929	991

The International Development Association (IDA) is a member of the World Bank Group and provides development financing on highly concessional terms to the world's poorest nations. These countries are primarily in Sub-Saharan Africa and South Asia, but also in Latin America, Eastern Europe, and the former Soviet Union. IDA places special emphasis on poverty alleviation, environmental protection, and economic reform and growth. IDA is the single largest source of multilateral lending extended on concessional terms to developing countries. Projects have to meet the same economic, financial, and environmental standards as other World Bank projects. IDA resources for new lending are increasingly provided by earnings and repayments of existing loans and are augmented by new donor contributions through periodic "replenishments."

During 2001, IDA made new commitments of \$6.8 billion, and IDA's gross disbursements were \$5.5 billion. Since its establishment, IDA has made commitments totaling \$127 billion.

Under the thirteenth replenishment (IDA-13), IDA will provide total resources for prospective new loan commitments of about \$23 billion over the 2003–2005 period. The U.S. pledge of \$2.85 billion over the three years (roughly 22 percent of total donor contributions), is subject in part to a set of performance indicators, tied to increased contributions in 2004 and 2005. The 2003 request consists of \$850 million for the first year of our commitment under the replenishment and \$24.3 million to clear one-third of outstanding arrears. U.S. arrears under IDA–12 currently total \$73.0 million. We will seek congressional authorization to contribute the following amounts to IDA–13 over three years: \$850 million in 2003, \$950 million in 2004, and \$1,050 million in 2005.

CONTRIBUTION TO MULTILATERAL INVESTMENT GUARANTEE AGENCY

For payment to the Multilateral Investment Guarantee Agency by the Secretary of the Treasury, [\$5,000,000] \$3,630,696, for the United States paid-in share of the increase in capital stock, to remain available until expended. (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.*)

LIMITATION ON CALLABLE CAPITAL SUBSCRIPTIONS

The United States Governor of the Multilateral Investment Guarantee Agency may subscribe without fiscal year limitation for the callable capital portion of the United States share of such capital stock in an amount not to exceed [\$25,000,000] \$14,825,178. (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 11–0084–0–1–151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program Activity	10	5	4
10.00 Total new obligations (object class 33.0)	10	5	4
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	10	5	4

General and special funds—Continued

LIMITATION ON CALLABLE CAPITAL SUBSCRIPTIONS—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 11-0084-0-1-151	2001 actual	2002 est.	2003 est.
23.95 Total new obligations	-10	-5	-4
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	10	5	4
Change in obligated balances:			
72.40 Obligated balance, start of year	25	33	26
73.10 Total new obligations	10	5	4
73.20 Total outlays (gross)	-2	-12	-5
74.40 Obligated balance, end of year	33	26	25
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2	1	1
86.93 Outlays from discretionary balances	11	11	4
87.00 Total outlays (gross)	2	12	5
Net budget authority and outlays:			
89.00 Budget authority	10	5	4
90.00 Outlays	2	12	5

The Multilateral Investment Guarantee Agency (MIGA) is a member of the World Bank Group. MIGA is designed to encourage the flow of foreign private investment to and among developing countries by issuing guarantees against noncommercial risks and carrying out investment promotion activities.

During World Bank fiscal year 2001, MIGA issued 66 guaranteed contracts, with a maximum aggregate contingent liability of \$2.0 billion. Since MIGA's inception, estimated foreign direct investment facilitated totals \$41.2 billion.

Negotiations of MIGA's first General Capital Increase (GCI) were completed in 1998. The United States committed to contribute a total of \$30 million in paid-in capital and nearly \$140 million in callable capital over three years. The agreement included commitments from MIGA on a range of policy issues of substantial importance to the U.S., including environment, information disclosure, labor, and creation of an inspection function for greater accountability and transparency. In 2000, the Administration sought and received congressional authorization for our full participation in the MIGA GCI.

The 2003 request is \$3,630,696 to clear one-third of outstanding U.S. arrears to MIGA.

CONTRIBUTION TO THE INTER-AMERICAN INVESTMENT CORPORATION

For payment to the Inter-American Investment Corporation, by the Secretary of the Treasury, **[\$18,000,000] \$30,351,667**, for the United States share of the increase in subscriptions to capital stock, to remain available until expended. (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 11-0072-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.03 International Investment Corp.	25	18	30
10.00 Total new obligations (object class 33.0)	25	18	30
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3,957	3,956	3,957
22.00 New budget authority (gross)	25	18	30
23.90 Total budgetary resources available for obligation	3,982	3,974	3,987
23.95 Total new obligations	-25	-18	-30
24.40 Unobligated balance carried forward, end of year	3,956	3,957	3,957

New budget authority (gross), detail:

40.00 Discretionary:	25	18	30
Appropriation			
Change in obligated balances:			
72.40 Obligated balance, start of year	80	59	46
73.10 Total new obligations	25	18	30
73.20 Total outlays (gross)	-46	-31	-31
74.40 Obligated balance, end of year	59	46	45
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	5	4	6
86.93 Outlays from discretionary balances	42	27	25
87.00 Total outlays (gross)	46	31	31
Net budget authority and outlays:			
89.00 Budget authority	25	18	30
90.00 Outlays	46	31	31

The Inter-American Development Bank (IDB) promotes sustainable economic growth and development, poverty reduction, private sector development, and good governance in Latin America and the Caribbean through loans and technical assistance.

In 2001, the IDB made new lending commitments of \$7.7 billion; gross disbursements were \$6.6 billion. Since its inception in 1960, the Bank has lent over \$114 billion.

The Bank provides financing through: (1) the Ordinary Capital window that lends at market-based rates; and, (2) the Fund for Special Operations (FSO), which provides financing on concessional terms to the region's poorest nations.

No request is being made for the IDB or FSO in 2003.

The Inter-American Investment Corporation (IIC), established in 1984, is a member of the Inter-American Development Bank Group, whose purpose is to promote development of private small and medium sized enterprises (SMEs) in Latin America and the Caribbean. It is a legally autonomous entity whose resources and management are separate from those of the Inter-American Development Bank itself. Through direct loans and equity investments in SMEs as well as through lending to private financial intermediaries, the IIC helps SMEs in the region to access the medium/long-term capital necessary to start-up, expand, or modernize their operations.

During 2001, the IIC approved 19 projects totaling \$130 million. Since its inception, the IIC has approved 261 projects for a total amount of \$1.4 billion. Of these, 127 projects, representing \$670 million, remain active.

The 2003 request includes budget authority of \$25 million for paid-in subscription for the fourth payment and \$5.4 million to clear one-third of outstanding arrears on the \$125.18 million United States' share of the IIC's first general capital increase. U.S. arrears to the IIC currently total \$16.1 million.

CONTRIBUTION TO THE ASIAN DEVELOPMENT FUND

For the United States contribution by the Secretary of the Treasury to the increase in resources of the Asian Development Fund, as authorized by the Asian Development Bank Act, as amended, **[\$98,017,050] \$147,386,133**, to remain available until expended. (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 11-0076-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Asian development fund	72	98	147
10.00 Total new obligations (object class 33.0)	72	98	147
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	748	748	748
22.00 New budget authority (gross)	72	98	147

23.90	Total budgetary resources available for obligation	820	846	895
23.95	Total new obligations	-72	-98	-147
24.40	Unobligated balance carried forward, end of year	748	748	748

New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	72	98	147

Change in obligated balances:				
72.40	Obligated balance, start of year	568	460	347
73.10	Total new obligations	72	98	147
73.20	Total outlays (gross)	-180	-211	-194
74.40	Obligated balance, end of year	460	347	300

Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	12	47	25
86.93	Outlays from discretionary balances	168	164	169
87.00	Total outlays (gross)	180	211	194

Net budget authority and outlays:				
89.00	Budget authority	72	98	147
90.00	Outlays	180	211	194

The Asian Development Bank (ADB) fosters broad-based sustainable economic development, poverty alleviation, and cooperation in the Asia/Pacific region. The ADB has two main financing windows: (i) the ordinary capital window which lends at market-based rates; and (ii) the Asian Development Fund (ADF) which lends at concessional rates to the region's poorest nations.

ADF resources are derived in part from donor contributions through periodic "replenishments." In the most recent replenishment, ADF-8, the United States successfully negotiated a comprehensive package of policy reforms while maintaining our contribution at \$412 million over four years.

In 2001, the Bank lent \$3.9 billion of its ordinary capital resources and extended loans and grants of \$1.4 billion from ADF and TA resources for development projects. Since its founding in 1966, the ADB has committed over \$65.9 billion, and the ADF has committed \$25.4 billion. In addition, the ADB has made cumulative private sector loans and equity investments of over \$2 billion.

In 2000, the U.S. made the final payment to the ADB's fourth general capital increase. No request is being made for ADB in 2003.

The 2003 request for the ADF is for \$103 million in budget authority for our second scheduled contribution under ADF-8 and \$44.4 million to clear one-third of outstanding arrears. U.S. arrears under ADF-7 currently total \$133.2 million.

CONTRIBUTION TO THE AFRICAN DEVELOPMENT BANK

For payment to the African Development Bank by the Secretary of the Treasury, **[\$5,100,000]** \$5,104,473, for the United States paid-in share of the increase in capital stock, to remain available until expended. (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.*)

CONTRIBUTION TO THE AFRICAN DEVELOPMENT FUND

For the United States contribution by the Secretary of the Treasury to the increase in resources of the African Development Fund, **[\$100,000,000]** \$118,073,333, to remain available until expended. (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.*)

LIMITATION ON CALLABLE CAPITAL SUBSCRIPTIONS

The United States Governor of the African Development Bank may subscribe without fiscal year limitation for the callable capital portion of the United States share of such capital stock in an amount not to exceed **[\$79,991,500]** \$79,602,688. (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 11-0079-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Fund	100	100	118
00.02 Ordinary Capital	6	5	5
10.00 Total new obligations (object class 33.0)	106	105	123
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	106	105	123
23.95 Total new obligations	-106	-105	-123
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	106	105	123
Change in obligated balances:			
72.40 Obligated balance, start of year	393	416	415
73.10 Total new obligations	106	105	123
73.20 Total outlays (gross)	-83	-106	-112
74.40 Obligated balance, end of year	416	415	426
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	8	3	8
86.93 Outlays from discretionary balances	75	103	104
87.00 Total outlays (gross)	83	106	112
Net budget authority and outlays:			
89.00 Budget authority	106	105	123
90.00 Outlays	83	106	112

The African Development Bank group is composed of (i) the African Development Bank (AFDB), which lends at market-based rates, and (ii) the African Development Fund (AFDF), which lends at concessional rates to the poorest African countries. In 2001, the AFDB approved 27 new projects amounting to about \$1.27 billion. Since its inception in 1963, the AFDB has financed 851 projects amounting to about \$25.4 billion. U.S. arrears total \$13,420.

The African Development Fund approved \$1.19 billion for 98 projects in 2001. Since its inception in 1974, cumulative AFDF lending totals an estimated \$15.6 billion for 1,676 development projects.

The 2003 request for the African Development Bank Group includes \$123.3 million in budget authority and \$80 million in program limitations on callable capital subscriptions. The budget authority request consists of \$5.1 million in paid-in capital for the fourth installment on the U.S. share of the AFDB's fifth capital increase; \$79.99 million in program limitations on callable capital; and \$118 million for the first of three equal installments on the U.S. share of the AFDF-9 replenishment covering the period 2003-2005. We will also seek congressional authorization to contribute the total of \$354 million under AFDF-9. In addition, we seek budget authority of \$77,806 to clear one-third of outstanding U.S. arrears to the African Development Bank Group.

CONTRIBUTION TO THE EUROPEAN BANK FOR RECONSTRUCTION AND DEVELOPMENT

For payment to the European Bank for Reconstruction and Development by the Secretary of the Treasury, **[\$35,778,717]** \$35,804,955, for the United States share of the paid-in portion of the increase in capital stock, to remain available until expended. (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.*)

LIMITATION ON CALLABLE CAPITAL SUBSCRIPTIONS

The United States Governor of the European Bank for Reconstruction and Development may subscribe without fiscal year limitation to the callable capital portion of the United States share of such capital stock in an amount not to exceed **[\$123,237,803]** \$123,328,178. (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.*)

General and special funds—Continued

LIMITATION ON CALLABLE CAPITAL SUBSCRIPTIONS—Continued

Program and Financing (in millions of dollars)

Identification code 11-0088-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program Activity	36	36	36
10.00 Total new obligations (object class 33.0)	36	36	36
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	36	36	36
23.95 Total new obligations	-36	-36	-36
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	36	36	36
Change in obligated balances:			
72.40 Obligated balance, start of year	39	43	43
73.10 Total new obligations	36	36	36
73.20 Total outlays (gross)	-31	-36	-36
74.40 Obligated balance, end of year	43	43	43
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	19	19	19
86.93 Outlays from discretionary balances	13	17	17
87.00 Total outlays (gross)	31	36	36
Net budget authority and outlays:			
89.00 Budget authority	36	36	36
90.00 Outlays	31	36	36

The European Bank for Reconstruction and Development (EBRD) supports market-oriented economic reform and democratic pluralism through predominately private sector lending and investments in the nations of Central and Eastern Europe and the former Soviet Union. Nearly eighty percent of projects approved in 2001 were in the private sector. The United States and other shareholders signed the articles of agreement of the EBRD on May 29, 1990, and the Bank officially began operating on April 15, 1991.

In April 1996, shareholders approved a doubling of EBRD's capital base from ECU 10 billion to ECU 20 billion (approximately \$24 billion) which went into effect in April 1997. The annual payment for the U.S.'s ten percent share is \$35.8 million payable over a period of 8 years. At the end of 2001, the EBRD had a portfolio of over 773 projects with a total net value of \$16.8 billion.

The 2003 request consists of \$35.8 million in budget authority for paid-in capital, including \$26,238 to clear one-third of outstanding for U.S. arrears to EBRD and \$123.3 million in program limitations for callable capital for the sixth of eight installments on the U.S. subscription to the general capital increase.

NORTH AMERICAN DEVELOPMENT BANK

Program and Financing (in millions of dollars)

Identification code 11-1008-0-1-151	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year	51	51	51
73.20 Total outlays (gross)			-11
74.40 Obligated balance, end of year	51	51	40
Outlays (gross), detail:			
86.93 Outlays from discretionary balances			11
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			11

The North American Development Bank (NADBank) provides financing for environmental infrastructure projects in the border region and, more broadly in the United States and Mexico for NAFTA-related community adjustments and investment. Under NADBank's charter, the U.S. and Mexico contributed equally to NADBank's capital—\$450 million in paid-in capital and \$2.55 billion in callable capital. The final U.S. installment was appropriated in 1998, and there is no paid-in request for 2003.

The NADBank finances environmental infrastructure projects that have been certified by the U.S.-Mexico Border Environmental Commission (BECC), an institution designed to assist states and local communities in coordinating border clean-up. As of December 2001, the NADBank had approved \$23.5 million in loans for 8 projects and \$11 million in grants for technical assistance and project construction. It has also administered \$330 million in EPA-funded grants to 35 projects in Mexico and the United States. The total investment value of all the projects to which it provides funding is \$1 billion.

CONTRIBUTION TO THE ENTERPRISE FOR THE AMERICAS
MULTILATERAL INVESTMENT FUND

For payment to the Enterprise for the Americas Multilateral Investment Fund by the Secretary of the Treasury, for the United States contribution to the fund, \$29,590,667, to remain available until expended. (22 U.S.C. 283z-9.)

Program and Financing (in millions of dollars)

Identification code 11-0089-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program Activity	10		30
10.00 Total new obligations (object class 33.0)	10		30
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	10		30
23.95 Total new obligations	-10		-30
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	10		30
Change in obligated balances:			
72.40 Obligated balance, start of year	267	256	226
73.10 Total new obligations	10		30
73.20 Total outlays (gross)	-20	-30	-36
74.40 Obligated balance, end of year	256	226	220
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority			1
86.93 Outlays from discretionary balances	20	30	35
87.00 Total outlays (gross)	20	30	36
Net budget authority and outlays:			
89.00 Budget authority	10		30
90.00 Outlays	20	30	36

The Multilateral Investment Fund (MIF), administered by the Inter-American Development Bank, provides grants and loans to support private-sector development and finance and labor sector reforms in Latin America and the Caribbean. Special consideration is given to reforms that encourage private foreign direct investment and promote privatization. Grants and loans are used for technical assistance to identify and resolve investment constraints, for investment in human capital, and for business infrastructure and development. Since its inception in 1992, the MIF has approved 466 projects, of which the MIF contribution totaled \$761 million.

The U.S. made a commitment to the MIF in 1992 amounting to \$500 million, of which we have paid \$411.23 million, leaving U.S. arrears currently at \$88.77 million. For 2003,

\$29.6 million is requested to clear one-third of outstanding arrears.

CONTRIBUTION TO THE INTERNATIONAL FUND FOR AGRICULTURAL DEVELOPMENT

For the United States contribution by the Secretary of the Treasury to increase the resources of the International Fund for Agricultural Development, **[\$20,000,000] \$15,003,667**, to remain available until expended. (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 11-1039-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program Activity	5	20	15
10.00 Total new obligations (object class 33.0)	5	20	15
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	5	20	15
23.95 Total new obligations	-5	-20	-15
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	5	20	15
Change in obligated balances:			
72.40 Obligated balance, start of year			11
73.10 Total new obligations	5	20	15
73.20 Total outlays (gross)	-5	-9	-8
74.40 Obligated balance, end of year		11	18
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	5	9	4
86.93 Outlays from discretionary balances			4
87.00 Total outlays (gross)	5	9	8
Net budget authority and outlays:			
89.00 Budget authority	5	20	15
90.00 Outlays	5	9	8

The International Fund for Agricultural Development (IFAD) was established in 1977 as a multilateral financial institution focused on promoting rural agricultural development in poorer countries. IFAD's specific mandate is to assist small-scale producers and subsistence farmers to increase their productivity and incomes, improve their nutritional levels, and help integrate them into larger markets.

The 2003 request is for \$15,003,667, of which \$15 million is for our second scheduled contribution under IFAD's 5th replenishment (IFAD-V) and \$3,667 is to clear one-third of outstanding U.S. arrears.

INTERNATIONAL AFFAIRS TECHNICAL ASSISTANCE

For necessary expenses to carry out the provisions of section 129 of the Foreign Assistance Act of 1961 (relating to international affairs technical assistance activities), **[\$6,500,000] \$10,000,000**, to remain available until expended, which shall be available notwithstanding any other provision of law. (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 11-1045-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 New Obligations	22	6	22
09.01 Reimbursable program	2		
10.00 Total new obligations	24	6	22
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	16	26	29

22.00 New budget authority (gross)	34	6	10
22.22 Unobligated balance transferred from other accounts	1	3	
23.90 Total budgetary resources available for obligation	51	35	39
23.95 Total new obligations	-24	-6	-22
24.40 Unobligated balance carried forward, end of year	26	29	17

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	6	6	10
42.00 Transferred from other accounts	23		
43.00 Appropriation (total discretionary)	29	6	10
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	5		
70.00 Total new budget authority (gross)	34	6	10

Change in obligated balances:

72.40 Obligated balance, start of year	3	20	5
73.10 Total new obligations	24	6	22
73.20 Total outlays (gross)	-8	-21	-26
74.40 Obligated balance, end of year	20	5	1

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	5		1
86.93 Outlays from discretionary balances	3	21	25
87.00 Total outlays (gross)	8	21	26

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-5		

Net budget authority and outlays:

89.00 Budget authority	29	6	10
90.00 Outlays	3	21	26

This account will provide technical assistance to other countries in support of the responsibilities of the U.S. Treasury Department to formulate, conduct and coordinate the international financial policies of the United States. The Treasury Department frequently has the lead responsibility for implementing fiscal and financial policy aspects of U.S. foreign policy toward individual countries. Technical assistance provided through this account will facilitate key short- and medium-term reforms in the policy and management areas of budget, tax, government debt, financial institutions and financial crimes enforcement.

Using funding provided under the SEED and FREEDOM Support Acts, U.S. Treasury Department advisors have provided policy and management advice in the areas described above to countries in Eastern Europe and the former Soviet Union in their transition to market economies and democratic fiscal structures. Since 1997, Treasury has also provided assistance, using funding from USAID Development Assistance and the Economic Support Fund, to more than 17 governments on a global basis. The flexibility provided by direct funding permits the Department to be responsive when governments make decisions to implement key fiscal and financial reforms, and allows it to act quickly to help select governments strengthen governmental fiscal and financial institutions during crucial transition periods toward market-oriented economies.

The proposed \$10 million appropriation will fund resident advisors, including program related administrative costs and intermittent experts in support of the resident advisors. This appropriation will permit continuation of the program in countries outside Central and Eastern Europe and the Former Soviet Union, including implementation of programs in Asia, Africa, and Central and Latin America, as well as continued technical assistance in anti-terrorism. The Treasury Department will continue to coordinate activities with international financial institutions and with USAID, the Department of State and other relevant U.S. Government agencies when determining where its technical assistance program can have the greatest positive impact.

General and special funds—Continued**INTERNATIONAL AFFAIRS TECHNICAL ASSISTANCE—Continued****Object Classification** (in millions of dollars)

Identification code 11-1045-0-1-151	2001 actual	2002 est.	2003 est.
Direct obligations:			
21.0 Travel and transportation of persons	2	2	2
25.1 Advisory and assistance services	20	4	20
99.0 Direct obligations	22	6	22
99.0 Reimbursable obligations	2		
99.9 Total new obligations	24	6	22

GLOBAL FUND TO FIGHT HIV/AIDS, MALARIA, AND TUBERCULOSIS**Program and Financing** (in millions of dollars)

Identification code 72-1028-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)		100	
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		100	
23.95 Total new obligations		-100	
New budget authority (gross), detail:			
Discretionary:			
42.00 Transferred from other accounts		100	
Change in obligated balances:			
72.40 Obligated balance, start of year			85
73.10 Total new obligations		100	
73.20 Total outlays (gross)		-15	-50
74.40 Obligated balance, end of year		85	35
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		15	
86.93 Outlays from discretionary balances			50
87.00 Total outlays (gross)		15	50
Net budget authority and outlays:			
89.00 Budget authority		100	
90.00 Outlays		15	50

The Global Fund to Fight HIV/AIDS, Malaria, and TB account exists to obligate and disburse U.S. contributions to the new Global Fund to fight AIDS, TB and Malaria (GFATM) that come from appropriations within the Department of Health and Human Services. Negotiations to create GFATM, or the Fund, were concluded in December 2001. The Fund's creation was initiated as 2002 Presidential Initiative and called for by the U.N. Secretary General in April 2001. Declarations and financial commitments were issued prior to, during and after the groundbreaking UN General Assembly Special Session on HIV/AIDS in June 2001 and at the G8 Summit in Genoa in July 2001.

The purpose of GFATM is to attract, manage, and disburse additional resources through a new public-private partnership that will make a sustainable and significant contribution to the reduction of infections, illness and death, thereby mitigating the impact caused by HIV/AIDS, tuberculosis and malaria in countries in need. The Fund will pursue an integrated and balanced approach covering prevention, treatment, and care and support in dealing with these three diseases. The Fund will seek to establish a simplified, rapid, innovative process with efficient and effective disbursement mechanisms, minimizing transaction costs and operating in a transparent and accountable manner based on clearly defined responsibilities. The Fund will make use of existing international mechanism and health plans.

Approximately \$1.6 billion has been committed to the Fund thus far from industrialized and developing country govern-

ments, corporations, foundations, and private individual contributions. The U.S. Government has appropriated \$100 million in 2001; up to \$200 million in 2002; and proposes to contribute an additional \$200 million in 2003, \$100 million of which is proposed to be appropriated within the National Institutes of Allergy and Infectious Diseases (NIAID) Account and \$100 million within USAID's Development Assistance Account. USAID and 2003 NIAID contributions will be made directly by those agencies to the Global Fund. The 2003 Budget proposes total bilateral and multilateral assistance of HIV/AIDS, TB, and malaria programs in developing countries of nearly \$1.2 billion, up from \$1 billion in 2002.

CONTRIBUTION FOR THE EBRD SMALL AND MEDIUM ENTERPRISE SUPPORT FUND**Program and Financing** (in millions of dollars)

Identification code 11-0092-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program Activity	2	10	
10.00 Total new obligations (object class 33.0)	2	10	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		1	10
22.00 New budget authority (gross)	11		
23.90 Total budgetary resources available for obligation	12	10	
23.95 Total new obligations	-2	-10	
24.40 Unobligated balance carried forward, end of year	10		
New budget authority (gross), detail:			
Discretionary:			
42.00 Transferred from other accounts	11		
Change in obligated balances:			
73.10 Total new obligations	2	10	
73.20 Total outlays (gross)	-2	-10	
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1		
86.93 Outlays from discretionary balances	1	10	
87.00 Total outlays (gross)	2	10	
Net budget authority and outlays:			
89.00 Budget authority	11		
90.00 Outlays	2	10	

In July 2000, the United States established a fund at the European Bank for Reconstruction and Development (EBRD) to support Small and Medium Enterprise (SME) financing through technical assistance to local financial institutions and credit lines for on-lending to SMEs. An allocation of \$10 million was provided to the EBRD from FY 2000 Support for Eastern European Democracies Act (SEED Act) funding and \$11 million (including \$1 million allocated specifically for Serbia) from FY 2001 SEED Act funding to support countries in Southeast Europe. In 2002, the Administration intends to expand the program to Freedom Support Act countries. U.S. contributions will leverage up to \$100 million of EBRD financing to financial institutions for on-lending to SMEs.

Three main activities will be supported under this program: (1) providing debt finance to SMEs by on-lending through eligible banks; (2) providing technical assistance to promote sound business practices and good governance at participating banks; and (3) providing technical assistance to identify legal, regulatory, and policy impediments and improving the operating environment for SMEs.

INTERNATIONAL ORGANIZATIONS AND PROGRAMS

For necessary expenses to carry out the provisions of section 301 of the Foreign Assistance Act of 1961, and of section 2 of the United

Nations Environment Program Participation Act of 1973, [§208,500,000: *Provided*, That none of the funds appropriated under this heading may be made available to the Korean Peninsula Energy Development Organization (KEDO) or the International Atomic Energy Agency (IAEA): *Provided further*, That not less than \$6,000,000 should be made available to the World Food Program] \$310,400,000. (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 72-1005-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
01.01 Direct Program Activities	301	328	310
10.00 Total new obligations (object class 41.0)	301	328	310
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	296	328	310
22.22 Unobligated balance transferred from other accounts	6		
23.90 Total budgetary resources available for obligation	302	328	310
23.95 Total new obligations	-301	-328	-310
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	186	208	310
42.00 Transferred from other accounts	110	120	
43.00 Appropriation (total discretionary)	296	328	310
Change in obligated balances:			
72.40 Obligated balance, start of year	35	25	27
73.10 Total new obligations	301	328	310
73.20 Total outlays (gross)	-310	-326	-315
74.40 Obligated balance, end of year	25	27	22
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	277	305	288
86.93 Outlays from discretionary balances	33	21	27
87.00 Total outlays (gross)	310	326	315
Net budget authority and outlays:			
89.00 Budget authority	296	328	310
90.00 Outlays	310	326	315

Note.—Excludes \$6 million in BY for world Food Program activities transferred to: Department of Agriculture, Foreign Agriculture Service, P.L. 480 Title II Grants. Comparable amounts for PY (\$5 million) and CY (\$6 million) are included above.

In addition to its assessed payments, the United States contributes to voluntary funds of many international organizations and programs involved in a wide range of sustainable development, humanitarian, and scientific activities. The FY 2003 request includes \$120 million for the UN Children's Fund.

Credit accounts:

DEBT RESTRUCTURING

[For the cost, as defined in section 502 of the Congressional Budget Act of 1974, of modifying loans and loan guarantees, as the President may determine, for which funds have been appropriated or otherwise made available for programs within the International Affairs Budget Function 150, including the cost of selling, reducing, or canceling amounts owed to the United States as a result of concessional loans made to eligible countries, pursuant to parts IV and V of the Foreign Assistance Act of 1961, and of modifying concessional credit agreements with least developed countries, as authorized under section 411 of the Agricultural Trade Development and Assistance Act of 1954, as amended, and concessional loans, guarantees and credit agreements, as authorized under section 572 of the Foreign Operations, Export Financing, and Related Programs Appropriations Act, 1989 (Public Law 100-461), and of canceling amounts owed, as a result of loans or guarantees made pursuant to the Export-Import Bank Act of 1945, by countries that are eligible for debt reduction pursuant to title V of H.R. 3425 as enacted into law by section 1000(a)(5) of Public Law 106-113, \$229,000,000, to remain available until expended: *Provided*, That not less than \$5,000,000 of the funds

appropriated under this heading shall be made available to carry out the provisions of part V of the Foreign Assistance Act of 1961, and up to \$20,000,000 of unobligated balances of funds available under this heading from prior year appropriations acts should be made available to carry out such provisions: *Provided further*, That funds appropriated or otherwise made available under this heading in this Act may be used by the Secretary of the Treasury to pay to the Heavily Indebted Poor Countries (HIPC) Trust Fund administered by the International Bank for Reconstruction and Development amounts for the benefit of countries that are eligible for debt reduction pursuant to title V of H.R. 3425 as enacted into law by section 1000(a)(5) of Public Law 106-113: *Provided further*, That amounts paid to the HIPC Trust Fund may be used only to fund debt reduction under the enhanced HIPC initiative by—

- (1) the Inter-American Development Bank;
- (2) the African Development Fund;
- (3) the African Development Bank; and
- (4) the Central American Bank for Economic Integration:

Provided further, That funds may not be paid to the HIPC Trust Fund for the benefit of any country if the Secretary of State has credible evidence that the government of such country is engaged in a consistent pattern of gross violations of internationally recognized human rights or in military or civil conflict that undermines its ability to develop and implement measures to alleviate poverty and to devote adequate human and financial resources to that end: *Provided further*, That on the basis of final appropriations, the Secretary of the Treasury shall consult with the Committees on Appropriations concerning which countries and international financial institutions are expected to benefit from a United States contribution to the HIPC Trust Fund during the fiscal year: *Provided further*, That the Secretary of the Treasury shall inform the Committees on Appropriations not less than 15 days in advance of the signature of an agreement by the United States to make payments to the HIPC Trust Fund of amounts for such countries and institutions: *Provided further*, That the Secretary of the Treasury may disburse funds designated for debt reduction through the HIPC Trust Fund only for the benefit of countries that—

(a) have committed, for a period of 24 months, not to accept new market-rate loans from the international financial institution receiving debt repayment as a result of such disbursement, other than loans made by such institution to export-oriented commercial projects that generate foreign exchange which are generally referred to as "enclave" loans; and

(b) have documented and demonstrated their commitment to redirect their budgetary resources from international debt repayments to programs to alleviate poverty and promote economic growth that are additional to or expand upon those previously available for such purposes:

Provided further, That any limitation of subsection (e) of section 411 of the Agricultural Trade Development and Assistance Act of 1954 shall not apply to funds appropriated under this heading: *Provided further*, That none of the funds made available under this heading in this or any other appropriations Acts shall be made available for Sudan or Burma unless the Secretary of Treasury determines and notifies the Committees on Appropriations that a democratically elected government has taken office.] (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 11-0091-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.05 Upward reestimate (USDA-direct loan)		1	
00.06 Interest on upward reestimate (USDA-direct loan)		1	
00.91 Direct Program by Activities—Subtotal (1 level)		2	
01.01 Bilateral Debt Reduction	85	86	13
01.02 HIPC Trust Fund	123	317	160
01.03 Tropical Forest Conservation Initiative	13	11	
01.05 Foreign Credit Reporting System	1	1	
01.91 Direct Program by Activities—Subtotal (1 level)	222	415	173
10.00 Total new obligations (object class 41.0)	222	417	173
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	134	359	173
22.00 New budget authority (gross)	447	231	
23.90 Total budgetary resources available for obligation	581	590	173

Credit accounts—Continued

DEBT RESTRUCTURING—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 11-0091-0-1-151	2001 actual	2002 est.	2003 est.
23.95 Total new obligations	-222	-417	-173
24.40 Unobligated balance carried forward, end of year	359	173
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	448	229
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1
43.00 Appropriation (total discretionary)	447	229
Mandatory:			
60.00 Appropriation	2
70.00 Total new budget authority (gross)	447	231
Change in obligated balances:			
72.40 Obligated balance, start of year	14	81	241
73.10 Total new obligations	222	417	173
73.20 Total outlays (gross)	-156	-257	-263
74.40 Obligated balance, end of year	81	241	151
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	35	61
86.93 Outlays from discretionary balances	121	194	263
86.97 Outlays from new mandatory authority	2
87.00 Total outlays (gross)	156	257	263
Net budget authority and outlays:			
89.00 Budget authority	447	231
90.00 Outlays	156	257	263

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 11-0091-0-1-151	2001 actual	2002 est.	2003 est.
Direct loan subsidy budget authority:			
133001 Subsidy budget authority	88	5
133901 Total subsidy budget authority	88	5
Direct loan subsidy outlays:			
134001 Subsidy outlays to the Export-Import Bank	32	35	36
134002 Subsidy outlays to the U.S. Agency for International Development	3	17	9
134003 Subsidy outlays to the U.S. Department of Agriculture	33	27	25
134004 Subsidy outlays to the Defense Security Cooperation Agency	4	2
134901 Total subsidy outlays	72	81	70
Direct loan upward reestimate subsidy budget authority:			
135001 Upward reestimates subsidy budget authority U.S. Department of Agriculture	2
135901 Total upward reestimate budget authority	2
Direct loan upward reestimate subsidy outlays:			
136001 Upward reestimates subsidy outlays	2
136901 Total upward reestimate outlays	2
Direct loan downward reestimate subsidy budget authority:			
137001 Downward reestimates subsidy budget authority USDA	-1
137001 Downward reestimates subsidy budget authority DSCA	-4
137901 Total downward reestimate budget authority	-4	-1
Direct loan downward reestimate subsidy outlays:			
138001 Downward reestimates subsidy outlays	-1
138001 Downward reestimates subsidy outlays	-4
138901 Total downward reestimate subsidy outlays	-4	-1

Debt Reduction for the Poorest. For the poorest countries, debt reduction provides an incentive to implement macroeconomic and structural reforms necessary to improve economic performance and creditworthiness. Debt relief, economic reform and poverty reduction contribute to economic growth and social development, which can mean expanded opportunities for trade and investment for the United States.

For the poorest and most heavily indebted countries, the United States will continue support for the Paris Club of official creditors and provide additional relief complementary to the enhanced Heavily Indebted Poor Country (HIPC) Initiative.

To support this initiative, the Administration requested and received a total of \$224 million for 2002, which combined with \$16 million in carryover funds from 2001 met the U.S. commitment to contribute its \$600 million share to the HIPC Trust Fund administered by the World Bank. The HIPC Trust Fund helps regional development banks and other multilateral institutions meet their costs of debt relief. The Administration expects that the U.S. contribution to the HIPC Trust Fund will continue to leverage contributions from other creditors. No funding is requested for HIPC in 2003.

Tropical Forest Debt Relief. The Tropical Forest Conservation Act (TFCA) received strong bipartisan support and was signed into law by the President in July 1998. Modeled after the very successful Enterprise for the Americas Initiative (EAI), P.L. 105-214 allows the Administration to reduce outstanding concessional USAID and PL-480 debt stocks to support conservation of the endangered tropical forests and promote economic reforms in eligible countries. Debt relief or buybacks in eligible countries will leverage payment of local currency resources into funds to support programs to conserve their tropical forests. TFCA debt reduction agreements have been concluded with four countries: Bangladesh, Belize, El Salvador and Thailand. In total, these countries will save over \$60 million in hard currency payments as a result of these agreements to swap external debt for forest conservation. This program will be financed in 2003 by up to \$40 million of U.S. Agency for International Development funds.

AGENCY FOR INTERNATIONAL
DEVELOPMENT

Federal Funds

General and special funds:

FUNDS APPROPRIATED TO THE PRESIDENT

For expenses necessary to enable the President to carry out the provisions of the Foreign Assistance Act of 1961, and for other purposes, to remain available until September 30, [2002] 2003, unless otherwise specified herein, as follows:

DEVELOPMENT ASSISTANCE

For necessary expenses to carry out the provisions of sections 103[, 105, 106, and 131,] through 106, and chapter 10 of part I of the Foreign Assistance Act of 1961, [\$1,178,000,000] \$2,739,500,000, to remain available until September 30, [2003] 2004: *Provided*, That [\$150,000,000 should be allocated for children's basic education: *Provided further*, That none of the funds appropriated under this heading may be made available for any activity which is in contravention to the Convention on International Trade in Endangered Species of Flora and Fauna: *Provided further*, That of the funds appropriated under this heading and the heading "Child Survival and Health Programs Fund", \$2,000,000 should be made available for Laos: *Provided further*, That funds made available under the previous proviso should be made available only through nongovernmental organizations: *Provided further*, That of the funds appropriated under this heading that are made available for assistance programs for displaced and orphaned children and victims of war, not to exceed \$32,500, in addition to funds otherwise available for such purposes, may be used to monitor and provide oversight of such programs: *Provided further*, That of the aggregate amount of the funds appropriated by this Act that are made available for agriculture and rural development programs, \$25,000,000 should be made available for plant biotechnology research and development: *Provided further*, That not less than \$2,300,000 should be made available for core support for the International Fertilizer Development Center: *Provided further*, That of the funds appropriated under this heading, not less than \$18,000,000 should be made available for the American Schools and

Hospitals Abroad program: *Provided further*, That of the funds appropriated under this heading, not less than \$275,000,000 should be made available for programs and activities which directly protect tropical forests, biodiversity and endangered species, promote the sustainable use of natural resources, and promote a wide range of clean energy and energy conservation activities, including the transfer of cleaner and environmentally sustainable energy technologies, and related activities] of the funds appropriated under this heading, up to \$100,000,000 may be made available, notwithstanding any other provision of law, for a United States contribution to a global fund to combat AIDS, tuberculosis, and malaria: *Provided further*, That none of the funds made available in this Act nor any unobligated balances from prior appropriations may be made available to any organization or program which, as determined by the President of the United States, supports or participates in the management of a program of coercive abortion or involuntary sterilization: *Provided further*, That none of the funds made available under this Act may be used to pay for the performance of abortion as a method of family planning or to motivate or coerce any person to practice abortions: *Provided further*, That none of the funds made available under this Act may be used to lobby for or against abortion: *Provided further*, That in order to reduce reliance on abortion in developing nations, funds shall be available only to voluntary family planning projects which offer, either directly or through referral to, or information about access to, a broad range of family planning methods and services, and that any such voluntary family planning project shall meet the following requirements: (1) service providers or referral agents in the project shall not implement or be subject to quotas, or other numerical targets, of total number of births, number of family planning acceptors, or acceptors of a particular method of family planning (this provision shall not be construed to include the use of quantitative estimates or indicators for budgeting and planning purposes); (2) the project shall not include payment of incentives, bribes, gratuities, or financial reward to: (A) an individual in exchange for becoming a family planning acceptor; or (B) program personnel for achieving a numerical target or quota of total number of births, number of family planning acceptors, or acceptors of a particular method of family planning; (3) the project shall not deny any right or benefit, including the right of access to participate in any program of general welfare or the right of access to health care, as a consequence of any individual's decision not to accept family planning services; (4) the project shall provide family planning acceptors comprehensible information on the health benefits and risks of the method chosen, including those conditions that might render the use of the method inadvisable and those adverse side effects known to be consequent to the use of the method; and (5) the project shall ensure that experimental contraceptive drugs and devices and medical procedures are provided only in the context of a scientific study in which participants are advised of potential risks and benefits; and, not less than 60 days after the date on which the Administrator of the United States Agency for International Development determines that there has been a violation of the requirements contained in paragraph (1), (2), (3), or (5) of this proviso, or a pattern or practice of violations of the requirements contained in paragraph (4) of this proviso, the Administrator shall submit to the Committees on Appropriations of the Senate and the House of Representatives, a report containing a description of such violation and the corrective action taken by the Agency: *Provided further*, That in awarding grants for natural family planning under section 104 of the Foreign Assistance Act of 1961 no applicant shall be discriminated against because of such applicant's religious or conscientious commitment to offer only natural family planning; and, additionally, all such applicants shall comply with the requirements of the previous proviso: *Provided further*, That for purposes of this or any other Act authorizing or appropriating funds for foreign operations, export financing, and related programs, the term "motivate", as it relates to family planning assistance, shall not be construed to prohibit the provision, consistent with local law, of information or counseling about all pregnancy options: *Provided further*, That nothing in this paragraph shall be construed to alter any existing statutory prohibitions against abortion under section 104 of the Foreign Assistance Act of 1961.

TROPICAL FOREST CONSERVATION

Of the funds appropriated under the heading "Development Assistance", \$50,000,000 is available to carry out tropical forest conservation activities authorized by the Foreign Assistance Act of 1961, of which amount up to \$40,000,000 may be available for the cost, as defined in section 502 of the Congressional Budget Act of 1974, of modifying

loans and loan guarantees, pursuant to the provisions of part V of such Act, the Tropical Forest Conservation Act of 1998. (Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 72-1021-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Functional development assistance	1,286	1,303	2,740
09.00 Reimbursable program	4		
10.00 Total new obligations	1,290	1,303	2,740
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	134	143	
22.00 New budget authority (gross)	1,277	1,160	2,740
22.10 Resources available from recoveries of prior year obligations	30		
22.21 Unobligated balance transferred to other accounts	-3		
23.90 Total budgetary resources available for obligation	1,438	1,303	2,740
23.95 Total new obligations	-1,290	-1,303	-2,740
23.98 Unobligated balance expiring or withdrawn	-5		
24.40 Unobligated balance carried forward, end of year	143		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1,305	1,178	2,740
40.35 Appropriation rescinded	-3		
41.00 Transferred to other accounts	-29	-18	
43.00 Appropriation (total discretionary)	1,273	1,160	2,740
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	5		
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-1		
68.90 Spending authority from offsetting collections (total discretionary)	4		
70.00 Total new budget authority (gross)	1,277	1,160	2,740
Change in obligated balances:			
72.40 Obligated balance, start of year	2,289	2,364	2,349
73.10 Total new obligations	1,290	1,303	2,740
73.20 Total outlays (gross)	-1,187	-1,318	-1,388
73.45 Recoveries of prior year obligations	-30		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	1		
74.40 Obligated balance, end of year	2,364	2,349	3,700
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	140	116	274
86.93 Outlays from discretionary balances	1,047	1,202	1,114
87.00 Total outlays (gross)	1,187	1,318	1,388
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-5		
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	1		
Net budget authority and outlays:			
89.00 Budget authority	1,273	1,160	2,740
90.00 Outlays	1,182	1,318	1,388

Development Assistance Programs.—The Administration proposes to combine the programs formerly funded under the Development Assistance and Child Survival and Health Programs Fund accounts. This new account, along with International Disaster Assistance and Transition Initiatives support Agency efforts to address the manifestations and causes of poverty and underdevelopment overseas. This is accomplished through four strategic areas of emphasis or pillars: the Global Development Alliance, and three program pillars; Economic Growth, Agriculture and Trade; Global Health; and Democracy, Conflict and Humanitarian Assistance.

General and special funds—Continued

DEVELOPMENT ASSISTANCE—Continued

- The Global Development Alliance (GDA) is USAID's emerging business model and the first pillar of its strategic approach. It uses public-private alliances to address global poverty, disease, hunger, economic growth, education, environment, and population issues. The approach is built on the 21st century realities of limited official development assistance resources from the public sector, and significant and growing resources and involvement in international development activities by the private sector and civil society. All alliances are funded and managed by the USAID mission or technical or regional bureau where they operate.

- Economic Growth, Agriculture and Trade subsumes efforts to increase agricultural production and food security, to foster human resource development including improved and expanded access to quality basic education especially for girls and women, to expand access to micro-credit, improve the business climate in developing countries through the expansion and strengthening of critical private markets, and to protect the environment.

- Global Health programs seek to reduce the rapid rate of population growth abroad through improved family planning and reproductive health, to improve child and maternal health and nutrition and reduce mortality rates, to cope with the international HIV/AIDS pandemic and reduce the spread of other infectious diseases including malaria, tuberculosis and anti-microbial resistant diseases, and to provide for vulnerable children.

- Democracy, Conflict and Humanitarian Assistance programs support the USG response to international disasters, help emerging countries cope with the transition from crisis to development, and support the growth of democracy through programs that strengthen the rule of law and respect for human rights, encourage credible and competitive political processes, promote the development of a politically active civil society, and encourage more transparent and accountable government institutions.

The Administration's request also includes funding designed to leverage the resources of non-governmental organizations, the private sector, and other donors to achieve a much greater level of impact in these areas than is possible with the limited USAID resources alone.

The request also assumes reduced restrictions on sub-account appropriations to reflect a more realistic set of activities and to more directly relate sectoral priorities to field programs.

and related activities, in addition to funds otherwise available for such purposes, \$1,433,500,000, to remain available until expended: *Provided*, That this amount shall be made available for such activities as: (1) immunization programs; (2) oral rehydration programs; (3) health, nutrition, water and sanitation programs which directly address the needs of mothers and children, and related education programs; (4) assistance for displaced and orphaned children; (5) programs for the prevention, treatment, and control of, and research on, HIV/AIDS, tuberculosis, malaria, polio and other infectious diseases; and (6) family planning/reproductive health: *Provided further*, That none of the funds appropriated under this heading may be made available for nonproject assistance, except that funds may be made available for such assistance for ongoing health programs: *Provided further*, That of the funds appropriated under this heading, not to exceed \$125,000, in addition to funds otherwise available for such purposes, may be used to monitor and provide oversight of child survival, maternal and family planning/reproductive health, and infectious disease programs: *Provided further*, That the following amounts should be allocated as follows: \$315,000,000 for child survival and maternal health; \$25,000,000 for vulnerable children; \$435,000,000 for HIV/AIDS including not less than \$15,000,000 which should be made available to support the development of microbicides as a means for combating HIV/AIDS; \$165,000,000 for other infectious diseases, of which \$65,000,000 should be made available for the prevention, treatment, and control of, and research on, tuberculosis, and of which \$65,000,000 should be made available to combat malaria; \$368,500,000 for family planning/reproductive health, including in areas where population growth threatens biodiversity or endangered species; and \$120,000,000 for UNICEF: *Provided further*, That of the funds appropriated under this heading, up to \$50,000,000 may be made available, notwithstanding any other provision of law for a United States contribution to a global fund to combat AIDS, tuberculosis, and malaria: *Provided further*, That in addition to the funds made available elsewhere under this heading and subject to the regular notification procedures of the Committees on Appropriations, the President may make available up to an additional \$50,000,000, notwithstanding any other provision of law, for a United States contribution to a global fund to combat AIDS, tuberculosis, and malaria, which may be derived from funds appropriated in title II of this Act and in title II of prior Acts making appropriations for foreign operations, export financing, and related programs: *Provided further*, That of the funds appropriated under this heading, up to \$53,000,000 may be made available for a United States contribution to The Vaccine Fund, and up to \$10,000,000 may be made available for the International AIDS Vaccine Initiative: *Provided further*, That none of the funds made available in this Act nor any unobligated balances from prior appropriations may be made available to any organization or program which, as determined by the President of the United States, supports or participates in the management of a program of coercive abortion or involuntary sterilization: *Provided further*, That none of the funds made available under this Act may be used to pay for the performance of abortion as a method of family planning or to motivate or coerce any person to practice abortions: *Provided further*, That none of the funds made available under this Act may be used to lobby for or against abortion: *Provided further*, That in order to reduce reliance on abortion in developing nations, funds shall be available only to voluntary family planning projects which offer, either directly or through referral to, or information about access to, a broad range of family planning methods and services, and that any such voluntary family planning project shall meet the following requirements: (1) service providers or referral agents in the project shall not implement or be subject to quotas, or other numerical targets, of total number of births, number of family planning acceptors, or acceptors of a particular method of family planning (this provision shall not be construed to include the use of quantitative estimates or indicators for budgeting and planning purposes); (2) the project shall not include payment of incentives, bribes, gratuities, or financial reward to: (A) an individual in exchange for becoming a family planning acceptor; or (B) program personnel for achieving a numerical target or quota of total number of births, number of family planning acceptors, or acceptors of a particular method of family planning; (3) the project shall not deny any right or benefit, including the right of access to participate in any program of general welfare or the right of access to health care, as a consequence of any individual's decision not to accept family planning services; (4) the project shall provide family planning acceptors comprehensible information on the health benefits and risks of the method chosen, including those conditions that might render the use of the method

Object Classification (in millions of dollars)

Identification code 72-1021-0-1-151	2001 actual	2002 est.	2003 est.
Direct obligations:			
21.0 Travel and transportation of persons	2	2	4
22.0 Transportation of things	3	3	7
25.1 Advisory and assistance services	22	22	45
25.2 Other services	85	85	170
26.0 Supplies and materials	8	8	15
41.0 Grants, subsidies, and contributions	1,163	1,183	2,499
99.0 Direct obligations	1,283	1,303	2,740
99.0 Reimbursable obligations	4		
41.0 Allocation Account: Grants, subsidies, and contributions	3		
99.9 Total new obligations	1,290	1,303	2,740

CHILD SURVIVAL AND DISEASE PROGRAMS FUND

[For necessary expenses to carry out the provisions of chapters 1 and 10 of part I of the Foreign Assistance Act of 1961, and title I of Public Law 106-570, for child survival, reproductive health/family planning, assistance to combat tropical and other infectious diseases,

inadvisable and those adverse side effects known to be consequent to the use of the method; and (5) the project shall ensure that experimental contraceptive drugs and devices and medical procedures are provided only in the context of a scientific study in which participants are advised of potential risks and benefits; and, not less than 60 days after the date on which the Administrator of the United States Agency for International Development determines that there has been a violation of the requirements contained in paragraph (1), (2), (3), or (5) of this proviso, or a pattern or practice of violations of the requirements contained in paragraph (4) of this proviso, the Administrator shall submit to the Committees on Appropriations of the Senate and the House of Representatives, a report containing a description of such violation and the corrective action taken by the Agency: *Provided further*, That in awarding grants for natural family planning under section 104 of the Foreign Assistance Act of 1961 no applicant shall be discriminated against because of such applicant's religious or conscientious commitment to offer only natural family planning; and, additionally, all such applicants shall comply with the requirements of the previous proviso: *Provided further*, That for purposes of this or any other Act authorizing or appropriating funds for foreign operations, export financing, and related programs, the term "motivate", as it relates to family planning assistance, shall not be construed to prohibit the provision, consistent with local law, of information or counseling about all pregnancy options: *Provided further*, That nothing in this paragraph shall be construed to alter any existing statutory prohibitions against abortion under section 104 of the Foreign Assistance Act of 1961.】 (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 72-1095-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	821	1,364
09.00 Reimbursable program	6
10.00 Total new obligations	827	1,364
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	20	50
22.00 New budget authority (gross)	857	1,314
22.10 Resources available from recoveries of prior year obligations	1
23.90 Total budgetary resources available for obligation	878	1,364
23.95 Total new obligations	-827	-1,364
24.40 Unobligated balance carried forward, end of year	50
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	963	1,434
40.35 Appropriation rescinded	-2
41.00 Transferred to other accounts	-110	-120
43.00 Appropriation (total discretionary)	851	1,314
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	8
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-2
68.90 Spending authority from offsetting collections (total discretionary)	6
70.00 Total new budget authority (gross)	857	1,314
Change in obligated balances:			
72.40 Obligated balance, start of year	1,154	1,288	1,730
73.10 Total new obligations	827	1,364
73.20 Total outlays (gross)	-694	-922	-1,018
73.45 Recoveries of prior year obligations	-1
74.00 Change in uncollected customer payments from Federal sources (unexpired)	2
74.40 Obligated balance, end of year	1,288	1,730	712
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	60	131
86.93 Outlays from discretionary balances	634	791	1,018
87.00 Total outlays (gross)	694	922	1,018
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-8

Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	2
Net budget authority and outlays:			
89.00 Budget authority	851	1,314
90.00 Outlays	686	922	1,018

Child Survival and Health Programs previously funded by this account are now included under the Development Assistance account and include activities that promote family planning/reproductive health, child survival and maternal health, including the primary causes of morbidity and mortality, polio, micronutrients and iodine deficiency as well as activities directed at vulnerable children, reducing HIV transmission and the impact of the HIV/AIDS pandemic in developing countries. Funding is also requested in Development Assistance to address the threat of other infectious diseases of major public health importance such as tuberculosis, malaria, and to increase antimicrobial resistance.

Object Classification (in millions of dollars)

Identification code 72-1095-0-1-151	2001 actual	2002 est.	2003 est.
Direct obligations:			
21.0 Travel and transportation of persons	5	7
25.2 Other services	70	100
41.0 Grants, subsidies, and contributions	746	1,257
99.0 Direct obligations	821	1,364
99.0 Reimbursable obligations	6
99.9 Total new obligations	827	1,364

DEVELOPMENT FUND FOR AFRICA

Program and Financing (in millions of dollars)

Identification code 72-1014-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	17	16
09.00 Reimbursable program	5
10.00 Total new obligations	22	16
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	29	16
22.00 New budget authority (gross)	5
22.10 Resources available from recoveries of prior year obligations	6
22.21 Unobligated balance transferred to other accounts	-3
23.90 Total budgetary resources available for obligation	37	16
23.95 Total new obligations	-22	-16
24.40 Unobligated balance carried forward, end of year	16
New budget authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	5
Change in obligated balances:			
72.40 Obligated balance, start of year	196	108	67
73.10 Total new obligations	22	16
73.20 Total outlays (gross)	-104	-57	-30
73.45 Recoveries of prior year obligations	-6
74.40 Obligated balance, end of year	108	67	37
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	5
86.93 Outlays from discretionary balances	99	57	30
87.00 Total outlays (gross)	104	57	30
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-5

General and special funds—Continued

DEVELOPMENT FUND FOR AFRICA—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 72-1014-0-1-151	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	99	57	30

For 2003 development assistance to Africa will be requested in the Development Assistance account.

Object Classification (in millions of dollars)

Identification code 72-1014-0-1-151	2001 actual	2002 est.	2003 est.
Direct obligations:			
25.2 Other services	2	1	
41.0 Grants, subsidies, and contributions	15	15	
99.0 Direct obligations	17	16	
99.0 Reimbursable obligations	5		
99.9 Total new obligations	22	16	

ASSISTANCE FOR EASTERN EUROPE AND THE BALTIC STATES

(a) For necessary expenses to carry out the provisions of the Foreign Assistance Act of 1961 and the Support for East European Democracy (SEED) Act of 1989, **[\$621,000,000] \$495,000,000**, to remain available until September 30, **[2003] 2004**, which shall be available, notwithstanding any other provision of law, for assistance and for related programs for Eastern Europe and the Baltic States: *Provided*, That not to exceed \$21,500,000 of the funds appropriated under this heading in this Act and in prior Acts making appropriations for foreign operations, export financing, and related programs, together with not to exceed \$21,500,000 of the funds appropriated under the heading "Economic Support Fund" in this Act and such prior Acts, may be made available for the cost, as defined in section 502 of the Congressional Budget Act of 1974, of modifying direct loans and guarantees for the Federal Republic of Yugoslavia: *Provided further*, That funds made available for assistance for Kosovo from funds appropriated under this heading and under the headings "Economic Support Fund" and "International Narcotics Control and Law Enforcement" should not exceed 15 percent of the total resources pledged by all donors for calendar year 2002 for assistance for Kosovo as of March 31, 2002: *Provided further*, That none of the funds made available under this Act for assistance for Kosovo shall be made available for large scale physical infrastructure reconstruction.

(b) Funds appropriated under this heading or in prior appropriations Acts that are or have been made available for an Enterprise Fund may be deposited by such Fund in interest-bearing accounts prior to the Fund's disbursement of such funds for program purposes. The Fund may retain for such program purposes any interest earned on such deposits without returning such interest to the Treasury of the United States and without further appropriation by the Congress. Funds made available for Enterprise Funds shall be expended at the minimum rate necessary to make timely payment for projects and activities.

(c) Funds appropriated under this heading shall be considered to be economic assistance under the Foreign Assistance Act of 1961 for purposes of making available the administrative authorities contained in that Act for the use of economic assistance.

(d) With regard to funds appropriated under this heading for the economic revitalization program in Bosnia and Herzegovina, and local currencies generated by such funds (including the conversion of funds appropriated under this heading into currency used by Bosnia and Herzegovina as local currency and local currency returned or repaid under such program) the Administrator of the United States Agency for International Development shall provide written approval for grants and loans prior to the obligation and expenditure of funds for such purposes, and prior to the use of funds that have been returned or repaid to any lending facility or grantee.

(e) The provisions of section 529 of this Act shall apply to funds made available under subsection (d) and to funds appropriated under this heading: *Provided*, That notwithstanding

(d) *Notwithstanding* any provision of this or any other Act, [including provisions in this subsection regarding the application of section 529 of this Act,] local currencies generated by, or converted from, funds appropriated by this Act and by previous appropriations Acts and made available for the economic revitalization program in Bosnia and made available for the economic revitalization program in Bosnia and the Baltic States to carry out the provisions of the Foreign Assistance Act of 1961 and the Support for East European Democracy (SEED) Act of 1989.

(f) The President is authorized to withhold funds appropriated under this heading made available for economic revitalization programs in Bosnia and Herzegovina, if he determines and certifies to the Committees on Appropriations that the Federation of Bosnia and Herzegovina has not complied with article III of annex 1-A of the General Framework Agreement for Peace in Bosnia and Herzegovina concerning the withdrawal of foreign forces, and that intelligence cooperation on training, investigations, and related activities between Iranian officials and Bosnian officials has not been terminated. (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 72-1010-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	567	777	495
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	197	156	
22.00 New budget authority (gross)	542	621	495
22.10 Resources available from recoveries of prior year obligations	4		
22.21 Unobligated balance transferred to other accounts	-21		
22.22 Unobligated balance transferred from other accounts	1		
23.90 Total budgetary resources available for obligation	722	777	495
23.95 Total new obligations	-567	-777	-495
24.40 Unobligated balance carried forward, end of year	156		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	676	621	495
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
41.00 Transferred to other accounts	-133		
43.00 Appropriation (total discretionary)	542	621	495
Change in obligated balances:			
72.40 Obligated balance, start of year	457	626	1,001
73.10 Total new obligations	567	777	495
73.20 Total outlays (gross)	-396	-402	-478
73.45 Recoveries of prior year obligations	-4		
74.10 Change in uncollected customer payments from Federal sources (expired)	2		
74.40 Obligated balance, end of year	626	1,001	1,018
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	98	60	53
86.93 Outlays from discretionary balances	298	342	425
87.00 Total outlays (gross)	396	402	478
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-2		
Against gross budget authority only:			
88.96 Portion of offsetting collections (cash) credited to expired accounts	2		
Net budget authority and outlays:			
89.00 Budget authority	542	621	495
90.00 Outlays	394	402	478

This account provides funds to promote country-specific strategies that build on common, region-wide strategic goals, including economic restructuring, democratic transition, and social stabilization. Authorized Support for Assistance for Eastern Europe and the Baltic States (AEEB) programs concentrate on (a) the development and strengthening of institutions and civic action necessary for sustainable democracy;

(b) the development of market economies and a strong private sector; and (c) the improvement of the basic quality of life in selected areas. This interagency program is managed by the AEEB coordinator, who is located in the State Department's Bureau of European Affairs.

AEEB assistance is now focused primarily on Southeast Europe, with the single largest program designed for Serbia. The U.S. is contributing to international efforts toward recovery from the conflict with Milosevic through building effective governance and a functioning economy in the successor states of the former Yugoslavia. While implementation of the Dayton Accords still requires significant, albeit diminishing, support in Bosnia, two wars in the region in recent years have demonstrated the need for a special effort to provide for peaceful cooperation among neighbors. The Stability Pact of Southeast Europe builds on the country programs in the Balkans to help stabilize the region as a whole and prepare for integration into the European and international mainstream.

Object Classification (in millions of dollars)

Identification code 72-1010-0-1-151	2001 actual	2002 est.	2003 est.
Direct obligations:			
21.0 Travel and transportation of persons	2	1	1
25.1 Advisory and assistance services	39	50	38
25.2 Other services	78	100	74
41.0 Grants, subsidies, and contributions	417	626	382
99.0 Direct obligations	536	777	495
41.0 Allocation Account: Grants, subsidies, and contributions	31		
99.9 Total new obligations	567	777	495

ASSISTANCE FOR THE INDEPENDENT STATES OF THE FORMER SOVIET UNION

(a) For necessary expenses to carry out the provisions of chapters 11 and 12 of part I of the Foreign Assistance Act of 1961 and the FREEDOM Support Act, for assistance for the Independent States of the former Soviet Union and for related programs, **[\$784,000,000]** **\$755,000,000**, to remain available until September 30, **[2003]** **2004**: *Provided*, That the provisions of such chapters shall apply to funds appropriated by this paragraph: *Provided further*, That **[of the]** funds made available for the Southern Caucasus region *may be used*, notwithstanding any other provision of law, **[funds may be used]** for confidence-building measures and other activities in furtherance of the peaceful resolution of the regional conflicts, especially those in the vicinity of Abkhazia and Nagorno-Karabagh: *Provided further*, That of the funds appropriated under this heading, not less than \$1,500,000 should be available only to meet the health and other assistance needs of victims of trafficking in persons: *Provided further*, That of the funds appropriated under this heading not less than \$17,500,000 shall be made available solely for the Russian Far East: *Provided further*, That, notwithstanding any other provision of law, funds appropriated under this heading in this Act or prior Acts making appropriations for foreign operations, export financing, or related programs, that are made available pursuant to the provisions of section 807 of the FREEDOM Support Act (Public Law 102-511) shall be subject to the ceiling on administrative expenses contained in section 807(a)(5) of the FREEDOM Support Act.

[(b) Of the funds appropriated under this heading, not less than \$154,000,000 should be made available for assistance for Ukraine: *Provided*, That of this amount, not less than \$30,000,000 should be made available for nuclear reactor safety initiatives: *Provided further*, That not later than 60 days after the date of enactment of this Act, and 120 days thereafter, the Department of State shall submit to the Committees on Appropriations a report on progress by the Government of Ukraine in investigating and bringing to justice individuals responsible for the murders of Ukrainian journalists.]

[(c) Of the funds appropriated under this heading, not less than \$90,000,000 shall be made available for assistance for Armenia.]

[(d) Of the funds appropriated under this heading, \$90,000,000 should be made available for assistance for Georgia.]

[(e)(1) Of the funds appropriated under this heading that are allocated for assistance for the Government of the Russian Federation,

60 percent shall be withheld from obligation until the President determines and certifies in writing to the Committees on Appropriations that the Government of the Russian Federation:

(A) has terminated implementation of arrangements to provide Iran with technical expertise, training, technology, or equipment necessary to develop a nuclear reactor, related nuclear research facilities or programs, or ballistic missile capability; and

(B) is providing full access to international non-government organizations providing humanitarian relief to refugees and internally displaced persons in Chechnya.

(2) Paragraph (1) shall not apply to—

(A) assistance to combat infectious diseases, child survival activities, or assistance for victims of trafficking in persons; and

(B) activities authorized under title V (Nonproliferation and Disarmament Programs and Activities) of the FREEDOM Support Act.]

[(f) Of the funds appropriated under this heading, not less than \$49,000,000 should be made available, in addition to funds otherwise available for such purposes, for assistance for child survival, environmental and reproductive health/family planning, and to combat HIV/AIDS, tuberculosis, and other infectious diseases, and for related activities.]

[(g)(1) (b) Section 907 of the FREEDOM Support Act shall not apply to—

[(A) (1) activities to support democracy or assistance under title V of the FREEDOM Support Act and section 1424 of Public Law 104-201 or non-proliferation assistance;

[(B) (2) any assistance provided by the Trade and Development Agency under section 661 of the Foreign Assistance Act of 1961 (22 U.S.C. 2421);

[(C) (3) any activity carried out by a member of the United States and Foreign Commercial Service while acting within his or her official capacity;

[(D) (4) any insurance, reinsurance, guarantee or other assistance provided by the Overseas Private Investment Corporation under title IV of chapter 2 of part I of the Foreign Assistance Act of 1961 (22 U.S.C. 2191 et seq.);

[(E) (5) any financing provided under the Export-Import Bank Act of 1945; or

[(F) (6) humanitarian assistance.

[(2) The President may waive section 907 of the FREEDOM Support Act if he determines and certifies to the Committees on Appropriations that to do so—

(A) is necessary to support United States efforts to counter international terrorism; or

(B) is necessary to support the operational readiness of United States Armed Forces or coalition partners to counter international terrorism; or

(C) is important to Azerbaijan's border security; and

(D) will not undermine or hamper ongoing efforts to negotiate a peaceful settlement between Armenia and Azerbaijan or be used for offensive purposes against Armenia.

(3) The authority of paragraph (2) may only be exercised through December 31, 2002.

(4) The President may extend the waiver authority provided in paragraph (2) on an annual basis on or after December 31, 2002 if he determines and certifies to the Committees on Appropriations in accordance with the provisions of paragraph (2).

(5) The Committees on Appropriations shall be consulted prior to the provision of any assistance made available pursuant to paragraph (2).

(6) Within 60 days of any exercise of the authority under paragraph (2) the President shall send a report to the appropriate congressional committees specifying in detail the following—

(A) the nature and quantity of all training and assistance provided to the Government of Azerbaijan pursuant to paragraph (2);

(B) the status of the military balance between Azerbaijan and Armenia and the impact of United States assistance on that balance; and

(C) the status of negotiations for a peaceful settlement between Armenia and Azerbaijan and the impact of United States assistance on those negotiations. **[(Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.)**

General and special funds—Continued**ASSISTANCE FOR THE INDEPENDENT STATES OF THE FORMER SOVIET UNION—Continued****Program and Financing** (in millions of dollars)

Identification code 72-1093-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	571	1,082	664
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	282	251
22.00 New budget authority (gross)	559	784	755
22.10 Resources available from recoveries of prior year obligations	14
22.21 Unobligated balance transferred to other accounts	-42
22.22 Unobligated balance transferred from other accounts	7	46
23.90 Total budgetary resources available for obligation	820	1,081	755
23.95 Total new obligations	-571	-1,082	-664
24.40 Unobligated balance carried forward, end of year	251	91
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	810	784	755
40.35 Appropriation rescinded	-2
41.00 Transferred to other accounts	-250
43.00 Appropriation (total discretionary)	559	784	755
Change in obligated balances:			
72.40 Obligated balance, start of year	570	645	1,244
73.10 Total new obligations	571	1,082	664
73.20 Total outlays (gross)	-487	-484	-609
73.40 Adjustments in expired accounts (net)	3
73.45 Recoveries of prior year obligations	-14
74.10 Change in uncollected customer payments from Federal sources (expired)	3
74.40 Obligated balance, end of year	645	1,244	1,299
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	66	69	68
86.93 Outlays from discretionary balances	421	415	541
87.00 Total outlays (gross)	487	484	609
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-3
Against gross budget authority only:			
88.96 Portion of offsetting collections (cash) credited to expired accounts	3
Net budget authority and outlays:			
89.00 Budget authority	559	784	755
90.00 Outlays	484	484	609

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 72-1093-0-1-151	2001 actual	2002 est.	2003 est.
Guaranteed loan downward reestimate subsidy budget authority:			
237001 Downward reestimate subsidy budget authority	-34
237901 Total downward reestimate subsidy budget authority	-34
Guaranteed loan downward reestimate subsidy outlays:			
238001 Downward reestimate subsidy outlays	-34
238901 Total downward reestimate subsidy outlays	-34

This account provides funds for a program of assistance to the independent states that emerged from the former Soviet Union. The request for the Independent States totals \$755 million. This request will fund continuing programs of USAID and other agencies in support of economic and democratic transitions.

Collectively, these programs for the Independent States are designed to consolidate the process of political and economic

transition to market democracies, and to help address major socioeconomic dislocations where they occur during these transitions. Funds will support economic restructuring by helping to create conditions that encourage: trade and investment and private sector growth; improved government fiscal policy, revenue collection, and financial management; a market-oriented financial sector; and a more efficient energy sector and a cleaner environment. Funds will support democratic transitions by promoting citizen participation, promoting independent media establishing the rule of law, and strengthening local governments.

Program resources requested in 2003 will be aimed at: (1) enhancing local public and private institutional capacity as part of the comprehensive strategy to expand trade and investment, develop and strengthen small and medium enterprises, mobilize capital, reduce crime and corruption, and build viable civil societies; (2) mitigating the social impact of transitions in order to broaden public support for needed reforms; and (3) addressing health problems more deliberately. Assistance to central governments will be highly selective.

Funding is requested to encourage front line states of Central Asia, as well as Armenia, Azerbaijan, and Georgia to support the anti-terrorism campaign and address regional stability issues.

Funding is also requested for programs such as the Civilian Research and Development Foundation and export control and border security enhancements in Georgia. These efforts contribute to economic and infrastructure reforms as well as to reducing risks of proliferation of weapons of mass destruction, weapons delivery systems, materials, technology and scientific and technical expertise and reduce regional tensions.

Object Classification (in millions of dollars)

Identification code 72-1093-0-1-151	2001 actual	2002 est.	2003 est.
Direct obligations:			
21.0 Travel and transportation of persons	2	2	2
25.1 Advisory and assistance services	15	30	20
25.2 Other services	45	95	60
41.0 Grants, subsidies, and contributions	462	955	582
99.0 Direct obligations	524	1,082	664
41.0 Allocation Account: Grants, subsidies, and contributions	47
99.9 Total new obligations	571	1,082	664

SUB-SAHARAN AFRICA DISASTER ASSISTANCE**Program and Financing** (in millions of dollars)

Identification code 72-1040-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	8
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	9	1
23.95 Total new obligations	-8
24.40 Unobligated balance carried forward, end of year	1
Change in obligated balances:			
72.40 Obligated balance, start of year	4	2
73.10 Total new obligations	8
73.20 Total outlays (gross)	-4	-2	-2
74.40 Obligated balance, end of year	4	2
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	4	2	2
Net budget authority and outlays:			
89.00 Budget authority
90.00 Outlays	4	2	2

In 1993, this account provided funding for timely relief, rehabilitation and reconstruction for disasters in Africa. Since 1994, these activities have been funded under the International Disaster Assistance Program.

INTERNATIONAL DISASTER ASSISTANCE

For necessary expenses for international disaster relief, rehabilitation, and reconstruction assistance pursuant to section 491 of the Foreign Assistance Act of 1961, as amended, \$235,500,000, to remain available until expended. (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "International Disaster Assistance", \$50,000,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38, for humanitarian and reconstruction activities in Afghanistan.] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 72-1035-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	326	396	236
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	34	14
22.00 New budget authority (gross)	299	286	236
22.10 Resources available from recoveries of prior year obligations	7
22.22 Unobligated balance transferred from other accounts	96
23.90 Total budgetary resources available for obligation	340	396	236
23.95 Total new obligations	-326	-396	-236
24.40 Unobligated balance carried forward, end of year	14
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	275	236	236
40.15 Appropriation (emergency)	25	50
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1
43.00 Appropriation (total discretionary)	299	286	236
Change in obligated balances:			
72.40 Obligated balance, start of year	236	354	465
73.10 Total new obligations	326	396	236
73.20 Total outlays (gross)	-201	-285	-289
73.45 Recoveries of prior year obligations	-7
74.40 Obligated balance, end of year	354	465	412
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	50	72	59
86.93 Outlays from discretionary balances	151	213	230
87.00 Total outlays (gross)	201	285	289
Net budget authority and outlays:			
89.00 Budget authority	299	286	236
90.00 Outlays	201	285	289

The International Disaster Assistance (IDA) account provides funds for the Office of U.S. Foreign Disaster Assistance (OFDA). OFDA manages relief, rehabilitation, and reconstruction assistance to foreign countries struck by natural and man-made disasters and supports disaster prevention, mitigation and preparedness. OFDA's program has been placing increasing emphasis on complex emergencies, a product of ethnic and national tensions leading to civil strife and the displacement of large numbers of people. The \$235.5 million request for OFDA for 2003 will be used to provide relief services and commodities including temporary shelter, blankets, supplementary food, potable water, medical supplies and agricultural rehabilitation aid, including seeds and hand tools.

Object Classification (in millions of dollars)

Identification code 72-1035-0-1-151	2001 actual	2002 est.	2003 est.
21.0 Travel and transportation of persons	3	2	2
25.2 Other services	45	35	35
41.0 Grants, subsidies, and contributions	278	359	199
99.9 Total new obligations	326	396	236

OPERATING EXPENSES OF THE UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT

For necessary expenses to carry out the provisions of section 667, [§549,000,000: *Provided*, That none of the funds appropriated under this heading may be made available to finance the construction (including architect and engineering services), purchase, or long term lease of offices for use by the United States Agency for International Development, unless the Administrator has identified such proposed construction (including architect and engineering services), purchase, or long term lease of offices in a report submitted to the Committees on Appropriations at least 15 days prior to the obligation of these funds for such purposes: *Provided further*, That the previous proviso shall not apply where the total cost of construction (including architect and engineering services), purchase, or long term lease of offices does not exceed \$1,000,000: *Provided further*, That of the funds appropriated under this heading, up to \$10,000,000 may remain available until expended for security-related costs] \$586,087,000. (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 72-1000-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Direct program	578	604	594
00.02 Foreign national separation fund	2	2	2
09.00 Reimbursable program	6	6	6
09.01 Reimbursable program from Working Capital Fund (72-4513)	1	1	1
09.02 Reimbursable program—Reimbursement of pension from other agencies	2
10.00 Total new obligations	587	613	605
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	57	31	13
22.00 New budget authority (gross)	551	567	592
22.10 Resources available from recoveries of prior year obligations	11	13	10
22.22 Unobligated balance transferred from other accounts	15
23.90 Total budgetary resources available for obligation	619	626	615
23.95 Total new obligations	-587	-613	-605
24.40 Unobligated balance carried forward, end of year	31	13	10
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	544	561	586
40.35 Appropriation rescinded	-1
42.00 Transferred from other accounts	2
43.00 Appropriation (total discretionary)	545	561	586
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	6	6	6
70.00 Total new budget authority (gross)	551	567	592
Change in obligated balances:			
72.40 Obligated balance, start of year	171	189	211
73.10 Total new obligations	587	613	605
73.20 Total outlays (gross)	-560	-578	-585
73.45 Recoveries of prior year obligations	-11	-13	-10
74.40 Obligated balance, end of year	189	211	221
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	411	424	443
86.93 Outlays from discretionary balances	149	154	142
87.00 Total outlays (gross)	560	578	585

General and special funds—Continued

OPERATING EXPENSES OF THE UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 72-1000-0-1-151	2001 actual	2002 est.	2003 est.
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-6	-6	-6
Net budget authority and outlays:			
89.00 Budget authority	545	561	586
90.00 Outlays	553	572	579
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	534	549	572
90.00 Outlays	542	560	565

These funds cover the appropriated dollar costs of managing U.S. Agency for International Development (USAID) programs, including salaries and other expenses of direct hire personnel as well as costs associated with physical security of Agency personnel. USAID currently maintains resident staff in more than 70 foreign countries as well as a headquarters in Washington, which supports field programs and manages regional and worldwide activities. Included in this account are funds from the Emergency Relief Fund in 2001—\$2 million and 2002—\$15 million.

Object Classification (in millions of dollars)

Identification code 72-1000-0-1-151	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	147	154	159
11.3 Other than full-time permanent	15	16	17
11.5 Other personnel compensation	12	15	16
11.8 Special personal services payments	46	47	48
11.9 Total personnel compensation	220	232	240
12.1 Civilian personnel benefits	67	70	74
13.0 Benefits for former personnel	2	4	4
21.0 Travel and transportation of persons	22	23	24
22.0 Transportation of things	9	9	9
23.1 Rental payments to GSA	32	32	32
23.2 Rental payments to others	26	31	32
23.3 Communications, utilities, and miscellaneous charges	11	12	12
24.0 Printing and reproduction	2	1	1
25.1 Advisory and assistance services	6	6	3
25.2 Other services	64	65	52
25.3 Other purchases of goods and services from Government accounts	29	30	31
25.4 Operation and maintenance of facilities	6	7	7
25.7 Operation and maintenance of equipment	25	26	27
26.0 Supplies and materials	10	10	7
31.0 Equipment	43	41	40
32.0 Land and structures	3	7	3
42.0 Insurance claims and indemnities	4	1	1
99.0 Direct obligations	581	607	599
99.0 Reimbursable obligations	6	6	6
99.9 Total new obligations	587	613	605

Personnel Summary

Identification code 72-1000-0-1-151	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	2,077	2,204	2,204

Reimbursable:	2001	2002	2003
Total compensable workyears: Full-time equivalent employment	7	6	6

CAPITAL INVESTMENT FUND

For necessary expenses for overseas construction and related costs, and for the procurement and enhancement of information technology and related capital investments, pursuant to section 667, \$95,000,000, to remain available until expended: Provided, That this amount is in addition to funds otherwise available for such purposes.

Program and Financing (in millions of dollars)

Identification code 72-0300-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Information Technology			13
00.02 New Construction from Terrorist Response			82
10.00 Total new obligations			95
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			95
23.95 Total new obligations			-95

New budget authority (gross), detail:

Discretionary:			
Appropriation:			
40.00 Appropriation—Information Technology			13
40.00 Appropriation—Construction			82
43.00 Appropriation (total discretionary)			95

Change in obligated balances:

73.10 Total new obligations			95
73.20 Total outlays (gross)			-12
74.40 Obligated balance, end of year			83

Outlays (gross), detail:

86.90 Outlays from new discretionary authority			12
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Net budget authority and outlays:

89.00 Budget authority			95
90.00 Outlays			12

This account will be established in FY 2003 for capital investments in information technology (IT)- related capital projects; \$13 million is being requested for this purpose. Funds from the CIF will only be made available after USAID has demonstrated a successful business case for its IT investments.

The account also contains funds for the construction costs of overseas facility relocations, when co-located with the Department of State; \$82 million is being requested for this purpose.

Object Classification (in millions of dollars)

Identification code 72-0300-0-1-151	2001 actual	2002 est.	2003 est.
23.3 Communications, utilities, and miscellaneous charges			2
25.2 Other services			10
26.0 Supplies and materials			3
31.0 Equipment			12
32.0 Land and structures			68
99.9 Total new obligations			95

TRANSITION INITIATIVES

For necessary expenses for international disaster rehabilitation and reconstruction assistance pursuant to section 491 of the Foreign Assistance Act of 1961, [\$50,000,000] \$55,000,000, to remain available until expended, to support transition to democracy and to long-term development of countries in crisis: Provided, That such support may include assistance to develop, strengthen, or preserve democratic institutions and processes, revitalize basic infrastructure, and foster

the peaceful resolution of conflict. *Provided further*, That the United States Agency for International Development shall submit a report to the Committees on Appropriations at least 5 days prior to beginning a new program of assistance. (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 72-1027-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	50	55	55
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		5	
22.00 New budget authority (gross)	55	50	55
23.90 Total budgetary resources available for obligation	55	55	55
23.95 Total new obligations	-50	-55	-55
24.40 Unobligated balance carried forward, end of year	5		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	50	50	55
42.00 Transferred from other accounts	5		
43.00 Appropriation (total discretionary)	55	50	55
Change in obligated balances:			
72.40 Obligated balance, start of year		31	56
73.10 Total new obligations	50	55	55
73.20 Total outlays (gross)	-19	-30	-44
74.40 Obligated balance, end of year	31	56	67
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	19	13	14
86.93 Outlays from discretionary balances		17	28
87.00 Total outlays (gross)	19	30	44
Net budget authority and outlays:			
89.00 Budget authority	55	50	55
90.00 Outlays	19	30	44

The Office of Transition Initiatives (OTI) addresses the opportunities and challenges facing conflict-prone countries and those making the transition from the initial crisis stage of a complex emergency (frequently addressed by the Office of Foreign Disaster Assistance) to the path of sustainable development. OTI collaborates closely with the Department of State, the National Security Council, the Department of Defense, and USAID's regional bureaus in the selection of high foreign policy priority countries for OTI's transition assistance and in the design and monitoring of OTI programs. OTI's efforts to advance peace and stability include support for: demobilization and re-integration of ex-combatants; community self-help programs that reduce tensions and promote grass-roots democratic media; and conflict resolution measures.

Object Classification (in millions of dollars)

Identification code 72-1027-0-1-151	2001 actual	2002 est.	2003 est.
25.2 Other services	5	5	5
41.0 Grants, subsidies, and contributions	45	50	50
99.9 Total new obligations	50	55	55

PAYMENT TO THE FOREIGN SERVICE RETIREMENT AND DISABILITY FUND

For payment to the "Foreign Service Retirement and Disability Fund", as authorized by the Foreign Service Act of 1980, **[\$44,880,000] \$45,200,000.** (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 72-1036-0-1-153	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 13.0)	44	45	45
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	44	45	45
23.95 Total new obligations	-44	-45	-45
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	44	45	45
Change in obligated balances:			
73.10 Total new obligations	44	45	45
73.20 Total outlays (gross)	-44	-45	-45
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	44	45	45
Net budget authority and outlays:			
89.00 Budget authority	44	45	45
90.00 Outlays	44	45	45

The 2003 request will finance the 2003 installment of the unfunded liability created by the addition of U.S. Agency for International Development (USAID) Foreign Service personnel to the foreign service retirement system and by subsequent salary increases and changes in legislation affecting benefits.

OPERATING EXPENSES OF THE UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT OFFICE OF INSPECTOR GENERAL

For necessary expenses to carry out the provisions of section 667, **[\$31,500,000] \$34,046,000**, to remain available until September 30, **[2003] 2004**, which sum shall be available for the Office of the Inspector General of the United States Agency for International Development. (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 72-1007-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	32	33	36
10.00 Total new obligations	32	33	36
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	5	3	3
22.00 New budget authority (gross)	28	33	34
22.10 Resources available from recoveries of prior year obligations	2		
23.90 Total budgetary resources available for obligation	35	36	36
23.95 Total new obligations	-32	-33	-36
23.98 Unobligated balance expiring or withdrawn	-1		
24.40 Unobligated balance carried forward, end of year	3	3	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	28	33	34
Change in obligated balances:			
72.40 Obligated balance, start of year	12	10	7
73.10 Total new obligations	32	33	36
73.20 Total outlays (gross)	-32	-36	-37
73.45 Recoveries of prior year obligations	-2		
74.40 Obligated balance, end of year	10	7	6
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	22	26	27
86.93 Outlays from discretionary balances	10	10	10
87.00 Total outlays (gross)	32	36	37

General and special funds—Continued

**OPERATING EXPENSES OF THE UNITED STATES AGENCY FOR
INTERNATIONAL DEVELOPMENT OFFICE OF INSPECTOR GENERAL—
Continued**

Program and Financing (in millions of dollars)—Continued

Identification code 72-1007-0-1-151	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	28	33	34
90.00 Outlays	32	36	37

**Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in
millions of dollars)**

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	27	32	33
90.00 Outlays	31	35	36

The funds cover the costs of operations of the Office of the Inspector General, Agency for International Development, and include salaries, expenses, and support costs of the Inspector General's personnel.

Object Classification (in millions of dollars)

Identification code 72-1007-0-1-151	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	13	13	14
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	15	15	16
12.1 Civilian personnel benefits	5	5	6
21.0 Travel and transportation of persons	1	2	2
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	2	2	2
23.2 Rental payments to others	2	2	2
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	1	1	1
25.3 Other purchases of goods and services from Govern- ment accounts	4	4	6
31.0 Equipment	1	1	1
99.9 Total new obligations	32	33	36

Personnel Summary

Identification code 72-1007-0-1-151	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	171	170	174

Public enterprise funds:

PROPERTY MANAGEMENT FUND

Program and Financing (in millions of dollars)

Identification code 72-4175-0-3-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Reimbursable program		1	
10.00 Total new obligations (object class 32.0)		1	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	3	3
22.00 New budget authority (gross)		1	1
23.90 Total budgetary resources available for obligation	3	4	4
23.95 Total new obligations		-1	
24.40 Unobligated balance carried forward, end of year	3	3	3
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)		1	1

Change in obligated balances:			
73.10 Total new obligations		1	
73.20 Total outlays (gross)		-1	-1

Outlays (gross), detail:			
86.97 Outlays from new mandatory authority		1	1
86.98 Outlays from mandatory balances		1	1
87.00 Total outlays (gross)		1	1

Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources		-1	-1

Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

This Fund, as authorized by Public Law 101-513, is maintained for the deposit of proceeds from the sale of overseas property acquired by the U.S. Agency for International Development (USAID). The proceeds are available to construct or otherwise acquire outside the United States: (1) essential living quarters, office space, and necessary supporting facilities for use of USAID personnel; and, (2) schools (including dormitories and boarding facilities) and hospitals for use of USAID personnel, U.S. Government personnel, and their dependents. In addition, the proceeds may be used to equip, staff, operate, and maintain such schools and hospitals.

Intragovernmental funds:

ADVANCE ACQUISITION OF PROPERTY—REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 72-4590-0-4-151	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year		1	
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

This revolving fund finances the acquisition and rehabilitation of U.S. Government-owned excess property, at minimal cost, for purchase by friendly countries and eligible organizations, for use in conjunction with economic development programs. Excess property, most of it obtained from the Department of Defense, includes heavy construction equipment, vehicles, heavy machinery, electrical generating equipment, and medical equipment and supplies. The program is self-financed from service fees and reimbursements by equipment purchasers ultimately funded from development assistance appropriations to the U.S. Agency for International Development.

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

Identification code 72-4513-0-4-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Reimbursable program	1	1	1
09.09 Reimbursable program—subtotal line	1	1	1
10.00 Total new obligations (object class 99.5)	1	1	1
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	1	1	1
23.95 Total new obligations	-1	-1	-1

New budget authority (gross), detail:			
Discretionary:			
68.00	Spending authority from offsetting collections (gross): Offsetting collections (cash)	1	1
Change in obligated balances:			
72.40	Obligated balance, start of year	1	1
73.10	Total new obligations	1	1
73.20	Total outlays (gross)	-1	-1
74.40	Obligated balance, end of year	1	1
Outlays (gross), detail:			
86.90	Outlays from new discretionary authority	1	1
86.93	Outlays from discretionary balances	1	1
87.00	Total outlays (gross)	1	1
Offsets:			
Against gross budget authority and outlays:			
88.00	Offsetting collections (cash) from: Federal sources	-1	-1
Net budget authority and outlays:			
89.00	Budget authority		
90.00	Outlays	-1	

The fund, authorized by section 635(m) of the Foreign Assistance Act of 1961, finances on a reimbursable basis the costs associated with providing administrative support to other agencies under the International Cooperative Administrative Support Services (ICASS) program overseas. Under ICASS, each agency pays a proportional share of the cost of those services they have agreed to receive. Working through inter-agency councils at post, all agencies have a say in determining which services the USAID mission will provide, defining service standards, reviewing costs, and determining funding levels. The fund is also used for deposit of rebates from the use of Federal credit cards, the deposits then being made available for start-up costs at new ICASS service provider missions and for technical support to missions currently providing services.

ASSISTANCE FOR THE INDEPENDENT STATES OF THE FORMER SOVIET UNION: UKRAINE EXPORT CREDIT INSURANCE FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 72-4345-0-3-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
08.02	Downward Re-estimate paid to receipt account	25	
08.04	Interest on downward reestimates	9	
10.00	Total new obligations	34	
Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year	32	34
22.00	New financing authority (gross)	2	
23.90	Total budgetary resources available for obligation	34	34
23.95	Total new obligations		-34
24.40	Unobligated balance carried forward, end of year	34	
New financing authority (gross), detail:			
Discretionary:			
68.00	Spending authority from offsetting collections (gross): Offsetting collections (cash)	2	
Change in obligated balances:			
72.40	Obligated balance, start of year		34
73.10	Total new obligations		34
74.40	Obligated balance, end of year		34
Offsets:			
Against gross financing authority and financing disbursements:			
88.25	Offsetting collections (cash) from: Interest on uninvested funds	-2	
Net financing authority and financing disbursements:			
89.00	Financing authority		

90.00	Financing disbursements	-2	
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As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 72-4345-0-3-151	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101	Federal assets: Fund balances with Treasury	32	34	
1999	Total assets	32	34	
LIABILITIES:				
2204	Non-Federal liabilities: Liabilities for loan guarantees	32	34	
2999	Total liabilities	32	34	
4999	Total liabilities and net position	32	34	

DEBT REDUCTION, FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 72-4137-0-3-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01	Payment to liquidating accounts	47	20
00.04	Interest on Treasury borrowing-EAI debt	8	4
10.00	Total new obligations	55	24
Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year	111	67
22.00	New financing authority (gross)	63	21
22.40	Capital transfer to general fund	-52	-64
23.90	Total budgetary resources available for obligation	122	24
23.95	Total new obligations	-55	-24
24.40	Unobligated balance carried forward, end of year	67	
New financing authority (gross), detail:			
Spending authority from offsetting collections:			
Discretionary:			
68.00	Offsetting collections (cash)	63	76
68.47	Portion applied to repay debt		-55
68.90	Spending authority from offsetting collections (total discretionary)	63	21
Change in obligated balances:			
73.10	Total new obligations	55	24
73.20	Total financing disbursements (gross)	-55	-24
87.00	Total financing disbursements (gross)	55	24
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00	Federal sources	-3	-17
88.25	Interest on uninvested funds	-2	-2
88.40	Non-federal sources (principal)	-58	-57
88.90	Total, offsetting collections (cash)	-63	-76
Net financing authority and financing disbursements:			
89.00	Financing authority		-55
90.00	Financing disbursements	-8	-52

Status of Direct Loans (in millions of dollars)

Identification code 72-4137-0-3-151	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	165	175

Intragovernmental funds—Continued**DEBT REDUCTION, FINANCING ACCOUNT—Continued****Status of Direct Loans (in millions of dollars)—Continued**

Identification code 72-4137-0-3-151	2001 actual	2002 est.	2003 est.
1233 Disbursements: Purchase of loans assets from a liquidating account	68	1
1251 Repayments: Repayments and prepayments	-58	-57	-15
1290 Outstanding, end of year	175	119	104

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from restructuring loans administered by the U.S. Agency for International Development.

Balance Sheet (in millions of dollars)

Identification code 72-4137-0-3-151	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	111	67
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	165	175	119	104
1405 Allowance for subsidy cost (-)	-162	-179	-111	-104
1499 Net present value of assets related to direct loans	3	-4	8
1999 Total assets	114	63	8
LIABILITIES:				
Federal liabilities:				
Debt:				
2103 Debt (EAI)	91	48
2103 Debt (Debt Reduction)	23	15	8
2999 Total liabilities	114	63	8
4999 Total liabilities and net position	114	63	8

LOAN GUARANTEES TO ISRAEL FINANCING ACCOUNT**Program and Financing (in millions of dollars)**

Identification code 72-4119-0-3-151	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	587	626	668
22.00 New financing authority (gross)	39	42	45
23.90 Total budgetary resources available for obligation	626	668	713
24.40 Unobligated balance carried forward, end of year	626	668	713
New financing authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	39	42	45
Offsets:			
Against gross financing authority and financing disbursements:			
88.25 Offsetting collections (cash) from: Interest on uninvested funds	-39	-42	-45
Net financing authority and financing disbursements:			
89.00 Financing authority
90.00 Financing disbursements	-39	-42	-45

Status of Guaranteed Loans (in millions of dollars)

Identification code 72-4119-0-3-151	2001 actual	2002 est.	2003 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	9,226	9,226	9,206
2251 Repayments and prepayments	-20	-157
2290 Outstanding, end of year	9,226	9,206	9,049

Memorandum:

2299 Guaranteed amount of guaranteed loans outstanding, end of year	9,226	9,206	9,049
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As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 72-4119-0-3-151	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	587	626	668	713
1999 Total assets	587	626	668	713
LIABILITIES:				
2204 Non-Federal liabilities: Liabilities for loan guarantees	587	626	668	713
2999 Total liabilities	587	626	668	713
4999 Total liabilities and net position	587	626	668	713

URBAN AND ENVIRONMENTAL CREDIT PROGRAM ACCOUNT**Program and Financing (in millions of dollars)**

Identification code 72-0401-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.07 Reestimates of loan guarantee subsidy	2
10.00 Total new obligations (object class 41.0)	2
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	2
23.95 Total new obligations	-2
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	2
Change in obligated balances:			
72.40 Obligated balance, start of year	6	5	4
73.10 Total new obligations	2
73.20 Total outlays (gross)	-1	-3	-2
73.40 Adjustments in expired accounts (net)	-1
74.40 Obligated balance, end of year	5	4	2
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	1	1	2
86.97 Outlays from new mandatory authority	2
87.00 Total outlays (gross)	1	3	2
Net budget authority and outlays:			
89.00 Budget authority	2
90.00 Outlays	1	3	2

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 72-0401-0-1-151	2001 actual	2002 est.	2003 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 UE
215901 Total loan guarantee levels
Guaranteed loan subsidy (in percent):			
232001 UE	0.00	0.00	0.00
232901 Weighted average subsidy rate	0.00	0.00	0.00
Guaranteed loan subsidy budget authority:			
233001 UE

233901 Total subsidy budget authority			
Guaranteed loan subsidy outlays:			
234001 UE	1	2	
234901 Total subsidy outlays	1	2	
Guaranteed loan upward reestimate subsidy budget authority:			
235001 UE	2		
235901 Total upward reestimate budget authority	2		
Guaranteed loan upward reestimate subsidy outlays:			
236001 UE	2		
236901 Total upward reestimate subsidy outlays	2		
Guaranteed loan downward reestimate subsidy budget authority:			
237001 UE	-23		
237901 Total downward reestimate subsidy budget authority	-23		
Guaranteed loan downward reestimate subsidy outlays:			
238001 UE	-23		
238901 Total downward reestimate subsidy outlays	-23		
Administrative expense data:			
351001 Budget authority			
358001 Outlays from balances	1	1	
359001 Outlays from new authority			

90.00 Financing disbursements	-2	37	18
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Status of Guaranteed Loans (in millions of dollars)

Identification code 72-4344-0-3-151	2001 actual	2002 est.	2003 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	545	514	502
2231 Disbursements of new guaranteed loans		22	17
2251 Repayments and prepayments	-27	-30	-30
2263 Adjustments: Terminations for default that result in claim payments	-4	-4	-5
2290 Outstanding, end of year	514	502	484
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	514	502	484

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees under the United States Agency for International Development (USAID) Urban and Environmental Credit Program committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 72-4344-0-3-151	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	80	90	97	97
Investments in US securities:				
1106 Receivables, net	5			
1999 Total assets	85	90	97	97
LIABILITIES:				
2204 Non-Federal liabilities: Liabilities for loan guarantees	85	90	97	97
2999 Total liabilities	85	90	97	97
4999 Total liabilities and net position	85	90	97	97

HOUSING AND OTHER CREDIT GUARANTY PROGRAMS LIQUIDATING ACCOUNT**Program and Financing** (in millions of dollars)

Identification code 72-4344-0-3-151	2001 actual	2002 est.	2003 est.
Program and Financing (in millions of dollars)			
Obligations by program activity:			
00.01 Default Claims	11	24	28
08.02 Downward reestimate paid to receipt account		15	
08.04 Interest on downward reestimates		8	
08.91 Direct Program by Activities—Reestimate Subtotal		23	
10.00 Total new obligations	11	47	28
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	69	65	41
22.00 New financing authority (gross)	7	10	10
22.10 Resources available from recoveries of prior year obligations		14	
23.90 Total budgetary resources available for obligation	76	89	51
23.95 Total new obligations	-11	-47	-28
24.40 Unobligated balance carried forward, end of year	65	41	22
New financing authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections: (cash)	7	8	10
Mandatory:			
69.00 Offsetting collections (cash)		2	
70.00 Total new financing authority (gross)	7	10	10
Change in obligated balances:			
72.40 Obligated balance, start of year	8	14	
73.10 Total new obligations	11	47	28
73.20 Total financing disbursements (gross)	-5	-47	-28
73.45 Recoveries of prior year obligations		-14	
74.40 Obligated balance, end of year	14		
87.00 Total financing disbursements (gross)	5	47	28
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Federal sources		-3	-2
88.25 Interest on uninvested funds	-5	-6	-6
88.40 Non-Federal sources	-2	-1	-2
88.90 Total, offsetting collections (cash)	-7	-10	-10
Net financing authority and financing disbursements:			
89.00 Financing authority			

Identification code 72-4340-0-3-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Claims payments	58	40	42
10.00 Total new obligations (object class 42.0)	58	40	42
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	12	83	
22.00 New budget authority (gross)	129	71	62
22.40 Capital transfer to general fund		-114	-20
23.90 Total budgetary resources available for obligation	141	40	42
23.95 Total new obligations	-58	-40	-42
24.40 Unobligated balance carried forward, end of year	83		
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	39	30	30
69.00 Offsetting collections (cash)	92	41	32
69.10 Change in uncollected customer payments from Federal sources (unexpired)	-2		
69.90 Spending authority from offsetting collections (total mandatory)	90	41	32
70.00 Total new budget authority (gross)	129	71	62
Change in obligated balances:			
72.40 Obligated balance, start of year	-2	17	17
73.10 Total new obligations	58	40	42

Intragovernmental funds—Continued

HOUSING AND OTHER CREDIT GUARANTY PROGRAMS LIQUIDATING ACCOUNT—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 72-4340-0-3-151	2001 actual	2002 est.	2003 est.
73.20 Total outlays (gross)	-41	-40	-42
74.00 Change in uncollected customer payments from Federal sources (unexpired)	2		
74.40 Obligated balance, end of year	17	17	17
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	41	40	42
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources (debt reduction)	-2	-14	-9
Non-Federal sources:			
88.40 Receipts of principal resulting from rescheduled claims	-90	-9	-7
88.40 Recoveries of claims receivable		-2	-2
88.40 Fees		-5	-5
88.40 Interest & late pmt. collection		-11	-9
88.90 Total, offsetting collections (cash)	-92	-41	-32
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	2		
Net budget authority and outlays:			
89.00 Budget authority	39	30	30
90.00 Outlays	-51	-1	10

Status of Guaranteed Loans (in millions of dollars)

Identification code 72-4340-0-3-151	2001 actual	2002 est.	2003 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	1,736	1,596	1,500
2251 Repayments and prepayments	-100	-56	-54
2261 Adjustments: Terminations for default that result in loans receivable	-40	-40	-42
2290 Outstanding, end of year	1,596	1,500	1,404
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	1,596	1,500	1,404
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	508	435	450
2331 Disbursements for guaranteed loan claims	40	40	42
Repayments of loans receivable:			
2351 Repayments of loans receivable	-66	-11	-9
2351 Repayments of loans receivable (debt reduction)	-47	-14	-9
2390 Outstanding, end of year	435	450	474

As required by the Federal Credit Reform Act of 1990, this account records, for the Urban and Environmental Credit Program, all cash flows to and from the Government resulting from direct loans obligated and loan guarantees committed prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond is recorded in corresponding program and financing accounts.

Statement of Operations (in millions of dollars)

Identification code 72-4340-0-3-151	2000 actual	2001 actual	2002 est.	2003 est.
0111 Revenue	21	18	11	9
0112 Expense	-6			
0115 Net income or loss (-)	15	18	11	9
0195 Total income or loss (-)	15	18	11	9
0199 Total comprehensive income	15	18	11	9

Balance Sheet (in millions of dollars)

Identification code 72-4340-0-3-151	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1206 Non-Federal assets: Receivables, net	2	2	2	2
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1701 Defaulted guaranteed loans, gross	508	433	450	474
1702 Interest receivable	30	104	110	100
1703 Allowance for estimated uncollectible loans and interest (-)	-226	-176	-170	-150
1704 Defaulted guaranteed loans and interest receivable, net	312	361	390	424
1799 Value of assets related to loan guarantees	312	361	390	424
1999 Total assets	314	363	392	426
LIABILITIES:				
2104 Federal liabilities: Resources payable to Treasury	-20	-76	-8	15
2204 Non-Federal liabilities: Liabilities for loan guarantees	334	439	400	411
2999 Total liabilities	314	363	392	426
4999 Total liabilities and net position	314	363	392	426

MICRO AND SMALL ENTERPRISE DEVELOPMENT PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 72-0400-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Guaranty Loan Subsidy	2		
10.00 Total new obligations (object class 41.0)	2		
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	1	
22.00 New budget authority (gross)	2		
23.90 Total budgetary resources available for obligation	4	1	
23.95 Total new obligations	-2		
24.40 Unobligated balance carried forward, end of year	1		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	2		
Change in obligated balances:			
72.40 Obligated balance, start of year	6	7	5
73.10 Total new obligations	2		
73.20 Total outlays (gross)	-1	-2	-3
74.40 Obligated balance, end of year	7	5	2
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	1	2	3
Net budget authority and outlays:			
89.00 Budget authority	2		
90.00 Outlays	1	2	3

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 72-0400-0-1-151	2001 actual	2002 est.	2003 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 MSED Loan Guarantee	36		
215901 Total loan guarantee levels	36		
Guaranteed loan subsidy (in percent):			
232001 Loan guarantee levels	5.51	3.93	0.00
232901 Weighted average subsidy rate	5.51	3.93	0.00
Guaranteed loan subsidy budget authority:			
233001 MSED Loan Guarantee	2		

233901 Total subsidy budget authority	2		
Guaranteed loan subsidy outlays:			
234001 MSED Loan Guarantee	1	2	3
234901 Total subsidy outlays	1	2	3
Guaranteed loan downward reestimate subsidy budget authority:			
237001 Loan guarantee levels		-1	
237901 Total downward reestimate subsidy budget authority		-1	
Guaranteed loan downward reestimate subsidy outlays:			
238001 Loan guarantee levels		-1	
238901 Total downward reestimate subsidy outlays		-1	

**MICROENTERPRISE AND SMALL ENTERPRISE DEVELOPMENT CREDIT
DIRECT LOAN FINANCING ACCOUNT**

Program and Financing (in millions of dollars)

Identification code 72-4342-0-3-151	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	
22.00 New financing authority (gross)	1		
22.60 Portion applied to repay debt		-1	
23.90 Total budgetary resources available for obligation	2		
24.40 Unobligated balance carried forward, end of year	1		
New financing authority (gross), detail:			
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	1	1	
68.47 Portion applied to repay debt		-1	
68.90 Spending authority from offsetting collections (total discretionary)	1		
Offsets:			
Against gross financing authority and financing disbursements:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-1	-1	
Net financing authority and financing disbursements:			
89.00 Financing authority		-1	
90.00 Financing disbursements		-1	

Status of Direct Loans (in millions of dollars)

Identification code 72-4342-0-3-151	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	2	1	
1251 Repayments: Repayments and prepayments	-1	-1	
1290 Outstanding, end of year	1		

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated under the United States Agency for International Development (USAID) Microenterprise and Small Enterprise Development Credit Direct Loan program in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 72-4342-0-3-151	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	2	1		
1499 Net present value of assets related to direct loans	2	1		
1999 Total assets	2	1		

LIABILITIES:				
2201 Non-Federal liabilities: Accounts payable	2	1		
2999 Total liabilities	2	1		
4999 Total liabilities and net position	2	1		

**MICROENTERPRISE AND SMALL ENTERPRISE DEVELOPMENT
GUARANTEED LOAN FINANCING ACCOUNT**

Program and Financing (in millions of dollars)

Identification code 72-4343-0-3-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Default claims		1	1
08.02 Payment of downward reestimate to receipt account		1	
10.00 Total new obligations		2	1
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	1	1
22.00 New financing authority (gross)	1	3	4
23.90 Total budgetary resources available for obligation	3	4	5
23.95 Total new obligations		-2	-1
24.40 Unobligated balance carried forward, end of year	1	1	2
New financing authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	1	3	4
Change in obligated balances:			
73.10 Total new obligations		2	1
73.20 Total financing disbursements (gross)		-2	-1
87.00 Total financing disbursements (gross)		2	1
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Federal sources	-1	-2	-3
88.40 Non-Federal sources		-1	-1
88.90 Total, offsetting collections (cash)	-1	-3	-4
Net financing authority and financing disbursements:			
89.00 Financing authority			
90.00 Financing disbursements		-1	-3

Status of Guaranteed Loans (in millions of dollars)

Identification code 72-4343-0-3-151	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on commitments:			
2121 Limitation available from carry-forward	20	31	
2131 Guaranteed loan commitments exempt from limitation	27		
2143 Uncommitted limitation carried forward	-11		
2150 Total guaranteed loan commitments	36	31	
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	64	36	40
2231 Disbursements of new guaranteed loans	5	24	22
2251 Repayments and prepayments	-33	-19	-11
2263 Adjustments: Terminations for default that result in claim payments		-1	-1
2290 Outstanding, end of year	36	40	50
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	18	20	25

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees under the United States Agency for International Development (USAID) Microenterprise and Small Enterprise Development Guar-

Intragovernmental funds—ContinuedMICROENTERPRISE AND SMALL ENTERPRISE DEVELOPMENT
GUARANTEED LOAN FINANCING ACCOUNT—Continued

antee program committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 72-4343-0-3-151	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	4	3	4	6
1999 Total assets	4	3	4	6
LIABILITIES:				
2204 Non-Federal liabilities: Liabilities for loan guarantees	4	3	4	6
2999 Total liabilities	4	3	4	6
4999 Total liabilities and net position	4	3	4	6

PRIVATE SECTOR REVOLVING FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 72-4341-0-3-151	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	2	
22.40 Capital transfer to general fund		-2	
23.90 Total budgetary resources available for obligation	1		
24.40 Unobligated balance carried forward, end of year	2		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

Status of Direct Loans (in millions of dollars)

Identification code 72-4341-0-3-151	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	1	1	1
1290 Outstanding, end of year	1	1	1

As required by the Federal Credit Reform Act of 1990, this account records all cash flows to and from the Government resulting from direct loans obligated and loan guarantees committed under the Private Sector Loan Fund prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond is recorded in corresponding program and financing accounts.

DEVELOPMENT CREDIT AUTHORITY
(INCLUDING TRANSFER OF FUNDS)

For the cost of direct loans and loan guarantees, up to \$18,500,000, as authorized by sections 108 and 635 of the Foreign Assistance Act of 1961: *Provided*, That such funds shall be derived by transfer from funds appropriated by this Act to carry out part I of the Foreign Assistance Act of 1961, and under the heading "Assistance for Eastern Europe and the Baltic States": *Provided further*, That such funds shall be made available only for micro and small enterprise programs, urban programs, and other programs which further the purposes of part I of the Act: *Provided further*, That during fiscal year 2002, commitments to guarantee loans shall not exceed \$267,500,000: *Provided further*,

For the cost of direct loans and loan guarantees, as authorized by sections 108 and 635 of the Foreign Assistance Act of 1961, funds may be derived by transfer from funds appropriated by this Act to

carry out part I of said Act and under the heading, "Assistance for Eastern Europe and the Baltic States": Provided, That such funds, when added to the funds transferred pursuant to the authority contained under this heading in P.L. 107-115, shall not exceed \$18,500,000, all of which shall remain available until September 30, 2007: Provided, That such costs shall be as defined in section 502 of the Congressional Budget Act of 1974: Provided further, That the provisions of section 107A(d) (relating to general provisions applicable to the Development Credit Authority) of the Foreign Assistance Act of 1961, as contained in section 306 of H.R. 1486 as reported by the House Committee on International Relations on May 9, 1997, shall be applicable to direct loans and loan guarantees provided under this heading. In addition, for administrative expenses to carry out credit programs administered by the United States Agency for International Development, [\$7,500,000 all of] \$7,591,000 to remain available until September 30, 2003, which may be transferred to and merged with the appropriation for Operating Expenses of the United States Agency for International Development: Provided further, That funds appropriated under this heading shall remain available until September 30, 2007. (Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002, additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 72-1264-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Guaranteed loan subsidy	2	17	3
00.09 Administrative Expenses	4	8	8
10.00 Total new obligations	6	25	11
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	4	5
22.00 New budget authority (gross)	7	26	8
22.22 Unobligated balance transferred from other accounts	1		
23.90 Total budgetary resources available for obligation	10	30	13
23.95 Total new obligations	-6	-25	-11
24.40 Unobligated balance carried forward, end of year	4	5	2
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	6	8	8
42.00 Transferred from other accounts	1	18	
43.00 Appropriation (total discretionary)	7	26	8
Change in obligated balances:			
72.40 Obligated balance, start of year	4	7	16
73.10 Total new obligations	6	25	11
73.20 Total outlays (gross)	-3	-16	-18
74.40 Obligated balance, end of year	7	16	9
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2	11	7
86.93 Outlays from discretionary balances	1	4	11
87.00 Total outlays (gross)	3	16	18
Net budget authority and outlays:			
89.00 Budget authority	7	26	8
90.00 Outlays	3	16	18

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 72-1264-0-1-151	2001 actual	2002 est.	2003 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 DCA	35	202	
215901 Total loan guarantee levels	35	202	
Guaranteed loan subsidy (in percent):			
232001 DCA	2.72	6.42	6.44
232901 Weighted average subsidy rate	2.72	6.42	6.44
Guaranteed loan subsidy budget authority:			
233001 DCA	1	13	
233901 Total subsidy budget authority	1	13	

Guaranteed loan subsidy outlays:			
234001 DCA	1	6	10
234901 Total subsidy outlays	1	6	10
Guaranteed loan downward reestimate subsidy budget authority:			
237001 DCA		-1	
237901 Total downward reestimate subsidy budget authority		-1	
Guaranteed loan downward reestimate subsidy outlays:			
238001 DCA		-1	
238901 Total downward reestimate subsidy outlays		-1	
Administrative expense data:			
351001 Budget authority	2	8	8
358001 Outlays from balances	1	3	1
359001 Outlays from new authority	1	7	7

In 2002, the Administration merged USAID's two credit programs as one consolidated Development Credit authority. This single program, which includes a consolidated credit administrative budget, allows USAID to use credit as a flexible development tool for a wide range of development purposes.

The Development Credit Authority (DCA) permits the Agency to substitute credit assistance (loans and loan guarantees) for grant assistance to achieve any of the economic development purposes authorized by the Congress in Part I of the Foreign Assistance Act of 1961, as amended. Subject to limits in annual appropriations acts and the normal congressional notification processes, disciplined credit assistance under DCA is principally intended for use where a development activity is financially viable, where borrowers are creditworthy, and where there is true risk sharing with private lenders. DCA augments grant assistance by mobilizing private capital in developing countries for sustainable development projects. In 2003, the Administration plans to use remaining authority made available in 2002 and will require no additional transfer authority in the 2003 appropriation.

Object Classification (in millions of dollars)

Identification code 72-1264-0-1-151			
11.1 Personnel compensation: Full-time permanent	2	3	3
21.0 Travel and transportation of persons		1	1
25.1 Advisory and assistance services	1	2	2
25.3 Other purchases of goods and services from Government accounts	1	2	2
41.0 Grants, subsidies, and contributions	2	17	3
99.9 Total new obligations	6	25	11

Personnel Summary

Identification code 72-1264-0-1-151			
1001 Total compensable workyears: Full-time equivalent employment	19	26	26

DEVELOPMENT CREDIT AUTHORITY GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 72-4266-0-3-151			
Obligations by program activity:			
00.01 Default Claims		1	1
08.02 Downward reestimate		1	
10.00 Total new obligations		2	1
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		1	6
22.00 New financing authority (gross)	1	7	12
23.90 Total budgetary resources available for obligation	1	8	18
23.95 Total new obligations		-2	-1

24.40 Unobligated balance carried forward, end of year	1	6	17
New financing authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	1	7	12
Change in obligated balances:			
73.10 Total new obligations		2	1
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Federal sources: Subsidy payments from program account	-1	-6	-10
88.40 Non-Federal sources		-1	-2
88.90 Total, offsetting collections (cash)	-1	-7	-12
Net financing authority and financing disbursements:			
89.00 Financing authority			
90.00 Financing disbursements	-1	-7	-12

Status of Guaranteed Loans (in millions of dollars)

Identification code 72-4266-0-3-151			
	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on commitments:			
2121 Limitation available from carry-forward	257	479	510
2131 Guaranteed loan commitments exempt from limitation	257	296	
2143 Uncommitted limitation carried forward	-479	-510	-401
2150 Total guaranteed loan commitments	35	265	109
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	6	39	155
2231 Disbursements of new guaranteed loans	33	136	142
2251 Repayments and prepayments		-19	-20
2263 Adjustments: Terminations for default that result in claim payments		-1	-1
2290 Outstanding, end of year	39	155	276
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	20	87	156

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 72-4266-0-3-151			
	2000 actual	2001 actual	2002 est.
ASSETS:			
1101 Federal assets: Fund balances with Treasury	4	1	7
1999 Total assets	4	1	7
LIABILITIES:			
2204 Non-Federal liabilities: Liabilities for loan guarantees	4	1	7
2999 Total liabilities	4	1	7
4999 Total liabilities and net position	4	1	7

ECONOMIC ASSISTANCE LOANS—LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 72-4103-0-3-151			
	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program Activity		2	

Intragovernmental funds—Continued**ECONOMIC ASSISTANCE LOANS—LIQUIDATING ACCOUNT—Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 72-4103-0-3-151	2001 actual	2002 est.	2003 est.
10.00 Total new obligations (object class 99.5)		2	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	169	97	
22.00 New budget authority (gross)	837		
22.40 Capital transfer to general fund	-909	-95	
23.90 Total budgetary resources available for obligation	97	2	
23.95 Total new obligations		-2	
24.40 Unobligated balance carried forward, end of year	97		
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	837	724	668
69.27 Capital transfer to general fund		-724	-668
69.90 Spending authority from offsetting collections (total mandatory)	837		
Change in obligated balances:			
72.40 Obligated balance, start of year		-2	
73.10 Total new obligations		2	
74.40 Obligated balance, end of year	-2		
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources		-6	
Non-Federal sources:			
88.40 Non-Federal sources-Principal	-613	-520	-487
88.40 Non-Federal sources-Interest	-224	-198	-181
88.90 Total, offsetting collections (cash)	-837	-724	-668
Net budget authority and outlays:			
89.00 Budget authority		-724	-668
90.00 Outlays	-837	-724	-668

Status of Direct Loans (in millions of dollars)

Identification code 72-4103-0-3-151	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	9,986	9,373	8,847
1251 Repayments: Repayments and prepayments	-613	-526	-487
1290 Outstanding, end of year	9,373	8,847	8,360

Balance Sheet (in millions of dollars)

Identification code 72-4103-0-3-151	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross	9,960	9,373	8,847	8,360
1602 Interest receivable	344	563	538	517
1603 Allowance for estimated uncollectible loans and interest (-)	-4,783	-3,996	-4,108	-3,940
1699 Value of assets related to direct loans	5,521	5,940	5,277	4,937
1999 Total assets	5,521	5,940	5,277	4,937
LIABILITIES:				
2104 Federal liabilities: Resources payable to Treasury	5,521	5,940	5,277	4,937
2999 Total liabilities	5,521	5,940	5,277	4,937
4999 Total liabilities and net position	5,521	5,940	5,277	4,937

The Economic Assistance Loans liquidating account consolidates liquidating credit activity from three previous accounts: Economic Support Fund, Functional Development Assistance

Program, and the Development Loans Revolving Fund. This was done to simplify presentation. As required by the Federal Credit Reform Act of 1990, this account records all cash flows to and from the Government resulting from direct loans prior to 1992. This account is shown on a cash basis.

Trust Funds**FOREIGN SERVICE NATIONAL SEPARATION LIABILITY TRUST FUND****Program and Financing (in millions of dollars)**

Identification code 72-8342-0-7-602	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program Activity	2	1	1
10.00 Total new obligations (object class 13.0)	2	1	1
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	11	11	12
22.00 New budget authority (gross)	2	2	2
23.90 Total budgetary resources available for obligation	13	13	14
23.95 Total new obligations	-2	-1	-1
24.40 Unobligated balance carried forward, end of year	11	12	13
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)	2	2	2
Change in obligated balances:			
72.40 Obligated balance, start of year	11	11	10
73.10 Total new obligations	2	1	1
73.20 Total outlays (gross)	-2	-1	-1
74.40 Obligated balance, end of year	11	10	10
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	2	1	1
Net budget authority and outlays:			
89.00 Budget authority	2	2	2
90.00 Outlays	2	1	1

This Fund is maintained to pay separation costs for Foreign Service National employees of the U.S. Agency for International Development in those countries in which such pay is legally required. The Fund, as authorized by Public Law 102-138, is maintained by annual Government contributions which are appropriated in several Agency accounts.

MISCELLANEOUS TRUST FUNDS, AID**Unavailable Collections (in millions of dollars)**

Identification code 72-9971-0-7-151	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	1	2	2
Receipts:			
02.00 Gifts and donations	1		
04.00 Total: Balances and collections	2	2	2
07.99 Balance, end of year	2	2	2

Program and Financing (in millions of dollars)

Identification code 72-9971-0-7-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	1		
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	2	1
22.00 New budget authority (gross)	1		
23.90 Total budgetary resources available for obligation	3	2	1
23.95 Total new obligations	-1		
24.40 Unobligated balance carried forward, end of year	2	1	1

New budget authority (gross), detail:			
Discretionary:			
50.00	Reappropriation	1	
Change in obligated balances:			
72.40	Obligated balance, start of year	1	2
73.10	Total new obligations	1	
73.20	Total outlays (gross)	-1	
74.40	Obligated balance, end of year	1	2
Outlays (gross), detail:			
86.93	Outlays from discretionary balances	1	
Net budget authority and outlays:			
89.00	Budget authority	1	
90.00	Outlays	1	

The Miscellaneous Trust Funds account includes gifts and donations that AID receives from other governments, non-governmental organizations, or private citizens. AID has authority to spend these gifts and donations for development purposes under Section 635(d) of the Foreign Assistance Act.

OVERSEAS PRIVATE INVESTMENT CORPORATION

Federal Funds

Public enterprise funds:

OVERSEAS PRIVATE INVESTMENT CORPORATION NONCREDIT ACCOUNT

The Overseas Private Investment Corporation is authorized to make, without regard to fiscal year limitations, as provided by 31 U.S.C. 9104, such expenditures and commitments within the limits of funds available to it and in accordance with law as may be necessary: *Provided*, That the amount available for administrative expenses to carry out the credit and insurance programs (including an amount for official reception and representation expenses which shall not exceed \$35,000) shall not exceed **[\$38,608,000] \$40,676,000**: *Provided further*, That project-specific transaction costs, including direct and indirect costs incurred in claims settlements, and other direct costs associated with services provided to specific investors or potential investors pursuant to section 234 of the Foreign Assistance Act of 1961, shall not be considered administrative expenses for the purposes of this heading. (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002; additional authorizing legislation required.*)

Unavailable Collections (in millions of dollars)

Identification code 71-4184-0-3-151	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	2,807	3,013	3,264
Receipts:			
02.80 Overseas Private Investment Corporation noncredit account, offsetting collections	314	346	356
04.00 Total: Balances and collections	3,121	3,359	3,620
Appropriations:			
05.00 Overseas Private Investment Corporation noncredit account	-108	-95	-128
05.99 Total appropriations	-108	-95	-128
07.99 Balance, end of year	3,013	3,264	3,492

These balances are reserves held for potential claims and are not expected to be obligated.

Program and Financing (in millions of dollars)

Identification code 71-4184-0-3-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Noncredit administrative expenses	15	17	17
09.02 Insurance claim payments/provisions	43	25	40
09.03 Credit administrative expenses	23	23	24
09.05 Project specific insurance expenses	11	11	8

10.00	Total new obligations	92	76	89
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	153	123	120
22.00	New budget authority (gross)	62	73	80
23.90	Total budgetary resources available for obligation	215	196	200
23.95	Total new obligations	-92	-76	-89
24.40	Unobligated balance carried forward, end of year	123	120	111

New budget authority (gross), detail:				
Discretionary:				
41.00	Transferred to other accounts	-46	-23	-48
Mandatory:				
61.00	Transferred to other accounts	-8		
62.00	Transferred from other accounts	5		
62.50	Appropriation (total mandatory)	-3		
Spending authority from offsetting collections:				
Discretionary:				
68.00	Offsetting collections (cash)	315	347	356
68.10	Change in uncollected customer payments from Federal sources (unexpired)	2		
68.45	Portion precluded from obligation (limitation on obligations)	-206	-251	-228
68.90	Spending authority from offsetting collections (total discretionary)	111	96	128
70.00	Total new budget authority (gross)	62	73	80

Change in obligated balances:				
72.40	Obligated balance, start of year	89	126	149
73.10	Total new obligations	92	76	89
73.20	Total outlays (gross)	-53	-53	-76
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-2		
74.40	Obligated balance, end of year	126	149	162

Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	39	37	49
86.93	Outlays from discretionary balances	14	16	27
87.00	Total outlays (gross)	53	53	76

Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-23	-23	-24
88.20	Interest on Federal securities	-228	-229	-250
88.40	Non-Federal sources	-64	-95	-82
88.90	Total, offsetting collections (cash)	-315	-347	-356
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-2		

Net budget authority and outlays:				
89.00	Budget authority	-255	-274	-276
90.00	Outlays	-262	-294	-280

Memorandum (non-add) entries:				
92.01	Total investments, start of year: Federal securities: Par value	3,127	3,350	3,305
92.02	Total investments, end of year: Federal securities: Par value	3,350	3,305	3,305

The Overseas Private Investment Corporation encourages the participation of United States private sector capital and skills in the economic and social development of developing countries and emerging market economies. Its primary non-credit program is political risk insurance against losses due to expropriation, inconvertibility, and damage due to political violence.

Status of Funds (in millions of dollars)

Identification code 71-4184-0-3-151	2001 actual	2002 est.	2003 est.	
Unexpended balance, start of year:				
0100	Treasury balance	-48	-55	258
U.S. Securities:				
0101	Par value	3,127	3,350	3,305
0102	Unrealized discounts	-30	-33	-30

Public enterprise funds—Continued

OVERSEAS PRIVATE INVESTMENT CORPORATION—Continued

NONCREDIT ACCOUNT—Continued

Status of Funds (in millions of dollars)—Continued

Identification code 71-4184-0-3-151	2001 actual	2002 est.	2003 est.
0199 Total balance, start of year	3,049	3,262	3,533
Cash income during the year:			
Current law:			
Offsetting collections:			
1280 Offsetting collections	314	346	356
1299 Income under present law	314	346	356
Cash outgo during year:			
Current law:			
4500 Overseas private investment corporation noncredit account	-52	-52	-76
7645 Transfers, net	-49	-23	-48
Unexpended balance, end of year:			
8700 Uninvested balance	-55	258	490
Federal securities:			
8701 Par value	3,350	3,305	3,305
8702 Unrealized discounts	-33	-30	-30
8799 Total balance, end of year	3,262	3,533	3,765

INSURANCE PROGRAM ACTIVITY

[In millions of dollars]

	2000 actual	2001 actual	2002 est.	2003 est.
Aggregate insurance outstanding, start of year ..	26,469	20,623	15,190	13,209
Aggregate insurance issued during year	2,202	1,065	2,200	2,500
Aggregate insurance reductions and cancella- tions	-8,048	-6,497	-4,181	-3,715
Aggregate insurance outstanding, end of year	20,623	15,190	13,209	11,994
Net growth/(decline) of portfolio	-5,846	-5,433	-1,981	-1,215
Net growth rate of insurance portfolio (in per- cent)	-22.09	-26.34	-13.04	-9.20

STATUS OF INSURANCE AUTHORITY

[In millions of dollars]

	2000 actual	2001 actual	2002 est.	2003 est.
Statutory authority limitation ¹	29,000	29,000	29,000	29,000
Maximum contingent liability, end of year	9,958	8,246	6,392	5,804
Estimated potential exposure to claims, end of year	5,888	5,324	3,771	3,424

¹This is a combined insurance and finance limitation. OPIC will monitor issuance and runoff to stay within the limitation.**Object Classification (in millions of dollars)**

Identification code 71-4184-0-3-151	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	19	20	21
12.1 Civilian personnel benefits	4	4	5
21.0 Travel and transportation of persons	1	1	1
23.2 Rental payments to others	6	6	6
25.1 Advisory and assistance services	10	10	8
25.2 Other services	9	10	8
42.0 Insurance claims and indemnities	43	25	40
99.9 Total new obligations	92	76	89

Personnel Summary

Identification code 71-4184-0-3-151	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	186	209	209

Credit accounts:

OVERSEAS PRIVATE INVESTMENT CORPORATION

PROGRAM ACCOUNT

For the cost of direct and guaranteed loans, \$24,000,000, as authorized by section 234 of the Foreign Assistance Act of 1961, to be derived by transfer from the Overseas Private Investment Corporation Non-

credit Account: Provided, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: Provided further, That such sums shall be available for direct loan obligations and loan guaranty commitments incurred or made during fiscal years 2003 and 2004: Provided further, That such sums shall remain available through fiscal year 2011 for the disbursement of direct and guaranteed loans obligated in fiscal year 2003, and through fiscal year 2012 for the disbursement of direct and guaranteed loans obligated in fiscal year 2004.

[Such] In addition, such sums as may be necessary for administrative expenses to carry out the credit program may be derived from amounts available for administrative expenses to carry out the credit and insurance programs in the Overseas Private Investment Corporation Noncredit Account and merged with said account. (Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 71-0100-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct loan subsidy	15	8	11
00.02 Guaranteed loan subsidy	14	11	13
00.07 IG Reestimate		127	
00.08 IG interest on reestimate		38	
00.09 Credit administrative expenses	23	23	24
10.00 Total new obligations	52	207	48
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	46	40	21
22.00 New budget authority (gross)	46	188	48
23.90 Total budgetary resources available for obligation	92	228	69
23.95 Total new obligations	-52	-207	-48
24.40 Unobligated balance carried forward, end of year	40	21	21
New budget authority (gross), detail:			
Discretionary:			
42.00 Transferred from other accounts	46	23	48
Mandatory:			
60.00 Appropriation		165	
70.00 Total new budget authority (gross)	46	188	48
Change in obligated balances:			
72.40 Obligated balance, start of year	38	40	42
73.10 Total new obligations	52	207	48
73.20 Total outlays (gross)	-51	-207	-46
74.40 Obligated balance, end of year	40	42	44
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	23	23	25
86.93 Outlays from discretionary balances	28	19	21
86.97 Outlays from new mandatory authority		165	
87.00 Total outlays (gross)	51	207	46
Net budget authority and outlays:			
89.00 Budget authority	46	188	48
90.00 Outlays	51	207	46

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 71-0100-0-1-151	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:			
115001 OPIC direct loan	204		100
115901 Total direct loan levels	204		100
Direct loan subsidy (in percent):			
132001 Direct loan levels	7.11	11.00	11.00
132901 Weighted average subsidy rate	7.11	11.00	11.00
Direct loan subsidy budget authority:			
133001 OPIC direct loan	15		11
133901 Total subsidy budget authority	15		11
Direct loan subsidy outlays:			
134001 OPIC direct loan	6	5	5
134901 Total subsidy outlays	6	5	5

Direct loan downward reestimate subsidy budget authority:			
137001 Direct loan levels		-14	
137901 Total downward reestimate budget authority		-14	
Direct loan downward reestimate subsidy outlays:			
138001 Direct loan levels		-14	
138901 Total downward reestimate subsidy outlays		-14	
Guaranteed loan levels supportable by subsidy budget authority:			
215001 OPIC loan guarantee	1,024		765
215901 Total loan guarantee levels	1,024		765
Guaranteed loan subsidy (in percent):			
232001 Loan guarantee levels	1.37	1.65	1.70
232901 Weighted average subsidy rate	1.37	1.65	1.70
Guaranteed loan subsidy budget authority:			
233001 OPIC loan guarantee	14		13
233901 Total subsidy budget authority	14		13
Guaranteed loan subsidy outlays:			
234001 OPIC loan guarantee	21	11	11
234901 Total subsidy outlays	21	11	11
Guaranteed loan upward reestimate subsidy budget authority:			
235001 Loan guarantee levels		165	
235901 Total upward reestimate budget authority		165	
Guaranteed loan upward reestimate subsidy outlays:			
236001 Loan guarantee levels		165	
236901 Total upward reestimate subsidy outlays		165	
Guaranteed loan downward reestimate subsidy budget authority:			
237001 Loan guarantee levels		-122	
237901 Total downward reestimate subsidy budget authority		-122	
Guaranteed loan downward reestimate subsidy outlays:			
238001 Loan guarantee levels		-122	
238901 Total downward reestimate subsidy outlays		-122	
Administrative expense data:			
351001 Budget authority	23	23	23
358001 Outlays from balances			
359001 Outlays from new authority	23	23	23

The Overseas Private Investment Corporation encourages the participation of United States private sector capital and skills in the economic and social development of developing countries and emerging market economies. Its primary credit program is investment financing through loans and guaranteed loans.

As required by the Federal Credit Reform Act of 1990, the Program Account records the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

Object Classification (in millions of dollars)

Identification code 71-0100-0-1-151	2001 actual	2002 est.	2003 est.
25.2 Other services (contracts)	23	23	24
41.0 Grants, subsidies, and contributions	29	184	24
99.9 Total new obligations	52	207	48

OVERSEAS PRIVATE INVESTMENT CORPORATION DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 71-4074-0-3-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct loan obligations	204	180	180
00.02 Interest on borrowings	8	10	10
00.91 Direct Program by Activities—Subtotal (1 level)	212	190	190
08.02 Downward DL Reestimate		9	
08.04 Interest on Reestimate		5	
08.91 Direct Program by Activities—Subtotal (1 level)		14	
10.00 Total new obligations	212	204	190
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	42	18	4
22.00 New financing authority (gross)	215	190	190
22.10 Resources available from recoveries of prior year obligations	29		
22.60 Portion applied to repay debt	-47		
22.70 Balance of authority to borrow withdrawn	-9		
23.90 Total budgetary resources available for obligation	230	208	194
23.95 Total new obligations	-212	-204	-190
24.40 Unobligated balance carried forward, end of year	18	4	4
New financing authority (gross), detail:			
Discretionary:			
47.00 Authority to borrow	163		
Mandatory:			
67.10 Authority to borrow		105	105
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	42	81	81
68.10 Change in uncollected customer payments from Federal sources (unexpired)	10	4	4
68.90 Spending authority from offsetting collections (total discretionary)	52	85	85
70.00 Total new financing authority (gross)	215	190	190
Change in obligated balances:			
72.40 Obligated balance, start of year	171	292	450
73.10 Total new obligations	212	204	190
73.20 Total financing disbursements (gross)	-52	-42	-40
73.45 Recoveries of prior year obligations	-29		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-10	-4	-4
74.40 Obligated balance, end of year	292	450	596
87.00 Total financing disbursements (gross)	52	42	40
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Federal sources	-6	-5	-5
88.25 Interest on uninvested funds	-3	-12	-12
Non-Federal sources:			
88.40 Repayments of Principal	-28	-33	-33
88.40 Interest received on loans	-4	-21	-21
88.40 Fees	-1	-10	-10
88.90 Total, offsetting collections (cash)	-42	-81	-81
Against gross financing authority only:			
88.95 Change in receivables from program accounts	-10	-4	-4
Net financing authority and financing disbursements:			
89.00 Financing authority	163	105	105
90.00 Financing disbursements	10	-39	-41

Status of Direct Loans (in millions of dollars)

Identification code 71-4074-0-3-151	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1121 Limitation available from carry-forward	144	73	
1131 Direct loan obligations exempt from limitation	133		100
1143 Unobligated limitation carried forward (-)	-73		
1150 Total direct loan obligations	204	73	100

Credit accounts—Continued**OVERSEAS PRIVATE INVESTMENT CORPORATION DIRECT LOAN
FINANCING ACCOUNT—Continued****Status of Direct Loans (in millions of dollars)—Continued**

Identification code 71-4074-0-3-151	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	57	75	83
1231 Disbursements: Direct loan disbursements	44	42	40
1251 Repayments: Repayments and prepayments	-24	-33	-33
1263 Write-offs for default: Direct loans	-2	-1	-1
1290 Outstanding, end of year	75	83	89

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 71-4074-0-3-151	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	34	19	10	10
Investments in US securities:				
1106 Receivables, net	14	25	22	22
1206 Non-Federal assets: Receivables, net	1			
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	57	75	56	56
1402 Interest receivable		1		
1405 Allowance for subsidy cost (-)	-21	-34	-33	-33
1499 Net present value of assets related to direct loans	36	42	23	23
1999 Total assets	84	87	55	55
LIABILITIES:				
Federal liabilities:				
2101 Accounts payable			3	3
2103 Debt	67	58	47	47
2105 Other Federal liabilities	14	25	4	4
2207 Non-Federal liabilities: Other	3	4	1	1
2999 Total liabilities	84	87	55	55
4999 Total liabilities and net position	84	87	55	55

**OVERSEAS PRIVATE INVESTMENT CORPORATION GUARANTEED LOAN
FINANCING ACCOUNT****Program and Financing (in millions of dollars)**

Identification code 71-4075-0-3-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Default claims	21	162	45
00.02 Capitalized costs	3	6	6
00.91 Direct Program by Activities—Subtotal (1 level)	24	168	51
08.02 Guaranteed Loan Reestimate		81	
08.04 Interest on Reestimate		41	
08.91 Direct Program by Activities—Subtotal (1 level)		122	
10.00 Total new obligations	24	290	51
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	444	555	571
22.00 New financing authority (gross)	135	306	141
23.90 Total budgetary resources available for obligation	579	861	712
23.95 Total new obligations	-24	-290	-51
24.40 Unobligated balance carried forward, end of year	555	571	661

New financing authority (gross), detail:

Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	135	141	141
Mandatory:			
69.00 Offsetting collections (cash) Reestimate		165	
70.00 Total new financing authority (gross)	135	306	141

Change in obligated balances:

72.40 Obligated balance, start of year	6	8	139
73.10 Total new obligations	24	290	51
73.20 Total financing disbursements (gross)	-22	-159	-51
74.40 Obligated balance, end of year	8	139	139
87.00 Total financing disbursements (gross)	22	159	51

Offsets:

Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 Federal sources: Payments from program account	-21	-11	-11
88.00 Federal sources: Reestimate from 71-0100		-165	
88.25 Interest on uninvested funds	-28	-26	-26
Non-Federal sources:			
88.40 Claim recoveries	-2	-14	-14
88.40 Fees	-84	-90	-90
88.90 Total, offsetting collections (cash)	-135	-306	-141

Net financing authority and financing disbursements:

89.00 Financing authority			
90.00 Financing disbursements	-112	-147	-90

Status of Guaranteed Loans (in millions of dollars)

Identification code 71-4075-0-3-151	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on commitments:			
2121 Limitation available from carry-forward	1,024	666	
2131 Guaranteed loan commitments exempt from limitation	666		765
2143 Uncommitted limitation carried forward (-)	-666		
2150 Total guaranteed loan commitments	1,024	666	765
2199 Guaranteed amount of guaranteed loan commitments	1,024	666	765
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	3,098	3,350	3,513
2231 Disbursements of new guaranteed loans	470	525	525
2251 Repayments and prepayments	-197	-200	-200
2261 Adjustments: Terminations for default that result in loans receivable	-21	-162	-45
2290 Outstanding, end of year	3,350	3,513	3,793

Memorandum:

2299 Guaranteed amount of guaranteed loans outstanding, end of year	3,350	3,513	3,793
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Addendum:

Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	31	49	197
2331 Disbursements for guaranteed loan claims	21	162	45
2351 Repayments of loans receivable	-3	-14	-14
2390 Outstanding, end of year	49	197	228

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 71-4075-0-3-151	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	452	564	460	460

1206	Non-Federal assets: Receivables, net	14	17	20	20
	Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:				
1501	Defaulted guaranteed loans receivable, gross	30	49	197	228
1599	Net present value of assets related to defaulted guaranteed loans	30	49	197	228
1999	Total assets	496	630	677	708
	LIABILITIES:				
	Non-Federal liabilities:				
2204	Liabilities for loan guarantees	446	566	479	626
2207	Other non-fed	28	29	20	20
2999	Total liabilities	474	595	499	646
	NET POSITION:				
3300	Cumulative results of operations	22	35	178	62
3999	Total net position	22	35	178	62
4999	Total liabilities and net position	496	630	677	708

OVERSEAS PRIVATE INVESTMENT CORPORATION LIQUIDATING
ACCOUNT

Program and Financing (in millions of dollars)

Identification code 71-4030-0-3-151	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Anticipated claim payment	13	5	2
10.00	Total new obligations (object class 33.0)	13	5	2
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	3		
22.00	New budget authority (gross)	10	5	2
23.90	Total budgetary resources available for obligation	13	5	2
23.95	Total new obligations	-13	-5	-2
New budget authority (gross), detail:				
Mandatory:				
61.00	Transferred to other accounts	-5		
62.00	Transferred from other accounts	8		
62.50	Appropriation (total mandatory)	3		
69.00	Offsetting collections (cash)	7	5	2
70.00	Total new budget authority (gross)	10	5	2
Change in obligated balances:				
72.40	Obligated balance, start of year	1	1	
73.10	Total new obligations	13	5	2
73.20	Total outlays (gross)	-13	-5	-2
74.40	Obligated balance, end of year	1		
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	10	5	
86.98	Outlays from mandatory balances	3		
87.00	Total outlays (gross)	13	5	2
Offsets:				
Against gross budget authority and outlays:				
88.40	Offsetting collections (cash) from: Non-Federal sources	-7	-5	-2
Net budget authority and outlays:				
89.00	Budget authority	3		
90.00	Outlays	5		

Status of Direct Loans (in millions of dollars)

Identification code 71-4030-0-3-151	2001 actual	2002 est.	2003 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	1	1	1
1251	Repayments: Repayments and prepayments			-1
1290	Outstanding, end of year	1	1	

Status of Guaranteed Loans (in millions of dollars)

Identification code 71-4030-0-3-151	2001 actual	2002 est.	2003 est.	
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	44	26	19
2251	Repayments and prepayments	-5	-5	-8
2261	Adjustments: Terminations for default that result in loans receivable	-13	-2	-1
2290	Outstanding, end of year	26	19	10
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	19	8	4
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year	13	19	19
2331	Disbursements for guaranteed loan claims	13	2	1
2351	Repayments of loans receivable	-7	-2	-1
2390	Outstanding, end of year	19	19	19

As required by the Federal Credit Reform Act of 1990, this account records, for this program, all cash flows to and from the Government resulting from direct loans obligated and loan guarantees committed prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year) is recorded in corresponding program, financing, and noncredit accounts.

Statement of Operations (in millions of dollars)

Identification code 71-4030-0-3-151	2000 actual	2001 actual	2002 est.	2003 est.	
0101	Revenue	1	13	5	2
0102	Expense	-13	-13	-5	-2
0105	Net income or loss (-)	-12			

Balance Sheet (in millions of dollars)

Identification code 71-4030-0-3-151	2000 actual	2001 actual	2002 est.	2003 est.	
ASSETS:					
Federal assets:					
1101	Fund balances with Treasury	-1	1	3	2
Investments in US securities:					
1106	Federal Receivables	5			
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:					
1601	Direct loans, gross	1			
1604	Direct loans and interest receivable, net	1			
1699	Value of assets related to direct loans	1			
1701	Defaulted guaranteed loans, gross	24	19	27	27
1703	Allowance for estimated uncollectible loans and interest (-)	-16	-11	-10	-10
1704	Defaulted guaranteed loans and interest receivable, net	8	8	17	17
1706	Defaulted guaranty acquired		2		
1799	Value of assets related to loan guarantees	8	10	17	17
1999	Total assets	13	11	20	19
LIABILITIES:					
2207	Non-Federal liabilities: Other	1	1		
2999	Total liabilities	1	1		
NET POSITION:					
3300	Cumulative results of operations	12	10	20	19
3999	Total net position	12	10	20	19
4999	Total liabilities and net position	13	11	20	19

TRADE AND DEVELOPMENT AGENCY

Federal Funds

General and special funds:

TRADE AND DEVELOPMENT AGENCY

For necessary expenses to carry out the provisions of section 661 of the Foreign Assistance Act of 1961, **[\$50,024,000]** \$44,696,000, to remain available until September 30, **[2003]** 2004. (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 11-1001-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Feasibility studies, and other activities	52	56	56
00.02 Operating expenses	7	7	7
10.00 Total new obligations	59	63	63
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	19	27	18
22.00 New budget authority (gross)	62	50	45
22.10 Resources available from recoveries of prior year obligations	3	4	3
22.22 Unobligated balance transferred from other accounts	3		
23.90 Total budgetary resources available for obligation	87	81	66
23.95 Total new obligations	-59	-63	-63
24.40 Unobligated balance carried forward, end of year	27	18	3
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	50	50	45
42.00 Transferred from other accounts	12		
43.00 Appropriation (total discretionary)	62	50	45
Change in obligated balances:			
72.40 Obligated balance, start of year	89	88	91
73.10 Total new obligations	59	63	63
73.20 Total outlays (gross)	-54	-55	-57
73.40 Adjustments in expired accounts (net)	-3	-1	-3
73.45 Recoveries of prior year obligations	-3	-4	-3
74.40 Obligated balance, end of year	88	91	91
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	10	12	11
86.93 Outlays from discretionary balances	44	43	44
87.00 Total outlays (gross)	54	55	57
Net budget authority and outlays:			
89.00 Budget authority	62	50	45
90.00 Outlays	54	55	57

Appropriated funds provide for the costs of the U.S. Trade and Development Agency (TDA), which include: program costs of grants for feasibility studies and other project planning activities; and, the cost of managing the TDA programs such as salaries and expenses of direct hire personnel, and obtaining the services of consultants. TDA finances these activities for major projects in developing and middle-income nations to foster economic development and to encourage the use of U.S. technology, goods, and services in project implementation.

Object Classification (in millions of dollars)

Identification code 11-1001-0-1-151	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	3	3	3
12.1 Civilian personnel benefits	1	1	1
25.1 Advisory and assistance services	3	3	3
41.0 Grants, subsidies, and contributions	52	56	56
99.9 Total new obligations	59	63	63

Personnel Summary

Identification code 11-1001-0-1-151	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	36	48	48

PEACE CORPS

Federal Funds

General and special funds:

PEACE CORPS

For necessary expenses to carry out the provisions of the Peace Corps Act (75 Stat. 612), **[\$275,000,000]** \$320,228,000, including the purchase of not to exceed five passenger motor vehicles for administrative purposes for use outside of the United States: *Provided*, That none of the funds appropriated under this heading shall be used to pay for abortions: *Provided further*, That funds appropriated under this heading shall remain available until September 30, **[2003]** 2004. (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 11-0100-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.02 Africa region	52	57	64
00.03 Europe, Mediterranean & Asia region	41	43	47
00.04 Inter-America & Pacific region	41	45	50
00.05 Other volunteer support	127	144	159
00.06 ERF for Country Evacuations	2	5	
09.01 Reimbursable program	5	7	9
10.00 Total new obligations	268	301	329
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	11	
22.00 New budget authority (gross)	276	286	330
22.22 Unobligated balance transferred from other accounts		4	
23.90 Total budgetary resources available for obligation	279	301	330
23.95 Total new obligations	-268	-301	-329
23.98 Unobligated balance expiring or withdrawn	-1	-1	-1
24.40 Unobligated balance carried forward, end of year	11		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	268	278	320
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
42.00 Transferred from other accounts	3		
43.00 Appropriation (total discretionary)	270	278	320
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	6	8	10
70.00 Total new budget authority (gross)	276	286	330
Change in obligated balances:			
72.40 Obligated balance, start of year	73	88	99
73.10 Total new obligations	268	301	329
73.20 Total outlays (gross)	-263	-290	-318
73.40 Adjustments in expired accounts (net)	8		
74.40 Obligated balance, end of year	88	99	108
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	198	229	265
86.93 Outlays from discretionary balances	65	63	53
87.00 Total outlays (gross)	263	290	318
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-5	-7	-9
88.40 Non-Federal sources	-1	-1	-1
88.90 Total, offsetting collections (cash)	-6	-8	-10
Net budget authority and outlays:			
89.00 Budget authority	270	278	320

90.00	Outlays	257	282	308
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Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	267	275	317
90.00	Outlays	254	279	305

Peace Corps' operating expenses will provide direct and indirect support for an average of 5,648 Americans engaged in voluntary services in 70 countries worldwide in 2002. The Volunteers help fill the trained manpower needs of developing countries and encourage self-sustaining development of skilled manpower. The Peace Corps promotes mutual understanding between the peoples of the developing world and the United States and focuses the attention of the American people on the benefits of volunteerism. Peace Corps Volunteers work primarily in the areas of agriculture, education, economic development, health, and environment.

Object Classification (in millions of dollars)

Identification code 11-0100-0-1-151	2001 actual	2002 est.	2003 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	47	52	63
11.3	Other than full-time permanent	3	3	4
11.8	Trainees and volunteers	22	22	26
11.9	Total personnel compensation	72	77	93
12.1	Civilian personnel benefits	44	46	51
21.0	Travel and transportation of persons	28	34	34
22.0	Transportation of things	4	6	6
23.1	Rental payments to GSA	7	8	8
23.2	Rental payments to others	8	8	9
23.3	Communications, utilities, and miscellaneous charges	6	7	8
24.0	Printing and reproduction	1	1	1
25.2	Other services	45	47	52
25.3	Other purchases of goods and services from Government accounts	5	8	8
25.4	Operation and maintenance of facilities	1	1	2
25.6	Medical care	15	13	17
25.7	Operation and maintenance of equipment	1	1	2
26.0	Supplies and materials	9	12	11
31.0	Equipment	16	24	17
99.0	Direct obligations	262	293	319
99.0	Reimbursable obligations	5	6	8
99.5	Below reporting threshold	1	2	2
99.9	Total new obligations	268	301	329

Personnel Summary

Identification code 11-0100-0-1-151	2001 actual	2002 est.	2003 est.	
Direct:				
1001	Total compensable workyears: Full-time equivalent employment	999	1,166	1,240
Reimbursable:				
2001	Total compensable workyears: Full-time equivalent employment	3	3	3

PEACE CORPS MISCELLANEOUS TRUST FUNDS

Unavailable Collections (in millions of dollars)

Identification code 11-9972-0-7-151	2001 actual	2002 est.	2003 est.	
01.99	Balance, start of year			
Receipts:				
02.00	Miscellaneous trust funds, Peace Corps	5	5	4
Appropriations:				
05.00	Peace Corps miscellaneous trust fund	-5	-5	-4
07.99	Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 11-9972-0-7-151	2001 actual	2002 est.	2003 est.	
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	2	6	9
22.00	New budget authority (gross)	5	5	4
23.90	Total budgetary resources available for obligation	7	11	13
24.40	Unobligated balance carried forward, end of year	6	9	11
New budget authority (gross), detail:				
Mandatory:				
60.26	Appropriation (trust fund)	5	5	4
Change in obligated balances:				
72.40	Obligated balance, start of year	1	1	1
73.20	Total outlays (gross)	-1	-2	-2
74.40	Obligated balance, end of year	1	1	1
Outlays (gross), detail:				
86.98	Outlays from mandatory balances	1	2	2
Net budget authority and outlays:				
89.00	Budget authority	5	5	4
90.00	Outlays	1	2	2

Miscellaneous contributions received by gift, devise, bequest, or from foreign governments are used for the furtherance of the program, as authorized by 22 U.S.C. 2509(a)(3) (75 Stat. 612, as amended). Trust funds also include a fund to pay separation costs for Foreign Service National employees of the Peace Corps in those countries in which such pay is legally authorized. The fund, as authorized by Public Law 102-138, is maintained by annual Government contributions which are appropriated in the Peace Corps salaries and expenses account.

Personnel Summary

Identification code 11-9972-0-7-151	2001 actual	2002 est.	2003 est.	
1001	Total compensable workyears: Full-time equivalent employment	1	1	

INTER-AMERICAN FOUNDATION

Federal Funds

General and special funds:

INTER-AMERICAN FOUNDATION

For expenses necessary to carry out the functions of the Inter-American Foundation in accordance with the provisions of section 401 of the Foreign Assistance Act of 1969, [and to make commitments without regard to fiscal year limitations, as provided by 31 U.S.C. 9104(b)(3), \$13,106,950] \$14,185,000, to remain available until September 30, 2004. (Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 11-3100-0-1-151	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Development grants	8	13	8
00.02	Evaluations and other activities	1	2	1
00.04	Program management and operations	5	5	5
10.00	Total new obligations	14	20	14
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	9	7	
22.00	New budget authority (gross)	12	13	14
23.90	Total budgetary resources available for obligation	21	20	14
23.95	Total new obligations	-14	-20	-14
24.40	Unobligated balance carried forward, end of year	7		

General and special funds—Continued

INTER-AMERICAN FOUNDATION—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 11-3100-0-1-151	2001 actual	2002 est.	2003 est.
New budget authority (gross), detail:			
Discretionary:			
40.00		13	14
42.00	12		
43.00	12	13	14
Change in obligated balances:			
72.40	35	30	29
73.10	14	20	14
73.20	-17	-22	-23
74.40	30	29	20
Outlays (gross), detail:			
86.90	5	5	6
86.93	12	16	16
87.00	17	22	23
Net budget authority and outlays:			
89.00	12	13	14
90.00	17	22	23

Established by the 1969 Foreign Assistance Act, the Inter-American Foundation (IAF) supports grassroots development initiatives in Latin America and the Caribbean with a direct impact on the lives and the capacity for self reliance of people at the lowest economic levels. In 2003, the IAF will continue its new strategic programming approaches that focus on: (1) building partnerships among grassroots organizations, non-governmental organizations, local governments, and private enterprises to foster social and economic development at the local level; and, (2) increasing the participation of U.S. corporate and national private business sector resources in grassroots development initiatives. This strategy entails the promotion of corporate and business social investment in Latin America and the Caribbean among the U.S. and local private business sector to address a host of social and economic issues that will improve the quality of life of the poor in the region. The IAF will continue to apply its system of measuring the results of its grants to improve Foundation decision-making and identify and disseminate good practice and lessons to new private sector contributors and development practitioners. Using results and evaluation information, the IAF will incorporate lessons learned into the IAF's strategic planning and grant decision-making processes. It will also disseminate the results assessment system and development information to new private sector contributors, to donors, and to grassroots practitioners. The IAF will continue to implement an integrated program management information system which will increase efficiency in its operations and facilitate grant monitoring and results reporting.

Development Grants.—This activity includes the cost of all grants made directly to local private, non-profit organizations working in partnerships with businesses and local authorities to carry out development projects in Latin America and the Caribbean. In 2003, the IAF plans to award approximately 50 new grants and 10 amendments in 10 countries.

Evaluations and Other Activities.—This activity funds grant results assessments by in-country specialists and evaluations from a sample of the grants supported by the IAF. This activity also funds the publications that convey the IAF's partnership, corporate social responsibility experiences, and lessons to businesses, development practitioners, members of partnerships, and other donors.

Program Management and Operation.—This activity includes Foundation expenses for salaries and benefits, travel, rent, service contracts, and other support costs.

Object Classification (in millions of dollars)

Identification code 11-3100-0-1-151	2001 actual	2002 est.	2003 est.
11.1	3	3	3
12.1	1	1	1
23.2	1	1	1
25.1	1	2	1
41.0	8	13	8
99.9	14	20	14

Personnel Summary

Identification code 11-3100-0-1-151	2001 actual	2002 est.	2003 est.
1001			
Total compensable workyears: Full-time equivalent employment	38	45	45

GIFTS AND CONTRIBUTIONS, INTER-AMERICAN FOUNDATION

Program and Financing (in millions of dollars)

Identification code 11-8243-0-7-151	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40	1		
Net budget authority and outlays:			
89.00			
90.00	1		

AFRICAN DEVELOPMENT FOUNDATION

Federal Funds

General and special funds:

AFRICAN DEVELOPMENT FOUNDATION

[For expenses necessary to] To carry out title V of the International Security and Development Cooperation Act of 1980, Public Law 96-533, **[and to make commitments without regard to fiscal year limitations, as provided by 31 U.S.C. 9104(b)(3), \$16,542,000]** \$16,689,000, to remain available until September 30, 2004: *Provided*, That funds made available to grantees may be invested pending expenditure for project purposes when authorized by the **[President]** board of directors of the Foundation: *Provided further*, That interest earned shall be used only for the purposes for which the grant was made: **[Provided further**, That this authority applies to interest earned both prior to and following enactment of this provision: **] Provided further**, That notwithstanding section 505(a)(2) of the African Development Foundation Act, in exceptional circumstances the board of directors of the Foundation may waive the \$250,000 limitation contained in that section with respect to a project: *Provided further*, That the Foundation shall provide a report to the Committees on Appropriations after each time such waiver authority is exercised. (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 11-0700-0-1-151	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01			
Advance sustainable development and empowerment of the poor in Africa	11	13	13
00.02	1	1	1
Enhance US assistance and relations with Africa			
00.03	1	2	2
Expand use of participatory development policies and practices			
00.04	1	1	1
Internal agency objectives			
10.00	14	17	17
Budgetary resources available for obligation:			
21.40		4	4
Unobligated balance carried forward, start of year			
22.00	16	17	17
New budget authority (gross)			
22.10	2		
Resources available from recoveries of prior year obligations			
23.90	18	21	21
Total budgetary resources available for obligation			

23.95	Total new obligations	-14	-17	-17
24.40	Unobligated balance carried forward, end of year	4	4	4
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation		17	17
42.00	Transferred from other accounts	16		
43.00	Appropriation (total discretionary)	16	17	17
Change in obligated balances:				
72.40	Obligated balance, start of year	12	12	12
73.10	Total new obligations	14	17	17
73.20	Total outlays (gross)	-14	-16	-16
73.45	Recoveries of prior year obligations	-2		
74.40	Obligated balance, end of year	12	12	15
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	7	8	8
86.93	Outlays from discretionary balances	7	8	8
87.00	Total outlays (gross)	14	16	16
Net budget authority and outlays:				
89.00	Budget authority	16	17	17
90.00	Outlays	14	16	16

The African Development Foundation (ADF), a public corporation, is the only agency of the U.S. Government that directly supports community-based initiatives to alleviate poverty and promote sustainable development in Africa. Through its grant program, ADF provides Africans with the resources necessary to identify and solve their own problems. ADF relies on participatory development approaches that strengthen local capacity, foster ownership of development projects, and promote self-help and empowerment.

In 2003, ADF will provide grants to recipients in fourteen African countries, either directly to grassroots groups or through non-governmental organizations. These grants will promote the following three strategic goals:

(1) *Advance broad-based, sustainable development and empowerment of the poor in Africa.*—ADF will promote micro- and small-enterprise development to generate income and employment. ADF will improve community-based, natural resources management for sustainable, rural development. ADF will increase participation of African businesses and producer groups in trade and investment relationships with the U.S. and within Africa. ADF will support community-based HIV/AIDS prevention and mitigation.

(2) *Expand local capacity to promote and support grassroots, participatory development.*—ADF will build self-supporting, sustainable, local community development agencies that provide technical assistance and support to grassroots groups. ADF will develop and replicate new models for community reinvestment. ADF will continue to leverage additional funding through strategic partnerships with national and local governments, other donor agencies, and the local private sector. ADF will encourage African governments and other donors to increase utilization of participatory development “best practices”.

(3) *Enhance American assistance and strengthen U.S. relations with Africa.*—ADF will seek to leverage resources for grassroots development through strategic partnerships with the U.S. private sector and other U.S. Government agencies. ADF will work toward improving program and policy coordination with U.S. foreign assistance and foreign policy agencies.

Object Classification (in millions of dollars)

Identification code 11-0700-0-1-151	2001 actual	2002 est.	2003 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	2	2	2
25.2	Other services (O.C. 25)	2		
41.0	Grants, subsidies, and contributions	6	13	13

99.0	Direct obligations	10	15	15
99.5	Below reporting threshold	4	2	2
99.9	Total new obligations	14	17	17

Personnel Summary

Identification code 11-0700-0-1-151	2001 actual	2002 est.	2003 est.	
1001	Total compensable workyears: Full-time equivalent employment	25	32	32

INTERNATIONAL MONETARY PROGRAMS

Federal Funds

General and special funds:

UNITED STATES QUOTA IN THE INTERNATIONAL MONETARY FUND

Program and Financing (in millions of dollars)

Identification code 11-0003-0-1-155	2001 actual	2002 est.	2003 est.	
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	15,108	17,859	17,859
22.10	Resources available from recoveries of prior year obligations	5,698		
23.90	Total budgetary resources available for obligation	20,806	17,859	17,859
23.98	Adjustment of \$ equivalent	-2,947		
24.40	Unobligated balance carried forward, end of year	17,859	17,859	17,859
Change in obligated balances:				
72.40	Obligated balance, start of year	35,224	29,479	29,479
73.20	Total outlays (gross)	-47		
73.45	Recoveries of prior year obligations	-5,698		
74.40	Obligated balance, end of year	29,479	29,479	29,479
Outlays (gross), detail:				
86.98	Outlays from mandatory balances	47		
Net budget authority and outlays:				
89.00	Budget authority			
90.00	Outlays	47		

As part of a general increase in IMF quota resources, on November 17, 1998, the United States consented to an increase in its quota to SDR 37,149.3 million (about \$52 billion at that time). The increase in the U.S. quota involves no net budget outlays. Similarly, use by the IMF of the quota commitment does not result in net budget outlays because the United States receives an increase in its international monetary reserves corresponding to any transfer of dollars under the U.S. quota subscription. The United States can use these interest-bearing reserves to meet a balance of payments financing need.

FOR LOANS TO THE INTERNATIONAL MONETARY FUND—NEW ARRANGEMENTS TO BORROW

Program and Financing (in millions of dollars)

Identification code 11-0074-0-1-155	2001 actual	2002 est.	2003 est.	
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	8,711	8,652	8,652
23.98	Adjustment of \$ equivalent	-60		
24.40	Unobligated balance carried forward, end of year	8,652	8,652	8,652
Net budget authority and outlays:				
89.00	Budget authority			
90.00	Outlays			

The General Arrangements to Borrow (GAB) were established in 1962 by 10 industrial countries, including the United States, as a means of supplementing the IMF's resources

General and special funds—Continued**FOR LOANS TO THE INTERNATIONAL MONETARY FUND—NEW
ARRANGEMENTS TO BORROW—Continued**

when needed to forestall or cope with an impairment of the international monetary system. GAB members agreed in early 1983 to increase their financial commitments to the GAB from approximately SDR 6.3 billion to SDR 17 billion, with the U.S. share rising from \$2.0 billion to approximately \$6.4 billion.

In January 1997, the Executive Board of the IMF approved the creation of the New Arrangements to Borrow (NAB) to further supplement resources available to the IMF to forestall or cope with an impairment of the international monetary system or to deal with an exceptional situation that poses a threat to the stability of the system. The NAB became effective on November 17, 1998. Twenty-five countries and institutions participate in the NAB through a set of credit arrangements with the IMF totaling SDR 34 billion (about \$48 billion on the date of establishment), of which the U.S. share is approximately SDR 6.7 billion (about \$8.7 billion at end-September 2001). Although the GAB continues to exist, the sum of loans advanced under the NAB and GAB cannot exceed SDR 34 billion. The sum of U.S. loans advanced under both arrangements cannot exceed the U.S. share of the NAB.

Financing extended by the United States under the GAB and NAB does not result in any net budget outlays because such financing results in an equivalent increase in U.S. international reserve assets in the form of a claim on the IMF.

During 1998 (July), the IMF made one call on GAB participants in support of an assistance program for Russia, of which the U.S. share was approximately \$483 million. On December 15, 1998, the IMF made a call on NAB participants in support of an assistance program for Brazil, of which the U.S. share was approximately \$860 million. The GAB and NAB loans were paid back in full on March 11, 1999. Since 1999, no calls were made on GAB or NAB participants, and no loans were outstanding at the end of the fiscal year.

**CONTRIBUTION TO THE ENHANCED STRUCTURAL ADJUSTMENT
FACILITY OF THE INTERNATIONAL MONETARY FUND****Program and Financing (in millions of dollars)**

Identification code 11-0005-0-1-155	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year	9		
73.20 Total outlays (gross)	-9		
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	9		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	9		

On November 22, 1999, the Enhanced Structural Adjustment Facility (ESAF) was replaced by Poverty Reduction and Growth Facility (PRGF). Like the ESAF, the PRGF provides financing on concessional terms to poor countries with protracted balance of payments problems. As its name suggests, however, the PRGF aims to make poverty reduction efforts among low-income member countries of the IMF a key and more explicit element of a growth-oriented economic strategy. Other defining features of the new PRGF approach include: (1) reliance on a comprehensive poverty reduction strategy to be prepared by the national authorities of the borrowing country in consultation with the public and civil society groups (this strategy will serve as a point of reference and guide for both IMF and World Bank concessional lending

activities in a particular country); (2) closer cooperation between staffs of the IMF and World Bank; (3) stronger linkage between macroeconomics policies, on the one hand, and poverty reduction and growth objectives on the other; and (4) greater emphasis on good governance.

Certain terms and conditions that applied to ESAF continue to apply to the PRGF. For example, the same set of countries that were eligible for ESAF are also eligible for the new facility. Commitments of IMF resources continue to be based on a three-year program incorporating performance criteria and periodic reviews of progress. Disbursement of financing is semiannual (or quarterly in select cases), the interest rate is 0.5 percent, and loans will mature in 5½–10 years.

Like the ESAF, the PRGF advances critical U.S. interests by promoting economic and financial conditions which foster growth, stability, and the development of more open and accountable democratic institutions.

Financing for the PRGF, as for the ESAF, is provided by members of the IMF through loans to a trust account (formerly the ESAF Trust, now the PRGF Trust), or through contributions to an interest subsidy account.

MILITARY SALES PROGRAMS**Federal Funds****Public enterprise funds:****SPECIAL DEFENSE ACQUISITION FUND****Program and Financing (in millions of dollars)**

Identification code 11-4116-0-3-155	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	46	28	22
22.40 Capital transfer to general fund	-18	-7	-10
23.90 Total budgetary resources available for obligation	28	21	12
24.40 Unobligated balance carried forward, end of year	28	22	12
New budget authority (gross), detail:			
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	7	3	
68.27 Capital transfer to general fund	-7	-3	
68.90 Spending authority from offsetting collections (total discretionary)			
Change in obligated balances:			
72.40 Obligated balance, start of year	17	16	11
73.20 Total outlays (gross)	-1	-5	-5
74.40 Obligated balance, end of year	16	11	6
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	1	5	5
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-7	-3	
Net budget authority and outlays:			
89.00 Budget authority	-7	-3	
90.00 Outlays	-6	2	5

This fund shows the financing transactions related to the procurement of defense articles prior to orders being placed by foreign countries and international organizations. This program is being phased out.

Trust Funds**FOREIGN MILITARY SALES TRUST FUND****Unavailable Collections** (in millions of dollars)

Identification code 11-8242-0-7-155	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 Deposits, advances, foreign military sales	10,229	10,300	10,410
Appropriations:			
05.00 Foreign military sales trust fund	-10,229	-10,300	-10,410
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 11-8242-0-7-155	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Military personnel	84	89	90
09.02 Operations and maintenance	280	295	298
09.03 Procurement	8,143	8,589	8,686
09.04 Research, development, test and evaluation	25	26	27
09.06 Revolving and management funds	776	818	827
09.07 Construction	90	95	96
09.08 Other	340	348	356
10.00 Total new obligations (object class 25.3)	9,738	10,260	10,380

Budgetary resources available for obligation:

22.00 New budget authority (gross)	9,738	10,260	10,380
23.95 Total new obligations	-9,738	-10,260	-10,380

New budget authority (gross), detail:

Mandatory:			
60.26 Appropriation (trust fund)	10,229	10,300	10,410
60.49 Portion applied to liquidate contract authority	-10,229	-10,300	-10,410
62.50 Appropriation (total mandatory)			
66.10 Contract authority	9,738	10,260	10,380
70.00 Total new budget authority (gross)	9,738	10,260	10,380

Change in obligated balances:

72.40 Obligated balance, start of year	19,214	18,781	18,741
73.10 Total new obligations	9,738	10,260	10,380
73.20 Total outlays (gross)	-10,171	-10,300	-10,410
74.40 Obligated balance, end of year	18,781	18,741	18,711
75.01 Obligated balance, start of year: Contract authority	13,427	12,937	12,897
75.02 Obligated balance, end of year: Contract authority	12,937	12,897	12,867

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	1,170	1,185	1,197
86.98 Outlays from mandatory balances	9,001	9,116	9,213
87.00 Total outlays (gross)	10,171	10,300	10,410

Net budget authority and outlays:

89.00 Budget authority	9,738	10,260	10,380
90.00 Outlays	10,171	10,300	10,410

Status of Contract Authority (in millions of dollars)

Identification code 11-8242-0-7-155	2001 actual	2002 est.	2003 est.
0100 Balance, start of year	13,427	12,937	12,897
Contract authority:			
0200 Contract authority	9,738	10,260	10,380
0400 Appropriation to liquidate contract authority	-10,229	-10,300	-10,410
0700 Balance, end of year	12,937	12,897	12,867

This trust fund facilitates government-to-government sales of defense articles, defense services, and design and construction services. Estimates of sales used in this budget are (in millions of dollars):

ESTIMATES OF NEW SALES

	2001 actual	2002 est.	2003 est.
Estimates of new orders (sales)	13,257	11,800	12,200

Orders placed through this trust fund can be combined with procurement for U.S. military departments. The savings

are shared by the United States and foreign governments. The net impact of foreign military sales on the budget is (in millions of dollars):

FMS TRUST FUND TRANSACTIONS

	2001 actual	2002 est.	2003 est.
Obligations of the fund	9,738	10,260	10,380
Receipts from foreign governments (appropriation)	-10,229	-10,300	-10,410
Net budget authority	-491	-40	-30
Payments from the fund (outlays)	10,171	10,300	10,410
Receipts from foreign governments (appropriation)	-10,229	-10,300	-10,410
Net outlays	-58	0	0

KUWAIT CIVIL RECONSTRUCTION TRUST FUND**Program and Financing** (in millions of dollars)

Identification code 11-8238-0-7-155	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 44.0)	2		

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	2		
23.95 Total new obligations	-2		

Change in obligated balances:

73.10 Total new obligations	2		
73.20 Total outlays (gross)	-2		

Outlays (gross), detail:

86.98 Outlays from mandatory balances	2		
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Net budget authority and outlays:

89.00 Budget authority			
90.00 Outlays	2		

This trust fund was established to show the U.S. costs in helping the Government of Kuwait survey and assess the cost of repairing its civil infrastructure. This program is being phased out.

SPECIAL ASSISTANCE FOR CENTRAL AMERICA**Federal Funds****General and special funds:****DEMobilIZATION AND TRANSITION FUND****Program and Financing** (in millions of dollars)

Identification code 72-1500-0-1-152	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		1	1
24.40 Unobligated balance carried forward, end of year	1	1	1

Net budget authority and outlays:

89.00 Budget authority			
90.00 Outlays			

Funds for this account were transferred from Foreign Military Financing pursuant to P.L. 101-513 to support costs of demobilization, retraining, relocation, and reemployment in civilian pursuits of former combatants in the conflict in El Salvador.

General and special funds—Continued

CENTRAL AMERICAN RECONCILIATION ASSISTANCE

Program and Financing (in millions of dollars)

Identification code 72-1038-0-1-152	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	1
24.40 Unobligated balance carried forward, end of year	1	1	1
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

Funds for this account were transferred from the Department of Defense in accordance with Public Law 101-14 in order to provide humanitarian assistance to the Nicaraguan democratic resistance. Adjustments to the account were made in Public Law 101-119 and Public Law 101-215.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Offsetting receipts from the public:			
11-272330 Debt restructuring, Downward reestimates of subsidies	4	1	
11-272430 Foreign military financing, Downward reestimates of subsidies	209	16	
11-310800 Return of contribution to international buffer stocks	71		
71-274930 Overseas Private Investment Corporation loans, downward reestimates of subsidy		136	
72-273030 Microenterprise and small enterprise development, Downward reestimates of subsidies		1	
72-273130 Assistance for the new independent states of the former Soviet Union: Ukraine export credit insurance, Downward reestimates		34	
72-274430 Urban and environmental credit program, downward reestimates of subsidies		23	
72-275230 Development credit authority program account, downward reestimates of loan guarantees		1	
72-304200 Recoveries from the Polish American enterprise fund	40		
General Fund Offsetting receipts from the public	324	212	

TITLE V—GENERAL PROVISIONS

OBLIGATIONS DURING LAST MONTH OF AVAILABILITY

SEC. 501. Except for the appropriations entitled "International Disaster Assistance", and "United States Emergency Refugee and Migration Assistance Fund", not more than 15 percent of any appropriation item made available by this Act shall be obligated during the last month of availability.

[PRIVATE AND VOLUNTARY ORGANIZATIONS]

[SEC. 502. (a) None of the funds appropriated or otherwise made available by this Act for development assistance may be made available to any United States private and voluntary organization, except any cooperative development organization, which obtains less than 20 percent of its total annual funding for international activities from sources other than the United States Government: *Provided*, That the Administrator of the United States Agency for International Development, after informing the Committees on Appropriations, may, on a case-by-case basis, waive the restriction contained in this subsection, after taking into account the effectiveness of the overseas development activities of the organization, its level of volunteer support, its financial viability and stability, and the degree of its dependence for its financial support on the agency.

(b) Funds appropriated or otherwise made available under title II of this Act should be made available to private and voluntary

organizations at a level which is at least equivalent to the level provided in fiscal year 1995.]

LIMITATION ON RESIDENCE EXPENSES

SEC. [503] 502. Of the funds appropriated or made available pursuant to this Act, not to exceed \$126,500 shall be for official residence expenses of the United States Agency for International Development during the current fiscal year: *Provided*, That appropriate steps shall be taken to assure that, to the maximum extent possible, United States-owned foreign currencies are utilized in lieu of dollars.

LIMITATION ON EXPENSES

SEC. [504] 503. Of the funds appropriated or made available pursuant to this Act, not to exceed \$5,000 shall be for entertainment expenses of the United States Agency for International Development during the current fiscal year.

LIMITATION ON REPRESENTATIONAL ALLOWANCES

SEC. [505] 504. Of the funds appropriated or made available pursuant to this Act, not to exceed \$95,000 shall be available for representation allowances for the United States Agency for International Development during the current fiscal year: *Provided*, That appropriate steps shall be taken to assure that, to the maximum extent possible, United States-owned foreign currencies are utilized in lieu of dollars: *Provided further*, That of the funds made available by this Act for general costs of administering military assistance and sales under the heading "Foreign Military Financing Program", not to exceed \$2,000 shall be available for entertainment expenses and not to exceed \$125,000 shall be available for representation allowances: *Provided further*, That of the funds made available by this Act under the heading "International Military Education and Training", not to exceed \$50,000 shall be available for entertainment allowances: *Provided further*, That of the funds made available by this Act for the Inter-American Foundation, not to exceed \$2,000 shall be available for entertainment and representation allowances: *Provided further*, That of the funds made available by this Act for the Peace Corps, not to exceed a total of \$4,000 shall be available for entertainment expenses: *Provided further*, That of the funds made available by this Act under the heading "Trade and Development Agency", not to exceed \$2,000 shall be available for representation and entertainment allowances.

[PROHIBITION ON FINANCING NUCLEAR GOODS]

[SEC. 506. None of the funds appropriated or made available (other than funds for "Nonproliferation, Anti-terrorism, Demining and Related Programs") pursuant to this Act, for carrying out the Foreign Assistance Act of 1961, may be used, except for purposes of nuclear safety, to finance the export of nuclear equipment, fuel, or technology.]

PROHIBITION AGAINST DIRECT FUNDING FOR CERTAIN COUNTRIES

SEC. [507] 505. None of the funds appropriated or otherwise made available pursuant to this Act shall be obligated or expended to finance directly any assistance or reparations to Cuba, Iraq, Libya, North Korea, Iran, Sudan, or Syria *unless the President determines that assistance to such country is in the national interest of the United States: Provided*, That for purposes of this section, the prohibition on obligations or expenditures shall include direct loans, credits, insurance and guarantees of the Export-Import Bank or its agents.

MILITARY COUPS

SEC. [508] 506. None of the funds appropriated or otherwise made available pursuant to this Act shall be obligated or expended to finance directly any assistance to the government of any country whose duly elected head of government is deposed by [decree or] military coup *or decree: Provided*, That assistance may be resumed to such government if the President determines and certifies to the Committees on Appropriations that subsequent to the termination of assistance a democratically elected government has taken office: *Provided further*, That the provisions of this section shall not apply to assistance to promote democratic elections or public participation in democratic processes *or if the President determines that assistance to such country is in the interest of the United States: Provided further*, That funds made available pursuant to the previous provisions shall be subject to the regular notification procedures of the Committees on Appropriations.

TRANSFERS BETWEEN ACCOUNTS

SEC. [509] 507. None of the funds made available by this Act may be obligated under an appropriation account to which they were not appropriated, except for transfers specifically provided for in this Act, unless the President, prior to the exercise of any authority contained in the Foreign Assistance Act of 1961 to transfer funds, consults with and provides a written policy justification to the Committees on Appropriations of the House of Representatives and the Senate.

DEOBLIGATION/REOBLIGATION AUTHORITY

SEC. [510] 508. Obligated balances of funds appropriated to carry out section 23 of the Arms Export Control Act as of the end of the fiscal year immediately preceding the current fiscal year are, if deobligated, hereby continued available during the current fiscal year for the same purpose under any authority applicable to such appropriations under this Act: *Provided*, That the authority of this subsection may not be used in fiscal year [2002] 2003.

AVAILABILITY OF FUNDS

SEC. [511] 509. No part of any appropriation contained in this Act shall remain available for obligation after the expiration of the current fiscal year unless expressly so provided in this Act: *Provided*, That funds appropriated for the purposes of chapters 1, 8, 11, and 12 of part I, section 667, chapter 4 of part II of the Foreign Assistance Act of 1961, as amended, section 23 of the Arms Export Control Act, and funds provided under the heading "Assistance for Eastern Europe and the Baltic States", shall remain available for an additional four years from the date on which the availability of such funds would otherwise have expired, if such funds are initially obligated before the expiration of their respective periods of availability contained in this Act: *Provided further*, That, notwithstanding any other provision of this Act, any funds made available for the purposes of chapter 1 of part I and chapter 4 of part II of the Foreign Assistance Act of 1961 which are allocated or obligated for cash disbursements in order to address balance of payments or economic policy reform objectives, shall remain available until expended.

LIMITATION ON ASSISTANCE TO COUNTRIES IN DEFAULT

SEC. [512] 510. No part of any appropriation contained in this Act shall be used to furnish assistance to the government of any country which is in default during a period in excess of one calendar year in payment to the United States of principal or interest on any loan made to the government of such country by the United States pursuant to a program for which funds are appropriated under this Act unless the President determines[, following consultations with the Committees on Appropriations,] that assistance to such country is in the national interest of the United States.

[COMMERCE AND TRADE]

[SEC. 513. (a) None of the funds appropriated or made available pursuant to this Act for direct assistance and none of the funds otherwise made available pursuant to this Act to the Export-Import Bank and the Overseas Private Investment Corporation shall be obligated or expended to finance any loan, any assistance or any other financial commitments for establishing or expanding production of any commodity for export by any country other than the United States, if the commodity is likely to be in surplus on world markets at the time the resulting productive capacity is expected to become operative and if the assistance will cause substantial injury to United States producers of the same, similar, or competing commodity: *Provided*, That such prohibition shall not apply to the Export-Import Bank if in the judgment of its Board of Directors the benefits to industry and employment in the United States are likely to outweigh the injury to United States producers of the same, similar, or competing commodity, and the Chairman of the Board so notifies the Committees on Appropriations.

(b) None of the funds appropriated by this or any other Act to carry out chapter 1 of part I of the Foreign Assistance Act of 1961 shall be available for any testing or breeding feasibility study, variety improvement or introduction, consultancy, publication, conference, or training in connection with the growth or production in a foreign country of an agricultural commodity for export which would compete with a similar commodity grown or produced in the United States: *Provided*, That this subsection shall not prohibit—

(1) activities designed to increase food security in developing countries where such activities will not have a significant impact

in the export of agricultural commodities of the United States; or

(2) research activities intended primarily to benefit American producers.]

[SURPLUS COMMODITIES]

[SEC. 514. The Secretary of the Treasury shall instruct the United States Executive Directors of the International Bank for Reconstruction and Development, the International Development Association, the International Finance Corporation, the Inter-American Development Bank, the International Monetary Fund, the Asian Development Bank, the Inter-American Investment Corporation, the North American Development Bank, the European Bank for Reconstruction and Development, the African Development Bank, and the African Development Fund to use the voice and vote of the United States to oppose any assistance by these institutions, using funds appropriated or made available pursuant to this Act, for the production or extraction of any commodity or mineral for export, if it is in surplus on world markets and if the assistance will cause substantial injury to United States producers of the same, similar, or competing commodity.]

NOTIFICATION REQUIREMENTS

SEC. [515] 511. For the purposes of providing the executive branch with the necessary administrative flexibility, none of the funds made available under this Act for ["Child Survival and Health Programs Fund",] "Development Assistance", "International Organizations and Programs", "Trade and Development Agency", "International Narcotics Control and Law Enforcement", "Andean Counterdrug Initiative", "Assistance for Eastern Europe and the Baltic States", "Assistance for the Independent States of the Former Soviet Union", "Economic Support Fund", "Peacekeeping Operations", "Operating Expenses of the United States Agency for International Development", "Capital Investment Fund," "Operating Expenses of the United States Agency for International Development Office of Inspector General", "Nonproliferation, Anti-terrorism, Demining and Related Programs", "Foreign Military Financing Program", "International Military Education and Training", "Peace Corps", and "Migration and Refugee Assistance", shall be available for obligation for activities, programs, projects, type of materiel assistance, countries, or other operations not justified or in excess of the amount justified to the Appropriations Committees for obligation under any of these specific headings unless the Appropriations Committees of both Houses of Congress are previously notified 15 days in advance: *Provided*, That the President shall not enter into any commitment of funds appropriated for the purposes of section 23 of the Arms Export Control Act for the provision of major defense equipment, other than conventional ammunition, or other major defense items defined to be aircraft, ships, missiles, or combat vehicles, not previously justified to Congress or 20 percent in excess of the quantities justified to Congress unless the Committees on Appropriations are notified 15 days in advance of such commitment: *Provided further*, That this section shall not apply to any reprogramming for an activity, program, or project under chapter 1 of part I of the Foreign Assistance Act of 1961 of less than 10 percent of the amount previously justified to the Congress for obligation for such activity, program, or project for the current fiscal year: *Provided further*, That the requirements of this section or any similar provision of this Act or any other Act, including any prior Act requiring notification in accordance with the regular notification procedures of the Committees on Appropriations, may be waived if failure to do so would pose a substantial risk to human health or welfare: *Provided further*, That in case of any such waiver, notification to the Congress, or the appropriate congressional committees, shall be provided as early as practicable, but in no event later than 3 days after taking the action to which such notification requirement was applicable, in the context of the circumstances necessitating such waiver: *Provided further*, That any notification provided pursuant to such a waiver shall contain an explanation of the emergency circumstances.

LIMITATION ON AVAILABILITY OF FUNDS FOR INTERNATIONAL ORGANIZATIONS AND PROGRAMS

SEC. [516] 512. Subject to the regular notification procedures of the Committees on Appropriations, funds appropriated under this Act or any previously enacted Act making appropriations for foreign operations, export financing, and related programs, which are returned or not made available for organizations and programs because of the implementation of section 307(a) of the Foreign Assistance

LIMITATION ON AVAILABILITY OF FUNDS FOR INTERNATIONAL ORGANIZATIONS AND PROGRAMS—Continued

Act of 1961, shall remain available for obligation until September 30, [2003] 2004.

INDEPENDENT STATES OF THE FORMER SOVIET UNION

[SEC. 517. (a) None of the funds appropriated under the heading “Assistance for the Independent States of the Former Soviet Union” shall be made available for assistance for a government of an Independent State of the former Soviet Union—

(1) unless that government is making progress in implementing comprehensive economic reforms based on market principles, private ownership, respect for commercial contracts, and equitable treatment of foreign private investment; and

(2) if that government applies or transfers United States assistance to any entity for the purpose of expropriating or seizing ownership or control of assets, investments, or ventures.

Assistance may be furnished without regard to this subsection if the President determines that to do so is in the national interest.

(b) None of the funds appropriated under the heading “Assistance for the Independent States of the Former Soviet Union” shall be made available for assistance for a government of an Independent State of the former Soviet Union if that government directs any action in violation of the territorial integrity or national sovereignty of any other Independent State of the former Soviet Union, such as those violations included in the Helsinki Final Act: *Provided*, That such funds may be made available without regard to the restriction in this subsection if the President determines that to do so is in the national security interest of the United States.

(c) None of the funds appropriated under the heading “Assistance for the Independent States of the Former Soviet Union” shall be made available for any state to enhance its military capability: *Provided*, That this restriction does not apply to demilitarization, demining or nonproliferation programs.

(d) Funds appropriated under the heading “Assistance for the Independent States of the Former Soviet Union” for the Russian Federation, Armenia, Georgia, and Ukraine shall be subject to the regular notification procedures of the Committees on Appropriations.

(e) Funds made available in this Act for assistance for the Independent States of the former Soviet Union shall be subject to the provisions of section 117 (relating to environment and natural resources) of the Foreign Assistance Act of 1961.]

[(f)] *SEC. 513.* Funds appropriated in this or prior appropriations Acts that are or have been made available for an Enterprise Fund in the Independent States of the Former Soviet Union may be deposited by such Fund in interest-bearing accounts prior to the disbursement of such funds by the Fund for program purposes. The Fund may retain for such program purposes any interest earned on such deposits without returning such interest to the Treasury of the United States and without further appropriation by the Congress. Funds made available for Enterprise Funds shall be expended at the minimum rate necessary to make timely payment for projects and activities.

[(g)] In issuing new task orders, entering into contracts, or making grants, with funds appropriated in this Act or prior appropriations Acts under the heading “Assistance for the Independent States of the Former Soviet Union” and under comparable headings in prior appropriations Acts, for projects or activities that have as one of their primary purposes the fostering of private sector development, the Coordinator for United States Assistance to the New Independent States and the implementing agency shall encourage the participation of and give significant weight to contractors and grantees who propose investing a significant amount of their own resources (including volunteer services and in-kind contributions) in such projects and activities.]

PROHIBITION ON FUNDING FOR ABORTIONS AND INVOLUNTARY STERILIZATION

SEC. [518] 514. None of the funds made available to carry out part I of the Foreign Assistance Act of 1961, as amended, may be used to pay for the performance of abortions as a method of family planning or to motivate or coerce any person to practice abortions. None of the funds made available to carry out part I of the Foreign Assistance Act of 1961, as amended, may be used to pay for the performance of involuntary sterilization as a method of family planning or to coerce or provide any financial incentive to any person to undergo sterilizations. None of the funds made available to carry out part I of the Foreign Assistance Act of 1961, as amended, may

be used to pay for any biomedical research which relates in whole or in part, to methods of, or the performance of, abortions or involuntary sterilization as a means of family planning. None of the funds made available to carry out part I of the Foreign Assistance Act of 1961, as amended, may be obligated or expended for any country or organization if the President certifies that the use of these funds by any such country or organization would violate any of the above provisions related to abortions and involuntary sterilizations.

EXPORT FINANCING TRANSFER AUTHORITIES

SEC. [519] 515. Not to exceed 5 percent of any appropriation other than for administrative expenses made available for fiscal year [2002] 2003, for programs under title I of this Act may be transferred between such appropriations for use for any of the purposes, programs, and activities for which the funds in such receiving account may be used, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 25 percent by any such transfer: *Provided*, That the exercise of such authority shall be subject to the regular notification procedures of the Committees on Appropriations.

[SPECIAL NOTIFICATION REQUIREMENTS]

[SEC. 520. None of the funds appropriated by this Act shall be obligated or expended for Colombia, Haiti, Liberia, Serbia, Sudan, Zimbabwe, Pakistan, or the Democratic Republic of the Congo except as provided through the regular notification procedures of the Committees on Appropriations.]

DEFINITION OF PROGRAM, PROJECT, AND ACTIVITY

SEC. [521] 516. For the purpose of this Act, “program, project, and activity” shall be defined at the appropriations Act account level and shall include all appropriations and authorizations Acts earmarks, ceilings, and limitations with the exception that for the following accounts[:], Economic Support Fund and Foreign Military Financing Program, “program, project, and activity” shall also be considered to include country, regional, and central program level funding within each such account; for the development assistance accounts of the United States Agency for International Development, “program, project, and activity” shall also be considered to include central program level funding, either as: (1) justified to the Congress; or (2) allocated by the executive branch in accordance with a report, to be provided to the Committees on Appropriations within 30 days of the enactment of this Act, as required by section 653(a) of the Foreign Assistance Act of 1961.

CHILD SURVIVAL AND HEALTH ACTIVITIES

SEC. [522.] 517. Up to [\$15,500,000] \$18,500,000 of the funds made available by this Act for assistance under the heading [“Child Survival and Health Programs Fund”] “Development Assistance”, may be used to reimburse United States Government agencies, agencies of State governments, institutions of higher learning, and private and voluntary organizations for the full cost of individuals (including for the personal services of such individuals) detailed or assigned to, or contracted by, as the case may be, the United States Agency for International Development for the purpose of carrying out activities under that heading: [*Provided*, That up to \$3,000,000 of the funds made available by this Act for assistance under the heading “Development Assistance” may be used to reimburse such agencies, institutions, and organizations for such costs of such individuals carrying out other development assistance activities:] *Provided* [*further*], That funds appropriated by this Act that are made available for child survival activities or disease programs including activities relating to research on, and the prevention, treatment and control of, HIV/AIDS may be made available notwithstanding any other provision of law[: *Provided further*, That funds appropriated under title II of this Act may be made available pursuant to section 301 of the Foreign Assistance Act of 1961 if a primary purpose of the assistance is for child survival and related programs: *Provided further*, That of the funds appropriated under title II of this Act, \$446,500,000 shall be made available for family planning/reproductive health].

PROHIBITION AGAINST INDIRECT FUNDING TO CERTAIN COUNTRIES

SEC. [523] 518. None of the funds appropriated or otherwise made available pursuant to this Act shall be obligated to finance indirectly any assistance or reparations to Cuba, Iraq, Libya, Iran, Syria, North Korea, or Sudan, unless the President of the United States certifies that the withholding of these funds is contrary to the national interest of the United States.

【NOTIFICATION ON EXCESS DEFENSE EQUIPMENT】

【SEC. 524. Prior to providing excess Department of Defense articles in accordance with section 516(a) of the Foreign Assistance Act of 1961, the Department of Defense shall notify the Committees on Appropriations to the same extent and under the same conditions as are other committees pursuant to subsection (f) of that section: *Provided*, That before issuing a letter of offer to sell excess defense articles under the Arms Export Control Act, the Department of Defense shall notify the Committees on Appropriations in accordance with the regular notification procedures of such Committees if such defense articles are significant military equipment (as defined in section 47(9) of the Arms Export Control Act) or are valued (in terms of original acquisition cost) at \$7,000,000 or more, or if notification is required elsewhere in this Act for the use of appropriated funds for specific countries that would receive such excess defense articles: *Provided further*, That such Committees shall also be informed of the original acquisition cost of such defense articles.】

【AUTHORIZATION REQUIREMENT】

【SEC. 525. Funds appropriated by this Act, except funds appropriated under the headings “Peace Corps” and “Trade and Development Agency”, may be obligated and expended notwithstanding section 10 of Public Law 91–672 and section 15 of the State Department Basic Authorities Act of 1956.】

DEMOCRACY PROGRAMS

SEC. 【526】 519. (a) Funds appropriated by this Act that are provided to the National Endowment for Democracy may be made available notwithstanding any other provision of law or regulation: *Provided*, That notwithstanding any other provision of law, 【of the】 funds appropriated by this Act to carry out provisions of chapter 4 of part II of the Foreign Assistance Act of 1961, 【not less than \$10,000,000 shall】 *may* be made available for assistance for activities to support democracy, human rights, and the rule of law in the People’s Republic of China【, of which not less than \$5,000,000 should be made available for the Human Rights and Democracy Fund of the Bureau of Democracy, Human Rights and Labor, Department of State, for such activities, and of which not to exceed \$3,000,000 may be made available to nongovernmental organizations located outside the People’s Republic of China to support activities which preserve cultural traditions and promote sustainable development and environmental conservation in Tibetan communities in Tibet: *Provided further*, That funds made available pursuant to the authority of this section for programs, projects, and activities in the People’s Republic of China shall be subject to the regular notification procedures of the Committees on Appropriations.】

(b) 【In addition to the funds made available in subsection (a), of the funds】 *Funds* appropriated by this Act under the heading “Economic Support Fund”【, not less than \$10,000,000 should】 *may* be made available, *notwithstanding any other provision of law*, for programs and activities to foster democracy, human rights, press freedoms, women’s development, and the rule of law in countries with a significant Muslim population, and where such programs and activities would be important to United States efforts to respond to, deter, or prevent acts of international terrorism: *Provided*, That funds made available pursuant to the authority of this subsection should support new initiatives or bolster ongoing programs and activities in those countries: *Provided further*, That not less than \$6,000,000 of such funds should be made available for the Human Rights and Democracy Fund of the Bureau of Democracy, Human Rights and Labor, Department of State, and not less than \$4,000,000 of such funds should be made available to a private, non-profit organization authorized by Congress to strengthen democratic institutions worldwide through nongovernmental efforts: *Provided further*, That funds made available pursuant to the authority of this subsection shall be subject to the regular notification procedures of the Committees on Appropriations.】

PROHIBITION ON BILATERAL ASSISTANCE TO TERRORIST COUNTRIES

SEC. 【527】 520. (a) Funds appropriated for bilateral assistance under any heading of this Act and funds appropriated under any such heading in a provision of law enacted prior to the enactment of this Act, shall not be made available to any country which the President determines—

- (1) grants sanctuary from prosecution to any individual or group which has committed an act of international terrorism; or
- (2) otherwise supports international terrorism.

(b) The President may waive the application of subsection (a) to a country if the President determines that national security or humanitarian reasons justify such waiver. The President shall publish each waiver in the Federal Register and, at least 15 days before the waiver takes effect, shall notify the Committees on Appropriations of the waiver (including the justification for the waiver) in accordance with the regular notification procedures of the Committees on Appropriations.

DEBT-FOR-DEVELOPMENT

SEC. 【528】 521. In order to enhance the continued participation of nongovernmental organizations in economic assistance activities under the Foreign Assistance Act of 1961, including endowments, debt-for-development and debt-for-nature exchanges, a nongovernmental organization which is a grantee or contractor of the United States Agency for International Development may place in interest bearing accounts funds made available under this Act or prior Acts or local currencies which accrue to that organization as a result of economic assistance provided under title II of this Act and any interest earned on such investment shall be used for the purpose for which the assistance was provided to that organization.

【SEPARATE ACCOUNTS】

【SEC. 529. (a) SEPARATE ACCOUNTS FOR LOCAL CURRENCIES.—(1) If assistance is furnished to the government of a foreign country under chapters 1 and 10 of part I or chapter 4 of part II of the Foreign Assistance Act of 1961 under agreements which result in the generation of local currencies of that country, the Administrator of the United States Agency for International Development shall—

(A) require that local currencies be deposited in a separate account established by that government;

(B) enter into an agreement with that government which sets forth—

- (i) the amount of the local currencies to be generated; and
- (ii) the terms and conditions under which the currencies so deposited may be utilized, consistent with this section; and

(C) establish by agreement with that government the responsibilities of the United States Agency for International Development and that government to monitor and account for deposits into and disbursements from the separate account.

(2) USES OF LOCAL CURRENCIES.—As may be agreed upon with the foreign government, local currencies deposited in a separate account pursuant to subsection (a), or an equivalent amount of local currencies, shall be used only—

(A) to carry out chapter 1 or 10 of part I or chapter 4 of part II (as the case may be), for such purposes as—

- (i) project and sector assistance activities; or
- (ii) debt and deficit financing; or

(B) for the administrative requirements of the United States Government.

(3) PROGRAMMING ACCOUNTABILITY.—The United States Agency for International Development shall take all necessary steps to ensure that the equivalent of the local currencies disbursed pursuant to subsection (a)(2)(A) from the separate account established pursuant to subsection (a)(1) are used for the purposes agreed upon pursuant to subsection (a)(2).

(4) TERMINATION OF ASSISTANCE PROGRAMS.—Upon termination of assistance to a country under chapter 1 or 10 of part I or chapter 4 of part II (as the case may be), any unencumbered balances of funds which remain in a separate account established pursuant to subsection (a) shall be disposed of for such purposes as may be agreed to by the government of that country and the United States Government.

(5) REPORTING REQUIREMENT.—The Administrator of the United States Agency for International Development shall report on an annual basis as part of the justification documents submitted to the Committees on Appropriations on the use of local currencies for the administrative requirements of the United States Government as authorized in subsection (a)(2)(B), and such report shall include the amount of local currency (and United States dollar equivalent) used and/or to be used for such purpose in each applicable country.

(b) SEPARATE ACCOUNTS FOR CASH TRANSFERS.—(1) If assistance is made available to the government of a foreign country, under chapter 1 or 10 of part I or chapter 4 of part II of the Foreign Assistance Act of 1961, as cash transfer assistance or as nonproject sector assistance, that country shall be required to maintain such funds in a separate account and not commingle them with any other funds.

【SEPARATE ACCOUNTS】—Continued

(2) APPLICABILITY OF OTHER PROVISIONS OF LAW.—Such funds may be obligated and expended notwithstanding provisions of law which are inconsistent with the nature of this assistance including provisions which are referenced in the Joint Explanatory Statement of the Committee of Conference accompanying House Joint Resolution 648 (House Report No. 98–1159).

(3) NOTIFICATION.—At least 15 days prior to obligating any such cash transfer or nonproject sector assistance, the President shall submit a notification through the regular notification procedures of the Committees on Appropriations, which shall include a detailed description of how the funds proposed to be made available will be used, with a discussion of the United States interests that will be served by the assistance (including, as appropriate, a description of the economic policy reforms that will be promoted by such assistance).

(4) EXEMPTION.—Nonproject sector assistance funds may be exempt from the requirements of subsection (b)(1) only through the notification procedures of the Committees on Appropriations.】

COMPENSATION FOR UNITED STATES EXECUTIVE DIRECTORS TO INTERNATIONAL FINANCIAL INSTITUTIONS

SEC. 【530】 522. (a) No funds appropriated by this Act may be made as payment to any international financial institution while the United States Executive Director to such institution is compensated by the institution at a rate which, together with whatever compensation such Director receives from the United States, is in excess of the rate provided for an individual occupying a position at level IV of the Executive Schedule under section 5315 of title 5, United States Code, or while any alternate United States Director to such institution is compensated by the institution at a rate in excess of the rate provided for an individual occupying a position at level V of the Executive Schedule under section 5316 of title 5, United States Code.

(b) For purposes of this section, “international financial institutions” are: the International Bank for Reconstruction and Development, the Inter-American Development Bank, the Asian Development Bank, the Asian Development Fund, the African Development Bank, the African Development Fund, the International Monetary Fund, the North American Development Bank, and the European Bank for Reconstruction and Development.

COMPLIANCE WITH UNITED NATIONS SANCTIONS AGAINST IRAQ

SEC. 【531】 523. None of the funds appropriated or otherwise made available pursuant to this Act to carry out the Foreign Assistance Act of 1961 (including title IV of chapter 2 of part I, relating to the Overseas Private Investment Corporation) or the Arms Export Control Act may be used to provide assistance to any country that is not in compliance with the United Nations Security Council sanctions against Iraq unless the President determines and so certifies to the Congress that—

- (1) such assistance is in the national interest of the United States;
- (2) such assistance will directly benefit the needy people in that country; or
- (3) the assistance to be provided will be humanitarian assistance for foreign nationals who have fled Iraq and Kuwait.

AUTHORITIES FOR THE PEACE CORPS, INTER-AMERICAN FOUNDATION AND AFRICAN DEVELOPMENT FOUNDATION

SEC. 【532】 524. Unless expressly provided to the contrary, provisions of this or any other Act, including provisions contained in prior Acts authorizing or making appropriations for foreign operations, export financing, and related programs, shall not be construed to prohibit activities authorized by or conducted under the Peace Corps Act, the Inter-American Foundation Act or the African Development Foundation Act. The agency shall promptly report to the Committees on Appropriations whenever it is conducting activities or is proposing to conduct activities in a country for which assistance is prohibited.

IMPACT ON JOBS IN THE UNITED STATES

SEC. 【533】 525. None of the funds appropriated by this Act may be obligated or expended to provide—

- (a) any financial incentive to a business enterprise currently located in the United States for the purpose of inducing such an enterprise to relocate outside the United States if such incentive or inducement is likely to reduce the number of employees of such business enterprise in the United States because United States

production is being replaced by such enterprise outside the United States; or

(b) assistance for any project or activity that contributes to the violation of internationally recognized workers rights, as defined in section 502(a)(4) of the Trade Act of 1974, of workers in the recipient country, including any designated zone or area in that country: *Provided*, That in recognition that the application of this subsection should be commensurate with the level of development of the recipient country and sector, the provisions of this subsection shall not preclude assistance for the informal sector in such country, micro and small-scale enterprise, and smallholder agriculture.

SPECIAL AUTHORITIES

SEC. 【534】 526. (a) AFGHANISTAN, LEBANON, MONTENEGRO, VICTIMS OF WAR, DISPLACED CHILDREN, AND DISPLACED BURMESE.—Funds appropriated in 【titles I and II of】 this Act that are made available for Afghanistan, Lebanon, Montenegro, and for victims of war, displaced children, and displaced Burmese, may be made available notwithstanding any other provision of law: *Provided*, That any such funds that are made available for Cambodia shall be subject to the provisions of section 531(e) of the Foreign Assistance Act of 1961 and section 906 of the International Security and Development Cooperation Act of 1985.

(b) TROPICAL FORESTRY AND BIODIVERSITY CONSERVATION ACTIVITIES.—Funds appropriated by this Act to carry out the provisions of sections 103 through 106, and chapter 4 of part II, of the Foreign Assistance Act of 1961 may be used, notwithstanding any other provision of law, for the purpose of supporting tropical forestry and biodiversity conservation activities and energy programs aimed at reducing greenhouse gas emissions: *Provided*, That such assistance shall be subject to sections 116, 502B, and 620A of the Foreign Assistance Act of 1961.

(c) PERSONAL SERVICES CONTRACTORS.—Funds appropriated by this Act to carry out chapter 1 of part I, chapter 4 of part II, and section 667 of the Foreign Assistance Act of 1961, and title II of the Agricultural Trade Development and Assistance Act of 1954, may be used by the United States Agency for International Development to employ up to 25 personal services contractors in the United States, notwithstanding any other provision of law, for the purpose of providing direct, interim support for new or expanded overseas programs and activities and managed by the agency until permanent direct hire personnel are hired and trained: *Provided*, That not more than 10 of such contractors shall be assigned to any bureau or office: *【Provided further*, That such funds appropriated to carry out the Foreign Assistance Act of 1961 may be made available for personal services contractors assigned only to the Office of Health and Nutrition; the Office of Procurement; the Bureau for Africa; the Bureau for Latin America and the Caribbean; and the Bureau for Asia and the Near East:】 *Provided further*, That such funds appropriated to carry out title II of the Agricultural Trade Development and Assistance Act of 1954, may be made available only for personal services contractors assigned to the Office of Food for Peace.

(d)(1) WAIVER.—The President may waive the provisions of section 1003 of Public Law 100–204 if the President determines and certifies in writing to the Speaker of the House of Representatives and the President pro tempore of the Senate that it is important to the national security interests of the United States.

(2) PERIOD OF APPLICATION OF WAIVER.—Any waiver pursuant to paragraph (1) shall be effective for no more than a period of 6 months at a time and shall not apply beyond 12 months after the enactment of this Act.

(e) CONTINGENCIES.—During fiscal year 【2002】 2003, the President may use up to 【\$45,000,000】 \$200,000,000 under the authority of section 451 of the Foreign Assistance Act, notwithstanding the funding ceiling in section 451(a).

(f) SMALL BUSINESS.—In entering into multiple award indefinite-quantity contracts with funds appropriated by this Act, the United States Agency for International Development may provide an exception to the fair opportunity process for placing task orders under such contracts when the order is placed with any category of small or small disadvantaged business.

(g) RECONSTITUTING CIVILIAN POLICE AUTHORITY.—*In providing assistance with funds appropriated by this Act under section 660(b)(6) of the Foreign Assistance Act of 1961, support for a nation emerging from instability may be deemed to mean support for regional, district, municipal, or other sub-national entity emerging from instability, as well as a nation emerging from instability.*

[POLICY ON TERMINATING THE ARAB LEAGUE BOYCOTT OF ISRAEL AND NORMALIZING RELATIONS WITH ISRAEL]

[SEC. 535. It is the sense of the Congress that—

(1) the Arab League countries should immediately and publicly renounce the primary boycott of Israel and the secondary and tertiary boycott of American firms that have commercial ties with Israel and should normalize their relations with Israel;

(2) the decision by the Arab League in 1997 to reinstate the boycott against Israel was deeply troubling and disappointing;

(3) the fact that only three Arab countries maintain full diplomatic relations with Israel is also of deep concern;

(4) the Arab League should immediately rescind its decision on the boycott and its members should develop normal relations with their neighbor Israel; and

(5) the President should—

(A) take more concrete steps to encourage vigorously Arab League countries to renounce publicly the primary boycotts of Israel and the secondary and tertiary boycotts of American firms that have commercial relations with Israel and to normalize their relations with Israel;

(B) take into consideration the participation of any recipient country in the primary boycott of Israel and the secondary and tertiary boycotts of American firms that have commercial relations with Israel when determining whether to sell weapons to said country;

(C) report to Congress annually on the specific steps being taken by the United States and the progress achieved to bring about a public renunciation of the Arab primary boycott of Israel and the secondary and tertiary boycotts of American firms that have commercial relations with Israel and to expand the process of normalizing ties between Arab League countries and Israel; and

(D) encourage the allies and trading partners of the United States to enact laws prohibiting businesses from complying with the boycott and penalizing businesses that do comply.]

ADMINISTRATION OF JUSTICE ACTIVITIES

SEC. [536] 527. Of the funds appropriated or otherwise made available by this Act for “Economic Support Fund”, assistance may be provided to strengthen the administration of justice in countries in Latin America and the Caribbean and in other regions consistent with the provisions of section 534(b) of the Foreign Assistance Act of 1961, except that programs to enhance protection of participants in judicial cases may be conducted notwithstanding section 660 of that Act. Funds made available pursuant to this section may be made available notwithstanding section 534(c) and the second and third sentences of section 534(e) of the Foreign Assistance Act of 1961.

ELIGIBILITY FOR ASSISTANCE

SEC. [537] 528. (a) ASSISTANCE THROUGH NONGOVERNMENTAL ORGANIZATIONS.—Restrictions contained in this or any other Act with respect to assistance for a country shall not be construed to restrict assistance in support of programs of nongovernmental organizations from funds appropriated by this Act to carry out the provisions of chapters 1, 10, 11, and 12 of part I and chapter 4 of part II of the Foreign Assistance Act of 1961, and from funds appropriated under the heading “Assistance for Eastern Europe and the Baltic States”: *Provided*, That the President shall take into consideration, in any case in which a restriction on assistance would be applicable but for this subsection, whether assistance in support of programs of nongovernmental organizations is in the national interest of the United States: *Provided further*, That before using the authority of this subsection to furnish assistance in support of programs of nongovernmental organizations, the President shall notify the Committees on Appropriations under the regular notification procedures of those committees, including a description of the program to be assisted, the assistance to be provided, and the reasons for furnishing such assistance: *Provided further*, That nothing in this subsection shall be construed to alter any existing statutory prohibitions against abortion or involuntary sterilizations contained in this or any other Act.

(b) **PUBLIC LAW 480.**—During fiscal year [2002] 2003, restrictions contained in this or any other Act with respect to assistance for a country shall not be construed to restrict assistance under the Agricultural Trade Development and Assistance Act of 1954: *Provided*, That none of the funds appropriated to carry out title I of such Act and made available pursuant to this subsection may be

obligated or expended except as provided through the regular notification procedures of the Committees on Appropriations.

(c) **EXCEPTION.**—This section shall not apply—

(1) with respect to section 620A of the Foreign Assistance Act of 1961 or any comparable provision of law prohibiting assistance to countries that support international terrorism; or

(2) with respect to section 116 of the Foreign Assistance Act of 1961 or any comparable provision of law prohibiting assistance to the government of a country that violates internationally recognized human rights.

EARMARKS

SEC. [538] 529. (a) Funds appropriated by this Act which are earmarked may be reprogrammed for other programs within the same account notwithstanding the earmark if compliance with the earmark is made [impossible] *not possible* by operation of any provision of this or any other Act or other compelling foreign policy reason: *Provided*, That any such reprogramming shall be subject to the regular notification procedures of the Committees on Appropriations: *Provided further*, That assistance that is reprogrammed pursuant to this subsection shall be made available under the same terms and conditions as originally provided.

(b) In addition to the authority contained in subsection (a), the original period of availability of funds appropriated by this Act and administered by the United States Agency for International Development that are earmarked for particular programs or activities by this or any other Act shall be extended for an additional fiscal year if the Administrator of such agency determines and reports promptly to the Committees on Appropriations that the termination of assistance to a country or a significant change in circumstances makes it unlikely that such earmarked funds can be obligated during the original period of availability: *Provided*, That such earmarked funds that are continued available for an additional fiscal year shall be obligated only for the purpose of such earmark.

CEILINGS AND EARMARKS

SEC. [539] 530. Ceilings and earmarks contained in this Act shall not be applicable to funds or authorities appropriated or otherwise made available by any subsequent Act unless such Act specifically so directs. Earmarks or minimum funding requirements contained in any other Act shall not be applicable to funds appropriated by this Act.

PROHIBITION ON PUBLICITY OR PROPAGANDA

SEC. [540] 531. No part of any appropriation contained in this Act shall be used for publicity or propaganda purposes within the United States not authorized before the date of the enactment of this Act by the Congress: *Provided*, That not to exceed \$750,000 may be made available to carry out the provisions of section 316 of Public Law 96–533].

PURCHASE OF AMERICAN-MADE EQUIPMENT AND PRODUCTS

SEC. [541] 532. To the maximum extent practicable, assistance provided under this Act should make full use of American resources, including commodities, products, and services.

[PROHIBITION OF PAYMENTS TO UNITED NATIONS MEMBERS]

[SEC. 542. None of the funds appropriated or made available pursuant to this Act for carrying out the Foreign Assistance Act of 1961, may be used to pay in whole or in part any assessments, arrearages, or dues of any member of the United Nations or, from funds appropriated by this Act to carry out chapter 1 of part I of the Foreign Assistance Act of 1961, the costs for participation of another country’s delegation at international conferences held under the auspices of multilateral or international organizations.]

[NONGOVERNMENTAL ORGANIZATIONS—DOCUMENTATION]

[SEC. 543. None of the funds appropriated or made available pursuant to this Act shall be available to a nongovernmental organization which fails to provide upon timely request any document, file, or record necessary to the auditing requirements of the United States Agency for International Development.]

[PROHIBITION ON ASSISTANCE TO FOREIGN GOVERNMENTS THAT EXPORT LETHAL MILITARY EQUIPMENT TO COUNTRIES SUPPORTING INTERNATIONAL TERRORISM]

[SEC. 544. (a) None of the funds appropriated or otherwise made available by this Act may be available to any foreign government which provides lethal military equipment to a country the govern-

【PROHIBITION ON ASSISTANCE TO FOREIGN GOVERNMENTS THAT EXPORT LETHAL MILITARY EQUIPMENT TO COUNTRIES SUPPORTING INTERNATIONAL TERRORISM】—Continued

ment of which the Secretary of State has determined is a terrorist government for purposes of section 6(j) of the Export Administration Act. The prohibition under this section with respect to a foreign government shall terminate 12 months after that government ceases to provide such military equipment. This section applies with respect to lethal military equipment provided under a contract entered into after October 1, 1997.

(b) Assistance restricted by subsection (a) or any other similar provision of law, may be furnished if the President determines that furnishing such assistance is important to the national interests of the United States.

(c) Whenever the waiver of subsection (b) is exercised, the President shall submit to the appropriate congressional committees a report with respect to the furnishing of such assistance. Any such report shall include a detailed explanation of the assistance to be provided, including the estimated dollar amount of such assistance, and an explanation of how the assistance furthers United States national interests.】

【WITHHOLDING OF ASSISTANCE FOR PARKING FINES OWED BY FOREIGN COUNTRIES】

【SEC. 545. (a) IN GENERAL.—Of the funds appropriated under this Act that are made available for a foreign country under part I of the Foreign Assistance Act of 1961, an amount equivalent to 110 percent of the total unpaid fully adjudicated parking fines and penalties owed to the District of Columbia and New York City, New York by such country as of the date of the enactment of this Act that were incurred after the first day of the fiscal year preceding the current fiscal year shall be withheld from obligation for such country until the Secretary of State certifies and reports in writing to the appropriate congressional committees that such fines and penalties are fully paid to the governments of the District of Columbia and New York City, New York.

(b) **DEFINITION.—**For purposes of this section, the term “appropriate congressional committees” means the Committee on Foreign Relations and the Committee on Appropriations of the Senate and the Committee on International Relations and the Committee on Appropriations of the House of Representatives.】

LIMITATION ON ASSISTANCE FOR THE PLO FOR THE WEST BANK AND GAZA

SEC. 546】 533. None of the funds appropriated by this Act may be obligated for assistance for the Palestine Liberation Organization for the West Bank and Gaza unless the President has exercised the authority under section 604(a) of the Middle East Peace Facilitation Act of 1995 (title VI of Public Law 104–107) or any other legislation to suspend or make inapplicable section 307 of the Foreign Assistance Act of 1961 and that suspension is still in effect: *Provided*, That if the President fails to make the certification under section 604(b)(2) of the Middle East Peace Facilitation Act of 1995 or to suspend the prohibition under other legislation, funds appropriated by this Act may not be obligated for assistance for the Palestine Liberation Organization for the West Bank and Gaza.

WAR CRIMES TRIBUNALS DRAWDOWN

SEC. 547】 534. If the President determines that doing so will contribute to a just resolution of charges regarding genocide or other violations of international humanitarian law, the President may direct a drawdown pursuant to section 552(c) of the Foreign Assistance Act of 1961, as amended, of up to \$30,000,000 of commodities and services for the United Nations War Crimes Tribunal established with regard to the former Yugoslavia by the United Nations Security Council or such other tribunals or commissions as the Council may establish or authorize to deal with such violations, without regard to the ceiling limitation contained in paragraph (2) thereof: *Provided*, That the determination required under this section shall be in lieu of any determinations otherwise required under section 552(c): *Provided further*, That funds made available for tribunals other than Yugoslavia or Rwanda shall be made available subject to the regular notification procedures of the Committees on Appropriations.】

LANDMINES

SEC. 548】 535. Notwithstanding any other provision of law, demining equipment available to the United States Agency for International Development and the Department of State and used in sup-

port of the clearance of landmines and unexploded ordnance for humanitarian purposes may be disposed of on a grant basis in foreign countries, subject to such terms and conditions as the President may prescribe: *Provided*, That section 1365(c) of the National Defense Authorization Act for Fiscal Year 1993 (Public Law 102–484; 22 U.S.C., 2778 note) is amended by striking “During the 11-year period beginning on October 23, 1992” and inserting “During the 16-year period beginning on October 23, 1992”].

RESTRICTIONS CONCERNING THE PALESTINIAN AUTHORITY

SEC. 549】 536. None of the funds appropriated by this Act may be obligated or expended to create in any part of Jerusalem a new office of any department or agency of the United States Government for the purpose of conducting official United States Government business with the Palestinian Authority over Gaza and Jericho or any successor Palestinian governing entity provided for in the Israel-PLO Declaration of Principles: *Provided*, That this restriction shall not apply to the acquisition of additional space for the existing Consulate General in Jerusalem: *Provided further*, That meetings between officers and employees of the United States and officials of the Palestinian Authority, or any successor Palestinian governing entity provided for in the Israel-PLO Declaration of Principles, for the purpose of conducting official United States Government business with such authority should continue to take place in locations other than Jerusalem. As has been true in the past, officers and employees of the United States Government may continue to meet in Jerusalem on other subjects with Palestinians (including those who now occupy positions in the Palestinian Authority), have social contacts, and have incidental discussions.

PROHIBITION OF PAYMENT OF CERTAIN EXPENSES

SEC. 550】 537. None of the funds appropriated or otherwise made available by this Act under the heading “International Military Education and Training” or “Foreign Military Financing Program” for Informational Program activities or under the headings [“Child Survival and Health Programs Fund”], [“Development Assistance”], and [“Economic Support Fund”] may be obligated or expended to pay for—

- (1) alcoholic beverages; or
- (2) entertainment expenses for activities that are substantially of a recreational character, including entrance fees at sporting events and amusement parks.

SPECIAL DEBT RELIEF FOR THE POOREST

SEC. 551】 538. (a) AUTHORITY TO REDUCE DEBT.—The President may reduce amounts owed to the United States (or any agency of the United States) by an eligible country as a result of—

- (1) guarantees issued under sections 221 and 222 of the Foreign Assistance Act of 1961;
- (2) credits extended or guarantees issued under the Arms Export Control Act; or
- (3) any obligation or portion of such obligation, to pay for purchases of United States agricultural commodities guaranteed by the Commodity Credit Corporation under export credit guarantee programs authorized pursuant to section 5(f) of the Commodity Credit Corporation Charter Act of June 29, 1948, as amended, section 4(b) of the Food for Peace Act of 1966, as amended (Public Law 89–808), or section 202 of the Agricultural Trade Act of 1978, as amended (Public Law 95–501).

(b) **LIMITATIONS.—**

- (1) The authority provided by subsection (a) may be exercised only to implement multilateral official debt relief and referendum agreements, commonly referred to as “Paris Club Agreed Minutes”.
- (2) The authority provided by subsection (a) may be exercised only in such amounts or to such extent as is provided in advance by appropriations Acts.
- (3) The authority provided by subsection (a) may be exercised only with respect to countries with heavy debt burdens that are eligible to borrow from the International Development Association, but not from the International Bank for Reconstruction and Development, commonly referred to as “IDA-only” countries.

(c) **CONDITIONS.—**The authority provided by subsection (a) may be exercised only with respect to a country whose government—

- (1) does not have an excessive level of military expenditures;
- (2) has not repeatedly provided support for acts of international terrorism;
- (3) is not failing to cooperate on international narcotics control matters;

(4) (including its military or other security forces) does not engage in a consistent pattern of gross violations of internationally recognized human rights; and

(5) is not ineligible for assistance because of the application of section 527 of the Foreign Relations Authorization Act, Fiscal Years 1994 and 1995.

(d) AVAILABILITY OF FUNDS.—The authority provided by subsection (a) may be used only with regard to funds appropriated by this Act under the heading “Debt Restructuring”.

(e) CERTAIN PROHIBITIONS INAPPLICABLE.—A reduction of debt pursuant to subsection (a) shall not be considered assistance for purposes of any provision of law limiting assistance to a country. The authority provided by subsection (a) may be exercised notwithstanding section 620(r) of the Foreign Assistance Act of 1961 or section 321 of the International Development and Food Assistance Act of 1975.

AUTHORITY TO ENGAGE IN DEBT BUYBACKS OR SALES

SEC. [552] 539. (a) LOANS ELIGIBLE FOR SALE, REDUCTION, OR CANCELLATION.—

(1) AUTHORITY TO SELL, REDUCE, OR CANCEL CERTAIN LOANS.—Notwithstanding any other provision of law, the President may, in accordance with this section, sell to any eligible purchaser any concessional loan or portion thereof made before January 1, 1995, pursuant to the Foreign Assistance Act of 1961, to the government of any eligible country as defined in section 702(6) of that Act or on receipt of payment from an eligible purchaser, reduce or cancel such loan or portion thereof, only for the purpose of facilitating—

(A) debt-for-equity swaps, debt-for-development swaps, or debt-for-nature swaps; or

(B) a debt buyback by an eligible country of its own qualified debt, only if the eligible country uses an additional amount of the local currency of the eligible country, equal to not less than 40 percent of the price paid for such debt by such eligible country, or the difference between the price paid for such debt and the face value of such debt, to support activities that link conservation and sustainable use of natural resources with local community development, and child survival and other child development, in a manner consistent with sections 707 through 710 of the Foreign Assistance Act of 1961, if the sale, reduction, or cancellation would not contravene any term or condition of any prior agreement relating to such loan.

(2) TERMS AND CONDITIONS.—Notwithstanding any other provision of law, the President shall, in accordance with this section, establish the terms and conditions under which loans may be sold, reduced, or canceled pursuant to this section.

(3) ADMINISTRATION.—The Facility, as defined in section 702(8) of the Foreign Assistance Act of 1961, shall notify the administrator of the agency primarily responsible for administering part I of the Foreign Assistance Act of 1961 of purchasers that the President has determined to be eligible, and shall direct such agency to carry out the sale, reduction, or cancellation of a loan pursuant to this section. Such agency shall make an adjustment in its accounts to reflect the sale, reduction, or cancellation.

(4) LIMITATION.—The authorities of this subsection shall be available only to the extent that appropriations for the cost of the modification, as defined in section 502 of the Congressional Budget Act of 1974, are made in advance.

(b) DEPOSIT OF PROCEEDS.—The proceeds from the sale, reduction, or cancellation of any loan sold, reduced, or canceled pursuant to this section shall be deposited in the United States Government account or accounts established for the repayment of such loan.

(c) ELIGIBLE PURCHASERS.—A loan may be sold pursuant to subsection (a)(1)(A) only to a purchaser who presents plans satisfactory to the President for using the loan for the purpose of engaging in debt-for-equity swaps, debt-for-development swaps, or debt-for-nature swaps.

(d) DEBTOR CONSULTATIONS.—Before the sale to any eligible purchaser, or any reduction or cancellation pursuant to this section, of any loan made to an eligible country, the President should consult with the country concerning the amount of loans to be sold, reduced, or canceled and their uses for debt-for-equity swaps, debt-for-development swaps, or debt-for-nature swaps.

(e) AVAILABILITY OF FUNDS.—The authority provided by subsection (a) may be used only with regard to funds appropriated by this Act under the heading “Debt Restructuring”.

【RESTRICTIONS ON VOLUNTARY CONTRIBUTIONS TO UNITED NATIONS AGENCIES】

【SEC. 553. (a) PROHIBITION ON VOLUNTARY CONTRIBUTIONS FOR THE UNITED NATIONS.—None of the funds appropriated by this Act may be made available to pay any voluntary contribution of the United States to the United Nations (including the United Nations Development Program) if the United Nations implements or imposes any taxation on any United States persons.

(b) CERTIFICATION REQUIRED FOR DISBURSEMENT OF FUNDS.—None of the funds appropriated by this Act may be made available to pay any voluntary contribution of the United States to the United Nations (including the United Nations Development Program) unless the President certifies to the Congress 15 days in advance of such payment that the United Nations is not engaged in any effort to implement or impose any taxation on United States persons in order to raise revenue for the United Nations or any of its specialized agencies.

(c) DEFINITIONS.—As used in this section the term “United States person” refers to—

(1) a natural person who is a citizen or national of the United States; or

(2) a corporation, partnership, or other legal entity organized under the United States or any State, territory, possession, or district of the United States.】

HAITI COAST GUARD

SEC. [554] 540. The Government of Haiti shall be eligible to purchase defense articles and services under the Arms Export Control Act (22 U.S.C. 2751 et seq.), for the Coast Guard: *Provided*, That the authority provided by this section shall be subject to the regular notification procedures of the Committees on Appropriations.]

LIMITATION ON ASSISTANCE TO THE PALESTINIAN AUTHORITY

SEC. [555] 541. (a) PROHIBITION OF FUNDS.—None of the funds appropriated by this Act to carry out the provisions of chapter 4 of part II of the Foreign Assistance Act of 1961 may be obligated or expended with respect to providing funds to the Palestinian Authority.

(b) WAIVER.—The prohibition included in subsection (a) shall not apply if the President certifies in writing to the Speaker of the House of Representatives and the President pro tempore of the Senate that waiving such prohibition is important to the national security interests of the United States.

(c) PERIOD OF APPLICATION OF WAIVER.—Any waiver pursuant to subsection (b) shall be effective for no more than a period of 6 months at a time and shall not apply beyond 12 months after the enactment of this Act.

【LIMITATION ON ASSISTANCE TO SECURITY FORCES】

【SEC. 556. None of the funds made available by this Act may be provided to any unit of the security forces of a foreign country if the Secretary of State has credible evidence that such unit has committed gross violations of human rights, unless the Secretary determines and reports to the Committees on Appropriations that the government of such country is taking effective measures to bring the responsible members of the security forces unit to justice: *Provided*, That nothing in this section shall be construed to withhold funds made available by this Act from any unit of the security forces of a foreign country not credibly alleged to be involved in gross violations of human rights: *Provided further*, That in the event that funds are withheld from any unit pursuant to this section, the Secretary of State shall promptly inform the foreign government of the basis for such action and shall, to the maximum extent practicable, assist the foreign government in taking effective measures to bring the responsible members of the security forces to justice.】

【DISCRIMINATION AGAINST MINORITY RELIGIOUS FAITHS IN THE RUSSIAN FEDERATION】

【SEC. 557. None of the funds appropriated under this Act may be made available for the Government of the Russian Federation, after 180 days from the date of the enactment of this Act, unless the President determines and certifies in writing to the Committees on Appropriations and the Committee on Foreign Relations of the Senate that the Government of the Russian Federation has implemented no statute, executive order, regulation or similar government action that would discriminate, or would have as its principal effect discrimination, against religious groups or religious communities in the Russian Federation in violation of accepted international agree-

【DISCRIMINATION AGAINST MINORITY RELIGIOUS FAITHS IN THE
RUSSIAN FEDERATION】—Continued

ments on human rights and religious freedoms to which the Russian Federation is a party.】

【ASSISTANCE FOR THE MIDDLE EAST】

【SEC. 558. Of the funds appropriated in titles II and III of this Act under the headings “Economic Support Fund”, “Foreign Military Financing Program”, “International Military Education and Training”, “Peacekeeping Operations”, for refugees resettling in Israel under the heading “Migration and Refugee Assistance”, and for assistance for Israel to carry out provisions of chapter 8 of part II of the Foreign Assistance Act of 1961 under the heading “Nonproliferation, Anti-Terrorism, Demining and Related Programs”, not more than a total of \$5,141,150,000 may be made available for Israel, Egypt, Jordan, Lebanon, the West Bank and Gaza, the Israel-Lebanon Monitoring Group, the Multinational Force and Observers, the Middle East Regional Democracy Fund, Middle East Regional Cooperation, and Middle East Multilateral Working Groups: *Provided*, That any funds that were appropriated under such headings in prior fiscal years and that were at the time of the enactment of this Act obligated or allocated for other recipients may not during fiscal year 2002 be made available for activities that, if funded under this Act, would be required to count against this ceiling: *Provided further*, That funds may be made available notwithstanding the requirements of this section if the President determines and certifies to the Committees on Appropriations that it is important to the national security interest of the United States to do so and any such additional funds shall only be provided through the regular notification procedures of the Committees on Appropriations.】

【ENERGY CONSERVATION AND CLEAN ENERGY PROGRAMS】

【SEC. 559. (a) FUNDING.—Of the funds appropriated by this Act, not less than \$155,000,000 should be made available to support policies and actions in developing countries and countries in transition that promote energy conservation and efficient energy production and use; that measure, monitor, and reduce greenhouse gas emissions; increase carbon sequestration activities; and enhance climate change mitigation programs.

(b) GREENHOUSE GAS EMISSIONS REPORT.—Not later than 30 days after the date on which the President’s fiscal year 2003 budget request is submitted to Congress, the President shall submit a report to the Committees on Appropriations describing in detail the following—

(1) all Federal agency obligations and expenditures, domestic and international, for climate change programs and activities in fiscal year 2002, including an accounting of expenditures by agency with each agency identifying climate change activities and associated costs by line item as presented in the President’s Budget Appendix; and

(2) all fiscal year 2001 obligations and estimated expenditures, fiscal year 2002 estimated expenditures and estimated obligations, and fiscal year 2003 requested funds by the United States Agency for International Development, by country and central program, for each of the following: (1) to promote the transfer and deployment of United States clean energy technologies; (2) to assist in the measurement, monitoring, reporting, verification, and reduction of greenhouse gas emissions; (3) to promote carbon capture and sequestration measures; (4) to help meet such countries’ responsibilities under the Framework Convention on Climate Change; and (5) to develop assessments of the vulnerability to impacts of climate change and response strategies.】

【ZIMBABWE】

【SEC. 560. The Secretary of the Treasury shall instruct the United States executive director to each international financial institution to vote against any extension by the respective institution of any loans, to the Government of Zimbabwe, except to meet basic human needs or to promote democracy, unless the Secretary of State determines and certifies to the Committees on Appropriations that the rule of law has been restored in Zimbabwe, including respect for ownership and title to property, freedom of speech and association.】

【CENTRAL AMERICA RELIEF AND RECONSTRUCTION】

【SEC. 561. Funds made available to the Comptroller General pursuant to title I, chapter 4 of Public Law 106–31, to monitor the provision of assistance to address the effects of hurricanes in Central America and the Caribbean and the earthquake in Colombia, shall

also be available to the Comptroller General to monitor earthquake relief and reconstruction efforts in El Salvador.】

【ENTERPRISE FUND RESTRICTIONS】

【SEC. 562. Prior to the distribution of any assets resulting from any liquidation, dissolution, or winding up of an Enterprise Fund, in whole or in part, the President shall submit to the Committees on Appropriations, in accordance with the regular notification procedures of the Committees on Appropriations, a plan for the distribution of the assets of the Enterprise Fund.】

【CAMBODIA】

【SEC. 563. (a) The Secretary of the Treasury should instruct the United States executive directors of the international financial institutions to use the voice and vote of the United States to oppose loans to the Central Government of Cambodia, except loans to meet basic human needs.

(b)(1) None of the funds appropriated by this Act may be made available for assistance for the Central Government of Cambodia unless the Secretary of State determines and reports to the Committees on Appropriations that the Central Government of Cambodia—

(A) is making significant progress in resolving outstanding human rights cases, including the 1994 grenade attack against the Buddhist Liberal Democratic Party, and the 1997 grenade attack against the Khmer Nation Party;

(B) has held local elections that are deemed free and fair by international and local election monitors; and

(C) is making significant progress in the protection, management, and conservation of the environment and natural resources, including in the promulgation and enforcement of laws and policies to protect forest resources.

(2) In the event the Secretary of State makes the determination under paragraph (1), assistance may be made available to the Central Government of Cambodia only through the regular notification procedures of the Committees on Appropriations.

(c) Notwithstanding subsection (b) of this section or any other provision of law, funds appropriated by this Act may be made available for assistance for basic education and for assistance to the Government of Cambodia’s Ministry of Women and Veteran’s Affairs to combat human trafficking, subject to the regular notification procedures of the Committees on Appropriations.

(d) None of the funds appropriated or otherwise made available by this Act may be used to provide equipment, technical support, consulting services, or any other form of assistance to any tribunal established by the Government of Cambodia pursuant to a memorandum of understanding with the United Nations unless the President determines and certifies to Congress that the tribunal is capable of delivering justice for crimes against humanity and genocide in an impartial and credible manner.】

【FOREIGN MILITARY TRAINING REPORT】

【SEC. 564. (a) The Secretary of Defense and the Secretary of State shall jointly provide to the Congress by March 1, 2002, a report on all military training provided to foreign military personnel (excluding sales, and excluding training provided to the military personnel of countries belonging to the North Atlantic Treaty Organization) under programs administered by the Department of Defense and the Department of State during fiscal years 2001 and 2002, including those proposed for fiscal year 2002. This report shall include, for each such military training activity, the foreign policy justification and purpose for the training activity, the cost of the training activity, the number of foreign students trained and their units of operation, and the location of the training. In addition, this report shall also include, with respect to United States personnel, the operational benefits to United States forces derived from each such training activity and the United States military units involved in each such training activity. This report may include a classified annex if deemed necessary and appropriate.

(b) For purposes of this section a report to Congress shall be deemed to mean a report to the Appropriations and Foreign Relations Committees of the Senate and the Appropriations and International Relations Committees of the House of Representatives.】

KOREAN PENINSULA ENERGY DEVELOPMENT ORGANIZATION

SEC. 【565】 542. (a) 【Of the funds】 *Funds* made available under the heading “Nonproliferation, Anti-terrorism, Demining and Related Programs”【, not to exceed \$95,000,000】 may be made available for the Korean Peninsula Energy Development Organization 【(hereafter referred to in this section as “KEDO”)】, notwithstanding any other

provision of law, only for the administrative expenses and heavy fuel oil costs associated with the Agreed Framework.

[(b) Such funds may be made available for KEDO only if, 15 days prior to such obligation of funds, the President certifies and so reports to Congress that—

(1) the parties to the Agreed Framework have taken and continue to take demonstrable steps to implement the Joint Declaration on Denuclearization of the Korean Peninsula;

(2) North Korea is complying with all provisions of the Agreed Framework; and

(3) the United States is continuing to make significant progress on eliminating the North Korean ballistic missile threat, including further missile tests and its ballistic missile exports.]

[(c) The President may waive the certification requirements of subsection (b) if the President determines that it is vital to the national security interests of the United States and provides written policy justifications to the appropriate congressional committees. No funds may be obligated for KEDO until 15 days after submission to Congress of such waiver.]

[(d) The Secretary of State shall, at the time of the annual presentation for appropriations, submit a report providing a full and detailed accounting of the fiscal year 2003 request for the United States contribution to KEDO, the expected operating budget of KEDO, proposed annual costs associated with heavy fuel oil purchases, including unpaid debt, and the amount of funds pledged by other donor nations and organizations to support KEDO activities on a per country basis, and other related activities.]

[(e) The final proviso under the heading “International Organizations and Programs” in the Foreign Operations, Export Financing, and Related Programs Appropriations Act, 1996 (Public Law 104–107) is repealed.]

[PLO COMPLIANCE REPORT]

[SEC. 566. (a) REPORTING REQUIREMENT.—The President should, at the time specified in subsection (b), submit a report to the Congress assessing the steps that the Palestine Liberation Organization (PLO), or the Palestinian Authority, as appropriate, has taken to comply with its 1993 commitments to renounce the use of terrorism and all other acts of violence and to assume responsibility over all PLO or Palestinian Authority elements and personnel in order to assure their compliance, prevent violations, and discipline violators, including the arrest and prosecution of individuals involved in acts of terror and violence. The President should determine, based on such assessment, whether the PLO or the Palestinian Authority, as appropriate, has substantially complied with such commitments. If the President determines based on the assessment that such compliance has not occurred, then the President should, for a period of time of not less than six months, impose one or more of the following sanctions:

(1) Withdraw or terminate any waiver by the President of the requirements of section 1003 of the Foreign Relations Authorization Act of 1988 and 1989 (22 U.S.C. 5202) (prohibiting the establishment or maintenance of a Palestinian information office in the United States), such section to apply so as to prohibit the operation of a PLO or Palestinian Authority office in the United States from carrying out any function other than those functions carried out by the Palestinian information office in existence prior to the Oslo Accords.

(2) Designate the PLO, or one or more of its constituent groups (including Fatah and Tanzim) or groups operating as arms of the Palestinian Authority (including Force 17) as a foreign terrorist organization, in accordance with section 219(a) of the Immigration and Nationality Act.

(3) Terminate United States assistance (except humanitarian and development assistance) for the West Bank and Gaza Program.

(b) SUBMISSION OF REPORT.—The report required under subsection (a) should be transmitted not later than 60 days after the date of enactment of this Act and shall cover the period commencing June 13, 2001.

(c) UPDATE OF REPORT.—The President should update the report submitted pursuant to subsection (a) as part of the next report required under the PLO Commitments Compliance Act of 1989 (title VIII of Public Law 101–246).

(d) WAIVER AUTHORITY.—The President may waive any or all of the sanctions imposed under subsection (a) if the President determines and reports to the appropriate committees of the Congress that such a waiver is in the national security interests of the United States.]

[COLOMBIA]

[SEC. 567. (a) DETERMINATION AND CERTIFICATION REQUIRED.—Notwithstanding any other provision of law, funds appropriated by this Act or prior Acts making appropriations for foreign operations, export financing, and related programs, may be made available for assistance for the Colombian Armed Forces as follows:

(1) Not more than 60 percent of such funds may be obligated after a determination by the Secretary of State and a certification to the appropriate congressional committees that:

(A) The Commander General of the Colombian Armed Forces is suspending from the Armed Forces those members, of whatever rank, who have been credibly alleged to have committed gross violations of human rights, including extra-judicial killings, or to have aided or abetted paramilitary groups.

(B) The Colombian Armed Forces are cooperating with civilian prosecutors and judicial authorities (including providing requested information, such as the identity of persons suspended from the Armed Forces and the nature and cause of the suspension, and access to witnesses and relevant military documents and other information), in prosecuting and punishing in civilian courts those members of the Colombian Armed Forces, of whatever rank, who have been credibly alleged to have committed gross violations of human rights, including extra-judicial killings, or to have aided or abetted paramilitary groups.

(C) The Colombian Armed Forces are taking effective measures to sever links (including by denying access to military intelligence, vehicles, and other equipment or supplies, and ceasing other forms of active or tacit cooperation), at the command, battalion, and brigade levels, with paramilitary groups, and to execute outstanding orders for capture for members of such groups.

(2) The balance of such funds may be obligated after June 1, 2002, if the Secretary of State determines and certifies to the appropriate congressional committees that the Colombian Armed Forces are continuing to meet the criteria contained in paragraphs (1)(A), (B), and (C).

(b) CONSULTATIVE PROCESS.—At least 10 days prior to making the determination and certification required by this section, and every 120 days thereafter during fiscal year 2002, the Secretary of State shall consult with internationally recognized human rights organizations regarding progress in meeting the conditions contained in subsection (a).

(c) REPORT.—One hundred and twenty days after the enactment of this Act, and every 120 days thereafter during fiscal year 2002, the Secretary of State shall submit a report to the Committees on Appropriations describing actions taken by the Colombian Armed Forces to meet the requirements set forth in subsections (a)(1)(A) through (a)(1)(C).

(d) DEFINITIONS.—In this section:

(1) AIDED OR ABETTED.—The term “aided or abetted” means to provide any support to paramilitary groups, including taking actions which allow, facilitate, or otherwise foster the activities of such groups.

(2) PARAMILITARY GROUPS.—The term “paramilitary groups” means illegal self-defense groups and illegal security cooperatives.]

[ILLEGAL ARMED GROUPS]

[SEC. 568. (a) DENIAL OF VISAS TO SUPPORTERS OF COLOMBIAN ILLEGAL ARMED GROUPS.—Subject to subsection (b), the Secretary of State shall not issue a visa to any alien who the Secretary determines, based on credible evidence—

(1) has willfully provided any support to the Revolutionary Armed Forces of Colombia (FARC), the National Liberation Army (ELN), or the United Self-Defense Forces of Colombia (AUC), including taking actions or failing to take actions which allow, facilitate, or otherwise foster the activities of such groups; or

(2) has committed, ordered, incited, assisted, or otherwise participated in the commission of gross violations of human rights, including extra-judicial killings, in Colombia.

(b) WAIVER.—Subsection (a) shall not apply if the Secretary of State determines and certifies to the appropriate congressional committees, on a case-by-case basis, that the issuance of a visa to the alien is necessary to support the peace process in Colombia or for urgent humanitarian reasons.]

PROHIBITION ON ASSISTANCE TO THE PALESTINIAN BROADCASTING CORPORATION

SEC. [569] 543. None of the funds appropriated or otherwise made available by this Act may be used to provide equipment, technical support, consulting services, or any other form of assistance to the Palestinian Broadcasting Corporation.

IRAQ

SEC. [570] 544. Notwithstanding any other provision of law, funds appropriated under the heading “Economic Support Fund” may be made available for programs benefitting the Iraqi people and to support efforts to bring about a political transition in Iraq: *Provided*, That not more than 15 percent of the funds (except for costs related to broadcasting activities) may be used for administrative and representational expenses, including expenditures for salaries, office rent and equipment: *Provided further*, That not later than 60 days after the date of enactment of this Act, the Secretary of State shall consult with the Committees on Appropriations regarding plans for the expenditure of funds under this section: *Provided further*, That funds made available under this heading are made available subject to the regular notification procedures of the Committees on Appropriations.]

[WEST BANK AND GAZA PROGRAM]

[SEC. 571. For fiscal year 2002, 30 days prior to the initial obligation of funds for the bilateral West Bank and Gaza Program, the Secretary of State shall certify to the appropriate committees of Congress that procedures have been established to assure the Comptroller General of the United States will have access to appropriate United States financial information in order to review the uses of United States assistance for the Program funded under the heading “Economic Support Fund” for the West Bank and Gaza.]

[INDONESIA]

[SEC. 572. (a) Funds appropriated by this Act under the headings “International Military Education and Training” and “Foreign Military Financing Program” may be made available for assistance for Indonesian military personnel only if the President determines and submits a report to the appropriate congressional committees that the Government of Indonesia and the Indonesian Armed Forces are—

- (1) taking effective measures to bring to justice members of the armed forces and militia groups against whom there is credible evidence of human rights violations in East Timor and Indonesia;
- (2) taking effective measures to bring to justice members of the armed forces against whom there is credible evidence of aiding or abetting illegal militia groups in East Timor and Indonesia;
- (3) allowing displaced persons and refugees to return home to East Timor, including providing safe passage for refugees returning from West Timor and demonstrating a commitment to preventing incursions into East Timor by members of militia groups in West Timor;
- (4) demonstrating a commitment to accountability by cooperating with investigations and prosecutions of members of the armed forces and militia groups responsible for human rights violations in East Timor and Indonesia;
- (5) demonstrating a commitment to civilian control of the armed forces by reporting to civilian authorities audits of receipts and expenditures of the armed forces;
- (6) allowing United Nations and other international humanitarian organizations and representatives of recognized human rights organizations access to West Timor, Aceh, West Papua, and Maluka; and
- (7) releasing political detainees.]

[BRIEFINGS ON POTENTIAL PURCHASES OF DEFENSE ARTICLES OR DEFENSE SERVICES BY TAIWAN]

[SEC. 573. (a) BRIEFINGS.—Not later than 90 days after the date of enactment of this Act, and not later than every 120 days thereafter during fiscal year 2002, the Department of State, in consultation with the Department of Defense, shall provide detailed briefings to the appropriate congressional committees (including the Committees on Appropriations) on any discussions conducted between any executive branch agency and the government of Taiwan during the preceding 120 days (or, in the case of the initial briefing, since the date of enactment of this Act) on any potential purchase of defense articles or defense services by the government of Taiwan.

(b) EXECUTIVE AGENCY DEFINED.—In this section, the term “executive branch agency” has the meaning given the term “agency” in section 551(1) of title 5, United States Code.]

[RESTRICTIONS ON ASSISTANCE TO GOVERNMENTS DESTABILIZING SIERRA LEONE]

[SEC. 574. (a) None of the funds appropriated by this Act may be made available for assistance for the government of any country for which the Secretary of State determines there is credible evidence that such government has knowingly facilitated the safe passage of weapons or other equipment, or has provided lethal or non-lethal military support or equipment, directly or through intermediaries, within the previous six months to the Sierra Leone Revolutionary United Front (RUF), Liberian Security Forces, or any other group intent on destabilizing the democratically elected government of the Republic of Sierra Leone.

(b) None of the funds appropriated by this Act may be made available for assistance for the government of any country for which the Secretary of State determines there is credible evidence that such government has aided or abetted, within the previous six months, in the illicit distribution, transportation, or sale of diamonds mined in Sierra Leone.

(c) Whenever the prohibition on assistance required under subsection (a) or (b) is exercised, the Secretary of State shall notify the Committees on Appropriations in a timely manner.]

VOLUNTARY SEPARATION INCENTIVES

SEC. [575] 545. Section 579(c)(2)(D) of the Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2000, as enacted by section 1000(a)(2) of the Consolidated Appropriations Act, 2000 (Public Law 106–113), as amended, is amended by striking “December 31, [2001] 2002” and inserting in lieu thereof “December 31, [2002] 2003”.

[UNITED NATIONS POPULATION FUND]

[SEC. 576. (a) LIMITATIONS ON AMOUNT OF CONTRIBUTION.—Of the amounts made available under “International Organizations and Programs”, not more than \$34,000,000 for fiscal year 2002 shall be made available for the United Nations Population Fund (hereafter in this section referred to as the “UNFPA”).

(b) PROHIBITION ON USE OF FUNDS IN CHINA.—None of the funds made available under “International Organizations and Programs” may be made available for the UNFPA for a country program in the People’s Republic of China.

(c) CONDITIONS ON AVAILABILITY OF FUNDS.—Amounts made available under “International Organizations and Programs” for fiscal year 2002 for the UNFPA may not be made available to UNFPA unless—

- (1) the UNFPA maintains amounts made available to the UNFPA under this section in an account separate from other accounts of the UNFPA;
- (2) the UNFPA does not commingle amounts made available to the UNFPA under this section with other sums; and
- (3) the UNFPA does not fund abortions.]

[AMERICAN CHURCHWOMEN AND OTHER CITIZENS IN EL SALVADOR AND GUATEMALA]

[SEC. 577. (a) To the fullest extent possible information relevant to the December 2, 1980, murders of four American churchwomen in El Salvador, and the May 5, 2001, murder of Sister Barbara Ann Ford and the murders of six other American citizens in Guatemala since December 1999, should be investigated and made public.

(b) The Department of State is urged to pursue all reasonable avenues in assuring the collection and public release of information pertaining to the murders of the six American citizens in Guatemala.

(c) The President shall order all Federal agencies and departments, including the Federal Bureau of Investigation, that possess relevant information, to expeditiously declassify and release to the victims’ families such information, consistent with existing standards and procedures on classification.

(d) In making determinations concerning declassification and release of relevant information, all Federal agencies and departments should use the discretion contained within such existing standards and procedures on classification in support of releasing, rather than withholding, such information.

(e) All reasonable efforts should be taken by the American Embassy in Guatemala to work with relevant agencies of the Guatemalan Government to protect the safety of American citizens in Guatemala, and to assist in the investigations of violations of human rights.]

[PROCUREMENT AND FINANCIAL MANAGEMENT REFORM]

[SEC. 578. (a) FUNDING CONDITIONS.—Of the funds made available under the heading “International Financial Institutions” in this Act,

10 percent of the United States portion or payment to such International Financial Institution shall be withheld by the Secretary of the Treasury, until the Secretary certifies to the Committees on Appropriations that, to the extent pertinent to its lending programs, the institution is—

(1) implementing procedures for conducting annual audits by qualified independent auditors for all new investment lending;

(2) implementing procedures for annual independent external audits of central bank financial statements for countries making use of International Monetary Fund resources under new arrangements or agreements with the Fund;

(3) taking steps to establish an independent fraud and corruption investigative organization or office;

(4) implementing a process to assess a recipient country's procurement and financial management capabilities including an analysis of the risks of corruption prior to initiating new investment lending; and

(5) taking steps to fund and implement programs and policies to improve transparency and anti-corruption programs and procurement and financial management controls in recipient countries.

(b) **DEFINITION.**—The term “International Financial Institutions” means the International Bank for Reconstruction and Development, the International Development Association, the International Finance Corporation, the Inter-American Development Bank, the Inter-American Investment Corporation, the Enterprise for the Americas Multilateral Investment Fund, the Asian Development Bank, the Asian Development Fund, the African Development Bank, the African Development Fund, the European Bank for Reconstruction and Development, and the International Monetary Fund.】

【BASIC EDUCATION ASSISTANCE FOR INDONESIA AND PAKISTAN】

【SEC. 579. (a) Of the funds made available under the heading “Development Assistance” for basic education, \$8,000,000 shall be made available to Indonesia and Pakistan.

(b) Of the funds made available under the heading “Economic Support Fund” for Pakistan, not less than \$2,500,000 shall be transferred to “Operating Expenses of the United States Agency for International Development” for the purpose of monitoring and implementing United States economic support, including that provided under the provisions of Public Law 107–38 and this general provision, of basic education, health, and democracy and governance activities in Pakistan.

(c) Not more than 60 days after the enactment of this Act, the Administrator of the United States Agency for International Development shall report to the House Committees on Appropriations and International Relations and the Senate Committees on Appropriations and Foreign Relations on the Agency's proposed allocation of basic education funding for Indonesia and Pakistan, including in-country monitoring of budget support for basic education provided under Public Law 107–38.】

COMMERCIAL LEASING OF DEFENSE ARTICLES

SEC. 【580】 546. Notwithstanding any other provision of law, and subject to the regular notification procedures of the Committees on Appropriations, the authority of section 23(a) of the Arms Export Control Act may be used to provide financing to Israel, Egypt and NATO and major non-NATO allies for the procurement by leasing (including leasing with an option to purchase) of defense articles from United States commercial suppliers, not including Major Defense Equipment (other than helicopters and other types of aircraft having possible civilian application), if the President determines that there are compelling foreign policy or national security reasons for those defense articles being provided by commercial lease rather than by government-to-government sale under such Act.

【WAR CRIMINALS】

【SEC. 581. (a)(1) None of the funds appropriated or otherwise made available pursuant to this Act may be made available for assistance, and the Secretary of the Treasury shall instruct the United States executive directors to the international financial institutions to vote against any new project involving the extension by such institutions of any financial or technical assistance, to any country, entity, or municipality whose competent authorities have failed, as determined by the Secretary of State, to take necessary and significant steps to implement its international legal obligations to apprehend and transfer to the International Criminal Tribunal for the former Yugoslavia (the “Tribunal”) all persons in their territory who have been publicly indicted by the Tribunal and to otherwise cooperate with the Tribunal.

(2) The provisions of this subsection shall not apply to humanitarian assistance or assistance for democratization.

(b) The provisions of subsection (a) shall apply unless the Secretary of State determines and reports to the appropriate congressional committees that the competent authorities of such country, entity, or municipality are—

(1) cooperating with the Tribunal, including access for investigators, the provision of documents, and the surrender and transfer of publicly indicted persons or assistance in their apprehension; and

(2) are acting consistently with the Dayton Accords.

(c) Not less than 10 days before any vote in an international financial institution regarding the extension of any new project involving financial or technical assistance or grants to any country or entity described in subsection (a), the Secretary of the Treasury, in consultation with the Secretary of State, shall provide to the Committees on Appropriations a written justification for the proposed assistance, including an explanation of the United States position regarding any such vote, as well as a description of the location of the proposed assistance by municipality, its purpose, and its intended beneficiaries.

(d) In carrying out this section, the Secretary of State, the Administrator of the United States Agency for International Development, and the Secretary of the Treasury shall consult with representatives of human rights organizations and all government agencies with relevant information to help prevent publicly indicted war criminals from benefiting from any financial or technical assistance or grants provided to any country or entity described in subsection (a).

(e) The Secretary of State may waive the application of subsection (a) with respect to projects within a country, entity, or municipality upon a written determination to the Committees on Appropriations that such assistance directly supports the implementation of the Dayton Accords.

(f) **DEFINITIONS.**—As used in this section—

(1) **COUNTRY.**—The term “country” means Bosnia and Herzegovina, Croatia and Serbia.

(2) **ENTITY.**—The term “entity” refers to the Federation of Bosnia and Herzegovina, Kosovo, Montenegro and the Republika Srpska.

(3) **MUNICIPALITY.**—The term “municipality” means a city, town or other subdivision within a country or entity as defined herein.

(4) **DAYTON ACCORDS.**—The term “Dayton Accords” means the General Framework Agreement for Peace in Bosnia and Herzegovina, together with annexes relating thereto, done at Dayton, November 10 through 16, 1995.】

【USER FEES】

【SEC. 582. The Secretary of the Treasury shall instruct the United States Executive Director at each international financial institution (as defined in section 1701(c)(2) of the International Financial Institutions Act) and the International Monetary Fund to oppose any loan of these institutions that would require user fees or service charges on poor people for primary education or primary healthcare, including prevention and treatment efforts for HIV/AIDS, malaria, tuberculosis, and infant, child, and maternal well-being, in connection with the institutions' lending programs.】

【HEAVILY INDEBTED POOR COUNTRIES TRUST FUND AUTHORIZATION】

【SEC. 583. Section 801(b)(1) of the Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2001 (Public Law 106–429) is amended by striking “\$435,000,000” and inserting “\$600,000,000”.】

【FUNDING FOR SERBIA】

【SEC. 584. (a) Funds appropriated by this Act may be made available for assistance for Serbia after March 31, 2002, if the President has made the determination and certification contained in subsection (c).

(b) After March 31, 2002, the Secretary of the Treasury should instruct the United States executive directors to the international financial institutions to support loans and assistance to the Government of the Federal Republic of Yugoslavia subject to the conditions in subsection (c): *Provided*, That section 576 of the Foreign Operations, Export Financing, and Related Programs Appropriations Act, 1997, as amended, shall not apply to the provision of loans and assistance to the Federal Republic of Yugoslavia through international financial institutions.

(c) The determination and certification referred to in subsection (a) is a determination by the President and a certification to the Committees on Appropriations that the Government of the Federal Republic of Yugoslavia is—

【FUNDING FOR SERBIA】—Continued

(1) cooperating with the International Criminal Tribunal for the former Yugoslavia including access for investigators, the provision of documents, and the surrender and transfer of indictees or assistance in their apprehension;

(2) taking steps that are consistent with the Dayton Accords to end Serbian financial, political, security and other support which has served to maintain separate Republika Srpska institutions; and

(3) taking steps to implement policies which reflect a respect for minority rights and the rule of law, including the release of political prisoners from Serbian jails and prisons.

(d) Subsections (b) and (c) shall not apply to Montenegro, Kosovo, humanitarian assistance or assistance to promote democracy in municipalities.】

【EL SALVADOR RECONSTRUCTION AND CENTRAL AMERICA DISASTER RELIEF】

【SEC. 585. (a) During fiscal year 2002, not less than \$100,000,000 shall be made available for rehabilitation and reconstruction assistance for El Salvador: *Provided*, That such funds shall be derived as follows: (1) from funds appropriated by this Act, not less than \$65,000,000, of which not less than \$25,000,000 shall be from funds appropriated under the heading “Economic Support Fund”, \$25,000,000 should be from funds appropriated under the heading “International Disaster Assistance”, and not less than \$15,000,000 shall be from funds appropriated under the headings “Child Survival and Health Programs Fund” and “Development Assistance”; and (2) from funds appropriated under such headings in Acts making appropriations for foreign operations, export financing, and related programs for fiscal year 1999 and prior years, not to exceed \$35,000,000: *Provided further*, That none of the funds made available under this section may be obligated for nonproject assistance: *Provided further*, That prior to any obligation of funds made available under this section, the Administrator of the United States Agency for International Development (USAID) shall provide the Committees on Appropriations with a detailed report containing the amount of the proposed obligation and a description of the programs and projects, on a sector-by-sector basis, to be funded with such amount: *Provided further*, That of the funds made available under this section, up to \$2,500,000 may be used for administrative expenses, including auditing costs, of USAID.

(b) During fiscal year 2002, not less than \$35,000,000 of the funds managed by the United States Agency for International Development should be made available for mitigation of the drought and rural food shortages elsewhere in Central America.】

【REPORTS ON CONDITIONS IN HONG KONG】

【SEC. 586. (a) Section 301 of the United States-Hong Kong Policy Act (22 U.S.C. 5731) is amended by striking “and March 31, 2000,” and inserting: “March 31, 2000, March 31, 2001, March 31, 2002, March 31, 2003, March 31, 2004, March 31, 2005, and March 31, 2006”.

(b) The requirement in section 301 of the United States-Hong Kong Policy Act, as amended by subsection (a), that a report under that section shall be transmitted not later than March 31, 2001, shall be considered satisfied by the transmittal of such report by August 7, 2001.】

COMMUNITY-BASED POLICE ASSISTANCE

【SEC. 587】 547. (a) AUTHORITY.—Of the funds made available to carry out the provisions of chapter 1 of part I and chapter 4 of part II of the Foreign Assistance Act of 1961, up to \$1,500,000 may be used, notwithstanding section 660 of that Act, to enhance the effectiveness and accountability of civilian police authority in Jamaica through training and technical assistance in internationally recognized human rights, the rule of law, strategic planning, and through the promotion of civilian police roles that support democratic governance including programs to prevent conflict and foster improved police relations with the communities they serve.

(b) REPORT.—【Twelve months after the initial obligation of funds for Jamaica for activities authorized under subsection (a), the】 *The* Administrator of the United States Agency for International Development shall submit a report to the appropriate congressional committees describing the progress the program is making toward improving police relations with the communities they serve and institutionalizing an effective community-based police program.

【(c) NOTIFICATION.—Assistance provided under subsection (a) shall be subject to the regular notification procedures of the Committees on Appropriations.】

【AUTHORIZATIONS】

【SEC. 588. The Secretary of the Treasury may, to fulfill commitments of the United States, contribute on behalf of the United States to the fifth replenishment of the resources of the International Fund for Agricultural Development. The following amount is authorized to be appropriated without fiscal year limitation for payment by the Secretary of the Treasury: \$30,000,000 for the International Fund for Agricultural Development: *Provided*, That notwithstanding the dates specified in section 7 of the Export-Import Bank Act of 1945 (12 U.S.C. 635f) and section 1(c) of Public Law 103–428, the Export-Import Bank of the United States shall continue to exercise its functions in connection with and in furtherance of its objects and purposes through March 31, 2002.】

EXCESS DEFENSE ARTICLES FOR CENTRAL AND SOUTHERN EUROPEAN COUNTRIES AND CERTAIN OTHER COUNTRIES

SEC. 【589】 548. Notwithstanding section 516(e) of the Foreign Assistance Act of 1961 (22 U.S.C. 2321j(e)), during each of the fiscal years 【2002 and 2003】 2003 and 2004, funds available to the Department of Defense may be expended for crating, packing, handling, and transportation of excess defense articles transferred under the authority of section 516 of such Act to Albania, Bulgaria, Croatia, Estonia, Former Yugoslavia Republic of Macedonia, Georgia, India, Kazakhstan, Kyrgyzstan, Latvia, Lithuania, Moldova, Mongolia, Pakistan, Romania, Slovakia, Slovenia, Tajikistan, Turkmenistan, Ukraine, and Uzbekistan: *Provided*, That section 105 of Public Law 104–164 is amended by striking 【“2000 and 2001”】 “2002 and 2003” and inserting 【“2002 and 2003”】 “2003 and 2004”.

【OVERSEAS PRIVATE INVESTMENT CORPORATION AND EXPORT-IMPORT BANK RESTRICTIONS】

【SEC. 590. (a) LIMITATION ON USE OF FUNDS BY OPIC.—None of the funds made available in this Act may be used by the Overseas Private Investment Corporation to insure, reinsure, guarantee, or finance any investment in connection with a project involving the mining, polishing or other processing, or sale of diamonds in a country that fails to meet the requirements of subsection (c).

(b) LIMITATION ON USE OF FUNDS BY THE EXPORT-IMPORT BANK.—None of the funds made available in this Act may be used by the Export-Import Bank of the United States to guarantee, insure, extend credit, or participate in an extension of credit in connection with the export of any goods to a country for use in an enterprise involving the mining, polishing or other processing, or sale of diamonds in a country that fails to meet the requirements of subsection (c).

(c) REQUIREMENTS.—The requirements referred to in subsection (a) and (b) are that the country concerned is implementing a system of controls, or taking other appropriate measures, that the Secretary of State determines to contribute effectively to preventing and eliminating the trade in conflict diamonds.】

【MODIFICATION TO THE ANNUAL DRUG CERTIFICATION PROCEDURES】

【SEC. 591. During fiscal year 2002 funds in this Act that would otherwise be withheld from obligation or expenditure under section 490 of the Foreign Assistance Act of 1961 may be obligated or expended provided that:

(1) REPORT.—Not later than 45 days after enactment the President has submitted to the appropriate congressional committees a report identifying each country determined by the President to be a major drug-transit country or major illicit drug producing country.

(2) DESIGNATION AND JUSTIFICATION.—In each report under paragraph (1), the President shall also—

(A) designate each country, if any, identified in such report that has failed demonstrably, during the previous 12 months, to make substantial efforts—

(i) to adhere to its obligations under international counter-narcotics agreements; and

(ii) to take the counter-narcotics measures set forth in section 489(a)(1) of the Foreign Assistance Act of 1961; and

(B) include a justification for each country so designated.

(3) LIMITATION ON ASSISTANCE FOR DESIGNATED COUNTRIES.—In the case of a country identified in a report for fiscal year 2002 under paragraph (1) that is also designated under paragraph (2) in the report, United States assistance may be provided under this Act to such country in fiscal year 2002 only if the President

determines and reports to the appropriate congressional committees that—

(A) provision of such assistance to the country in such fiscal year is vital to the national interests of the United States; or

(B) commencing at any time 45 days after enactment, the country has made substantial efforts—

(i) to adhere to its obligations under international counternarcotics agreements; and

(ii) to take the counternarcotics measures set forth in section 489(a)(1) of the Foreign Assistance Act of 1961.

(4) INTERNATIONAL COUNTERNARCOTICS AGREEMENT DEFINED.—In this section, the term “international counternarcotics agreement” means—

(A) the United Nations Convention Against Illicit Traffic in Narcotic Drugs and Psychotropic Substances; or

(B) any bilateral or multilateral agreement in force between the United States and another country or countries that addresses issues relating to the control of illicit drugs, such as—

(i) the production, distribution, and interdiction of illicit drugs;

(ii) demand reduction;

(iii) the activities of criminal organizations;

(iv) international legal cooperation among courts, prosecutors, and law enforcement agencies (including the exchange of information and evidence);

(v) the extradition of nationals and individuals involved in drug-related criminal activity;

(vi) the temporary transfer for prosecution of nationals and individuals involved in drug-related criminal activity;

(vii) border security;

(viii) money laundering;

(ix) illicit firearms trafficking;

(x) corruption;

(xi) control of precursor chemicals;

(xii) asset forfeiture; and

(xiii) related training and technical assistance,

and includes, where appropriate, timetables and objective and measurable standards to assess the progress made by participating countries with respect to such issues.

(5) APPLICATION.—Section 490(a) through (g) of the Foreign Assistance Act of 1961 (22 U.S.C. 2291j) shall not apply during fiscal year 2002 with respect to any country identified in paragraph (1) of this section.

(6) STATUTORY CONSTRUCTION.—Nothing in this section supercedes or modifies the requirement in section 489(a) of the Foreign Assistance Act of 1961 (with respect to the International Control Strategy Report) for the transmittal of a report not later than March 1, 2002 under that section.】 (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002.*)

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

Federal Funds

General and special funds:

HUMAN SPACE FLIGHT

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses, not otherwise provided for, in the conduct and support of human space flight research and development activities, including research, development, operations, support and services; maintenance; construction of facilities including repair, rehabilitation, revitalization and modification of facilities, construction of new facilities and additions to existing facilities, facility planning and design, environmental compliance and restoration, and acquisition or condemnation of real property, as authorized by law; space flight, spacecraft control and communications activities including operations, production, and services; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-5902; travel expenses; purchase and hire of passenger motor vehicles; not to exceed **[\$20,000] \$24,000** for official reception and representation expenses; and purchase, lease, charter, maintenance and operation of mission and administrative aircraft, **[\$6,912,400,000] \$6,172,900,000**, to remain available until September 30, **[2003] 2004**, of which amounts as determined by the Administrator for salaries and benefits; training, travel and awards; facility and related costs; information technology services; science, engineering, fabricating and testing services; and other administrative services may be transferred to "Science, aeronautics and technology" in accordance with section 312(b) of the National Aeronautics and Space Act of 1958, as amended by Public Law 106-377. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Human space flight", \$76,000,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38. (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 80-0111-0-1-252	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Space station	2,089	1,734	1,503
00.02 Payload and ELV support	92	95	88
00.03 Investments and support	152	1,250	1,219
00.04 Space shuttle	3,201	3,152	3,211
00.05 Space communications and data systems	458	136	136
00.07 Safety, mission assurance & engineering	45	48	48
09.01 Reimbursable program	176	248	150
10.00 Total new obligations	5,710	6,982	6,355
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	167	171	310
22.00 New budget authority (gross)	5,673	7,121	6,323
22.10 Resources available from recoveries of prior year obligations	41
23.90 Total budgetary resources available for obligation	5,881	7,292	6,633
23.95 Total new obligations	-5,710	-6,982	-6,355
24.40 Unobligated balance carried forward, end of year	171	310	278
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	5,508	6,797	6,173
40.15 Appropriation (emergency)	76
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-12
43.00 Appropriation (total discretionary)	5,496	6,873	6,173
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	174	248	150
68.10 Change in uncollected customer payments from Federal sources (unexpired)	3

68.90	Spending authority from offsetting collections (total discretionary)	177	248	150
70.00	Total new budget authority (gross)	5,673	7,121	6,323
Change in obligated balances:				
72.40	Obligated balance, start of year	1,813	1,468	1,651
73.10	Total new obligations	5,710	6,982	6,355
73.20	Total outlays (gross)	-6,006	-6,799	-6,496
73.40	Adjustments in expired accounts (net)	-8
73.45	Recoveries of prior year obligations	-41
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-3
74.10	Change in uncollected customer payments from Federal sources (expired)	3
74.40	Obligated balance, end of year	1,468	1,651	1,510
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	4,254	4,949	4,373
86.93	Outlays from discretionary balances	1,752	1,850	2,123
87.00	Total outlays (gross)	6,006	6,799	6,496
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-147	-207	-117
88.40	Non-Federal sources	-30	-41	-33
88.90	Total, offsetting collections (cash)	-177	-248	-150
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-3
88.96	Portion of offsetting collections (cash) credited to expired accounts	3
Net budget authority and outlays:				
89.00	Budget authority	5,496	6,873	6,173
90.00	Outlays	5,829	6,551	6,346

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00	Budget authority	5,451	6,830
90.00	Outlays	5,784	6,508

This appropriation provides funding for Human Space Flight (HSF) activities, and for safety, mission assurance and engineering activities supporting the Agency. The HSF activities include development and operations of the Space Station and operation of the Space Shuttle. This includes high priority investments to improve the safety of the Space Shuttle, and required construction projects in direct support of Space Station and Space Shuttle programs. This appropriation also provides for: salaries and related expenses; design, repair, rehabilitation, and modification of facilities and construction of new facilities; maintenance, and operation of facilities; and other operations activities supporting human space flight programs; and space operations, safety, mission assurance and engineering activities that support the Agency.

In 2001, the HSF account provided only for the *direct* funding of human space flight activities; space operations services had been funded within the Science, Aeronautics and Technology (SAT) account; and safety, mission assurance and engineering had been funded within the Mission Support account. Since 2002, other than direct costs (which includes Research and Program Management and non-programmatic Construction of Facilities) are allocated to either the HSF or the SAT account based on the number of full time equivalent people.

General and special funds—Continued**HUMAN SPACE FLIGHT—Continued***(INCLUDING TRANSFER OF FUNDS)—Continued***Performance Objectives**

Space Station.—The International Space Station (ISS) is a complex of research laboratories in low Earth orbit in which American, Russian, Canadian, European, and Japanese astronauts are conducting unique scientific and technological investigations in a microgravity environment. The goal of the Station is to support scientific research and other activities requiring the unique attributes of humans in space and establish a permanent human presence in Earth orbit. The President's 2003 Budget request provides funding for continued development of the vehicle and for operations in support of continued assembly, logistics resupply, crew exchange, research operations and other utilization. With nine assembly missions successfully completed, the budget includes funding to keep subsequent assembly missions on schedule through U.S. Core Complete (Flight 10A), currently planned for calendar year 2004, to support early research commensurate with the build-up of on-orbit utilization capabilities and resources.

In early calendar year 2001, NASA launched the U.S. Laboratory and the first set of research equipment necessary for conducting experiments on the Space Station. Subsequent flights enabled the installation of the Canadian robotic arm, additional research equipment for the U.S. Laboratory, installation of the Russian docking compartment, and transport of the 3rd and 4th crew expeditions. By mid-calendar year 2001, the U.S. Airlock had been installed, allowing spacewalks to be conducted without the Space Shuttle present, and marking completion of Phase 2 of the Space Station assembly. The first utilization flight in December 2001 greatly expanded the number of research payloads on-orbit, and raised the number of research investigations initiated to over 40. Crew training, payload processing, hardware element processing, and mission operations were supported without major ground anomalies, and all but two on-orbit subsystems performed above predicted levels, resulting in a lower than expected maintenance workload. This lower maintenance workload, coupled with the commitment of the expedition crews to dedicate time for conducting research experiments, resulted in research activities that exceeded expectations. NASA will seek to exceed expectations for research productivity by achieving astronaut time dedicated to research in excess of the planned 20 hours per week. During 2002, three of the major truss elements constituting the power block will be deployed to orbit, Expeditions 5 and 6 will be deployed, and a second utilization flight will expand science capabilities even further. In calendar year 2003, activation of the thermal system will be completed, two of the three remaining solar array modules will be deployed, and both the S6 truss and Node 2, the final components of the U.S. Core Complete, should be delivered to NASA for final integration and pre-flight test and checkout to support planned launches in calendar year 2004.

As required by both the NASA Authorization Act (PL 106–391) and the 2002 VA/HUD Appropriations Act (P.L. 107–73), the ISS research budget is transferred to the Biological and Physical Research Enterprise in 2002. The remaining ISS budget supports completion of the U.S. Core Complete and allows the program to press ahead with the integration of the partners' research modules. A NASA cost estimate, and an independent cost estimate (ICE) of the cost to assemble and operate the U.S. Core Complete will be completed by September 2002. The 2002 appropriation directed a general reduction in the station budget of \$75M, which eliminated reserves fenced for guaranteed carryover into 2003. The ap-

propriation also earmarked \$40M for X–38 efforts that was originally planned to cover X–38 plus continued work on Node 3 and the advanced environmental control system. NASA plans to fund the Node 3 and environmental control work into the 2nd quarter of 2002, when a decision will be made to continue those efforts or to cancel them.

Consistent with the recommendations in the ISS Management and Cost Evaluation (IMCE) Task Force, and direction from the Administration, NASA will develop a Cost Analysis Requirements Document (CARD) to support cost estimates of the U.S. Core Complete baseline. NASA will also develop an integrated management action plan based on recommendations of the IMCE Task Force, and begin implementation of those actions. NASA will also report to the Administration and to Congress its plans for a non-governmental organization (NGO) for ISS research, and the results of discussions with the International Partners on ways to increase on-orbit resources for station research, in particular innovative methods for increasing crew availability. The ISS Program is pressing ahead with final flight hardware deliveries, and completion of the current prime contract in December 2003. Requirements for follow-on support are being reviewed and estimated, and a plan to competitively award contracts for the station's operations phase will be released this Spring.

Payload and Expendable Launch Vehicle (ELV) Support.—The Payload Carriers and Support budget provides technical expertise, facilities, flight carrier hardware and capabilities necessary to provide end-to-end servicing of multiple payloads to be flown aboard the Space Shuttle. During 2001, six pallets were used in Space Shuttle missions. In 2002 and 2003, over 20 major and secondary payloads will be supported, including major hardware for International Space Station assembly and operations.

The ELV Mission Support budget provides funds for technical and management insight of commercial launch services, including advanced mission design/analysis and leading-edge integration services, which are provided for the full range of NASA missions under consideration for launch on ELVs. During 2001, eight ELV missions were launched. Integration and technical management of 11 launches, including one secondary, are planned in 2002. In 2003, support for ten launches, including one secondary, is planned.

Investments and Support.—NASA's rocket propulsion test project will ensure that unique capabilities are properly managed and maintained in world-class condition. The project will significantly enhance NASA's ability to properly manage rocket testing activities and infrastructure across all four participating NASA centers. Engineering and technical base (ETB) activity will continue to: support the institutional capability in the operation of space flight laboratories, technical facilities, and testbeds; conduct independent safety, and reliability assessments; and stimulate science and technical competence in the United States. Funding for other direct costs associated with Human Space Flight, which were funded in the Mission Support account prior to 2002, are also funded within investments and support. This includes research and program management costs and non-programmatic construction of facilities costs.

Space Shuttle.—The Space Shuttle is a partially reusable space vehicle that provides several unique capabilities to the United States space program. These include retrieving payloads from orbit for reuse, servicing and repairing satellites in space, safely transporting humans to and from space, launching ISS components and providing an assembly platform in space, and operating and returning space laboratories.

In 2001, the Space Shuttle launched seven flights, all of which were ISS assembly and servicing missions. Seven flights are planned during 2002 including a dedicated microgravity research flight and another HST Servicing Mission (HST-3B) and five ISS assembly and servicing missions. In

2003, four flights are planned, all of which are ISS assembly and servicing missions. In support of the research objectives of the Space Station, the Space Shuttle will commit a minimum of five powered mid-deck lockers on each mission to deliver necessary research equipment and specimens.

NASA will aggressively pursue Space Shuttle competitive sourcing as an important step in transitioning NASA from infrastructure ownership and operation to purchasing space transportation services where possible. NASA will seek industry comment on its plans early this year, leading to release of a solicitation for competitive sourcing. NASA will prepare a Cost Analysis Requirements Document (CARD) to support NASA and independent cost estimates of Space Shuttle operations and safety investments, similar to estimates being done for the Space Station. These estimates, to be completed by September, 2002, will provide an important baseline from which to assess competitive sourcing options.

The President's 2003 Budget supports key Space Shuttle safety investments as part of NASA's Integrated Space Transportation Plan. NASA will seek to accelerate the implementation of safety investments, to begin achieving safety gains in Shuttle operations as quickly as possible. The President's 2003 Budget also supports investments in the Space Shuttle infrastructure, as necessary to address safety issues and critical repair and revitalization activities.

Space Communications and Data Systems.—The program goal is to support NASA's Enterprises and external customers with Space Communications and Data System (SCDS) services that are responsive to customer needs. Additionally, the program performs infrastructure upgrades and replenishment efforts necessary to maintain the service capabilities that satisfy the approved mission model. The program conducts technology and standards infusion efforts to provide more efficient and effective services. The Space Communications Office at Headquarters manages and directs an integrated Agency-wide Space Communications and Data Systems program.

Beginning in 2002, a decentralized management process has been implemented that involves transferring most management and budget responsibilities previously performed by the Space Operations Management Office to the appropriate Enterprises. Beginning in 2003, the Deep Space Network, Ground Network and Western Aeronautical Test Range will be managed by NASA's Enterprises. The Office of Space Flight will continue to perform overall program integration, including the management of Consolidated Space Operations Contract, which is now in its fourth year of providing data services to both NASA and non-NASA customers.

The TDRS-8 spacecraft, which completed on-orbit checkout in September 2000, is working well and meets all user service telecommunications performance requirements except for a Multiple Access (MA) performance anomaly. Modifications to the TDRS-I and TDRS-J spacecraft flight hardware and test program as a result of the MA investigation have been implemented. TDRS-I launch is now planned for February 2002. The launch of TDRS-J is slated for October 2002.

Safety, Mission Assurance and Engineering.—The Safety and Mission Assurance program invests in the safety and success of NASA missions by assuring that sound and robust policies, processes, and tools for safety, reliability, quality assurance, and engineering disciplines are in place and applied throughout NASA. The program also examines long-term technology requirements for NASA's strategic objectives. The Engineering program, managed by the Office of the Chief Engineer (OCE), oversees the conduct and improvement of NASA's engineering practice and independently evaluates ongoing programs, proposed concepts, and options for new programs. The OCE establishes policies, standards, guidance, and support for improving NASA engineering practices and technical capabilities, and manages the NASA Electronics Parts and Packaging Program, which supports evaluation and

infusion of advanced electronic parts and packaging technology into NASA programs.

Object Classification (in millions of dollars)

Identification code 80-0111-0-1-252	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent		537	569
11.3 Other than full-time permanent		5	5
11.5 Other personnel compensation		17	16
11.8 Special personal services payments		13	13
11.9 Total personnel compensation		572	603
12.1 Civilian personnel benefits	45	166	173
21.0 Travel and transportation of persons		23	23
22.0 Transportation of things	4	4	4
23.1 Rental payments to GSA		7	7
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	40	56	39
24.0 Printing and reproduction	2	2	2
25.1 Advisory and assistance services	56	60	55
25.2 Other services	286	307	282
25.3 Other purchases of goods and services from Government accounts	64	69	63
25.4 Operation and maintenance of facilities	1,955	2,163	1,925
25.5 Research and development contracts	2,667	2,860	2,619
25.7 Operation and maintenance of equipment	32	34	32
26.0 Supplies and materials	164	176	162
31.0 Equipment	96	103	95
32.0 Land and structures	66	71	65
41.0 Grants, subsidies, and contributions	56	60	55
99.0 Direct obligations	5,534	6,734	6,205
99.0 Reimbursable obligations	176	248	150
99.9 Total new obligations	5,710	6,982	6,355

Personnel Summary

Identification code 80-0111-0-1-252	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment		7,143	6,912
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment		30	30

SCIENCE, AERONAUTICS AND TECHNOLOGY
(INCLUDING TRANSFER OF FUNDS)

For necessary expenses, not otherwise provided for, in the conduct and support of science, aeronautics and technology research and development activities, including research, development, operations, support and services; maintenance; construction of facilities including repair, rehabilitation, revitalization, and modification of facilities, construction of new facilities and additions to existing facilities, facility planning and design, environmental compliance and restoration, and acquisition or condemnation of real property, as authorized by law; space flight, spacecraft control and communications activities including operations, production, and services; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-5902; travel expenses; purchase and hire of passenger motor vehicles; not to exceed **[\$20,000] \$24,000** for official reception and representation expenses; and purchase, lease, charter, maintenance and operation of mission and administrative aircraft, **[\$7,857,100,000] \$8,918,500,000** to remain available until September 30, **[2003] 2004**, of which amounts as determined by the Administrator for salaries and benefits; training, travel and awards; facility and related costs; information technology services; science, engineering, fabricating and testing services; and other administrative services may be transferred to "Human space flight" in accordance with section 312(b) of the National Aeronautics and Space Act of 1958, as amended by Public Law 106-377, except that no funds may be transferred to the program budget element for the Space Station. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.)

General and special funds—Continued

SCIENCE, AERONAUTICS AND TECHNOLOGY—Continued

(INCLUDING TRANSFER OF FUNDS)—Continued

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for “Science, aeronautics and technology”, \$32,500,000, to remain available until expended, to be obligated from amounts made available in Public Law 107–38.] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 80–0110–0–1–999	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Space science	2,326	2,888	3,402
00.02 Biological and physical research	291	846	849
00.03 Earth science	1,445	1,690	1,640
00.04 Aerospace technology	1,382	2,529	2,838
00.05 Space operations	503	18
00.06 Academic programs	132	207	161
00.07 Mission communication services	9
09.01 Reimbursable program	517	598	632
10.00 Total new obligations	6,605	8,776	9,522
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	306	448	385
22.00 New budget authority (gross)	6,752	8,712	9,550
22.10 Resources available from recoveries of prior year obligations	20
23.90 Total budgetary resources available for obligation	7,078	9,160	9,935
23.95 Total new obligations	–6,605	–8,776	–9,522
23.98 Unobligated balance expiring or withdrawn	–25
24.40 Unobligated balance carried forward, end of year	448	385	414
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	6,249	8,082	8,918
40.15 Appropriation (emergency)	32
40.77 Reduction pursuant to P.L. 106–554 (0.22 percent)	–14
43.00 Appropriation (total discretionary)	6,235	8,114	8,918
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	517	598	632
70.00 Total new budget authority (gross)	6,752	8,712	9,550
Change in obligated balances:			
72.40 Obligated balance, start of year	3,046	3,360	4,179
73.10 Total new obligations	6,605	8,776	9,522
73.20 Total outlays (gross)	–6,283	–7,957	–9,063
73.40 Adjustments in expired accounts (net)	–5
73.45 Recoveries of prior year obligations	–20
74.10 Change in uncollected customer payments from Federal sources (expired)	17
74.40 Obligated balance, end of year	3,360	4,179	4,638
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	3,307	4,826	5,279
86.93 Outlays from discretionary balances	2,976	3,131	3,784
87.00 Total outlays (gross)	6,283	7,957	9,063
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	–493	–550	–590
88.40 Non-Federal sources	–38	–48	–42
88.90 Total, offsetting collections (cash)	–531	–598	–632
Against gross budget authority only:			
88.96 Portion of offsetting collections (cash) credited to expired accounts	14
Net budget authority and outlays:			
89.00 Budget authority	6,235	8,114	8,918
90.00 Outlays	5,752	7,359	8,431

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	6,177	8,047	8,844
90.00 Outlays	5,694	7,292	8,357

This appropriation provides for the Science, Aeronautics and Technology (SAT) supporting the Agency. The SAT activities include space science, biological and physical research including research for the International Space Station, earth science, aerospace technology, and academic programs. This appropriation also provides for salaries and related expenses; design, repair, rehabilitation, and modification of facilities and construction of new facilities; maintenance and operation of facilities; and other operations activities supporting science, aeronautics, and technology programs.

In 2001, the SAT account provided only for the *direct* funding of science, aeronautics and technology activities, and included funding for space operations services which are now funded within the Human Space Flight (HSF) account. Since 2002, other direct costs (which include Research and Program Management and non-programmatic Construction of Facilities) are allocated to either the HSF or the SAT account based on the number of full time equivalent personnel.

Performance Objectives

Space Science.—The Space Science program seeks to answer fundamental questions concerning: the galaxy and the universe; the connection between the Sun, Earth and heliosphere; the origin and evolution of planetary systems; and the origin and distribution of life in the universe. The Space Science program is comprised of many research and development activities, including flight missions, major space-based facilities, technology and mission development programs, and research and data analysis.

In 2001, the Space Science program produced many notable scientific results: The Hubble Space Telescope discovered a supernova blast that occurred very early in the life of the Universe, bolstering the case for the existence of a mysterious form of “dark energy” pervading the Universe. The concept of dark energy, which pushes galaxies away from each other at an ever-increasing speed, was first proposed, then discarded, by Albert Einstein early in the last century. The Hubble discovery also reinforces the startling idea that the expansion of the universe only recently began speeding up.

The Chandra X-ray Observatory enhanced our understanding of black holes on many fronts. Chandra took the deepest X-ray images ever and found the early Universe teeming with black holes, probed the theoretical edge of a black hole known as the event horizon, and captured the first X-ray flare ever seen from the supermassive black hole at the center of our own Milky Way galaxy.

Detailed scientific analysis of high-resolution images obtained by the BOOMERANG (Balloon Observations of Millimetric Extragalactic Radiation and Geophysics) mission provided the most precise measurements to date of several of the key characteristics cosmologists use to describe the Universe. These images were the first to bring the cosmic microwave background (the radiation remaining from the “big bang” that created the Universe) into sharp focus.

In addition to these discoveries that have enhanced our understanding of the origin, evolution, and structure of the Universe, many discoveries in 2001 related to the rapidly growing field of extrasolar planet (planets outside our Solar System) detection. NASA and National Science Foundation-funded astronomers discovered eight new extrasolar planets, bringing the total number of extrasolar planet detections to about eighty. Observations from the Submillimeter Wave As-

tronomy Satellite (SWAS) provided the first evidence that extrasolar planetary systems contain water, a molecule that is an essential ingredient for known forms of life. Also in this field, astronomers using the Hubble Space Telescope have made the first detection and chemical analysis of the atmosphere of a planet outside our Solar System.

Within our Solar System, NASA spacecraft made many stunning achievements in 2001. In a risky flyby, the Deep Space-1 spacecraft successfully navigated past comet Borrelly, giving researchers the best look ever inside a comet's glowing core of icy dust and gas. Deep Space-1 passed just 2,200 kilometers (1,400 miles) from the rocky, icy nucleus of the 10 kilometer-long (more than 6 mile-long) comet. The NEAR (Near Earth Asteroid Rendezvous) Shoemaker spacecraft achieved the first soft landing on an asteroid. The landing was the culmination of a year-long orbital mission at the asteroid Eros during which the mission returned enormous quantities of scientific data and images.

A pair of spacecraft, the Mars Global Surveyor and the Hubble Space Telescope, provided astronomers with a ringside seat to the biggest global dust storm seen on Mars in several decades. The Martian dust storm, larger by far than any seen on Earth, raised a cloud of dust that engulfed the entire planet for several months. The sun-warmed dust raised Martian atmospheric temperatures by 80 degrees Fahrenheit while the shaded Martian surface chilled precipitously. Also in calendar year 2001, the Mars Odyssey 2001 spacecraft successfully achieved orbit around Mars following a six-month, 286-million mile journey. Following aerobraking operations, this spacecraft will be placed in its science-mapping orbit in early calendar year 2002 and will characterize composition of the Martian surface at unprecedented levels of detail.

In the field of Sun-Earth Connections, where we seek to develop a scientific understanding of the physical interactions in the Sun-Earth system, there were several important scientific accomplishments in calendar year 2001. The Solar and Heliospheric Observatory (SOHO) observed the largest sunspot in ten years, with a surface area equivalent to thirteen Earths. This area proved to be a prolific source of stormy solar activity, hurling clouds of electrified gas (known as Coronal Mass Ejections, or CMEs) towards Earth. Other studies conducted by the SOHO spacecraft have provided the first clear picture of what lies beneath sunspots, peering inside the Sun to see swirling flows of electrified gas that create the self-reinforcing cycle that holds a sunspot together.

The calendar year was capped by the successful launch of the TIMED (Thermosphere, Ionosphere, Mesosphere Energetics and Dynamics) mission on December 7, 2001. This is the first mission in the Solar Terrestrial Probes program. It will study a region of the Earth's atmosphere that has never been the subject of a comprehensive, long-term scientific investigation.

The NASA budget request for 2003 features two very significant changes from the previous baseline program: a reformulated planetary program and the inclusion of a nuclear power and propulsion program. In the field of planetary exploration, the 2003 Budget takes a fundamentally different approach from previous years. Given cost growth and schedule delays, all funding for the Pluto-Kuiper Belt mission and the Europa Orbiter mission has been eliminated in 2003 and subsequent years. These missions will be replaced by a revamped planetary program that will incorporate the following principles: clear science priorities that support key goals in understanding the potential existence of life beyond Earth and the origins of life; open competition and rigorous reviews of cost, schedule, and risk to minimize future overruns and delays per the highly successful Discovery Program; and an architectural approach that balances science return in this decade with investments in high-leverage technologies that will en-

able faster and more frequent missions with greater science return in the next decade. It is envisioned that the new planetary program will be structured and managed along the lines of the highly successful Discovery program. A key element of this new program will be the development and incorporation of nuclear power and propulsion technologies. Building upon ongoing NASA investments in advanced electric propulsion and instrument and electronics miniaturization, investments in nuclear power and nuclear-electric propulsion technologies that will enable much faster and more frequent planetary investigations with greater science capabilities. These investments will allow NASA to undertake fundamentally new approaches to planetary exploration. In the next decade, nuclear-electric propulsion technology will enable affordable planetary missions that: can reach targets in half the time it would take using today's propulsion systems; are not limited by today's power and mass constraints; and can conduct long-term observations of multiple targets.

Nuclear power technology will also be incorporated into the Mars Exploration Program, specifically in the Mars Smart Lander/Mobile Laboratory mission. This mission will now be launched in calendar year 2009 to allow the incorporation of nuclear power, instead of calendar year 2007 as previously planned. By using nuclear power, the time during which the Mars Mobile Laboratory can conduct science operations will be extended from several months to several years. The nearer-term missions in the Mars Exploration Program remain essentially unchanged. In May and June of 2003, two highly capable surface rovers will be launched to Mars, with landings on the surface expected in April and May of 2004. The Mars Reconnaissance Orbiter (MRO) will be launched in calendar year 2005; this powerful scientific orbiter will focus on analyzing the surface at unprecedented levels of detail to follow tantalizing hints of water detected in images from the Mars Global Surveyor spacecraft. MRO will measure thousands of Martian landscapes at 20- to 30-centimeter (8- to 12-inch) resolution. It will be followed by a competitively selected Mars Scout mission in calendar year 2007 and the Smart Lander/Mobile Laboratory in calendar year 2009. This robust program of orbiters, landers, and rovers is poised to unravel the secrets of the red planet's past environments, the history of its rocks, the many roles of water, and, possibly, evidence of past or present life.

This Budget supports the completion of development of many significant missions, including Gravity Probe-B (GP-B), the Space Infrared Telescope Facility (SIRTF), and the Stratospheric Observatory For Infrared Astronomy (SOFIA). GP-B, which will verify a key aspect of Einstein's theory of general relativity, will be launched in October 2002. SIRTF, the fourth and final of the Great Observatories, is scheduled for launch in 2003. SOFIA development activities will continue, with the aircraft door and the telescope to be installed and tested in 2003. Development activities supporting the Solar Terrestrial Relations Observatory (STEREO), the Gammaray Large Area Space Telescope (GLAST), the final Hubble Space Telescope servicing mission, as well as several key missions in the payloads program such as Solar-B and Herschel, will also continue in 2003.

In the Explorer program, the Microwave Anisotropy Probe successfully launched on June 30, 2001, and development of Swift, a multi-wavelength observatory for gammaray burst astronomy, remains on schedule for a September, 2003 launch. Another MIDEX mission, the Full-sky Astrometric Mapping Explorer (FAME), did not pass confirmation review due to cost increases and was not approved for full-scale development. Selection of the MIDEX-5 and MIDEX-6 missions will occur in 2002, and an Announcement of Opportunity for MIDEX-7 and MIDEX-8 will be released in 2003. In the Small-class (SMEX) mission series, three NASA missions and two non-NASA Missions of Opportunity are sup-

General and special funds—ContinuedSCIENCE, AERONAUTICS AND TECHNOLOGY—Continued
(INCLUDING TRANSFER OF FUNDS)—Continued

ported. The NASA missions include the Galaxy Evolution Explorer (GALEX), Two Wide-Angle Neutral Atom Spectrometers (TWINS), and the High Energy Solar Spectroscopic Imager (HESSI). The Missions of Opportunity are the Coupled Ion Neutral Dynamics Investigation (CINDI; a cooperative mission with the Air Force), and ASTRO E-2, an X-ray astronomy mission (in cooperation with Japan). ASTRO E-2 is a rebuild of ASTRO E, which was lost due to a failure of the Japanese launch vehicle in February, 2000.

In the Discovery program, the Genesis mission was launched on August 8, 2001; it has begun collecting samples of charged particles from the solar wind, and it will return these samples to Earth for analysis in calendar year 2004. Development activities continue on three other Discovery missions. The Comet Nucleus Tour (CONTOUR) will be launched in July 2002, and it will encounter two comets: comet Encke in calendar year 2003, and comet Schwassman Wachman-3 in calendar year 2006. The Mercury Surface, Space Environment, Geochemistry and Ranging (MESSENGER) mission to orbit Mercury, and the Deep Impact mission to fly by and fire an impactor into comet Temple-1, are both scheduled to launch in early calendar year 2004.

The New Millennium program is providing flight demonstrations of critical new technologies that will reduce the mass and cost of future science and spacecraft subsystems, while maintaining or improving mission capabilities. In calendar year 2003, the Nanosat Constellation Trailblazer (Space Technology-5, or ST-5) will undergo spacecraft and instrument integration and test in preparation for launch in calendar year 2004. Also in calendar year 2003, the Critical Design Review for ST-6 will be conducted, as will the Confirmation Review for ST-7, and the initial confirmation for ST-8.

The President's 2003 Budget also provides funding for focused technology programs in each of the four major Space Science themes: the Astronomical Search for Origins, Structure and Evolution of the Universe, Solar System Exploration, and Sun-Earth Connections, which includes both the Living With A Star Program and the Solar Terrestrial Probes Program. These funds provide for early technology development in support of strategic missions such as the Next Generation Space Telescope and the Space Interferometry Mission. The goal is to retire technology risk early in a mission's life-cycle, before proceeding to full-scale development. Funds are also provided to continue on-going operations of approximately thirty spacecraft, and to conduct robust research and analysis, data analysis, and suborbital research campaigns.

Biological and Physical Research.—The Biological and Physical Research Enterprise (BPRES) seeks to exploit the rich opportunities of space flight for fundamental research in the biological and physical sciences, as well as in commercial development of space, and conducts research to enable efficient and effective systems for protecting and sustaining humans in space. BPRES seeks to achieve advances in biological and physical sciences by understanding nature's forces in space, and achieve an understanding of the human experience in space.

In late 2001, BPRES was created as NASA's fifth strategic enterprise. BPRES closed its first fiscal year with a significant record of accomplishment. It expanded its interagency research collaborations, establishing a new memorandum of understanding with the United States Department of Agriculture, conducting a joint research solicitation with the National Cancer Institute, and continuing work under 18 other agreements with the National Institutes of Health. A BPRES investigator received the Nobel Prize in physics for ground-

based research that he plans to extend and expand on the International Space Station. Outfitting the International Space Station (ISS) for research began with the delivery of the Human Research Facility in March 2001. Two research equipment racks were delivered to the ISS in mid-April and an additional two at the beginning of Expedition 3 in August. BPRES initiated a program of research on the ISS to take advantage of available resources during the construction phase. The ISS Expedition 1 and 2 teams were able to exceed expectations for meeting research objectives of the planned experiments, with only one unsuccessful experiment due to technical reasons.

In 2002, BPRES will continue to increase knowledge and demonstrate key technology capabilities for humans in space, address critical questions in crew health and safety, and materials science and commercial research payloads will be flown on both the Space Shuttle and aboard ISS. The Space Station research program is on track to deliver another five equipment racks on orbit by the end of calendar year 2002. BPRES also will complete definition studies leading to award of a contract to manage ISS utilization to a Non-Governmental Organization (NGO). Working with the scientific community, its advisory committees, and the Administration, BPRES will complete the development of research priorities across its portfolio of research endeavors to provide a basis for critical resource allocation decisions. In the area of public outreach and education, BPRES plans to develop electronic and printed educational materials that focus on biological and physical research.

In 2003, BPRES will implement its research priorities and develop ISS flight facilities to achieve a prioritized and productive research program. BPRES will also work with Life Science Museum Network members to explore opportunities for the development of projects, special events, or workshops focused on the life sciences and biology-related research themes to attract and engage public audiences. In addition, BPRES will make available to wide audiences an online database of Commercial Space Center activities, including publications listings, patents, and other information useful to the general public.

Earth Science.—The mission of NASA's Earth Science Enterprise (ESE) is to develop a scientific understanding of the Earth system and its response to natural and human-induced changes to enable improved prediction of climate, weather and natural hazards for present and future generations. ESE seeks to answer a question of fundamental importance to science and society: How is the Earth system changing, and what are the consequences for life on Earth? To do so, ESE is developing the interdisciplinary research field of Earth System Science, which recognizes that the Earth's land surface, oceans, atmosphere, ice sheets, and life itself all interact in a highly dynamic system. Earth system science is an area of research with immense benefit to the Nation, leading to new knowledge and tools that may improve weather forecasting, agriculture, urban and regional planning, environmental quality, and natural disaster management. ESE has established three goals to pursue in order to fulfill its mission: (1) Science—observe, understand, and model the Earth system to learn how it is changing, and the consequences for life on Earth; (2) Applications—expand and accelerate the realization of economic and societal benefits from Earth science, information, and technology; (3) Technology—develop and adopt advanced technologies to enable mission success and serve national priorities.

In ESE Science, 2001 was another year of substantial accomplishment toward understanding the Earth system. Goddard Space Flight Center (GSFC) produced the first global record of the Earth's biosphere, showing the uptake and release of carbon by land and oceans continuously over three years. NASA-sponsored research showed that the growing sea-

son over parts of the Northern hemisphere has lengthened over the past two decades, with an accompanying increase in lushness of vegetation. NASA and the EarthSAT Corporation released the first consistent 30-m resolution land cover map for the U.S., and are nearing completion of the global map. These data are from calendar year 1990 and provide a basis for comparison of future change; plans are being developed to repeat the process for calendar year 2001 and beyond. Results from a major NASA/NSF-led international research campaign indicate that aerosols from dust and pollution may be reducing evaporation and thus slowing the global water cycle. Results from comparing the 2000 and 1997 Antarctic Mapping Missions have led to new estimates of change in the Antarctic ice sheet; ice in the Lambert glacier flows from the interior to the "mouth" where it reaches a rate of 1 kilometer per year. In the Northern hemisphere, NASA researchers identified patterns of change in sea ice extent over a twenty-year period; overall, Arctic sea ice extent has decreased since calendar year 1978. Continued monitoring of global ocean topography showed that the Pacific Decadal Oscillation governs climate impacts of the Pacific in non-El Nino/La Nina years, and allowed the prediction of last winter's chill across the northern U.S. and relative warmth across the South. ESE also made major advances in computing for climate modeling, using a partnership among two NASA Centers and Silicon Graphics, Inc. to simulate 900 days of Earth's climate in one day, up from the prior capability of 70 days per day; performance on end-to-end climate simulation improved ten-fold. This greatly enhances climate modelers' ability to perform the multiple runs of many years of climate simulations needed to generate useful projections of climate change.

In ESE Applications, ESE has entered into a variety of partnerships that will apply the goods and services made possible by ESE's research. ESE provides QuikSCAT data in real time to the National Oceanic and Atmospheric Administration (NOAA) to improve marine weather forecasting, and has used these data to show that severe storms forming over the oceans can be predicted two days in advance. ESE is working with the Federal Emergency Management Agency (FEMA) to use remote sensing tools to update their flood plain maps throughout the U.S. In a partnership called Agriculture 2020 with the U.S. Department of Agriculture (USDA) and four growers associations representing 100,000 farmers, ESE is demonstrating how to increase crop productivity, reduce risks to crop health, and manage environmental impacts. With the National Institutes of Health, we are exploring the use of satellite data to predict spread of infectious diseases such as malaria that are highly influenced by weather and climate. Throughout the summer, three ESE satellites tracked devastating wildfires in the western U.S., providing data to the U.S. Forest Service (USFS) and regional authorities. As a result, USFS is investing in direct broadcast receiving stations to rapidly acquire data from NASA's Terra satellite.

In ESE Technology, the Enterprise's first New Millennium Program satellite to demonstrate a variety of new technologies for Earth Science successfully completed all its demonstration tasks (save one high-risk propulsion task scheduled for near the end of mission life). These include a new instrument to produce a Landsat-type sensor one-fourth the size of the current Landsat 7 instrument, and the first hyperspectral imager in space, which views the land surface in hundreds of spectral channels rather than the conventional five to seven channels. Sponsored technology research with universities, industry and other government laboratories moved 35% of ESE's new remote sensing instrument concepts one step closer to reality on an established scale of technology maturity. These advances will substantially reduce the cost and enhance the capability of new satellites over the next decade or more. ESE also began formation flying of four land

imaging satellites, demonstrating that several smaller satellites can be operated in tandem to dramatically increase spatial and temporal coverage.

ESE is in the midst of deployment of the Earth Observing System (EOS), a set of spacecraft and associated interdisciplinary science investigations to initiate a long-term data set of key parameters required for the study of global climate change. The first six EOS satellites are already in orbit, including Jason-1 and SAGE III, launched in December 2001. The remaining EOS satellites will be launched through calendar year 2004, including Aqua (calendar year 2002) to study the water cycle and atmospheric circulation, and Aura (calendar year 2004) to probe the chemistry of the upper and lower atmosphere. Complementing EOS is a series of small, focused Earth System Science Pathfinder missions to explore Earth system processes never before examined globally from space. Data from the EOS satellites already in orbit are being acquired, processed, and distributed by the EOS Data and Information System (EOSDIS), which is currently handling more than 1 terabyte of data per day. EOSDIS handled 12.3 million user queries for over 15 million products in calendar year 2001. EOSDIS continues to evolve as new satellites are launched, and as new partners are added to produce data products with innovative applications.

As it deploys EOS, ESE is also planning for the future. ESE and U.S. Geological Survey (USGS) released a request for proposal for Landsat Data Continuity Mission to succeed Landsat 7; it is being implemented as a commercial data purchase. ESE is also planning for the transition of several of its key research observations to the Nation's weather satellite system. The Department of Defense (DoD), NOAA and NASA have established an Integrated Program Office (IPO) to create a converged civilian and military weather satellite system called the National Polar-orbiting Operational Environmental Satellite System (NPOESS) to replace the present generation of separate systems. NASA and the IPO are jointly funding the NPOESS Preparatory Project (NPP) that will simultaneously continue key measurements begun by EOS and demonstrate instruments for NPOESS. The NPP will save money for both NASA and NOAA by combining essential atmospheric and Earth surface observations on a single platform, and by seeking to meet both climate science and operational weather requirements with the same advanced instruments.

ESE data products and research are a major contribution to the U.S. Global Change Research Program, an interagency collaboration overseen by the Committee on Natural Resources of the National Science and Technology Council. NASA ESE will also contribute to the new Climate Change Initiative, a multiagency effort with strong focus on outcomes. Because Earth science is inherently global in scope, ESE is engaged in a variety of international partnerships with individual nation's space agencies, and with international consortia such as the World Meteorological Organization. ESE seeks and receives scientific advice on a broad range of topics from the various boards and committees of the National Research Council. These partnerships, together with those above, ensure that NASA's Earth Science Enterprise conducts research at the frontiers of Earth science on questions of practical importance to the Nation.

Aerospace Technology.—The Aerospace Technology (AST) Enterprise mission is to pioneer the identification, development, verification, transfer, application, and commercialization of high-payoff aerospace technologies and the development of broad, crosscutting revolutionary innovations critical to a number of NASA missions. The Enterprise plays a key role in: 1) maintaining a safe and efficient national aviation system, 2) enabling affordable, reliable space transportation systems, and 3) developing basic technologies for a broad range of space applications. Research and development pro-

General and special funds—Continued

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(INCLUDING TRANSFER OF FUNDS)—Continued

grams conducted by the Enterprise contribute to NASA's science and exploration missions, national security, economic growth, and the long-term competitiveness of American aerospace companies. The Enterprise directly supports national policy in both aeronautics and space as directed in the President's Goals for a National Partnership in Aeronautics and Research Technology, the National Space Policy, and the National Space Transportation Policy.

A modern air and space transportation system is fundamental to our national economy, quality of life, and security of the United States. For 75 years, a strong base for aerospace technology research and development has provided enormous contributions to this system, contributions that have fostered the economic growth of our Nation and provided unprecedented mobility for U. S. citizens. Although major technical advances have made our Nation's air and space transportation system the largest and best of its kind, the future holds critical challenges to its continued growth and performance. Because the U. S. air and space transportation system serves both the public good and critical national security needs, ensuring the continued health and preeminence of that system is a key issue for the future of the Nation.

Although NASA technology benefits the aerospace industry directly, the creative application of NASA's advanced technology to disparate design and development challenges has made numerous contributions to other areas such as the environment, surface transportation, and medicine.

In order to develop the aerospace systems of the future, revolutionary approaches to system design and technology development will be necessary. Pursuing technology fields that are in their infancy today, developing the knowledge bases necessary to design radically new aerospace systems, and performing efficient, high-confidence design and development of revolutionary vehicles are challenges that face us in innovation. These challenges are intensified by the demand for safety in our highly complex aerospace systems.

The President's 2003 Budget provides the resources necessary to maintain the progress required to achieve a 21st Century aviation system that is safe, environmentally friendly, efficient and meets the growing demands for increased and predictable performance. The President's 2003 Budget also supports technology development for space transportation systems that are safer and significantly less costly than today's systems. The structure of the Aerospace Technology budget has been changed so that the budget lines are directly linked to the Enterprise Strategic Goals. The first goal, Revolutionize Aviation, addresses fundamental, systemic issues in the aviation system to ensure continued growth and development appropriate to the needs of the national and global economies. These systemic issues—safety, capacity, environmental compatibility, and mobility—cut across markets including large subsonic civil transports, air cargo, commuter and general aviation. NASA coordinates its investments and technology objectives in this area with the Federal Aviation Administration (FAA) and the Department of Defense through the National Research and Development Plan for Aviation Security, Efficiency, and Environmental Compatibility. In 2003, the President's Budget provides for the demonstration of several advanced technologies that when implemented will result in a significant reduction in aviation accidents. Specific flight evaluations will include an integrated Synthetic Vision System and Runway Incursion Prevention System intended for use on commercial and business aircraft, a next-generation cockpit weather information digital datalink and turbulence awareness system, and a smart icing management system which will automatically activate and manage an aircraft's

ice protection systems. In addition, ground-based demonstrations of an advanced vehicle health management system, an engine disk crack detection monitoring system and recovery methods for flight critical systems will be accomplished. The Administration's request also provides for continued development of the technologies necessary to reduce the environmental impact of today's aircraft. The sector testing of a jet engine combustor that could reduce nitrous oxide emissions by 70 percent will be complete. Selection of contractors to proceed with full annular testing for large and regional jet engines will also be complete. Additionally, the Administration's request includes funding for the development of a broad suite of advanced technologies that will provide the basis for future emission reductions including carbon dioxide. The request also includes the continuation of the noise reduction technology that in calendar year 2007 will reduce the perceived aircraft noise pollution by a factor of two from the calendar year 1997 baseline set by the International Civil Aviation Organization (ICAO). The budget request also continues to transfer to the Federal Aviation Administration technologies required to safely increase the use of the National Airspace System (NAS). In order to define future technology investments in this area, the Virtual Airspace Modeling and Simulation project will provide state-of-the-art models of the airspace system which have the capability to model the dynamic effects of interactive agents in the NAS. These models will provide the capability to assess the economic impact of new technologies on the operational performance of the NAS and thus guide future technology development. The budget request continues the Small Aircraft Transportation System (SATS) demonstration program. In 2003, SATS will select candidate technologies for experimental flight evaluation based on their impact on mobility—either through reduced system cost, improved doorstep-to-destination time, increased trip reliability, and/or improved safety—and complete initial lower landing minimum and higher volume flight experiments. Building on its altitude world-record-setting performances, the Environmental Research Aircraft and Sensor Technology (ERAST) project will demonstrate a solar power unpowered air vehicle with the ability to fly 14 hours above 50,000 feet. The accomplishment of this goal could have significant impact on the application of these systems in disaster relief, communications, environmental sensing, and defense.

The second goal, Advance Space Transportation, will create a safe, affordable highway through the air and into space by improving safety, reliability, and operability, and significantly reducing the cost of space transportation systems. With the creation of the Integrated Space Transportation Plan (ISTP), NASA defined a single, integrated investment strategy for all its space transportation efforts, including Space Shuttle safety investments, the Space Launch Initiative (SLI), and 3rd Generation Space Transportation Technology. By investing in a sustained progression of research and technology development, NASA will enable future generations of reusable launch vehicles and in-space transportation systems that will surmount the Earth-to-orbit challenge and allow less costly, more frequent, and more reliable access to neighboring planets and the stars beyond. As planned in the 2002 Budget, the President's 2003 Budget request includes an increase in funding for the Space Launch Initiative (SLI), which supports the 2nd Generation Reusable Launch Vehicle (RLV) Program. In 2001, NASA awarded 23 contracts under the 2nd Generation RLV Program for study and risk reduction activities across many technical areas, including: airframes, vehicle subsystems, operations, integrated vehicle health management, flight mechanics, NASA-unique systems, and propulsion. In 2003, the Main Engine Prototype Critical Design Review and the Systems Requirements Review will be complete and the Architecture Systems Requirements Document will be baselined. Upon completion of these activities, NASA will

downselect to a minimum of two space transportation architectures for continued development based on their ability to meet safety and affordability goals. This selection will determine what architectures and critical technology developments will be continued through 2006. The successful completion of 2nd Generation RLV Program risk reduction and technology development will enable a mid-decade competition to transition all of NASA's launch needs, including human space flight, to safer, lower cost, commercially competitive, privately operated vehicles at the turn of the decade. In 3rd Generation Space Transportation Technology, the Department of Defense and NASA have collaborated on an integrated science and technology plan for hypersonics research, the National Hypersonic Science and Technology Plan (NHP), which defines a national effort to address numerous challenges. The plan addresses airbreathing propulsion or, in some cases, combined rocket/airbreathing (RBCC) or turbine/airbreathing propulsion (TBCC) cycles. While pure rockets have already achieved hypersonic speeds, airbreathing technologies could offer significant benefits over rocket propulsion in performance and cost. In 2003, NASA will complete the independent evaluation of three competing, revolutionary hypersonic propulsion technology systems demonstrations, including an RBCC engine, a TBCC engine and a scramjet engine.

The third goal, Pioneer Technology Innovation, focuses on broad, crosscutting innovations critical to a number of NASA missions and to the aerospace industry in general. Pursuing technology fields that are in their infancy today, developing the knowledge bases necessary to design radically new aerospace systems, and developing tools for efficient, high-confidence design and development will enable a revolution in aerospace. The Administration's request includes a significant investment in computing and information technology developments and also increases investment in biotechnology and nanotechnology—the revolutionary technologies of the 21st Century. To ensure the highest quality research and strong ties to NASA's missions—Space Science, Earth Science, Biological and Physical Research, Human Space Flight, and Aerospace Technology—these investments will be guided by technology development agreements signed by customers in other NASA Enterprises.

Beginning in 2002, NASA will have the National Academy of Sciences undertake reviews of one of these three program areas—Revolutionize Aviation, Advance Space Transportation, and Pioneer Technology Innovation—every three years. These reviews will provide independent assessments of the quality of NASA's technology research and program planning, whether the research can be performed by universities or corporations outside NASA, and how well NASA's technology research integrates with customer needs. In each of these program areas, NASA will also seek to reduce institutional costs at it field centers so more funds can be invested in technology research through openly competed NASA research announcements and through university and industry partnerships.

The fourth goal, Commercialize Technology, extends the commercial application of NASA technology for economic benefit and improved quality of life. By partnering with both aerospace and non-aerospace industry as well as academia, the full range of NASA's assets—technological expertise, new technologies, and research facilities—are made available to help the Nation.

Academic Programs.—Academic Programs has two components: (1) Education Program and (2) Minority University Program. Since the creation of NASA, the agency has made a substantial commitment to education. NASA's contribution to education has been and is based on the Agency's inspiring mission, specialized workforce, close working relationship with the research and education community, and unique world-class facilities. Based on these attributes, NASA has created a comprehensive education program containing a port-

folio of activities directed toward education at all levels. The guidance for the Education Program stated in the NASA Strategic Plan: "Educational Excellence: We involve the educational community in our endeavors to inspire America's students, create learning opportunities, and enlighten inquisitive minds." NASA's Education Program brings students and educators at all levels into its missions and its research as participants and partners, providing opportunities for a diverse group of students and educators to experience first hand involvement with NASA personnel, facilities, and research and development activities.

The Minority University Research Program has a goal to: expand NASA's research base by strengthening the research capabilities of minority universities and colleges; contribute to the scientific and technological workforce; and promote educational excellence. The range of activities conducted under this program will continue to capture the interest of all students in science and technology, develop talented students at the undergraduate and graduate levels, provide research opportunities for students and faculty members at NASA centers, and strengthen and enhance the research capabilities of the Nation's colleges and universities.

Together, these two components of the Academic Programs budget provide guidance for the Agency's interaction with both the formal and informal education community.

Object Classification (in millions of dollars)

Identification code 80-0110-0-1-999	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent		895	949
11.3 Other than full-time permanent		17	17
11.5 Other personnel compensation		20	26
11.8 Special personal services payments		2	2
11.9 Total personnel compensation		934	994
12.1 Civilian personnel benefits	58	273	293
21.0 Travel and transportation of persons		32	36
22.0 Transportation of things	4	5	5
23.1 Rental payments to GSA	1	11	11
23.3 Communications, utilities, and miscellaneous charges	74	102	93
24.0 Printing and reproduction	4	5	5
25.1 Advisory and assistance services	104	104	104
25.2 Other services	591	677	742
25.3 Other purchases of goods and services from Government accounts	256	293	321
25.4 Operation and maintenance of facilities	414	489	520
25.5 Research and development contracts	3,217	3,689	4,049
25.6 Medical care	1		
25.7 Operation and maintenance of equipment	81	93	102
26.0 Supplies and materials	120	137	151
31.0 Equipment	116	133	146
32.0 Land and structures	83	95	104
41.0 Grants, subsidies, and contributions	964	1,106	1,214
99.0 Direct obligations	6,088	8,178	8,890
99.0 Reimbursable obligations	517	598	632
99.9 Total new obligations	6,605	8,776	9,522

Personnel Summary

Identification code 80-0110-0-1-999	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment		11,552	11,832
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment		67	63

General and special funds—Continued

MISSION SUPPORT

Program and Financing (in millions of dollars)

Identification code 80-0112-0-1-999	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Direct program:				
00.01	Safety, mission assurance, engineering, and advanced concepts	47	3	
00.02	Research and program management	2,316	50	
00.03	Construction of facilities	236	51	42
00.04	Space communication services	7		
01.00	Total direct program	2,606	104	42
09.01	Reimbursable program	59		
10.00	Total new obligations	2,665	104	42
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	136	146	42
22.00	New budget authority (gross)	2,661		
22.10	Resources available from recoveries of prior year obligations	16		
23.90	Total budgetary resources available for obligation	2,813	146	42
23.95	Total new obligations	-2,665	-104	-42
23.98	Unobligated balance expiring or withdrawn	-2		
24.40	Unobligated balance carried forward, end of year	146	42	
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	2,609		
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)	-6		
41.00	Transferred to other accounts	-1		
43.00	Appropriation (total discretionary)	2,602		
Spending authority from offsetting collections:				
68.00	Offsetting collections (cash)	58		
68.10	Change in uncollected customer payments from Federal sources (unexpired)	1		
68.90	Spending authority from offsetting collections (total discretionary)	59		
70.00	Total new budget authority (gross)	2,661		
Change in obligated balances:				
72.40	Obligated balance, start of year	623	623	188
73.10	Total new obligations	2,665	104	42
73.20	Total outlays (gross)	-2,646	-539	-81
73.40	Adjustments in expired accounts (net)	-7		
73.45	Recoveries of prior year obligations	-16		
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-1		
74.10	Change in uncollected customer payments from Federal sources (expired)	6		
74.40	Obligated balance, end of year	623	188	149
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	2,023		
86.93	Outlays from discretionary balances	625	539	81
87.00	Total outlays (gross)	2,646	539	81
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-57		
88.40	Non-Federal sources	-5		
88.90	Total, offsetting collections (cash)	-62		
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-1		
88.96	Portion of offsetting collections (cash) credited to expired accounts	4		
Net budget authority and outlays:				
89.00	Budget authority	2,602		
90.00	Outlays	2,584	539	81

In 2001, this appropriation provides funding for mission support and includes: safety, mission assurance, engineering

and advanced concepts activities supporting agency programs; salaries and related expenses in support of research in NASA field installations; design, repair, rehabilitation and modification of institutional facilities and construction of new institutional facilities; and other operations activities supporting conduct of agency programs.

Since 2002, NASA has implemented a two-appropriation budget (excluding the Inspector General account). The two-appropriation budget (Human Space Flight (HSF) and Science, Aeronautics and Technology (SAT)) is NASA's first step at transitioning to a full cost budget. While full cost will ultimately integrate institutional and programmatic funds into a single budget, that integration is done in a step-wise manner, by providing for a mission support budget line under each Enterprise and eliminating the present mission support appropriation. This initial step will begin to recognize, budget, and track direct full time equivalent (FTE) personnel associated at the Enterprise level and then use this FTE data to distribute other-than-direct (OTD) institutional costs (Research and Program Management and non-programmatic Construction of Facilities) using the relative percentages of direct FTE's by Enterprise.

This means the distribution of the OTD resources takes advantage of a basic assumption, to be used prior to the existence of cost and service pools, that FTE's are a reasonable relative indicator at the Enterprise level of required facility and institutional capabilities. Taking this step will help program/project personnel and decision makers begin to understand the potential magnitude of institutional funds that are associated with each Enterprise in preparation for the day when full cost budgeting will distribute these funds most appropriately to the project level via the appropriate cost/service pools.

Beginning in 2002, there is no longer a Mission Support account. Institutional costs will be budgeted within HSF and SAT (as discussed above) and safety, mission assurance and engineering will be budgeted within the HSF account.

NASA plans to control personnel levels through full time permanent (FTP) civil servant positions while continuing to track full time equivalent positions, as done in the past. This will allow NASA more flexibility in the use of non-permanent positions for short-term technical needs as well as co-op and intern programs.

Performance Objectives

Research and program management.—In 2001, this activity provided for the salaries, travel support, other personnel expenses of the entire NASA civil service workforce, and includes vital support to the physical plant at the Centers and at NASA Headquarters.

Construction of facilities.—In 2001, this activity provided for facility construction activities to preserve NASA's infrastructure and enable NASA's missions; environmental compliance and restoration activities, design of facilities projects, and advanced planning and critical functional leadership activities related to future facilities needs. Activities in support of construction projects to repair, revitalize and modernize the basic infrastructure and institutional facilities at NASA centers will continue with the major focus on eliminating safety-related concerns. Increasing attention is being given to activities in support of environmental compliance and restoration requirements.

Object Classification (in millions of dollars)

Identification code 80-0112-0-1-999	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent	1,340	
11.3	Other than full-time permanent	26	

11.5	Other personnel compensation	32		
11.8	Special personal services payments	12		
11.9	Total personnel compensation	1,410		
12.1	Civilian personnel benefits	314		
13.0	Benefits for former personnel	2		
21.0	Travel and transportation of persons	52		
22.0	Transportation of things	6		
23.1	Rental payments to GSA	18		
23.3	Communications, utilities, and miscellaneous charges	28	4	
24.0	Printing and reproduction	6	1	
25.1	Advisory and assistance services	20	1	
25.2	Other services	236	26	
25.3	Other purchases of goods and services from Government accounts	39	3	
25.4	Operation and maintenance of facilities	123	16	
25.5	Research and development contracts	74	15	
25.6	Medical care	7	1	
25.7	Operation and maintenance of equipment	38	10	
26.0	Supplies and materials	18	4	
31.0	Equipment	6	5	
32.0	Land and structures	201	17	42
41.0	Grants, subsidies, and contributions	7	1	
42.0	Insurance claims and indemnities	1		
99.0	Direct obligations	2,606	104	42
99.0	Reimbursable obligations	59		
99.9	Total new obligations	2,665	104	42

Personnel Summary

Identification code 80-0112-0-1-999	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	18,412		
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	98		

SPACE FLIGHT, CONTROL AND DATA COMMUNICATIONS

Program and Financing (in millions of dollars)

Identification code 80-0105-0-1-252	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year	1		
73.20 Total outlays (gross)	-1		
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	1		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	1		

Since 1995, NASA's Space flight, control and data communications activities have been performed in Human Space Flight; Science, Aeronautics and Technology; and Mission Support. This account shows spending from balances prior to the account restructuring.

CONSTRUCTION OF FACILITIES

Program and Financing (in millions of dollars)

Identification code 80-0107-0-1-999	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 32.0)	2		
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	7	5	7
23.95 Total new obligations	-2		
24.40 Unobligated balance carried forward, end of year	5	7	7
Change in obligated balances:			
72.40 Obligated balance, start of year	12	6	

73.10 Total new obligations	2		
73.20 Total outlays (gross)	-7	-6	
73.40 Adjustments in expired accounts (net)	-1		
74.40 Obligated balance, end of year	6		

Outlays (gross), detail:

86.93 Outlays from discretionary balances	7	6	
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Net budget authority and outlays:

89.00 Budget authority			
90.00 Outlays	7	6	

Since 1995 NASA's Construction of facilities activities have been performed in Human Space Flight; Science, Aeronautics and Technology; and Mission Support. This account shows spending from balances prior to the account restructuring.

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the Inspector General Act of 1978, as amended, **[\$23,700,000] \$25,600,000.** (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 80-0109-0-1-252	2001 actual	2002 est.	2003 est.
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Obligations by program activity:

10.00 Total new obligations (object class 12.1)	24	25	26
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Budgetary resources available for obligation:

22.00 New budget authority (gross)	24	25	26
23.95 Total new obligations	-24	-25	-26

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	24	25	26

Change in obligated balances:

72.40 Obligated balance, start of year	2	3	3
73.10 Total new obligations	24	25	26
73.20 Total outlays (gross)	-23	-25	-26
74.40 Obligated balance, end of year	3	3	3

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	21	22	23
86.93 Outlays from discretionary balances	2	3	3
87.00 Total outlays (gross)	23	25	26

Net budget authority and outlays:

89.00 Budget authority	24	25	26
90.00 Outlays	23	25	26

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
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Net budget authority and outlays:

89.00 Budget authority	23	24	25
90.00 Outlays	22	24	25

The mission of the Office of Inspector General is to conduct audits and investigations of agency activities. The Inspector General keeps the Administrator informed of problems and deficiencies in agency programs and operations.

Object Classification (in millions of dollars)

Identification code 80-0109-0-1-252	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	16	17	18
12.1 Civilian personnel benefits	6	6	6
21.0 Travel and transportation of persons	1	1	1
26.0 Supplies and materials	1	1	1
99.9 Total new obligations	24	25	26

General and special funds—Continued
OFFICE OF INSPECTOR GENERAL—Continued

Personnel Summary

Identification code 80-0109-0-1-252	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	201	213	213

Trust Funds

SCIENCE, SPACE, AND TECHNOLOGY EDUCATION TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 80-8978-0-7-503	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	15	15	15
Receipts:			
02.40 Earnings on investments; Science, Space and Technology Education, Trust Fu	1	1	1
04.00 Total: Balances and collections	16	16	16
Appropriations:			
05.00 Science, space, and technology education trust fund	-1	-1	-1
07.99 Balance, end of year	15	15	15

Program and Financing (in millions of dollars)

Identification code 80-8978-0-7-503	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	1	1	1
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	1	1	1
23.95 Total new obligations	-1	-1	-1
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)	1	1	1
Change in obligated balances:			
73.10 Total new obligations	1	1	1
73.20 Total outlays (gross)	-1	-1	-1
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	1	1	1
Net budget authority and outlays:			
89.00 Budget authority	1	1	1
90.00 Outlays	1	1	1
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value	15	15	15
92.02 Total investments, end of year: Federal securities: Par value	15	15	15

NATIONAL SPACE GRANT PROGRAM

Unavailable Collections (in millions of dollars)

Identification code 80-8977-0-7-252	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.00 Gifts and donations	3		

Appropriations:			
05.00 National space grant program gift fund	-3		
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 80-8977-0-7-252	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)		3	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		3	
22.00 New budget authority (gross)	3		
23.90 Total budgetary resources available for obligation	3	3	
23.95 Total new obligations		-3	
24.40 Unobligated balance carried forward, end of year	3		
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)	3		
Change in obligated balances:			
73.10 Total new obligations		3	
73.20 Total outlays (gross)		-3	
Outlays (gross), detail:			
86.98 Outlays from mandatory balances		3	
Net budget authority and outlays:			
89.00 Budget authority		3	
90.00 Outlays		3	

ADMINISTRATIVE PROVISIONS

Notwithstanding the limitation on the availability of funds appropriated for "Human space flight", or "Science, aeronautics and technology" by this appropriations Act, when any activity has been initiated by the incurrence of obligations for construction of facilities as authorized by law, such amount available for such activity shall remain available until expended. This provision does not apply to the amounts appropriated for institutional minor revitalization and construction of facilities, and institutional facility planning and design.

Notwithstanding the limitation on the availability of funds appropriated for "Human space flight", or "Science, aeronautics and technology" by this appropriations Act, the amounts appropriated for construction of facilities shall remain available until September 30, [2004] 2005.

Notwithstanding the limitation on the availability of funds appropriated for "Office of Inspector General", amounts made available by this Act for personnel and related costs and travel expenses of the National Aeronautics and Space Administration shall remain available until September 30, [2002] 2003 and may be used to enter into contracts for training, investigations, costs associated with personnel relocation, and for other services, to be provided during the next fiscal year. Funds for announced prizes otherwise authorized shall remain available, without fiscal year limitation, until the prize is claimed or the offer is withdrawn.

[No funds in this Act or any other appropriations Act may be used to finalize an agreement prior to December 1, 2002 between NASA and a nongovernment organization to conduct research utilization and commercialization management activities of the International Space Station.] (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

NATIONAL SCIENCE FOUNDATION

NATIONAL SCIENCE FOUNDATION

Federal Funds

General and special funds:

RESEARCH AND RELATED ACTIVITIES

For necessary expenses in carrying out the National Science Foundation Act of 1950, as amended (42 U.S.C. 1861–1875), and the Act to establish a National Medal of Science (42 U.S.C. 1880–1881); services as authorized by 5 U.S.C. 3109; [authorized travel;] maintenance and operation of aircraft and purchase of flight services for research support; acquisition of aircraft; [\$3,598,340,000] \$3,783,210,000, of which not to exceed [\$300,000,000] \$320,000,000 shall remain available until expended for Polar research and operations support, and for reimbursement to other Federal agencies for operational and science support and logistical and other related activities for the United States Antarctic program; the balance to remain available until September 30, [2003] 2004: *Provided*, That receipts for scientific support services and materials furnished by the National Research Centers and other National Science Foundation supported research facilities may be credited to this appropriation: *Provided further*, That to the extent that the amount appropriated is less than the total amount authorized to be appropriated for included program activities, all amounts, including floors and ceilings, specified in the authorizing Act for those program activities or their subactivities shall be reduced proportionally: *Provided further*, That \$75,000,000 of the funds available under this heading shall be made available for a comprehensive research initiative on plant genomes for economically significant crops]. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for “Research and related activities”, \$300,000 to remain available until expended, to be obligated from amounts made available in Public Law 107–38.] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 49–0100–0–1–999	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Biological sciences	486	508	526
00.02 Computer and information science and engineering	478	515	527
00.03 Engineering	433	473	488
00.05 Geosciences	564	610	691
00.06 Mathematical and physical sciences	854	921	942
00.07 Social, behavioral and economic sciences	177	169	196
00.09 U.S. Polar research programs	68	68	68
00.10 U.S. Antarctic logistical support activities	214	230	236
00.11 Integrative activities	98	106	111
09.01 Reimbursable programs	77	100	100
10.00 Total new obligations	3,449	3,698	3,883
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	8	2
22.00 New budget authority (gross)	3,433	3,698	3,883
22.10 Resources available from recoveries of prior year obligations	11
23.90 Total budgetary resources available for obligation	3,452	3,700	3,883
23.95 Total new obligations	–3,449	–3,698	–3,883
23.98 Unobligated balance expiring or withdrawn	–1	–2
24.40 Unobligated balance carried forward, end of year	2
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	3,350	3,598	3,783
40.77 Reduction pursuant to P.L. 106–554 (0.22 percent)	–7
42.00 Transferred from other accounts	14
43.00 Appropriation (total discretionary)	3,357	3,598	3,783

Spending authority from offsetting collections:				
68.00	Offsetting collections (cash)	74	100	100
68.10	Change in uncollected customer payments from Federal sources (unexpired)	3
68.90	Spending authority from offsetting collections (total discretionary)	77	100	100
70.00	Total new budget authority (gross)	3,433	3,698	3,883
Change in obligated balances:				
72.40	Obligated balance, start of year	3,461	3,979	4,332
73.10	Total new obligations	3,449	3,698	3,883
73.20	Total outlays (gross)	–2,903	–3,345	–3,609
73.40	Adjustments in expired accounts (net)	–13
73.45	Recoveries of prior year obligations	–11
74.00	Change in uncollected customer payments from Federal sources (unexpired)	–3
74.40	Obligated balance, end of year	3,979	4,332	4,604
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	736	916	958
86.93	Outlays from discretionary balances	2,167	2,429	2,651
87.00	Total outlays (gross)	2,903	3,345	3,609
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	–74	–100	–100
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	–3
Net budget authority and outlays:				
89.00	Budget authority	3,356	3,598	3,783
90.00	Outlays	2,830	3,245	3,509

Note—Includes \$74 million in budget authority in BY for research activities transferred from the National Oceanic and Atmospheric Administration, the Environmental Protection Agency, and the U.S. Geological Survey.

The research and related activities appropriation enables the United States to provide leadership and promote progress across the expanding frontiers of scientific and engineering research and education. This appropriation provides resources for NSF to achieve the following goals for strategic outcomes: enabling discovery across the frontier of science and engineering, connected to learning, innovation and service of society; development of a diverse, internationally competitive and globally-engaged workforce of scientists, engineers and well-prepared citizens; and providing broadly accessible, state-of-the-art research and education tools. Research activities will contribute to the achievement of these goals through development of intellectual capital, integration of research and education, and promotion of partnerships. This appropriation supports NSF’s active development of performance indicators.

The major research program activities of the Foundation are:

Biological sciences.—This activity promotes scientific progress in biology through support of research ranging from the study of molecules, through cells and organisms, to studies of ecosystems. This activity also supports a comprehensive research initiative on plant genomes, including research on economically significant crops.

Computer and information sciences and engineering.—Research is directed at “information technology” in the broadest sense of the term, ranging from fundamental theory to systems engineering.

Engineering.—Research supported by this activity aims to increase U.S. engineering capability and strength, and focus that capability and strength on areas which are relevant to national problems and long-term needs. This activity also includes small business innovation research.

General and special funds—Continued

RESEARCH AND RELATED ACTIVITIES—Continued

Geosciences.—This research advances knowledge of the properties and dynamics of the planet Earth.

Mathematical and physical sciences.—Research in this activity is directed at increasing understanding of natural laws and phenomena. It includes research in mathematical sciences, astronomy, physics, chemistry, and materials science.

Social, behavioral and economic sciences.—This activity supports research in social, behavioral and economic sciences, facilitates international scientific cooperation, and funds science resources studies.

U.S. polar research programs.—This activity supports Arctic and Antarctic research and operational science support and other related activities for the United States polar research programs. This activity includes funding for the expenses of the Arctic Research Commission.

U.S. Antarctic logistical support activities.—This activity provides funding for reimbursing Federal agencies for logistical and other related activities for the United States Antarctic program.

Integrative activities.—This activity supports emerging cross-disciplinary research efforts and major research instrumentation. This activity also provides support for the Science and Technology Policy Institute.

Object Classification (in millions of dollars)

Identification code 49-0100-0-1-999	2001 actual	2002 est.	2003 est.
Direct obligations:			
21.0 Travel and transportation of persons	7	7	7
25.1 Advisory and assistance services	25	25	25
25.2 Other services	1	1	1
25.3 Other purchases of goods and services from Government accounts	7	7	7
25.4 Operation and maintenance of facilities	142	150	150
25.5 Research and development contracts	7	7	7
31.0 Equipment	2	2	2
41.0 Grants, subsidies, and contributions	3,181	3,399	3,584
99.0 Direct obligations	3,372	3,598	3,783
99.0 Reimbursable obligations	77	100	100
99.9 Total new obligations	3,449	3,698	3,883

Personnel Summary

Identification code 49-0100-0-1-999	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	4	4	4

ACADEMIC RESEARCH INFRASTRUCTURE

Program and Financing (in millions of dollars)

Identification code 49-0150-0-1-251	2001 actual	2002 est.	2003 est.
Change in obligated balances:			
72.40 Obligated balance, start of year	13	5	
73.20 Total outlays (gross)	-9	-5	
73.40 Adjustments in expired accounts (net)	1		
74.40 Obligated balance, end of year	5		
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	9	5	
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	9	5	

The academic research infrastructure facilities activity has been terminated. A program to support the acquisition of major research instrumentation has been funded through the

research and related activities appropriation since 1997. This account shows spending from balances prior to the account restructuring.

MAJOR RESEARCH EQUIPMENT AND FACILITIES CONSTRUCTION

For necessary expenses for the acquisition, construction, commissioning, and upgrading of major research equipment, facilities, and other such capital assets pursuant to the National Science Foundation Act of 1950, as amended, [including authorized travel, \$138,800,000] \$126,280,000, to remain available until expended[: *Provided*, That the Director shall submit a report to the Committees on Appropriations by February 28, 2002 on the full life-cycle costs of projects funded through this account since fiscal year 1995]. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 49-0551-0-1-251	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	119	139	126
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	71	73	71
22.00 New budget authority (gross)	122	139	126
23.90 Total budgetary resources available for obligation	193	212	197
23.95 Total new obligations	-119	-139	-126
24.40 Unobligated balance carried forward, end of year	73	71	71
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	122	139	126
Change in obligated balances:			
72.40 Obligated balance, start of year	86	159	132
73.10 Total new obligations	119	139	126
73.20 Total outlays (gross)	-47	-166	-154
74.40 Obligated balance, end of year	159	132	104
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	11	15	14
86.93 Outlays from discretionary balances	36	151	140
87.00 Total outlays (gross)	47	166	154
Net budget authority and outlays:			
89.00 Budget authority	122	139	126
90.00 Outlays	47	166	154

Object Classification (in millions of dollars)

Identification code 49-0551-0-1-251	2001 actual	2002 est.	2003 est.
25.5 Research and development contracts	11	13	12
41.0 Grants, subsidies, and contributions	108	126	114
99.9 Total new obligations	119	139	126

The major research equipment and facilities construction activity supports the construction and procurement of unique national research platforms and major research equipment. Performance is measured against established construction schedules, costs and milestones.

SALARIES AND EXPENSES

For salaries and expenses necessary in carrying out the National Science Foundation Act of 1950, as amended (42 U.S.C. 1861-1875); services authorized by 5 U.S.C. 3109; hire of passenger motor vehicles; not to exceed \$9,000 for official reception and representation expenses; uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-5902; rental of conference rooms in the District of Columbia; reimbursement of the General Services Administration for security guard services; [\$170,040,000] \$210,160,000: *Provided*, That contracts may be entered into under "Salaries and expenses" in fiscal

year [2002] 2003 for maintenance and operation of facilities, and for other services, to be provided during the next fiscal year. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 49-0180-0-1-251	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Administration and management	167	176	210
09.01 Reimbursable programs	4	5	5
10.00 Total new obligations	171	181	215
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	171	181	215
23.95 Total new obligations	-171	-181	-215
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	167	176	210
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	4	5	5
70.00 Total new budget authority (gross)	171	181	215
Change in obligated balances:			
72.40 Obligated balance, start of year	25	23	19
73.10 Total new obligations	171	181	215
73.20 Total outlays (gross)	-172	-185	-212
73.40 Adjustments in expired accounts (net)	-2		
74.40 Obligated balance, end of year	23	19	22
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	151	162	193
86.93 Outlays from discretionary balances	21	23	19
87.00 Total outlays (gross)	172	185	212
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-4	-5	-5
Net budget authority and outlays:			
89.00 Budget authority	167	176	210
90.00 Outlays	168	180	207

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

Identification code 49-0180-0-1-251	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	161	170	203
90.00 Outlays	162	174	200

Note—Includes \$2 million in budget authority in BY for administrative costs for activities transferred from the National Oceanic and Atmospheric Administration, the Environmental Protection Agency, and the U.S. Geological Survey.

This account provides funds to administer and manage NSF programs. NSF continually devises and refines performance measures for development and monitoring of programs.

Object Classification (in millions of dollars)

Identification code 49-0180-0-1-251	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	77	80	90
11.3 Other than full-time permanent	12	13	14
11.5 Other personnel compensation	3	4	5
11.8 Special personal services payments	1	1	1
11.9 Total personnel compensation	93	98	110
12.1 Civilian personnel benefits	25	27	30
21.0 Travel and transportation of persons	4	5	6
23.1 Rental payments to GSA	16	17	17
23.3 Communications, utilities, and miscellaneous charges	3	3	3
25.1 Advisory and assistance services	2	2	6
25.2 Other services	6	6	7

25.3 Other purchases of goods and services from Government accounts	1	1	1
25.7 Operation and maintenance of equipment	6	6	13
26.0 Supplies and materials	2	3	3
31.0 Equipment	9	8	14
99.0 Direct obligations	167	176	210
99.0 Reimbursable obligations	4	5	5
99.9 Total new obligations	171	181	215

Personnel Summary

Identification code 49-0180-0-1-251	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	1,170	1,150	1,217

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General as authorized by the Inspector General Act of 1978, as amended, [\$6,760,000] \$8,060,000, to remain available until September 30, [2003] 2004. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 49-0300-0-1-251	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	6	7	8
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	6	7	8
23.95 Total new obligations	-6	-7	-8
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	6	7	8
Change in obligated balances:			
72.40 Obligated balance, start of year	2	2	3
73.10 Total new obligations	6	7	8
73.20 Total outlays (gross)	-6	-6	-7
74.40 Obligated balance, end of year	2	3	4
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	5	6	6
86.93 Outlays from discretionary balances	1	2	1
87.00 Total outlays (gross)	6	6	7
Net budget authority and outlays:			
89.00 Budget authority	6	7	8
90.00 Outlays	6	6	7

This appropriation provides agency-wide audit and investigative functions to identify and correct management and administrative deficiencies which create conditions for existing or potential instances of fraud, waste, and mismanagement consistent with the Inspector General Act of 1978, as amended (5 U.S.C. App. 3).

Object Classification (in millions of dollars)

Identification code 49-0300-0-1-251	2001 actual	2002 est.	2003 est.
Personnel Summary			
Identification code 49-0300-0-1-251			
1001 Total compensable workyears: Full-time equivalent employment	46	50	53

General and special funds—Continued

EDUCATION AND HUMAN RESOURCES

For necessary expenses in carrying out science and engineering education and human resources programs and activities pursuant to the National Science Foundation Act of 1950, as amended (42 U.S.C. 1861–1875), including services as authorized by 5 U.S.C. 3109, [authorized travel,] and rental of conference rooms in the District of Columbia, [\$875,000,000] \$908,080,000, to remain available until September 30, [2003] 2004: *Provided*, That to the extent that the amount of this appropriation is less than the total amount authorized to be appropriated for included program activities, all amounts, including floors and ceilings, specified in the authorizing Act for those program activities or their subactivities shall be reduced proportionally. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 49–0106–0–1–251	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Obligations by program activity:				
Direct program:				
Appropriated activities:				
00.01	Educational system reform	110	45	40
00.02	EPSCoR	89	91	75
00.03	Elementary, secondary and informal education	203	165	171
00.04	Undergraduate education	141	142	136
00.05	Graduate education	88	106	128
00.06	Human resource development	90	97	90
00.07	Research, evaluation and communication	74	68	67
00.08	Math and science partnership	160	200
00.91	Subtotal appropriated activities	795	875	908
H–1B non-immigrant petitioner fee activities:				
01.01	Scholarships for individuals (low-income students)	69	55	57
01.02	H–1B K–12 Activities	2
01.03	Systemic reform activities	4	35	36
01.04	Enrichment Courses	4
01.91	Subtotal H–1B non-immigrant petitioner fee activities	79	90	93
02.00	Total direct obligations	874	964	1,000
09.01	Reimbursable programs	21	25	25
10.00	Total new obligations	895	989	1,025
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	60	60	60
22.00	New budget authority (gross)	895	990	1,026
22.10	Resources available from recoveries of prior year obligations	1
23.90	Total budgetary resources available for obligation	956	1,050	1,086
23.95	Total new obligations	–895	–989	–1,025
24.40	Unobligated balance carried forward, end of year	60	60	60
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	787	875	908
40.77	Reduction pursuant to P.L. 106–554 (0.22 percent)	–2
43.00	Appropriation (total discretionary)	785	875	908
Mandatory:				
60.20	Appropriation (special fund)	88	90	93
Discretionary:				
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	21	25	25
70.00	Total new budget authority (gross)	894	990	1,026
Change in obligated balances:				
72.40	Obligated balance, start of year	1,048	1,301	1,350
73.10	Total new obligations	895	989	1,025
73.20	Total outlays (gross)	–630	–940	–985
73.40	Adjustments in expired accounts (net)	–11
73.45	Recoveries of prior year obligations	–1
74.40	Obligated balance, end of year	1,301	1,350	1,391
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	101	139	143
86.93	Outlays from discretionary balances	518	701	745

86.97	Outlays from new mandatory authority	2	12	12
86.98	Outlays from mandatory balances	9	88	85
87.00	Total outlays (gross)	630	940	985
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	–21	–25	–25
Net budget authority and outlays:				
89.00	Budget authority	874	965	1,001
90.00	Outlays	609	915	960

Education and human resources (EHR) activities provide a comprehensive set of programs that further NSF’s goal of ensuring a diverse, internationally competitive and globally-engaged workforce of scientists, engineers and well-prepared citizens. At the pre-kindergarten through grade 12 (pre K–12) level, EHR catalyzes reform of science, technology, engineering and mathematics (STEM) education through the development of public-private partnerships. Its pre K–12 programs provide new instructional materials and pedagogical techniques that incorporate the latest advances in teaching, learning, and educational technologies. They also support training activities for pre-service and in-service STEM teachers. Undergraduate programs improve curricula, strengthen laboratory course offerings, enhance faculty, and lead reform efforts in STEM disciplines. Programs for advanced technological education strengthen education for students preparing to enter the high-technology workforce. Graduate level support is directed primarily to fellowships and traineeships to sustain the U.S. world leadership in science and technology. All EHR programming focuses on broadening participation of groups underrepresented in STEM fields including activities focused on the improvement of infrastructure and academic programs at minority-serving institutions. The Experimental Program to Stimulate Competitive Research (EPSCoR) broadens participation of States and regions in science and engineering by helping institutions expand their research capacity and competitiveness. EHR supports research that advances STEM education, as well as development and effective implementation of learning technologies. Evaluation and communications activities ensure accountability by developing indicators that measure program impact and inform the education community of best practices and relevant information. Also included within EHR are funds resulting from the collection and use of H–1B non-immigrant fees, for undergraduate and graduate scholarships in computer science, mathematics, and engineering and private-public partnerships in K–12 for STEM education. This appropriation also supports NSF’s active development of performance indicators.

Object Classification (in millions of dollars)

Identification code 49–0106–0–1–251	2001 actual	2002 est.	2003 est.	
Direct obligations:				
21.0	Travel and transportation of persons	2	3	3
25.1	Advisory and assistance services	17	19	25
41.0	Grants, subsidies, and contributions	855	942	972
99.0	Direct obligations	874	964	1,000
99.0	Reimbursable obligations	21	25	25
99.9	Total new obligations	895	989	1,025

Trust Funds

DONATIONS

Unavailable Collections (in millions of dollars)

Identification code 49–8960–0–7–251	2001 actual	2002 est.	2003 est.
01.99	Balance, start of year

OFFICE OF PERSONNEL MANAGEMENT

Federal Funds

General and special funds:

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF TRUST FUNDS)

For necessary expenses to carry out functions of the Office of Personnel Management pursuant to Reorganization Plan Numbered 2 of 1978 and the Civil Service Reform Act of 1978, including services as authorized by 5 U.S.C. 3109; medical examinations performed for veterans by private physicians on a fee basis; rental of conference rooms in the District of Columbia and elsewhere; hire of passenger motor vehicles; not to exceed \$2,500 for official reception and representation expenses; advances for reimbursements to applicable funds of the Office of Personnel Management and the Federal Bureau of Investigation for expenses incurred under Executive Order No. 10422 of January 9, 1953, as amended; and payment of per diem and/or subsistence allowances to employees where Voting Rights Act activities require an employee to remain overnight at his or her post of duty, **[\$99,636,000] \$134,404,000**, of which **[\$3,200,000] \$24,000,000** shall remain available until expended for the cost of the governmentwide human resources data network project, and \$2,500,000 shall remain available until expended for the cost of leading the government-wide initiative to modernize federal payroll systems and service delivery; and in addition **[\$115,928,000] \$126,591,000** for administrative expenses, to be transferred from the appropriate trust funds of the Office of Personnel Management without regard to other statutes, including direct procurement of printed materials, for the retirement and insurance programs, of which **[\$21,777,000] \$27,640,000** shall remain available until expended for the cost of automating the retirement recordkeeping systems: *Provided*, That the provisions of this appropriation shall not affect the authority to use applicable trust funds as provided by sections 8348(a)(1)(B), 8909(g), and 9004(f)(1)(A) and (2)(A) of title 5, United States Code: *Provided further*, That no part of this appropriation shall be available for salaries and expenses of the Legal Examining Unit of the Office of Personnel Management established pursuant to Executive Order No. 9358 of July 1, 1943, or any successor unit of like purpose: *Provided further*, That the President's Commission on White House Fellows, established by Executive Order No. 11183 of October 3, 1964, may, during fiscal year **[2002] 2003**, accept donations of money, property, and personal services in connection with the development of a publicity brochure to provide information about the White House Fellows, except that no such donations shall be accepted for travel or reimbursement of travel expenses, or for the salaries of employees of such Commission. (*Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 24-0100-0-1-805	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Merit systems oversight and effectiveness	22	22	22
00.02 Employment service	28	29	29
00.03 Retirement and insurance service	127	165	139
00.04 Workforce compensation and performance service	8	8	8
00.05 Investigations service	3	3	3
00.06 Workforce relations	5	5	5
00.07 Executive resources	2	3	3
00.08 Administrative services	29	31	61
00.09 Executive and other services	14	15	15
09.01 Reimbursable program	24	24	24
10.00 Total new obligations	262	305	309
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	14	7
22.00 New budget authority (gross)	262	305	309
22.10 Resources available from recoveries of prior year obligations	-6
23.90 Total budgetary resources available for obligation	270	312	309

23.95 Total new obligations	-262	-305	-309
23.98 Unobligated balance expiring or withdrawn	-1	-7
24.40 Unobligated balance carried forward, end of year	7

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	99	105	134
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	163	200	175
70.00 Total new budget authority (gross)	262	305	309

Change in obligated balances:

72.40 Obligated balance, start of year	-24	11	11
73.10 Total new obligations	262	305	309
73.20 Total outlays (gross)	-253	-305	-309
73.40 Adjustments in expired accounts (net)	26
73.45 Recoveries of prior year obligations	6
74.10 Change in uncollected customer payments from Federal sources (expired)	-6
74.40 Obligated balance, end of year	11	11	11

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	251	292	292
86.93 Outlays from discretionary balances	2	13	16
87.00 Total outlays (gross)	253	305	309

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-163	-200	-175

Net budget authority and outlays:

89.00 Budget authority	99	105	134
90.00 Outlays	90	105	134

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	94	100	129
90.00 Outlays	85	100	129

The Office of Personnel Management (OPM) is responsible for personnel management functions which include the following activities:

Merit systems oversight and effectiveness.—This activity includes: (a) evaluating human resources management (HRM) in Federal agencies through various methods, including on-site reviews and special studies; (b) administering classification appeals, Fair Labor Standards Act, and Intergovernmental Personnel programs to ensure that agencies adhere to the statutory requirements; (c) helping agencies develop merit-based HRM accountability systems to support mission accomplishment; (d) assessing the effectiveness of Governmentwide HRM policies and programs and serving as a clearinghouse for best practices; (e) testing and evaluating innovative HRM practices and systems, including demonstration projects under 5 U.S.C. Chapter 47; (f) providing readily accessible statistics on the Federal workforce; (g) providing electronic transfer of other human resources data throughout the Federal sector; and (h) administering parts of the Voting Rights Act of 1965.

Program performance.—The activity's performance measures are designed to assess the value-added outcomes which oversight reviews, accountability and demonstration projects, and workforce information have on the Federal HRM community and employees. Client feedback is solicited on each re-

General and special funds—Continued

SALARIES AND EXPENSES—Continued

(INCLUDING TRANSFER OF TRUST FUNDS)—Continued

view, product, and service. For example, clients rate the overall value of the oversight work as 4.0 or above of a 5-point scale. The quality of data that is provided to clients is regularly assessed, and is used in reviews, studies, and projects. Of each agency's records entered into the Central Personnel Data File, at least 97 percent are correct on all core elements. The Merit System Principles Survey, used to collect employee perceptions of the merit system principles, is content valid and reliable. OPM conducts 15 to 17 nationwide agency oversight reviews each year to ensure compliance with Federal Civil Service merit principles.

Employment Service.—The Employment Service performs core human resources (HR) leadership functions. These functions include developing, implementing, and monitoring employment policies for agencies in the areas of workforce planning, recruiting, selecting, promoting, reassigning, downsizing and reshaping. The Service monitors and reports on governmentwide diversity and veterans efforts, provides technical assistance on a variety of staffing issues, and operates the personnel program for the Administrative Law Judges. Additionally, the Service provides HR best practice information to Federal agencies, offers automated staffing solutions, and operates the Federal job information system USAJOBS. In 2002 and 2003, the Service will focus on improving the Federal hiring process, enhancing the image of the public service, conducting occupational studies of homeland security and executive succession planning, and expanding the Government's ability to recruit, develop, and retain computer security professionals.

Program performance.—The Employment Service establishes annual performance goals and objectives designed to accomplish long-term goals identified in OPM's Strategic Plan. Progress is monitored through a mix of outcome and output measures, including results of oversight reviews, qualitative feedback on the usefulness of policies and information processes, customer satisfaction with services, cost-comparison analyses, workload accomplishment data, and quality and timeliness of information.

The Employment Service provided employment information to over 20.7 million people in 2001 through a nationwide system available 24 hours a day, 7 days a week, by telephone, fax, and internet. USAJOBS averages more than 56,000 visits daily. This is an increase from the previous year and is expected to continue to grow.

The Employment Service conducts a recertification and training program for all agency Delegated Examining Units to ensure that agencies are carrying out their delegated responsibilities in accordance with law and regulation, and accomplished all scheduled recertifications.

In 2001, Employment Service published several regulations such as the Career Intern Interim regulations and final regulations for the Student Loan Repayment program and continued to assist employees with outplacement assistance and selection priority for other jobs. The Service also helped advance the President's Strategic Management of Human Capital initiative by proposing legislation to streamline the hiring process, which was included in the Administration's Managerial Flexibility Act of 2001, and providing technical assistance and tools to help agencies conduct a workforce analysis and develop a restructuring plan.

Retirement and Insurance.— This Activity encompasses administration of Earned Employee Benefits—the retirement and insurance programs—for Federal employees, retired Federal employees, and their families. These programs include the Civil Service Retirement System, the Federal Employees Retirement System, the Federal Employees Group Life Insur-

ance Program, and the Federal Employees and Retired Employees Health Benefits Programs. The Long-Term Care Security Act (P.L. 106-265) authorizes OPM to offer group long term care insurance for Federal employees and retirees, their spouses, parents, and parents-in-law. In addition, this Activity includes OPM's efforts designed to stay abreast of, and respond to, developments in non-Federal fringe benefits practices.

Program performance.—The Retirement Systems Modernization (RSM) Project is OPM's central strategy to meet its long term customer service, financial management and business goals for the Retirement Program. To achieve these strategic goals, OPM will move from a paper-based record keeping system to program-wide electronic data and transactions. The project will be implemented in phases, with full results achieved in 2008. Close coordination with another OPM initiative, the Human Resources Data Network, will minimize the data agencies must send to OPM. OPM is developing universal tools that use electronic data, and has already introduced a prototype Benefits Calculator and a Benefits Booklet for retiring employees. The calculator, used by OPM to process FERS claims, reduced claims processing times by 45 percent. The benefits booklet provides personalized benefits information, contributing to improved customer satisfaction. During FY 2002, OPM will complete two applications that will provide immediate benefits. A Retirement Data Exchange Gateway will allow OPM and agencies to eliminate obsolete technology and reduce the delay some retiring employees experience in receiving their first benefit payment. A Coverage Determination Application will improve the accuracy of retirement coverage decisions made by agencies. During FY 2003, OPM will begin to convert member data, currently stored in paper form at the Retirement Operations Center and at Federal agencies, to electronic media. This will contribute to improved benefits counseling and case development by agencies, reduced benefit claims processing time and elimination of paper record storage and management. In addition, OPM will pilot, with the USPS, a non-HR-DN data capture capability, and will develop web-enabled self-service for retirement benefits, i.e., on line applications. RSM results in more efficient and effective retirement claims processing, record keeping, benefits counseling and financial management. Ninety-three percent of customers reported that they were generally satisfied to very satisfied with OPM's delivery of retirement services. This continues a five-year trend of satisfaction levels in the 90 percent range. In addition, the American Customer Satisfaction Index (ASCI) measured the satisfaction federal retirees and survivor annuitants had with the retirement services delivered, and the level of satisfaction improved from 73 in 2000, to 78 in 2001.

OPM continues to improve its telecommunication services. Toll-free telephone access is provided to all call centers, interactive features are available through the telephone system, and hours of operation have been extended until 8:00 P.M.E.S.T. In addition, to better serve customers, OPM has enhanced its ability to meet their needs by adding on-line features for our Spanish-speaking customers, and translating often-used benefits material into Spanish. The volume of telephone inquiries handled increased by 8 percent during FY 2001. Customer satisfaction levels regarding the courtesy, clarity, and timeliness of telephone services remain high at 90 percent.

The volume of new annuity claims remained steady. OPM has received and processed an average of 170,000 Civil Service and Federal Employees Retirement Systems annuity and survivor claims annually over the past 5 years. The average processing time for interim annuity payments in 2001 was reduced from 5 days to 3 days. More than 48% of interim payments were authorized within one day. The time needed to calculate and begin paying retirees their final annuity was

reduced by more than 30% from an average of 115 days in 2000 to 78 days in 2001. OPM increased its claims processing capacity and efficiency through the use of enhanced technical platforms. Customer satisfaction with the timeliness of the first annuity payment has remained at or near 80 percent since FY 1997 and is much improved over the 73 percent observed in FY 1995.

OPM also maintained its leadership in the direct deposit program, an efficient means of ensuring that customers receive their annuity payment each month. Customer satisfaction levels with receipt of annuity checks are at 97 percent, continuing another long-standing trend. During 1998, OPM implemented a direct mail campaign to inform annuitants and survivors of the convenience and desirability of direct deposit. As a result, participation rose from 79 percent at the start of 1998, to nearly 93 percent today. OPM will expand the direct deposit program to our overseas customers by participating in the International Direct Deposit Program beginning mid-FY 2002.

The FEHBP continues to be a hallmark for employer sponsored health insurance programs. To maintain this recognition, OPM will ensure that customers are enrolled in highly rated health plans that deliver quality care in a cost-effective manner. During FY 2003, OPM will continue to address patient safety, collaborating with others in the health care industry to develop the "Five Steps to Patient Safety." These "Steps" have been widely adopted both in and out of Government. Participating health plans have already adopted some patient safety initiatives and are working with participating providers to articulate and disseminate information about the safety initiatives that they have put in practice. Health plans have also updated provider directories, where appropriate, to inform their membership of important safety measures. These initiatives include such practices as the use of automated entry systems for prescription drugs, referring patients to recognized Centers of Excellence, and staffing appropriately for Intensive Care Units. Other consumer protections and quality initiatives now provided by FEHBP plans include, but are not limited to: transitional care for those with chronic or disabling conditions; the right to review medical records and to correct inaccurate ones; and direct access to women's health care providers.

OPM continues to build and maintain strong relationships with the National Committee for Quality Assurance (NCQA), the Joint Commission on Accreditation of Healthcare Organizations (JCAHO), the National Quality Forum, the Quality Interagency Coordination (QuIC) Taskforce, the Washington Business Group on Health, the Leapfrog Group, the Health Care Financing Administration and other components of the Department of Health and Human Services. These partnerships have been instrumental in promoting the use of health care quality outcome measures by the Federal government and health care purchasers and providers throughout the nation.

OPM is taking initial steps to standardize and centralize data on health plan performance in a data repository. This will enable staff to more easily analyze the information, begin benchmarking, and provide feedback to plans for quality improvement.

The Long Term Care Security Act, which was passed in FY 2000, authorizes OPM to offer long term care insurance to approximately 20 million people by October 2002. OPM plans to offer a flexible long term care product, including provisions for nursing home care, assisted living, home health care and adult day care. Insurance will be offered to civil service, postal and uniformed services personnel, government retirees and specified relatives, such as spouses, parents, in-laws and stepparents. OPM estimates that 300,000 to 600,000 individuals will enroll during the first sign-up period in FY 2003. Enrollees will pay the full cost of insurance premiums

at group rates that are expected to be lower than rates for comparable coverage sold privately on an individual basis. OPM will draft regulations for the new program and will oversee an education campaign to explain long-term care options to employees and retirees. OPM will assure that consumer materials and guidance are readily accessible to help individuals make informed choices about purchasing the long-term care product(s) that will be available to them.

In late FY 2000, legislation was enacted to provide relief to employees who were placed in the wrong retirement system. The Federal Erroneous Retirement Coverage Corrections Act (FERCCA) allows most of these employees a choice in retirement plans. OPM's implementation strategy is to provide a consistent high level of customer service to everyone who is eligible for relief so that affected individuals can make informed decisions. OPM developed a web page at www.opm.gov/benefits/correction with information about the Act. To date, about 6,300 affected individuals have been registered in our database. OPM began the review of records to determine eligibility in September 2001 and affected individuals will receive counseling beginning January 2002. The process of identifying affected individuals and providing counseling services is expected to be completed by the end of 2002.

Workforce compensation and performance.—This activity includes; (a) developing and implementing pay and leave administration policy and evaluating the effectiveness of alternative compensation systems; (b) developing classification policies and systems and designing flexible alternatives to current systems; and (c) developing Governmentwide policy concerning performance management.

Program performance.—The workforce compensation and performance program area uses a variety of measures to identify its level of success. Overall customer service is measured through OPM's Customer Satisfaction Survey, surveys of attendees at conferences, workshops, and/or seminars, and feedback from users of our website and email. The 2000 HR Directors' Customer Satisfaction Survey showed that the percentage of human resources directors who were satisfied with policy leadership in WCPS program areas were as follows; 87 percent in pay and leave administration, 78 percent in performance management, 68 percent in position classification and position management, and 62 percent in the Federal Wage System. In 2001 the organization staged the second Strategic Compensation Conference. On a five-point scale, the average overall rating of the Conference was 4.33, an increase from 4.14 for the 1999 Conference, and 4.23 for the 2000 Conference. The overall improvement in perception of outcomes in WCPS program areas is demonstrated by the increase in positive responses in a national survey of more than 20,000 Federal employees. Favorable responses increased in one year from 26 percent to 31 percent on the question "are you clear about how good performance is defined in your organization?" On the issue "recognition and rewards are based on merit," positive responses increased from 30 to 34 percent.

Investigations.—This activity focuses on assuring applicant and appointee fitness and suitability, and oversight of the investigative contract company.

Workforce relations.—This activity includes: (a) developing governmentwide policies, issuing guidance, and providing assistance to agencies on employee relations issues, including actions based on misconduct and unacceptable performance, as well as alternative dispute resolution; (b) Promoting and supporting Federal work/life and wellness programs; (c) providing leadership and policy guidance in support of agency human resource development programs and training initiatives; and (d) providing guidance, information, and assistance to agencies on collective bargaining and labor-management

General and special funds—Continued

SALARIES AND EXPENSES—Continued

(INCLUDING TRANSFER OF TRUST FUNDS)—Continued

relations to help them develop effective labor relations programs.

Program performance.—OPM’s workforce relations performance measures are designed to determine the value added by OPM’s policy leadership and guidance on employee and labor-management relations issues, work/life programs, and human resource development programs. The Office of Workforce Relations (OWR) uses a variety of measures to identify its level of success. For instance, surveys at regular intervals assess the extent to which our customers feel that their needs are met by OWR products and services such as printed and electronic materials, conferences, seminars, and workshops, as well as by legislative and regulatory proposals coming from OWR.

Executive resources.—This activity provides Government-wide program leadership, policy direction and technical assistance on all aspects of the Senior Executive Service personnel system and comparable executive systems.

Administrative services.—This activity includes: OPM personnel and equal employment opportunity, security, facilities, telecommunications, publishing, acquisitions, and information resources management to support all OPM programs.

Executive and other services.—This activity includes: executive direction, policy development, legal advice and representation, public affairs, legislative activities, financial management, and the operating expenses of the President’s Commission on White House Fellows.

Reimbursable programs.—OPM performs reimbursable work at the request of other agencies. OPM also provides administrative, information resources management, and executive services to other OPM accounts on a reimbursable basis.

Object Classification (in millions of dollars)

Identification code 24-0100-0-1-805	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	106	109	111
11.3 Other than full-time permanent	5	5	5
11.5 Other personnel compensation	4	4	4
11.9 Total personnel compensation	115	118	120
12.1 Civilian personnel benefits	35	37	38
21.0 Travel and transportation of persons	3	3	3
23.1 Rental payments to GSA	17	17	17
23.3 Communications, utilities, and miscellaneous charges	10	10	10
24.0 Printing and reproduction	2	2	2
25.2 Other services	47	85	86
26.0 Supplies and materials	3	3	3
31.0 Equipment	5	5	5
99.0 Direct obligations	237	280	284
99.0 Reimbursable obligations	25	25	25
99.9 Total new obligations	262	305	309

Personnel Summary

Identification code 24-0100-0-1-805	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	1,922	2,067	2,028
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	130	141	141

OFFICE OF INSPECTOR GENERAL

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF TRUST FUNDS)

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act, as amended, including services as authorized by 5 U.S.C. 3109, hire of passenger motor vehicles, **[\$1,498,000] \$1,498,000**; and in addition, not to exceed **[\$10,016,000] \$11,366,000** for administrative expenses to audit, investigate, and provide other oversight of the Office of Personnel Management’s retirement and insurance programs, to be transferred from the appropriate trust funds of the Office of Personnel Management, as determined by the Inspector General: *Provided*, That the Inspector General is authorized to rent conference rooms in the District of Columbia and elsewhere. (*Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 24-0400-0-1-805	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	12	12	12
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	12	12	12
23.95 Total new obligations	-12	-12	-12
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1	1	1
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	11	11	11
70.00 Total new budget authority (gross)	12	12	12
Change in obligated balances:			
72.40 Obligated balance, start of year	-2	-6	
73.10 Total new obligations	12	12	12
73.20 Total outlays (gross)	-12	-12	-12
74.10 Change in uncollected customer payments from Federal sources (expired)	-4	6	
74.40 Obligated balance, end of year	-6		
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	12	12	12
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-11	-11	-11
Net budget authority and outlays:			
89.00 Budget authority	1	1	1
90.00 Outlays	3	1	

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	1	1	1
90.00 Outlays	3	1	1

This appropriation provides agency-wide audit, investigative, evaluation, inspection, and administrative sanction functions to identify management and administrative deficiencies that may create conditions for fraud, waste, and mismanagement. The audits function provides internal agency audit, insurance audit, contract audit, and information systems audit services. Contract audits provide professional advice to agency contracting officials on accounting and financial matters regarding the negotiation, award, administration, repricing, and settlement of contracts. Internal agency audits review and evaluate all facets of agency operations, including financial statements. Insurance audits review the operations of health and life insurance carriers, health care providers, and insurance subscribers. Information systems audits review

both general controls and application controls for the agency's systems and programs. The investigative function provides for the detection and investigation of improper and illegal activities involving programs, personnel, and operations. Administrative sanctions debar from participation in the health insurance program those health care providers whose conduct may pose a threat to the financial integrity of the program itself or to the well-being of insurance program enrollees. These Inspector General activities resulted in positive financial impacts of approximately \$242 million, 22 criminal convictions, and 4,032 administrative sanctions in 2001.

Object Classification (in millions of dollars)

Identification code 24-0400-0-1-805	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	6	6	6
12.1 Civilian personnel benefits	3	3	3
23.1 Rental payments to GSA	1	1	1
25.2 Other services	1	1	1
99.0 Direct obligations	11	11	11
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	12	12	12

Personnel Summary

Identification code 24-0400-0-1-805	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	92	106	106
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment		1	1

GOVERNMENT PAYMENT FOR ANNUITANTS, EMPLOYEES HEALTH BENEFITS

For payment of Government contributions with respect to retired employees, as authorized by chapter 89 of title 5, United States Code, and the Retired Federal Employees Health Benefits Act (74 Stat. 849), as amended, such sums as may be necessary. (*Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 24-0206-0-1-551	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Government contribution for annuitants benefits (1959 Act)	5,528	6,127	11,026
00.02 Government contribution for annuitants benefits (1960 Act)	2	2	2
10.00 Total new obligations (object class 13.0)	5,530	6,129	11,028
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	5,530	6,129	11,028
23.95 Total new obligations	-5,530	-6,129	-11,028
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	5,530	6,129	11,028
Change in obligated balances:			
72.40 Obligated balance, start of year	437	551	596
73.10 Total new obligations	5,530	6,129	11,028
73.20 Total outlays (gross)	-5,417	-6,083	-11,624
74.40 Obligated balance, end of year	551	596	
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	4,980	5,533	10,418
86.98 Outlays from mandatory balances	437	551	1,206
87.00 Total outlays (gross)	5,417	6,083	11,624
Net budget authority and outlays:			
89.00 Budget authority	5,530	6,129	11,028

90.00 Outlays	5,417	6,083	11,624
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Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	5,530	6,129	6,613
90.00 Outlays	5,417	6,083	6,599

For 2001 and 2002, this appropriation covers: (1) the Government's share of the cost of health insurance for annuitants as defined in sections 8901 and 8906 of title 5, United States Code; (2) the Government's share of the cost of health insurance for annuitants (who were retired when the Federal employees health benefits law became effective), as defined in the Retired Federal Employees Health Benefits Act of 1960; and (3) the Government's contribution for payment of administrative expenses incurred by the Office of Personnel Management in administration of the Act.

The budget authority for this account recognizes the amounts being remitted by the U.S. Postal Service (USPS) to finance a portion of its post-1971 annuitants' health benefit costs.

For 2003, this appropriation covers the annual appropriations necessary to provide for the liquidation of the Government's unfunded liability as of September 30, 2001, for post-retirement health benefits, as part of the "Accrual Funding of Post-retirement Health Benefits Costs for Federal Employees" subtitle in the President's Managerial Flexibility Act.

The Act would require OPM to determine the current liability of the Government for post-retirement costs under FEHB, excluding the Postal Service liability for post-June 30, 1971, for the period prior to enactment. OPM would then establish an amortization schedule to liquidate the liability over 40 years beginning in fiscal year 2003 and continuing through 2042. In addition, the Act would require OPM to determine the supplemental unfunded liability of the Fund as of the close of each fiscal year for fiscal years beginning after September 30, 2001, and provide for liquidation of such liability over the succeeding 30 years. This would provide for liquidation of any unfunded liability that may accrue after enactment.

	2001 actual	2002 est.	2003 est.
Annuitants:			
FEHB	1,875,912	1,923,000	1,948,000
(USPS non-add)	432,561	418,000	416,000
REHB	3,598	2,980	2,480
Total, annuitants	1,879,510	1,925,980	1,950,480

GOVERNMENT PAYMENT FOR ANNUITANTS, EMPLOYEE LIFE INSURANCE

For payment of Government contributions with respect to employees retiring after December 31, 1989, as required by chapter 87 of title 5, United States Code, such sums as may be necessary. (*Independent Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 24-0500-0-1-602	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)	32	34	34
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	32	34	34
23.95 Total new obligations	-32	-34	-34

General and special funds—Continued

GOVERNMENT PAYMENT FOR ANNUITANTS, EMPLOYEE LIFE INSURANCE—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 24-0500-0-1-602	2001 actual	2002 est.	2003 est.
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	32	34	34
Change in obligated balances:			
72.40 Obligated balance, start of year	3	3	3
73.10 Total new obligations	32	34	34
73.20 Total outlays (gross)	-32	-34	-34
74.40 Obligated balance, end of year	3	3	3
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	29	31	31
86.98 Outlays from mandatory balances	3	3	3
87.00 Total outlays (gross)	32	34	34
Net budget authority and outlays:			
89.00 Budget authority	32	34	34
90.00 Outlays	31	34	34

This appropriation finances the Government's share of premiums, which is one-third the cost, for Basic life insurance for annuitants retiring after December 31, 1989, and who are less than 65 years old.

PAYMENT TO CIVIL SERVICE RETIREMENT AND DISABILITY FUND

For financing the unfunded liability of new and increased annuity benefits becoming effective on or after October 20, 1969, as authorized by 5 U.S.C. 8348, and annuities under special Acts to be credited to the Civil Service Retirement and Disability Fund, such sums as may be necessary: *Provided*, That annuities authorized by the Act of May 29, 1944, as amended, and the Act of August 19, 1950, as amended (33 U.S.C. 771-775), may hereafter be paid out of the Civil Service Retirement and Disability Fund. (*Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 24-0200-0-1-805	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Payment of Government share of retirement costs	9,236	9,455	16,340
00.03 Transfers for interest on unfunded liability and payment of military service annuities	12,338	12,619	12,995
00.05 Spouse equity payment	65	65	65
10.00 Total new obligations	21,639	22,139	29,400
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	21,639	22,139	29,400
23.95 Total new obligations	-21,639	-22,139	-29,400
New budget authority (gross), detail:			
Mandatory:			
Appropriation:			
60.00 Appropriation	12,338	12,619	19,990
60.00 Appropriation	9,301	9,520	9,410
62.50 Appropriation (total mandatory)	21,639	22,139	29,400
Change in obligated balances:			
73.10 Total new obligations	21,639	22,139	29,400
73.20 Total outlays (gross)	-21,639	-22,139	-29,400
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	21,639	22,139	29,400
Net budget authority and outlays:			
89.00 Budget authority	21,639	22,139	29,400
90.00 Outlays	21,639	22,139	29,400

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	21,639	22,139	22,405
90.00 Outlays	21,639	22,139	22,405

Payment of Government share of retirement costs.—In 2001 and 2002, this payment amortizes increases in the static unfunded liability created since October 20, 1969 by any statute which authorizes new or liberalized benefits, an extension of retirement coverage, or pay increases. Beginning in 2003, this payment would liquidate the current unfunded liability by 2042, as provided by the “Accrual Funding of Pensions and Retirement Pay” subtitle of the President’s Managerial Flexibility Act.

The Act would require OPM to determine, as of September 30, 2001, the unfunded liability that is attributable to benefits payable under chapter 83 of Title 5 U.S.C., and to determine an appropriate amortization schedule, providing for the liquidation of that liability by October 1, 2041.

Transfers for interest on unfunded liability and payment of military service annuities.—In 2001 and 2002, this transfer covers interest on the static unfunded liability and annuity disbursements attributable to military service. In 2003, these transfers would be eliminated and replaced by the annual payment to liquidate the unfunded liability as discussed above.

Payments for spouse equity.—This payment provides survivor annuities to eligible former spouses of annuitants who died between September 1978 and May 1986 and who did not elect survivor coverage.

Object Classification (in millions of dollars)

Identification code 24-0200-0-1-805	2001 actual	2002 est.	2003 est.
12.1 Civilian personnel benefits	9,301	9,520	16,405
13.0 Benefits for former personnel	12,338	12,619	12,995
99.9 Total new obligations	21,639	22,139	29,400

EMPLOYEES HEALTH BENEFITS FUND

Unavailable Collections (in millions of dollars)

Identification code 24-5002-0-2-551	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.00 DC Government contributions			88
02.20 Employee contributions			3,786
02.21 Annuitant contributions			3,459
02.22 Postal Service annuitant contributions			1,019
02.40 General fund payment			11,622
02.41 Agency contributions			12,387
02.42 Postal Service agency contributions			4,017
02.43 Interest			772
02.99 Total receipts and collections			37,150
Appropriations:			
05.00 Employees health benefits fund			-25,454
05.99 Total appropriations			-25,454
07.99 Balance, end of year			11,696

Program and Financing (in millions of dollars)

Identification code 24-5002-0-2-551	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Benefit payments			25,181
00.02 Payments from OPM contingency reserve			250
00.03 Administration			24

10.00	Total new obligations	25,454
Budgetary resources available for obligation:		
21.40	Unobligated balance carried forward, start of year	4,936
22.00	New budget authority (gross)	25,454
23.90	Total budgetary resources available for obligation	30,390
23.95	Total new obligations	- 25,454
24.40	Unobligated balance carried forward, end of year	4,936
New budget authority (gross), detail:		
Discretionary:		
40.20	Appropriation (special fund)	24
Mandatory:		
60.20	Appropriation (special fund)	37,126
60.45	Portion precluded from obligation	- 11,696
62.50	Appropriation (total mandatory)	25,430
70.00	Total new budget authority (gross)	25,454
Change in obligated balances:		
72.40	Obligated balance, start of year	2,339
73.10	Total new obligations	25,454
73.20	Total outlays (gross)	- 25,351
74.40	Obligated balance, end of year	2,442
Outlays (gross), detail:		
86.90	Outlays from new discretionary authority	24
86.97	Outlays from new mandatory authority	21,984
86.98	Outlays from mandatory balances	3,343
87.00	Total outlays (gross)	25,351
Net budget authority and outlays:		
89.00	Budget authority	25,454
90.00	Outlays	25,351
Memorandum (non-add) entries:		
92.01	Total investments, start of year: Federal securities:	
	Par value	7,293
92.02	Total investments, end of year: Federal securities:	
	Par value	19,091

This presentation displays the new Employees Health Benefits Fund. In addition to the existing components of the current Employees Health Benefits Fund, this new Special Fund incorporates the proposed requirement for each agency to fund the accruing actuarial cost of Government contributions for post-retirement health benefits for its current participating employees. This new presentation is consistent with the "Accrual Funding of Post-retirement Health Benefits Costs for Federal Employees" subtitle in the President's Managerial Flexibility Act.

The additional agency contributions to pre-fund the cost of post-retirement health benefits for current employees would come from the appropriations or fund used to pay the participant's salary. For 2003, these additional agency contributions would be approximately \$5.6 billion. The lines in these schedules labeled "agency contributions" reflect both this additional contribution and the standard agency contribution toward the payment of annual premiums. The practice of using cash accounting to fund the Postal Service liability attributable to civilian service performed after June 30, 1971, under title 5 U.S.C. 8906(g)(2) would remain unchanged under this proposal.

For additional information on the Employees Health Benefits Fund, please see the presentation of the Employees and Retired Employees Health Benefits Funds located at the end of this OPM chapter.

Status of Funds (in millions of dollars)

Identification code 24-5002-0-2-551	2001 actual	2002 est.	2003 est.
Unexpended balance, start of year:			
0100	Uninvested balance		5
U.S. Securities:			
0101	Par value		7,293
0102	Unrealized discounts		- 23

0199	Total balance, start of year	7,275
Cash income during the year:		
Current law:		
Receipts:		
1200	DC Government contributions, Employees health benefits fund	88
Offsetting receipts (proprietary):		
1220	Employee contributions, Employees health benefits fund	3,786
1221	Annuitant contributions, Employees health benefits fund	3,459
1222	Postal Service agency contributions for annuitants, Employees health benefits fund	1,019
Offsetting receipts (intragovernmental):		
1240	General fund payment, Employees health benefits fund	11,622
1241	Agency contributions, Employees health benefits fund	12,387
1242	Postal Service agency contributions for employees, Employees health benefits fund	4,017
1243	Interest, Employees health benefits fund	772
1299	Income under present law	37,150
Cash outgo during year:		
Current law:		
4500	Employees health benefits fund	- 25,351
Unexpended balance, end of year:		
8700	Uninvested balance	5
Federal securities:		
8701	Par value	19,091
8702	Unrealized discounts	- 23
8799	Total balance, end of year	19,074

Intragovernmental funds:

REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 24-4571-0-4-805	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
09.01	DOD testing	7	8	8
09.02	Employment service	35	40	41
09.03	Investigations	229	262	234
09.04	Workforce relations	35	39	39
09.05	Executive resources	30	32	34
10.00	Total new obligations	336	381	356
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	48	33	33
22.00	New budget authority (gross)	320	381	356
23.90	Total budgetary resources available for obligation	368	414	389
23.95	Total new obligations	- 336	- 381	- 356
24.40	Unobligated balance carried forward, end of year	33	33	33
New budget authority (gross), detail:				
Spending authority from offsetting collections:				
Discretionary:				
68.00	Offsetting collections (cash)	353	381	356
68.10	Change in uncollected customer payments from Federal sources (unexpired)	- 33		
68.90	Spending authority from offsetting collections (total discretionary)	320	381	356
Change in obligated balances:				
72.40	Obligated balance, start of year	13	1	1
73.10	Total new obligations	336	381	356
73.20	Total outlays (gross)	- 380	- 381	- 356
74.00	Change in uncollected customer payments from Federal sources (unexpired)	33		
74.40	Obligated balance, end of year	1	1	1
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	320	381	356
86.93	Outlays from discretionary balances	60		
87.00	Total outlays (gross)	380	381	356
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	- 353	- 381	- 356

Intragovernmental funds—Continued

REVOLVING FUND—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 24-4571-0-4-805	2001 actual	2002 est.	2003 est.
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	33		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	27		

DOD testing.—OPM conducts military entrance exams for the Department of Defense (DOD) as a cost-effective and reliable provider. The Employment Service continued to provide testing for the Department of Defense in FY 2001, conducting approximately 14,509 student test sessions and 24,112 enlistment sessions.

Employment service.—OPM delivers employment information, examining services, automated staffing, and related human resource management services to Federal agencies nationwide. In 2001, we maintained contracts for a wide array of human resource products and services with the Executive, Legislative, and Judicial branches.

Investigations.—Through a contract with a private company, OPM conducts National Agency Check and Inquiry cases and background security investigations for Federal agencies on a reimbursable basis. To the extent that OPM is required to pay a fee to the Federal Bureau of Investigation for name and fingerprint checks, agencies are required to reimburse OPM for such fees through the revolving fund.

Workforce relations.—Through the Training and Management Assistance (TMA) program, OPM provides assistance to government agencies in managing the development of training and human resources management solutions that meet their specific short-term and long-range objectives. This is accomplished through an expedited contracting process, which is managed by an experienced team of HR and contracting professionals.

Program performance.—The TMA performance indicators are designed to measure the financial stability of the program and customer satisfaction. The financial indicator measures if program costs are met. The customer satisfaction indicator assures that TMA services are meeting the needs and objectives of client agencies.

Executive resources.—OPM conducts residential and non-residential programs for Federal executives and managers to improve the effectiveness and efficiency of Federal programs.

WORKLOAD COUNT

	2001 actual	2002 est.	2003 est.
Participant training days	95,377	100,568	103,980
Background security investigations processed	74,096	86,000	74,250
National and special agency check and inquiry cases	745,944	1,500,000	1,115,000

Object Classification (in millions of dollars)

Identification code 24-4571-0-4-805	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	21	22	23
11.3 Other than full-time permanent	5	5	5
11.5 Other personnel compensation	2	2	2
11.9 Total personnel compensation	28	29	30
12.1 Civilian personnel benefits	10	11	13
21.0 Travel and transportation of persons	2	3	3
23.1 Rental payments to GSA	7	7	7
23.3 Communications, utilities, and miscellaneous charges	5	5	5
24.0 Printing and reproduction	1	1	1
25.2 Other services	272	308	275
26.0 Supplies and materials	4	7	10
31.0 Equipment	7	10	12

99.9 Total new obligations	336	381	356
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Personnel Summary

Identification code 24-4571-0-4-805	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	652	669	669

Trust Funds

CIVIL SERVICE RETIREMENT AND DISABILITY FUND

Unavailable Collections (in millions of dollars)

Identification code 24-8135-0-7-602	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	508,104	538,520	568,608
Receipts:			
02.00 Employee contributions	4,157	4,041	3,998
02.01 District of Columbia contributions	66	62	50
02.02 Employee deposits, redeposits and other contributions	436	456	476
02.40 Agency contributions	10,072	10,612	14,233
02.41 Postal Service agency contributions	2,845	2,938	3,031
02.42 Postal Service supplemental contributions	3,755	3,842	3,901
02.43 Federal Financing Bank interest	1,342	1,338	1,338
02.44 Treasury interest	33,611	34,712	37,041
02.45 General fund payment to the Civil Service Retirement and Disability fund	21,639	22,139	29,400
02.46 Re-employed annuitants salary offset	26	27	27
02.99 Total receipts and collections	77,949	80,167	93,495
04.00 Total: Balances and collections	586,053	618,687	662,103
Appropriations:			
Appropriations:			
05.00 Civil service retirement and disability fund	-47,533	-50,079	-52,849
05.00 Proposed legislation, subject to PAYGO			-3
05.99 Total appropriations	-47,533	-50,079	-52,852
07.99 Balance, end of year	538,520	568,608	609,251

Program and Financing (in millions of dollars)

Identification code 24-8135-0-7-602	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Annuities	47,101	49,637	52,406
00.02 Refunds and death claims	322	311	309
00.03 OPM Administration	108	128	131
00.04 Transfer to MSPB	2	3	3
10.00 Total new obligations	47,533	50,079	52,849
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	47,533	50,079	52,849
23.95 Total new obligations	-47,533	-50,079	-52,849

New budget authority (gross), detail:

Discretionary:			
40.26 Appropriation (trust fund)	86	103	108
Mandatory:			
60.26 Appropriation (trust fund)	77,863	80,064	82,211
60.45 Portion precluded from obligation	-30,416	-30,088	-29,470
62.50 Appropriation (total mandatory)	47,447	49,976	52,741
70.00 Total new budget authority (gross)	47,533	50,079	52,849

Change in obligated balances:

72.40 Obligated balance, start of year	3,943	4,119	4,356
73.10 Total new obligations	47,533	50,079	52,849
73.20 Total outlays (gross)	-47,356	-49,840	-52,619
74.40 Obligated balance, end of year	4,119	4,356	4,586

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	86	103	108
86.97 Outlays from new mandatory authority	43,328	45,383	47,926
86.98 Outlays from mandatory balances	3,942	4,356	4,586
87.00 Total outlays (gross)	47,356	49,840	52,619

Net budget authority and outlays:				
89.00	Budget authority	47,533	50,079	52,849
90.00	Outlays	47,356	49,840	52,619
Memorandum (non-add) entries:				
92.01	Total investments, start of year: Federal securities:			
	Par value	512,038	542,611	572,962
92.02	Total investments, end of year: Federal securities:			
	Par value	542,611	572,962	613,833

Summary of Budget Authority and Outlays

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Enacted/requested:			
Budget Authority	47,533	50,079	52,849
Outlays	47,356	49,842	52,620
Legislative proposal, subject to PAYGO:			
Budget Authority			3
Outlays			3
Total:			
Budget Authority	47,533	50,079	52,852
Outlays	47,356	49,842	52,623

This fund: (1) pays annuities to retired employees or their survivors; (2) makes refunds to separated employees for amounts withheld and to beneficiaries of employees who died before retirement or before annuities equaled the amount withheld; and (3) pays expenses of the Office of Personnel Management and the Merit Systems Protection Board for administering the program. The fund covers two Federal civilian retirement systems: the Civil Service Retirement System (CSRS) and the Federal Employees' Retirement System (FERS).

CSRS is basically a defined benefit plan, covering Federal employees hired prior to 1984. CSRS participants do not participate in the Social Security system. FERS is a three-tiered pension program that uses Social Security as a base, provides an additional basic benefit, and includes a thrift savings plan. FERS covers employees hired after 1983 and formerly CSRS-covered employees who elected to join FERS.

For CSRS employees in 2003, as provided by the "Accrual Funding of Pensions and Retirement Pay" subtitle of the President's Managerial Flexibility Act, agencies would contribute the full accruing cost of pension benefits.

	2001 actual	2002 est.	2003 est.
Active employees	2,655,450	2,654,477	2,653,597
Annuitants:			
Employees	1,745,637	1,770,761	1,807,542
Survivors	634,116	640,420	647,180
Total, annuitants	2,379,753	2,411,181	2,454,722

Status of Funds (in millions of dollars)

Identification code 24-8135-0-7-602	2001 actual	2002 est.	2003 est.
Unexpended balance, start of year:			
0100 Treasury balance	11	31	8
U.S. Securities:			
0101 Par value	512,041	542,611	572,962
0102 Unrealized discounts	-3	-3	-4
0199 Total balance, start of year	512,047	542,639	572,964
Cash income during the year:			
Current law:			
Receipts:			
1200 Employee contributions, Civil Service Retirement and Disability Fund	4,157	4,041	3,998
1201 District of Columbia contributions	66	62	50
1202 Employee deposits, redeposits, and voluntary contributions	436	456	476
Offsetting receipts (intragovernmental):			
1240 Agency contributions, Civil Service Retirement and Disability Fund	10,072	10,612	14,233
1241 Postal Service agency contributions, Civil Service Retirement and Disability Fund	2,845	2,938	3,031
1242 Postal Service supplemental contributions, Civil Service Retirement and Disability Fund	3,755	3,842	3,901
1243 Federal Financing Bank interest, Civil Service Retirement and Disability Fund	1,342	1,338	1,338

1244	Treasury interest, Civil Service Retirement and Disability Fund	33,611	34,712	37,041
1245	General fund payment to the Civil Service Retirement and Disability Fund	21,639	22,139	29,400
1246	Re-employed annuitant salary offset, Civil Service Retirement and Disability Fund	26	27	27
1299	Income under present law	77,949	80,167	93,495
Cash outgo during year:				
Current law:				
Cash outgo during the year (-):				
4500	Payment of claims to retired employees	-39,397	-41,538	-43,963
4500	Payment of alternative annuity refunds	-6	-4	-4
4500	Payment of claims to survivor annuitants	-7,533	-7,859	-8,209
4500	Lump sum payments to estates or beneficiaries of deceased annuitants and employees	-145	-154	-164
4500	Refunds to living separated employees	-170	-156	-145
4500	Administration	-107	-131	-134
4599	Outgo under current law (-)	-47,356	-49,840	-52,619
Proposed legislation:				
5500	Proposed legislation, subject to PAYGO			-3
6599	Total cash outgo (-)	-47,356	-49,840	-52,622
Unexpended balance, end of year:				
8700	Uninvested balance	31	8	8
Federal securities:				
8701	Par value	542,611	572,962	613,833
8702	Unrealized discounts	-3	-4	-4
8799	Total balance, end of year	542,639	572,964	613,837

Object Classification (in millions of dollars)

Identification code 24-8135-0-7-602	2001 actual	2002 est.	2003 est.	
25.2	Other services	110	131	134
42.0	Insurance claims and indemnities	47,101	49,637	52,406
44.0	Refunds and death claims	322	311	309
99.9	Total new obligations	47,533	50,079	52,849

CIVIL SERVICE RETIREMENT AND DISABILITY FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 24-8135-4-7-602	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01	Annuities		3
10.00	Total new obligations (object class 42.0)		3
Budgetary resources available for obligation:			
22.00	New budget authority (gross)		3
23.95	Total new obligations		-3
New budget authority (gross), detail:			
Mandatory:			
60.26	Appropriation (trust fund)		3
Change in obligated balances:			
73.10	Total new obligations		3
73.20	Total outlays (gross)		-3
Outlays (gross), detail:			
86.97	Outlays from new mandatory authority		3
Net budget authority and outlays:			
89.00	Budget authority		3
90.00	Outlays		3

The Administration has proposed legislation to simplify the computation of annuities under the Civil Service Retirement System for individuals with part-time service. The change would eliminate an unintended adverse effect on employees who perform part-time service at the end of their careers, and provide agencies increased flexibility to recruit and retain personnel.

EMPLOYEES LIFE INSURANCE FUND

Unavailable Collections (in millions of dollars)

Identification code 24-8424-0-8-602	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.80 Employees life insurance fund, offsetting collections	3,384	3,407	3,486
Appropriations:			
05.00 Employees life insurance fund	-3,384	-3,407	-3,486
05.99 Total appropriations	-3,384	-3,407	-3,486
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 24-8424-0-8-602	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Regular program premiums	1,276	1,401	1,426
09.02 Optional program premiums	837	939	1,028
09.03 Beneficial program premiums	1	2	2
09.04 Administration	2	2	2
09.05 Long Term Care Administration	2	20	1
10.00 Total new obligations (object class 25.2)	2,118	2,364	2,459

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	21,852	23,133	24,210
22.00 New budget authority (gross)	3,400	3,441	3,483
23.90 Total budgetary resources available for obligation	25,252	26,574	27,693
23.95 Total new obligations	-2,118	-2,364	-2,459
24.40 Unobligated balance carried forward, end of year	23,133	24,210	25,234

New budget authority (gross), detail:

Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	2	2	2
Mandatory:			
69.00 Offsetting collections (cash)	3,382	3,405	3,484
69.10 Change in uncollected customer payments from Federal sources (unexpired)	16	34	-3
69.90 Spending authority from offsetting collections (total mandatory)	3,398	3,439	3,481
70.00 Total new budget authority (gross)	3,400	3,441	3,483

Change in obligated balances:

72.40 Obligated balance, start of year	111	132	196
73.10 Total new obligations	2,118	2,364	2,459
73.20 Total outlays (gross)	-2,081	-2,266	-2,433
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-16	-34	3
74.40 Obligated balance, end of year	132	196	225

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	2	2	2
86.97 Outlays from new mandatory authority	2,079	2,264	2,431
87.00 Total outlays (gross)	2,081	2,266	2,433

Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Agency contributions	-409	-443	-459
88.20 Interest on Federal securities	-1,426	-1,430	-1,410
Non-Federal sources:			
88.40 Regular program	-638	-673	-698
88.40 Optional program	-911	-861	-919
88.90 Total, offsetting collections (cash)	-3,384	-3,407	-3,486
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-16	-34	3

Net budget authority and outlays:

89.00 Budget authority			
90.00 Outlays	-1,303	-1,141	-1,053

Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities:			
Par value	22,372	23,690	26,236

92.02 Total investments, end of year: Federal securities:			
Par value	23,690	26,236	27,292

This fund finances payments to private insurance companies for Federal employees' group life insurance and expenses of the Office of Personnel Management in administering the program.

Budget program.—The status of the basic (regular and optional) life insurance program on September 30 is as follows:

Life insurance in force (in billions of dollars):	2001 actual	2002 est.	2003 est.
On active employees	528	538	548
On retired employees	53	55	57
Total	581	593	605

Number of participants (in thousands):

Active employees	2,305	2,282	2,262
Annuitants	1,600	1,605	1,610
Total	3,905	3,887	3,872

Financing.—Non-Postal Service employees and all retirees under 65 pay two-thirds of the premium costs for Basic coverage; agencies pay the remaining third. Optional and certain post-retirement Basic coverages are paid entirely by enrollees. The status of the reserves at the end of the year is as follows:

Status of Reserves	2001 actual	2002 est.	2003 est.
Held in reserve (in millions of dollars):			
Contingency reserve	65	65	65
Beneficial association program reserve	1	1	1
U.S. Treasury reserve	23,690	26,236	27,292
Total reserves	23,756	26,302	27,358

EMPLOYEES AND RETIRED EMPLOYEES HEALTH BENEFITS FUNDS

Unavailable Collections (in millions of dollars)

Identification code 24-9981-0-8-551	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.80 Employees and retired employees health benefits fund, offsetting collections	21,454	23,900	2
Appropriations:			
05.00 Employees and retired employees health benefits fund	-21,454	-23,900	-2
05.99 Total appropriations	-21,454	-23,900	-2
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 24-9981-0-8-551	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Benefit payments	20,999	23,250	
09.02 Payments from OPM contingency reserve	118	240	
09.03 Government payment for annuitants (1960 Act)	2	2	2
09.04 Administration	23	24	
10.00 Total new obligations (object class 25.6)	21,143	23,516	2

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	4,086	4,445	
22.00 New budget authority (gross)	21,502	24,007	2
23.90 Total budgetary resources available for obligation	25,588	28,452	2
23.95 Total new obligations	-21,143	-23,516	-2
24.40 Unobligated balance carried forward, end of year	4,445	4,936	

New budget authority (gross), detail:

Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	23	24	
Mandatory:			
69.00 Offsetting collections (cash)	21,431	23,876	2
69.10 Change in uncollected customer payments from Federal sources (unexpired)	48	107	

69.90	Spending authority from offsetting collections (total mandatory)	21,479	23,983	2
70.00	Total new budget authority (gross)	21,502	24,007	2
Change in obligated balances:				
72.40	Obligated balance, start of year	1,893	2,209	
73.10	Total new obligations	21,143	23,516	2
73.20	Total outlays (gross)	-20,779	-23,279	-2
74.00	Change in uncollected customer payments from Federal sources (unexpired)	-48	-107	
74.40	Obligated balance, end of year	2,209	2,339	
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	23	24	
86.97	Outlays from new mandatory authority	19,907	22,358	2
86.98	Outlays from mandatory balances	849	897	
87.00	Total outlays (gross)	20,779	23,279	2
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
Federal sources:				
88.00	Agency contributions	-8,886	-10,051	
88.00	Government contributions for annuitants	-6,346	-7,027	-2
88.20	Interest on Federal securities	-367	-319	
Non-Federal sources:				
88.40	Employee salary withholdings	-3,002	-3,364	
88.40	Annuity withholdings	-2,786	-3,057	
88.40	Contributions from D.C. Government	-67	-82	
88.90	Total, offsetting collections (cash)	-21,454	-23,900	-2
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	-48	-107	
Net budget authority and outlays:				
89.00	Budget authority			
90.00	Outlays	-676	-621	
Memorandum (non-add) entries:				
92.01	Total investments, start of year: Federal securities:			
	Par value	5,990	6,652	
92.02	Total investments, end of year: Federal securities:			
	Par value	6,652	7,293	

4500	Payments to Carriers from OPM Contingency Reserves (-)	-118	-240	
4500	Administration (-)	-23	-24	
4599	Outgo under current law (-)	-20,779	-23,279	-2
Unexpended balance, end of year:				
8700	Uninvested balance	16	5	
Federal securities:				
8701	Par value	6,651	7,293	
8702	Unrealized discounts	-14	-23	
8799	Total balance, end of year	6,654	7,275	

For 2001 and 2002, this display combines the Federal Employees Health Benefits (FEHB) fund and the Retired Employees Health Benefits (REHB) fund.

For 2003, this display presents only the REHB fund. The FEHB fund estimates have moved to Employees Health Benefits Fund presentation located at an earlier point in this OPM chapter.

The FEHB fund provides for the cost of health benefits for: (1) active employees; (2) employees who retired after June 1960, or their survivors; (3) those annuitants transferred from the REHB program as authorized by Public Law 93-246; and (4) the related expenses of the Office of Personnel Management (OPM) in administering the program.

The REHB fund, created by the Retired Federal Employees Health Benefits Act of 1960, provides for: (1) the cost of health benefits for retired employees and survivors who enroll in a Government-sponsored uniform health benefits plan; (2) the contribution to retired employees and survivors who retain or purchase private health insurance; and (3) expenses of OPM in administering the program.

Budget program.—The balance of the FEHB fund is available for payments without fiscal year limitation. Numbers of participants at the end of each fiscal year are as follows:

	2001 actual	2002 est.	2003 est.
Active employees	2,198,895	2,198,000	2,197,000
Annuitants	1,875,912	1,923,000	1,948,000
Total	4,074,807	4,121,000	4,145,000

In determining a biweekly subscription rate to cover program costs, one percent is added for administrative expenses and three percent is added for a contingency reserve held by OPM for each carrier. OPM is authorized to transfer unused administrative reserve funds to the contingency reserve.

The REHB fund is available without fiscal year limitation. The amounts contributed by the Government are paid into the fund from annual appropriations. The number of participants at the end of each fiscal year are as follows:

	2001 actual	2002 est.	2003 est.
Uniform plan	911	750	630
Private plans	2,687	2,230	1,850
Total	3,598	2,980	2,480

Financing.—The funds are financed by: (1) withholdings from active employees and annuitants; (2) agency contributions for active employees; (3) Government contributions for annuitants appropriated to OPM; and (4) contributions made by the United States Postal Service in accordance with the provisions of Public Law 101-508 and Public Law 103-66.

Operating results.—Funds made available to carriers but not used to pay claims in the current period are carried forward as special reserves for use in subsequent periods.

OPM maintains a contingency reserve, funded by employee and Government contributions, that may be used to defray future cost increases or provide increased benefits. OPM makes payments to carriers from this reserve whenever carrier-held reserves fall below levels prescribed by OPM regulations or when carriers can demonstrate good cause such as unexpected claims experience or variations from expected community rates.

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)				
	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
90.00	Outlays	-676	-621	-775
Status of Funds (in millions of dollars)				
Identification code 24-9981-0-8-551				
Unexpended balance, start of year:				
0100	Treasury balance	12	16	
U.S. Securities:				
0101	Par value	5,989	6,652	
0102	Unrealized discounts	-24	-14	
0199	Total balance, start of year	5,979	6,654	
Cash income during the year:				
Current law:				
Offsetting collections:				
Offsetting governmental receipts:				
1280	Contributions from Employing Agencies	5,556	6,302	
1280	Contributions from Postal Service for Active Employees	3,331	3,750	
1280	Contributions from Postal Service for Annuitants	929	943	
1280	Government Payment for Annuitant Health Benefits	5,417	6,083	2
1280	Interest Earned	367	319	
1280	Contributions from DC Government	67	82	
1280	Contributions from Active Employees	3,002	3,364	
1280	Contributions from Annuitants	2,786	3,057	
1299	Income under present law	21,454	23,900	2
Cash outgo during year:				
Current law:				
Cash outgo during the year (-):				
4500	Benefit Payments (-)	-20,638	-23,015	-2

SMALL BUSINESS ADMINISTRATION

For 2003, the Small Business Administration (SBA) budget requests \$798 million in new budget authority. SBA's continuing operations will provide over \$16 billion in new loans to small businesses, funding for non-credit programs, and funding for the disaster loan program. SBA has revised its 7(a) loan subsidy model to more accurately reflect the costs of the program.

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses, not otherwise provided for, of the Small Business Administration as authorized by Public Law 105-135, including hire of passenger motor vehicles as authorized by 31 U.S.C. 1343 and 1344, and not to exceed \$3,500 for official reception and representation expenses, **[\$308,476,000] \$362,106,000: Provided,** That the Administrator is authorized to charge fees to cover the cost of publications developed by the Small Business Administration, and certain loan servicing activities: *Provided further,* That, notwithstanding 31 U.S.C. 3302, revenues received from all such activities shall be credited to this account, to be available for carrying out these purposes without further appropriations: *Provided further,* That \$88,000,000 shall be available to fund grants for performance in fiscal year **[2002] 2003** or fiscal year **[2003] 2004** as authorized by section 21 of the Small Business Act, as amended. *In addition to amounts otherwise available from collections, 5 percent of such collections, not to exceed \$3,000,000, for qualified expenses of delinquent non-tax debt collection. (Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)*

Program and Financing (in millions of dollars)

Identification code 73-0100-0-1-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Government contracting and minority enterprise development	40	30	32
00.02 Disaster assistance	117	155	108
00.04 Management and administration	134	116	115
00.05 Executive direction	4	3	4
00.06 General counsel	8	8	8
00.07 Congressional and legislative affairs	1	1	1
00.08 Hearings and appeals	1	1	1
00.09 Communications and publications	2	2	3
00.11 Advocacy	5	6	7
00.12 Field operations	1	1	1
00.13 Equal employment opportunity and civil rights compliance	2	2	2
00.14 Regional and district offices	136	141	150
00.15 Chief financial officer	8	8	9
00.16 Capital Access	61	81	49
00.17 Entrepreneurial development	116	117	115
00.18 Small disadvantaged businesses	2	2	2
00.19 Veteran's Business Development	5	1	1
00.20 Administrative expenses	10	10	9
10.00 Total new obligations	653	685	617
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	5	41	1
22.00 New budget authority (gross)	692	645	617
22.21 Unobligated balance transferred to other accounts	-1
23.90 Total budgetary resources available for obligation	696	686	618
23.95 Total new obligations	-653	-685	-617
23.98 Unobligated balance expiring or withdrawn	-2
24.40 Unobligated balance carried forward, end of year	41	1	1

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation (P.L. 106-553 & P.L. 107-20)	451	348	362
40.35 Appropriation rescinded (P.L. 107-20)	-30

40.77 Reduction pursuant to P.L. 106-553 (0.22 percent)	-1
42.00 Transferred from other accounts	5
43.00 Appropriation (total discretionary)	425	348	362
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	267	297	255
70.00 Total new budget authority (gross)	692	645	617
Change in obligated balances:			
72.40 Obligated balance, start of year	250	311	376
73.10 Total new obligations	653	685	617
73.20 Total outlays (gross)	-587	-620	-574
73.40 Adjustments in expired accounts (net)	-5
74.40 Obligated balance, end of year	311	376	419

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	458	493	458
86.93 Outlays from discretionary balances	129	127	116
87.00 Total outlays (gross)	587	620	574

Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 Payments from business loan program account	-129	-129	-129
88.00 Payments from disaster loan program account	-128	-164	-121
88.00 Federal sources	-6	-1	-2
88.40 Non-Federal sources	-5	-3	-3
88.90 Total, offsetting collections (cash)	-268	-297	-255
Against gross budget authority only:			
88.96 Portion of offsetting collections (cash) credited to expired accounts	1

Net budget authority and outlays:

89.00 Budget authority	425	348	362
90.00 Outlays	319	323	319

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	415	338	353
90.00 Outlays	309	313	310

The appropriation for this account is necessary to cover the administrative expenses for headquarters and non-credit programs.

Object Classification (in millions of dollars)

Identification code 73-0100-0-1-376	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	174	181	190
11.3 Other than full-time permanent	8	7	8
11.5 Other personnel compensation	4	3	3
11.9 Total personnel compensation	186	191	201
12.1 Civilian personnel benefits	52	56	59
21.0 Travel and transportation of persons	9	4	5
22.0 Transportation of things	3
23.1 Rental payments to GSA	29	31	32
23.3 Communications, utilities, and miscellaneous charges	6	5	4
24.0 Printing and reproduction	1	1	1
25.2 Other services	51	36	66
26.0 Supplies and materials	1
31.0 Equipment	6	2	3
41.0 Grants, subsidies, and contributions	193	202	133
Undistributed:			
92.0 Undistributed (disaster loan making)	88	125	78
92.0 Undistributed (disaster loan servicing)	29	30	30

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Object Classification (in millions of dollars)—Continued

Identification code 73-0100-0-1-376	2001 actual	2002 est.	2003 est.
92.0 Undistributed (SDB)	2	2	2
99.9 Total new obligations	653	685	617

Personnel Summary

Identification code 73-0100-0-1-376	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	3,948	4,451	4,352

Note.—The personnel summary includes regular (non-disaster) full-time equivalents (FTEs) of 2860, 2784, and 2640 in 2001, 2002, and 2003 respectively.

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended (5 U.S.C. App.), **[\$11,464,000] \$15,011,000.** (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 73-0200-0-1-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 General Office	1	1	1
00.02 Audit	5	5	6
00.03 Investigations	5	5	7
00.04 Management / Legal Counsel / Inspection and Evaluation	1	1	1
00.05 Administrative expenses	1	1	1
10.00 Total new obligations	13	13	16

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	1		
22.00 New budget authority (gross)	14	13	16
23.90 Total budgetary resources available for obligation	15	13	16
23.95 Total new obligations	-13	-13	-16

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	13	12	15
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1	1	1
70.00 Total new budget authority (gross)	14	13	16

Change in obligated balances:

72.40 Obligated balance, start of year	2	2	
73.10 Total new obligations	13	13	16
73.20 Total outlays (gross)	-13	-13	-16
74.40 Obligated balance, end of year	2		

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	12	12	15
86.93 Outlays from discretionary balances		1	1
87.00 Total outlays (gross)	13	13	16

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Payments from disaster loan program account	-1	-1	-1

Net budget authority and outlays:

89.00 Budget authority	13	12	15
90.00 Outlays	12	12	15

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	12	11	14
90.00 Outlays	12	11	14

The budget proposes \$15 million in new budget authority and \$500 thousand transferred from the Disaster Loans Program account for a total of \$15.5 million for the Office of Inspector General (OIG) for 2003. This appropriation provides funds for agency-wide audit, investigative, and inspection/evaluation functions to promote economy and efficiency in agency operations and to prevent and detect fraud, waste, and abuse.

Object Classification (in millions of dollars)

Identification code 73-0200-0-1-376	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	7	8	9
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	8	9	10
12.1 Civilian personnel benefits	3	3	3
25.1 Advisory and assistance services	2	1	3
99.9 Total new obligations	13	13	16

Personnel Summary

Identification code 73-0200-0-1-376	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	108	120	130

Public enterprise funds:

SURETY BOND GUARANTEES REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 73-4156-0-3-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Reimbursable obligations	13	8	8
10.00 Total new obligations (object class 42.0)	13	8	8

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	32	26	21
22.00 New budget authority (gross)	6	4	4
23.90 Total budgetary resources available for obligation	38	30	25
23.95 Total new obligations	-13	-8	-8
24.40 Unobligated balance carried forward, end of year	26	21	18

New budget authority (gross), detail:

Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	6	4	4

Change in obligated balances:

72.40 Obligated balance, start of year	1	1	1
73.10 Total new obligations	13	8	8
73.20 Total outlays (gross)	-14	-9	-8
74.40 Obligated balance, end of year	1	1	1

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	6	4	4
86.93 Outlays from discretionary balances	7	4	4
87.00 Total outlays (gross)	14	9	8

Offsets:

Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-6	-4	-4

Net budget authority and outlays:

89.00	Budget authority			
90.00	Outlays	7	5	4

SBA is authorized to issue bond guarantees to surety companies for construction, service, and supply contracts that do not exceed \$2,000,000 and to reimburse these sureties up to 90 percent of the losses sustained if the contractor defaults. SBA's guarantees provide the incentive necessary for sureties to issue bonds to small contractors who could not otherwise compete in the contracting industry.

In 2003, the budget proposes a \$1.7 billion program level that is anticipated to be sufficient to accommodate demand from prior-approval and preferred sureties. This program does not require a subsidy appropriation.

Statement of Operations (in millions of dollars)

Identification code 73-4156-0-3-376	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	14	3	6	6
0102 Expense	-14	-10	-6	-6
0105 Net income or loss (-)		-7		

Balance Sheet (in millions of dollars)

Identification code 73-4156-0-3-376	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	33	26	42	42
1106 Investments in US securities: Receivables, net	7	6		
1999 Total assets	40	32	42	42
LIABILITIES:				
2201 Non-Federal liabilities: Accounts payable	37	14	36	36
2999 Total liabilities	37	14	36	36
NET POSITION:				
3100 Appropriated capital	303	293	332	332
3300 Cumulative results of operations	-300	-275	-326	-326
3999 Total net position	3	18	6	6
4999 Total liabilities and net position	40	32	42	42

Credit accounts:

BUSINESS LOANS PROGRAM ACCOUNT

For the cost of direct loans, [\$1,860,000] \$3,726,000, to be available until expended; and for the cost of guaranteed loans, [\$78,000,000] \$85,360,000, as authorized by 15 U.S.C. 631 note, of which \$45,000,000 shall remain available until September 30, [2003] 2004: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974, as amended: *Provided further*, That during fiscal year [2002] 2003 commitments to guarantee loans under section 503 of the Small Business Investment Act of 1958, as amended, shall not exceed \$4,500,000,000, as provided under section 20(h)(1)(B)(ii) of the Small Business Act: *Provided further*, That during fiscal year 2002 commitments for general business loans authorized under section 7(a) of the Small Business Act, as amended, shall not exceed \$10,000,000,000 without prior notification of the Committees on Appropriations of the House of Representatives and Senate in accordance with section 605 of this Act]: *Provided further*, That during fiscal year [2002] 2003 commitments to guarantee loans for debentures and participating securities under section 303(b) of the Small Business Investment Act of 1958, as amended, shall not exceed the levels established by section [20(h)(1)(C)] 20(i)(1)(C) of the Small Business Act.

In addition, for administrative expenses to carry out the direct and guaranteed loan programs, [\$129,000,000] \$133,769,000, which may be transferred to and merged with the appropriations for Salaries and Expenses. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

[For emergency expenses for the cost of loan subsidies and for loan modifications as authorized by section 203 of this Act, for dis-

aster recovery activities and assistance related to the terrorist acts in New York, Virginia, and Pennsylvania on September 11, 2001, for "Business Loans Program Account", \$75,000,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 73-1154-0-1-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct loan subsidy	3	2	4
00.02 Guaranteed loan subsidy	142	175	85
00.05 Reestimate of direct loan	1	1	
00.07 Reestimate of loan guarantee subsidy	30	102	
00.08 Interest on reestimates of loan guarantee subsidy	4	19	
00.09 Administrative expenses	134	134	133
10.00 Total new obligations	314	433	222
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	98	120	92
22.00 New budget authority (gross)	333	405	222
22.10 Resources available from recoveries of prior year obligations	1		
22.22 Unobligated balance transferred from other accounts	2		
23.90 Total budgetary resources available for obligation	434	525	314
23.95 Total new obligations	-314	-433	-222
24.40 Unobligated balance carried forward, end of year	120	92	92
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	299	214	222
40.15 Appropriation [Terrorist response]		75	
40.36 Unobligated balance rescinded		-6	
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
43.00 Appropriation (total discretionary)	298	283	222
Mandatory:			
60.00 Appropriation	35	122	
70.00 Total new budget authority (gross)	333	405	222
Change in obligated balances:			
72.40 Obligated balance, start of year	88	94	117
73.10 Total new obligations	314	433	222
73.20 Total outlays (gross)	-289	-410	-244
73.40 Adjustments in expired accounts (net)	-18		
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	94	117	95
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	150	180	141
86.93 Outlays from discretionary balances	104	108	103
86.97 Outlays from new mandatory authority	35	122	
87.00 Total outlays (gross)	289	410	244
Net budget authority and outlays:			
89.00 Budget authority	333	405	222
90.00 Outlays	289	410	244
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	328	400	218
90.00 Outlays	284	405	240
Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)			
Identification code 73-1154-0-1-376	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Micro loans	30	26	27
115901 Total direct loan levels	30	26	27
Direct loan subsidy (in percent):			
132001 Micro loans	8.95	6.78	13.05

Credit accounts—Continued

BUSINESS LOANS PROGRAM ACCOUNT—Continued

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)—Continued

Identification code 73-1154-0-1-376	2001 actual	2002 est.	2003 est.
132901 Weighted average subsidy rate	8.95	6.78	13.05
Direct loan subsidy budget authority:			
133001 Micro loans	3	2	3
133901 Total subsidy budget authority	3	2	3
Direct loan subsidy outlays:			
134001 Micro loans	1	1	2
134901 Total subsidy outlays	1	1	2
Direct loan upward reestimate subsidy budget authority:			
135001 Micro loans	1	1	
135901 Total upward reestimate budget authority	1	1	
Direct loan upward reestimate subsidy outlays:			
136001 Micro loans	1	1	
136901 Total upward reestimate outlays	1	1	
Direct loan downward reestimate subsidy budget authority:			
137001 Micro loans		-4	
137901 Total downward reestimate budget authority		-4	
Direct loan downward reestimate subsidy outlays:			
138001 Micro loans		-4	
138901 Total downward reestimate subsidy outlays		-4	
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Microloan guarantees	2	2	
215002 General business—7(a)	9,122	7,290	4,850
215002 General business—7(a) — terrorist response		4,491	
215003 General business—7(a) DELTA	1	20	
215004 Section 504	2,269	4,500	4,500
215005 Section 504 DELTA		3	
215006 SBIC debentures	487	2,500	3,000
215007 SBIC participating securities	2,109	3,500	4,000
215008 New Market Venture Capital		152	
215901 Total loan guarantee levels	13,990	22,458	16,350
Guaranteed loan subsidy (in percent):			
232001 Microloan guarantees	7.95	8.17	8.42
232002 General business—7(a)	1.17	1.07	1.76
232002 General business—7(a) — terrorist response	0.00	1.67	1.78
232003 General business—7(a) DELTA	1.88	1.73	2.40
232004 Section 504	0.00	0.00	0.00
232005 Section 504 DELTA	0.89	0.84	0.88
232006 SBIC debentures	0.00	0.00	0.00
232007 SBIC participating securities	1.31	0.00	0.00
232008 New Market Venture Capital	14.44	14.47	15.46
232009 Y2k	4.04	0.00	0.00
232901 Weighted average subsidy rate	0.96	0.68	0.51
Guaranteed loan subsidy budget authority:			
233001 Microloan guarantees			
233002 General business—7(a)	107	78	85
233002 General business—7(a) — terrorist response		75	
233003 General business—7(a) DELTA			
233004 Section 504			
233005 Section 504 DELTA			
233006 SBIC debentures			
233007 SBIC participating securities	28		
233008 New Market Venture Capital			
233901 Total subsidy budget authority	135	153	85
Guaranteed loan subsidy outlays:			
234001 Microloan guarantees	1		
234002 General business—7(a)	122	89	81
234002 General business—7(a) — terrorist response		47	
234003 General business—7(a) DELTA	1		
234004 Section 504	1		
234005 Section 504 DELTA			
234006 SBIC debentures			
234007 SBIC participating securities	28		
234008 New Market Venture Capital		22	
234901 Total subsidy outlays	153	158	81
Guaranteed loan upward reestimate subsidy budget authority:			
235001 Microloan guarantees			

235002 General business—7(a)	15		
235003 General business—7(a) DELTA			
235004 Section 504	21		
235005 Section 504 DELTA			
235006 SBIC debentures	34	15	
235007 SBIC participating securities		70	
235901 Total upward reestimate budget authority	34	121	
Guaranteed loan upward reestimate subsidy outlays:			
236001 Microloan guarantees			
236002 General business—7(a)		15	
236003 General business—7(a) DELTA			
236004 Section 504		21	
236005 Section 504 DELTA			
236006 SBIC debentures	34	15	
236007 SBIC participating securities		70	
236901 Total upward reestimate subsidy outlays	34	121	
Guaranteed loan downward reestimate subsidy budget authority:			
237001 Microloan guarantees			
237002 General business—7(a)	-117	-179	
237003 General business—7(a) DELTA			
237004 Section 504	-180	-110	
237005 Section 504 DELTA			
237006 SBIC debentures	-91	-35	
237007 SBIC participating securities	-334	-38	
237901 Total downward reestimate subsidy budget authority	-722	-362	
Guaranteed loan downward reestimate subsidy outlays:			
238001 Microloan guarantees			
238002 General business—7(a)	-117	-179	
238003 General business—7(a) DELTA			
238004 Section 504	-180	-110	
238005 Section 504 DELTA			
238006 SBIC debentures	-91	-35	
238007 SBIC participating securities	-334	-38	
238901 Total downward reestimate subsidy outlays	-722	-362	
Administrative expense data:			
351001 Budget authority	134	134	133
359001 Outlays from new authority	129	129	129

As required by the Federal Credit Reform Act of 1990, as amended, this account records, for this program, the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

For 2003, the budget proposes \$218.5 million in new budget authority for the Business Loans Program account. This amount will be used to administer the loan programs and support 7(a) loans and \$26.6 million in loans through the Microloan Direct program. For all other loan programs, borrowers fees are sufficient to cover subsidy obligations.

The Section 7(a) program provides general business credit assistance. The requested program level for 2003 is \$4.85 billion. The Section 504 CDC Program is for long-term fixed rate financing and will require a program level of \$4.5 billion in 2003. The Small Business Investment Companies (SBIC) program provides debt and equity capital. The budget proposes a program level of \$4 billion for SBIC Participating Securities and \$3 billion for SBIC Debentures. The Microloan Direct program provides loans of \$35,000 or less through intermediaries.

Object Classification (in millions of dollars)

Identification code 73-1154-0-1-376	2001 actual	2002 est.	2003 est.
12.1 Civilian personnel benefits	10	10	8
25.2 Other services	124	124	125
41.0 Grants, subsidies, and contributions	180	299	89
99.9 Total new obligations	314	433	222

BUSINESS DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)			
Identification code 73-4148-0-3-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct loans	31	26	27
00.02 Interest on Treasury borrowing	42	45	50
00.03 Other expenses:			
Other expenses		2	2
00.91 Direct Program by Activities—Subtotal (1 level)	73	73	79
08.02 Payment of downward reestimate to a receipt account		3	
08.04 Payment of interest on downward reestimate to a receipt account		1	
08.91 Direct Program by Activities—Subtotal (1 level)		4	
10.00 Total new obligations	73	77	79
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	430	317	
22.00 New financing authority (gross)	-19	86	88
22.60 Portion applied to repay debt	-21	-326	-9
23.90 Total budgetary resources available for obligation	390	77	79
23.95 Total new obligations	-73	-77	-79
24.40 Unobligated balance carried forward, end of year	317		
New financing authority (gross), detail:			
Discretionary:			
47.00 Authority to borrow	27	19	19
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	-58	67	69
68.10 Change in receivables from program account	12		
68.90 Spending authority from offsetting collections (total discretionary)	-46	67	69
70.00 Total new financing authority (gross)	-19	86	88
Change in obligated balances:			
72.40 Obligated balance, start of year	32	39	56
73.10 Total new obligations	73	77	79
73.20 Total financing disbursements (gross)	-54	-60	-64
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-12		
74.40 Obligated balance, end of year	39	56	71
87.00 Total financing disbursements (gross)	54	60	64

Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Federal sources: Payments from program account	-2	-2	-2
Non-Federal sources:			
88.40 Repayments of principal, net	-13	-15	-15
88.40 Federal sources		-1	
88.40 Interest received on loans	-25	-26	-27
88.40 Other income	98	-23	-25
88.90 Total, offsetting collections (cash)	58	-67	-69
Against gross financing authority only:			
88.95 Change in receivables from program accounts	-12		
Net financing authority and financing disbursements:			
89.00 Financing authority	27	19	19
90.00 Financing disbursements	113	-7	-5

Status of Direct Loans (in millions of dollars)

Identification code 73-4148-0-3-376	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans	30	25	26
1150 Total direct loan obligations	30	25	26
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	60	107	121
1231 Disbursements: Direct loan disbursements	53	29	18
1251 Repayments: Repayments and prepayments	-5	-10	-10
1263 Write-offs for default: Direct loans	-1	-5	-5

1290 Outstanding, end of year	107	121	124
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As required by the Federal Credit Reform Act of 1990, as amended, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 73-4148-0-3-376	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	463	305	572	572
Investments in US securities:				
1106 Receivables, net	68	41	71	71
1206 Non-Federal assets: Receivables, net	29	30	31	31
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	2	107	4	4
1405 Allowance for subsidy cost (-)		-3	-2	-2
1499 Net present value of assets related to direct loans	2	104	2	2
1504 Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: Foreclosed property				
1999 Total assets	562	480	676	676
LIABILITIES:				
Federal liabilities:				
2101 Accounts payable	27	43	30	30
2104 Resources payable to Treasury	535	437	646	646
2999 Total liabilities	562	480	676	676
4999 Total liabilities and net position	562	480	676	676

BUSINESS GUARANTEED LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 73-4149-0-3-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Default claims	731	762	762
00.05 Other Expenses	51	156	280
00.91 Direct Program by Activities—Subtotal (1 level)	782	918	1,042
08.02 Payment of downward reestimate to receipt account	558	285	
08.03 Payment to liquidating account to purchase loan assets (73-4154)	12	4	
08.04 Payment of interest on downward reestimate to receipt account	164	77	
08.91 Direct Program by Activities—Subtotal (1 level)	734	366	
10.00 Total new obligations	1,516	1,284	1,042
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	964	169	11
22.00 New financing authority (gross)	720	1,126	1,031
23.90 Total budgetary resources available for obligation	1,684	1,295	1,042
23.95 Total new obligations	-1,516	-1,284	-1,042
24.40 Unobligated balance carried forward, end of year	169	11	

New financing authority (gross), detail:			
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	716	1,126	1,031
68.10 Change in uncollected customer payments from Federal sources (unexpired)	4		
68.90 Spending authority from offsetting collections (total discretionary)	720	1,126	1,031
Change in obligated balances:			
72.40 Obligated balance, start of year	-58	-40	94
73.10 Total new obligations	1,516	1,284	1,042

Credit accounts—Continued

BUSINESS GUARANTEED LOAN FINANCING ACCOUNT—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 73-4149-0-3-376	2001 actual	2002 est.	2003 est.
73.20 Total financing disbursements (gross)	-1,494	-1,150	-1,123
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-4		
74.40 Obligated balance, end of year	-40	94	13
87.00 Total financing disbursements (gross)	1,494	1,150	1,123
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 Payments from program account	-153	-158	-81
88.00 Upward reestimate	-30	-102	
88.00 Interest on reestimate	-4	-19	
88.25 Interest on uninvested funds	-1	-46	-48
Non-Federal sources:			
88.40 Fees		-441	-700
88.40 Proceeds from loan asset sales	-751	-248	
88.40 other	223	-112	-202
88.90 Total, offsetting collections (cash)	-716	-1,126	-1,031
Against gross financing authority only:			
88.95 Change in receivables from program accounts	-4		
Net financing authority and financing disbursements:			
89.00 Financing authority			
90.00 Financing disbursements	778	24	92

Status of Guaranteed Loans (in millions of dollars)

Identification code 73-4149-0-3-376	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders	13,990	22,458	16,350
2150 Total guaranteed loan commitments	13,990	22,458	16,350
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	31,739	35,107	38,175
2231 Disbursements of new guaranteed loans	10,963	9,111	10,111
2251 Repayments and prepayments	-6,919	-5,338	-7,481
Adjustments:			
2261 Terminations for default that result in loans receivable	-645	-670	-684
2264 Other adjustments, net	-31	-35	-36
2290 Outstanding, end of year	35,107	38,175	40,085
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	26,133	22,459	23,859
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	817	966	1,224
2331 Disbursements for guaranteed loan claims	645	670	684
2351 Repayments of loans receivable	-239	-214	-218
2361 Write-offs of loans receivable	-150	-61	-74
2364 Other adjustments, net	-107	-137	-140
2390 Outstanding, end of year	966	1,224	1,476

As required by the Federal Credit Reform Act of 1990, as amended, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 73-4149-0-3-376	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	906	924	943	960
Investments in US securities:				
1106 Receivables, net	120	179	183	199
1207 Non-Federal assets: Advances and prepayments	449	245	250	250
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:				
1501 Defaulted guaranteed loans receivable, gross	817	966	1,224	1,476
1502 Interest receivable	37	30	31	31
1505 Allowance for subsidy cost (-)	-78	-32	-90	-90
1599 Net present value of assets related to defaulted guaranteed loans	776	964	1,165	1,417
1901 Other Federal assets: Other assets	197	238	243	143
1999 Total assets	2,448	2,550	2,784	2,969
LIABILITIES:				
2204 Non-Federal liabilities: Liabilities for loan guarantees	2,448	2,550	2,784	2,969
2999 Total liabilities	2,448	2,550	2,784	2,969
4999 Total liabilities and net position	2,448	2,550	2,784	2,969

BUSINESS LOAN FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 73-4154-0-3-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Interest Expense to Treasury	23	12	8
00.03 Msc. program expenses	44	13	10
00.05 Guaranteed loan default claims	213	145	135
10.00 Total new obligations	280	170	153
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	271	350	
22.00 New budget authority (gross)	64	100	245
22.10 Resources available from recoveries of prior year obligations	508	92	
22.21 Unobligated balance transferred to other accounts	-1		
22.40 Capital transfer to general fund	-185	-350	-31
22.60 Portion applied to repay debt	-27	-22	-61
23.90 Total budgetary resources available for obligation	630	170	153
23.95 Total new obligations	-280	-170	-153
24.40 Unobligated balance carried forward, end of year	350		
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	64	100	245
Change in obligated balances:			
72.40 Obligated balance, start of year	593	326	344
73.10 Total new obligations	280	170	153
73.20 Total outlays (gross)	-39	-60	-147
73.45 Recoveries of prior year obligations	-508	-92	
74.40 Obligated balance, end of year	326	344	350
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	39	60	147
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Loan repayments:			
Non-Federal sources:			
88.40 Financing programs-principal	-17	-17	-45
88.40 Investment programs-principal	-5	-5	-15
88.40 Interest Income-Business	-2	-17	-15
88.40 Interest income-Investment	-1	-5	-3
88.40 collection on FFB loans	-27	-22	-15
88.40 Other Income-both Business and Investment		-26	-67
88.40 Asset sale proceeds	-12	-8	-85

88.90	Total, offsetting collections (cash)	- 64	- 100	- 245
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Net budget authority and outlays:

89.00	Budget authority			
90.00	Outlays	- 25	- 40	- 98

Status of Direct Loans (in millions of dollars)

Identification code 73-4154-0-3-376	2001 actual	2002 est.	2003 est.	
Business Loan Fund, Direct Loans				
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	326	204	125
1232	Disbursements: Purchase of loans assets from the public	14	12	11
Repayments:				
1251	Repayments and prepayments	- 26	- 21	- 35
1252	Proceeds from loan asset sales to the public or discounted	- 12	- 57	
1262	Adjustments: Discount on loan asset sales to the public or discounted	- 36		
1263	Write-offs for default: Direct loans	- 62	- 13	- 11
1290	Outstanding, end of year	204	125	90
Small Business Investment Company, Direct Loans				
Section 503 Development Company, Direct Loans				
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	159	133	111
1251	Repayments: Repayments and prepayments	- 26	- 22	- 15
1290	Outstanding, end of year	133	111	96

Status of Guaranteed Loans (in millions of dollars)

Identification code 73-4154-0-3-376	2001 actual	2002 est.	2003 est.	
Business Loan Fund, Loan Guarantees				
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	2,010	1,501	1,176
2251	Repayments and prepayments	- 493	- 313	- 244
2261	Adjustments: Terminations for default that result in loans receivable	- 16	- 12	- 11
2290	Outstanding, end of year	1,501	1,176	921
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	1,319	1,019	784
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year	522	381	311
2331	Disbursements for guaranteed loan claims	16	12	11
2351	Repayments of loans receivable	- 101	- 55	- 24
2361	Write-offs of loans receivable	- 38	- 19	- 11
2364	Other adjustments, net	- 18	- 8	- 5
2390	Outstanding, end of year	381	311	282

As required by the Federal Credit Reform Act of 1990, as amended, this account records, for this program, all cash flows to and from the Government resulting from direct loans obligated and loan guarantees committed prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year) is recorded in corresponding program and financing accounts.

Statement of Operations (in millions of dollars)

Identification code 73-4154-0-3-376	2000 actual	2001 actual	2002 est.	2003 est.	
0101	Revenue	107	24	20	16
0102	Expense	-68	-154	-125	-100
0105	Net income or loss (-)	39	-130	-105	-84

Balance Sheet (in millions of dollars)

Identification code 73-4154-0-3-376	2000 actual	2001 actual	2002 est.	2003 est.	
ASSETS:					
Federal assets:					
1101	Fund balances with Treasury	920	676	420	250
Investments in US securities:					
1104	Agency securities, par	418	490	90	83
1107	Advances and prepayments	3	3	1	1
Non-Federal assets:					
1206	Receivables, net	913	50	109	69
1207	Advances and prepayments	8	8	2	2
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:					
1601	Direct loans, gross	484	337	236	186
1603	Allowance for estimated uncollectible loans and interest (-)	-73	-26	-35	-30
1699	Value of assets related to direct loans	411	311	201	156
1901	Other Federal assets: Other assets	115	88	34	14
1999	Total assets	2,788	1,626	857	575
LIABILITIES:					
Federal liabilities:					
2101	Accounts payable	48	27	22	15
2102	Interest payable	96		56	46
2103	Debt to the FFB	159	133	102	36
2105	Other Liabilities	1,459	987	385	152
Non-Federal liabilities:					
2201	Accounts payable	108	4	35	35
2204	Liabilities for loan guarantees	13		11	10
2207	Other Liabilities	905	475	246	281
2999	Total liabilities	2,788	1,626	857	575
4999	Total liabilities and net position	2,788	1,626	857	575

Object Classification (in millions of dollars)

Identification code 73-4154-0-3-376	2001 actual	2002 est.	2003 est.	
42.0	Insurance claims and indemnities	257	158	145
43.0	Interest and dividends	23	12	8
99.9	Total new obligations	280	170	153

DISASTER LOANS PROGRAM ACCOUNT

For the cost of direct loans authorized by section 7(b) of the Small Business Act, as amended, **[\$87,360,000]** \$76,140,000, to remain available until expended: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974, as amended.

In addition, for administrative expenses to carry out the direct loan program, **[\$122,354,000]** \$122,141,000, which may be transferred to and merged with appropriations for Salaries and Expenses, of which \$500,000 is for the Office of Inspector General of the Small Business Administration for audits and reviews of disaster loans and the disaster loan program and shall be transferred to and merged with appropriations for the Office of Inspector General; of which **[\$112,000,000]** \$111,787,000 is for direct administrative expenses of loan making and servicing to carry out the direct loan program; and of which \$9,854,000 is for indirect administrative expenses: *Provided*, That any amount in excess of \$9,854,000 to be transferred to and merged with appropriations for Salaries and Expenses for indirect administrative expenses shall be treated as a reprogramming of funds under section 605 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

[For emergency expenses for the cost of loan subsidies and for loan modifications as authorized by section 202 of this Act, for disaster recovery activities and assistance related to the terrorist acts in New York, Virginia, and Pennsylvania on September 11, 2001, for "Disaster Loans Program Account", \$75,000,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.**]** (*Emergency Supplemental Act, 2002.*)

Credit accounts—Continued

DISASTER LOANS PROGRAM ACCOUNT—Continued

Program and Financing (in millions of dollars)

Identification code 73-1152-0-1-453	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct loan subsidy	153	239	111
00.05 Upward reestimate of direct loans		347	
00.06 Interest on upward reestimates of direct loans		159	
00.09 Administrative expense	133	166	122
00.91 Direct Program by Activities—Subtotal (1 level)	286	911	233
08.03 Gainsharing to Salaries and Expenses	3		3
10.00 Total new obligations	289	911	236
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	122	131	25
22.00 New budget authority (gross)	287	795	201
22.10 Resources available from recoveries of prior year obligations	11	10	10
23.90 Total budgetary resources available for obligation	420	936	236
23.95 Total new obligations	-289	-911	-236
24.40 Unobligated balance carried forward, end of year	131	25	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	189	214	198
40.15 Appropriation (Supplemental emergency)		75	
41.00 Transferred to other accounts	-5		
42.00 Transferred from other accounts	100		
43.00 Appropriation (total discretionary)	284	289	198
Mandatory:			
60.00 Appropriation		506	
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	3		3
70.00 Total new budget authority (gross)	287	795	201
Change in obligated balances:			
72.40 Obligated balance, start of year	31	68	87
73.10 Total new obligations	289	911	236
73.20 Total outlays (gross)	-241	-882	-246
73.45 Recoveries of prior year obligations	-11	-10	-10
74.40 Obligated balance, end of year	68	87	67
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	120	221	156
86.93 Outlays from discretionary balances	122	155	90
86.97 Outlays from new mandatory authority		506	
87.00 Total outlays (gross)	241	882	246
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-3		-3
Net budget authority and outlays:			
89.00 Budget authority	284	795	198
90.00 Outlays	238	882	243

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

Identification code 73-1152-0-1-453	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	280	791	194
90.00 Outlays	234	878	239

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 73-1152-0-1-453	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Direct Disaster Loans	872	593	545
115001 Direct loan levels — terrorist attack	4	324	

115901 Total direct loan levels	876	917	545
Direct loan subsidy (in percent):			
132001 Direct Disaster Loans	17.46	14.67	13.98
132001 Direct loan levels — terrorist attack	23.61	23.16	0.00
132901 Weighted average subsidy rate	17.46	17.66	13.94
Direct loan subsidy budget authority:			
133001 Direct Disaster Loans	152	87	76
133001 Direct loan levels — terrorist attack	1	75	
133901 Total subsidy budget authority	153	162	76
Direct loan subsidy outlays:			
134001 Direct Disaster Loans	124	105	106
134001 Direct loan levels — terrorist attack	1	119	15
134901 Total subsidy outlays	125	224	121
Direct loan upward reestimate subsidy budget authority:			
135001 Direct Disaster Loans		506	
135901 Total upward reestimate budget authority		506	
Direct loan upward reestimate subsidy outlays:			
136001 Direct Disaster Loans		506	
136901 Total upward reestimate outlays		506	
Direct loan downward reestimate subsidy budget authority:			
137001 Direct Disaster Loans	-384		
137901 Total downward reestimate budget authority	-384		
Direct loan downward reestimate subsidy outlays:			
138001 Direct Disaster Loans	-384		
138901 Total downward reestimate subsidy outlays	-384		
Administrative expense data:			
351001 Budget authority	112	126	122
351001 Budget authority for terrorists attack	40		
359001 Outlays from new authority	108	122	118
359001 Outlays from new authority for terrorist attack	1	39	

As required by the Federal Credit Reform Act of 1990, as amended, this account records, for loans made pursuant to section 7(b) of the Small Business Act, as amended, the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

Disaster loans made pursuant to Section 7(b) of the Small Business Act are the primary form of Federal assistance for non-farm, private sector disaster losses. For this reason, the program is the only form of SBA assistance not limited to small businesses. Through this program, SBA helps homeowners, renters, businesses of all sizes, and non-profit organizations pay for the cost of rebuilding. Pursuant to the Small Business Act, the government subsidizes borrowers who have incurred uninsured losses or economic injury as the result of a natural disaster.

For 2003, SBA will rely on anticipated carry-over balances of \$25 million from 2002 plus \$10 million in recoveries to support \$795 million in loans. The subsidy rate is 13.98 percent.

Object Classification (in millions of dollars)

Identification code 73-1152-0-1-453	2001 actual	2002 est.	2003 est.
12.1 Civilian personnel benefits	8	8	7
25.2 Other services	128	168	115
41.0 Grants, subsidies, and contributions	153	735	114
99.9 Total new obligations	289	911	236

DISASTER DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 73-4150-0-3-453	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct loans	606	1,090	1,110
00.02 Interest on Treasury borrowing	494	465	505
00.91 Direct Program by Activities—Subtotal (1 level)	1,100	1,555	1,615
08.02 Payment of downward reestimate to receipt account	282		
08.03 Payment to liquidating account to purchase loan assets (73 4153)	96	128	116
08.04 Payment of interest on downward reestimates to receipt account	102		
08.06 Gainsharing to salaries and expense	3		3
08.91 Direct Program by Activities—Subtotal (1 level)	483	128	119
10.00 Total new obligations	1,583	1,683	1,734
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4,651	5,677	
22.00 New financing authority (gross)	3,353	3,785	3,556
22.10 Resources available from recoveries of prior year obligations	50		
22.60 Portion applied to repay debt	-794	-7,779	-1,822
23.90 Total budgetary resources available for obligation	7,260	1,683	1,734
23.95 Total new obligations	-1,583	-1,683	-1,734
24.40 Unobligated balance carried forward, end of year	5,677		
New financing authority (gross), detail:			
Discretionary:			
47.00 Authority to borrow	1,145	1,035	683
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	2,208	2,750	2,873
70.00 Total new financing authority (gross)	3,353	3,785	3,556
Change in obligated balances:			
72.40 Obligated balance, start of year	422	619	732
73.10 Total new obligations	1,583	1,683	1,734
73.20 Total financing disbursements (gross)	-1,336	-1,570	-1,850
73.45 Recoveries of prior year obligations	-50		
74.40 Obligated balance, end of year	619	732	616
87.00 Total financing disbursements (gross)	1,336	1,570	1,850

Offsets:

Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 Payments from program account	-125	-224	-121
88.00 upward reestimate		-347	
88.00 Interest on upward reestimate		-159	
Non-Federal sources:			
88.40 Repayments of principal, net	-962	-391	-235
88.40 Collection of misc. receivables	-63	-87	-95
88.40 Interest received on loans	-307	-451	-559
88.40 Proceeds from loan sales	-751	-1,091	-1,863
88.90 Total, offsetting collections (cash)	-2,208	-2,750	-2,873
Net financing authority and financing disbursements:			
89.00 Financing authority	1,145	1,035	683
90.00 Financing disbursements	-872	-1,180	-1,023

Status of Direct Loans (in millions of dollars)

Identification code 73-4150-0-3-453	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans			
1131 Direct loan obligations exempt from limitation	951	1,272	795
1150 Total direct loan obligations	951	1,272	795
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	5,212	3,288	3,057
Disbursements:			
1231 Direct loan disbursements	587	1,206	860
1233 Purchase of loans assets from a liquidating account	96	128	116

Repayments:

1251 Repayments and prepayments	-1,332	-121	-146
1252 Proceeds from loan asset sales to the public or discounted	-751	-1,091	-1,863
1262 Adjustments: Discount on loan asset sales to the public or discounted	-311	-313	-319
1263 Write-offs for default: Direct loans	-213	-40	-41
1290 Outstanding, end of year	3,288	3,057	1,664

As required by the Federal Credit Reform Act of 1990, as amended, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 73-4150-0-3-453	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	5,072	6,791	3,259	3,000
Investments in US securities:				
1106 Interest/Accounts Receivables	1,094	376	506	517
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	5,212	3,288	2,542	1,233
1405 Allowance for subsidy cost (-)	-931	-150	-651	-75
1499 Net present value of assets related to direct loans	4,281	3,138	1,891	1,158
1999 Total assets	10,447	10,305	5,656	4,675
LIABILITIES:				
Federal liabilities:				
2101 Accounts payable	10,447	605	333	375
2103 Debt		9,649	5,294	4,275
Non-Federal liabilities:				
2201 Accounts payable		28	16	15
2207 Other		23	13	10
2999 Total liabilities	10,447	10,305	5,656	4,675
4999 Total liabilities and net position	10,447	10,305	5,656	4,675

DISASTER LOAN FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 73-4153-0-3-453	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
01.01 Interest expense to Treasury	22	11	6
01.03 Other expenses	14	19	21
10.00 Total new obligations	36	30	27
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	246	308	
22.00 New budget authority from offsetting collections (gross)	338	185	169
22.40 Capital transfer to general fund	-240	-463	-142
23.90 Total budgetary resources available for obligation	344	30	27
23.95 Total new obligations	-36	-30	-27
24.40 Unobligated balance carried forward, end of year	308		
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	338	185	169
Change in obligated balances:			
72.40 Obligated balance, start of year	44	26	26
73.10 Total new obligations	36	30	27
73.20 Total outlays (gross)	-54	-30	-27
74.40 Obligated balance, end of year	26	26	26
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	54	30	27

Credit accounts—Continued

DISASTER LOAN FUND LIQUIDATING ACCOUNT—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 73-4153-0-3-453	2001 actual	2002 est.	2003 est.	
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
Non-Federal sources:				
88.40	Asset Sale Proceeds	- 96	- 128	- 116
88.40	Loan repayments	- 76	- 34	- 30
88.40	Interest income	- 20	- 1	- 1
88.40	Other income	- 8		
88.40	Other collection of Principal	- 138	- 22	- 22
88.90	Total, offsetting collections (cash)	- 338	- 185	- 169
Net budget authority and outlays:				
89.00	Budget authority			
90.00	Outlays	- 284	- 155	- 142

Status of Direct Loans (in millions of dollars)

Identification code 73-4153-0-3-453	2001 actual	2002 est.	2003 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	685	248	116
Repayments:				
1251	Repayments and prepayments	- 76		
1252	Proceeds from loan asset sales to the public or discounted	- 96	- 128	- 116
1262	Adjustments: Discount on loan asset sales to the public or discounted	- 128	- 4	
1263	Write-offs for default: Direct loans	- 137		
1290	Outstanding, end of year	248	116	

As required by the Federal Credit Reform Act of 1990, as amended, this account records, for this program, all cash flows to and from the Government resulting from direct loans obligated prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year) is recorded in corresponding program and financing accounts.

Statement of Operations (in millions of dollars)

Identification code 73-4153-0-3-453	2000 actual	2001 actual	2002 est.	2003 est.	
0101	Revenue	54	2	3	5
0102	Expense	- 44	- 82	- 57	- 50
0105	Net income or loss (-)	10	- 80	- 54	- 45

Balance Sheet (in millions of dollars)

Identification code 73-4153-0-3-453	2000 actual	2001 actual	2002 est.	2003 est.	
ASSETS:					
Federal assets: Fund balances with Treasury					
1101		290	36	27	20
Non-Federal assets: Receivables, net					
1206		101	9	3	1
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:					
1601	Direct loans, gross	685	248	116	
1603	Allowance for estimated uncollectible loans and interest (-)	- 47	- 18	- 4	
1699	Value of assets related to direct loans	638	230	112	
1901	Other Federal assets: Other assets		320	66	52
1999	Total assets	1,029	595	208	73
LIABILITIES:					
Federal liabilities:					
2102	Interest payable	39	22	11	5
2105	Other		565	141	45
Non-Federal liabilities:					
2201	Accounts payable	990	4	12	6

2207	Other	4	44	17	
2999	Total liabilities	1,029	595	208	73
4999	Total liabilities and net position	1,029	595	208	73

Object Classification (in millions of dollars)

Identification code 73-4153-0-3-453	2001 actual	2002 est.	2003 est.	
25.2	Other services	14	19	21
43.0	Interest and dividends	22	11	6
99.9	Total new obligations	36	30	27

POLLUTION CONTROL EQUIPMENT FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 73-4147-0-3-376	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Direct program		3	2
10.00	Total new obligations (object class 42.0)		3	2
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	2	3	
22.00	New budget authority (gross)	3	3	3
22.40	Capital transfer to general fund	- 2	- 3	
23.90	Total budgetary resources available for obligation	3	3	3
23.95	Total new obligations		- 3	- 2
24.40	Unobligated balance carried forward, end of year	3		
New budget authority (gross), detail:				
Mandatory:				
60.00	Appropriation	3	3	3
Change in obligated balances:				
73.10	Total new obligations		3	2
73.20	Total outlays (gross)		- 3	- 2
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority		3	2
Net budget authority and outlays:				
89.00	Budget authority	3	3	3
90.00	Outlays		3	2

Status of Guaranteed Loans (in millions of dollars)

Identification code 73-4147-0-3-376	2001 actual	2002 est.	2003 est.	
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	39	16	9
2251	Repayments and prepayments	- 17	- 7	- 4
Adjustments:				
2261	Terminations for default that result in loans receivable			
2264	Other adjustments, net	- 6		
2290	Outstanding, end of year	16	9	5
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	16	9	5
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year	49	49	49
2331	Disbursements for guaranteed loan claims			
2390	Outstanding, end of year	49	49	49

Public Law 94-305 established this fund to alleviate the adverse impact of pollution regulations on small businesses. As a result of the elimination of tax exempt financing associated with the Pollution Control Guaranteed program, no new activity is anticipated for this program.

Statement of Operations (in millions of dollars)

Identification code 73-4147-0-3-376	2000 actual	2001 actual	2002 est.	2003 est.
0102 Expense	1		-2	-2
0105 Net income or loss (-)	1		-2	-2

Balance Sheet (in millions of dollars)

Identification code 73-4147-0-3-376	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	2	3	3	2
1206 Non-Federal assets: Receivables, net				
1701 Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable: Defaulted guaranteed loans, gross	17	16	13	15
1999 Total assets	19	19	16	17
LIABILITIES:				
2104 Federal liabilities: Resources payable to Treasury	19	19	16	17
2999 Total liabilities	19	19	16	17
4999 Total liabilities and net position	19	19	16	17

ADMINISTRATIVE PROVISION—SMALL BUSINESS ADMINISTRATION

Not to exceed 5 percent of any appropriation made available for the current fiscal year for the Small Business Administration in this Act may be transferred between such appropriations, but no such appropriation shall be increased by more than 10 percent by any such transfers: *Provided*, That any transfer pursuant to this paragraph shall be treated as a reprogramming of funds under section 605 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002.*)

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Offsetting receipts from the public:			
73-272130 Disaster loan program, Downward reestimates of subsidies	384		
73-272230 Business loan program, Downward reestimates of subsidies	722	366	
General Fund Offsetting receipts from the public	1,106	366	

SOCIAL SECURITY ADMINISTRATION

Federal Funds

General and special funds:

PAYMENTS TO SOCIAL SECURITY TRUST FUNDS

For payment to the Federal Old-Age and Survivors Insurance and the Federal Disability Insurance trust funds, as provided under sections 201(m), [217(g),] 228(g), and 1131(b)(2) of the Social Security Act, [\$434,400,000] \$20,400,000. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 28-0404-0-1-651	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Taxation of benefits	12,503	13,446	14,250
01.01 Other	29	447	33
10.00 Total new obligations	12,532	13,893	14,283
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	16	15	15
22.00 New budget authority (gross)	12,536	13,892	14,282
23.90 Total budgetary resources available for obligation	12,552	13,907	14,297
23.95 Total new obligations	-12,532	-13,893	-14,283
23.98 Unobligated balance expiring or withdrawn	-4
24.40 Unobligated balance carried forward, end of year	15	15	15
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	12,536	13,892	14,282
Change in obligated balances:			
72.40 Obligated balance, start of year	2	3	4
73.10 Total new obligations	12,532	13,893	14,283
73.20 Total outlays (gross)	-12,531	-13,892	-14,282
74.40 Obligated balance, end of year	3	4	5
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	12,531	13,892	14,282
Net budget authority and outlays:			
89.00 Budget authority	12,536	13,892	14,282
90.00 Outlays	12,531	13,892	14,282

This general fund appropriation reimburses the Social Security trust funds annually for (1) special payments for certain uninsured persons, (2) pension reform, and (3) interest on unnegotiated checks. It also includes amounts from taxation of Social Security benefits.

Object Classification (in millions of dollars)

Identification code 28-0404-0-1-651	2001 actual	2002 est.	2003 est.
25.2 Other services	16	18	18
42.0 Insurance claims and indemnities	12,516	13,875	14,265
99.9 Total new obligations	12,532	13,893	14,283

SPECIAL BENEFITS FOR DISABLED COAL MINERS

For carrying out title IV of the Federal Mine Safety and Health Act of 1977, [\$332,840,000] \$300,177,000, to remain available until expended.

For making, after July 31 of the current fiscal year, benefit payments to individuals under title IV of the Federal Mine Safety and Health Act of 1977, for costs incurred in the current fiscal year, such amounts as may be necessary.

For making benefit payments under title IV of the Federal Mine Safety and Health Act of 1977 for the first quarter of fiscal year

[2003, \$108,000,000] 2004, \$97,000,000, to remain available until expended. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 28-0409-0-1-601	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	485	452	416
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	7	13	8
22.00 New budget authority (gross)	490	447	408
22.10 Resources available from recoveries of prior year obligations	1
23.90 Total budgetary resources available for obligation	498	459	416
23.95 Total new obligations	-485	-452	-416
24.40 Unobligated balance carried forward, end of year	13	8
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	366	333	300
65.00 Advance appropriation	124	114	108
70.00 Total new budget authority (gross)	490	447	408
Change in obligated balances:			
72.40 Obligated balance, start of year	43	41	39
73.10 Total new obligations	485	452	416
73.20 Total outlays (gross)	-486	-454	-420
73.45 Recoveries of prior year obligations	-1
74.40 Obligated balance, end of year	41	39	35
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	445	416	385
86.98 Outlays from mandatory balances	41	38	35
87.00 Total outlays (gross)	486	454	420
Net budget authority and outlays:			
89.00 Budget authority	490	447	408
90.00 Outlays	486	454	420

Summary of Budget Authority and Outlays

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Enacted/requested:			
Budget Authority	490	447	408
Outlays	486	454	420
Legislative proposal, not subject to PAYGO:			
Budget Authority	-408
Outlays	-420
Total:			
Budget Authority	490	447	408
Outlays	486	454	420

Title IV of the Federal Mine Safety and Health Act authorizes monthly benefits to coal miners disabled from coal workers' pneumoconiosis (black lung) and to their widows and certain other dependents. SSA is responsible for processing and paying only those claims for coal miners' benefits that were filed between December 30, 1969, when the program originated, and June 30, 1973, when program administration was transferred to the Department of Labor (DOL).

Object Classification (in millions of dollars)

Identification code 28-0409-0-1-601	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.5 Personnel compensation: Other personnel compensation	1	2	2

General and special funds—Continued

SPECIAL BENEFITS FOR DISABLED COAL MINERS—Continued

Object Classification (in millions of dollars)—Continued

Identification code 28-0409-0-1-601	2001 actual	2002 est.	2003 est.
25.3 Other purchases of goods and services from Government accounts	3	3	4
42.0 Insurance claims and indemnities	481	446	410
99.0 Direct obligations	485	451	416
99.5 Below reporting threshold		1	
99.9 Total new obligations	485	452	416

Personnel Summary

Identification code 28-0409-0-1-601	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	3	4	4

SPECIAL BENEFITS FOR DISABLED COAL MINERS
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 28-0409-2-1-601	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations			-416
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			-408
22.21 Unobligated balance transferred to other accounts			-8
23.90 Total budgetary resources available for obligation			-416
23.95 Total new obligations			416
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation			-300
65.00 Advance appropriation			-108
70.00 Total new budget authority (gross)			-408
Change in obligated balances:			
73.10 Total new obligations			-416
73.20 Total outlays (gross)			420
73.31 Obligated balance transferred to other accounts			-39
74.40 Obligated balance, end of year			-35
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority			-385
86.98 Outlays from mandatory balances			-35
87.00 Total outlays (gross)			-420
Net budget authority and outlays:			
89.00 Budget authority			-408
90.00 Outlays			-420

This schedule reflects the effect of the Administration's proposal to transfer this program to the Department of Labor effective October 1, 2002. Since 1997, the Department has provided maintenance services to beneficiaries on behalf of SSA pursuant to an interagency agreement. However, joint SSA and DOL Office of Inspector General (OIG) audits have recommended that the program be permanently transferred from SSA to DOL. This proposal would consolidate the entire Black Lung program within a single agency, which would result in more efficient program operations and better service delivery to beneficiaries. There are no budgetary implications arising from this proposal because funding previously appropriated to SSA would be made available to DOL.

Object Classification (in millions of dollars)

Identification code 28-0409-2-1-601	2001 actual	2002 est.	2003 est.
11.5 Personnel compensation: Other personnel compensation			-2
25.3 Other purchases of goods and services from Government accounts			-4
42.0 Insurance claims and indemnities			-410
99.9 Total new obligations			-416

Personnel Summary

Identification code 28-0409-2-1-601	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment			-4

SUPPLEMENTAL SECURITY INCOME PROGRAM

For carrying out titles XI and XVI of the Social Security Act, section 401 of Public Law 92-603, section 212 of Public Law 93-66, as amended, and section 405 of Public Law 95-216, including payment to the Social Security trust funds for administrative expenses incurred pursuant to section 201(g)(1) of the Social Security Act, **[\$21,277,412,000] \$24,034,618,000**, to remain available until expended: *Provided*, That any portion of the funds provided to a State in the current fiscal year and not obligated by the State during that year shall be returned to the Treasury.

[In addition, \$200,000,000, to remain available until September 30, 2003, for payment to the Social Security trust funds for administrative expenses for continuing disability reviews as authorized by section 103 of Public Law 104-121 and section 10203 of Public Law 105-33. The term "continuing disability reviews" means reviews and redeterminations as defined under section 201(g)(1)(A) of the Social Security Act, as amended.]

For making, after June 15 of the current fiscal year, benefit payments to individuals under title XVI of the Social Security Act, for unanticipated costs incurred for the current fiscal year, such sums as may be necessary.

For making benefit payments under title XVI of the Social Security Act for the first quarter of fiscal year **[2003, \$10,790,000,000] 2004, \$11,080,000,000**, to remain available until expended. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 28-0406-0-1-609	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	30,453	34,340	35,452
09.01 State supplementation payments	3,160	3,785	3,930
09.09 Reimbursable program	3,160	3,785	3,930
10.00 Total new obligations	33,613	38,125	39,382
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	53	2,891	640
22.00 New budget authority (gross)	36,423	35,873	38,761
22.10 Resources available from recoveries of prior year obligations	28		
23.90 Total budgetary resources available for obligation	36,504	38,764	39,401
23.95 Total new obligations	-33,613	-38,125	-39,382
24.40 Unobligated balance carried forward, end of year	2,891	640	19
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	2,702	2,986	2,976
Mandatory:			
60.00 Appropriation	20,671	18,620	21,058
65.00 Advance appropriation	9,890	10,470	10,790
Offsetting collections (cash):			
69.00 Offsetting collections (cash)	2,844	3,469	3,602
69.00 Offsetting collections (cash)	316	328	335
69.90 Spending authority from offsetting collections (total mandatory)	3,160	3,797	3,937

70.00	Total new budget authority (gross)	36,423	35,873	38,761
Change in obligated balances:				
72.40	Obligated balance, start of year	271	684	758
73.10	Total new obligations	33,613	38,125	39,382
73.20	Total outlays (gross)	-33,172	-38,050	-39,454
73.45	Recoveries of prior year obligations	-28		
74.40	Obligated balance, end of year	684	758	686
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	2,221	2,580	2,679
86.93	Outlays from discretionary balances	310	351	369
86.97	Outlays from new mandatory authority	30,641	32,585	35,438
86.98	Outlays from mandatory balances		2,534	968
87.00	Total outlays (gross)	33,172	38,050	39,454
Offsets:				
Against gross budget authority and outlays:				
88.40	Offsetting collections (cash) from: Non-Federal sources	-3,160	-3,797	-3,937
Net budget authority and outlays:				
89.00	Budget authority	33,263	32,076	34,824
90.00	Outlays	30,012	34,253	35,517

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)				
		2001 actual	2002 est.	2003 est.
Net budget authority and outlays:				
89.00	Budget authority	33,143	31,947	34,696
90.00	Outlays	29,892	34,124	35,389

Title XVI of the Social Security Act established a supplemental security income (SSI) program to provide monthly cash benefits as a federally guaranteed minimum income for the needy aged, blind, and disabled.

Object Classification (in millions of dollars)				
Identification code 28-0406-0-1-609		2001 actual	2002 est.	2003 est.
Direct obligations:				
25.3	Other purchases of goods and services from Government accounts	2,679	2,956	2,953
41.0	Grants, subsidies, and contributions	27,774	31,384	32,499
99.0	Direct obligations	30,453	34,340	35,452
99.0	Reimbursable obligations	3,160	3,785	3,930
99.9	Total new obligations	33,613	38,125	39,382

STATE SUPPLEMENTAL FEES

Unavailable Collections (in millions of dollars)				
Identification code 28-5419-0-2-609		2001 actual	2002 est.	2003 est.
01.99	Balance, start of year	5	8	14
Receipts:				
02.20	State supplemental fees, SSI	94	106	111
04.00	Total: Balances and collections	99	114	125
Appropriations:				
05.00	State supplemental fees	-91	-100	-112
07.99	Balance, end of year	8	14	13

Program and Financing (in millions of dollars)				
Identification code 28-5419-0-2-609		2001 actual	2002 est.	2003 est.
Obligations by program activity:				
10.00	Total new obligations (object class 25.3)	91	100	112
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	91	100	112
23.95	Total new obligations	-91	-100	-112

New budget authority (gross), detail:				
Discretionary:				
40.20	Appropriation (special fund)	91	100	112
Change in obligated balances:				
73.10	Total new obligations	91	100	112
73.20	Total outlays (gross)	-91	-100	-112
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	91	100	112
Net budget authority and outlays:				
89.00	Budget authority	91	100	112
90.00	Outlays	91	100	112

The Social Security Administration collects a fee from States for costs related to administering SSI State supplementation payments on behalf of States.

SPECIAL BENEFITS FOR CERTAIN WORLD WAR II VETERANS

Program and Financing (in millions of dollars)

Identification code 28-0401-0-1-701		2001 actual	2002 est.	2003 est.
Obligations by program activity:				
00.01	Direct Program Activity	8	11	11
09.01	State Supplement Payments	1	5	4
10.00	Total new obligations	9	16	15
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	9	16	15
23.95	Total new obligations	-9	-16	-15
New budget authority (gross), detail:				
Discretionary:				
40.00	(indefinite)	1	2	2
Mandatory:				
60.00	(indefinite)	7	9	9
69.00	Offsetting collections (cash)	1	5	4
70.00	Total new budget authority (gross)	9	16	15
Change in obligated balances:				
73.10	Total new obligations	9	16	15
73.20	Total outlays (gross)	-9	-16	-15
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	1	2	2
86.97	Outlays from new mandatory authority	8	14	13
87.00	Total outlays (gross)	9	16	15
Offsets:				
Against gross budget authority and outlays:				
88.40	Offsetting collections (cash) from: Non-Federal sources	-1	-5	-4
Net budget authority and outlays:				
89.00	Budget authority	8	11	11
90.00	Outlays	6	11	11

Public Law 106-169 established a benefit program for certain individuals who are at least 65 years old, were in the United States military forces, including veterans of the Filipino Army and Filipino Scouts, during World War II, and who are currently eligible for supplemental security income. To receive this benefit these individuals must reside outside the United States.

Object Classification (in millions of dollars)				
Identification code 28-0401-0-1-701		2001 actual	2002 est.	2003 est.
Direct obligations:				
25.2	Other services	1	2	2
42.0	Insurance claims and indemnities	7	9	9
99.0	Direct obligations	8	11	11

General and special funds—Continued

SPECIAL BENEFITS FOR CERTAIN WORLD WAR II VETERANS—Continued

Object Classification (in millions of dollars)—Continued

Identification code 28-0401-0-1-701	2001 actual	2002 est.	2003 est.
99.0 Reimbursable obligations	1	5	4
99.9 Total new obligations	9	16	15

**OFFICE OF INSPECTOR GENERAL
(INCLUDING TRANSFER OF FUNDS)**

For expenses necessary for the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, **[\$19,000,000] \$21,971,000**, together with not to exceed **[\$56,000,000] \$64,865,000**, to be transferred and expended as authorized by section 201(g)(1) of the Social Security Act from the Federal Old-Age and Survivors Insurance Trust Fund and the Federal Disability Insurance Trust Fund.

In addition, an amount not to exceed 3 percent of the total provided in this appropriation may be transferred from the "Limitation on Administrative Expenses", Social Security Administration, to be merged with this account, to be available for the time and purposes for which this account is available: *Provided*, That notice of such transfers shall be transmitted promptly to the Committees on Appropriations of the House and Senate. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 28-0400-0-1-651	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	70	76	84
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	70	76	84
23.95 Total new obligations	-70	-76	-84
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	18	20	22
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	42	56	62
68.10 Change in uncollected customer payments from Federal sources (unexpired)	10		
68.90 Spending authority from offsetting collections (total discretionary)	52	56	62
70.00 Total new budget authority (gross)	70	76	84
Change in obligated balances:			
72.40 Obligated balance, start of year	-2	-7	-8
73.10 Total new obligations	70	76	84
73.20 Total outlays (gross)	-70	-77	-84
73.40 Adjustments in expired accounts (net)	1		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-10		
74.10 Change in uncollected customer payments from Federal sources (expired)	5		
74.40 Obligated balance, end of year	-7	-8	-8
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	61	68	76
86.93 Outlays from discretionary balances	9	9	8
87.00 Total outlays (gross)	70	77	84
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-48	-56	-62
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-10		
88.96 Portion of offsetting collections (cash) credited to expired accounts	6		

Net budget authority and outlays:			
89.00 Budget authority	18	20	22
90.00 Outlays	22	21	22

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	17	19	21
90.00 Outlays	21	20	21

The Office of the Inspector General provides agency-wide audit and investigative functions to help find and correct operational and administrative deficiencies which create conditions for existing or potential instances of fraud, waste, and mismanagement.

Object Classification (in millions of dollars)

Identification code 28-0400-0-1-651	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	41	48	51
12.1 Civilian personnel benefits	13	15	17
21.0 Travel and transportation of persons	3	4	4
23.1 Rental payments to GSA	3	3	3
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services		1	1
25.2 Other services	4		1
25.3 Other purchases of goods and services from Government accounts	2	1	2
26.0 Supplies and materials		1	1
31.0 Equipment	1	1	2
32.0 Land and structures		1	1
99.0 Direct obligations	68	76	84
99.5 Below reporting threshold	2		
99.9 Total new obligations	70	76	84

Personnel Summary

Identification code 28-0400-0-1-651	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	559	584	597

Trust Funds

FEDERAL OLD-AGE AND SURVIVORS INSURANCE TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 20-8006-0-7-651	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	859,907	999,376	1,138,777
Receipts:			
02.00 Transfers from general fund (FICA taxes)	413,845	420,255	442,612
02.01 Transfers from general fund (SECA taxes)	22,872	23,726	25,385
02.02 Refunds	-2,660	-1,850	-1,812
02.20 Treasury offset program	23	18	18
02.21 Attorney fees	1	1	1
02.40 Federal employer contributions (FICA taxes)	6,754	7,547	8,176
02.41 Interest received by trust funds	61,239	68,112	74,325
02.42 Federal payments to the FOASI trust fund	11,790	12,533	13,307
02.43 Payments for military service credits	7	414	
Offsetting collections:			
02.80 Current law, offsetting collections	26	41	44
02.80 Legislative proposal not subject to PAYGO, offsetting collections			4
02.99 Total receipts and collections	513,897	530,797	562,060
04.00 Total: Balances and collections	1,373,804	1,530,173	1,700,837
Appropriations:			
Appropriations:			
05.00 Current law	-374,428	-391,396	-405,617
05.00 Legislative proposal not subject to PAYGO			-4

05.99	Total appropriations	-374,428	-391,396	-405,621
07.99	Balance, end of year	999,376	1,138,777	1,295,216

Program and Financing (in millions of dollars)

Identification code 20-8006-0-7-651	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Direct program	374,484	391,442	405,573
09.01	Reimbursable program	28	42	44
10.00	Total new obligations	374,512	391,484	405,617

Budgetary resources available for obligation:				
22.00	New budget authority (gross)	374,512	391,484	405,617
23.95	Total new obligations	-374,512	-391,484	-405,617

New budget authority (gross), detail:				
Discretionary:				
40.26	Appropriation (trust fund)	1,980	1,990	2,213
Mandatory:				
60.26	Appropriation (trust fund)	511,967	528,852	559,892
60.45	Portion precluded from obligation	-139,463	-139,400	-156,532
62.50	Appropriation (total mandatory)	372,504	389,452	403,360
69.00	Offsetting collections (cash)	28	42	44
70.00	Total new budget authority (gross)	374,512	391,484	405,617

Change in obligated balances:				
72.40	Obligated balance, start of year	33,301	34,660	35,673
73.10	Total new obligations	374,512	391,484	405,617
73.20	Total outlays (gross)	-373,153	-390,471	-404,549
74.40	Obligated balance, end of year	34,660	35,673	36,741

Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	1,731	1,830	2,028
86.93	Outlays from discretionary balances	219	219	268
86.97	Outlays from new mandatory authority	371,203	388,422	402,253
87.00	Total outlays (gross)	373,153	390,471	404,549

Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.40	Non-Federal sources	-13	-18	-19
88.45	Offsetting governmental collections (from non-Federal sources)	-15	-24	-25
88.90	Total, offsetting collections (cash)	-28	-42	-44

Net budget authority and outlays:				
89.00	Budget authority	374,484	391,442	405,573
90.00	Outlays	373,125	390,429	404,505

Memorandum (non-add) entries:				
92.01	Total investments, start of year: Federal securities: Par value	893,519	1,034,114	1,174,450
92.02	Total investments, end of year: Federal securities: Par value	1,034,114	1,174,450	1,331,957

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	374,402	391,355	405,480
90.00	Outlays	373,043	390,342	404,412

Summary of Budget Authority and Outlays

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Enacted/requested:			
Budget Authority	374,484	391,442	405,573
Outlays	373,125	390,429	404,505
Legislative proposal, not subject to PAYGO:			
Budget Authority			
Outlays			
Total:			
Budget Authority	374,484	391,442	405,573
Outlays	373,125	390,429	404,505

The old-age and survivors insurance (OASI) program provides monthly cash benefits to retired workers and their dependents and to survivors of deceased workers.

Status of Funds (in millions of dollars)

Identification code 20-8006-0-7-651	2001 actual	2002 est.	2003 est.	
Unexpended balance, start of year:				
0100	Treasury balance	-311	-78	
0101	U.S. Securities: Par value	893,519	1,034,114	1,174,450
0199	Total balance, start of year	893,208	1,034,036	1,174,450
Cash income during the year:				
Current law:				
Receipts:				
1200	FICA taxes	413,845	420,255	442,612
1201	SECA Taxes	22,872	23,726	25,385
1202	Refunds	-2,660	-1,850	-1,812
Offsetting receipts (proprietary):				
1220	Treasury offset program	23	18	18
1221	Attorney fees	1	1	1
Offsetting receipts (intragovernmental):				
1240	Federal employer contributions (FICA taxes)	6,754	7,547	8,176
1241	Interest received by trust fund	61,239	68,112	74,325
Offsetting receipts (intragovernmental):				
1242	Individual income taxes on OASI benefits	11,776	12,516	13,290
1242	Pension reform	4	6	6
1242	Credit for unnegotiated OASI checks	9	11	11
1243	Federal payments for military service credits	7	414	
Offsetting collections:				
1280	Offsetting collections	26	41	44
1299	Income under present law	513,897	530,797	562,056
Proposed legislation:				
Offsetting collections:				
2280	Offsetting collections, legislative proposal not subject to PAYGO			4
3299	Total cash income	513,897	530,797	562,060
Cash outgo during year:				
Current law:				
Cash outgo during the year (-):				
4500	Benefit payments	-367,702	-384,535	-398,398
4500	Payments to Railroad Retirement Board	-3,273	-3,675	-3,641
4500	Administrative expenses (subject to limitation)	-1,869	-1,961	-2,297
4500	Administrative expenses (Department of Treasury)	-225	-212	-213
4599	Outgo under current law	-373,069	-390,383	-404,549
Proposed legislation:				
5500	Legislative proposal not subject to PAYGO			-4
6599	Total cash outgo (-)	-373,069	-390,383	-404,553
Unexpended balance, end of year:				
8700	Uninvested balance	-78		
8701	Federal securities: Par value	1,034,114	1,174,450	1,331,957
8799	Total balance, end of year	1,034,036	1,174,450	1,331,957

Object Classification (in millions of dollars)

Identification code 20-8006-0-7-651	2001 actual	2002 est.	2003 est.	
Direct obligations:				
Other purchases of goods and services from Government accounts:				
25.3	Office of the Inspector General	34	37	41
25.3	Portion of the limitation on administrative expenses	1,879	2,028	2,172
42.0	Retirement and survivors insurance benefits	369,073	385,490	399,506
Undistributed:				
92.0	Reimbursement for administrative expenses of Department of the Treasury	225	212	213
92.0	Payment to railroad retirement account (net settlement) (45 U.S.C. 228g)	3,273	3,675	3,641
99.0	Direct obligations	374,484	391,442	405,573
99.0	Reimbursable obligations	28	42	44
99.9	Total new obligations	374,512	391,484	405,617

FEDERAL OLD-AGE AND SURVIVORS INSURANCE TRUST FUND
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)			
Identification code 20-8006-2-7-651	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Reimbursable program			4
10.00 Total new obligations (object class 25.3)			4
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			4
23.95 Total new obligations			-4
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)			4
Change in obligated balances:			
73.10 Total new obligations			4
73.20 Total outlays (gross)			-4
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority			4
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources			-4
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

This schedule reflects the effect of the Administration's proposal to transfer the Special Benefits for Disabled Coal Miners program to the Department of Labor effective October 1, 2002.

FEDERAL DISABILITY INSURANCE TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 20-8007-0-7-651	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	106,120	122,236	138,848
Receipts:			
02.00 Transfers from general fund (FICA taxes)	70,240	71,361	75,161
02.01 Transfers from general fund (SECA taxes)	3,669	4,020	4,305
02.02 Refunds	-447	-314	-308
02.20 Treasury offset program	32	26	26
02.22 Attorney fees	27	34	36
02.40 Federal employer contributions (FICA taxes)	1,147	1,282	1,388
02.41 Interest received by trust funds	7,572	8,710	9,524
02.42 Federal payments to the FDI trust fund	738	945	975
02.43 Payments for military service credits	2		
02.99 Total receipts and collections	82,980	86,064	91,107
04.00 Total: Balances and collections	189,100	208,300	229,955
Appropriations:			
05.00 Federal disability insurance trust fund	-66,879	-69,452	-71,424
05.99 Total appropriations	-66,879	-69,452	-71,424
06.10 Unobligated balance returned to receipts	15		
07.99 Balance, end of year	122,236	138,848	158,531

Program and Financing (in millions of dollars)

Identification code 20-8007-0-7-651	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	66,952	69,525	71,424
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	66,952	69,525	71,424
22.10 Resources available from recoveries of prior year obligations	15		

23.90 Total budgetary resources available for obligation	66,967	69,525	71,424
23.95 Total new obligations	-66,952	-69,525	-71,424
23.98 Unobligated balance expiring or withdrawn	-15		

New budget authority (gross), detail:

Discretionary:			
40.26 Appropriation (trust fund)	1,605	1,679	1,792
Mandatory:			
60.26 Appropriation (trust fund)	81,448	84,458	89,391
60.45 Portion precluded from obligation	-16,101	-16,612	-19,759
62.50 Appropriation (total mandatory)	65,347	67,846	69,632
70.00 Total new budget authority (gross)	66,952	69,525	71,424

Change in obligated balances:

72.40 Obligated balance, start of year	7,524	13,557	14,205
73.10 Total new obligations	66,952	69,525	71,424
73.20 Total outlays (gross)	-60,904	-68,877	-71,479
73.45 Recoveries of prior year obligations	-15		
74.40 Obligated balance, end of year	13,557	14,205	14,150

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	1,557	1,616	1,620
86.93 Outlays from discretionary balances	238	218	220
86.97 Outlays from new mandatory authority	59,109	67,043	69,639
87.00 Total outlays (gross)	60,904	68,877	71,479

Net budget authority and outlays:

89.00 Budget authority	66,952	69,525	71,424
90.00 Outlays	60,904	68,877	71,479

Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities:			
Par value		135,842	153,053
92.02 Total investments, end of year: Federal securities:			
Par value	135,842	153,053	172,681

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	66,879	69,452	71,349
90.00 Outlays	60,831	68,804	71,404

The disability insurance (DI) program provides monthly cash benefits for disabled workers under age 65 and their dependents.

Status of Funds (in millions of dollars)

Identification code 20-8007-0-7-651	2001 actual	2002 est.	2003 est.
Unexpended balance, start of year:			
0100 Treasury balance	-64	-49	
0101 U.S. Securities: Par value	113,707	135,842	153,053
0199 Total balance, start of year	113,644	135,793	153,053
Cash income during the year:			
Current law:			
Receipts:			
1200 FICA taxes	70,240	71,361	75,161
1201 SECA Taxes	3,669	4,020	4,305
1202 Refunds	-447	-314	-308
Offsetting receipts (proprietary):			
1220 Treasury offset program	32	26	26
1222 Attorney user fees	27	34	36
Offsetting receipts (intragovernmental):			
1240 Federal employer contributions	1,147	1,282	1,388
1241 Interest received by trust fund	7,572	8,710	9,524
Offsetting receipts (intragovernmental):			
1242 Individual income taxes on DI benefits	736	942	972
1242 Credit for unnegotiated DI checks	2	3	3
1243 Federal payments for military service credits	2		
1299 Income under present law	82,980	86,064	91,107
Cash outgo during year:			
Current law:			
Cash outgo during the year (-):			
4500 Benefit payments	-58,159	-66,728	-69,285
4500 Payments to Railroad Retirement Board	-10	-188	-213
4500 Administrative expenses (subject to limitation)	-1,723	-1,761	-1,840
4500 Administrative expenses (Department of Treasury)	-42	-41	-41

4500	Beneficiary services	-61	-75	-85
4500	Demonstration projects		-11	-15
4500	Pre-1957 military service credits	-836		
4599	Outgo under current law	-60,831	-68,804	-71,479
Unexpended balance, end of year:				
8700	Uninvested balance	-49		
8701	Federal securities: Par value	135,842	153,053	172,681
8799	Total balance, end of year	135,793	153,053	172,681

Object Classification (in millions of dollars)

Identification code 20-8007-0-7-651	2001 actual	2002 est.	2003 est.
Other purchases of goods and services from Government accounts:			
25.3 Office of the Inspector General	20	22	24
25.3 Beneficiary services	61	75	85
25.3 Demonstration projects	4	14	16
25.3 Portion of the limitation on administrative expenses	1,644	1,719	1,768
42.0 Disability insurance benefits	64,335	67,466	69,277
44.0 Refunds—Pre-1957 military service credits	836		
Undistributed:			
92.0 Reimbursement for administrative expenses of Department of the Treasury	42	41	41
92.0 Payment to railroad retirement account (net settlement)	10	188	213
99.9 Total new obligations	66,952	69,525	71,424

LIMITATION ON ADMINISTRATIVE EXPENSES

For necessary expenses, including the hire of two passenger motor vehicles, and not to exceed **[\$35,000] \$15,000** for official reception and representation expenses, not more than **[\$7,035,000,000] \$8,170,753,000** may be expended, as authorized by section 201(g)(1) of the Social Security Act, from any one or all of the trust funds referred to therein: *Provided*, That not less than \$1,800,000 shall be for the Social Security Advisory Board: *Provided further*, That unobligated balances of funds provided under this paragraph at the end of fiscal year **[2002] 2003** not needed for fiscal year **[2002] 2003** shall remain available **[until expended] through fiscal year 2004** to invest in the Social Security Administration information technology and telecommunications hardware and software infrastructure, including related equipment and non-payroll administrative expenses associated solely with this information technology and telecommunications infrastructure: *Provided further*, That reimbursement to the trust funds under this heading for expenditures for official time for employees of the Social Security Administration pursuant to section 7131 of title 5, United States Code, and for facilities or support services for labor organizations pursuant to policies, regulations, or procedures referred to in section 7135(b) of such title shall be made by the Secretary of the Treasury, with interest, from amounts in the general fund not otherwise appropriated, as soon as possible after such expenditures are made.

[From funds provided under the first paragraph, not less than \$200,000,000 shall be available for conducting continuing disability reviews.]

[In addition to funding already available under this heading, and subject to the same terms and conditions, \$433,000,000, to remain available until September 30, 2003, for continuing disability reviews as authorized by section 103 of Public Law 104-121 and section 10203 of Public Law 105-33. The term "continuing disability reviews" means reviews and redeterminations as defined under section 201(g)(1)(A) of the Social Security Act, as amended.]

In addition, **[\$100,000,000] \$112,000,000** to be derived from administration fees in excess of \$5.00 per supplementary payment collected pursuant to section 1616(d) of the Social Security Act or section 212(b)(3) of Public Law 93-66, which shall remain available until expended. To the extent that the amounts collected pursuant to such section 1616(d) or 212(b)(3) in fiscal year **[2002] 2003** exceed **[\$100,000,000] \$112,000,000**, the amounts shall be available in fiscal year **[2003] 2004** only to the extent provided in advance in appropriations Acts.

From funds previously appropriated for this purpose, any unobligated balances at the end of fiscal year **[2001] 2002** shall be available to continue Federal-State partnerships which will evaluate means to promote Medicare buy-in programs targeted to elderly and disabled individuals under titles XVIII and XIX of the Social Security

Act. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Limitation on Administrative Expenses", \$7,500,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (Emergency Supplemental Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 28-8704-0-7-651	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Old-Age and Survivors Insurance (OASI) trust fund	1,879	2,028	2,172
00.02 Disability Insurance (DI) trust fund	1,437	1,453	1,768
00.03 Supplemental Security Income (SSI) appropriation	2,469	2,756	2,953
00.04 Hospital Insurance (HI) trust fund	603	672	649
00.05 Supplementary Medical Insurance (SMI) trust fund	582	591	627
00.06 Special benefits for certain World War II veterans	1	2	2
00.07 Fees for administration of State supplemental SSI payments	91	100	112
Adjustments to discretionary caps:			
00.08 Continuing disability reviews, DI	207	266	
00.09 Continuing disability reviews, SSI	210	200	
01.00 Subtotal, LAE Direct Program	7,479	8,068	8,283
09.01 Reimbursable program	28	42	44
10.00 Total new obligations	7,507	8,110	8,327

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	134	153	
22.00 New budget authority (gross)	7,476	7,957	8,327
22.10 Resources available from recoveries of prior year obligations, and transfers from prior year unobligated balances	105		
23.90 Total budgetary resources available for obligation	7,715	8,110	8,327
23.95 Total new obligations	-7,507	-8,110	-8,327
23.98 Unobligated balance expiring or withdrawn	-55		
24.40 Unobligated balance carried forward, end of year	153		

New budget authority (gross), detail:

Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	7,392	8,085	8,484
68.10 Change in uncollected customer payments from Federal sources (unexpired)	58	-169	-200
68.90 Spending authority from offsetting collections (total discretionary)	7,450	7,916	8,284
Mandatory:			
69.00 Offsetting collections (cash)	20	41	43
69.10 Change in uncollected customer payments from Federal sources	6		
69.90 Spending authority from offsetting collections (total mandatory)	26	41	43
70.00 Total new budget authority (gross)	7,476	7,957	8,327

Change in obligated balances:

72.40 Obligated balance, start of year	1,329	1,376	1,529
73.10 Total new obligations	7,507	8,110	8,327
73.20 Total outlays (gross)	-7,406	-8,126	-8,527
73.40 Adjustments in expired accounts (net)	236		
73.45 Recoveries of prior year obligations	-105		
74.00 Change in uncollected customer payments from Federal sources (unexpired accounts)	-64	169	200
74.10 Change in uncollected customer payments from Federal sources (expired accounts)	-121		
74.40 Obligated balance, end of year	1,376	1,529	1,529

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	6,510	7,194	7,502
86.93 Outlays from discretionary balances	876	891	982
86.97 Outlays from new mandatory authority	20	41	43
87.00 Total outlays (gross)	7,406	8,126	8,527

Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 OASI [20-8006]	-1,916	-2,011	-2,255

LIMITATION ON ADMINISTRATIVE EXPENSES—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 28-8704-0-7-651	2001 actual	2002 est.	2003 est.
88.00 DI [20-8007]	-1,773	-1,812	-1,816
88.00 SSI [20-0406]	-2,513	-2,896	-3,022
88.00 HI [20-8005]	-580	-672	-649
88.00 SMI [20-8004]	-516	-591	-627
88.00 Special Benefits for Certain WW II Vets [28-0401]	-1	-2	-2
88.00 Fees for administration of state supplemental SSI payment	-91	-100	-112
88.00 Other Federal Sources	-7	-18	-19
88.40 Non-Federal Sources	-15	-24	-25
88.90 Total, offsetting collections (cash)	-7,412	-8,126	-8,527
88.95 Against gross budget authority only:			
Change in uncollected customer payments from Federal sources (unexpired)	-64	169	200

Net budget authority and outlays:

89.00 Budget authority			
90.00 Outlays	-6		

Note: 2001 data may not match actual accounting data; 2001 data are presented on a comparable basis to the adjusted structure in place for 2002 and 2003.

Summary of Budget Authority and Outlays

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Enacted/requested:			
Budget Authority			
Outlays	-6		
Legislative proposal, not subject to PAYGO:			
Budget Authority			
Outlays			
Total:			
Budget Authority			
Outlays	-6		

The Limitation on administrative expenses account provides resources for the Social Security Administration (SSA) to administer the old-age, survivors, and disability insurance programs, the supplemental security income program, the special benefits for certain World War II veterans program and certain health insurance functions for the aged and disabled.

Object Classification (in millions of dollars)

Identification code 28-8704-0-7-651	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
Full-time permanent	2,978	3,298	3,425
Other than full-time permanent	112	137	142
Other personnel compensation	183	99	112
Special personal services payments	2	2	2
Total personnel compensation	3,275	3,536	3,681
Civilian personnel benefits	1,029	1,133	1,210
Benefits for former personnel	3	3	3
Travel and transportation of persons	55	57	59
Transportation of things	7	7	8
Rental payments to GSA	373	391	407
Rental payments to others	2	2	2
Communications, utilities, and miscellaneous charges	306	296	290
Printing and reproduction	31	41	45
Advisory and assistance services	11	11	11
Other services	1,657	1,793	1,833
Other purchases of goods and services from Government accounts	98	104	115
Operation and maintenance of facilities	203	189	203
Research and development contracts	2	2	2
Operation and maintenance of equipment	149	155	112
Supplies and materials	42	42	45
Equipment	171	241	190
Land and structures	20	22	24
Grants, subsidies, and contributions	24	25	27
Insurance claims and indemnities	16	17	17
Interest and dividends	5	3	1
Below Reporting Threshold		-2	-2

99.0 Direct obligations	7,479	8,068	8,283
99.0 Reimbursable obligations	28	42	44
99.9 Total new obligations	7,507	8,110	8,327

Personnel Summary

Identification code 28-8704-0-7-651	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	61,743	62,580	62,567
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	443	296	296

LIMITATION ON ADMINISTRATIVE EXPENSES
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 28-8704-2-7-651	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Reimbursable program			4
10.00 Total new obligations			4

Budgetary resources available for obligation:

22.00 New budget authority (gross)			4
23.95 Total new obligations			-4

New budget authority (gross), detail:

Mandatory:			
69.00 Offsetting collections (cash)			4

Change in obligated balances:

73.10 Total new obligations			4
73.20 Total outlays (gross)			-4

Outlays (gross), detail:

86.97 Outlays from new mandatory authority			4
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Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Department of Labor [Black Lung]			-4

Net budget authority and outlays:

89.00 Budget authority			
90.00 Outlays			

This schedule reflects the effect of the Administration's proposal to transfer the Special Benefits for Disabled Coal Miners program to the Department of Labor effective October 1, 2002.

Object Classification (in millions of dollars)

Identification code 28-8704-2-7-651	2001 actual	2002 est.	2003 est.
99.0 Reimbursable obligations: Reimbursable obligations ...			4
99.9 Total new obligations			4

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Offsetting receipts from the public:			
75-241800 Receipts from SSI administrative fee: Enacted/requested	152	153	155
75-309600 Recovery of beneficiary overpayments from SSI program: Enacted/requested	1,454	1,550	1,644
General Fund Offsetting receipts from the public	1,606	1,703	1,799

Intragovernmental payments:			
20-310520	Quinquennial adjustment for military service credits, Federal disability insurance: Enacted/requested	836
General Fund Intragovernmental payments		836

As directed by Section 104 of P.L. 103-296, the Social Security Independence and Program Improvements Act of 1994, the Commissioner of Social Security shall prepare an annual budget for the Social Security Administration (SSA), which

shall be submitted by the President to the Congress without revision, together with the President's annual budget for SSA.

The Commissioner is committed to assessing the level of service that SSA should provide and then determining how to meet these service goals. Pending completion of this service delivery assessment, the Commissioner's annual budget for 2003 includes a total administrative budget of \$8,080 million in discretionary resources. This represents \$7,997 million for SSA administrative expenses and \$83 million for the Office of the Inspector General.

OTHER INDEPENDENT AGENCIES

ADVISORY COUNCIL ON HISTORIC PRESERVATION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Advisory Council on Historic Preservation (Public Law 89-665, as amended), **[\$3,400,000] \$3,773,322: Provided,** That none of these funds shall be available for compensation of level V of the Executive Schedule or higher positions. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 95-2300-0-1-303	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	3	3	4
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	3	3	4
23.95 Total new obligations	-3	-3	-4
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	3	3	4
Change in obligated balances:			
73.10 Total new obligations	3	3	4
73.20 Total outlays (gross)	-3	-3	-4
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	3	3	4
Net budget authority and outlays:			
89.00 Budget authority	3	3	4
90.00 Outlays	3	3	4

The Council provides independent advice to the President and the Congress relating to the national historic preservation program and manages the Federal process for protecting historic properties.

Object Classification (in millions of dollars)

Identification code 95-2300-0-1-303	2001 actual	2002 est.	2003 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	2	2	3
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	3	3	4

Personnel Summary

Identification code 95-2300-0-1-303	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	35	34	34

APPALACHIAN REGIONAL COMMISSION

Federal Funds

General and special funds:

APPALACHIAN REGIONAL COMMISSION

For expenses necessary to carry out the programs authorized by the Appalachian Regional Development Act of 1965, as amended,

notwithstanding section 405 of said Act, and, for necessary expenses for the Federal Co-Chairman and the alternate on the Appalachian Regional Commission, for payment of the Federal share of the administrative expenses of the Commission, including services as authorized by 5 U.S.C. 3109, and hire of passenger motor vehicles, **[\$71,290,000] \$66,400,287,** to remain available until expended. (*Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 46-0200-0-1-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
Appalachian regional development programs:			
01.01 Appalachian development highway system	2	2
01.02 Area development program	71	72	76
01.03 Local development district and technical assistance program	7	6	6
01.91 Total Appalachian regional development programs	80	80	82
Salaries and expenses:			
02.01 Federal Co-chairman and staff	1	1	1
02.02 Administrative expenses	3	3	3
02.91 Total salaries and expenses	4	4	4
10.00 Total new obligations	84	84	86
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	26	25	16
22.00 New budget authority (gross)	77	71	66
22.10 Resources available from recoveries of prior year obligations	4	4	4
23.90 Total budgetary resources available for obligation	107	100	86
23.95 Total new obligations	-84	-84	-86
24.40 Unobligated balance carried forward, end of year	25	16
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	66	71	66
40.15 Appropriation (emergency)	11
43.00 Appropriation (total discretionary)	77	71	66
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1
70.00 Total new budget authority (gross)	78	71	66
Change in obligated balances:			
72.40 Obligated balance, start of year	220	205	176
73.10 Total new obligations	84	84	86
73.20 Total outlays (gross)	-94	-109	-96
73.45 Recoveries of prior year obligations	-4	-4	-4
74.40 Obligated balance, end of year	205	176	162
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	16	14	22
86.93 Outlays from discretionary balances	79	95	72
87.00 Total outlays (gross)	94	109	96
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-1
Net budget authority and outlays:			
89.00 Budget authority	77	71	66
90.00 Outlays	93	109	96

This appropriation supports a unique Federal-State partnership to invest in the basic building blocks of sustainable

General and special funds—Continued

APPALACHIAN REGIONAL COMMISSION—Continued

economic development in the 406 counties which comprise the Appalachian Region. Investments made throughout this 13-State Region include the building of a 3,025-mile economic development highway system and an area development program which funds economic and community development projects at the local level with a special focus on distressed counties.

Appalachian development highway system.—The Appalachian development highway system (ADHS), including local access roads, is designed to improve the accessibility of Appalachia; to reduce highway transportation costs to and within Appalachia; and to provide the highway transportation facilities necessary to accelerate the overall development of Appalachia. Studies have found the ADHS to be cost-beneficial, generating significant economic impacts.

Since FY 1999, funding for the ADHS has been provided predominantly from the Highway Trust Fund. The Transportation Equity Act for the 21st Century (TEA21) authorized \$2.25 billion for the construction of the ADHS and local access road projects under Section 201 of the Appalachian Regional Development Act. TEA21 authorizes \$450 million annually to be appropriated out of the Highway Trust Fund for each of fiscal years 1999 through 2003. The ARC exercises programmatic and administrative control over these funds as it did when a direct appropriation was received.

The cumulative status of the system of roads follows:

	2001 actual	2002 est.*	2003 est.*
Development systems miles (Prefinanced miles included) (cumulative):			
Miles placed under construction	2,526	2,626	2,706
Miles completed	2,403	2,433	2,453
Access Roads (cumulative):			
Miles approved	903	908	913
Miles completed	876	880	886
Funds committed (cumulative-in millions of dollars):			
Development highway	6,273	6,923	7,373
Access roads	232	234	236
Administration and other	49	51	53
Totals	6,554	7,208	7,662
Prefinanced by States (\$ millions)	25	25	25
Annual obligations (\$ millions)	543	504	450

*Includes TEA21 funds.

Area development program.—Area development funds are allocated by formula to the 13 member-States for projects that promote sustainable regional development, with assistance targeted at the most distressed and underdeveloped counties.

Since 1999 roughly half of all ARC program funding has supported projects benefiting such counties, which represent about one quarter of the region's total and contain roughly 10% of the region's population.

Area development funds projects which advance the goals and objectives of ARC's strategic plan. This strategic plan commits ARC to achieving five goals. These goals are (1) education and skills training, (2) physical infrastructure, (3) leadership and civic capacity, (4) diversified and entrepreneurial local economies, and (5) improved health care. The Commission has taken aggressive steps to ensure that the area development program will make progress on accomplishing these goals, to better target resources to those communities with the greatest needs, and to increase flexibility in project submission.

Each Governor submits for Commission approval an annual strategy statement detailing the areas of emphasis within the Region. All project applications submitted by the Governors address these priorities and describe the goals, objec-

tives, and projected inputs, outputs, and outcomes. In 2003, the Commission will focus on both its system of reporting on the results of projects it funds and standardization of results measurement with those of other agencies that are engaged in similar activities.

In addition to the regular allocation of area development funds, ARC also identifies regional problems and opportunities and sets aside resources for special initiatives. The ongoing entrepreneurship initiative seeks to ensure that Appalachian communities have the commitment and resources to help entrepreneurs start and expand local businesses. To date, this initiative has funded 237 projects, providing \$20 million in ARC funds and leveraging over \$19 million more from other sources. Ninety-one projects that have been completed report the creation of 389 new businesses and the creation or retention of 1,283 jobs in the region. It is estimated that the 146 ongoing projects will create another 859 businesses and create or retain 2,726 jobs.

The budget provides \$56 million for area development with an approximate workload as follows:

	2001 actual	2002 est.	2003 est.
Area development projects	484	485	485

Local development districts and technical assistance programs.—Multi-county local development districts (LDDs) assist local governments throughout Appalachia in planning and working together on a regional basis. LDD funding from the ARC provides a cost-share with member governments, enabling local professional staff to help plan, initiate, and implement projects at the grass roots level. Technical assistance serves to strengthen the state and local governments, LDDs and non-profit organizations in the Region. TEA21 added seven new counties to the Region, for a total of 406. This increased the number of LDDs served from 69 to 71. The budget provides \$5 million for the LDDs and \$1 million for technical assistance, with the approximate approved workload as follows:

	2001 actual	2002 est.	2003 est.
Planning districts aided	71	71	71
Technical assistance projects	24	24	24

Salaries and expenses.—The Federal Co-Chairman represents the Federal Government on the Commission and leads in the coordination of programs serving the Appalachian Region across the Federal Government. Since 1989, the Office of the Federal Co-Chairman has included an Inspector General.

In this Federal-State partnership, the Federal Government contributes half of the expenses of a professional staff which works with the States and the Federal staff in operating the program. The other half of these non-Federal employee expenses are provided by member States. The budget provides a total of \$4 million for salaries and expenses.

Object Classification (in millions of dollars)

Identification code 46-0200-0-1-452	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
25.2 Other services	3	3	3
41.0 Grants, subsidies, and contributions	47	50	55
99.0 Direct obligations	51	54	59
Allocation Account:			
11.1 Personnel compensation: Full-time permanent	1	1	1
41.0 Grants, subsidies, and contributions	32	29	26
99.0 Allocation account	33	30	27
99.9 Total new obligations	84	84	86

Obligations are distributed as follows:

Appalachian Regional Commission	47	49	50
Department of Agriculture	17	9	11
Department of Commerce	5	4	4

Department of Education	1	1	1
Department of Health and Human Services	0	5	0
Department of Housing and Urban Development	8	9	9
Department of Transportation	2	2	0

Personnel Summary

Identification code 46-0200-0-1-452	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	11	11	11

Trust Funds

MISCELLANEOUS TRUST FUNDS

Unavailable Collections (in millions of dollars)

Identification code 46-9971-0-7-452	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 Fees for services, Appalachian Regional Commission	3	3	3
02.40 General fund contributions, Appalachian Regional Commission	3	3	3
02.99 Total receipts and collections	6	6	6
Appropriations:			
05.00 Miscellaneous trust funds	-6	-6	-6
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 46-9971-0-7-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	6	6	6
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	1
22.00 New budget authority (gross)	6	6	6
23.90 Total budgetary resources available for obligation	7	7	7
23.95 Total new obligations	-6	-6	-6
24.40 Unobligated balance carried forward, end of year	1	1	1
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)	6	6	6
Change in obligated balances:			
72.40 Obligated balance, start of year		1	1
73.10 Total new obligations	6	6	6
73.20 Total outlays (gross)	-6	-5	-5
74.40 Obligated balance, end of year	1	1	1
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	5	5	5
86.98 Outlays from mandatory balances	1	1	1
87.00 Total outlays (gross)	6	5	5
Net budget authority and outlays:			
89.00 Budget authority	6	6	6
90.00 Outlays	6	5	5

As authorized in the Appalachian Regional Development Act, the 13 Appalachian States share with the Federal Government the administrative expenses of the Appalachian Regional Commission.

Object Classification (in millions of dollars)

Identification code 46-9971-0-7-452	2001 actual	2002 est.	2003 est.
11.8 Personnel compensation: Special personal services payments	4	4	4
12.1 Civilian personnel benefits	1	1	1
23.2 Rental payments to others	1	1	1
99.9 Total new obligations	6	6	6

ARCHITECTURAL AND TRANSPORTATION BARRIERS COMPLIANCE BOARD

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For expenses necessary for the Architectural and Transportation Barriers Compliance Board, as authorized by section 502 of the Rehabilitation Act of 1973, as amended, **[\$5,015,000] \$5,340,000: Provided,** That, notwithstanding any other provision of law, there may be credited to this appropriation funds received for publications and training expenses. (*Department of Transportation and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 95-3200-0-1-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	5	5	5
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	5	5	5
23.95 Total new obligations	-5	-5	-5
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	5	5	5
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	1
73.10 Total new obligations	5	5	5
73.20 Total outlays (gross)	-5	-5	-5
74.40 Obligated balance, end of year	1	1	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	5	5	5
86.93 Outlays from discretionary balances		1	1
87.00 Total outlays (gross)	5	5	5
Net budget authority and outlays:			
89.00 Budget authority	5	5	5
90.00 Outlays	5	5	5

The Architectural and Transportation Barriers Compliance Board (Access Board) was established by section 502 of the Rehabilitation Act of 1973 to ensure compliance with the Architectural Barriers Act of 1968. Since that time, the Access Board has been the only independent Federal agency whose primary mission is accessibility for people with disabilities. The Access Board has responsibility under four major pieces of legislation: the Architectural Barriers Act of 1968 (ABA); the Americans with Disabilities Act of 1990 (ADA); the Telecommunications Act of 1996; and section 508 of the Rehabilitation Act.

The Access Board's first major responsibility was to enforce the ABA, ensuring accessibility in facilities built, altered, or leased using certain Federal funds. In 2003, the Board will continue to process, investigate, and resolve complaints of noncompliance. The Access Board has a proven record of voluntary, amicable resolution of access issues.

Under the Americans with Disabilities Act (ADA), the Access Board gained responsibility for two major public roles: to develop minimum accessibility guidelines for places of public accommodation, commercial facilities, State and local government facilities, and transportation vehicles and facilities, all of which are covered under the ADA; and to offer training and technical assistance to individuals and organizations throughout the country on removing architectural, transportation and communication barriers.

In pursuing these responsibilities under the ADA, the Board uses citizens' advisory committees, negotiated rule-making, and other communication channels to encourage the

General and special funds—Continued

SALARIES AND EXPENSES—Continued

public's full participation in the Federal rulemaking process for developing its ADA Accessibility Guidelines (ADAAG). In addition, the Board is working with the building industry toward the development of a single set of minimum accessibility guidelines, using ADAAG as the basis.

Section 508 of the Rehabilitation Act of 1973, as amended, requires that when federal departments or agencies develop, procure, maintain, or use electronic and information technology, they shall ensure that the technology is accessible to people with disabilities, unless an undue burden would be imposed on the department or agency. In the Rehabilitation Act Amendments of 1998 (Title IV of the Workforce Investment Act of 1998) the Board was given the responsibility to develop the standards that electronic and information technology must meet to be accessible to people with disabilities.

Consistent with the Government Performance and Results Act, (GPRA) the Access Board has adopted the following mission statement to guide its programs: *The Board is the catalyst for achieving an accessible America.* This statement recognizes that achieving an accessible America requires bringing together public and private sectors. The Board has established long range goals that aim to bring together public and private sectors for achieving an accessible America. The Board's long-range goals are to:

- Take a leadership role in the development of codes and standards for accessibility
- Work in partnership with Federal agencies and others to make the Federal government a model of compliance with accessibility standards
- Be known as the leading source of information about accessibility and disseminate that information to our customers in effective ways

In 2003, the Board will continue to work on its major goal of taking a leadership role in the development of codes and standards for accessibility. The board plans to complete work on guidelines for outdoor developed areas, and publish notices of proposed rulemaking on public rights-of-ways and passenger vessels. Following its second goal, the Board will continue work with other Federal agencies to identify and publicize best practices in compliance with the Architectural Barriers Act. In line with its third goal, the Board will be in position to make better use of its web pages to disseminate information to the public. Finally, the Board will continue to use its website to efficiently distribute information about compliance with section 508.

Object Classification (in millions of dollars)

Identification code 95-3200-0-1-751	2001 actual	2002 est.	2003 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	3	3	3
99.5 Below reporting threshold	2	2	2
99.9 Total new obligations	5	5	5

Personnel Summary

Identification code 95-3200-0-1-751	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	31	30	30

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION

Trust Funds

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION FUND

Unavailable Collections (in millions of dollars)

Identification code 95-8281-0-7-502	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.40 Interest on investments, Barry Goldwater Scholarship and Excellence in Education	4	4	4
Appropriations:			
05.00 Barry Goldwater Scholarship and Excellence in Education Foundation	-4	-4	-4
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 95-8281-0-7-502	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	3	3	3
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	63	63	63
22.00 New budget authority (gross)	4	4	4
23.90 Total budgetary resources available for obligation	67	67	67
23.95 Total new obligations	-3	-3	-3
24.40 Unobligated balance carried forward, end of year	63	63	63
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)	4	4	4
Change in obligated balances:			
72.40 Obligated balance, start of year	4	4	4
73.10 Total new obligations	3	3	3
73.20 Total outlays (gross)	-3	-3	-3
74.40 Obligated balance, end of year	4	4	4
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	3	3	3
Net budget authority and outlays:			
89.00 Budget authority	4	4	4
90.00 Outlays	3	3	3
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value	63	63	63
92.02 Total investments, end of year: Federal securities:			
Par value	62	63	63

Public Law 99-661 established the Barry Goldwater Scholarship and Excellence in Education Foundation to operate the scholarship program that is the sole permanent tribute to the former Senator from Arizona. The Foundation awards scholarships to outstanding undergraduate students who intend to pursue careers in mathematics, science and engineering. The Foundation awards approximately 300 scholarships each fiscal year.

Personnel Summary

Identification code 95-8281-0-7-502	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	2	2	2

BROADCASTING BOARD OF GOVERNORS*Federal Funds***General and special funds:**

INTERNATIONAL BROADCASTING OPERATIONS

For expenses necessary to enable the Broadcasting Board of Governors, as authorized, to carry out international communication activities, **[\$428,234,000] \$477,823,000**, of which not to exceed \$16,000 may be used for official receptions within the United States as authorized, not to exceed \$35,000 may be used for representation abroad as authorized, and not to exceed \$39,000 may be used for official reception and representation expenses of Radio Free Europe/Radio Liberty; and in addition, notwithstanding any other provision of law, not to exceed \$2,000,000 in receipts from advertising and revenue from business ventures, not to exceed \$500,000 in receipts from co-operating international organizations, and not to exceed \$1,000,000 in receipts from privatization efforts of the Voice of America and the International Broadcasting Bureau, to remain available until expended for carrying out authorized purposes. (*Departments of State and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "International Broadcasting Operations", \$9,200,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 95-0206-0-1-154	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Broadcasting Board of Governors	408	469	478
01.00 Subtotal, direct obligations	408	469	478
09.01 Reimbursable program	1	1	1
10.00 Total new obligations	409	470	479
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	5	11
22.00 New budget authority (gross)	415	447	479
22.22 Unobligated balance transferred from other accounts	12
23.90 Total budgetary resources available for obligation	420	470	479
23.95 Total new obligations	-409	-470	-479
24.40 Unobligated balance carried forward, end of year	11
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	418	437	478
40.15 Appropriation (emergency)	9
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1
41.00 Transferred to other accounts	-4
42.00 Transferred from other accounts	1
43.00 Appropriation (total discretionary)	414	446	478
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1	1	1
70.00 Total new budget authority (gross)	415	447	479
Change in obligated balances:			
72.40 Obligated balance, start of year	88	102	96
73.10 Total new obligations	409	470	479
73.20 Total outlays (gross)	-397	-478	-493
74.40 Obligated balance, end of year	102	96	82
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	351	379	404
86.93 Outlays from discretionary balances	47	99	90
87.00 Total outlays (gross)	397	478	493
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-1	-1	-1
Net budget authority and outlays:			
89.00 Budget authority	414	446	478
90.00 Outlays	396	477	492

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	405	437	468
90.00 Outlays	387	468	482

This appropriation provides operational funding for the Broadcasting Board of Governors (BBG), the Voice of America, Radio Free Europe/Radio Liberty (RFE/RL), Radio Free Asia, and the necessary engineering and technical, program and administrative support activities. Funds provided in 2002 and 2003 will support the implementation of a Middle East broadcasting initiative.

Funding for Radio and Television Broadcasting to Cuba is provided in a separate account.

Object Classification (in millions of dollars)

Identification code 95-0206-0-1-154	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	117	124	134
11.3 Other than full-time permanent	6	5	5
11.5 Other personnel compensation	9	9	10
11.9 Total personnel compensation	132	138	149
12.1 Civilian personnel benefits	40	53	54
13.0 Benefits for former personnel	2	2	2
21.0 Travel and transportation of persons	5	6	6
22.0 Transportation of things	2	3	3
23.1 Rental payments to GSA	17	17	21
23.2 Rental payments to others	17	19	19
23.3 Communications, utilities, and miscellaneous charges	31	39	39
25.2 Other services	37	45	45
25.4 Operation and maintenance of facilities	3	3	3
25.5 Research and development contracts	2	2	2
25.7 Operation and maintenance of equipment	2	3	3
26.0 Supplies and materials	15	22	20
31.0 Equipment	9	20	12
41.0 Grants, subsidies, and contributions	94	97	100
99.0 Direct obligations	408	469	478
99.0 Reimbursable obligations	1	1	1
99.9 Total new obligations	409	470	479

Personnel Summary

Identification code 95-0206-0-1-154	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	2,202	2,342	2,385

BROADCASTING CAPITAL IMPROVEMENTS

For the purchase, rent, construction, and improvement of facilities for radio transmission and reception, and purchase and installation of necessary equipment for radio and television transmission and reception as authorized, **[\$25,900,000] \$13,740,000**, to remain available until expended, as authorized. (*Departments of State and Related Agencies Appropriations Act, 2002.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for 'Broadcasting Capital Improvements', \$10,000,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 95-0204-0-1-154	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 New construction	2	28	8
00.02 Upgrade of existing relay station capabilities	2	10	3
00.03 Maintenance, improvements, replacement and repair	10	14	11
00.05 Satellite and terrestrial feed systems	1	1

General and special funds—Continued

BROADCASTING CAPITAL IMPROVEMENTS—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 95-0204-0-1-154	2001 actual	2002 est.	2003 est.
10.00 Total new obligations	15	53	22
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	9	8
22.00 New budget authority (gross)	24	36	14
22.22 Unobligated balance transferred from other accounts	16		
23.90 Total budgetary resources available for obligation	26	61	22
23.95 Total new obligations	-15	-53	-22
24.40 Unobligated balance carried forward, end of year	9	8	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	20	26	14
40.15 Appropriation (emergency)		10	
42.00 Transferred from other accounts	4		
43.00 Appropriation (total discretionary)	24	36	14
Change in obligated balances:			
72.40 Obligated balance, start of year	39	35	36
73.10 Total new obligations	15	53	22
73.20 Total outlays (gross)	-19	-52	-40
74.40 Obligated balance, end of year	35	36	18
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	7	18	4
86.93 Outlays from discretionary balances	12	34	36
87.00 Total outlays (gross)	19	52	40
Net budget authority and outlays:			
89.00 Budget authority	24	36	14
90.00 Outlays	19	52	40

This account provides funding for maintenance and improvement of the International Broadcasting Bureau's worldwide transmission network.

Upgrade of existing relay station capabilities.—This activity funds the upgrade of our existing relay stations to improve transmission quality and avoid the need for future new construction.

Major improvements, replacements and repairs.—This activity funds the continuing repairs and improvements required to maintain existing global radio and television network, including the conversion of program production and operations from an analog to a digital domain and enhancing security requirements.

Satellite and terrestrial feed systems.—This activity provides funding for the construction and maintenance of the Satellite Interconnect System (SIS) and Television Receive Only (TVRO) earth stations.

Object Classification (in millions of dollars)

Identification code 95-0204-0-1-154	2001 actual	2002 est.	2003 est.
23.2 Rental payments to others	2		3
25.1 Advisory and assistance services		2	
25.2 Other services	2	12	5
25.4 Operation and maintenance of facilities	2		
26.0 Supplies and materials	1	6	2
31.0 Equipment	8	33	12
99.9 Total new obligations	15	53	22

BROADCASTING TO CUBA

For necessary expenses to enable the Broadcasting Board of Governors to carry out broadcasting to Cuba, including the purchase, rent, construction, and improvement of facilities for radio and tele-

vision transmission and reception, and purchase, *lease*, and installation of necessary equipment (*including aerostats*) for radio and television transmission and reception, **[\$24,872,000]** \$25,923,000, to remain available until expended. (*Departments of State and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 95-0208-0-1-154	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	25	26	28
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	2	2
22.00 New budget authority (gross)	23	26	26
23.90 Total budgetary resources available for obligation	25	28	28
23.95 Total new obligations	-25	-26	-28
24.40 Unobligated balance carried forward, end of year	2	2	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	23	26	26
Change in obligated balances:			
72.40 Obligated balance, start of year	9	8	3
73.10 Total new obligations	25	26	28
73.20 Total outlays (gross)	-25	-31	-26
74.40 Obligated balance, end of year	8	3	5
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	19	21	21
86.93 Outlays from discretionary balances	6	10	5
87.00 Total outlays (gross)	25	31	26
Net budget authority and outlays:			
89.00 Budget authority	23	26	26
90.00 Outlays	25	31	26

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	22	25	25
90.00 Outlays	24	30	25

This account provides funding for Radio Marti and TV Marti to provide news and information to the people of Cuba.

Object Classification (in millions of dollars)

Identification code 95-0208-0-1-154	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	10	11	11
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	11	12	12
12.1 Civilian personnel benefits	4	4	4
23.1 Rental payments to GSA	2	2	2
23.3 Communications, utilities, and miscellaneous charges	3	3	3
25.2 Other services	4	4	5
31.0 Equipment	1	1	2
99.9 Total new obligations	25	26	28

Personnel Summary

Identification code 95-0208-0-1-154	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	153	163	163

BUYING POWER MAINTENANCE

Program and Financing (in millions of dollars)

Identification code 95-1147-0-1-154	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4	4	4
24.40 Unobligated balance carried forward, end of year	4	4	4
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

This account provides funding to offset losses due to exchange rate and overseas wage and price fluctuations unanticipated in the budget. As authorized, gains due to fluctuations are deposited into this account to be available to offset future losses.

Trust Funds

FOREIGN SERVICE NATIONAL SEPARATION LIABILITY TRUST FUND

Program and Financing (in millions of dollars)

Identification code 95-8285-0-7-602	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	4	4
24.40 Unobligated balance carried forward, end of year	4	4	4
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	1		
69.10 Change in uncollected customer payments from Federal sources (unexpired)	-1		
69.90 Spending authority from offsetting collections (total mandatory)			
Change in obligated balances:			
74.00 Change in uncollected customer payments from Federal sources (unexpired)	1		
Outlays (gross), detail:			
86.98 Outlays from mandatory balances	-1		
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-1		
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	1		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-1		

This fund is maintained to pay separation costs for Foreign Service National employees of the Broadcasting Board of Governors in those countries in which such pay is legally authorized. The fund, as authorized by Public Law 102-138, and amended by the Foreign Affairs Reform and Restructuring Act of 1998, is maintained by annual government contributions which are appropriated in the International Broadcasting Operations account.

CENTRAL INTELLIGENCE AGENCY

Federal Funds

General and special funds:

CENTRAL INTELLIGENCE AGENCY RETIREMENT AND DISABILITY SYSTEM FUND

For payment to the Central Intelligence Agency Retirement and Disability System Fund, to maintain the proper funding

level for continuing the operation of the Central Intelligence Agency Retirement and Disability System, **[\$212,000,000]** **\$351,300,000.** (Department of Defense Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 56-3400-0-1-054	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	216	212	351
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	216	212	351
23.95 Total new obligations	-216	-212	-351
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	216	212	351
Change in obligated balances:			
73.10 Total new obligations	216	212	351
73.20 Total outlays (gross)	-216	-212	-351
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	216	212	351
Net budget authority and outlays:			
89.00 Budget authority	216	212	351
90.00 Outlays	216	212	351

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	216	212	223
90.00 Outlays	216	212	223

This appropriation provides for payment to the Fund of the first of 40 annual payments to amortize the unfunded liability of the Central Intelligence Agency Retirement and Disability System.

Object Classification (in millions of dollars)

Identification code 56-3400-0-1-054	2001 actual	2002 est.	2003 est.
12.1 Civilian personnel benefits	81	79	205
13.0 Benefits for former personnel	135	133	146
99.9 Total new obligations	216	212	351

CHEMICAL SAFETY AND HAZARD INVESTIGATION BOARD

Federal Funds

General and special funds:

CHEMICAL SAFETY AND HAZARD INVESTIGATION BOARD
SALARIES AND EXPENSES

For necessary expenses in carrying out activities pursuant to section 112(r)(6) of the Clean Air Act, as amended, including hire of passenger vehicles, uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-5902, and for services authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem equivalent to the maximum rate payable for senior level positions under 5 U.S.C. 5376, **[\$7,850,000, \$5,350,000]** **\$8,047,000, \$5,547,000** of which is to remain available until September 30, **[2002]** **2003** and **\$2,500,000** of which is to remain available until September 30, **[2003]** **2004**: *Provided*, That the Chemical Safety and Hazard Investigation Board shall have not more than three career Senior Executive Service positions: *Provided further*, That, hereafter, there shall be an Inspector General at the Board who shall have the duties, responsibilities, and authorities specified in the Inspector General Act of 1978, as amended: *Provided further*, That an individual appointed to the posi-

General and special funds—Continued

CHEMICAL SAFETY AND HAZARD INVESTIGATION BOARD—Continued
SALARIES AND EXPENSES—Continued

tion of Inspector General of the Federal Emergency Management Agency (FEMA) shall, by virtue of such appointment, also hold the position of Inspector General of the Board: *Provided further*, That the Inspector General of the Board shall utilize personnel of the Office of Inspector General of FEMA in performing the duties of the Inspector General of the Board, and shall not appoint any individuals to positions within the Board. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 95-3850-0-1-304	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	6	8	8
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		2	
22.00 New budget authority (gross)	8	8	8
23.90 Total budgetary resources available for obligation	8	10	8
23.95 Total new obligations	-6	-8	-8
24.40 Unobligated balance carried forward, end of year	2		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	8	8	8
Change in obligated balances:			
72.40 Obligated balance, start of year	3	1	
73.10 Total new obligations	6	8	8
73.20 Total outlays (gross)	-7	-9	-8
74.40 Obligated balance, end of year	1		
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	3	8	8
86.93 Outlays from discretionary balances	4	1	
87.00 Total outlays (gross)	7	9	8
Net budget authority and outlays:			
89.00 Budget authority	8	8	8
90.00 Outlays	7	9	8

The Chemical Safety and Hazard Investigation Board, as authorized by the Clean Air Act Amendments of 1990, became operational in 1998. It is an independent, non-regulatory agency that promotes chemical safety and accident prevention through investigating chemical accidents; making recommendations for accident prevention; conducting special studies; and advising the President and Congress on key issues relating to chemical safety and on actions taken by the Environmental Protection Agency, the Department of Labor, and other Federal agencies to implement Board recommendations. As authorized by law, the Board submitted a separate request to Congress and OMB concurrently, of \$9.0 million for 2003.

Object Classification (in millions of dollars)

Identification code 95-3850-0-1-304	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	3	4	4
12.1 Civilian personnel benefits	1	1	1
23.2 Rental payments to others		1	1
25.2 Other services	2	2	2
99.9 Total new obligations	6	8	8

Personnel Summary

Identification code 95-3850-0-1-304	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	33	41	45

CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION

Trust Funds

CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION

Unavailable Collections (in millions of dollars)

Identification code 76-8187-0-7-502	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.00 Gifts and donations		1	1
Appropriations:			
05.00 Christopher Columbus Fellowship Foundation		-1	-1
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 76-8187-0-7-502	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	2	1	1
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	6	5	6
22.00 New budget authority (gross)		1	1
23.90 Total budgetary resources available for obligation	6	6	7
23.95 Total new obligations	-2	-1	-1
24.40 Unobligated balance carried forward, end of year	5	6	6
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)		1	1
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	1
73.10 Total new obligations	2	1	1
73.20 Total outlays (gross)	-1	-1	-1
74.40 Obligated balance, end of year	1	1	1
Outlays (gross), detail:			
86.98 Outlays from mandatory balances	1	1	1
Net budget authority and outlays:			
89.00 Budget authority		1	1
90.00 Outlays	2	1	1
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value		5	5
92.02 Total investments, end of year: Federal securities: Par value	5	5	5

Public Law 102-281 established the Christopher Columbus Fellowship Foundation "to encourage and support research, study, and labor designed to produce new discoveries in all fields of endeavor for the benefit of mankind." Surcharges from Christopher Columbus Quincentenary coins were placed in the Foundation's trust fund. The trust fund will be used to operate the Foundation's programs.

The Foundation will support programs totaling \$1 million in 2002 and 2003. The Foundation supports five competitive programs rewarding individuals and communities who develop innovative approaches to solving problems.

Personnel Summary

Identification code 76-8187-0-7-502	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	1	1	1

COMMISSION OF FINE ARTS

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For expenses made necessary by the Act establishing a Commission of Fine Arts (40 U.S.C. 104), \$1,224,000: *Provided*, That the Commission is authorized to charge fees to cover the full costs of its publications, and such fees shall be credited to this account as an offsetting collection, to remain available until expended without further appropriation. (*Department of the Interior and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 95-2600-0-1-451	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 99.5)	1	1	1
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	1	1	1
23.95 Total new obligations	-1	-1	-1
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1	1	1
Change in obligated balances:			
73.10 Total new obligations	1	1	1
73.20 Total outlays (gross)	-1	-1	-1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1	1	1
Net budget authority and outlays:			
89.00 Budget authority	1	1	1
90.00 Outlays	1	1	1

The Commission advises the President, Congress, and department heads on matters of architecture, sculpture, landscape, and other fine arts. Its primary function is to preserve and enhance the appearance of the National Capital.

Personnel Summary

Identification code 95-2600-0-1-451	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	7	8	8

NATIONAL CAPITAL ARTS AND CULTURAL AFFAIRS

For necessary expenses as authorized by Public Law 99-190 (20 U.S.C. 956(a)), as amended, \$7,000,000. (*Department of the Interior and Related Agencies Appropriations Act, 2002.*)

ADMINISTRATIVE PROVISION

SEC. 101. NATIONAL CAPITAL ARTS AND CULTURAL AFFAIRS—

(a) *There is hereby authorized a program to support artistic and cultural programs in the Nation's Capital, to provide grants for general operating support to eligible organizations located in the District of Columbia whose primary purpose is performing, exhibiting, and presenting the arts. Eligibility for grants shall be limited to not-for-profit, non-academic institutions. Beginning in fiscal year 2003 and thereafter, funds provided for grants shall be transferred to the District of Columbia Commission on Arts and Humanities, to be awarded through a competitive, merit-reviewed process.*

(b) *The heading, "National Capital Arts and Cultural Affairs," and everything thereunder in section 101(d) of Public Law 99-190 (99 Stat. 1261; 20 U.S.C. 956a), as amended, are hereby repealed.*

Program and Financing (in millions of dollars)

Identification code 95-2602-0-1-503	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	7	7	7

Budgetary resources available for obligation:			
22.00 New budget authority (gross)	7	7	7
23.95 Total new obligations	-7	-7	-7
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	7	7	7
Change in obligated balances:			
73.10 Total new obligations	7	7	7
73.20 Total outlays (gross)	-7	-7	-7
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	7	7	7
Net budget authority and outlays:			
89.00 Budget authority	7	7	7
90.00 Outlays	7	7	7

This program provides payments for general operating support to Washington, D.C. arts and other cultural organizations.

COMMISSION ON CIVIL RIGHTS

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Commission on Civil Rights, including hire of passenger motor vehicles, **[\$9,096,000] \$9,575,000**: *Provided*, That not to exceed \$50,000 may be used to employ consultants: *Provided further*, That none of the funds appropriated in this paragraph shall be used to employ in excess of four full-time individuals under Schedule C of the Excepted Service exclusive of one special assistant for each Commissioner: *Provided further*, That none of the funds appropriated in this paragraph shall be used to reimburse Commissioners for more than 75 billable days, with the exception of the chairperson, who is permitted 125 billable days. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 95-1900-0-1-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	9	9	9
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	9	9	9
23.95 Total new obligations	-9	-9	-9
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	9	9	9
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	1
73.10 Total new obligations	9	9	9
73.20 Total outlays (gross)	-9	-9	-9
74.40 Obligated balance, end of year	1	1	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	8	8	8
86.93 Outlays from discretionary balances	1	1	1
87.00 Total outlays (gross)	9	9	9
Net budget authority and outlays:			
89.00 Budget authority	9	9	9
90.00 Outlays	9	9	9

The Commission engages in studies concerning areas in which there may be denials of civil rights and reports on these matters to the President and the Congress. Hearings by the Commissioners are held to investigate and obtain information about denials of civil rights. Conferences and open

General and special funds—Continued

SALARIES AND EXPENSES—Continued

meetings are held by staff and State Advisory Committees to gather data and issue reports providing information about civil rights problems. In addition, the Commission appraises and reports on Federal agencies, enforcement of civil rights laws. Complaints alleging discrimination are referred to the proper Federal agencies.

The Commission provides liaison with private groups, public groups, and the media to provide civil rights information to Government officials, organizations, and the public. The Commission issues publications and public service announcements to discourage discrimination and denial of equal protection of the laws. The Commission also provides a library resource to support civil rights research, studies, hearings, and other Commission activities, and makes this information available to the general public.

Object Classification (in millions of dollars)

Identification code 95-1900-0-1-751	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	5	5	5
12.1 Civilian personnel benefits	1	1	1
23.1 Rental payments to GSA	1	1	1
25.2 Other services	2	2	2
99.9 Total new obligations	9	9	9

Personnel Summary

Identification code 95-1900-0-1-751	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	75	76	76

COMMISSION ON OCEAN POLICY

Federal Funds

General and special funds:

SALARIES AND EXPENSES

[For the necessary expenses of the Commission on Ocean Policy, pursuant to Public Law 106-256, \$3,000,000, to remain available until expended: *Provided*, That the Commission shall present to the Congress within 18 months of appointment its recommendations for a national ocean policy.] (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 48-2955-0-1-306	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations		6	1
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	4	1
22.00 New budget authority (gross)	1	3	
23.90 Total budgetary resources available for obligation	4	7	1
23.95 Total new obligations		-6	-1
24.40 Unobligated balance carried forward, end of year	4	1	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1	3	
Change in obligated balances:			
72.40 Obligated balance, start of year			1
73.10 Total new obligations		6	1
73.20 Total outlays (gross)		-5	-2
74.40 Obligated balance, end of year		1	
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		3	
86.93 Outlays from discretionary balances		2	

87.00 Total outlays (gross)		5	2
Net budget authority and outlays:			
89.00 Budget authority	1	3	
90.00 Outlays		5	2

The Commission on Ocean Policy was established to make recommendations for a coordinated and comprehensive national ocean policy. Findings and recommendations are to be submitted to the President and Congress within 18 months of the first meeting.

Object Classification (in millions of dollars)

Identification code 48-2955-0-1-306	2001 actual	2002 est.	2003 est.
25.1 Direct obligations: Advisory and assistance services		4	1
99.5 Below reporting threshold		2	
99.9 Total new obligations		6	1

COMMITTEE FOR PURCHASE FROM PEOPLE WHO ARE BLIND OR SEVERELY DISABLED

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Committee for Purchase From People Who Are Blind or Severely Disabled established by Public Law 92-28, [\$4,629,000] \$4,850,145. (*Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 95-2000-0-1-505	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	3	5	5
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	4	5	5
23.95 Total new obligations	-3	-5	-5
23.98 Unobligated balance expiring or withdrawn	-1		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	4	5	5
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	
73.10 Total new obligations	3	5	5
73.20 Total outlays (gross)	-3	-4	-5
74.40 Obligated balance, end of year	1		
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	4	4	4
86.93 Outlays from discretionary balances			1
87.00 Total outlays (gross)	3	4	5
Net budget authority and outlays:			
89.00 Budget authority	4	5	5
90.00 Outlays	3	4	4

The Committee for Purchase From People Who Are Blind or Severely Disabled administers the Javits-Wagner-O'Day (JWOD) Act of 1971, as amended. Its primary objective is to use the purchasing power of the Federal Government to provide people who are blind or have other severe disabilities with employment and training that will develop and improve job skills as well as prepare them for employment options outside the JWOD Program. In 2003, the Committee's goal is to employ approximately 50,000 people who are blind or have other severe disabilities in 650 producing nonprofit agencies. The Committee's duties include promoting the program; determining which products and services are suitable for Gov-

ernment procurement from qualified nonprofit agencies serving people who are blind or have other severe disabilities; maintaining a procurement list of such products and services; determining the fair market price for products and services on the procurement list; and making rules and regulations necessary to carry out the purposes of the Act. In 2003 the Committee's goal is to have sales of \$1.6 billion.

The Committee staff's responsibilities include promoting and assessing the overall programs; supervising the selection and assignment of new products and services; assisting in establishing prices; reviewing and adjusting these prices; verifying the qualifications of nonprofit agencies; and monitoring their performance. The increased resources proposed for 2003 would enable the Committee to continue its marketing efforts, which are essential to protecting jobs for people with disabilities involved in supplying commercial-type products such as office supplies to Federal customers under the JWOD Program. The education functions to be supported by these funds would focus on informing Federal purchase card holders about JWOD products and working with private sector distributors of those products, including e-commerce vendors.

Object Classification (in millions of dollars)

Identification code 95-2000-0-1-505	2001 actual	2002 est.	2003 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	2	2	2
99.5 Below reporting threshold	1	3	3
99.9 Total new obligations	3	5	5

Personnel Summary

Identification code 95-2000-0-1-505	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	21	29	29

COMMODITY FUTURES TRADING COMMISSION

Federal Funds

General and special funds:

COMMODITY FUTURES TRADING COMMISSION

For necessary expenses to carry out the provisions of the Commodity Exchange Act (7 U.S.C. 1 et seq.), including the purchase and hire of passenger motor vehicles; the rental of space (to include multiple year leases) in the District of Columbia and elsewhere; and not to exceed \$25,000 for employment under 5 U.S.C. 3109, **[\$70,700,000] \$82,800,000**, including not to exceed \$2,000 for official reception and representation expenses. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Commodity Futures Trading Commission", \$16,900,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38. (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 95-1400-0-1-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Market surveillance, analysis, and research	13	17	16
00.02 Enforcement	28	36	32
00.03 Trading and markets	21	27	25
00.04 Proceedings	3	4	3
00.05 General counsel	6	7	7
10.00 Total new obligations	71	91	83
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	71	91	83

23.95	Total new obligations	-71	-91	-83
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	71	74	83
40.15	Appropriation (emergency)		17	
43.00	Appropriation (total discretionary)	71	91	83
Change in obligated balances:				
72.40	Obligated balance, start of year	9	11	12
73.10	Total new obligations	71	91	83
73.20	Total outlays (gross)	-68	-91	-81
74.40	Obligated balance, end of year	11	12	13
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	62	83	74
86.93	Outlays from discretionary balances	6	9	8
87.00	Total outlays (gross)	68	91	81
Net budget authority and outlays:				
89.00	Budget authority	71	91	83
90.00	Outlays	68	91	81

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	68	88	80
90.00	Outlays	65	88	78

Summary of Budget Authority and Outlays

(in millions of dollars)

Enacted/requested:	2001 actual	2002 est.	2003 est.
Budget Authority	71	91	83
Outlays	68	92	82
Legislative proposal, not subject to PAYGO:			
Budget Authority			-33
Outlays			-33
Total:			
Budget Authority	71	91	50
Outlays	68	92	49

The Commodity Futures Trading Commission (CFTC) administers the Commodity Exchange Act of 1936, as amended. The purpose of the CFTC is to further the economic utility of the futures markets by encouraging their efficiency, assuring their integrity, and protecting participants against abusive trade practices, fraud, and deceit. The object of commodity futures trading regulation is to enable the markets to better serve their designated functions of providing a price discovery mechanism and a means of offsetting price risk. By properly serving these functions, the futures markets serve the public interest by contributing toward better planning, more efficient distribution and consumption, and more economical marketing. The commodity futures and options markets represent one of America's most innovative and competitive contributions to the international financial services industry.

The Administration proposes additional dollar resources above the fiscal year 2002 level for the Commission. These resources contribute to the Commission's ability to investigate and detect fraud and abuse and ensure the continued integrity of the commodities markets. In addition, these funds would provide the Commission with enforcement and surveillance resources to respond to the continued growth and use of complex trading and derivative instruments.

Market surveillance, analysis and research.—Responsibilities under this program include daily surveillance of the market activity of large individual traders and fundamental economic market factors to insure orderly markets. Contract terms and conditions are reviewed to insure conformity with

General and special funds—Continued

COMMODITY FUTURES TRADING COMMISSION—Continued

current cash marketing conditions and adequate deliverable supplies. This program also systematically investigates the functioning of markets and market users and develops better tools to assist in detecting and preventing price distortions.

	2001 actual	2002 est.	2003 est.
Trader and broker reports analyzed (thousands)	56,100	75,000	78,800
Market surveillance reports prepared	2,218	2,480	2,780
Review every request for approval of products and rule changes within 45 days and respond	NA	%	%

Enforcement.—The enforcement program is responsible for detecting, investigating, and litigating violations of the Act or regulations. These violations may include actual and attempted market manipulations, cheating and defrauding customers, and abusive trading practices such as fictitious trading, wash trading, and pre-arranged trading. This program may seek remedies through the administrative process or by injunctive actions in the Federal Courts.

	2001 actual	2002 est.	2003 est.
Investigations:			
Opened	231	138	138
Completed	171	141	142
Cases:			
Opened	60	44	44
Completed	35	38	38

Trading and markets.—This program is designed to protect customer funds, prevent and detect financial, sales practice and trading abuses, and to assure the financial integrity and fitness of firms holding customer funds. In order to assure compliance with statutory requirements, this program monitors compliance activities of designated contract markets and the National Futures Association, conducts audits and reviews of registrants, and reviews self-regulatory organizations' rules and proposed rule changes. The program also develops regulations pursuant to statutory requirements and coordinates with other domestic and international regulators relative to cross border financial services affecting futures and options products.

	2001 actual	2002 est.	2003 est.
Oversight audits of registrants	26	30	30
Review self-regulatory organization rules	2,779	1,600	1,600
Review adequacy of self-regulatory organization disciplinary actions	584	575	590
Audits of clearing organizations and firms handling customer money	15	17	18
Written requests for regulatory exemptive relief granted	248	265	275
Reparations:			
Cases pending (beginning balance)	64	67	67
Cases received/forwarded	97	100	100
Cases dismissed, settled, or disposed	94	100	100
Cases pending (ending balance)	67	67	67

General Counsel.—The Office of the General Counsel provides legal services and support to the Commission's program divisions, including engaging in defensive, appellate, and amicus litigation; assisting the Commission in the performance of its adjudicatory functions; drafting regulations; interpreting the Commodity Exchange Act; and providing no-action letters and opinions to the public.

Object Classification (in millions of dollars)

Identification code 95-1400-0-1-376	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	36	37	42
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	1	2	1
11.9 Total personnel compensation	39	41	45
12.1 Civilian personnel benefits	13	14	15
21.0 Travel and transportation of persons	1	1	1
23.2 Rental payments to others	8	8	10
23.3 Communications, utilities, and miscellaneous charges	2	2	2
25.2 Other services	6	20	7

26.0	Supplies and materials	1	1	1
31.0	Equipment	1	4	2
99.9	Total new obligations	71	91	83

Personnel Summary

Identification code 95-1400-0-1-376	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	546	510	537

COMMODITY FUTURES TRADING COMMISSION
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 95-1400-2-1-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct obligations			-33
09.01 Reimbursable obligations			33
10.00 Total new obligations			
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation			-33
68.00 Spending authority from offsetting collections: Offsetting collections (cash)			33
70.00 Total new budget authority (gross)			
Change in obligated balances:			
73.10 Total new obligations			
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources			-33
Net budget authority and outlays:			
89.00 Budget authority			-33
90.00 Outlays			-33

Authorizing legislation will be proposed to establish a transactions fee on commodity futures and option contracts traded on approved exchanges to cover the cost of the Commission's regulatory activities. The collection and use of this fee, once authorized, will be contingent on appropriation action. For 2003, the fee would be effective on April 1, 2003 and be set on round turn transactions and would generate offsetting governmental collections of approximately \$33 million. The authorizing legislation would in future years give appropriators the ability to adjust the fee in order to match the Commission's appropriated level. The enactment of such fee legislation would allow the Commission, the Federal government's regulator of the futures industry, to overcome past budget restraints without harming the competitive position of U.S. exchanges.

Object Classification (in millions of dollars)

Identification code 95-1400-2-1-376	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent			-17
11.3 Other than full-time permanent			-1
11.9 Total personnel compensation			-18
12.1 Civilian personnel benefits			-6
23.2 Rental payments to others			-4
25.2 Other services			-4
31.0 Equipment			-1
99.0 Direct obligations			-33
99.0 Reimbursable obligations			33

99.9	Total new obligations			
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90.00	Outlays	52	55	57
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Personnel Summary

Identification code 95-1400-2-1-376	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment			-220
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment			220

CONSUMER PRODUCT SAFETY COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Consumer Product Safety Commission, including hire of passenger motor vehicles, services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the maximum rate payable under 5 U.S.C. 5376, purchase of nominal awards to recognize non-Federal officials' contributions to Commission activities, and not to exceed \$500 for official reception and representation expenses, **[\$55,200,000]** \$59,875,000. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 61-0100-0-1-554	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Reducing product hazards to children and families	46	49	50
00.02 Identifying and researching product hazards	9	9	10
09.01 Reimbursable program	3	4	4
10.00 Total new obligations	58	62	64

Budgetary resources available for obligation:

22.00 New budget authority (gross)	58	62	64
23.95 Total new obligations	-58	-62	-64

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	55	58	60
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	3	4	4
70.00 Total new budget authority (gross)	58	62	64

Change in obligated balances:

72.40 Obligated balance, start of year	8	8	8
73.10 Total new obligations	58	62	64
73.20 Total outlays (gross)	-58	-62	-64
74.40 Obligated balance, end of year	8	8	8

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	51	56	58
86.93 Outlays from discretionary balances	7	6	6
87.00 Total outlays (gross)	58	62	64

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-3	-4	-4

Net budget authority and outlays:

89.00 Budget authority	55	58	60
90.00 Outlays	55	58	60

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	52	55	57

The Commission addresses a number of product safety areas. These include fire and thermal burn hazards, electrical hazards, acute and chronic chemical hazards, children's and recreational product hazards, power equipment hazards, and household structural products hazards.

Object Classification (in millions of dollars)

Identification code 61-0100-0-1-554	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	30	33	34
11.3 Other than full-time permanent	2	2	2
11.9 Total personnel compensation	32	35	36
12.1 Civilian personnel benefits	10	10	11
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	3	4	4
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	3	4	4
25.3 Other purchases of goods and services from Government accounts	1	1	1
26.0 Supplies and materials	1		
31.0 Equipment	2		
99.0 Direct obligations	54	56	58
99.0 Reimbursable obligations	3	4	4
99.5 Below reporting threshold	1	2	2
99.9 Total new obligations	58	62	64

Personnel Summary

Identification code 61-0100-0-1-554	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	469	480	480

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

Federal Funds

General and special funds:

NATIONAL AND COMMUNITY SERVICE PROGRAMS

OPERATING EXPENSES

For necessary expenses for the Corporation for National and Community Service [(the "Corporation")] in carrying out programs, activities, and initiatives under the National and Community Service Act of 1990 (the "Act") (42 U.S.C. 12501 et seq.), **[\$401,980,000]** \$632,634,990, to remain available until September 30, **[2003: Provided, That not more than \$31,000,000 shall be available for administrative expenses authorized under section 501(a)(4) of the Act (42 U.S.C. 12671(a)(4)) with not less than \$2,000,000 targeted for the acquisition of a cost accounting system for the Corporation's financial management system, an integrated grants management system that provides comprehensive financial management information for all Corporation grants and cooperative agreements, and the establishment, operation, and maintenance of a central archives serving as the repository for all grant, cooperative agreement, and related documents, without regard to the provisions of section 501(a)(4)(B) of the Act] 2004: Provided [further], That not more than \$2,500 shall be for official reception and representation expenses: Provided further, That [of amounts previously transferred to the National Service Trust] not more than \$57,000,000, to remain available until expended, shall be transferred to the National Service Trust, of which up to [.] \$20,000,000 shall be to provide education awards to individuals, age 55 or older, who complete a part-time term of service in an approved national service position, and who may deposit the award in an education retirement account under section 530 of the Internal Revenue Code of 1986, for which a child is designated beneficiary, or use the award for the senior's own current educational expenses as authorized in section 148 of the Act; and of which up to, \$5,000,000 shall be available for national service scholarships for high school students**

General and special funds—Continued

OPERATING EXPENSES—Continued

performing community service: *Provided further*, That not more than **[\$240,492,000] \$403,267,000** of the amount provided under this heading shall be available for grants under the National Service Trust program authorized under subtitle C of title I of the Act (42 U.S.C. 12571 et seq.) (relating to activities including the AmeriCorps program), and for grants to organizations operating projects under the AmeriCorps Education Awards Program and AmeriCorps Promise Fellows Program (without regard to the requirements of section 121(d) and (e), section 131(e), section 132, and section 140(a), (d), and (e) of the Act): of which not more than **[\$47,000,000] \$71,000,000** may be used to administer, reimburse, or support any national service program authorized under section 121(d)(2) of such Act (42 U.S.C. 12581(d)(2)); not more than \$25,000,000 shall be made available to activities dedicated to developing computer and information technology skills for students and teachers in low-income communities: *Provided further*, That not more than \$10,000,000 of the funds made available under this heading shall be made available for the Points of Light Foundation for activities authorized under title III of the Act (42 U.S.C. 12661 et seq.), of which not more than \$2,500,000 may be used to establish or support an endowment fund, the corpus of which shall remain intact and the interest income from which shall be used to support activities described in title III of the Act, provided that the Foundation may invest the corpus and income in federally insured bank savings accounts or comparable interest bearing accounts, certificates of deposit, money market funds, mutual funds, obligations of the United States, and other market instruments and securities but not in real estate investments: *Provided further*, That notwithstanding any other law \$2,500,000 of the funds made available by the Corporation to the Foundation under Public Law 106-377 may be used in the manner described in the preceding proviso: *Provided further*, That no funds shall be available for national service programs run by Federal agencies authorized under section 121(b) of such Act (42 U.S.C. 12571(b)): *Provided further*, That to the maximum extent feasible, funds appropriated under subtitle C of title I of the Act shall be provided in a manner that is consistent with the recommendations of peer review panels in order to ensure that priority is given to programs that demonstrate quality, innovation, replicability, and sustainability: *Provided further*, That not more than \$25,000,000 of the funds made available under this heading shall be available for the Civilian Community Corps authorized under subtitle E of title I of the Act (42 U.S.C. 12611 et seq.): *Provided further*, That not more than \$43,000,000 shall be available for school-based and community-based service-learning programs authorized under subtitle B of title I of the Act (42 U.S.C. 12521 et seq.): *Provided further*, That not more than **[\$28,488,000] \$35,500,000** shall be available for quality and innovation activities authorized under subtitle H of title I of the Act (42 U.S.C. 12853 et seq.): *Provided further*, That not more than \$5,000,000 shall be available for audits and other evaluations authorized under section 179 of the Act (42 U.S.C. 12639): *Provided further*, That to the maximum extent practicable, the Corporation shall increase significantly the level of matching funds and in-kind contributions provided by the private sector, and shall reduce the total Federal costs per participant in all programs: *Provided further*, That not more than \$7,500,000 of the funds made available under this heading shall be made available to America's Promise—The Alliance for Youth, Inc. only to support efforts to mobilize individuals, groups, and organizations to build and strengthen the character and competence of the Nation's youth: *Provided further*, That not more than \$5,000,000 of the funds made available under this heading shall be made available to the Communities In Schools, Inc. to support dropout prevention activities: *Provided further*, That not more than \$2,500,000 of the funds made available under this heading shall be made available to the YMCA of the USA to support school-based programs designed to strengthen collaborations and linkages between public schools and communities: *Provided further*, That not more than \$1,000,000 of the funds made available under this heading shall be made available to Teach For America: *Provided further*, That not more than \$1,500,000 of the funds made available under this heading shall be made available to Parents As Teachers National Center, Inc. to support literacy activities: *Provided further*, That not more than \$1,500,000 of the funds made available under this heading shall be made available to the Youth Life Foundation to meet the needs of children living in insecure environments]. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent*

Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)			
Identification code 95-2720-0-1-506	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 National Service Trust	70	57
00.02 AmeriCorps grants	259	292	403
00.03 Innovation assistance and other activities	35	30	35
00.04 Evaluation	5	6	5
00.05 National Civilian Community Corps	21	27	35
00.06 Learn and Serve America	51	47	43
00.07 NCSA program administration	34	34	37
00.08 Points of Light Foundation	10	10	10
00.09 America's Promise	8	8	8
00.10 Communities in schools, boys and girls clubs, etc.	11	11
10.00 Total new obligations	504	465	633
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	96	61
22.00 New budget authority (gross)	460	404	633
22.10 Resources available from recoveries of prior year obligations	9
23.90 Total budgetary resources available for obligation	565	465	633
23.95 Total new obligations	-504	-465	-633
24.40 Unobligated balance carried forward, end of year	61
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	461	404	633
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1
43.00 Appropriation (total discretionary)	460	404	633
Change in obligated balances:			
72.40 Obligated balance, start of year	519	553	585
73.10 Total new obligations	504	465	633
73.20 Total outlays (gross)	-454	-433	-518
73.40 Adjustments in expired accounts (net)	-7
73.45 Recoveries of prior year obligations	-9
74.40 Obligated balance, end of year	553	585	700
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	142	111	174
86.93 Outlays from discretionary balances	312	322	344
87.00 Total outlays (gross)	454	433	518
Net budget authority and outlays:			
89.00 Budget authority	460	404	633
90.00 Outlays	454	433	518
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	458	402	631
90.00 Outlays	452	431	516

The Corporation for National and Community Service works with non-profits, faith-based groups, schools, and other civic organizations to engage Americans of all ages and backgrounds in community-based service which addresses the Nation's educational, human, public safety, and environmental needs, including homeland security, to achieve meaningful results. In doing so, the Corporation fosters civic responsibility, strengthens the ties that bind us together as a people, and provides educational opportunity for those who make a substantial commitment to service.

National Service Trust.—The Trust serves as a secure repository for educational awards set aside for eligible participants in National Service programs.

AmeriCorps grants.—With funds both channelled through States and provided directly to community based organizations, AmeriCorps grants enable communities to address prob-

lems they identify by using the skills of individuals serving in National Service positions.

Innovation, assistance, and other activities.—This activity provides support to programs receiving assistance under AmeriCorps or Learn and Serve America or to organizations or States which would like to create programs or apply to the Corporation for funding. This activity also includes funds for challenge grants for teaching and other national service programs, where private sources provide at least 50 percent of the amount required to operate the program.

Evaluation.—This activity supports studies of the impact and effectiveness of Corporation programs.

National Civilian Community Corps.—This residential National Service program provides unique service opportunities for members and communities.

Learn and Serve America.—Through grants to State educational agencies, colleges and consortia of colleges and non-profit organizations, and other means, opportunities will be provided to students to participate in service learning activities.

NCSA program administration.—These funds will be provided to State Commissions to develop National Service plans and manage these activities within their States and will be used by the Corporation to administer these activities.

Points of Light Foundation.—A grant will be provided to this nongovernment, nonprofit 501(c)(3) entity to enable it to increase opportunities for Americans to participate in voluntary activities.

America's Promise.—A grant will be provided to this nongovernment, nonprofit 501(c)(3) entity to enable it to mobilize individuals, groups and organizations to build and strengthen the character and competence of the Nation's youth.

Object Classification (in millions of dollars)				
Identification code 95-2720-0-1-506	2001 actual	2002 est.	2003 est.	
Personnel compensation:				
11.1 Full-time permanent	18	18	21	
11.3 Other than full-time permanent	5	5	9	
11.9 Total personnel compensation	23	23	30	
12.1 Civilian personnel benefits	7	7	8	
21.0 Travel and transportation of persons	4	3	5	
23.3 Communications, utilities, and miscellaneous charges	2	2	3	
25.2 Other services	18	14	19	
26.0 Supplies and materials	1	1	2	
41.0 Grants, subsidies, and contributions	379	415	509	
92.0 National Service Trust	70		57	
99.9 Total new obligations	504	465	633	

Personnel Summary				
Identification code 95-2720-0-1-506	2001 actual	2002 est.	2003 est.	
1001 Total compensable workyears: Full-time equivalent employment	285	302	310	

DOMESTIC VOLUNTEER SERVICE PROGRAMS, OPERATING EXPENSES

For expenses necessary for the Corporation for National and Community Service to carry out the provisions of the Domestic Volunteer Service Act of 1973, as amended, [\$328,895,000: Provided, That none of the funds made available to the Corporation for National and Community Service in this Act for activities authorized by part E of title II of the Domestic Volunteer Service Act of 1973 shall be used to provide stipends or other monetary incentives to volunteers or volunteer leaders whose incomes exceed 125 percent of the national poverty level] \$397,432,787. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)				
Identification code 95-0103-0-1-506	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Direct program:				
00.01 Volunteers in Service to America	83	85	94	
00.02 Special Volunteer Programs		5	55	
00.03 National Senior Service Corps	189	207	213	
00.05 Program support	33	33	35	
09.01 Reimbursable program	6	6	6	
10.00 Total new obligations	311	336	403	
Budgetary resources available for obligation:				
22.00 New budget authority (gross)	311	336	403	
23.95 Total new obligations	-311	-336	-403	
23.98 Unobligated balance expiring or withdrawn	-1			
New budget authority (gross), detail:				
Discretionary:				
40.00 Appropriation	305	330	397	
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	6	6	6	
70.00 Total new budget authority (gross)	311	336	403	
Change in obligated balances:				
72.40 Obligated balance, start of year	149	161	163	
73.10 Total new obligations	311	336	403	
73.20 Total outlays (gross)	-292	-332	-385	
73.40 Adjustments in expired accounts (net)	-7			
74.10 Change in uncollected customer payments from Federal sources (expired)	2			
74.40 Obligated balance, end of year	161	163	181	
Outlays (gross), detail:				
86.90 Outlays from new discretionary authority	164	191	230	
86.93 Outlays from discretionary balances	128	141	155	
87.00 Total outlays (gross)	292	332	385	
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00 Federal sources	-2	-2	-2	
88.40 Non-Federal sources	-4	-4	-4	
88.90 Total, offsetting collections (cash)	-6	-6	-6	
Net budget authority and outlays:				
89.00 Budget authority	305	330	397	
90.00 Outlays	287	326	379	
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)				
	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00 Budget authority	304	329	396	
90.00 Outlays	286	325	378	

Volunteers in Service to America.—The AmeriCorps*VISTA program assists communities working to resolve local poverty-related problems in areas such as illiteracy, hunger, unemployment, substance abuse, homelessness, and lack of adequate health support.

Special volunteer programs.—These programs help mobilize volunteers and citizens for civic purposes, including homeland security.

National Senior Service Corps.—These programs provide opportunities for people aged 55 and over, including those who are low-income, to volunteer their services to the community in many socially useful activities including helping children learn to read and working with the emotionally disturbed, the mentally retarded, and physically disabled, as well as the isolated and infirm elderly.

Program support.—Costs of program direction and administration are financed by this activity.

General and special funds—Continued

DOMESTIC VOLUNTEER SERVICE PROGRAMS, OPERATING EXPENSES—Continued

Object Classification (in millions of dollars)			
Identification code 95-0103-0-1-506	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	18	18	18
11.8 Special personal services payments	42	45	49
11.9 Total personnel compensation	60	63	67
12.1 Civilian personnel benefits	6	6	6
21.0 Travel and transportation of persons	5	5	6
23.1 Rental payments to GSA	4	4	4
23.3 Communications, utilities, and miscellaneous charges	2	2	3
25.2 Other services	15	15	18
41.0 Grants, subsidies, and contributions	213	235	293
99.0 Direct obligations	305	330	397
99.0 Reimbursable obligations	6	6	6
99.9 Total new obligations	311	336	403

Personnel Summary

Identification code 95-0103-0-1-506	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	298	310	309

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the Inspector General Act of 1978, as amended, **[\$5,000,000,] \$5,076,797**, to remain available until September 30, **[2003] 2004.** (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 95-2721-0-1-506	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	5	6	5
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1
22.00 New budget authority (gross)	5	5	5
23.90 Total budgetary resources available for obligation	6	6	5
23.95 Total new obligations	-5	-6	-5
24.40 Unobligated balance carried forward, end of year	1
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	5	5	5
Change in obligated balances:			
72.40 Obligated balance, start of year	2	2	2
73.10 Total new obligations	5	6	5
73.20 Total outlays (gross)	-5	-6	-5
74.40 Obligated balance, end of year	2	2	2
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	3	3	3
86.93 Outlays from discretionary balances	2	3	2
87.00 Total outlays (gross)	5	6	5
Net budget authority and outlays:			
89.00 Budget authority	5	5	5
90.00 Outlays	4	6	5

The Office of the Inspector General provides an independent assessment of Corporation operations, primarily through audits and investigations, with a goal of preventing fraud, waste, and abuse.

Object Classification (in millions of dollars)

Identification code 95-2721-0-1-506	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	2	2	2
25.2 Other services	3	4	3
99.9 Total new obligations	5	6	5

Personnel Summary

Identification code 95-2721-0-1-506	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	14	21	21

Trust Funds

GIFTS AND CONTRIBUTIONS

Unavailable Collections (in millions of dollars)

Identification code 95-9972-0-7-506	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year
Receipts:			
02.40 Interest on investment	22	16	16
02.41 Payment from the general fund	70	57
02.99 Total receipts and collections	92	16	73
Appropriations:			
05.00 Gifts and contributions	-92	-16	-73
05.99 Total appropriations	-92	-16	-73
07.99 Balance, end of year

Program and Financing (in millions of dollars)

Identification code 95-9972-0-7-506	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)	84	75	80
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	321	299	240
22.00 New budget authority (gross)	62	16	73
23.90 Total budgetary resources available for obligation	383	315	313
23.95 Total new obligations	-84	-75	-80
24.40 Unobligated balance carried forward, end of year	299	240	233
New budget authority (gross), detail:			
Discretionary:			
40.26 Appropriation (trust fund)	70	57
40.36 Unobligated balance rescinded	-30
43.00 Appropriation (total discretionary)	40	57
Mandatory:			
60.26 Appropriation (trust fund)	22	16	16
70.00 Total new budget authority (gross)	62	16	73
Change in obligated balances:			
73.10 Total new obligations	84	75	80
73.20 Total outlays (gross)	-84	-75	-80
Outlays (gross), detail:			
86.93 Outlays from discretionary balances	77	68	72
86.97 Outlays from new mandatory authority	7	4	4
86.98 Outlays from mandatory balances	3	4
87.00 Total outlays (gross)	84	75	80
Net budget authority and outlays:			
89.00 Budget authority	62	16	73
90.00 Outlays	84	75	80
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value	344	319	260
92.02 Total investments, end of year: Federal securities: Par value	319	260	253

The gifts and contributions account is a consolidation of two trust accounts. In one, gifts and contributions from individuals and organizations are deposited for use in furthering program goals. In the other, funds appropriated to make educational awards to individuals who successfully complete national service are maintained until such time as the individual uses those awards.

CORPORATION FOR PUBLIC BROADCASTING

Federal Funds

General and special funds:

CORPORATION FOR PUBLIC BROADCASTING

For payment to the Corporation for Public Broadcasting, [as authorized by the Communications Act of 1934, an amount which shall be available within limitations specified by that Act, for the fiscal year 2004, \$380,000,000: *Provided*, That no funds made available to the Corporation for Public Broadcasting by this Act shall be used to pay for receptions, parties, or similar forms of entertainment for Government officials or employees: *Provided further*, That none of the funds contained in this paragraph shall be available or used to aid or support any program or activity from which any person is excluded, or is denied benefits, or is discriminated against, on the basis of race, color, national origin, religion, or sex: *Provided further*, That in addition to the amounts provided above,] \$25,000,000, for costs related to digital program production, development, and distribution, associated with the transition of public broadcasting to digital broadcasting, to be awarded as determined by the Corporation in consultation with public radio and television licensees or permittees, or their designated representatives. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 20-0151-0-1-503	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 General programming and system support	340	350	365
00.02 Digital transition	20	25	25
10.00 Total new obligations (object class 41.0)	360	375	390
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	360	375	390
23.95 Total new obligations	-360	-375	-390
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation—Digital	20	25	25
55.00 Advance appropriation—General Programming	340	350	365
70.00 Total new budget authority (gross)	360	375	390
Change in obligated balances:			
73.10 Total new obligations	360	375	390
73.20 Total outlays (gross)	-360	-375	-390
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	360	375	390
Net budget authority and outlays:			
89.00 Budget authority	360	375	390
90.00 Outlays	360	375	390

General programming.—The Corporation for Public Broadcasting provides grants to qualified public television and radio stations to be used at their discretion for purposes related to program production or acquisition and general operations. The Corporation also supports the production and acquisition of radio and television programs for national distribution. In addition, the Corporation assists in the financing of several system-wide activities, including national satellite interconnection services and the payment of music royalty fees, and provides limited technical assistance, research, and planning services to improve system-wide capacity and perform-

ance. By custom, the appropriation for the Corporation has been enacted two years in advance. For 2003 and 2004, appropriations of \$365 million and \$380 million were enacted in the 2001 and 2002 appropriations acts, respectively.

The Administration proposes that the Corporation receive appropriations like other programs that receive Federal assistance. Therefore, a 2005 funding request for the Corporation will be proposed in the FY 2005 President's Budget.

Public broadcasting assists in the educational and cultural development of our Nation. Funding for the Corporation facilitates the provision of universally available educational, non-commercial public telecommunications services that meet the needs of local communities across the country.

Digital transition.—In April 1997, the Federal Communications Commission issued regulations requiring broadcasters to transition from analog to digital broadcasting. Public broadcasters must convert to digital by May 1, 2003, with the possibility of extensions or waivers. Both the Corporation for Public Broadcasting and the Department of Commerce support public broadcasting's digital transition. Commerce Department funding will be targeted for digital transmission equipment, while the Corporation's funding will support necessary investments related to digital program production, development and distribution.

UNITED STATES COURT OF APPEALS FOR VETERANS CLAIMS

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses for the operation of the United States Court of Appeals for Veterans Claims as authorized by 38 U.S.C. 7251-7298, [\$13,221,000] \$14,708,000 of which [\$895,000] \$1,045,000 shall be available for the purpose of providing financial assistance as described, and in accordance with the process and reporting procedures set forth, under this heading in Public Law 102-229.) (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

(The appropriations proposal submitted by the United States Court of Appeals for Veterans Claims follows:)

For necessary expenses for the operation of the United States Court of Appeals for Veterans Claims as authorized by 38 U.S.C. 7251-7298, [\$13,221,000], \$14,994,000 of which (a) [\$895,000] \$1,045,000 shall be available for the purpose of providing financial assistance as described, and in accordance with the process and reporting procedures set forth, under this heading in Public Law 102-229, and (b) \$286,000 shall be available for the purpose of providing security enhancements. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 95-0300-0-1-705	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	12	13	15
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	12	13	15
23.95 Total new obligations	-12	-13	-15
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	12	13	15
Change in obligated balances:			
72.40 Obligated balance, start of year	1	2	2
73.10 Total new obligations	12	13	15
73.20 Total outlays (gross)	-11	-13	-15
74.40 Obligated balance, end of year	2	2	2

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 95-0300-0-1-705	2001 actual	2002 est.	2003 est.
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	10	12	14
86.93 Outlays from discretionary balances	1	1	1
87.00 Total outlays (gross)	11	13	15
Net budget authority and outlays:			
89.00 Budget authority	12	13	15
90.00 Outlays	11	13	15

The Administration does not support the Court's proposal to lease the entire parking garage underneath the building partially occupied by the Court and to sublease parking spaces to other Federal agencies. The privately owned building is rented by the General Service Administration (GSA) on behalf of several agencies, and determinations on the leasing of parking spaces are more appropriately addressed by GSA.

The Veterans Judicial Review Act, 38 U.S.C. §§ 7251-7292 (1988) established the United States Court of Veterans Appeals (renamed United States Court of Appeals for Veterans Claims as of March 1, 1999, Public Law 105-368) under Article I of the United States Constitution. The Court is empowered to review decisions of the Board of Veterans' Appeals and may affirm, modify, revise, or remand a decision of the Board of Veterans' Appeals as it deems appropriate. The type of review performed by the Court is similar to that which is performed in Article III courts under the Administrative Procedure Act, title 5 U.S.C. §§ 551 et seq. In actions before it, the Court has the authority to decide all relevant questions of law, to interpret constitutional, statutory, and regulatory provisions, and to determine the meaning or applicability of the terms of an action by the Secretary of the Department of Veterans Affairs. The Court, being created by an act of Congress, may issue all writs necessary or appropriate in aid of its jurisdiction, 28 U.S.C. § 1651.

The Court is empowered to: compel actions of the Secretary that are found to have been unlawfully withheld or unreasonably delayed; and set aside decisions, findings, conclusions, rules, and regulations issued or adopted by the Secretary, the Board of Veterans' Appeals, or the Chairman of the Board that are found to be arbitrary or capricious. The Court may also set aside decisions which are abuse of discretion or otherwise not in accordance with the law, contrary to constitutional right, in excess of statutory jurisdiction or authority, or without observance of the procedures required by law. In cases involving benefits under the laws administered by the Department, the Court may hold unlawful or set aside findings of material facts if the findings are clearly erroneous.

The Court's principal office location is Washington, D.C.; however, it is a national court, empowered to sit anywhere in the United States.

Pro bono program.—The Legal Services Corporation administers a grant program to provide pro bono representation and legal assistance to claimants who file appeals with the Court. Congress funds the grant program through the Court's appropriation. To maintain impartiality, the Court does not administer the program or comment on the program's budget estimate.

Practice registration fees.—38 U.S.C. § 7285 established a fund, which receives no appropriations, that will be used by the U.S. Court of Appeals for Veterans Claims to employ independent counsel to pursue disciplinary matters involving practitioners and to defray costs for the implementation of the standards of practice before the Court.

Object Classification (in millions of dollars)

Identification code 95-0300-0-1-705	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.3 Personnel compensation: Other than full-time permanent	6	7	7
12.1 Civilian personnel benefits	2	2	3
23.1 Rental payments to GSA	2	2	2
41.0 Grants, subsidies, and contributions	1	1	1
99.0 Direct obligations	11	12	13
99.5 Below reporting threshold	1	1	2
99.9 Total new obligations	12	13	15

Personnel Summary

Identification code 95-0300-0-1-705	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	79	88	93

Trust Funds

COURT OF APPEALS FOR VETERANS CLAIMS RETIREMENT FUND

Unavailable Collections (in millions of dollars)

Identification code 95-8290-0-7-705	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	5	6	6
Receipts:			
02.40 Employing agency contributions	1	1	1
04.00 Total: Balances and collections	6	6	7
07.99 Balance, end of year	6	6	7

This fund, established under 38 U.S.C. § 7298 will be used to pay judges' retired pay and annuities, refunds, and allowances to surviving spouses and dependent children. Participating judges pay one percent of their salaries to cover creditable service for retirement annuity purposes for which payment is required and 2.2 percent of their salaries for survivor annuity purposes for which payment is required. Additional funds as are needed to cover the unfunded liability may be transferred from the annual appropriation of the U.S. Court of Appeals for Veterans Claims.

COURT SERVICES AND OFFENDER SUPERVISION AGENCY FOR THE DISTRICT OF COLUMBIA

Federal Funds

General and special funds:

FEDERAL PAYMENT TO THE COURT SERVICES AND OFFENDER SUPERVISION AGENCY FOR THE DISTRICT OF COLUMBIA
(INCLUDING TRANSFER OF FUNDS)

For salaries and expenses, including the transfer and hire of motor vehicles, of the Court Services and Offender Supervision Agency for the District of Columbia, as authorized by the National Capital Revitalization and Self-Government Improvement Act of 1997 (Public Law 105-33; 111 Stat. 712), **[\$147,300,000, of which \$13,015,000 shall remain available until expended for construction expenses at new or existing facilities, and] \$161,925,000, of which not to exceed \$2,000 is for official receptions related to offender and defendant support programs; of which [\$94,112,000] \$100,612,000 shall be for necessary expenses of Community Supervision and Sex Offender Registration, to include expenses relating to the supervision of adults subject to protection orders or the provision of services for or related to such persons; [\$20,829,000] \$23,956,000 shall be transferred to the Public Defender Service; and [\$32,359,000] \$37,357,000 shall be available to the Pretrial Services Agency: *Provided*, That notwithstanding any other provision of law, all amounts under this heading shall be apportioned quarterly by the Office of Management and Budget and obli-**

gated and expended in the same manner as funds appropriated for salaries and expenses of other Federal agencies: *Provided further*, That notwithstanding chapter 12 of title 40, United States Code, the Director may acquire by purchase, lease, condemnation, or donation, and renovate as necessary, Building Number 17, 1900 Massachusetts Avenue, Southeast, Washington, District of Columbia, or such other site as the Director of the Court Services and Offender Supervision Agency may determine as appropriate to house or supervise offenders and defendants, with funds made available [by this Act] for this purpose in Public Law 107-96: *Provided further*, That the Director is authorized to accept and use gifts in the form of in-kind contributions of space and hospitality to support offender and defendant programs, and equipment and vocational training services to educate and train offenders and defendants: *Provided further*, That the Director shall keep accurate and detailed records of the acceptance and use of any gift or donation under the previous proviso, and shall make such records available for audit and public inspection. (*District of Columbia Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 95-1734-0-1-752	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Community Supervision Program	71	92	107
00.02 Pretrial Services Agency	27	33	37
00.03 Public Defender Service	20	22	24
10.00 Total new obligations	118	147	168
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year			6
22.00 New budget authority (gross)	118	153	162
23.90 Total budgetary resources available for obligation	118	153	168
23.95 Total new obligations	-118	-147	-168
23.98 Unobligated balance expiring or withdrawn	-1		
24.40 Unobligated balance carried forward, end of year		6	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	118	153	162
Change in obligated balances:			
72.40 Obligated balance, start of year	23	22	23
73.10 Total new obligations	118	147	168
73.20 Total outlays (gross)	-119	-146	-160
74.40 Obligated balance, end of year	22	23	31
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	96	124	131
86.93 Outlays from discretionary balances	23	22	29
87.00 Total outlays (gross)	119	146	160
Net budget authority and outlays:			
89.00 Budget authority	118	153	162
90.00 Outlays	119	146	160
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	112	147	155
90.00 Outlays	113	140	153

The National Capital Revitalization and Self-Government Improvement Act established the Court Services and Offender Supervision Agency for the District of Columbia as an independent Federal agency, which has assumed the District of Columbia (D.C.) pretrial services, adult probation, and parole supervision functions. The mission of the Agency is to increase public safety, prevent crime, reduce recidivism and support the fair administration of justice in close collaboration with the community. The D.C. Public Defender Service provides legal representation services within the District of Columbia, and transmits its budget with that of the Agency,

yet operates as an independent District of Columbia agency, as required by law.

The Agency's budget supports the Community Supervision Program, the Pretrial Services Agency, and D.C. the Public Defender Service.

Community Supervision Program.—This activity provides supervision in the community of adult offenders on probation, parole or supervised release—consistent with a crime prevention strategy that integrates supervision, routine drug testing, treatment, and graduated sanctions. The activity also develops and provides probation and parole authorities with timely and useful information for decision-making. For 2003, \$11,920,000 is requested to expand the supervision of offenders in the community, improve the quality and timeliness of diagnostic and investigative reports for probation and parole decision-makers, improve educational and vocational rehabilitative services for offenders, provide substance abuse treatment for offenders under supervision, and increase drug testing collection capabilities in community supervision offices.

Pretrial Services Agency.—This activity assists the trial and appellate levels of both the Federal and local courts in determining eligibility for pretrial release by providing background information on all arrestees. The Pretrial Services Agency is further responsible for supervising conditions of release and reporting on defendants' compliance to the court. For 2003, \$1,733,000 is requested to establish a Day Reporting Center to improve and increase intensive supervision capabilities, provide additional mental health treatment and assessment services for pretrial defendants under supervision, and to improve performance management data collection.

Public Defender Service.—This activity provides legal representation to indigent defendants and provides support in the form of training, consultation and legal reference services to members of the local bar appointed as counsel in criminal, juvenile, and mental health cases involving indigent individuals. For 2003, \$874,000 is requested to provide personnel, training, and support to meet the increased demand for technical expertise by defense lawyers handling DNA-based cases and to provide Constitutionally mandated legal representation to individuals facing parole revocation before the U.S. Parole Commission.

In 2003, the Court Services and Offender Supervision Agency will continue to work closely with all elements of the District of Columbia and Federal criminal justice, courts, corrections, and rehabilitation systems to improve offender supervision and court services programs, policy, and practice.

Object Classification (in millions of dollars)

Identification code 95-1734-0-1-752	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	56	67	74
12.1 Civilian personnel benefits	22	25	29
21.0 Travel and transportation of persons	1	1	1
23.2 Rental payments to others	8	11	13
23.3 Communications, utilities, and miscellaneous charges	2	1	1
25.1 Advisory and assistance services	2	2	2
25.2 Other services	19	22	25
25.3 Other purchases of goods and services from Government accounts	1	1	1
25.4 Operation and maintenance of facilities	1	1	1
26.0 Supplies and materials	1	1	2
31.0 Equipment	5	7	9
32.0 Land and structures		8	10
99.9 Total new obligations	118	147	168

Personnel Summary

Identification code 95-1734-0-1-752	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	810	1,167	1,266

DEFENSE NUCLEAR FACILITIES SAFETY BOARD

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Defense Nuclear Facilities Safety Board in carrying out activities authorized by the Atomic Energy Act of 1954, as amended by Public Law 100-456, section 1441, **[\$18,500,000] \$19,494,000**, to remain available until expended. (*Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 95-3900-0-1-053	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	20	20	20
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	3	1
22.00 New budget authority (gross)	18	18	19
22.10 Resources available from recoveries of prior year obligations	2		
23.90 Total budgetary resources available for obligation	22	21	20
23.95 Total new obligations	-20	-20	-20
24.40 Unobligated balance carried forward, end of year	3	1	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	18	18	19
Change in obligated balances:			
72.40 Obligated balance, start of year	7	7	8
73.10 Total new obligations	20	20	20
73.20 Total outlays (gross)	-18	-18	-19
73.45 Recoveries of prior year obligations	-2		
74.40 Obligated balance, end of year	7	8	8
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	11	11	11
86.93 Outlays from discretionary balances	7	7	8
87.00 Total outlays (gross)	18	18	19
Net budget authority and outlays:			
89.00 Budget authority	18	18	19
90.00 Outlays	18	18	19

The Defense Nuclear Facilities Safety Board, authorized by Public Law 100-456, is responsible for evaluating the content and implementation of the standards relating to the design, construction, operation, and decommissioning of defense nuclear facilities of the Department of Energy (DOE) (as defined in Public Law 100-456). The Board also reviews the design of new DOE defense nuclear facilities and periodically reviews and monitors construction of such facilities to ensure adequate protection of public and worker health and safety. In addition, the National Defense Authorization Act for 1992 and 1993 (Public Law 102-190) expanded the Board's jurisdiction to include facilities and activities involved with the assembly, disassembly, and testing of nuclear weapons. The Board is also responsible for investigating any event or practice at a defense nuclear facility which has or may adversely affect public health and safety. The Board makes specific recommendations to the Secretary of Energy on measures that should be adopted to ensure that both public and employee health and safety are adequately protected.

Object Classification (in millions of dollars)

Identification code 95-3900-0-1-053	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	9	11	11
12.1 Civilian personnel benefits	3	3	3

21.0	Travel and transportation of persons	1	1	1
23.1	Rental payments to GSA	2	2	2
25.1	Advisory and assistance services	2	1	1
25.2	Other services	2	1	1
99.0	Direct obligations	19	19	19
99.5	Below reporting threshold	1	1	1
99.9	Total new obligations	20	20	20

Personnel Summary

Identification code 95-3900-0-1-053	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	93	102	102

DELTA REGIONAL AUTHORITY

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Delta Regional Authority and to carry out its activities, as authorized by the Delta Regional Authority Act of 2000, *notwithstanding section 382N of said Act*, **[\$10,000,000] \$10,017,170**, to remain available until expended. (*Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 95-0750-0-1-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program Activity		7	13
10.00 Total new obligations		7	13
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		20	24
22.00 New budget authority (gross)	20	10	10
23.90 Total budgetary resources available for obligation	20	30	34
23.95 Total new obligations		-7	-13
24.40 Unobligated balance carried forward, end of year	20	24	21
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	20	10	10
Change in obligated balances:			
72.40 Obligated balance, start of year			6
73.10 Total new obligations		7	13
73.20 Total outlays (gross)		-1	-9
74.40 Obligated balance, end of year		6	10
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		1	1
86.93 Outlays from discretionary balances			8
87.00 Total outlays (gross)		1	9
Net budget authority and outlays:			
89.00 Budget authority	20	10	10
90.00 Outlays		1	9

The Delta Regional Authority (DRA), authorized by P.L. 106-554, was established to assist an eight-state, 236-county region of demonstrated distress in obtaining the transportation and basic public infrastructure, skills training, and opportunities for economic development essential to strong local economies.

The DRA was created as a Federal-State partnership modeled after other regional development agencies. DRA will focus on: basic public infrastructure in distressed counties and isolated areas of distress; transportation infrastructure facilitating the economic development of the region; business

development; and job training or employment-related education.

Object Classification (in millions of dollars)

Identification code 95-0750-0-1-452	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent		1	1
41.0 Grants, subsidies, and contributions		6	12
99.9 Total new obligations		7	13

Personnel Summary

Identification code 95-0750-0-1-452	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment		5	5

DENALI COMMISSION

Federal Funds

General and special funds:

DENALI COMMISSION

For expenses of the Denali Commission including the purchase, construction and acquisition of plant and capital equipment as necessary and other expenses, **[\$38,000,000] \$29,959,604**, to remain available until expended. (*Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 95-1200-0-1-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
01.01 Direct Program Activity	30	38	30
09.00 Reimbursable program	25	53	
10.00 Total new obligations	55	91	30
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4	4	4
22.00 New budget authority (gross)	55	91	30
23.90 Total budgetary resources available for obligation	59	95	34
23.95 Total new obligations	-55	-91	-30
24.40 Unobligated balance carried forward, end of year	4	4	4
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	30	38	30
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	25	53	
70.00 Total new budget authority (gross)	55	91	30
Change in obligated balances:			
72.40 Obligated balance, start of year	19	63	64
73.10 Total new obligations	55	91	30
73.20 Total outlays (gross)	-11	-90	-76
74.40 Obligated balance, end of year	63	64	17
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	6	61	20
86.93 Outlays from discretionary balances	5	29	57
87.00 Total outlays (gross)	11	90	76
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-25	-53	
Net budget authority and outlays:			
89.00 Budget authority	30	38	30
90.00 Outlays	-14	37	76

The Denali Commission was established by the Denali Commission Act of 1998 (P.L. 105-277) to promote sustainable rural infrastructure development, to provide job training and

other economic development services in rural communities with a focus on distressed communities, and to deliver services in the most cost-effective manner practicable in the State of Alaska. The Denali Commission is composed of 7 members with a Federal Cochairperson. The Commission is required to develop an annual work plan that ensures coordination of State and Federal agencies for cost-shared and sustainable utilities and infrastructure related projects that promote health, safety, and economic self-sufficiency throughout rural Alaska under a statutory overhead ceiling of not more than 5 percent.

Object Classification (in millions of dollars)

Identification code 95-1200-0-1-452	2001 actual	2002 est.	2003 est.
41.0 Direct obligations: Grants, subsidies, and contributions	29	37	30
99.0 Reimbursable obligations: Reimbursable obligations	25	53	-1
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	55	91	30

Personnel Summary

Identification code 95-1200-0-1-452	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	4	7	9

Trust Funds

DENALI COMMISSION TRUST FUND

Program and Financing (in millions of dollars)

Identification code 95-8056-0-7-452	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
01.01 Direct Program Activity	11	11	11
10.00 Total new obligations	11	11	11
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	11	11	11
23.95 Total new obligations	-11	-11	-11
New budget authority (gross), detail:			
Discretionary:			
40.26 Appropriation (trust fund)	11	11	11
Change in obligated balances:			
72.40 Obligated balance, start of year		11	11
73.10 Total new obligations	11	11	11
73.20 Total outlays (gross)		-11	-11
74.40 Obligated balance, end of year	11	11	11
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		11	11
Net budget authority and outlays:			
89.00 Budget authority	11	11	11
90.00 Outlays		11	11

The Omnibus Consolidated and Emergency Supplemental Appropriations Act of 1999 (P.L. 105-277) established the annual transfer of interest from the Oil Spill Liability Trust Fund to the Denali Commission. The Denali Commission, in consultation with the Coast Guard, developed a program in which these funds are to be used to repair or replace bulk fuel storage tanks in Alaska which are not in compliance with Federal law, including the Oil Pollution Act of 1990, or State law.

DENALI COMMISSION TRUST FUND—Continued

Object Classification (in millions of dollars)			
Identification code 95-8056-0-7-452	2001 actual	2002 est.	2003 est.
41.0 Direct obligations: Grants, subsidies, and contributions	10	10	10
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	11	11	11

DISTRICT OF COLUMBIA

DISTRICT OF COLUMBIA COURTS

Federal Funds

General and special funds:

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA COURTS

For salaries and expenses for the District of Columbia Courts, **[\$112,180,000] \$159,045,000**, to be allocated as follows: for the District of Columbia Court of Appeals, **[\$8,003,000] \$8,352,000**, of which not to exceed \$1,500 is for official reception and representation expenses; for the District of Columbia Superior Court, **[\$66,091,000] \$80,140,000**, of which not to exceed \$1,500 is for official reception and representation expenses; for the District of Columbia Court System, **[\$31,594,000] \$38,902,000**, of which not to exceed \$1,500 is for official reception and representation expenses; and **[\$6,492,000] \$31,651,000** for capital improvements for District of Columbia courthouse facilities: *Provided, That these funds become available following transmittal of a General Services Administration master plan study and building evaluation report to the Committees on Appropriations and the President: Provided further, That notwithstanding any other provision of law, all amounts under this heading shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for salaries and expenses of other Federal agencies, with payroll and financial services to be provided on a contractual basis with the General Services Administration (GSA), said services to include the preparation of monthly financial reports, copies of which shall be submitted directly by GSA to the President and to the Committees on Appropriations of the Senate and House of Representatives, the Committee on Governmental Affairs of the Senate, and the Committee on Government Reform of the House of Representatives: Provided further, That funds made available for capital improvements may remain available until September 30, [2003] 2004. (District of Columbia Appropriations Act, 2002.)*

Program and Financing (in millions of dollars)

Identification code 95-1712-0-1-806	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Court of Appeals	6	8	8
00.02 Superior Court	61	66	80
00.03 Court System	28	32	39
00.04 Capital improvements	2	6	32
10.00 Total new obligations (object class 41.0)	97	112	159
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	3	3
22.00 New budget authority (gross)	105	112	159
23.90 Total budgetary resources available for obligation	107	115	162
23.95 Total new obligations	-97	-112	-159
23.98 Unobligated balance expiring or withdrawn	-7		
24.40 Unobligated balance carried forward, end of year	3	3	2
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	105	112	159
Change in obligated balances:			
72.40 Obligated balance, start of year	9	11	11
73.10 Total new obligations	97	112	159
73.20 Total outlays (gross)	-95	-112	-154
74.40 Obligated balance, end of year	11	11	16

Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	85	100	142
86.93 Outlays from discretionary balances	10	12	12
87.00 Total outlays (gross)	95	112	154

Net budget authority and outlays:			
89.00 Budget authority	105	112	159
90.00 Outlays	95	112	154

Under the National Capital Revitalization and Self-Government Improvement Act of 1997, the Federal Government is required to finance the District of Columbia Courts beginning in 1998. This Federal payment to the District of Columbia Courts funds the operations of the District of Columbia Court of Appeals, Superior Court and the Court System. Beginning in 1999, the Federal Government also provides funds for capital improvements. Beginning in 2000, funding for Defender Services in the District of Columbia Courts is provided through a separate account. In 2002, funding for the Family Court was provided through a separate account. Beginning in 2003, funding for the Family Court is included in the District of Columbia Courts account.

By law, the annual budget includes estimates of the expenditures for the operations of the District of Columbia Courts prepared by the Joint Committee on Judicial Administration in the District of Columbia and the President's recommendation for funding District Courts operations. The President's recommended level of \$159 million includes: \$127 million for District of Columbia Court of Appeals, Superior Court of the District of Columbia and the District of Columbia Court System operations; and \$32 million for capital improvements for District courthouse facilities. Under a separate transmittal to Congress, the District Courts are requesting \$193 million; \$131 million for operations and \$62 million for capital improvements.

DEFENDER SERVICES IN DISTRICT OF COLUMBIA COURTS

For payments authorized under section 11-2604 and section 11-2605, D.C. Official Code (relating to representation provided under the District of Columbia Criminal Justice Act), payments for counsel appointed in proceedings in the Family Division of the Superior Court of the District of Columbia under chapter 23 of title 16, D.C. Code, and payments for counsel authorized under section 21-2060, D.C. Code (relating to representation provided under the District of Columbia Guardianship, Protective Proceedings, and Durable Power of Attorney Act of 1986), **[\$34,311,000] \$32,000,000**, to remain available until expended: *Provided, That the funds provided in this Act under the heading "Federal Payment to the District of Columbia Courts" (other than the **[\$6,492,000] amount** provided under such heading for capital improvements [for District of Columbia courthouse facilities]) may also be used for payments under this heading[: *Provided further, That in addition to the funds provided under this heading, the Joint Committee on Judicial Administration in the District of Columbia shall use funds provided in this Act under the heading "Federal Payment to the District of Columbia Courts" (other than the \$6,492,000 provided under such heading for capital improvements for District of Columbia courthouse facilities), and such funds shall be used to make such payments [described under this heading] for obligations incurred during any prior fiscal year, as determined by the Joint Committee on Judicial Administration in the District of Columbia: Provided further, That of the amounts provided in previous fiscal years for payments described under this heading which remain unobligated as of the date of the enactment of this Act, **[\$4,685,500]** shall be used by the Joint Committee on Judicial Administration for design and construction expenses of the courthouse at 451 Indiana Avenue NW: *Provided further, That of the remainder of such amounts,] such sums as may be necessary shall be applied toward [the portion of the amount provided under this heading which is attributable to] any increases in the maximum amounts which may be paid for representation services in the District of Columbia courts: *Provided further, That funds provided under this heading shall be administered by the Joint Committee on Judicial Administration in the District of Columbia: *Provided further, That notwithstanding any*****

other provision of law, this appropriation shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for expenses of other Federal agencies, with payroll and financial services to be provided on a contractual basis with the General Services Administration (GSA), said services to include the preparation of monthly financial reports, copies of which shall be submitted directly by GSA to the President and to the Committees on Appropriations of the Senate and House of Representatives, the Committee on Governmental Affairs of the Senate, and the Committee on Government Reform of the House of Representatives. (*District of Columbia Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 95-1736-0-1-806	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)	28	34	32
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	8	15	15
22.00 New budget authority (gross)	34	34	32
23.90 Total budgetary resources available for obligation	42	49	47
23.95 Total new obligations	-28	-34	-32
24.40 Unobligated balance carried forward, end of year	15	15	15
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	34	34	32
Change in obligated balances:			
72.40 Obligated balance, start of year	1		
73.10 Total new obligations	28	34	32
73.20 Total outlays (gross)	-28	-34	-32
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	23	31	29
86.93 Outlays from discretionary balances	5	3	3
87.00 Total outlays (gross)	28	34	32
Net budget authority and outlays:			
89.00 Budget authority	34	34	32
90.00 Outlays	28	34	32

The District of Columbia Courts appoint and compensate attorneys to represent persons who are financially unable to obtain such representation under three Defender Services programs: the Criminal Justice Act (CJA) program provides court-appointed attorneys to indigent persons who are charged with criminal offenses; the Counsel for Child Abuse and Neglect (CCAN) program provides court-appointed attorneys for family proceedings in which child neglect is alleged, or where the termination of the parent-child relationship is under consideration and the parent, guardian, or custodian of the child is indigent; the Guardianship program provides for the representation and protection of mentally incapacitated individuals and minors whose parents are deceased. In addition to legal representation, these programs provide indigent persons with services such as: transcripts of court proceedings; expert witness testimony; foreign and sign language interpretation; and investigations and genetic testing. The President's recommended funding level for Defender Services is \$32 million. Under a separate transmittal to Congress, the Courts are requesting \$45 million for Defender Services.

[FEDERAL PAYMENT FOR FAMILY COURT ACT]

[For carrying out the District of Columbia Family Court Act of 2001, \$24,016,000, of which \$23,316,000 shall be for the Superior Court of the District of Columbia and \$700,000 shall be for the Mayor of the District of Columbia of which \$200,000 shall be for completion of a plan by the Mayor on integrating the computer systems of the District of Columbia government with the Family Court

of the Superior Court of the District of Columbia: *Provided*, That the Mayor shall submit a plan to the President and the Congress within 6 months of enactment of that Act, so that social services and other related services to individuals and families serviced by the Family Court of the Superior Court and agencies of the District of Columbia government (including the District of Columbia Public Schools, the District of Columbia Housing Authority, the Child and Family Services Agency, the Office of the Corporation Counsel, the Metropolitan Police Department, the Department of Health, and other offices determined by the Mayor) will be able to access and share information on the individuals and families served by the Family Court: *Provided further*, That \$500,000 of such amount provided to the Mayor shall be for the Child and Family Services Agency to be used for social workers to implement Family Court reform: *Provided further*, That the chief judge of the Superior Court shall submit the transition plan for the Family Court of the Superior Court as required under the District of Columbia Family Court Act of 2001 to the Comptroller General (in addition to any other requirements under such section): *Provided further*, That the Comptroller General shall prepare and submit to the President and Congress an analysis of the contents and effectiveness of the plan, including an analysis of whether the plan contains all of the information required under such section within 30 calendar days after the submission of the plan by the Superior Court: *Provided further*, That the funds provided under this heading to the Superior Court shall not be made available until the expiration of the 30-day period (excluding Saturdays, Sundays, legal public holidays, and any day on which neither House of Congress is in session because of an adjournment sine die, a recess of more than 3 days, or an adjournment of more than 3 days) which begins on the date the Comptroller General submits such analysis to the President and Congress: *Provided further*, That the Mayor shall prepare and submit to the President, Congress, and the Comptroller General a plan for the use of the funds provided to the Mayor under this heading, consistent with the requirements of the District of Columbia Family Court Act of 2001, including the requirement to integrate the computer systems of the District government with the computer systems of the Superior Court: *Provided further*, That the Comptroller General shall prepare and submit to the President and Congress an analysis of the contents and effectiveness of the plan within 30 calendar days after the submission of the plan by the Mayor: *Provided further*, That the funds provided under this heading to the Mayor shall not be made available until the expiration of the 30-day period (excluding Saturdays, Sundays, legal public holidays, and any day on which neither House of Congress is in session because of an adjournment sine die, a recess of more than 3 days, or an adjournment of more than 3 days) which begins on the date the Comptroller General submits such plan to the President and Congress.] (*District of Columbia Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 20-1760-0-1-806	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Family Court Reform		24	
10.00 Total new obligations (object class 41.0)		24	
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		24	
23.95 Total new obligations		-24	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation		24	
Change in obligated balances:			
72.40 Obligated balance, start of year			3
73.10 Total new obligations		24	
73.20 Total outlays (gross)		-21	-3
74.40 Obligated balance, end of year			3
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		21	
86.93 Outlays from discretionary balances			3
87.00 Total outlays (gross)		21	3
Net budget authority and outlays:			
89.00 Budget authority		24	

General and special funds—Continued

[FEDERAL PAYMENT FOR FAMILY COURT ACT]—Continued

Program and Financing (in millions of dollars)—Continued

Identification code	2001 actual	2002 est.	2003 est.
20-1760-0-1-806			
90.00 Outlays		21	3

CRIME VICTIMS COMPENSATION FUND

Program and Financing (in millions of dollars)

Identification code	2001 actual	2002 est.	2003 est.
20-1759-0-1-806			
Obligations by program activity:			
00.01 Direct Program Activity		18	
10.00 Total new obligations (object class 41.0)		18	
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		18	
22.00 New budget authority (gross)	18		
23.90 Total budgetary resources available for obligation	18	18	
23.95 Total new obligations		-18	
24.40 Unobligated balance carried forward, end of year	18		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	18		
Change in obligated balances:			
73.10 Total new obligations		18	
73.20 Total outlays (gross)		-18	
Outlays (gross), detail:			
86.93 Outlays from discretionary balances		18	
Net budget authority and outlays:			
89.00 Budget authority	18		
90.00 Outlays		18	

The \$18 million unobligated balance remaining in the D.C. Crime Victims Compensation Fund at the end of 2000 was made available to the D.C. Courts in 2001 for direct compensation to crime victims and for other purposes.

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA JUDICIAL RETIREMENT AND SURVIVORS ANNUITY FUND

Program and Financing (in millions of dollars)

Identification code	2001 actual	2002 est.	2003 est.
20-1713-0-1-752			
Obligations by program activity:			
10.00 Total new obligations (object class 13.0)	5	6	6
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	5	6	6
23.95 Total new obligations	-5	-6	-6
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	5	6	6
Change in obligated balances:			
73.10 Total new obligations	5	6	6
73.20 Total outlays (gross)	-5	-6	-6
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	5	6	6
Net budget authority and outlays:			
89.00 Budget authority	5	6	6
90.00 Outlays	5	6	6

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended (the Act), requires the Secretary of the Treasury to make payments at the end of each fiscal year, beginning in 1998, from the General Fund of the Treasury into the District of Columbia Judicial Retirement and Survivors Annuity Fund (Judicial Fund). Annual payments consist of amounts necessary to amortize: the original unfunded liability over 30 years; the net experience gain or loss over 10 years; any other changes in actuarial liability over 20 years; and amounts necessary to fund the normal cost and covered administrative expenses for the year. This account receives the annual payments from the General Fund and immediately transfers those amounts to the Judicial Fund through an expenditure transfer.

Trust Funds

DISTRICT OF COLUMBIA JUDICIAL RETIREMENT AND SURVIVORS ANNUITY FUND

Unavailable Collections (in millions of dollars)

Identification code	2001 actual	2002 est.	2003 est.
20-8212-0-7-602			
01.99 Balance, start of year	65	66	66
Receipts:			
02.00 Deductions from employees salaries	1		
02.40 Interest earnings	5	5	5
02.41 Amortization payment	5	6	6
02.99 Total receipts and collections	11	11	11
04.00 Total: Balances and collections	76	77	77
Appropriations:			
05.00 District of Columbia Judicial Retirement and Survivors Annuity Fund	-10	-11	-11
07.99 Balance, end of year	66	66	66

Program and Financing (in millions of dollars)

Identification code	2001 actual	2002 est.	2003 est.
20-8212-0-7-602			
Obligations by program activity:			
00.01 Retirement Payments	6	7	7
10.00 Total new obligations (object class 13.0)	6	7	7
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	78	82	86
22.00 New budget authority (gross)	10	11	11
23.90 Total budgetary resources available for obligation	88	93	97
23.95 Total new obligations	-6	-7	-7
24.40 Unobligated balance carried forward, end of year	82	86	90
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)	10	11	11
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	1
73.10 Total new obligations	6	7	7
73.20 Total outlays (gross)	-6	-7	-7
74.40 Obligated balance, end of year	1	1	1
Outlays (gross), detail:			
86.98 Outlays from mandatory balances	6	7	7
Net budget authority and outlays:			
89.00 Budget authority	10	11	11
90.00 Outlays	6	7	7
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value	81	86	61
92.02 Total investments, end of year: Federal securities: Par value	86	61	61

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended (the Act), established

the District of Columbia Judicial Retirement and Survivors Annuity Fund (Judicial Fund) to pay retirement benefits for District of Columbia judges and to pay any necessary expenses to administer the fund or expenses incurred by the Secretary of the Treasury in carrying out his responsibilities regarding such retirement benefits. The Judicial Fund consists of: amounts contributed by the judges; the proceeds of accumulated pension assets transferred from the District of Columbia and liquidated, pursuant to the Act; any income earned from investment of the assets in public debt securities; and amounts appropriated to the fund.

[FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA CORRECTIONS TRUSTEE OPERATIONS]

[For salaries and expenses of the District of Columbia Corrections Trustee, \$30,200,000 for the administration and operation of correctional facilities and for the administrative operating costs of the Office of the Corrections Trustee, as authorized by section 11202 of the National Capital Revitalization and Self-Government Improvement Act of 1997 (Public Law 105-33; 111 Stat. 712) of which \$1,000,000 is to fund an initiative to improve case processing in the District of Columbia criminal justice system, \$500,000 to remain available until September 30, 2003 for building renovations or space acquisition required to accommodate functions transferred from the Lorton Correctional Complex, and \$1,500,000 to remain available until September 30, 2003, to be transferred to the appropriate agency for the closing of the sewage treatment plant and the removal of underground storage tanks at the Lorton Correctional Complex: *Provided*, That notwithstanding any other provision of law, funds appropriated in this Act for the District of Columbia Corrections Trustee shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for salaries and expenses of other Federal agencies.] (*District of Columbia Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 95-1735-0-1-806	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	134	30	
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	134	30	
23.95 Total new obligations	-134	-30	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	134	30	
Change in obligated balances:			
72.40 Obligated balance, start of year		39	
73.10 Total new obligations	134	30	
73.20 Total outlays (gross)	-144	-69	
73.40 Adjustments in expired accounts (net)	49		
74.40 Obligated balance, end of year	39		
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	121	30	
86.93 Outlays from discretionary balances	23	39	
87.00 Total outlays (gross)	144	69	
Net budget authority and outlays:			
89.00 Budget authority	134	30	
90.00 Outlays	144	69	

The National Capital Revitalization and Self-Government Improvement Act requires that the adult felon population of the District of Columbia be transferred to the Federal Prison System by no later than December 31, 2001. To assist in this transition, the Act established a Corrections Trustee to provide financial oversight and assistance to the District of Columbia Department of Corrections.

The last inmates were transferred out of the Lorton Correctional Complex on November 19, 2001, and the transition

of District of Columbia adult felony inmates to the Federal Bureau of Prisons was completed. With the transfers, the Federal Bureau of Prisons has approximately 7,000 District of Columbia inmates in its custody.

The remaining property at the Lorton Correctional Complex will be vacated and prepared for transfer to Fairfax County during the remainder of 2002. Included in preparatory work will be the closing of the sewerage treatment plant and the removal of underground storage tanks. Continuing Lorton-based functions will be relocated from the Lorton complex. With the completion of its mission, the Corrections Trustee will terminate operations at the end of 2002.

DISTRICT OF COLUMBIA GENERAL AND SPECIAL PAYMENTS

Federal Funds

General and special funds:

FEDERAL PAYMENT FOR RESIDENT TUITION SUPPORT

For a Federal payment to the District of Columbia, to be deposited into a dedicated account, for a nationwide program to be administered by the Mayor, for District of Columbia resident tuition support, \$17,000,000, to remain available until expended: *Provided*, That such funds, including any interest accrued thereon, may be used on behalf of eligible District of Columbia residents to pay an amount based upon the difference between in-State and out-of-State tuition at public institutions of higher education, or to pay up to \$2,500 each year at eligible private institutions of higher education: *Provided further*, That the awarding of such funds may be prioritized on the basis of a resident's academic merit, the income and need of eligible students and such other factors as may be authorized: *Provided further*, That the District of Columbia government shall establish a dedicated account for the Resident Tuition Support Program that shall consist of the Federal funds appropriated to the Program in this Act and any subsequent appropriations, any unobligated balances from prior fiscal years, and any interest earned in this or any fiscal year: *Provided further*, That the account shall be under the control of the District of Columbia Chief Financial Officer who shall use those funds solely for the purposes of carrying out the Resident Tuition Support Program: *Provided further*, That the Resident Tuition Support Program Office and the Office of the Chief Financial Officer shall provide a quarterly financial report to the Committees on Appropriations of the Senate and House of Representatives for these funds showing, by object class, the expenditures made and the purpose therefor: *Provided further*, That not more than seven percent of the total amount appropriated for this program may be used for administrative expenses. (*District of Columbia Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 20-1736-0-1-502	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	17	17	17
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	17	17	17
23.95 Total new obligations	-17	-17	-17
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	17	17	17
Change in obligated balances:			
73.10 Total new obligations	17	17	17
73.20 Total outlays (gross)	-17	-17	-17
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	17	17	17
Net budget authority and outlays:			
89.00 Budget authority	17	17	17
90.00 Outlays	17	17	17

The Resident Tuition Support program equalizes postsecondary education opportunities for students from the District of Columbia by enabling them to attend any public college

General and special funds—Continued**FEDERAL PAYMENT FOR RESIDENT TUITION SUPPORT—Continued**

in the Nation at in-State tuition prices or to receive scholarships to attend private colleges in the D.C. metropolitan area.

FEDERAL SUPPORT FOR ECONOMIC DEVELOPMENT AND MANAGEMENT REFORMS IN THE DISTRICT**FEDERAL PAYMENT TO THE DEPARTMENT OF PUBLIC WORKS
DIVISION OF TRANSPORTATION**

For a Federal Payment to the Department of Public Works Division of Transportation, \$1,000,000: Provided, That such funds will be used to implement Transportation Systems Management (TSM) initiatives and strategies recommended in the October 2001 report by the Interagency Task Force of the National Capital Planning Commission in coordination with the National Capital Planning Commission.

[FEDERAL PAYMENT FOR INCENTIVES FOR ADOPTION OF CHILDREN]

[The paragraph under the heading “Federal Payment for Incentives for Adoption of Children” in Public Law 106–113, approved November 29, 1999 (113 Stat. 1501), is amended to read as follows: “For a Federal payment to the District of Columbia to create incentives to promote the adoption of children in the District of Columbia foster care system, \$5,000,000: *Provided*, That such funds shall remain available until September 30, 2003, and shall be used to carry out all of the provisions of title 38 of the Fiscal Year 2001 Budget Support Act of 2000, effective October 19, 2000 (D.C. Law 13–172), as amended, except for section 3808: *Provided further*, That \$1,000,000 of said amount shall be used for the establishment of a scholarship fund for District of Columbia children of adoptive families, and District of Columbia children without parents due to the September 11, 2001 terrorist attack to be used for post high school education and training.”.]

**[FEDERAL PAYMENT TO THE CHIEF FINANCIAL OFFICER OF THE
DISTRICT OF COLUMBIA]**

[For a Federal payment to the Chief Financial Officer of the District of Columbia, \$8,300,000, of which \$2,250,000 shall be for payment for a pilot project to demonstrate the “Active Cap” river cleanup technology on the Anacostia River; \$500,000 shall be for payment to the Washington, D.C. Sports and Entertainment Commission which, in coordination with the U.S. Soccer Foundation, shall use the funds for environmental and infrastructure costs at Kenilworth Park in the creation of the Kenilworth Regional Sports Complex; \$600,000 shall be for payment to the One Economy Corporation, a non-profit organization, to increase Internet access to low-income homes in the District of Columbia; \$500,000 shall be for payment to the Langston Project for the 21st Century, a community revitalization project to improve physical education and training facilities; \$1,000,000 shall be for payment to the Green Door Program, for capital improvements at a community mental health clinic; \$500,000 shall be for payment to the Historical Society of Washington, for capital improvements to the new City Museum; \$200,000 for a payment to Teach for America DC, for teacher development; \$350,000 for payment to the District of Columbia Safe Kids Coalition, to promote child passenger safety through the Child Occupant Protection Initiative; \$50,000 for payment for renovations at Eastern Market; \$1,000,000 shall be for payment to the Excel Institute Adult Education Program to be used by the Institute for construction and to acquire construction services provided by the General Services Administration on a reimbursable basis; \$300,000 shall be for payment to the Woodlawn Cemetery for restoration of the Cemetery; \$250,000 shall be for payment to the Real World Schools concerning 21st Century reform models for secondary education and the use of technology to support learning in the District of Columbia; \$300,000 shall be for payment to a mentoring program and for hotline services; \$250,000 shall be for payment to a youth development program with a character building curriculum; and \$250,000 shall be for payment to a basic values training program.]

**[FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA PUBLIC
SCHOOLS]**

[For a Federal payment to the District of Columbia Public Schools, \$2,500,000, of which \$2,000,000 shall be to implement the Voyager Expanded Learning literacy program in kindergarten and first grade classrooms in the District of Columbia Public Schools; \$250,000 shall

be for the Failure Free Reading literacy program for non-readers and special education students; and \$250,000 for Lightspan, Inc. to implement the eduTest.com program in the District of Columbia Public Schools.]

**[FEDERAL PAYMENT TO THE CHILDREN’S NATIONAL MEDICAL
CENTER]**

[For a Federal payment to the Children’s National Medical Center in the District of Columbia, \$5,500,000, of which \$5,000,000 shall be for capital and equipment improvements, and \$500,000 shall be used for the network of satellite pediatric health clinics for children and families in underserved neighborhoods and communities in the District of Columbia.]

[ST. COLETTA OF GREATER WASHINGTON EXPANSION PROJECT]

[For a Federal contribution to St. Coletta of Greater Washington, Inc., for costs associated with the establishment of a day program and comprehensive case management services for mentally retarded and multiple-handicapped adolescents and adults in the District of Columbia, including property acquisition and construction, \$2,000,000.]

**[FEDERAL PAYMENT TO THE CAPITOL CITY CAREER DEVELOPMENT
AND JOB TRAINING PARTNERSHIP]**

[For a Federal Payment to the Capitol City Career Development and Job Training Partnership, \$500,000.]

[FEDERAL PAYMENT TO THE CAPITOL EDUCATION FUND]

[For a Federal payment to the Capitol Education Fund, \$500,000.]

**[FEDERAL PAYMENT TO THE METROPOLITAN KAPPA YOUTH
DEVELOPMENT FOUNDATION, INC.]**

[For a Federal payment to the Metropolitan Kappa Youth Development Foundation, Inc., \$450,000.]

**[FEDERAL PAYMENT TO THE FIRE AND EMERGENCY MEDICAL
SERVICES DEPARTMENT]**

[For a Federal payment to the Fire and Emergency Medical Services Department, \$500,000 for dry-docking of the Fire Boat.]

[FEDERAL PAYMENT TO THE CHIEF MEDICAL EXAMINER]

[For a Federal payment to the Chief Medical Examiner, \$585,000 for reduction in the backlog of autopsies, case reports and for the purchase of toxicology and histology equipment.]

[FEDERAL PAYMENT TO THE YOUTH LIFE FOUNDATION]

[For a Federal payment to the Youth Life Foundation, \$250,000 for technical assistance, operational expenses, and establishment of a National Training Institute.]

[FEDERAL PAYMENT TO FOOD AND FRIENDS]

[For a Federal payment to Food and Friends, \$2,000,000 for their Capital Campaign.]

[FEDERAL PAYMENT TO THE CITY ADMINISTRATOR]

[For a Federal payment to the City Administrator, \$300,000 for the Criminal Justice Coordinating Council for the District of Columbia.]

[FEDERAL PAYMENT TO SOUTHEASTERN UNIVERSITY]

[For a Federal payment to Southeastern University, \$500,000 for a public/private partnership with the District of Columbia Public Schools at the McKinley Technology High School campus.]

**[FEDERAL PAYMENTS FOR DISTRICT OF COLUMBIA AND FEDERAL
LAW ENFORCEMENT MOBILE WIRELESS INTEROPERABILITY PROJECT]**

[For Federal payments in support of the District of Columbia and the Federal law enforcement Mobile Wireless Interoperability Project, \$1,400,000, of which \$400,000 shall be for a payment to the District of Columbia Office of the Chief Technology Officer, \$333,334 shall be for a payment to the United States Secret Service, \$333,333 shall be for a payment to the United States Capitol Police, and \$333,333 shall be for a payment to the United States Park Police: *Provided*, That each agency shall participate in the preparation of a joint report to the Committees on Appropriations of the Senate and the House of Representatives to be submitted no later than March 30, 2002 on the allocation of these resources and a description of each agencies’ resource commitment to this project for fiscal year 2003.]

【FEDERAL PAYMENT TO FAITH AND POLITICS INSTITUTE】

【For a Federal payment to the Faith and Politics Institute, \$50,000, for grass roots-based racial sensitivity programs in the District of Columbia.】

【FEDERAL PAYMENT TO THE THURGOOD MARSHALL ACADEMY CHARTER SCHOOL】

【For a Federal payment to the Thurgood Marshall Academy Charter School, \$1,000,000 to be used to acquire and renovate an educational facility in Anacostia.】

【FEDERAL PAYMENT TO THE GEORGE WASHINGTON UNIVERSITY CENTER FOR EXCELLENCE IN MUNICIPAL MANAGEMENT】

【For a Federal payment to the George Washington University Center for Excellence in Municipal Management, \$250,000 to increase the enrollment of managers from the District of Columbia government.】

【COURT APPOINTED SPECIAL ADVOCATES】

【For a Federal payment to the District of Columbia Court Appointed Special Advocates Unit, \$250,000 to be used to expand its work in the Family Court of the District of Columbia Superior Court.】
(District of Columbia Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 20-1707-0-1-806	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.10 Transportation Systems Management			1
00.11 Public Education	1	3	
00.12 Capitol City Career Development		1	
00.13 Capitol Education Fund		1	
00.14 Fire and Emergency Medical Services		1	
00.15 Metro improvements		25	
00.16 Chief Medical Examiner		1	
00.28 Children's Medical Center	1	6	
00.29 Food and Friends		2	
00.30 Southeastern University		1	
00.31 Wireless Interoperability Project		1	
00.49 Chief Financial Officer	1	8	
00.50 Commercial Revitalization Program	2		
00.51 Covenant House	1		
00.52 Washington Interfaith Network		1	
00.53 Thurgood Marshall Academy Charter School		1	
00.54 Poplar Point brownfield remediation		3	
00.55 Presidential Inauguration expenses	6		
00.56 Child Advocacy Center	1		
00.57 St. Coletta	1	2	
00.58 Emergency Response	6		
10.00 Total new obligations (object class 41.0)	20	56	1
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		25	
22.00 New budget authority (gross)	49	31	1
23.90 Total budgetary resources available for obligation	49	56	1
23.95 Total new obligations	-20	-56	-1
23.98 Unobligated balance expiring or withdrawn	-4		
24.40 Unobligated balance carried forward, end of year	25		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	43	31	1
42.00 Transferred from Emergency Response Fund	6		
43.00 Appropriation (total discretionary)	49	31	1
Change in obligated balances:			
72.40 Obligated balance, start of year	2		
73.10 Total new obligations	20	56	1
73.20 Total outlays (gross)	-22	-56	-1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	22	31	1
86.93 Outlays from discretionary balances		25	
87.00 Total outlays (gross)	22	56	1
Net budget authority and outlays:			
89.00 Budget authority	49	31	1
90.00 Outlays	22	56	1

The 2003 budget includes funding for Transportation Systems Management (TSM) initiatives and strategies. The \$1 million will support cost effective operation improvements to District of Columbia transportation systems, including signal optimization, intersection improvements, and signage to improve traffic flow.

FEDERAL PAYMENT FOR EMERGENCY PLANNING AND SECURITY COSTS IN THE DISTRICT OF COLUMBIA

【For a Federal payment to the District of Columbia for emergency planning and security costs and to reimburse the District for certain security expenses related to the presence of the Federal Government in the District of Columbia, \$16,058,000: *Provided*, That \$12,652,000 shall be made available immediately to the District of Columbia Emergency Management Agency for planning, training, and personnel costs required for development and implementation of the emergency operations plan for the District of Columbia, to be submitted to the appropriate Federal agencies: *Provided further*, That a detailed report of actual and estimated expenses incurred shall be provided to the Committees on Appropriations of the Senate and the House of Representatives no later than June 15, 2002: *Provided further*, That \$3,406,000 of such amount shall be made available immediately for reimbursement of fiscal year 2001 expenses incurred by the District of Columbia for equipment purchased for providing security for the planned meetings in September 2001 of the World Bank and the International Monetary Fund in the District of Columbia: *Provided further*, That the Mayor and the Chairman of the Council of the District of Columbia shall develop, in consultation with the Director of the Office of Personnel Management, the United States Secret Service, the United States Capitol Police, the United States Park Police, the Washington Metropolitan Area Transit Authority, regional transportation authorities, the Federal Emergency Management Agency, the Governor of the State of Maryland and the Governor of the Commonwealth of Virginia, the county executives of contiguous counties of the region and the respective State and local law enforcement entities in the region, an integrated emergency operations plan for the District of Columbia in cases of national security events, including terrorist threats, protests, or other unanticipated events: *Provided further*, That such plan shall include a response to attacks or threats of attacks using biological or chemical agents: *Provided further*, That the city shall submit this plan to the Committees on Appropriations of the Senate and the House of Representatives no later than January 2, 2002: *Provided further*, That the Chief Financial Officer of the District of Columbia shall provide quarterly reports to the Committees on Appropriations on the use of the funds under this heading, beginning not later than April 2, 2002.】

For necessary expenses, as determined by the Mayor of the District of Columbia in written consultation with the elected county or city officials of surrounding jurisdictions, \$15,000,000, to remain available until expended, to reimburse the District of Columbia for the costs of public safety expenses related to National Special Security Events in the District of Columbia and for the costs of providing support to respond to immediate and specific terrorist threats or attacks in the District of Columbia or surrounding jurisdictions: Provided, That any amount provided under this heading shall be available only after notice of its proposed use has been transmitted by the President to Congress and such amount has been apportioned pursuant to Chapter 15 of title 31, United States Code. (District of Columbia Appropriations Act, 2002.)

【FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA FOR PROTECTIVE CLOTHING AND BREATHING APPARATUS】

【For a Federal payment to the District of Columbia for protective clothing and breathing apparatus, to be obligated from amounts made available in Public Law 107-38 and to remain available until September 30, 2003, \$7,144,000, of which \$922,000 is for the Fire and Emergency Medical Services Department, \$4,269,000 is for the Metropolitan Police Department, \$1,500,000 is for the Department of Health, and \$453,000 is for the Department of Public Works.】

【FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA FOR SPECIALIZED HAZARDOUS MATERIALS EQUIPMENT】

【For a Federal payment to the District of Columbia for specialized hazardous materials equipment, to be obligated from amounts made available in Public Law 107-38 and to remain available until Sep-

General and special funds—Continued

[FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA FOR SPECIALIZED HAZARDOUS MATERIALS EQUIPMENT]—Continued

tember 30, 2003, \$1,032,000, for the Fire and Emergency Medical Services Department.]

[FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA FOR CHEMICAL AND BIOLOGICAL WEAPONS PREPAREDNESS]

[For a Federal payment to the District of Columbia for chemical and biological weapons preparedness, to be obligated from amounts made available in Public Law 107-38 and to remain available until September 30, 2003, \$10,355,000, of which \$205,000 is for the Fire and Emergency Medical Services Department, \$258,000 is for the Metropolitan Police Department, and \$9,892,000 is for the Department of Health.]

[FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA FOR PHARMACEUTICALS FOR RESPONDERS]

[For a Federal payment to the District of Columbia for pharmaceuticals for responders, to be obligated from amounts made available in Public Law 107-38 and to remain available until September 30, 2003, \$2,100,000, for the Department of Health.]

[FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA FOR RESPONSE AND COMMUNICATIONS CAPABILITY]

[For a Federal payment to the District of Columbia for response and communications capability, to be obligated from amounts made available in Public Law 107-38 and to remain available until September 30, 2003, \$14,960,000, of which \$7,755,000 is for the Fire and Emergency Medical Services Department, \$5,855,000 is for the Metropolitan Police Department, \$113,000 is for the Department of Public Works Division of Transportation, \$58,000 is for the Office of Property Management, \$60,000 is for the Department of Public Works, \$750,000 is for the Department of Health, \$309,000 is for the Department of Human Services, and \$60,000 is for the Department of Parks and Recreation.]

[FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA FOR SEARCH, RESCUE AND OTHER EMERGENCY EQUIPMENT AND SUPPORT]

[For a Federal payment to the District of Columbia, to be obligated from amounts made available in Public Law 107-38 and to remain available until September 30, 2003, for search, rescue and other emergency equipment and support, \$8,850,000, of which \$5,442,000 is for the Metropolitan Police Department, \$208,000 is for the Fire and Emergency Medical Services Department, \$398,500 is for the Department of Consumer and Regulatory Affairs, \$1,178,500 is for the Department of Public Works, \$542,000 is for the Department of Human Services, and \$1,081,000 is for the Department of Mental Health.]

[FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA FOR EQUIPMENT, SUPPLIES AND VEHICLES FOR THE OFFICE OF THE CHIEF MEDICAL EXAMINER]

[For a Federal payment to the District of Columbia, to be obligated from amounts made available in Public Law 107-38 and to remain available until September 30, 2003, for equipment, supplies and vehicles for the Office of the Chief Medical Examiner, \$1,780,000.]

[FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA FOR HOSPITAL CONTAINMENT FACILITIES FOR THE DEPARTMENT OF HEALTH]

[For a Federal payment to the District of Columbia, to be obligated from amounts made available in Public Law 107-38 and to remain available until September 30, 2003, for hospital containment facilities for the Department of Health, \$8,000,000.]

[FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA FOR THE OFFICE OF THE CHIEF TECHNOLOGY OFFICER]

[For a Federal payment to the District of Columbia, to be obligated from amounts made available in Public Law 107-38 and to remain available until September 30, 2003, for the Office of the Chief Technology Officer, \$45,494,000, for a first response landline and wireless interoperability project, of which \$1,000,000 shall be used to initiate a comprehensive review, by a non-vendor contractor, of the District's current technology-based systems and to develop a plan for integrating the communications systems of the District of Columbia Metropolitan Police and Fire and Emergency Medical Services Departments with the systems of local, regional and Federal law enforcement agencies, including but not limited to the United States Capitol

Police, United States Park Police, United States Secret Service, Federal Bureau of Investigation, Federal Protective Service, and the Washington Metropolitan Area Transit Authority Police: *Provided*, That such plan shall be submitted to the Committees on Appropriations of the Senate and the House of Representatives no later than June 15, 2002.]

[FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA FOR EMERGENCY TRAFFIC MANAGEMENT]

[For a Federal payment to the District of Columbia, to be obligated from amounts made available in Public Law 107-38 and to remain available until September 30, 2003, for training and planning, \$9,949,000, of which \$4,400,000 is for the Fire and Emergency Medical Services Department, \$990,000 is for the Metropolitan Police Department, \$1,200,000 is for the Department of Health, \$200,000 is for the Office of the Chief Medical Examiner, \$500,000 is for the Office of Property Management, \$500,000 is for the Department of Mental Health, \$469,000 is for the Department of Consumer and Regulatory Affairs, \$240,000 is for the Department of Public Works, \$600,000 is for the Department of Human Services, \$100,000 is for the Department of Parks and Recreation, and \$750,000 is for the Division of Transportation.]

[FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA FOR INCREASED FACILITY SECURITY]

[For a Federal payment to the District of Columbia, to be obligated from amounts made available in Public Law 107-38 and to remain available until September 30, 2003, for increased facility security, \$25,536,000, of which \$3,900,000 is for the Emergency Management Agency, \$14,575,000 is for the public schools, and \$7,061,000 is for the Office of Property Management.]

[FEDERAL PAYMENT TO THE WASHINGTON METROPOLITAN AREA TRANSIT AUTHORITY]

[For a Federal payment to the Washington Metropolitan Area Transit Authority to meet region-wide security requirements, a contribution of \$39,100,000, to be obligated from amounts made available in Public Law 107-38 and to remain available until September 30, 2003, of which \$5,000,000 shall be used for protective clothing and breathing apparatus, \$2,200,000 shall be for completion of the fiber optic network project, \$15,000,000 shall be for a chemical emergency sensor program, and \$16,900,000 shall be for increased employee and facility security.]

[FEDERAL PAYMENT TO THE METROPOLITAN WASHINGTON COUNCIL OF GOVERNMENTS]

[For a Federal payment to the Metropolitan Washington Council of Governments to enhance regional emergency preparedness, coordination and response, \$5,000,000, to be obligated from amounts made available in Public Law 107-38 and to remain available until September 30, 2003, of which \$1,500,000 shall be used to contribute to the development of a comprehensive regional emergency preparedness, coordination and response plan, \$500,000 shall be used to develop a critical infrastructure threat assessment model, \$500,000 shall be used to develop and implement a regional communications plan, and \$2,500,000 shall be used to develop protocols and procedures for training and outreach exercises.] (*Emergency Supplemental Act, 2002*)

Program and Financing (in millions of dollars)

Identification code 20-1771-0-1-806	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct Program Activity		216	15
10.00 Total new obligations (object class 41.0)		216	15
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		216	15
23.95 Total new obligations		-216	-15
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation		16	15
40.15 Appropriation (emergency)		200	
43.00 Appropriation (total discretionary)		216	15

Change in obligated balances:			
73.10	Total new obligations	216	15
73.20	Total outlays (gross)	-216	-15
Outlays (gross), detail:			
86.90	Outlays from new discretionary authority	216	15
Net budget authority and outlays:			
89.00	Budget authority	216	15
90.00	Outlays	216	15

The 2003 budget includes \$15 million for emergency planning and security costs related to the presence of the Federal government in the District of Columbia.

FEDERAL PAYMENT TO THE FEDERAL SUPPLEMENTAL DISTRICT OF COLUMBIA PENSION FUND

Program and Financing (in millions of dollars)

Identification code 20-1714-0-1-601	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Payment to supplemental retirement fund	197	252	252
10.00	Total new obligations (object class 13.0)	197	252	252
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	197	252	252
23.95	Total new obligations	-197	-252	-252
New budget authority (gross), detail:				
Mandatory:				
60.00	Appropriation	197	252	252
Change in obligated balances:				
73.10	Total new obligations	197	252	252
73.20	Total outlays (gross)	-197	-252	-252
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	197	252	252
Net budget authority and outlays:				
89.00	Budget authority	197	252	252
90.00	Outlays	197	252	252

This account records the annual payment from the General Fund of the Treasury to the Supplemental District of Columbia Pension Fund.

FEDERAL SUPPLEMENTAL DISTRICT OF COLUMBIA PENSION FUND

Unavailable Collections (in millions of dollars)

Identification code 20-5500-0-2-601	2001 actual	2002 est.	2003 est.	
01.99	Balance, start of year	832	1,069	1,388
Receipts:				
02.40	Federal contribution, Federal supplemental District of Columbia	197	252	252
02.41	Earnings on investments in U.S. securities, Federal supplemental	40	67	86
02.99	Total receipts and collections	237	319	338
04.00	Total: Balances and collections	1,069	1,388	1,726
07.99	Balance, end of year	1,069	1,388	1,726

Program and Financing (in millions of dollars)

Identification code 20-5500-0-2-601	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority			
90.00	Outlays			
Memorandum (non-add) entries:				
92.01	Total investments, start of year: Federal securities:			
	Par value	833	1,078	1,357

92.02	Total investments, end of year: Federal securities:			
	Par value	1,078	1,357	1,357

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended (the Act), established the Federal Supplemental District of Columbia Pension Fund (Supplemental Fund) to pay retirement benefits for District of Columbia law enforcement officers, firefighters, and teachers after the District of Columbia Federal Pension Liability Trust Fund has been depleted, and to pay any necessary expenses to administer the fund. The Supplemental Fund consists of: amounts deposited into the fund; any amount appropriated to the fund; and any income earned from investment of the assets in public debt securities. The Act requires the Secretary of the Treasury to make payments at the end of each fiscal year, beginning in 1998, from the General Fund of the Treasury into the Supplemental Fund. Annual payments consist of amounts necessary to amortize; the original unfunded liability over 30 years; the net experience gain or loss over 10 years; and any other changes in actuarial liability over 20 years, and amounts necessary to fund covered administrative expenses for the year.

Public enterprise funds:

FEDERAL PAYMENT FOR WATER AND SEWER SERVICES

Program and Financing (in millions of dollars)

Identification code 20-4446-0-4-806	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
09.00	Reimbursable program	58	30	30
10.00	Total new obligations (object class 23.3)	58	30	30
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	58	30	30
23.95	Total new obligations	-58	-30	-30
New budget authority (gross), detail:				
Mandatory:				
69.00	Offsetting collections (cash)	84	30	30
69.10	Change in uncollected customer payments from Federal sources (unexpired)	-26		
69.90	Spending authority from offsetting collections (total mandatory)	58	30	30
Change in obligated balances:				
72.40	Obligated balance, start of year	11		
73.10	Total new obligations	58	30	30
73.20	Total outlays (gross)	-95	-30	-30
74.00	Change in uncollected customer payments from Federal sources (unexpired)	26		
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	20	30	30
86.98	Outlays from mandatory balances	75		
87.00	Total outlays (gross)	95	30	30
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-84	-30	-30
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources (unexpired)	26		
Net budget authority and outlays:				
89.00	Budget authority			
90.00	Outlays	11		

The 1990 District of Columbia Appropriations Act established a system "to improve the means by which the District of Columbia (now the DC Water and Sewer Authority, DCWASA) is paid for water and sanitary sewer services furnished to the Government of the United States or any depart-

Public enterprise funds—Continued

FEDERAL PAYMENT FOR WATER AND SEWER SERVICES—Continued

ment, agency, or independent establishment thereof.” Each agency was to pay 25 percent of its estimated yearly bill each quarter by depositing its payment into this account. Even if all agencies did not submit payment on time, Treasury was directed to pay the Government-wide bill, making up the difference from a permanent, indefinite appropriation account, which was then to be reimbursed by the appropriate agencies.

The 2001 Consolidated Appropriations Act amended this system by repealing the permanent, indefinite appropriation account, by directing Treasury to draw down agency funds for payment of water and sewer bills, by requiring agency Inspectors General to analyze how promptly the user agency makes its payment, and by making these amendments retroactive to 1990.

Trust Funds

DISTRICT OF COLUMBIA FEDERAL PENSION LIABILITY TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 20–8230–0–7–601	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	2,943	2,680	2,372
Receipts:			
02.21 Interest earnings	175	156	138
02.80 Federal pension liability trust fund, offsetting collections	4		
02.99 Total receipts and collections	179	156	138
04.00 Total: Balances and collections	3,122	2,836	2,510
Appropriations:			
05.00 Federal pension liability trust fund	–442	–464	–473
05.99 Total appropriations	–442	–464	–473
07.99 Balance, end of year	2,680	2,372	2,037

Program and Financing (in millions of dollars)

Identification code 20–8230–0–7–601	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Retirement Payments	442	464	473
10.00 Total new obligations (object class 13.0)	442	464	473
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	442	464	473
23.95 Total new obligations	–442	–464	–473
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)	438	464	473
69.00 Offsetting collections (cash)	4		
70.00 Total new budget authority (gross)	442	464	473
Change in obligated balances:			
72.40 Obligated balance, start of year	40	48	48
73.10 Total new obligations	442	464	473
73.20 Total outlays (gross)	–434	–464	–473
74.40 Obligated balance, end of year	48	48	48
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	179	464	473
86.98 Outlays from mandatory balances	255		
87.00 Total outlays (gross)	434	464	473
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	–4		
Net budget authority and outlays:			
89.00 Budget authority	438	464	473

90.00 Outlays	431	464	473
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value	2,999	2,741	2,439
92.02 Total investments, end of year: Federal securities:			
Par value	2,741	2,439	2,146

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended (the Act), established the District of Columbia Federal Pension Liability Trust Fund (Trust Fund) to pay retirement benefits for District of Columbia law enforcement officers, firefighters, and teachers, and to pay any necessary expenses to administer the Trust Fund or expenses incurred by the Secretary of the Treasury in carrying out his responsibilities regarding such retirement benefits. The Trust Fund consists of the proceeds of accumulated pension assets transferred from the District of Columbia during 1999 and liquidated, pursuant to the Act, and any income earned from investment of the assets in public debt securities.

[ADMINISTRATIVE PROVISION]

[Of the Federal funds made available in the District of Columbia Appropriations Act, 2001, Public Law 106–522 for the Metropolitan Police Department (114 Stat. 2441), \$100,000 for the police mini-station shall remain available for the purposes intended until September 30, 2002: *Provided*, That the \$1,000,000 made available in such Act for the Washington Interfaith Network (114 Stat. 2444) shall remain available for the purposes intended until December 31, 2002: *Provided further*, That \$3,450,000 made available in such Act for Brownfield Remediation (114 Stat. 2445), shall remain available until expended.] (*District of Columbia Appropriations Act, 2002.*)

GENERAL PROVISIONS

SEC. 101. Whenever in this Act, an amount is specified within an appropriation for particular purposes or objects of expenditure, such amount, unless otherwise specified, shall be considered as the maximum amount that may be expended for said purpose or object rather than an amount set apart exclusively therefor.

SEC. 102. Appropriations in this Act shall be available for expenses of travel and for the payment of dues of organizations concerned with the work of the District of Columbia government, when authorized by the Mayor: *Provided*, That in the case of the Council of the District of Columbia, funds may be expended with the authorization of the chair of the Council.

SEC. 103. There are appropriated from the applicable funds of the District of Columbia such sums as may be necessary for making refunds and for the payment of legal settlements or judgments that have been entered against the District of Columbia government: *Provided*, That nothing contained in this section shall be construed as modifying or affecting the provisions of section 11(c)(3) of title XII of the District of Columbia Income and Franchise Tax Act of 1947 (70 Stat. 78; Public Law 84–460; D.C. Code, sec. 47–1812.11(c)(3)).

SEC. 104. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 105. No funds appropriated in this Act for the District of Columbia government for the operation of educational institutions, the compensation of personnel, or for other educational purposes may be used to permit, encourage, facilitate, or further partisan political activities. Nothing herein is intended to prohibit the availability of school buildings for the use of any community or partisan political group during non-school hours.

SEC. 106. None of the funds appropriated in this Act shall be made available to pay the salary of any employee of the District of Columbia government whose name, title, grade, and salary are not available for inspection by the House and Senate Committees on Appropriations, the House Committee on Government Reform, the Senate Committee on Governmental Affairs, and the Council of the District of Columbia, or their duly authorized representative.

SEC. 107. [(a) Except as provided in subsection (b), no] No part of this appropriation shall be used for publicity or propaganda purposes or implementation of any policy including boycott designed to support or defeat legislation pending before Congress or any State legislature.

[(b) The District of Columbia may use local funds provided in this Act to carry out lobbying activities on any matter other than—

- (1) the promotion or support of any boycott; or
- (2) statehood for the District of Columbia or voting representation in Congress for the District of Columbia.

(c) Nothing in this section may be construed to prohibit any elected official from advocating with respect to any of the issues referred to in subsection (b).]

SEC. 108. At the start of the fiscal year, the Mayor shall develop an annual plan, by quarter and by project, for capital outlay borrowings: *Provided*, That within a reasonable time after the close of each quarter, the Mayor shall report to the Council of the District of Columbia and the Congress the actual borrowings and spending progress compared with projections.

SEC. 109. (a) None of the funds provided under this Act to the agencies funded by this Act, both Federal and District government agencies, that remain available for obligation or expenditure in fiscal year [2002] 2003, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditure for an agency through a reprogramming of funds which: (1) creates new programs; (2) eliminates a program, project, or responsibility center; (3) establishes or changes allocations specifically denied, limited or increased by Congress in this Act; (4) increases funds or personnel by any means for any program, project, or responsibility center for which funds have been denied or restricted; (5) reestablishes through reprogramming any program or project previously deferred through reprogramming; (6) augments existing programs, projects, or responsibility centers through a reprogramming of funds in excess of \$1,000,000 or 10 percent, whichever is less; or (7) increases by 20 percent or more personnel assigned to a specific program, project or responsibility center; unless the Committees on Appropriations of both the Senate and House of Representatives are notified in writing 30 days in advance of any reprogramming as set forth in this section.

(b) None of the local funds contained in this Act may be available for obligation or expenditure for an agency through a transfer of any local funds from one appropriation heading to another unless the Committees on Appropriations of the Senate and House of Representatives are notified in writing 30 days in advance of the transfer, except that in no event may the amount of any funds transferred exceed four percent of the local funds in the appropriation.

SEC. 110. Consistent with the provisions of 31 U.S.C. 1301(a), appropriations under this Act shall be applied only to the objects for which the appropriations were made except as otherwise provided by law.

SEC. 111. [(a)] Notwithstanding any other provisions of law, the provisions of the District of Columbia Government Comprehensive Merit Personnel Act of 1978 (D.C. Law 2-139; D.C. Official Code, sec. 1-601.01 et seq.), enacted pursuant to section 422(3) of the District of Columbia Home Rule Act (87 Stat. 790; Public Law 93-198; D.C. Official Code, sec. 1-204.22(3)), shall apply with respect to the compensation of District of Columbia employees: *Provided*, That for pay purposes, employees of the District of Columbia government shall not be subject to the provisions of title 5, United States Code.

[(b)(1) CERTIFICATION OF NEED BY CHIEF TECHNOLOGY OFFICER.—Section 2706(b) of the District of Columbia Government Comprehensive Merit Personnel Act of 1978, as added by section 2 of the District Government Personnel Exchange Agreement Amendment Act of 2000 (D.C. Law 13-296), is amended by inserting after “Director of Personnel” each place it appears the following: “(or the Chief Technology Officer, in the case of the Office of the Chief Technology Officer)”.

(2) INCLUSION OF OVERHEAD COSTS IN AGREEMENTS.—Section 2706(c)(3) of such Act is amended by striking the period at the end and inserting the following: “, except that in the case of the Office of the Chief Technology Officer, general and administrative costs shall include reasonable overhead costs and shall be calculated by the Chief Technology Officer (as determined under such criteria as the Chief Technology Officer independently deems appropriate subject to the review of the City Administrator, including a consideration of standards used to calculate general, administrative, and overhead

costs for off-site employees found in Federal law and regulation and in general private industry practice).”.

(3) REPORTING REQUIREMENT.—Section 2706 of such Act is amended—

(A) by redesignating subsection (f) as subsection (g); and

(B) by inserting after subsection (e) the following new subsection:

“(f) Not later than 45 days after the end of each fiscal year (beginning with fiscal year 2002), the Chief Technology Officer shall prepare and submit to the Council and to the Committees on Appropriations of the House of Representatives and Senate a report describing all agreements entered into by the Chief Technology Officer under this section which are in effect during the fiscal year.”.]

[(c) The authority which the Chief Financial Officer of the District of Columbia exercised with respect to personnel, procurement, and the preparation of fiscal impact statements during a control period (as defined in Public Law 104-8) shall remain in effect through July 1, 2002.]

[(d) Section 424(b)(3) of the District of Columbia Home Rule Act (sec. 1-204.24b(c), D.C. Official Code) is amended—

(1) by striking “determined” and all that follows through “exceed” and inserting “equal to”; and

(2) by striking “IV” and inserting “I”.]

[(e) EFFECTIVE DATE.—The amendment made by subsection (d) shall apply with respect to pay periods in fiscal year 2002 and each succeeding fiscal year.]

SEC. 112. No later than 30 days after the end of the first quarter of the fiscal year ending September 30, [2002] 2003, the Mayor of the District of Columbia shall submit to the Council of the District of Columbia the new fiscal year [2002] 2003 revenue estimates as of the end of the first quarter of fiscal year [2002] 2003. These estimates shall be used in the budget request for the fiscal year ending September 30, [2003] 2004. The officially revised estimates at midyear shall be used for the midyear report.

SEC. 113. No sole source contract with the District of Columbia government or any agency thereof may be renewed or extended without opening that contract to the competitive bidding process as set forth in section 303 of the District of Columbia Procurement Practices Act of 1985 (D.C. Law 6-85; D.C. Code, sec. 2-303.03), except that the District of Columbia government or any agency thereof may renew or extend sole source contracts for which competition is not feasible or practical: *Provided*, That the determination as to whether to invoke the competitive bidding process has been made in accordance with duly promulgated rules and procedures and said determination has been reviewed and certified by the Chief Financial Officer of the District of Columbia.

SEC. 114. (a) In the event a sequestration order is issued pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985 (99 Stat. 1037; Public Law 99-177), after the amounts appropriated to the District of Columbia for the fiscal year involved have been paid to the District of Columbia, the Mayor of the District of Columbia shall pay to the Secretary of the Treasury, within 15 days after receipt of a request therefor from the Secretary of the Treasury, such amounts as are sequestered by the order: *Provided*, That the sequestration percentage specified in the order shall be applied proportionately to each of the Federal appropriation accounts in this Act that are not specifically exempted from sequestration by such Act.

(b) For purposes of the Balanced Budget and Emergency Deficit Control Act of 1985 (99 Stat. 1037; Public Law 99-177), the term “program, project, and activity” shall be synonymous with and refer specifically to each account appropriating Federal funds in this Act, and any sequestration order shall be applied to each of the accounts rather than to the aggregate total of those accounts: *Provided*, That sequestration orders shall not be applied to any account that is specifically exempted from sequestration by the Balanced Budget and Emergency Deficit Control Act of 1985.

SEC. 115. ACCEPTANCE AND USE OF GIFTS. (a) APPROVAL BY MAYOR.—

(1) IN GENERAL.—An entity of the District of Columbia government may accept and use a gift or donation during fiscal year [2002] 2003 if—

(A) the Mayor approves the acceptance and use of the gift or donation (except as provided in paragraph (2)); and

(B) the entity uses the gift or donation to carry out its authorized functions or duties.

(2) EXCEPTION FOR COUNCIL AND COURTS.—The Council of the District of Columbia and the District of Columbia courts may accept and use gifts without prior approval by the Mayor.

(b) RECORDS AND PUBLIC INSPECTION.—Each entity of the District of Columbia government shall keep accurate and detailed records of the acceptance and use of any gift or donation under subsection (a), and shall make such records available for audit and public inspection.

(c) INDEPENDENT AGENCIES INCLUDED.—For the purposes of this section, the term “entity of the District of Columbia government” includes an independent agency of the District of Columbia.

(d) EXCEPTION FOR BOARD OF EDUCATION.—This section shall not apply to the District of Columbia Board of Education, which may, pursuant to the laws and regulations of the District of Columbia, accept and use gifts to the public schools without prior approval by the Mayor.

SEC. 116. None of the Federal funds provided in this Act may be used by the District of Columbia to provide for salaries, expenses, or other costs associated with the offices of United States Senator or United States Representative under section 4(d) of the District of Columbia Statehood Constitutional Convention Initiatives of 1979 (D.C. Law 3–171; D.C. Official Code, sec. 1–123).

SEC. 117. None of the funds appropriated under this Act shall be expended for any abortion except where the life of the mother would be endangered if the fetus were carried to term or where the pregnancy is the result of an act of rape or incest.

SEC. 118. None of the Federal funds made available in this Act may be used to implement or enforce the Health Care Benefits Expansion Act of 1992 (D.C. Law 9–114; D.C. Official Code, sec. 32–701 et seq.) or to otherwise implement or enforce any system of registration of unmarried, cohabiting couples, including but not limited to registration for the purpose of extending employment, health, or governmental benefits to such couples on the same basis that such benefits are extended to legally married couples.

SEC. 119. ACCEPTANCE AND USE OF GRANTS NOT INCLUDED IN CEILING. (a) IN GENERAL.—Notwithstanding any other provision of this Act, the Mayor, in consultation with the Chief Financial Officer may accept, obligate, and expend Federal, private, and other grants received by the District government that are not reflected in the amounts appropriated in this Act.

(b) REQUIREMENT OF CHIEF FINANCIAL OFFICER REPORT AND COUNCIL APPROVAL.—No such Federal, private, or other grant may be accepted, obligated, or expended pursuant to subsection (a) until—

(1) the Chief Financial Officer of the District of Columbia submits to the Council a report setting forth detailed information regarding such grant; and

(2) the Council within 15 calendar days after receipt of the report submitted under paragraph (1) has reviewed and approved the acceptance, obligation, and expenditure of such grant.

(c) PROHIBITION ON SPENDING IN ANTICIPATION OF APPROVAL OR RECEIPT.—No amount may be obligated or expended from the general fund or other funds of the District government in anticipation of the approval or receipt of a grant under subsection (b)(2) of this section or in anticipation of the approval or receipt of a Federal, private, or other grant not subject to such paragraph.

(d) QUARTERLY REPORTS.—The Chief Financial Officer of the District of Columbia shall prepare a quarterly report setting forth detailed information regarding all Federal, private, and other grants subject to this section. Each such report shall be submitted to the Council of the District of Columbia, and to the Committees on Appropriations of the House of Representatives and the Senate, not later than 15 days after the end of the quarter covered by the report.

SEC. 120. (a) RESTRICTIONS ON USE OF OFFICIAL VEHICLES.—Except as otherwise provided in this section, none of the funds made available by this Act or by any other Act may be used to provide any officer or employee of the District of Columbia with an official vehicle unless the officer or employee uses the vehicle only in the performance of the officer’s or employee’s official duties. For purposes of this paragraph, the term “official duties” does not include travel between the officer’s or employee’s residence and workplace (except: (1) in the case of an officer or employee of the Metropolitan Police Department who resides in the District of Columbia or is otherwise designated by the Chief of the Department; (2) at the discretion of the Fire Chief, an officer or employee of the District of Columbia Fire and Emergency Medical Services Department who resides in the District of Columbia and is on call 24 hours a day; (3) the Mayor of the District of Columbia; and (4) the Chairman of the Council of the District of Columbia).

(b) INVENTORY OF VEHICLES.—The Chief Financial Officer of the District of Columbia shall submit, by November 15, [2001] 2002, an inventory, as of September 30, [2001] 2002, of all vehicles owned,

leased or operated by the District of Columbia government. The inventory shall include, but not be limited to, the department to which the vehicle is assigned; the year and make of the vehicle; the acquisition date and cost; the general condition of the vehicle; annual operating and maintenance costs; current mileage; and whether the vehicle is allowed to be taken home by a District officer or employee and if so, the officer or employee’s title and resident location.

(c) No officer or employee of the District of Columbia government (including any independent agency of the District but excluding the Office of the Chief Technology Officer, the Chief Financial Officer of the District of Columbia, and the Metropolitan Police Department) may enter into an agreement in excess of \$2,500 for the procurement of goods or services on behalf of any entity of the District government until the officer or employee has conducted an analysis of how the procurement of the goods and services involved under the applicable regulations and procedures of the District government would differ from the procurement of the goods and services involved under the Federal supply schedule and other applicable regulations and procedures of the General Services Administration, including an analysis of any differences in the costs to be incurred and the time required to obtain the goods or services.

SEC. 121. Notwithstanding any other provision of law, not later than 120 days after the date that a District of Columbia Public Schools (DCPS) student is referred for evaluation or assessment—

(1) the District of Columbia Board of Education, or its successor, and DCPS shall assess or evaluate a student who may have a disability and who may require special education services; and

(2) if a student is classified as having a disability, as defined in section 101(a)(1) of the Individuals with Disabilities Education Act (84 Stat. 175; 20 U.S.C. 1401(a)(1)) or in section 7(8) of the Rehabilitation Act of 1973 (87 Stat. 359; 29 U.S.C. 706(8)), the Board and DCPS shall place that student in an appropriate program of special education services.

SEC. 122. (a) COMPLIANCE WITH BUY AMERICAN ACT.—No funds appropriated in this Act may be made available to any person or entity that violates the Buy American Act (41 U.S.C. 10a–10c).

(b) SENSE OF THE CONGRESS; REQUIREMENT REGARDING NOTICE.—

(1) PURCHASE OF AMERICAN-MADE EQUIPMENT AND PRODUCTS.—In the case of any equipment or product that may be authorized to be purchased with financial assistance provided using funds made available in this Act, it is the sense of the Congress that entities receiving the assistance should, in expending the assistance, purchase only American-made equipment and products to the greatest extent practicable.

(2) NOTICE TO RECIPIENTS OF ASSISTANCE.—In providing financial assistance using funds made available in this Act, the head of each agency of the Federal or District of Columbia government shall provide to each recipient of the assistance a notice describing the statement made in paragraph (1) by the Congress.

(c) PROHIBITION OF CONTRACTS WITH PERSONS FALSELY LABELING PRODUCTS AS MADE IN AMERICA.—If it has been finally determined by a court or Federal agency that any person intentionally affixed a label bearing a “Made in America” inscription, or any inscription with the same meaning, to any product sold in or shipped to the United States that is not made in the United States, the person shall be ineligible to receive any contract or subcontract made with funds made available in this Act, pursuant to the debarment, suspension, and ineligibility procedures described in sections 9.400 through 9.409 of title 48, Code of Federal Regulations.

SEC. 123. None of the funds contained in this Act may be used for purposes of the annual independent audit of the District of Columbia government for fiscal year [2002] 2003 unless—

(1) the audit is conducted by the Inspector General of the District of Columbia, in coordination with the Chief Financial Officer of the District of Columbia, pursuant to section 208(a)(4) of the District of Columbia Procurement Practices Act of 1985 (D.C. Official Code, sec. 2–302.8); and

(2) the audit includes as a basic financial statement a comparison of audited actual year-end results with the revenues submitted in the budget document for such year and the appropriations enacted into law for such year using the format, terminology, and classifications contained in the law making the appropriations for the year and its legislative history.

SEC. 124. None of the funds contained in this Act may be used by the District of Columbia Corporation Counsel or any other officer or entity of the District government to provide assistance for any petition drive or civil action which seeks to require Congress to pro-

vide for voting representation in Congress for the District of Columbia.

SEC. 125. (a) None of the funds contained in this Act may be used for any program of distributing sterile needles or syringes for the hypodermic injection of any illegal drug.

(b) Any individual or entity who receives any funds contained in this Act and who carries out any program described in subsection (a) shall account for all funds used for such program separately from any funds contained in this Act.

SEC. 126. None of the funds contained in this Act may be used after the expiration of the 60-day period that begins on the date of the enactment of this Act to pay the salary of any chief financial officer of any office of the District of Columbia government (including any independent agency of the District) who has not filed a certification with the Mayor and the Chief Financial Officer of the District of Columbia that the officer understands the duties and restrictions applicable to the officer and the officer's agency as a result of this Act (and the amendments made by this Act), including any duty to prepare a report requested either in the Act or in any of the reports accompanying the Act and the deadline by which each report must be submitted, and the District's Chief Financial Officer shall provide to the Committees on Appropriations of the Senate and the House of Representatives by the 10th day after the end of each quarter a summary list showing each report, the due date and the date submitted to the committees.

SEC. 127. (a) None of the funds contained in this Act may be used to enact or carry out any law, rule, or regulation to legalize or otherwise reduce penalties associated with the possession, use, or distribution of any schedule I substance under the Controlled Substances Act (21 U.S.C. 802) or any tetrahydrocannabinols derivative.

(b) The Legalization of Marijuana for Medical Treatment Initiative of 1998, also known as Initiative 59, approved by the electors of the District of Columbia on November 3, 1998, shall not take effect.

SEC. 128. Nothing in this Act may be construed to prevent the Council or Mayor of the District of Columbia from addressing the issue of the provision of contraceptive coverage by health insurance plans, but it is the intent of Congress that any legislation enacted on such issue should include a "conscience clause" which provides exceptions for religious beliefs and moral convictions.

PROMPT PAYMENT OF APPOINTED COUNSEL

SEC. 129. (a) ASSESSMENT OF INTEREST FOR DELAYED PAYMENTS.—If the Superior Court of the District of Columbia or the District of Columbia Court of Appeals does not make a payment described in subsection (b) prior to the expiration of the 45-day period which begins on the date the Court receives a completed voucher for a claim for the payment, interest shall be assessed against the amount of the payment which would otherwise be made to take into account the period which begins on the day after the expiration of such 45-day period and which ends on the day the Court makes the payment.

(b) PAYMENTS DESCRIBED.—A payment described in this subsection is—

(1) a payment authorized under section 11–2604 and section 11–2605, D.C. Code (relating to representation provided under the District of Columbia Criminal Justice Act);

(2) a payment for counsel appointed in proceedings in the Family Division of the Superior Court of the District of Columbia under chapter 23 of title 16, D.C. Code; or

(3) a payment for counsel authorized under section 21–2060, D.C. Code (relating to representation provided under the District of Columbia Guardianship, Protective Proceedings, and Durable Power of Attorney Act of 1986).

(c) STANDARDS FOR SUBMISSION OF COMPLETED VOUCHERS.—The chief judges of the Superior Court of the District of Columbia and the District of Columbia Court of Appeals shall establish standards and criteria for determining whether vouchers submitted for claims for payments described in subsection (b) are complete, and shall publish and make such standards and criteria available to attorneys who practice before such Courts.

(d) RULE OF CONSTRUCTION.—Nothing in this section shall be construed to require the assessment of interest against any claim (or portion of any claim) which is denied by the Court involved.

(e) EFFECTIVE DATE.—This section shall apply with respect to claims received by the Superior Court of the District of Columbia or the District of Columbia Court of Appeals during fiscal year [2002], and claims received previously that remain unpaid at the end of

fiscal year 2001, and would have qualified for interest payment under this section] 2003.

FEDERAL CONTRIBUTION FOR ENFORCEMENT OF LAW BANNING POSSESSION OF TOBACCO PRODUCTS BY MINORS

SEC. 130. (a) CONTRIBUTION.—There is hereby appropriated a Federal contribution of \$100,000 to the Metropolitan Police Department of the District of Columbia, effective upon the enactment by the District of Columbia of a law which reads as follows:

"BAN ON POSSESSION OF TOBACCO PRODUCTS BY MINORS

"SECTION 1. (a) IN GENERAL.—It shall be unlawful for any individual under 18 years of age to possess any cigarette or other tobacco product in the District of Columbia.

"(b) EXCEPTIONS.—

"(1) POSSESSION IN COURSE OF EMPLOYMENT.—Subsection (a) shall not apply with respect to an individual making a delivery of cigarettes or tobacco products in pursuance of employment.

"(2) PARTICIPATION IN LAW ENFORCEMENT OPERATION.—Subsection (a) shall not apply with respect to an individual possessing products in the course of a valid, supervised law enforcement operation.

"(c) PENALTIES.—Any individual who violates subsection (a) shall be subject to the following penalties:

"(1) For any violation, the individual may be required to perform community service or attend a tobacco cessation program.

"(2) Upon the first violation, the individual shall be subject to a civil penalty not to exceed \$50.

"(3) Upon the second and each subsequent violation, the individual shall be subject to a civil penalty not to exceed \$100.

"(4) Upon the third and each subsequent violation, the individual may have his or her driving privileges in the District of Columbia suspended for a period of 90 consecutive days."

(b) USE OF CONTRIBUTION.—The Metropolitan Police Department shall use the contribution made under subsection (a) to enforce the law referred to in such subsection.]

SEC. [131] 130. The Mayor of the District of Columbia shall submit to the Senate and House Committees on Appropriations, the Senate Governmental Affairs Committee, and the House Government Reform Committee quarterly reports addressing the following issues: (1) crime, including the homicide rate, implementation of community policing, the number of police officers on local beats, and the closing down of open-air drug markets; (2) access to drug abuse treatment, including the number of treatment slots, the number of people served, the number of people on waiting lists, and the effectiveness of treatment programs; (3) management of parolees and pre-trial violent offenders, including the number of halfway house escapes and steps taken to improve monitoring and supervision of halfway house residents to reduce the number of escapes to be provided in consultation with the Court Services and Offender Supervision Agency; (4) education, including access to special education services and student achievement to be provided in consultation with the District of Columbia Public Schools; (5) improvement in basic District services, including rat control and abatement; (6) application for and management of Federal grants, including the number and type of grants for which the District was eligible but failed to apply and the number and type of grants awarded to the District but for which the District failed to spend the amounts received; and (7) indicators of child well-being.

SEC. [132] 131. Nothing in this Act bars the District of Columbia Corporation Counsel from reviewing or commenting on briefs in private lawsuits, or from consulting with officials of the District government regarding such lawsuits.

RESERVE FUNDS

SEC. [133] 132. (a) IN GENERAL.—Section 202(j) of Public Law 104–8, the District of Columbia Financial Responsibility and Management Assistance Act of 1995 is amended to read as follows:

"(j) RESERVE FUNDS.—

"(1) BUDGET RESERVE.—

"(A) IN GENERAL.—For each of the fiscal years [2002] 2003 and [2003] 2004, the budget of the District government for the fiscal year shall contain a budget reserve in the following amounts:

"(i) \$120,000,000, in the case of fiscal year [2002] 2003.

"(ii) \$70,000,000, in the case of fiscal year [2003] 2004.

"(B) AVAILABILITY OF FUNDS.—Any amount made available from the budget reserve described in subparagraph (A) shall remain available until expended.

RESERVE FUNDS—Continued

["(C) AVAILABILITY OF FISCAL YEAR 2001 BUDGET RESERVE FUNDS.—For fiscal year 2001, any amount in the budget reserve shall remain available until expended.]

"(2) CUMULATIVE CASH RESERVE.—In addition to any other cash reserves required under section 450A of the District of Columbia Home Rule Act, for each of the fiscal years [2004] 2005 and [2005] 2006, the budget of the District government for the fiscal year shall contain a cumulative cash reserve of \$50,000,000.

"(3) CONDITIONS ON USE.—The District of Columbia may obligate or expend amounts in the budget reserve under paragraph (1) or the cumulative cash reserve under paragraph (2) only in accordance with the following conditions:

"(A) The Chief Financial Officer of the District of Columbia shall certify that the amounts are available.

"(B) The amounts shall be obligated or expended in accordance with laws enacted by the Council in support of each such obligation or expenditure.

"(C) The amounts may not be used to fund the agencies of the District of Columbia government under court ordered receivership.

"(D) The amounts may be obligated or expended only if the Mayor notifies the Committees on Appropriations of the House of Representatives and Senate in writing 30 days in advance of any obligation or expenditure.

"(4) REPLENISHMENT.—Any amount of the budget reserve under paragraph (1) or the cumulative cash reserve under paragraph (2) which is expended in 1 fiscal year shall be replenished in the following fiscal year appropriations to maintain the required balance."

(b) EFFECTIVE DATE.—The amendment made by subsection (a) shall take effect October 1, [2001] 2002.

[(c) CONFORMING AMENDMENTS.—Section 159(c) of the District of Columbia Appropriations Act, 2001 (Public Law 106-522; 114 Stat. 2482) is amended to read as follows:

"(c) EFFECTIVE DATE.—

"(1) IN GENERAL.—Except as provided in paragraph (2), this section and the amendments made by this section shall take effect on October 1, 2000.

"(2) REPEAL OF POSITIVE FUND BALANCE REQUIREMENT.—The amendment made by subsection (b)(2) shall take effect October 1, 1999.

"(3) TRANSFER OF FUNDS.—All funds identified by the District government pursuant to section 148 of Public Law 106-113, as reflected in the certified annual financial report for fiscal year 2000, shall be deposited during fiscal year 2002 into the Emergency and Contingency Reserve Funds established pursuant to section 159 of Public Law 106-522, during fiscal year 2002."

[(d) CONTINGENCY RESERVE FUND.—Section 450A(b) of the Home Rule Act (Public Law 93-198) is amended—

(1) by striking paragraph (1) and inserting the following:

"(1) IN GENERAL.—There is established a contingency cash reserve fund (in this subsection referred to as the 'contingency reserve fund') as an interest-bearing account (separate from other accounts in the General Fund) into which the Mayor shall deposit in cash not later than October 1 of each fiscal year (beginning with fiscal year 2002) such amount as may be required to maintain a balance in the fund of at least 3 percent of the total budget appropriated for operating expenditures for such fiscal year which is derived from local funds (or, in the case of fiscal years prior to fiscal year 2007, such amount as may be required to maintain a balance in the fund of at least the minimum contingency reserve balance for such fiscal year, as determined under paragraph (2))."; and

(2) by striking subparagraph (B) of paragraph (2) and inserting the following:

"(B) APPLICABLE PERCENTAGE DEFINED.—In subparagraph (A), the 'applicable percentage' with respect to a fiscal year means the following:

"(i) For fiscal year 2002, 0 percent.

"(ii) For fiscal year 2003, 0 percent.

"(iii) For fiscal year 2004, 0 percent.

"(iv) For fiscal year 2005, 1 percent.

"(v) For fiscal year 2006, 2 percent."]

[SEC. 134. INTEGRATED PRODUCT TEAM. No funds appropriated by this Act shall be available for an Integrated Product Team until reorganization plans for the Integrated Product Team and a Capital Construction Services Administration have been approved, or deemed

approved, by the Council: *Provided*, That this paragraph shall not apply to funds appropriated for the Office of Contracting and Procurement.]

SEC. [135] 133. No later than 30 calendar days after the date of the enactment of this Act, the Chief Financial Officer of the District of Columbia shall submit to the appropriate committees of Congress, the Mayor, and the Council a revised appropriated funds operating budget in the format of the budget that the District of Columbia government submitted pursuant to section 442 of the District of Columbia Home Rule Act (Public Law 93-198; D.C. Official Code, sec. 1-204.42), for all agencies of the District of Columbia government for such fiscal year that is in the total amount of the approved appropriation and that realigns all budgeted data for personal services and other-than-personal-services, respectively, with anticipated actual expenditures.

[SEC. 136. Section 403 of the District of Columbia Home Rule Act, approved December 24, 1973 (Public Law 93-198; D.C. Official Code, sec. 1-204.03), is amended as follows:

(1) Subsection (c) is amended by striking "shall receive, in addition to the compensation to which he is entitled as a member of the Council, \$10,000 per annum, payable in equal installments, for each year he serves as Chairman, but the Chairman".

(2) A new subsection (d) is added to read as follows:

"(d) Notwithstanding subsection (a), as of the effective date of the District of Columbia Appropriations Act, 2001, the Chairman shall receive compensation, payable in equal installments, at a rate equal to \$10,000 less than the annual compensation of the Mayor."]

SEC. [137] 134. RISK MANAGEMENT FOR SETTLEMENTS AND JUDGMENTS. In addition to any other authority to pay claims and judgments, any department, agency, or instrumentality of the District government may pay the settlement or judgment of a claim or lawsuit in an amount less than \$10,000, in accordance with the Risk Management for Settlements and Judgments Amendment Act of 2000, effective October 19, 2000 (D.C. Law 13-172; D.C. Official Code, sec. 2-402).

[SEC. 138. Notwithstanding section 602(c)(1) of the District of Columbia Home Rule Act (sec. 1-206(c)(1), D.C. Code), the Closing of Portions of 2nd and N Streets, N.E. and Alley System in Square 710, S.O. 00-97, Act of 2001 (D.C. Act 14-106) shall take effect on the date of the enactment of such Act or the date of the enactment of this Act, whichever is later.]

SEC. [139] 135. None of the funds contained in this Act may be used to issue, administer, or enforce any order by the District of Columbia Commission on Human Rights relating to docket numbers 93-030-(PA) and 93-031-(PA).

[SEC. 140. (a) Notwithstanding 20 U.S.C. 1415, 42 U.S.C. 1988, 29 U.S.C. 794a, or any other law, none of the funds appropriated under this Act, or in appropriations Acts for subsequent fiscal years, may be made available to pay attorneys' fees accrued prior to the effective date of this Act that exceeds a cap imposed on attorneys' fees by prior appropriations Acts that were in effect during the fiscal year when the work was performed, or when payment was requested for work previously performed, in an action or proceeding brought against the District of Columbia Public Schools under the Individuals with Disabilities Education Act (20 U.S.C. 1400 et seq.).

(b) No later than 60 days after the date of enactment of this Act, the Superintendent of Schools for the District of Columbia shall submit to the Committees on Appropriations for the Senate and the House of Representatives a written report for each of the fiscal years 1999, 2000, and 2001, detailing a complete itemized list, by year, of the judgments for attorneys' fees awarded to plaintiffs who prevailed in cases brought against the District of Columbia or the District of Columbia Public Schools under section 615(i)(3) of the Individuals with Disabilities Education Act (20 U.S.C. 1415(i)(3)). Such report shall specify: (1) the amount of each judgment; (2) the total amount paid on each judgment as of the date of the report; (3) the principal balance remaining due on each such judgment as of the date of the report, the amount of interest due as of December 31, 2001 on each unpaid amount; and the prospective annual rate of interest applicable to the judgment as of January 1, 2002; (4) the name of the Court and case number for each judgment; (5) the aggregate total due in principal and interest on the judgments; and (6) the amount paid by the District of Columbia, in each case listed, to defense counsel representing the District or the District of Columbia Public Schools.]

[SEC. 141. The Comptroller General, in consultation with the relevant agencies and members of the Committees on Appropriations Subcommittees on the District of Columbia, shall submit by March

31, 2002 a report to the Committees on Appropriations of the House and the Senate and the Committee on Governmental Affairs of the Senate and the Committee on Government Reform of the House of Representatives detailing the awards in judgment rendered in the District of Columbia that were in excess of the cap imposed by prior appropriations Acts in effect during the fiscal year when the work was performed, or when payment was requested for work previously performed, in actions brought against the District of Columbia Public Schools under the Individuals with Disabilities Education Act (20 U.S.C. 1400 et seq.): *Provided*, That such report shall include a comparison, to the extent practicable, of the causes of action and judgments rendered against public school districts of comparable demographics and population as the District.】

SEC. 136. (a) None of the funds contained in this Act may be made available to pay the fees of an attorney who represents a party who prevails in an action or any attorney who defends any action, including an administrative proceeding, brought against the District of Columbia Public Schools under the Individuals with Disabilities Education Act (20 U.S.C. 1400 et seq.) if—

(1) the hourly rate of compensation of the attorney exceeds 250 percent of the hourly rate of compensation under section 11-2604(a), District of Columbia Code; or

(2) the maximum amount of compensation of the attorney exceeds 250 percent of the maximum amount of compensation under section 11-2604(b)(1), District of Columbia Code, except that compensation and reimbursement in excess of such maximum may be approved for extended or complex representation in accordance with section 11-2604(c), District of Columbia Code; and

(3) in no case may the compensation limits in paragraphs (1) and (2) exceed \$2,500.

(b) Notwithstanding the preceding subsection, if the Mayor and the Superintendent of the District of Columbia Public Schools concur in a Memorandum of Understanding setting forth a new rate and amount of compensation, then such new rates shall apply in lieu of the rates set forth in the preceding subsection to both the attorney who represents the prevailing party and the attorney who defends the action. (District of Columbia Appropriations Act, 2002.)

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Equal Employment Opportunity Commission as authorized by title VII of the Civil Rights Act of 1964, as amended (29 U.S.C. 206(d) and 621-634), the Americans with Disabilities Act of 1990, and the Civil Rights Act of 1991, including services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles as authorized by 31 U.S.C. 1343(b); non-monetary awards to private citizens; and not to exceed \$30,000,000 for payments to State and local enforcement agencies for services to the Commission pursuant to title VII of the Civil Rights Act of 1964, as amended, sections 6 and 14 of the Age Discrimination in Employment Act, the Americans with Disabilities Act of 1990, and the Civil Rights Act of 1991, 【\$310,406,000】 \$323,516,000: *Provided*, That the Commission is authorized to make available for official reception and representation expenses not to exceed \$2,500 from available funds. (Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

【For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for “Salaries and Expenses,” \$1,301,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.】 (Emergency Supplemental Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 45-0100-0-1-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Executive direction and program support	53	49	48
00.02 Enforcement	234	247	246
00.03 State and local grants	30	30	30

10.00	Total new obligations	317	326	324
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	317	326	324
23.95	Total new obligations	-317	-326	-324
23.98	Unobligated balance expiring or withdrawn	-1		
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	318	325	324
40.15	Appropriation (emergency)		1	
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
43.00	Appropriation (total discretionary)	317	326	324
Change in obligated balances:				
72.40	Obligated balance, start of year	46	57	52
73.10	Total new obligations	317	326	324
73.20	Total outlays (gross)	-306	-331	-324
74.40	Obligated balance, end of year	57	52	52
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	281	286	284
86.93	Outlays from discretionary balances	25	45	40
87.00	Total outlays (gross)	306	331	324
Net budget authority and outlays:				
89.00	Budget authority	317	326	324
90.00	Outlays	306	331	324

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	303	311	309
90.00	Outlays	292	316	309

The Equal Employment Opportunity Commission (EEOC) is the Federal agency responsible for enforcement of: the Age Discrimination in Employment Act of 1967; title VII of the Civil Rights Act of 1964, as amended; the Equal Pay Act of 1963; in the Federal sector only, section 501 of the Rehabilitation Act of 1963; the Americans with Disabilities Act of 1990; and the Civil Rights Act of 1991. These acts prohibit employment discrimination based on race, sex, religion, national origin, age, or disability status. The EEOC is also responsible for carrying out Executive Order 12067, which promotes coordination and minimizes conflict and duplication among Federal agencies that administer statutes or regulations involving employment discrimination.

TOTAL WORKLOAD

	2001 actual	2002 est.	2003 est.
Private Sector Enforcement	122,587	121,111	117,216
Federal Sector Program	37,930	35,442	32,406
Appeals	16,869	14,637	12,533
Hearings	21,061	20,805	19,873
Total Workload	160,517	156,553	149,622

Note.— For the Private Sector Program, total workload estimates reflect the carryover from prior years as well as new charge receipts and deferrals from State and local agencies. The estimates of total workload in the Federal Sector Program reflect the carryover from prior years in addition to new hearings or appeal requests that EEOC receives during the year. Details, by program and activity, appear in the tables below.

The EEOC's budget supports three activities:

Executive direction and support.—This activity provides for the direction and coordination of the Commission's programs. It also provides administrative and management support services for the agency. EEOC will continue to enhance support to front-line staff to improve the efficiency and effectiveness of service to the public during 2003.

Enforcement.—This activity resolves charges of employment discrimination filed with the Commission and pursues litigation to enforce compliance with Title VII, the Equal Pay Act, the Age Discrimination in Employment Act, the Americans with Disabilities Act, and the Civil Rights Act of 1991. In

General and special funds—Continued

SALARIES AND EXPENSES—Continued

2003, EEOC plans to continue the assessment of agency operations, which began during fiscal year 2002, as part of the Commission's workforce restructuring efforts. The Commission will focus on reducing management layers, ensuring that the largest number of employees is in direct service delivery positions, and retraining employees as an internal part of restructuring efforts. In the private-sector program, EEOC will expand its Alternative Dispute Resolution program and dedicate resources toward prevention of discrimination by identifying and eliminating barriers to compete in the workplace. The agency will also work to expand EEOC's coordination role for Federal civil rights employment discrimination policy among Federal agencies and at the State and local level during 2003.

PRIVATE SECTOR ENFORCEMENT WORKLOAD PROJECTIONS

Workload/Workflow	2001 actual	2002 est.	2003 est.
Total Pending ¹	34,659	32,481	28,586
Total Receipts	80,840	81,542	81,542
Net FEPA Transfers/Deferrals	7,088	7,088	7,088
Total Workload	122,587	121,111	117,216
Resolutions:			
Successful Mediation	6,987	7,153	7,704
From Contract	1,739	1,237	1,788
From Staff	5,248	5,916	5,916
Administrative Enforcement Resolutions	83,119	85,372	80,959
Total Resolutions	90,106	92,525	88,663
Charges/Complaints Forwarded	32,481	28,586	28,553

¹ FY 2001 Pending beginning number has been adjusted to reflect refinements in charge process reports. Table may not add due to rounding.

FEDERAL SECTOR PROGRAMS APPEALS WORKLOAD PROJECTIONS

Workload	2001 actual	2002 est.	2003 est.
Complaints	9,975	7,536	5,219
Complaints Received	6,894	7,101	7,314
Total Workload	16,869	14,637	12,533
Complaints Resolved	9,333	9,418	9,418
Complaints Forwarded	7,536	5,219	3,115

FEDERAL SECTOR PROGRAMS HEARINGS WORKLOAD PROJECTIONS

Workload	2001 actual	2002 est.	2003 est.
Hearings Pending	11,875	11,659	10,905
Hearings Requests	9,817	9,800	9,605
Hearings Requests Consolidated After Initial Processing	(631)	(654)	(641)
Total Workload	21,061	20,805	19,873
Hearings Resolved	9,402	9,900	10,071
Hearings Forwarded	11,659	10,905	9,712

State and local grants.—This activity provides funds to State and local fair employment practice agencies to assist in the resolution of employment discrimination complaints. For 2003, the agency will continue working with State and Local Fair Employment Practices Agencies and Tribal Employment Rights Organizations to improve employment discrimination charge processing and other approaches for addressing workplace discrimination.

STATE AND LOCAL WORKLOAD PROJECTIONS

Workload	2001 actual	2002 est.	2003 est.
Charges/Complaints Pending	69,806	66,170	61,730
Charges/Complaints Received	58,303	58,300	58,300
Charges/Complaints Resolved	54,851	55,652	55,000
Charges/Complaints Deferred to EEOC	7,088	7,088	7,088
Charges/Complaints Forwarded	66,170	61,730	57,942

Object Classification (in millions of dollars)

Identification code 45-0100-0-1-751	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	145	157	155
11.3 Other than full-time permanent	6	6	6
11.5 Other personnel compensation	16	17	17
Total personnel compensation	167	180	178
12.1 Civilian personnel benefits	51	55	54
21.0 Travel and transportation of persons	3	3	3
23.1 Rental payments to GSA	25	26	27
23.3 Communications, utilities, and miscellaneous charges	5	5	5
25.2 Other services	28	21	22
26.0 Supplies and materials	3	3	2
31.0 Equipment	5	3	3
41.0 Grants, subsidies, and contributions	30	30	30
Total new obligations	317	326	324

Personnel Summary

Identification code 45-0100-0-1-751	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	2,704	2,850	2,800

Public enterprise funds:

EEOC EDUCATION, TECHNICAL ASSISTANCE, AND TRAINING REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 45-4019-0-4-751	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 99.5)	2	3	3
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	3	3
22.00 New budget authority (gross)	3	3	3
23.90 Total budgetary resources available for obligation	5	6	6
23.95 Total new obligations	-2	-3	-3
24.40 Unobligated balance carried forward, end of year	3	3	3
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	3	3	3
Change in obligated balances:			
72.40 Obligated balance, start of year	1		
73.10 Total new obligations	2	3	3
73.20 Total outlays (gross)	-3	-3	-3
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	3	3	3
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-3	-3	-3
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

The EEOC Education, Technical Assistance, and Training Revolving Fund Act of 1992 created a revolving fund to pay for the cost of providing education, technical assistance and training relating to the laws administered by the Commission.

EXPORT-IMPORT BANK OF THE UNITED STATES

Federal Funds

General and special funds:

OPERATING EXPENSES OF THE EXPORT-IMPORT BANK, OVERSEAS PRIVATE INVESTMENT CORPORATION, AND TRADE AND DEVELOPMENT AGENCY OFFICE OF THE INSPECTOR GENERAL

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 71-0103-2-1-155	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.09 Administrative Expenses			1
10.00 Total new obligations (object class 11.1)			1
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			2
23.95 Total new obligations			-1
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation			2
Change in obligated balances:			
73.10 Total new obligations			1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority			2
Net budget authority and outlays:			
89.00 Budget authority			2
90.00 Outlays			2

Personnel Summary

Identification code 71-0103-2-1-155	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment			10

Credit accounts:

EXPORT-IMPORT BANK LOANS PROGRAM ACCOUNT

The Export-Import Bank of the United States is authorized to make such expenditures within the limits of funds and borrowing authority available to such corporation, and in accordance with law, and to make such contracts and commitments without regard to fiscal year limitations, as provided by section 104 of the Government Corporation Control Act, as may be necessary in carrying out the program for the current fiscal year for such corporation: *Provided*, That none of the funds available during the current fiscal year may be used to make expenditures, contracts, or commitments for the export of nuclear equipment, fuel, or technology to any country, other than a nuclear-weapon state as defined in Article IX of the Treaty on the Non-Proliferation of Nuclear Weapons eligible to receive economic or military assistance under this Act, that has detonated a nuclear explosive after the date of the enactment of this Act.

SUBSIDY APPROPRIATION

For the cost of direct loans, loan guarantees, insurance, and tied-aid grants as authorized by section 10 of the Export-Import Bank Act of 1945, as amended, **[\$727,323,000] \$541,400,000**, to remain available until September 30, **[2005] 2006: Provided**, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That such sums shall remain available until September 30, **[2020] 2021** for the disbursement of direct loans, loan guarantees, insurance and tied-aid grants obligated in fiscal years **[2002,] 2003, 2004, [and] 2005, and 2006: Provided further**, That none of the funds appropriated by this Act or any prior Act appropriating funds for foreign operations, export financing, or related programs for tied-aid credits or grants may be used for any other purpose except

through the regular notification procedures of the Committees on Appropriations: *Provided further*, That funds appropriated by this paragraph are made available notwithstanding section 2(b)(2) of the Export Import Bank Act of 1945, in connection with the purchase or lease of any product by any East European country, any Baltic State or any agency or national thereof.

ADMINISTRATIVE EXPENSES

For administrative expenses to carry out the direct and guaranteed loan and insurance programs, including hire of passenger motor vehicles and services as authorized by 5 U.S.C. 3109, and not to exceed \$30,000 for official reception and representation expenses for members of the Board of Directors, **[\$63,000,000: Provided**, That necessary expenses (including special services performed on a contract or fee basis, but not including other personal services) in connection with the collection of moneys owed the Export-Import Bank, repossession or sale of pledged collateral or other assets acquired by the Export-Import Bank in satisfaction of moneys owed the Export-Import Bank, or the investigation or appraisal of any property, or the evaluation of the legal or technical aspects of any transaction for which an application for a loan, guarantee or insurance commitment has been made, shall be considered nonadministrative expenses for the purposes of this heading] **\$70,300,000: Provided**, That the *Export-Import Bank may accept, and use, payment or services provided by transaction participants for legal, financial, or technical services in connection with any transaction for which an application for a loan, guarantee or insurance commitment has been made: Provided further*, That, notwithstanding subsection (b) of section 117 of the Export Enhancement Act of 1992, subsection (a) thereof shall remain in effect until October 1, **[2002] 2003. (Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2002; additional authorizing legislation required.)**

Program and Financing (in millions of dollars)

Identification code 83-0100-0-1-155	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct loan subsidy and grants	95	34	30
00.02 Guaranteed loan subsidy	732	972	605
00.03 Guaranteed loan modifications	5	19	19
00.04 Direct loan modifications		1	1
00.05 Reestimate of direct loan subsidy	511	219	
00.06 Interest on reestimates of direct loan subsidy	251	95	
00.07 Reestimates of loan guarantee subsidy	98	100	
00.08 Interest on reestimates of loan guarantee subsidy	59	27	
00.09 Administrative expenses	64	66	71
10.00 Total new obligations	1,815	1,533	726
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	365	514	305
22.00 New budget authority (gross)	1,848	1,234	612
22.10 Resources available from recoveries of prior year obligations	118	90	90
23.90 Total budgetary resources available for obligation	2,331	1,838	1,007
23.95 Total new obligations	-1,815	-1,533	-726
24.40 Unobligated balance carried forward, end of year	514	305	281

New budget authority (gross), detail:

Discretionary:			
Appropriation:			
40.00 Appropriation (Subsidy Appropriation)	865	727	541
40.00 Appropriation (Administrative Expenses)	64	65	70
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-2		
42.00 Transferred from other accounts	1		
43.00 Appropriation (total discretionary)	928	792	611
Mandatory:			
60.00 Appropriation	919	441	
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1	1	1
70.00 Total new budget authority (gross)	1,848	1,234	612

Change in obligated balances:

72.40 Obligated balance, start of year	1,226	1,219	1,617
73.10 Total new obligations	1,815	1,533	726
73.20 Total outlays (gross)	-1,656	-1,045	-665
73.40 Adjustments in expired accounts (net)	-48		
73.45 Recoveries of prior year obligations	-118	-90	-90

Credit accounts—Continued**EXPORT-IMPORT BANK LOANS PROGRAM ACCOUNT—Continued****ADMINISTRATIVE EXPENSES—Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 83-0100-0-1-155	2001 actual	2002 est.	2003 est.
74.40 Obligated balance, end of year	1,219	1,617	1,588
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	222	155	150
86.93 Outlays from discretionary balances	515	450	515
86.97 Outlays from new mandatory authority	919	441
87.00 Total outlays (gross)	1,656	1,045	665
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-1	-1	-1
Net budget authority and outlays:			
89.00 Budget authority	1,847	1,233	611
90.00 Outlays	1,655	1,044	664
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	1,845	1,231	609
90.00 Outlays	1,653	1,042	662

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 83-0100-0-1-155	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Direct Loans: Export Financing	866	103	105
115002 Direct Loans: Tied Aid War Chest	5	58	74
115901 Total direct loan levels	871	161	179
Direct loan subsidy (in percent):			
132001 Direct Loans: Export Financing	10.74	15.53	5.71
132002 Direct Loans: Tied Aid War Chest	40.00	32.75	33.78
132901 Weighted average subsidy rate	10.90	21.73	17.31
Direct loan subsidy budget authority:			
133001 Direct loans	93	16	6
133002 Direct Loans: Tied Aid War Chest	2	19	25
133901 Total subsidy budget authority	95	35	31
Direct loan subsidy outlays:			
134001 Direct Loans: Export Financing	79	8	24
134002 Direct Loans: Tied Aid War Chest	17	10	7
134901 Total subsidy outlays	96	18	31
Direct loan upward reestimate subsidy budget authority:			
135001 Direct loans	762	314
135901 Total upward reestimate budget authority	762	314
Direct loan upward reestimate subsidy outlays:			
136001 Direct loans	762	314
136901 Total upward reestimate outlays	762	314
Direct loan downward reestimate subsidy budget authority:			
137001 Direct loans	-625	-144
137901 Total downward reestimate budget authority	-625	-144
Direct loan downward reestimate subsidy outlays:			
138001 Direct loans	-625	-144
138901 Total downward reestimate subsidy outlays	-625	-144
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Loan Guarantees: Export Financing	8,370	10,239	11,321
215901 Total loan guarantee levels	8,370	10,239	11,321

Guaranteed loan subsidy (in percent):			
232001 Loan Guarantees: Export Financing	8.81	9.68	5.52
232901 Weighted average subsidy rate	8.81	9.68	5.52
Guaranteed loan subsidy budget authority:			
233001 Loan guarantees	737	991	625
233901 Total subsidy budget authority	737	991	625
Guaranteed loan subsidy outlays:			
234001 Loan Guarantees: Export Financing	736	416	539
234901 Total subsidy outlays	736	416	539
Guaranteed loan upward reestimate subsidy budget authority:			
235001 Loan guarantees	157	127
235901 Total upward reestimate budget authority	157	127
Guaranteed loan upward reestimate subsidy outlays:			
236001 Loan guarantees	157	127
236901 Total upward reestimate subsidy outlays	157	127
Guaranteed loan downward reestimate subsidy budget authority:			
237001 Loan guarantees	-2,269	-664
237901 Total downward reestimate subsidy budget authority	-2,269	-664
Guaranteed loan downward reestimate subsidy outlays:			
238001 Loan guarantees	-2,269	-664
238901 Total downward reestimate subsidy outlays	-2,269	-664
Administrative expense data:			
351001 Budget authority	62	63	68
358001 Outlays from balances	7	8	9
359001 Outlays	53	54	58

The purpose of the Export-Import Bank (Eximbank) is to aid in the financing and promotion of U.S. exports. To accomplish its objectives, the bank's authority and resources are used to: assume commercial and political risks that exporters or private institutions are unwilling or unable to undertake; overcome maturity and other limitations in private sector export financing; assist U.S. exporters to meet officially sponsored foreign export credit competition; and provide leadership and guidance in export financing to the U.S. exporting and banking communities and to foreign borrowers. The bank provides its export credit support through direct loan, loan guarantee and insurance programs. The bank is actively assisting small- and medium-sized businesses.

The 2003 budget proposes \$11.5 billion in lending levels, funded by a program budget of \$541.4 million and administrative expenses of \$68.4 million.

The 2003 program budget is a 26 percent nominal decrease from 2002. The increase in lending levels for 2003 is achieved with this request level because of a new credit risk methodology that uses more focused estimates of default risks.

Until this budget, the U.S. Government (USG) used the premium charged by private sector lenders to other governments as a proxy for the default costs of USG loans to these countries. While this was the best available method, it captured not just default risk, but also other elements, such as profits, opportunity costs, tax effects and other factors not relevant to the budget cost of USG credits. The new method isolates just the default risk portion of the private market premiums. In short, the risk of new USG international credits has not decreased, but budget costs are now based only on this default risk, not on other extraneous factors.

As required by the Federal Credit Reform Act of 1990, this account records, for Eximbank, the subsidy costs associated with direct loans and direct grants obligated, and loan guarantees and insurance committed in 1992 and beyond, as well as administrative expenses. The subsidy amounts are estimated on a present value basis; administrative expenses are estimated on a cash basis.

Object Classification (in millions of dollars)

Identification code 83-0100-0-1-155	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	30	32	34
12.1 Civilian personnel benefits	9	11	12
21.0 Travel and transportation of persons	2	2	2
23.1 Rental payments to GSA	4	4	4
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	14	12	13
26.0 Supplies and materials	2	1	1
31.0 Equipment	2	3	4
41.0 Grants, subsidies, and contributions	1,751	1,467	655
99.9 Total new obligations	1,815	1,533	726

Personnel Summary

Identification code 83-0100-0-1-155	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	411	420	420

DEBT REDUCTION FINANCING ACCOUNT**Program and Financing** (in millions of dollars)

Identification code 83-4028-0-3-155	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Interest on Treasury borrowing	5	3	2
00.03 Subsidy for Debt Reduction	35	35	36
10.00 Total new obligations	5	38	38

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year		32	
22.00 New financing authority (gross)	37	39	39
22.60 Portion applied to repay debt		-33	-1
23.90 Total budgetary resources available for obligation	37	38	38
23.95 Total new obligations	-5	-38	-38
24.40 Unobligated balance carried forward, end of year	32		

New financing authority (gross), detail:

Spending authority from offsetting collections:			
Discretionary:			
Offsetting collections (cash):			
68.00 Offsetting collections (cash)	5	4	3
68.00 Offsetting collections (Debt Reduction)	32	35	36
68.90 Spending authority from offsetting collections (total discretionary)	37	39	39

Change in obligated balances:

73.10 Total new obligations	5	38	38
73.20 Total financing disbursements (gross)	-5	-38	-38
87.00 Total financing disbursements (gross)	5	38	38

Offsets:

Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 Federal sources	-32	-35	-36
Non-Federal sources:			
88.40 Non-Federal sources—Principal	-1	-1	-1
88.40 Non-Federal sources—Interest	-4	-3	-2
88.90 Total, offsetting collections (cash)	-37	-39	-39

Net financing authority and financing disbursements:

89.00 Financing authority			
90.00 Financing disbursements	-32	-1	-1

Status of Direct Loans (in millions of dollars)

Identification code 83-4028-0-3-155	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans			
1150 Total direct loan obligations			

Cumulative balance of direct loans outstanding:

1210 Outstanding, start of year	102	146	407
1233 Disbursements: Purchase of loans assets from a liquidating account	50	545	10
1251 Repayments: Repayments and prepayments	-1	-1	-1
1264 Write-offs for default: Other adjustments, net	-5	-283	-10
1290 Outstanding, end of year	146	407	406

Balance Sheet (in millions of dollars)

Identification code 83-4028-0-3-155	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	102	146	407	406
1405 Allowance for subsidy cost (-)	-42	-93	-382	-381
1499 Net present value of assets related to direct loans	60	53	25	25
1999 Total assets	60	53	25	25
LIABILITIES:				
2103 Federal liabilities: Debt	60	53	25	25
2999 Total liabilities	60	53	25	25
4999 Total liabilities and net position	60	53	25	25

As required by the Federal Credit Reform Act of 1990, this account records all cash flows to and from the Government resulting from restructuring either loans or claims against guarantees made by the Export-Import Bank of the U.S.

EXPORT-IMPORT BANK DIRECT LOAN FINANCING ACCOUNT**Program and Financing** (in millions of dollars)

Identification code 83-4161-0-3-155	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct loans	871	161	179
00.02 Interest on Treasury borrowing	463	533	537
00.91 Direct Program by Activities—Subtotal (1 level)	1,334	694	716
08.01 Payment to negative subsidy receipt account	20	24	9
08.02 Downward reestimates paid to receipt accounts	354	102	
08.04 Interest on downward reestimates paid to receipt accounts	271	42	
08.91 Direct Program by Activities—Subtotal (1 level)	645	168	9
10.00 Total new obligations	1,979	862	725

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	890	920	
22.00 New financing authority (gross)	3,192	1,892	1,606
22.10 Resources available from recoveries of prior year obligations	101		
22.60 Portion applied to repay debt	-1,284	-1,950	-881
23.90 Total budgetary resources available for obligation	2,899	862	725
23.95 Total new obligations	-1,979	-862	-725
24.40 Unobligated balance carried forward, end of year	920		

New financing authority (gross), detail:

Discretionary:			
47.00 Authority to borrow	1,052		
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	2,140	1,892	1,606
70.00 Total new financing authority (gross)	3,192	1,892	1,606

Change in obligated balances:

72.40 Obligated balance, start of year	2,532	1,851	583
73.10 Total new obligations	1,979	862	725
73.20 Total financing disbursements (gross)	-2,558	-2,130	-1,097
73.45 Recoveries of prior year obligations	-101		
74.40 Obligated balance, end of year	1,851	583	211
87.00 Total financing disbursements (gross)	2,558	2,130	1,097

Credit accounts—Continued**EXPORT-IMPORT BANK DIRECT LOAN FINANCING ACCOUNT—
Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 83-4161-0-3-155	2001 actual	2002 est.	2003 est.	
Offsets:				
Against gross financing authority and financing disbursements:				
Offsetting collections (cash) from:				
Federal sources:				
88.00	Federal sources: upward reestimate	- 762	- 314
88.00	Federal sources: payment from program account	- 96	- 18	- 31
88.25	Interest on uninvested funds	- 108	- 100	- 100
Non-Federal sources:				
88.40	Repayments and prepayments	- 755	- 731	- 808
88.40	Fees and interest on loans	- 419	- 729	- 667
88.90	Total, offsetting collections (cash)	- 2,140	- 1,892	- 1,606
Net financing authority and financing disbursements:				
89.00	Financing authority	1,052
90.00	Financing disbursements	418	238	- 509

Status of Direct Loans (in millions of dollars)

Identification code 83-4161-0-3-155	2001 actual	2002 est.	2003 est.	
Position with respect to appropriations act limitation on obligations:				
1131	Direct loan obligations exempt from limitation	871	161	179
1150	Total direct loan obligations	871	161	179
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	6,666	7,590	8,311
1231	Disbursements: Direct loan disbursements	1,738	1,452	560
1251	Repayments: Repayments and prepayments	- 814	- 731	- 808
1290	Outstanding, end of year	7,590	8,311	8,063

Balance Sheet (in millions of dollars)

Identification code 83-4161-0-3-155	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101	Federal assets: Fund balances with Treasury	890
Net value of assets related to post-1991 direct loans receivable:				
1401	Direct loans receivable, gross	6,666	7,590	8,083
1402	Interest receivable	97	122	100
1405	Allowance for subsidy cost (-)	- 1,193	- 803	- 1,001
1499	Net present value of assets related to direct loans	5,570	6,909	7,182
1803	Other Federal assets: Property, plant and equipment, net	1	1	1
1999	Total assets	6,461	6,910	7,183
LIABILITIES:				
2103	Federal liabilities: Debt	6,461	6,910	7,183
2999	Total liabilities	6,461	6,910	7,183
4999	Total liabilities and net position	6,461	6,910	7,183

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

This account reflects direct loan activity through 2002.

EXPORT-IMPORT BANK GUARANTEED LOAN FINANCING ACCOUNT**Program and Financing (in millions of dollars)**

Identification code 83-4162-0-3-155	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Guarantee claims	569	373	455
08.01	Payment to negative subsidy receipt account	1	1	4
08.02	Downward reestimates paid to receipt accounts	1,618	517
08.04	Interest on downward reestimates paid to receipt accounts	651	147
08.91	Direct Program by Activities—Subtotal (1 level)	2,270	665	4
10.00	Total new obligations	2,839	1,038	459
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	5,331	4,110	4,216
22.00	New financing authority (gross)	1,704	1,144	1,311
22.60	Portion applied to repay debt	- 87
23.90	Total budgetary resources available for obligation	6,948	5,254	5,527
23.95	Total new obligations	- 2,839	- 1,038	- 459
24.40	Unobligated balance carried forward, end of year	4,110	4,216	5,068

New financing authority (gross), detail:

Discretionary:				
68.00	Spending authority from offsetting collections (gross): Offsetting collections (cash)	1,704	1,144	1,311
Change in obligated balances:				
73.10	Total new obligations	2,839	1,038	459
73.20	Total financing disbursements (gross)	- 2,839	- 1,038	- 459
87.00	Total financing disbursements (gross)	2,839	1,038	459

Offsets:

Against gross financing authority and financing disbursements:				
Offsetting collections (cash) from:				
Federal sources:				
88.00	Payments from program account	- 736	- 416	- 539
88.00	Federal sources: upward reestimate	- 157	- 127
88.25	Interest on uninvested funds	- 280	- 348	- 372
88.40	Fees and premiums	- 531	- 253	- 400
88.90	Total, offsetting collections (cash)	- 1,704	- 1,144	- 1,311

Net financing authority and financing disbursements:

89.00	Financing authority
90.00	Financing disbursements	1,134	- 106	- 852

Status of Guaranteed Loans (in millions of dollars)

Identification code 83-4162-0-3-155	2001 actual	2002 est.	2003 est.	
Position with respect to appropriations act limitation on commitments:				
2121	Limitation available from carry-forward	397	1,960
2131	Guaranteed loan commitments exempt from limitation	10,544	8,279	11,321
2143	Uncommitted limitation carried forward	- 2,571
2150	Total guaranteed loan commitments	8,370	10,239	11,321
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	28,678	29,584	30,574
2231	Disbursements of new guaranteed loans	7,504	6,965	8,384
2251	Repayments and prepayments	- 6,029	- 5,602	- 9,863
2263	Adjustments: Terminations for default that result in claim payments	- 569	- 373	- 455
2290	Outstanding, end of year	29,584	30,574	28,640
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	29,584	30,574	28,640

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

This account reflects actual and expected loan guarantee activity through 2002.

Balance Sheet (in millions of dollars)

Identification code 83-4162-0-3-155	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	5,331	4,110	4,216	5,068
1206 Non-Federal assets: Receivables, net	1,461			
1999 Total assets	6,792	4,110	4,216	5,068
LIABILITIES:				
Federal liabilities:				
2103 Debt	222			
2104 Resources payable to Treasury	1,686			
Non-Federal liabilities:				
2201 Accounts payable				
2204 Liabilities for loan guarantees	4,884	4,110	4,216	5,068
2999 Total liabilities	6,792	4,110	4,216	5,068
4999 Total liabilities and net position	6,792	4,110	4,216	5,068

Public enterprise funds:**EXPORT-IMPORT BANK OF THE UNITED STATES LIQUIDATING ACCOUNT****Program and Financing** (in millions of dollars)

Identification code 83-4027-0-3-155	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.06 Claim payments, gross	32	7	13
10.00 Total new obligations (object class 33.0)	32	7	13
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1,087	96	
22.00 New budget authority (gross)	519	420	344
Capital transfer to general fund:			
22.40 Capital transfer to general fund	-1,478	-509	-331
22.40 Capital transfer to general fund (Debt Reduction)			
23.90 Total budgetary resources available for obligation	128	7	13
23.95 Total new obligations	-32	-7	-13
24.40 Unobligated balance carried forward, end of year	96		
New budget authority (gross), detail:			
Mandatory:			
Offsetting collections (cash):			
69.00 Offsetting collections (cash)	519	420	344
69.00 Offsetting collections (Debt Reduction)			
69.90 Spending authority from offsetting collections (total mandatory)	519	420	344
Change in obligated balances:			
73.10 Total new obligations	32	7	13
73.20 Total outlays (gross)	-32	-7	-13
Outlays (gross), detail:			
86.98 Outlays from mandatory balances	32	7	13
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on Federal securities	-24		
Non-Federal sources:			
88.40 Loans repaid	-307	-268	-232
88.40 Interest and fee revenue from loans	-188	-152	-112
88.90 Total, offsetting collections (cash)	-519	-420	-344
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-487	-413	-331
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value	1,064		

Status of Direct Loans (in millions of dollars)

Identification code 83-4027-0-3-155	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	4,460	4,152	3,884
1251 Repayments: Repayments and prepayments	-307	-268	-232
1264 Write-offs for default: Other adjustments, net	-1		
1290 Outstanding, end of year	4,152	3,884	3,652

Status of Guaranteed Loans (in millions of dollars)

Identification code 83-4027-0-3-155	2001 actual	2002 est.	2003 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	1,104	941	590
2251 Repayments and prepayments	-163	-351	-229
2290 Outstanding, end of year	941	590	361
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	941	590	361

DATA ON DIRECT LOANS

(In millions of dollars)

	2001 actual	2002 est.	2003 est.
Undisbursed loan authorizations, end of year	1,859	523	93
Credit authorizations	871	161	179
Credit cancellations	101	40	44
Loan disbursements	1,448	1,457	565
Capitalized interest	79	75	75
Loan principal repayments	1,036	986	1,026
Loan write-offs	3	283	10
Loans outstanding, end of year	10,615	10,878	10,482

DATA ON GUARANTEES

(In millions of dollars)

	2001 actual	2002 est.	2003 est.
Undisbursed balance, end of year	7,584	7,712	7,451
Authorizations	6,101	7,396	8,294
Cancellations	960	1,515	232
Shipments	5,901	5,754	8,223
Repayments	4,831	3,921	7,746
Outstanding balance, end of year	29,364	31,196	31,673

DATA ON INSURANCE

(In millions of dollars)

	2001 actual	2002 est.	2003 est.
Undisbursed balance, end of year	3,660	3,379	2,733
Authorizations	2,269	2,843	3,027
Cancellations	1,196	659	149
Shipments	1,712	2,466	3,524
Repayments	1,731	1,681	3,320
Outstanding balance, end of year	1,162	1,948	2,152

DATA ON GRANT PORTION OF TIED-AID CREDIT

(In millions of dollars)

	2001 actual	2002 est.	2003 est.
Grant portion of tied-aid credit	5	58	74
Estimated outlays	17	10	7

POSITION WITH RESPECT TO LENDING, GUARANTEE AND INSURANCE AUTHORITY

(In millions of dollars)

	2000 actual	2001 actual	2002 est.	2003 est.
Statutory authority	75,000	75,000	75,000	75,000
Charges against authority:				
Loan Program:				
Loans Outstanding	9,948	10,615	10,878	10,482
Loans Undisbursed	2,612	1,859	523	93
Outstanding Claims	4,233	4,181	4,809	5,530
Subtotal	16,793	16,655	16,210	16,105
Export guarantees and insurance program:				
Export Credit Insurance	7,858	4,823	5,326	4,885
Export Credit Guarantees	36,944	36,948	38,908	39,125
Subtotal	44,802	41,771	44,234	44,010

Public enterprise funds—Continued**EXPORT-IMPORT BANK OF THE UNITED STATES LIQUIDATING
ACCOUNT—Continued****POSITION WITH RESPECT TO LENDING, GUARANTEE AND INSURANCE AUTHORITY—
Continued**

(In millions of dollars)

	2000 actual	2001 actual	2002 est.	2003 est.
Total Charges against authority	61,595	58,426	60,444	60,115
Unused Authority	13,405	16,574	14,556	14,885

Operating results and financial condition.—The bank is a wholly owned Government corporation. Capital stock of \$1 billion was purchased by the U.S. Treasury.

The bank has a reserve for possible credit losses, which provides for the risk of loss inherent in the lending process. This reserve is a general reserve, available to absorb credit losses related to the total loan portfolio. The reserve is increased by provisions charged to expenses and decreased by charge-offs, net of recoveries.

The provision for possible credit losses is based on the bank's evaluation of the adequacy of the reserve, taking into consideration a variety of factors, including repayment status of loans, future risk factors, the relationship of the reserve to the portfolio, and worldwide economic conditions. Providing for such possible losses does not imply that any loans will be written off. It simply recognizes the fact that the prospects for collection of some of the bank's loans are impaired. It does not provide for losses on a country-by-country basis and is intended only to provide an overall revaluation of the loan portfolio.

The bank's net operating income was \$1,061 million in 2001. Total Government deficit in the corporation was \$642 million on September 30, 2001.

Statement of Operations (in millions of dollars)

Identification code 83-4027-0-3-155	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	212	994	304	285
0102 Expense		-7	-5	-5
0105 Net income or loss (-)	212	987	299	280

Balance Sheet (in millions of dollars)

Identification code 83-4027-0-3-155	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	24	123		
Investments in US securities:				
1102 Treasury securities, par	1,064			
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
Direct loans, gross:				
1601 Direct loans, gross	4,479	4,152	3,884	3,652
1601 Direct loans, gross reduction in Face Value	-21			
1602 Interest receivable	48	25		
1603 Allowance for estimated uncollectible loans and interest (-)	-3,566	-2,734	-2,567	-2,423
1699 Value of assets related to direct loans	940	1,443	1,317	1,229
1701 Defaulted guaranteed loans, gross	1,367	511	427	343
1702 Interest receivable	2	32	30	28
1703 Allowance for estimated uncollectible loans and interest (-)	-722	-159	-132	-106
1704 Defaulted guaranteed loans and interest receivable, net	647	384	325	265
1799 Value of assets related to loan guarantees	647	384	325	265
1999 Total assets	2,675	1,950	1,642	1,494

LIABILITIES:				
2104 Federal liabilities: Resources payable to Treasury	563	322	187	
Non-Federal liabilities:				
2202 Interest payable	2	1	1	1
2203 Debt	187	161	154	141
2204 Liabilities for loan guarantees	32	21	15	15
2207 Other	365	204	150	150
2999 Total liabilities	586	950	642	494
NET POSITION:				
Cumulative results of operations:				
3300 Cumulative results of operations	2,089	1,478	1,478	1,478
3300 Cumulative results of operations [Debt Reduction]		-478	-478	-478
3999 Total net position	2,089	1,000	1,000	1,000
4999 Total liabilities and net position	2,675	1,950	1,642	1,494

As required by the Federal Credit Reform Act of 1990, this account records, for Eximbank, all cash flows to and from the Government resulting from direct loans obligated and loan guarantees and insurance committed prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond is recorded in corresponding program and financing accounts.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Offsetting receipts from the public:			
83-272710 Export-Import Bank loans, Negative subsidies	21	25	13
83-272730 Export-Import Bank loans, Downward reestimates of subsidies	2,894	808	
General Fund Offsetting receipts from the public	2,915	833	13

FARM CREDIT ADMINISTRATION*Federal Funds***Public enterprise funds:****LIMITATION OF ADMINISTRATIVE EXPENSES**

Not to exceed **[\$36,700,000] \$38,496,000** (from assessments collected from farm credit institutions and from the Federal Agricultural Mortgage Corporation) shall be obligated during the current fiscal year for administrative expenses as authorized under 12 U.S.C. 2249: *Provided*, That this limitation shall not apply to expenses associated with receiverships. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 78-4131-0-3-351	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.00 Reimbursable program	38	40	40
10.00 Total new obligations	38	40	40
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	10	12	12
22.00 New budget authority (gross)	40	41	41
23.90 Total budgetary resources available for obligation	50	53	53
23.95 Total new obligations	-38	-40	-40
24.40 Unobligated balance carried forward, end of year	12	12	12
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	40	41	41
Change in obligated balances:			
72.40 Obligated balance, start of year	8	9	8

73.10	Total new obligations	38	40	40
73.20	Total outlays (gross)	-38	-40	-40
74.40	Obligated balance, end of year	9	8	8

Outlays (gross), detail:

86.97	Outlays from new mandatory authority	38	40	40
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Offsets:

Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-1	-1	-1
88.20	Interest on Federal securities	-1	-1	-1
88.40	Non-Federal sources	-38	-39	-39
88.90	Total, offsetting collections (cash)	-40	-41	-41

Net budget authority and outlays:

89.00	Budget authority			
90.00	Outlays	-2		-1

Memorandum (non-add) entries:

92.01	Total investments, start of year: Federal securities:			
	Par value	17	20	17
92.02	Total investments, end of year: Federal securities:			
	Par value	20	17	17

The Farm Credit Administration (FCA) is an independent Federal agency that examines and regulates the Farm Credit System (System) for safety and soundness. The System is a cooperative agricultural credit system of farm credit banks and associations that lends to farmers, ranchers, and their cooperatives. Since 1990, the FCA also performs annual examinations of the Federal Agricultural Mortgage Corporation. In addition, FCA annually examines The National Consumer Cooperative Bank and its affiliate, The NCCB Development Corporation.

As of October 1, 2001, the System was comprised of six Farm Credit Banks, one Agricultural Credit Bank, 115 associations, four service corporations, the Federal Farm Credit Bank Funding Corporation, the Farm Credit System Financial Assistance Corporation, and the Federal Agricultural Mortgage Corporation. The Agricultural Credit Bank makes loans to agricultural, aquatic, and public utility cooperatives and other persons or organizations owned by or having transactions with such cooperatives.

Assessments based upon estimated administrative expenses are collected from institutions in the System and the Federal Agricultural Mortgage Corporation and are available for administrative expenses. Obligations are incurred within fiscal year budgets approved by the Farm Credit Administration Board.

Object Classification (in millions of dollars)

Identification code 78-4131-0-3-351	2001 actual	2002 est.	2003 est.	
Personnel compensation:				
11.1	Full-time permanent	24	24	24
11.5	Other personnel compensation	1	2	2
11.9	Total personnel compensation	25	26	26
12.1	Civilian personnel benefits	8	8	8
21.0	Travel and transportation of persons	2	2	2
25.2	Other services	2	3	3
31.0	Equipment	1	1	1
99.9	Total new obligations	38	40	40

Personnel Summary

Identification code 78-4131-0-3-351	2001 actual	2002 est.	2003 est.	
2001	Total compensable workyears: Full-time equivalent employment	277	284	281

FARM CREDIT SYSTEM FINANCIAL ASSISTANCE CORPORATION

**FINANCIAL ASSISTANCE CORPORATION ASSISTANCE FUND,
LIQUIDATING ACCOUNT**

Program and Financing (in millions of dollars)

Identification code 78-4134-0-3-351	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.02	Interest expenses	71	71	71
10.00	Total new obligations (object class 43.0)	71	71	71
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	1,272	1,341	1,414
22.00	New budget authority (gross)	140	144	164
22.60	Portion applied to repay debt			-351
23.90	Total budgetary resources available for obligation	1,412	1,485	1,227
23.95	Total new obligations	-71	-71	-71
24.40	Unobligated balance carried forward, end of year	1,341	1,414	1,156

New budget authority (gross), detail:

Mandatory:				
69.00	Offsetting collections (cash)	140	144	164

Change in obligated balances:

73.10	Total new obligations	71	71	71
73.20	Total outlays (gross)	-71	-71	-71

Outlays (gross), detail:

86.97	Outlays from new mandatory authority	71	71	71
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Offsets:

Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.20	Interest on Federal securities	-53	-57	-53
88.40	Non-Federal sources	-87	-87	-111
88.90	Total, offsetting collections (cash)	-140	-144	-164

Net budget authority and outlays:

89.00	Budget authority			
90.00	Outlays	-69	-73	-93

Memorandum (non-add) entries:

92.01	Total investments, start of year: Federal securities:			
	Par value	629	671	710
92.02	Total investments, end of year: Federal securities:			
	Par value	671	710	417

Status of Direct Loans (in millions of dollars)

Identification code 78-4134-0-3-351	2001 actual	2002 est.	2003 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	883	868	852
1251	Repayments: Repayments and prepayments	-15	-16	-40
1290	Outstanding, end of year	868	852	812

Statement of Operations (in millions of dollars)

Identification code 78-4134-0-3-351	2000 actual	2001 actual	2002 est.	2003 est.	
0101	Revenue	70	70	71	71
0102	Expense	-70	-70	-71	-71
0105	Net income or loss (-)				
0191	Total revenues	70	70	71	71
0192	Total expenses	-70	-70	-71	-71

Balance Sheet (in millions of dollars)

Identification code 78-4134-0-3-351	2000 actual	2001 actual	2002 est.	2003 est.	
ASSETS:					
Federal assets:					
Investments in US securities:					
1102	Treasury securities, par	610	687	767	451

FINANCIAL ASSISTANCE CORPORATION ASSISTANCE FUND,
LIQUIDATING ACCOUNT—Continued

Balance Sheet (in millions of dollars)—Continued

Identification code 78-4134-0-3-351	2000 actual	2001 actual	2002 est.	2003 est.
1106 Receivables, net	217	179	137	39
1201 Non-Federal assets: Investments in non-Federal securities, net	261	253	245	237
1901 Other Federal assets: Other assets	14	9	9	4
1999 Total assets	1,102	1,128	1,158	731
LIABILITIES:				
Non-Federal liabilities:				
2201 Accounts payable	307	331	357	385
2202 Interest payable	17	17	17	9
2203 Debt	775	775	775	325
2207 Other	3	5	9	12
2999 Total liabilities	1,102	1,128	1,158	731
4999 Total liabilities and net position	1,102	1,128	1,158	731

The Farm Credit System Financial Assistance Corporation (FAC) was created by the Agricultural Credit Act of 1987 to provide funds to System institutions experiencing financial difficulties. Authority for FAC to issue obligations and provide assistance expired in 1992, after \$1.26 billion in FAC debt had been issued. Proceeds of FAC debt issuances were paid into, and amounts for assistance and other expenses were paid from, the FAC Assistance Fund. The FAC was re-classified from a Government-sponsored enterprise to a Federal entity beginning in 1993, when most of the private capital in FAC, provided by the System, was rebated from the FAC Trust Fund pursuant to the Reconciliation and Agriculture Appropriations Acts of 1989.

Trust Funds

FINANCIAL ASSISTANCE CORPORATION TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 78-8202-0-7-351	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.40 Interest on investments	8	8	7
Appropriations:			
05.00 Financial assistance corporation trust fund	-8	-8	-7
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 78-8202-0-7-351	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	113	121	129
22.00 New budget authority (gross)	8	8	7
22.60 Portion applied to repay debt			-63
23.90 Total budgetary resources available for obligation	121	129	73
24.40 Unobligated balance carried forward, end of year	121	129	73
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)	8	8	7
Net budget authority and outlays:			
89.00 Budget authority	8	8	7
90.00 Outlays			
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value	142	142	142
92.02 Total investments, end of year: Federal securities: Par value	142	142	80

The Trust Fund is available to pay the principal of any Financial Assistance Corporation bonds used to fund financial assistance to the extent the assisted bank is unable to repay the bonds, and is also available for other purposes as provided under the Farm Credit Act of 1987.

FARM CREDIT SYSTEM INSURANCE CORPORATION

Federal Funds

Public enterprise funds:

FARM CREDIT SYSTEM INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 78-4171-0-3-351	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.00 Reimbursable program	2	2	2
10.00 Total new obligations (object class 12.1)	2	2	2
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1,539	1,615	1,715
22.00 New budget authority (gross)	78	102	119
23.90 Total budgetary resources available for obligation	1,617	1,717	1,834
23.95 Total new obligations	-2	-2	-2
24.40 Unobligated balance carried forward, end of year	1,615	1,715	1,832
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	83	102	119
69.10 Change in uncollected customer payments from Federal sources (unexpired)	-5		
69.90 Spending authority from offsetting collections (total mandatory)	78	102	119
Change in obligated balances:			
72.40 Obligated balance, start of year	-22	-18	-18
73.10 Total new obligations	2	2	2
73.20 Total outlays (gross)	-2	-2	-2
74.00 Change in uncollected customer payments from Federal sources (unexpired)	5		
74.40 Obligated balance, end of year	-18	-18	-18
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	2	2	2
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on Federal securities	-82	-102	-102
88.40 Non-Federal sources	-1		-17
88.90 Total, offsetting collections (cash)	-83	-102	-119
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	5		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-81	-100	-117
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value	1,521	1,600	1,702
92.02 Total investments, end of year: Federal securities: Par value	1,600	1,702	1,813

The Farm Credit System Insurance Corporation (Corporation) was established to ensure the timely payment of principal and interest on System debt obligations purchased by investors. The Corporation is managed by a three member Board of Directors that consists of the same members as the Farm Credit Administration Board of Directors. The Corporation derives its revenues from insurance premiums collected from insured System banks and from the investment

income earned on its investment portfolio. Insurance premiums are assessed on System banks based on the level of accruing and non-accruing loans outstanding in each bank and its affiliated associations' loan portfolio. Congress established a secure base amount of 2 percent of outstanding System obligations, or such other amounts determined by its Board of Directors to be actuarially sound to maintain the Insurance Fund. The Insurance Fund was slightly below the secure base amount at September 30, 2001. Also in September, the Corporation's Board initiated premium assessments beginning in January 2002 at 3 basis points on accrual loans and 25 basis points on nonaccrual loans.

The Insurance Fund is available for payment on System obligations if an insured System bank defaults on its primary liability. The Insurance Fund is also available to ensure the timely retirement of certain eligible borrower stock, pay the operating costs of the Corporation, and satisfy defaults by System institutions on obligations issued by the FAC after amounts in the FAC Trust Fund are exhausted. The Corporation can exercise its authority to make loans, purchase System bank assets or obligations, provide other financial assistance and otherwise act to reduce its exposure to losses.

The Corporation has the authority to make refunds of excess Insurance Fund balances. No refunds are anticipated before 2006.

Statement of Operations (in millions of dollars)

Identification code 78-4171-0-3-351	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	101	95	112	119
0102 Expense	-13	-14	-15	-15
0105 Net income or loss (-)	88	81	97	104

Balance Sheet (in millions of dollars)

Identification code 78-4171-0-3-351	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Investments in US securities:				
1102 Federal assets: Treasury securities, par	1,521	1,600	1,702	1,813
Non-Federal assets:				
Receivables, net:				
1206 Accrued interest receivable	28	31	24	26
1206 Premium receivable	1		12	12
1901 Other Federal assets: Other assets	51	63	67	71
1999 Total assets	1,601	1,694	1,805	1,922
LIABILITIES:				
2207 Non-Federal liabilities: Other	167	179	192	205
2999 Total liabilities	167	179	192	205
NET POSITION:				
3100 Appropriated capital	1,434	1,515	1,613	1,717
3999 Total net position	1,434	1,515	1,613	1,717
4999 Total liabilities and net position	1,601	1,694	1,805	1,922

Personnel Summary

Identification code 78-4171-0-3-351	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	10	10	10

FEDERAL COMMUNICATIONS COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Federal Communications Commission, as authorized by law, including uniforms and allowances therefor, as authorized by 5 U.S.C. 5901-5902; not to exceed \$600,000 for land and structure; not to exceed \$500,000 for improvement and

care of grounds and repair to buildings; not to exceed \$4,000 for official reception and representation expenses; purchase (not to exceed 16) and hire of motor vehicles; special counsel fees; and services as authorized by 5 U.S.C. 3109, [\$245,071,000] \$278,092,000, of which not to exceed \$300,000 shall remain available until September 30, [2003] 2004, for research and policy studies: *Provided*, That [\$218,757,000] \$248,194,000 of offsetting collections shall be assessed and collected pursuant to section 9 of title I of the Communications Act of 1934, as amended, and shall be retained and used for necessary expenses in this appropriation, and shall remain available until expended: *Provided further*, That the sum herein appropriated shall be reduced as such offsetting collections are received during fiscal year [2002] 2003 so as to result in a final fiscal year [2002] 2003 appropriation estimated at [\$26,314,000] \$29,898,000: *Provided further*, That any offsetting collections received in excess of [\$218,757,000] \$248,194,000 in fiscal year [2002] 2003 shall remain available until expended, but shall not be available for obligation until October 1, [2002] 2003. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 27-0100-0-1-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Licensing	31	27	30
01.00 Total direct program	31	27	30
09.00 Reimbursable program	284	301	308
10.00 Total new obligations	315	328	338
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	17	13	
22.00 New budget authority (gross)	315	315	339
22.21 Unobligated balance transferred to other accounts	-2		
23.90 Total budgetary resources available for obligation	330	328	339
23.95 Total new obligations	-315	-328	-338
24.40 Unobligated balance carried forward, end of year	13		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	31	27	30
Spending authority from offsetting collections:			
Offsetting collections (cash):			
68.00 Offsetting collections (reimbursable Federal)	1	1	1
68.00 Cost of conducting spectrum auctions	75	60	60
68.00 Spending authority from offsetting collections (regulatory fees)	208	227	248
68.90 Spending authority from offsetting collections (total discretionary)	284	288	309
70.00 Total new budget authority (gross)	315	315	339
Change in obligated balances:			
72.40 Obligated balance, start of year	43	61	63
73.10 New Obligations	315	328	338
73.20 Total outlays (gross)	-296	-326	-349
74.40 Obligated balance, end of year	61	63	52
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	288	311	335
86.93 Outlays from discretionary balances	8	13	14
87.00 Total outlays (gross)	296	326	349
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-1	-1	-1
88.40 Cost of conducting spectrum auctions	-75	-60	-60
88.45 Regulatory Fees	-208	-227	-248
88.90 Total, offsetting collections (cash)	-284	-288	-309
Net budget authority and outlays:			
89.00 Budget authority	31	27	30
90.00 Outlays	12	38	40

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	30	26	29
90.00 Outlays	11	37	39

Licensing.—This activity includes the authorization or licensing of radio stations, telecommunications equipment, radio operators, as well as the authorization of common carrier and other services and facilities. It also includes policy direction, program development, legal services, and executive direction, as well as support services associated with licensing activities.

Competition.—This activity includes formal inquiries, rule making proceedings to establish or amend the Commission's rules and regulations, action on petitions for rule making and requests for rule interpretations or waivers, economic studies and analyses, and development of equipment standards. It also includes policy direction, program development, legal services, and executive direction, as well as support services associated with activities to promote competition in the public interest.

Enforcement.—This activity includes enforcement of the Commission's rules, regulations and authorizations—including investigations, inspections, compliance monitoring and sanctions of all types. It also includes the receipt and disposition of formal complaints regarding common carrier rates and services; the review and acceptance/rejection of carrier tariffs; and the review, prescription and audit of carrier accounting practices. Additionally, it also includes policy direction, program development, legal services, and executive direction, as well as support services associated with enforcement activities.

Consumer Information Services.—This activity includes the publication and dissemination of Commission decisions and actions, and related activities; public reference and library services; the duplication and dissemination of Commission records and databases; the receipt and disposition of public inquiries and informal consumer complaints; consumer, small business and public assistance; and public affairs and media relations. It also includes policy direction, program development, legal services, and executive direction, as well as support services associated with consumer information activities.

Spectrum Management.—This activity includes management of the electromagnetic spectrum as mandated by the Communications Act of 1934 as amended. Spectrum management includes the structure and processes for allocating, assigning, licensing, and regulating the use of this scarce resource to the private sector and state and local governments in a way that promotes competition while ensuring that the public interest is best served. In order to manage spectrum in both an efficient and equitable manner, the Commission evaluates needs; prepares economic, technical and engineering studies; coordinates with Federal agencies; develops cross-border sharing arrangements; and represents U.S. interests in international fora. It also includes policy direction, program development, legal services, and executive direction, as well as support services associated with spectrum management activities.

Object Classification (in millions of dollars)

Identification code 27-0100-0-1-376	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	14	14	14

11.3 Other than full-time permanent	2	1	2
11.9 Total personnel compensation	16	15	16
12.1 Civilian personnel benefits	5	5	5
23.1 Rental payments to GSA	4	3	3
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	1	1	2
25.7 Operation and maintenance of equipment	2	2	2
31.0 Equipment	2		1
99.0 Direct obligations	31	27	30
99.0 Reimbursable obligations	284	301	308
99.9 Total new obligations	315	328	338

Personnel Summary

Identification code 27-0100-0-1-376	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	216	182	193
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	1,707	1,785	1,774

UNIVERSAL SERVICE FUND

Unavailable Collections (in millions of dollars)

Identification code 27-5183-0-2-376	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.00 Universal service fund	5,290	5,801	6,523
Appropriations:			
05.00 Universal service fund	-5,290	-5,801	-6,523
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 27-5183-0-2-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	5,235	5,801	6,523
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	181	237	237
22.00 New budget authority (gross)	5,290	5,801	6,523
23.90 Total budgetary resources available for obligation	5,471	6,038	6,760
23.95 Total new obligations	-5,235	-5,801	-6,523
24.40 Unobligated balance carried forward, end of year	237	237	237
New budget authority (gross), detail:			
Mandatory:			
60.20 Appropriation (special fund)	5,290	5,801	6,523
Change in obligated balances:			
72.40 Obligated balance, start of year	1,771	2,059	2,369
73.10 Total new obligations	5,235	5,801	6,523
73.20 Total outlays (gross)	-4,947	-5,490	-6,510
74.40 Obligated balance, end of year	2,059	2,369	2,382
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	2,995	3,194	3,904
86.98 Outlays from mandatory balances	1,952	2,296	2,606
87.00 Total outlays (gross)	4,947	5,490	6,510
Net budget authority and outlays:			
89.00 Budget authority	5,290	5,801	6,523
90.00 Outlays	4,947	5,490	6,510

The Telecommunications Act of 1996 provides for a major restructuring of the Nation's communications laws, promotes universal service and open access to information networks, and provides for flexible government regulations. Under the Act, telecommunications carriers that provide interstate tele-

communications services are required to contribute funds for the preservation and advancement of universal service. The contributions are used to provide services eligible for universal service support as prescribed by the FCC. Telecommunications carriers receive a credit towards their contribution by providing discount service to schools, libraries, and health care providers. Support will also be provided to carriers offering services in high cost areas of the United States and to carriers offering services to low income consumers.

Credit accounts:

SPECTRUM AUCTION PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 27-0300-0-1-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.05 Reestimates of direct loan subsidy	8,821	94	
00.06 Interest on reestimates of direct loan subsidy	2,767	38	
00.09 Administrative Expenses	8	12	12
10.00 Total new obligations	11,596	144	12
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1,821		
22.00 New budget authority (gross)	11,577	144	12
22.40 Capital transfer to general fund	-1,802		
23.90 Total budgetary resources available for obligation	11,596	144	12
23.95 Total new obligations	-11,596	-144	-12
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	11,577	144	12
69.00 Offsetting collections (cash)	12,429	3	
69.27 Capital transfer to general fund	-12,429	-3	
69.90 Spending authority from offsetting collections (total mandatory)			
70.00 Total new budget authority (gross)	11,577	144	12
Change in obligated balances:			
72.40 Obligated balance, start of year	2	3	
73.10 Total new obligations	11,596	144	12
73.20 Total outlays (gross)	-11,595	-147	-12
74.40 Obligated balance, end of year	3		
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	11,576	144	12
86.98 Outlays from mandatory balances	19	3	
87.00 Total outlays (gross)	11,595	147	12
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-12,429	-3	
Net budget authority and outlays:			
89.00 Budget authority	-852	141	12
90.00 Outlays	-834	144	12

This program provides for direct loans for the purpose of purchasing spectrum licenses at the Federal Communications Commission's auctions. The licenses are being purchased on an installment basis, which constitutes an extension of credit. The first year of activity for this program was 1996.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis and administrative expenses are estimated on a cash basis.

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 27-0300-0-1-376	2001 actual	2002 est.	2003 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Spectrum auction			
115901 Total direct loan levels			
Direct loan subsidy (in percent):			
132001 Direct loan levels	0.00	0.00	0.00
132901 Weighted average subsidy rate	0.00	0.00	0.00
Direct loan subsidy budget authority:			
133001 Direct loan levels			
133901 Total subsidy budget authority			
Direct loan subsidy outlays:			
134001 Direct loan levels			
134901 Total subsidy outlays			
Direct loan upward reestimate subsidy budget authority:			
135001 Direct loan levels	11,588	132	
135901 Total upward reestimate budget authority	11,588	132	
Direct loan upward reestimate subsidy outlays:			
136001 Direct loan levels	11,588	132	
136901 Total upward reestimate outlays	11,588	132	
Direct loan downward reestimate subsidy budget authority:			
137001 Direct loan levels	-12,429	-3	
137901 Total downward reestimate budget authority	-12,429	-3	
Direct loan downward reestimate subsidy outlays:			
138001 Direct loan levels	-12,429	-3	
138901 Total downward reestimate subsidy outlays	-12,429	-3	
Administrative expense data:			
351001 Budget authority		12	12
358001 Outlays from balances	8		
359001 Outlays from new authority		12	12

Object Classification (in millions of dollars)

Identification code 27-0300-0-1-376	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	1	1	1
25.2 Other services	7	11	11
41.0 Grants, subsidies, and contributions	11,588	132	
99.9 Total new obligations	11,596	144	12

Personnel Summary

Identification code 27-0300-0-1-376	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	8	8	8

SPECTRUM AUCTION DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 27-4133-0-3-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Operating expenses:			
00.02 Interest Paid to Treasury	1,214	414	290
00.05 IVDS Restructuring	2	6	
00.91 Direct Program by Activities—Subtotal (1 level)	1,216	420	290
08.02 Downward subsidy reestimate	9,625	2	
08.04 Interest on downward reestimate	2,804	1	
08.91 Direct Program by Activities—Subtotal (1 level)	12,429	3	
10.00 Total new obligations	13,645	423	290
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	10	18	
22.00 New financing authority (gross)	13,663	417	290
22.60 Portion applied to repay debt	-10	-12	

Credit accounts—Continued

SPECTRUM AUCTION DIRECT LOAN FINANCING ACCOUNT—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 27-4133-0-3-376	2001 actual	2002 est.	2003 est.
23.90 Total budgetary resources available for obligation	13,663	423	290
23.95 Total new obligations	-13,645	-423	-290
24.40 Unobligated balance carried forward, end of year	18		
New financing authority (gross), detail:			
Mandatory:			
67.10 Authority to borrow	12,663		154
Offsetting collections (cash):			
69.00 Offsetting collections (Re-estimate)	8,821	94	
69.00 Offsetting collections (Int- reestimate)	2,767	38	
69.00 Offsetting collections (Payment on loans)	419	4,436	136
69.00 Other Treasury collections (Auction 35 receipts)		997	
69.00 Offsetting collections (Treasury Int)	844		
69.47 Portion applied to repay debt	-11,851	-5,148	
69.90 Spending authority from offsetting collections (total mandatory)	1,000	417	136
70.00 Total new financing authority (gross)	13,663	417	290
Change in obligated balances:			
73.10 Total new obligations	13,645	423	290
73.20 Total financing disbursements (gross)	-13,645	-423	-290
87.00 Total financing disbursements (gross)	13,645	423	290
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Program account: total revised subsidy	-11,588	-132	
88.25 Interest on uninvested funds	-844		
Non-Federal sources:			
Non-Federal sources:			
88.40 Interest received on loans	-66	-41	-39
88.40 Principal received on loans	-353	-4,395	-97
88.40 Recoveries		-997	
88.90 Total, offsetting collections (cash)	-12,851	-5,565	-136
Net financing authority and financing disbursements:			
89.00 Financing authority	812	-5,148	154
90.00 Financing disbursements	794	-5,142	154

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Status of Direct Loans (in millions of dollars)

Identification code 27-4133-0-3-376	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans			
1131 Direct loan obligations exempt from limitation			
1150 Total direct loan obligations			
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	8,177	5,593	1,198
1231 Disbursements: Direct loan disbursements			
1251 Repayments: Repayments and prepayments	-353	-4,395	-97
1263 Write-offs for default: Direct loans	-2,231		
1290 Outstanding, end of year	5,593	1,198	1,101

Balance Sheet (in millions of dollars)

Identification code 27-4133-0-3-376	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury		18		

Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross	8,177	5,593	1,198	1,101
1402 Interest receivable	433	293	56	56
1405 Allowance for subsidy cost (-)	982	216	-292	-41
1499 Net present value of assets related to direct loans	9,592	6,102	962	1,116
1901 Other Federal assets: Other assets				
1999 Total assets	9,592	6,120	962	1,116
LIABILITIES:				
Federal liabilities:				
2103 Resources payable to Treasury	5,307	6,110	962	1,116
2105 Other Debt	4,285	10		
2999 Total liabilities	9,592	6,120	962	1,116
4999 Total liabilities and net position	9,592	6,120	962	1,116

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2001 actual	2002 est.	2003 est.
Offsetting receipts from the public:			
27-242900 Fees for services	25	25	25
27-247400 Auction receipts	1,024	530	4,510
Legislative proposal, subject to PAYGO			-4,050
General Fund Offsetting receipts from the public	1,049	555	485

AUCTION RECEIPTS

(Legislative proposal, subject to PAYGO)

The Administration will propose legislation regarding the auction of spectrum currently assigned to television channels 60-69 (747-762 and 777-792 MHz) and 52-59 (698-746 MHz). The legislation will: shift the statutory deadline for the 60-69 auction from 2000 to 2004; shift the statutory deadline for the auction of channels 52-59 from 2002 to 2006; and promote clearing the spectrum in channels 60-69 for new wireless services in an effective and equitable manner.

ANALOG SPECTRUM LEASE FEE

(Legislative proposal, subject to PAYGO)

To facilitate clearing of the analog television broadcast spectrum and provide taxpayers some compensation for use of this scarce resource, the Administration will propose legislation authorizing the Federal Communications Commission (FCC) to establish an annual \$500 million lease fee on the use of analog spectrum by commercial broadcasters as of 2007. The FCC will promulgate a rulemaking to apportion the aggregate fee amount among commercial broadcasters. Upon return of its analog spectrum license to the FCC, an individual broadcaster will be exempt from the fee.

FEDERAL DEPOSIT INSURANCE CORPORATION

The Federal Deposit Insurance Corporation (FDIC or Corporation) was created by the Banking Act of 1933 to provide protection for bank depositors and to foster sound banking practices. The Financial Institutions Reform Recovery and Enforcement Act of 1989 established the Bank Insurance Fund (BIF), the Savings Association Insurance Fund (SAIF), and the Federal Savings and Loan Insurance Corporation (FSLIC) Resolution Fund (FRF). The Federal Deposit Insurance Corporation Improvement Act of 1991 generally requires the Corporation to use the least costly method to resolve failed banks, and mandates that the Corporation take prompt corrective action against under-capitalized financial institutions.

The deposit insurance ceiling protection has been \$100,000 since March 31, 1980. In order to accomplish its varied functions to protect depositors, the Corporation is authorized to promulgate and enforce rules and regulations relating to the supervision of insured institutions and to perform other regulatory and supervisory duties consistent with its responsibilities as an insurer. The Corporation is required to set assessment rates for insured financial institutions semi-annually to maintain the reserves of the BIF and SAIF at 1.25 percent of total insured deposits.

Federal Funds

Public enterprise funds:

BANK INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 51-4064-0-3-373	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Administrative expenses:			
00.02 Insurance	74	117	113
00.03 Supervision	525	489	469
00.04 Receivership Management	132	125	140
00.05 General and Administrative	121	116	128
00.91 Total Administrative Expenses	852	847	850
Capital investment:			
01.01 Working Capital Outlays	241	1,202	1,440
01.02 Case resolution losses	28	217	260
01.03 Premiums on investments	197	176	93
01.04 Other corporate resolution liabilities	119		
01.91 Total Capital Investment	585	1,595	1,793
10.00 Total new obligations	1,437	2,442	2,643
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	29,080	30,275	30,303
22.00 New budget authority (gross)	2,632	2,471	4,549
23.90 Total budgetary resources available for obligation	31,712	32,746	34,852
23.95 Total new obligations	-1,437	-2,442	-2,643
24.40 Unobligated balance carried forward, end of year	30,275	30,303	32,208
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	2,658	2,497	4,574
69.61 Transferred to other accounts	-26	-26	-25
69.90 Spending authority from offsetting collections (total mandatory)	2,632	2,471	4,549
Change in obligated balances:			
72.40 Obligated balance, start of year	437	557	530
73.10 Total new obligations	1,437	2,442	2,643
73.20 Total outlays (gross)	-1,317	-2,469	-6,426
74.40 Obligated balance, end of year	557	530	-3,253
Outlays (gross), detail:			
86.98 Outlays from mandatory balances	1,317	2,469	6,426
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on Federal securities	-2,046	-1,951	-1,431
Non-Federal sources:			
88.40 Asset recoveries	-564	-496	-2,275
88.40 Premium assessments	-48	-50	-868
88.90 Total, offsetting collections (cash)	-2,658	-2,497	-4,574
Net budget authority and outlays:			
89.00 Budget authority	-26	-26	-25
90.00 Outlays	-1,341	-28	1,852
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value	29,326	30,677	29,314
92.02 Total investments, end of year: Federal securities:			
Par value	30,677	29,314	29,314

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	-26	-26	-25
90.00 Outlays	-1,371	-59	1,820

The BIF, a public enterprise revolving fund, derives its income principally from insurance assessments paid by insured banks. The revolving fund represents the accumulated net income of the BIF and is reserved for the protection of depositors in insured banks, as well as for the payment of administrative and insurance expenses. As of September 2000, BIF's fund balance totaled \$30 billion, excluding reserves for future failed bank resolutions. The net worth of the BIF reached 1.25 percent of total insured deposits in May 1995.

The Federal Deposit Insurance Corporation Improvement Act of 1991 authorizes the FDIC to borrow up to \$30 billion from the Treasury to cover deposit insurance losses and provide additional loans from the Federal Financing Bank for working capital purposes. The BIF is not expected to borrow any of the \$30 billion line of credit from the Treasury or from the Federal Financing Bank to finance working capital needs.

Object Classification (in millions of dollars)

Identification code 51-4064-0-3-373	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	395	424	424
12.1 Civilian personnel benefits	164	170	172
21.0 Travel and transportation of persons	36	36	34
22.0 Transportation of things	1		
23.2 Rental payments to others	38	39	39
23.3 Communications, utilities, and miscellaneous charges	24	26	26
24.0 Printing and reproduction	2	2	2
25.2 Other services	173	108	111
26.0 Supplies and materials	5	5	5
31.0 Equipment	8	33	32
32.0 Land and structures	6	4	5
Insurance claims and indemnities:			
42.0 Working Capital Outlays	241	1,202	1,440
42.0 Net Resolution Expenses (Losses)	28	217	260
42.0 Premiums on Investments	197	176	93
42.0 Other corporate resolution liabilities	119		
99.9 Total new obligations	1,437	2,442	2,643

¹Total obligations include expenses incurred on behalf of receiverships. Corporate operating expenses net of expenses charged to receiverships are shown separately in the program and financing schedule.

Personnel Summary

Identification code 51-4064-0-3-373	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	4,905	5,148	4,893

SAVINGS ASSOCIATION INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 51-4066-0-3-373	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Operating Expenses:			
09.01 Insurance	12	17	17
09.02 Supervision	66	72	70
09.03 Receivership management	15	18	19
09.04 General and administrative	11	12	13
Capital investment:			
09.10 Working capital outlays	346	1,690	855
09.11 Net case resolutions (losses)	450	108	154
09.13 Premium on Treasury Investments	52	60	32
09.14 Other Corporate Resolution Liabilities	2,101		

Public enterprise funds—Continued**SAVINGS ASSOCIATION INSURANCE FUND—Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 51-4066-0-3-373	2001 actual	2002 est.	2003 est.
10.00 Total new obligations	3,053	1,977	1,160
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	10,437	8,238	7,743
22.00 New budget authority (gross)	853	1,481	1,313
23.90 Total budgetary resources available for obligation	11,290	9,719	9,056
23.95 Total new obligations	-3,053	-1,977	-1,160
24.40 Unobligated balance carried forward, end of year	8,238	7,743	7,896
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	857	1,485	1,316
69.61 Transferred to other accounts	-4	-4	-3
69.90 Spending authority from offsetting collections (total mandatory)	853	1,481	1,313
Change in obligated balances:			
72.40 Obligated balance, start of year	198	2,295	2,291
73.10 Total new obligations	3,053	1,977	1,160
73.20 Total outlays (gross)	-956	-1,981	-1,164
74.40 Obligated balance, end of year	2,295	2,291	2,287
Outlays (gross), detail:			
86.98 Outlays from mandatory balances	956	1,981	1,164
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on Federal securities	-749	-632	-424
Non-Federal sources:			
88.40 Asset recoveries	-73	-817	-867
88.40 Premium assessments	-35	-36	-25
88.90 Total, offsetting collections (cash)	-857	-1,485	-1,316
Net budget authority and outlays:			
89.00 Budget authority	-4	-4	-3
90.00 Outlays	99	496	-152
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value	10,747	10,654	10,987
92.02 Total investments, end of year: Federal securities:			
Par value	10,654	10,987	10,987

The SAIF insures depository institutions formerly insured by the Federal Savings and Loan Insurance Corporation. In July 1995, SAIF assumed responsibility for resolving failed thrifts from the Resolution Trust Corporation (RTC).

The Deposit Insurance Funds Act of 1996 imposed a special assessment to bring SAIF's reserves up to 1.25 percent of insured deposits. By the end of 1998, SAIF's reserve ratio reached 1.39 percent. However, on January 1, 1999, FDIC was required by law to transfer all funds in the SAIF above 1.25 percent to a Special Reserve. Approximately \$1 billion was transferred. The Gramm Leach Bliley Act of 1999 eliminated the Special Reserve. Approximately \$1 billion was transferred to the SAIF on November 12, 1999. The transfer increased the reserve ratio to 1.45 percent. As of September 30, 2001, the reserve ratio was 1.39 percent.

Object Classification (in millions of dollars)

Identification code 51-4066-0-3-373	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	52	63	63
12.1 Civilian personnel benefits	19	20	21
21.0 Travel and transportation of persons	5	5	5
23.2 Rental payments to others	6	6	6
23.3 Communications, utilities, and miscellaneous charges	3	4	4
25.2 Other services	16	15	15
26.0 Supplies and materials	1	1	1

31.0 Equipment	1	4	3
32.0 Land and structures	1	1	1
Insurance claims and indemnities:			
42.0 Net case resolutions	450	108	855
42.0 Working Capital Outlays	346	1,690	154
42.0 Premiums on U.S. Treasury Investment	52	60	32
42.0 Other Corporate Resolution Liabilities	2,101		
99.0 Reimbursable obligations	3,053	1,977	1,160
99.9 Total new obligations	3,053	1,977	1,160

¹ Total obligations include expenses incurred on behalf of receiverships.

Personnel Summary

Identification code 51-4066-0-3-373	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	690	763	726

FSLIC RESOLUTION FUND**Program and Financing (in millions of dollars)**

Identification code 51-4065-0-3-373	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.02 Receivership management	90	76	73
09.03 General and administrative	15	11	11
09.04 Litigation expenses	131	67	96
09.06 Assistance agreement payments	28		
Capital investment:			
09.11 Liquidity advances	20	15	10
09.14 Interest expense—RTC debt	1,607	1,375	200
09.16 Miscellaneous	33	30	30
10.00 Total new obligations	1,924	1,574	420
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3,114	2,998	2,777
22.00 New budget authority (gross)	1,808	1,353	393
23.90 Total budgetary resources available for obligation	4,922	4,351	3,170
23.95 Total new obligations	-1,924	-1,574	-420
24.40 Unobligated balance carried forward, end of year	2,998	2,777	2,749
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	1,812	1,357	396
69.61 Transferred to other accounts	-4	-4	-3
69.90 Spending authority from offsetting collections (total mandatory)	1,808	1,353	393
Change in obligated balances:			
72.40 Obligated balance, start of year	338	426	443
73.10 Total new obligations	1,924	1,574	420
73.20 Total outlays (gross)	-1,836	-1,557	-403
74.40 Obligated balance, end of year	426	443	460
Outlays (gross), detail:			
86.98 Outlays from mandatory balances	1,836	1,557	403
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on Federal securities	-124	-114	-129
Non-Federal sources:			
88.40 Asset recoveries (FRF-FSLIC)	-49	-8	-3
88.40 Asset recoveries (FRF-RTC)	-348	-123	-109
88.40 Corporate-owned assets	-72	-148	-82
88.40 Securitization releases	-1,158	-944	-53
88.40 Equity partnerships	-61	-20	-20
88.90 Total, offsetting collections (cash)	-1,812	-1,357	-396
Net budget authority and outlays:			
89.00 Budget authority	-4	-4	-3
90.00 Outlays	23	200	7
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value	2,508	2,650	2,970

92.02	Total investments, end of year: Federal securities:			
	Par value	2,650	2,970	2,970

Status of Direct Loans (in millions of dollars)

Identification code 51-4065-0-3-373	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	4	3
1251	Repayments: Repayments and prepayments	-1	-3
1290	Outstanding, end of year	3	

The FSLIC Resolution Fund (FRF) is the successor to FSLIC assets and liabilities from thrift resolutions prior to August 1989. Beginning in August 1989, the RTC assumed responsibility for the FSLIC's unresolved cases. On December 31, 1995, the RTC was terminated and its assets and liabilities were transferred to FRF.

Funds for FRF operations have come from: income earned on its assets; liquidation proceeds from receiverships; the proceeds of the sale of bonds by the Financing Corporation; and, a portion of insurance premiums paid by SAIF members prior to 1993. The Financial Institutions Reform, Recovery, and Enforcement Act authorizes appropriations to make up for any shortfall. The FRF will terminate upon the disposition of all its assets, and any net proceeds will be paid to the Treasury. Net proceeds from the former RTC will be paid to the Resolution Funding Corporation.

Object Classification (in millions of dollars)

Identification code 51-4065-0-3-373	2001 actual	2002 est.	2003 est.
11.1	Personnel compensation: Full-time permanent	47	42
12.1	Civilian personnel benefits	16	14
21.0	Travel and transportation of persons	2	3
23.2	Rental payments to others	5	4
23.3	Communications, utilities, and miscellaneous charges	3	3
25.2	Other services	34	14
26.0	Supplies and materials	1	1
31.0	Equipment	1	6
32.0	Land and structures	1	
Insurance claims and indemnities:			
42.0	Liquidity Advances	20	15
42.0	REFCORP Payments	1,607	1,375
42.0	Assistance Transaction Expenditures	28	
42.0	Litigation Expenses	131	67
42.0	Other	33	30
44.0	Refunds	-5	
99.9	Total new obligations	1,924	1,574

¹ Total obligations include expenses incurred on behalf of receiverships.

Personnel Summary

Identification code 51-4065-0-3-373	2001 actual	2002 est.	2003 est.
2001	Total compensable workyears: Full-time equivalent employment	584	505
			480

FDIC—OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, **[\$33,660,000]** \$31,388,000, to be derived from the Bank Insurance Fund, the Savings Association Insurance Fund, and the FSLIC Resolution Fund. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 51-4595-0-4-373	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.00	Reimbursable program	30	34
			31

10.00	Total new obligations	30	34	31
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Budgetary resources available for obligation:

21.40	Unobligated balance carried forward, start of year	9	13	12
22.00	New budget authority (gross)	34	34	31
23.90	Total budgetary resources available for obligation	43	47	43
23.95	Total new obligations	-30	-34	-31
24.40	Unobligated balance carried forward, end of year	13	12	12

New budget authority (gross), detail:

Mandatory:				
69.62	Transferred from other accounts	34	34	31
69.90	Spending authority from offsetting collections (total mandatory)	34	34	31

Change in obligated balances:

72.40	Obligated balance, start of year	1	2	2
73.10	Total new obligations	30	34	31
73.20	Total outlays (gross)	-30	-34	-31
74.40	Obligated balance, end of year	2	2	2

Outlays (gross), detail:

86.97	Outlays from new mandatory authority	30	34	31
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Net budget authority and outlays:

89.00	Budget authority	34	34	31
90.00	Outlays	30	34	31

FDIC's Office of Inspector General (OIG) is an independent unit within the Corporation that conducts audits and investigations of corporate activities and assists the Corporation in preventing and detecting fraud, waste, abuse, and mismanagement. The OIG was established by the FDIC Board of Directors pursuant to the Inspector General Act amendments of 1988 (Public Law 100-504). The Resolution Trust Corporation Completion Act, enacted December 17, 1993, provided that the FDIC Inspector General be appointed by the President and confirmed by the Senate. The Completion Act, thus, added FDIC to the establishments whose OIGs have separate appropriation accounts under Section 1105(a) of Title 31, United States Code. The OIG's first appropriation was for its fiscal year 1998 expenses. The OIG's appropriations are derived from the Bank Insurance Fund, the Savings Association Insurance Fund, and the FSLIC Resolution Fund.

Object Classification (in millions of dollars)

Identification code 51-4595-0-4-373	2001 actual	2002 est.	2003 est.
11.1	Personnel compensation: Full-time permanent	21	23
12.1	Civilian personnel benefits	6	7
21.0	Travel and transportation of persons	1	1
25.2	Other services	1	2
31.0	Equipment	1	1
99.9	Total new obligations	30	34

¹ Includes obligations that are recoverable from receiverships.

Personnel Summary

Identification code 51-4595-0-4-373	2001 actual	2002 est.	2003 est.
2001	Total compensable workyears: Full-time equivalent employment	219	224
			190

FEDERAL DRUG CONTROL PROGRAMS

Federal Funds

General and special funds:

HIGH INTENSITY DRUG TRAFFICKING AREAS PROGRAM
(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Office of National Drug Control Policy's High Intensity Drug Trafficking Areas Program, **[\$226,350,000]** \$206,350,000, for drug control activities consistent with the approved

General and special funds—Continued

HIGH INTENSITY DRUG TRAFFICKING AREAS PROGRAM—Continued
(INCLUDING TRANSFER OF FUNDS)—Continued

strategy for each of the designated High Intensity Drug Trafficking Areas, of which no less than 51 percent shall be transferred to State and local entities for drug control activities, which shall be obligated within 120 days of the date of the enactment of this Act: *Provided*, That up to 49 percent, to remain available until September 30, [2003] 2004, may be transferred to Federal agencies and departments at a rate to be determined by the Director: *Provided further*, That, of this latter amount], of which not less than \$2,100,000 shall be used for auditing services [and activities: *Provided further*, That High Intensity Drug Trafficking Areas Programs designated as of September 30, 2001, shall be funded at no less than fiscal year 2001 levels unless the Director submits to the Committees on Appropriations, and the Committees approve, justification for changes in those levels based on clearly articulated priorities for the High Intensity Drug Trafficking Areas Programs, as well as published Office of National Drug Control Policy performance measures of effectiveness. For necessary expenses of the Office of National Drug Control Policy's High Intensity Drug Trafficking Areas Program, \$226,350,000, for drug control activities consistent with the approved strategy for each of the designated High Intensity Drug Trafficking Areas, of which no less than 51 percent shall be transferred to State and local entities for drug control activities, which shall be obligated within 120 days of the date of the enactment of this Act: *Provided*, That up to 49 percent, to remain available until September 30, 2003, may be transferred to Federal agencies and departments at a rate to be determined by the Director: *Provided further*, That, of this latter amount, not less than \$2,100,000 shall be used for auditing services and activities: *Provided further*, That High Intensity Drug Trafficking Areas Programs designated as of September 30, 2001, shall be funded at no less than fiscal year 2001 levels unless the Director submits to the Committees on Appropriations, and the Committees approve, justification for changes in those levels based on clearly articulated priorities for the High Intensity Drug Trafficking Areas Programs, as well as published Office of National Drug Control Policy performance measures of effectiveness] and associated activities, and at least \$500,000 of the \$2,100,000 shall be used to develop and implement a data collection system to measure the performance of the High Intensity Drug Trafficking Areas Program. (Executive Office Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 11-1070-0-1-754	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.02 Grants to State and local law enforcement agencies	169	224	204
00.03 Auditing services and activities	2	2	2
10.00 Total new obligations	171	226	206
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		1	1
22.00 New budget authority (gross)	171	226	206
23.90 Total budgetary resources available for obligation	171	227	207
23.95 Total new obligations	-171	-226	-206
24.40 Unobligated balance carried forward, end of year	1	1	1
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	206	226	206
41.00 Transferred to other accounts	-37		
42.00 Transferred from other accounts	2		
43.00 Appropriation (total discretionary)	171	226	206
Change in obligated balances:			
72.40 Obligated balance, start of year	146	180	224
73.10 Total new obligations	171	226	206
73.20 Total outlays (gross)	-136	-182	-218
74.40 Obligated balance, end of year	180	224	212
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	136	57	52
86.93 Outlays from discretionary balances		125	166
87.00 Total outlays (gross)	136	182	218

Net budget authority and outlays:			
89.00 Budget authority	171	226	206
90.00 Outlays	136	182	218

The High Intensity Drug Trafficking Areas (HIDTA) program was established by the Anti-Drug Abuse Act of 1988, as amended, and the Office of National Drug Control Policy's reauthorization, P.L. 105-277, to provide assistance to Federal, State and local law enforcement entities operating in those areas most adversely affected by drug trafficking. Since January, 1990, counties in 28 areas have been designated as HIDTAs: New York; Los Angeles; Miami; Houston; Baltimore/Washington, DC; Puerto Rico/Virgin Islands; Southwest Border, which includes South Texas, West Texas, New Mexico, Arizona and Southern California; Chicago; Atlanta; Philadelphia/Camden; Gulf Coast (Alabama, Louisiana, and Mississippi); Lake County (Indiana); Midwest (Iowa, Kansas, Missouri, Nebraska, North Dakota, and South Dakota); Pacific Northwest (Washington); Rocky Mountain (Colorado, Utah, and Wyoming); Northern California (San Francisco Bay area); South Eastern Michigan; Appalachia (Kentucky, Tennessee, and West Virginia); Central Florida; Milwaukee; North Texas; Central Valley California; Hawaii; New England (Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, and Vermont); Ohio; Oregon; Northern Florida; and Nevada.

Funds made available under the HIDTA program are disbursed at the discretion of the Director of the Office of National Drug Control Policy for joint local, State, and Federal initiatives.

The HIDTA program provides funding to enhance and coordinate drug-control activities among State, local and Federal law enforcement agencies participating in designated High Intensity Drug Trafficking Areas. Funding for State and local law enforcement agencies is provided through grants from ONDCP. Funding for Federal agencies is provided through transfers to those agencies. All funding in the HIDTA program is awarded at the discretion of the Director of ONDCP, based on a review of drug-related threat assessments, and proposed program strategies and budgets submitted by the HIDTAs. Estimates for the 2002 and 2003 transfers to Federal agencies cannot be determined until proposed budgets for that year are reviewed.

The HIDTA appropriation also provides funding for services and activities related to auditing State and local grants and Federal transfers.

WORKLOAD

	2001 actual	2002 est.	2003 est.
Grants awarded to State and Local Law Enforcement	447	450	447
Federal Agencies participating in HIDTA Initiatives	31	31	31

Object Classification (in millions of dollars)

Identification code 11-1070-0-1-754	2001 actual	2002 est.	2003 est.
25.2 Other services	2	2	2
41.0 Grants, subsidies, and contributions	169	224	204
99.9 Total new obligations	171	226	206

SPECIAL FORFEITURE FUND

(INCLUDING TRANSFER OF FUNDS)

For activities to support a national anti-drug campaign for youth, and for other purposes, authorized by 21 U.S.C. 1701 et seq., [\$239,400,000] \$251,300,000, to remain available until expended, of which [\$180,000,000] shall be to support a national media campaign, as authorized in the Drug-Free Media Campaign Act of 1998, of which \$4,800,000 shall be made available no later than 30 days after the enactment of this Act to the United States Anti-Doping Agency for their anti-doping efforts; of which \$50,600,000 shall be to continue a program of matching grants to drug-free communities,

as authorized in chapter 2 of the National Narcotics Leadership Act of 1988, as amended; of which \$1,000,000 shall be available to the National Drug Court Institute; and of which \$3,000,000 shall be for the Counterdrug Intelligence Executive Secretariat] the following amounts are available as follows: \$180,000,000 to support a national media campaign, as authorized by the Drug-Free Media Campaign Act of 1998; \$60,000,000 for a program of assistance and matching grants to local coalitions and other activities, as authorized in chapter 2 of the National Narcotic Leadership Act of 1988, as amended; \$6,000,000 for the Counterdrug Intelligence Executive Secretariat; \$2,000,000 for evaluations and research related to National Drug Control Program performance measures; \$1,000,000 for the National Drug Court Institute; \$1,000,000 for the United States Anti-Doping Agency for anti-doping activities; \$800,000 for the United States membership dues to the World Anti-Doping Agency; and \$500,000 for the National Alliance for Model State Drug Laws: Provided, That such funds may be transferred to other Federal departments and agencies to carry out such activities. (Executive Office Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 11-1460-0-1-802	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 National Youth Anti-Drug Media Campaign	175	180	180
00.02 Drug-Free Communities Program	40	51	60
00.03 National Drug Court Institute	1	1	1
00.05 Counterdrug Intelligence Executive Secretariat	3	3	6
00.06 Anti-Doping Program	3	5	1
00.07 Metro Intelligence Center	1		
00.08 Performance Measure Development			2
00.09 World Anti-Doping Agency Dues			1
00.10 Model State Drug Laws			1
10.00 Total new obligations	223	240	251
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	4	3
22.00 New budget authority (gross)	223	240	251
23.90 Total budgetary resources available for obligation	226	244	254
23.95 Total new obligations	-223	-240	-251
24.40 Unobligated balance carried forward, end of year	4	3	3
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	234	240	251
40.35 Appropriation rescinded	-1		
41.00 Transferred to other accounts	-10		
43.00 Appropriation (total discretionary)	223	240	251
Change in obligated balances:			
72.40 Obligated balance, start of year	184	203	210
73.10 Total new obligations	223	240	251
73.20 Total outlays (gross)	-204	-233	-243
74.40 Obligated balance, end of year	203	210	218
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	122	72	75
86.93 Outlays from discretionary balances	82	161	168
87.00 Total outlays (gross)	204	233	243
Net budget authority and outlays:			
89.00 Budget authority	223	240	251
90.00 Outlays	204	233	243

The Anti-Drug Abuse Act of 1988, as amended, and the Office of National Drug Control Policy's reauthorization, P.L. 105-277, established the Special Forfeiture Fund to be administered by the Director of the Office of National Drug Control Policy. The monies appropriated to the Fund support high-priority drug control programs and may be transferred to drug control agencies.

For 2003, funds appropriated to this account will be used for the following activities:

National Youth Anti-Drug Media Campaign.—The National Youth Anti-Drug Media Campaign is an integrated advertising and communications campaign harnessing the power

of the media and other organizations to educate America's youth to reject illegal drugs.

Drug-Free Communities Program.—The Drug-Free Communities Program provides grants to local community coalitions to support expansion of their efforts to reduce substance abuse among our youth.

National Drug Court Institute.—The National Drug Court Institute facilitates the growth of the drug court movement by: promoting and disseminating education, research and scholarship concerning drug court programs and providing a comprehensive drug court training series for practitioners.

Counterdrug Intelligence Executive Secretariat.—The Counterdrug Intelligence Executive Secretariat provides staff support to the Counterdrug Intelligence Coordinating Group (CDICG), an interagency body established to oversee and improve coordination of counterdrug intelligence programs.

Anti-Doping Support.—This funding continues the effort to educate athletes on the dangers of drug use and to eliminate illegal drug use in Olympic sports.

National Alliance for Model State Drug Laws.—This funding is provided to encourage States to adopt and implement laws, policies, and regulations to reduce drug trafficking, drug use, and their related consequences.

World Anti-Doping Agency.—ONDCP is a full participant in the World Anti-Doping Agency, and as such, is responsible for the associated dues.

National Drug Control Performance Measures.—This funding is provided to conduct evaluation research to assess the effectiveness of the National Drug Control Strategy.

WORKLOAD

	2001 actual	2002 est.	2003 est.
Grants Awarded to Community Coalitions	464	552	616
Number of Anti-Drug Ads Placed			
TV	44,305	56,314	60,535
Radio	36,735	32,972	48,881
Print	157	180	143
Multi-Cultural	70,666	57,367	75,955
Other	57,684	32,939	76,897
Number of Anti-Drug Ads Matched			
TV	57,633	52,232	74,223
Radio	40,435	34,801	52,581
Print	154	179	140
Multi-Cultural	67,576	57,976	72,375
Other	57,686	32,935	76,899

Object Classification (in millions of dollars)

Identification code 11-1460-0-1-802	2001 actual	2002 est.	2003 est.
25.2 Other services	185	192	195
41.0 Grants, subsidies, and contributions	38	48	56
99.9 Total new obligations	223	240	251

COUNTERDRUG TECHNOLOGY ASSESSMENT CENTER

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses for the Counterdrug Technology Assessment Center for research activities pursuant to the Office of National Drug Control Policy Reauthorization Act of 1998 (21 U.S.C. 1701 et seq.), **[\$42,300,000] \$40,000,000**, which shall remain available until expended, consisting of **[\$20,064,000] \$18,000,000** for counternarcotics research and development projects, and **[\$22,236,000] \$22,000,000** for the continued operation of the technology transfer program: *Provided*, That the **[\$20,064,000] \$18,000,000** for counternarcotics research and development projects shall be available for transfer to other Federal departments or agencies. (Executive Office Appropriations Act, 2002.)

General and special funds—Continued

COUNTERDRUG TECHNOLOGY ASSESSMENT CENTER—Continued
(INCLUDING TRANSFER OF FUNDS)—Continued

Program and Financing (in millions of dollars)

Identification code 11-1461-0-1-754	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Research and Development	18	20	18
00.02 Technology Transfer Program	18	22	22
10.00 Total new obligations (object class 25.3)	36	42	40
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	36	42	40
23.95 Total new obligations	-36	-42	-40
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	36	42	40
Change in obligated balances:			
72.40 Obligated balance, start of year		1	1
73.10 Total new obligations	36	42	40
73.20 Total outlays (gross)	-36	-42	-40
74.40 Obligated balance, end of year	1	1	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	36	42	40
Net budget authority and outlays:			
89.00 Budget authority	36	42	40
90.00 Outlays	35	42	40

Pursuant to the Office of National Drug Control Policy Re-authorization Act of 1998 (title VII of Division C of Public Law 105-277), the Counterdrug Technology Assessment Center serves as the central counterdrug research and development organization for the United States Government.

The Center operates two programs—a Research and Development program (R&D) and a Technology Transfer program (TTP):

- The R&D program identifies law enforcement’s scientific and technological needs, coordinates Federal counterdrug R&D initiatives, supports improvements to counterdrug capabilities that transcend the need of any single Federal agency, and helps expand addiction and rehabilitation research and its associated technologies.
- The TTP provides state-of-the-art, affordable, easily integrated and maintainable tools to enhance the capabilities of State and local law enforcement agencies for counterdrug missions. The technologies transferred to State and local law enforcement agencies range from hand-held drug detection devices to major case-building computer systems.

WORKLOAD

	2001 actual	2002 est.	2003 est.
Equipment pieces provided by Technology Transfer Program	1,447	1,800	1,500

FEDERAL ELECTION COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses to carry out the provisions of the Federal Election Campaign Act of 1971, as amended, **[\$43,689,000]** \$46,917,000, of which [no less than \$5,128,000 shall be available for internal automated data processing systems, and of which] not to exceed \$5,000 shall be available for reception and representation expenses. (Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 95-1600-0-1-808	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	41	46	47
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	41	46	47
23.95 Total new obligations	-41	-46	-47
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	41	46	47
Change in obligated balances:			
72.40 Obligated balance, start of year	8	7	5
73.10 Total new obligations	41	46	47
73.20 Total outlays (gross)	-42	-48	-48
74.40 Obligated balance, end of year	7	5	4
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	36	41	42
86.93 Outlays from discretionary balances	6	6	5
87.00 Total outlays (gross)	42	48	48
Net budget authority and outlays:			
89.00 Budget authority	41	46	47
90.00 Outlays	42	48	48

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	40	44	45
90.00 Outlays	41	46	46

The Federal Election Commission (the Commission) administers the disclosure of campaign finance information, enforces limitations on contributions and expenditures, supervises the public funding of Presidential elections, and performs other tasks related to Federal elections.

The Commission is authorized to submit, concurrently, budget estimates to the President and Congress.

Object Classification (in millions of dollars)

Identification code 95-1600-0-1-808	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	22	24	25
12.1 Civilian personnel benefits	7	8	9
21.0 Travel and transportation of persons		1	1
23.1 Rental payments to GSA	3	4	4
23.3 Communications, utilities, and miscellaneous charges	1	2	2
25.2 Other services	2	4	4
25.7 Operation and maintenance of equipment	3	1	1
26.0 Supplies and materials	1	1	1
31.0 Equipment	2	1	
99.9 Total new obligations	41	46	47

Personnel Summary

Identification code 95-1600-0-1-808	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	345	362	362

**FEDERAL FINANCIAL INSTITUTIONS
EXAMINATION COUNCIL APPRAISAL
SUBCOMMITTEE**

Federal Funds

General and special funds:

REGISTRY FEES

Unavailable Collections (in millions of dollars)

Identification code 95-5026-0-2-376	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.00 Registry fees, Appraisal subcommittee	2	2	2
Appropriations:			
05.00 Registry fees	-2	-2	-2
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 95-5026-0-2-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Administrative expenses	1	1	1
00.02 Grants, subsidies and contributions	1	1	1
10.00 Total new obligations	2	2	2
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4	4	4
22.00 New budget authority (gross)	2	2	2
23.90 Total budgetary resources available for obligation	6	6	6
23.95 Total new obligations	-2	-2	-2
24.40 Unobligated balance carried forward, end of year	4	4	4
New budget authority (gross), detail:			
Mandatory:			
60.20 Appropriation (special fund)	2	2	2
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	
73.10 Total new obligations	2	2	2
73.20 Total outlays (gross)	-2	-2	-2
74.40 Obligated balance, end of year	1		
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	2	2	2
Net budget authority and outlays:			
89.00 Budget authority	2	2	2
90.00 Outlays	2	2	2

The Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (Public Law 101-73, August 9, 1989) established the Appraisal Subcommittee of the Federal Financial Institutions Examination Council. Subsequent legislation (Public Law 101-235) authorized the Secretary of the Department of Housing and Urban Development to designate a member of the Appraisal Subcommittee.

The Subcommittee is charged with ensuring that real estate appraisals used in federally-related transactions are performed in accordance with uniform standards by appraisers certified and licensed by the States. Its responsibilities include: (1) monitoring the requirements established by the States for the certification and licensing of appraisers; (2) monitoring the requirements established by the Federal financial institutions' regulatory agencies regarding appraisal standards; (3) monitoring and reviewing the practices, procedures, activities, and organization of the Appraisal Foundation; and, (4) maintaining a national registry of licensed and certified appraisers.

Subcommittee activities, including grants awarded to the Appraisal Foundation, were initially funded from a one-time appropriation of \$5 million. These funds were repaid to Treas-

ury at the end of 1998 in accordance with the Economic Growth and Regulatory Paperwork Reduction Act of 1996. The Subcommittee is now operating on fee income from state-licensed and certified real estate appraisers in the national registry.

Object Classification (in millions of dollars)

Identification code 95-5026-0-2-376	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	1	1	1
41.0 Grants, subsidies, and contributions	1	1	1
99.9 Total new obligations	2	2	2

Personnel Summary

Identification code 95-5026-0-2-376	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	7	7	7

FEDERAL HOUSING FINANCE BOARD

Federal Funds

Public enterprise funds:

FEDERAL HOUSING FINANCE BOARD

Program and Financing (in millions of dollars)

Identification code 95-4039-0-3-371	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Operating Expenses	20	30	28
10.00 Total new obligations	20	30	28
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	4	
22.00 New budget authority (gross)	22	26	28
23.90 Total budgetary resources available for obligation	24	30	28
23.95 Total new obligations	-20	-30	-28
24.40 Unobligated balance carried forward, end of year	4		
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	22	26	28
Change in obligated balances:			
72.40 Obligated balance, start of year	4	4	4
73.10 Total new obligations	20	30	28
73.20 Total outlays (gross)	-19	-30	-28
74.40 Obligated balance, end of year	4	4	4
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	15	26	24
86.98 Outlays from mandatory balances	4	4	4
87.00 Total outlays (gross)	19	30	28
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-22	-26	-28
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-3	4	

The Federal Housing Finance Board (Finance Board), an independent executive agency, was established by the Financial Institutions Reform, Recovery, and Enforcement Act of 1989 which amended the Federal Home Loan Bank Act. The duties of the Finance Board are: (1) to ensure that the twelve Federal Home Loan Banks (Banks) operate in a safe and sound manner; (2) to supervise the Banks; (3) to ensure that the Banks carry out their housing finance mission; and, (4)

Public enterprise funds—Continued

FEDERAL HOUSING FINANCE BOARD—Continued

to ensure the Banks remain adequately capitalized and able to raise funds in the capital markets. The Finance Board succeeded the former Federal Home Loan Bank Board with respect to the Banks.

The management of the Finance Board is vested in a five-member board of directors. The board of directors is composed of the Secretary of Housing and Urban Development and four other individuals appointed by the President, with the advice and consent of the Senate. The term of a Director is seven years.

The Finance Board has the power to: (1) supervise the Banks and promulgate and enforce such regulations and orders as are necessary; (2) suspend or remove for cause a director, officer, employee, or agent of any Bank or joint office; (3) determine necessary expenditures of the Finance Board and the manner in which such expenditures shall be incurred, allowed, and paid; and, (4) use the United States mail in the same manner and under the same conditions as a department or agency of the United States.

Object Classification (in millions of dollars)

Identification code 95-4039-0-3-371	2001 actual	2002 est.	2003 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	9	12	13
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	11	14	15
12.1 Civilian personnel benefits	2	5	5
21.0 Travel and transportation of persons	1	1	1
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services	1	2	2
25.2 Other services	1	1	1
25.7 Operation and maintenance of equipment	1	1	1
31.0 Equipment	2	4	1
99.0 Reimbursable obligations	18	29	28
99.5 Below reporting threshold	2	1	1
99.9 Total new obligations	20	30	28

Personnel Summary

Identification code 95-4039-0-3-371	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	105	125	128

FEDERAL LABOR RELATIONS AUTHORITY

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Federal Labor Relations Authority, pursuant to Reorganization Plan Numbered 2 of 1978, and the Civil Service Reform Act of 1978, including services authorized by 5 U.S.C. 3109, including hire of experts and consultants, hire of passenger motor vehicles, and rental of conference rooms in the District of Columbia and elsewhere, **[\$26,524,000]** **[\$30,190,000: Provided,** That public members of the Federal Service Impasses Panel may be paid travel expenses and per diem in lieu of subsistence as authorized by law (5 U.S.C. 5703) for persons employed intermittently in the Government service, and compensation as authorized by 5 U.S.C. 3109: *Provided further,* That notwithstanding 31 U.S.C. 3302, funds received from fees charged to non-Federal participants at labor-management relations conferences shall be credited to and merged with this account, to be available without further appropriation for the costs of carrying out these conferences.

(Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 54-0100-0-1-805	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Federal labor relations authority	14	15	16
00.02 Office of the general counsel	11	13	13
00.03 Federal service impasses panel	1	1	1
10.00 Total new obligations	26	29	30
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	26	29	31
23.95 Total new obligations	-26	-29	-30
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	26	29	31
Change in obligated balances:			
72.40 Obligated balance, start of year	3	3	3
73.10 Total new obligations	26	29	30
73.20 Total outlays (gross)	-25	-29	-31
74.40 Obligated balance, end of year	3	3	3

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	24	27	29
86.93 Outlays from discretionary balances	2	2	2
87.00 Total outlays (gross)	25	29	31

Net budget authority and outlays:

89.00 Budget authority	26	29	31
90.00 Outlays	25	29	31

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	25	27	29
90.00 Outlays	24	27	29

The Federal Labor Relations Authority (FLRA): (1) serves as a neutral party in the settlement of disputes that arise between unions, employees, and agencies on matters outlined in the Federal Service Labor Management Relations Statute; (2) decides major policy issues; (3) prescribes regulations; and, (4) disseminates information appropriate to the needs of agencies, labor organizations, and the public. Establishment of the FLRA gives full recognition to the role of the Federal Government as an employer.

In addition, the FLRA is engaged in case-related interventions and training and facilitation of labor-management relationships in its unified Collaboration and Alternative Dispute Resolution Program. Approximately 2000 case-related intervention services were conducted in 2001. Training and facilitation workload is reflected in the following manner: the FLRA promotes labor-management cooperation by providing training and assistance to labor organizations and agencies on resolving disputes; and trains the parties on rights and responsibilities under the Federal Labor Relations Management Statute. In 2001, the FLRA conducted over 250 programs involving over 10,000 employees, union representatives, arbitrators, and other practitioners.

The FLRA is composed of the Authority, the Office of the General Counsel, and the Federal Service Impasses Panel.

Authority.—The Authority adjudicates labor-management disputes in the Federal sector including: appeals on negotiability issues; exceptions to arbitration awards; appropriate units for the purposes of exclusive recognition; eligibility of labor organizations for national consultation rights; and unfair labor practice complaints.

Within the Authority, administrative law judges hold hearings on unfair labor practice complaints, issue reports, and make recommendations to the Authority to allow timely settlement of disputes arising between agencies and unions. The Authority also provides all components with administrative services.

The Office of the Inspector General is responsible for conducting and supervising audits and investigations related to the functions of the FLRA, pursuant to the provisions of the Inspector General Act of 1978, as amended in 1988.

Case dispositions are reflected in the following table:

CASE DISPOSITIONS

	2001 actual	2002 est.	2003 est.
Arbitration appeals	109	140	140
Negotiability appeals	60	60	60
Representation appeals/requests for review	15	17	17
Unfair labor practice appeals	61	57	57

Office of the General Counsel.—The functions of this office include: (1) investigating all allegations of unfair labor practices filed and the processing of all representation petitions received; (2) exercising final authority over the issuance and prosecution of all complaints; (3) supervising and conducting elections concerning the exclusive recognition of labor organizations and the certification of the results of elections; (4) conducting all hearings to resolve disputed issues in representation cases; (5) preparing final decisions and orders in these cases; and, (6) directing and supervising all employees of the regional offices. Case dispositions are reflected in the following table:

CASE DISPOSITIONS

	2001 actual	2002 est.	2003 est.
Unfair labor practice cases:			
Investigations	6,111	5,850	5,850
Complaints prosecuted	68	65	65
Complaints voluntarily settled	317	320	320
Appeals	476	435	435
Representation cases:			
Investigations	946	1,150	1,150
Elections/hearings	140	150	150

Federal Service Impasses Panel.—The functions of the Panel involve the resolution of labor negotiation impasses between Federal agencies and labor organizations which arise under the Civil Service Reform Act of 1978 and other statutes. The Panel uses a variety of procedures including factfinding and arbitration.

CASE DISPOSITIONS

	2001 actual	2002 est.	2003 est.
Impasse resolutions	205	205	205

Object Classification (in millions of dollars)

Identification code 54-0100-0-1-805	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	15	17	17
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	16	18	18
12.1 Civilian personnel benefits	4	6	6
21.0 Travel and transportation of persons	1	1	
23.1 Rental payments to GSA	2	2	4
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	1	1	1
99.0 Direct obligations	25	29	30
99.5 Below reporting threshold	1		
99.9 Total new obligations	26	29	30

Personnel Summary

Identification code 54-0100-0-1-805	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	206	215	215

FEDERAL MARITIME COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Federal Maritime Commission as authorized by section 201(d) of the Merchant Marine Act, 1936, as amended (46 U.S.C. App. 1111), including services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles as authorized by 31 U.S.C. 1343(b); and uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-5902, **[\$16,458,000] \$18,566,000: Provided,** That not to exceed \$2,000 shall be available for official reception and representation expenses. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 65-0100-0-1-403	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Formal proceedings	4	4	5
00.04 Operational and administrative	5	5	5
00.06 Trade Analysis	3	3	3
00.07 Consumer Complaints and Licensing	2	2	2
00.08 Enforcement	2	3	3
10.00 Total new obligations	16	17	18
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	16	17	18
23.95 Total new obligations	-16	-17	-18
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	16	17	18
Change in obligated balances:			
72.40 Obligated balance, start of year		2	1
73.10 Total new obligations	16	17	18
73.20 Total outlays (gross)	-15	-16	-16
74.40 Obligated balance, end of year	2	1	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	15	16	17
86.93 Outlays from discretionary balances	1	2	1
87.00 Total outlays (gross)	15	16	16
Net budget authority and outlays:			
89.00 Budget authority	16	17	18
90.00 Outlays	16	16	16

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	15	16	17
90.00 Outlays	15	15	15

The Federal Maritime Commission (the Commission) regulates the international waterborne commerce of the United States. In addition, the Commission has responsibility for: licensing and bonding ocean transportation intermediaries and assuring that vessel owners or operators establish financial responsibility to pay judgments for death or injury to passengers, or nonperformance of a cruise, on voyages from U.S. ports. Major program areas for 2002 are: carrying out

General and special funds—Continued

SALARIES AND EXPENSES—Continued

investigations of foreign trade practices under the Foreign Shipping Practices Act; maintaining equitable trading conditions in U.S. ocean commerce; ensuring compliance with applicable shipping statutes; pursuing an active enforcement program designed to identify and prosecute violators of the shipping statutes; and, reviewing ocean carrier operational and pricing agreements to guard against excessively anticompetitive effects.

Object Classification (in millions of dollars)

Identification code 65-0100-0-1-403	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	9	10	11
12.1 Civilian personnel benefits	3	3	3
23.1 Rental payments to GSA	2	2	2
25.2 Other services	1	1	1
99.0 Direct obligations	15	16	17
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	16	17	18

Personnel Summary

Identification code 65-0100-0-1-403	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	121	132	132

FEDERAL MEDIATION AND CONCILIATION SERVICE

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For expenses necessary for the Federal Mediation and Conciliation Service to carry out the functions vested in it by the Labor Management Relations Act, 1947 (29 U.S.C. 171-180, 182-183), including hire of passenger motor vehicles; for expenses necessary for the Labor-Management Cooperation Act of 1978 (29 U.S.C. 175a); and for expenses necessary for the Service to carry out the functions vested in it by the Civil Service Reform Act, Public Law 95-454 (5 U.S.C. ch. 71), **[\$39,982,000]** \$42,216,000, including \$1,500,000, to remain available through September 30, **[2003]** 2004, for activities authorized by the Labor-Management Cooperation Act of 1978 (29 U.S.C. 175a): *Provided*, That notwithstanding 31 U.S.C. 3302, fees charged, up to full-cost recovery, for special training activities and other conflict resolution services and technical assistance, including those provided to foreign governments and international organizations, and for arbitration services shall be credited to and merged with this account, and shall remain available until expended: *Provided further*, That fees for arbitration services shall be available only for education, training, and professional development of the agency workforce: *Provided further*, That the Director of the Service is authorized to accept and use on behalf of the United States gifts of services and real, personal, or other property in the aid of any projects or functions within the Director's jurisdiction. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriation Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 93-0100-0-1-505	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Dispute mediation and preventive mediation, public information	29	31	32
00.02 Arbitration services	1	1	1
00.03 Management and administrative support	7	7	7
00.04 Labor-management cooperation project	2	2	2
00.91 Total direct program	39	41	42
01.01 Reimbursable program	2	2	2
10.00 Total new obligations	41	43	44
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	3	1
22.00 New budget authority (gross)	41	43	44
23.90 Total budgetary resources available for obligation	43	46	45
23.95 Total new obligations	-41	-43	-44
23.98 Unobligated balance expiring or withdrawn	-1		
24.40 Unobligated balance carried forward, end of year	3	1	1
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	39	41	42
68.00 Spending authority from offsetting collections: Offsetting governmental collections	2	2	2
70.00 Total new budget authority (gross)	41	43	44
Change in obligated balances:			
72.40 Obligated balance, start of year	6	6	6
73.10 Total new obligations	41	43	44
73.20 Total outlays (gross)	-41	-43	-43
74.40 Obligated balance, end of year	6	6	7
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	38	39	40
86.93 Outlays from discretionary balances	3	5	4
87.00 Total outlays (gross)	41	43	43
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-1	-1	-1
88.40 Non-Federal sources	-1	-1	-1
88.90 Total, offsetting collections (cash)	-2	-2	-2
Net budget authority and outlays:			
89.00 Budget authority	39	41	42
90.00 Outlays	38	41	41
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	38	40	41
90.00 Outlays	37	40	40

The Federal Mediation and Conciliation Service (FMCS or the Service) provides assistance to parties in labor disputes in industries affecting commerce through conciliation and mediation.

Dispute mediation.—The Service assists labor and management in the mediation and prevention of disputes, other than those involving rail and air transportation, whenever such disputes threaten to cause a substantial interruption of interstate commerce or a major impairment to the national defense. The Service also makes mediation and conciliation services available to Federal agencies and organizations representing Federal employees in the resolution of negotiation disputes. The Service provides mandatory mediation and, where necessary, impartial boards of inquiry to assist in re-

solving labor disputes involving private nonprofit health care institutions. The workload shown below includes assignments closed in both the private and public sectors.

DISPUTE MEDIATION WORKLOAD DATA

	1999 actual	2000 actual	2001 actual	2002 estimate	2003 estimate
Dispute mediation assignments	19,200	19,574	19,116	19,500	19,500
Total mediation conferences closed	6,188	6,321	6,424	6,300	6,300

PREVENTIVE MEDIATION WORKLOAD DATA

	1999 actual	2000 actual	2001 actual	2002 estimate	2003 estimate
Total preventive mediation cases conducted	2,954	2,792	2,655	2,800	2,800
Total number of meetings conducted	36,618	34,634	33,557	35,330	35,500

Preventive mediation, public information, and educational activities.—Through its preventive mediation program, the Service initiates and develops labor-management committees, training programs, conferences, and specialized workshops dealing with issues in collective bargaining. Mediators also participate in education, advocacy and outreach (EAO) activities such as lectures, seminars, and conferences.

Arbitration services.—The Service assists parties in disputes by utilizing the arbitration process for the resolution of disputes arising under or in the negotiation of collective bargaining agreements in the private and public sectors.

ARBITRATION SERVICES WORKLOAD DATA

	1999 actual	2000 actual	2001 actual	2002 estimate	2003 estimate
Number of panels issued	19,490	18,833	18,305	18,800	18,800
Number of arbitrators appointed	9,558	8,829	8,749	8,800	8,800

Management and administrative support.—This activity provides for overall management and administration, policy planning, research and evaluation, and employee development.

Labor-management cooperation project.—The Labor Management Cooperation Act of 1978 (29 U.S.C. 175a) authorizes the Service to carry out this program of contracts and grants to support the establishment and operation of plant, area, and industry labor-management committees.

Alternative Dispute Resolution (ADR) Projects.—The Service assists other Federal agencies by providing mediation and technical assistance in the area of ADR. The ADR cases reduce litigation costs and speed federal processes. The FMCS is funded for this work through interagency reimbursable agreements.

ALTERNATIVE DISPUTE RESOLUTION (ADR) WORKLOAD DATA

	1999 actual	2000 actual	2001 actual	2002 estimate	2003 estimate
Number of ADR Cases	590	798	917	800	800

Object Classification (in millions of dollars)

Identification code 93-0100-0-1-505	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	22	23	23
12.1 Civilian personnel benefits	6	6	6
21.0 Travel and transportation of persons	2	2	2
23.1 Rental payments to GSA	4	5	5
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	1	1	1
31.0 Equipment	1	1	1
41.0 Grants, subsidies, and contributions	2	2	2
99.0 Direct obligations	39	41	41
99.0 Reimbursable obligations	1	1	1
99.5 Below reporting threshold	1	1	2
99.9 Total new obligations	41	43	44

Personnel Summary

Identification code 93-0100-0-1-505	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	276	286	286
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	6	6	9

FEDERAL MINE SAFETY AND HEALTH REVIEW COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For expenses necessary for the Federal Mine Safety and Health Review Commission (30 U.S.C. 801 et seq.), **[\$6,939,000] \$7,455,000.** (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 95-2800-0-1-554	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Commission review	4	4	4
00.02 Administrative law judge determinations	3	3	3
10.00 Total new obligations	6	7	7
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	6	7	7
23.95 Total new obligations	-6	-7	-7
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	6	7	7
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	1
73.10 Total new obligations	6	7	7
73.20 Total outlays (gross)	-6	-7	-7
74.40 Obligated balance, end of year	1	1	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	5	6	6
86.93 Outlays from discretionary balances	1	1	1
87.00 Total outlays (gross)	6	7	7
Net budget authority and outlays:			
89.00 Budget authority	6	7	7
90.00 Outlays	6	7	7

The Federal Mine Safety and Health Review Commission reviews and decides contested enforcement actions of the Secretary of Labor on mine safety legislation. The Commission also adjudicates claims by miners and miners' representatives concerning their rights under law. The Commission holds fact-finding hearings and issues orders affirming, modifying, or vacating the Secretary's enforcement actions.

SELECTED WORKLOAD DATA

	2001 actual	2002 est.	2003 est.
Commission review activities:			
Cases pending beginning of year	34	26	20
Cases called for review	65	60	60
Cases decided	73	66	66
Administrative law judge activities:			
Cases pending beginning of year	1,563	1,418	1,068
New cases received	2,310	2,100	2,300
Cases decided	2,455	2,450	2,450

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Object Classification (in millions of dollars)

Identification code 95-2800-0-1-554	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	4	4	4
12.1 Civilian personnel benefits	1	1	1
23.1 Rental payments to GSA	1	1	1
25.2 Other services	1	1	1
99.9 Total new obligations	6	7	7

Personnel Summary

Identification code 95-2800-0-1-554	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	42	48	48

FEDERAL RETIREMENT THRIFT INVESTMENT BOARD

Federal Funds

General and special funds:

PROGRAM EXPENSES

Unavailable Collections (in millions of dollars)

Identification code 26-5290-0-2-602	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 Reimbursement for program expenses	76	111	87
Appropriations:			
05.00 Program expenses	-76	-111	-87
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 26-5290-0-2-602	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Administrative expenses	83	114	87
10.00 Total new obligations	83	114	87
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	79	114	87
22.10 Resources available from recoveries of prior year obligations	4		
23.90 Total budgetary resources available for obligation	83	114	87
23.95 Total new obligations	-83	-114	-87
New budget authority (gross), detail:			
Mandatory:			
60.20 Appropriation (special fund)	79	114	87
Change in obligated balances:			
72.40 Obligated balance, start of year	58	45	45
73.10 Total new obligations	83	114	87
73.20 Total outlays (gross)	-92	-114	-87
73.45 Recoveries of prior year obligations	-4		
74.40 Obligated balance, end of year	45	45	45
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	64	86	59
86.98 Outlays from mandatory balances	28	28	28
87.00 Total outlays (gross)	92	114	87
Net budget authority and outlays:			
89.00 Budget authority	79	114	87
90.00 Outlays	92	114	87

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	76	111	84
90.00 Outlays	89	111	84

The Federal Retirement Thrift Investment Board is responsible for managing the Thrift Savings Fund (Fund). The Fund is a special tax-deferred savings fund established by the Federal Employees' Retirement System Act of 1986. Due to the fiduciary nature of the Fund, it is not included in the totals of the Federal budget. Information on the financial status and activities of the Fund follows this account.

Program administration for the Fund is financed from the Fund. Program expenses are derived first from Fund forfeitures of agency one percent automatic contributions for employees who separate from the Federal government prior to vesting and then from earnings on all participant and agency contributions to the Fund.

Object Classification (in millions of dollars)

Identification code 26-5290-0-2-602	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	7	7	8
11.3 Other than full-time permanent		1	1
11.9 Total personnel compensation	7	8	9
12.1 Civilian personnel benefits	3	3	3
23.2 Rental payments to others	2	2	2
24.0 Printing and reproduction	5	8	5
25.1 Advisory and assistance services	1	2	1
25.2 Other services	4	7	3
25.3 Other purchases of goods and services from Government accounts	55	62	59
31.0 Equipment	6	22	5
99.9 Total new obligations	83	114	87

Personnel Summary

Identification code 26-5290-0-2-602	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	96	107	108

INFORMATION SCHEDULES FOR THE THRIFT SAVINGS FUND

The Fund is composed of individual accounts maintained by the Federal Retirement Thrift Investment Board on behalf of the individual Federal employee participants in the Fund. All Federal civilian employees and members of the uniformed services are eligible to contribute to the Fund. However, only those civilian employees covered by the Federal Employees' Retirement System (or equivalent retirement systems) and a limited category of uniformed services personnel may have their contributions matched by the employing agencies in accordance with the formulas prescribed by law. Employees are entitled to select how contributions are distributed among five investment funds: a U.S. Government securities investment fund; a fixed income index investment fund; a common stock index investment fund; a small capitalization stock index investment fund; and an international stock index investment fund.

Employee participation in the Fund is entirely voluntary, so actual results could vary significantly from these estimates. The estimated status of the Fund is shown below:

STATUS OF THRIFT SAVINGS FUND

(In millions of dollars)

	2001 actual	2002 est.	2003 est.
Thrift Savings Fund investment balance, start of year	96,416	89,038	107,407
Receipts during the year:			
Employee contributions	6,553	7,731	7,934
Contributions on behalf of employees ¹	3,100	3,426	4,408
Earnings and adjustments ²	-13,634	10,694	13,411
Total receipts	-3,981	21,851	25,753
Outlays during the year:			
Withdrawals	2,706	2,764	2,786
Loans to employees, net of payments	602	607	612
Administrative expenses	89	111	84
Total cash outlays	3,397	3,482	3,482
Thrift Savings Fund investment balance, end of year ³	89,038	107,407	129,678

¹ 2001 Employer contributions included \$702 million in automatic contributions for FERS employees and \$2,398 million in matching contributions for FERS employees.

² 2001 Earnings included: return on investments in Government securities—\$1,893 million; return on investments in non-government instruments—\$-15,884 million; interest on loans—\$215 million; and agency payments for lost earnings—\$1 million.

³ Investment fund balances at 9/30/2001 were: Government Securities Investment Fund—\$36,778 million; Barclays U.S. Debt Index Fund—\$7,015 million; Barclays Equity Index Fund—\$44,444 million; Barclays Extended Equity Market Fund—\$567 million; and Barclays EAFE Index Fund—\$233 million.

FEDERAL TRADE COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Federal Trade Commission, including uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-5902; services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles; not to exceed \$2,000 for official reception and representation expenses, **[\$155,982,000] \$176,509,000, to remain available until expended: Provided,** That not to exceed \$300,000 shall be available for use to contract with a person or persons for collection services in accordance with the terms of 31 U.S.C. 3718, as amended: *Provided further,* That, notwithstanding any other provision of law, not to exceed **[\$155,982,000] \$173,509,000** of offsetting collections derived from fees collected for premerger notification filings under the Hart-Scott-Rodino Antitrust Improvements Act of 1976 (15 U.S.C. 18a), regardless of the year of collection, shall be retained and used for necessary expenses in this appropriation, and shall remain available until expended; and offsetting collections derived from fees, estimated at \$3,000,000, shall be assessed, collected, and used to cover the costs of developing, implementing, and maintaining a national database of telephone numbers of consumers who choose not to receive telephone solicitations, as authorized by the Telephone Consumer Fraud and Abuse Prevention Act (15 U.S.C. 6101 et seq.): *Provided further,* That the sum herein appropriated from the general fund shall be reduced as such offsetting collections are received during fiscal year **[2002] 2003**, so as to result in a final fiscal year **[2002] 2003** appropriation from the general fund estimated at not more than \$0, to remain available until expended; *Provided further,* That none of the funds made available to the Federal Trade Commission shall be available for obligation for expenses authorized by section 151 of the Federal Deposit Insurance Corporation Improvement Act of 1991 (Public Law 102-242; 105 Stat. 2282-2285). (Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Unavailable Collections (in millions of dollars)

Identification code 29-0100-0-1-376	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	3	1	3
Receipts:			
02.80 Salaries and expenses, offsetting collections	87	159	179
04.00 Total: Balances and collections	90	160	182
Appropriations:			
05.00 Salaries and expenses	-89	-157	-178
05.99 Total appropriations	-89	-157	-178

07.99 Balance, end of year	1	3	4
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Program and Financing (in millions of dollars)

Identification code 29-0100-0-1-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
01.01 Maintaining competition	28		
01.02 Consumer protection	31		
01.92 Subtotal, direct program	59		
Reimbursable program:			
09.01 Maintaining competition	42	75	79
09.02 Consumer protection	50	86	98
09.03 Reimbursable program	1	1	1
09.99 Total reimbursable program	93	162	178
10.00 Total new obligations	152	162	178
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4	6	6
22.00 New budget authority (gross)	153	162	178
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	158	168	184
23.95 Total new obligations	-152	-162	-178
24.40 Unobligated balance carried forward, end of year	6	6	6

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	59		
Spending authority from offsetting collections:			
Offsetting collections (cash):			
68.00 Offsetting collections (HSR Fees)	91	163	175
68.00 Offsetting collections (Do Not Call Fees)			3
68.00 Offsetting collections (Fed Reimb Prgm)	1	1	1
68.26 From offsetting collections (unavailable balances)	2		5
68.45 Portion precluded from obligation (limitation on obligations)		-2	-6
68.90 Spending authority from offsetting collections (total discretionary)	94	162	178
70.00 Total new budget authority (gross)	153	162	178

Change in obligated balances:

72.40 Obligated balance, start of year	17	29	27
73.10 Total new obligations	152	162	178
73.20 Total outlays (gross)	-139	-164	-177
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	29	27	28

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	137	149	164
86.93 Outlays from discretionary balances	2	15	13
87.00 Total outlays (gross)	139	164	177

Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-1	-1	-1
Non-Federal sources:			
88.40 Non-Federal sources—HSR Fees	-91	-163	-175
88.40 Non-Federal sources—Do Not Call Fees			-3
88.90 Total, offsetting collections (cash)	-92	-164	-179

Net budget authority and outlays:

89.00 Budget authority	61	-2	-1
90.00 Outlays	47		-2

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	61	-2	-6
90.00 Outlays	47		-7

The FTC seeks to protect consumers and enhance competition by eliminating unfair or deceptive acts or practices in

General and special funds—Continued

SALARIES AND EXPENSES—Continued

the marketing of goods and services and by ensuring that consumer markets function competitively. The FTC's work is based on the belief that competition among producers, and accurate information in the hands of consumers, bring the best products and lowest prices to marketplace, spur innovation, and strengthen the economy.

Consumer protection.—The Commission is charged with eliminating unfair or deceptive acts or practices affecting commerce. The goal of the consumer protection mission is to prevent fraud, deception, and unfair business practices in the marketplace. The mission works to accomplish this goal through three objectives: (1) identify fraud, deception, and unfair practices that cause the greatest consumer injury; (2) stop fraud, deception, and unfair practices through law enforcement; and, (3) prevent consumer injury through education.

Maintaining competition.—The Commission's efforts are aimed at fostering and preserving our competitive market. The goal of the maintaining competition mission is to prevent anticompetitive mergers and other anticompetitive business practices in the marketplace. The mission works to accomplish this goal through three objectives: (1) identify anticompetitive mergers and practices that cause the greatest consumer injury; (2) stop anticompetitive mergers and practices through law enforcement; and, (3) prevent consumer injury through education.

The President's 2003 request will fund a total 1,080 FTE, which includes 6 reimbursable FTE. The program level for the Commission will increase from \$155,982,000 in 2002 to \$176,509,000 in 2003, allowing the Commission to maintain the current performance of its missions. The 2003 requested program level will be fully funded by offsetting collections from two sources: Hart-Scott-Rodino Act premerger notification filings as authorized by 18 U.S.C. 18a; and new fees that will be assessed, collected and used to cover costs of developing, implementing and maintaining a national database of telephone numbers of consumers who choose not to receive telephone solicitations, as authorized by the Telephone Consumer and Abuse Prevention Act (15 U.S.C. 6101, *et seq.*, as amended).

Object Classification (in millions of dollars)

Identification code 29-0100-0-1-376	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	28		
11.3 Other than full-time permanent	3		
11.5 Other personnel compensation	1		
11.9 Total personnel compensation	32		
12.1 Civilian personnel benefits	7		
21.0 Travel and transportation of persons	1		
23.1 Rental payments to GSA	6		
23.3 Communications, utilities, and miscellaneous charges	1		
25.1 Advisory and assistance services	5		
25.2 Other services	1		
25.3 Other purchases of goods and services from Government accounts	1		
25.4 Operation and maintenance of facilities	1		
31.0 Equipment	4		
99.0 Direct obligations	59		
99.0 Reimbursable obligations	93	162	178
99.9 Total new obligations	152	162	178

Personnel Summary

Identification code 29-0100-0-1-376	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	404		
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	606	1,080	1,080

HARRY S. TRUMAN SCHOLARSHIP FOUNDATION

Trust Funds

HARRY S. TRUMAN MEMORIAL SCHOLARSHIP TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 95-8296-0-7-502	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.40 Interest on investments	4	4	4
Appropriations:			
05.00 Harry S. Truman memorial scholarship trust fund	-4	-4	-4
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 95-8296-0-7-502	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Scholarship awards	1	2	2
00.02 Program administration	1	1	1
10.00 Total new obligations	2	3	3
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	56	58	59
22.00 New budget authority (gross)	4	4	4
23.90 Total budgetary resources available for obligation	60	62	63
23.95 Total new obligations	-2	-3	-3
24.40 Unobligated balance carried forward, end of year	58	59	60
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)	4	4	4
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	2
73.10 Total new obligations	2	3	3
73.20 Total outlays (gross)	-2	-3	-3
74.40 Obligated balance, end of year	1	2	2
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	1	1	1
86.98 Outlays from mandatory balances		3	2
87.00 Total outlays (gross)	2	3	3
Net budget authority and outlays:			
89.00 Budget authority	4	4	4
90.00 Outlays	2	3	3
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value	58	56	59
92.02 Total investments, end of year: Federal securities:			
Par value	56	59	59

Public Law 93-642 established the Harry S. Truman Scholarship Foundation to operate the scholarship program that is the permanent Federal memorial to the 33rd President of the United States. The Foundation awards scholarships for up to four years to qualified students who demonstrate outstanding potential for and interest in careers in public service at the local, State, or Federal level or in the non-profit sector.

In its annual competition, the Foundation selects up to 80 new Truman Scholars. The maximum award is \$30,000 for four years.

Scholarship awards.—This activity is comprised of scholarships awarded to cover eligible educational expenses.

Program administration.—This activity covers all costs of operating the program, including annual program announcement, interview and selection of Truman Scholars, calculation and disbursement of scholarship awards, monitoring of student progress, and special services and activities for scholars, including an orientation week for new scholars, a summer education and internship program, and workshops and conferences.

Object Classification (in millions of dollars)

Identification code 95-8296-0-7-502	2001 actual	2002 est.	2003 est.
41.0 Direct obligations: Grants, subsidies, and contributions	1	2	2
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	2	3	3

Personnel Summary

Identification code 95-8296-0-7-502	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	3	5	5

INSTITUTE OF AMERICAN INDIAN AND ALASKA NATIVE CULTURE AND ARTS DEVELOPMENT

Federal Funds

General and special funds:

PAYMENT TO THE INSTITUTE

For payment to the Institute of American Indian and Alaska Native Culture and Arts Development, as authorized by title XV of Public Law 99-498, as amended (20 U.S.C. 56 part A), **[\$4,490,000]** \$5,490,000, of which \$1,000,000 shall remain available until expended for construction of the Library Technology Center. (Department of the Interior and Related Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 95-2900-0-1-502	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Payment to the Institute	4	4	5
10.00 Total new obligations (object class 41.0)	4	4	5
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	4	4	5
23.95 Total new obligations	-4	-4	-5
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	4	4	5
Change in obligated balances:			
73.10 Total new obligations	4	4	5
73.20 Total outlays (gross)	-4	-4	-5
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	4	4	5
Net budget authority and outlays:			
89.00 Budget authority	4	4	5
90.00 Outlays	4	4	5

Title XV of Public Law 99-498 established the Institute of American Indian and Alaska Native Culture and Arts De-

velopment as an independent non-profit educational institution. The mission of the Institute is to serve as a multi-tribal center of higher education for Native Americans and is dedicated to the study, creative application, preservation and care of Indian arts and culture. The Institute is federally chartered and under the direction and control of a Board of Trustees appointed by the President of the United States.

Payment to the Institute.—This activity supports the operations of the Institute, and provides \$1 million in Federal matching funds for the construction of a library technology center.

INTELLIGENCE COMMUNITY MANAGEMENT ACCOUNT

Federal Funds

General and special funds:

INTELLIGENCE COMMUNITY MANAGEMENT ACCOUNT

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Intelligence Community Management Account, **[\$160,429,000]** \$150,979,000 of which **[\$28,003,000]** \$24,252,000 for the Advanced Research and Development Committee shall remain available until September 30, **[2003]** 2004: *Provided*, That of the funds appropriated under this heading, **[\$42,752,000]** \$34,100,000 shall be transferred to the Department of Justice for the National Drug Intelligence Center to support the Department of Defense's counter-drug intelligence responsibilities, and of the said amount, \$1,500,000 for Procurement shall remain available until September 30, **[2004]** 2005 and \$1,000,000 for Research, development, test and evaluation shall remain available until September 30, **[2003]** 2004: *Provided further*, That the National Drug Intelligence Center shall maintain the personnel and technical resources to provide timely support to law enforcement authorities to conduct document exploitation of materials collected in Federal, State, and local law enforcement activity. (Department of Defense Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 95-0401-0-1-054	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	128	114	110
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	16	6	14
22.00 New budget authority (gross)	118	121	117
23.90 Total budgetary resources available for obligation	134	127	131
23.95 Total new obligations	-128	-114	-110
24.40 Unobligated balance carried forward, end of year	6	14	21
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	152	164	151
41.00 Transferred to other accounts	-34	-43	-34
43.00 Appropriation (total discretionary)	118	121	117
Change in obligated balances:			
72.40 Obligated balance, start of year	65	70	44
73.10 Total new obligations	128	114	110
73.20 Total outlays (gross)	-114	-141	-135
73.40 Adjustments in expired accounts (net)	-9		
74.40 Obligated balance, end of year	70	44	19
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	63	62	60
86.93 Outlays from discretionary balances	51	79	75
87.00 Total outlays (gross)	114	141	135
Net budget authority and outlays:			
89.00 Budget authority	118	121	117
90.00 Outlays	114	141	135

General and special funds—Continued

INTELLIGENCE COMMUNITY MANAGEMENT ACCOUNT—Continued
(INCLUDING TRANSFER OF FUNDS)—Continued

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	115	118	114
90.00 Outlays	111	138	132

The Intelligence Community Management Account (ICMA) was established by Congressional direction to provide resources that directly support the Director of Central Intelligence (DCI) and the Intelligence Community as a whole in coordinating cross-program activities, improving budget oversight, and strengthening Community Management. The ICMA includes the Community Management Staff, the National Intelligence Council, the Center for Security Evaluations, the Advanced Research and Development program, the National Counterintelligence Executive, and the National Drug Intelligence Center.

The Community Management Staff is the DCI's principal source of advice and assistance in planning and executing his intelligence community management responsibilities. These include: developing the National Foreign Intelligence Program budget; developing intelligence plans and requirements; and overseeing research and development activities. The Advanced Research and Development program is responsible for coordination of advanced technology within the Intelligence Community and for encouragement of investment in high risk/high return technologies. The National Intelligence Council provides analytical support to the DCI and national policy makers. The Center for Security Evaluation is responsible for evaluating and improving security capabilities at United States embassies. The National Counterintelligence Executive was established as the primary mechanism to coordinate U.S. government national-level counterintelligence policy and activities. The National Drug Intelligence Center was established to coordinate strategic organizational drug intelligence from national security and law enforcement agencies.

Object Classification (in millions of dollars)

Identification code 95-0401-0-1-054	2001 actual	2002 est.	2003 est.
11.3 Personnel compensation: Other than full-time permanent	29	29	29
12.1 Civilian personnel benefits	9	7	7
21.0 Travel and transportation of persons	4	2	2
25.2 Other services	80	73	69
26.0 Supplies and materials	3	1	1
31.0 Equipment	3	2	2
99.9 Total new obligations	128	114	110

Personnel Summary

Identification code 95-0401-0-1-054	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	304	322	315

INTERNATIONAL TRADE COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the International Trade Commission, including hire of passenger motor vehicles, and services as authorized

by 5 U.S.C. 3109, and not to exceed \$2,500 for official reception and representation expenses, **[\$51,440,000] \$56,290,000**, to remain available until expended. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 34-0100-0-1-153	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Research, investigations, and reports	50	55	56
10.00 Total new obligations	50	55	56
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		1	
22.00 New budget authority (gross)	50	53	56
22.10 Resources available from recoveries of prior year obligations	1	1	
23.90 Total budgetary resources available for obligation	51	55	56
23.95 Total new obligations	-50	-55	-56
24.40 Unobligated balance carried forward, end of year	1		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	50	53	56
Change in obligated balances:			
72.40 Obligated balance, start of year	5	5	6
73.10 Total new obligations	50	55	56
73.20 Total outlays (gross)	-49	-53	-56
73.45 Recoveries of prior year obligations	-1	-1	
74.40 Obligated balance, end of year	5	6	6
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	44	50	53
86.93 Outlays from discretionary balances	5	3	3
87.00 Total outlays (gross)	49	53	56
Net budget authority and outlays:			
89.00 Budget authority	50	53	56
90.00 Outlays	49	53	56

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	48	51	54
90.00 Outlays	47	51	54

The U.S. International Trade Commission is an independent, quasi-judicial federal agency established by Congress with a wide range of trade-related mandates. The mission of the Commission is twofold: administer U.S. trade remedy laws in a fair and objective manner; and provide the President, the United States Trade Representative, and the Congress with independent, quality advice and information on matters of international trade and competitiveness.

For 2003, the Commission requests an appropriation of \$56 million in order to fund existing mandated investigative activity and related operations, a mandatory 4.6 percent pay increase, and information technology projects that are designed to improve electronic transaction capability, provide broader public access to public data and other information, develop more timely and accurate trade information for the trade community, and improve transparency in the Commission's procedures and finances. The 2003 request represents a 5.4 percent increase over its 2002 funding availability of \$53 million and a 7.9 percent increase over the 2002 appropriation of \$51 million.

In September 2001, the Commission issued the fourth edition of its Strategic Plan and is currently implementing the 2002 Performance Plan. For the purpose of developing the

Strategic Plan, the Commission's functions were divided into five operations and, in order to facilitate the linkage of financial resources to the achievement of strategic goals, the budget justification is structured in the same manner. There are 19 strategic goals for the five operations. In 2000 and 2001 these goals were met in virtually all instances.

As presented in the Commission's Strategic Plan, there are five major operations that serve the Commission's external customers:

- *Import Injury Investigations:* These cover the conduct of the Commission's countervailing duty, antidumping, and sunset review investigations (collectively known as Title VII investigations), safeguards and market disruption investigations, and appellate litigation of challenges to the Commission's determinations.

- *Intellectual Property-Based Import Investigations:* These cover the conduct of the Commission's adjudicatory investigations (referred to as section 337 investigations) regarding alleged unfair methods of competition and unfair acts in the importation of goods into the United States and most frequently involve allegations of patent or trademark infringement.

- *Research:* This covers all activities related to the acquisition, maintenance, and application of analytical and technical trade expertise. This expertise is applied through studies regarding the performance and global competitiveness of various U.S. industries, the impact of changes in trade policy on the overall economy or subsets thereof, trade and competitiveness issues, and the probable economic effect of tariff reductions and trade agreements.

- *Trade Information Services:* This covers a wide range of activities that provide Commission staff, the Congress, the Executive Branch, and the general public with reliable and timely trade information and analysis. These activities include work on legislative reports for Congress, the maintenance and publication of Harmonized Tariff Schedule of the United States, maintenance of a tariff and trade database (Dataweb), and library services. Also included within this operation is the development of trade data compilations tailored for use by U.S. negotiators, and nomenclature expertise and related assistance to U.S. trade negotiating delegations (principally to the World Trade Organization and the World Customs Organization) as well as Congressional staff.

- *Trade Policy Support:* This covers direct support activities for policy makers such as the provision of technical expertise and objective information on trade issues to congressional committees and members' offices, the United States Trade Representative, interagency committees, and U.S. delegations to multilateral organizations.

All of these operations define the output of the Commission, emphasizing the benefits that the Commission provides in facilitating an open trading system based on the rule of law and economic self-interest. Within each operation, specific critical success indicators and strategic goals are identified. The Commission's Strategic Plan, Performance Plan, and Performance Report are available at <http://www.usitc.gov>.

Pursuant to section 175 of the Trade Act of 1974, the budget estimates for the Commission are transmitted to Congress without revision by the President.

Object Classification (in millions of dollars)

Identification code 34-0100-0-1-153	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	28	32	33
11.3 Other than full-time permanent	1		
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	30	33	34
12.1 Civilian personnel benefits	8	9	9
23.1 Rental payments to GSA	5	6	6
25.2 Other services	2	3	3

25.3 Other purchases of goods and services from Government accounts	2	2	2
26.0 Supplies and materials	1	1	1
31.0 Equipment	2	1	1
99.9 Total new obligations	50	55	56

Personnel Summary

Identification code 34-0100-0-1-153	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	357	388	388

JAMES MADISON MEMORIAL FELLOWSHIP FOUNDATION

Trust Funds

JAMES MADISON MEMORIAL FELLOWSHIP TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 95-8282-0-7-502	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.40 Earnings on investments	3	2	3
Appropriations:			
05.00 James Madison Memorial Fellowship Trust Fund	-3	-2	-3
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 95-8282-0-7-502	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Fellowship awards	1	1	1
00.02 Program administration	1	1	1
10.00 Total new obligations	2	2	2
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	37	37	37
22.00 New budget authority (gross)	3	2	3
23.90 Total budgetary resources available for obligation	40	39	40
23.95 Total new obligations	-2	-2	-2
24.40 Unobligated balance carried forward, end of year	37	37	38

New budget authority (gross), detail:

Mandatory:			
60.26 Appropriation (trust fund)	3	2	3

Change in obligated balances:

72.40 Obligated balance, start of year	1		
73.10 Total new obligations	2	2	2
73.20 Total outlays (gross)	-2	-2	-2

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	1	2	2
86.98 Outlays from mandatory balances	1		
87.00 Total outlays (gross)	2	2	2

Net budget authority and outlays:

89.00 Budget authority	3	2	3
90.00 Outlays	3	2	2

Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities: Par value	37	37	37
92.02 Total investments, end of year: Federal securities: Par value	37	37	45

Public Laws 99-500, 101-208, and 102-221 established the James Madison Memorial Fellowship Foundation to operate a fellowship program to encourage graduate study of the framing, principles, and history of the American Constitution. Appropriations of \$10 million in 1988 and 1989 established

JAMES MADISON MEMORIAL FELLOWSHIP TRUST FUND—Continued

the foundation's trust fund. The funds have been invested by the Secretary of the Treasury in U.S. Treasury securities, and the interest earned on these funds is available for carrying out the activities of the foundation. Funds raised from private sources and the surcharges from commemorative coin sales are also placed in the trust fund.

The foundation is authorized to award graduate fellowships of up to \$24,000 to high school teachers of American history, American government, and social studies. College seniors and recent college graduates who want to become secondary school teachers of these subjects are also eligible.

Fellowship awards.—This activity is comprised of fellowship awards to cover educational expenses. It also supports the foundation's annual Summer Institute on the U.S. Constitution, which all current fellows are required to attend. The Institute is an intensive educational experience that will ensure that all fellows know the history of the framing, ratification, and implementation of the U.S. Constitution and the Bill of Rights. The foundation awarded 61 fellowships in 2001 and plans to award at least 65 in both 2002 and 2003.

Program administration.—This activity covers the costs of planning, fund-raising, and the operation of the fellowship program.

Object Classification (in millions of dollars)

Identification code	2001 actual	2002 est.	2003 est.
95-8282-0-7-502			
41.0 Direct obligations: Grants, subsidies, and contributions	1	1	1
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	2	2	2

Personnel Summary

Identification code	2001 actual	2002 est.	2003 est.
95-8282-0-7-502			
1001 Total compensable workyears: Full-time equivalent employment	6	6	6

JAPAN-UNITED STATES FRIENDSHIP COMMISSION

Trust Funds

JAPAN-UNITED STATES FRIENDSHIP TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code	2001 actual	2002 est.	2003 est.
95-8025-0-7-154			
01.99 Balance, start of year	41	41	41
Receipts:			
02.40 Interest on investment in public debt securities	3	3	3
04.00 Total: Balances and collections	44	44	44
Appropriations:			
05.00 Japan-United States friendship trust fund	-3	-3	-3
07.99 Balance, end of year	41	41	41

Program and Financing (in millions of dollars)

Identification code	2001 actual	2002 est.	2003 est.
95-8025-0-7-154			
Obligations by program activity:			
00.01 Grants	2	2	2
00.02 Administration	1	1	1
10.00 Total new obligations	3	3	3
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	3	3	3
23.95 Total new obligations	-3	-3	-3

New budget authority (gross), detail:

Mandatory:			
60.26	Appropriation (trust fund)	3	3

Change in obligated balances:

73.10	Total new obligations	3	3
73.20	Total outlays (gross)	-3	-3

Outlays (gross), detail:

86.97	Outlays from new mandatory authority	3	3
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Net budget authority and outlays:

89.00	Budget authority	3	3
90.00	Outlays	3	3

Memorandum (non-add) entries:

92.01	Total investments, start of year: Federal securities:		
	Par value	42	42
92.02	Total investments, end of year: Federal securities:		
	Par value	42	42

The Japan-United States Friendship Act of 1975 established the Japan-United States Friendship Trust Fund and created the Japan-United States Friendship Commission to make grants for the promotion of scholarly, cultural, and artistic activities between Japan and the United States. The Commission is authorized to make expenditures from the fund in an amount not to exceed 5 percent annually of the fund's original principal to pay Commission expenses and make grants to support Japanese studies in American universities, policy oriented research, faculty and other professional exchanges, public affairs programs, and other cultural and educational activities primarily in the United States.

Object Classification (in millions of dollars)

Identification code	2001 actual	2002 est.	2003 est.
95-8025-0-7-154			
41.0 Direct obligations: Grants, subsidies, and contributions	2	2	2
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	3	3	3

Personnel Summary

Identification code	2001 actual	2002 est.	2003 est.
95-8025-0-7-154			
1001 Total compensable workyears: Full-time equivalent employment	4	5	5

LEGAL SERVICES CORPORATION

Federal Funds

General and special funds:

PAYMENT TO THE LEGAL SERVICES CORPORATION

For payment to the Legal Services Corporation to carry out the purposes of the Legal Services Corporation Act of 1974, as amended, \$329,300,000, of which \$310,000,000 is for basic field programs and required independent audits; [\$2,500,000] \$2,600,000 is for the Office of Inspector General, of which such amounts as may be necessary may be used to conduct additional audits of recipients; [\$12,400,000] \$13,300,000 is for management and administration; and [\$4,400,000] \$3,400,000 is for client self-help and information technology. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code	2001 actual	2002 est.	2003 est.
20-0501-0-1-152			
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	330	329	329
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	330	329	329
23.95 Total new obligations	-330	-329	-329

New budget authority (gross), detail:			
Discretionary:			
40.00	Appropriation	330	329 329
40.77	Reduction pursuant to P.L. 106-554 (0.22 percent)	-1	
43.00	Appropriation (total discretionary)	329	329 329
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	1	
70.00	Total new budget authority (gross)	330	329 329
Change in obligated balances:			
72.40	Obligated balance, start of year	28	37 37
73.10	Total new obligations	330	329 329
73.20	Total outlays (gross)	-320	-329 -334
74.40	Obligated balance, end of year	37	37 32
Outlays (gross), detail:			
86.90	Outlays from new discretionary authority	295	301 301
86.93	Outlays from discretionary balances	25	28 33
87.00	Total outlays (gross)	320	329 334
Offsets:			
Against gross budget authority and outlays:			
88.00	Offsetting collections (cash) from: Federal sources	-1	
Net budget authority and outlays:			
89.00	Budget authority	329	329 329
90.00	Outlays	320	329 334

The Legal Services Corporation distributes appropriated funds to local non-profit organizations that provide free civil legal assistance, according to locally-determined priorities, to people living in poverty. The Congress chartered the corporation as a private, non-profit entity outside of the Federal government.

ADMINISTRATIVE [PROVISIONS] PROVISION—LEGAL SERVICES CORPORATION

None of the funds appropriated in this Act to the Legal Services Corporation shall be expended for any purpose prohibited or limited by, or contrary to any of the provisions of, sections 501, 502, 503, 504, 505, and 506 of Public Law 105-119, and all funds appropriated in this Act to the Legal Services Corporation shall be subject to the same terms and conditions set forth in such sections, except that all references in sections 502 and 503 to 1997 and 1998 shall be deemed to refer instead to [2001] 2002 and [2002] 2003, respectively.

[Section 504(a)(16) of Public Law 104-134 is hereafter amended by striking "if such relief does not involve" and all that follows through "representation."] (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002.*)

MARINE MAMMAL COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Marine Mammal Commission as authorized by title II of Public Law 92-522, as amended, [\$1,957,000] \$1,856,000. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 95-2200-0-1-302	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00	Total new obligations	2	2 2
Budgetary resources available for obligation:			
22.00	New budget authority (gross)	2	2 2
23.95	Total new obligations	-2	-2 -2

New budget authority (gross), detail:			
Discretionary:			
40.00	Appropriation	2	2 2
Change in obligated balances:			
73.10	Total new obligations	2	2 2
73.20	Total outlays (gross)	-1	-2 -2
Outlays (gross), detail:			
86.90	Outlays from new discretionary authority	1	2 2
Net budget authority and outlays:			
89.00	Budget authority	2	2 2
90.00	Outlays	1	2 2

The Commission recommends national and international marine mammal policies; develops scientific and management programs; reviews the status of marine mammal populations; recommends to the Secretaries of Commerce, Interior, and State steps to conserve marine mammals domestically and internationally; and manages a research program.

Object Classification (in millions of dollars)

Identification code 95-2200-0-1-302	2001 actual	2002 est.	2003 est.
11.1	Direct obligations: Personnel compensation: Full-time permanent	1	1 1
99.5	Below reporting threshold	1	1 1
99.9	Total new obligations	2	2 2

Personnel Summary

Identification code 95-2200-0-1-302	2001 actual	2002 est.	2003 est.
1001	Total compensable workyears: Full-time equivalent employment	9	10 10

MERIT SYSTEMS PROTECTION BOARD

Federal Funds

General and special funds:

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out functions of the Merit Systems Protection Board pursuant to Reorganization Plan Numbered 2 of 1978 and the Civil Service Reform Act of 1978, including services as authorized by 5 U.S.C. 3109, rental of conference rooms in the District of Columbia and elsewhere, hire of passenger motor vehicles, and direct procurement of survey printing, [\$30,555,000] \$33,279,000 together with not to exceed [\$2,520,000] \$2,785,000 for administrative expenses to adjudicate retirement appeals to be transferred from the Civil Service Retirement and Disability Fund in amounts determined by the Merit Systems Protection Board. (*Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 41-0100-0-1-805	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01	Adjudication	27	28 28
00.02	Merit system studies	1	1 1
00.03	Management support	3	3 3
09.00	Reimbursable program	3	3 3
10.00	Total new obligations	34	35 35
Budgetary resources available for obligation:			
22.00	New budget authority (gross)	34	35 35
23.95	Total new obligations	-34	-35 -35
New budget authority (gross), detail:			
Discretionary:			
40.00	Appropriation	31	33 33

General and special funds—Continued

SALARIES AND EXPENSES—Continued
(INCLUDING TRANSFER OF FUNDS)—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 41-0100-0-1-805	2001 actual	2002 est.	2003 est.
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	3	3	3
70.00 Total new budget authority (gross)	34	35	35
Change in obligated balances:			
72.40 Obligated balance, start of year	5	11	7
73.10 Total new obligations	34	35	35
73.20 Total outlays (gross)	-28	-39	-35
74.40 Obligated balance, end of year	11	7	7
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	26	34	33
86.93 Outlays from discretionary balances	3	4	3
87.00 Total outlays (gross)	28	39	35
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-3	-3	-3
Net budget authority and outlays:			
89.00 Budget authority	31	33	33
90.00 Outlays	26	36	32

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	29	31	32
90.00 Outlays	24	34	31

Established by the Civil Service Reform Act of 1978, the Board serves as guardian of the Federal Government's merit-based system of employment, principally by hearing and deciding appeals from Federal employees of removals and other major personnel actions. The Board also hears and decides other types of civil service cases, reviews regulations of the Office of Personnel Management, and conducts studies of the merit systems. The intended results (outcomes) of MSPB's efforts are to assure that (1) personnel actions taken involving employees are processed within the law, and (2) actions taken by OPM and other agencies support and enhance Federal merit principles.

The number of decisions issued by the Board is shown in the following table:

DECISIONS ISSUED

	2001 actual	2002 est.	2003 est.
Retirement (legal-disability)	1,923	2,000	2,000
Adverse action appeals	3,466	3,600	3,600
Reduction-in-force appeals	188	300	300
Other	2,982	3,100	3,100

Object Classification (in millions of dollars)

Identification code 41-0100-0-1-805	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	16	17	17
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	17	18	19
12.1 Civilian personnel benefits	5	6	5
23.1 Rental payments to GSA	1	1	1
23.3 Communications, utilities, and miscellaneous charges	2	3	3
25.2 Other services	4	3	4
31.0 Equipment	1	1	1

99.0 Direct obligations	30	30	32
99.0 Reimbursable obligations	3	3	3
99.5 Below reporting threshold	1		
99.9 Total new obligations	34	35	35

Personnel Summary

Identification code 41-0100-0-1-805	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	196	202	202
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	26	26	26

MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION

Federal Funds

General and special funds:

FEDERAL PAYMENT TO MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY TRUST FUND

For payment to the Morris K. Udall Scholarship and Excellence in National Environmental Policy Trust Fund, pursuant to the Morris K. Udall Scholarship and Excellence in National Environmental and Native American Public Policy Act of 1992 (20 U.S.C. 5601 et seq.), \$1,996,000, to remain available until expended: *Provided*, That up to 60 percent of such funds may be transferred by the Morris K. Udall Scholarship and Excellence in National Environmental Policy Foundation for the necessary expenses of the Native Nations Institute: *Provided further*, That not later than 90 days after the date of the enactment of this Act, the Morris K. Udall Scholarship and Excellence in National Environmental Policy Foundation shall submit to the Committees on Appropriations a report describing the distribution of such funds. **(Independent Agencies Appropriations Act, 2002.)**

Program and Financing (in millions of dollars)

Identification code 95-0900-0-1-502	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.3)	2	1	1
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	2	2	2
23.95 Total new obligations	-2	-1	-1
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	2	2	2
Change in obligated balances:			
73.10 Total new obligations	2	1	1
73.20 Total outlays (gross)	-2	-2	-2
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2	2	2
Net budget authority and outlays:			
89.00 Budget authority	2	2	2
90.00 Outlays	2	2	2

The General Fund payment to the Morris K. Udall Fund is invested in Treasury securities with maturities suitable to the needs of the Fund. Interest earnings from the investments are used to carry out the activities of the Morris K. Udall Foundation. The Foundation awards scholarships, fellowships and grants, and funds activities of the Udall Center.

In 2000, Public Law 106-568 authorized the Morris K. Udall Foundation to establish training programs for professionals in health care policy and public policy, such as the Native Nations Institute (NNI). NNI, based at the University of Arizona, will provide Native Americans with leadership

and management training and analyze policies relevant to tribes.

ENVIRONMENTAL DISPUTE RESOLUTION FUND

For payment to the Environmental Dispute Resolution Fund to carry out activities authorized in the Environmental Policy and Conflict Resolution Act of 1998, \$1,309,000, to remain available until expended. (*Independent Agencies Appropriations Act, 2002.*)

Unavailable Collections (in millions of dollars)

Identification code 95-5415-0-2-306	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.20 Fees for services	1	2	2
02.80 Environmental dispute resolution fund, offsetting collections	1		
02.99 Total receipts and collections	2	2	2
Appropriations:			
05.00 Environmental dispute resolution fund	-2	-2	-2
05.99 Total appropriations	-2	-2	-2
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 95-5415-0-2-306	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	4	5	5
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	3	
22.00 New budget authority (gross)	3	3	3
23.90 Total budgetary resources available for obligation	6	6	3
23.95 Total new obligations	-4	-5	-5
24.40 Unobligated balance carried forward, end of year	3		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1	1	1
Mandatory:			
60.20 Appropriation (special fund)	1	2	2
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1		
70.00 Total new budget authority (gross)	3	3	3
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	3
73.10 Total new obligations	4	5	5
73.20 Total outlays (gross)	-3	-3	-3
74.40 Obligated balance, end of year	1	3	5
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2	1	1
86.93 Outlays from discretionary balances	1		
86.97 Outlays from new mandatory authority	1	1	1
87.00 Total outlays (gross)	3	3	3
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-1		
Net budget authority and outlays:			
89.00 Budget authority	2	3	3
90.00 Outlays	3	3	3
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities:			
Par value	3	2	4
92.02 Total investments, end of year: Federal securities:			
Par value	2	4	4

The U.S. Institute for Environmental Conflict Resolution is a Federal program established by P.L. 105-156 to assist

parties in resolving environmental, natural resource, and public lands conflicts. The Institute is part of the Morris K. Udall Foundation, and serves as an impartial, non-partisan institution providing professional expertise, services, and resources to all parties involved in such disputes. The Institute helps parties determine whether collaborative problem solving is appropriate for specific environmental conflicts, how and when to bring all the parties to the table, and whether a third-party facilitator or mediator might be helpful in assisting the parties in their efforts to reach consensus or to resolve the conflict. In addition, the Institute maintains a roster of qualified facilitators and mediators with substantial experience in environmental conflict resolution, and can help parties in selecting an appropriate neutral. (See www.ecr.gov for more information about the Institute.)

Object Classification (in millions of dollars)

Identification code 95-5415-0-2-306	2001 actual	2002 est.	2003 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	1	1	1
99.5 Below reporting threshold	3	4	4
99.9 Total new obligations	4	5	5

Personnel Summary

Identification code 95-5415-0-2-306	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	14	17	17

Trust Funds

MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION

Unavailable Collections (in millions of dollars)

Identification code 95-8615-0-7-502	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	22	24	25
Receipts:			
02.40 General fund payments	2	2	2
02.41 Interest on investments	2	1	1
02.99 Total receipts and collections	4	3	3
04.00 Total: Balances and collections	26	27	28
Appropriations:			
05.00 Morris K. Udall Scholarship fund	-2	-2	-2
07.99 Balance, end of year	24	25	26

Program and Financing (in millions of dollars)

Identification code 95-8615-0-7-502	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	1	1	1
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	25	25	26
22.00 New budget authority (gross)	2	2	2
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	28	27	28
23.95 Total new obligations	-1	-1	-1
24.40 Unobligated balance carried forward, end of year	25	26	27
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)	2	2	2
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	1
73.10 Total new obligations	1	1	1

MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 95-8615-0-7-502	2001 actual	2002 est.	2003 est.
73.20 Total outlays (gross)	-2	-2	-2
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	1	1	1
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	2	2	2
Net budget authority and outlays:			
89.00 Budget authority	2	2	2
90.00 Outlays	1	2	2
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value	26	27	32
92.02 Total investments, end of year: Federal securities: Par value	27	32	32

Public Law 102-259 established the Morris K. Udall Scholarship and Excellence in National Environmental Policy Foundation to provide educational resources to promote studies in the natural environment and Native American public health and tribal policy.

In 2001, the Foundation awarded 80 undergraduate scholarships. Eleven Native American Congressional Summer Internship Program recipients spent ten weeks in Congressional offices and the White House participating in a program created by the Udall Foundation. For the fifth year, the Foundation awarded two Graduate Fellowships to Ph.D. candidates whose dissertation topics were in the area of environmental public policy and conflict resolution and whose work contributed to the mission of the Foundation.

In 2002 and 2003, the Foundation will maintain its current level of scholarships, fellowships and internships.

Personnel Summary

Identification code 95-8615-0-7-502	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	4	4	4

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

Federal Funds

General and special funds:

OPERATING EXPENSES

For necessary expenses in connection with the administration of the National Archives (including the Information Security Oversight Office) and archived Federal records and related activities, as provided by law, and for expenses necessary for the review and declassification of documents, and for the hire of passenger motor vehicles, **[\$244,247,000] \$263,933,000: Provided,** That the Archivist of the United States is authorized to use any excess funds available from the amount borrowed for construction of the National Archives facility, for expenses necessary to provide adequate storage for holdings. **[: Provided further,** That of the funds made available, \$22,302,000 is for the electronic records archive, \$16,337,000 of which shall be available until September 30, 2004. **](1 U.S.C. 106a, 106b, 112, 113, 201; 3 U.S.C. 6, 11-13; 4 U.S.C. 141-146; 5 U.S.C. 552, App. 1; 25 U.S.C. 199a; 44 U.S.C. 710, 711, 729, Chapters 15, 21, 22, 25, 29, 31, 33; Public Law 98-497, Public Law 93-526, Public Law 105-246, Executive Orders 11440, 10530, 11030, 12656, 12829, 12958, 13142, 13233; Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.)**

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Operating Expenses",

\$1,600,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (Emergency Supplemental Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 88-0300-0-1-804	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Records services	177	212	217
00.02 Archives related services	10	12	11
00.04 Archives II facility	24	22	22
00.05 Homeland security—information sharing			7
09.88 Reimbursable program	4	3	3
10.00 Total new obligations	215	249	260
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	15	15	15
22.00 New budget authority (gross)	217	250	260
23.90 Total budgetary resources available for obligation	232	265	275
23.95 Total new obligations	-215	-249	-260
23.98 Unobligated balance expiring or withdrawn	-1		
24.40 Unobligated balance carried forward, end of year	15	15	15

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	216	252	264
40.15 Appropriation (emergency)		2	
40.47 Portion applied to repay debt	-6	-7	-7
43.00 Appropriation (total discretionary)	210	247	257
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	6	3	3
68.10 Change in uncollected customer payments from Federal sources (unexpired)	1		
68.90 Spending authority from offsetting collections (total discretionary)	7	3	3
70.00 Total new budget authority (gross)	217	250	260

Change in obligated balances:

72.40 Obligated balance, start of year	36	49	15
73.10 Total new obligations	215	249	260
73.20 Total outlays (gross)	-202	-283	-244
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-1		
74.10 Change in uncollected customer payments from Federal sources (expired)	1		
74.40 Obligated balance, end of year	49	15	31

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	183	220	228
86.93 Outlays from discretionary balances	19	64	17
87.00 Total outlays (gross)	202	283	244

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-9	-3	-3
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-1		
88.96 Portion of offsetting collections (cash) credited to expired accounts	3		

Net budget authority and outlays:

89.00 Budget authority	210	247	257
90.00 Outlays	193	280	241

Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities: Par value	15	16	16
92.02 Total investments, end of year: Federal securities: Par value	16	16	16

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	203	240	250

90.00	Outlays	186	273	234
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The National Archives and Records Administration (NARA) provides for basic operations dealing with management of the Government's archives and records, operation of Presidential Libraries, and for the review for declassification of classified security information.

Records services.—This activity provides for selecting, preserving, describing, and making available to the general public, scholars, and Federal agencies the permanently valuable historical records of the Federal Government; the historical materials and Presidential records in Presidential Libraries; for preparing related publications and exhibit programs; and for conducting the appraisal of all Federal records.

Through the records declassification program, historically valuable information in the records of the Federal Government and in donated historical materials are made available to the public by declassifying as much information as possible without endangering the national security.

This activity also provides oversight for the information security program established by Executive Order 12958 as amended by Executive Order 13142 and reports annually to the President on the status of that program. It is also responsible for policy oversight for the National Industrial Security Program established under Executive Order 12829.

NARA, in research and development collaboration with national and international partners, is building an Electronic Records Archives (ERA) that will ensure the preservation of and access to Government electronic records. The pace of technological progress makes formats in which the records are stored obsolete within a few years, threatening to make them inaccessible even if they are preserved intact. ERA will preserve electronic records, regardless of the original format, retain them indefinitely, and enable requesters to access them on computer systems now and in the future. In 2003, NARA will also continue work on the Electronic Records Management (ERM) initiative. The ERM will compliment the ERA research by piloting government-wide procedures and standards for managing electronic records.

Archives related services.—This activity provides for the publication of the *Federal Register*, the *Code of Federal Regulations*, the *U.S. Statutes-at-Large*, and Presidential documents, and for a program to improve the quality of regulations and the public's access to them.

This activity also includes the administration and reference services portion for the National Historical Publications and Records Commission. This Commission makes grants nationwide to preserve and publish records that document American history.

Archives II facility.—Provides for construction and related services of the new archival facility which was opened to the public in 1993. Costs of construction are financed by \$302 million of federally guaranteed debt issued in 1989. Since 1994 and continuing in 2003, the Archives seeks appropriations for the annual payments for interest and redemption of debt to be made under the contract for construction and related services.

Homeland security information sharing.—\$7 million will be allocated for training personnel at the state and local level in the proper use and handling of classified and sensitive but unclassified homeland security information. Funding will also be used to facilitate security clearances for appropriate individuals at the state and local level, and to ensure that Federal agencies have the necessary classification authority for homeland security information.

Object Classification (in millions of dollars)

Identification code 88-0300-0-1-804	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	66	75	80
11.3 Other than full-time permanent	2	2	3
11.5 Other personnel compensation	2	2	2
11.9 Total personnel compensation	70	79	85
12.1 Civilian personnel benefits	23	26	27
21.0 Travel and transportation of persons	1	2	3
23.1 Rental payments to GSA	5	5	5
23.3 Communications, utilities, and miscellaneous charges	7	13	13
24.0 Printing and reproduction	2	1	1
25.1 Advisory and assistance services	1	11	16
25.2 Other services	22	22	23
25.3 Other purchases of goods and services from Government accounts	6	4	6
25.4 Operation and maintenance of facilities	26	28	27
25.7 Operation and maintenance of equipment	12	9	10
26.0 Supplies and materials	4	6	6
31.0 Equipment	8	18	13
43.0 Interest and dividends	24	22	22
99.0 Direct obligations	211	246	257
99.0 Reimbursable obligations	4	3	3
99.9 Total new obligations	215	249	260

Personnel Summary

Identification code 88-0300-0-1-804	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	1,259	1,394	1,414
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	58	67	63

REPAIRS AND RESTORATION

For the repair, alteration, and improvement of archives facilities, and to provide adequate storage for holdings, **[\$39,143,000] \$10,458,000**, to remain available until expended **[**: *Provided*, That the Archivist of the United States is authorized, pursuant to 44 U.S.C. 2903, to construct a new Southeast Regional Archives on land to be acquired (Federal site), by direct payment or the provision of site improvements, from the State of Georgia or Clayton County or some other governmental authority thereof; such Federal site to be located near the campus of Clayton College and State University in Clayton County, Georgia, and abut land designated for construction of the Georgia State Archives facility, with both archival facilities co-located on a combined site. Of the funds provided in this account, \$28,500,000 shall be available until expended to be used for acquiring the Federal site, construction, and related services for building the new Federal archival facility, other related costs for improvement of the combined site which may also indirectly benefit the Georgia State Archives facility, and other necessary expenses**]**, of which *\$1,250,000 is for the Military Personnel Records Center preliminary design studies, and \$3,250,000 is for repairs to the Lyndon Baines Johnson Presidential Library Plaza. (Independent Agencies Appropriations Act, 2002.)*

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Repairs and Restoration", \$1,000,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.**]** (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 88-0302-0-1-804	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)	87	40	10

General and special funds—Continued

REPAIRS AND RESTORATION—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 88-0302-0-1-804	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	23	37	37
22.00 New budget authority (gross)	102	40	10
23.90 Total budgetary resources available for obligation	125	77	47
23.95 Total new obligations	-87	-40	-10
24.40 Unobligated balance carried forward, end of year	37	37	37
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	102	39	10
40.15 Appropriation (emergency)		1	
43.00 Appropriation (total discretionary)	102	40	10
Change in obligated balances:			
72.40 Obligated balance, start of year	16	82	36
73.10 Total new obligations	87	40	10
73.20 Total outlays (gross)	-21	-85	-52
74.40 Obligated balance, end of year	82	36	-5
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	9	19	5
86.93 Outlays from discretionary balances	12	67	47
87.00 Total outlays (gross)	21	85	52
Net budget authority and outlays:			
89.00 Budget authority	102	40	10
90.00 Outlays	21	85	52

This account provides for the repair, alteration, and improvement of Archives facilities and Presidential Libraries nationwide, and provides adequate storage for holdings. It will better enable the National Archives to maintain its facilities in proper condition for public visitors, researchers, and employees in NARA facilities, and also maintain the structural integrity of the buildings. Funding requested in 2003 will determine appropriate options for preserving and providing access to 20th century military service records. These funds will allow NARA to complete preliminary design studies and analysis, including work flow and cost estimates, for housing and access options for these massive and valuable records. Technology and facility approaches will be examined. Funding is also requested to assist with the repair of the plaza adjacent to the Lyndon Baines Johnson Presidential Library. This plaza is in need of critical repair due to water damage.

**NATIONAL HISTORICAL PUBLICATIONS AND RECORDS COMMISSION
GRANTS PROGRAM**

For necessary expenses for allocations and grants for historical publications and records as authorized by 44 U.S.C. 2504, as amended, **[\$6,436,000]** \$5,000,000, to remain available until expended. (*Independent Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 88-0301-0-1-804	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	6	6	5
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year		1	
22.00 New budget authority (gross)	6	6	5
23.90 Total budgetary resources available for obligation	6	7	5
23.95 Total new obligations	-6	-6	-5
24.40 Unobligated balance carried forward, end of year	1		

New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	6	6	5
Change in obligated balances:			
72.40 Obligated balance, start of year	10	9	5
73.10 Total new obligations	6	6	5
73.20 Total outlays (gross)	-6	-11	-9
74.40 Obligated balance, end of year	9	5	
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2	5	5
86.93 Outlays from discretionary balances	4	5	5
87.00 Total outlays (gross)	6	11	9
Net budget authority and outlays:			
89.00 Budget authority	6	6	5
90.00 Outlays	6	11	9

National Historical Publications and Records Commission Grants.—This program provides for grants funding that the Commission makes, nationwide, to preserve and publish records that document American history. Administered within the National Archives, which preserves Federal records, the NHPRC helps state, local, and private institutions preserve non-Federal records, helps publish the papers of major figures in American history, and helps archivists and records managers improve their techniques, training, and ability to serve a range of information users.

Intragovernmental fund:

RECORDS CENTER REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 88-4578-0-4-804	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	111	112	123
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	18	19	19
22.00 New budget authority (gross)	110	112	117
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	129	131	136
23.95 Total new obligations	-111	-112	-123
24.40 Unobligated balance carried forward, end of year	19	19	13
New budget authority (gross), detail:			
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	123	112	117
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-13		
68.90 Spending authority from offsetting collections (total discretionary)	110	112	117
Change in obligated balances:			
72.40 Obligated balance, start of year	-3	-3	-2
73.10 Total new obligations	111	112	123
73.20 Total outlays (gross)	-122	-111	-116
73.45 Recoveries of prior year obligations	-1		
74.00 Change in uncollected customer payments from Federal sources (unexpired)	13		
74.40 Obligated balance, end of year	-3	-2	5
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	107	101	106
86.93 Outlays from discretionary balances	15	10	10
87.00 Total outlays (gross)	122	111	116
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-123	-112	-117

Against gross budget authority only:			
88.95	Change in uncollected customer payments from Federal sources (unexpired)	13

Net budget authority and outlays:			
89.00	Budget authority		
90.00	Outlays	-1	-1 -1

The NARA Records Center Revolving Fund utilizes operations effectively by providing services on a standard price basis to Federal agency customers. The fund maintains low cost, quality storage and accession, reference, refile, and disposal services for records stored in service centers.

Object Classification (in millions of dollars)

Identification code 88-4578-0-4-804	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1	Full-time permanent	35	36 37
11.3	Other than full-time permanent	3	4 4
11.5	Other personnel compensation	2	2 2
11.9	Total personnel compensation	40	42 43
12.1	Civilian personnel benefits	13	14 15
22.0	Transportation of things	1	1 1
23.1	Rental payments to GSA	38	40 43
23.3	Communications, utilities, and miscellaneous charges	3	3 3
25.2	Other services	5	4 4
25.3	Other purchases of goods and services from Government accounts	4	3 3
25.7	Operation and maintenance of equipment	2	2 2
26.0	Supplies and materials	1	1 1
31.0	Equipment	2	1 1
32.0	Land and structures	2	1 7
99.9	Total new obligations	111	112 123

Personnel Summary

Identification code 88-4578-0-4-804	2001 actual	2002 est.	2003 est.
2001	Total compensable workyears: Full-time equivalent employment	1,194	1,213 1,213

Trust Funds

NATIONAL ARCHIVES GIFT FUND

Unavailable Collections (in millions of dollars)

Identification code 88-8127-0-7-804	2001 actual	2002 est.	2003 est.
01.99	Balance, start of year		
Receipts:			
02.00	National Archives gift fund	2	2 1
02.40	Interest on investments, National Archives gift fund	1
02.99	Total receipts and collections	3	2 1
Appropriations:			
05.00	National Archives gift fund	-3	-2 -1
07.99	Balance, end of year		

Program and Financing (in millions of dollars)

Identification code 88-8127-0-7-804	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00	Total new obligations (object class 25.2)	4	2 2
Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year	8	7 7
22.00	New budget authority (gross)	3	2 1
23.90	Total budgetary resources available for obligation	11	9 8
23.95	Total new obligations	-4	-2 -2
24.40	Unobligated balance carried forward, end of year	7	7 6

New budget authority (gross), detail:

Mandatory:			
60.26	Appropriation (trust fund)	3	2 1

Change in obligated balances:

72.40	Obligated balance, start of year	2	1 1
73.10	Total new obligations	4	2 2
73.20	Total outlays (gross)	-6	-3 -2
74.40	Obligated balance, end of year	1	1 1

Outlays (gross), detail:

86.97	Outlays from new mandatory authority	3	2 1
86.98	Outlays from mandatory balances	3
87.00	Total outlays (gross)	6	3 2

Net budget authority and outlays:

89.00	Budget authority	3	2 1
90.00	Outlays	4	3 2

Memorandum (non-add) entries:

92.01	Total investments, start of year: Federal securities: Par value	5	4 6
92.02	Total investments, end of year: Federal securities: Par value	4	6 6

The National Archives Trust Fund Board may solicit and accept gifts or bequests of money, securities, or other personal property, for the benefit of or in connection with the national archival and records activities administered by the National Archives and Records Administration (44 U.S.C. 2305).

In accordance with 44 U.S.C. 2112, the Bush Presidential Library received a \$4 million endowment from the Bush Library Foundation. The money was deposited in the gift fund and invested in accordance with established National Archives Trust and Gift Fund procedures. Income earned on the investment will be used to offset a portion of the Library's operation and maintenance costs.

NATIONAL ARCHIVES TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 88-8436-0-8-804	2001 actual	2002 est.	2003 est.
01.99	Balance, start of year		
Receipts:			
02.80	National Archives trust fund, offsetting collections	16	16 15
Appropriations:			
05.00	National Archives trust fund	-16	-16 -15
07.99	Balance, end of year		

Program and Financing (in millions of dollars)

Identification code 88-8436-0-8-804	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01	Sales	10	10 9
09.02	Presidential libraries	6	7 7
10.00	Total new obligations	16	17 16
Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year	10	11 9
22.00	New budget authority (gross)	16	16 15
22.10	Resources available from recoveries of prior year obligations	1
23.90	Total budgetary resources available for obligation	27	27 24
23.95	Total new obligations	-16	-17 -16
24.40	Unobligated balance carried forward, end of year	11	9 6

New budget authority (gross), detail:

Mandatory:			
69.00	Offsetting collections (cash)	16	16 15

Change in obligated balances:

72.40	Obligated balance, start of year	3	2 2
73.10	Total new obligations	16	17 16

NATIONAL ARCHIVES TRUST FUND—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 88-8436-0-8-804	2001 actual	2002 est.	2003 est.
73.20 Total outlays (gross)	-16	-16	-14
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	2	2	4
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	16	16	15
86.98 Outlays from mandatory balances		1	1
87.00 Total outlays (gross)	16	16	14
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-16	-16	-15
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			-1
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value	13	13	
92.02 Total investments, end of year: Federal securities: Par value	13		

The Archivist of the United States furnishes, for a fee, copies of unrestricted records in the custody of the National Archives (44 U.S.C. 2116).

Proceeds from the sale of copies of microfilm publications, reproductions, special works, and other publications, and admission fees to Presidential Library museum rooms are deposited in this fund (44 U.S.C. 2112, 2307).

Object Classification (in millions of dollars)

Identification code 88-8436-0-8-804	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	3	3	3
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	4	4	4
12.1 Civilian personnel benefits	1	1	1
23.3 Communications, utilities, and miscellaneous charges	1	1	1
24.0 Printing and reproduction			1
25.2 Other services	4	3	3
25.3 Other purchases of goods and services from Government accounts	4	5	4
26.0 Supplies and materials	2	2	2
31.0 Equipment		1	
99.9 Total new obligations	16	17	16

Personnel Summary

Identification code 88-8436-0-8-804	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	114	120	120

NATIONAL CAPITAL PLANNING COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses, as authorized by the National Capital Planning Act of 1952 (40 U.S.C. 71-71i), including services as authorized by 5 U.S.C. 3109, **[\$7,253,000] \$7,546,000: Provided,** That all appointed members of the Commission will be compensated at a rate not to exceed the daily equivalent of the annual rate of pay for positions at level IV of the Executive Schedule for each day such member is engaged in the actual performance of duties. (Depart-

ment of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States for "Salaries and Expenses" of the National Capital Planning Commission, \$758,000, to be obligated from amounts made available in Public Law 107-38.] (Emergency Supplemental Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 95-2500-0-1-451	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	7	8	7
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	8	8	7
23.95 Total new obligations	-7	-8	-7
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	7	8	7
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1		
70.00 Total new budget authority (gross)	8	8	7
Change in obligated balances:			
72.40 Obligated balance, start of year	3		
73.10 Total new obligations	7	8	7
73.20 Total outlays (gross)	-11	-8	-7
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	8	8	7
86.93 Outlays from discretionary balances	3		
87.00 Total outlays (gross)	11	8	7
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-1		
Net budget authority and outlays:			
89.00 Budget authority	7	8	7
90.00 Outlays	9	8	7

Identification code 95-2500-0-1-451	2001 actual	2002 est.	2003 est.
Object Classification (in millions of dollars)			
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	4	5	5
23.1 Rental payments to GSA	1	1	1
25.1 Advisory and assistance services	1	2	1
99.0 Direct obligations	6	8	7
99.5 Below reporting threshold	1		
99.9 Total new obligations	7	8	7

Identification code 95-2500-0-1-451	2001 actual	2002 est.	2003 est.
Personnel Summary			
1001 Total compensable workyears: Full-time equivalent employment	51	57	57

The National Capital Planning Commission (NCPC) is the central planning agency for the Federal government in the National Capital Region. It develops long-range plans and conducts project reviews in order to ensure the orderly development of the National Capital Region. In 2003, NCPC will work with its federal partners and the District of Columbia to fully implement the National Capital Urban Design and Security Plan approved in 2002. This plan will serve as a guide to federal agencies in the design and construction of streetscape projects that will enhance the security of federal facilities and preserve the historic design of the nation's capital.

Object Classification (in millions of dollars)

Identification code 95-2500-0-1-451	2001 actual	2002 est.	2003 est.
Personnel Summary			
1001 Total compensable workyears: Full-time equivalent employment	51	57	57

**NATIONAL COMMISSION ON LIBRARIES AND
INFORMATION SCIENCE**

Federal Funds

General and special funds:

[SALARIES AND EXPENSES]

[For necessary expenses for the National Commission on Libraries and Information Science, established by the Act of July 20, 1970 (Public Law 91-345, as amended), \$1,000,000.] (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 95-2700-0-1-503	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	2	1	
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	1	1	
23.95 Total new obligations	-2	-1	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1	1	
Change in obligated balances:			
72.40 Obligated balance, start of year	1	2	1
73.10 Total new obligations	2	1	
73.20 Total outlays (gross)	-1	-2	-1
74.40 Obligated balance, end of year	2	1	
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		1	
86.93 Outlays from discretionary balances	-1		
87.00 Total outlays (gross)	1	2	1
Net budget authority and outlays:			
89.00 Budget authority	1	1	
90.00 Outlays	1	2	1

The Commission has been responsible for developing plans and recommendations for meeting the library and information needs of the Nation, for coordinating Federal, State, and local activities to meet these needs, for advising the President and the Congress on implementation of national and international library and information services policies, and for providing advice on general policies about library services under the Museum and Library Services Act.

The Administration requests no funding for this agency in 2003. The Administration believes that other agencies can take on the responsibilities of the Commission that continue to be necessary. Its other activities have failed to demonstrate that their results justify their costs.

Object Classification (in millions of dollars)

Identification code 95-2700-0-1-503	2001 actual	2002 est.	2003 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	1	1	
99.5 Below reporting threshold	1		
99.9 Total new obligations	2	1	

Personnel Summary

Identification code 95-2700-0-1-503	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	8	8	

NATIONAL COUNCIL ON DISABILITY

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For expenses necessary for the National Council on Disability as authorized by title IV of the Rehabilitation Act of 1973, as amended, **[\$2,830,000] \$2,884,271.** (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act of 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 95-3500-0-1-506	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	2	3	3
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	3	3	3
23.95 Total new obligations	-2	-3	-3
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	3	3	3
Change in obligated balances:			
72.40 Obligated balance, start of year	2	2	3
73.10 Total new obligations	2	3	3
73.20 Total outlays (gross)	-3	-3	-3
74.40 Obligated balance, end of year	2	3	3
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	3	3	3
Net budget authority and outlays:			
89.00 Budget authority	3	3	3
90.00 Outlays	2	3	3

The National Council on Disability (NCD) is composed of 15 members appointed by the President and confirmed by the U.S. Senate. Established under the Rehabilitation Act of 1973, as amended, the NCD is responsible for reviewing the Federal Government's laws, programs, and policies which affect people with disabilities. The NCD also makes recommendations on issues affecting individuals with disabilities and their families to the President, Congress, the Rehabilitation Services Administration, the National Institute on Disability and Rehabilitation Research, and other Federal Departments and agencies.

Object Classification (in millions of dollars)

Identification code 95-3500-0-1-506	2001 actual	2002 est.	2003 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	1	1	1
99.5 Below reporting threshold	1	2	2
99.9 Total new obligations	2	3	3

Personnel Summary

Identification code 95-3500-0-1-506	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	11	13	13

NATIONAL CREDIT UNION ADMINISTRATION

Federal Funds

Public enterprise funds:

OPERATING FUND

Program and Financing (in millions of dollars)

Identification code 25-4056-0-3-373	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Examination and supervision	85	98	97
09.03 Administration	43	52	52
09.99 Total reimbursable program	128	150	149
10.00 Total new obligations	128	150	149
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	-7	-33	-33
22.00 New budget authority (gross)	100	150	149
23.90 Total budgetary resources available for obligation	93	117	116
23.95 Total new obligations	-128	-150	-149
24.40 Unobligated balance carried forward, end of year	-33	-33	-33
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	100	150	149
Change in obligated balances:			
72.40 Obligated balance, start of year	28	56	56
73.10 Total new obligations	128	150	149
73.20 Total outlays (gross)	-100	-150	-149
74.40 Obligated balance, end of year	61	61	61
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	100	150	149
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-84	-100	-99
88.40 Non-Federal sources	-16	-50	-50
88.90 Total, offsetting collections (cash)	-100	-150	-149
Net budget authority and outlays:			
89.00 Budget authority		1	
90.00 Outlays	-1	1	
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value	26	28	28
92.02 Total investments, end of year: Federal securities: Par value	28	28	28

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
90.00 Outlays	-1		

Federal credit unions are privately owned, cooperative associations organized for the purpose of promoting thrift among their members and creating a source of credit for provident or productive purposes, authorized by the Federal Credit Union Act of 1934, as amended.

The Administration's activities consist of: (a) Insuring deposits of Federal credit unions, (b) chartering new Federal credit unions, (c) making periodic examinations of their financial condition and operating practices, and (d) providing administrative services. The operating fund is reimbursed for the insurance fund's share of the agency's administrative expenses by the insurance fund. The reimbursement percentage, which is reviewed and adjusted periodically, is currently at 66.72 percent. Data relating to activities are shown below:

Item:	2001 actual	2002 est.	2003 est.
Number of new Federal credit unions chartered	7	6	6
Number of operating Federal credit unions	6230	6043	5862
Assets of Federal credit unions as of June 30 (in millions)	\$262,574	\$270,077	\$284,000

Object Classification (in millions of dollars)

Identification code 25-4056-0-3-373	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	76	83	82
11.3 Other than full-time permanent	3	3	3
11.9 Total personnel compensation	79	86	85
12.1 Civilian personnel benefits	24	27	26
21.0 Travel and transportation of persons	12	13	13
23.3 Communications, utilities, and miscellaneous charges	3	4	4
24.0 Printing and reproduction	1	1	1
25.2 Other services	8	18	19
31.0 Equipment	1	1	1
99.9 Total new obligations	128	150	149

Personnel Summary

Identification code 25-4056-0-3-373	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	996	995	975

CREDIT UNION SHARE INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 25-4468-0-3-373	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Operating expenses:			
00.01 Payments to the operating fund for services and facilities	81	97	96
00.03 Other	2	2	3
00.91 Total operating expenses	83	99	99
01.01 Insurance Premium Rebate	99		100
01.02 Liquidation Expenses	16	16	18
01.91 Direct Program	115	16	118
10.00 Total new obligations	198	115	217
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4,515	4,929	5,376
22.00 New budget authority (gross)	612	562	578
23.90 Total budgetary resources available for obligation	5,127	5,491	5,954
23.95 Total new obligations	-198	-115	-217
24.40 Unobligated balance carried forward, end of year	4,929	5,376	5,737

New budget authority (gross), detail:

Mandatory:			
69.00 Offsetting collections (cash)	612	562	578

Change in obligated balances:

72.40 Obligated balance, start of year	-185	-399	-393
73.10 Total new obligations	198	115	217
73.20 Total outlays (gross)	-412	-109	-212
74.40 Obligated balance, end of year	-399	-393	-388

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	412	109	212
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Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on Federal securities	-238	-248	-207
Non-Federal sources:			
88.40 Deposit from members	-373	-302	-371
88.40 Recoveries on assets acquired		-5	
88.40 Other interest income	-1	-7	
88.90 Total, offsetting collections (cash)	-612	-562	-578

Net budget authority and outlays:

89.00 Budget authority			
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90.00	Outlays	-200	-453	-366
Memorandum (non-add) entries:				
92.01	Total investments, start of year: Federal securities:			
	Par value	4,346	4,543	4,916
92.02	Total investments, end of year: Federal securities:			
	Par value	4,543	4,916	5,321

Status of Guaranteed Loans (in millions of dollars)

Identification code 25-4468-0-3-373	2001 actual	2002 est.	2003 est.	
Position with respect to appropriations act limitation on commitments:				
2111	Limitation on guaranteed loans made by private lenders			
2131	Guaranteed loan commitments exempt from limitation	4	3	4
2150	Total guaranteed loan commitments	4	3	4
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	4	7	9
2231	Disbursements of new guaranteed loans	4	3	4
2251	Repayments and prepayments	-1	-1	-6
2290	Outstanding, end of year	7	9	7
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	7	9	7

The insurance fund is used to carry out a program of insurance for member accounts in Federal credit unions and State-chartered credit unions which apply and qualify for insurance, authorized by Public Law 91-468, enacted October 19, 1970.

Budget program.—The activities consist of: (a) providing member account insurance, (b) formulating standards and requirements for insured credit unions, and (c) providing for liquidation or other disposition of the assets and liabilities of solvent and insolvent insured credit unions. The fund also reimburses the operating fund for its share of the Agency's administrative costs. The reimbursement percentage, which is reviewed and adjusted periodically, is currently at 66.72 percent for 2001, and will be 62 percent for 2002.

The extent of the program is estimated as follows:

Item:	2001 actual	2002 est.	2003 est.
Number of insured credit unions	10,145	9,841	9,545
Insured shares of member institutions as of June 30 (in millions of dollars)	\$386,624	\$402,089	\$418,173

It is estimated that approximately 3,914 State-chartered credit unions will be enrolled in the program by the end of 2002.

Financing.—For insurance year 2001 there was no annual insurance premium assessment. As a result of Public Law 98-369 (July 18, 1984), each insured credit union is also required to deposit and maintain in the insurance fund 1 percent of its member share accounts. The fund is structured to be entirely self supporting through the monies paid by member credit unions. The monies received plus the income generated from their investment are expected to cover all administrative and financial costs, as well as increase the fund balance proportionate to insured share growth. In 2001 the income generated from the 1 percent deposit eliminated the need to assess a premium. The fund has \$100 million in borrowing authority from the Treasury for use in unforeseen emergencies. The reserve requirement was changed in 2000 due to the provisions of the Credit Union Membership Access Act (P.L. 105-219), which requires the normal operating level, an equity ratio specified by the Board, to be not less than 1.2 percent and not more than 1.5 percent. For 2001, the Board set the normal operating level at 1.3 percent prior to the beginning of the calendar year.

Operating results.—Anticipated net income of \$175 million will be retained in the fund, raising the balance to \$5.3 billion by the end of 2002.

Object Classification (in millions of dollars)

Identification code 25-4468-0-3-373	2001 actual	2002 est.	2003 est.	
25.2	Other services	83	99	99
42.0	Insurance claims and indemnities	16	16	18
44.0	Refunds	99		100
99.9	Total new obligations	198	115	217

CENTRAL LIQUIDITY FACILITY

(INCLUDING TRANSFER OF FUNDS)

During fiscal year [2002] 2003, gross obligations of the Central Liquidity Facility for the principal amount of new direct loans to member credit unions, as authorized by 12 U.S.C. 1795 et seq., shall not exceed \$1,500,000,000: *Provided*, That administrative expenses of the Central Liquidity Facility shall not exceed \$309,000: *Provided further*, That \$1,000,000 shall be transferred to the Community Development Revolving Loan Fund, of which [\$650,000] \$700,000, together with amounts of principal and interest on loans repaid, shall be available until expended for loans to community development credit unions, and [\$350,000] \$300,000 shall be available until expended for technical assistance to low-income and community development credit unions. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 25-4470-0-3-373	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
09.03	Dividends on capital stock	49	57	62
09.09	Operating Expenses—subtotal	49	57	62
Capital Investment:				
09.11	Net loans to credit unions, total Capital investment, funded	66	60	60
09.19	Total capital investment—subtotal	66	60	60
10.00	Total new obligations	115	117	122
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	116	119	122
23.95	Total new obligations	-115	-117	-122
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	1	1	1
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	115	118	121
70.00	Total new budget authority (gross)	116	119	122
Change in obligated balances:				
73.10	Total new obligations	115	117	122
73.20	Total outlays (gross)	-115	-119	-121
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	115	119	121
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
Non-Federal sources:				
88.40	Non-Federal Capital Stock Purchases	-63	-66	-67
88.40	Non-Federal sources	-52	-52	-54
88.90	Total, offsetting collections (cash)	-115	-118	-121
Net budget authority and outlays:				
89.00	Budget authority	1	1	1
90.00	Outlays	1	1	

Public enterprise funds—Continued

**CENTRAL LIQUIDITY FACILITY—Continued
(INCLUDING TRANSFER OF FUNDS)—Continued**

Status of Direct Loans (in millions of dollars)

Identification code 25-4470-0-3-373	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans	20,700	1,500	1,500
1142 Unobligated direct loan limitation (-)	-20,700	-1,500	-1,500
1150 Total direct loan obligations			

The National Credit Union Central Liquidity Facility was established under Public Law 95-630. It began operations on October 1, 1979. The Central Liquidity Facility provides loans to member credit unions for seasonal and emergency needs.

The two primary sources of funds for the Facility are stock subscriptions from credit unions and borrowings from the Federal Financing Bank. Credit unions, which choose to become members of the Facility, are required to purchase stock equal to one-half of 1 percent of their assets. One-half of the subscription in stock is forwarded to the Facility and deposited in the fund. The remaining half of the subscription remains on call in the credit union in investments as approved by the NCUA Board.

Object Classification (in millions of dollars)

Identification code 25-4470-0-3-373	2001 actual	2002 est.	2003 est.
33.0 Investments and loans	66	60	60
43.0 Interest and dividends	49	57	62
99.9 Total new obligations	115	117	122

Personnel Summary

Identification code 25-4470-0-3-373	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	2	2	2

COMMUNITY DEVELOPMENT CREDIT UNION REVOLVING LOAN FUND

Program and Financing (in millions of dollars)

Identification code 25-4472-0-3-373	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 33.0)	3	5	4
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	2	1
22.00 New budget authority (gross)	4	4	5
23.90 Total budgetary resources available for obligation	5	6	6
23.95 Total new obligations	-3	-5	-4
24.40 Unobligated balance carried forward, end of year	2	1	2
New budget authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1	1	1
Mandatory:			
69.00 Offsetting collections (cash)	3	3	4
70.00 Total new budget authority (gross)	4	4	5
Change in obligated balances:			
72.40 Obligated balance, start of year		1	5
73.10 Total new obligations	3	5	4
73.20 Total outlays (gross)	-2	-1	-4
74.40 Obligated balance, end of year	1	5	5
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	3	1	1

86.98 Outlays from mandatory balances			1
87.00 Total outlays (gross)	2	1	4

Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-1	-1	-1
88.40 Non-Federal sources	-3	-3	-4
88.90 Total, offsetting collections (cash)	-4	-4	-5

Net budget authority and outlays:

89.00 Budget authority			
90.00 Outlays	-1	-3	-1

Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities:			
Par value		2	
92.02 Total investments, end of year: Federal securities:			
Par value	2		1

Status of Direct Loans (in millions of dollars)

Identification code 25-4472-0-3-373	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans			
1131 Direct loan obligations exempt from limitation	10	14	15
1150 Total direct loan obligations	10	14	15
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	11	10	14
1231 Disbursements: Direct loan disbursements	2	7	5
1251 Repayments: Repayments and prepayments	-3	-3	-4
1290 Outstanding, end of year	10	14	15

Public Law 99-609, enacted on November 6, 1986, transferred the Community Development Credit Union Revolving Loan Fund from the Department of Health and Human Services to the National Credit Union Administration. The NCUA disbursed loans of \$3 million in 2001 and plans to disburse \$6 million in 2002.

NATIONAL EDUCATION GOALS PANEL

Federal Funds

General and special funds:

[NATIONAL EDUCATION GOALS PANEL]

[For expenses necessary for costs associated with the termination of the National Education Goals Panel, \$400,000.] (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriation Act of 2002.*)

Program and Financing (in millions of dollars)

Identification code 95-2650-0-1-503	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 99.5)	1		
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	2		
23.95 Total new obligations	-1		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	2		
Change in obligated balances:			
72.40 Obligated balance, start of year	2	1	
73.10 Total new obligations	1		
73.20 Total outlays (gross)	-2	-1	
74.40 Obligated balance, end of year	1		
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1		

86.93	Outlays from discretionary balances	1	1
87.00	Total outlays (gross)	2	1
Net budget authority and outlays:				
89.00	Budget authority	2
90.00	Outlays	2	1

The bipartisan National Education Goals Panel was an independent agency responsible for reporting on progress toward the National Education Goals. Its mission is complete, therefore no new appropriation is requested.

Personnel Summary

Identification code 95-2650-0-1-503		2001 actual	2002 est.	2003 est.
1001	Total compensable workyears: Full-time equivalent employment	7	2

NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES

Federal Funds

General and special funds:

NATIONAL ENDOWMENT FOR THE ARTS
GRANTS AND ADMINISTRATION

For necessary expenses to carry out the National Foundation on the Arts and the Humanities Act of 1965, as amended, **[\$98,234,000]** \$100,382,000 shall be available to the National Endowment for the Arts for the support of projects and productions in the arts through assistance to organizations and individuals pursuant to sections 5(c) and 5(g) of the Act, for program support, and for administering the functions of the Act, to remain available until expended: *Provided*, That funds previously appropriated to the National Endowment for the Arts "Matching Grants" account may be transferred to and merged with this account. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Unavailable Collections (in millions of dollars)

Identification code 59-0100-0-1-503		2001 actual	2002 est.	2003 est.
01.99	Balance, start of year
Receipts:				
02.00	Gifts and donations	1	1	1
Appropriations:				
05.00	Gifts fund	-1	-1	-1
07.99	Balance, end of year

Program and Financing (in millions of dollars)

Identification code 59-0100-0-1-503		2001 actual	2002 est.	2003 est.
Obligations by program activity:				
Obligations by Program Activity:				
00.01	Promotion of the arts	81	80	80
00.03	Program Support	1	1	1
00.04	Salaries and Expenses	18	19	20
00.91	Subtotal	100	100	101
01.02	Permanent Authority	1	1	1
09.00	Reimbursable program	5	5	4
10.00	Total new obligations	106	106	106
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	3	2	1
22.00	New budget authority (gross)	105	105	105
22.10	Resources available from recoveries of prior year obligations	2
23.90	Total budgetary resources available for obligation	110	107	106
23.95	Total new obligations	-106	-106	-106
24.40	Unobligated balance carried forward, end of year	2	1	1

New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	99	99	100
Mandatory:				
60.26	Appropriation (trust fund)	1	1	1
Discretionary:				
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	5	5	4
70.00	Total new budget authority (gross)	105	105	105

Change in obligated balances:				
72.40	Obligated balance, start of year	101	97	96
73.10	Total new obligations	106	106	106
73.20	Total outlays (gross)	-108	-108	-107
73.40	Adjustments in expired accounts (net)	-1
73.45	Recoveries of prior year obligations	-2
74.40	Obligated balance, end of year	97	96	95

Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	35	35	40
86.93	Outlays from discretionary balances	72	72	66
86.97	Outlays from new mandatory authority	1	1	1
87.00	Total outlays (gross)	108	108	107

Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-5	-5	-4

Net budget authority and outlays:				
89.00	Budget authority	100	100	99
90.00	Outlays	103	103	103

Memorandum (non-add) entries:				
92.02	Total investments, end of year: Federal securities: Par value

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)				
		2001 actual	2002 est.	2003 est.

Net budget authority and outlays:				
89.00	Budget authority	99	99	98
90.00	Outlays	102	102	102

The National Endowment for the Arts provides grants to, or contracts with, groups, individuals of exceptional talent in specified fields, and State or regional organizations engaged in or concerned with the arts in service to the American public. Programs encourage individual and institutional development of the arts, education in the arts, preservation of America's artistic heritage, wider availability and appreciation of the arts, leadership in the arts, and the stimulation of non-Federal sources of support for the Nation's artistic activities.

This presentation includes Gifts and Donations and the Arts and Artifacts Indemnity Fund which previously had been shown separately.

The National Foundation on the Arts and the Humanities Act of 1965, as amended, authorizes the Arts Endowment to receive money and other donated property. Such gifts may be used, sold, or otherwise disposed of to support arts projects and activities. Budget authority in this schedule reflects cash received each year by the Arts Endowment.

The Arts and Artifacts Indemnity Act of 1975, as amended authorizes the Federal Council on the Arts and Humanities to enter into indemnity agreements to cover certain eligible works of art while on traveling exhibition in the United States or abroad. Loss or damage claims certified by the Council are paid from this fund.

Object Classification (in millions of dollars)

Identification code 59-0100-0-1-503		2001 actual	2002 est.	2003 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	9	9	10

General and special funds—Continued

NATIONAL ENDOWMENT FOR THE ARTS—Continued

GRANTS AND ADMINISTRATION—Continued

Object Classification (in millions of dollars)—Continued

Identification code 59-0100-0-1-503	2001 actual	2002 est.	2003 est.
11.3 Other than full-time permanent	2	2	2
11.9 Total personnel compensation	11	11	12
12.1 Civilian personnel benefits	3	3	3
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	2	2	2
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	1	2	2
31.0 Equipment	1	1	1
41.0 Grants, subsidies, and contributions	81	80	80
99.0 Direct obligations	101	101	101
99.0 Reimbursable obligations	5	5	4
99.9 Total new obligations	106	106	106

Personnel Summary

Identification code 59-0100-0-1-503	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	151	150	150

CHALLENGE AMERICA ARTS FUND

CHALLENGE AMERICA GRANTS

For necessary expenses as authorized by Public Law 89-209, as amended, \$17,000,000 for support for arts education and public outreach activities, to be administered by the National Endowment for the Arts, to remain available until expended. (*Department of the Interior and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 59-0400-0-1-503	2001 actual	2002 est.	2003 est.
Promotion of the Arts:			
Obligations by program activity:			
00.01 Challenge America	7	17	17
10.00 Total new obligations (object class 41.0)	7	17	17
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	7	17	17
23.95 Total new obligations	-7	-17	-17
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	7	17	17
Change in obligated balances:			
72.40 Obligated balance, start of year		5	13
73.10 Total new obligations	7	17	17
73.20 Total outlays (gross)	-2	-9	-16
74.40 Obligated balance, end of year	5	13	14
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2	6	6
86.93 Outlays from discretionary balances		4	11
87.00 Total outlays (gross)	2	9	16
Net budget authority and outlays:			
89.00 Budget authority	7	17	17
90.00 Outlays	2	9	16

Federal Funds

General and special funds:

NATIONAL ENDOWMENT FOR THE HUMANITIES

GRANTS AND ADMINISTRATION

For necessary expenses to carry out the National Foundation on the Arts and the Humanities Act of 1965, as amended, **[\$108,382,000]** \$110,771,000, shall be available to the National Endowment for the Humanities for support of activities in the humanities, pursuant to section 7(c) of the Act, and for administering the functions of the Act, to remain available until expended.

MATCHING GRANTS

To carry out the provisions of section 10(a)(2) of the National Foundation on the Arts and the Humanities Act of 1965, as amended, \$16,122,000, to remain available until expended, of which **[\$12,122,000]** \$10,436,000 shall be available to the National Endowment for the Humanities for the purposes of section 7(h): *Provided*, That this appropriation shall be available for obligation only in such amounts as may be equal to the total amounts of gifts, bequests, and devises of money, and other property accepted by the chairman or by grantees of the Endowment under the provisions of subsections 11(a)(2)(B) and 11(a)(3)(B) during the current and preceding fiscal years for which equal amounts have not previously been appropriated. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Unavailable Collections (in millions of dollars)

Identification code 59-0200-0-1-503	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.00 Gifts and donations	2	1	1
Appropriations:			
05.00 Grants and administration	-2	-1	-1
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 59-0200-0-1-503	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Promotion of the humanities	106	106	106
00.02 Administration	19	21	22
09.00 Reimbursable program	1	1	1
10.00 Total new obligations	126	128	129
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4	3	4
22.00 New budget authority (gross)	124	128	129
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	129	131	133
23.95 Total new obligations	-126	-128	-129
24.40 Unobligated balance carried forward, end of year	3	4	5
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	121	126	127
Mandatory:			
60.26 Appropriation (trust fund)	2	1	1
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1	1	1
70.00 Total new budget authority (gross)	124	128	129
Change in obligated balances:			
72.40 Obligated balance, start of year	93	97	98
73.10 Total new obligations	126	128	129
73.20 Total outlays (gross)	-121	-126	-127
73.40 Adjustments in expired accounts (net)	-1		
73.45 Recoveries of prior year obligations	-1		
74.40 Obligated balance, end of year	97	98	99
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	120	124	122
86.93 Outlays from discretionary balances	1	1	4

86.97	Outlays from new mandatory authority	1	1	1
87.00	Total outlays (gross)	121	126	127
Offsets:				
88.00	Against gross budget authority and outlays: Offsetting collections (cash) from: Federal sources	-1	-1	-1
Net budget authority and outlays:				
89.00	Budget authority	123	127	128
90.00	Outlays	119	125	126
Memorandum (non-add) entries:				
92.01	Total investments, start of year: Federal securities: Par value	1	1	1
92.02	Total investments, end of year: Federal securities: Par value	1	1	1

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	122	126	127
90.00	Outlays	119	124	125

The National Endowment for the Humanities supports educational and scholarly activities in the humanities, the preservation of America's cultural and intellectual resources, and opportunities for Americans to engage in lifelong learning in the humanities. In 2003, the NEH will continue with important activities including partnerships with State humanities councils, efforts to preserve brittle books and serials, the strengthening of humanities teaching and learning, and museum exhibitions, documentary media projects, and reading programs that reach popular audiences. The Endowment will also strengthen its efforts to collect, analyze, and disseminate information on the state of the humanities.

Support is provided through outright grants, matching grants, and a combination of the two. Eligible applicants include state humanities councils, schools, higher education institutions, libraries, museums, historical organizations, professional associations, other cultural institutions, and individuals.

This presentation also includes the Gifts and Donations account. The National Foundation on the Arts and the Humanities Act of 1965, as amended, authorizes the Humanities Endowment to receive money and other donated property. Such gifts may be used, sold, or otherwise disposed of to support humanities projects and activities. Budget authority in this schedule reflects cash received each year by the Endowment.

Object Classification (in millions of dollars)

Identification code 59-0200-0-1-503	2001 actual	2002 est.	2003 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	11	11	12
12.1	Civilian personnel benefits	4	4	4
23.1	Rental payments to GSA	2	2	2
25.2	Other services	1	1	1
41.0	Grants, subsidies, and contributions	106	106	106
99.0	Direct obligations	124	124	125
99.0	Reimbursable obligations	1	1	1
99.5	Below reporting threshold	1	3	3
99.9	Total new obligations	126	128	129

Personnel Summary

Identification code 59-0200-0-1-503	2001 actual	2002 est.	2003 est.	
Direct:				
1001	Total compensable workyears: Full-time equivalent employment	165	170	170

Reimbursable:				
2001	Total compensable workyears: Full-time equivalent employment	3	5	5

ADMINISTRATIVE PROVISION

An administrative provision affecting this agency follows the Institute of Museum and Library Services.

INSTITUTE OF MUSEUM AND LIBRARY SERVICES

Federal Funds

General and special funds:

OFFICE OF MUSEUM SERVICES: GRANTS AND ADMINISTRATION

For carrying out subtitle C of the Museum and Library Services Act of 1996, as amended, **[\$26,899,000]** \$29,022,000, to remain available until expended. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing language required.*)

Program and Financing (in millions of dollars)

Identification code 59-0300-0-1-503	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
00.01	Assistance for museums	23	24	25
00.02	Administration	2	3	4
10.00	Total new obligations	25	27	29
Budgetary resources available for obligation:				
21.40	Unobligated balance carried forward, start of year	1	1
22.00	New budget authority (gross)	25	27	29
23.90	Total budgetary resources available for obligation	26	28	29
23.95	Total new obligations	-25	-27	-29
24.40	Unobligated balance carried forward, end of year	1
New budget authority (gross), detail:				
Discretionary:				
40.00	Appropriation	25	27	29
Change in obligated balances:				
72.40	Obligated balance, start of year	35	37	29
73.10	Total new obligations	25	27	29
73.20	Total outlays (gross)	-24	-35	-36
74.40	Obligated balance, end of year	37	29	22
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority	24	26	22
86.93	Outlays from discretionary balances	10	14
87.00	Total outlays (gross)	24	35	36
Net budget authority and outlays:				
89.00	Budget authority	25	27	29
90.00	Outlays	24	35	36
Memorandum (non-add) entries:				
92.02	Total investments, end of year: Federal securities: Par value

The Office of Museum Services, within the Institute of Museum and Library Services, provides competitive grants to a broad range of museums which exhibit both living and non-living collections and to support collaborative activities between museums and libraries. Its programs help museums improve the quality of their programs and operations to better exhibit, preserve, and teach about our cultural, historic, and scientific heritage. This presentation includes the Gifts and Donations Account.

Object Classification (in millions of dollars)

Identification code 59-0300-0-1-503	2001 actual	2002 est.	2003 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	1	1	2
25.2	Other services	1	1	1

General and special funds—Continued

OFFICE OF MUSEUM SERVICES: GRANTS AND ADMINISTRATION—
Continued

Object Classification (in millions of dollars)—Continued

Identification code 59-0300-0-1-503	2001 actual	2002 est.	2003 est.
41.0 Grants, subsidies, and contributions	23	24	25
99.0 Direct obligations	25	26	28
99.5 Below reporting threshold		1	1
99.9 Total new obligations	25	27	29

Personnel Summary

Identification code 59-0300-0-1-503	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	19	21	21

INSTITUTE OF MUSEUM AND LIBRARY SERVICES

OFFICE OF LIBRARY SERVICES: GRANTS AND ADMINISTRATION

For carrying out subtitle B of the Museum and Library Services Act, \$181,720,000. [\$197,602,000: *Provided*, That of the amount provided, \$2,000,000 shall be awarded to the National Museum of African American History and Culture Plan for Action Presidential Commission, \$250,000 shall be awarded to American Village Project in Montevallo, Alabama, \$20,000 shall be awarded to Evergreen-Conecuh Public Library, Alabama, \$50,000 shall be awarded to Gordo Public Library, Pickens County Commission, Alabama, \$300,000 shall be awarded to Mobile Museum of Art, Mobile, Alabama, \$1,500,000 shall be awarded to National Museum for Women in the Arts, \$300,000 shall be awarded to Tuskegee Human and Civil Rights Multicultural Center, \$50,000 shall be awarded to Heard Museum, Phoenix, Arizona, \$800,000 shall be awarded to Children's Museum of Los Angeles, California, \$150,000 shall be awarded to Chinese American Museum, Los Angeles, California, \$750,000 shall be awarded to Natural History Museum of Los Angeles County, California, \$290,000 Santa Barbara Maritime Museum, \$25,000 Santa Maria Valley Discovery Museum, California, \$1,000,000 shall be awarded to The Fine Arts Museums of San Francisco, \$150,000 shall be awarded to Bethel Public Library, Connecticut, \$500,000 shall be awarded to Mattatuck Museum in Waterbury, Connecticut, \$250,000 shall be awarded to Museum of Aviation, Warner Robins, Georgia, \$700,000 shall be awarded to Bishops Museum in Honolulu, Hawaii, \$500,000 shall be awarded to Grout Museum in Waterloo, Iowa, \$61,000 shall be awarded to Iowa State Historical Society, \$389,000 shall be awarded to The National Audobon Society's ARK Museum in Dubuque, Iowa, \$750,000 shall be awarded to University of Idaho Performance and Education Facility, \$50,000 shall be awarded to Adler Planetarium and Astronomy Museum, \$100,000 shall be awarded to Johnson County Museum of History, Franklin, Indiana, \$125,000 shall be awarded to Plimoth Plantation, Plymouth, Massachusetts, \$1,000,000 shall be awarded to Shakespeare Rose Theater, \$150,000 shall be awarded to Springfield-Greene County Library, Springfield, Missouri, \$1,160,000 shall be awarded to Webster University, St. Louis, Missouri, \$850,000 shall be awarded to University of Mississippi Foundation, Oxford, Mississippi, \$350,000 shall be awarded to University of Mississippi, Oxford, Mississippi, \$132,000 shall be awarded to Lois Morgan Edward Memorial Library, Nashville, North Carolina, \$100,000 shall be awarded to Rocky Mount Children's Museum, \$100,000 shall be awarded to Confluence Visitor Center in Williston, North Dakota and the North Dakota State Historical Society, \$100,000 shall be awarded to Fort Mandan Visitor's Center, \$100,000 shall be awarded to Mandan-on-a-Slant Museum, \$1,000,000 shall be awarded to Franklin Pierce College, \$160,000 shall be awarded to Monmouth University, West Long Branch, New Jersey, \$100,000 shall be awarded to Princeton Public Library, Mercer County, New Jersey, \$125,000 shall be awarded to Albany Institute for History and Art, \$1,000,000 shall be awarded to Brooklyn Historical Society, New York, \$22,500 shall be awarded to Buffalo and Erie County Library System, Buffalo, New York, \$250,000 shall be awarded to Center for Jewish History, New York, New York, \$150,000 shall be awarded to Children's Museum of Manhattan, New York,

\$105,000 shall be awarded to Four County Library System, Vestal, New York, \$500,000 shall be awarded to Hunter College, New York, \$200,000 shall be awarded to Long Island Maritime Museum in West Sayville, New York, \$750,000 shall be awarded to Lower East Side Tenement Museum, New York, \$1,000,000 shall be awarded to New York Hall of Science, \$22,500 shall be awarded to NIOGA Library System of Niagara and Orleans County, New York, \$100,000 shall be awarded to The Woodstock Guild of Craftsmen, Inc., Woodstock, New York, \$100,000 shall be awarded to Clark County Historical Museum, \$40,000 shall be awarded to Cleveland Botanical Garden, Cleveland, Ohio, \$500,000 shall be awarded to Crawford Museum, Cleveland, Ohio, \$42,000 shall be awarded to Farmer's Castle Museum in Belpre, \$500,000 shall be awarded to MAPS Air Museum, Canton Ohio, \$44,000 shall be awarded to McKinley Museum, Canton, Ohio, \$50,000 shall be awarded to University of Oregon Museum of Natural History in Eugene, Oregon, \$150,000 shall be awarded to Academy of Natural Sciences in Philadelphia County, \$100,000 shall be awarded to Beaver Area Memorial Library, Beaver County, Pennsylvania, \$300,000 shall be awarded to Delaware Valley Historical Aircraft Association, \$100,000 shall be awarded to Discovery Square, Inc. in Erie, Pennsylvania, \$200,000 shall be awarded to Everhart Museum in Scranton, Pennsylvania, \$300,000 shall be awarded to National Liberty Museum in Philadelphia, Pennsylvania, \$126,000 shall be awarded to Northland Public Library Authority, Pittsburgh, Pennsylvania, \$235,000 shall be awarded to Penn Hills Public Library in Pittsburgh, Pennsylvania, \$250,000 shall be awarded to Philadelphia Zoo, \$100,000 shall be awarded to Pittsburgh Children's Museum, \$700,000 shall be awarded to Please Touch Museum at the Children's Museum of Philadelphia, Pennsylvania, \$50,000 shall be awarded to Wayne Art Center in Wayne Pennsylvania, \$50,000 shall be awarded to Bamberg County Library in Bamberg, South Carolina, \$50,000 shall be awarded to Clarendon County Library in Manning, South Carolina, \$500,000 shall be awarded to Marion Wright Edelman Public Library, Bennettsville, South Carolina, \$600,000 shall be awarded to The Children's Discovery House, Murfreesboro, Tennessee, \$150,000 shall be awarded to The International Storytelling Center in Jonesborough, Tennessee, \$500,000 shall be awarded to El Progreso Library, Uvalde, Texas, \$500,000 shall be awarded to Vietnam Archive Center, Texas Tech University, Lubbock, Texas, \$800,000 shall be awarded to Children's Museum of Virginia, Portsmouth, Virginia, \$325,000 shall be awarded to Virginia Living Museum, \$100,000 shall be awarded to Burlington City Arts in Burlington, Vermont, \$125,000 shall be awarded to Lake Champlain Science Center in Burlington, Vermont, \$175,000 shall be awarded to Vermont Historical Society in Montpelier Vermont, \$100,000 shall be awarded to Beaver Creek Reserve Education Center, Fall Creek, Wisconsin, \$500,000 shall be awarded to The Kenosha Civil War Museum in Kenosha, Wisconsin, \$75,000 shall be awarded to Village of Hawkins, Wisconsin, and \$500,000 shall be awarded to Weis Earth Science Museum in Menasha, Wisconsin.] (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act of 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 59-0301-0-1-503	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Assistance for libraries	163	163	175
00.02 Administration	5	5	7
00.91 Direct Program by Activities—Subtotal (1 level)	168	168	182
01.00 Subtotal, assistance for libraries and administration	168	168	182
01.01 Congressionally-designated projects	40	30	
10.00 Total new obligations	208	198	182
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	3	2	
22.00 New budget authority (gross)	208	198	182
23.90 Total budgetary resources available for obligation	211	200	182
23.95 Total new obligations	-208	-198	-182
24.40 Unobligated balance carried forward, end of year	2		

New budget authority (gross), detail:			
Discretionary:			
40.00	Appropriation	208	198 182
Change in obligated balances:			
72.40	Obligated balance, start of year	193	228 195
73.10	Total new obligations	208	198 182
73.20	Total outlays (gross)	-172	-231 -231
74.40	Obligated balance, end of year	228	195 146
Outlays (gross), detail:			
86.90	Outlays from new discretionary authority	5	6 5
86.93	Outlays from discretionary balances	168	225 226
87.00	Total outlays (gross)	172	231 231
Net budget authority and outlays:			
89.00	Budget authority	208	198 182
90.00	Outlays	172	231 231

The President's Budget includes a \$10 million initiative in National leadership grants to recruit and train librarians. The budget does not continue funding for congressionally-designated special interest projects.

State formula grants are made to assist public libraries in improving library services, promoting access to learning and information resources to users of all ages, to promote wider access to information through technology, and to support collaborative activities between museums and libraries. National leadership grants provide incentives through a national competition for libraries, either individually or in collaboration with partner organizations, to develop model programs, identify best practices to improve information access and services, and disseminate their findings.

Object Classification (in millions of dollars)

Identification code 59-0301-0-1-503			
Direct obligations:			
11.1	Personnel compensation: Full-time permanent	2	2 3
23.1	Rental payments to GSA		1
25.2	Other services	2	2 2
41.0	Grants, subsidies, and contributions	202	193 175
99.0	Direct obligations	206	197 181
99.5	Below reporting threshold	2	1 1
99.9	Total new obligations	208	198 182

Personnel Summary

Identification code 59-0301-0-1-503			
1001	Total compensable workyears: Full-time equivalent employment	20	23 23

ADMINISTRATIVE PROVISIONS

None of the funds appropriated to the National Foundation on the Arts and the Humanities may be used to process any grant or contract documents which do not include the text of 18 U.S.C. 1913: *Provided*, That none of the funds appropriated to the National Foundation on the Arts and the Humanities may be used for official reception and representation expenses: *Provided further*, That funds from nonappropriated sources may be used as necessary for official reception and representation expenses. (*Department of the Interior and Related Agencies Appropriations Act, 2002.*)

NATIONAL LABOR RELATIONS BOARD

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For expenses necessary for the National Labor Relations Board to carry out the functions vested in it by the Labor-Management

Relations Act, 1947, as amended (29 U.S.C. 141-167), and other laws, **[\$226,438,000] \$245,680,000: Provided**, That no part of this appropriation shall be available to organize or assist in organizing agricultural laborers or used in connection with investigations, hearings, directives, or orders concerning bargaining units composed of agricultural laborers as referred to in section 2(3) of the Act of July 5, 1935 (29 U.S.C. 152), and as amended by the Labor-Management Relations Act, 1947, as amended, and as defined in section 3(f) of the Act of June 25, 1938 (29 U.S.C. 203), and including in said definition employees engaged in the maintenance and operation of ditches, canals, reservoirs, and waterways when maintained or operated on a mutual, nonprofit basis and at least 95 percent of the water stored or supplied thereby is used for farming purposes. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Salaries and Expenses", \$180,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (Emergency Supplemental Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 63-0100-0-1-505			
2001 actual 2002 est. 2003 est.			
Obligations by program activity:			
00.01	Field investigation	181	189 195
00.02	Administrative law judge hearing	14	15 15
00.03	Board adjudication	21	22 22
00.04	Securing compliance with Board orders	12	12 13
00.05	Internal Review	1	1 1
10.00	Total new obligations	229	239 246
Budgetary resources available for obligation:			
22.00	New budget authority (gross)	229	239 246
23.95	Total new obligations	-229	-239 -246

New budget authority (gross), detail:

Discretionary:			
40.00	Appropriation	229	239 246

Change in obligated balances:

72.40	Obligated balance, start of year	21	17 18
73.10	Total new obligations	229	239 246
73.20	Total outlays (gross)	-233	-238 -246
74.40	Obligated balance, end of year	17	18 18

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	214	223 230
86.93	Outlays from discretionary balances	19	15 16
87.00	Total outlays (gross)	233	238 246

Net budget authority and outlays:

89.00	Budget authority	229	239 246
90.00	Outlays	233	238 246

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

2001 actual 2002 est. 2003 est.			
Net budget authority and outlays:			
89.00	Budget authority	216	226 233
90.00	Outlays	220	225 233

The Board resolves representation disputes in industry, and remedies and prevents specified unfair labor practices by employers or labor organizations. Case intake and additional program statistics appear in the table below.

PROGRAM STATISTICS

Case intake:			
	Unfair labor practice cases	28,118	30,000 30,000
	Representation cases	5,440	6,005 6,005
Administrative law judges:			
	Hearings closed	352	334 341
	Decisions issued	422	413 421
Board adjudication:			
	Contested Board decisions issued	536	590 601

General and special funds—Continued

SALARIES AND EXPENSES—Continued

PROGRAM STATISTICS—Continued

	2001 actual	2002 est.	2003 est.
Regional director decisions	564	593	611
Representation election cases:			
Decisions issued	52	60	67
Objection rulings	161	163	165
Board decisions requiring court enforcement	111	127	114

Field investigation.—Charges of unfair labor practices and petitions for elections to resolve representation disputes are investigated by regional office personnel. Ninety-five percent of the unfair labor practice cases and 85 percent of the representation cases are closed by settlement, dismissal, or withdrawal. The remainder are prepared for public hearing. The agency strives to maximize the voluntary settlement of all cases and to avoid litigation.

Administrative law judge hearing.—Administrative law judges conduct public hearings in unfair labor practice cases. Their findings and recommendations are set forth in their decisions.

Board adjudication.—In an unfair labor practice case, a judge's decision becomes a Board order if no exceptions are filed. About 30 percent of these decisions become automatic Board orders or are complied with voluntarily. The remainder, with exceptions filed, requires contested Board decision. In representation cases, regional directors initially decide the issues by Board delegation. The Board itself decides representation issues on referral from regional directors or by granting a request for review of a regional director's decision. The Board also rules on objection and challenge questions in election cases.

Securing compliance with Board orders.—If the parties do not voluntarily comply with the Board's order involving unfair labor practices, the Board must request that the appellate courts enforce its decisions.

Object Classification (in millions of dollars)

Identification code 63-0100-0-1-505	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	134	139	141
11.3 Other than full-time permanent	4	5	5
11.5 Other personnel compensation	2	2	2
11.9 Total personnel compensation	140	146	148
12.1 Civilian personnel benefits	42	44	44
21.0 Travel and transportation of persons	4	4	4
23.1 Rental payments to GSA	22	24	28
23.3 Communications, utilities, and miscellaneous charges	3	3	3
25.2 Other services	13	15	14
26.0 Supplies and materials	1	1	1
31.0 Equipment	4	2	4
99.9 Total new obligations	229	239	246

Personnel Summary

Identification code 63-0100-0-1-505	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	1,993	1,985	1,952

NATIONAL MEDIATION BOARD

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For expenses necessary to carry out the provisions of the Railway Labor Act, as amended (45 U.S.C. 151-188), including emergency boards appointed by the President, **[\$10,635,000] \$11,524,000.** (De-

partments of Labor, Health and Human Services, and Education, and Related Agencies Appropriation Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 95-2400-0-1-505	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Mediator services	7	8	8
00.03 Arbitration services	3	3	3
10.00 Total new obligations	10	11	11
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	10	11	11
23.95 Total new obligations	-10	-11	-11
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	10	11	11
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	1
73.10 Total new obligations	10	11	11
73.20 Total outlays (gross)	-10	-11	-11
74.40 Obligated balance, end of year	1	1	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	9	10	10
86.93 Outlays from discretionary balances	1	1	1
87.00 Total outlays (gross)	10	11	11
Net budget authority and outlays:			
89.00 Budget authority	10	11	11
90.00 Outlays	10	11	11

Mediator and alternative dispute resolution (ADR) services.—The Board mediates disputes over wages, hours, and working conditions for some 746 rail and air carriers and approximately 795,000 employees in the two industries.

The Board also provides technical assistance to enable labor and industry representatives to explore informally the relevant economic and noneconomic problems that condition collective bargaining in the railroad and airline industries. The Board's ADR program provides collective bargaining training, facilitation, and grievance mediation services to the labor-management community.

Mediation and ADR cases:	2001 actual	2002 est.	2003 est.
Pending, start of year	89	87	87
Received during year	115	121	121
Closed during year	117	121	121
Pending, end of year	87	87	87

Employee Representation.—The Board investigates representation disputes involving the various crafts or classes of railroad and airline employees to determine their choice of representatives for the purpose of collective bargaining.

Representation cases:	2001 actual	2002 est.	2003 est.
Pending, start of year	15	8	8
Received during year	66	76	76
Closed during year	73	76	76
Pending, end of year	8	8	8
Freedom of Information Act (FOIA) requests received	80	70	65
Investigation cases closed	39	40	40

Emergency disputes.—When the parties fail to resolve their disputes through mediation, they are urged to submit their differences to arbitration. If neither mediation nor voluntary arbitration is successful, the President, when notified of disputes which substantially threaten to interrupt essential service, may appoint emergency boards to investigate and report on the dispute. Such reports usually serve as a basis for resolving the disputes.

The Northeast Rail Service Act of 1981 (P.L. 102-29) amended the Railway Labor Act (RLA) by adding a new emergency dispute procedure covering disputes between a publicly

funded and operated commuter carrier and its employees. The 1981 Act requires the Board to appoint the public members of factfinding panels on Conrail.

Boards/panels created:	2001 actual	2002 est.	2003 est.
Emergency (sec. 160)	1	2	2
Emergency (sec. 159a)		2	2
Arbitration Boards	1	2	2
Arbitration Panels (PL 102-29)		1	1
Airline SBA Panels	78	90	95
ICC-LPP Panels	11	20	25

Arbitration under sections 3 and 7 of the RLA.—Railroad employee grievances resulting from disputes over the interpretation or application of collective bargaining contracts may be brought for settlement to the National Railroad Adjustment Board (NRAB). The divisions of the Board are composed of an equal number of carrier and union representatives compensated by the party or parties they represent. Public Law 89-456 provides for the adjustment of disputes involving grievances resulting from interpretation or application of bargaining agreements in the railroad industry otherwise referable to the NRAB.

Administrative direction and support for the public law boards, special boards of adjustment, and the NRAB are provided by Federal employees who are compensated by the National Mediation Board.

Arbitration cases:	2001 actual	2002 est.	2003 est.
Pending, start of year	7,189	5,819	6,245
Received during year	2,944	4,740	4,740
Closed during year	4,314	4,314	4,314
Pending, end of year	5,819	6,245	6,671

S3643

Object Classification (in millions of dollars)

Identification code 95-2400-0-1-505	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	4	4	4
11.8 Special personal services payments	2	3	3
11.9 Total personnel compensation	6	7	7
12.1 Civilian personnel benefits	1	1	1
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	1	1	1
99.0 Direct obligations	9	10	10
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	10	11	11

Personnel Summary

Identification code 95-2400-0-1-505	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	49	52	52

NATIONAL TRANSPORTATION SAFETY BOARD

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the National Transportation Safety Board, including hire of passenger motor vehicles and aircraft; services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for a GS-15; uniforms, or allowances therefor, as authorized by law (5 U.S.C. 5901-5902) [\$68,000,000] \$73,836,000, of which not to exceed \$2,000 may be used for official reception and representation expenses. (*Department of Transportation and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 95-0310-0-1-407	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Policy and direction	18	21	20
00.02 Safety Recommendations	3	3	3
00.03 Aviation safety	19	21	21
00.04 Surface transportation safety	12	13	14
00.05 Research and engineering	9	10	11
00.06 Academy	4	2	3
00.07 Administrative law judges	1	1	1
00.08 Emergency response funds		1	
01.00 Sub-total, Direct obligations	66	72	73
10.00 Total new obligations	66	72	73
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	11	11	10
22.00 New budget authority (gross)	66	72	73
23.90 Total budgetary resources available for obligation	77	83	83
23.95 Total new obligations	-66	-72	-73
24.40 Unobligated balance carried forward, end of year	11	10	10

New budget authority (gross), detail:

Discretionary:			
Appropriation:			
40.00 Appropriation	66	72	73
40.00 Appropriation			
43.00 Appropriation (total discretionary)	66	72	73

Change in obligated balances:

72.40 Obligated balance, start of year	20	13	11
73.10 Total new obligations	66	72	73
73.20 Total outlays (gross)	-66	-73	-75
73.40 Adjustments in expired accounts (net)	-7		
74.40 Obligated balance, end of year	13	11	9

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	54	65	66
86.93 Outlays from discretionary balances	12	8	7
87.00 Total outlays (gross)	66	73	75

Net budget authority and outlays:

89.00 Budget authority	66	72	73
90.00 Outlays	66	73	75

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	63	69	70
90.00 Outlays	63	70	72

The National Transportation Safety Board (NTSB), as an independent nonregulatory agency, is charged with promoting transportation safety through the investigation of accidents, the conduct of special studies, the development of recommendations to prevent accidents, the evaluation of the effectiveness of other Government agencies in preventing transportation accidents, and the review of appeals of adverse certificate and civil penalty actions taken by the Administrators of agencies of the Department of Transportation involving airman and seaman certificates and licenses.

In 2003, the Administration requests a total funding level of \$70 million for NTSB Salaries and Expenses to allow the NTSB to fulfill its role in improving safety on the Nation's transportation system.

Object Classification (in millions of dollars)

Identification code 95-0310-0-1-407	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	31	35	36

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Object Classification (in millions of dollars)—Continued

Identification code 95-0310-0-1-407	2001 actual	2002 est.	2003 est.
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	2	1
11.9 Total personnel compensation	33	38	38
12.1 Civilian personnel benefits	11	12	13
21.0 Travel and transportation of persons	2	2	2
23.1 Rental payments to GSA	6	7	8
23.3 Communications, utilities, and miscellaneous charges	2	2	2
25.2 Other services	9	9	9
26.0 Supplies and materials	1	1	1
31.0 Equipment	1		
99.0 Direct obligations	65	71	73
99.5 Below reporting threshold	1	1	
99.9 Total new obligations	66	72	73

Personnel Summary

Identification code 95-0310-0-1-407	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	418	443	432

EMERGENCY FUND

Program and Financing (in millions of dollars)

Identification code 95-0311-0-1-407	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	2	2
24.40 Unobligated balance carried forward, end of year	2	2	2
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

The National Transportation Safety Board is mandated by Congress to investigate all catastrophic transportation accidents and, therefore, has no control over the frequency of costly accident investigations. The emergency fund provides a funding mechanism by which periodic accident investigation cost fluctuations can be met without delaying critical phases of the investigations. The current balance of \$2 million is sufficient to cover unanticipated costs associated with an increased number of accidents, and thus the Administration does not propose new funding in 2003.

NATIONAL VETERANS BUSINESS DEVELOPMENT CORPORATION

Federal Funds

General and special funds

NATIONAL VETERANS BUSINESS DEVELOPMENT CORPORATION

For necessary expenses of the National Veterans Business Development Corporation as authorized under section 33(a) of the Small Business Act, as amended, **[\$4,000,000] \$2,000,000, to remain available until expended.** (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 95-0350-0-1-705	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Administration	1		1

00.02 Outreach		3	1
09.00 Reimbursable program — Administration	1		
09.01 Reimbursable program—Outreach	3		
10.00 Total new obligations	4	4	2

Budgetary resources available for obligation:

22.00 New budget authority (gross)	4	4	2
23.95 Total new obligations	-4	-4	-2

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation		4	2
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	4		
70.00 Total new budget authority (gross)	4	4	2

Change in obligated balances:

73.10 Total new obligations	4	4	2
73.20 Total outlays (gross)	-4	-4	-2

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	4	4	2
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Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-4		

Net budget authority and outlays:

89.00 Budget authority		4	2
90.00 Outlays		4	2

The National Veterans Business Development Corporation (NVBDC) has undertaken a variety of initiatives to fulfill its mandate under P.L. 106-50. It has hired a permanent CEO and President, Major General Charles R. Henry USA (Ret), and the President has appointed the ninth, and final member of NVBDC's Board of Directors.

With its infrastructure in place, NVBDC has begun to perform outreach activities to the nation's veterans, and others, by building a world class web site. The web site will be an informational clearing house that will enable a veteran to access entrepreneurial training and technical assistance services offered by all levels of government, the private sector, community-based organizations, trade associations, and like organizations. It is also investigating partnerships with the private sector to offer veteran entrepreneurs opportunities to pursue government and private sector contracts and to sell their goods and services to each other in a secure electronic environment.

Concurrently, NVBDC is energetically pursuing a variety of paths to financial self-sufficiency. For example, it is investigating the potential for creating a venture capital fund. It has also defined separate areas for fundraising activities; individual contributions, corporate contributions, foundation contributions, events and targeted meetings with potential contributors across the country, direct mail and web site contributions.

Furthermore, NVBDC is continuing to build partnerships, and conduct outreach activities, with Federal departments and agencies, veterans serving organizations, community-based organizations, and private sector corporations.

Object Classification (in millions of dollars)

Identification code 95-0350-0-1-705	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent		1	1
25.2 Other services		3	1
99.0 Direct obligations		4	2
99.0 Reimbursable obligations	4		
99.9 Total new obligations	4	4	2

Personnel Summary

Identification code 95-0350-0-1-705	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment		10	10
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	10		

NEIGHBORHOOD REINVESTMENT
CORPORATION

Federal Funds

General and special funds:

PAYMENT TO THE NEIGHBORHOOD REINVESTMENT CORPORATION

For payment to the Neighborhood Reinvestment Corporation for use in neighborhood reinvestment activities, as authorized by the Neighborhood Reinvestment Corporation Act (42 U.S.C. 8101-8107), \$105,000,000, of which \$10,000,000 shall be for a homeownership program that is used in conjunction with section 8 assistance under the United States Housing Act of 1937, as amended. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 82-1300-0-1-451	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	90	105	105
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	90	105	105
23.95 Total new obligations	-90	-105	-105
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	90	105	105
Change in obligated balances:			
73.10 Total new obligations	90	105	105
73.20 Total outlays (gross)	-90	-105	-105
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	90	105	105
Net budget authority and outlays:			
89.00 Budget authority	90	105	105
90.00 Outlays	90	105	105

The major activities of the Corporation include: establishing neighborhood partnership programs known as NeighborWorks Organizations (NWOs); assisting in the expansion of NeighborWorks organizations to additional neighborhoods; providing training and technical assistance; identifying, evaluating, supporting and replicating successful neighborhood preservation projects that show promise for reversing neighborhood decline; promoting a national secondary market and other financing mechanisms for NWOs; and granting lending and equity capital to promote homeownership and other affordable housing.

The Corporation receives both Federal and non-Federal funding to finance its program activities. For 2003, a program level of \$105,000,000 is requested. The following tables reflect the total program activity of the Corporation and include all sources of financing, both Federal and non-Federal.

BUDGET ACTIVITY

(In millions of dollars)

Neighborworks Programs:	2001 actual	2002 est.	2003 est.
1. Creation of new programs	2	2	2
2. Capacity building	32	37	38
3. Preserving affordable housing/equity capital	30	38	39

4. Program reviews	3	3	4
5. Training and informing	12	13	13
6. Secondary market activities	8	8	8
7. General administration	11	11	10
Total corporate obligations	98	112	114

Sources of financing:

1. Federal appropriation	90	105	105
2. Reimbursements for services provided	11	3	3
3. Other sources	5	3	5
Unused balance, start of year	3	1	1
Net obligations incurred	98	112	114
Unused balance, end of year	4	0	0
Obligated balances, start of year	11	9	9
Obligated balances, end of year	9	9	9
Net corporate outlay	98	112	114

Statement of Operations (in millions of dollars)

Identification code 82-1300-0-1-451	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	84	102	105	105
0102 Expense	-82	-98	-105	-105
0105 Net income or loss (-)	2	4		

Balance Sheet (in millions of dollars)

Identification code 82-1300-0-1-451	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Other Federal assets:				
1801 Cash and other monetary assets	14	13	13	13
1803 Property, plant and equipment, net	1	2	2	2
1999 Total assets	15	15	15	15
LIABILITIES:				
Non-Federal liabilities:				
2201 Accounts payable	3	4	4	4
2207 Other	8	5	5	5
2999 Total liabilities	11	9	9	9
NET POSITION:				
3300 Cumulative results of operations	4	6	6	6
3999 Total net position	4	6	6	6
4999 Total liabilities and net position	15	15	15	15

Object Classification of Corporation Obligations (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Salaries and benefits	20	21	23
Occupancy	3	3	3
Professional services	6	8	6
Travel and transportation of persons	2	3	3
Conferences and workshops	1	1	1
Grants and grant commitments	60	71	73
Other operating costs	6	5	5
Total obligations	98	112	114

Personnel Summary

	2001 actual	2002 est.	2003 est.
Non-Federal employees: Total compensable workyears:			
Full-time equivalent employment	255	256	256
Full-time equivalent of overtime and holiday hours	7	7	7

NUCLEAR REGULATORY COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Commission in carrying out the purposes of the Energy Reorganization Act of 1974, as amended, and the Atomic Energy Act of 1954, as amended, including official

General and special funds—Continued

SALARIES AND EXPENSES—Continued

representation expenses (not to exceed \$15,000), and purchase of promotional items for use in the recruitment of individuals for employment, **[\$516,900,000]** \$598,405,000, to remain available until expended: *Provided*, That of the amount appropriated herein, **[\$23,650,000]** \$24,900,000 shall be derived from the Nuclear Waste Fund: *Provided further*, that \$29,300,000 of the funds herein appropriated shall be excluded from licensee fee revenue, not withstanding 42 U.S.C. 2214: *Provided further*, That revenues from licensing fees, inspection services, and other services and collections estimated at **[\$473,520,000]** \$511,533,000 in fiscal year **[2002]** 2003 shall be retained and used for necessary salaries and expenses in this account, notwithstanding 31 U.S.C. 3302, and shall remain available until expended: *Provided further*, That the sum herein appropriated shall be reduced by the amount of revenues received during fiscal year **[2002]** 2003 so as to result in a final fiscal year **[2002]** 2003 appropriation estimated at not more than **[\$43,380,000]**: *Provided further*, That, notwithstanding any other provision of law, no funds made available under this or any other Act may be expended by the Commission to implement or enforce any part of 10 CFR part 35, as adopted by the Commission on October 23, 2000, with respect to diagnostic nuclear medicine, except those parts which establish training and experience requirements for persons seeking licensing as authorized users, until such time as the Commission has reexamined 10 CFR part 35 and provided a report to the Congress which explains why the burden imposed by 10 CFR part 35 could not be further reduced] \$86,852,000. (*Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.*)

Unavailable Collections (in millions of dollars)

Identification code 31-0200-0-1-276	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.60 Nuclear facility fees, Nuclear Regulatory Commission	453	479	518
Appropriations:			
05.00 Salaries and expenses	-448	-473	-493
05.01 Office of Inspector General	-6	-6	-7
05.99 Total appropriations	-454	-479	-500
07.99 Balance, end of year			18

Program and Financing (in millions of dollars)

Identification code 31-0200-0-1-276	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Nuclear Reactor Safety	226	279	285
00.02 Nuclear Materials Safety	53	69	66
00.03 Nuclear Waste Safety	66	73	71
00.04 International Nuclear Safety Support	5	5	5
00.06 Management and Support	158	172	171
09.01 Reimbursable program	5	6	6
10.00 Total new obligations	513	604	604
Budgetary resources available for obligation:			
Unobligated balance carried forward, start of year:			
21.40 Unobligated balance carried forward, start of year	28	25	
21.40 Unobligated balance carried forward, start of year—NWF		1	
21.99 Total unobligated balance carried forward, start of year	28	26	
22.00 New budget authority (gross)	504	578	604
22.10 Resources available from recoveries of prior year obligations	7		
23.90 Total budgetary resources available for obligation	539	604	604
23.95 Total new obligations	-513	-604	-604
Unobligated balance carried forward, end of year:			
24.40 Unobligated balance carried forward, end of year	25		
24.40 Unobligated balance carried forward, end of year—NWF	1		
24.99 Total unobligated balance carried forward, end of year	26		

New budget authority (gross), detail:

Discretionary:				
Appropriation:				
40.00	Appropriation	30	39	51
40.00	Appropriation—Homeland Security		36	29
Appropriation (special fund):				
40.20	Appropriation (NRC receipts)	448	473	493
40.20	Appropriation (from NWF)	22	24	25
43.00	Appropriation (total discretionary)	500	572	598
Spending authority from offsetting collections: Offsetting collections (cash)				
68.00		4	6	6
70.00	Total new budget authority (gross)	504	578	604

Change in obligated balances:

72.40	Obligated balance, start of year	99	104	148
73.10	Total new obligations	513	604	604
73.20	Total outlays (gross)	-501	-560	-598
73.45	Recoveries of prior year obligations	-7		
74.40	Obligated balance, end of year	104	148	154

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	383	440	460
86.93	Outlays from discretionary balances	116	118	138
87.00	Total outlays (gross)	501	560	598

Offsets:

Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-4	-6	-6

Net budget authority and outlays:

89.00	Budget authority	500	572	598
90.00	Outlays	496	554	592

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	482	553	578
90.00	Outlays	478	535	572

Nuclear Reactor Safety.—A major part of the NRC's mission is to ensure that its licensees design, construct, and operate civilian reactor facilities safely. The Atomic Energy Act and the Energy Reorganization Act provide the foundation for regulating the Nation's civilian nuclear power industry. Nuclear reactor safety encompasses all NRC efforts to ensure that civilian nuclear reactor facilities and non-power reactors are operated in a manner that provides adequate protection of public health and safety and the environment, and protects against radiological sabotage and theft or diversion of special nuclear materials. These efforts include reactor licensing; reactor license renewal; operator licensing; financial assurance; inspection; performance assessment; identification and resolution of safety issues; reactor regulatory research; regulation development; operating experience evaluation; incident investigation; threat assessment; emergency response; investigation of alleged wrong doing by licensees, applicants, contractors, or vendors; imposition of enforcement sanctions for violations of NRC requirements; and reactor technical and regulatory training. In light of the September 11, 2001 terrorist attacks, NRC will perform a comprehensive review of our security and safeguards program for civilian reactor facilities.

Nuclear Materials Safety.—Nuclear materials safety encompasses all NRC efforts to ensure that NRC-regulation aspects of nuclear fuel cycle facilities and nuclear materials activities are handled in a manner that provides adequate protection of public health and safety. These efforts include, licensing/certification, inspection, and enforcement activities; regulation and guidance development; nuclear materials research; identification and resolution of safety and safeguard issues; operating experience evaluation; incident investigation; threat assessment; emergency response; technical training; and inves-

tigation of alleged wrongdoing by licensees, applicants, certificate holders, contractors and vendors. In light of the September 11, 2001 terrorist attacks, NRC will perform a comprehensive review of our security and safeguards program for nuclear fuel cycle facilities and nuclear materials activities.

Nuclear Waste Safety.—Nuclear waste safety encompasses the NRC's high-level waste regulatory activities associated with high-level waste disposal at the potential Yucca Mountain repository as mandated by the Nuclear Waste Policy Act, the Nuclear Waste Policy Amendments Act, and; the Energy Policy Act; NRC regulatory and oversight activities for decommissioning, which involves safely removing a facility from service and reducing residual radiation to a level that permits the property to be released for unrestricted or restricted use; the safe and secure storage and transportation of radioactive materials through the certification of spent fuel storage containers and transportation packages. Low-level radioactive waste activities associated with the disposal of waste are addressed in accordance with the Low-Level Radioactive Waste Policy Act. In light of the September 11, 2001 terrorist attacks, NRC will perform a comprehensive review of our security and safeguards program for decommissioning reactors, spent fuel storage installations, and transportation of waste.

International Nuclear Safety Support.—International Nuclear Safety Support encompasses NRC international activities, including some that support the agency's domestic mission and others that support broader U.S. national interests. These activities include international policy formulation, export-import licensing of nuclear materials and equipment, treaty implementation, international information exchange activities, international safety and safeguards assistance, and deterring nuclear proliferation.

Management and Support.—Management and support encompasses NRC central policy direction, legal advice for the Commission, analysis of long-term policy issues, administrative proceedings review and advice, liaison with outside constituents and other government agencies, financial management, all administrative and logistical support, information resources management, executive management services for the Commission, personnel and training, and matters involving small and disadvantaged businesses and civil rights. In light of the September 11, 2001 terrorist attacks, NRC will continue to review and strengthen NRC's physical facilities and information technology infrastructure.

Object Classification (in millions of dollars)

Identification code 31-0200-0-1-276	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	226	267	266
11.3 Other than full-time permanent	4	5	5
11.5 Other personnel compensation	8	9	9
11.8 Special personal services payments	1	2	2
11.9 Total personnel compensation	239	283	282
12.1 Civilian personnel benefits	73	84	85
21.0 Travel and transportation of persons	12	15	14
22.0 Transportation of things	1	1	2
23.1 Rental payments to GSA	19	22	22
23.3 Communications, utilities, and miscellaneous charges	8	9	9
24.0 Printing and reproduction	2	2	3
25.1 Advisory and assistance services	2	2	2
25.2 Other services	67	81	79
25.3 Other purchases of goods and services from Government accounts	63	74	75
25.4 Operation and maintenance of facilities	4	5	4
25.7 Operation and maintenance of equipment	5	6	4
26.0 Supplies and materials	2	2	3
31.0 Equipment	9	10	11
41.0 Grants, subsidies, and contributions	2	2	3
99.0 Direct obligations	508	598	598

99.0 Reimbursable obligations	5	6	6
99.9 Total new obligations	513	604	604

Personnel Summary

Identification code 31-0200-0-1-276	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	2,732	2,798	2,840
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	7	15	7

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, **[\$6,180,000] \$7,152,000**, to remain available until expended: *Provided*, That revenues from licensing fees, inspection services, and other services and collections estimated at **[\$5,933,000] \$6,723,000** in fiscal year **[2002] 2003** shall be retained and be available until expended, for necessary salaries and expenses in this account notwithstanding 31 U.S.C. 3302: *Provided further*, That the sum herein appropriated shall be reduced by the amount of revenues received during fiscal year **[2002] 2003** so as to result in a final fiscal year **[2002] 2003** appropriation estimated at not more than **[\$247,000] \$429,000**. (*Energy and Water Development Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 31-0300-0-1-276	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Inspector General	6	6	7
10.00 Total new obligations	6	6	7
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1
22.00 New budget authority (gross)	6	6	7
23.90 Total budgetary resources available for obligation	7	7	7
23.95 Total new obligations	-6	-6	-7
24.40 Unobligated balance carried forward, end of year	1
New budget authority (gross), detail:			
Discretionary:			
40.20 Appropriation (special fund)	6	6	7
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1
73.10 Total new obligations	6	6	7
73.20 Total outlays (gross)	-6	-6	-7
74.40 Obligated balance, end of year	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	5	5	6
86.93 Outlays from discretionary balances	1	1	2
87.00 Total outlays (gross)	6	6	7
Net budget authority and outlays:			
89.00 Budget authority	6	6	7
90.00 Outlays	6	6	7

The Inspector General Act Amendments of 1988 established a statutory Office of the Inspector General within the NRC that provides the Commission and Congress with an independent review and appraisal of the integrity of NRC programs and operations. The function of the Office of the Inspector General is to conduct and supervise audits and investigations relating to all facets of agency programs and operations.

Object Classification (in millions of dollars)

Identification code 31-0300-0-1-276	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	4	4	5

General and special funds—Continued

OFFICE OF INSPECTOR GENERAL—Continued

Object Classification (in millions of dollars)—Continued

Identification code 31-0300-0-1-276	2001 actual	2002 est.	2003 est.
12.1 Civilian personnel benefits	1	1	1
25.2 Other services	1	1	1
99.9 Total new obligations	6	6	7

Personnel Summary

Identification code 31-0300-0-1-276	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	45	44	44

NUCLEAR WASTE TECHNICAL REVIEW BOARD

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Nuclear Waste Technical Review Board, as authorized by Public Law 100-203, section 5051, **[\$3,100,000] \$3,200,000**, to be derived from the Nuclear Waste Fund, and to remain available until expended. (*Energy and Water Development Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 48-0500-0-1-271	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	3	3	3
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	3	3	3
23.95 Total new obligations	-3	-3	-3
New budget authority (gross), detail:			
Discretionary:			
40.20 Appropriation (from NWF)	3	3	3
Change in obligated balances:			
73.10 Total new obligations	3	3	3
73.20 Total outlays (gross)	-3	-3	-3
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	3	3	3
Net budget authority and outlays:			
89.00 Budget authority	3	3	3
90.00 Outlays	3	3	3

The Nuclear Waste Technical Review Board is directed to evaluate the technical and scientific validity of the activities of the Department of Energy's nuclear waste disposal program undertaken after the enactment of the Nuclear Waste Policy Amendments Act of 1987. The Board must report its findings not less than two times a year to the Congress and the Secretary of Energy.

Object Classification (in millions of dollars)

Identification code 48-0500-0-1-271	2001 actual	2002 est.	2003 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	2	2	2
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	3	3	3

Personnel Summary

Identification code 48-0500-0-1-271	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	16	17	17

OCCUPATIONAL SAFETY AND HEALTH REVIEW COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For expenses necessary for the Occupational Safety and Health Review Commission (29 U.S.C. 661), **[\$8,964,000] \$10,637,000**. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 95-2100-0-1-554	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Commission review	4	4	4
00.02 Administrative law judge determinations	3	3	4
00.03 Executive direction	2	2	2
10.00 Total new obligations	10	10	11
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	10	10	11
23.95 Total new obligations	-10	-10	-11
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	10	10	11
Change in obligated balances:			
72.40 Obligated balance, start of year	2	2	2
73.10 Total new obligations	10	10	11
73.20 Total outlays (gross)	-9	-10	-10
74.40 Obligated balance, end of year	2	2	3
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	9	9	10
86.93 Outlays from discretionary balances	1	1	1
87.00 Total outlays (gross)	9	10	10
Net budget authority and outlays:			
89.00 Budget authority	10	10	11
90.00 Outlays	9	10	10
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	9	9	10
90.00 Outlays	8	9	9

The Review Commission, established by the Occupational Safety and Health Act of 1970, adjudicates contested enforcement actions of the Secretary of Labor. The Commission holds factfinding hearings and issues orders affirming, modifying, or vacating the Secretary's enforcement actions.

SELECTED WORKLOAD DATA

	2001 actual	2002 est.	2003 est.
Commission review activities:			
Case pending beginning of year	88	67	62
New cases received	31	35	40
Case dispositions	52	40	45
Administrative law judge activities:			
Cases pending beginning of year	846	840	839
New cases received	2,316	2,386	2,386

Cases disposition:			
After assignment but without hearing	2,201	2,263	2,264
Heard and decided by judge	121	124	124

Object Classification (in millions of dollars)

Identification code 95-2100-0-1-554	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	6	6	6
12.1 Civilian personnel benefits	2	2	2
23.1 Rental payments to GSA	1	1	1
99.0 Direct obligations	9	9	9
99.5 Below reporting threshold	1	1	2
99.9 Total new obligations	10	10	11

Personnel Summary

Identification code 95-2100-0-1-554	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	67	69	69

OFFICE OF GOVERNMENT ETHICS

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Office of Government Ethics pursuant to the Ethics in Government Act of 1978, as amended and the Ethics Reform Act of 1989, including services as authorized by 5 U.S.C. 3109, rental of conference rooms in the District of Columbia and elsewhere, hire of passenger motor vehicles, and not to exceed \$1,500 for official reception and representation expenses, **[\$10,117,000] \$11,071,000.** (*Independent Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 95-1100-0-1-805	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	10	11	11
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	11	11	11
23.95 Total new obligations	-10	-11	-11
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	11	11	11
Change in obligated balances:			
72.40 Obligated balance, start of year	1	2	1
73.10 Total new obligations	10	11	11
73.20 Total outlays (gross)	-10	-11	-11
74.40 Obligated balance, end of year	2	1	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	10	10	10
86.93 Outlays from discretionary balances	1	1	1
87.00 Total outlays (gross)	10	11	11
Net budget authority and outlays:			
89.00 Budget authority	11	11	11
90.00 Outlays	10	11	11

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	10	10	10
90.00 Outlays	9	10	10

The Office of Government Ethics (OGE) is charged by law to provide overall direction of executive branch policies designed to prevent conflicts of interest and insure high ethical standards. The OGE discharges its responsibilities to preserve and promote public confidence in the integrity of executive branch officials by developing rules and regulations pertaining to conflicts of interest, post employment restrictions, standards of conduct, and public and confidential financial disclosure in the executive branch; by monitoring compliance with the public and confidential financial disclosure requirements of the Ethics in Government Act of 1978 and the Ethics Reform Act of 1989, to determine possible violations of applicable laws or regulations and recommending appropriate corrective action; by consulting with and assisting various officials in evaluating the effectiveness of applicable laws and the resolution of individual problems; and by preparing formal advisory opinions, informal letter opinions, policy memoranda, and Federal Register entries on how to interpret and comply with the requirements on conflicts of interest, post employment, standards of conduct, and financial disclosure.

Object Classification (in millions of dollars)

Identification code 95-1100-0-1-805	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	6	6	6
12.1 Civilian personnel benefits	2	3	3
23.1 Rental payments to GSA	1	1	1
99.0 Direct obligations	9	10	10
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	10	11	11

Personnel Summary

Identification code 95-1100-0-1-805	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	77	82	82

OFFICE OF NAVAJO AND HOPI INDIAN RELOCATION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Office of Navajo and Hopi Indian Relocation as authorized by Public Law 93-531, **[\$15,148,000] \$14,760,000**, to remain available until expended: *Provided*, That funds provided in this or any other appropriations Act are to be used to relocate eligible individuals and groups including evictees from District 6, Hopi-partitioned lands residents, those in significantly sub-standard housing, and all others certified as eligible and not included in the preceding categories: *Provided further*, That none of the funds contained in this or any other Act may be used by the Office of Navajo and Hopi Indian Relocation to evict any single Navajo or Navajo family who, as of November 30, 1985, was physically domiciled on the lands partitioned to the Hopi Tribe unless a new or replacement home is provided for such household: *Provided further*, That no relocatee will be provided with more than one new or replacement home: *Provided further*, That the Office shall relocate any certified eligible relocatees who have selected and received an approved homesite on the Navajo reservation or selected a replacement residence off the Navajo reservation or on the land acquired pursuant to 25 U.S.C. 640d-10. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 48-1100-0-1-808	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Operation of relocation office	5	5	6

General and special funds—Continued

SALARIES AND EXPENSES—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 48-1100-0-1-808	2001 actual	2002 est.	2003 est.
00.03 Relocation payments (housing)	7	8	10
00.04 Discretionary fund payments	2	2	2
10.00 Total new obligations	14	15	18
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	5	7	8
22.00 New budget authority (gross)	15	15	15
22.10 Resources available from recoveries of prior year obligations	1	1	1
23.90 Total budgetary resources available for obligation	21	23	24
23.95 Total new obligations	-14	-15	-18
24.40 Unobligated balance carried forward, end of year	7	8	6
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	15	15	15
Change in obligated balances:			
72.40 Obligated balance, start of year	5	6	3
73.10 Total new obligations	14	15	18
73.20 Total outlays (gross)	-12	-17	-17
73.45 Recoveries of prior year obligations	-1	-1	-1
74.40 Obligated balance, end of year	6	3	3
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	11	14	11
86.93 Outlays from discretionary balances	1	5	5
87.00 Total outlays (gross)	12	17	17
Net budget authority and outlays:			
89.00 Budget authority	15	15	15
90.00 Outlays	11	17	17

The Office of Navajo and Hopi Indian Relocation was established by Public Law 93-531 to plan and conduct relocation activities associated with the settlement of a land dispute in northern Arizona between the two tribes.

Bonuses are paid to clients who volunteered for relocation prior to July 7, 1985. Relocation of clients includes such activities as certification, housing acquisition and construction, and land acquisition. Discretionary funds will be used for activities which will facilitate and expedite the overall relocation effort.

Object Classification (in millions of dollars)

Identification code 48-1100-0-1-808	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	3	3	4
12.1 Civilian personnel benefits	1	1	1
25.2 Other services	1	1	1
32.0 Land and structures	7	8	10
41.0 Grants, subsidies, and contributions	2	2	2
99.9 Total new obligations	14	15	18

Personnel Summary

Identification code 48-1100-0-1-808	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	61	61	58

OFFICE OF SPECIAL COUNSEL

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Office of Special Counsel pursuant to Reorganization Plan Numbered 2 of 1978, the Civil Service Reform Act of 1978 (Public Law 95-454), the Whistleblower Protection Act of 1989 (Public Law 101-12), Public Law 103-424, and the Uniformed Services Employment and Reemployment Act of 1994 (Public Law 103-353), including services as authorized by 5 U.S.C. 3109, payment of fees and expenses for witnesses, rental of conference rooms in the District of Columbia and elsewhere, and hire of passenger motor vehicles; **[\$11,891,000] \$12,965,000.** (Independent Agencies Appropriations Act, 2002; additional authorization legislation required.)

Program and Financing (in millions of dollars)

Identification code 62-0100-0-1-805	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Investigation and prosecution of reprisals for whistle blowing	12	13	13
10.00 Total new obligations	12	13	13
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	12	13	13
23.95 Total new obligations	-12	-13	-13
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	12	13	13
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	1
73.10 Total new obligations	12	13	13
73.20 Total outlays (gross)	-12	-13	-13
74.40 Obligated balance, end of year	1	1	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	12	13	13
86.93 Outlays from discretionary balances	1	1	1
87.00 Total outlays (gross)	12	13	13
Net budget authority and outlays:			
89.00 Budget authority	12	13	13
90.00 Outlays	12	13	13
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
2001 actual 2002 est. 2003 est.			
Net budget authority and outlays:			
89.00 Budget authority	11	12	12
90.00 Outlays	11	12	12

The Office of Special Counsel (OSC) (1) investigates Federal employee allegations of prohibited personnel practices (including reprisal for whistleblowing) and when appropriate prosecutes before the Merit Systems Protection Board (MSPB); (2) provides a channel for whistleblowing by Federal employees; and (3) enforces the Hatch Act. The OSC may transmit whistleblower allegations to the agency head concerned and require an agency investigation and a report to the Congress and the President when appropriate.

Overall in 2001, there were more than 3,107 instances in which the assistance or action of the OSC was sought by Federal employees and other persons. Many prohibited personnel practice cases investigated by the OSC are resolved without recourse to formal proceedings before the MSPB. In 2001, the OSC obtained 76 corrective or other favorable actions, and efforts to obtain such negotiated resolutions will continue. In 2001, the OSC also filed eight enforcement ac-

tions before the MSPB Hatch Act matters. The OSC also issued 2,806 Hatch Act advisory opinions (both written and oral) to people who sought advice. During 2001, the OSC's Disclosure Unit received 380 new disclosure matters for possible referral and 15 Disclosure Unit matters were referred to agency heads for their review.

This request will enable OSC to continue its efforts to reduce its long-standing case processing backlogs. In 2001, OSC made some progress against these backlogs in reducing the number of pending prohibited personnel practice cases older than 240 days by 15 percent. OSC anticipates making more progress in 2003 so as to reduce backlogs and provide customers with prompt and timely service in accordance with the time frames laid out in 5 U.S.C. § 1214(b)(2)(A)(ii) (240 days to process prohibited personnel practice complaints) and 5 U.S.C. § 1213(b) (15 days to make an initial determination on a whistleblower disclosure).

OSC significantly revised its Strategic Plan last year, including the associated annual performance plan. The plans now place more emphasis on prioritizing cases by category and resource allocation, while improving quality. Highlights of the FY 2003 annual performance plan include: (1) implementing a procedure to assess and improve quality of OSC investigations and legal analyses; (2) devising a formal system of case handling and resource allocation according to complexity and type of case; (3) developing a system to eliminate any unnecessary steps in case-handling; (4) creating specific performance goals for the Hatch Act and Disclosure Units; (5) enhancing goals for OSC's outreach and education program; and (6) maintaining OSC's human resource and information technology programs.

The following tables display the anticipated workloads:

ALLEGATIONS RECEIVED

	2001 actual	2002 est.	2003 est.
Reprisal for whistleblowing	553	700	725
Other personnel practices	2480	3500	3550
Hatch Act	74	80	85

ALLEGATIONS CLOSED

	2001 actual	2002 est.	2003 est.
Reprisal for whistleblowing	716	870	880
Other personnel practices	3423	3650	3675
Hatch Act	113	85	90

Object Classification (in millions of dollars)

Identification code 62-0100-0-1-805	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	8	8	9
12.1 Civilian personnel benefits	3	3	3
23.1 Rental payments to GSA	1	1	1
99.0 Direct obligations	12	12	13
99.5 Below reporting threshold		1	
99.9 Total new obligations	12	13	13

Personnel Summary

Identification code 62-0100-0-1-805	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	105	106	106

OKLAHOMA CITY NATIONAL MEMORIAL TRUST

Federal Funds

General and special funds:

OKLAHOMA CITY NATIONAL MEMORIAL TRUST

Program and Financing (in millions of dollars)

Identification code 95-4333-0-3-303	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.00 Reimbursable program		1	1
10.00 Total new obligations (object class 25.2)		1	1
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	1
22.00 New budget authority (gross)		1	1
23.90 Total budgetary resources available for obligation	1	2	2
23.95 Total new obligations		-1	-1
24.40 Unobligated balance carried forward, end of year	1	1	1
New budget authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)		1	1
Change in obligated balances:			
73.10 Total new obligations		1	1
73.20 Total outlays (gross)		-1	-1
74.40 Obligated balance, end of year			
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		1	1
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources		-1	-1
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

The Oklahoma City National Memorial Act of 1997 (P.L. 105-58), established the Oklahoma City National Memorial Trust, a wholly owned government corporation, to operate the memorial to commemorate the victims of the April 19, 1995 bombing of the Alfred P. Murrah Federal Building. The Act authorized \$5 million in appropriations, subject to a non-Federal match, for the activities of the Trust, managed by the Oklahoma City Memorial Foundation. Current operations are funded by museum entrance fees and donations.

Object Classification (in millions of dollars)

Identification code 95-4333-0-3-303	2001 actual	2002 est.	2003 est.
25.2 Other services		1	1
99.0 Reimbursable obligations		1	1

OTHER COMMISSIONS AND BOARDS

Federal Funds

General and special funds:

COMMISSION FOR THE PRESERVATION OF AMERICA'S HERITAGE ABROAD

SALARIES AND EXPENSES

For expenses for the Commission for the Preservation of America's Heritage Abroad, **[\$489,000] \$499,000**, as authorized by Public Law 99-83, section 1303. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002.*)

General and special funds—Continued

COMMISSION FOR THE PRESERVATION OF AMERICA'S HERITAGE ABROAD—Continued

SALARIES AND EXPENSES—Continued

Unavailable Collections (in millions of dollars)

Identification code 95-9911-0-1-808	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	4	7	9
Receipts:			
02.00 Miscellaneous deposits, Miscellaneous trust funds, Independent agencies		1	1
02.50 Interest, Miscellaneous trust funds, Independent agencies	3	1	1
02.99 Total receipts and collections	3	2	2
04.00 Total: Balances and collections	7	9	11
07.99 Balance, end of year	7	9	11

Program and Financing (in millions of dollars)

Identification code 95-9911-0-1-808	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)	2		
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1		
22.00 New budget authority (gross)	2		
23.90 Total budgetary resources available for obligation	3		
23.95 Total new obligations	-2		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	1		
42.00 Transferred from other accounts	1		
43.00 Appropriation (total discretionary)	2		
Change in obligated balances:			
73.10 Total new obligations	2		
73.20 Total outlays (gross)	-2		
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	1		
86.93 Outlays from discretionary balances	1		
87.00 Total outlays (gross)	2		
Net budget authority and outlays:			
89.00 Budget authority	2		
90.00 Outlays	1		

The "Other commissions and boards" account presents data on small independent commissions and other entities on a consolidated basis.

This consolidated account includes the \$499 thousand request for the Commission for the Preservation of America's Heritage Abroad, which helps preserve sites associated with the foreign heritage of Americans by identifying sites, negotiating with foreign governments, and facilitating private efforts.

PACIFIC CHARTER COMMISSION

Federal Funds

General and special funds:

[SALARIES AND EXPENSES]

[For necessary expenses for the Pacific Charter Commission, as authorized by the Pacific Charter Commission Act of 2000 (Public Law 106-570), \$1,500,000, to remain available until expended.] (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002.*) additional authorizing legislation required.

Program and Financing (in millions of dollars)

Identification code 48-2998-0-1-154	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)		2	
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		2	
23.95 Total new obligations		-2	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation		2	
Change in obligated balances:			
73.10 Total new obligations		2	
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority		2	
Net budget authority and outlays:			
89.00 Budget authority		2	
90.00 Outlays		2	

The Commission was authorized in P.L. 106-570 to carry out, either directly or through nongovernmental organizations, programs, projects, and activities to promote a consistent and coordinated foreign policy of the United States in the Asia-Pacific region. The Commission is charged with monitoring developments in countries of the Asia-Pacific region with respect to United States foreign policy toward such countries, the status of democratization, the rule of law and human rights in the region, economic relations among the United States and such countries, and activities related to terrorism and the illicit narcotics trade, and with recommending options for policies of the United States Government.

PANAMA CANAL COMMISSION

Federal Funds

Public enterprise funds:

PANAMA CANAL REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 95-4061-0-3-403	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.01 Reimbursable program	4		
09.09 Total operating expenses	4		
10.00 Total new obligations (object class 42.0)	4		
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2		
22.00 New budget authority (gross)	2		
23.90 Total budgetary resources available for obligation	4		
23.95 Total new obligations	-4		
New budget authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	2		
Change in obligated balances:			
72.40 Obligated balance, start of year	55	51	40
73.10 Total new obligations	4		
73.20 Total outlays (gross)	-8	-11	
74.40 Obligated balance, end of year	51	40	40
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2		
86.93 Outlays from discretionary balances	6	11	
87.00 Total outlays (gross)	8	11	

Offsets:			
Against gross budget authority and outlays:			
88.40	Offsetting collections (cash) from: Non-Federal sources	-2
Net budget authority and outlays:			
89.00	Budget authority
90.00	Outlays	6	11

The Panama Canal Act of 1979 established the Panama Canal Commission to operate and maintain the interoceanic waterway. Pursuant to Public Law 104-106, the Commission is a wholly-owned government corporation and is funded by a revolving fund. In accordance with the Panama Canal Treaty, the United States transferred ownership of the Canal to the Republic of Panama on December 31, 1999. Data in the following tables are for the settlement of remaining accident and contract claims against the Commission.

PANAMA CANAL COMMISSION DISSOLUTION FUND

Program and Financing (in millions of dollars)

Identification code 95-4073-0-3-403	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40	Unobligated balance carried forward, start of year	4	4
22.00	New budget authority (gross)	4
23.90	Total budgetary resources available for obligation	4	4
24.40	Unobligated balance carried forward, end of year	4	4
New budget authority (gross), detail:			
Mandatory:			
69.00	Offsetting collections (cash)	4
Change in obligated balances:			
72.40	Obligated balance, start of year	7
73.20	Total outlays (gross)	-7
Outlays (gross), detail:			
86.97	Outlays from new mandatory authority	4
86.98	Outlays from mandatory balances	3
87.00	Total outlays (gross)	7
Offsets:			
Against gross budget authority and outlays:			
88.00	Offsetting collections (cash) from: Federal sources	-4
Net budget authority and outlays:			
89.00	Budget authority
90.00	Outlays	3
Memorandum (non-add) entries:			
92.01	Total investments, start of year: Federal securities: Par value	1	4
92.02	Total investments, end of year: Federal securities: Par value	4	4

Pursuant to 22 USC 3714a., Sec. 1305., there is established in the Treasury of the United States a fund known as the "Panama Canal Commission Dissolution Fund". The Fund, which became available on October 1, 1998, is being used by the Commission to operate an Office of Transition Administration. This office manages the Commission's transfer-related obligations, such as severance pay and accident and contract claims.

Personnel Summary

Identification code 95-4073-0-3-403	2001 actual	2002 est.	2003 est.
2001	Total compensable workyears: Full-time equivalent employment	5	1

POSTAL SERVICE

Federal Funds

General and special funds:

PAYMENT TO THE POSTAL SERVICE FUND

For payment to the Postal Service Fund for revenue forgone on free and reduced rate mail, pursuant to subsections (c) and (d) of section 2401 of title 39, United States Code, [\$76,619,000] \$60,014,000, of which [\$47,619,000] \$31,014,000 shall not be available for obligation until October 1, [2002] 2003: *Provided*, That mail for overseas voting and mail for the blind shall continue to be free: *Provided further*, That 6-day delivery and rural delivery of mail shall continue at not less than the 1983 level: *Provided further*, That none of the funds made available to the Postal Service by this Act shall be used to implement any rule, regulation, or policy of charging any officer or employee of any State or local child support enforcement agency, or any individual participating in a State or local program of child support enforcement, a fee for information requested or provided concerning an address of a postal customer: *Provided further*, That none of the funds provided in this Act shall be used to consolidate or close small rural and other small post offices in fiscal year [2002] 2003. (*Postal Service Appropriations Act, 2002.*)

[For emergency expenses to the Postal Service Fund to enable the Postal Service to protect postal employees and postal customers from exposure to biohazardous material, to sanitize and screen the mail, and to replace or repair Postal Service facilities destroyed or damaged in New York City as a result of the September 11, 2001, terrorist attacks, \$500,000,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38: *Provided*, That of the amounts appropriated, no funds shall be obligated for the purpose of sanitizing and screening the mail until the Postal Service submits to the Committees on Appropriations, the House Committee on Government Reform, and the Senate Committee on Governmental Affairs an emergency preparedness plan to combat the threat of biological and chemical substances in the mail, including a plan for expenditure of funds in support of the emergency preparedness plan.] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 18-1001-0-1-372	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01	Current year		
00.02	Reconciliation adjustment		
00.03	Prior years' liabilities	29	29
00.04	Advanced Appropriation from the previous year	64	67
00.05	Terrorist Response, FY 2001 Supplemental		175
00.06	Terrorist Response, FY 2002 Supplemental		500
10.00	Total new obligations (object class 41.0)	93	771
Budgetary resources available for obligation:			
22.00	New budget authority (gross)	93	596
22.22	Unobligated balance transferred from other accounts		175
23.90	Total budgetary resources available for obligation	93	771
23.95	Total new obligations	-93	-771
New budget authority (gross), detail:			
Discretionary:			
Appropriation:			
40.00	Appropriation	29	29
40.00	Appropriation		500
43.00	Appropriation (total discretionary)	29	529
55.00	Advance appropriation	64	67
70.00	Total new budget authority (gross)	93	596
Change in obligated balances:			
73.10	Total new obligations	93	771
73.20	Total outlays (gross)	-93	-771
Outlays (gross), detail:			
86.90	Outlays from new discretionary authority	93	596
86.93	Outlays from discretionary balances		175
87.00	Total outlays (gross)	93	771
Net budget authority and outlays:			
89.00	Budget authority	93	596

General and special funds—Continued

PAYMENT TO THE POSTAL SERVICE FUND—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 18-1001-0-1-372	2001 actual	2002 est.	2003 est.
90.00 Outlays	93	771	77

¹ Represents a \$70,880,000 current year estimate and a -\$6,444,000 reconciliation adjustment.² Represents a \$66,473,000 current year estimate and a \$620,000 reconciliation adjustment.³ Represents a \$56,303,000 current year estimate and a -\$8,684,000 reconciliation adjustment.

On November 20, 2001 the President released (pursuant to P.L. 107-38) \$175,000,000 from the Emergency Response Fund to the U.S. Postal Service in response to the anthrax attacks. These resources include \$100 million for an initial purchase of irradiation equipment to sanitize the mail and \$75 million for the costs of personnel protection equipment (gloves, masks, barrier creams, etc.), first response/environmental testing kits and services, site clean-up and medical goods and services, and for public education material.

In the Emergency Supplemental Act of 2002, Congress appropriated, from amounts authorized by Public Law 107-38, an additional \$500,000,000 to the Postal Service to protect postal employees and postal customers from exposure to bio-hazardous material, sanitize and screen the mail, and replace or repair Postal Service facilities destroyed or damaged in New York City as a result of the September 11, 2001, terrorist attacks. Funds are available to the Postal Service for sanitizing and screening the mail after it submits an emergency preparedness plan and an associated expenditure plan to the Congress.

Pursuant to Public Law 93-328, the 2003 appropriation request of the U.S. Postal Service for Payment to the Postal Service Fund is \$60,014,000. This amount includes: \$48,999,000 requested for free mail for the blind and overseas voting; -\$17,985,000 as a reconciliation adjustment for 2000 actual mail volume of free mail for the blind and overseas voting; and \$29,000,000 for prior years' liability under the Revenue Forgone Reform Act of 1993. In addition to these funds, \$47,619,000 (an advance appropriation from 2002 for the 2002 costs and the 1999 reconciliation adjustment for free mail for the blind and overseas voting) will become available to the U.S. Postal Service in 2003.

Public enterprise funds:

POSTAL SERVICE FUND

Program and Financing (in millions of dollars)

Identification code 18-4020-0-3-372	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Reimbursable Program:			
09.01 Postal field operations	47,029	48,097	49,449
09.02 Transportation	5,065	5,203	5,132
09.03 Building occupancy	1,717	1,775	1,847
09.04 Supplies and services	3,196	3,387	3,139
09.05 Research and development	29	46	47
09.06 Administration and area operations	5,791	6,945	7,177
09.07 Interest	1,961	2,040	2,129
09.08 Servicewide expenses	447	162	2,326
09.09 Subtotal	65,235	67,655	71,246
09.10 Capital Investment	1,047	2,857	3,835
10.00 Total new obligations	66,282	70,512	75,081
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	69,933	72,312	79,994
22.60 Portion applied to repay debt	-3,651	-1,800	-4,913
23.90 Total budgetary resources available for obligation	66,282	70,512	75,081
23.95 Total new obligations	-66,282	-70,512	-75,081

New budget authority (gross), detail:

Mandatory:			
67.10 Authority to borrow	4,064	2,813	5,154
69.00 Offsetting collections (cash)	65,869	69,499	74,840
70.00 Total new budget authority (gross)	69,933	72,312	79,994

Change in obligated balances:

72.40 Obligated balance, start of year	20,640	18,751	17,749
73.10 Total new obligations	66,282	70,512	75,081
73.20 Total outlays (gross)	-68,171	-71,514	-73,392
74.40 Obligated balance, end of year	18,751	17,749	19,438

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	68,171	71,514	73,392
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Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-991	-1,701	-1,109
88.20 Interest on Federal securities	-7	-4	-4
88.40 Non-Federal sources	-64,871	-67,794	-73,727
88.90 Total, offsetting collections (cash)	-65,869	-69,499	-74,840

Net budget authority and outlays:

89.00 Budget authority	4,064	2,813	5,154
90.00 Outlays	2,302	2,015	-1,448

Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities:			
Par value	1,086	1,258	843
92.02 Total investments, end of year: Federal securities:			
Par value	1,258	843	843

The Postal Reorganization Act of 1970, Public Law 91-375, converted the Post Office Department into the U.S. Postal Service, an independent establishment within the executive branch. The Postal Service commenced operations July 1, 1971. This agency is charged with providing patrons with reliable mail service at reasonable rates and fees.

The U.S. Postal Service is governed by an 11-member Board of Governors, including 9 Governors appointed by the President, a Postmaster General who is selected by the Governors, and a Deputy Postmaster General who is selected by the Governors and the Postmaster General.

Decisions on changes in domestic rates of postage and fees for postal services are recommended to the Governors of the Postal Service by the independent Postal Rate Commission after a hearing on the record under the Administrative Procedure Act. The Commission also recommends decisions on changes in the domestic mail classification schedule to the Governors. Decisions of the Governors on rates of postage, fees for postal services, and mail classification are final, subject to judicial review.

Effective in 1986, the Postal Service Fund (Fund) was included in the congressional and executive budget process and taken into account in making calculations under the Balanced Budget and Emergency Deficit Control Act of 1985 (Gramm-Rudman-Hollings). The Omnibus Budget Reconciliation Act of 1989 amended title 39 of the U.S. Code by adding a new section, 2009a, which provides that, beginning in 1990, the receipts and disbursements of the Fund shall not be considered as part of the congressional and executive budget process and shall not be taken into account in making calculations under Gramm-Rudman-Hollings.

Programs.—Included are all postal activities providing window services; processing, delivery, and transportation of mail; research and development; administration of postal field activities; and associated expenses of providing facilities and financing.

The rapid development of electronic messaging systems promises to increase the effectiveness of the Nation's communications infrastructure and United States competitiveness in the future. As the provider of a universally available hard copy delivery system, the United States Postal Service is en-

couraged to examine these emerging communications technologies and to cooperate with the private sector on issues of integration, directory service, and strategic alliances that will facilitate the development of secure and reliable electronic messaging networks.

The transition from hard copy to electronic messaging already has begun. The Postal Service should assist in developing future messaging systems. The Postal Service's participation should recognize the changing needs of its business, governmental, and individual customers; should focus on determining an appropriate means for public and private sector cooperation; and should be consistent with the agency's vision of evolving into a premier provider of 21st century postal communications. The Postal Service should seek to leverage its comprehensive delivery, messaging security, and addressing directory management capabilities in a manner that promotes universal access to the benefits of these new technologies for all citizens who desire them.

Financing.—The activities of the U.S. Postal Service are financed from the following sources: (1) mail and services revenue; (2) reimbursements from Federal and non-Federal sources; (3) proceeds from borrowing; (4) interest from U.S. securities and other investments; and (5) appropriations by the Congress. All receipts and deposits are made to the Postal Service Fund and are available without fiscal year limitation for payment of all expenses incurred, retirement of obligations, investment in capital assets, and investment in obligations and securities.

Separate legislation also increased the Postal Service's statutory borrowing authority beginning in 1991. Section 2005 of title 39, United States Code, as amended, increased the Postal Service's borrowing authority by \$2.5 billion in 1991 for a revised ceiling of \$12.5 billion and an additional \$2.5 billion in 1992 for a revised total ceiling of \$15 billion. The total net increase in amounts outstanding in any one fiscal year were also increased and now may not exceed \$2.0 billion in obligations issued for the purpose of capital improvements and \$1.0 billion for the purpose of paying operating expenses. As of September 30, 2003, it is expected that the total debt instruments issued and outstanding pursuant to this authority will amount to \$11.465 billion.

Operating.—Estimated revenue will total approximately \$74.8 billion in 2003. This includes \$74.7 billion from mail and services revenue, \$27 million from investment income, and \$31 million for revenue foregone appropriations in 2003. Total expenses are estimated at approximately \$74.2 billion in 2003.

The Postal Reorganization Act of 1970 established the Postal Service as a fully self-sufficient, independent entity. Postal revenues were to cover the full costs of postal operations. When the Act was passed, the Postal Service received substantial taxpayer subsidies, both appropriated and unappropriated. Consistent with the intent of the 1970 Act, Congress has taken steps over time to reduce these subsidies. Under the 1974 Civil Service Retirement Fund—Postal Employee Benefits Act, the Postal Service assumed responsibility for paying unfunded retirement costs from wage schedule increases under postal labor contracts. These costs are not covered by normal employee/employer contributions to the retirement fund. The 1985 Reconciliation Act shifted responsibility for paying health benefit costs of Postal annuitants retiring after 1986 from OPM to the Postal Service. The 1987 Reconciliation Act had the Postal Service make one-time payments to defray annuitant health benefit costs in 1988 and 1989 and retirement COLA costs in 1988. (Retirement COLAs, like wage schedule increases, result in retirement liabilities not covered by normal retirement fund contributions.) Under the 1989 Reconciliation Act, the Postal Service assumed responsibility for paying health benefits of survivors

of post-86 annuitants and unfunded retirement COLA liabilities for post-86 annuitants.

The Omnibus Budget Reconciliation Act of 1990 superseded certain existing legislation and expanded the Postal Service's responsibility for benefit costs of postal annuitants. Effective October 1, 1990, the Postal Service is required to fund Civil Service Retirement System (CSRS) COLAs and the employer's share of Federal Employees Health Benefits Program (FEHBP) premiums for postal annuitants who retired after June 30, 1971, and their survivors. In addition, the Postal Service is required to fund the retroactive CSRS COLA and FEHBP premium costs for which the Postal Service would have been liable if the provisions of this new legislation had been in effect as of July 1, 1971.

Under the Omnibus Reconciliation Act of 1993, the Postal Service was required to make certain payments for past COLAs and health benefits, over and above any other payments required by law, of \$693 million to the Civil Service Retirement and Disability Fund, and \$348 million to the Employees Health Benefits Fund. These two payments were made in three equal annual installments, beginning in fiscal year 1996.

The Revenue Forgone Reform Act of 1993 phased-in higher postage rates for preferred mailers during 1994 through 1999. As reimbursement for the additional revenues not collected by the Postal Service during this phase-in period and for insufficient amounts appropriated for forgone revenues on various mail classes during 1991 through 1993, the Act authorized \$1.218 billion to be paid in installments of \$29 million annually from 1994 through 2035. Congress has appropriated \$29 million annually since 1994. As of September 30, 2002, \$957 million remains to be paid—in annual increments of \$29 million over the next 33 years—to the Postal Service under this Act.

The Balanced Budget Act of 1997 repealed the authorization for transitional appropriations to the Postal Service which had funded the liabilities of the former Post Office Department to the Employees' Compensation Fund. Effective October 1, 1997, these liabilities became liabilities of the Postal Service payable out of the Postal Service Fund.

Statement of Operations (in millions of dollars)

Identification code 18-4020-0-3-372	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	64,581	65,869	68,824	74,840
0102 Expense	-64,780	-67,549	-70,173	-74,174
0105 Net income or loss (-)	-199	-1,680	-1,349	666

⁴The slowing of the economy coupled with the impact of the events of September 11 and the subsequent use of the mail as a vehicle for bio-terrorism will result in costs and negative business impacts, the magnitude of which is unknown at this time. Due to a current inability to assess the financial impact of these events, this submission reflects assumptions included in Postal Service rate case docket number R2001-1, filed on September 24, 2001, and reflecting pre-September 11th estimates. For these reasons, it is highly likely that Postal Service financial results for 2002 and 2003 will differ from the amounts presented here.

Object Classification (in millions of dollars)

Identification code 18-4020-0-3-372	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	27,769	28,506	28,980
11.3 Other than full-time permanent	4,924	5,049	5,470
11.5 Other personnel compensation	5,286	4,904	4,979
11.9 Total personnel compensation	37,979	38,459	39,429
12.1 Civilian personnel benefits	11,629	13,041	13,304
13.0 Benefits for former personnel	1,744	2,031	2,240
21.0 Travel and transportation of persons	208	209	210
22.0 Transportation of things	5,524	5,679	5,627
23.1 Rental payments to GSA	41	40	40
23.2 Rental payments to others	821	864	913
23.3 Communications, utilities, and miscellaneous charges	774	787	810
24.0 Printing and reproduction	94	85	85
25.2 Other services	2,700	3,287	5,383
26.0 Supplies and materials	1,677	1,144	984
31.0 Equipment	717	1,896	2,501
32.0 Land and structures	308	839	1,312
42.0 Insurance claims and indemnities	105	111	114

Public enterprise funds—Continued

POSTAL SERVICE FUND—Continued

Object Classification (in millions of dollars)—Continued

Identification code 18-4020-0-3-372	2001 actual	2002 est.	2003 est.
Interest and dividends:			
43.0 Interest and dividends	358	394	460
43.0 Interest and dividends	1,603	1,646	1,669
99.9 Total new obligations	66,282	70,512	75,081

Personnel Summary

Identification code 18-4020-0-3-372	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	830,516	826,000	820,872

PRESIDIO TRUST

Federal Funds

General and special funds:

PRESIDIO TRUST FUND

For necessary expenses to carry out title I of the Omnibus Parks and Public Lands Management Act of 1996, **[\$23,125,000]** \$22,160,000 shall be available to the Presidio Trust, to remain available until expended. (Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 95-4331-0-4-303	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
09.00 Reimbursable program	91	125	96
10.00 Total new obligations	91	125	96
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	82	120	66
22.00 New budget authority (gross)	131	71	64
23.90 Total budgetary resources available for obligation	213	191	130
23.95 Total new obligations	-91	-125	-96
24.40 Unobligated balance carried forward, end of year	120	66	34
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	24	24	22
47.00 Authority to borrow	10		
Spending authority from offsetting collections:			
Offsetting collections (cash):			
68.00 Offsetting collections DOD	50	1	1
68.00 Offsetting collections (cash) Business activities	51	48	41
68.10 Change in uncollected customer payments from Federal sources (unexpired)	-4	-2	
68.90 Spending authority from offsetting collections (total discretionary)	97	47	42
70.00 Total new budget authority (gross)	131	71	64
Change in obligated balances:			
72.40 Obligated balance, start of year	9	17	53
73.10 Total new obligations	91	125	96
73.20 Total outlays (gross)	-89	-91	-92
74.00 Change in uncollected customer payments from Federal sources (unexpired)	4	2	
74.40 Obligated balance, end of year	17	53	57
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	38	16	21
86.93 Outlays from discretionary balances	52	75	71
87.00 Total outlays (gross)	89	91	92
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-63	-12	-10

88.40 Non-Federal sources	-38	-37	-32
88.90 Total, offsetting collections (cash)	-101	-49	-42
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	4	2	

Net budget authority and outlays:

89.00 Budget authority	34	24	22
90.00 Outlays	-11	42	50

Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities: Par value	91	123	90
92.02 Total investments, end of year: Federal securities: Par value	123	90	50

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	33	23	21
90.00 Outlays	-12	41	49

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 95-4331-0-4-303	2001 actual	2002 est.	2003 est.
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Loan guarantee levels		200	
215901 Total loan guarantee levels		200	
Guaranteed loan subsidy (in percent):			
232001 Loan guarantee levels	0.46	0.12	0.13
232901 Weighted average subsidy rate	0.46	0.12	0.13
Guaranteed loan subsidy budget authority:			
233001 Loan guarantee levels			
233901 Total subsidy budget authority			
Guaranteed loan subsidy outlays:			
234001 Loan guarantee levels			
234901 Total subsidy outlays			

The Presidio Trust is a wholly owned government corporation established by the Omnibus Parks and Public Lands Management Act of 1996 (Public Law 104-333) to manage, improve, maintain and lease property in the Presidio of San Francisco. After this former military base was transferred to the National Park Service (NPS), the Trust was created to take over responsibility for managing the hundreds of houses, office buildings, and other facilities in an innovative manner that uses private-sector resources, but is consistent with surrounding NPS lands. This appropriation funds the operation and capital improvements of the Trust.

Object Classification (in millions of dollars)

Identification code 95-4331-0-4-303	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent	26	26	24
12.1 Civilian personnel benefits	8	8	7
23.3 Communications, utilities, and miscellaneous charges	4	7	6
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	1	2	1
25.2 Other services	11	22	15
25.3 Other purchases of goods and services from Government accounts	4	5	5
26.0 Supplies and materials	11	22	10
31.0 Equipment	5	9	9
32.0 Land and structures	20	23	18
99.0 Reimbursable obligations	91	125	96
99.9 Total new obligations	91	125	96

Personnel Summary

Identification code 95-4331-0-4-303	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	440	470	445

PRESIDIO TRUST GUARANTEED LOAN FINANCING ACCOUNT

Status of Guaranteed Loans (in millions of dollars)

Identification code 95-4332-0-3-303	2001 actual	2002 est.	2003 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders			
2121 Limitation available from carry-forward	200	200	200
2143 Uncommitted limitation carried forward	-200	-200	-100
2150 Total guaranteed loan commitments			100
2199 Guaranteed amount of guaranteed loan commitments			75
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year			
2231 Disbursements of new guaranteed loans			50
2251 Repayments and prepayments			-1
2290 Outstanding, end of year			49
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year			37

RAILROAD RETIREMENT BOARD

Federal Funds

General and special funds:

[DUAL BENEFITS PAYMENTS ACCOUNT] FEDERAL WINDFALL SUBSIDY

For payment to the [Dual Benefits Payments Account] *Federal Windfall Subsidy*, authorized under section 15(d) of the Railroad Retirement Act of 1974, **[\$146,000,000] \$132,000,000**, which shall include amounts becoming available in fiscal year **[2002] 2003** pursuant to section 224(c)(1)(B) of Public Law 98-76; and in addition, an amount, not to exceed 2 percent of the amount provided herein, shall be available proportional to the amount by which the product of recipients and the average benefit received exceeds **[\$146,000,000] \$132,000,000**: *Provided*, That the total amount provided herein shall be credited in 12 approximately equal amounts on the first day of each month in the fiscal year. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 60-0111-0-1-601	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	156	146	132
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	160	146	132
23.95 Total new obligations	-156	-146	-132
23.98 Unobligated balance expiring or withdrawn	-4		
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	160	146	132
Change in obligated balances:			
73.10 Total new obligations	156	146	132
73.20 Total outlays (gross)	-156	-146	-132
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	156	146	132
Net budget authority and outlays:			
89.00 Budget authority	160	146	132

90.00 Outlays	156	146	132
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This appropriation is a Federal subsidy to the rail industry pension for costs not financed by the railroad sector.

FEDERAL PAYMENTS TO THE RAILROAD RETIREMENT ACCOUNTS

For payment to the accounts established in the Treasury for the payment of benefits under the Railroad Retirement Act for interest earned on unnegotiated checks, \$150,000, to remain available through September 30, **[2003] 2004**, which shall be the maximum amount available for payment pursuant to section 417 of Public Law 98-76. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 60-0113-0-1-601	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 42.0)	327	337	354
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	327	337	354
23.95 Total new obligations	-327	-337	-354
New budget authority (gross), detail:			
Mandatory:			
60.00 Appropriation	327	337	354
Change in obligated balances:			
73.10 Total new obligations	327	337	354
73.20 Total outlays (gross)	-327	-337	-354
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	327	337	354
Net budget authority and outlays:			
89.00 Budget authority	327	337	354
90.00 Outlays	327	337	354

This account funds interest on uncashed checks and income taxes on Tier I and Tier II railroad retirement benefits.

Trust Funds

RAILROAD UNEMPLOYMENT INSURANCE TRUST FUND

Program and Financing (in millions of dollars)

Identification code 60-8051-0-7-603	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 42.0)	118	124	124
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	118	124	124
23.95 Total new obligations	-118	-124	-124
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)	55	100	150
60.28 Appropriation (unavailable balances)	54	18	
60.45 Portion precluded from obligation			-33
61.00 Transferred to other accounts	-16	-16	-16
62.50 Appropriation (total mandatory)	93	102	101
69.00 Offsetting collections (cash)	25	22	23
70.00 Total new budget authority (gross)	118	124	124
Change in obligated balances:			
72.40 Obligated balance, start of year	-1	-1	
73.10 Total new obligations	118	124	124
73.20 Total outlays (gross)	-118	-123	-124
74.40 Obligated balance, end of year	-1		

RAILROAD UNEMPLOYMENT INSURANCE TRUST FUND—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 60-8051-0-7-603	2001 actual	2002 est.	2003 est.
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	118	123	124
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-25	-22	-23
Net budget authority and outlays:			
89.00 Budget authority	93	102	101
90.00 Outlays	93	101	101

Note.—Appropriations language for the 2003 request for administrative expenses is included with the limitation on administration of the Rail Industry Pension Fund.

The Board administers a separate fund for unemployment and sickness insurance payments. Administrative expenses are financed from employer unemployment taxes.

WORKLOAD

	1983 actual	1990 actual	2001 actual	2002 est.	2003 est.
Unemployment claims	1,919,160	300,351	120,994	121,000	118,000
Cumulative workload decline (%)		-84%	-94%	-94%	-94%
Sickness claims	411,877	269,926	191,715	189,000	179,000
Cumulative workload decline (%)		-34%	-53%	-54%	-57%

RAIL INDUSTRY PENSION FUND

Unavailable Collections (in millions of dollars)

Identification code 60-8011-0-7-601	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	16,075	18,179	53
Receipts:			
02.00 Refunds	-5	-5	-5
02.01 Taxes	2,595	2,539	2,417
02.40 Interest and profits on investments in public debt securities	2,285	453	15
02.41 Federal payments to railroad retirement trust funds	229	242	254
02.80 Rail industry pension fund, offsetting collections	5	5	5
02.99 Total receipts and collections	5,109	3,234	2,686
04.00 Total: Balances and collections	21,184	21,413	3,239
Appropriations:			
05.00 Rail industry pension fund	-3,005	-20,860	-3,222
05.99 Total appropriations	-3,005	-20,860	-3,222
07.99 Balance, end of year	18,179	53	17

Program and Financing (in millions of dollars)

Identification code 60-8011-0-7-601	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Direct program	3,100	3,337	3,260
09.01 RRA-administrative reimbursement	5	5	5
10.00 Total new obligations	3,105	3,342	3,265
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	3,105	3,342	3,265
23.95 Total new obligations	-3,105	-3,342	-3,265
New budget authority (gross), detail:			
Discretionary:			
40.26 Appropriation (trust fund)	107	110	110
Mandatory:			
60.26 Appropriation (trust fund)	5,109	3,234	2,686
60.28 Appropriation (unavailable balances)		17,514	424
60.45 Portion precluded from obligation	-2,213		-3
61.00 Transferred to other accounts		-17,565	
62.00 Transferred from other accounts	97	44	43
62.50 Appropriation (total mandatory)	2,993	3,227	3,150

Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	5	5	5
70.00 Total new budget authority (gross)	3,105	3,342	3,265

Change in obligated balances:

72.40 Obligated balance, start of year	240	266	266
73.10 Total new obligations	3,105	3,342	3,265
73.20 Total outlays (gross)	-3,079	-3,342	-3,531
74.40 Obligated balance, end of year	266	266	

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	112	115	115
86.97 Outlays from new mandatory authority	2,727	2,961	3,150
86.98 Outlays from mandatory balances	240	266	266
87.00 Total outlays (gross)	3,079	3,342	3,531

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-5	-5	-5

Net budget authority and outlays:

89.00 Budget authority	3,100	3,337	3,260
90.00 Outlays	3,074	3,337	3,526

Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities: Par value	22,628	24,983	819
92.02 Total investments, end of year: Federal securities: Par value	24,983	819	17

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	3,094	3,331	3,254
90.00 Outlays	3,068	3,331	3,520

Railroad retirees generally receive the equivalent to a social security benefit and a rail industry pension collectively bargained like other private pension plans but embedded in Federal law. About 86,000 individuals also receive a "windfall" benefit.

Status of Funds (in millions of dollars)

Identification code 60-8011-0-7-601	2001 actual	2002 est.	2003 est.
Unexpended balance, start of year:			
0100 Uninvested balance	9	9	
U.S. Securities:			
0101 Par value	22,628	24,983	819
0102 Unrealized discounts	-6,321	-6,545	
0199 Total balance, start of year	16,315	18,445	819
Cash income during the year:			
Current law:			
Receipts:			
1200 Refunds, Rail Industry Pension Fund	-5	-5	-5
1201 Taxes, Rail Industry Pension Fund	2,595	2,539	2,417
Offsetting receipts (intragovernmental):			
1240 Interest and profits on investments in public debt securities, Rail Industry Pension Fund	2,285	453	15
1241 Federal payments to railroad retirement trust funds, Rail Industry Pension Fund	229	242	254
Offsetting collections:			
1280 Offsetting collections, Rail Industry Pension Fund	5	5	5
1299 Income under present law	5,109	3,234	2,686
Cash outgo during year:			
Current law:			
4500 Rail Industry Pension Fund	-3,073	-3,336	-3,531
7645 Transfers, net	94	-17,524	43
Unexpended balance, end of year:			
8700 Uninvested balance	9		
Federal securities:			
8701 Par value	24,983	819	17
8702 Unrealized discounts	-6,545		
8799 Total balance, end of year	18,445	819	17

Object Classification (in millions of dollars)				
Identification code 60-8011-0-7-601	2001 actual	2002 est.	2003 est.	
Direct obligations:				
42.0 Benefit payments	2,990	3,226	3,149	
43.0 Interest and dividends	2	1	1	
93.0 Administrative expenses (see separate schedule)	107	109	109	
99.0 Direct obligations	3,099	3,336	3,259	
99.0 Reimbursable obligations	5	5	5	
99.5 Below reporting threshold	1	1	1	
99.9 Total new obligations	3,105	3,342	3,265	

LIMITATION ON ADMINISTRATION

For necessary expenses for the Railroad Retirement Board for administration of the Railroad Retirement Act and the Railroad Unemployment Insurance Act, **[\$97,700,000]** \$104,110,000, to be derived in such amounts as determined by the Board from the railroad retirement accounts and from moneys credited to the railroad unemployment insurance administration fund. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (In millions of dollars)

	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Direct program:				
Rail Industry Pension Fund:				
Subtotal, Rail Industry Pension Fund	58	62	63	
Railroad Social Security Equivalent Benefit:				
Subtotal, Railroad Social Security Equivalent Benefit	26	26	26	
Supplemental Annuity Pension Fund:				
Subtotal, Supplemental Annuity Pension Fund	2	1	
Railroad Unemployment Insurance Trust Fund:				
Subtotal, Railroad Unemployment Insurance Trust Fund	15	15	15	
Total, direct program	101	104	104	
Reimbursable program	5	5	5	
Total new obligations	106	109	109	
Budgetary resources available for obligation:				
Offsetting collections from: Trust funds	-5	-5	-5	
Unobligated balance expiring	
Limitation	101	104	104	
Change in unpaid obligations:				
Obligations incurred, net	101	104	104	
Obligated balance, start of year	9	9	
Obligated balance, end of year	-9	-9	-9	
Outlays from limitation	92	104	104	

The table below shows anticipated workloads.

	1999 actual	2000 actual	2001 actual	2002 est.	2003 est.
Pending, start of year	7,562	6,497	6,168	8,944	8,944
New Railroad Retirement applications	45,132	44,815	44,996	46,000	46,000
New Social Security certifications	6,108	7,833	7,156	7,000	7,000
Total dispositions (excluding partial awards)	52,305	52,982	49,376	53,000	53,000
Pending, end of year	6,497	6,168	8,944	8,944	8,944

As shown below, the Board projects this workload will continue to decline as the number of beneficiaries declines.

	1980 actual	1990 actual	2000 actual	2001 actual	2002 est.	2003 est.
Total beneficiaries	1,009,500	894,196	681,779	660,112	641,300	624,800

In recognition of the continuing decline in virtually all its major workloads, the Board will explore and adopt new approaches to improve service to beneficiaries.

Object Classification (in millions of dollars)				
Identification code 60-8011-0-7-601	2001 actual	2002 est.	2003 est.	
Limitation Acct—Direct Obligations:				
Personnel compensation:				
11.1 Full-time permanent	58	61	60	
11.3 Other than full-time permanent	1	1	1	
11.5 Other personnel compensation	1	1	1	
11.9 Total personnel compensation	60	65	62	
12.1 Civilian personnel benefits	18	20	20	
21.0 Travel and transportation of persons	1	1	1	
23.1 Rental payments to GSA	3	4	4	
23.3 Communications, utilities, and miscellaneous charges	4	4	4	
25.2 Other services	10	9	10	
26.0 Supplies and materials	1	1	1	
31.0 Equipment	3	1	1	
93.0 Limitation on expenses	-100	-103	-103	
99.0 Limitation acct—direct obligations	
Limitation Acct—Reimbursable Obligations:				
11.1 Personnel compensation: Full-time permanent	4	4	4	
12.1 Civilian personnel benefits	1	1	1	
93.0 Limitation on expenses	-5	-5	-5	
99.0 Limitation acct—reimbursable obligations	

Personnel Summary

Identification code 60-8011-0-7-601	2001 actual	2002 est.	2003 est.	
Limitation account—direct:				
6001 Total compensable workyears: Full-time equivalent employment	1,051	1,054	990	
Limitation account—reimbursable:				
7001 Total compensable workyears: Full-time equivalent employment	50	50	50	

LIMITATION ON THE OFFICE OF INSPECTOR GENERAL

For expenses necessary for the Office of Inspector General for audit, investigatory and review activities, as authorized by the Inspector General Act of 1978, as amended, not more than **[\$6,261,000]** \$6,632,000, to be derived from the railroad retirement accounts and railroad unemployment insurance account: *Provided*, That none of the funds made available in any other paragraph of this Act may be transferred to the Office; used to carry out any such transfer; used to provide any office space, equipment, office supplies, communications facilities or services, maintenance services, or administrative services for the Office; used to pay any salary, benefit, or award for any personnel of the Office; used to pay any other operating expense of the Office; or used to reimburse the Office for any service provided, or expense incurred, by the Office. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
Operations (total new obligations)	6	6	6	
Budgetary resources available for obligation:				
Offsetting collections from trust funds	
Unobligated balance expiring	
Limitation	
Change in unpaid obligations:				
Obligations incurred, net	6	6	6	
Obligated balance, start of year	
Obligated balance, end of year	
Outlays from limitation	6	6	6	

Object Classification (in millions of dollars)

Identification code 60-8011-0-7-601	2001 actual	2002 est.	2003 est.	
11.1 Personnel compensation: Full-time permanent	5	5	5	

LIMITATION ON THE OFFICE OF INSPECTOR GENERAL—Continued

Object Classification (in millions of dollars)—Continued

Identification code 60-8011-0-7-601	2001 actual	2002 est.	2003 est.
12.1 Civilian personnel benefits	1	1	1
93.0 Limitation on expenses	-6	-6	-6
99.0 Limitation account—allocation			

Personnel Summary

Identification code 60-8011-0-7-601	2001 actual	2002 est.	2003 est.
8001 Total compensable workyears: Full-time equivalent employment	51	54	54

NATIONAL RAILROAD RETIREMENT INVESTMENT TRUST

Unavailable Collections (in millions of dollars)

Identification code 60-8118-0-7-601	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			19,220
Receipts:			
02.21 Interest and dividends on private sector holdings		374	784
02.40 Earnings on investments in Federal securities		93	196
02.99 Total receipts and collections		467	980
04.00 Total: Balances and collections		467	20,200
Appropriations:			
05.00 National railroad retirement investment trust		18,753	141
05.99 Total appropriations		18,753	141
07.99 Balance, end of year		19,220	20,341

Program and Financing (in millions of dollars)

Identification code 60-8118-0-7-601	2001 actual	2002 est.	2003 est.
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)		467	980
60.45 Portion precluded from obligation		-19,220	-1,121
62.00 Transferred from other accounts		18,753	141
62.50 Appropriation (total mandatory)			
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value		3,751	3,844
92.02 Total investments, end of year: Federal securities: Par value		3,844	4,040

The Trust manages and invests the funds of the Railroad Retirement System in private securities and U.S. Treasury Securities. Railroad retirement benefits will continue to be paid as under the law in effect prior to the enactment of the Railroad Retirement and Survivors Improvement Act of 2001 until an arrangement is finalized with a non-governmental financial institution to serve as a disbursing agent. Railroad retirement benefits will be paid by the National Railroad Retirement Investment Trust once an arrangement is finalized.

SUPPLEMENTAL ANNUITY PENSION FUND

Unavailable Collections (in millions of dollars)

Identification code 60-8012-0-7-601	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	56	56	

Receipts:			
02.00 Supplemental annuity taxes	68	22	
02.40 Interest and profits on investments in public debt securities	3	1	
02.99 Total receipts and collections	71	23	
04.00 Total: Balances and collections	127	79	
Appropriations:			
05.00 Supplemental Annuity Pension Fund	-71	-79	
05.99 Total appropriations	-71	-79	
07.99 Balance, end of year	56		

Program and Financing (in millions of dollars)

Identification code 60-8012-0-7-601	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 42.0)	69	17	
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	69	17	
23.95 Total new obligations	-69	-17	
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund)	71	23	
60.28 Appropriation (unavailable balances)		56	
61.00 Transferred to other accounts	-2	-62	
62.50 Appropriation (total mandatory)	69	17	
Change in obligated balances:			
72.40 Obligated balance, start of year	6	6	
73.10 Total new obligations	69	17	
73.20 Total outlays (gross)	-69	-23	
74.40 Obligated balance, end of year	6		
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority	63	17	
86.98 Outlays from mandatory balances	6	6	
87.00 Total outlays (gross)	69	23	
Net budget authority and outlays:			
89.00 Budget authority	69	17	
90.00 Outlays	69	23	
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value	61	61	
92.02 Total investments, end of year: Federal securities: Par value	61		

In addition to rail social security, rail industry pensions, and special windfalls, the Railroad Retirement Board pays supplemental annuities to rail workers retiring at age 60 with 30 years of creditable rail service or at age 65 with 25-29 years of creditable service. Monthly benefit amounts are calculated from a base of \$23, adding \$4 for every year of service over 25, up to a maximum monthly benefit of \$43. Under the provisions of P.L. 107-90, the Railroad Retirement and Survivors' Improvement Act of 2001, supplemental annuities will be funded and paid by the Rail Industry Pension Fund until an arrangement is finalized with a non-governmental financial institution to serve as a disbursing agent. Supplemental annuities will be funded and paid by the National Railroad Retirement Investment Trust once an arrangement is finalized.

Status of Funds (in millions of dollars)

Identification code 60-8012-0-7-601	2001 actual	2002 est.	2003 est.
Unexpended balance, start of year:			
0100 Treasury balance	1		
0101 U.S. Securities: Par value	61	61	
0199 Total balance, start of year	62	62	

Cash income during the year:			
Current law:			
Receipts:			
1200	Supplemental annuity taxes, Supplemental Annuity Pension Fund, RRB	68	22
Offsetting receipts (intragovernmental):			
1240	Interest and profits on investments in public debt securities, Supplemental Annuity Pension Fund, RRB	3	1
1299	Income under present law	71	23
Cash outgo during year:			
Current law:			
4500	Supplemental Annuity Pension Fund	-69	-23
7645	Transfers, net	-2	-62
Unexpended balance, end of year:			
8701	Federal securities: Par value	61	
8799	Total balance, end of year	62	

87.00	Total outlays (gross)	5,437	5,484	5,484
Net budget authority and outlays:				
89.00	Budget authority	5,422	5,496	5,486
90.00	Outlays	5,437	5,484	5,484
Memorandum (non-add) entries:				
92.01	Total investments, start of year: Federal securities:			
	Par value	2,134	1,821	911
92.02	Total investments, end of year: Federal securities:			
	Par value	1,821	911	902

All railroad retirees receive the equivalent of a social security benefit, and they may also receive other add-ons including rail industry pension payments, windfall payments, and supplemental annuities. Social security benefits for former railroad employees are funded by the social security trust funds, and rail industry pension payments are the responsibility of the rail sector.

Under current law, a financial interchange occurs once each year between the social security trust funds and the social security equivalent benefit (SSEB) account. The SSEB receives monthly advances from the general fund equal to an estimate of the transfer the SSEB would have received for the previous month if the financial interchange transfers were on a monthly basis. Advances from the previous year are repaid annually to the general fund immediately after the financial interchange is received. In 2001, \$3,145 million was advanced and \$3,001 million was repaid.

RAILROAD SOCIAL SECURITY EQUIVALENT BENEFIT ACCOUNT

Unavailable Collections (in millions of dollars)

Identification code 60-8010-0-7-601	2001 actual	2002 est.	2003 est.	
01.99	Balance, start of year	1,614	1,313	388
Receipts:				
02.00	Taxes	2,040	2,114	2,145
02.01	Receipts transferred to Federal hospital insurance trust fund	-422	-406	-420
02.02	Refunds, railroad social security equivalent benefit account	-4	-4	-4
02.40	Interest and profits on investments in public debt securities	107	60	38
02.41	Income tax credits	98	95	100
02.42	Interest transferred to Federal hospital insurance trust fund	-48	-35	-35
02.43	Receipts from Federal old-age survivors insurance trust fund	3,273	3,675	3,641
02.44	Receipts from Federal disability insurance trust fund	10	188	213
02.99	Total receipts and collections	5,054	5,687	5,678
04.00	Total: Balances and collections	6,668	7,000	6,066
Appropriations:				
05.00	Rail industry social security equivalent benefit account	-5,355	-6,612	-5,689
05.99	Total appropriations	-5,355	-6,612	-5,689
07.99	Balance, end of year	1,313	388	377

Program and Financing (in millions of dollars)

Identification code 60-8010-0-7-601	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
10.00	Total new obligations	5,422	5,496	5,486
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	5,422	5,496	5,486
23.95	Total new obligations	-5,422	-5,496	-5,486
New budget authority (gross), detail:				
Mandatory:				
60.26	Appropriation (trust fund)	5,054	5,687	5,678
60.28	Appropriation (unavailable balances)	303	927	11
60.47	Portion applied to repay debt	-3,001	-3,145	-3,181
61.00	Transferred to other accounts	-79	-1,154	-168
62.50	Appropriation (total mandatory)	2,277	2,315	2,340
67.10	Authority to borrow	3,145	3,181	3,146
70.00	Total new budget authority (gross)	5,422	5,496	5,486
Change in obligated balances:				
72.40	Obligated balance, start of year	526	511	523
73.10	Total new obligations	5,422	5,496	5,486
73.20	Total outlays (gross)	-5,437	-5,484	-5,484
74.40	Obligated balance, end of year	511	523	525
Outlays (gross), detail:				
86.97	Outlays from new mandatory authority	4,911	4,973	4,961
86.98	Outlays from mandatory balances	526	511	523

Status of Funds (in millions of dollars)

Identification code 60-8010-0-7-601	2001 actual	2002 est.	2003 est.	
Unexpended balance, start of year:				
0100	Treasury balance	6	2	
0101	U.S. Securities: Par value	2,134	1,821	911
0105	Outstanding debt to Treasury	-3,001	-3,145	-3,181
0199	Total balance, start of year	-861	-1,321	-2,270
Cash income during the year:				
Current law:				
Receipts:				
1200	Railroad Soc. Sec. equivalent ben. acct., Taxes	2,040	2,114	2,145
1201	Railroad Soc. Sec. equivalent ben. acct., Receipts transferred to Federal hospital insurance trust fund	-422	-406	-420
1202	Railroad Soc. Sec. Equivalent Ben. Acct., Refunds	-4	-4	-4
Offsetting receipts (intragovernmental):				
1240	Railroad Soc. Sec. equivalent ben. acct., Interest and profits on investments in public debt securities	107	60	38
1241	Railroad Soc. Sec. equivalent ben. acct., Income tax credits	98	95	100
1242	Railroad Soc. Sec. equivalent ben. acct., Interest transferred to Federal hospital insurance trust fund	-48	-35	-35
1243	Railroad Soc. Sec. equivalent ben. acct., Receipts from Federal old-age survivors ins. trust fund	3,273	3,675	3,641
1244	Railroad Soc. Sec. equivalent ben. acct., Receipts from Federal disability ins. trust fund	10	188	213
1299	Income under present law	5,054	5,687	5,678
Cash outgo during year:				
Current law:				
4500	Railroad social security equivalent benefit account	-5,437	-5,484	-5,484
7645	Transfers, net	-77	-1,152	-168
Unexpended balance, end of year:				
8700	Uninvested balance	2		
8701	Federal securities: Par value	1,821	911	902
8705	Outstanding debt to Treasury	-3,145	-3,181	-3,146
8799	Total balance, end of year	-1,321	-2,270	-2,244

Object Classification (in millions of dollars)

Identification code 60-8010-0-7-601	2001 actual	2002 est.	2003 est.	
42.0	Benefit payments	5,199	5,270	5,261
43.0	Interest and dividends	2	2	2
92.0	Repayment of interest on benefit advances	221	224	223

RAILROAD SOCIAL SECURITY EQUIVALENT BENEFIT ACCOUNT—
Continued

Object Classification (in millions of dollars)—Continued

Identification code 60-8010-0-7-601	2001 actual	2002 est.	2003 est.
99.9 Total new obligations	5,422	5,496	5,486

RESOLUTION TRUST CORPORATION

Federal Funds

Public enterprise funds:

RESOLUTION TRUST CORPORATION REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 22-4055-0-3-373	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	4		
23.98 Unobligated balance expiring or withdrawn	-4		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

The Financial Institutions Reform, Recovery, and Enforcement Act (FIRREA) of 1989 established the Resolution Trust Corporation (RTC) as a temporary agency to dispose of insolvent thrift institutions. The Savings Association Insurance Fund took over responsibility for resolving failed thrifts on July 1, 1995, and the RTC's assets and liabilities were transferred to the FSLIC Resolution Fund on December 31, 1995.

Of \$18.3 billion appropriated to RTC in 1994 by the RTC Completion Act, the Thrift Depositor Protection Oversight Board determined that only \$4.6 billion was required and the excess was returned to Treasury on December 31, 1997. When the RTC terminated, the Oversight Board's primary function ceased. On October 29, 1998, the Board was abolished and its remaining responsibility to oversee the Resolution Funding Corporation (REFCORP), which provided financing for the RTC, was transferred to the Secretary of the Treasury.

SECURITIES AND EXCHANGE COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses for the Securities and Exchange Commission, including services as authorized by 5 U.S.C. 3109 and pursuant to 5 U.S.C. 4801-02, the rental of space (to include multiple year leases) in the District of Columbia and elsewhere, and not to exceed \$3,000 for official reception and representation expenses, [\$109,500,000 from fees collected in fiscal year 2002 to remain available until expended, and from fees collected in previous fiscal years, \$328,400,000 to remain available until expended] \$479,900,000; of which not to exceed \$10,000 may be used toward funding a permanent secretariat for the International Organization of Securities Commissions; and of which not to exceed \$100,000 shall be available for expenses for consultations and meetings hosted by the Commission with foreign governmental and other regulatory officials, members of their delegations, appropriate representatives and staff to exchange views concerning developments relating to securities matters, development and implementation of cooperation agreements concerning securities matters and provision of technical assistance for the development of foreign securities markets, such expenses to include necessary logistic and administrative expenses and the expenses of Commission staff and foreign invitees in attendance at such consultations and meetings including: (1) such incidental ex-

penses as meals taken in the course of such attendance; (2) any travel and transportation to or from such meetings; and (3) any other related lodging or subsistence: *Provided*, That fees and charges authorized by sections [6(b)(4)] 6(b) of the Securities Act of 1933 (15 U.S.C. [77f(b)(4)] 77f(b)), and 13(e), 14(g), and 31[(d)] of the Securities Exchange Act of 1934 (15 U.S.C. [78e(d)] 78m(e), 78n(g), and 78e) shall be credited to this account as offsetting collections: *Provided further*, [That in the event that H.R. 1088, the Investor and Capital Markets Fee Relief Act, or other legislation to amend section 6(b) of the Securities Act of 1933 (15 U.S.C. 77f(b)), and sections 13(e), 14(g), and 31 of the Securities Exchange Act of 1934 (15 U.S.C. 78m(e), 78n(g) and 78e), is enacted into law prior to the date on which a regular appropriation to the Commission for fiscal year 2003 is enacted, the fees, charges, and assessments authorized by such sections, as amended, shall be deposited and credited to this account as offsetting collections: *Provided further*, That fees collected as authorized by section 31 of the Securities Exchange Act of 1934 (15 U.S.C. 78e) for sales transacted on, and with respect to securities registered solely on, an exchange that is initially granted registration as a national securities exchange after February 24, 2000 shall be credited to this account as offsetting collections: *Provided further*, That for purposes of collections under section 31, a security shall not be deemed registered on a national securities exchange solely because that national securities exchange continues or extends unlisted trading privileges to that security] *That not to exceed \$479,900,000 of such offsetting collections shall be available until expended for necessary expenses of this account: Provided further, That the total amount appropriated under this heading from the general fund for fiscal year 2003 shall be reduced as such offsetting fees are received so as to result in a final total fiscal year 2003 appropriation from the general fund estimated at not more than \$0. (Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)*

[(RESCISSION)]

[Of the unobligated balances available under this heading, \$50,000,000 are rescinded.] *(Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002.)*

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States, for "Salaries and Expenses", \$20,705,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] *(Emergency Supplemental Act, 2002.)*

Unavailable Collections (in millions of dollars)

Identification code 50-0100-0-1-376	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year	1,099	1,411	2,121
Receipts:			
02.80 Salaries and expenses	736	1,150	1,333
04.00 Total: Balances and collections	1,835	2,561	3,454
Appropriations:			
05.00 Salaries and expenses	-424	-440	-481
05.99 Total appropriations	-424	-440	-481
07.99 Balance, end of year	1,411	2,121	2,973

Program and Financing (in millions of dollars)

Identification code 50-0100-0-1-376	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
Direct program:			
00.01 Full disclosure	60	68	69
00.02 Prevention and suppression of fraud	154	165	169
00.03 Supervision and regulation of securities markets	65	71	72
00.04 Investment management regulation	82	89	90
00.05 Legal and economic services	25	29	29
00.07 Program direction	44	50	51
09.01 Reimbursable program	1	1	1
10.00 Total new obligations	431	473	481
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	74	76	25
22.00 New budget authority (gross)	437	423	481
23.90 Total budgetary resources available for obligation	511	499	506

23.95	Total new obligations	-431	-473	-481
23.98	Unobligated balance expiring or withdrawn	-4		
24.40	Unobligated balance carried forward, end of year	76	25	25

New budget authority (gross), detail:

Discretionary:				
40.00	Appropriation		21	
40.36	Unobligated balance rescinded		-50	
43.00	Appropriation (total discretionary)		-29	
Spending authority from offsetting collections:				
68.00	Offsetting governmental collections (cash)	736	1,150	1,333
68.26	From offsetting collections (unavailable balances)	295	329	300
68.45	Portion precluded from obligation (limitation on obligations)	-594	-1,027	-1,152
68.90	Spending authority from offsetting collections (total discretionary)	437	452	481
70.00	Total new budget authority (gross)	437	423	481

Change in obligated balances:

72.40	Obligated balance, start of year	92	104	126
73.10	Total new obligations	431	473	481
73.20	Total outlays (gross)	-419	-451	-477
74.40	Obligated balance, end of year	104	126	130

Outlays (gross), detail:

86.90	Outlays from new discretionary authority	368	411	415
86.93	Outlays from discretionary balances	51	40	62
87.00	Total outlays (gross)	419	451	477

Offsets:

Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-1	-1	-1
88.40	Non-Federal sources	-735	-1,149	-1,332
88.90	Total, offsetting collections (cash)	-736	-1,150	-1,333

Net budget authority and outlays:

89.00	Budget authority	-299	-727	-852
90.00	Outlays	-317	-699	-856

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.	
Net budget authority and outlays:				
89.00	Budget authority	-312	-739	-865
90.00	Outlays	-330	-711	-869

The primary mission of the Securities and Exchange Commission (the Commission) is to administer and enforce the Federal securities laws in order to protect investors, and to maintain fair, honest, and efficient markets.

Full disclosure.—This program ensures that investors will be provided with material information in the public offering, trading, voting and tendering of securities. Standards of financial reporting are established and enforced to enhance the transparency, relevance, and reliability of financial reporting so that financial statements used by investors in making investment decisions are presented fairly and have credibility. Issuers that have conducted public offerings, have securities traded in the public markets, or have total assets and security holder populations of specified sizes, are required to furnish management, financial, and business information to investors and the Commission on a continuing basis in proxy materials and in annual and other periodic reports. The staff reviews these documents on a selected basis for compliance with the disclosure requirements. In addition, all registration statements of issuers that are making their initial public offerings of securities and all third party tender offer filings are reviewed by the staff. As a result of the review process, the staff may issue comments to issuers to elicit better compliance or, where appropriate, refer matters for enforcement action.

Electronic filing (EDGAR).—The Commission's EDGAR system provides the agency with the capability for electronic receipt, analysis, and dissemination of virtually all of its full disclosure filings. Since becoming operational in 1993, EDGAR has received and successfully processed and disseminated over 4.8 million documents submitted in approximately 1.8 million separate submissions from over 28,000 companies and funds registered with the SEC.

In order to take advantage of changes in technology, lower operational costs, integrate with other SEC systems, and respond to the demands of filers and investors, the SEC decided to modernize EDGAR. This multi-year modernization will conclude in early 2002. This modernization brings Internet technology, both hardware and software, to EDGAR, with such new features as: (1) a Filing Web Site (for filing, software distribution, and assistance), (2) a security infrastructure as secure as what is used today for Internet financial transactions across the world, (3) new data formats that promote readability of documents for multiple purposes, (4) new functionality as requested by our filing community, (5) an Enterprise Data Repository for centrally housing all SEC data, and (6) a new Public Dissemination System.

The SEC is now reviewing all of its remaining paper documents to determine the feasibility and cost/benefit of incorporating those into the electronic world of EDGAR.

SELECTED WORKLOAD DATA

	2001 actual	2002 est.	2003 est.
Filings of initial 1933 Act registration statements—other than investment companies	745	745	745
Filings of repeat 1933 Act registration statements and post-effective amendments—other than investment companies ..	4,015	4,015	4,015
Filings of annual and periodic reports—other than investment companies	94,585	94,585	94,585
Filings of Director and Officer ownership and transaction reports	299,875	300,000	300,000

Prevention and suppression of fraud.—This program investigates and prosecutes violations of the federal securities laws, including financial fraud, illegal distribution of unregistered securities, fraudulent offerings, insider trading, market manipulation, and illegal conduct by broker-dealers and investment advisers. Enforcement actions include emergency actions halting ongoing violations, injunctions against future violations, and disgorgement orders. Financial penalties and bars from acting in a regulated capacity may also be obtained. Over \$3.0 billion in disgorgement and penalties has been collected in our actions since 1984. Because of the critical importance of criminal prosecutions as a deterrent to securities fraud, we refer cases to criminal authorities and detail staff to assist in criminal prosecutions.

SELECTED WORKLOAD DATA

	2001 actual	2002 est.	2003 est.
Investigations opened	570	570	570
Administrative proceedings opened	248	250	250
Civil actions opened	236	240	240

Supervision and regulation of securities markets.—Trading in the securities markets is regulated to protect investors against fraud and manipulation and to ensure the maintenance of fair, orderly, efficient, and competitive markets. The Commission oversees the work of self-regulatory organizations, monitors securities markets and broker-dealer operations, and develops regulatory strategies for coping with market stress, promoting compliance, and meeting changing domestic and international conditions. The Commission also conducts examinations of broker-dealers and inspections of transfer agents, clearing agencies, and self-regulatory organizations.

General and special funds—Continued

SALARIES AND EXPENSES—Continued

[(RESCISSION)]—Continued

SELECTED WORKLOAD DATA

	2001 actual	2002 est.	2003 est.
Review of changes in the rules and procedures of self-regulatory organizations	638	600	600
Inspections of self-regulatory organizations	31	34	34
Broker-dealer registration applications	617	750	750
Broker-dealer oversight and cause examinations	659	600	600
Transfer agent and clearing agency examinations	155	143	148

Investment management regulation.—This program administers the Investment Company Act of 1940 and the Investment Advisers Act of 1940. Mutual funds and other investment companies manage over \$6.7 trillion for more than 55 million households. The staff reviews disclosure documents filed by investment companies and investment advisers and regulates and inspects investment companies and investment advisers to protect investors against fraud, self-dealing, inadequate disclosure, and other abuse. The staff refers serious violations for enforcement action. This program also is responsible for administering the Public Utility Holding Company Act of 1935.

SELECTED WORKLOAD DATA

	2001 actual	2002 est.	2003 est.
Investment company assets inspected (\$ trillions)	1.1	2.6	2.0
Investment company portfolios and amendments filed	27,770	27,810	27,430
Investment company proxy statements filed	655	715	695
Investment advisers inspected	1,405	1,350	1,350
Investment adviser registration statements filed	916	1,000	1,000
Exemptive relief requests concluded	425	430	430
Public utility filings processed	127	140	160
Public utility annual and periodic reports examined	1,600	1,800	1,600

Legal and economic services.—This program provides a range of legal services and economic analyses to the Commission concerning its law enforcement, regulatory, and legislative activities, including: (i) prosecution of enforcement actions in appellate courts; (ii) representation of the Commission in all other appellate litigation, in private litigation where the Commission appears as *amicus curiae*, and in corporate reorganizations; (iii) representation of the Commission in actions brought against the Commission and its employees; (iv) preparation of Congressional testimony and comments and advice concerning proposed securities legislation; (v) advice to the Commission concerning issues arising from its law enforcement and regulatory activities; (vi) preparation of draft opinions of adjudicatory decisions and advice to the Commission regarding its adjudicatory decisions; (vii) advice to the Commission regarding compliance with Government-wide statutes and the statutes and rules applicable to the agency's activities; and (viii) economic analyses of proposed regulations and legislation, litigation support in enforcement cases, and independent studies of issues affecting the securities markets. In addition, the administrative law judges conduct hearings and issue initial decisions in formal administrative proceedings where the Commission has determined that hearings are appropriate in the public interest and for the protection of public investors.

SELECTED WORKLOAD DATA

	2001 actual	2002 est.	2003 est.
Litigation matters opened	273	280	285
Adjudicatory matters received	51	70	70
Adjudicatory matters completed	46	60	65
Legislative matters	274	320	320
Chapter 11 disclosure statements commented on	154	165	165
Administrative proceedings disposed by Administrative Law Judges	64	60	60

Program direction.—This program assists the Commission in fulfilling its statutory requirements and in responding to changes in the securities industry by carefully evaluating pri-

orities, formulating and implementing policies, and managing agency resources. The staff provides management direction and analysis, internal control, financial management, personnel management, data processing, public affairs, records management, information dissemination, general administrative services, and processing of equal employment opportunity complaints.

The Commission's fee schedule has been revised by the provisions of the "Investor and Capital Markets Fee Relief Act" (P.L. 107-123). This law amends fee language found in Section 6(b) of the Securities Act of 1933, Sections 13(e) 14(g) and 31 of the Securities Exchange Act of 1934, and Section 307(b) of the Trust Indenture Act of 1939. Under this law, the Section 6(b) fee rate paid by corporations to register securities with the Commission will be reduced from \$250 per \$1 million in 2001 to \$92 per \$1 million. The Section 31 transaction fee rate will be reduced from \$33.33 per \$1 million to \$15 per \$1 million. The Section 14(g) fee for proxy solicitations and statements in corporate control transactions will be reduced from \$200 per \$1 million to \$92 per \$1 million. The Section 13(e) fee for stock repurchase statements will be reduced from \$200 per \$1 million to \$92 per \$1 million. The Trust Indenture Act fee will be eliminated. Henceforth, all Commission fees will be credited to offsetting collections, with no portion credited to general revenues. The new law requires that, in fiscal years 2003-11, the Commission adjust all applicable fee rates so that, when applied to the baseline estimate of the aggregate dollar amount of relevant activities for each fiscal year, the result will be aggregate fee collections equal to a target offsetting collection amounts projected for each fiscal year. The Commission shall adjust each rate to a uniform adjusted rate in fiscal years 2012 and after.

P.L. 107-123 also granted the Commission the authority to compensate its employees in amounts comparable to the salaries paid by the federal banking agencies, in order to help ameliorate the continued difficulties that the Commission has had in attracting and retaining sufficient numbers of qualified staff. The Commission expects to make the transition once it meets the procedural steps outlined in the Act and has the budget authority to compensate its staff at the higher levels. In the interim period, many Commission staff will continue to be paid pursuant to the General Schedule, while those eligible for the special pay rates approved by Office of Personnel Management ("OPM") in 2001 will continue to be paid according to their respective special pay scales.

Object Classification (in millions of dollars)

Identification code 50-0100-0-1-376	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	217	245	254
11.3 Other than full-time permanent	4	4	4
11.5 Other personnel compensation	5	4	4
11.9 Total personnel compensation	226	253	262
12.1 Civilian personnel benefits	67	72	75
21.0 Travel and transportation of persons	8	8	9
23.2 Rental payments to others	31	35	36
23.3 Communications, utilities, and miscellaneous charges	14	14	16
24.0 Printing and reproduction	2	2	2
25.1 Advisory and assistance services	4	4	4
25.2 Other services	19	21	23
25.3 Other purchases of goods and services from Government accounts	1	1
25.4 Operation and maintenance of facilities	2	2	2
25.7 Operation and maintenance of equipment	41	44	38
26.0 Supplies and materials	5	4	4
31.0 Equipment	8	11	8
32.0 Land and structures	2	1	1
99.0 Direct obligations	430	472	480

99.5	Below reporting threshold	1	1	1
99.9	Total new obligations	431	473	481

Personnel Summary

Identification code 50-0100-0-1-376	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	2,935	2,996	2,996
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	1	1	1

Public enterprise funds:

INVESTMENT IN SECURITIES INVESTOR PROTECTION CORPORATION

Program and Financing (in millions of dollars)

Identification code 50-4068-0-3-376	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1,000	1,000	1,000
24.40 Unobligated balance carried forward, end of year	1,000	1,000	1,000
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

The Securities Investor Protection Corporation (SIPC) may borrow up to \$1 billion from the U.S. Department of the Treasury, through the Commission, in the event that the fund maintained by SIPC is insufficient to satisfy the claims of customers of failing brokerage firms. To date, SIPC has not needed these loans.

SMITHSONIAN INSTITUTION

Federal Funds

General and special funds:

SALARIES AND EXPENSES
(INCLUDING RECISSION)

For necessary expenses of the Smithsonian Institution, as authorized by law, including research in the fields of art, science, and history; development, preservation, and documentation of the National Collections; presentation of public exhibits and performances; collection, preparation, dissemination, and exchange of information and publications; conduct of education, training, and museum assistance programs; maintenance, alteration, operation, lease (for terms not to exceed 30 years), and protection of buildings, facilities, and approaches; not to exceed \$100,000 for services as authorized by 5 U.S.C. 3109; up to five replacement passenger vehicles; purchase, rental, repair, and cleaning of uniforms for employees, [\$399,253,000] \$468,462,000, of which not to exceed [\$37,508,000] \$52,884,000 for the instrumentation program, collections acquisition, exhibition reinstallation, the National Museum of the American Indian, *security improvements*, and the repatriation of skeletal remains program shall remain available until expended, and including such funds as may be necessary to support American overseas research centers and a total of \$125,000 for the Council of American Overseas Research Centers: *Provided*, That funds appropriated herein are available for advance payments to independent contractors performing research services or participating in official Smithsonian presentations: *Provided further*, That the Smithsonian Institution may expend Federal appropriations designated in this Act for lease or rent payments for long term and swing space, as rent payable to the Smithsonian Institution, and such rent payments may be deposited into the general trust funds of the Institution to the extent that federally supported activities are housed in the 900 H Street, N.W. building in the District of Columbia: *Provided further*, That this use of Federal appropriations shall not be construed as debt service, a Federal guarantee of, a transfer of risk to, or an obligation of, the Federal Government: *Provided further*, That no appropriated

funds may be used to service debt which is incurred to finance the costs of acquiring the 900 H Street building or of planning, designing, and constructing improvements to such building. *From unobligated balances of prior year appropriations \$14,100,000 is rescinded. (Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)*

For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States for "Salaries and Expenses" of the Smithsonian Institution, \$21,707,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38. (Emergency Supplemental Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 33-0100-0-1-503	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.01 Exhibits	41	39	41
00.02 Education	21	21	22
00.03 Collections	52	52	53
00.04 Research	73	73	73
00.05 Facilities	93	96	110
00.06 Security	43	67	68
00.07 Information Technology	29	38	48
00.08 Finance/General Administration	50	54	54
09.01 Reimbursable program	2	4	2
10.00 Total new obligations	404	444	471
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	16	22	34
22.00 New budget authority (gross)	410	442	457
22.10 Resources available from recoveries of prior year obligations		14	
23.90 Total budgetary resources available for obligation	426	478	491
23.95 Total new obligations	-404	-444	-471
24.40 Unobligated balance carried forward, end of year	22	34	20
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	407	440	469
40.36 Unobligated balance rescinded			-14
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent)	-1		
43.00 Appropriation (total discretionary)	406	440	455
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	2	2	2
68.10 Change in uncollected customer payments from Federal sources (unexpired)	2		
68.90 Spending authority from offsetting collections (total discretionary)	4	2	2
70.00 Total new budget authority (gross)	410	442	457
Change in obligated balances:			
72.40 Obligated balance, start of year	68	67	49
73.10 Total new obligations	404	444	471
73.20 Total outlays (gross)	-403	-448	-455
73.45 Recoveries of prior year obligations		-14	
74.00 Change in uncollected customer payments from Federal sources (unexpired)	-2		
74.40 Obligated balance, end of year	67	49	63
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	353	387	400
86.93 Outlays from discretionary balances	50	61	55
87.00 Total outlays (gross)	403	448	455
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-2	-2	-2
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources (unexpired)	-2		
Net budget authority and outlays:			
89.00 Budget authority	406	440	455
90.00 Outlays	401	446	453

General and special funds—Continued

SALARIES AND EXPENSES—Continued

(INCLUDING RECISSION)—Continued

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	387	421	435
90.00 Outlays	382	427	433

The Smithsonian Institution conducts research in the natural and physical sciences and in the history of cultures, technology, and the arts. The Institution acquires and preserves for reference and study purposes over one hundred and forty million items of scientific, cultural, and historic importance. It maintains public exhibits in a variety of fields.

The Institution operates and maintains 16 museums; a zoological park and animal conservation and research center; research facilities; and supporting facilities.

Included in the presentation of the Salaries and Expenses account are data for the Canal Zone biological area fund. Donations, subscriptions, and fees are appropriated and used to defray part of the expenses of maintaining and operating the Canal Zone biological area (60 Stat. 1101; 20 U.S.C. 79, 79a).

Object Classification (in millions of dollars)

Identification code 33-0100-0-1-503	2001 actual	2002 est.	2003 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	202	218	221
11.3 Other than full-time permanent	4	4	4
11.5 Other personnel compensation	8	9	9
11.9 Total personnel compensation	214	231	234
12.1 Civilian personnel benefits	70	78	81
21.0 Travel and transportation of persons	4	4	4
22.0 Transportation of things	1	1	1
23.2 Rental payments to others	7	7	7
23.3 Communications, utilities, and miscellaneous charges	39	34	45
24.0 Printing and reproduction	2	2	2
25.2 Other services	32	50	60
25.3 Other purchases of goods and services from Government accounts	1	1	1
26.0 Supplies and materials	17	13	13
31.0 Equipment	14	13	13
32.0 Land and structures	1	6	8
99.0 Direct obligations	402	440	469
99.0 Reimbursable obligations	2	4	2
99.9 Total new obligations	404	444	471

Personnel Summary

Identification code 33-0100-0-1-503	2001 actual	2002 est.	2003 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	4,031	4,514	4,574
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	9	9	9

Note.—2001 actual personnel number does not include 216 work years at Smithsonian Tropical Research Institute.

MUSEUM PROGRAMS AND RELATED RESEARCH (SPECIAL FOREIGN CURRENCY PROGRAM)

Program and Financing (in millions of dollars)

Identification code 33-0102-0-1-503	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	1	1
24.40 Unobligated balance carried forward, end of year	1	1	1
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

This account supports a program of grants to U.S. universities, museums, and other institutions of higher learning, paid for by excess U.S.-owned foreign currencies. Areas of research include archeology and related disciplines, systematic and environmental biology, astrophysics and Earth sciences, and museum programs.

REPAIR, RESTORATION AND ALTERATION OF FACILITIES

For necessary expenses of maintenance, repair, restoration, and alteration of facilities owned or occupied by the Smithsonian Institution, by contract or otherwise, as authorized by section 2 of the Act of August 22, 1949 (63 Stat. 623), including necessary personnel, including not to exceed \$10,000 for services as authorized by 5 U.S.C. 3109, [\$67,900,000] \$81,300,000, to remain available until expended, of which [\$10,000,000] \$16,750,000 is provided for maintenance, repair, rehabilitation and alteration of facilities at the National Zoological Park: *Provided*, That contracts awarded for environmental systems, protection systems, and repair or restoration of facilities of the Smithsonian Institution may be negotiated with selected contractors and awarded on the basis of contractor qualifications as well as price. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 33-0132-0-1-503	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	63	69	80
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	14	9	8
22.00 New budget authority (gross)	58	68	81
23.90 Total budgetary resources available for obligation	72	77	89
23.95 Total new obligations	-63	-69	-80
24.40 Unobligated balance carried forward, end of year	9	8	9
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	58	68	81
Change in obligated balances:			
72.40 Obligated balance, start of year	51	55	61
73.10 Total new obligations	63	69	80
73.20 Total outlays (gross)	-58	-63	-61
74.40 Obligated balance, end of year	55	61	80
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	15	17	20
86.93 Outlays from discretionary balances	43	46	41
87.00 Total outlays (gross)	58	63	61
Net budget authority and outlays:			
89.00 Budget authority	58	68	81
90.00 Outlays	58	63	61

This account encompasses maintenance, repairs, restorations, code compliance changes, minor construction, alter-

ations and modifications, and building system renewals of Smithsonian museum buildings and facilities for storage and conservation of collections, research, and support. Current long-term projects supported by the Administration in this account include ongoing renovations at the National Museum of Natural History, the Patent Office Building, and the National Zoological Park.

Object Classification (in millions of dollars)

Identification code 33-0132-0-1-503	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent		2	3
12.1 Civilian personnel benefits			1
25.2 Other services	62	66	75
26.0 Supplies and materials	1	1	1
99.9 Total new obligations	63	69	80

Personnel Summary

Identification code 33-0132-0-1-503	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment		37	60

CONSTRUCTION

For necessary expenses for construction, **[\$30,000,000]** including necessary personnel, \$12,000,000, to remain available until expended. (Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

Program and Financing (in millions of dollars)

Identification code 33-0133-0-1-503	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
00.06 National Museum of the American Indian	44	29	12
00.07 Natural History East Court Building	1		
00.08 National Zoological Park Agriculture Exhibit	1	4	
00.10 Smithsonian Astrophysical Observ. - Hilo Building		5	
00.12 Museum Support Center, Pod 5			2
10.00 Total new obligations	46	38	14
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	48	12	4
22.00 New budget authority (gross)	10	30	12
23.90 Total budgetary resources available for obligation	58	42	16
23.95 Total new obligations	-46	-38	-14
24.40 Unobligated balance carried forward, end of year	12	4	2
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	10	30	12
Change in obligated balances:			
72.40 Obligated balance, start of year	7	43	42
73.10 Total new obligations	46	38	14
73.20 Total outlays (gross)	-10	-39	-14
74.40 Obligated balance, end of year	43	42	42
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	2	6	2
86.93 Outlays from discretionary balances	8	33	12
87.00 Total outlays (gross)	10	39	14
Net budget authority and outlays:			
89.00 Budget authority	10	30	12
90.00 Outlays	10	39	14

This account provides funding for major new construction projects to support the Smithsonian's existing and future programs in research, collections management, public exhibitions and education. The 2003 budget request provides funds for the construction of the National Museum of the American

Indian on the Mall and the design of the Museum Support Center Pod 5.

Object Classification (in millions of dollars)

Identification code 33-0133-0-1-503	2001 actual	2002 est.	2003 est.
11.1 Personnel compensation: Full-time permanent			1
25.2 Other services	46	38	13
99.9 Total new obligations	46	38	14

Personnel Summary

Identification code 33-0133-0-1-503	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment			5

**JOHN F. KENNEDY CENTER FOR THE PERFORMING ARTS
OPERATIONS AND MAINTENANCE**

For necessary expenses for the operation, maintenance and security of the John F. Kennedy Center for the Performing Arts, **[\$15,000,000]** \$17,102,000. (Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.)

For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States for "Operations and Maintenance" of the John F. Kennedy Center for the Performing Arts, \$4,310,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38. (Emergency Supplemental Act, 2002.)

Program and Financing (in millions of dollars)

Identification code 33-0302-0-1-503	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	14	19	16
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	14	19	16
23.95 Total new obligations	-14	-19	-16
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	14	19	16
Change in obligated balances:			
72.40 Obligated balance, start of year	4	3	3
73.10 Total new obligations	14	19	16
73.20 Total outlays (gross)	-15	-18	-16
74.40 Obligated balance, end of year	3	3	3
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	12	15	13
86.93 Outlays from discretionary balances	3	3	4
87.00 Total outlays (gross)	15	18	16
Net budget authority and outlays:			
89.00 Budget authority	14	19	16
90.00 Outlays	15	18	16

This appropriation provides for the operating and maintenance expenses of the John F. Kennedy Center for the Performing Arts, including maintenance, security, memorial interpretation, janitorial, short-term repair, and other services.

Object Classification (in millions of dollars)

Identification code 33-0302-0-1-503	2001 actual	2002 est.	2003 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	3	3	3
23.3 Communications, utilities, and miscellaneous charges	3	3	3
25.2 Other services	7	12	9
99.0 Direct obligations	13	18	15

General and special funds—Continued

JOHN F. KENNEDY CENTER FOR THE PERFORMING ARTS—Continued

OPERATIONS AND MAINTENANCE—Continued

Object Classification (in millions of dollars)—Continued

Identification code 33-0302-0-1-503	2001 actual	2002 est.	2003 est.
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	14	19	16

Personnel Summary

Identification code 33-0302-0-1-503	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	53	55	55

JOHN F. KENNEDY CENTER FOR THE PERFORMING ARTS

CONSTRUCTION

For necessary expenses for capital repair and restoration of the existing features of the building and site of the John F. Kennedy Center for the Performing Arts, **[\$19,000,000] \$17,000,000**, to remain available until expended. (*Department of the Interior and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 33-0303-0-1-503	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)	36	30	17
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	26	11
22.00 New budget authority (gross)	20	19	17
22.10 Resources available from recoveries of prior year obligations	2
23.90 Total budgetary resources available for obligation	48	30	17
23.95 Total new obligations	-36	-30	-17
24.40 Unobligated balance carried forward, end of year	11
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	20	19	17
Change in obligated balances:			
72.40 Obligated balance, start of year	9	29	27
73.10 Total new obligations	36	30	17
73.20 Total outlays (gross)	-14	-32	-20
73.45 Recoveries of prior year obligations	-2
74.40 Obligated balance, end of year	29	27	24
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	14	11	10
86.93 Outlays from discretionary balances	21	10
87.00 Total outlays (gross)	14	32	20
Net budget authority and outlays:			
89.00 Budget authority	20	19	17
90.00 Outlays	14	32	20

This appropriation provides for the repair, restoration and renovation of the Kennedy Center building, including major projects related to plumbing and electrical systems, air handling systems, and major repair of interior spaces, including access for persons with disabilities. The Kennedy Center plans to continue Phase II of the renovation of the interior of the presidential memorial.

NATIONAL GALLERY OF ART

SALARIES AND EXPENSES

For the upkeep and operations of the National Gallery of Art, the protection and care of the works of art therein, and administrative expenses incident thereto, as authorized by the Act of March 24, 1937 (50 Stat. 51), as amended by the public resolution of April 13, 1939 (Public Resolution 9, Seventy-sixth Congress), including services as authorized by 5 U.S.C. 3109; payment in advance when authorized by the treasurer of the Gallery for membership in library, museum, and art associations or societies whose publications or services are available to members only, or to members at a price lower than to the general public; purchase, repair, and cleaning of uniforms for guards, and uniforms, or allowances therefor, for other employees as authorized by law (5 U.S.C. 5901-5902); purchase or rental of devices and services for protecting buildings and contents thereof, and maintenance, alteration, improvement, and repair of buildings, approaches, and grounds; and purchase of services for restoration and repair of works of art for the National Gallery of Art by contracts made, without advertising, with individuals, firms, or organizations at such rates or prices and under such terms and conditions as the Gallery may deem proper, **[\$68,967,000] \$81,864,000**, of which not to exceed **[\$3,026,000] \$827,000** for the special exhibition program shall remain available until expended. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

[For emergency expenses to respond to the September 11, 2001, terrorist attacks on the United States for "Salaries and Expenses" of the National Gallery of Art, \$2,148,000, to remain available until expended, to be obligated from amounts made available in Public Law 107-38.] (*Emergency Supplemental Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 33-0200-0-1-503	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	67	75	82
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	68	75	82
23.95 Total new obligations	-67	-75	-82
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	68	75	82
Change in obligated balances:			
72.40 Obligated balance, start of year	6	7	7
73.10 Total new obligations	67	75	82
73.20 Total outlays (gross)	-66	-76	-82
74.40 Obligated balance, end of year	7	7	7
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	61	69	76
86.93 Outlays from discretionary balances	5	7	6
87.00 Total outlays (gross)	66	76	82
Net budget authority and outlays:			
89.00 Budget authority	68	75	82
90.00 Outlays	66	76	82
Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)			
	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	65	71	78
90.00 Outlays	63	72	78

The National Gallery of Art receives, holds, and administers works of art acquired for the Nation by the Gallery's board of trustees. It also maintains the Gallery buildings to give maximum care and protection to art treasures and to enable these works of art to be exhibited.

Object Classification (in millions of dollars)				
Identification code 33-0200-0-1-503	2001 actual	2002 est.	2003 est.	
Personnel compensation:				
11.1 Full-time permanent	35	38	40	
11.3 Other than full-time permanent	1	1	1	
11.5 Other personnel compensation	2	4	4	
11.9 Total personnel compensation	38	43	45	
12.1 Civilian personnel benefits	12	15	16	
22.0 Transportation of things	1	1	1	
23.3 Communications, utilities, and miscellaneous charges	5	5	6	
25.2 Other services	7	6	4	
25.4 Operation and maintenance of facilities	1	1	6	
26.0 Supplies and materials	2	2	2	
31.0 Equipment	1	2	3	
99.9 Total new obligations	67	75	82	

Personnel Summary

Identification code 33-0200-0-1-503	2001 actual	2002 est.	2003 est.	
1001 Total compensable workyears: Full-time equivalent employment	753	845	845	

NATIONAL GALLERY OF ART

REPAIR, RESTORATION AND RENOVATION OF BUILDINGS

For necessary expenses of repair, restoration and renovation of buildings, grounds and facilities owned or occupied by the National Gallery of Art, by contract or otherwise, as authorized, **[\$14,220,000]** \$16,230,000, to remain available until expended: *Provided*, That contracts awarded for environmental systems, protection systems, and exterior repair or renovation of buildings of the National Gallery of Art may be negotiated with selected contractors and awarded on the basis of contractor qualifications as well as price. (*Department of the Interior and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 33-0201-0-1-503	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
10.00 Total new obligations	10	16	16	
Budgetary resources available for obligation:				
21.40 Unobligated balance carried forward, start of year	3	4	2	
22.00 New budget authority (gross)	11	14	16	
23.90 Total budgetary resources available for obligation	14	18	18	
23.95 Total new obligations	-10	-16	-16	
24.40 Unobligated balance carried forward, end of year	4	2	2	
New budget authority (gross), detail:				
Discretionary:				
40.00 Appropriation	11	14	16	
Change in obligated balances:				
72.40 Obligated balance, start of year	5	8	8	
73.10 Total new obligations	10	16	16	
73.20 Total outlays (gross)	-7	-16	-17	
74.40 Obligated balance, end of year	8	8	7	
Outlays (gross), detail:				
86.90 Outlays from new discretionary authority	2	5	3	
86.93 Outlays from discretionary balances	5	11	14	
87.00 Total outlays (gross)	7	16	17	
Net budget authority and outlays:				
89.00 Budget authority	11	14	16	
90.00 Outlays	7	16	17	

This account encompasses repairs, alterations, and improvements; additions, renovations, and restorations of a long-term nature and utility; and facilities planning and design. The funds are used to keep National Gallery of Art facilities in good repair and efficient operating condition.

Object Classification (in millions of dollars)				
Identification code 33-0201-0-1-503	2001 actual	2002 est.	2003 est.	
Direct obligations:				
25.2 Other services		1	1	
31.0 Equipment	1			
32.0 Land and structures	9	14	15	
99.0 Direct obligations	10	15	16	
99.5 Below reporting threshold		1	1	
99.9 Total new obligations	10	16	16	

Personnel Summary

Identification code 33-0201-0-1-503	2001 actual	2002 est.	2003 est.	
1001 Total compensable workyears: Full-time equivalent employment	2	3	3	

WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS

SALARIES AND EXPENSES

For expenses necessary in carrying out the provisions of the Woodrow Wilson Memorial Act of 1968 (82 Stat. 1356) including hire of passenger vehicles and services as authorized by 5 U.S.C. 3109, **[\$7,796,000]** \$8,708,000. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 33-0400-0-1-503	2001 actual	2002 est.	2003 est.	
Obligations by program activity:				
10.00 Total new obligations	7	8	8	
Budgetary resources available for obligation:				
22.00 New budget authority (gross)	7	8	8	
23.95 Total new obligations	-7	-8	-8	
New budget authority (gross), detail:				
Discretionary:				
40.00 Appropriation	7	8	8	
Change in obligated balances:				
72.40 Obligated balance, start of year	2	2	3	
73.10 Total new obligations	7	8	8	
73.20 Total outlays (gross)	-7	-8	-8	
74.40 Obligated balance, end of year	2	3	3	
Outlays (gross), detail:				
86.90 Outlays from new discretionary authority	5	5	5	
86.93 Outlays from discretionary balances	2	3	3	
87.00 Total outlays (gross)	7	8	8	
Net budget authority and outlays:				
89.00 Budget authority	7	8	8	
90.00 Outlays	7	8	8	

The Woodrow Wilson Center facilitates scholarship of the highest quality in the social sciences and humanities and communicates that scholarship to a wide audience within and beyond Washington. This is accomplished through a resident body of fellowship awardees, conferences, publication, and dialogue.

Object Classification (in millions of dollars)

Identification code 33-0400-0-1-503	2001 actual	2002 est.	2003 est.	
11.1 Personnel compensation: Full-time permanent	3	3	3	
12.1 Civilian personnel benefits	1	1	1	
25.2 Other services	2	3	3	
41.0 Grants, subsidies, and contributions	1	1	1	
99.9 Total new obligations	7	8	8	

General and special funds—Continued

**WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS—
Continued**

SALARIES AND EXPENSES—Continued

Personnel Summary

Identification code 33-0400-0-1-503	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	45	50	50

**WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS
PAYMENT TO ENDOWMENT FUND**

Program and Financing (in millions of dollars)

Identification code 33-0401-0-1-503	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	5		

Budgetary resources available for obligation:			
22.00 New budget authority (gross)	5		
23.95 Total new obligations	-5		

New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	5		

Change in obligated balances:			
73.10 Total new obligations	5		
73.20 Total outlays (gross)	-5		

Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	5		

Net budget authority and outlays:			
89.00 Budget authority	5		
90.00 Outlays	5		

Endowment funds were placed in investments approved by the Board of Trustees of the Woodrow Wilson International Center for Scholars. Income earned from the investments will be used to support the programs of the Center that the Board of Trustees and the Director of the Center deem appropriate.

STATE JUSTICE INSTITUTE

Federal Funds

General and special funds:

SALARIES AND EXPENSES

[For necessary expenses of the State Justice Institute, as authorized by the State Justice Institute Authorization Act of 1992 (Public Law 102-572; 106 Stat. 4515-4516), \$3,000,000: *Provided*, That not to exceed \$2,500 shall be available for official reception and representation expenses.] (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 48-0052-0-1-752	2001 actual	2002 est.	2003 est.
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Obligations by program activity:			
10.00 Total new obligations (object class 41.0)	7	3	

Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	2	2	2
22.00 New budget authority (gross)	7	3	

23.90 Total budgetary resources available for obligation	9	5	2
23.95 Total new obligations	-7	-3	
24.40 Unobligated balance carried forward, end of year	2	2	2

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	7	3	

Change in obligated balances:

72.40 Obligated balance, start of year	8	10	9
73.10 Total new obligations	7	3	
73.20 Total outlays (gross)	-5	-4	-1
74.40 Obligated balance, end of year	10	9	8

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	5	2	
86.93 Outlays from discretionary balances		2	1
87.00 Total outlays (gross)	5	4	1

Net budget authority and outlays:

89.00 Budget authority	7	3	
90.00 Outlays	7	4	1

The State Justice Institute was established by the Congress in 1984 as a private, non-profit corporation to make grants and undertake other activities designed to improve the administration of justice in the United States.

TENNESSEE VALLEY AUTHORITY

Federal Funds

Public enterprise funds:

TENNESSEE VALLEY AUTHORITY FUND

Program and Financing (in millions of dollars)

Identification code 64-4110-0-3-999	2001 actual	2002 est.	2003 est.
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Obligations by program activity:

Power program:			
09.01 Power program: Operating expenses	5,424	5,783	5,830
09.02 Power program: Capital expenditures	1,269	1,811	1,177
09.09 Total power program	6,693	7,594	7,007
10.00 Total new obligations	6,693	7,594	7,007

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	283	323	330
22.00 New budget authority (gross)	6,733	7,601	6,979
23.90 Total budgetary resources available for obligation	7,016	7,924	7,309
23.95 Total new obligations	-6,693	-7,594	-7,007
24.40 Unobligated balance carried forward, end of year	323	330	302

New budget authority (gross), detail:

Mandatory:			
67.10 Authority to borrow		300	
69.00 Offsetting collections (cash)	7,398	7,430	7,288
69.27 Capital transfer to general fund	-55	-50	-48
69.47 Portion applied to repay debt	-610	-50	-252
69.53 Portion substituted for borrowing authority		-29	-9
69.90 Spending authority from offsetting collections (total mandatory)	6,733	7,301	6,979
70.00 Total new budget authority (gross)	6,733	7,601	6,979

Change in obligated balances:

72.40 Obligated balance, start of year	800	757	741
73.10 Total new obligations	6,693	7,594	7,007
73.20 Total outlays (gross)	-6,736	-7,610	-6,987
74.40 Obligated balance, end of year	757	741	761

Outlays (gross), detail:

86.93 Outlays from discretionary balances	8	2	1
86.97 Outlays from new mandatory authority	6,647	7,301	6,902
86.98 Outlays from mandatory balances	81	307	84
87.00 Total outlays (gross)	6,736	7,610	6,987

Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-72	-82	-83

88.40	Non-Federal sources	-7,326	-7,348	-7,205
88.90	Total, offsetting collections (cash)	-7,398	-7,430	-7,288
Net budget authority and outlays:				
89.00	Budget authority	-665	171	-309
90.00	Outlays	-662	180	-301

Note.—Authority to borrow available to the Tennessee Valley Authority continues to be available on a permanent, indefinite basis. This authority is limited only in that the amount of borrowing outstanding at any time cannot exceed \$30 billion.

Status of Direct Loans (in millions of dollars)

Identification code 64-4110-0-3-999	2001 actual	2002 est.	2003 est.	
Position with respect to appropriations act limitation on obligations:				
1111	Limitation on direct loans			
1131	Direct loan obligations exempt from limitation	13	18	19
1150	Total direct loan obligations	13	18	19
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	53	51	57
1231	Disbursements: Direct loan disbursements	12	18	19
1251	Repayments: Repayments and prepayments	-13	-12	-16
1263	Write-offs for default: Direct loans	-1		-1
1290	Outstanding, end of year	51	57	59

The Tennessee Valley Authority (TVA) was created in 1933 as a Government-owned corporation for the unified development of a river basin comprised of parts of seven States. The agency finances its program primarily from proceeds available from current power operations and borrowings against future power revenues.

TVA's nonpower programs.—As a Federal corporation, TVA serves national interests by operating infrastructure services for the production of electricity, economic development and the stewardship of natural resources in 201 counties in seven States.

TVA has a statutory obligation to operate 54 dams and reservoirs to regulate stream-flow for the multi-purpose objectives of navigation, flood control, recreation and aquatic habitat conservation; perform cyclic maintenance and repair of 14 navigation locks, maintain dam machinery and spillway gates; perform channel, lock and mooring modifications to maintain safety and passability for increasingly larger cargo vessels; conserve and improve water quality and supply in 12 watersheds and dam tailwaters for fisheries and potable supply for 4 million people; control mosquitoes and plant pests; prevent shoreline erosion and manage residential development in riparian zones; plan for and manage 550,000 hectares (1.4 million acres) of land; provide services and education to watershed communities; operate public recreation areas; and, meet Federal regulatory law requirements. The Budget proposes that in 2003, these services be funded entirely by TVA's power revenues, user fees and sources other than appropriations.

TVA's Power Program.—TVA's role as the sole supplier of electric power to an area of 80,000 square miles in the seven Tennessee Valley States is being reviewed as the Nation considers ways to restructure the electric power industry. Income from power operations, net of interest charges and depreciation, and other operating expenses is estimated at \$100,000,000 in 2003. Power generating facilities are financed from power proceeds and borrowings. The Budget reflects a number of important initiatives TVA is undertaken to prepare TVA for changes that are occurring in the electric power industry. The initiatives are designed to give TVA's customers better service, greater freedom of choice, and continued access to economical power. TVA will renegotiate its sales contracts with the municipal utilities and cooperatives it serves. It will work with the Federal Energy Regulatory Commission and

the Department of Energy to improve the nation's transmission system. It will continue to work with independent power producers to tie into TVA's transmission network and thus give TVA customers a wider range of choice. It will revise its power pricing policies to encourage its power distributors to introduce pricing systems such as time-of-day pricing which encourage cost-effective energy conservation.

Financing.—Amounts estimated to become available in 2003 are to be derived from power revenues and receipts of \$7,275 million.

Operating results and financial conditions.—Payments to the Treasury from power proceeds in 2003 are estimated at \$48 million—\$28 million as a dividend (return on the appropriation investment in the power program) and \$20 million as a reduction in the appropriation investment in the power program. Outstanding borrowings for the power program are expected to decrease by \$252 million during 2003.

Total assets are estimated to increase by \$105 million during 2003. The estimate of liabilities at September 30, 2003, is \$62 million more than the estimate at September 30, 2002. Total Government equity at September 30, 2003, is estimated to be \$43 million greater than that at September 2002. This change includes the net income from power operations, less payments to the Treasury.

Statement of Operations (in millions of dollars)

Identification code 64-4110-0-3-999	2000 actual	2001 actual	2002 est.	2003 est.	
0101	Revenue	6,740	6,999	7,111	7,275
0102	Expense	-6,716	-10,310	-7,011	-7,175
0105	Net income or loss (-)	24	-3,311	100	100

Balance Sheet (in millions of dollars)

Identification code 64-4110-0-3-999	2000 actual	2001 actual	2002 est.	2003 est.	
ASSETS:					
Federal assets:					
1101	Fund balances with Treasury	172	166	160	160
Investments in US securities:					
1106	Receivables, net	11	36	15	15
Non-Federal assets:					
1201	Investments in non-Federal securities, net	840	724	772	841
1206	Receivables, net	676	684	791	728
1207	Advances and prepayments	4	4	4	4
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:					
1601	Direct loans, gross	189	161	201	187
1603	Allowance for estimated uncollectible loans and interest (-)	-13	-12	-12	-12
1604	Direct loans and interest receivable, net	176	149	189	175
1699	Value of assets related to direct loans	176	149	189	175
Other Federal assets:					
1801	Cash and other monetary assets	2,647	1,884	1,377	1,323
1802	Inventories and related properties	386	438	459	463
1803	Property, plant and equipment, net	29,053	26,358	26,775	26,938
1999	Total assets	33,965	30,443	30,542	30,647
LIABILITIES:					
Federal liabilities:					
2101	Accounts payable	93	209	200	200
2102	Interest payable	2			
Non-Federal liabilities:					
2201	Accounts payable	452	555	573	573
2202	Interest payable	436	389	398	435
2203	Debt	25,985	25,375	25,325	25,073
2207	Other	2,046	2,467	2,561	2,838
2999	Total liabilities	29,014	28,995	29,057	29,119
NET POSITION:					
3300	Cumulative results of operations	4,951	1,448	1,485	1,528
3999	Total net position	4,951	1,448	1,485	1,528

Public enterprise funds—Continued

TENNESSEE VALLEY AUTHORITY FUND—Continued

Balance Sheet (in millions of dollars)—Continued

Identification code 64-4110-0-3-999	2000 actual	2001 actual	2002 est.	2003 est.
4999 Total liabilities and net position	33,965	30,443	30,542	30,647

Object Classification (in millions of dollars)

Identification code 64-4110-0-3-999	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	836	949	875
11.5 Other personnel compensation	83	94	87
11.9 Total personnel compensation	919	1,043	962
12.1 Civilian personnel benefits	309	351	323
21.0 Travel and transportation of persons	19	22	20
22.0 Transportation of things	211	239	221
23.2 Rental payments to others	108	123	113
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	1,838	2,085	1,926
25.2 Other services	1,135	1,288	1,187
25.7 Operation and maintenance of equipment	248	281	260
26.0 Supplies and materials	10	11	10
31.0 Equipment	99	112	104
32.0 Land and structures	4	5	4
33.0 Investments and loans	-122	-138	-128
41.0 Grants, subsidies, and contributions	315	357	330
43.0 Interest and dividends	1,599	1,814	1,674
99.9 Total new obligations	6,693	7,594	7,007

Personnel Summary

Identification code 64-4110-0-3-999	2001 actual	2002 est.	2003 est.
2001 Total compensable workyears: Full-time equivalent employment	13,178	13,100	13,200

**UNITED MINE WORKERS OF AMERICA
BENEFIT FUNDS**

Trust Funds

UNITED MINE WORKERS OF AMERICA COMBINED BENEFIT FUND

Unavailable Collections (in millions of dollars)

Identification code 95-8295-0-7-551	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.00 Premiums, combined benefit fund & 1992 pension plan, UMWA	150	143	138
02.40 Transfers from abandoned mine reclamation fund	182	90	70
02.99 Total receipts and collections	332	233	208
Appropriations:			
05.00 United mine workers of America 1992 benefit plan	-31	-31	-32
05.01 United mine workers of America combined benefit fund	-301	-202	-176
05.99 Total appropriations	-332	-233	-208
07.99 Balance, end of year			

Note.—The unavailable collections table (above) includes entries that pertain both to the combined benefit fund and the 1992 benefit plan.

Program and Financing (in millions of dollars)

Identification code 95-8295-0-7-551	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 42.0)	301	202	176
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	301	202	176

23.95 Total new obligations	-301	-202	-176
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New budget authority (gross), detail:

Mandatory:			
60.26 Appropriation (trust fund)	301	202	176

Change in obligated balances:

73.10 Total new obligations	301	202	176
73.20 Total outlays (gross)	-301	-202	-176

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	301	202	176
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Net budget authority and outlays:

89.00 Budget authority	301	202	176
90.00 Outlays	301	202	176

The Combined Benefit Fund was established by the Coal Industry Retiree Health Benefit Act of 1992 to take over paying for medical care of retired miners and their dependents who were eligible for health care from the private 1950 and 1974 United Mine Workers of America Benefit Plans. The Fund's trustees represent the United Mine Workers of America and coal companies. The Fund is financed by assessments on current and former signatories to labor agreements with the United Mine Workers; past transfers from an over-funded United Mine Workers pension fund; and transfers from the Abandoned Mine Land Reclamation fund.

UNITED MINE WORKERS OF AMERICA 1992 BENEFIT PLAN

Program and Financing (in millions of dollars)

Identification code 95-8260-0-7-551	2001 actual	2002 est.	2003 est.
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Obligations by program activity:

10.00 Total new obligations (object class 42.0)	31	31	32
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Budgetary resources available for obligation:

22.00 New budget authority (gross)	31	31	32
23.95 Total new obligations	-31	-31	-32

New budget authority (gross), detail:

Mandatory:			
60.26 Appropriation (trust fund)	31	31	32

Change in obligated balances:

73.10 Total new obligations	31	31	32
73.20 Total outlays (gross)	-31	-31	-32

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	31	31	32
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Net budget authority and outlays:

89.00 Budget authority	31	31	32
90.00 Outlays	31	31	32

The 1992 Benefit Plan was established by the Coal Industry Retiree Health Benefit Act of 1992. It pays for health care of those miners who retired between July 21, 1992 and September 30, 1994, and their dependents, who are eligible for benefits under an employer plan and cease to be covered, usually because an employer is out of business. Plan trustees are appointed by the United Mine Workers of America and the Bituminous Coal Operators Association, a coal industry bargaining group. The Plan is supported by signers of the 1988 labor agreement with the United Mine Workers of America.

UNITED STATES ENRICHMENT CORPORATION

Federal Funds

Public enterprise funds:

UNITED STATES ENRICHMENT CORPORATION FUND

Program and Financing (in millions of dollars)

Identification code 95-4054-0-3-271	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	478	1,239	1,306
22.00 New budget authority (gross)	37	67	71
22.40 Capital transfer from general fund	725		
23.90 Total budgetary resources available for obligation	1,239	1,306	1,377
24.40 Unobligated balance carried forward, end of year	1,239	1,306	1,377
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	37	67	71
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	1
74.40 Obligated balance, end of year	1	1	1
Offsets:			
Against gross budget authority and outlays:			
88.20 Offsetting collections (cash) from: Interest on Federal securities	-37	-67	-71
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-37	-67	-71
Memorandum (non-add) entries:			
92.01 Total investments, start of year: Federal securities: Par value	508	1,242	1,309
92.02 Total investments, end of year: Federal securities: Par value	1,242	1,309	1,380

The funds in this account may be used without further appropriation only for paying any remaining expenses associated with the transfer of ownership of the United States Enrichment Corporation to private investors. These expenses are estimated to total less than \$1 million. There are no other authorized uses for these funds.

UNITED STATES HOLOCAUST MEMORIAL MUSEUM

Federal Funds

General and special funds:

HOLOCAUST MEMORIAL MUSEUM

For expenses of the Holocaust Memorial Museum, as authorized by Public Law 106-292 (36 U.S.C. 2301-2310), **[\$36,028,000]** \$39,867,000, of which \$1,900,000 for the museum's repair and rehabilitation program and \$1,264,000 for the museum's exhibitions program shall remain available until expended. (*Department of the Interior and Related Agencies Appropriations Act, 2002; additional authorizing legislation required.*)

Program and Financing (in millions of dollars)

Identification code 95-3300-0-1-808	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	33	37	40
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year	1	3	3
22.00 New budget authority (gross)	35	37	40
23.90 Total budgetary resources available for obligation	36	40	43
23.95 Total new obligations	-33	-37	-40
23.98 Unobligated balance expiring or withdrawn	-1		

24.40 Unobligated balance carried forward, end of year	3	3	3
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New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	35	37	40

Change in obligated balances:

72.40 Obligated balance, start of year	9	11	12
73.10 Total new obligations	33	37	40
73.20 Total outlays (gross)	-31	-36	-38
74.40 Obligated balance, end of year	11	12	13

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	26	27	28
86.93 Outlays from discretionary balances	5	9	10

87.00 Total outlays (gross)	31	36	38
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Net budget authority and outlays:

89.00 Budget authority	35	37	40
90.00 Outlays	30	36	38

Budget Authority and Outlays Excluding Full Funding for Federal Retiree Costs (in millions of dollars)

	2001 actual	2002 est.	2003 est.
Net budget authority and outlays:			
89.00 Budget authority	34	36	39
90.00 Outlays	29	35	37

The Museum operates a permanent living memorial to the victims of the Holocaust. The memorial museum, which opened in April 1993, also provides for appropriate ways for the Nation to commemorate the Days of Remembrance.

Object Classification (in millions of dollars)

Identification code 95-3300-0-1-808	2001 actual	2002 est.	2003 est.
Personnel compensation:			
11.1 Full-time permanent	12	13	14
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	13	14	15
12.1 Civilian personnel benefits	4	4	5
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	1	1	1
23.3 Communications, utilities, and miscellaneous charges	2	3	3
25.2 Other services	10	10	11
25.4 Operation and maintenance of facilities		2	2
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	1	1
99.9 Total new obligations	33	37	40

Personnel Summary

Identification code 95-3300-0-1-808	2001 actual	2002 est.	2003 est.
1001 Total compensable workyears: Full-time equivalent employment	231	247	248

UNITED STATES INSTITUTE OF PEACE

Federal Funds

General and special funds:

OPERATING EXPENSES

For necessary expenses of the United States Institute of Peace as authorized in the United States Institute of Peace Act, **[\$15,104,000]** \$16,200,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002.*)

General and special funds—Continued

OPERATING EXPENSES—Continued

Program and Financing (in millions of dollars)

Identification code 95-1300-0-1-153	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations	15	15	16
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	15	15	16
23.95 Total new obligations	-15	-15	-16
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation	15	15	16
Change in obligated balances:			
72.40 Obligated balance, start of year	1	1	1
73.10 Total new obligations	15	15	16
73.20 Total outlays (gross)	-15	-15	-15
74.40 Obligated balance, end of year	1	1	1
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority	14	14	15
86.93 Outlays from discretionary balances	1	1	1
87.00 Total outlays (gross)	15	15	15
Net budget authority and outlays:			
89.00 Budget authority	15	15	16
90.00 Outlays	15	15	16

The United States Institute of Peace was established by Congress to help strengthen the Nation's capacity to promote peaceful resolution of international conflicts. Program activity includes policy assessments for the Executive and Legislative Branches; conflict resolution training for foreign affairs professionals; facilitation of dialogue among parties to conflicts; summer institutes and educational materials for teachers at high school and undergraduate levels; grants and fellowships; publications; a research library; a national student essay contest; and, other programs to increase public understanding about the nature of international conflicts.

Object Classification (in millions of dollars)

Identification code 95-1300-0-1-153	2001 actual	2002 est.	2003 est.
11.8 Personnel compensation: Special personal services payments	4	5	6
12.1 Civilian personnel benefits	1	1	1
21.0 Travel and transportation of persons	1	1	1
25.2 Other services	3	3	2
41.0 Grants, subsidies, and contributions	6	5	6
99.9 Total new obligations	15	15	16

UNITED STATES—CANADA ALASKA RAIL COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

[For necessary expenses of the "United States-Canada Alaska Rail Commission", as authorized by title III of Public Law 106-520, \$2,000,000, to remain available until expended.] (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2002.*)

Program and Financing (in millions of dollars)

Identification code 48-2993-0-1-401	2001 actual	2002 est.	2003 est.
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	2	2	

New budget authority (gross), detail:

Discretionary:			
40.00 Appropriation	2	2	

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	2		
86.93 Outlays from discretionary balances	2		
87.00 Total outlays (gross)	4		

Net budget authority and outlays:

89.00 Budget authority	2	2	
90.00 Outlays		4	

The Commission was authorized by the Congress in 2000 to study the feasibility and advisability of linking the rail system in Alaska to the nearest appropriate point on the North American continental rail system.

VIETNAM EDUCATION FOUNDATION

Federal Funds

General and special funds:

VIETNAM DEBT REPAYMENT FUND

Unavailable Collections (in millions of dollars)

Identification code 95-5365-0-2-154	2001 actual	2002 est.	2003 est.
01.99 Balance, start of year			
Receipts:			
02.40 Vietnam debt repayment fund		5	5
Appropriations:			
05.00 Vietnam debt repayment fund		-5	-5
07.99 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 95-5365-0-2-154	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
10.00 Total new obligations (object class 25.2)		1	2
Budgetary resources available for obligation:			
21.40 Unobligated balance carried forward, start of year			4
22.00 New budget authority (gross)		5	5
23.90 Total budgetary resources available for obligation		5	9
23.95 Total new obligations		-1	-2
24.40 Unobligated balance carried forward, end of year		4	7

New budget authority (gross), detail:

Mandatory:			
60.20 Appropriation (special fund)		5	5

Change in obligated balances:

73.10 Total new obligations		1	2
73.20 Total outlays (gross)		-1	-2

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	1		
86.98 Outlays from mandatory balances			2
87.00 Total outlays (gross)	1		2

Net budget authority and outlays:

89.00 Budget authority		5	5
90.00 Outlays		1	2

The Vietnam Education Foundation Act of 2000 (Title II of Public Law 106-554) created the Vietnam Education Foundation to administer an international fellowship program under which Vietnamese nationals can undertake graduate and post-graduate level studies in the sciences (natural, physical, and environmental), mathematics, medicine, and technology, and American citizens can teach in these fields in appropriate Vietnamese institutions. The Act also authorized

the establishment of the Vietnam Debt Repayment Fund, in which all payments (including interest payments) made by the Socialist Republic of Vietnam under the United States-Vietnam debt agreement shall be deposited as offsetting re-

ceipts. Beginning with 2002, and each subsequent year through 2018, \$5 million of the amounts deposited into the fund (or accrued interest) each year shall be available to the Foundation.

OTHER MATERIALS

PROPOSED CHANGES TO 2002 ESTIMATES

This Budget contains proposals that would increase 2002 spending by \$1.3 billion, which would be completely offset by reductions.

SUMMARY OF SUPPLEMENTAL PROPOSALS

[In millions of dollars]

	<i>2002 Budget Authority</i>	<i>2002</i>	<i>Outlays 2003</i>	<i>2004</i>
Supplemental Proposals:				
Department of Education	1,276	1,276
General provision	-1,276	-1,276
	<hr/>	<hr/>	<hr/>	<hr/>
Total, Supplemental Proposals	<hr/>	<hr/>	<hr/>	<hr/>

SUPPLEMENTAL PROPOSALS

The following information concerns supplemental appropriations requests for 2002 proposed for congressional consideration.

Department of Education

OFFICE OF STUDENT FINANCIAL ASSISTANCE

STUDENT FINANCIAL ASSISTANCE

(Supplemental now requested, existing legislation)

For an additional amount for "Student financial assistance," \$1,276,000,000, to remain available through September 30, 2003.

Program and Financing (in millions of dollars)

Identification code 91-0200-1-1-502	2001 actual	2002 est.	2003 est.
Obligations by program activity:			
01.01 Federal Pell grants		1,276	
10.00 Total new obligations (object class 41.0)		1,276	
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		1,276	
23.95 Total new obligations		-1,276	
New budget authority (gross), detail:			
Discretionary:			
40.00 Appropriation		1,276	
Change in obligated balances:			
72.40 Obligated balance, start of year			1,276
73.10 Total new obligations		1,276	
73.20 Total outlays (gross)			-1,276
74.40 Obligated balance, end of year		1,276	
Outlays (gross), detail:			
86.93 Outlays from discretionary balances			1,276
Net budget authority and outlays:			
89.00 Budget authority		1,276	

90.00 Outlays 1,276

This supplemental request would provide supplemental funding for Pell grants to support a \$4,000 maximum award in 2002. The 2002 appropriations act created a serious fiscal problem by under-funding the Pell grant program. While the act mandated a Pell grant maximum award of \$4,000, it failed to provide resources for the Pell grant program commensurate with the maximum award. The act provided only enough funding to pay for a maximum award of \$3,600, creating a shortfall of nearly \$1.3 billion. This supplemental request would fully fund the mandated maximum award for 2002.

GENERAL PROVISION

(Supplemental now requested, existing legislation)

Of the amounts made available in the Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2002, \$1,276,000,000 is hereby canceled: Provided, That such amounts shall be derived from amounts appropriated for projects or activities that were not included in the President's Budget transmitted to Congress on April 9, 2001.

This provision would cancel funding for amounts appropriated for unrequested earmarks and low-priority programs in the 2002 appropriations for the Departments of Labor, Health and Human Services, and Education. The 2002 appropriations act provided over \$2 billion for programs and projects that were not requested by the Administration in the President's Budget, while under-funding the Pell grant program. The Administration will provide Congress with a listing of such programs and projects and expects that Congress will select from this list in enacting a cancellation of \$1,276 million to offset the Pell grant shortfall.

AMENDMENTS TO AND REVISIONS IN BUDGET AUTHORITY FOR 2002

(Between the Transmittal of the 2002 and 2003 Budgets)

A statement of all amendments to or revisions in budget authority requested between transmittal of the 2002 Budget and the 2003 Budget is presented below. This statement is included in the budget in accordance with the Congressional Budget Act of 1974 (31 U.S.C. 1105(d)).

The modifications to requests for 2002 budget authority that were made through the course of the past year took two forms:

- If the Congress had not yet acted on a pending request, the President sent amendments to the budget requests transmitted on April 9, 2001.

- If appropriations had been enacted, the President proposed either supplemental budget authority or rescission of enacted appropriations.

Amendments and requests for supplemental appropriations are printed in the documents of the House of Representatives that are identified on the following listing. The President's proposals for rescissions are included in special messages to the Congress under the Impoundment Control Act of 1974 (Public Law 93-344). Both the special messages and monthly cumulative reports on the items covered by special messages are printed in the *Federal Register*.

AMENDED AND SUPPLEMENTAL REQUESTS RELATING TO 2002 BUDGET AUTHORITY

Transmitted to Congress on	Agencies affected	Printed as
May 7, 2001	Department of Energy Department of Housing and Urban Development Department of the Interior Department of Justice Department of Transportation Department of the Treasury Executive Office of the President Federal Drug Control Programs Legislative Branch	H. Doc. No. 107-66
June 11, 2001	Department of Health and Human Services International Assistance Programs	H. Doc. No. 107-83
June 27, 2001	Department of Defense	H. Doc. No. 107-92
September 5, 2001	Department of the Interior District of Columbia	H. Doc. No. 107-116
October 17, 2001	Department of Agriculture Department of Commerce Department of Defense Department of Education Department of Energy Department of Health and Human Services Department of Housing and Urban Development Department of the Interior Department of Justice Department of Labor Department of Transportation Department of the Treasury Department of Veterans Affairs Corps of Engineers Environmental Protection Agency Executive Office of the President Federal Emergency Management Agency General Services Administration National Aeronautics and Space Administration National Science Foundation Small Business Administration Social Security Administration Agency for International Development Commodity Futures Trading Commission District of Columbia Equal Employment Opportunity Commission National Archives and Records Administration National Capital Planning Commission National Labor Relations Board National Transportation Safety Board Securities and Exchange Commission Smithsonian Institution Legislative Branch Judicial Branch	H. Doc. No. 106-135

ADVANCE APPROPRIATIONS, ADVANCE FUNDING, AND FORWARD FUNDING

I. An *advance appropriation* is one made to become available one fiscal year or more beyond the fiscal year for which the appropriation act is passed. Advance appropriations in fiscal year 2003 appropriations acts will become available for programs in 2004 or beyond. Since these appropriations are not available until after fiscal year 2003, the amounts will not be included in fiscal year 2003 budget totals, but will be reflected in the budget totals for the fiscal year for which they are requested.

The Congressional Budget Act of 1974 (31 U.S.C. 1105(a)(17)) requires inclusion in the budget of "information on estimates of appropriations for the fiscal year following the fiscal year for which the budget is submitted for grants, contracts, and other payments under each program for which there is an authorization of appropriations for that following fiscal year when the appropriations are authorized to be included in an appropriation law for the fiscal year before the fiscal year in which the appropriation is to be available for obligation." In fulfillment of this requirement, the table below lists those accounts authorized to receive, in fiscal year 2003, advance appropriations for fiscal year 2004 and beyond and cites the authorizing statute. Part A shows the amounts of advance appropriations included in the 2003 Budget. Part B shows the accounts for which 2003 or 2004 advance appropriations were provided but for which no new advance appropriations are requested.

Part C of the table completes OMB's statutory requirements by providing a listing of accounts for which advance appropriations are authorized but not requested in the 2003 Budget.

A. Accounts for which advance appropriations are included in the 2003 Budget:

- Department of Education (20 U.S.C. 1223 and 29 U.S.C. 703):
 - Education for the disadvantaged: \$7,383 million for 2004
 - School improvement programs: \$1,765 million for 2004
 - Special education: \$5,072 million for 2004
 - Vocational and adult education: \$791 million for 2004
- Department of Health and Human Services:
 - Grants to States for medicaid (42 U.S.C. 1396): \$51,861 million for 2004
 - Payments to States for child support enforcement and family support programs (24 U.S.C. Ch. 9): \$1,100 million for 2004
 - Payments to States for foster care and adoption assistance (P.L. 96-272): \$1,746 million for 2004
- Children and families services program (42 U.S.C. 9834): \$1,400 million for 2004
- Department of Housing and Urban Development:
 - Housing certificate fund (42 U.S.C. 1437f): \$4,200 million for 2004
- Department of Labor:
 - Training and employment services (29 U.S.C. 2801 et seq.): \$2,463 million for 2004
- Postal Service:
 - Payment to the Postal Service fund (39 U.S.C. 2401): \$31 million for 2004
- Social Security Administration:
 - Special benefits for disabled coal miners (30 U.S.C. 921): \$97 million for 2004
 - Supplemental security income program (42 U.S.C. 1381): \$11,080 million for 2004

B. Accounts for which 2003 or 2004 advance appropriations were provided, but for which no new advance appropriations are requested:

- Department of Energy:
 - Elk Hills school lands fund (P.L. 104-106)

- Clean coal technology
- Corporation for Public Broadcasting (Communications Act of 1934)

C. Accounts authorized to receive advance appropriations but for which none were provided and none are requested in the 2003 Budget:

- Department of Agriculture:
 - Food program administration (42 U.S.C. 1752)
 - Child nutrition programs (42 U.S.C. 1752)
- Department of Education (20 U.S.C. 1223 and 29 U.S.C. 703):¹
 - Impact aid
 - Indian education
 - English language acquisition
 - American Printing House for the Blind
 - National Technical Institute for the Deaf
 - Gallaudet University
 - Rehabilitation services and disability research
 - Student financial assistance
 - Federal family education loans
 - Federal direct student loan program
 - Higher education
 - Higher education facilities loans
 - College housing and academic facilities loans
 - Howard University
 - Historically black college and university capital financing program
 - Education research, statistics, and assessment

¹These statutes erroneously refer to "advance funding." Since these statutes describe and clearly intend to provide advance appropriations, the affected accounts are listed here.

II. Advance funding is budget authority that is to be charged to the appropriation in the succeeding year but which authorizes obligations to be incurred in the last quarter of the fiscal year if necessary to meet higher than anticipated benefit payments in excess of the specific amount appropriated for the year. When such budget authority is used, an adjustment is made to increase the budget authority for the fiscal year in which it is used and to reduce the budget authority of the succeeding fiscal year. Essentially, this is a device for avoiding supplemental requests late in the fiscal year for certain programs, should the appropriations for the current year prove to be low. The table below lists those accounts for which advance funding authority is requested in the 2003 Budget.

- Department of Labor:
 - Special benefits
 - Federal unemployment benefits and allowances

III. Forward funding is budget authority that is made available for obligation beginning in the last quarter of the fiscal year for the financing of ongoing grant programs during the next succeeding fiscal year. The budget authority for such programs is included in the budget totals for the year in which it is appropriated. This device is often used for education programs, so that grants can be made on a school year basis. The language providing forward funding for education programs will specify that amounts appropriated, in most but not all cases, will not be available until some time into the year of the appropriation (e.g., July 1, 2003) and in most cases will specify that such amounts will remain available until the end of the succeeding fiscal year. In other cases (e.g., Federal Pell grants), the funds become available on October 1st but are not normally obligated until July 1st or later and are available for obligation for an additional year. The table below lists those accounts for which forward funding exists or is requested in whole or in part in the 2003 Budget.

Department of Education:

- Education for the disadvantaged
- School improvement programs
- Special education
- Vocational and adult education
- Student financial assistance
- Educational research, statistics, and assessment
- English language acquisition

Department of the Interior:

- Operation of Indian programs

In the training and employment area, forward funding for youth training grant programs provides appropriations for a program year that starts on April 1st of the fiscal year of the appropriation. Financing extends through June 30th of

the following fiscal year. For most other training and employment programs, forward funding provides appropriations for a program year that starts on July 1st of the fiscal year of the appropriation. Financing extends through June 30th of the following fiscal year. Program years are authorized for training programs under the Workforce Investment Act and operation of the State Employment Service under section 6 of the Wagner-Peyser Act. The table below lists accounts for which forward funding is requested in the 2003 Budget.

Department of Labor:

- Training and employment services
- State unemployment insurance and employment service operations
- Veterans employment and training

FINANCING VEHICLES AND THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE

This chapter contains descriptions of and data on financing vehicles and the Board of Governors of the Federal Reserve listed below.

- The Financing Corporation functions as a financing vehicle for the FSLIC Resolution Fund. It operates under the supervision and control of the Federal Housing Finance Board.
- The Resolution Funding Corporation provided financing for the Resolution Trust Corporation (RTC) and is subject to the general oversight and direction of the Secretary of the Treasury.

The Board of Governors of the Federal Reserve System's transactions are not included in the budget because of its unique status in the conduct of monetary policy. The Board provides data on its administrative budget on a calendar year basis, which is included here for information. Its budget schedules and statements are not subject to review by the President.

FINANCING VEHICLES

FINANCING CORPORATION

The Financing Corporation (FICO) is a mixed-ownership government corporation, chartered by the Federal Home Loan Bank Board pursuant to the Federal Savings and Loan Insurance Corporation Recapitalization Act of 1987, as amended (the "Act"). FICO's sole purpose was to function as a financing vehicle for the FSLIC Resolution Fund, formerly the Federal Savings and Loan Insurance Corporation (FSLIC). FICO operates under the supervision and control of the Federal Housing Finance Board (the "Finance Board"). Pursuant to the Act, FICO was authorized to issue debentures, bonds and other obligations subject to limitations contained in the Act, the net proceeds of which were to be used solely to purchase capital certificates issued by the FSLIC Resolution Fund, or to refund any previously issued obligations. The Resolution Trust Corporation Refinancing, Restructuring, and Improvement Act of 1991 terminated the FICO's borrowing authority.

The Act provided formulas pursuant to which the Federal Home Loan Banks made capital contributions to FICO at the direction of the Finance Board for the purchase of FICO capital stock. FICO used the proceeds received from the sales of such capital stock to purchase non-interest bearing securities for deposit in a segregated account as required by the Act. The non-interest bearing securities held in the segregated account will be the primary source of repayment of the principal of the FICO obligations. Securities in the segregated account are kept separate from other FICO accounts and funds but are not specifically pledged as collateral for the payment of obligations. The primary source of payment of interest on the obligations is the receipt of assessments imposed on and collected from institutions' accounts which are insured by the Bank Insurance Fund (the "BIF") and the Savings Association Insurance Fund (the "SAIF").

Statement of Operations (in millions of dollars)

Identification code 99-4033-0-3-373	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	953	953	979	996
0102 Expense	-795	-795	-796	-795
0105 Net income or loss (-)	158	158	183	201

Balance Sheet (in millions of dollars)

Identification code 99-4033-0-3-373	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Investments in US securities:				
1102 Segregated accounts investment, net	1,905	2,074	2,258	2,459
1801 Cash, cash equivalents, and interest receivable	279	287	287	287
1901 Other assets	10	9	9	8
1999 Total assets	2,194	2,370	2,554	2,754
LIABILITIES:				
2202 Interest payable	236	236	236	236
2203 Debt	8,147	8,148	8,150	8,151
2207 Other	76	82	80	78
2999 Total liabilities	8,459	8,466	8,466	8,465
NET POSITION:				
3100 FICO capital stock purchased by FHLBanks	680	680	680	680
Cumulative results of operations:				
3300 Cumulative results of operations	1,225	1,394	1,578	1,779
3300 FSLIC capital certificates	-8,170	-8,170	-8,170	-8,170
3999 Total net position	-6,265	-6,096	-5,912	-5,711
4999 Total liabilities and net position	2,194	2,370	2,554	2,754

RESOLUTION FUNDING CORPORATION

The Resolution Funding Corporation (the "REFCORP") is a mixed-ownership government corporation established by Title V of the Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (FIRREA). The sole purpose of REFCORP was to provide financing for the Resolution Trust Corporation (the "RTC"). Pursuant to FIRREA, REFCORP was authorized to issue debentures, bonds, and other obligations, subject to limitations contained in the Act and regulations established by the Thrift Depositor Protection Oversight Board. The proceeds of the debt (less any discount, plus any premium, net of issuance cost) were used solely to purchase nonredeemable capital certificates of the RTC or to refund any previously issued obligations.

Until October 29, 1998, REFCORP was subject to the general oversight and direction of the Thrift Depositor Protection Oversight Board. At that time, the Oversight Board was abolished and its authority and duties were transferred to the Secretary of the Treasury. The day-to-day operations of REFCORP are under the management of a three-member Directorate comprised of the Director of the Office of Finance of the Federal Home Loan Banks and two members selected from among the presidents of the twelve Federal Home Loan Banks ("the FHLBanks"). Members of the Directorate serve without compensation, and REFCORP is not permitted to have any paid employees.

FIRREA, as amended, and the regulations adopted by the Thrift Depositor Protection Oversight Board and the Secretary of the Treasury provide formulas pursuant to which the Federal Home Loan Banks made capital contributions to REFCORP's Principal Fund and continue to make interest payments on outstanding REFCORP obligations. FIRREA also provides that the U.S. Treasury cover any interest shortfall. Funds designated for the Principal Funds were used to purchase zero-coupon bonds. The zero-coupon bonds will be held in the Principal Fund and are the primary source of repayment of the principal of the obligations at maturity.

RESOLUTION FUNDING CORPORATION—Continued

Statement of Operations (in millions of dollars)

Identification code 99-4029-0-3-373	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	3,029	3,054	3,088	3,126
0102 Expense	-2,626	-2,626	-2,626	-2,626
0105 Net income or loss (-)	403	428	462	500

Balance Sheet (in millions of dollars)

Identification code 99-4029-0-3-373	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Investments in US securities:				
1102 Principal fund account investment, net	5,264	5,689	6,149	6,646
1206 Assessments receivable for interest expense	887	888	888	888
1999 Total assets	6,151	6,577	7,037	7,534
LIABILITIES:				
2202 Accrued interest payable on long-term obligations	888	888	888	888
2203 Debt	30,064	30,062	30,060	30,058
2999 Total liabilities	30,952	30,950	30,948	30,946
NET POSITION:				
3100 Nonvoting capital stock issued to FHLBanks	2,513	2,513	2,513	2,512
Cumulative results of operations:				
3300 Cumulative results of operations	2,916	3,344	3,806	4,305
3300 RTC nonredeemable capital certificates	-31,286	-31,286	-31,286	-31,286
3300 Contributed capital—principal fund assessments	1,056	1,056	1,056	1,057
3999 Total net position	-24,801	-24,373	-23,911	-23,412
4999 Total liabilities and net position	6,151	6,577	7,037	7,534

BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM

Program and Financing (in millions of dollars)

Identification code 99-4450-0-3-803	2000 actual	2001 est.	2002 est.
Obligations by program activity:			
09.01 Monetary and economic policy	85	94	96
09.02 Services to financial institutions and the public	4	4	4
09.03 Supervision and regulation of financial institutions	75	83	86
09.04 System policy direction and oversight	37	41	42
09.09 Subtotal: Board operating expenses	201	222	228
09.10 Office of Inspector General operating expenses	3	3	4
09.11 Building acquisition	66	66	66
10.00 Total new obligations	204	291	232
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	204	291	232
23.95 Total new obligations	-204	-291	-232
New budget authority (gross), detail:			
Mandatory:			
69.00 Offsetting collections (cash)	204	291	232
Change in obligated balances:			
72.40 Obligated balance, start of year	26	26	26
73.10 Total new obligations	204	291	232
73.20 Total outlays (gross)	-204	-291	-230
74.40 Obligated balance, end of year	26	26	26

Outlays (gross), detail:

86.97 Outlays from new mandatory authority	188	276	217
86.98 Outlays from mandatory balances	16	15	15
87.00 Total outlays (gross)	204	291	230

Offsets:

Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-204	-291	-232

Net budget authority and outlays:

89.00 Budget authority			
90.00 Outlays			-2

The figures presented may differ from other Board financial material because they are prepared in accordance with OMB guidelines which vary from the Board's budget and accounting procedures.

The Federal Reserve System operates under the provisions of the Federal Reserve Act of 1913, as amended, and other acts of Congress.

Program.—To carry out its responsibilities under the Act, the Board determines general monetary, credit, and operating policies for the System as a whole and formulates the rules and regulations necessary to carry out the purposes of the Federal Reserve Act. The Board's principal duties consist of exerting an influence over credit conditions and supervising the Federal Reserve banks and member banks.

Financing.—Under the provisions of section 10 of the Federal Reserve Act, the Board of Governors levies upon the Federal Reserve banks, in proportion to their capital and surplus, an assessment sufficient to pay its estimated expenses. The Board, under the Act, determines and prescribes the manner in which its obligations are incurred and its expenses paid. Funds derived from assessments are deposited in the Federal Reserve Bank of Richmond, and the Act provides that such funds "shall not be construed to be Government funds or appropriated moneys." No Government appropriation is required to support operations of the Board.

The information presented pertains to Board operations only. Expenditures made on behalf of the Federal Reserve banks for production, issuance, retirement, and shipment of Federal Reserve notes are not included, since they are reimbursed in full by the Federal Reserve banks.

Object Classification (in millions of dollars)

Identification code 99-4450-0-3-803	2000 actual	2001 est.	2002 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	114	127	133
11.3 Other than full-time permanent	3	3	3
11.5 Other personnel compensation	2	2	2
11.9 Total personnel compensation	119	132	138
12.1 Civilian personnel benefits	20	20	21
21.0 Travel and transportation of persons	5	5	6
23.3 Communications, utilities, and miscellaneous charges	12	12	8
24.0 Printing and reproduction	2	2	2
25.1 Advisory and assistance services	5	5	5
25.2 Other services	18	19	21
26.0 Supplies and materials	6	8	8
31.0 Equipment	14	19	19
32.0 Land and structures		66	
99.0 Reimbursable obligations	201	288	228
25.2 Allocation Account: Other services	3	3	4
99.9 Total new obligations	204	291	232

GOVERNMENT-SPONSORED ENTERPRISES

This chapter contains descriptions of and data on the Government-sponsored enterprises listed below. These enterprises were established and chartered by the Federal Government for public policy purposes. They are not included in the Federal budget because they are private companies. However, because of their public purpose, detailed statements of financial operations and condition are presented, to the extent such information is available, on a basis that is as consistent as practicable with the basis for the budget data of Government agencies. These statements are not reviewed by the President; they are presented as submitted by the enterprises.

- The Student Loan Marketing Association is a for-profit financial corporation chartered by Congress in 1972 under the Higher Education Act (HEA) to help increase the availability of student loans. Sallie Mae carries out secondary market and other functions.
- The Federal National Mortgage Association and the Federal Home Loan Mortgage Corporation provide assistance to the secondary market for residential mortgages. Both are supervised by the Department of Housing and Urban Development for their roles in helping to finance low-, moderate-, and middle-income housing; both are regulated for financial safety and soundness by the Office of Federal Housing Enterprise Oversight.
- Institutions of the Farm Credit System the Agricultural Credit Bank and Farm Credit Banks—provide financial assistance to agriculture. They are supervised by the Farm Credit Administration.
- The Federal Agricultural Mortgage Corporation, under the supervision of the Farm Credit Administration, provides a secondary mortgage market for agricultural real estate and rural housing loans as well as for farm and business loans guaranteed by the U.S. Department of Agriculture.
- The Federal Home Loan Banks assist thrift institutions, banks, insurance companies, and credit unions in providing financing for housing and community development and are supervised by the Federal Housing Finance Board.

STUDENT LOAN MARKETING ASSOCIATION

STUDENT LOAN MARKETING ASSOCIATION

Status of Direct Loans (in millions of dollars)

Identification code 99-1500-0-3-502	2001 actual	2002 est.	2003 est.
1111 Limitation on direct loans			
1131 Direct loan obligations	12,088	13,097	15,250
1150 Total direct loan obligations	12,088	13,097	15,250
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	37,213	41,032	40,659
1231 Disbursements: Direct loan disbursements	12,088	13,097	15,250
Repayments:			
1251 Repayments and prepayments	-3,499	-3,857	-4,268
1252 Proceeds from loan asset sales or discounted	-4,942	-9,751	-14,750
1264 Write-offs for default: Other adjustments, net	172	138	124
1290 Outstanding, end of year	41,032	40,659	37,015

The Student Loan Marketing Association (Sallie Mae) was created as a shareholder-owned government sponsored enterprise (GSE) by the Education Amendments of 1972 to expand funds available for student loans by providing liquidity to lenders engaged in the Federal Family Education Loan Program (FFELP), formerly the guaranteed student loan program (GSLP). Sallie Mae was privatized in 1997 pursuant to the authority granted by the Student Loan Marketing Association Reorganization Act of 1996. The GSE is a wholly owned subsidiary of USA Education, Inc. and must wind down and be liquidated by September 30, 2008. Under legislation passed in 1998, if USA Education, Inc. affiliates with a depository institution, the GSE must wind down within two years (unless such period is extended by the Department of the Treasury).

The GSE provides liquidity through direct purchase of insured student loans from eligible lenders and through warehousing advances, which are loans to lenders secured by insured student loans, Government or agency securities, or other acceptable collateral. In capital shortage areas, the GSE is authorized, at the request of Federal officials, to make insured loans directly to students. The GSE is authorized to advance funds to State agencies that will provide loans to students. The GSE is also authorized to provide a secondary market for noninsured loans; to serve as a guarantee agency in support of loan availability at the request of the Secretary of Education; to purchase and underwrite student loan revenue bonds; to provide certain additional services as determined by its board of directors to be supportive of the credit needs of students generally; and to provide financing for academic facilities and equipment.

The GSE is authorized by the Health Professions Educational Assistance Act of 1976 to provide a secondary market for federally insured loans to graduate health professions students.

Generally, under the privatization legislation, the GSE cannot engage in any new business activities or acquire any additional program assets other than purchasing student loans and serving, at the request of the Secretary of Education, as a lender-of-last-resort. The GSE can continue to make warehousing advances under contractual commitments existing on August 7, 1997.

Operations.—The forecast data with respect to operations are based on certain general economic and specific FFELP loan volume assumptions and should not be relied upon as an official forecast of the corporation's future business.

ANNUAL LOAN ACTIVITY

[In millions of dollars]

	2001 actual	2002 est.	2003 est.
Guaranteed student loans:			
Stafford:			
Purchased	8,388	11,138	12,969
Warehoused	1,113	—	—
PLUS/SLS: Purchased	764	979	1,140
Subtotal, Guaranteed student loans	10,265	12,117	14,109
Other	1,823	980	1,141
Total	12,088	13,097	15,250

Financing.—The GSE is financed by borrowing in the private debt markets and securitizing its assets. The GSE must wind down and be liquidated by September 30, 2008. All obligations of the GSE remaining upon liquidation must be placed into a defeasance trust. The GSE's outstanding adjustable rate cumulative preferred stock, which was required to

STUDENT LOAN MARKETING ASSOCIATION—Continued

be redeemed prior to such date was redeemed on December 10, 2001.

The financial data contained in this material relating to future periods represents estimates that have been prepared specifically for inclusion in the President's Budget. These data should not be viewed as official forecasts of the corporation's future position, nor should they be used as a basis for making financial or investment decisions relating to the corporation. The data have been developed on the basis of certain economic assumptions that are subject to periodic review and revision. Consequently, the estimates are subject to forecast error and actual results from future business operations are likely to differ from these data.

Statement of Operations (in millions of dollars)

Identification code 99-1500-0-3-502	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	3,647	2,952		
0102 Expense	-3,160	-2,850		
0105 Net income or loss (-)	487	102		

Balance Sheet (in millions of dollars)

Identification code 99-1500-0-3-502	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Investments in US securities:				
1102 Treasury securities, par	1,363	1,597	1,565	1,424
1104 Agency securities, par				
1106 Receivables, net	1,090	1,207	1,219	1,182
1201 Investments in other securities, net	2,393	4,829	2,327	1,109
1206 Receivables, net	916	1,669	1,686	1,635
1207 Advances and prepayments	21	11	12	12
Net value of assets related to direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross	37,317	41,185	40,811	37,153
1603 Allowance for estimated uncollectible loans and interest (-)	-104	-153	-152	-138
1699 Value of assets related to direct loans	37,213	41,032	40,659	37,015
1801 Cash and other monetary assets	134	71	71	69
1803 Property, plant and equipment, net *	163			
1901 Other assets	407	310	313	304
1999 Total assets	43,700	50,726	47,852	42,750
LIABILITIES:				
2202 Interest payable	417	332	326	293
2203 Debt	41,501	47,321	44,681	39,905
2207 Other	707	1,762	1,726	1,553
2999 Total liabilities	42,625	49,415	46,733	41,751
NET POSITION:				
3300 Invested Capital	1,075	1,311	1,119	999
3999 Total net position	1,075	1,311	1,119	999
4999 Total liabilities and net position	43,700	50,726	47,852	42,750

*In the first quarter of 2001, in accordance with the Privatization Act, the GSE transferred substantially all of its fixed assets and real estate to certain private non-GSE entities in USA education.

FEDERAL NATIONAL MORTGAGE ASSOCIATION

PORTFOLIO PROGRAMS

Status of Direct Loans (in millions of dollars)

Identification code 99-2500-0-3-371	2001 actual	2002 est.	2003 est.
1131 Direct loan obligations	246,927	311,677	234,021
1150 Total direct loan obligations	246,927	311,677	234,021
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	587,600	700,484	818,161

Disbursements:				
1231	Direct loan disbursements	235,339	301,883	233,494
1232	Purchase of loans assets	5,826	697	723
1251	Repayments: Repayments and prepayments	-127,259	-184,903	-108,991
1264	Write-offs for default: Other adjustments, net	-1,022		
1290	Outstanding, end of year	700,484	818,161	943,388

The Federal National Mortgage Association (Fannie Mae) is a federally-chartered, privately-owned company with a public mission to provide stability and to increase the liquidity of the residential mortgage market and to help increase the availability of mortgage credit to low- and moderate-income families and in underserved areas. In carrying out its mission, Fannie Mae engages primarily in two forms of business: investing in portfolios of residential mortgages and guaranteeing residential mortgage securities. As of September 30, 2001, Fannie Mae held a net mortgage portfolio totaling \$687 billion and had net outstanding guaranteed mortgage-backed securities of \$817 billion.

Through a federal charter, Congress has equipped Fannie Mae with certain attributes to help it carry out its public mission. These include an exemption from state and local taxes (except real property taxes), and an exemption of its debt and mortgage securities from Securities and Exchange Commission registration requirements. An additional advantage is that the Secretary of the Treasury may purchase and hold up to \$2.25 billion of securities issued by Fannie Mae under terms and conditions and at prices determined by the Secretary to be appropriate. Securities guaranteed by Fannie Mae and debt issued by the company are solely the corporation's obligations and are not backed by the full faith and credit of the U.S. Government. The common stock of the corporation is owned by the public, is fully transferable, and trades on the New York, Midwest, and Pacific stock exchanges.

Fannie Mae was established in 1938 to assist private markets in providing a steady supply of funds for housing. Fannie Mae was originally a subsidiary of the Reconstruction Finance Corporation and was permitted to purchase only loans insured by the Federal Housing Administration (FHA). In 1954, Fannie Mae was restructured as a mixed ownership (part government, part private) corporation. Congress sold the government's remaining interest in Fannie Mae in 1968 and completed the transformation to private shareholder ownership in 1970. Using the proceeds from the sale of subordinated debentures, Fannie Mae paid the Treasury \$216 million for the government's preferred stock, which was retired, and for the Treasury's interest in the corporation's earned surplus. As a result, the corporation was taken off the federal budget.

In 1992, Congress reaffirmed and clarified Fannie Mae's role in the housing finance system through charter act amendments included in the Federal Housing Enterprises Financial Safety and Soundness Act of 1992 ("The Act"). Fannie Mae's charter purposes, as amended by the Act, are: "to provide stability in the secondary market for residential mortgages; respond appropriately to the private capital market; provide ongoing assistance to the secondary market for residential mortgages (including activities relating to mortgages on housing for low- and moderate-income families involving a reasonable economic return that may be less than the return earned on other activities); and promote access to mortgage credit throughout the Nation (including central cities, rural areas, and underserved areas) by increasing the liquidity of mortgage investments and improving the distribution of investment capital for residential mortgage financing."

In December 1995, the U.S. Department of Housing and Urban Development (HUD) set affordable housing goals for 1996-1999 and established the requirements for counting mortgage purchases to low- and moderate-income families and families living in underserved areas with specific census tract

and minority concentration requirements. Under the regulations, the low- and moderate-income goal is 42 percent; the geographically targeted goal is 24 percent and the special affordable housing goal is 14 percent. These goals were also in effect for 2000. Fannie Mae exceeded all of the housing goals in 2000 with low- and moderate-income purchases at 49 percent, geographically targeted purchases at 31 percent, and special affordable housing purchases at 19 percent.

In October 2000, HUD set new affordable housing goals for the period covering 2001 to 2003. The goals are 50 percent for the low- and moderate-income goal, 31 percent for the geographically targeted goal, and 20 percent for the special affordable housing goal.

The Act also established the Office of Federal Housing Enterprise Oversight (OFHEO), an independent office within HUD, headed by a Director who reports directly to the Congress. OFHEO has statutory responsibility for ensuring that Fannie Mae is adequately capitalized and operating in a safe and sound manner. Included among the express statutory authorities of the Director is the authority to conduct examinations of the financial health of the company and to issue minimum and risk-based capital standards. The minimum capital requirements are computed from statutorily established ratios that are applied to the assets and off-balance sheet risks of Fannie Mae. The risk-based capital standard determines the amount of capital that Fannie Mae must hold to withstand the impact of simultaneous adverse credit and interest rate stresses over a 10-year period, plus an additional 30 percent to cover management and operations risk. Total capital (shareholder's equity plus allowance for loan losses) at the end of September 2001 was \$23.8 billion. The company has continued to remain in compliance with applicable capital standards and has been deemed adequately capitalized by OFHEO since its first classification in June 1993.

For the four quarters ending September 2001, Fannie Mae earned \$5.1 billion.

The financial data contained in this material relating to future periods represent estimates that have been prepared specifically for inclusion in the President's Budget. These data should not be viewed as an official forecast of the corporation's future position, nor should they be used as a basis for making financial or investment decisions relating to the corporation. The data have been developed on the basis of certain economic assumptions that are subject to periodic review and revision. Consequently, the estimates are subject to forecast error and actual results from future business operations are likely to differ from these data.

Balance Sheet (in millions of dollars)

Identification code 99-2500-0-3-371	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1101 Fund balances	20	267		
Investments in US securities:				
1102 Treasury securities, par	25	1,325		
1104 Other	55,130	58,342	59,500	71,879
Net value of assets related to direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans (net of discount)	538,255	655,318	809,996	928,024
1602 Federal Agencies	33,349	31,684	6,187	13,678
1603 Allowance for estimated uncollectible loans and interest (-)	-199	-201	-200	-200
1699 Value of assets related to direct loans	571,405	686,801	815,983	941,502
1801 Cash and other monetary assets	11,345	19,686	17,452	19,307
1803 Property, plant and equipment, net	222	229		
1999 Total assets	638,147	766,650	892,934	1,032,688
LIABILITIES:				
2101 Accounts payable	385	727		
2102 Accrued interest payable	7,509	8,628	10,655	12,532
2105 Other	15	17		
2203 Debt	607,039	726,992	849,176	982,323

2204 Estimated liability for loan guarantees	3,119	15,374	13,171	12,966
2206 Pension and other actuarial liabilities	362	402		
2207 Subtotal, Federal taxes payable	31	730		
2999 Total liabilities	618,460	752,871	873,002	1,007,821
NET POSITION:				
Cumulative results of operations:				
3300 Cumulative results of operations	20,769	24,541	29,460	35,086
3300 Change in Stockholder Equity	-1,083	-10,763	-9,528	-10,220
3999 Total net position	19,687	13,778	19,932	24,866
4999 Total liabilities and net position	638,147	766,650	892,934	1,032,688

MORTGAGE-BACKED SECURITIES

Status of Direct Loans (in millions of dollars)

Identification code 99-2501-0-3-371	2001 actual	2002 est.	2003 est.
1131 Direct loan obligations	450,215	441,913	346,965
1150 Total direct loan obligations	450,215	441,913	346,965
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	1,020,828	1,228,131	1,369,168
1231 Disbursements: Direct loan disbursements	433,500	441,913	346,965
1251 Repayments: Repayments and prepayments	-226,197	-300,876	-207,091
1290 Outstanding, end of year	1,228,131	1,369,168	1,509,042

According to accounting practices for private corporations, the mortgages in the pools of loans supporting the mortgage-backed securities are considered to be owned by the holders of these securities. Consequently, on the books of the Federal National Mortgage Association (Fannie Mae), these mortgages are not considered assets and the securities outstanding are not considered liabilities. However, the concepts of the budget of the U.S. Government consider these mortgages and mortgage-backed securities to be assets and liabilities, respectively, of Fannie Mae. For the purposes of this document, therefore, they are presented as assets and liabilities in the accompanying schedules. On the schedule of Status of direct loans for mortgage-backed securities, the items labeled "New loans" and "Recoveries: Repayments and prepayments" are budgetary terms. However, from the Corporation's perspective, these items are "Amounts issued" and "Amounts passed through to the holders of securities", respectively.

The financial data contained in this material relating to future periods represent estimates that have been prepared specifically for inclusion in the President's Budget. These data should not be viewed as an official forecast of the corporation's future position, nor should they be used as a basis for making financial or investment decisions relating to the corporation. The data have been developed on the basis of certain economic assumptions that are subject to periodic review and revision. Consequently, the estimates are subject to forecast error and actual results from future business operations are likely to differ from these data.

Balance Sheet (in millions of dollars)

Identification code 99-2501-0-3-371	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Net value of assets related to direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross	1,021,437	1,227,528	1,369,770	1,509,643
1603 Allowance for estimated uncollectible loans and interest (-)	-609	-603	-602	-601
1699 Value of assets related to direct loans	1,020,828	1,226,925	1,369,168	1,509,042
1999 Total assets	1,020,828	1,226,925	1,369,168	1,509,042
LIABILITIES:				
2104 Resources payable	1,020,828	1,228,131	1,369,168	1,509,042

PORTFOLIO PROGRAMS—Continued
MORTGAGE-BACKED SECURITIES—Continued
Balance Sheet (in millions of dollars)—Continued

Identification code 99-2501-0-3-371	2000 actual	2001 actual	2002 est.	2003 est.
2999 Total liabilities	1,020,828	1,228,131	1,369,168	1,509,042

FEDERAL HOME LOAN MORTGAGE CORPORATION

PORTFOLIO PROGRAMS

Status of Direct Loans (in millions of dollars)

Identification code 99-4420-0-3-371	2001 actual	2002 est.	2003 est.
1131 Direct loan obligations	191,203	220,700	149,978
1150 Total direct loan obligations	191,203	220,700	149,978
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	361,624	470,850	542,220
1231 Disbursements: Direct loan disbursements	191,203	220,700	149,978
1251 Repayments: Repayments and prepayments	-81,977	-149,330	-72,861
1290 Outstanding, end of year	470,850	542,220	619,337

The Federal Home Loan Mortgage Corporation (Freddie Mac), is a federally-chartered, shareholder-owned, private company with a public mission to provide stability and increase the liquidity of the residential mortgage market, and to help increase the availability of mortgage credit to low- and moderate-income families and in underserved areas. In carrying out its mission, Freddie Mac engages primarily in two forms of business: investing in portfolios of residential mortgages and guaranteeing residential mortgage securities. As of September 30, 2001, Freddie Mac held a net mortgage portfolio totaling \$471 billion and had net outstanding guaranteed mortgage-backed securities of \$636 billion.

Through a federal charter, Congress has equipped Freddie Mac with certain advantages over wholly private firms in carrying out these activities. These advantages include an exemption from state and local taxes (except real property taxes), and an exemption for their debt and mortgage securities from SEC filing registration requirements. An additional advantage is that the Secretary of the Treasury may purchase and hold up to \$2.25 billion of securities issued by Freddie Mac under terms and conditions and at prices determined by the Secretary to be appropriate. Securities guaranteed by Freddie Mac and debt issued by the company are explicitly not backed by the full faith and credit of the U.S. Government. The common stock of the corporation is owned by the public, is fully transferable, and trades on the New York and Pacific stock exchanges.

Freddie Mac was established in 1970 under the Emergency Home Finance Act. Congress chartered Freddie Mac to provide mortgage lenders with an organized national secondary market enabling them to manage their conventional mortgage portfolio more effectively and gain indirect access to a ready source of additional funds to meet new demands for mortgages. Freddie Mac serves as a conduit facilitating the flow of investment dollars from the capital markets to mortgage lenders, and ultimately, to homebuyers, increasing the amount of mortgage credit available and making it more affordable.

The Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (FIRREA) significantly changed the corporate governance of Freddie Mac. The company's three member Board of Directors, which had corresponded with the Federal Home Loan Bank Board, was replaced with an eighteen member Board of Directors. Thirteen board members are

elected annually by shareholders and five are annually appointed by the President of the United States. In addition, FIRREA converted Freddie Mac's 60 million shares of non-voting, senior participating preferred stock into voting common stock. As a result, the corporation was taken off the federal budget.

FIRREA also clarified Freddie Mac's role in the housing finance delivery system through amendments to its charter act. Specifically, FIRREA established Freddie Mac's public mission: "to provide stability in the secondary market for residential mortgages; respond appropriately to the private capital market; and provide ongoing assistance to the secondary market for residential mortgages (including activities relating to mortgages on housing for low- and moderate-income families involving a reasonable economic return that may be less than the return earned on other activities." The Federal Housing Enterprise Financial Safety and Soundness Act of 1992 ("The Act") added to Freddie Mac's public mission the promotion of "access to mortgage credit throughout the Nation (including central cities, rural areas, and underserved areas) by increasing the liquidity of mortgage investments and improving the distribution of investment capital for residential mortgage financing."

The Act also established affordable housing goals that are designed to improve the flow of mortgage funds to low- and moderate-income families and families in central cities, rural areas, and other underserved areas. In December 1995, the U.S. Department of Housing and Urban Development (HUD) affordable housing goals for 1996-1999 and established the requirements for counting mortgage purchases for meeting these goals. The goals provide that, of the total number of dwelling units financed by Freddie Mac's mortgage purchases, 42 percent meet the low- and moderate-income goal, 24 percent meet the geographically targeted goal, and 14 percent meet the special affordable goal. Additionally, within the special affordable goal was a multifamily mortgage purchase target for Freddie Mac of \$1.0 billion. In an October 2000 rule, HUD applied the 1996-1999 goals to 2000 and established new goals for 2001-2003: 50 percent for the low- and moderate-income goal, 31 percent for the geographically targeted goal, 20 percent for the special affordable housing goal and a multifamily target for Freddie Mac of \$2.1 billion.

Freddie Mac exceeded all of the housing goals in 2000 with low- and moderate-income purchases of 50 percent, geographically targeted purchases of 29 percent, special affordable purchases of 21 percent, and the multifamily portion of the special affordable purchases of \$2.4 billion in qualifying multifamily mortgages.

The Act also enhanced the regulatory oversight of Freddie Mac by establishing the Office of Federal Housing Enterprise Oversight (OFHEO), an independent office within HUD, headed by a Director appointed by the President. OFHEO is responsible for ensuring that Freddie Mac is adequately capitalized and operating in a safe and sound manner. Included among the express statutory authorities of the Director is the authority to conduct examinations of the financial health of the company and to issue minimum and risk-based capital standards. The minimum capital requirements are computed from statutorily established ratios that are applied to the assets and off-balance sheet risks of Freddie Mac. The risk-based capital standard determines the amount of capital that Freddie Mac must hold to withstand the impact of simultaneous adverse credit and interest rate stresses over a 10-year period, plus an additional amount to cover management and operations risk. OFHEO published risk-based capital standards in September 2001 that become fully enforceable in September 2002.

For the four quarters ending September 2001, Freddie Mac recorded net income of \$3.4 billion.

The financial data contained in this material relating to future periods represent estimates that have been prepared specifically for inclusion in the President's budget. These data should not be viewed as an official forecast of the corporation's future position, nor should they be used as a basis for making financial or investment decisions relating to the corporation. The data have been developed on the basis of certain economic assumptions that are subject to periodic review and revision. Consequently, the estimates are subject to forecast error and actual results from future business operations are likely to differ from these data.

According to generally accepted accounting principles utilized by private corporations, the mortgages in the pools of loans supporting PCs are considered to be owned by the holder of these securities. Therefore, Freddie Mac does not show these mortgages as assets. However, the budget philosophy of the United States Government includes these mortgages and mortgages pass-through securities as assets and liabilities, respectively, of Freddie Mac. For the purpose of this document, therefore, they are presented as assets and liabilities in the accompanying schedules. On the Status of Direct Loans schedule for mortgage pass-through securities, the items labeled "Disbursements" and "Repayments" are budgetary terms. However, from Freddie Mac's perspective, these amounts represent "Sales of PCs" and "Amounts passed through to PC holders," respectively.

Balance Sheet (in millions of dollars)

Identification code 99-4420-0-3-371	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1201 Investments in other securities, net	48,593	65,964	74,324	72,104
1206 Receivables, net	22,107	22,762	24,948	24,688
1207 Advances and prepayments	945	2,170	2,507	2,769
Net value of assets related to direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Retained mortgage inventory	359,638	475,213	541,876	619,053
1603 Allowances (-)	-334	-327	-341	-349
1699 Value of assets related to direct loans	359,304	474,886	541,535	618,704
1801 Cash and other monetary assets	224	583	657	637
1803 Property, plant and equipment, net	656	774	913	1,077
1901 Other assets	1,517	4,768	3,026	3,267
1999 Total assets	433,346	571,907	647,910	723,246
LIABILITIES:				
2101 Accounts payable	227	763	448	884
2201 Accounts payable	1,823	1,457	970	523
2202 Interest payable	2,988	4,452	7,141	9,065
2203 Debt	406,794	531,312	605,384	677,220
2206 Pension and other actuarial liabilities	26	75	82	89
Other:				
2207 Accrued payroll and benefits	60	35	38	42
2207 Accrued annual leave (funded or unfunded)	2	2	2	2
2207 Other Liabilities	8,234	19,305	14,058	13,800
2999 Total liabilities	420,154	557,401	628,123	701,625
NET POSITION:				
3100 Invested capital	13,192	14,506	19,787	21,621
3999 Total net position	13,192	14,506	19,787	21,621
4999 Total liabilities and net position	433,346	571,907	647,910	723,246

MORTGAGE-BACKED SECURITIES

Status of Direct Loans (in millions of dollars)

Identification code 99-4440-0-3-371	2001 actual	2002 est.	2003 est.
1131 Direct loan obligations	212,151	280,188	158,895
1150 Total direct loan obligations	212,151	280,188	158,895
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	559,242	635,844	674,631

1231 Disbursements: Direct loan disbursements	212,151	280,188	158,895
1251 Repayments: Repayments and prepayments	-135,549	-241,401	-102,239
1290 Outstanding, end of year	635,844	674,631	731,287

Balance Sheet (in millions of dollars)

Identification code 99-4440-0-3-371	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1901 Underlying Mortgages	559,242	635,844	674,631	731,287
1999 Total assets	559,242	635,844	674,631	731,287
LIABILITIES:				
2104 Resources payable	559,242	635,844	674,631	731,287
2999 Total liabilities	559,242	635,844	674,631	731,287

FARM CREDIT SYSTEM

The Farm Credit System is a government sponsored enterprise that provides privately financed credit to agricultural and rural communities. The major functional entities of the system are: (1) Agricultural Credit Bank (ACB), (2) Farm Credit Banks (FCB), and (3) direct lender associations. The history and specific functions of the bank entities are discussed after the presentation of financial schedules for each bank entity. As part of the Farm Credit System (FCS), these entities are regulated and examined by the Farm Credit Administration (FCA), an independent Federal agency. The administrative costs of FCA are financed by assessments of system institutions. System banks finance loans from sales of bonds to the public and their own capital funds. The system bonds issued by the banks are not guaranteed by the U.S. Government either as to principal or interest. The bonds are backed by an insurance fund, administered by the Farm Credit System Insurance Corporation (FCSIC), an independent Federal agency that collects insurance premiums from member banks to pay its administrative expenses and fund insurance reserves. All of the banks' current operating expenses are paid from their own income and do not require budgetary resources from the Federal Government. Limited Federal assistance is provided to support interest payments on special FCS Financial Assistance Corporation (FAC) debt obligations (see discussion of FAC elsewhere in this document).

AGRICULTURAL CREDIT BANK

CoBank, ACB is headquartered in Denver, Colorado and serves eligible cooperatives nationwide, and provides funding to Agricultural Credit Associations (ACAs) in one of its regions. CoBank, ACB is the only Agricultural Credit Bank in the Farm Credit System. An ACB operates under statutory authority that combines the authorities of a FCB and a Bank for Cooperatives (BC). In exercising its FCB authority, CoBank ACB's charter limits its lending to ACAs located in the region previously served by the Farm Credit Bank of Springfield. As an entity lending to Cooperatives, CoBank is independently chartered to provide credit and related services nationwide to eligible cooperatives primarily engaged in farm supply, grain, marketing and processing (including sugar and dairy). CoBank also makes loans to rural utilities, including telecommunications companies and it provides international loans for the financing of agricultural exports.

Status of Direct Loans (in millions of dollars)

Identification code 99-4130-0-3-351	2001 actual	2002 est.	2003 est.
1131 Direct loan obligations	63,879	50,000	50,000
1150 Total direct loan obligations	63,879	50,000	50,000

AGRICULTURAL CREDIT BANK—Continued

Status of Direct Loans (in millions of dollars)—Continued

Identification code 99-4130-0-3-351	2001 actual	2002 est.	2003 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	19,270	19,588	20,333
1231 Disbursements: Direct loan disbursements	63,763	50,000	50,000
1251 Repayments: Repayments and prepayments	-63,359	-49,215	-49,136
1263 Write-offs for default: Direct loans	-86	-41	-41
1290 Outstanding, end of year	19,588	20,333	21,156

Statement of Operations (in millions of dollars)

Identification code 99-4130-0-3-351	2000 actual	2001 actual	2002 est.	2003 est.
0101 Total interest income	1,715	1,689	1,769	1,851
0102 Total interest expense	-1,323	-1,223	-1,280	-1,340
0105 Net income or loss (-)	392	466	489	511
0111 Other income	39	41	43	45
0112 Other expense	-257	-301	-306	-317
0115 Net income or loss (-)	-218	-260	-263	-272
0191 Total revenues	1,754	1,730	1,812	1,896
0192 Total expenses	-1,580	-1,524	-1,586	-1,657
0195 Total income or loss (-)	174	206	226	239
0199 Total comprehensive income	174	206	226	239

Balance Sheet (in millions of dollars)

Identification code 99-4130-0-3-351	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1201 Cash and investment securities	4,318	4,775	4,956	5,157
1206 Accrued interest receivable on loans	203	174	181	188
Net value of assets related to direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross	19,270	19,588	20,333	21,155
1603 Allowance for estimated uncollectible loans and interest (-)	-321	-324	-336	-350
1699 Value of assets related to direct loans	18,949	19,264	19,997	20,805
1803 Property, plant and equipment, net	167	450	443	480
1999 Total assets	23,637	24,663	25,577	26,630
LIABILITIES:				
2104 Resources payable	301	363	375	395
Accounts payable:				
2201 Consolidated systemwide and other bank bonds	20,971	21,275	22,083	22,977
2201 Notes payable and other interest-bearing liabilities	302	604	627	652
2202 Accrued interest payable	310	222	231	240
2999 Total liabilities	21,884	22,464	23,316	24,264
NET POSITION:				
3300 Cumulative results of operations	1,753	2,199	2,260	2,366
3999 Total net position	1,753	2,199	2,260	2,366
4999 Total liabilities and net position	23,637	24,663	25,576	26,630

Statement of Changes in Net Worth (in millions of dollars)

99-4130	2000 actual	2001 actual	2002 est.	2003 est.
Beginning balance of net worth	1,660	1,753	2,199	2,260
Capital stock and participations issued		300		
Capital stock and participations retired	-53	-58	-73	-44
Net income	174	207	226	240
Cash/Dividends/Patronage Distributions	-36	-47	-80	-80
Other, net	8	45	-12	-10
Ending balance of net worth	1,753	2,199	2,260	2,366

Financing Activities (in millions of dollars)

99-4130	2000 actual	2001 actual	2002 est.	2003 est.
Beginning balance of outstanding system obligations	19,468	20,971	21,275	22,083
Consolidated systemwide and other bank bonds issued	6,155	7,038	7,000	7,000
Consolidated systemwide and other bank bonds retired	-3,859	-6,897	-6,392	-6,306
Consolidated systemwide notes, net	-792	162	200	200
Ending balance of outstanding system obligations	20,971	21,275	22,083	22,977

FARM CREDIT BANKS

Status of Direct Loans (in millions of dollars)

Identification code 99-4160-0-3-371	2001 actual	2002 est.	2003 est.
1131 Direct loan obligations	73,564	64,728	58,775
1150 Total direct loan obligations	73,564	64,728	58,775
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	46,693	52,445	55,011
1231 Disbursements: Direct loan disbursements	73,483	64,676	58,735
1251 Repayments: Repayments and prepayments	-67,724	-62,110	-56,258
1264 Write-offs for default: Other adjustments, net	-7		
1290 Outstanding, end of year	52,445	55,011	57,488

Note.—Loans outstanding at end of year do not include nonaccrual loans and sales contracts.

The Agricultural Credit Act of 1987 (1987 Act) required the Federal Land Banks (FLBs) and Federal Intermediate Credit Banks (FICBs) to merge into a Farm Credit Bank (FCB) in each of the 12 Farm Credit districts. The FCBs operate under statutory authority that combines the prior authorities of the FLB and the FICB. No merger occurred in the Jackson district in 1988 because the FLB was in receivership. Pursuant to section 410(e) of the 1987 Act, as amended by the Farm Credit Banks Safety and Soundness Act of 1992, the FICB of Jackson merged with the FCB of Columbia on October 1, 1993. Mergers and consolidations of FCBs across district lines, that began in 1992 continued through mid-1995. As a result of this restructuring activity, 6 FCBs headquartered in the following cities, remain: AgFirst FCB, Columbia, South Carolina; AgAmerica FCB, Sacramento, California; AgriBank FCB, St. Paul, Minnesota; FCB of Wichita, Wichita, Kansas; FCB of Texas, Austin, Texas; and Western FCB, Sacramento, California.

The FCBs serve as discount banks and as of October 1, 2001 provided funds to 21 Federal Land Credit Associations (FLCA), 13 Production Credit Associations (PCAs), and 81 Agricultural Credit Associations (ACAs). These direct lender associations, in turn, make short-term production loans (PCAs and ACAs) and long-term real estate loans (FLCAs and ACAs) to eligible farmers and ranchers. FCBs can also lend to local financing institutions, including commercial banks, as authorized by the Farm Credit Act of 1971, as amended.

All the capital stock of the FICB's, from organization in 1923 to December 31, 1956, was held by the U.S. Government. The 1956 Act provided a long-range plan for the eventual ownership of the credit banks by the production credit associations and the gradual retirement of the Government's investment in the banks. This retirement was accomplished in full on December 31, 1968. The last of the Government capital that had been invested in the FLB's was repaid in 1947.

Statement of Operations (in millions of dollars)

Identification code 99-4160-0-3-371	2000 actual	2001 actual	2002 est.	2003 est.
0101 Total interest income	3,610	3,631	3,220	3,471
0102 Total interest expense	-3,037	-3,076	-2,737	-3,005
0105 Net income or loss (-)	573	555	483	466
0111 Other income	61	79	46	44
0112 Other expenses	-233	-225	-210	-192
0115 Net income or loss (-)	-172	-146	-164	-148
0191 Total revenues	3,671	3,710	3,266	3,515
0192 Total expenses	-3,270	-3,301	-2,947	-3,197
0195 Total income or loss (-)	401	409	319	318
0199 Total comprehensive income	401	409	319	318

Balance Sheet (in millions of dollars)

Identification code 99-4160-0-3-371	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1201 Cash and investment securities	9,978	10,431	10,829	11,250
1206 Accrued Interest Receivable	770	677	708	738
Net value of assets related to direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross	46,693	52,446	55,451	58,363
1603 Allowance for estimated uncollectible loans and interest (-)	-244	-252	-258	-260
1699 Value of assets related to direct loans	46,449	52,194	55,193	58,103
1803 Property, plant and equipment, net	298	396	348	343
1999 Total assets	57,495	63,698	67,078	70,434
LIABILITIES:				
2104 Resources payable	176	443	459	457
Accounts payable:				
2201 Consolidated systemwide and other bank bonds	52,115	58,010	61,242	64,410
2201 Notes payable and other interest-bearing liabilities	313	360	365	421
2202 Accrued interest payable	514	447	452	475
2999 Total liabilities	53,118	59,260	62,518	65,763
NET POSITION:				
3300 Cumulative results of operations	4,377	4,437	4,559	4,671
3999 Total net position	4,377	4,437	4,559	4,671
4999 Total liabilities and net position	57,495	63,697	67,077	70,434

Statement of Changes in Net Worth (in millions of dollars)

99-4160	2000 actual	2001 actual	2002 est.	2003 est.
Beginning balance of net worth	4,423	4,377	4,437	4,559
Capital stock and participations issued	153	93	106	85
Capital stock and participations retired	-241	-142	-81	-71
Surplus Retired		-9		
Net income	401	409	320	317
Cash/Dividends/Patronage Distributions	-268	-289	-218	-218
Other, net	-92	-1	-5	-1
Ending balance of net worth	4,377	4,437	4,559	4,671

Financing Activities (in millions of dollars)

99-4160	2000 actual	2001 actual	2002 est.	2003 est.
Beginning balance of outstanding system obligations	50,082	52,115	58,010	61,245
Consolidated systemwide and other bank bonds issued	29,024	38,723	39,252	39,706
Consolidated systemwide and other bank bonds retired	-30,817	-34,342	-36,735	-36,839
Consolidated systemwide notes, net	3,825	1,514	718	306
Ending balance of outstanding system obligations	52,115	58,010	61,245	64,418

FEDERAL AGRICULTURAL MORTGAGE CORPORATION
(FARMER MAC)

Farmer Mac is authorized under the Farm Credit Act of 1971 (the Act), as amended by the Agricultural Credit Act of 1987, to create a secondary market for agricultural real estate and rural home mortgages that meet minimum credit standards. The Farmer Mac title of the Act was amended by the 1990 farm bill to authorize Farmer Mac to purchase, pool, and securitize the guaranteed portions of farmer program, rural business and community development loans guaranteed by the United States Department of Agriculture (USDA). The Farmer Mac title was further amended in 1991 to clarify Farmer Mac's authority to issue debt obligations, provide for the establishment of minimum capital standards, and establish the Office of Secondary Market Oversight at the Farm Credit Administration (FCA) and expand the agency's rulemaking authority. Most recently, the Farm Credit System Reform Act of 1996 amended the Farmer Mac title to allow Farmer Mac to purchase loans directly from lenders and to issue and guarantee mortgage-backed securities without requiring that a minimum cash reserve or subordinated (first loss) interest be maintained by the lenders, poolers or investors as had been required under its original authority. The 1996 Act expanded FCA's regulatory authority to include provisions for establishing a conservatorship or receivership, if necessary, and provided for increased levels of core capital phased in over three years.

Farmer Mac operates through two programs, "Farmer Mac I," which involves mortgage loans secured by first liens on agricultural real estate or rural housing (qualified loans), and "Farmer Mac II," which involves guaranteed portions of USDA guaranteed loans. Farmer Mac operates by: (i) purchasing, or committing to purchase, newly originated or existing qualified loans or guaranteed portions from lenders; (ii) purchasing "AgVantage" bonds backed by qualified loans or guaranteed portions from lenders; and (iii) exchanging qualified loans or guaranteed portions for guaranteed securities. Loans purchased by Farmer Mac are aggregated into pools that back Farmer Mac guaranteed securities which are held by Farmer Mac or sold into the capital markets. Farmer Mac is intended to attract new capital for financing qualified loans and guaranteed portions, foster increased long-term, fixed-rate lending, and provide greater liquidity to agricultural and rural lenders.

Farmer Mac is governed by a 15 member Board of Directors. Ten Board members are elected by stockholders, including five by the Farm Credit System and five by commercial lenders. Five are appointed by the President, subject to Senate confirmation.

FINANCING

Financial support and funding for Farmer Mac's operations come from several sources: sale of common and preferred stock; issuance of debt obligations; gain on sale of guaranteed loan-backed securities; guarantee fees; and income from investments. Under procedures specified in the Act, Farmer Mac may issue obligations to the U.S. Treasury in a cumulative amount not to exceed \$1.5 billion to fulfill its guarantee obligations.

As of September 30, 2001, Farmer Mac's total capital exceeded statutory requirements. In May of 2001 FCA published a final rule for risk based regulatory capital that allows for a one-year grace period before implementation. Therefore, as of May 2002, Farmer Mac must maintain risk-based regulatory capital as provided in FCA regulations.

Available funds of Farmer Mac are invested in U.S. agency securities or other high-grade commercial investments. No stock dividends are allowed under the Act until the Board determines that an adequate loss reserve has been funded to back Farmer Mac guarantees.

(FARMER MAC)—Continued

GUARANTEES

Farmer Mac provides a guarantee of timely payment of principal and interest on securities backed by qualified loans or pools of qualified loans. These securities are not guaranteed by the United States, and are not “government securities”.

Farmer Mac is subject to reporting requirements under securities laws and its guaranteed mortgage-backed securities are subject to registration with the Securities and Exchange Commission under the 1933 and 1934 Securities Acts.

REGULATION

Farmer Mac is federally regulated by the FCA’s Office of Secondary Market Oversight (OSMO). OSMO is responsible for the supervision, examination of and rulemaking for Farmer Mac.

Status of Guaranteed Loans (in millions of dollars)

Identification code 99-4180-0-3-351	2001 actual	2002 est.	2003 est.
2131 Guaranteed loan commitments	2,597	2,306	1,000
2150 Total guaranteed loan commitments	2,597	2,306	1,000
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	3,318	4,894	6,000
2231 Disbursements of new guaranteed loans	2,597	2,306	1,000
2251 Repayments and prepayments	-1,021	-1,200	-1,000
2290 Outstanding, end of year	4,894	6,000	6,000
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	4,894	6,000	6,000

Statement of Operations (in millions of dollars)

Identification code 99-4180-0-3-351	2000 actual	2001 actual	2002 est.	2003 est.
Revenue:				
0101 Net Interest Income	18	22	25	25
0101 Guarantee Fee Income	8	10	12	12
0101 Gain on Security Issuance				
0102 Expense	-18	-23	-27	-27
0105 Net income or loss (-)	8	9	10	10
0199 Total comprehensive income	8	9	10	10

Balance Sheet (in millions of dollars)

Identification code 99-4180-0-3-351	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
1201 Investment in securities	853	853	853	853
1206 Receivables, net	3	4	4	4
1207 Advances and prepayments	15	18	18	18
Net value of assets related to direct loans receivable:				
1401 Direct loans receivable, gross	1,598	1,998	2,198	2,198
1402 Interest receivable	37	46	55	55
1499 Net present value of assets related to direct loans	1,635	2,044	2,253	2,253
1801 Cash and other monetary assets	476	89	100	100
1999 Total assets	2,982	3,008	3,228	3,228
LIABILITIES:				
2201 Accounts payable	4	6	7	7
2202 Interest payable	15	18	21	21
2203 Debt	2,861	2,870	3,074	3,064
2204 Liabilities for loan guarantees	7	9	11	11
2999 Total liabilities	2,887	2,903	3,113	3,103
NET POSITION:				
3300 Invested capital	95	105	115	125
3999 Total net position	95	105	115	125
4999 Total liabilities and net position	2,982	3,008	3,228	3,228

FEDERAL HOME LOAN BANK SYSTEM

FEDERAL HOME LOAN BANKS

Status of Direct Loans (in millions of dollars)

Identification code 99-4200-0-3-371	2001 actual	2002 est.	2003 est.
1131 Direct loan obligations	4,144,928	4,144,928	4,144,928
1150 Total direct loan obligations	4,144,928	4,144,928	4,144,928
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	444,505	489,413	489,413
1231 Disbursements: Direct loan disbursements	4,144,928	4,144,928	4,144,928
1251 Repayments: Repayments and prepayments	-4,100,020	-4,144,928	-4,144,928
1290 Outstanding, end of year	489,413	489,413	489,413

The 12 Federal Home Loan Banks were chartered by the Federal Home Loan Bank Board under the authority of the Federal Home Loan Bank Act of 1932 (the Act). The FHLBanks are under the supervision of the Federal Housing Finance Board. The common mission of the FHLBanks is to facilitate the extension of credit through their members. To accomplish this mission, the FHLBanks make loans, called advances, and provide other credit products and services to their 7,897 member commercial banks, savings associations, insurance companies, and credit unions. Advances and letters of credit must be fully secured by eligible collateral and long-term advances may be made only for the purpose of providing funds for residential housing finance. However, “community financial institutions” may also use long-term advances to finance small businesses, small farms, and small agribusinesses. Additionally, specialized advance programs provide funds for community reinvestment and affordable housing programs. All regulated financial depositories and insurance companies engaged in residential housing finance are eligible for membership. Each FHLBank operates in a geographic district designated by the Board and together the FHLBanks cover all of the United States as well as the District of Columbia, Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Northern Mariana Islands.

Advances outstanding on September 30, 2001 totaled approximately \$467 billion, a net increase of approximately \$37 billion from the September 30, 2000 level of \$430 billion.

The principal source of funds for the lending operation is the sale of consolidated obligations to the public. On September 30, 2001, \$611 billion of these obligations were outstanding. The consolidated obligations are not guaranteed by the U.S. Government as to principal or interest. Other sources of lendable funds include members’ deposits and capital. Deposits totaled \$29 billion and total capital amounted to \$33 billion as of September 30, 2001. Funds not immediately needed for advances to members are invested.

The capital stock of the Federal Home Loan Banks is owned entirely by the members. Initially the U.S. Government purchased stock of the banks in the amount of \$125 million. The banks had repurchased the Government’s investment in full by mid-1951.

The operating expenses of the FHLBanks are paid from their own income and are not included in the budget of the United States. Included in these expenses are the assessments by the Finance Board to cover its administrative and other costs. The Finance Board’s budget and expenditures, however, are included in the budget of the United States.

The Act, as amended in 1989, requires each FHLBank to operate an Affordable Housing Program (AHP). Each FHLBank provides subsidies in the form of direct grants or below-market rate advances for members that use the funds for qualifying affordable housing projects. The FHLBank System sets aside for its AHPs the greater of \$100 million annually or 10 percent of net income. The Act, as amended in 1999, also requires that the FHLBanks contribute 20 percent

of net earnings annually to assist in the payment of interest on bonds issued by the Resolution Funding Corporation.

The forecast data for 2002 and 2003 contained in this material represents estimates and should not be construed as an official forecast of the FHLBanks System's future position.

Statement of Operations (in millions of dollars)

Identification code 99-4200-0-3-371	2000 actual	2001 actual	2002 est.	2003 est.
0101 Revenue	36,461	36,404	36,404	36,404
0102 Expense (includes payments to REFCORP)	-34,239	-34,312	-34,282	-34,282
0105 Net income or loss (-)	2,222	2,092	2,122	2,122

Balance Sheet (in millions of dollars)

Identification code 99-4200-0-3-371	2000 actual	2001 actual	2002 est.	2003 est.
ASSETS:				
Investments in US securities:				
1102 Treasury securities, net	232	206	206	206
1201 Investments in other securities, net	177,913	193,470	193,470	193,470
1206 Accounts receivable	10,583	3,248	3,248	3,248

1401 Net value of assets related to direct loans receivable: Direct loans receivable, gross	444,505	489,413	489,413	489,413
1801 Cash and other monetary assets	410	1,013	1,013	1,013
1803 Property, plant and equipment, net	119	126	126	126
1901 Other assets	204	3,712	3,712	3,712
1999 Total assets	633,966	691,188	691,188	691,188
LIABILITIES:				
2101 REFCORP and Affordable Housing Program	737	778	778	778
2201 Accounts payable	91			
2202 Interest payable	11,016	5,538	5,538	5,538
2203 Debt	577,057	611,338	611,338	611,338
Other:				
2207 Deposit funds and other borrowings	869	10,839	10,839	10,839
2207 Other	13,617	29,571	29,571	29,571
2999 Total liabilities	603,387	658,064	658,064	658,064
NET POSITION:				
3100 Invested capital	30,579	33,124	33,124	33,124
3999 Total net position	30,579	33,124	33,124	33,124
4999 Total liabilities and net position	633,966	691,188	691,188	691,188

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